



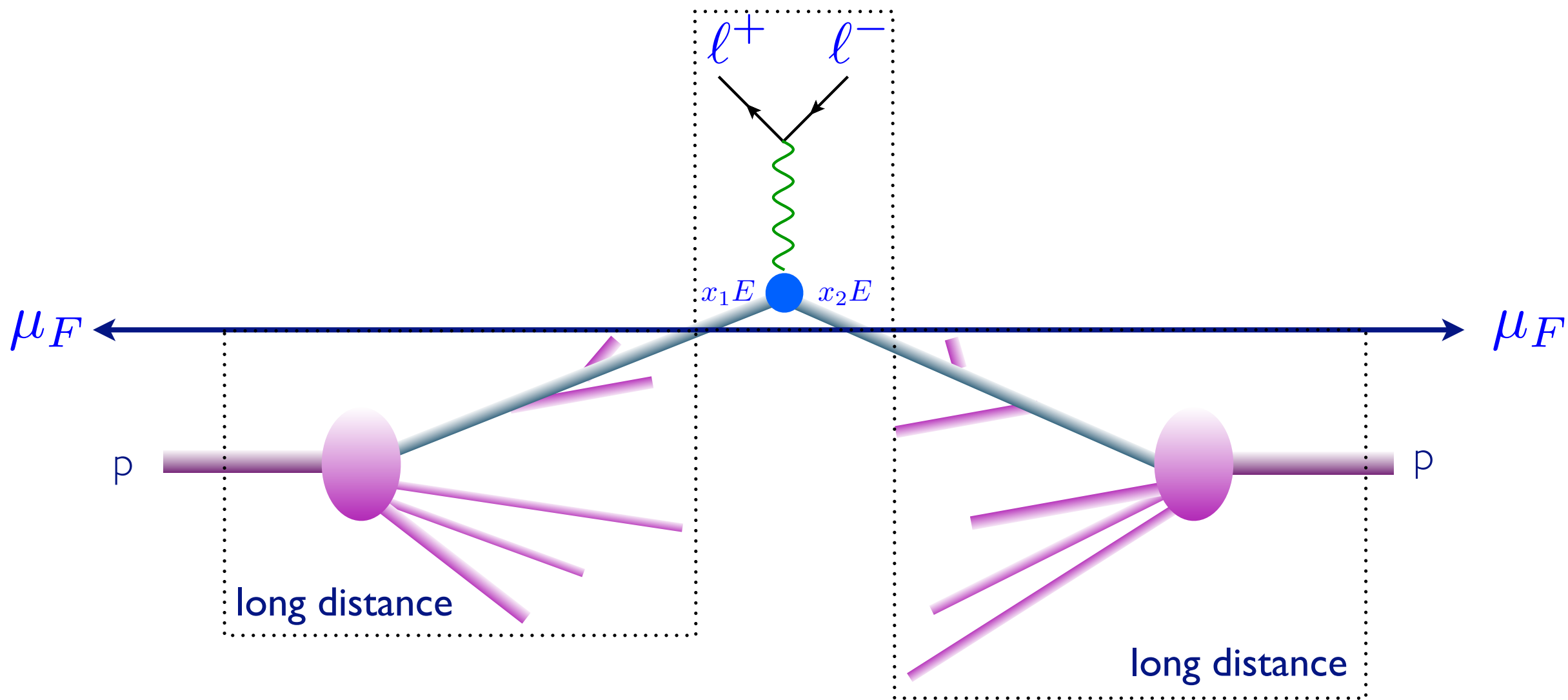
# PREDICTIVE MONTE CARLO TOOLS FOR COLLIDERS

**FABIO MALTONI**

CENTRE FOR COSMOLOGY, PARTICLE PHYSICS AND PHENOMENOLOGY (CP3), BELGIUM

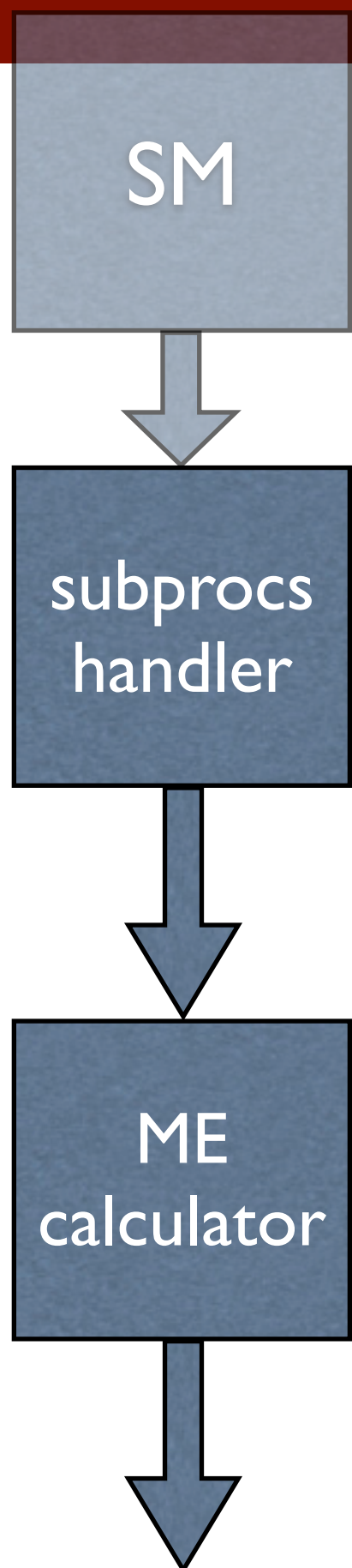
LECTURE II

# MASTER FORMULA FOR THE LHC



$$\sum_{a,b} \int dx_1 dx_2 d\Phi_{\text{FS}} f_a(x_1, \mu_F) f_b(x_2, \mu_F) \hat{\sigma}_{ab \rightarrow X}(\hat{S}, \mu_F, \mu_R)$$

Phase-space integral
Parton density functions
Parton-level cross section

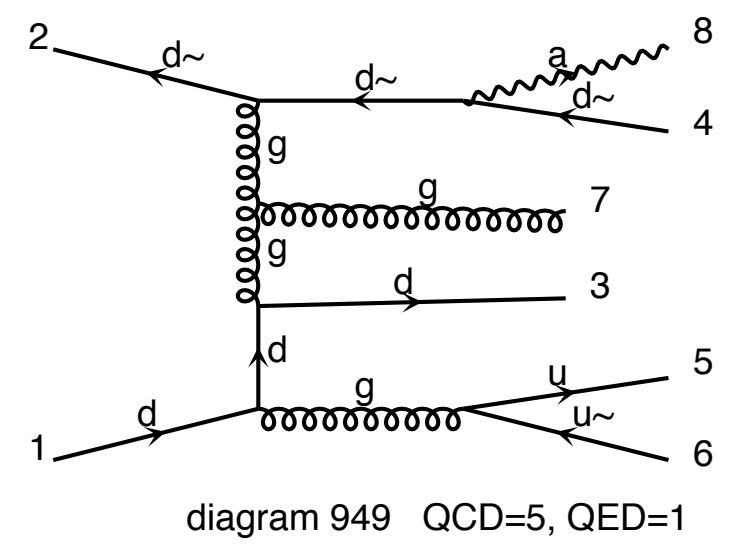


# GENERAL STRUCTURE

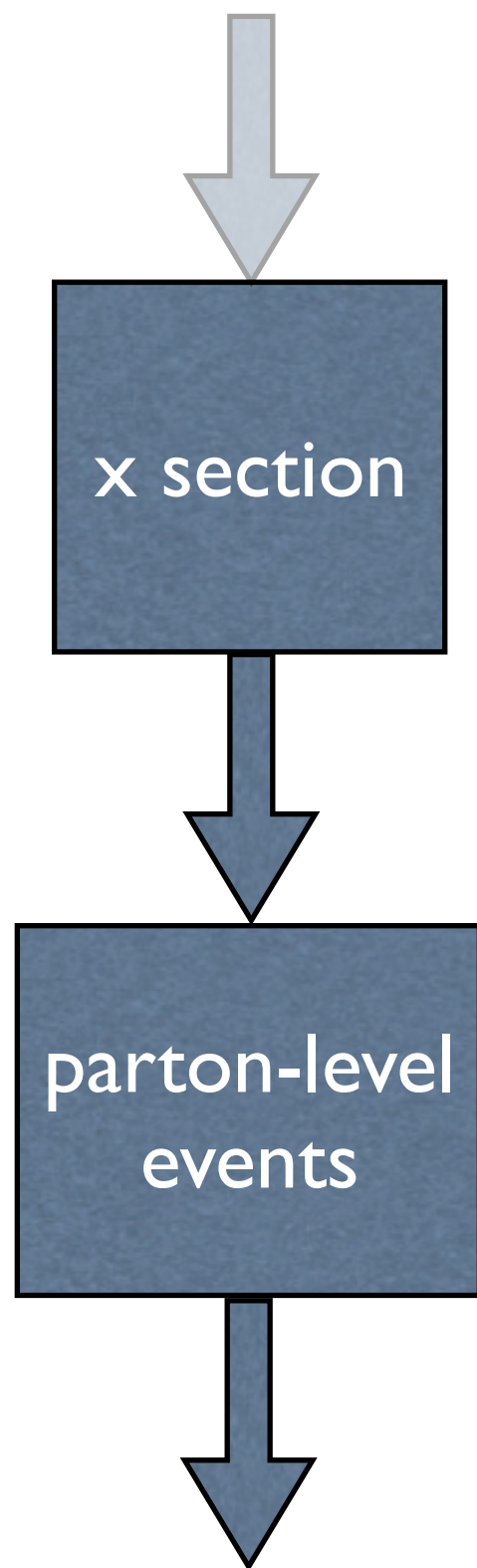
Includes all possible subprocess leading to a given multi-jet final state automatically or manually (done once for all)

“Automatically” generates a code to calculate  $|M|^2$  for arbitrary processes with many partons in the final state. Use Feynman diagrams with tricks to reduce the factorial growth, others have recursive relations to reduce the complexity to exponential. ☺

- $d \sim d \rightarrow a d d \sim u u \sim g$
- $d \sim d \rightarrow a d d \sim c c \sim g$
- $s \sim s \rightarrow a d d \sim u u \sim g$
- $s \sim s \rightarrow a d d \sim c c \sim g$
- ...

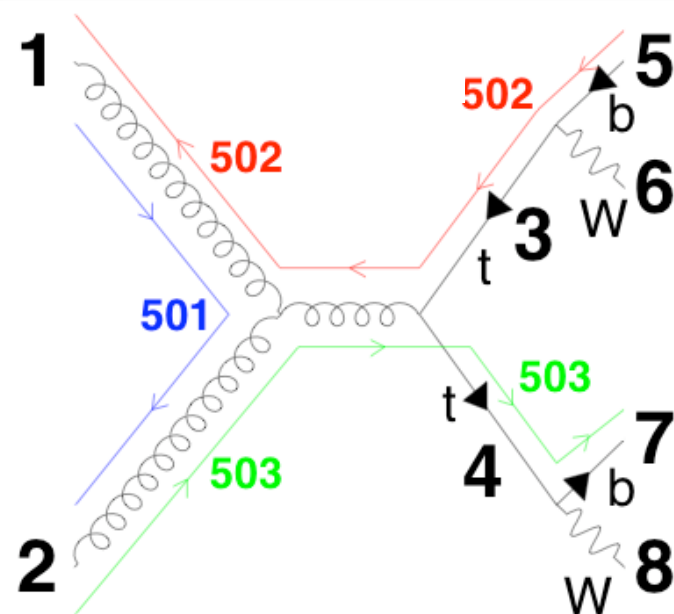
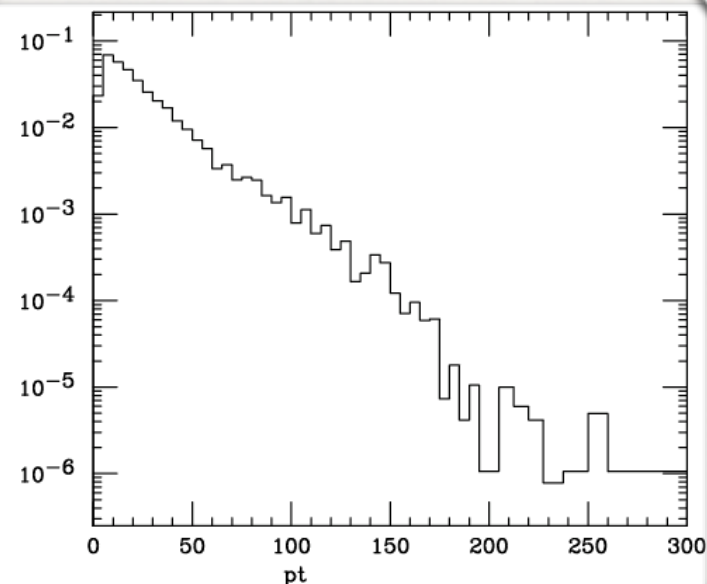


# GENERAL STRUCTURE



Integrate the matrix element over the phase space using a multi-channel technique and using parton-level cuts.

Events are obtained by unweighting. These are at the parton-level. Information on particle id, momenta, spin, color is given in the Les Houches format.



# CODES

- Example of tree-level Monte Carlo codes:
  - **AlpGen**: fast matrix elements due to use of recursion relations. SM only.
  - **Comix (Sherpa)**: fast matrix elements due to use of recursion relations. Some BSM models implemented (however, e.g. no Majorana particles).
  - **MadGraph**: Feynman diagrams to generate matrix elements which results in high unweighting efficiency. Virtually all BSM models are (or can be) implemented.
- and more: CalcHEP/CompHEP, Whizard, HELAC,...

# FEYNRULES

- FeynRules comes with a set of interfaces, that allow to export the Feynman rules to various matrix element generators.
- Interfaces coming with current public version
  - ➔ CalcHep / CompHep
  - ➔ FeynArts / FormCalc
  - ➔ MadGraph
  - ➔ Sherpa
  - ➔ Whizard / Omega
  - ➔ Universal FeynRules Output



# FEYNRULES

- The input requested from the user is twofold.
- The Model File:  
Definitions of particles and parameters (e.g., a quark)
- The Lagrangian:

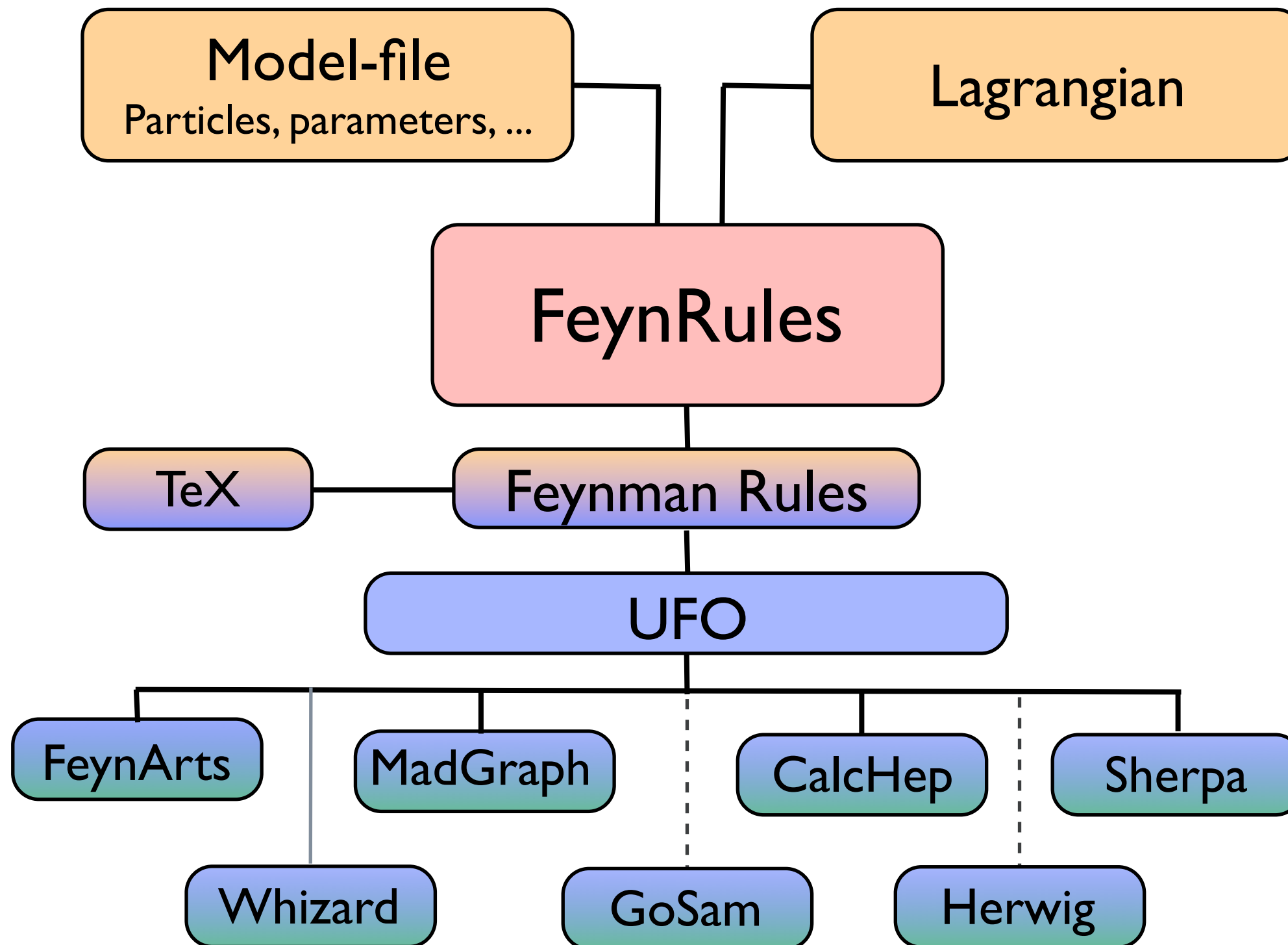
```
F[1] ==
{ClassName      -> q,
 SelfConjugate -> False,
 Indices        -> {Index[Colour]},
 Mass           -> {MQ, 200},
 Width         -> {WQ, 5} }
```

$$\mathcal{L} = -\frac{1}{4} G_{\mu\nu}^a G_a^{\mu\nu} + i\bar{q} \gamma^\mu D_\mu q - M_q \bar{q} q$$

```
L =
-1/4 FS[G,mu,nu,a] FS[G,mu,nu,a]
+ I qbar.Ga[mu].del[q,mu]
- MQ qbar.q
```



# FEYNRULES

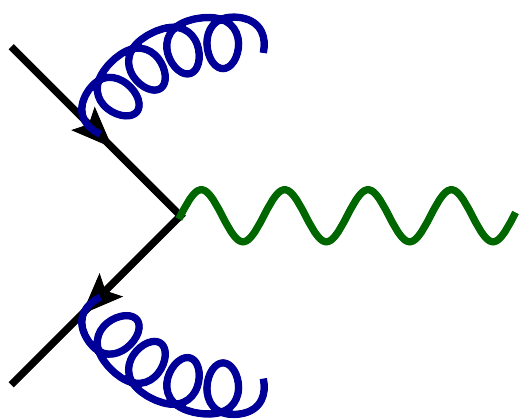




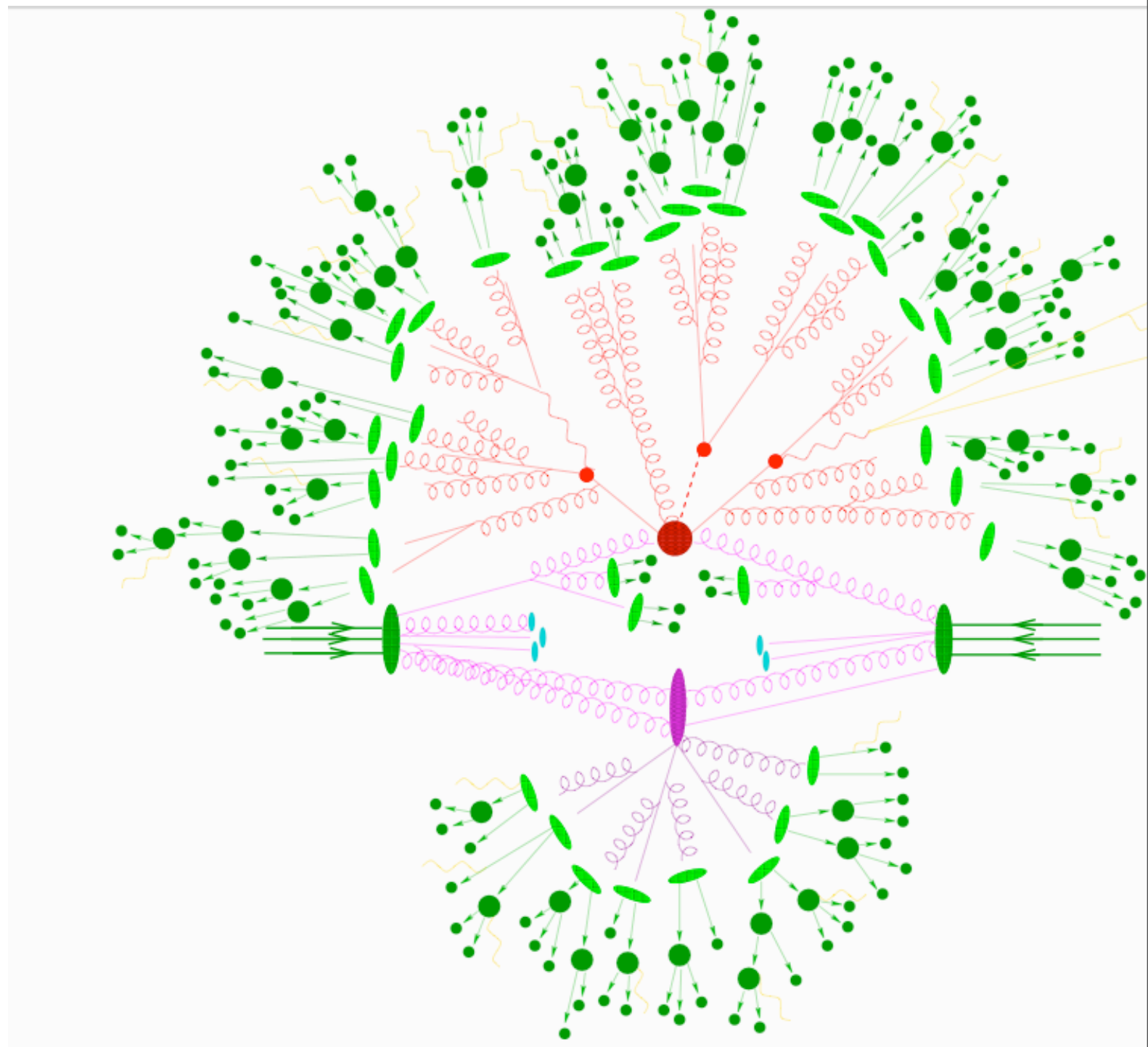
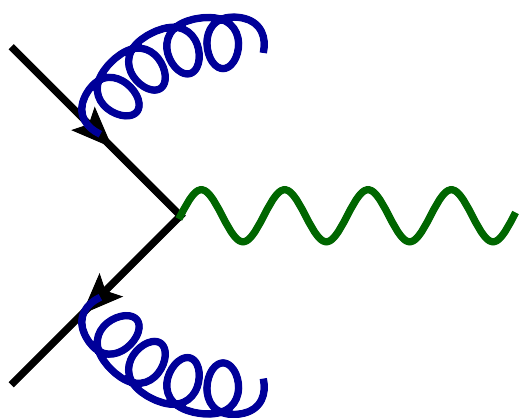


