

A search for dark matter through invisible decays of the Higgs boson

Josh Kunkle

Advisor : Elliot Lipeles

PhD Thesis defense

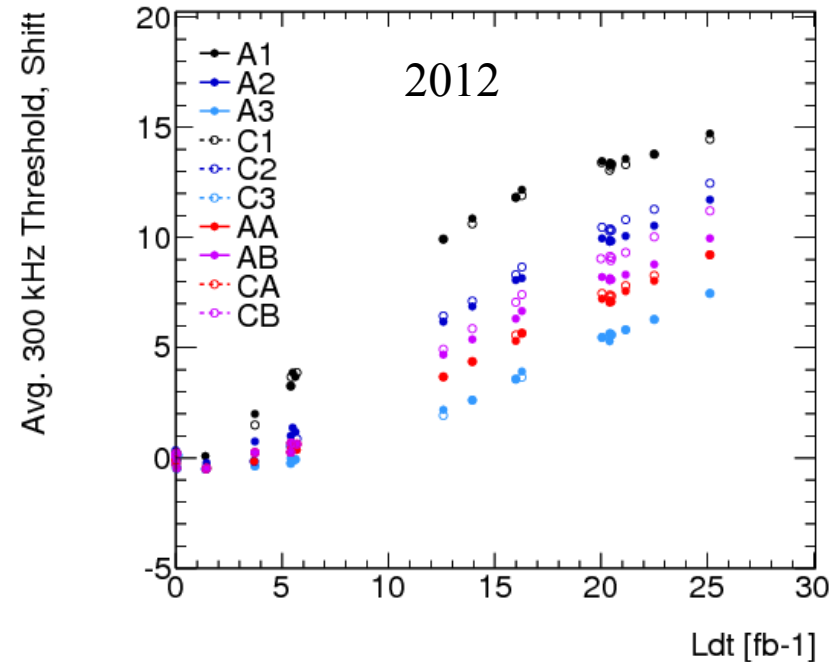
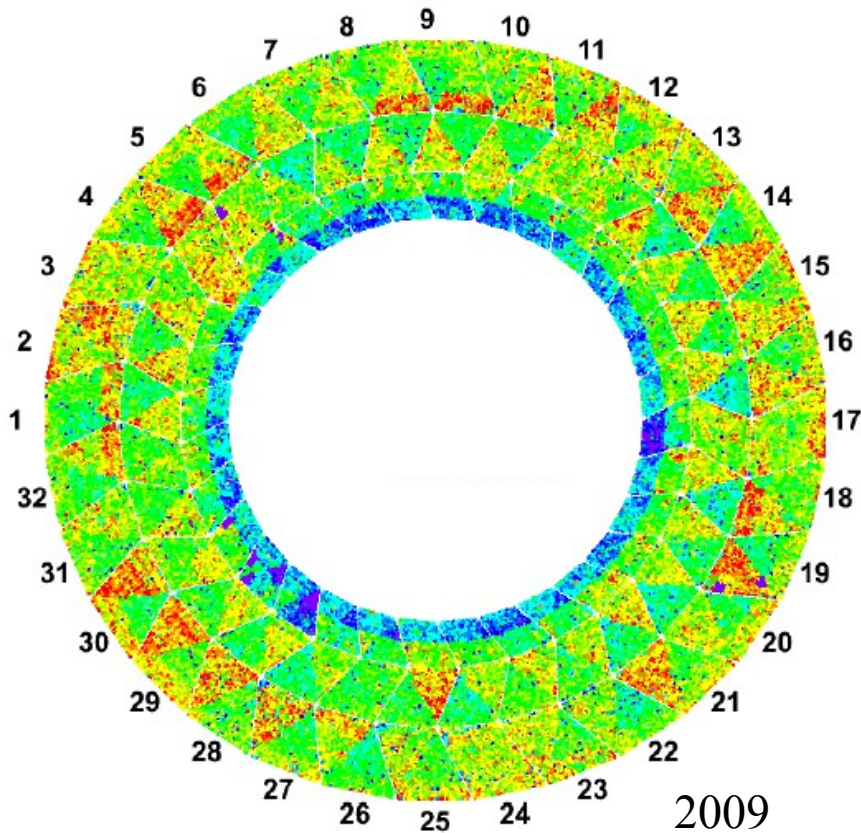
July 11, 2013



My work with Penn on ATLAS

- Modification and installation of TRT readout electronics
- Developed software to analyze scans of the TRT

2008



My work with Penn on ATLAS

2008



- Modification and installation of TDT readout

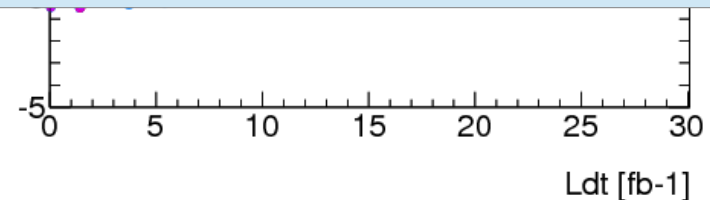
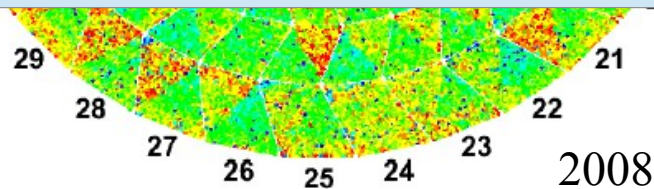
2011-07-26

Dear Colleagues,

I would like to thank our expert crew, which fixed the problem with the low voltage cable in the pit. Now we are fully back. This work was done in a very difficult conditions without space, cooling, light and air but with magnetic field of 1.5 T and residual radiation.

...

Taking in to account environmental conditions I would think that this crew is qualified to be a part of any submarine or shuttle expedition. Thank you very much Liz, Dominick, Jon and Josh!
Best regards Anatoli



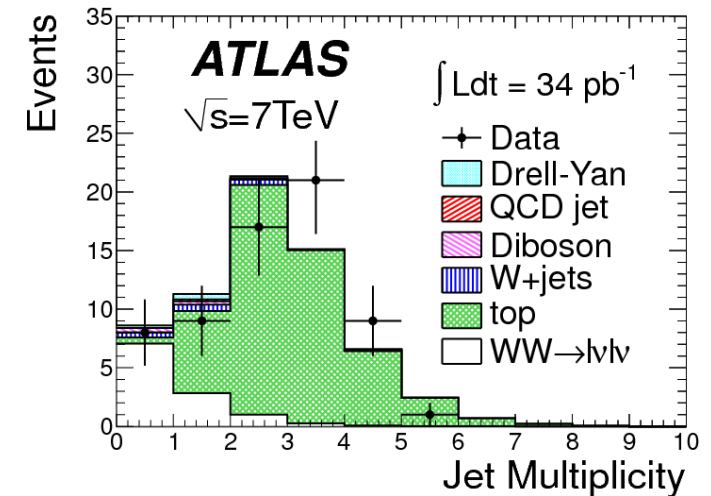
My work with Penn on ATLAS

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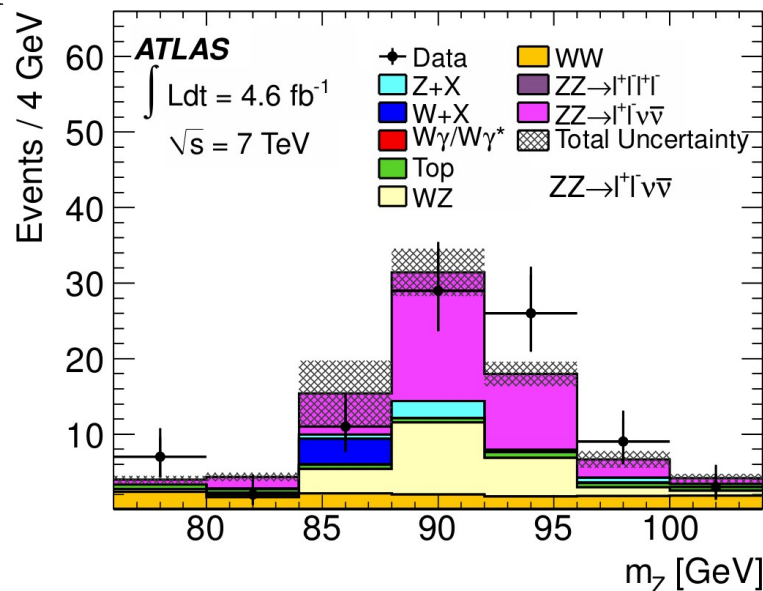
Trigger rates

- Predicted trigger rates for the first data
- Helped to develop an enhanced bias trigger for predicting rates at higher luminosity

Physics in 2010 – WW cross section

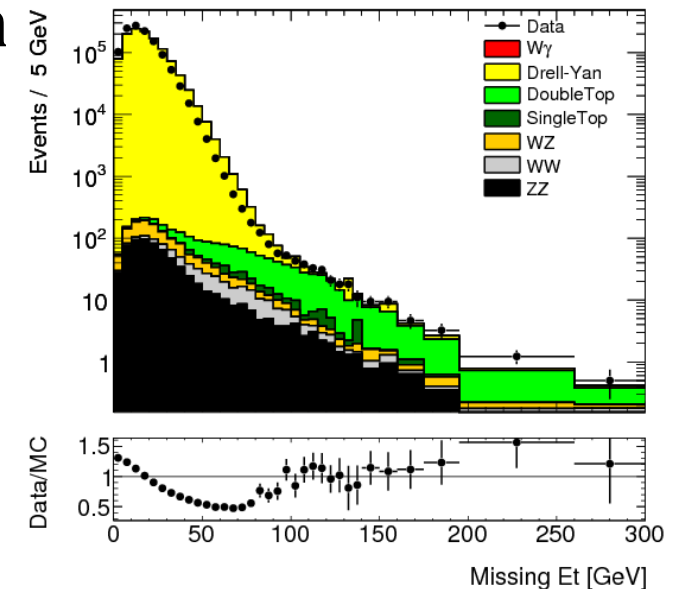


Physics in 2011 – ZZ cross section



Studies of missing transverse momentum

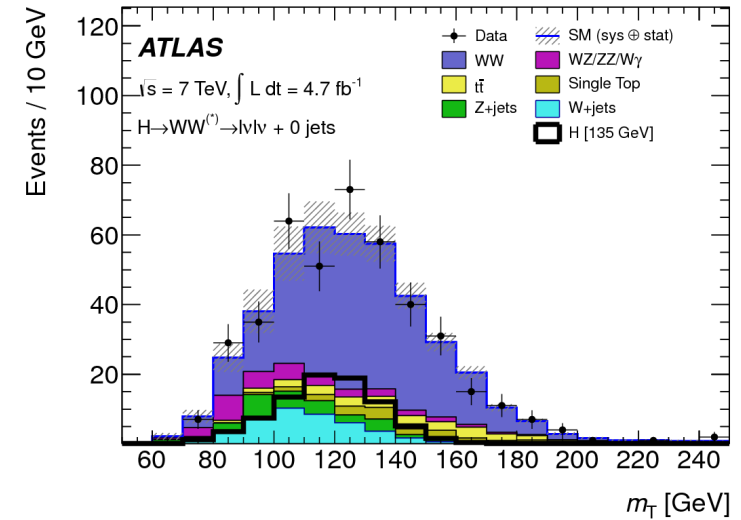
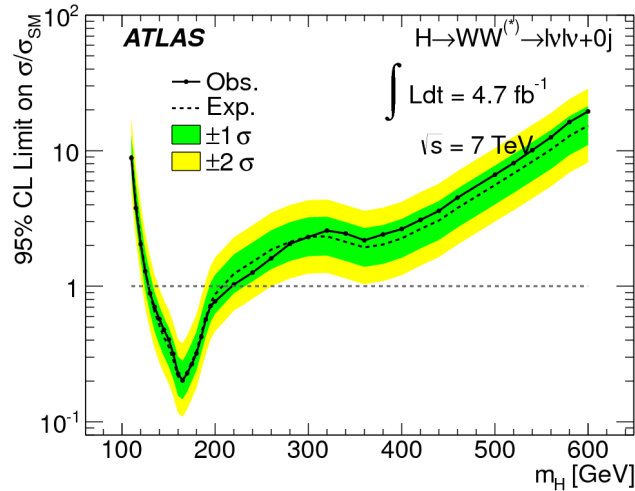
2010 -
2012



