

A CKM fitter plot showing the complex plane of the CKM matrix elements. The plot features several overlapping colored regions (green, orange, blue) representing different theoretical constraints. A central point is marked with a red circle and labeled with the Greek letter  $\theta$ . Three angles are indicated:  $\alpha$  is the angle between the real axis and the line connecting the origin to the point  $\theta$ ;  $\gamma$  is the angle between the real axis and the line connecting the origin to the point  $-\theta$ ; and  $\beta$  is the angle between the real axis and the line connecting the origin to the point  $\theta$  in the lower half-plane. A dashed vertical line is also present.

# Heavy Flavour Theory

Image: CKMfitter

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## Plan of Part I (today)

- 1 CKM mechanism
- 2 Theoretical tools

## Plan of Part II (tomorrow)

- 3 Meson-antimeson mixing
- 4 Three types of CP violation
- 5 Rare decays

# Part I

## Flavour in the Standard Model

## Flavour = replication of fields

The Standard Model fermions come in 3 copies with the same gauge quantum numbers

$$\begin{array}{lll}
 Q_L^{1,2,3} \sim (\mathbf{3}, \mathbf{2})_{\frac{1}{6}} & U_R^{1,2,3} \sim (\mathbf{3}, \mathbf{1})_{\frac{2}{3}} & D_R^{1,2,3} \sim (\mathbf{3}, \mathbf{1})_{-\frac{1}{3}} \\
 L_L^{1,2,3} \sim (\mathbf{1}, \mathbf{2})_{\frac{1}{2}} & & E_R^{1,2,3} \sim (\mathbf{1}, \mathbf{1})_{-1}
 \end{array}$$

under  $SU(3)_c \times SU(2)_L \times U(1)_Y$

Flavour physics deals with the interactions that distinguish these copies

# Why flavour physics is important

1. Most of the *free parameters* of the SM are related to flavour
  - ▶ what are their values?
  - ▶ why do they have these values?
2. In the SM, the flavour sector is the only source of *CP violation*
  - ▶ CPV is one of the Sakharov conditions for baryogenesis, but is too weak in the SM
  - ▶ are there other sources of CPV?
3. *Flavour-changing neutral currents* are sensitive probes of physics beyond the SM
  - ▶ Rare decays
  - ▶ Meson-antimeson mixing

## Flavour in the SM

$$\mathcal{L}_{\text{SM}} = \mathcal{L}_{\text{gauge}} - \mathcal{V}_{\text{Higgs}} + \mathcal{L}_{\text{Yukawa}}$$

$$\mathcal{L}_{\text{gauge}} = \sum_{\psi} \bar{\psi} i \not{D} \psi + |D_{\mu} H|^2 - \sum_a \frac{1}{4} F_{\mu\nu}^a F^{a\mu\nu}$$

The *gauge interactions* are completely flavour symmetric, i.e. they are invariant under individual rotations of the five fermion fields in complex 3-dimensional flavour space

$$Q_L^i \rightarrow U^{ij} Q_L^j \quad \text{etc.}$$

Group theoretically: the gauge sector has a  $U(3)^5 = [SU(3) \times U(1)]^5$  flavour symmetry!

# Flavour in the SM

$$\mathcal{L}_{\text{SM}} = \mathcal{L}_{\text{gauge}} - \mathcal{V}_{\text{Higgs}} + \mathcal{L}_{\text{Yukawa}}$$

The *Higgs potential* is of course also flavour invariant

$$\mathcal{V}_{\text{Higgs}} = -\mu^2 H^\dagger H + \lambda (H^\dagger H)^2$$

## Flavour in the SM

$$\mathcal{L}_{\text{SM}} = \mathcal{L}_{\text{gauge}} - \mathcal{V}_{\text{Higgs}} + \mathcal{L}_{\text{Yukawa}}$$

*Yukawa couplings* are the only interactions distinguishing between flavours.  
Focusing on quarks from now on:

$$\mathcal{L}_{\text{Yukawa}} = \tilde{H} \bar{Q}_L^i Y_U^{ij} U_R^j + H \bar{Q}_L^i Y_D^{ij} D_R^j + \text{h.c.}$$

The flavour symmetry is badly broken!

The only flavour symmetry left in the quark sector is baryon number, i.e. an overall phase rotation of all fields

$$U(3)_Q \times U(3)_U \times U(3)_D \rightarrow U(1)_B$$



# Yukawa couplings

$$\mathcal{L}_{\text{Yukawa}} = \tilde{H} \bar{Q}_L^i Y_U^{ij} U_R^j + H \bar{Q}_L^i Y_D^{ij} D_R^j + \text{h.c.}$$

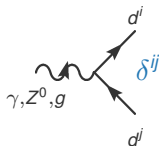
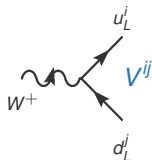
- ▶ after electroweak symmetry breaking  $\langle H \rangle = (0 \ v)^T$ ,  $\mathcal{L}_{\text{Yukawa}}$  turns into a quark *mass term*
- ▶ by unitary field redefinitions, we can diagonalize *one of the two* mass matrices, e.g.

$$v V_Q^\dagger Y_U V_U = \text{diag}(m_u, m_c, m_t)$$

$$v V_Q^\dagger Y_D V_D = V^\dagger \text{diag}(m_d, m_s, m_b)$$

## Flavour change in the mass basis

- ▶ we can get to the mass eigenstate basis, where both mass terms are diagonal, by rotating the up- and down components of  $Q_L^i = (u_L^i \ d_L^i)^T$  independently. This *violates* the  $SU(2)_L$  gauge symmetry, so the unitary matrix  $V^\dagger$  shows up in the  $W$  boson vertex



- ▶  $V$  is the Cabibbo-Kobayashi-Maskawa matrix  $V_{\text{CKM}}$
- ▶ Crucially, *neutral* vertices are always *flavour diagonal* due to the unitarity of the mixing matrices  
This is called the *GIM* (Glashow-Iliopoulos-Maiani) *mechanism*

# Physical parameters in the Yukawa couplings

Quark sector:

- ▶  $Y_{U,d}$  contain 18 real parameters and 18 phases
- ▶ Using the  $U(3)$  field redefinitions of  $Q_L$ ,  $U_R$  and  $D_R$ , we can absorb 9 angles and 18 phases, which are therefore unphysical = unobservable
- ▶ Actually, this is not quite true since an overall phase rotation of all fields does not change the Yukawa couplings, so we can only absorb  $18 - 1$  phases

We end up with 9 real angles and 1 phase that can be identified with

- ▶ the *masses* of 3 up-type and 3 down-type quarks
- ▶ 3 angles and 1 phase in the *CKM matrix*

## Free parameters of the SM

Let's count the number of physical parameters in  $\mathcal{L}_{\text{SM}}$ .

