

Introduction to Transverse Beam Dynamics

Lecture 4: Dispersion / Errors in fields and gradient

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Dispersion function and orbit

We need to study the motion for particles with $\Delta p = p - p_0 \neq 0$:

$$x''(s) + K(s)x(s) = \frac{1}{\rho} \frac{\Delta p}{p_0}$$

The general solution of this equation is:

$$x(s) = x_\beta(s) + x_D(s) \quad \left\{ \begin{array}{l} x_\beta''(s) + K(s)x_\beta(s) = 0 \\ D''(s) + K(s)D(s) = \frac{1}{\rho} \end{array} \right.$$

with $x_D(s) = D(s) \frac{\Delta p}{p_0}$.

Remarks

- ▶ $x_D(s)$ describes the deviation from the closed orbit for off-momentum particles with a fixed Δp
- ▶ $D(s)$ is that special orbit that a particle would have for $\Delta p/p = 1$
- ▶ the orbit of a generic particle is the sum of the well known $x_\beta(s)$ and $x_D(s)$

Dispersion function and orbit

$$\begin{cases} x(s) = x_{\beta}(s) + x_D(s) \\ x(s) = C(s)x_0 + S(s)x'_0 + D(s)\frac{\Delta p}{p} \end{cases}$$

In matrix form

$$\begin{pmatrix} x \\ x' \end{pmatrix}_s = \begin{pmatrix} C & S \\ C' & S' \end{pmatrix} \begin{pmatrix} x \\ x' \end{pmatrix}_0 + \frac{\Delta p}{p} \begin{pmatrix} D \\ D' \end{pmatrix}_0$$

We can rewrite the solution in matrix form:

$$\begin{pmatrix} x \\ x' \\ \Delta p/p \end{pmatrix}_s = \begin{pmatrix} C & S & D \\ C' & S' & D' \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ x' \\ \Delta p/p \end{pmatrix}_0$$

Inside a magnet, the dispersion trajectory is solution of $D''(s) + K(s)D(s) = \frac{1}{\rho}$:

$$D(s) = S(s) \int_0^s \frac{1}{\rho(t)} C(t) dt - C(s) \int_0^s \frac{1}{\rho(t)} S(t) dt$$

Exercise: show that $D(s)$ is a solution for the equation of motion, with the initial conditions $D_0 = D'_0 = 0$.

Dispersion function examples

Let's study, for different magnetic elements, the solution of:

$$D(s) = S(s) \int_0^s \frac{1}{\rho(t)} C(t) dt - C(s) \int_0^s \frac{1}{\rho(t)} S(t) dt$$

at the exit of the element: that is, in $D(L_{\text{magnet}})$

► Drift space:

$$M_{\text{Drift}} = \begin{pmatrix} 1 & L \\ 0 & 1 \end{pmatrix}$$

$C(t) = 1$, $S(t) = L \Rightarrow$ the integrals cancel

$$M_{\text{Drift}} = \begin{pmatrix} 1 & L & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

Dispersion function: sector dipole

- Sector dipole:

$$K = \frac{1}{\rho^2}:$$

$$M_{\text{Dipole}} = \begin{pmatrix} \cos(\sqrt{K}L) & \frac{1}{\sqrt{K}} \sin(\sqrt{K}L) \\ -\sqrt{K} \sin(\sqrt{K}L) & \cos(\sqrt{K}L) \end{pmatrix} = \begin{pmatrix} \cos \frac{L}{\rho} & \rho \sin \frac{L}{\rho} \\ -\frac{1}{\rho} \sin \frac{L}{\rho} & \cos \frac{L}{\rho} \end{pmatrix}$$

which gives

$$D(s) = \rho \left(1 - \cos \frac{L}{\rho} \right)$$

$$D'(s) = \sin \frac{L}{\rho}$$

therefore

$$M_{\text{Dipole}} = \begin{pmatrix} \cos \frac{L}{\rho} & \rho \sin \frac{L}{\rho} & \rho \left(1 - \cos \frac{L}{\rho} \right) \\ -\frac{1}{\rho} \sin \frac{L}{\rho} & \cos \frac{L}{\rho} & \sin \frac{L}{\rho} \\ 0 & 0 & 1 \end{pmatrix}$$

