



Neutrino Physics

Boris Kayser
CERN

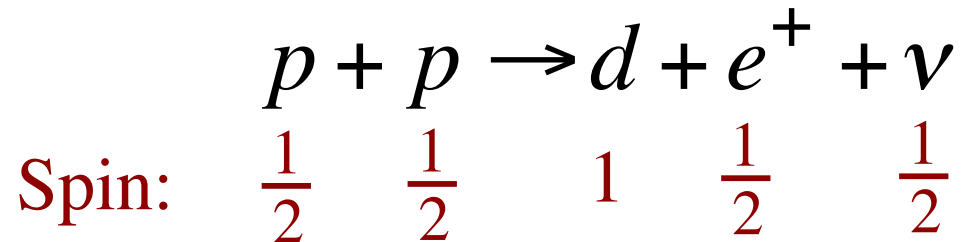
July 22 – 24, 2013

Part 1

NASA Hubble Photo

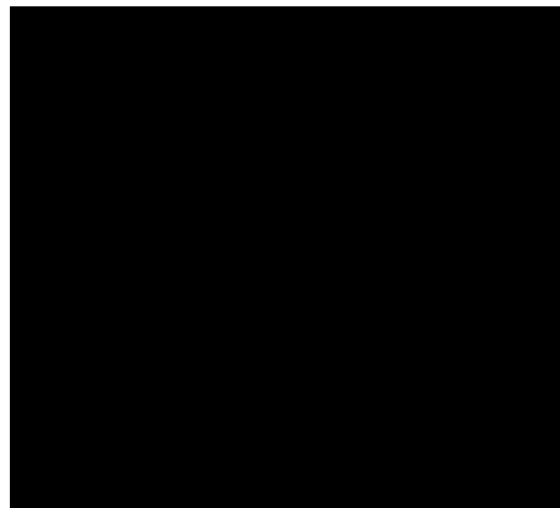
What Are Neutrinos Good For?

Energy generation in the sun starts with the reaction —



Without the neutrino, angular momentum
would not be conserved.

Uh, oh



The Neutrinos

**Neutrinos and photons are by far the most abundant elementary particles in the universe.
There are 340 neutrinos/cc.**

The neutrinos are spin – $1/2$, electrically neutral, leptons.

The only known forces they experience are the weak force and gravity.

This means that their interactions with other matter have very low strength.

Thus, neutrinos are difficult to detect and study.

Their weak interactions are successfully described by the Standard Model.

The Neutrino Revolution

(1998 – ...)

Neutrinos have nonzero masses!

Leptons mix!

The Origin of Neutrino Mass

The fundamental constituents of matter are the *quarks*, the *charged leptons*, and the *neutrinos*.

*Most theorists strongly suspect that the origin of the **neutrino** masses is different from the origin of the **quark** and **charged lepton** masses.*

The Standard-Model *Higgs* may still be involved, but not in the same way as for the quarks and charged leptons.

More later

The discovery of neutrino mass
and leptonic mixing
comes from the observation of
neutrino flavor change
(neutrino oscillation).

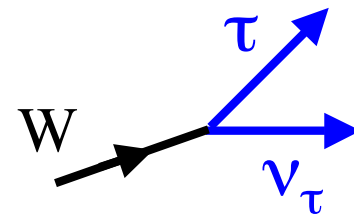
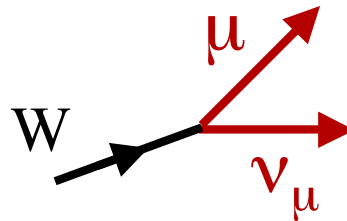
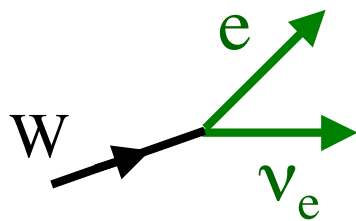
Introduction to Neutrino Oscillation

The Neutrino Flavors

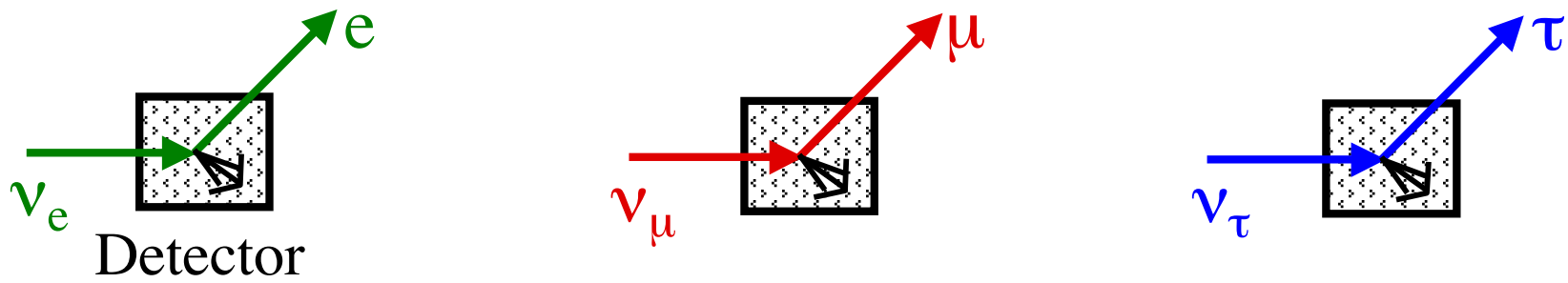
There are three flavors of charged leptons: e , μ , τ

