LONGITUDINAL BEAM DYNAMICS

Frank Tecker
CERN, BE-OP

Basics of Accelerator Science and Technology at CERN
Chavannes de Bogis, 4-8 November 2013

Summary of the 3 lectures:

- Acceleration methods
- Accelerating structures
- Phase Stability + Energy-Phase oscillations (Linac)
- Circular accelerators: Cyclotron / Synchrotron
- Dispersion Effects in Synchrotron
- Longitudinal Phase Space Motion
- Stationary Bucket
- Injection Matching

Two more related lectures:

- Linacs – Maurizio Vretanar
- RF Systems - Erk Jensen

Main Characteristics of an Accelerator

Newton-Lorentz Force on a charged particle:

$$\vec{F} = \frac{\mathrm{d}\vec{p}}{\mathrm{d}t} = e\left(\vec{E} + \vec{v} \times \vec{B}\right)$$

2nd term always perpendicular to motion => no acceleration

ACCELERATION is the main job of an accelerator.

- It provides **kinetic energy** to charged particles, hence increasing their **momentum**.
- In order to do so, it is necessary to have an electric field $\vec{E}$ preferably along the direction of the initial momentum (z).

$$\frac{dp}{dt} = eE_z$$

BENDING is generated by a magnetic field perpendicular to the plane of the particle trajectory. The bending radius ρ obeys to the relation :

$$\frac{p}{e} = B\rho$$

in practical units: $B\,\rho\,[\mathrm{Tm}] \approx \frac{p\,[\mathrm{GeV/c}]}{0.3}$

FOCUSING is a second way of using a magnetic field, in which the bending effect is used to bring the particles trajectory closer to the axis, hence to increase the beam density.

Basics of Acceleration

Today's accelerators and future projects work/aim at the TeV energy range.

LHC: 7 TeV -> 14 TeV
CLIC: 3 TeV
HE/VHE-LHC: 33/100 TeV

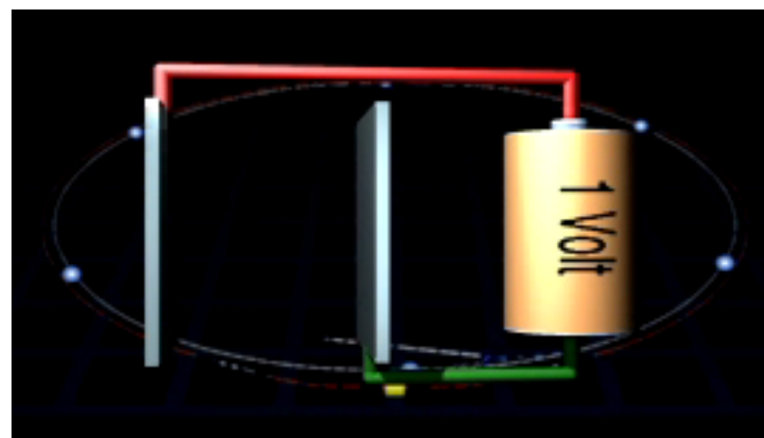
In fact, this energy unit comes from acceleration:

1 eV (electron Volt) is the energy that 1 elementary charge e (like one electron or proton) gains when it is accelerated in a potential (voltage) difference of 1 Volt.

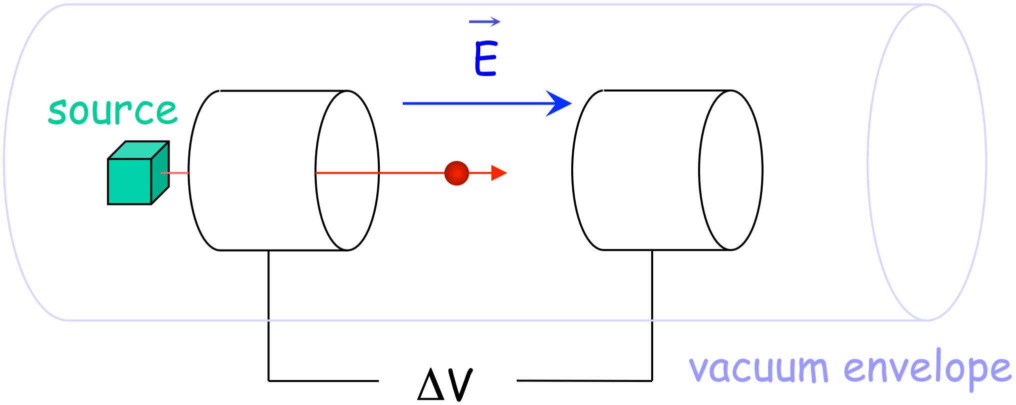
Basic Unit: **eV (electron Volt)**

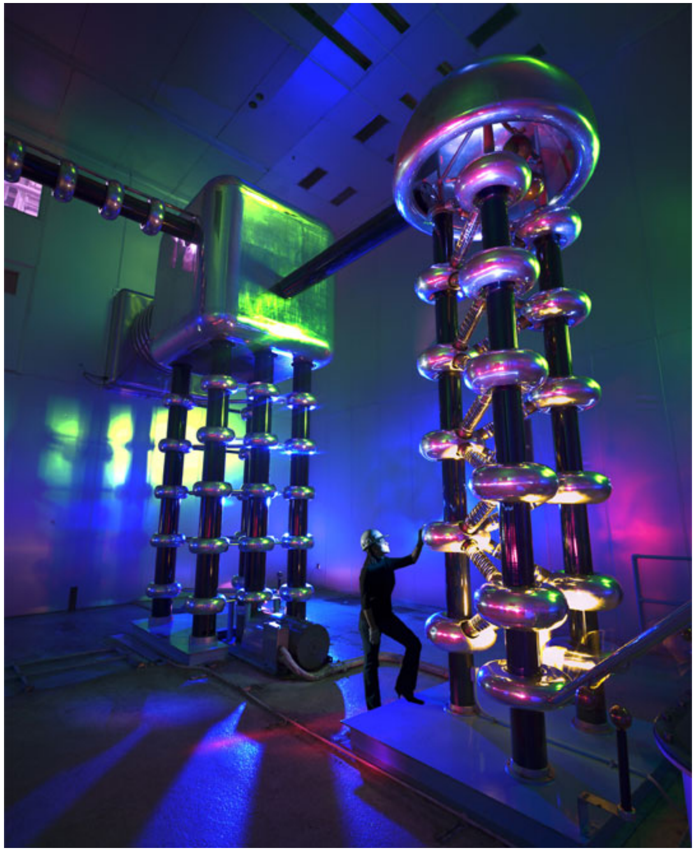
keV = 1000 eV = 10^3 eV
MeV = 10^6 eV
GeV = 10^9 eV
TeV = 10^{12} eV

LHC = ~450 Million km of batteries!!!
3x distance Earth-Sun



Electrostatic Acceleration





750 kV Cockroft-Walton generator at Fermilab (Proton source)

Electrostatic Field:

Force: $\vec{F} = \frac{d\vec{p}}{dt} = e\,\vec{E}$

Energy gain: $W = e\,\Delta V$

used for first stage of acceleration:
particle sources, electron guns,
x-ray tubes

Limitation: isolation problems
maximum high voltage (~ 10 MV)

Methods of Acceleration: Time varying fields

The electrostatic field is limited by insulation,
the magnetic field does not accelerate.

From Maxwell's Equations:

$$\vec{E} = -\vec{\nabla}\phi - \frac{\partial \vec{A}}{\partial t}$$

$$\vec{B} = \mu \vec{H} = \vec{\nabla} \times \vec{A} \qquad \text{or} \qquad \nabla \times \vec{E} = -\frac{\partial \vec{B}}{\partial t}$$

The electric field is derived from a scalar potential φ and a vector potential A
The time variation of the magnetic field H generates an electric field E

The solution: => time varying electric fields
- Induction
- RF frequency fields

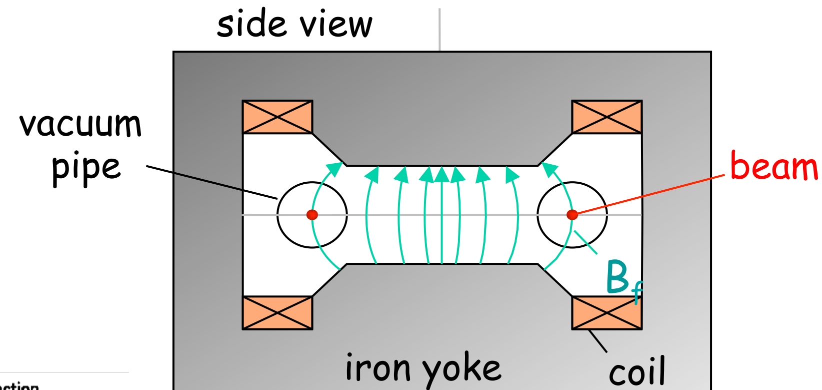
Acceleration by Induction: The Betatron

It is based on the principle of a transformer:
- primary side: large electromagnet - secondary side: electron beam.

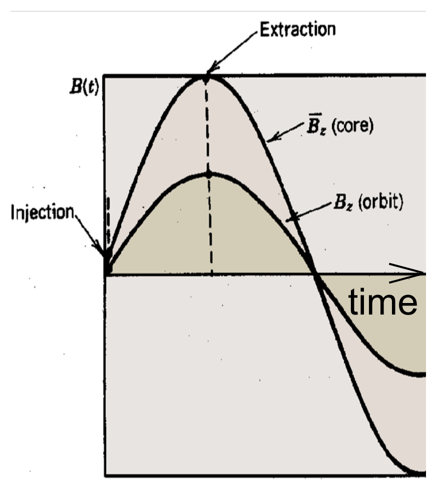
The ramping magnetic field is used to guide particles on a circular trajectory as well as for acceleration.

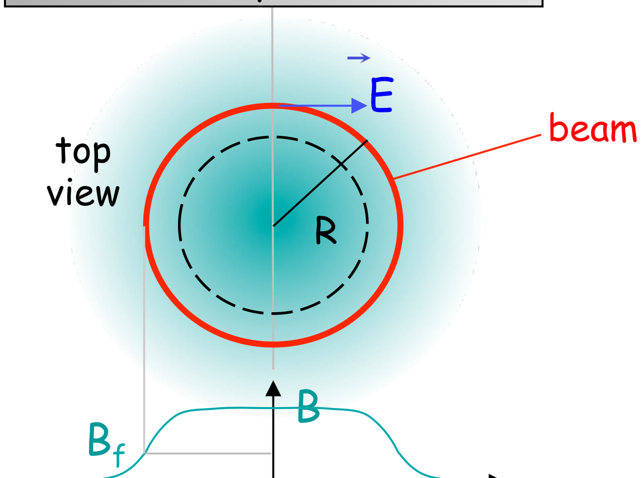
Limited by saturation in iron (~300 MeV e-)

Used in industry and medicine, as they are compact accelerators for electrons



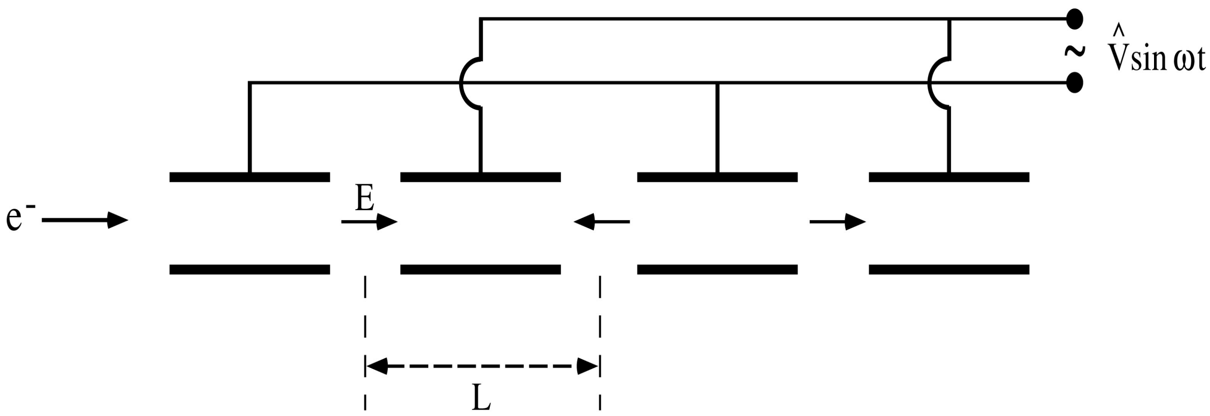
Donald Kerst with the first betatron, invented at the University of Illinois in 1940





Radio-Frequency (RF) Acceleration

Electrostatic acceleration limited by isolation possibilities => use RF fields

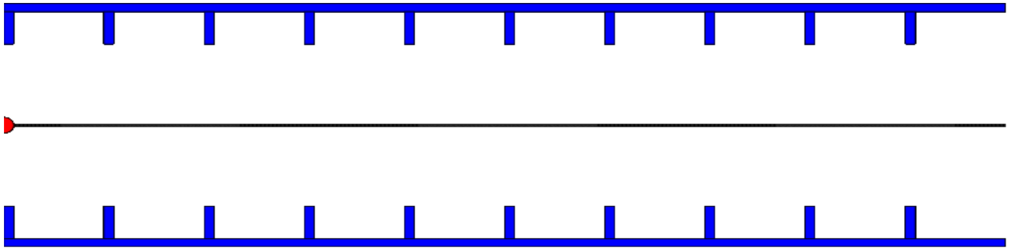


Wideröe-type structure

Cylindrical electrodes (drift tubes) separated by gaps and fed by a RF generator, as shown above, lead to an alternating electric field polarity

Synchronism condition ⟶ $L = v\,T/2$

v = particle velocity
T = RF period

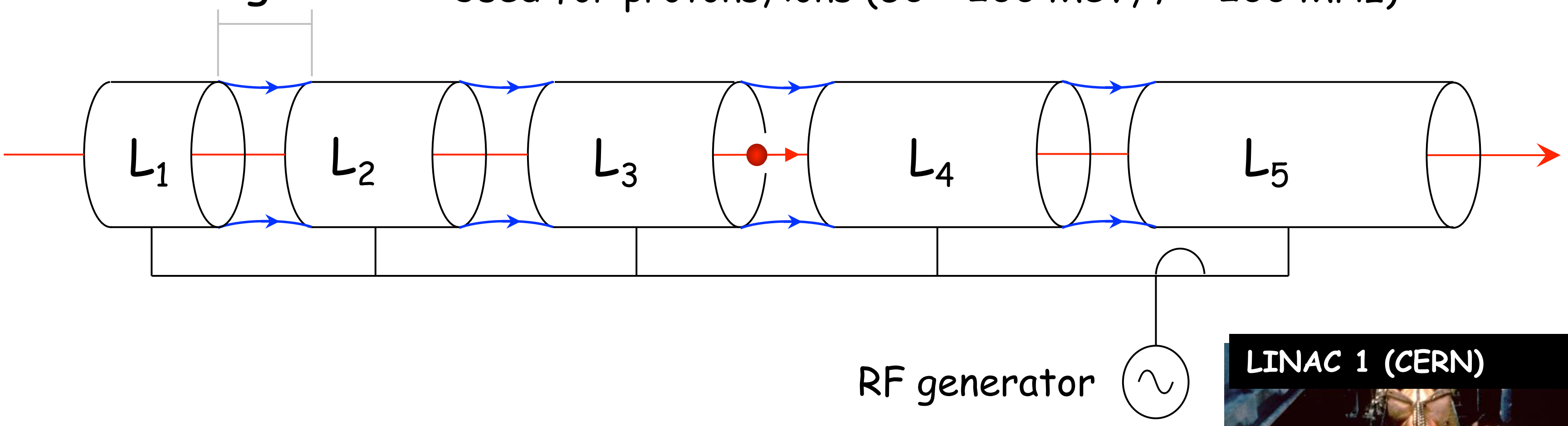


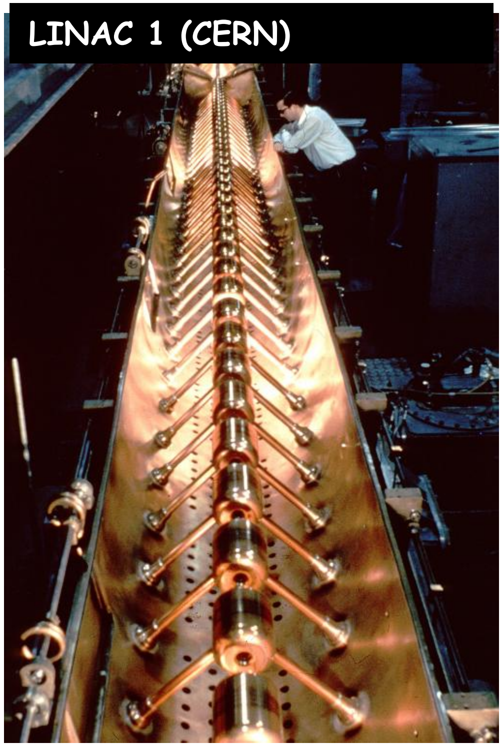
D.Schulte

Similar for standing wave cavity as shown (with v≈c)

RF acceleration: Alvarez Structure

Used for protons, ions (50 – 200 MeV, f ~ 200 MHz)





Synchronism condition $(g << L)$

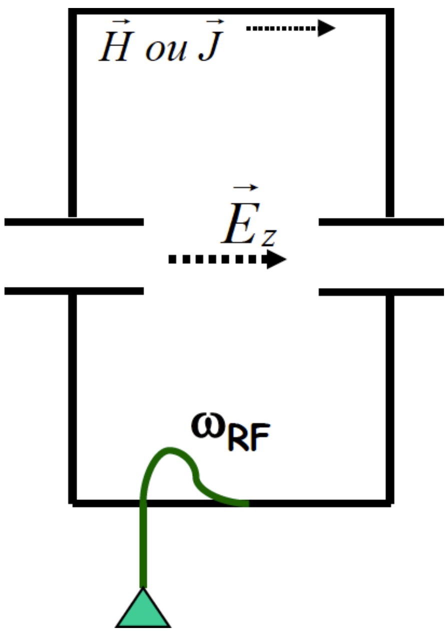
$$L = v_s T_{RF} = \beta_s \lambda_{RF}$$

$$\omega_{RF} = 2\pi \frac{v_s}{L}$$

Resonant RF Cavities

- Considering RF acceleration, it is obvious that when particles get high velocities the drift spaces get longer and one looses on the efficiency.
  => The solution consists of using a higher operating frequency.

- The power lost by radiation, due to circulating currents on the electrodes, is proportional to the RF frequency.
  => The solution consists of enclosing the system in a cavity which resonant frequency matches the RF generator frequency.

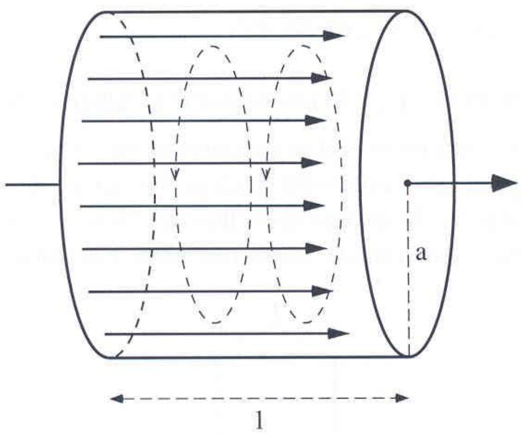


- The electromagnetic power is now constrained in the resonant volume

- Each such cavity can be independently powered from the RF generator

- Note however that joule losses will occur in the cavity walls (unless made of superconducting materials)

The Pill Box Cavity



→ E_z ⇢ H_θ

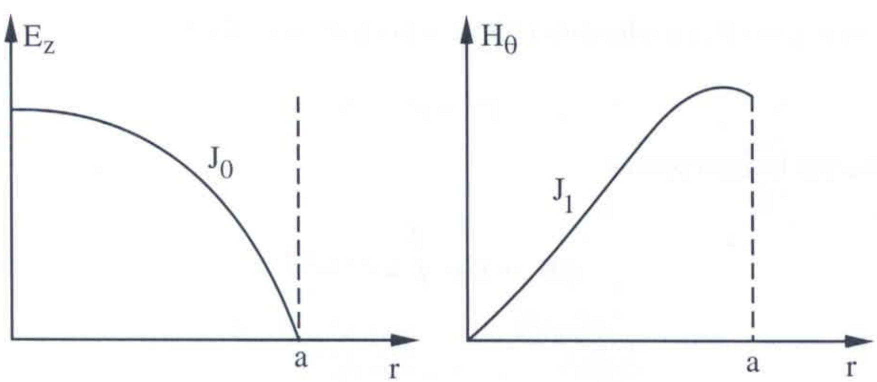
From Maxwell's equations one can derive the wave equations:

$$\nabla^2 A - \varepsilon_0 \mu_0 \frac{\partial^2 A}{\partial t^2} = 0 \qquad (A = E \text{ or } H)$$

Solutions for E and H are oscillating modes, at discrete frequencies, of types TM_{xyz} (transverse magnetic) or TE_{xyz} (transverse electric).

Indices linked to the number of field knots in polar co-ordinates φ, r and z.

For l<2a the most simple mode, TM_{010}, has the lowest frequency, and has only two field components:

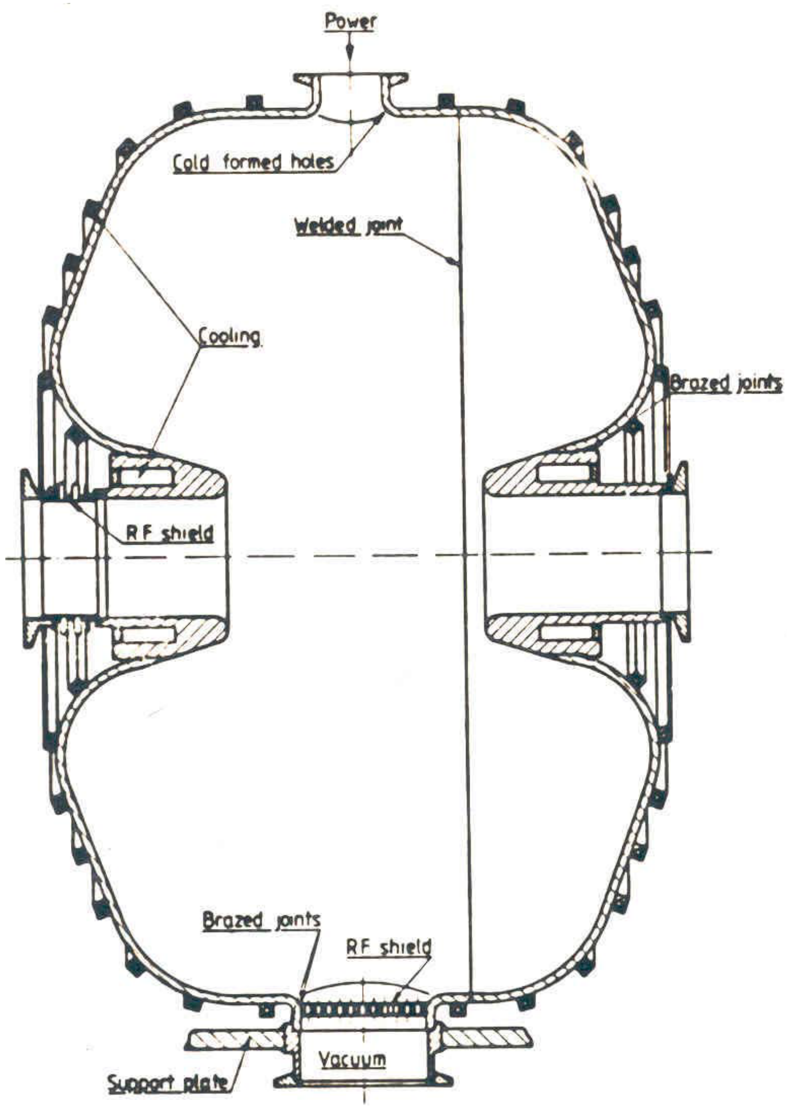


$$E_z = J_0(kr)\, e^{i\omega t}$$

$$H_\theta = -\frac{i}{Z_0} J_1(kr)\, e^{i\omega t}$$

$$k = \frac{2\pi}{\lambda} = \frac{\omega}{c} \qquad \lambda = 2.62a \qquad Z_0 = 377\Omega$$

The Pill Box Cavity (2)



The design of a pill-box cavity can be sophisticated in order to improve its performances:

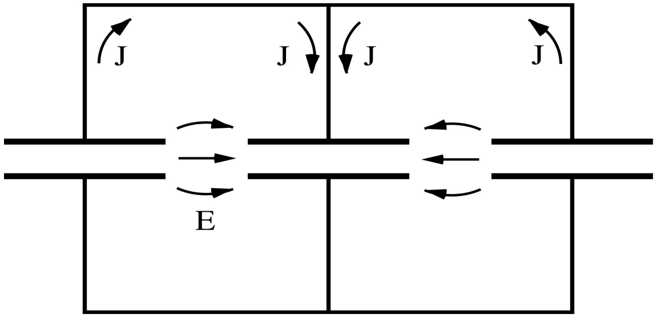
- A nose cone can be introduced in order to concentrate the electric field around the axis

- Round shaping of the corners allows a better distribution of the magnetic field on the surface and a reduction of the Joule losses.
It also prevents from multipactoring effects.

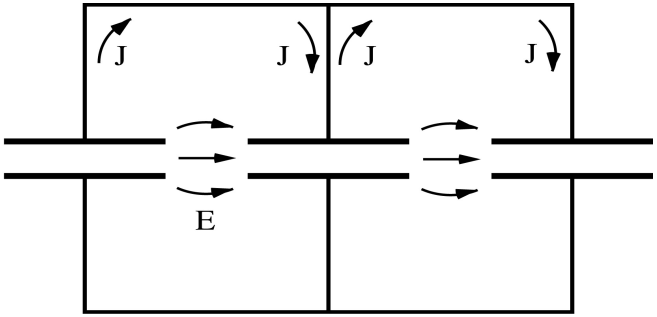
A good cavity is a cavity which efficiently transforms the RF power into accelerating voltage.

