

# AWAKE Design Report

## A Proton-Driven Plasma Wakefield Acceleration Experiment at CERN

AWAKE Collaboration



Allen Caldwell  
Max-Planck-Institut für Physik



# Outline

1. Brief Motivation
2. Proton-driven plasma wakefield acceleration
3. Self-modulation approach
4. Outline of proposed experiment
5. What we will measure
6. Proposed location
7. Required resources from CERN
8. Responsibilities & resources of other participating institutes
9. Timeline & outlook

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# Properties of the Interactions

The strengths of the interactions (forces) are shown relative to the strength of the electromagnetic force for two u quarks separated by the specified distances.

Property	Gravitational Interaction	Weak Interaction (Electroweak)	Electromagnetic Interaction	Strong Interaction
Acts on:	Mass – Energy	Flavor	Electric Charge	Color Charge
Particles experiencing:	All	Quarks, Leptons	Electrically Charged	Quarks, Gluons
Particles mediating:	Graviton (not yet observed)	$W^+$ $W^-$ $Z^0$	$\gamma$	Gluons
Strength at $\begin{cases} 10^{-18} \text{ m} \\ 3 \times 10^{-17} \text{ m} \end{cases}$	$10^{-41}$ $10^{-41}$	$0.3$ $0.4$	1	25 60

## FERMIONS matter constituents

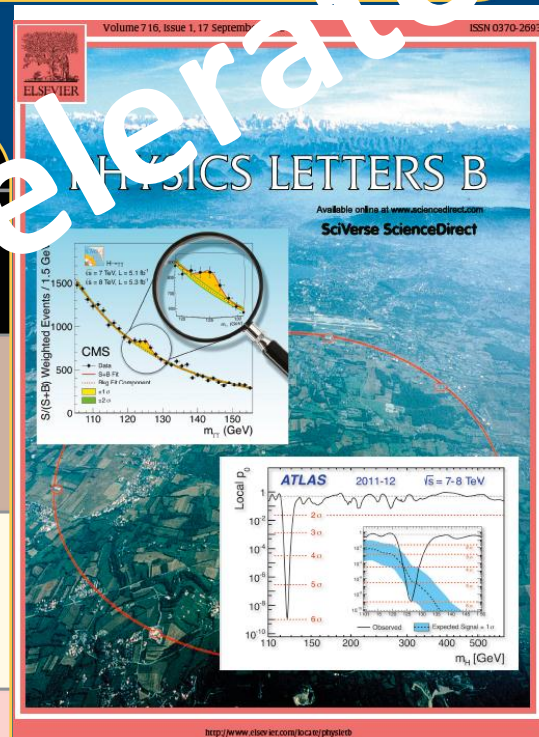
spin = 1/2, 3/2, 5/2, ...

### Leptons spin = 1/2

Flavor	Mass $\text{GeV}/c^2$	Electric charge
$\nu_L$ lightest neutrino*	$(0-0.13) \times 10^{-9}$	0
$e$ electron	0.000511	-1
$\nu_M$ middle neutrino*	$(0.009-0.13) \times 10^{-9}$	0
$\mu$ muon	0.106	-1
$\nu_H$ heaviest neutrino*	$(0.04-0.4) \times 10^{-9}$	0
$\tau$ tau	1.777	-1

### Quarks spin = 1/2

Flavor	Approx. Mass $\text{GeV}/c^2$	Electric charge
$u$ up	0.002	2/3
$d$ down	0.005	-1/3
$c$ charm	1.3	2/3
$s$ strange	0.1	-1/3
$t$ top	173	2/3
$b$ bottom	4.2	-1/3





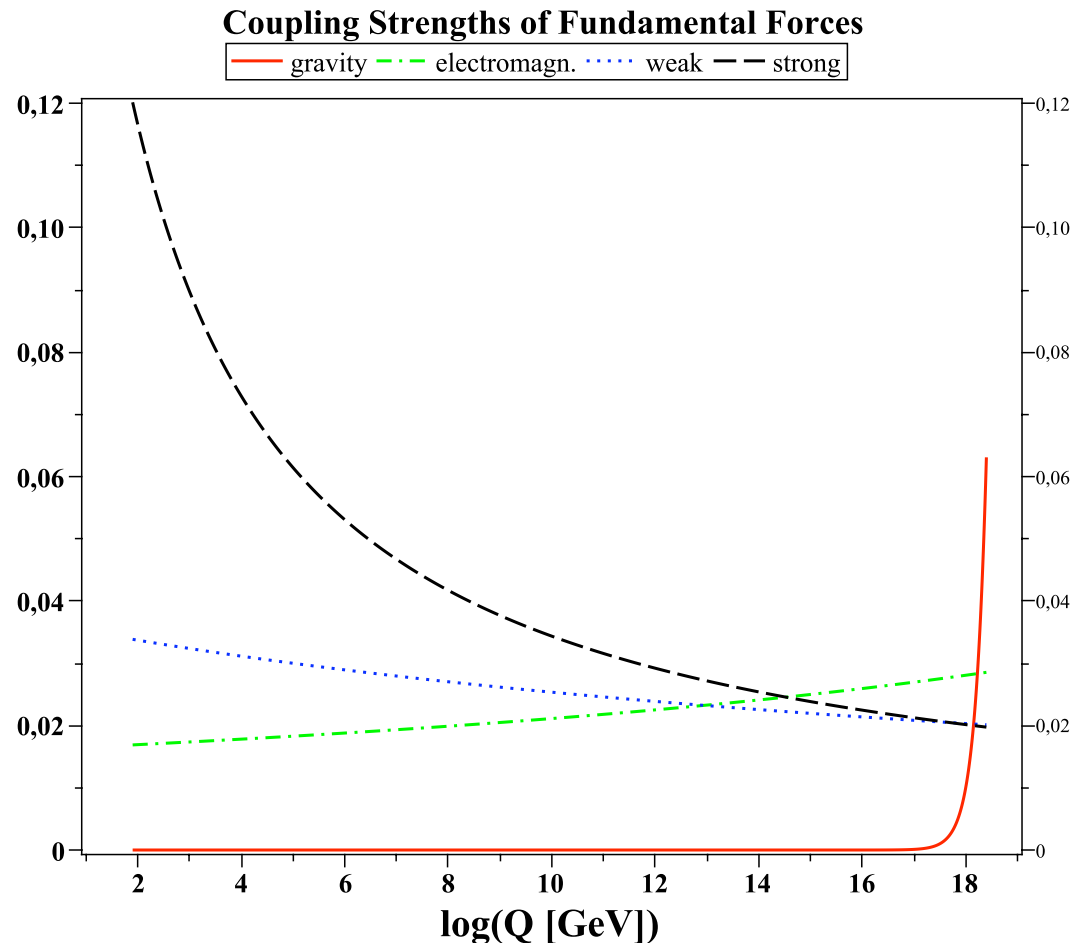
Particle physicists are convinced there are more discoveries to come:

Many things not explained in the standard model:

- why three families
- matter/antimatter imbalance
- neutrinos and neutrino mass
- hierarchy problem/unification
- dark matter
- dark energy
- ...

Need to find ways to explore physics at higher energy scales in a laboratory environment.

New acceleration technology !



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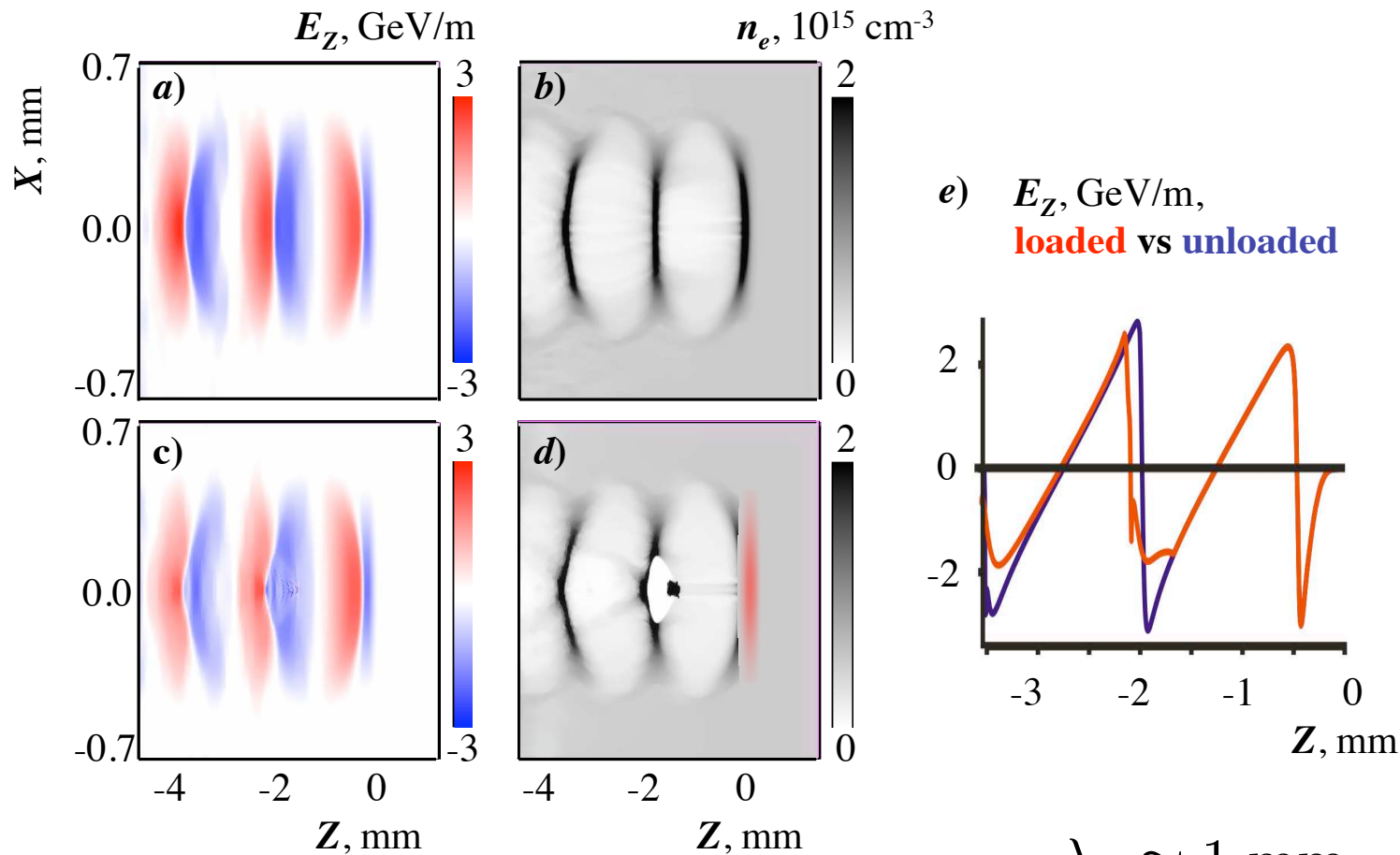
# Plasma Wakefield Acceleration

Original proposal (T. Tajima, J. W. Dawson Phys. Rev. Lett. **43** (1979) 267) considered laser acceleration (LWFA). Impressive steps taken in recent years as lasers have become more and more powerful. Gradients ca 100 GV/m demonstrated.

