Neutrino Physics

Boris Kayser HCP Summer School 31 August 2013

NASA Hubble Photo

Neutrinos are Abundant We humans, and all the everyday objects around us, are made of nucleons and electrons. But in the universe as a whole — $\sim 10^9$ neutrinos for each nucleon or electron. Neutrinos and photons are the most abundant particles in the universe. If we wish to understand the universe, we must understand neutrinos.

Neutrinos interact very feebly, and thus are hard to study. Intense sources and very large detectors are required. *But* –

The Neutrino Revolution (1998 – ...)

Neutrinos have nonzero masses, but these masses are really tiny!

Leptons mix, but differently than the quarks do!

The Origin of Neutrino Mass

The fundamental constituents of matter are the *quarks*, the *charged leptons*, and the *neutrinos*.

Most theorists strongly suspect that the origin of the neutrino masses is different from the origin of the quark and charged lepton masses.

The Standard-Model *Higgs field* may still be involved, but not in the same way as for the quarks and charged leptons.

More later

What We

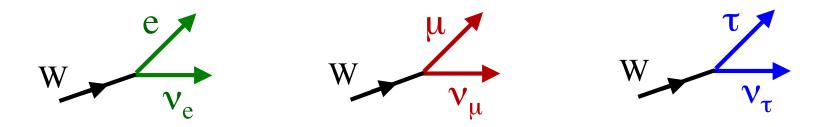
Have Learned

Neutrinos Come In (At Least) Three Flavors

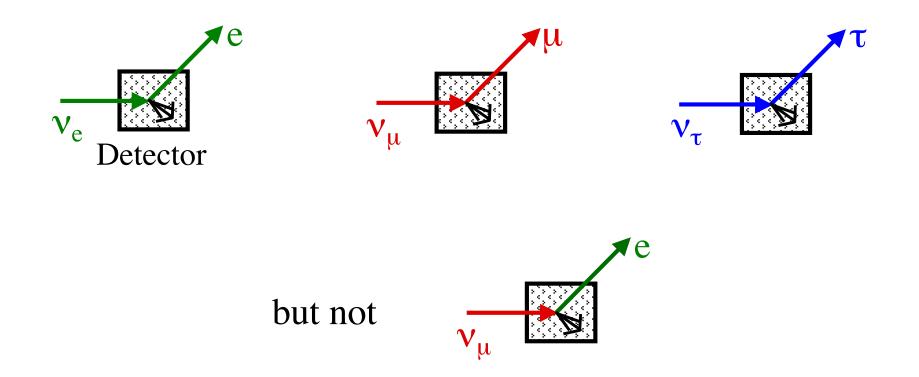
There are three flavors of charged leptons: e , $\,\mu$, $\,\tau$

There are three known flavors of neutrinos: v_e, v_μ, v_τ

We *define* the neutrinos of specific flavor, v_e , v_{μ} , v_{τ} , by W boson decays:

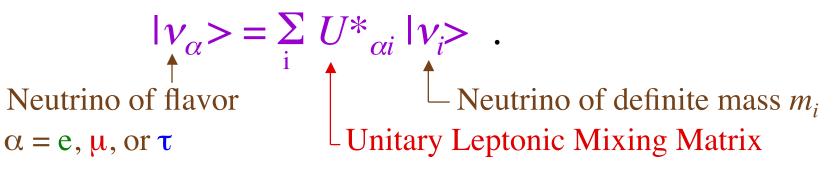


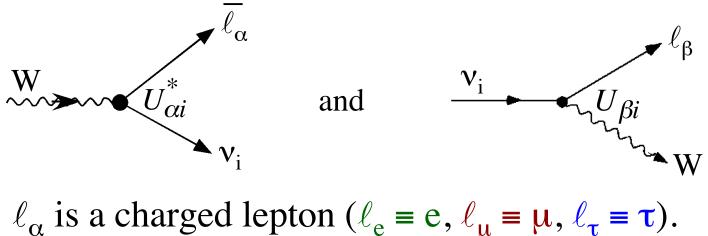
As far as we know, when a neutrino of given flavor interacts and creates a charged lepton, that charged lepton will always be of the same flavor as the neutrino.



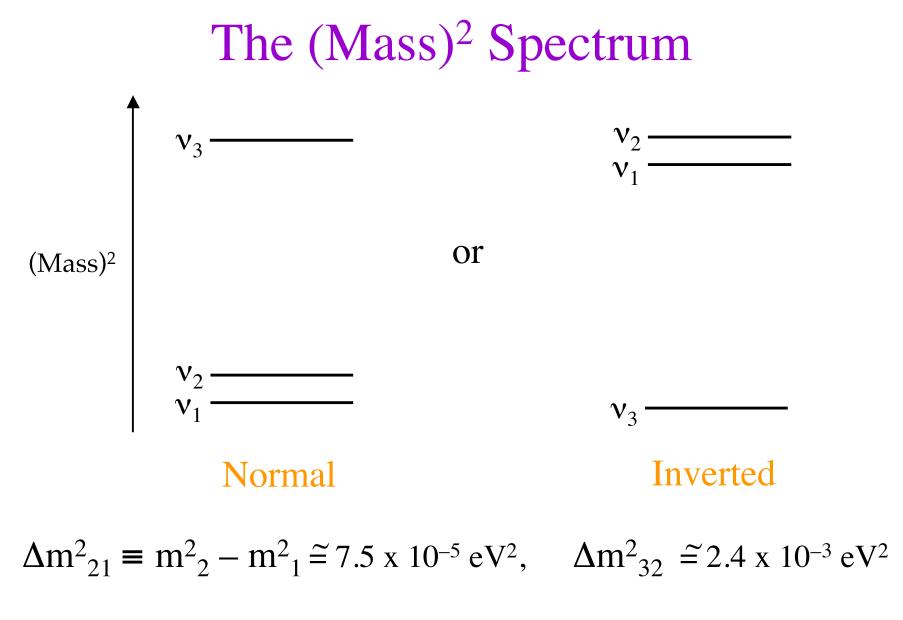
v_e , v_μ , v_τ Are Not Mass Eigenstates (Leptonic Mixing)

Instead, v_e , v_{μ} , and v_{τ} are *superpositions* of the mass eigenstates:



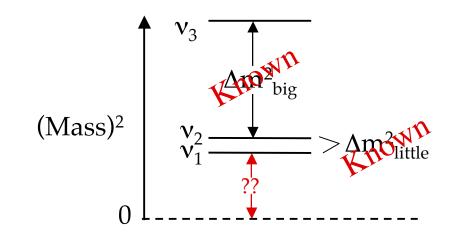


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There might be more mass eigenstates.

Constraints On the Absolute Scale of Neutrino Mass



How far above zero is the whole pattern?