Some RF Cavity Examples

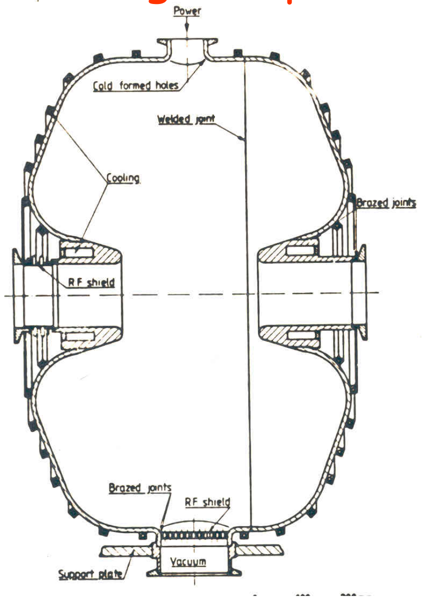
L = vT/2 (π mode)



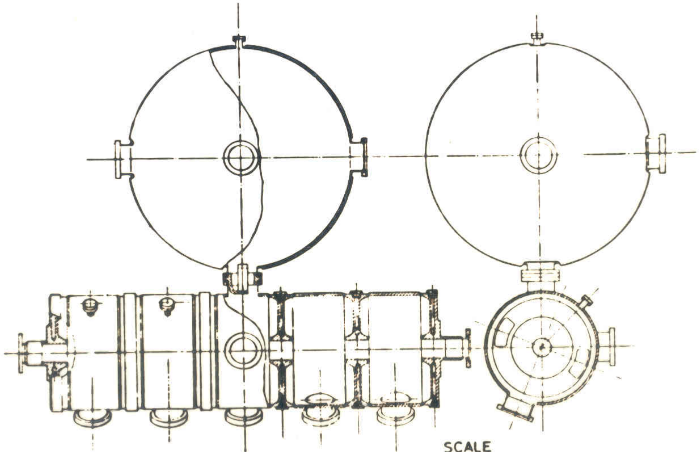
L = vT (2π mode)



Single Gap



Multi-Gap



Transit time factor

The accelerating field varies during the passage of the particle
=> particle does not always see maximum field => effective acceleration smaller

Transit time factor defined as:

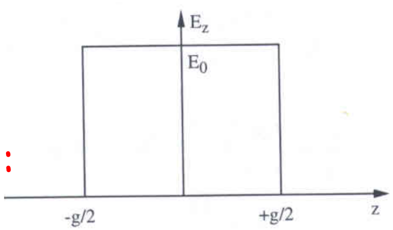
$$T_a = \frac{\text{energy gain of particle with } v = \beta c}{\text{maximum energy gain (particle with } v \to \infty)}$$

In the general case, the transit time factor is:

for $E(s,r,t) = E_1(s,r) \cdot E_2(t)$

$$T_a = \frac{\int_{-\infty}^{+\infty} E_1(s,r)\cos\left(\omega_{RF}\frac{s}{v}\right) \mathrm{d}s}{\int_{-\infty}^{+\infty} E_1(s,r)\, \mathrm{d}s}$$

Simple model uniform field:



$$E_1(s,r) = \frac{V_{RF}}{g} = \text{const.}$$

follows:

$$T_a = \left| \sin\frac{\omega_{RF} g}{2v} \middle/ \frac{\omega_{RF} g}{2v} \right|$$

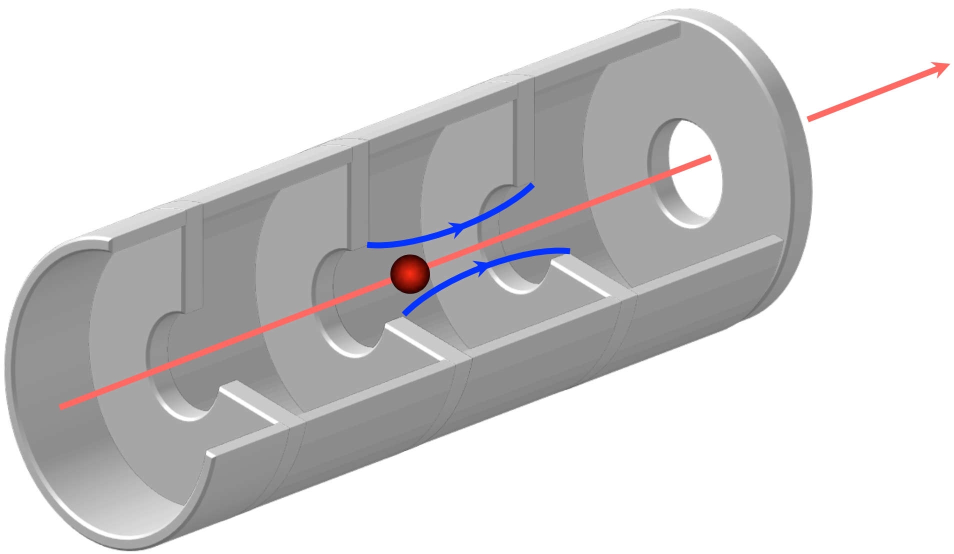
- $0 < T_a < 1$
- $T_a \to 1$ for $g \to 0$, smaller ω_{RF}

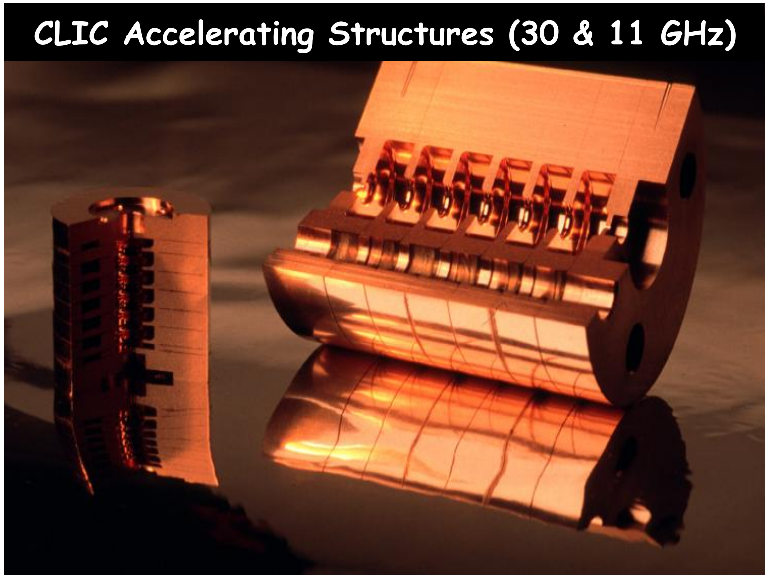
Important for low velocities (ions)

Disc loaded traveling wave structures

-When particles gets ultra-relativistic (v~c) the drift tubes become very long unless the operating frequency is increased. Late 40's the development of radar led to high power transmitters (klystrons) at very high frequencies (3 GHz).

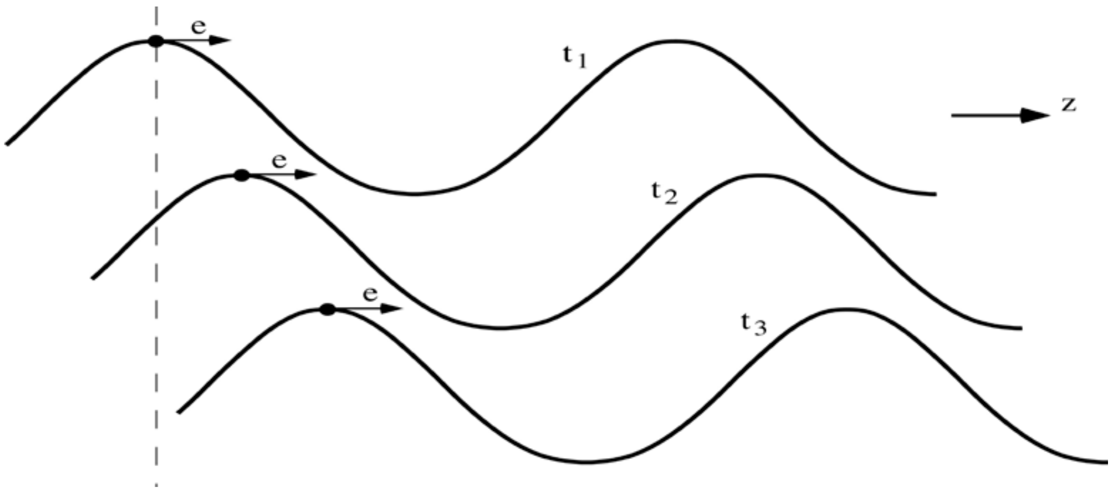
-Next came the idea of suppressing the drift tubes using traveling waves. However to get a continuous acceleration the phase velocity of the wave needs to be adjusted to the particle velocity.





solution: slow wave guide with irises ==> iris loaded structure

The Traveling Wave Case



$$E_z = E_0 \cos\left(\omega_{RF} t - kz\right)$$

$$k = \frac{\omega_{RF}}{v_\varphi} \qquad \text{wave number}$$

$$z = v(t - t_0)$$

v_φ = phase velocity
v = particle velocity

The particle travels along with the wave, and k represents the wave propagation factor.

$$E_z = E_0 \cos\left(\omega_{RF} t - \omega_{RF} \frac{v}{v_\varphi} t - \phi_0\right)$$

If synchronism satisfied: $v = v_\varphi$ and $E_z = E_0 \cos\phi_0$

where Φ_0 is the RF phase seen by the particle.

Energy Gain

In relativistic dynamics, total energy E and momentum p are linked by

$$E^2 = E_0^2 + p^2c^2 \qquad (E = E_0 + W) \qquad W \text{ kinetic energy}$$

Hence: $dE = v\,dp$

The rate of energy gain per unit length of acceleration (along z) is then:

$$\frac{dE}{dz} = v\frac{dp}{dz} = \frac{dp}{dt} = eE_z$$

and the kinetic energy gained from the field along the z path is:

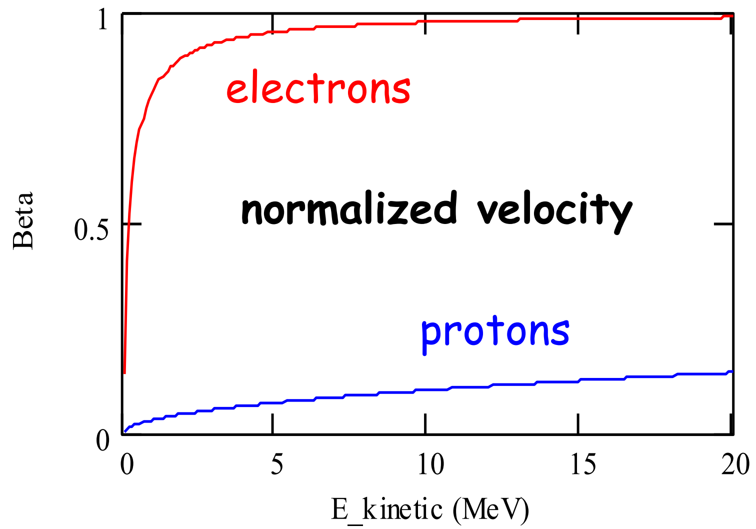
$$dW = dE = eE_z dz \quad \rightarrow \quad W = e\int E_z dz = eV$$

where V is just a potential.

Velocity, Energy and Momentum

normalized velocity $\beta = \frac{v}{c} = \sqrt{1 - \frac{1}{\gamma^2}}$

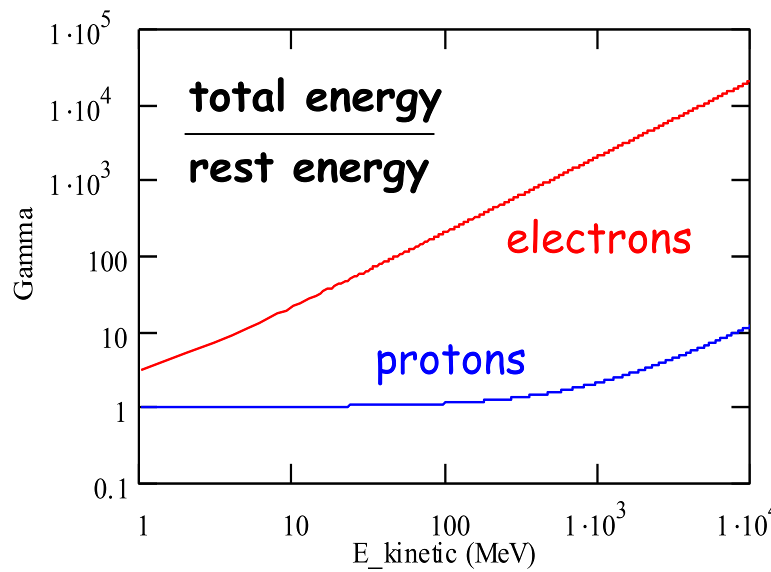
=> electrons almost reach the speed of light very quickly (few MeV range)



$$\frac{\text{total energy}}{\text{rest energy}} \qquad E = \gamma m_0 c^2$$

$$\gamma = \frac{E}{E_0} = \frac{m}{m_0} = \frac{1}{\sqrt{1 - v^2/c^2}} = \frac{1}{\sqrt{1-\beta^2}}$$

Momentum $p = mv = \frac{E}{c^2}\beta c = \beta \frac{E}{c} = \beta\gamma m_0 c$



Particle types and acceleration

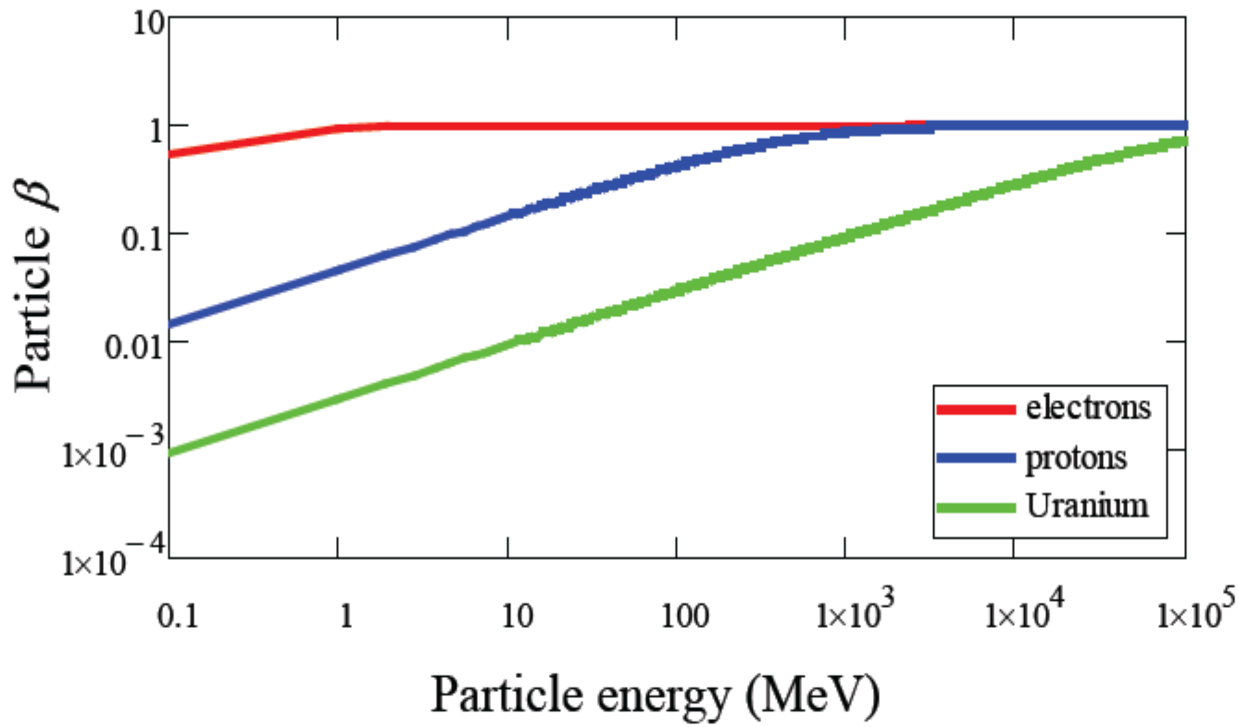
Accelerating system will depend upon the evolution of the particle velocity along the system

- electrons reach a constant velocity at relatively low energy
- heavy particles reach a constant velocity only at very high energy

  » may need different types of resonators, optimized for different velocities

Particle rest mass:

electron 0.511 MeV
proton 938 MeV
^{239}U ~220000MeV



Summary: Relativity + Energy Gain

Newton-Lorentz Force $\vec{F} = \frac{d\vec{p}}{dt} = e\left(\vec{E} + \vec{v} \times \vec{B}\right)$

2nd term always perpendicular to motion => no acceleration

Relativistics Dynamics

$$\beta = \frac{v}{c} = \sqrt{1 - \frac{1}{\gamma^2}} \qquad \gamma = \frac{E}{E_0} = \frac{m}{m_0} = \frac{1}{\sqrt{1-\beta^2}}$$

$$p = mv = \frac{E}{c^2}\beta c = \beta\frac{E}{c} = \beta\gamma m_0 c$$

$$E^2 = E_0^2 + p^2c^2 \longrightarrow dE = v\,dp$$

$$\frac{dE}{dz} = v\frac{dp}{dz} = \frac{dp}{dt} = eE_z$$

$$dE = dW = eE_z\,dz \rightarrow W = e\int E_z\,dz$$

RF Acceleration

$$E_z = \hat{E}_z \sin\omega_{RF}t = \hat{E}_z \sin\phi(t)$$

$$\int \hat{E}_z\,dz = \hat{V}$$

$$W = e\hat{V}\sin\phi$$

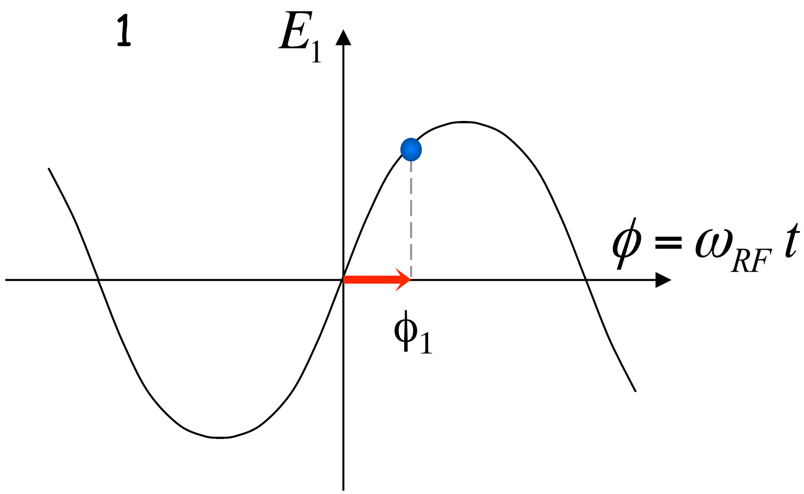
(neglecting transit time factor)

The field will change during the passage of the particle through the cavity
=> effective energy gain is lower

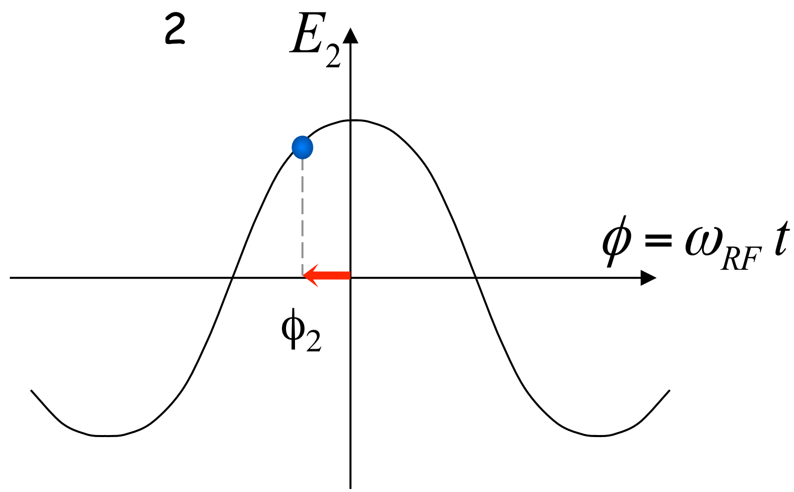
Common Phase Conventions

1. For circular accelerators, the origin of time is taken at the zero crossing of the RF voltage with positive slope
2. For linear accelerators, the origin of time is taken at the positive crest of the RF voltage

Time t= 0 chosen such that:



$$E_1(t) = E_0 \sin\left(\omega_{RF}\, t\right)$$



$$E_2(t) = E_0 \cos\left(\omega_{RF}\, t\right)$$

3. I will stick to **convention 1** in the following to avoid confusion

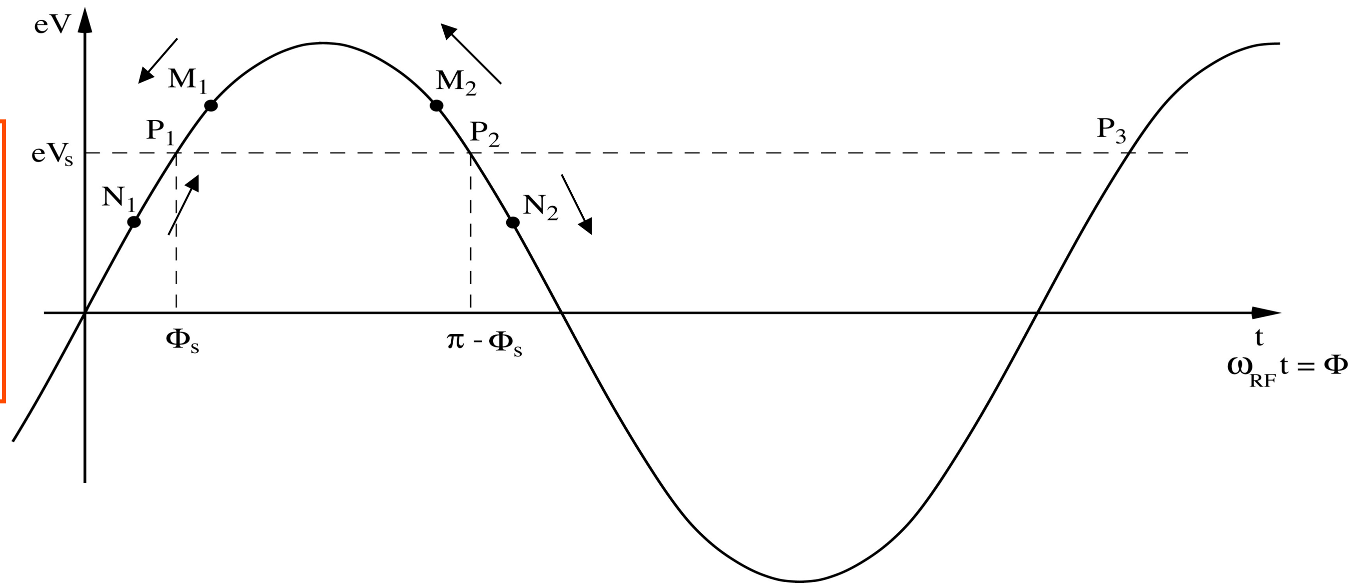
Principle of Phase Stability (Linac)

Let's consider a succession of accelerating gaps, operating in the 2π mode, for which the synchronism condition is fulfilled for a phase Φ_s .

$$eV_s = e\hat{V}\sin\Phi_s$$

is the energy gain in one gap for the particle to reach the next gap with the same RF phase: P_1 ,P_2, …… are fixed points.

For a 2π mode, the electric field is the same in all gaps at any given time.



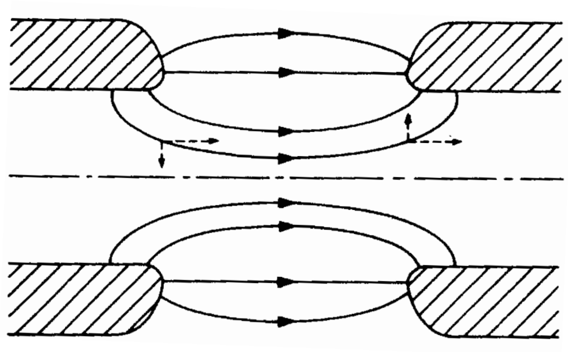
If an energy increase is transferred into a velocity increase =>

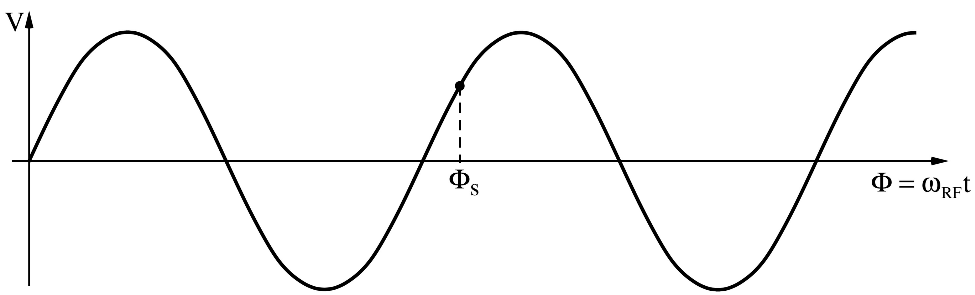
M_1 & N_1 will move towards P_1 => stable

M_2 & N_2 will go away from P_2 => unstable

(Highly relativistic particles have no significant velocity change)

A Consequence of Phase Stability





Transverse focusing fields at the entrance and defocusing at the exit of the cavity.
Electrostatic case: Energy gain inside the cavity leads to focusing
RF case: Field increases during passage => transverse defocusing!

Longitudinal phase stability means : $\frac{\partial V}{\partial t} > 0 \Rightarrow \frac{\partial E_z}{\partial z} < 0$

defocusing RF force

The divergence of the field is zero according to Maxwell : $\nabla.\vec{E} = 0 \quad \Rightarrow \quad \frac{\partial E_x}{\partial x} + \frac{\partial E_z}{\partial z} = 0 \quad \Rightarrow \quad \frac{\partial E_x}{\partial x} > 0$

External focusing (solenoid, quadrupole) is then necessary

Energy-phase Oscillations (1)

- Rate of energy gain for the synchronous particle:

$$\frac{dE_s}{dz} = \frac{dp_s}{dt} = eE_0 \sin\varphi_s$$

- Rate of energy gain for a non-synchronous particle, expressed in reduced variables, $w = W - W_s = E - E_s$ and $\varphi = \phi - \phi_s$:

$$\frac{dw}{dz} = eE_0\left[\sin(\phi_s + \varphi) - \sin\phi_s\right] \approx eE_0 \cos\phi_s . \varphi \quad \left(small\ \varphi\right)$$

- Rate of change of the phase with respect to the synchronous one:

$$\frac{d\varphi}{dz} = \omega_{RF}\left(\frac{dt}{dz} - \left(\frac{dt}{dz}\right)_s\right) = \omega_{RF}\left(\frac{1}{v} - \frac{1}{v_s}\right) \cong -\frac{\omega_{RF}}{v_s^2}\left(v - v_s\right)$$

Since: $$v - v_s = c\left(\beta - \beta_s\right) \cong \frac{c}{2\beta_s}\left(\beta^2 - \beta_s^2\right) \cong \frac{w}{m_0 v_s \gamma_s^3}$$

Energy-phase Oscillations (2)

one gets:

$$\frac{d\varphi}{dz} = -\frac{\omega_{RF}}{m_0 v_s^3 \gamma_s^3} w$$

Combining the two 1st order equations into a 2nd order equation gives the equation of a harmonic oscillator:

$$\frac{d^2\varphi}{dz^2} + \Omega_s^2 \varphi = 0$$

with

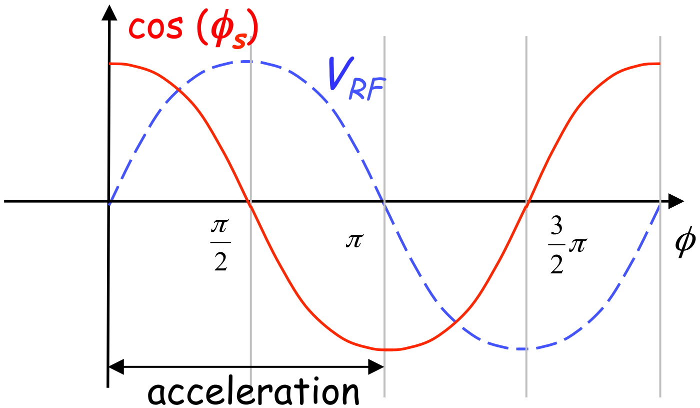
$$\Omega_s^2 = \frac{eE_0 \omega_{RF} \cos\phi_s}{m_0 v_s^3 \gamma_s^3}$$

Stable harmonic oscillations imply: $\Omega_s^2 > 0$ and real

hence: $\cos\phi_s > 0$

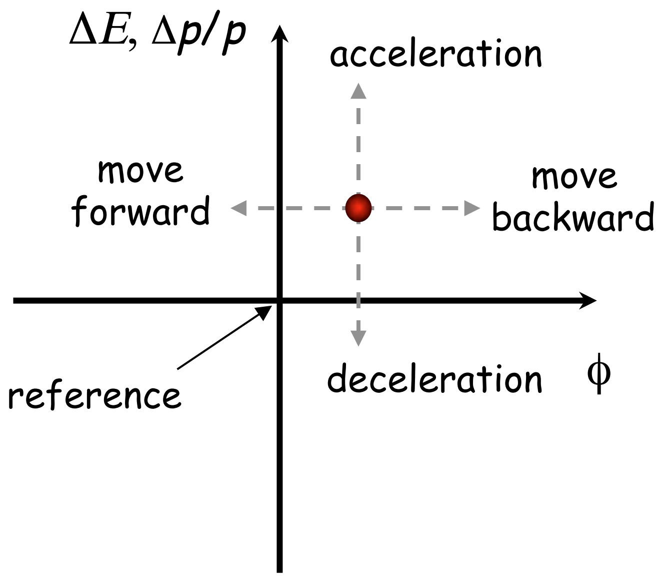
And since acceleration also means: $\sin\phi_s > 0$

You finally get the result for the stable phase range: $0 < \phi_s < \frac{\pi}{2}$

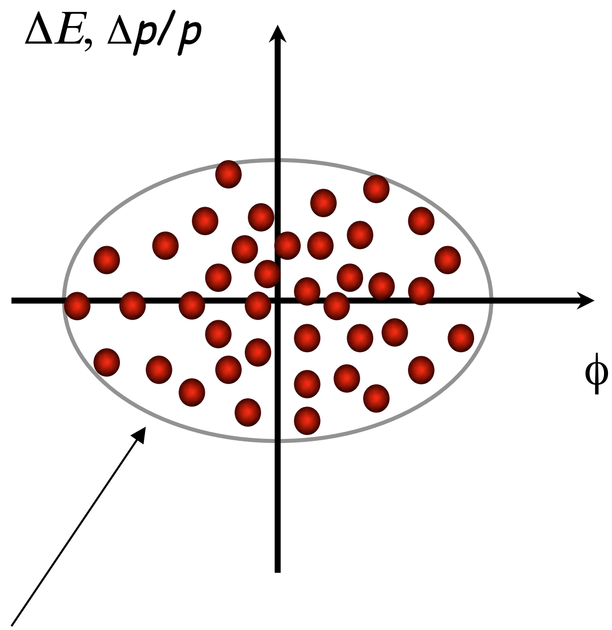


Longitudinal phase space

The energy – phase oscillations can be drawn in phase space:



The particle trajectory in the phase space ($\Delta p/p$, ϕ) describes its longitudinal motion.



