

# Rare charm decays at LHCb

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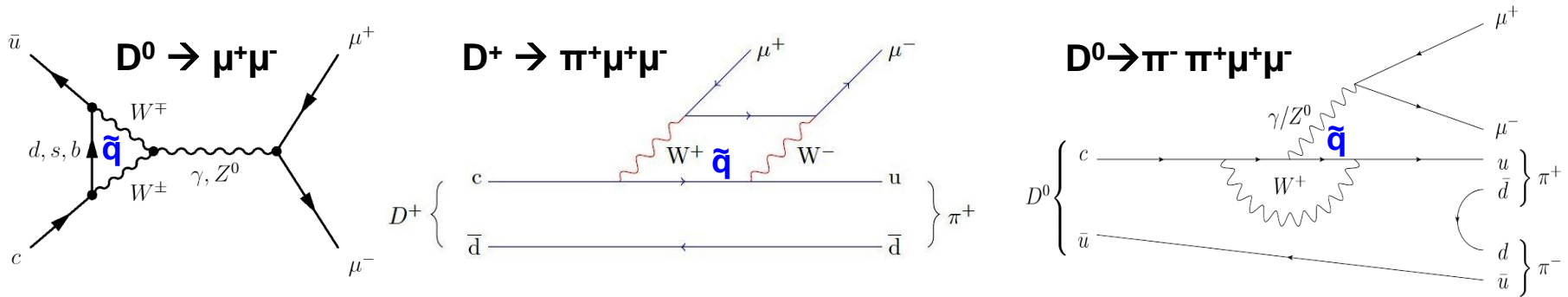
# Outline

- Motivations
- Experimental status up to 2011
- General strategy of data analysis
- Recent results for rare charm decays at LHCb with 2011 data
  - ◆  $D^0 \rightarrow \mu^+ \mu^-$
  - ◆  $D_{(s)}^+ \rightarrow \pi^+ \mu^+ \mu^-$  and  $D_{(s)}^+ \rightarrow \pi^- \mu^+ \mu^+$
- On going activities
- Conclusion

# Motivations

## ■ Why **rare** decays?

- ◆ Flavor Changing Neutral Currents (FCNC) are **very suppressed** in the Standard model (SM), possible only via loops.



→ Rare charm decays → Good probe for **New Physics**

**NP particles in the loop** → enhancement of **BF**, asymmetries (CP, T-odd, forward-backward).

## ■ Why **charm** decays?

- ◆ GIM mechanism is very strong here thanks to the absence of a high-mass down-type quark
- ◆ Charm is complementary to the B and K sectors: it's a unique window on NP affecting the up-type quark dynamics.

# Motivations

SM short distance (**SD**) contributions predict tiny BF's

$$\text{BF}(D^0 \rightarrow \mu^+\mu^-) \sim 10^{-18} [1]$$

$$\text{BF}(D^+ \rightarrow \pi^+\mu^+\mu^-) \sim 10^{-11} - 10^{-9} [2]$$

$$\text{BF}(D^0 \rightarrow \pi^- \pi^+\mu^+\mu^-) \sim 10^{-9} [3]$$

$$\text{BF}(D^0 \rightarrow K^+\pi^-\mu^+\mu^-) \sim 10^{-10} [3]$$

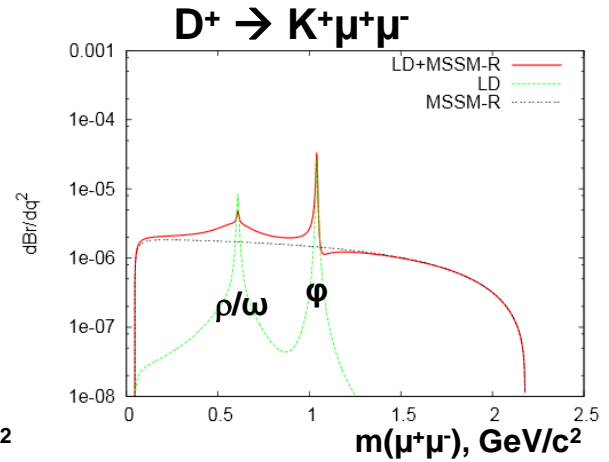
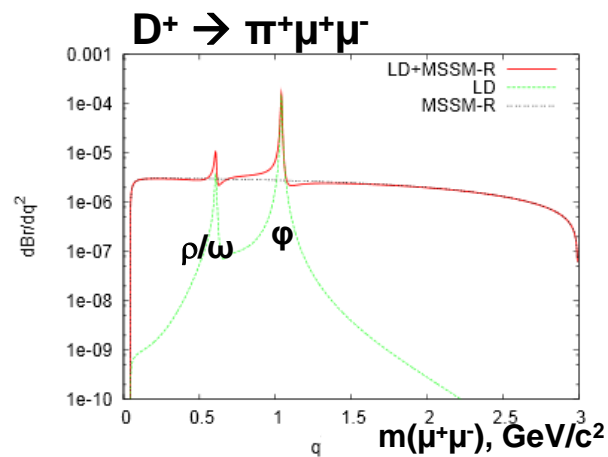
New physics can enhance the SD contributions. Ex.: R-Parity Violation SUSY

$$D^0 \rightarrow \mu^+\mu^-$$

Relating to the  $D^0$ - $\bar{D}^0$  mixing

$$\mathcal{B}_{D^0 \rightarrow \mu^+\mu^-}^{R_p} \leq 4.8 \times 10^{-9} \left( \frac{300 \text{ GeV}}{m_{\tilde{d}_k}} \right)^2$$

$$\text{BF}(D^0 \rightarrow \mu^+\mu^-) \sim 10^{-9} [4]$$



$$\text{BF}(D^+ \rightarrow \pi^+\mu^+\mu^-) \sim 10^{-6} [5]$$

[1] G. Burdman et al. PR D66, 014009 (2002)

[2] G. Buchalla et al. EPJC57,309(2008), S. Fajfer et al, PRD64 (2001) 114009,

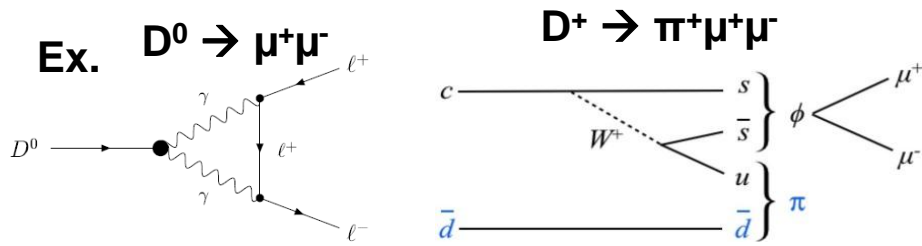
[3] L.Cappiello et al. arXiv:1209.4235v1

[4] E. Golowich, PRD79(2009)114030

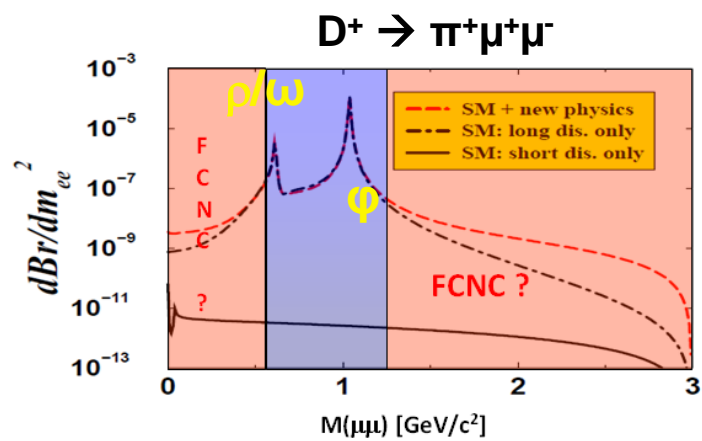
[5] S. Fajfer et al, PRD76 (2007),074010

