

Neutrino Oscillations with IceCube DeepCore

Measurement of ν_{μ} disappearance with the
full detector configuration

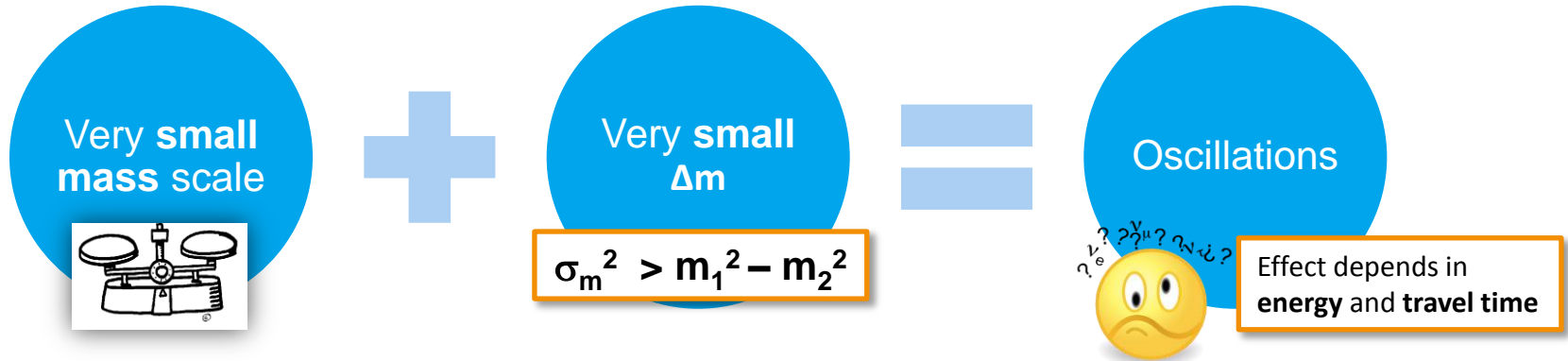
Juan Pablo Yáñez

DESY

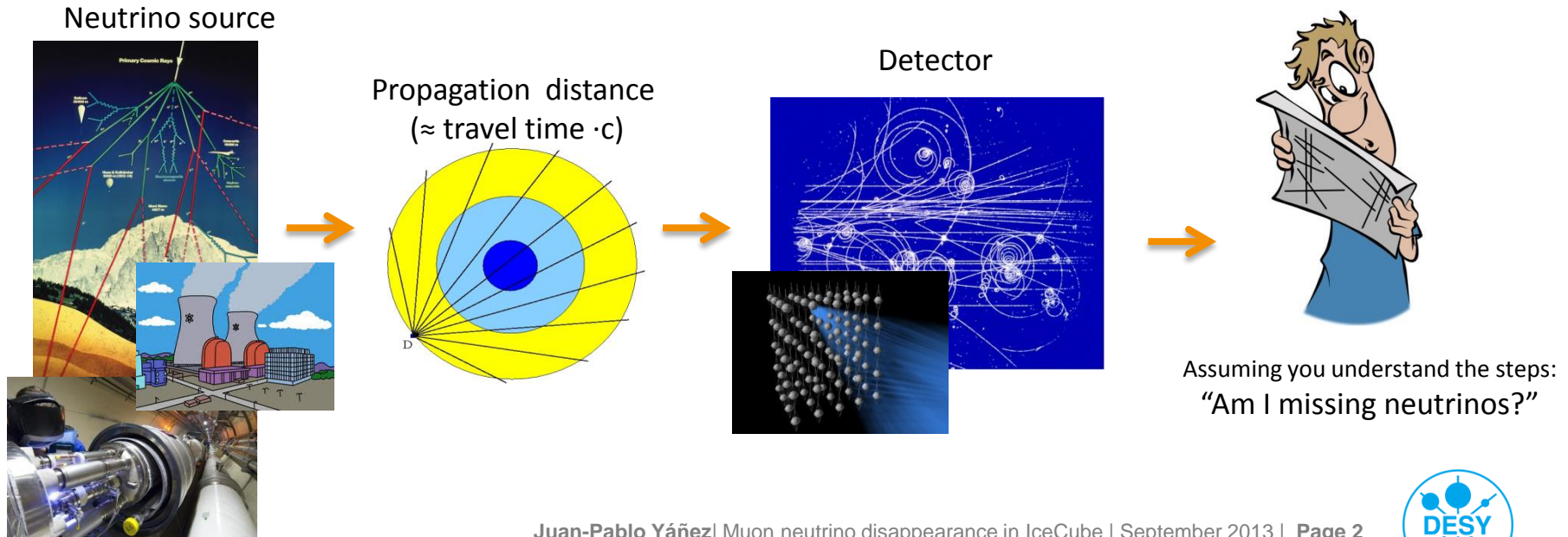
Weak Interactions and Neutrinos Workshop
September 2013, Natal, Brazil

Searching for neutrino oscillations

> Oscillations in theory

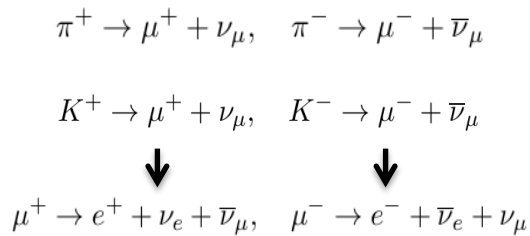
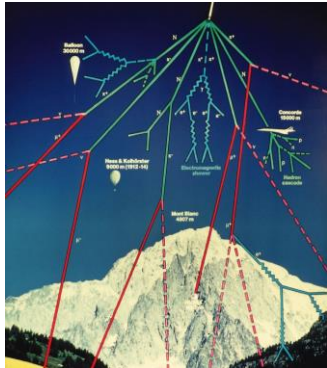


> The disappearance channel in practice



The experimental setup

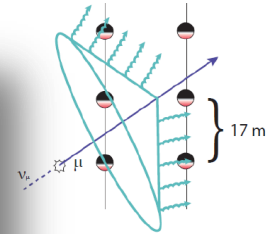
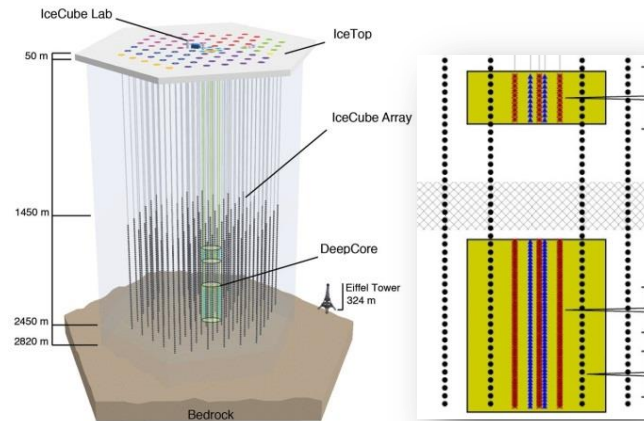
Source: atmospheric neutrinos
CR (mostly protons) – nucleon interaction



- Travel distance of 20 – 12,700 km
- Wide energy range (MeV to PeV)

Source of **signal** ν_μ and **background** μ

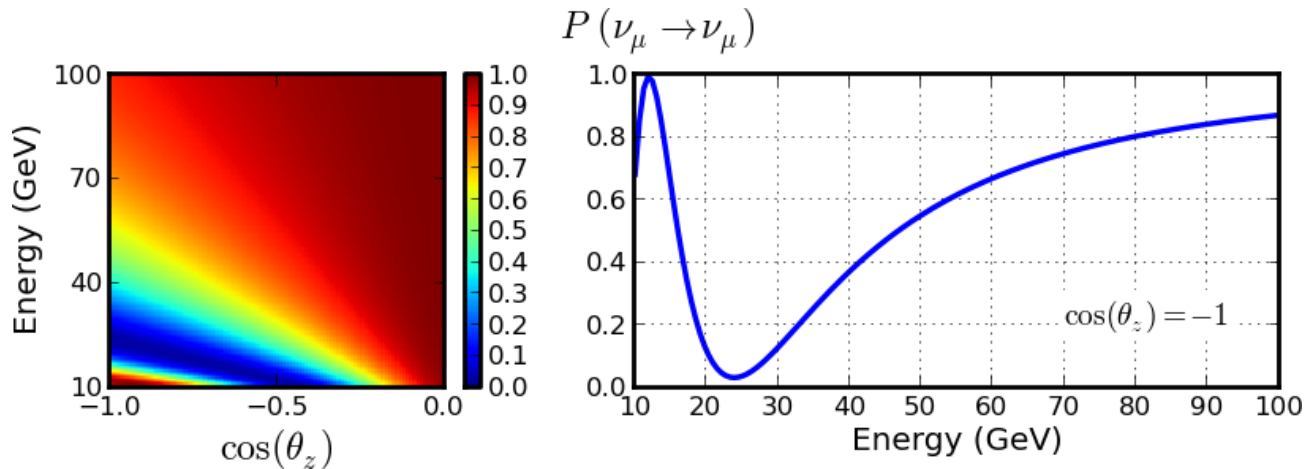
Detector: IceCube+DeepCore



DeepCore string:
+35% QE, 7 m separation
IceCube string, 17 m

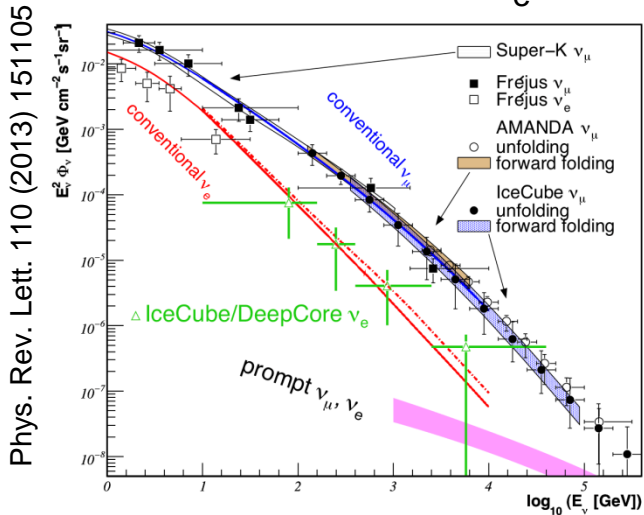
Triggers on thousands of neutrinos per year in signal region

The searched effect



What have we seen with DeepCore?

Measurement of ν_e



Caveats

> First year of incomplete DeepCore

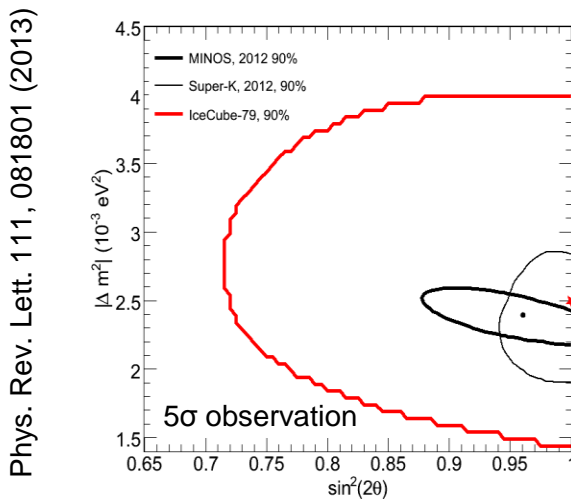
- Event reconstructions in development
 - Oscillation results using tools for designed for TeV neutrinos
- Focusing on the “high-energy” side ($E \geq 25$ GeV)
- Detector behavior still being understood

> Veto techniques started to be explored

> Large errors due to uncertainties in:

- Optical properties of the medium (ice)
- Absolute photon collection
- Atmospheric neutrino flux
- Neutrino cross sections

