

# Gluon saturation beyond (naive) leading logs

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# Outline

- Dilute-dense scattering at high energy  
→ **Common introduction with the next 2 talks.**
- Kinematical constraint for the BFKL equation
- Consistent Leading Logs from DIS at NLO
- Kinematical constraint for the BK equation

G.B., *to appear in Phys. Rev. D*, arXiv:1401.0313

# Dilute-dense scattering at high-energy

High energy scattering:

**projectile** : momentum  $q^\mu \simeq \delta^{\mu+} q^+$

**target** : momentum  $P^\mu \simeq \delta^{\mu-} P^-$

$\Rightarrow$  Mandelstam  $s$  variable:  $s \simeq 2P^- q^+$

**Eikonal approximation**: Take the high-energy limit  $s \rightarrow +\infty$  and drop power-suppressed contributions.

**Semi-classical approximation**: At weak coupling  $g$ , dense target  $\rightarrow$  random classical background field  $\mathcal{A}_a^\mu(x) = O(1/g)$ .

In the semi-classical approximation, the eikonal limit can be obtained by an infinite boost  $P^- \rightarrow +\infty$  of the target field  $\mathcal{A}_a^\mu(x)$ . Hence:

- Only the  $\mathcal{A}_a^-$  component is relevant
- Infinite Lorentz dilation:  $\mathcal{A}_a^\mu(x)$  independent of  $x^-$
- Infinite Lorentz contraction:  $\mathcal{A}_a^\mu(x) \propto \delta(x^+)$  (shockwave)

# Eikonal dilute-dense scattering

Recipe for *dilute-dense* processes at high-energy, following Bjorken, Kogut and Soper (1971):

- Decompose the projectile on a Fock basis at the time  $x^+ = 0$ , with appropriate Light-Front wave-functions.
- Each parton  $n$  scatters independently on the target via a light-like Wilson line  $U_{\mathcal{R}_n}(\mathbf{x}_n)$  through the target:

$$U_{\mathcal{R}_n}(\mathbf{x}_n) = \mathcal{P}_+ \exp \left[ ig \int dx^+ T_{\mathcal{R}_n}^a A_a^-(x^+, \mathbf{x}_n) \right]$$

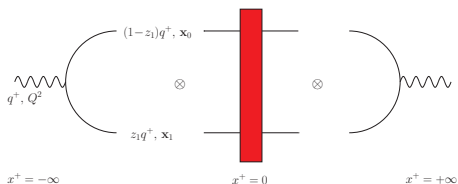
with  $\mathcal{R}_n = A, F$  or  $\bar{F}$  for  $g, q$  or  $\bar{q}$  partons.

- Include final-state evolution of the projectile remnants.

Comments:

- 1 Light-cone gauge  $A_a^+ = 0$  strongly recommended!
- 2 At this stage, no apparent dependence on  $s$  ...

# Example: Dipole factorization for DIS at LO



$$\sigma_{T,L}^{\gamma} = \frac{4N_c \alpha_{em}}{(2\pi)^2} \sum_f e_f^2 \int d^2\mathbf{x}_0 d^2\mathbf{x}_1 \int_0^1 dz_1 \times \mathcal{I}_{T,L}^{q\bar{q},LO}(x_{01}, z_1, Q^2) \left[ 1 - \langle \mathcal{S}_{01} \rangle_{\eta} \right]$$

Bjorken, Kogut, Soper (1971); Nikolaev, Zakharov (1990)

Dipole operator: 
$$\mathcal{S}_{01} = \frac{1}{N_c} \text{Tr} \left( U_F(\mathbf{x}_0) U_F^{\dagger}(\mathbf{x}_1) \right)$$

$\eta$ : regulator of rapidity divergence of Wilson lines  $U_F(\mathbf{x}_n)$ .

