

# LINKING NATURAL SUPERSYMMETRY TO FLAVOUR PHYSICS

HEP Chile 2013

Gero von Gersdorff  
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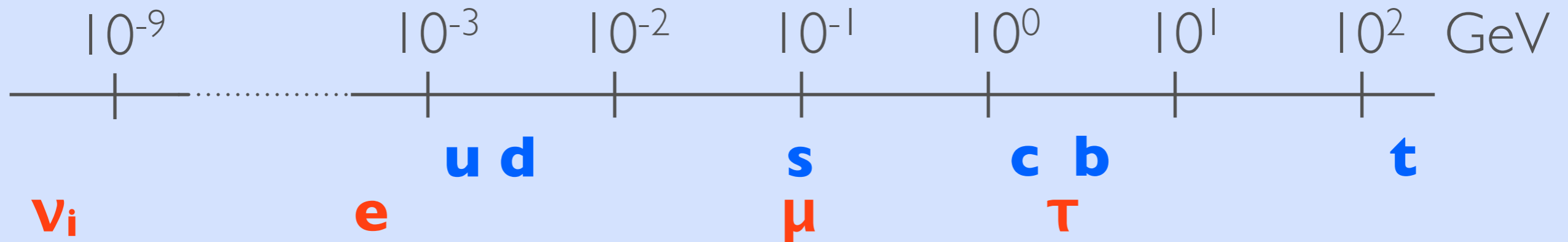
Based on 1308.1090 (with E. Dudas, S. Pokorski and R. Ziegler)



International Centre for Theoretical Physics  
South American Institute for Fundamental Research

# INTRODUCTION

- ✗ The flavor structure of the SM is very peculiar
- ✗ Strong hierarchy in the masses of the SM fermions:



- ✗ The quark mixing is pretty much the identity:

$$V_{\text{CKM}} = \begin{pmatrix} 0.97427 \pm 0.00015 & 0.22534 \pm 0.00065 & 0.00351^{+0.00015}_{-0.00014} \\ 0.22520 \pm 0.00065 & 0.97344 \pm 0.00016 & 0.0412^{+0.0011}_{-0.0005} \\ 0.00867^{+0.00029}_{-0.00031} & 0.0404^{+0.0011}_{-0.0005} & 0.999146^{+0.000021}_{-0.000046} \end{pmatrix}$$

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- ✗ Low energy **New Physics** comes with a FCNC/CP problem:
- ✗ In the SM, only **3 angles and 1 phase** are left in the renormalizable Lagrangian (the  $W$  vertex), accounts **very well** for all the flavor transitions and CP violation



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- ✗ Generic new physics can be captured within an effective field theory prescription

$$\mathcal{L}_{eff} = \mathcal{L}_{SM} + \frac{C_{\mathcal{O}}}{\Lambda^{d-4}} \mathcal{O}^d$$



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- ✗ Generic new physics can be captured within an effective field theory prescription

$$\mathcal{L}_{eff} = \mathcal{L}_{SM} + \frac{C_{\mathcal{O}}}{\Lambda^{d-4}} \mathcal{O}^d$$

- ✗ No reasons why the coefficients should be **flavour diagonal**,
- ✗ **All the quark rotation angles** (and phases) matter
- ✗ This typically requires  $\Lambda > 10^5 - 10^6$  TeV

# HORIZONTAL SYMMETRIES

- ✗ Horizontal symmetries put quarks in representations of some **Abelian** or **non-Abelian** symmetry which consequently is spontaneously broken at a scale somewhat lower than the UV scale.
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✗ Simplest model: A **single U(1) symmetry**

Froggatt + Nielsen '79

$$\mathcal{L}_f = H \left( \hat{Y}_{ij}^u \bar{q}_i u_j \left[ \frac{\chi}{\Lambda} \right]^{X_{\bar{q}_i} + X_{u_j}} + \hat{Y}_{ij}^d \bar{q}_i d_j \left[ \frac{\chi}{\Lambda} \right]^{X_{\bar{q}_i} + X_{d_j}} \right) \quad X_\chi = -1$$



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$$\epsilon = \frac{\langle \chi \rangle}{\Lambda} \longrightarrow Y_{ij}^u \sim \epsilon^{X_{\bar{q}_i} + X_{u_j}} \longrightarrow \text{Hierarchical eigenvalues and angles!}$$



# PROBLEMS OF U(1) MODELS

- ✗ What does this imply for **supersymmetry** ?
- ✗ A general problem of U(1) models is that the suppressions tend to cancel out in the soft terms:

$$K \supset |X|^2 c_{ij} \epsilon^{|X_i - X_j|} \bar{Q}_i Q_i$$

- ✗ Not so small **off-diagonal** terms
- ✗ Diagonal terms completely unsuppressed: **uncontrolled splitting**
- ✗ Bounds on first two generation squarks **> 100 TeV**  
( Note: this is also inconsistent with light stops because RG evolution will typically drive those tachyonic)
- ✗ Still **many parameters**

Arkani Hamed  
et al '97

# U(2) MODELS

- ✗ Unify the **first two** generations in doublets of a U(2) symmetry
- ✗ Make the **third** generation a **total flavor singlet** (top Yukawa!).

$$\frac{\langle \phi \rangle}{\Lambda} = \begin{pmatrix} 0 \\ \epsilon_\phi \end{pmatrix} \quad \frac{\langle \chi \rangle}{\Lambda} = \epsilon_\chi$$

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$$Y_{ij} = \begin{pmatrix} 0 & Y_{12} & 0 \\ -Y_{12} & Y_{22} & Y_{23} \\ 0 & Y_{32} & Y_{33} \end{pmatrix}$$

✗ up and down sectors work in the same way ( $Y^u \sim Y^d$ )  
(suppression factors  $\epsilon_\chi$ ,  $\epsilon_\phi$  are **not displayed** here...)