Cosmology, under certain assumptions $\sum_{All i} \sum_{i} m(v_i) < 0.23 \text{ eV}$

Tritium beta decay
$$\longrightarrow \langle m_{\beta} \rangle = \sqrt{\sum_{i} |U_{ei}|^2 m (v_i)^2} < 2 \text{ eV}$$

Mass[Heaviest v_i] > $\sqrt{\Delta m_{big}^2}$ > 0.04 eV

The Mixing Matrix U

$$U = \begin{bmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{bmatrix} \times \begin{bmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{bmatrix} \times \begin{bmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

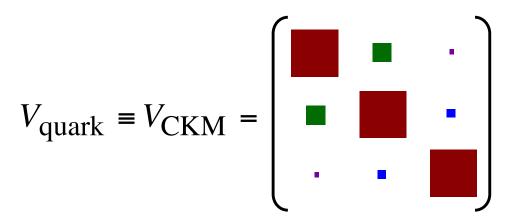
$$c_{ij} \equiv \cos \theta_{ij} \\ s_{ij} \equiv \sin \theta_{ij} \\ Note \ big \ mixing!$$

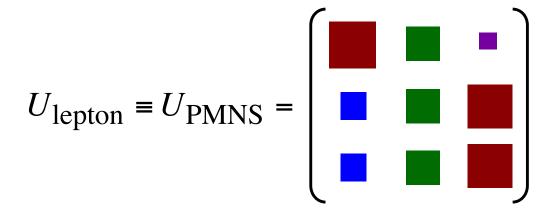
$$\theta_{12} \approx 33^{\circ}, \ \theta_{23} \approx 36-42^{\circ} \ or \ 48-54^{\circ}, \ \theta_{13} \approx 8-9^{\circ} \ No \ more \ worry!$$

The phases violate CP. δ would lead to $P(\overline{v_{\alpha}} \rightarrow \overline{v_{\beta}}) \neq P(v_{\alpha} \rightarrow v_{\beta}).$
But note the crucial role of $s_{13} \equiv \sin \theta_{13}.$

The Quark and Leptonic Mixing Matrices

In terms of the *sizes* of their elements, the two matrices look very different:



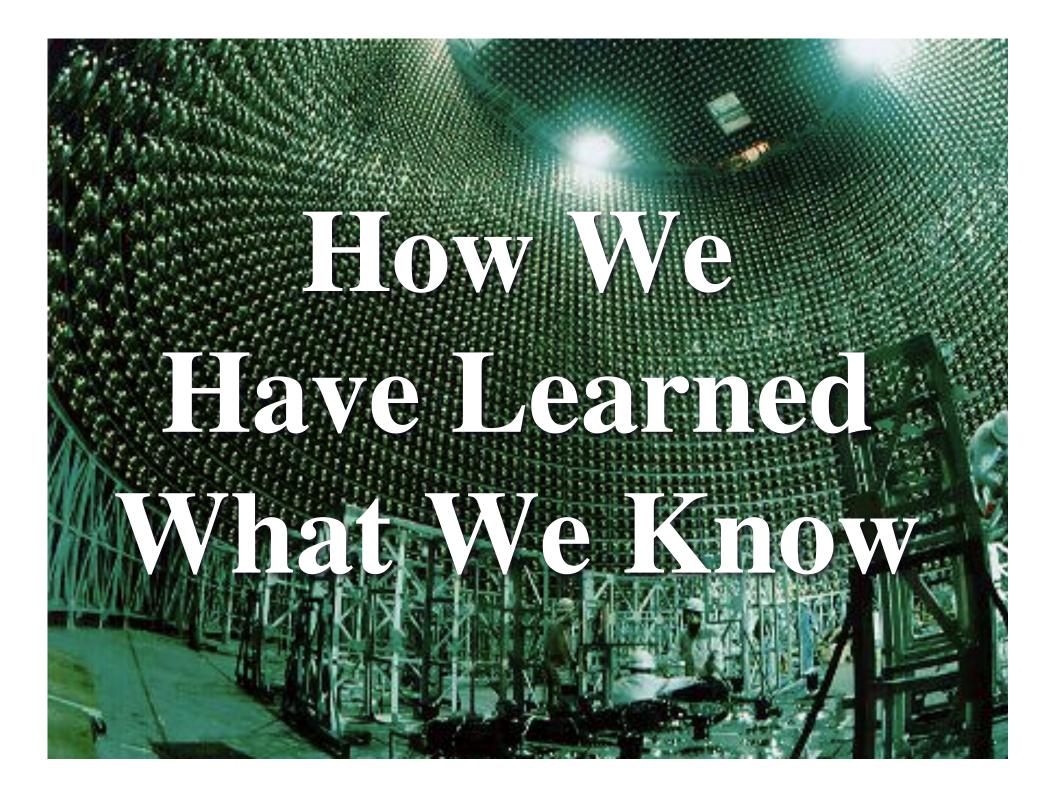


The Extra Leptonic *CP* Phases

Assuming that V_{quark} and U_{lepton} are 3 x 3 and unitary, V_{quark} can contain only 1 CP-violating phase factor, but U_{lepton} may possibly contain 3.

The extra leptonic phases are physical only if *neutrinos are their own antiparticles*.

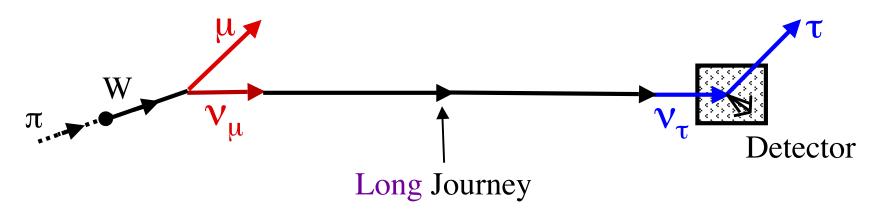
The quarks are definitely *not* their own antiparticles, so there are no extra phases in quark mixing.



The discoveries of neutrino mass and leptonic mixing have come from the observation of *neutrino flavor change* (*neutrino oscillation*).

So, let us understand the physics of this phenomenon.

What Is Neutrino Flavor Change If neutrinos have masses, and leptons mix, we can have —



Give a v time to change character, and you can have

for example: $v_{\mu} \longrightarrow v_{\tau}$

The last 15 years have brought us compelling evidence that such flavor changes actually occur.

