

# Charm Mixing and CP Violation

Weak Interactions and Neutrinos, Natal

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University of Manchester, United Kingdom

September 2013



The University of Manchester

- 1 Charm mixing and CP violation
- 2 LHCb
- 3 Measurements
  - $A_{\Gamma}$
  - $\Delta A_{CP}$
  - Wrong-sign
- 4 Conclusions
- 5 Backup

## Mixing:

- Mixing in neutral kaons and B mesons is well established.
- Charm mixing discovered through a combination of measurements in 2007. Phys.Rev.Lett., 98:211802 (2007)
- **First individual  $> 5\sigma$  result for charm mixing released by LHCb in 2012. Phys.Rev.Lett., 110:101802 (2013)**

## CP Violation:

- CP violation discovered in kaons in 1964. Phys. Rev. Lett. 13, 138140 (1964)
- CP violation observed in B mesons in 2001. Phys.Rev.Lett. 87:091801 (2001)
- **CP violation in charm not yet observed.**

Mass states:

$$|D_1\rangle = p |D^0\rangle - q |\bar{D}^0\rangle$$

$$|D_2\rangle = p |D^0\rangle + q |\bar{D}^0\rangle$$

$$CP |D^0\rangle = -|\bar{D}^0\rangle, \quad CP |\bar{D}^0\rangle = -|D^0\rangle$$

$$x = \frac{\Delta m}{\Gamma} \quad y = \frac{\Delta \Gamma}{2\Gamma}$$

$$\Gamma = \frac{\Gamma_1 + \Gamma_2}{2}$$

## DCS decays

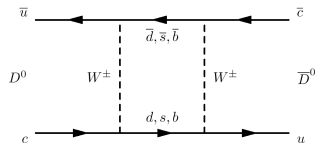
$$R_D = \left| \frac{A(D^0 \rightarrow K^+ \pi^-)}{A(D^0 \rightarrow K^- \pi^+)} \right|$$



$$x' = x \cos \delta + y \sin \delta$$

$$y' = y \cos \delta - x \sin \delta$$

- Mixing is small in charm.  
arXiv:0907.2950
- Quark loops are second order and GIM suppressed.



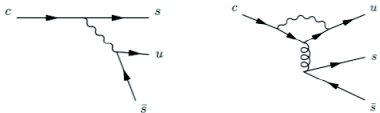
- Long distance effects are tricky to calculate. arxiv:0311371



- Current HFAG averages (no CPV):  
 $x = (0.4 \pm 0.2)\%$     $y = (0.5 \pm 0.2)\%$

Three possible sources of CP violation:

- Direct through the decays
- Through mixing
- Interference between mixing and decay



## CPV in mixing

$$\left| \frac{q}{p} \right| \neq 1 \quad \phi \neq 0$$

$$\left| \frac{q}{p} \right|^{\pm 2} \approx 1 \pm A_m$$

$$a_{CP}^{ind} = -\frac{A_m}{2} y \cos \phi + x \sin \phi$$

## CPV in decay

$$\left| \frac{\bar{A}_f}{A_f} \right|^{\pm 2} \approx 1 \pm A_d \quad a_{CP}^{dir} \approx -\frac{1}{2} A_d$$

## CPV in interference

$$\lambda_f = \frac{q}{p} \left| \frac{\bar{A}_f}{A_f} \right| e^{i\phi}$$

- In the Standard Model CP violation is expected to be small.
- Significant enhancements are an indication of New Physics.

HFAG fits 10 charm mixing and CPV parameters:

Parameters

mixing {  $x$   
 $y$

indirect CPV {  $|q/p|$   
 $\varphi$

direct CPV {  $A_K$   
 $A_\pi$   
 $A_D$

$R_D$

strong phases {  $\delta$   
 $\delta_{K\pi\pi}$

M. Gersabeck (2012)

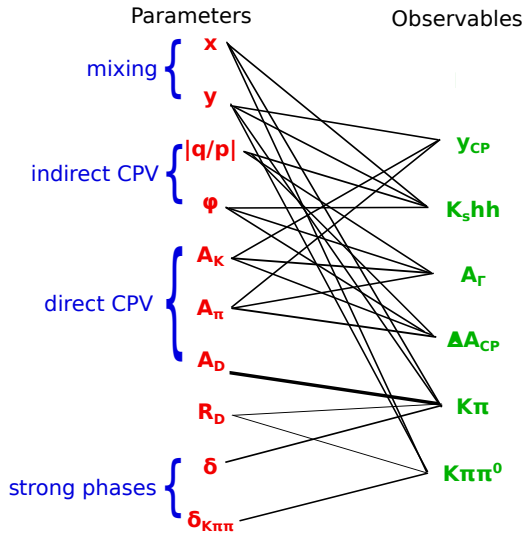
These observations are used in the fit:

Parameters	Observables
mixing { $x$ $y$	
indirect CPV { $ q/p $ $\varphi$	$y_{CP}$ $K_S hh$
direct CPV { $A_K$ $A_\pi$ $A_D$	$A_\Gamma$ $\Delta A_{CP}$
	$R_D$ $K\pi$
strong phases { $\delta$ $\delta_{K\pi\pi}$	$K\pi\pi^0$

- All of these analyses are under way at LHCb.
- This is not an exhaustive list.

