

# $D - \bar{D}$ Mixing, Charm CP Violation and New Physics

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# $D$ - $\bar{D}$ Mixing and New Physics

# $D^0 - \bar{D}^0$ Mixing Basics

Schrödinger equation describing  $D^0 - \bar{D}^0$  mixing:

$$i\partial_t \begin{pmatrix} D^0(t) \\ \bar{D}^0(t) \end{pmatrix} = \left( M + \frac{i}{2}\Gamma \right) \begin{pmatrix} D^0(t) \\ \bar{D}^0(t) \end{pmatrix}$$

Three physical mixing parameter:

$$|M_{12}|, \quad |\Gamma_{12}|, \quad \phi_{12} = -\arg\left(\frac{M_{12}}{\Gamma_{12}}\right)$$

Eigenstates  $D_1$  and  $D_2$  are linear combinations of  $D^0$  and  $\bar{D}^0$

$$|D_{1,2}\rangle = p|D^0\rangle \pm q|\bar{D}^0\rangle, \quad \frac{q}{p} = \sqrt{\frac{M_{12}^* - \frac{i}{2}\Gamma_{12}^*}{M_{12} - \frac{i}{2}\Gamma_{12}}}, \quad \phi = \text{Arg}(q/p)$$

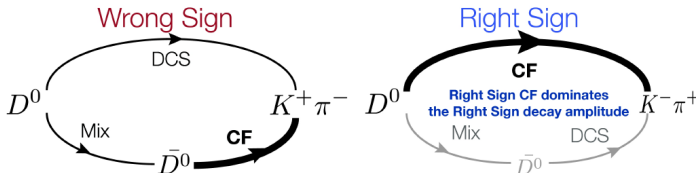
If CP is conserved, then  $\phi = 0$  and  $|q/p| = 1$

# CP Conserving Observables

- ▶ normalized **mass and width differences**

$$x = \frac{\Delta M_D}{\Gamma_D} = 2\tau_D \operatorname{Re} \left[ \frac{q}{p} \left( M_{12} - \frac{i}{2} \Gamma_{12} \right) \right], \quad y = \frac{\Delta \Gamma_D}{2\Gamma_D} = -2\tau_D \operatorname{Im} \left[ \frac{q}{p} \left( M_{12} - \frac{i}{2} \Gamma_{12} \right) \right]$$

- ▶ experimentally accessible through the **time dependent ratio of wrong sign to right sign decay rates**



$$R(t) \simeq R_D + \sqrt{R_D} y' \frac{t}{\tau_D} + \frac{1}{4} (x'^2 + y'^2) \frac{t^2}{\tau_D^2},$$

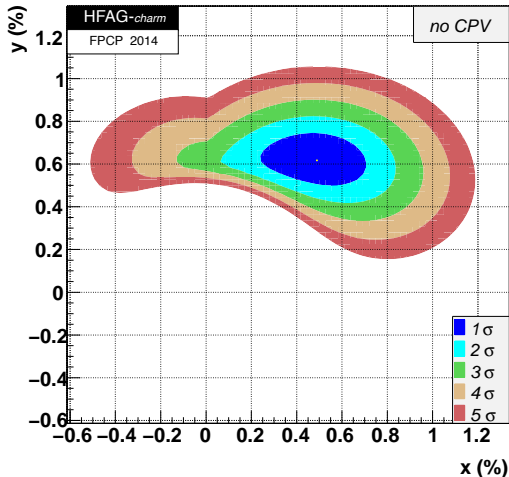
$$\begin{pmatrix} x' \\ y' \end{pmatrix} = \begin{pmatrix} \cos \delta & \sin \delta \\ -\sin \delta & \cos \delta \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix}$$