Evídence For Flavor Change

<u>Neutrinos</u>

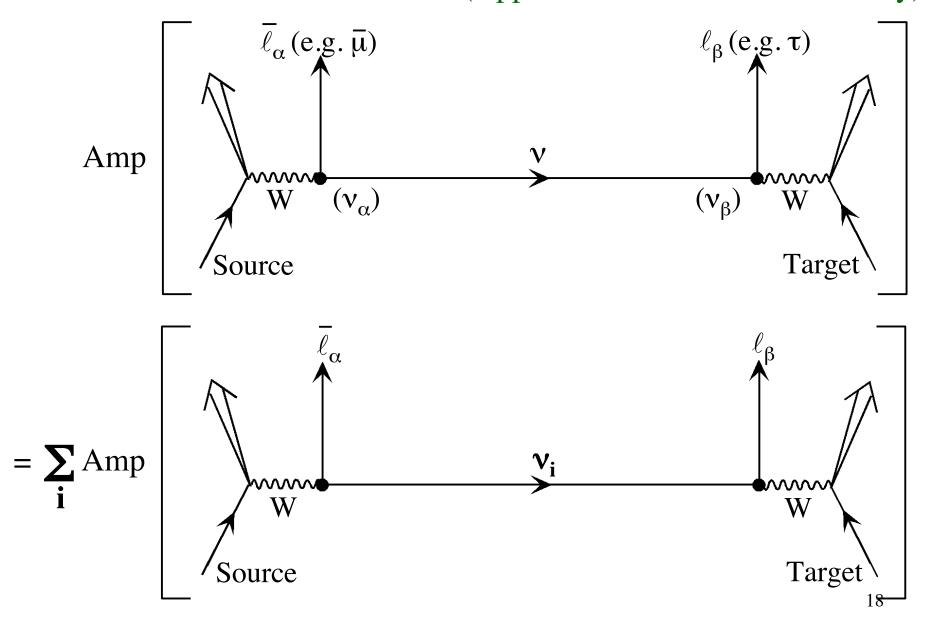
Evidence of Flavor Change

Solar Reactor (Long-Baseline) Compelling Compelling

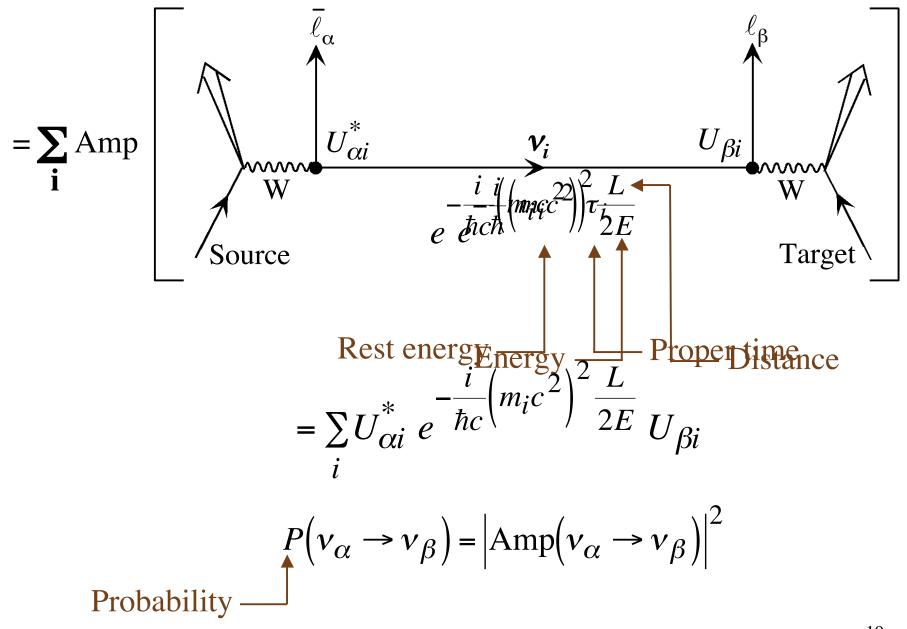
Atmospheric Accelerator (Long-Baseline) Compelling Compelling

Accelerator & Reactor (Short-Baseline) "Interesting"

The Physics of Neutrino Flavor Change (Approach of BK and L. Stodolsky)



$$\operatorname{Amp}(v_{\alpha} \rightarrow v_{\beta})$$



Why does
$$e^{-\frac{i}{\hbar}(m_i c^2)\tau_i}$$
 describe neutrino propagation?

If, in the lab. frame, a neutrino v of mass m, with momentum p and energy E, travels a distance L in time t, its wave function picks up a factor —

$$\exp\left[\frac{i}{\hbar}(pL-Et)\right] = \exp\left[-\frac{i}{\hbar}(mc^2)\tau\right]$$

By the Lorentz transformation

$$P(v_{\alpha} \rightarrow v_{\beta}) = |\operatorname{Amp}|^{2} =$$

$$\delta_{\alpha\beta} - 4 \sum_{i>j} \operatorname{Re}\left(U_{\alpha i}^{*} U_{\beta i} U_{\alpha j} U_{\beta j}^{*}\right) \sin^{2}\left(1.27\Delta m_{ij}^{2}\left(\operatorname{eV}^{2}\right) \frac{L(\operatorname{km})}{E(\operatorname{GeV})}\right)$$

$$+ 2 \sum_{i>j} \operatorname{Im}\left(U_{\alpha i}^{*} U_{\beta i} U_{\alpha j} U_{\beta j}^{*}\right) \sin\left(2.54\Delta m_{ij}^{2}\left(\operatorname{eV}^{2}\right) \frac{L(\operatorname{km})}{E(\operatorname{GeV})}\right)$$

where
$$\Delta m_{ij}^2 \equiv m_i^2 - m_j^2$$

Note that neutrino flavor change requires neutrino mass and leptonic mixing $(U \neq I)$.

Antineutrinos vs. Neutrinos

Because the neutrinos we encounter in the lab. are always of left-handed helicity, while the antineutrinos are always of right-handed helicity,

$$\overline{v}_{\alpha} \rightarrow \overline{v}_{\beta} = \operatorname{CP}(v_{\alpha} \rightarrow v_{\beta})$$

Similarly,

$$\overline{v}_{\alpha} \rightarrow \overline{v}_{\beta} = \operatorname{CPT}(v_{\beta} \rightarrow v_{\alpha})$$

If CPT-invariance holds,

$$P(\overline{\nu}_{\alpha} \to \overline{\nu}_{\beta}) = P(\nu_{\beta} \to \nu_{\alpha}) = P(\nu_{\alpha} \to \nu_{\beta}; U \Longrightarrow U^{*})$$