# LIMITS OF FIXED-ORDER PREDICTIONS

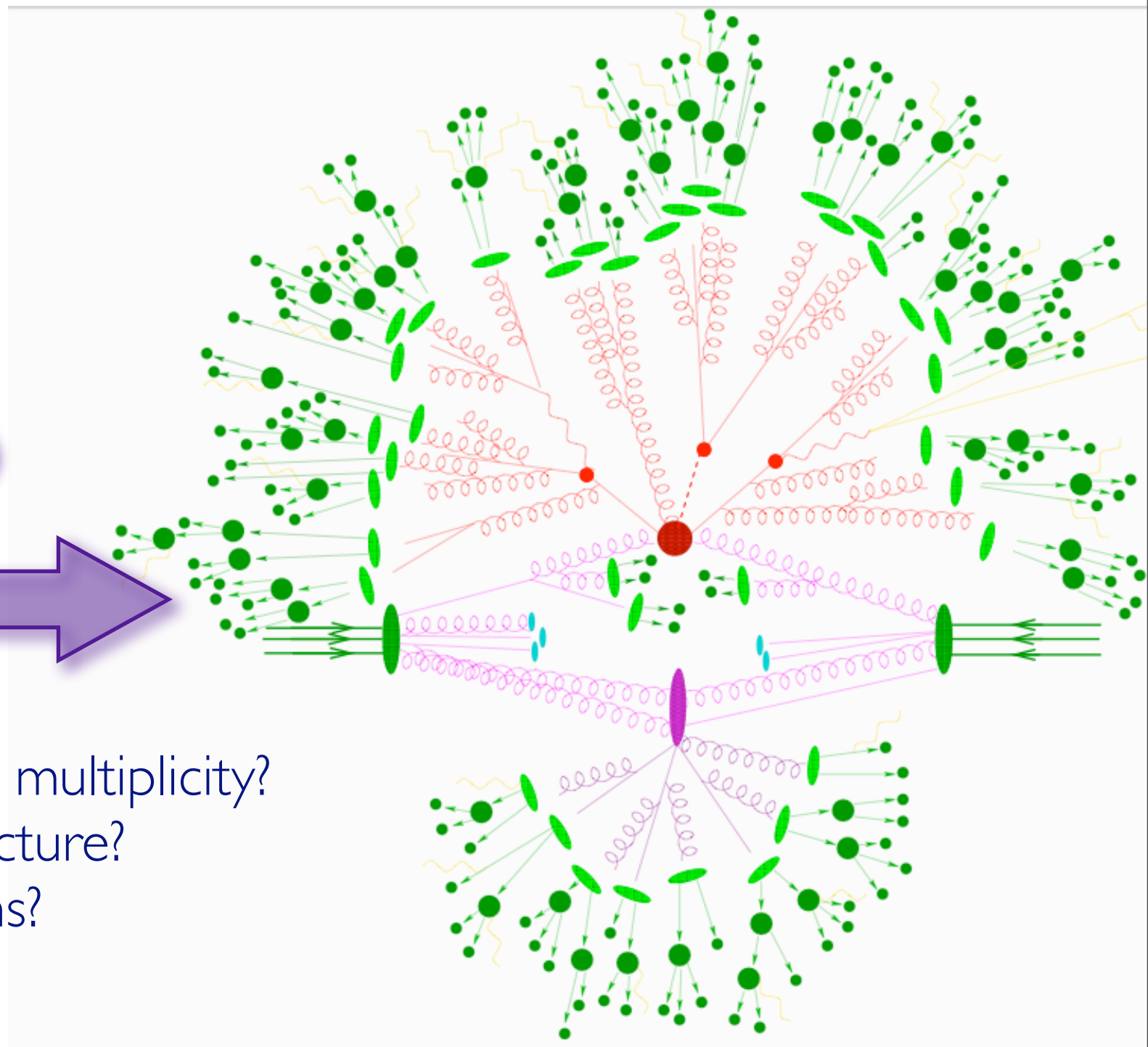
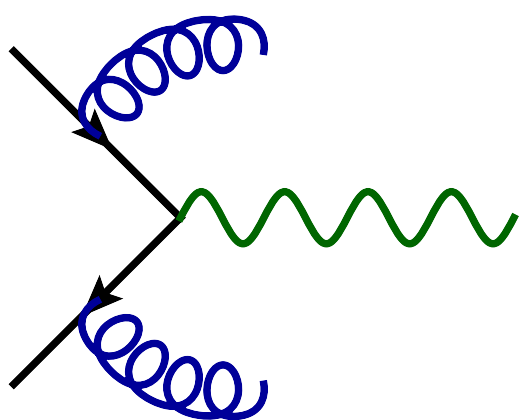
# LIMITS OF FIXED-ORDER PREDICTIONS



# LIMITS OF FIXED-ORDER PREDICTIONS

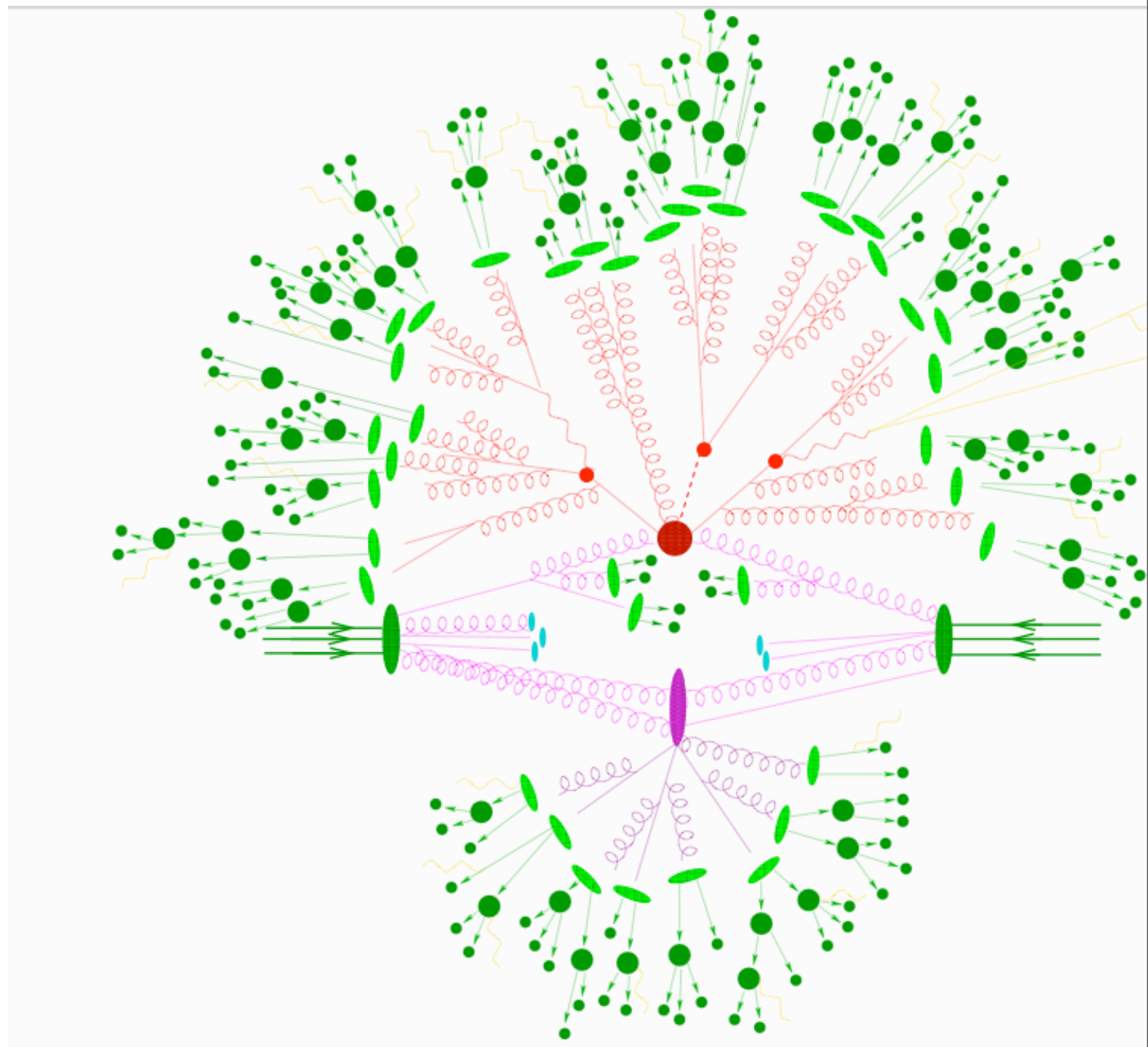
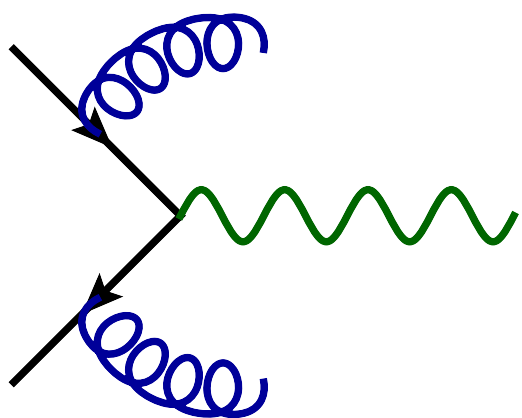


# LIMITS OF FIXED-ORDER PREDICTIONS

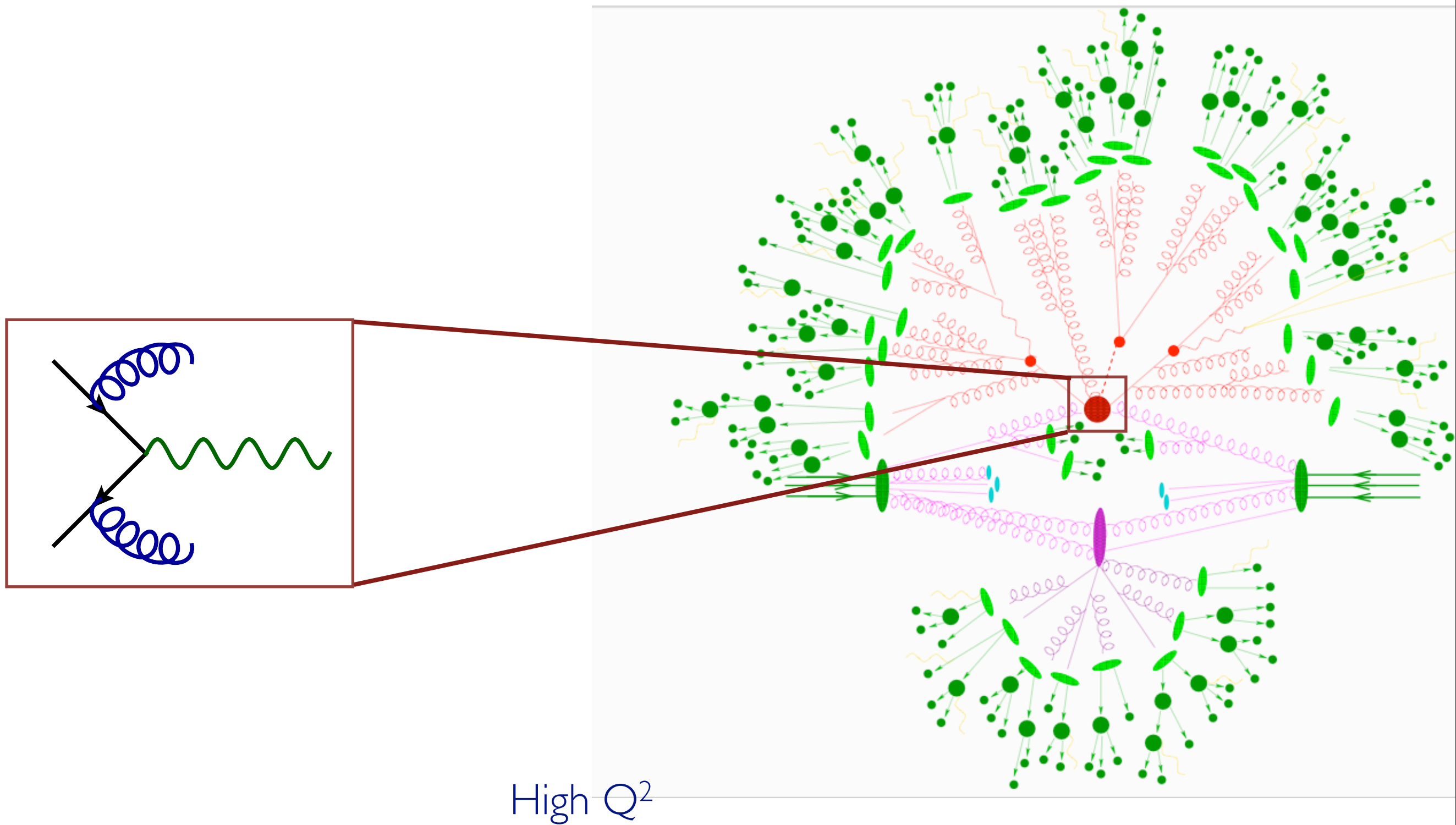


- Particle multiplicity?
- Jet structure?
- Hadrons?

