

Rare Charm Decays

Summary of experimental results

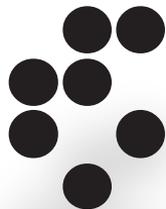
Anže Zupanc



*8th International Workshop
on the CKM Unitarity Triangle*

Vienna, Austria

11/09/2014



Institut "Jožef Stefan", Ljubljana, Slovenija

Theory of rare charm decays

$$\mathcal{H}_{\text{eff}} = \lambda_d \mathcal{H}^d + \lambda_s \mathcal{H}^s + \lambda_b \mathcal{H}^{\text{peng}}$$

$$\mathcal{H}^{q=d,s} = -\frac{4G_F}{\sqrt{2}} (C_1 \mathcal{O}_1^q + C_2 \mathcal{O}_2^q)$$

$$\mathcal{H}^{\text{peng}} = -\frac{4G_F}{\sqrt{2}} \sum_{i=3,\dots,10} C_i \mathcal{O}_i$$

$$\mathcal{O}_1^q = (\bar{q}_L^\alpha \gamma^\mu c_L^\alpha) (\bar{u}_L^\beta \gamma_\mu q_L^\beta)$$

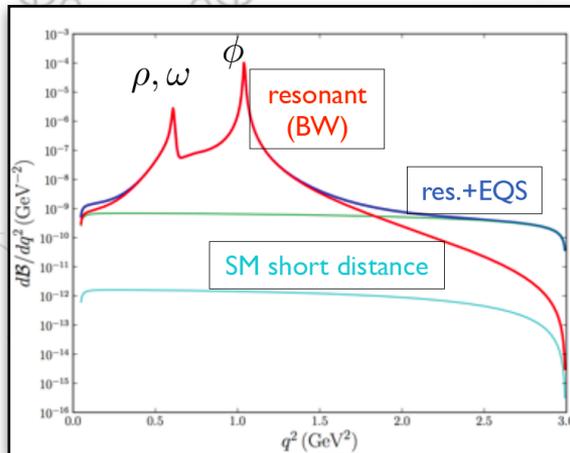
$$\mathcal{O}_2^q = (\bar{q}_L^\alpha \gamma^\mu c_L^\beta) (\bar{u}_L^\beta \gamma_\mu q_L^\alpha)$$

$$\mathcal{O}_7 = \frac{em_c}{(4\pi)^2} \bar{u} \sigma_{\mu\nu} P_{RC} F^{\mu\nu},$$

$$\mathcal{O}_8 = \frac{gm_c}{(4\pi)^2} \bar{u} \sigma_{\mu\nu} P_{RC} G^{\mu\nu},$$

$$\mathcal{O}_9 = \frac{e^2}{(4\pi)^2} (\bar{u} \gamma^\mu P_L c) (\bar{\ell} \gamma_\mu \ell),$$

$$\mathcal{O}_{10} = \frac{e^2}{(4\pi)^2} (\bar{u} \gamma^\mu P_L c) (\bar{\ell} \gamma_\mu \gamma_5 \ell)$$



See S. Fajfer's theoretical review of rare charm decays earlier today.



Theory of rare charm decays

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Probing the beyond-Standard-Model Physics processes via measurements of branching fractions, CP asymmetries, and other observables.

$$\mathcal{O}_1^q = (\bar{L} \gamma^\mu C_L^q) (\bar{L} \gamma_\mu C_L^q)$$

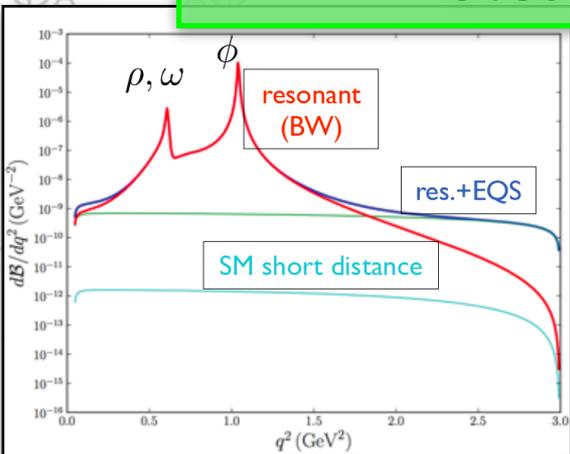
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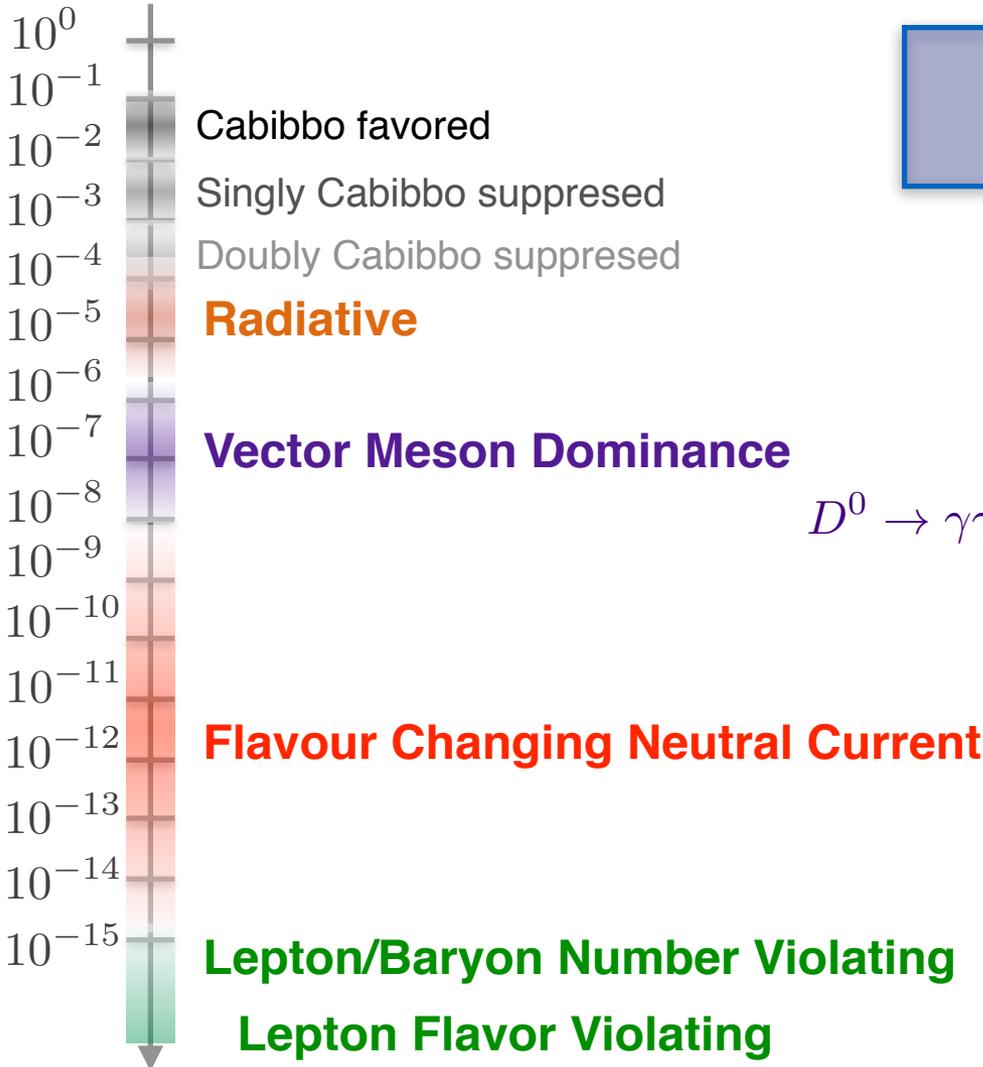
$$\mathcal{O}_{10} = \frac{e^2}{(4\pi)^2} (\bar{u} \gamma^\mu P_L c) (\bar{\ell} \gamma_\mu \gamma_5 \ell)$$



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Outline



Overview of measurements of selected rare decays

$$D^0 \rightarrow \bar{K}^{*0} \gamma, \phi \gamma, \omega \gamma, \rho^0 \gamma$$

$$D^0 \rightarrow \rho V (\rightarrow \ell \ell), \pi^- \pi^+ V (\rightarrow \ell \ell)$$

$$D^0 \rightarrow \phi V (\rightarrow \ell \ell), K^- K^+ V (\rightarrow \ell \ell)$$

$$D^0 \rightarrow \gamma \gamma$$

$$D^0 \rightarrow \bar{K}^{*0} V (\rightarrow \ell \ell), K^+ \pi^- V (\rightarrow \ell \ell)$$

$$D^0 \rightarrow \mu^- \mu^+$$

$$D \rightarrow h \ell^+ \ell^-$$

$$D^0 \rightarrow e^- e^+$$

$$D \rightarrow h h' \ell^- \ell^+$$

$$D \rightarrow V \ell^+ \ell^-$$

$$D \rightarrow (h) e^- \mu^+$$

$$D \rightarrow (h) \ell^+ \ell^+$$

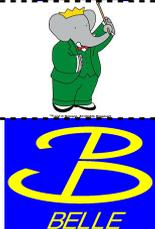


Experimental requirements

- Large samples of charm
- Good background rejection and high signal efficiency
 - excellent particle identification
 - large boost → displaced vertex
 - excellent reconstruction of photons and neutral pions
 - hermeticity of detector



Available samples

Experiment	Sample	N	efficiency
 	pp @ 7 TeV	10^{12}	< 0.5%
 	$p\bar{p}$ @ 2 TeV	10^{11}	
 	e^+e^- @ 3.77 GeV e^+e^- @ 4.18 GeV	10^7	~10-30%
	e^+e^- @ 10.6 GeV	10^9	~5-10%

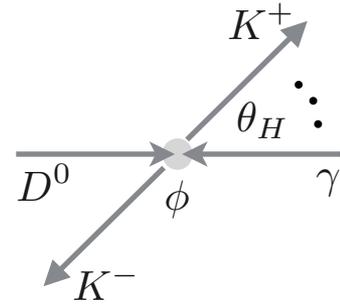
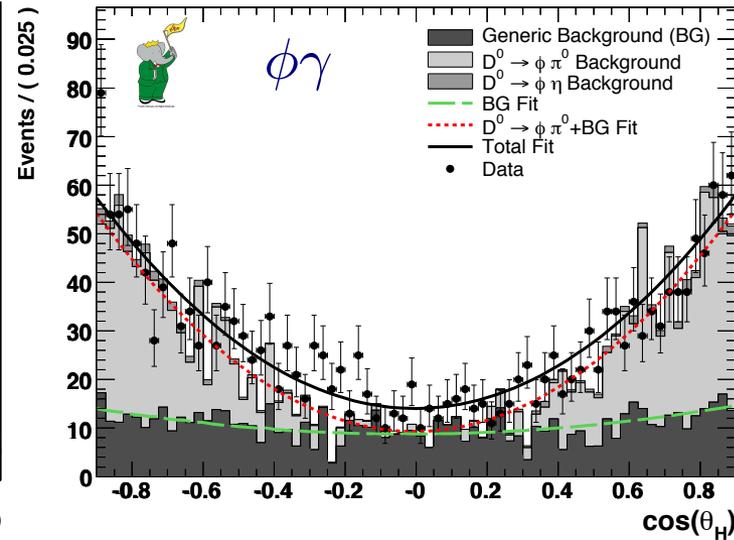
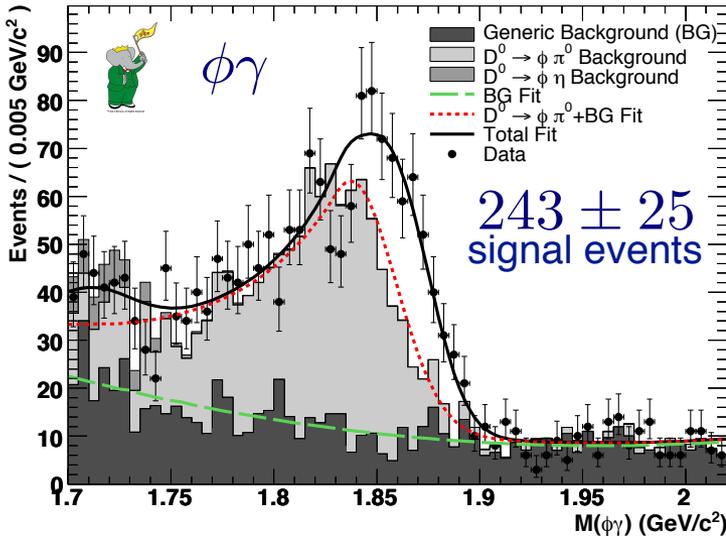


$D^0 \rightarrow V\gamma$



BaBar 387 fb^{-1} @ $\Upsilon(4S)$
PRD78,071101(2008)

- Use a D^* tag
- Large contamination from $D^0 \rightarrow V\pi^0$, $hh'\pi^0$
 - π^0 veto \Rightarrow reject all γ 's that can be used for a good π^0
 - extract signal from 2-dimensional fit to $m(V\gamma)$ and $\cos(\theta_H)$

