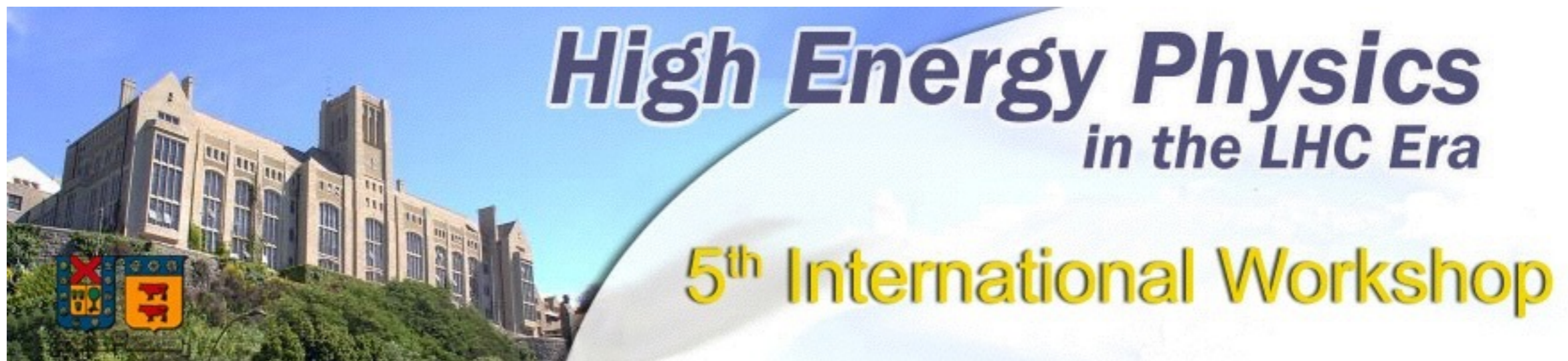


## *Experimental review and prospects of ultra-peripheral collisions*



**Daniel Tapia Takaki**  
The University of Kansas

High Energy Physics in the LHC era  
Valparaiso, Chile, 20 December 2013

# Plan of this talk

## Ultra-Peripheral (pp, pA and AA) Collisions

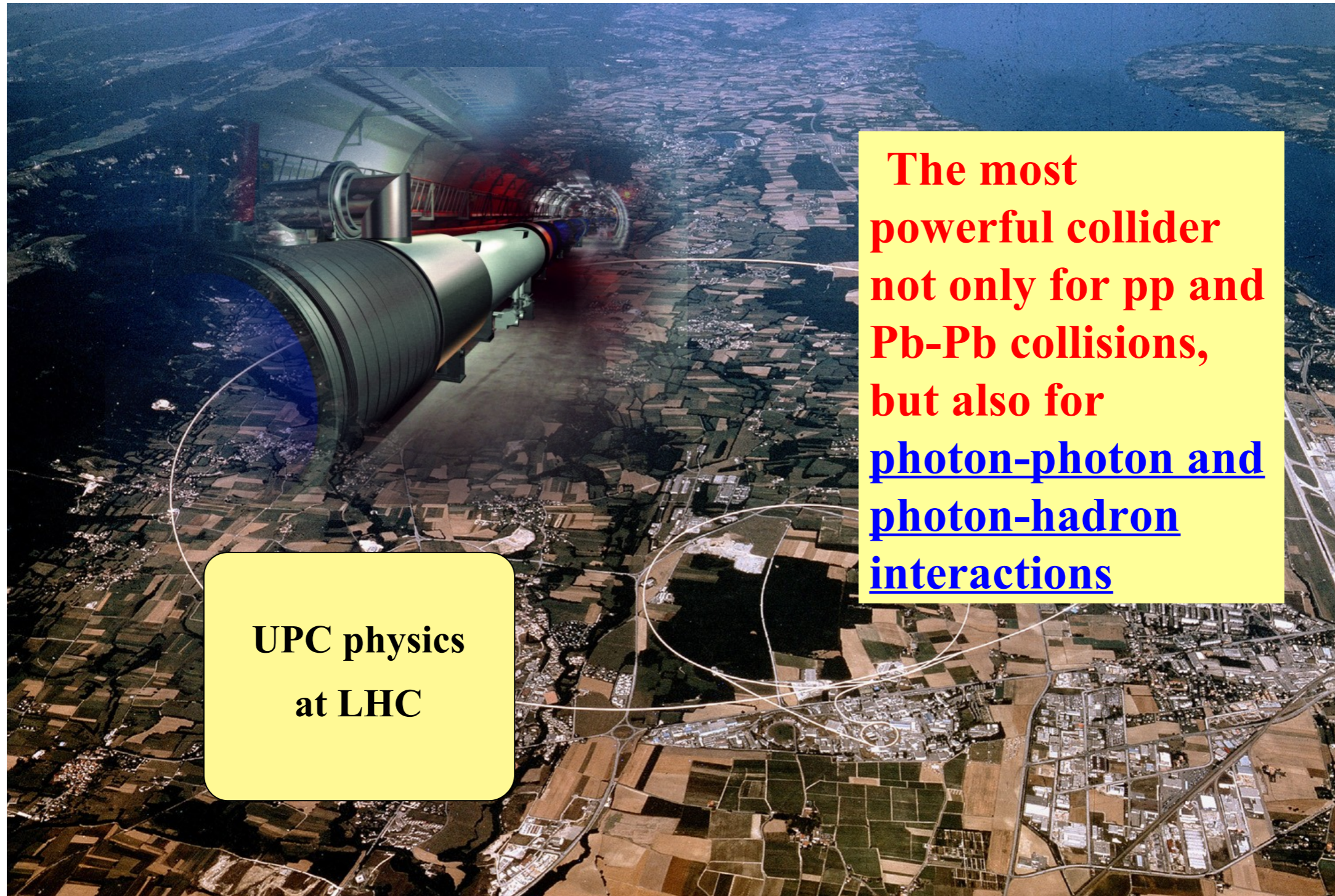
What are UPCs

Why at LHC

Recent results

Future directions

# Using the LHC as a $\gamma\gamma$ , $\gamma\text{Pb}$ , $\gamma\text{p}$ collider



**The most powerful collider not only for pp and Pb-Pb collisions, but also for photon-photon and photon-hadron interactions**

**UPC physics  
at LHC**

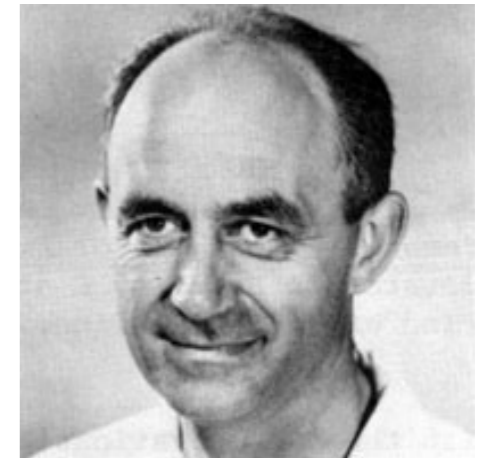
# UPCs in Pb-Pb

# Why Ultra-Peripheral collisions

Nuovo Cim.,2:143-158,1925

<http://arxiv.org/abs/hep-th/0205086>

*Therefore, we consider that when a charged particle passes near a point, it produces, at that point, a variable electric field. If we decompose this field, via a Fourier transform, into its harmonic components we find that it is equivalent to the electric field at the same point if it were struck by light with an appropriate continuous distribution of frequencies.*



Enrico FERMI

*The electromagnetic field surrounding these protons/ions can be treated as a beam of quasi real photons*

High photon flux  $\sim Z^2$   
→ well described by the Weizsäcker-Williams approximation

Two ions (or protons) pass by each other with impact parameters  $b > 2R$ . **Hadronic interactions are strongly suppressed**

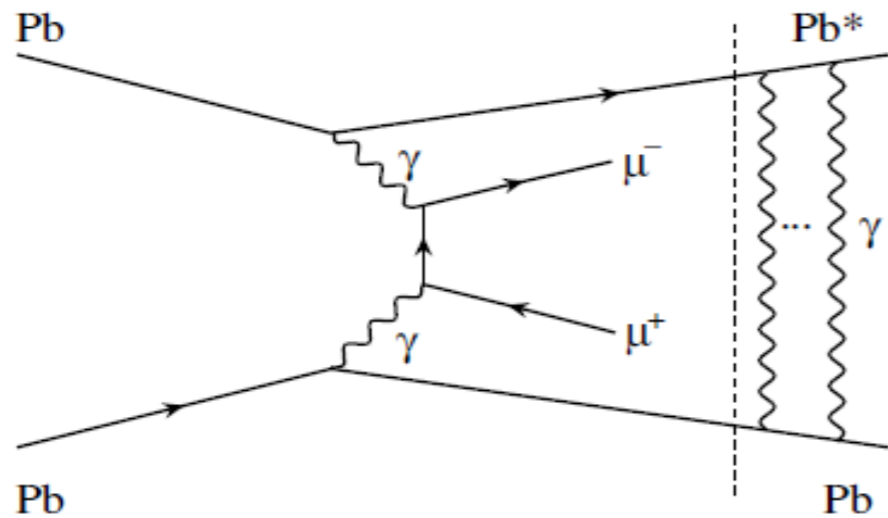
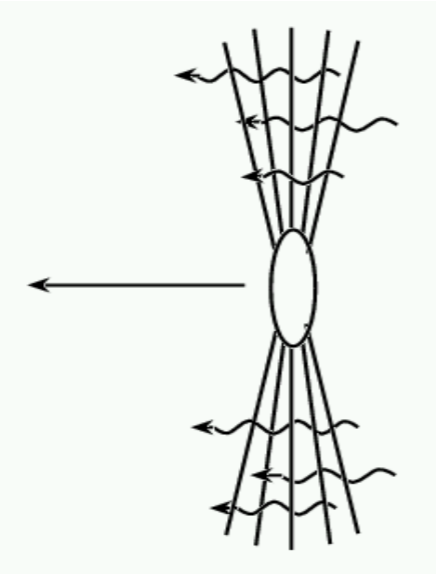
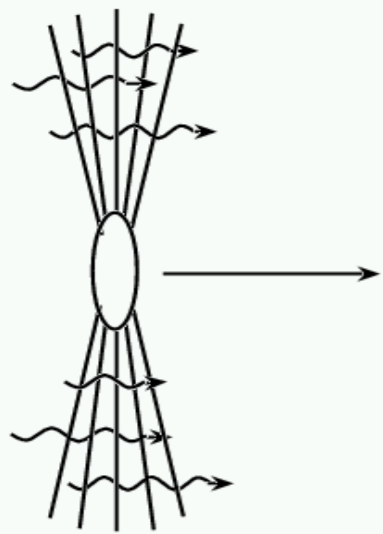
# Why ultra-peripheral heavy-ion collisions

Two ions (or protons) pass by each other with impact parameters  $b > 2R$ . **Hadronic interactions are strongly suppressed**

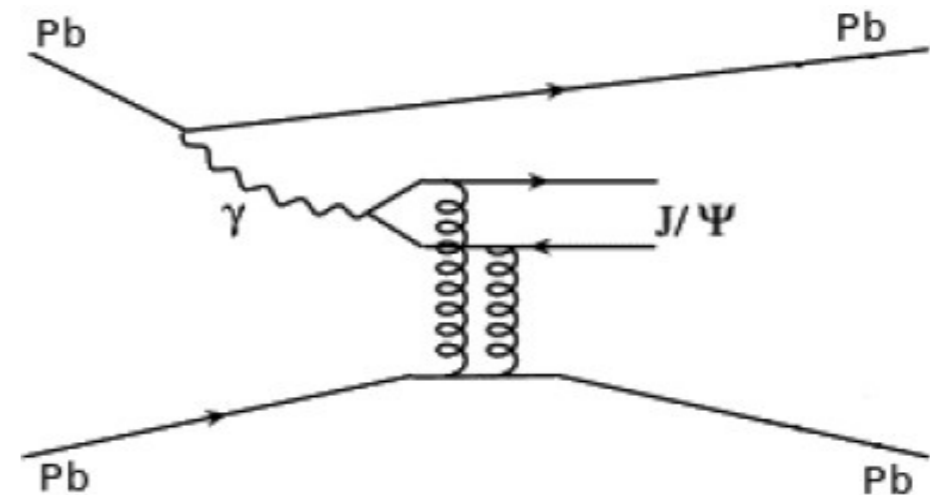
Number of photons scales like  $Z^2$  for a single source  $\Rightarrow$  exclusive particle production in heavy-ion collisions dominated by electromagnetic interactions.

The virtuality of the photons  $\rightarrow 1/R \sim 30 \text{ MeV}/c$

## Photon-induced reactions



Two-photon production



$\gamma + p \rightarrow J/\psi + p$   
modelled in pQCD: exchange of two gluons with no net-colour transfer

# Why $J/\psi$ photo-production at LHC

Total  $J/\psi$  cross section: 23 mb (STARLIGHT) vs 10.3 mb Rebyakova, Strikman and Zhalov

Models differ by the way photo-nuclear interaction is treated...

Five model predictions available  
- published in the last two years-

- STARLIGHT**  
<http://starlight.hepforge.org>
- Adeluyi and Bertulani (AB)**  
*Phys. Rev. C* 85 (2012) 044904
- Goncalves and Machado (GM)**  
*Phys. Rev. C* 84 (2011) 011902
- Cisek, Szczurek, Schafer (CSC)**  
*Phys. Rev. C* 86 (2012) 014905
- Rebyakova, Strikman and Zhalov (RSZ)**  
*Phys. Lett. B* 710 (2012) 252

$$\left. \frac{d\sigma}{dt} \right|_{t=0} = \frac{\alpha_s^2 \Gamma_{ee}}{3\alpha M_V^5} 16\pi^3 \left[ xg\left(x, \frac{M_V^2}{4}\right) \right]^2 \quad \text{Ryskin 1993}$$

$$\frac{\left. \frac{d\sigma(\gamma A \rightarrow VA)}{dt} \right|_{t=0}}{\left. \frac{d\sigma(\gamma N \rightarrow VN)}{dt} \right|_{t=0}} = \left[ \frac{G_A(x, M_V^2/4)}{G_N(x, M_V^2/4)} \right]^2$$

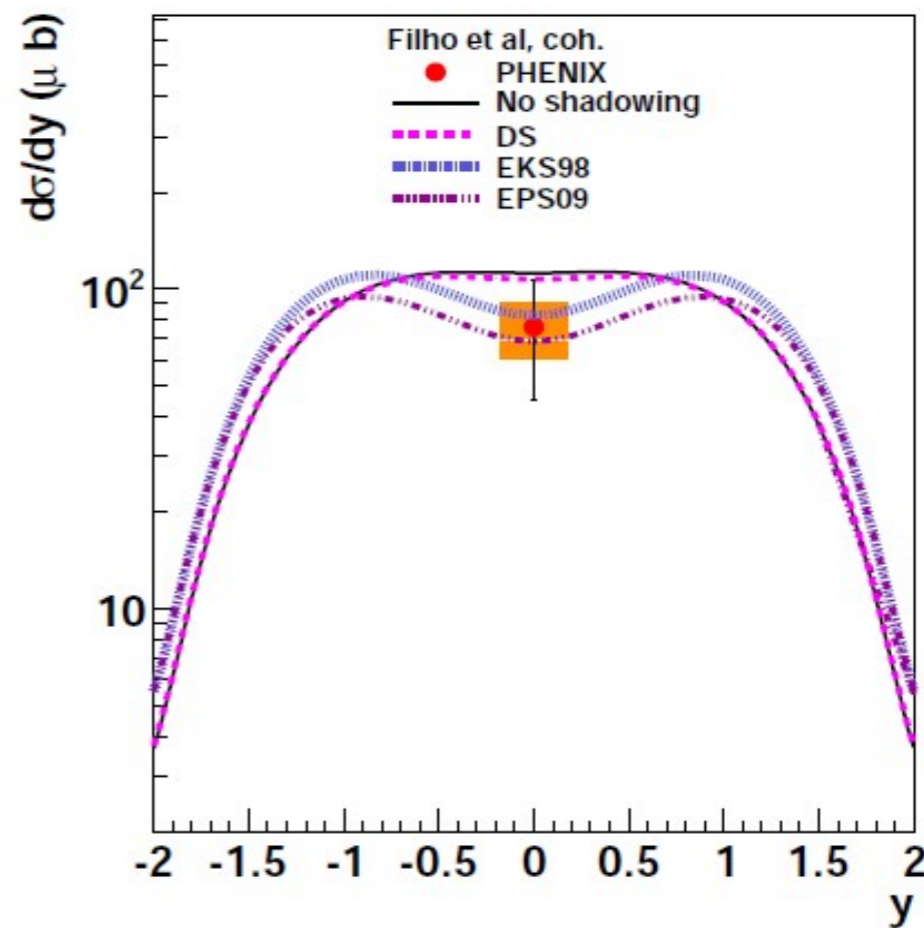
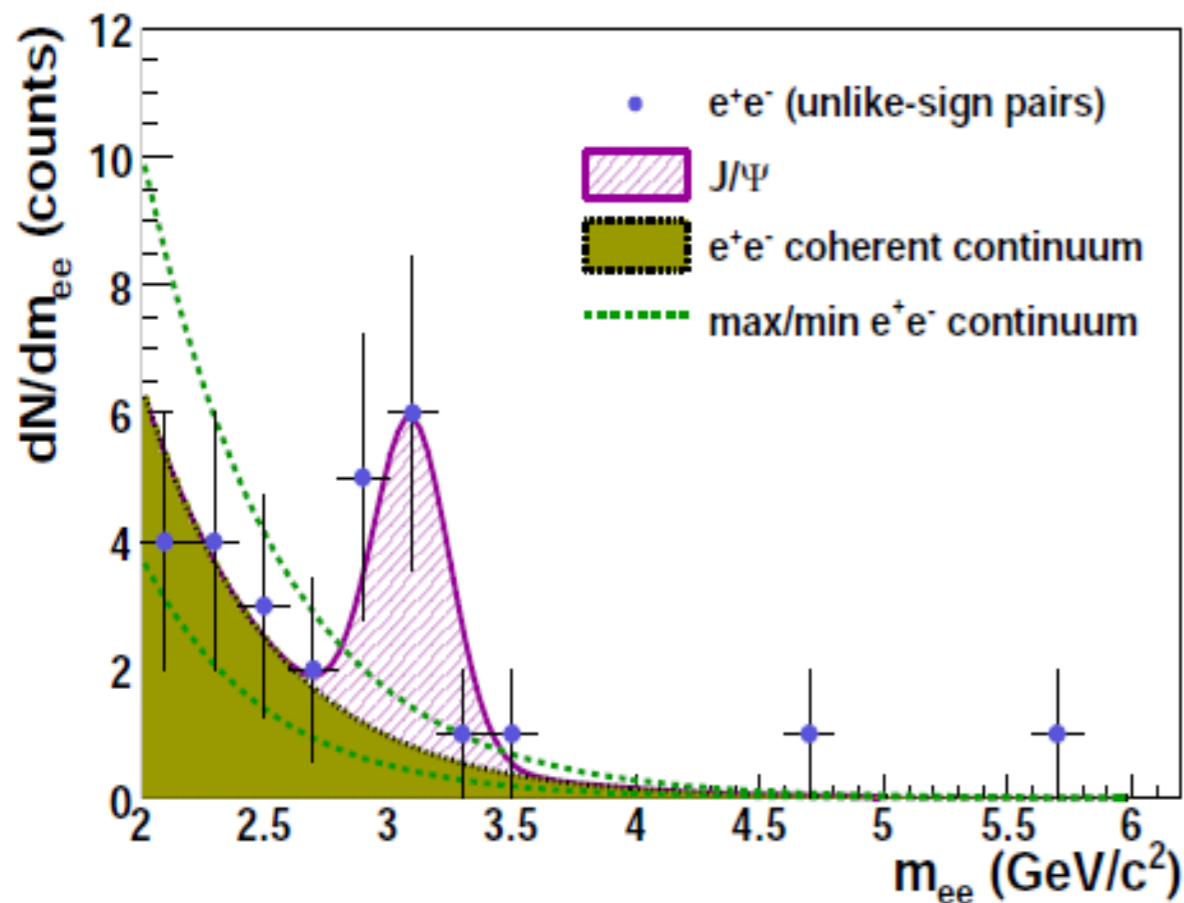
$$\left. \frac{d\sigma_{\gamma A \rightarrow J/\psi A}}{dt} \right|_{t=0} = \frac{M_{J/\psi}^3 \Gamma_{ee} \pi^3 \alpha_s^2(Q^2)}{48\alpha_{em} Q^8} \left[ xG_A(x, Q^2) \right]^2$$

Mass of  $J/\psi$  serves as a hard scale:  $Q^2 \sim \frac{M_{J/\psi}^2}{4} \sim 2.5 \text{ GeV}^2$

Bjorken  $x \sim 10^{-2} - 10^{-5}$  accessible at LHC:  $x = \frac{M_{J/\psi}^2}{W_{\gamma p}^2}$

Also a more recent calculation  
**T. Lappi, H. Mäntysaari**  
<http://arxiv.org/abs/1301.4095>