- ▶ gauge sector:  $g_{1,2,3}$  — or  $\alpha_{em}$ ,  $\alpha_s$  and  $\sin \theta_w$
- ▶ QCD vacuum angle  $\theta_{\text{QCD}}$
- ▶ Higgs potential:  $\mu$  and  $\lambda$  — or  $G_F$  and  $m_h$
- ▶ Yukawa sector (massless neutrinos): 9 masses, 4 CKM parameters

$$3 + 1 + 2 + 13 = 19$$

Two thirds from the flavour sector!

## CKM matrix: standard parametrization

The CKM matrix has 3 mixing angles and 1 phase.

$$V_{\text{CKM}} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$

## CKM matrix: standard parametrization

The CKM matrix has 3 mixing angles and 1 phase.

$$V_{\text{CKM}} = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -s_{23}c_{12} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{pmatrix}$$

$$c_{ij} = \cos \theta_{ij} \text{ and } s_{ij} = \sin \theta_{ij}$$

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$$c_{ij} = \cos \theta_{ij} \text{ and } s_{ij} = \sin \theta_{ij}$$

$$\text{Experimentally: } (s_{12}, s_{13}, s_{23}, \delta) \approx (0.225, 0.042, 0.0036, 70^\circ)$$

## CKM matrix: Wolfenstein parametrization

Since  $V_{\text{CKM}}$  turns out to be very hierarchical, it is often very useful to consider a different parametrization, expanding in  $\lambda \equiv s_{12} = \sin \theta_C \approx 0.22$

$$V_{\text{CKM}} = \begin{pmatrix} 1 - \frac{\lambda^2}{2} & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda & 1 - \frac{\lambda^2}{2} & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 & 1 \end{pmatrix} + O(\lambda^4)$$

$$(\lambda, A, \bar{\rho}, \bar{\eta}) \approx (0.225, 0.82, 0.13, 0.35)$$

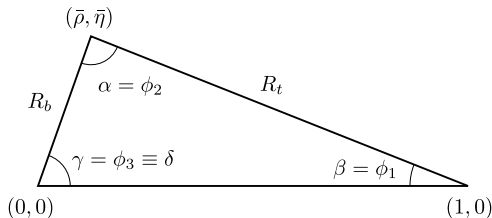


## Unitarity triangle

$V_{\text{CKM}}$  has to be a *unitary* matrix. This implies certain relations among its elements, in particular

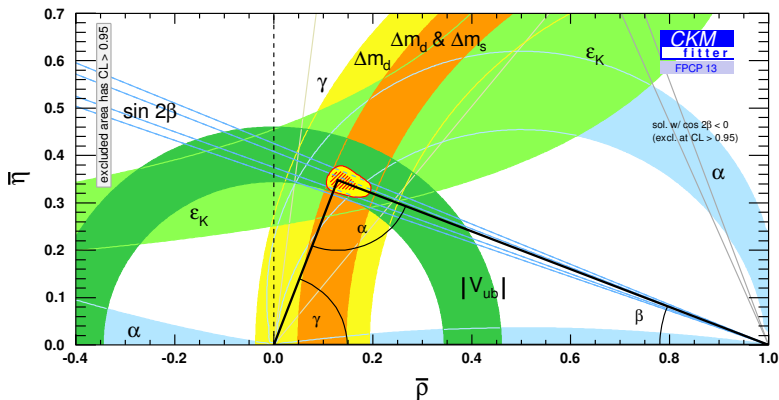
$$V_{ud}V_{ub}^* + V_{cd}V_{cb}^* + V_{td}V_{tb}^* = 0$$

This can be represented as a *triangle* in a complex plane



$$(\alpha, \beta, \gamma) \approx (89^\circ, 22^\circ, 70^\circ)$$

# Experimental status of the CKM mechanism



The CKM mechanism seems to be fundamentally at work

1 CKM mechanism

2 Theoretical tools

## Multiple scales

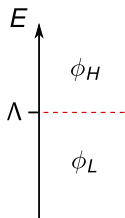
Weak decays always involve physics at vastly disparate energy scales, e.g.

- ▶ non-perturbative QCD interactions describing the hadrons,  
 $\Lambda_{\text{QCD}} \sim 0.2 \text{ GeV}$
- ▶  $b$  quark mass  $\sim 4 \text{ GeV}$
- ▶ mass of the  $W$  mediating FCNCs  $\sim 80 \text{ GeV}$
- ▶ top quark mass  $\sim 170 \text{ GeV}$
- ▶ new heavy particles in loops  $\sim \text{TeV?}$

Such a multitude of scales can only be tackled with a powerful tool: *Effective field theory*

## Effective field theory

We want to study physics at energies much lower than some scale  $\Lambda$  in a theory where particles lighter and heavier than  $\Lambda$  are present.



To this end, we can replace the complicated Lagrangian of the “full” theory by an *effective Lagrangian* containing only the light fields and a series of local operators built out of the light fields

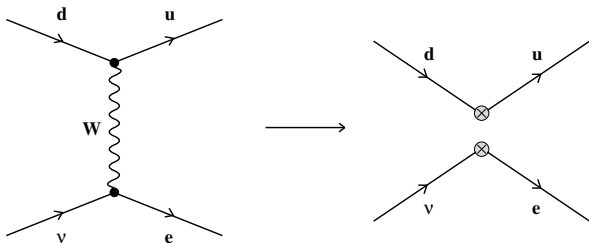
$$\mathcal{L}(\phi_L, \phi_H) \rightarrow \mathcal{L}(\phi_L) + \mathcal{L}_{\text{eff}} = \mathcal{L}(\phi_L) + \sum_i c_i Q_i(\phi_L)$$

This expansion is called the *operator product expansion*

## Example: modern view of Fermi theory

In Fermi's model of  $\beta$  decay, the full weak Lagrangian (that he didn't know of course) is effectively replaced by the low-energy (QED) Lagrangian plus a single operator

$$\mathcal{L}_{ew} \rightarrow \mathcal{L}_{\text{QED}} + \frac{G_F}{\sqrt{2}} (\bar{u}d)(e\bar{\nu})$$



Local operator  $\equiv$  effective vertex!