M. Gersabeck (2012)

These observations are used in the fit:

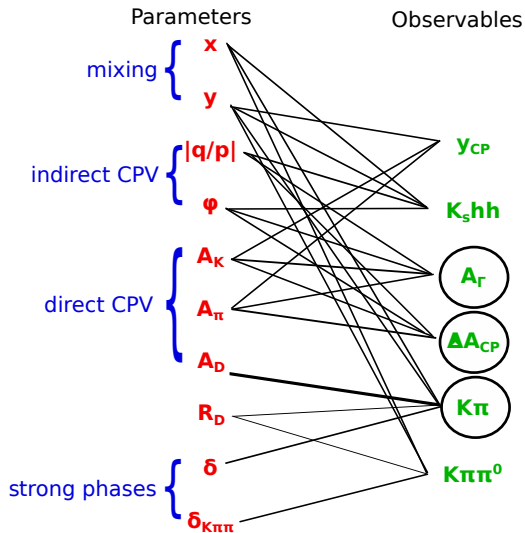


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M. Gersabeck (2012)



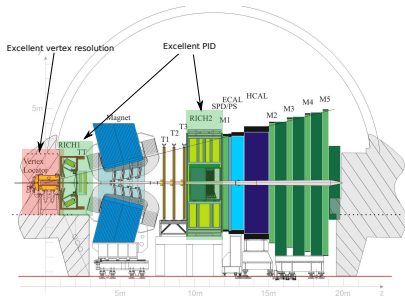
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M. Gersabeck (2012)

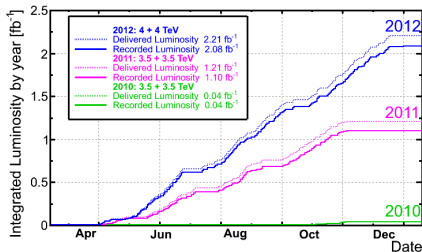
- Forward spectrometer.
- Acceptance  $2 < \eta < 5$



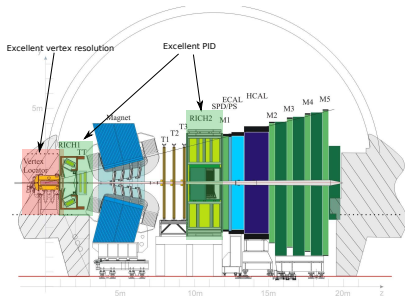
- 3 level trigger:
  - L0 hardware selects events with high  $p_T$  particles.
  - Two layers of software triggers.
- Output at  $\sim 5\text{kHz}$

## Data set

- 2011:  $1\text{fb}^{-1}$  at 7TeV
- 2012:  $2\text{fb}^{-1}$  at 8TeV



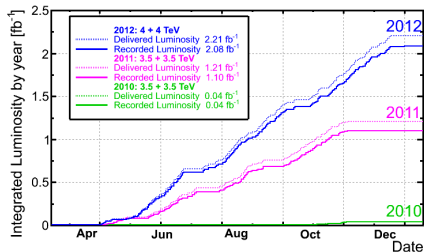
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- 3 level trigger:
  - L0 hardware selects events with high  $p_T$  particles.
  - Two layers of software triggers.
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## Data set

- 2011:  $1\text{fb}^{-1}$  at 7TeV
- 2012:  $2\text{fb}^{-1}$  at 8TeV



## Charm

$$\sigma_{b\bar{b},acc} = 75.3 \pm 14.1 \mu\text{b} \text{ at } 7\text{TeV}$$

Phys. Lett. B694 209-216

$$\sigma_{c\bar{c},acc} = 1419 \pm 134 \mu\text{b} \text{ at } 7\text{TeV}$$

Nucl. Phys. B871, 1-20

Prompt:

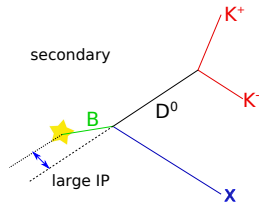
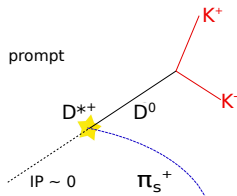
- Look for the decay  $D^{*+} \rightarrow D^0 \pi_s^+$ .