Dispersion function: quadrupole

- ▶ Focusing quadrupole:

$$M_{\text{QF}} = \begin{pmatrix} \cos(\sqrt{K}L) & \frac{1}{\sqrt{K}} \sin(\sqrt{K}L) & 0 \\ -\sqrt{K} \sin(\sqrt{K}L) & \cos(\sqrt{K}L) & 0 \\ 0 & 0 & 1 \end{pmatrix};$$

- ▶ Defocusing quadrupole:

$$M_{\text{QD}} = \begin{pmatrix} \cosh(\sqrt{|K|}L) & \frac{1}{\sqrt{|K|}} \sinh(\sqrt{|K|}L) & 0 \\ \sqrt{|K|} \sinh(\sqrt{|K|}L) & \cosh(\sqrt{|K|}L) & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

Dispersion propagation through the machine

- ▶ The equation:

$$D(s) = S(s) \int_0^s \frac{1}{\rho(t)} C(t) dt - C(s) \int_0^s \frac{1}{\rho(t)} S(t) dt$$

shows that the dispersion inside a magnet does not depend on the dispersion that might have been generated by the upstreams magnets.

- ▶ At the exit of a magnet of length L_m the dispersion reaches the value $D(L_m)$, then it propagates from there on through the rest of the machine, just like any other particle:

$$\begin{pmatrix} D \\ D' \end{pmatrix}_s = \begin{pmatrix} C & S \\ C' & S' \end{pmatrix} \begin{pmatrix} D \\ D' \end{pmatrix}_0$$

Closed orbit for an off-momentum particle

In a circular accelerator, even the trajectory of an off-energy particle must be periodic.

That is, for $\begin{pmatrix} \eta \\ \eta' \\ 1 \end{pmatrix}$ we want:

$$\begin{pmatrix} \eta \\ \eta' \\ 1 \end{pmatrix} = \begin{pmatrix} C & S & D \\ C' & S' & D' \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} \eta \\ \eta' \\ 1 \end{pmatrix}$$

Let's rewrite this in 2×2 form:

$$\begin{pmatrix} \eta \\ \eta' \end{pmatrix} = \begin{pmatrix} C & S \\ C' & S' \end{pmatrix} \begin{pmatrix} \eta \\ \eta' \end{pmatrix} + \begin{pmatrix} D \\ D' \end{pmatrix}$$
$$\begin{pmatrix} 1 - C & -S \\ -C' & 1 - S' \end{pmatrix} \begin{pmatrix} \eta \\ \eta' \end{pmatrix} = \begin{pmatrix} D \\ D' \end{pmatrix}$$

The solution is:

$$\begin{pmatrix} \eta \\ \eta' \end{pmatrix} = \frac{1}{(1 - C)(1 - S') - C'S} \begin{pmatrix} 1 - S' & S \\ C' & 1 - C \end{pmatrix} \begin{pmatrix} D \\ D' \end{pmatrix}$$

Dispersion function: example

In this example from the HERA storage ring (DESY) we see the twiss parameters and the dispersion near the interaction point. In the periodic region,

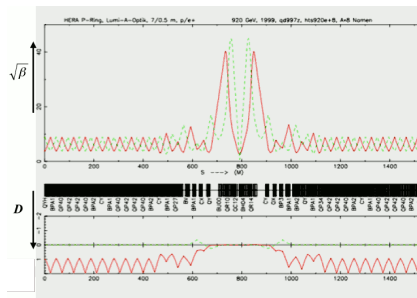
$$x_{\beta}(s) = 1 \dots 2 \text{ mm}$$

$$D(s) = 1 \dots 2 \text{ m}$$

$$\Delta p/p \approx 1 \cdot 10^{-3}$$

Remember:

$$x(s) = x_{\beta}(s) + D(s) \frac{\Delta p}{p}$$



Beware: the dispersion contributes to the beam size:

$$\sigma_x = \sqrt{\sigma_{x_{\beta}}^2 + \left(D \cdot \frac{\Delta p}{p}\right)^2} = \sqrt{\epsilon\beta + \left(D \cdot \frac{\Delta p}{p}\right)^2}$$

- ▶ We need to suppress the dispersion at the IP !
- ▶ We need a special insertion section: a *dispersion suppressor*