# LIMITS OF FIXED-ORDER PREDICTIONS



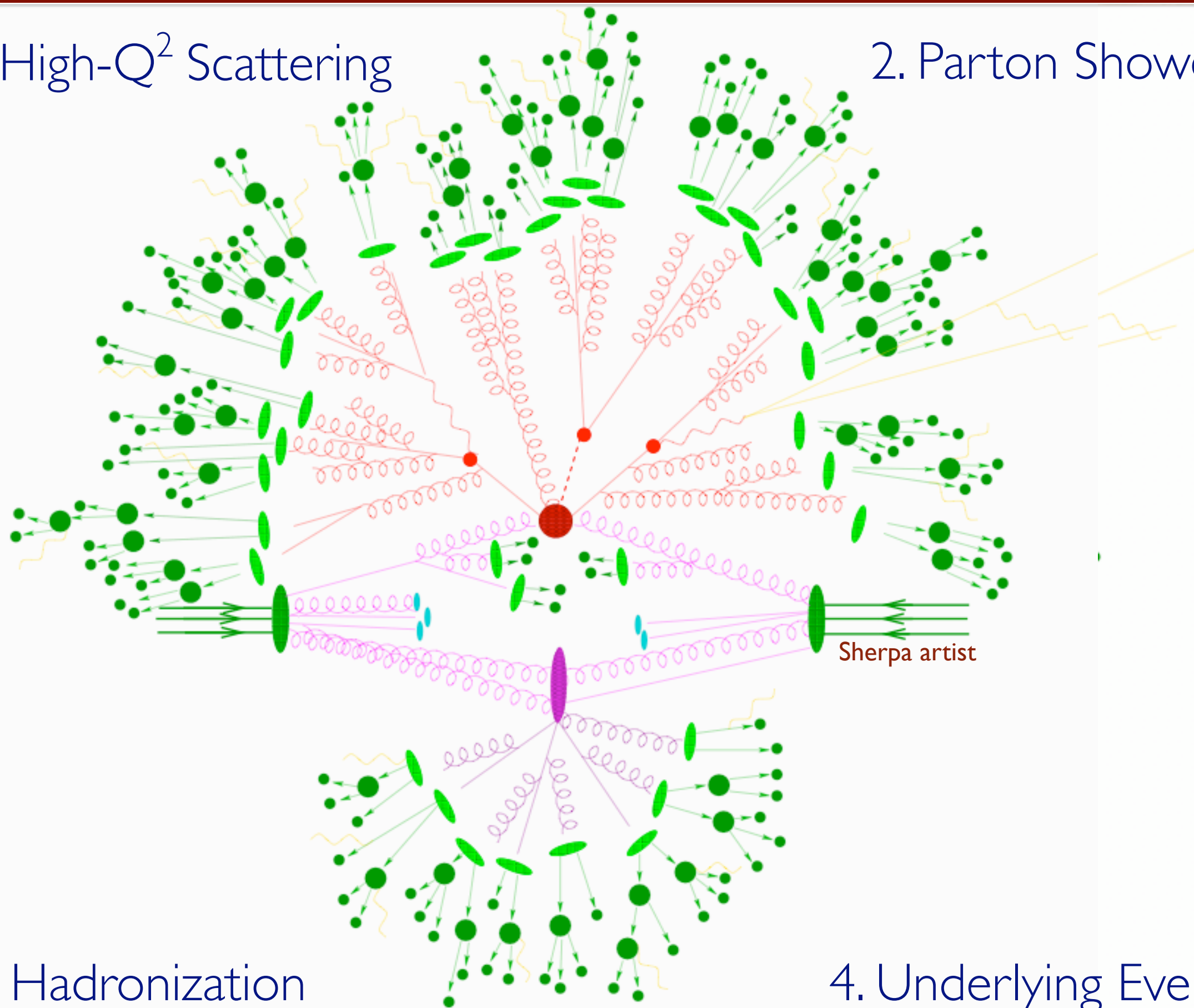
# LIMITS OF FIXED-ORDER PREDICTIONS





1. High- $Q^2$  Scattering

2. Parton Shower

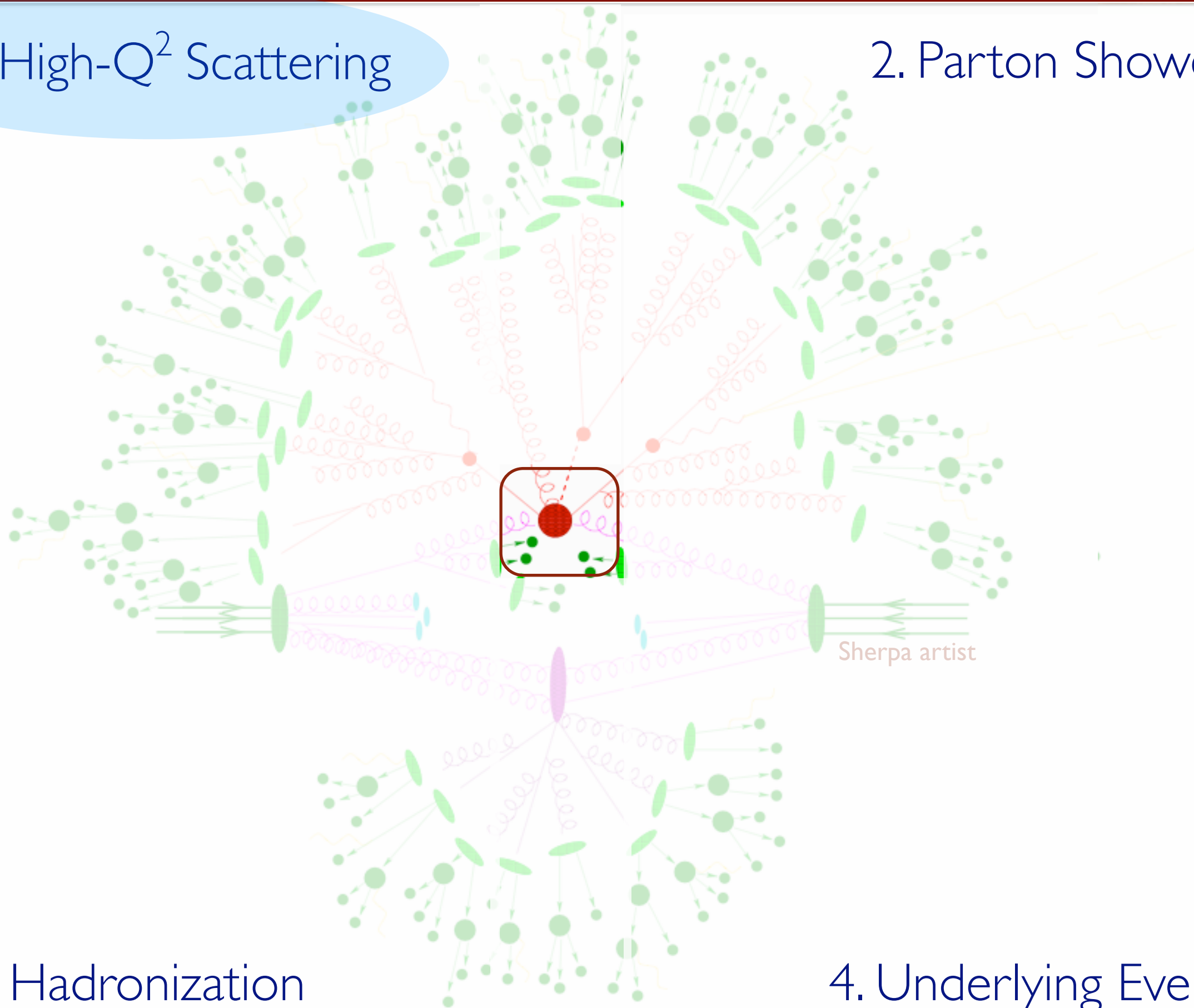


3. Hadronization

4. Underlying Event

# 1. High- $Q^2$ Scattering

# 2. Parton Shower

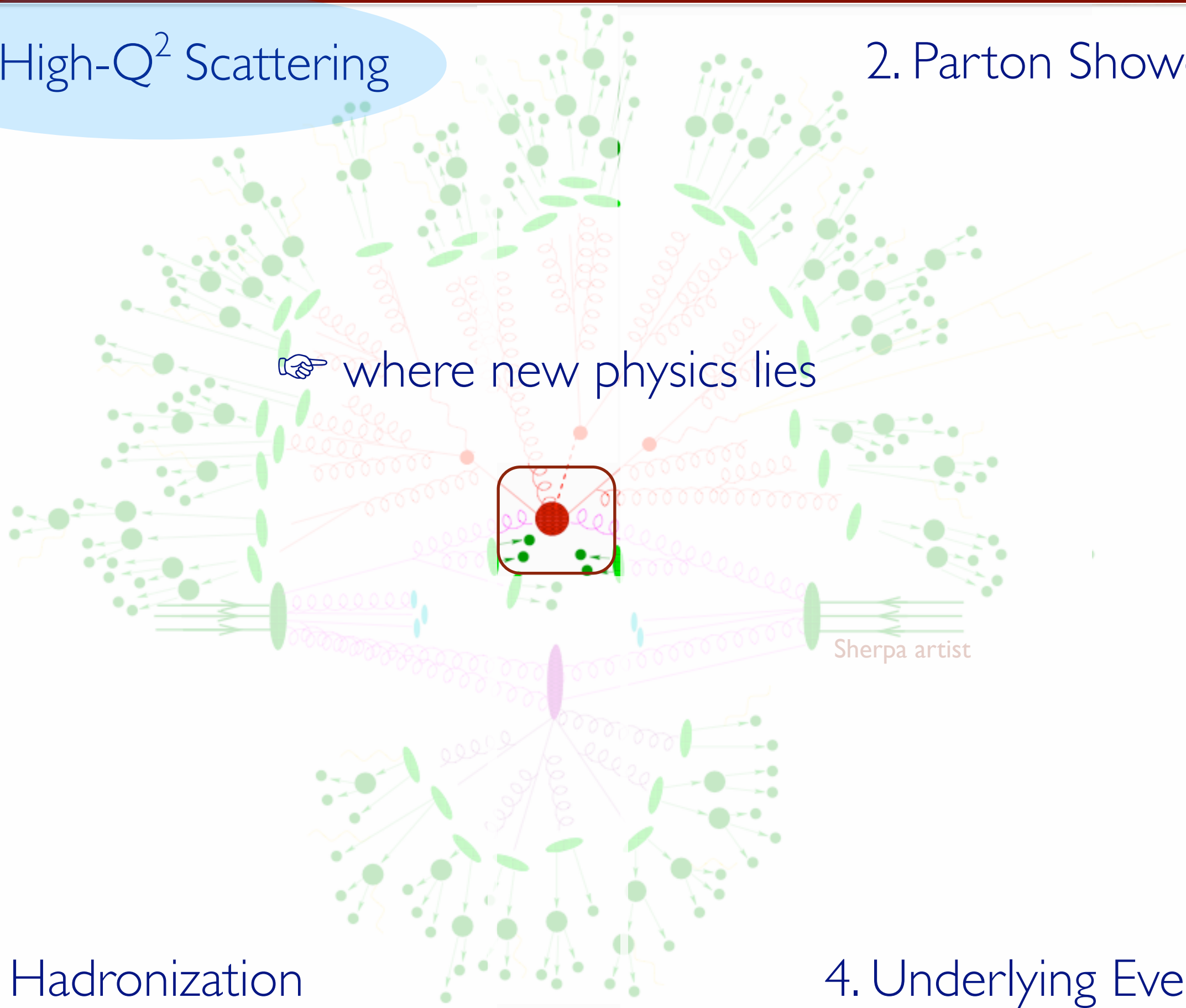


# 3. Hadronization

# 4. Underlying Event

# 1. High- $Q^2$ Scattering

# 2. Parton Shower



where new physics lies

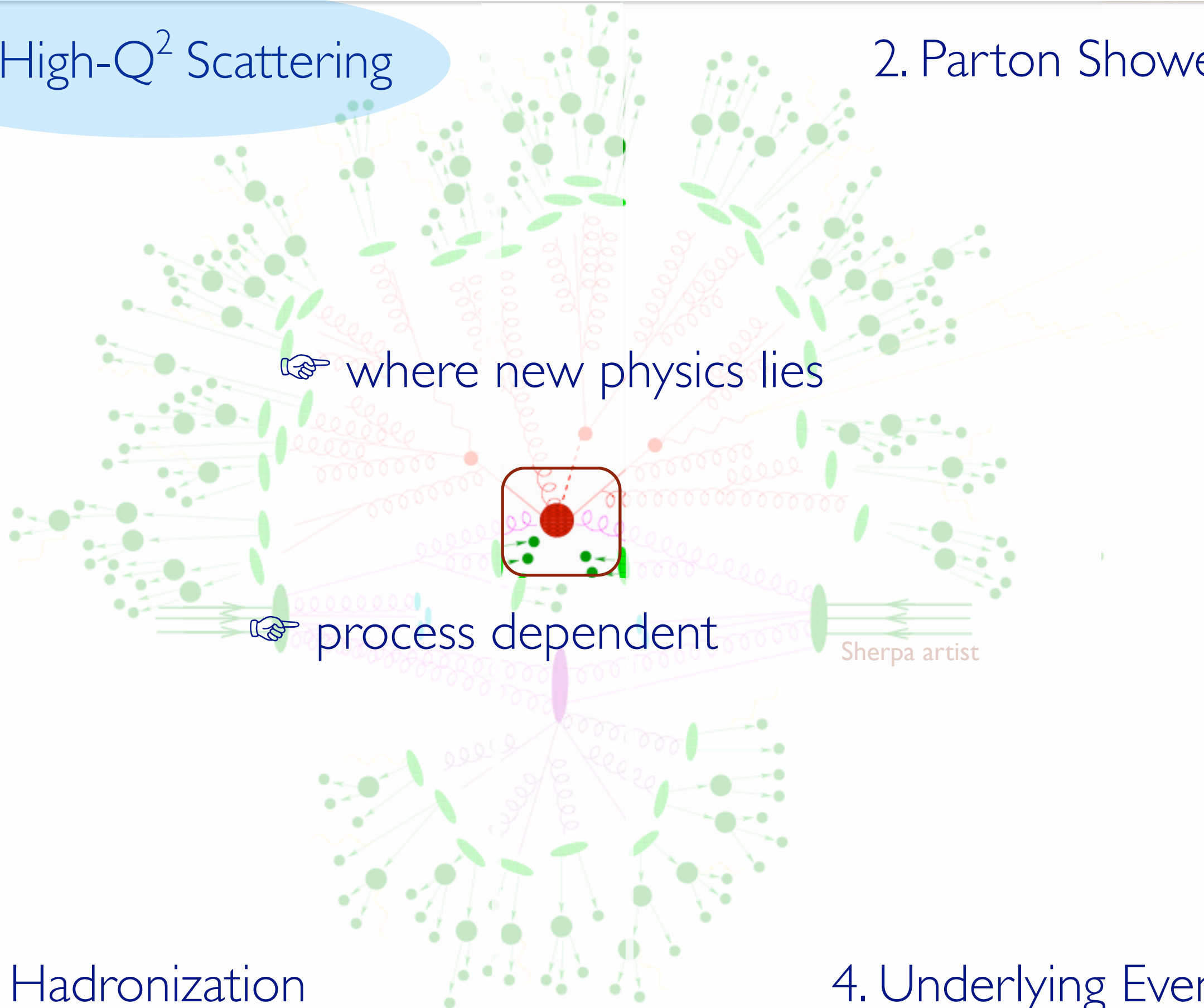
Sherpa artist

# 3. Hadronization

# 4. Underlying Event

# 1. High- $Q^2$ Scattering

# 2. Parton Shower



where new physics lies

process dependent

Sherpa artist

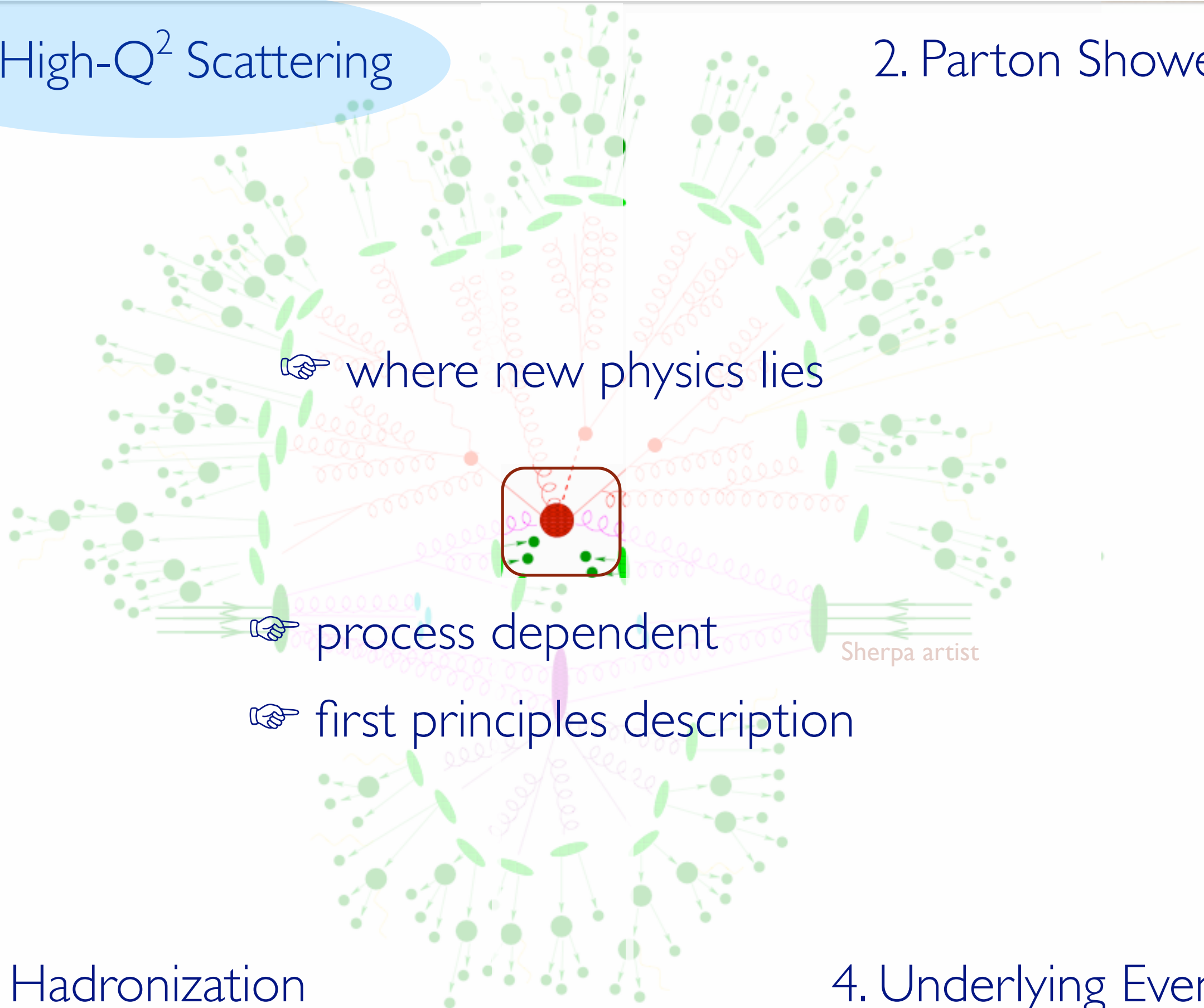
# 3. Hadronization

# 4. Underlying Event



# 1. High- $Q^2$ Scattering

# 2. Parton Shower



where new physics lies

process dependent

first principles description

