

The Forward Calorimeter (FoCal) project

An ALICE upgrade for forward physics

T. Peitzmann (Utrecht University/Nikhef)
for the ALICE collaboration



ALICE

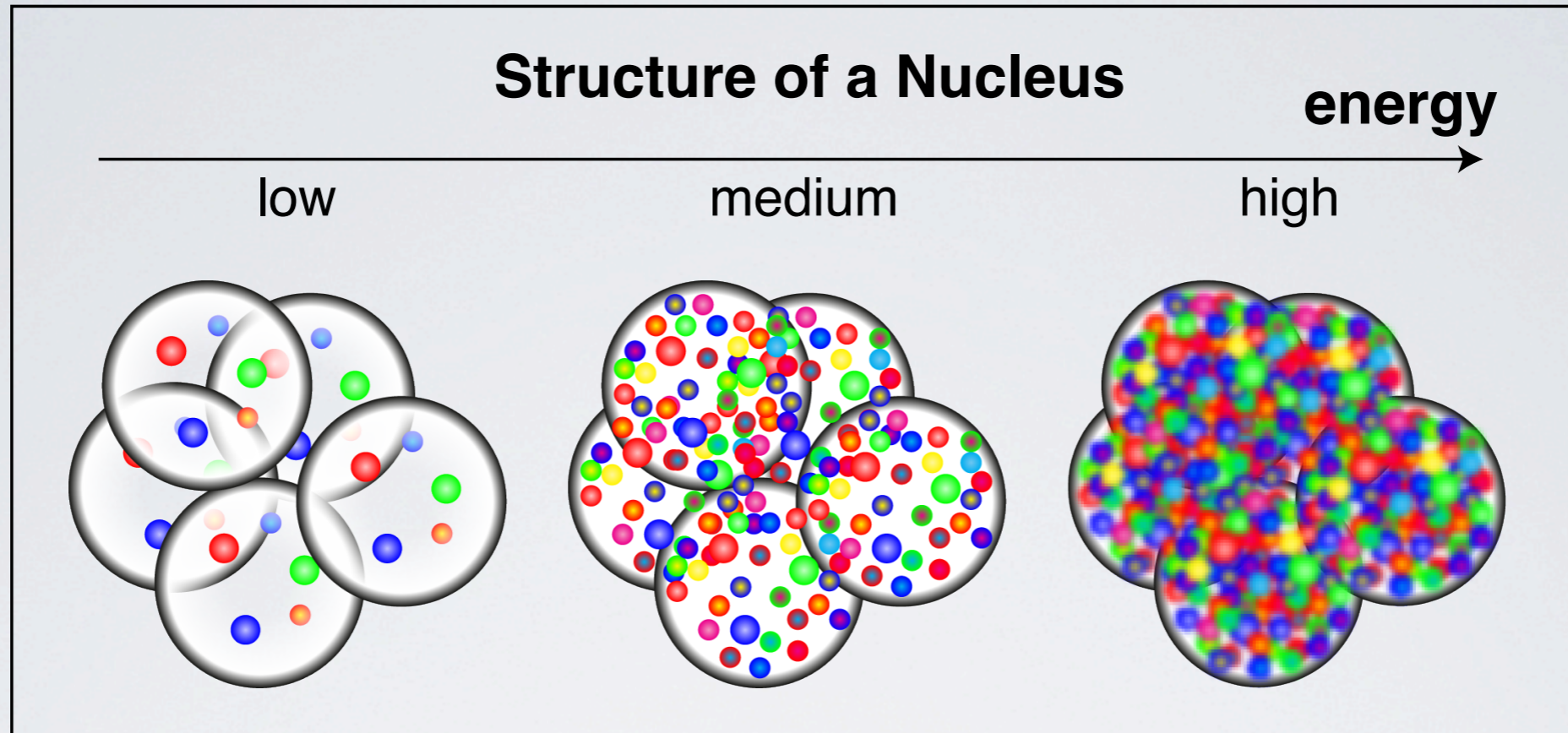
Outline

- Introduction: physics motivation
- Detector design
- Performance simulations
 - Direct photons in pp and pPb
 - First look at Pb-Pb
- Conclusions

Forward Physics in ALICE

- ALICE physics program until ≈ 2025 (endorsed by LHCC)
 - study of strongly interacting matter at very high temperature: the quark-gluon plasma
- forward/low-x physics within ALICE
 - fundamental interest in gluon saturation/color glass condensate
 - information on initial state yields important constraints for interpretation of QGP studies
 - e.g. knowledge of eccentricity for elliptic flow
 - forward detector enhances general physics scope
 - em calorimeter (FoCal): significant increase of coverage for photons, jets compared to existing calorimeters (PHOS, EMCal)
- FoCal: new upgrade project for installation in LS2
- ALICE decision in March 2013

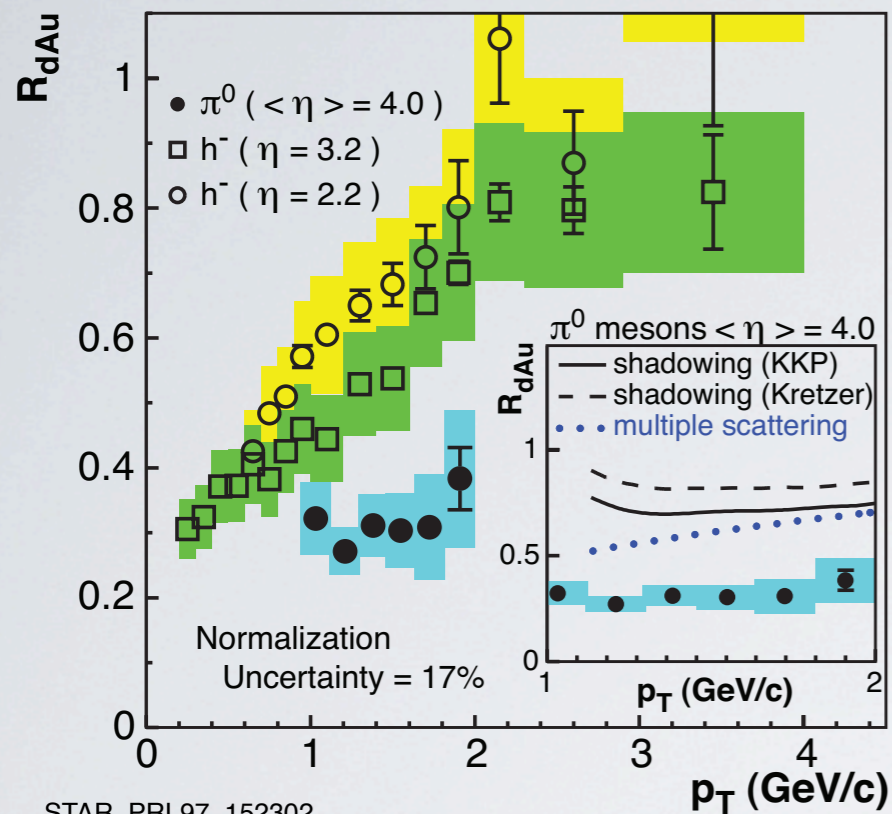
Color Glass Condensate



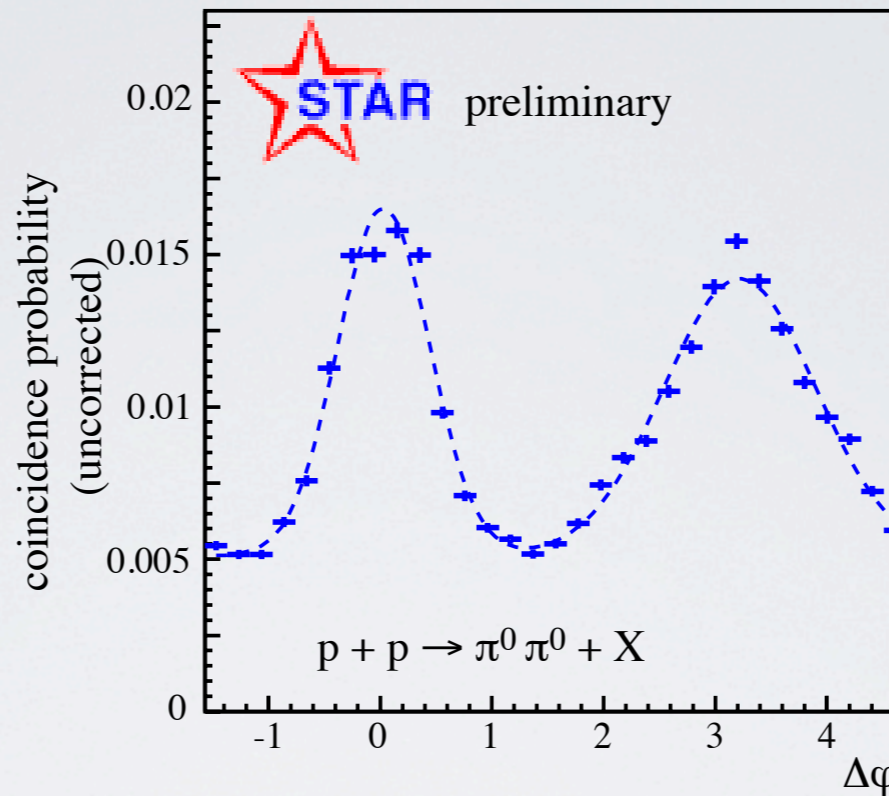
- low- x , low Q^2 : high gluon occupation number, strong fields
 - **classical color fields, theoretically calculable** (JIMWLK)
- new phenomena: yield suppression, monojets
 - enhanced in nuclei (stronger color field compared to proton)