There are three known flavors of neutrinos: ν_e , ν_μ , ν_τ

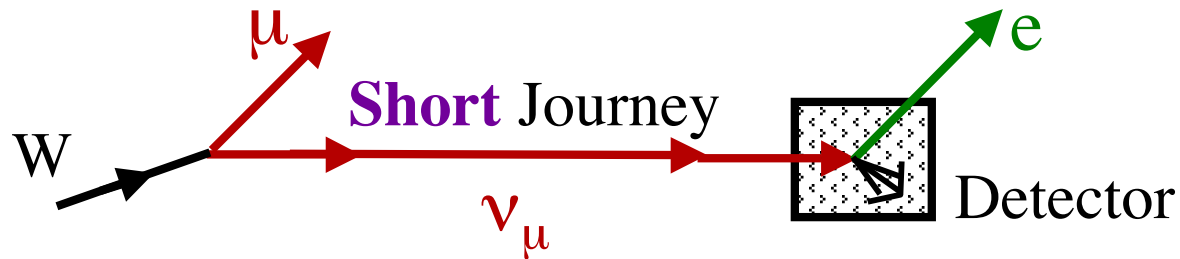
We *define* the neutrinos of specific flavor, ν_e , ν_μ , ν_τ , by W boson decays:



As far as we know, when interacting,
 a neutrino of given flavor creates
 only the charged lepton of the same flavor.



As far as we know, neither



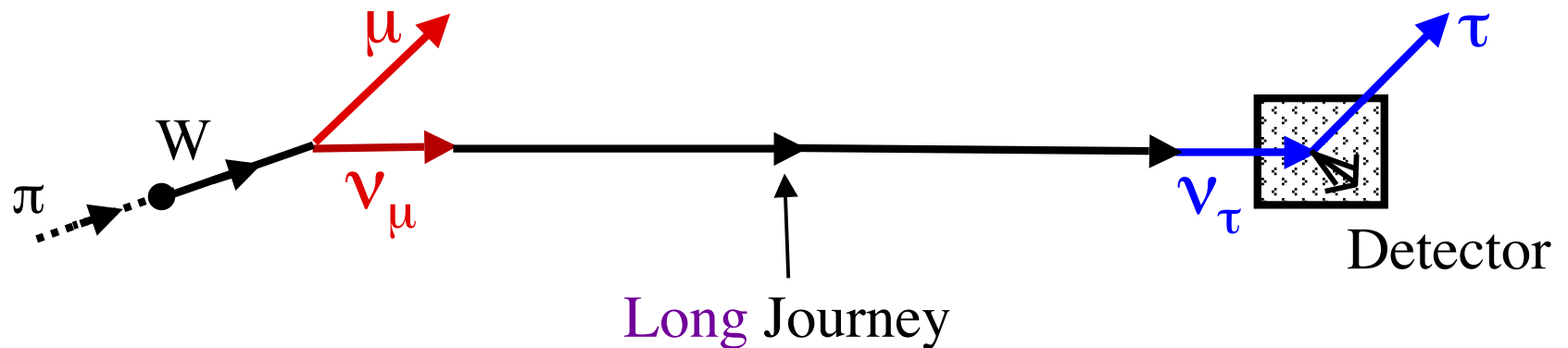
Lederman
 Schartz
 Steinberger

nor any other change of flavor in the $\nu \rightarrow \ell$ *interaction*
 ever occurs.

Charged lepton ℓ

Neutrino Flavor Change (“Oscillation”)

If neutrinos have masses, and leptons mix, we can have —



Give a ν time to change character, and you can have

for example: $\nu_\mu \longrightarrow \nu_\tau$

The last 15 years have brought us compelling evidence that such flavor changes actually occur.

Flavor *change* does not add neutrinos to a beam — it just changes the flavor of those already present.

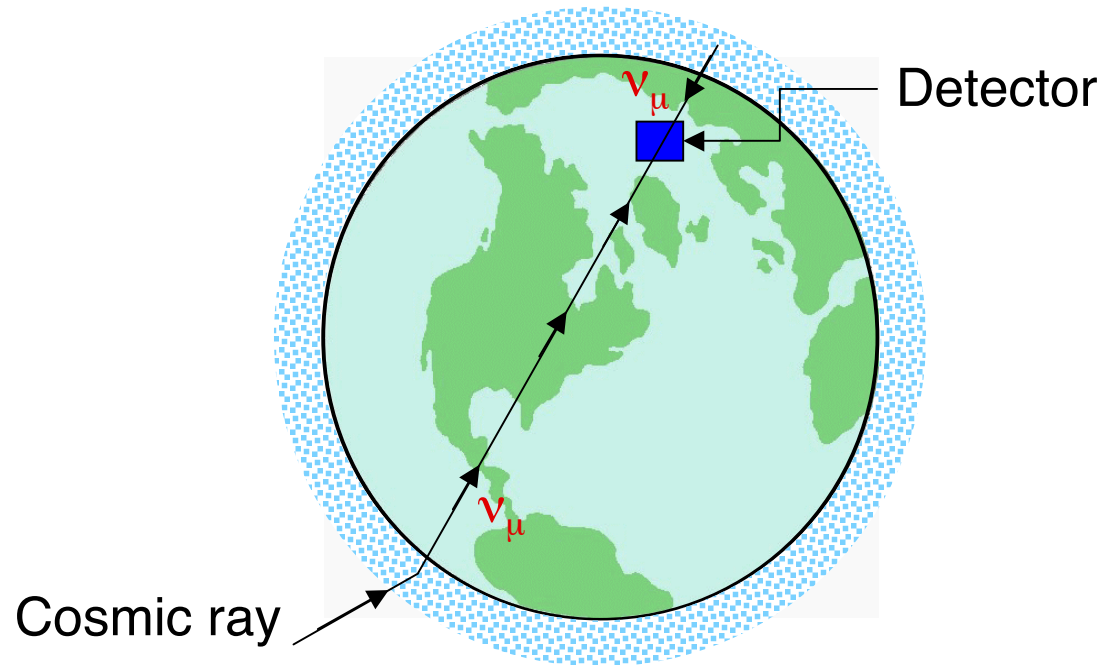
If some of the neutrinos in a beam born as ν_{μ} are turning into ν_{τ} , there must be fewer ν_{μ} left in the beam.