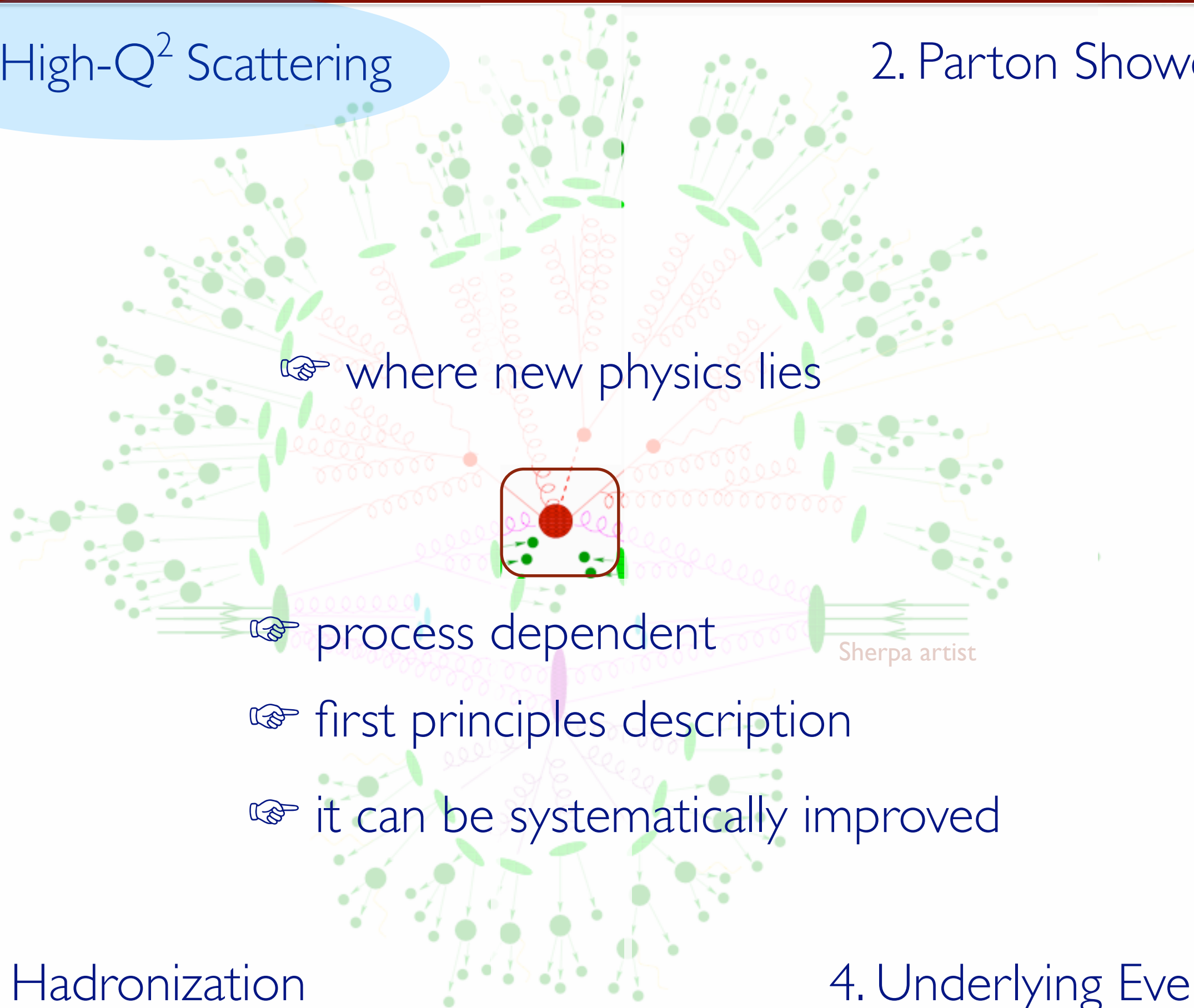
Sherpa artist

# 3. Hadronization

# 4. Underlying Event

# 1. High- $Q^2$ Scattering

# 2. Parton Shower

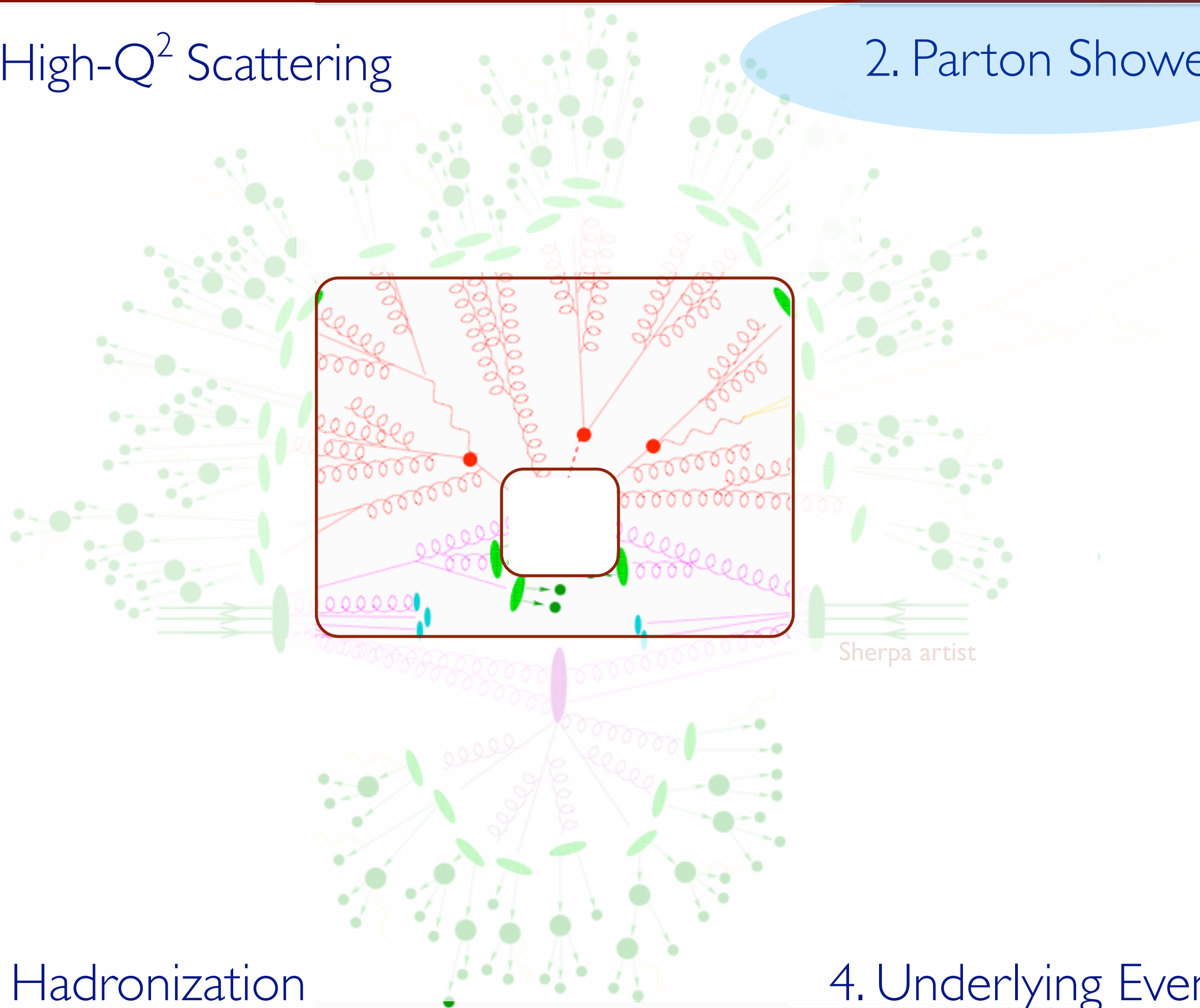


# 3. Hadronization

# 4. Underlying Event

# 1. High- $Q^2$ Scattering

# 2. Parton Shower



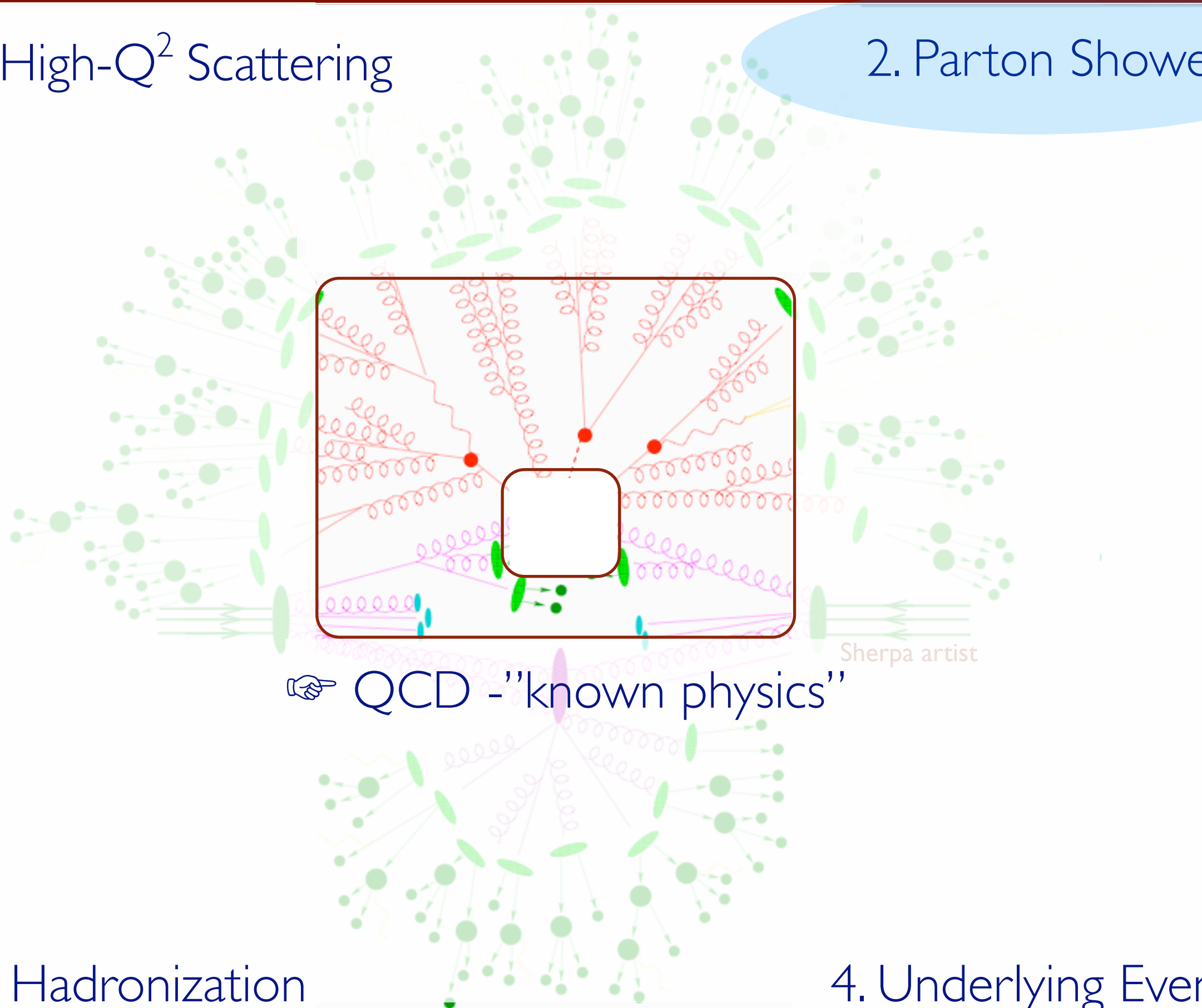
# 3. Hadronization

# 4. Underlying Event



# 1. High- $Q^2$ Scattering

# 2. Parton Shower



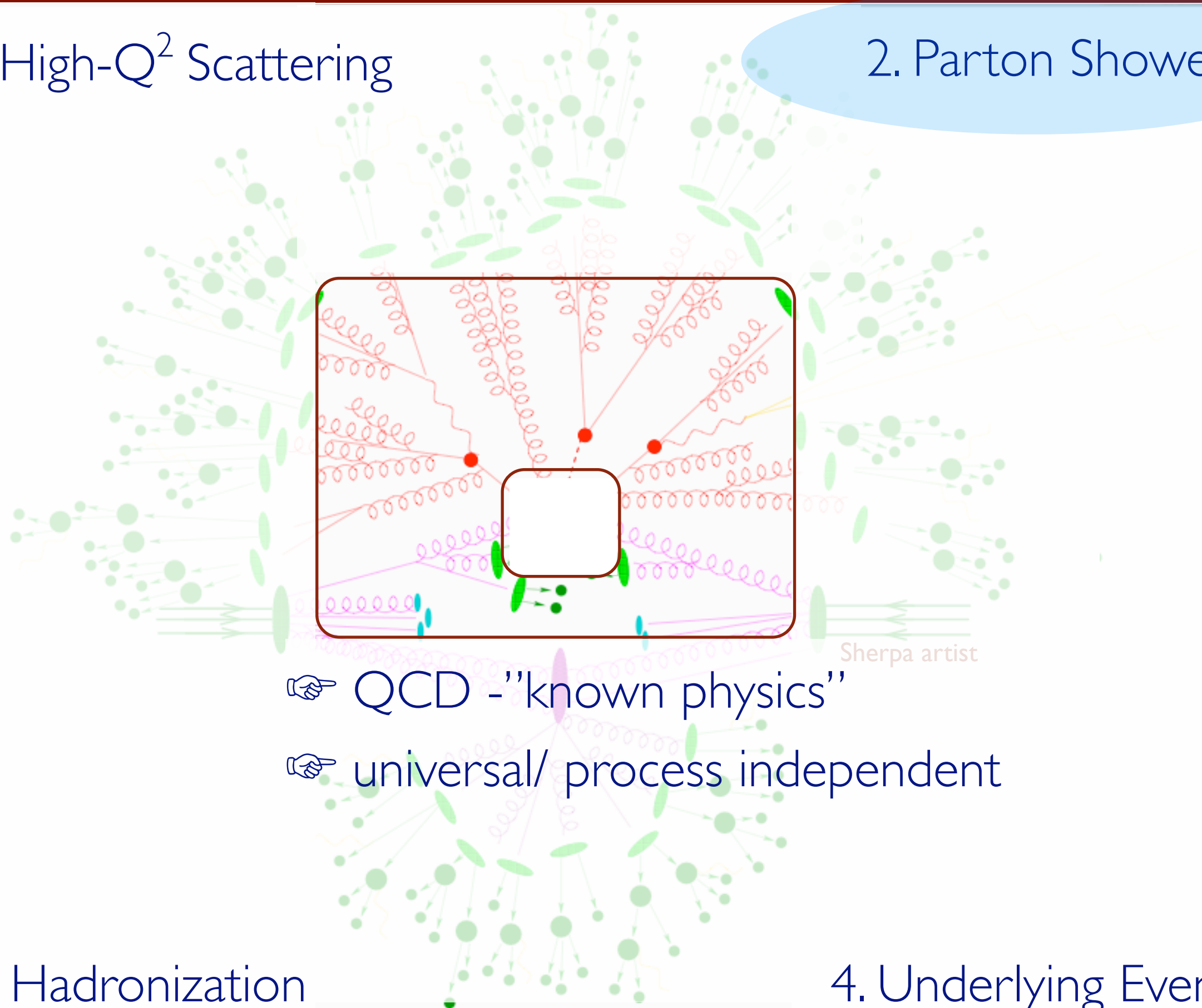
☞ QCD - "known physics"

# 3. Hadronization

# 4. Underlying Event

# 1. High- $Q^2$ Scattering

# 2. Parton Shower



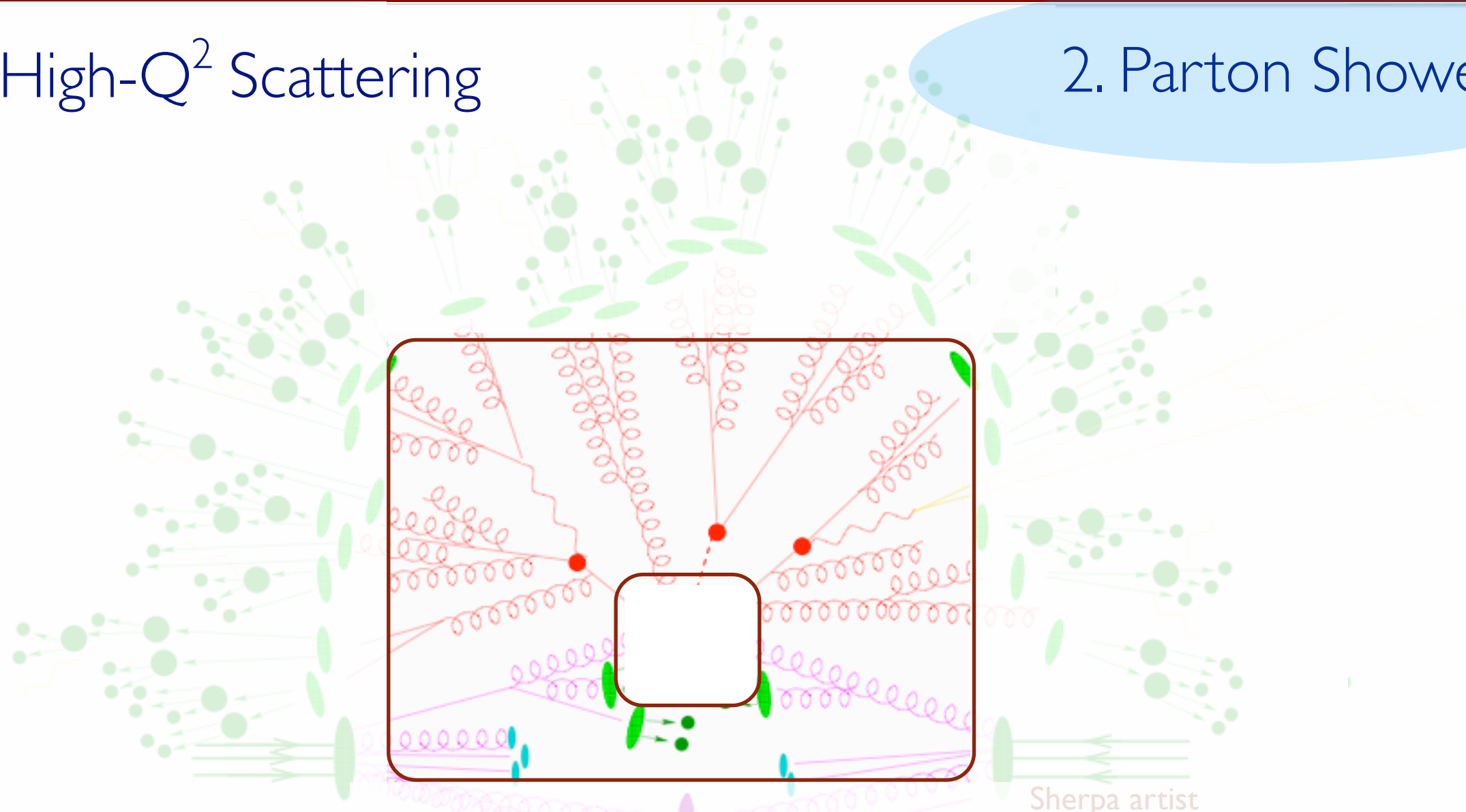
- ☞ QCD - "known physics"
- ☞ universal/ process independent

# 3. Hadronization

# 4. Underlying Event

# 1. High- $Q^2$ Scattering

# 2. Parton Shower



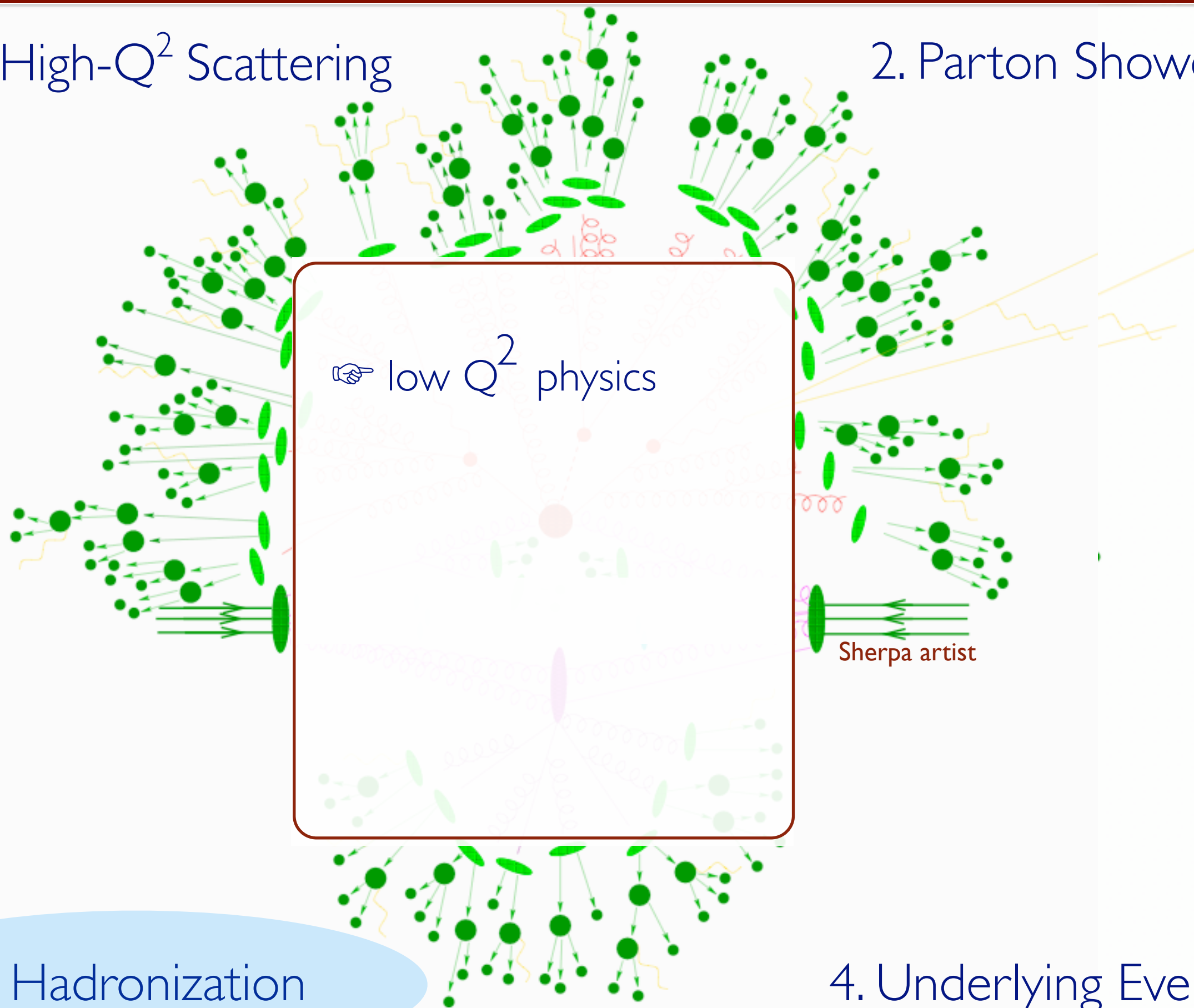
- ☞ QCD - "known physics"
- ☞ universal/ process independent
- ☞ first principles description

