

# Heavy Quark Expansion Matrix Elements: Continuum Approaches

Sascha Turczyk

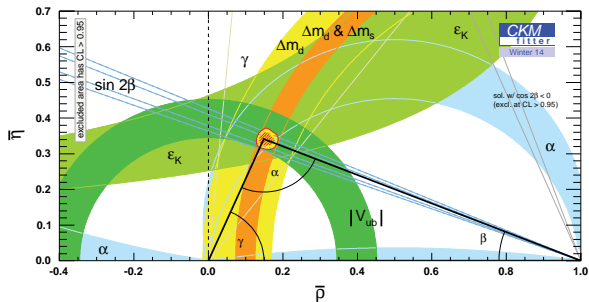
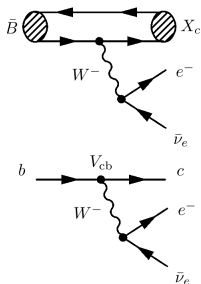


JOHANNES GUTENBERG  
UNIVERSITÄT MAINZ

Lattice Meets Continuum: QCD Calculations in Flavour Physics  
Monday, September 29th, 2014

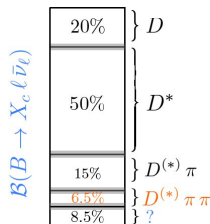
# Outline

- 1 Introduction
  - Motivation
  - Heavy Quark Effective Theory
- 2 Higher Order Corrections
  - Heavy Quark Matrix Elements
  - Some Formal Subtleties
  - Computing the Observables
- 3 Numerical Discussion
  - Direct Effect
  - Indirect Effect
  - Discussion

Consider inclusive semi-leptonic decay  $B \rightarrow X_c \ell \bar{\nu}_\ell$ Input of  $|V_{cb}|$ 

- Important ingredient for UT:  $V_{ub}^* V_{ud} + V_{tb}^* V_{td} + V_{cb}^* V_{cd} = 0$
- Determination of  $\epsilon_K$  depends on  $|V_{cb}|^4$ :  $\sim 35\%$  of error budget!  
[1009.0947 [hep-ph], 0805.3887 [hep-ph]]

Charm state $X_c$	$\mathcal{B}(B^+ \rightarrow X_c \ell^+ \nu)$	
$D$	$(2.31 \pm 0.09) \%$	
$D^*$	$(5.63 \pm 0.18) \%$	
$\Sigma D^{(*)}$	$(7.94 \pm 0.20) \%$	$\left. \begin{array}{l} \text{broad states} \\ (0.86 \pm 0.12) \% \\ \text{narrow states} \\ (0.84 \pm 0.04) \% \end{array} \right\}$
$D_0^* \rightarrow D \pi$	$(0.41 \pm 0.08) \%$	
$D_1^* \rightarrow D^* \pi$	$(0.45 \pm 0.09) \%$	
$D_1 \rightarrow D^* \pi$	$(0.43 \pm 0.03) \%$	
$D_2^* \rightarrow D^{(*)} \pi$	$(0.41 \pm 0.03) \%$	
$\Sigma D^{**} \rightarrow D^* \pi$	$(1.70 \pm 0.12) \%$	
$D \pi$	$(0.66 \pm 0.08) \%$	
$D^* \pi$	$(0.87 \pm 0.10) \%$	
$\Sigma D^* \pi$	$(1.53 \pm 0.13) \%$	
$\Sigma D^{(*)} + \Sigma D^* \pi$	$(9.47 \pm 0.24) \%$	
$\Sigma D^{(*)} + \Sigma D^{**} \rightarrow D^{(*)} \pi$	$(9.64 \pm 0.23) \%$	
Inclusive $X_c$	$(10.92 \pm 0.16) \%$	



New Measurement of

$$B \rightarrow D^{(*)} \pi^+ \pi^- \ell \bar{\nu}_\ell$$

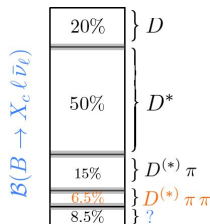
Reduces Gap to  $\sim 3\sigma$   
[Bernlochner,CKM2014]Gap  $\sim 5 - 7\sigma$  [Bernlochner,ST,CKM2012]

Results from [PDG 2014]

$$|V_{cb}|^{\text{excl.}} = (39.5 \pm 0.8) \cdot 10^{-3}$$

$$|V_{cb}|^{\text{incl.}} = (42.4 \pm 0.9) \cdot 10^{-3}$$

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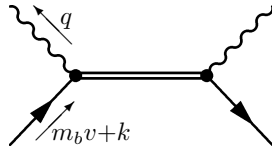
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## Decay Rate

$$d\Gamma = 16\pi G_F^2 |V_{cb}|^2 W_{\mu\nu} L^{\mu\nu} d\phi$$

- Starting point:  $W_{\mu\nu} = -\frac{1}{\pi} \text{Im} T_{\mu\nu}$   
Correlator of two hadronic currents

$$iT_{\mu\nu} = \frac{1}{2M_B} \int d^4x e^{-ix(m_b v - q)} \\ \times \langle B | \bar{b}_\nu(x) \Gamma_\nu^\dagger T [c(x) \bar{c}(0)] \Gamma_\mu b_\nu(0) | B \rangle$$



## Expansion of the Rate

- Rate can be written as

$$\Gamma = \Gamma_0 + \frac{1}{m_b^2} \sum_i C_2^i(\alpha_s) \mathcal{O}_5^i + \frac{1}{m_b^3} \sum_i C_3^i \mathcal{O}_6^i + \dots$$

- Each Wilson Coefficient  $C_j^i$  has a power series in  $\alpha_s$

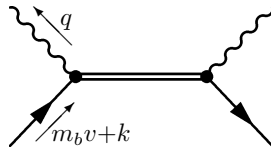
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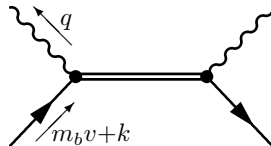
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# Background Field Method

## Heavy Quark Matrix Elements

$$2M_B T_{\mu\nu} = \langle B(p) | \bar{b}_\nu \gamma_\nu P_L S_{\text{BGF}} \gamma_\mu P_L b_\nu | B(p) \rangle$$

## Parametrize Background Field Propagator

- Remove only large momentum:  $p_b = m_b v + k$ ,  $b_\nu(x) = e^{im_b v \cdot x} b(x)$
- Background field propagator:

$$iS_{\text{BGF}} = \frac{i}{m_b \not{v} - \not{q} + i\not{D} - m_c}$$

- HQE corresponds to expand  $S_{\text{BGF}}$  in small quantity  $i\not{D}$

$$S_{\text{BGF}} = \sum_{n=0} (-1)^n \frac{1}{\not{Q} - m_c} (i\not{D} \frac{1}{\not{Q} - m_c})^n$$

⇒ Keeps track on the ordering of the covariant derivatives

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## General Structure in each Order

