

# $D^0 - \bar{D}^0$ mixing



  
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**Flavor Physics & CP Violation 2013**  
**May 19-24, 2013**

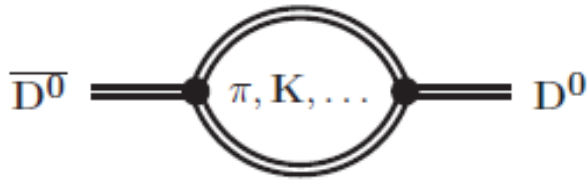
# Flavour Mixing in the Charm Sector

Mass eigenstates  $\neq$  flavour eigenstates

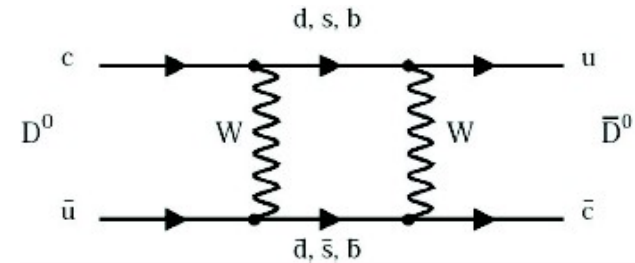
$$|D_{1,2}\rangle = p |D^0\rangle \pm q |\bar{D}^0\rangle$$

$m_{1,2}$  and  $\Gamma_{1,2}$  are mass and width of  $|D_{1,2}\rangle$

$p/q \neq 1 \Rightarrow$  CP violation



Long-distance contributions dominant, affected by large theoretical uncertainties



Short-distance contributions, GIM and CKM suppressed in SM

Time evolution of a  $D^0 - \bar{D}^0$  system

$$i \frac{d}{dt} \begin{pmatrix} |D(t)\rangle \\ |\bar{D}(t)\rangle \end{pmatrix} = \left( M - i \frac{\Gamma}{2} \right) \begin{pmatrix} |D(t)\rangle \\ |\bar{D}(t)\rangle \end{pmatrix}$$

with  $M$  and  $\Gamma$  being hermitian

Solutions  $|D^0(t)\rangle = e^{-(\Gamma/2 + im)t} \left[ \cosh\left(\frac{y + ix}{2} \Gamma t\right) |D^0\rangle + \frac{q}{p} \sinh\left(\frac{y + ix}{2} \Gamma t\right) |\bar{D}^0\rangle \right]$

$$|\bar{D}^0(t)\rangle = e^{-(\Gamma/2 + im)t} \left[ \frac{p}{q} \sinh\left(\frac{y + ix}{2} \Gamma t\right) |D^0\rangle + \cosh\left(\frac{y + ix}{2} \Gamma t\right) |\bar{D}^0\rangle \right]$$

Mixing parameters

$$x = \frac{m_1 - m_2}{\Gamma_D}, \quad y = \frac{\Gamma_1 - \Gamma_2}{2\Gamma_D}$$

$$\Gamma_D = (\Gamma_1 + \Gamma_2)/2$$

# D<sup>0</sup> - $\bar{D}^0$ mixing

- Since D<sup>0</sup> mixing is small ( $|x|, |y| \ll 1$ ):

$$|D^0(t)\rangle = e^{-(\Gamma/2 + im)t} \left[ |D^0\rangle + \frac{p}{q} \left( \frac{y+ix}{2} \Gamma t \right) |\bar{D}^0\rangle \right]$$

- Time dependent decay rates of D<sup>0</sup> → f:

$$\frac{dN_{D^0 \rightarrow f}}{dt} \propto |\langle f | H | D^0(t) \rangle|^2 = e^{-\Gamma t} \left| \langle f | H | D^0 \rangle + \frac{q}{p} \left( \frac{y+ix}{2} \Gamma t \right) \langle f | H | \bar{D}^0 \rangle \right|^2$$

- Exponential decay modulated with x and y

**x** and **y** can be obtained from measured time dependence of  $\frac{dN_{D^0 \rightarrow f}}{dt}$

- Shape is final state dependent

different final states sensitive to different combinations of **x** and **y**

# $D^0 - \bar{D}^0$ mixing – SM estimates

Can express

(Joachim Brod)

$$\mathbf{y} = \frac{1}{2\Gamma_D} \sum_n \rho_n [\langle D^0 | H | n \rangle \langle n | H | \bar{D}^0 \rangle + \langle \bar{D}^0 | H | n \rangle \langle n | H | D^0 \rangle]$$

$$\mathbf{x} = \frac{1}{\Gamma_D} [\langle D^0 | H | \bar{D}^0 \rangle + P \sum_n \frac{\langle D^0 | H | n \rangle \langle n | H | \bar{D}^0 \rangle + \langle \bar{D}^0 | H | n \rangle \langle n | H | D^0 \rangle}{M_D^2 - E_n^2}]$$

"Inclusive approach":

- OPE expansion in powers of " $\Lambda/m_c$ "
- $x \sim y < 10^{-3}$  [Georgi 1992; Ohl et al 1993; Bigi et al 2000]
- Cannot exclude  $y \sim 10^{-2}$  [Bobrowski et al 2010]
- Violation of quark-hadron duality

"Exclusive approach":

- Sum over on-shell intermediate states
- Mainly  $D \rightarrow PP$ ,  $PV$  leads to  $x \sim y < 10^{-3}$  [Cheng et al 2010]
- $SU(3)_F$  breaking in phase space alone leads to  $y \sim 10^{-2}$  [Falk et al 2002]
- Get  $x \sim 10^{-2}$  from a dispersion relation [Falk et al 2004]

# Experimental status at FPCP 2012

(A.Di Canto)

From HFAG page:

$$D^0 \rightarrow K^+ \pi^-$$



$$D^0 \rightarrow h^+ h^-$$



$$D^0 \rightarrow K^+ \pi^- \pi^0$$



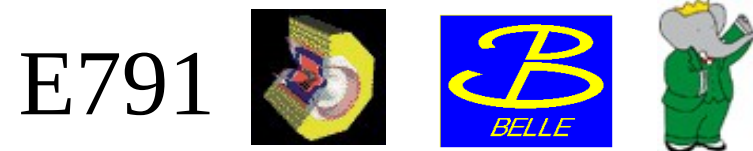
$$D^0 \rightarrow K^+ \pi^+ 2 \pi^-$$



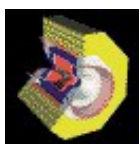
$$D^0 \rightarrow K_S^0 h^+ h^-$$



$$D^0 \rightarrow K^+ l^- \nu$$



$$\psi(3770) \rightarrow D^0 \bar{D}^0$$



 = mixing probability  $> 3\sigma$

# Experimental status at FPCP 2012

[<http://www.slac.stanford.edu/xorg/hfag/charm/March12>]

Mixing in the  $D^0$  system is well established: significance  $\sim 10\sigma$

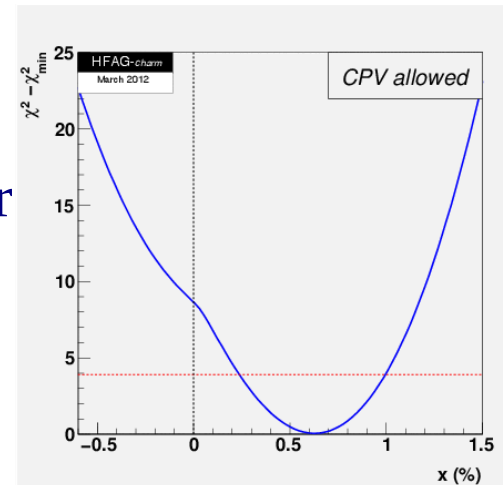
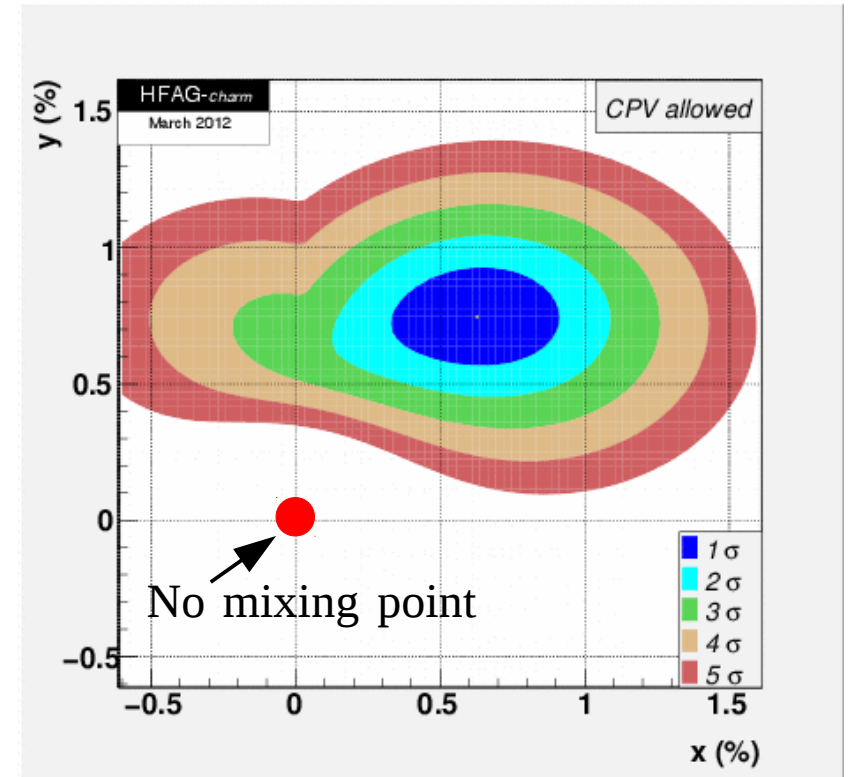
$$\mathbf{x} = (0.63^{+0.19}_{-0.20})\%$$
$$\mathbf{y} = (0.75 \pm 0.12)\%$$

SM predictions affected by large uncertainties:

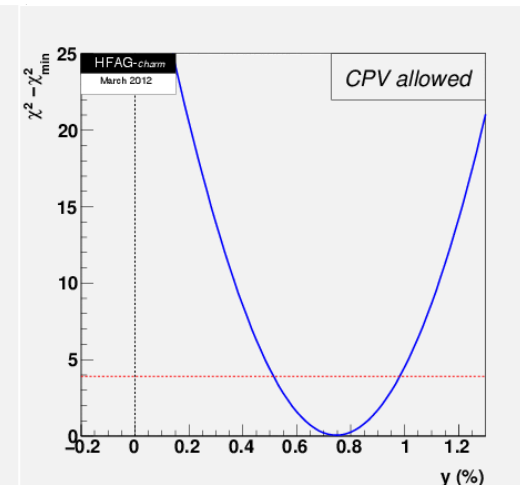
$$\mathbf{x}^{\text{theo}}, \mathbf{y}^{\text{theo}} \sim O(10^{-2} - 10^{-7})$$

[see Joachim Brod's compilation next slide]

Measurements of  $x$  and  $y$  are at the upper limits of SM, NP contributions (in short-distance diagrams) could at the 1% level e.g. [Golowich et al]



$x \leq 0$  excluded at  $2.7\sigma$



$y \leq 0$  excluded at  $6.0\sigma$

# Results discussed in this talk...

From HFAG page:

$$D^0 \rightarrow K^+ \pi^-$$

E791



$$D^0 \rightarrow h^+ h^-$$

E791



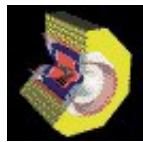
$$D^0 \rightarrow K^+ \pi^- \pi^0$$



$$D^0 \rightarrow K^+ \pi^+ 2 \pi^-$$

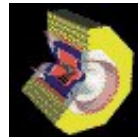


$$D^0 \rightarrow K_S^0 h^+ h^-$$

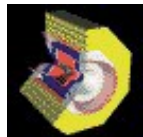


$$D^0 \rightarrow K^+ l^- \nu$$

E791



$$\psi(3770) \rightarrow D^0 \bar{D}^0$$



= mixing probability  $> 3\sigma$

# Decays to CP-even eigenstates $D^0 \rightarrow K^+ K^-$ , $\pi^+ \pi^-$

Measurement of lifetime difference between  $D \rightarrow K^- \pi^+$  and  $D^0 \rightarrow K^+ K^-$ ,  $\pi^+ \pi^-$

Timing distributions are exponential (if CP is conserved)

- mixing parameter:  $y_{\text{CP}} = \frac{\tau(K^- \pi^+)}{\tau(h^+ h^-)} - 1$
- if CP conserved:  $y_{\text{CP}} = y$

If CP is violated  $\rightarrow$  difference in lifetimes of  $D^0/\bar{D}^0 \rightarrow K^+ K^-$ ,  $\pi^+ \pi^-$

- lifetime asymmetry:  $A_{\Gamma} = \frac{\tau(\bar{D}^0 \rightarrow h^- h^+) - \tau(D^0 \rightarrow h^- h^+)}{\tau(\bar{D}^0 \rightarrow h^- h^+) + \tau(D^0 \rightarrow h^- h^+)}$
- $y_{\text{CP}} = y \cos \varphi - \frac{1}{2} A_M x \sin \varphi$
- $A_{\Gamma} = \frac{1}{2} A_M y \cos \varphi - x \sin \varphi$

$$\varphi = \arg(q/p)$$

$$A_M = 1 - |q/p|^2$$

[S.Bergmann et al, PLB 486, 418 (2000)]



# Experimental method (update with $976 \text{ fb}^{-1}$ )

[arXiv:1212.3478; M.Staric et al, PRL98, 211803 (2007)]



using  $D^{*+} \rightarrow \pi^+ D^0$

- flavor tagging by the charge of  $\pi_{\text{slow}}$
- background suppression

$D^0$  proper decay time measurement:

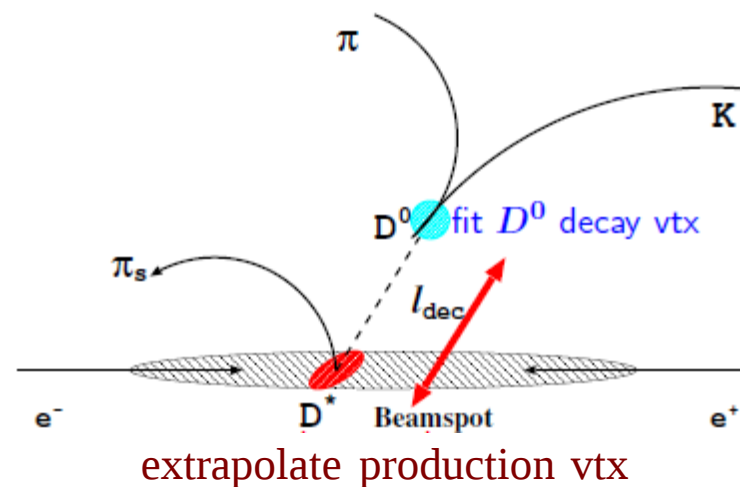
$$t = \frac{l_{\text{dec}}}{c\beta\gamma}, \quad \beta\gamma = \frac{p_{D^0}}{M_{D^0}}$$