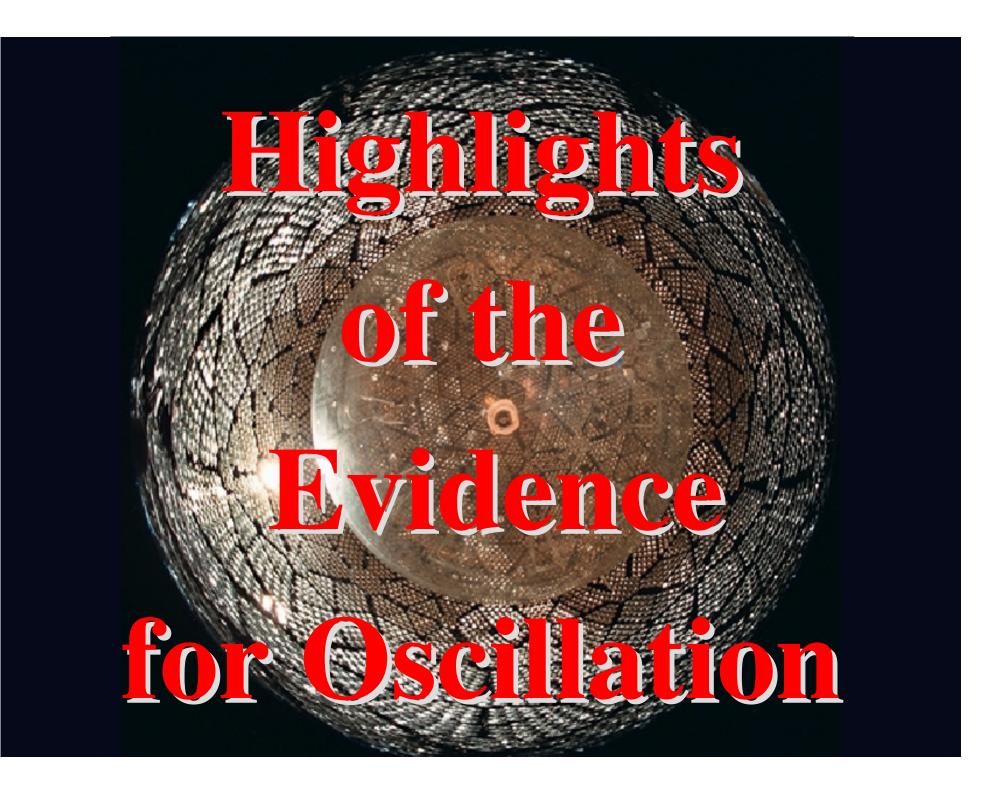
Thus —

$$P(\bar{v}_{\alpha} \rightarrow \bar{v}_{\beta}) = \delta_{\alpha\beta} - 4 \sum_{i>j} \operatorname{Re}\left(U_{\alpha i}^{*} U_{\beta i} U_{\alpha j} U_{\beta j}^{*}\right) \sin^{2}\left(1.27\Delta m_{ij}^{2}\left(\mathrm{eV}^{2}\right) \frac{L(\mathrm{km})}{E(\mathrm{GeV})}\right)$$
$$\stackrel{+}{\hookrightarrow} 2 \sum_{i>j} \operatorname{Im}\left(U_{\alpha i}^{*} U_{\beta i} U_{\alpha j} U_{\beta j}^{*}\right) \sin\left(2.54\Delta m_{ij}^{2}\left(\mathrm{eV}^{2}\right) \frac{L(\mathrm{km})}{E(\mathrm{GeV})}\right)$$

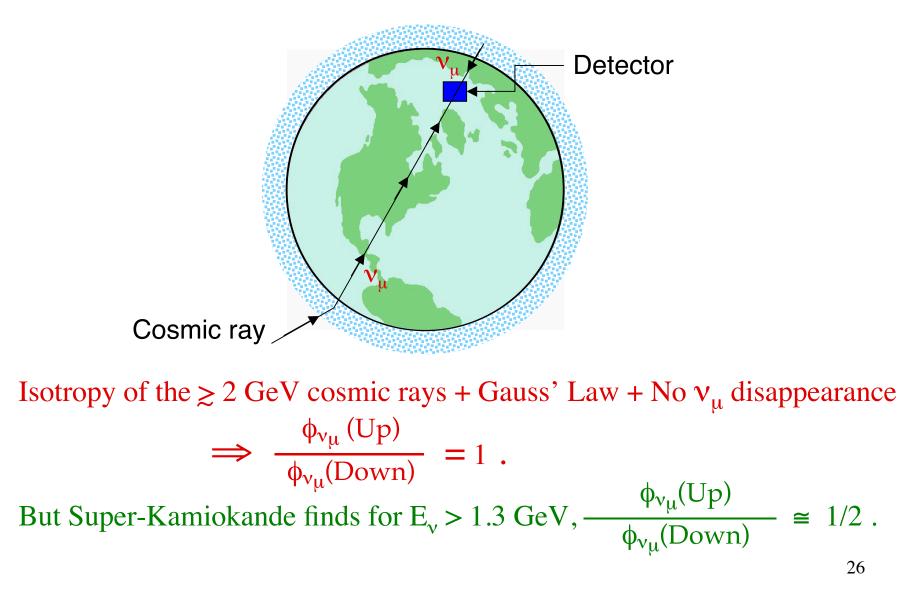
The phase δ in U would lead to the CP violation

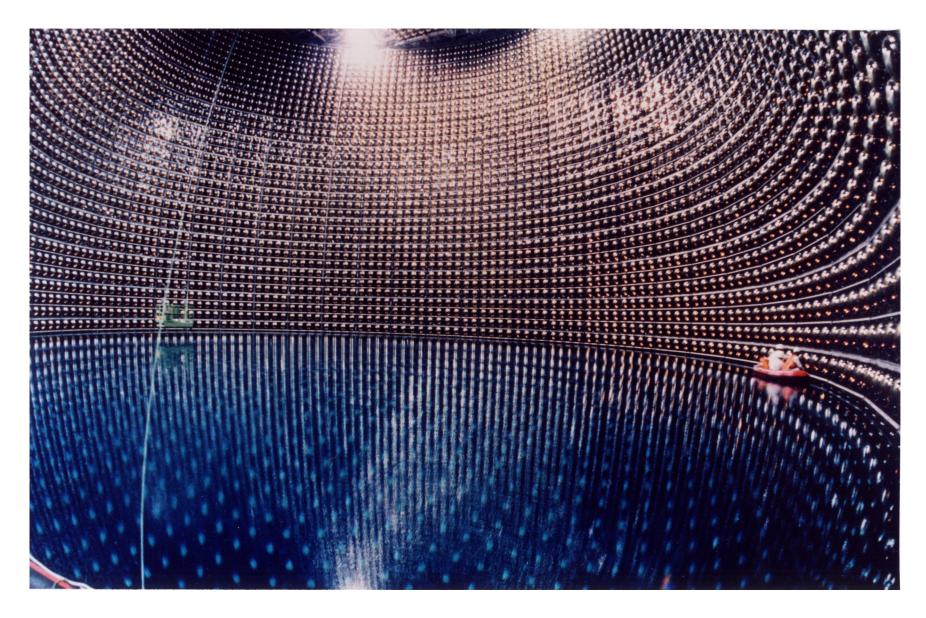
$$P(\overline{v}_{\alpha} \to \overline{v}_{\beta}) \neq P(v_{\alpha} \to v_{\beta})$$