# Experimental Status

- ▶ no mixing hypothesis  
 $x = y = 0$  is excluded  
by  $\gg 10\sigma$
- ▶ HFAG result for the mixing  
parameters

$$x = \left(0.49^{+0.14}_{-0.15}\right) \%$$

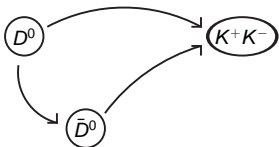
$$y = (0.62 \pm 0.08) \%$$



# CP Violating Observables (example)

- ▶ effective lifetime differences in decays to final CP eigenstates  $f$

$$\Gamma(D^0 \rightarrow f) \propto \exp[-\hat{\Gamma}_{D^0 \rightarrow f} t] \quad , \quad \Gamma(\bar{D}^0 \rightarrow f) \propto \exp[-\hat{\Gamma}_{\bar{D}^0 \rightarrow f} t]$$



$$A_\Gamma = \frac{1}{2\Gamma_D} \left( \hat{\Gamma}_{D^0 \rightarrow f} - \hat{\Gamma}_{\bar{D}^0 \rightarrow f} \right)$$

$$\eta_f^{\text{CP}} A_\Gamma \simeq \frac{x}{2} \left( \left| \frac{q}{p} \right| + \left| \frac{p}{q} \right| \right) \sin \phi - \frac{y}{2} \left( \left| \frac{q}{p} \right| - \left| \frac{p}{q} \right| \right) \cos \phi + \text{small contribution from direct CPV}$$

CPV in interference

CPV in mixing

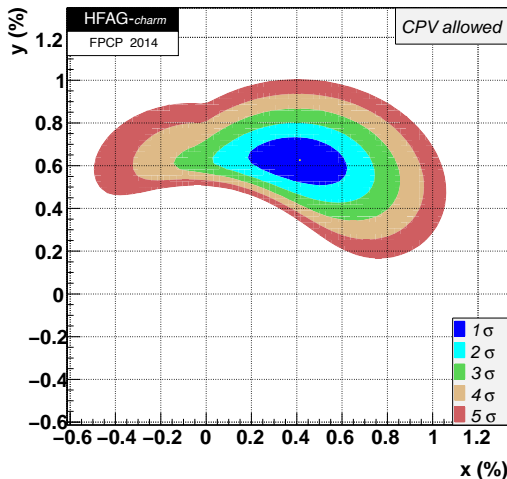
# Experimental Status

- ▶ no mixing hypothesis  
 $x = y = 0$  is excluded  
by  $\gg 10\sigma$

- ▶ HFAG results

$$x = \left(0.41^{+0.14}_{-0.15}\right) \%$$

$$y = \left(0.63^{+0.07}_{-0.08}\right) \%$$



# Experimental Status

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 $x = y = 0$  is excluded  
by  $\gg 10\sigma$

- ▶ HFAG results

$$x = \left(0.41^{+0.14}_{-0.15}\right) \%$$

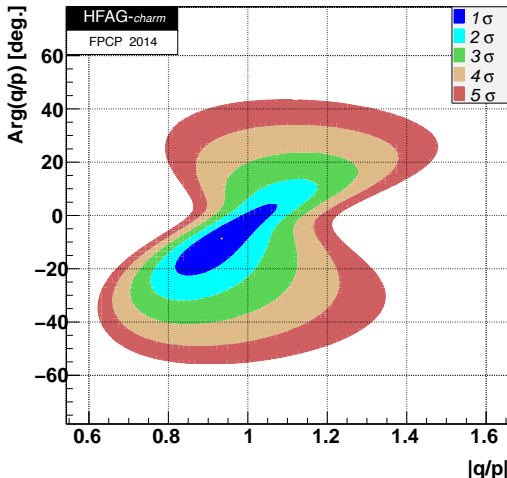
$$y = \left(0.63^{+0.07}_{-0.08}\right) \%$$

- ▶ no evidence for CPV

- ▶  $|q/p| = 1$  and  $\phi = 0$  is  
consistent with the data

$$1 - |q/p| = 0.07^{+0.08}_{-0.09}$$

$$\phi = \text{Arg}(q/p) = -8.7^{\circ} {}^{+8.7^{\circ}}_{-9.1^{\circ}}$$



allowing for direct CPV in DCS decays



# New Physics in $D^0 - \bar{D}^0$ Mixing

Contributions from short distance new physics can be described by an effective Hamiltonian

$$\mathcal{H}_{\text{eff}} = \sum_{i=1}^5 \frac{C_i}{\Lambda_{\text{NP}}^2} O_i + \sum_{i=1}^3 \frac{\tilde{C}_i}{\Lambda_{\text{NP}}^2} \tilde{O}_i$$

