

## The effective theory and its derivation from QCD

- QCD partition function with Yang-Mills action  $S_g$  and quark fermion matrix  $Q$  for  $N_f$  number of flavors on the lattice (Wilson fermions)

$$Z = \int [dU_\mu] \exp[-S_g(\beta)] \prod_{f=1}^{N_f} \det [Q^f(\kappa)], \quad -S_g = \frac{\beta}{2N_c} \sum_p [\text{tr} U_p + \text{tr} U_p^\dagger],$$

- parameters:
  - $-\beta = \frac{2N_c}{g_0^2}$ ;  $\kappa = \frac{1}{2(4+m_0)}$ ; bare gauge coupling  $g_0$  and bare quark mass  $m_0$
  - number of lattice sites in  $\tau$  direction  $N_\tau$ ; lattice spacing  $a$ ; temperature  $T = \frac{1}{aN_\tau}$
- effective Polyakov loop action  $S_{\text{eff}}$  obtained from an integration of spatial links  $U_k$ :

$$\exp[-S_{\text{eff}}] \equiv \int [dU_k] \exp[-S_g] \prod_{f=1}^{N_f} \det [Q^f],$$

- dimensional reduction from 3 + 1D to 3D  
 $U_\mu(x, t) \rightarrow U_0(x) \rightarrow$  Polyakov loops  $L(x)$

$$L(x) = \text{Tr} W(x) = \text{Tr} \left[ \prod_{\tau=0}^{N_\tau-1} U_0(x, \tau) \right] = \mathcal{P} e^{ig \int_0^1 d\tau A_0(x, \tau)}$$

- remaining path integral

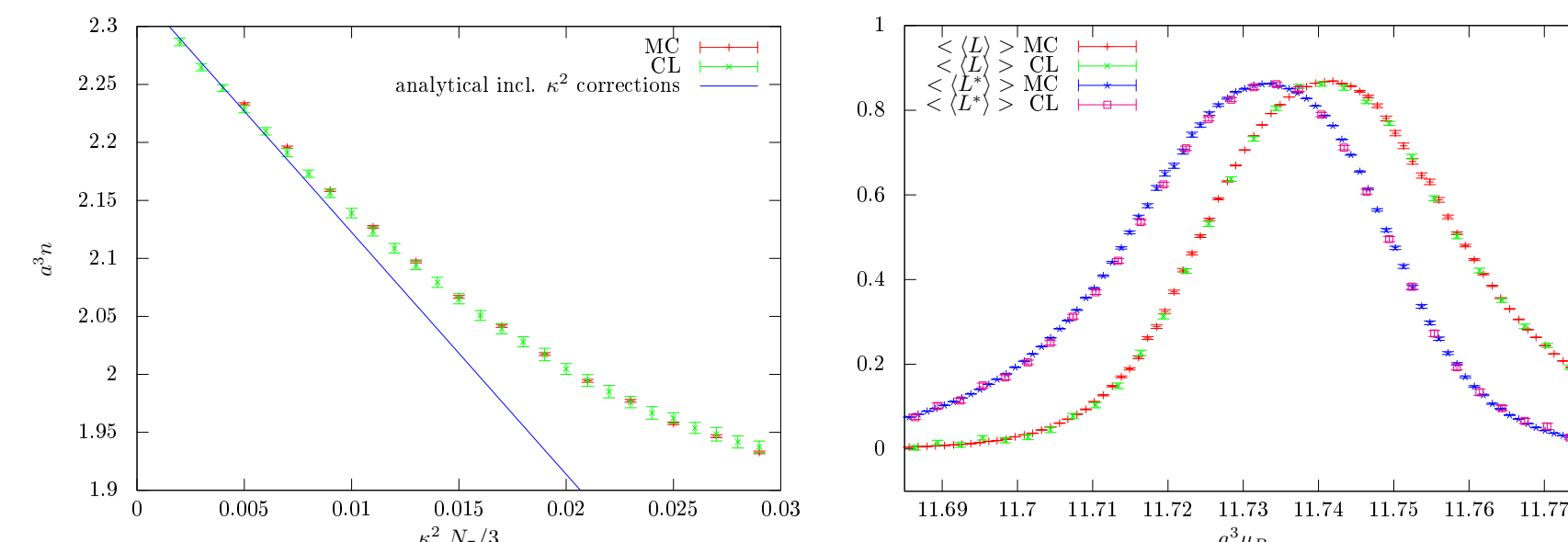
$$Z = \int [dL] e^{-S_{\text{eff}}[L]}$$

