

# D-brane instantons in Type II orientifolds

in collaboration with

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## Motivation

**Non-perturbative effects crucial** for understanding of **vacuum structure** and **phenomenology of 4D string landscape**

despite suppression with  $e^{-S_{n.p.}}$ :

constitute leading contributions if corresponding interactions forbidden perturbatively

- relevant for very **definition of vacuum**  
Example: stabilisation of Kähler moduli in IIB orientifolds
- determine **phenomenological properties of vacuum**:  
perturbatively forbidden important matter couplings
  - ↪ **Dynamical SUSY breaking**
  - ↪ **generation of observed hierarchies**  
e.g. **Majorana masses**, certain **Yukawas**,  **$\mu$ -terms**

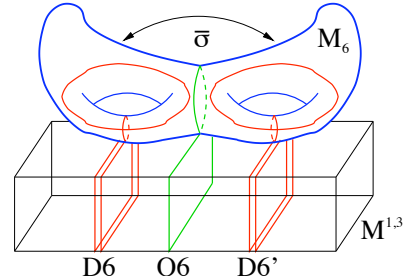
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# D-brane instantons

D-brane instanton = Euclidean D-brane  $\mathcal{E}$  along non-trivial cycle of compactification manifold and pointlike in spacetime

Type II orientifolds on quotient  $CY_3/\sigma$

- Type IIA: D6-branes and E2-inst.
- Type IIB: D7/D3-branes and E3/E(-1)-inst.
- Type I: D9/D5-branes and E5/E1-inst.



Distinguish 2 types of D-brane instantons  $\mathcal{E}$  on cycle  $\Xi$

- cycle  $\Xi$  wrapped by spacetime-filling D-brane  $\mathcal{D}$   
→ gauge instanton associated with gauge group of D-brane
- cycle  $\Xi$  not wrapped by any D-brane  
→ 'stringy/exotic instanton'

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# Instantons

**worldsheet instantons:**

in heterotic compactifications [Dine,Seiberg,Wenn,Witten'86],

[Distler,Green'88], [Witten'99], [Buchbinder,Donagi,Ovrut'02]

in IIA brane models [Kachru et al.'00], [Aganagic,Vafa'00]

**spacetime instantons:**

in M/F-theory [Becker,Becker,Strominger'95],[Witten '96],[Ganor '96],

[Harvey, Moore'99]

CFT aspects of D-instantons [Polchinski'94], [Green,Gutperle'97,'00]

ADHM instantons [Witten '95], [Douglas '95], [Billo et al.'02]

More recently: stringy instanton effects in matter sector of Type II

[Blumenhagen,Cvetič,TW], [Ibanez,Uranga], [Florea,McGreevy,Kachru,Saulina] '06 see

also [Haack,Krefl,Lüst,VanProeyen,Zagermann] '06

**This talk: Focus on  $F$ -terms from BPS D-brane instantons**

↪ subtleties of F-term generation in type II setups

↪ generation of hierarchical matter superpotentials

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# BPS-instanton zero modes

## 1) Open strings in $\mathcal{E} - \mathcal{E}$ sector

- universal zero modes:

$\rightsquigarrow$  4 bosonic modes  $x_E^i$

$\rightsquigarrow$  for generic BPS instanton  $\mathcal{E} \neq \mathcal{E}'$ :

2 + 2 Goldstinos  $\theta_\alpha, \bar{\tau}_{\dot{\alpha}}$

$\mathcal{N} = 1$	$\mathcal{N} = 1'$
$\theta_\alpha$	$\tau_\alpha$
$\bar{\theta}_{\dot{\alpha}}$	$\bar{\tau}_{\dot{\alpha}}$

- deformation/Wilson line modes:

E.g. E2 in IIA (generic  $\mathcal{E} \neq \mathcal{E}'$ ):  $c_I, \chi_I^\alpha, \bar{c}_I, \bar{\chi}_I^{\dot{\alpha}}, I = 1, \dots, b_1(\Xi)$

