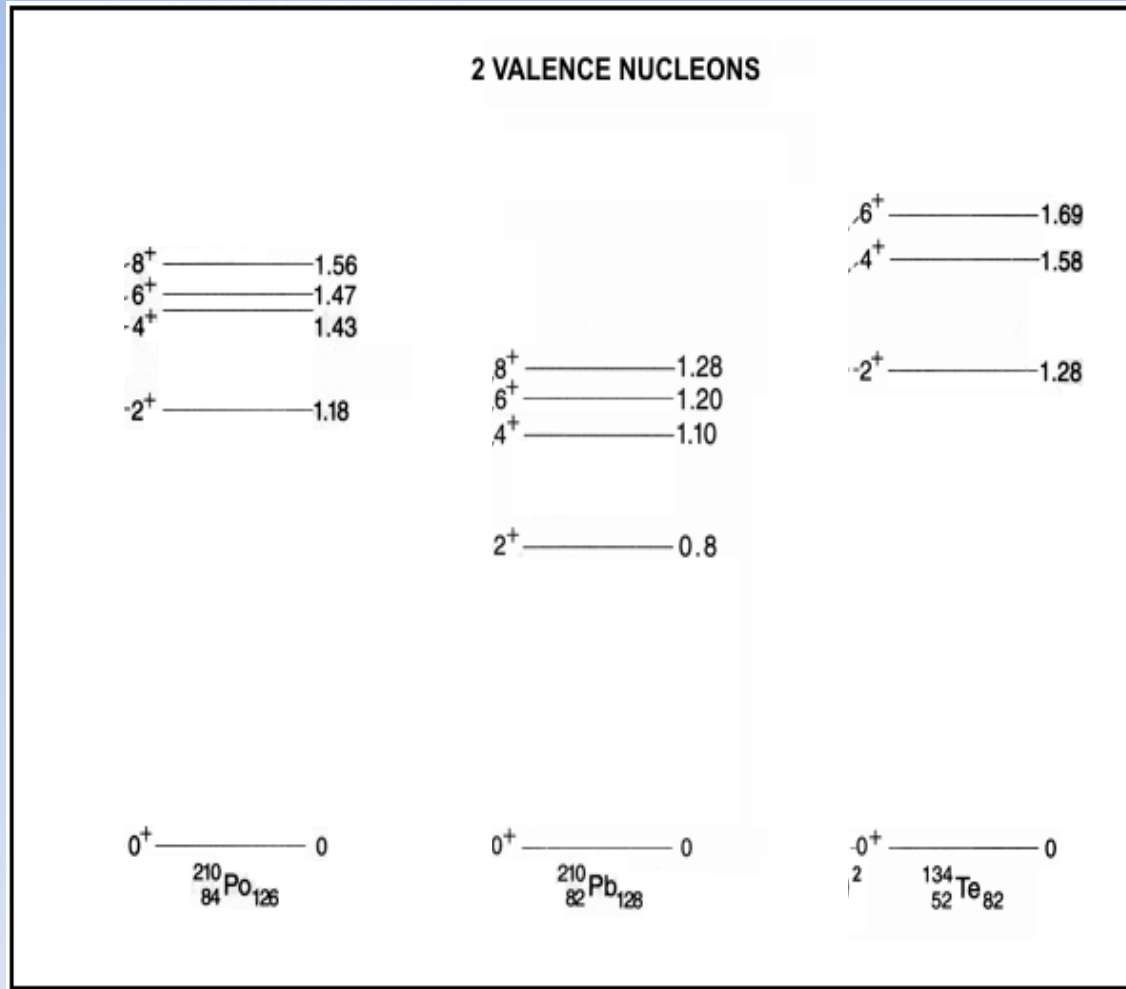


# **Nuclei with more than one valence nucleon**

## **Multi-particle systems**

The simplest case: nuclei with 2 “valence” particles outside doubly magic core. Universal result:  $J = 0, 2, 4, 6 \dots (2j-1)$ . Ground state always  $0^+$ , large energy to  $2^+$  first excited state



Why? Can we understand such simple results by extending the IPM to multi-valence-nucleon cases?

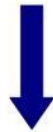
# Independent Particle Model:

1 particle (or hole)  
outside closed shell  
(very few nuclei)

 **Multi-particle systems**

Recall mean field approximation

$$H = H_{\text{IPM}} + H_{\text{Residual}}$$



**Residual Interactions**

Effects not included in independent  
particle model potential

# Residual interactions among valence nucleons

## Dominate the evolution of Structure

- **Pairing** – coupling of two identical nucleons to angular momentum zero. No preferred direction in space, therefore drives nucleus towards **spherical shapes**. We will see the basis of this in a few minutes.
- **p-n interactions** – generate configuration mixing, unequal magnetic state occupations, therefore drive towards **collective structures and deformation**. See later lecture.
- **Monopole** component of p-n interactions generates changes in single particle energies and **shell structure**. See discussion of exotic nuclei and the fragility of magicity.

# Residual Interactions

Need to consider a more complete Hamiltonian:

$$H = H_0 + H_{\text{residual}}$$

$H_{\text{residual}}$  reflects interactions not in the single particle potential.

**NOT** a minor perturbation. In fact, these residual interactions determine almost everything we know about most nuclei.

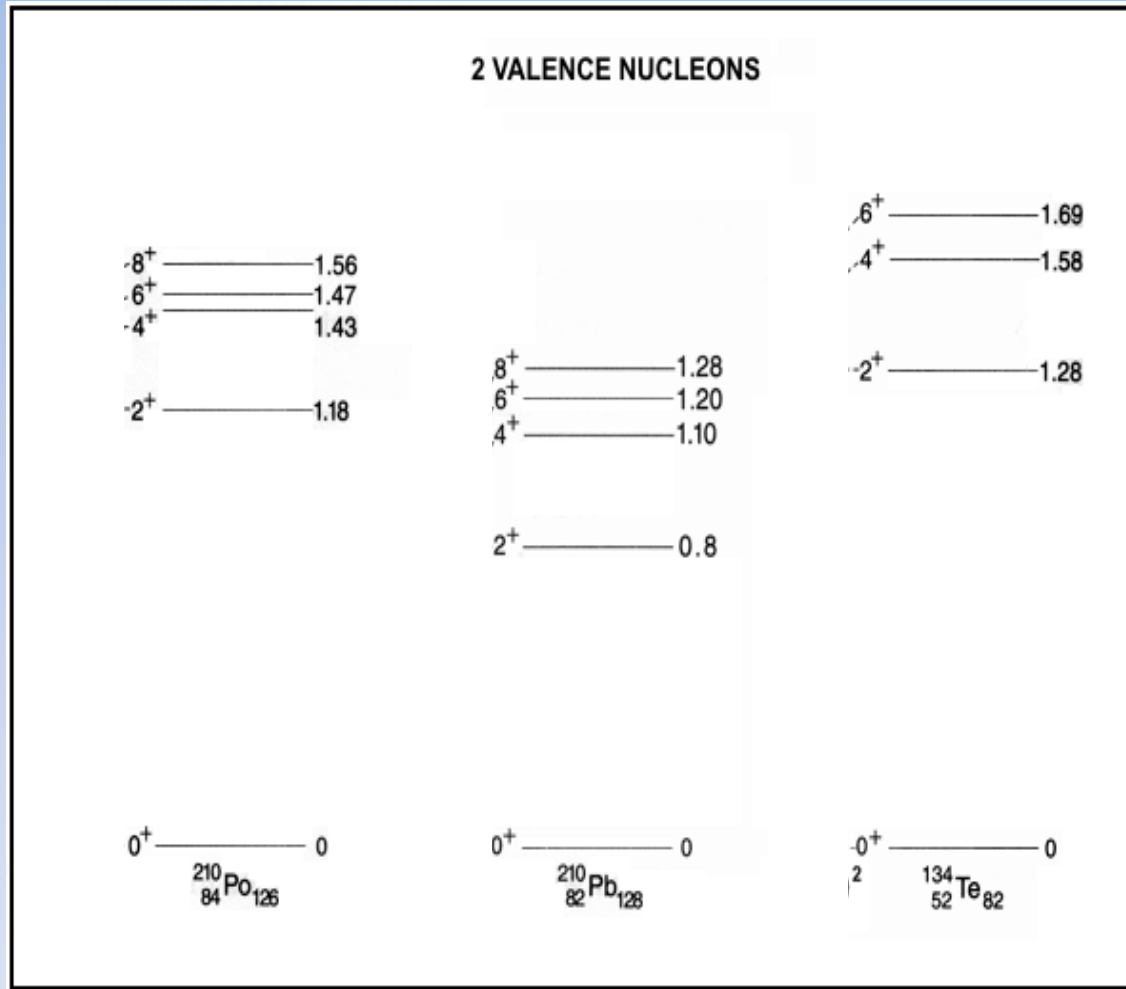
Start with 2- particle system, that is, a nucleus “doubly magic + 2”.

$$H_{\text{residual}} \text{ is } H_{12}(r_{12})$$

Consider two identical valence nucleons with  $j_1$  and  $j_2$ .

Two questions: What total angular momenta  $j_1 + j_2 = J$  can be formed?  
What are the energies of states with these  $J$  values?

# First problem – what angular momenta for multi-particle systems?



**WHY these?**



How can we know which total  $J$  values are obtained for the coupling of two identical nucleons in the same orbit with total angular momentum  $j$ ? Several methods: easiest is the “**m-scheme**”.

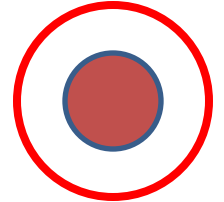


Table 5.1 *m* scheme for the configuration  $|(7/2)^2 J\rangle^*$

$j_1 = 7/2$ $m_1$	$j_2 = 7/2$ $m_2$	$M$	$J$
7/2	5/2	6	6
7/2	3/2	5	
7/2	1/2	4	
7/2	-1/2	3	
7/2	-3/2	2	
7/2	-5/2	1	
7/2	-7/2	0	
5/2	3/2	4	4
5/2	1/2	3	
5/2	-1/2	2	
5/2	-3/2	1	
5/2	-5/2	0	
3/2	1/2	2	2
3/2	-1/2	1	
3/2	-3/2	0	
1/2	-1/2	0	0

\* Only positive total  $M$  values are shown. The table is symmetric for  $M < 0$ .

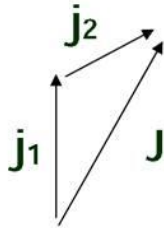


## Residual Interactions—Diagonal Effects

Consider 2 particles, in orbits  $j_1, j_2$  coupled to spin  $J_i$ , and interacting with a residual interaction,  $V_{12}$ .