## More about the OPE

$$\mathcal{L}_{\text{eff}} = \sum_i C_i Q_i(\phi_L)$$

- ▶ the local operators have mass dimension  $> 4$ , i.e. they are *non-renormalizable*
- ▶ operators with dimension  $4 + n$  contribute with strength  $(E/\Lambda)^n$  to a process with energy  $E$ ; thus, the OPE can be *truncated* at some dimension  $d$  and typically a small number of operators is important
- ▶  $C_i$  are called *Wilson coefficients*  $\equiv$  effective coupling constants
- ▶  $\mathcal{H}_{\text{eff}} \equiv -\mathcal{L}_{\text{eff}}$

## Weak effective Hamiltonian

$$\mathcal{H}_{\text{eff}} = \frac{4G_F}{\sqrt{2}} \sum_i \xi_{\text{CKM}}^i C_i Q_i$$

- ▶ we only have to consider operators up to *dimension 6*
- ▶ since flavour-change is always mediated by the  $W$  boson, one can factor out the Fermi constant  $\frac{4G_F}{\sqrt{2}} = \frac{g^2}{2m_W^2} \Rightarrow$  the WC of dimension-6 operators are *dimensionless*
- ▶ factoring out the CKM elements, the WC are *real* in the SM
- ▶ the amplitude of a weak decay takes the generic form

$$A(i \rightarrow f) = \langle f | \mathcal{H}_{\text{eff}} | i \rangle = \frac{4G_F}{\sqrt{2}} \sum_i \xi_{\text{CKM}}^i C_i(\mu) \langle f | Q_i(\mu) | i \rangle$$



## Calculating Wilson coefficients: matching

- ▶ The values of the effective coupling constant should be such that amplitudes in the effective theory reproduce the ones in the full theory.

$$\mathcal{L}_{\text{eff}} = \frac{4G_F}{\sqrt{2}} V_{ud} C Q$$

$$Q = (\bar{u}_L \gamma^\mu d_L)(\bar{e}_L \gamma_\mu \nu_L)$$

- ▶ Requiring the amplitudes to coincide, one finds

$$\mathcal{A}_{\text{full}} = \frac{g^2}{2m_W^2} V_{ud} \langle Q \rangle \stackrel{!}{=} \frac{4G_F}{\sqrt{2}} V_{ud} C \langle Q \rangle = \mathcal{A}_{\text{eff}}$$

$$\Rightarrow C = 1$$

- ▶ This process is called *matching*

## Detour: renormalization

In a QFT, infinities in calculations have to be removed by *renormalizing* bare parameters in the Lagrangian, e.g. in QCD

$$G_{0\mu}^a = \sqrt{Z_3} G_\mu^a \quad q_0 = \sqrt{Z_q} q \quad g_{0s} = Z_g g_s \mu^\epsilon \quad m_0 = Z_m m$$

- ▶ 0: unrenormalized = bare fields/parameters
- ▶  $Z_i$ : renormalization constants
- ▶  $\mu$ : renormalization scale

Dimensional regularization + minimal subtraction: only poles in  $\epsilon = 2 - d/2$  subtracted

$$Z_i = \frac{\alpha_s}{4\pi} \frac{a_{1i}}{\epsilon} + O(\alpha_s^2)$$

## Renormalization scale

- ▶  $g_s$  and  $m$  (in fact, all couplings in a QFT) become  $\mu$ -dependent
- ▶  $\mu$  dependent terms are renormalization scheme dependent
- ▶ physical *observables* have to be  *$\mu$ -independent*
- ▶ values of the parameters at different scales are connected by *renormalization group equations (RGE)*, e.g.

$$\frac{dg_s(\mu)}{d \ln \mu} = \beta(g_s(\mu)), \quad \frac{dm(\mu)}{d \ln \mu} = -\gamma_m(g_s(\mu)) m(\mu)$$

# Operator renormalization

- ▶ Also  $Q_j$  have to be renormalized:

$$Q_j^0 = Z_{ij} Q_j$$

- ▶  $C_j$  become scale-dependent
- ▶ The scale dependence is cancelled by the scale dependence of the matrix element

$$A(i \rightarrow f) = \frac{G_F}{\sqrt{2}} \sum_i \xi_{\text{CKM}}^i C_i(\mu) \langle f | Q_i(\mu) | i \rangle$$

## Renormalizing non-renormalizable operators?

- ▶ In a *renormalizable* theory, all infinities can be removed to all orders in perturbation theory by a finite number of counterterms
- ▶ In a *non-renormalizable* theory, infinities have to be removed at any order and the number of subtraction terms is infinite
- ▶ In the OPE, once we renormalize the (finite number of) operators of a given dimension, the higher-dimensional ones are still divergent, but we don't care since they are *not relevant for low-energy physics*

Modern view of renormalization: the low-energy limit of every EFT is a renormalizable theory

## RGE for the Wilson coefficients

- ▶ Recall our multi-scale problem: which renormalization scale  $\mu$  to choose for  $C_i(\mu)$ ?
- ▶  $C_i$  obey a RGE

$$\begin{aligned}\frac{d}{d \ln \mu} C_j(\mu) &= \sum_i C_i(\mu) \gamma_{ij}(\mu) \\ \Rightarrow C_j(\mu_1) &= U_{ji}(\mu_1, \mu_2) C_i(\mu_2)\end{aligned}$$

- ▶ we calculate (match)  $C_i$  at a high scale where QCD is perturbative and use the RGE to evolve it down to the appropriate scale
- ▶ by “running” the RGEs, we are in effect running through a series of EFTs where  $\mu$  playing the role of the scale  $\Lambda$

## Generic weak decay amplitude

$$A(i \rightarrow f) = \frac{4G_F}{\sqrt{2}}$$

$$\sum_i \xi_{\text{CKM}}^i$$

$$C_i(m_W)$$

$$U(\mu_l, m_W)$$

$$\langle f | Q_i(\mu_l) | i \rangle$$

CKM factors

short-distance

QCD corrections

hadronic matrix element

— perturbative —

non-perturbative

— indep. of external states —

specific for ext. state

sensitive to NP

— independent of NP —

The OPE has achieved a *separation of scales*

## Part II

# Flavour-changing neutral currents



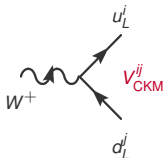
3 Meson-antimeson mixing

4 Three types of CP violation

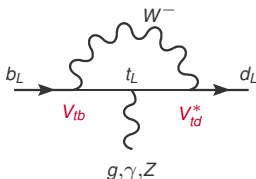
5 Rare decays

## Flavour-changing neutral currents

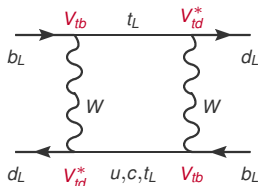
In the SM, the only flavour-changing coupling is the  $W$  vertex that changes also the electric charge:



However, flavour-changing neutral currents can be generated at loop level!