Indications from RHIC

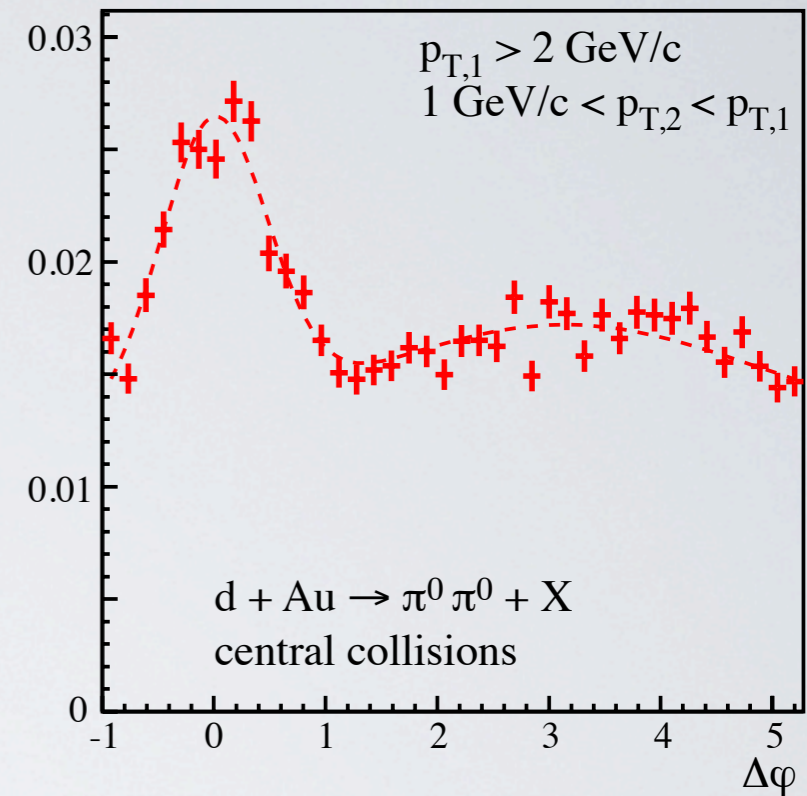
$\sqrt{s_{NN}} = 200 \text{ GeV}$



R_{dA} : strong suppression of hadron yield at forward rapidity

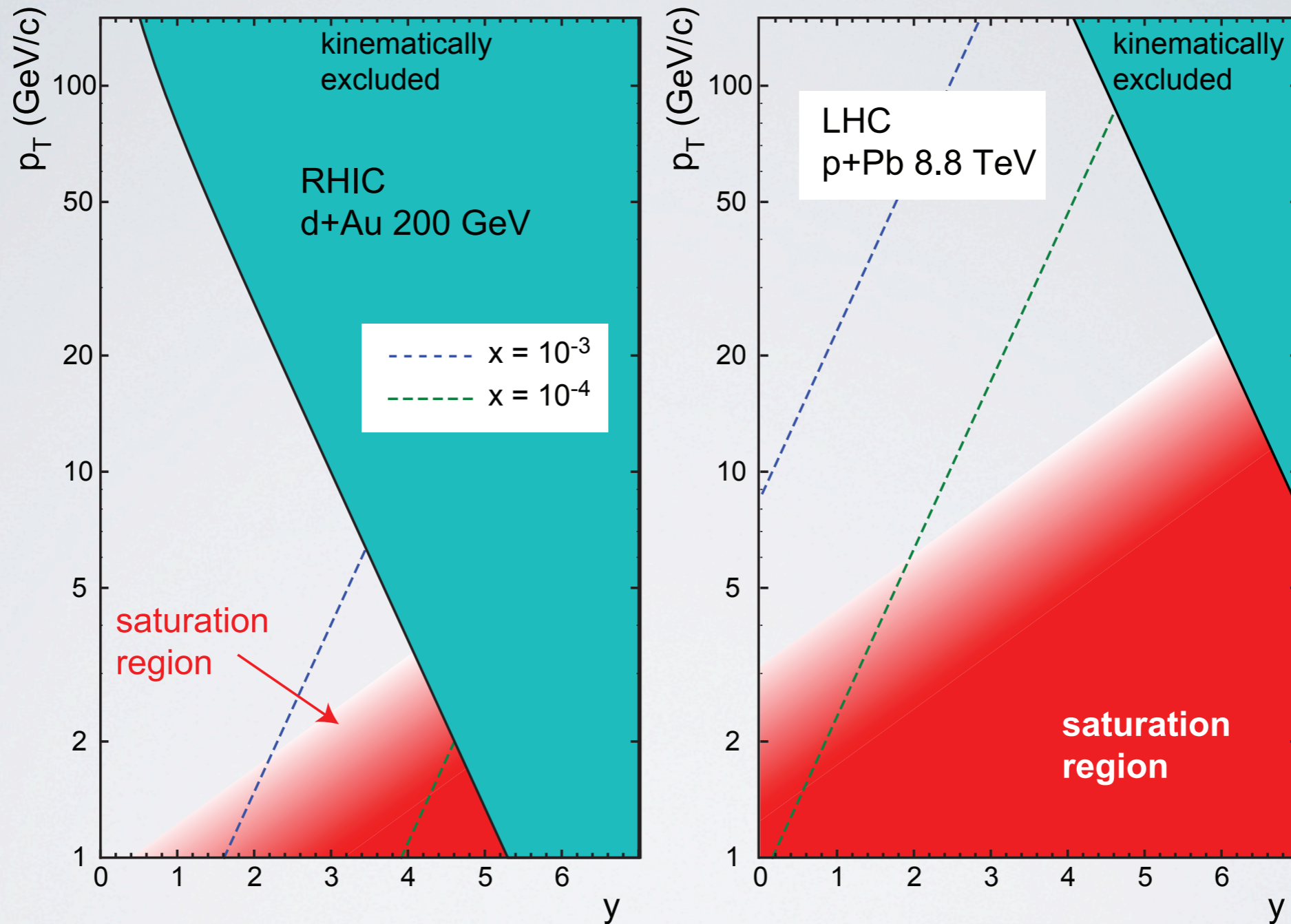


di-hadron correlations: broadening/suppression of away-side peak in dAu



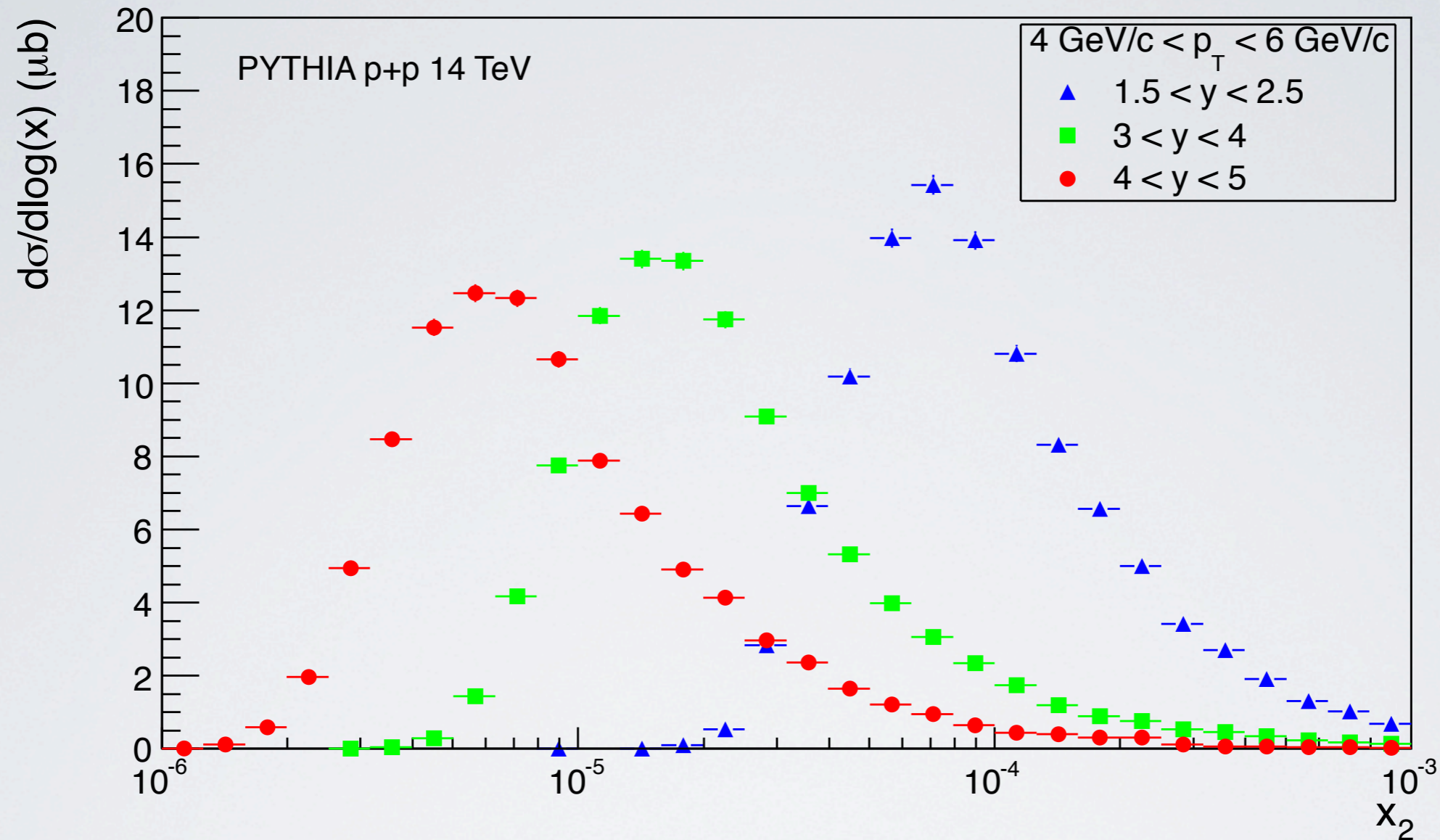
- qualitatively consistent with CGC, but ...
 - very low p_T , hadron observable (final state interactions)!
- extend p_T and y range (not possible at RHIC)
- measure prompt photons

LHC vs RHIC



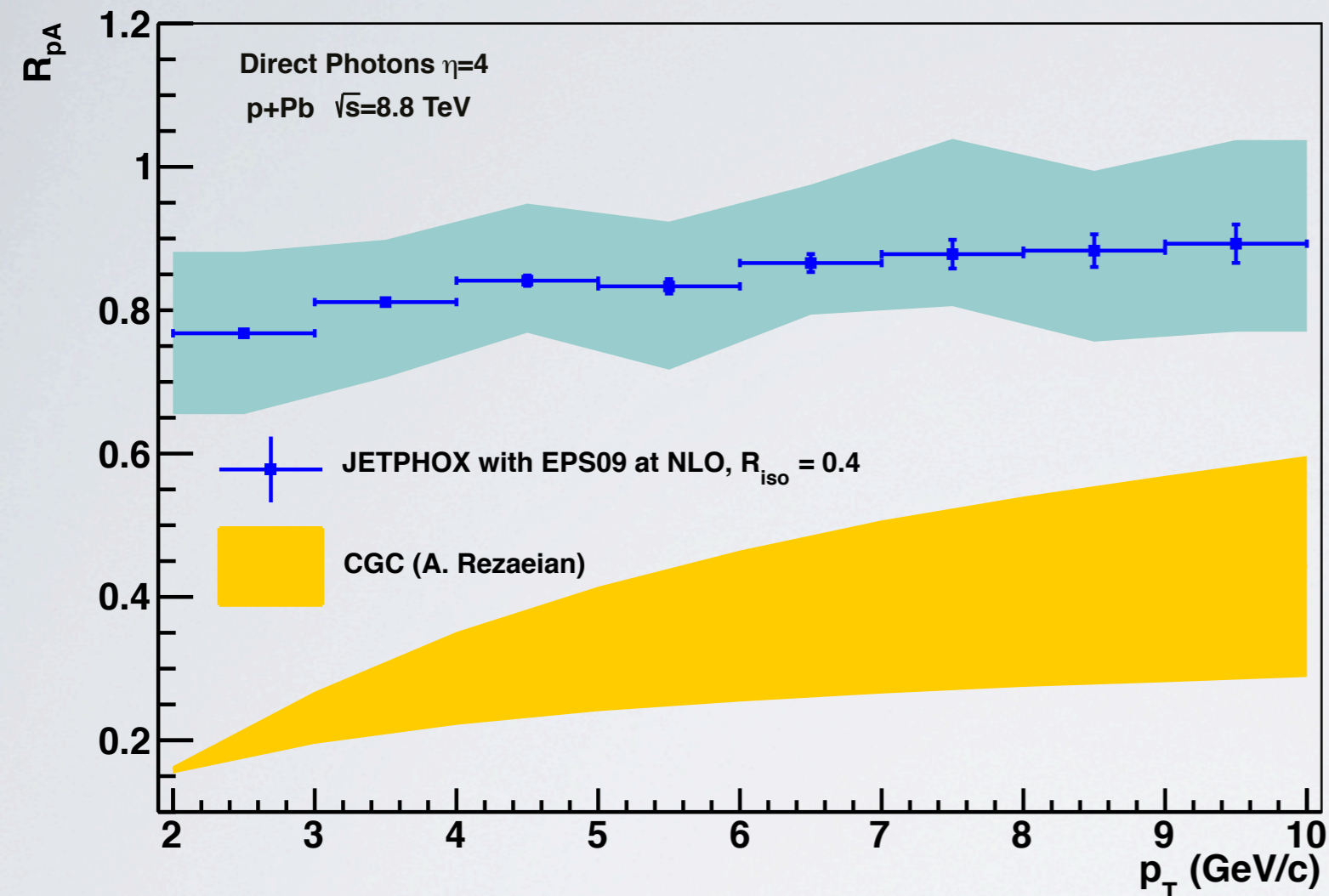
- Q_{sat} larger: saturation in perturbative regime?
- larger energy: lower x at same rapidity, not constrained by kinematic limit

x-Reach with Direct Photons



- x of incoming parton for prompt photon production with $p_T > 4 \text{ GeV}/c$
- changes with NLO effects to be checked
 - should be small for isolated photons

nPDF/DGLAP vs CGC

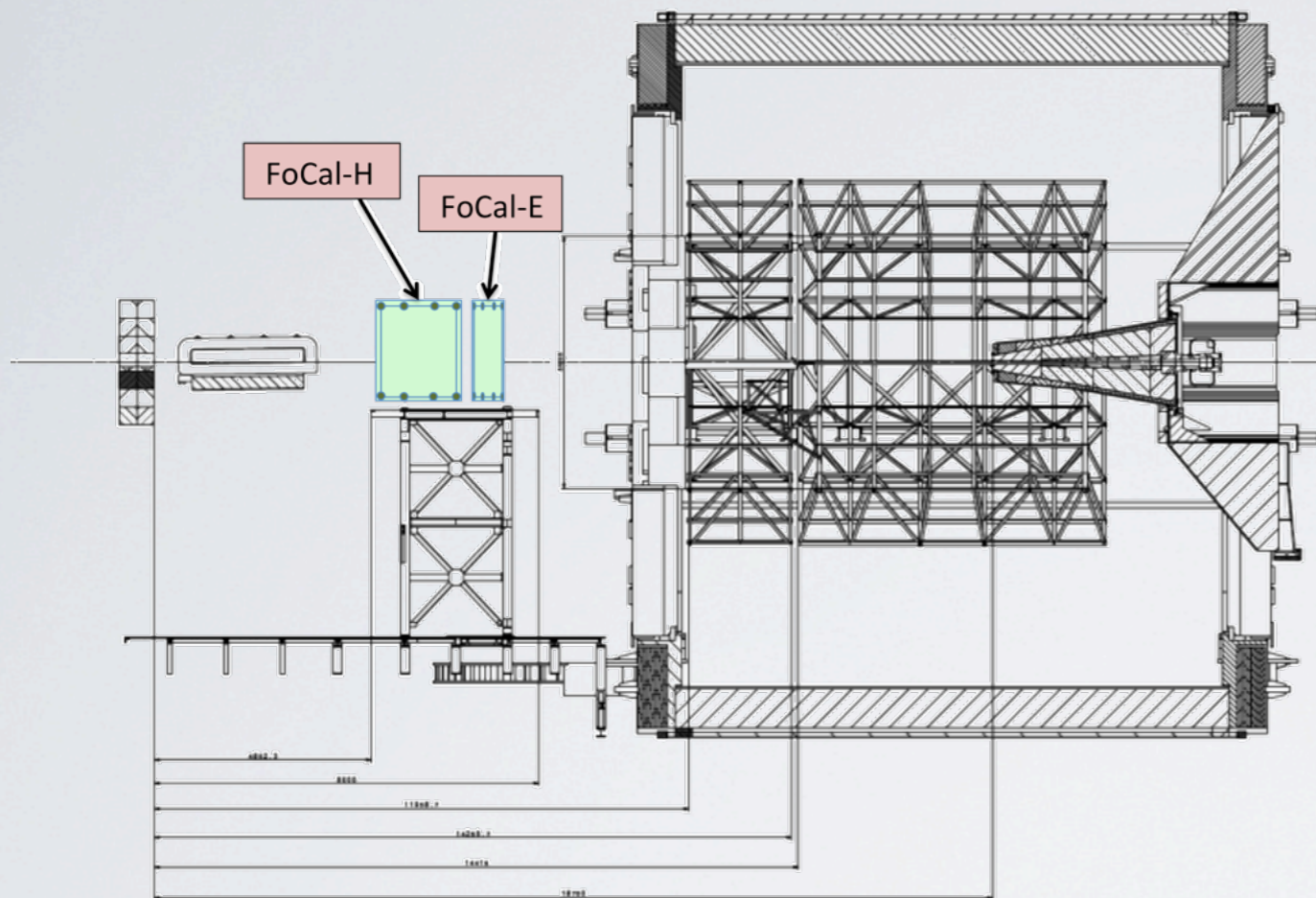


two scenarios for forward γ production in p+A at LHC:

- normal nuclear effects
linear evolution, shadowing
- saturation/CGC
running coupling BK evolution

- strong suppression in direct γ R_{pA}
- signals expected at forward η , low-intermediate p_T
 - transition expected - where?

FoCal in ALICE



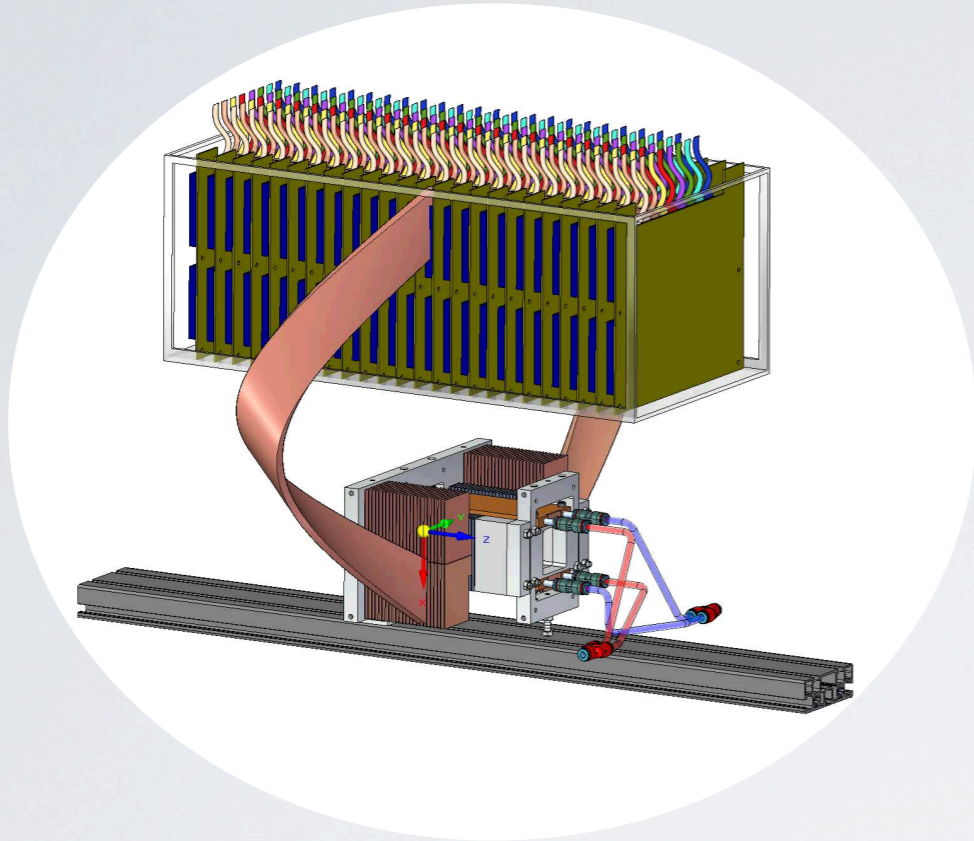
electromagnetic calorimeter for γ and π^0 measurement

two scenarios:

- at $z \approx 8\text{m}$ (outside magnet)
 $3.3 < \eta < 5.3$
(space to add hadr. calorimeter)
- at $z \approx 3.6\text{m}$ (current PMD)
 $2.5 < \eta < 4.5$

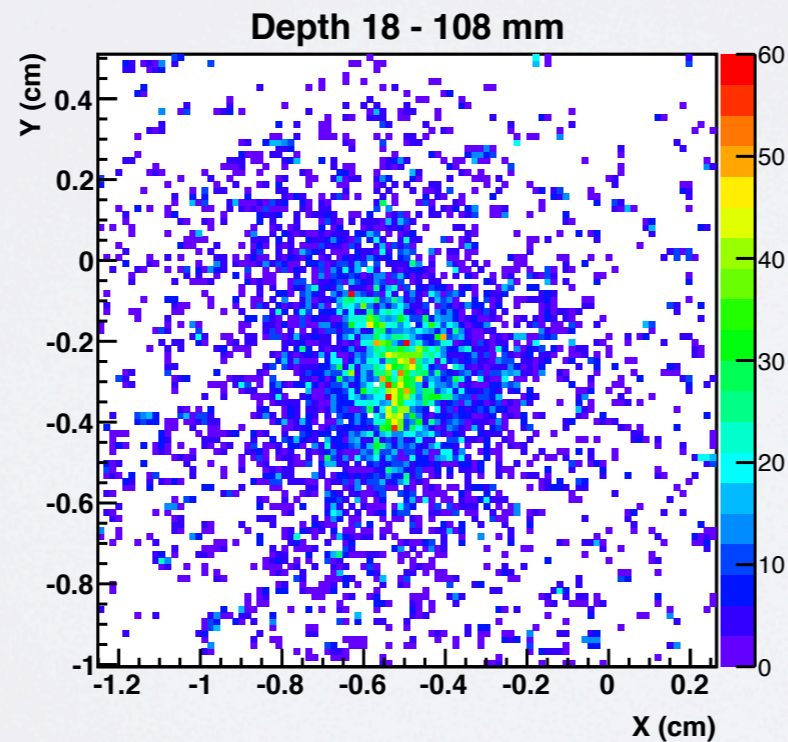
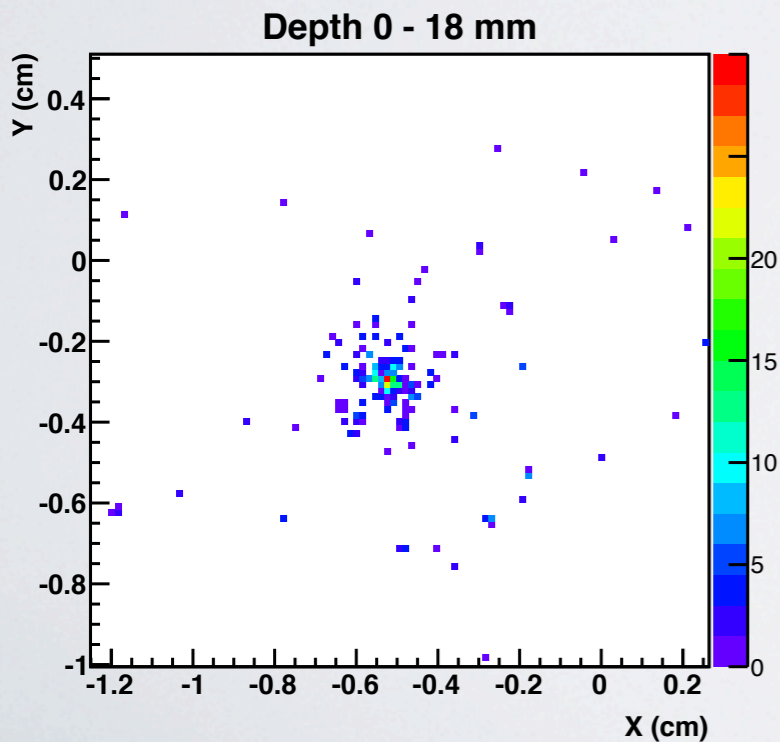
- main challenge: separate γ/π^0 at high energy
- need small Molière radius, high-granularity read-out
 - Si-W calorimeter, granularity $\approx 1\text{mm}^2$

Prototypes and Test Beams



R&D ongoing
(Utrecht/Nikhef, Bergen, Tokyo,
ORNL, Kolkata, Prague, ...)

e.g. full MAPS prototype
• 39 M pixels in $4 \times 4 \times 10 \text{ cm}^3$!



single electron (200 GeV)

first results from test
beams encouraging

high granularity should be
feasible, depends on
pixel development

FoCal Physics Program

- p+Pb: saturation/CGC effects
 - forward direct γ spectra, γ -hadron/jet correlations (unique!)
 - π^0 spectra, π^0 - π^0 correlations, possibly jets (had. calorimeter!)
- p+p: reference measurements
 - constraints on PDFs?
- Pb+Pb: QGP studies
 - extend acceptance for γ -hadron/jet, π^0 - π^0 correlations
 - π^0 R_{AA} forward
 - longitudinal density profile, compare to forward J/ ψ
 - event plane determination, ...

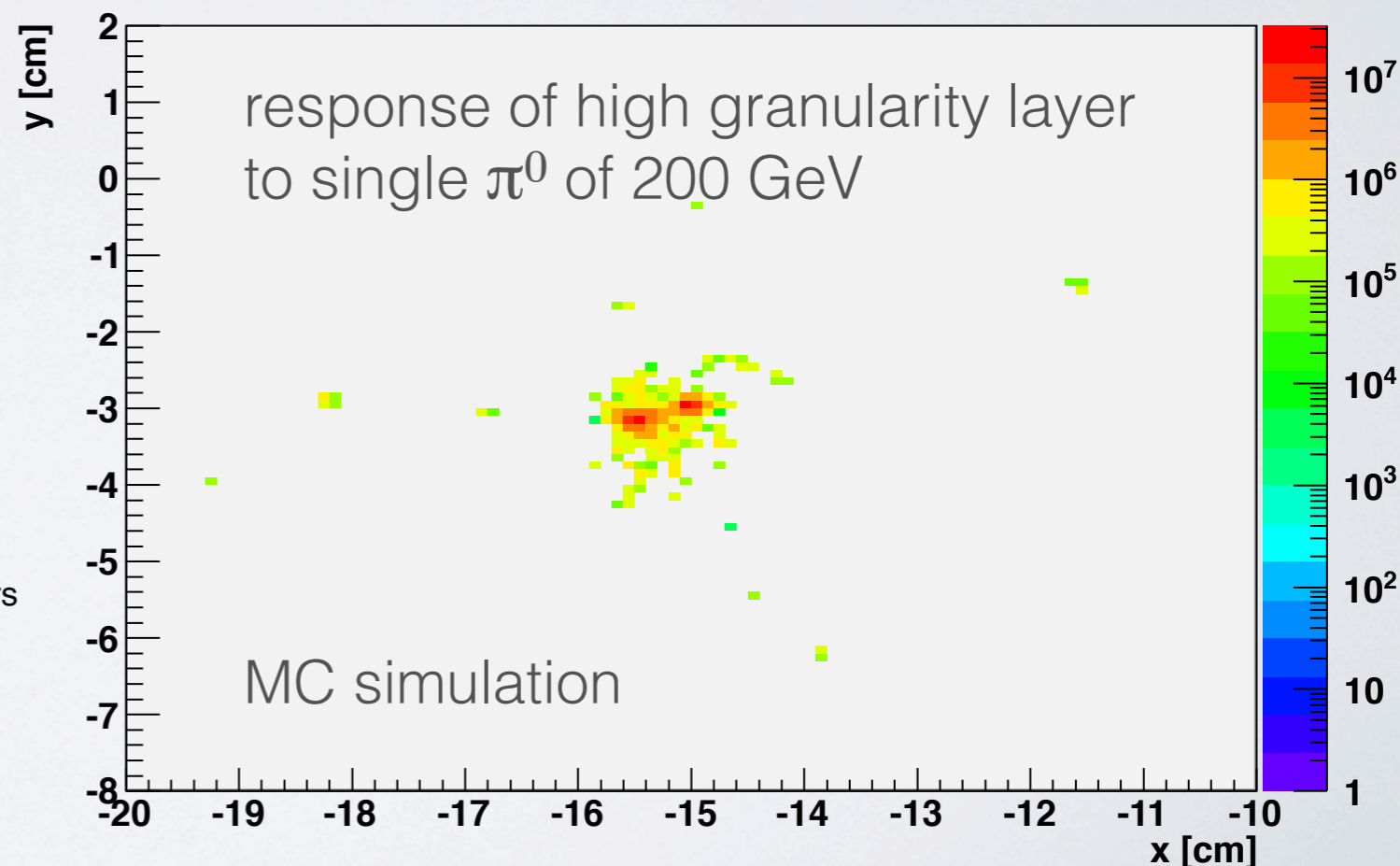
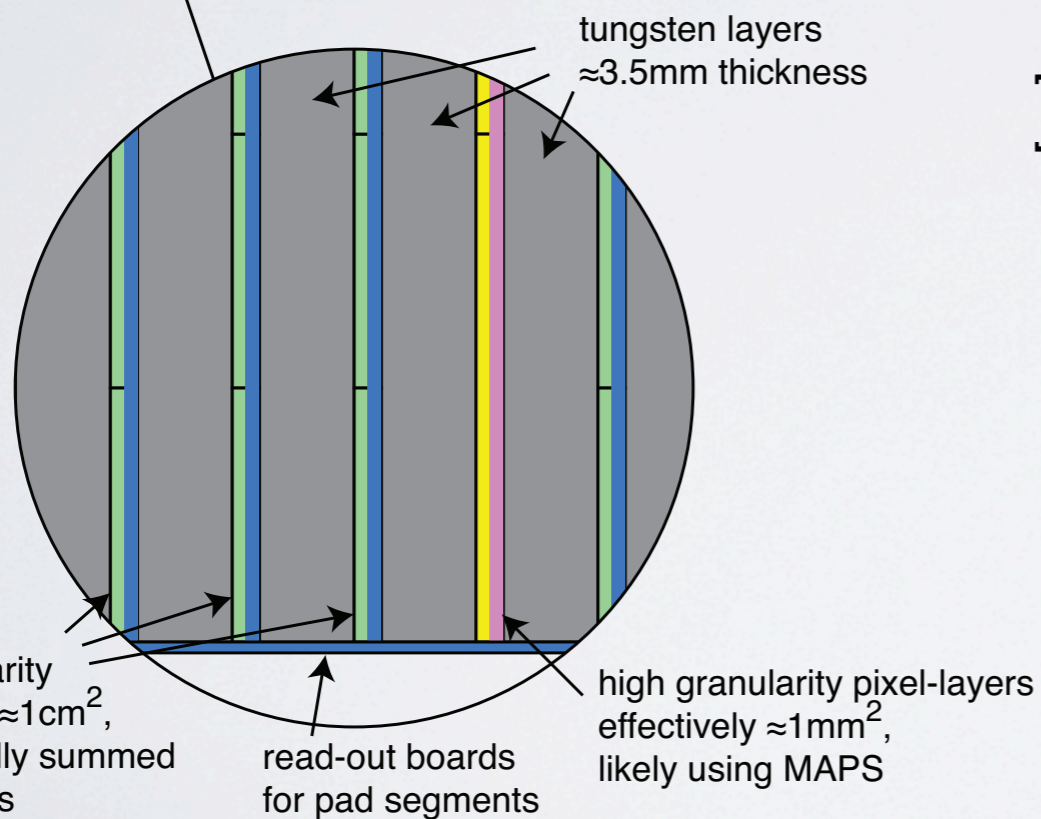
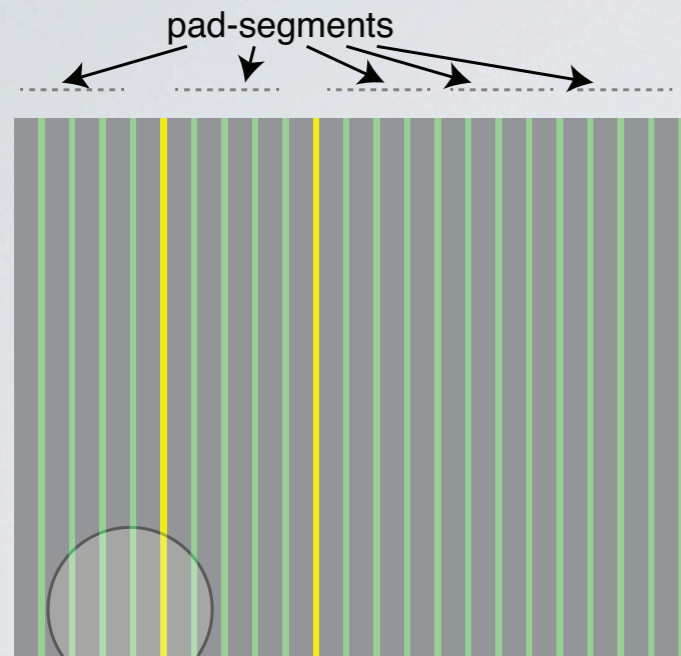
Strawman Design

studied in performance simulations:

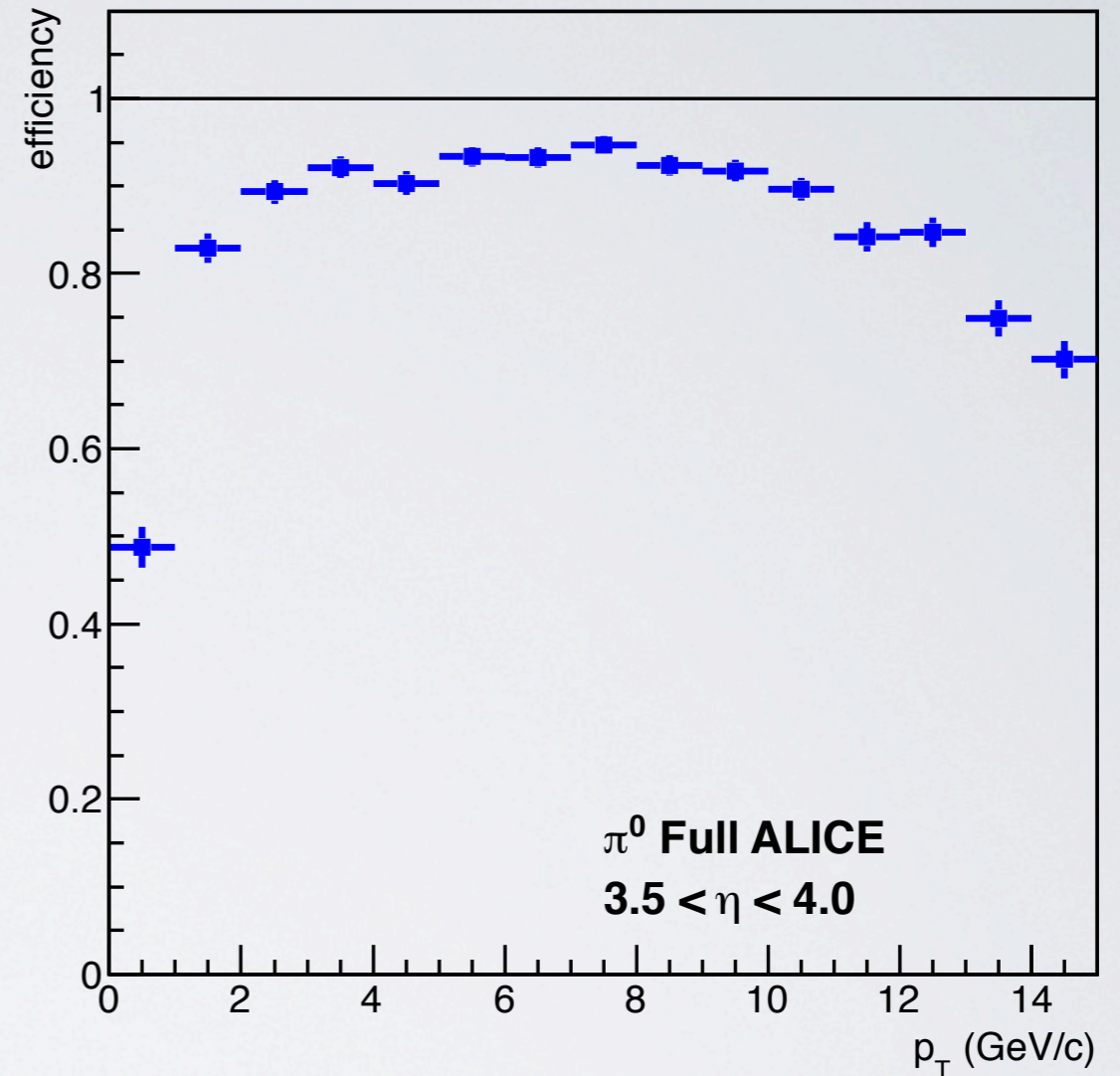
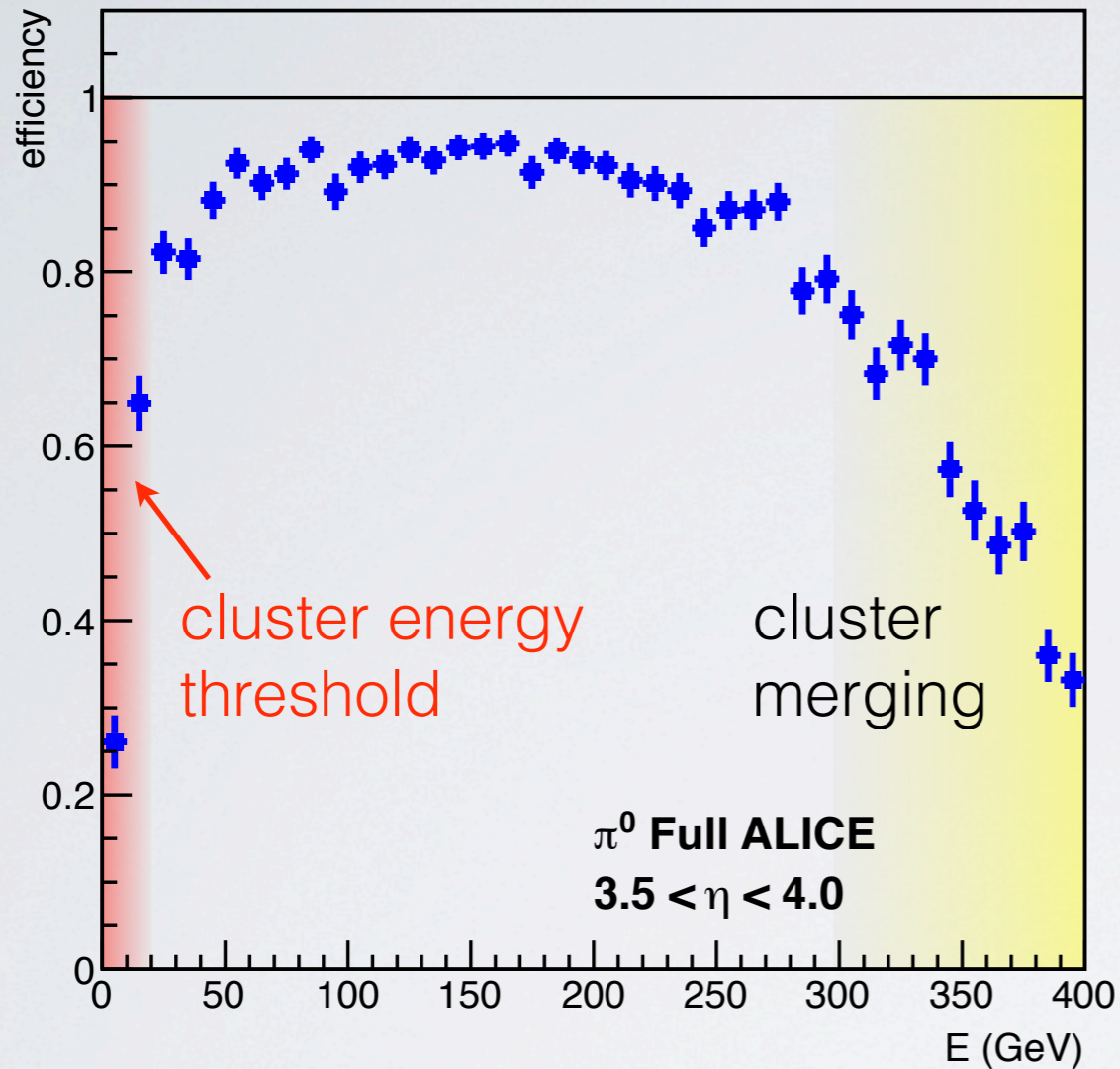
24 layers:

W ($3.5\text{mm} \approx 1 X_0$) + Si-sensors (2 types)

- low granularity ($\approx 1\text{ cm}^2$), Si-pads
- high granularity ($\approx 1\text{ mm}^2$), obtained with pixels (e.g. CMOS-MAPS)

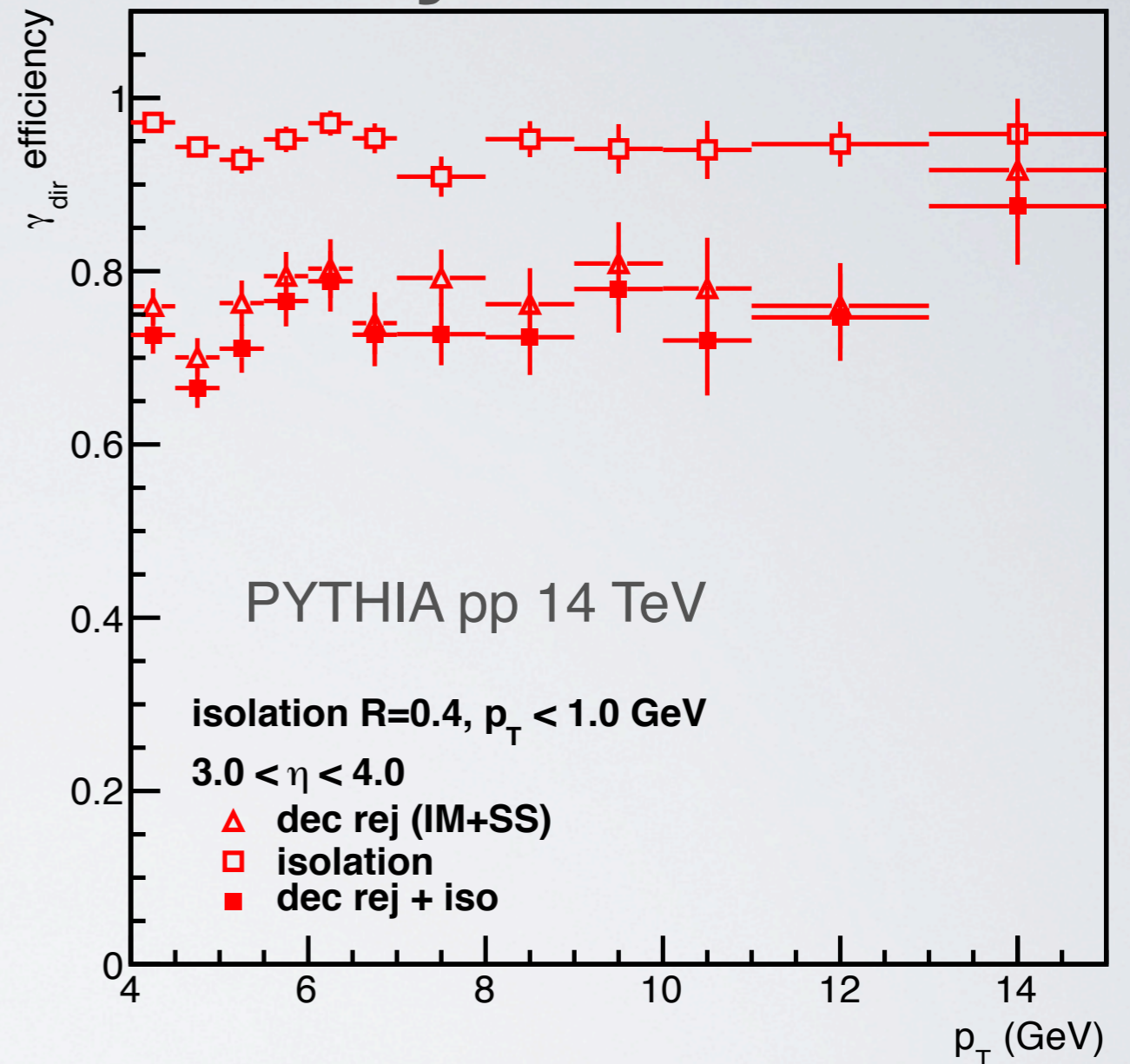
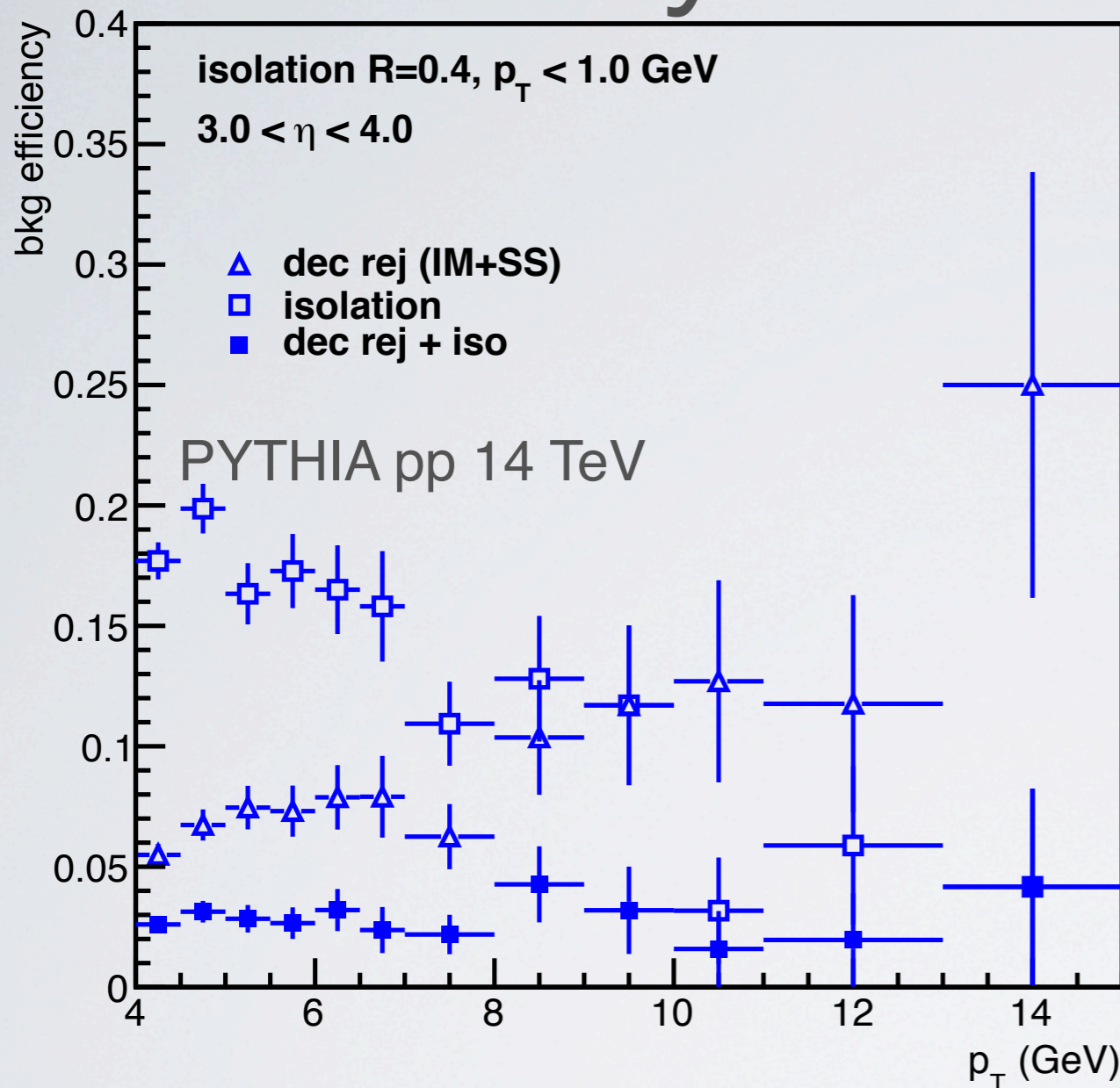