2 Identical Nucleons

$| j_1, j_2, J \rangle$



**NO RESIDUAL  
INTERACTION**

## What are Energies of 2-particle configurations

$$\begin{aligned}\Delta E ( j_1 j_2 J ) &= \langle j_1 j_2 J M | H_{12} | j_1 j_2 J M \rangle \\ &= \frac{1}{\sqrt{2J+1}} \langle j_1 j_2 J || H_{12} || j_1 j_2 J \rangle\end{aligned}$$

### Separate radial and angular coordinates

$$\Psi = \frac{1}{r} R_{nl} ( r ) Y_{lm} ( \theta, \phi )$$

where 
$$\frac{d^2 R_{nl}}{dr^2} - \frac{l(l+1)}{r^2} R_{nl} + \frac{2m}{\hbar^2} (E_{nl} - V) R_{nl} = 0$$

$R_{nl}$  depends on potential – but generally not very much.

---

Now, what is  $H_{\text{resid}}$ ?

Many choices possible. Let's start with simplest.

Nuclear force is short range and attractive. So, take  $\delta$ -force

$$V_\delta = \frac{-V_0}{r_1 r_2} \delta(r_1, r_2) \delta(\cos \Theta_1, \cos \Theta_2) \delta(\Phi_1, \Phi_2)$$

in spherical coordinates

Need to evaluate the matrix element (ME) of the form

$$\langle \Psi | V_\delta | \Psi \rangle = \left\langle \frac{1}{r} R_{nl} \left| V_{\delta_r} \right| \frac{1}{r} R_{nl} \right\rangle \times \left\langle Y_{lm}(\Phi, \Phi) \left| V_{\delta_{\Theta, \Phi}} \right| Y_{lm}(\Phi, \Phi) \right\rangle$$

First factor is just a constant independent of  $J$ ,

*i.e.*, does not depend on  $J$  in  $|j_1 j_2 J\rangle$ .

So energy shifts for different  $J$ 's are independent

of the form of the radial wave functions and

hence of the radial form of the potential !!

**⇒ Great simplification**

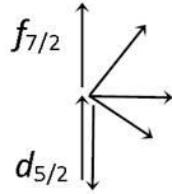
**⇒ Typical of many results – radial effects disappear**

**How can we understand the energy patterns that we have seen for two – particle spectra with residual interactions? Easy – involves a very beautiful application of the Pauli Principle.**

**Need 2 ideas only**

- Nuclear force (including residual interactions) is
  - Short range and attractive
  - Pauli Principle

## Physical Interpretation



$J$  depends on angle  
between the two  
orbital planes

---

Interaction strongest when the 2 particles are closest to each other

*i.e.*, when the orbits are co-planar

⇒ strongest interaction either for

$J_{min}$

or

$J_{max}$

Which one?

Consider  $L, S$  composition of state  $J$

$$\bar{L} = \bar{l}_1 + \bar{l}_2 \quad S = \frac{1}{2} \pm \frac{1}{2} = 1 \text{ or } 0$$

# Pauli Principle

Fermions:

No two fermions can occupy the same state/place

Wave functions must be totally antisymmetric

$$\Psi(\vec{r}) = -\Psi(-\vec{r}) \quad \vec{r} = \vec{r}_2 - \vec{r}_1$$

∴ If particles are at same place -----  $\vec{r} = 0$ -----

$$\text{then } \Psi(0) = -\Psi(0)$$

$$\Rightarrow \Psi(0) = 0$$

so PP is satisfied

---

We split wave functions into 2 parts - spatial part (L), and spin part (S). PP  $\Rightarrow$

$$\Psi_{\text{Tot}} = \Psi_{\text{spat}} \times \Psi_{\text{spin}} = \text{Anti-sym}$$

This is the most important slide: understand this and all the key ideas about residual interactions will be clear !!!!!

PP:

Key Physics Ideas

$\Psi_{\text{spatial}}$

$\Psi_{\text{spin}}$

A

S

S

A

$$S = \frac{1}{2} + \frac{1}{2} = 1 = \text{Sym}$$

$$S = \frac{1}{2} - \frac{1}{2} = 0 = \text{A-Sym}$$

$$\Psi_{\text{spat}} (A) \times \Psi_{\text{spin}} (S = 1)$$

$$\Psi_{\text{spat}} (S) \times \Psi_{\text{spin}} (S = 0)$$

S = 1 case

$$\Psi_{\text{spat}} = A$$

$$\Psi(r_{12}) = -\Psi(-r_{12})$$

For  $\delta$  force, which only acts at  $r_{12} = 0$

$$\Psi(r_{12} = 0) = 0 !!$$

So, at the ONLY place where a  $\delta$ -int acts, the wave fct. vanishes—i.e., No effect of  $\delta$  fct int on  $S = 1$  states !!!

S = 0 case

$$\Psi_{\text{spat}} = S$$

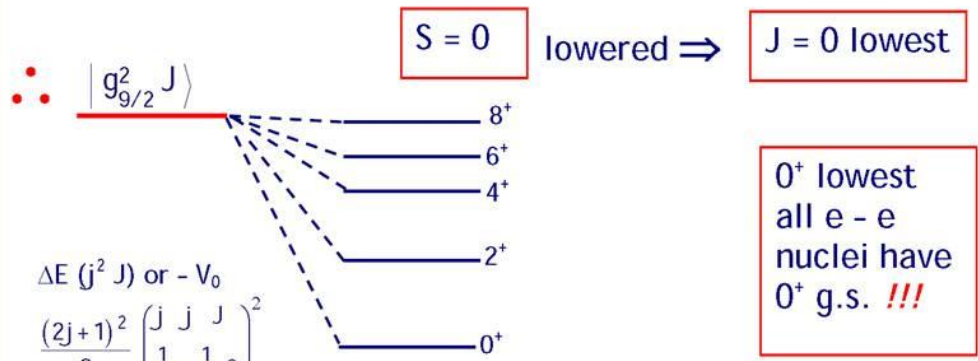
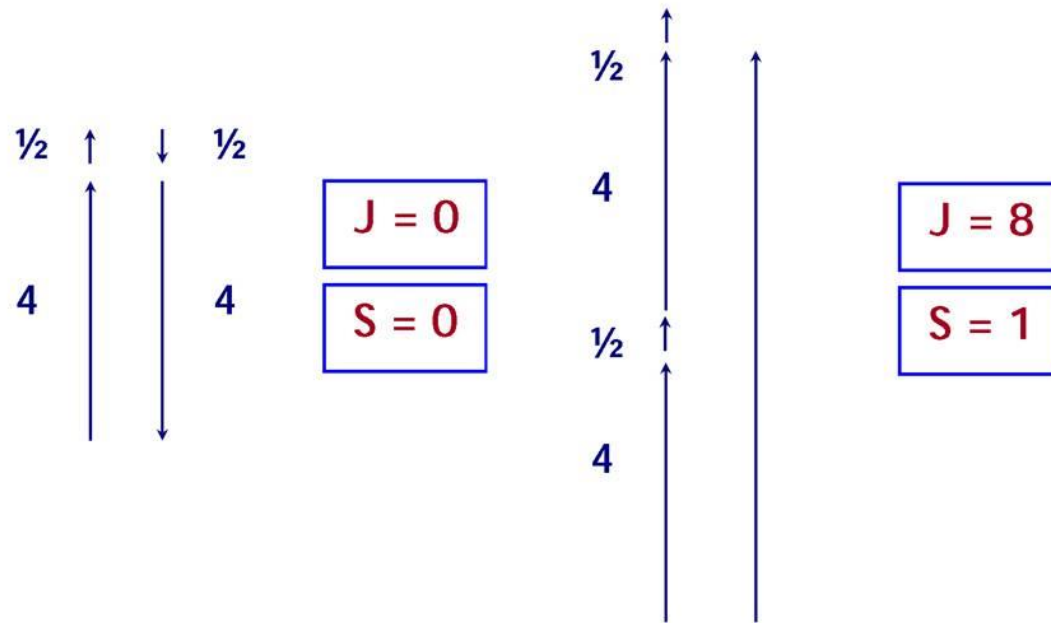
No restriction on  $\Psi(r_{12} = 0)$ , hence  $\delta$ -int can have big effect !!!

# Equivalent Orbits

$$j_1 = j_2 \quad |j_2 \ J \rangle$$

$$J = 0, 2, 4, \dots, 2j - 1$$

$$e.g. \quad |g_{9/2}^2 \ J \rangle \quad J = 0, 2, 4, 6, 8$$



$$\Delta E (j^2 \ J) \text{ or } -V_0$$

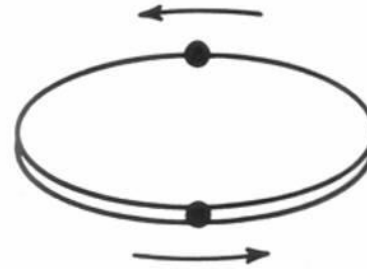
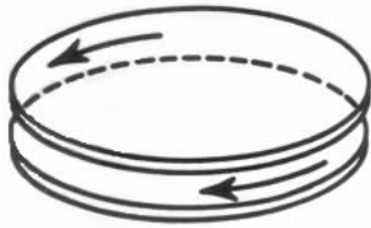
$$\frac{(2j+1)^2}{2} \begin{pmatrix} j & j & J \\ \frac{1}{2} & -\frac{1}{2} & 0 \end{pmatrix}^2$$

$$\text{For } J = 0 \quad \Delta E \propto -V_0 \frac{2j+1}{2} \Rightarrow \Delta E \text{ larger for larger } j: \text{ Why??}$$