## Circumvent the sign problem: Numerical and analytic investigations of the effective theory

- sign problem: complex fermion determinant prevents lattice simulations at larger chemical potential

### Non-perturbative effects from complex Langevin and standard MC simulations

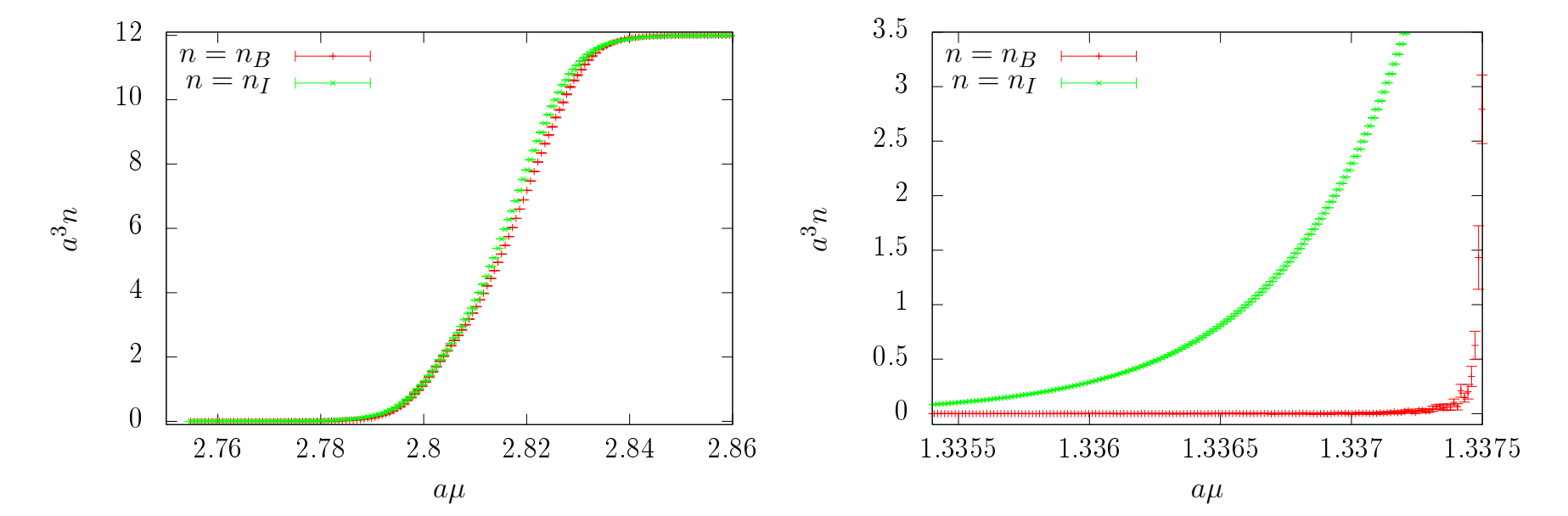
- effective theory inherits only mild version of sign problem
- solution 1: standard MC simulations and reweighting
- solution 2: complex Langevin algorithm
- correctness criteria checked, consistent results