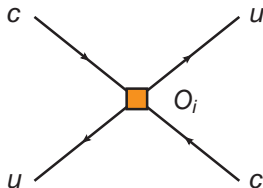
$$O_1 = (\bar{u}_\alpha \gamma_\mu P_L c_\alpha)(\bar{u}_\beta \gamma^\mu P_L c_\beta)$$

$$O_2 = (\bar{u}_\alpha P_L c_\alpha)(\bar{u}_\beta P_L c_\beta)$$

$$O_3 = (\bar{u}_\alpha P_L c_\beta)(\bar{u}_\beta P_L c_\alpha)$$

$$O_4 = (\bar{u}_\alpha P_L c_\alpha)(\bar{u}_\beta P_R c_\beta)$$

$$O_5 = (\bar{u}_\alpha P_L c_\beta)(\bar{u}_\beta P_R c_\alpha)$$

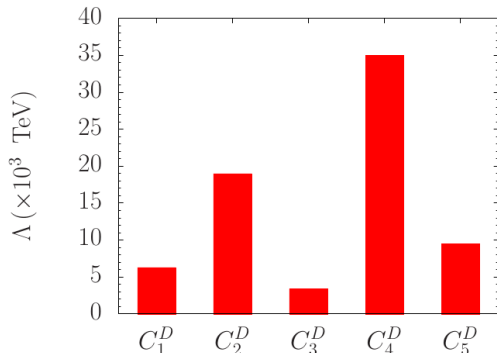


first unquenched lattice QCD results  
for the hadronic matrix elements are now available

(Carrasco et al. '14)

# Model Independent Implications for New Physics

$$\text{Im}(C_i) = 1$$



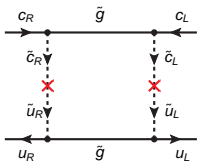
Carrasco et al. '14

(assuming SM contribution is negligible)  
the experimental results on CP violation in  $D - \bar{D}$  mixing can set bounds on the scale  $\Lambda_{\text{NP}}$  of generic new physics with  $O(1)$  up-charm mixing at the level of **several 10,000 TeV**

→ **New Physics Flavor Problem**

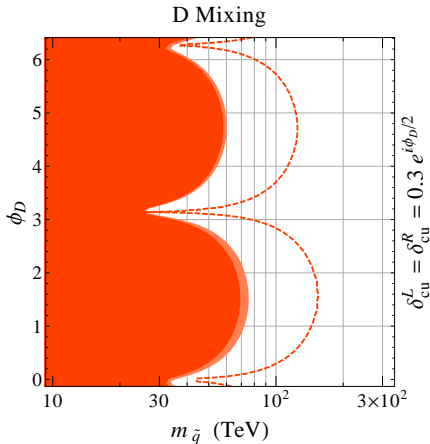
# Implications for the MSSM

Example: Mini-Split SUSY framework:  $m_{\text{stermions}} \sim 16\pi^2 m_{\text{gauginos}}$



$$M_{12}^D \propto \frac{\alpha_s^2}{m_{\tilde{q}}^2} (\delta_{cu}^L \delta_{cu}^R)$$

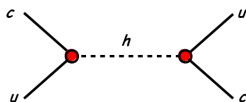
- ▶ contributions depend to an excellent approximation only on the squark masses
- ▶ **scales of O(50 TeV)** can be probed for O(1) phases
- ▶ experimental bounds on CPV in charm mixing can still **improve** (LHCb and Belle II)



WA, Harnik, Zupan '13

# Implications for Higgs Couplings (I)

many NP models contain flavor violating Higgs couplings:  $\mathcal{L}_{\text{eff}} \supset c_{ij} \bar{u}_L^i u_R^j h$