# Geometrical Interpretation

for  $|j^2 J=0\rangle$  being lowest



$S=0$   
 $J_{\min}$

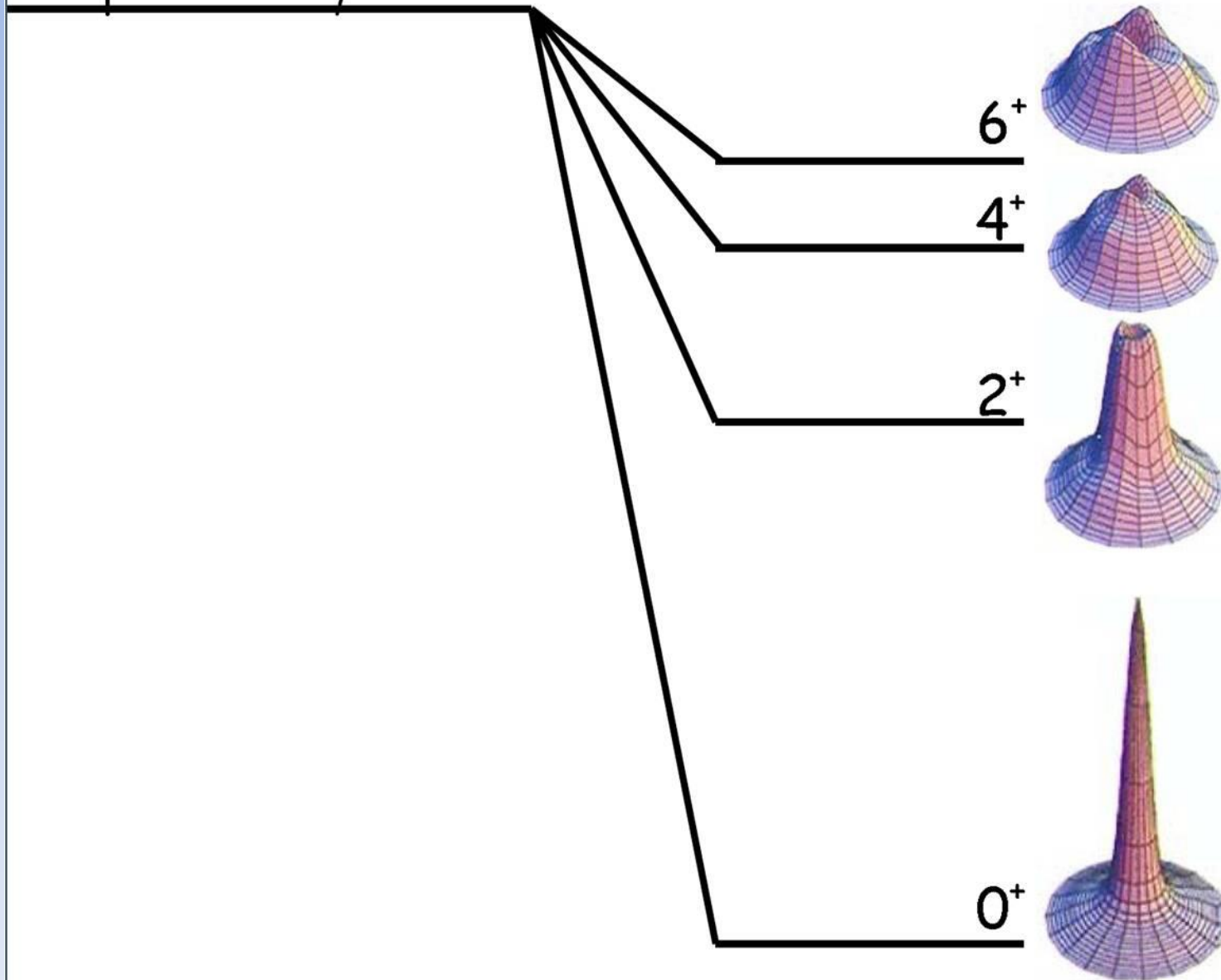


$S=1$   
 $J_{\max}$

IDENTICAL NUCLEONS  
EQUIVALENT ORBITS

Pauli Principle is ~ repulsive interaction !

$f_{7/2}^2 J$



$$V_{12}(\delta) = -V_0 \delta(r_1 - r_2) = \frac{-V_0}{r_1 - r_2} \delta(r_1 - r_2) \delta(\cos\theta_1 - \cos\theta_2) \delta(\Phi_1 - \Phi_2)$$

$$\Delta E(j_1 j_2 J) = -V_0 F_R(n_1 l_1 n_2 l_2) A(j_1 j_2 J)$$

where

$$F_R(n_1 l_1 n_2 l_2) = \frac{1}{4\pi} \int \frac{1}{r^2} R_{n_1 l_1}^2(r) R_{n_2 l_2}^2(r) dr$$

and

$$A(j_1 j_2 J) = (2j_1 + 1)(2j_2 + 1) \begin{pmatrix} j_1 & j_2 & J \\ \frac{1}{2} & -\frac{1}{2} & 0 \end{pmatrix}^2 \quad (\text{if } l_1 + l_2 - J \text{ is even})$$

$$= 0 \quad (\text{if } l_1 + l_2 - J \text{ is odd})$$

(Non-equivalent orbits)

$$\Delta E(j^2 J) = -V_0 F_R(n l) A(j^2 J) \quad (J \text{ even})$$

where

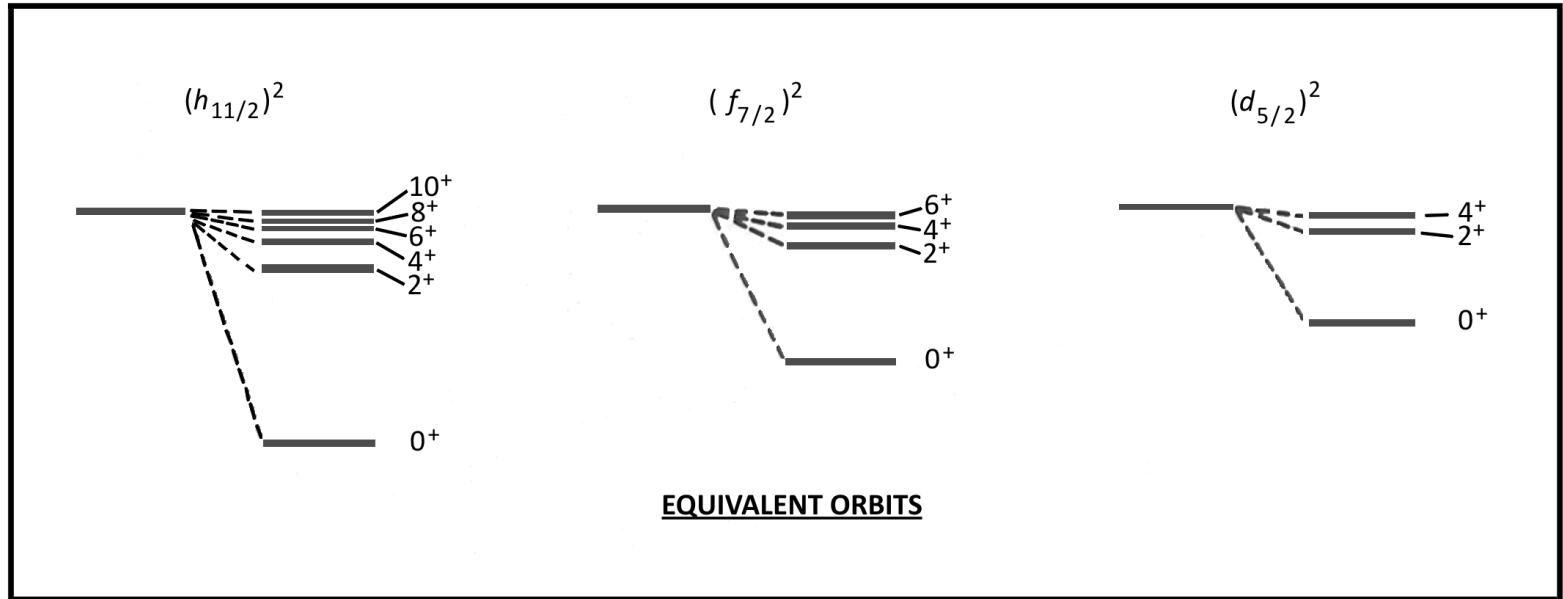
$$F_R(n l) = \frac{1}{4\pi} \int \frac{1}{r^2} R_{n l}^4(r) dr$$

and

$$A(j^2 J) = \frac{(2j+1)^2}{2} \begin{pmatrix} j & j & J \\ \frac{1}{2} & -\frac{1}{2} & 0 \end{pmatrix}^2 \quad (J \text{ even})$$

(Equivalent orbits)

## MULTIPLY SPLITTINGS; $\delta$ INTERACTION (Identical Particles)



**NOTE:  $R_{4/2} < 2.0$**

**Simple treatment of residual interactions accounts for universal fact that even-even nuclei have  $0^+$  ground states.**

**Note that the  $0^+$  level is lowered more for higher j orbits**

# Lowering of $0^+$ States

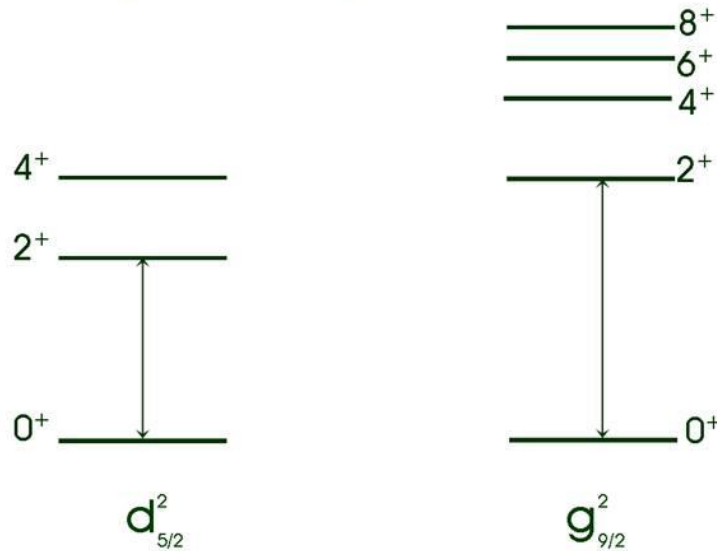
$$\Delta E (j^2 J) \propto -V_0 \frac{(2j+1)^2}{2} \begin{pmatrix} j & j & J \\ \frac{1}{2} & -\frac{1}{2} & 0 \end{pmatrix}$$

For  $J = 0$

$$\Delta E (j^2 J = 0) \propto -V_0 \frac{(2j+1)}{2}$$

⇒  $\Delta E \propto 2j + 1$

Energy lowering of  $0^+$   
is larger for larger  $j$



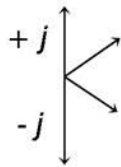
Why ?