# RHIC results by PHENIX



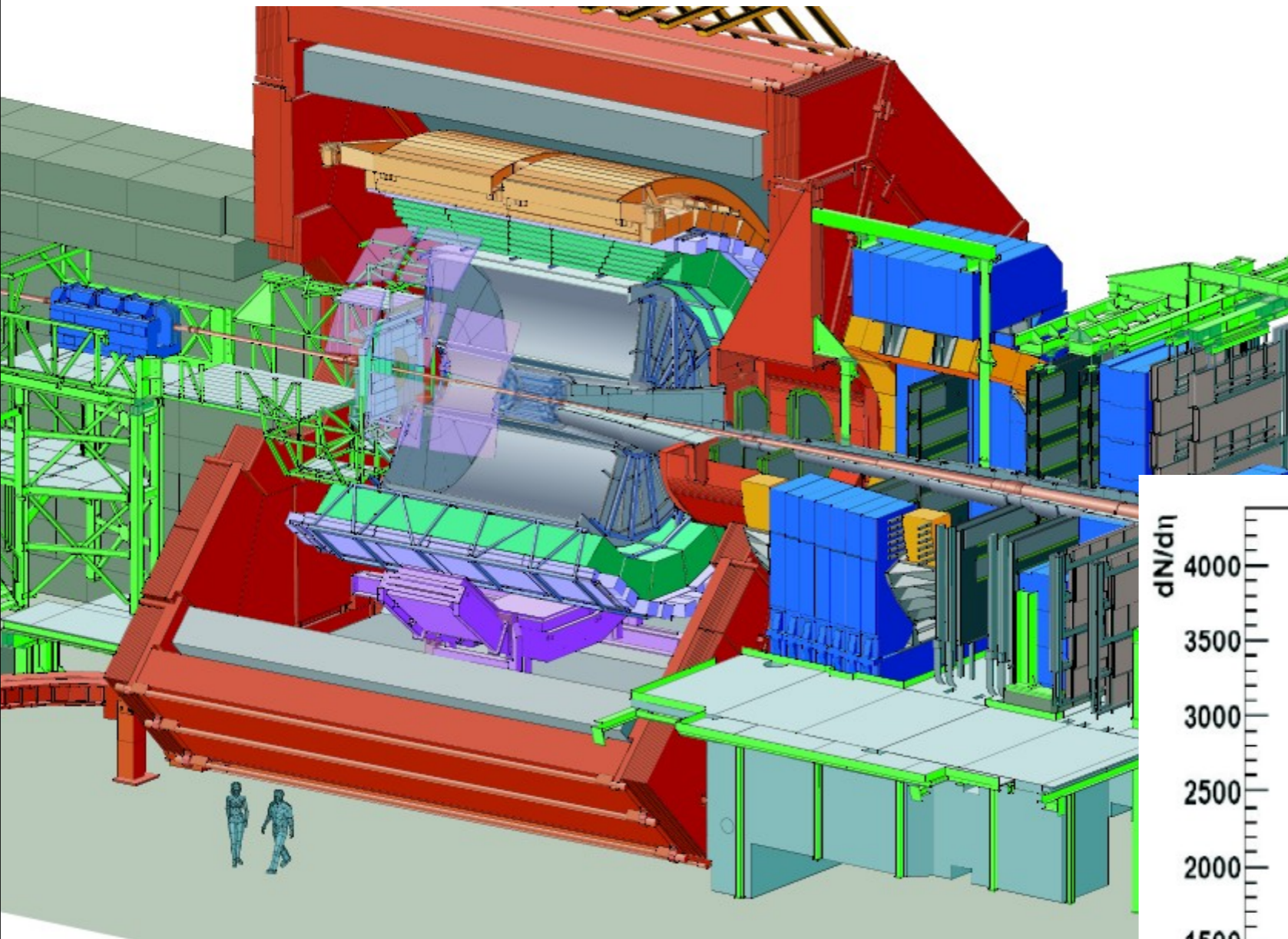
*Au+Au collisions at 200 GeV*

*PHENIX study:*

*PLB Vol 679, issue 4, p. 321-333*



# The ALICE experiment at LHC



## Central rapidity

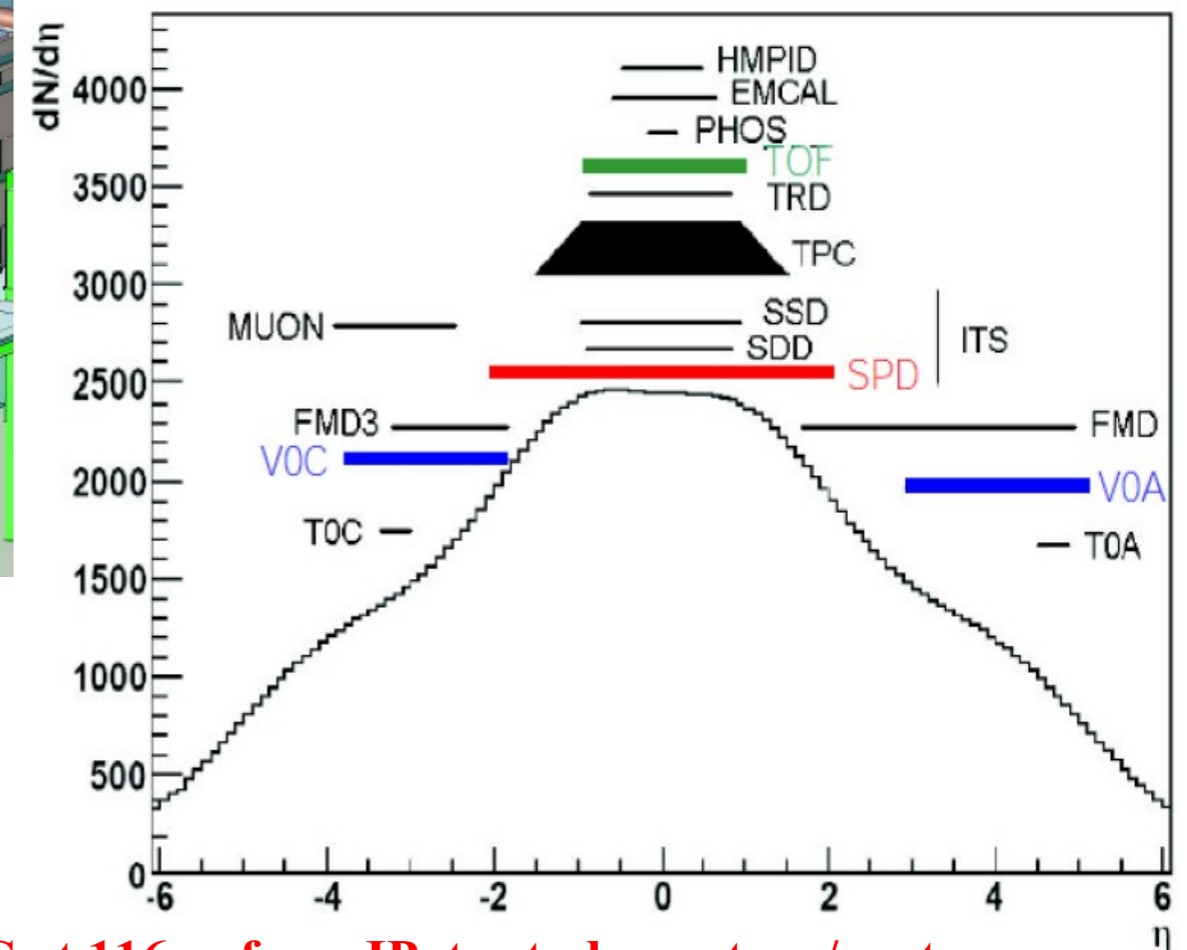
Inner Tracking (ITS), Time  
Projection Chamber (TPC),  
Time-of-Flight, TRD, EMCAL

$$|\eta| < 0.9$$

## Forward rapidity

Muon Spectrometer

$$-4 < \eta < -2.5$$

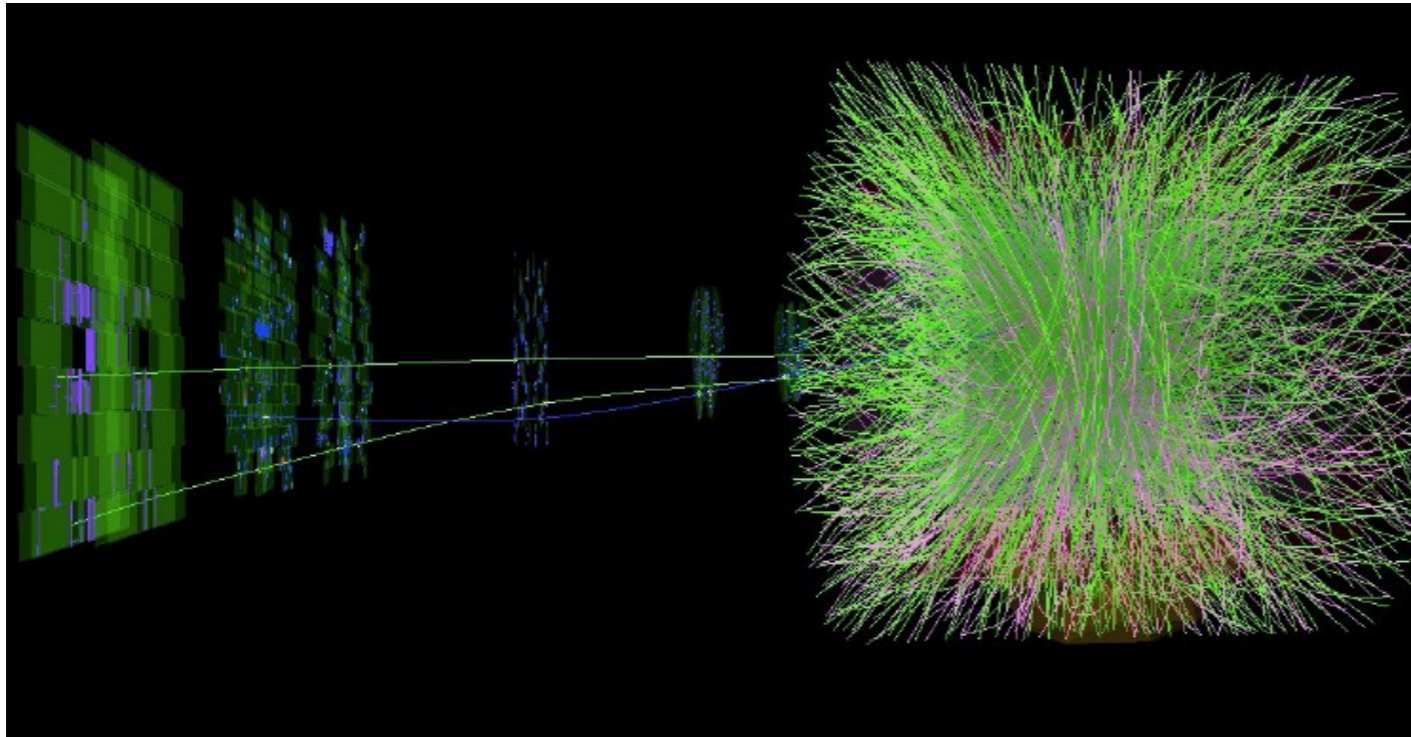


Dedicated triggers for UPC, using  
VZERO forward detectors for vetoing  
And MUON, TOF and SPD

ALICE can measure  $J/\psi$   
mesons down to zero  $p_T$

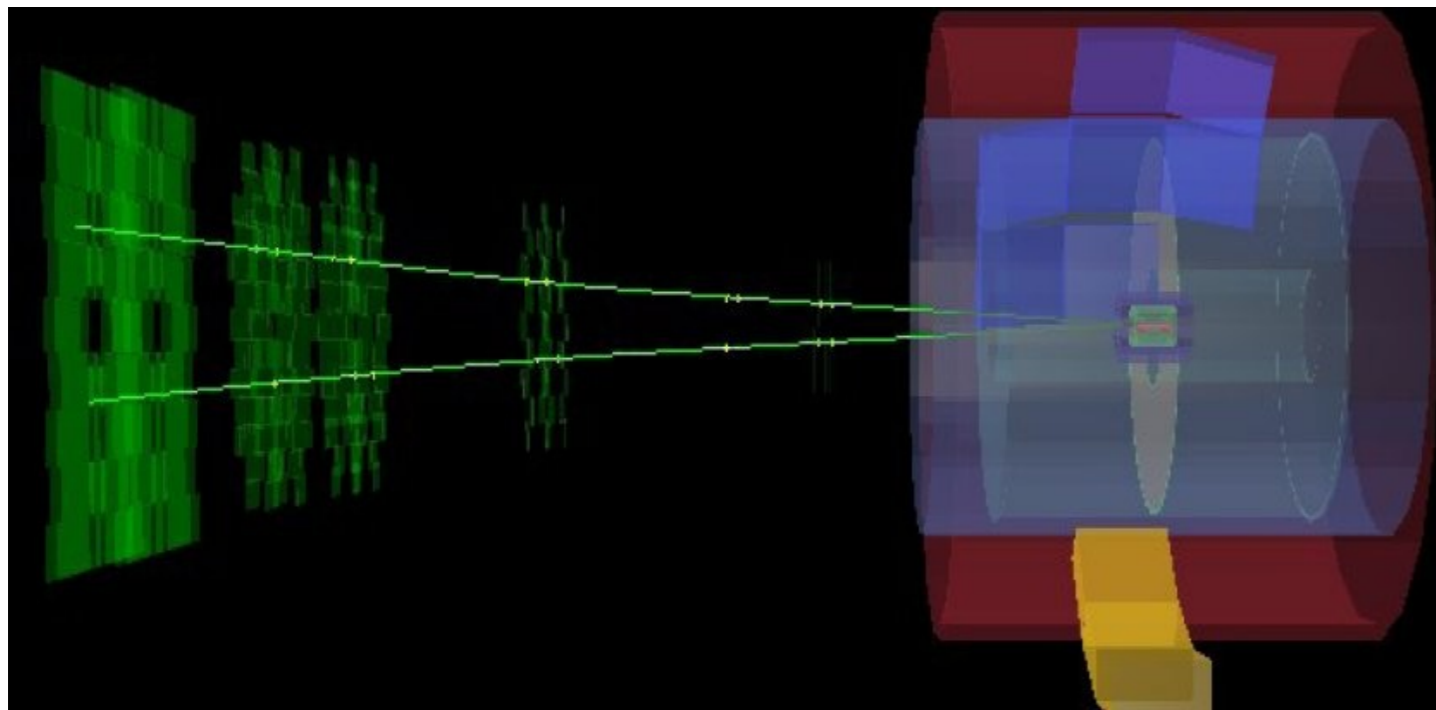
ZDC at 116 m from IP, to study neutron/proton  
emitted at the very forward region

# Exclusive $J/\psi$ analysis at forward rapidity



From a typical inclusive  $J/\psi$  candidate in Pb-Pb collisions...

...to an exclusive  $J/\psi$  candidate



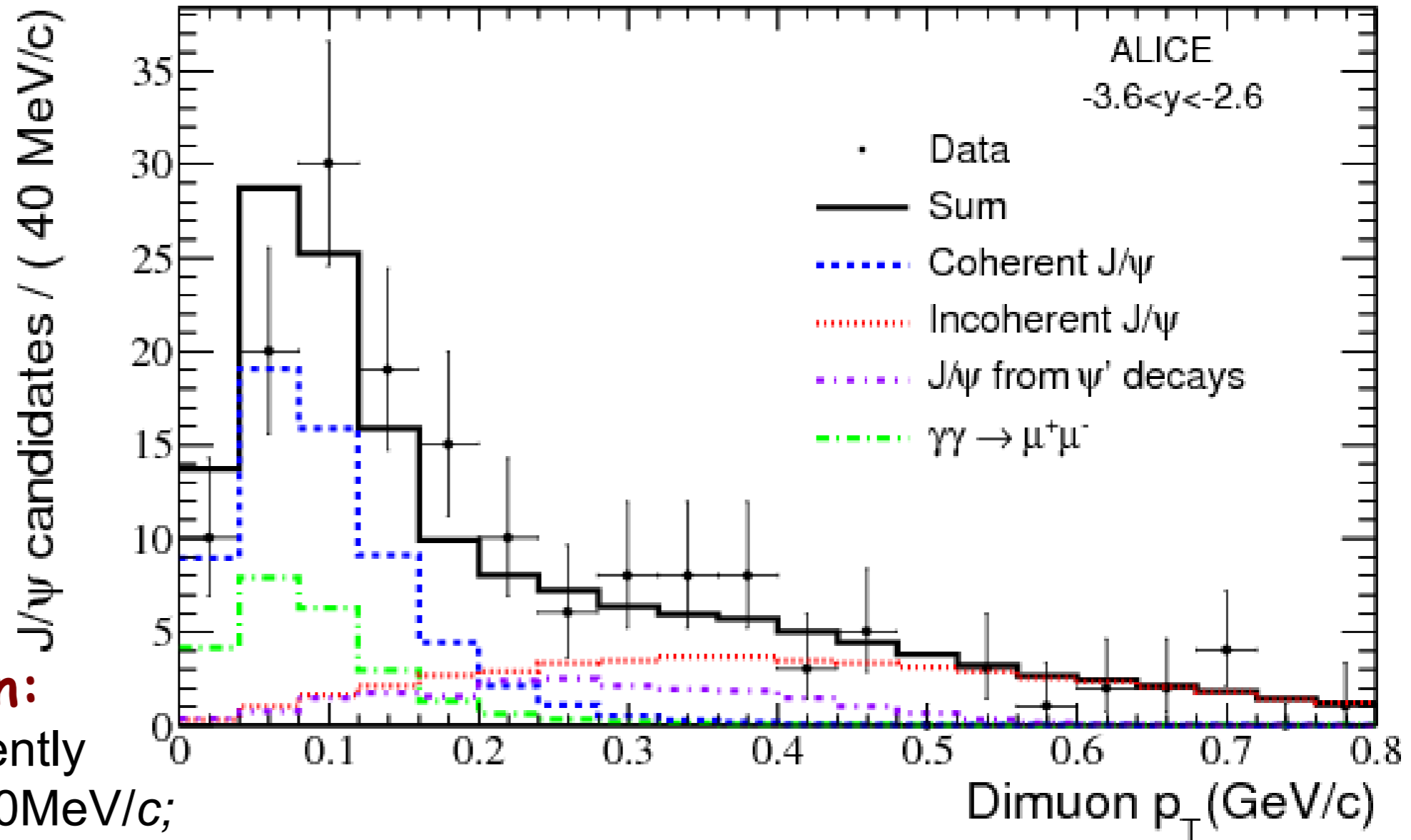
Two UPC publications  
by ALICE

**Phys.Lett. B718 (2013) 1273-1283**

**Eur. J. Phys. C73, 2617 (2013)**

# $p_T$ distribution for $J/\psi$ candidates

Pb+Pb  $\rightarrow$  Pb+Pb+ $J/\psi$   $\sqrt{s_{NN}} = 2.76$  TeV



## Coherent production:

Photon couples coherently to all nucleons  $\langle p_T \rangle \sim 60$  MeV/c;