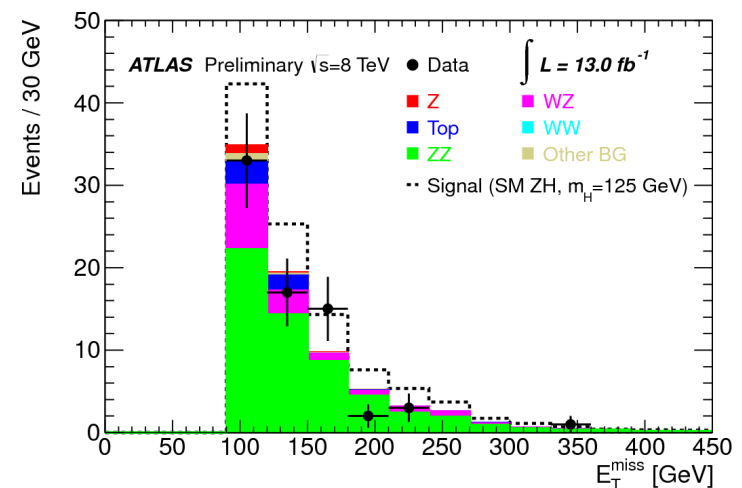
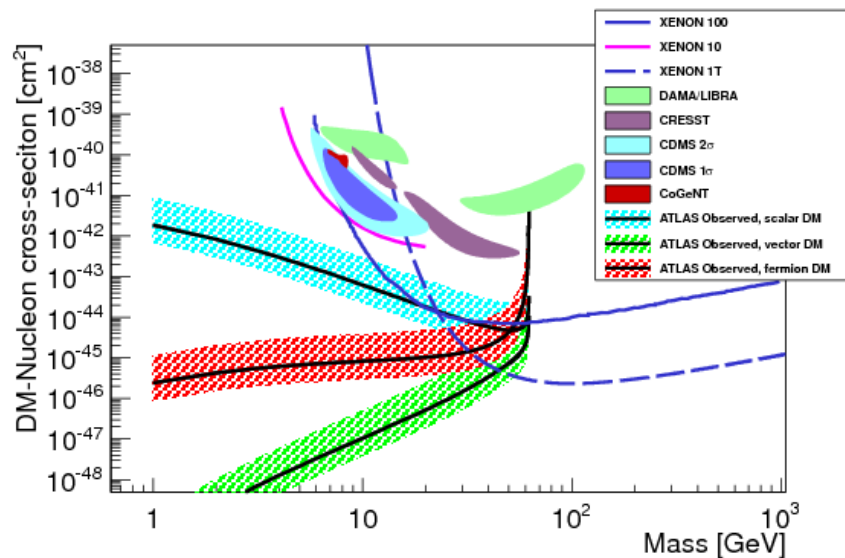
My work with Penn on ATLAS

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Physics in 2011 – Search for $H \rightarrow WW$



Physics in 2012 – Invisible Higgs



- Dark matter
- The Higgs
- The Higgs and dark matter – Higgs portal models
- The LHC
- The ATLAS experiment
- Standard Model measurements
- Search for $H \rightarrow WW$
- Search for $ZH, H \rightarrow \text{invisible}$
- Single photon method
- Dark matter results

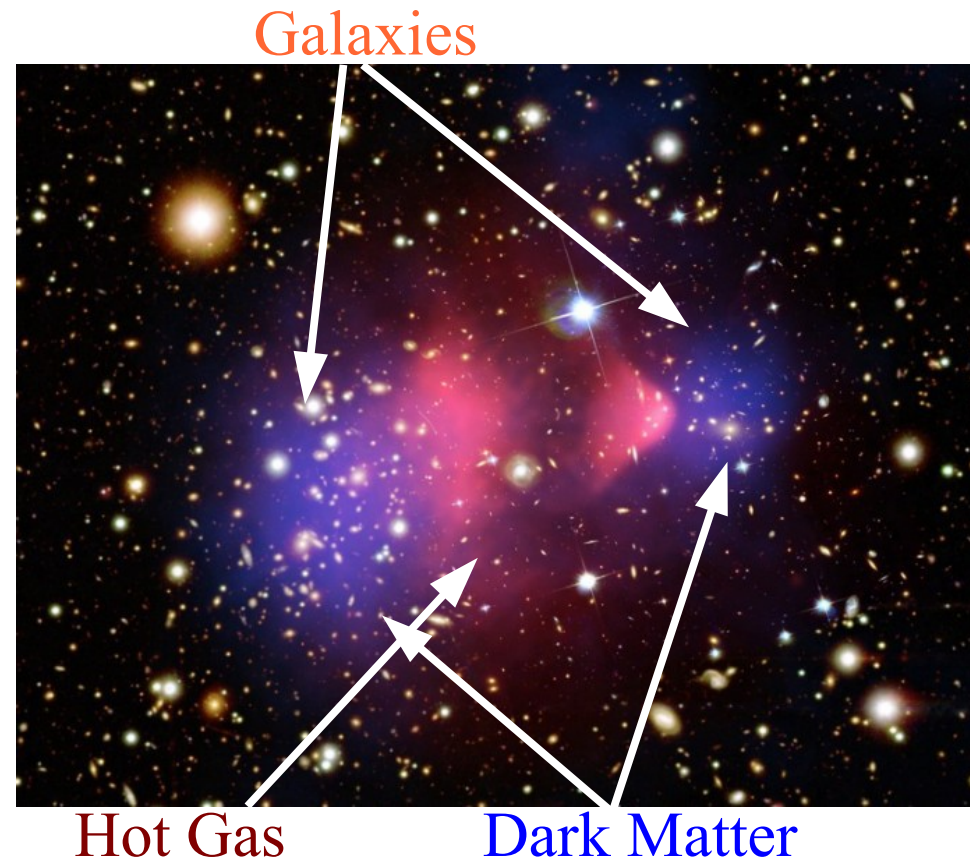
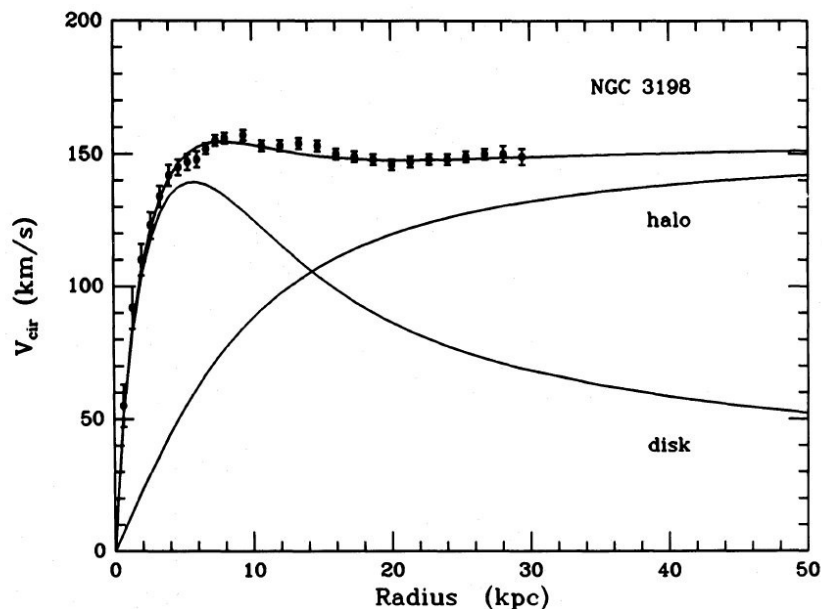
Dark matter

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The existence of dark matter is our most concrete evidence for physics beyond the Standard Model

Dark matter has only been observed through its gravitational interaction

- Galaxy rotation curves
- Weak lensing – Bullet cluster
- Cosmic Microwave Background



Is there hope of seeing dark matter at the particle level?
We think so!

Dark matter – the WIMP hypothesis 8 / 48

In early universe, dark matter annihilation is in **equilibrium**, followed by “**freeze out**”

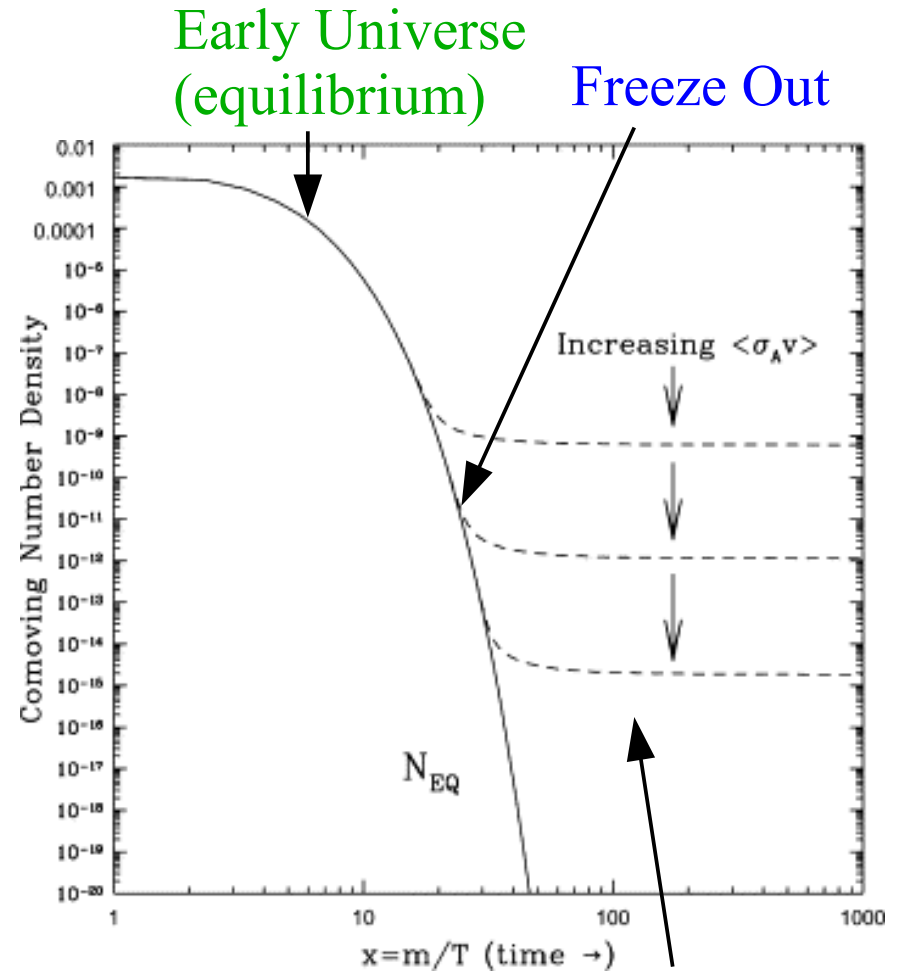
We know how much dark matter existed in the **early universe** (CMB), and we measure the **current “relic” density**

Weak scale cross section is required to produce observed relic density

Experimental limits indicate that the dark matter particle has a mass between a few GeV and a TeV

[Phys. Rev. Lett. 107, 241303 (2011)]

Possibly has large coupling to the Higgs



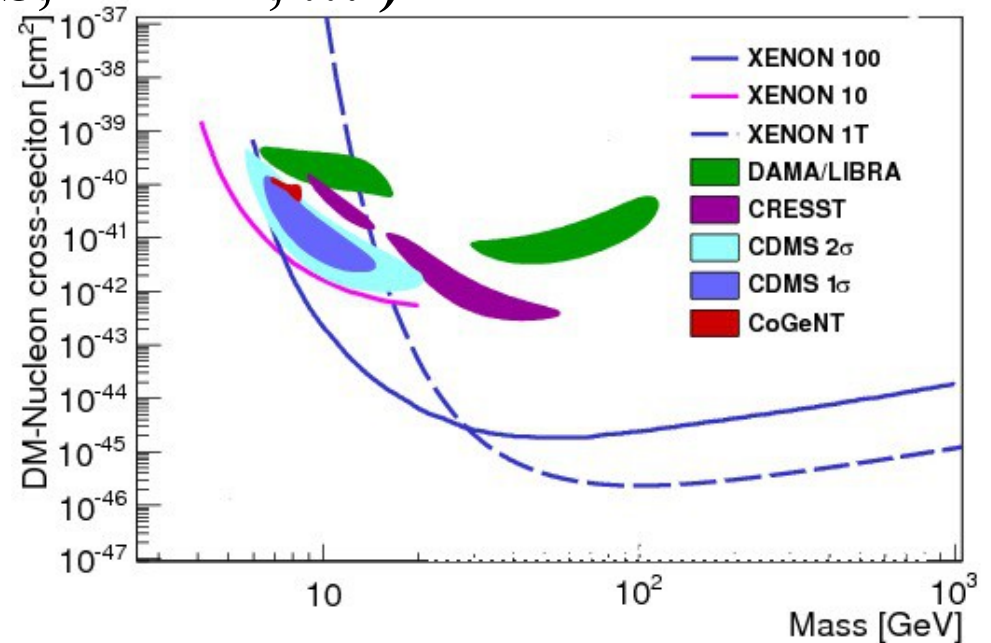
Scattering detectors (XENON, CDMS, DAMA, ...)