# Lowering of $0^+$ States in $|j^2 J\rangle$

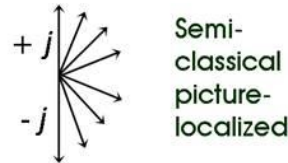
$$\Delta E \propto 2j + 1. \text{ Why?}$$

Note:  $2j + 1 = \#$  magnetic substates

low  $j$



high  $j$



$\Psi ( J, m, \Theta )$  is localized to an angular range\* centered about normal to ang. mom. vector:

spread of  $\Psi$  roughly given by angular “distance” to next substate

\*quantum fluctuations

- ∴ Larger  $j$   $\Rightarrow$  more magnetic substates
- $\Rightarrow$  greater localization
- $\Rightarrow$  greater spatial overlap in  $|j, m\rangle$  and  $|j, -m\rangle$
- $\Rightarrow$  lower energy

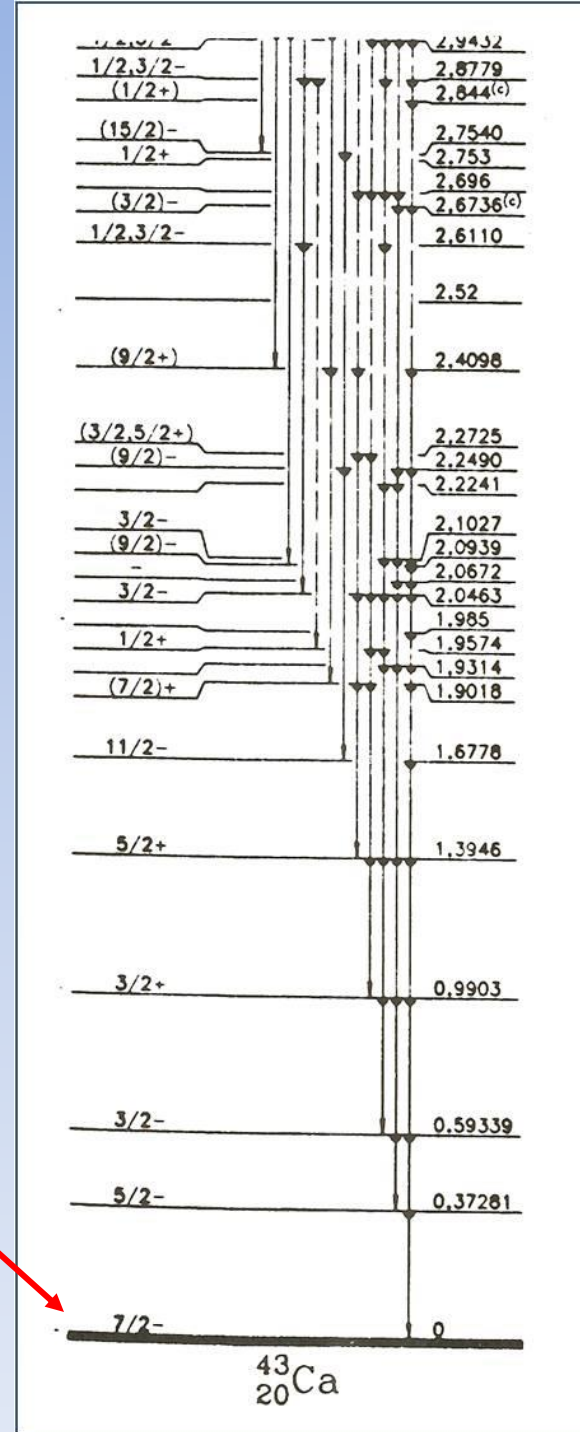
# Extending the IPM with residual interactions

- Consider now an extension of, say, the Ca nuclei to  $^{43}\text{Ca}$ , with three particles in a  $j = 7/2$  orbit outside a closed shell?
- How do the three particle angular momenta,  $j$ , couple to give final total  $J$  values?
- If we use the m-scheme for three particles in a  $7/2$  orbit the allowed  $J$  values are  $15/2, 11/2, 9/2, 7/2, 5/2, 3/2$ .
- For the case of  $J = 7/2$ , two of the particles must have their angular momenta coupled to  $J = 0$ , giving a total  $J = 7/2$  for all three particles.
- For the  $J = 15/2, 11/2, 9/2, 5/2$ , and  $3/2$ , there are no pairs of particles coupled to  $J = 0$ .
- Since a  $J = 0$  pair is the lowest configuration for two particles in the same orbit, that case, namely total  $J = 7/2$ , must lie lowest !!

# $^{43}\text{Ca}$

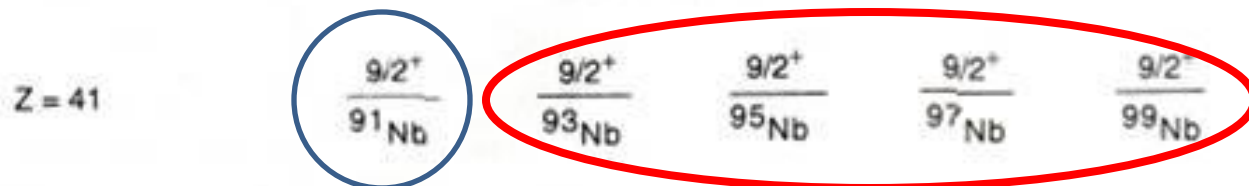
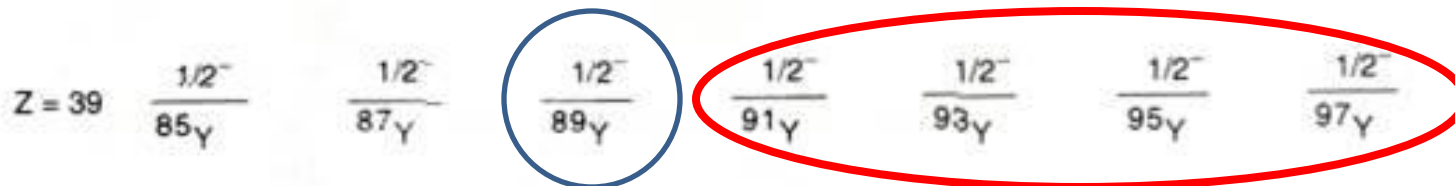
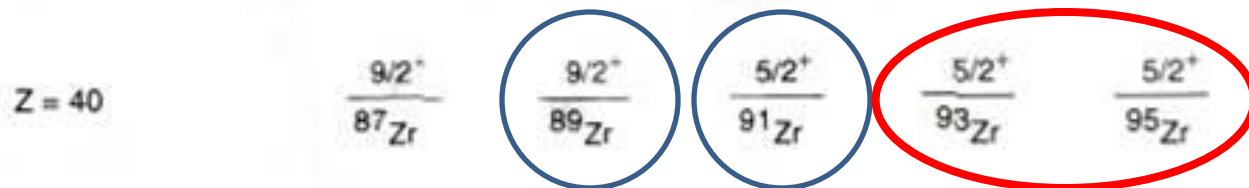
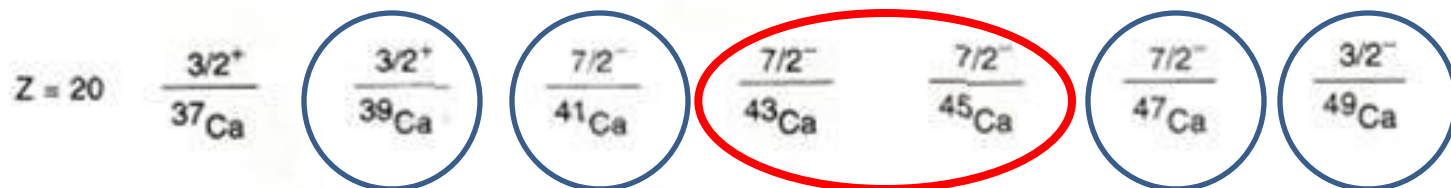
Treat as 20 protons and 20 neutrons forming a doubly magic core with angular momentum  $J = 0$ . The lowest energy for the 3-particle configuration is therefore  $J = 7/2$ .

Note that the key to this is the results we have discussed for the 2-particle system !!





GROUND STATE  $J^\pi$  VALUES OF  
SOME ODD MASS NUCLEI



# **Now, lets move beyond near-magic regions**

**What about nuclei with more valence nucleons, with valence nucleons of both types, and with nucleons able to occupy more than one single particle state?**

**Can form many states of a given angular momentum.**

**What happens? Emergence of collectivity due to configuration mixing. Lets look at the problem first and then possible “solutions”.**

# THE PROBLEM

## The Need for Simplification in Multiparticle Spectra

Example: How many  $2^+$  states?