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- ✗ The quark rotations only depend on **4 angles** (+4 phases)
- ✗ **SUSY: Splitting** of 1st and 2nd squark generation **suppressed** as  $\epsilon_\phi^2$

# PROBLEMS OF U(2) MODELS

✗ U(2) models make some striking predictions for **quark data**

$$|V_{us}| \approx \sqrt{m_d/m_s} , \quad |V_{ub}/V_{cb}| \approx \sqrt{m_u/m_c} , \quad |V_{td}/V_{ts}| \approx \sqrt{m_d/m_s}$$

✗ At leading order in the  $\epsilon_\chi, \epsilon_\phi$  expansion, but no  $O(1)$  numbers!!

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✗ The reason of this discrepancy turns out to be the fact that  $b_R$  is a total flavor singlet ( $X_{b_R} = 0$ ) which leads to a **strong RH hierarchy** in the **down sector**:

$$Y_{i1}^d \ll Y_{i2}^d \ll Y_{i3}^d$$

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Typical model:

$$(Y^d)_{ij} \sim \epsilon^{X_{qi} + X_{dj}}$$

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# THE $SU(2) \times U(1)$ MODEL

**X** Giving up RH down hierarchy  $\Rightarrow$  correction to the exact relations



# THE SU(2) X U(1) MODEL

✗ Giving up RH down hierarchy  $\Rightarrow$  correction to the exact relations

$$|V_{td}/V_{ts}| \approx |\sqrt{m_d/m_s} + e^{i\beta'} \Delta t_d| \sqrt{c_d}$$

$$|V_{ub}/V_{cb}| \approx |\sqrt{m_u/m_c} + e^{i\beta} \Delta t_d \sqrt{c_d}|$$

$$|V_{us}| \approx \sqrt{m_d/m_s} \sqrt{c_d}$$

$$\Delta = \frac{\sqrt{m_s m_d}}{|V_{cb}| m_b} \approx 0.09$$

$$t_d = \tan \theta_d = \frac{Y_{32}^d}{Y_{33}^d}$$

Roberts et al '01  
Dudas et al '13

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$$|V_{td}/V_{ts}| \approx |\sqrt{m_d/m_s} + e^{i\beta'} \Delta t_d| \sqrt{c_d}$$

$$\Delta = \frac{\sqrt{m_s m_d}}{|V_{cb}| m_b} \approx 0.09$$

$$|V_{ub}/V_{cb}| \approx |\sqrt{m_u/m_c} + e^{i\beta} \Delta t_d \sqrt{c_d}|$$

$$t_d = \tan \theta_d = \frac{Y_{32}^d}{Y_{33}^d}$$

Roberts et al '01  
Dudas et al '13

$$|V_{us}| \approx \sqrt{m_d/m_s} \sqrt{c_d}$$

✗ The exact relations get corrected by the RH down 23 angle

✗ Fit requires  $t_d \approx 0.5$

✗ **SUSY**: RH sbottom must be heavy!

$$V_R^d = \begin{pmatrix} 1 & \cdot & \cdot \\ \cdot & c_d & s_d \\ \cdot & -s_d & c_d \end{pmatrix}$$

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Only the two stops can remain truly light  
 $\Rightarrow$  Spectrum of Natural SUSY



# FITTING THE QUARK DATA

**X** An explicit **fit to quark masses** exhibits the following possibilities:  
(Also impose here that the  $U(1)_X$  commutes with  $SU(5)$ )

Model	$\epsilon_\phi$	$\epsilon_\chi$	$\tan \beta$	$X_\phi$	$X_{Q_i, U_i}$	$X_{Q_3, U_3}$	$X_{D_i}$	$X_{D_3}$
A	0.02	0.02	5	-1	1	0	1	1
B	0.1	0.2	5	-2	3	0	3	2
B'	0.1	0.2	20	-2	3	0	2	1
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✗ This is the case in model A

✗ Also benefits from  $X_{D_i} = X_{D_3}$  (additional FCNC suppression)



# D-TERM DOMINANCE

**Summary:** would like to build a SUSY model with

✗  $SU(2) \times U(1)$  flavour symmetry

✗ **Light stops** (and light LH sbottom)  $\Rightarrow$  Naturalness

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$$m_I^2 = m_D^2 X_I$$

Binetruy+Dudas '96  
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@ high scale!



# CONSTRAINTS FROM KAONS

**X** Effective Lagrangian: Wilson coefficient of  $\mathcal{O}_4 = (\bar{d}_R s_L)(\bar{d}_L s_R)$

$$\text{Im } \Delta C_4 \approx 1.6 \times 10^{-8} \left( \frac{|V_{23}^d|}{0.04} \right)^2 \left( \frac{s_d^2}{0.2} \right) \left( \frac{\sin \alpha_{12}}{0.5} \right) (\tilde{m}_{d_R}^2 - \tilde{m}_{b_R}^2) \frac{\log \left( \frac{\tilde{m}_{d_R}}{m_{\tilde{g}}} \right) + \frac{1}{4}}{(\tilde{m}_{d_R})^4}$$

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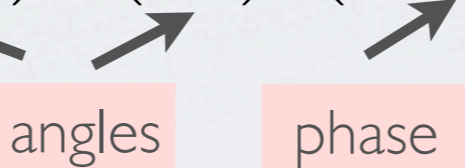
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✗ dominant splitting  
✗ GIM suppression!