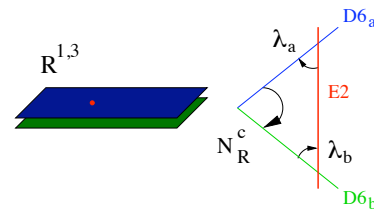
## 2) Boundary changing open strings with one end on $\mathcal{E}$

- $\mathcal{E} - \mathcal{E}'$  sector or  $\mathcal{E}_i - \mathcal{E}_j$  sector (multi-instantons)

- Charged zero modes in  $\mathcal{E} - \mathcal{D}$  sector at each chiral "intersection":

1 chiral fermionic zero mode

$\Rightarrow$  phenomenologically interesting couplings (see part II)



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# F-terms from Instantons

**Superpotential** measure:  $\int d^4x d^2\theta$

$\rightsquigarrow$  General lore: need BPS instantons  $\leftrightarrow$  minimal of Goldstinos

$\rightsquigarrow$  requires lifting all extra zero modes without inducing higher derivatives

**The fate of Goldstinos**  $\bar{\tau}^{\dot{\alpha}}$

- gauge instantons:

Lagrange multiplier enforcing bosonic ADHM constraints

[Billo, Frau, Pesando, Fucito, Lerda, Liccardo 0211250];

[Akerblom, Blumenhagen, Lüst, Plauschinn, Schmidt-Sommerfeld 0612132]

special case:  $\mathcal{E}$  parallel to single ( $U(1)$ ) brane

[Aharony, Kachru 0707.3126]; [Petersson 0711.1837]

- $\mathcal{O}(1)$  instanton along cycle  $\Xi = \Xi'$  with suitable orientifold action:

$\bar{\tau}^{\dot{\alpha}}$  projected out [Argurio, Bertolini, Franco, Kachru 0703236];

[Argurio, Bertolini, Ferretti, Lerda, Petersson 0704.0262]; [Bianchi, Fucito, Morales

0704.0784]; [Ibanez, Schellekens, Uranga 0704.1079]

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# F-terms from Instantons

## $\bar{\tau}^{\dot{\alpha}}$ lifting (continued)

- lifting via background flux [Blumenhagen,Cvetič,Richter,TW 0708.0403]

$\rightsquigarrow$  E3-instanton in IIB/ $\Omega\sigma(-1)^{F_L}$

$$S = \int_{\Gamma} \omega \left( e^{-\phi} \Gamma^{\tilde{m}} \nabla_{\tilde{m}} + \frac{1}{8} \tilde{G}_{\tilde{m}\tilde{n}p} \Gamma^{\tilde{m}\tilde{n}p} \right) \omega \quad [\text{Tripathy,Trivedi; Bergshoeff et al.;Park; Martucci et al.'05}]$$

$\Rightarrow$  no lifting of  $\bar{\tau}$  for (2,1) primitive or (0,3) 3-form flux alone

But: lifting via  $G_{ij\bar{k}}$  + terms linear self-dual magn. flux  $\in H_-^2(E3)$ :

$$S_{E3}(\mathcal{F}) = \int_{\Gamma} \omega \Gamma^{\tilde{i}pq} \omega \mathcal{F}_{\tilde{i}}^{\tilde{j}} G_{\tilde{j}pq} \quad [\text{BCRW 0708.0403}]$$

$\rightsquigarrow$  Fractional D1-instantons: also (0,3) flux lifts  $\bar{\tau}$ :

[Billo,Ferro,Frau,Fucito,Lerda,Morales 0807.1666, 0807.4098]

see also in Type I: [Bianchi,Kiritsis 0702015]

- lifting via zero mode interactions from  $\mathcal{E} - \mathcal{E}'$  sector:

[Blumenhagen,Cvetič,Richter,TW 0708.0403], [Garcia,Uranga 0711.1430],

[Cvetič,Richter,TW 0803.2513], [Garcia,Marchesano,Uranga 0805.0713] Strings 2008 – p.7

# Beasley-Witten F-terms

## Unlifted $\bar{\tau}^{\dot{\alpha}} \Rightarrow$ higher-derivative Beasley-Witten F-terms

[Blumenhagen,Cvetič,Richter, TW 0708.0403]

Reason:  $\int d^4x d^2\theta d^2\bar{\theta} \bar{\tau}$  not associated with full  $\mathcal{N} = 1$  superspace!