- “Trace-formulae”: Non-perturbative input in Dimension  $n + 3$

$$\langle B(p) | \bar{b}_{v,\alpha} (iD_{\mu_1}) \dots (iD_{\mu_n}) b_{v,\beta} | B(p) \rangle = \sum_i \hat{\Gamma}_{\beta\alpha}^{(i)} A_{\mu_1\mu_2\dots\mu_n}^{(i)}$$

- “Off-shellness” The imaginary part is given by

$$-\frac{1}{\pi} \text{Im} \frac{1}{(Q^2 - m_c^2 + i\epsilon)^{n+1}} = \frac{(-1)^n}{n!} \delta^{(n)}(Q^2 - m_c^2)$$

## Comment on Uncertainties

- Truncation of Series and Parametric Uncertainties
- Duality Violation [Shifman, hep-ph/0009131]
  - 1 In Instanton model suppressed by  $1/m_b^3$
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		$1/m_b^n$				
$\alpha_s^n$	n	0	2	3	4	5
	0	●	●	●	● <sup>a</sup>	● <sup>b</sup>
	1	●	● <sup>c</sup>	—	—	—
	2	● <sup>d</sup>	—	—	—	—
	3	○ <sup>e</sup>	—	—	—	—

a [Dassinger, Mannel, ST] [JHEP 0703 \(2007\) 087](#)

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e Only BLM corrections / special kinematical point

## Topics Addressed in this Talk: Heavy Quark Matrix Elements

- Explicit Corrections to order  $1/m_b^4$  and  $1/m_b^5$

- Subtleties concerning final state charm quark  $m_c$

⇒ Estimate of HQE ME Size and Impact on  $|V_{cb}|$  determination

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# Non-Perturbative Parameter

To Order  $1/m_b^2$

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$$2M_B\rho_D^3 = 1/2 \langle B(p) | \bar{b}_v [(iD_{\perp,\mu}), [(iv \cdot D), (iD_\perp^\mu)]] b_v | B(p) \rangle \\ \hat{=} \langle \nabla \cdot \mathbf{E} \rangle$$

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# Higher Orders

## Dimension - 7: $1/m_b^4$

- 4 Spin independent parameter
- 5 Spin dependent parameters

## Dimension - 8: $1/m_b^5$

- Proliferation of parameters
- 8 Spin independent parameter
- 10 Spin dependent parameter

## Problem in Experiment

- All parameters have to be extracted from correlated measurements
- ⇒ Not reliably possible
- ⇒ Estimate parameters and use this to estimate influence

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# Lowest-Lying State Approximation (LLSA)

[Mannel,Uraltsev,ST,JHEP 1011 (2010) 109], improved [Heinonen,Mannel,1407.4384]

- Insert complete set to decompose matrix elements

$$\sum_n |n\rangle\langle n| = \sum_{\text{pol}} \int d\tilde{p} \left[ |1^+, \frac{1}{2}\rangle\langle 1^+, \frac{1}{2}| + |1^+, \frac{3}{2}\rangle\langle 1^+, \frac{3}{2}| \right] + \dots$$

⇒ Express higher order M.E. through product of lower orders

## Master Equation

[Heinonen,Mannel,1407.4384]

$$\begin{aligned} \sum_{k=0}^{\infty} \sum_n (2\pi)^3 \delta^3(p_n^\perp) \left( \frac{-\epsilon_n}{\omega} \right)^k \langle B(p_B) | \bar{b}_V \mathcal{P}_1 Q_V | n \rangle \langle n | \bar{Q}_V \mathcal{P}_2 \Gamma b_V | B(p_B) \rangle \\ = \sum_{k=0}^{\infty} \langle B(p_B) | \bar{b}_V \mathcal{P}_1 \left( \frac{i v \cdot D}{\omega} \right)^k \left( \frac{1 + \not{v}}{2} \right) \mathcal{P}_2 \Gamma b_V | B(p_B) \rangle \end{aligned}$$

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## Known Parameters [Heinonen,Mannel], [Fit] [Gambino,Schwanda, PRD89,014022]

$$\mu_\pi^2 = 0.414 \text{ GeV}^2 \quad \mu_G^2 = 0.340 \text{ GeV}^2 \quad \epsilon_{1/2} = 0.390 \text{ GeV} \quad \epsilon_{3/2} = 0.476 \text{ GeV}$$

$$\rho_D^3 = \frac{1}{3}\epsilon_{1/2}(\mu_\pi^2 - \mu_G^2) + \frac{1}{3}\epsilon_{3/2}(2\mu_\pi^2 + \mu_G^2) = 0.21 \text{ GeV}^3 \quad [0.154 \pm 0.045]$$

$$\rho_{LS}^3 = \frac{2}{3}\epsilon_{1/2}(\mu_\pi^2 - \mu_G^2) - \frac{1}{3}\epsilon_{3/2}(2\mu_\pi^2 + \mu_G^2) = -0.17 \text{ GeV}^3 \quad [-0.147 \pm 0.098]$$

## A Comment on Precision

[Heinonen,Mannel]

- Some minor discrepancies to be clarified
- Estimate of Series Truncation  $\sim$  Duality violation

$\Rightarrow$  Model with sum of infinitely narrow resonances [Shifman,hep-ph/0009131]

- Relative Uncertainty of truncating after first term and analytic sum

$$\left[ \frac{\pi^2}{6} \right] - 1 \sim 64\%$$

- Large corrections to LLSA have previously been found

[Gambino,Mannel,Uraltsev,JHEP,1210,169 (2012)]



## Known Parameters [Heinonen,Mannel], [Fit] [Gambino,Schwanda, PRD89,014022]

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$$\rho_D^3 = \frac{1}{3}\epsilon_{1/2}(\mu_\pi^2 - \mu_G^2) + \frac{1}{3}\epsilon_{3/2}(2\mu_\pi^2 + \mu_G^2) = 0.21 \text{ GeV}^3 \quad [0.154 \pm 0.045]$$

$$\rho_{LS}^3 = \frac{2}{3}\epsilon_{1/2}(\mu_\pi^2 - \mu_G^2) - \frac{1}{3}\epsilon_{3/2}(2\mu_\pi^2 + \mu_G^2) = -0.17 \text{ GeV}^3 \quad [-0.147 \pm 0.098]$$

## A Comment on Precision

[Heinonen,Mannel]

- Some minor discrepancies to be clarified
  - Estimate of Series Truncation  $\sim$  Duality violation
- $\Rightarrow$  Model with sum of infinitely narrow resonances [Shifman,hep-ph/0009131]

- Relative Uncertainty of truncating after first term and analytic sum

$$\left[ \frac{\pi^2}{6} \right] - 1 \sim 64\%$$

- Large corrections to LLSA have previously been found  
[Gambino,Mannel,Uraltsev,JHEP,1210,169 (2012)]