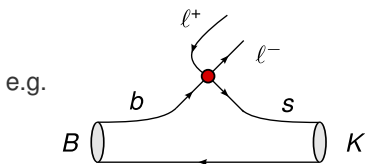
“Penguin diagram”



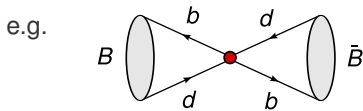
“Box diagram”

## Two classes of FCNC processes

$\Delta F = 1$  = rare decays

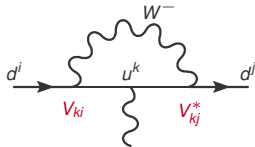


$\Delta F = 2$  = meson-antimeson mixing  
( $K, B, B_s, D$ )



## GIM mechanism at 1-loop

Generic form of a  $\Delta F = 1$  amplitude:



$$\begin{aligned}
 \sum V_{ki} V_{kj}^* F(m_{u^k}) &= V_{ui} V_{uj}^* F(m_u) + V_{ci} V_{cj}^* F(m_c) + V_{ti} V_{tj}^* F(m_t) \\
 &\approx (V_{ui} V_{uj}^* + V_{ci} V_{cj}^*) F(0) + V_{ti} V_{tj}^* F(m_t) \\
 &= V_{ti} V_{tj}^* [F(m_t) - F(0)]
 \end{aligned}$$

- ▶ FCNC amplitude would be zero if all masses were degenerate!
- ▶ FCNC amplitudes in  $B$  physics dominated by internal top quark exchange

### 3 Meson-antimeson mixing

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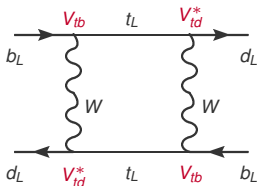
## Computing the $B^0$ mixing amplitude

$$A(B^0 \rightarrow \bar{B}^0) \propto \sum_i \xi_{\text{CKM}} C_i(m_W) U(\mu_i, m_W) \langle \bar{B} | Q_i(\mu_i) | B \rangle$$

In the SM, the  $\Delta B = 2$  effective Hamiltonian only contains a single operator:

$$Q_{LL} = (\bar{b}_L \gamma_\mu d_L)(\bar{b}_L \gamma^\mu d_L)$$

## Evaluation of the box diagram



Taking into account the GIM mechanism, one finds

$$\begin{aligned}
 A(B^0 \rightarrow \bar{B}^0) &= \frac{G_F^2}{4\pi^2} m_W^2 \xi_{\text{CKM}} C_{LL}(m_W) U(\mu_I, m_W) \langle \bar{B} | Q_{LL}(\mu_I) | B \rangle \\
 &= \frac{G_F^2}{4\pi^2} m_W^2 (V_{tb}^* V_{td})^2 S_0(x_t) \eta_B(\mu_I) \langle \bar{B} | Q_{LL}(\mu_I) | B \rangle
 \end{aligned}$$

where  $x_t = m_t^2/m_W^2$  and  $\eta_B(\mu_I)$  summarizes the (perturbative) QCD corrections.

## Missing piece: matrix element

The quantity  $\langle \bar{B} | Q_{LL}(\mu_l) | B \rangle$  cannot be calculated by perturbative means and one has to rely on *lattice QCD*. One can write

$$\eta_B(\mu_l) \langle \bar{B} | Q_{LL}(\mu_l) | B \rangle = \frac{2}{3} f_B^2 m_B^2 \eta_B(\mu_l) B(\mu_l) = \frac{2}{3} f_B^2 m_B^2 \hat{\eta}_B \hat{B}$$

- ▶  $f_B$ :  $B$  meson decay constant
- ▶  $\hat{B}$ : bag parameter for  $B$  mixing
- ▶ NB: the  $\mu_l$  dependence in  $\eta_B$  cancels with the one of  $B$
- ▶ an average of recent computations yields  $f_B \sqrt{\hat{B}} = (227 \pm 19)$  MeV
- ▶ This is the main limiting factor in the theoretical precision



# Summary

Our final result reads

$$A(B^0 \rightarrow \bar{B}^0) = \frac{G_F^2}{6\pi^2} m_W^2 m_B (V_{tb}^* V_{td})^2 S_0(x_t) \hat{\eta}_B f_B^2 \hat{B}$$

and we needed

- ▶ CKM elements,
- ▶ short-distance contributions (box diagram!),
- ▶ QCD corrections, and
- ▶ input from the lattice.

## Time evolution of an unstable meson

Consider the time evolution of a meson state  $|M\rangle$

$$i\frac{d}{dt}|M(t)\rangle = \left(M_M - i\frac{\Gamma}{2}\right)|M(t)\rangle$$

where  $M_M$  is the meson mass and  $\Gamma = 1/\tau$  the decay width

$$|M(t)\rangle = e^{-iMt} e^{-\Gamma t/2} |M(0)\rangle$$

## Quantum mechanics of $B-\bar{B}$ mixing

Now: consider a coupled meson-antimeson system

$$i\frac{d}{dt} \begin{pmatrix} |B(t)\rangle \\ |\bar{B}(t)\rangle \end{pmatrix} = \begin{pmatrix} M - i\frac{\Gamma}{2} & M_{12} - i\frac{\Gamma_{12}}{2} \\ M_{12}^* - i\frac{\Gamma_{12}^*}{2} & M - i\frac{\Gamma}{2} \end{pmatrix} \begin{pmatrix} |B(t)\rangle \\ |\bar{B}(t)\rangle \end{pmatrix}$$

- ▶ **mass**  $M$  is of the order of  $m_b$
- ▶ **lifetime**  $\Gamma$  is determined by the weak interaction, roughly  $\Gamma \propto G_F^2 m_b^5 V_{cb}^2$
- ▶  $M_{12}$  is the mixing amplitude we calculated ( $\rightarrow$  box diagram)

$$M_{12} = \frac{1}{2M} A(B^0 \rightarrow \bar{B}^0) = \frac{1}{2M} \langle \bar{B}^0 | \mathcal{H}_{\text{eff}}^{\Delta B=2} | B^0 \rangle$$

- ▶  $\Gamma_{12}$  is the absorptive part of the box diagram, i.e. with real (not virtual) intermediate states. Dominated by light states (= long distance), hard to estimate

## Diagonalizing the system

Let us start in the limit of CP symmetry:  $\delta_{\text{CKM}} \rightarrow 0 \Rightarrow M_{12}, \Gamma_{12} \in \mathbb{R}$ .

