



Kaon Experiments at CERN

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on behalf of NA48/2 and NA62 collaborations

Outline:

- 1) Introduction to CERN kaon programme
- 2) The golden decay mode: $K^+ \rightarrow \pi^+ \nu \bar{\nu}$
- 3) Lepton flavour/number violation in K decays
- 4) Rare π^0 decays
- 5) Selected recent results, ongoing analyses
- 6) Summary

“Triggering Discoveries in High Energy Physics”
University of Jammu, India • 9 September 2013

Kaon physics facilities

BNL

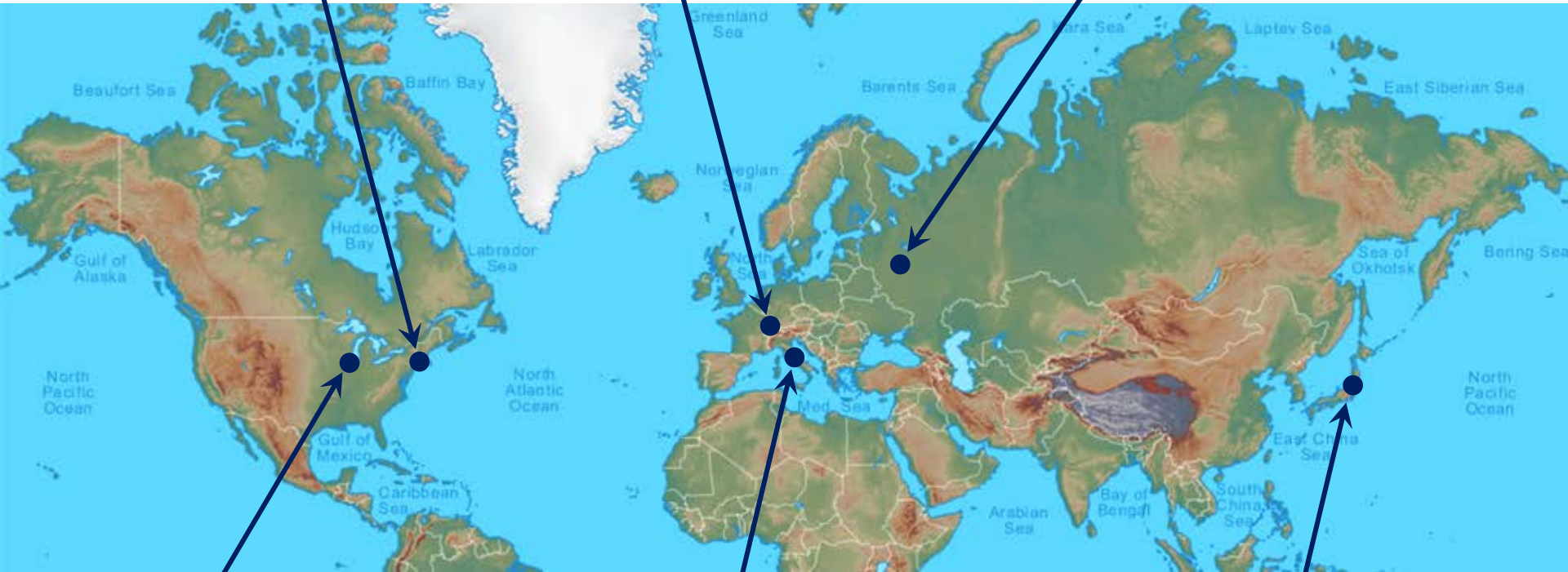
E865, E777, E787, E949

CERN

NA48, NA62

IHEP Protvino

ISTRA+, OKA, KLOD



FNAL

KTeV, ORKA

LNF

KLOE, KLOE-2

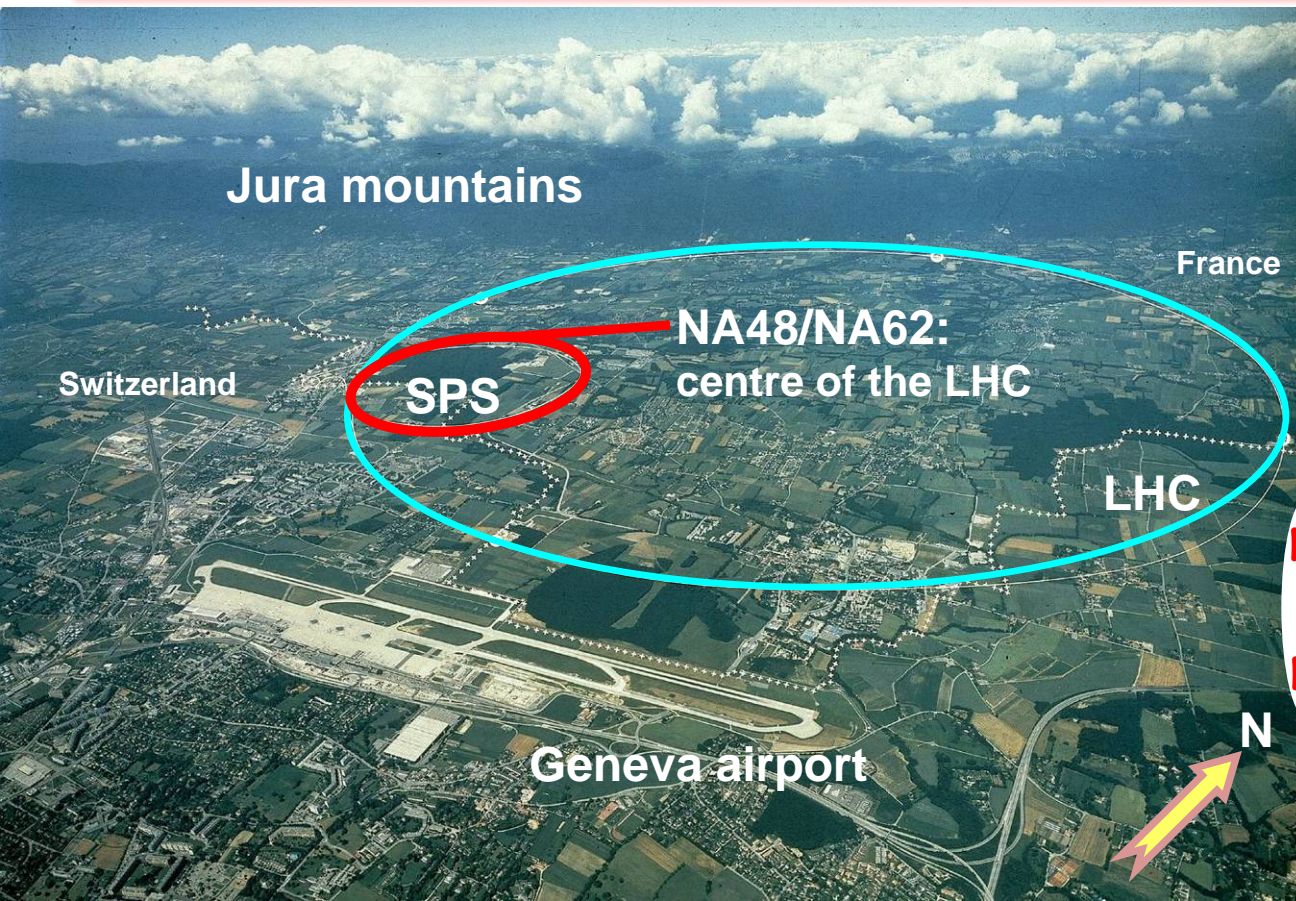
KEK/J-PARC

E391a, KOTO, TREK

A variety of experimental techniques:

K decay-in-flight (e.g. CERN), stopped **K⁺**, ϕ factory

CERN NA48/NA62 experiments



Kaon decay in flight experiments.
 NA62: currently ~200 participants, 27 institutions

Earlier: NA31

1997: ϵ'/ϵ : K_L+K_S

1998: K_L+K_S

NA48
 discovery
 of direct
 CPV

1999: K_L+K_S | K_S HI

2000: K_L only | K_S HI

2001: K_L+K_S | K_S HI

NA48/1

2002: K_S /hyperons

NA48/2

2003: K^+/K^-

2004: K^+/K^-

NA62
 R_K phase

2007: $K_{e2}^+/K_{\mu2}^+$ | tests

2008: $K_{e2}^+/K_{\mu2}^+$ | tests

NA62

2012: technical run

2014: 1st $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ run

Recent K^\pm experiments at CERN

Experiment	NA48/2 (K^\pm)	NA62-R _K (K^\pm)	NA62 (K^+ ; <i>planned</i>)
Data taking period	2003–2004	2007–2008	2014–2017
Beam momentum, GeV/c	60	74	75
RMS momentum bite, GeV/c	2.2	1.4	0.8
Spectrometer thickness, X_0	2.8%	2.8%	1.8%
Spectrometer P_T kick, MeV/c	120	265	270
$M(K^\pm \rightarrow \pi^\pm \pi^+ \pi^-)$ resolution, MeV/c ²	1.7	1.2	0.8
K decays in fiducial volume	2×10^{11}	2×10^{10}	1.2×10^{13}
Main trigger	multi-track; $K^\pm \rightarrow \pi^\pm \pi^0 \pi^0$	e^\pm	$K_{\pi\nu\nu} + \dots$

Same detector (NA48)

The new NA62 detector:

- ❖ beam spectrometer and kaon tagger;
- ❖ improved mass reconstruction and particle identification;
- ❖ hermetic photon veto.

NA48/2 and NA62-R_K detector

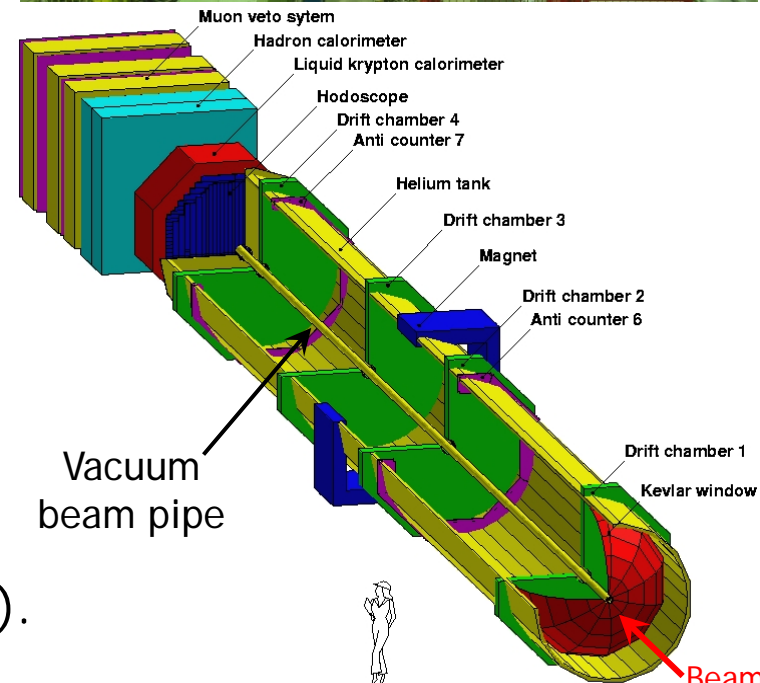
2003–2008: charged kaon beams,
the NA48 detector

Narrow momentum band K^\pm beams:
 $P_K = 60$ (74) GeV/c, $\delta P_K/P_K \sim 1\%$ (rms).

- ❖ Maximum K^\pm decay rate ~ 100 kHz;
- ❖ **NA48/2**: six months in 2003–04;
- ❖ **NA62-R_K**: four months in 2007.

Principal subdetectors:

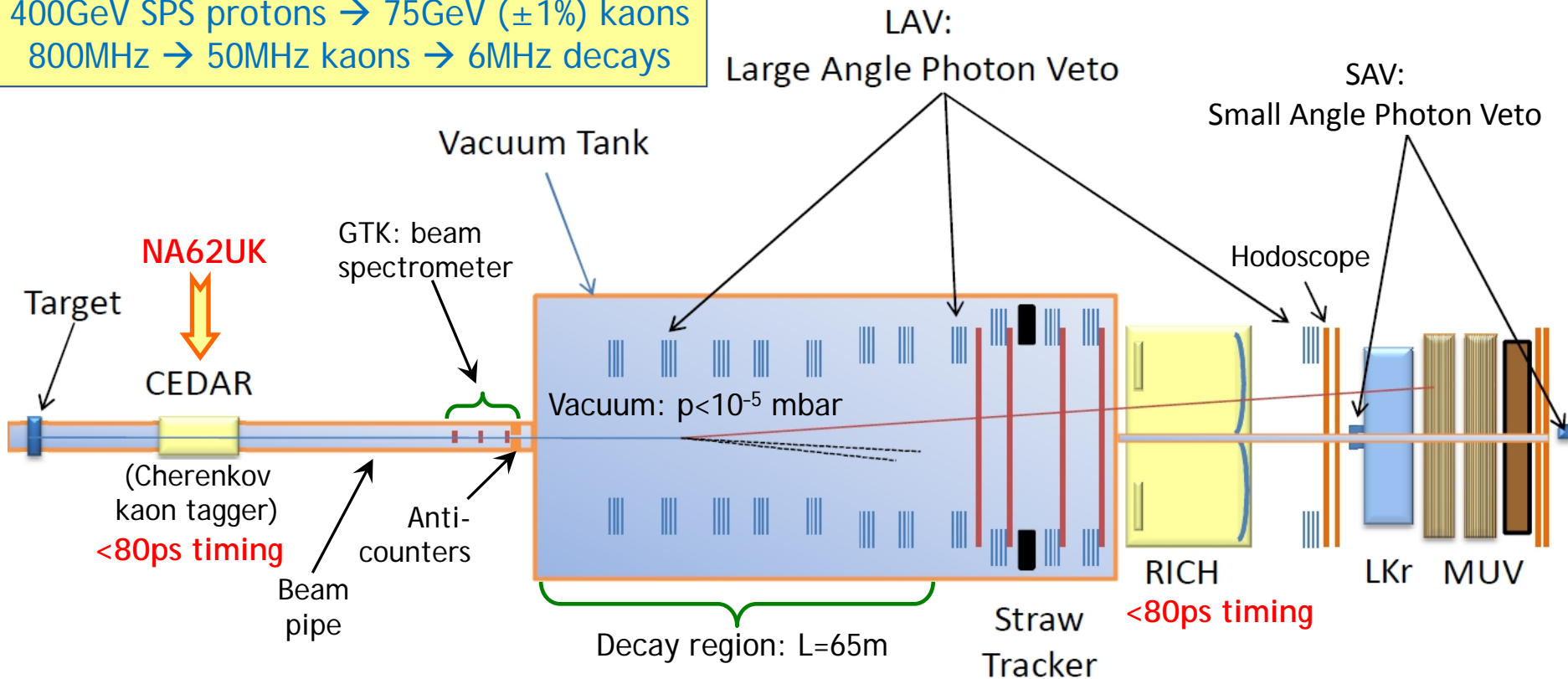
- ❖ **Magnetic spectrometer (4 DCHs)**
4 views/DCH: redundancy \Rightarrow efficiency;
 $\delta p/p = 0.48\% \oplus 0.009\% p$ [GeV/c] (in 2007)
- ❖ **Scintillator hodoscope**
Fast trigger, time measurement (150ps).
- ❖ **Liquid Krypton EM calorimeter (LKr)**
High granularity, quasi-homogeneous;
 $\sigma_E/E = 3.2\%/E^{1/2} \oplus 9\%/E \oplus 0.42\%$ [GeV];
 $\sigma_x = \sigma_y = 4.2\text{mm}/E^{1/2} \oplus 0.6\text{mm}$ (1.5mm@10GeV).