 Σ T

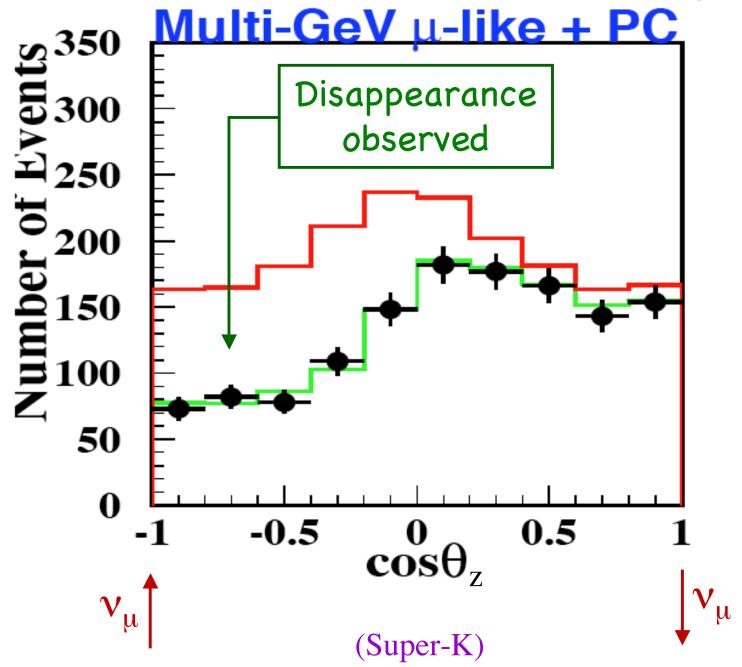


Atmospheric Neutrinos — The First Compelling Evidence





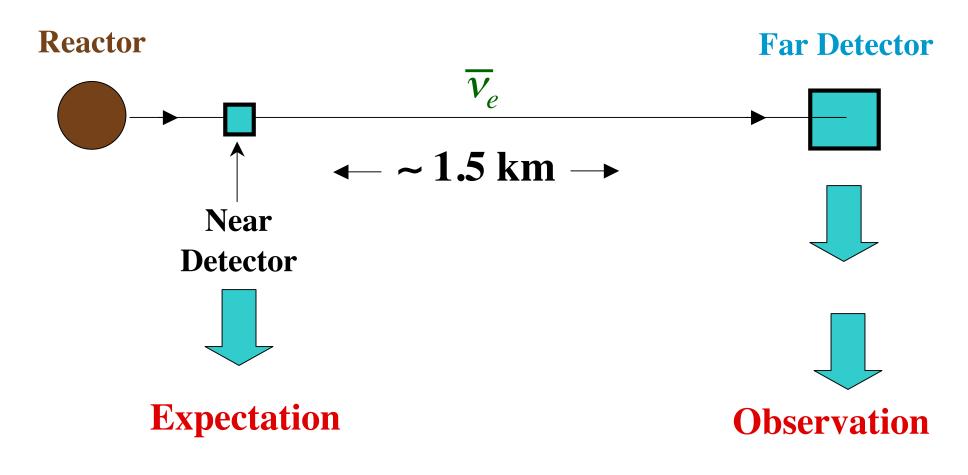
Super-Kamiokande: 50 ktons of water, surrounded by 11k phototubes that detect Cerenkov light from a μ or e



 θ_{13} was recently determined by the Daya Bay, RENO, and Double CHOOZ reactor neutrino experiments, and by the T2K accelerator neutrino experiment.

far detector LA quarry **Daya Bay Complex** near detector **DYB** quarry servoi hospital LingAo d near detector COR platform

The Reactor – Neutrino Experiments



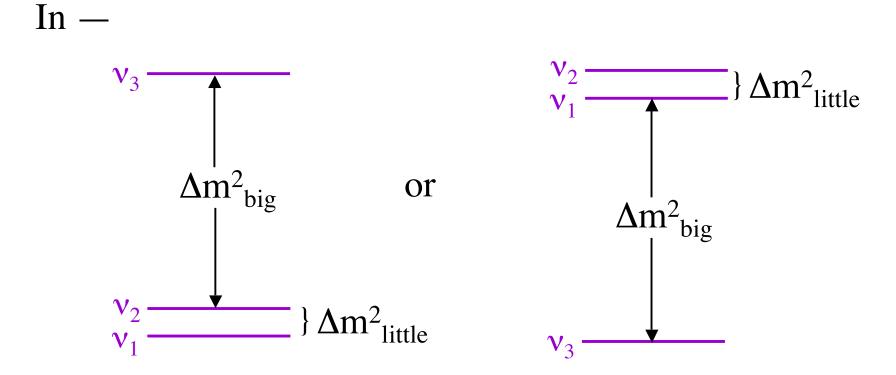
Reactor
$$\overline{v}_e$$
 have $E \sim 3$ MeV, so if $L \sim 1.5$ km,
 $\sin^2 \left[1.27 \Delta m^2 \frac{L(\text{km})}{E(\text{GeV})} \right]$ will be sensitive to —

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$$\Delta m^2 = \Delta m_{\rm big}^2 = 2.4 \times 10^{-3} {\rm eV}^2 \approx \frac{1}{400} {\rm eV}^2$$

but not to —

$$\Delta m^2 = \Delta m_{\text{little}}^2 = 7.5 \times 10^{-5} \text{eV}^2 \approx \frac{1}{13,000} \text{eV}^2.$$



the little splitting is invisible. Then —

$$P(\bar{v}_{e} \rightarrow \bar{v}_{e}) \approx 1 - 4|U_{e3}|^{2} \left(1 - |U_{e3}|^{2}\right) \sin^{2} \left[1.27\Delta m_{\text{big}}^{2} \frac{L(\text{km})}{E(\text{GeV})}\right]$$
$$= 1 - \frac{\sin^{2} 2\theta_{13}}{\sin^{2} \left[1.27\Delta m_{\text{big}}^{2} \frac{L(\text{km})}{E(\text{GeV})}\right]}$$

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• What is the absolute scale of neutrino mass?

Are neutrinos their own antiparticles?Do neutrinos have Majorana masses?

Are there *more* than 3 mass eigenstates?
Are there non-weakly-interacting "sterile" neutrinos?

•How close to maximal (45⁰) is θ_{23} ?

•Is the spectrum like \equiv or \equiv ?

•Do neutrino interactions violate CP? Is $P(\bar{v}_{\alpha} \rightarrow \bar{v}_{\beta}) \neq P(v_{\alpha} \rightarrow v_{\beta})$? • What can neutrinos and the universe tell us about one another?

• Is CP violation involving neutrinos the key to understanding the matter – antimatter asymmetry of the universe?

•What **surpríses** are in store?



Does $\overline{\mathbf{v}} = \mathbf{v}$?