Series of experiments at SLAC using electron beams (PWFA) demonstrated that beam driven wakefield acceleration (P. Chen et al., Phys. Rev. Lett. **54** (1985) 693) is also a very attractive option. Gradients 50 GV/m demonstrated.

Our plan – use protons bunches to drive the wakefields.

# Plasma Wakefield Acceleration



$$\lambda_p \approx 1 \text{ mm} \sqrt{\frac{1 \cdot 10^{15} \text{ cm}^{-3}}{n_p}}$$

Size of accelerator structure set by plasma density

# Why Proton-Driven Wakefield Acceleration

Both laser-driven and electron-bunch driven acceleration will require many stages to reach the TeV scale.

We know how to produce high energy protons (many TeV) in bunches with population  $> 10^{11}$ /bunch today, so if we can use protons to drive a wakefield we can have a simpler arrangement - single stage acceleration.

Linear regime ( $n_b < n_0$ ):

$$E_{z,\max} \approx 2 \text{ GeV/m} \cdot \left( \frac{N_b}{10^{10}} \right) \cdot \left( \frac{100 \text{ } \mu\text{m}}{\sigma_z} \right)^2$$

Need very short proton bunches for strong gradients. Today's proton beams have

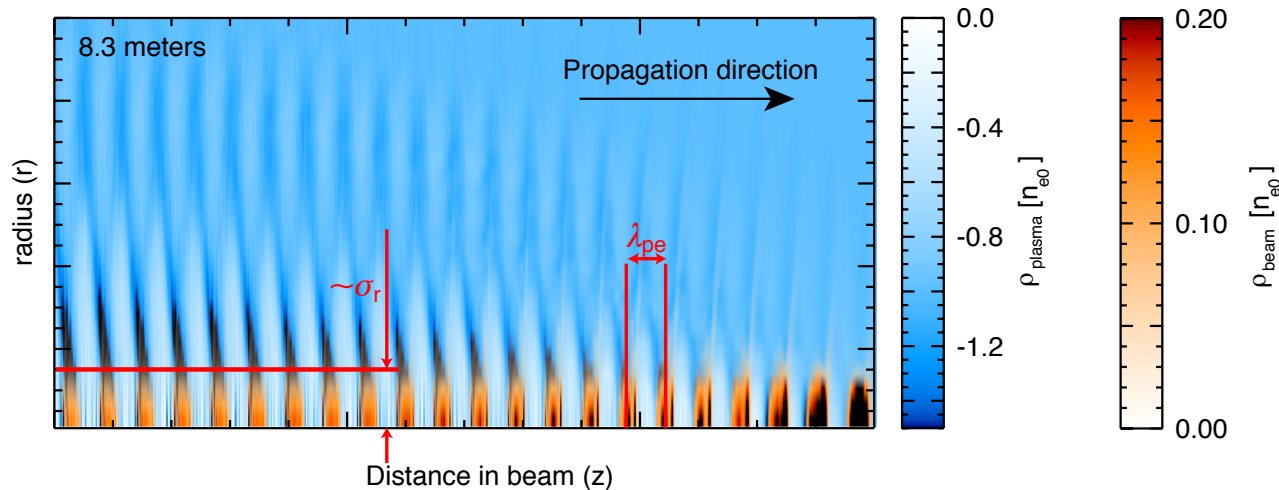
$$\sigma_z \approx 10 - 30 \text{ cm}$$

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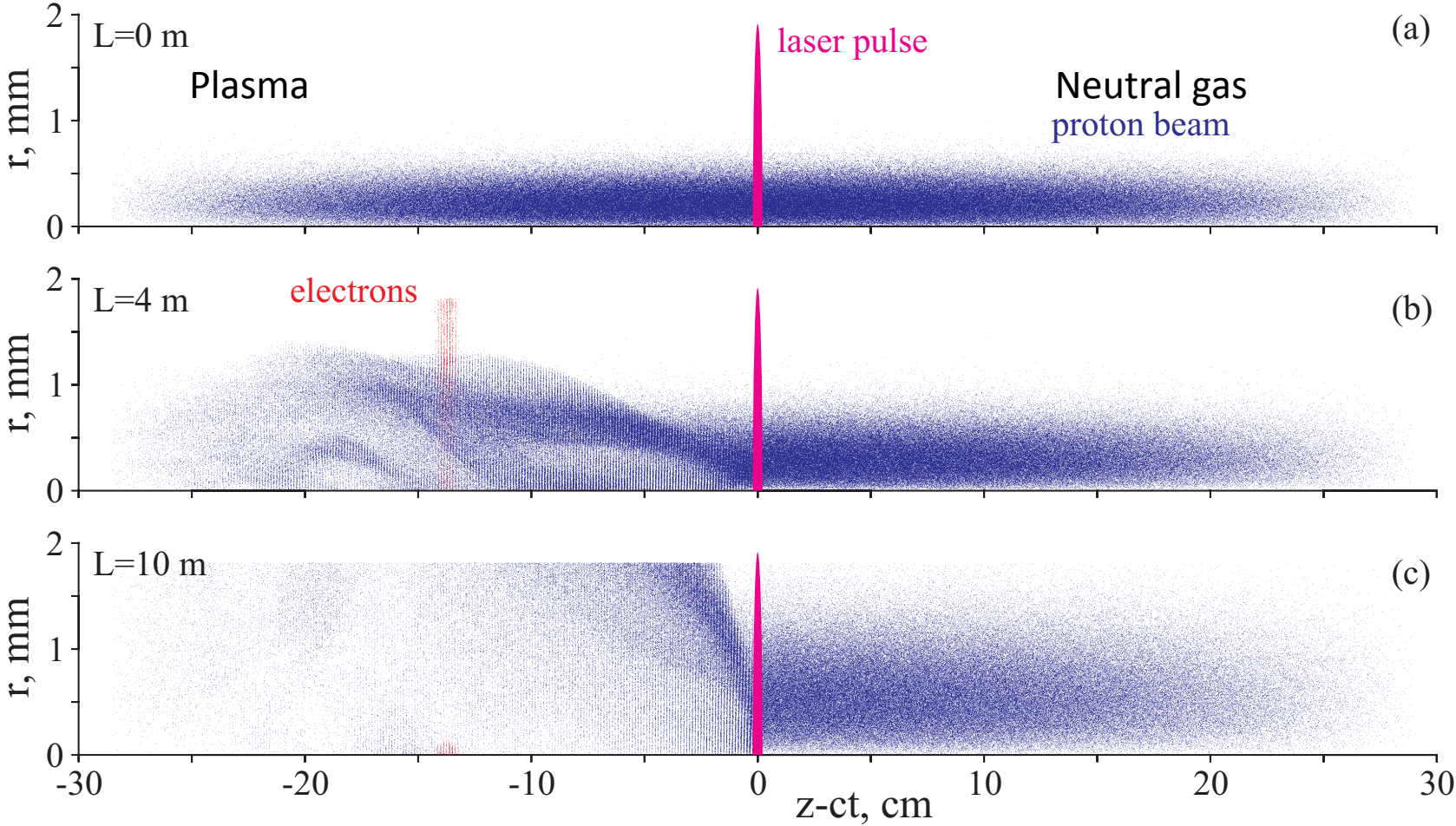
# PWA via Modulated Proton Beam

Producing short proton bunches not possible today w/o major investment. Instead, modulate a long (SPS) bunch



Microbunches are generated by a transverse modulation of the bunch density (transverse two-stream instability). Naturally spaced at the plasma wavelength, and resonantly drive wakefields to large amplitudes. (N. Kumar, A. Pukhov, and K. V. Lotov, Phys. Rev. Lett. **104**, 255003 (2010)).

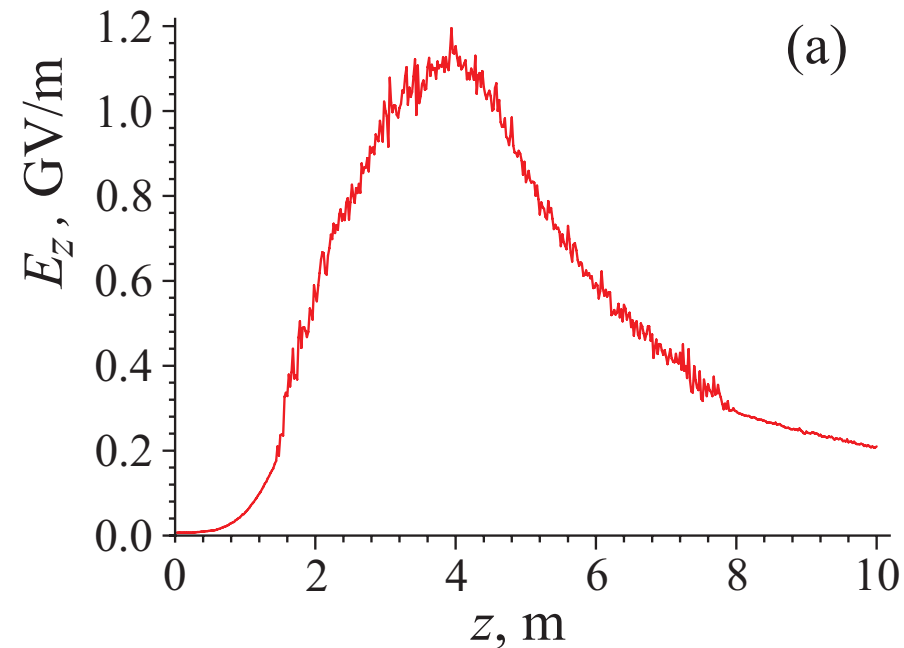
The modulation process develops over a distance of several meters. The wake phase velocity and strength of field vary



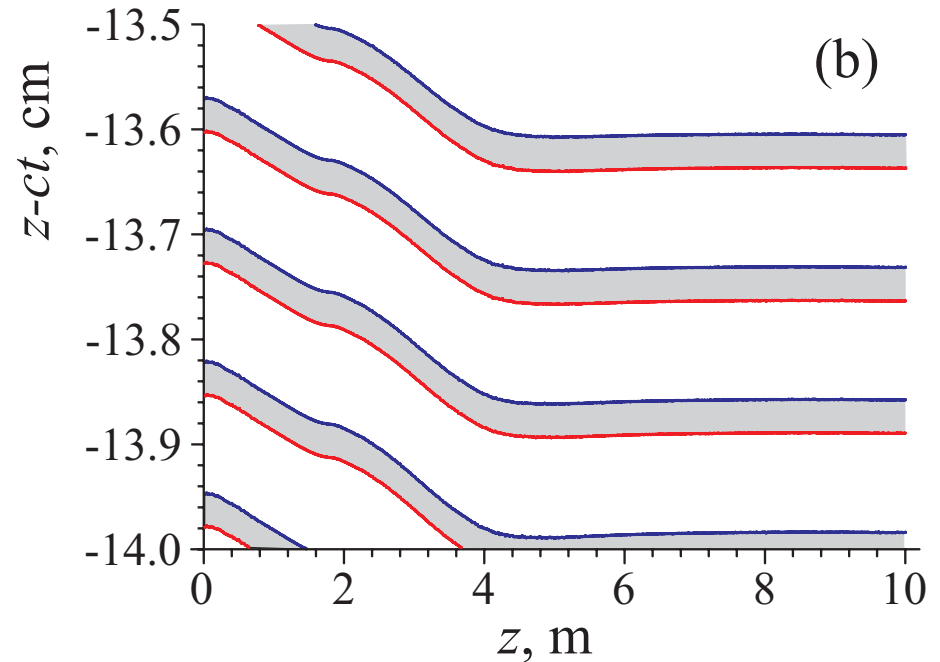
Using the same laser pulse for the electron photoinjector allows for precise phasing of the electron bunch and proton microbunches.