Disappearance of some of the old flavor



Appearance of some of the new flavor

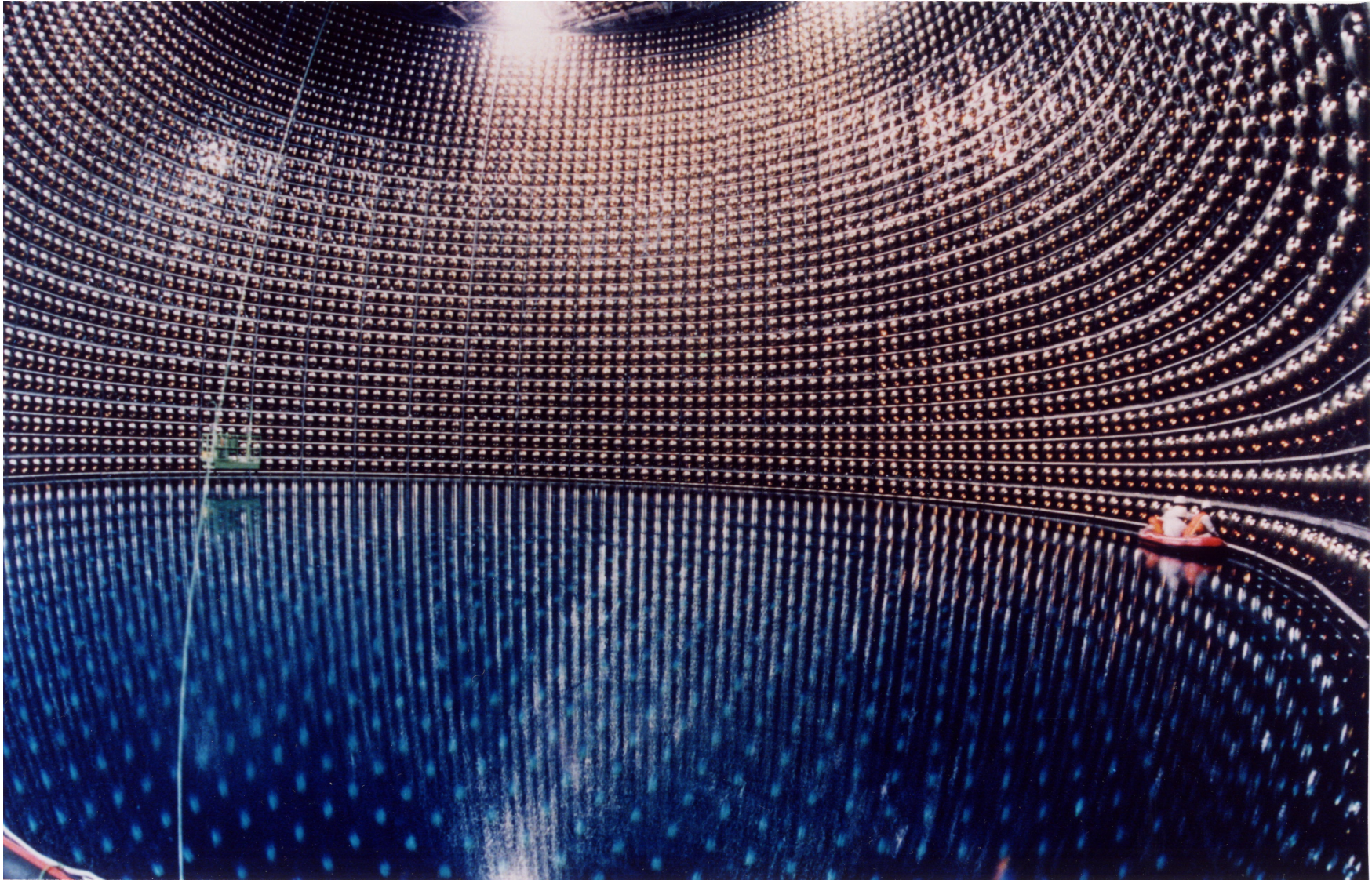
— Atmospheric Neutrinos — The First Compelling Evidence