Do Neutrinos Have Majorana Masses?

Does $\overline{v} = v?$

For each *mass eigenstate* v_i , and *given helicty* h, does —

• $\overline{v_i}(\mathbf{h}) = v_i(\mathbf{h})$ (Majorana neutrinos)

or

• $\overline{v_i}(\mathbf{h}) \neq v_i(\mathbf{h})$ (Dirac neutrinos)?

Equivalently, do neutrinos have *Majorana masses*? If they do, then the mass eigenstates are *Majorana neutrínos*.

Dirac Masses

Dirac neutrino masses are the neutrino analogues of the SM quark and charged lepton masses.

To build a Dirac mass for the neutrino v, we require not only the left-handed field v_L in the Standard Model, but also a right-handed neutrino field v_R .

The Dirac neutrino mass term is -



Dirac neutrino masses do not mix neutrinos and antineutrinos.

Majorana Masses

Out of, say, a left-handed neutrino field, v_L , and its charge-conjugate, v_L^c , we can build a Left-Handed Majorana mass term —



Majorana masses do mix v and \overline{v} , so they do not conserve the Lepton Number L defined by —

 $L(v) = L(\ell^{-}) = -L(\bar{v}) = -L(\ell^{+}) = 1.$

A Majorana mass for any fermion f causes $f \leftrightarrow \overline{f}$.

Quark and *charged-lepton* Majorana masses are forbidden by electric charge conservation.

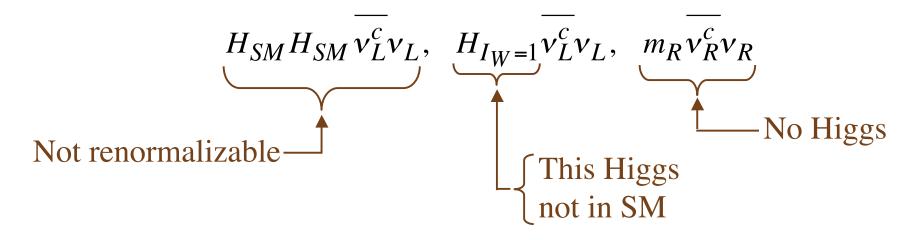
Neutrino Majorana masses would make the neutrinos *very* distinctive.

Majorana v masses cannot arise via the Higgs mechanism:

$$\mathcal{L}_{SM} = fH_{SM}\overline{\nu}_L\nu_R \Rightarrow f\langle H_{SM} \rangle_0 \overline{\nu}_L\nu_R \equiv m_D\overline{\nu}_L\nu_R$$
SM Higgs field Vacuum expectation value

This, the v analogue of the mechanism that produces the q and ℓ masses, leads only to a **Dirac** v mass term.

Possible (Weak-Isospin-Conserving) couplings that can lead to Majorana mass terms:



Majorana neutrino masses must have a different origin than the masses of quarks and charged leptons.

Searching for Majorana neutrino masses is part of the effort to determine the origin of mass.

Why Majorana Masses - Majorana Neutrinos

The objects v_L and v_L^c in $m_L \overline{v_L} v_L^c$ are not the mass eigenstates, but just the neutrinos in terms of which the model is constructed. v_L and v_L^c are distinct.

 $m_L \overline{v_L} v_L^c$ induces $v_L \leftrightarrow v_L^c$ mixing.

As a result of $K^0 \longleftrightarrow \overline{K^0}$ mixing, the neutral K mass eigenstates are —

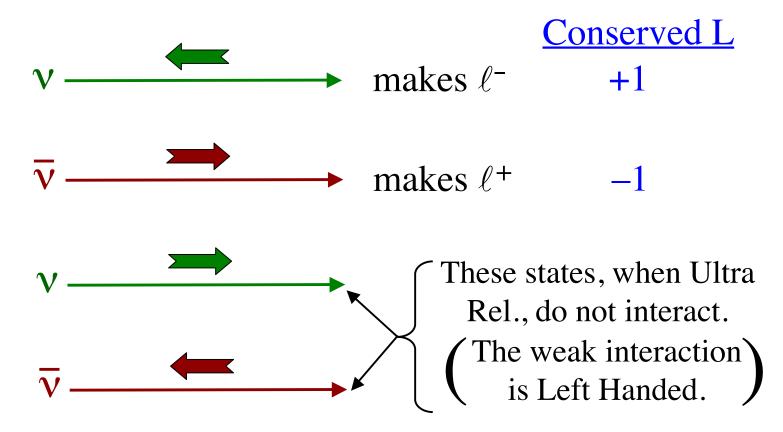
$$\mathbf{K}_{\mathrm{S},\mathrm{L}} \cong (\mathbf{K}^0 \pm \overline{\mathbf{K}^0}) / \sqrt{2} \ . \qquad \overline{\mathbf{K}_{\mathrm{S},\mathrm{L}}} = \mathbf{K}_{\mathrm{S},\mathrm{L}} \ .$$

As a result of $v_L \leftrightarrow v_L^c$ mixing, the neutrino mass eigenstate is —

$$\mathbf{v}_{i} = \mathbf{v}_{L} + \mathbf{v}_{L}^{c} = \mathbf{v} + \overline{\mathbf{v}} \mathbf{v}. \quad \overline{\mathbf{v}_{i}} = \mathbf{v}_{i}.$$

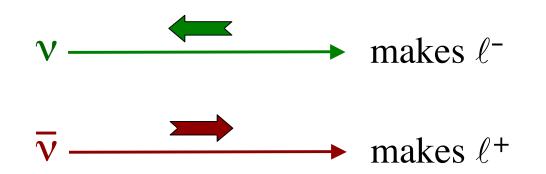
SM Interactions Of A Dirac Neutrino

We have 4 mass-degenerate states:



SM Interactions Of A Majorana Neutrino

We have only 2 mass-degenerate states:



The weak interactions violate *parity*. (They can tell *Left* from *Right*.)

An incoming left-handed neutral lepton makes ℓ^- .

An incoming right-handed neutral lepton makes ℓ^+ .

To Determine Whether Majorana Masses Occur in Nature, So That $\overline{v} = v$

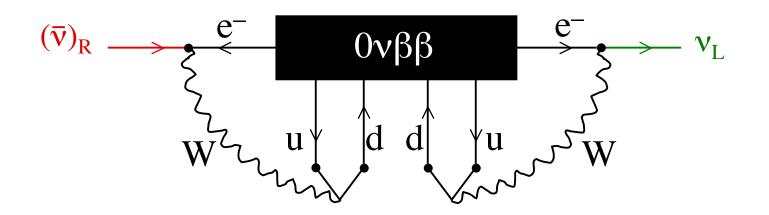
The Promising Approach — Seek Neutrinoless Double Beta Decay [0vββ]



We are looking for a *small* Majorana neutrino mass. Thus, we will need *a lot* of parent nuclei (say, one ton of them).