## Numerical Example Dim=7

[Mannel,Uraltsev,ST,JHEP 1011 (2010) 109]

$$2M_B m_1 = \langle \bar{B} | \bar{b}_\nu iD_\rho iD_\sigma iD_\lambda iD_\delta b_\nu | \bar{B} \rangle \frac{1}{3} \left( \Pi^{\rho\sigma} \Pi^{\lambda\delta} + \Pi^{\rho\lambda} \Pi^{\sigma\delta} + \Pi^{\rho\delta} \Pi^{\sigma\lambda} \right)$$

$$2M_B m_4 = \langle \bar{B} | \bar{b}_\nu \left\{ iD_\rho, \left[ iD_\sigma, \left[ iD_\lambda, iD_\delta \right] \right] \right\} b_\nu | \bar{B} \rangle \Pi^{\sigma\lambda} \Pi^{\rho\delta}$$

$$2M_B m_8 = \langle \bar{B} | \bar{b}_\nu \left\{ \left\{ iD_\rho, iD_\sigma \right\}, \left[ iD_\lambda, iD_\delta \right] \right\} \left( -i\sigma_{\alpha\beta} \right) b_\nu | \bar{B} \rangle \Pi^{\rho\sigma} \Pi^{\alpha\lambda} \Pi^{\beta\delta}$$

$$2M_B m_9 = \langle \bar{B} | \bar{b}_\nu \left[ iD_\rho, \left[ iD_\sigma, \left[ iD_\lambda, iD_\delta \right] \right] \right] \left( -i\sigma_{\alpha\beta} \right) b_\nu | \bar{B} \rangle \Pi^{\rho\beta} \Pi^{\lambda\alpha} \Pi^{\sigma\delta},$$

Singlet param.	$m_1$	$m_2$	$m_3$	$m_4$	
Fact. estimate	$\frac{5}{9} (\mu_\pi^2)^2$	$-\bar{\epsilon}\rho_D^3$	$-\frac{2}{3} (\mu_G^2)^2$	$(\mu_G^2)^2 + \frac{4}{3} (\mu_\pi^2)^2$	
Value / GeV <sup>4</sup>	0.113	-0.06	-0.82	0.393	
Norm Factor	1	1	4	8	
Triplet param.	$m_5$	$m_6$	$m_7$	$m_8$	$m_9$
Fact. estimate	$-\bar{\epsilon}\rho_{LS}^3$	$\frac{2}{3} (\mu_G^2)^2$	$-\frac{8}{3} \mu_G^2 \mu_\pi^2$	$-8\mu_G^2 \mu_\pi^2$	$(\mu_G^2)^2 - \frac{10}{3} \mu_G^2 \mu_\pi^2$
Value / GeV <sup>4</sup>	0.060	0.082	-0.420	-1.260	-0.403
Norm Factor	1	4	8	8	8

Subtlety in the  $1/m_Q$  expansion

	$1/m_b^n$					
$n$	0	2	3	4	5	6
$0$	●	●	●	●	●	○
$1/m_c^n$	—	—	●	○	○	○
$2$	—	—	○	○	○	○
$4$	—	—	○	○	○	○
$6$	—	—	○	○	○	○

- Expansion in both heavy quark masses  $m_b$  and  $m_c \approx \sqrt{m_b \Lambda}$
- ⇒ Some Higher Order terms formally belong formally to lower orders
- Starting at leading order  $\frac{\Lambda^3}{m_b^3} \left( \log \frac{m_c^2}{m_b^2} + \frac{\Lambda^2}{m_c^2} + \dots \right)$
- ⇒ Leading to systematical effects
- ⇒ Computation and estimation of higher orders and these effects

[Bigi,Uraltsev,Zwicky[hep-ph/0511158], Breidenbach,Feldmann,Mannel,ST[0805.0971],  
Bigi,Mannel,Uraltsev,ST[0911.3322]]

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# Hadronic Tensor for “IC”

## Starting Point

$$W_{\mu\nu} = \frac{1}{2M_B} \sum_{X_c} \langle \bar{B} | J_{q,\nu}^\dagger(x) | X_c \rangle \langle X_c | J_{q,\mu}(0) | \bar{B} \rangle (2\pi)^3 \delta^4(p_B - q - p_{X_c})$$

## Rewrite for “Intrinsic Charm” Contribution

- Use translational invariance and expand in local operators

$$\begin{aligned} 2M_B W_{\mu\nu}^{IC} &= (2\pi)^3 \delta^4(q - m_b v) \langle \bar{B}(p) | (\bar{b}_\nu \gamma_\nu P_L c) (\bar{c} \gamma_\mu P_L b_\nu) | \bar{B}(p) \rangle \\ &+ (2\pi)^3 \left( \frac{\partial}{\partial q_\alpha} \delta^4(q - m_b v) \right) \langle \bar{B}(p) | (i\partial_\alpha \bar{b}_\nu \gamma_\nu P_L c) (\bar{c} \gamma_\mu P_L b_\nu) | \bar{B}(p) \rangle \\ &+ \dots \end{aligned}$$

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# Scenarios

## Scenario I

- Consider charm-quark as heavy

$$\Rightarrow m_b \sim m_c \gg \Lambda_{\text{QCD}}$$

## Scenario II

- Consider charm-quark as semi-heavy

$$\Rightarrow m_b \gg m_c \gg \Lambda_{\text{QCD}}$$

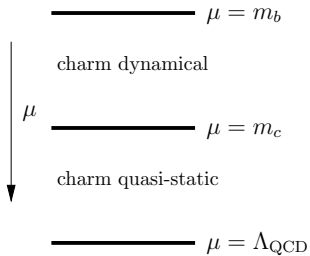
## Scenario III

- Consider charm-quark as light

$$\Rightarrow m_b \gg m_c \gtrsim \Lambda_{\text{QCD}}$$

Scenario II:  $m_b \gg m_c \gg \Lambda_{\text{QCD}}$ 

- 2 matching steps



## Difference to Scenario I

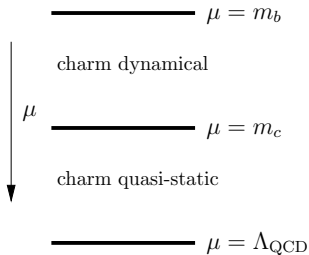
- Resum logarithmic terms  $\ln m_c/m_b$  into short-distance coefficient functions
- Expand analytic terms in powers of  $m_c/m_b \sim \sqrt{\Lambda_{\text{QCD}}/m_b} \sim 0.3$

⇒ Reproduces Scenario I



Scenario II:  $m_b \gg m_c \gg \Lambda_{\text{QCD}}$ 

- 2 matching steps



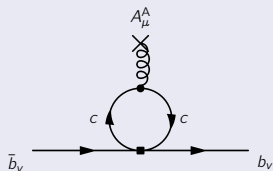
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# Mixing of Operators [Scenario 2/3]

## Dimension 6 Intrinsic Charm



- Generates mixing into  $\rho_D^3$
- ⇒ Renormalization group flow

$$\frac{d}{d \ln \mu} \begin{pmatrix} \rho_D(\mu) \\ T_1(\mu) \\ T_2(\mu) \end{pmatrix} = - \begin{pmatrix} 0 & 0 & 0 \\ -2/3 & 0 & 0 \\ 4/3 & 0 & 0 \end{pmatrix} \begin{pmatrix} \rho_D(\mu) \\ T_1(\mu) \\ T_2(\mu) \end{pmatrix}$$