Neutrino oscillations



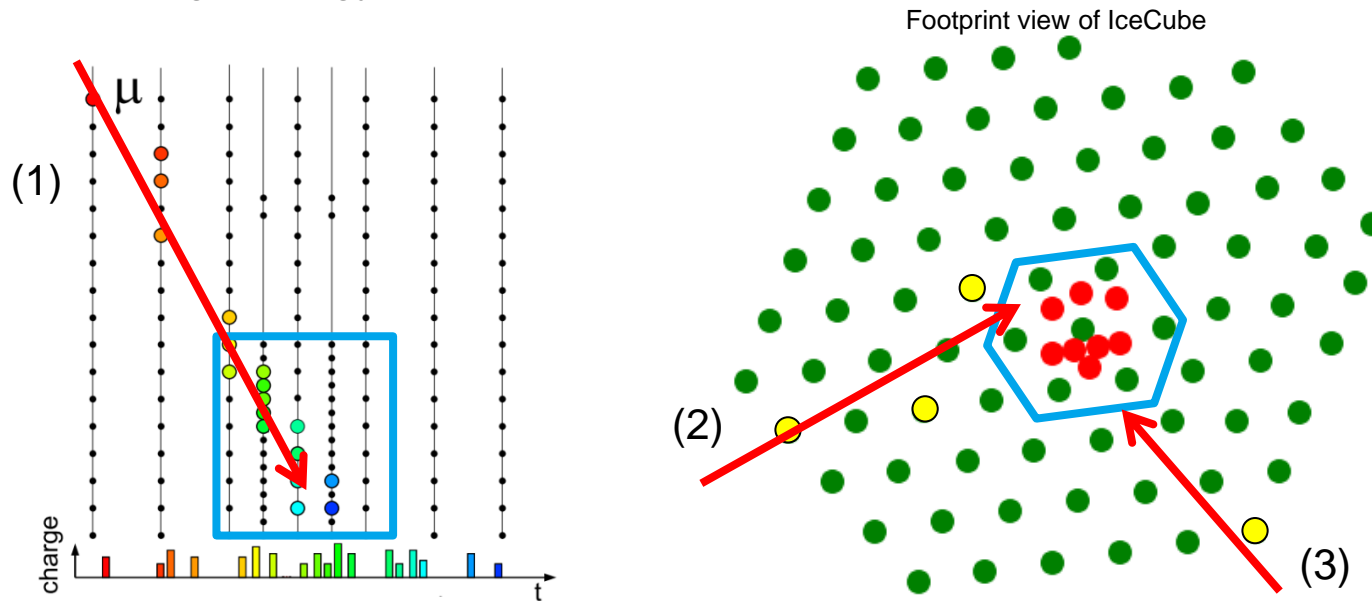
Introducing new strategies

Event selection and particle reconstructions



Selection of a neutrino sample: background rejection

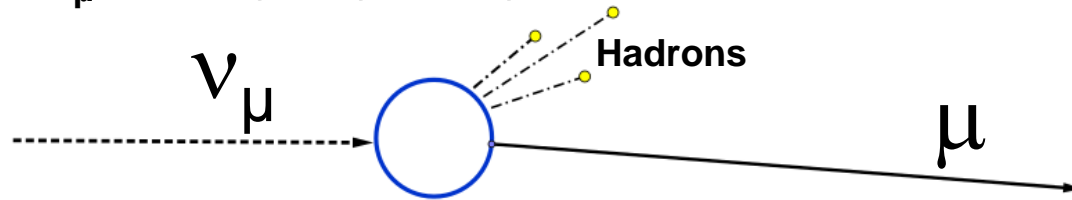
- Rejection of atmospheric muon background based on veto capabilities
 - Location of first DOM pair (trigger)
 - Search for clusters of DOMs in veto region (1)
 - Count isolated but causally connected DOMs in veto region (2)
 - Search for individual hits in a narrow time window from known problematic directions (3)
 - Hints from “high-energy” reconstructions



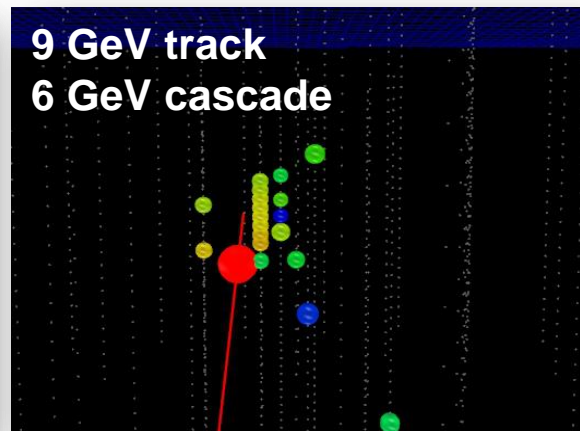
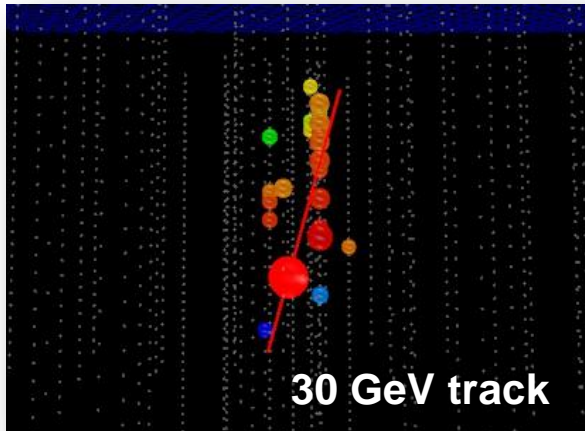
Next criterion: event quality. Need to define it!

Revisiting the signal

- > The signal is ν_μ undergoing charged current (CC) interaction



- Neutral currents are background for IceCube oscillation analyses (no CC, NC cascade distinction)
 - ν_e and ν_τ CC are also background
- > Typical detector signature of ν_μ CC interaction at $E_\nu < 50$ GeV

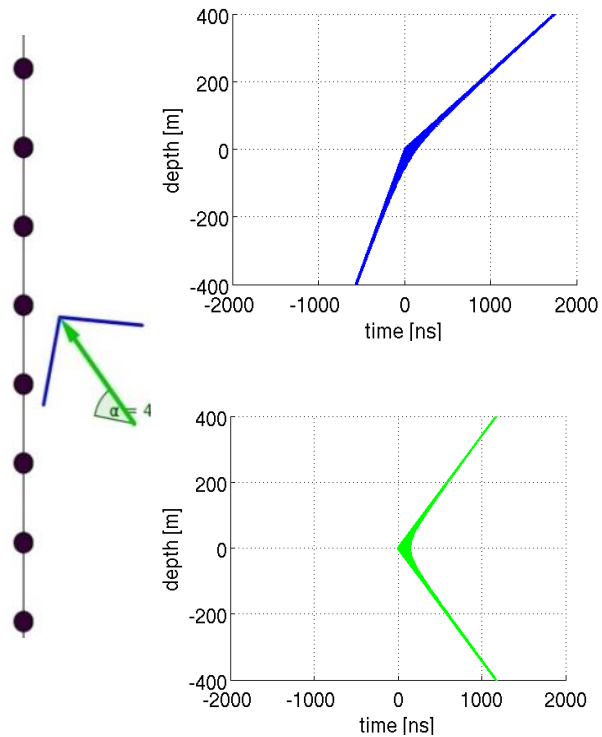


- Always a **mix** of track & cascade (entangled light)
- Few photons / channels
- Detector spacing matters
- Isolated hits can distort reconstruction tools

Very different from ν_μ CC of TeV energies in IceCube

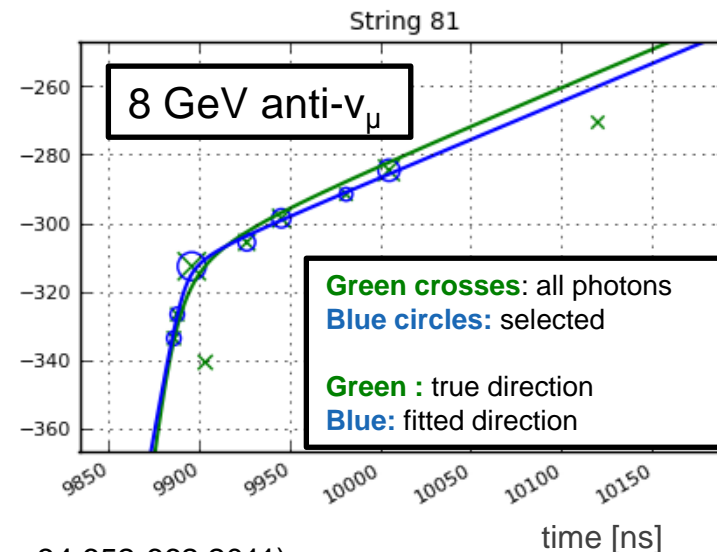
The importance of *direct photons*

- Focus on the subset of neutrino events dominated by non-scattered photons
- Build observables that depend on them
 - Minimally distorted by medium properties/event variations



- Cherenkov light projected in string = hyperbolas

- Search for patterns to get 2 variables:
- Number of direct hits → **quality criterion**
- Hyperbola orientation → **zenith angle**



Idea developed in Collaboration with J. Brunner* (Astropart.Phys.34:652-662,2011)

Single-string ANTares inspired Algorithm

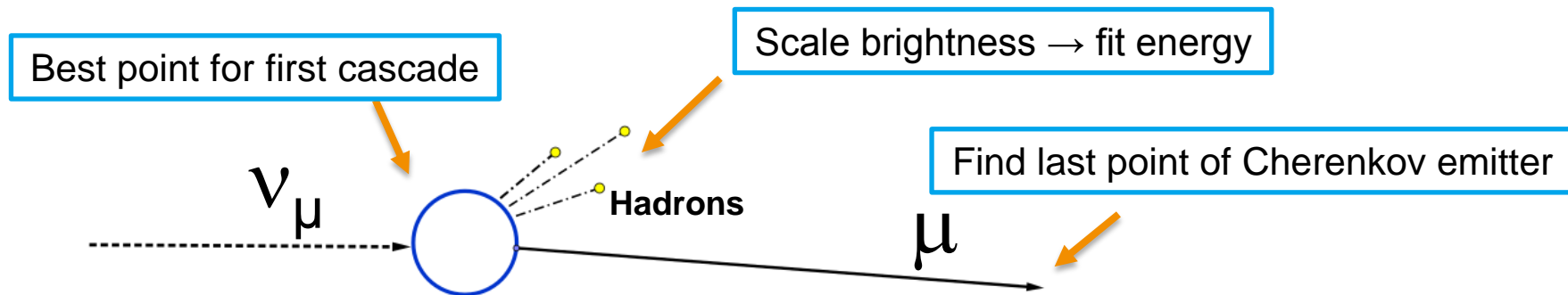
Juan-Pablo Yáñez | Muon neutrino disappearance in IceCube | September 2013 | Page 8



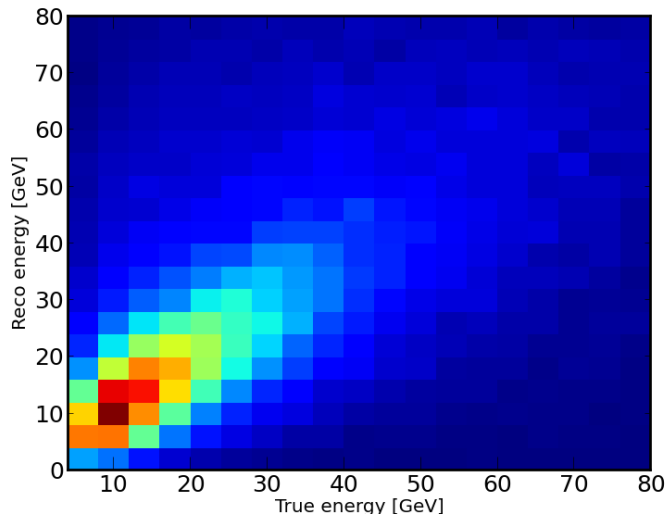
Constructing a full energy estimator

- Divide the problem, solve problems in steps

Energy proxy = Muon energy (track) + Energy of hadrons (cascade)



Correlation between reconstructed and true energy



- Uses all information available in the detector
- Step-wise approach
 - Requires separation of light from track/cascade
- Needs the parametrized light emission of particles
 - Optical properties of the ice included
 - All hadrons treated as a cascade

Fitting the oscillation parameters: θ_{23} , Δm^2_{23}

> Using a binned Poisson likelihood for a **3 flavor fit**

- **2-D histograms** as a function of **energy** and **zenith angle** $E = [7,100] \text{ GeV}, \cos \theta_z < 0$
- **Systematic uncertainties** as nuisance parameters

$$-\ln(L) \propto \sum_i t_i - d_i \ln t_i + \frac{1}{2} \frac{(v_i - \hat{v})^2}{\sigma_v^2}$$

> Systematic uncertainties included

Systematic uncertainty	Prior	Implemented
Atm. muon contamination	Flat prior, contamination [0, 10%]	Modifying the weights
Atm. Neutrino flux	From Honda 2006. Normalization left free. Analyzing shape	
ν_e/ν_μ deviation	$\sigma_n = 0.2$	
Spectral index (γ)	$\mu_\gamma = -2.65, \sigma_\gamma = 0.05$	
$\theta_{12}, \theta_{13}, \Delta m^2_{21}$	From Fogli et al. (arXiv:1205.5254)	
Photon collection eff.	$\sigma_{\text{eff}} = 10\%$	From discrete MC variations
DOM relative eff.	$\mu_{\text{HQE}} = 135\%, \sigma_{\text{HQE}} = 3\%$	
Scattering in ice columns	$\mu_a = 0.02, \sigma_a = 0.01 \text{ cm}^{-1}$	