Semi-leptonic:

- Use the  $\mu$  from semi-leptonic B decays to determine the flavour.

Prompt backgrounds:

- Random  $\pi_s^+$  - mis-tag:
  - Separated out by fit of difference between  $D^{*+}$  and  $D^0$  masses,  $\Delta m$ .
- $D^0$  from B decays - secondaries:
  - $\ln(\text{IP}\chi^2)$  used as a discriminating variable.

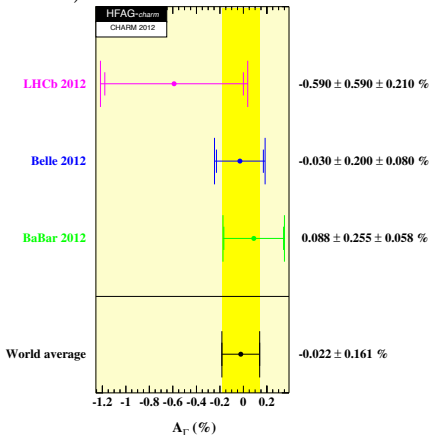


Asymmetry of  $D^0$  and  $\bar{D}^0$  decay rates to a  $CP$  eigenstate,  $K^+ K^-$  or  $\pi^+ \pi^-$ :

$$A_\Gamma(KK) = \frac{\hat{\Gamma}(D^0 \rightarrow K^+ K^-) - \hat{\Gamma}(\bar{D}^0 \rightarrow K^+ K^-)}{\hat{\Gamma}(D^0 \rightarrow K^+ K^-) + \hat{\Gamma}(\bar{D}^0 \rightarrow K^+ K^-)} \approx \frac{A_m + A_d}{2} y \cos \phi - x \sin \phi$$

- Expected to be small in the Standard Model,  $\sim 10^{-4}$ .
- Approximately final state independent in SM.

$$\begin{aligned} \Delta A_\Gamma &= A_\Gamma(KK) - A_\Gamma(\pi\pi) \\ &\approx \Delta A_D y \cos \phi + (A_M + A_D) y \Delta \cos \phi \\ &\quad - x \Delta \sin \phi \end{aligned}$$



LHCb-PAPER-2013-054

Extract mean effective lifetimes of  $D^0$  ( $\bar{D}^0$ ) decaying to the  $CP$  eigenstates by means of a fit to  $D^0$  decay times.

- $1fb^{-1}$  of 2011 7Tev data.
- Fit 3 final states:  $K^+ K^-$ ,  $\pi^+ \pi^-$ ,  $K^- \pi^+$ .
- Two stage fit process
  - Fit  $m_{D^0}$  and  $\Delta m$  simultaneously to separate signal and background.
  - Simultaneous fit to  $D^0$  decay time and  $\ln(IP\chi^2)$  to extract the prompt signal mean lifetime.
- The  $\ln(IP\chi^2)$  variable discriminates between prompt and secondary.
- Acceptance biases due to the selection corrected for using the “swimming” method. J. Phys.: Conf. Ser. 396 022016

Candidates after selection:

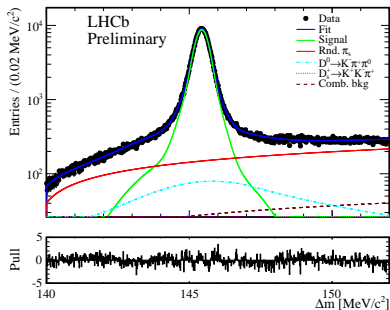
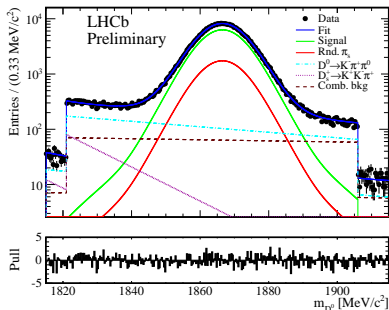
Channel	No. of candidates
$D^0 \rightarrow K^- \pi^+$	34.1 million
$D^0 \rightarrow K^+ K^-$	4.8 million
$D^0 \rightarrow \pi^+ \pi^-$	1.6 million

LHCb-PAPER-2013-054

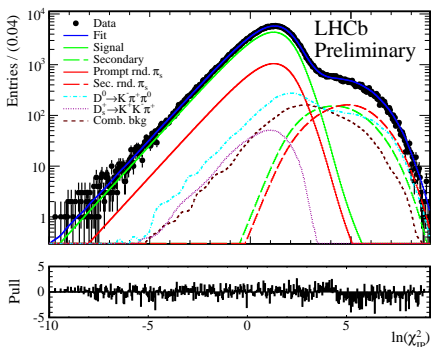
- Unbinned maximum likelihood fit to  $D^0$  mass and  $\Delta m$  to determine signal and backgrounds.