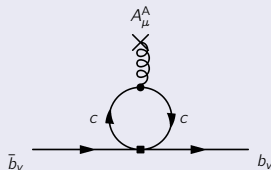
## Dimension 7 Intrinsic Charm

- Generates mixing into  $m_c^4 \bar{b}_v b_v$
- ⇒ RGE flow

- $1/m_c^{2n}$  terms also reproduced [hep-ph/0511158]

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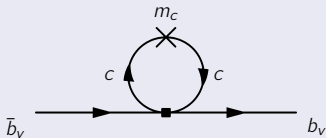
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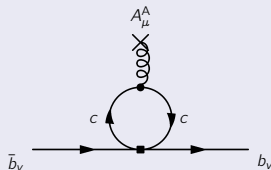


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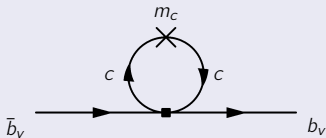
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# Measurement Procedure I

## Extraction of Heavy Quark Parameters

- Use normalization to cancel out prefactors
- Need completely integrated hadronic phase-space
- **Sufficient number of observables for all different parameters**

## Definition of Observables

- Electron energy spectrum

$$BR(E_e) = \frac{1}{\int \frac{d\Gamma}{dE_e} dE_e} \frac{d\Gamma}{dE_e}$$

- Moments of electron energy and hadronic invariant mass

$$\langle E_e^n M_X^m \rangle(E_{cut}) = \frac{1}{\int_{E_e > E_{cut}} \frac{d^2\Gamma}{dE_e dM_X} dE_e dM_X} \int_{E_e > E_{cut}} E_e^n M_X^m \frac{d^2\Gamma}{dE_e dM_X} dE_e dM_X$$

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# Measurement Procedure II

## Extraction of $V_{cb}$

- Heavy Quark parameters known from fit to moments and spectra
- Normalisation to partial branching fraction determines  $|V_{cb}|$

$$\Gamma = \frac{G_F^2 |V_{cb}|^2 m_b^5}{192\pi^3} f(m_c, m_b, \mu_\pi^2, \dots)$$

## Remarks

- $E_{\text{cut}}$  restricts phase-space
- ⇒ Reduces validity of HQE
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## Most Recent Data

[BABAR, Phys.Rev.D81:032003,2010]

- Experimental errors are competitive with theoretical errors
- General uncertainty due to operators with charm content [hep-ph/0511158]

Fit	$ V_{cb} $	$m_b/\text{GeV}$	$m_c/\text{GeV}$
RESULT	41.91	4.566	1.101
$\Delta_{\text{exp}}$	0.48	0.034	0.045
$\Delta_{\text{theo}}$	0.38	0.041	0.064
$\Delta\Gamma_{sl}$	0.59		
$\Delta_{\text{tot}}$	0.85	0.055	0.078

## Used in Analysis

- Non-perturbative corrections up to  $1/m_b^3$
- Electroweak corrections: Estimated  $1 + A_{\text{EW}} \approx 1.014$
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# Generic Effects on $|V_{cb}|$

## Direct effect

- Additional terms in branching ratio
- ⇒ Change value of  $|V_{cb}|$  directly

## Indirect Effect

- Use estimate of higher-order parameters
- Value fixed by moment  $\mathcal{M}^{(6)}$  up to dimension six
- Compensate effect by change of heavy quark parameter in  $\mathcal{M}^{(6)}$

$$\delta m_b = -\frac{\delta \mathcal{M}^{(6)}}{\frac{\partial \mathcal{M}^{(6)}}{\partial m_b}}, \quad \delta \mu_\pi^2 = -\frac{\delta \mathcal{M}^{(6)}}{\frac{\partial \mathcal{M}^{(6)}}{\partial \mu_\pi^2}}, \quad \delta \rho_D^3 = -\frac{\delta \mathcal{M}^{(6)}}{\frac{\partial \mathcal{M}^{(6)}}{\partial \rho_D^3}}$$

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# Direct Effect on Branching Fraction

[Mannel,Uraltsev,ST,JHEP 1011 (2010) 109]

## Naive Assumption

- Definition:  $\delta\Gamma_{1/m^k} = \Gamma_{1/m^k} - \Gamma_{1/m^{k-1}}$  and  $\Gamma_{\text{parton}}$  leading order

$$\frac{\delta\Gamma_{1/m^2}}{\Gamma_{\text{parton}}} = -4.3\%$$

$$\frac{\delta\Gamma_{1/m^3}}{\Gamma_{\text{parton}}} = -3.0\%$$

$$\frac{\delta\Gamma_{1/m^4}}{\Gamma_{\text{parton}}} = 0.75\%$$

$$\frac{\delta\Gamma_{1/m^5}}{\Gamma_{\text{parton}}} = 0.6\%$$

$$\frac{\delta\Gamma^{\text{IC}}}{\Gamma_{\text{parton}}} = 0.7\%$$

## Implication for $|V_{cb}|$

$$\frac{\delta\Gamma_{1/m^4} + \delta\Gamma_{1/m^5}}{\Gamma_{\text{parton}}} \simeq 1.3\%$$

⇒ Expect direct 0.65% reduction of  $|V_{cb}|$

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$$\frac{\delta\Gamma_{1/m^4}}{\Gamma_{\text{parton}}} = 0.75\%$$

$$\frac{\delta\Gamma_{1/m^5}}{\Gamma_{\text{parton}}} = 0.6\%$$

$$\frac{\delta\Gamma^{\text{IC}}}{\Gamma_{\text{parton}}} = 0.7\%$$

## Implication for $|V_{cb}|$

$$\frac{\delta\Gamma_{1/m^4} + \delta\Gamma_{1/m^5}}{\Gamma_{\text{parton}}} \simeq 1.3\%$$

⇒ Expect direct 0.65% reduction of  $|V_{cb}|$

Indirect Effect on  $V_{cb}$  from Selected MomentsResults for  $\langle E_e \rangle$ 

$$\begin{aligned} \delta m_b = -33 \text{ MeV}, & \quad \delta \mu_\pi^2 = -0.39 \text{ GeV}^2, & \quad \delta \rho_D^3 = 0.15 \text{ GeV}^3 \\ \Rightarrow \frac{\delta |V_{cb}|}{|V_{cb}|} = 0.022 & \quad \Rightarrow \frac{\delta |V_{cb}|}{|V_{cb}|} = -0.005 & \quad \Rightarrow \frac{\delta |V_{cb}|}{|V_{cb}|} = 0.014 \end{aligned}$$