We obtain two mass eigenstates after diagonalization,

$$B_{L,H} = \frac{1}{\sqrt{2}} (|B\rangle \pm |\bar{B}\rangle)$$

$$i \frac{d}{dt} \begin{pmatrix} |B_L(t)\rangle \\ |\bar{B}_H(t)\rangle \end{pmatrix} = \begin{pmatrix} M_L - i\frac{\Gamma_L}{2} & 0 \\ 0 & M_H - i\frac{\Gamma_H}{2} \end{pmatrix} \begin{pmatrix} |B_L(t)\rangle \\ |\bar{B}_H(t)\rangle \end{pmatrix}$$

The mass and width differences are

$$\Delta M = M_H - M_L = 2|M_{12}| \qquad |\Delta\Gamma| = |\Gamma_H - \Gamma_L| = 2|\Gamma_{12}|$$

## Solving the Schrödinger equation

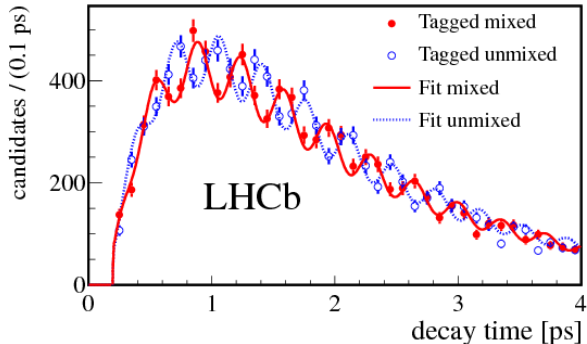
In the meson rest frame, an initially pure flavour eigenstate evolves according to

$$|B(t)\rangle = e^{-iMt} e^{-\Gamma t/2} [a(t)|B\rangle + b(t)|\bar{B}\rangle]$$

$$a(t) = \cosh\left(\frac{\Delta\Gamma t}{4}\right) \cos\left(\frac{\Delta Mt}{2}\right) - i \sinh\left(\frac{\Delta\Gamma t}{4}\right) \sin\left(\frac{\Delta Mt}{2}\right)$$

$$b(t) = -\sinh\left(\frac{\Delta\Gamma t}{4}\right) \cos\left(\frac{\Delta Mt}{2}\right) + i \cosh\left(\frac{\Delta\Gamma t}{4}\right) \sin\left(\frac{\Delta Mt}{2}\right)$$

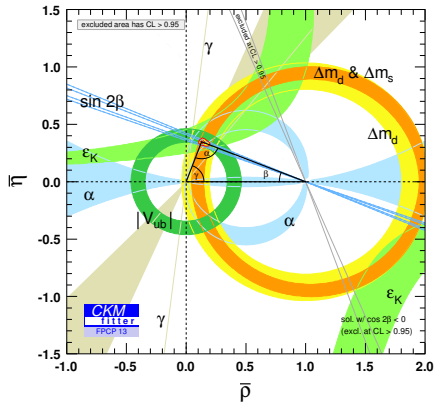
# Measurement



LHCb measurement of  $B_s$ - $\bar{B}_s$  mixing, April 2013

# $\Delta M_d$ and the unitarity triangle

$$\Delta M_d = 2|M_{12}| \propto |(V_{tb}V_{td}^*)|^2 \approx (A\lambda^3)^2 [(1-\rho)^2 + \eta^2]^2$$



## Enter CP violation

We know that the weak interactions don't respect CP, so we expect  $M_{12} \neq M_{12}^*$  and  $\Gamma_{12} \neq \Gamma_{12}^*$

$$B_{L,H} = p|B\rangle \pm q|\bar{B}\rangle$$

$$\left(\frac{q}{p}\right)^2 = \frac{M_{12}^* - i\Gamma_{12}^*/2}{M_{12} - i\Gamma_{12}/2}$$

- ▶ By rephasing  $|B\rangle$  or  $|\bar{B}\rangle$ , we can remove all phases in  $M_{12}$ ,  $\Gamma_{12}$  and  $q/p$  except one
- ▶ We end up with 3 physical *meson mixing parameters*

$$|M_{12}| \quad |\Gamma_{12}| \quad \phi = \arg\left(-\frac{M_{12}}{\Gamma_{12}}\right)$$



3 Meson-antimeson mixing

4 Three types of CP violation

5 Rare decays

# Three types of CP violation

- ▶ CP violation in *mixing* (= indirect CPV)
- ▶ CP violation in *decay* (= direct CPV)
- ▶ CP violation in the *interference of mixing and decay*  
(= mixing-induced CPV)

# 1. CP violation in mixing

CP violation in mixing caused by  $M_{12} \neq M_{12}^*$  and/or  $\Gamma_{12} \neq \Gamma_{12}^*$

$$B_{L,H} = p|B\rangle \pm q|\bar{B}\rangle$$

$$\left(\frac{q}{p}\right)^2 = \frac{M_{12}^* - i\Gamma_{12}^*/2}{M_{12} - i\Gamma_{12}/2}$$

Basis of physical observables:

$$|M_{12}| \quad |\Gamma_{12}| \quad \phi = \arg\left(-\frac{M_{12}}{\Gamma_{12}}\right)$$

$$\text{CPV in mixing} \Leftrightarrow \phi \neq 0 \Leftrightarrow |q/p| \neq 1$$

## Simplification: assume $\Delta\Gamma \ll \Delta M$

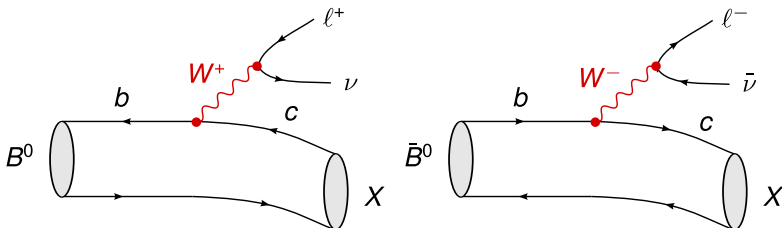
This holds in the case of  $B$  and  $B_s$  mixing. Then,

$$\Delta M \approx 2|M_{12}| \quad \Delta\Gamma \approx 2|\Gamma_{12}| \cos\phi \quad \phi = \arg(-M_{12}/\Gamma_{12})$$

$$\frac{q}{p} \approx -\frac{M_{12}^*}{|M_{12}|}$$

## CP violation in mixing

- ▶ To isolate CPV in mixing, consider decays that are only allowed in the presence of mixing
- ▶ Semi-leptonic asymmetry: “wrong-charge” decays



$$A_{\text{sl}}(B) = \frac{\Gamma(\bar{B}^0(t) \rightarrow \ell^+ \nu X) - \Gamma(B^0(t) \rightarrow \ell^- \bar{\nu} X)}{\Gamma(\bar{B}^0(t) \rightarrow \ell^+ \nu X) + \Gamma(B^0(t) \rightarrow \ell^- \bar{\nu} X)}$$

