QCD Phenomenology at High Energy

Bryan Webber

CERN Academic Training Lectures 2008

Lecture 2: e⁺e⁻, NLO & Parton Branching

• e⁺e⁻

- Annihilation cross section
- Shape distributions
- Resummation and matching
- ✤ Jet fractions
- NLO QCD Calculations
 - Phase space slicing
 - Subtraction method
- Parton Branching
 - Kinematics
 - Splitting functions
 - Phase space
 - ✤ 4-jet angular distribution

e⁺e⁻ Annihilation Cross Section

• $e^+e^- \rightarrow \mu^+\mu^-$ is a fundamental electroweak processes. Same type of process, $e^+e^- \rightarrow q\bar{q}$, will produce hadrons. Cross sections are roughly proportional.



Since formation of hadrons is non-perturbative, how can PT give hadronic cross section? This can be understood by visualizing event in space-time:

♦ e^+ and e^- collide to form γ or Z^0 with virtual mass $Q = \sqrt{s}$. This fluctuates into $q\bar{q}$, $q\bar{q}g$,..., occupy space-time volume ~ 1/Q. At large Q, rate for this short-distance process given by PT.



- Subsequently, at much later time $\sim 1/\Lambda$, produced quarks and gluons form hadrons. This modifies outgoing state, but occurs too late to change original probability for event to happen.
- Well below Z^0 , process $e^+e^- \rightarrow f\bar{f}$ is purely electromagnetic, with lowest-order (Born) cross section (neglecting quark masses)

$$\sigma_0 = {4\pi lpha^2\over 3s} \; Q_J^2$$

Thus $(3 = N = \text{number of possible } q\bar{q} \text{ colours})$

$$R \equiv \frac{\sigma(e^+e^- \to \text{hadrons})}{\sigma(e^+e^- \to \mu^+\mu^-)} = \frac{\sum_q \sigma(e^+e^- \to q\bar{q})}{\sigma(e^+e^- \to \mu^+\mu^-)} = 3\sum_q Q_q^2$$

• On Z^0 pole, $\sqrt{s} = M_Z$, neglecting γ/Z interference

$$\sigma_0 = \frac{4\pi \alpha^2 \kappa^2}{3\Gamma_Z^2} (a_e^2 + v_e^2) (a_f^2 + v_f^2)$$

where
$$\kappa = \sqrt{2}G_F M_Z^2 / 4\pi \alpha = 1/\sin^2(2\theta_W) \simeq 1.5$$
. Hence

$$R_Z = \frac{\Gamma(Z \to \text{hadrons})}{\Gamma(Z \to \mu^+ \mu^-)} = \frac{\sum_q \Gamma(Z \to q\bar{q})}{\Gamma(Z \to \mu^+ \mu^-)} = \frac{3\sum_q (a_q^2 + v_q^2)}{a_\mu^2 + v_\mu^2}$$

• Measured cross section is about 5% higher than σ_0 , due to QCD corrections. For massless quarks, corrections to R and R_Z are equal. To $\mathcal{O}(\alpha_s)$ we have:



• Real emission diagrams (b):

Write 3-body phase-space integration as

$$d\Phi_3=[...]dlpha\,deta\,d\gamma\,dx_1\,dx_2\;,$$

 $lpha,eta,\gamma$ are Euler angles of 3-parton plane,

 $x_1 = 2p_1 \cdot q/q^2 = 2E_q/\sqrt{s},$ $x_2 = 2p_2 \cdot q/q^2 = 2E_{\bar{q}}/\sqrt{s}.$

Applying Feynman rules and integrating over Euler angles:

$$\sigma^{q\bar{q}g} = 3\sigma_0 C_F rac{lpha_{\mathsf{S}}}{2\pi} \int dx_1 \, dx_2 rac{x_1^2 + x_2^2}{(1-x_1)(1-x_2)}$$

Integration region: $0 \le x_1, x_2, x_3 \le 1$ where $x_3 = 2k \cdot q/q^2 = 2E_g/\sqrt{s} = 2 - x_1 - x_2.$ \clubsuit Integral divergent at $x_{1,2} = 1$:

$$1 - x_1 = \frac{1}{2} x_2 x_3 (1 - \cos \theta_{qg})$$

$$1 - x_2 = \frac{1}{2} x_1 x_3 (1 - \cos \theta_{\bar{q}g})$$

Divergences: collinear when $\theta_{qg} \to 0$ or $\theta_{\bar{q}g} \to 0$; soft when $E_g \to 0$, i.e. $x_3 \to 0$. Singularities are not physical – simply indicate breakdown of PT when energies and/or invariant masses approach QCD scale Λ .