# 3. Hadronization

# 4. Underlying Event

# 1. High- $Q^2$ Scattering

# 2. Parton Shower



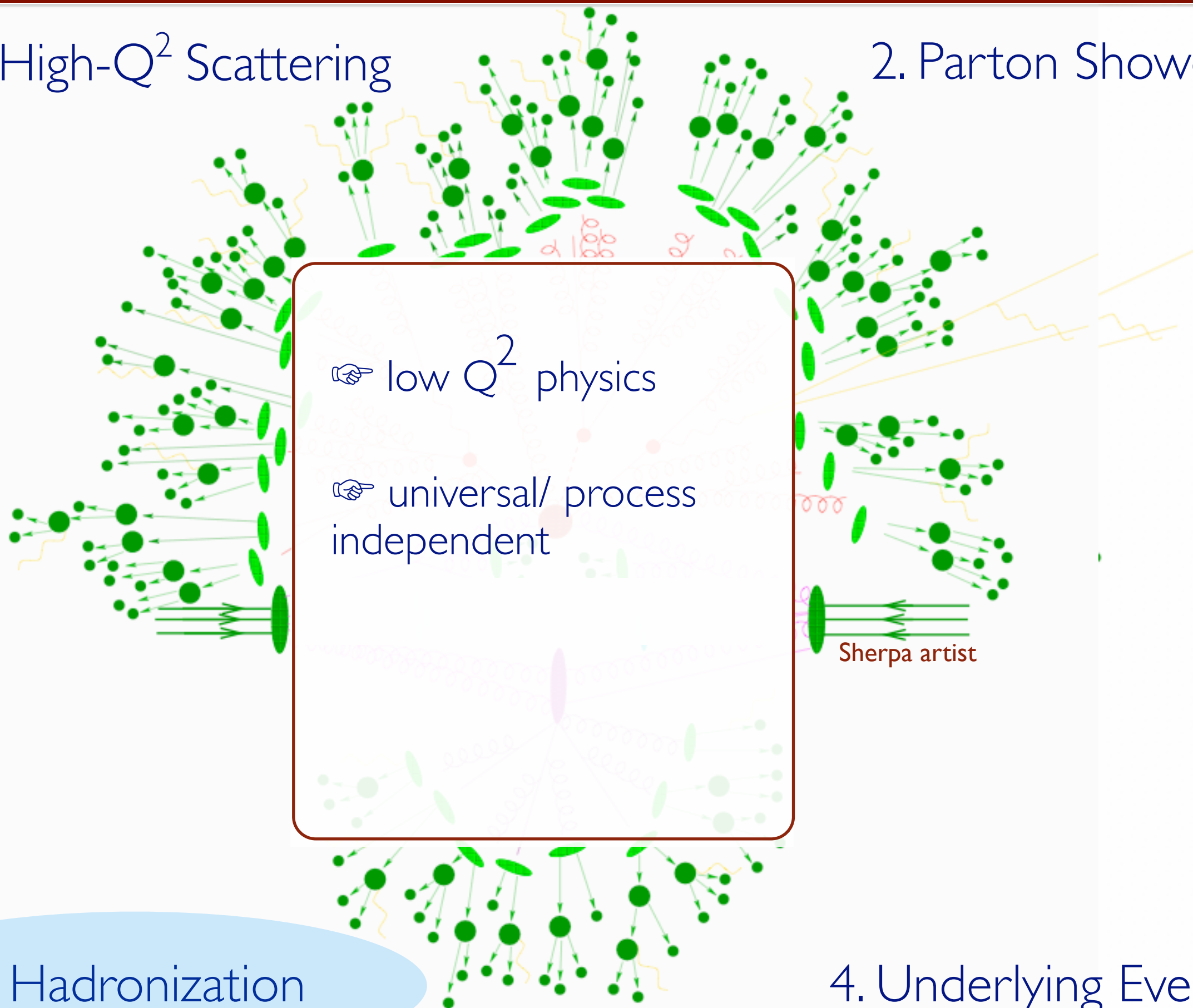
# 3. Hadronization

# 4. Underlying Event



# 1. High- $Q^2$ Scattering

# 2. Parton Shower



👉 low  $Q^2$  physics

👉 universal/ process independent

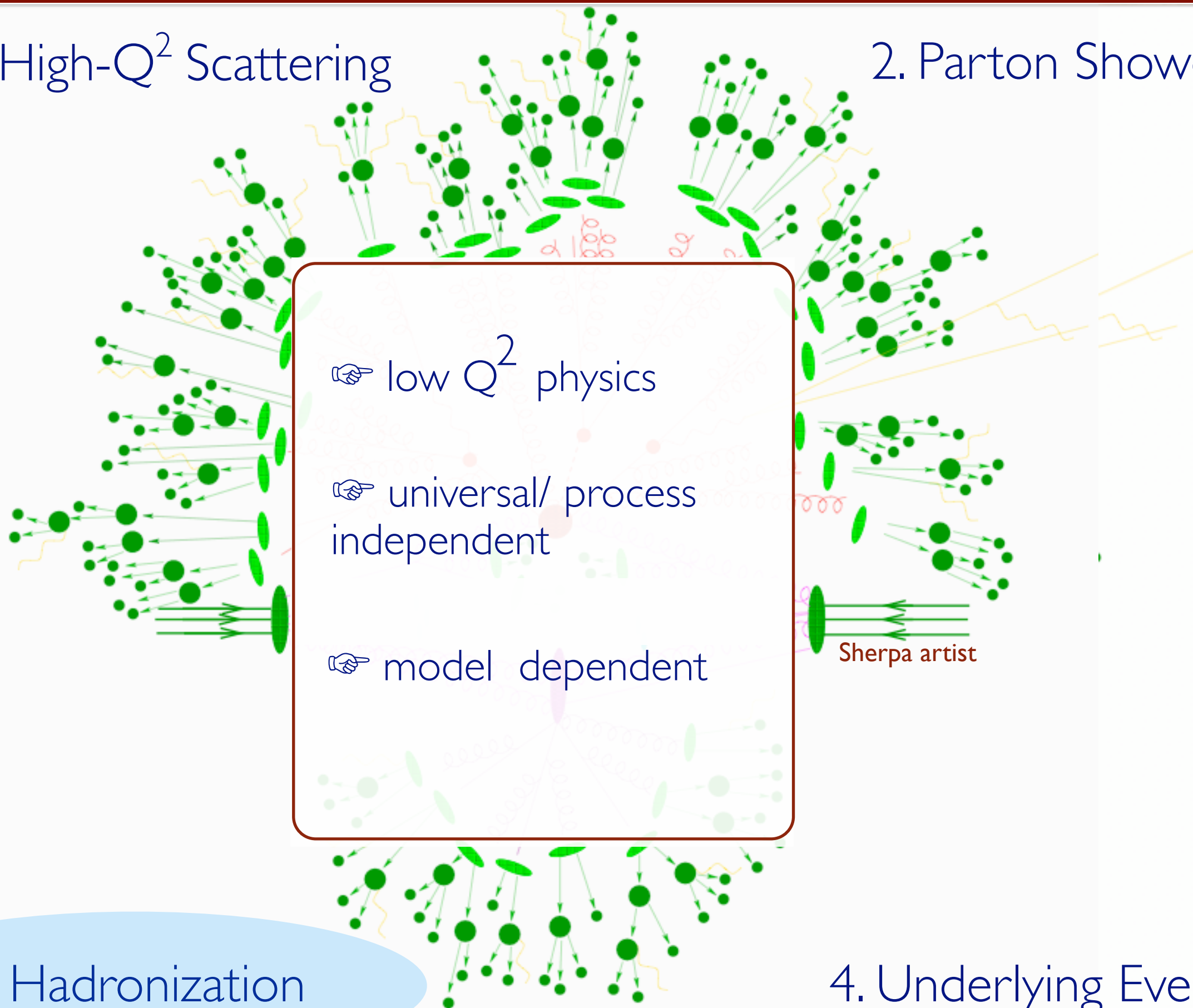
Sherpa artist

# 3. Hadronization

# 4. Underlying Event

# 1. High- $Q^2$ Scattering

# 2. Parton Shower

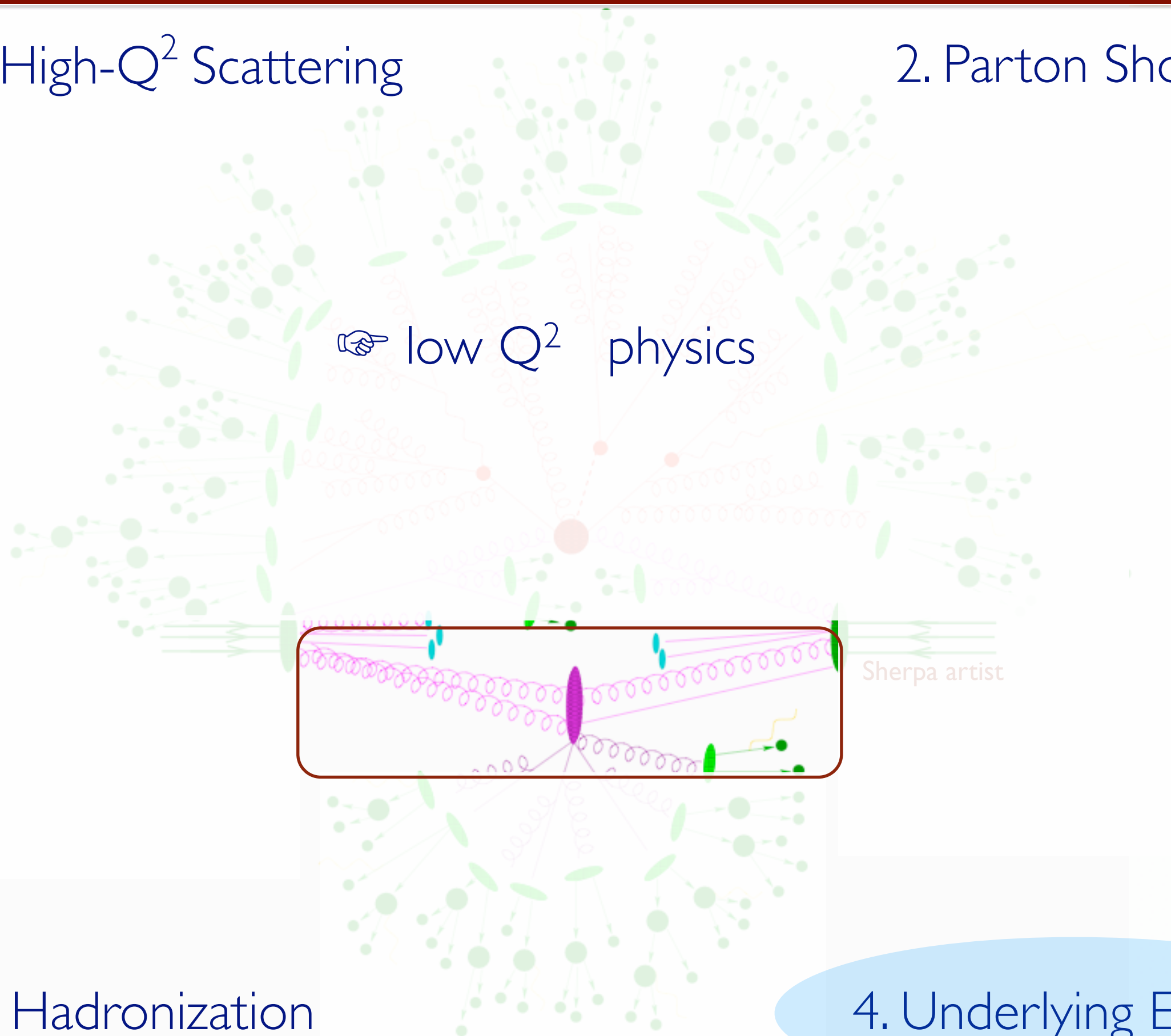


# 3. Hadronization

# 4. Underlying Event

# 1. High- $Q^2$ Scattering

# 2. Parton Shower



# 3. Hadronization

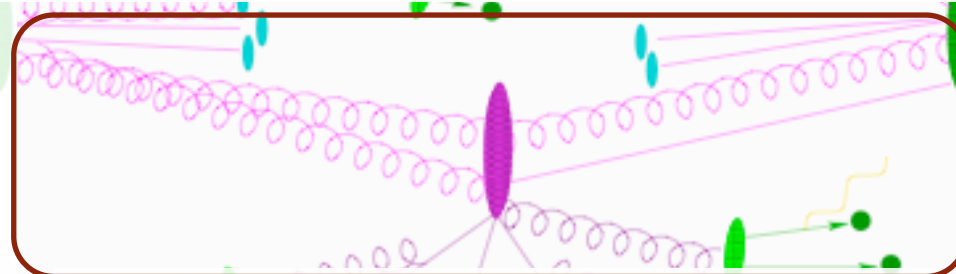
# 4. Underlying Event



# 1. High- $Q^2$ Scattering

# 2. Parton Shower

- 👉 low  $Q^2$  physics
- 👉 energy and process dependent



Sherpa artist

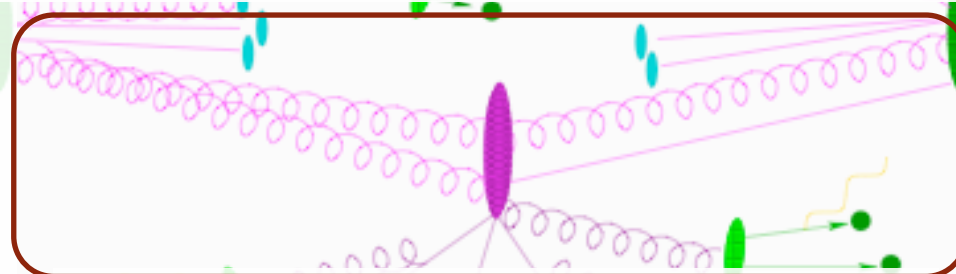
# 3. Hadronization

# 4. Underlying Event

# 1. High- $Q^2$ Scattering

# 2. Parton Shower

- 👉 low  $Q^2$  physics
- 👉 energy and process dependent
- 👉 model dependent



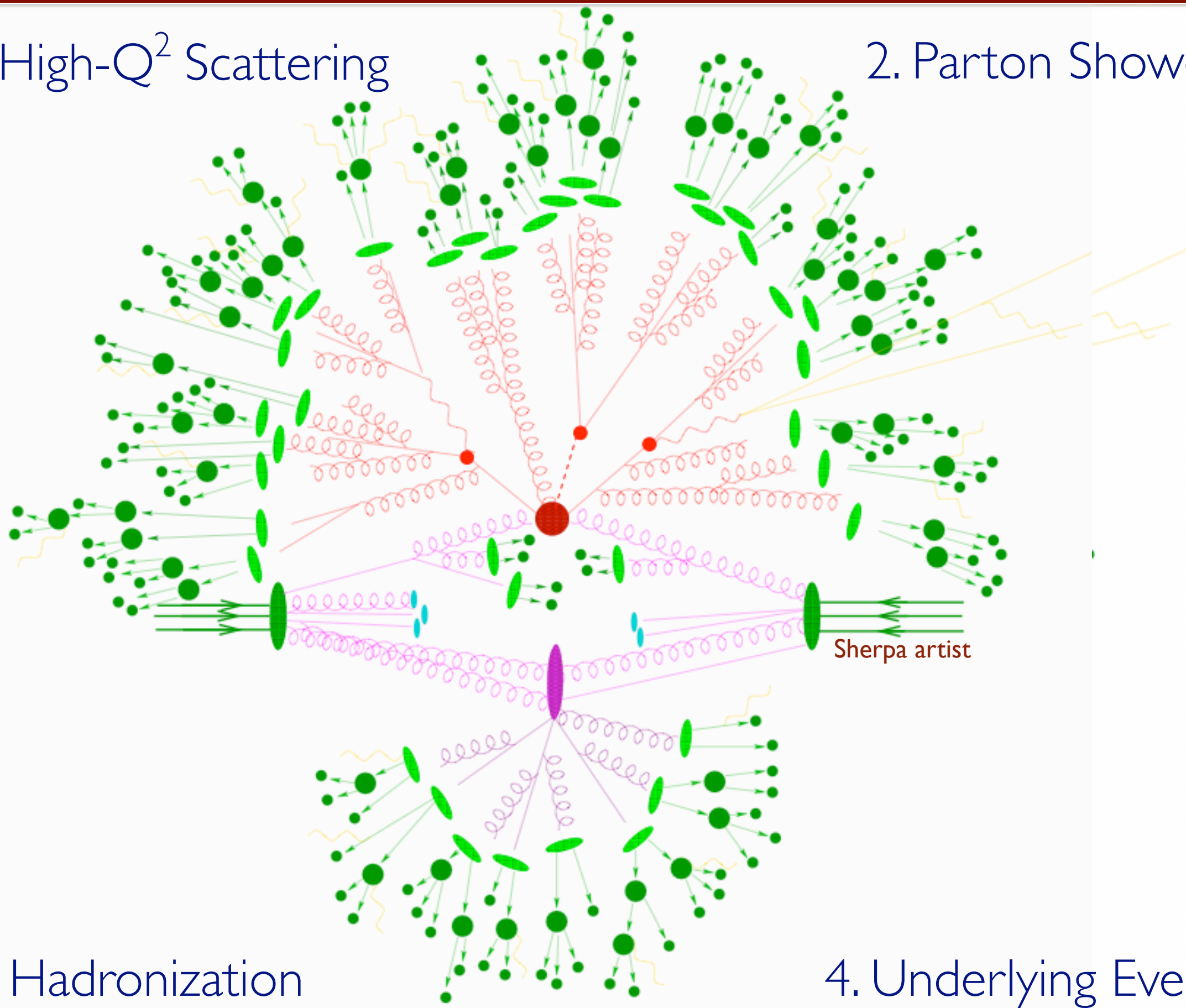
Sherpa artist

# 3. Hadronization

# 4. Underlying Event

# 1. High- $Q^2$ Scattering

# 2. Parton Shower



# 3. Hadronization

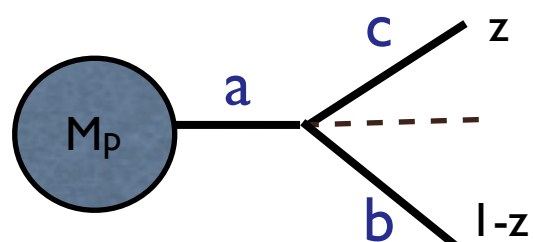
# 4. Underlying Event

# PARTON SHOWER

- We need to be able to describe an arbitrarily number of parton branchings, i.e. we need to ‘dress’ partons with radiation
- This effect should be unitary: the inclusive cross section shouldn’t change when extra radiation is added
- Remember that parton-level cross sections for a hard process are inclusive in anything else.  
E.g. for LO Drell-Yan production **all** radiation is included via PDFs (apart from non-perturbative power corrections)
- And finally we want to turn partons into hadrons (hadronization)....

# PARTON SHOWERS

ME involving  $q \rightarrow q g$  ( or  $g \rightarrow gg$ ) are strongly enhanced when they are close in the phase space:



$$\frac{1}{(p_q + p_g)^2} \simeq \frac{1}{2E_q E_g (1 - \cos \theta)}$$

$$z = E_b/E_a, t = k_a^2$$

$$\theta = \theta_b + \theta_c$$

$$= \frac{\theta_b}{1-z} = \frac{\theta_c}{z}$$

$$= \frac{1}{E_a} \sqrt{\frac{t}{z(1-z)}}$$

$$d\sigma_{N+1} = d\sigma_N \frac{dt}{t} \frac{d\phi}{2\pi} dz \frac{\alpha_s}{2\pi} |K_{ba}(z)|^2$$

$$d\bar{\sigma}_{N+1} = d\bar{\sigma}_N \frac{dt}{t} dz \frac{\alpha_s}{2\pi} P_{ba}(z)$$

In the collinear limit the cross section factorizes. The splitting can be iterated.

## PARTON SHOWERS

It is easy to iterate the branching process:

$$a(t) \longrightarrow b(z) + c, \quad b(t') \longrightarrow d(z') + e$$

$$d\bar{\sigma}_{N+2} = d\bar{\sigma}_N \frac{dt}{t} dz \frac{dt'}{t'} dz' \left(\frac{\alpha_s}{2\pi}\right)^2 P_{ba}(z) P_{db}(z')$$

This is a generalized Markov process (in the continuum), where the probability of the system to change (discontinuously) to another state, depends only on present state and not how it got there:

$$\tau_1 < \dots < \tau_n \implies$$

$$P\left(x(\tau_n) < x_n | x(\tau_{n-1}), \dots, x(\tau_1)\right) = P(x(\tau_n) < x_n | x(\tau_{n-1}))$$

No memory!



# PARTON SHOWERS

The spin averaged (unregulated) splitting functions for the various types of branching are

$$\hat{P}_{qq}(z) = C_F \left[ \frac{1+z^2}{(1-z)} \right],$$

$$\hat{P}_{gq}(z) = C_F \left[ \frac{1+(1-z)^2}{z} \right],$$

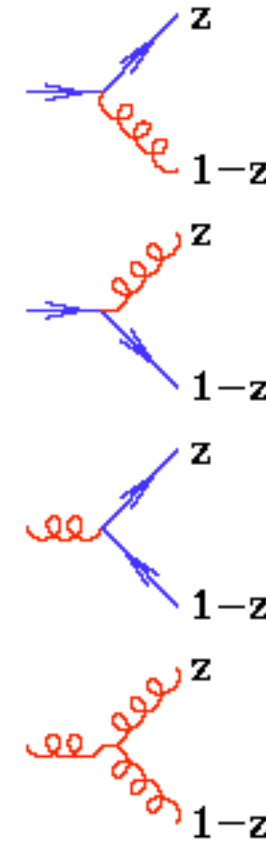
$$\hat{P}_{qg}(z) = T_R \left[ z^2 + (1-z)^2 \right],$$

$$\hat{P}_{gg}(z) = C_A \left[ \frac{z}{(1-z)} + \frac{1-z}{z} + z(1-z) \right].$$

$$C_F = \frac{4}{3}, C_A = 3, T_R = \frac{1}{2}.$$

Comments:

- \* Gluons radiate the most
- \* There are soft divergences in  $z=1$  and  $z=0$ .
- \*  $P_{qg}$  has no soft divergences.





# PARTON SHOWERS

Following a given line in a branching tree, it is clear that contributions coming from the strongly-ordered region will be leading:

$$Q^2 \gg t_1 \gg t_2 \gg \dots t_N \gg Q_0^2$$

$$\sigma_N \propto \sigma_0 \alpha_s^N \int_{Q_0^2}^{Q^2} \frac{dt_1}{t_1} \int_{Q_0^2}^{t_1} \frac{dt_2}{t_2} \dots \int_{Q_0^2}^{t_{N-1}} \frac{dt_N}{t_N} = \sigma_0 \frac{\alpha_s^N}{N!} \left( \log \frac{Q^2}{Q_0^2} \right)^N$$

Denote by  $\Phi_a[E, Q^2]$

the ensemble of parton cascades initiated by a parton  $a$  of energy  $E$  and emerging from a hard process with scale  $Q^2$  (Generating functional). Also, define

$$\Delta(Q_1^2, Q_2^2)$$

as the probability that a **does not branch** for virtualities  $Q_1^2 > t > Q_2^2$

## PARTON SHOWERS

With this, it is easy to write a formula that takes into account all the branches associated to a parton a:

$$\begin{aligned} \Phi_a[E, Q^2] &= \Delta_a(Q^2, Q_0^2) \Phi_a[E, Q_0^2] \\ &+ \int_{Q_0^2}^{Q^2} \frac{dt}{t} \Delta_a(Q^2, t) \sum_b \int dz \frac{\alpha_s}{2\pi} P_{ba}(z) \Phi_b[zE, t] \Phi_c[(1-z)E, t] \end{aligned}$$

Simple interpretation. First term describes the evolution to  $Q_0$ , where no branching has occurred. The second term is the contribution coming from evolving with no branching up to a given  $t$  and then branching there. Now conservation of probability imposes that:

$$1 = \Delta_a(Q^2, Q_0^2) + \int_{Q_0^2}^{Q^2} \frac{dt}{t} \Delta_a(Q^2, t) \sum_b \int dz \frac{\alpha_s}{2\pi} P_{ba}(z)$$

Which can be solved to give an explicit expression for  $\Delta$ .

## PARTON SHOWERS

$$\Delta_a(Q^2, Q_0^2) = \exp \left[ - \int_{Q_0^2}^{Q^2} \frac{dt}{t} \sum_b \int dz \frac{\alpha_S}{2\pi} P_{ab}(z) \right]$$

Which gives an explicit expression for the Sudakov form factor, i.e. the probability that a parton will not branch in going from the virtuality  $Q^2$  to  $Q_0^2$ .

Proof:

derive the conservation of probability equation

$$0 = \frac{d\Delta_a}{dQ_0^2}(Q^2, Q_0^2) - \frac{\mathcal{P}_a}{Q_0^2} \Delta_a(Q^2, Q_0^2), \quad \mathcal{P}_a = \sum_b \int dz \frac{\alpha_S}{2\pi} P_{ba}(z)$$

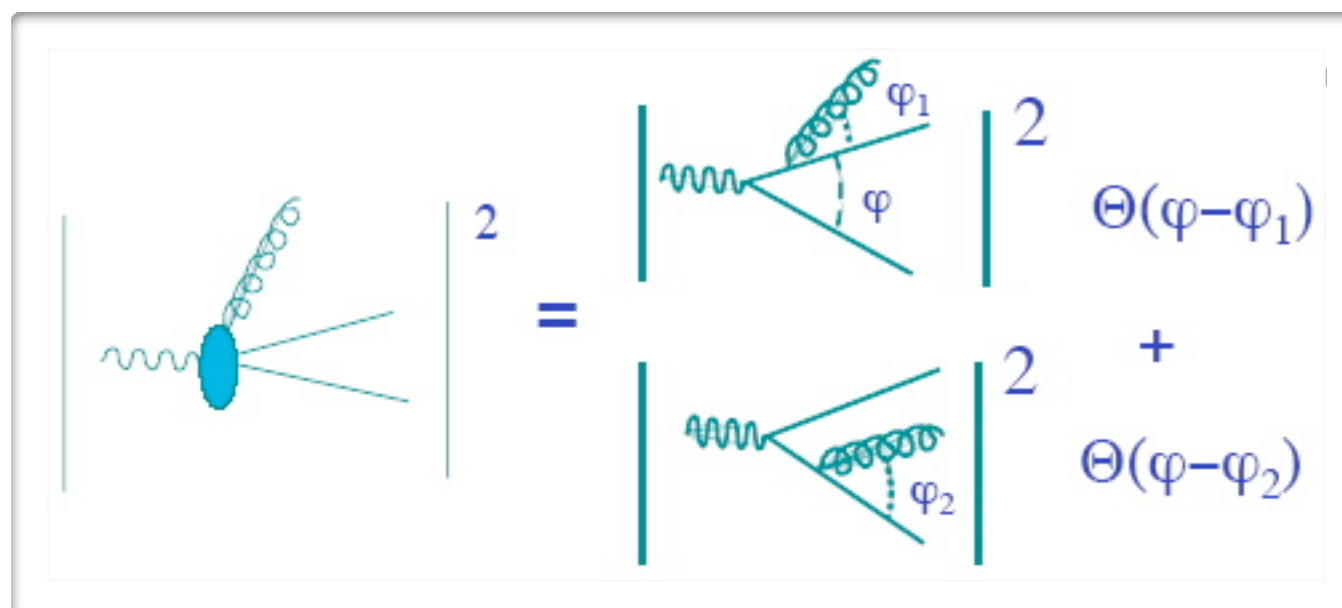
and impose the initial condition

$$\Delta_a(Q^2, Q^2) = 1$$

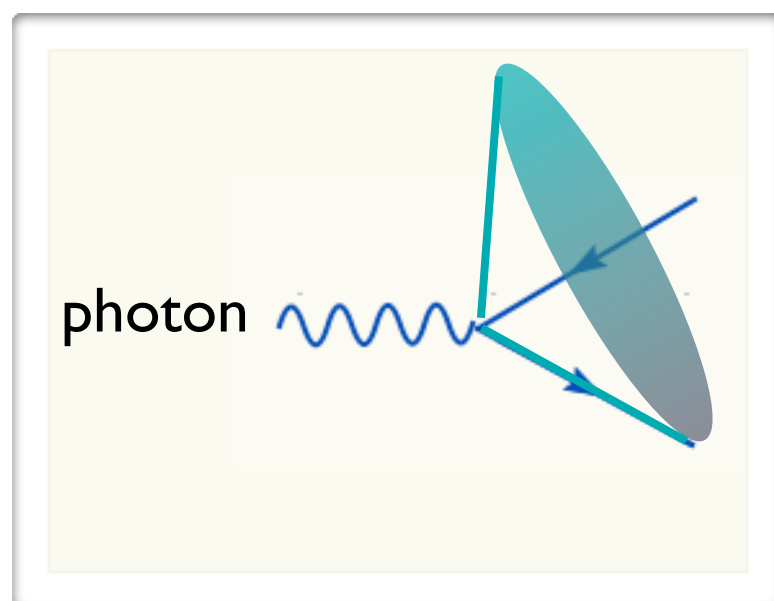
Note that: 
$$\Delta_a(Q^2, t) = \frac{\Delta_a(Q^2, Q_0^2)}{\Delta_a(t, Q_0^2)}$$

and therefore sometimes the second argument is not used.