Emittance: phase space area including all the particles

NB: if the emittance contour correspond to a possible orbit in phase space, its shape does not change with time (matched beam)

Summary up to here…

- Acceleration by electric fields, static fields limited
  => time-varying fields
- Synchronous condition needs to be fulfilled for acceleration
- Particles perform oscillation around synchronous phase
- visualize oscillations in phase space

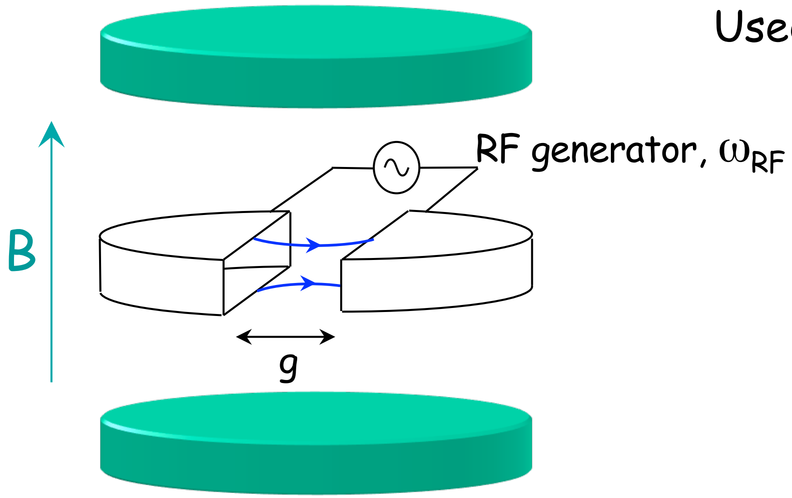
- Electrons are quickly relativistic, speed does not change
  use traveling wave structures for acceleration
- Protons and ions need changing structure geometry

Circular accelerators

Cyclotron

Synchrotron

Circular accelerators: Cyclotron



Used for protons, ions

B = constant

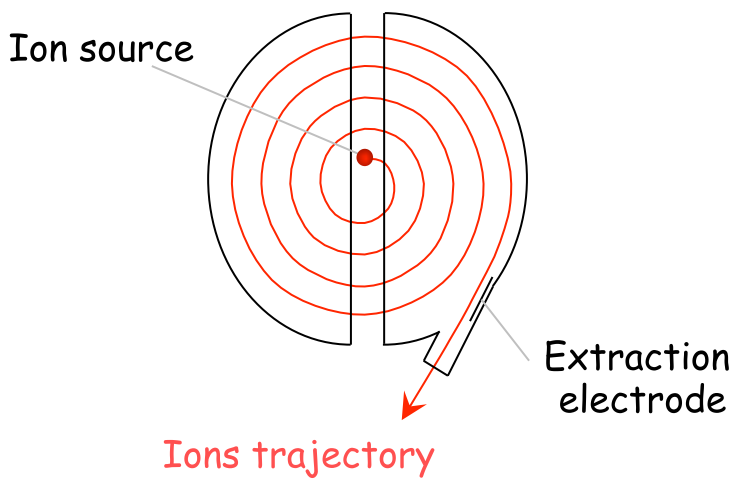
ω_{RF} = constant

Synchronism condition

$$\omega_s = \omega_{RF}$$

$$2\pi\,\rho = v_s\,T_{RF}$$

Cyclotron frequency $$\omega = \frac{q\,B}{m_0\,\gamma}$$



1. γ increases with the energy
   ⇒ no exact synchronism
2. if $v \ll c$ ⇒ $\gamma \cong 1$

Cyclotron / Synchrocyclotron

TRIUMF 520 MeV cyclotron Vancouver - Canada

CERN 600 MeV synchrocyclotron

Synchrocyclotron: Same as cyclotron, except a modulation of ω_{RF}

B = constant

$\gamma\,\omega_{RF}$ = constant

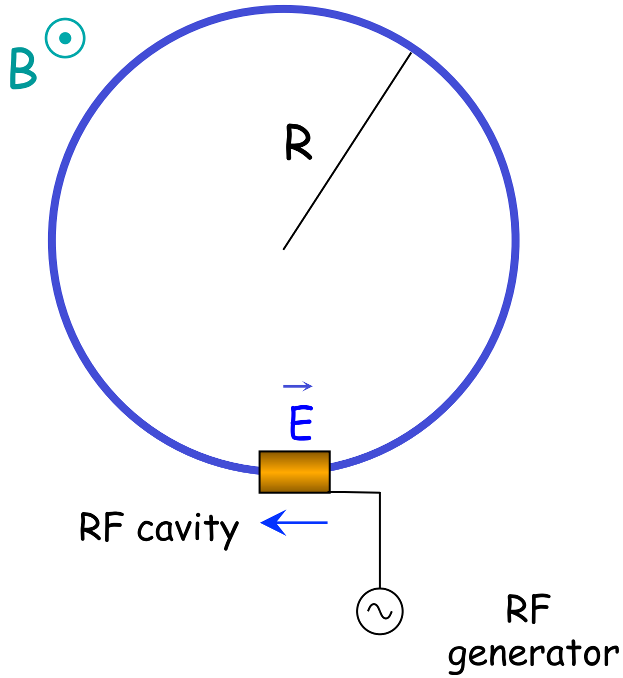
ω_{RF} decreases with time

The condition:

$$\omega_s(t) = \omega_{RF}(t) = \frac{q\,B}{m_0\,\gamma(t)}$$

Allows to go beyond the non-relativistic energies

Circular accelerators: The Synchrotron



1. Constant orbit during acceleration

2. To keep particles on the closed orbit, B should increase with time

3. ω and ω_{RF} increase with energy

RF frequency can be
multiple of revolution frequency

$$\omega_{RF} = h\,\omega_r$$

Synchronism condition

$$T_s = h\,T_{RF}$$

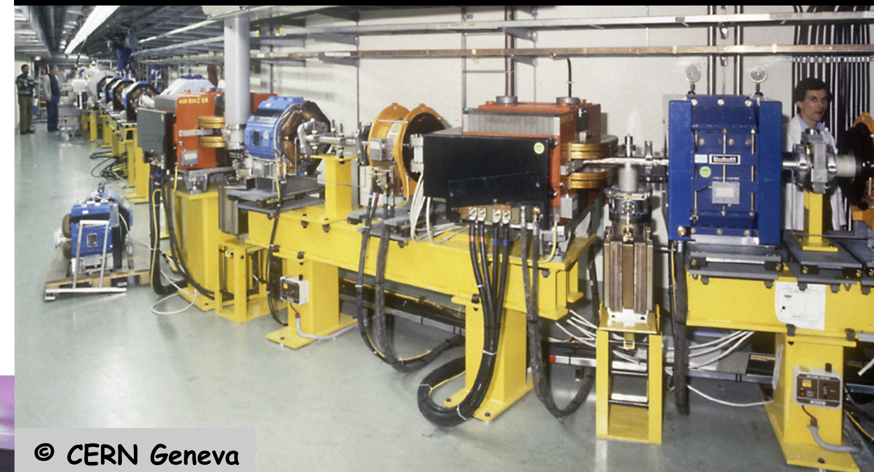
$$\frac{2\pi R}{v_s} = h\,T_{RF}$$

h integer,
harmonic number:
number of RF cycles per revolution

Circular accelerators: The Synchrotron

LEAR (CERN)
Low Energy Antiproton Ring

EPA (CERN)
Electron Positron Accumulator



PS (CERN)
Proton Synchrotron

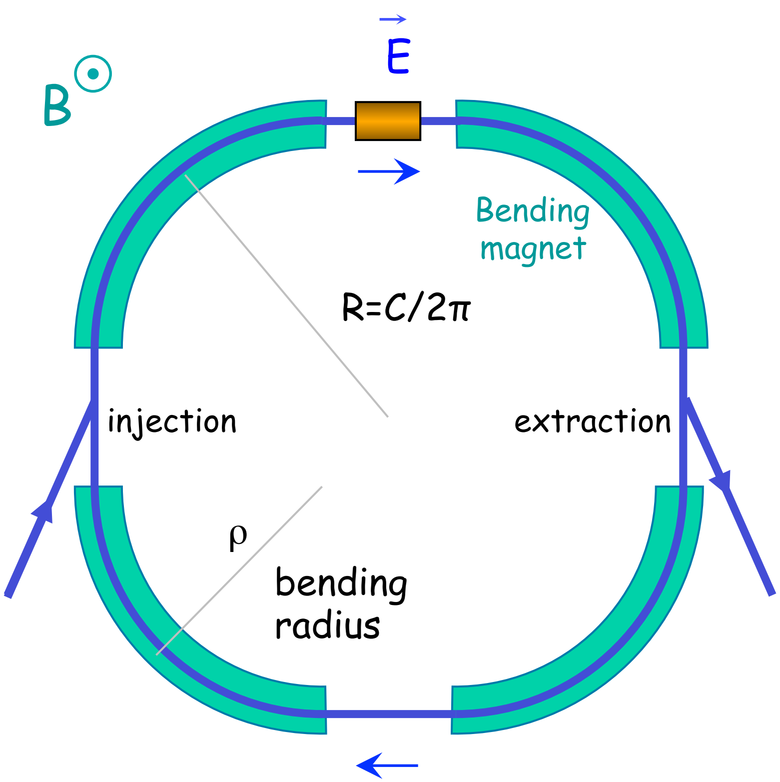
© CERN Geneva

Examples of different proton and electron synchrotrons at CERN

+ LHC (of course!)

The Synchrotron

The synchrotron is a synchronous accelerator since there is a synchronous RF phase for which the energy gain fits the increase of the magnetic field at each turn. That implies the following operating conditions:



$e\hat{V}\sin\Phi \longrightarrow$ Energy gain per turn

$\Phi = \Phi_s = cte \longrightarrow$ Synchronous particle

$\omega_{RF} = h\omega_r \longrightarrow$ RF synchronism (h - harmonic number)

$\rho = cte \quad R = cte \longrightarrow$ Constant orbit

$B\rho = P/e \Rightarrow B \longrightarrow$ Variable magnetic field

If v≈c, ω_r hence ω_{RF} remain constant (ultra-relativistic e^-)

The Synchrotron – Energy ramping

Energy ramping is simply obtained by varying the B field (frequency follows v):

$$p = eB\rho \quad \Rightarrow \quad \frac{dp}{dt} = e\rho\,\dot{B} \quad \Rightarrow (\Delta p)_{turn} = e\rho\,\dot{B}T_r = \frac{2\pi\,e\rho\,R\dot{B}}{v}$$

Since:

$$E^2 = E_0^2 + p^2c^2 \quad \Rightarrow \quad \Delta E = v\Delta p$$

$$\left(\Delta E\right)_{turn} = \left(\Delta W\right)_s = 2\pi e\rho R\dot{B} = e\hat{V}\sin\phi_s$$

Stable phase φ_s changes during energy ramping

$$\sin\phi_s = 2\pi\,\rho\,R\,\frac{\dot{B}}{\hat{V}_{RF}} \quad \Rightarrow \quad \phi_s = \arcsin\left(2\pi\,\rho\,R\,\frac{\dot{B}}{\hat{V}_{RF}}\right)$$

- The number of stable synchronous particles is equal to the harmonic number h. They are equally spaced along the circumference.
- Each synchronous particle satisfies the relation p=eBρ. They have the nominal energy and follow the nominal trajectory.

The Synchrotron - Frequency change

During the energy ramping, the RF frequency increases to follow the increase of the revolution frequency :

$$\omega_r = \frac{\omega_{RF}}{h} = \omega(B, R_s)$$

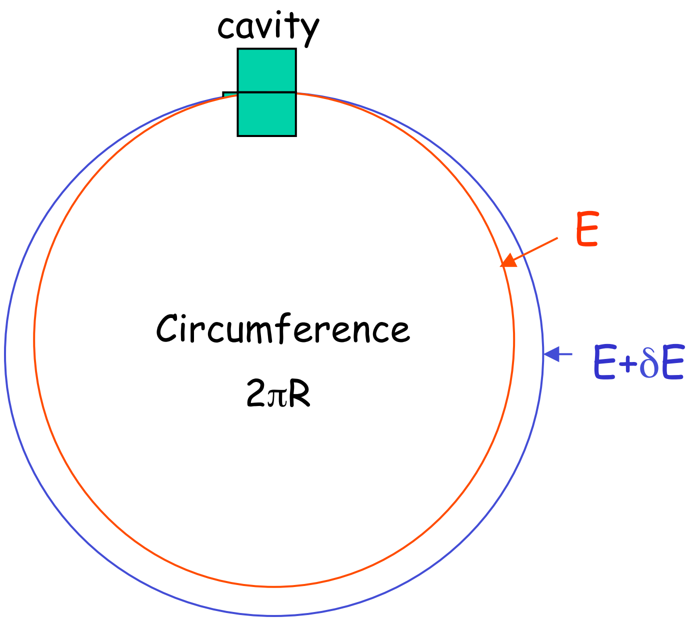
Hence: $\dfrac{f_{RF}(t)}{h} = \dfrac{v(t)}{2\pi R_s} = \dfrac{1}{2\pi}\dfrac{ec^2}{E_s(t)}\dfrac{\rho}{R_s}B(t)$ (using $p(t) = eB(t)\rho, \quad E = mc^2$)

Since $E^2 = (m_0c^2)^2 + p^2c^2$ the RF frequency must follow the variation of the B field with the law

$$\frac{f_{RF}(t)}{h} = \frac{c}{2\pi R_s}\left\{\frac{B(t)^2}{(m_0c^2/ec\rho)^2 + B(t)^2}\right\}^{1/2}$$

This asymptotically tends towards $f_r \rightarrow \dfrac{c}{2\pi R_s}$ when B becomes large compared to $m_0c^2/(ec\rho)$ which corresponds to $v \rightarrow c$

Dispersion Effects in a Synchrotron



If a particle is slightly shifted in momentum it will have a different orbit and the orbit length is different.

The "momentum compaction factor" is defined as:

$$\alpha = \frac{dL/L}{dp/p} \Rightarrow \alpha = \frac{p}{L}\frac{dL}{dp}$$

If the particle is shifted in momentum it will have also a different velocity.
As a result of both effects the revolution frequency changes:

$$\eta = \frac{\mathrm{d}f_r/f_r}{\mathrm{d}p/p} \Rightarrow \eta = \frac{p}{f_r}\frac{df_r}{dp}$$

p=particle momentum

R=synchrotron physical radius

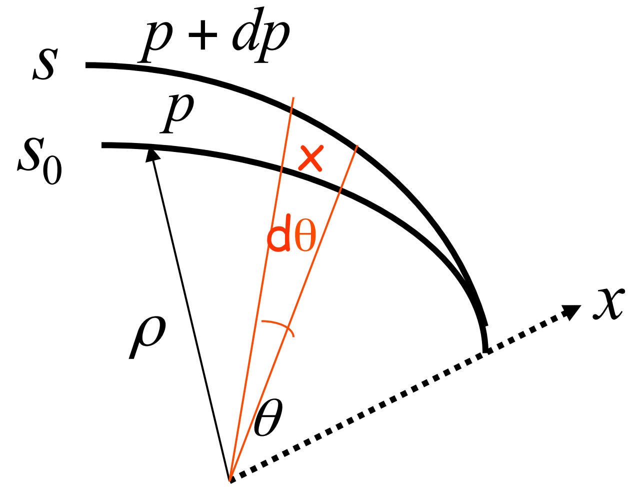
f_r=revolution frequency

Momentum Compaction Factor

$$\alpha = \frac{p}{L}\frac{dL}{dp}$$

$$ds_0 = \rho d\theta$$

$$ds = \left(\rho + x\right)d\theta$$



The elementary path difference from the two orbits is:

definition of dispersion D_x

$$\frac{dl}{ds_0} = \frac{ds - ds_0}{ds_0} = \frac{x}{\rho} = \frac{D_x}{\rho}\frac{dp}{p}$$

leading to the total change in the circumference:

$$dL = \int_C dl = \int \frac{x}{\rho} ds_0 = \int \frac{D_x}{\rho}\frac{dp}{p} ds_0$$

$$\alpha = \frac{1}{L}\int_C \frac{D_x(s)}{\rho(s)} ds_0$$

With ρ=∞ in straight sections we get:

$$\alpha = \frac{\langle D_x \rangle_m}{R}$$

$\langle\ \rangle_m$ means that the average is considered over the bending magnet only

Dispersion Effects – Revolution Frequency

There are two effects changing the revolution frequency: the orbit length and the velocity of the particle

$$f_r = \frac{\beta c}{2\pi R} \quad \Rightarrow \quad \frac{df_r}{f_r} = \frac{d\beta}{\beta} - \frac{dR}{R} = \frac{d\beta}{\beta} - \alpha \frac{dp}{p}$$

definition of momentum compaction factor

$$p = mv = \beta\gamma \frac{E_0}{c} \quad \Rightarrow \quad \frac{dp}{p} = \frac{d\beta}{\beta} + \frac{d\left(1-\beta^2\right)^{-1/2}}{\left(1-\beta^2\right)^{-1/2}} = \underbrace{\left(1-\beta^2\right)^{-1}}_{\gamma^2} \frac{d\beta}{\beta}$$

$$\frac{df_r}{f_r} = \left(\frac{1}{\gamma^2} - \alpha\right)\frac{dp}{p}$$

$$\frac{df_r}{f_r} = \eta \frac{dp}{p}$$

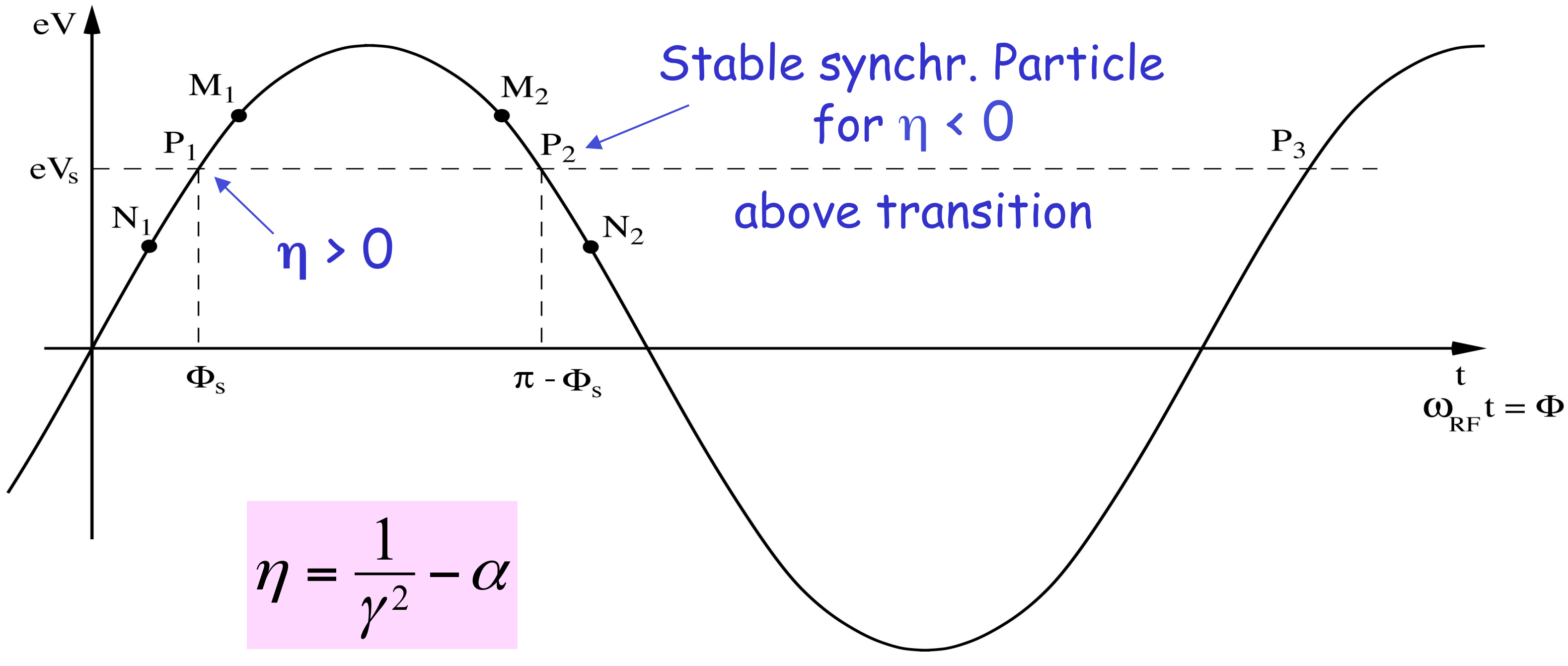
$$\eta = \frac{1}{\gamma^2} - \alpha$$

η=0 at the transition energy

$$\gamma_{tr} = \frac{1}{\sqrt{\alpha}}$$

Phase Stability in a Synchrotron

From the definition of η it is clear that an increase in momentum gives
- below transition ($\eta > 0$) a higher revolution frequency (increase in velocity dominates) while
- above transition ($\eta < 0$) a lower revolution frequency ($v \approx c$ and longer path) where the momentum compaction (generally > 0) dominates.

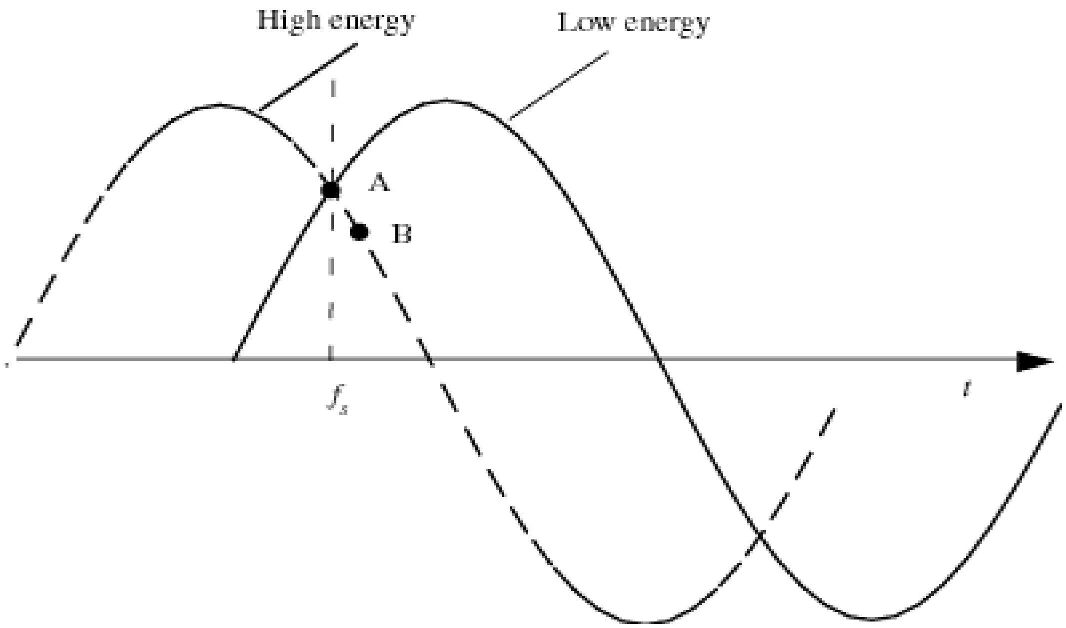


$$\eta = \frac{1}{\gamma^2} - \alpha$$

Crossing Transition

At transition, the velocity change and the path length change with momentum compensate each other. So the revolution frequency there is independent from the momentum deviation.

Crossing transition during acceleration makes the previous stable synchronous phase unstable. The RF system needs to make a rapid change of the RF phase, a ‘phase jump’.

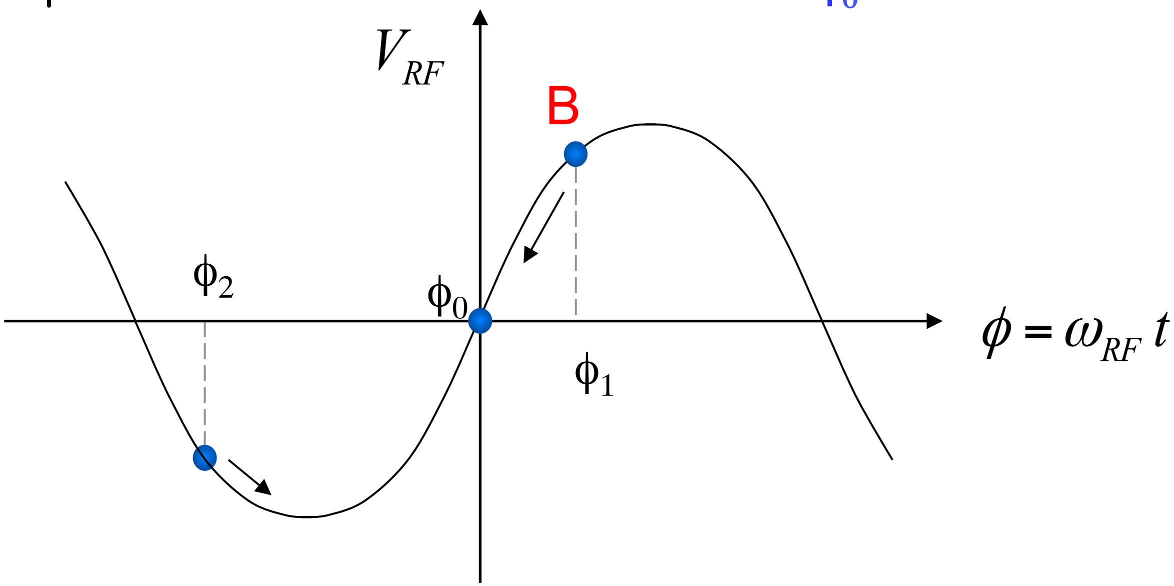


Dynamics: Synchrotron oscillations

Simple case (no accel.): B = const., below transition $\gamma < \gamma_{tr}$

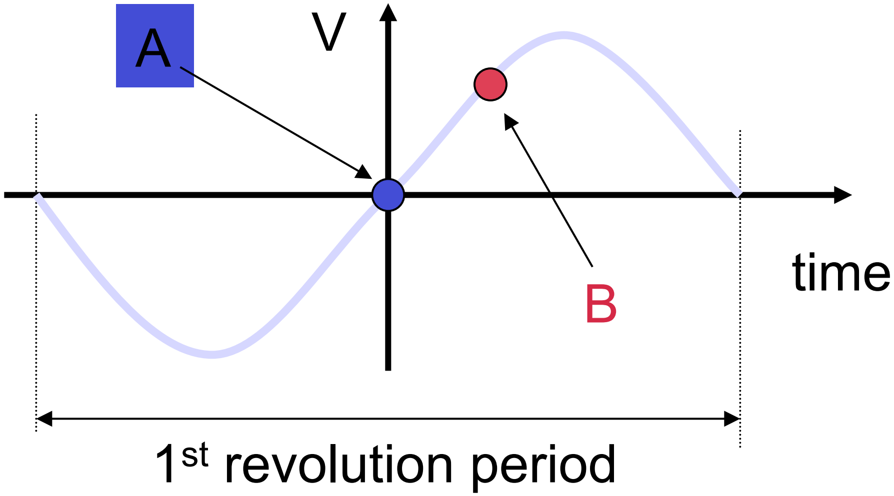
The phase of the synchronous particle must therefore be $\phi_0 = 0$.