Collinear and/or soft regions do not in fact make important contribution to R. To see this, make integrals finite using dimensional regularization, $D = 4 - 2\epsilon$ with $\epsilon < 0$. Then

$$\sigma^{q\bar{q}g} = 2\sigma_0 \frac{\alpha_{\rm S}}{\pi} H(\epsilon) \int dx_1 dx_2 \frac{(1-\epsilon)(x_1^2 + x_2^2) + \epsilon(1-x_3)}{(1-x_3)^{\epsilon} [(1-x_1)(1-x_2)]^{1+\epsilon}}$$

where $H(\epsilon) = \frac{3(1-\epsilon)(4\pi)^{2\epsilon}}{(3-2\epsilon)\Gamma(2-2\epsilon)} = 1 + \mathcal{O}(\epsilon)$.

Hence

$$\sigma^{q\bar{q}g} = 2\sigma_0 \frac{\alpha_{\mathsf{S}}}{\pi} H(\epsilon) \left[\frac{2}{\epsilon^2} + \frac{3}{\epsilon} + \frac{19}{2} - \pi^2 + \mathcal{O}(\epsilon) \right] .$$

Soft and collinear singularities are regulated, appearing instead as poles at D = 4.
 Virtual gluon contributions (a): using dimensional regularization again

$$\sigma^{q\bar{q}} = 3\sigma_0 \left\{ 1 + \frac{2\alpha_{\mathsf{S}}}{3\pi} H(\epsilon) \left[-\frac{2}{\epsilon^2} - \frac{3}{\epsilon} - 8 + \pi^2 + \mathcal{O}(\epsilon) \right] \right\} .$$

• Adding real and virtual contributions, poles cancel and result is finite as $\epsilon \to 0$:

$$R = 3\sum_{q} Q_q^2 \left\{ 1 + \frac{\alpha_{\mathsf{S}}}{\pi} + \mathcal{O}(\alpha_{\mathsf{S}}^2) \right\}.$$

Thus R is an infrared safe quantity.

• Coupling α_{S} evaluated at renormalization scale μ . UV divergences in R cancel to $\mathcal{O}(\alpha_{S})$, so coefficient of α_{S} independent of μ . At $\mathcal{O}(\alpha_{S}^{2})$ and higher, UV divergences make coefficients renormalization scheme dependent:

$$R = 3 K_{QCD} \sum_{q} Q_q^2 ,$$

$$K_{QCD} = 1 + \frac{\alpha_{\mathsf{S}}(\mu^2)}{\pi} + \sum_{n \ge 2} C_n \left(\frac{s}{\mu^2}\right) \left(\frac{\alpha_{\mathsf{S}}(\mu^2)}{\pi}\right)^n$$

• In $\overline{\text{MS}}$ scheme with scale $\mu = \sqrt{s}$,

$$C_2(1) = \frac{365}{24} - 11\zeta(3) - [11 - 8\zeta(3)]\frac{N_f}{12}$$

\$\approx 1.986 - 0.115N_f\$

Coefficient C_3 is also known.

• Scale dependence of C_2 , C_3 ... fixed by requirement that, order-by-order, series should be independent of μ . For example

$$C_2\left(rac{s}{\mu^2}
ight) = C_2(1) - rac{eta_0}{4}\lograc{s}{\mu^2}$$

where $\beta_0 = 4\pi b = 11 - 2N_f/3$.

Scale and scheme dependence only cancels completely when series is computed to all orders.
 Scale change at O(αⁿ_S) induces changes at O(αⁿ⁺¹_S). The more terms are added, the more stable is prediction with respect to changes in μ.