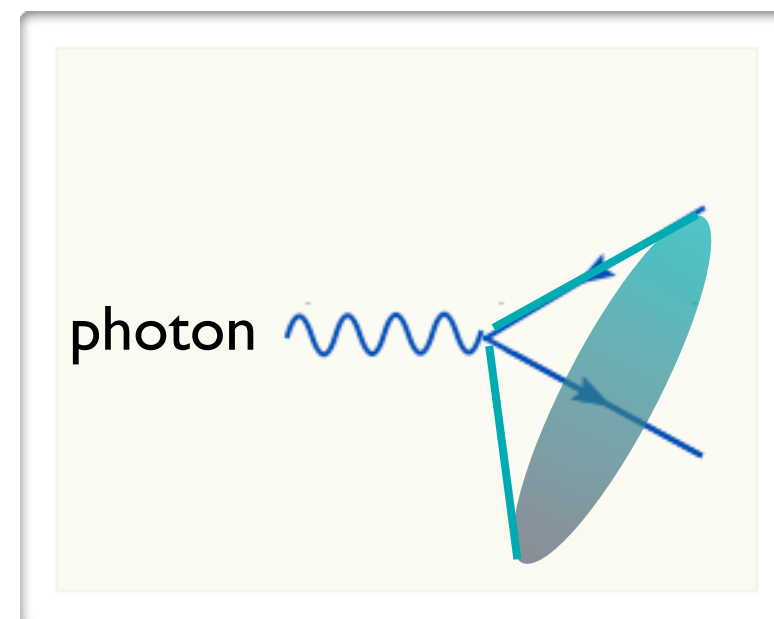
# ANGULAR ORDERING



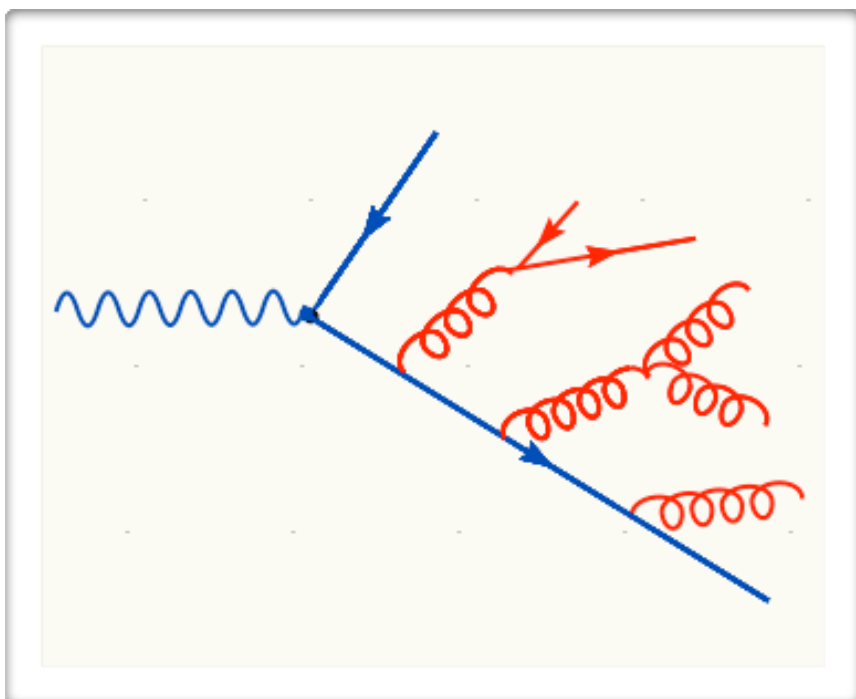
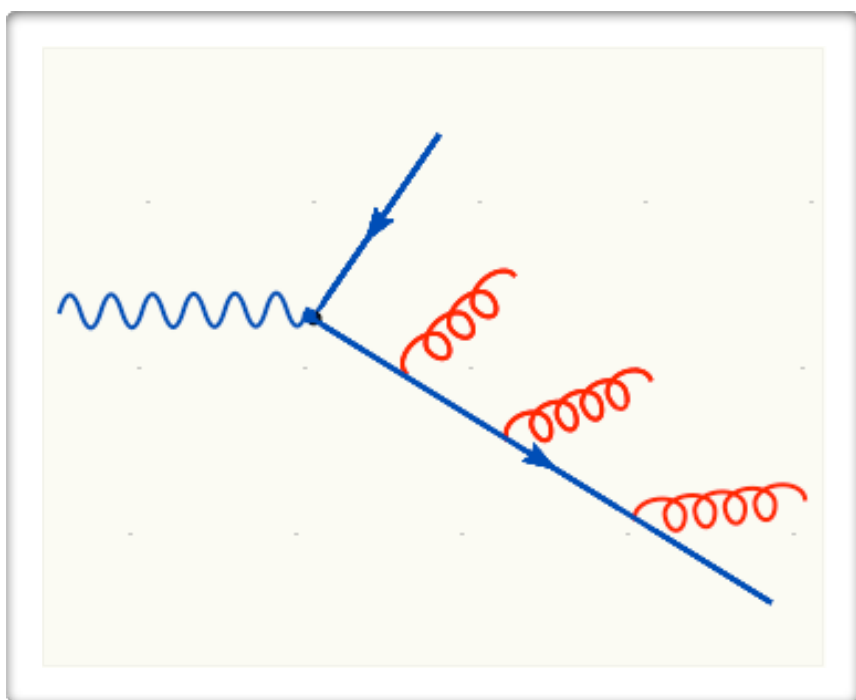
Radiation inside cones around the original partons is allowed (and described by the eikonal approximation), outside the cones it is zero (after averaging over the azimuthal angle)



+



# ANGULAR ORDERING



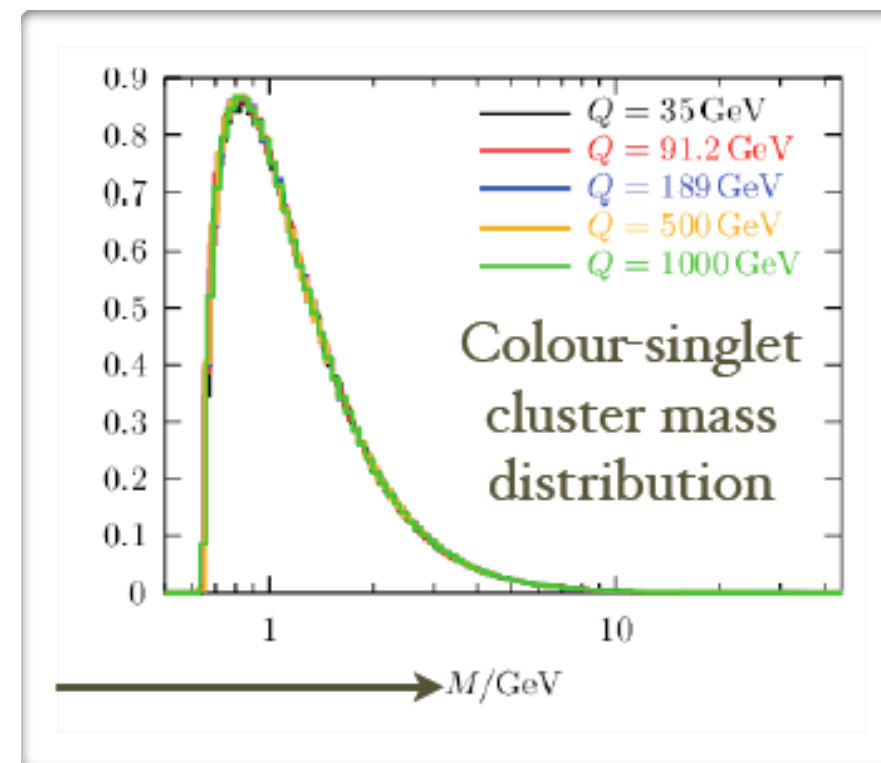
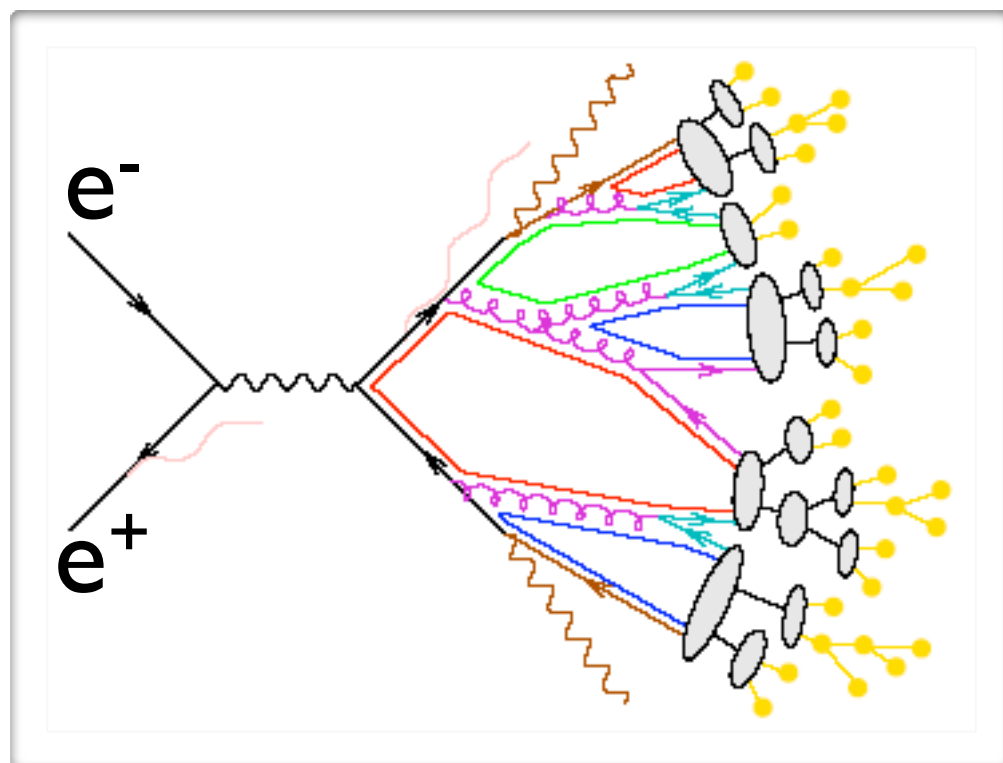
- ✱ The construction can be iterated to the next emission, with the result that the emission angles keep getting smaller and smaller.
- ✱ One can generalize it to a generic parton of color charge  $Q_k$  splitting into two partons  $i$  and  $j$ ,  $Q_k = Q_i + Q_j$ . The result is that inside the cones  $i$  and  $j$  emit as independent charges, and outside their angular-ordered cones the emission is coherent and can be treated as if it was directly from color charge  $Q_k$ .
- ✱ **KEY POINT FOR THE MC!**
- ✱ Angular ordering is automatically satisfied in  $\theta$  ordered showers! (and easy to account for in  $p_T$  ordered showers).

# HADRONIZATION

- The shower stops if all partons are characterized by a scale at the IR cut-off:  $Q_0 \sim 1 \text{ GeV}$ .
- Physically, we observe hadrons, not (colored) partons.
- We need a non-perturbative model in passing from partons to colorless hadrons.
- There are two models (string and cluster), based on physical and phenomenological considerations.

# CLUSTER MODEL

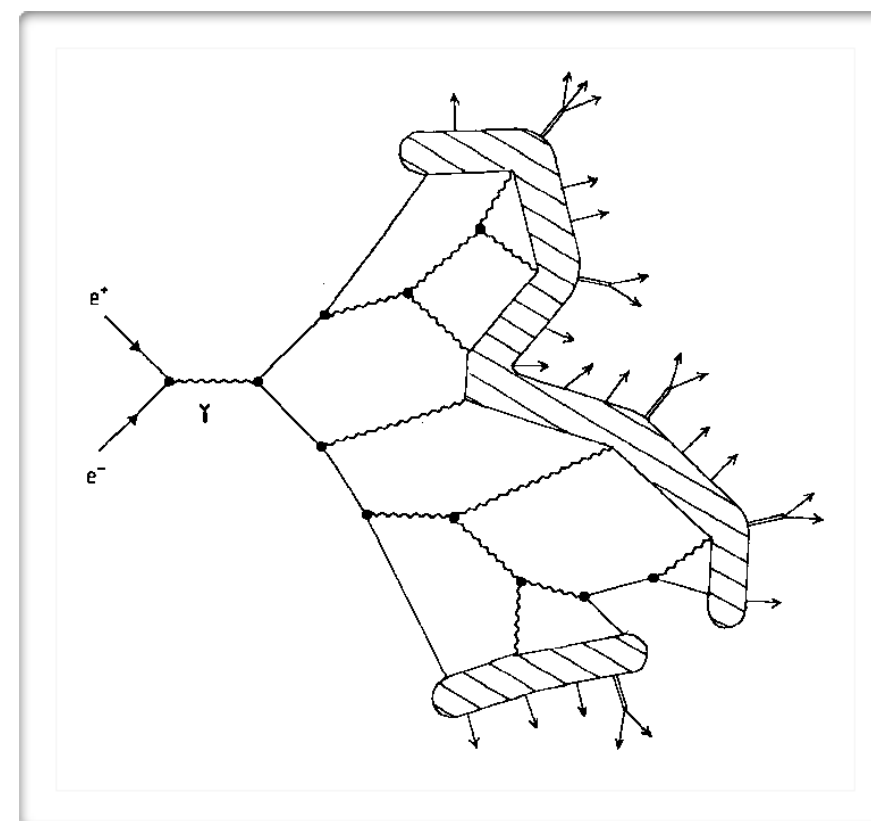
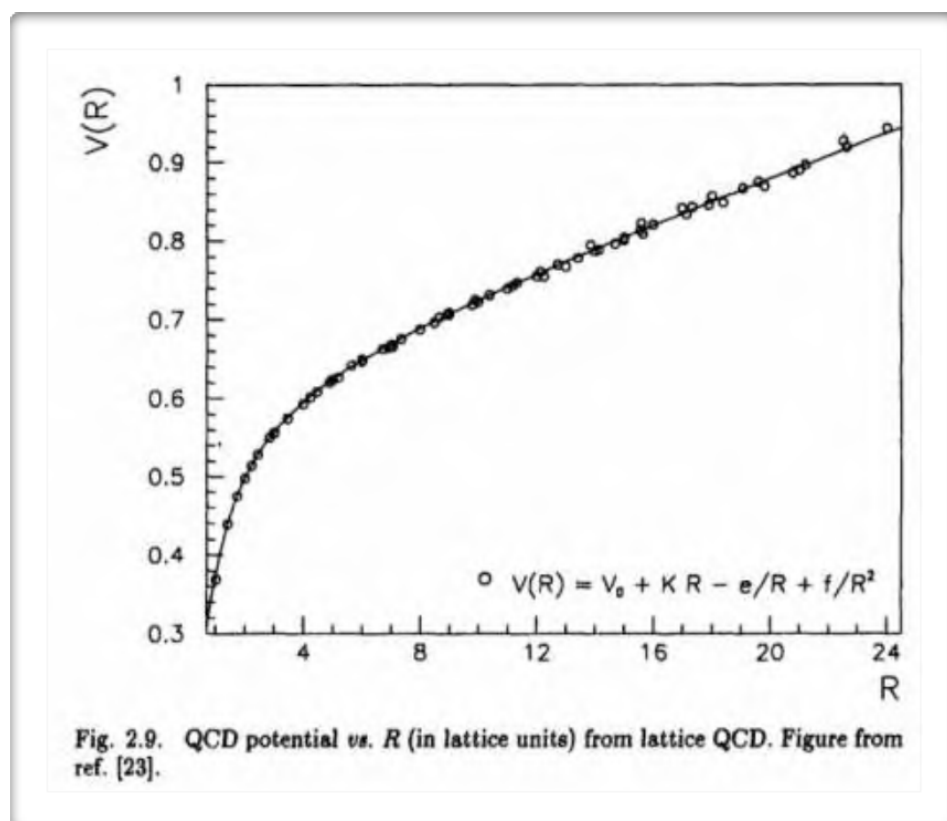
The structure of the perturbative evolution including angular ordering, leads naturally to the clustering in phase-space of color-singlet parton pairs (preconfinement). Long-range correlations are strongly suppressed. Hadronization will only act locally, on low-mass color singlet clusters.





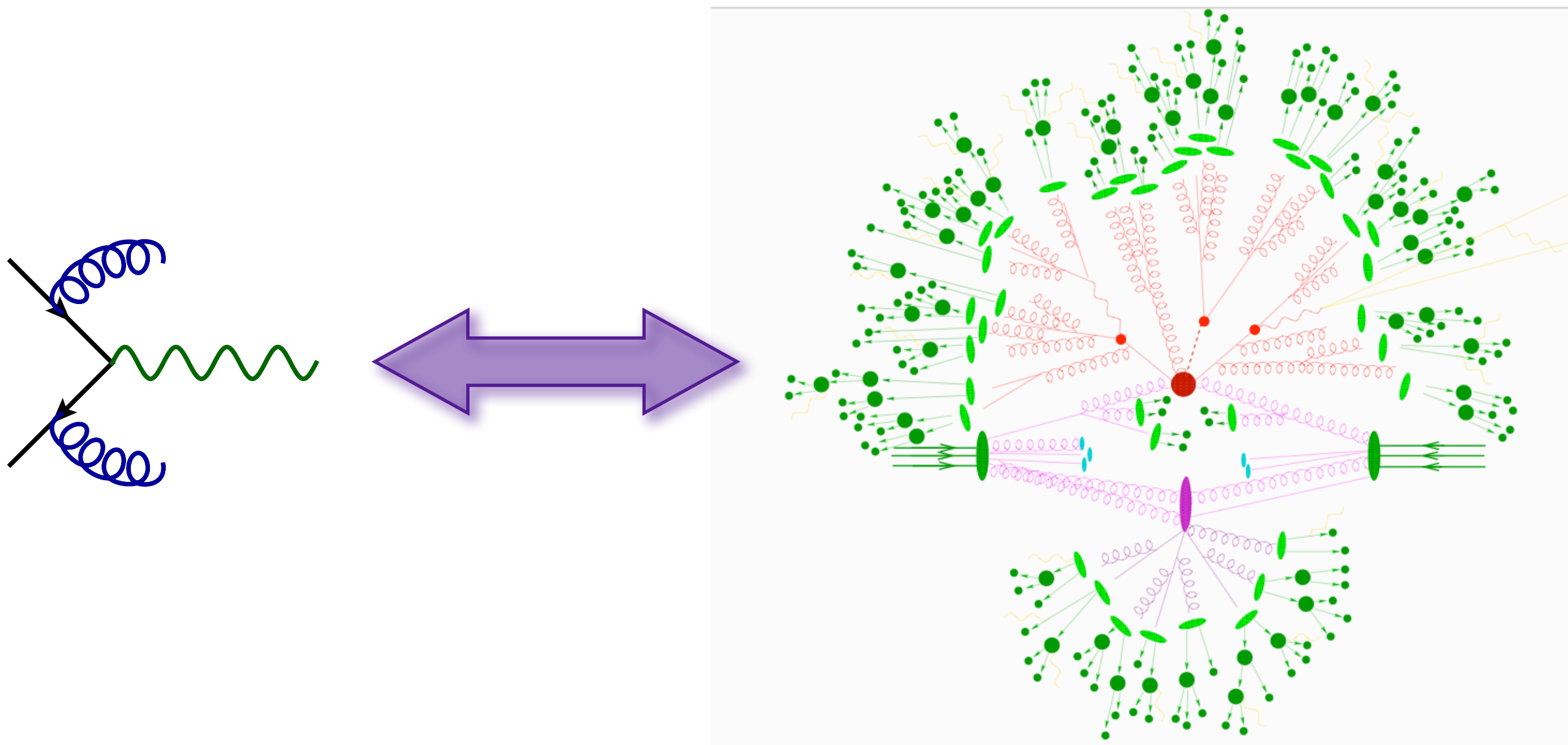
# STRING MODEL

From lattice QCD one sees that the color confinement potential of a quark-antiquark grows linearly with their distance:  $V(r) \sim kr$ , with  $k \sim 0.2$  GeV. This is modeled with a string with uniform tension (energy per unit length)  $k$  that gets stretched between the qq pair.



