

# Theory of optical Reconstruction for Synchrotron Light Sources

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- Introduction
- Resolution in Frame of Geometrical Optics
- Analytical Approach
- Numerical Near Field Calculations

# Size Measurements

## task

- › determination of beam profile  
→ measurement of characteristic size (rms, ...)

## conventional size measurement

- › take object and measure

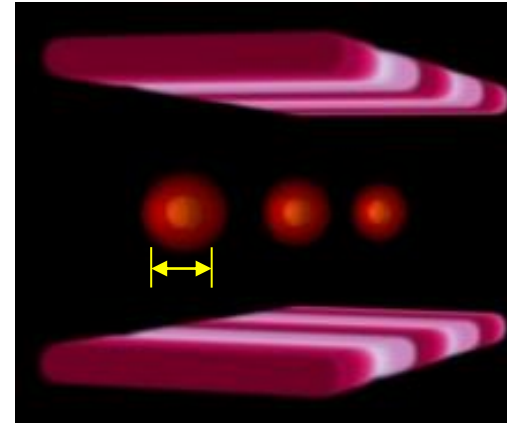


## difficulties

- › object extremely small
- › object not directly accessible  
→ inside vacuum beam pipe, accelerator environment, ...

## optical imaging

- › generate replica in comfortable environment
- › adjust replica size (image) to size of measuring device (CCD)



courtesy:

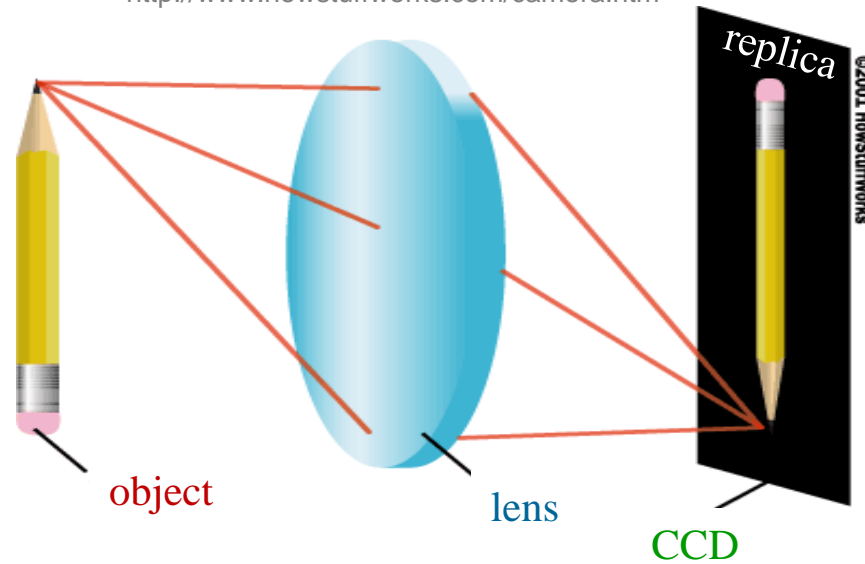
J. Amundson (FNAL)



# Optical Imaging

<http://www.howstuffworks.com/camera.htm>

## ● principle



## ● resolution

extended object (depth of field)  
moving object

→  $\sigma_{dof}$

fundamental resolution limit (diffraction)  
aberrations, ...

→  $\sigma_{dfr}$

finite sampling width (pixel size)  
cross talk, ...

→  $\sigma_{ccd}$

› spatial resolution:

$$\sigma = \sqrt{\sigma_{dof}^2 + \sigma_{dfr}^2 + \cancel{\sigma_{ccd}^2}}$$

## ● outline

› this talk: **image formation** (generate replica onto CCD)

› talk E. Bravin: **image registration**

# Resolution Consideration (1)

- **geometrical imaging**

- first order image is a „perfect“ (but scaled) replica → no blurring

- **real image blurred**

- by aberrations → e.g. spherical aberrations, astigmatism, coma, ...
  - by diffraction → wave nature of light

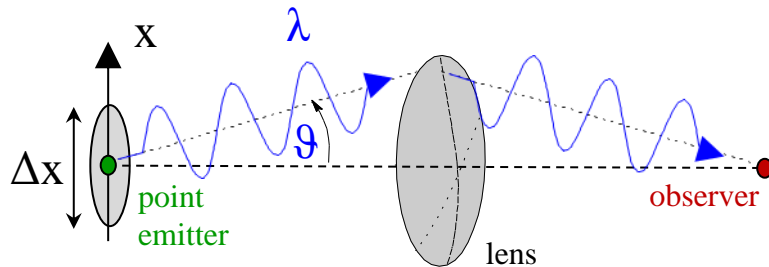
**lens imperfections**  
**fundamental**

- **neglect aberrations**

- **diffraction limited** systems → high quality, aberration-free systems

- **fundamental resolution limit**

- point observer detecting photons from point emitter → location of emission point ?



$$\Delta p_x = 2\hbar k \cdot \sin \vartheta \approx 2 \cdot \frac{h}{2\pi} \cdot \frac{2\pi}{\lambda} \cdot \sin \vartheta$$

NA = sinϑ:  
numerical aperture

uncertainty principle:  $\Delta x \cdot \Delta p_x \approx h \Rightarrow$

$$\Delta x \approx \frac{\lambda}{2 \sin \vartheta}$$



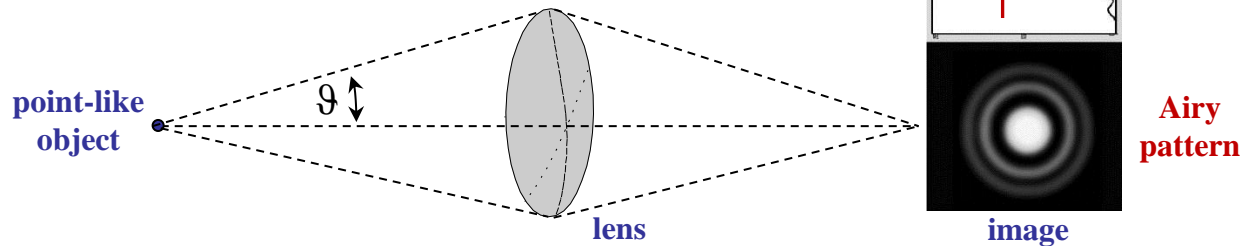
high resolution:

(i) **small λ**

(ii) **high NA**

# Resolution Consideration (2)

## image of point source



$$\Delta x = 0.61 \frac{M\lambda}{\sin \vartheta}$$

magnification M

## synchrotron radiation (long bending magnet)

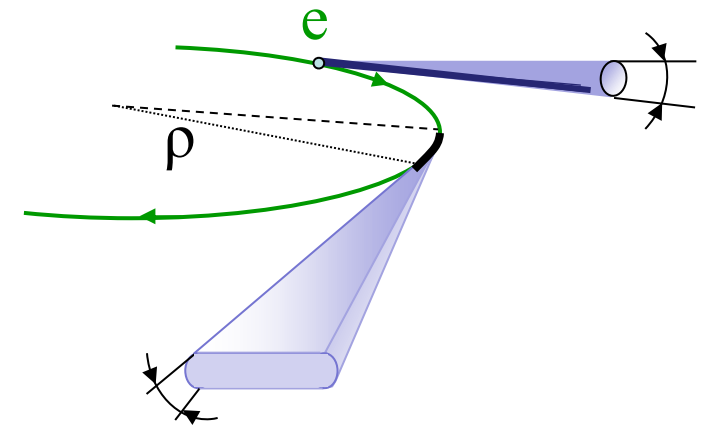
› strongly collimated emission

→ typical emission angle (out of orbit plane):

$$\Psi_{SR} \approx 1/\gamma$$

› emission angle  $\ll$  acceptance of optical system

→ resolution fully dominated by SR emission characteristics



$$\Psi_{SR} \propto 1/\gamma \text{ rad}$$

## trends in modern light sources

› X-ray imaging

→ PETRA3 @ DESY with  $E = 6 \text{ GeV}$ ,  $\sigma_v \approx 10 \mu\text{m}$  :