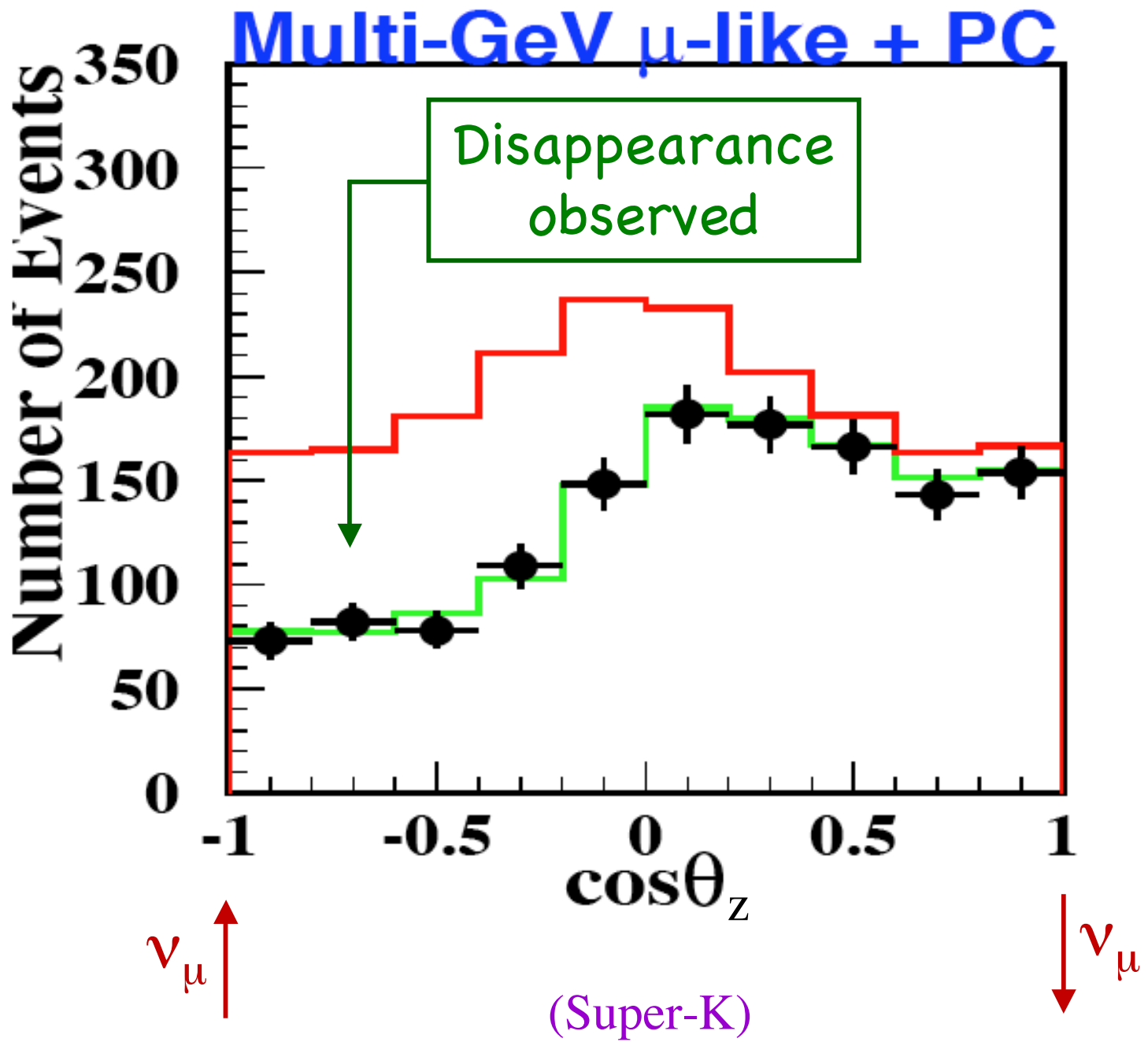
Isotropy of the $\gtrsim 2$ GeV cosmic rays + Gauss' Law + No ν_μ disappearance

$$\Rightarrow \frac{\phi_{\nu_\mu}(\text{Up})}{\phi_{\nu_\mu}(\text{Down})} = 1 .$$

But Super-Kamiokande finds for $E_\nu > 1.3$ GeV, $\frac{\phi_{\nu_\mu}(\text{Up})}{\phi_{\nu_\mu}(\text{Down})} \cong 1/2 .$



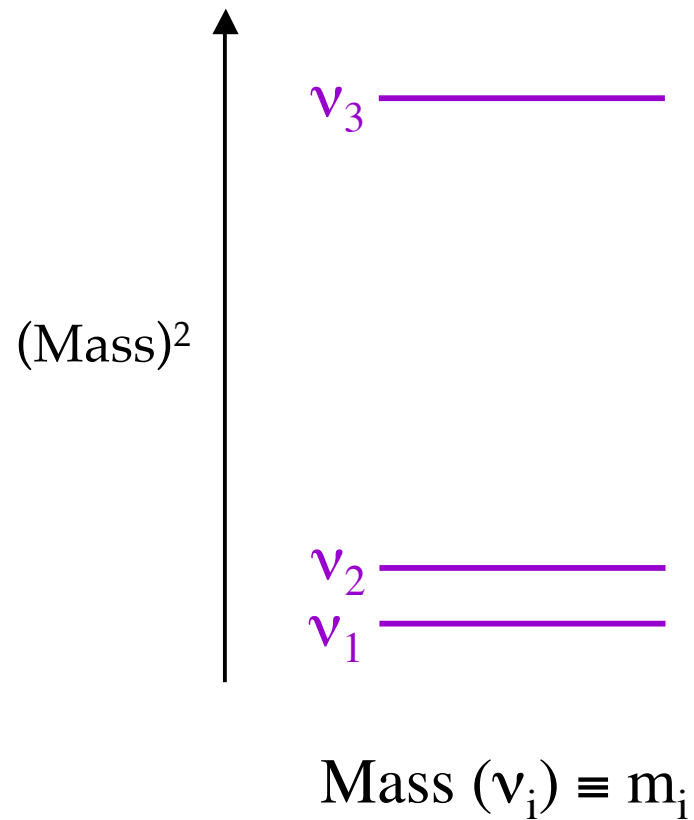
Super-Kamiokande: 50 ktons of water, surrounded by 11k phototubes that detect Cerenkov light from a μ or e



The Physics of Neutrino Oscillation

Flavor Change Requires *Neutrino Masses*

There must be some spectrum of neutrino mass eigenstates ν_i :