Residual scale dependence is an important source of uncertainty in QCD predictions. One can vary scale over some 'physically reasonable' range, e.g. $\sqrt{s}/2 < \mu < 2\sqrt{s}$, to try to quantify this uncertainty, but there is no real substitute for a full higher-order calculation.

e⁺e⁻ Shape Distributions

- Shape variables measure some aspect of shape of hadronic final state, e.g. whether it is pencil-like, planar, spherical etc.
- For $d\sigma/dX$ to be calculable in PT, shape variable X should be infrared safe, i.e. insensitive to emission of soft or collinear particles. In particular, X must be invariant under $p_i \rightarrow p_j + p_k$ whenever p_j and p_k are parallel or one of them goes to zero.
- Examples are Thrust and C-parameter:

$$T = \max \frac{\sum_{i} |\boldsymbol{p}_{i} \cdot \boldsymbol{n}|}{\sum_{i} |\boldsymbol{p}_{i}|}$$
$$C = \frac{3}{2} \frac{\sum_{i,j} |\boldsymbol{p}_{i}| |\boldsymbol{p}_{j}| \sin^{2} \theta_{ij}}{(\sum_{i} |\boldsymbol{p}_{i}|)^{2}}$$

After maximization, unit vector n defines *thrust axis*.

• In Born approximation final state is $q\bar{q}$ and 1 - T = C = 0. Non-zero contribution at $\mathcal{O}(\alpha_{\rm S})$ comes from $e^+e^- \rightarrow q\bar{q}g$. Recall distribution of $x_i = 2E_i/\sqrt{s}$:

$$rac{1}{\sigma}rac{d^2\sigma}{dx_1dx_2} \;=\; C_Frac{lpha_{\sf S}}{2\pi}\,rac{x_1^2+x_2^2}{(1-x_1)(1-x_2)}\;.$$

Distribution of shape variable X is obtained by integrating over x_1 and x_2 with constraint $\delta(X - f_X(x_1, x_2, x_3 = 2 - x_1 - x_2))$, i.e. along contour of constant X in (x_1, x_2) -plane.

• For thrust, $f_T = \max\{x_1, x_2, x_3\}$ and we find

$$\frac{1}{\sigma} \frac{d\sigma}{dT} = C_F \frac{\alpha_S}{2\pi} \left[\frac{2(3T^2 - 3T + 2)}{T(1 - T)} \log\left(\frac{2T - 1}{1 - T}\right) - \frac{3(3T - 2)(2 - T)}{(1 - T)} \right].$$

This diverges as $T \to 1$, due to soft and collinear gluon singularities. Virtual gluon contribution is negative and proportional to $\delta(1-T)$, such that correct total cross section is obtained after integrating over $\frac{2}{3} \leq T \leq 1$, the physical region for two- and three-parton final states.

• Corrections up to $\mathcal{O}(\alpha_{\rm S}^3)$ are known. Comparisons with data provide test of QCD matrix elements, through shape of distribution, and measurement of $\alpha_{\rm S}$, from overall rate. Care must be taken near T = 1 where (a) hadronization effects become large, and (b) large higher-order terms of the form $\alpha_{\rm S}^n \log^{2n-1}(1-T)/(1-T)$ appear in $\mathcal{O}(\alpha_{\rm S}^n)$.

 Figure shows thrust distribution measured at LEP1 (DELPHI data) compared with LO theory for vector gluon (solid) or scalar gluon (dashed).



To describe event shape distributions over a wider range, we must include higher-order corrections and resum leading and next-to-leading logarithms of (1 - T) to all orders (NNLA).

Resummation and Matching

For resummation, it is convenient to introduce the event shape fraction

$$f(\tau) = \int_{1-\tau}^{1} dT \, \frac{1}{\sigma} \frac{d\sigma}{dT}$$

This quantity satisfies exponentiation, by which we mean that

 $f(\tau) = C(\alpha_{\rm S}) \exp G[\alpha_{\rm S}, L] + D(\alpha_{\rm S}, \tau)$

where $L = \ln(1/\tau)$, $C(\alpha_{\rm S})$ is a power series in $\alpha_{\rm S}$,

$$G(\alpha_{\mathsf{S}}, L) = \sum_{n=1}^{\infty} \sum_{m=1}^{n+1} G_{nm} \left(\frac{\alpha_{\mathsf{S}}}{2\pi}\right)^n L^m$$

$$\equiv L g_1(\alpha_{\mathsf{S}}L) + g_2(\alpha_{\mathsf{S}}L) + \alpha_{\mathsf{S}} g_3(\alpha_{\mathsf{S}}L) + \cdots$$

and the remainder $D(\alpha_{\rm S}, \tau)$ vanishes as $\tau \to 0$. (We suppress dependence on renormalization scale μ for the moment.)