Strength of E-field versus propagation distance for nominal SPS parameters



Location of maximum acceleration phase versus propagation distance

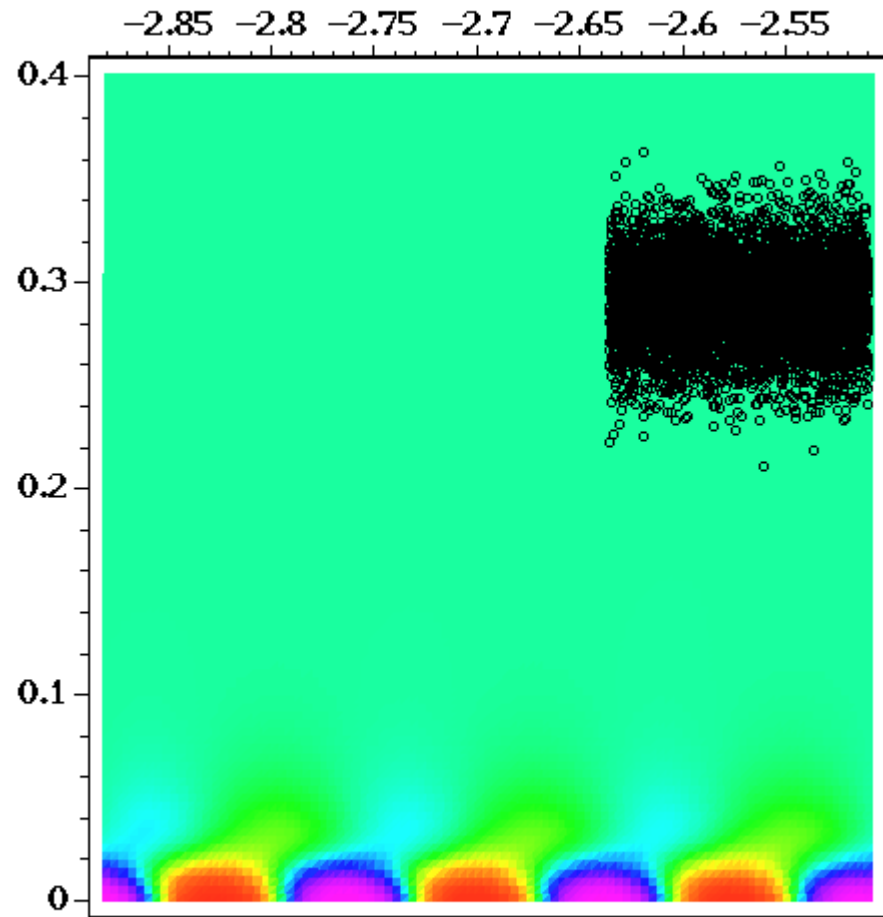


Need to inject electrons in region of constant phase velocity ( $=c$ )

Can freeze high-field with plasma density step ... (see later)

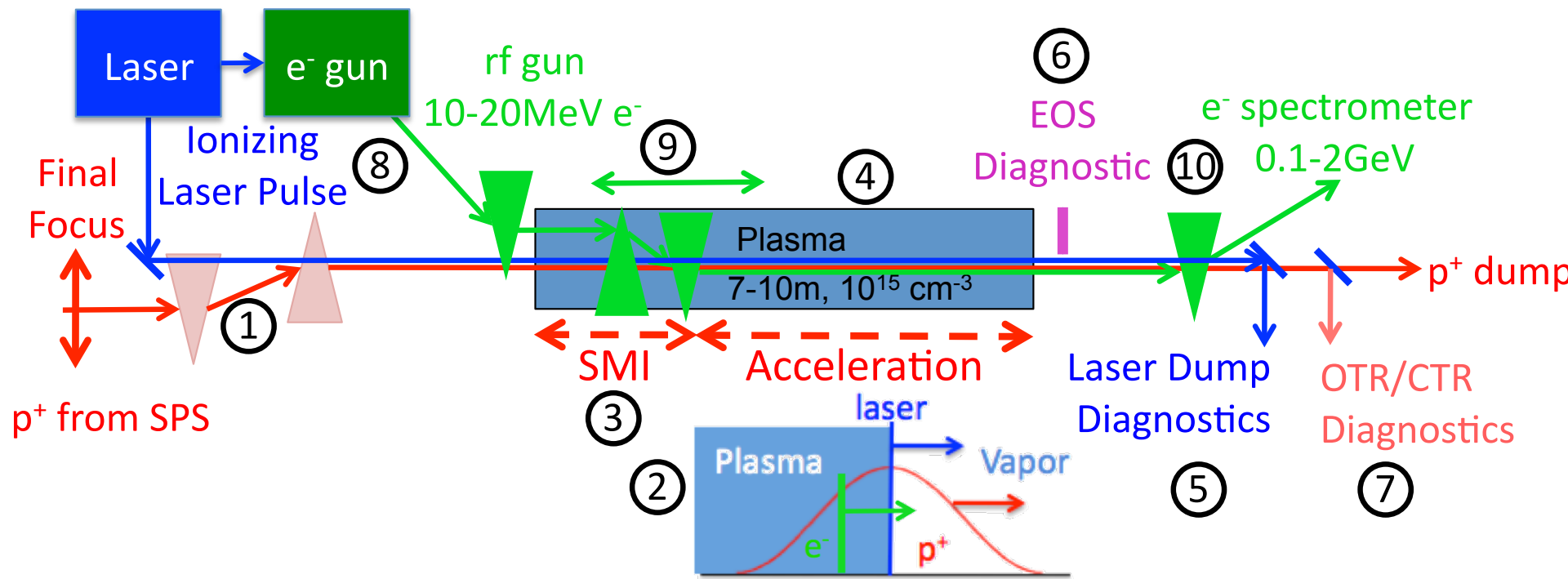
Electron injection needs to occur after modulation has completed. For single plasma cell experiment, we achieve this using side-injection.

Simulations indicate can capture up to 40% of electron bunch this way.



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1. Merging of SPS proton beam & ionizing/seeding laser pulse
2. Schematic relative timing
3. SMI developing, electron bunch parallel to proton bunch
4. Acceleration sections
5. Laser pulse dumped & diagnosed
6. Electro-optical sampling diagnostic
7. Transition radiation diagnostics
8. RF electron gun
9. e/p bunch merging section
10. Electron spectrometer system

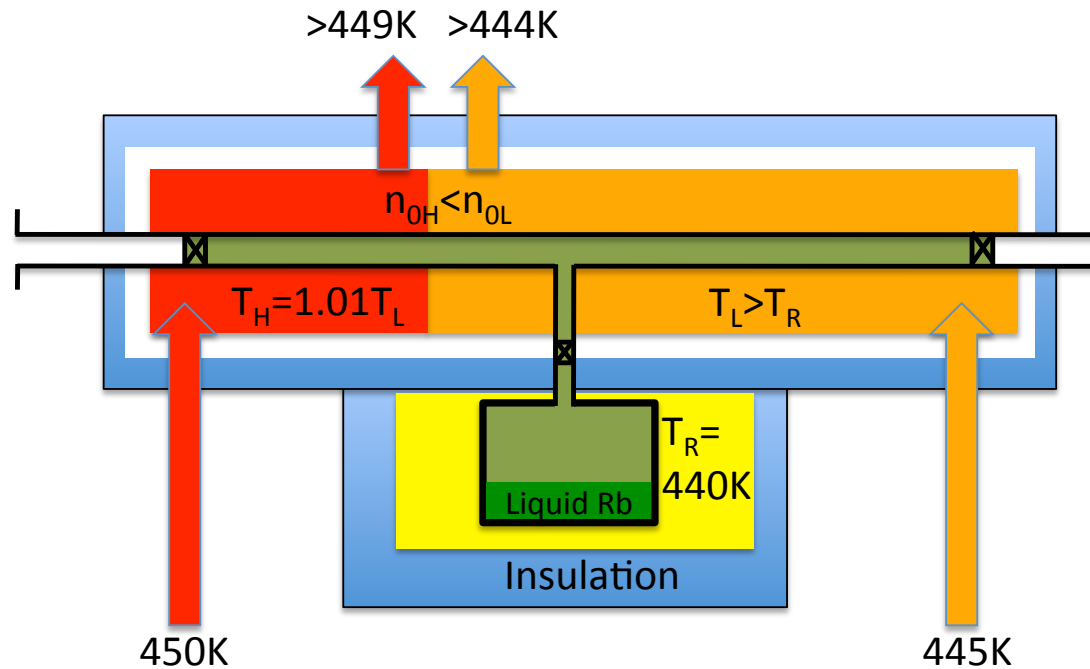
Table 1: Baseline parameters of the AWAKE experiment.

Parameter & notation	Value
Plasma density, $n_e$	$7 \times 10^{14} \text{ cm}^{-3}$
Plasma ion-to-electron mass ratio (rubidium), $M_i$	157 000
Proton bunch population, $N_b$	$3 \times 10^{11}$
Proton bunch length, $\sigma_z$	12 cm
Proton bunch radius, $\sigma_r$	0.02 cm
Proton energy, $W_b$	400 GeV
Proton bunch relative energy spread, $\delta W_b/W_b$	0.35%
Proton bunch normalized emittance, $\epsilon_{bn}$	3.5 mm mrad
Electron bunch population, $N_e$	$1.25 \times 10^9$
Electron bunch length, $\sigma_{ze}$	0.25 cm
Electron bunch radius at injection point, $\sigma_{re}$	0.02 cm
Electron energy, $W_e$	16 MeV
Electron bunch normalized emittance, $\epsilon_{en}$	2 mm mrad
Injection angle for electron beam, $\phi$	9 mrad
Injection delay relative to the laser pulse, $\xi_0$	13.6 cm
Intersection of beam trajectories, $z_0$	3.9 m

# Plasma Requirements

- length  $L \approx 10$  m.
- radius  $R_p$  larger than approximately three proton bunch rms radii or  $\approx 1$  mm.
- density  $n_e$  within the  $10^{14} - 10^{15} \text{ cm}^{-3}$  range.
- density uniformity  $\delta n_e/n_e$  on the order of 0.2% or better.
- reproducible density.
- gas/vapor easy to ionize.
- allow for seeding of the SMI.
- high- $Z$  gases to avoid background plasma ion motion [25].