target nucleus does not break up, in most cases

## Incoherent production

Photon couples to a single nucleon

Quasi-elastic scattering off a single nucleon

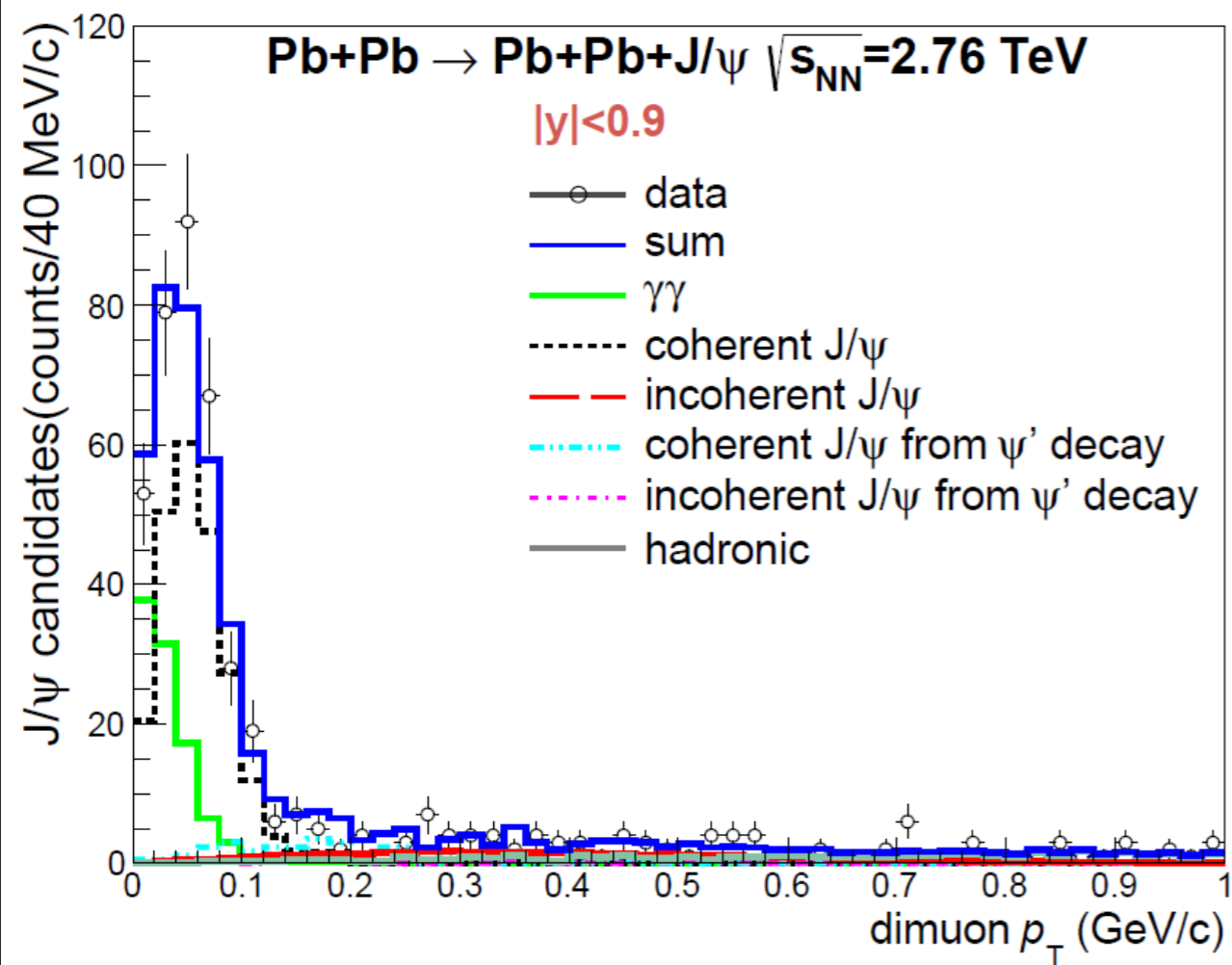
$\langle p_T \rangle \sim 500$  MeV/c

### Four physics processes:

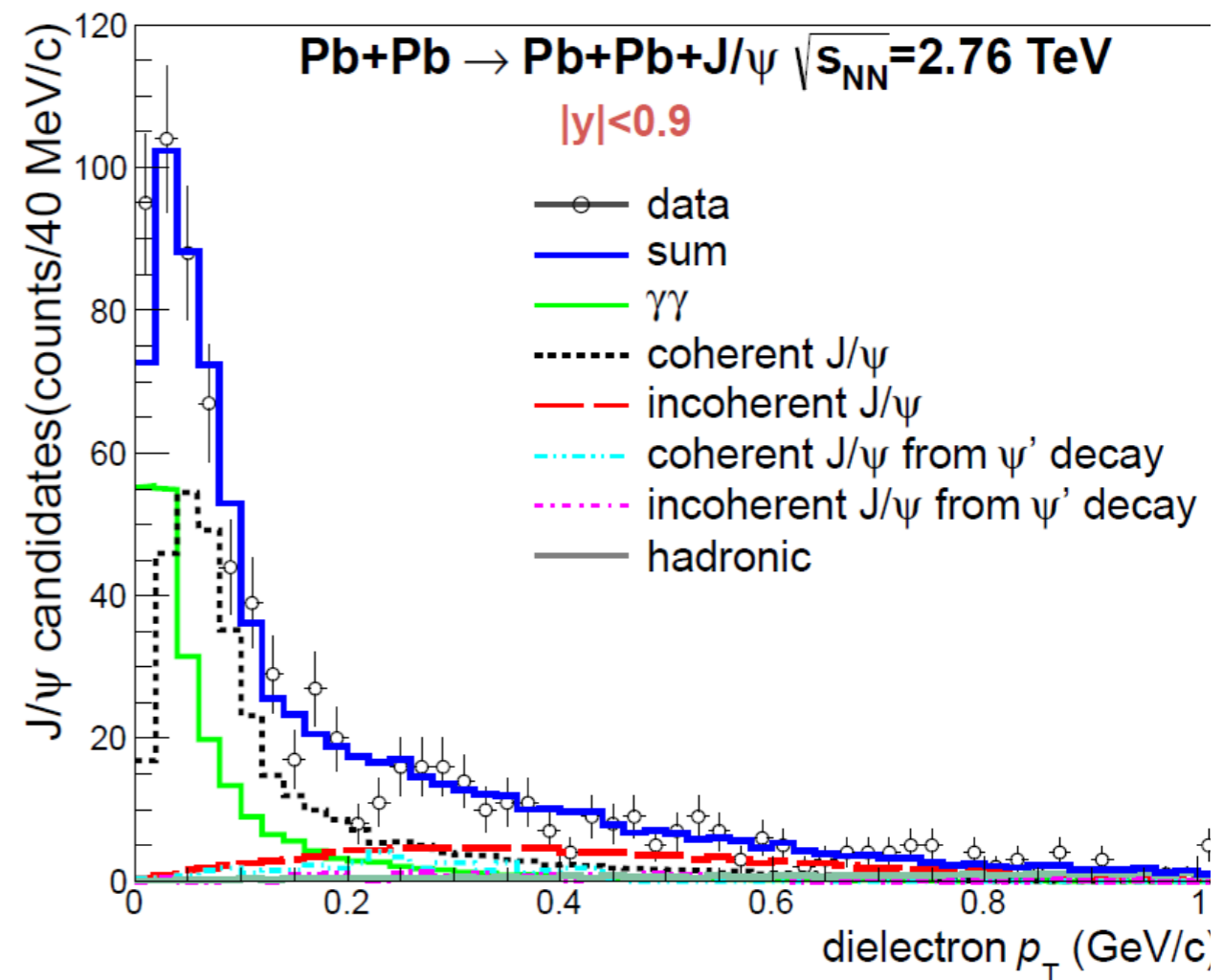
- Coherent  $J/\psi$
- Incoherent  $J/\psi$
- $J/\psi$  from  $\psi'$  decays
- $\gamma\gamma \rightarrow \mu^+\mu^-$

# Central barrel measurements in UPC

## J/ψ in the dimuon channel



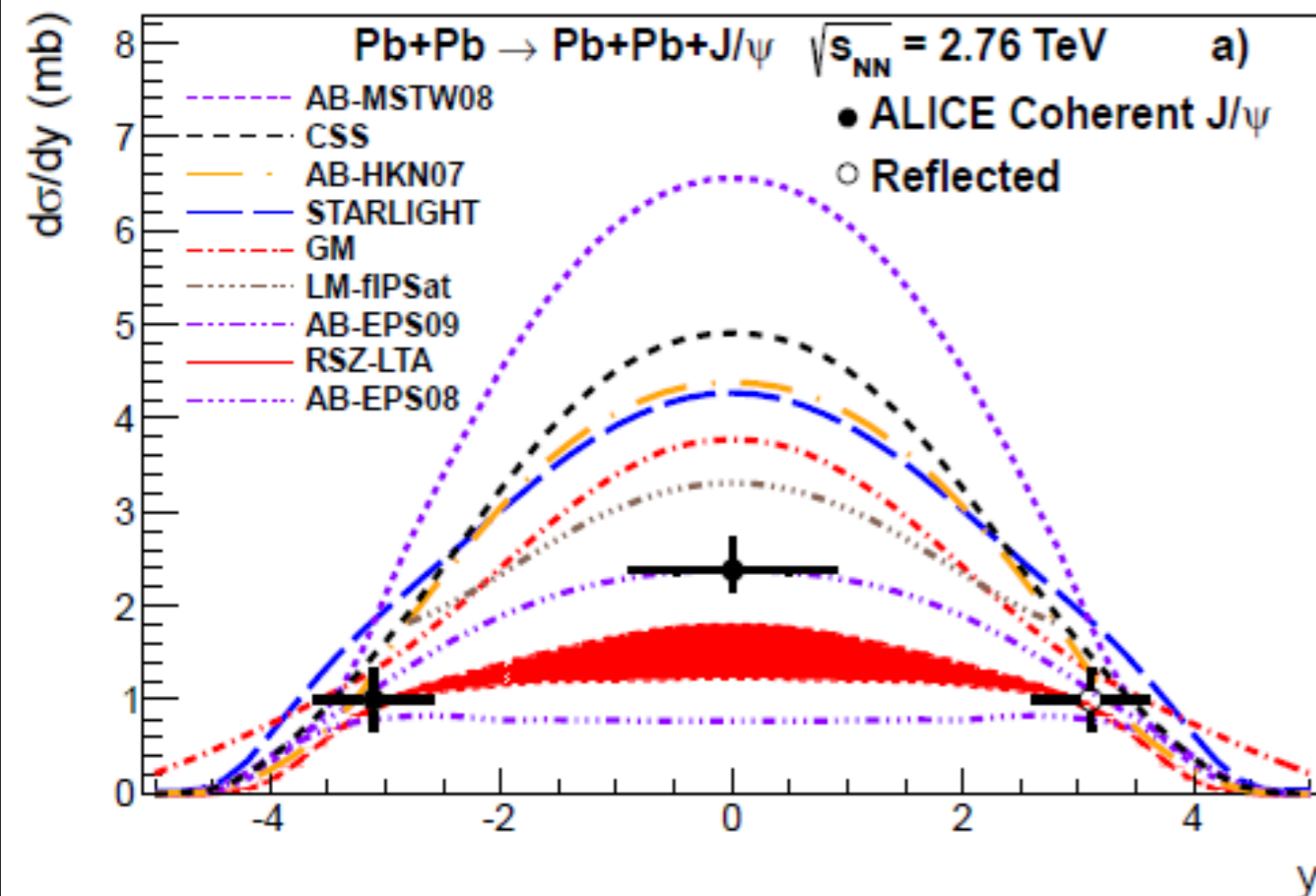
## J/ψ in the dielectron channel



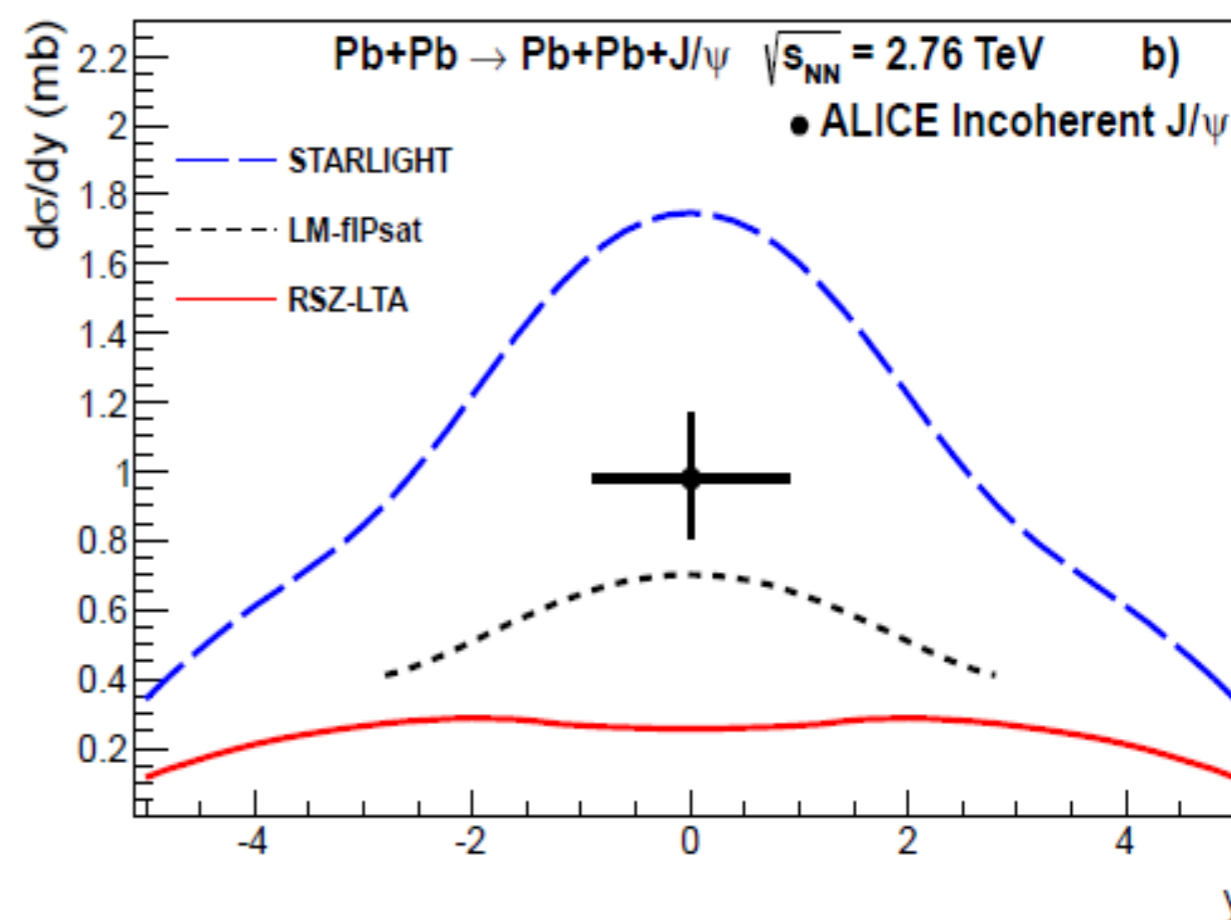
Data is well described by signals/backgrounds expected in UPC

# Data and theoretical predictions

## Coherent J/ψ



## Incoherent J/ψ



**Best agreement with EPS09 shadowing**

At mid-rapidity, Bjorken- $x \sim 10^{-3}$

ALICE shows that the distribution in  $x \approx 10^{-2} - 10^{-3}$  range is consistent with the EPS09 parameterization

**Two UPC publications by ALICE**

**Phys.Lett. B718 (2013) 1273-1283**

**Eur. J. Phys. C73, 2617 (2013)**

# Nuclear gluon shadowing from ALICE data

V. Guzei, E. Kryshen, M. Strikman, M. Zhalov. Phys. Lett. B726 (2013) 290

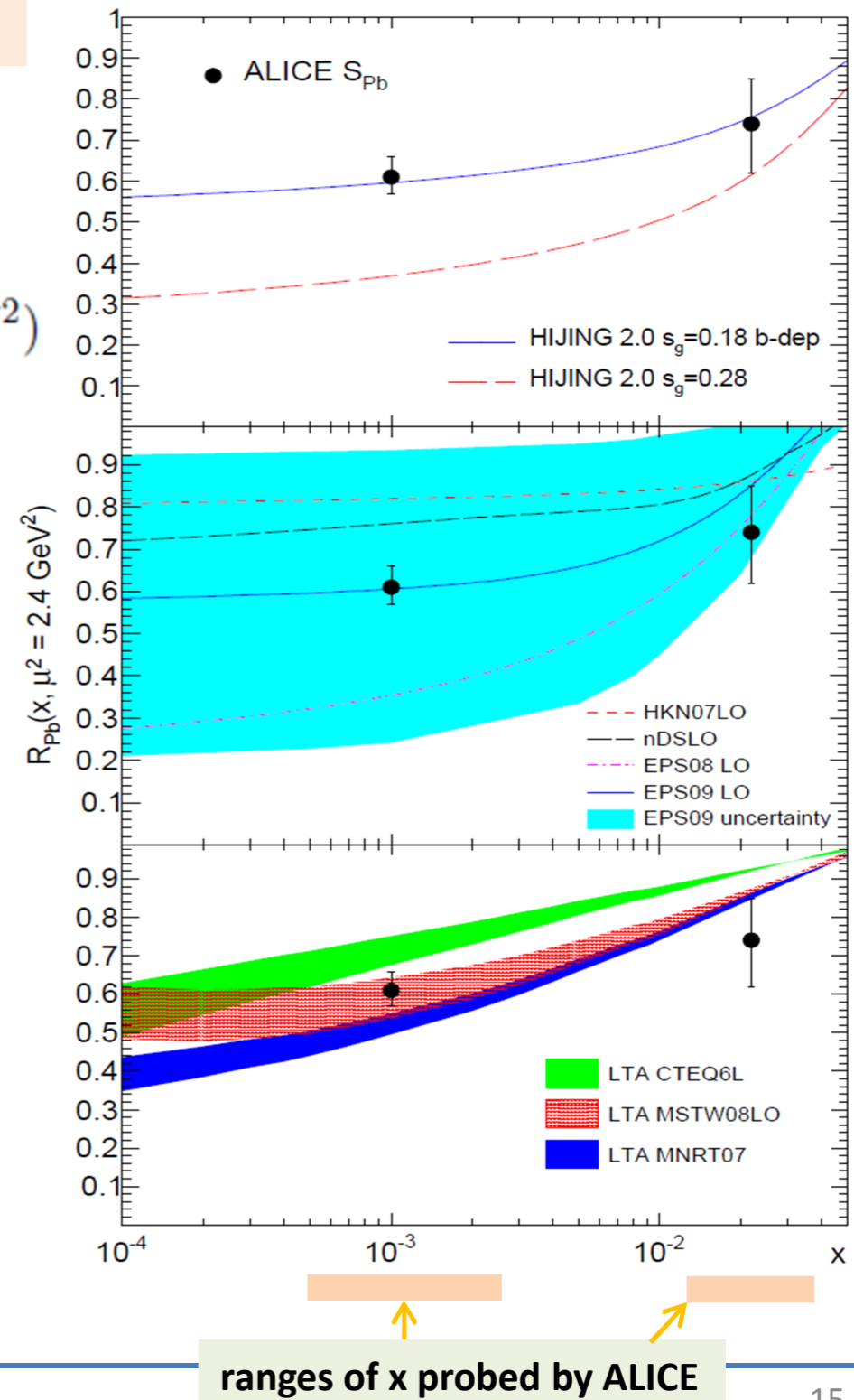
## Nuclear suppression factor in J/ψ photoproduction:

ALICE data corrected for photon flux

$$S(W_{\gamma p}) \equiv \left[ \frac{\sigma_{\gamma \text{Pb} \rightarrow J/\psi \text{Pb}}^{\text{exp}}(W_{\gamma p})}{\sigma_{\gamma \text{Pb} \rightarrow J/\psi \text{Pb}}^{\text{IA}}(W_{\gamma p})} \right]^{1/2} \Rightarrow R(x, \mu^2 = 2.4 \text{ GeV}^2)$$

**Impulse Approximation:** J/ψ photoproduction cross section from HERA corrected for the integral over squared Pb form-factor

- **Hijing:** scale-independent gluon shadowing, characterized by parameter  $s_g$
- **Shadowing parametrizations (EPS, nDS, HKN07)** use DIS and Drell-Yan data +  $\pi^0$  data from RHIC (EPS) – gluon shadowing essentially unconstrained at low x
- **Leading twist approximation:** propagation of color dipoles in nuclei via intermediate diffractive states (Gribov-Glauber shadowing theory). Incorporates diffractive parton distributions in proton (from HERA)

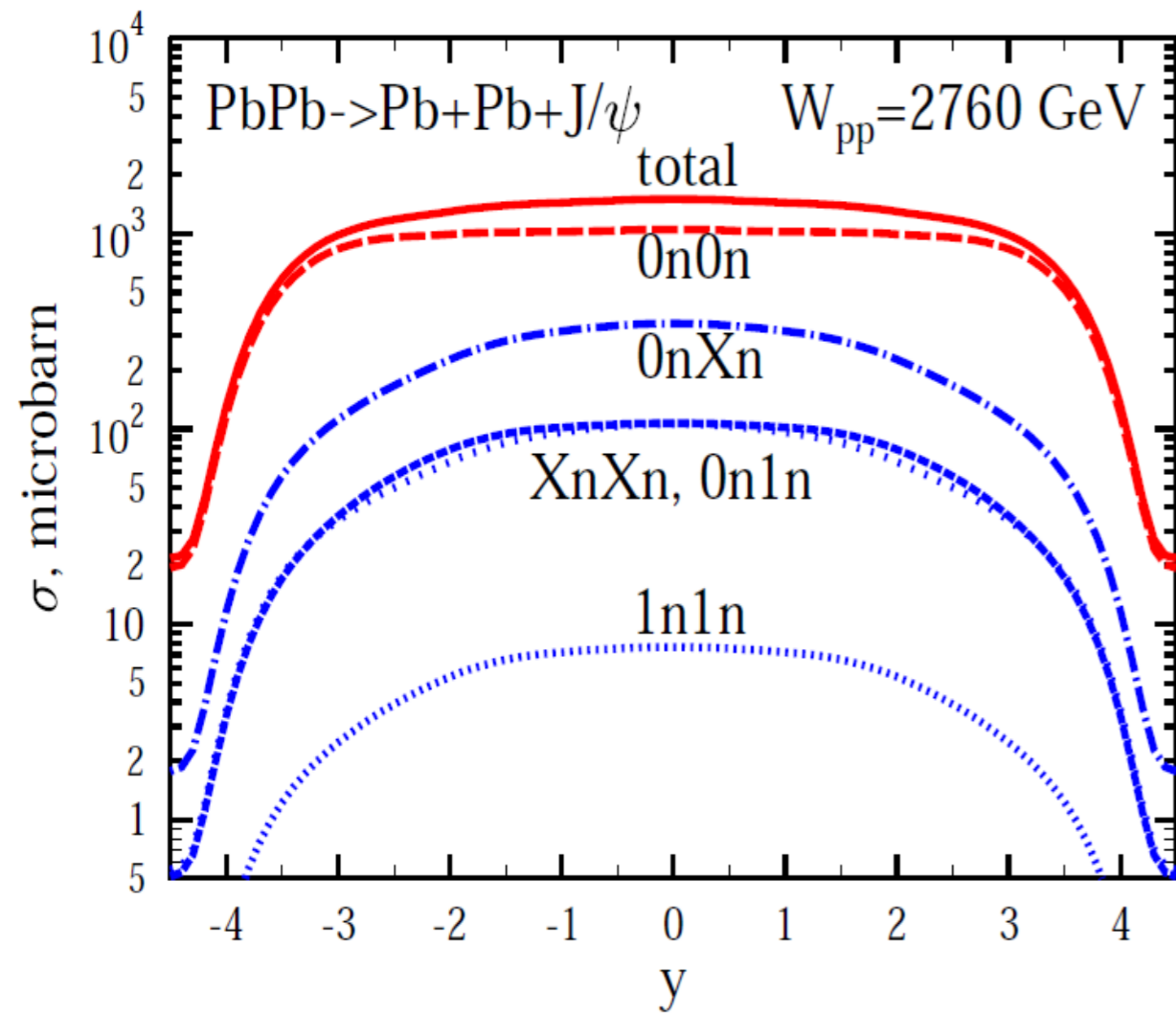


Evgeny Kryshen

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# New at the LHC: Dependence on neutron emission