• Whereas the event fraction itself has up to *two factors of* L for each power of α_S , its logarithm has only *one* extra factor of L for each α_S . The double logs come purely from the expansion of the exponential function.

• The function $g_1(u=lpha_{\sf S}L)$ that resums leading logs is

$$g_1(u) = -\frac{C_F}{\pi b^2 u} \left[(1 - 2bu) \ln(1 - 2bu) - 2(1 - bu) \ln(1 - bu) \right]$$

where b, the first β -function coefficient, is $(33 - 2N_f)/12\pi$. At small u, $g_1(u) \sim -C_F u/\pi$, giving

$$f(\tau) \sim \exp(-\alpha_{\mathsf{S}} C_F L^2/\pi)$$

in the limit $\alpha_{\rm S}L \ll 1$. We see that the dominant effect of resummation is to suppress the event fraction at small τ (large L), leading to a turn-over instead of a divergence in the distribution at high thrust.

* The NLL function $g_2(u)$ is also known. It has a dependence on the renormalization scale μ ,

$$g_2(u,\mu)=g_2(u,Q)-2bu^2rac{dg_1}{du}\ln\left(rac{Q}{\mu}
ight)\;,$$

which cancels the NLL scale dependence of $g_1(\alpha_{s}L)$.

To match the NLLA resummed shape fraction to the NLO fixed order prediction without double counting, simplest procedure is the so-called log matching scheme, in which one writes

 $\ln f(\tau) = K(\alpha_{\rm S}) + G(\alpha_{\rm S}, L) + H(\alpha_{\rm S}, \tau)$

where $K(\alpha_{\rm S})$ is a power series in $\alpha_{\rm S}$ and $H(\alpha_{\rm S}, \tau)$ is a remainder that vanishes as $\tau \to 0$.

Writing the NLO prediction as

$$f(\tau) = 1 + \frac{\alpha_{\mathsf{S}}}{2\pi} A(\tau) + \left(\frac{\alpha_{\mathsf{S}}}{2\pi}\right)^2 B(\tau) + O(\alpha_{\mathsf{S}}^3) ,$$

we have

$$\ln f(\tau) = \frac{\alpha_{\mathsf{S}}}{2\pi} A(\tau) + \left(\frac{\alpha_{\mathsf{S}}}{2\pi}\right)^2 \left\{ B(\tau) - \frac{1}{2} [A(\tau)]^2 \right\} + O(\alpha_{\mathsf{S}}^3) \ .$$

To match the predictions to NLO, we should add G(α_S, L) to this expression after subtracting its first- and second-order parts, which are already included in A(τ) and B(τ). Hence the resummed prediction with K(α_S) and H(α_S, τ) evaluated to second order is

$$\ln f(\tau) = Lg_1(\alpha_{\mathsf{S}}L) + g_2(\alpha_{\mathsf{S}}L) + \frac{\alpha_{\mathsf{S}}}{2\pi} \left[A(\tau) - G_{11}L - G_{12}L^2 \right] + \left(\frac{\alpha_{\mathsf{S}}}{2\pi}\right)^2 \left\{ B(\tau) - \frac{1}{2}[A(\tau)]^2 - G_{22}L^2 - G_{23}L^3 \right\} ,$$

where the coefficients G_{nm} are obtained by expanding the functions g_1 and g_2 to second order.

Resulting expression (NLO+NLLA) fits the data over a much wider range than NLO alone – in fact, better than NNLO.



A Gehrmann-De Ridder et al., arXiv:0712.0327

Jet Fractions

- To define fraction f_n of n-jet final states (n = 2, 3, ...), must specify jet algorithm.
- Most common is k_T or Durham algorithm:
 - Define jet resolution y_{cut} (dimensionless).
 - ✤ For each pair of final-state momenta p_i, p_j define

$$y_{ij} = 2\min\{E_i^2, E_j^2\}(1 - \cos \theta_{ij})/s$$

- If $y_{IJ} = \min\{y_{ij}\} < y_{cut}$, combine I, J into one object K with $p_K = p_I + p_J$.
- ♦ Repeat until $y_{IJ} > y_{cut}$. Then remaining objects are jets.
- Variation of jet fractions with energy provides further evidence of running α_S
 Fit is to NLO 2-jet fraction and mean number of jets, (N).