Earth moves through the dark matter halo

Design low noise detectors to detect dark matter scatters

Limits + observations (some conflicting!)

CDMS – $M_{\text{DM}} = 8.6 \text{ GeV}$ (2013)



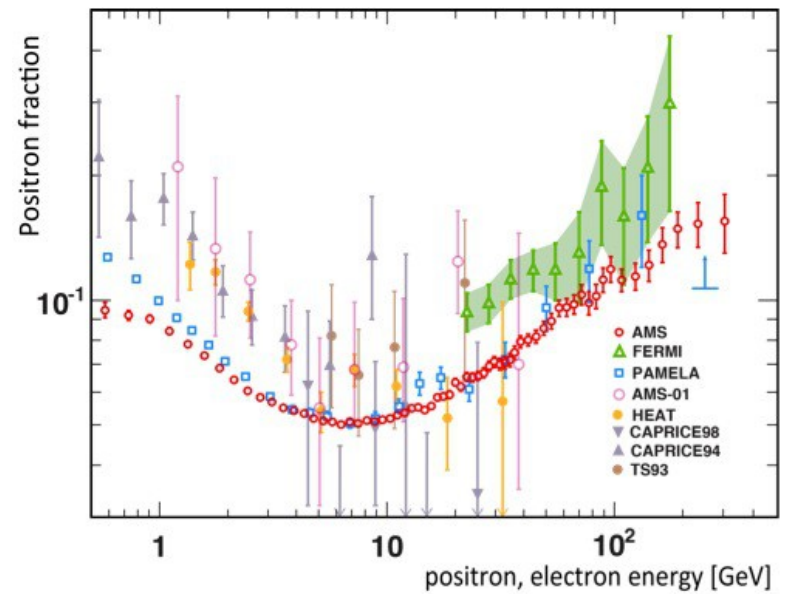
Colliders (LHC)

Search for dark matter production in proton collisions

Form of interaction is unknown – parametrize with an effective theory

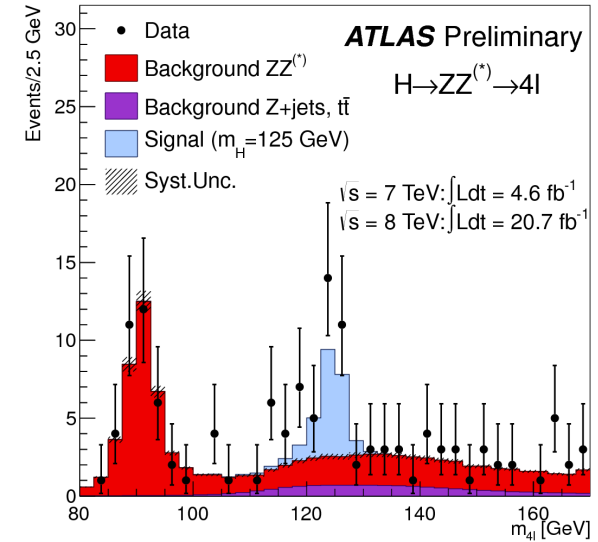
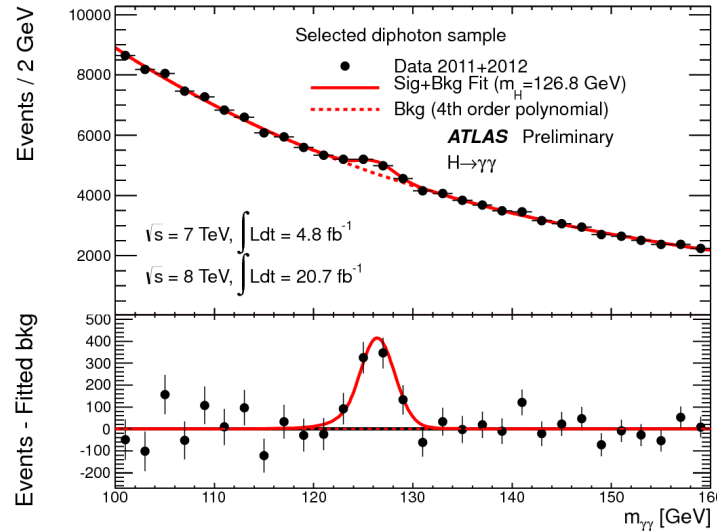
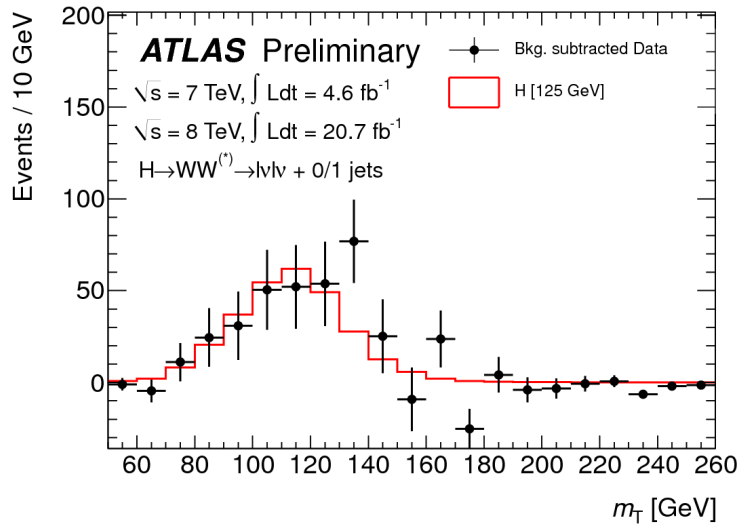
Must be produced with some other object (photon, jet, Z, W) to be visible

Cosmic rays (AMS, PAMELA, ...)



The Higgs boson

We found it!



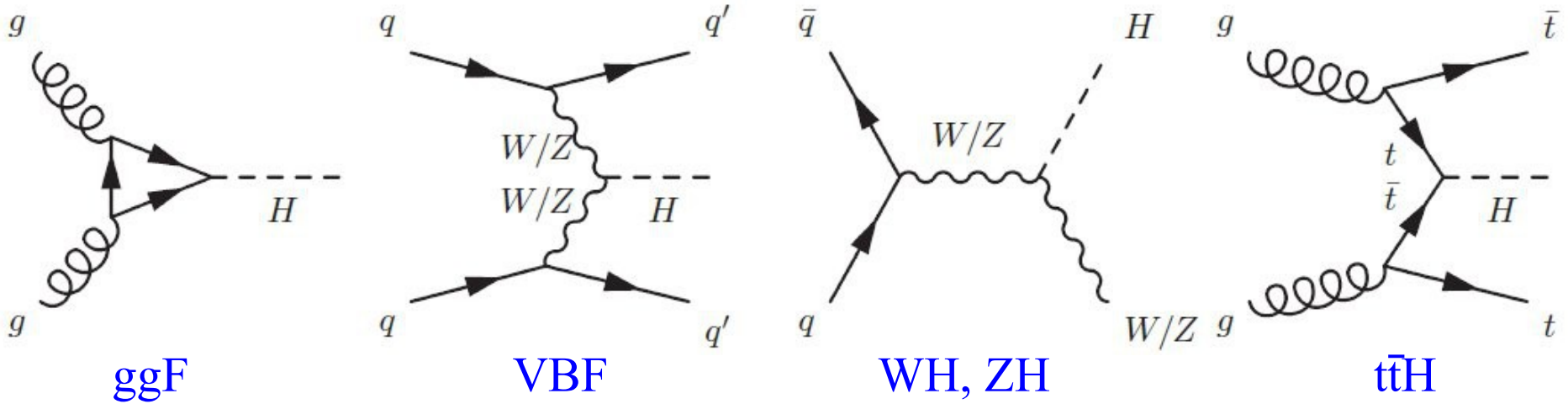
Generates masses for the W and Z bosons during electroweak symmetry breaking

Mechanism of mass generation extended to all other massive particles through Yukawa couplings

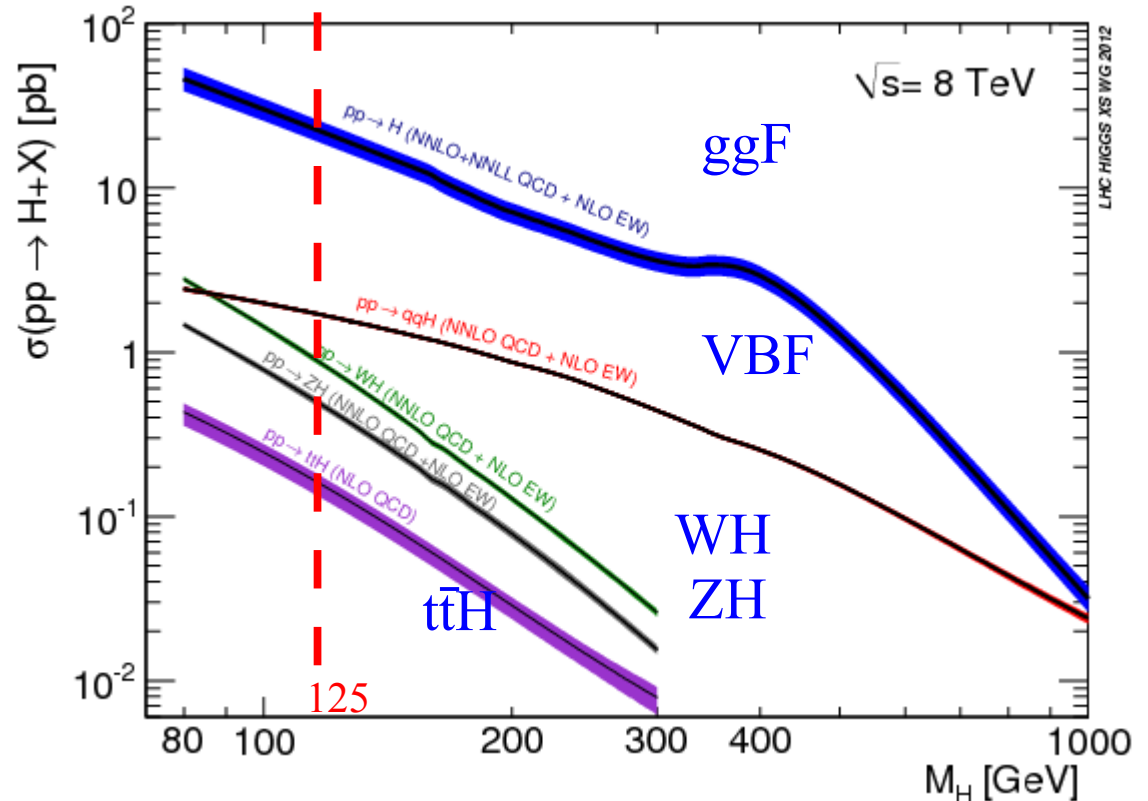
Spectrum of decay products depends on Higgs mass.