Using Zero Degree Calorimeters (ZDC) it is possible to select coherent production with ion excitation, where neutrons are emitted from at least one of the nuclei



## Different configurations:

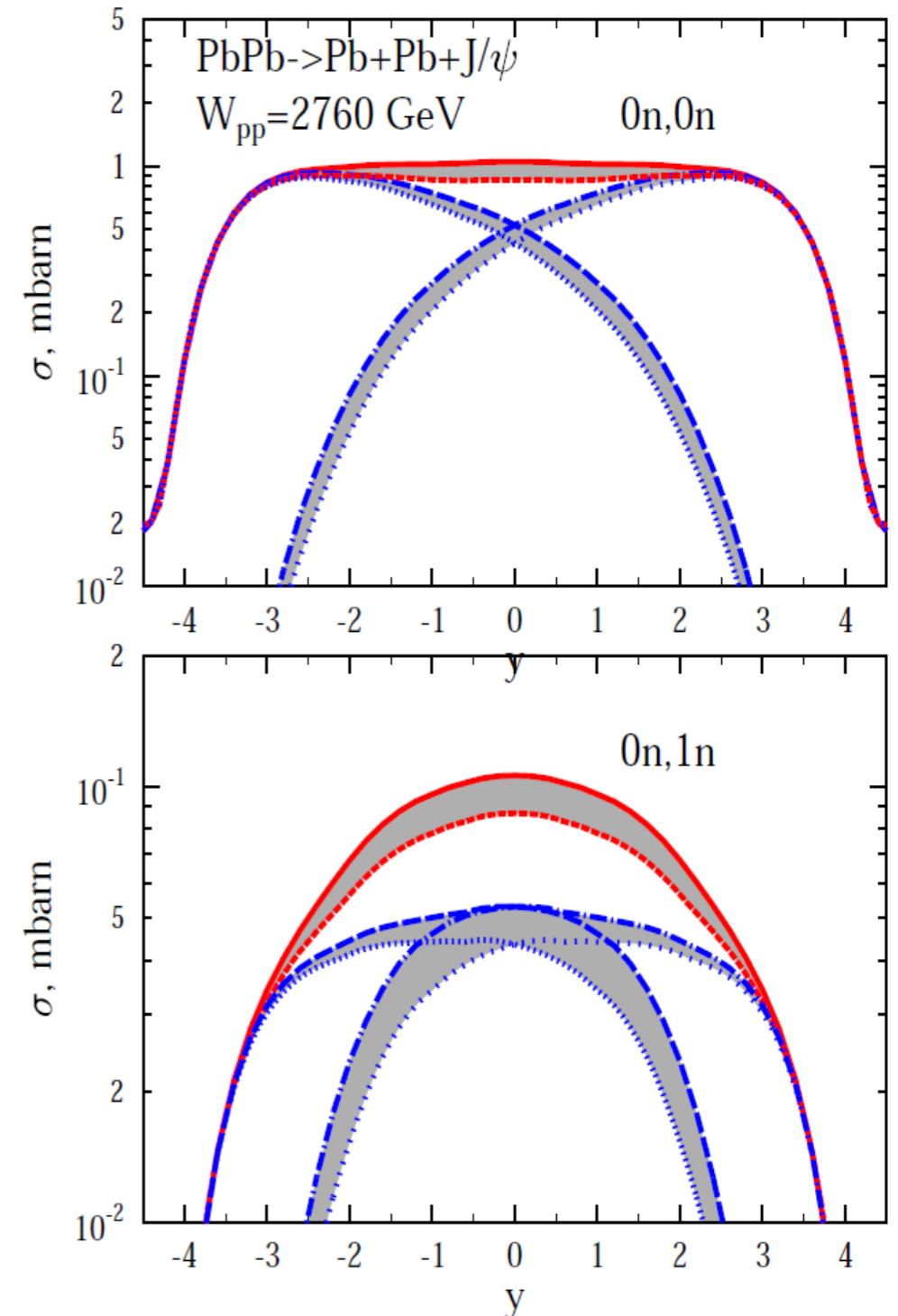
**1n1n:** one neutron emission by each ion;

**XnXn:** emission of several neutrons;

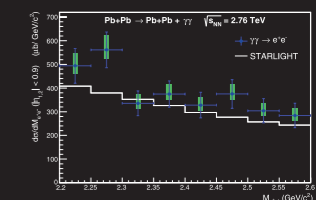
**0n1n and 0nXn:** excitation and decay of one of the ions, and

**0n0n:** no neutron emission

*V. Rebyakova, M. Strikman and M. Zhalov*  
ArXiv:1109.0737, Sept 2011

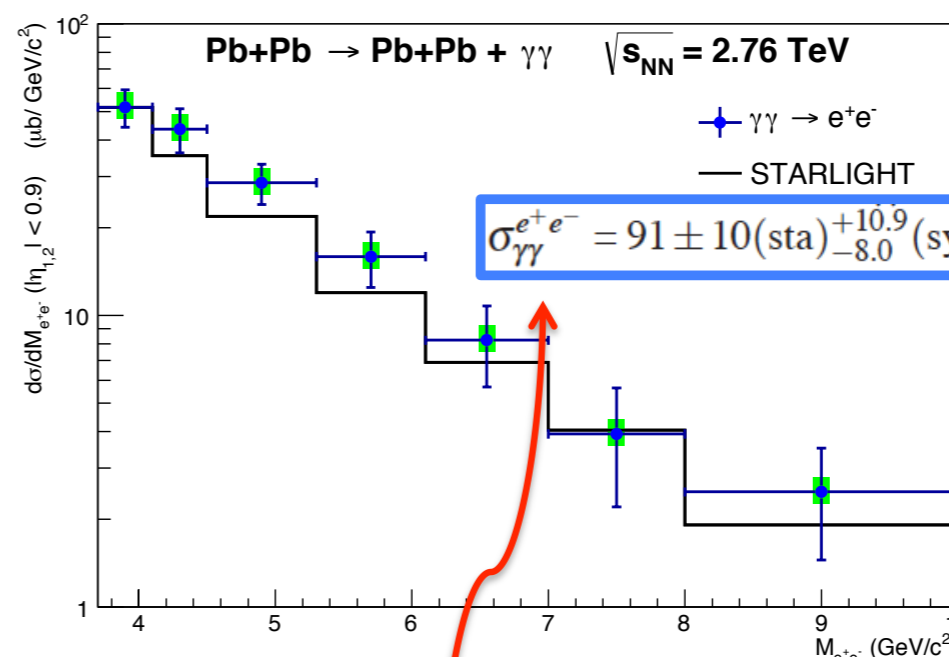
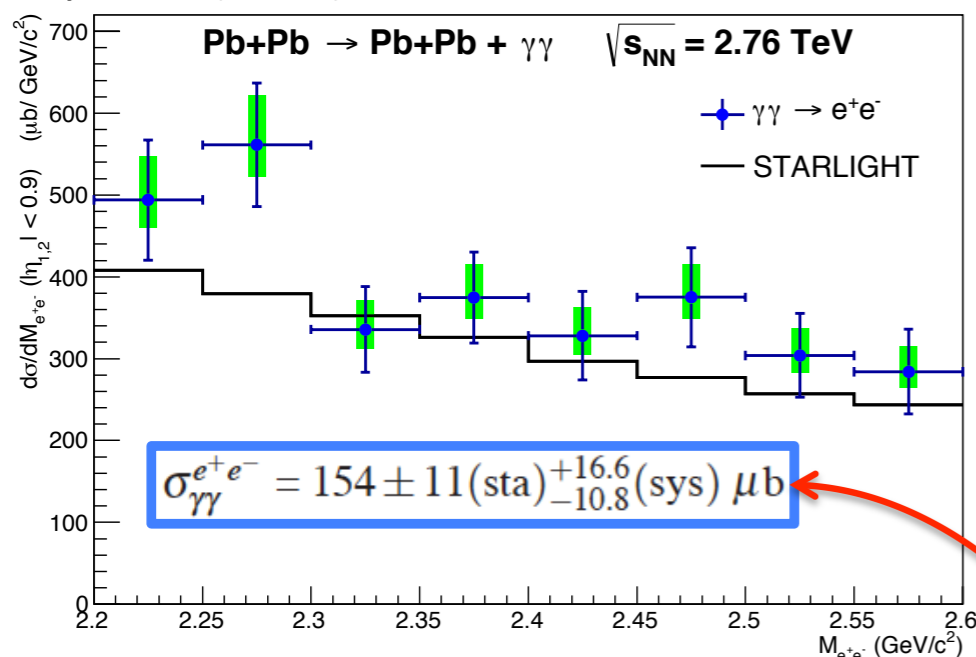


Shaded area: Uncertainty on nuclear gluon shadowing



# $\gamma+\gamma \rightarrow e^+e^-$ production in Pb-Pb (Central Barrel)

Eur. Phys. J. C (2013) 73:2617



- ✓ QED process ... but uncertainties due to
  - Higher order corrections because the coupling is enhanced by a factor of Z
  - Nuclear form factor and the minimum momentum transfer in the interaction

→ Different models predict a **reduction** of the LO cross section up to 30%

→ (see for example: A. J. Baltz, Phys. Rev. C 80 (2009) 034901; Phys. Rev. Lett. 100 (2008) 062302)

- ✓ Measurement in two different mass ranges: [2.2,2.6] and [3.7,10] GeV/c<sup>2</sup>
- ✓ Precision of 12% and 16% respectively
- ✓ Data slightly **above** STARLIGHT, a **LO prediction**

**ALICE data sets stringent limits on the contribution from high order terms**

**Eur. J. Phys. C73, 2617 (2013)**

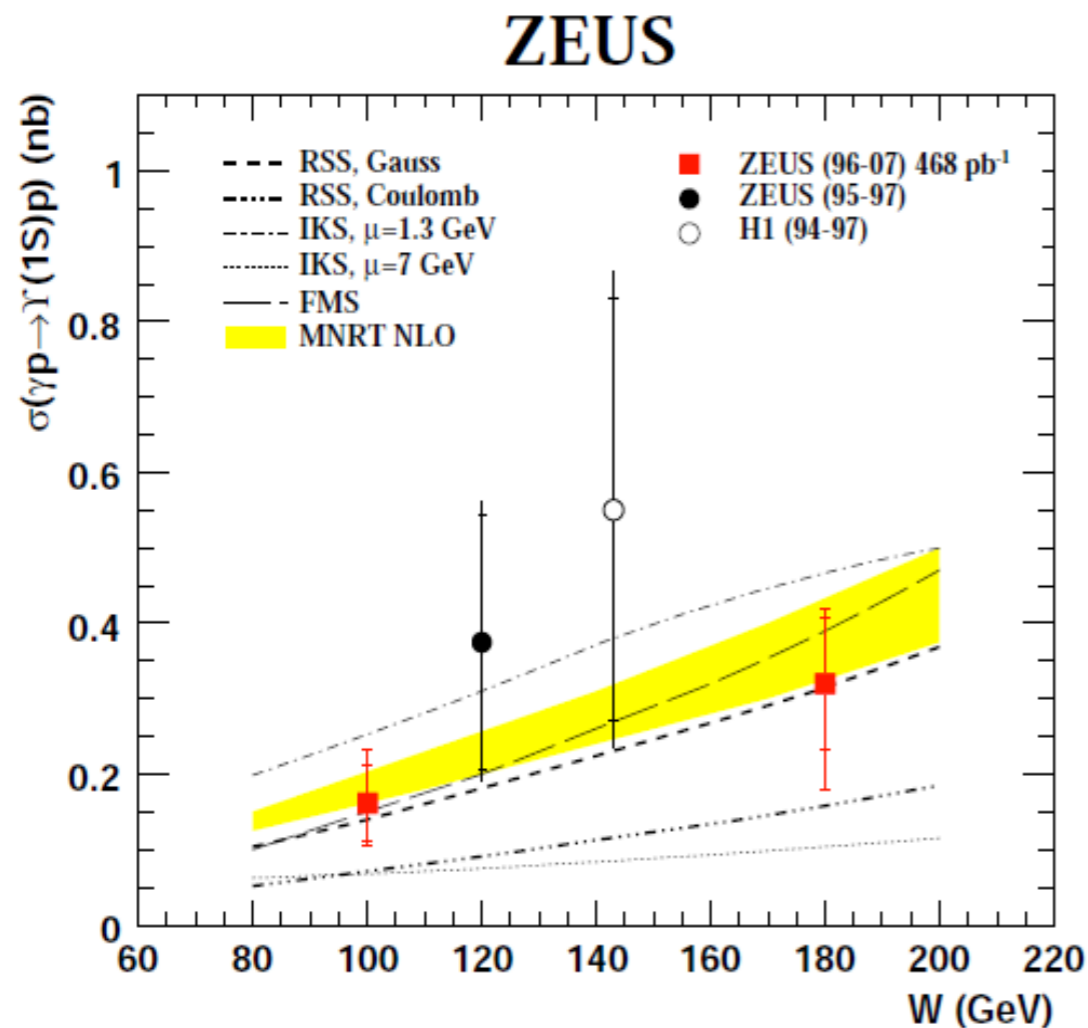


# Upsilon photoproduction

$\gamma + p \rightarrow Y + p$  : possible thanks to strong photon flux of the proton hitting the Pb nuclues

Very limited statistics from HERA (H1 and ZEUS)  $\sim 100$  candidates

Uncertainty in measured cross section larger than a factor 3



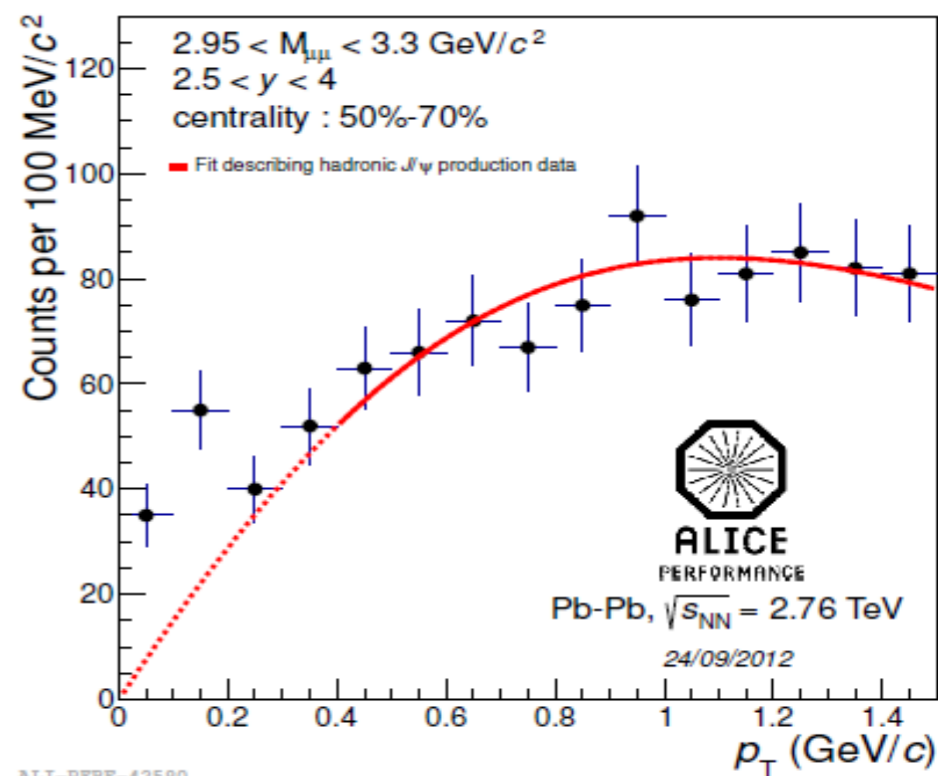
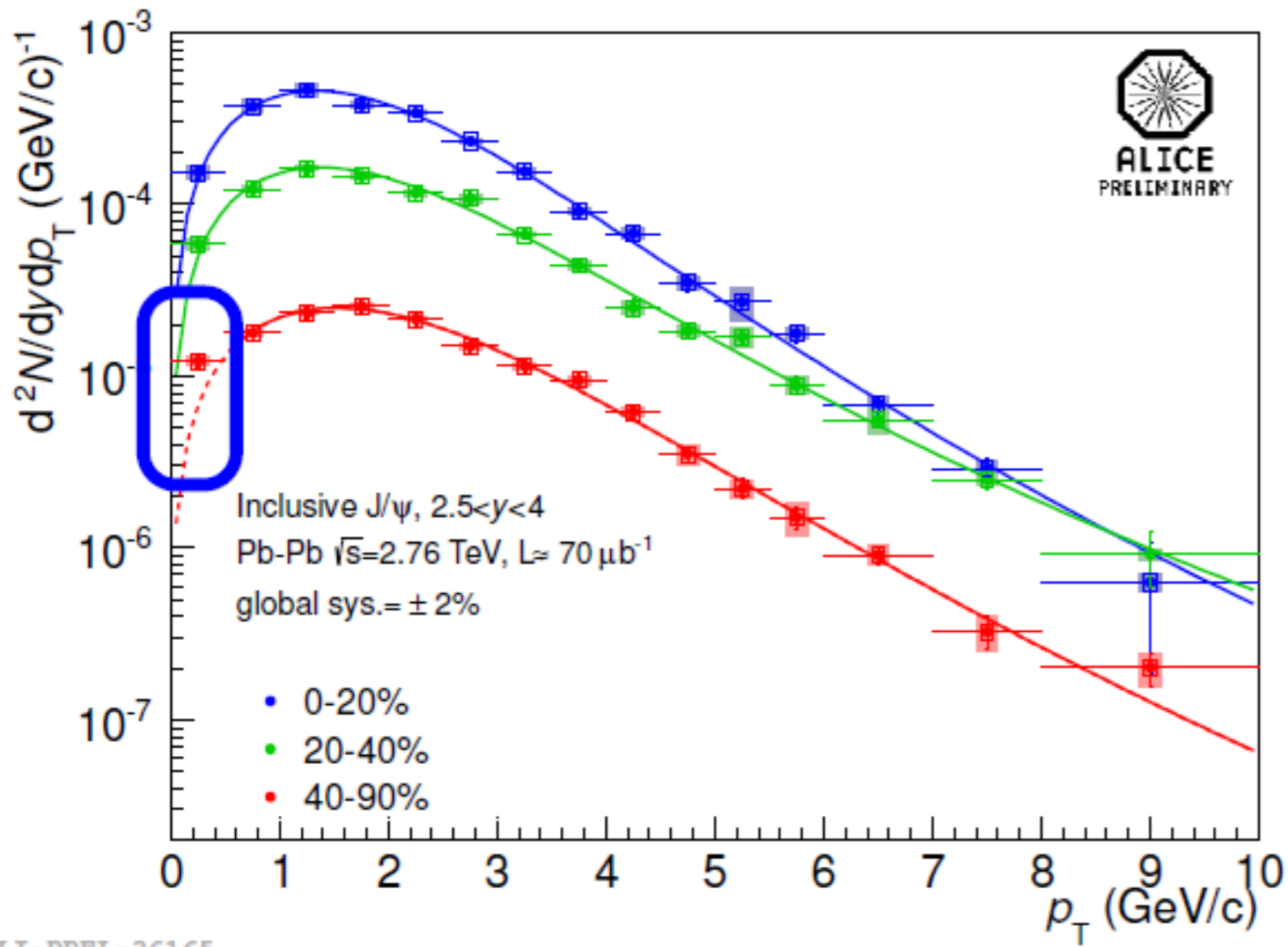
Ideal way to measure this process at LHC