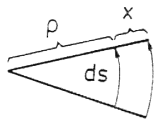
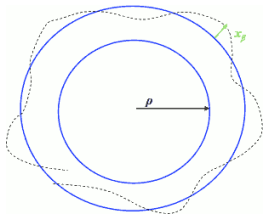
The momentum compaction factor

The dispersion function relates the momentum error of a particle to the horizontal orbit coordinate

The general solution of the equation of motion is

$$x(s) = x_{\beta}(s) + D(s) \frac{\Delta p}{p}$$

The dispersion changes also the length of the off-energy orbit.



$$ds' = ds \left(1 + \frac{x}{\rho}\right)$$

particle with offset x w.r.t. the design orbit:

$$\frac{ds'}{ds} = \frac{\rho + x}{\rho} \quad \rightarrow \quad ds' = \left(1 + \frac{x}{\rho}\right) ds$$

The circumference change is ΔC , that is $C' = \oint \left(1 + \frac{x}{\rho}\right) ds = C + \Delta C$

We define the "momentum compaction factor", α , such that:

$$\frac{\Delta C}{C} = \alpha \frac{\Delta p}{p} \quad \rightarrow \quad \text{a rough estimate is } \alpha = \frac{1}{Q_x^2}$$

The beam matrix

To track a beam of particles, let's assume with Gaussian distribution, the beam ellipse can be characterised by a "beam matrix" Σ

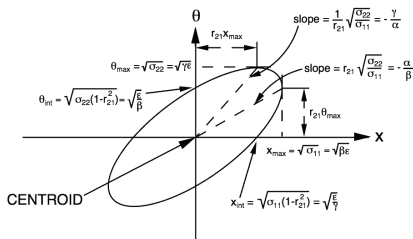
The equation of an ellipse can be written in matrix form:

$$X^T \Sigma X = 1$$

with $X = \begin{pmatrix} x \\ x' \end{pmatrix}$,

$$\Sigma = \begin{pmatrix} \sigma_{11} & \sigma_{12} \\ \sigma_{21} & \sigma_{22} \end{pmatrix} = \begin{pmatrix} \langle x^2 \rangle & \langle xx' \rangle \\ \langle x'x \rangle & \langle x'^2 \rangle \end{pmatrix}$$

Σ is the covariance matrix of the particles distribution



- ▶ The area of the ellipse is

$$A = \pi \sqrt{\det \Sigma} = \pi \epsilon$$

with slope $r_{21} = \sigma_{21} / \sqrt{\sigma_{11}\sigma_{22}}$.

- ▶ The transformation that transports the beam ellipse from a position 0 to a position s is:

$$\Sigma_s = M \Sigma M^T$$

Beam matrix and Twiss parameters

The beam matrix is the covariance matrix of the particle distribution

$$\Sigma = \begin{pmatrix} \sigma_{11} & \sigma_{12} \\ \sigma_{21} & \sigma_{22} \end{pmatrix} = \begin{pmatrix} \langle x^2 \rangle & \langle xx' \rangle \\ \langle x'x \rangle & \langle x'^2 \rangle \end{pmatrix}$$

this matrix can be also expressed in terms of Twiss parameters α , β , γ and ϵ :

$$\Sigma = \begin{pmatrix} \langle x^2 \rangle & \langle xx' \rangle \\ \langle x'x \rangle & \langle x'^2 \rangle \end{pmatrix} = \epsilon \begin{pmatrix} \beta & -\alpha \\ -\alpha & \gamma \end{pmatrix}$$

We can transport the beam matrix, or the twiss parameters, from 0 to s by two equivalent ways:

- ▶ Twiss 3×3 transport matrix

$$\begin{pmatrix} \beta \\ \alpha \\ \gamma \end{pmatrix}_s = \begin{pmatrix} C^2 & -2SC & S^2 \\ -CC' & SC' + S'C & -SS' \\ C'^2 & -2S'C' & S'^2 \end{pmatrix} \begin{pmatrix} \beta \\ \alpha \\ \gamma \end{pmatrix}_0$$

- ▶ Using the transfer matrix $M = \begin{pmatrix} C & S \\ C' & S' \end{pmatrix}_{0 \rightarrow s}$:

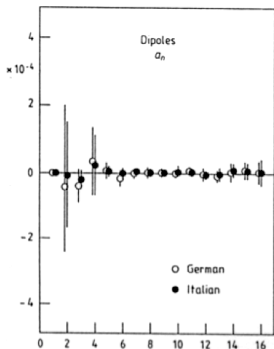
$$\Sigma_s = M \Sigma_0 M^T$$

Magnetic imperfections

High order multipolar components

Taylor expansion of the B field:

$$B_y(x) = \underbrace{B_{y0}}_{\text{dipole}} + \underbrace{\frac{\partial B_y}{\partial x}}_{\text{quad}} x + \frac{1}{2} \underbrace{\frac{\partial^2 B_y}{\partial x^2}}_{\text{sextupole}} x^2 + \frac{1}{3!} \underbrace{\frac{\partial^3 B_y}{\partial x^3}}_{\text{octupole}} x^3 + \dots \quad \text{divide by } B_{y0}$$



There can be undesired multipolar components, due to small fabrication defects

*Or also errors in the windings, in the gap h ,
... remember: $B = \frac{\mu_0 n I}{h}$*



Dipole magnet errors

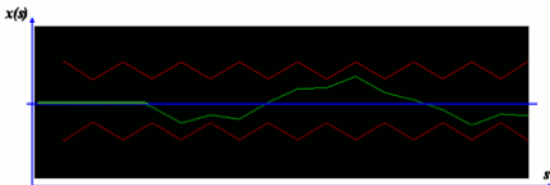
Let's imagine to have a magnet with $B_x = B_0 + \Delta B$. This will give an additional kick to each particle, and will distort the ideal design orbit

$$F_x = ev(B_0 + \Delta B); \quad \Delta x' = \Delta B ds / B \rho$$

A dipole error will cause a distortion of the closed orbit, that will „run around“ the storage ring, being observable everywhere. If the distortion is small enough, it will still lead to a closed orbit.

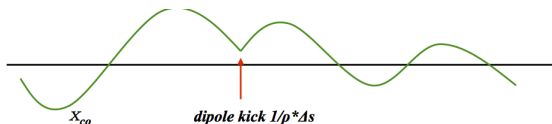
Example: 1 single dipole error

$$\begin{pmatrix} x \\ x' \end{pmatrix}_s = M_{\text{lattice}} \begin{pmatrix} 0 \\ \Delta x' \end{pmatrix}_0$$



In order to have bounded motion the tune Q must be non-integer, $Q \neq 1$. We see that even for particles with reference momentum p_0 an integer Q value is forbidden, since small field errors are always present.

Orbit distortion for a single dipole field error



We consider a single thin dipole field error at the location $s = s_0$, with a kick angle $\Delta x'$.

$$X_- = \begin{pmatrix} x_0 \\ x'_0 + \Delta x' \end{pmatrix}, \quad X_+ = \begin{pmatrix} x_0 \\ x'_0 \end{pmatrix}$$

are the phase space coordinates before and after the kick located at s_0 . The closed-orbit condition becomes

$$M_{\text{Lattice}} \begin{pmatrix} x_0 \\ x'_0 \end{pmatrix} = \begin{pmatrix} x_0 \\ x'_0 + \Delta x' \end{pmatrix}$$

The resulting closed orbit at s_0 is

$$x_0 = \frac{\beta_0 \Delta x'}{2 \sin \pi Q} \cos \pi Q; \quad x'_0 = \frac{\Delta x'}{2 \sin \pi Q} (\sin \pi Q - \alpha_0 \cos \pi Q)$$

where Q is the tune. The orbit at any other location s is

$$x(s) = \frac{\sqrt{\beta_s \beta_0}}{2 \sin \pi Q} \cos(\pi Q - |\mu_s - \mu_0|) \Delta x'$$

(see the references for a demonstration)

Orbit distortion for a distributed dipole field errors

One single dipole field error

$$x(s) = \frac{\sqrt{\beta(s)\beta(0)}}{2 \sin \pi Q} \cos(\pi Q - |\mu(s) - \mu(0)|) \Delta x'$$

Distributed dipole field errors

$$x(s) = \frac{\sqrt{\beta(s)}}{2 \sin \pi Q} \oint \sqrt{\beta(t)} \cos(\pi Q - |\mu(s) - \mu(t)|) \Delta x' dt$$