NA62 detector

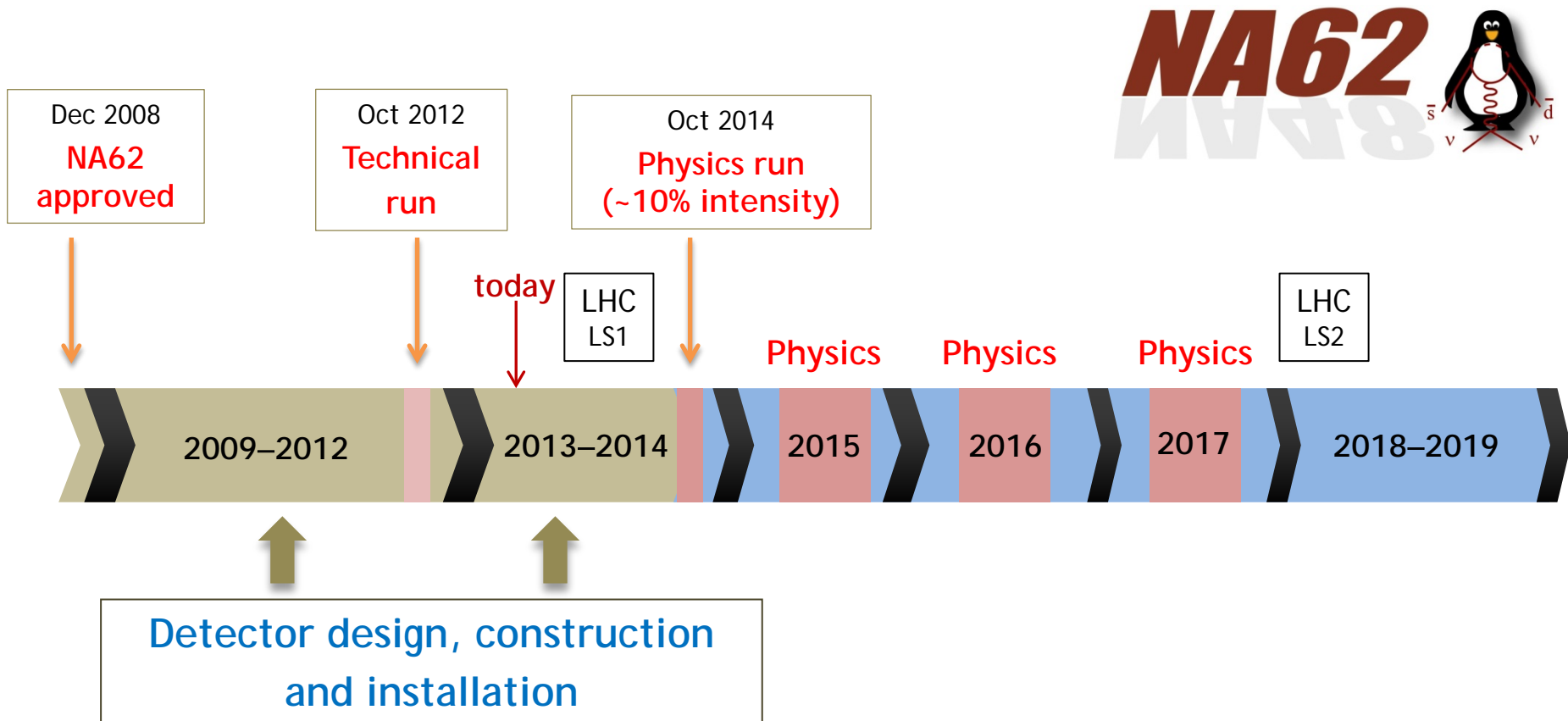
Total length: ~270m

Un-separated hadron ($p/\pi^+/K^+$) beam:
 400GeV SPS protons \rightarrow 75GeV ($\pm 1\%$) kaons
 800MHz \rightarrow 50MHz kaons \rightarrow 6MHz decays



- ❖ Kinematic rejection factors (limited by beam pileup and tails of MCS): 5×10^3 for $K^+ \rightarrow \pi^+ \pi^0$, 1.5×10^4 for $K \rightarrow \mu^+ \nu$.
- ❖ Hermetic photon veto: $\sim 10^8$ suppression of $\pi^0 \rightarrow \gamma\gamma$.
- ❖ Particle ID (RICH+LKr+MUV): $\sim 10^7$ muon suppression.

NA62 timeline



- ❖ 5 years of construction interleaved with a technical run in **October 2012**
- ❖ First run with full detector: **October–December 2014**
- ❖ Physics data taking runs before LHC Long Shutdown 2 (LS2)

NA62 construction & tests

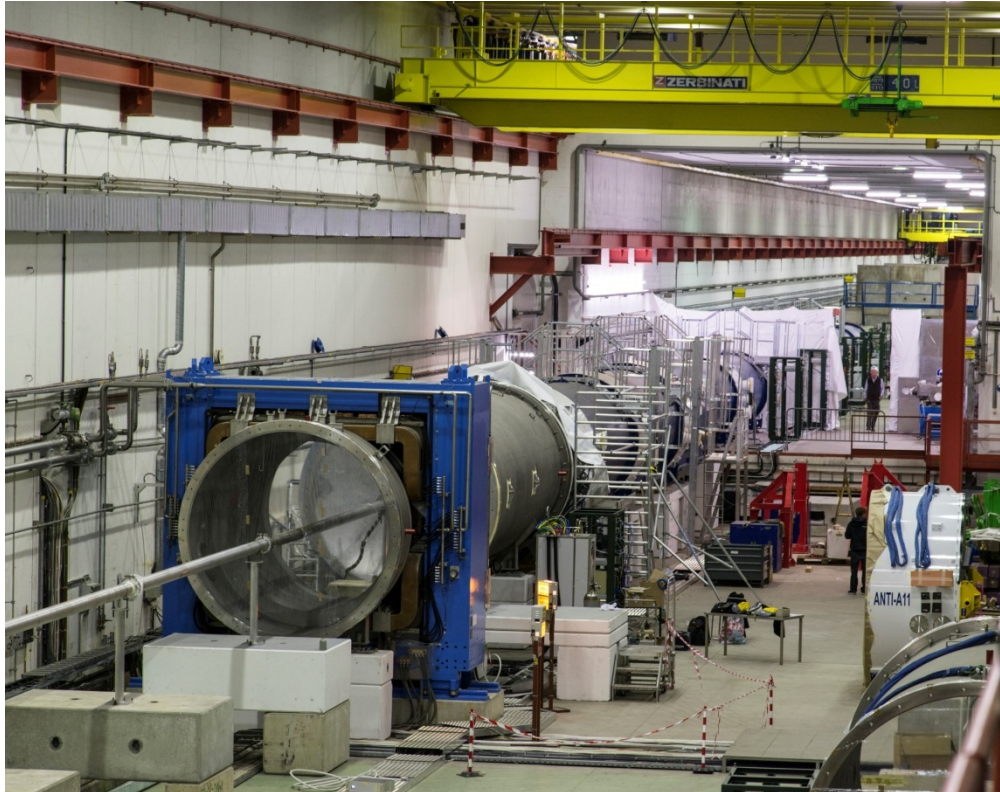
Photon veto (LAV) installation



Cherenkov kaon tagger (CEDAR+KTAG)



Vacuum tank view in 2012

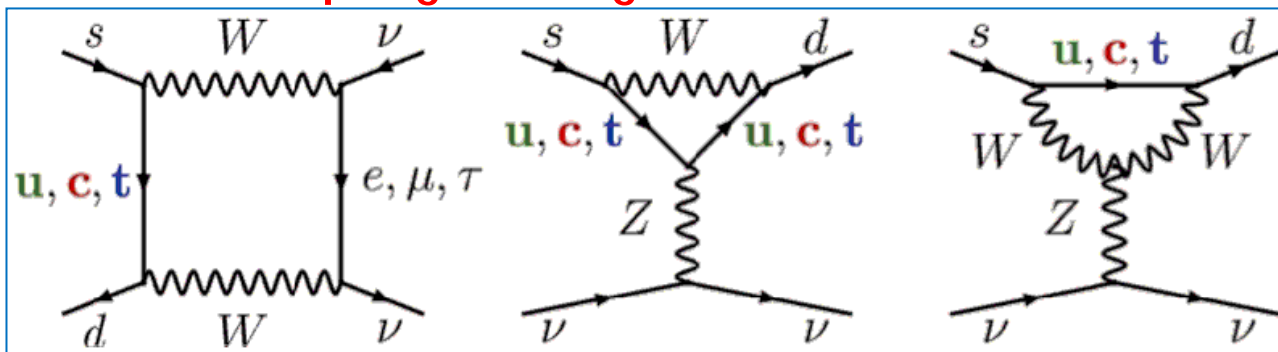




The golden decay: $K^+ \rightarrow \pi^+ \nu \bar{\nu}$

The challenge: $K \rightarrow \pi \nu \bar{\nu}$

SM: box and penguin diagrams



Ultra-rare decays with the highest CKM suppression:


$$A \sim (m_t/m_W)^2 |V_{ts}^* V_{td}| \sim \lambda^5$$

- ❖ Hadronic matrix element related to a measured quantity ($K^+ \rightarrow \pi^0 e^+ \nu$).
- ❖ SM precision surpasses any other FCNC process involving quarks.
- ❖ Measurement of $|V_{td}|$ complementary to those from B - \bar{B} mixing or $B^0 \rightarrow \rho \gamma$.
- ❖ Optimal probe for non-MFV
(Gino Isidori, ESPP open symposium 2012)

SM branching ratios

Brod et al., PRD 83 (2011) 034030

Mode	$BR_{SM} \times 10^{11}$
$K^+ \rightarrow \pi^+ \nu \bar{\nu} (\gamma)$	$7.81 \pm 0.75 \pm 0.29$
$K_L \rightarrow \pi^0 \nu \bar{\nu}$	$2.43 \pm 0.39 \pm 0.06$


 CKM
 Intrinsic
 parametric

Theoretically clean,
sensitive to new physics,
almost unexplored

$K^+ \rightarrow \pi^+ \nu \bar{\nu}$ at BNL E747/E949

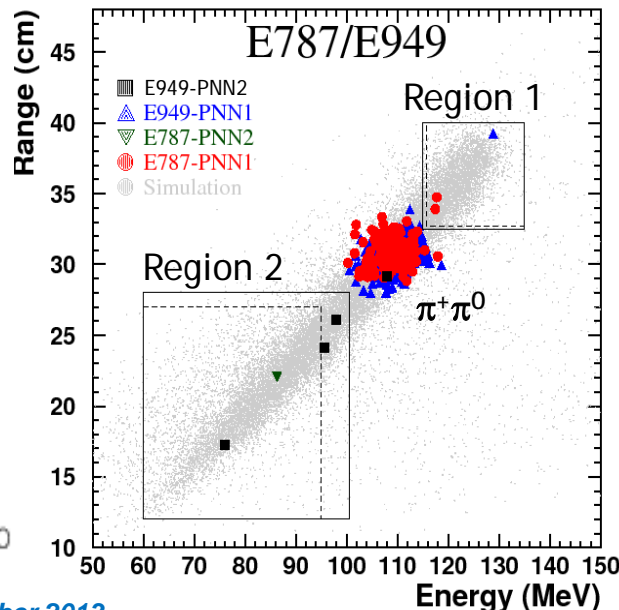
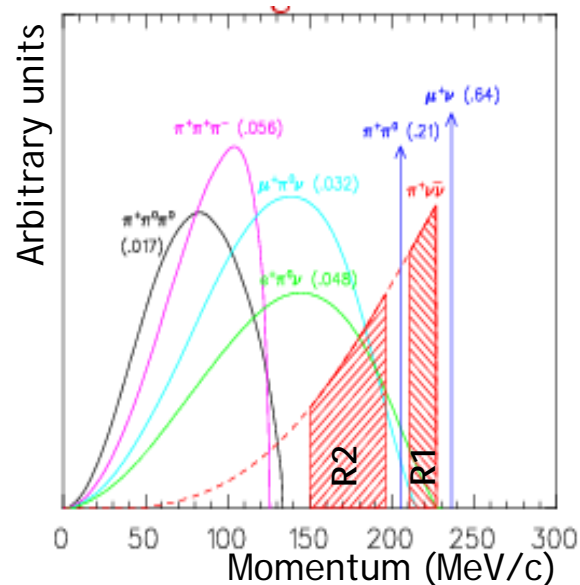
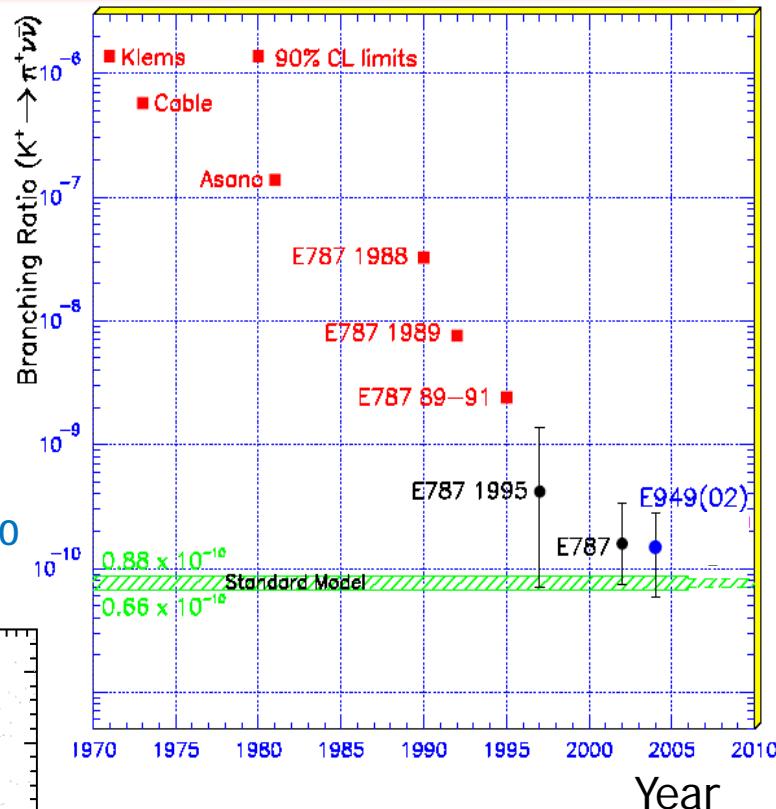
Technique: K^+ decay at rest

Data taking: E787 (1995–98), E949 (2002).
 Separated K^+ beam (710 MeV/c, 1.6MHz).
 PID: range (entire $\pi^+ \rightarrow \mu^+ \rightarrow e^+$ decay chain).
 Hermetic photon veto system.