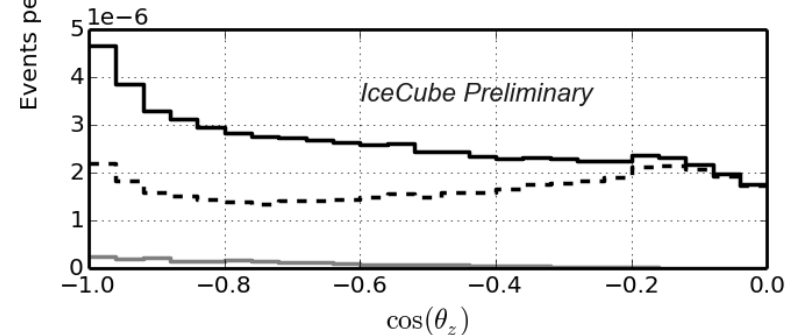
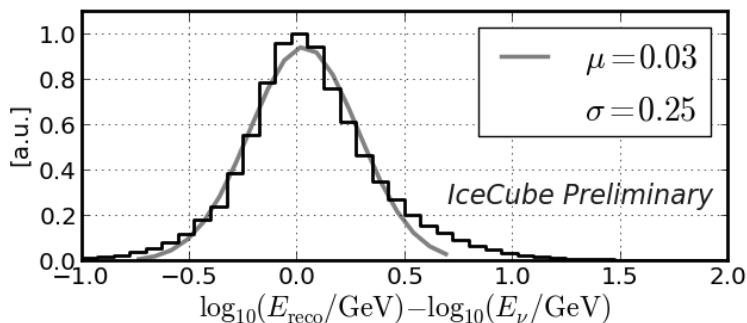
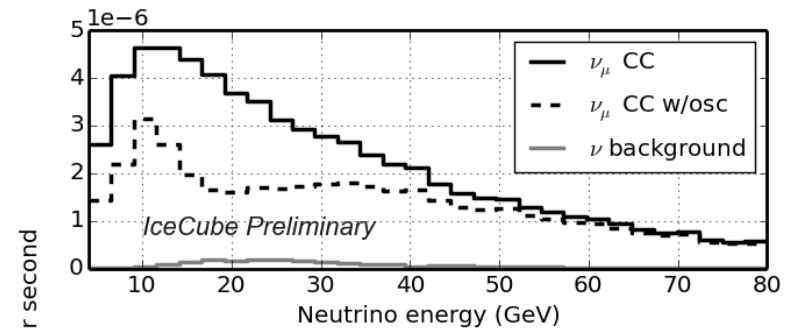
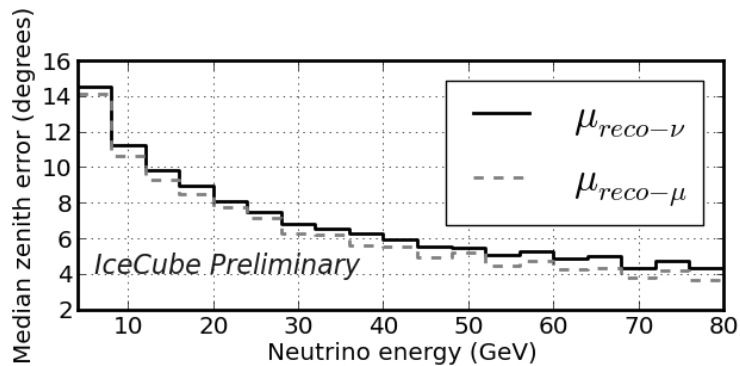


Results



The final neutrino sample

- Selection based on veto techniques and quality events
 - Focusing on reaching a well understood result
- ~ 2,000 events/year expected, disappearance of 500
 - Energy distribution peak ~12 GeV. Zenith acceptance enhanced for vertical events.
 - Zenith resolution better than 10 degrees. Energy resolution of 0.25 in $\log_{10}(E/\text{GeV})$

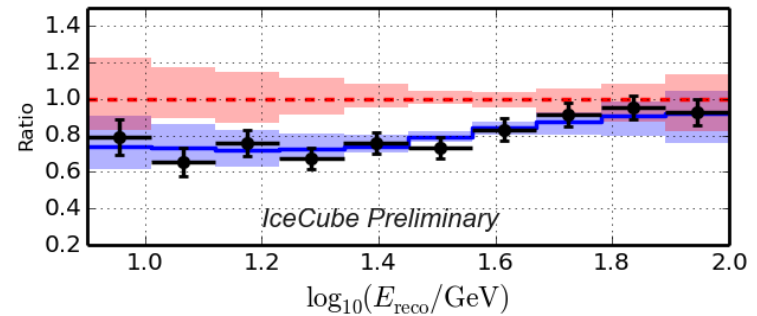
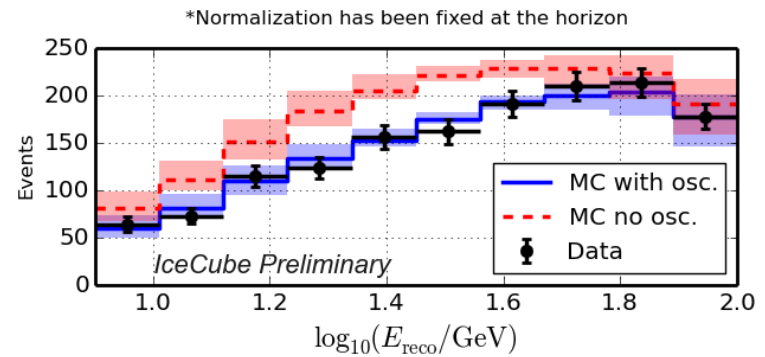
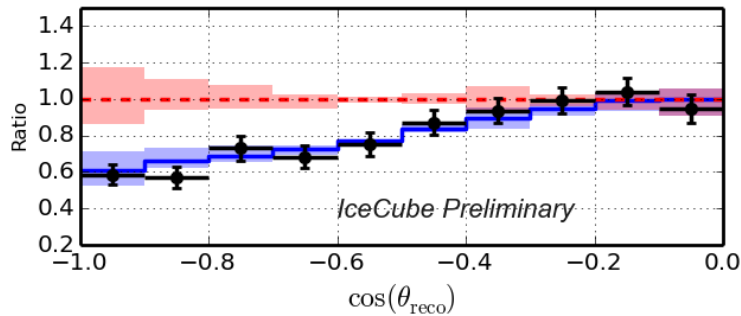
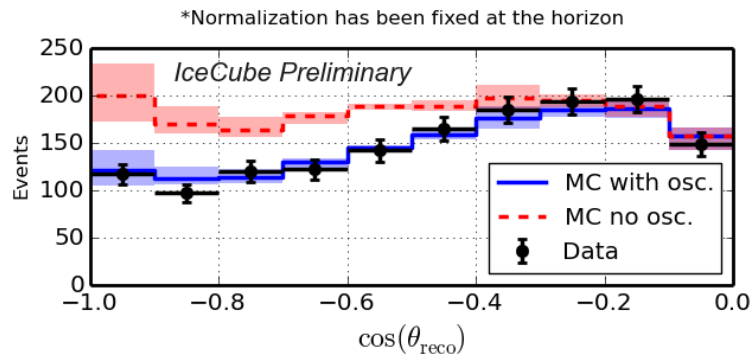


Best fit parameters from data

Parameter	Best fit point
$\sin^2(2\theta)$	1.0 (>0.94 at 68% CL)
Δm^2 (10^3 eV^2)	2.50 +/- 0.50

1487 events selected (2011-2012)
 6σ rejection of no osc.
 $\chi^2 = 48.8 / 54 \text{ dof}$

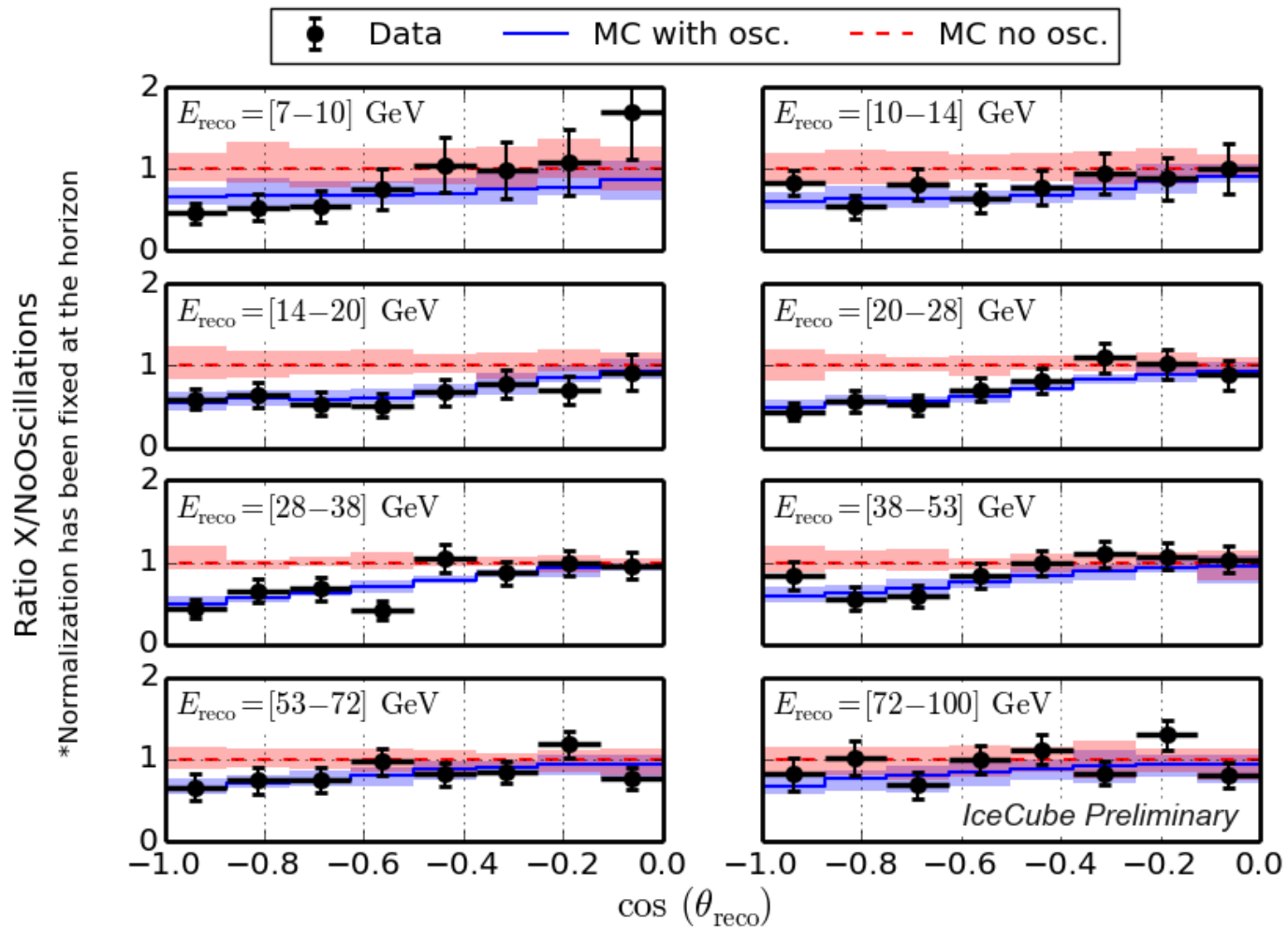
Data / MC agreement



For all figures: bands indicate systematic uncertainties, MC expectation calculated using baseline values for nuisance parameters, **normalization fixed at the horizon (free in LLH)**



Ratio to no oscillations (bins as used in the likelihood)