# Motivations

- Branching ratios dominated by long distance (**LD**) effects, via intermediate states



- The solution adopted by present searches is to measure BF's far from the resonances



- Recent literature suggests to use **asymmetries** (CP, T-odd, FB,...): **SD amplitudes** are more likely to compete with **LD** in an interference than in a BF. In that case, even the resonant regions are useful.

| Mode                       | T-odd asym | FB asym |
|----------------------------|------------|---------|
| $K^-\pi^+\mu^+\mu^-$ (CF)  | ~ 7%       | ~ 0.06% |
| $K^+\pi^-\mu^+\mu^-$ (DCS) | ~ 7%       | ~ 3%    |
| $K^+K^-\mu^+\mu^-$         | ~ 6%       | ~ 0.5%  |
| $\pi^-\pi^+\mu^+\mu^-$     | ~ 8%       | ~ 0.5%  |

We measure the total BF's with present data to predict our future sensitivity (e.g. upgrade).

Ex:arXiv:1209.4235v2

L.Cappiello et al. arXiv:1209.4235 (2013)  
 S.Fajfer et al. PRD87, 054026 (2013)  
 I.Bigi et al. JHEP03, 021 (2012)

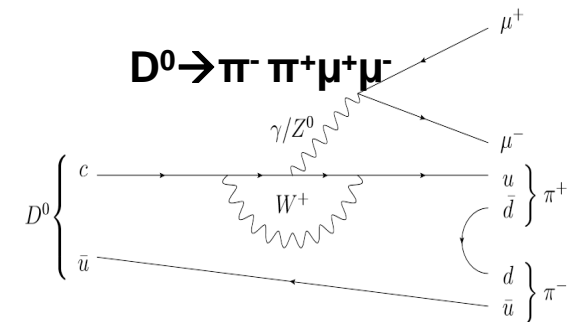
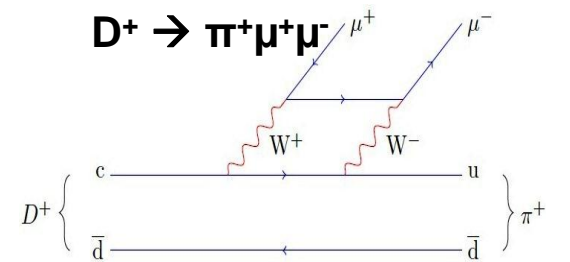
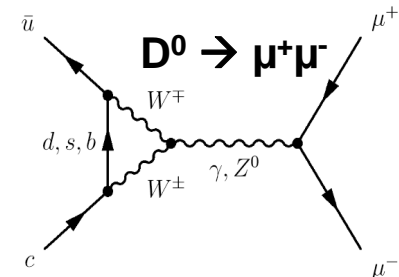
# Experimental status up to 2011

## ■ Upper limits of BF, @90% CL

|   |                          |
|---|--------------------------|
| $D^0 \rightarrow \mu^+ \mu^-$             | $1.4 \times 10^{-7}$ [1] |
| $D^+ \rightarrow \pi^+ \mu^+ \mu^-$       | $3.9 \times 10^{-6}$ [2] |
| $D_s^+ \rightarrow \pi^+ \mu^+ \mu^-$     | $2.6 \times 10^{-5}$ [3] |
| $D^+ \rightarrow \pi^- \mu^+ \mu^+$       | $2.0 \times 10^{-6}$ [4] |
| $D_s^+ \rightarrow \pi^- \mu^+ \mu^+$     | $1.4 \times 10^{-5}$ [4] |
| $D^0 \rightarrow K^- \pi^+ \mu^+ \mu^-$   | $3.6 \times 10^{-4}$ [5] |
| $D^0 \rightarrow K^- K^+ \mu^+ \mu^-$     | $3.3 \times 10^{-5}$ [5] |
| $D^0 \rightarrow \pi^- \pi^+ \mu^+ \mu^-$ | $3.0 \times 10^{-5}$ [5] |

$\sim 10^{-5} - 10^{-6}$

$\sim 10^{-4} - 10^{-5}$



[1] Belle, PRD81,091102 (2010)

[2] D0, PRL 100,101801 (2008)

[3] FOCUS, PLB 572, 21 (2003)

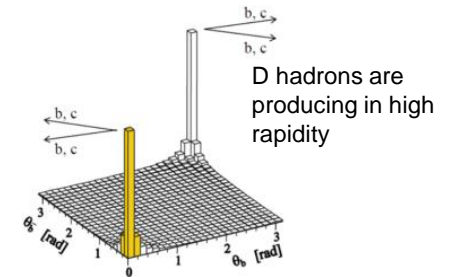
[4] BaBar, PRD 84, 072006 (2011)

[5] E791, PRL 86, 3969 (2001)

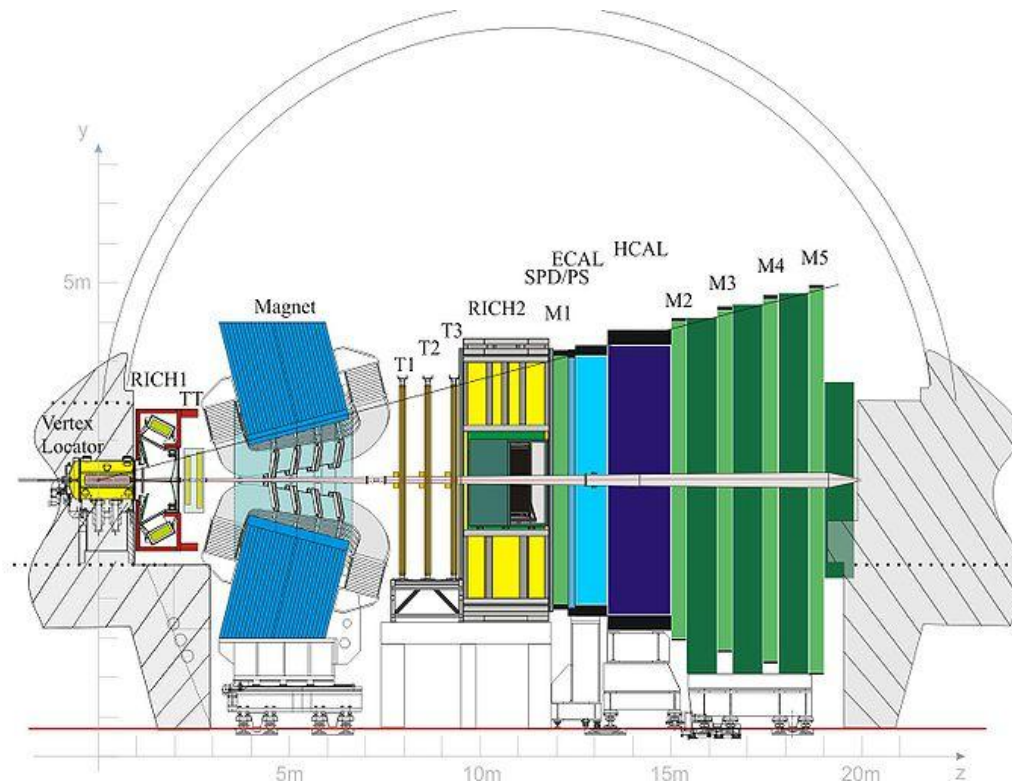
# LHCb detector

## ■ LHCb detector is designed for flavor physics

- ◆ High  $c\bar{c}$  cross-section
- ◆ Forward geometry
- ◆ Vertex and mass resolution
- ◆ Highly flexible trigger, optimized for flavor physics
- ◆ Good particle identification



$2 < \eta < 5$  (15 – 300 mrad)  
Design luminosity  $2 \times 10^{32} \text{ cm}^{-2} \text{ s}^{-1}$



# General analysis strategy

- Selection using the typical features for the searched decays.