- decay time uncertainty  $\sigma_t$  (calculated from vtx err matrices)

To reject  $D^{*+}$  from B decays:  $p_{D^{*+}}^{\text{CMS}} > 2.5 \text{ (3.1) GeV}/c \text{ Y(4S) (Y(5S))}$

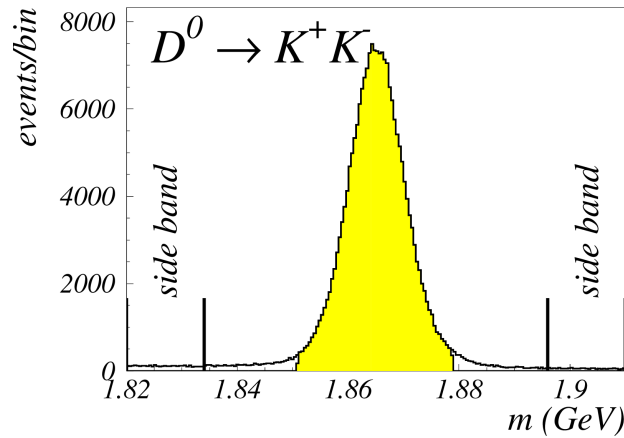
Observables:

- $m = m(K\pi)$
- $q = m(K\pi\pi_s) - m(K\pi) - m_\pi$



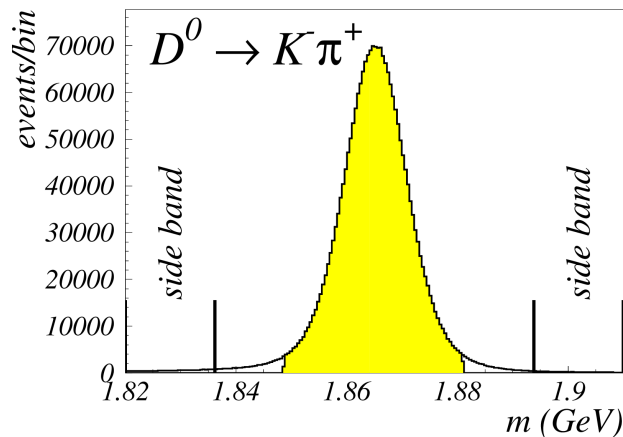
# Decays to CP-even eigenstates $D^0 \rightarrow K^+ K^-, \pi^+ \pi^-$

[arXiv:1212.3478]

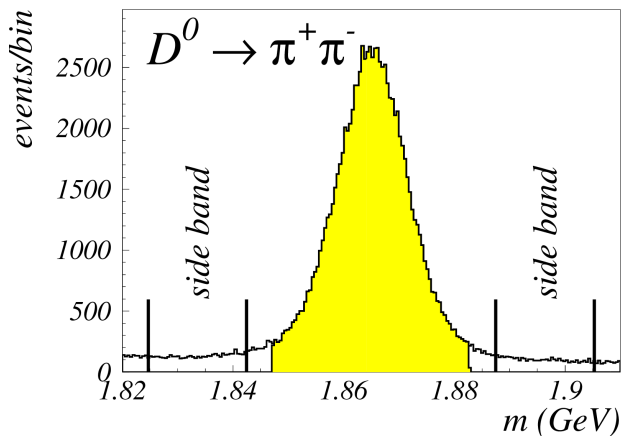


- Analysis cuts:  $m, q, \sigma_t$   
optimized on tuned Monte Carlo  
figure of merit: statistical error on  $y_{CP}$

- Background estimated from sidebands in  $m$   
sideband position optimized



- Signal yields (purities) entering the measurement:



channel	KK	K $\pi$	$\pi\pi$
Yield	242k	2.61 M	114k
Purity	98.0%	99.7%	92.9%

# Decays to CP-even eigenstates $D^0 \rightarrow K^+ K^-$ , $\pi^+ \pi^-$

[arXiv:1212.3478]



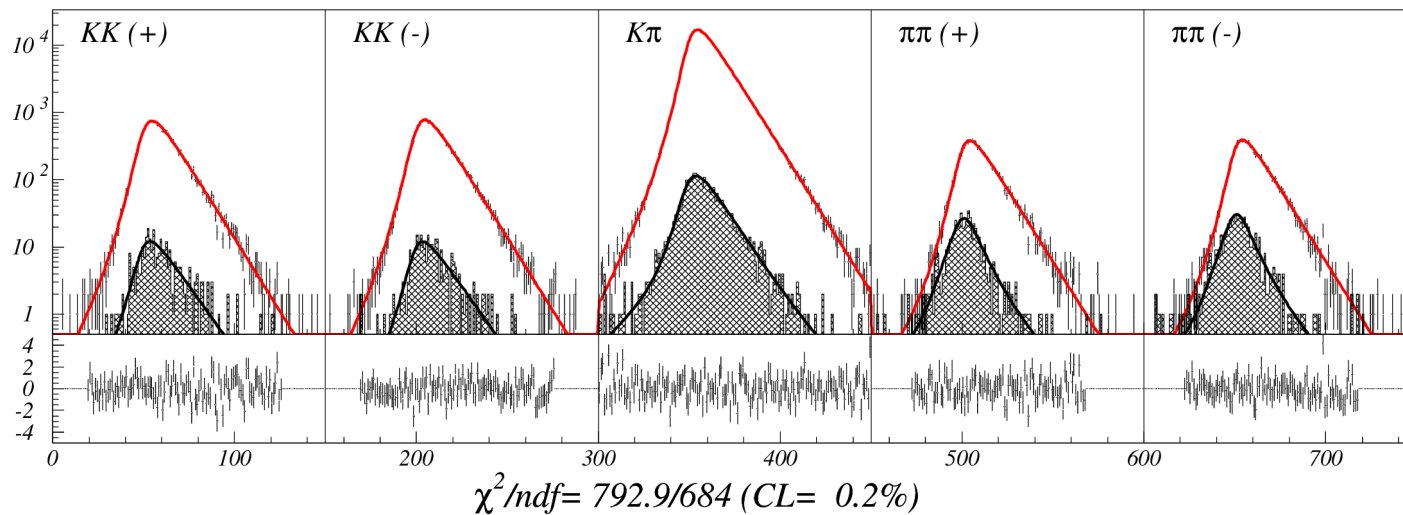
simultaneous binned fit to  $K^+ K^-$ ,  $K^+ \pi^-$ ,  $\pi^+ \pi^-$  samples

sum of histograms and fitted function over  $\cos \theta^*$

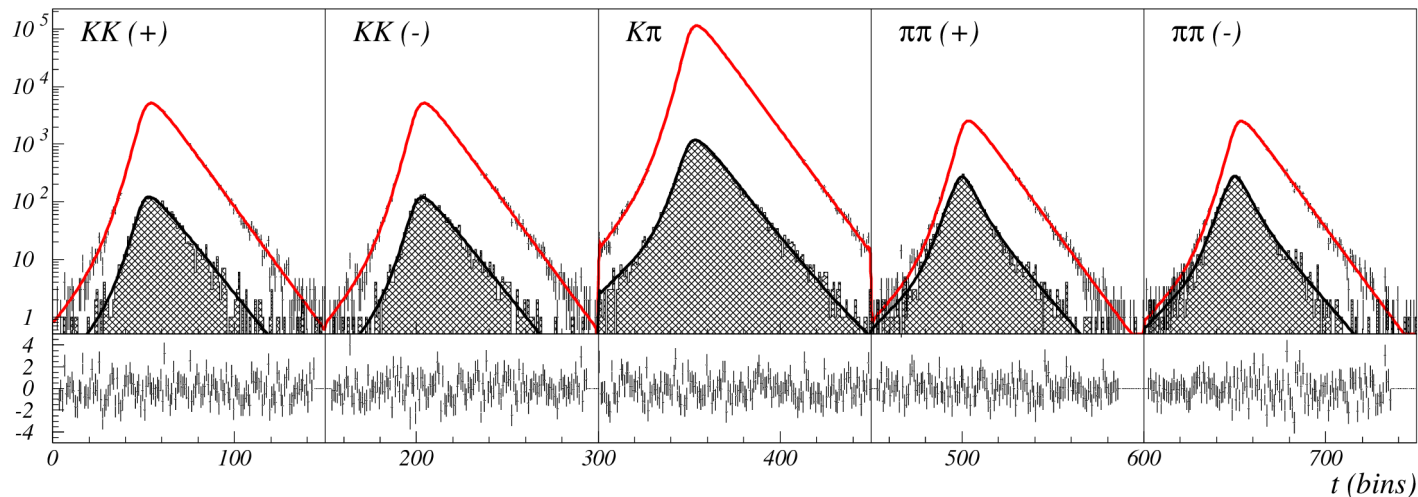
[as resolution function depends on  $D^0$  CMS angle ( $\theta^*$ ), fit is performed in bins of  $\cos \theta^*$ ]

$\chi^2/ndf = 545.0/542$  (CL= 45.6%)

SVD1  
3-layer SVD  
153 fb<sup>-1</sup>



SVD2  
4-layer SVD  
823 fb<sup>-1</sup>



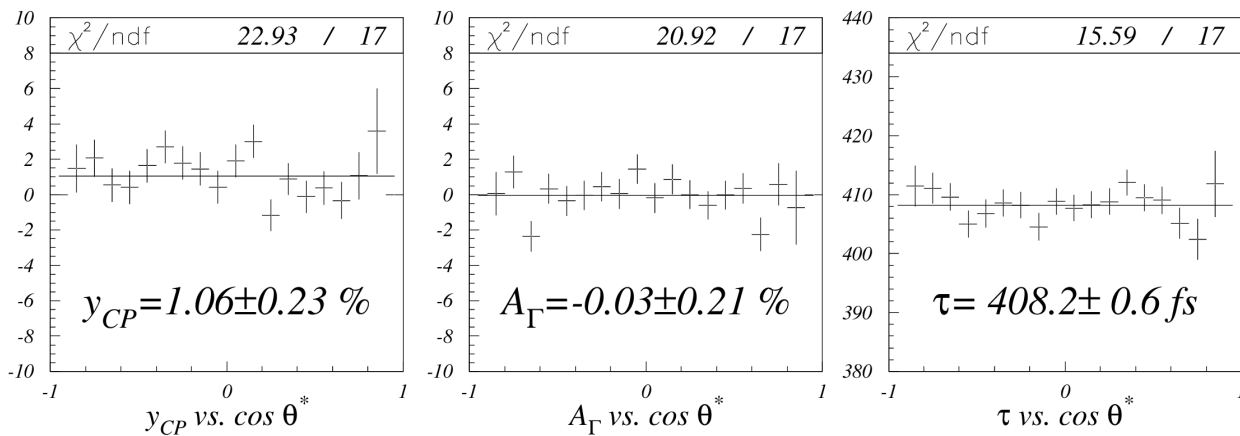
# Decays to CP-even eigenstates $D^0 \rightarrow K^+ K^-, \pi^+ \pi^-$

[arXiv:1212.3478]

[as resolution function depends on  $D^0$  CMS angle ( $\theta^*$ ), fit is performed in bins of  $\cos \theta^*$ ]



SVD2  
4-layer SVD  
823 fb<sup>-1</sup>



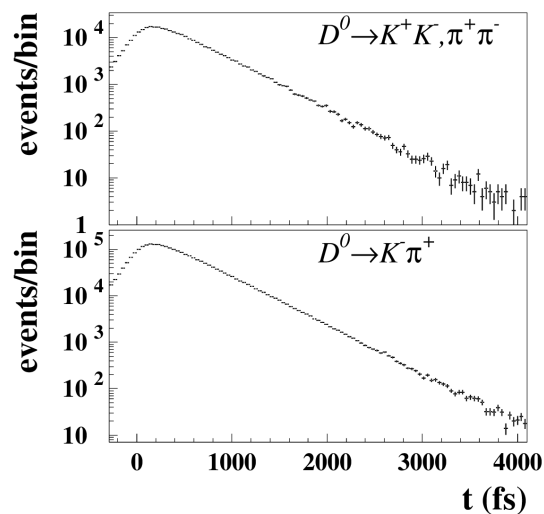
Results (preliminary)  $y_{CP} = (+1.11 \pm 0.22 \pm 0.11) \%$   
with 976 fb<sup>-1</sup>  $A_\Gamma = (-0.03 \pm 0.20 \pm 0.08) \%$

$\tau = 408.56 \pm 0.54_{\text{stat}}$

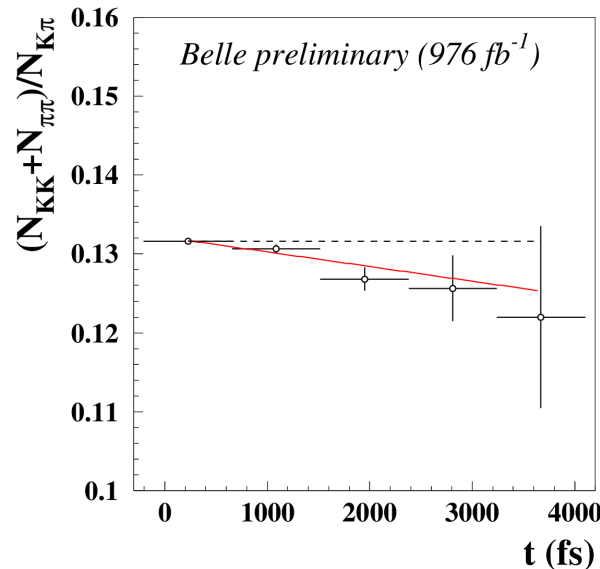
Belle, 540 fb<sup>-1</sup>

- $y_{CP}$  is at  $4.5\sigma$  when both errors are combined in quadrature and at  $5.1\sigma$  if only statistical error is considered
- $A_\Gamma$  is consistent with no indirect CP violation

$y_{CP} = (+1.31 \pm 0.32 \pm 0.25) \%$   
 $A_\Gamma = (+0.01 \pm 0.30 \pm 0.15) \%$