# Corrections beyond the eikonal approximation

At least three sources of corrections to the eikonal approximation:

- ① Other components of the target background field  $\mathcal{A}_a^\mu(x)$
  - ② Dynamics of the target:  $x^-$  dependence of  $\mathcal{A}_a^\mu(x)$
  - ③ Finite width  $L^+$  of the target along  $x^+$
- In the context of jet quenching and in-medium energy loss: full finite width effects are included, but not the other effects.  
→ Further approximation (like harmonic potential for BDMPS-Z) required to deal with quantum diffusion of the projectile inside the target.
  - For scattering in the high-energy limit, power-suppressed finite  $L^+$  correction can be calculated systematically without further approximation.  
⇒ Power series in  $L^+ Q_s^2/q^+ \ll 1$ . (Note that  $L^+/q^+ \propto 1/s$ )  
[Altinoluk, Armesto, G. B., Martinez and Salgado, arXiv:1404.2219.](#)  
→ See talk by Tolga Altinoluk

# High-energy OPE in the eikonal limit

High-energy Operator Product Expansion for eikonal dense-dilute scattering observables:

$$\sigma = \mathcal{I}^{LO} \otimes \langle \hat{\mathcal{O}}_0 \rangle_\eta + \alpha_s \mathcal{I}^{NLO}(\eta) \otimes \langle \hat{\mathcal{O}}_1 \rangle_\eta + \alpha_s^2 \mathcal{I}^{NNLO}(\eta) \otimes \langle \hat{\mathcal{O}}_2 \rangle_\eta + O(\alpha_s^3)$$

where the operators  $\hat{\mathcal{O}}_n$  are products of Wilson lines and  $\mathcal{I}^{N^n LO}$  calculable coefficients.

Balitsky (1996)

Dependence on the regulator  $\eta$  has to cancel in the sum.

⇒ RG evolution for the operators: JIMWLK equation

$$\partial_\eta \langle \hat{\mathcal{O}}_n \rangle_\eta = - \langle H \hat{\mathcal{O}}_n \rangle_\eta$$

Jalilian-Marian, Iancu, McLerran, Weigert, Leonidov, Kovner, ... (1997-2002)

Natural factorization scale choice:  $\eta \sim \log(1/s)$   
(but scheme-dependent)

# B-JIMWLK and BK evolutions

RG evolution for the dipole operator:

$$\begin{aligned} \partial_\eta \langle \mathbf{S}_{01} \rangle_\eta &= -\langle H \mathbf{S}_{01} \rangle_\eta = \frac{2\alpha_s C_F}{\pi} \int \frac{d^2 \mathbf{x}_2}{2\pi} \frac{x_{01}^2}{x_{02}^2 x_{21}^2} \langle \mathbf{S}_{012} - \mathbf{S}_{01} \rangle_\eta \\ &= \bar{\alpha} \int \frac{d^2 \mathbf{x}_2}{2\pi} \frac{x_{01}^2}{x_{02}^2 x_{21}^2} \langle \mathbf{S}_{02} \mathbf{S}_{21} - \mathbf{S}_{01} \rangle_\eta \end{aligned}$$

with  $\bar{\alpha} = N_c \alpha_s / \pi$ , and the  $q\bar{q}g$  tripole operator

$$\mathbf{S}_{012} \equiv \frac{1}{N_c C_F} \text{Tr} \left( U_F(\mathbf{x}_0) t^a U_F^\dagger(\mathbf{x}_1) t^b \right) U_A^{ba}(\mathbf{x}_2) = \frac{N_c}{2C_F} \left[ \mathbf{S}_{02} \mathbf{S}_{21} - \frac{1}{N_c^2} \mathbf{S}_{01} \right]$$

New operator  $\langle \mathbf{S}_{012} \rangle_\eta$  or  $\langle \mathbf{S}_{02} \mathbf{S}_{21} \rangle_\eta$  appears  $\Rightarrow$  only the first equation in Balitsky's infinite hierarchy.

In practice: truncate the hierarchy with the approx  
 $\langle \mathbf{S}_{02} \mathbf{S}_{21} \rangle_\eta \simeq \langle \mathbf{S}_{02} \rangle_\eta \langle \mathbf{S}_{21} \rangle_\eta$  to get the BK equation.