ϕ_1
- The particle B is accelerated
- Below transition, an increase in energy means an increase in revolution frequency
- The particle arrives earlier – tends toward ϕ_0

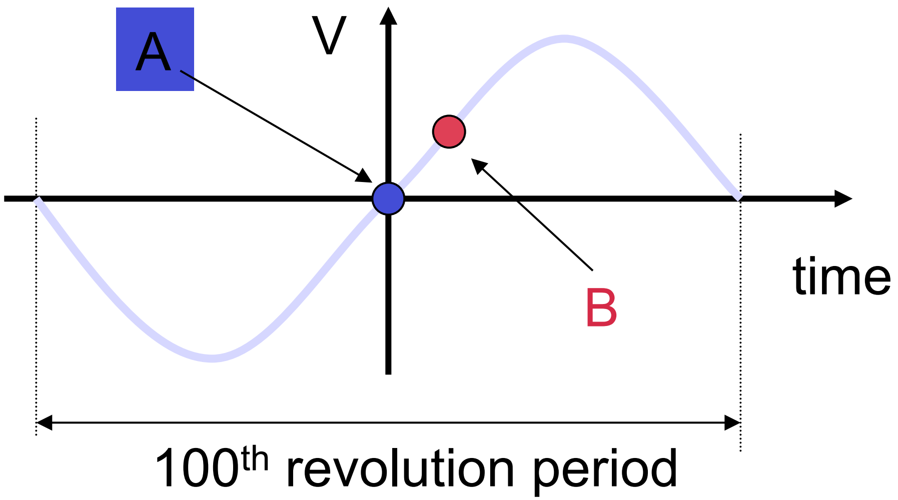


ϕ_2
- The particle is decelerated
- decrease in energy - decrease in revolution frequency
- The particle arrives later – tends toward ϕ_0

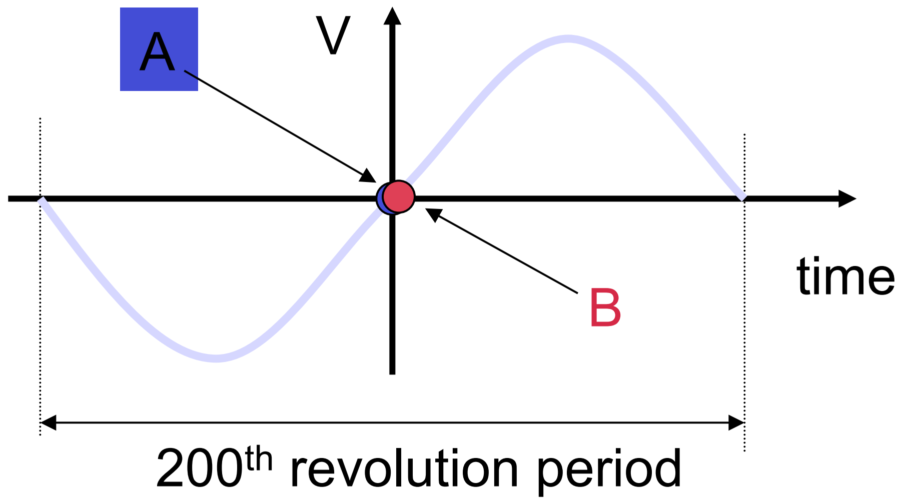
Synchrotron oscillations



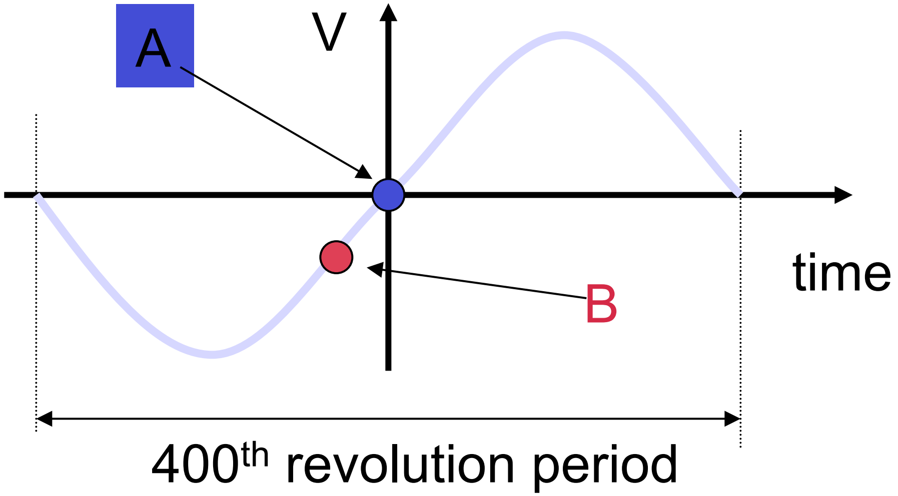
Synchrotron oscillations



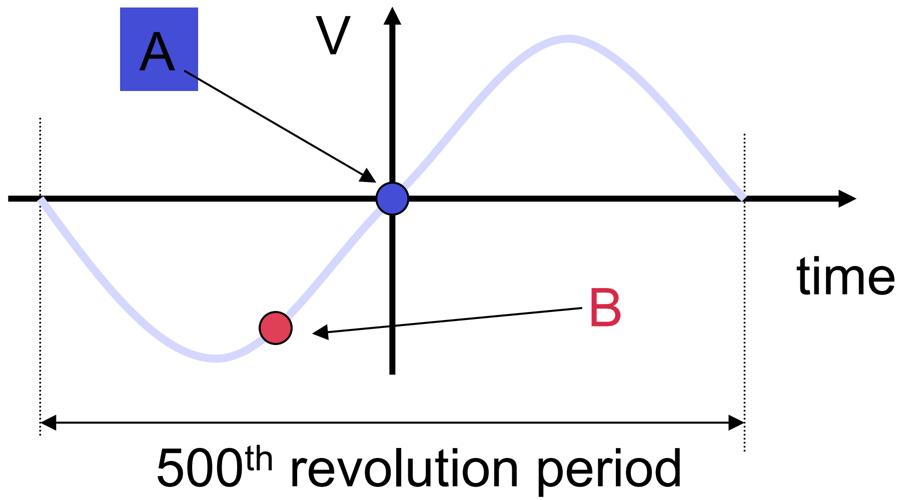
Synchrotron oscillations



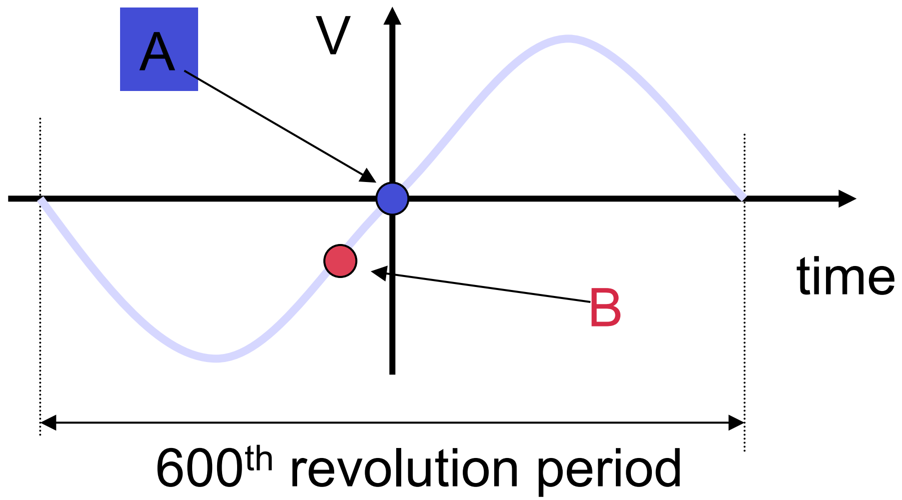
Synchrotron oscillations



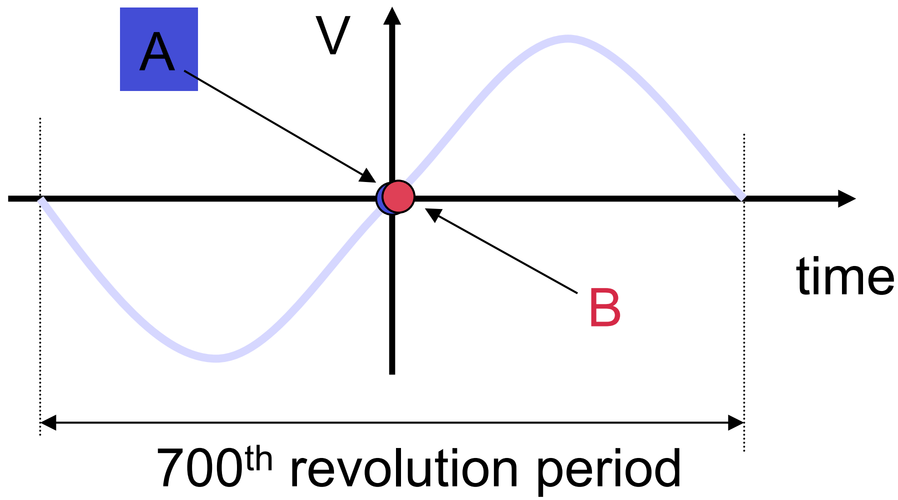
Synchrotron oscillations



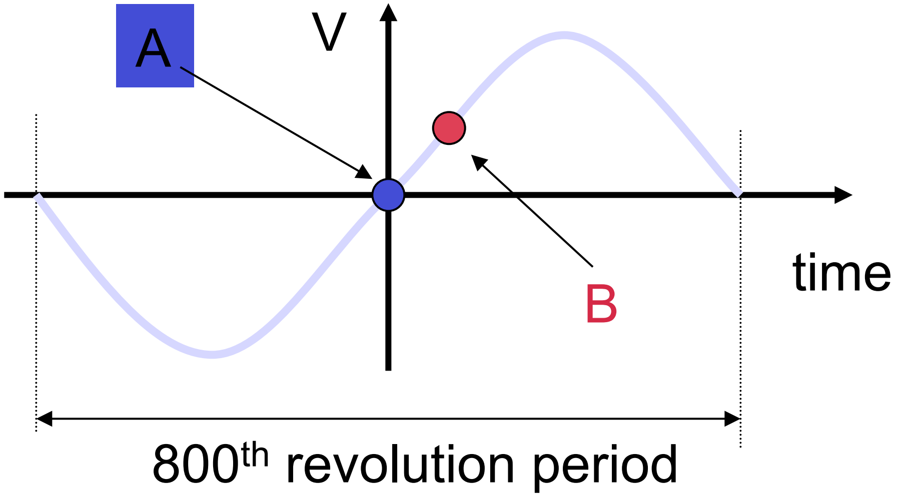
Synchrotron oscillations



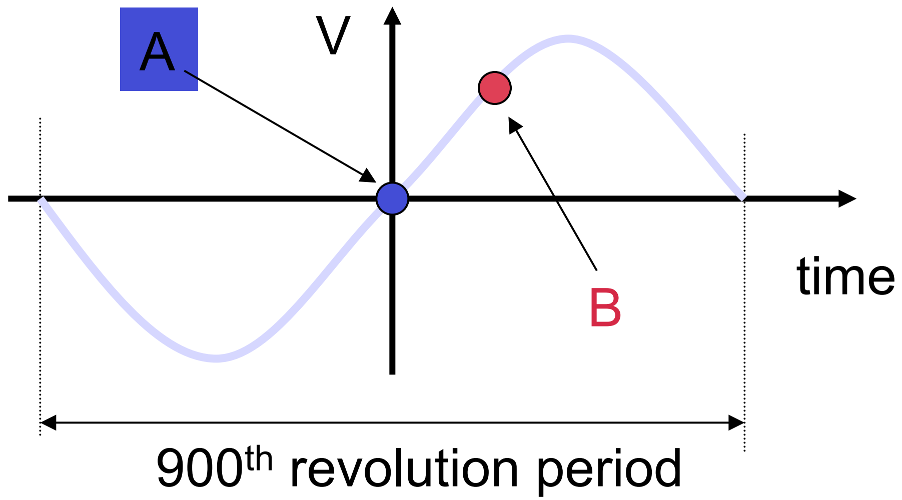
Synchrotron oscillations



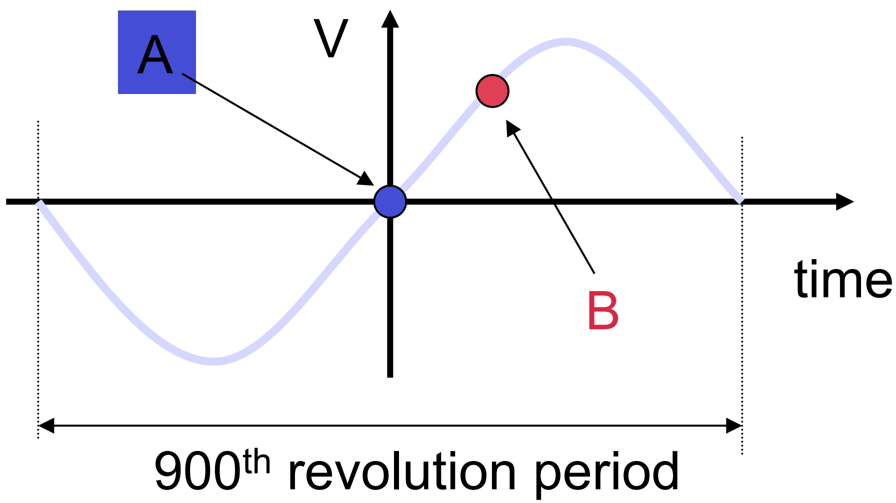
Synchrotron oscillations



Synchrotron oscillations



Synchrotron oscillations



Particle B has made one full oscillation around particle A.

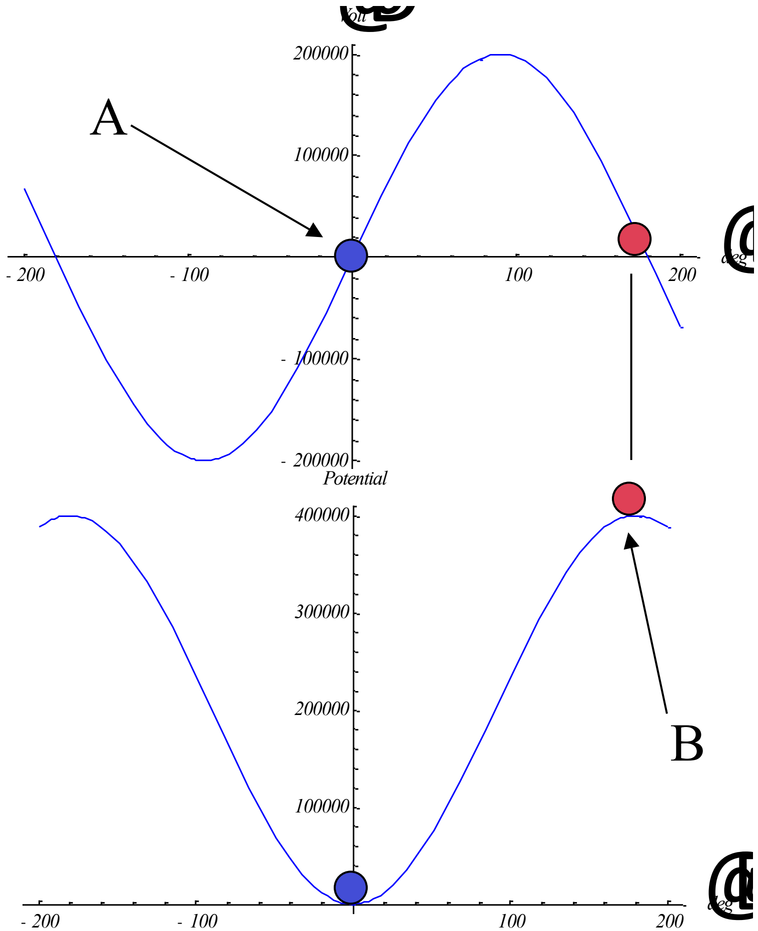
The amplitude depends on the initial phase and energy.

Exactly like the pendulum

This oscillation is called:

Synchrotron Oscillation

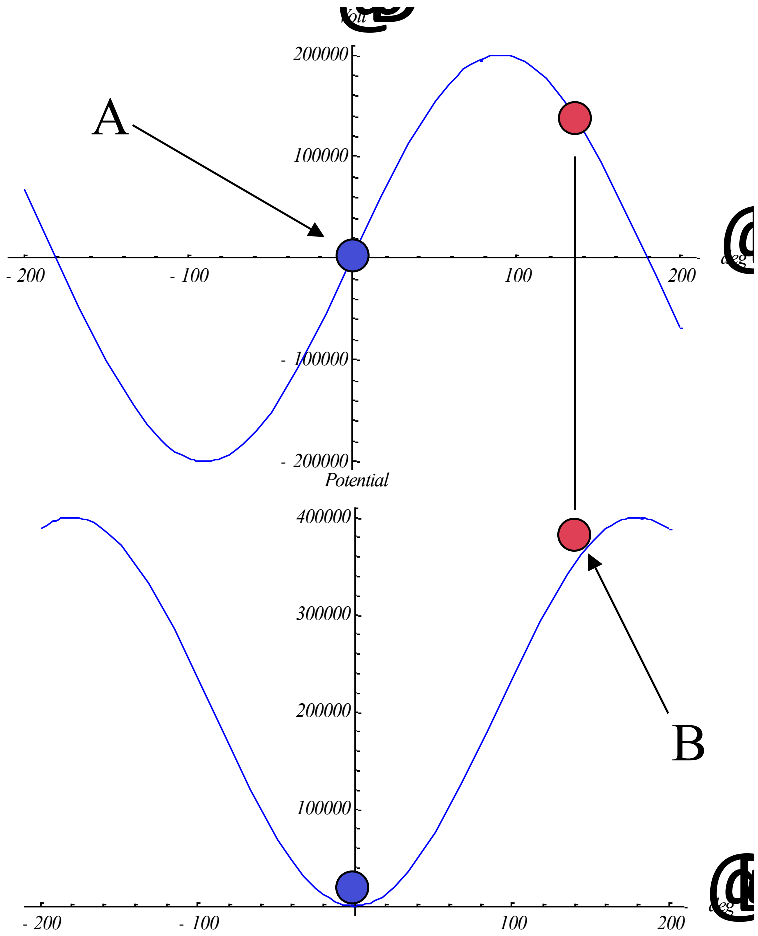
The Potential Well (1)



Cavity voltage

Potential well

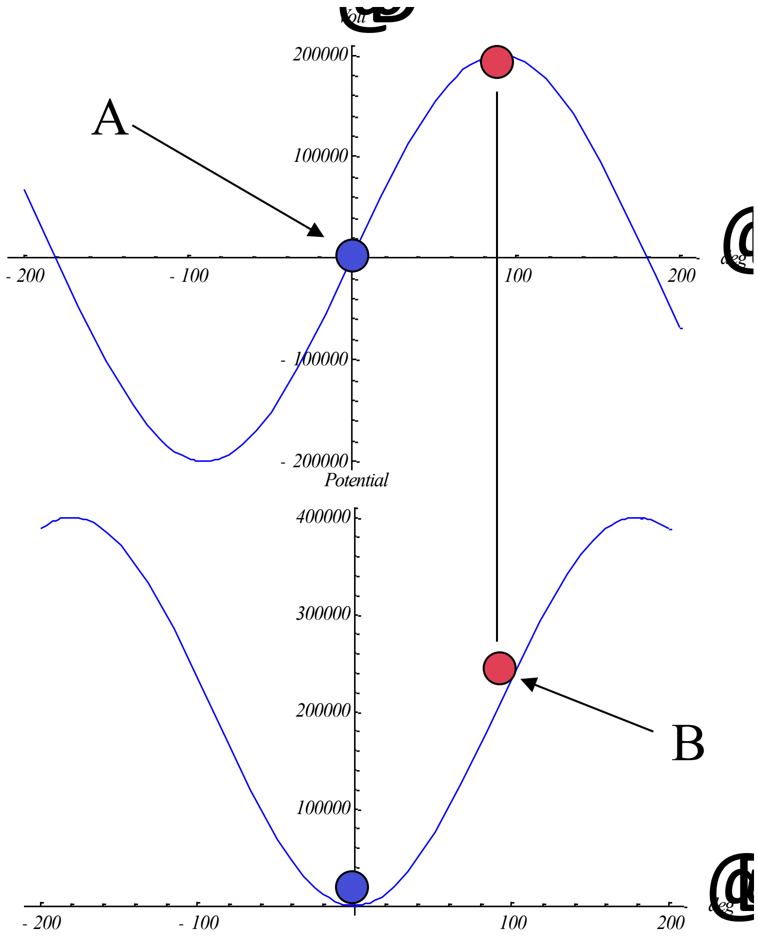
The Potential Well (2)



Cavity voltage

Potential well

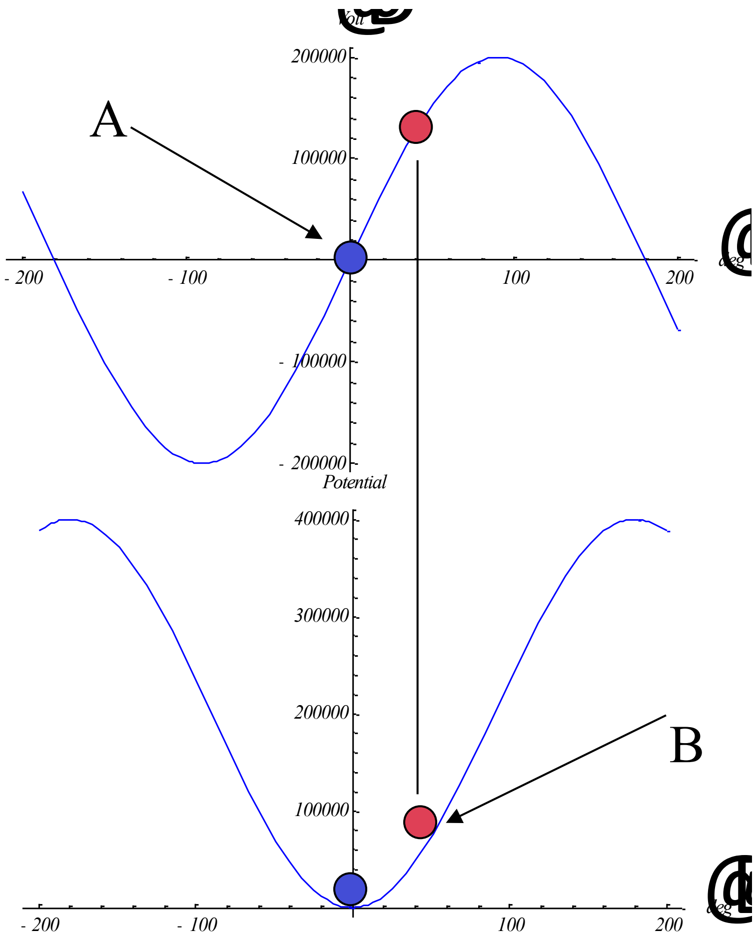
The Potential Well (3)



Cavity voltage

Potential well

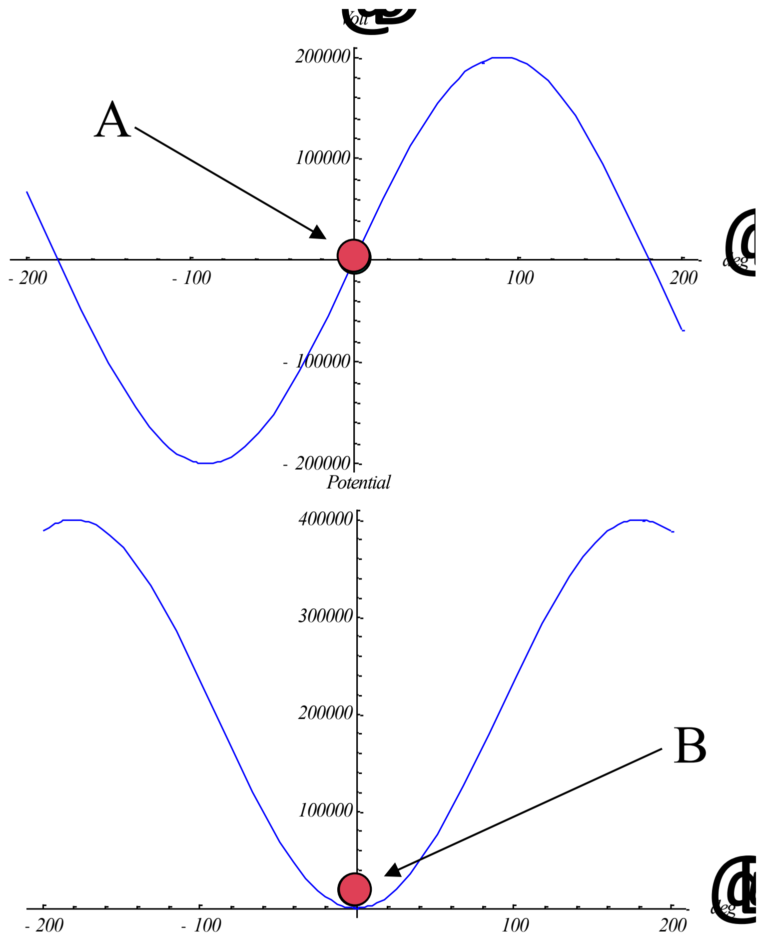
The Potential Well (4)



Cavity voltage

Potential well

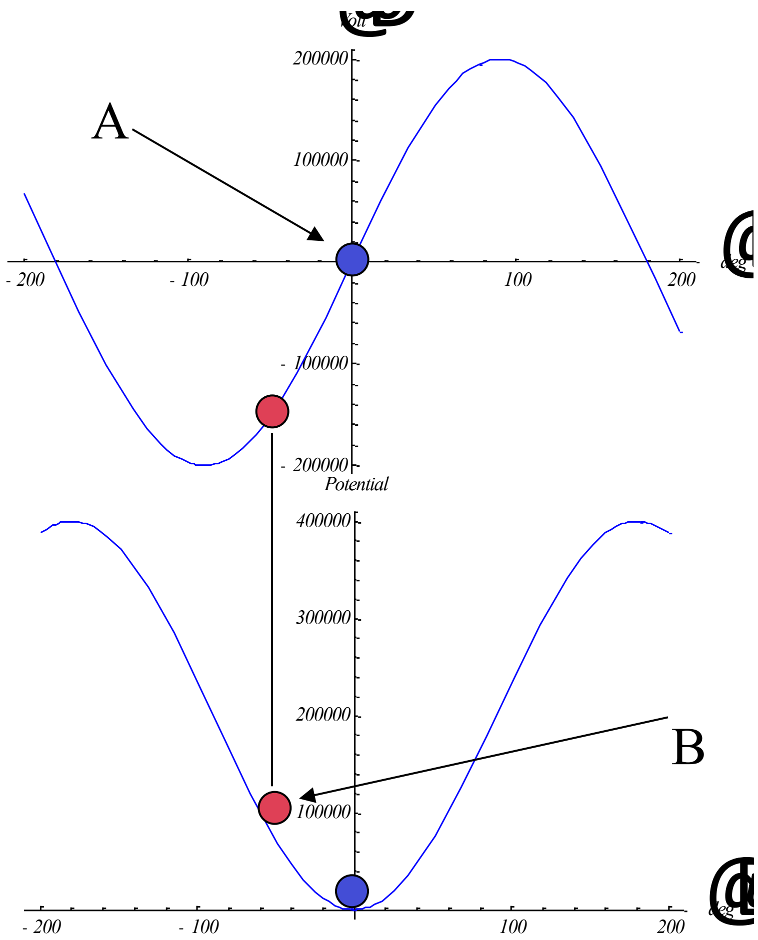
The Potential Well (5)



Cavity voltage

Potential well

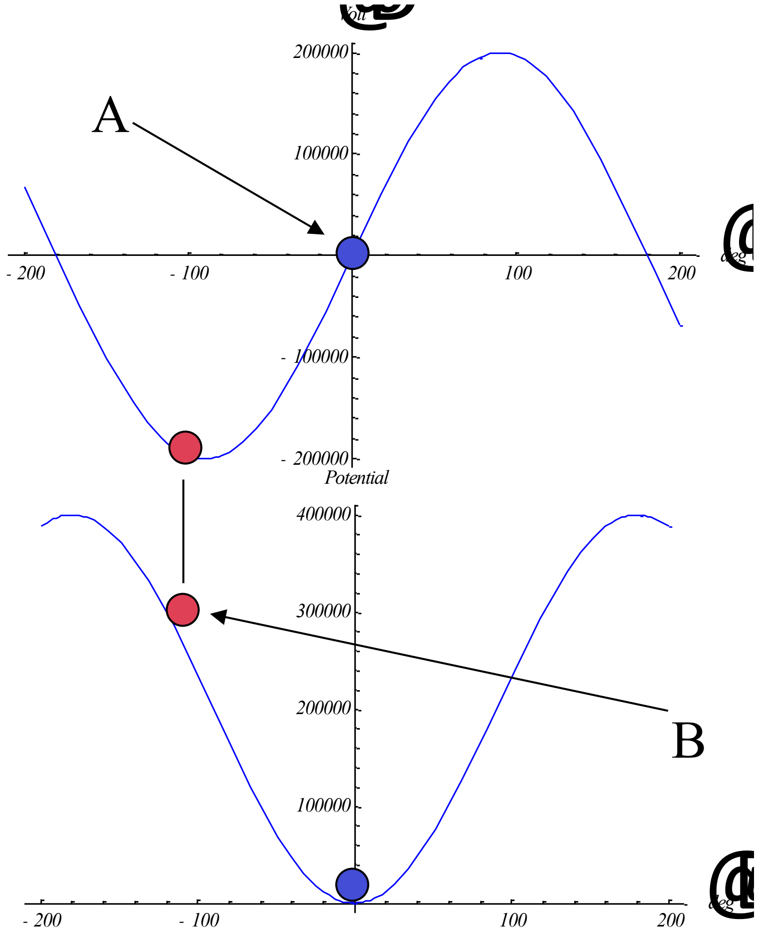
The Potential Well (6)