Observed candidates: 7

Expected background: 2.6

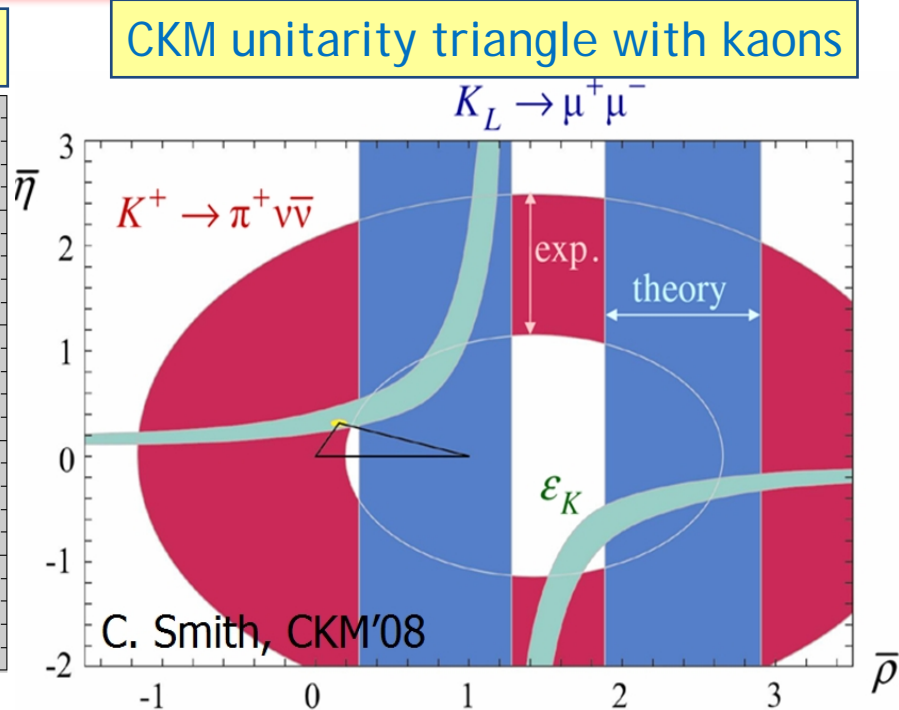
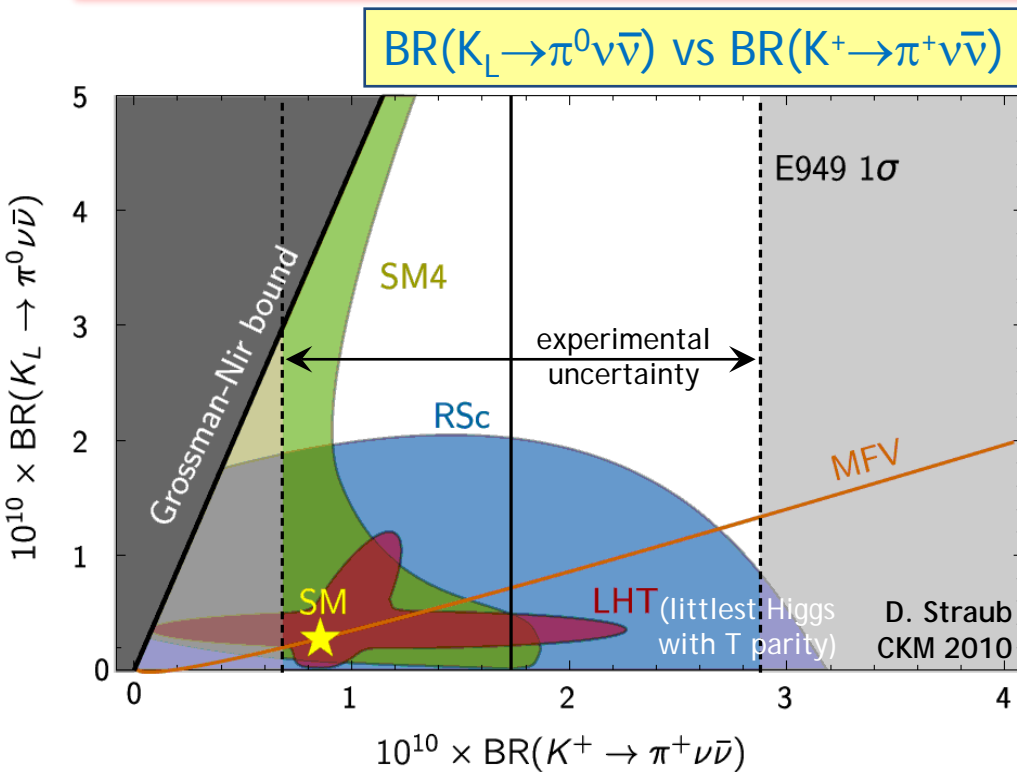
Final result: $BR = (1.73_{-1.05}^{+1.15}) \times 10^{-10}$



Significant background in Region 2 from the $K_{2\pi}$ decay with π^+ scattering in the target.

PRL 101 (2008) 191802;
 PRD 79 (2009) 092004

Experiment vs theory



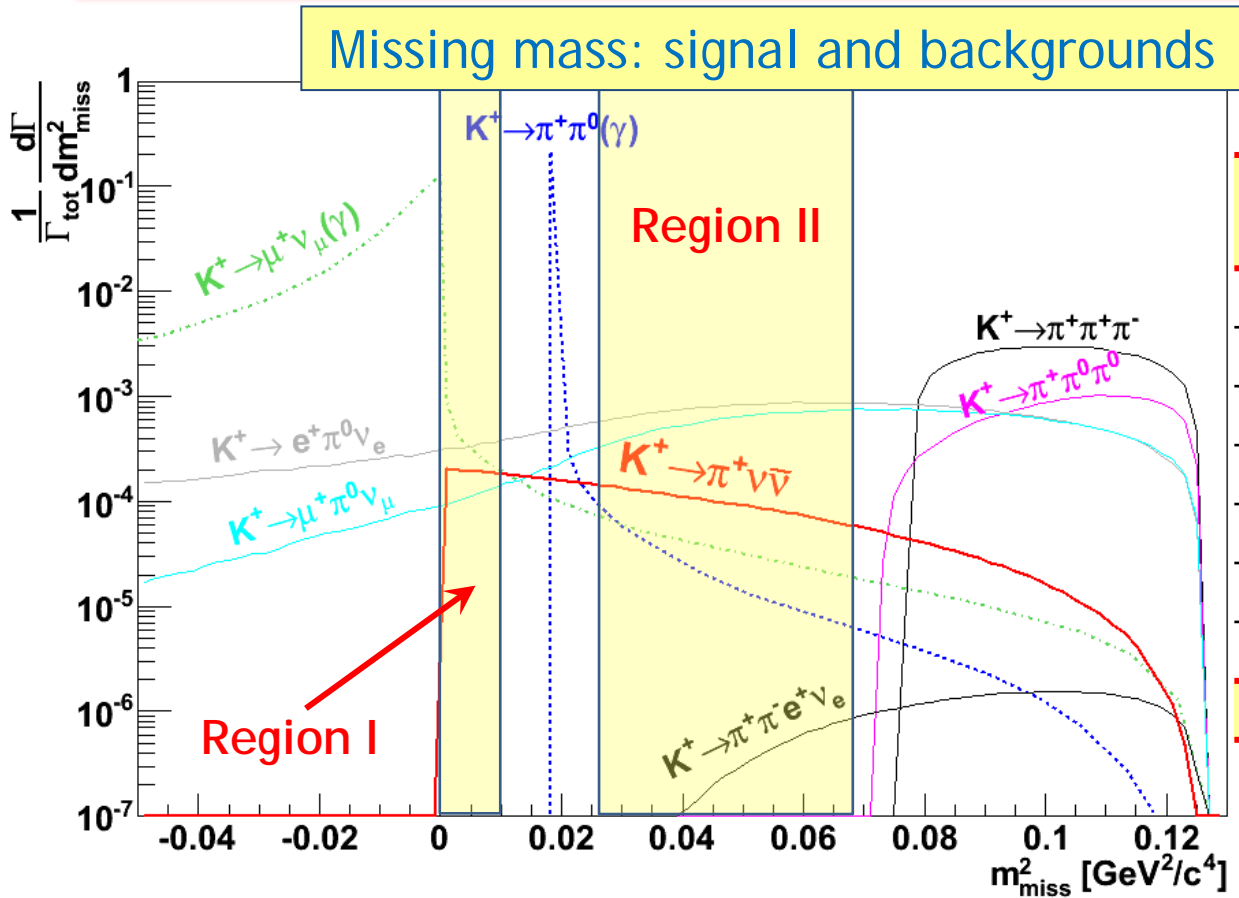
NA62 aim: collect $O(100)$ SM $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ decays with $<20\%$ background in 2 years of data taking using a novel decay-in-flight technique.

Decay signature: high momentum K^+ ($75\text{GeV}/c$) \rightarrow low momentum π^+ ($15\text{--}35\text{ GeV}/c$).

Advantages: max detected K^+ decays/proton ($p_K/p_0 \approx 0.2$); efficient photon veto ($>40\text{ GeV}$ missing energy); good π^+ vs μ^+ identification with RICH.

Un-separated beam (6% kaons) \rightarrow higher rates, additional backgrounds.

NA62: $K_{\pi\nu\nu}$ signal region



Signal & backgrounds (events/year)	
Signal	45
$K^+ \rightarrow \pi^+ \pi^0$	5
$K^+ \rightarrow \mu^+ \nu$	1
$K^+ \rightarrow \pi^+ \pi^+ \pi^-$	<1
Other 3-track decays	<1
$K^+ \rightarrow \pi^+ \pi^0 \gamma$ (IB)	1.5
$K^+ \rightarrow \mu^+ \nu \gamma$ (IB)	0.5
Total background	<10

92% of total $BR(K^+)$:

- ❖ Outside the signal kinematic region.
- ❖ Signal region is split into **Region I** and **Region II** by the $K^+ \rightarrow \pi^+ \pi^0$ peak

8% of total $BR(K^+)$ including multi-body:

- ❖ Span across the signal region (not rejected by kinematic criteria).
- ❖ Rejection relies on **vetoes**, **PID**.

$K_{\pi\nu\nu}$ measurements worldwide

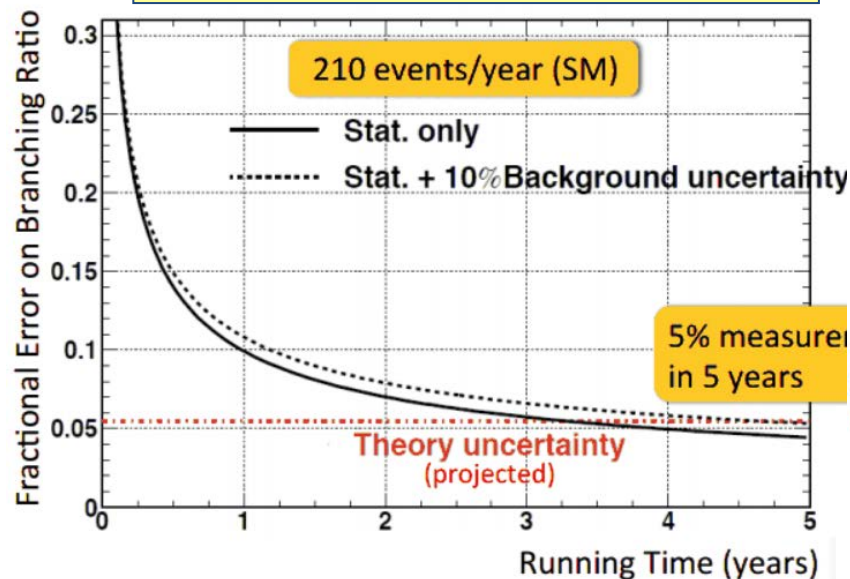
ORKA @ FNAL Main Injector (K^+):

- ❖ Builds on BNL stopped-kaon technique.
- ❖ Expect ~ 100 times higher sensitivity.
- ❖ Goal: $O(1000)$ SM $K^+ \rightarrow \pi^+ \nu\nu$ events.
- ❖ Fits inside the CDF solenoid.
- ❖ Re-use CDF infrastructure.

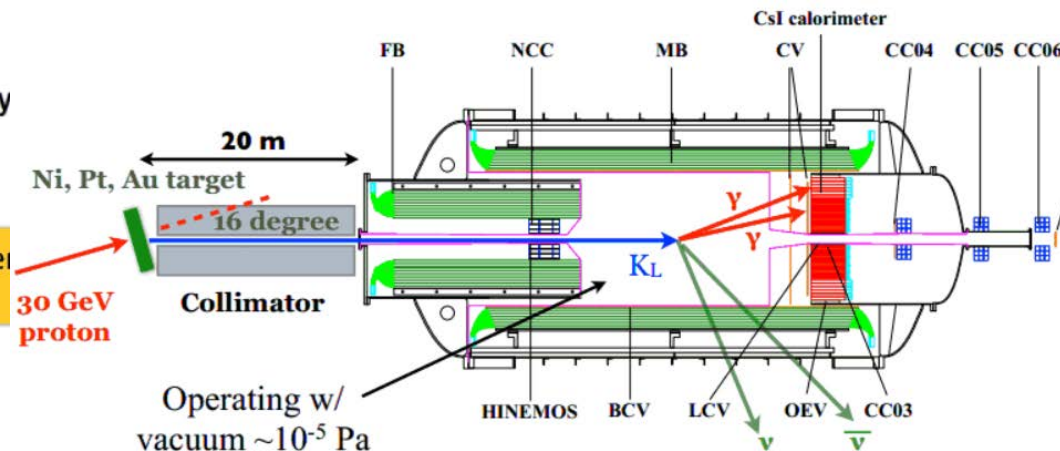
KOTO @ J-PARC (K_L):

- ❖ Builds on KEK E391a technique.
- ❖ E391a: $BR < 6.8 \times 10^{-8}$ @ 90%CL.
- ❖ Expect $\sim 10^3$ times higher sensitivity.
- ❖ Goal: ~ 3 SM $K_L \rightarrow \pi^0 \nu\nu$ events.
- ❖ Data taking: 2013–2017.
- ❖ Possible step 2: ~ 100 SM events.

ORKA expected sensitivity



“Two photons + nothing”



Lepton Flavour and Lepton Number Violation

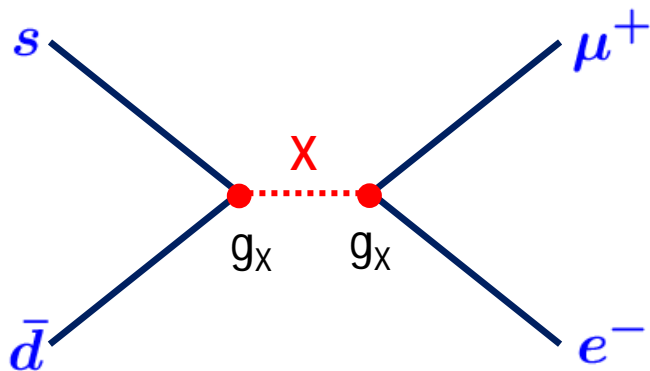
LFV in K decays

Kaons: historically competitive in searches for LFV phenomena

- ❖ Copious production: high statistics.
- ❖ Simple decay topologies: clean experimental signatures.
- ❖ Source of tagged π^0 via $K^+ \rightarrow \pi^+ \pi^0$, $K_L \rightarrow 3\pi^0$, ... :
best limits for LFV π^0 decays.

High NP mass scales accessible for tree-level contributions

Example: $K_L \rightarrow \mu^+ e^-$



Dimensional argument:

$$\frac{\Gamma_X}{\Gamma_{\text{SM}}} \sim \left(\frac{g_X}{g_W} \cdot \frac{M_W}{M_X} \right)^4$$

For $g_X \approx g_W$ and $\mathcal{B} \sim 10^{-12}$,

$$M_X \sim 100 \text{ TeV}$$

LFV in K^\pm and π^0 decays

Mode	UL at 90% CL	Experiment	Reference
$K^+ \rightarrow \pi^+ \mu^+ e^-$	1.3×10^{-11}	BNL E777/E865	PRD 72 (2005) 012005
$K^+ \rightarrow \pi^+ \mu^- e^+$	5.2×10^{-10}	BNL E865*	PRL 85 (2000) 2877
$K^+ \rightarrow \pi^- \mu^+ e^+$	5.0×10^{-10}		
$K^+ \rightarrow \pi^- e^+ e^+$	6.4×10^{-10}		
$K^\pm \rightarrow \pi^\mp \mu^\pm \mu^\pm$	1.1×10^{-9}	CERN NA48/2	PLB 697 (2011) 107
$K^+ \rightarrow \mu^- \nu e^+ e^+$	2.0×10^{-8}	Geneva-Saclay	PL 62B (1976) 485
$K^+ \rightarrow e^- \nu \mu^+ \mu^+$	no data		
$\pi^0 \rightarrow \mu^+ e^-$	3.6×10^{-10}	FNAL KTeV	PRL 100 (2008) 131803
$\pi^0 \rightarrow \mu^- e^+$	3.6×10^{-10}		

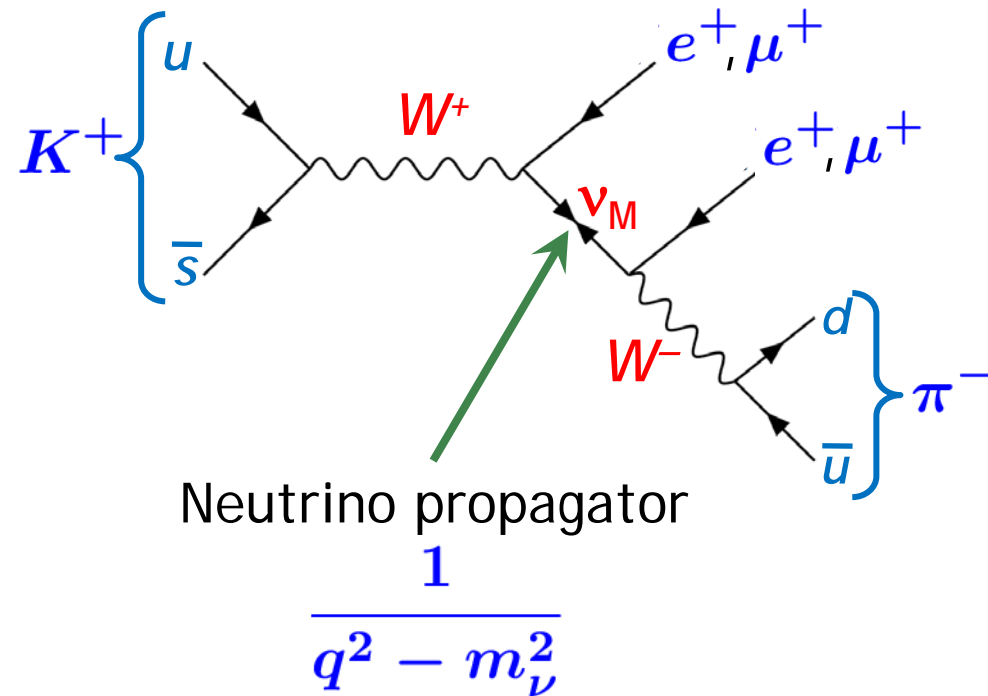
* CERN NA48/2 sensitivities for these 3 modes are similar to those of BNL E865

Expected NA62 single event sensitivities:
 $\sim 10^{-12}$ for K^\pm decays, $\sim 10^{-11}$ for π^0 decays.