Balitsky (1996); Kovchegov (1999)



# NLO revolution for gluon saturation: observables

So far, most calculations and studies have been performed at LO in  $\bar{\alpha}$ , with resummations of leading logs  $(\bar{\alpha} \log(1/s))^n$  using to the BK/JIMWLK equations.

However, NLO calculations are now available for the two simplest observables:

- NLO corrections to DIS structure functions  
Balitsky, Chirilli (2011)  
G.B. (2012)
- NLO corrections to forward single inclusive particle production in pA (or pp)  
Chirilli, Xiao, Yuan (2012)

# NLO revolution for gluon saturation: evolution equations

The NLO corrections to the evolution equations are also known, allowing in principle NLL resummations:  $\bar{\alpha}(\bar{\alpha} \log(1/s))^n$

- BK equation at NLO:  
Balitsky, Chirilli (2008)
- NLO corrections to the JIMWLK equation and Balitsky's hierarchy  
Balitsky, Chirilli (2013); Kovner, Lublinsky, Mulian (2013)  
→ See talk by Yair Mulian

Moreover: Proof that observables like DIS or like particle production obey the same NLL equation (despite crossing of Wilson lines from the complex conjugate amplitude to the amplitude)

Mueller, Munier (2012)

# All-orders corrections to the high-energy evolution

Naive perturbative expansion in  $\bar{\alpha}$  of the BK and JIMWLK (and BFKL) equations is badly behaved

⇒ All-orders resummations of several effects needed to make the expansion stable

**Running coupling:** Crucial impact to phenomenological studies, but rather well understood. Up-to-date prescriptions:

- For BK: **Balitsky's prescription (2007)**
- For JIMWLK: **Lappi-Mäntysaari prescription (2013)**

→ Both select, in all possible limits, the minimal dipole size for the scale in  $\bar{\alpha}$ .

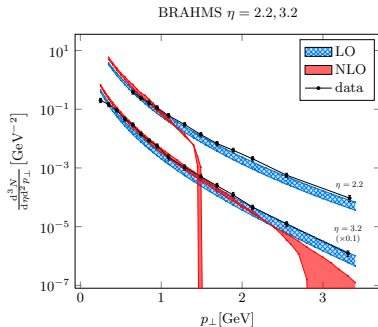
**Kinematical constraint:** Improvement of kinematics required to make BK, JIMWLK and BFKL consistent at finite energies.

→ **Main topic of the rest of this talk!**

Moreover, it might be possible (needed?) to resum the full DGLAP evolution into the BK and JIMWLK equations in various limits.

# NLO revolution for gluon saturation: phenomenology?

First practical study at NLO+LL accuracy:



Forward single inclusive hadron production in pA

Staśto, Xiao, Zaslavsky (2013)

Good at small  $p_{\perp}$ , but **large negative NLO corrections** at large  $p_{\perp}$  !

$\Rightarrow$  More work needed to fully understand and solve this problem... (More on this issue at the end of the talk.)

# Kinematical constraint for BFKL

Approximations required in the derivation of the BFKL equation are valid only if successive gluons are strongly ordered in  $k^+$  **and** in  $k^-$  simultaneously:

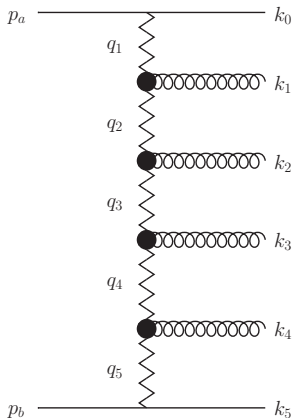
$$k_0^+ \gg k_1^+ \gg \dots \gg k_n^+ \gg \dots$$

and

$$k_0^- \ll k_1^- \ll \dots \ll k_n^- \ll \dots$$

In each factorization scheme, only the ordering along the chosen evolution variable is guaranteed.