Jet fractions now calculated to O(α_S³), i.e. NLO for 4 jets, NNLO 3 jets, N³LO for 2 jets.
 ♦ Resummation of log y_{cut} would improve the fit at small y_{cut}.



A Gehrmann-De Ridder et al., arXiv:0802.0813

NLO QCD Calculations

Consider *m*-jet cross section *σ^J*, defined according to some (infrared-safe) jet definition. In NLO, two separate divergent integrals:

$$\sigma^J_{NLO} = \int_{m+1} d\sigma^J_R + \int_m d\sigma^J_V$$

Must combine before numerical integration.

Jet definition could be arbitrarily complicated:

$$d\sigma_R^J = d\Phi_{m+1} |\mathcal{M}_{m+1}|^2 F_{m+1}^J(p_1, \dots, p_{m+1})$$

How to combine without knowing F^{J} ?

Two solutions: phase space slicing and subtraction method.

• Illustrate with simple one-variable example

$$\left|\mathcal{M}_{m+1}
ight|^2 = rac{1}{x}\mathcal{M}(x)$$

x could be gluon energy or two-parton invariant mass fraction (0 < x < 1).

• IR divergences regularized by $D = 4 - 2\epsilon$ dimensions ($\epsilon < 0$).

$$|\mathcal{M}^{\mathsf{one-loop}}_m|^2 = rac{1}{\epsilon}\mathcal{V}$$

 \clubsuit Cross section in D dimensions is

$$\sigma^{J} = \int_{0}^{1} \frac{dx}{x^{1+\epsilon}} \mathcal{M}(x) F_{1}^{J}(x) + \frac{1}{\epsilon} \mathcal{V} F_{0}^{J}$$

- Infrared safety: $F_1^J(0) = F_0^J$
- ***** KLN cancellation theorem: $\mathcal{M}(0) = \mathcal{V}$

Phase Space Slicing

• Introduce arbitrary cutoff $\delta \ll 1$:

$$\begin{split} \sigma^{J} &= \int_{0}^{\delta} \frac{dx}{x^{1+\epsilon}} \mathcal{M}(x) F_{1}^{J}(x) + \int_{\delta}^{1} \frac{dx}{x^{1+\epsilon}} \mathcal{M}(x) F_{1}^{J}(x) + \frac{1}{\epsilon} \mathcal{V} F_{0}^{J} \\ &\simeq \int_{0}^{\delta} \frac{dx}{x^{1+\epsilon}} \mathcal{V} F_{0}^{J} + \int_{\delta}^{1} \frac{dx}{x} \mathcal{M}(x) F_{1}^{J}(x) + \frac{1}{\epsilon} \mathcal{V} F_{0}^{J} \\ &= \int_{\delta}^{1} \frac{dx}{x} \mathcal{M}(x) F_{1}^{J}(x) + \log(\delta) \mathcal{V} F_{0}^{J} \end{split}$$

- ★ Two separate finite integrals: becomes exact for $\delta \rightarrow 0$ but huge cancellations ⇒ numerical errors blow up ⇒ compromise (trial and error).
- Systematized by Giele-Glover-Kosower: JETRAD, DYRAD, EERAD, . . .

Subtraction Method

• Exact identity:

$$\sigma^{J} = \int_{0}^{1} \frac{dx}{x^{1+\epsilon}} \mathcal{M}(x) F_{1}^{J}(x) - \int_{0}^{1} \frac{dx}{x^{1+\epsilon}} \mathcal{V}F_{0}^{J} + \int_{0}^{1} \frac{dx}{x^{1+\epsilon}} \mathcal{V}F_{0}^{J} + \frac{1}{\epsilon} \mathcal{V}F_{0}^{J}$$
$$= \int_{0}^{1} \frac{dx}{x} \left(\mathcal{M}(x)F_{1}^{J}(x) - \mathcal{V}F_{0}^{J} \right) + \mathcal{O}(1)\mathcal{V}F_{0}^{J}$$

- Two separate finite integrals again.
- Much harder: subtracted cross section must be valid and calculable everywhere in phase space.
- Systematized by Catani-Seymour-Dittmaier-Nagy-Trocsanyi: EVENT2, DISENT, MCFM, NLOJET++, . . .

Parton Branching

• Leading soft and collinear enhanced terms in QCD matrix elements (and corresponding virtual corrections) can be identified and summed to all orders. Consider splitting of outgoing parton a into b + c.