### Analytic expansion of the effective theory

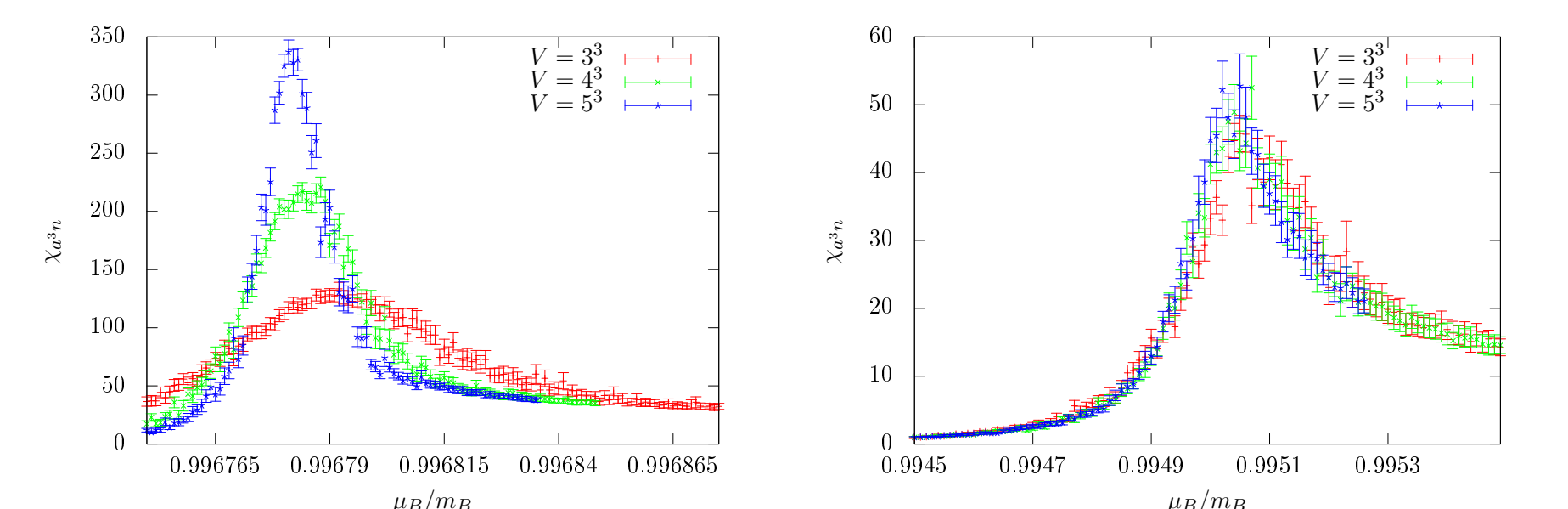
- small effective couplings: perturbative expansion of effective theory
- expansion parameter: effective coupling  $\lambda_1$  and  $\kappa^2$  two quark line interaction

## Isospin chemical potential



- $\mu_I = \mu_u = -\mu_d$
- pion condensation:  $\mu_I = m_\pi/2$
- transitions coincide for static quarks:  $m_B/3 = m_\pi/2$
- effect of quark interactions: gap between the two transitions

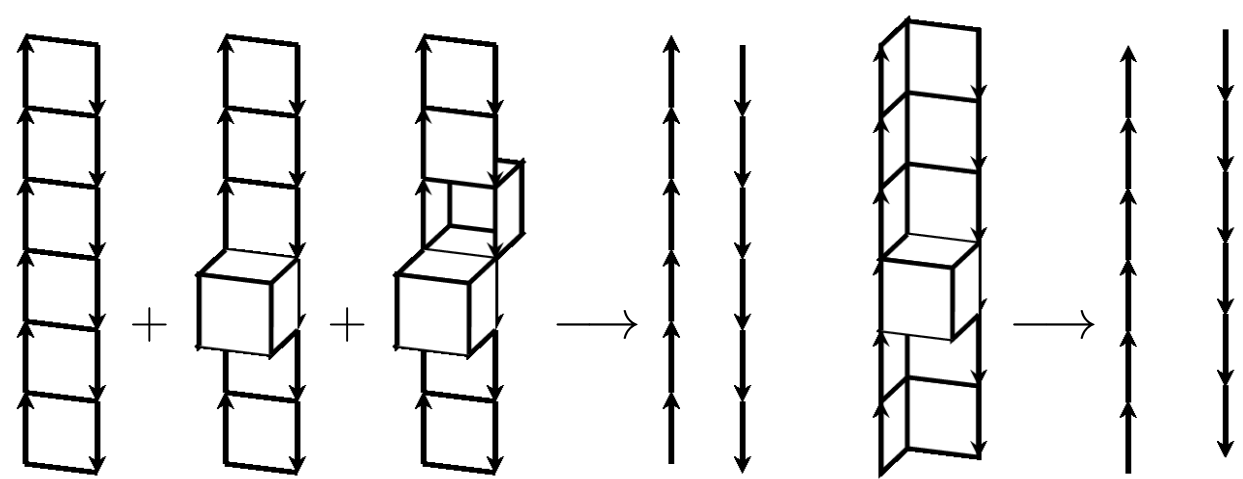
## First studies beyond the heavy mass regime