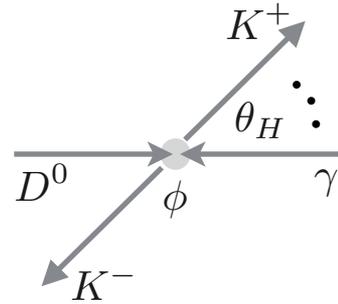
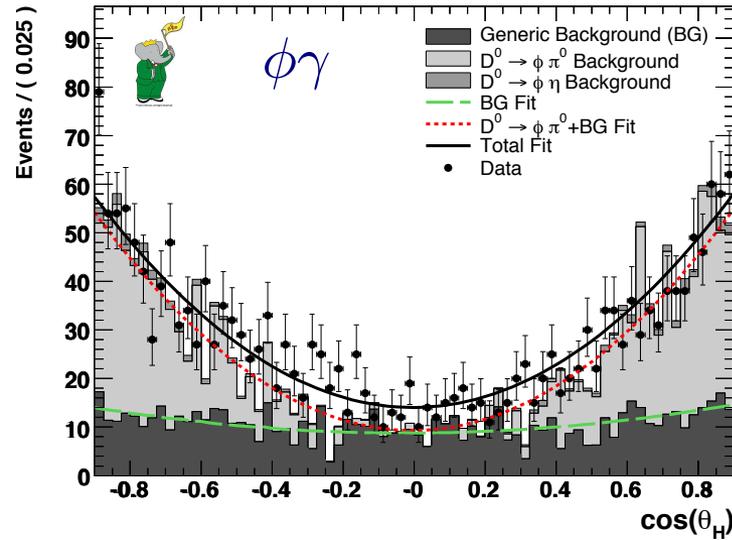
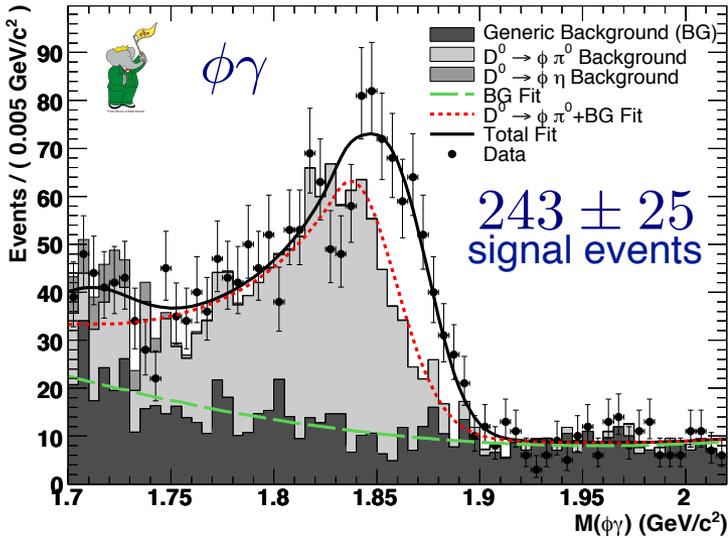


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$$\mathcal{B}(D^0 \rightarrow \phi\gamma) = (2.73 \pm 0.30 \pm 0.26) \times 10^{-5}$$

$$\mathcal{B}(D^0 \rightarrow \bar{K}^{*0}\gamma) = (3.22 \pm 0.20 \pm 0.27) \times 10^{-4}$$

*Dominated by Long Distance effects.
Not a New Physics search.*

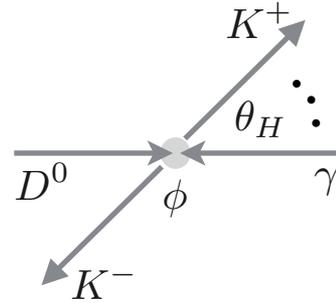
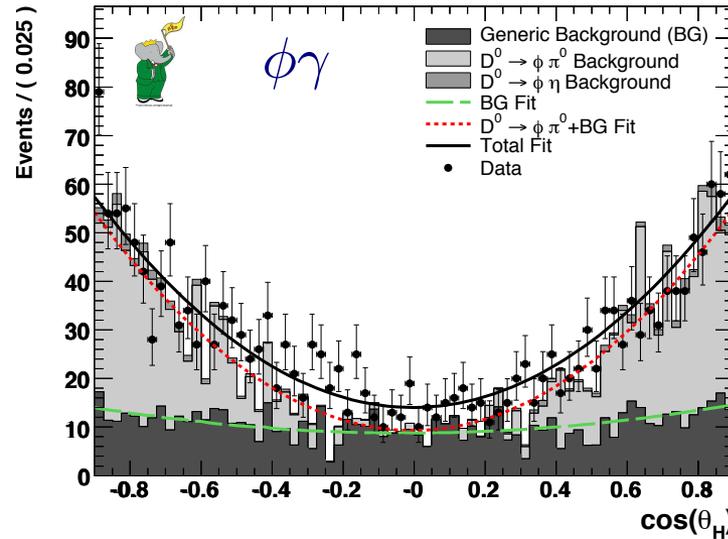
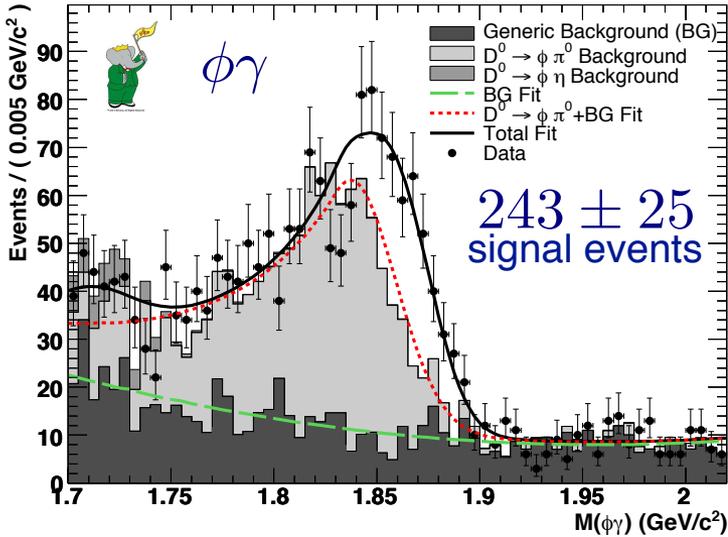


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Belle 78 fb^{-1} @ $\Upsilon(4S)$
PRL92,101803(2004)
 $\mathcal{B}(D^0 \rightarrow \phi\gamma) = [2.60^{+0.70+0.15}_{-0.61-0.17}] \times 10^{-5}$



Cleo II 5 fb^{-1} @ $\Upsilon(4S)$
PRD58,92001(1998)
 $\mathcal{B}(D^0 \rightarrow \omega(\rho^0)\gamma) < 2.4(2.4) \times 10^{-4}$ @90% C.L.



$$D^0 \rightarrow V \gamma$$

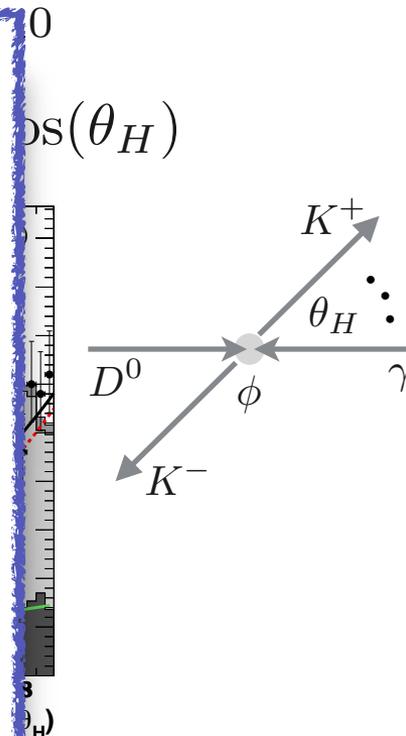
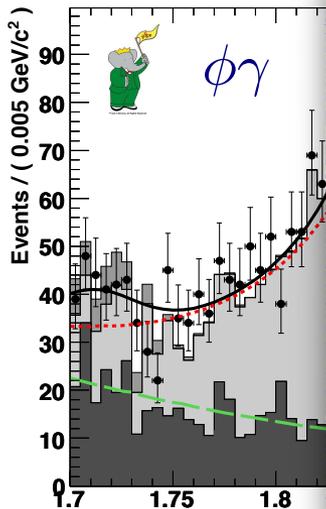


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PRD78,071101(2008)

- Use a D^* tag
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 - π^0 veto \Rightarrow
 - extract sig

In some SM extensions sizeable
CP asymmetry expected
in radiative charm decays:

$A_{CP}^{V\gamma} > 3\%$ \Rightarrow signal of New Physics
PRL109,171801(2012); arXiv:1210.6546
see N. Košnik's talk later today (WG7@18:00)



$$\mathcal{B}(D^0 \rightarrow \phi \gamma) =$$

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$$D^0 \rightarrow V \gamma$$



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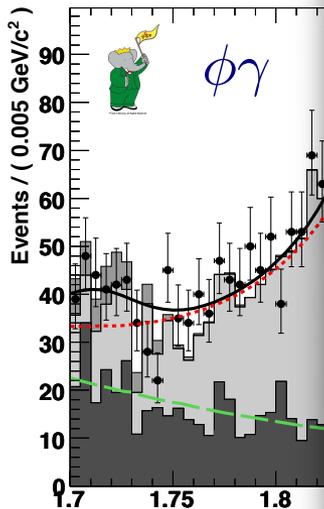
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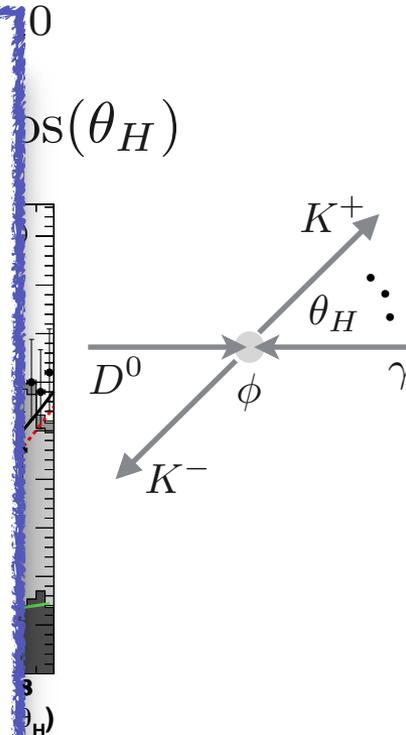
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$$\mathcal{B}(D^0 \rightarrow \phi \gamma) =$$

$$\mathcal{B}(D^0 \rightarrow \bar{K}^{*0} \gamma) =$$



Belle II 50 ab^{-1} @ $\Upsilon(4S)$

estimating: $\sigma(A_{CP}^{\phi\gamma}) \sim 0.8\%$

Cleo II 5 fb^{-1} @ $\Upsilon(4S)$
PRD58,92001(1998)

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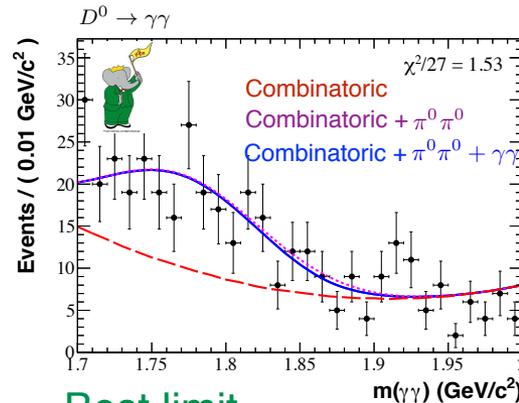
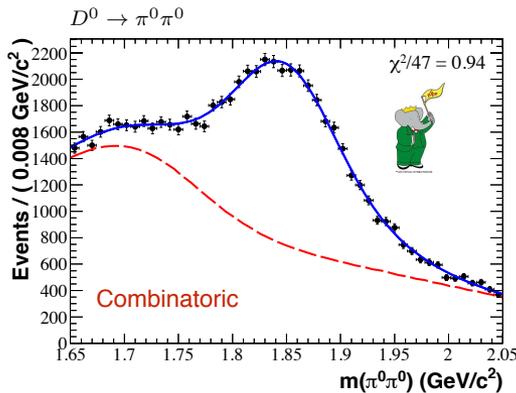


$$D^0 \rightarrow \gamma\gamma$$



BaBar $470 fb^{-1} @ \Upsilon(4S)$
PRD85,091107(2012)

- Use a D^* tag
- Normalisation to $D^0 \rightarrow K_S^0 \pi^0$
- Measure main background as well $D^0 \rightarrow \pi^0 \pi^0$
 - π^0 veto \Rightarrow reject all γ 's that can be used for a good π^0