- We are fortunate to have $M_H = 125$ where the decay phenomenology is rich

The Higgs at the LHC



- Main production through gluon fusion (Higgs coupling to light quarks is too small – proceeds through a top loop)
- VBF and associated production at the edge of sensitivity
- Invisible Higgs search in ZH channel

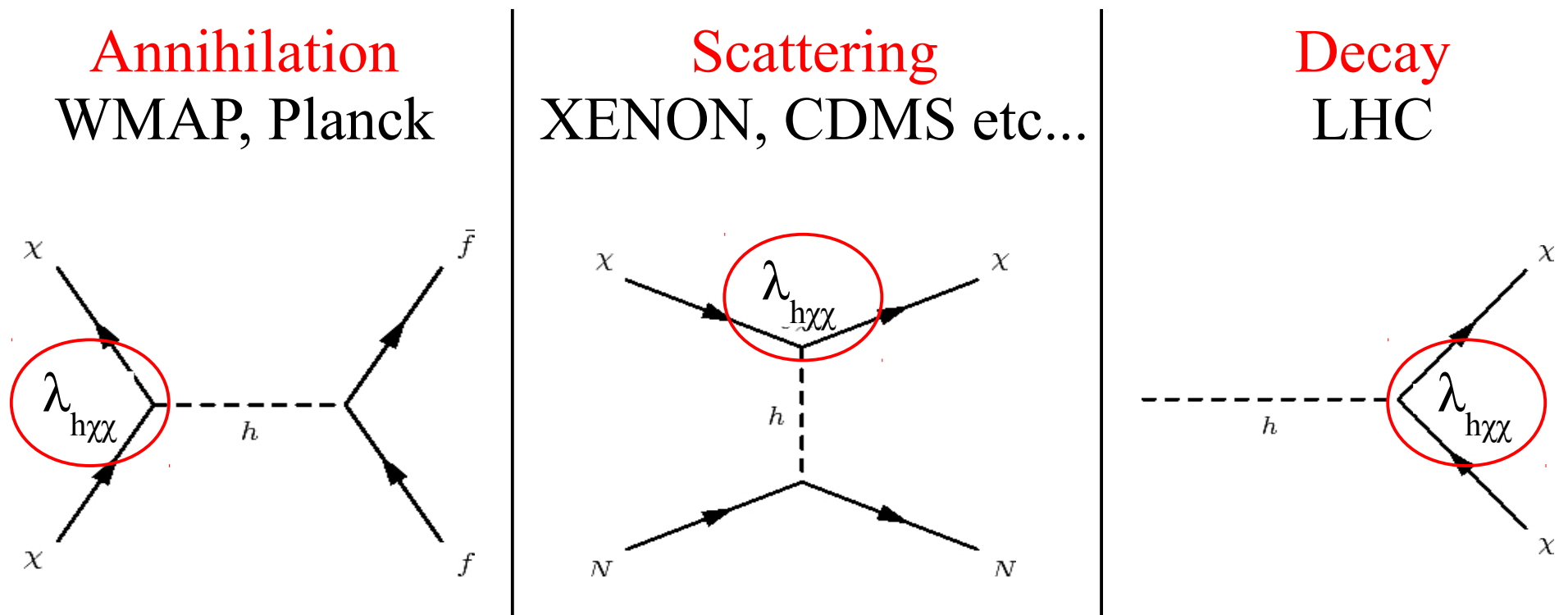


Higgs portal models

- **Simple assumption** – Dark matter interacts with the Standard Model only through the Higgs
 - Introduce one coupling constant $\lambda_{h\chi\chi}$
- **Well motivated** – What *can* Dark matter couple to?
 - Cannot couple to photons (dark)
 - Cannot couple to Z bosons (already excluded)
 - DM is massive – could couple to the Higgs
- **Generic** – Subsumes a detailed model such as SUSY
- Facilitates comparisons to other dark matter experiments

Higgs portal models

- Three modes of DM detection



- The coupling vertex allows us to compare results from these three modes on the same footing

Converting between modes

Annihilation

$$\langle \sigma^{\chi} v_r \rangle$$

Scattering

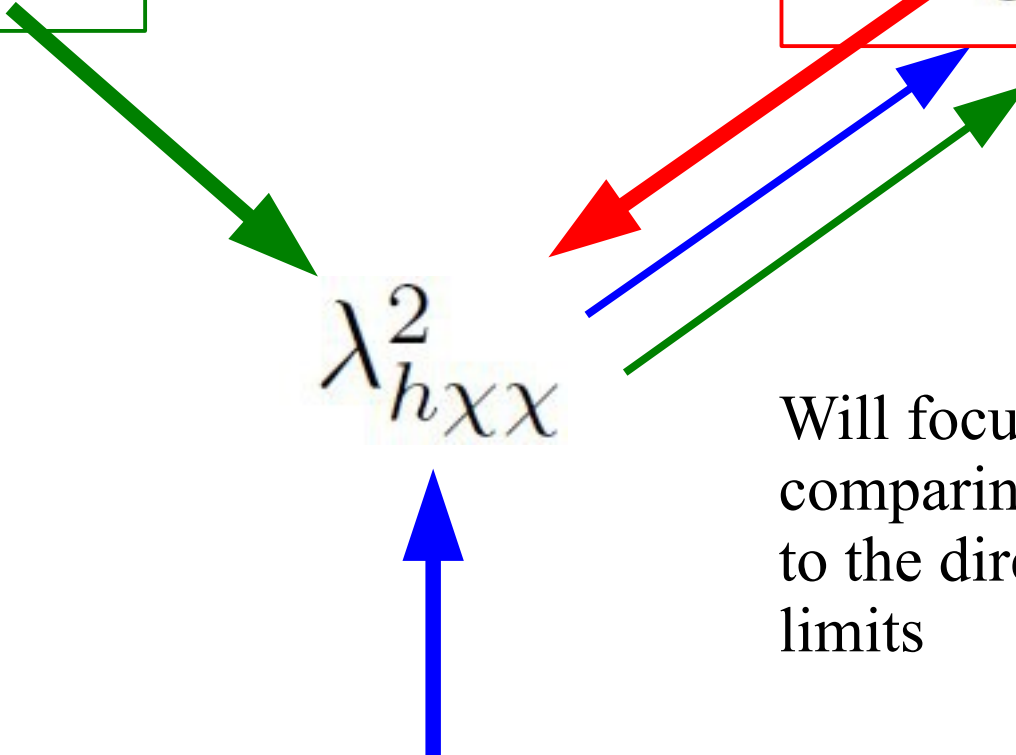
$$\sigma_{N\chi}^{SI}$$

$$\lambda_{h\chi\chi}^2$$

$$\Gamma_{h \rightarrow \chi\chi}$$

Decay

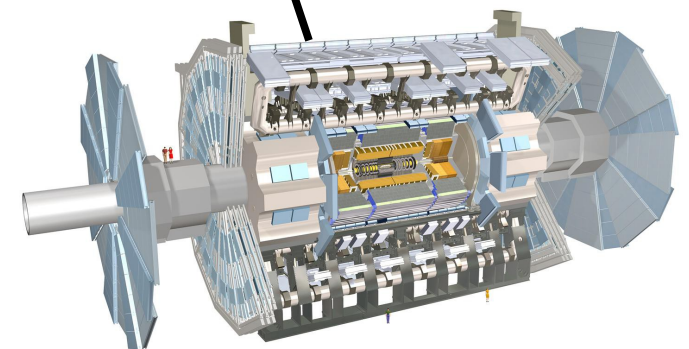
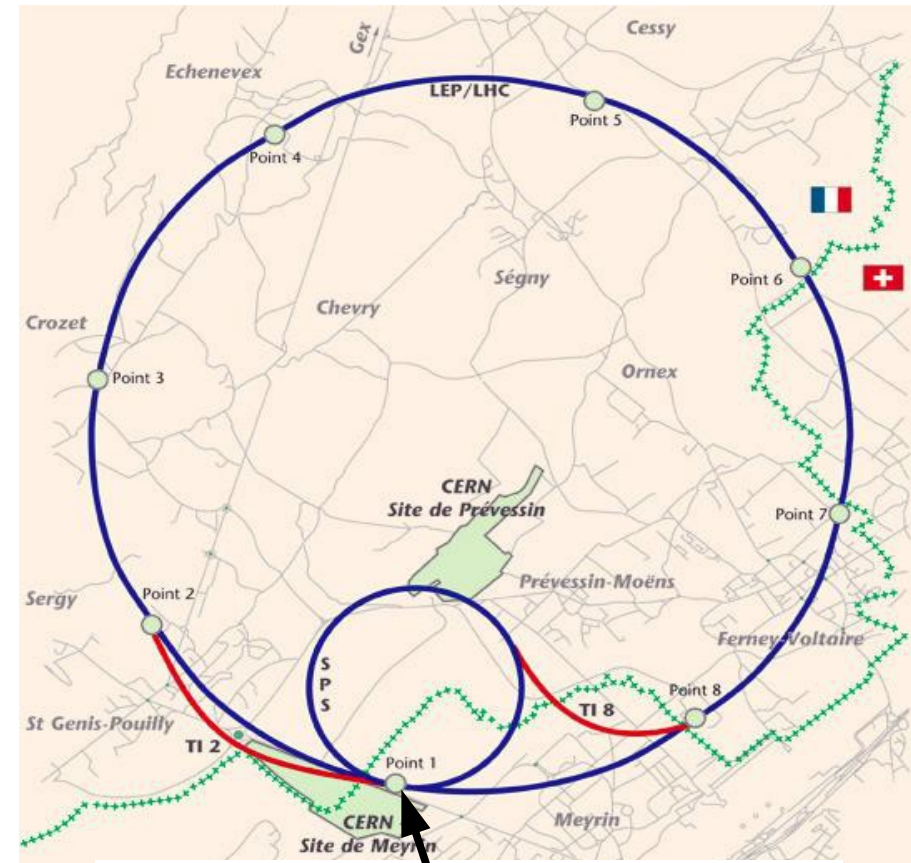
Will focus on comparing LHC limits to the direct detection limits