Needed to have a baseline for

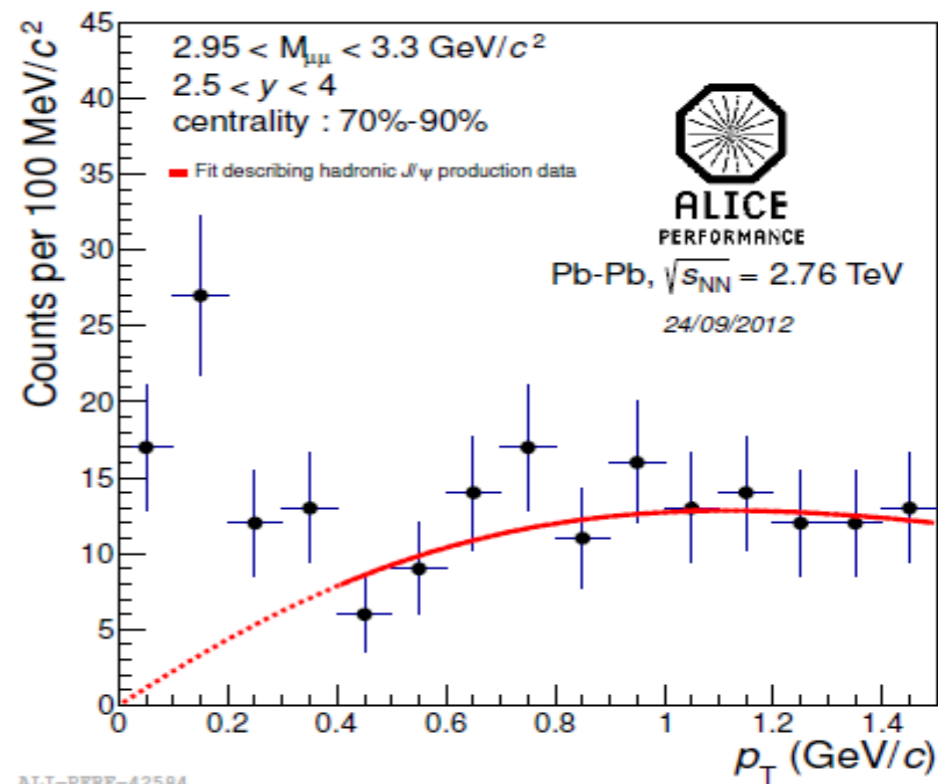
$\gamma + Pb \rightarrow Y + Pb$

Here CMS is very competitive as Upsilon acceptance is down to zero transverse momenta

# UPC in inclusive peripheral Pb-Pb at forward rapidity?!



ALI-PERF-42580



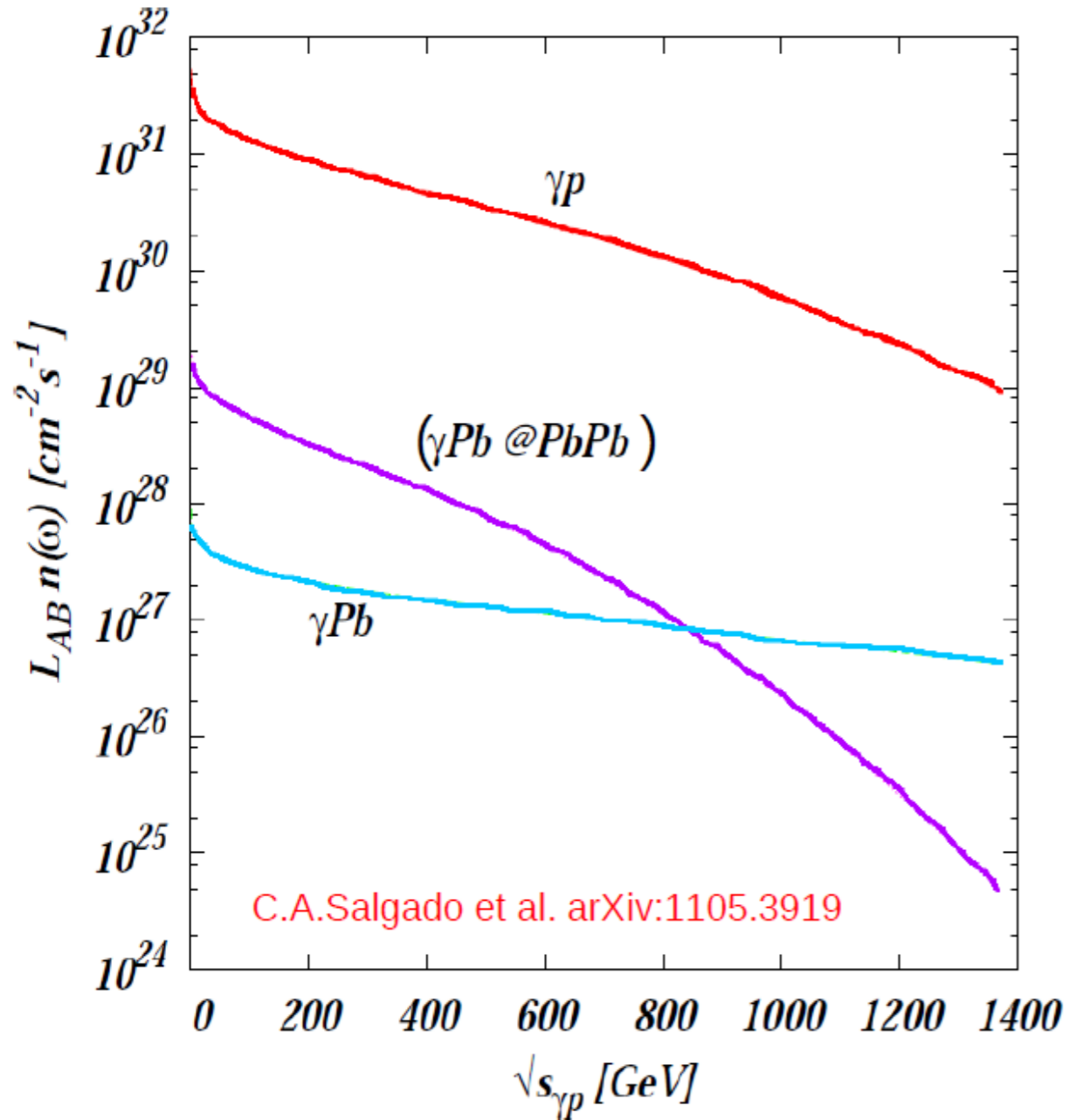
ALI-PERF-42584

p

# UPCs in Pb-Pb

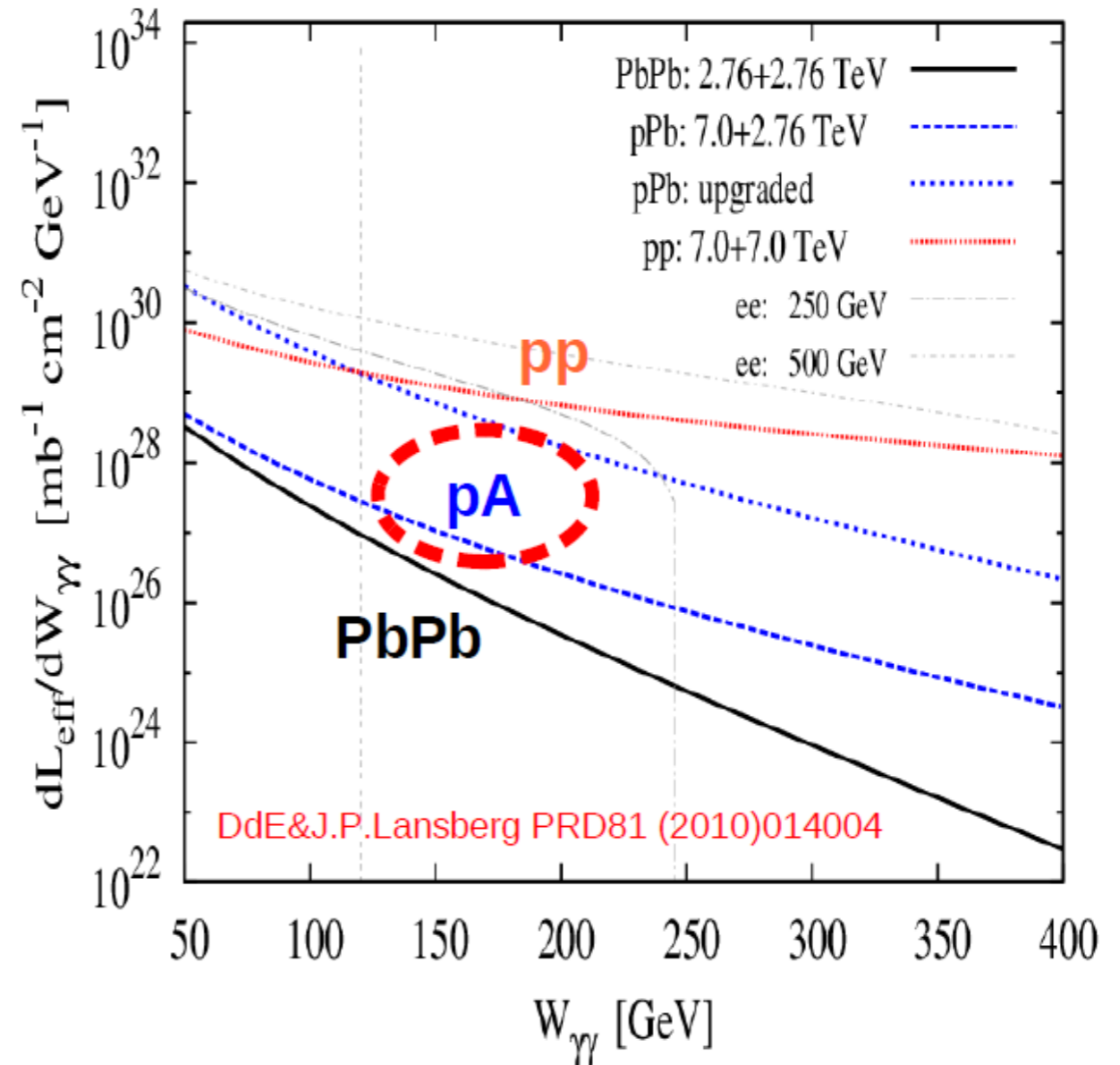
# Effective luminosities in UPC

p-Pb @ 8.8 TeV



Beyond ~1 TeV scale

p-Pb vs p-p, Pb-Pb, e<sup>+</sup>e<sup>-</sup>

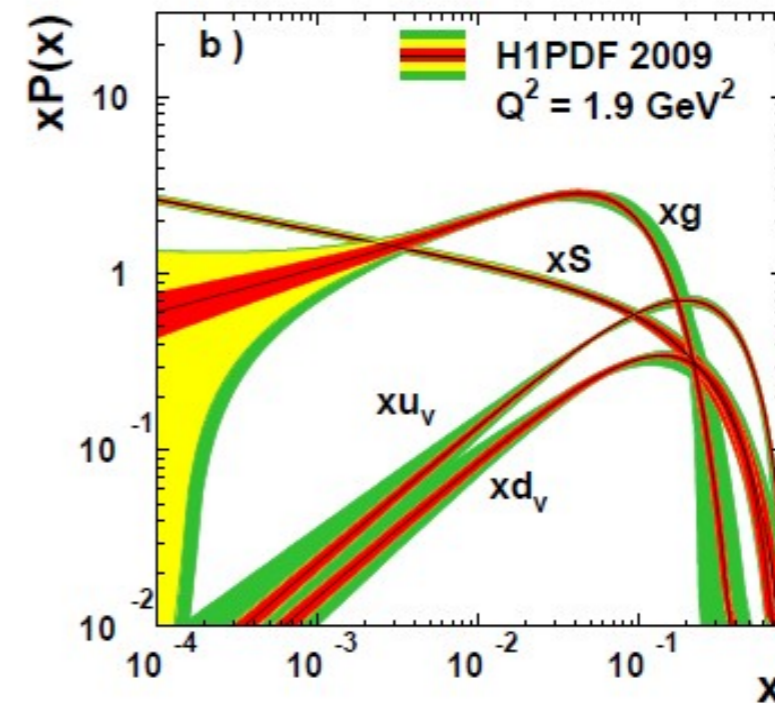
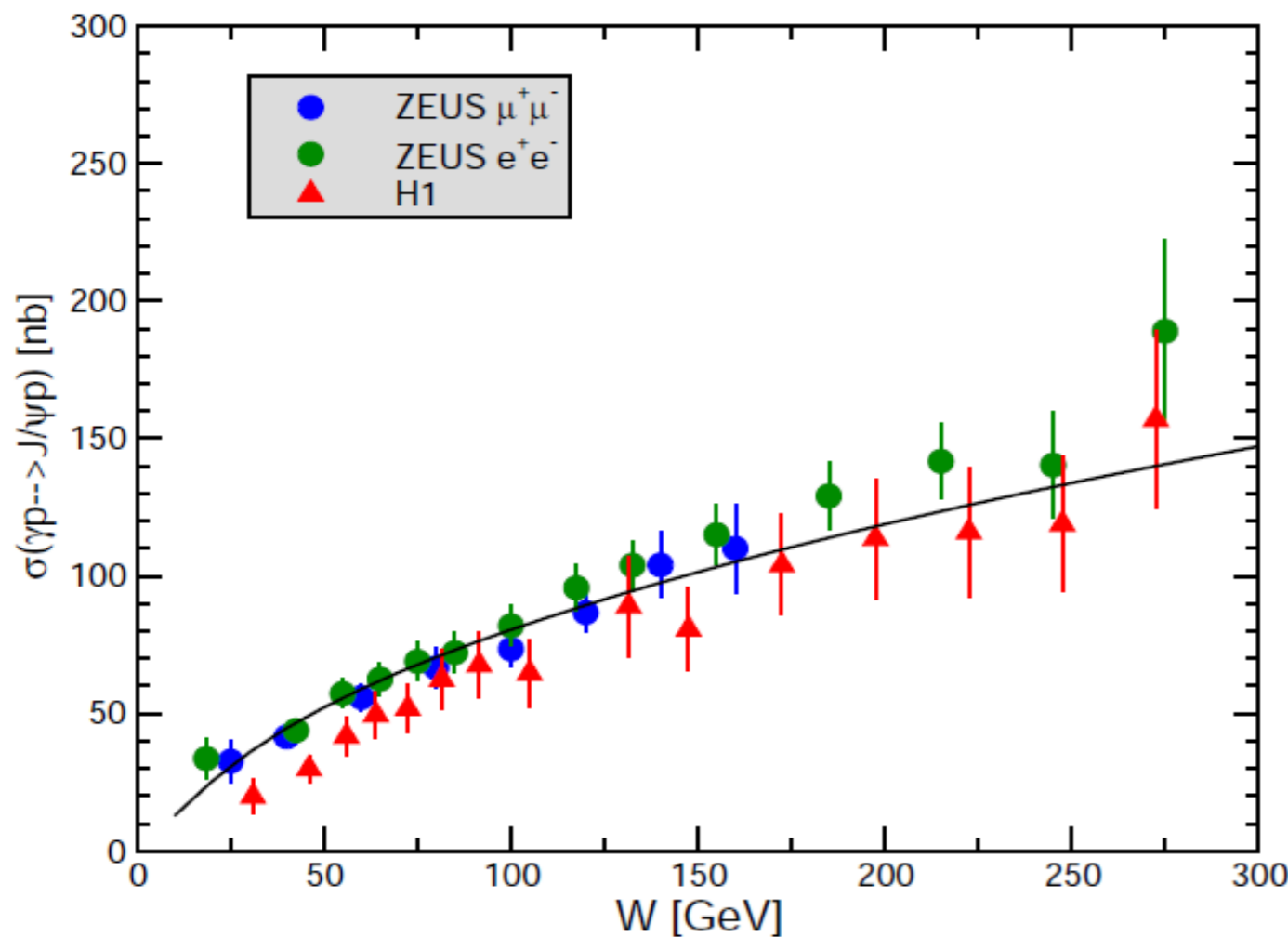


$$\mathcal{L}_{\gamma\gamma}^{\text{eff}} \equiv \mathcal{L}_{AB} d\mathcal{L}_{\gamma\gamma}/dW_{\gamma\gamma}$$

# J/ψ photoproduction in γp

$$\frac{d\sigma_{\gamma p \rightarrow p J/\psi}}{dt} = \frac{\Gamma_{ee} M_{J/\psi}^3 \pi^3}{48 \alpha_{em}} \cdot \frac{\alpha_S^2(\bar{Q}^2)}{\bar{Q}^8} \left[ x g_N(x, \bar{Q}^2) \right]^2 \exp[B_{J/\psi}(s)t]$$

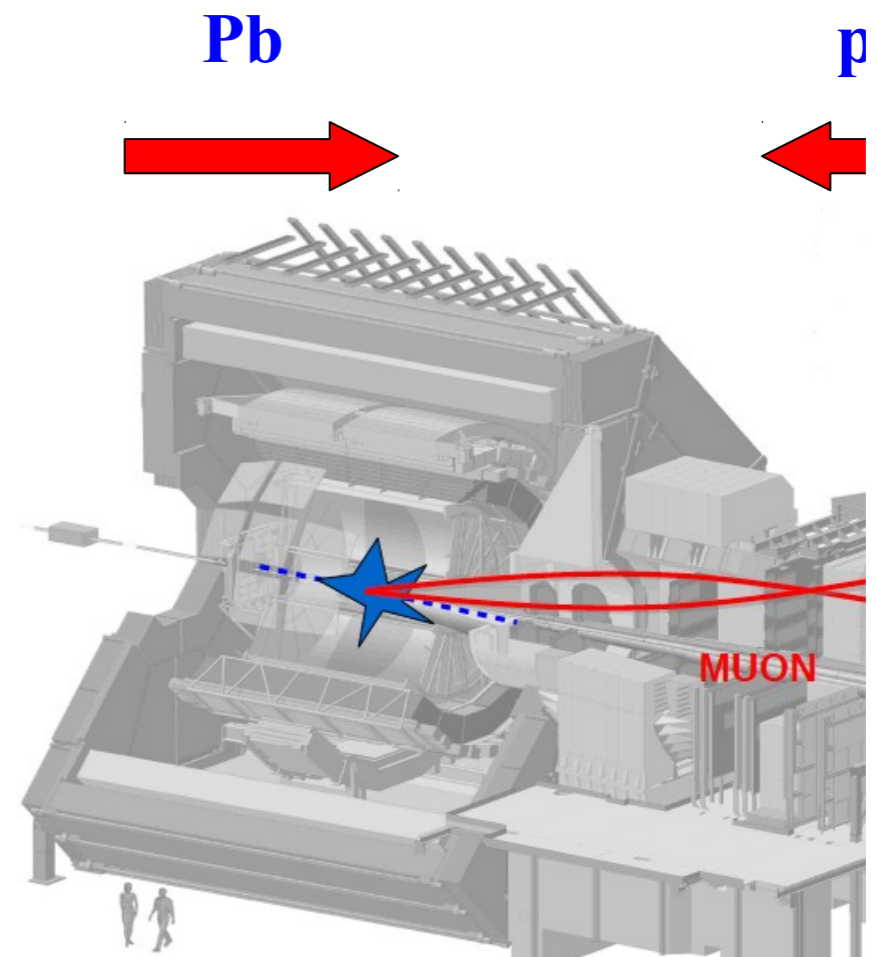
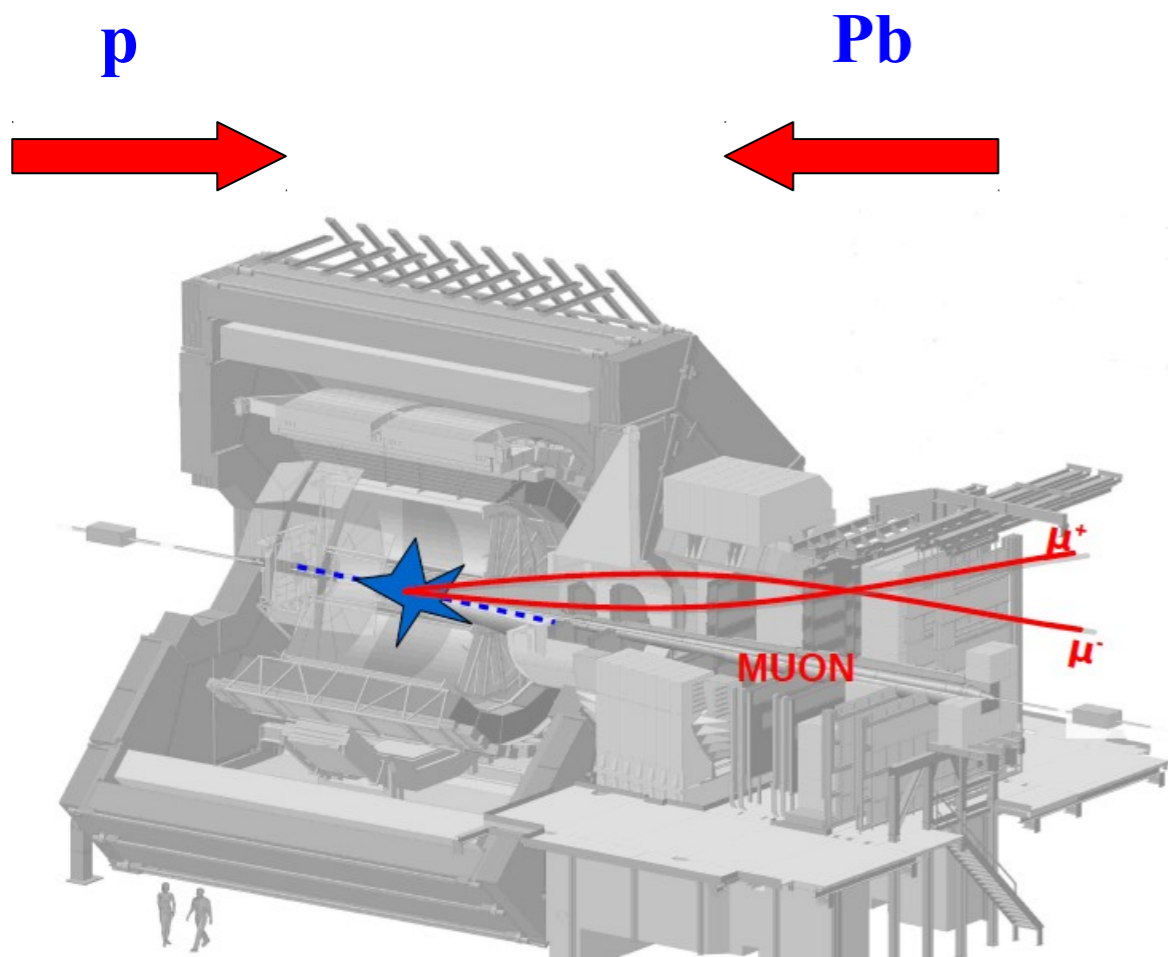
*The Pb nucleus acts as photon emitter (enhanced flux by factor  $Z^2 \approx 7000$  compared to the photon flux from the proton)*