- Separate out:
  - Random  $\pi_s^+$
  - Combinatoric
  - Mis-reconstructed decays in the  $K^+ K^-$  final state

$$\bar{D}^0 \rightarrow K^+ K^-$$



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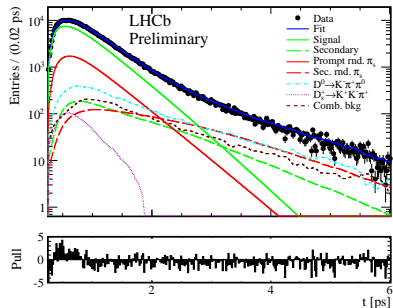
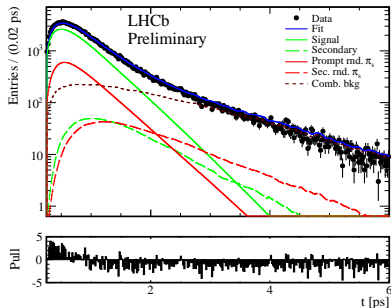
 $\bar{D}^0 \rightarrow K^+ K^-$ 


The  $\ln(\text{IP}\chi^2)$  fit is used to separate prompt and secondary signal and random  $\pi_s^+$ .

- Prompt and secondary signal and  $\pi_s^+$  background are described by parametric PDFs.
- Their fit parameters are allowed to evolve in time.
- Secondary components show significant time dependence.
- Background PDFs are constructed by applying kernel density estimators to sPlots.



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 $\bar{D}^0 \rightarrow K^+ K^-$ 

 $\bar{D}^0 \rightarrow \pi^+ \pi^-$ 


$$A_\Gamma(KK) = (-0.35 \pm 0.62_{stat} \pm 0.12_{syst}) \times 10^{-3}$$

$$A_\Gamma(\pi\pi) = (0.33 \pm 1.06_{stat} \pm 0.14_{syst}) \times 10^{-3}$$

Preliminary

- This is the most accurate measurement of  $A_\Gamma$  to date.
- Consistent with no CP violation and no difference in  $A_\Gamma$  between the final states.

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Several cross checks were carried out:

- $D^0$  lifetime measured using the  $K^- \pi^+$  data;  $\tau = (412 \pm 0.1)fs$ , compared to the PDG value of  $\tau = 410.1 \pm 1.5)fs$ .
- Null tests.
- Checked dependencies on kinematics, number of PVs in the event, trigger selections etc.
- Simulations with varying configurations.

Studies of systematic uncertainties on the unbinned method are summarised in the table:

Effect	$A_{\Gamma} (K^+ K^-) \times 10^{-3}$	$A_{\Gamma} (\pi^+ \pi^-) \times 10^{-3}$
Mis-reconstructed bkg.	$\pm 0.02$	$\pm 0.00$
Charm from B	$\pm 0.07$	$\pm 0.07$
Other backgrounds	$\pm 0.02$	$\pm 0.04$
Acceptance function	$\pm 0.09$	$\pm 0.11$
Total	$\pm 0.12$	$\pm 0.14$

Preliminary

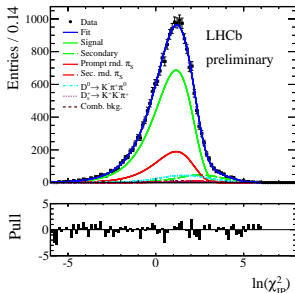
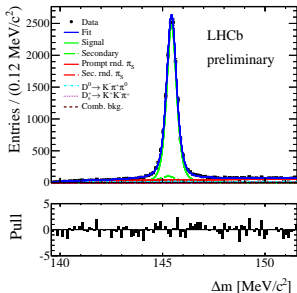
LHCb-PAPER-2013-054

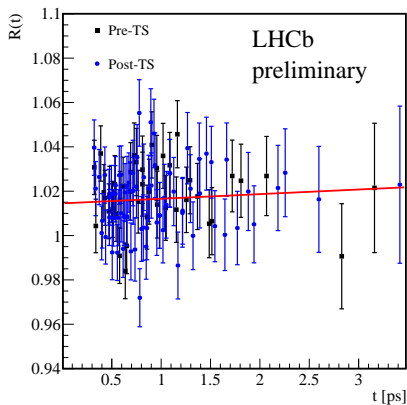
Use an alternative method to measure  $A_\Gamma$ .  
 Measure the ratio of  $D^0$  and  $\bar{D}^0$  yields in bins  
 of decay time.