⇒ (Small) inconsistency on the standard version of the BFKL equation!



# Kinematical constraint for BFKL

Example: factorization scheme with regulator  
 $\eta \sim \log(k^+) \Rightarrow$  strong ordering in  $k^+$ .

Then, ordering in  $k^-$  has to be imposed in the  
BFKL equation, by a restriction on the  $\mathbf{k}_\perp$   
integration.

→ Kinematical constraint

Ciafaloni (1988)

Catani, Fiorani, Marchesini (1990)

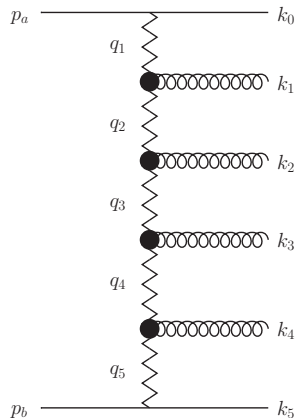
Andersson, Gustafson, Kharraziha, Samuelsson  
(1996)

Kwieciński, Martin, Sutton (1996)

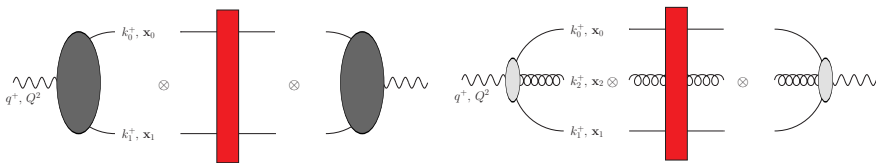
Analog in Mellin space: Salam (1998)

First study in mixed-space:

Motyka, Staśto (2009)



# DIS at high energy at NLO (fixed order)



$$\begin{aligned}
 \sigma_{T,L}^\gamma(Q^2, x_{Bj}) &= 2 \frac{2N_c \alpha_{em}}{(2\pi)^2} \sum_f e_f^2 \int d^2\mathbf{x}_0 \int d^2\mathbf{x}_1 \int_0^1 dz_1 \\
 &\times \left\{ \mathcal{I}_{T,L}^{q\bar{q},LO}(\mathbf{x}_{01}, z_1, Q^2) \left[ 1 + \mathcal{O}(N_c \alpha_s) \right] \left[ 1 - \langle \mathcal{S}_{01} \rangle_0 \right] \right. \\
 &\left. + \frac{2\alpha_s C_F}{\pi} \int_{z_{\min}}^{1-z_1} \frac{dz_2}{z_2} \int \frac{d^2\mathbf{x}_2}{2\pi} \mathcal{I}_{T,L}^{q\bar{q}g}(\mathbf{x}_0, \mathbf{x}_1, \mathbf{x}_2, z_1, z_2, Q^2) \left[ 1 - \langle \mathcal{S}_{012} \rangle_0 \right] \right\}
 \end{aligned}$$

with  $z_n = k_n^+ / q^+$  and  $z_{\min} = \frac{x_{Bj}}{Q^2} \frac{Q_0^2}{x_0}$ .

G.B. (2012); see also Balitsky, Chirilli (2011).

## Extraction of LL from NLO

The LL contained in the NLO term seems consistent with BK

$$\bar{\alpha} \int_{z_{\min}}^{z_f} \frac{dz_2}{z_2} \int \frac{d^2 \mathbf{x}_2}{2\pi} \mathcal{I}_{T,L}^{q\bar{q}g}(\mathbf{x}_0, \mathbf{x}_1, \mathbf{x}_2, z_1, z_2, Q^2) \left[ 1 - \langle \mathcal{S}_{012} \rangle_0 \right]$$

$$\sim \mathcal{I}_{T,L}^{q\bar{q},LO}(x_{01}, z_1) \bar{\alpha} \log\left(\frac{z_f}{z_{\min}}\right) \int \frac{d^2 \mathbf{x}_2}{2\pi} \frac{x_{01}^2}{x_{02}^2 x_{21}^2} \left[ 1 - \langle \mathcal{S}_{012} \rangle_0 \right]$$

because 
$$\mathcal{I}_{T,L}^{q\bar{q}g}(\mathbf{x}_0, \mathbf{x}_1, \mathbf{x}_2, z_1, z_2=0) = \frac{x_{01}^2}{x_{02}^2 x_{21}^2} \mathcal{I}_{T,L}^{q\bar{q},LO}(x_{01}, z_1)$$