**Choice for first experiments: Rubidium vapor cell**

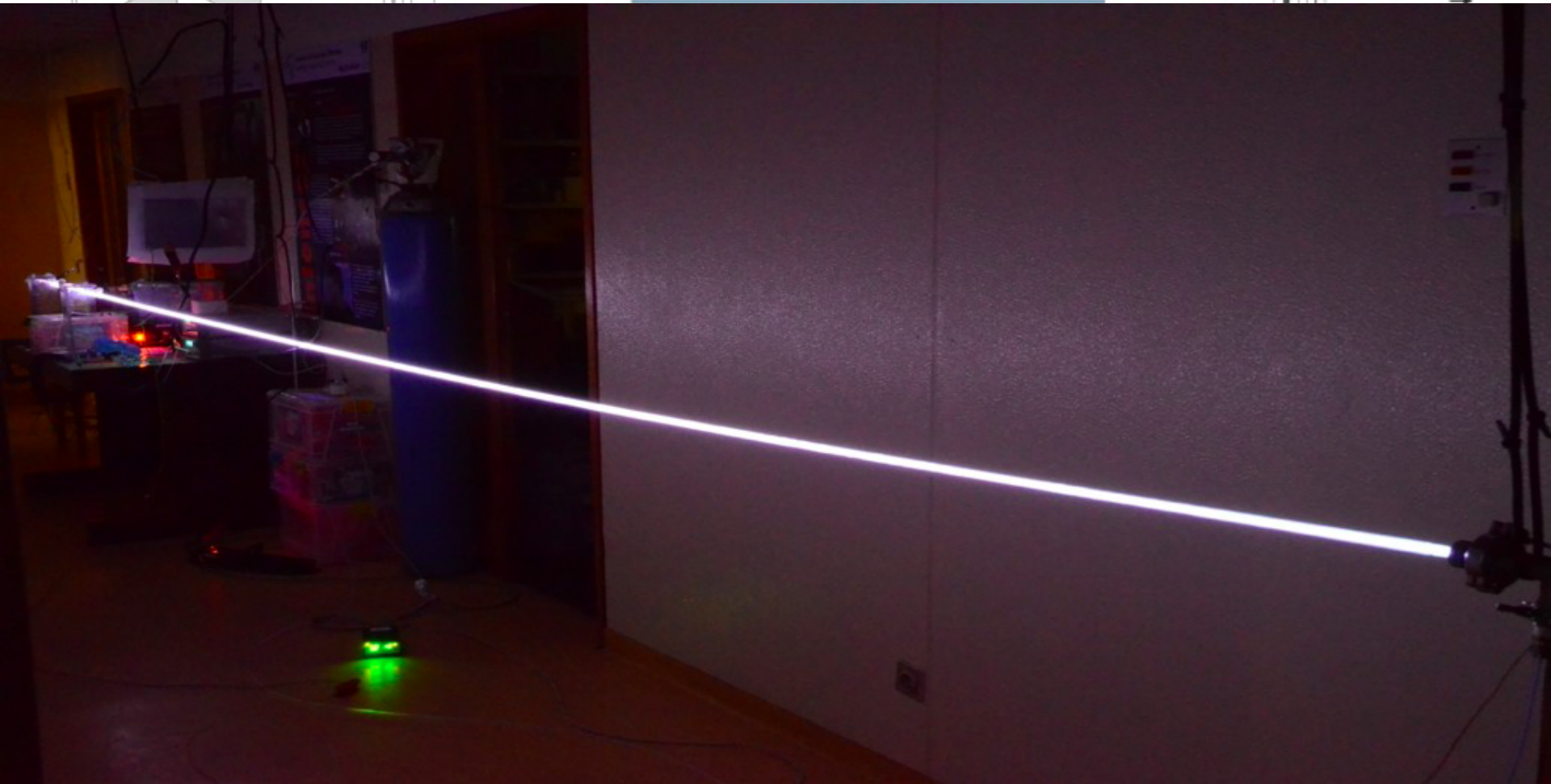


Delevoped at MPP

- Density uniformity set by temperature uniformity of neutral vapor. Fraction of a degree achievable using oil bath
- Rubidium vapor sources available commercially
- Valve development started with industry

# Discharge Cell

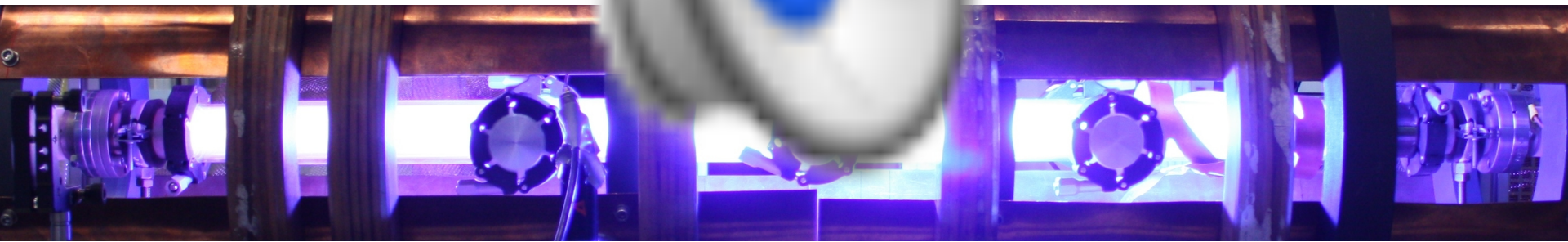
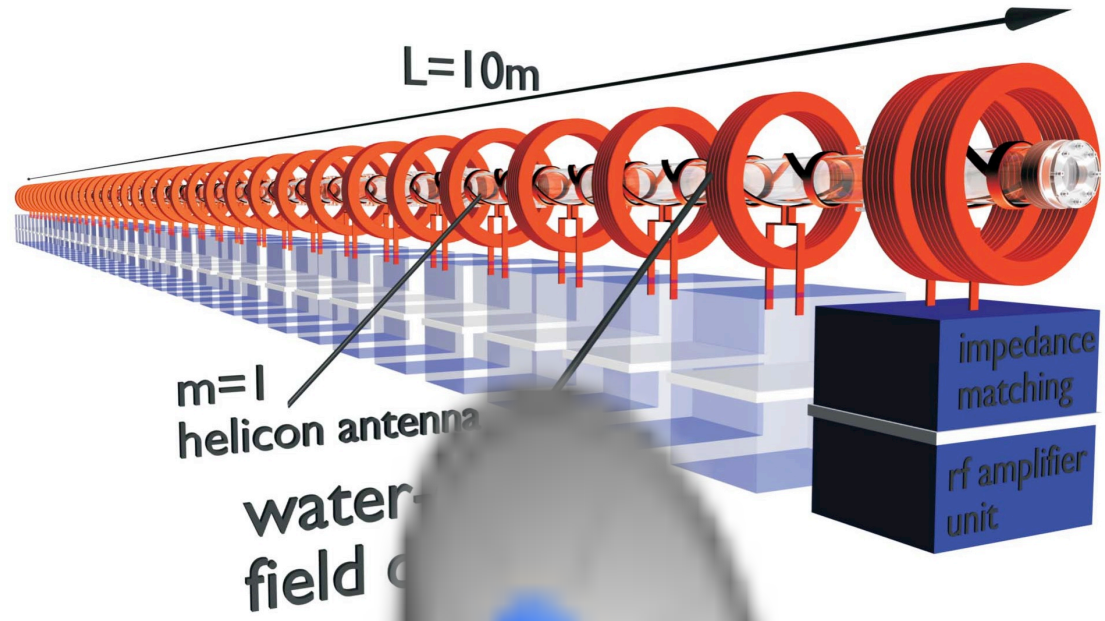
(Instituto Superior Tecnico, Lisboa and Imperial College, London)





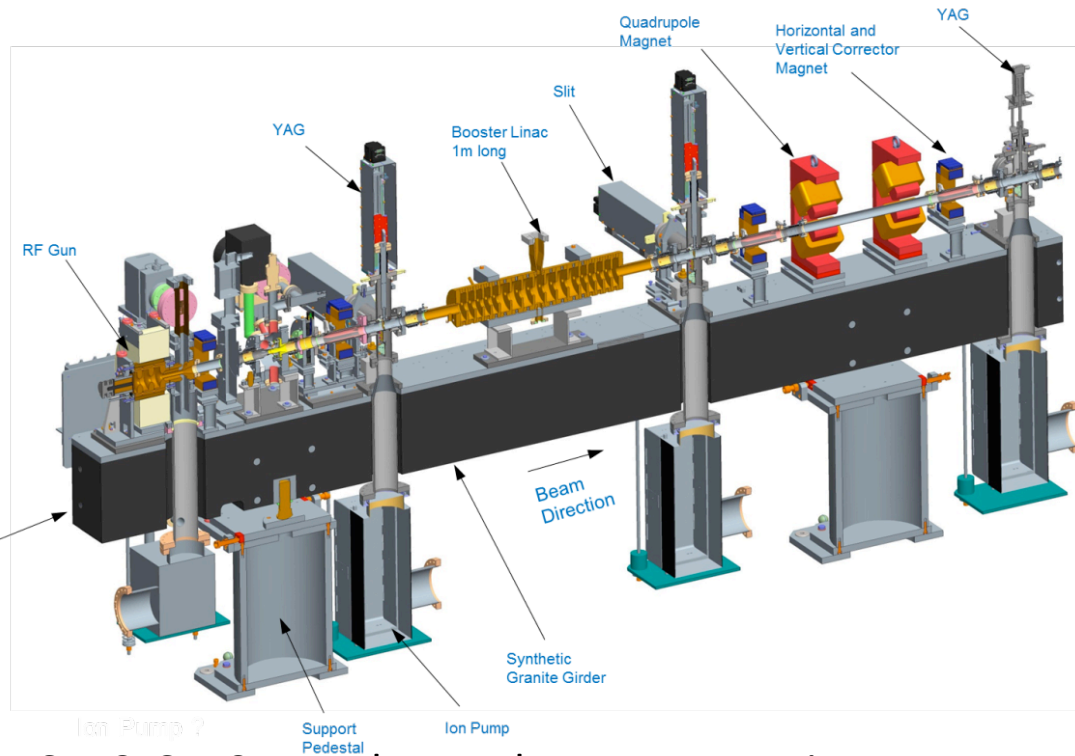
# R&D for long, uniform cells

Helicon Cell  
(Max Planck  
Institute for Plasma  
Physics)



1 meter prototype at the IPP in Greifswald.