- ❖ NA62 is capable of improving on all these decay modes;
- ❖ 2014 run alone: competitive for some modes (e.g. $K^+ \rightarrow \pi^- \mu^+ \mu^+$).

Sensitivity to Majorana neutrino

$$K^+ \rightarrow \pi^- \ell_1^+ \ell_2^+, \quad \ell = e, \mu$$

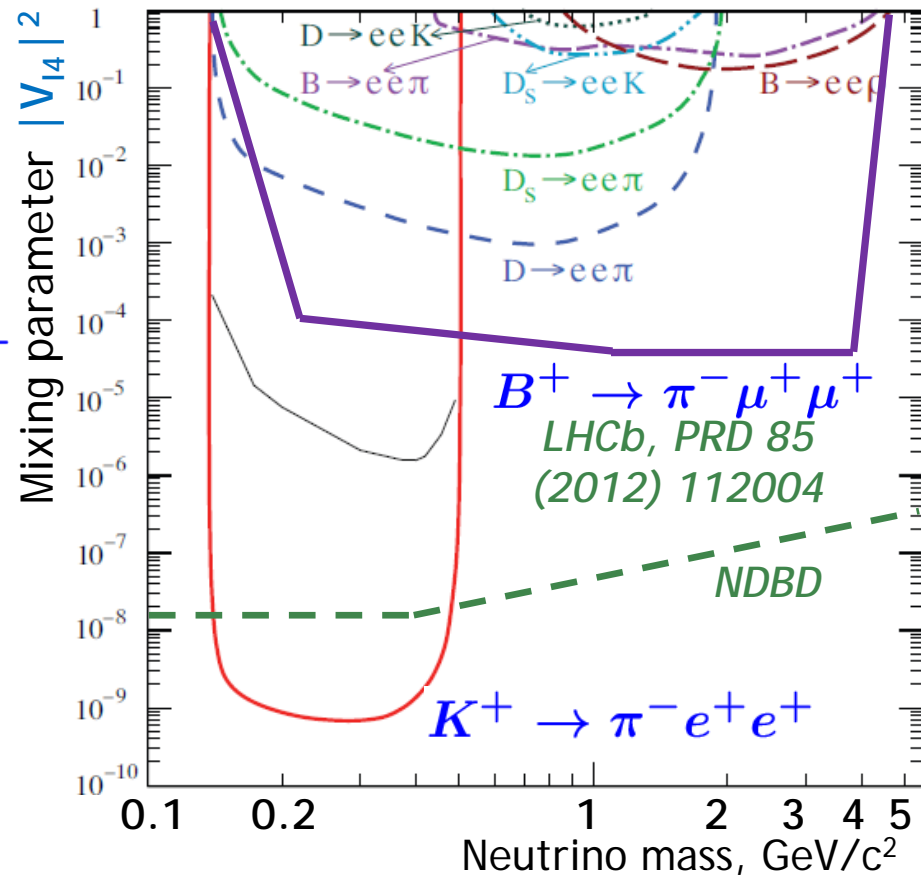


→ resonant enhancement for

$$m_\pi \lesssim m_\nu \lesssim m_K$$

Littenberg and Shrock,
PLB491 (2000) 285

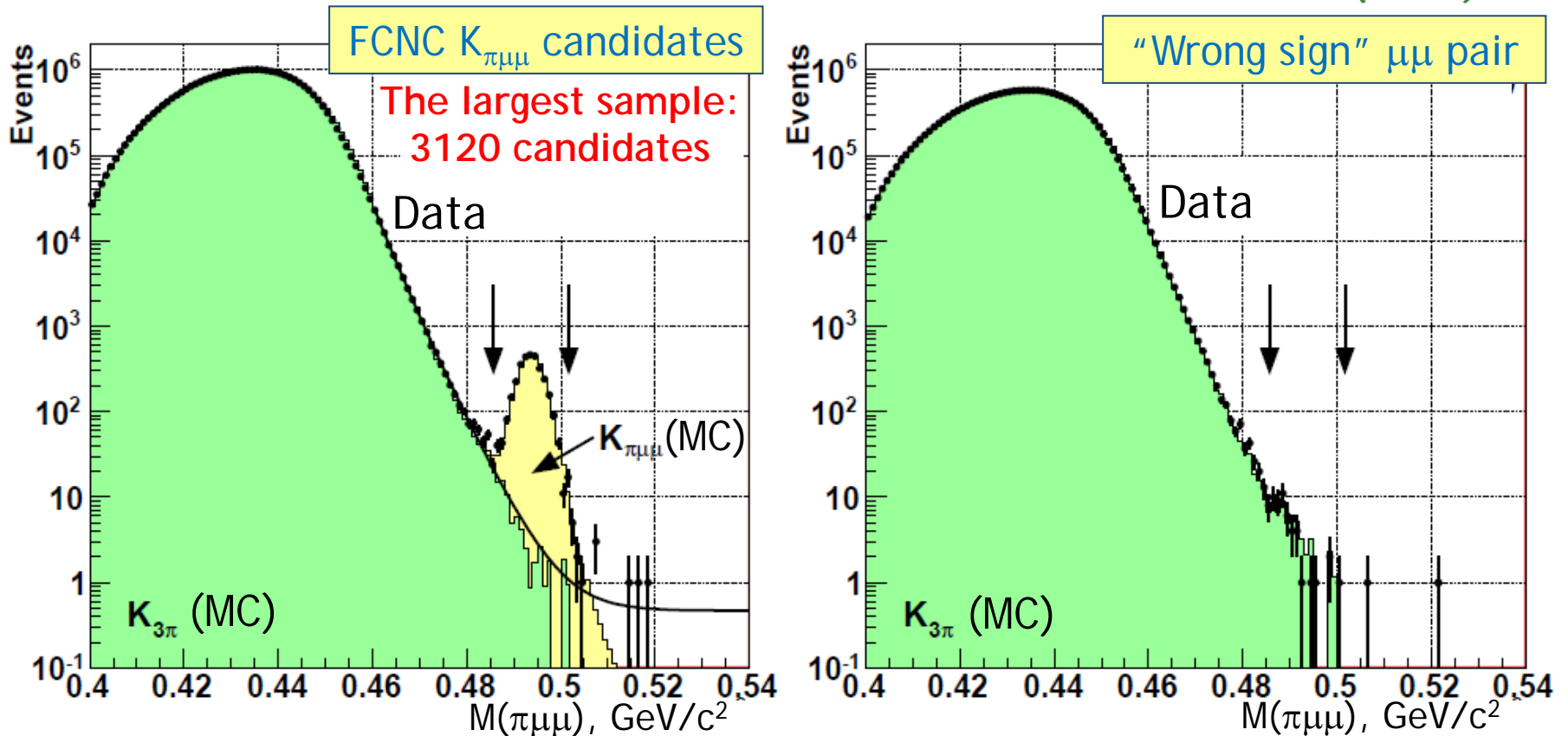
Majorana neutrino exclusion regions from existing data



Plot from Atre et al.,
JHEP 0905 (2009) 030

NA48/2 $K^\pm \rightarrow \pi^\mp \mu^\pm \mu^\pm$ upper limit

PLB 697 (2011) 107



$$N_{\text{data}} = 52$$

$$N_{\text{bkg}} = 52.6 \pm 19.8_{\text{syst.}}$$

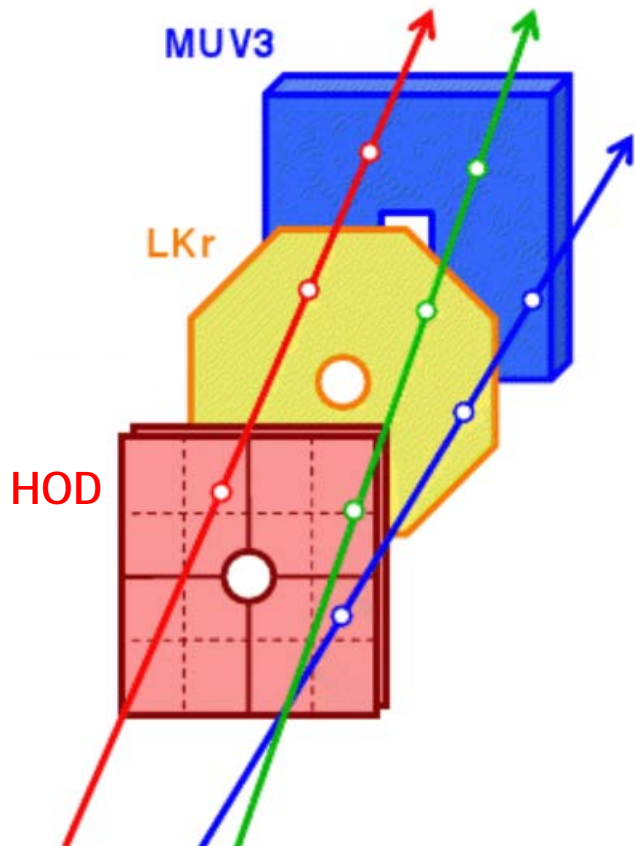
$$\Rightarrow \mathcal{B}(K^\pm \rightarrow \pi^\mp \mu^\pm \mu^\pm) < 1.1 \times 10^{-9} \text{ @90\% CL}$$

- ❖ Precision limited by background from $\pi^\pm \rightarrow \mu^\pm \nu$, despite $\text{SES} \approx 3 \times 10^{-11}$.
- ❖ Flat phase space assumed (rather than Majorana neutrino exchange).
- ❖ A dedicated re-analysis has a potential sensitivity of $\sim 10^{-10}$.

NA62: di-lepton trigger

NA62 three-track decay rate upstream HOD: $F_{3\text{track}} = 640 \text{ kHz}$

→ **Too high** to collect all three-track decays (NA48/2 approach)



Available L0 trigger primitives:

- ❖ Q_N : at least N hodoscope quadrants;
- ❖ $LKR_N(x)$: at least N LKr clusters with energy $E > x \text{ GeV}$;
- ❖ MUV_N : hits in at least N MUV3 pads;
- ❖ **RICH** hit multiplicity.

Possible L0 triggers for LFV searches:

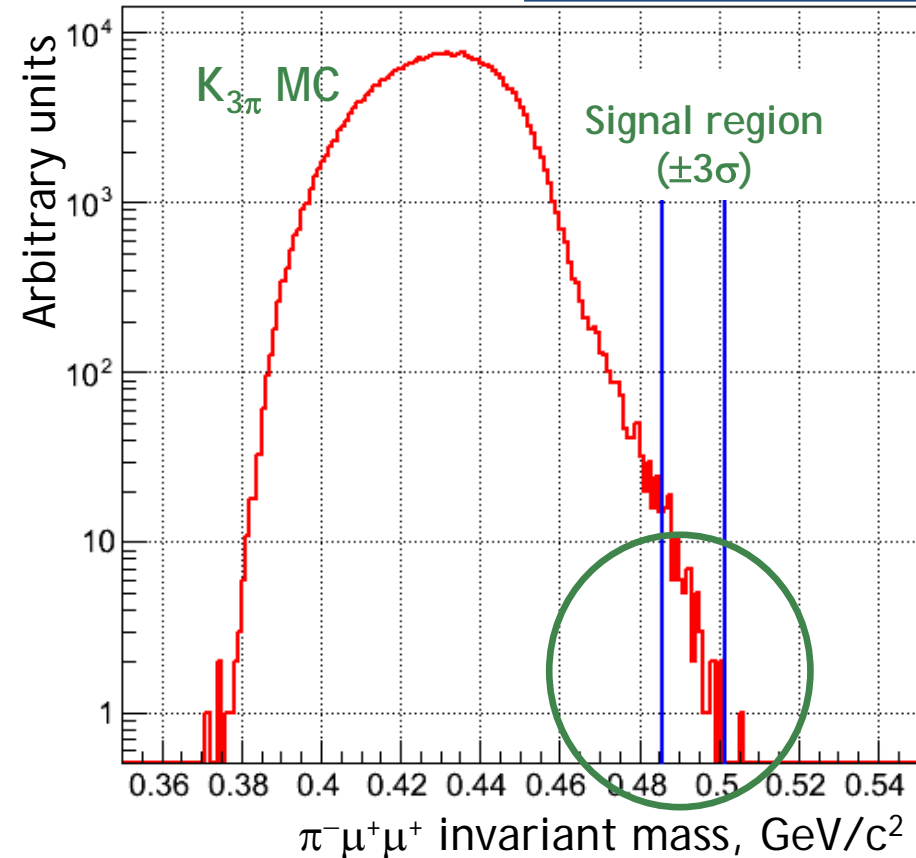
$$\begin{aligned} ee \text{ pair:} & \quad Q_2 \times LKR_2(15) \\ \mu e \text{ pair:} & \quad Q_2 \times LKR_1(15) \times MUV_1 \\ \mu\mu \text{ pair:} & \quad Q_2 \times MUV_2 \end{aligned}$$

Total lepton pair L0 rate (dominated by $K^+ \rightarrow \pi^+ \pi^+ \pi^-$): $F = \text{few} \times 10 \text{ kHz}$

→ *Charge-blind lepton pair collection is feasible*

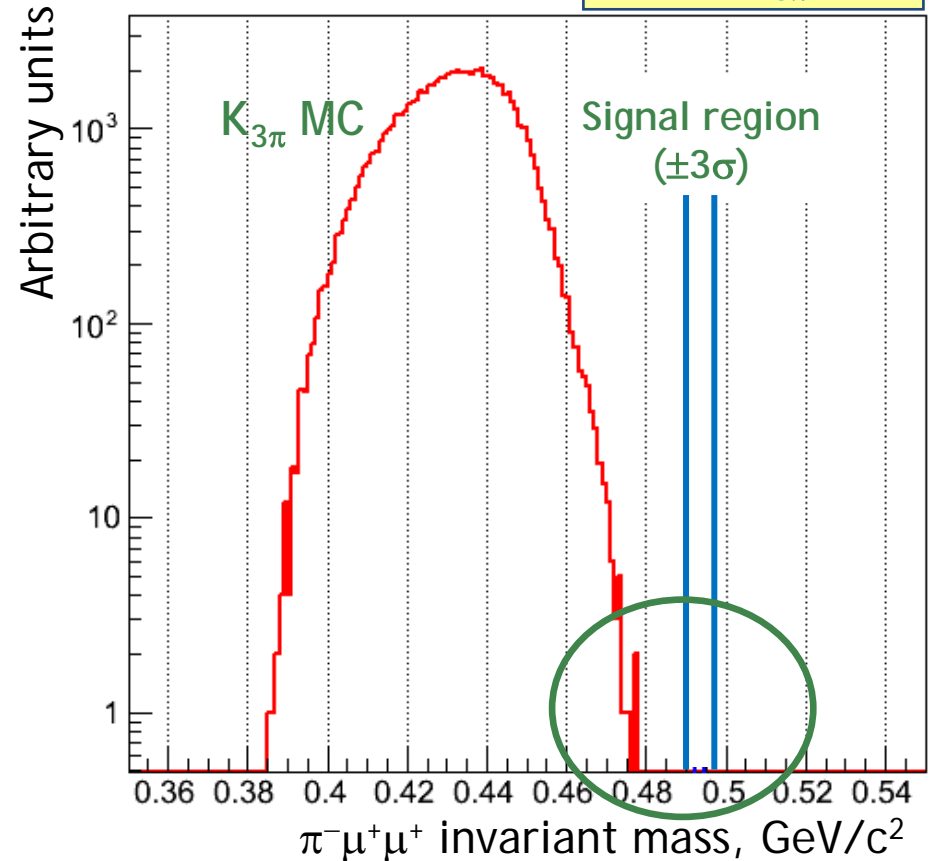
$K^\pm \rightarrow \pi^\mp \mu^\pm \mu^\pm$ at NA62