- at large quark masses, higher temperatures: onset transition is a smooth crossover
- at lower masses, lower temperatures: transition becomes first order
- $\Rightarrow$  transition between crossover and first order correctly reproduced by effective theory
- conservative estimate of reliable region in current truncation: small difference between  $O(\kappa^2)$  and  $O(\kappa^4)$
- so far interesting parameters outside this region, but  $\kappa^4$  approximation might still be reasonable

## The strong coupling and hopping parameter expansion

### Effective Yang-Mills action



$$S_{\text{eff}} = \lambda_1 S_{\text{nearest neighbors}} + \lambda_2 S_{\text{next to nearest neighbors}} + \dots$$

- strong coupling expansion parameter  $u = \frac{\beta}{18} + \dots < 1$
- ordering principle for the interactions: higher representations and long distances are suppressed ( $\lambda_1 = O(u^{N_\tau})$ ,  $\lambda_2 = O(u^{2N_\tau})$ )
- strong coupling approach suggests logarithmic form of the nearest neighbor interactions

$$e^{-S_{\text{eff}}} \approx \prod_{\langle i, j \rangle \text{ nearest n.}} (1 + 2\lambda_1 \text{Re}(L_i L_j^\dagger))$$

### Effective quark action

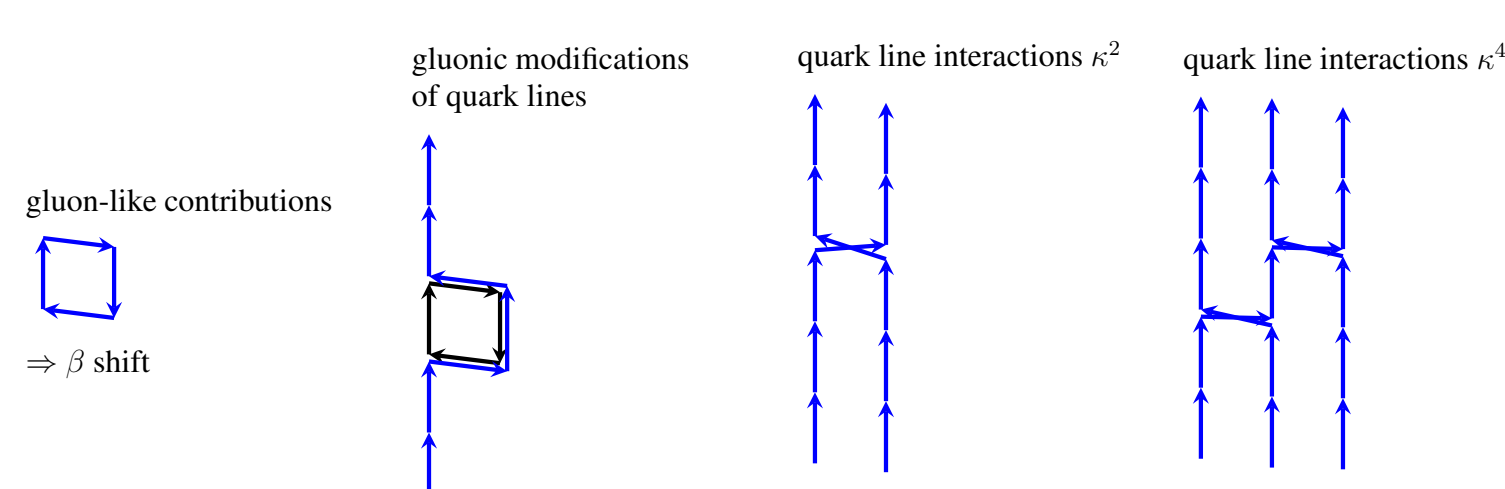
- Wilson-Dirac operator:  $Q = 1 - \kappa H[U]$  in quark action

$$S_q = -N_f \text{Tr} \log(1 - \kappa H) = N_f \sum_l \frac{\kappa^l}{l} \text{Tr} H^l$$