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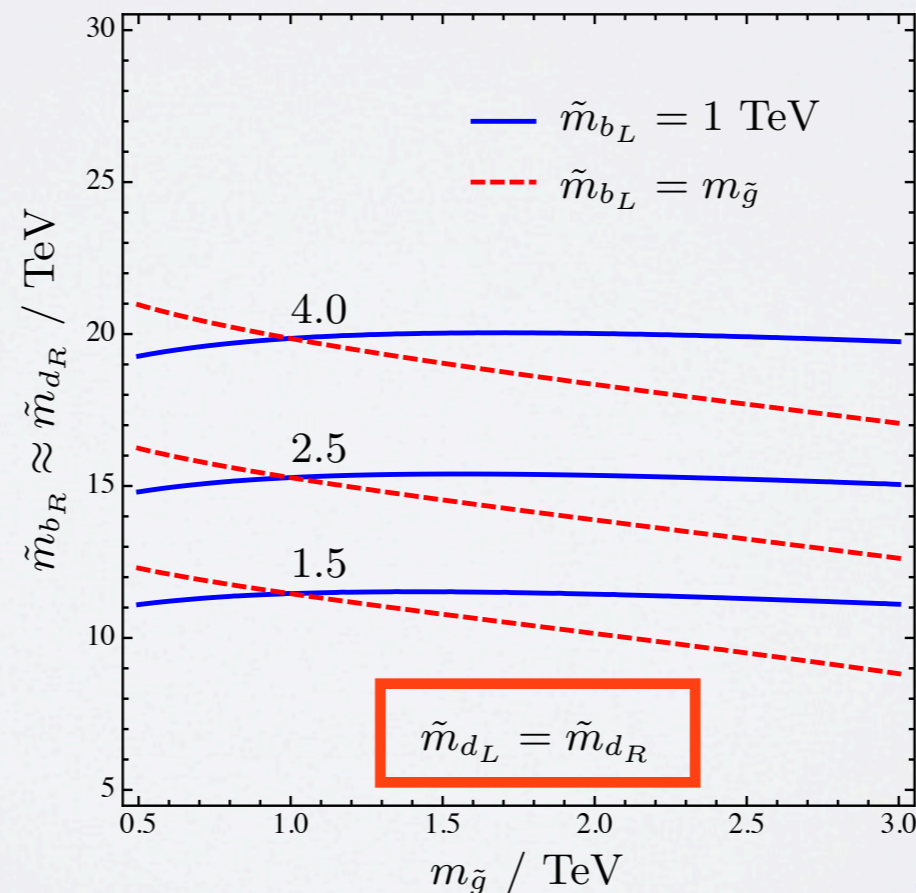
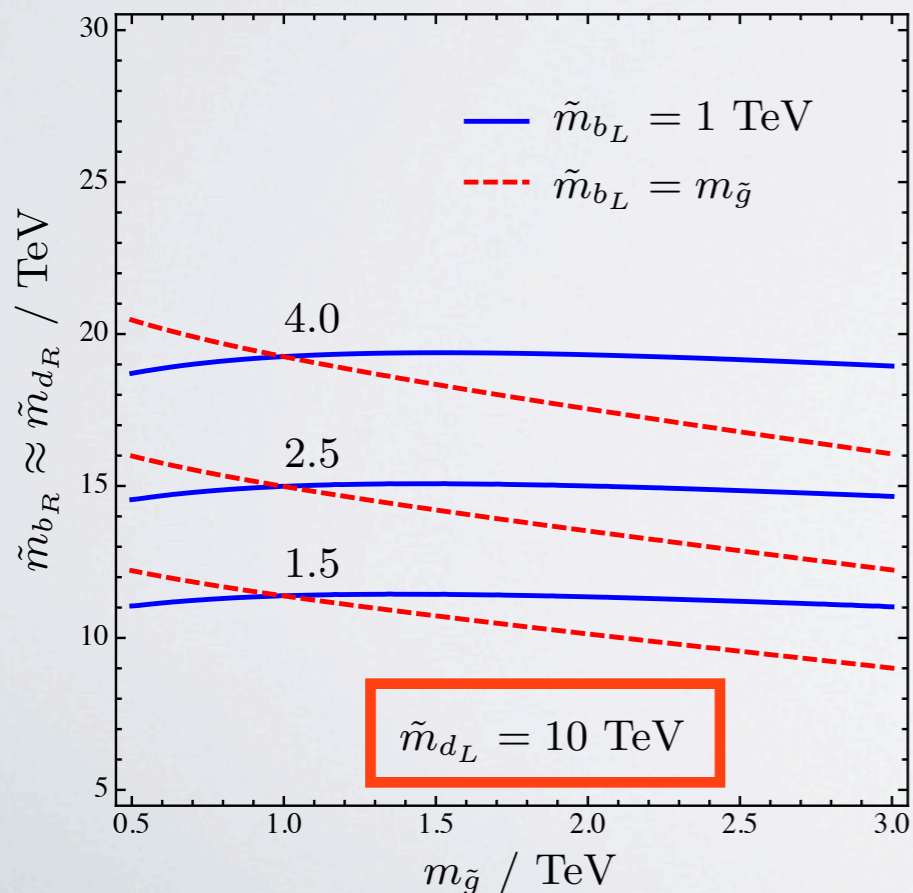
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