Cavity voltage

Potential well

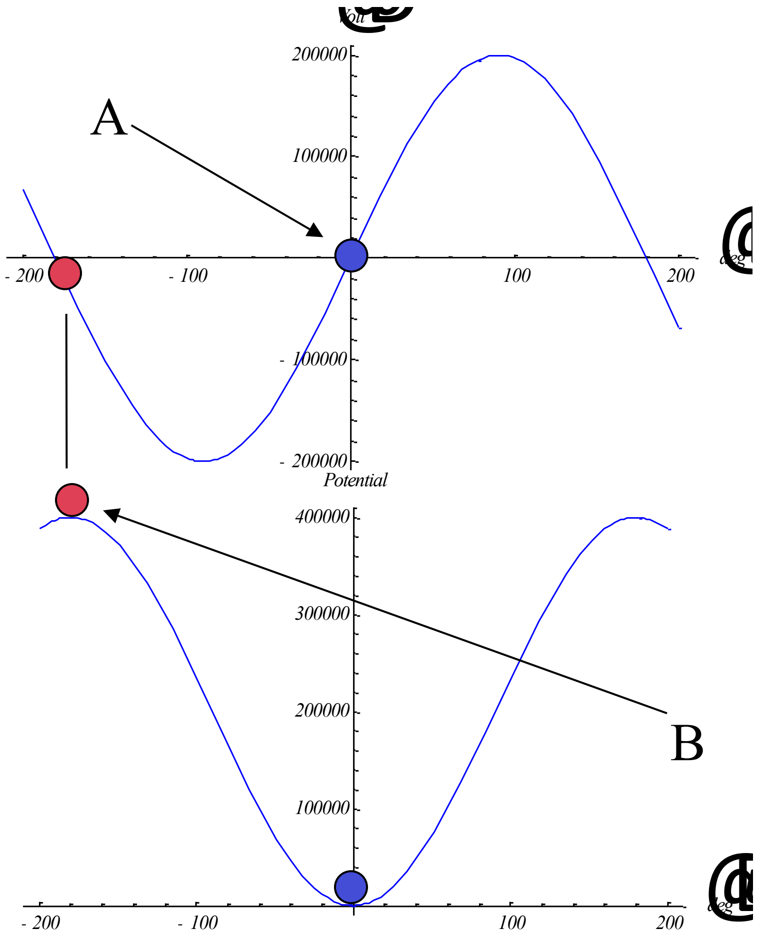
The Potential Well (7)



Cavity voltage

Potential well

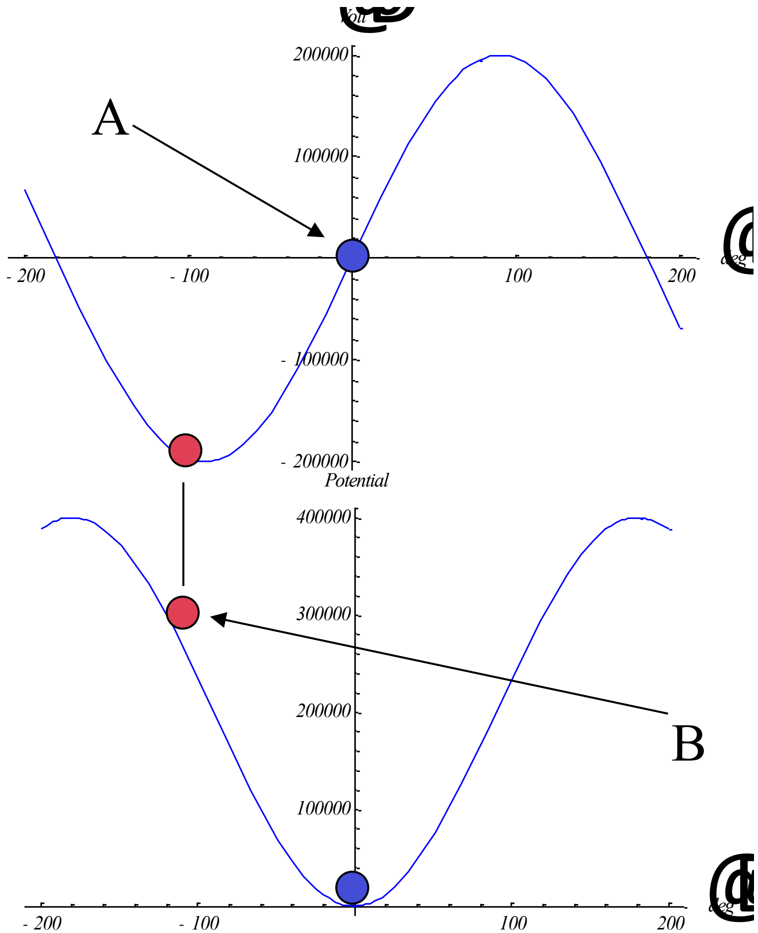
The Potential Well (8)



Cavity voltage

Potential well

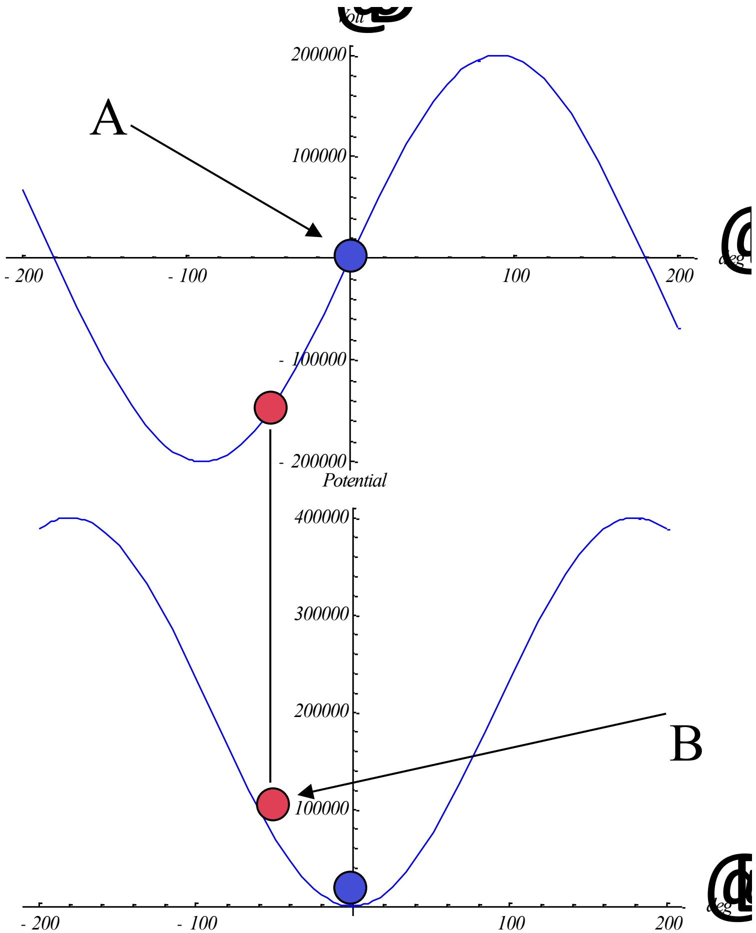
The Potential Well (9)



Cavity voltage

Potential well

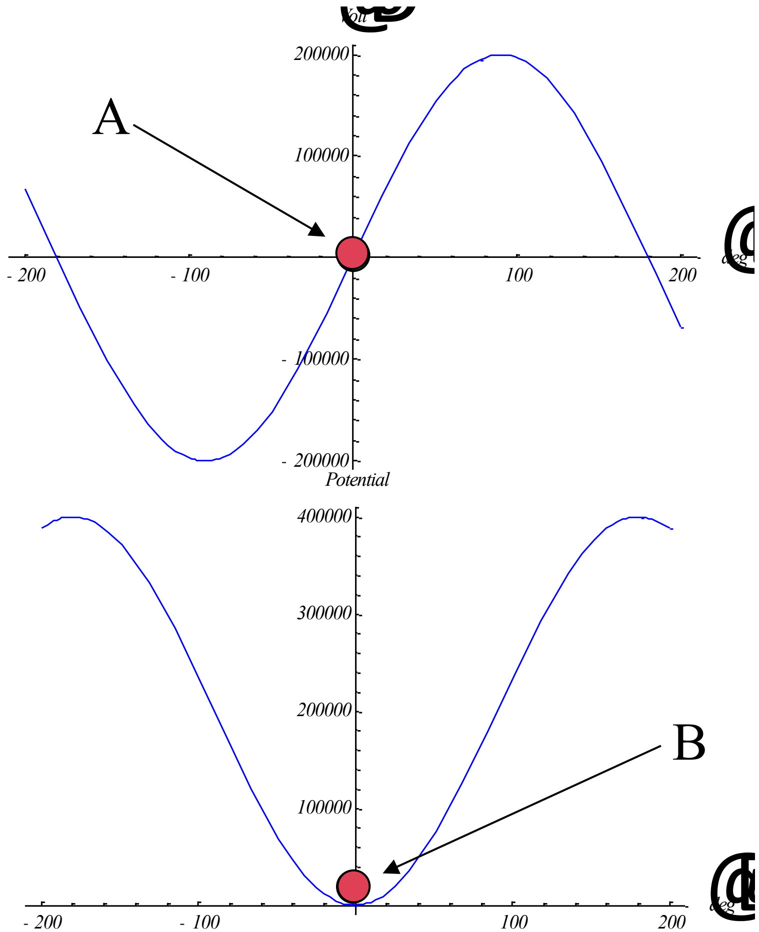
The Potential Well (10)



Cavity voltage

Potential well

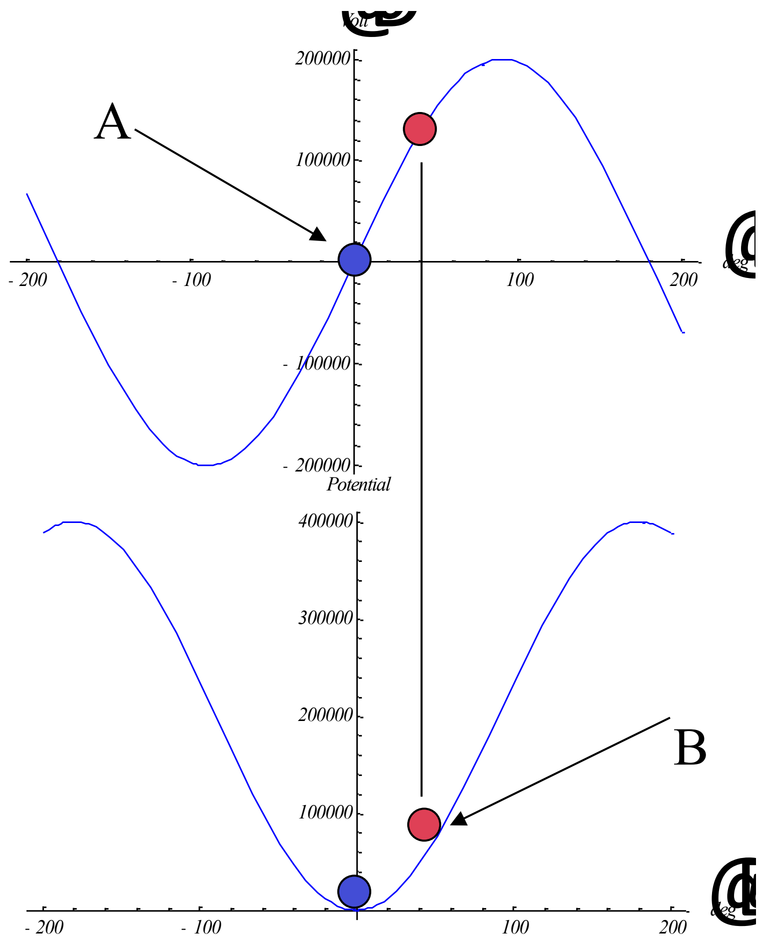
The Potential Well (11)



Cavity voltage

Potential well

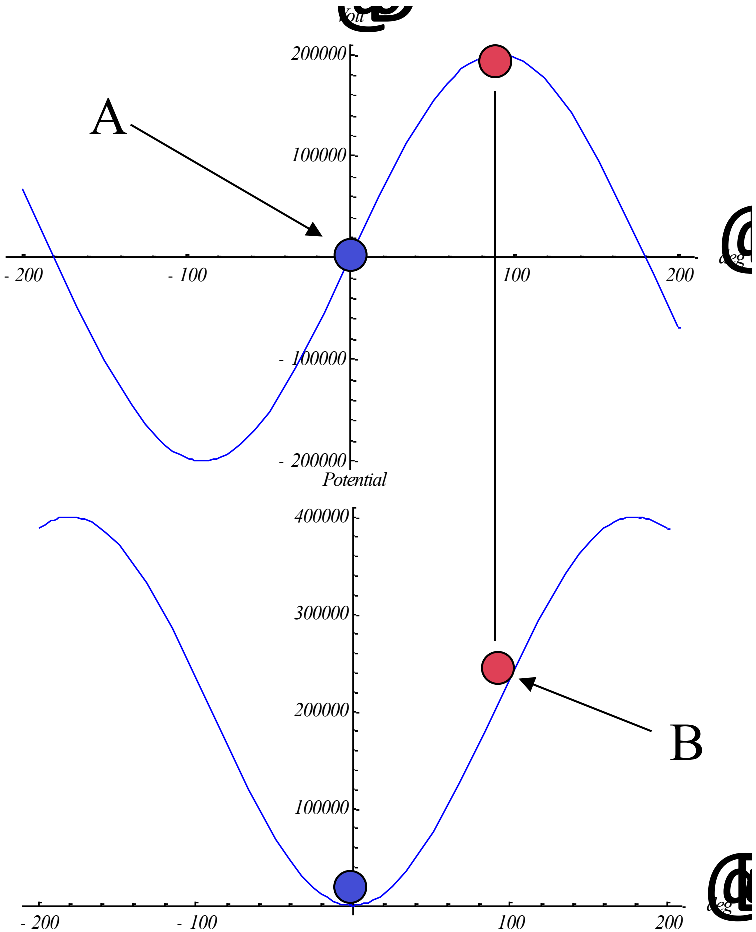
The Potential Well (12)



Cavity voltage

Potential well

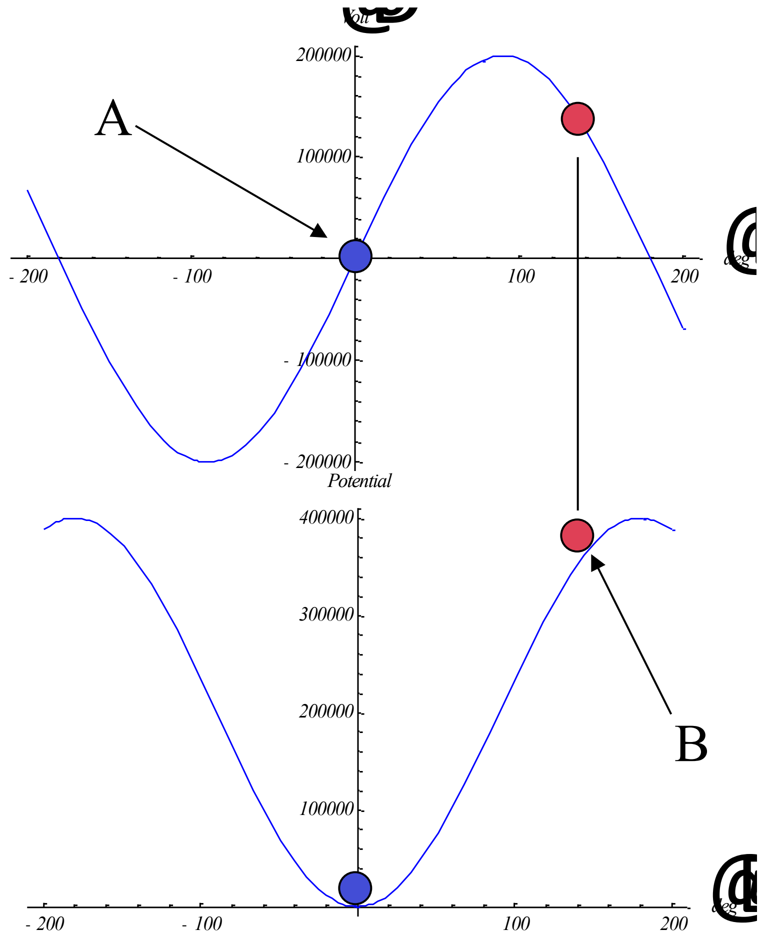
The Potential Well (13)



Cavity voltage

Potential well

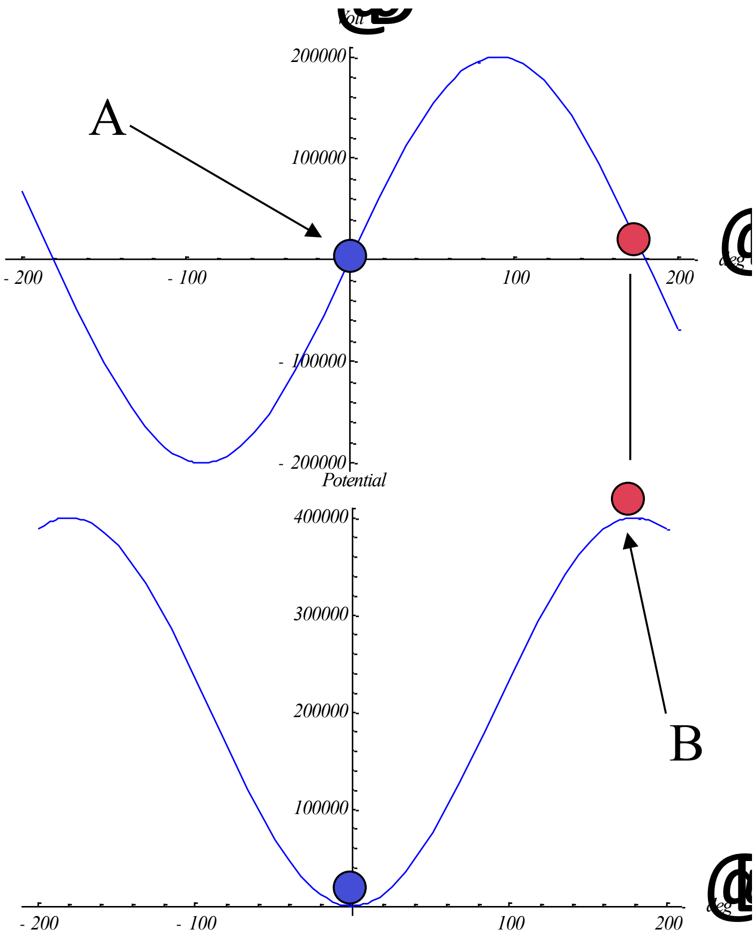
The Potential Well (14)



Cavity voltage

Potential well

The Potential Well (15)



Cavity voltage

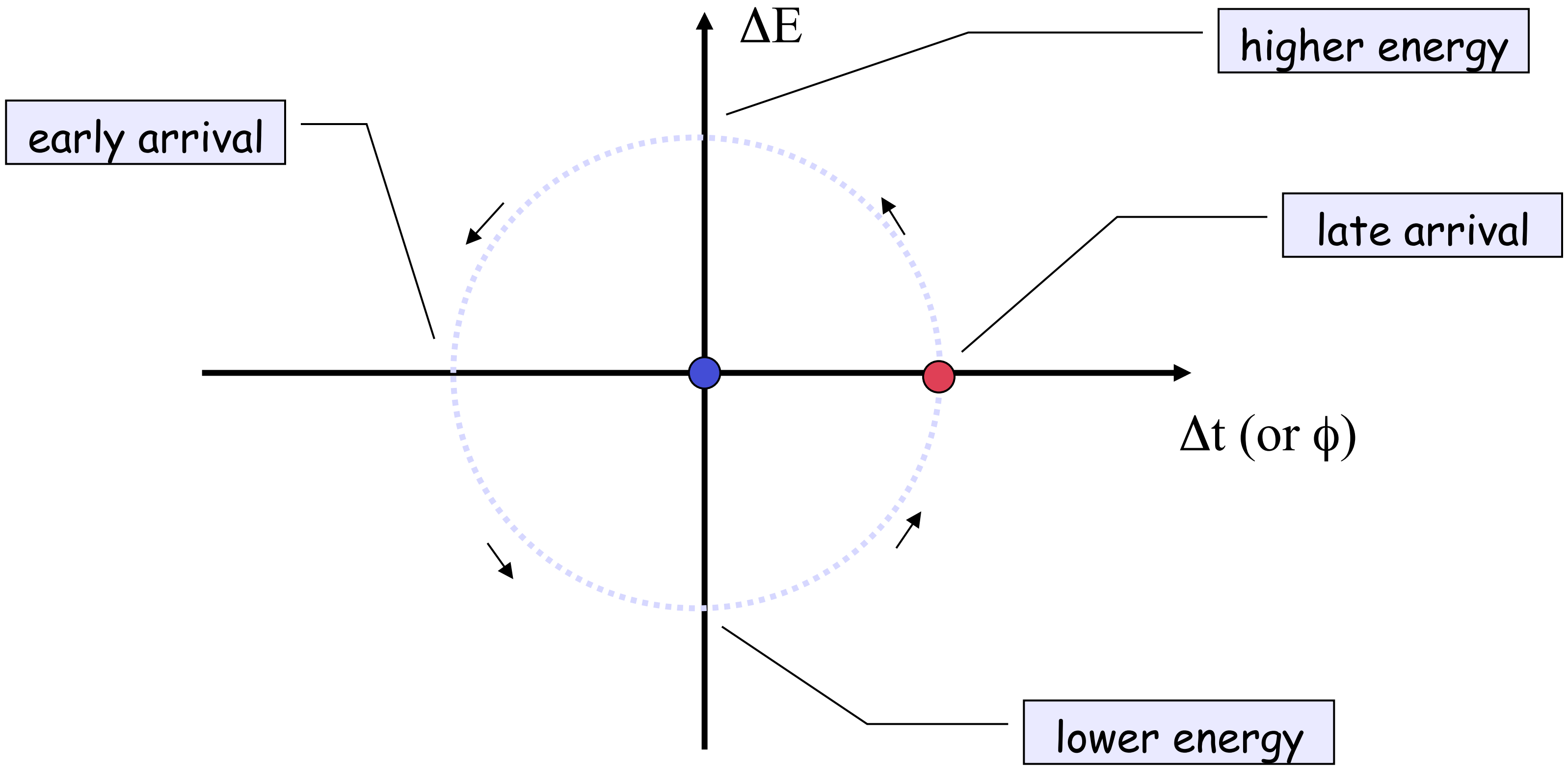
Potential well

Longitudinal Phase Space Motion (1)

Particle B oscillates around particle A

This is a synchrotron oscillation

Plotting this motion in longitudinal phase space gives:

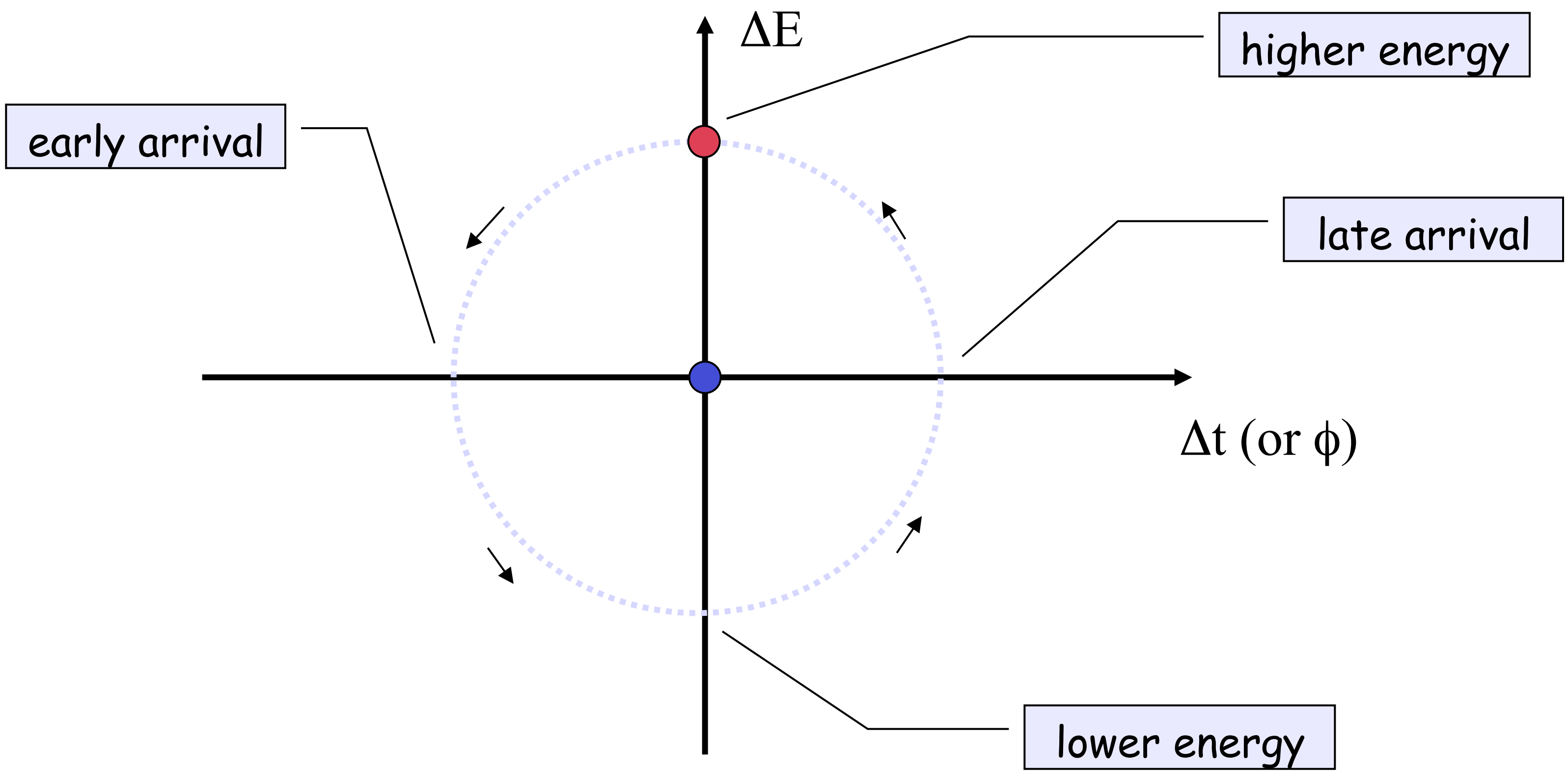


Longitudinal Phase Space Motion (2)

Particle B oscillates around particle A

This is a synchrotron oscillation

Plotting this motion in longitudinal phase space gives:

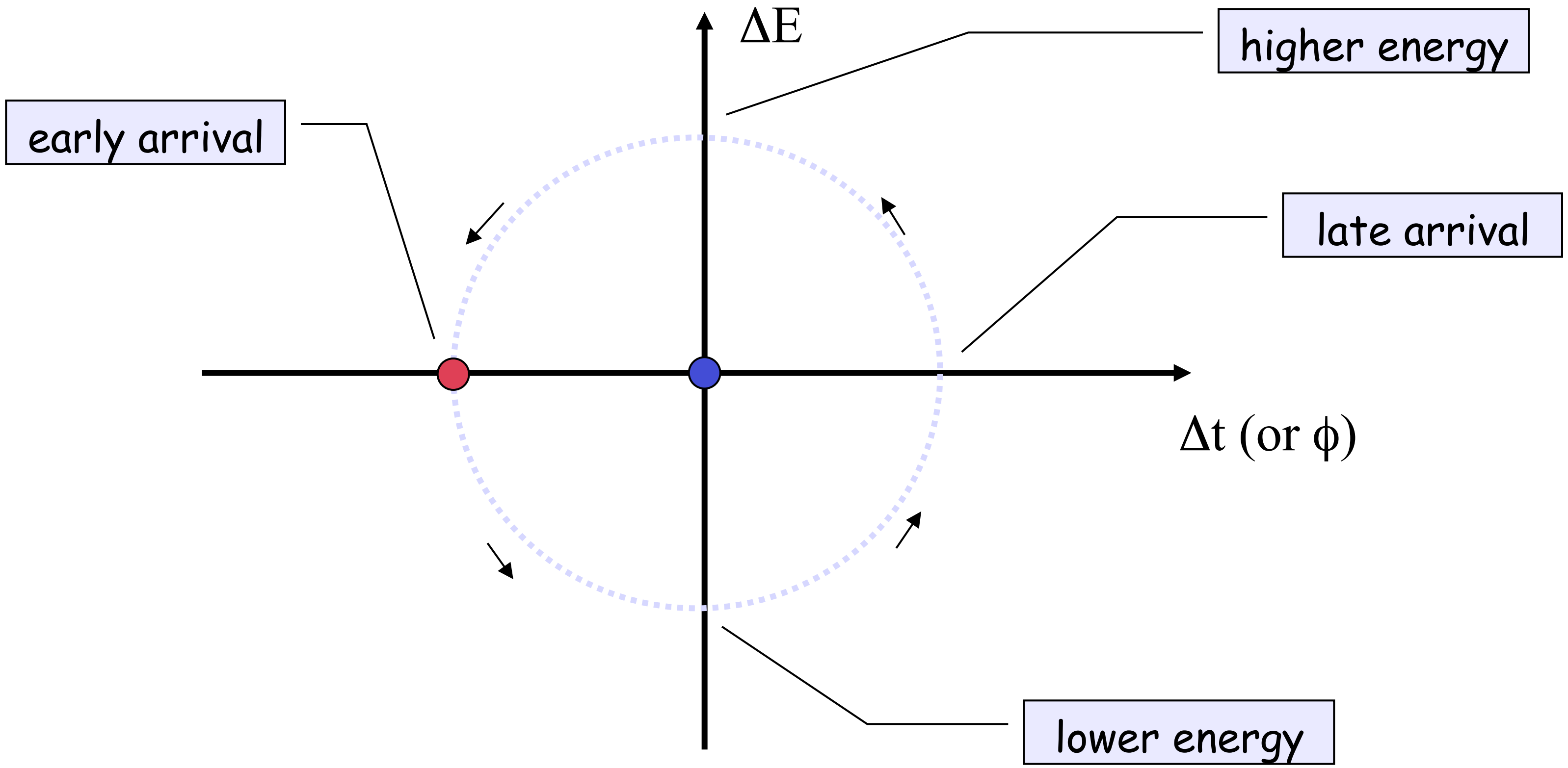


Longitudinal Phase Space Motion (3)

Particle B oscillates around particle A

This is a synchrotron oscillation

Plotting this motion in longitudinal phase space gives:

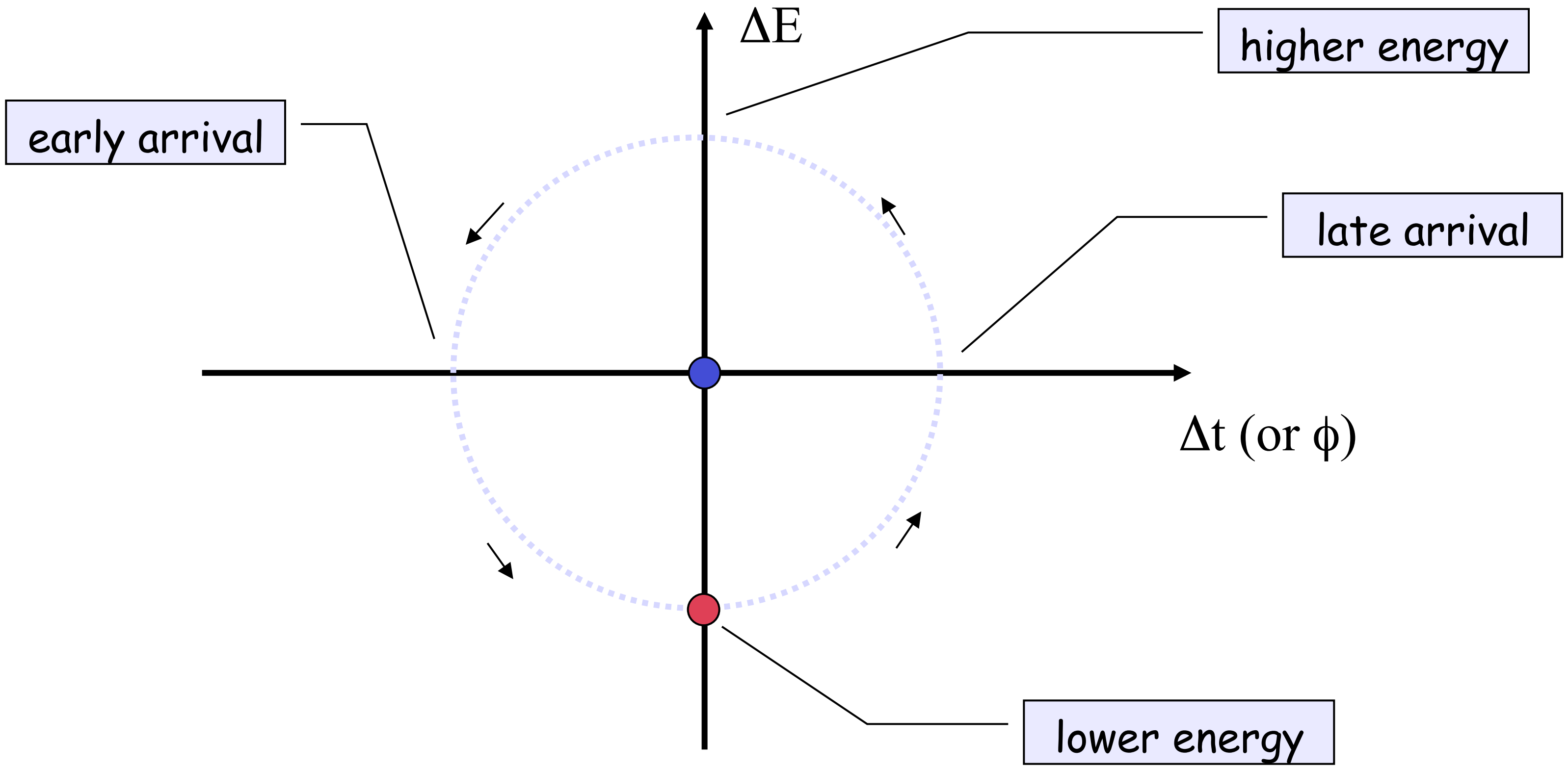


Longitudinal Phase Space Motion (4)

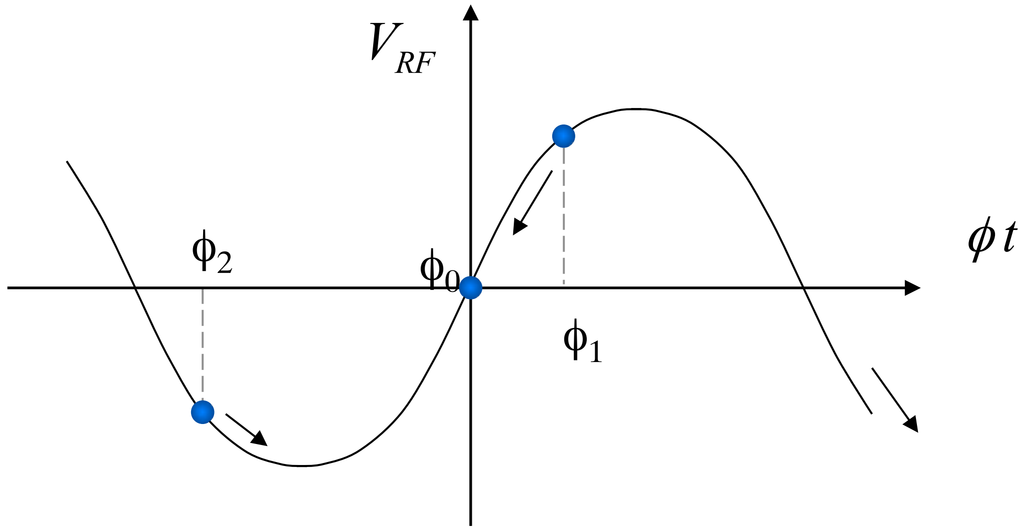
Particle B oscillates around particle A

This is a synchrotron oscillation

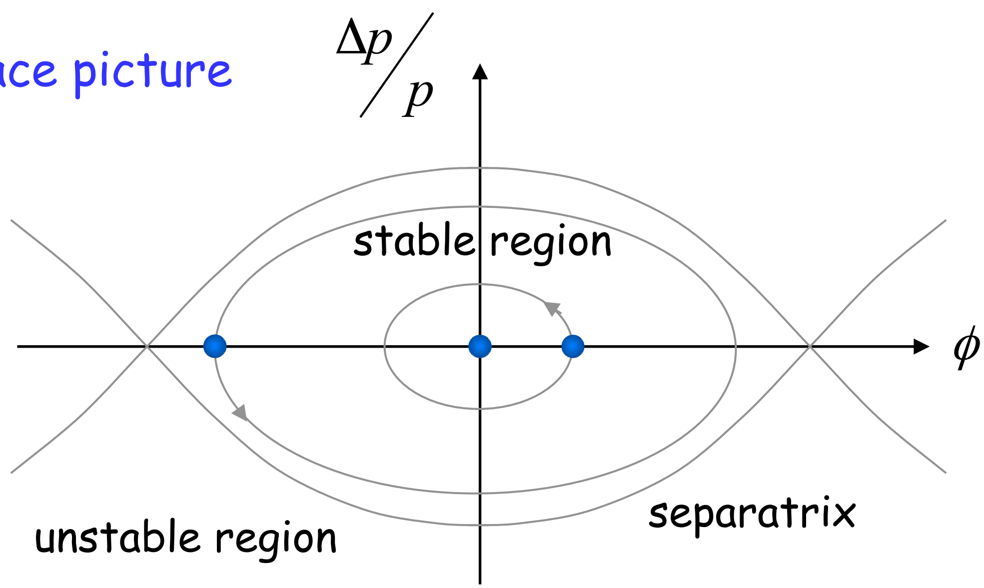
Plotting this motion in longitudinal phase space gives:



Synchrotron oscillations

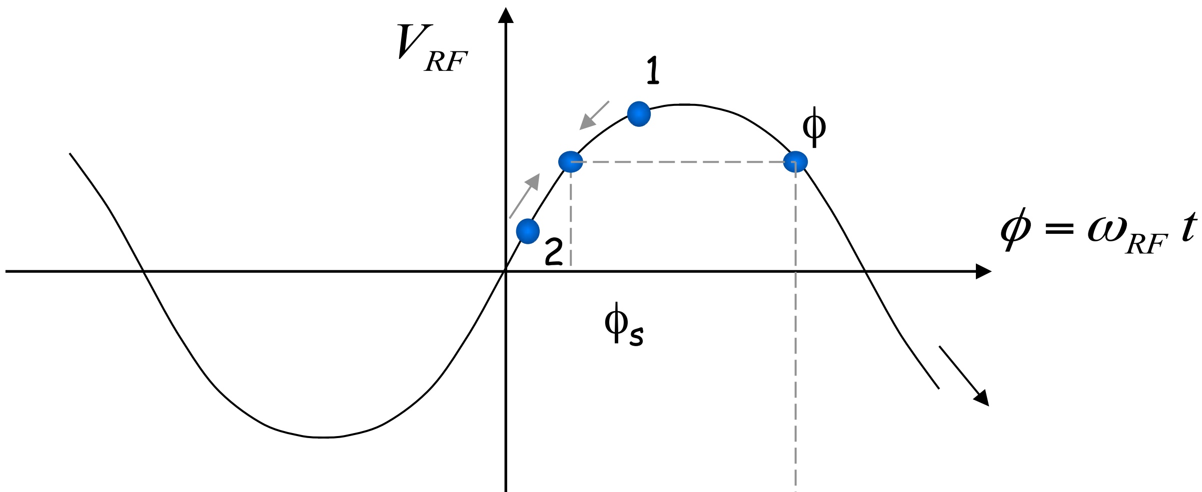


Phase space picture



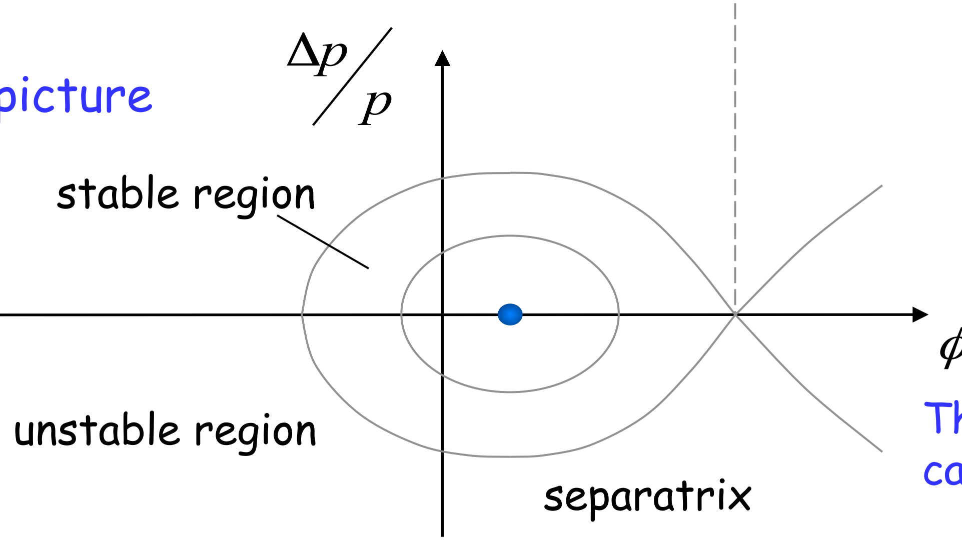
Synchrotron oscillations (with acceleration)

Case with acceleration *B increasing* $\gamma < \gamma_{tr}$



$$\phi_s < \phi < \pi - \phi_s$$

Phase space picture

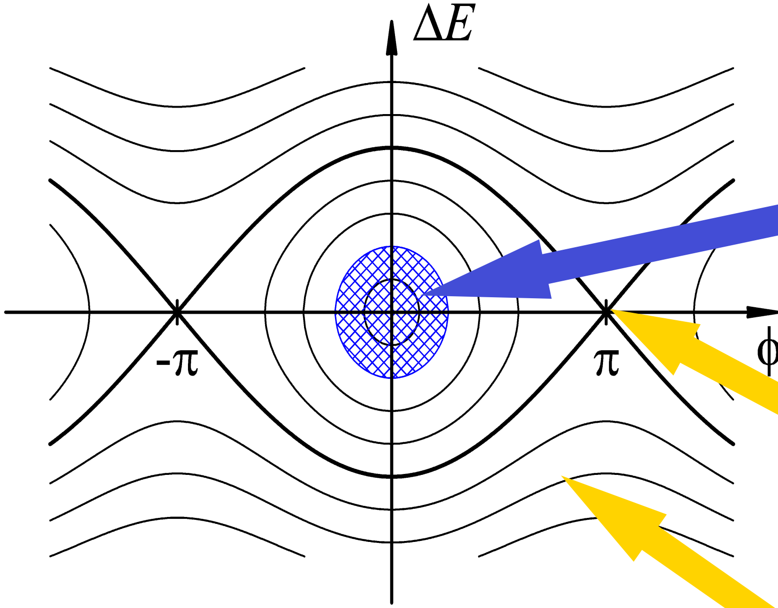


The symmetry of the case B = const. is lost

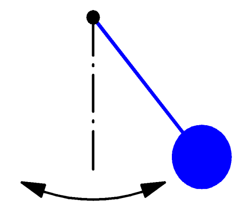
Synchrotron motion in phase space

Dynamics of a particle
Non-linear, conservative oscillator → e.g. pendulum

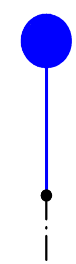
ΔE-ϕ **phase space** of a stationary bucket



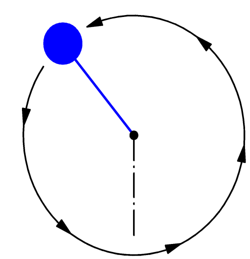
Particle **inside the separatrix:**



Particle at the **unstable fix-point**



Particle **outside the separatrix:**



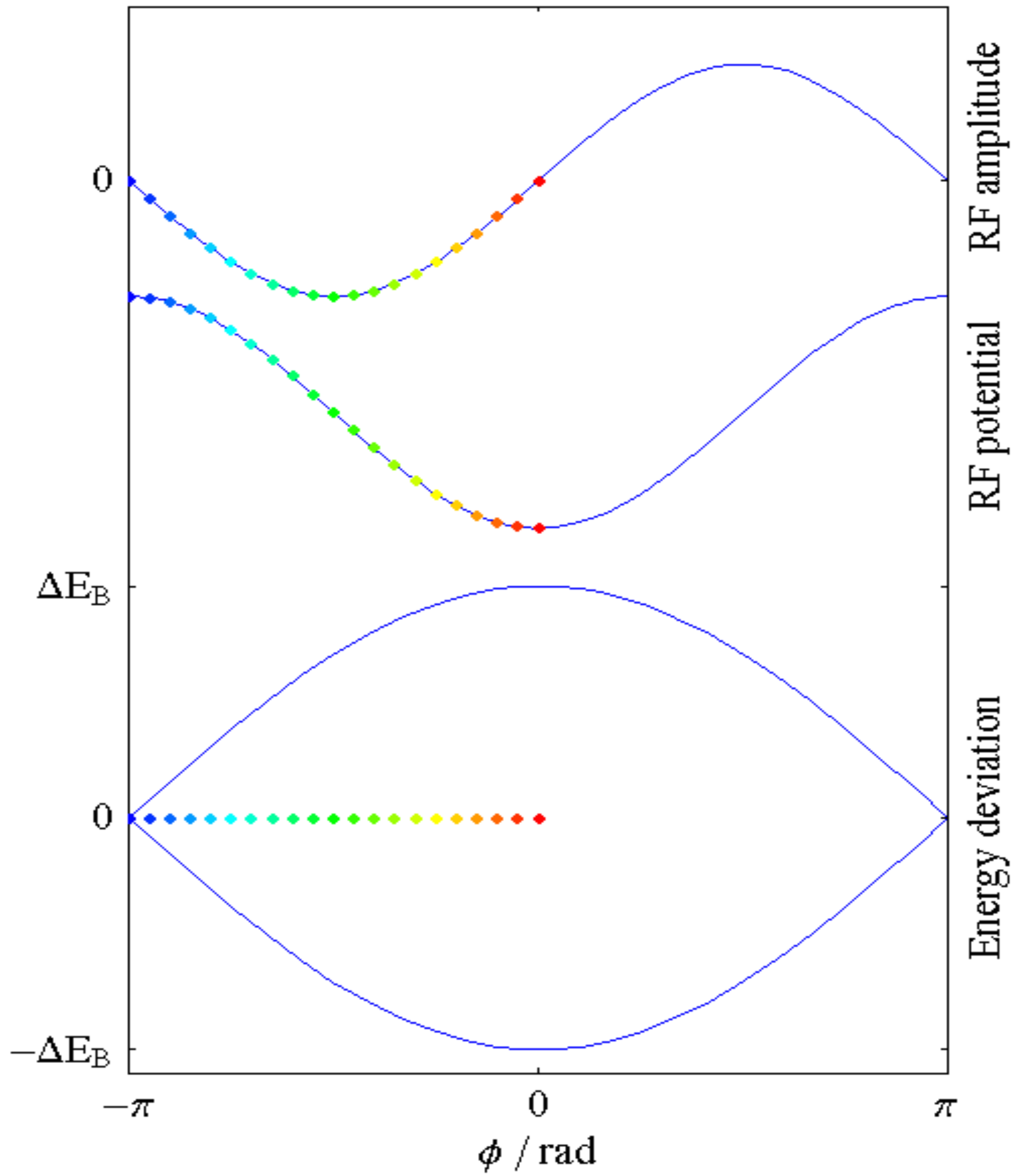
Bucket area: area enclosed by the separatrix

The area covered by particles is the longitudinal emittance

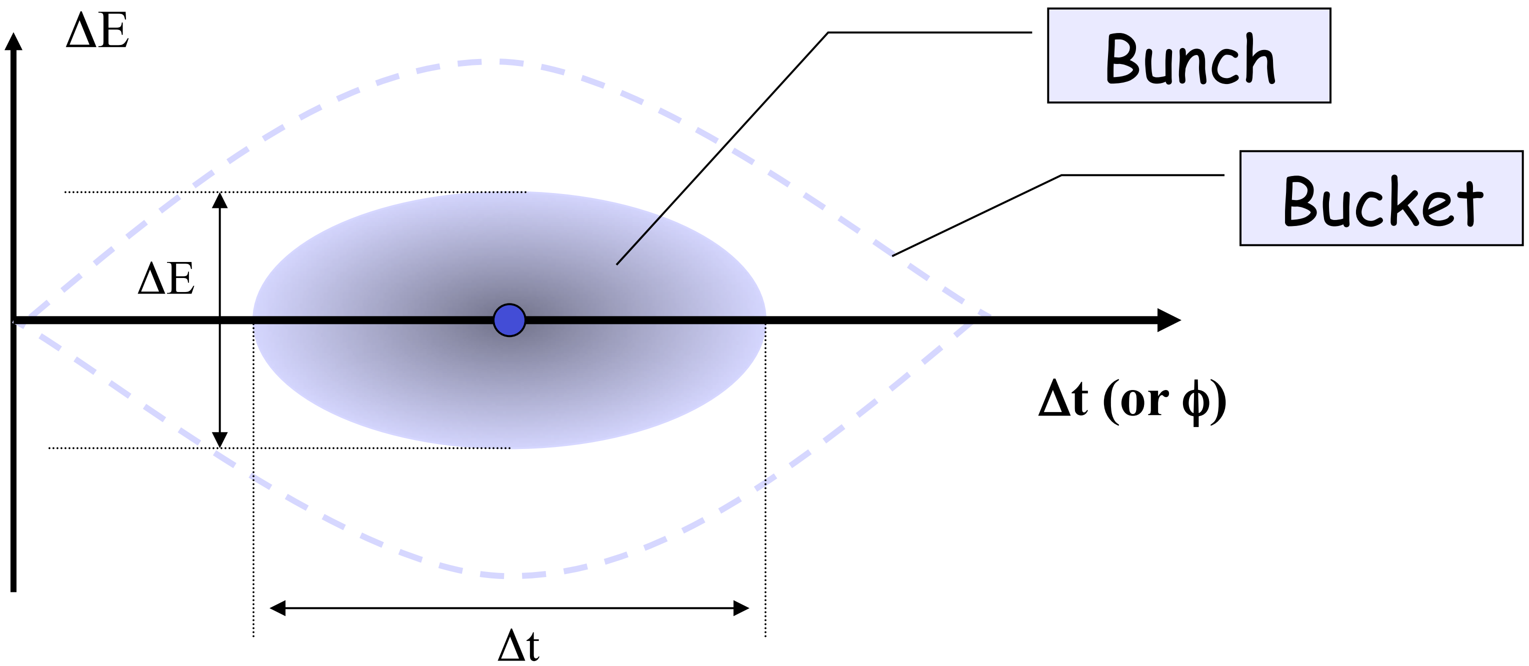
Synchrotron motion in phase space

The restoring force is non-linear.
⇒ speed of motion depends on position in phase-space

(here shown for a stationary bucket)



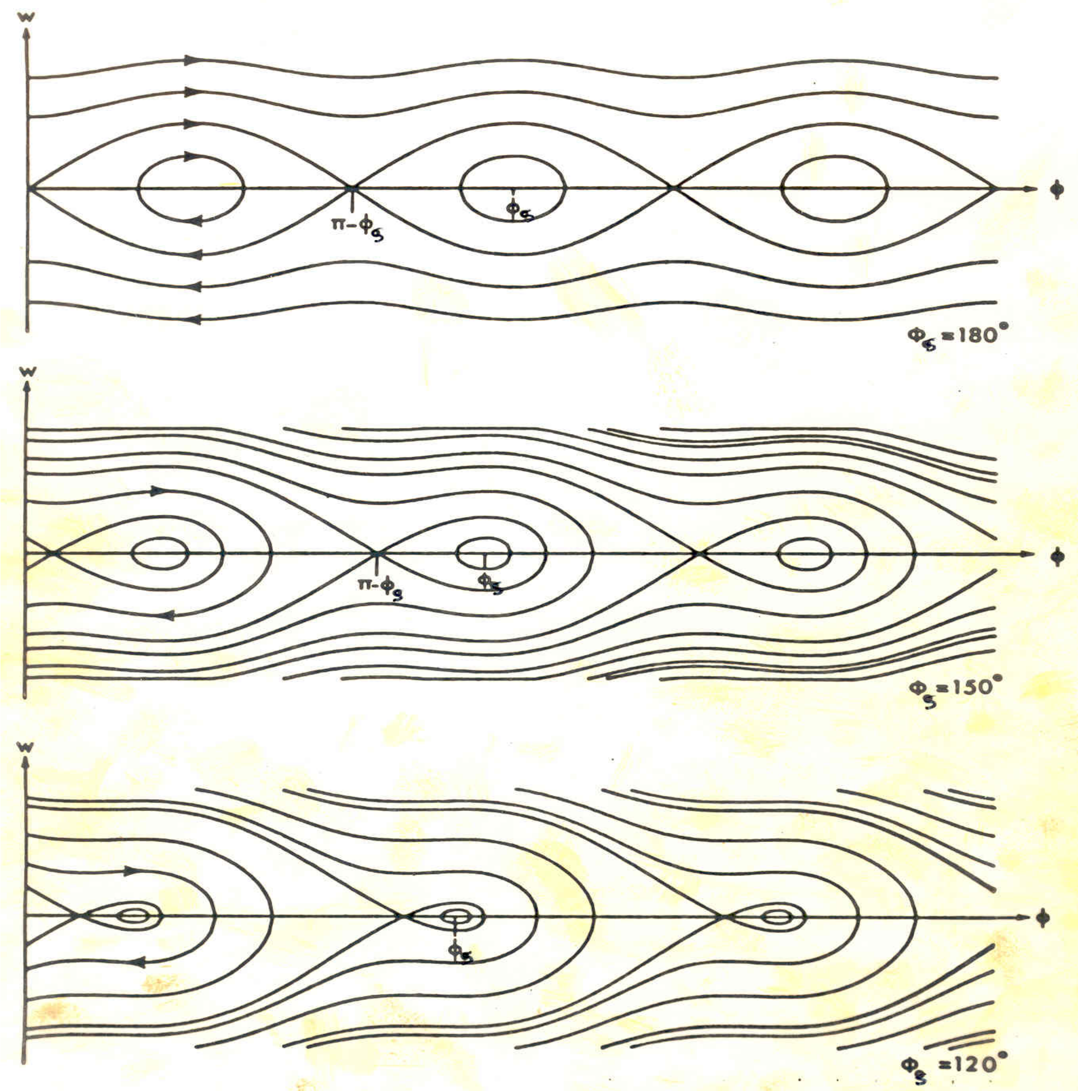
(Stationary) Bunch & Bucket



Bucket area = longitudinal Acceptance [eVs]

Bunch area = longitudinal beam emittance = $\pi.\Delta E.\Delta t/4$ [eVs]

RF Acceptance versus Synchronous Phase



The areas of stable motion (closed trajectories) are called "BUCKET".

As the synchronous phase gets closer to 90° the buckets gets smaller.

The number of circulating buckets is equal to "h".

The phase extension of the bucket is maximum for ϕ_s =180° (or 0°) which correspond to no acceleration . The RF acceptance increases with the RF voltage.

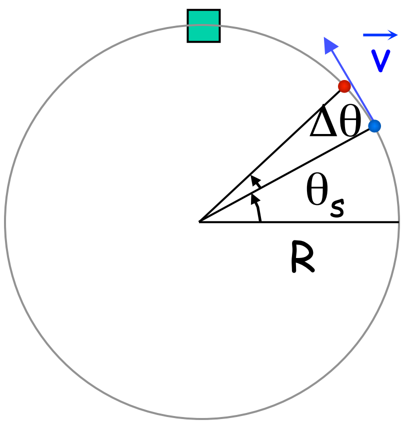
Longitudinal Dynamics in Synchrotrons

It is also often called "synchrotron motion".