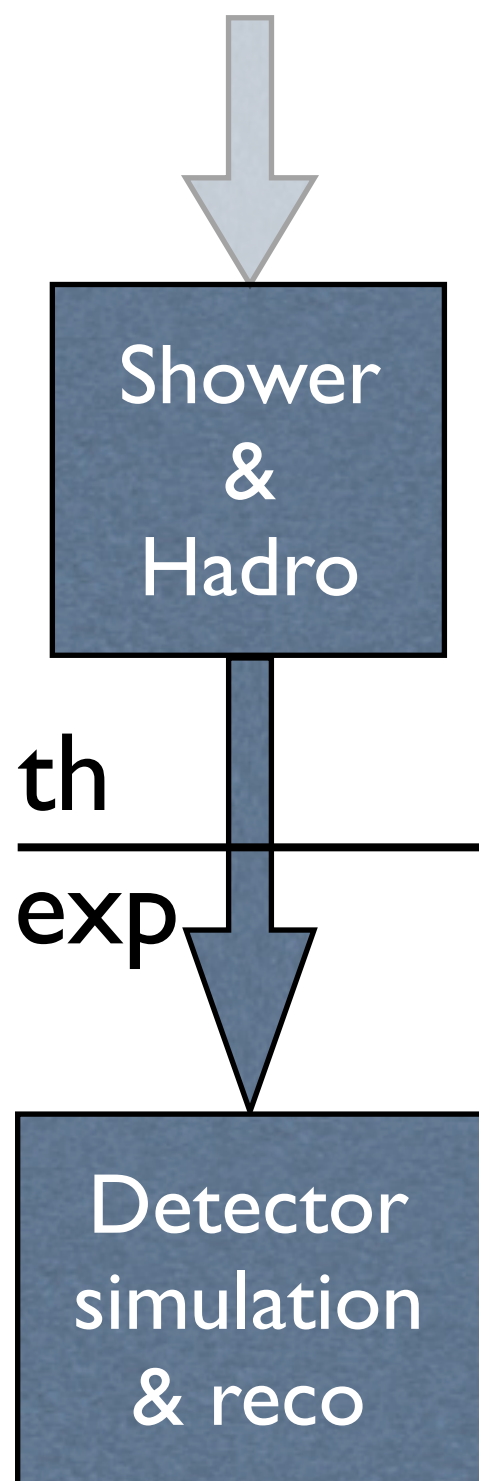
When quark-antiquarks are too far apart, it becomes energetically more favorable to break the string by creating a new qq pair in the middle.

# EXCLUSIVE OBSERVABLE

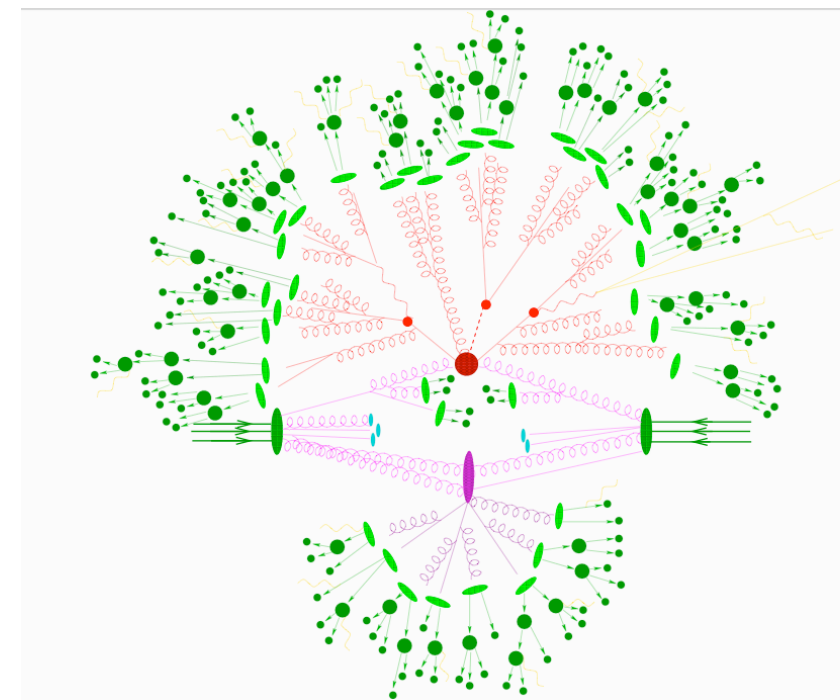


A parton shower program associates one of the possible histories (and pre-histories in case of pp collisions) of an hard event in an explicit and fully detailed way, such that the sum of the probabilities of all possible histories is unity.

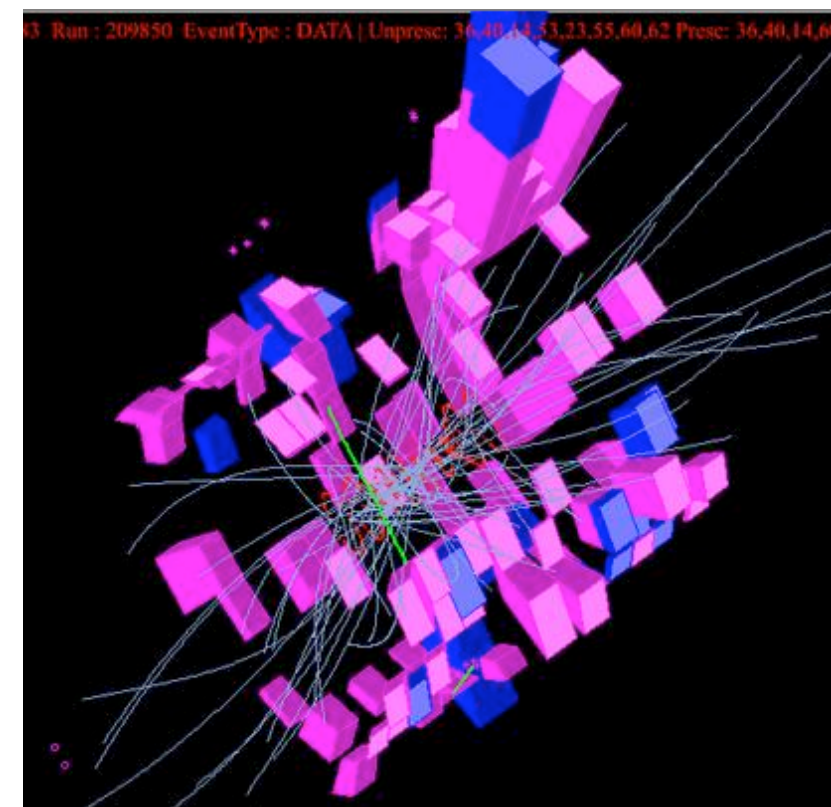
# GENERAL STRUCTURE



Events in the LH format are passed to the showering and hadronization  $\Rightarrow$  high multiplicity hadron-level events



Events in HepMC format are passed through fast or full simulation, and physical objects (leptons, photons, jet, b-jets, taus) are reconstructed.



# PARTON SHOWER MC EVENT GENERATORS

A parton shower program associates one of the possible histories (and pre-histories in case of pp) of an hard event in an explicit and fully detailed way, such that the sum of the probabilities of all possible histories is unity.

# PARTON SHOWER MC EVENT GENERATORS

A parton shower program associates one of the possible histories (and pre-histories in case of pp) of an hard event in an explicit and fully detailed way, such that the sum of the probabilities of all possible histories is unity.

- General-purpose tools
- Always the first experimental choice
- Complete exclusive description of the events: hard scattering, showering & hadronization (and underlying event)
- Reliable and well-tuned tools
- Significant and intense progress in the development of new showering algorithms with the final aim to go at NLO in QCD

# PARTON SHOWER MC EVENT GENERATORS

A parton shower program associates one of the possible histories (and pre-histories in case of pp) of an hard event in an explicit and fully detailed way, such that the sum of the probabilities of all possible histories is unity.

- General-purpose tools
- Always the first experimental choice
- Complete exclusive description of the events: hard scattering, showering & hadronization (and underlying event)
- Reliable and well-tuned tools
- Significant and intense progress in the development of new showering algorithms with the final aim to go at NLO in QCD