NA48/2 ($K_{3\pi}$ MC)



NA48/2: $K_{3\pi}$ background to $K_{\pi\mu\mu}$ due to $\pi^\pm \rightarrow \mu^\pm \nu$ decays in the spectrometer

NA62 ($K_{3\pi}$ MC)



NA62: no $K_{3\pi}$ background expected due to high spectrometer P_T (270 vs 120 MeV/c) and improved $\pi\mu\mu$ mass resolution (1.1 vs 2.6 MeV/c^2)

Rare π^0 decays

Dark photon: experimental status

M. Pospelov, PRD80 (2009) 095002

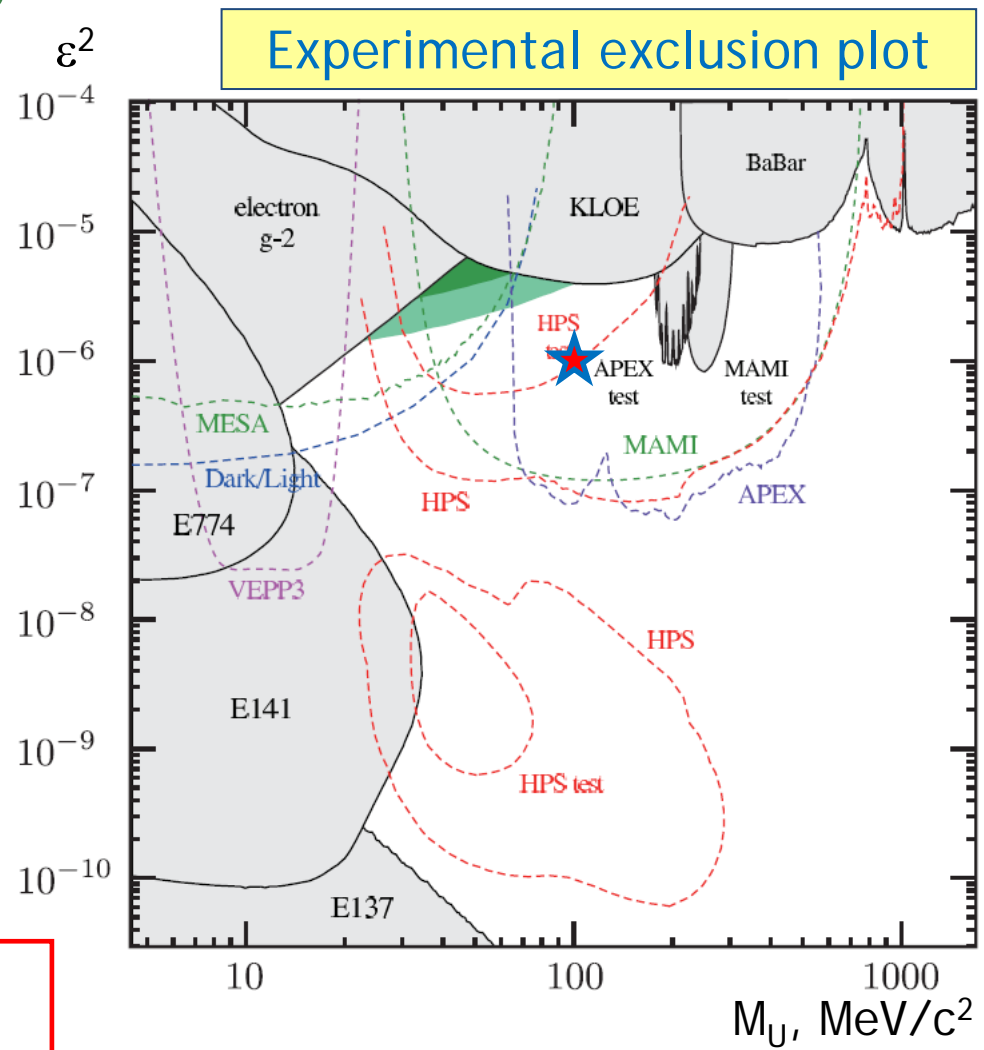
Secluded U(1) sector with weak admixture to photons: a natural SM extension.

A new light vector boson: the **dark photon**.

Possible parameters:
mixing parameter: $\epsilon \sim \alpha/\pi \sim 10^{-3}$,
DP mass: $M_U \sim \epsilon M_Z \sim 100 \text{ MeV}/c^2$.

Can explain some astrophysical observations as well as the muon $g-2$ anomaly.

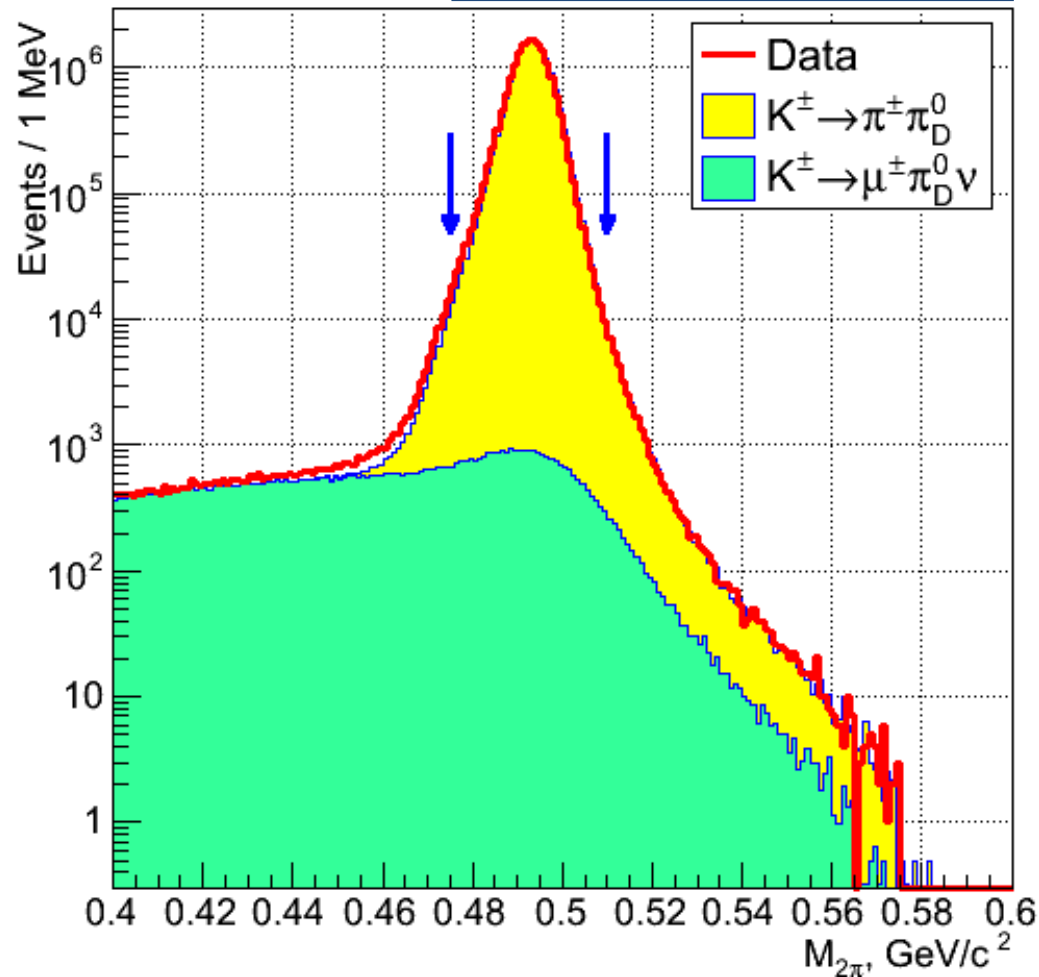
NA48/NA62 are well suited to explore the favoured region ($\epsilon^2 \approx 10^{-6}$, $M_U \approx 100 \text{ MeV}/c^2$)



*Plot from M. Endo et al.,
PRD86 (2012) 095029*

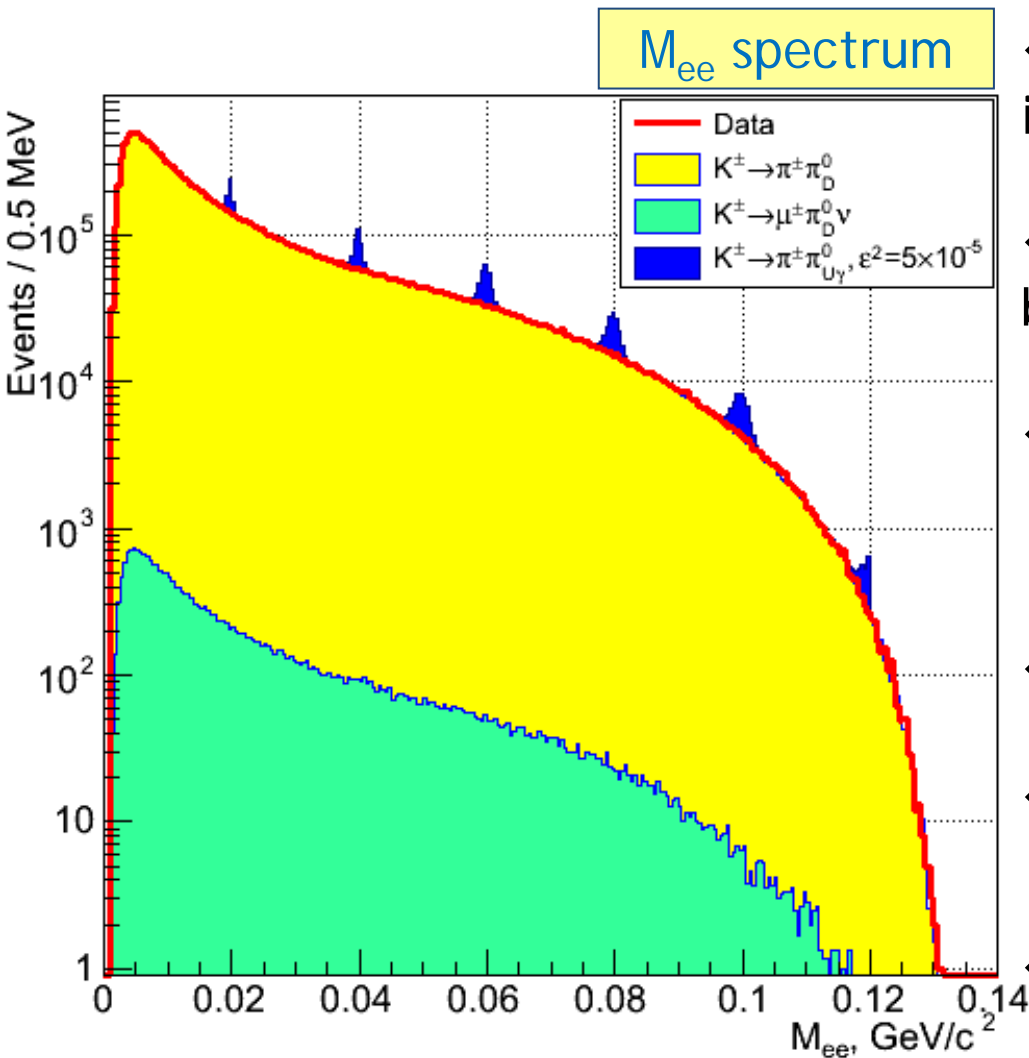
NA48/2: $\pi^0_{\text{D}} \rightarrow e^+e^-\gamma$ sample

$\pi^\pm\pi^0_{\text{D}}$ mass spectrum



- ❖ An **existing data sample** collected in 2003–2004 with a 3-track trigger. Trigger efficiency: $\sim 98\%$.
- ❖ Large sample of tagged π^0_{D} decays: $\sim 2 \times 10^7$ $\text{K}^\pm \rightarrow \pi^\pm\pi^0_{\text{D}}$.
- ❖ Further π^0_{D} samples available from $\text{K}^\pm \rightarrow \pi^0_{\text{D}}\text{l}^\pm\nu$ decays.
- ❖ Search for $\pi^0 \rightarrow \text{U}\gamma$, $\text{U} \rightarrow e^+e^-$. $\text{BR}(\text{U} \rightarrow e^+e^-) = 1$ for $M_{\text{U}} < 2M_{\mu}$.

NA48/2: π^0_D M_{ee} spectrum



❖ Mean dark photo free path $\sim 1\text{mm}$: identical signatures $\pi^0 \rightarrow U\gamma$ and π^0_D .

❖ Sensitivity to dark photon limited by $K_{2\pi D}$ background fluctuation.

❖ **Upper limit** $\sim (\text{Kaon Flux})^{-1/2} \times (\text{Acceptance})^{-1/2} \times (\text{M}_{ee} \text{ resolution})^{-1/2}$

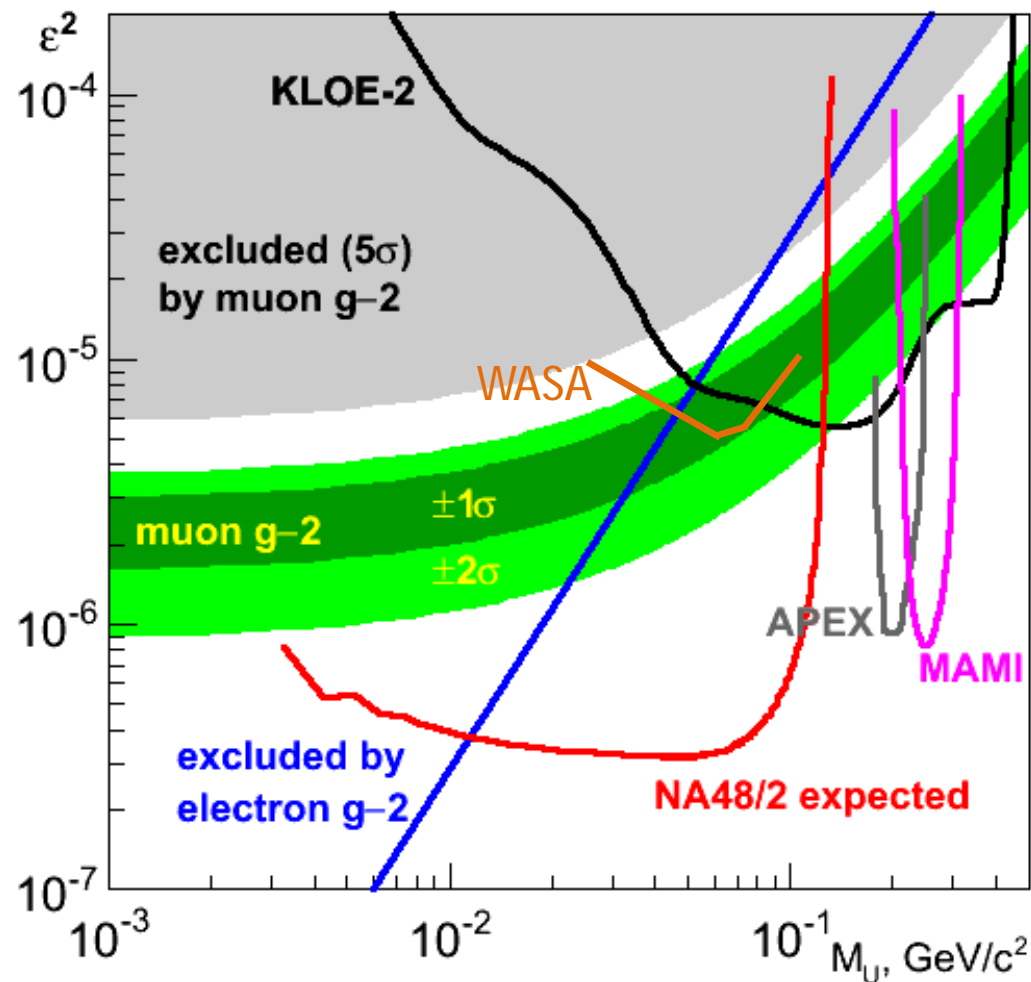
❖ Flux $\sim 2 \times 10^{11}$, acceptance $\sim 5\%$.