$$\Delta x (500\text{nm}) = 145 \mu\text{m}$$

$$\Delta x (20\text{keV}) = 0.4 \mu\text{m}$$

# Resolution: 1<sup>st</sup> Level

## • diffraction error

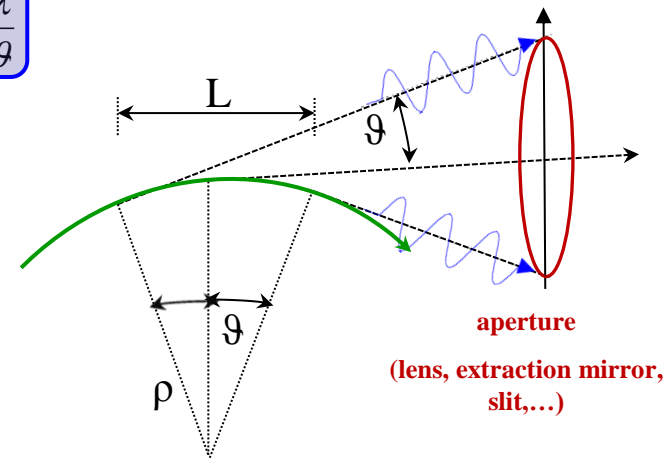
- assumption: plane wave diffraction broadening

$$\Delta x_{\text{dfr}} \approx 0.61 \frac{\lambda}{\vartheta}$$

different acceptance angles  $\vartheta$  for horizontal/vertical plane:

- horizontal plane:  $\vartheta$  defined by acceptance of aperture
- vertical plane:  $\vartheta$  defined by SR natural opening angle  $\Psi_{\text{SR}}$

$$\Psi_{\text{SR}} \approx \left( \frac{3\lambda}{4\pi\rho} \right)^{1/3} \quad \text{for } \lambda > \lambda_c \quad (\text{optical SR})$$



## • depth of field

- radiation from finite part of trajectory: **L**

- imaging with misalignment  $\Delta a$ :

$$a = a_0 + \Delta a \quad \text{and} \quad 1/f = 1/a_0 + 1/b_0$$

- calculation in frame of paraxial optics:

$$\begin{pmatrix} y_1 \\ y'_1 \end{pmatrix} = \begin{pmatrix} 1 & b_0 \\ 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} 1 & 0 \\ -1/f & 1 \end{pmatrix} \cdot \begin{pmatrix} 1 & a \\ 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} y_0 \\ y'_0 \end{pmatrix}$$



$$y_1 = - \left( \frac{b_0}{a_0} \right) \cdot (y_0 + y'_0 \cdot \Delta a)$$

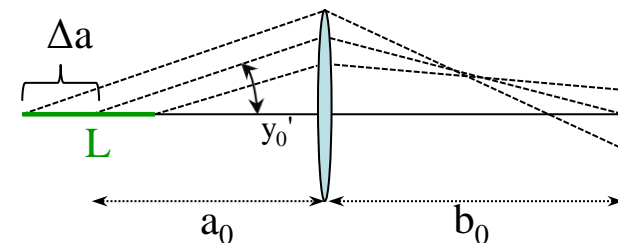
magnification & inversion    original size    depth of field

- misalignment is length of trajectory:  $\Delta a = L/2 = \rho\vartheta$

$$\Delta x_{\text{dof}} = y'_0 \cdot \rho\vartheta$$

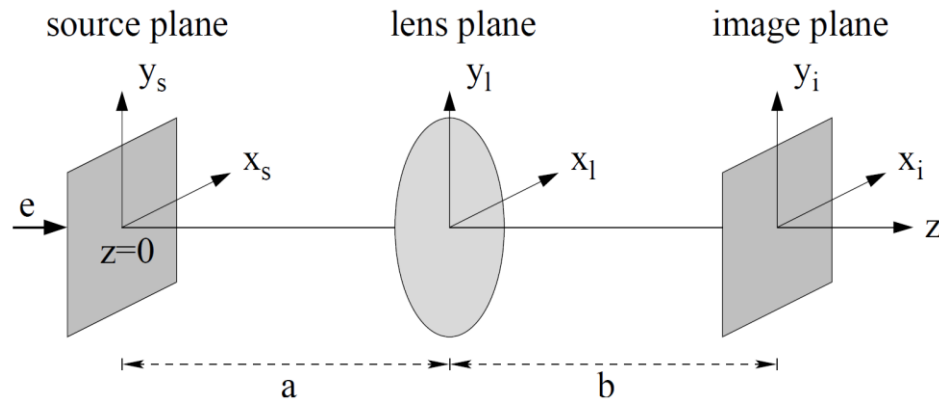
horizontal plane:  $y'_0 = \vartheta$

vertical plane:  $y'_0 = \Psi_{\text{SR}}$



# Fundamentals of Image Formation

- detailed resolution information
  - requires basic knowledge of image formation
- simple imaging setup



- procedure
  - calculate image of point source (single particle radiation) → **Point Spread Function (PSF)**
  - image of extended object → 2-dim. convolution of **source distribution** and **PSF**
  - resolution → difference between source distribution and image (resp. PSF)
- PSF calculation
  - el. field in source plane (radiation field) → discussed at a later stage
  - field propagation from element to element → in frame of scalar diffraction theory
    - (i) source plane – lens input
    - (ii) lens input – lens output
    - (iii) lens output – image plane
  - intensity distribution in the image plane

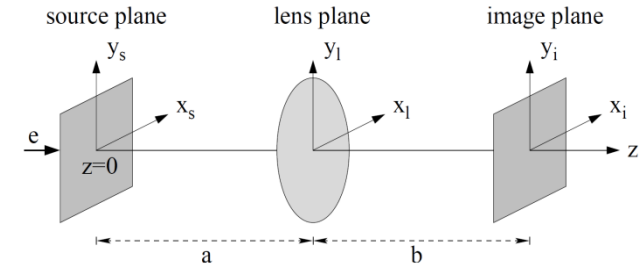
# Fundamentals of Image Formation

## source field

- synchrotron radiation field: **two different representations**
  - form basis of **two different resolution treatments** (discussed later)

## propagation

- scalar diffraction theory (here: from source to lense plane)



$$E_{x_l, y_l}^l(\vec{r}_l, \omega) = -i \frac{e^{ika}}{\lambda a} \cdot e^{i \frac{k}{2a}(x_l^2 + y_l^2)} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} dx_s dy_s E_{x_s, y_s}^s(\vec{r}_s, \omega) \cdot e^{i \frac{k}{2a}(x_s^2 + y_s^2)} \cdot e^{-ik \frac{x_s x_l + y_s y_l}{a}}$$

aperture boundaries

- far field (Fraunhofer) approximation:  $\frac{k}{2}(x_s^2 + y_s^2)_{\max} \ll a$

$$\Rightarrow E_{x_l, y_l}^m(\vec{r}_l, \omega) = -i \frac{e^{ika}}{\lambda a} \cdot e^{i \frac{k}{2a}(x_l^2 + y_l^2)} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} dx_s dy_s E_{x_s, y_s}^s(\vec{r}_s, \omega) \cdot e^{-i(k_x x_s + k_y y_s)} \propto \mathcal{F}(E_{x_s, y_s}^s) \left( k_{x,y} = k \frac{x_l, y_l}{a} \right)$$

→ basis of **Fourier Optics**

## thin lens approximation

- quadratic phase shift:  $E_{x_l, y_l}^{l_{out}}(\vec{r}_l, \omega) = E_{x_l, y_l}^{l_{in}}(\vec{r}_l, \omega) \cdot e^{-i \frac{k}{2f}(x_l^2 + y_l^2)}$  with  $\frac{1}{f} = \frac{1}{a} + \frac{1}{b}$

## intensity

$$\frac{d^2 W}{d\omega d\Omega} = \frac{c}{4\pi^2} \left( |\vec{E}_{x_i}^i(\vec{r}_i, \omega)|^2 + |\vec{E}_{y_i}^i(\vec{r}_i, \omega)|^2 \right)$$