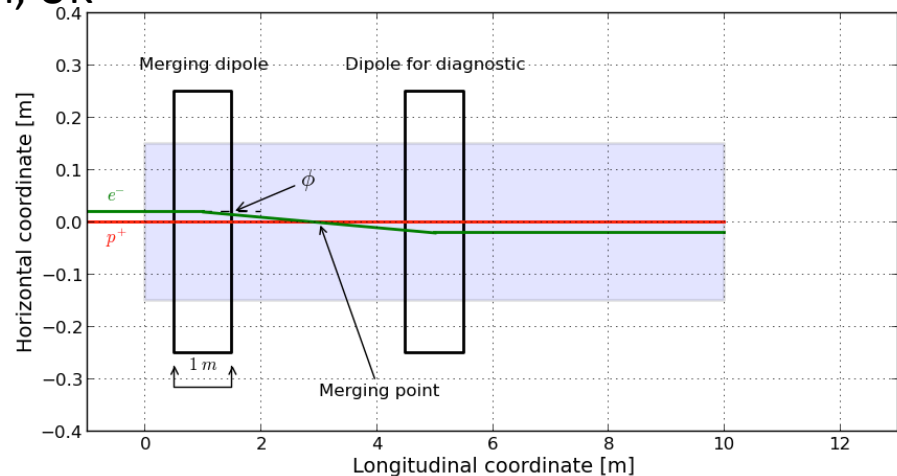
# Electron Source



Parameter	Nominal value
Beam Energy	10 – 20 MeV
Energy Spread (rms)	< 1%
Bunch Length	0.3 – 10 ps
Laser / RF Synchronization	0.1 ps
Synchronization to Experiment	0.1 ps
Free Repetition Rate	10 Hz
Synchronized Repetition Rate	0.03 Hz
Focused Transverse Size	< 250 $\mu\text{m}$
Angular Divergence	< 3 mrad
Normalized Emittance	0.5 mm mrad
Bunch Charge	1 – 1000 pC

ASTeC, STFC Daresbury Laboratory, Warrington, UK

Merging of electron bunch with proton bunch achieved with dipoles around plasma cell.

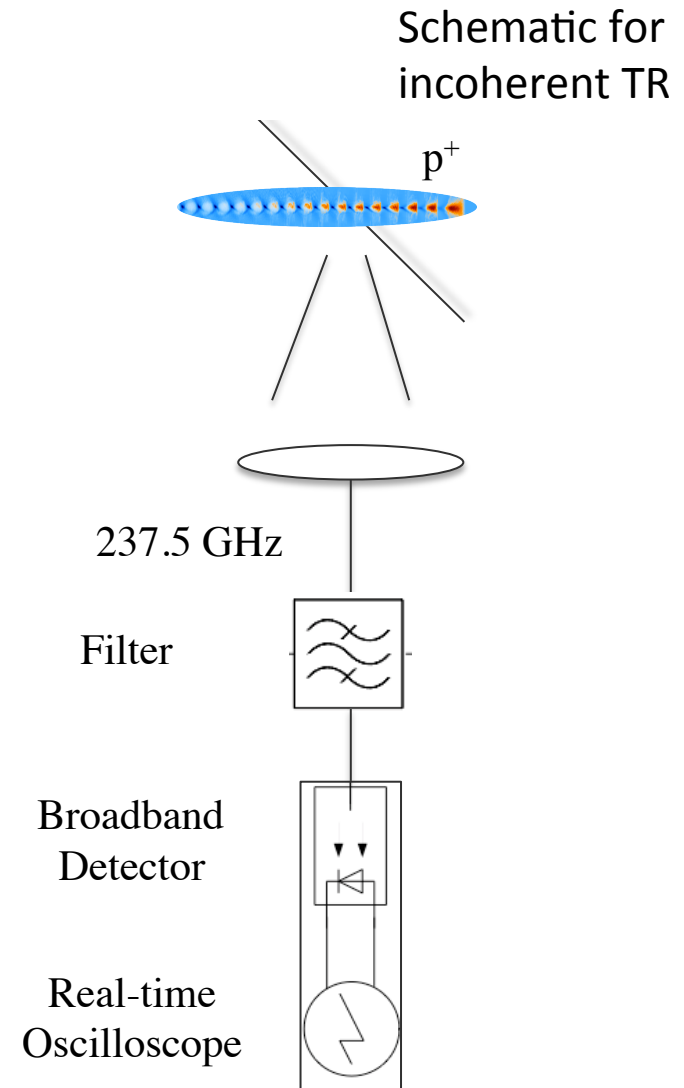


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# Measurement Plan

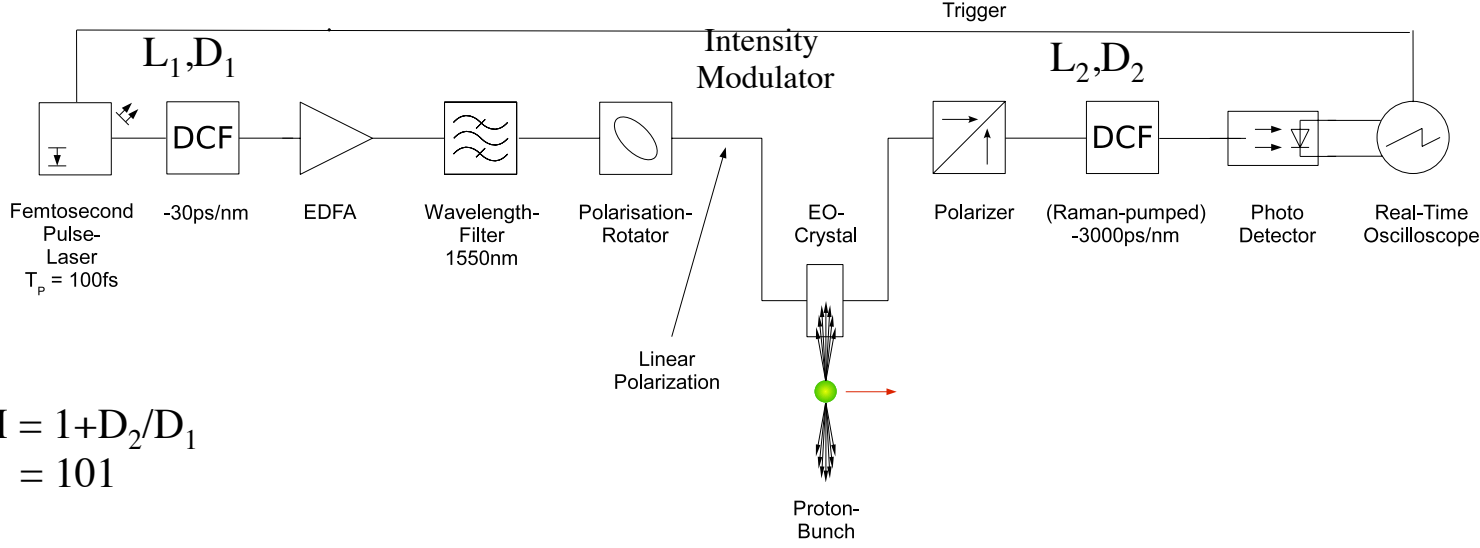
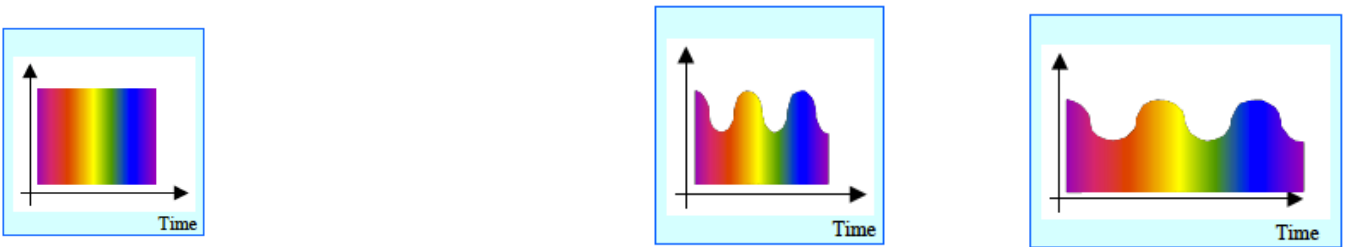
1. After commissioning the proton beam and plasma cell, start with demonstration of modulation of proton bunch.
  - a. OTR to demonstrate increase in transverse bunch size
  - b. Resolve radius modulation along bunch with streak camera
  - c. Coherent transition radiation at modulation frequency
  - d. Electro-optical sampling for direct field measurement
  - e. Transverse CTR – distinguish SMI from hosing



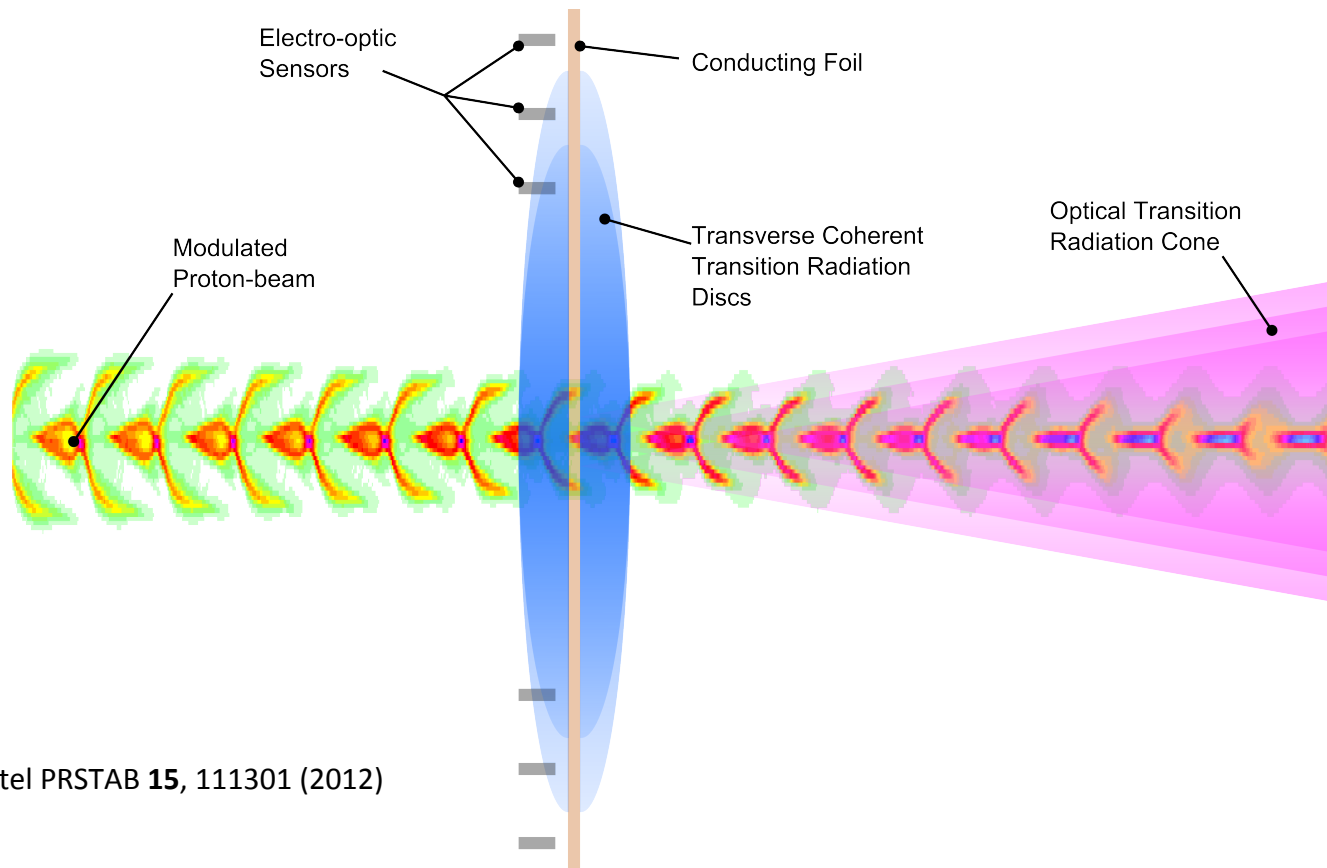
(Developed at MPP)