$\mathcal{N} = 1$	$\mathcal{N} = 1'$
$\theta_{\alpha}$	$\tau_{\alpha}$
$\bar{\theta}_{\dot{\alpha}}$	$\bar{\tau}_{\dot{\alpha}}$

BPS instantons with extra anti-chiral zero modes  $\rightarrow$  multi-fermion F-terms

$$S = \int d^4x d^2\theta w_{\tilde{i}_1 \dots \tilde{i}_n \tilde{j}_1 \dots \tilde{j}_n}(\Phi) \bar{\mathcal{D}}^{\dot{\alpha}} \bar{\Phi}^{\tilde{i}_1} \bar{\mathcal{D}}_{\dot{\alpha}} \bar{\Phi}^{\tilde{j}_1} \dots \bar{\mathcal{D}}^{\dot{\alpha}} \bar{\Phi}^{\tilde{i}_n} \bar{\mathcal{D}}_{\dot{\alpha}} \bar{\Phi}^{\tilde{j}_n}$$

in context of heterotic worldsheet instantons: [Beasley,Witten '05]

Here:  $\bar{\tau}$  modes couple at disk-level to closed hypermultiplets:

E.g. E2 in IIA with compl. struct.  $U^i \langle \theta \bar{U}^i \bar{\tau} \rangle \rightarrow \theta \sigma^{\mu} \bar{\tau} \partial_{\mu} \bar{U}^i$

$$S = \int d^4x d^2\theta e^{-\mathcal{U}(\Xi)} f_{\tilde{i},\tilde{j}}(\Phi_{op}, e^{\mathcal{T}_i}, e^{\Delta_i}) \bar{\mathcal{D}}^{\dot{\alpha}} \bar{U}^{\tilde{i}} \bar{\mathcal{D}}_{\dot{\alpha}} \bar{U}^{\tilde{j}} + h.c.$$

By contrast: D-terms require deviation from BPSness

[Garcia,Marchesano,Uranga 0805.0713]

# F-terms from Instantons

## Deformation modes:

Consider for simplicity only  $\mathcal{O}(1)$  instantons in IIA

$\implies$  deformations  $c_I, \chi_I^\alpha, \bar{c}_I, \bar{\chi}_I^\alpha$  subject to orientifold projection

- $c_I, \bar{c}_I, \bar{\chi}_I^\alpha$  survives,  $\chi_I^\alpha$  projected out

$\implies$  Beasley-Witten F-terms for vector-multiplets:

e.g. IIA:  $S = \int d^4x d^2\theta e^{-U(\Xi)} f_{i,\bar{j}}(\Phi_{op}, e^{T_i}, e^{\Delta_i}) \bar{D}^\alpha \bar{T}^i \bar{D}_{\dot{\alpha}} \bar{T}^{\bar{j}}$  [BCRW 0708.0403]

more on BW-F-terms: e.g. [Matsuo, Park, Ryou, Yamamoto 0803.0798],  
[Billo et al. 0807.1666, 0807.4098]

- $\chi^\alpha$  survives,  $c_I, \bar{c}_I, \bar{\chi}_I^\alpha$  projected out

$\implies$  instanton corrections to gauge kinetic function

[Akerblom, Blumenhagen, Lüst, Schmidt-Sommerfeld 0705.2366]

in agreement with heterotic-Type I duality:

[Camara, Dudas, Maillard, Pradisi 0710.3080]

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## Lifting Goldstinos $\bar{\tau}^{\dot{\alpha}}$