*# nucl.*

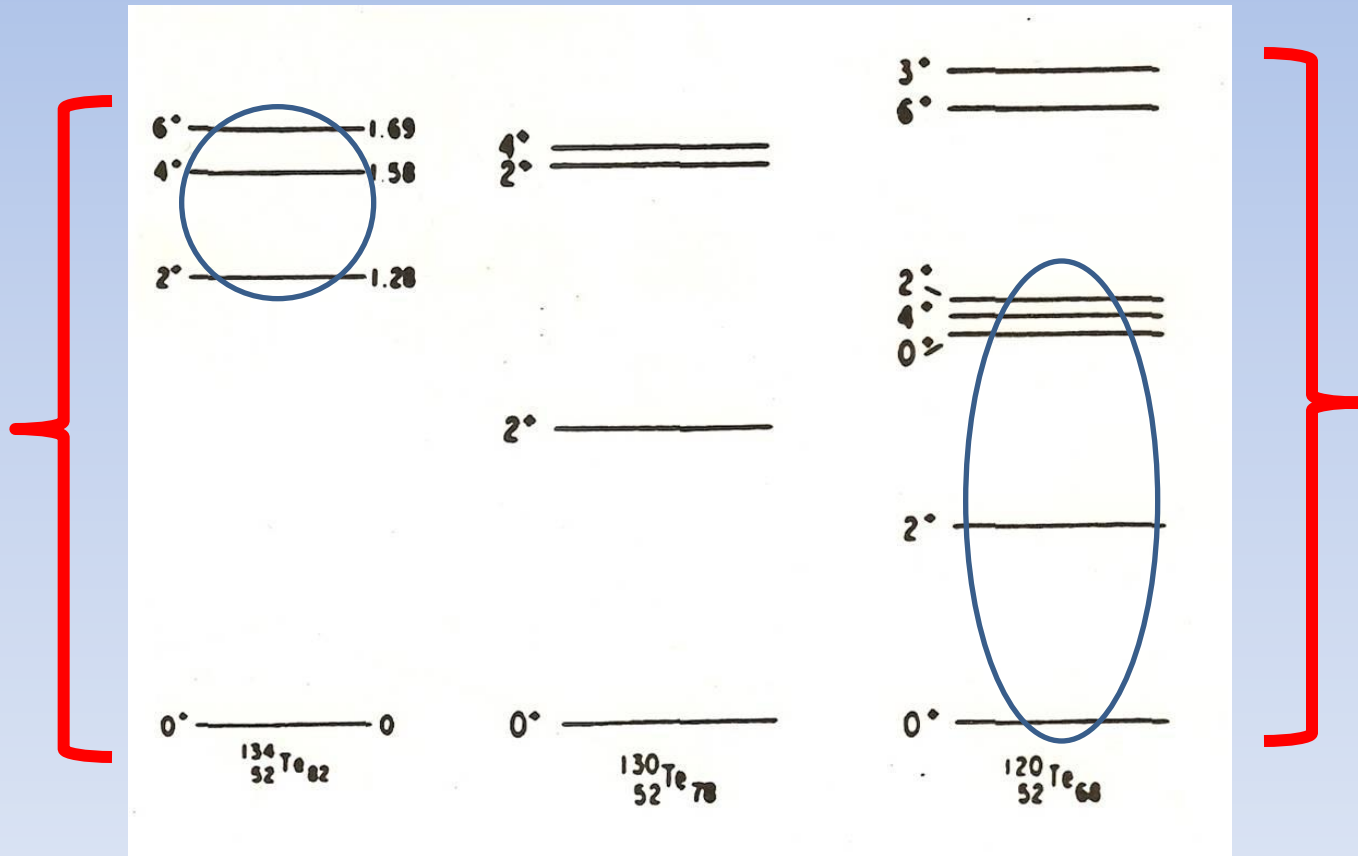
2      $d_{5/2}^2$      1

**As the number of valence nucleons grows, the number of ways of making states of a given J grows hugely.**

**Those “basis states” will mix. How many states do we need to mix?  
What are the resulting structures? How difficult a calculation is this?  
Consider a couple of simple cases and a more typical one.**

**These states mix !!**

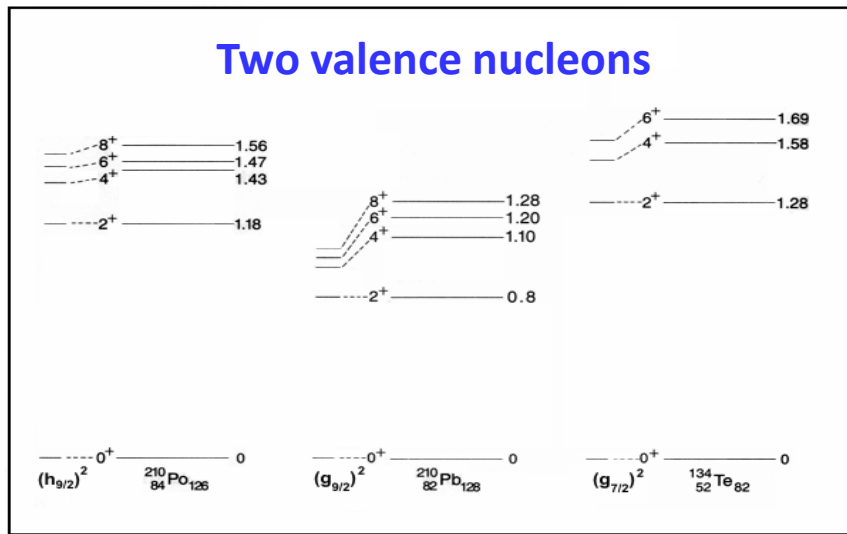
So, with even just a few valence nucleons, such calculations become intractable by simple diagonalization. But yet, nuclei show **very simple patterns** despite the complexity and chaotic behavior one might expect. Emergence of **collective behavior**.



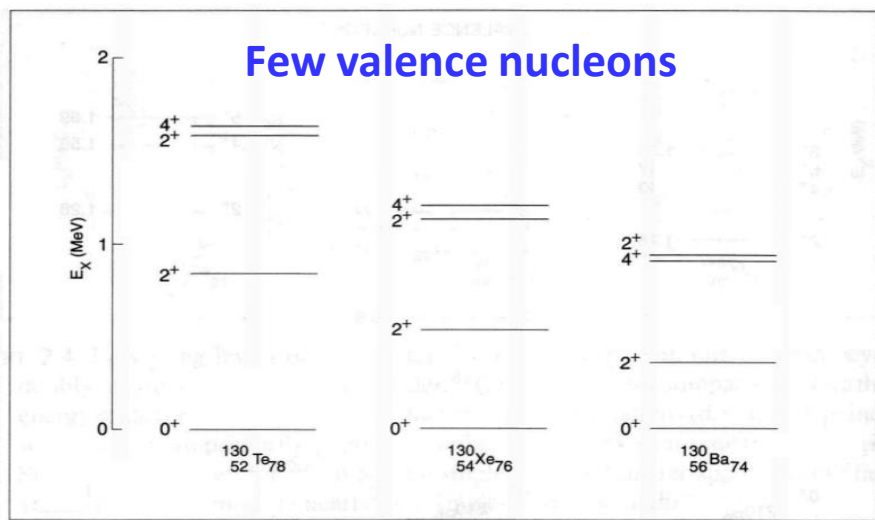
How can we understand emergent collectivity?

# Reminder: several types of spectra and where they occur

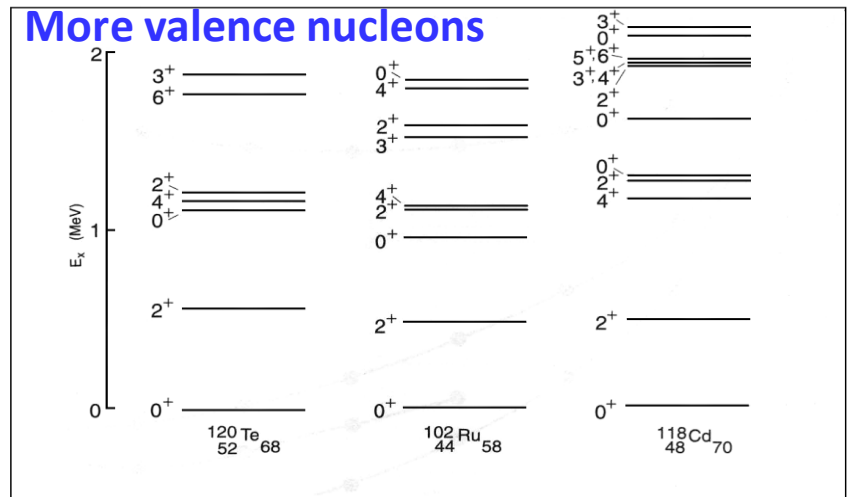
## Two valence nucleons



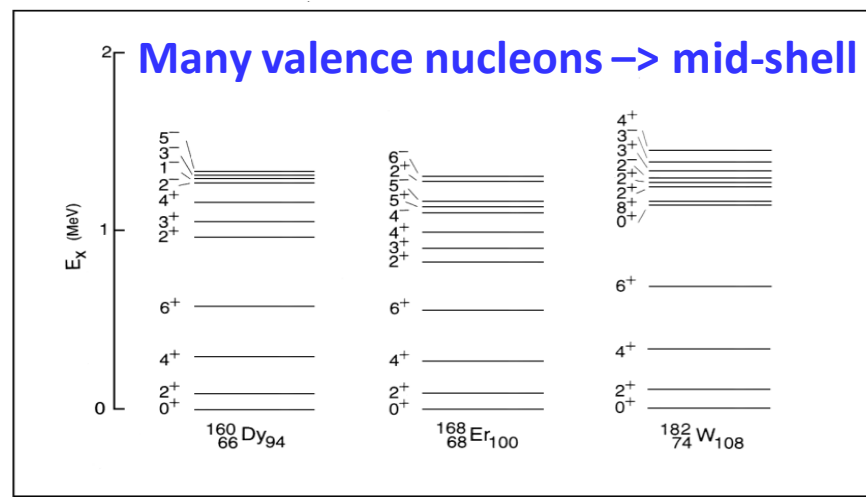
## Few valence nucleons



## More valence nucleons



## Many valence nucleons → mid-shell



# Two approaches

- a) Advanced methods at the level of nucleons and their interactions**
- b) Collective models that look at the many-body system as a whole, with its shapes, oscillations, quantum numbers, selection rules, etc.**

**We will follow this second route but then return to ask what the microscopic drivers of structural evolution and emergent collectivity are.**

# Development of collective behavior in nuclei

- Results primarily from correlations among valence nucleons.
- Instead of pure “Independent Particle model” or 2-particle configurations configurations, the wave functions are mixed – linear combinations of many components.
- Leads to a lowering of the collective states and to enhanced transition rates as characteristic signatures.
- How does this happen? Consider mixing of states.

**In any many-body system it will generally be possible to make states of a given angular momentum in more than one way.**

**In the simplest model those ways are independent and separate states.**

**In realistic situations those states form a “basis” for the construction of real physical states that are mixtures of the basis states.**



## **Conceptually:**

**Start from a simple model, construct basis states.**

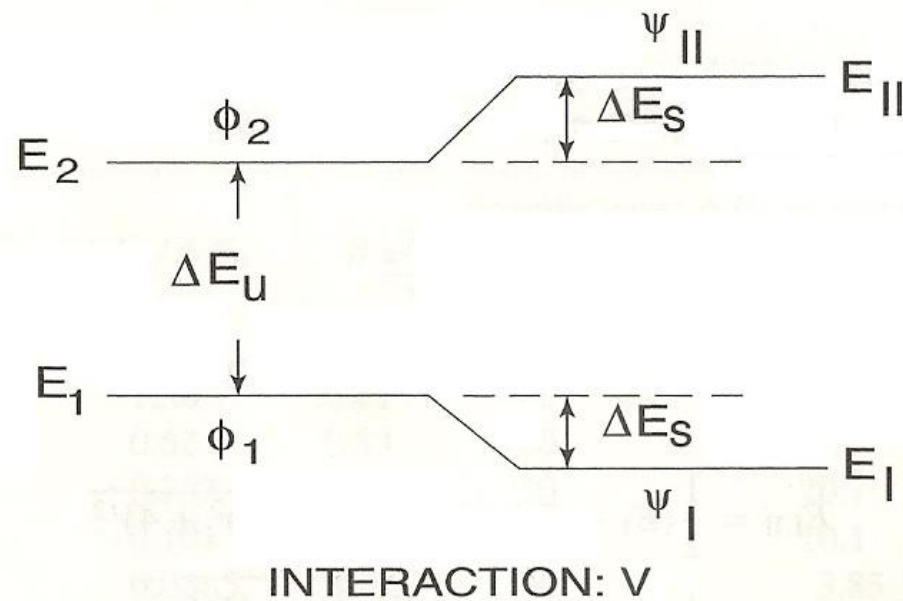
**Compare with data. Usually find reasonable agreement but significant discrepancies.**