❖ Spectrometer resolution:
 $\delta M_{ee} \approx 0.012 M_{ee}$ ($< 1.4 \text{ MeV}/c$).

❖ M_{ee} resolution can be improved using the $(P_K - P_\pi)^2$ constraint.

π^0 form-factor measurement on-going

NA48/2 vs other limits at low M_μ



Experimental constraints

Electron and muon $g-2$:

Endo et al., PRD86 (2012) 095029

KLOE-2 [$\phi \rightarrow \eta e^+ e^-$]:

Babusci et al., PLB720 (2013) 111

A1 @ MAMI (Mainz Microtron)

Merkel et al.,

PRL106 (2011) 251802

APEX @ J-LAB

Abrahamyan et al.,

PRL107 (2011) 191804

WASA preliminary [$\pi^0 \rightarrow \gamma e^+ e^-$]:

Adlarson et al., arXiv:1304.0671

NB: the NA48/2 curve is the expected sensitivity, not a result!

Rare π^0 decays at NA62

Single-event sensitivities for π^0 decays: $\sim 10^{-11}$

Decay mode	Experimental status	Reference	Physics interest
$\pi^0 \rightarrow e^+e^-\gamma$	$BR(\pi^0 \rightarrow U\gamma) < 10^{-5}$ $30 \text{ MeV} < M_U < 100 \text{ MeV}$	WASA @ COSY arXiv:1304.0671	Dark forces
$\pi^0 \rightarrow e^+e^-e^+e^-$	$BR = 3.34(16) \times 10^{-5}$	KTeV @ FNAL PRL100 (2008) 182001	Off-shell vectors
$\pi^0 \rightarrow e^\pm \mu^\mp$	$BR < 3.6 \times 10^{-10}$	KTeV @ FNAL PRL100 (2008) 131803	LFV
$\pi^0 \rightarrow 3\gamma$	$BR < 3.1 \times 10^{-8}$	Crystal Box @ LANL PRD38 (1988) 2121	C violation: $BR_{SM} \sim 10^{-31}$
$\pi^0 \rightarrow 4\gamma$	$BR < 2 \times 10^{-8}$		$BR_{SM} = 2.6 \times 10^{-11}$ NP: light scalars $\pi^0 \rightarrow SS, S \rightarrow \gamma\gamma$
$\pi^0 \rightarrow \nu\bar{\nu}$	$BR < 2.7 \times 10^{-7}$	E949 @ BNL PRD72 (2005) 091102	RH neutrinos, LFV

NA62: “neutral” π^0 decays

$$\pi^0 \rightarrow 3\gamma$$

C-violating decay: $BR_{SM} \sim 10^{-31 \pm 6}$ [Dicus, PRD12 (1975) 2133]

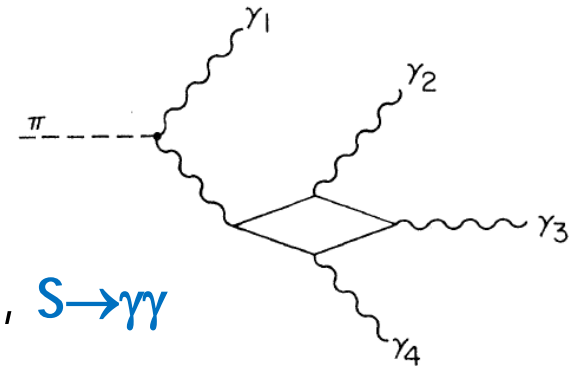
NP not beyond the corner, e.g. non-commutative QED: $BR_{NP} \sim 10^{-21}$
[Grosse, Liao, PLB520 (2001) 63]

Experiment: $BR_{exp} < 3.1 \times 10^{-8}$ (π^- stopped at proton target)
[PRD38 (1988) 2121]

$$\pi^0 \rightarrow 4\gamma$$

SM: dominated by QED electron-loop,
 $BR_{SM} = (2.6 \pm 0.1) \times 10^{-11}$
[Bratkovskaya et al., PLB359 (1995) 217]

Deviation: e.g. NP involving light scalars, $\pi^0 \rightarrow SS$, $S \rightarrow \gamma\gamma$
 $BR_{exp} < 2 \times 10^{-8}$ [PRD38 (1988) 2121]



NA62 may improve these limits to $BR \sim 10^{-10}$,
however a dedicated trigger has to be implemented

NA62: the invisible π^0 decay

For a given flavour of massive neutrino ($m_\nu < m_\pi/2$),

$$\mathcal{B}(\pi^0 \rightarrow \nu\bar{\nu}) \approx 3 \times 10^{-8} k (m_\nu/m_\pi)^2 \sqrt{1 - 4(m_\nu/m_\pi)^2}$$

$$k = \begin{cases} 1, & \text{Dirac type} \\ 2, & \text{Majorana type} \end{cases}$$

From ν_τ mass: $m_{\nu_\tau} < 18.2 \text{ MeV}/c^2$, $\mathcal{B}(\pi^0 \rightarrow \nu\bar{\nu}) < 5 \times 10^{-10}$
[ALEPH, EPJ C2 (1998) 395]

From primordial nucleosynthesis: $\mathcal{B}(\pi^0 \rightarrow \nu\bar{\nu}) < 3 \times 10^{-13}$
[Lam, Ng, PRD44 (1991) 3345; see also Natale, PLB258 (1991) 227]

Possible BR enhancement due to NP with RH neutrino states

Direct experimental limit: $\text{BR} < 2.7 \times 10^{-7}$,
by-product of the BNL $K^+ \rightarrow \pi^+ \nu\bar{\nu}$ analysis [PRD72 (2005) 091102]

NA62 experimental signature is similar to $K^+ \rightarrow \pi^+ \nu\bar{\nu}$.
The limit can be improved to $\text{BR} \sim 10^{-10}$.

**Selected recent results,
ongoing analyses**

$R_K = \Gamma(K_{e2})/\Gamma(K_{\mu2})$ beyond the SM

2HDM - tree level

$K^\pm \rightarrow l^\pm \nu$ can proceed via charged Higgs H^\pm
(in addition to W^\pm) exchange

→ Does not affect the ratio R_K

2HDM - one-loop level

Dominant contribution to R_K : H^\pm mediated

LFV (rather than LFC) with emission of ν_τ

→ R_K enhancement can be experimentally accessible

$$R_K^{\text{LFV}} \approx R_K^{\text{SM}} \left[1 + \left(\frac{m_K^4}{M_{H^\pm}^4} \right) \left(\frac{m_\tau^2}{M_e^2} \right) |\Delta_{13}|^2 \tan^6 \beta \right] \Rightarrow \text{sensitive to slepton mixing}$$

❖ MSSM: ~1% effect possible

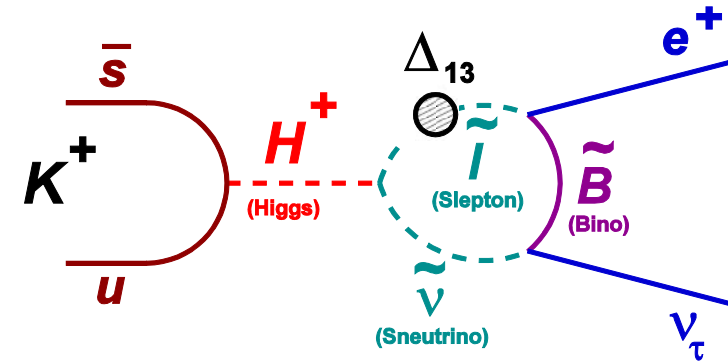
Girrbach and Nierste, arXiv:1202.4906

❖ However limited by the recent $B_{(s)} \rightarrow \mu^+ \mu^-$ measurements

Fonseca, Romão and Teixeira, EPJC 72 (2012) 2228

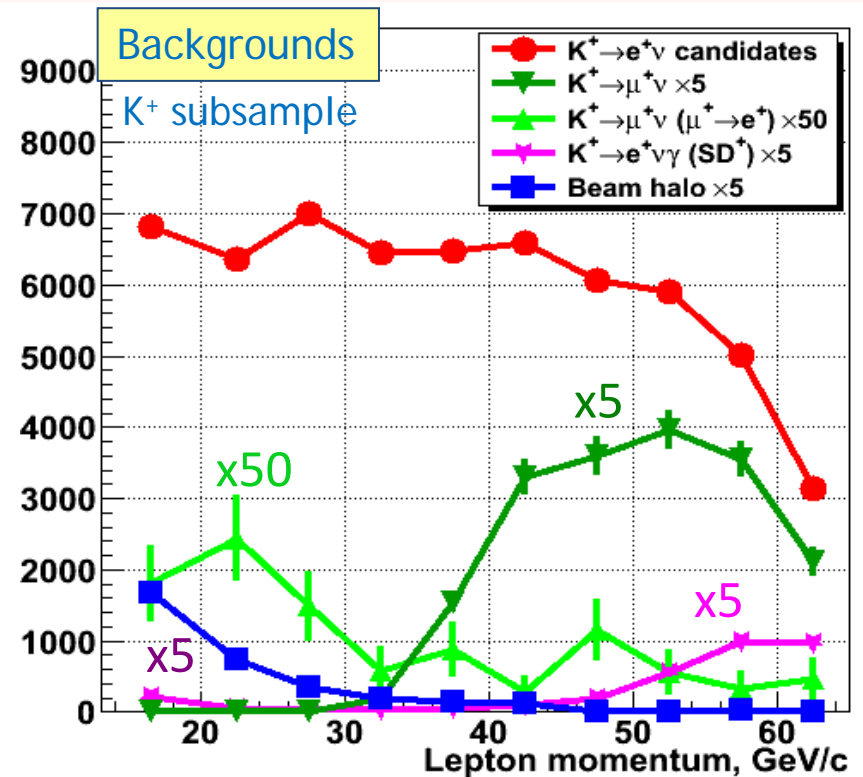
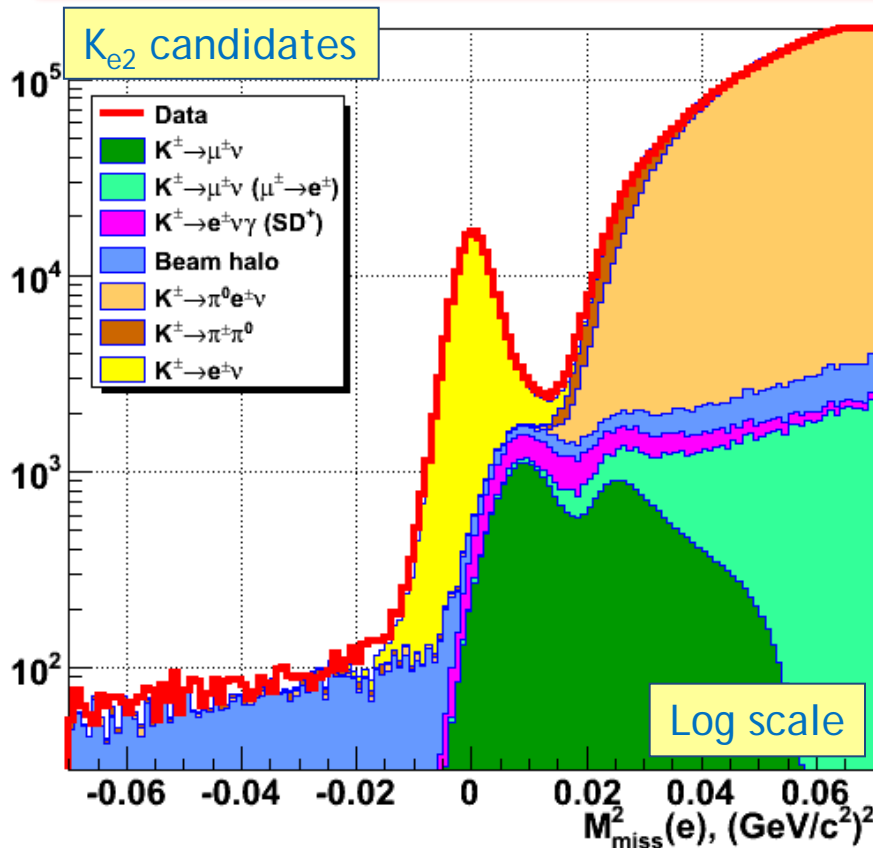
❖ Sensitive to SM extensions with 4th generation, sterile neutrinos

Lacker and Menzel, JHEP 1007 (2010) 006; Abada et al., JHEP 1302 (2013) 048



*Masiero, Paradisi and Petronzio,
PRD 74 (2006) 011701,
JHEP 0811 (2008) 042*

NA62-R_K data: K_{e2} sample



145,958 $K^{\pm} \rightarrow e^{\pm} \nu$ candidates.
 Background: $B/(S+B) = (10.95 \pm 0.27)\%$.
 Electron ID efficiency: $(99.28 \pm 0.05)\%$.

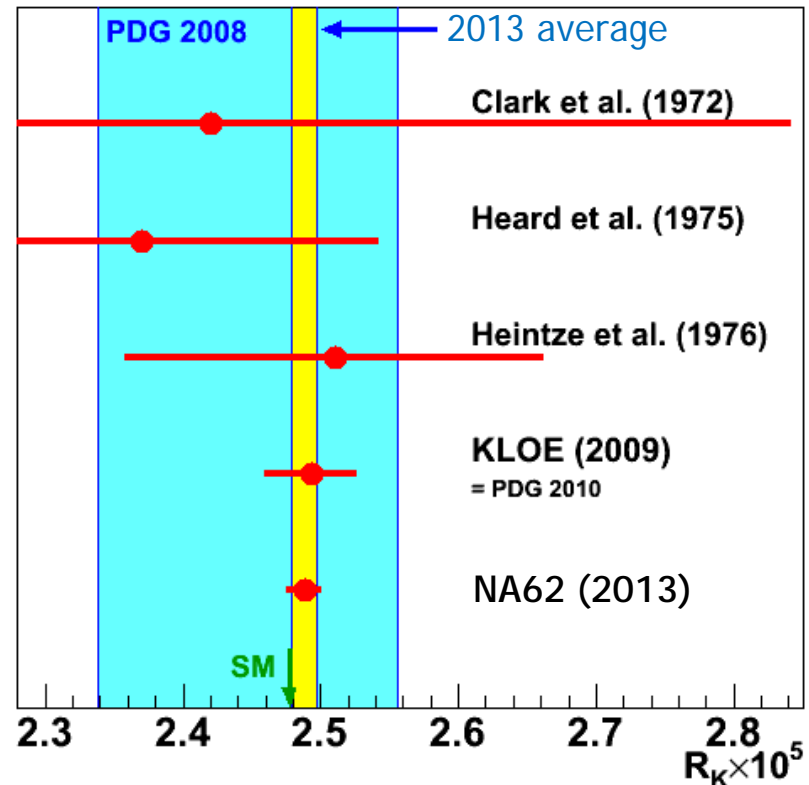
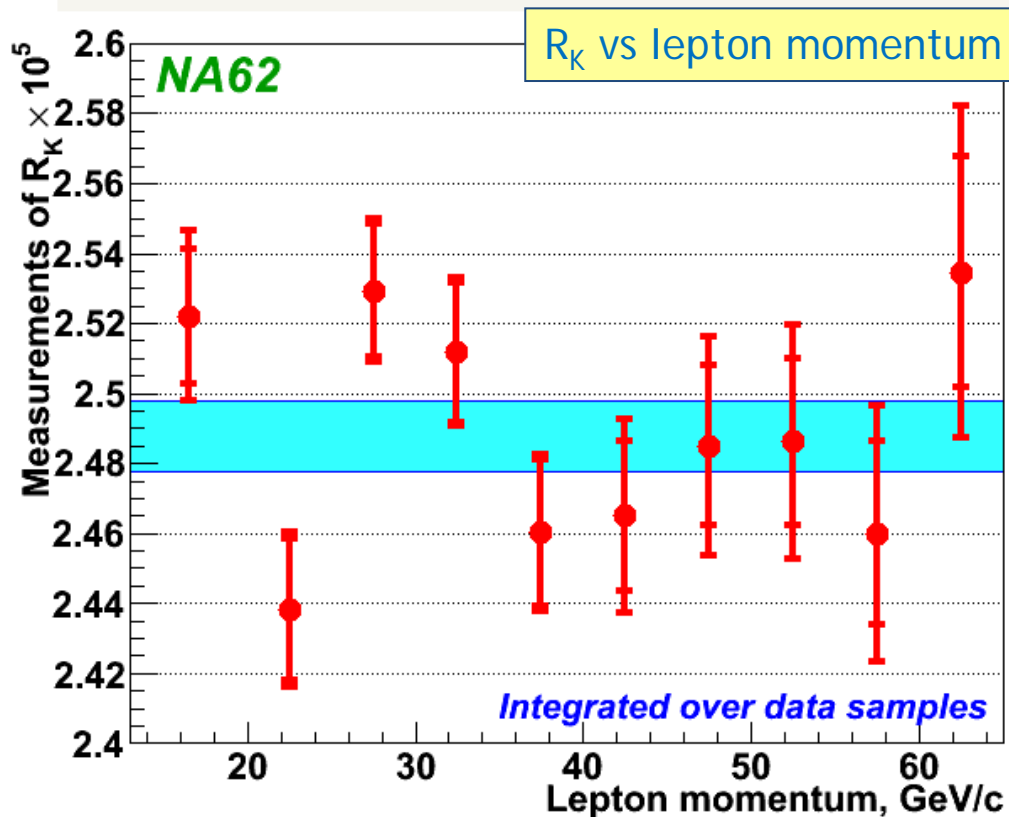
Source	$B/(S+B)$
$K_{\mu 2}$	$(5.64 \pm 0.20)\%$
$K_{\mu 2} (\mu \rightarrow e)$	$(0.26 \pm 0.03)\%$
$K_{e2\gamma} (SD)$	$(2.60 \pm 0.11)\%$
$K_{e3(D)}$	$(0.18 \pm 0.09)\%$
$K_{2\pi(D)}$	$(0.12 \pm 0.06)\%$
Opposite sign K	$(0.04 \pm 0.02)\%$
Beam halo	$(2.11 \pm 0.09)\%$
Total	$(10.95 \pm 0.27)\%$