- Very rare means very high relative combinatorial background  
 → Use Multivariate Analysis

- Another difficulty with charm decays: very high peaking backgrounds  
 (Ex:  $D \rightarrow \pi\pi > 10^6 \times D \rightarrow \mu\mu$ )

→ Use particle identification to fight against  $\pi \rightarrow \mu$  misID

- Normalized Measurements to help controlling the systematics

$$BF_{(signal)} = BF_{(norm)} \frac{\varepsilon_{(norm)}}{\varepsilon_{(signal)}} \frac{N_{(signal)}}{N_{(norm)}}$$

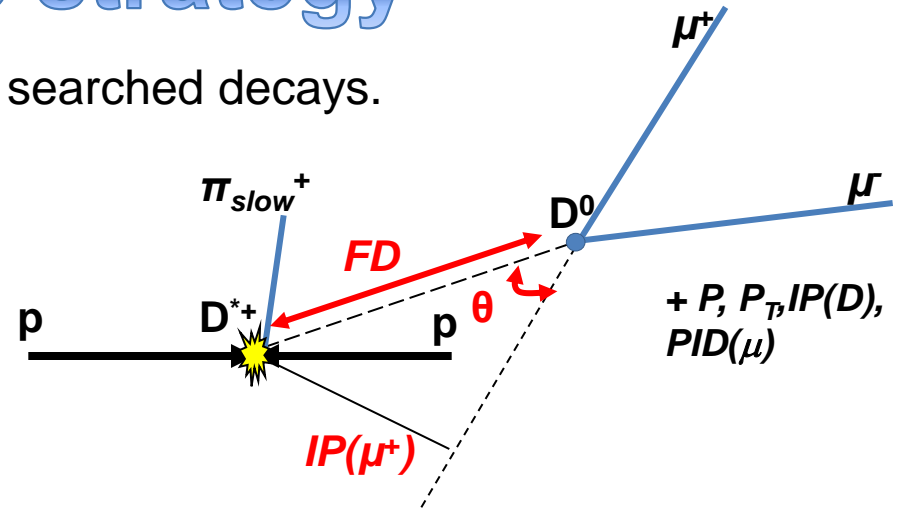
Ex. :  $D^+ \rightarrow \pi^+ \mu^+ \mu^-$   
 and  $D^+ \rightarrow \pi^+ \varphi(\mu^+ \mu^-)$

- Efficiencies : simulations + extensive data-driven corrections & systematics

$J/\psi \rightarrow \mu\mu$ ,  $D \rightarrow K\pi$ ,  $\Lambda \rightarrow p\pi$ ,  $K_s \rightarrow \pi\pi$  : reconstruction, PID, trigger efficiencies ...

- $\pi \leftrightarrow \mu$  misID rate: simulations and control from data:  $D \rightarrow K\pi$ , with  $\pi$  swapped with  $\mu$

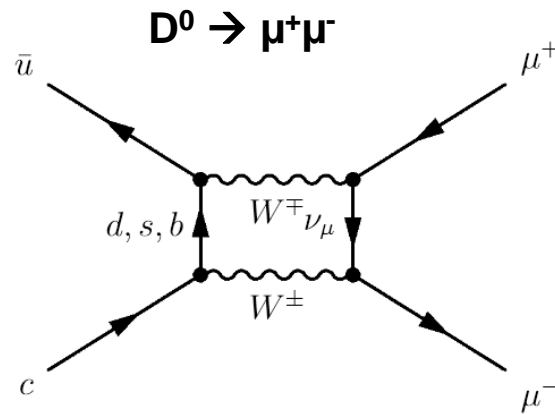
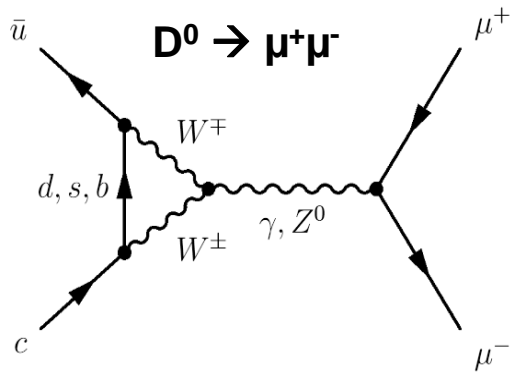
- Blind analyses, Upper limits from the CLs method [A. Read, J. Phys. G28 (2002)]





# $D^0 \rightarrow \mu^+ \mu^-$

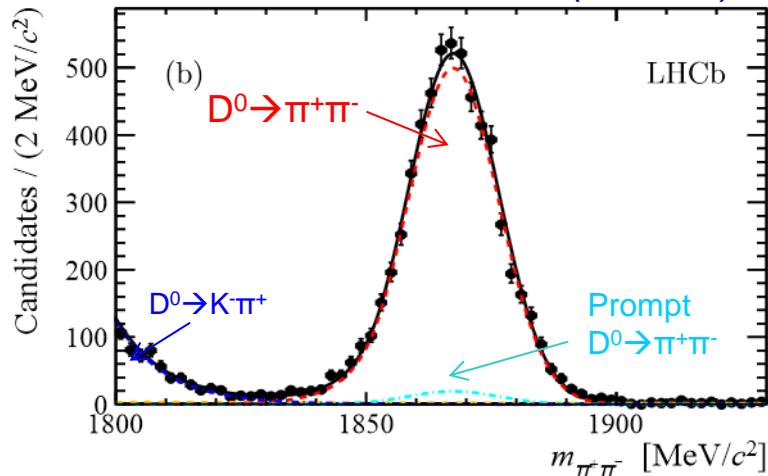
0.9 fb<sup>-1</sup>, 2011 data



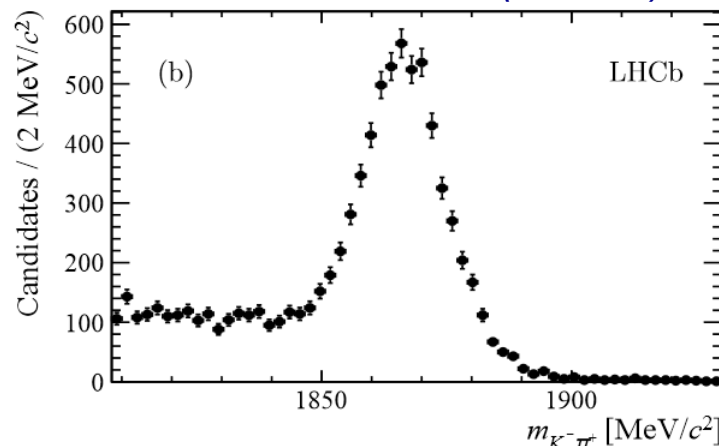
# $D^0 \rightarrow \mu^+ \mu^-$ at LHCb

- $D^*$ -tagged sample:  $D^{*+} \rightarrow D^0(\rightarrow \mu^+ \mu^-) \pi_{slow}^+$
- 2D fit:  $\Delta m = m(D^{*+}) - m(D^0)$  &  $m(D^0)$ 
  - ◆ force  $\pi_{slow}$  to come from PV to improve the resolution on  $\Delta m$
- Peaking background :  $D^{*+} \rightarrow D^0(\rightarrow \pi^- \pi^+) \pi^+$  with double misID  $\pi \leftrightarrow \mu$ 
  - ◆ The rate of this peaking background is estimated using MC and a high statistics  $D^{*+} \rightarrow D^0(\rightarrow K^- \pi^+) \pi^+$  control sample from real data for the evaluation of the  $\pi \leftrightarrow \mu$  misID
  - ◆ Yield is fitted in the signal sample with Gaussian constrained
- Normalization mode:  $D^{*+} \rightarrow D^0(\rightarrow \pi^- \pi^+) \pi^+$