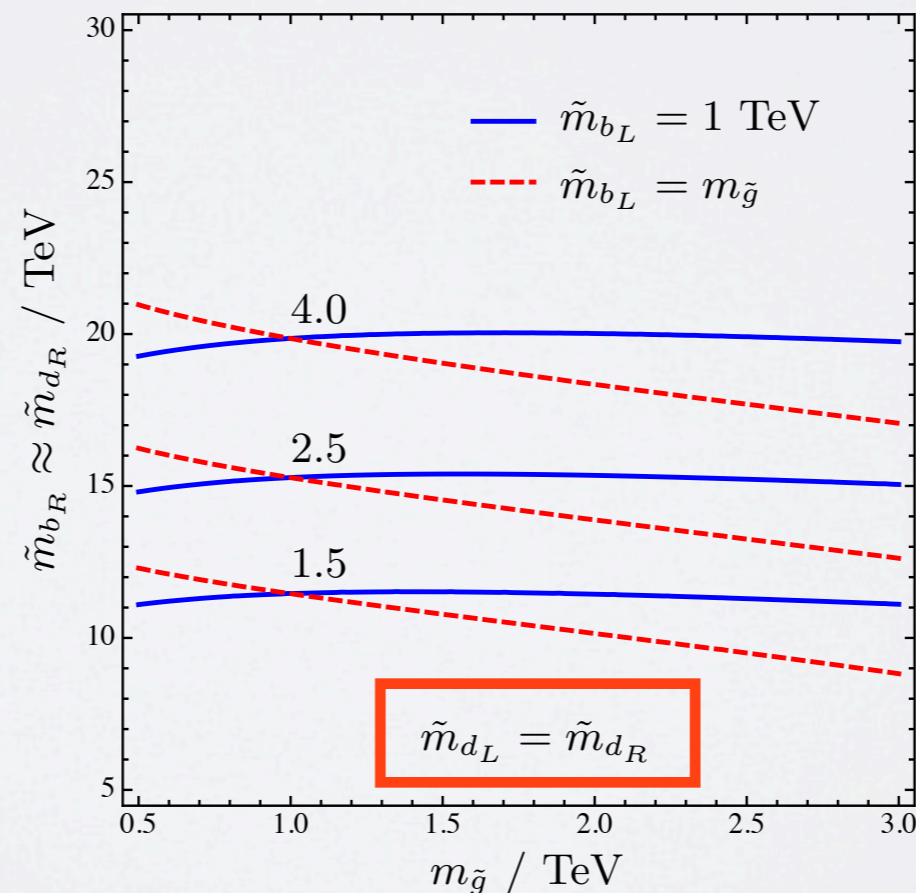
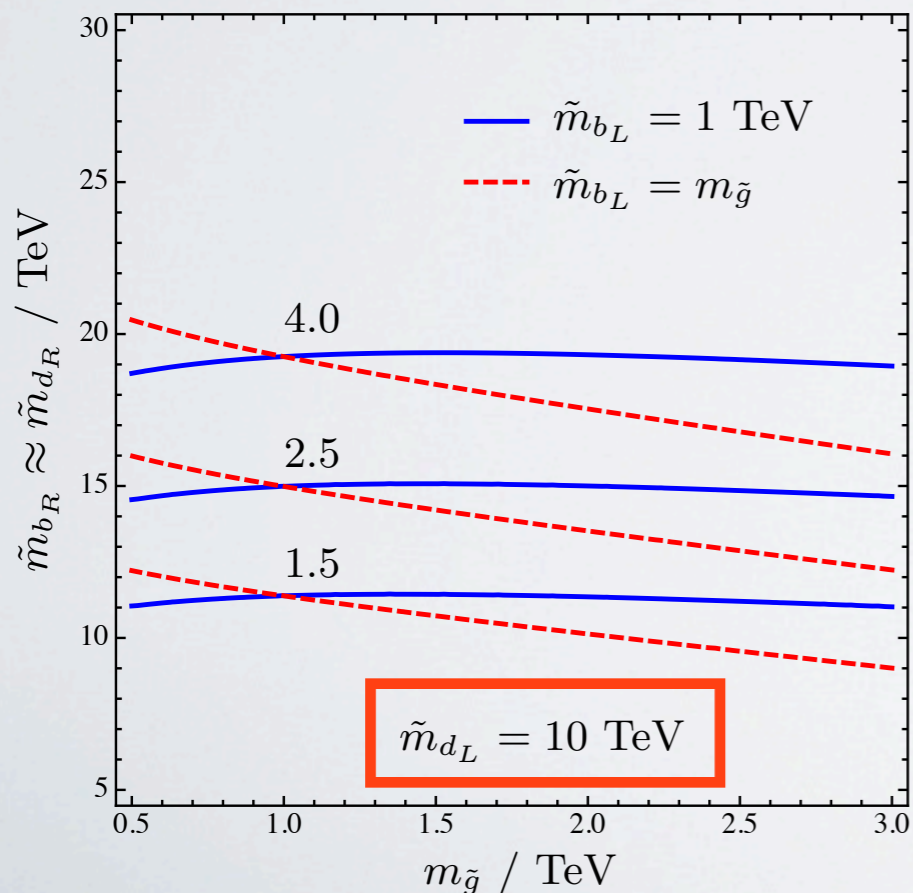
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$\tilde{m}_{d_R} - \tilde{m}_{b_R}$