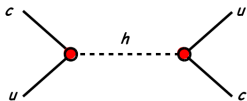


Operator	Eff. couplings	95% C.L. Bound		Observables
		$ c_{\text{eff}} $	$ \text{Im}(c_{\text{eff}}) $	
$(\bar{s}_R d_L)(\bar{s}_L d_R)$	$c_{sd} c_{ds}^*$	$1.1 \times 10^{-10}$	$4.1 \times 10^{-13}$	$\Delta m_K; \epsilon_K$
$(\bar{s}_R d_L)^2, (\bar{s}_L d_R)^2$	$c_{ds}^2, c_{sd}^2$	$2.2 \times 10^{-10}$	$0.8 \times 10^{-12}$	
$(\bar{c}_R u_L)(\bar{c}_L u_R)$	$c_{cu} c_{uc}^*$	$0.9 \times 10^{-9}$	$1.7 \times 10^{-10}$	$\Delta m_D;  q/p , \phi_D$
$(\bar{c}_R u_L)^2, (\bar{c}_L u_R)^2$	$c_{uc}^2, c_{cu}^2$	$1.4 \times 10^{-9}$	$2.5 \times 10^{-10}$	
$(\bar{b}_R d_L)(\bar{b}_L d_R)$	$c_{bd} c_{db}^*$	$0.9 \times 10^{-8}$	$2.7 \times 10^{-9}$	$\Delta m_{B_d}; S_{B_d \rightarrow \psi K}$
$(\bar{b}_R d_L)^2, (\bar{b}_L d_R)^2$	$c_{db}^2, c_{bd}^2$	$1.0 \times 10^{-8}$	$3.0 \times 10^{-9}$	
$(\bar{b}_R s_L)(\bar{b}_L s_R)$	$c_{bs} c_{sb}^*$	$2.0 \times 10^{-7}$	$2.0 \times 10^{-7}$	$\Delta m_{B_s}$
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Blankenburg, Ellis, Isidori '12; Harnik, Kopp, Zupan '12

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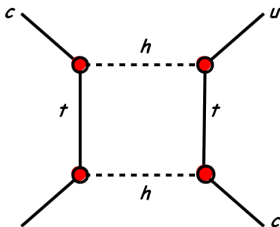
$D - \bar{D}$  mixing sets strong constraints

Operator	Eff. couplings	95% C.L. Bound		Observables
		$ c_{\text{eff}} $	$ \text{Im}(c_{\text{eff}}) $	
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$(\bar{s}_R d_L)^2, (\bar{s}_L d_R)^2$	$c_{ds}^2, c_{sd}^2$	$2.2 \times 10^{-10}$	$0.8 \times 10^{-12}$	
$(\bar{c}_R u_L)(\bar{c}_L u_R)$	$c_{cu} c_{uc}^*$	<b><math>0.9 \times 10^{-9}</math></b>	<b><math>1.7 \times 10^{-10}</math></b>	<b><math>\Delta m_D;  q/p , \phi_D</math></b>
<b><math>(\bar{c}_R u_L)^2, (\bar{c}_L u_R)^2</math></b>	<b><math>c_{uc}^2, c_{cu}^2</math></b>	<b><math>1.4 \times 10^{-9}</math></b>	<b><math>2.5 \times 10^{-10}</math></b>	
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# Implications for Higgs Couplings (II)

top-up-Higgs and top-charm-Higgs couplings can also lead to  $D - \bar{D}$  mixing at the loop level



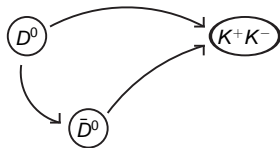
$$\sqrt{|\text{Im}(Y_{tc}^* Y_{ut}^* Y_{tu} Y_{ct})|} \lesssim 4.1 \times 10^{-4}$$

(Gorbahn, Haisch '14)

# Singly Cabibbo Suppressed D decays and New Physics

# CPV in SCS D Decays: Basics

Time integrated CP asymmetries  
in decays to final CP eigenstates

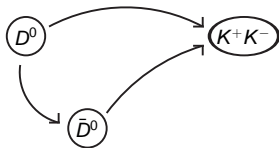


$$A_{\text{CP}}(f) = \frac{\Gamma(D^0 \rightarrow f) - \Gamma(\bar{D}^0 \rightarrow f)}{\Gamma(D^0 \rightarrow f) + \Gamma(\bar{D}^0 \rightarrow f)} \simeq A_f^d + \frac{\langle t \rangle}{\tau_D} A_f^i$$