Normalization mode  $D^* \rightarrow D^0(\rightarrow \pi^- \pi^+) \pi^+$



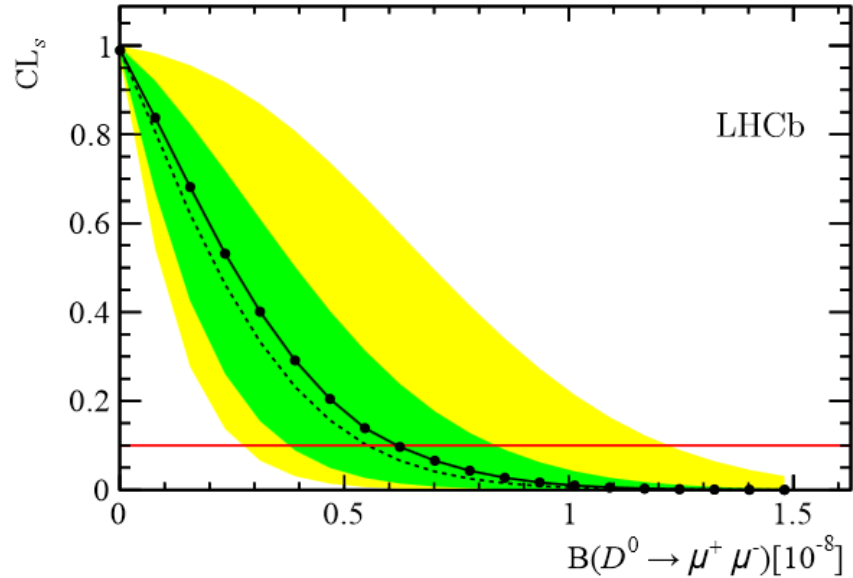
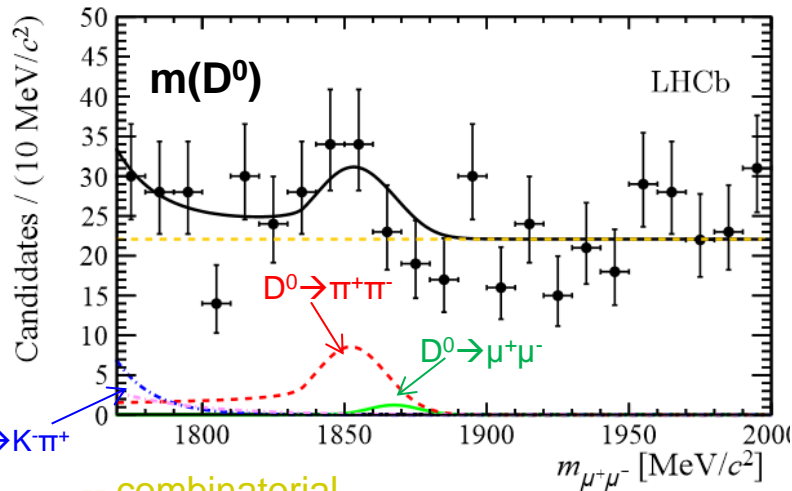
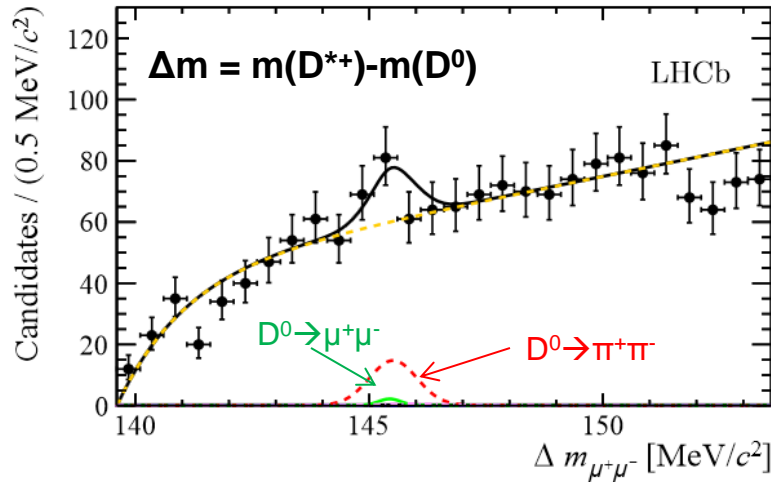
Control mode  $D^* \rightarrow D^0(\rightarrow K^- \pi^+) \pi^+$



Used to estimate misID  $K \leftrightarrow \mu$ ,  $\pi \leftrightarrow \mu$

# $D^0 \rightarrow \mu^+\mu^-$ at LHCb

0.9 fb<sup>-1</sup>, 2011 data



$BF(D^0 \rightarrow \mu\mu) < 6.2 (7.6) \cdot 10^{-9}$   
@ 90% (95%) CL

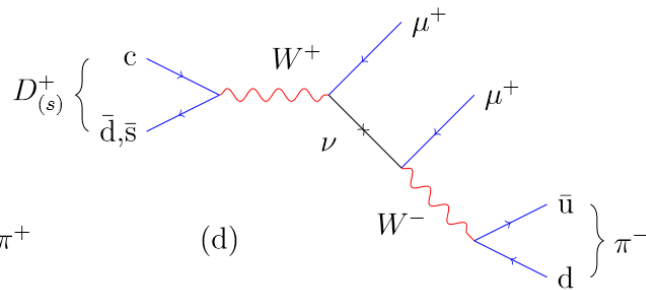
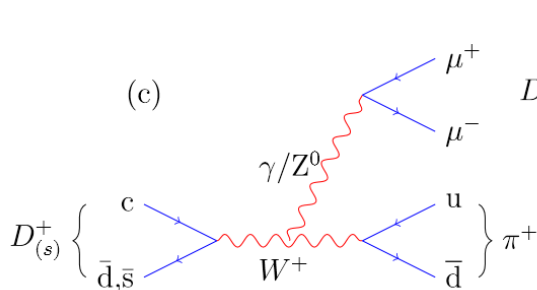
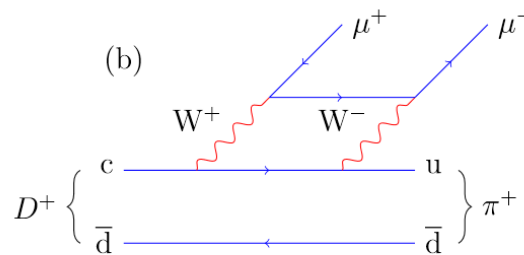
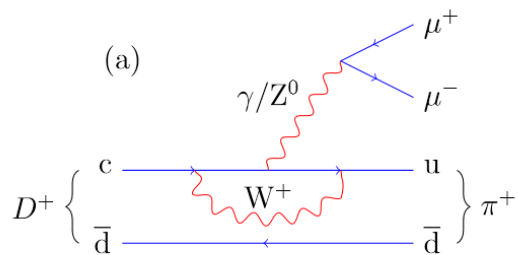
- ✓ 20 times better than previous limit
- ✓ Still 2 orders of magnitude above the SM prediction