NA62- R_K final result

PLB 719 (2013) 326

$$R_K = (2.488 \pm 0.007_{\text{stat}} \pm 0.007_{\text{syst}}) \times 10^{-5}$$

$$= (2.488 \pm 0.010) \times 10^{-5}$$

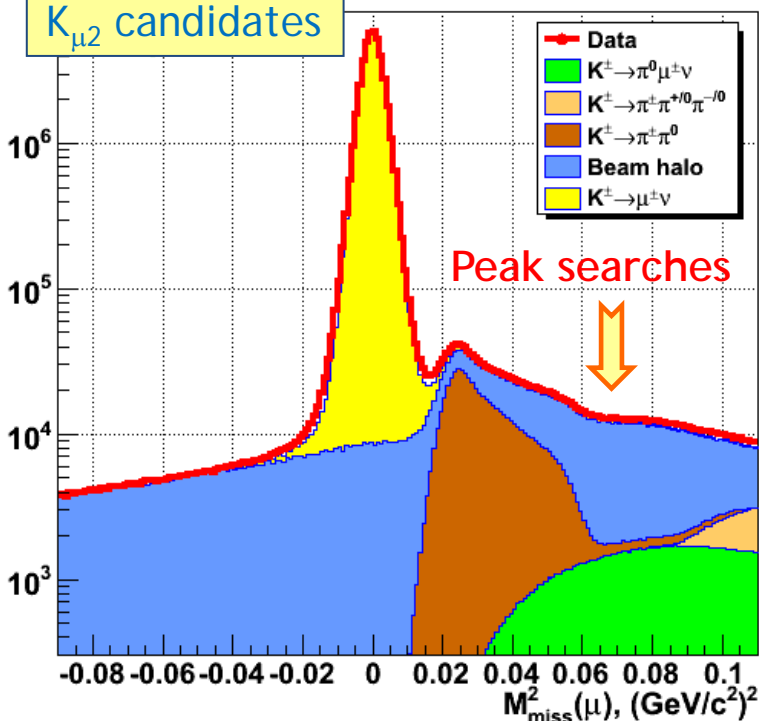


World average	$R_K \times 10^5$	Precision
PDG 2008	2.447 ± 0.109	4.5%
2013	2.488 ± 0.009	0.4%

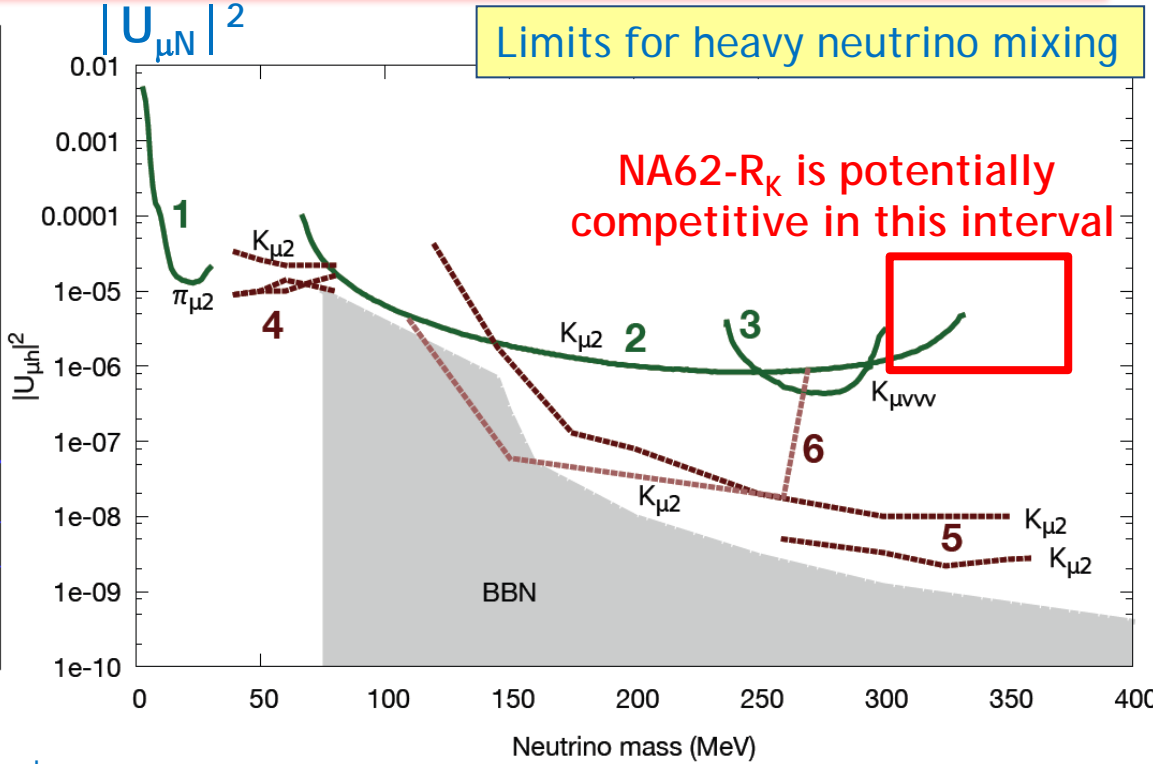
*NA62 prospects:
improve the uncertainty
by a factor ~2*

$K_{\mu 2}$: heavy sterile neutrinos

$K_{\mu 2}$ candidates



Limits for heavy neutrino mixing



NA62- R_K subsample: 18.0M $K^+ \rightarrow \mu^+ \nu_\mu$.
 → Search for heavy sterile neutrino: $K^+ \rightarrow \mu^+ N$.

❖ NA62- R_K UL if no backgrounds:
 $|U_{\mu N}|^2 < 10^{-7}$, $100 \text{ MeV}/c^2 < M_N < 380 \text{ MeV}/c^2$.

❖ Sensitivity is limited by background fluctuation (mainly beam halo).

❖ NA62- R_K is competitive at high M_N .

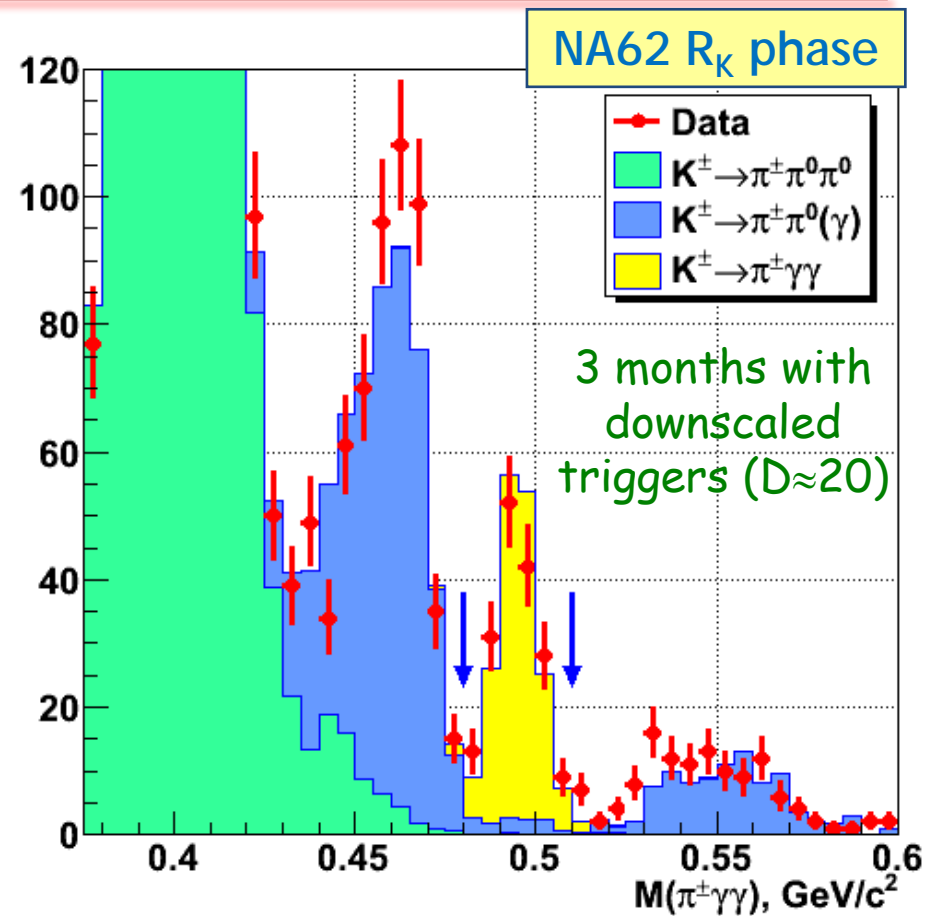
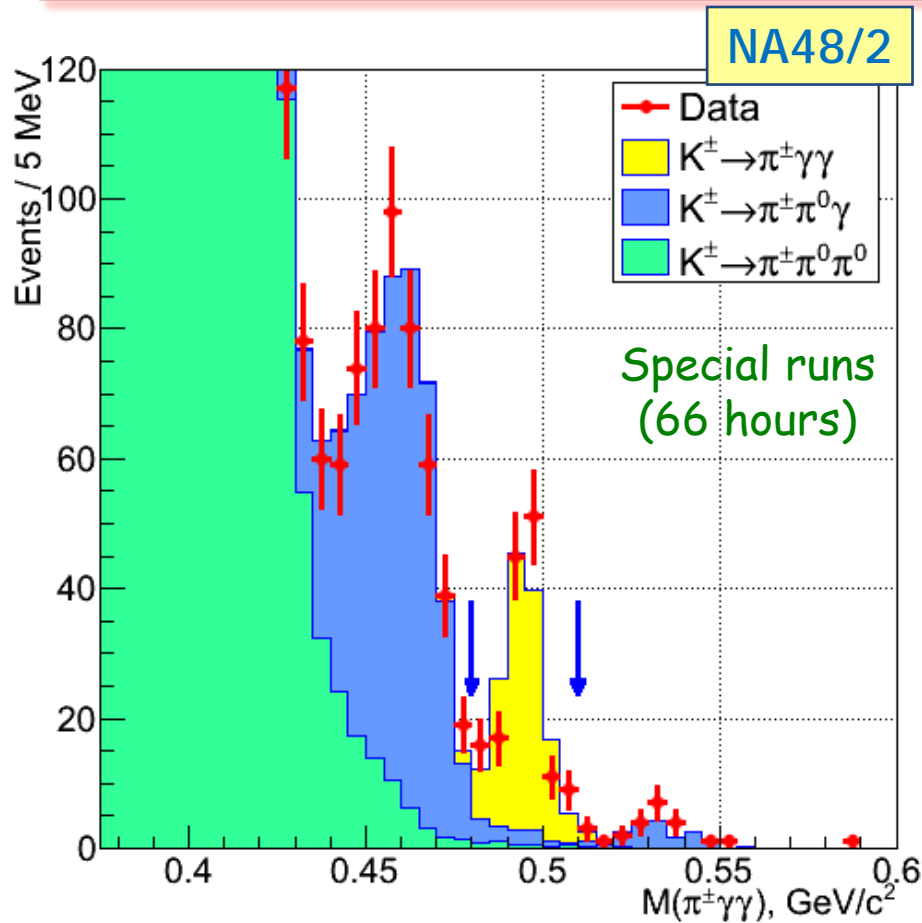
Peak searches (long-lived ν_h)

1. PSI, PLB 105 (1981) 263.
2. KEK, PRL 49 (1982) 1305.
3. LBL, PRD 8 (1973) 1989.

Decay searches (short-lived ν_h)

4. ISTRAP+, PLB 710 (2012) 307.
5. CERN-PS191, PLB 203 (1988) 332
6. BNL-E949, preliminary

Minimum bias data: $K^\pm \rightarrow \pi^\pm \gamma \gamma$



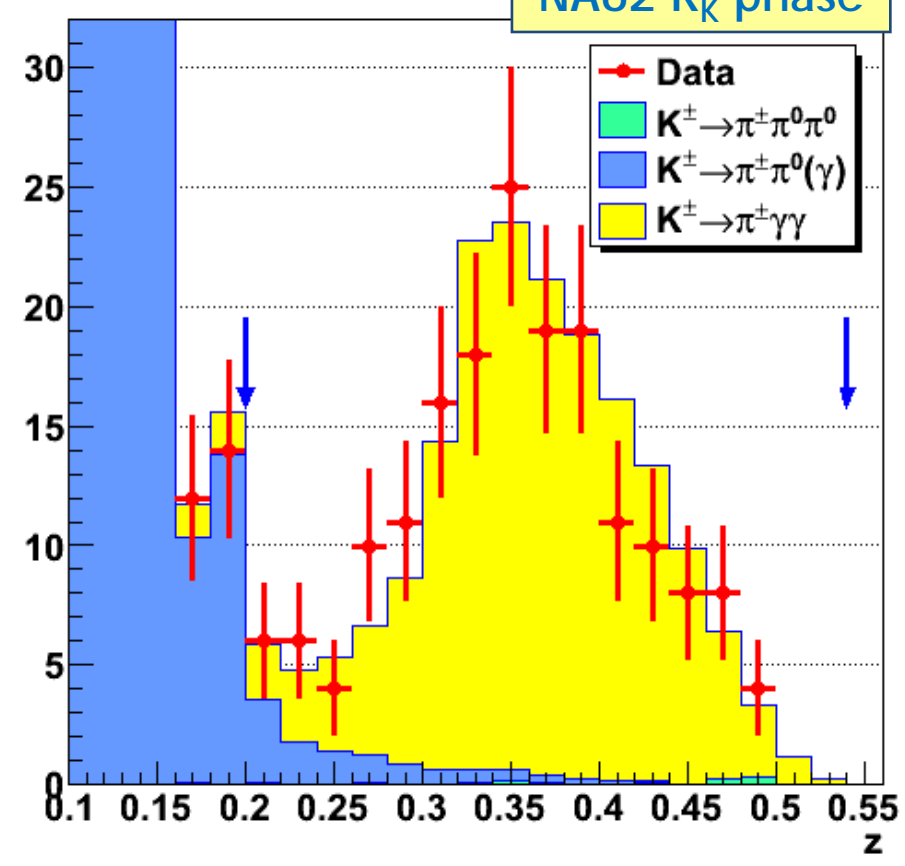
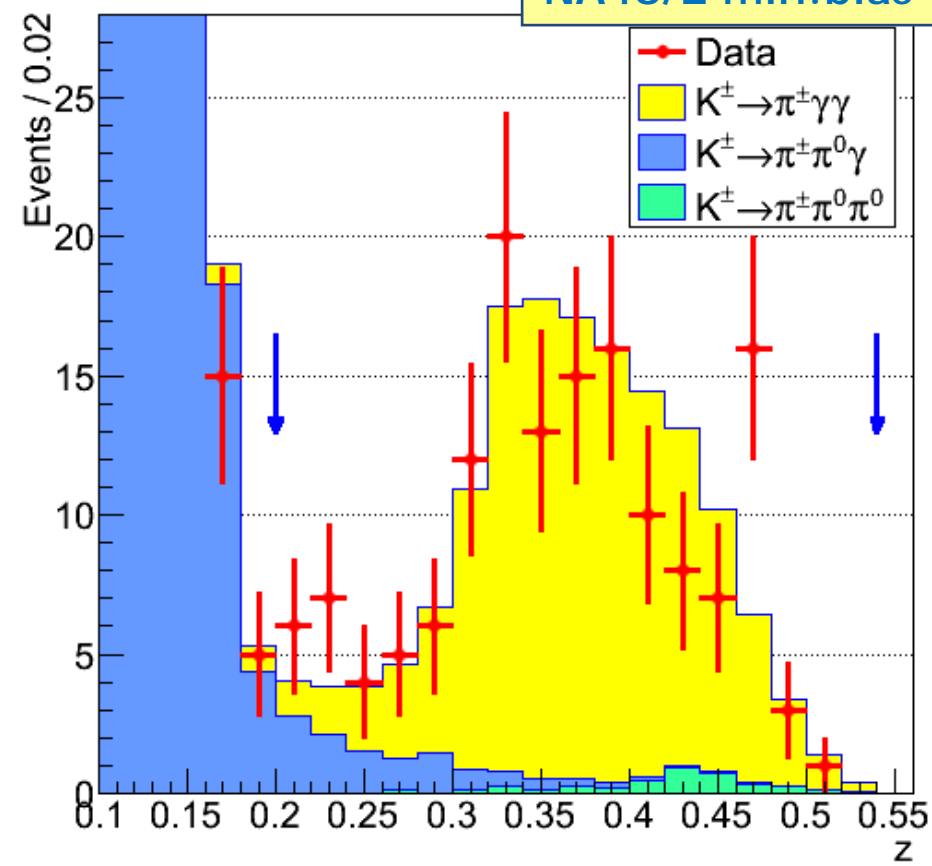
$K_{\pi\gamma\gamma}$ candidates	149
$K_{2\pi(\gamma)}$ background	11.4 ± 0.6
$K_{3\pi}$ background	4.1 ± 0.4
$K_{\pi\gamma\gamma}$ signal	134 ± 12