← 4.0 TeV

← 2.5 TeV

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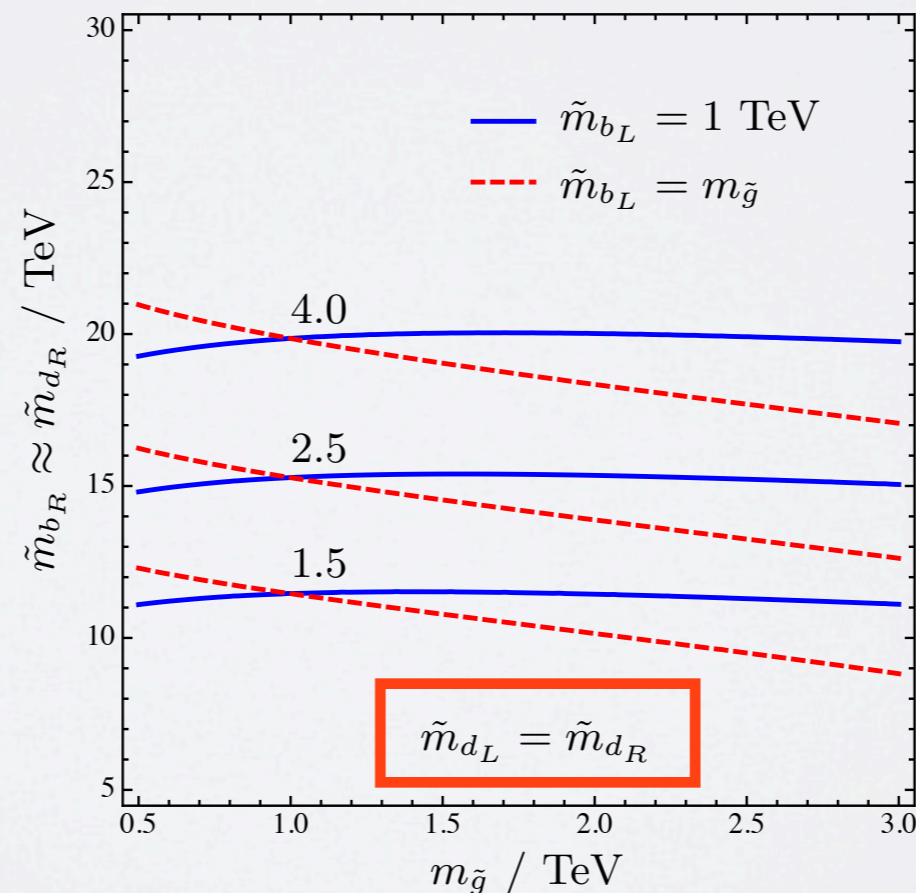
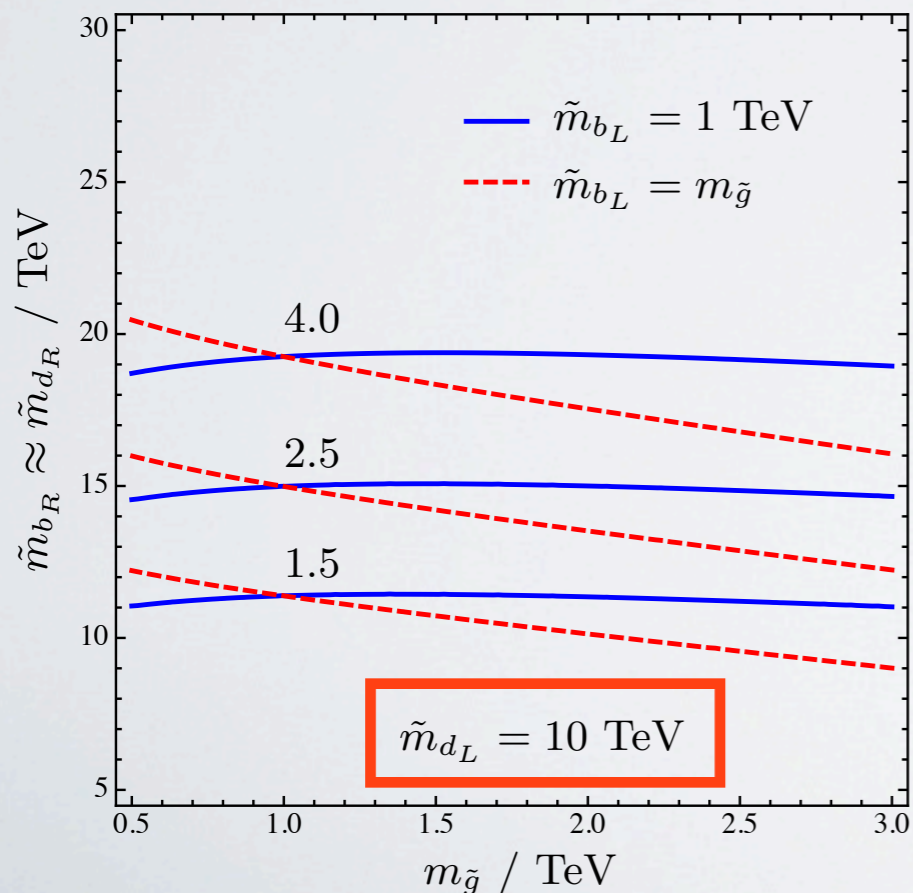