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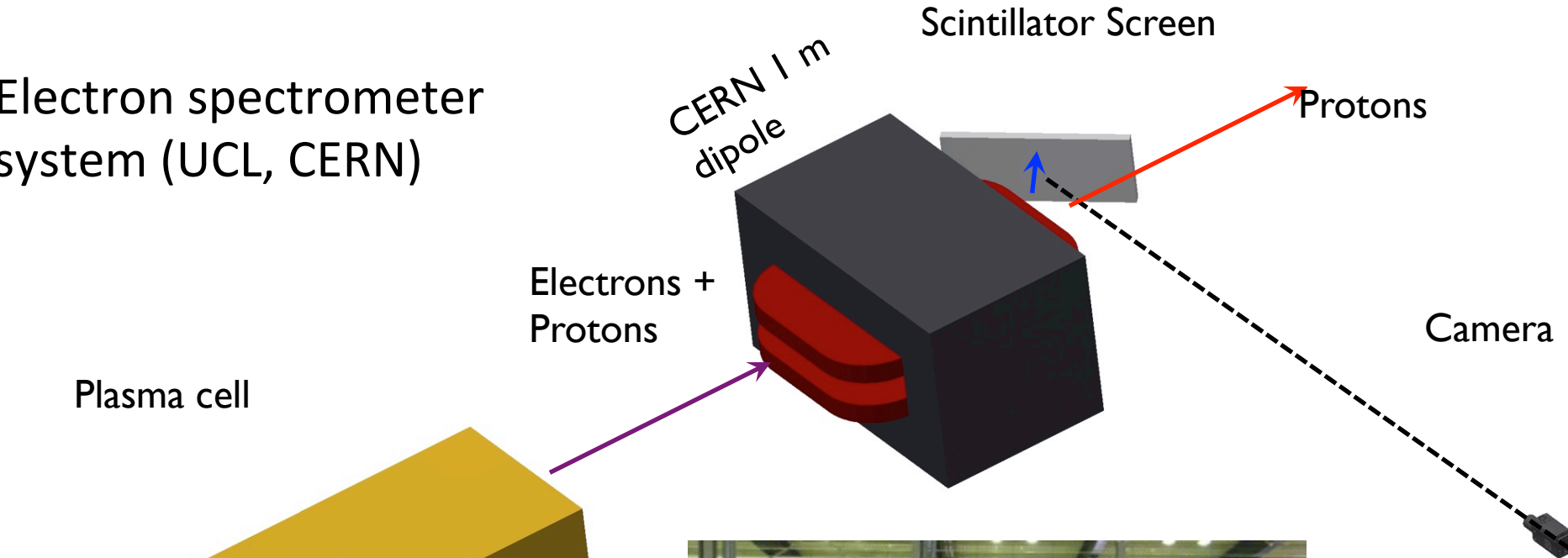


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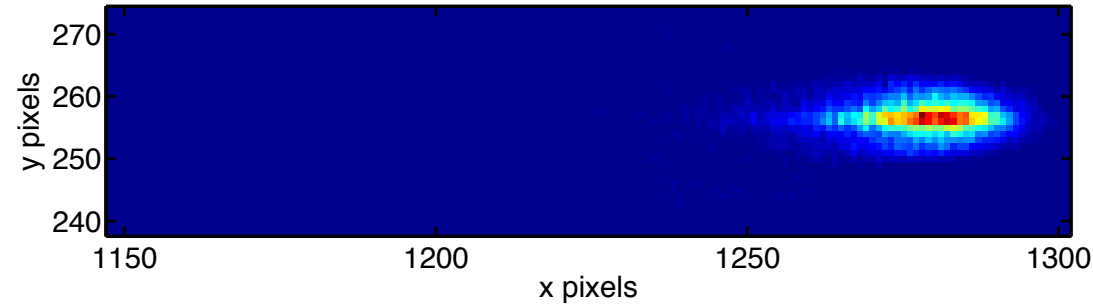
2. After commissioning the electron beam and side-injection, demonstration of electron acceleration.

Electron spectrometer system (UCL, CERN)



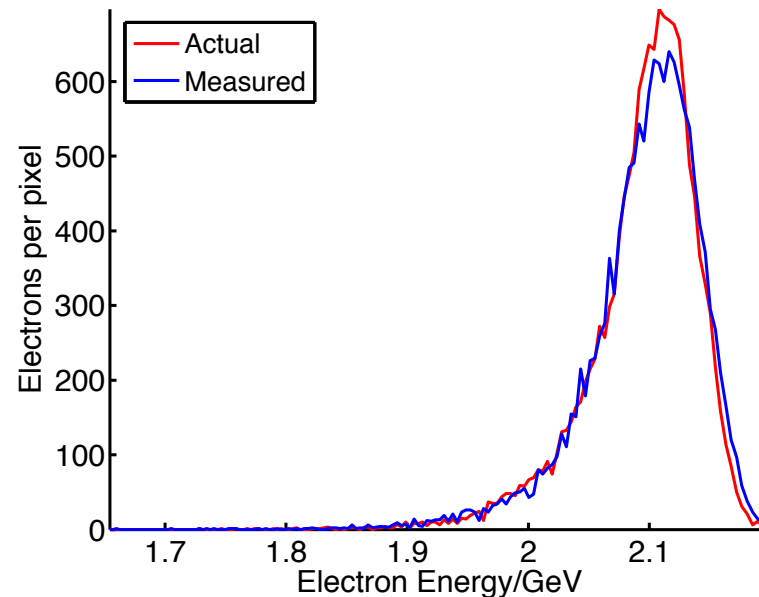


# Electron Spectrometer



Simulation of scintillator screen shot from full simulation of electrons in plasma cell & tracking through spectrometer

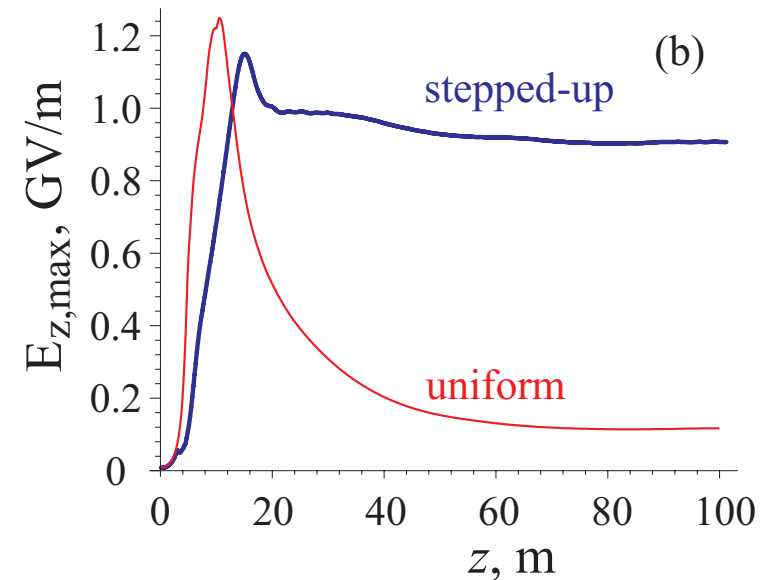
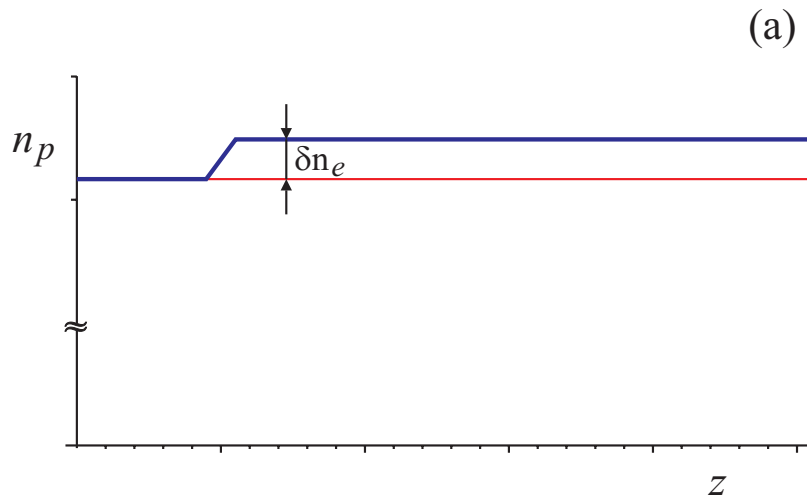
Comparison of true electron energy spectrum with that reconstructed from captured screen image (simulation).





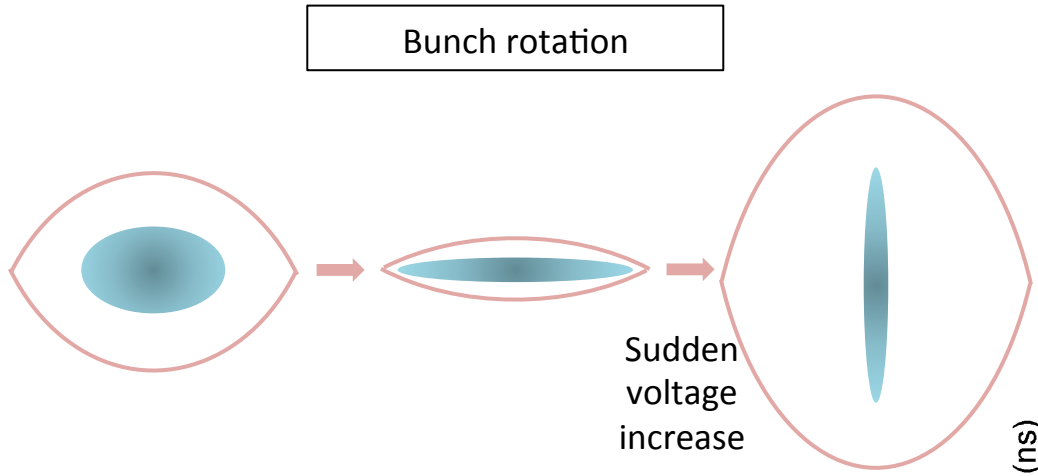
- Experiments with density steps and 2 plasma cells. Separate the SMI phase from the acceleration phase. Achieve large energy gains for electron bunches.

Simulations for LHC beam parameters A. Caldwell and K. V. Lotov, Phys. Plasmas **18**, 103101 (2011).