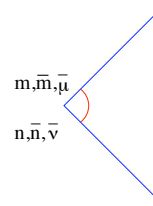
Consider Type IIA w/ pair of  $E2 - E2'$  instantons at SUSY angle and intersection on top of orientifold:

1) Minimal vector-like case:

$$n^+ = n^- = 1$$

$\mathcal{E} - \mathcal{E}'$  modes:  $m_{\mathcal{E}-\mathcal{E}'}, \bar{m}_{\mathcal{E}'-\mathcal{E}}, \bar{\mu}^{\dot{\alpha}}_{\mathcal{E}'-\mathcal{E}},$

$$n_{\mathcal{E}'-\mathcal{E}}, \bar{n}_{\mathcal{E}-\mathcal{E}'}, \bar{\nu}^{\dot{\alpha}}_{\mathcal{E}-\mathcal{E}'}$$



fermionic instanton moduli action: [Blumenhagen, Cvetič, Richter, TW 0708.0403]

$$S_{fermionic} = m \bar{\mu}^{\dot{\alpha}} \bar{\tau}_{\dot{\alpha}} - n \bar{\nu}^{\dot{\alpha}} \bar{\tau}_{\dot{\alpha}}$$

Integrate out  $\bar{\tau}^{\dot{\alpha}}$  and combination  $(\bar{\mu}^{\dot{\alpha}} - \bar{\nu}^{\dot{\alpha}})$

In absence of further interactions

$\bar{\chi}^{\dot{\alpha}} = \bar{\mu}^{\dot{\alpha}} + \bar{\nu}^{\dot{\alpha}}$  unlifted  $\implies$  no superpotential, but higher fermionic F-terms of Beasley-Witten type

If  $\exists$  quartic F-terms  $(MN)^2$  [Garcia-Etxebarria, Uranga 0711.1430]:

$\implies \bar{\chi}^{\dot{\alpha}}$  lifted and superpotential contributions possible

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# Instantons and threshold stability

In agreement with moduli dependence of distinction between invariant/  
non-invariant cycle

bosonic modes  $m, n \iff$  (re)combination moduli! [BCRW 0708.0403]

(re)combination governed by usual D-term in instanton effective action:

$$S_D = \frac{1}{2g_\xi^2} (2m\bar{m} - 2n\bar{n} - \xi)^2$$

in  $\mathcal{M}_0$ :  $\xi = 0$ , instanton (singular) union  $\mathcal{E} \cup \mathcal{E}'$ : U(1) locus

in  $\mathcal{M}_+$ :  $\xi > 0$ , condensation of  $m \rightarrow$  bound state  $\mathcal{E}' \# \mathcal{E}$

in  $\mathcal{M}_-$ :  $\xi < 0$ , condensation of  $n \rightarrow$  bound state  $\mathcal{E} \# \mathcal{E}'$

$\Rightarrow$  in  $\mathcal{M}_\pm$ : single invariant O(1) instanton  $\leftrightarrow$  universal measure  $d^4x d^2\theta$

- if rigid: superpotential contribution
- if  $\exists$  deformation modes: higher fermionic F-terms of Beasley-Witten type

**General lesson:** [GU 0711.1430]

Lifting of modes on  $\mathcal{M}_0 \leftrightarrow$  continuity of F-terms across line of threshold stability to ensure holomorphicity of F-terms

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# Instantons and marginal stability

2) Chiral intersection  $n^+ = 1, n^- = 0$  [BCRW, 0708.0403]

$$E - E' \text{ modes: } m, \bar{m}, \bar{\mu}^{\dot{\alpha}} \quad S_D = \frac{1}{2g_\xi^2} (2m\bar{m} - \xi)^2$$

in  $\mathcal{M}_0$ :  $\xi = 0$ , instanton (singular) union  $\mathcal{E} \cup \mathcal{E}'$ : U(1) locus

in  $\mathcal{M}_+$ :  $\xi > 0$ , condensation of  $m \rightarrow$  bound state  $\mathcal{E}' \# \mathcal{E}$

in  $\mathcal{M}_-$ :  $\xi < 0$ , no BPS state of charge  $[\mathcal{E}] + [\mathcal{E}']$  exists!