- ▶ orbit distortion is visible at any position s in the ring, even if the dipole error is located at one single point s_0
- ▶ the β function describes the sensitivity of the beam to external fields
- ▶ the β function acts as amplification factor for the orbit amplitude at the given observation point
- ▶ there is a resonance denominator (Q integer)

The resonances

Closed orbit distortion due to dipole field errors:

$$x(s) = \frac{\sqrt{\beta(s)}}{2 \sin \pi Q} \oint \sqrt{\beta(t)} \cos(\pi Q - |\mu(s) - \mu(t)|) \Delta x' dt$$

Remember the definition of tune:

$$Q = \frac{\mu_L}{2\pi}$$

is the phase advance for a revolution μ_L in units of 2π .

Extremely important:

- ▶ In case of imperfections the orbit becomes unstable for Q integer
- ▶ Integer tunes lead to a resonant increase of the closed orbit amplitude in presence of the smallest dipole field error!

Tunes and resonances

The particles – oscillating under the influence of the external magnetic fields – can be excited in case of resonant tunes to infinite high amplitudes.

There is particle loss within a short number of turns.

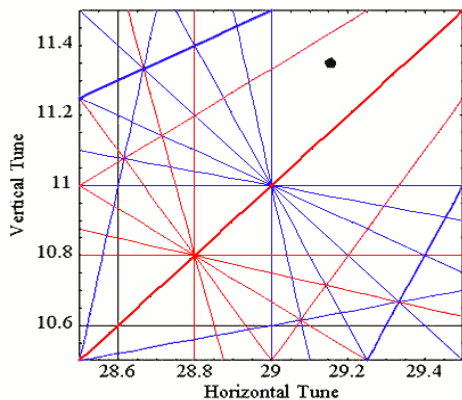
The cure:

1. **avoid** large magnet errors
2. **avoid forbidden tune values** in both planes

$$m \cdot Q_x + n \cdot Q_y \neq p$$

with m , n , p integer numbers

Resonance diagram



A resonance diagram for the Diamond light source. The lines shown are the resonances and the black dot shows a suitable place where the machine could be operated.

Quadrupole errors: tune shift

Orbit perturbation described by a thin lens quadrupole:

$$M_{\text{Perturbed}} = \underbrace{\begin{pmatrix} 1 & 0 \\ \Delta kds & 1 \end{pmatrix}}_{\text{perturbation}} \underbrace{\begin{pmatrix} \cos \mu_0 + \alpha \sin \mu_0 & \beta \sin \mu_0 \\ -\gamma \sin \mu_0 & \cos \mu_0 - \alpha \sin \mu_0 \end{pmatrix}}_{\text{ideal ring}}$$

Let's see how the tunes changes:

$$M_{\text{Perturbed}} = \begin{pmatrix} \cos \mu_0 + \alpha \sin \mu_0 & \beta \sin \mu_0 \\ \Delta kds (\cos \mu_0 + \alpha \sin \mu_0) - \gamma \sin \mu_0 & \Delta kds \beta \sin \mu_0 + \cos \mu_0 - \alpha \sin \mu_0 \end{pmatrix}$$

Remember the rule for computing the tune:

$$2 \cos \mu = \text{trace}(M) = 2 \cos \mu_0 + \Delta kds \beta \sin \mu_0$$

Quadrupole errors: tune shift (cont.)

We rewrite $\cos \mu = \cos (\mu_0 + \Delta \mu)$

$$\cos (\mu_0 + \Delta \mu) = \cos \mu_0 + \frac{1}{2} \Delta k d s \beta \sin \mu_0$$

from which we can compute that

$$\Delta \mu = \frac{\Delta k d s \beta}{2} \quad \text{shift in the phase advance}$$

$$\Delta Q = \int_{s_0}^{s_0+L} \frac{\Delta k(s) \beta(s) ds}{4\pi} \quad \text{tune shift}$$

Important remarks:

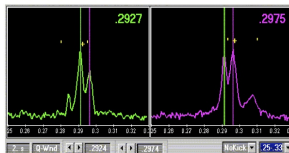
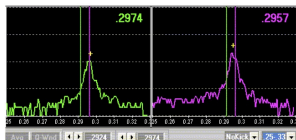
- ▶ the tune shift is proportional to the β -function at the quadrupole
 - ▶ field quality, power supply tolerances etc. are much tighter at places where β is large
- ▶ β is a measurement of the sensitivity of the beam