→  
divide  
distributions



# Decays to CP-even eigenstates $D^0 \rightarrow K^+ K^-$ , $\pi^+ \pi^-$

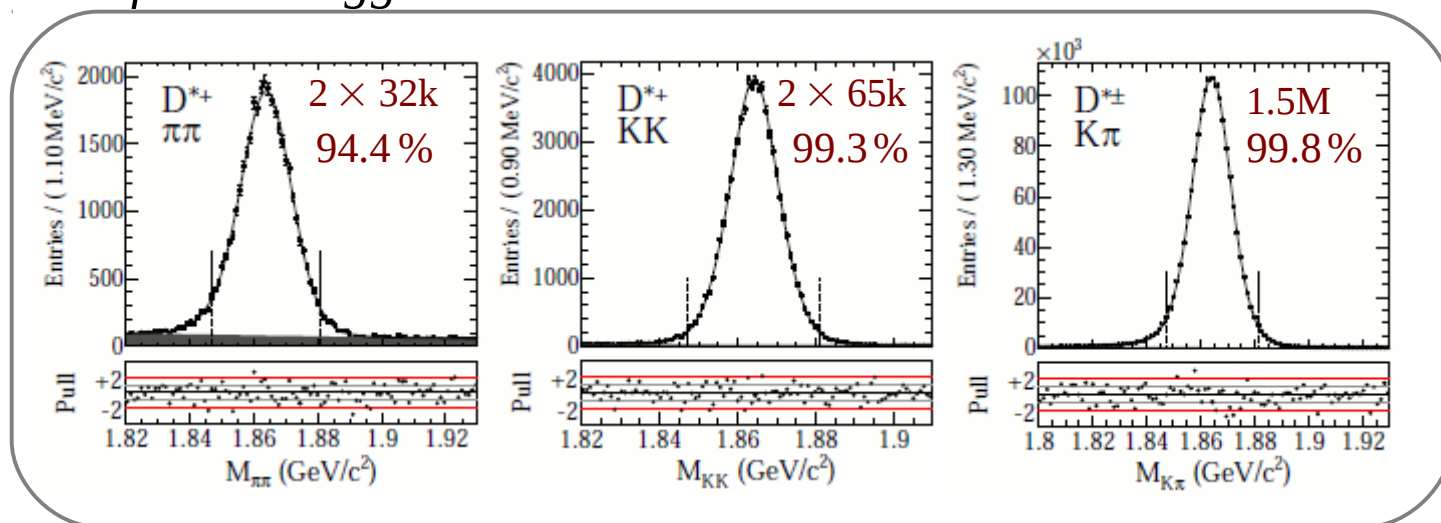
[J.P. Lees et al, PRD87, 012004 (2013), arXiv:1209.3896]



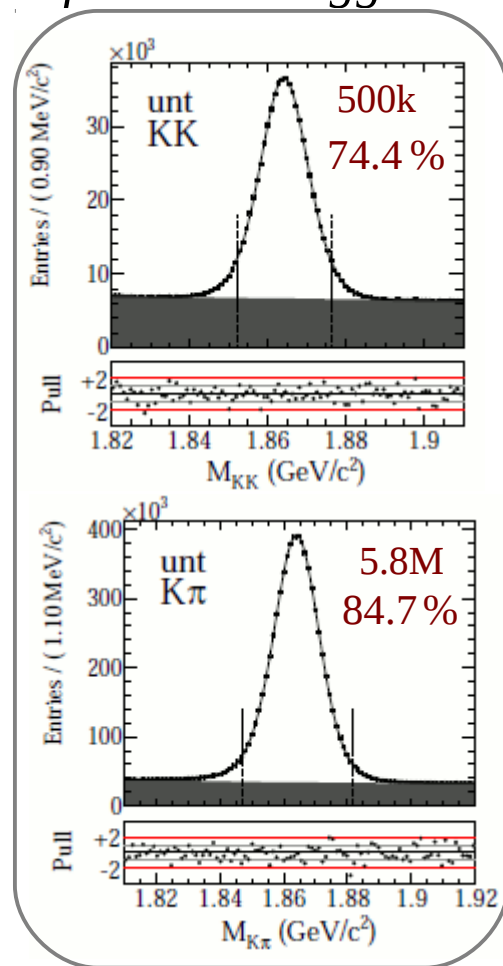
Simultaneous fit to 7 signal channels:

- flavour tagged:  $D^{*+} \rightarrow D^0 \pi^+$ ,  $D^0 \rightarrow K^+ K^-$ ;  $D^{*-} \rightarrow \bar{D}^0 \pi^-$ ,  $D^0 \rightarrow K^+ K^-$ ;  
 $D^{*+} \rightarrow D^0 \pi^+$ ,  $D^0 \rightarrow \pi^+ \pi^-$ ;  $D^{*-} \rightarrow \bar{D}^0 \pi^-$ ,  $D^0 \rightarrow \pi^+ \pi^-$ ;  $D^* \rightarrow D\pi$ ,  $D \rightarrow K^\pm \pi^\mp$
- flavour untagged:  $D \rightarrow K^+ K^-$ ,  $D \rightarrow K^\pm \pi^\mp$

*flavour tagged*



*flavour untagged*



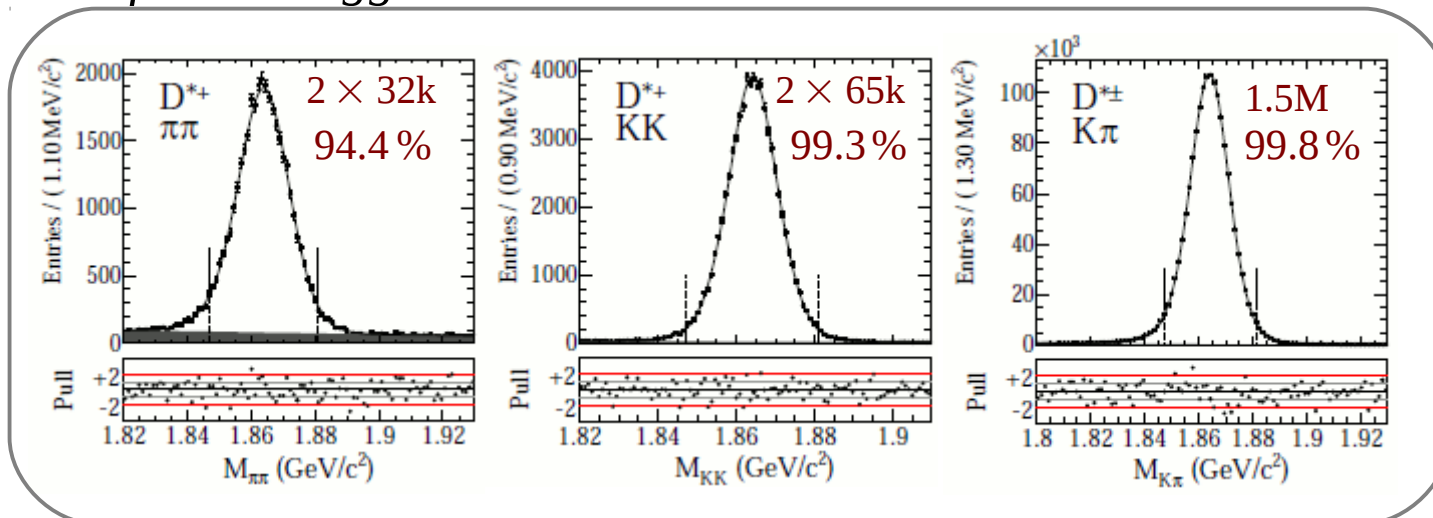
# Decays to CP-even eigenstates $D^0 \rightarrow K^+ K^-, \pi^+ \pi^-$

[J.P. Lees et al, PRD87, 012004 (2013), arXiv:1209.3896]

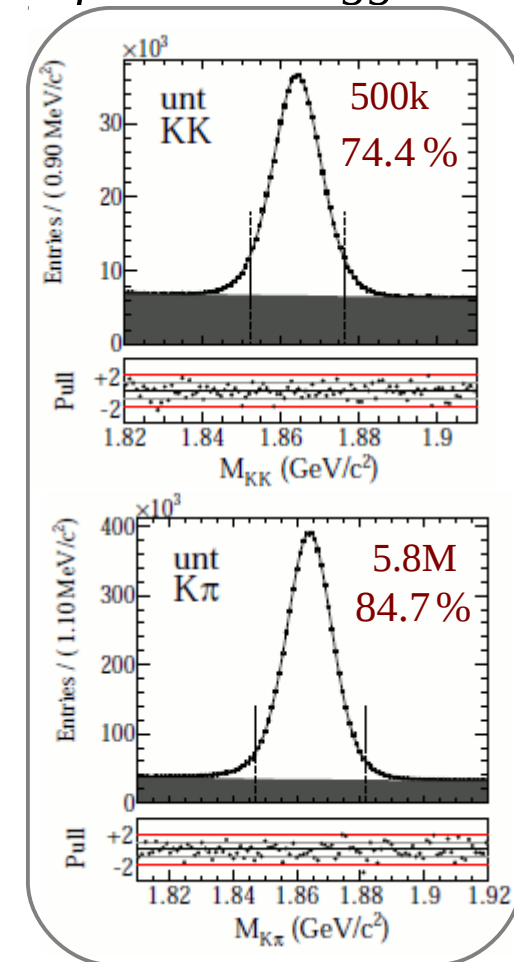


- Charm background:  
Small component ( $< 0.7\%$ ), misreconstructed charm decays, not separated in the mass fit  
Lifetime fit PDFs and yields extracted from MC in the signal region
- Combinatorial background:  
Main component, random tracks  
Lifetime fit PDFs extracted from data outside the signal region  
Lifetime fit yields (not for untagged  $K^+ K^-$ ) are extracted from data in the signal region (integral of bkg PDF minus the charm bkg yields from MC)

*flavour tagged*



*flavour untagged*



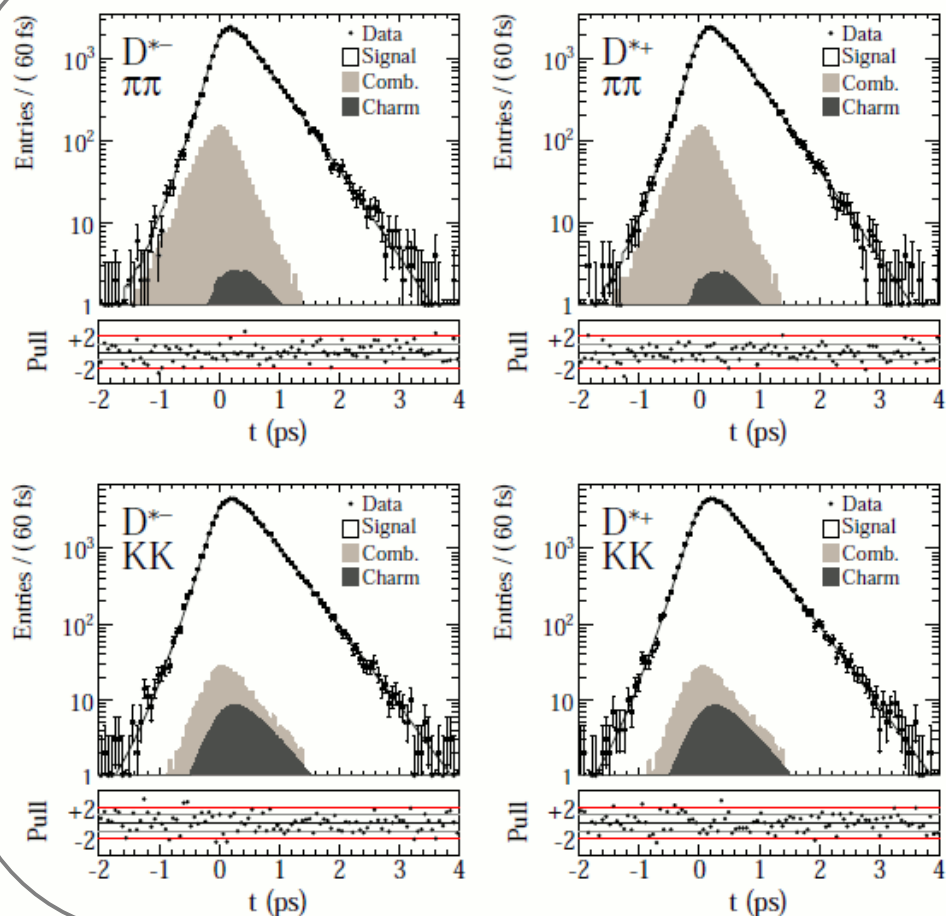
# Decays to CP-even eigenstates $D^0 \rightarrow K^+ K^-, \pi^+ \pi^-$

[J.P. Lees et al, PRD87, 012004 (2013), arXiv:1209.3896]



- Signal: properly normalized 2d conditional PDF ( $t, \sigma_t$ )
- Lifetime 2d fit in the signal region only

*CP+ eigenstates*



**CP+ lifetimes**

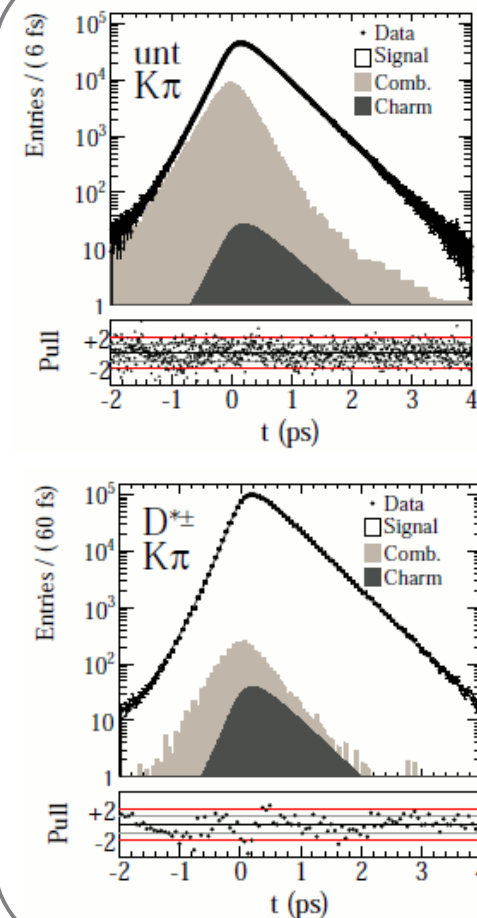
$$\tau^+ = (405.69 \pm 1.25) \text{ fs}$$

$$\bar{\tau}^+ = (406.40 \pm 1.25) \text{ fs}$$

**$D^0$  lifetime**

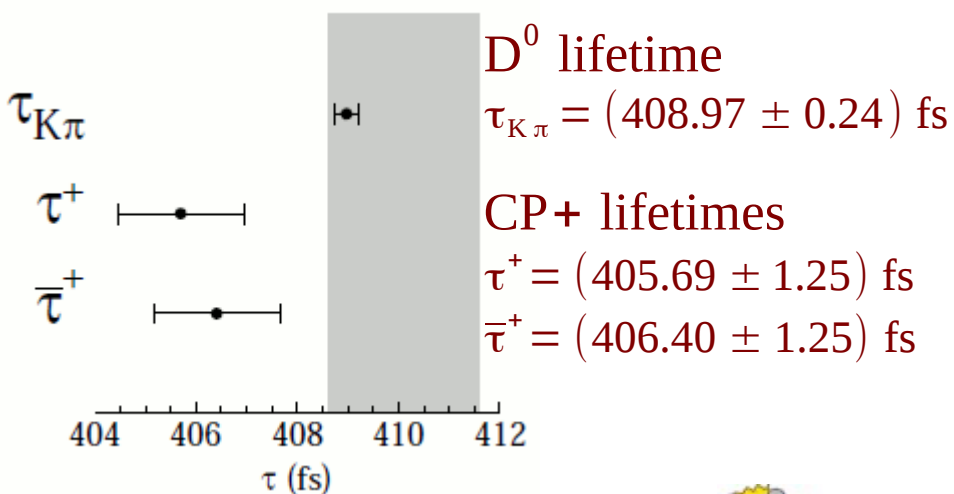
$$\tau_{K\pi} = (408.97 \pm 0.24) \text{ fs}$$