π^0 Efficiency



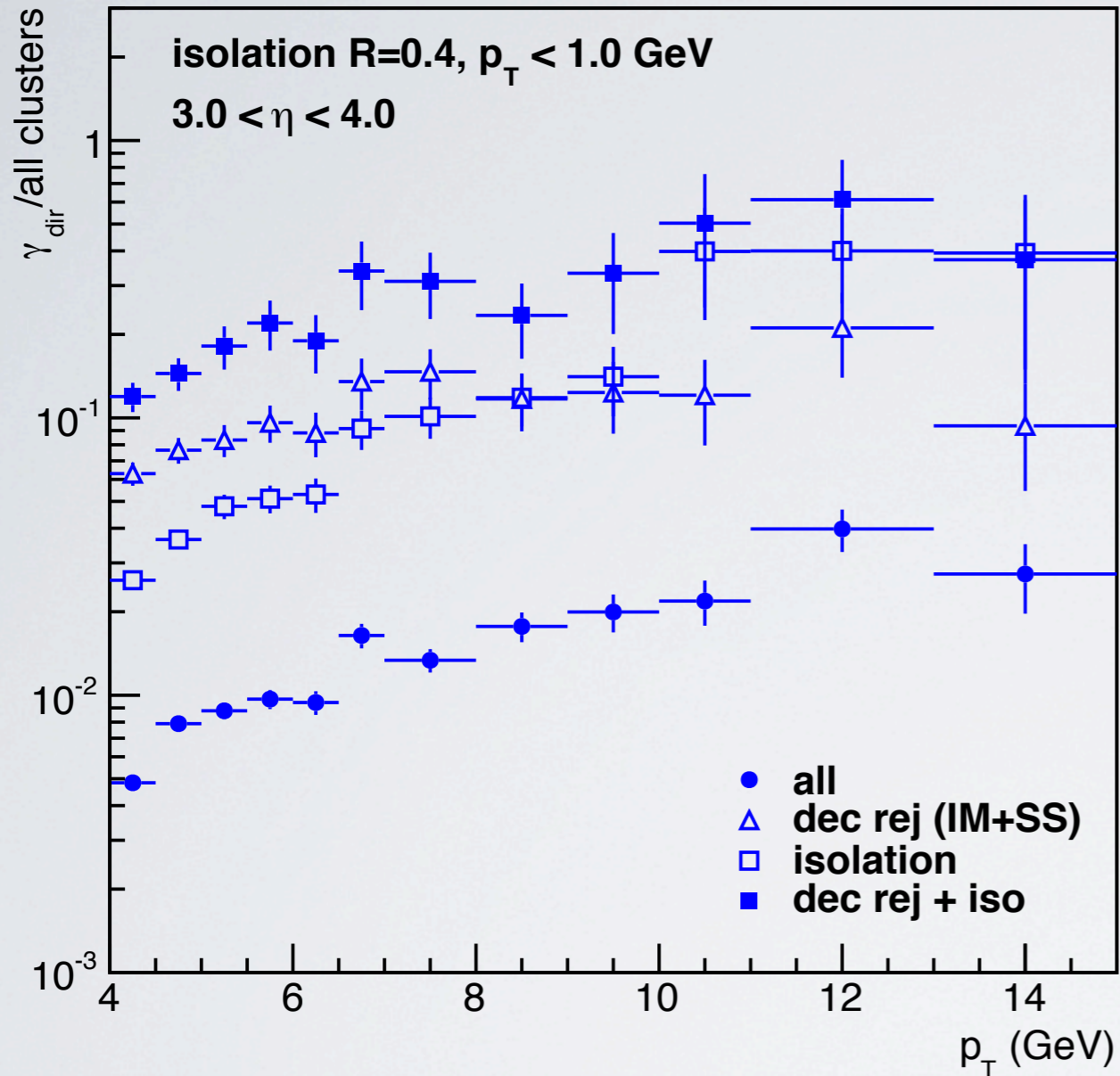
- results of single particle simulations using full ALICE setup
- excellent π^0 efficiency for $20 < E < 300$ GeV, $2 < p_T < 10$ GeV/c

Decay Photon Rejection

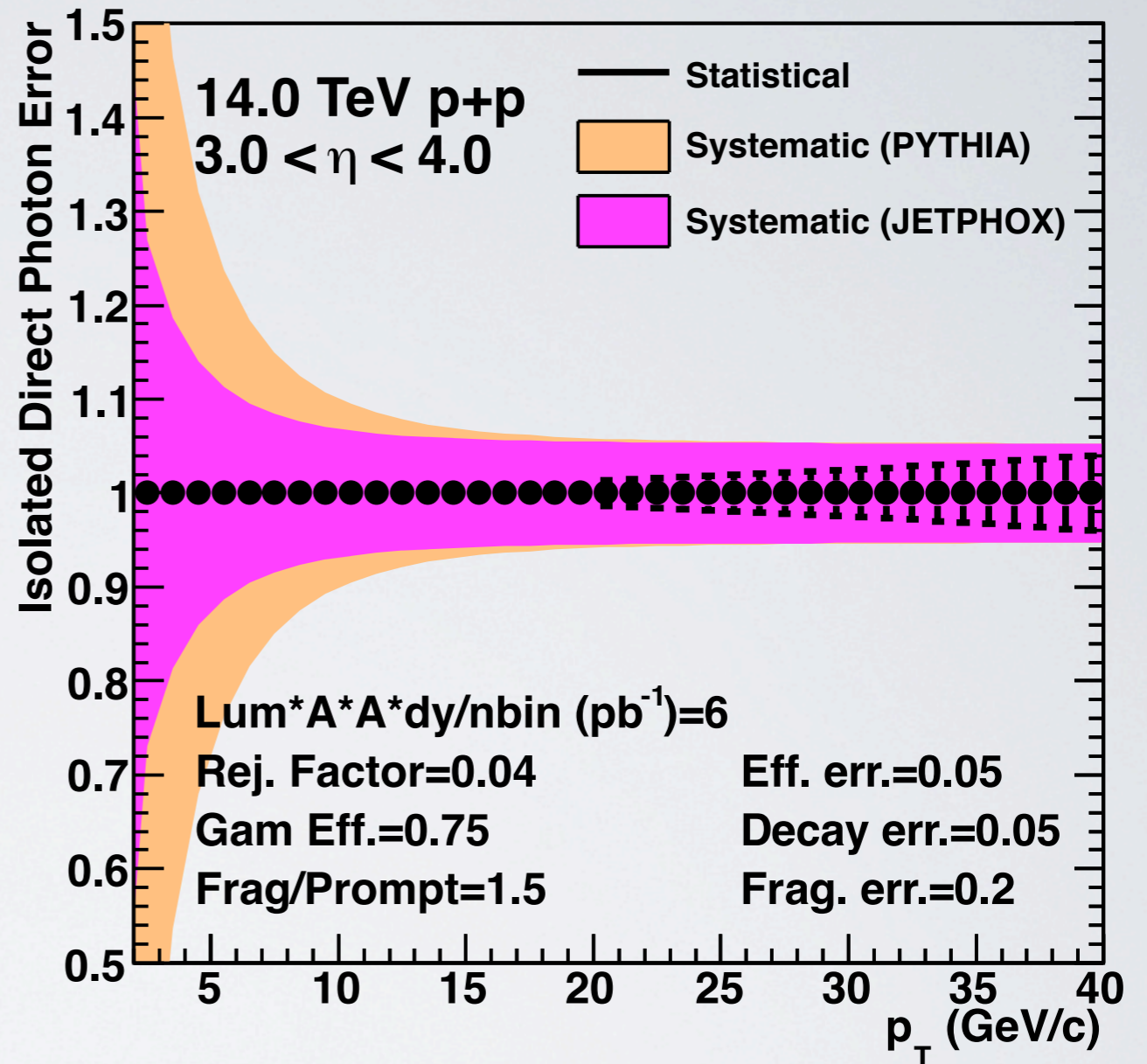


- combined rejection (invariant mass + shower shape, isolation)
- rejection factor ≈ 30 , direct photon efficiency $\approx 75\%$
 - largely p_T -independent

Direct γ Performance in pp

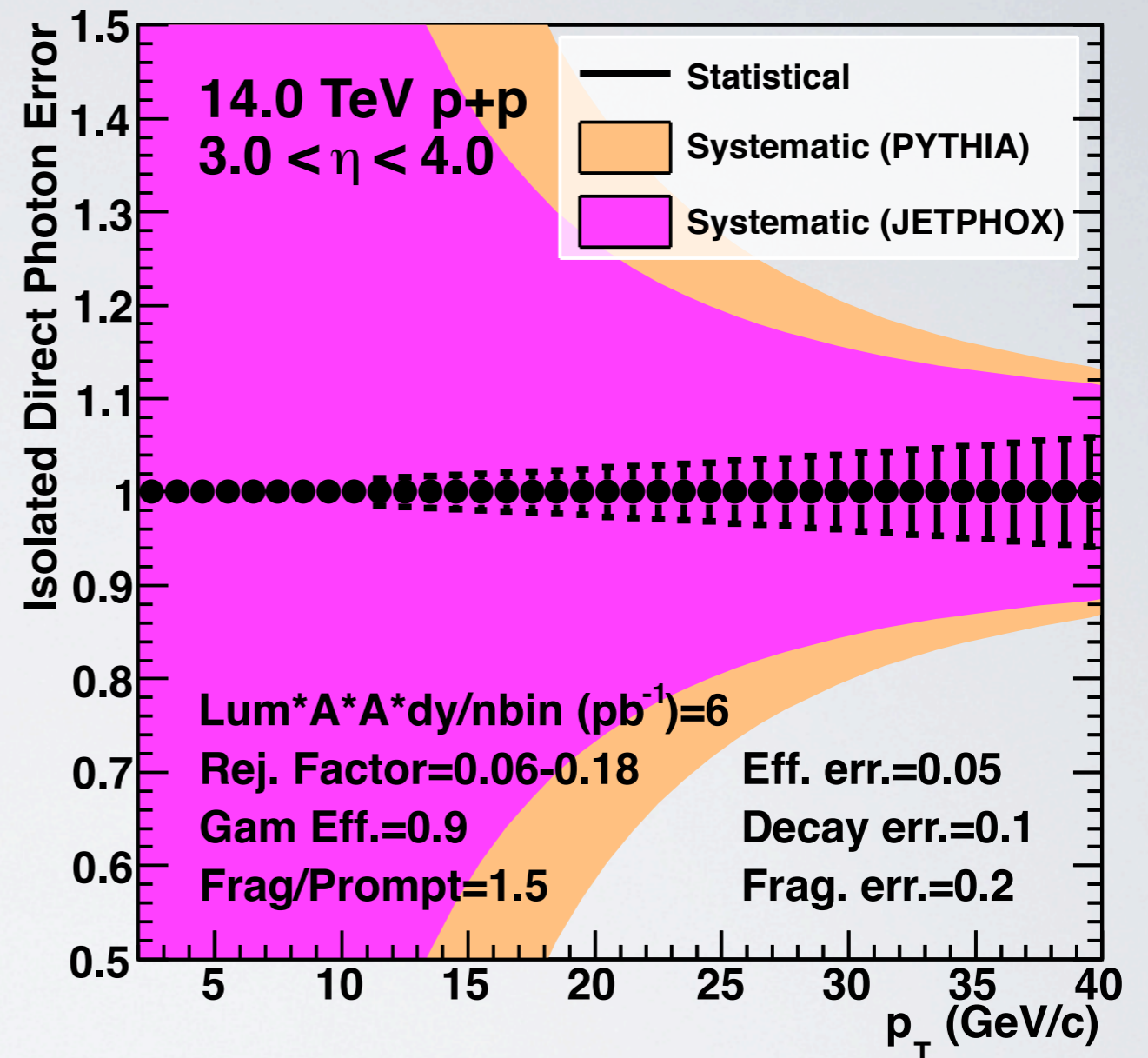
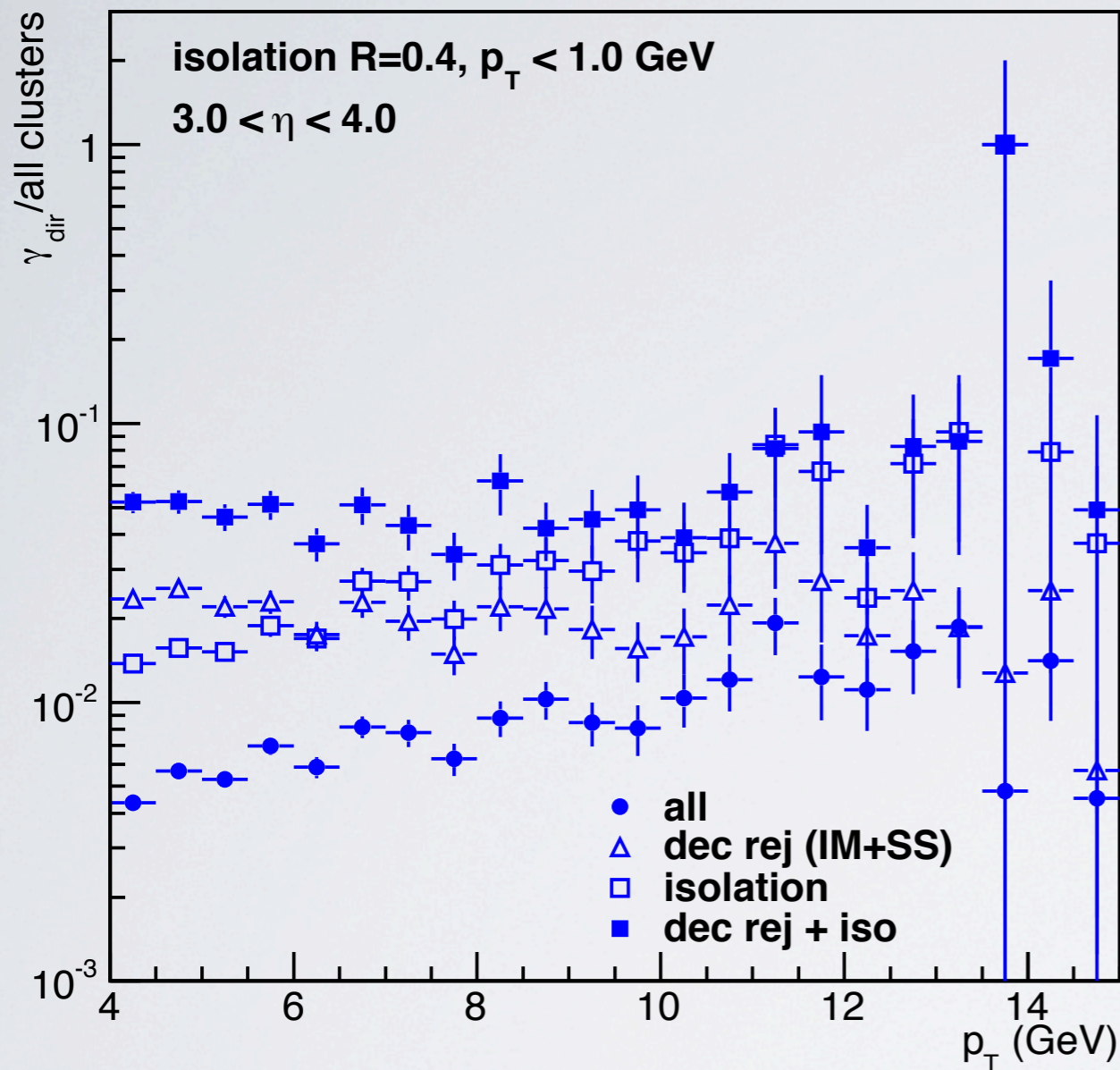