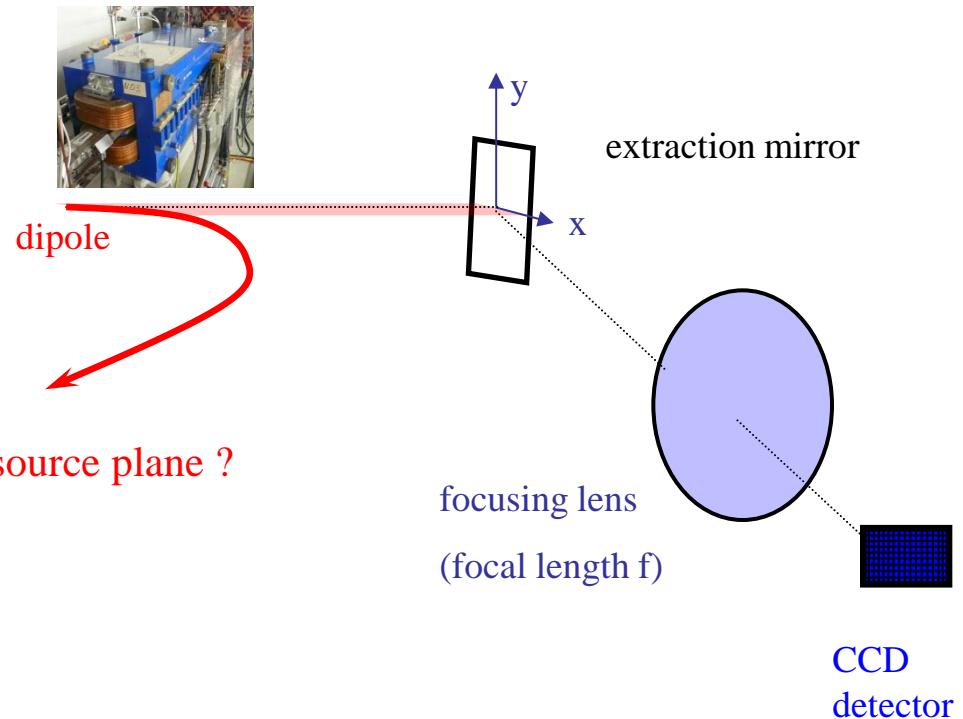
# Profile Monitor Considerations

## ● point of interest: monitor resolution

- › consider single particle
- › calculate „point spread function“ (PSF) in image plane
- › convolute beam profile with PSF

## ● tasks

- › radiation source
  - ⇒ SR dipole radiation field ⇒ source plane ?
- › extraction out of vacuum system
  - ⇒ horizontal aperture limitation
- › focusing optics (lens)
  - ⇒ thin lens approximation
- › measurement of spatial intensity distribution
  - ⇒ PSF on CCD detector



- ...in frame of scalar diffraction theory

- source plane to extraction mirror

$$E_{x_m, y_m}^m(\vec{r}_m, \omega) = -i \frac{e^{ika_1}}{\lambda a_1} \cdot e^{i \frac{k}{2a_1}(x_m^2 + y_m^2)} \int_{-\infty}^{+\infty} \int_{-\infty}^{+\infty} dx_s dy_s E_{x_s, y_s}^s(\vec{r}_s, \omega) \cdot e^{i \frac{k}{2a_1}(x_s^2 + y_s^2)} \cdot e^{-ik \frac{x_s x_m + y_s y_m}{a_1}}$$

- extraction mirror to lens input

$$E_{x_l, y_l}^{l_{in}}(\vec{r}_l, \omega) = -i \frac{e^{ika_2}}{\lambda a_2} \cdot e^{i \frac{k}{2a_2}(x_l^2 + y_l^2)} \int_{-x_m/2}^{x_m/2} dx_m \int_{-\infty}^{+\infty} dy_m E_{x_m, y_m}^m(\vec{r}_m, \omega) \cdot e^{i \frac{k}{2a_2}(x_m^2 + y_m^2)} \cdot e^{-ik \frac{x_m x_l + y_m y_l}{a_2}}$$

- lens input to lens output (thin lens approximation)

$$E_{x_l, y_l}^{l_{out}}(\vec{r}_l, \omega) = E_{x_l, y_l}^{l_{in}}(\vec{r}_l, \omega) \cdot e^{-i \frac{k}{2f}(x_l^2 + y_l^2)} \quad \text{with} \quad \frac{1}{f} = \frac{1}{a} + \frac{1}{b}, \quad a = a_1 + a_2$$

- lens output to image plane (CCD detector)

$$E_{x_i, y_i}^i(\vec{r}_i, \omega) = -i \frac{e^{ikb}}{\lambda b} \cdot e^{i \frac{k}{2b}(x_i^2 + y_i^2)} \int_{-x_l/2}^{x_l/2} dx_l \int_{-y_l/2}^{y_l/2} dy_l E_{x_l, y_l}^{l_{out}}(\vec{r}_l, \omega) \cdot e^{i \frac{k}{2b}(x_l^2 + y_l^2)} \cdot e^{-ik \frac{x_l x_i + y_l y_i}{b}}$$

- measured quantity

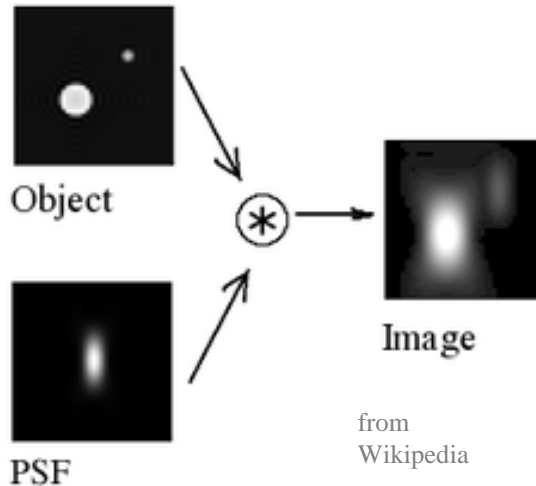
- spatial intensity distribution

$$\frac{d^2W}{d\omega d\Omega} = \frac{c}{4\pi^2} \left( \left| \vec{E}_{x_i}^i(\vec{r}_i, \omega) \right|^2 + \left| \vec{E}_{y_i}^i(\vec{r}_i, \omega) \right|^2 \right)$$

# Image Formation: Systems Approach

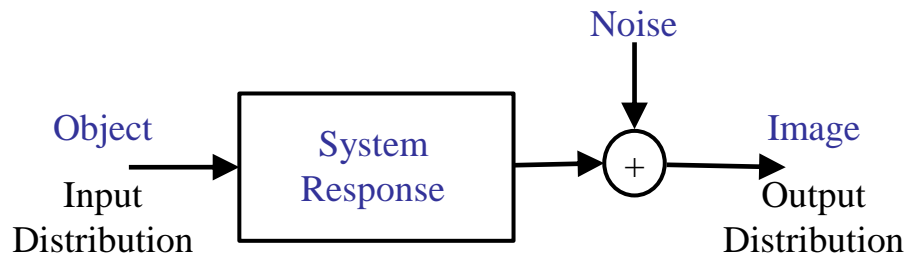
## image formation

$$\text{Image} = \text{PSF} \otimes \text{Object} + \text{Noise}$$



- ▶ Point Spread Function (PSF)
  - image of a point source (single particle)
  - characteristic of the imaging instrument
  - deterministic function
- ▶ noise
  - nondeterministic function
  - described in terms of statistical distributions

## systems approach to imaging (Fourier Optics)



- ▶ „standard“ signal theory
    - 1-dim. signals (in time domain)
    - system analysis with **delta pulse**
  - ▶ imaging
    - 2-dim. signals (in spatial domain)
    - system analysis with **point source**
- response: **PSF**