$\mathcal{E} \cup \mathcal{E}'$  and  $\mathcal{E}' \# \mathcal{E}$  do not contribute F-terms due to global constraints

Consider  $\mathcal{E} \cup \mathcal{E}'$  on  $\mathcal{M}_0$ :

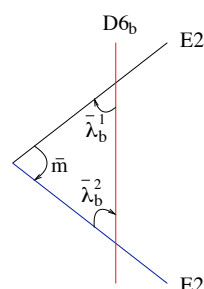
by tadpole cancellation  $\exists$  charged fermionic zero modes  $\lambda^i$  in instanton -  
D-brane sector of charge  $Q_\mathcal{E} = -4\mathbb{E} \circ \Pi_{O6}$  under  $U(1)_\mathcal{E}$

No perturbative couplings

in instanton effective action

can lift these chiral excess

modes  $\lambda^i$



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# Instantons and marginal stability

Non-perturbative lifting of  $\lambda^i$  via multi-instanton possible! [CRW, 0803.2513]

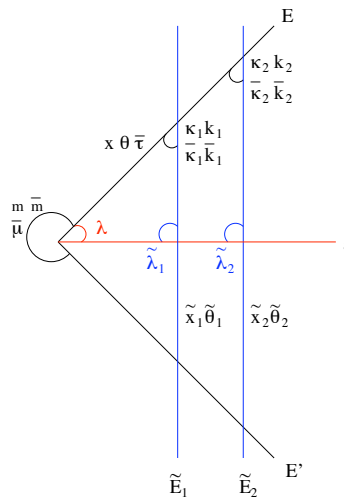
Consider in addition 2  $O(1)$  instantons  $\tilde{E}_1, \tilde{E}_2$  along  $\tilde{\Xi}_1, \tilde{\Xi}_2$

- lifts all fermionic zero modes
- bosonic moduli action:

$$S_D = \frac{1}{2g_E^2} (2m\bar{m} - k_1\bar{k}_1 - k_2\bar{k}_2 - \xi)^2,$$

$$S_F = l^2 (|k_1^2 + k_2^2|^2 + |m k_1|^2 + |m k_2|^2)$$

$\exists$  BPS bound state for  $\xi > 0$  and for  $\xi < 0$ ! no jump in BPS spectrum relevant for  $W$



**Microscopic explanation of general phenomenon:**

details of instanton recombination guarantee holomorphicity of superpotential [GMU 0805.0713]

## More on multi-instantons

2-instanton systems  $\mathcal{E} - \mathcal{E}'$  inevitable on covering space of Type II orientifolds [BCRW 0708.0403]

Extension to multi-instantons  $\mathcal{E}_i - \mathcal{E}_j$ :

- lifting of  $\mathcal{E}_i - \mathcal{E}_j$  couplings by tree-level couplings of similar type as in  $\mathcal{E} - \mathcal{E}'$  sector [GU 0711.1430], [GMU 0805.0713]
- higher instanton corrections due to lifting of modes at loop-level [Blumenhagen, Schmidt-Sommerfeld 0803.1562] [Camara, Dudas 0806.3102]

applications to moduli stabilisation/generation of hierarchies:

[Blumenhagen, Moster, Plauschinn 0806.2667]

# Perturbatively forbidden couplings

gauge group on D-branes  $\prod_a U(N_a) = \prod_a SU(N_a) \times U(1)_a$

in general:  $U(1)_a$  becomes massive via CS-couplings to RR-forms

$\Rightarrow$  charged axions  $a_\Xi = \int_\Xi C$ :  $A_a \rightarrow A_a + d\Lambda_a$ ,  $a_a \rightarrow a_a + \Lambda_a (\Xi \circ \Pi_a)$