At LHC Bjorken- $x$  down to  $10^{-5}$

$\gamma p$  centre-of-mass energies at the 1 TeV energy scale

H1: A. Aktas *et al.* Eur.Phys. J.C46:585-603,2006  
 ZEUS:S. Chekanov *et al.*, Nucl. Phys. B695 (2004) 3.  
 A. Martin *et al.* Phys.Lett. B 662:252-258, 2008



$$21 < W_{\gamma p} < 45 \text{ GeV}$$

$$549 < W_{\gamma p} < 1163 \text{ GeV}$$

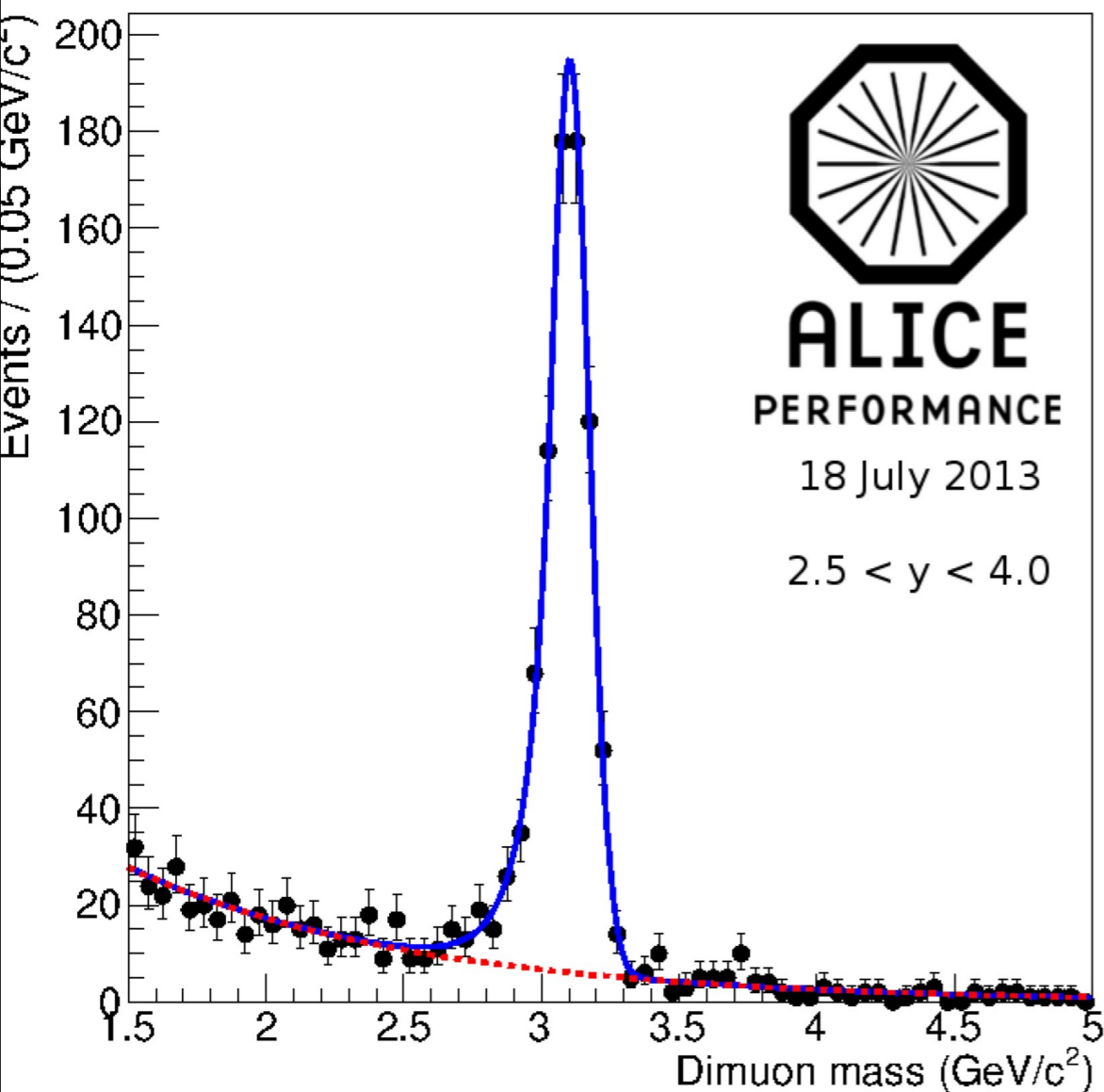
- The fact that the Pb nucleus is the dominant photon emitter allows us to separate the two  $W_{\gamma p}$  regimes unambiguously.

- “p-Pb” (\*) corresponds to the **lower** energy range
- “Pb-p” corresponds to the **higher** energy range.

$$x = \left( M_{J/\psi} / \sqrt{s_{NN}} \right) \exp(\pm y)$$

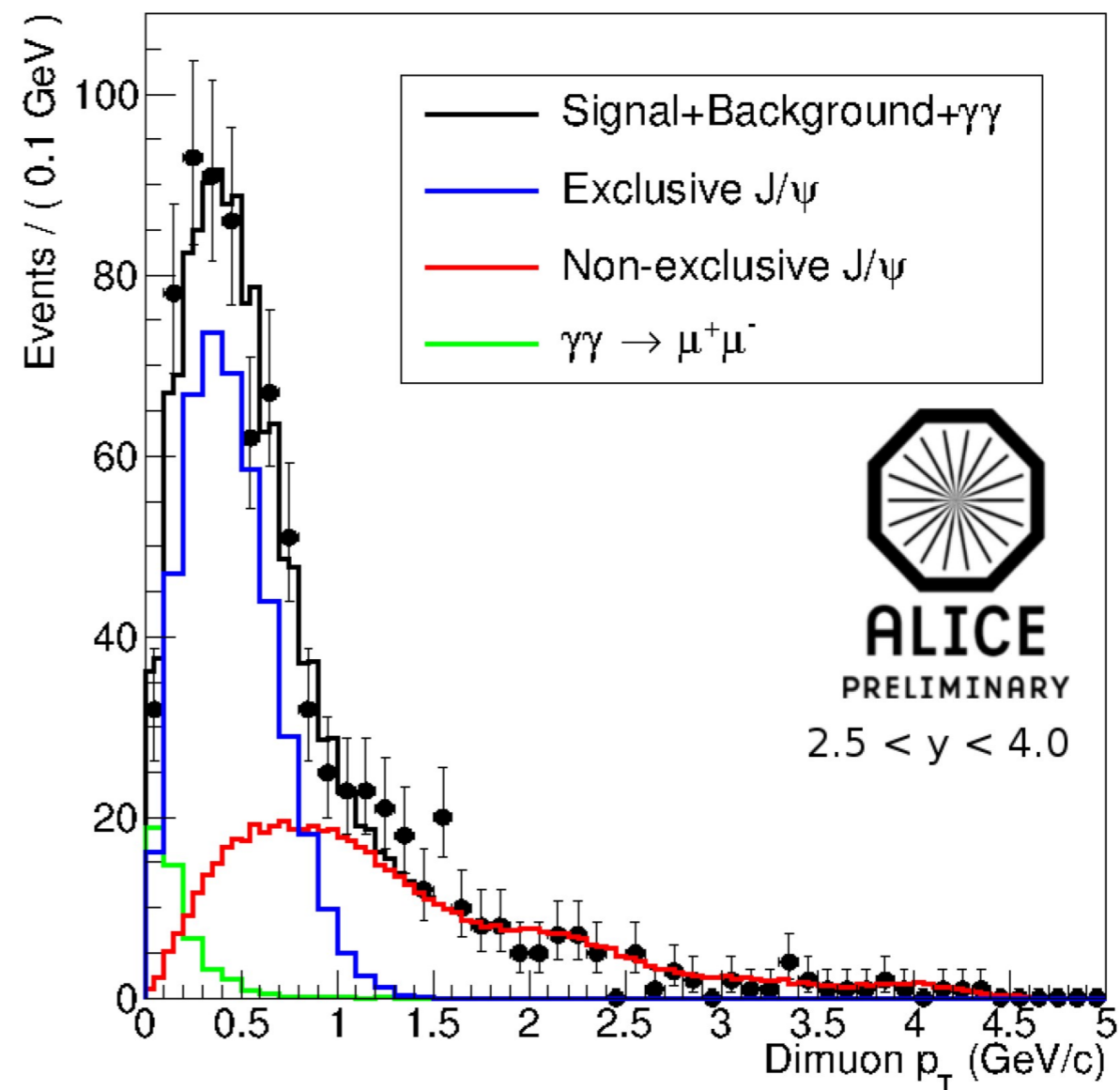
# UPC J/ψ candidates in p-Pb

p+Pb → p+Pb+J/ψ     $\sqrt{s_{NN}} = 5.02$  TeV



PERF-56323

p+Pb → p+Pb+J/ψ     $\sqrt{s_{NN}} = 5.02$  TeV

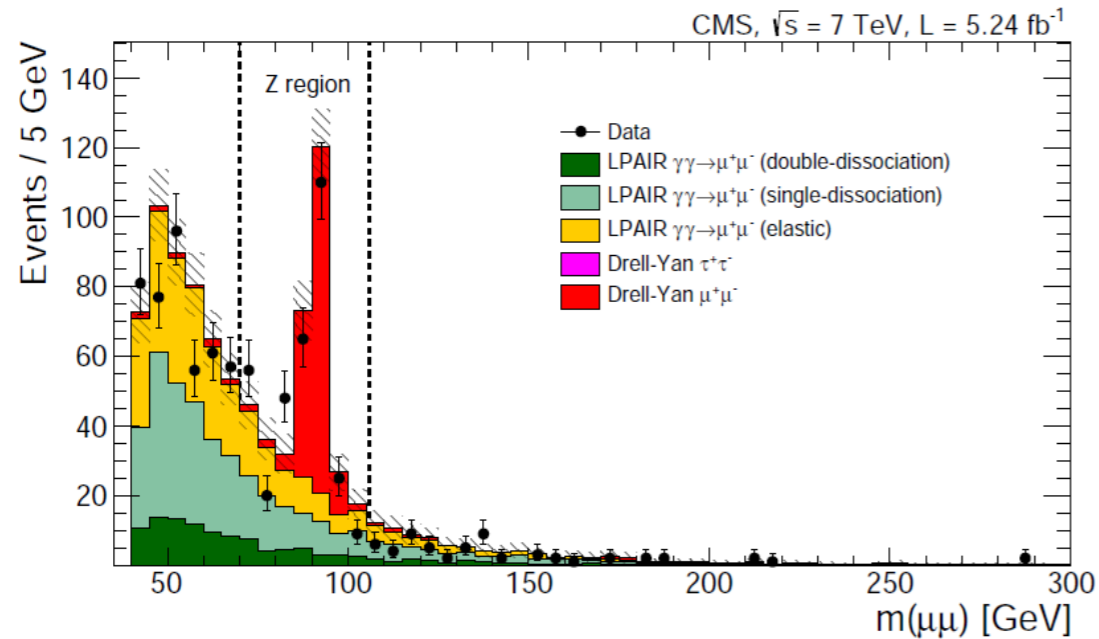


ALI-PREL-56319

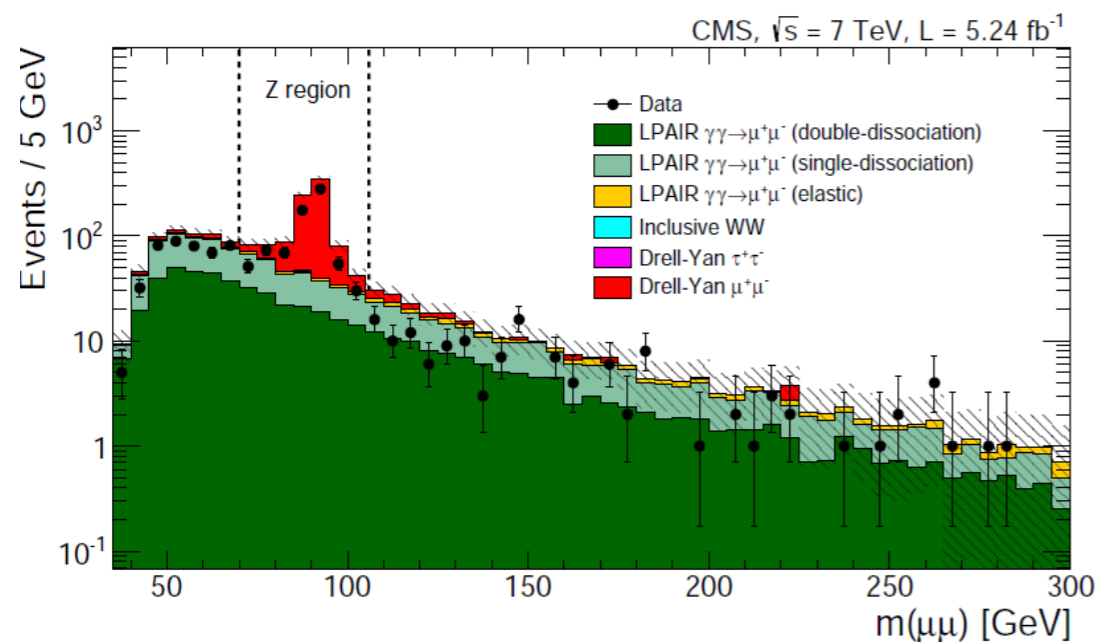
$21 < W_{\gamma p} < 45$  GeV  
 $\langle W_{\gamma p} \rangle \sim 30$  GeV

Contribution from events where the proton breaks up (dissociation). Non-exclusive J/ψ  $p_T$  shape estimated from data by requiring events with more than 2 hits in VZERO-C

# Two methods were used by CMS



Signal-enhanced

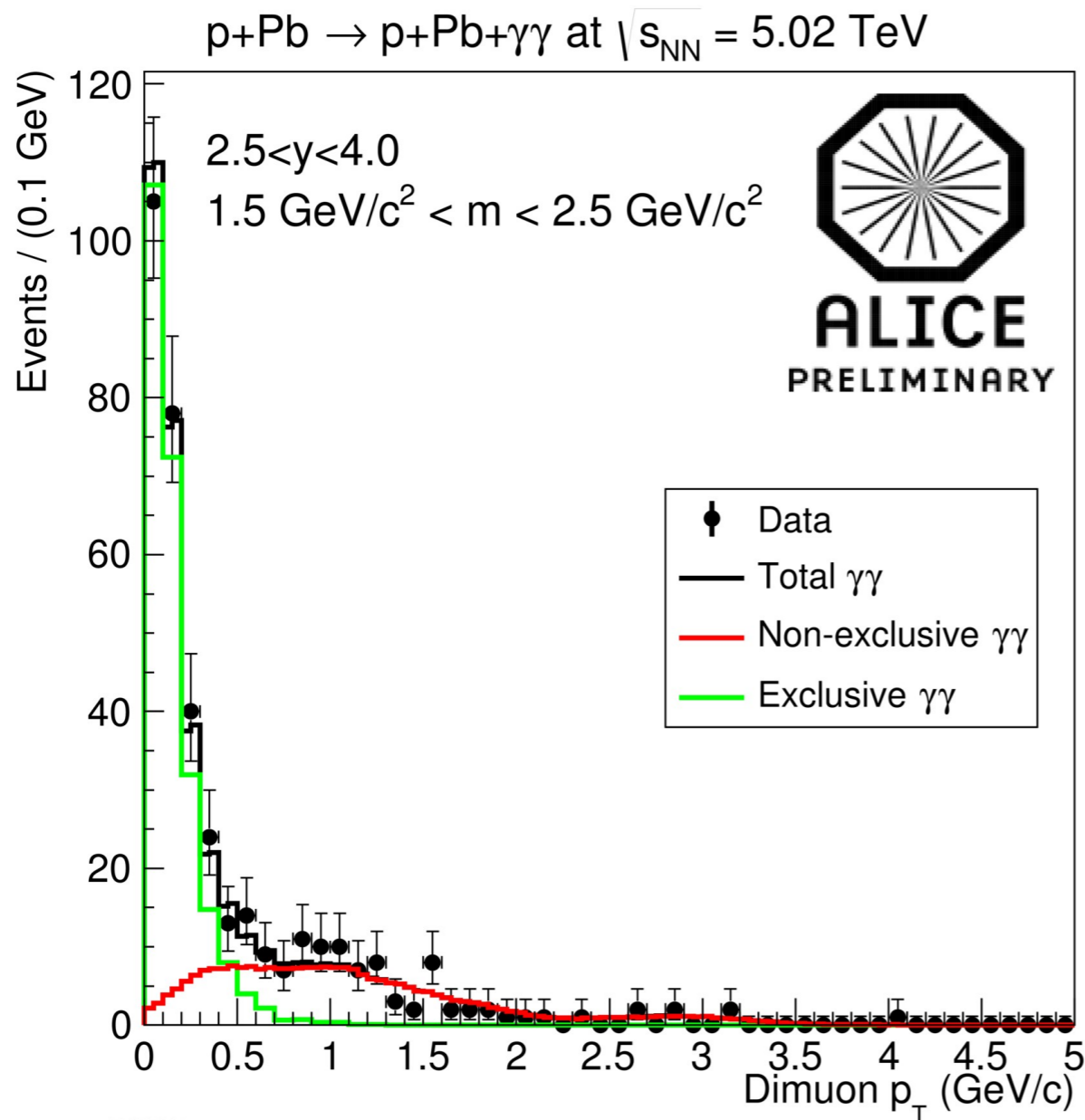


Background-enhanced

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# Two-photon cross section in p-Pb



Preliminary cross section for two-photon production

First time measurements in p-Pb collisions

Preliminary results consistent with STARLIGHT prediction

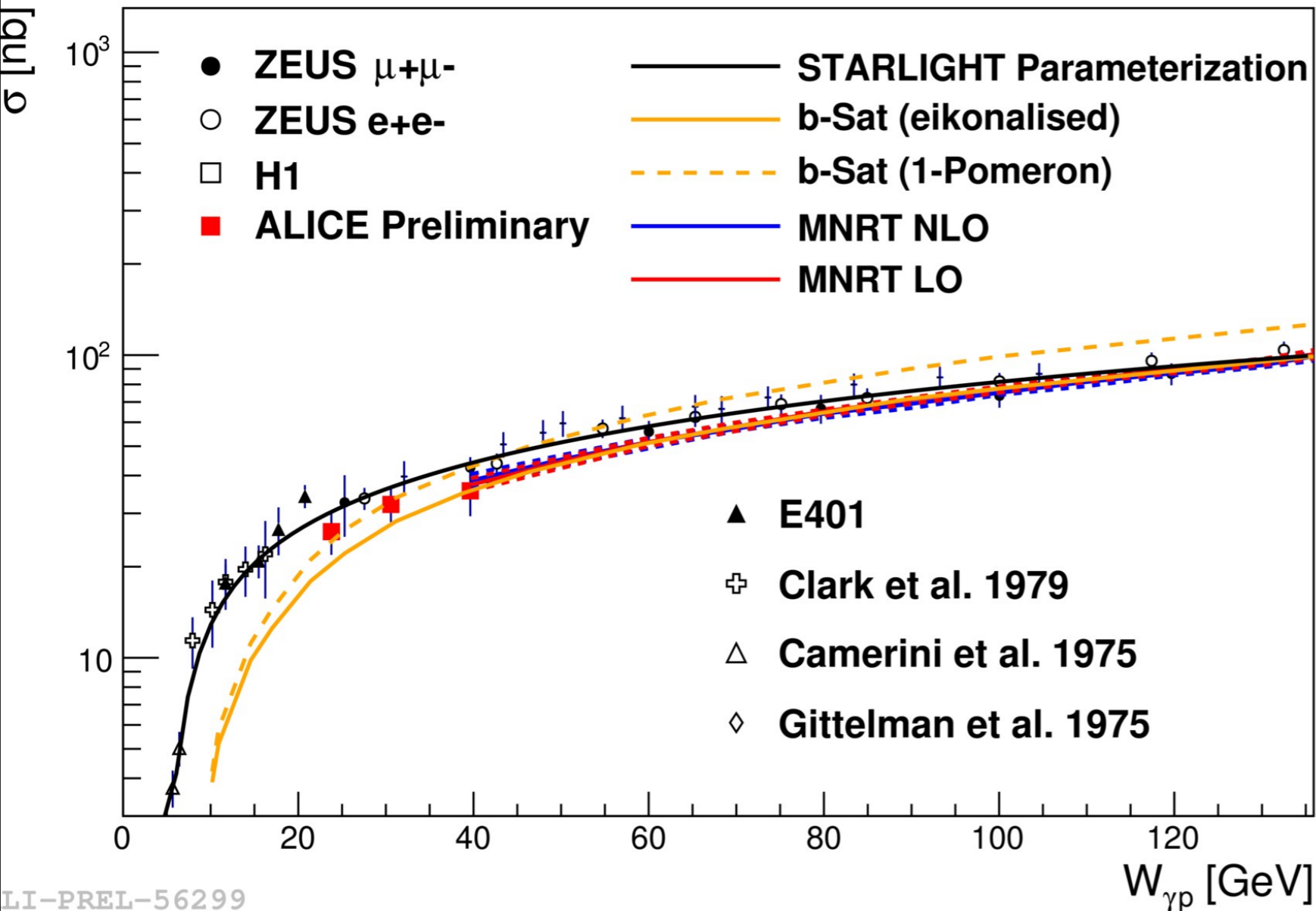
$$\sigma(1.5 \text{ GeV} < m < 2.5 \text{ GeV}, -4.0 < y < -2.5) = 1.76 \pm 0.12 \text{ (stat)} \pm 0.16 \text{ (sys)} \mu\text{b}$$