However: for any small but **finite**  $z_2 = k_2^+ / q^+$

$$\mathcal{I}_{T,L}^{q\bar{q}g}(\mathbf{x}_0, \mathbf{x}_1, \mathbf{x}_2, z_1, z_2) \ll \frac{x_{01}^2}{x_{02}^2 x_{21}^2} \mathcal{I}_{T,L}^{q\bar{q},LO}(x_{01}, z_1)$$

when  $z_1(1-z_1)x_{01}^2 \ll z_2 x_{02}^2 \simeq z_2 x_{21}^2$ .

⇒ Splitting of a dipole into **much larger** dipoles do not participate to LL's !

Physical interpretation: splitting into too large dipoles violate lifetime ordering of the fluctuations.



## Corrected real gluon emission kernel

Real emission contribution to the usual LL:

$$\bar{\alpha} \frac{dk_2^+}{k_2^+} \frac{d^2\mathbf{x}_2}{2\pi} \frac{x_{01}^2}{x_{02}^2 x_{21}^2} \left\langle S_{02} S_{21} - \frac{1}{N_c^2} S_{01} \right\rangle_{Y_2^+ = \log(k_2^+ / k_{\min}^+)}$$

Ordering in  $k^+$  guaranteed by the choice of factorization scheme/evolution in  $k^+$ , at  $k_f^+$ .

Modification: forbid gluon emission at large distance, multiplying the real contribution by  $\theta(k_f^+ x_{01}^2 - k_2^+ \min(x_{02}^2, x_{21}^2))$

→ Mixed-space analog of the  $k^-$  ordering (kinematical constraint).

Same general idea as in the previous study in mixed space:

Motyka, Staśto (2009)

However: several issues there, in particular the treatment of virtual corrections.

## Calculating virtual corrections from unitarity

Assume the kinematical constraint to preserve the probabilistic interpretation of the parton cascade.

Evolution of  $\langle S_{01} \rangle$  over a finite range  $Y_f^+ = \log(k_f^+/k_{\min}^+)$ :

$$\begin{aligned} \langle S_{01} \rangle_{Y_f^+} &= \langle S_{01} \rangle_0 \times D_{01}(Y_f^+) + \bar{\alpha} \int_0^{Y_f^+} dY_2^+ D_{01}(Y_f^+ - Y_2^+) \\ &\quad \times \int \frac{d^2 \mathbf{x}_2}{2\pi} \frac{x_{01}^2}{x_{02}^2 x_{21}^2} \theta \left( Y_f^+ - Y_2^+ - \log \left( \frac{\min(x_{02}^2, x_{21}^2)}{x_{01}^2} \right) \right) \\ &\quad \times \left\langle S_{02} S_{21} - \frac{1}{N_c^2} S_{01} \right\rangle_{Y_2^+} \end{aligned}$$

with the probability  $D_{01}(Y^+)$  of no splitting for the dipole 01 in the range  $Y^+$ .

## Calculating virtual corrections from unitarity

In the vacuum (absence of target),  $S_{01} = S_{02} = S_{21} = 1$ .  
 → equation determining  $D_{01}(Y^+)$ .