direct photon/all > 0.1
 for $p_T > 4$ GeV/c



20-40% uncertainty
 at $p_T = 4$ GeV/c
 decreases with increasing p_T

Low Granularity Measurement

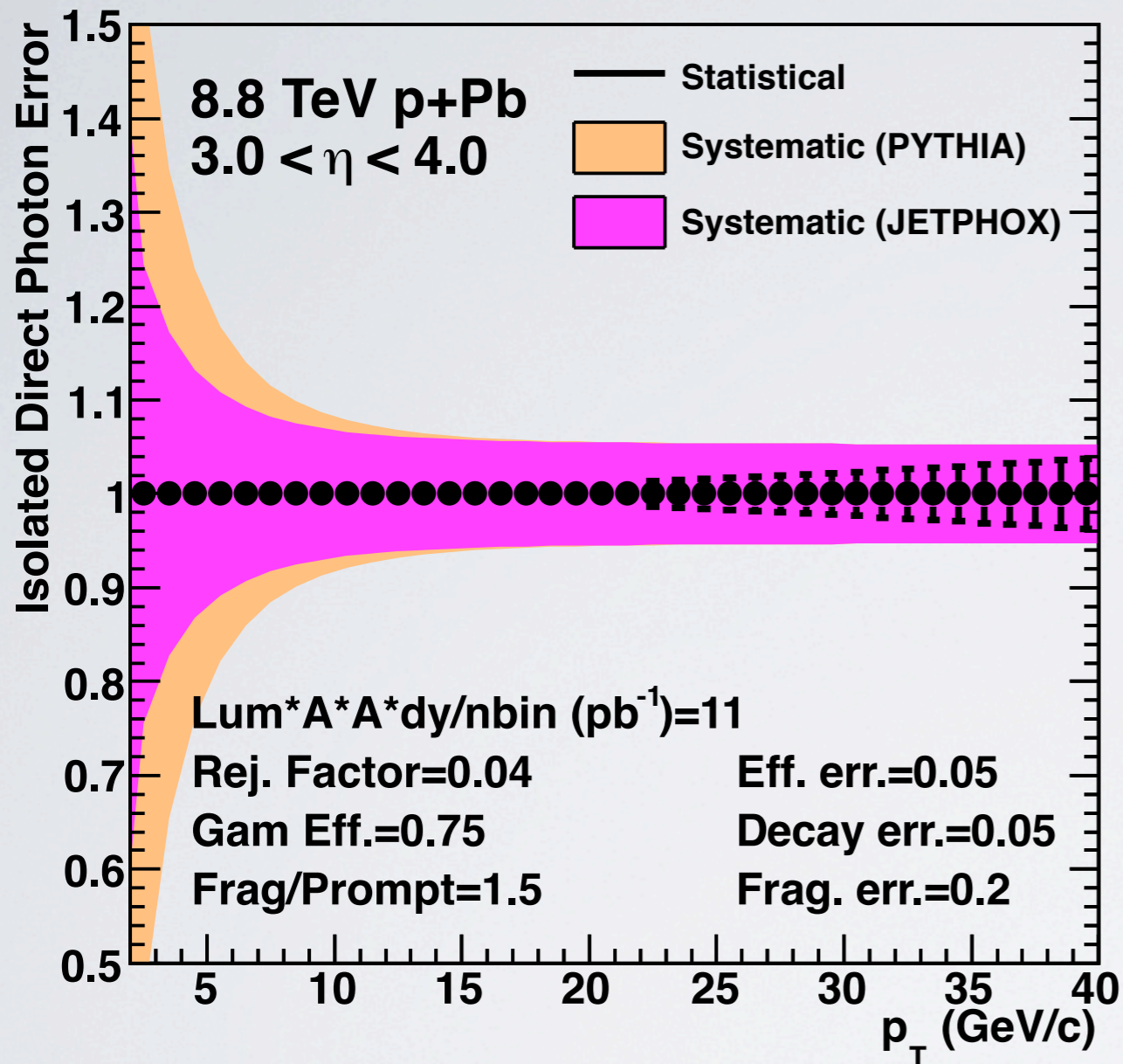


- low granularity (1cm^2) does not allow efficient decay rejection
- direct photon/all ≈ 0.05 for all p_T

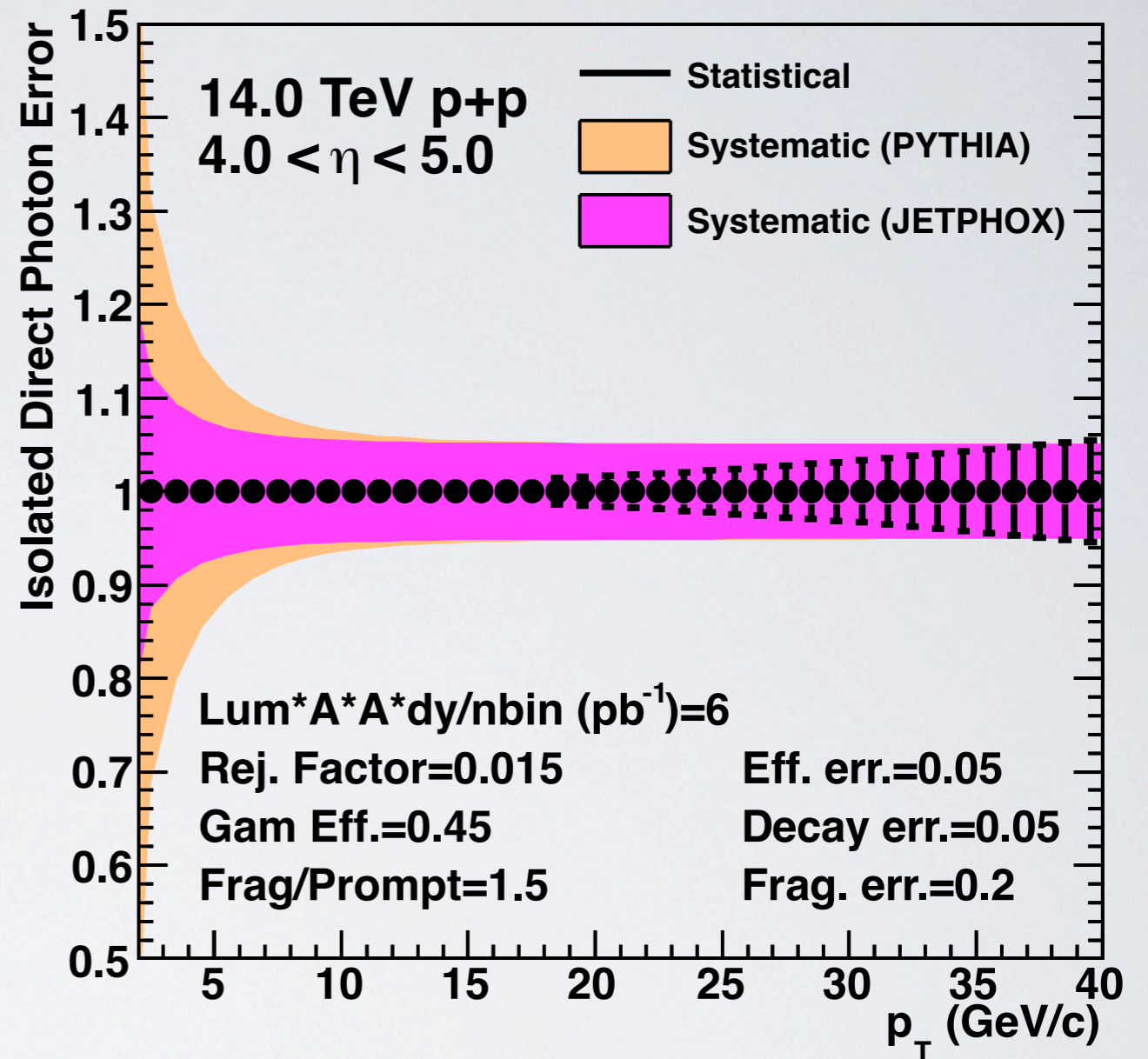
significant measurement not possible at low p_T

NB: conditions similar to LHCb

More Performance ...

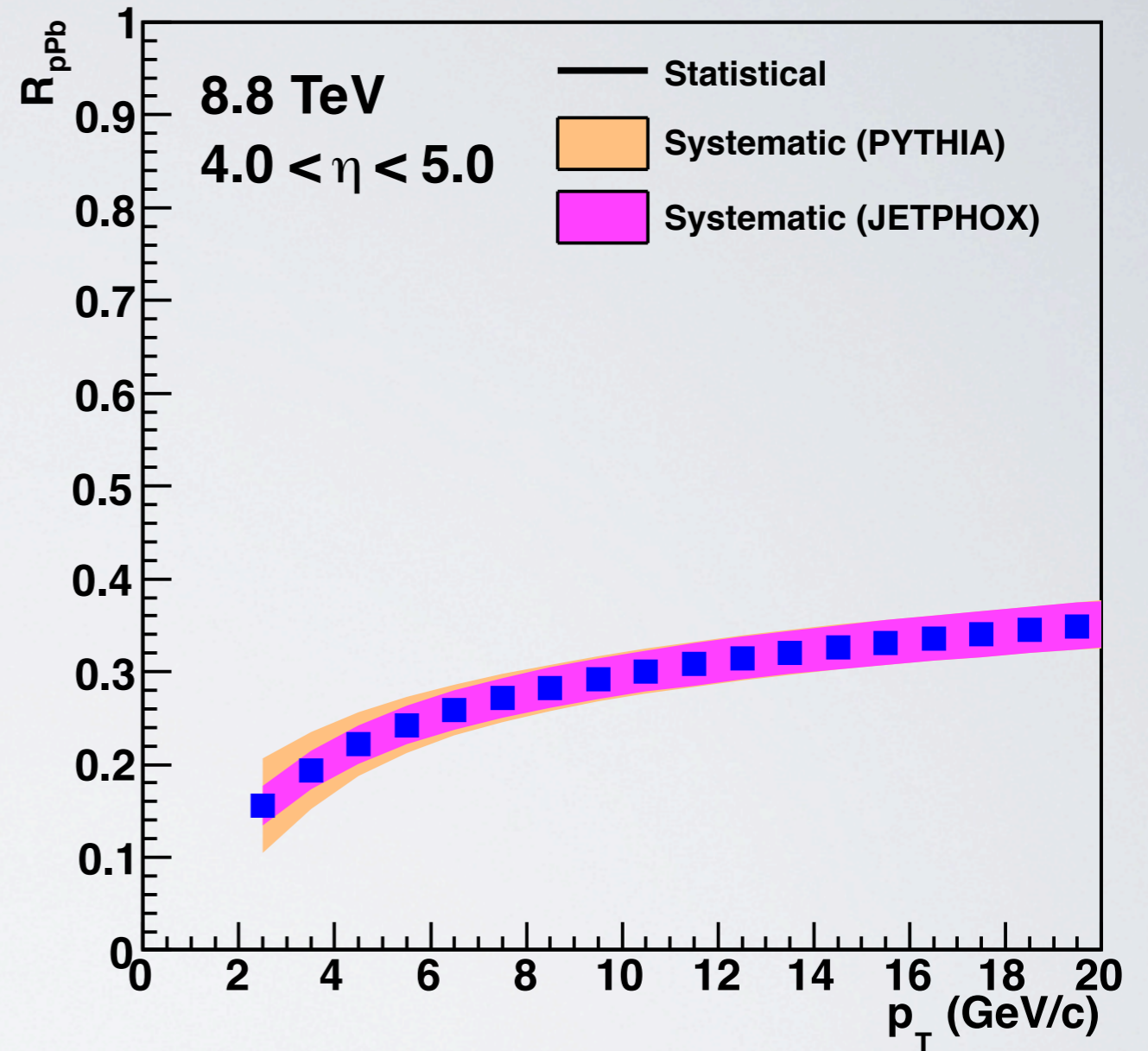
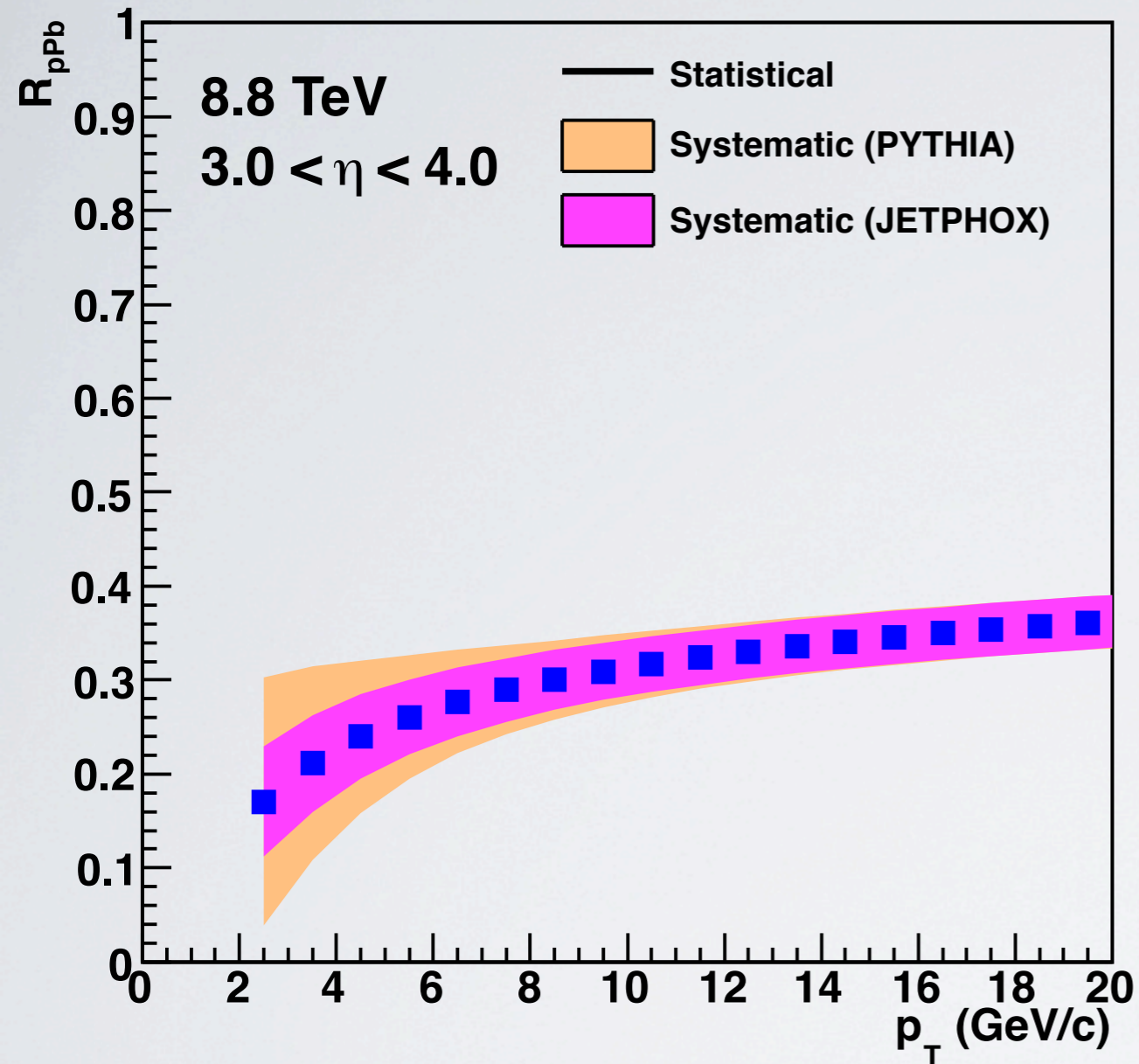


better performance for 8.8 TeV
 (pA equivalent to pp)