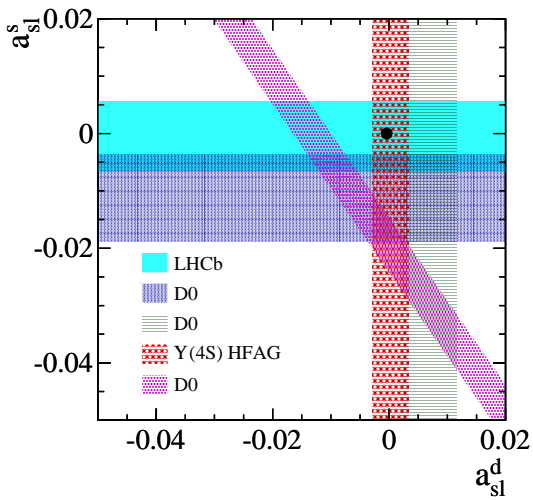
## Semi-leptonic CP asymmetry

$$A_{\text{sl}}(B) = \frac{\Gamma(\bar{B}^0(t) \rightarrow l^+ \nu X) - \Gamma(B^0(t) \rightarrow l^- \bar{\nu} X)}{\Gamma(\bar{B}^0(t) \rightarrow l^+ \nu X) + \Gamma(B^0(t) \rightarrow l^- \bar{\nu} X)} = \frac{1 - |q/p|^4}{1 + |q/p|^4}$$

- ▶ This is very small for  $B$  and  $B_s$  mixing, where  $|q/p| \approx 1$

$$A_{\text{sl}}(B) \approx \text{Im} \frac{\Gamma_{12}}{M_{12}} = \frac{\Delta\Gamma}{\Delta M} \tan \phi$$

# Measurements



## 2. CP violation in decay

- ▶ CPV in decay (= direct CPV) is best isolated in charged  $B$  decays

$$A_{\text{CP}}^{\text{dir}}(B \rightarrow f) = \frac{\Gamma(B^+ \rightarrow f^+) - \Gamma(B^- \rightarrow f^-)}{\Gamma(B^+ \rightarrow f^+) + \Gamma(B^- \rightarrow f^-)}$$

- ▶ Decay rate and amplitude are related as  $\Gamma \propto |\mathcal{A}|^2$ . How to get  $A_{\text{CP}}^{\text{dir}} \neq 0$ ?



## Strong & weak phases

- ▶ Imaginary part of the amplitude can originate from
  - ▶ *CP violating phases*, i.e. CKM elements or new physics contributions, also called “*weak*” phases
  - ▶ Rescattering effects from on-shell intermediate states: “*strong*” phases
- ▶ If amplitude receives several contributions from different types of diagram

$$\Gamma(B^+ \rightarrow f^+) = A_1 e^{i(\delta_1 + \phi_1)} + A_2 e^{i(\delta_2 + \phi_2)}$$

$$\Gamma(B^- \rightarrow f^-) = A_1 e^{i(\delta_1 - \phi_1)} + A_2 e^{i(\delta_2 - \phi_2)}$$

$$\Rightarrow A_{\text{CP}}^{\text{dir}}(B \rightarrow f) \propto -2A_1 A_2 \sin(\delta_1 - \delta_2) \sin(\phi_1 - \phi_2)$$

- ▶  $A_{\text{CP}}^{\text{dir}}$  only non-zero in the presence of  $\geq 2$  contributions with *different weak and strong phases*

## Triple product asymmetries

- ▶ In some decays, one can form asymmetries in kinematical quantities that are a triple product of 3 independent 3-vectors (spins or momenta)

$$A_T = \frac{\Gamma(\vec{v}_1 \cdot (\vec{v}_2 \times \vec{v}_3) > 0) - \Gamma(\vec{v}_1 \cdot (\vec{v}_2 \times \vec{v}_3) < 0)}{\Gamma(\vec{v}_1 \cdot (\vec{v}_2 \times \vec{v}_3) > 0) + \Gamma(\vec{v}_1 \cdot (\vec{v}_2 \times \vec{v}_3) < 0)}$$

$$\mathcal{A}_T = \frac{1}{2}(A_T + \bar{A}_T)$$

- ▶ This quantity is odd under a T transformation and, by means of CPT, CP-odd
- ▶ It can be shown that  $\mathcal{A}_T$  is not suppressed by small strong phases:

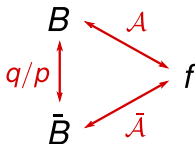
$$\mathcal{A}_T \propto \cos(\delta_1 - \delta_2) \sin(\phi_1 - \phi_2)$$

### 3. CP violation in the interference of mixing and decay

Time-dependent CP asymmetry in neutral  $B$  decays to CP eigenstates ( $f = \bar{f}$ )

$$A_{\text{CP}}(t, f) = \frac{\Gamma(B^0(t) \rightarrow f) - \Gamma(\bar{B}^0(t) \rightarrow f)}{\Gamma(B^0(t) \rightarrow f) + \Gamma(\bar{B}^0(t) \rightarrow f)}$$

$$\approx A_{\text{CP}}^{\text{dir}}(f) \cos(\Delta Mt) + A_{\text{CP}}^{\text{mix}}(f) \sin(\Delta Mt)$$



## Mixing-induced CP asymmetry

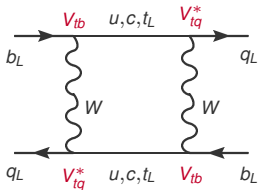
$$\xi_f = \frac{q\bar{\mathcal{A}}}{p\mathcal{A}} \Rightarrow A_{\text{CP}}^{\text{dir}}(f) = \frac{1 - |\xi_f|^2}{1 + |\xi_f|^2}, \quad A_{\text{CP}}^{\text{mix}}(f) = \frac{2 \operatorname{Im}\xi_f}{1 + |\xi_f|^2}$$

- ▶ In the  $B_{d,s}$  system,  $\Delta\Gamma \ll \Gamma \Rightarrow q/p \approx e^{-i\phi}$
- ▶ Particularly interesting: “*Golden modes*” where all contributions to the decay amplitude carry the same weak phase

$$\Rightarrow \frac{\bar{\mathcal{A}}}{\mathcal{A}} = -\eta_f e^{-2i\phi_D}, \quad A_{\text{CP}}^{\text{dir}}(f) = 0, \quad A_{\text{CP}}^{\text{mix}}(f) = -\sin(2\phi_D - \phi)$$

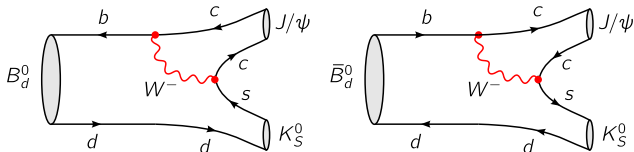
where  $\eta_f = \pm 1$  is the CP parity of the final state

## $B_q$ ( $q = d, s$ ) mixing phases



$$\begin{aligned}
 \phi(B_q) &= \arg(V_{tb}^* V_{tq}) \\
 &= 2\beta \quad \text{for } B_d \\
 &= 2\beta_s \quad \text{for } B_s
 \end{aligned}$$