- expansion around heavy quark limit,  $\kappa = \frac{1}{2(4+m_0)} \ll 1$
- static quarks: only propagation in  $\tau$  direction  $\Rightarrow$  Polyakov loop  $L$

$$\det(1 + T^- + T^+) = \prod_n (1 + cL_n + c^2 L_n^\dagger + c^3)^2 (1 + \bar{c}L_n^\dagger + \bar{c}^2 L_n + \bar{c}^3)^2$$

- higher orders: spatial propagation  $\Rightarrow$  non-trivial interactions of Polyakov loops e. g.

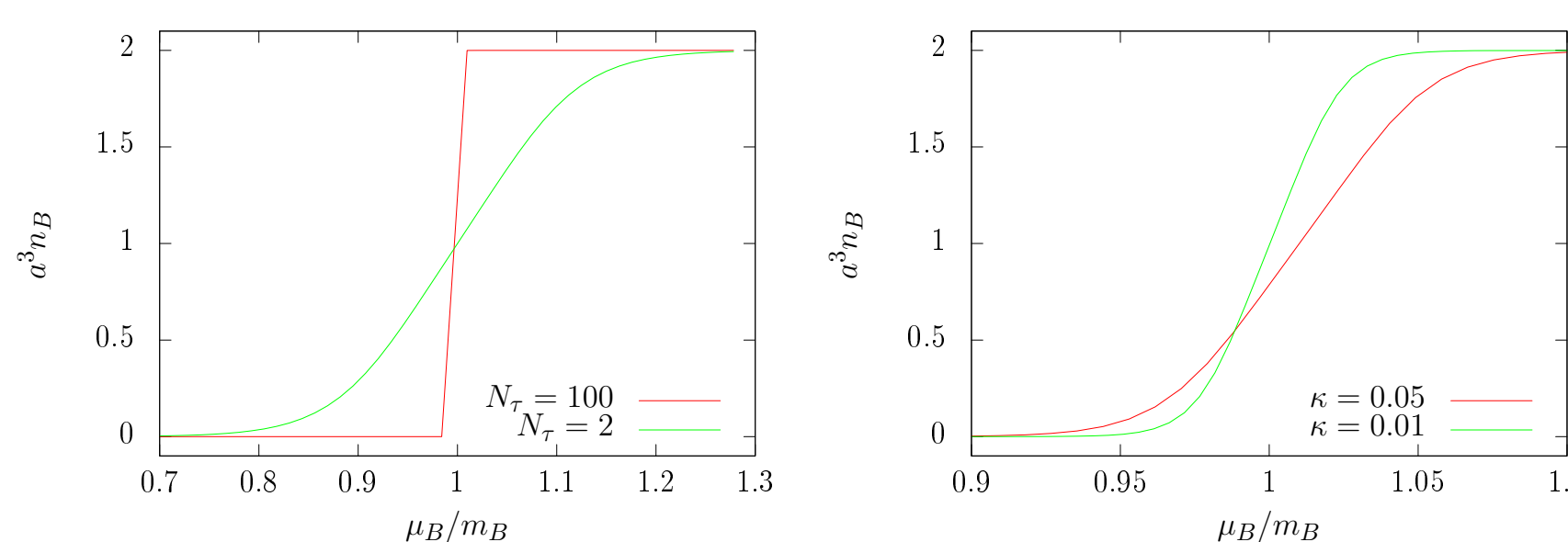


- chemical potential  $\mu$
- quarks  $L(T^+)$  get factors  $e^{a\mu}$ :  $c = (2\kappa e^{a\mu})^{N_\tau}$
- anti-quarks  $L^\dagger(T^-)$  get factors  $e^{-a\mu}$ :  $\bar{c} = (2\kappa e^{-a\mu})^{N_\tau}$
- $\Rightarrow$  interactions up to  $\kappa^m + u^m$ ,  $m + n = 4$  included