Quadrupole errors: tune shift example

Deliberate change of a quadrupole strength in a synchrotron:

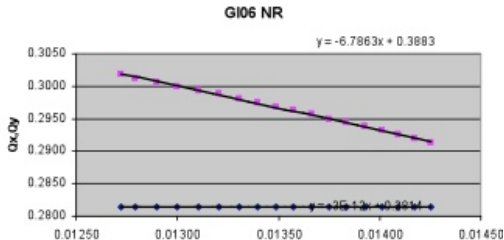
$$\Delta Q = \int_{s_0}^{s_0+L} \frac{\Delta K(s) \beta(s) ds}{4\pi} \approx \frac{\Delta K(s) L_{\text{quad}} \bar{\beta}}{4\pi}$$

⇒



the tune is measured permanently

*After changing the strength of a quad:
we get a second peak*

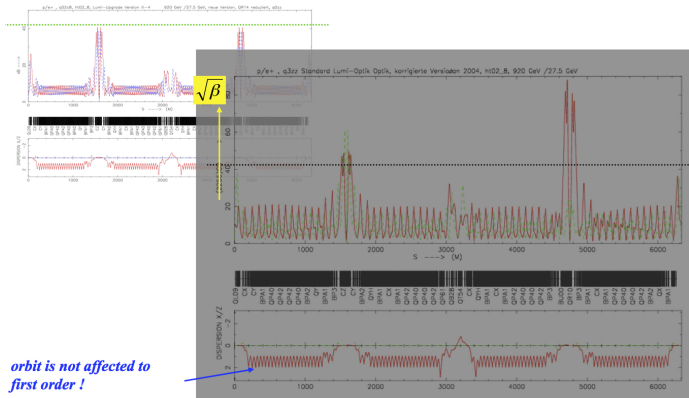


Quadrupole errors: beta beat

A quadrupole error at s_0 causes distortion of β -function at s : $\Delta\beta(s)$ due to the errors of all quadrupoles:

$$\frac{\Delta\beta(s)}{\beta(s)} = \frac{1}{2 \sin 2\pi Q} \oint \beta(t) \Delta k(t) \cos(2\pi Q - 2(\mu(t) - \mu(s))) dt$$

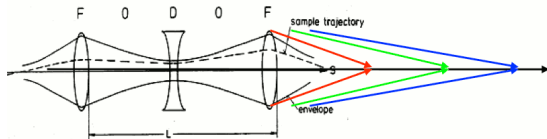
affects the element M_{12} of the M matrix.



orbit is not affected to first order!

Quadrupole errors: chromaticity, ξ

Is an error (optical aberration) that happens in quadrupoles when $\Delta p/p \neq 0$:



The chromaticity ξ is the variation of tune ΔQ with the relative momentum error:

$$\Delta Q = \xi \frac{\Delta p}{p_0} \Rightarrow \xi = \frac{d\Delta Q}{d\Delta p/p}$$

Remember the quadrupole strength:

$$k = \frac{g}{p/e} \quad \text{with } p = p_0 + \Delta p$$

then

$$k = \frac{eg}{p_0 + \Delta p} \approx \frac{e}{p_0} \left(1 - \frac{\Delta p}{p_0} \right) g = k_0 + \Delta k$$

$$\Delta k = -\frac{\Delta p}{p_0} k_0$$

Quadrupole errors: chromaticity (cont.)

$$\Delta k = -\frac{\Delta p}{p_0} k_0$$

⇒ Chromaticity acts like a quadrupole error and leads to a *tune spread*:

$$\Delta Q_{\text{one quad}} = -\frac{1}{4\pi} \frac{\Delta p}{p_0} k_0 \beta(s) ds \quad \Rightarrow \quad \Delta Q_{\text{all quads}} = -\frac{1}{4\pi} \frac{\Delta p}{p_0} \oint k(s) \beta(s) ds$$

Therefore the definition of chromaticity ξ is

$$\xi = -\frac{1}{4\pi} \oint_{\text{quads}} k(s) \beta(s) ds$$

The peculiarity of chromaticity is that it isn't due to external agents, it is generated by the lattice itself!