Results for  $\langle M_X^2 \rangle$ 

$$\begin{aligned} \delta m_b = -17 \text{ MeV}, & \quad \delta \mu_\pi^2 = -0.12 \text{ GeV}^2, & \quad \delta \rho_D^3 = 0.086 \text{ GeV}^3 \\ \Rightarrow \frac{\delta |V_{cb}|}{|V_{cb}|} = 0.011 & \quad \Rightarrow \frac{\delta |V_{cb}|}{|V_{cb}|} = -0.0015 & \quad \Rightarrow \frac{\delta |V_{cb}|}{|V_{cb}|} = 0.008 \end{aligned}$$

- Combining everything we expect a net increase of  $|V_{cb}|$

$$\frac{\delta |V_{cb}|}{|V_{cb}|} \approx +(0.3 \div 0.5)\%$$

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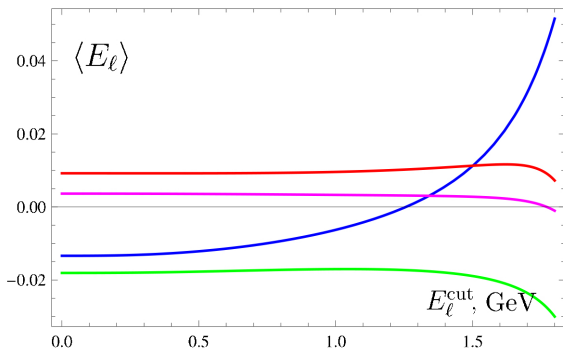
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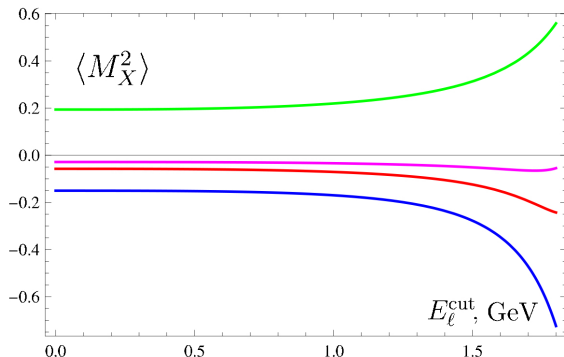
# Effect of Electron Energy Cut



## Legend of Different Order Contributions

- Blue:  $1/m_b^2$
- Green:  $1/m_b^3$
- Red:  $1/m_b^4$
- Magenta:  $1/m_b^5$

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# Recent Fit Results [Gambino,CKM2014], [Alberti,Gambino,Healy,Nandi]

## PRELIMINARY RESULTS

**NEW**

th corr scenario	$m_b^{kin}$	$m_c$ (3GeV)	$\mu^2_\pi$	$Q^3_D$	$\mu^2_G$	$Q^3_{LS}$	BR(%)	$10^3  V_{cb} $
4.	4.539	0.988	0.454	0.149	0.296	-0.142	10.67	42.41
uncertainty	0.021	0.013	0.077	0.044	0.063	0.097	0.16	0.83

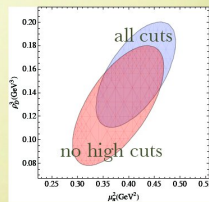
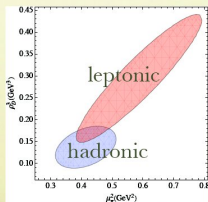
  

th. corr. scenario	$m_b^{kin}$	$m_c^{(3GeV)}$	$\mu^2_\pi$	$\rho^3_D$	$\mu^2_G$	$\rho^3_{LS}$	BR <sub>ctv</sub> (%)	$10^3  V_{cb} $
4.	4.541	0.987	0.414	0.154	0.340	-0.147	10.65	42.42
uncertainty	0.023	0.013	0.078	0.045	0.066	0.098	0.16	0.86

Schwanda  
PG 2013

Without mass constraints  $m_b^{kin}(1\text{ GeV}) - 0.85 \bar{m}_c(3\text{ GeV}) = 3.701 \pm 0.019\text{ GeV}$

- results depend little on assumption for correlations and choice of inputs, 2% determination of  $V_{cb}$
- 20-30% determination of the OPE parameters



## Summary

- Heavy Quark Expansion of inclusive decays
- ⇒ HQE matrix element of form  $\langle B | \bar{b}_v i D \dots i D b_v | B \rangle$
- Estimated size of unknown parameters (LLSA)
- Estimated impact on  $|V_{cb}|$  extraction
- ⇒ Improving knowledge on ME crucial for  $< 0.5\text{--}1\%$  theo. uncertainty

## Future Plans

- Fit including Dim=7,8 parameters [Gambino, ST]
- ⇒ First hint  $\frac{\delta V_{cb}}{V_{cb}} \simeq -0.35\%$
- ⇒ Allowing 80% gaussian deviations seem to leave  $V_{cb}$  unaffected
- More elaborate estimate of higher order parameters
- ⇒ Including radiative corrections, higher terms? [Heinonen, Mannel]
- Combined  $\alpha_s/m_b^3$  correction to Darwin term

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# Backup Slides

$$\begin{aligned}
2M_B m_1 &= \langle \bar{B} | \bar{b}_v iD_\rho iD_\sigma iD_\lambda iD_\delta b_v | \bar{B} \rangle \frac{1}{3} \left( \pi^{\rho\sigma} \pi^{\lambda\delta} + \pi^{\rho\lambda} \pi^{\sigma\delta} + \pi^{\rho\delta} \pi^{\sigma\lambda} \right) \\
2M_B m_2 &= \langle \bar{B} | \bar{b}_v [iD_\rho, iD_\sigma] [iD_\lambda, iD_\delta] b_v | \bar{B} \rangle \pi^{\rho\delta} v^\sigma v^\lambda \\
2M_B m_3 &= \langle \bar{B} | \bar{b}_v [iD_\rho, iD_\sigma] [iD_\lambda, iD_\delta] b_v | B \rangle \pi^{\rho\lambda} \pi^{\sigma\delta} \\
2M_B m_4 &= \langle \bar{B} | \bar{b}_v \left\{ iD_\rho, [iD_\sigma, [iD_\lambda, iD_\delta]] \right\} b_v | \bar{B} \rangle \pi^{\sigma\lambda} \pi^{\rho\delta} \\
2M_B m_5 &= \langle \bar{B} | \bar{b}_v [iD_\rho, iD_\sigma] [iD_\lambda, iD_\delta] (-i\sigma_{\alpha\beta}) b_v | \bar{B} \rangle \pi^{\alpha\rho} \pi^{\beta\delta} v^\sigma v^\lambda \\
2M_B m_6 &= \langle \bar{B} | \bar{b}_v [iD_\rho, iD_\sigma] [iD_\lambda, iD_\delta] (-i\sigma_{\alpha\beta}) b_v | \bar{B} \rangle \pi^{\alpha\sigma} \pi^{\beta\lambda} \pi^{\rho\delta} \\
2M_B m_7 &= \langle \bar{B} | \bar{b}_v \left\{ \{iD_\rho, iD_\sigma\}, [iD_\lambda, iD_\delta] \right\} (-i\sigma_{\alpha\beta}) b_v | \bar{B} \rangle \pi^{\sigma\lambda} \pi^{\alpha\rho} \pi^{\beta\delta} \\
2M_B m_8 &= \langle \bar{B} | \bar{b}_v \left\{ \{iD_\rho, iD_\sigma\}, [iD_\lambda, iD_\delta] \right\} (-i\sigma_{\alpha\beta}) b_v | \bar{B} \rangle \pi^{\rho\sigma} \pi^{\alpha\lambda} \pi^{\beta\delta} \\
2M_B m_9 &= \langle \bar{B} | \bar{b}_v \left[ iD_\rho, [iD_\sigma, [iD_\lambda, iD_\delta]] \right] (-i\sigma_{\alpha\beta}) b_v | \bar{B} \rangle \pi^{\rho\beta} \pi^{\lambda\alpha} \pi^{\sigma\delta} ,
\end{aligned}$$