# Golden mode $B^0 \rightarrow J/\psi K_S$

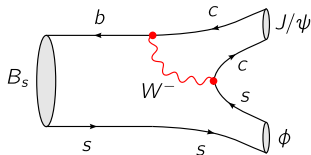


$$\phi_D = \arg(V_{cb}^* V_{cs}) = 0$$

$$A_{\text{CP}}^{\text{mix}}(J/\psi K_S) = -\sin(2\beta)$$



## Another golden mode: $B_s \rightarrow J/\psi\phi$



$$A_{\text{CP}}^{\text{mix}}(J/\psi\phi) = \sin(2\beta_s) \approx 0.04$$

LHCb 2013:

$$\sin(2\beta_s) = -0.01 \pm 0.07$$



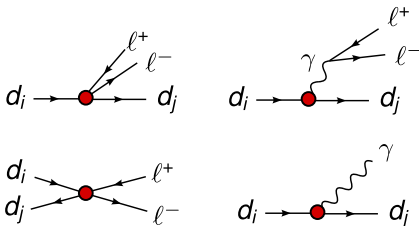
3 Meson-antimeson mixing

4 Three types of CP violation

**5 Rare decays**

## Rare $B$ (and $K$ ) decays

- ▶ Rare decays = FCNC decays with  $\Delta F = 1$
- ▶ particularly interesting: leptonic, semi-leptonic and radiative decays



## Generic form of $\Delta F = 1$ amplitude

$$A(B \rightarrow f) = V_{tb}^* V_{tq} \sum_i C_i(m_W) U(\mu_i, m_W) \langle f | Q_i(\mu_i) | B \rangle$$

- ▶  $C_i$  and  $U$  can be calculated perturbatively
- ▶ Matrix element:
  1. *Inclusive decays* (sum over all possible hadronic final states): Related to (calculable) quark level decay by *heavy quark effective theory*. E.g.

$$\text{BR}(B \rightarrow X_s \gamma) = \text{BR}(b \rightarrow s \gamma) + O(\Lambda_{\text{QCD}}^2/m_b^2)$$

Theoretically clean, but experimentally challenging, in particular at hadron machines

## Generic form of $\Delta F = 1$ amplitude

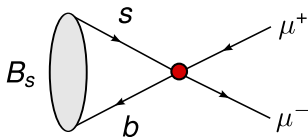
$$A(B \rightarrow f) = V_{tb}^* V_{tq} \sum_i C_i(m_W) U(\mu_I, m_W) \langle f | Q_i(\mu_I) | B \rangle$$

- ▶  $C_i$  and  $U$  can be calculated perturbatively
- ▶ Matrix element:
  2. *Exclusive decays* (definite hadronic final state): need for hadronic *form factors*, e.g.

$$\langle \bar{K}^* | \bar{s} \gamma_5 b | \bar{B} \rangle \propto A_0(q^2)$$

Non-perturbative quantities  $\Rightarrow$  lattice QCD or models

$$B_s \rightarrow \mu^+ \mu^-$$



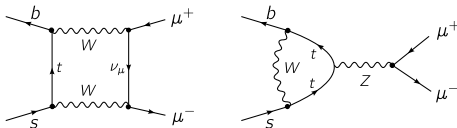
- ▶ helicity-suppressed since it vanishes for massless leptons (in addition to the loop- and CKM-suppression)  $\Rightarrow$  one of the rarest  $B$  decays
- ▶ non-hadronic final state  $\Rightarrow$  relatively clean theoretically (for an exclusive decay)
- ▶ clean experimental signature

## $B_s \rightarrow \mu^+ \mu^-$ amplitude

- ▶ Operators: in the SM only 1

$$Q_{10} = (\bar{s}_L \gamma_\mu b_L)(\bar{\mu} \gamma^\mu \gamma_5 \mu)$$

- ▶ Wilson coefficient:



- ▶ Matrix element:

$$\langle 0 | \bar{s} \gamma^\mu \gamma_5 b | \bar{B}_s \rangle = i f_{B_s} p^\mu$$

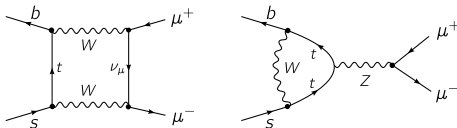
only 1 number, the *decay constant*  $\Leftarrow$  lattice

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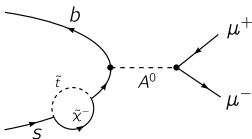
only 1 number, the *decay constant*  $\Leftarrow$  lattice

$$\text{BR}_{\text{SM}} = (3.2 \pm 0.2) \times 10^{-9} \quad \text{BR}_{\text{LCHb+CMS 2013}} = (2.9 \pm 0.7) \times 10^{-9}$$

## $B_s \rightarrow \mu^+ \mu^-$ beyond the SM

Example: in supersymmetry, new operators are generated by heavy Higgs exchange

$$Q_S = (\bar{s}_L b_R)(\bar{\mu} \mu), \quad Q_P = (\bar{s}_L b_R)(\bar{\mu} \gamma_5 \mu)$$

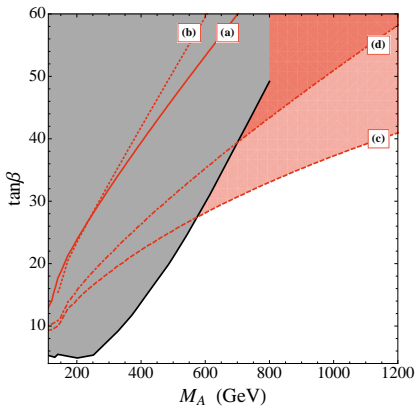


- This contribution can greatly enhance the branching ratio for large  $\tan \beta = v_u/v_d$

$$C_S \simeq -C_P \propto \frac{\mu A_t}{m_{\tilde{t}}^2} \frac{m_{B_s} m_\mu}{m_A^2} \tan^3 \beta$$



# $B_s \rightarrow \mu^+ \mu^-$ constraint on the MSSM



gray:  $A, H \rightarrow \tau^+ \tau^-$

[Altmannshofer, Carena, Shah (2012)]

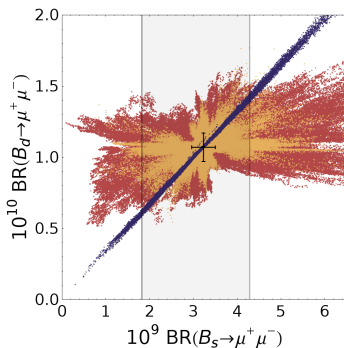
- ▶ measurement constrains the parameters  $\tan \beta$  and  $M_A$
- ▶ large  $\tan \beta$  + light  $M_A$  disfavoured
- ▶ constraint is complementary to direct searches for heavy Higgs

## $B_{s,d} \rightarrow \mu^+ \mu^-$ beyond the SM

- ▶ Even in the absence of scalar/pseudoscalar operators, visible new physics effects can be generated in  $B_s \rightarrow \mu^+ \mu^-$  and  $B_d \rightarrow \mu^+ \mu^-$
- ▶ Example: models with partial compositeness. Contributions to