$$R(t, t + \Delta t) \approx \frac{N_{\bar{D}^0}}{N_{D^0}} \left( 1 + \frac{2A_\Gamma}{\tau_{KK}} t \right) \frac{1 - e^{-\frac{\Delta t}{\tau_{\bar{D}^0}}}}{1 - e^{-\frac{\Delta t}{\tau_{D^0}}}}$$

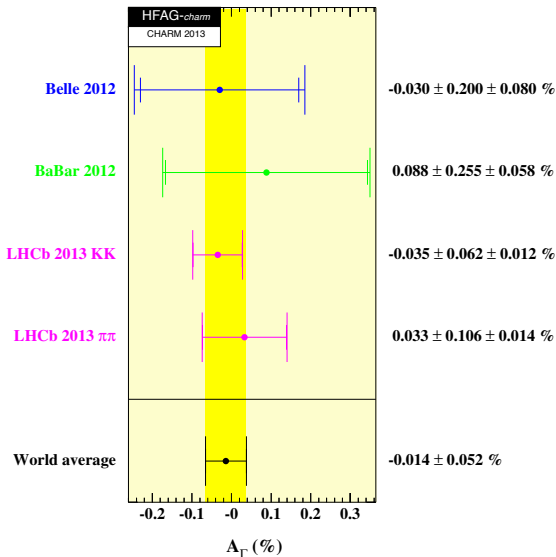
$$\bar{D}^0 \rightarrow K^+ K^-, 0.74\text{ps}-0.78\text{ps}$$

- Fit  $m_{D^0}$ ,  $\Delta m$ , and  $\ln(\text{IP}\chi^2)$  to find yields.
- Plot ratio as function of decay time and fit.





The binned results are consistent with those from the unbinned fit.



Time-integrated asymmetries for final states  $f$ :  $K^+ K^-$  and  $\pi^+ \pi^-$ .

$$A_{CP}(f) = \frac{\Gamma(D^0 \rightarrow f) - \Gamma(\bar{D}^0 \rightarrow f)}{\Gamma(D^0 \rightarrow f) + \Gamma(\bar{D}^0 \rightarrow f)}$$

$$A_{CP}^{meas} = A_{CP} + A^{prod} + A^{det}$$

- Taking the difference cancels detection and production asymmetries.
- To a good approximation this is a measure of direct CP violation.

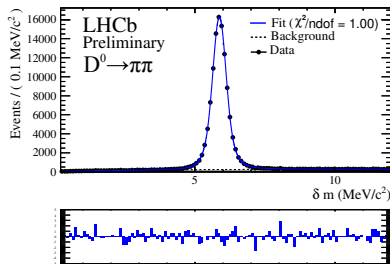
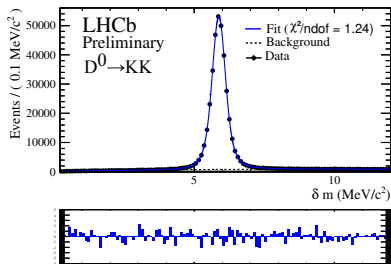
$$\Delta A_{CP} = A_{KK} - A_{\pi\pi} \approx \Delta a_{CP}^{dir} \left( 1 + y_{CP} \frac{\langle \bar{t} \rangle}{\tau} \right) + a_{CP}^{ind} \frac{\Delta \langle t \rangle}{\tau}$$

- LHCb result on  $0.6fb^{-1}$  showed evidence of CPV:  $(-0.82 \pm 0.21 \pm 0.11)\%$ .
- Results on full CDF and Belle datasets looked consistent.

There are two analyses on the 2011  $1fb^{-1}$  sample: prompt and muon-tagged.

- The analyses were carried out independently.
- The two data sets are statistically independent.
- Changes to prompt analysis - **not** just adding  $0.4fb^{-1}$ .

LHCb-CONF-2013-003

 Fit  $\Delta m$  to extract signal yield;  $K^+ K^-$  on left,  $\pi^+ \pi^-$  on right.


$$\frac{\Delta \langle t \rangle}{\tau} = (11.19 \pm 0.13 \pm 0.17)\%$$

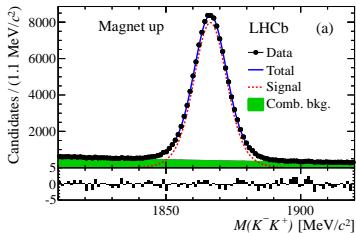
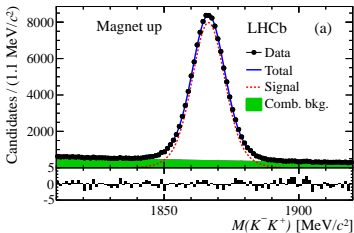
$$\Delta A_{CP} = (-0.34 \pm 0.15_{\text{stat}} \pm 0.10_{\text{syst}})\%$$

Preliminary

Phys. Lett. B 723 (2013) 33-43

Tag the initial  $D^0$  flavour from the charge of the muon in the decay of B meson.