Best limit

$$\mathcal{B}_{D^0 \rightarrow \pi^0 \pi^0} = (8.4 \pm 0.1 \pm 0.3) \cdot 10^{-4}$$

$$\mathcal{B}_{D^0 \rightarrow \gamma\gamma} < 2.2 \cdot 10^{-6} @ 90\% C.L.$$

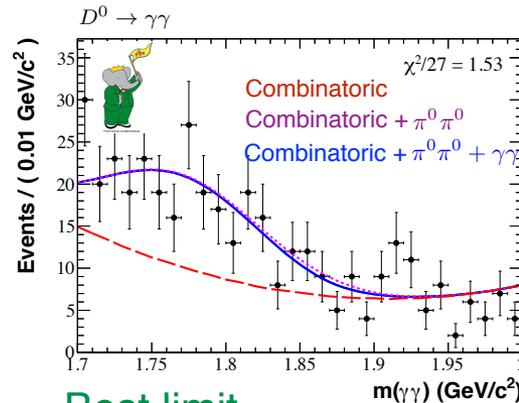
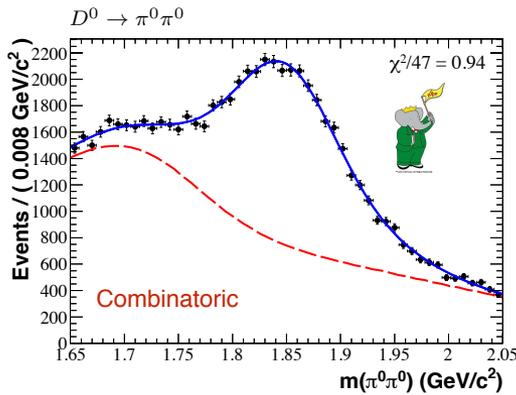


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BESIII $2.9 fb^{-1} @ \psi(3770)$
 $\mathcal{B}_{D^0 \rightarrow \gamma\gamma} < 4.7 \cdot 10^{-6} @ 90\% C.L.$
 arXiv:1208.4744

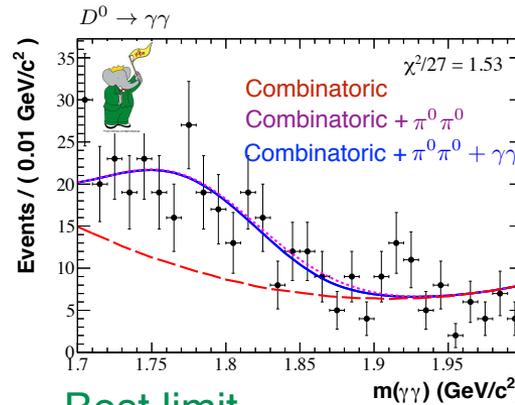
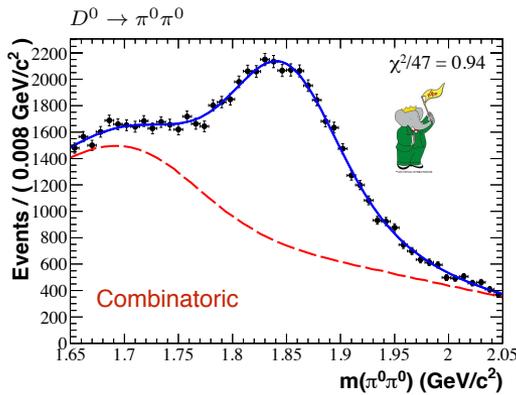


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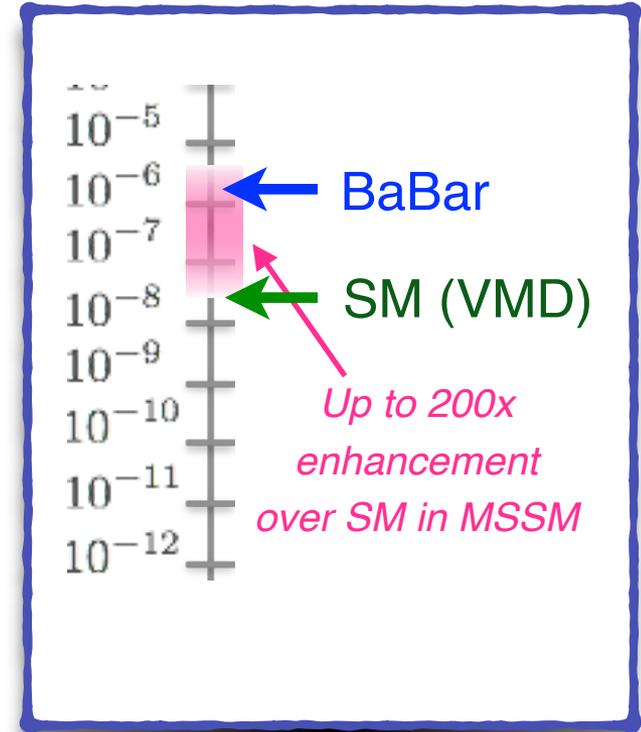
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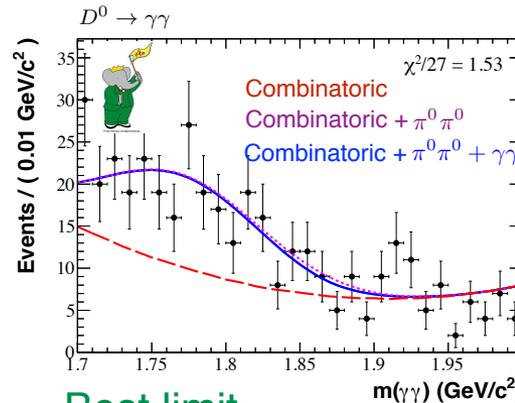
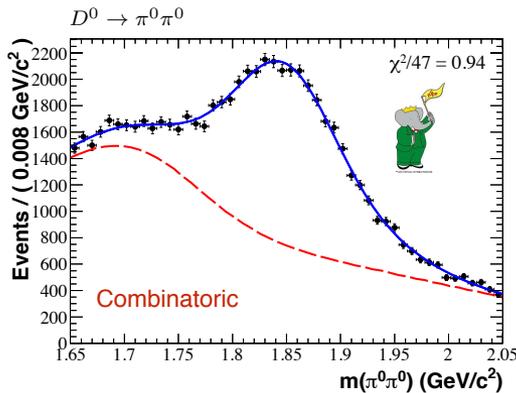


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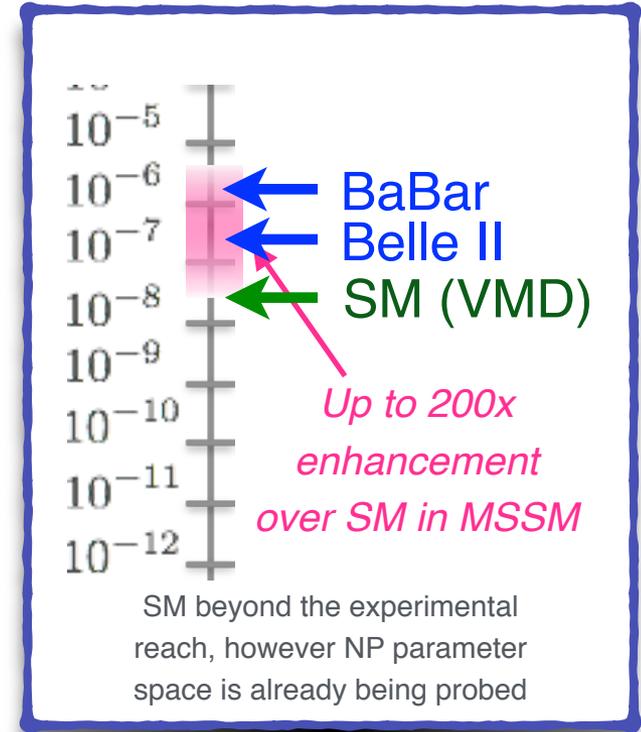
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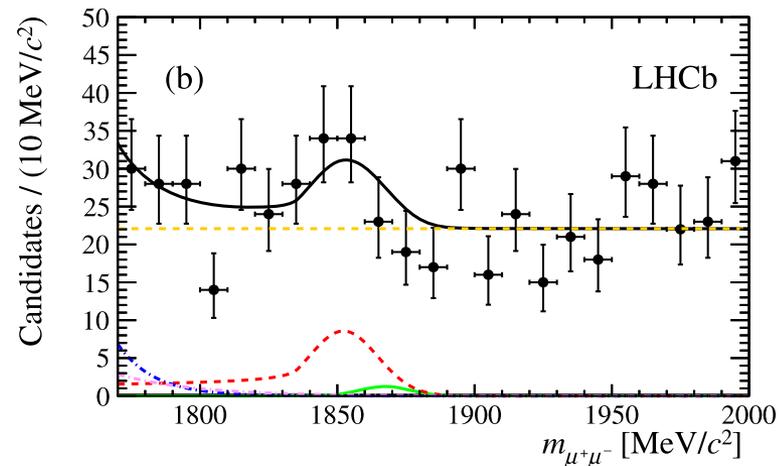
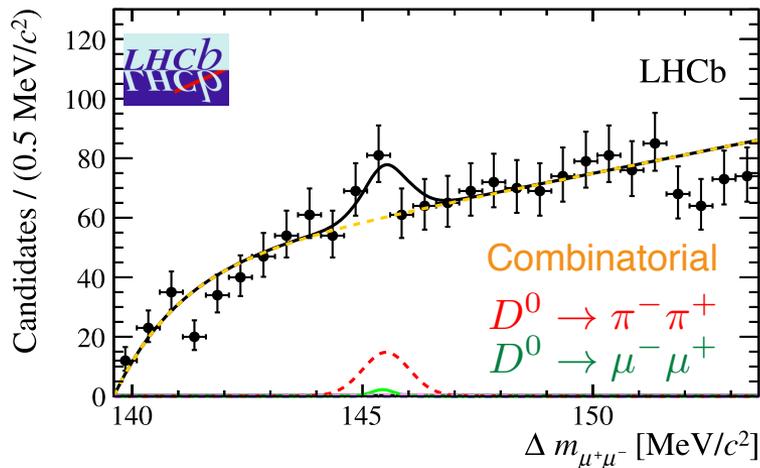


$$D^0 \rightarrow \mu^- \mu^+$$



LHCb 1 fb^{-1} @ 7 TeV
 PLB725,15(2013)

- Use a D^* tag
- Normalization to $D^0 \rightarrow \pi^- \pi^+$
 - main physics background as well (double $\pi^\pm \rightarrow \mu^\pm$ mis-ID)
 - single $\pi^\pm \rightarrow \mu^\pm$ mis-ID probability estimated with $D^0 \rightarrow K^- \pi^+$
 - Double mis-ID $p(D^0 \rightarrow \pi^- \pi^+ \rightarrow \mu^- \mu^+) = (27.43 \pm 3.4 \pm 2.0) \times 10^{-6}$
- Boosted-Decision-Tree trained to suppress combinatorial bkg.



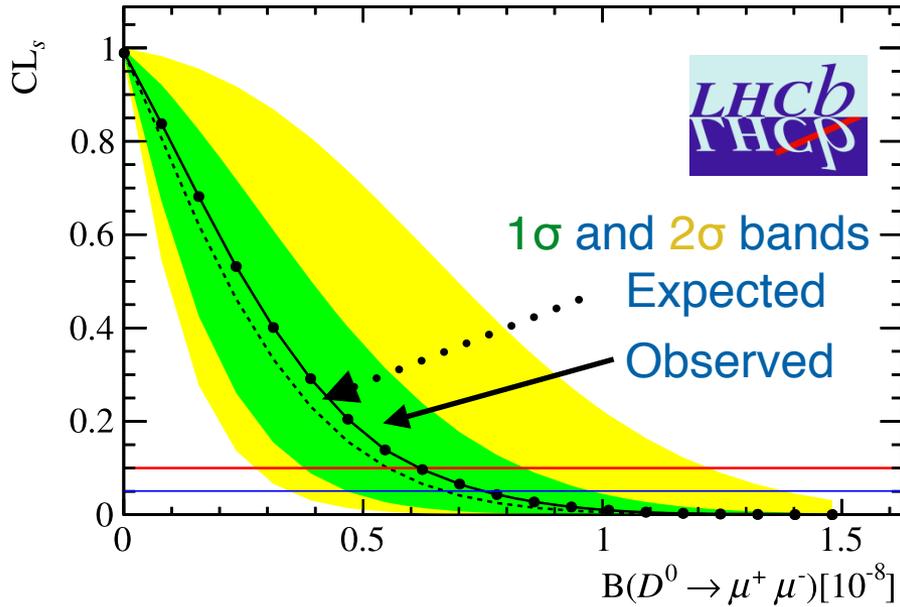
No significant signal is observed





LHCb 1 fb^{-1} @ 7 TeV
 PLB725,15(2013)

U.L. set using CLs method



$\mathcal{B}(D^0 \rightarrow \mu^+ \mu^-) < 6.2 \text{ (7.6)} \times 10^{-9}$ at 90% (95%) CL.