- combinatorial
- peaking  $D^0 \rightarrow \pi^+\pi^-$ , with  $2 \times (\pi \leftrightarrow \mu)$
- $D^0 \rightarrow K\pi^+$ , with  $(\pi \leftrightarrow \mu)$  and  $(K \leftrightarrow \mu)$
- signal  $D^0 \rightarrow \mu^+\mu^-$

$$D_{(s)}^+ \rightarrow \pi^+ \mu^+ \mu^-$$

$$D_{(s)}^+ \rightarrow \pi^- \mu^+ \mu^+$$

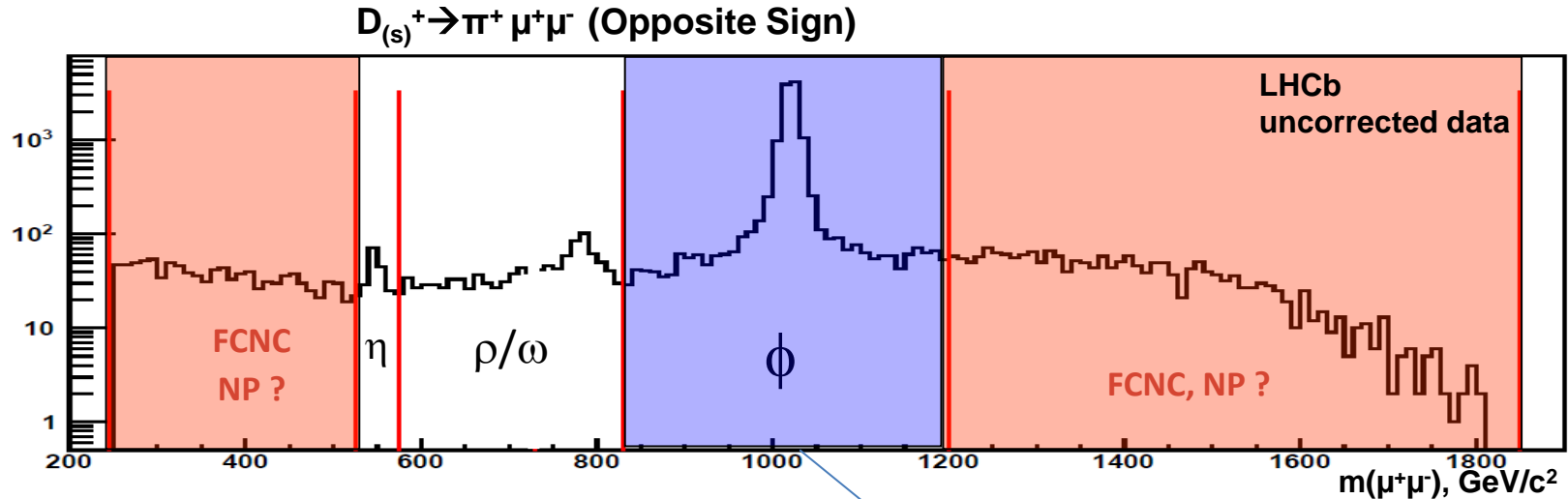
1 fb<sup>-1</sup>, 2011 data



PLB 724 (2013) 203-212  
 CERN-PH-EP-2013-061  
 LHCb-PAPER-2012-051  
 arXiv:1304.6365

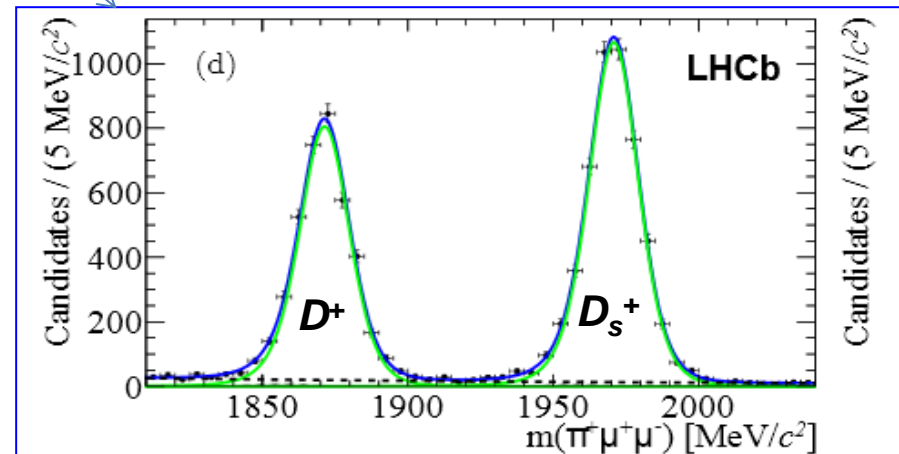
# $D_{(s)}^+ \rightarrow \pi^+ \mu^+ \mu^-$ at LHCb

- Signal is extracted in regions of  $m(\mu^+ \mu^-)$

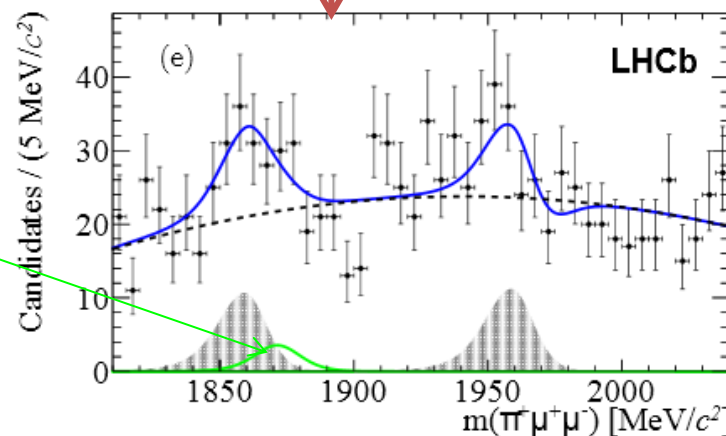
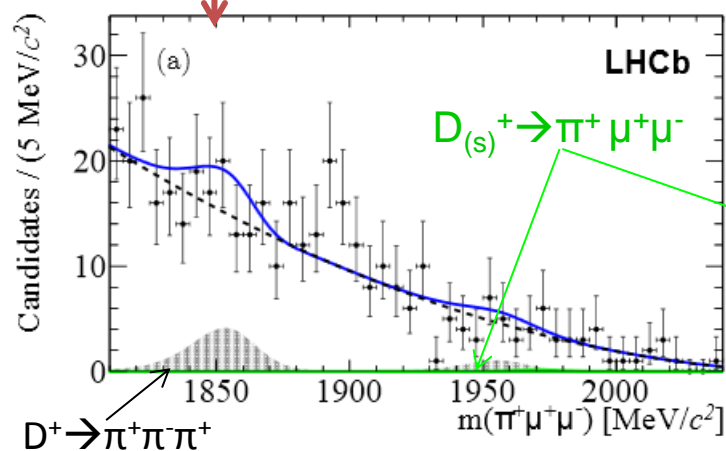
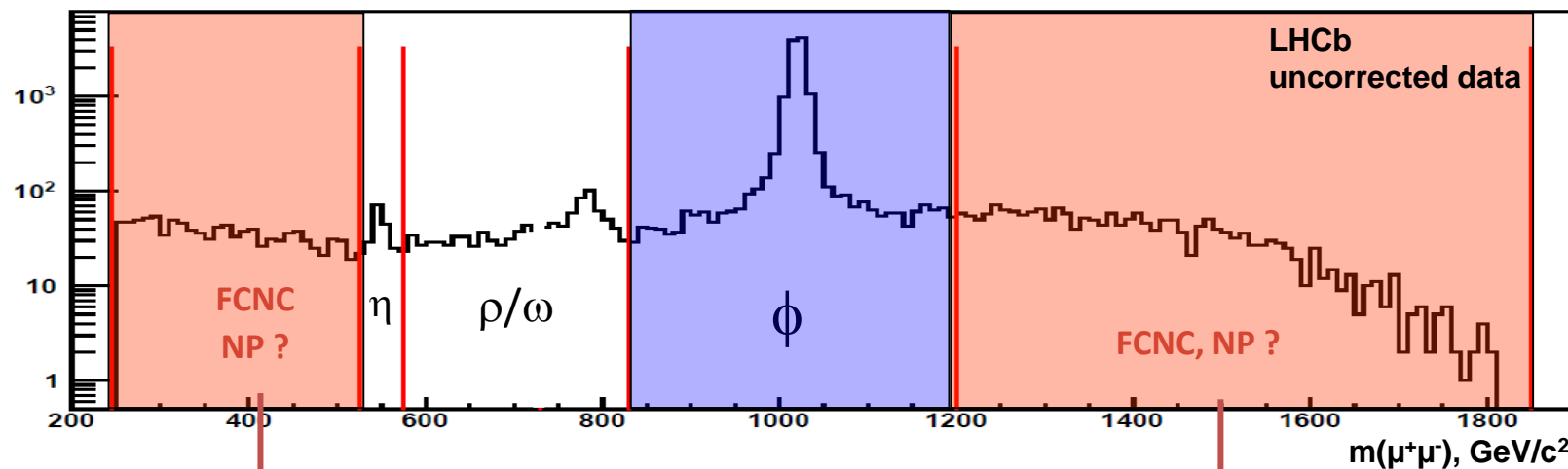