$$\begin{aligned}
2M_{Br_1} &= \langle \bar{B} | \bar{b}_v iD_\rho (iv \cdot D)^3 iD^\rho b_v | \bar{B} \rangle \\
2M_{Br_2} &= \langle \bar{B} | \bar{b}_v iD_\rho (iv \cdot D) iD^\rho iD_\sigma iD^\sigma b_v | \bar{B} \rangle \\
2M_{Br_3} &= \langle \bar{B} | \bar{b}_v iD_\rho (iv \cdot D) iD_\sigma iD^\rho iD^\sigma b_v | \bar{B} \rangle \\
2M_{Br_4} &= \langle \bar{B} | \bar{b}_v iD_\rho (iv \cdot D) iD_\sigma iD^\sigma iD^\rho b_v | \bar{B} \rangle \\
2M_{Br_5} &= \langle \bar{B} | \bar{b}_v iD_\rho iD^\rho (iv \cdot D) iD_\sigma iD^\sigma b_v | \bar{B} \rangle \\
2M_{Br_6} &= \langle \bar{B} | \bar{b}_v iD_\rho iD_\sigma (iv \cdot D) iD^\sigma iD^\rho b_v | \bar{B} \rangle \\
2M_{Br_7} &= \langle \bar{B} | \bar{b}_v iD_\rho iD_\sigma (iv \cdot D) iD^\rho iD^\sigma b_v | \bar{B} \rangle
\end{aligned}$$

$$\begin{aligned}
2M_{Br_8} &= \langle \bar{B} | \bar{b}_\nu iD_\mu (iv \cdot D)^3 iD_\nu (-i\sigma^{\mu\nu}) b_\nu | \bar{B} \rangle \\
2M_{Br_9} &= \langle \bar{B} | \bar{b}_\nu iD_\mu (iv \cdot D) iD_\nu iD_\rho iD^\rho (-i\sigma^{\mu\nu}) b_\nu | \bar{B} \rangle \\
2M_{Br_{10}} &= \langle \bar{B} | \bar{b}_\nu iD_\rho (iv \cdot D) iD^\rho iD_\mu iD_\nu (-i\sigma^{\mu\nu}) b_\nu | \bar{B} \rangle \\
2M_{Br_{11}} &= \langle \bar{B} | \bar{b}_\nu iD_\rho (iv \cdot D) iD_\mu iD^\rho iD_\nu (-i\sigma^{\mu\nu}) b_\nu | \bar{B} \rangle \\
2M_{Br_{12}} &= \langle \bar{B} | \bar{b}_\nu iD_\mu (iv \cdot D) iD_\rho iD_\nu iD^\rho (-i\sigma^{\mu\nu}) b_\nu | \bar{B} \rangle \\
2M_{Br_{13}} &= \langle \bar{B} | \bar{b}_\nu iD_\rho (iv \cdot D) iD_\mu iD_\nu iD^\rho (-i\sigma^{\mu\nu}) b_\nu | \bar{B} \rangle \\
2M_{Br_{14}} &= \langle \bar{B} | \bar{b}_\nu iD_\mu (iv \cdot D) iD_\rho iD^\rho iD_\nu (-i\sigma^{\mu\nu}) b_\nu | \bar{B} \rangle \\
2M_{Br_{15}} &= \langle \bar{B} | \bar{b}_\nu iD_\mu iD_\nu (iv \cdot D) iD_\rho iD^\rho (-i\sigma^{\mu\nu}) b_\nu | \bar{B} \rangle \\
2M_{Br_{16}} &= \langle \bar{B} | \bar{b}_\nu iD_\rho iD_\mu (iv \cdot D) iD_\nu iD^\rho (-i\sigma^{\mu\nu}) b_\nu | \bar{B} \rangle \\
2M_{Br_{17}} &= \langle \bar{B} | \bar{b}_\nu iD_\mu iD_\rho (iv \cdot D) iD^\rho iD_\nu (-i\sigma^{\mu\nu}) b_\nu | \bar{B} \rangle \\
2M_{Br_{18}} &= \langle \bar{B} | \bar{b}_\nu iD_\rho iD_\mu (iv \cdot D) iD^\rho iD_\nu (-i\sigma^{\mu\nu}) b_\nu | \bar{B} \rangle
\end{aligned}$$

# Truncation Uncertainty Estimate

- Rewrite Master Formulae as spectral density integral

$$\begin{aligned} \sum_{k=0}^{\infty} \sum_n (2\pi)^3 \delta^3(p_n^\perp) \left( \frac{-\epsilon_n}{\omega} \right)^k \langle B(p_B) | \bar{b}_v \mathcal{P}_1 Q_v | n \rangle \langle n | \bar{Q}_v \mathcal{P}_2 \Gamma b_v | B(p_B) \rangle \\ = \int \frac{d\omega'}{2\pi} \frac{\rho(\omega')}{\omega - \omega'} := \Delta(\omega) \end{aligned}$$

- Represent spectral density as sum infinitely many narrow resonances

$$\rho(\omega) = \sum_n g(n) \delta(\omega - n\Lambda)$$

- Then the master equation is given by

$$\Delta(\omega) = \frac{1}{2\pi} \sum_n g(n) \frac{1}{\omega - n\Lambda}$$

- Impose radial wave function behaviour for resonances  $g(n) = g_0 1/n^2$

$$\Delta(\omega) = \frac{g_0}{2\pi\Lambda} \frac{1}{(\omega/\Lambda)^2} \left[ \gamma + \psi(1 - \omega/\Lambda) + \frac{\pi^2}{6} \omega/\Lambda \right]$$



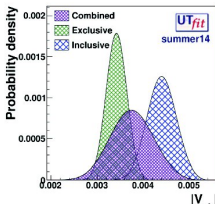
# UT Fit $V_{ub}$ and $V_{cb}$ [Derkach ICHEP 2014]

The relative ratio of CKM elements is easily calculable:

$$\left| \frac{V_{ub}}{V_{cb}} \right| = \frac{\lambda}{1 - \frac{\lambda^2}{2}} \sqrt{\rho^2 + \eta^2}$$

QCD corrections to be considered

- inclusive measurements: OPE
- exclusive measurements: form-factors from lattice QCD