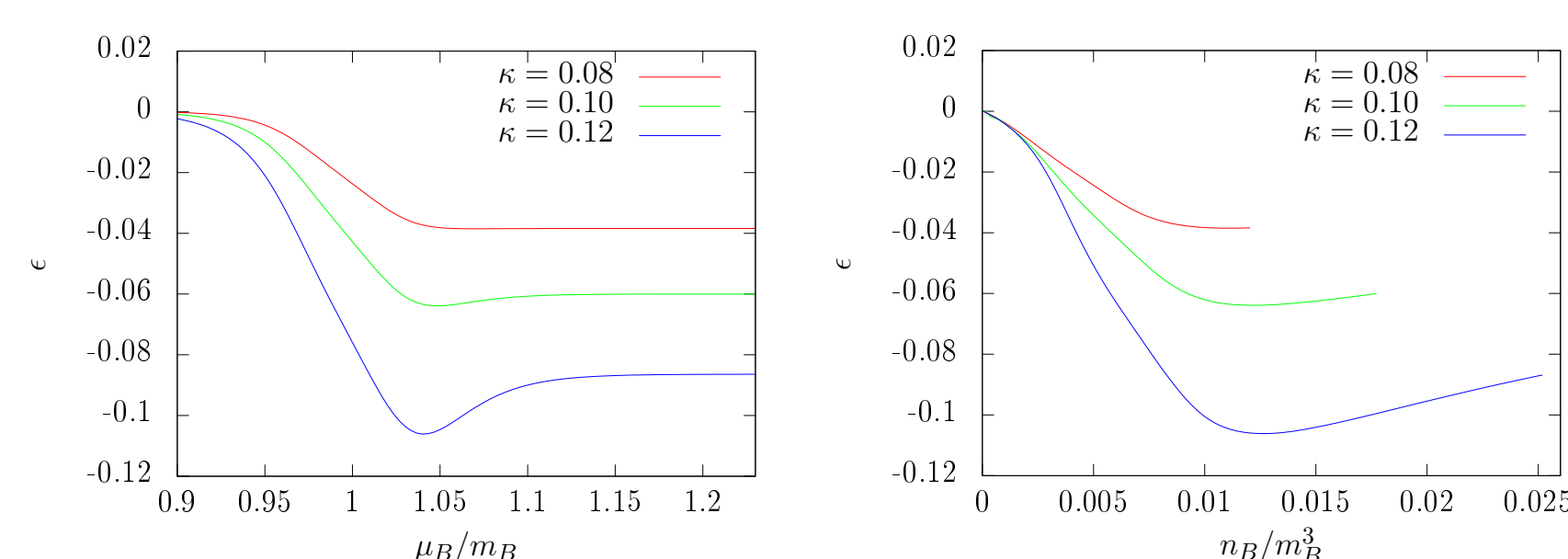
### Low temperature limit in the heavy dense regime

- low temperature:  $N_\tau$  large
- heavy:  $\kappa \ll 1$
- dense:  $2\kappa e^{a\mu} \approx 1$ ;  $\bar{c} \approx 0$
- $\Rightarrow$  dominated by short range quark line interactions