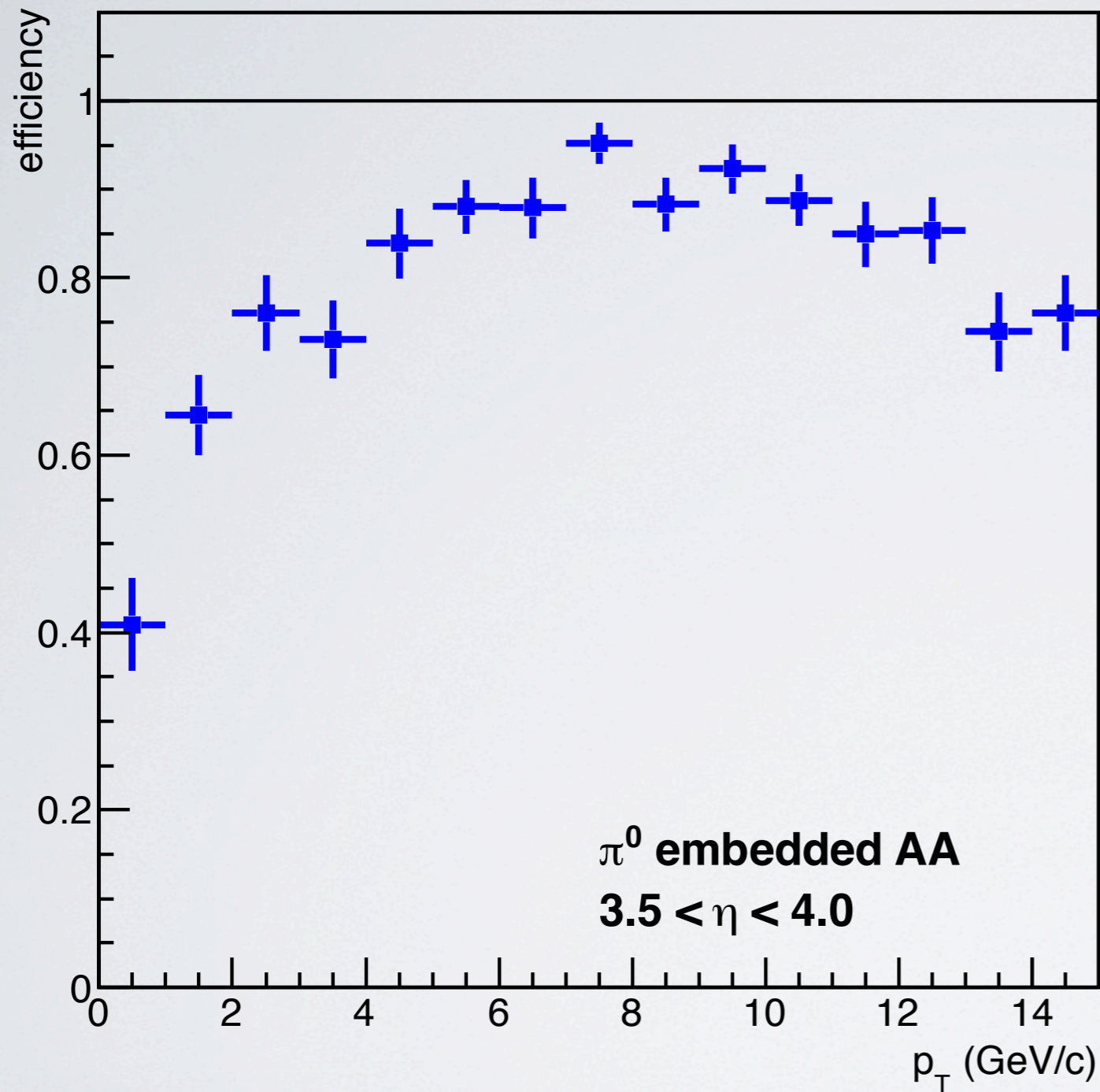
better performance for larger η
 (only possible for $z=8m$,
 requires more integration work)

Performance on R_{pPb}



- expect significant constraint on direct photon R_{pPb}
 - confirm or refute CGC effects, constrain nPDF

Performance in Pb+Pb



first studies of π^0 efficiency only:

- good efficiency, slight deterioration at low p_T (overlap with underlying event)
- expect larger uncertainty from larger background in invariant mass

direct photon measurement difficult

- should be possible in limited p_T range

work in progress!

Conclusions

- FoCal should allow direct photon measurements in pp and pA at forward rapidity
 - Uncertainty $\approx 30\%$ at low p_T
 - Large z distance preferable (larger rapidity, favorable measurement conditions)
 - High granularity is crucial
- Measurements in Pb+Pb
 - Reasonable performance for π^0
 - Direct photon measurement under study
 - Performance improvements possible!

Backup Slides

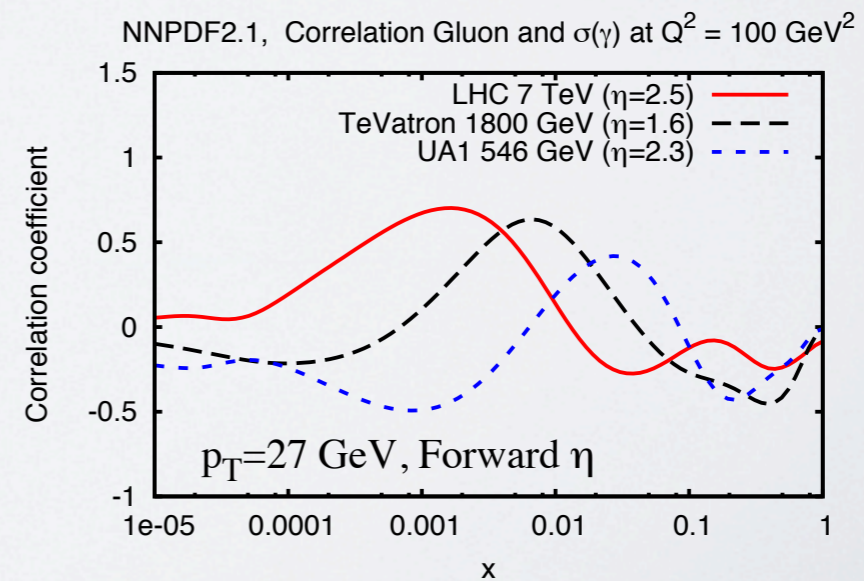
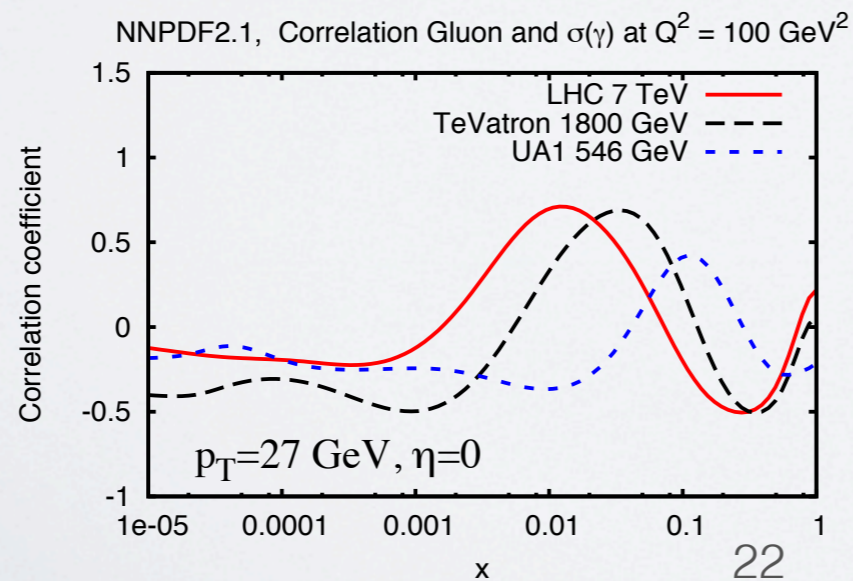
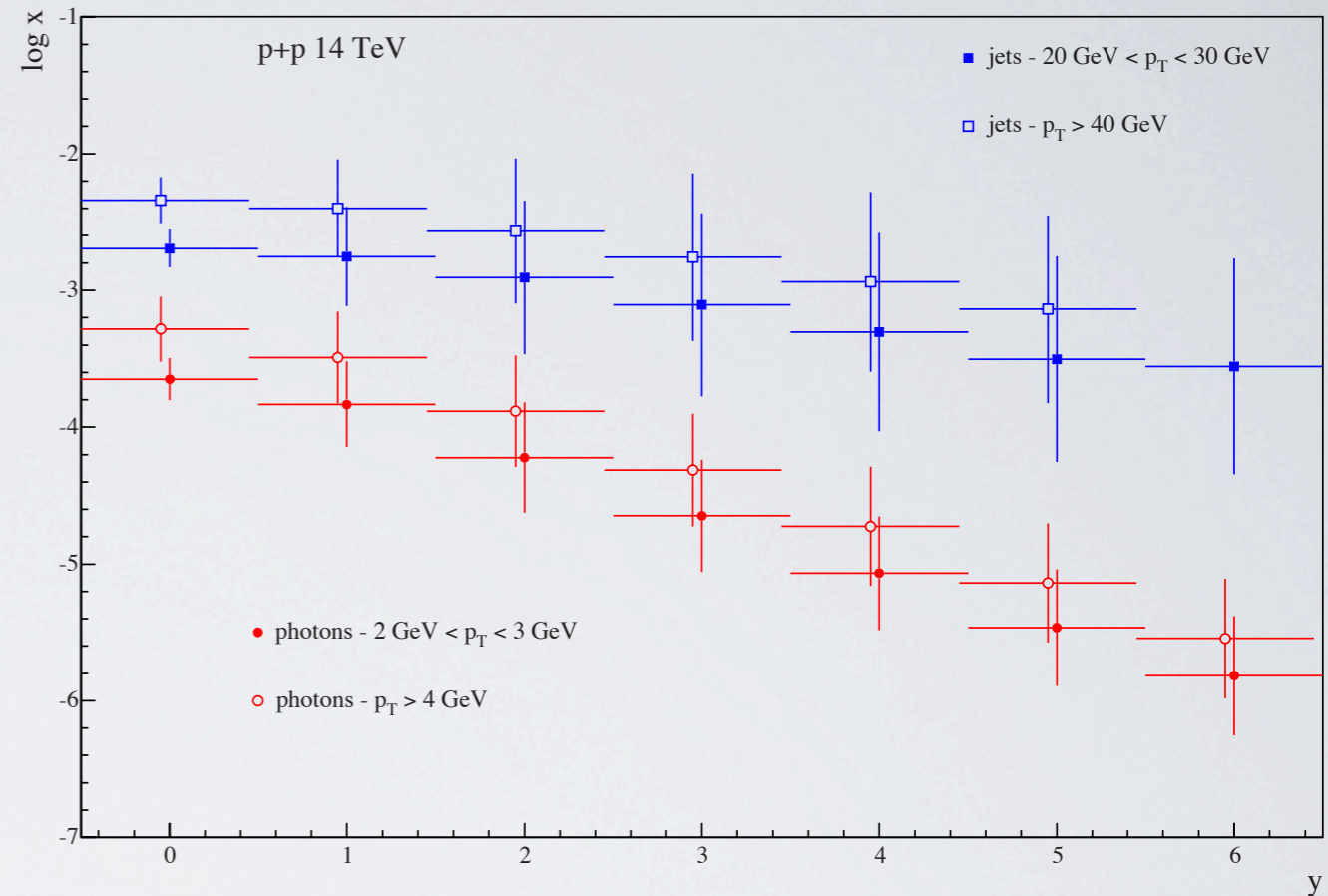
Kinematic Constraints

- large y prompt photons effective to constrain kinematics to low x
 - obvious in LO (PYTHIA)

- NLO studies in JETPHOX:

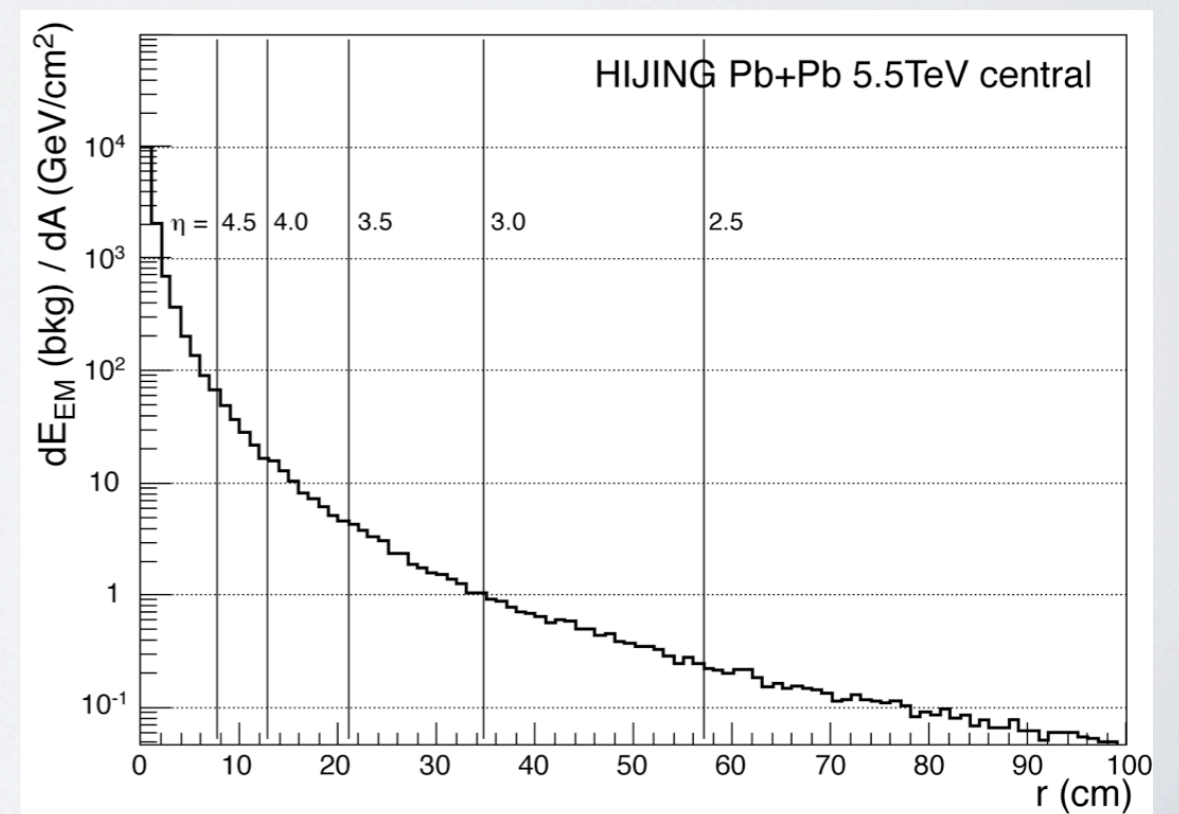
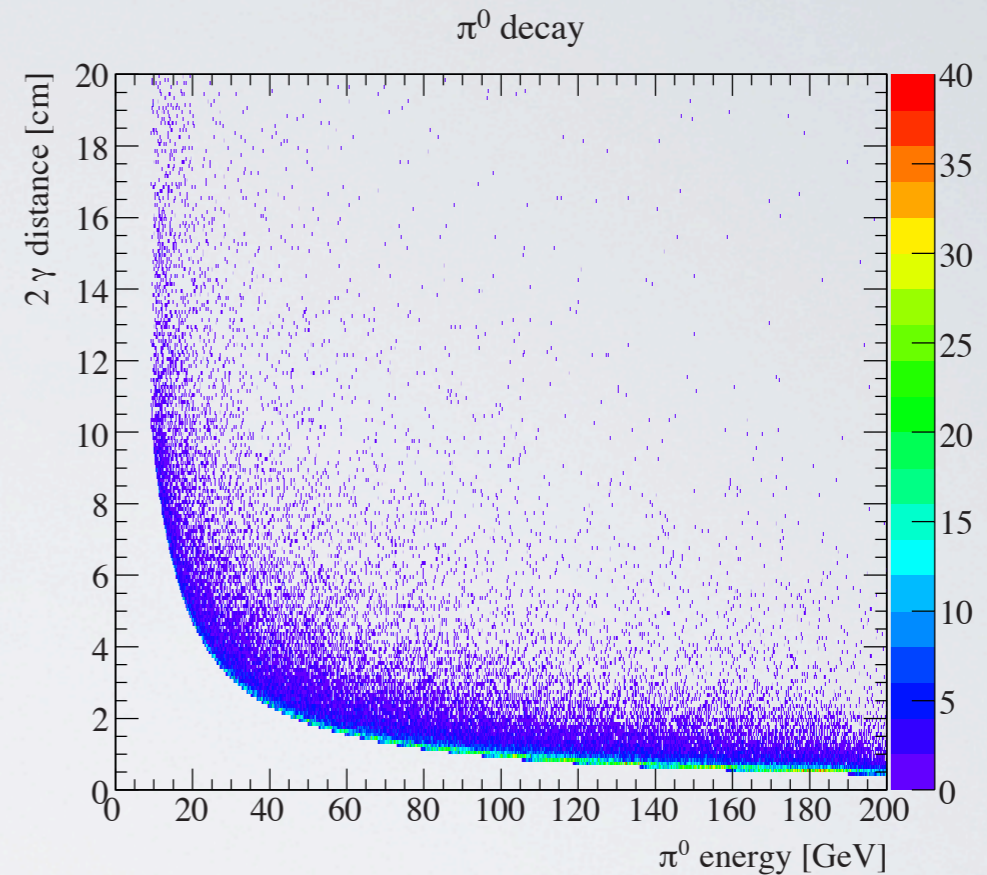
- indicate clear sensitivity of isolated photons, dedicated calculations under way