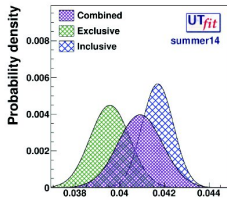
$$V_{ub}(\text{excl}) = (3.42 \pm 0.22) \cdot 10^{-3}$$

$$V_{ub}(\text{incl}) = (4.40 \pm 0.31) \cdot 10^{-3}$$

$$V_{ub} = (3.75 \pm 0.46) \cdot 10^{-3}$$

$\sim 1.9 \sigma$  discrepancy

D. Derkach UTFIT@ICHEP2014

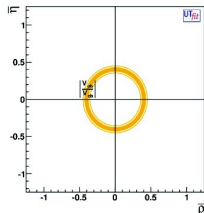


$$V_{cb}(\text{excl}) = (39.55 \pm 0.88) \cdot 10^{-3}$$

$$V_{cb}(\text{incl}) = (41.7 \pm 0.7) \cdot 10^{-3}$$

$$V_{cb} = (40.9 \pm 1.0) \cdot 10^{-3}$$

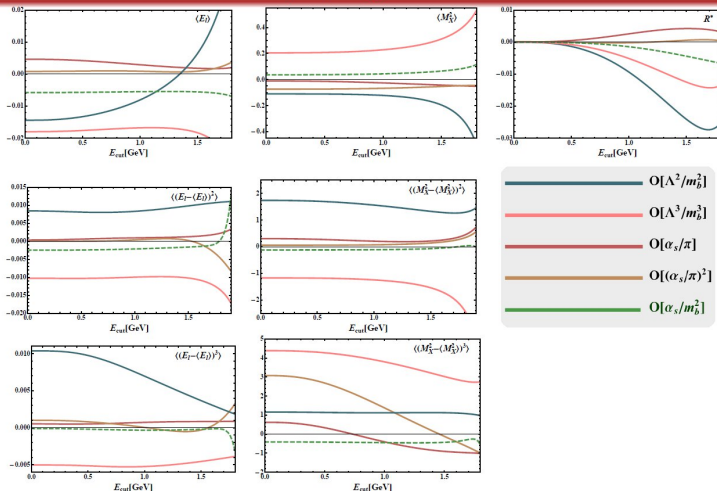
$\sim 2.5 \sigma$  discrepancy



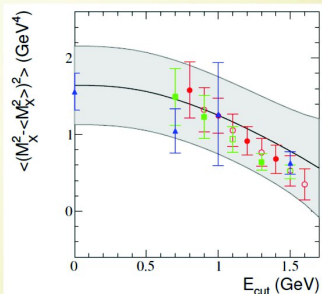
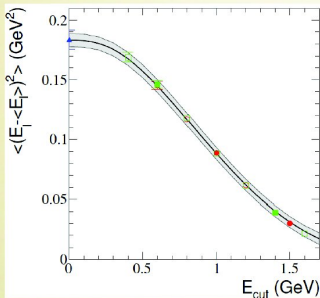
There is still an inconsistency between inclusive and exclusive measurements. We take this into account inflating the combined uncertainty (a-la PDG).

11

## New Contributions $\mathcal{O}(\alpha_s/m_b^2)$ :

 $R^*$ 


## THEORETICAL ERRORS



Theoretical errors are generally the **dominant** ones in the fits. We estimate them in a **conservative** way by mimicking higher orders varying the parameters by fixed amounts.

**Duality violation**, expected to be suppressed, would manifest as inconsistency in the fit.

$O(\alpha_s/m_b^2)$  EFFECTS

Boos,Becher,Lunghi 2007  
 Ewerth,Nandi, PG 2009  
 Alberti,Ewerth,Nandi,PG 2012  
 Alberti,Nandi,PG 2013

Hadronic tensor  $W^{\alpha\beta} = \frac{(2\pi)^3}{2m_B} \sum_{X_c} \delta^4(p_b - q - p_X) \langle \bar{B} | J_L^{\dagger\alpha} | X_c \rangle \langle X_c | J_L^\beta | \bar{B} \rangle$

$$m_b W^{\alpha\beta} = -W_1 g^{\alpha\beta} + W_2 v^\alpha v^\beta + iW_3 \epsilon^{\alpha\beta\rho\sigma} v_\rho \hat{q}_\sigma + W_4 \hat{q}^\alpha \hat{q}^\beta + W_5 (v^\alpha \hat{q}^\beta + v^\beta \hat{q}^\alpha)$$

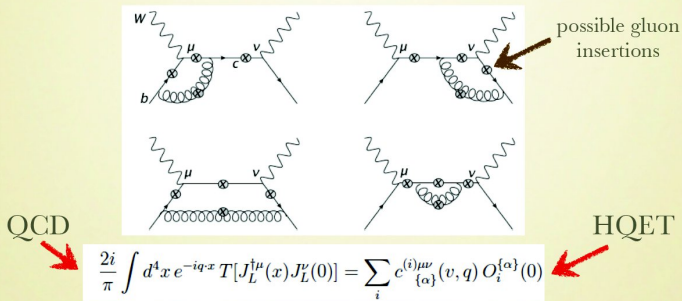
$$W_i = W_i^{(0)} + \frac{\mu_\pi^2}{2m_b^2} W_i^{(\pi,0)} + \frac{\mu_G^2}{2m_b^2} W_i^{(G,0)} + \frac{C_F \alpha_s}{\pi} \left[ W_i^{(1)} + \frac{\mu_\pi^2}{2m_b^2} W_i^{(\pi,1)} + \frac{\mu_G^2}{2m_b^2} W_i^{(G,1)} \right]$$

$W_i^{(\pi,n)}$  can be computed using **reparameterization invariance** which relates different orders in the HQET: e.g. for  $i=3$  at all orders

$$W_3^{(\pi,n)} = \frac{5}{3} \hat{q}_0 \frac{dW_3^{(n)}}{d\hat{q}_0} - \frac{\hat{q}^2 - \hat{q}_0^2}{3} \frac{d^2 W_3^{(n)}}{d\hat{q}_0^2} \quad \text{Manohar 2010}$$

Proliferation of power divergences, up to  $1/w^3$ ,  
 and complex kinematics ( $q^2, q_0, m_c m_b$ )  $W_i^{(G,1)}$  requires proper matching.

## MATCHING AT $O(\alpha_s)$



Taylor expansion around on-shell  $b$  quark matched onto HQET local operators. Analytic formulae. RPI relations reproduced. Unlike  $\mu_\pi$ ,  $\mu_G$  gets renormalized, therefore Wilson coefficients scale-dependent.