contributions from **direct CPV** and **indirect CPV**



Time integrated CP asymmetries  
in decays to final CP eigenstates



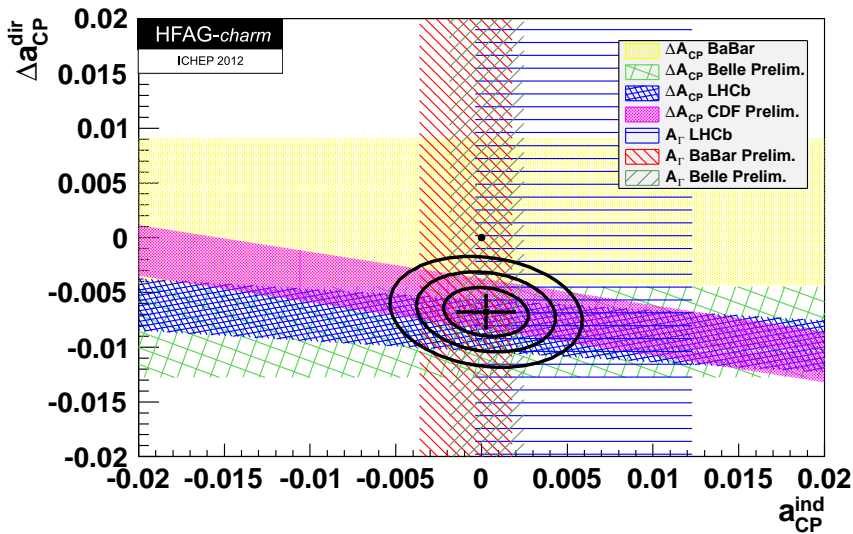
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contributions from **direct CPV** and **indirect CPV**

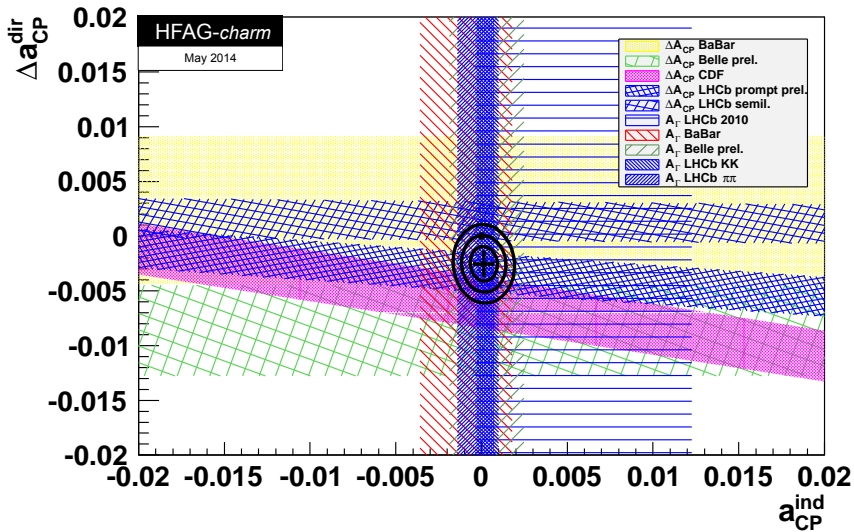
$$\Delta A_{\text{CP}} = A_{\text{CP}}(KK) - A_{\text{CP}}(\pi\pi) \simeq A_{KK}^d - A_{\pi\pi}^d + \frac{\Delta \langle t \rangle}{\tau_D} A_\Gamma$$

indirect component (and experimental systematics!)  
cancel to large extent in the difference of  $K^+K^-$  and  $\pi^+\pi^-$  modes

# Experimental Status (2012)



# Experimental Status (2014)



the latest HFAG average for direct CP violation reads

$$\Delta A_{\text{CP}}^{\text{exp}} = (-0.253 \pm 0.104)\%$$

(compatible with 0 at  $2.5\sigma$ )

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naive SM estimate

$$\Delta A_{\text{CP}}^{\text{SM}} \sim \frac{\alpha_s}{\pi} \frac{V_{ub} V_{cb}^*}{V_{us} V_{cs}^*} \sim 10^{-4}$$

values as large as

$$\Delta A_{\text{CP}}^{\text{SM}} \sim \text{few} - \text{several} \times 10^{-3}$$

cannot be excluded in the SM

(Brod, Kagan, Zupan '11; ...)