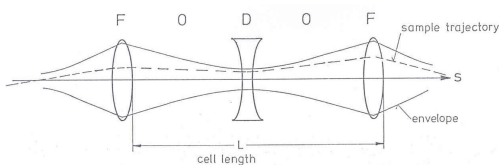
Remarks:

- ▶ ξ is a number indicating the size of the tune spot in the working diagram
- ▶ ξ is always created by the focusing strength k of **all** quadrupoles

In other words, because of chromaticity the tune is not a point, but it is pancake

Example: Chromaticity of the FODO cell

Consider a ring composed by N_{cell} FODO cells like in figure, with two thin quads separated by length $L/2$,

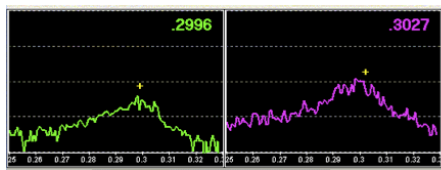


The natural chromaticity ξ_N for the N_{cell} cells is:

$$\begin{aligned}
 \xi_N &= -\frac{1}{4\pi} \oint \beta(s) k(s) ds \\
 &= -\frac{1}{4\pi} N_{\text{cell}} \int_{\text{cell}} \beta(s) \underbrace{k(s) ds}_{\frac{1}{f}} \\
 &= -\frac{1}{4\pi} N_{\text{cell}} \left[\beta^+ \left(\frac{1}{f_F} \right) - \beta^- \left(\frac{1}{f_D} \right) \right] \\
 &= -\frac{1}{4\pi \sin \mu} N_{\text{cell}} \left[\left(L + \frac{L^2}{4f_D} \right) \frac{1}{f_F} - \left(L - \frac{L^2}{4f_F} \right) \frac{1}{f_D} \right] \\
 &= -\frac{1}{4\pi \sin \mu} N_{\text{cell}} \left[\frac{L}{f_F} - \frac{L}{f_D} + \frac{L^2}{2f_F f_D} \right] \\
 &\simeq -\frac{1}{8\pi \sin \mu} N_{\text{cell}} \frac{L^2}{f_F f_D}
 \end{aligned}$$

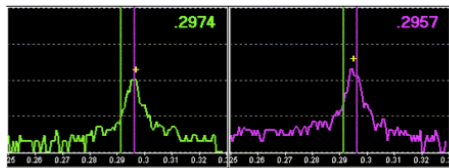
The chromaticity of the ring is the same as the FODO cell,

Quadrupole errors: chromaticity



*Tune signal for a nearly
uncompensated chromaticity
($Q' \approx 20$)*

*Ideal situation: chromaticity well corrected,
($Q' \approx 1$)*



Summary

orbit for an off-momentum particle $x(s) = x_\beta(s) + D(s) \frac{\Delta p}{p}$

dispersion trajectory $D(s) = S(s) \int_0^s \frac{1}{\rho(t)} C(t) dt - C(s) \int_0^s \frac{1}{\rho(t)} S(t) dt$

equations of motion with dispersion
$$\begin{pmatrix} x \\ x' \\ \Delta p/p \end{pmatrix}_s = \begin{pmatrix} C & S & D \\ C' & S' & D' \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ x' \\ \Delta p/p \end{pmatrix}_0$$

definition of momentum compaction $\frac{\Delta C}{C} = \alpha \frac{\Delta p}{p}$

stability condition $m \cdot Q_x + n \cdot Q_y \neq p$ with n, m, p integers

tune shift $\Delta Q = \frac{1}{4\pi} \oint_{\text{quads}} \Delta k(s) \beta(s) ds$

beta beat
$$\frac{\Delta \beta(s)}{\beta(s)} = \frac{1}{2 \sin 2\pi Q} \cdot \oint \beta(t) \Delta k(t) \cos(2\pi Q - 2(\mu(t) - \mu(s))) dt$$

chromaticity $\xi = \frac{d\Delta Q}{d\Delta p/p} = -\frac{1}{4\pi} \oint_{\text{quads}} k(s) \beta(s) ds$

References

Derivation of the equation of the orbit distortion for a dipole field errors:

1. Shyh-Yuan Lee: Accelerator Physics, World Scientific, 2004
2. The CERN Accelerator School (CAS) Proceedings