Flavor Change Requires *Leptonic Mixing*

The neutrinos $\nu_{e,\mu,\tau}$ of definite flavor

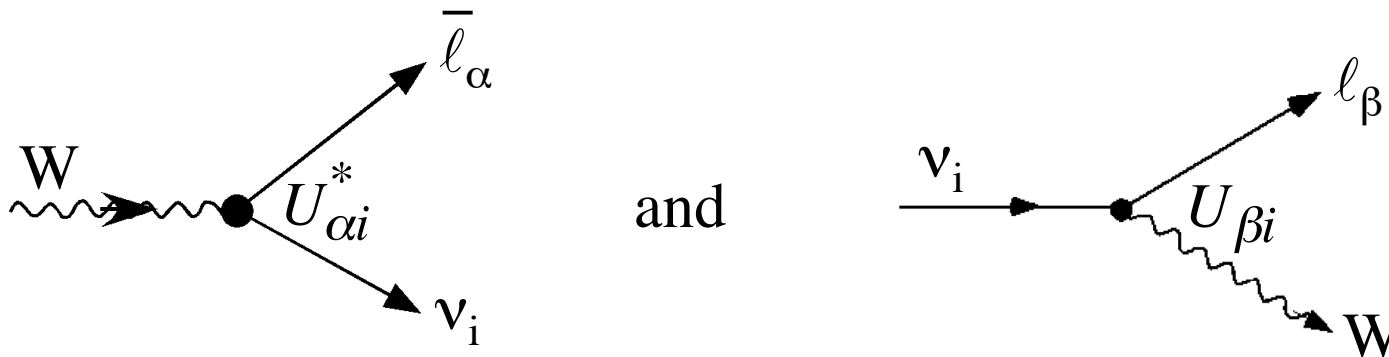
$$(W \rightarrow e\nu_e \text{ or } \mu\nu_\mu \text{ or } \tau\nu_\tau)$$

are **superpositions** of the neutrinos of definite mass:

$$|\nu_\alpha\rangle = \sum_i U^*_{\alpha i} |\nu_i\rangle .$$

Neutrino of flavor
 $\alpha = e, \mu, \text{ or } \tau$

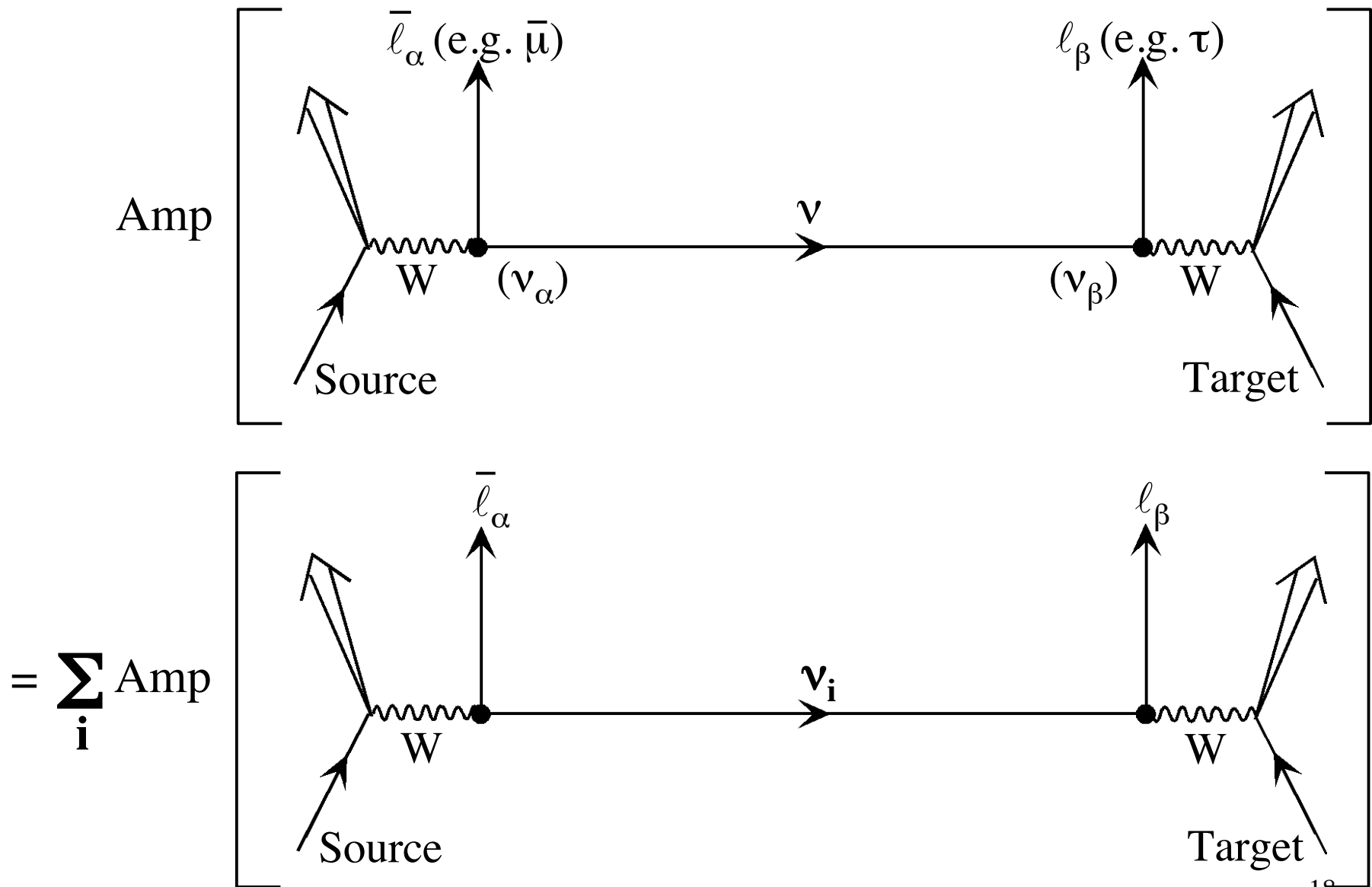
Neutrino of definite mass m_i
Unitary Leptonic Mixing Matrix