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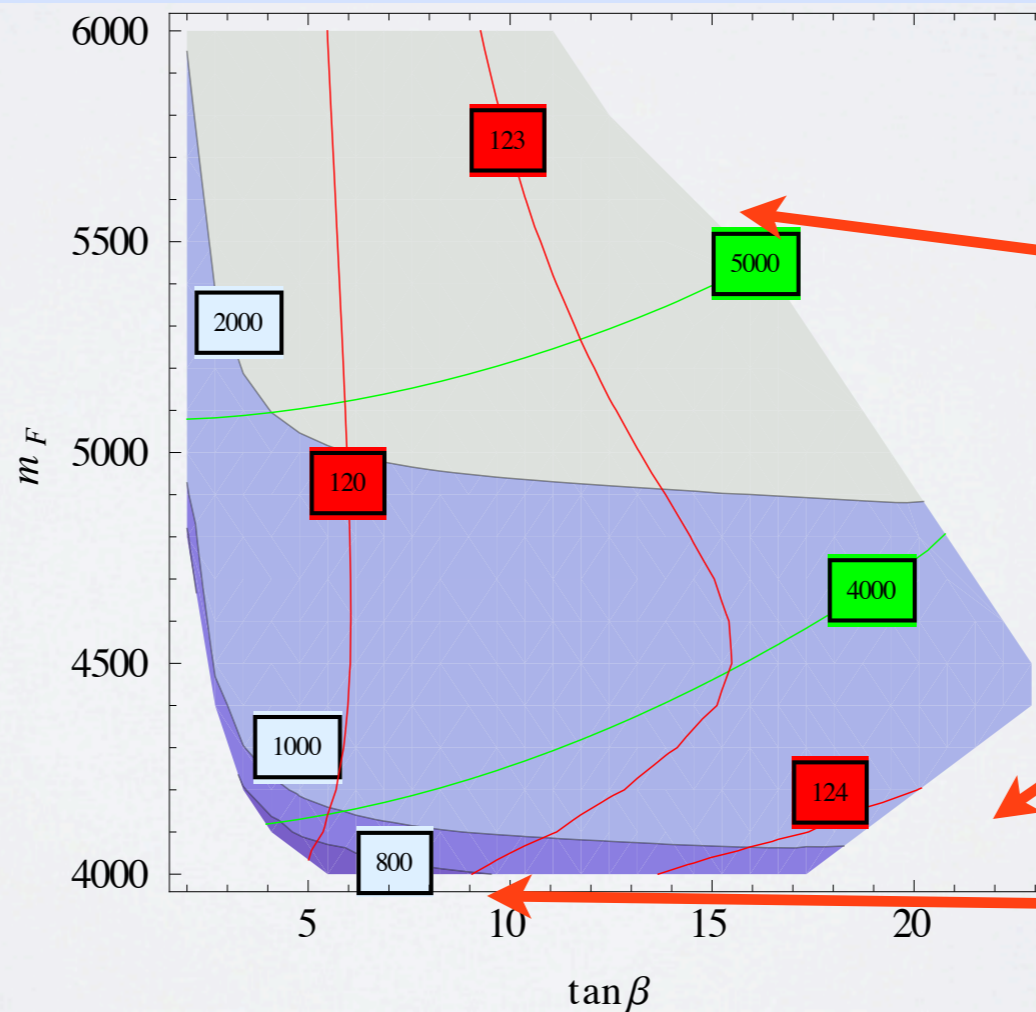
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@ TeV scale!