*CP mixed states*



# Decays to CP-even eigenstates $D^0 \rightarrow K^+ K^-, \pi^+ \pi^-$

[J.P. Lees et al, PRD87, 012004 (2013), arXiv:1209.3896]



Results with  $468 \text{ fb}^{-1}$



$$y_{CP} = (+0.72 \pm 0.18 \pm 0.12) \%$$

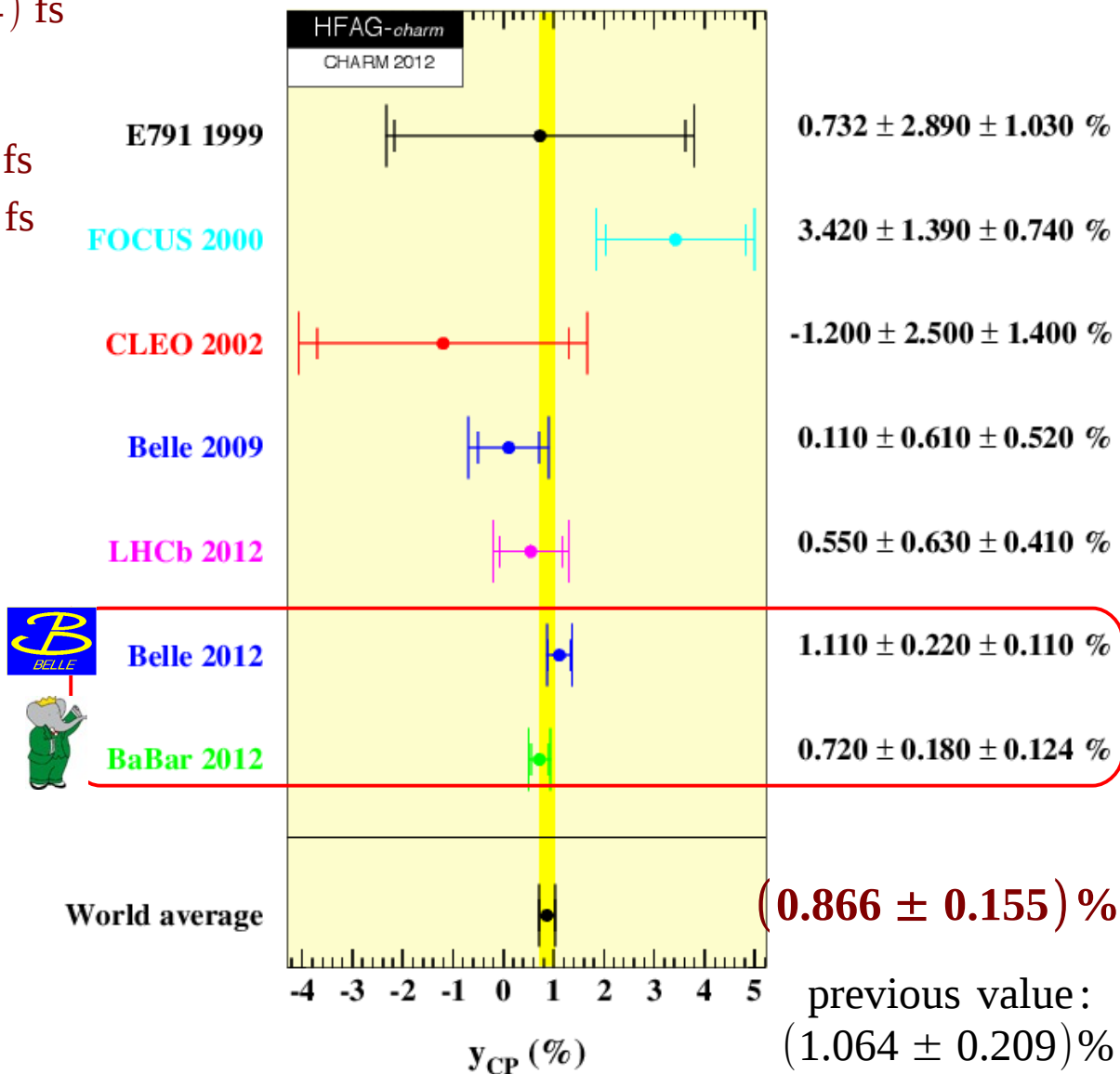
$$A_{\Gamma} = (+0.09 \pm 0.26 \pm 0.06) \%$$

Exclude no mixing at  $3.3 \sigma$

BaBar,  $384 \text{ fb}^{-1}$

$$y_{CP} = (+1.16 \pm 0.22 \pm 0.18) \%$$

$$A_{\Gamma} = (+0.26 \pm 0.36 \pm 0.08) \%$$





# $D \rightarrow K_S^0 \pi^+ \pi^-$ time-dependent Dalitz analysis

- For  $D^0$  3 body self-conjugated decays, Dalitz analysis can be performed:

e.g. in  $D^0 \rightarrow K_S^0 \pi^+ \pi^-$ , decay amplitude  $A(m_-^2, m_+^2)$

where  $m_-^2 \equiv m_{K_S^0 \pi^-}^2$ ,  $m_+^2 \equiv m_{K_S^0 \pi^+}^2$

- In CP conservation assumption,  $A = \bar{A}$  and  $q/p = 1$

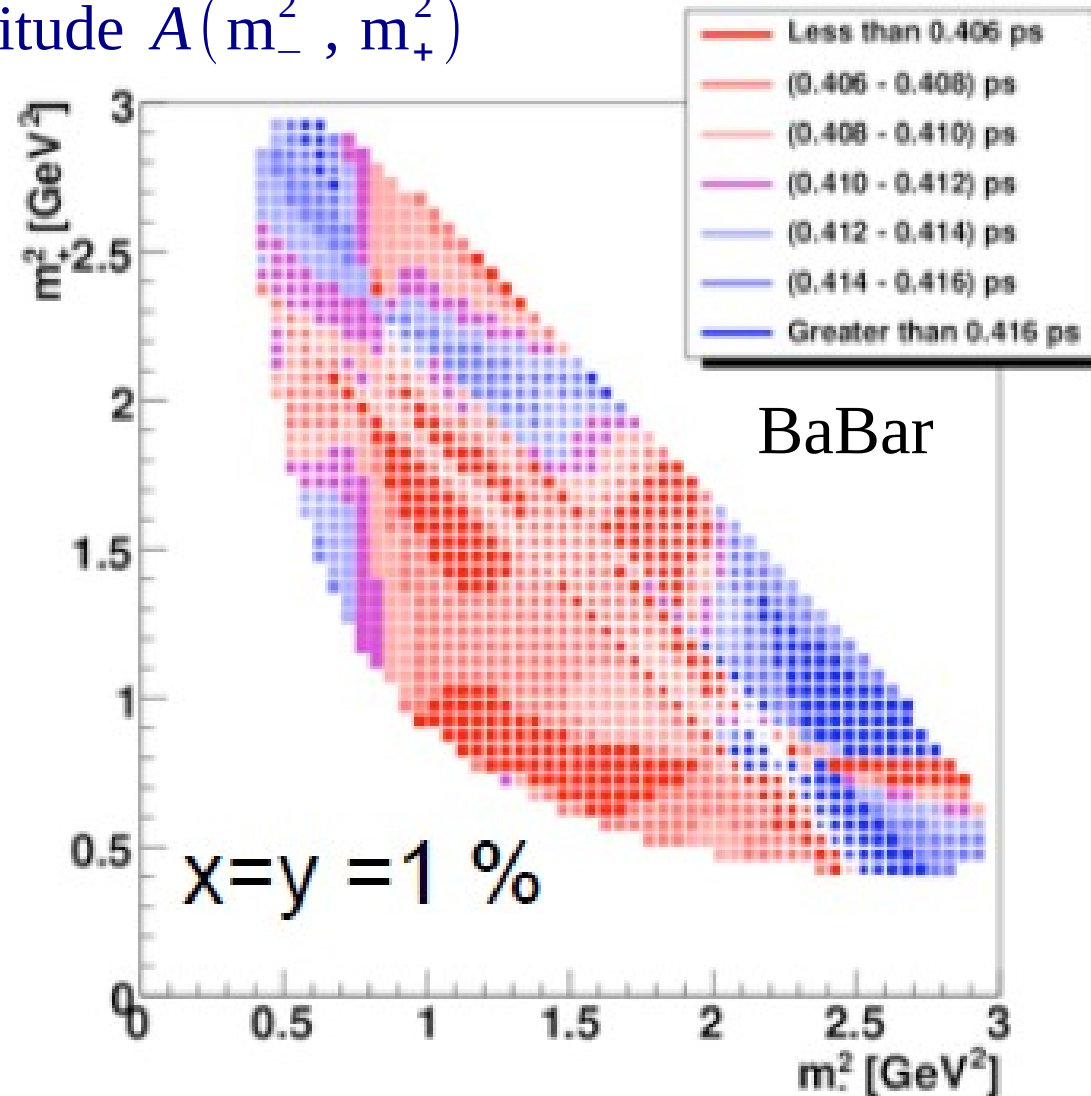
Distribution of events across Dalitz space vs  $t(D^0)$

Variation  $\rightarrow$  signature of mixing

sensitivity to  $x$  and  $y$  comes mainly from regions with:

- interferences of CF and DCS
- CP eigenstates

**Simultaneous determination of  $x$  and  $y$**



Example of mean lifetime in different regions of the DP

# D → K<sub>S</sub><sup>0</sup> π<sup>+</sup> π<sup>-</sup> time-dependent Dalitz analysis



- D<sup>\*+</sup> → D<sup>0</sup> π<sub>s</sub><sup>+</sup>, D<sup>0</sup> → K<sub>S</sub><sup>0</sup> π<sup>+</sup> π<sup>-</sup> Results (preliminary) with **920 fb<sup>-1</sup>**

K<sub>S</sub><sup>0</sup> → π<sup>+</sup> π<sup>-</sup> selection:

common vertex separated from the interaction region

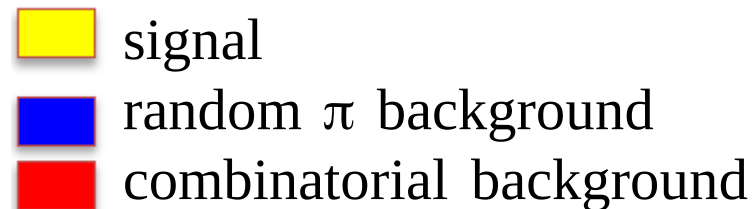
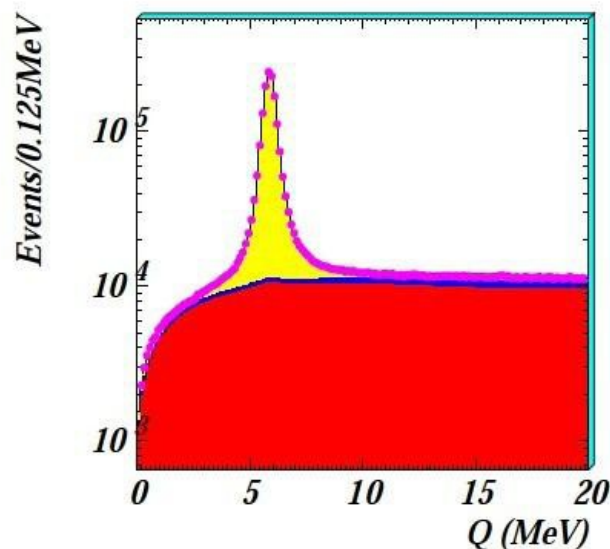
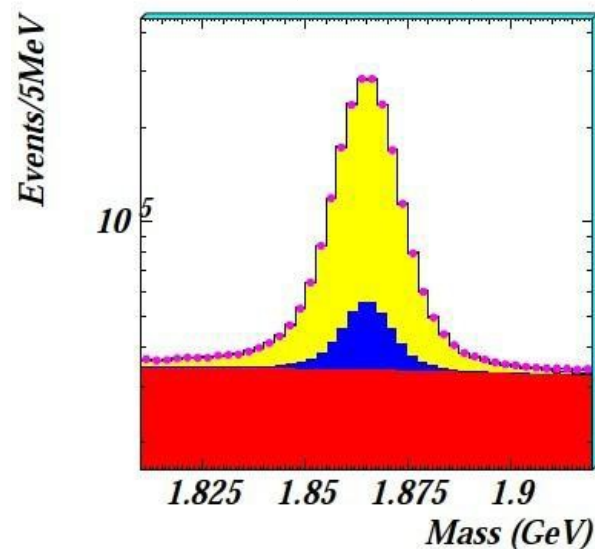
$$|M(\pi^+ \pi^-)| < 10 \text{ MeV}/c^2$$

Decay vertex:

reconstructed with charged π tracks only (at least 4 SVD hits per track)

$$\sum \chi^2 < 100 \text{ (vertex fit constraint)}, \sigma_t < 1000 \text{ fs}$$

$$M = M(K_S^0 \pi^+ \pi^-) \quad Q = M(K_S^0 \pi^+ \pi^- \pi_s) - M(K_S^0 \pi^+ \pi^-) - m_{\pi^+}$$



Y(4S), Y(5S) full dataset (**920 fb<sup>-1</sup>**)

signal region:  $|Q - 5.85| < 1.0 \text{ GeV}$

$|M - 1.865| < 0.015 \text{ GeV}/c^2$

	Belle (2007)	New	Ratio
Lumi (fb <sup>-1</sup> )	540	920	1.7
Signal yield	534k	1.23M	2.3

channel	K <sub>S</sub> <sup>0</sup> π π
Yield	1.23M
Purity	95.6%

⇒ significant gain from reprocessing

# $D \rightarrow K_S^0 \pi^+ \pi^-$ time-dependent Dalitz analysis



Dalitz model (signal):

$K\pi$  S-wave: LASS model

$$A(m_-^2, m_+^2) = B_{\text{res} \neq \text{S-wave}} + K_{\pi\pi \text{ S-wave}} + L_{K\pi \text{ S-wave}}$$