$\Rightarrow e^{-S_\Xi} = \exp \left[ 2\pi \left( -\frac{\text{Vol}_\Xi}{g_s} + ia_\Xi \right) \right]$  not  $U(1)_a$  invariant if  $\Xi \circ \Pi_a \neq 0$

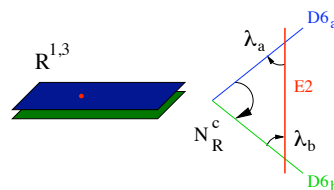
only  $W = \prod_i \Phi_i e^{-S_\Xi}$  with  $\sum_i Q_a(\Phi_i) + Q_a(\Xi) = 0 \forall a$  possible

**Microscopic origin:**

disk-level couplings  $S = \int_\Xi \lambda_a \Phi_{ab} \lambda_b$

$Z = \int d^4x d^2\theta d\lambda e^{-S_{cl} + \int_\Xi \lambda_a \Phi_{ab} \lambda_b}$

$\Rightarrow W = \prod_i \phi^i e^{-S_{cl}}$



[Blumenhagen,Cvetič,TW], [Ibanez,Uranga], [Florea,McGreevy,Kachru,Saulina] '06

Details of loop-computations [BCW] '06; [Abe1,Goodsell 0612110],

[Akerblom,Blumenhagen,Lüst,Schmidt-Sommerfeld 0612132, 0705.2366],

[Billo,Frau,Pesano,DiVecchia,Lerda,Marotta 0708.3806, 0709.0245]

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## Applications

**2 far-reaching consequences for string phenomenology:**

1.) **Generation of perturbatively forbidden open string couplings  $\prod_i \Phi_i$**

$\rightsquigarrow$  Majorana masses for right-handed neutrinos

[Blumenhagen,Cvetič,TW], [Ibanez,Uranga] '06; [Cvetič,Richter,TW];

[Ibanez,Schellekens,Uranga]; [Antusch,Ibanez,Macri]; [Cvetič,TW] '07

$\rightsquigarrow$  or suppressed Dirac neutrino masses [Cvetič,Langacker '08]

$\rightsquigarrow$  generation of weak-scale  $\mu$ -term  $\mu H_u H_d$  [BCW;IU '06];

$\rightsquigarrow$  perturbatively forbidden **10 10 5** in (flipped) **SU(5) GUT**

$\leftrightarrow$  low  $g_s$  analogue of M/F-theory! [Blumenhagen,Cvetič,Richter,Lüst,TW] '07;

$\rightsquigarrow$  applications to SUSY breaking F-terms [Florea,McGreevy,Kachru,Saulina] '06

$\rightsquigarrow$  instanton-induced SUSY breaking mediation [Buican,Franco] '08

2.) **moduli stabilisation if  $\langle \Phi_i \rangle = 0$**  (e.g. as in applications above)

**volume modulus of instanton cycle cannot be stabilised by non-pert. terms!**

**need D-terms** [Blumenhagen,Plauschinn,Moster] '07

and/or **non-trivial Kähler potential corrections** [Cicoli,Conlon,Quevedo] '08

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# F-term SUSY breaking

Stringy Polonyi models:

$$W = \mu^2 S + c, \quad \mu^2 = x M_s^2 e^{-S/\epsilon} \quad [\text{Aharony, Kachru, Silverstein 0708.0493}]$$

↪ either:  $S$  adjoint open modulus  $\Leftrightarrow$  instanton w/vectorlike zero modes

↪ or charged field  $S_{(-1_a, 1_b)}$  from massive  $U(1)_a \times U(1)_b$  sector

suitable for gauge mediation  $\Leftrightarrow$  messenger pair  $q, \tilde{q}$  with  $\lambda S q \tilde{q}$

$\Rightarrow$  requires stabilisation of  $\langle S \rangle \neq 0$  in metastable minimum, e.g

- in absence of any other terms involving  $S$  in  $W$ :

quartic corrections in Kähler potential stabilise  $S$  in SUGRA

$$K = SS^\dagger - \frac{|c|^2}{\Lambda^2} (SS^\dagger)^2 \quad [\text{Kitano 0607090}], [\text{Kallosh, Linde 0611183}]$$