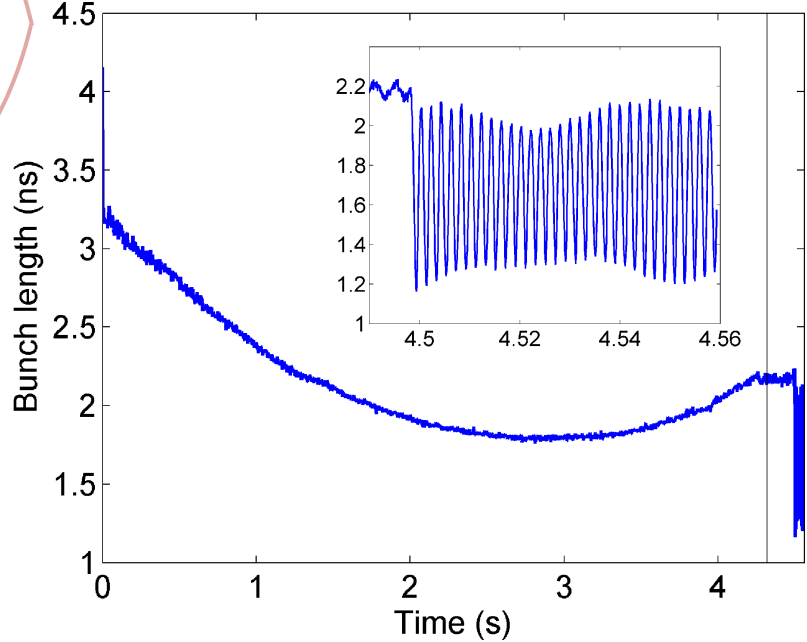


Possibility for density step, either in single plasma cell or in double cell will be tried out in AWAKE experiment. Potential for very significant energy gains 10's-100 GeV with SPS beam.

# 4. Experiments with compressed SPS bunches – demonstration of multi GeV/m gradients



Already tried out in SPS – it works !



Long-term: investigate ab-initio designs for short proton bunch accelerators.

Investigate what can be achieved by also tuning pre-SPS accelerator parameters.

Peak current increased to 59A from ca 48A. Not yet optimized.

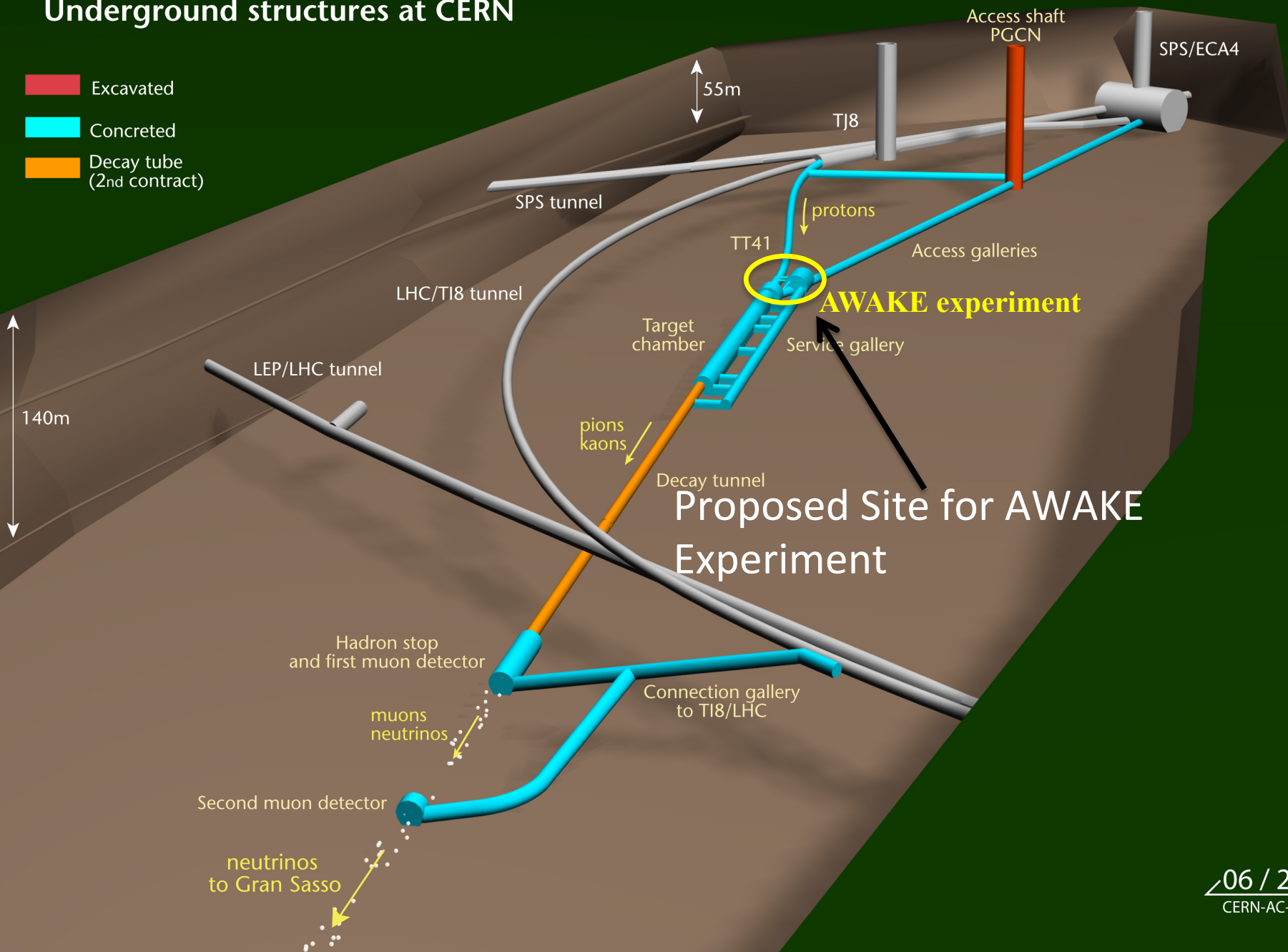
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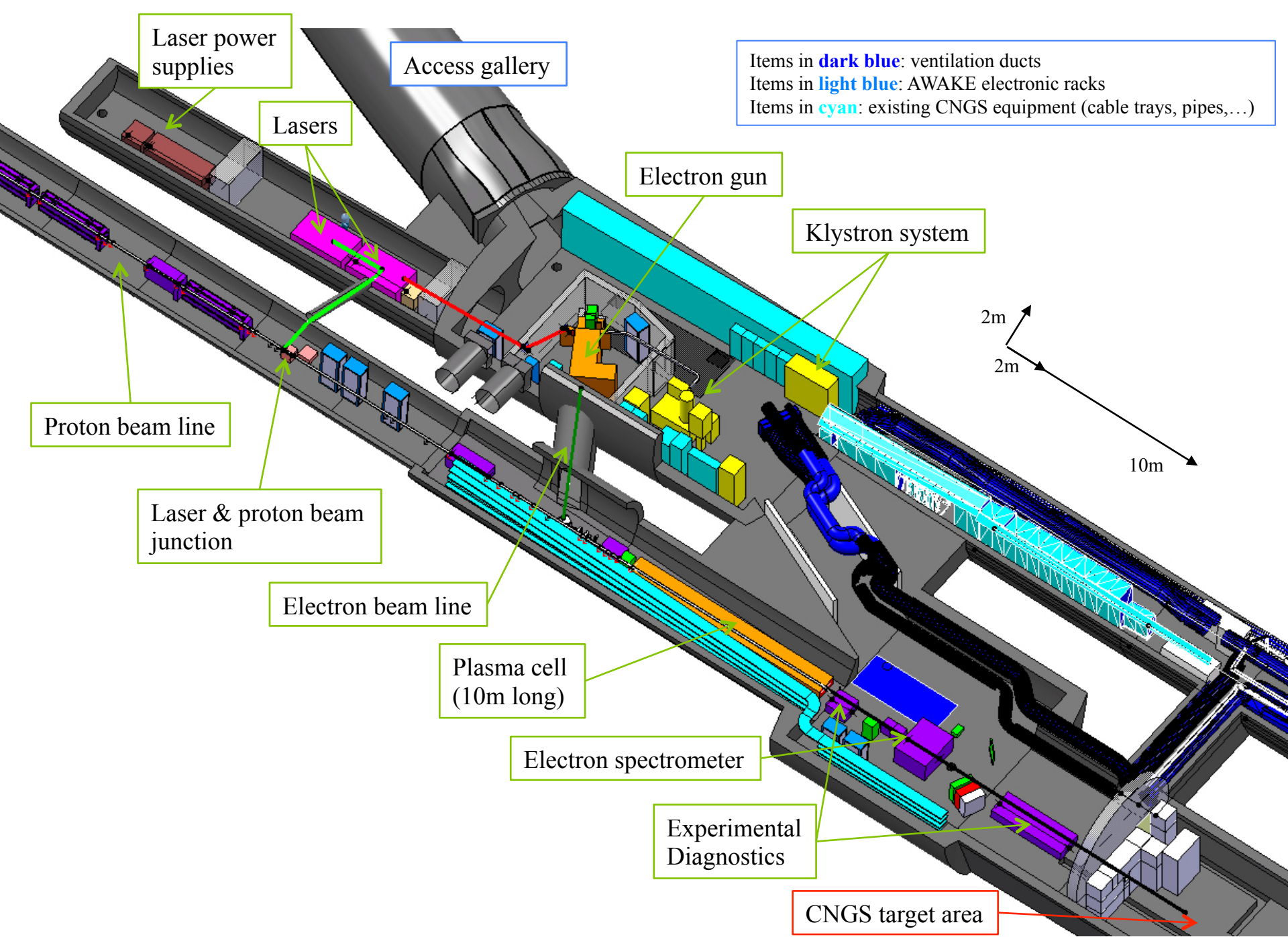
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# CERN NEUTRINOS TO GRAN SASSO

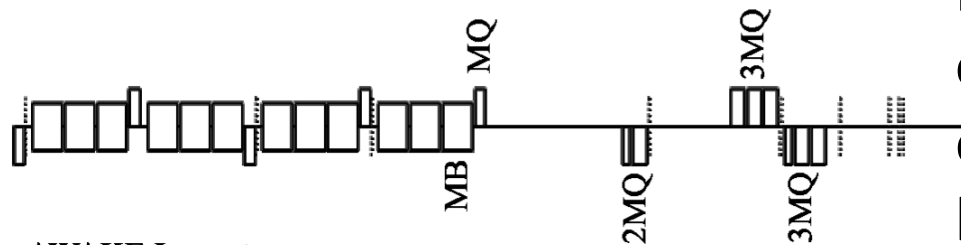
## Underground structures at CERN

- Excavated
- Concreted
- Decay tube (2nd contract)