from D. d'Enterria and J. Rojo, arXiv:1202.1762



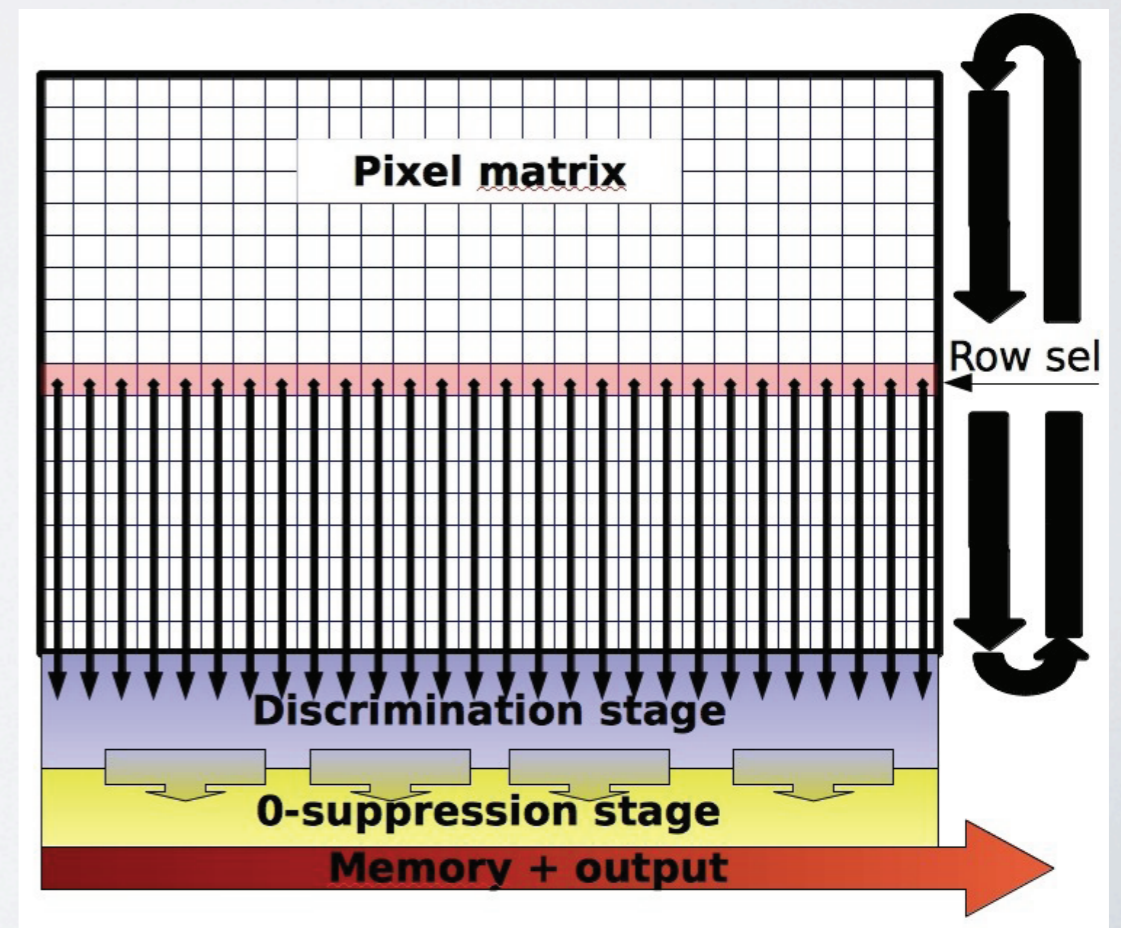
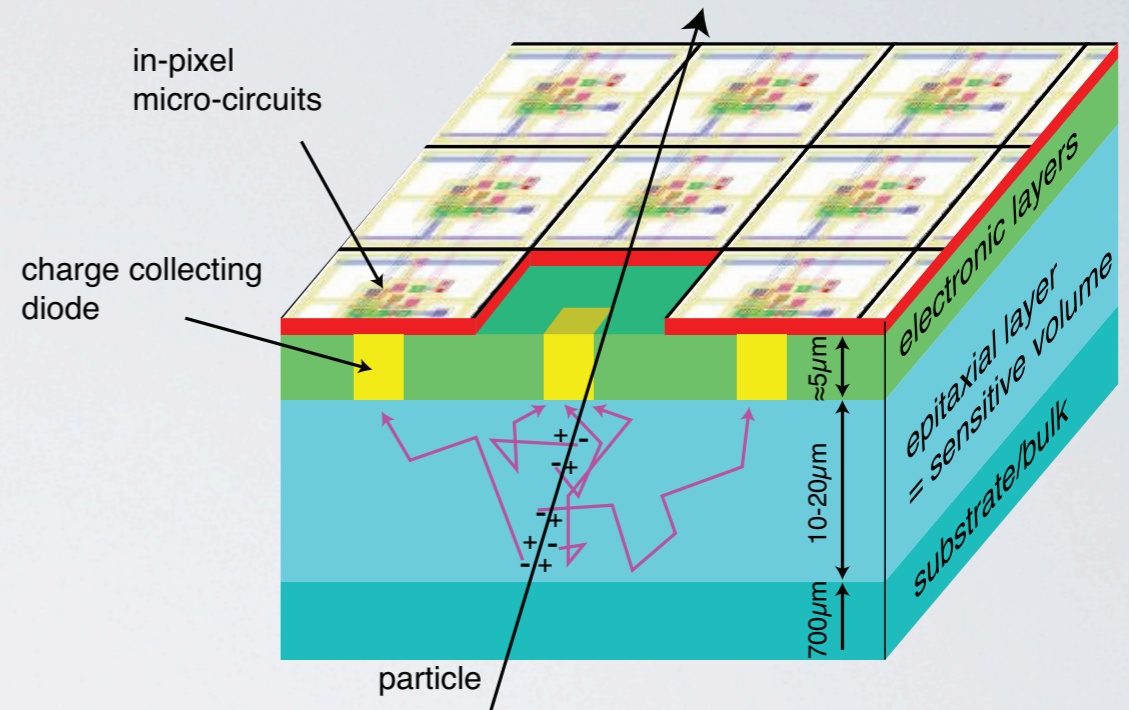
Main Design Issue: Granularity

- π^0/γ discrimination
 - separation of decay gammas < 1 cm at high energy
 - requires adequate granularity of *some* layers
- particle density in Pb+Pb
 - even stronger requirements on granularity
 - optimum: high granularity ($\approx 1\text{mm}^2$) for all layers
- realistic compromise?



MIMOSA Sensors

- Monolithic Active Pixel Sensors (MAPS)
 - Si-sensors + electronics in CMOS on single substrate
 - thin sensitive layer ($\approx 20\mu\text{m}$)
 - charge collection by diffusion
- existing chips
 - readout of analog signals by rolling shutter
 - **slow: $640\mu\text{s}$ readout time**
 - $0.35\mu\text{m}$ technology

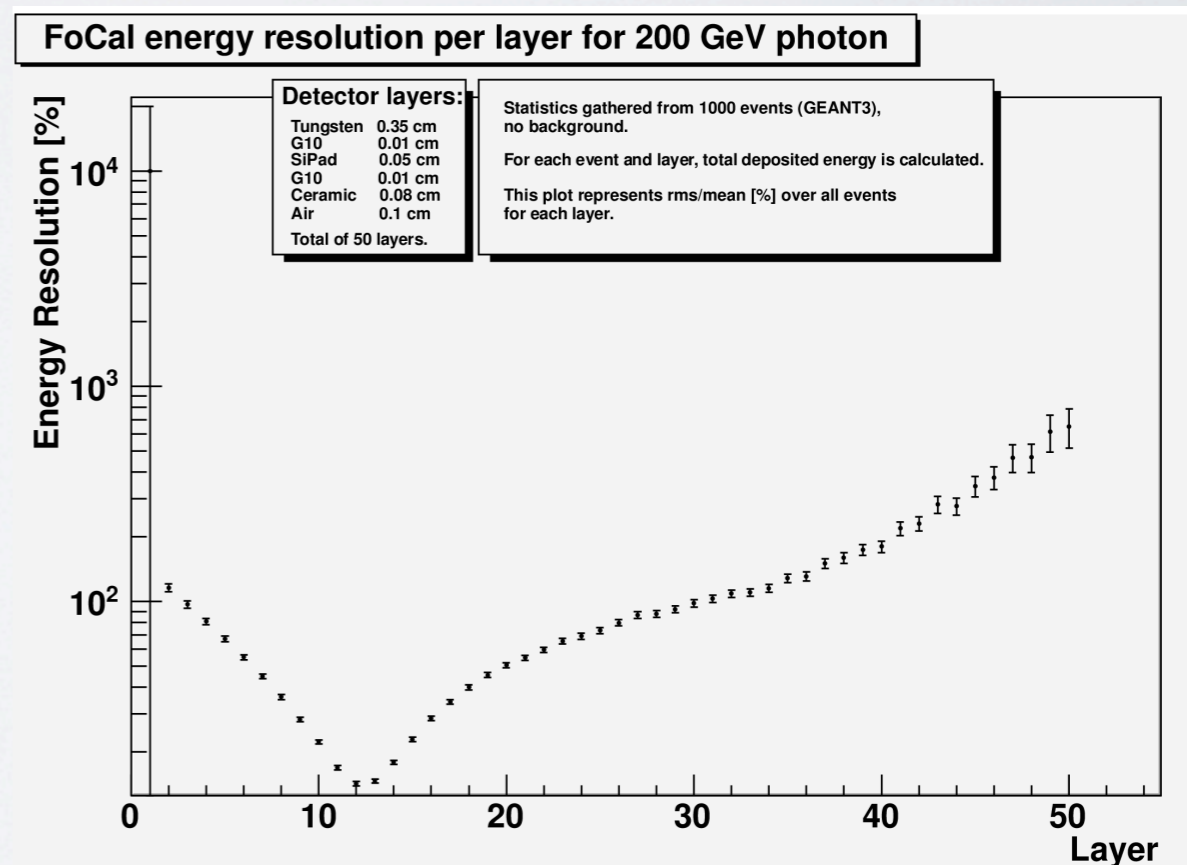
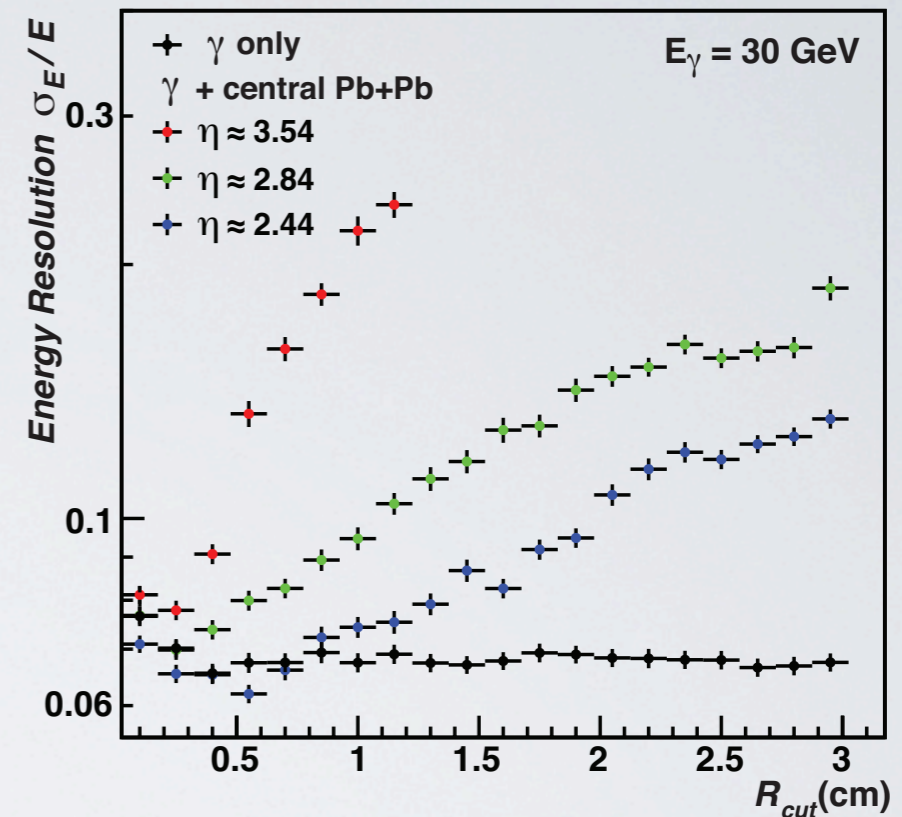


MIMOSA: Next Steps

- modifications under way (current production):
 - move from $0.35\mu\text{m}$ technology to $0.18\mu\text{m}$ (TOWER)
 - lower power consumption, better radiation hardness
 - in-pixel discriminator
 - rolling shutter for digital signals: higher frequency
 - readout time possible: $\approx 10\mu\text{s}$
 - other pixel sizes (currently $30\times 30\mu\text{m}^2$) for performance checks
- digital part needs very different algorithms for calorimetry
 - need data reduction for $\approx 50\%$ (?) occupancy (much higher than for tracking)
 - current idea: digital sum of # of pixels in “macro-pixel”

Detector Design Issues

- energy measurement from small transverse area possible
- longitudinal segmentation?
 - obtain good energy estimate from few layers?
 - resolution from **single layer** < 20% for high energy
- optimize granularity per layer
 - studies ongoing
- need to check GEANT!



Digital Calorimetry

- FoCal with $50 \times 50 \mu\text{m}^2$ pixels
- compare
 - sum of analog signals from energy deposit in active layer
 - number of pixels above threshold
- **better resolution for digital pixel readout**
 - already seen in earlier studies
 - can work when multi-hit probability of pixels low
 - can we trust GEANT here?