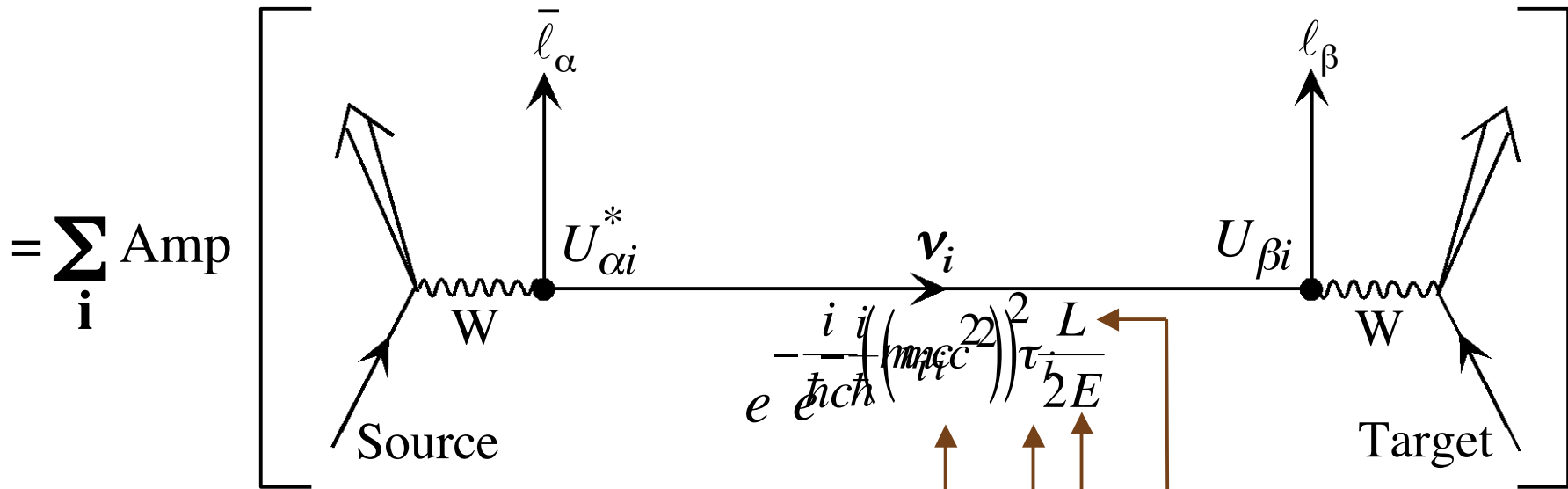
l_α is a charged lepton ($l_e \equiv e, l_\mu \equiv \mu, l_\tau \equiv \tau$).

Neutrino Flavor Change (“Oscillation”)

(Approach of BK and L. Stodolsky)



$$\text{Amp}(v_\alpha \rightarrow v_\beta)$$



$$= \sum_{\mathbf{i}} \text{Amp}$$

$$e^{-\frac{i}{\hbar c} \left((m_i c^2)^2 \frac{L}{2E} \right)}$$

Rest energy Energy Proper time Distance

$$= \sum_i U_{\alpha i}^* e^{-\frac{i}{\hbar c} \left(m_i c^2 \right)^2 \frac{L}{2E}} U_{\beta i}$$

$$P(v_\alpha \rightarrow v_\beta) = \left| \text{Amp}(v_\alpha \rightarrow v_\beta) \right|^2$$