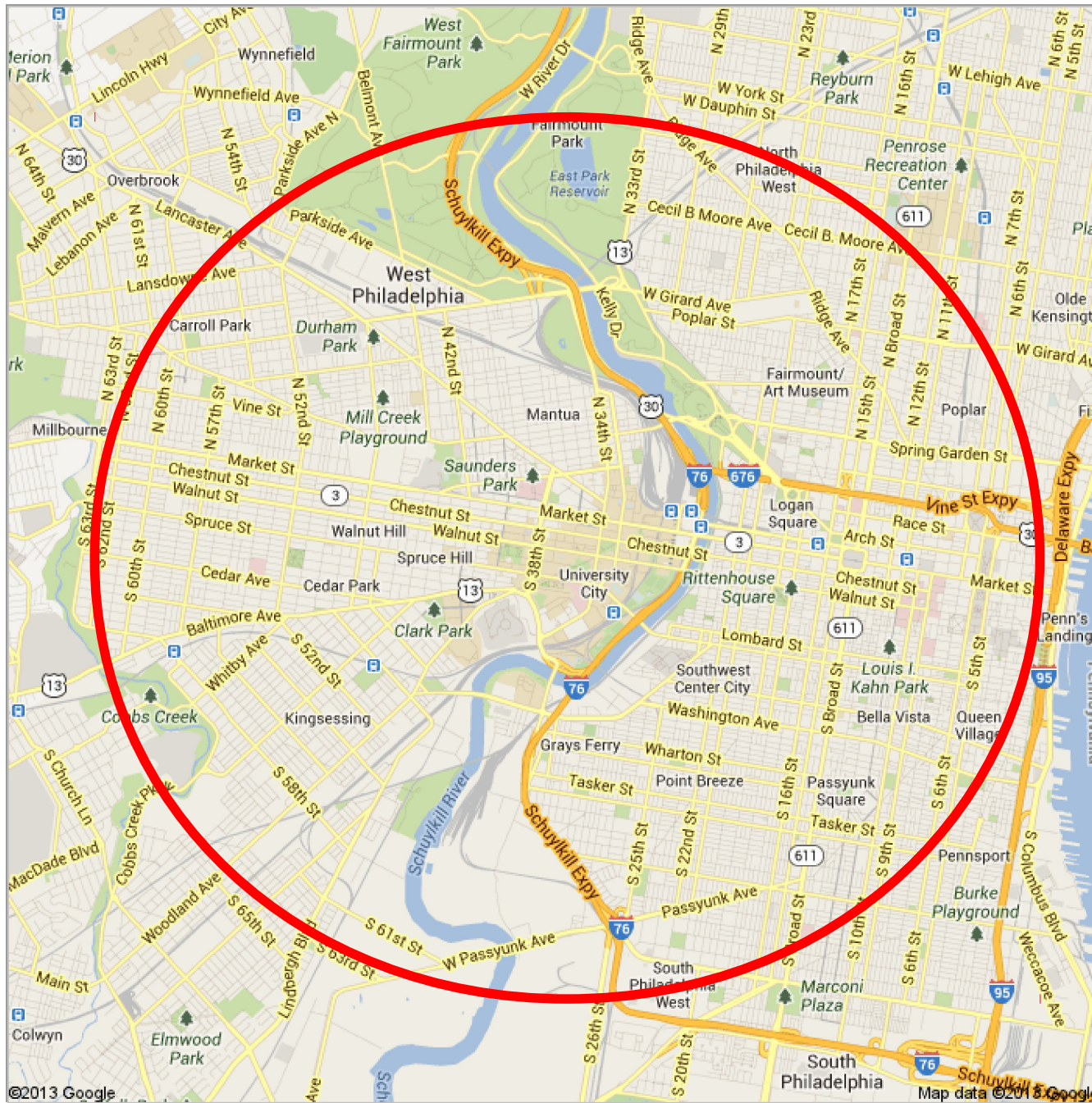
The LHC

“Largest and most complex scientific instrument ever built”

- 27 km long circular tunnel 100 m underground with 1232 superconducting dipole magnets cooled to 1.9 K plus over 5000 focusing and correction magnets
- Two counter-rotating beams of protons colliding at four points (ATLAS, LHC-b, CMS, ALICE)
- Proton-proton collisions at COM energy of 7 TeV and 8 TeV (upgrading to 13-14 TeV)
- Nominally in 2012 : 1.5×10^{11} protons per bunch x 1380 bunches per beam colliding at 20 MHz
- Beam is squeezed to $16 \mu\text{m}$ at collision
- 400 million collisions per second in ATLAS



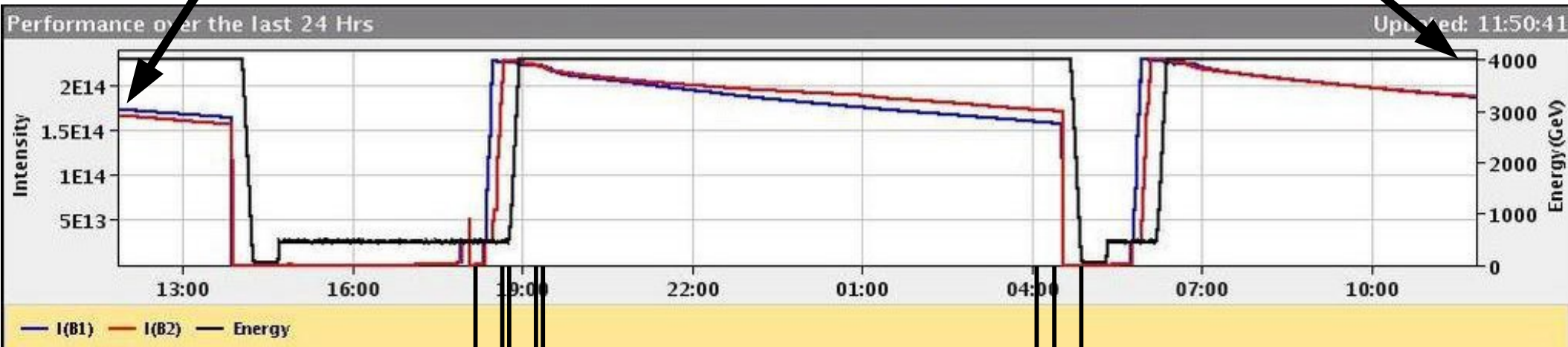
If the LHC was in Philly ...



An LHC fill

Beam intensity (each beam)

Magnet current (beam energy equivalent)



Filling :

The protons travel through 6 separate accelerators before injection into the LHC

Ramp : Beam energy is increased from 450 GeV to 4 TeV

Stable beams :

Data taking

Main beam loss is from collisions!

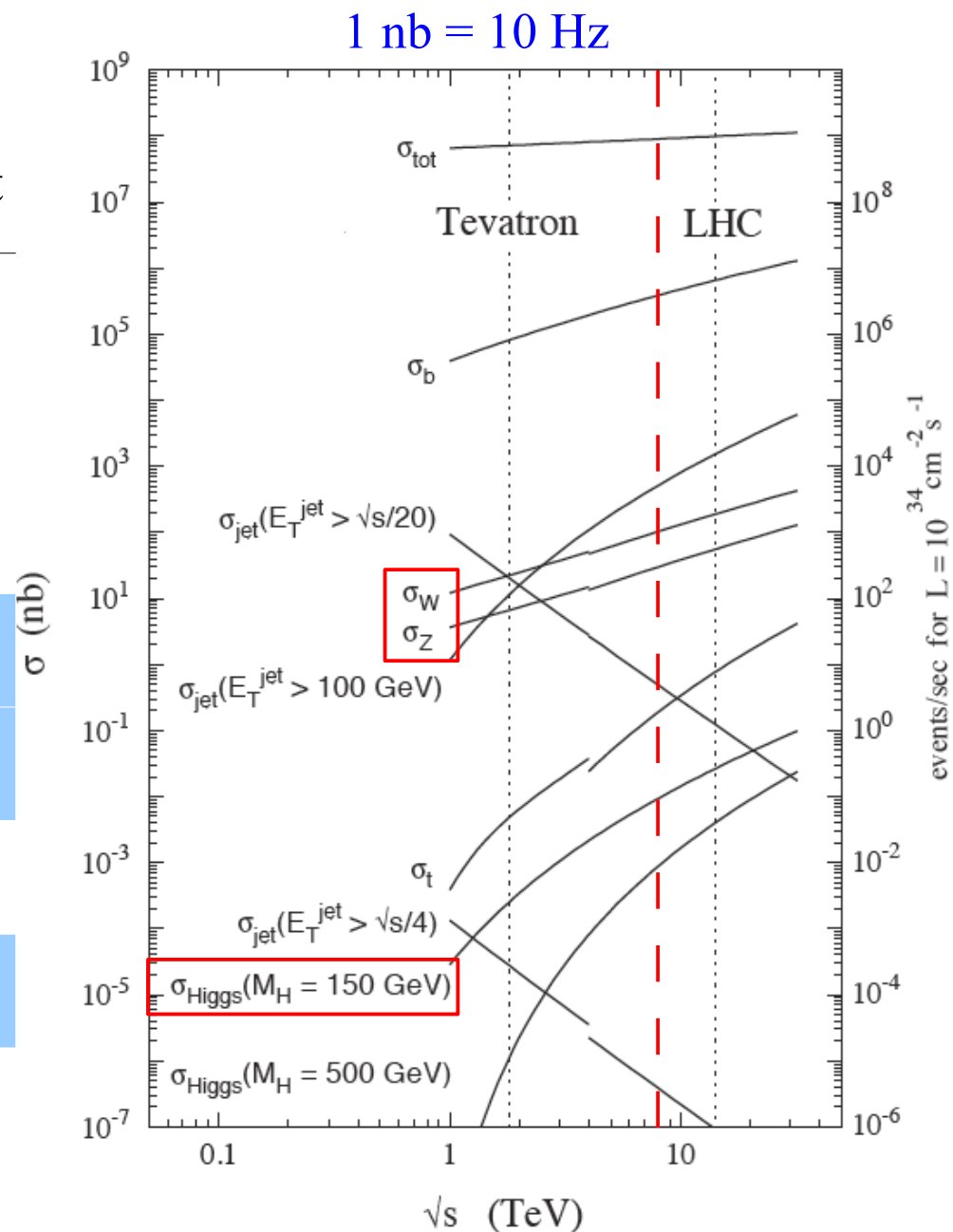
Record : 22.8 hours,
0.24 fb⁻¹

Beam dump :

Many causes –
The beam is sensitive

Event rates at the LHC

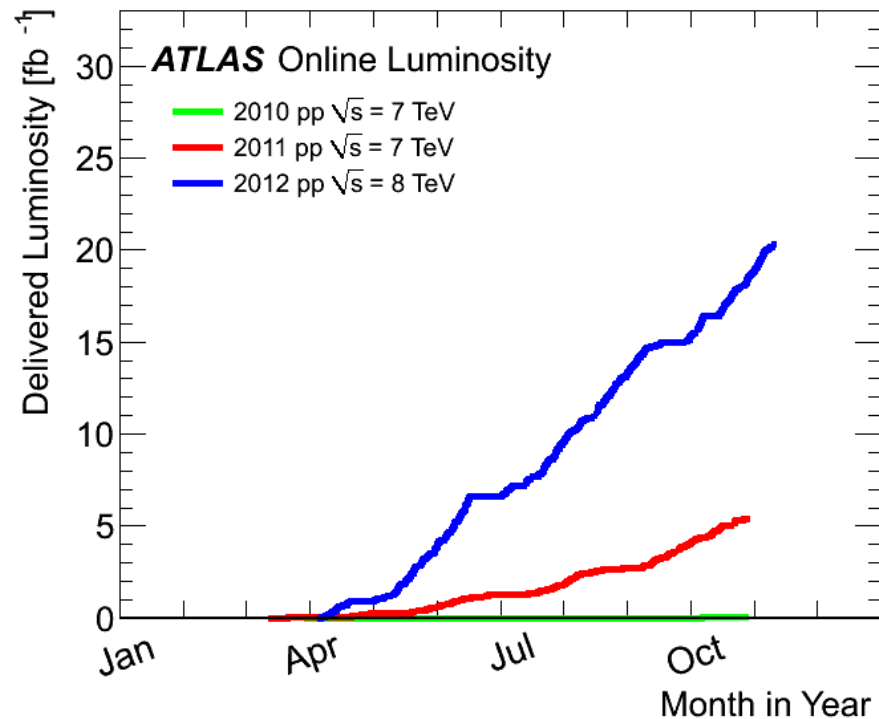
Channel	Total rate (Hz)	Selected rate (Hz)	Selected Average Δt
bb	4×10^6	4×10^6	$0.23 \mu\text{s}$
W (lv)	1000	300	3.3 ms
Z (ll)	300	30	33 ms
WW (lvlv)	0.4	0.04	25 s
ZZ (llvv)	0.08	2×10^{-3}	8.3 min
H	0.2		5 s (total)
H \rightarrow WW	0.04	4×10^{-3}	4.2 min
H $\rightarrow \gamma\gamma$	4×10^{-4}	4×10^{-4}	42 min
H \rightarrow ZZ (4l)	5×10^{-3}	5×10^{-5}	5.5 hr



Why we need 400 million collisions per second!