## Nuclear liquid gas transitions in the heavy dense regime of QCD



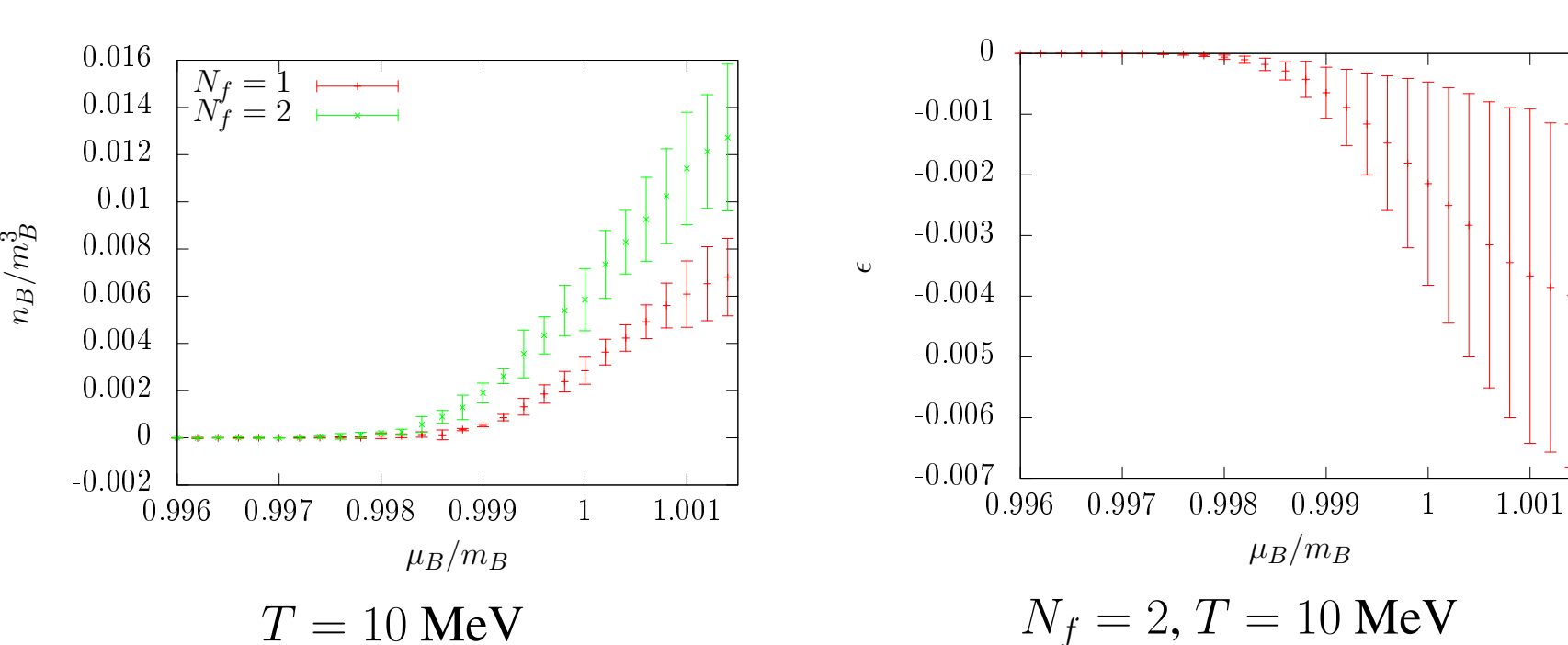
- $n_B$  zero at small  $\mu$ : "silver blaze"
- $\mu_B \approx m_B$  baryons are excited (step function at  $T = 0$ )
- saturation at large  $\mu$ : lattice Pauli exclusion principle



- onset below  $\mu_B = m_B$  due to nuclear binding energy
- energy density:  $e$
- binding energy per nucleon:  $\epsilon = \frac{e - n_B m_B}{n_B m_B}$
- effect of attractive quark-quark interaction:  $\epsilon$  negative, decreases with meson mass

## Convergence and continuum limit

- estimate truncation error: compare  $\kappa^2$  and  $\kappa^4$  results
- continuum limit  $a \rightarrow 0$  at fixed  $\frac{m_B}{T}$  and  $T = \frac{1}{aN_\tau}$  requires larger values of  $\kappa$
- combined error: truncation error and uncertainty of continuum extrapolation
- lattice saturation leads to larger error in the high density region



- main features persist in the continuum limit
- heavy quark limit: small binding energy, smooth crossover

## Conclusions and further directions

- systematic derivation of effective Polyakov loop theory by a combined strong coupling and hopping parameter expansion
- useful tool at finite chemical potential, "solution" to the sign problem
- heavy dense low temperature regime: effective theory reproduces the features of full QCD

### Improvements of the effective action: Yang-Mills contribution

- outside heavy dense low temperature regime: gluonic interactions become relevant
- $\Rightarrow$  need further improvements of effective theory
- in confined region: ordering principle of effective couplings suggested by strong coupling still valid
- improvement of the effective couplings: include non-perturbative input form simulations of full theory

### Improvements of the effective action: quark contribution

- interesting for QCD: lower mass
- higher orders in the  $\kappa$  expansion necessary
- investigations of relevant gluon-quark interactions at higher temperatures

### Further investigations

- further investigations of validity outside the heavy dense low temperature regime

## References

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