- Mostly trigger on the muon.
- Extract  $D^0$  and  $\bar{D}^0$  yields using a fit to the reconstructed mass.



$$\frac{\Delta\langle t \rangle}{\tau} = (1.8 \pm 0.2 \pm 0.7)\%$$

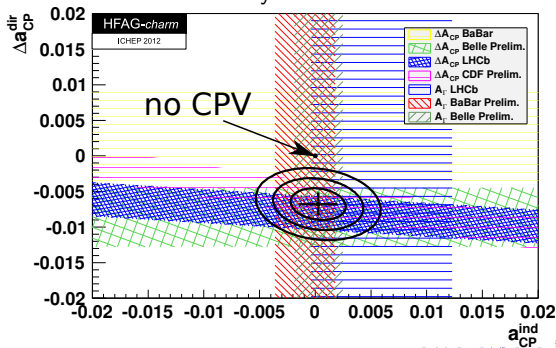
$$\frac{\langle \bar{t} \rangle}{\tau} = 1.062 \pm 0.001 \pm 0.003$$

$$\Delta A_{CP} = (+0.49 \pm 0.30_{stat} \pm 0.14_{syst})\%$$



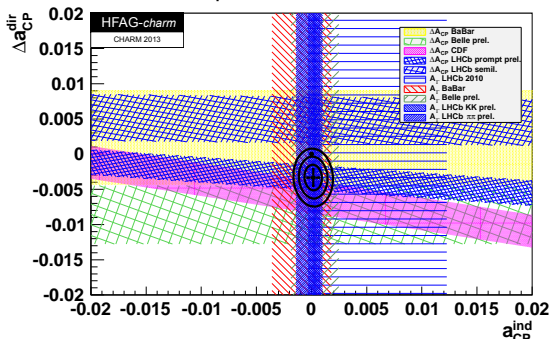
Experiment	$\Delta A_{CP}\%$	Paper
LHCb prompt ( $0.6fb^{-1}$ )	$(-0.82 \pm 0.21 \pm 0.11)$	Phys.Rev.Lett. 108,111602
CDF	$(-0.62 \pm 0.21 \pm 0.10)$	Phys.Rev.Lett. 109,111801
Belle	$(-0.87 \pm 0.41 \pm 0.06)$	arXiv:1212.1975
LHCb prompt (Prelim)	$(-0.34 \pm 0.15 \pm 0.10)$	LHCb-CONF-2013-003
LHCb semi-leptonic	$(0.49 \pm 0.30 \pm 0.14)$	Phys.Lett.B 723
LHCb average	$(-0.15 \pm 0.16)$	LHCb-CONF-2013-003

July 2012



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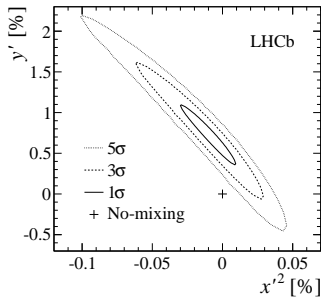
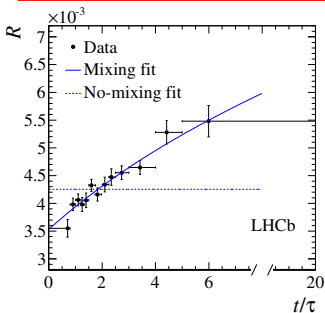
September 2013



- Study the time dependence of the ratio of CF,  $D^0 \rightarrow K^- \pi^+$  and DCS,  $D^0 \rightarrow K^+ \pi^-$  decays.
- Assuming no CPV:

$$R(t) = \frac{N_{WS}(t)}{N_{RS}(t)} = R_D + \sqrt{R_D} y' t + \frac{x'^2 + y'^2}{4} t$$

Analysis of 2011 data: PRL 110, 101802 (2013)  
 $y' = (7.2 \pm 2.4) \times 10^{-3}$      $x'^2 = (-0.09 \pm 0.13) \times 10^{-3}$   
**No mixing hypothesis excluded at  $9.1\sigma$ .**



If we include CP violation in the mixing and consider  $D^0 (R^+)$  and  $\bar{D}^0 (R^-)$  separately:

$$x'^{\pm} = \left( \frac{1 \pm A_M}{1 \mp A_M} \right)^{\frac{1}{4}} (x' \cos \phi \pm y' \sin \phi)$$