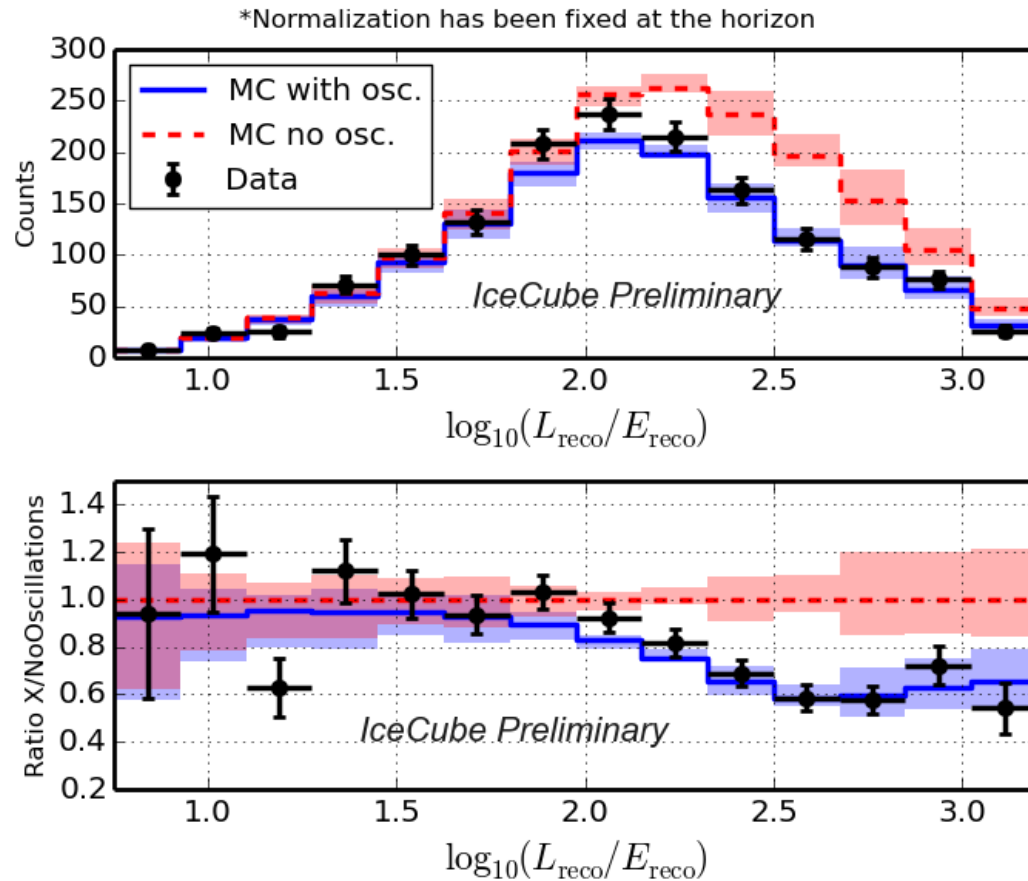


Bands indicate systematic uncertainties



Effect as function of L/E

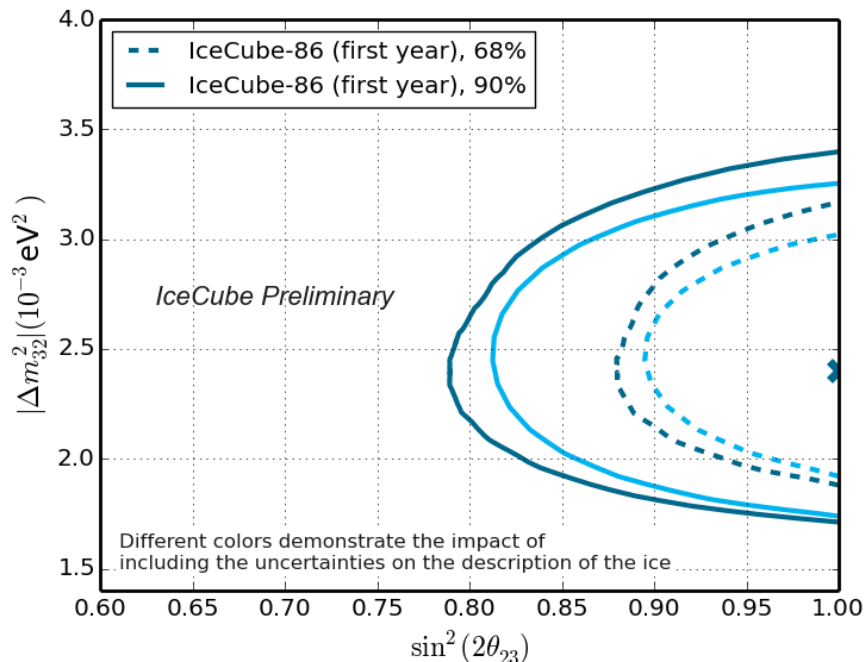
- Analysis is **not** performed in this variable, still instructive



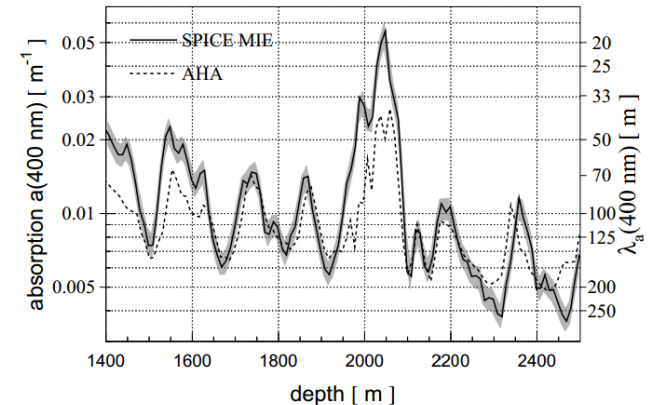
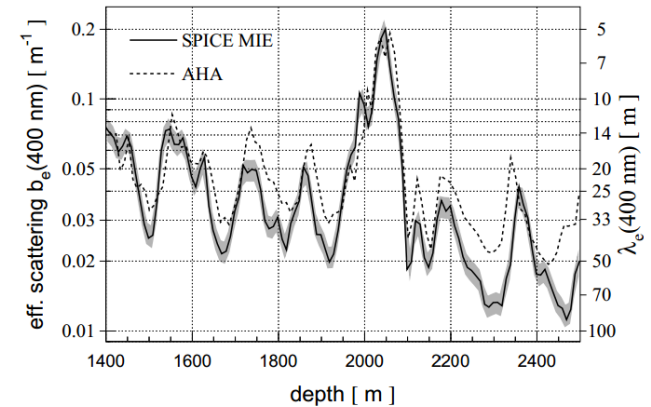
The last test: optical properties of the ice

➤ To include the medium uncertainties

- Obtain the best fit from data
- Produce MC with varied optical properties
- Inject best fit, pass MC through analysis chain
- Account for errors in confidence regions



Optical properties of the medium



Nucl. Instr. Meth. A711 (2013) 73



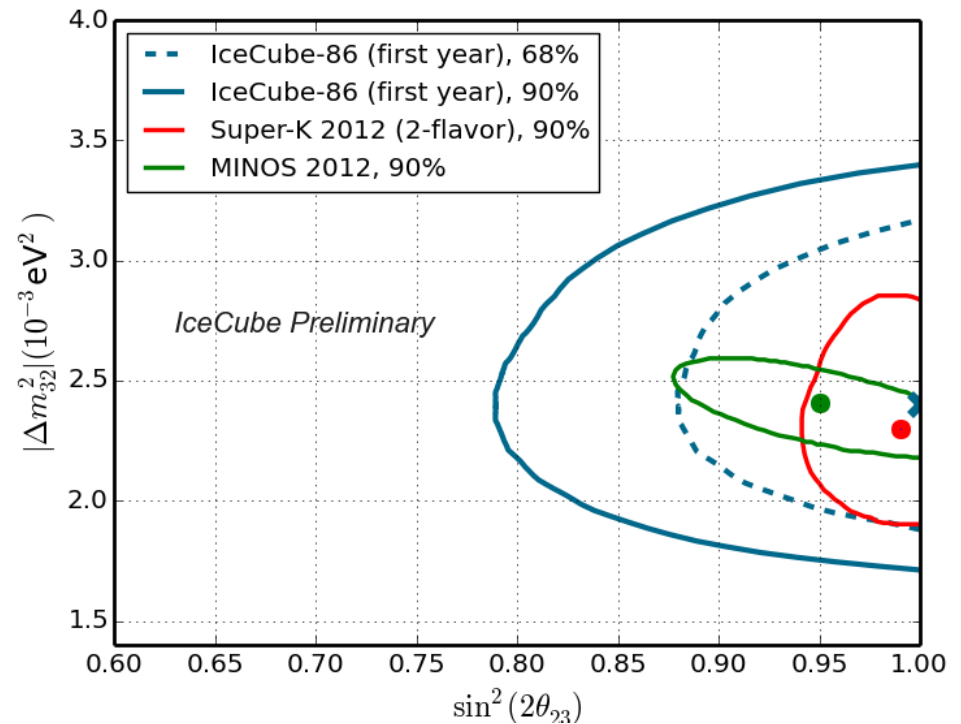
Comparison, conclusion, outlook

➤ Measured neutrino oscillations with IceCube DeepCore (full detector)

- Results compatible with best known values
- Using new tools developed for the events of interest
- Including energy and zenith estimator
- Full treatment of systematic uncertainties
- Very good agreement with MC

➤ Improvements in near future

- Constrain the neutrino flux
- Integral energy estimator (no steps)
- Re-analysis of the quality demanded
- Better MC at lower energies
- **More data (2+ years available)**



Thank you for your attention



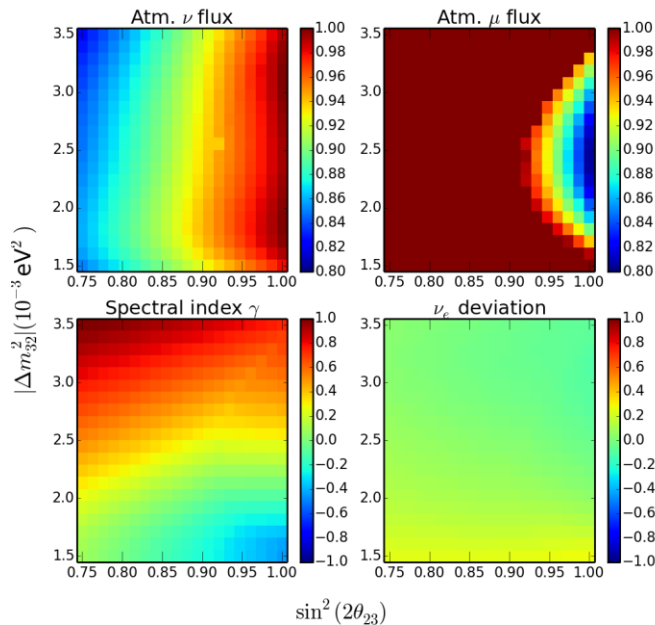
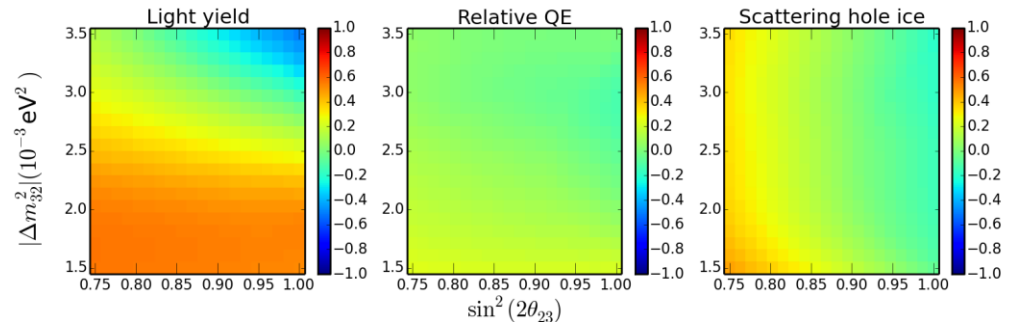
Backup slides