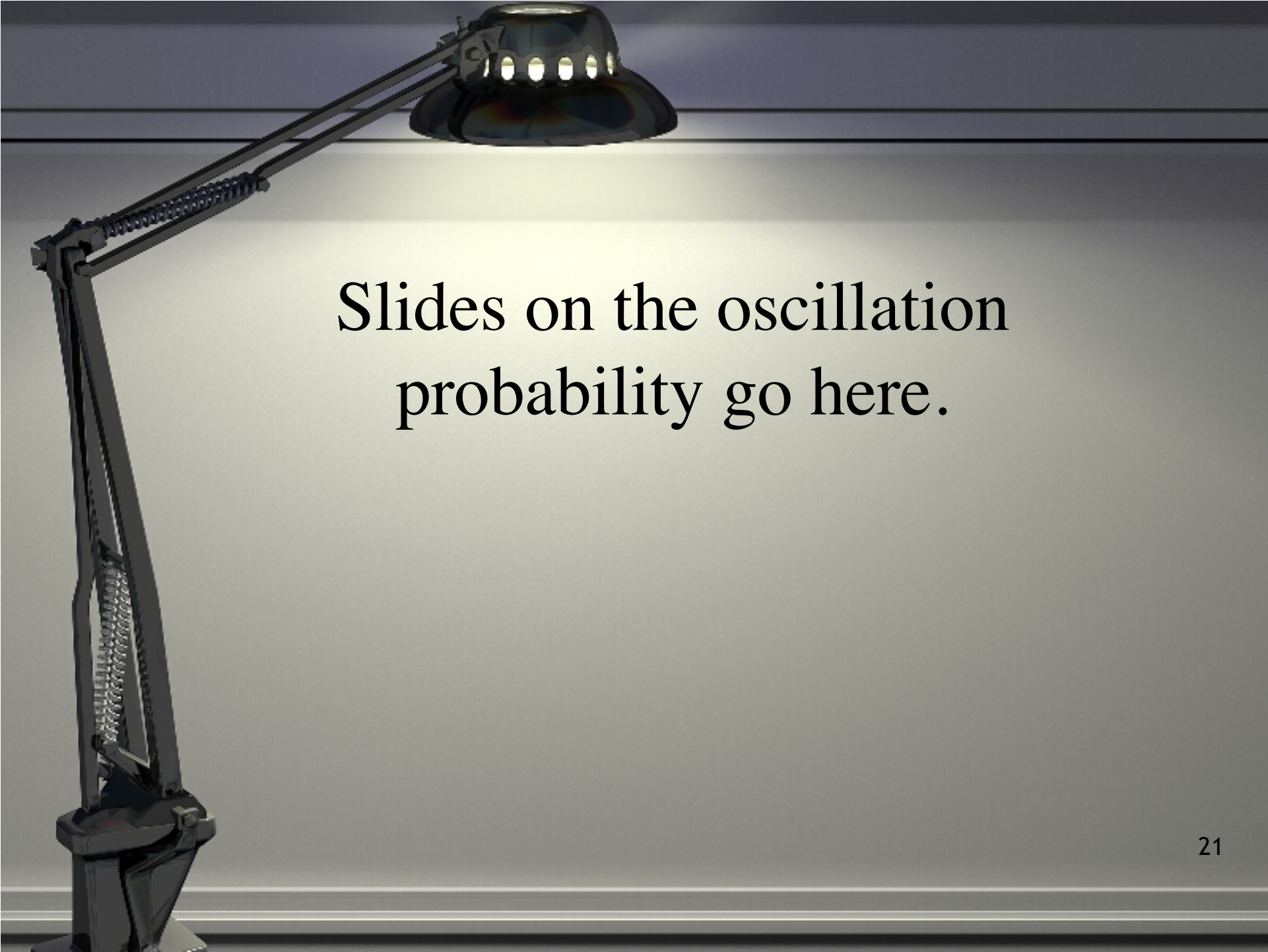
Probability

Why does $e^{-\frac{i}{\hbar}(m_i c^2)\tau_i}$ describe neutrino propagation?

If, in the lab. frame, a neutrino ν of mass m ,
with momentum p and energy E ,
travels a distance L in time t ,
its wave function picks up a factor —

$$\exp\left[\frac{i}{\hbar}(pL - Et)\right] = \exp\left[-\frac{i}{\hbar}(mc^2)\tau\right]$$

By the Lorentz transformation

A desk lamp with a glowing shade, casting light on a white surface. The lamp has a long, adjustable arm and a base. The shade is a dark, dome-like shape with several small, glowing rectangular openings. The light from the lamp illuminates a white surface below it, creating a soft glow. The background is a dark, gradient background.

Slides on the oscillation
probability go here.

Neutrino Flavor Change In Matter



Coherent forward scattering via this W-exchange interaction leads to an extra interaction potential energy —

$$V_W = \begin{cases} +\sqrt{2}G_F N_e, & \nu_e \\ -\sqrt{2}G_F N_e, & \bar{\nu}_e \end{cases}$$

Fermi constant

Electron density

This raises the effective mass of ν_e , and lowers that of $\bar{\nu}_e$.

The fractional importance of matter effects on an oscillation involving a vacuum splitting Δm^2 is —

$$\begin{array}{cc} \text{Interaction} & \text{Vacuum} \\ \text{energy} & \text{energy} \\ \underbrace{\hspace{1.5cm}} & \underbrace{\hspace{1.5cm}} \\ [\sqrt{2}G_F N_e] & / \quad [\Delta m^2 / 2E] \equiv x . \end{array}$$

The matter effect —

- Grows with neutrino energy E
- Is sensitive to $\text{Sign}(\Delta m^2)$
- Reverses when ν is replaced by $\bar{\nu}$

This last is a “fake CP violation”, but the matter effect is negligible when $x \ll 1$.

Evidence For Flavor Change

Neutrinos

Evidence of Flavor Change

Solar
Reactor
(Long-Baseline)


Compelling
Compelling

Atmospheric
Accelerator
(Long-Baseline)

Compelling
Compelling

Accelerator & Reactor
(Short-Baseline)

“Interesting”



**Further
Highlights
of the
History**

Solar Neutrinos

History –

Nuclear reactions in the core of the sun
produce ν_e . Only ν_e .



Theorists, especially **John Bahcall**, calculated the produced ν_e flux vs. energy E .



Ray Davis' Homestake experiment measured the higher-E part of the ν_e flux ϕ_{ν_e} that arrives at earth.

The Homestake experiment could detect only ν_e . It found:

$$\frac{\phi_{\nu_e}(\text{Homestake})}{\phi_{\nu_e}(\text{Theory})} = 0.34 \pm 0.06$$

The Possibilities:

The theory was wrong.

The experiment was wrong.

Both were wrong.

Neither was wrong. Two thirds of the ν_e flux morphs into a flavor or flavors that the Homestake experiment could not see.