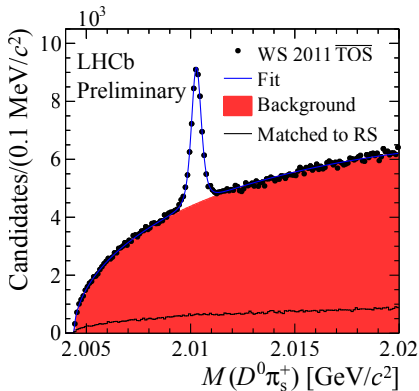
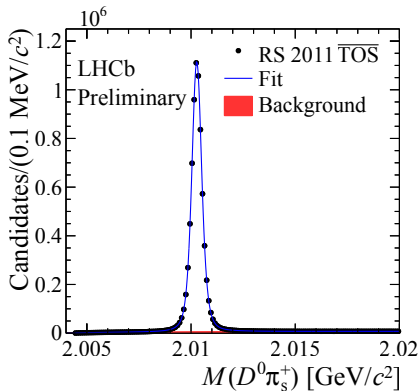
$$y'^{\pm} = \left( \frac{1 \pm A_M}{1 \mp A_M} \right)^{\frac{1}{4}} (y' \cos \phi \mp x' \sin \phi)$$

- Perform the mixing measurements for  $D^0$  and  $\bar{D}^0$ , extract  $R_D^{\pm}$ ,  $x'^{2\pm}$  and  $y'^{\pm}$  and look for differences to find CP violation in mixing.
- Can extract the direct CP violation in the decay amplitude:

$$A_D = \frac{R_D^+ - R_D^-}{R_D^+ + R_D^-}$$

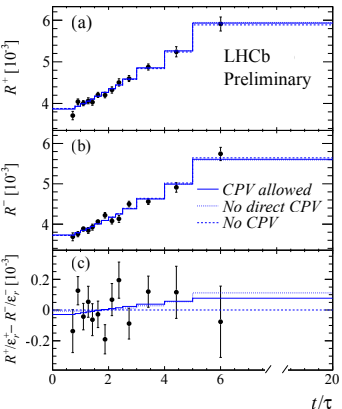
LHCb-PAPER-2013-053

Fits to the  $D^{*+}$  mass to extract RS (left) and WS (right) yields.



In total 54 millions RS and 0.23 million WS candidates were selected.

LHCb-PAPER-2013-053  
Fit  $R^+$ ,  $R^-$  and plot the  
difference.



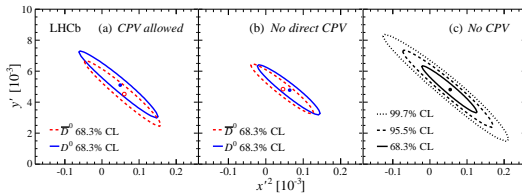
Fit to  $3fb^{-1}$  of 2011 + 2012 data.

Consistent with no CP violation.

$A_D = (-1.3 \pm 1.9)\%$

$0.75 < \left| \frac{q}{p} \right| < 1.24$  at 68.3% confidence level.

Preliminary

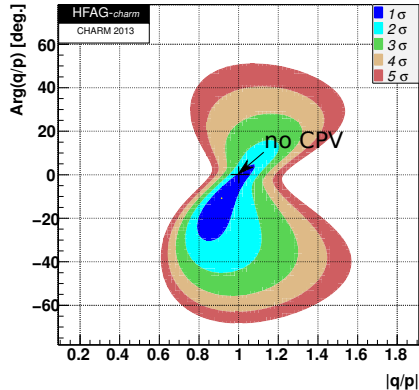
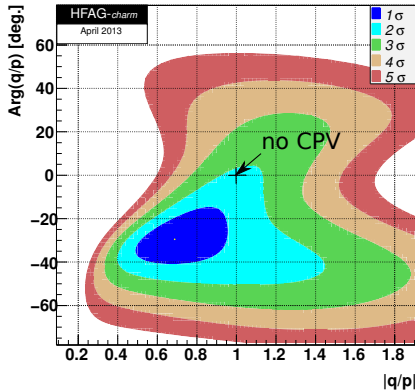


~ factor 2.5 improvement on previous mixing measurement (no CPV).