Studying the uncertainties

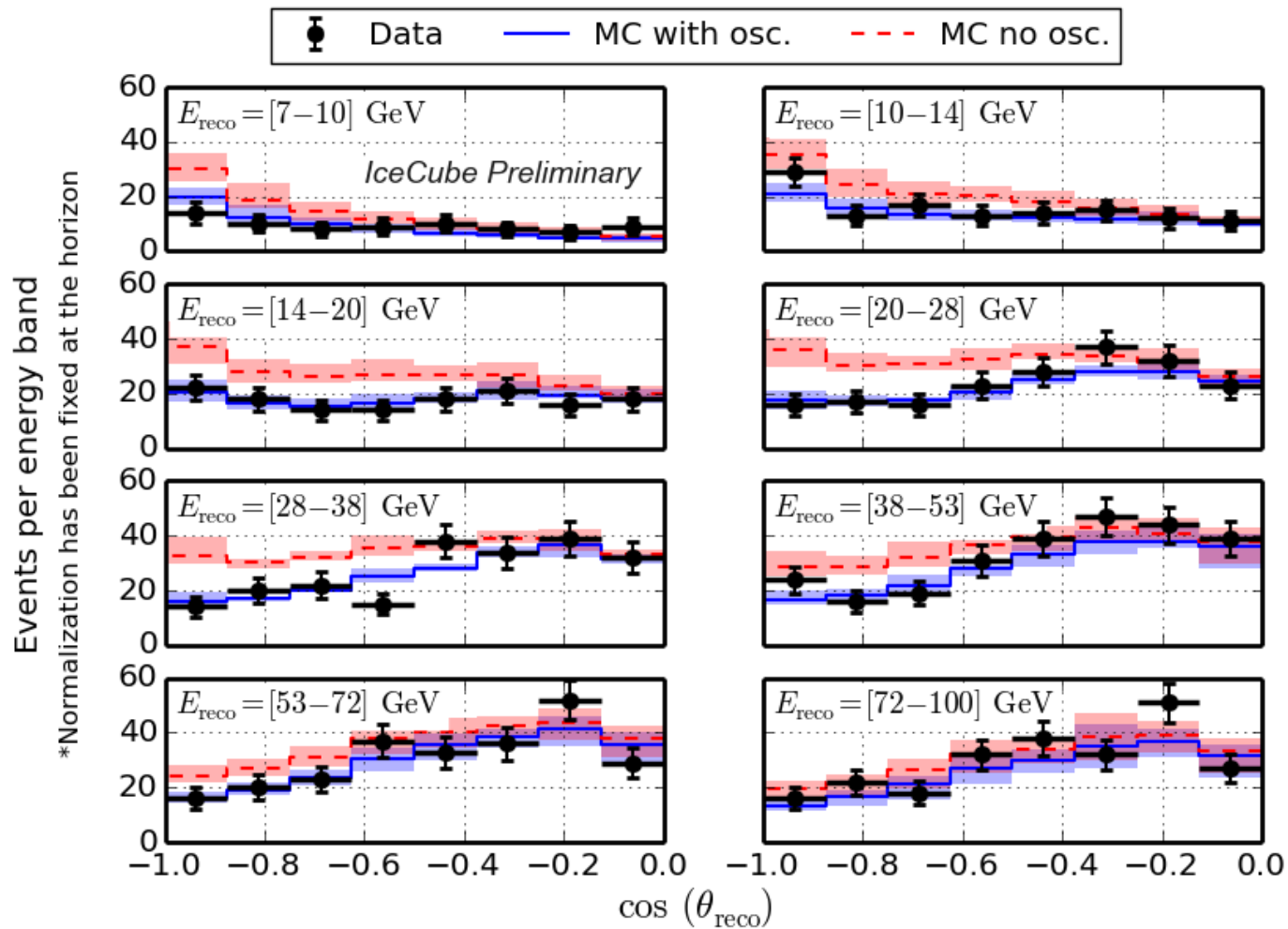
➤ Analyzing how the nuisance parameters move

- Color scale normalized to 1σ uncertainty
- One color = not relevant
- Pattern → parameter affected



Parameter	Value at best fit point
Atm. μ	8 %
Spectral index	2.65+0.012
ν_e deviation	-0.5 %
DOM eff.	+2.7%
Relative QE	135 + 0.13 %
Scattering in ice columns	50 + 4 cm

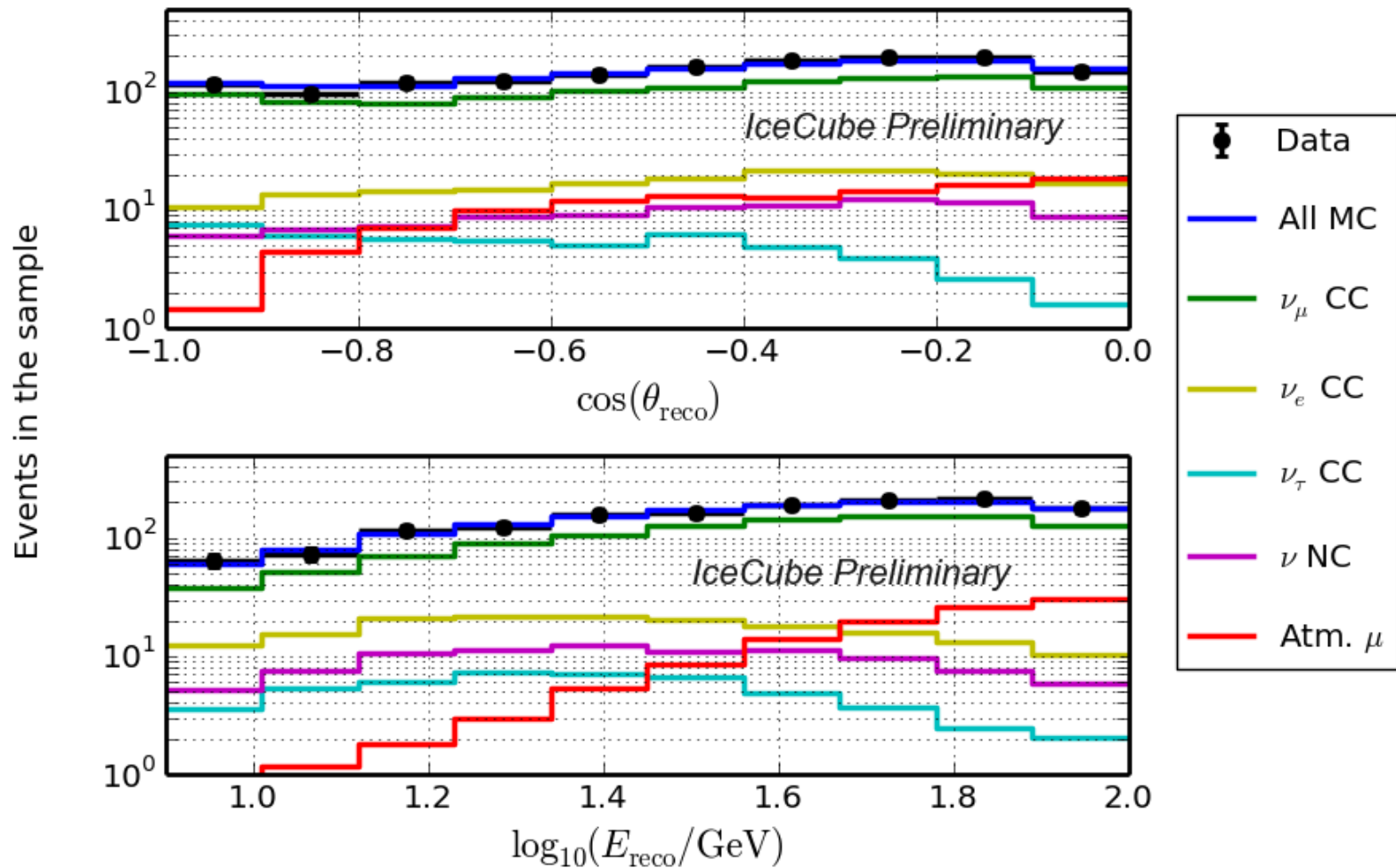
Data / MC agreement (bins as used in the likelihood)



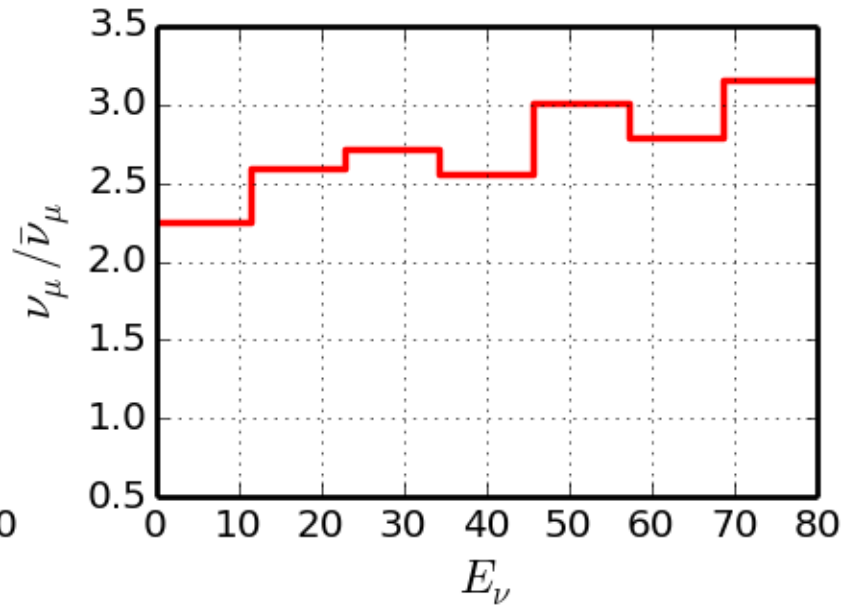
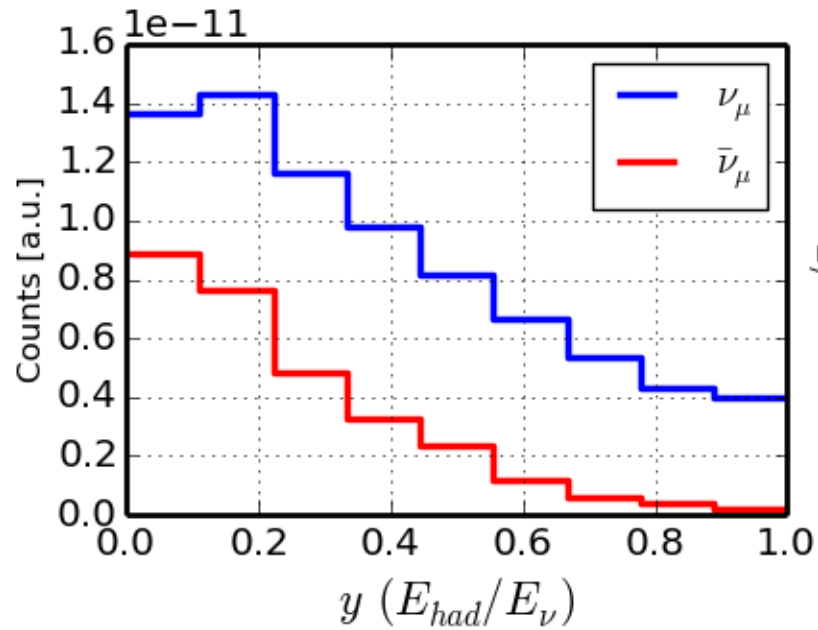
Bands indicate systematic uncertainties