$\pi^+ \pi^-$  S-wave: K-matrix model

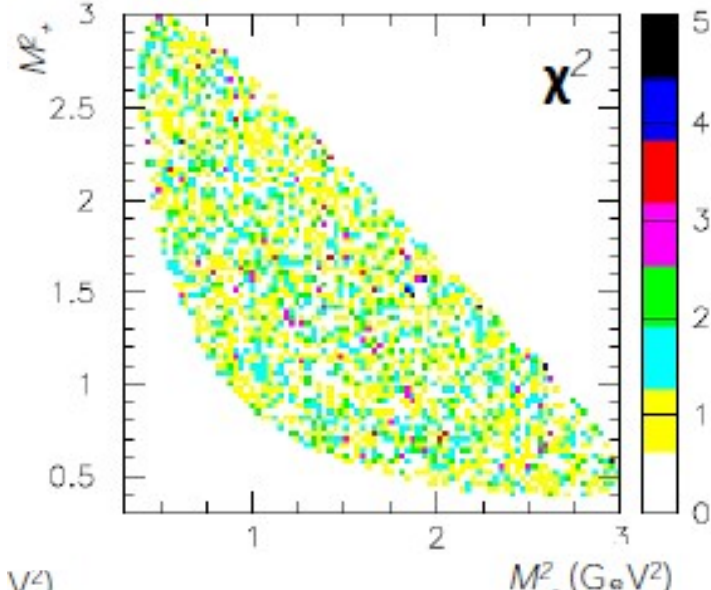
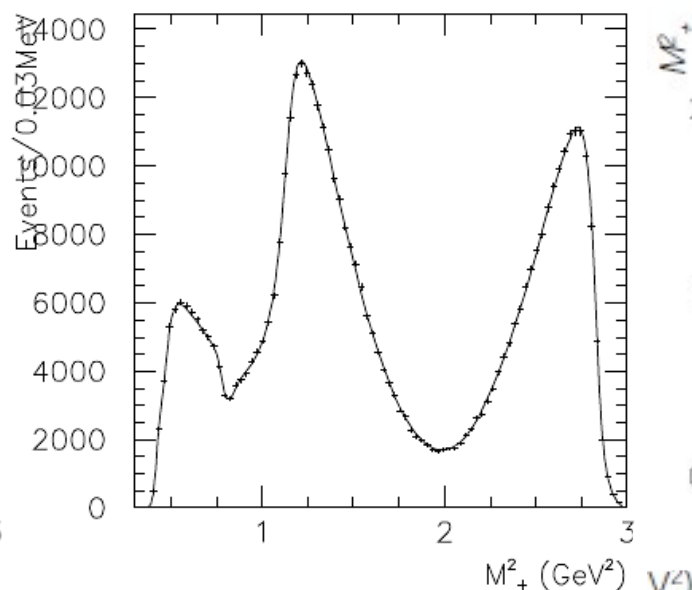
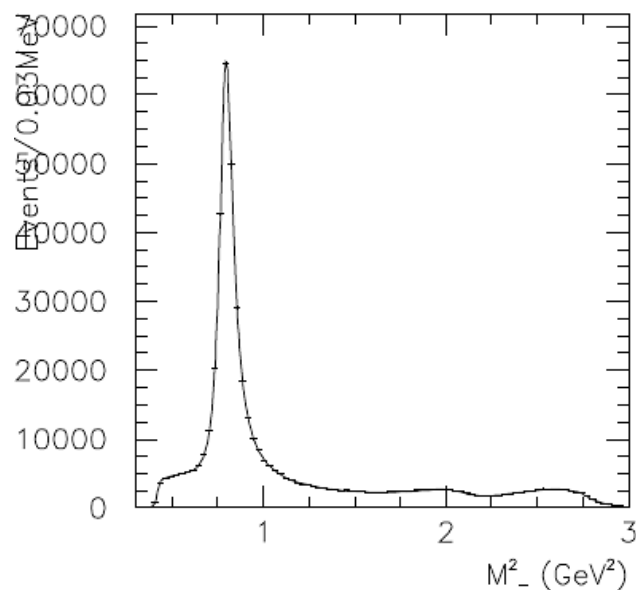
Breit-Wigner (12 resonances)  $B_{\text{res} \neq \text{S-wave}} = \sum_{\text{res} \neq \text{S-wave}} a_r e^{i\varphi_r} A_r(m_-^2, m_+^2)$

Dalitz PDF for combinatorial background: sideband region

$(0.03 < |M - 1.865| < 0.05 \text{ GeV}/c^2 \text{ and } |Q - 5.85| < 5 \text{ MeV})$

DP projections of  $D^0 \rightarrow K_S^0 \pi^+ \pi^-$  time-integrated Dalitz fit

$\chi^2/\text{ndf} = 1.246$  for  $(3653 - 49)$  ndf



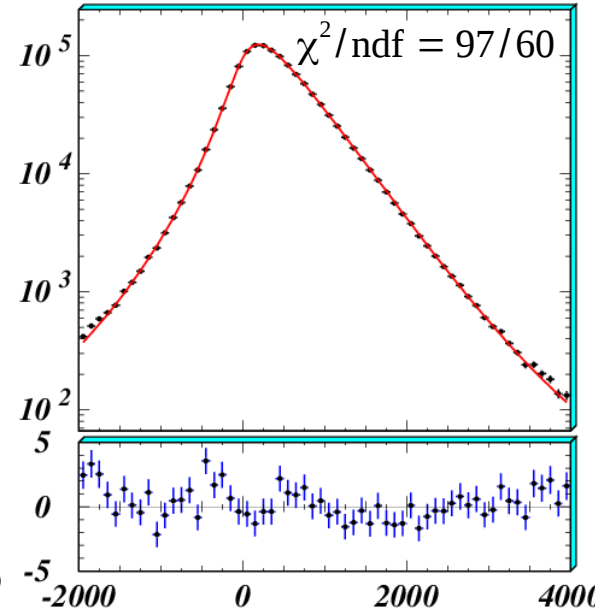
# $D \rightarrow K_S^0 \pi^+ \pi^-$ time-dependent Dalitz analysis



Results (preliminary) with  $920 \text{ fb}^{-1}$ , assuming CP conservation

$$x = \left( +0.56 \pm 0.19 \begin{array}{cc} +0.03 & +0.06 \\ -0.09 & -0.09 \end{array} \right) \% \\ y = \left( +0.30 \pm 0.15 \begin{array}{cc} +0.04 & +0.03 \\ -0.05 & -0.06 \end{array} \right) \% \\ \text{(syst) (model)}$$

$$\tau = (410.3 \pm 0.4) \text{ fs} \\ [\tau_{\text{PDG}} = (410.1 \pm 1.5) \text{ fs}]$$

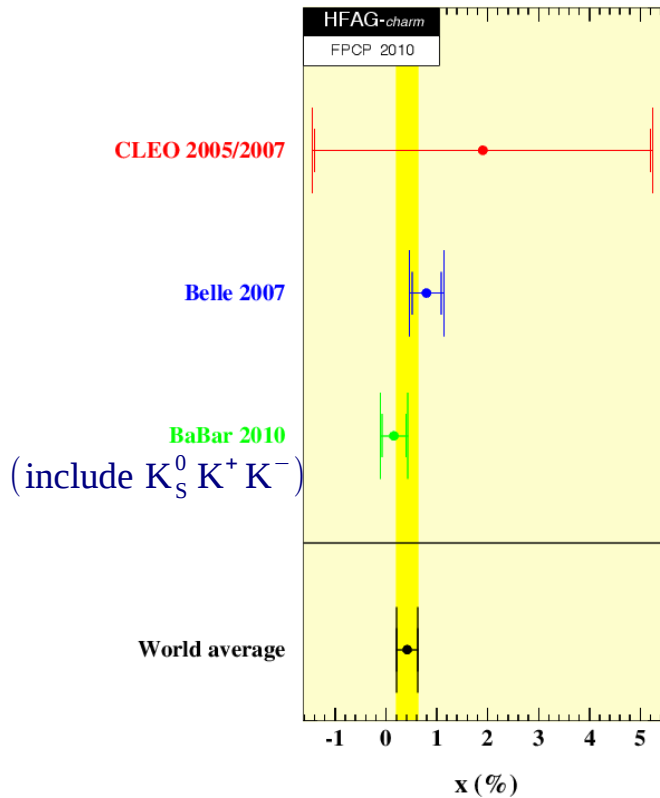


Belle (2007) @  $540 \text{ fb}^{-1}$

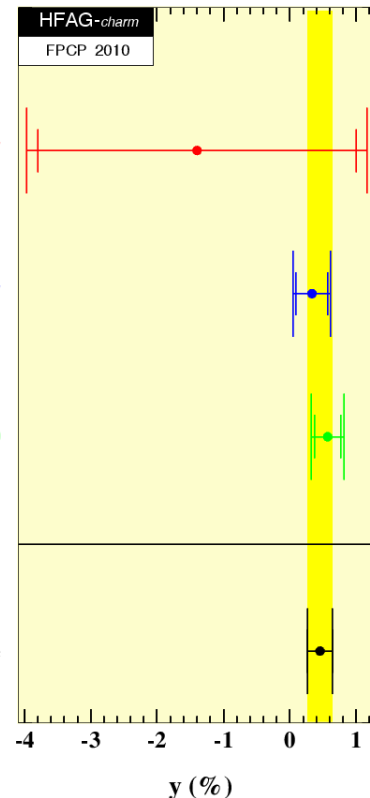
$$x = \left( +0.80 \pm 0.29 \begin{array}{cc} +0.09 & +0.10 \\ -0.07 & -0.14 \end{array} \right) \% \\ y = \left( +0.33 \pm 0.24 \begin{array}{cc} +0.08 & +0.06 \\ -0.12 & -0.08 \end{array} \right) \%$$

BaBar (2010)

$$x = (+0.16 \pm 0.23 \pm 0.12 \pm 0.08) \% \\ y = (+0.57 \pm 0.20 \pm 0.13 \pm 0.07) \%$$

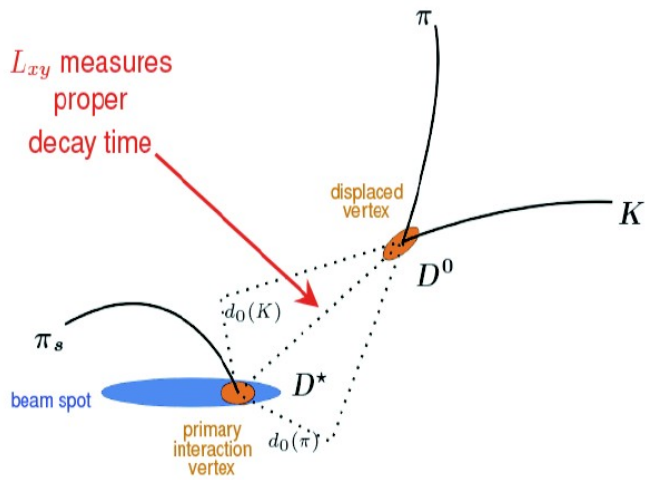


$(0.419 \pm 0.211) \%$  World average  
**[TO BE UPDATED]**  
 $\rightarrow (0.398 \pm 0.175) \%$

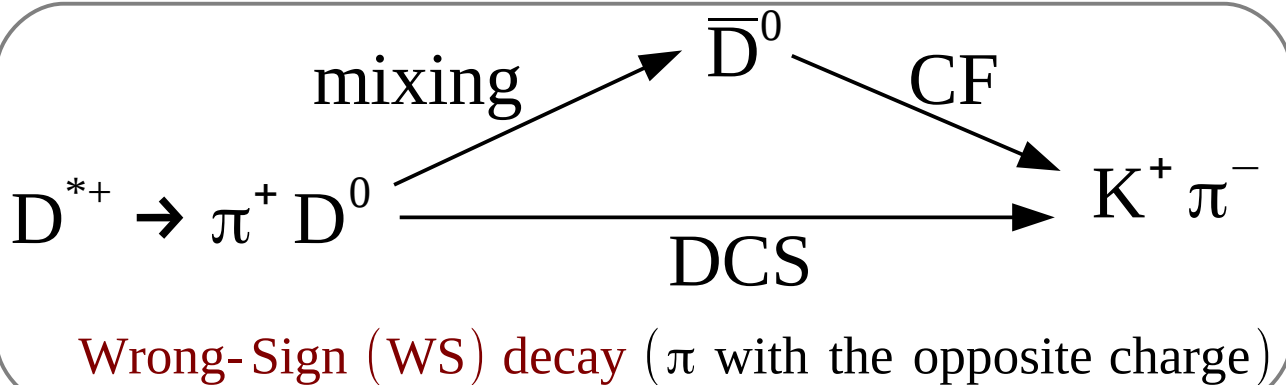
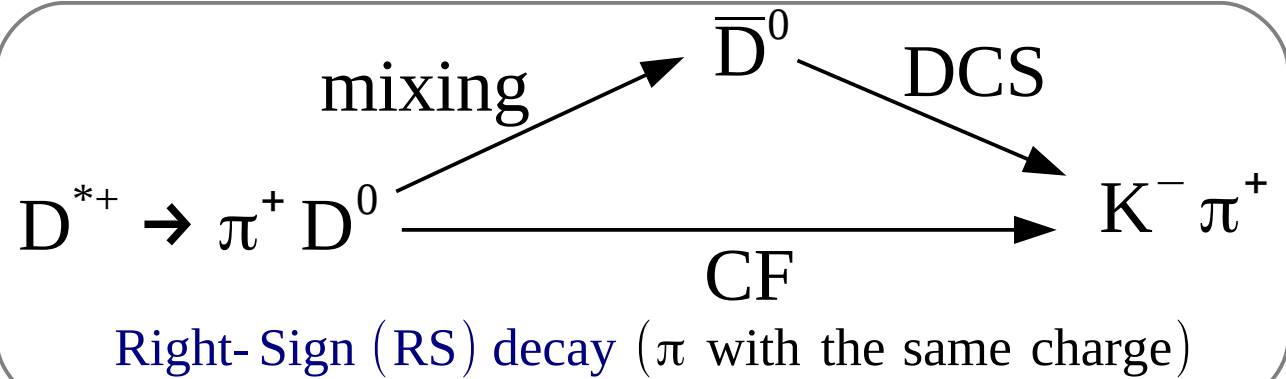


$(0.456 \pm 0.186) \%$  World average  
**[TO BE UPDATED]**  
 $\rightarrow (0.380 \pm 0.140) \%$

# Charm mixing in $D^0 \rightarrow K^+ \pi^-$



$D^0$  is tagged by  $D^{*+} \rightarrow D^0 \pi_s^+$  decay



The ratio  $R(t)$  of **WS**  $D^{*+} \rightarrow D^0 \pi_s^+ \rightarrow K^+ \pi^- \pi_s^+$  to **RS**  $D^{*+} \rightarrow D^0 \pi_s^+ \rightarrow K^- \pi^+ \pi_s^+$  decay rates can be approximated (assuming  $|x|, |y| \ll 1$  and no CPV) by:

$$R(t) = \underbrace{R_D}_{\text{DCS to CF ratio}} + \sqrt{R_D} y' t + \underbrace{\frac{x'^2 + y'^2}{4}}_{\text{mixing rate}} t^2$$

$$x' = x \cos \delta_{K\pi} + y \sin \delta_{K\pi}$$

$$y' = y \cos \delta_{K\pi} - x \sin \delta_{K\pi}$$

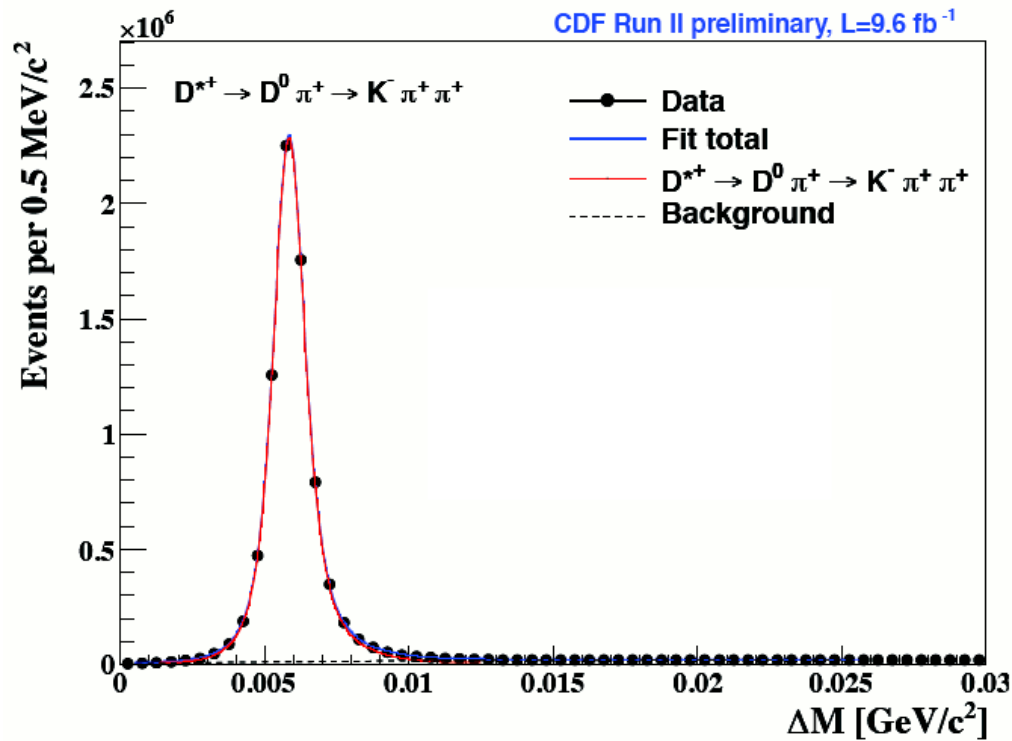
$\delta_{K\pi}$ : strong phase difference btw DCS and CF amplitudes