# New Physics?

at the moment, new physics is **not required by the data**  
(because the data is compatible with 0 at  $2.5\sigma$  ... )

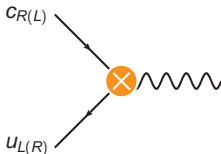
but new physics contributions are of course possible

most plausible candidate  
for sizable NP contribution:

chromo-magnetic dipole  
with  $\Lambda_{\text{NP}} \sim 10 \text{ TeV}$

$$\mathcal{H}_{\text{eff}} \supset \frac{m_c}{16\pi^2} \frac{1}{\Lambda_{\text{NP}}^2} (\bar{u}\sigma GP_{R/L}C)$$

Isidori, Kamenik, Ligeti, Perez '11; ...



only weakly constrained by other flavor transitions  
(in particular  $D-\bar{D}$  mixing,  $\epsilon'/\epsilon$ , ...)

would also affect radiative D decays  $D \rightarrow \rho\gamma$ ,  $D \rightarrow \omega\gamma$ ,  $D \rightarrow P^+P^-\gamma$   
(Isidori, Kamenik '12; Fajfer, Kosnik '12; Lyon, Zwicky '12)

# $\Delta A_{\text{CP}}$ in New Physics Models

a large chromo-magnetic dipole can arise in various motivated new physics scenarios

**Supersymmetric models** with flavor violation beyond MFV

(Giudice, Isidori, Paradisi '12; Hiller, Hochberg, Nir '12; Sala '13)

$$\Delta A_{\text{CP}}^{\text{SUSY}} \simeq 0.3\% \times \left( \frac{\text{Im}(A)}{3\tilde{m}} \right) \left( \frac{\theta_{12}}{0.3} \right) \left( \frac{1\text{TeV}}{\tilde{m}} \right)^2$$

**Randall-Sundrum models** or **models with partial compositeness**

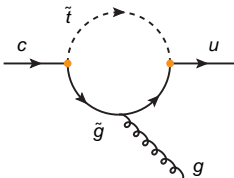
(Keren-Zur et al. '12; Delauney, Kamenik, Perez, Randall '12; Konig, Neubert, Straub '14)

$$\Delta A_{\text{CP}}^{\text{RS}} \simeq 0.6\% \times \left( \frac{\mathcal{O}_\beta}{0.1} \right) \left( \frac{Y_5}{4} \right)^2 \left( \frac{3\text{TeV}}{m_{\text{KK}}} \right)^2$$

effects of few–several  $\times 10^{-3}$  can be obtained  
with new physics at the TeV scale and  
without the need for non-perturbative enhancements

# Constraints on New Physics

bounds on **squark mixing angles**  
in SUSY models with split families,  
assuming stops and gluinos at 1TeV  
and mixing of LH squarks CKM like



$$|W_{tu}^R| \lesssim 0.2$$

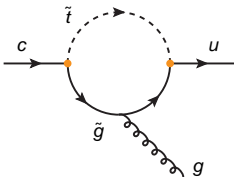
(neutron EDM gives slightly stronger bounds)

Sala '13



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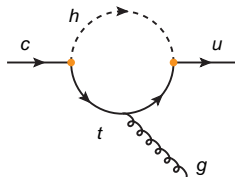


$$|W_{tu}^R| \lesssim 0.2$$

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Sala '13

bounds on **flavor violating  
Higgs couplings**



$$|\text{Im}(Y_{ut}^* Y_{tc})| \lesssim 4 \times 10^{-4}$$

Gorbahn, Haisch '14

- ▶ charm mixing and decays are highly sensitive probes of New Physics
- ▶ combining the latest experimental results on charm mixing with the recent lattice results on the hadronic matrix elements allows to probe scales as high as 35,000 TeV
- ▶ translates e.g. into strong constraints on SUSY models or on flavor changing Higgs couplings
- ▶  $\Delta A_{CP}$  at the 1% level is possible in well motivated New Physics models, but experimental value is now compatible with 0 ...