# Classical Synchrotron Radiation Field

- source field: particle field described by **Liénard-Wiechert potentials**:

$$\varphi(t) = \left( \frac{-e}{R(1 - \hat{n} \cdot \vec{\beta})} \right)_\tau, \quad \vec{A}(t) = \left( \frac{-e\vec{\beta}}{R(1 - \hat{n} \cdot \vec{\beta})} \right)_\tau$$

- field derivation:  $E(t) = -\vec{\nabla}\varphi(t) - \frac{1}{c}\dot{\vec{A}}(t), \quad \vec{H}(t) = \vec{\nabla} \times \vec{A}(t)$

$$\vec{E}(t) = -e \left( \frac{\cancel{(1 - \beta^2)(\hat{n} - \vec{\beta})}}{R^2(1 - \hat{n} \cdot \vec{\beta})^3} + \frac{\hat{n} \times [(\hat{n} - \vec{\beta}) \times \dot{\vec{\beta}}]}{cR(1 - \hat{n} \cdot \vec{\beta})^3} \right)_\tau, \quad \vec{H}(t) = \hat{n} \times \vec{E}(t)$$

neglect velocity term (far field approximation)

- Fourier transform:

$$\vec{E}(\omega) \approx -\frac{i\omega e}{cR} \int_{-\infty}^{+\infty} d\tau \left[ \hat{n} \times [\hat{n} \times \vec{\beta}] \right] e^{i\omega(\tau + R(\tau)/c)}$$

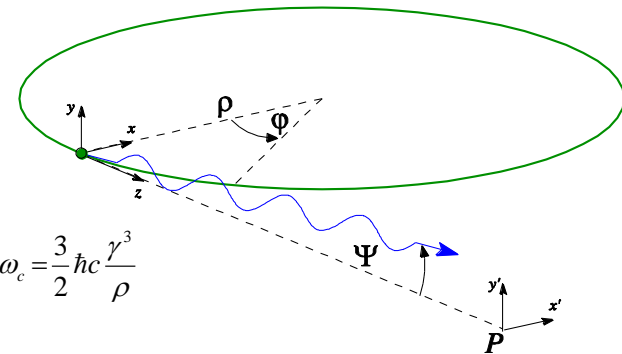
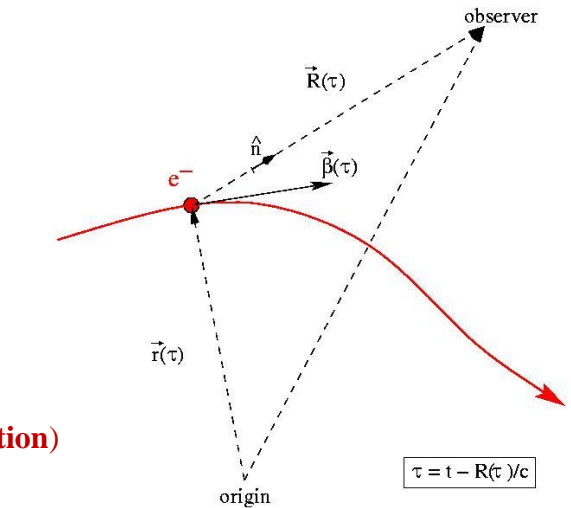
- special case: charged particle moving on circular orbit

$$E_x(\omega) = E_\sigma = A_\sigma \frac{\hbar\omega}{2\hbar\omega_c} (1 + \gamma^2\Psi^2) \cdot K_{2/3} \left[ \frac{\hbar\omega}{2\hbar\omega_c} (1 + \gamma^2\Psi^2)^{3/2} \right]$$

$$E_y(\omega) = E_\pi = A_\pi \frac{\hbar\omega}{2\hbar\omega_c} \gamma\Psi\sqrt{1 + \gamma^2\Psi^2} \cdot K_{1/3} \left[ \frac{\hbar\omega}{2\hbar\omega_c} (1 + \gamma^2\Psi^2)^{3/2} \right]$$

analytical field description

$$\text{with } \hbar\omega_c = \frac{3}{2} \hbar c \frac{\gamma^3}{\rho}$$



- comments: (i) approximative field description → far field approximation
- (ii) emission from single point on orbit → additional contributions: depth of field, orbit curvature

# Resolution: 2<sup>nd</sup> Level

## ● imaging based on analytical field description

### ➤ horizontal direction

→ radiation fan: constant intensity distribution

→ aperture limitation: extraction mirror

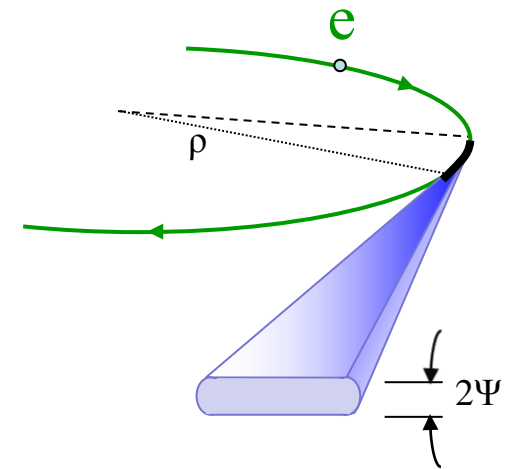
➡ Fraunhofer diffraction pattern: plane wave diffraction at slit

### ➤ vertical direction

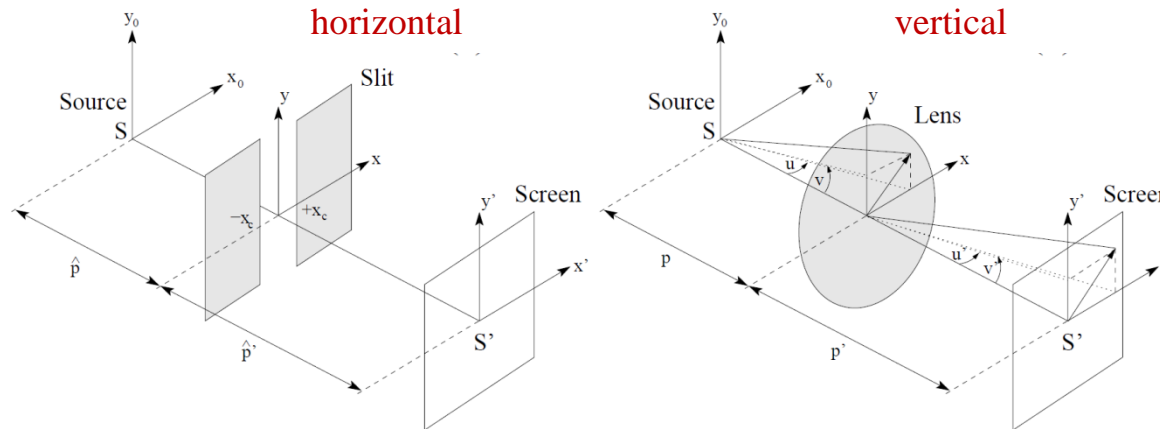
→ radiation field: analytical expressions for  $E_\sigma$ ,  $E_\pi$