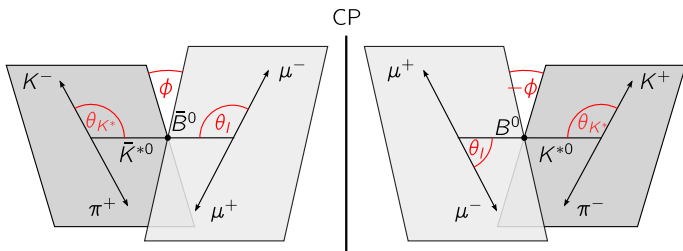
$$Q_{10} = (\bar{s}_L \gamma_\mu b_L)(\bar{\mu} \gamma^\mu \gamma_5 \mu)$$

$$Q'_{10} = (\bar{s}_R \gamma_\mu b_R)(\bar{\mu} \gamma^\mu \gamma_5 \mu)$$



[arXiv:1302.4651]

$$B \rightarrow K^* \mu^+ \mu^-$$



- ▶ exclusive semi-leptonic decay probing the  $b \rightarrow s$  transition
- ▶ 4-body decay: angular distribution with many observables sensitive to NP
- ▶ “self-tagging”: sensitive to CP violation

## $B \rightarrow K^*(\rightarrow K\pi)\mu^+\mu^-$ angular decay distribution

$$\frac{1}{(\Gamma + \bar{\Gamma})} \frac{d^4(\Gamma + \bar{\Gamma})}{dq^2 d\cos\theta_1 d\cos\theta_{K^*} d\phi} = \frac{9}{32\pi} \times$$

$$\left\{ \begin{aligned} & -\frac{3}{4}(F_L - 1) \sin^2\theta_{K^*} + F_L \cos^2\theta_{K^*} \\ & - \left(\frac{1}{4}(F_L - 1) \sin^2\theta_{K^*} + F_L \cos^2\theta_{K^*}\right) \cos 2\theta_1 \\ & + S_3 \sin^2\theta_{K^*} \sin^2\theta_1 \cos 2\phi + S_4 \sin 2\theta_{K^*} \sin 2\theta_1 \cos \phi \\ & \quad + S_5 \sin 2\theta_{K^*} \sin \theta_1 \cos \phi \\ & + \frac{4}{3} A_{\text{FB}} \sin^2\theta_{K^*} \cos \theta_1 + S_7 \sin 2\theta_{K^*} \sin \theta_1 \sin \phi \\ & \quad + S_8 \sin 2\theta_{K^*} \sin 2\theta_1 \sin \phi + S_9 \sin^2\theta_{K^*} \sin^2\theta_1 \sin 2\phi \end{aligned} \right\}$$

Observables: differential branching ratio and 8 angular observables  $S_i(q^2)$

## $B \rightarrow K^* \mu^+ \mu^-$ amplitude

$$A(B \rightarrow K^* \mu^+ \mu^-) = V_{tb}^* V_{ts} \sum_i C_i(m_W) U(\mu_l, m_W) \langle K^* | Q_i(\mu_l) | B \rangle + \text{n.f.}$$

- ▶ Many operators contribute, some of them sensitive to NP, e.g.

$$Q_7 = (\bar{s}_L \sigma_{\mu\nu} b_R) F^{\mu\nu} \quad Q_9 = (\bar{s}_L \gamma_\mu b_L) (\bar{\ell} \gamma^\mu \ell) \quad Q_{10} = (\bar{s}_L \gamma_\mu b) (\bar{\ell} \gamma^\mu \gamma_5 \ell)$$

- ▶ Matrix elements  $\langle K^* | Q_i(\mu_l) | B \rangle$  expressed in terms of 7 *form factors*  $f_i(q^2)$ , calculated e.g. on the lattice, with light-cone sum rules, ...
- ▶ **n.f.** = non-factorizable corrections. Can be partially calculated in the heavy quark limit

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- ▶ Matrix elements  $\langle K^* | Q_i(\mu_l) | B \rangle$  expressed in terms of 7 *form factors*  $f_i(q^2)$ , calculated e.g. on the lattice, with light-cone sum rules, ...
- ▶ **n.f.** = non-factorizable corrections. Can be partially calculated in the heavy quark limit
- ▶ Some tensions in exp. vs. SM predictions, but too early to draw firm conclusions [[arXiv:1308.1701](#), [1307.5683](#), [1308.1501](#), [1308.1959](#)]

## $B \rightarrow K^*(\rightarrow K\pi)\mu^+\mu^-$ CP asymmetries







$$\frac{1}{(\Gamma + \bar{\Gamma})} \frac{d^4(\Gamma - \bar{\Gamma})}{dq^2 d\cos\theta_1 d\cos\theta_{K^*} d\phi} = \frac{9}{32\pi} \times$$

$$\left\{ \begin{aligned} & -\frac{3}{4}(F_L^{\text{CP}} - A_{\text{CP}}) \sin^2\theta_{K^*} + F_L^{\text{CP}} \cos^2\theta_{K^*} \\ & - \left(\frac{1}{4}(F_L^{\text{CP}} - A_{\text{CP}}) \sin^2\theta_{K^*} + F_L^{\text{CP}} \cos^2\theta_{K^*}\right) \cos 2\theta_1 \\ & + A_3 \sin^2\theta_{K^*} \sin^2\theta_1 \cos 2\phi + A_4 \sin 2\theta_{K^*} \sin 2\theta_1 \cos \phi \\ & \quad + A_5 \sin 2\theta_{K^*} \sin \theta_1 \cos \phi \\ & + \frac{4}{3} A_{\text{FB}}^{\text{CP}} \sin^2\theta_{K^*} \cos \theta_1 + A_7 \sin 2\theta_{K^*} \sin \theta_1 \sin \phi \\ & \quad + A_8 \sin 2\theta_{K^*} \sin 2\theta_1 \sin \phi + A_9 \sin^2\theta_{K^*} \sin^2\theta_1 \sin 2\phi \end{aligned} \right\}$$

- ▶ All  $\approx 0$  in the SM. Beyond the SM:  $A_{7,8,9}$  are *triple product asymmetries* and not suppressed by small strong phases!

## Reading list

A number of excellent lecture notes that cover most topics of this lecture in greater detail:

-  A. J. Buras, “Weak Hamiltonian, CP violation and rare decays,” hep-ph/9806471.
-  A. J. Buras, “Flavor physics and CP violation,” hep-ph/0505175.
-  G. Isidori, “Flavor physics and CP violation,” arXiv:1302.0661 [hep-ph].
-  Y. Grossman, “Introduction to flavor physics,” arXiv:1006.3534 [hep-ph].
-  Y. Nir, “Flavour physics and CP violation,” arXiv:1010.2666 [hep-ph].
-  M. Neubert, “Effective field theory and heavy quark physics,” hep-ph/0512222.