The RF acceleration process clearly emphasizes two coupled variables, the energy gained by the particle and the RF phase experienced by the same particle. Since there is a well defined synchronous particle which has always the same phase ϕ_s, and the nominal energy E_s, it is sufficient to follow other particles with respect to that particle.
So let's introduce the following reduced variables:

revolution frequency :	$\Delta f_r = f_r - f_{rs}$
particle RF phase :	$\Delta\phi = \phi - \phi_s$
particle momentum :	$\Delta p = p - p_s$
particle energy :	$\Delta E = E - E_s$
azimuth angle :	$\Delta\theta = \theta - \theta_s$

First Energy-Phase Equation



$$f_{RF} = h f_r \quad \Rightarrow \quad \Delta\phi = -h\,\Delta\theta \quad with \quad \theta = \int \omega_r\, dt$$

particle ahead arrives earlier
=> smaller RF phase

For a given particle with respect to the reference one:

$$\Delta\omega_r = \frac{d}{dt}(\Delta\theta) = -\frac{1}{h}\frac{d}{dt}(\Delta\phi) = -\frac{1}{h}\frac{d\phi}{dt}$$

Since: $\eta = \frac{p_s}{\omega_{rs}}\left(\frac{d\omega_r}{dp}\right)_s$ and $E^2 = E_0^2 + p^2c^2$

$$\Delta E = v_s \Delta p = \omega_{rs} R_s \Delta p$$

one gets:

$$\frac{\Delta E}{\omega_{rs}} = -\frac{p_s R_s}{h\eta\omega_{rs}}\frac{d(\Delta\phi)}{dt} = -\frac{p_s R_s}{h\eta\omega_{rs}}\dot{\phi}$$

Second Energy-Phase Equation

The rate of energy gained by a particle is: $\frac{dE}{dt} = e\hat{V}\sin\phi \frac{\omega_r}{2\pi}$

The rate of relative energy gain with respect to the reference particle is then:

$$2\pi\Delta\left(\frac{\dot{E}}{\omega_r}\right) = e\hat{V}(\sin\phi - \sin\phi_s)$$

Expanding the left-hand side to first order:

$$\Delta\left(\dot{E}T_r\right) \cong \dot{E}\Delta T_r + T_{rs}\Delta\dot{E} = \Delta E\dot{T}_r + T_{rs}\Delta\dot{E} = \frac{d}{dt}\left(T_{rs}\Delta E\right)$$

leads to the second energy-phase equation:

$$2\pi\frac{d}{dt}\left(\frac{\Delta E}{\omega_{rs}}\right) = e\hat{V}\left(\sin\phi - \sin\phi_s\right)$$

Equations of Longitudinal Motion

$$\frac{\Delta E}{\omega_{rs}} = -\frac{p_s R_s}{h\eta\omega_{rs}}\frac{d(\Delta\phi)}{dt} = -\frac{p_s R_s}{h\eta\omega_{rs}}\dot{\phi}$$

$$2\pi\frac{d}{dt}\left(\frac{\Delta E}{\omega_{rs}}\right) = e\hat{V}(\sin\phi - \sin\phi_s)$$

deriving and combining

$$\frac{d}{dt}\left[\frac{R_s p_s}{h\eta\omega_{rs}}\frac{d\phi}{dt}\right] + \frac{e\hat{V}}{2\pi}(\sin\phi - \sin\phi_s) = 0$$

This second order equation is non linear. Moreover the parameters within the bracket are in general slowly varying with time.

We will study some cases in the following…

Small Amplitude Oscillations

Let's assume constant parameters R_s, p_s, ω_s and η:

$$\ddot{\phi}+\frac{\Omega_s^2}{\cos\phi_s}\left(\sin\phi-\sin\phi_s\right)=0$$

with

$$\Omega_s^2=\frac{h\eta\omega_{rs}e\hat{V}\cos\phi_s}{2\pi R_s p_s}$$

Consider now small phase deviations from the reference particle:

$$\sin\phi-\sin\phi_s=\sin\left(\phi_s+\Delta\phi\right)-\sin\phi_s\cong\cos\phi_s\Delta\phi$$

(for small $\Delta\phi$)

and the corresponding linearized motion reduces to a harmonic oscillation:

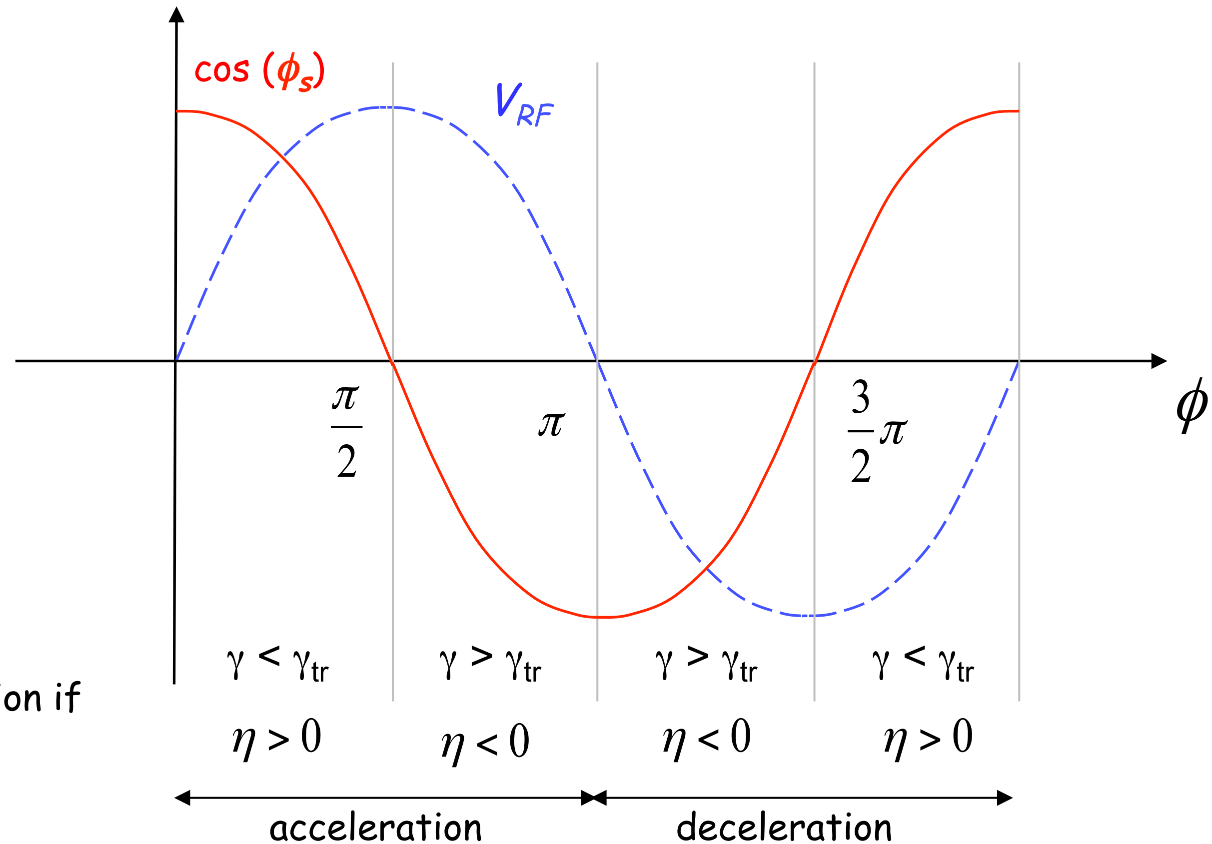
$$\ddot{\phi}+\Omega_s^2\Delta\phi=0$$

where Ω_s is the synchrotron angular frequency

Stability condition for ϕ_s

Stability is obtained when Ω_s is real and so Ω_s^2 positive:

$$\Omega_s^2 = \frac{e\hat{V}_{RF}\,\eta\, h\,\omega_s}{2\pi R_s\, p_s}\cos\phi_s \quad \Rightarrow \quad \Omega_s^2 > 0 \quad \Leftrightarrow \quad \eta\cos\phi_s > 0$$



Stable in the region if

Large Amplitude Oscillations

For larger phase (or energy) deviations from the reference the second order differential equation is non-linear:

$$\ddot{\phi} + \frac{\Omega_s^2}{\cos\phi_s}\left(\sin\phi - \sin\phi_s\right) = 0$$

(Ω_s as previously defined)

Multiplying by $\dot{\phi}$ and integrating gives an invariant of the motion:

$$\frac{\dot{\phi}^2}{2} - \frac{\Omega_s^2}{\cos\phi_s}\left(\cos\phi + \phi\sin\phi_s\right) = I$$

which for small amplitudes reduces to:

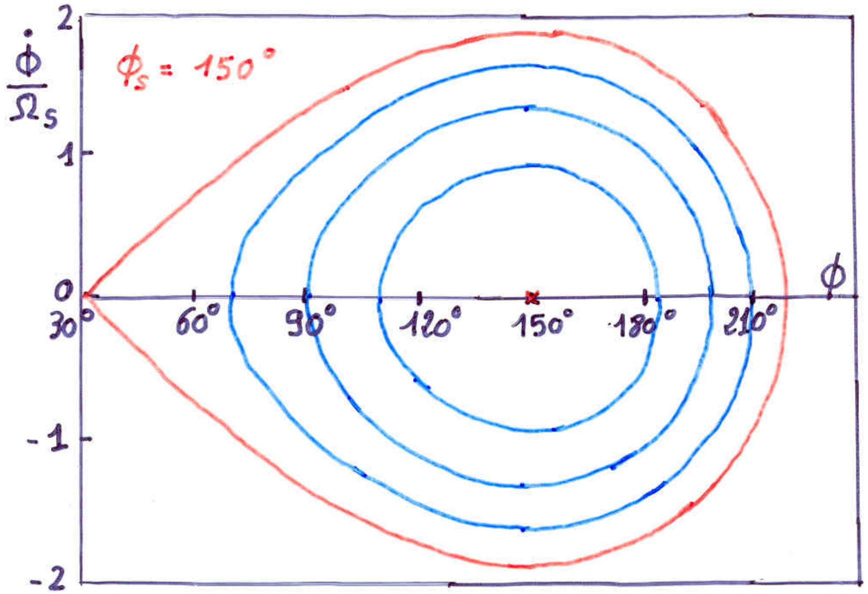
$$\frac{\dot{\phi}^2}{2} + \Omega_s^2\frac{\left(\Delta\phi\right)^2}{2} = I'$$

(the variable is $\Delta\phi$, and ϕ_s is constant)

Similar equations exist for the second variable : $\Delta E \propto d\phi/dt$

Large Amplitude Oscillations (2)

When ϕ reaches π-ϕ_s the force goes to zero and beyond it becomes non restoring.
Hence π-ϕ_s is an extreme amplitude for a stable motion which in the phase space($\frac{\dot{\phi}}{\Omega_s}, \Delta\phi$) is shown as closed trajectories.



Equation of the separatrix:

$$\frac{\dot{\phi}^2}{2} - \frac{\Omega_s^2}{\cos\phi_s}\left(\cos\phi + \phi\sin\phi_s\right) = -\frac{\Omega_s^2}{\cos\phi_s}\left(\cos(\pi - \phi_s) + (\pi - \phi_s)\sin\phi_s\right)$$

Second value ϕ_m where the separatrix crosses the horizontal axis:

$$\cos\phi_m + \phi_m \sin\phi_s = \cos(\pi - \phi_s) + (\pi - \phi_s)\sin\phi_s$$

Area within this separatrix is called "RF bucket".

Energy Acceptance

From the equation of motion it is seen that $\dot{\phi}$ reaches an extreme when $\ddot{\phi} = 0$, hence corresponding to $\phi = \phi_s$.
Introducing this value into the equation of the separatrix gives:

$$\dot{\phi}^2_{max} = 2\Omega_s^2 \left\{2 + \left(2\phi_s - \pi\right)\tan\phi_s\right\}$$

That translates into an acceptance in energy:

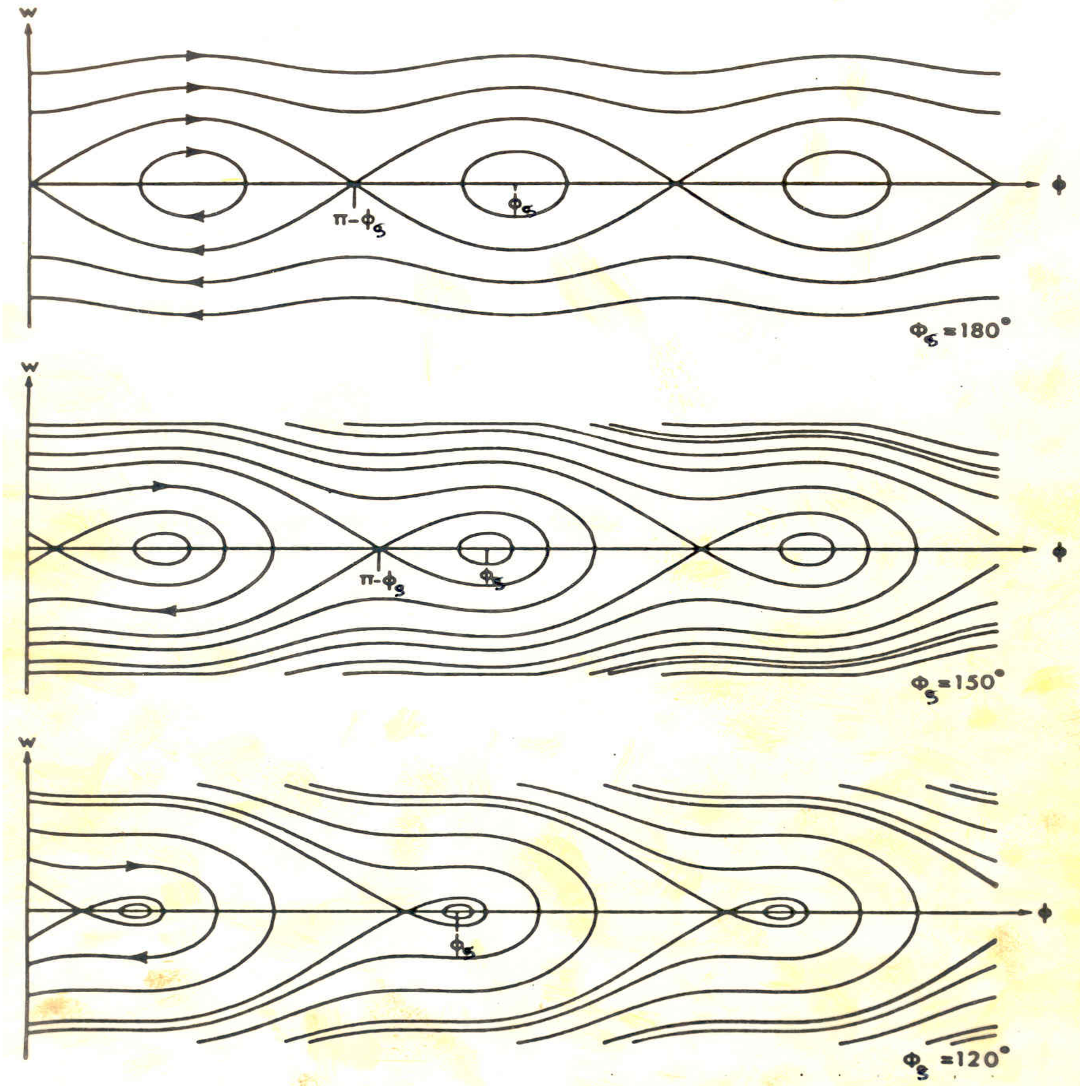
$$\left(\frac{\Delta E}{E_s}\right)_{max} = \mp\beta\sqrt{-\frac{e\hat{V}}{\pi h \eta E_s}G\left(\phi_s\right)}$$

$$G\left(\phi_s\right) = \left[2\cos\phi_s + \left(2\phi_s - \pi\right)\sin\phi_s\right]$$

This "RF acceptance" depends strongly on ϕ_s and plays an important role for the capture at injection, and the stored beam lifetime.

It's largest for ϕ_s=0 and $\phi_s=\pi$ (no acceleration, depending on η).

RF Acceptance versus Synchronous Phase



The areas of stable motion (closed trajectories) are called "BUCKET".

As the synchronous phase gets closer to 90° the buckets gets smaller.

The number of circulating buckets is equal to "h".

The phase extension of the bucket is maximum for ϕ_s =180° (or 0°) which correspond to no acceleration . The RF acceptance increases with the RF voltage.

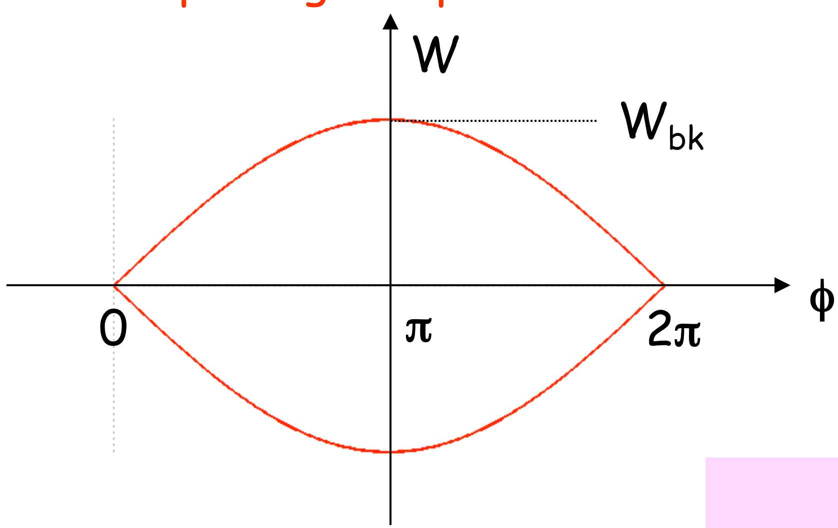
Stationnary Bucket - Separatrix

This is the case $\sin\phi_s=0$ (no acceleration) which means $\phi_s=0$ or π . The equation of the separatrix for $\phi_s=\pi$ (above transition) becomes:

$$\frac{\dot{\phi}^2}{2}+\Omega_s^2\cos\phi=\Omega_s^2$$

$$\frac{\dot{\phi}^2}{2}=2\Omega_s^2\sin^2\frac{\phi}{2}$$

Replacing the phase derivative by the (canonical) variable W:



$$W=2\pi\frac{\Delta E}{\omega_{rs}}=-2\pi\frac{p_s R_s}{h\eta\omega_{rs}}\dot{\phi}$$

and introducing the expression for Ω_s leads to the following equation for the separatrix:

$$W=\pm 2\frac{C}{c}\sqrt{\frac{-e\hat{V}E_s}{2\pi h\eta}}\sin\frac{\phi}{2}=\pm W_{bk}\sin\frac{\phi}{2}$$

with $C=2\pi R_s$

Stationnary Bucket (2)

Setting $\phi=\pi$ in the previous equation gives the height of the bucket:

$$W_{bk} = 2\frac{C}{c}\sqrt{\frac{-e\hat{V}E_s}{2\pi h\eta}}$$

This results in the maximum energy acceptance:

$$\Delta E_{\max} = \frac{\omega_{rs}}{2\pi}W_{bk} = \beta_s\sqrt{2\frac{-e\hat{V}_{RF}E_s}{\pi\eta h}}$$

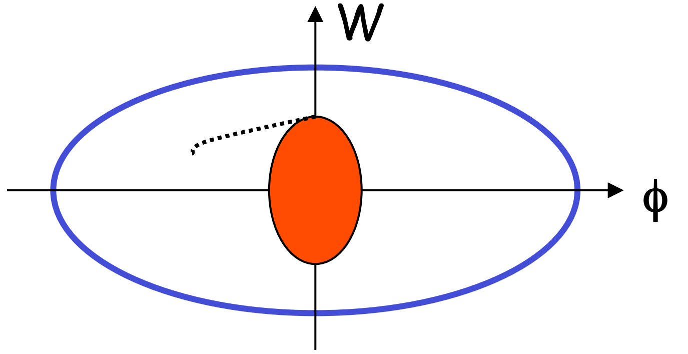
The area of the bucket is: $A_{bk} = 2\int_0^{2\pi} W\, d\phi$

Since: $\int_0^{2\pi}\sin\frac{\phi}{2}\, d\phi = 4$

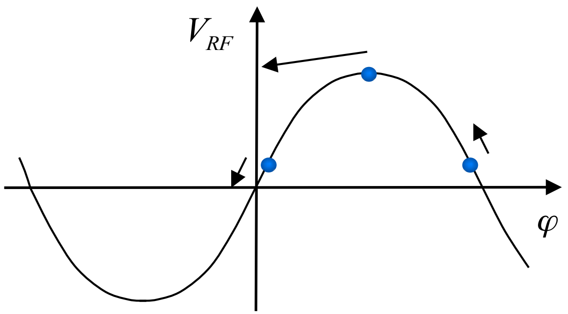
one gets: $$A_{bk} = 8W_{bk} = 16\frac{C}{c}\sqrt{\frac{-e\hat{V}E_s}{2\pi h\eta}}$$ → $$W_{bk} = \frac{A_{bk}}{8}$$

Effect of a Mismatch

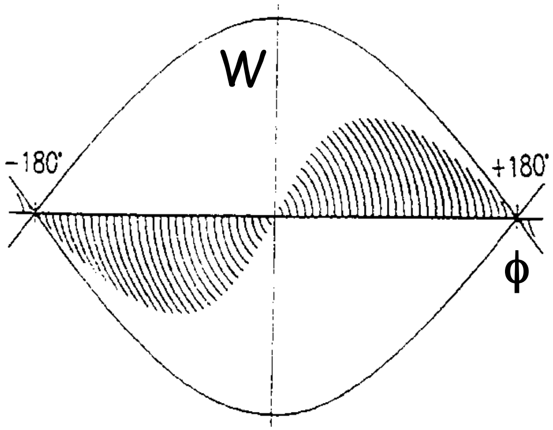
Injected bunch: short length and large energy spread
after 1/4 synchrotron period: longer bunch with a smaller energy spread.



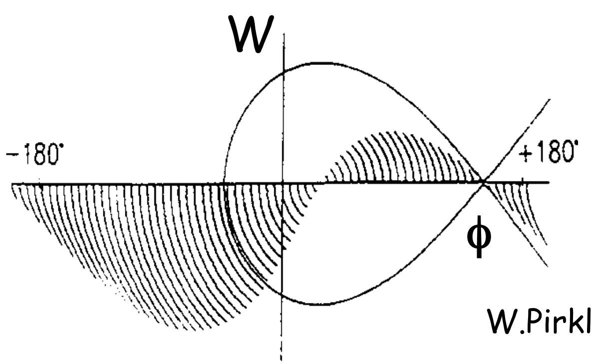
For larger amplitudes, the angular phase space motion is slower
(1/8 period shown below) => can lead to filamentation and emittance growth



restoring force is non-linear



stationary bucket

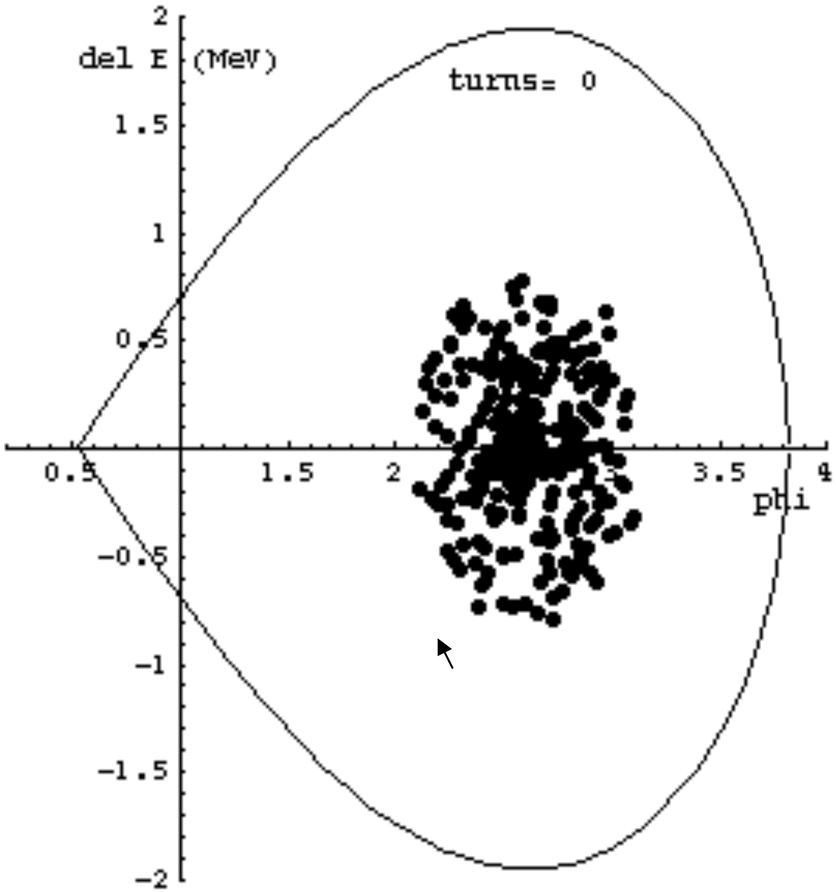


accelerating bucket

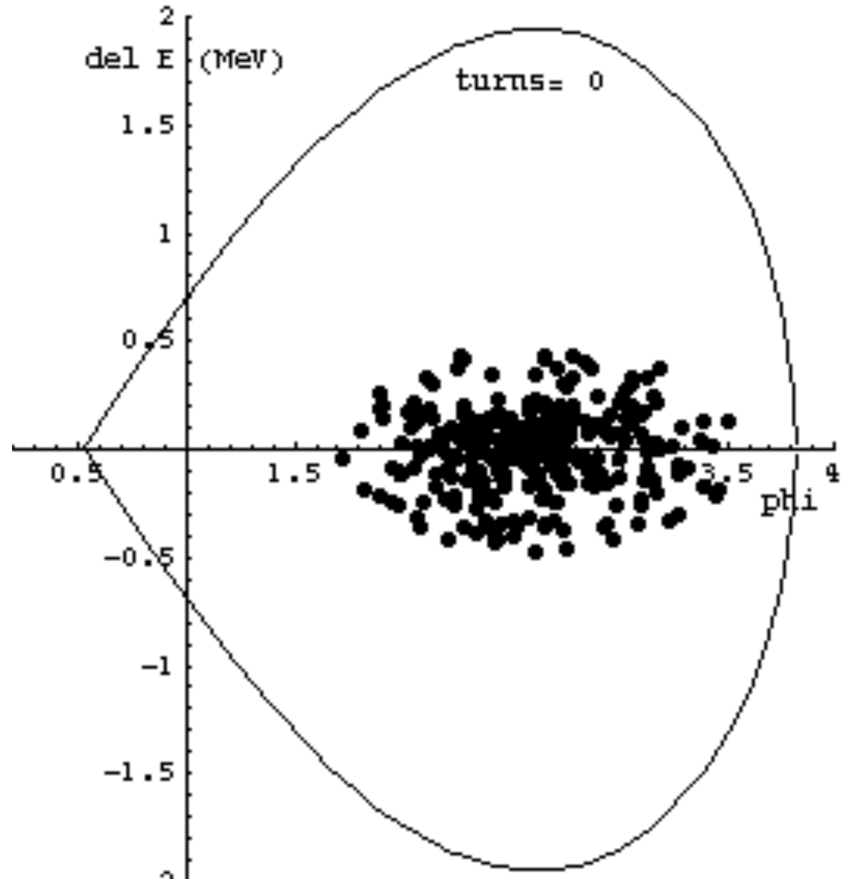
Effect of a Mismatch (2)

Evolution of an injected beam for the first 100 turns.