# MAPPING TO THE HIGH SCALE

- ✗ The bounds apply to the physical masses at the TeV scale
- ✗ Need to map this to the high scale, to determine  $m_F$ ,  $m_D$
- ✗ The splitting at the high scale between the 3rd and 1st two generations cannot be too large, otherwise the 3rd generation is driven to tachyonic values by 2-loop RG

$$\begin{aligned}
 m_D &= 15 \text{ TeV} \\
 m_{\tilde{g}}|_{M_{GUT}} &= 0.6 \text{ TeV} \\
 \downarrow \\
 m_{\tilde{q}_{L,R}^{1,2}} &\approx m_{\tilde{b}_R} \approx 15 \text{ TeV} \\
 m_{\tilde{g}} &= 1.5 \text{ TeV}
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No EW breaking

$m_A$  too light

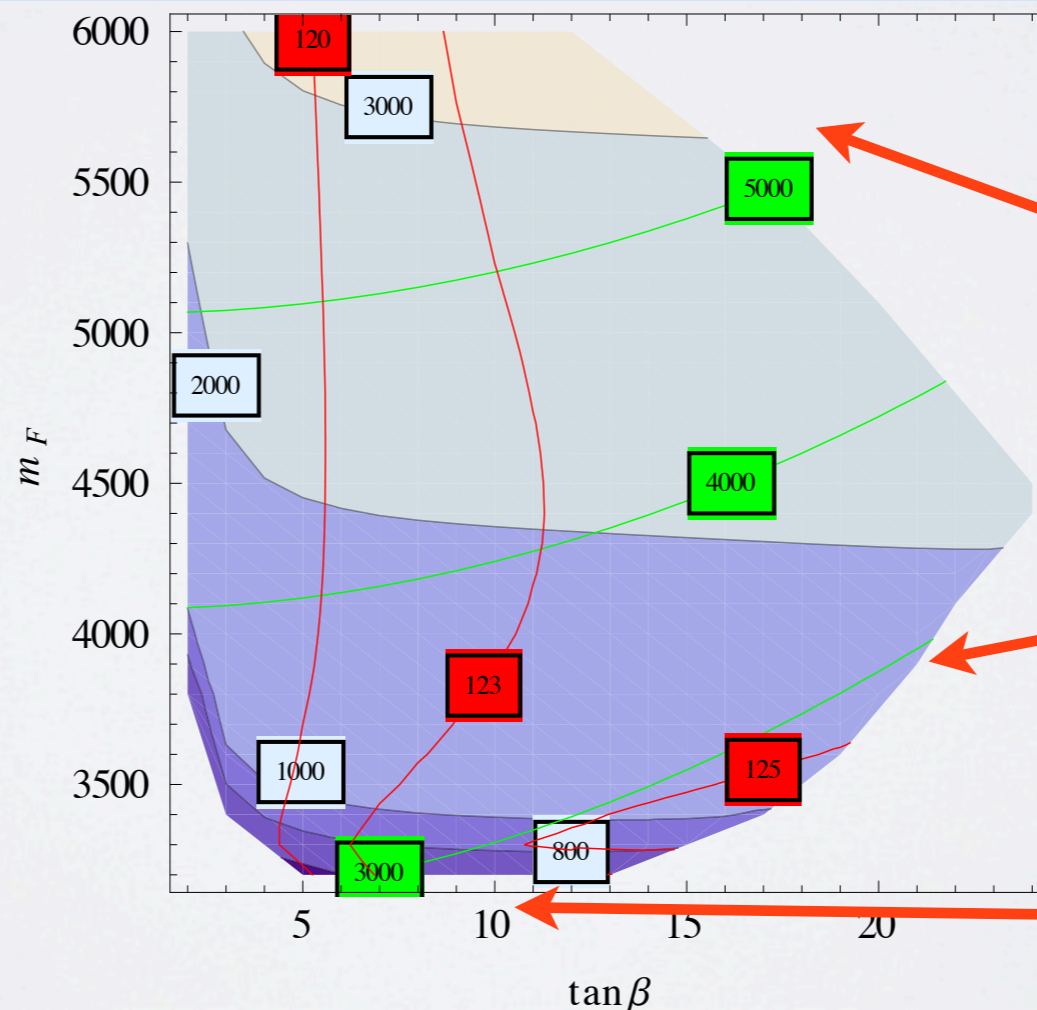
2-loop stop tachyonic



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 m_{\tilde{g}} &= 2.5 \text{ TeV}
 \end{aligned}$$





# MODEL BUILDING REQUIREMENTS

- ✗ Continuous  $SU(2)$  symmetry is problematic (Goldstone modes)
- ✗ Discrete subgroups may be a way out
- ✗ The simplest groups that do not spoil the Yukawa texture are the dicyclic groups  $\tilde{D}_n$ ,  $n \geq 3$  ( $t_1 = e^{\frac{\pi i}{n}} \sigma_3$ ,  $t_2 = i \sigma_2$ )

Two possible ways:

- ✗ Nonrenormalizable breaking  $SU(2) \rightarrow \tilde{D}_n$  (start with an  $SU(2)$  model + higher dim Kahler operators that break the Goldstone degeneracy and align the vev)
- ✗ Renormalizable breaking  $SU(2) \rightarrow \tilde{D}_n$  (add fields in nontrivial representations of  $\tilde{D}_n$ ), have constructed examples, but involved...

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- ✗ Natural realization: **D-term** dominance (stops are light because their  $U(1)$  charges are vanishing)
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- ✗ Dominant FCNC bounds from  $\epsilon_K$ , leading to  $m_D > 10 - 20 \text{ TeV}$
- ✗ Realistic model would be based on **discrete subgroup** of  $SU(2)$   
(More model building needed)

BACKUP

# QUARK ROTATIONS

$$V_L^u = \begin{pmatrix} e^{-i\alpha_{12}} & & \\ & 1 & \\ & & e^{i\alpha_{23}} \end{pmatrix} \begin{pmatrix} 1 & |V_{12}^u| & 0 \\ -|V_{12}^u| & 1 & |V_{23}^u| \\ |V_{12}^u V_{23}^u| & -|V_{23}^u| & 1 \end{pmatrix} \begin{pmatrix} e^{i(\tilde{\alpha}_{12} + \alpha_{12})} & & \\ & 1 & \\ & & e^{-i(\tilde{\alpha}_{23} + \alpha_{23})} \end{pmatrix}$$

$$V_L^d = \begin{pmatrix} 1 & |V_{12}^d| & |V_{13}^d| e^{i\alpha_d} \\ -|V_{12}^d| & 1 & |V_{23}^d| \\ |V_{12}^d V_{23}^d| - |V_{13}^d| e^{-i\alpha_d} & -|V_{23}^d| & 1 \end{pmatrix} \begin{pmatrix} e^{i\tilde{\alpha}_{12}} & & \\ & 1 & \\ & & e^{-i\tilde{\alpha}_{23}} \end{pmatrix}$$

exact  
rotations:

$$V_R^d = \begin{pmatrix} 1 & -|V_{12}^d|/c_d & 0 \\ |V_{12}^d| & c_d & |V_{32}^d| \\ -|V_{12}^d V_{32}^d|/c_d & -|V_{32}^d| & c_d \end{pmatrix} \begin{pmatrix} e^{-i\tilde{\alpha}_{12}} & & \\ & 1 & \\ & & e^{i(\tilde{\alpha}_{23} - \alpha_d)} \end{pmatrix}$$