$W$  realised in global Type I models in [Cvetič, TW 0711.0209];

and in local F-theory GUTs: [Heckman, Marsano, Saulina, Schäfer-Nameki, Vafa

0808.1286], [Marsano, Saulina, Schäfer-Nameki 0808.1571]

- or by quartic non-renormalisable terms involving  $\tilde{S}_{(1_a, -1_b)}$  in  $W$

[Cvetič, TW 0807.3953]

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## E1-instantons in Type I

Consider Type I with stacks of  $n_a \times N_a$  D9-branes w/ stable holomorphic  $U(n_a)$  bundle  $V_a$

$\Rightarrow$  gauge group  $U(N_a)$ :  $U(n_a) \subset U(n_a \times N_a) \rightarrow U(N_a)$

E1-instanton wrapping holomorphic curve  $C$

- universal zero modes  $d^4x d^2\theta$
- for  $C = \text{isolated } \mathbb{P}^1$ : no deformation modes

charged zero modes in  $D9_a - E1$  sector:

only chiral fermionic modes present

$$\lambda_a : (N_a, 1_E) \quad H^0(\mathbb{P}^1, V_a|_{\mathbb{P}^1} \otimes \mathcal{O}(-1))$$

$$\bar{\lambda}_a : (\bar{N}_a, 1_E) \quad H^0(\mathbb{P}^1, V_a^\vee|_{\mathbb{P}^1} \otimes \mathcal{O}(-1))$$

E1-charge:  $Q_a = \int_{\mathbb{P}^1} c_1(V_a) = \chi(\mathbb{P}^1, V_a|_{\mathbb{P}^1} \otimes \mathcal{O}(-1))$

extra zero modes in  $D5_i - E1$  sector: only if  $\Gamma_i \cap C \neq \emptyset$

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# Hidden sector dynamics from instantons

Implementation of **hidden sector** e.g. in context of **SU(5) GUT quiver**

$N_c = 5$ : **SU(5)-GUT stack**,  $N_d = 1$

Hidden sector:  $N_a = N_b = 1$

Particle	Charge	Sector	Particle	Charge	Sector
$(Q_L, U_R^c, e_R^c)$	$\mathbf{10}_{2c}$	$(c', c)$	$S$	$(-1_a, 1_b)$	$(a, b)$
$(L, D_R^c)$	$\bar{\mathbf{5}}_{(1_d, -1_c)}$	$(c, d)$	$\tilde{S}$	$(1_a, -1_b)$	$(b, a)$
Higgs	$\mathbf{5}_{(1_d, 1_c)}$	$(d', c)$	$q$	$\mathbf{5}_{(-1_b, 1_c)}$	$(b, c)$
$N_R^c$	$1_{-2d}$	$(d, d')$	$\tilde{q}$	$\bar{\mathbf{5}}_{(1_a, -1_c)}$	$(c, a)$

$W = M_s^2 S_{(-1_a, 1_b)}$  not  $U(1)$ -invariant

for non-pert. effect need 1  $\lambda_a$  in  $(1_E, 1_a)$ , 1  $\bar{\lambda}_b$  in  $(-1_b, 1_E)$

$$\Rightarrow V_a|_{\mathbb{P}^1} = \mathcal{O}_{\mathbb{P}^1}(1), \quad V_b|_{\mathbb{P}^1} = \mathcal{O}_{\mathbb{P}^1}(-1)$$

**Challenge in global constructions:** ensure absence of additional charged zero modes from other branes:

$$V_c|_{\mathbb{P}^1} = \mathcal{O}_{\mathbb{P}^1}(0), \quad V_d|_{\mathbb{P}^1} = \mathcal{O}_{\mathbb{P}^1}(0)$$

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# Hierarchies from instantons