→ action of lens: produces magnified image of source distribution

➡ Fraunhofer diffraction pattern: Fourier transform of SR source field



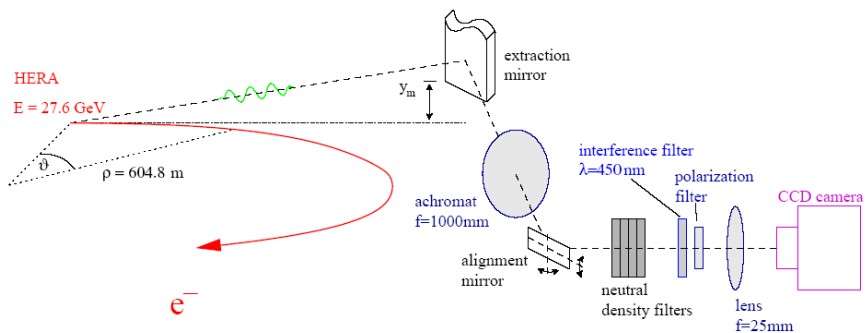
## ● simplified geometries



# Diffraction Broadening

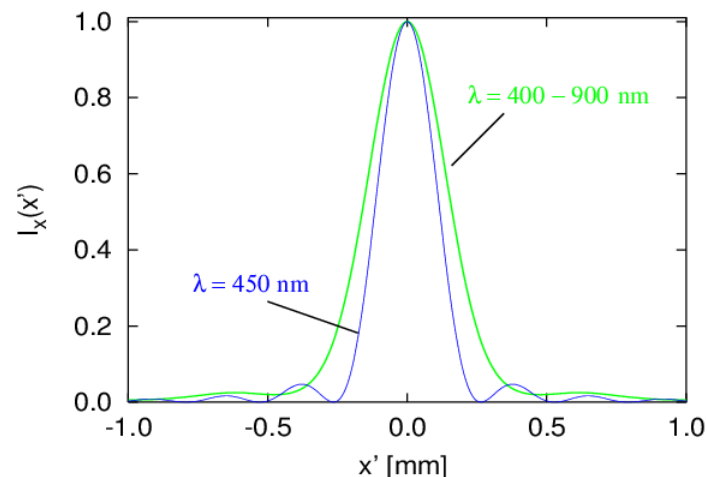
## example: HERAe @ DESY

G. Kube et al., Proc. BIW2004, AIP Conf. Proc. **732** (2004) 350

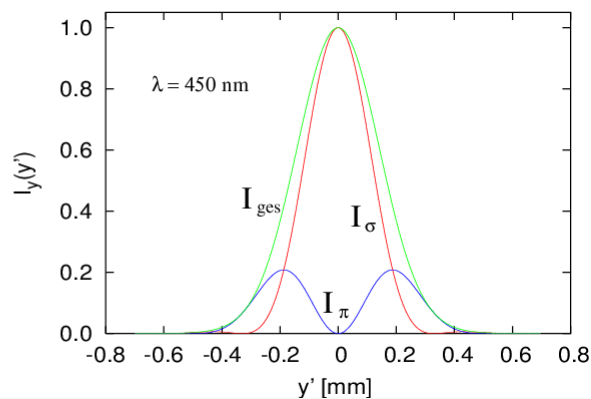
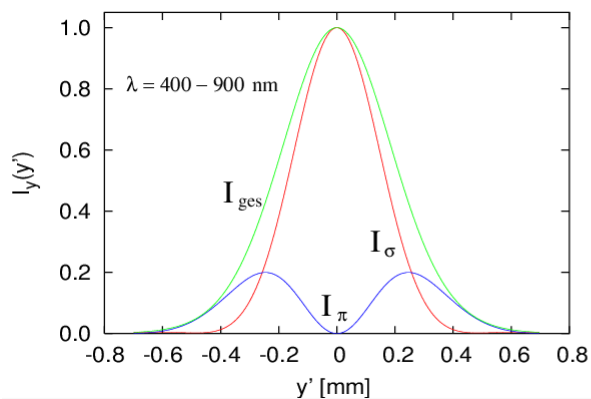


- ▶  $E = 27.6 \text{ GeV}$
- ▶  $I_{\text{max}} = 50 \text{ mA}$
- ▶  $\rho = 604.8 \text{ m}$
- ▶  $a = 6485.5 \text{ mm}$
- ▶  $b = 1182.3 \text{ mm}$
- ▶  $f = 1000 \text{ mm} / 25 \text{ mm}$

## horizontal PSF



## vertical PSF

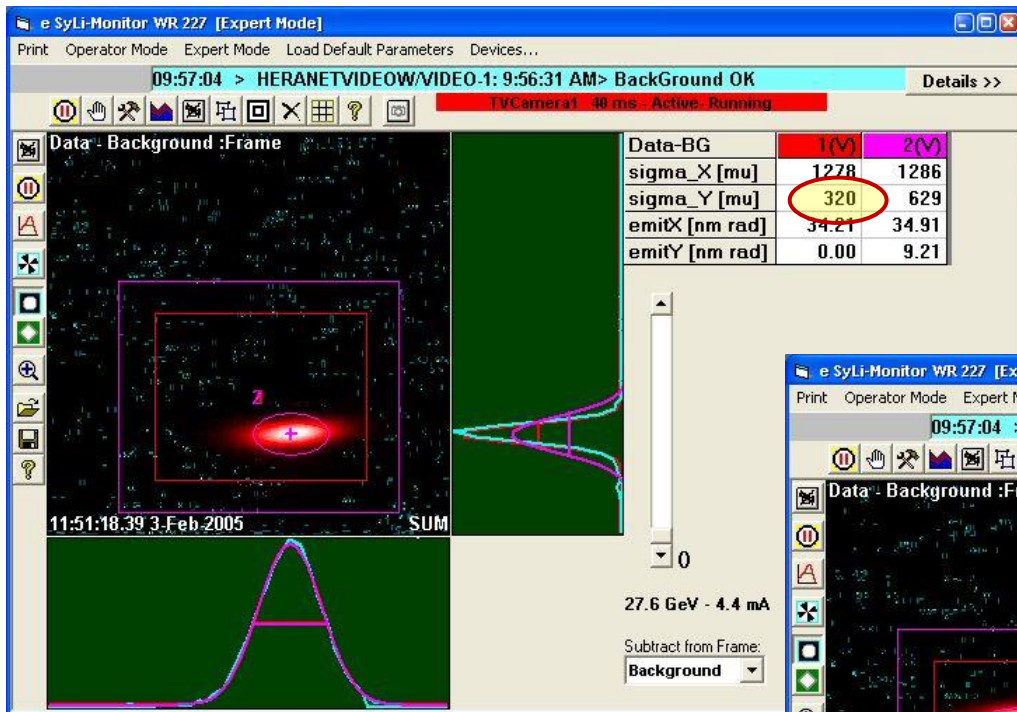


➔ good resolution:

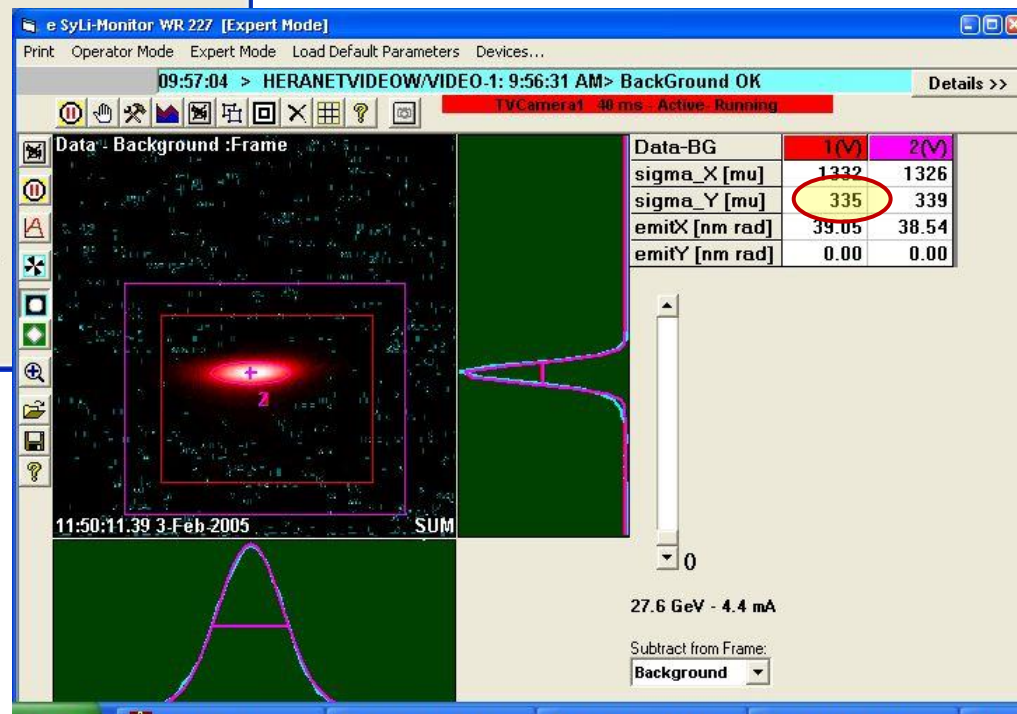
- ▶ monochromatic measurement  
→  $\lambda$  small
- ▶ polarization selection  
→  $\sigma$ -polarization