Composition of the data

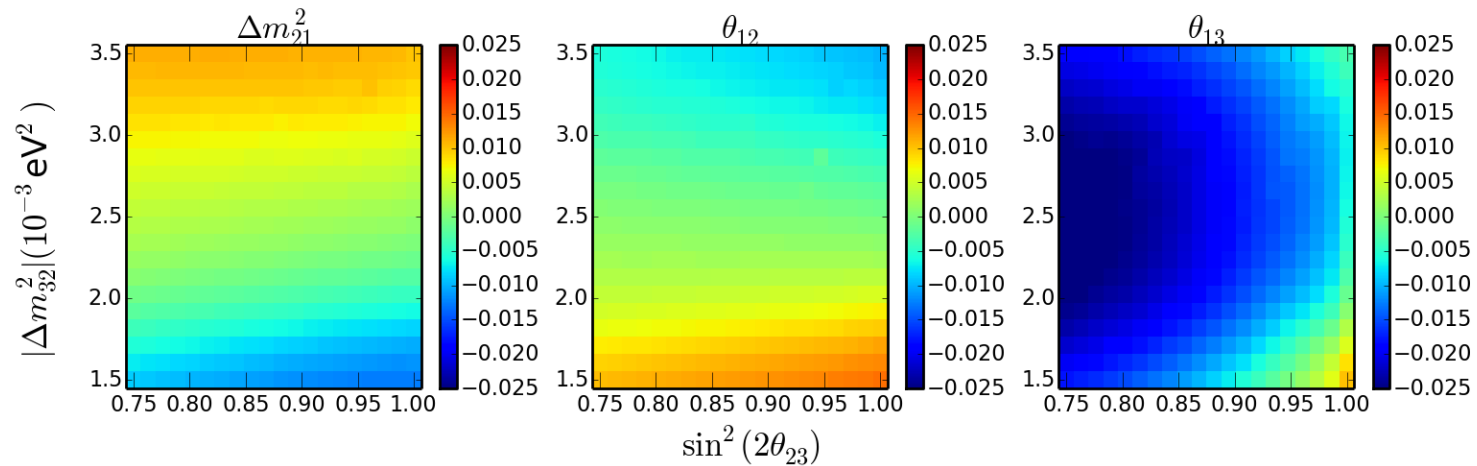


Neutrino / antineutrino contributions



Nuisance parameters: oscillations

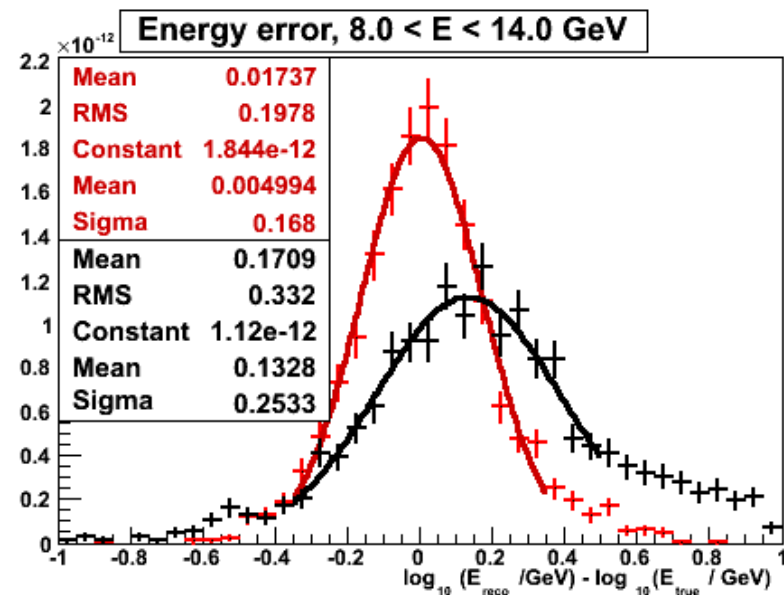
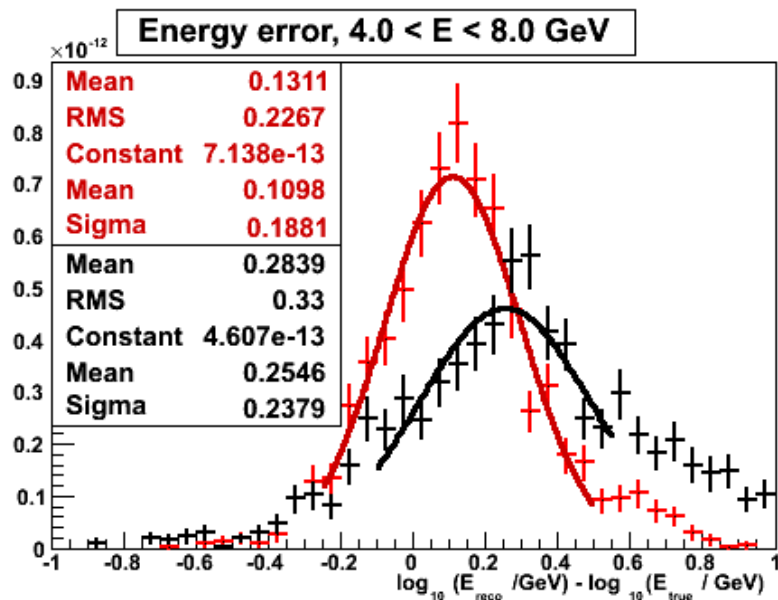
➤ Movement of the physics parameters



Important note: The color scale goes between $[-0.025, 0.025]$ standard deviations
The change is too small to notice otherwise

Improved E reco for final sample

- Improvements before more data is included
 - Using the final sample of the analysis



Black – current energy estimator
Red – improved energy estimator

Work by Andrii Terliuk (DESY)

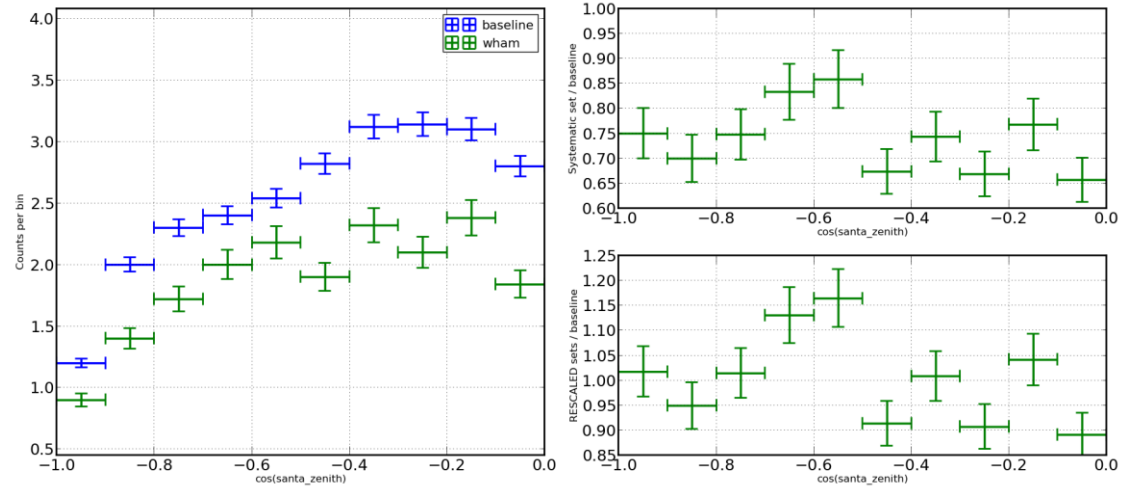


Testing the impact of the ice model

> Comparing the **observed zenith** for two final samples wrt ice model

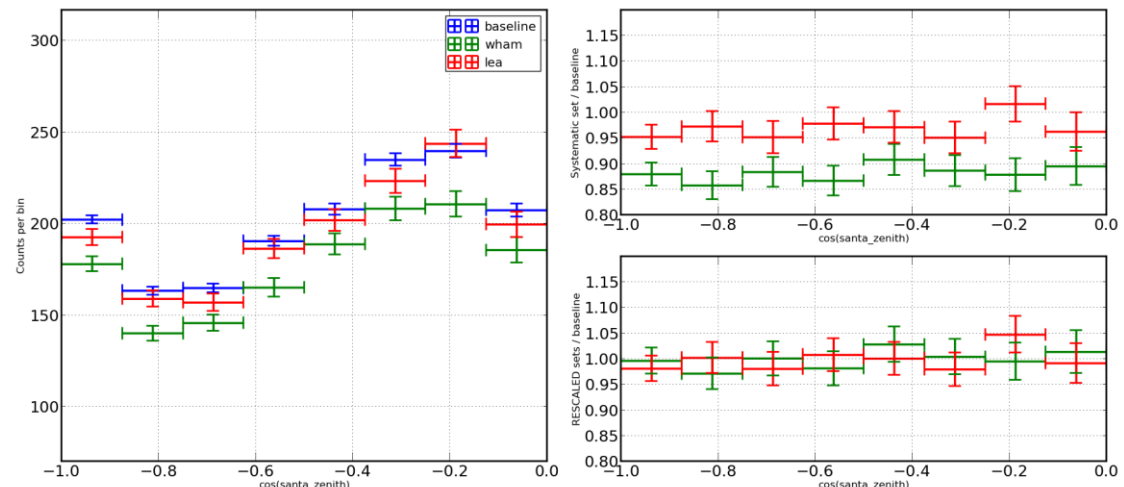
IC79 oscillations (PRL)

- Ice is a large systematic
- Deviations of up to 15%
- Different behavior for different zenith regions



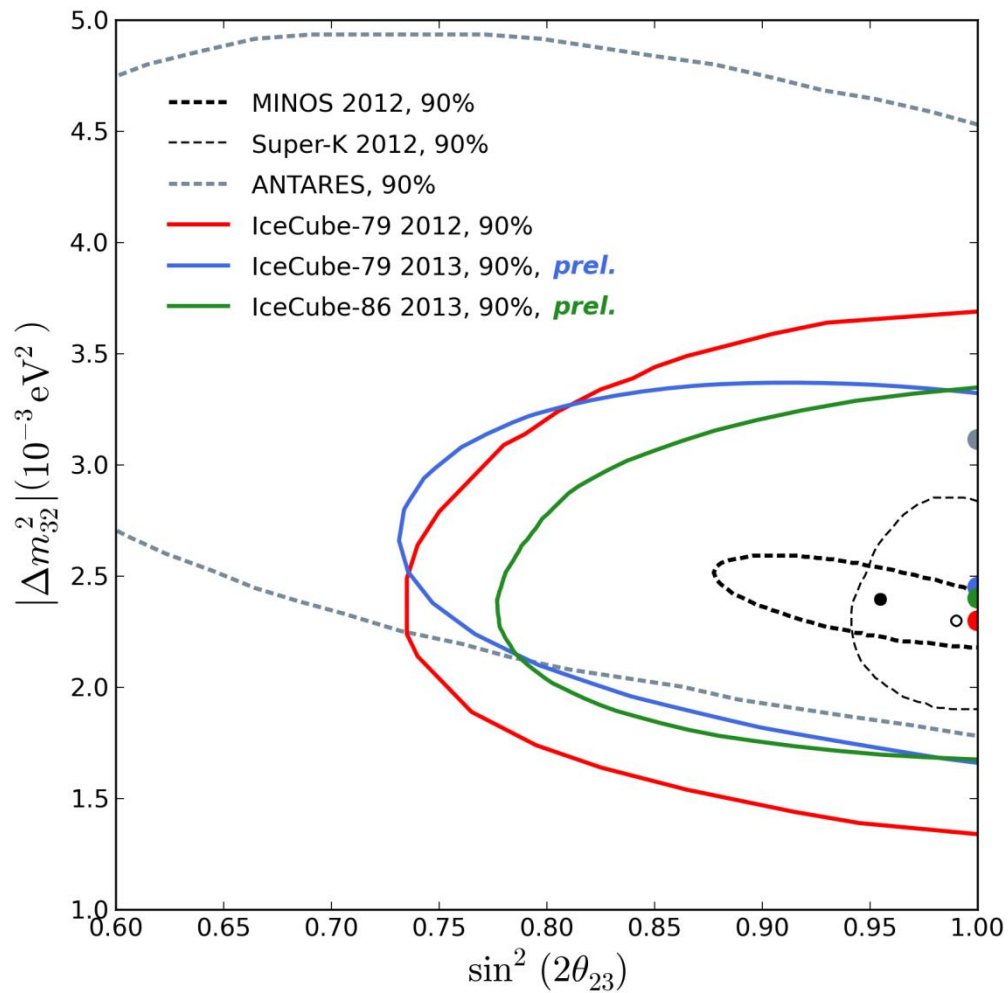
IC86 using SANTA

- Flat response: deviation from baseline is < 3% in bin counts
- After freedom of scaling is used, deviations are random, no clear tendency



Improvements

- All IC contours used one year of livetime



Implementing systematics from MC sets variations

> Variations connected to the photon collection efficiency:

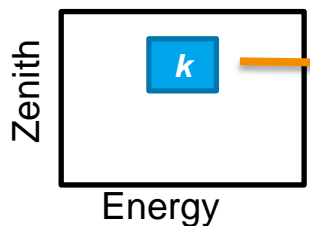
- f : DOM efficiency
- g : Relative quantum efficiency
- h : Scattering in borehole ice (implemented as a change in the angular acceptance)

> The probability for a photon to be recorded by the i -th DOM is:

$$P_i = f \cdot g(i_{HQE,normal}) \cdot h(\theta_\gamma)$$

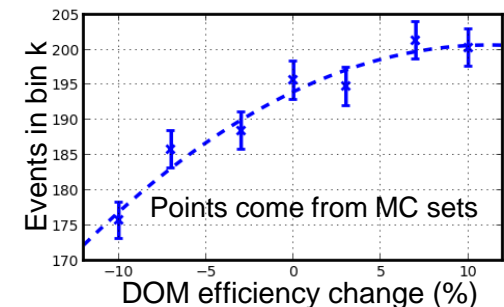
> In the analysis we look at binned 2-D histograms

- The number of observed events can also be parametrized **for each bin (k) independently**



A diagram showing a 2D histogram bin. The vertical axis is labeled 'Zenith' and the horizontal axis is labeled 'Energy'. A blue square labeled 'k' is positioned within the bin. An orange arrow points from the bin to the equation $N_k = N_{k,baseline} \cdot \hat{f}_k \cdot \hat{g}_k \cdot \hat{h}_k$.