- $D^+ \rightarrow \pi^+ \phi (\mu^+ \mu^-)$  mode:

- ◆ Normalization
- ◆ “Standard candle”: provides a signal proxy to optimize the selection, constrain the PDF’s shape, study and correct data/MC...

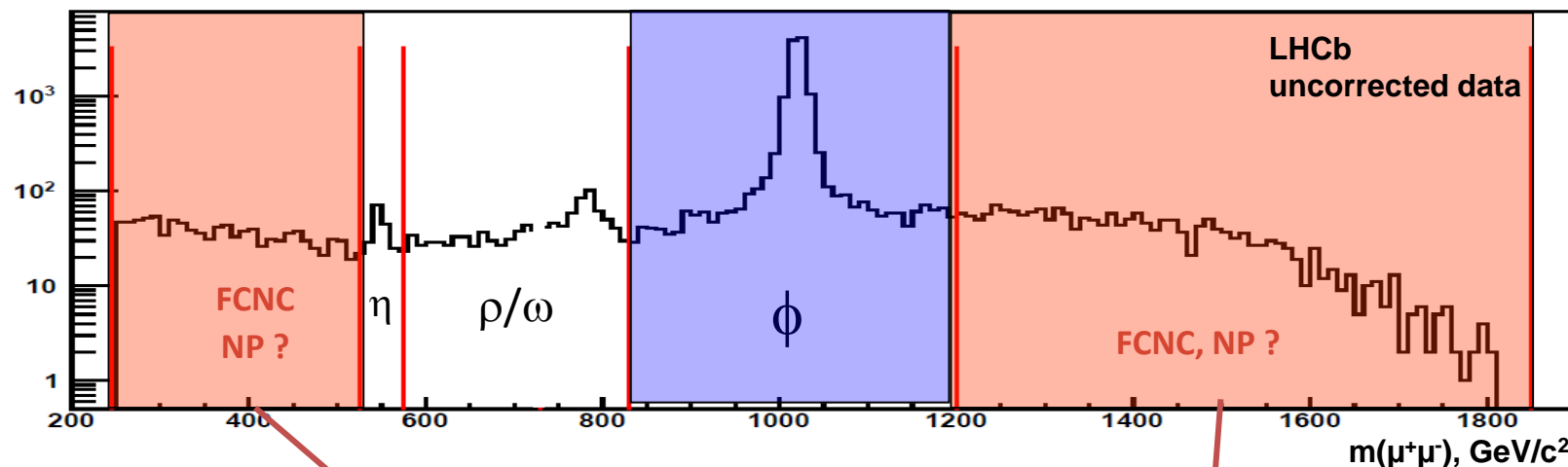


# $D_{(s)}^+ \rightarrow \pi^+ \mu^+ \mu^-$ at LHCb



- Peaking background :  $D^+ \rightarrow \pi^+ \pi^+ \pi^+$  with double misID  $\pi \leftrightarrow \mu$ 
  - ◆ Shapes determined from data sample (loosened muon ID)
  - ◆ The fit is able to determine the yields

# $D_{(s)}^+ \rightarrow \pi^+ \mu^+ \mu^-$ at LHCb



Upper limits,  $\times 10^{-8}$  @ 90% (95%) CL

|   |           |             |
|---|-----------|-------------|
| $BF(D^+ \rightarrow \pi^+ \mu^+ \mu^-)$   | 2.0 (2.5) | 2.6 (2.9)   |
| $BF(D_s^+ \rightarrow \pi^+ \mu^+ \mu^-)$ | 6.9 (7.7) | 16.0 (18.6) |

Total:

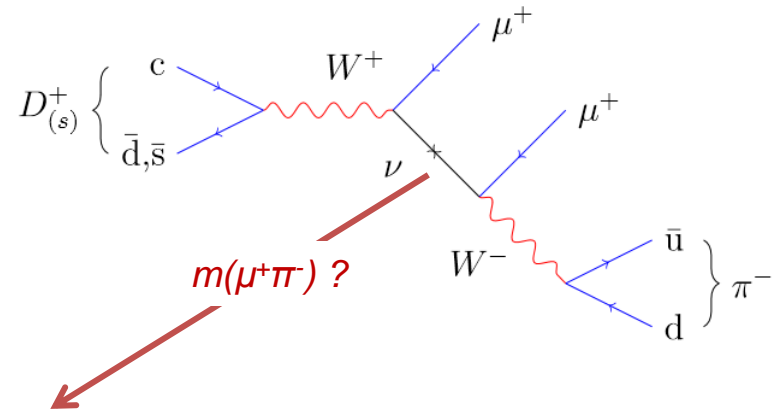
$$BF(D^+ \rightarrow \pi^+ \mu^+ \mu^-) < 7.3 (8.3) \cdot 10^{-8} \text{ @ 90\% (95\%) CL}$$

$$BF(D_s^+ \rightarrow \pi^+ \mu^+ \mu^-) < 4.1 (4.8) \cdot 10^{-7} \text{ @ 90\% (95\%) CL}$$

- ✓ ~ 50 times better than previous limit
- ✓ Still orders of magnitude above the SM prediction

# Majorana neutrino searches with $D_{(s)}^+ \rightarrow \pi^- \mu^+ \mu^+$ at LHCb

1 fb<sup>-1</sup>  
2011 data



- Searches in regions of  $m(\mu^+\pi^-)$  which is the mass of a potential majorana neutrino

| Region [MeV/c <sup>2</sup> ]            | 250 < M( $\mu\pi$ ) < 1140 | 1140 < M( $\mu\pi$ ) < 1340 | 1340 < M( $\mu\pi$ ) < 1540 | 1540 < M( $\mu\pi$ ) |
|---|----------------------------|-----------------------------|-----------------------------|----------------------|
| $BF(D^+ \rightarrow \pi^+ \mu^+ \mu)$   | 1.4 (1.7)                  | 1.1 (1.3)                   | 1.3 (1.5)                   | 1.3 (1.5)            |
| $BF(D_s^+ \rightarrow \pi^+ \mu^+ \mu)$ | 6.2 (7.6)                  | 4.4 (5.3)                   | 6.0 (7.3)                   | 7.5 (8.7)            |