The LHC ran in 2010-2012
producing more data each year

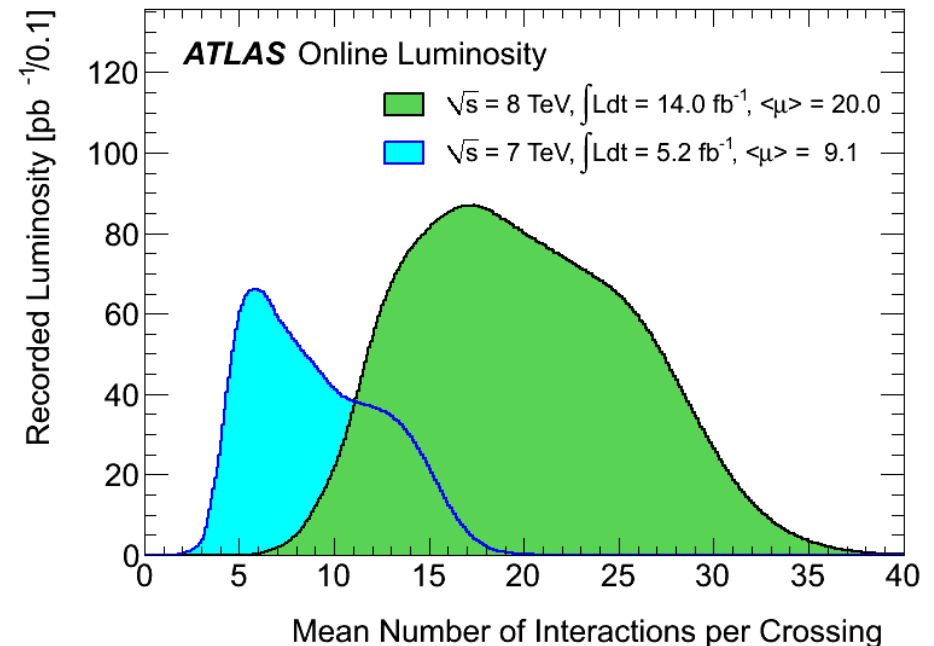
- 2010 – 34 pb⁻¹
- 2011 – 4.6 fb⁻¹
- 2012 – 21 fb⁻¹



1 fb⁻¹ is approximately 100 trillion
proton-proton collisions

To achieve the highest luminosities,
must have multiple proton-proton
collisions per bunch crossing –
Pileup

An interesting event is
accompanied by multiple “noise”
events



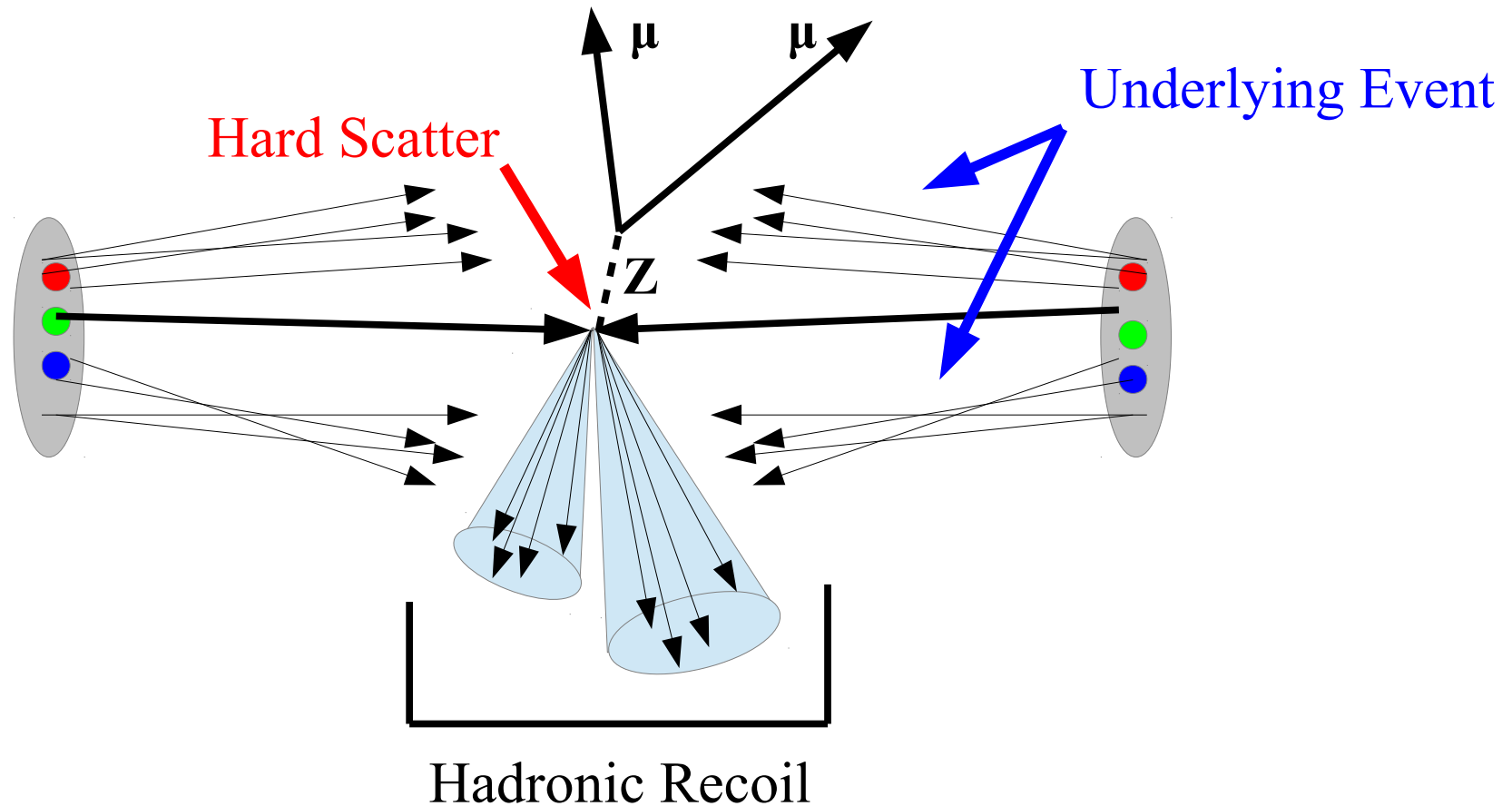
Proton-Proton collisions

Use Z production as example

Hard Scatter – parton-parton collision producing high p_T particles

Hadronic Recoil – hadrons recoiling against Z boson p_T , usually identified as jets

Underlying Event – Remnants of the proton not involved in hard scatter



Proton-Proton collisions

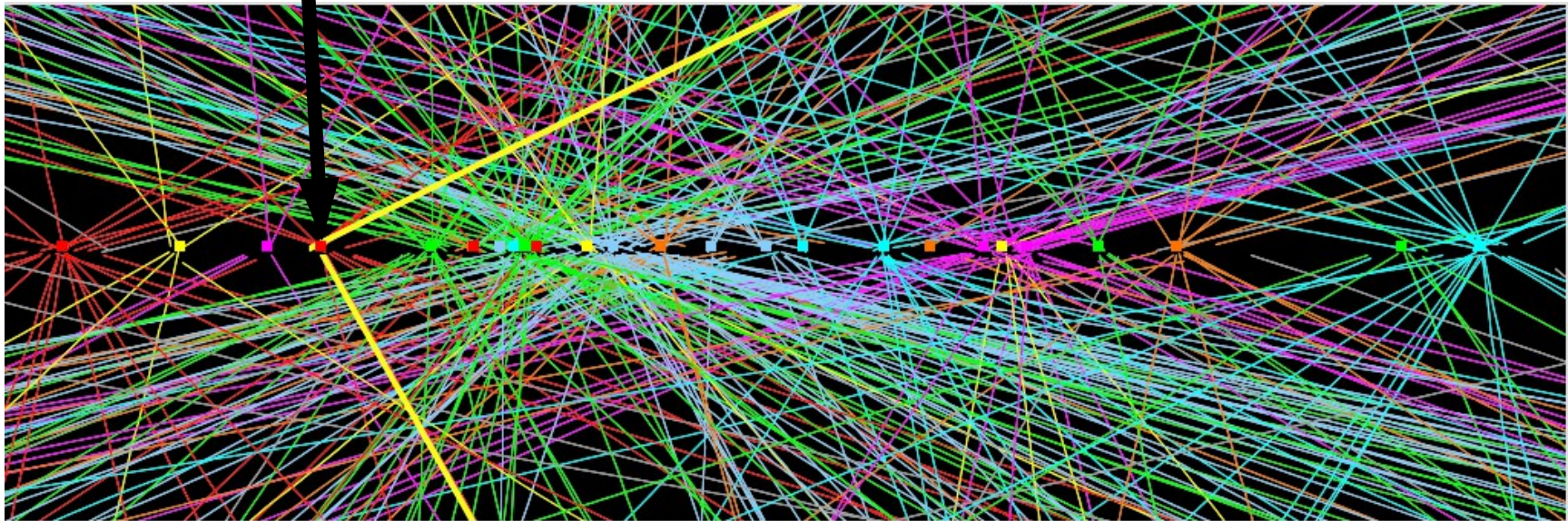
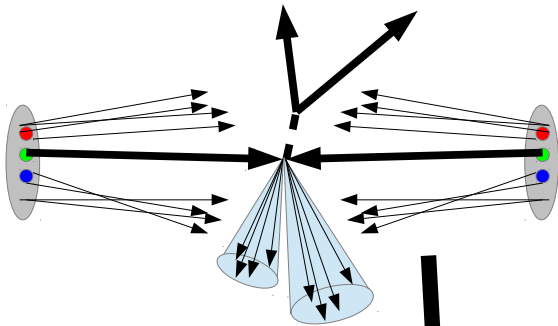
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Hard Scatter – parton-parton collision producing high p_T particles

Hadronic Recoil – hadrons recoiling against Z boson p_T , usually identified as jets

Underlying Event – Remnants of the proton not involved in hard scatter

Pileup – Additional proton-proton interactions



The ATLAS detector

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ATLAS – General purpose detector

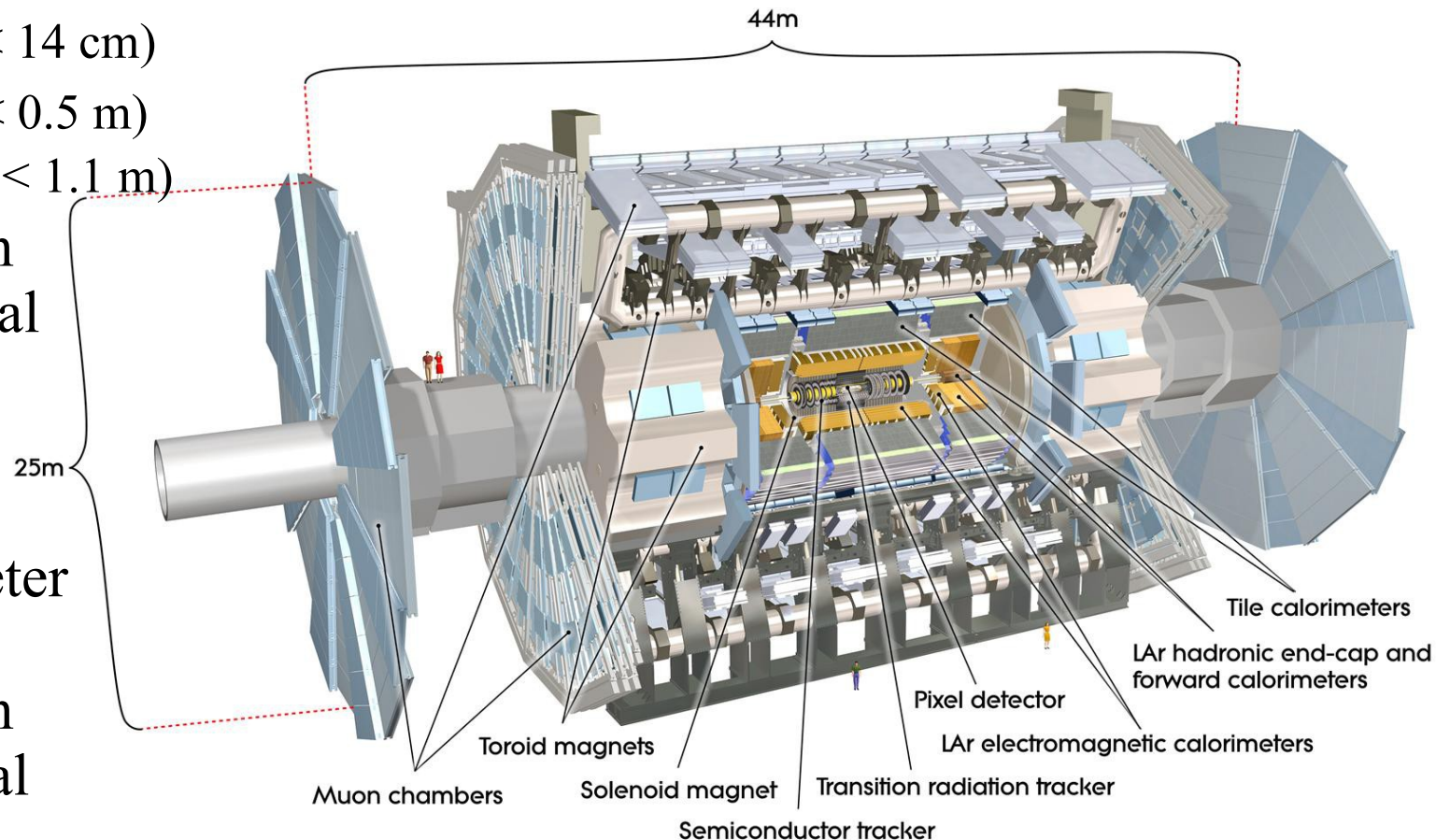
- Fully reconstruct event kinematics
- Record as many events as possible