**Improve the model by introducing interactions (“residual interactions”) that mix the basis states.**

**Compare with data**

**Mixing of quantum mechanical states**

**The essential key to understanding  
any many-body system**



The mixed wave functions are

$$\psi_I = \alpha\phi_1 + \beta\phi_2$$

$$\alpha^2 + \beta^2 = 1$$

$$\psi_{II} = -\beta\phi_1 + \alpha\phi_2$$

where the smaller amplitude  $\beta$  is given by

$$\beta = \frac{1}{\left\{1 + \left[R/2 + \sqrt{1 + R^2/4}\right]^2\right\}^{\frac{1}{2}}}$$

$$R = \frac{\Delta E_u}{V}$$

**Table 1.2** *Examples of two-state mixing energy shifts and mixing amplitudes (from Eqs. 1.6 and 1.8).  $R = \Delta E_u / V$*

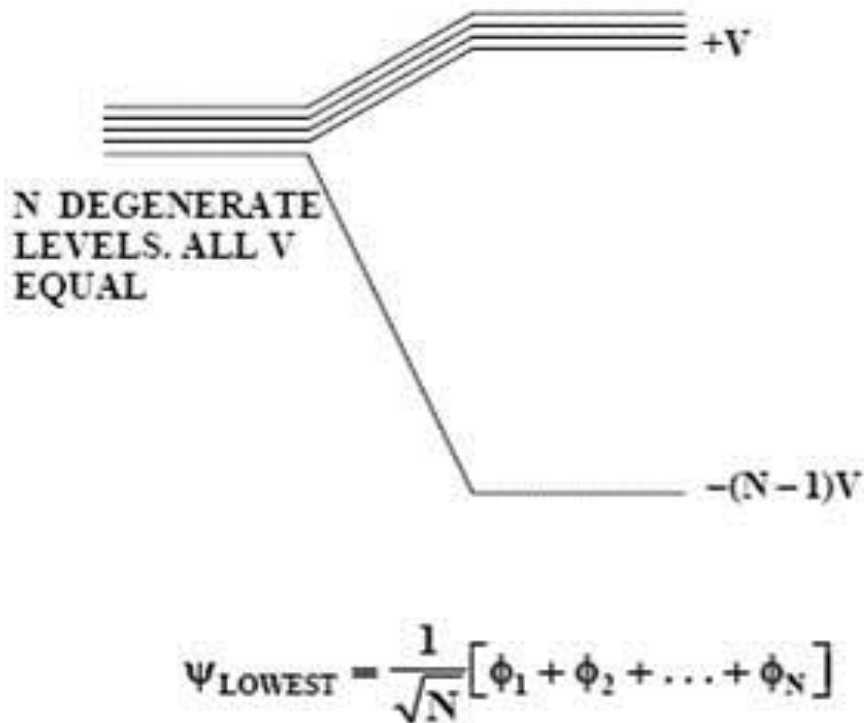
$R^*$	$\Delta E_s / \Delta E_u$	$\beta$	Specific case: $\Delta E_u = 100$ keV	
			$V$ (keV)	$\Delta E_s$ (keV)
0.2	4.52	0.67	500	452
0.5	1.56	0.61	200	156
1	0.62	0.53	100	62
2	0.207	0.38	50	20.7
3	0.101	0.29	33.3	10.1
5	0.0385	0.19	20	3.85
10	0.0099	0.099	10	0.99
20	0.0025	0.050	5	0.25

\*For  $R = 0$ ,  $\beta = 0.707$ , and  $\Delta E_s = V$ .

# Extend to many-level case – Mixing of $N$ configurations. Collective states at low energy

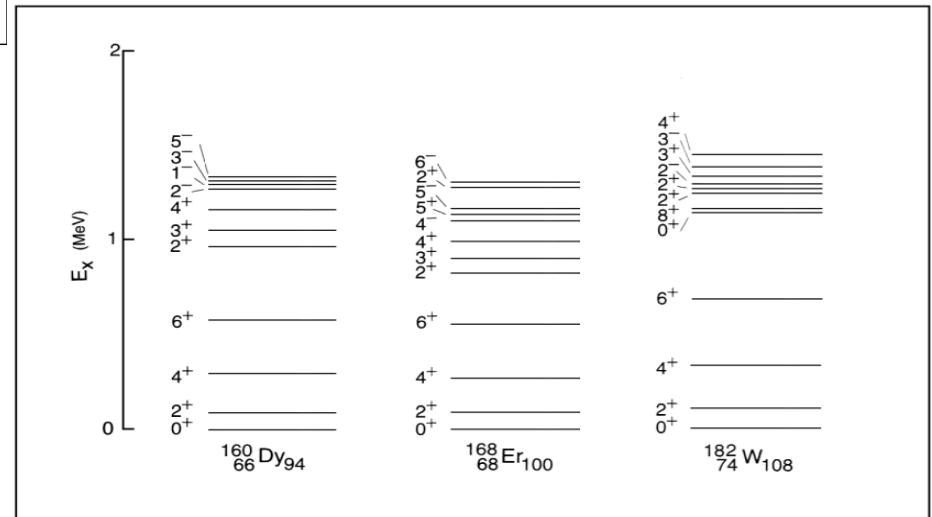
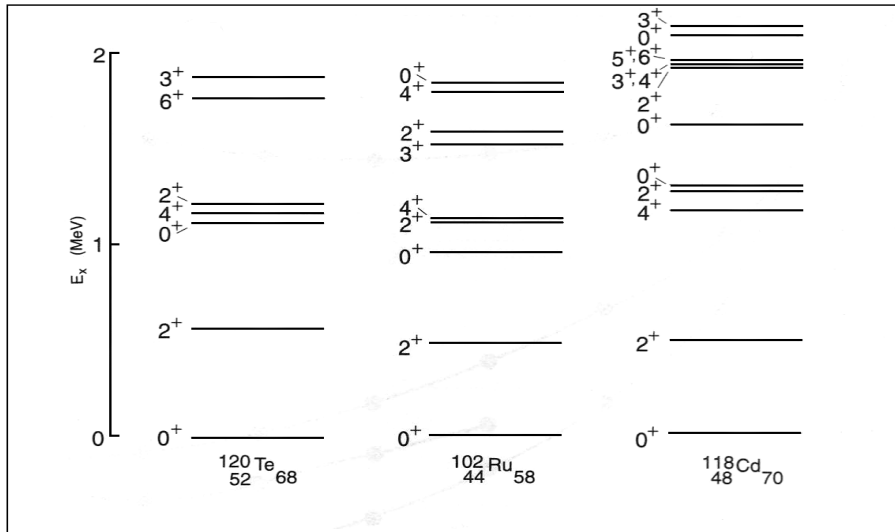
Lowering of one state.  
Note that the components of its wave function are all equal and in phase

Please think about this carefully – it is one of the most important and most general concepts in all of many-body physics



Consequences of this: Lower energies for collective states, and enhanced transition rates.

# Now lets go back to some data we saw earlier and try to understand it in terms of collective structures



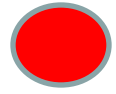
First consider nuclei with a moderate number of valence nucleons ( $\sim 6-16$ ).

These nuclei retain the spherical shapes of nuclei near closed shells but are “soft” -- they can take on oscillatory vibrational motion. The lowest lying such excitation is a small amplitude surface quadrupole oscillation with angular momentum 2

$2^+$  ————— **J = 2 one “phonon” vibrational excitation**

$0^+$  —————

# More than one phonon? What angular momenta? M-scheme for phonons



**Table 6.1** *m* scheme for two-quadrupole phonon states\*

$J_1 = 2$ $m_1$	$J_2 = 2$ $m_2$	$M = \sum m_i$	$J$
2	2	4	4
2	1	3	
2	0	2	
2	-1	1	
2	-2	0	
1	1	2	2
1	0	1	
1	-1	0	0
0	0	0	

\*Only positive total  $M$  values are shown: the table is symmetric for  $M < 0$ . The full set of allowable  $m_i$  values giving  $M \geq 0$  is obtained by the conditions  $m_1 \geq 0, m_2 \leq m_1$ .

**Homework: What angular momenta are allowed for three quadrupole bosons?**



# Types of collective structures

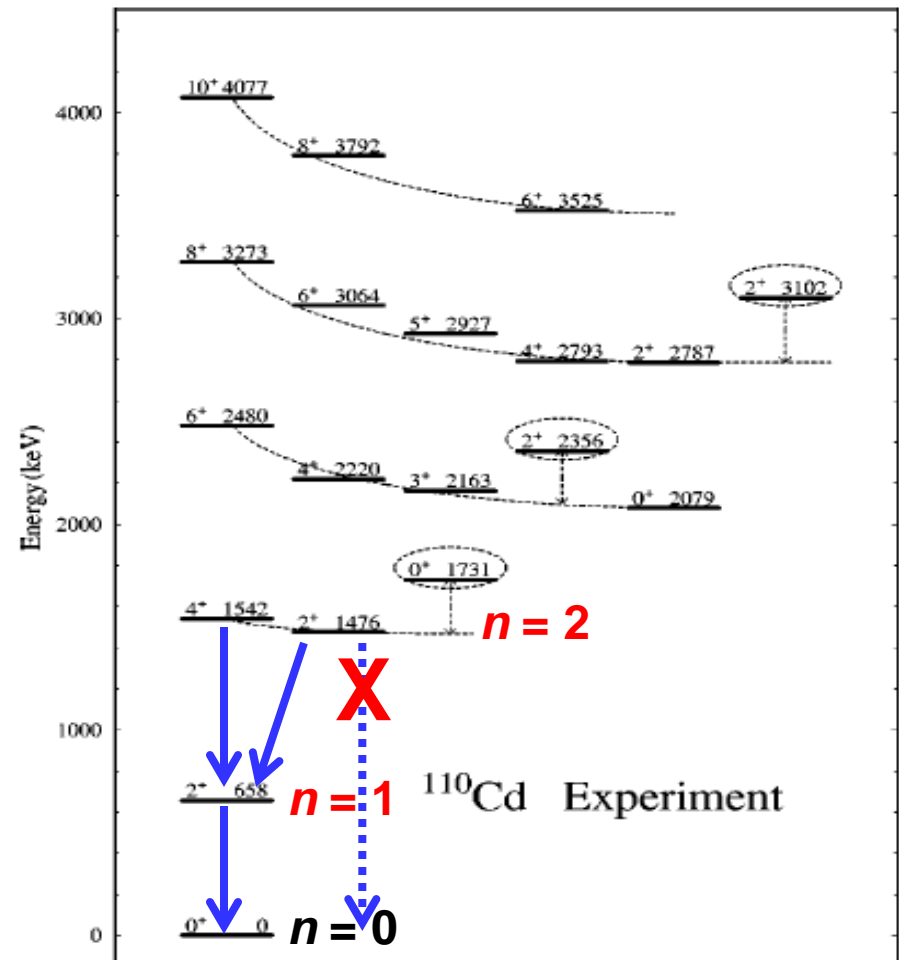
Few valence nucleons of each type:  
Remember this? Now we see it as a  
**spherical vibrator**

Vibrator (H.O.)

$$E(J) = n (\hbar \omega_0)$$

$$R_{4/2} = 2.0$$

Gamma-ray transitions:  
Selection rule: Can destroy  
only one phonon



# Deformed Nuclei

- What is different about non-spherical nuclei?
- They can **ROTATE** !!!
- They can also **VIBRATE**
  - For axially symmetric deformed nuclei there are two low lying vibrational modes called  $\beta$  and  $\gamma$
- So, levels of deformed nuclei consist of the ground state, and vibrational states, with rotational sequences of states (rotational bands) built on top of them.