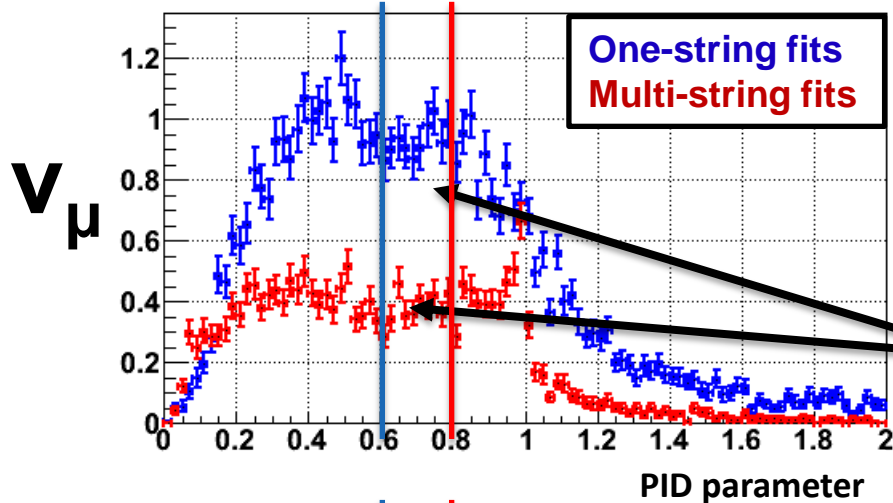
$$N_k = N_{k,baseline} \cdot \hat{f}_k \cdot \hat{g}_k \cdot \hat{h}_k$$



- Allows to access arbitrary variations on parameters that need simulations
 - Computationally expensive, but much faster than re-simulating events

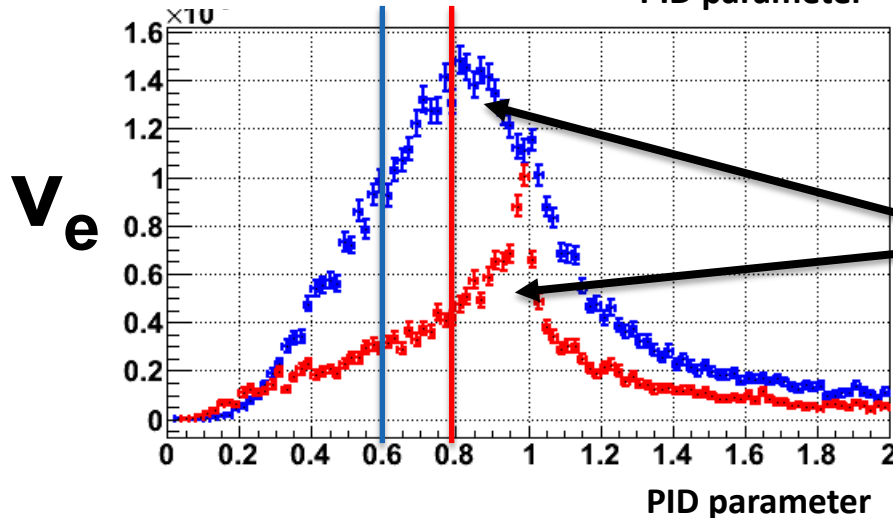
Particle ID

← track like | cascade like →



- > SANTA fits track and cascade hypotheses
- > Cascade/track ratio used pick muon-like events

Flat distribution for v_μ :
All have a muon + a cascade
Cascade light can dominate
No clear muon = no direction, remove



Peaked distribution for v_e :
Behaves as expected
Different shapes for Multi-string,
Single-string, different cut values

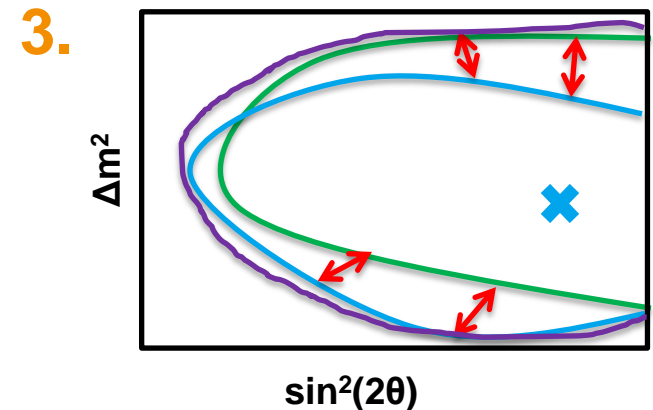
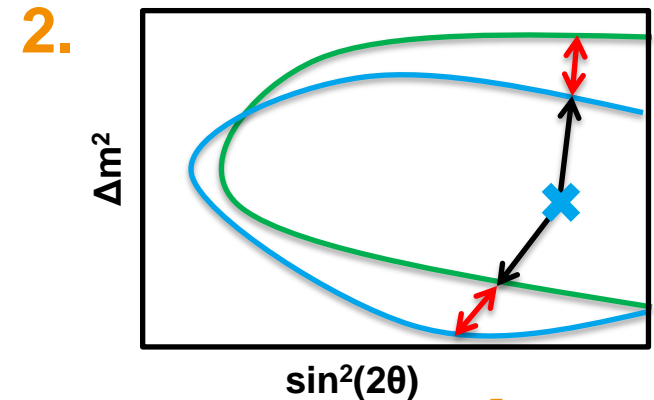
Uncertainties that cannot be parametrized

1. Produce contour plots for MC at a given confidence level **X**
2. Calculate the deviation from different systematics wrt the baseline
 - Take the best fit as reference, and sweep the polar angle
 - Every direction has its own deviation
3. Add the deviations in quadrature (point by point), and sum them to the baseline contour.

The result is that the **confidence regions grow** by adding the uncertainty of where the boundary really is

- > Not so easy (to implement, explain, follow)
- > Good for regions with different shapes
 - Contours grow only where is necessary
 - Regions where the result is very similar remain unchanged
- > Presented at the Oscillations phone call, no objections by the group

- Baseline, 68% CL
- Some systematic, 68% CL



What's the downside of the SANTA approach?

- > The SANTA selection implies a strong reduction of the sample

Events / year	Final level	Final level (removing vetos)
Expected NuMu (w/osc)	1,452	3,408
Disappeared NuMu (signal)	702	1,344

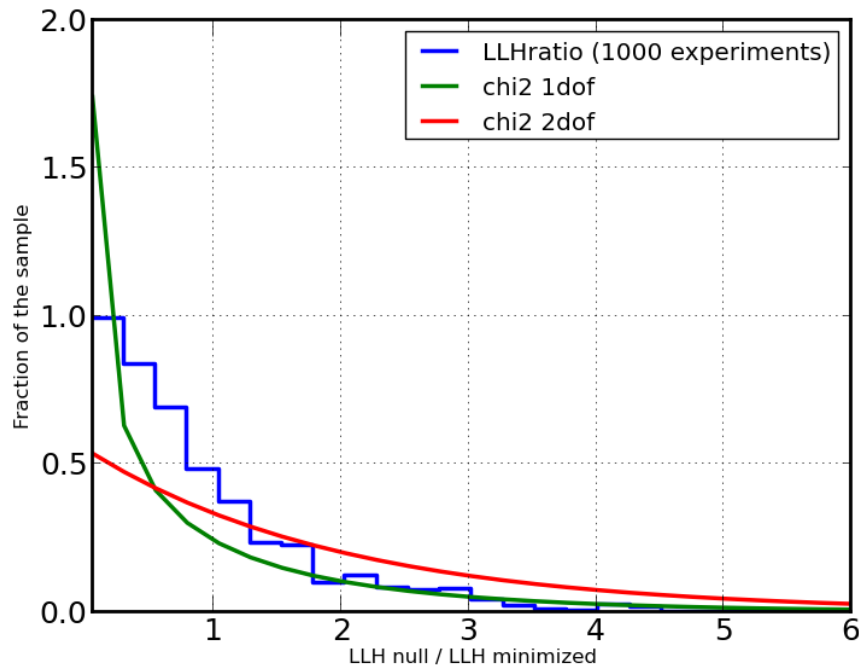
- > With a perfect veto, the sample could be increased by 2
 - Improvements in veto algorithms can help gather statistics faster
- > Making the SANTA selection weaker makes the fit less sensitive
 - Different schemes tested (increase sample by 70%), with no sensitivity improvement
- > Analysis still sensitive to other sources of systematics
 - Addressed using nuisance parameters



Contours and proper coverage

➤ **Q.** Contours are obtained from the LLH ratio (Wilks theorem), is it valid?

A: It is not. For this to be valid, the test statistic would have to follow a χ^2 distribution with 2 degrees of freedom, but it doesn't.



- The LLH ratio distribution falls faster than a χ^2 with 2 d.o.f.
- If we use the LLH ratio, we over-cover.
- LLH ratio kept for now.
- Implementing Feldman-Cousins contours for the final result. Contours will shrink in some regions.

Distribution of the LLH ratio for 1000 mock data sets **with oscillations**.

The null hypothesis corresponds to the injected oscillation values (nuisance parameters free)

The alternative is to fit everything (oscillations + nuisance parameters)



Sample purity and stability

- > Total atm. muons available amounts to ~ 6 days of livetime
 - About 7 events remain at final level (large weights)
 - Waiting for more MC would take months (being produced as we speak)
 - How pure is the sample? Is it possible to estimate? How to use what is available?

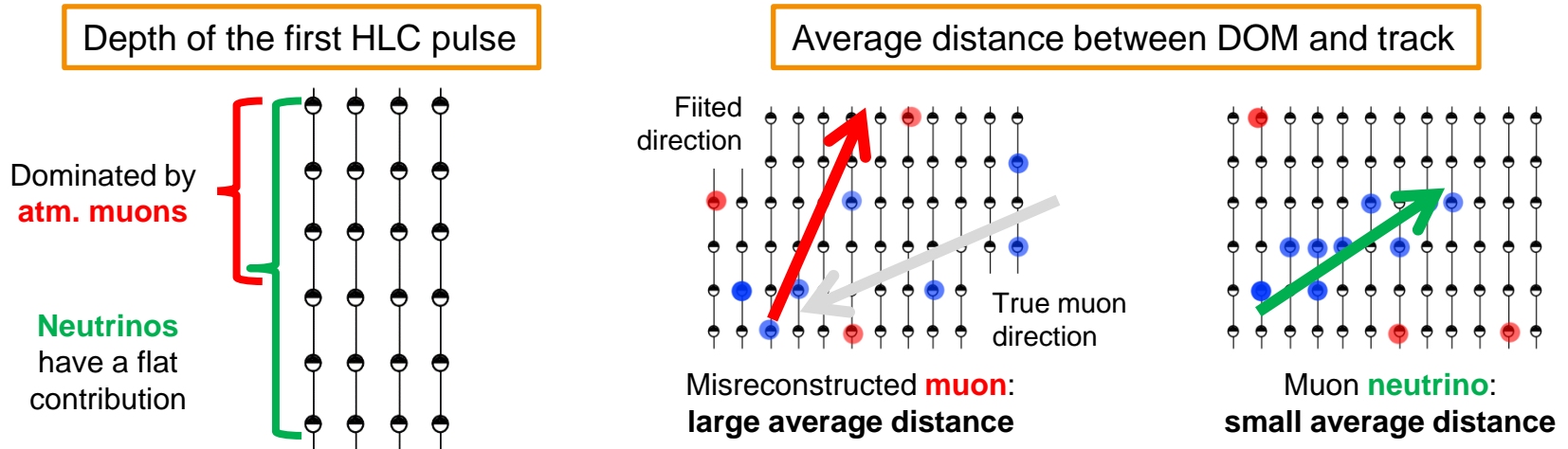
- > Test the purity/stability
 - Mix all CORSIKA available (including different DOM efficiencies)

Details will not be correct, but the overall shape of the distributions will be
 - Use variables where the 2 contributions are **separated**
 - Remove cut levels and fit
 - a) normalizations of different contributions in such variables
 - b) oscillations parameters (together with normalizations, in usual zenith & E)
 - Are the contributions stable? Can the oscillation parameters be extracted?