Note that $0\nu\beta\beta$ does not conserve Lepton Number L. It has $\Delta L = 2$. Whatever diagrams cause $0\nu\beta\beta$, its observation would imply the existence of a Majorana mass term:

(Schechter and Valle)



 $(\bar{\mathbf{v}})_{\mathbf{R}} \rightarrow \mathbf{v}_{\mathbf{L}}$: A (tiny) Majorana mass term

 $\therefore 0\nu\beta\beta \longrightarrow \overline{\nu}_i = \nu_i$

Do Neutrinos Violate CP?

Are We Descended From Heavy Neutrinos?

CP is a fundamental symmetry.

Is CP violation special to quark mixing?

Or, does it occur in both quark and lepton mixing, as suggested by Grand Unified Theories, which unify the quarks and the leptons?

Look For CP Violation By Neutrinos

$$P(\overline{\nu}_{\alpha} \to \overline{\nu}_{\beta}) \neq P(\nu_{\alpha} \to \nu_{\beta})$$

$$P\left(\bar{v}_{\alpha} \rightarrow \bar{v}_{\beta}\right) = |\operatorname{Amp}|^{2} = \delta_{\alpha\beta} - 4 \sum_{i>j} \operatorname{Re}\left(U_{\alpha i}^{*}U_{\beta i}U_{\alpha j}U_{\beta j}^{*}\right) \sin^{2}\left(1.27\Delta m_{ij}^{2}\left(\operatorname{eV}^{2}\right)\frac{L(\operatorname{km})}{E(\operatorname{GeV})}\right) + 2 \sum_{i>j} \operatorname{Im}\left(U_{\alpha i}^{*}U_{\beta i}U_{\alpha j}U_{\beta j}^{*}\right) \sin\left(2.54\Delta m_{ij}^{2}\left(\operatorname{eV}^{2}\right)\frac{L(\operatorname{km})}{E(\operatorname{GeV})}\right)$$

Are We Descended

From

Heavy Neutrinos?

NASA Hubble Photo

A Cosmic Puzzle

Today: $B \equiv #(Baryons) - #(Antibaryons) \neq 0$.

Standard cosmology: Right after the Big Bang, B = 0.

Also, L = #(Leptons) - #(Antileptons) = 0.

How did
$$B = 0$$
 \blacksquare $B \neq 0$?

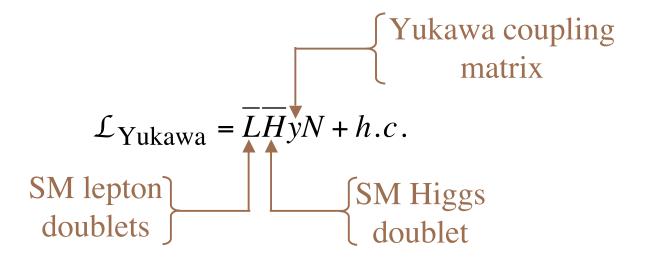
An appealing possible answer is Leptogenesis.

(Fukugita, Yanagida)

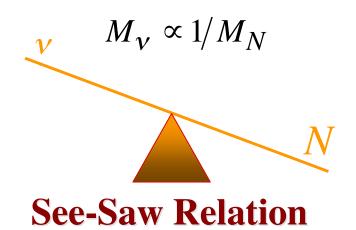
Leptogenesis is a very natural consequence of the **See-Saw** picture, the most popular explanation of why neutrinos are so light.

The straightforward See-Saw adds to the Standard Model (SM) 3 very *heavy* neutrinos N_i , i = 1, 2, 3, to match the 3 *light* lepton families $(\nu_{\alpha}, \ell_{\alpha}), \alpha = e, \mu, \tau$.

The heavy neutrinos N_i are coupled to the rest of the world only through the Yukawa interaction —



A consequence of this picture is —



Yanagida; Gell-Mann, Ramond, Slansky; Mohapatra, Senjanovic; Minkowski

Another consequence is that $\overline{N} = N$ and $\overline{v} = v$.

Leptogenesis is quite likely another consequence.

During the *hot* Big Bang, the N_i were made.

 \mathcal{L} phases in the matrix y would have lead to -

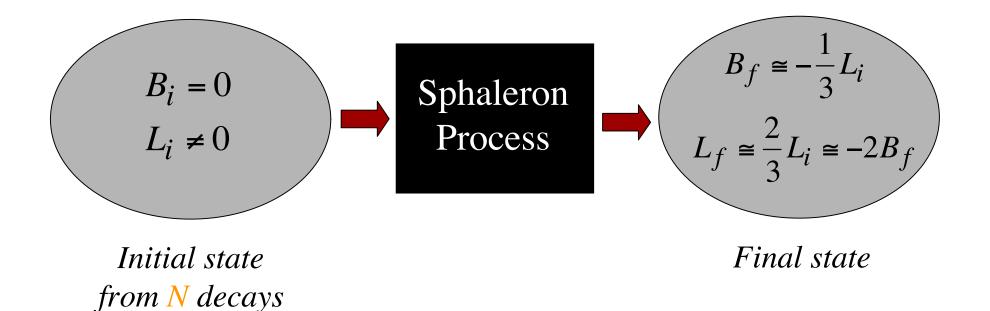
$$\Gamma\left(N \to \ell^{-} + H^{+}\right) \neq \Gamma\left(N \to \ell^{+} + H^{-}\right)$$

and

$$\Gamma\left(N \rightarrow \nu + H^0\right) \neq \Gamma\left(N \rightarrow \overline{\nu} + \overline{H^0}\right)$$

This violates CP in the leptonic sector, and violates lepton number L.

Starting with a universe with L = 0, these decays would have produced one with $L \neq 0$. The Standard-Model *Sphaleron* process, which does not conserve *B* or *L*, would then have converted some of this $L \neq 0$ into $B \neq 0$.



There is now a nonzero Baryon Number.

During the *hot* Big Bang, the N_i were made.

 \mathcal{LP} phases in the matrix y would have lead to -

$$\Gamma\left(N \to \ell^{-} + H^{+}\right) \neq \Gamma\left(N \to \ell^{+} + H^{-}\right)$$

and

$$\Gamma\left(N \rightarrow \nu + H^0\right) \neq \Gamma\left(N \rightarrow \overline{\nu} + \overline{H^0}\right)$$

This violates CP in the leptonic sector,and violates lepton number L.These are the key ingredients of Leptogenesis.

Starting with a universe with L = 0, these decays would have produced one with $L \neq 0$. To establish that there is CP violation in the leptonic sector:

Show that there is CP violation in neutrino oscillation.

To establish that there is lepton number violation: Show that neutrinoless double beta decay occurs.

> This is one more reason we want to do both of these experiments.



We have learned a lot about the neutrinos in the last 15 years.

What we've learned has raised very interesting questions.