The Resolution —

Sudbury Neutrino Observatory (SNO) measures, for the high-energy part of the solar neutrino flux:

$$\nu_{\text{sol}} d \rightarrow e p p \Rightarrow \phi_{\nu_e}$$

$$\nu_{\text{sol}} d \rightarrow \nu n p \Rightarrow \phi_{\nu_e} + \phi_{\nu_\mu} + \phi_{\nu_\tau} \quad (\nu \text{ remains a } \nu)$$

From the two reactions,

$$\frac{\phi_{\nu_e}}{\phi_{\nu_e} + \phi_{\nu_\mu} + \phi_{\nu_\tau}} = 0.301 \pm 0.033$$

Clearly, $\phi_{\nu_\mu} + \phi_{\nu_\tau} \neq 0$. Neutrinos change flavor.

$$P(\nu_e \rightarrow \nu_e) = 0.3$$

Change of flavor does not change the total number of neutrinos.

The total flux, $\phi_{\nu_e} + \phi_{\nu_\mu} + \phi_{\nu_\tau}$, should agree with Bahcall's prediction.

$$\text{SNO: } \phi_{\nu_e} + \phi_{\nu_\mu} + \phi_{\nu_\tau} = (5.54 \pm 0.32 \pm 0.35) \times 10^6/\text{cm}^2\text{sec}$$

$$\text{Theory*}: \quad \phi_{\text{total}} = (5.69 \pm 0.91) \times 10^6/\text{cm}^2\text{sec}$$

*Bahcall, Basu, Serenelli

John Bahcall and Ray Davis both stuck to their results for several decades, and both were *right* all along.



KamLAND Evidence for Oscillatory Behavior

The **KamLAND** detector studied $\bar{\nu}_e$ produced by Japanese nuclear power reactors ~ 180 km away.

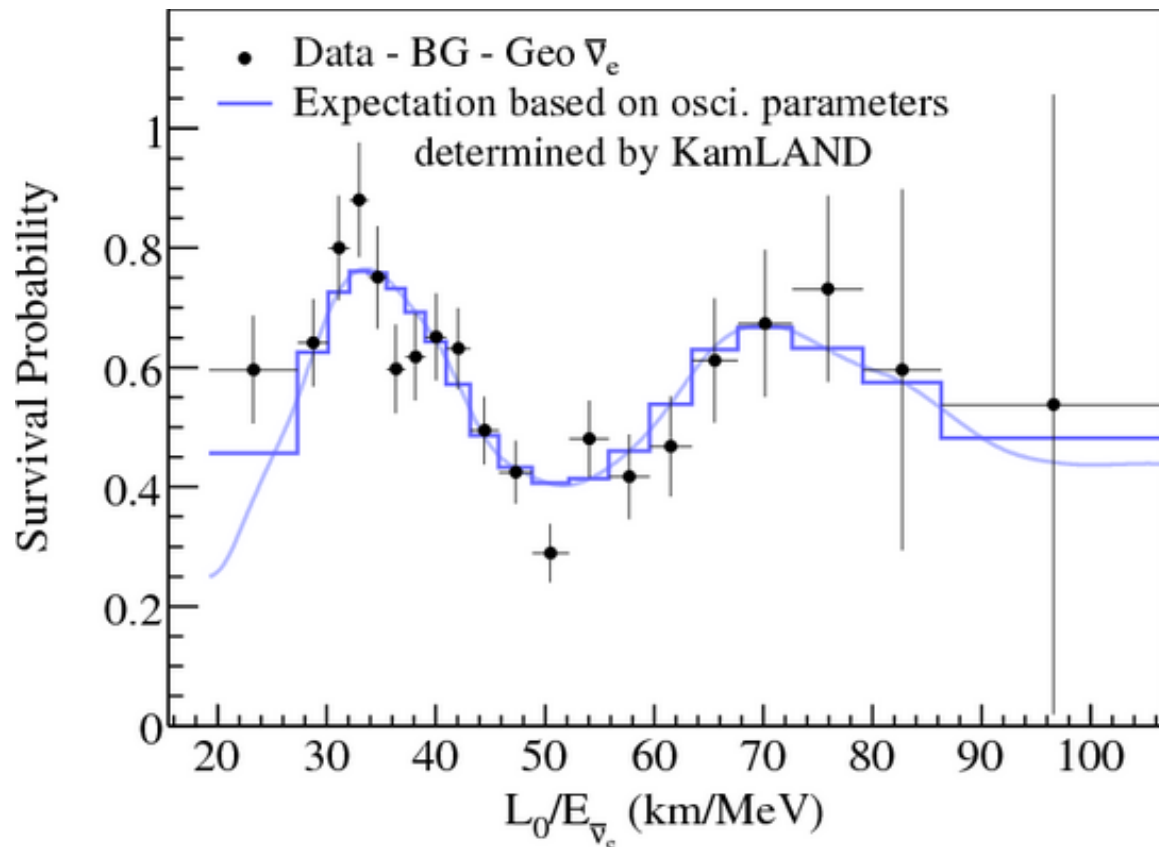
For **KamLAND**, $x_{\text{Matter}} < 10^{-2}$. Matter effects were negligible.

The $\bar{\nu}_e$ survival probability, $P(\bar{\nu}_e \rightarrow \bar{\nu}_e)$, should **oscillate** as a function of L/E following the vacuum oscillation formula.

In the two-neutrino approximation, we expect —

$$P(\bar{\nu}_e \rightarrow \bar{\nu}_e) = 1 - \sin^2 2\theta \sin^2 \left[1.27 \Delta m^2 (eV^2) \frac{L(km)}{E(GeV)} \right].$$

Survival
probability
 $P(\bar{\nu}_e \rightarrow \bar{\nu}_e)$
of reactor $\bar{\nu}_e$



$L_0 = 180$ km is a flux-weighted average travel distance.

$P(\bar{\nu}_e \rightarrow \bar{\nu}_e)$ actually oscillates!

The End — Part 1