# Polarization Dependency

● screen shot: HERAe @ DESY



▶  $\sigma$  polarization



▶  $\pi$  polarization

# Depth of Field and Curvature

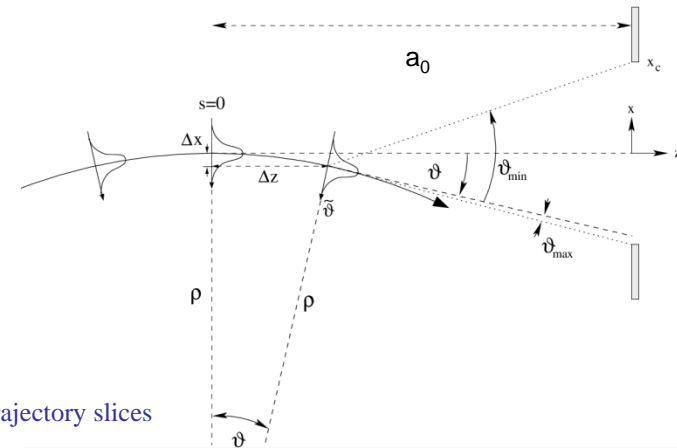
## intensity summation along particle trajectory

- emission angles ( $\vartheta$ ,  $\Psi$ ) normal distributed in source plane
- beam sizes ( $\sigma_x$ ,  $\sigma_y$ ) normal distributed in source plane
- trajectory element:  $ds = \rho d\vartheta$

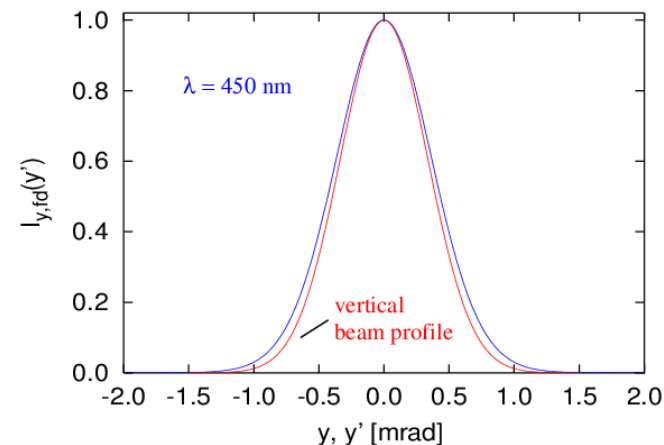
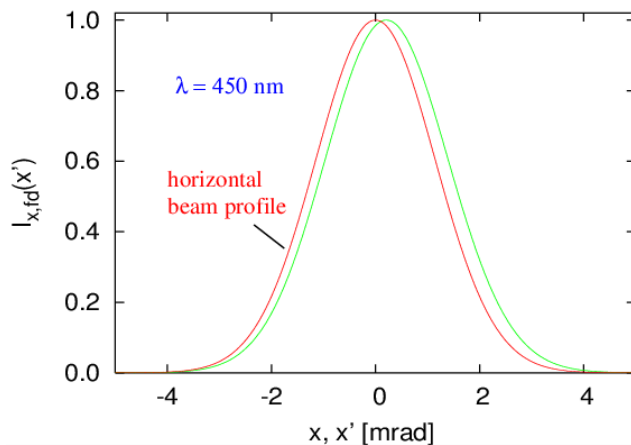
$$I_{y,dof}(y') = I_0 \int_{-\vartheta_{lim}}^{+\vartheta_{lim}} d\vartheta \frac{a_0 \rho h(\vartheta)}{b_0 \sqrt{2\pi} \sqrt{\sigma_y^2 + (\rho \vartheta \sigma_\Psi)^2}} \cdot \exp\left(-\frac{(a_0 y')^2}{2b_0^2 \{\sigma_y^2 + (\rho \vartheta \sigma_\Psi)^2\}}\right)$$

$$I_{x,dof}(x') = I_0 \int_{-\vartheta_{lim}}^{+\vartheta_{lim}} d\vartheta \frac{a_0 \rho h(\vartheta)}{b_0 \sqrt{2\pi} \sqrt{\sigma_x^2 + (\rho \vartheta \sigma_\vartheta)^2}} \cdot \exp\left(-\frac{a_0^2 (x' - \rho \vartheta^2)^2}{2b_0^2 \{\sigma_x^2 + (\rho \vartheta \sigma_\vartheta)^2\}}\right)$$

- each slice shifted by  $\Delta x = \rho \vartheta^2$  against position at  $s=0$  (curvature of trajectory)
- weight function  $h(\vartheta)$ : accounts for amount of light extracted by mirror from different trajectory slices



## example: HERAe @ DESY





# Synchrotron Radiation Field

- **second representation:** starting point again **Liénard-Wiechert potentials**

O.Chubar and P.Elleaume,  
Proc. EPAC96, Stockholm (1996) 1177

$$\varphi(t) = \left( \frac{-e}{R(1-\hat{n} \cdot \vec{\beta})} \right)_t, \quad \vec{A}(t) = \left( \frac{-e\vec{\beta}}{R(1-\hat{n} \cdot \vec{\beta})} \right)_t$$

- ▶ Fourier transform of potentials:

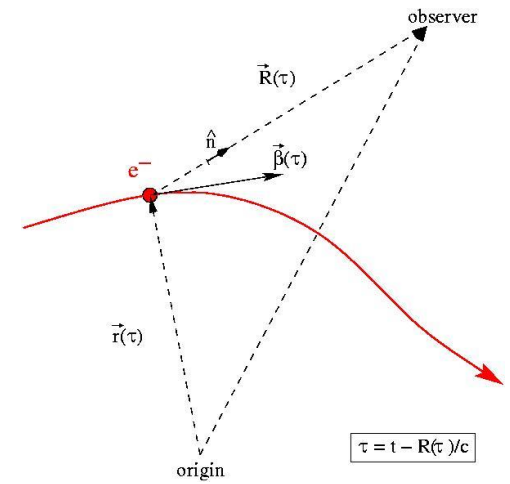
$$\varphi(\omega) = -e \int_{-\infty}^{+\infty} d\tau \frac{1}{R(\tau)} e^{i\omega(\tau+R(\tau)/c)}, \quad \vec{A}(\omega) = -e \int_{-\infty}^{+\infty} d\tau \frac{\vec{\beta}(\tau)}{R(\tau)} e^{i\omega(\tau+R(\tau)/c)}$$

- ▶ field derivation:

$$\vec{E}(\omega) = -\frac{i\omega e}{c} \int_{-\infty}^{+\infty} d\tau \left[ \frac{(\vec{\beta} - \hat{n})}{R(\tau)} - \frac{ic}{\omega} \frac{\hat{n}}{R^2(\tau)} \right] e^{i\omega(\tau+R(\tau)/c)}$$

with  $\tau = \int_0^z \frac{dz}{c\beta_z(z)} = \frac{1}{c} \int_0^z dz \left[ 1 + \frac{1+(\gamma\beta_x)^2 + (\gamma\beta_y)^2}{2\gamma^2} \right]$

- ➔ knowledge of arbitrary particle orbit:  $\vec{E}(\omega)$  determined
- ➔ arbitrary magnetic field configuration: determines orbit and  $\vec{E}(\omega)$



- **comments:**
  - (i) exact field description → numerical near field calculation
  - (ii) includes depth of field & curvature → no additional contributions, only field propagation
  - (iii) free codes available → easy field calculation, even field propagation!