We look forward to answering them!

Backup/Resource Slides

Are There Sterile Neutrinos?

Sterile Neutrino One that does not couple to the SM W or Z boson

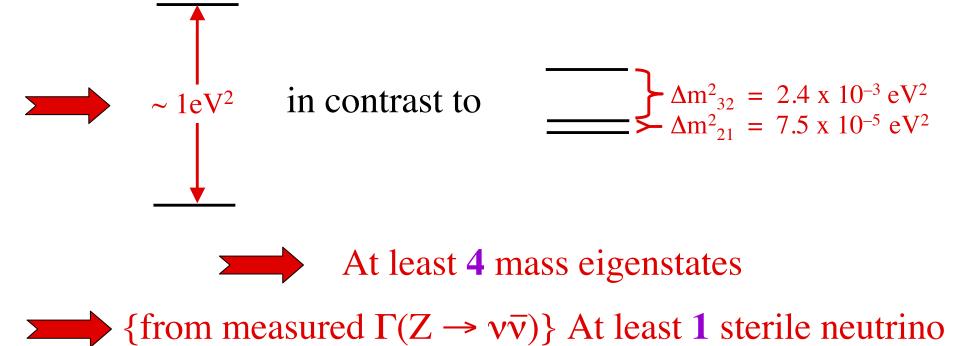
A "sterile" neutrino may well couple to some non-SM particles. These particles could perhaps be found at LHC or elsewhere.

The Hint From LSND

The LSND experiment at Los Alamos reported a *rapid* $\bar{v}_{\mu} \rightarrow \bar{v}_{e}$ oscillation at $L(km)/E(GeV) \sim 1$.

$$P\left(\overline{\nu_{\mu}} \to \overline{\nu_{e}}\right) = \sin^{2} 2\theta \sin^{2} \left[1.27\Delta m^{2} \left(eV^{2}\right) \frac{L(km)}{E(GeV)}\right] \sim 0.26\%$$

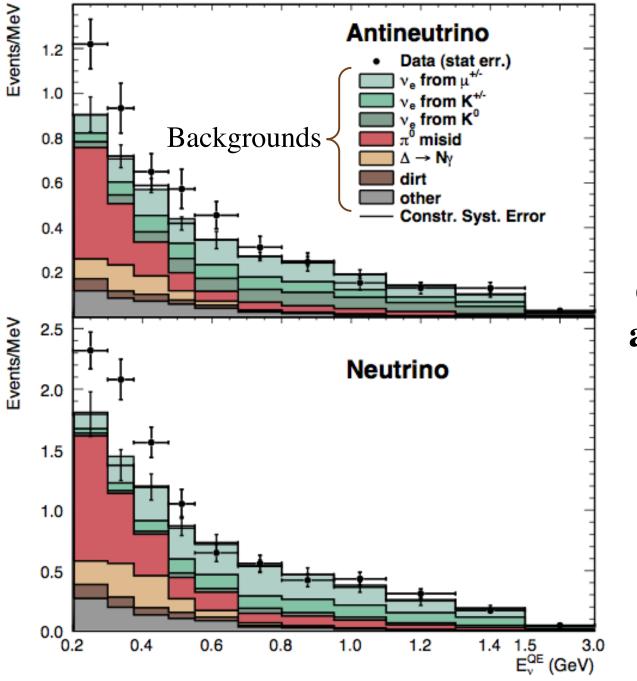
-From μ^+ decay at rest; E ~ 30 MeV



The Hint From MiniBooNE

In MiniBooNE, both L and E are ~ 17 times larger than they were in LSND, and L/E is comparable.

MiniBooNE has reported both $v_{\mu} \rightarrow v_{e}$ and $\overline{v}_{\mu} \rightarrow \overline{v}_{e}$ results.



MiniBooNE 1303.2588

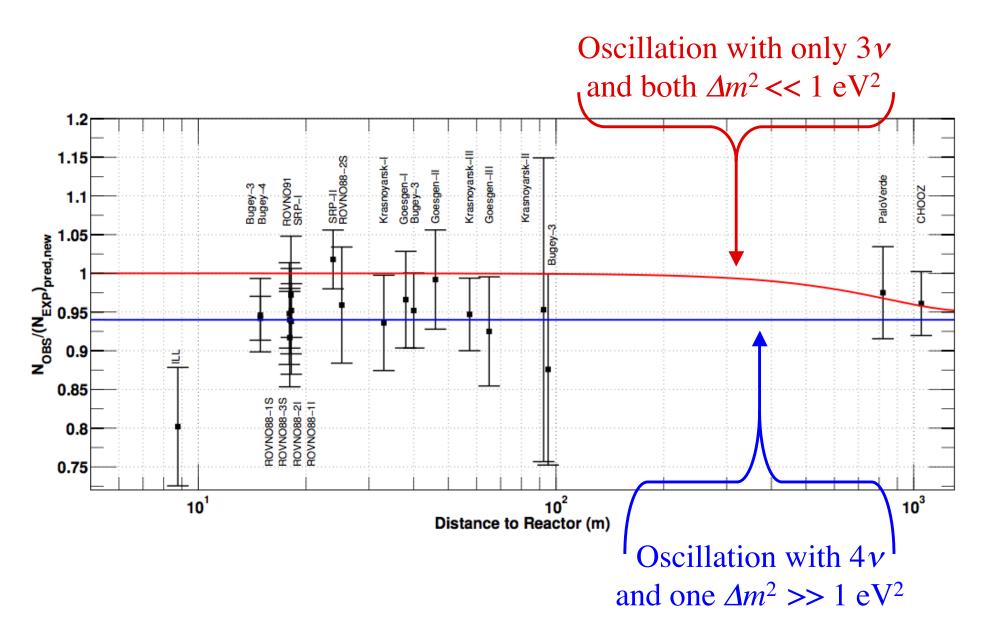
 78.4 ± 28.5 excess $\overline{\nu}$ events, and 162.0 ± 47.8 excess ν events

The Hint From Reactors

The prediction for the un-oscillated \overline{v}_e flux from reactors, which has $\langle E \rangle \sim 3$ MeV, has increased by about 3%. (Mueller et al., Huber)

Measurements of the \overline{v}_e flux at (10 – 100)m from reactor cores now show a ~ 6% disappearance.

(Mention et al.)



Disappearance at $L(m)/E(MeV) \ge 1$ suggests oscillation with $\Delta m^2 \ge 1 \text{ eV}^2$, like LSND and MiniBooNE.

The Hint From ⁵¹Cr and ³⁷Ar Sources

These radioactive sources were used to test gallium solar v_e detectors.

 $\frac{\text{Measured event rate}}{\text{Expected event rate}} = 0.86 \pm 0.05$ (Giunti, Laveder)

Rapid disappearance of v_e flux due to oscillation with a large Δm^2 ??