World's best



Belle PRD81,091102(2010)
 $\mathcal{B}(D^0 \rightarrow \mu^- \mu^+) < 1.4 \times 10^{-7}$



CDF PRD82,091105(2010)
 $\mathcal{B}(D^0 \rightarrow \mu^- \mu^+) < 2.1 \times 10^{-7}$



CMS PAS BPH-11-017
 $\mathcal{B}(D^0 \rightarrow \mu^- \mu^+) < 5.4 \times 10^{-7}$

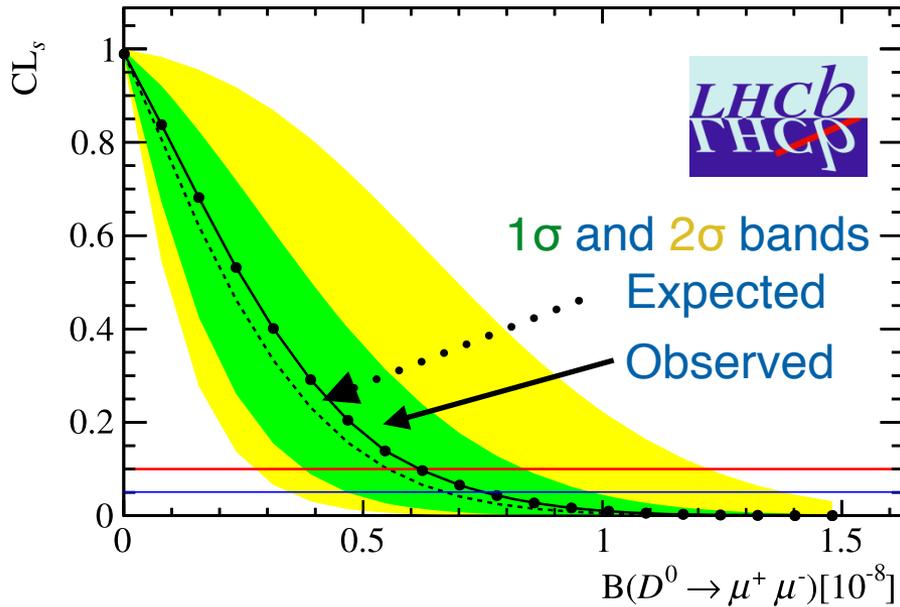
Upper Limits @ 90% C.L.





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*Experiments still $\sim 100 \times \text{SM}$
 and $\sim 10 \times \text{NP}$ predictions*

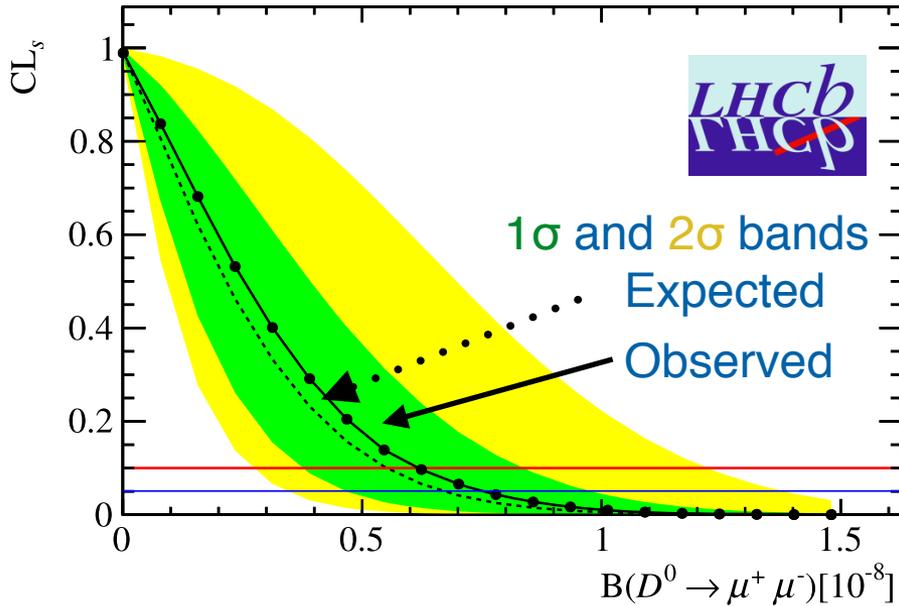
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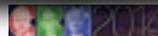
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*Experiments still $\sim 100 \times \text{SM}$
 and $\sim 10 \times \text{NP}$ predictions*



LHCb Upgrade
 50 fb^{-1} @ 14 TeV ($2 \times \sigma_{c\bar{c}}$)
 $\mathcal{B}(D^0 \rightarrow \mu^- \mu^+) < 5 \times 10^{-10}$



$$D^0 \rightarrow \ell^- \ell^+$$

- Use a D^* tag
- Normalization to $D^0 \rightarrow \pi^- \pi^+$
 - main physics background as well
 - mis-ID probability estimated with $D^0 \rightarrow K^- \pi^+$

U.L. @ 90% C.L.

$$\mathcal{B}(D^0 \rightarrow \mu^+ \mu^-) < 1.4 \cdot 10^{-7}$$

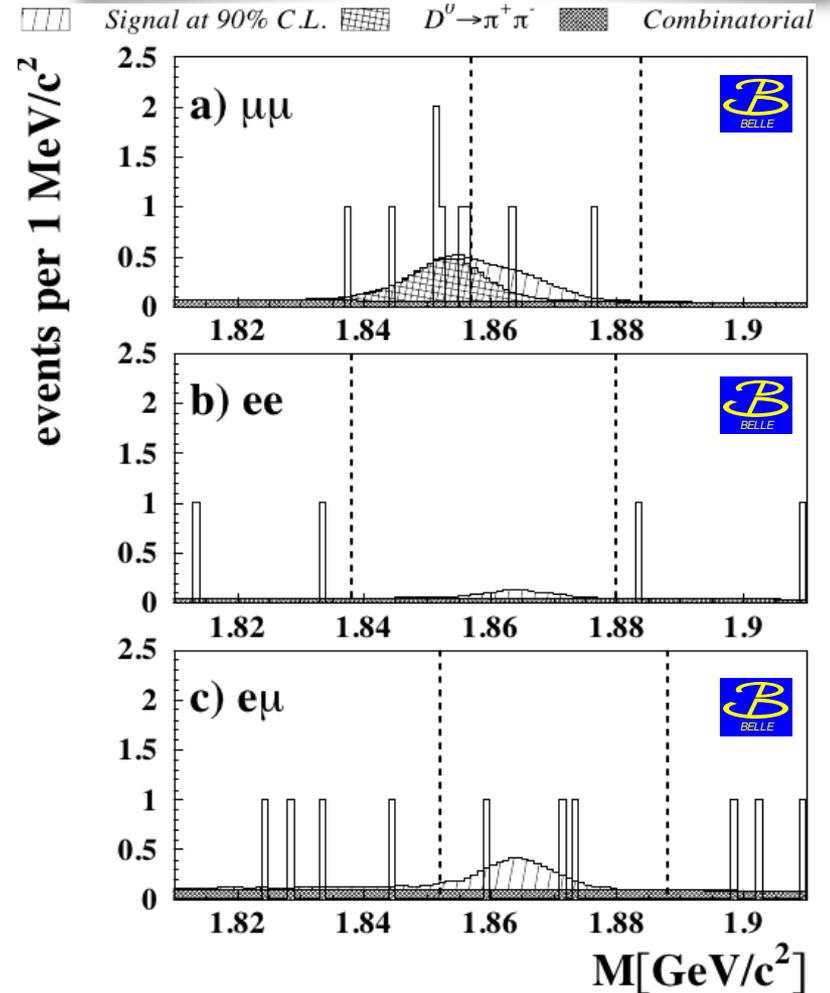
$$\mathcal{B}(D^0 \rightarrow e^+ e^-) < 7.9 \cdot 10^{-8}$$

$$\mathcal{B}(D^0 \rightarrow e^\pm \mu^\mp) < 2.6 \cdot 10^{-7}$$

World's best



Belle 660 fb^{-1} @ $\Upsilon(4S)$
PRD81,091102(2010)



$$D^0 \rightarrow \ell^- \ell^+$$

- Use a D^* tag
- Normalization to $D^0 \rightarrow \pi^- \pi^+$
 - main physics background as well
 - mis-ID probability estimated with $D^0 \rightarrow K^- \pi^+$

U.L. @ 90% C.L.

$$\mathcal{B}(D^0 \rightarrow \mu^+ \mu^-) < 1.4 \cdot 10^{-7}$$

$$\mathcal{B}(D^0 \rightarrow e^+ e^-) < 7.9 \cdot 10^{-8}$$

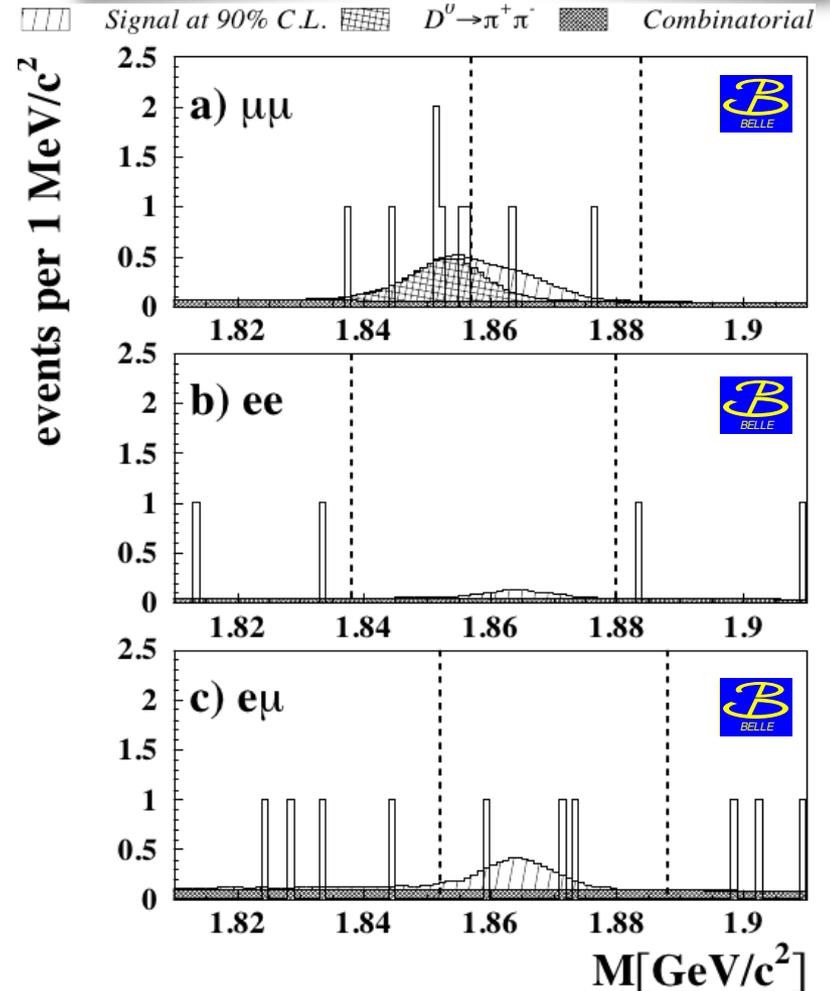
$$\mathcal{B}(D^0 \rightarrow e^\pm \mu^\mp) < 2.6 \cdot 10^{-7}$$

World's best

*Belle II can improve these limits
by an order of magnitude*



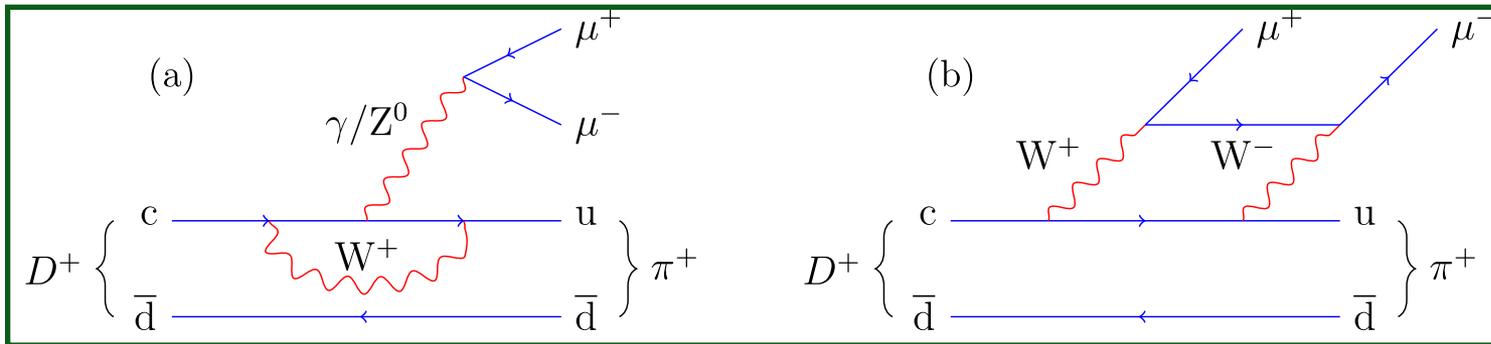
Belle 660 fb^{-1} @ $\Upsilon(4S)$
PRD81,091102(2010)