# Charm mixing in $D^0 \rightarrow K^+ \pi^-$

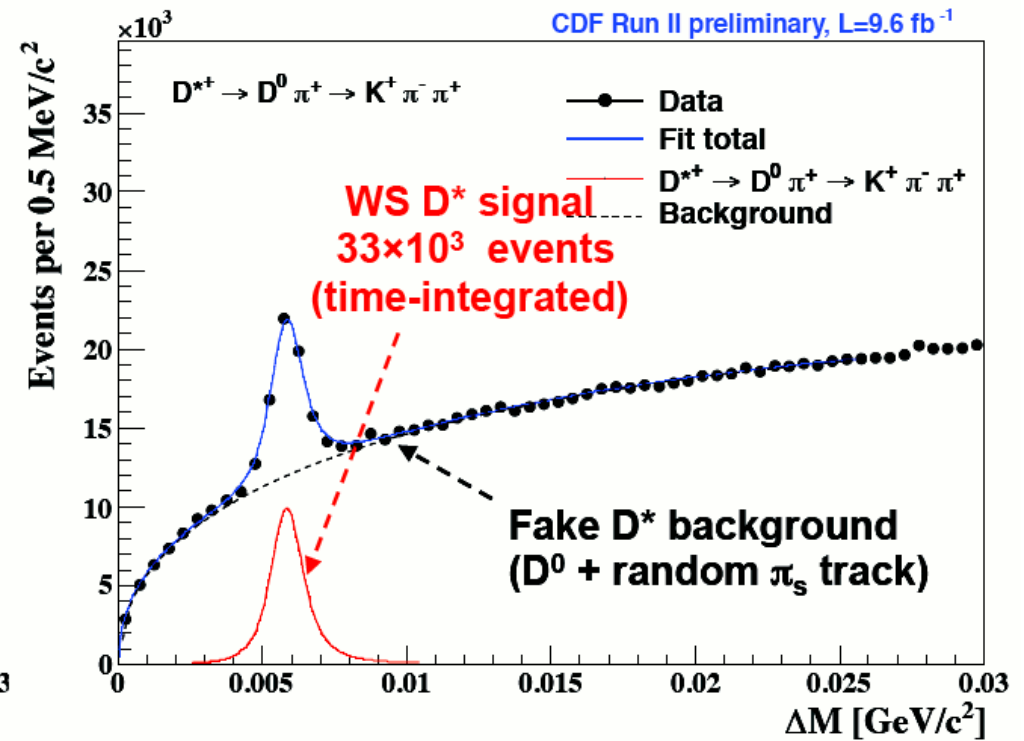


[http://www-cdf.fnal.gov/physics/new/bottom/130408.blessed-DMix\\_9.6fb/public\\_note\\_CDF\\_D\\_mix.pdf](http://www-cdf.fnal.gov/physics/new/bottom/130408.blessed-DMix_9.6fb/public_note_CDF_D_mix.pdf)

## Time - integrated yields ( $9.6 \text{ fb}^{-1}$ )



RS:  $D^0 \rightarrow K^- \pi^+$   
7.6M decays



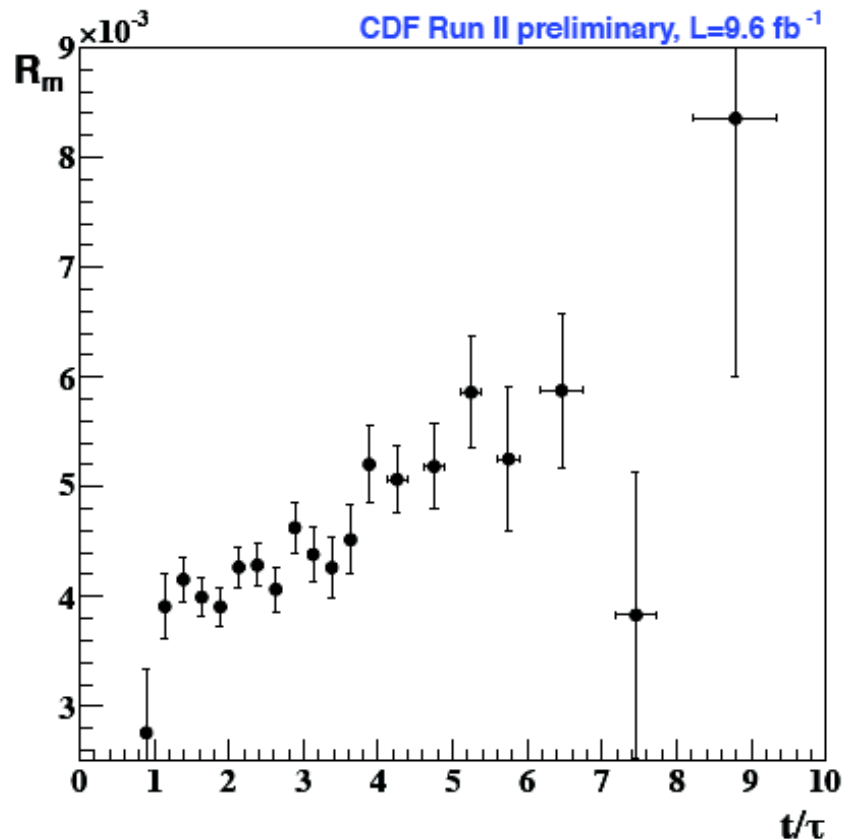
WS:  $D^0 \rightarrow K^+ \pi^-$   
33k decays

# Charm mixing in $D^0 \rightarrow K^+ \pi^-$



[http://www-cdf.fnal.gov/physics/new/bottom/130408.blessed-DMix\\_9.6fb/public\\_note\\_CDF\\_D\\_mix.pdf](http://www-cdf.fnal.gov/physics/new/bottom/130408.blessed-DMix_9.6fb/public_note_CDF_D_mix.pdf)

## Time-dependent fit strategy



In each decay - time bin

fit RS sample to determine  
signal shape's parameters

fit WS sample with signal shape  
fixed to RS

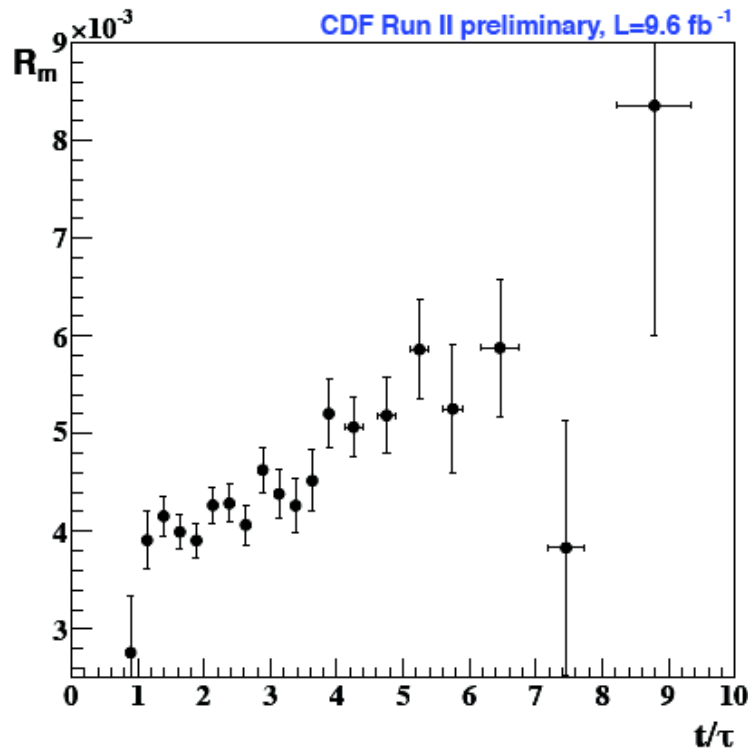
Calculate WS/RS ratio from  
measured yields

- charm mesons from b-hadron decays
- backgrounds from mis-identified charm decays peaking in  $M(D^0 \pi_s)$   
⇒ accounted for in the time-dependent fit

# Charm mixing in $D^0 \rightarrow K^+ \pi^-$



[http://www-cdf.fnal.gov/physics/new/bottom/130408.blessed-DMix\\_9.6fb/public\\_note\\_CDF\\_D\\_mix.pdf](http://www-cdf.fnal.gov/physics/new/bottom/130408.blessed-DMix_9.6fb/public_note_CDF_D_mix.pdf)

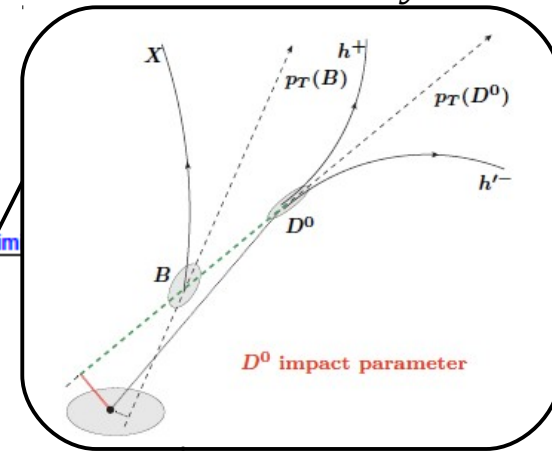


Calculate the WS/RS ratio from measured  $D^*$  yields in each decay time bin (20 bins)

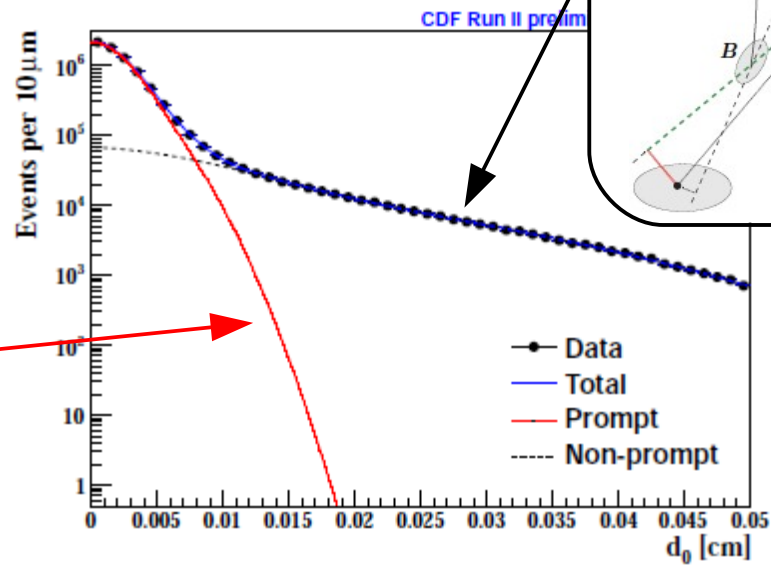
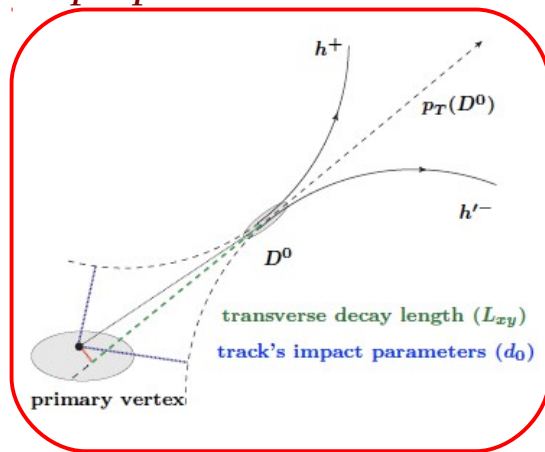
$$R_m(t) = \frac{N^{\text{WS}}(t) + N_B^{\text{WS}}(t)}{N^{\text{RS}}(t) + N_B^{\text{RS}}(t)}$$