**Total:**

$$BF(D^+ \rightarrow \pi^- \mu^+ \mu^+) < 2.2 (2.5) \cdot 10^{-8} \text{ @ 90\% (95\%) CL}$$

$$BF(D_s^+ \rightarrow \pi^- \mu^+ \mu^+) < 1.2 (1.4) \cdot 10^{-7} \text{ @ 90\% (95\%) CL}$$

- ✓ ~ 50 times better than previous limit
- ✓ Still orders of magnitude above the SM prediction



# Our on going activities

- Update of searches with  $3 \text{ fb}^{-1}$  full data sample (2011+2012):
  - ◆  $D^0 \rightarrow \mu^+\mu^-$
  - ◆  $D_{(s)}^+ \rightarrow \pi^+\mu^+\mu^-$
  
- New searches
  - ◆  $D_{(s)}^+ \rightarrow K^+\mu^+\mu^-$
  - ◆  $D^0 \rightarrow \pi^-\pi^+\mu^+\mu^-$ ,  $D^0 \rightarrow K^-K^+\mu^+\mu^-$ ,  $D^0 \rightarrow K^+\pi^-\mu^+\mu^-$

# Conclusions

- Rare charm decays **good tools** for the search of the NP
- *Charm* decays are **complementary** to the *strange* and *beauty* rare decays
- No NP has been seen **yet**
- Present upper limits for rare charm sector **still above** SM predictions
- Results with  $1 \text{ fb}^{-1}$  (**2011 data**) have been shown
- **New results and new modes** are expected next fall and next year

**Thank you for attention!**

# Backup

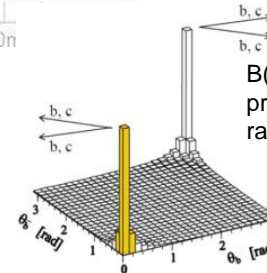
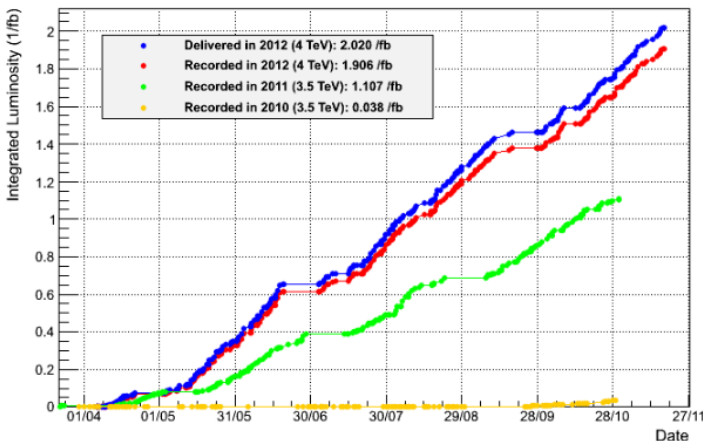
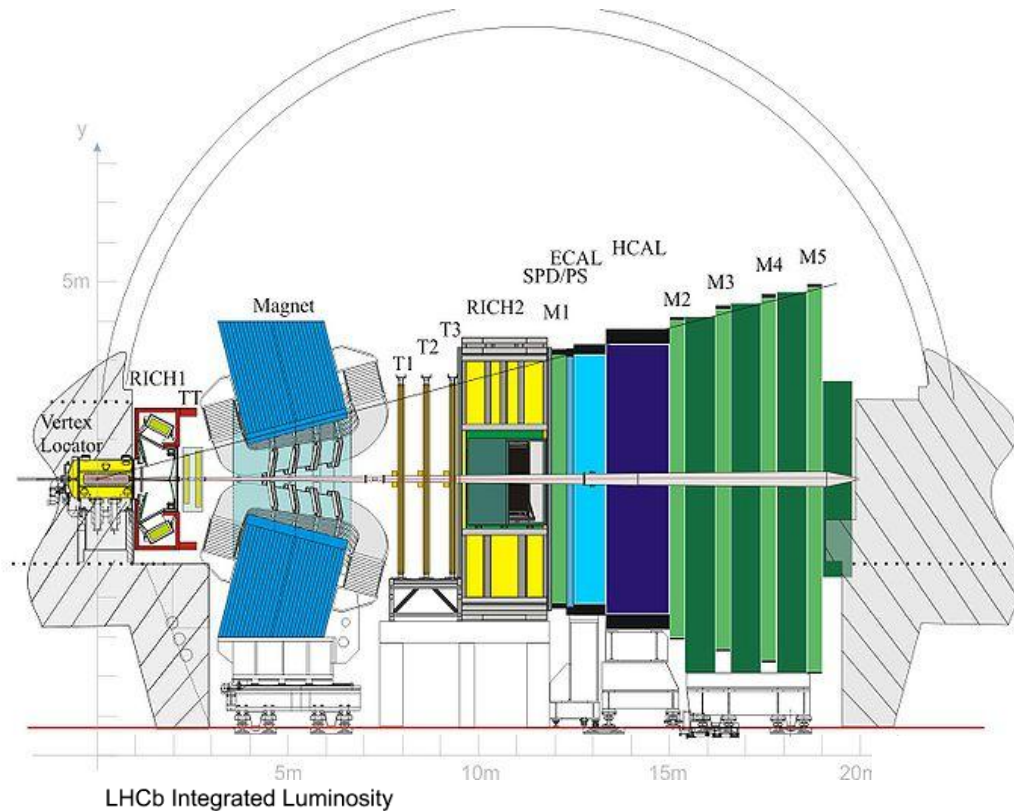
# LHCb detector

# LHCb detector



Designed for precise study of CP-violation, flavor-physics:

- forward geometry
- precise momentum and mass reconstruction
- precise vertex reconstruction, lifetime reconstruction
- Adapted and highly configurable trigger system
- identification:  $\pi, K, \mu$