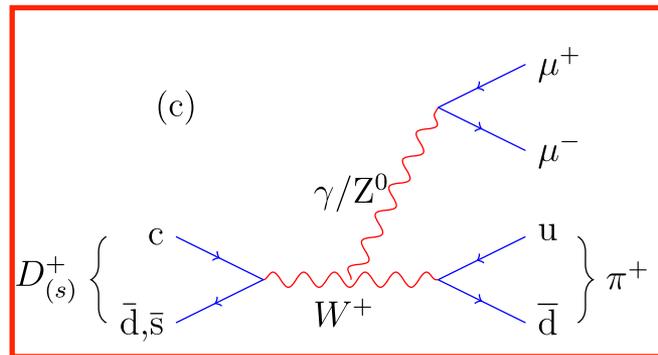
$$D_{(s)}^+ \rightarrow \pi^+ \mu^- \mu^+$$

- Include $D_s^+ \rightarrow \pi^+ \mu^- \mu^+$ (not FCNC decay) to control (normalize) possible weak annihilation contributions in $D^+ \rightarrow \pi^+ \mu^- \mu^+$ decays

FCNC



weak annihilation

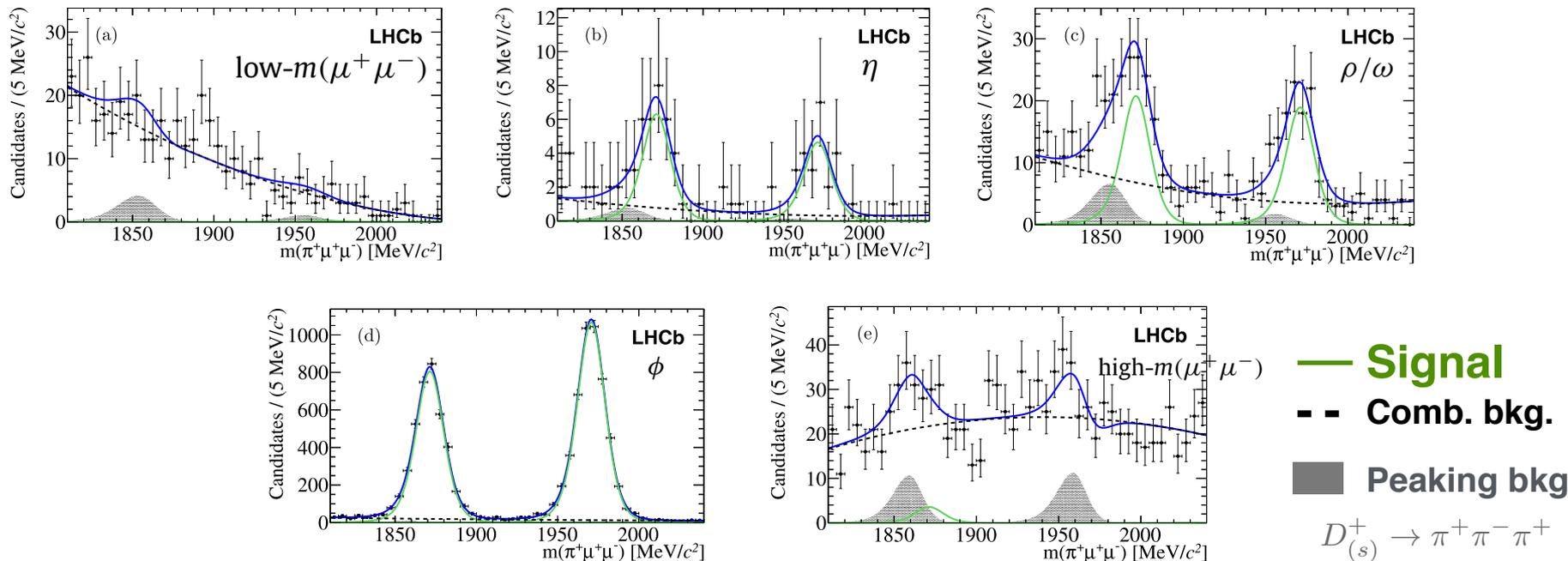


$$D_{(s)}^+ \rightarrow \pi^+ \mu^- \mu^+$$



LHCb 1 fb^{-1} @ 7 TeV
 PLB724,203(2013)

- Same approach used as for $D^0 \rightarrow \mu^- \mu^+$
- Use $D_{(s)}^+ \rightarrow \pi^+ \phi (\rightarrow \mu^- \mu^+)$ as a reference channel
 - serves as signal proxy to optimize the selection (BDT & muon ID)
- Extract signal in 5 $m(\mu^- \mu^+)$ bins

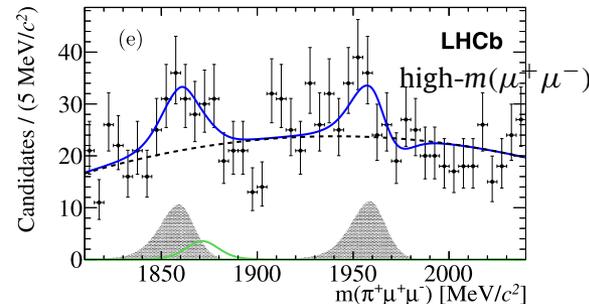
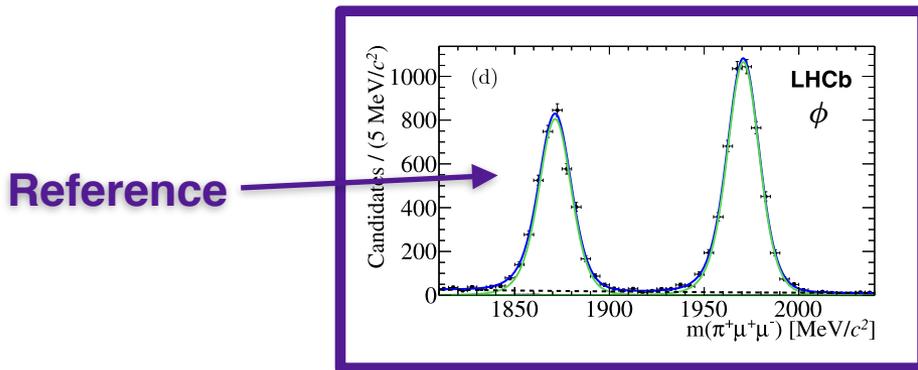
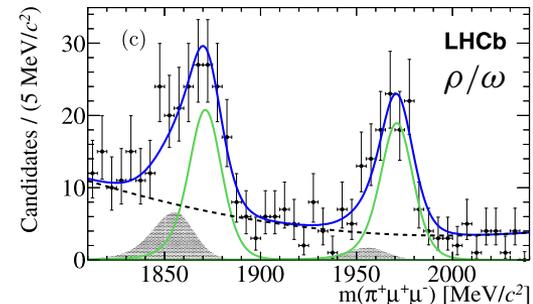
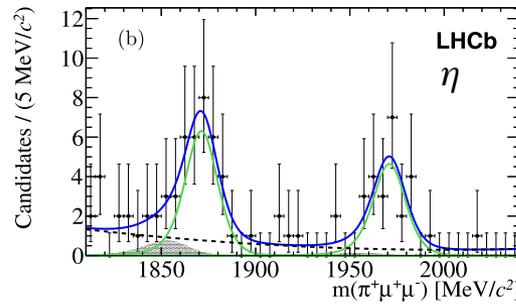
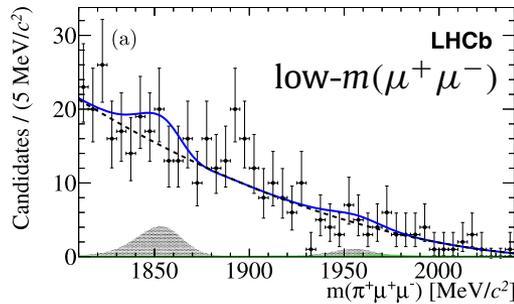


$$D_{(s)}^+ \rightarrow \pi^+ \mu^- \mu^+$$



LHCb 1 fb^{-1} @ 7 TeV
 PLB724,203(2013)

- Same approach used as for $D^0 \rightarrow \mu^- \mu^+$
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— **Signal**
 - - **Comb. bkg.**
 ■ **Peaking bkg**

$D_{(s)}^+ \rightarrow \pi^+ \pi^- \pi^+$

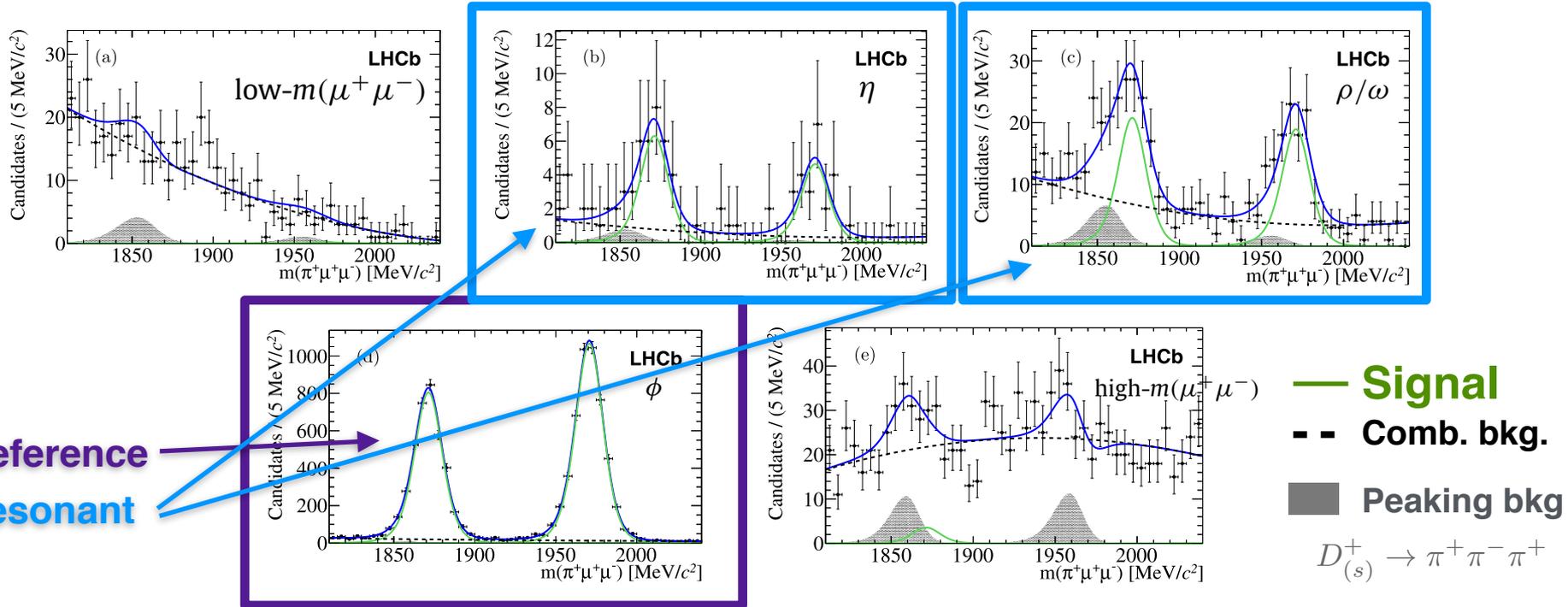


$$D_{(s)}^+ \rightarrow \pi^+ \mu^- \mu^+$$



LHCb 1 fb^{-1} @ 7 TeV
 PLB724,203(2013)

- Same approach used as for $D^0 \rightarrow \mu^- \mu^+$
- Use $D_{(s)}^+ \rightarrow \pi^+ \phi (\rightarrow \mu^- \mu^+)$ as a reference channel
 - serves as signal proxy to optimize the selection (BDT & muon ID)
- Extract signal in 5 $m(\mu^- \mu^+)$ bins