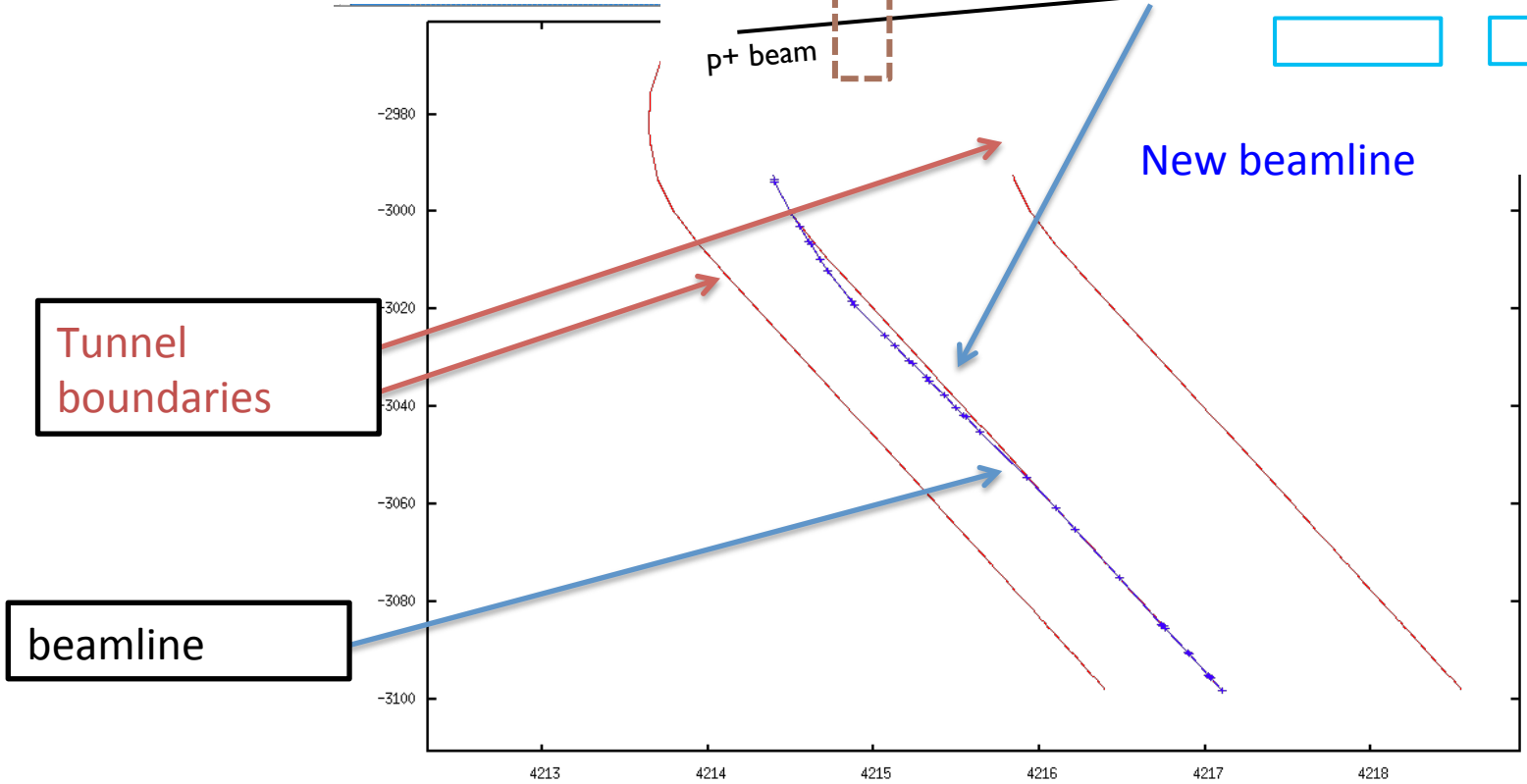
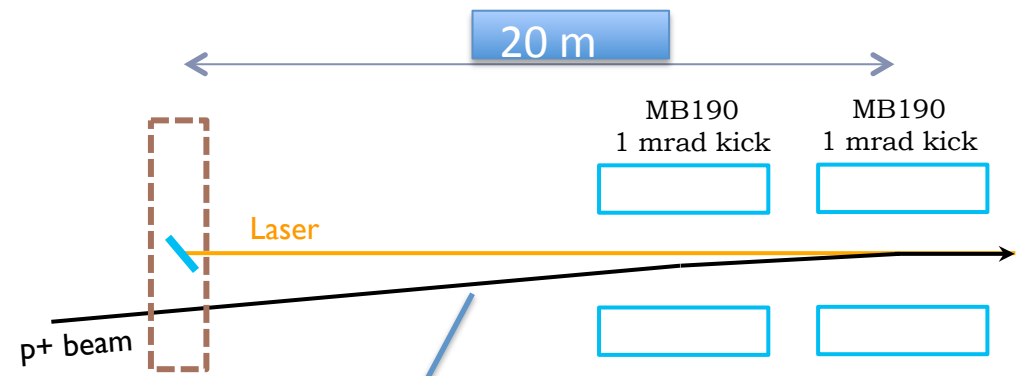
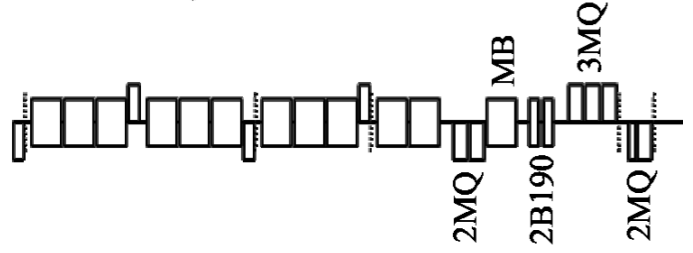


Present CNGS Layout (end of the line)



Rearrange few magnets at end of beamline: more space for experiment, merging of laser&proton beam.

Future AWAKE Layout



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	Cost [kCHF]	Staff [PY]
SPS beam studies		
<i>Subtotal SPS beam studies</i>	360	0.9
Proton and electron beam line		
Magnet system (magnets, power converters, cabling, interlocking)	313	0.9
Control system (Interlock, operational software)	75	1.5
Beam instrumentation	650	2.3
Beam dynamics, commissioning, coordination	360	2.5
Electron beam line	600	0.6
<i>Subtotal</i>	1998	7.8
Experimental area		
Secondary e <sup>-</sup> beam dynamics, safety files, commissioning, coordination	360	3.5
Layout, integration, installation	535	6.85
Magnet system (magnets, power converters, cabling)	193	0.55
Laser system (beam transport, safety)	139	0.7
Beam instrumentation	360	-
Operational software	-	1.0
<i>Subtotal</i>	1587	12.6
General services, infrastructure and safety		
Civil engineering	448	0.75
General services (cooling & ventilation, electricity, additional cabling, transport)	1470	2.35
Safety system (radiation protection, access, fire, evacuation)	680	2.35
Control system (RF synchronization, timing, high-level software, ethernet, GSM)	205	2.3
Survey	60	0.2
Drawings, mechanical design	280	3.45
Vacuum system	1400	1.5
<i>Subtotal</i>	4543	12.9
<b>Total</b>	<b>8488</b>	<b>34.2</b>

The CNGS beamline is fully operational. **Much lower cost and effort than for West Area.**

The experimental area is adequate for the first generations of experiments.

		2014	2015	2016	Total
SPS studies	FTE	0.3	0.3	0.3	0.9
	cost [kCHF]	120	120	120	360
Proton and electron beam line	FTE	2.5	3.2	2.1	7.8
	Cost [kCHF]	658	820	520	1998
Experimental area	FTE	4.4	4.3	3.9	12.6
	Cost [kCHF]	525	572	490	1587
General services, infrastructure and safety	FTE	4.45	6	2.45	12.9
	Cost [kCHF]	1643	2280	620	4543
<b>Total</b>	FTE	11.65	13.8	8.65	34.2
	Cost [kCHF]	2946	3792	1750	8488



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Task	Institute(s)	System	Cost (kEUR)
<b>Plasma Cell</b>			
Metal vapor cell	MPP	Prototype system and laser AWAKE system at CERN	650 1000
Helicon cell	IPP	Prototype system AWAKE system at CERN	250 2000
Pulsed discharge cell	IST, IC	Prototype system AWAKE system at CERN	50 200
<b>Diagnostics</b>			
Electron spectrometer (screens, camera)	UCL		100
Optical sampling methods	MPP	Prototype system AWAKE system at CERN	520 900
<b>Electron Gun</b>	ASTeC CI, UCL		3000
<b>Simulations</b>	BINP D, IST		250
<b>Total</b>			8920

Metal vapor cell & discharge cell funding available  
Helicon system for CERN requires success of funding application  
Electron gun funding applied for; if not successful will develop a collaborative solution.

## Manpower from participating institutes (current and anticipated)

Institute	Current (FTE)	Anticipated (FTE)
Max Planck Institute for Physics	7	12
University College London	3	7
ASTeC, STFC Daresbury Laboratory	1	4.5
Max Planck Institute for Plasma Physics	3	4
Cockroft Institute	1.5	4
Budker Institute for Nuclear Physics	2	3
Heinrich Heine University Düsseldorf	2	3
Instituto Superior Técnico, Lisboa	2	3
Imperial College	1	2
Ludwig Maximilian University, Munich	1	2
University of Strathclyde,	0.5	2
Rutherford Appleton Laboratory	0.5	2
<b>Total</b>	<b>24.5</b>	<b>48.5</b>

Will be sufficient to carry out research program.

## Participating Institutes:

ASTeC, STFC Daresbury Laboratory  
Budker Institute of Nuclear Physics  
CERN  
Cockroft Institute  
Heinrich Heine University, Düsseldorf  
Instituto Superior Tecnico  
Imperial College  
Ludwig Maximilian University  
Max Planck Institute for Physics  
Max Planck Institute for Plasma Physics  
Rutherford Appleton Laboratory  
University College London  
University of Strathclyde



## Interested Institutes:

DESY  
John Adams Instutytte fir Accelerator  
Science  
Panjab University  
State Key Laboratory of Nuclear Physics  
and Technology  
Tsinghua University  
Wigner Research Center for Physics

<b>Management Positions</b>		Person	Institute
Spokesperson		Allen Caldwell	MPP
Deputy spokesperson		Matthew Wing	UCL
Beam lines, experimental areas and infrastructure		Edda Gschwendtner	CERN
Experimental aspects		Patric Muggli	MPP
Theory & simulations		Konstantin Lotov	BINP
<b>Task Groups</b>		Person	Institute
1	Metal vapor plasma cell	Erdem Öz	MPP
2	Helicon plasma cell	Olaf Grulke	IPP
3	Pulsed discharge plasma cell	Nelson Lopes	IC/IST
4	Proton and electron beam lines	Chiara Bracco	CERN
5	Experimental area	Edda Gschwendtner	CERN
6	Radiation protection	Helmut Vincke	CERN
7	Electron source	Tim Noakes	ASTeC/CI
8	Electron spectrometer	Simon Jolly	UCL
9	Optical sampling diagnostics	Patric Muggli	MPP
10	Simulations	Konstantin Lotov	BINP

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	2013	2014	2015	2016
Proton beam-line		Components preparation	Installation	Commissioning
Experimental area	Studies, design, Components preparation	Civil engineering & Installation		
Electron source and beam-line	Studies, design	Fabrication	Installation	Commissioning

### Science Program (first three years after start of data taking):

1. Benchmark experiments – first ever proton-driven plasma wakefields
2. Detailed comparison of experimental measurements with simulations
3. Demonstration of high-gradient acceleration of electrons
4. Develop long, scalable & uniform plasma cells; test in AWAKE experiment
5. Develop scheme for production and acceleration of short proton bunches

Goal: Design high quality & high energy electron accelerator based on acquired knowledge.

# Summary

- Beam- and laser-driven wakefield experiments have shown the potential of plasmas for producing high gradients
- Protons are ideal drivers because of the large energy carried in a bunch
- Exploiting the self-modulation instability allows for immediate experimentation
- CERN SPS beam ideal tool to perform this accelerator R&D
- The AWAKE collaboration has the required expertise in both experimentation&simulation
- AWAKE will allow us to learn what is required to make a real accelerator based on proton-driven wakefield acceleration