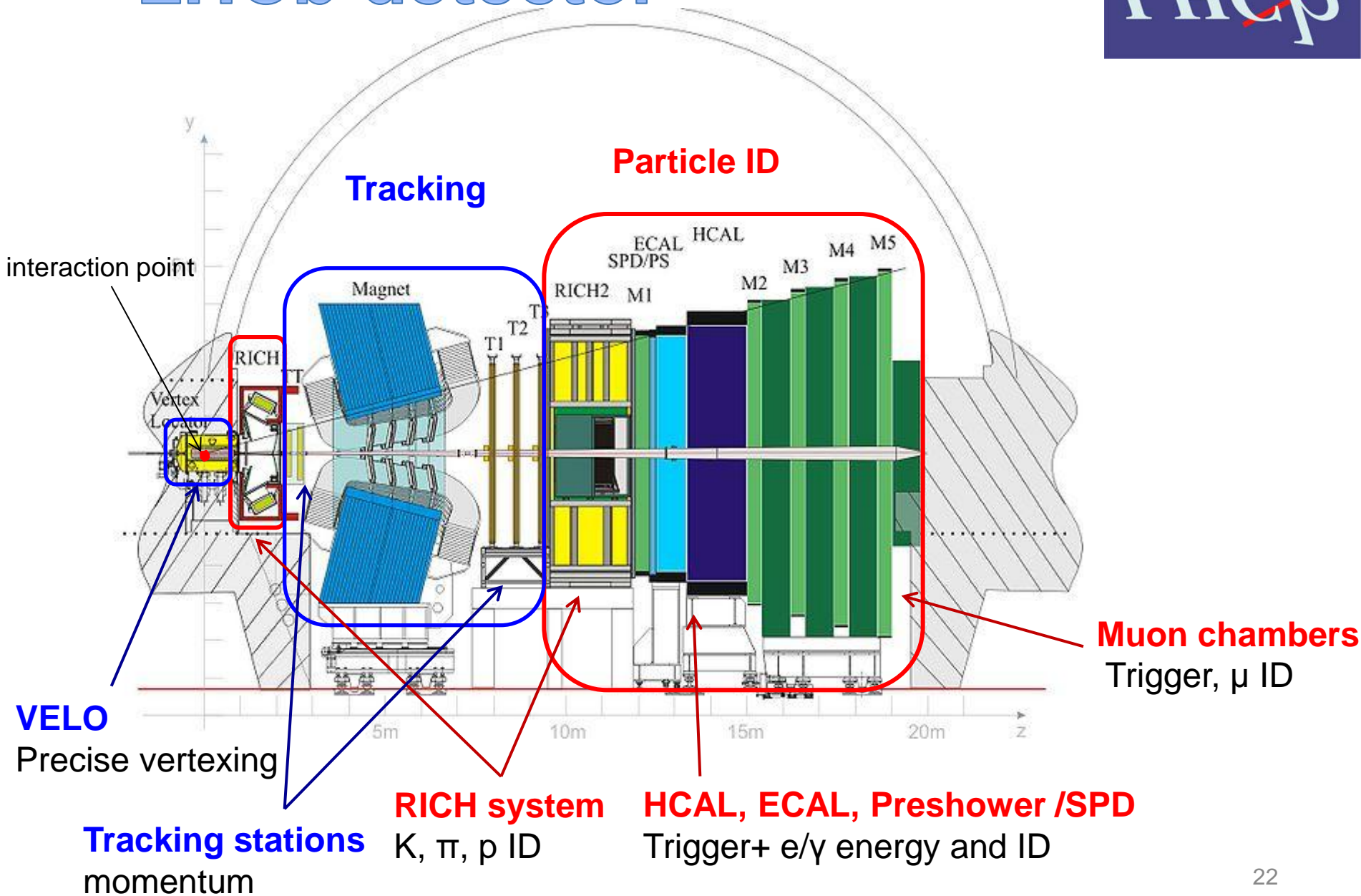


B(D) hadrons are producing in high rapidity

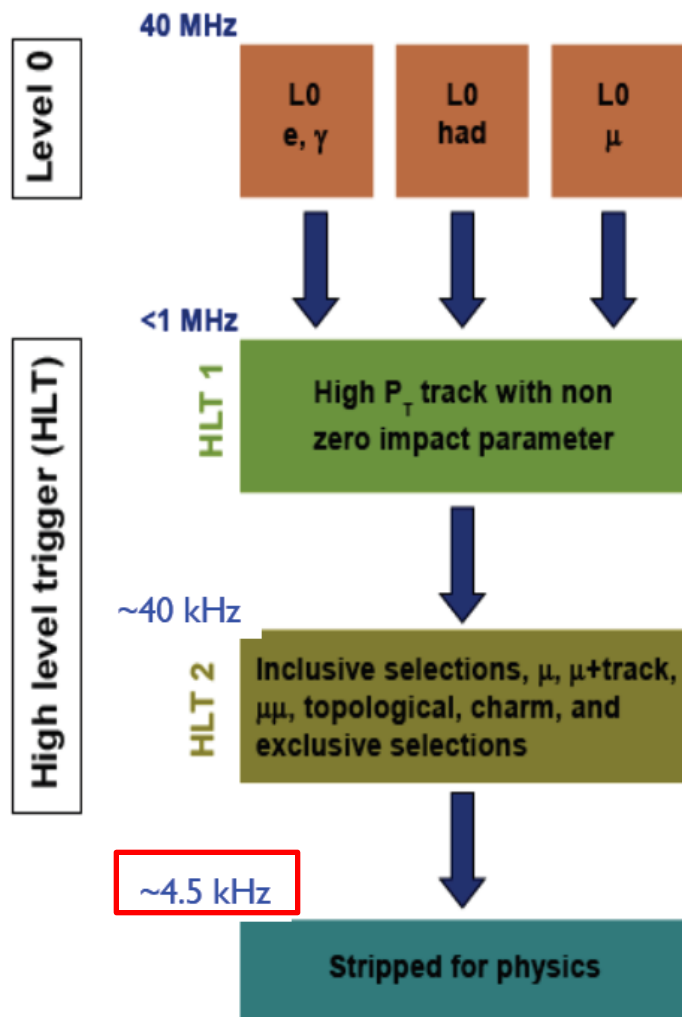
$2 < \eta < 5$  (15 – 300 mrad)  
Design luminosity  $2 \times 10^{32} \text{ cm}^{-2} \text{ s}^{-1}$

LHCb **delivered (2.0/fb)** and **recorded** luminosity in 2012, **+1.1/fb** indicates recorded luminosity in 2010-2011

# LHCb detector



# LHCb trigger



## L0 Hardware Trigger 40 MHz $\rightarrow$ 1 MHz

- Search for high  $p_t$ ,  $\mu$ , e,  $\gamma$ , hadron candidates  
CALO  $p_t > 3.6$  GeV, MUON  $p_t > 1.4$  GeV

## High Level Software Trigger Farm

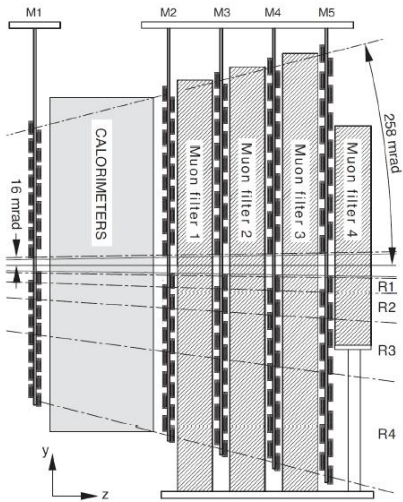
- HLT1: Add Impact parameter cuts
- HLT2: Global event reconstruction.  
Exclusive or inclusive offline-like selection (lines)

## Adaptation to

- physics priorities
- variation of beam conditions

# Muon particle identification

## High muon/hadron discriminative power based on the Muon System



Calo ( $6.2 \lambda_I$ ) + 3 iron absorbers (8cm thick,  $20 \lambda_I$ )

→ Easy for a  $\mu$  to traverse 3 to 5 stations (depending on its  $p$ )

→ Difficult for a pion or kaon

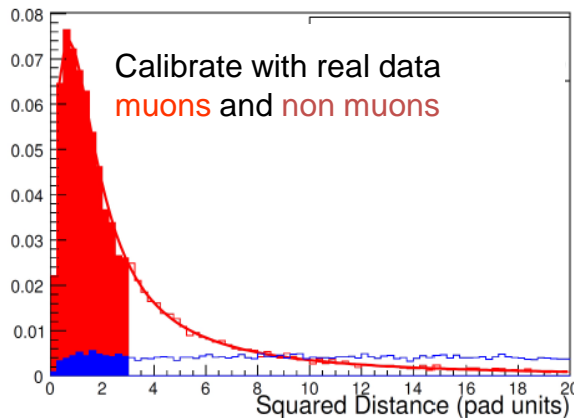
Tracks extrapolated from the tracking system

→ Easier to find hits close to it if this is a real  $\mu$

→ Typical distribution of the Average squared distance,

used to build a **muon likelihood**

$$D^2 = \frac{1}{N} \sum_{i=0}^N \left\{ \left( \frac{x_{closest,i} - x_{track}}{pad_x} \right)^2 + \left( \frac{y_{closest,i} - y_{track}}{pad_y} \right)^2 \right\}$$



Can be combined with **other likelihoods** based on the muon's signature in the RICH and Calorimeter.



# Muon particle identification