**Shower MC Generators: PYTHIA, HERWIG, SHERPA**

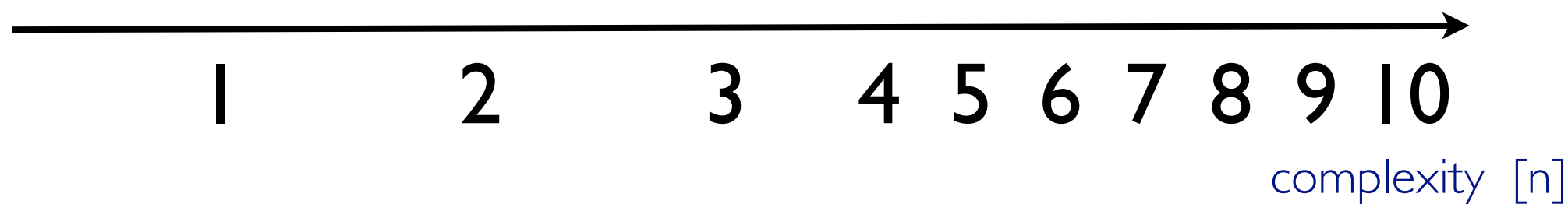


# SM STATUS CIRCA 2002

$pp \rightarrow n \text{ particles}$

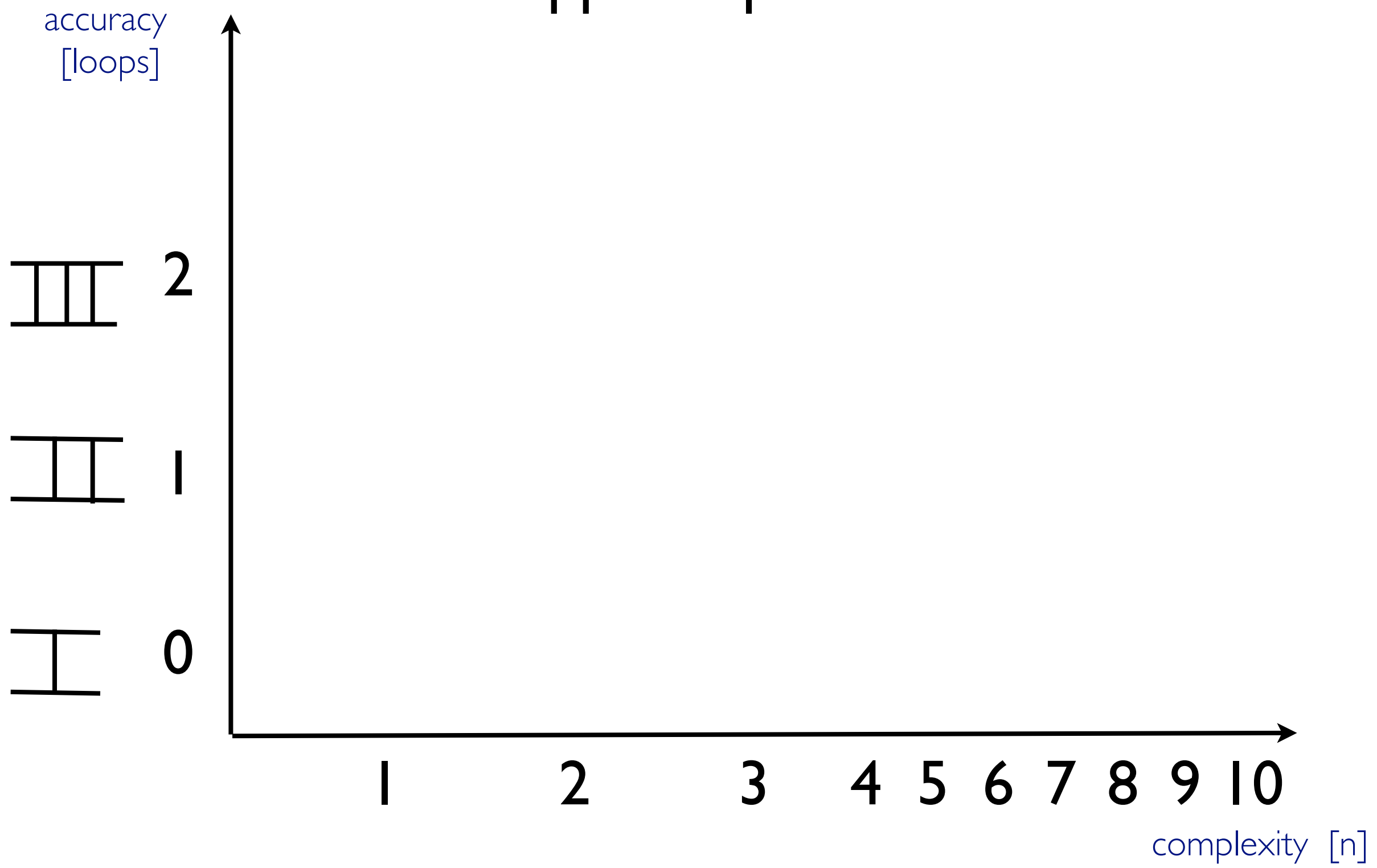
# SM STATUS CIRCA 2002

$pp \rightarrow n$  particles



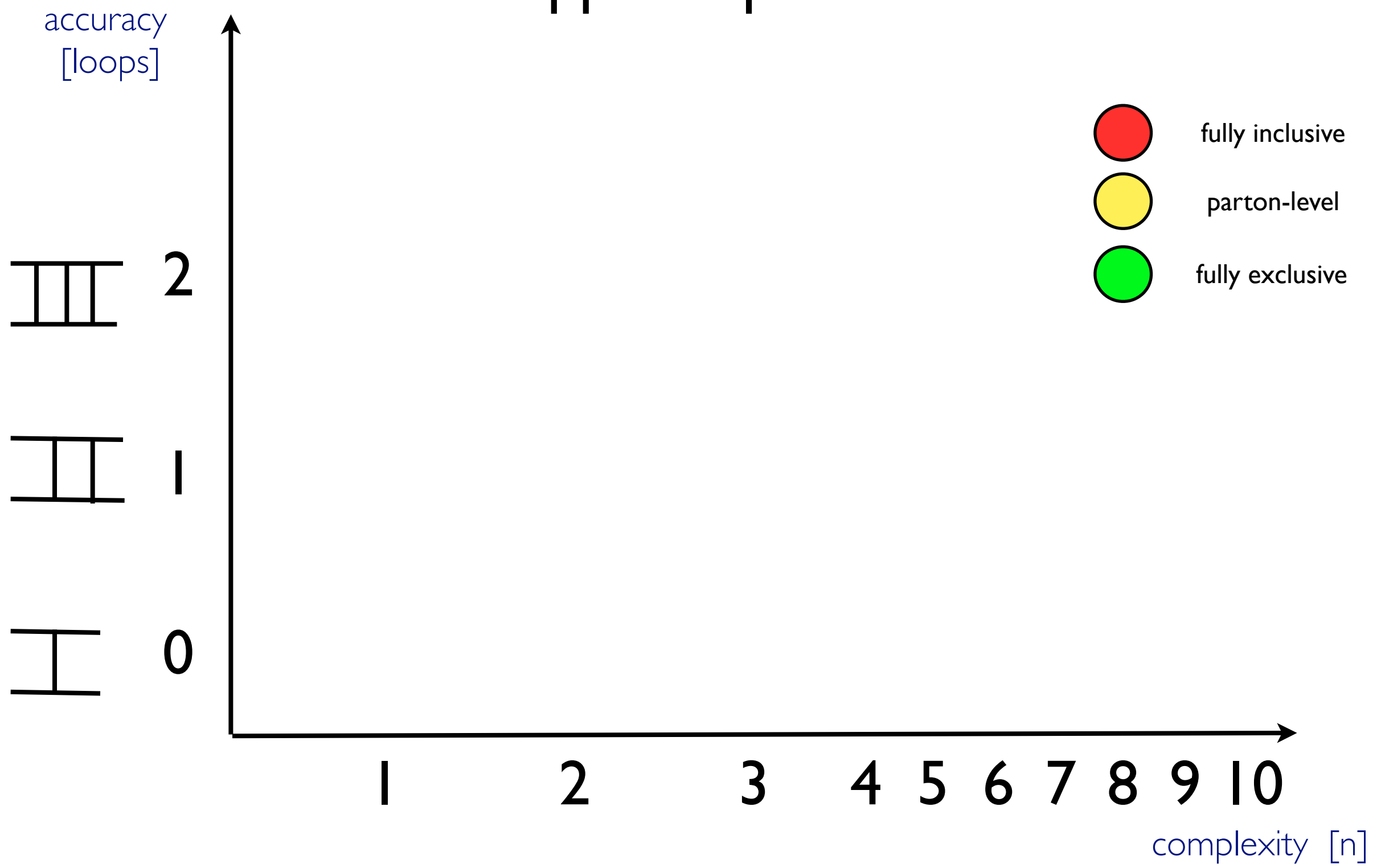
# SM STATUS CIRCA 2002

## $pp \rightarrow n$ particles



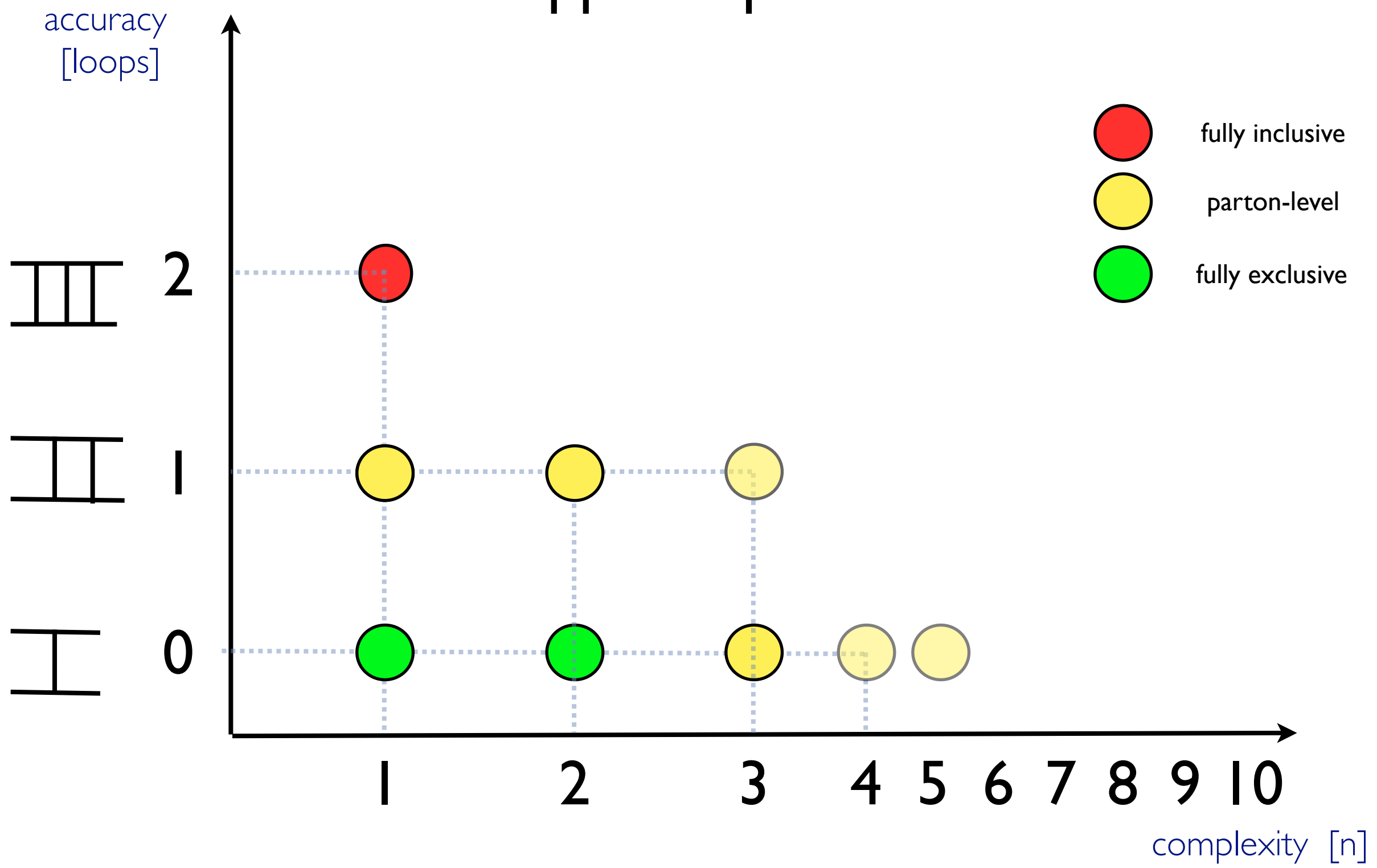
# SM STATUS CIRCA 2002

## $pp \rightarrow n$ particles



# SM STATUS CIRCA 2002

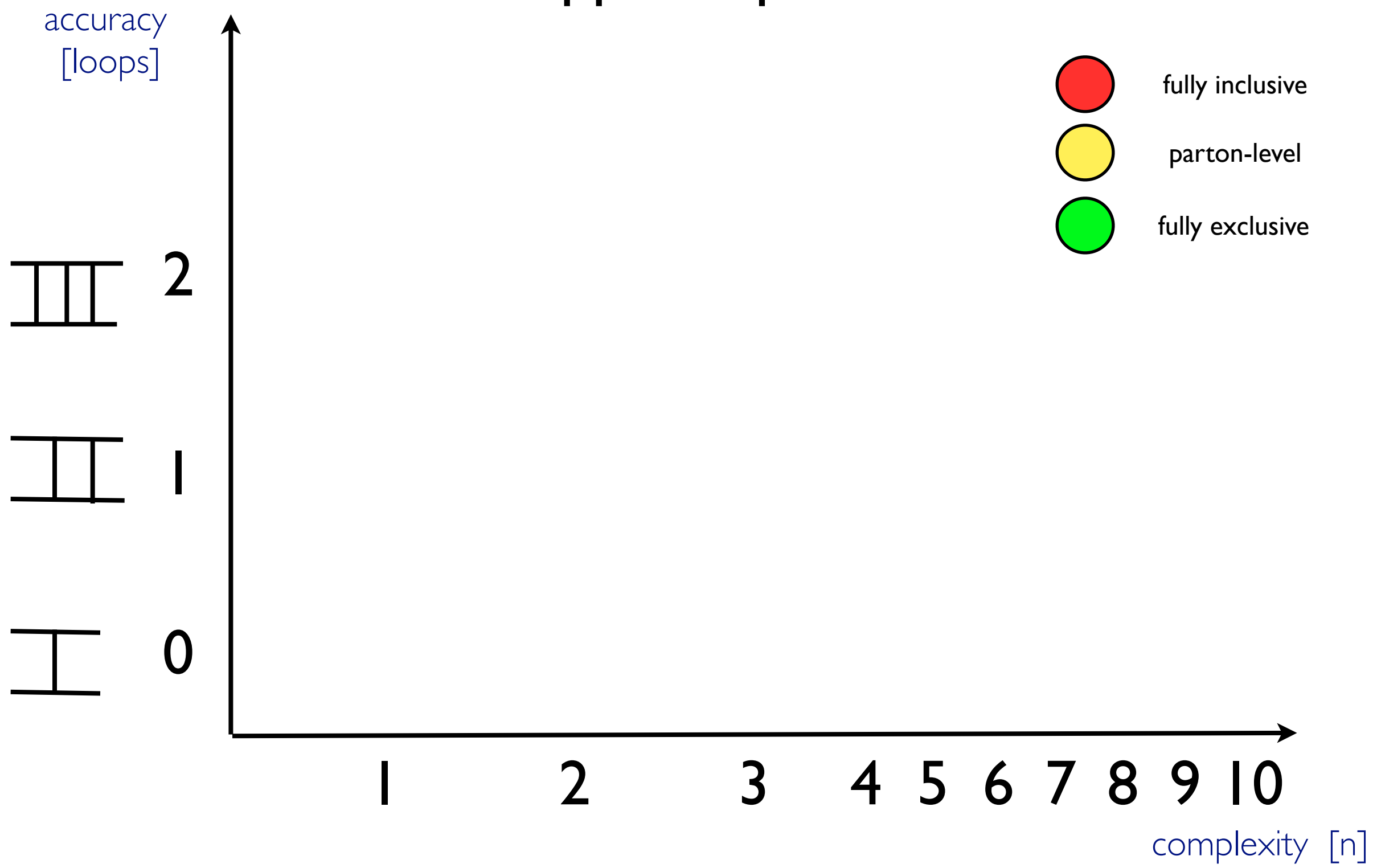
## $pp \rightarrow n$ particles





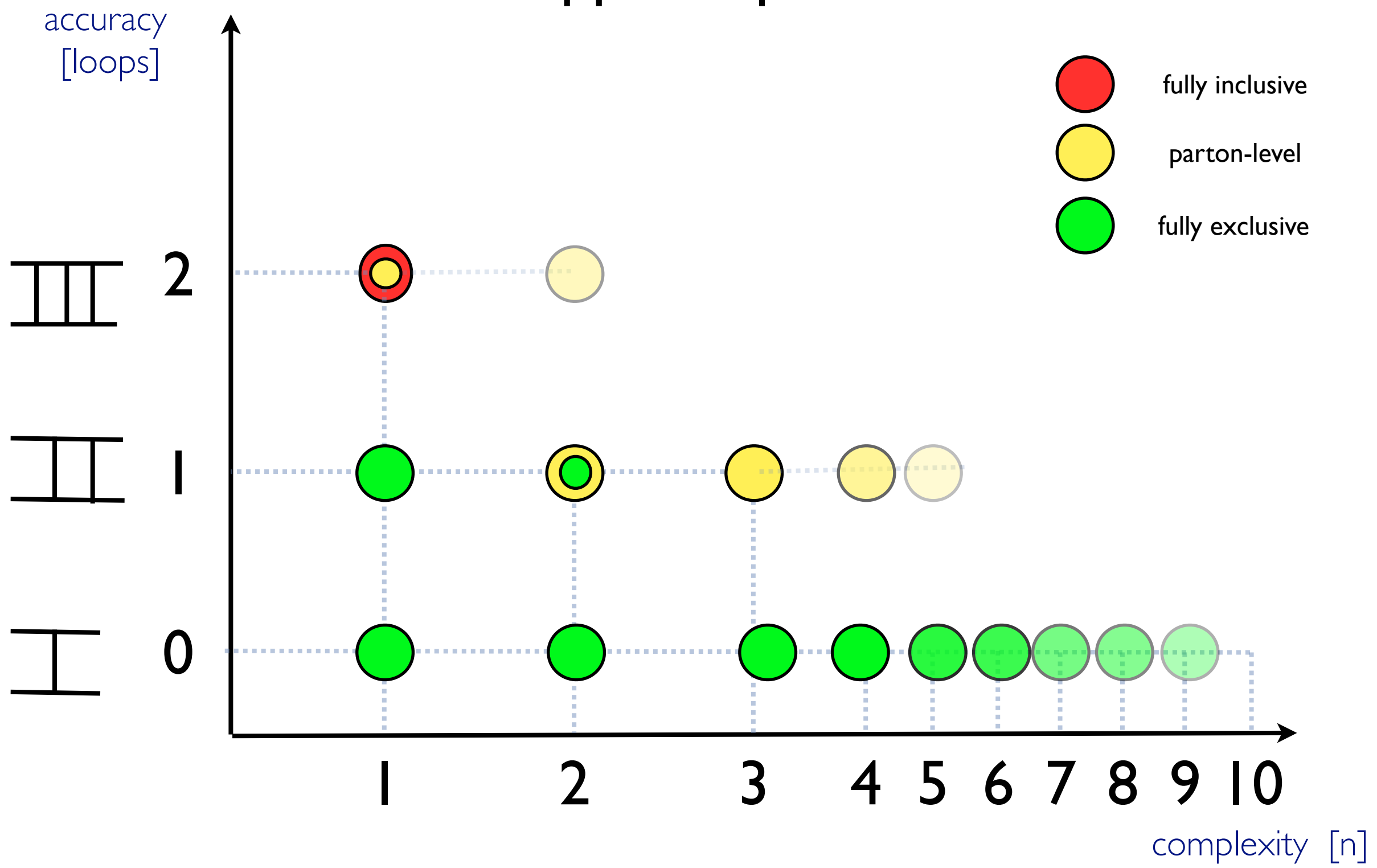
# SM STATUS : SINCE 2007

## $pp \rightarrow n$ particles



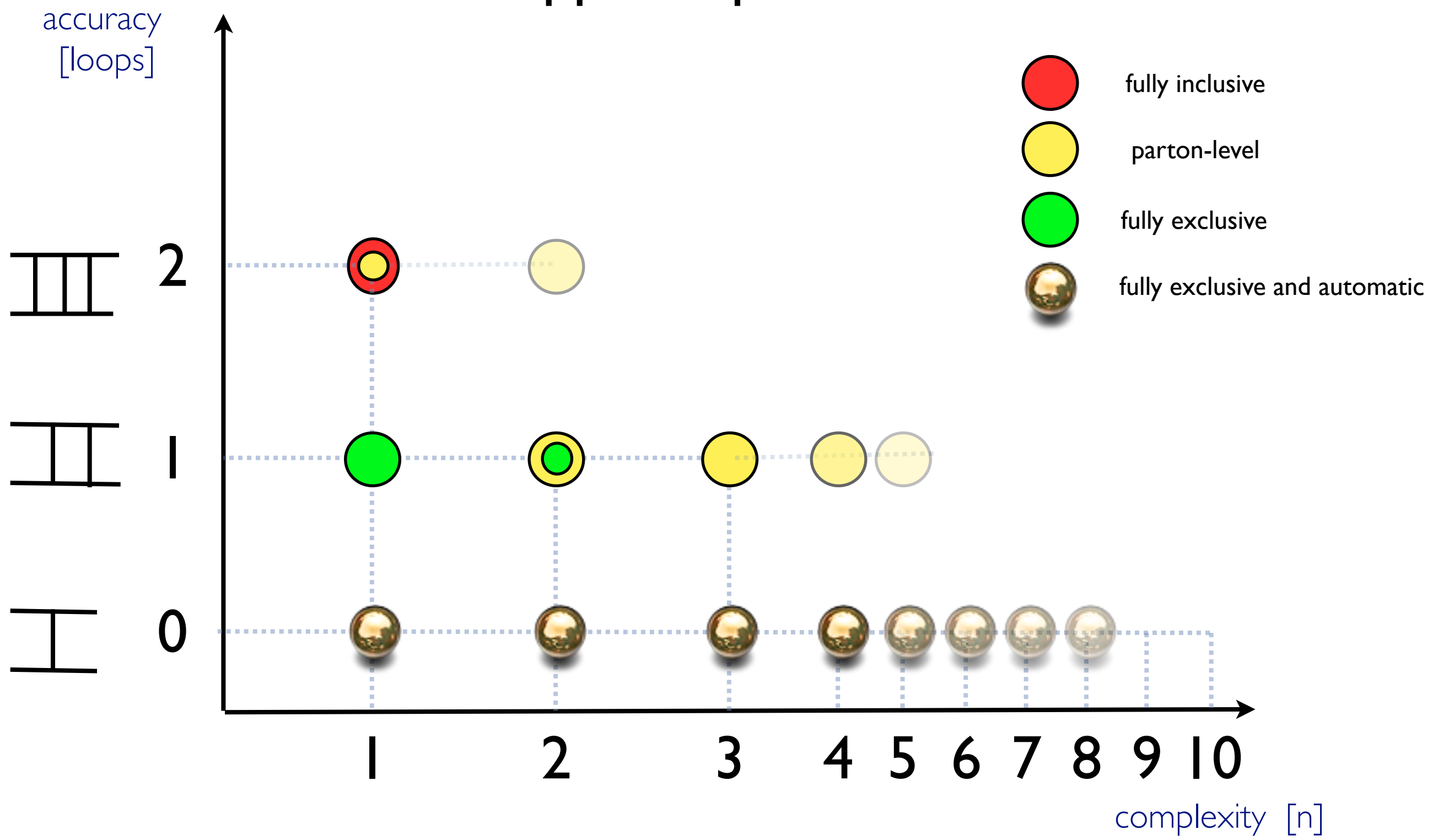
# SM STATUS : SINCE 2007

## $pp \rightarrow n$ particles



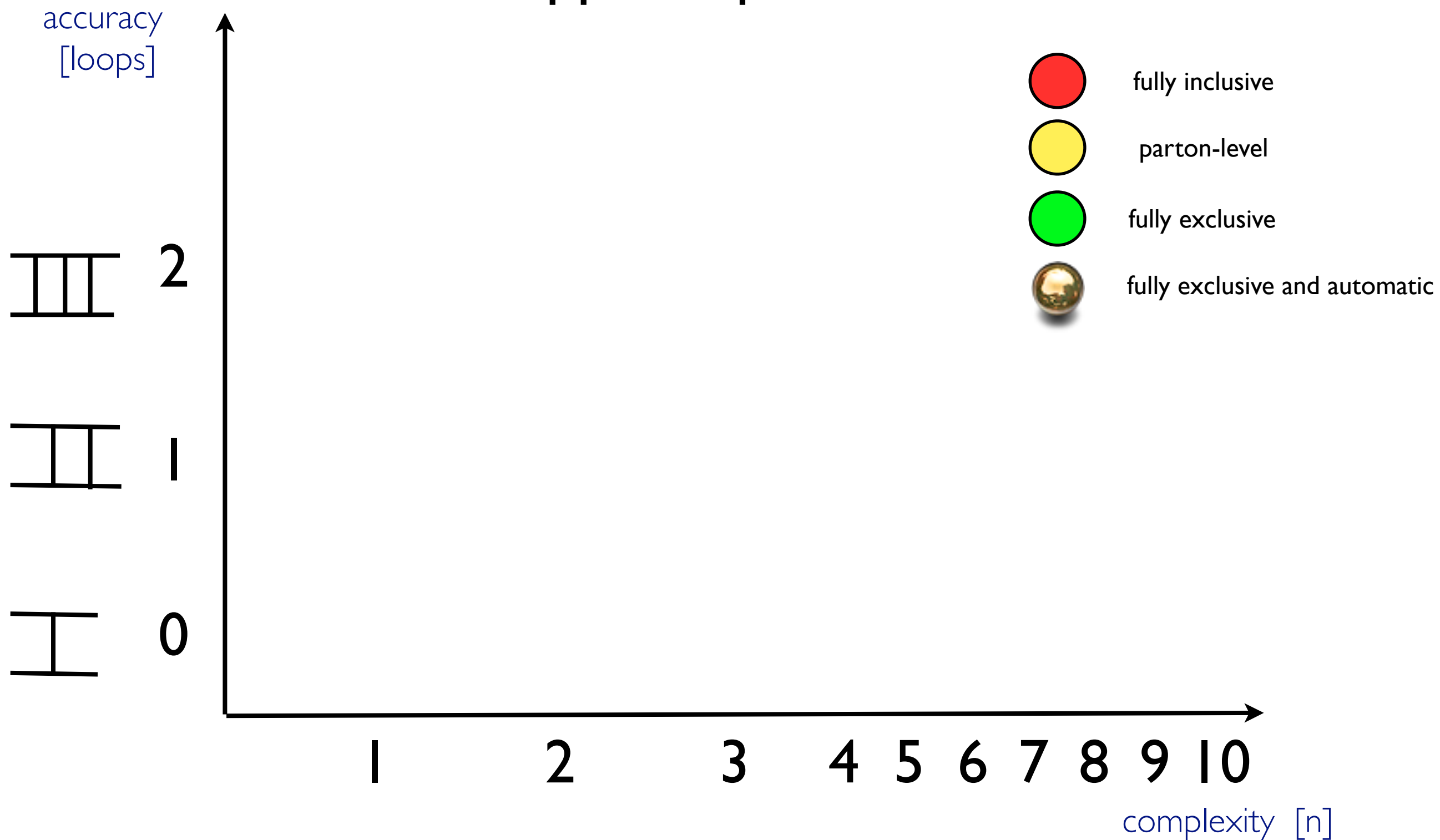
# SM STATUS : SINCE 2007

## $pp \rightarrow n$ particles



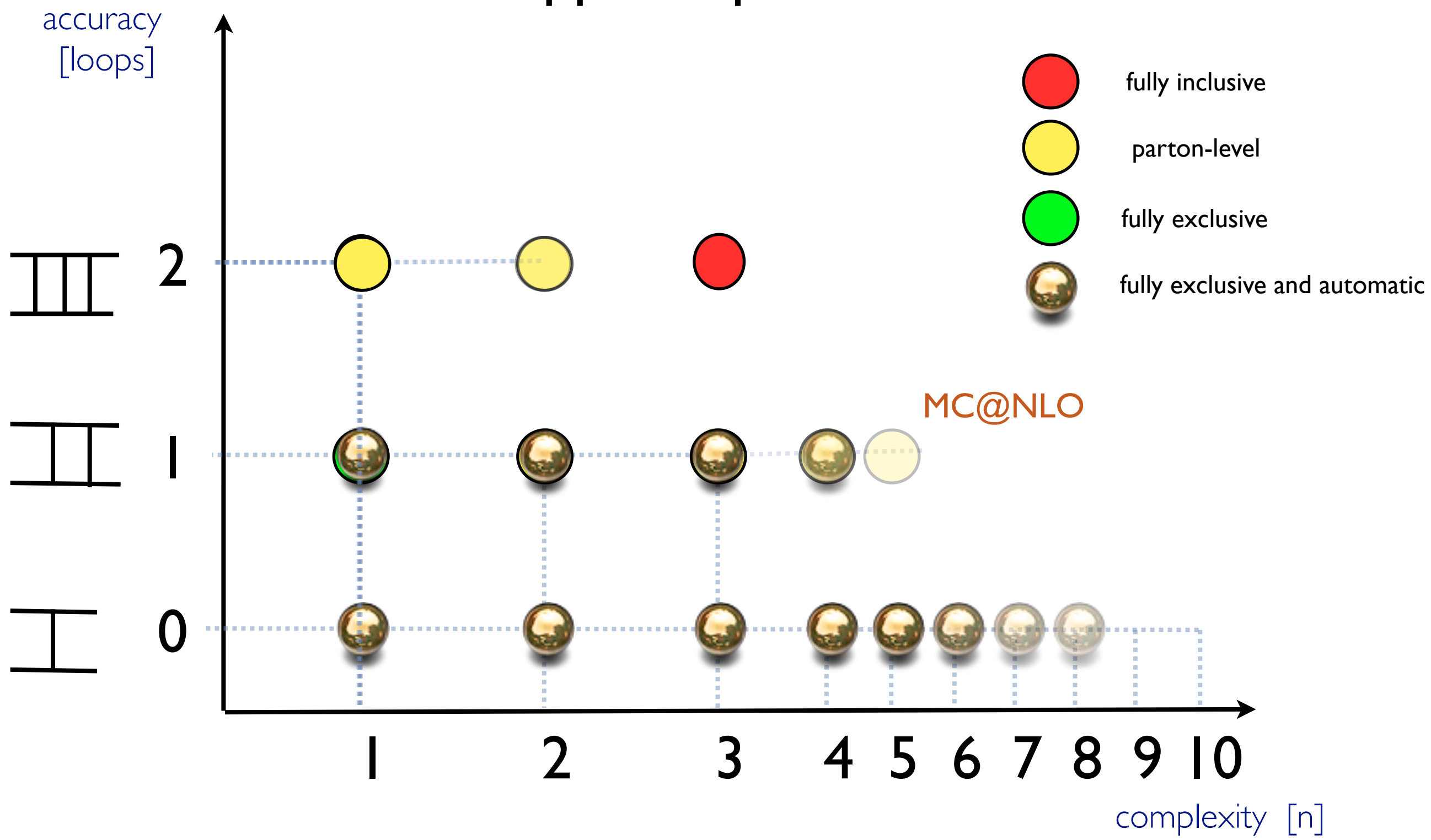
# SM STATUS: NOW

## $pp \rightarrow n$ particles



# SM STATUS: NOW

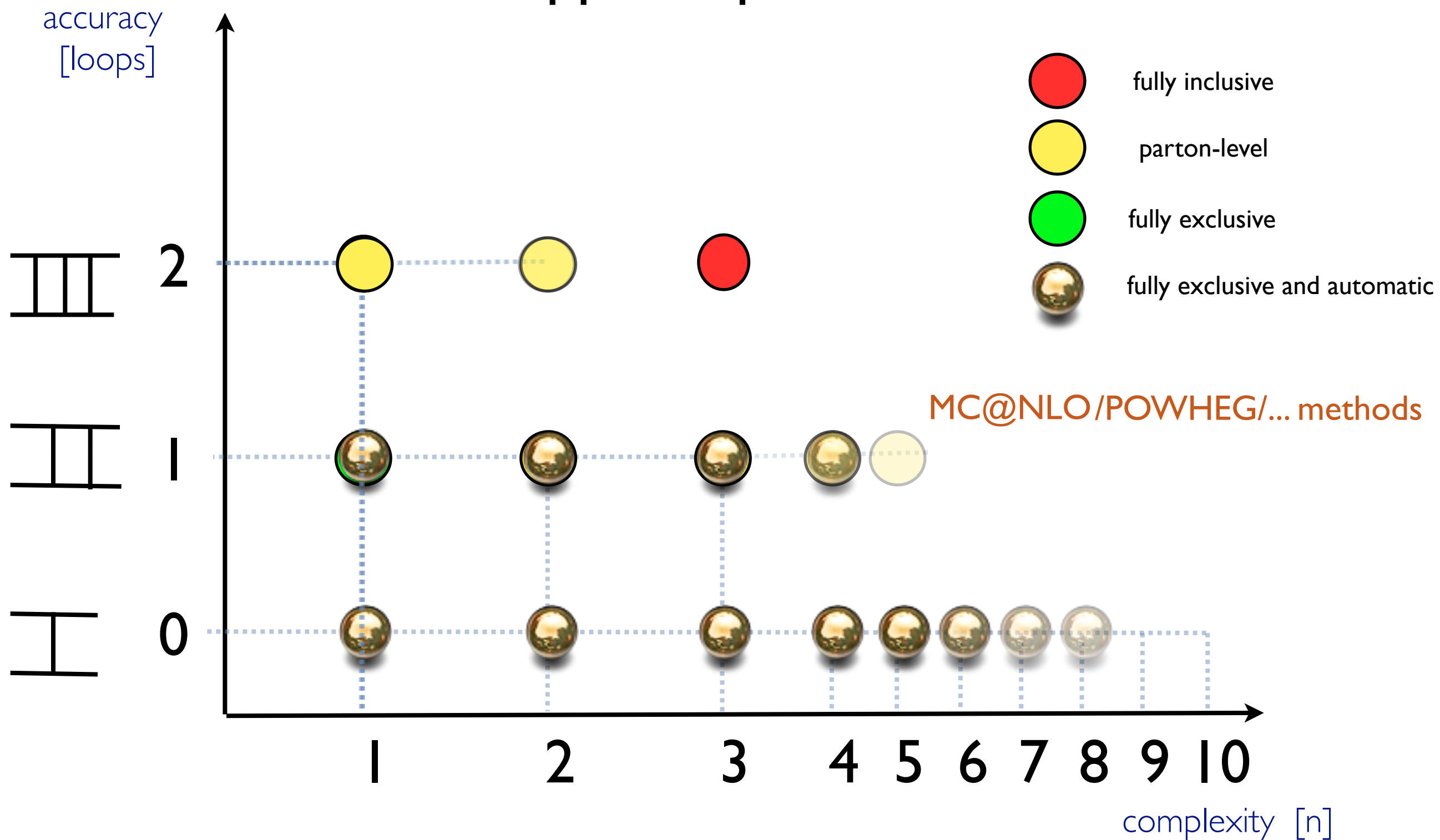
## $pp \rightarrow n$ particles





# SM STATUS: NOW

## $pp \rightarrow n$ particles



## SUMMARY

- Simulations of HEP events play a central role to compare theory with experiments.
- **Accurate** predictions in the form of NLO calculations have now been automatised and are now available for wide range of applications.
- Turning them into **realistic** predictions is possible through parton shower algorithms that dress partons with radiation and eventually turn partons into hadrons. This makes the inclusive parton-level predictions (i.e. inclusive over extra radiation) completely exclusive.
- **Predictive and flexible (and free!)** MC's are publicly available to all the HEP community.