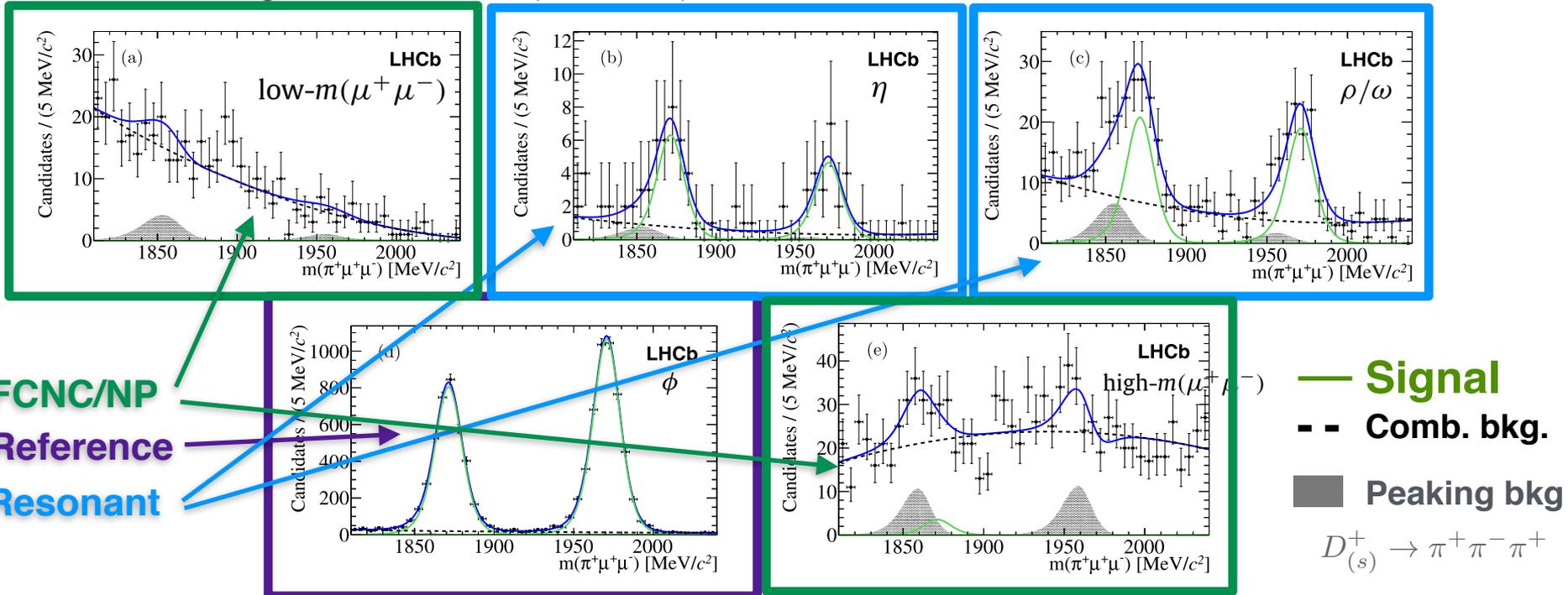


$$D_{(s)}^+ \rightarrow \pi^+ \mu^- \mu^+$$



LHCb 1 fb^{-1} @ 7 TeV
 PLB724,203(2013)

- Same approach used as for $D^0 \rightarrow \mu^- \mu^+$
- Use $D_{(s)}^+ \rightarrow \pi^+ \phi (\rightarrow \mu^- \mu^+)$ as a reference channel
 - serves as signal proxy to optimize the selection (BDT & muon ID)
- Extract signal in 5 $m(\mu^- \mu^+)$ bins



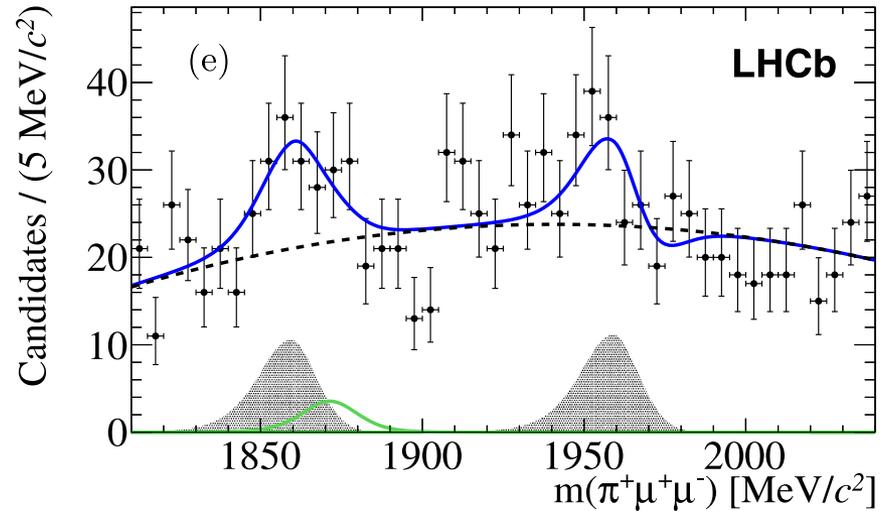
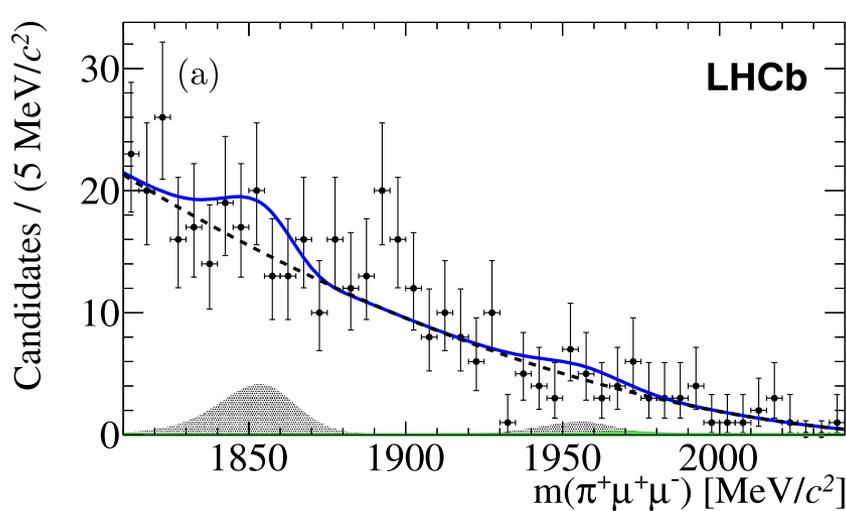
$$D_{(s)}^+ \rightarrow \pi^+ \mu^- \mu^+$$



LHCb 1 fb^{-1} @ 7 TeV
PLB724,203(2013)

- Same approach used as for $D^0 \rightarrow \mu^- \mu^+$
- Use $D_{(s)}^+ \rightarrow \pi^+ \phi (\rightarrow \mu^- \mu^+)$ as a reference channel
 - serves as signal proxy to optimize the selection (BDT & muon ID)
- Extract signal in 5 $m(\mu^- \mu^+)$ bins

FCNC/NP di-muon mass regions



bkg.
bkg
 π^+



$$D_{(s)}^+ \rightarrow \pi^+ \mu^- \mu^+$$



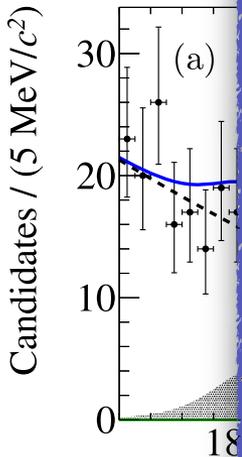
LHCb 1 fb^{-1} @ 7 TeV
PLB724,203(2013)

- Same approach used as for $D^0 \rightarrow \mu^- \mu^+$
- Use $D_{(s)}^+$ (muon ID)
 - serves
- Extract

No signal observed
in the non-resonant regions

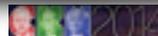
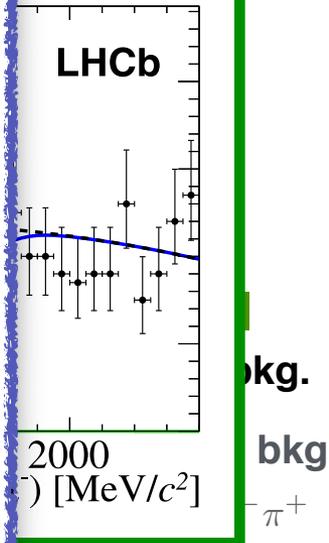
Decay	Bin	90% [$\times 10^{-8}$]
$D^+ \rightarrow \pi^+ \mu^+ \mu^-$	low- $m(\mu^+ \mu^-)$	2.0
	high- $m(\mu^+ \mu^-)$	2.6
	Total	7.3
$D_s^+ \rightarrow \pi^+ \mu^+ \mu^-$	low- $m(\mu^+ \mu^-)$	6.9
	high- $m(\mu^+ \mu^-)$	16.0
	Total	41.0

U.L. @ 90% C.L.



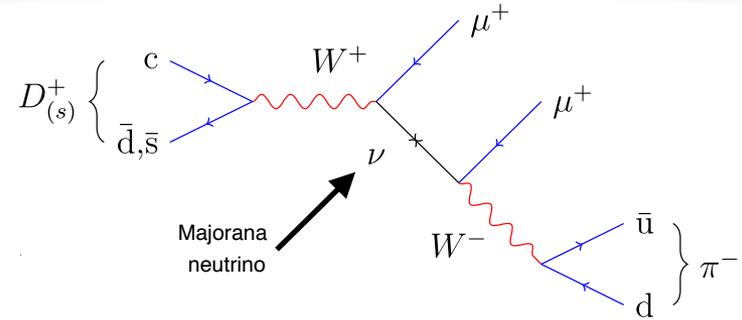
World's best, 50-100x better than BaBar and D0

Still above the highest NP predictions $\mathcal{O}(10^{-8})$



$$D_{(s)}^+ \rightarrow \pi^- \mu^+ \mu^+$$

- Lepton Number Violating process
- extract signal in 4 bins of $m(\pi\mu)$
- same approach as $D_{(s)}^+ \rightarrow \pi^+ \mu^- \mu^+$

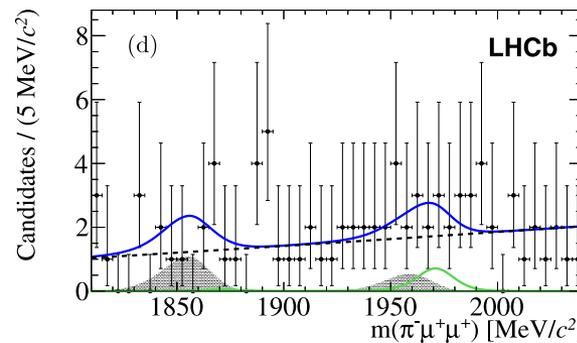
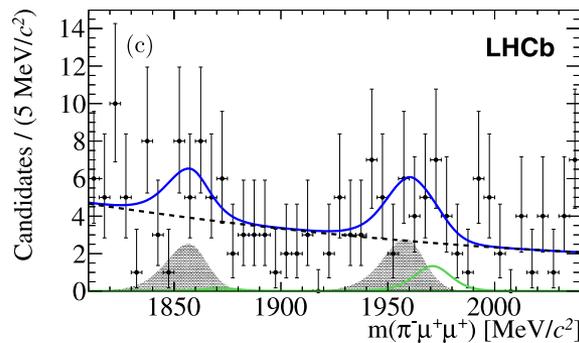
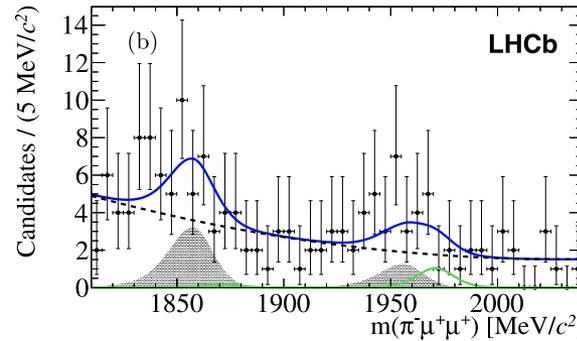
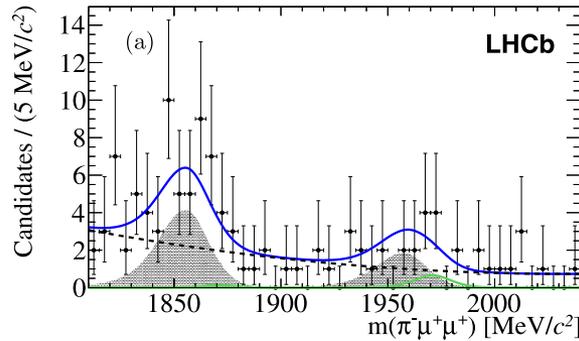
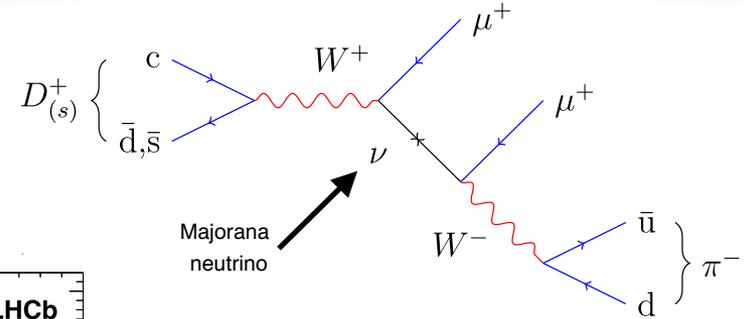


$$D_{(s)}^+ \rightarrow \pi^- \mu^+ \mu^+$$



LHCb 1 fb^{-1} @ 7 TeV
 PLB724,203(2013)

- Lepton Number Violating process
- extract signal in 4 bins of $m(\pi\mu)$
- same approach as $D_{(s)}^+ \rightarrow \pi^+ \mu^- \mu^+$