• Can assume p_b^2 , $p_c^2 \ll p_a^2 \equiv t$. Opening angle is $\theta = \theta_a + \theta_b$, energy fraction is

 $z = E_b/E_a = 1 - E_c/E_a$.

For small angles

$$t = 2E_b E_c (1 - \cos \theta) = z(1 - z)E_a^2 \theta^2 ,$$

$$\theta = \frac{1}{E_a} \sqrt{\frac{t}{z(1 - z)}} = \frac{\theta_b}{1 - z} = \frac{\theta_c}{z} .$$

- Consider first $g \rightarrow gg$ branching:
 - Amplitude has triple-gluon vertex factor

$$gf^{ABC}\epsilon^lpha_a\epsilon^eta_b\epsilon^\gamma_c[g_{lphaeta}(p_a-p_b)_\gamma+g_{eta\gamma}(p_b-p_c)_lpha+g_{\gammalpha}(p_c-p_a)_eta]$$

 ϵ_i^{μ} is polarization vector for gluon *i*. All momenta defined as outgoing here, so $p_a = -p_b - p_c$. Using this and $\epsilon_i \cdot p_i = 0$, vertex factor becomes

$$-2gf^{ABC}[(\epsilon_a\cdot\epsilon_b)(\epsilon_c\cdot p_b)-(\epsilon_b\cdot\epsilon_c)(\epsilon_a\cdot p_b)-(\epsilon_c\cdot\epsilon_a)(\epsilon_b\cdot p_c)]\;.$$

Resolve polarization vectors into ϵ_i^{in} in plane of branching and ϵ_i^{out} normal to plane, so that

$$egin{array}{rcl} \epsilon_i^{\mathsf{in}} & \epsilon_j^{\mathsf{out}} & = & \epsilon_i^{\mathsf{out}} \cdot \epsilon_j^{\mathsf{out}} = -1 \ \end{array} \ \epsilon_i^{\mathsf{in}} \cdot \epsilon_j^{\mathsf{out}} & = & \epsilon_i^{\mathsf{out}} \cdot p_j & = & 0 \ . \end{array}$$



\diamond For small θ , neglecting terms of order θ^2 , we have

$$egin{array}{rcl} \epsilon^{\mathsf{in}}_a \cdot p_b &=& -E_b heta_b = -z(1-z)E_a heta \ \epsilon^{\mathsf{in}}_b \cdot p_c &=& +E_c heta &= (1-z)E_a heta \ \epsilon^{\mathsf{in}}_c \cdot p_b &=& -E_b heta &= -zE_a heta \ . \end{array}$$

- ♦ Vertex factor proportional to θ , together with propagator factor of $1/t \propto 1/\theta^2$, gives $1/\theta$ collinear singularity in amplitude.
- * (n + 1)-parton matrix element squared (in small-angle region) is given in terms of that for n partons:

$$\left|\mathcal{M}_{n+1}
ight|^2 \sim rac{4g^2}{t} C_A F(z;\epsilon_a,\epsilon_b,\epsilon_c) \left|\mathcal{M}_n
ight|^2$$

where colour factor $C_A = 3$ comes from $f^{ABC} f^{ABC}$ and functions F are given below

ϵ_a	ϵ_b	ϵ_c	$F(z;\epsilon_a,\epsilon_b,\epsilon_c)$
in	in	in	(1-z)/z + z/(1-z) + z(1-z)
in	out	out	z(1-z)
out	in	out	(1-z)/z
out	out	in	z/(1-z)

Sum/averaging over polarizations gives

$$C_A \langle F \rangle \equiv \hat{P}_{gg}(z) = C_A \left[\frac{1-z}{z} + \frac{z}{1-z} + z(1-z) \right] \; .$$

This is (unregularized) gluon splitting function.

- ♦ Enhancements at $z \to 0$ (b soft) and $z \to 1$ (c soft) due to soft gluon polarized in plane of branching.
- **\diamond** Correlation between polarization and plane of branching (angle ϕ):

$$egin{aligned} F_{\phi} &\propto & \sum_{\epsilon_{b,c}} |\cos \phi \, \mathcal{M}(\epsilon_a^{\mathsf{in}},\epsilon_b,\epsilon_c) + \sin \phi \, \mathcal{M}(\epsilon_a^{\mathsf{out}},\epsilon_b,\epsilon_c)|^2 \ &= & rac{1-z}{z} + rac{z}{1-z} + z(1-z) + z(1-z)\cos 2\phi \;. \end{aligned}$$

Hence branching in plane of gluon polarization preferred.