Secondary production  $D^0$  from B decay

Apply  $d_0(D^0) < 60 \mu\text{m}$  to reduce secondary D

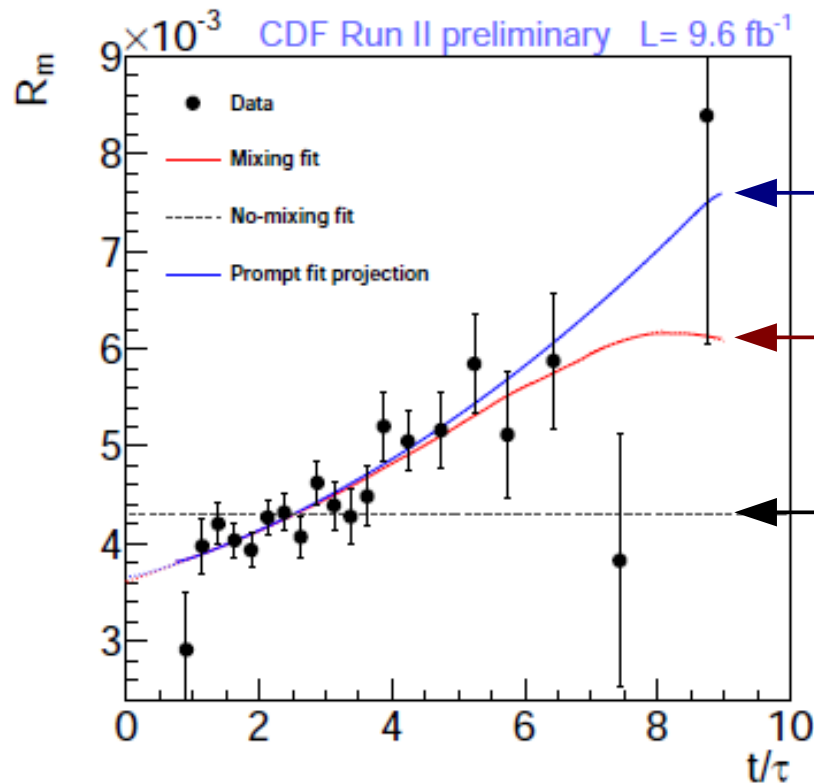


Prompt production  $D^0$  from PV





# Charm mixing in $D^0 \rightarrow K^+ \pi^-$

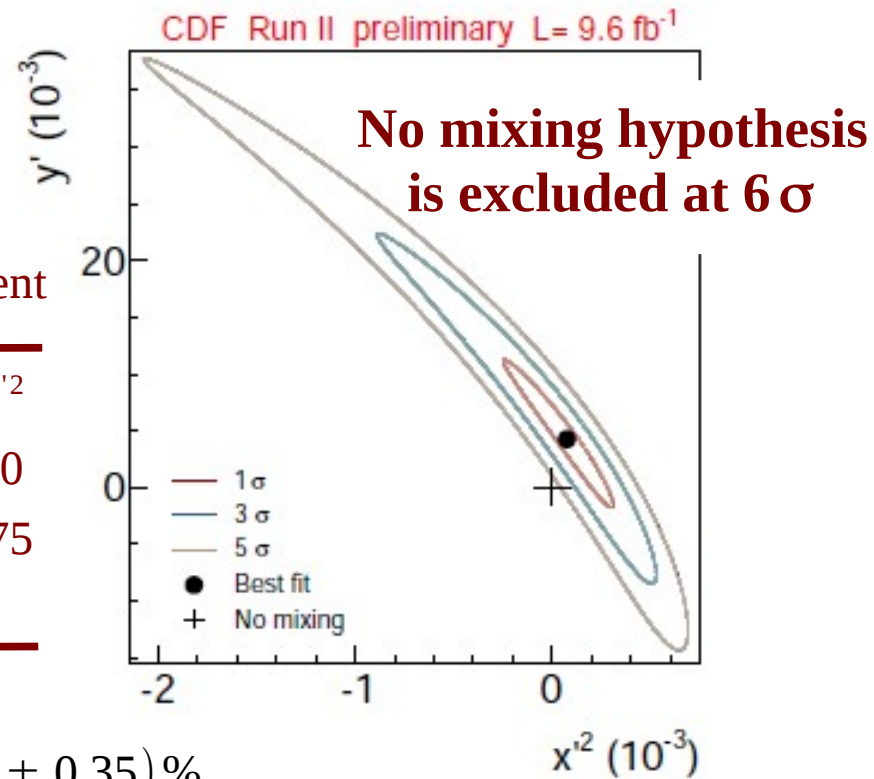


contribution from  $B$  hadron decays is included in the  $WS/RS$  ratio fit:

Projection of the prompt component of the fit, i.e.  $R(t)$

Best fit, including the effect of  $D^*$  from  $B$  decays

No-mixing fit ( $x'^2 = y' = 0$ )



Fit type	Parameter	Fit result	Correlation coefficient		
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$(\chi^2/\text{ndf})$		$(10^{-3})$	$R_D$	$y'$	$x'^2$
Mixing	$R_D$	$3.51 \pm 0.35$	1	-0.967	0.900
$(16.9/17)$	$y'$	$4.3 \pm 4.3$		1	-0.975
	$x'^2$	$+0.08 \pm 0.18$			1

CDF (2007)

$$R_D = (3.04 \pm 0.55) \times 10^{-3}$$

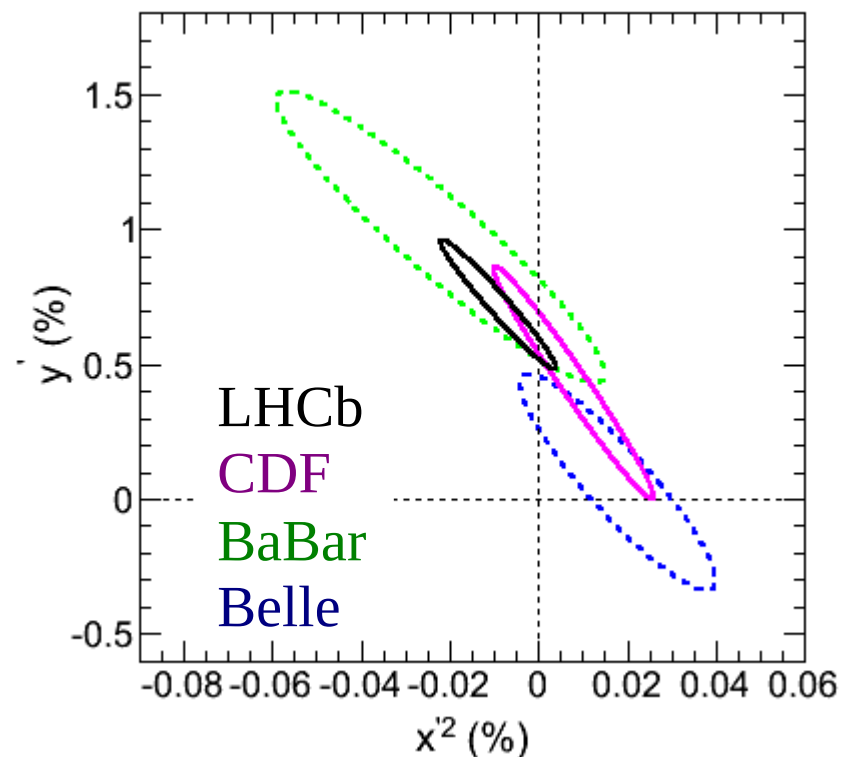
PRL 100 (2008)121802

$$y' = (8.5 \pm 7.6)\%, \quad x'^2 = (-0.12 \pm 0.35)\%$$

# Charm mixing in $D^0 \rightarrow K^+ \pi^-$

Experiment	$R_D$ ( $10^{-3}$ )	$y'$ ( $10^{-3}$ )	$x'^2$ ( $10^{-3}$ )	No-mixing exclusion significance
<b>Belle</b> PRL 96 (2006) 151801	$3.64 \pm 0.17$	$0.6^{+4.0}_{-3.9}$	$+0.18^{+0.21}_{-0.23}$	2.0
<b>BaBar</b> PRL 98 (2007) 211802	$3.03 \pm 0.19$	$9.7 \pm 5.4$	$-0.22 \pm 0.37$	3.9
<b>LHCb</b> PRL 110 (2013) 101802	$3.52 \pm 0.15$	$7.2 \pm 2.4$	$-0.09 \pm 0.13$	9.1
<b>CDF</b> preliminary (2013)	$3.51 \pm 0.35$	$4.3 \pm 4.3$	$+0.08 \pm 0.18$	6.1

See Alberto dos Reis's talk



# $D^0 - \bar{D}^0$ mixing

HFAG charm: A.Schwartz, B.Golob, M.Gersabeck

$\chi^2 / \text{ndf} = 66.8 / 41$

FPCP 2013

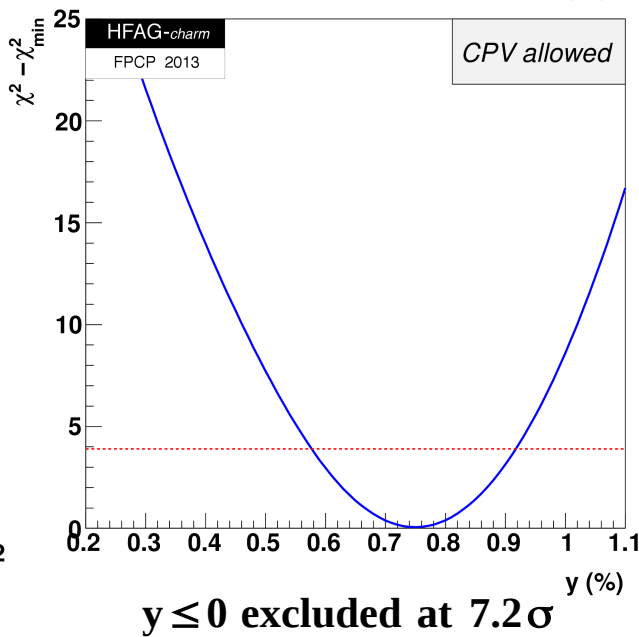
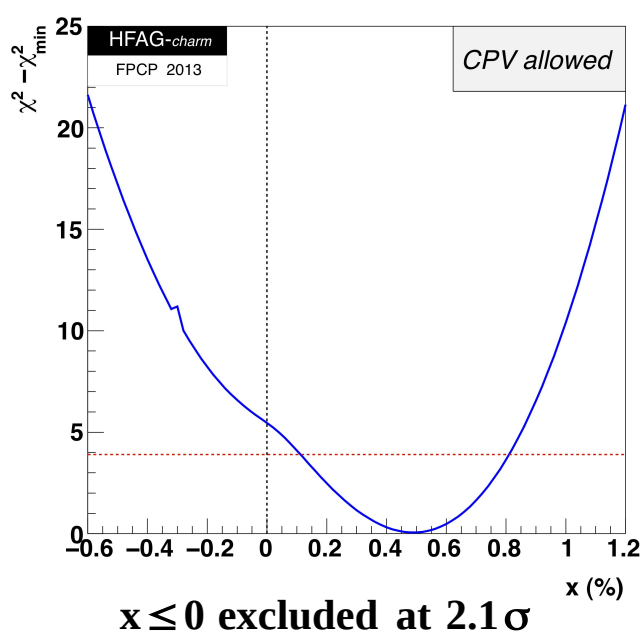
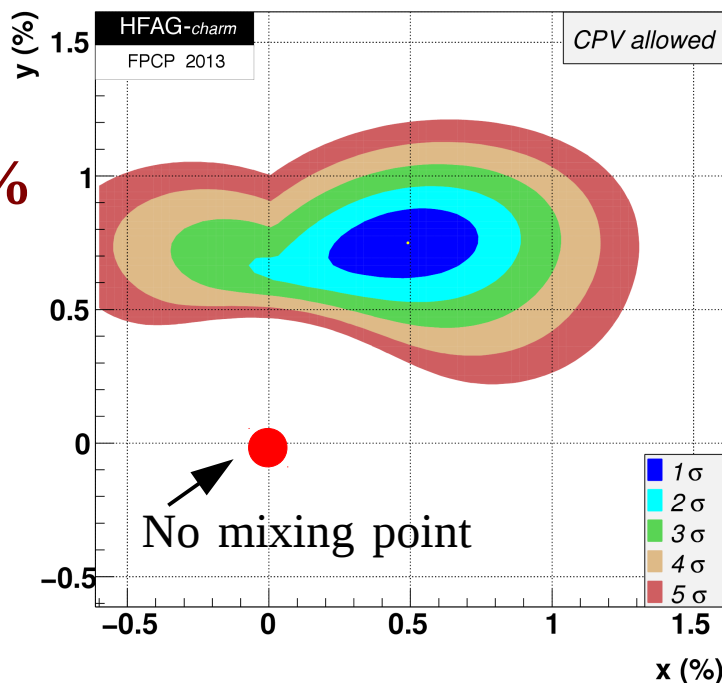
$$x = (0.49^{+0.17}_{-0.18})\%$$

$$y = (0.75 \pm 0.09)\%$$

FPCP 2012:

$$x = (0.63^{+0.19}_{-0.20})\%$$

$$y = (0.75 \pm 0.12)\%$$



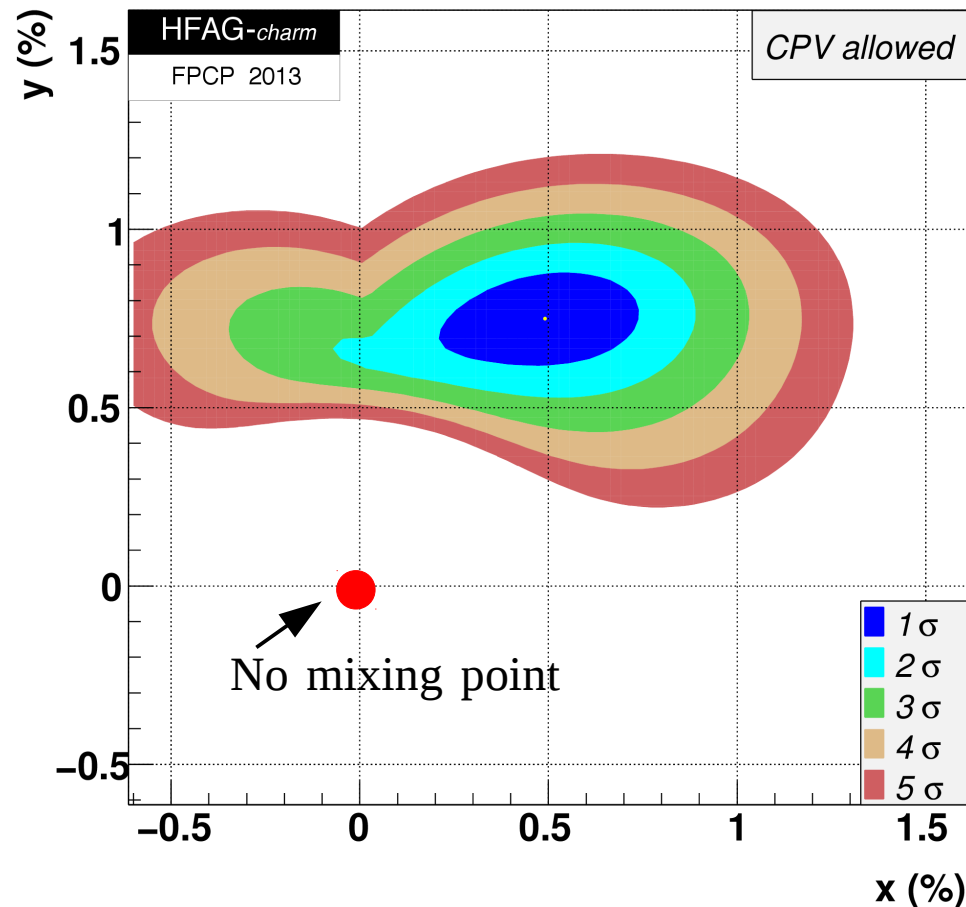
Observable	$\chi^2$	$\sum \chi^2$
$y_{CP}$	2.94	2.94
$A_\Gamma$	0.03	2.97
$x_{K^0\pi^+\pi^-}$ Belle	0.85	3.82
$y_{K^0\pi^+\pi^-}$ Belle	1.68	5.50
$ q/p _{K^0\pi^+\pi^-}$ Belle	0.34	5.84
$\phi_{K^0\pi^+\pi^-}$ Belle	1.04	6.88
$x_{K^0h^+h^-}$ BaBar	1.48	8.37
$y_{K^0h^+h^-}$ BaBar	0.42	8.79
$R_M(K^+\ell^-\nu)$	0.11	8.90
$x_{K^+\pi^-\pi^0}$ BaBar	6.22	15.12
$y_{K^+\pi^-\pi^0}$ BaBar	2.77	17.89
CLEOc		
$(x/y/R_D/\cos\delta/\sin\delta)$	10.83	28.72
$R_D^+/x'^2+/y'^+$ BaBar	7.95	36.67
$R_D^-/x'^2-/y'^-$ BaBar	5.82	42.49
$R_D^+/x'^2+/y'^+$ Belle	1.72	44.20
$R_D^-/x'^2-/y'^-$ Belle	0.66	44.87
$R_D/x'^2/y'$ CDF	3.41	48.28
$R_D/x'^2/y'$ LHCb	8.51	56.78
$A_{KK}/A_{\pi\pi}$ BaBar	0.72	57.50
$A_{KK}/A_{\pi\pi}$ Belle	1.55	59.05
$A_{KK} - A_{\pi\pi}$ CDF	1.66	60.70
$A_{KK} - A_{\pi\pi}$ LHCb ( $D^*$ tag)	0.00	60.71
$A_{KK} - A_{\pi\pi}$ LHCb ( $B^0 \rightarrow D^0\mu X$ tag)	6.11	66.82