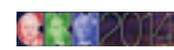
U.L. @ 90% C.L.

$$\mathcal{B}(D^+ \rightarrow \pi^- \mu^+ \mu^+) < 2.2 \times 10^{-8}$$

$$\mathcal{B}(D_s^+ \rightarrow \pi^- \mu^+ \mu^+) < 12 \times 10^{-8}$$

Two orders of magnitude below $h^- e^+ e^+$ modes studied by BaBar and CLEO-c

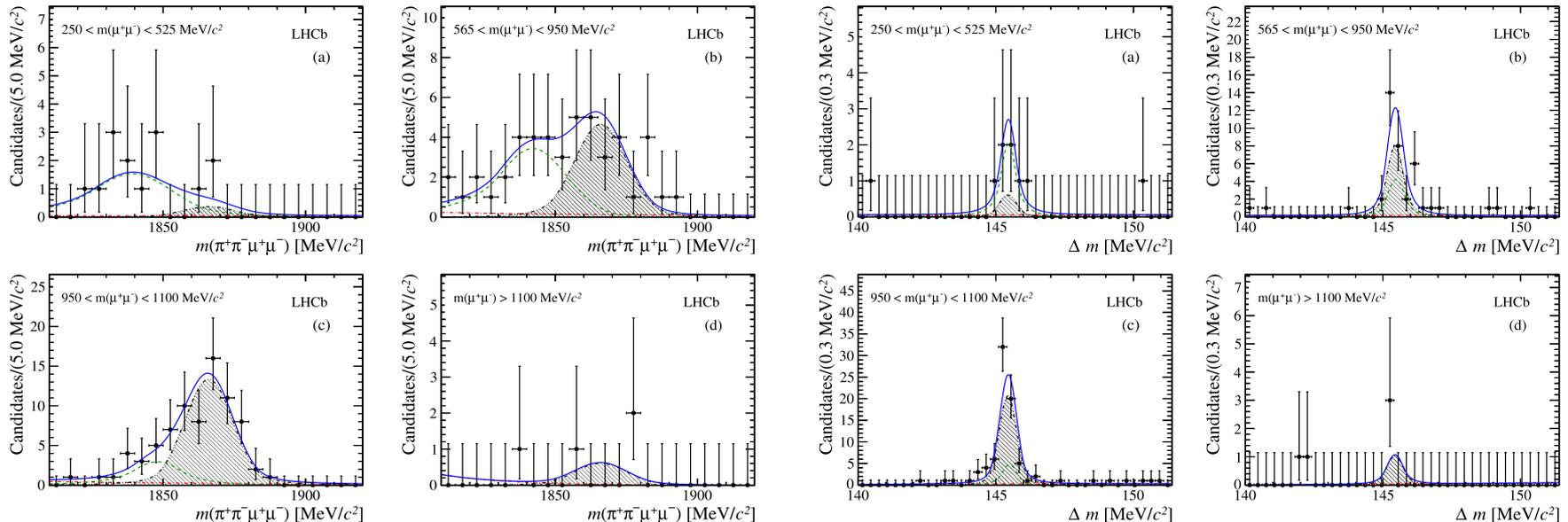
— Signal - - Comb. bkg. ■ Peaking bkg $D_{(s)}^+ \rightarrow \pi^+ \pi^- \pi^+$



$$D^0 \rightarrow \pi^- \pi^+ \mu^- \mu^+$$



- Essentially the same approach as for $D_{(s)}^+ \rightarrow \pi^+ \mu^- \mu^+$
- Use a D^* tag (cleans up the sample, but eff. x 1/10)
- Use $D^0 \rightarrow \pi^+ \pi^- \phi (\rightarrow \mu^- \mu^+)$ as a reference channel
 - only 100 events expected (lower eff., $\mathcal{B} \sim 5 \times 10^{-7}$, D^* x-section)
- Dominant background from $D^0 \rightarrow \pi^+ \pi^- \pi^+ \pi^-$



..... **Comb. bkg.** - - - - **Peaking bkg.** $D^0 \rightarrow \pi^+ \pi^- \pi^+ \pi^-$

Signal



$$D^0 \rightarrow \pi^- \pi^+ \mu^- \mu^+$$



- Essential to understand the non-resonant background for $D^0 \rightarrow \pi^+ \pi^- \mu^+ \mu^-$
- Use a Δm cut to suppress the $D^0 \rightarrow \pi^+ \pi^- \mu^+ \mu^-$ background
- Use $m(\mu^+ \mu^-)$ to suppress the $D^0 \rightarrow \pi^+ \pi^- \mu^+ \mu^-$ background
- only $D^0 \rightarrow \pi^+ \pi^- \mu^+ \mu^-$ background
- Dominant background is $D^0 \rightarrow \pi^+ \pi^- \mu^+ \mu^-$

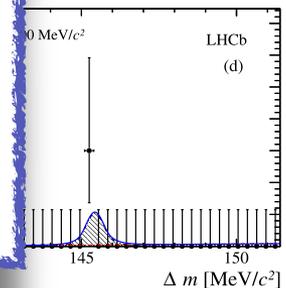
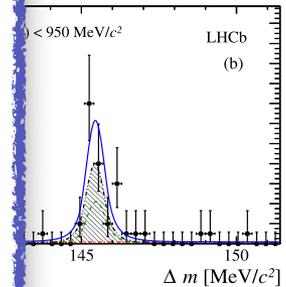
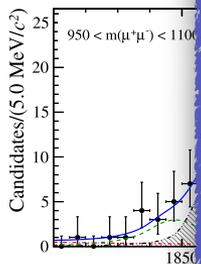
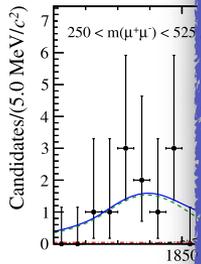
No signal observed
in the non-resonant regions

Range description	$m(\mu^+ \mu^-)$ [MeV/c ²]	$D^0 \rightarrow \pi^+ \pi^- \mu^+ \mu^-$ yield
low- $m(\mu^+ \mu^-)$	250–525	2 ± 2
ρ/ω	565–950	23 ± 6
ϕ	950–1100	63 ± 10
high- $m(\mu^+ \mu^-)$	> 1100	3 ± 2

Region	U.L. @ 90% [$\times 10^{-7}$]
low- $m(\mu^+ \mu^-)$	2.3
high- $m(\mu^+ \mu^-)$	1.0
Total	5.5

World's best

Still 1 or 2 order of magnitude above NP predictions



..... Comb. bkg. - - - - Peaking bkg. $D^0 \rightarrow \pi^+ \pi^- \mu^+ \mu^-$ Signal



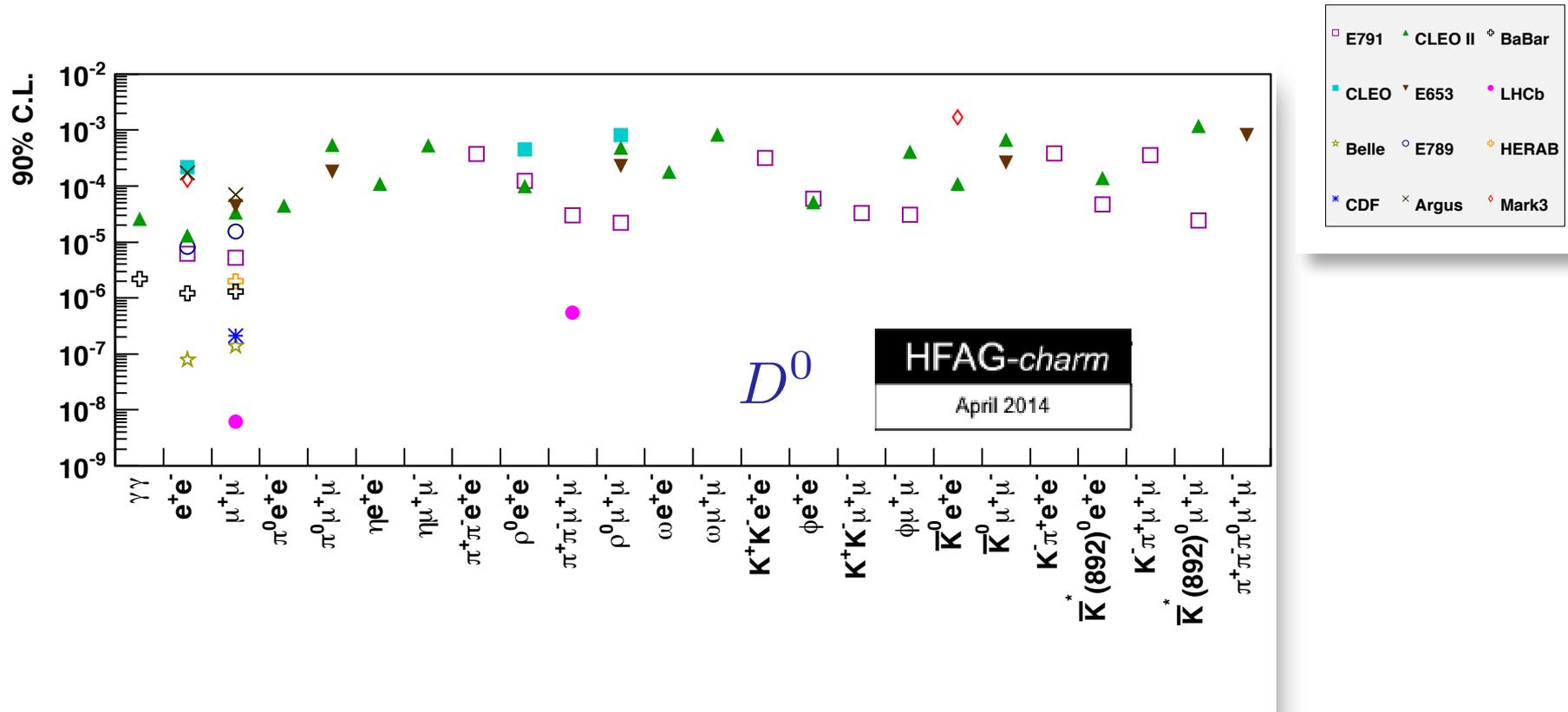
+ Many more

- references and limits of all rare decay searches can be found at the HFAG web-page: http://www.slac.stanford.edu/xorg/hfag/charm/April14/Rare/rare_charm.html