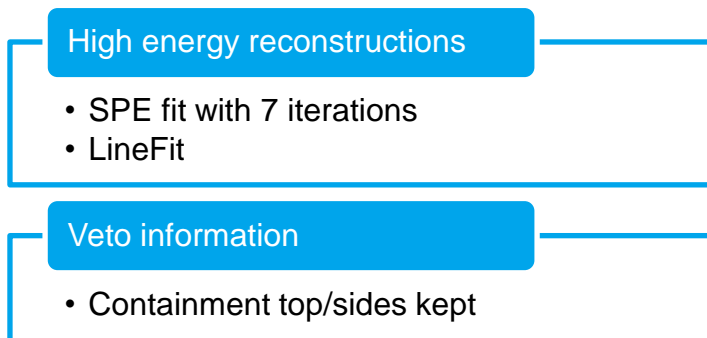


Sample purity and stability

> Variables used

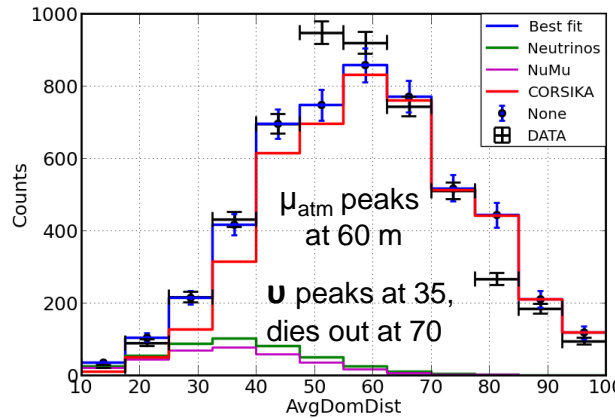
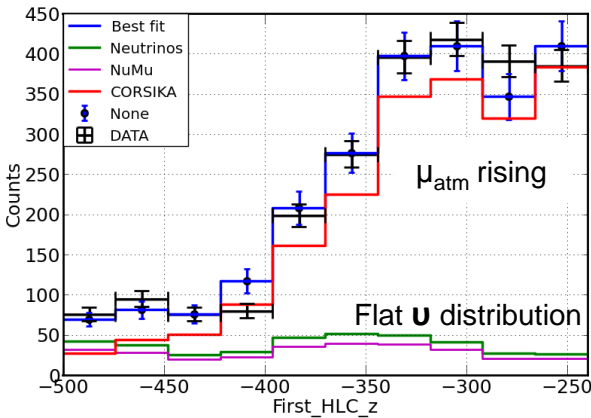


> Veto cuts relaxed (all quality selections stay)



- less contamination ↓
- Divided in 4 steps:**
1. No HE zenith & veto cuts
 2. No HE zenith cuts & partial veto
 3. $\cos(\text{HE zenith}) < 0.6$ kept
 4. **$\cos(\text{HE zenith}) < 0$ kept**
- Step 4 is the proposed final level

Step 1: Dominated by atmospheric muons



Each distribution fitted individually

Normalization values fitted

Dist \ Norm	all u	ue	spectral index
AvgDOMdist	0,69	0,00	0,008
FirstHLCz	0,66	0,00	0,001

This number has a large error at this level, wait for the next one

Remember: RED line has larger statistical errors than the data!

➤ From here on you can do 2 different things (both done, see backup):

- Tighten the cuts on CORSIKA and signal and fit the distributions

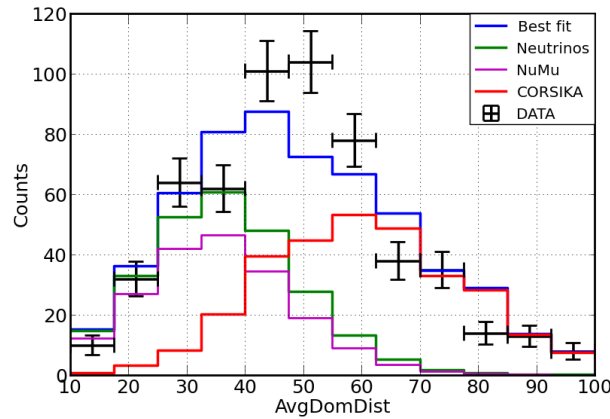
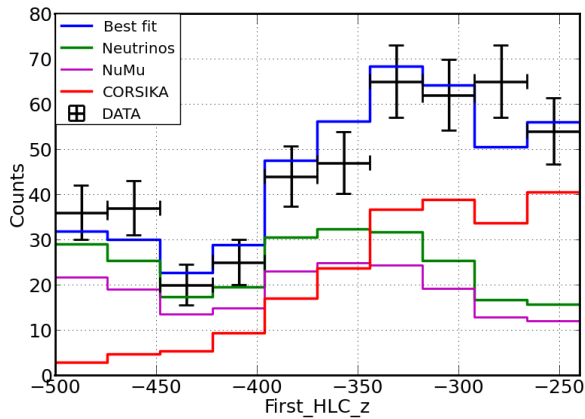
Run into the same problem of low MC statistics

➡ Assume the CORSIKA sample will not be affected by the cuts; use these distributions

- That is a rather **big assumption!** But without more MC, is worth the try
- Done in order to have **an idea** of where the background lives
- The neutrino normalization should be stable at the following steps: **in this one** the contribution is **too small to give a reliable estimate**.



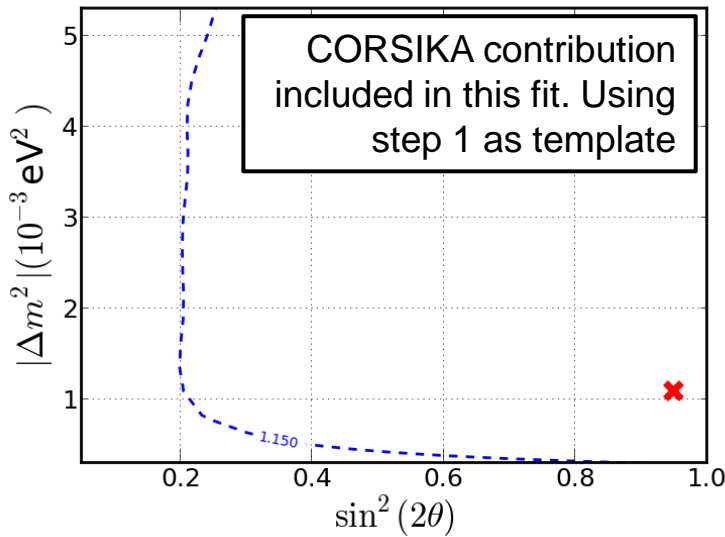
Step 2: Close to 1:1



Dist \ Norm	all u	ue	spectral index
AvgDOMdist	0.58	0.01	0.014
FirstHLCz	0.58	-0.01	0.005



	all u	ue	spectral index
Nuisance at best fit	0.59	0.01	-0.001

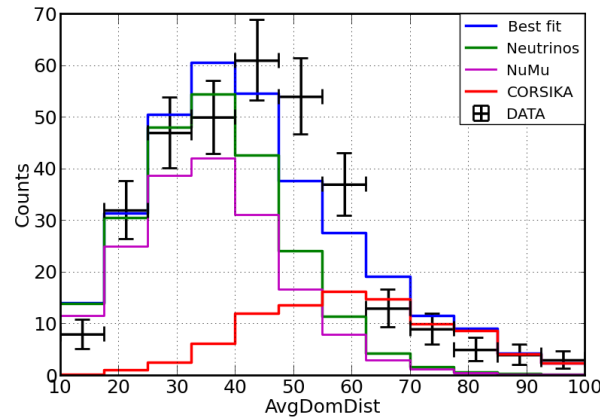
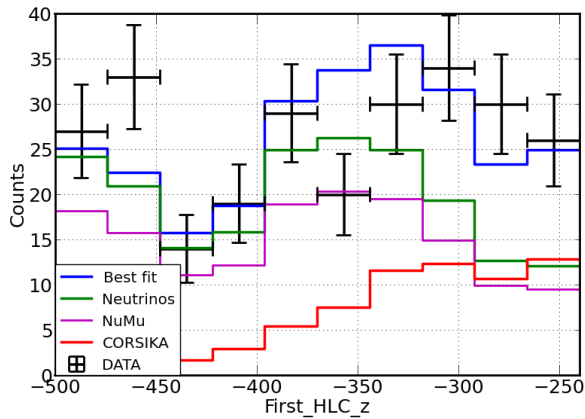


Fitting with equal background contribution
Very large uncertainty

Consistent results on the normalizations



Step 3: Dominated by neutrinos (small contamination)

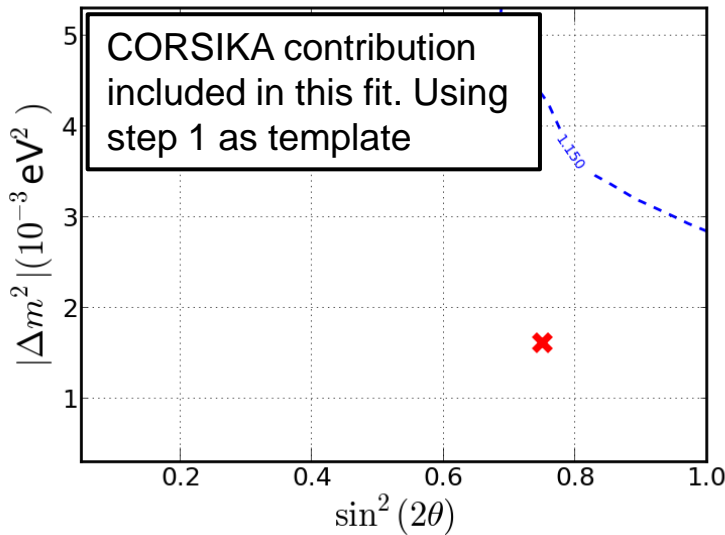


Dist \ Norm	all u	ue	spectral index
AvgDOMdist	0.58	0.01	0.014
FirstHLCz	0.52	-0.01	0.008

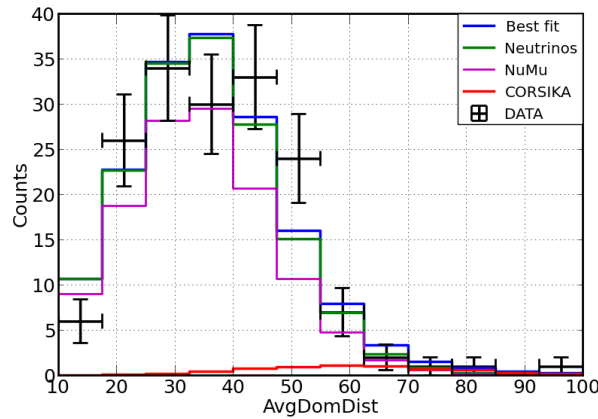
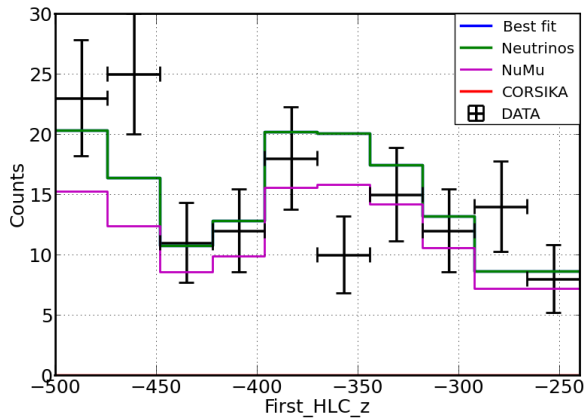


	all u	ue	spectral index
Nuisance at best fit	0.52	-0.015	0.006

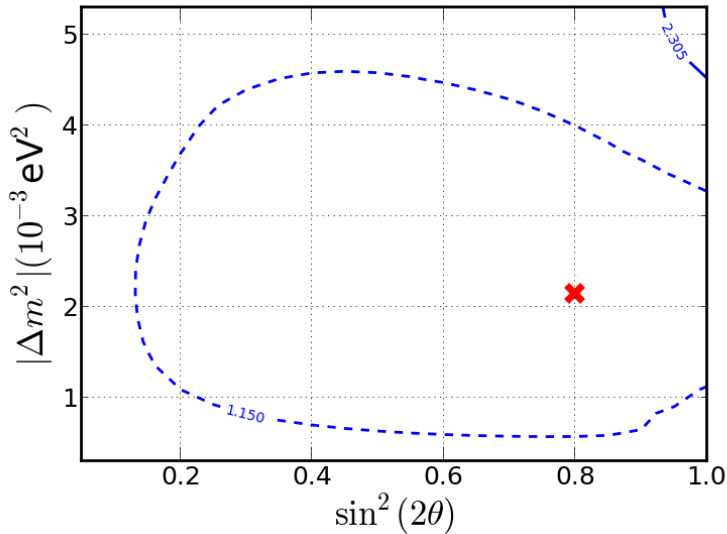
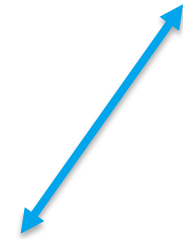
Fitting with equal background contribution
Very large uncertainty
Consistent results on the normalizations



Step 4: Dominated by neutrinos (no contamination)



Dist \ Norm	corsika	all u	ue	spectral index
AvgDOMdist	0.001	0.53	0.01	0.005
FirstHLCz	0.	0.52	-0.01	0.008



	corsika	all u	ue	spectral index
Nuisance at best fit	0	0.51	0.002	-0.004

The fit prefers to put (almost) zero contribution of CORSIKA in both of the control variables and the oscillations fit.

The sample appears very clean to all variables