# A subtle concept about deformation

Ground states of all e-e nuclei, including deformed ones, are  $J = 0^+$

Such wave functions are spherically symmetric ( $Y_{00}$ )

So how can the nucleus be deformed?

The angular momentum  $0^+$  is in the laboratory frame of reference. The ellipsoidal shape is in the nuclear (body-fixed frame). In going from the body-fixed frame to the lab, the nucleus can have any orientation so all are equally probable, hence the density distribution in the lab is spherically symmetric.

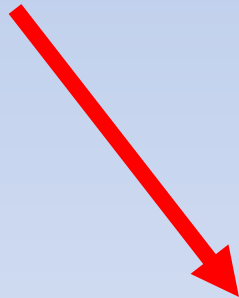
A related point: How can circular nucleon orbits give a deformed shape? “Circular” is not the same as “spherical”. Deformation is equivalent to a non-uniform occupation of magnetic substates resulting from mixing.

# Deformed nuclei – rotational spectra

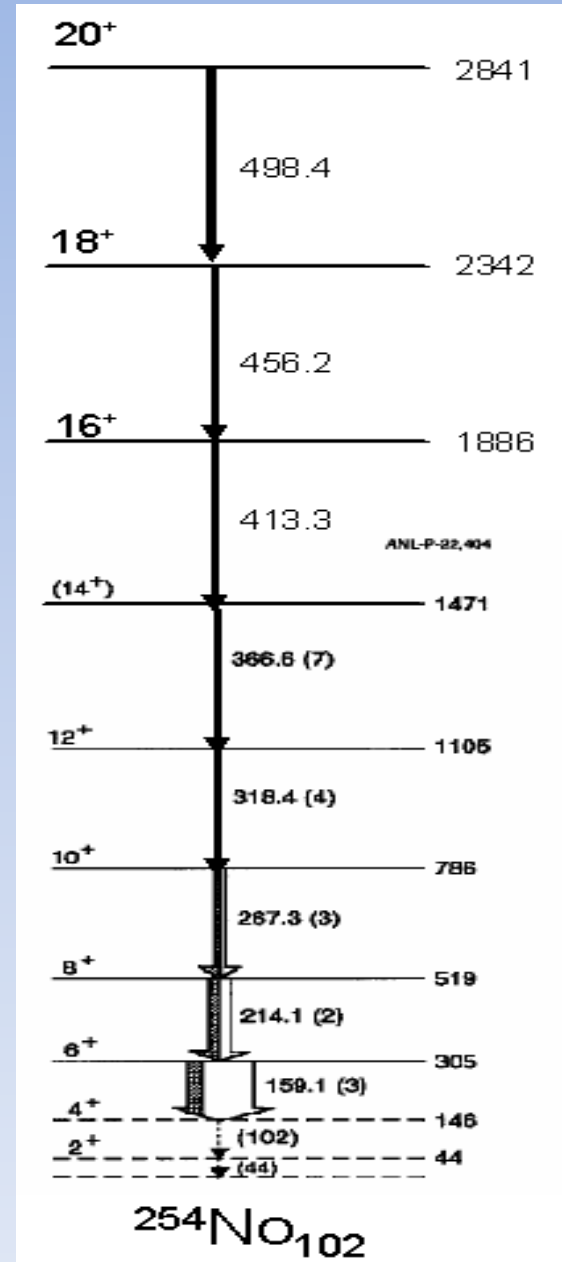
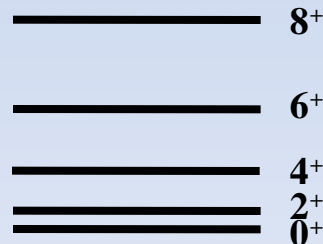
## Rotor

$$E(I) \propto (\hbar^2/2I)I(I+1)$$

$$R_{4/2} = 3.33$$



BTW, note value of paradigm in spotting physics (otherwise invisible) from deviations



Value of paradigms

**Paradigm  
Benchmark**

**Amplifies  
structural  
differences**



6+ ————— 690

700

4+ ————— 330

333

2+ ————— 100

100

0+ ————— 0

0

Without  
rotor  
paradigm

Rotor  $J(J + 1)$

Deviations

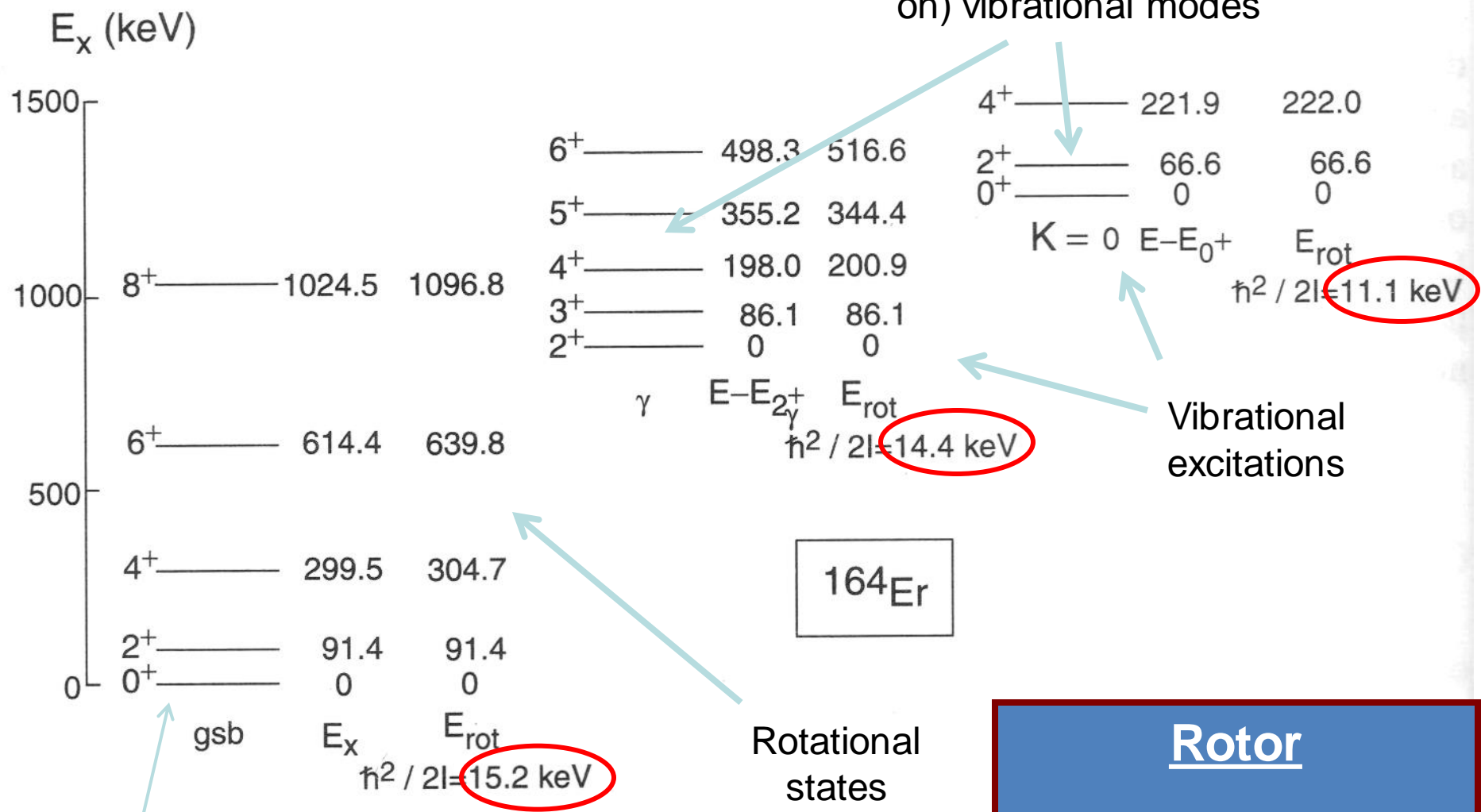


Identify additional  
degrees of freedom

**J**                      **E (keV)**

# Typical deformed nucleus

Rotational states built on (superposed on) vibrational modes



Ground or equilibrium state



**Rotor**

$$E(I) \propto (\hbar^2 / 2I) I(I+1)$$

$$R_{4/2} = 3.33$$

Doubly magic  
plus 2 nucleons

$$R_{4/2} < 2.0$$

Vibrator (H.O.)