# $D^0 - \bar{D}^0$ mixing

New results since FPCP2012:

- $KK, \pi\pi$ : updates with full stat of Belle (tagged) and BaBar (tagged+untagged)
- $K_S\pi\pi$ : update of Belle with full stat
- $K\pi$  WS: mixing observations from LHCb and CDF

**FPCP 2013**  
 $x = (0.49^{+0.17}_{-0.18})\%$   
 $y = (0.75 \pm 0.09)\%$



but still much work needed for precise measurements (especially for  $x$ ),  
LHCb, Belle II, LHCb upgrade

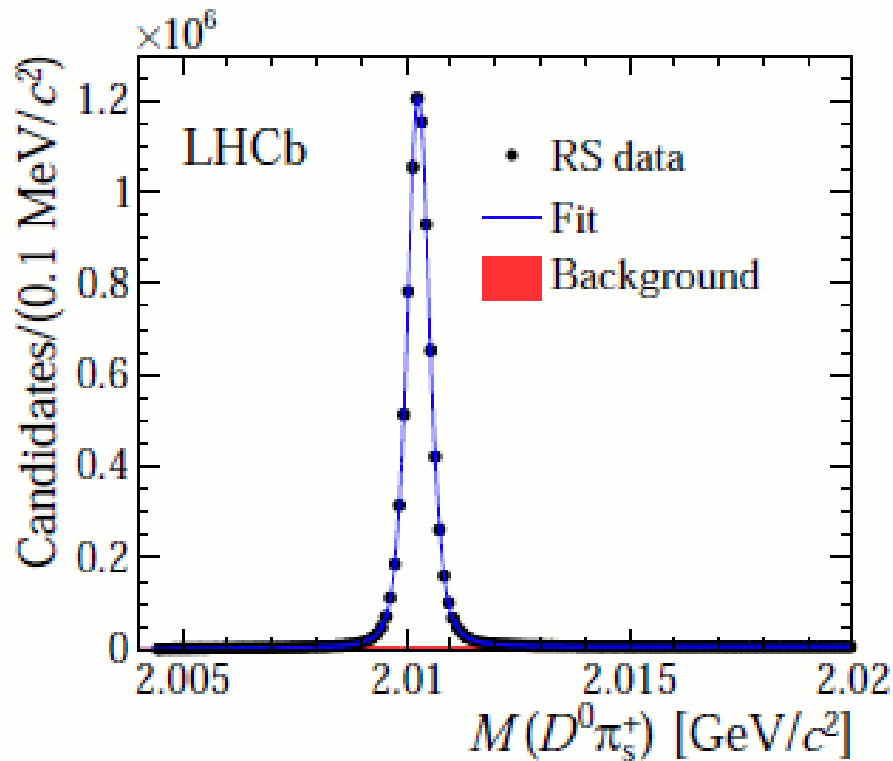


# Charm mixing in $D^0 \rightarrow K^+ \pi^-$

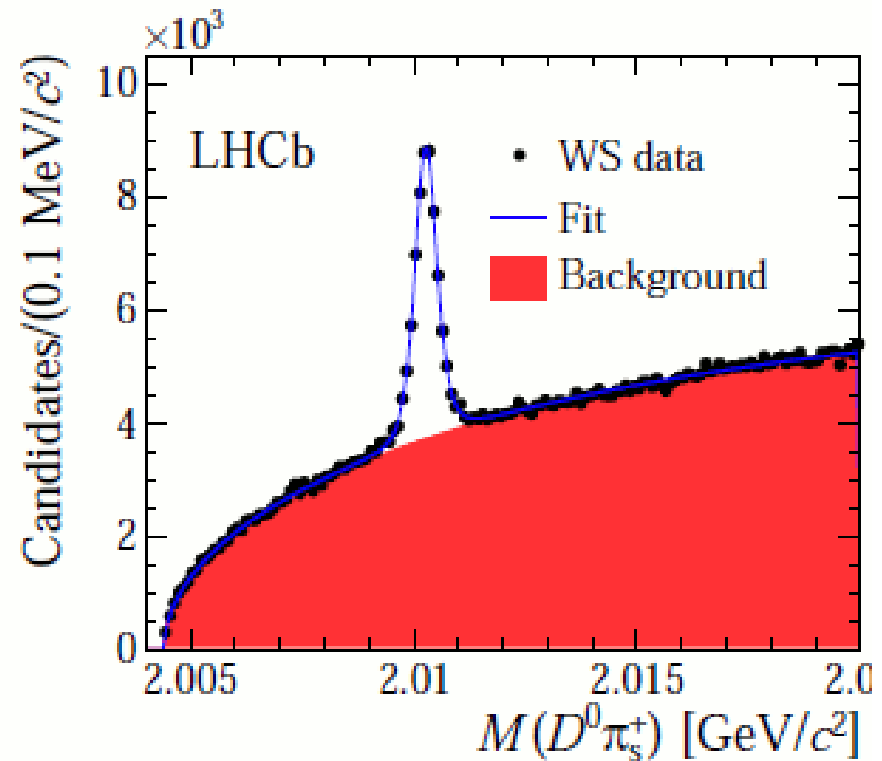
[PRL 110, 101802 (2013), arXiv:1211.1230]



Time-integrated yields ( $1 \text{ fb}^{-1}$ )



RS:  $D^0 \rightarrow K^- \pi^+$   
8.4M decays



WS:  $D^0 \rightarrow K^+ \pi^-$   
36k decays

# Charm mixing in $D^0 \rightarrow K^+ \pi^-$

[PRL 110, 101802 (2013), arXiv:1211.1230]



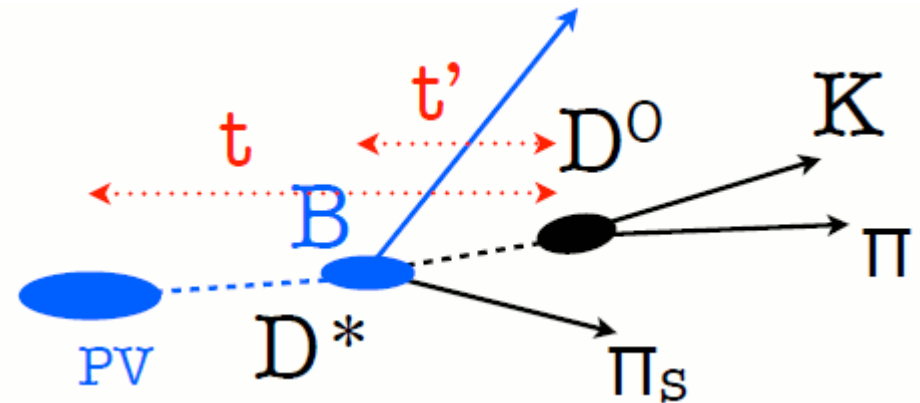
measured WS/RS ratio:

$$R^m(t) = \frac{N^{WS}(t) + N_B^{WS}(t)}{N^{RS}(t) + N_B^{RS}(t)} = R(t) \underbrace{\left\{ 1 - f_B^{RS}(t) \left[ 1 - \frac{R_B(t)}{R(t)} \right] \right\}}_{\text{bias from secondary D decays}}$$

where:

$$f_B^{RS}(t) = \frac{N_B^{RS}(t)}{N^{RS}(t) + N_B^{RS}(t)}$$

$$R_B(t) = \frac{N_B^{WS}(t)}{N_B^{RS}(t)}$$



$c\tau(B) \approx 450 \mu\text{m}$ , D from B have non-zero impact parameter

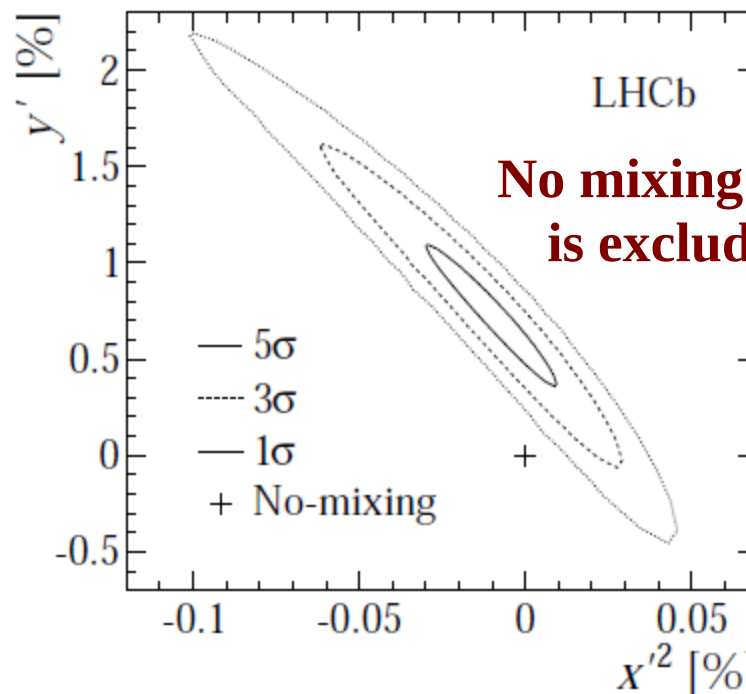
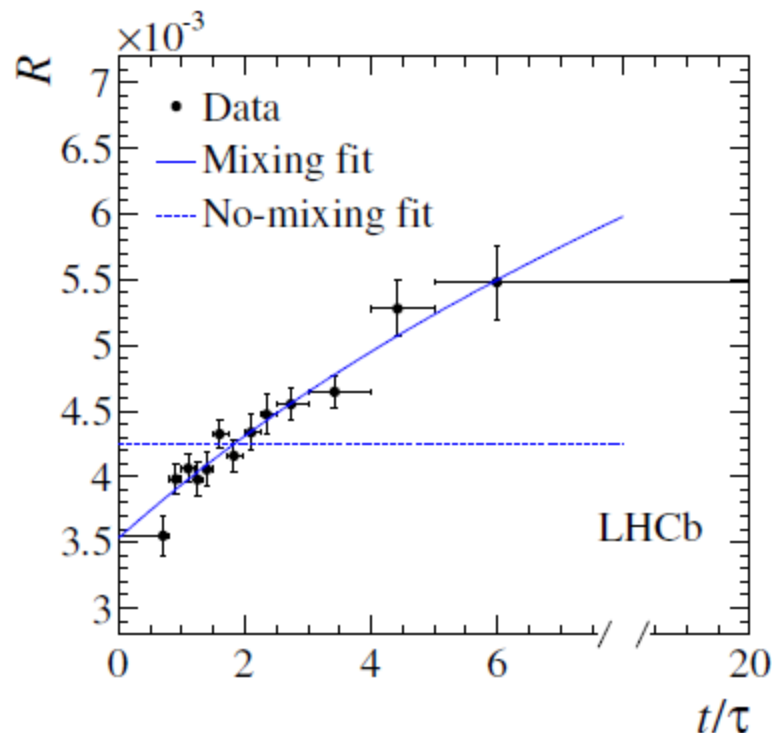
cut on  $\chi^2(\text{IP})$ , remaining (3%): included in the fit, shape estimated from evts reconstructed as  $B \rightarrow D^*(3)\pi$ ,  $B \rightarrow D^* \mu X$ ,  $D^0 \mu X$

# Charm mixing in $D^0 \rightarrow K^+ \pi^-$ ( $1 \text{ fb}^{-1}$ )



[PRL 110, 101802 (2013), arXiv:1211.1230]

See A.C. dos Reis talk



**No mixing hypothesis is excluded at  $9\sigma$**

1<sup>st</sup> observation of charm mixing from a single expt

Fit type	Parameter	Fit result	Correlation coefficient		
		$(10^{-3})$	$R_D$	$y'$	$x'^2$
Mixing	$R_D$	$3.52 \pm 0.15$	1	-0.954	0.882
(9.5/10)	$y'$	$7.2 \pm 2.4$		1	-0.973
	$x'^2$	$-0.09 \pm 0.13$			1



# D → K<sub>S</sub><sup>0</sup> π<sup>+</sup> π<sup>-</sup> time-dependent Dalitz analysis

- For D<sup>0</sup> 3 body self-conjugated decays, Dalitz analysis can be performed:  
e.g. in D<sup>0</sup> → K<sub>S</sub><sup>0</sup> π<sup>+</sup> π<sup>-</sup>, decay amplitude A(m<sub>-</sub><sup>2</sup>, m<sub>+</sub><sup>2</sup>)  
where m<sub>-</sub><sup>2</sup> ≡ m<sub>K<sub>S</sub><sup>0</sup>π<sup>-</sup></sub>, m<sub>+</sub><sup>2</sup> ≡ m<sub>K<sub>S</sub><sup>0</sup>π<sup>+</sup></sub>
- In CP conservation assumption, A =  $\bar{A}$  and q/p = 1
- Time-dependent decay amplitude for a D<sup>0</sup> or a  $\bar{D}^0$  tagged at t = 0:

$$|M(m_-^2, m_+^2, t)|^2 = (|A_1|^2 e^{-\mathbf{y}t} + |A_2|^2 e^{-\mathbf{y}t} + 2 \Re[A_1 A_2^*] \cos(\mathbf{x}t) + 2 \Im[A_1 A_2^*] \sin(\mathbf{x}t)) e^{-t}$$

$$|\bar{M}(m_-^2, m_+^2, t)|^2 = (|\bar{A}_1|^2 e^{-\mathbf{y}t} + |\bar{A}_2|^2 e^{-\mathbf{y}t} + 2 \Re[\bar{A}_1 \bar{A}_2^*] \cos(\mathbf{x}t) + 2 \Im[\bar{A}_1 \bar{A}_2^*] \sin(\mathbf{x}t)) e^{-t}$$

t in unit of D<sup>0</sup> lifetime,  $\mathbf{y}$  modifies the lifetime of certain contributions to the DP,  
 $\mathbf{x}$  introduces a sinusoidal rate variation

**Simultaneous determination of  $\mathbf{x}$  and  $\mathbf{y}$**