**Suppression scale:**  $\mu^2 = x M_s^2 e^{-\frac{2\pi}{g_s} \text{Vol}_{E1}} = x M_s^2 e^{-\frac{2\pi}{\alpha_{GUT}} \frac{\text{Vol}_{E1}}{\tilde{f}_{GUT}}}$

$$\tilde{f}_{GUT} = \frac{1}{3!} \int_X J \wedge J \wedge J - \int_X J \wedge (\text{ch}_2(L_c) + \frac{1}{24} c_2(T))$$

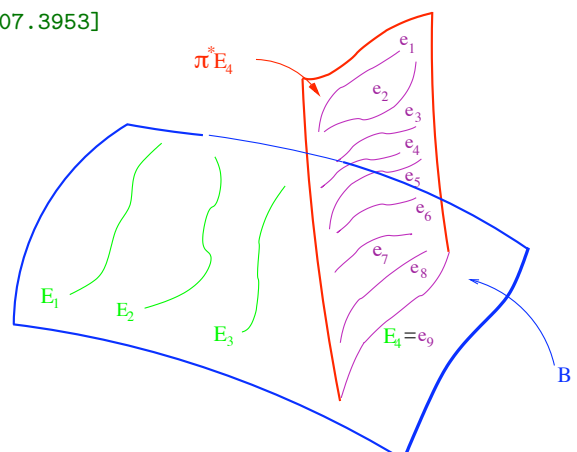
Type I relation:  $M_s \simeq \mathcal{O}(10^{17} \text{GeV})$  from  $M_s^2 = 2\pi (M_{Pl}^{\text{red}})^2 g_s \alpha_{GUT}$

for **TeV soft masses** need  $\mu = 10^{-10} M_s^{1/4} \leftrightarrow \text{Vol}_C / \tilde{f}_{GUT} \simeq 0.27$

realised in **explicit globally defined toy models on elliptic fibration**

$$\pi : X \rightarrow dP_4 \quad [\text{Cvetic}, \text{TW } 0711.0209 \text{ and } 0807.3953]$$

- divisors  $\pi^*(E_i) \subset X$  contain **rigid  $\mathbb{P}^1$**  contributing as **instantons**
- engineer **line bundles on D9-branes** to **satisfy zero mode constraints**



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# Hierarchies from instantons

Globally consistent toy model with 4 chiral families of **10** (+ vector pairs)

- explicit cancellation of D9-, D5- tadpoles and K-theory charges
- D-term supersymmetry conditions on line bundles have solutions inside Kähler cone such that  $Vol_C = 2.6\ell_s^2 \Rightarrow \mu = 10^{-10}$  for  $g_s = 0.4$

Clearly just first step: **have to stabilise closed moduli in this regime**

If  $F_{closed} \neq 0$ : gravity/anomaly mediation from closed sector may be compatible or dominant

$\Rightarrow$  **Aim: Implementation in semi-realistic vacua worth further phenomenological studies**

Construction of similar globally defined SU(5) toy models with instanton-induced Majorana masses for  $N_R^c$  [Cvetič, TW 0711.0209]

## Summary

Since Strings 2007: a lot of activity related to D-brane instantons in Type II orientifolds, including (and not restricted to) :

### Technical aspects:

- $\rightsquigarrow$  F-terms from instantons along non-invariant cycles
  - $\rightsquigarrow$  role of multi-fermion F-terms
  - $\rightsquigarrow$  towards better understanding of effects of background fluxes
  - $\rightsquigarrow$  incorporation of multi-instanton effects
  - $\rightsquigarrow$  behaviour across lines of threshold/marginal stability
- so far: explicit analysis restricted to local behaviour near lines of stability  
What can be said beyond? summation of D-brane instanton effects?

### Phenomenological applications:

- $\rightsquigarrow$  new phenomenologically desirable couplings
- $\rightsquigarrow$  implementation into globally consistent string vacua
- $\rightsquigarrow$  interplay of charged zero modes - moduli stabilisation
- $\rightsquigarrow$  applications to SUSY breaking and its mediation