For a matched transfer, the emittance does not grow (left).



matched beam

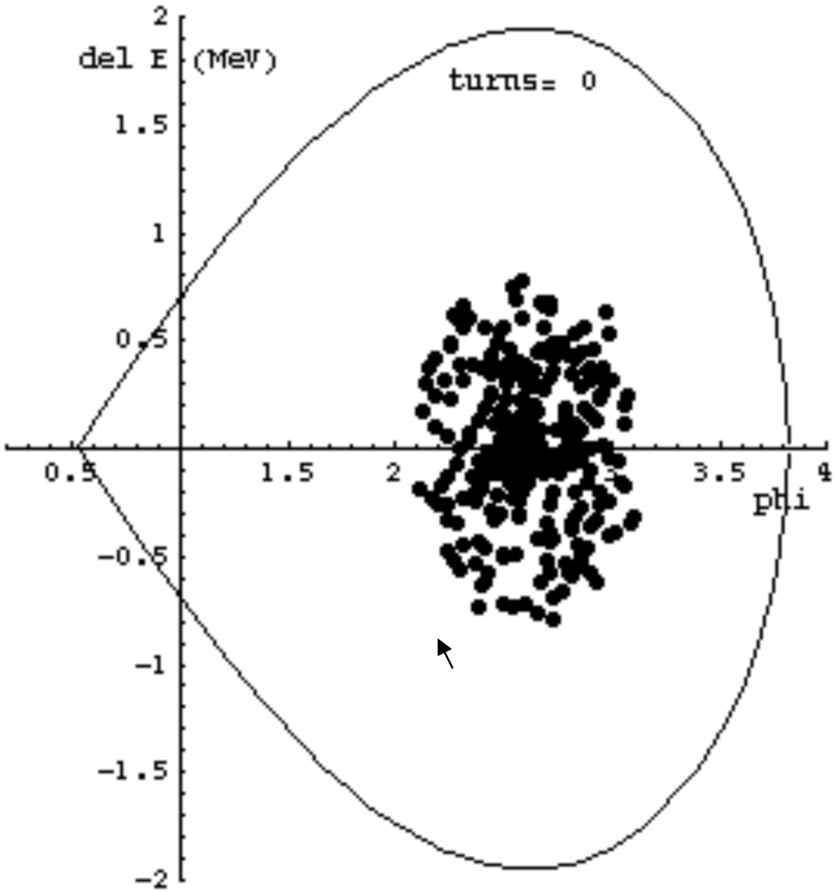


mismatched beam – bunch length

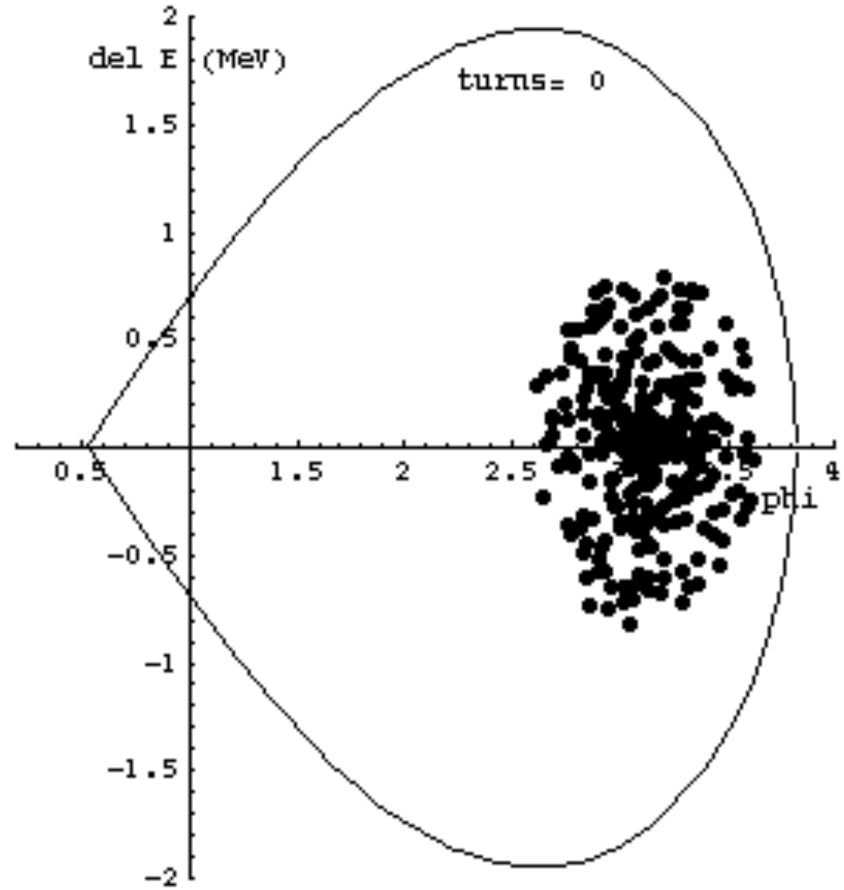
Effect of a Mismatch (3)

Evolution of an injected beam for the first 100 turns.

For a mismatched transfer, the emittance increases (right).



matched beam

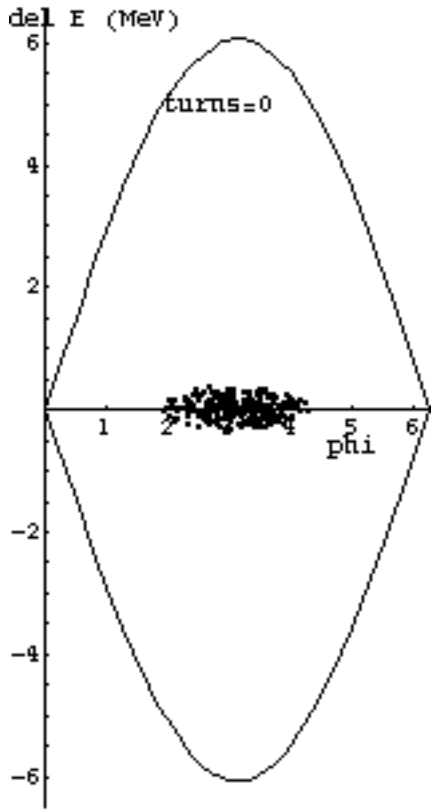


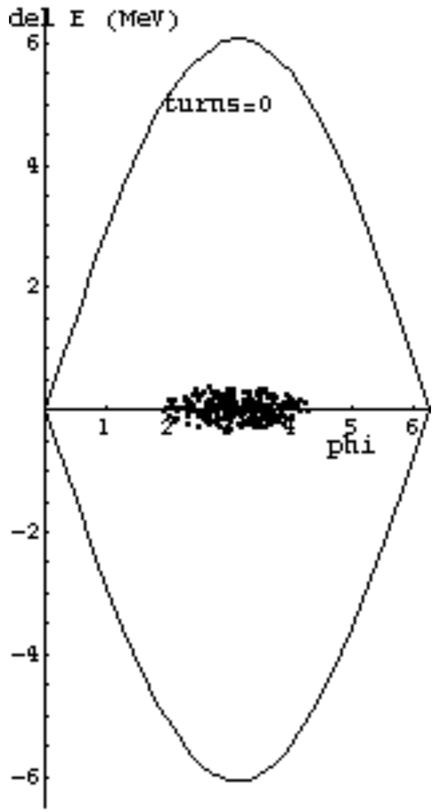
mismatched beam – phase error

Bunch Rotation

Phase space motion can be used to make short bunches.

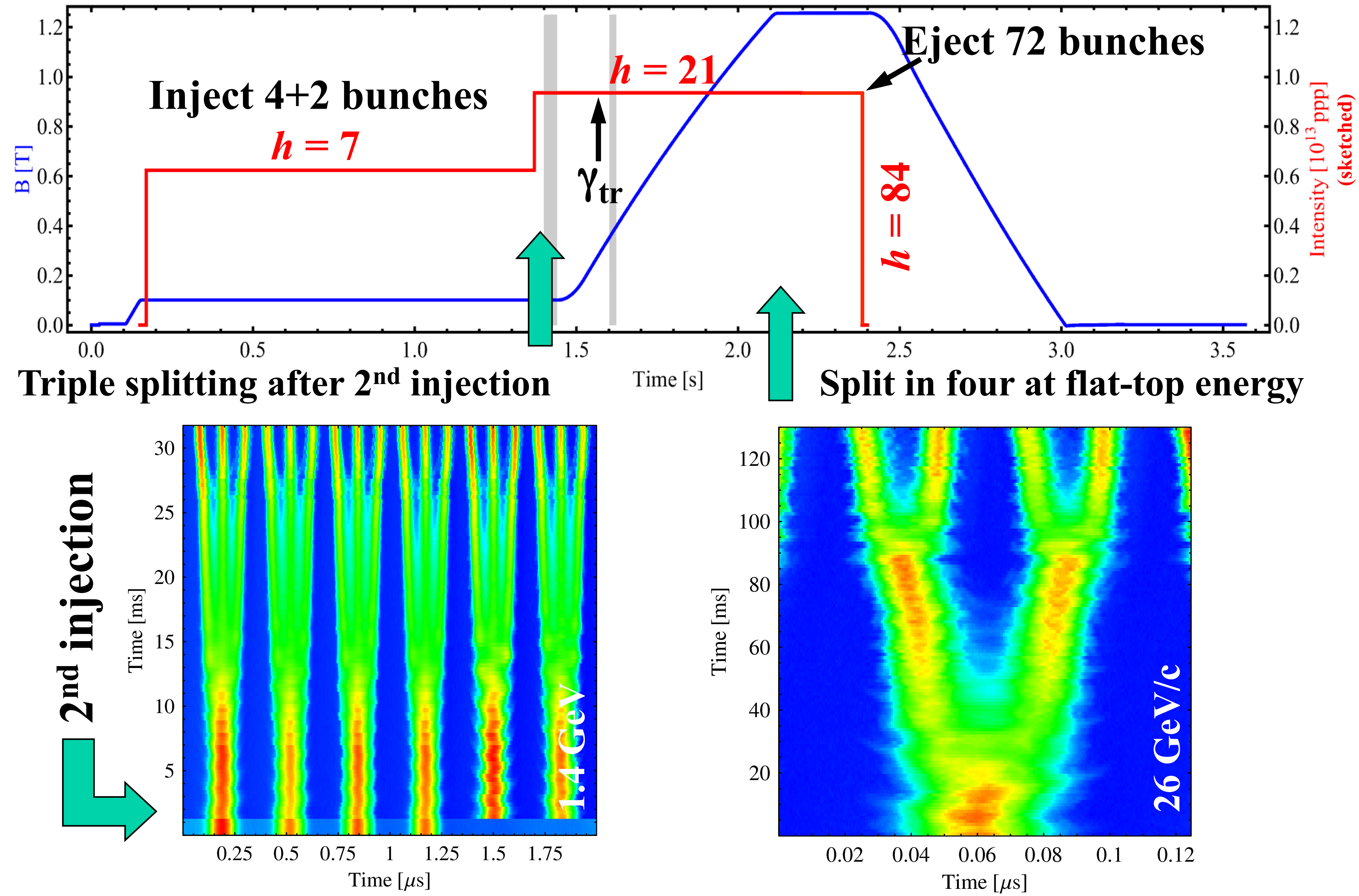
Start with a long bunch and extract or recapture when it's short.





initial beam

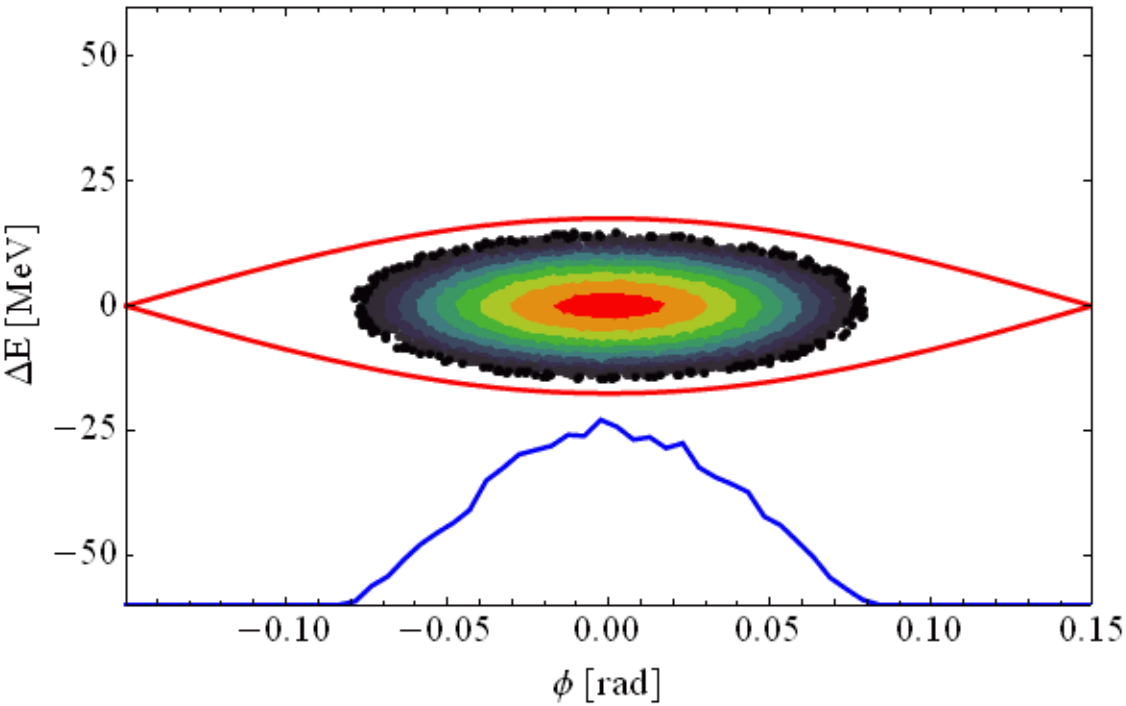
The LHC25 (ns) cycle in the PS

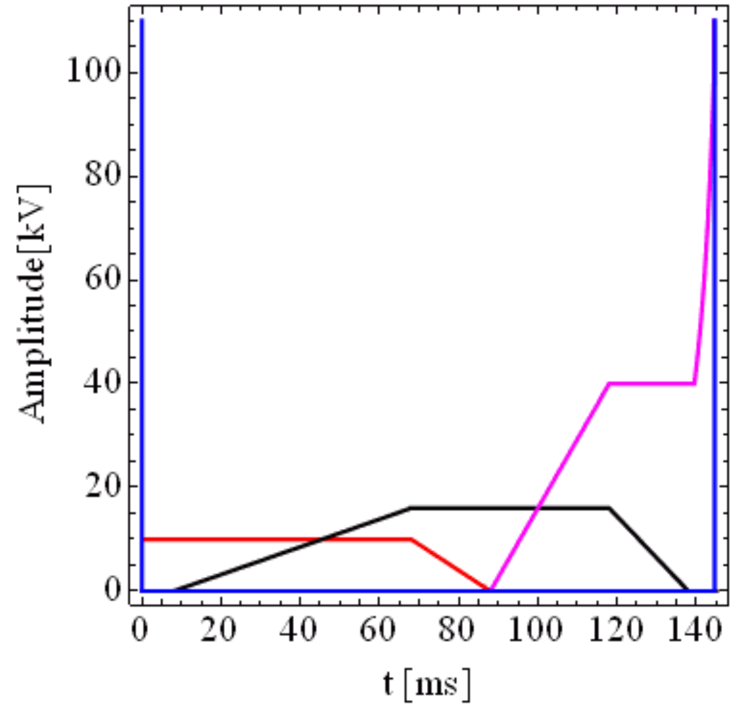


→ Each bunch from the Booster divided by 12 → 6 × 3 × 2 × 2 = 72

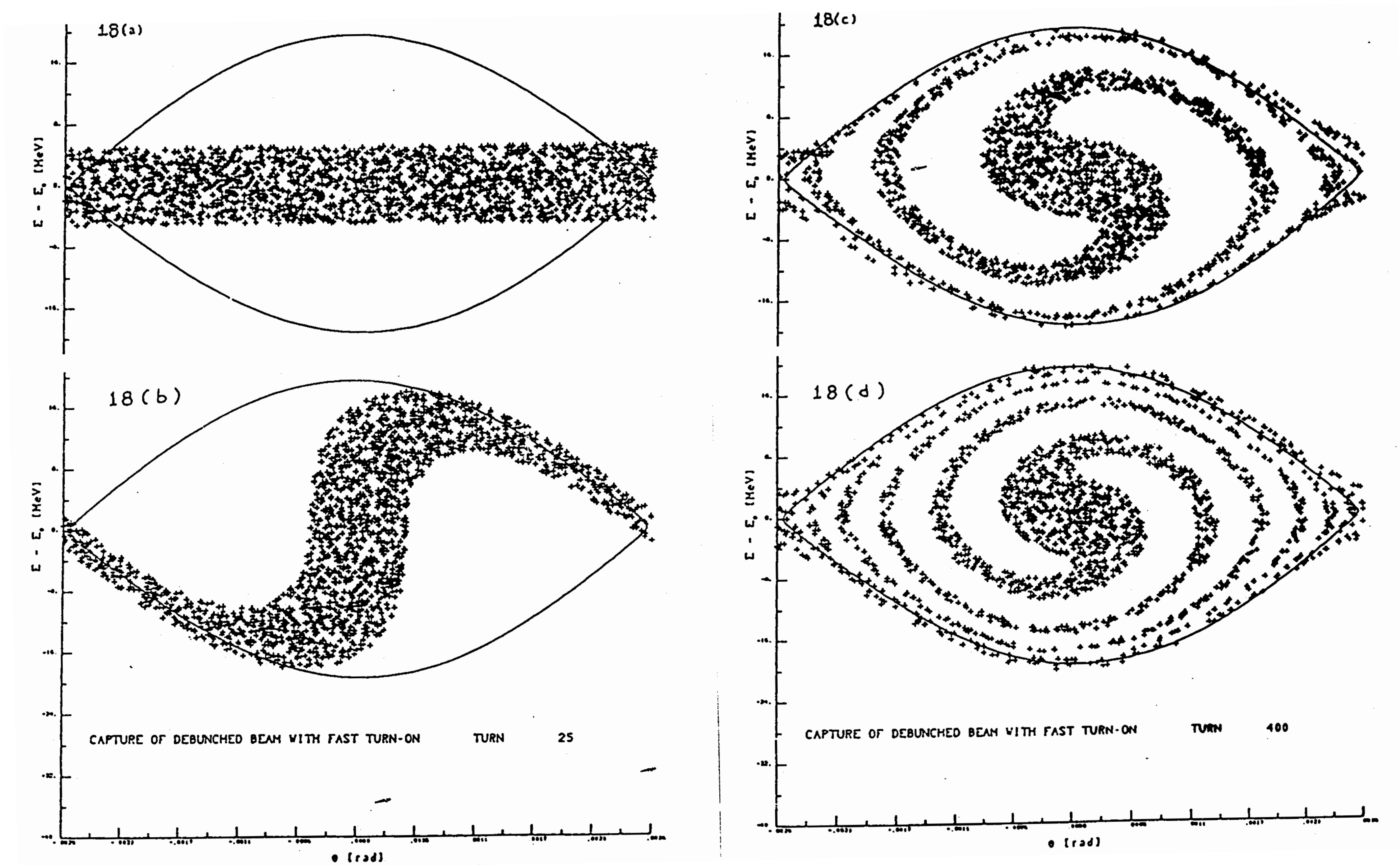
Bunch Manipulation in the PS

Two times double splitting and bunch rotation:

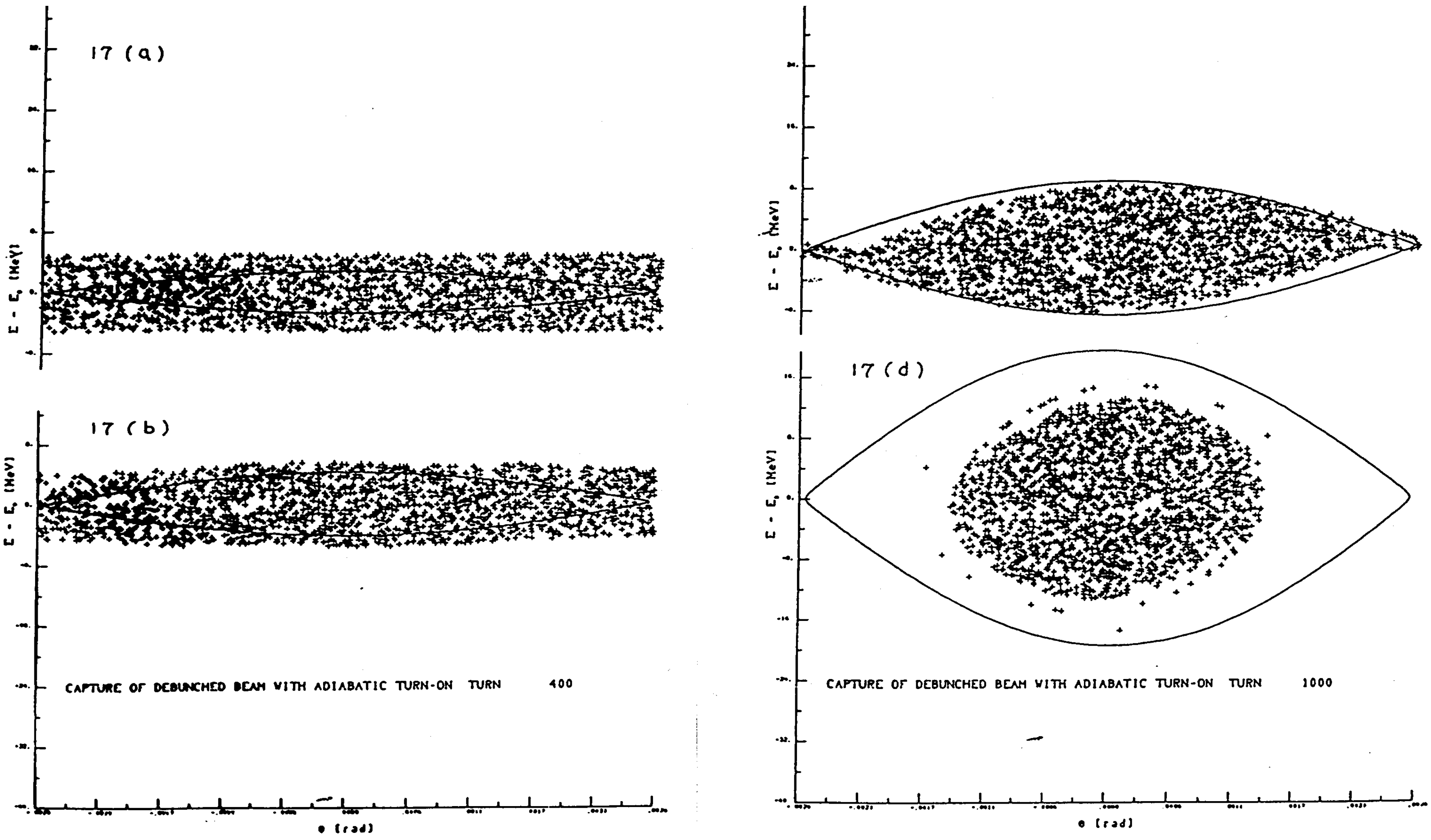




Capture of a Debunched Beam with Fast Turn-On



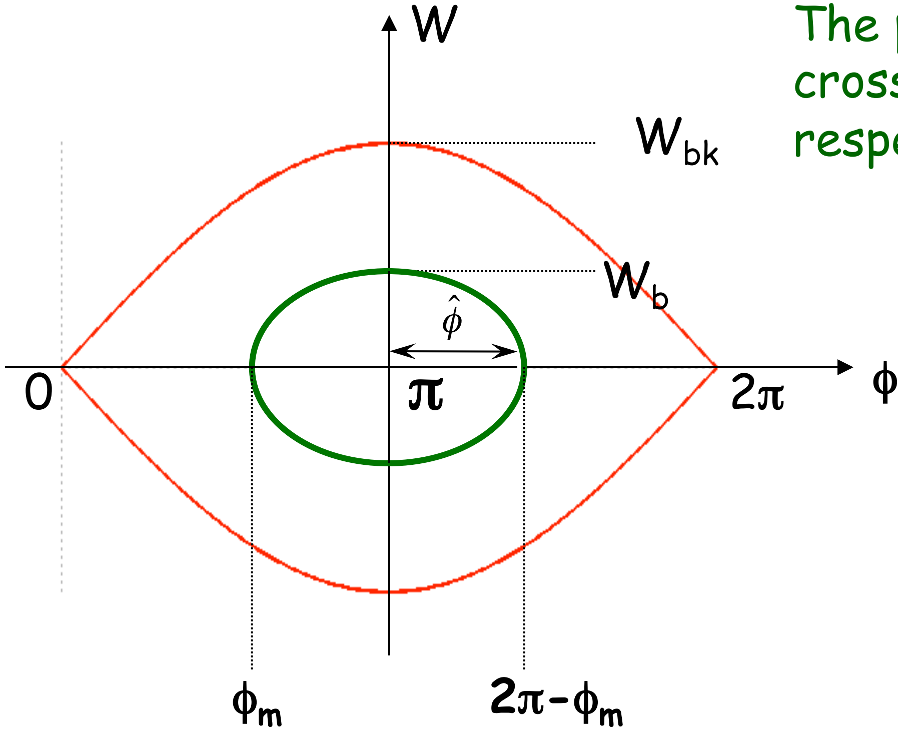
Capture of a Debunched Beam with Adiabatic Turn-On



Bunch Matching into a Stationnary Bucket

A particle trajectory inside the separatrix is described by the equation:

$$\frac{\dot{\phi}^2}{2} - \frac{\Omega_s^2}{\cos\phi_s}\left(\cos\phi + \phi\sin\phi_s\right) = I \quad \xrightarrow{\phi_s = \pi} \quad \frac{\dot{\phi}^2}{2} + \Omega_s^2\cos\phi = I$$



The points where the trajectory crosses the axis are symmetric with respect to $\phi_s = \pi$

$$\frac{\dot{\phi}^2}{2} + \Omega_s^2\cos\phi = \Omega_s^2\cos\phi_m$$

$$\dot{\phi} = \pm\Omega_s\sqrt{2\left(\cos\phi_m - \cos\phi\right)}$$

$$W = \pm W_{bk}\sqrt{\cos^2\frac{\varphi_m}{2} - \cos^2\frac{\varphi}{2}}$$

$$\cos(\phi) = 2\cos^2\frac{\phi}{2} - 1$$

Bunch Matching into a Stationnary Bucket (2)

Setting $\phi = \pi$ in the previous formula allows to calculate the bunch height:

$$W_b = W_{bk} \cos\frac{\phi_m}{2} = W_{bk} \sin\frac{\hat{\phi}}{2}$$

or:

$$W_b = \frac{A_{bk}}{8} \cos\frac{\phi_m}{2}$$

$$\longrightarrow \quad \left(\frac{\Delta E}{E_s}\right)_b = \left(\frac{\Delta E}{E_s}\right)_{RF} \cos\frac{\phi_m}{2} = \left(\frac{\Delta E}{E_s}\right)_{RF} \sin\frac{\hat{\phi}}{2}$$

This formula shows that for a given bunch energy spread the proper matching of a shorter bunch (ϕ_m close to π, $\hat{\phi}$ small) will require a bigger RF acceptance, hence a higher voltage

For small oscillation amplitudes the equation of the ellipse reduces to:

$$W = \frac{A_{bk}}{16}\sqrt{\hat{\phi}^2 - (\Delta\phi)^2} \longrightarrow \left(\frac{16W}{A_{bk}\hat{\phi}}\right)^2 + \left(\frac{\Delta\phi}{\hat{\phi}}\right)^2 = 1$$

Ellipse area is called longitudinal emittance

$$A_b = \frac{\pi}{16} A_{bk} \hat{\phi}^2$$

Summary

- Cyclotrons/Synchrocylotrons for low energy
- Synchrotrons for high energies
  constant orbit, rising field and frequency
- Particles with higher energy have a longer orbit (normally) but a higher velocity
  - at low energies (below transition) velocity increase dominates
  - at high energies (above transition) velocity almost constant
- Particles perform oscillations around synchronous phase
  - synchronous phase depending on acceleration
  - below or above transition
- bucket is the region in phase space for stable oscillations
- matching the shape of the bunch to the bucket is important

Bibliography

M. Conte, W.W. Mac Kay **An Introduction to the Physics of particle Accelerators** (World Scientific, 1991)

P. J. Bryant and K. Johnsen **The Principles of Circular Accelerators and Storage Rings** (Cambridge University Press, 1993)

D. A. Edwards, M. J. Syphers **An Introduction to the Physics of High Energy Accelerators** (J. Wiley & sons, Inc, 1993)

H. Wiedemann **Particle Accelerator Physics** (Springer-Verlag, Berlin, 1993)

M. Reiser **Theory and Design of Charged Particles Beams** (J. Wiley & sons, 1994)

A. Chao, M. Tigner **Handbook of Accelerator Physics and Engineering** (World Scientific 1998)

K. Wille **The Physics of Particle Accelerators: An Introduction** (Oxford University Press, 2000)

E.J.N. Wilson **An introduction to Particle Accelerators** (Oxford University Press, 2001)

→ And CERN Accelerator Schools (CAS) Proceedings

Acknowledgements

I would like to thank everyone for the material that I have used.

In particular (hope I don't forget anyone):

- Joël Le Duff
- Rende Steerenberg
- Gerald Dugan
- Heiko Damerau
- Werner Pirkl
- Genevieve Tulloue
- Mike Syphers
- Daniel Schulte
- Roberto Corsini