STARLIGHT prediction = 1.8  $\mu\text{b}$

# UPC $J/\psi$ candidates in p-Pb

$\sigma(J/\psi \text{ in } \gamma p)$  obtained using the corresponding photon spectrum

$$\gamma + p \rightarrow J/\psi + p$$



Model calculations

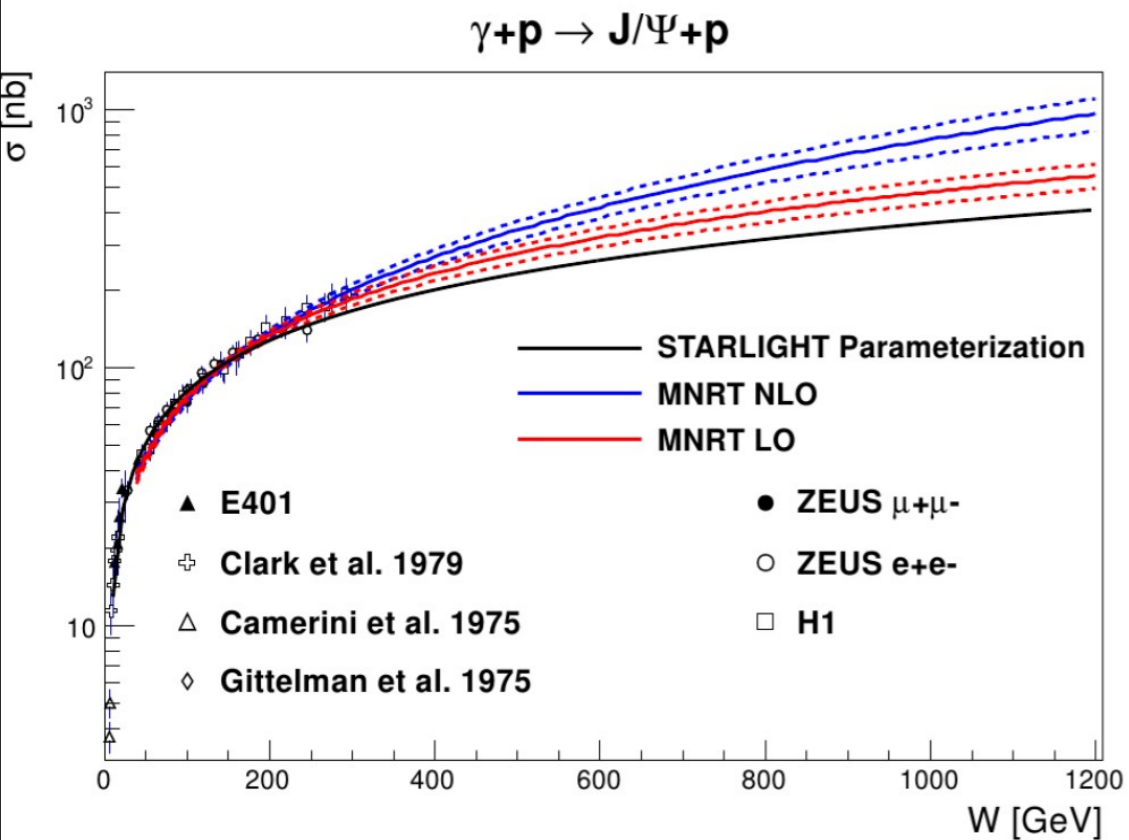
b-Sat: [arxiv:1206.2913](https://arxiv.org/abs/1206.2913); [1211.4831](https://arxiv.org/abs/1211.4831).

MNRT: PLB 662 (2008) 252.

LI-PREL-56299

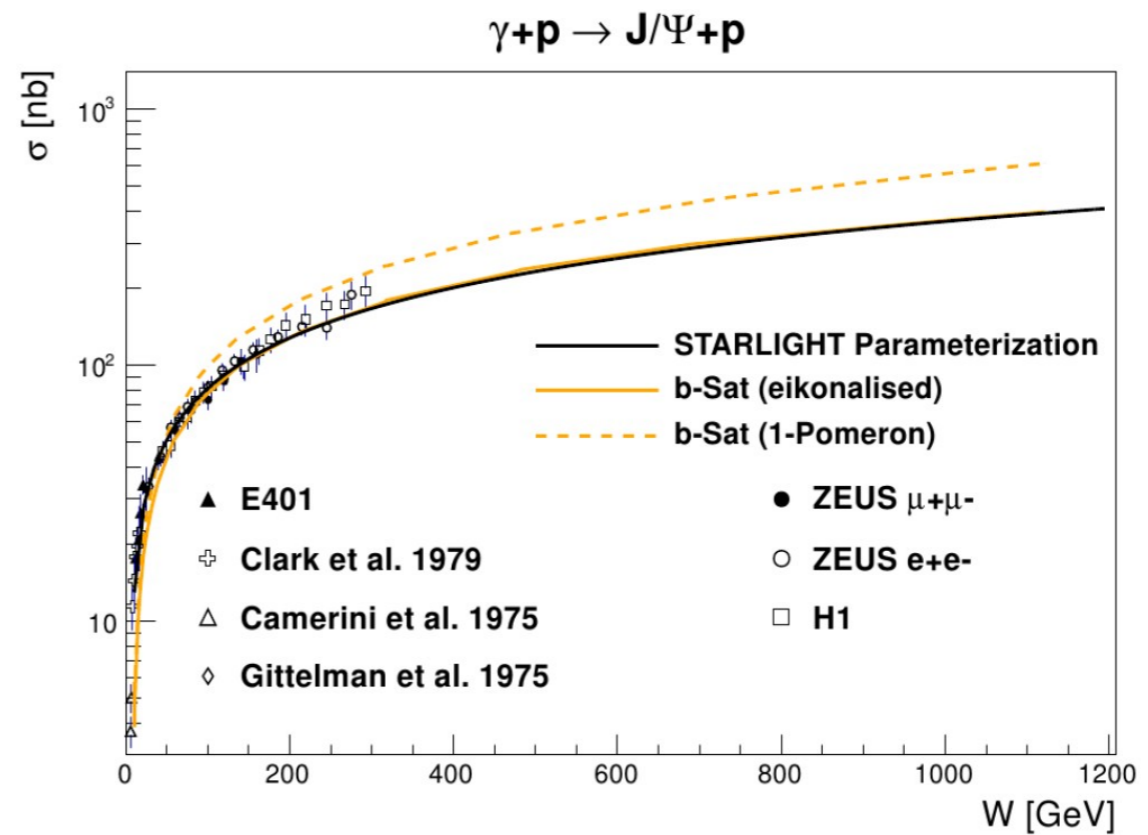
*ALICE results - New data between fixed target experiments and HERA*

# Model predictions



A. Martin *et al.*

**NLO leads to an increase cross section**

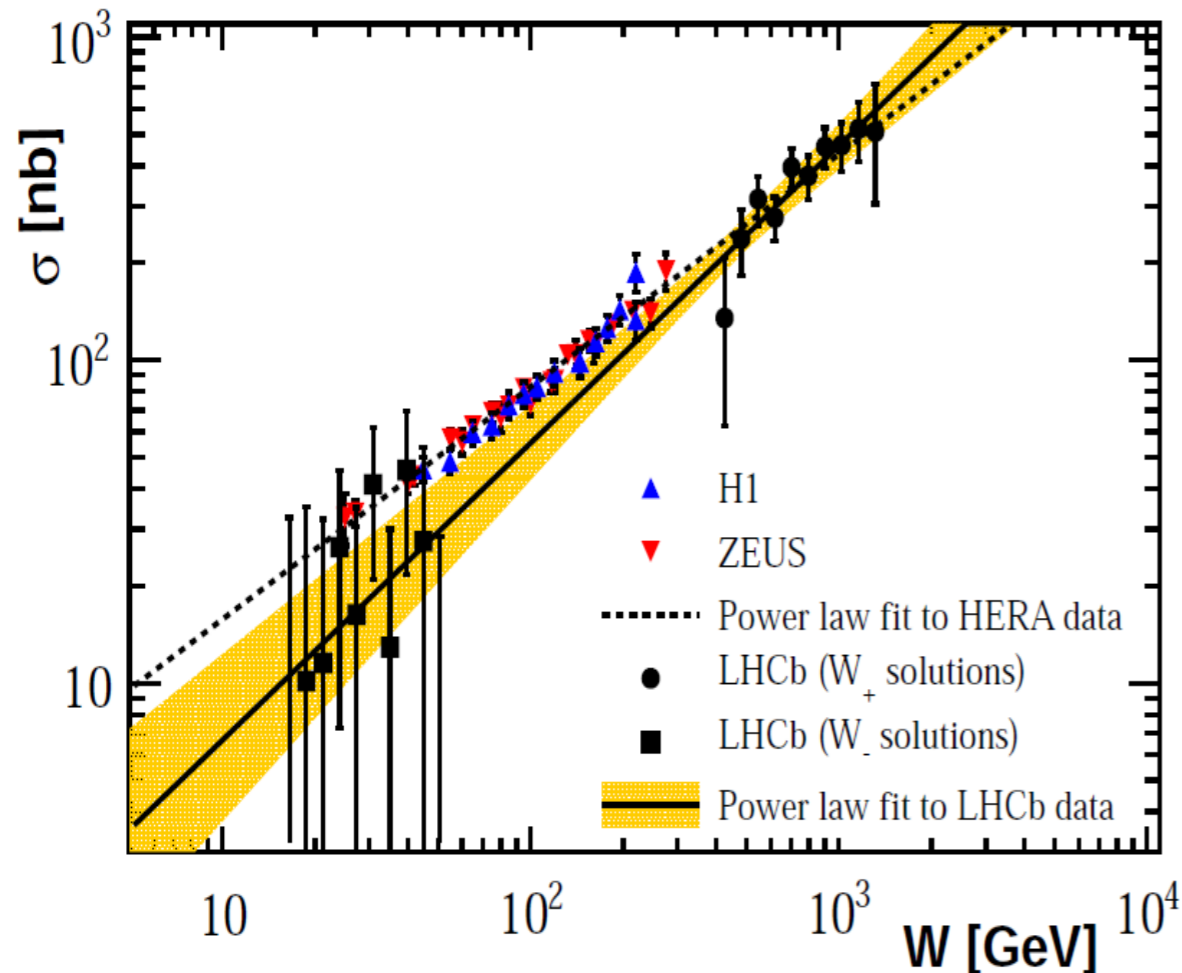


G. Watt *et al.*

**Saturation effects lead to a reduction in the cross section**

**More than a factor 2 difference between the most extreme predicted values at around 1 TeV**

# LHCb results from pp data



Contrary to  $J/\psi$  photoproduction in p+p, in p+A we know the photon source

***LHCb had to assume that the energy dependence follows a power law!***

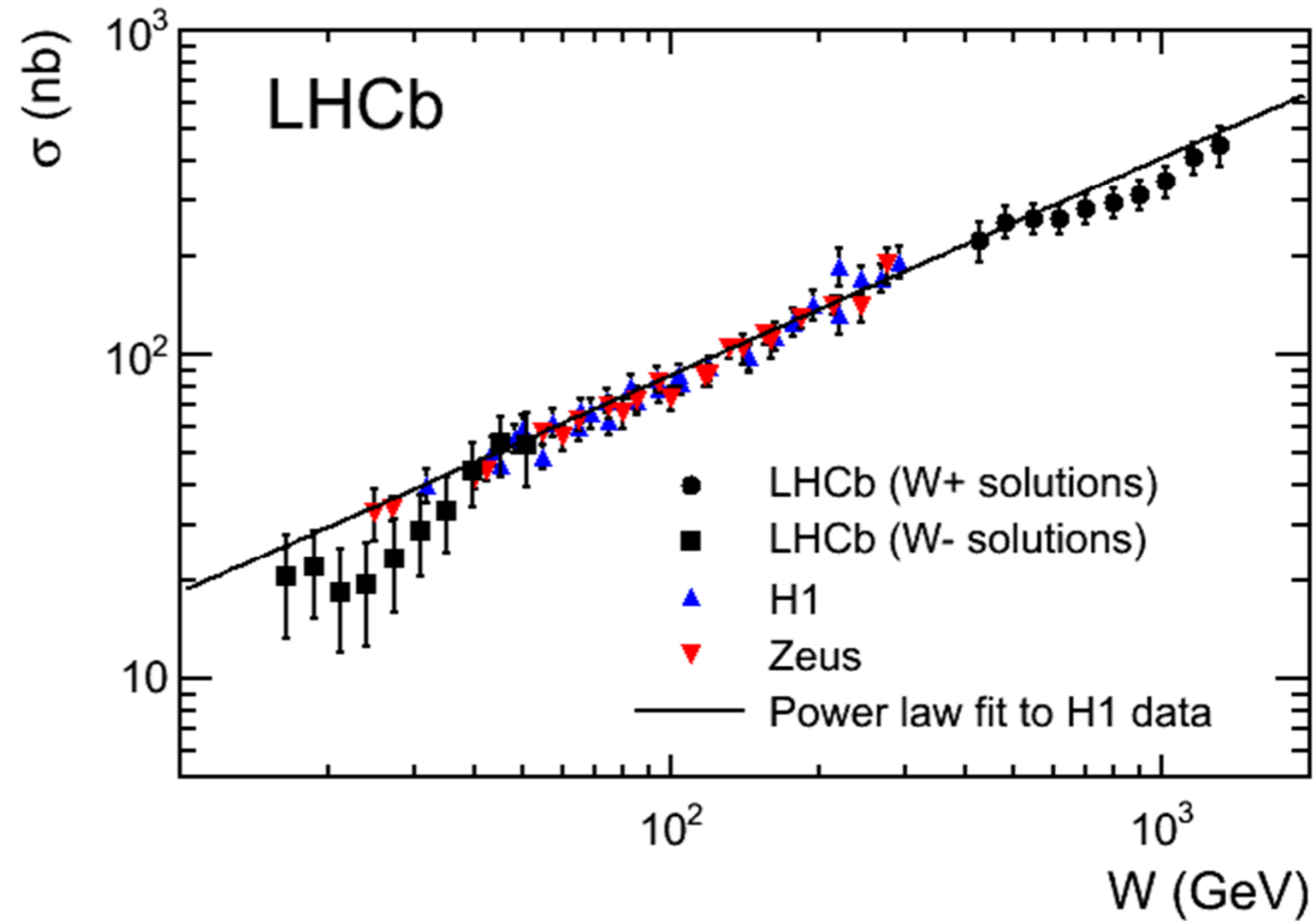
R. Aaij *et al.* (LHCb collaboration)  
J. Phys. G 40 (2013) 045001

# Recent LHCb preliminary results presented at MPI 2013

## Experimental ambiguity on the photon source A built-in power-law dependence

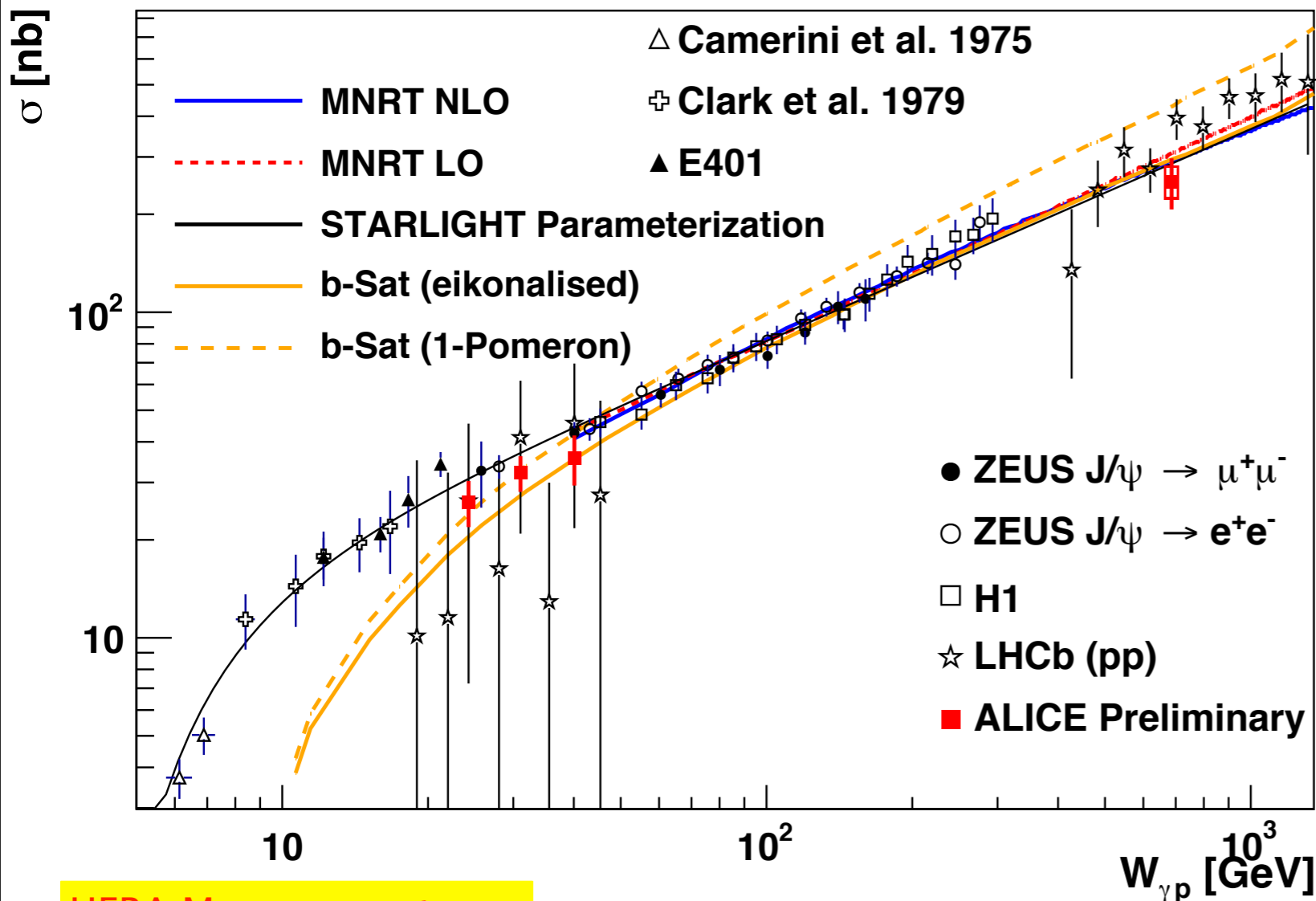
$$\frac{d\sigma}{dy}_{pp \rightarrow pVp} = r(y) \left[ k_+ \frac{dn}{dk_+} \sigma_{\gamma p \rightarrow Vp}(W_+) + k_- \frac{dn}{dk_-} \sigma_{\gamma p \rightarrow Vp}(W_-) \right]$$

2010 data: 36 pb<sup>-1</sup>  
LHCb, J. Phys. G40 (2013) 045001  
2011 data: 930 pb<sup>-1</sup>