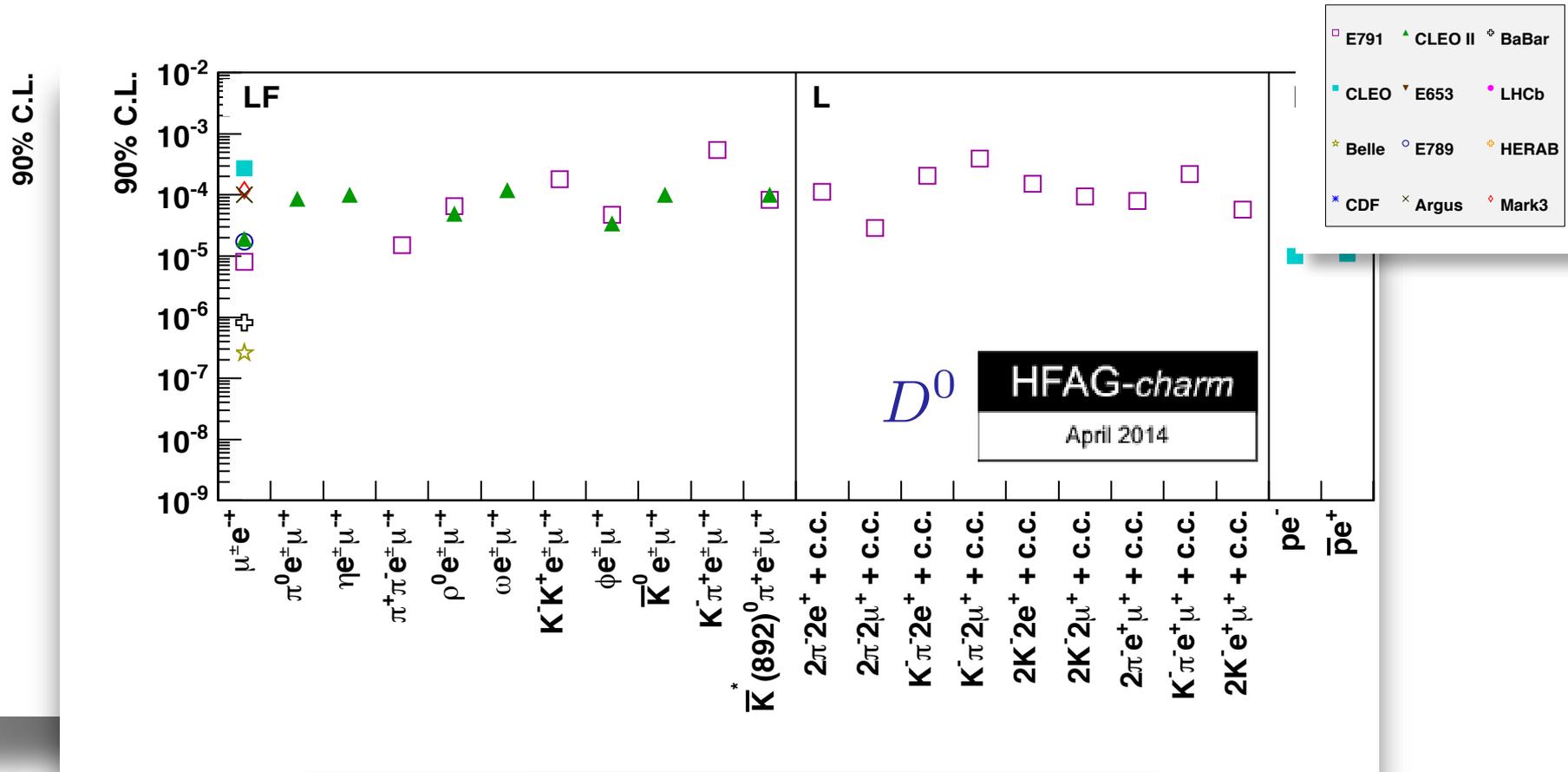
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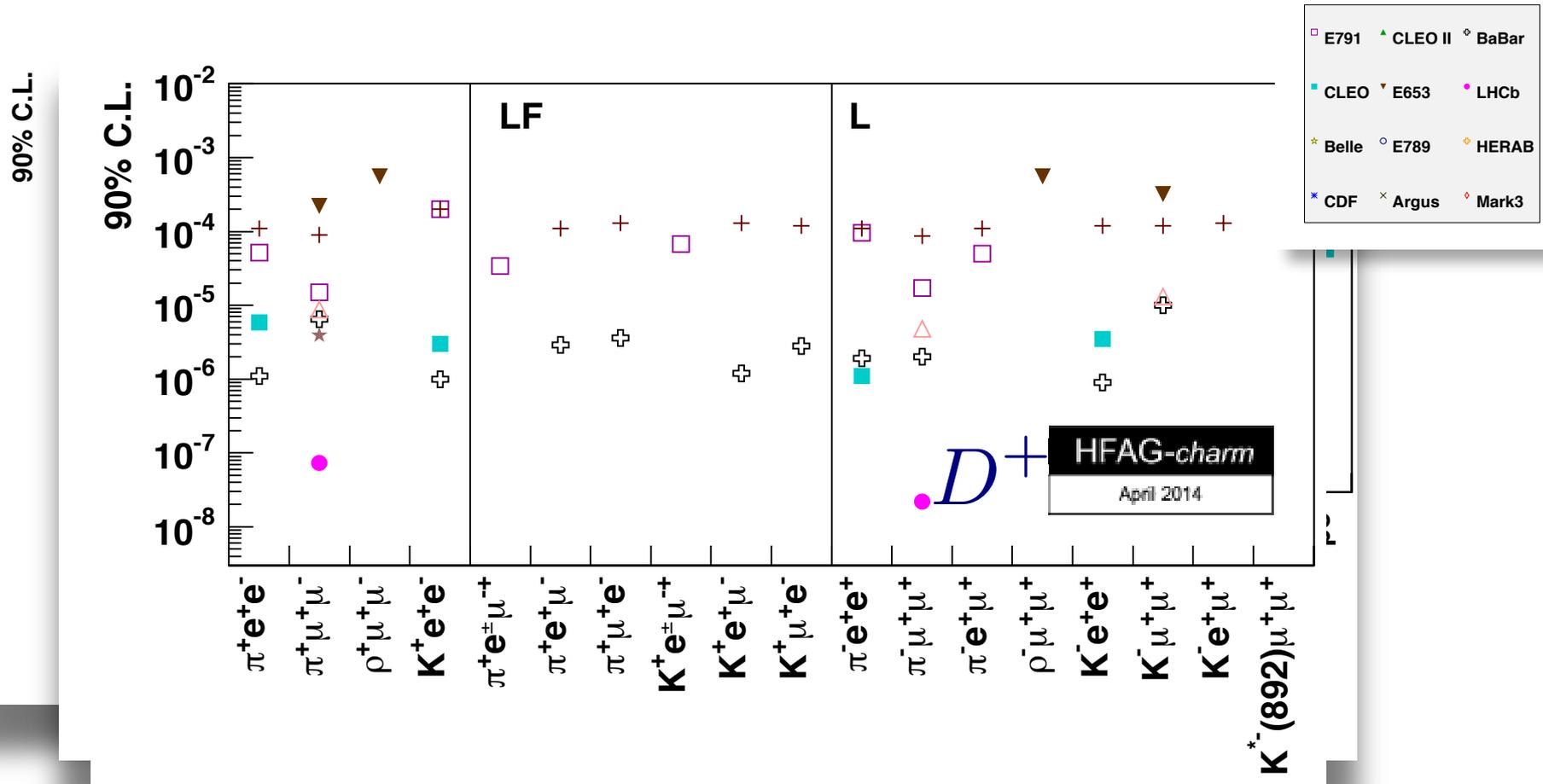
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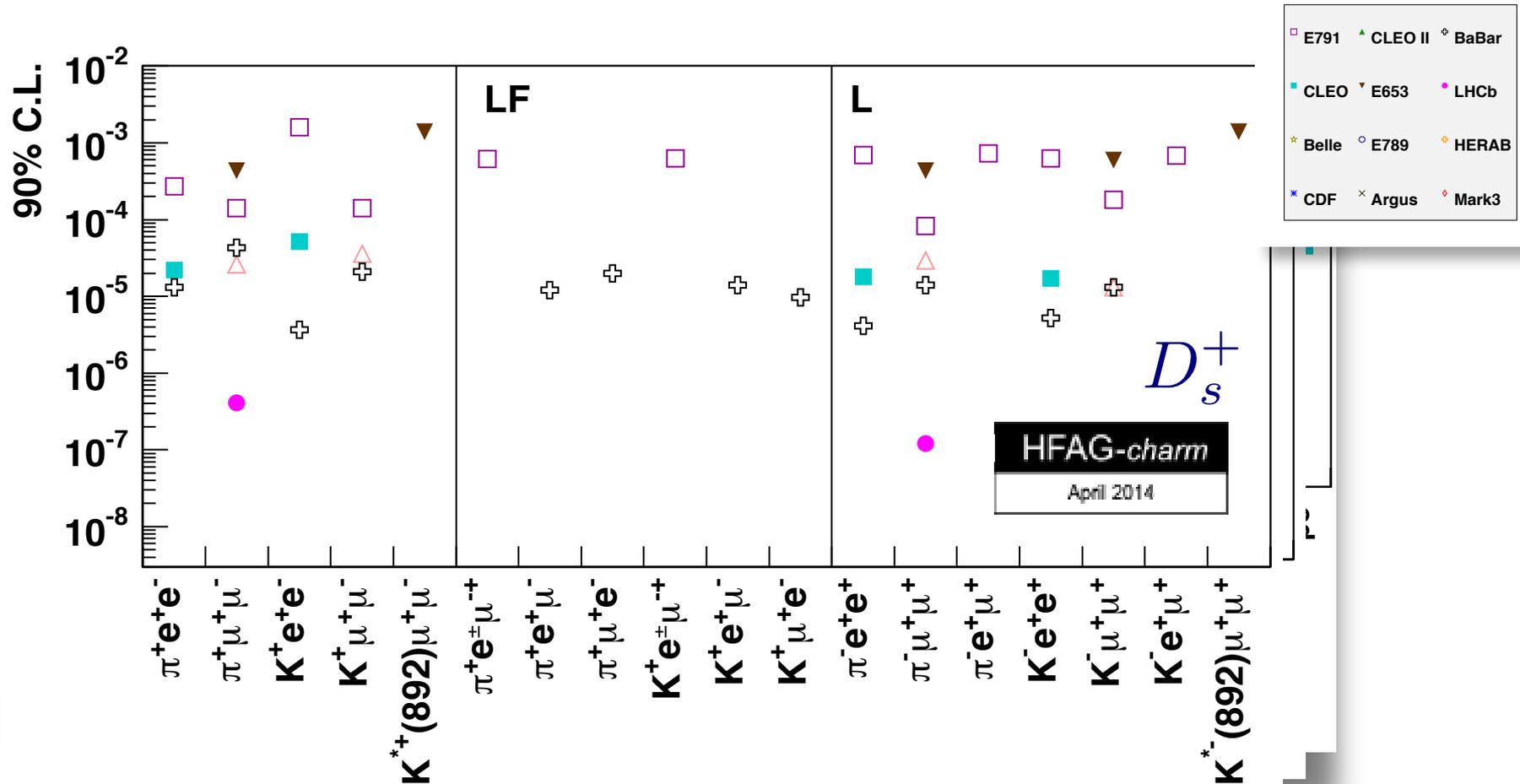
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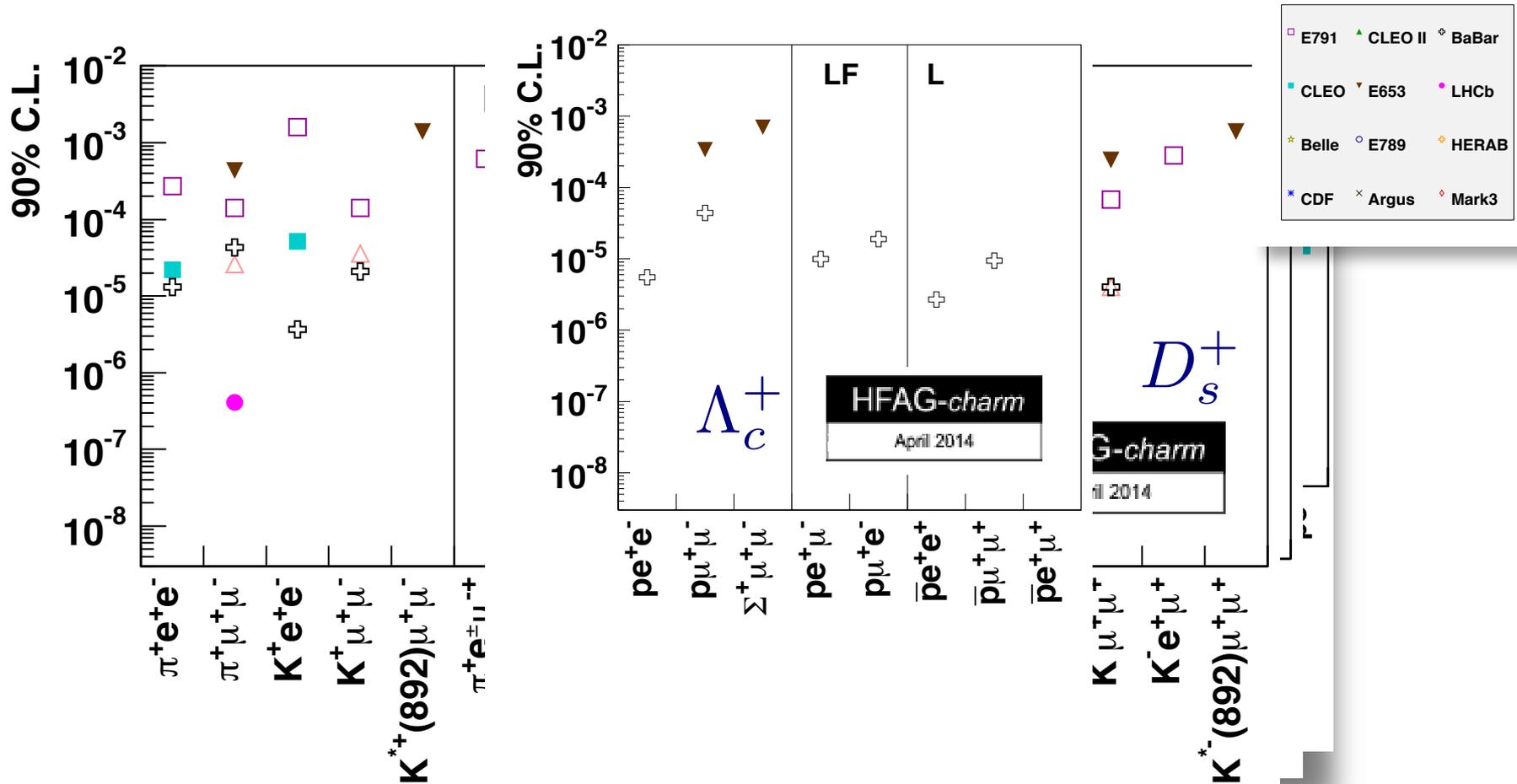
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+ Many more

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Conclusions

- Many rare charm decay modes searched for in last 15 years by CLEO, BESIII, E791, BaBar, Belle, D0, CMS, LHCb, ...
- Starting to reach the level of the most optimistic New Physics predictions
- Order(s) of magnitude larger samples collected by LHCb, Belle II could improve the limits by order of magnitude
- Another opportunity are other observables (CP and angular asymmetries) in radiative and $D \rightarrow hh(\ell\ell)_V$ decays that might be sensitive to New Physics processes