Sub detectors

- Tracker
 - Pixel ($4\text{ cm} < r < 14\text{ cm}$)
 - SCT ($0.3\text{ m} < r < 0.5\text{ m}$)
 - TRT ($0.55\text{ m} < r < 1.1\text{ m}$)
- Contained within 2 Tesla Solenoidal B-field
- Electromagnetic (EM) calorimeter
- Hadronic calorimeter
- Muon system
 - Contained within 0.5 Tesla Toroidal B-field

Trigger

Level	Output rate
1 (hardware)	75 kHz
2	3 kHz
3 (Event Filter)	400 Hz



Objects

Electrons :

Narrow shower in EM calorimeter + track

Photons :

Narrow shower in EM calorimeter + no track (or + conversion tracks)

Jets :

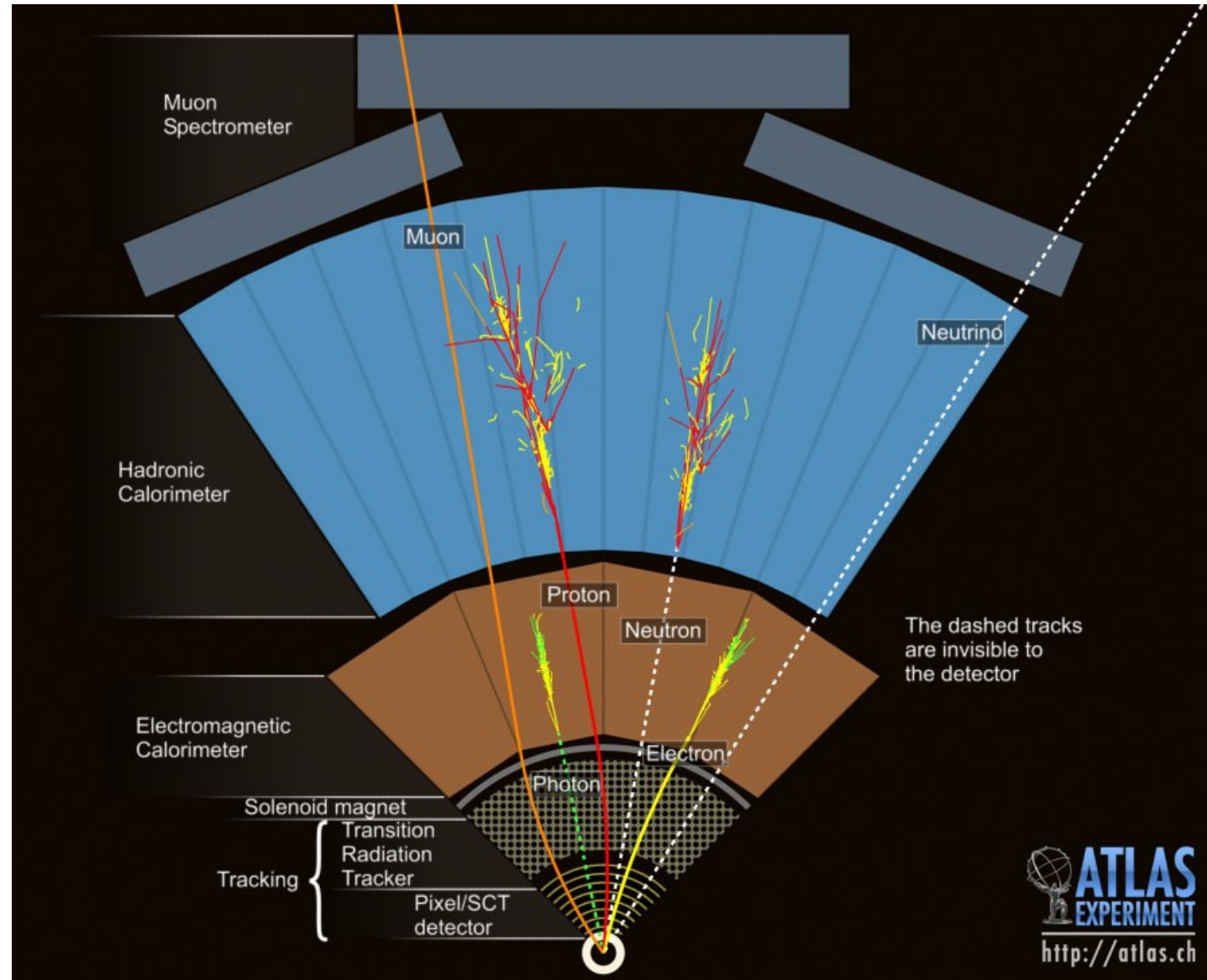
Collections of boosted hadrons. Wide shower in hadronic calorimeter

Muons :

Pass through calorimeters, track in Muon Spectrometer

Neutrinos : Undetected

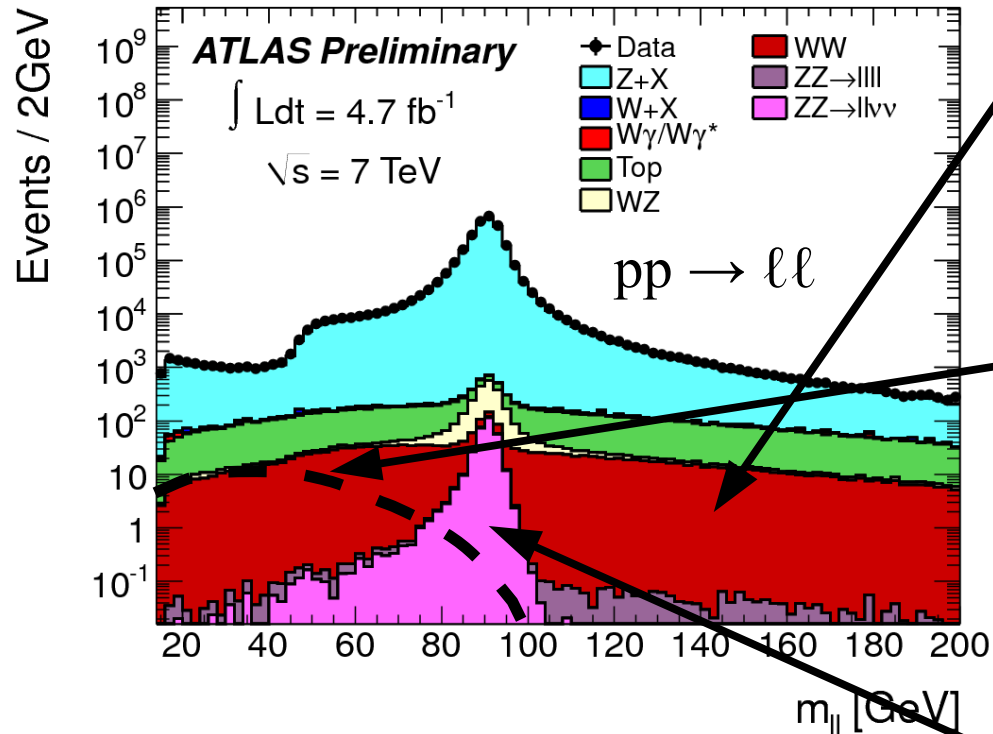
– infer presence from transverse momentum imbalance



Diboson physics

I have had the opportunity to work on a number of diboson analyses

Common theme : $\ell\ell + \text{Met}$ final state



$WW \rightarrow \ell\nu\ell\nu$

- [Phys.Rev.Lett. 107 \(2011\) 041802](#)
(34 pb⁻¹, 7 TeV)
- [Physics Letters B 712 \(2012\) 289-308](#)
(1.0 fb⁻¹, 7 TeV)

$H \rightarrow WW \rightarrow \ell\nu\ell\nu$

- [Phys. Rev. Lett. 108 \(2012\) 111802](#)
(2.1 fb⁻¹, 7 TeV)
- [Phys.Lett. B716 \(2012\) 62-81](#)
(4.7 fb⁻¹, 7 TeV)
- [ATLAS-CONF-2012-158 \(HCP\)](#)
(13.0 fb⁻¹, 8 TeV)

$ZZ \rightarrow \ell\nu\nu$

- [JHEP 03 \(2013\) 128](#)
(4.7 fb⁻¹, 7 TeV)
- $ZH \rightarrow \ell\ell + \text{invisible}$
 - [ATLAS-CONF-2013-011](#)
(4.7 fb⁻¹, 7 TeV + 13.0 fb⁻¹, 8 TeV)

I have developed methods to estimate the Drell-Yan background for these analyses – Missing Et modeling

Also have contributed to Missing Et performance studies

Missing transverse momentum

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Often shortened to Missing Et or Met

- Use momentum conservation in the transverse plane to identify undetected particles

$$\vec{E}_T^{miss} = - \sum_i \vec{p}_T^i$$

- **Simple version**

- Vector sum of p_T of all calorimeter deposits
- Include p_T of muons that escape the calorimeter

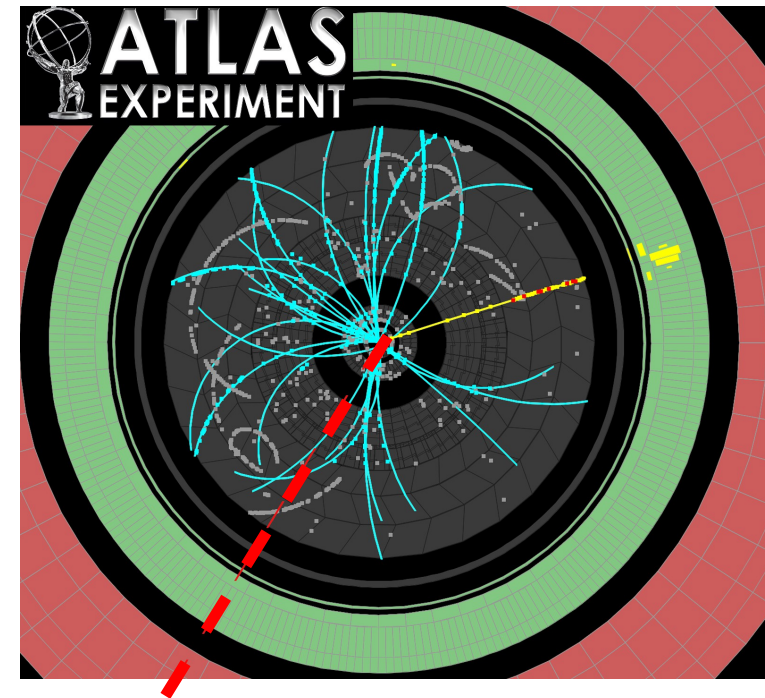
- **Refined version**

- Use identified objects and their calibrated momenta (electrons, muons, jets, photons)
- Include soft energy not associated to any object