• Consider next $g \rightarrow q\bar{q}$ branching:

Vertex factor is

$$-igar{u}^b\gamma_\mu\epsilon^\mu_a v^c$$

where u^b and v^c are quark and antiquark spinors.

Spin-averaged splitting function is

$$T_R \langle F \rangle \equiv \hat{P}_{qg}(z) = T_R [z^2 + (1-z)^2].$$

No soft ($z \rightarrow 0$ or 1) singularities since these are associated only with gluon emission.

- Vector quark-gluon coupling implies (for $m_q \simeq 0$) q and \bar{q} helicities always opposite (helicity conservation).
- Correlation between gluon polarization and plane of branching:

$$F_{\phi} = z^{2} + (1-z)^{2} - 2z(1-z)\cos 2\phi$$

i.e. strong preference for splitting perpendicular to polarization.

• Branching $q \rightarrow qg$:

Spin-averaged splitting function is

$$C_F \left< F \right> \equiv \hat{P}_{qq}(z) = C_F rac{1+z^2}{1-z} \ .$$

- Helicity conservation ensures that quark does not change helicity in branching.
- Solution polarized in plane of branching preferred, polarization angular correlation being

$$F_{\phi} = \frac{1+z^2}{1-z} + \frac{2z}{1-z}\cos 2\phi \; .$$

Phase Space

• Phase space factors before and after branching are related by

$$d\Phi_{n+1} = d\Phi_n \frac{1}{4(2\pi)^3} dt \, dz \, d\phi \; .$$

• Hence cross sections before and after branching are related by

$$d\sigma_{n+1} = d\sigma_n \frac{dt}{t} dz \frac{d\phi}{2\pi} \frac{\alpha_{\rm S}}{2\pi} CF$$

where C and F are colour factor and polarization-dependent z-distribution introduced earlier. Integrating over azimuthal angle gives

$$d\sigma_{n+1} = d\sigma_n rac{dt}{t} dz rac{lpha_{\sf S}}{2\pi} \hat{P}_{ba}(z) \; .$$

where $\hat{P}_{ba}(z)$ is $a \rightarrow b$ splitting function.

Four-Jet Angular Distribution

• Angular correlations are illustrated by the angular distribution in $e^+e^- \rightarrow 4$ jets. Bengtsson-Zerwas angle χ_{BZ} is angle between the planes of two lowest and two highest energy jets:

$$\cos \chi_{BZ} = rac{(oldsymbol{p}_1 imes oldsymbol{p}_2) \cdot (oldsymbol{p}_3 imes oldsymbol{p}_4)}{|oldsymbol{p}_1 imes oldsymbol{p}_2| \; |oldsymbol{p}_3 imes oldsymbol{p}_4|} \; .$$



* Lowest-order diagrams for 4-jet production shown below. Two hardest jets tend to follow directions of primary $q\bar{q}$.



- * $g \rightarrow q\bar{q}$ give strong anti-correlation ("Abelian" curve), because gluon tends to be polarized in plane of primary jets and prefers to split perpendicular to polarization.
- ♦ $g \rightarrow gg$ occurs more often parallel to polarization. Although its correlation is much weaker than in $g \rightarrow q\bar{q}$, $g \rightarrow gg$ is dominant in QCD due to larger colour factor and soft gluon enhancements.
- Thus B-Z angular distribution is flatter than in an Abelian theory.

• Combining with fits to event shape distributions allows determination of the colour factors C_A and C_F .



Summary of Lecture 2

- e^+e^- annihilation cross section an infrared-safe quantity.
 - NNLO prediction shows good stability w.r.t. renormalization scale.
- e⁺e⁻ shape distributions and jet fractions (suitably defined) also infrared safe.
 - But require resummation of large logs, e.g. $\ln(1-T)$.
 - Complete NNLO calculations now available.
- NLO (and beyond) calculations require special methods to deal with infrared divergences.
 - Phase space slicing method simpler but numerical problems.
 - Subtraction method more difficult but exact in principle.
- Parton branching approximation sums leading collinear enhanced terms.
 - **\diamond** Formulated in terms of $1 \rightarrow 2$ parton splitting functions.
 - Spin correlations explain qualitative features of 4-jet angular distribution.