# ALICE preliminary presented at Krakow and MPI

$$\gamma + p \rightarrow J/\psi + p$$

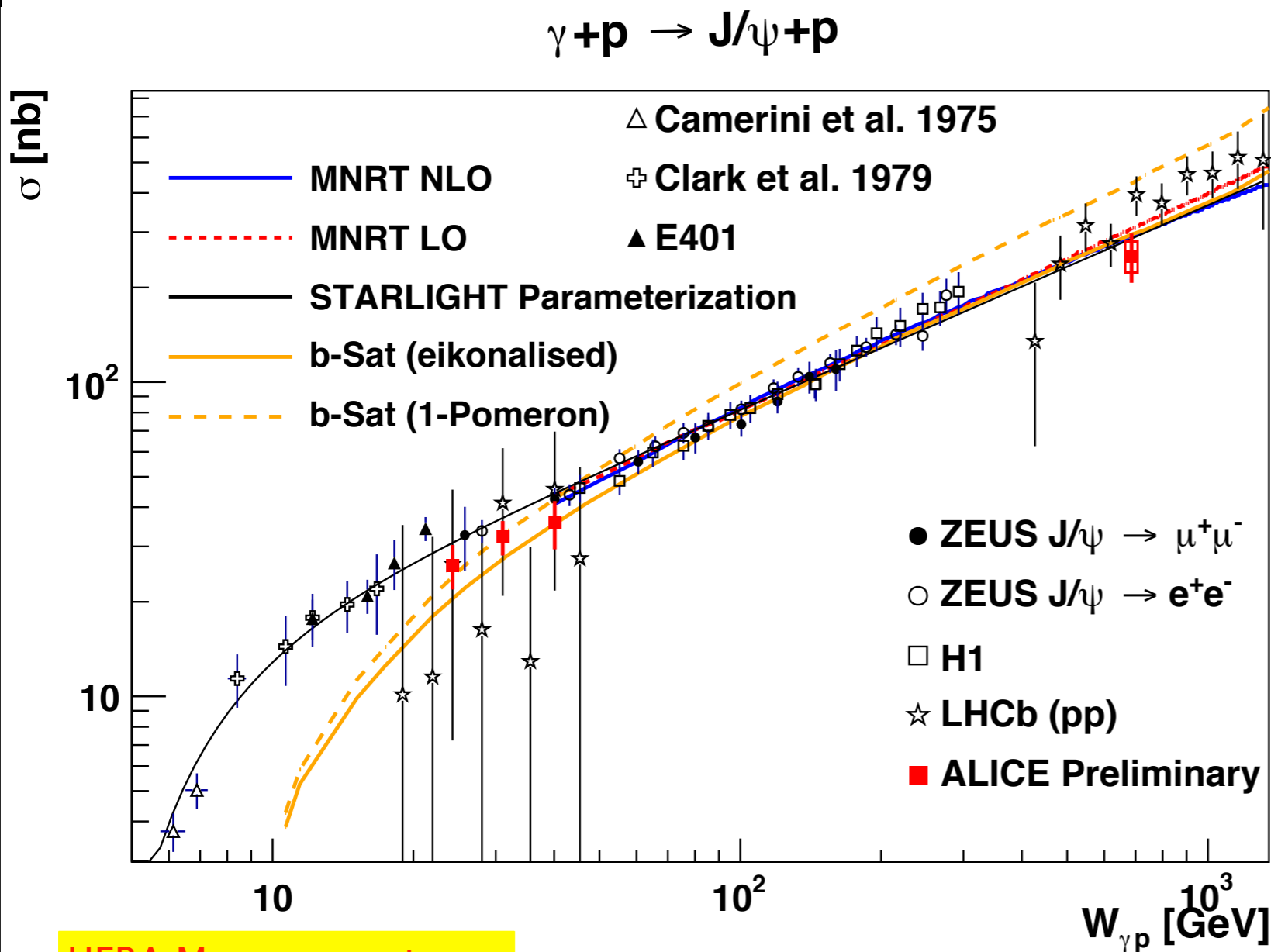


HERA Measurements  
 H1  $\delta = 0.67 \pm 0.03$   
 ZEUS  $\delta = 0.69 \pm 0.02$

$$\frac{d\sigma}{dy} (p + Pb @ p + Pb + J/\psi) = k \frac{dn}{dk} \sigma(W_{\gamma p})$$

- Our knowledge of the photon emitter allows us to solve for  $\sigma(W_{\gamma p})$  using the measured  $d\sigma/dy$
- A power law fit ( $\sigma(W) \sim W^\delta$ ) to ALICE data points gives  $\delta = 0.67 \pm 0.06$ .

# ALICE results presented at Krakow and MPI



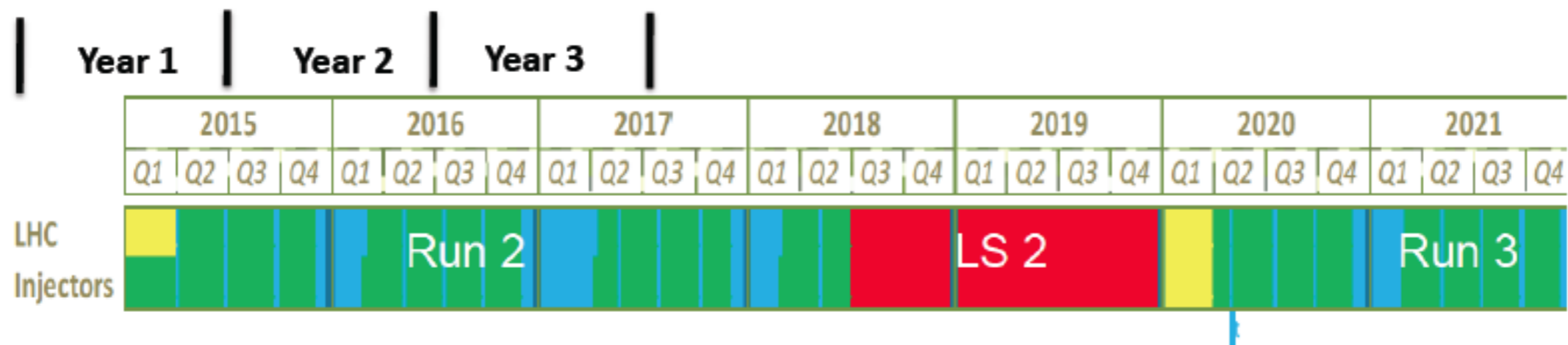
**HERA Measurements**  
 H1  $\delta = 0.67 \pm 0.03$   
 ZEUS  $\delta = 0.69 \pm 0.02$

$$\frac{d\sigma}{dy} (p + Pb @ p + Pb + J/\psi) = k \frac{dn}{dk} \sigma (W_{\gamma p})$$

- MNRT give two models, one LO and one with additional NLO terms. ALICE data lie about 1 sigma below curve.

b-Sat (eikonalized) model gives a very similar prediction

- Recent LHCb measurements in pp collisions give  $\delta = 0.92 \pm 0.15$ . LHCb data are about one sigma below ours (low energy) or one sigma above (high energy).



**5.1 TeV for the 2015 Pb-Pb run**

**1 nb-1 for CMS/ATLAS**

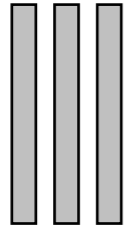
**Another heavy-ion run in 2016**

**Next p-Pb run in 2017**



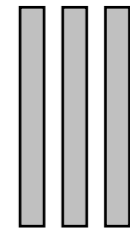
# Dijet: CMS+TOTEM

Forward Shower  
Counters



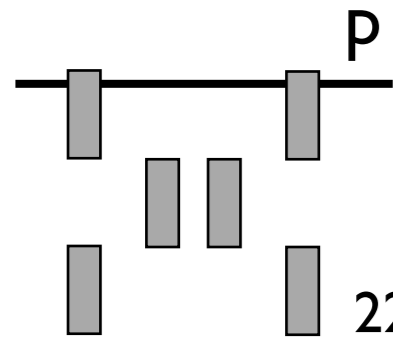
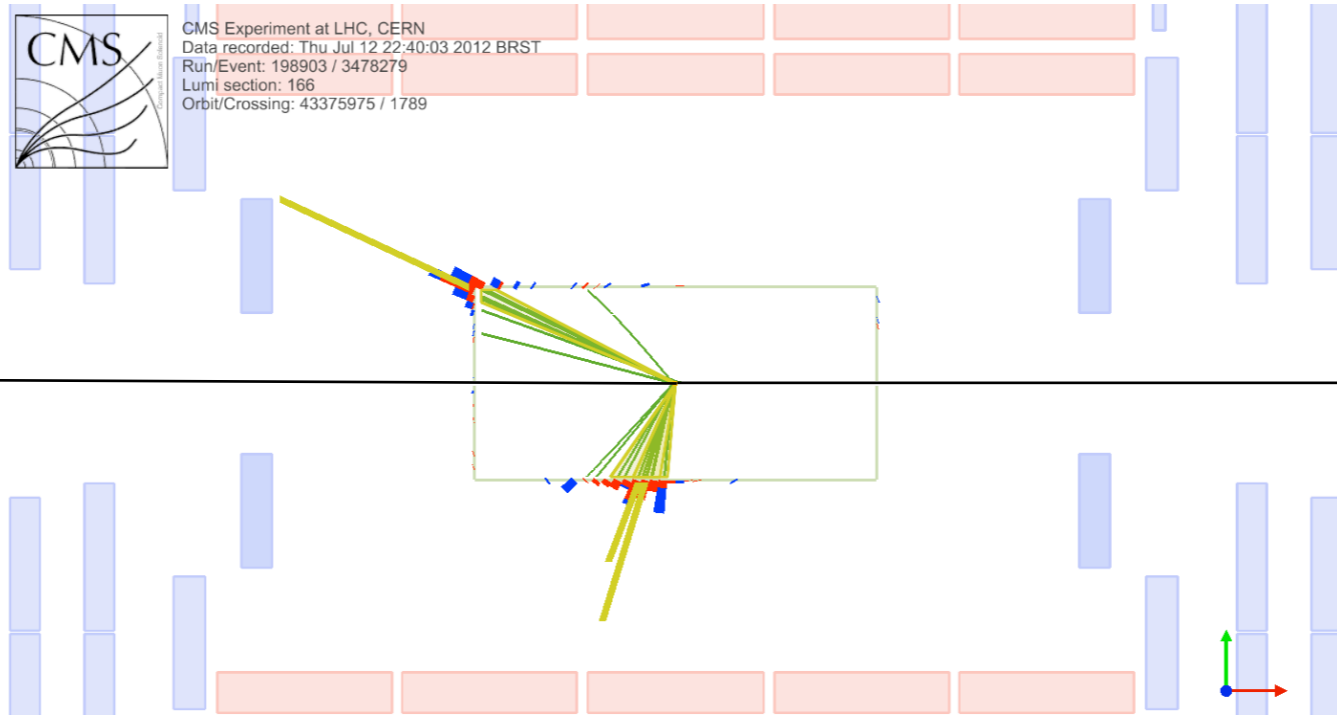
59 - 114 m

Forward Shower  
Counters



P

P



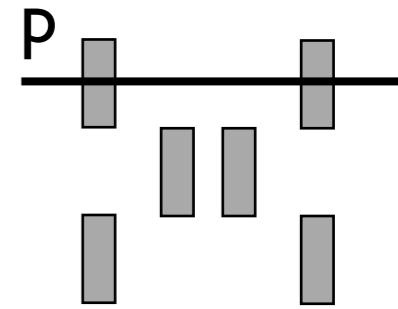
220 m

TOTEM  
Roman Pots

TOTEM T2

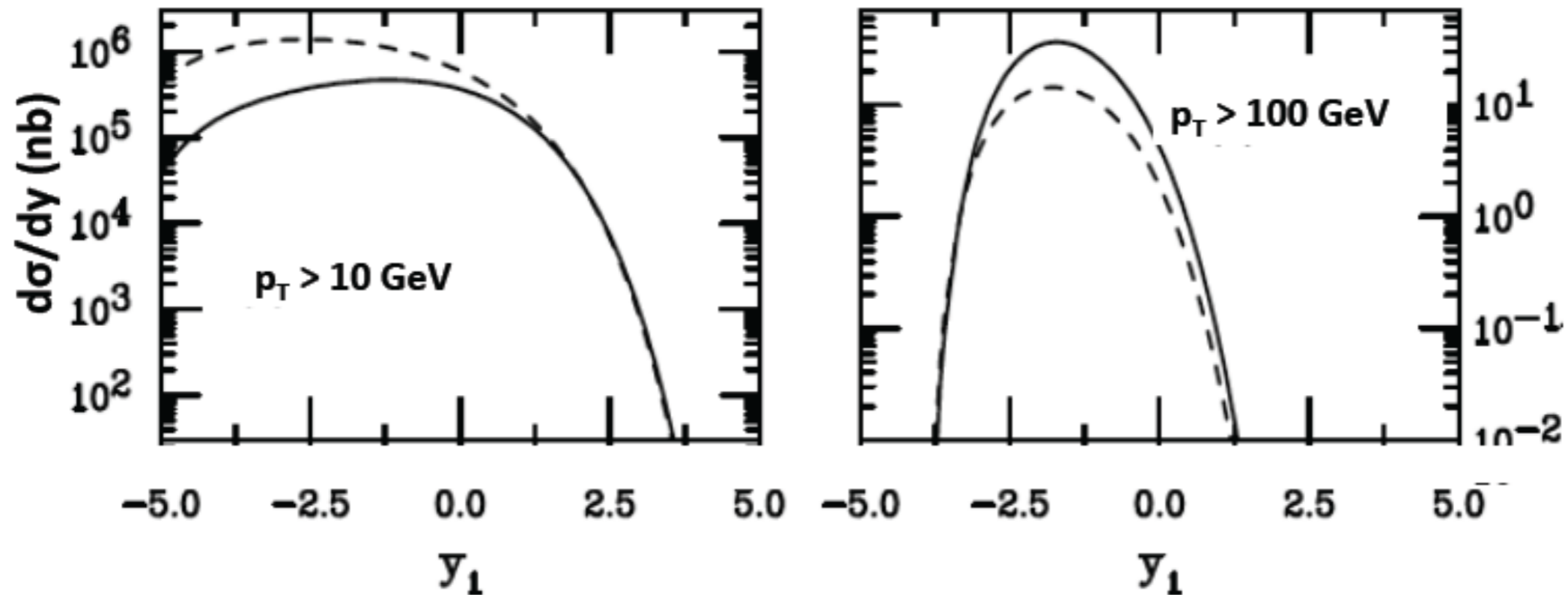


TOTEM T2



TOTEM  
Roman Pots

# Dijet production in UPC



The photon is coming from the left and its direction can be resolved by the correlation with neutrons in the ZDCs.

In the direct process (solid), the entire photon energy contributes to the hard process while in the resolved case (dashed) only a part does.

# **LHC WG meeting on diffraction and forward physics & Future directions on UPC**

May 27-30, 2014

Lawrence, KS

<http://cern.ch/lawrence2014>

# Summary

Several physics processes can be studied in UPCs

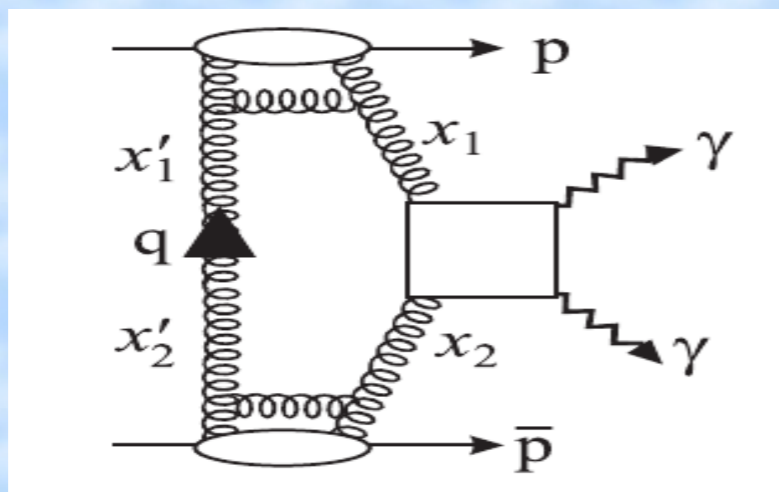
Already a few interesting analyses at RHIC, Tevatron and LHC

Many new interesting topics still there to study QCD and New Physics at high energies/ luminosities: Excited states of vector mesons, Higgs production,...

## Exclusive production in pp vs. AA

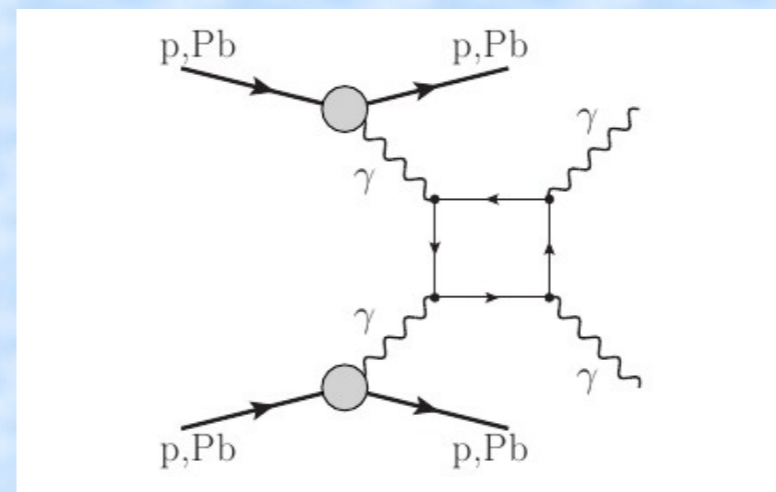
Different production mechanisms may dominate. Consider exclusive  $\gamma\gamma$  (or Higgs) production:

p-p



V. A. Khoze, A.D. Martin,  
M.G. Ryskin, W.J. Stirling,  
Eur. Phys. J C 38 (2005) 475.

Pb-Pb



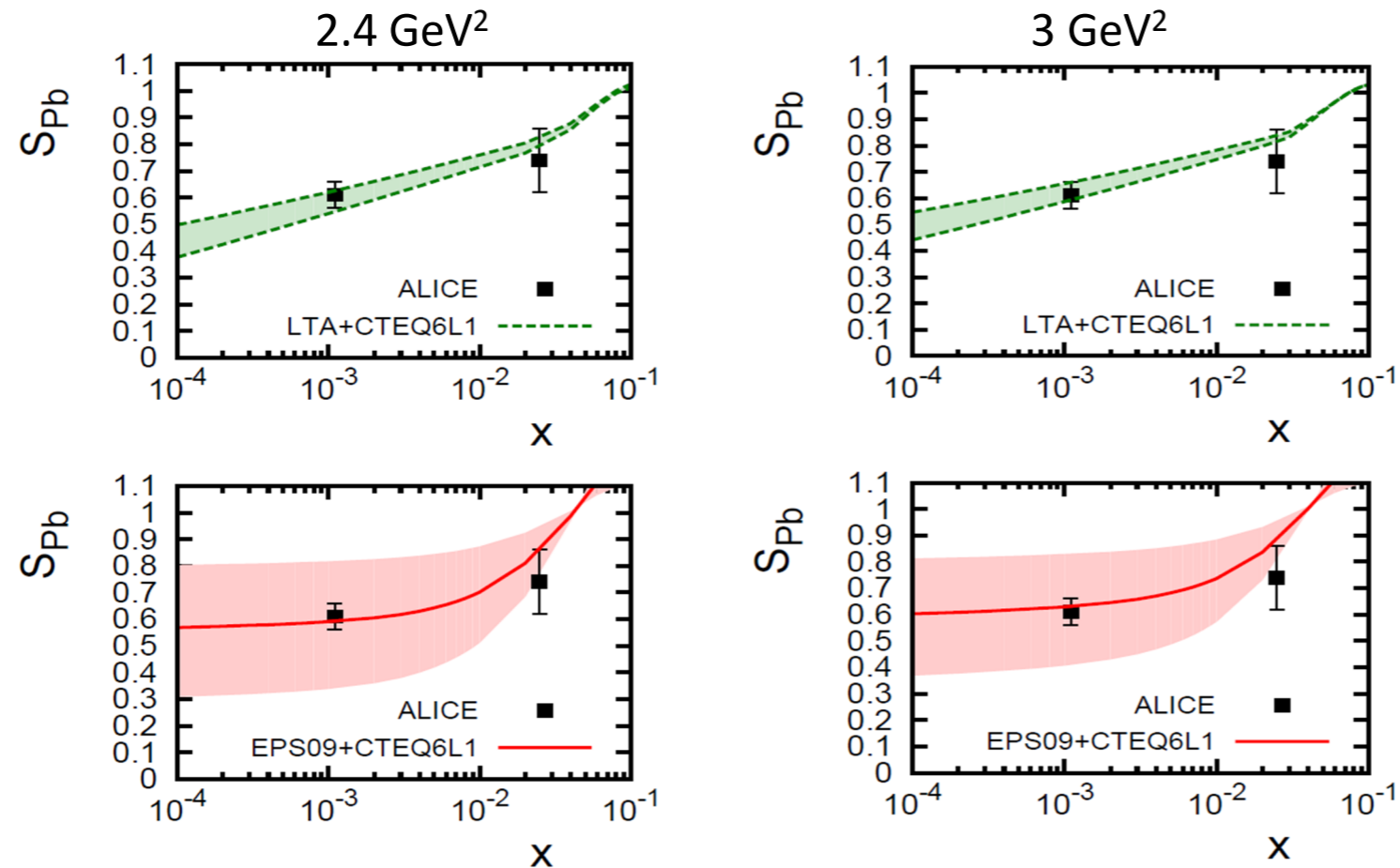
D. d'Enterria, G.G. Silveira,  
PRL 111 (2013) 080405.

In p-p collisions, 3 (or more) gluon exchange dominate, whereas for heavy-ion collisions,  $\gamma\gamma \rightarrow \gamma\gamma$  dominate.

# Scale dependence



- Studied in detail in Guzey, Zhavoronkov: JHEP 1310 (2013) 207.
- Scale of  $3 \text{ GeV}^2$  found to be most appropriate for the description of  $J/\psi$  photoproduction data



EPS09, variation of scale by factor 4:

$$R(x=0.011, Q^2 = 2.4 \text{ GeV}^2) = 0.569$$

$$R(x=0.011, Q^2 = 9.6 \text{ GeV}^2) = 0.671$$

Future measurements of heavier vector mesons ( $\psi'$ ,  $\Upsilon$ ) will further elucidate the importance of the scale