$K_{\pi\gamma\gamma}$ candidates	175
$K_{2\pi(\gamma)}$ background	11.1 ± 1.0
$K_{3\pi}$ background	1.3 ± 0.3
$K_{\pi\gamma\gamma}$ signal	163 ± 13

Fits to ChPT description

NA48/2 min.bias

NA62 R_K phase



→ Data support the ChPT prediction: cusp at di-pion threshold

NA48/2 final result: $BR_{MI}(z > 0.2) = (0.877 \pm 0.087_{stat} \pm 0.017_{syst}) \times 10^{-6}$

Measurement of the ChPT parameters: publications in preparation

Summary

- ❖ **NA48/2** (2003–2004): a multi-purpose K^\pm experiment.
 - ✓ K^\pm physics at a new precision level (15 PL, EPJ papers so far);
 - ✓ K^\pm and π^0 rare decay analyses are on-going.
- ❖ **NA62- R_K** (2007–2008): minimum bias electron trigger.
 - ✓ Lepton Universality test at a record **0.4%** precision:
 $BR(K^\pm \rightarrow e^\pm \nu) / BR(K^\pm \rightarrow \mu^\pm \nu) = (2.488 \pm 0.010) \times 10^{-5}$;
 - ✓ rare decays, heavy neutrinos: analyses on-going.
- ❖ **NA62** (construction/commissioning).
 - ✓ expected single event sensitivity for K^+ decays: $\sim 10^{-12}$;
 - ✓ preparing for the physics run in 2014 (low intensity);
 - ✓ a diverse physics programme is taking shape;
 - ✓ friends and competitors worldwide (including J-PARC, FNAL).

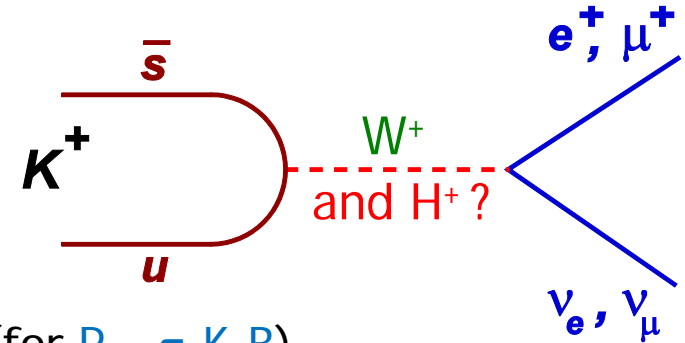
Spare slides

Leptonic meson decays

Light leptons: SM contribution suppressed by angular momentum conservation

$$\Gamma(P^+ \rightarrow l^+ \nu) = \frac{G_F^2 M_P M_l^2}{8\pi} \left(1 - \frac{M_l^2}{M_P^2}\right)^2 f_P^2 |V_{qq'}|^2$$

Models with 2 Higgs doublets (2HDM-II including SUSY): sizeable charged Higgs (H^\pm) exchange contributions.



$$\frac{\Gamma(P^\pm \rightarrow l^\pm \nu)}{\Gamma^{\text{SM}}(P^\pm \rightarrow l^\pm \nu)} = \left[1 - \left(\frac{M_P}{M_H}\right)^2 \frac{\tan^2 \beta}{1 + \varepsilon_0 \tan \beta} \right]^2$$

(for $P = \pi, K, B$)

Hou, PRD 48 (1993) 2342;
Isidori, Paradisi, PLB 639 (2006) 499

$$\begin{aligned} \pi^+ \rightarrow l^+ \nu: & \quad |\Delta\Gamma/\Gamma_{\text{SM}}| \sim 2(m_\pi/m_H)^2 m_d/(m_u+m_d) \tan^2\beta \sim 10^{-4} \\ K^+ \rightarrow l^+ \nu: & \quad |\Delta\Gamma/\Gamma_{\text{SM}}| \sim 2(m_K/m_H)^2 \tan^2\beta \sim 10^{-3} \end{aligned}$$

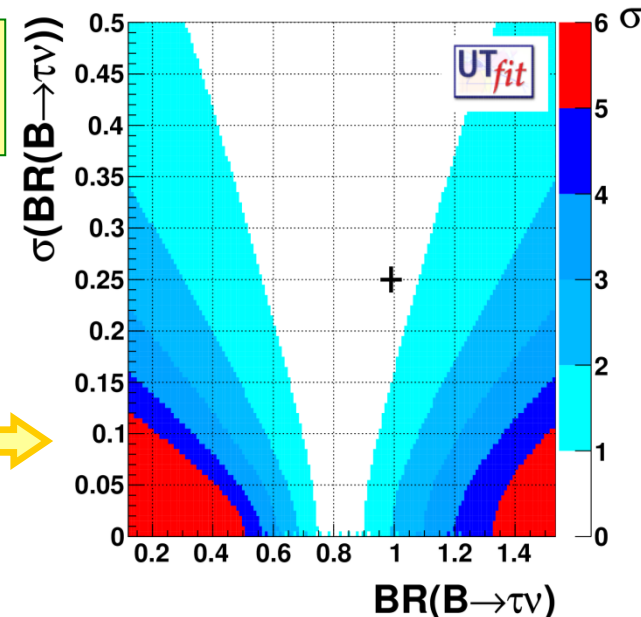
... smaller than SM prediction uncertainties (f_P, V_{CKM})

Potentially larger effect in $B^+ \rightarrow \tau^+ \nu$:

$$\text{Experiment: } \text{BR}_{\text{exp}}(B^+ \rightarrow \tau^+ \nu) = (0.99 \pm 0.25) \times 10^{-4}$$

$$\text{SM: } \text{BR}_{\text{SM}}(B^+ \rightarrow \tau^+ \nu) = (0.83 \pm 0.08) \times 10^{-4}$$

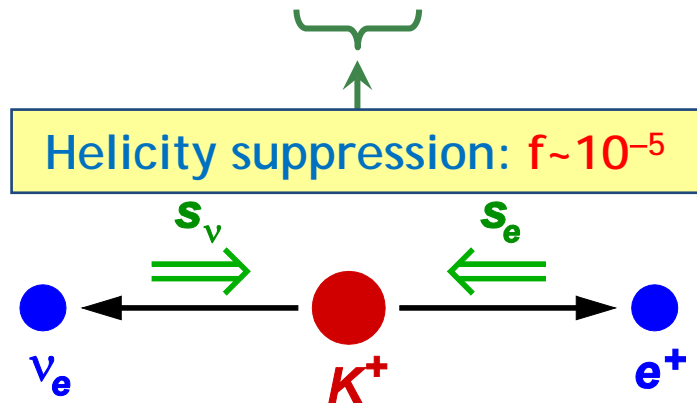
(UTfit, winter 2013)



$R_K = \Gamma(K_{e2})/\Gamma(K_{\mu2})$ in the SM

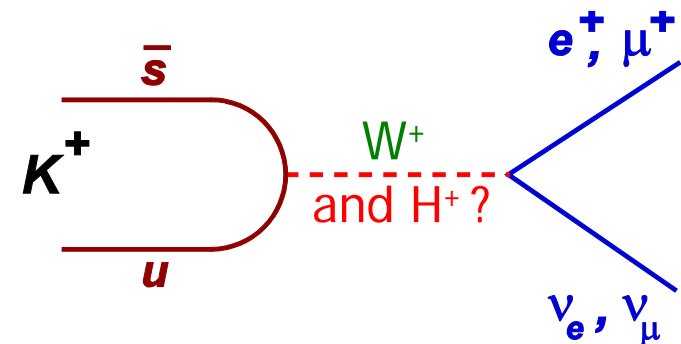
Lepton Universality test:

$$R_K = \frac{\Gamma(K^\pm \rightarrow e^\pm \nu)}{\Gamma(K^\pm \rightarrow \mu^\pm \nu)} = \frac{m_e^2}{m_\mu^2} \cdot \left(\frac{m_K^2 - m_e^2}{m_K^2 - m_\mu^2} \right)^2 \cdot (1 + \delta R_K^{\text{rad. corr.}})$$



Radiative correction
(well known, few %)

- ❖ **SM**: excellent sub-permille accuracy, not obstructed by hadronic uncertainties.
- ❖ Measurements of R_K and R_π have long been considered as LU tests.
- ❖ **Suppression of the SM contribution**: potentially accessible NP contributions.

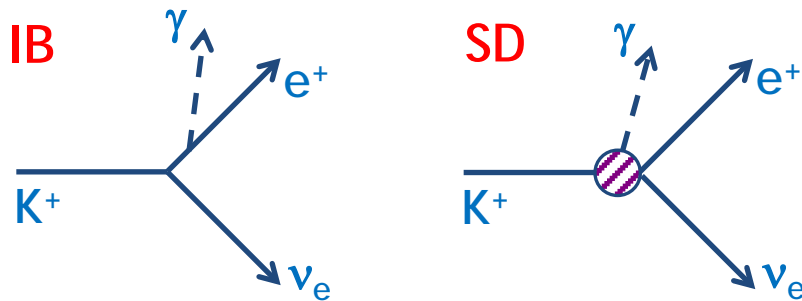


$$R_K^{\text{SM}} = (2.477 \pm 0.001) \times 10^{-5}$$

Cirigliano and Rosell,
PRL99 (2007) 231801 **39**

The radiative $K^\pm \rightarrow e^\pm \nu \gamma$ decay

R_K is inclusive of IB radiation by definition.
SD: background.



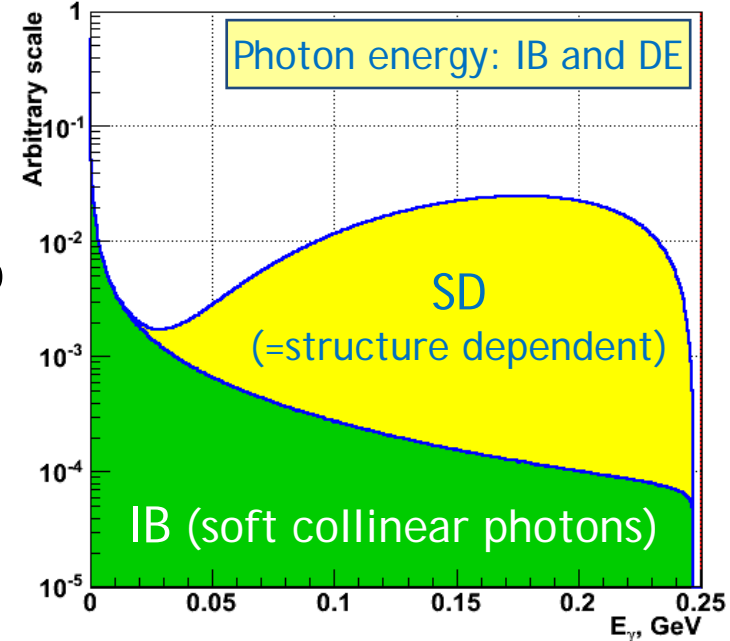
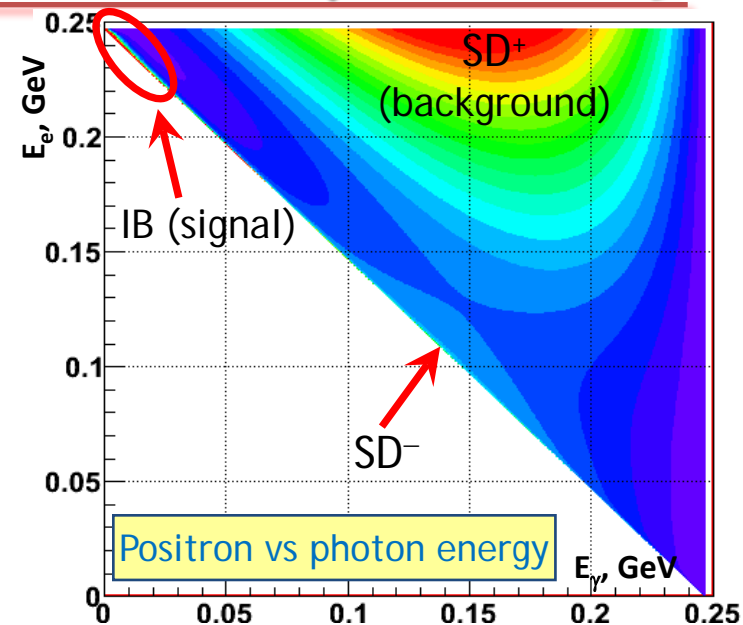
SD component is not helicity suppressed:
 $BR(K^+ \rightarrow e^+ \nu \gamma \text{ SD}) \sim BR(K^+ \rightarrow e^+ \nu)$

Background subtraction uses external input:
KLOE measurement of the form factor leads to

$$BR(\text{SD}^+) = (1.37 \pm 0.06) \times 10^{-5}.$$

EPJC 64 (2009) 627

Background: $B/(S+B) = (2.60 \pm 0.11)\%$



K_{e2} vs $K_{\mu2}$ selection

Large common part (topological similarity)

- one reconstructed track (lepton candidate);
- geometrical acceptance cuts;
- K decay vertex: closest approach of lepton track & nominal kaon axis;
- veto extra LKr energy deposition clusters;
- track momentum: $13\text{GeV}/c < p < 65\text{GeV}/c$.

Kinematic identification

missing mass

$$M_{miss}^2 = (P_K - P_l)^2$$

P_K : average monitored with $K_{3\pi}$ decays

→ sufficient $K_{e2}/K_{\mu2}$ separation at $p_{lepton} < 30\text{GeV}/c$

Lepton identification

E/p = (LKr energy deposit/track momentum).

$(0.90 \text{ to } 0.95) < E/p < 1.10$ for electrons,

$E/p < 0.85$ for muons.

→ powerful μ^\pm suppression in e^\pm sample ($\sim 10^6$)