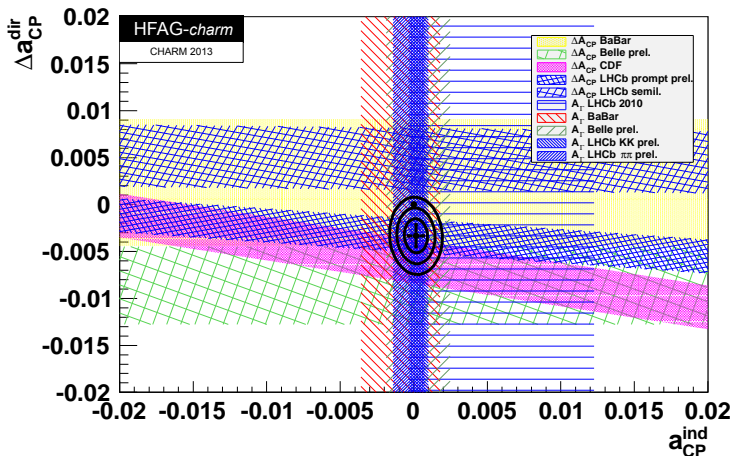
$y' = (4.81 \pm 0.85 \pm 0.53) \times 10^{-3}$     $x'^2 = (5.5 \pm 4.2 \pm 2.6) \times 10^{-5}$

Preliminary

CP violation has yet to be observed in the charm system.



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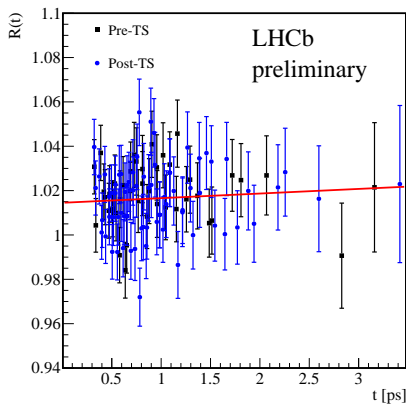


- Mixing is now well established in charm.
- CP violation has yet to be observed in this system.
- The analyses presented show great increases in accuracy over previous results.
- These results will improve when the rest of run 1 data is analysed and run 2 starts.
- Additionally there are many other analyses at LHCb that probe mixing and CP violation.
  - $D^+ \rightarrow K^+ K^- \pi^+$  Phys. Rev. D 84, 112008 (2011)
  - $D^+ \rightarrow \phi \pi^+$  and  $D_s^+ \rightarrow K_s^0 \pi^+$  JHEP 06 (2013) 112
  - $D^0 \rightarrow K^+ K^- \pi^+ \pi^-$  and  $D^0 \rightarrow \pi^+ \pi^- \pi^+ \pi^-$  Phys. Lett. B 10,1016 (2013)



From September 2013:

Parameter	No $CPV$	$CPV$ -allowed
$x$ (%)	$0.53^{+0.16}_{-0.17}$	$0.39^{+0.16}_{-0.17}$
$y$ (%)	$0.67 \pm 0.09$	$0.67^{+0.07}_{-0.08}$
$\delta_{K\pi}$ ( $^\circ$ )	$14.0^{+9.3}_{-10.5}$	$12.5^{+9.4}_{-11.0}$
$R_D$ (%)	$0.350 \pm 0.004$	$0.349 \pm 0.004$
$A_D$ (%)	—	$-0.95 \pm 1.0$
$ q/p $	—	$0.91^{+0.11}_{-0.09}$
$\phi$ ( $^\circ$ )	—	$-10.8^{+10.5}_{-12.3}$
$\delta_{K\pi\pi}$ ( $^\circ$ )	$19.6^{+22.8}_{-23.4}$	$26.8^{+24.2}_{-24.5}$
$A_\pi$	—	$0.18 \pm 0.15$
$A_K$	—	$-0.15 \pm 0.14$

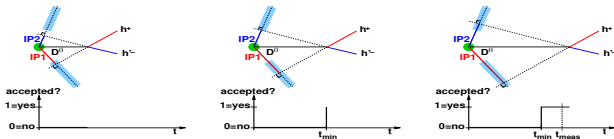


$$A_F(KK) = (-0.42 \pm 0.58_{stat} \pm 0.73_{syst}) \times 10^{-3}$$
$$A_F(\pi\pi) = (0.74 \pm 0.96_{stat} \pm 0.80_{syst}) \times 10^{-3}$$

Preliminary

The binned results are consistent with those from the unbinned fit.

- Data reprocessed with new detector calibration and reconstruction software.
  - $\sim 15\%$  of previous signal candidates removed.
  - 17% KK and 34% $\pi\pi$  new candidates.
- Add the rest of 2011 data -  $0.4fb^{-1}$ .
- Use kinematic weighting instead of binning.
- Add constraint for  $\pi_s^+$  to originate at PV - improve  $\Delta m$  resolution and S/B.



- The primary vertex is 'swum' along the  $D^0$  direction and the trigger rerun.
- Trigger decision recorded and a per event acceptance determined.