**SRW:** <http://www.esrf.eu/Accelerators/Groups/InsertionDevices/Software/SRW>

(Chubar & Elleaume, ESRF)

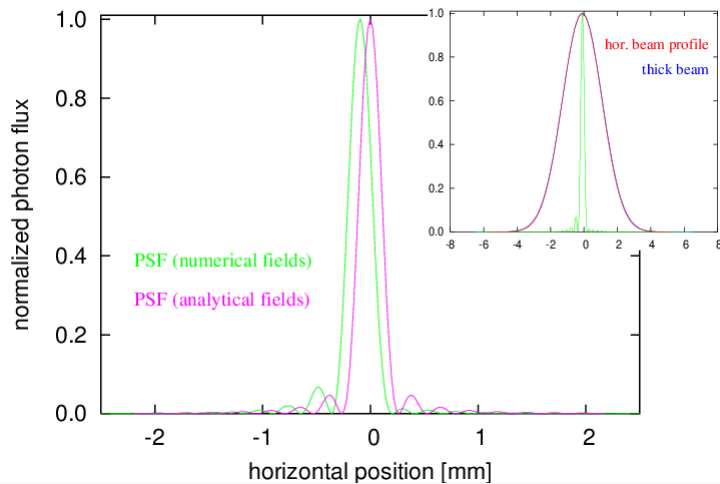
**Spectra:** <http://radiant.harima.riken.go.jp//spectra/index.html>

(Tanaka & Kitamura, SPring8)

# Comparison

## ● resolution broadening effects for the HERAe emittance monitor

- calculation of spatial SR intensity distribution including beam emittance
- quadratical subtraction of beam size ( $\sigma_x = 1175 \mu\text{m}$ ,  $\sigma_y = 260 \mu\text{m}$ )



‣ near field description:

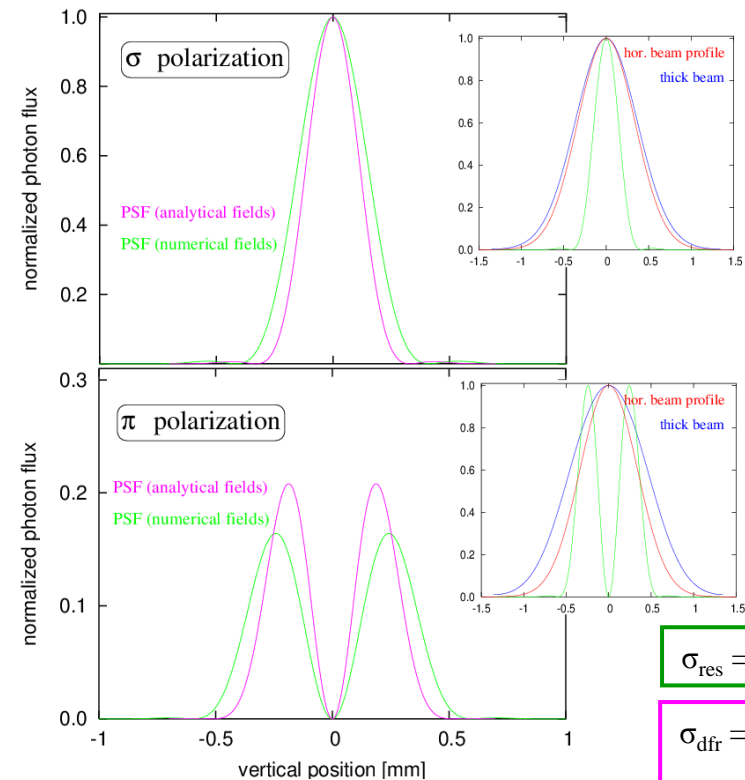
$$\sigma_{\text{res}} = 203 \mu\text{m}$$

‣ analytical description:

$$\sigma_{\text{dfr}} = 188 \mu\text{m}$$

$$\sigma_{\text{dof}} = 275 \mu\text{m}$$

$$\rightarrow \sigma_{\text{res}} = 333 \mu\text{m}$$



$$\sigma_{\text{res}} = 138 \mu\text{m}$$

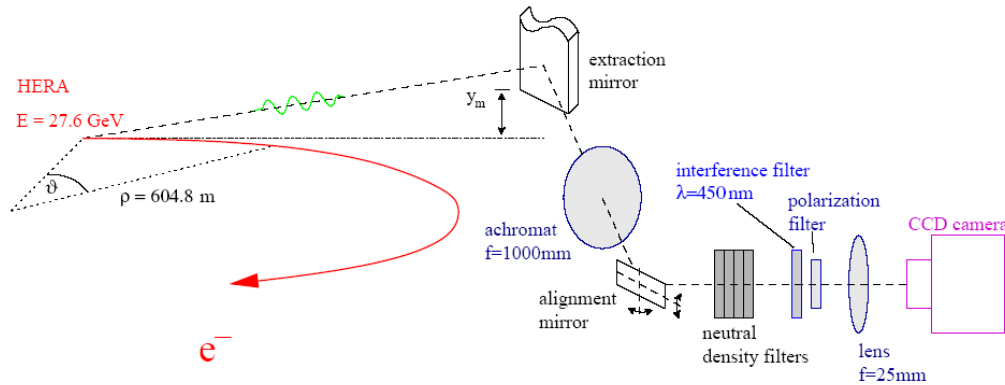
$$\sigma_{\text{dfr}} = 106 \mu\text{m}$$

$$\sigma_{\text{dof}} = 134 \mu\text{m}$$

$$\rightarrow \sigma_{\text{res}} = 171 \mu\text{m}$$

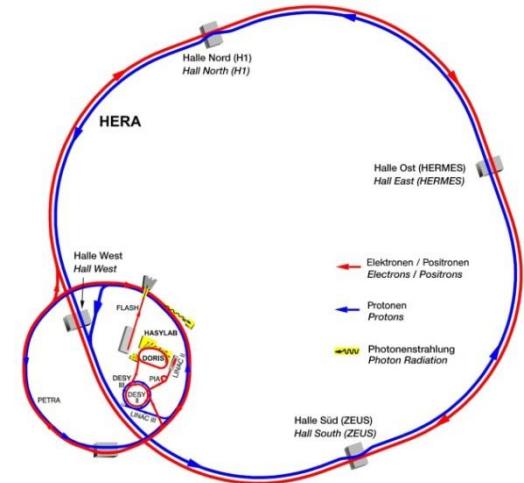
# SyLi Monitor for HERAe @ DESY

## monitor setup

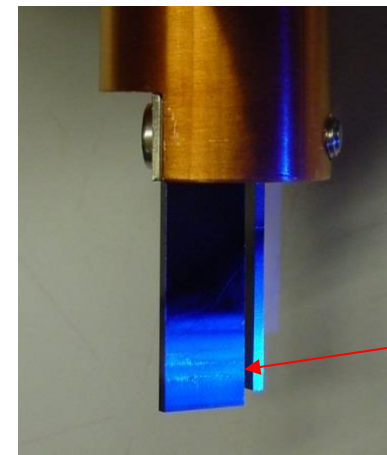


## HERA @ DESY

27.6 GeV e<sup>+</sup>(e<sup>-</sup>) / 920 GeV p



- HERA e beam size:  $\sigma_{\text{hor}} = 1175 \mu\text{m}$ ,  $\sigma_{\text{vert}} = 260 \mu\text{m}$ 
  - resolution with **optical SR** sufficient
- problem: **heat load on extraction mirror** (X-ray part of SR)
  - material with low absorption coefficient (Be)
  - cooling of extraction mirror
  - **not sufficient to prevent image distortion...**



$T_{\text{max}} = 1200^\circ\text{C}$

# SyLi Monitor for HERAe @ DESY

## ● solution: observation out of orbit plane

- move mirror above orbit plane
- X-ray part of SR is emitted close to beam axis and will not hit the mirror surface
- optical SR components have larger angular distribution and are reflected at mirror surface