## NUMERICAL RESULTS

In on-shell scheme ( $m_b=4.6\text{GeV}$ ,  $m_c=1.15\text{GeV}$ ) without cuts

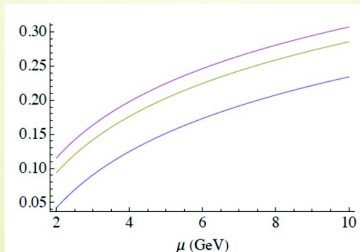
$$\Gamma_{B \rightarrow X_c \ell \nu} = \Gamma_0 \left[ \left(1 - 1.78 \frac{\alpha_s}{\pi}\right) \left(1 - \frac{\mu_\pi^2}{2m_b^2}\right) - \left(1.94 + 2.42 \frac{\alpha_s}{\pi}\right) \frac{\mu_G^2(m_b)}{m_b^2} \right]$$

$$\langle E_\ell \rangle = 1.41\text{GeV} \left[ \left(1 - 0.02 \frac{\alpha_s}{\pi}\right) \left(1 + \frac{\mu_\pi^2}{2m_b^2}\right) - \left(1.19 + 4.20 \frac{\alpha_s}{\pi}\right) \frac{\mu_G^2(m_b)}{m_b^2} \right]$$

$$\ell_2 = 0.183\text{GeV}^2 \left[ 1 - 0.16 \frac{\alpha_s}{\pi} + \left(4.98 - 0.37 \frac{\alpha_s}{\pi}\right) \frac{\mu_\pi^2}{m_b^2} - \left(2.89 + 8.44 \frac{\alpha_s}{\pi}\right) \frac{\mu_G^2(m_b)}{m_b^2} \right]$$

Similar results in the kinetic scheme. NLO corrections generally  $O(15-20\%)$  of tree level coefficients, **shifts in some cases larger than experimental error**. Impact on  $V_{cb}$  requires new fit of semileptonic moments.

Mannel, Pivovarov, Rosenthal (1405.5072) have computed the  $\mu_G$  correction to the width in the limit  $m_c=0$  and find compatible result.

$\mu_G^2$ -SCALE DEPENDENCE

Relative NLO correction to the coefficients of  $\mu_G$  in the width (blue), first (red) and second central (yellow) leptonic moments as a function of the renormalization scale. Smaller corrections for smaller scale.

# Setup of the Differential Rate

## Double Differential Rate

- Consider differential rate in  $v \cdot p$  and  $p^2$ , where  $p = m_b v - q$

$$\frac{d^2\Gamma}{dv \cdot p dp^2} = \frac{G_F^2 |V_{cb}|^2}{6\pi^3} \sqrt{(v \cdot p)^2 - p^2} W^{\mu\nu} \\ \times \left[ m_b^2 (v_\mu v_\nu - g_{\mu\nu}) - 2m_b \left( \frac{v_\mu p_\nu + v_\nu p_\mu}{2} - g_{\mu\nu} v \cdot p \right) + p_\mu p_\nu - g_{\mu\nu} p^2 \right]$$

- Hadronic tensor
- From leptonic tensor

## General Structure

$$\frac{d^2\Gamma}{dv \cdot p dp^2} = \frac{G_F^2 |V_{cb}|^2}{6\pi^3} \sqrt{(v \cdot p)^2 - p^2} \sum_{n=0}^{\infty} P_n(v \cdot p, p^2, m_c) \delta^{(n)}(p^2 - m_c^2)$$

- $P_n$  is a polynomial containing  $\langle B(p) | \bar{b}_{v,\alpha} (iD_{\mu_1}) \dots (iD_{\mu_n}) b_{v,\beta} | B(p) \rangle$



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# Expansion in $1/m_c$

## Origin

$$\int dv \cdot p \sqrt{(v \cdot p)^2 - p^2} v \cdot p^{2n} (p^2)^k = C_n (p^2)^{n+k+1} \log p^2 + \text{regular}$$

- Project out most singular contribution

## Determine Leading Order

- We have in the order  $1/m_b^i$  (for simplicity  $n = k = 0$ )

$$\begin{aligned}\Gamma &\sim \int dp^2 m_c^{i+1} p^2 \log p^2 \delta^{(i)}(p^2 - m_c^2) \\ &\sim (m_c^2)^{\frac{3-i}{2}}\end{aligned}$$

⇒ For  $i = 5$  the first  $1/(m_b^3 m_c^2)$  terms appear

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# Scenario I: $m_b \sim m_c \gg \Lambda_{\text{QCD}}$

## Calculable Part

- Integrate out (hard) quantum fluctuations with virtuality of  $\mathcal{O}(m_{b,c})$
- ⇒ Only light-degrees of freedom remain:
  - light quarks
  - gluons
  - quasi-static  $b$ -quark field in HQET
- Short-distance matching coefficients and phase space integrals are functions of fixed ratio  $\rho = m_c^2/m_b^2$

## Non-Perturbative Part

- At  $\mu < m_c$ : Operators with charm-quark do not appear in a standard renormalization scheme like e.g.  $\overline{\text{MS}}$
- They correspond to  $\langle \bar{B} | \bar{b}_V \dots c_{\text{static}} \bar{c}_{\text{static}} \dots b_V | \bar{B} \rangle \equiv 0$
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## Scenario III: $m_b \gg m_c \gtrsim \Lambda_{\text{QCD}}$

- Charm-quark effects cannot be integrated out perturbatively
- ⇒ Define proper power counting

### Consequences

- Genuine intrinsic-charm operators exist
  - ⇒ Hadronic matrix elements of this operators have to be defined at  $\mu_0$  with  $m_b \geq \mu_0 \gg m_c$
- Matrix elements contain non-analytic dependence on  $m_c$ 
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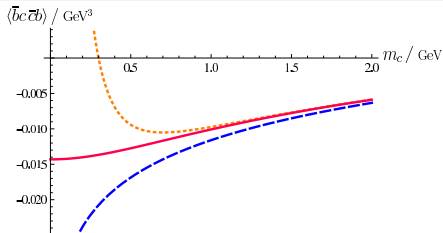
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# Model: Weak Annihilation in $b \rightarrow u$ Transitions



- Blue: Leading Log from order  $1/m_b^3$
- Yellow: Including  $1/(m_b^3 m_c^2)$  Corrections
- Red: Model (s.b.)

## Model for “Weak-Annihilation” Operator

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- Renormalization group inspired model

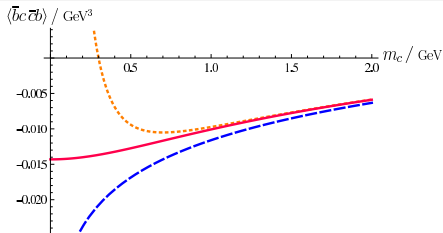
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- $\Lambda \approx 0.7$  GeV from comparison with expansion up to  $1/m_b^5$

⇒ Estimate of  $\mathcal{O}(-3\%)$  contribution in  $B \rightarrow X_{ul} \bar{\nu}_\ell$  decays



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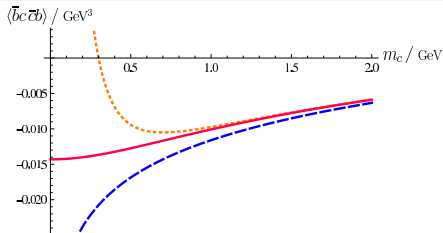
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