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with:

$$|V_{12}^u| = \sqrt{m_u/m_d}$$

$$|V_{13}^d| = \sqrt{m_d m_s / m_b^2} s_d / \sqrt{c_d}$$

$$|V_{12}^d| = \sqrt{m_d / m_s} \sqrt{c_d}$$

$$|V_{32}^d| = s_d$$

The remaining 4 angles ( $V_{23}^d$ ,  $V_{32}^d$ ,  $V_{23}^u$ ,  $V_{32}^u$ ) are free parameters



# SQUARK MASS MATRICES

The full **D-term** squark mass matrices are

$$(\tilde{m}_{q,D}^2)_{11} = (X_{10} + z_{11}^q X_\phi \epsilon_\phi^2) \tilde{m}_D^2$$

$$(\tilde{m}_{q,D}^2)_{22} = (X_{10} + z_{22}^q X_\phi \epsilon_\phi^2) \tilde{m}_D^2$$

$$(\tilde{m}_{q,D}^2)_{33} = (z_{33}^q X_\phi \epsilon_\phi^2 - z_{33}^{\prime q} \epsilon_\chi^2) \tilde{m}_D^2$$

$$(\tilde{m}_{q,D}^2)_{12} = 2 z_{12}^q X_\phi \epsilon_\phi^2 \epsilon_\chi^{2|X_\phi|} \tilde{m}_D^2$$

$$(\tilde{m}_{q,D}^2)_{13} = z_{13}^q (X_\phi - \frac{1}{2} X_{10}) \epsilon_\phi \epsilon_\chi^{X_{10}-X_\phi} \tilde{m}_D^2$$

$$(\tilde{m}_{q,D}^2)_{23} = [z_{23}^q (X_\phi - \frac{1}{2} X_{10}) \epsilon_\phi^2 - z_{23}^{\prime q} (1 + \frac{1}{2} X_{10}) \epsilon_\chi^2] \epsilon_\phi \epsilon_\chi^{X_{10}+X_\phi} \tilde{m}_D^2$$

Q and U sectors

$$(\tilde{m}_{d,D}^2)_{11} = (X_{\bar{5}} + z_{11}^d X_\phi \epsilon_\phi^2) \tilde{m}_D^2,$$

$$(\tilde{m}_{d,D}^2)_{22} = (X_{\bar{5}} + z_{22}^d X_\phi \epsilon_\phi^2) \tilde{m}_D^2,$$

$$(\tilde{m}_{d,D}^2)_{33} = (X_3 + (z_{33}^d X_\phi \epsilon_\phi^2 - z_{33}^{\prime d} \epsilon_\chi^2)) \tilde{m}_D^2,$$

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$$(\tilde{m}_{d,D}^2)_{23} = z_{23}^d (X_\phi + \frac{1}{2} X_{53}) \epsilon_\phi \epsilon_\chi^{-X_{53}-X_\phi} \tilde{m}_D^2$$

D sector

# CONSTRAINTS FROM KAONS

Using unitarity of the rotations, one can cast the C4 coefficient as

$$\Delta C_4 = \frac{\alpha_s^2}{m_{\tilde{g}}^2} \left( \hat{\delta}_{12}^{d,RR} \Delta_{31}^R + \tilde{\delta}_{12}^{d,RR} \Delta_{21}^R \right) \left\{ -\frac{1}{3} \left[ \hat{\delta}_{12}^{d,LL} x_1^R \partial_R \left( \tilde{f}_4(x_3^L, x_1^R) - \tilde{f}_4(x_1^L, x_1^R) \right) \right. \right. \\ \left. \left. + \tilde{\delta}_{12}^{d,LL} \Delta_{21}^L x_1^L x_1^R \partial_L \partial_R \tilde{f}_4(x_1^L, x_1^R) \right] + \frac{7}{3} \left[ \tilde{f}_4 \rightarrow f_4 \right] \right\} ,$$

Keeping only the dominant splitting

$$\tilde{\delta}_{12}^{d,RR} \equiv (V_R^d)_{21} (V_R^d)_{22}^* , \quad \hat{\delta}_{12}^{d,RR} \equiv (V_R^d)_{31} (V_R^d)_{32}^* \\ \tilde{\delta}_{12}^{d,LL} \equiv (V_L^d)_{21}^* (V_L^d)_{22} , \quad \hat{\delta}_{12}^{d,LL} \equiv (V_L^d)_{31}^* (V_L^d)_{32}$$

$$\text{Im } \Delta C_4 \approx 1.6 \times 10^{-8} \left( \frac{|V_{23}^d|}{0.04} \right)^2 \left( \frac{s_d^2}{0.2} \right) \left( \frac{\sin \alpha_{12}}{0.5} \right) (\tilde{m}_{dR}^2 - \tilde{m}_{bR}^2) \frac{\log \left( \frac{\tilde{m}_{dR}}{m_{\tilde{g}}} \right) + \frac{1}{4}}{(\tilde{m}_{dR})^4}$$