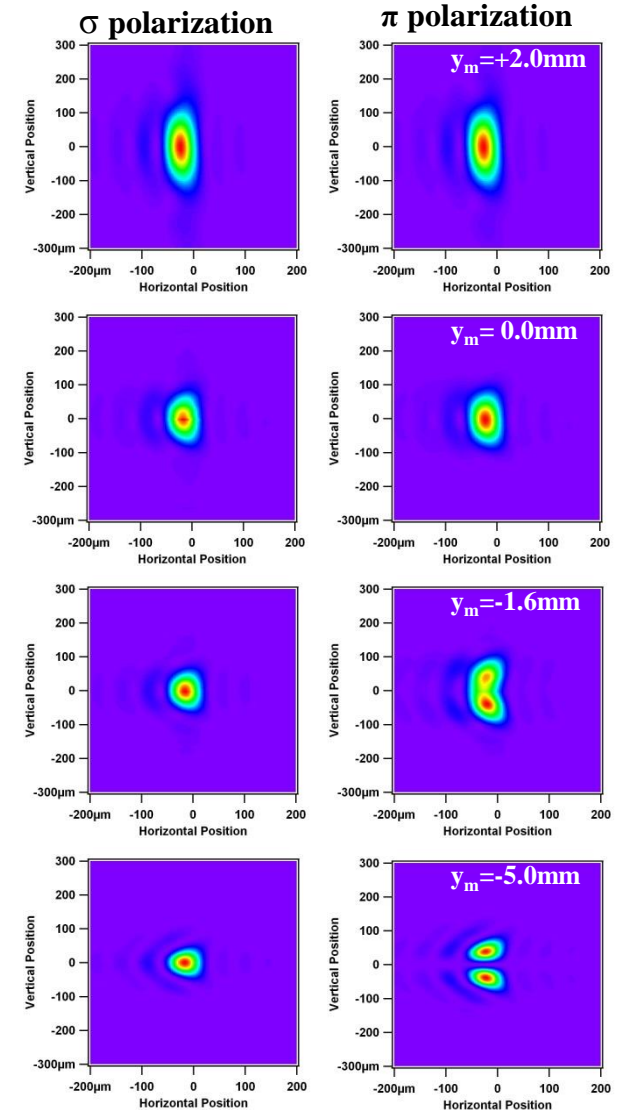
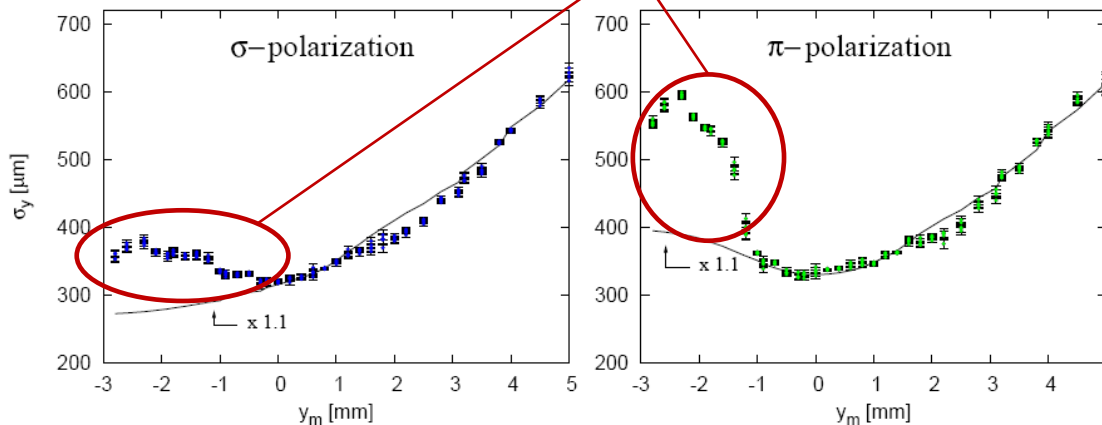
‣ influence on monitor resolution ?

## ● resolution calculation (for single particle)

- numerical near field calculation with SRW code

## ● comparison

assumption: heat deformation



# Proton Synchrotron Radiation

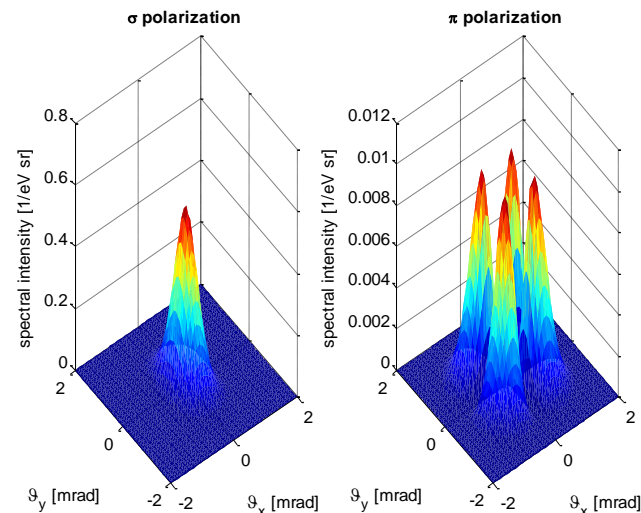
## analytical approach

R. Coisson, Phys. Rev. **A20** (1979), pp.524-528

fringe field parametrization:  $B(z) = \frac{B_0}{2}(1 + \text{erf}(z/L))$

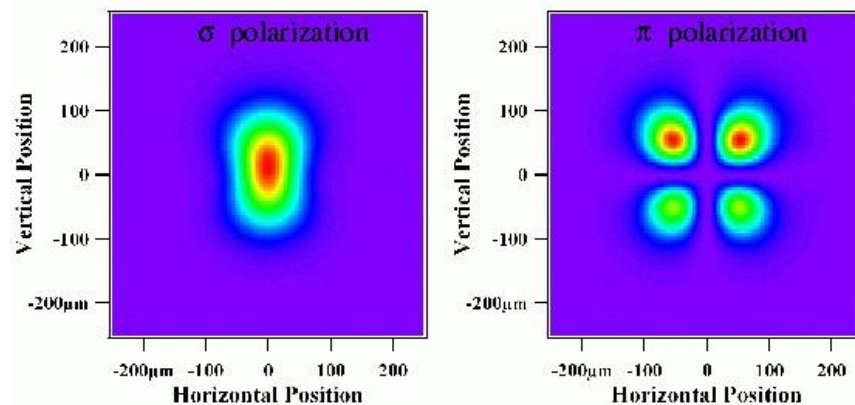
$$\frac{d^2N}{d\Omega d(\hbar\omega)} = \frac{8\alpha}{\pi^2} \mu_N^2 \frac{\gamma^6}{(\hbar\omega)^3} B_0^2 f^2 \exp \left[ -2 \left\{ \frac{L(1 + \vartheta^2 \gamma^2)}{4\gamma^2 \hbar c} \hbar\omega \right\}^2 \right]$$

$$f^2 = f_x^2 + f_y^2 \quad \text{with} \quad f_x = \frac{\gamma^2 (\vartheta_x^2 + \vartheta_y^2) - 1}{(1 + \vartheta^2 \gamma^2)^3}, \quad f_y = \frac{2\gamma^2 \vartheta_x \vartheta_y}{(1 + \vartheta^2 \gamma^2)^3}$$



## numerical approach

- el.field fully determined via particle orbit
- takes into account exact field distribution
- calculation based on SRW code



# Summary and Conclusion

## ● resolution in terms of geometrical optics

- › good resolution:  $\lambda$  small
- › balance acceptance angle  $\vartheta$ :  $\sigma_{\text{dfr}}$  vs.  $\sigma_{\text{dof}}$

## ● resolution based on analytical approach

- › good vertical resolution:  $\sigma$  polarization
- › calculation of broadened beam profiles in image plane
- › optimization of  $\vartheta$  possible

## ● numerical near field calculations

- › detailed resolution information
- › curvature and depth of field included
  - disturbed wave front (no more spherical)
- › possible for arbitrary magnetic configurations

## ● analytical approach including depth of field/curvature

- › based on paraxial Green's function
- › other
  - disturbed wave front characterized by phase factor

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