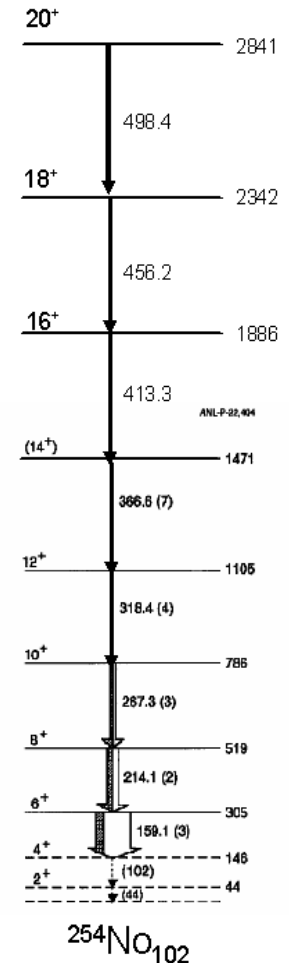
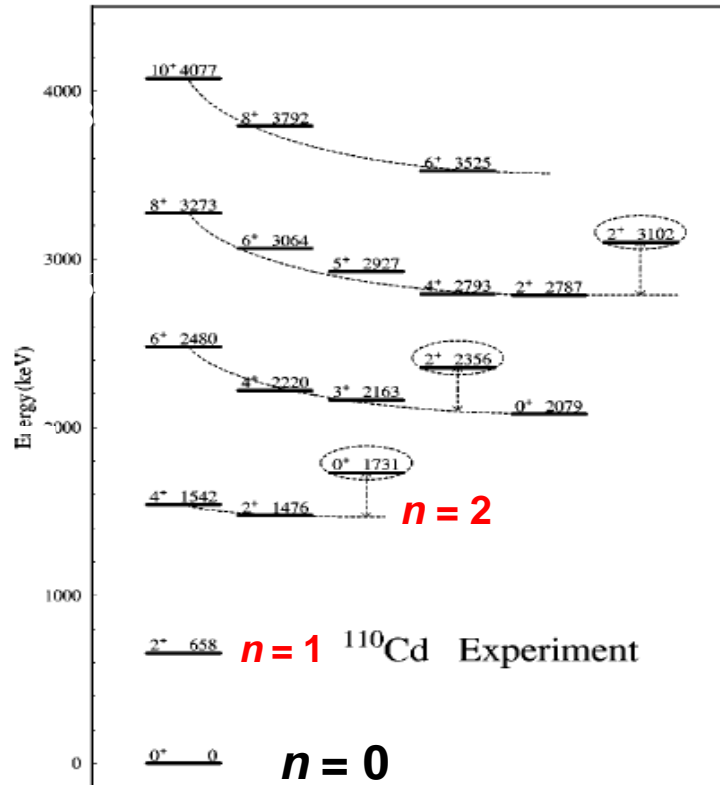
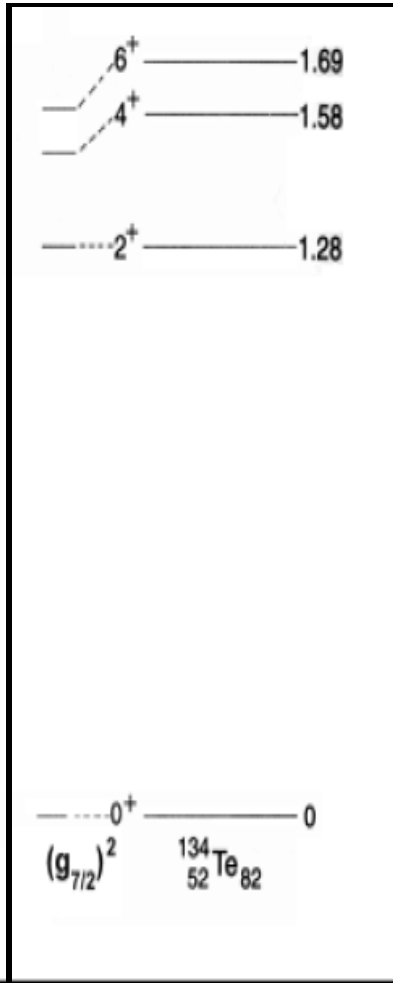
$$E(J) = n (\hbar \omega_0)$$

$$R_{4/2} = 2.0$$

Rotor

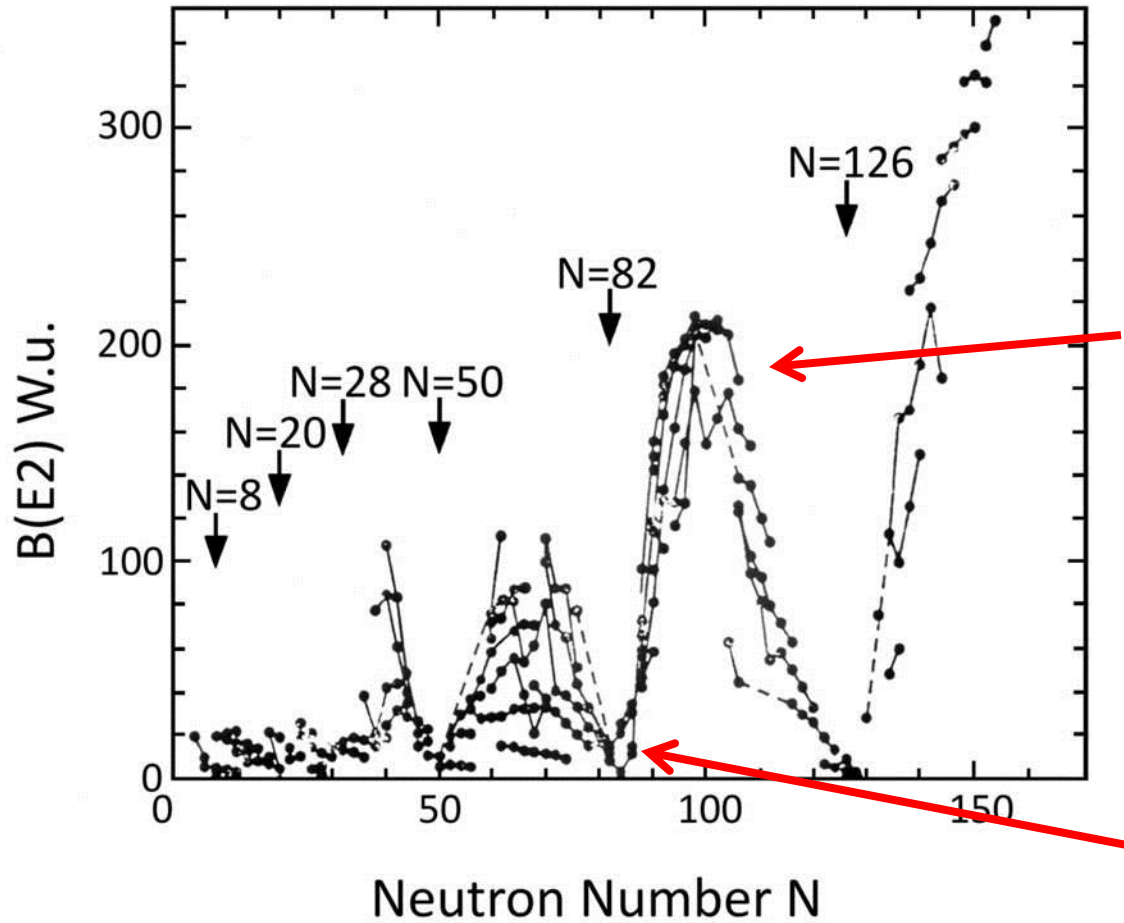
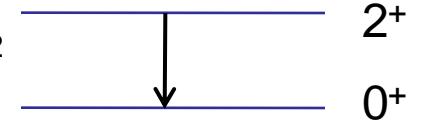
$$E(J) \propto (\hbar^2/2I)J(J+1)$$

$$R_{4/2} = 3.33$$



# Transition rates (half lives of excited levels) also tell us a lot about structure

$$B(E2: 0^+_1 \rightarrow 2^+_1) \propto \langle 2^+_1 || E2 || 0^+_1 \rangle^2$$

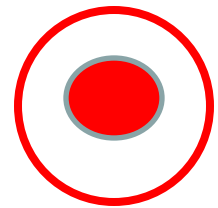


**Collective**

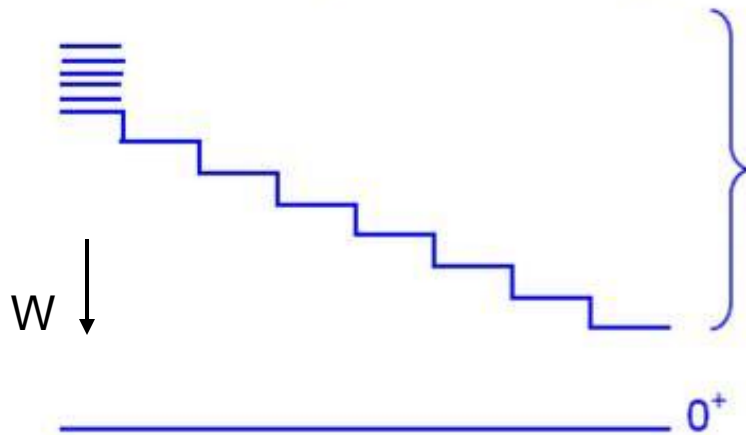
**Magic**



# Coherence and Transition Rates



Consider simple case of  $N$  degenerate levels:  $2^+$



$$\Delta E = (N - 1)V$$

$$\Psi = a\phi_1 + a\phi_2 + \dots + a\phi_N$$

$$\text{where } a = \frac{1}{\sqrt{N}}$$

$$\left( \sum_{i=1}^N a^2 = \frac{N}{N} = 1 \right)$$

Consider transition rate from  $2_1^+ \rightarrow 0_1^+$

$$B(E2; 2_1^+ \rightarrow 0_1^+) = \frac{1}{2J_i + 1} \left\langle 0_1^+ \parallel E2 \parallel 2_1^+ \right\rangle^2$$

$$\left\langle 0_1^+ \parallel E2 \parallel 2_1^+ \right\rangle = \left\langle 0_1^+ \parallel E2 \parallel \Psi \right\rangle = a \sum_{i=1}^N \left\langle 0_1^+ \parallel E2 \parallel \phi_i \right\rangle$$

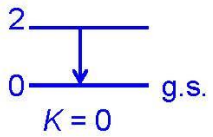
The more configurations that mix, the stronger the  $B(E2)$  value and the lower the energy of the collective state.

**Fundamental property of collective states.**

# Relation of B(E2) values to the nuclear shape.

## Quadrupole Moment of Ellipsoid

$$Q_0 = \frac{3e}{\sqrt{5\pi}} Z R^2 \beta \left[ 1 + \underbrace{0.16\beta}_{\text{higher order term } (\propto \beta^2)} \right]$$



$$B(E2; J_i \rightarrow J_f) = \frac{5}{16\pi} Q_0^2 \langle J_i K 20 | J_f K \rangle^2 e^2 b^2$$

For  $0_1^+ \rightarrow 2_1^+$  :

$$B(E2; 0_1^+ \rightarrow 2_1^+) = \frac{5}{16\pi} Q_0^2$$

$$\frac{B(E2)}{e^2} = \frac{5}{16\pi} \left( \frac{3}{\sqrt{5\pi}} Z R^2 \beta \right)^2$$

or 
$$\beta = \frac{4\pi}{3ZR^2} \sqrt{B(E2)/e^2}$$