Solution:

$$D_{01}(Y^+) = \exp \left[ -\bar{\alpha} \frac{2C_F}{N_c} \int \frac{d^2 \mathbf{x}_2}{2\pi} \frac{x_{01}^2}{x_{02}^2 x_{21}^2} (Y^+ - \Delta_{012}) \theta(Y^+ - \Delta_{012}) \right]$$

where the shift  $\Delta_{012}$  should behave as

$$\Delta_{012} = 0 \quad \text{for} \quad x_{02}^2 \lesssim x_{01}^2 \quad \text{and} \quad x_{21}^2 \lesssim x_{01}^2$$

$$\Delta_{012} \sim \log \left( \frac{x_{02}^2}{x_{01}^2} \right) \sim \log \left( \frac{x_{21}^2}{x_{01}^2} \right) \quad \text{for} \quad x_{01}^2 \ll x_{02}^2 \sim x_{21}^2$$

Possible choices:

$$\Delta_{012} = \max \left\{ 0, \log \left( \frac{\min(x_{02}^2, x_{21}^2)}{x_{01}^2} \right) \right\}$$

or

$$\Delta_{012} = \max \left\{ 0, \log \left( \frac{|\mathbf{x}_{02} \cdot \mathbf{x}_{21}|}{x_{01}^2} \right) \right\}$$

# Kinematically constrained BK equation (kcBK)

Rewriting the new evolution equation as a differential equation and discarding irrelevant terms explicitly of order NLL:

$$\partial_{Y^+} \langle \mathcal{S}_{01} \rangle_{Y^+} = \bar{\alpha} \int \frac{d^2 \mathbf{x}_2}{2\pi} \frac{x_{01}^2}{x_{02}^2 x_{21}^2} \theta(Y^+ - \Delta_{012})$$
$$\times \left\{ \left\langle \mathcal{S}_{02} \mathcal{S}_{21} - \frac{1}{N_c^2} \mathcal{S}_{01} \right\rangle_{Y^+ - \Delta_{012}} - \left( 1 - \frac{1}{N_c^2} \right) \langle \mathcal{S}_{01} \rangle_{Y^+} \right\}$$

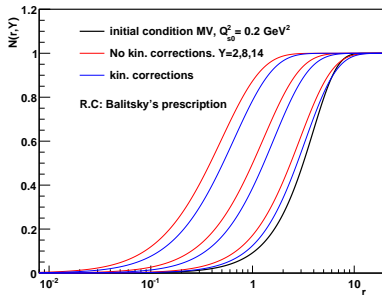
G.B., arXiv:1401.0313

Slows down the BK evolution:

- Restriction of phase space by the theta function
- Shift of the  $Y^+$  argument of the dipole amplitude in the real term but not in the virtual term.

Large effect especially at small  $Y^+$ .

# Numerics for kcBK

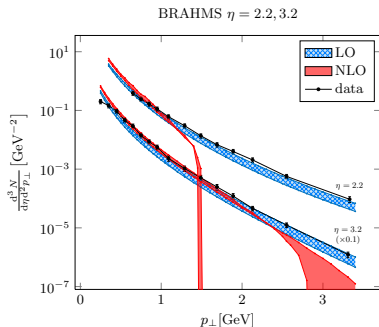


Main effects of the kinematical constraint (running coupling case):

- slows down the beginning of the  $Y^+$  evolution, especially for softer initial  $Q_s$
- at large  $Y^+$ :  $\sim$  constant rescaling of the saturation scale

Work in progress; Albacete, Armesto, G.B., Milhano

# Back on the issues at NLO+LL for $p_A$



Staśto, Xiao, Zaslavsky (2013)

Possible origin of large negative NLO corrections: Use of the standard BK instead of kcBK to subtract LL's from NLO terms.

Alternative explanation: subtraction of LL's from NLO terms not done in a consistent factorization scheme.

Kang, Vitev, Xing (2014)

# Conclusion

The kinematical constraint is required to make high-energy evolution equations consistent at finite energies.

It allows to

- resum the LLs actually present in observables at NLO and beyond  
→ from naive LL to consistent LL accuracy!
- reproduce the correct DLL limit of DGLAP
- enforce strong  $x^+$ -time ordering of the fluctuations along the evolution

Kinematically consistent BK equation (kcBK) now available.

- Impact on phenomenological studies?
- What about JIMWLK?