- **Track Missing Et**

- Sum all tracks associated to primary vertex
- Replace electron track with cluster

$W \rightarrow e \nu$



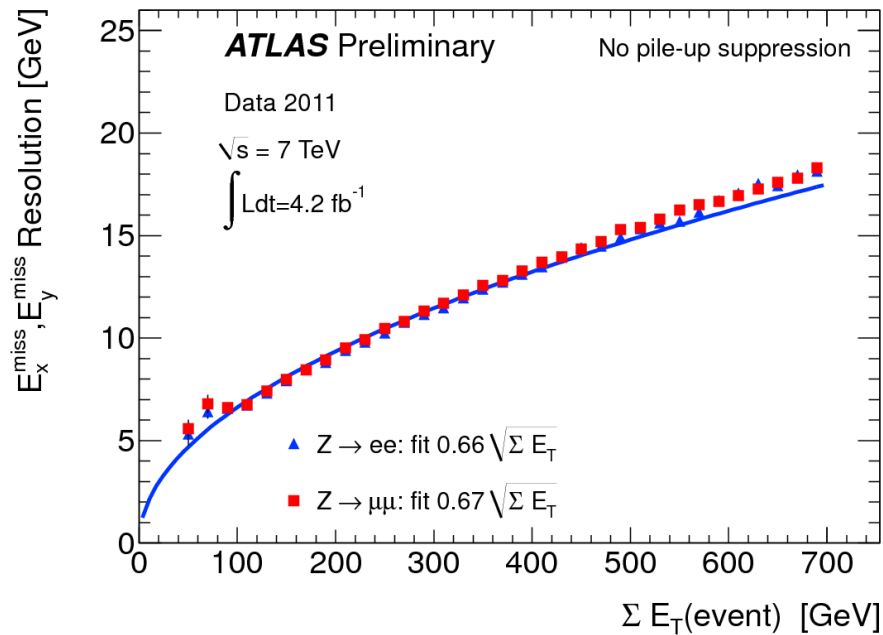
Infer neutrino direction,
momentum

Missing Et resolution

Fake Missing Et

In events with no true Missing Et, measured Missing Et is dominated by detector resolution

Resolution $\sim \sqrt{\text{SumEt}}$ (Pileup dependence)



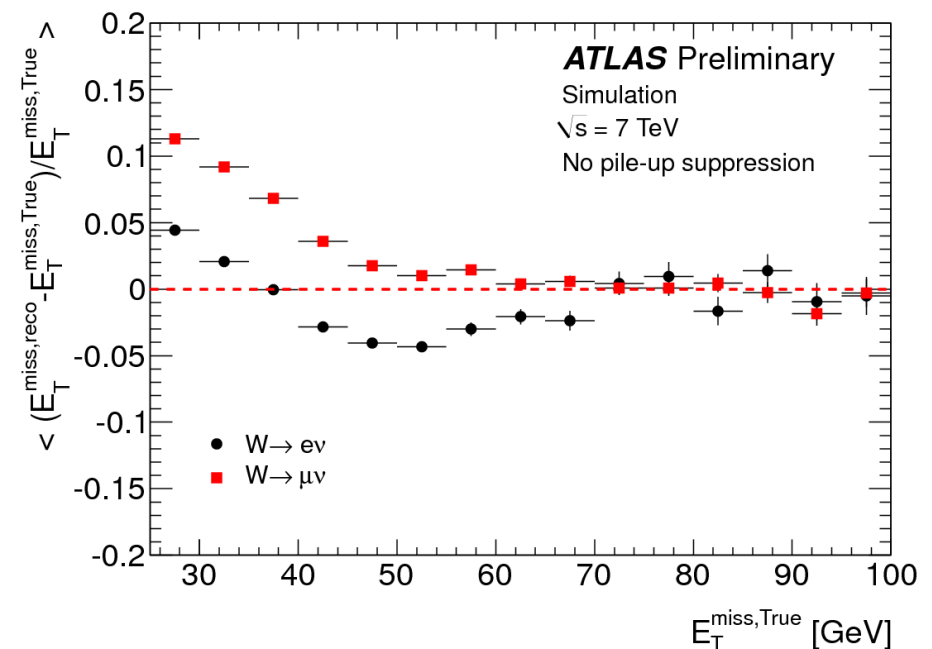
Mean of Missing Et distribution is directly related to the resolution

True Missing Et

Generally well measured

Better than 5% for $\text{Met} > 40 \text{ GeV}$

Challenge is to reject events that have Fake Missing Et



Simulating pileup

How to include pileup modeling in MC :

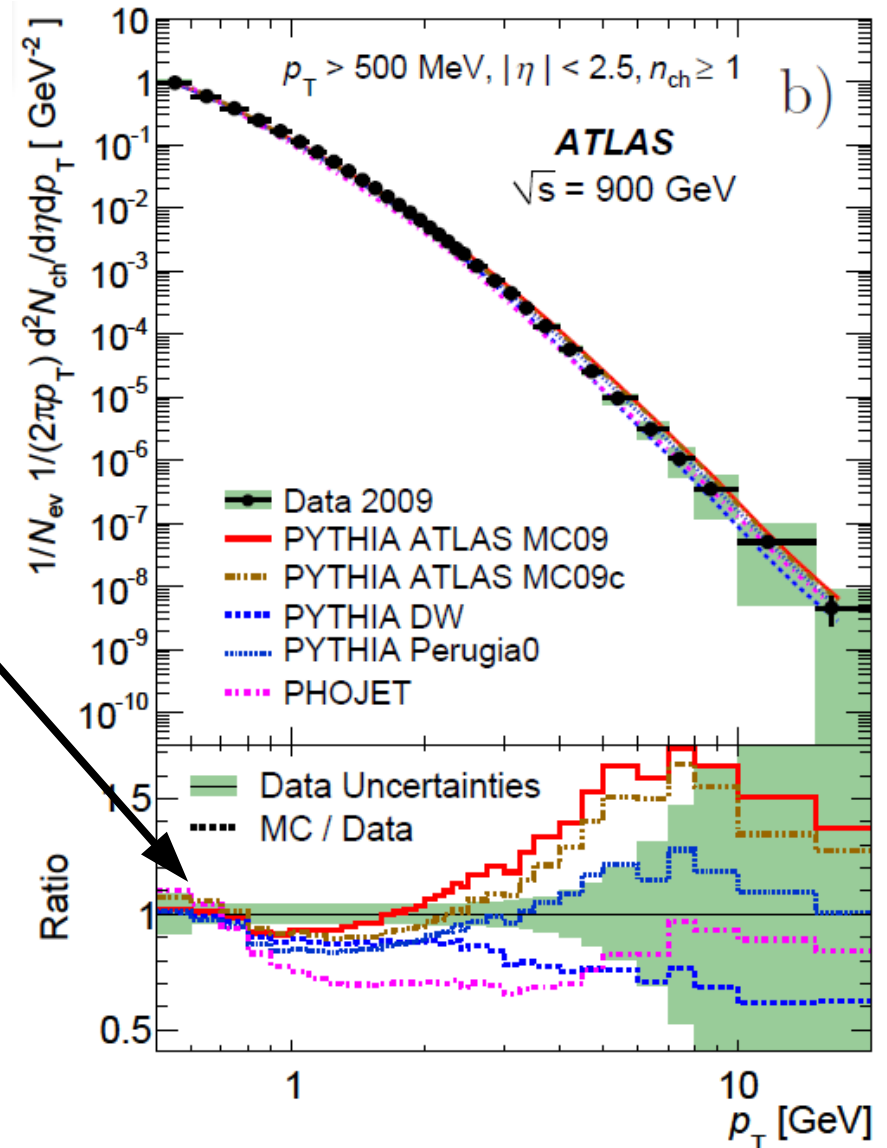
- Generate hard scatter with your favorite program
- Overlay min-bias events generated with Pythia to simulate pileup
- Run detector simulation

Despite tuning efforts, still difficult to model all low-level event kinematics

Modeling is propagated to the Missing Et and amplified by the number of pileup interactions (~ 25)

Fake Missing Et is difficult to model and has large systematic uncertainties

Prefer data-driven estimates



Scaling pileup in simulation

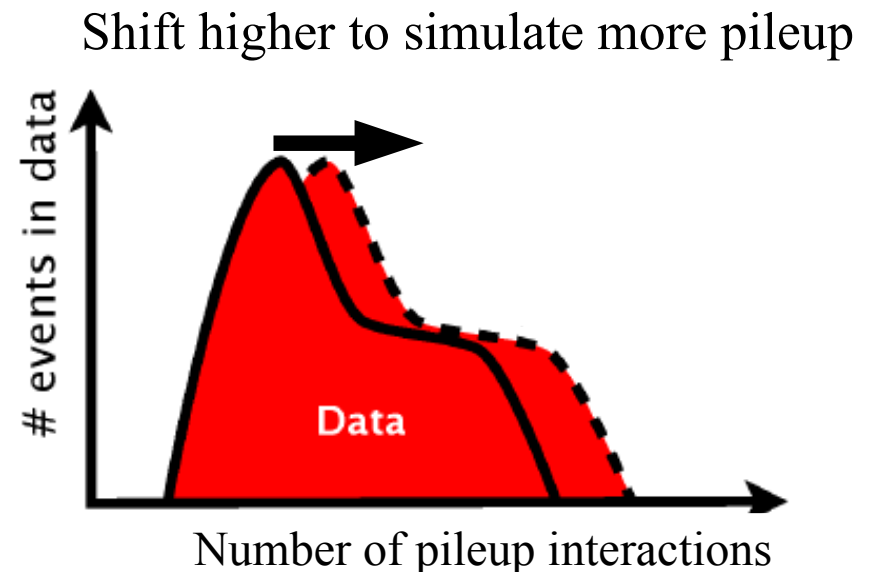
I contributed to early studies of Missing Et mismodeling

- First became a problem in early 2011 when pileup increased
- Traced the problem back to the track multiplicity and p_T modeling in the pileup collisions
- Contributed to developing a scaling procedure that tunes the number of pileup interactions

We weight the simulated number of pileup interactions to match data

Shift the distribution in data before reweighting to simulate more or less pileup

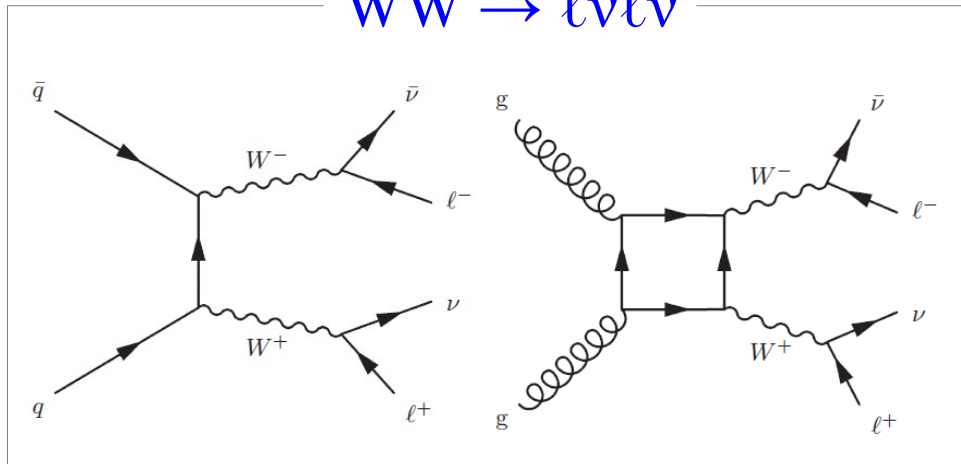
Now is used by nearly every analysis



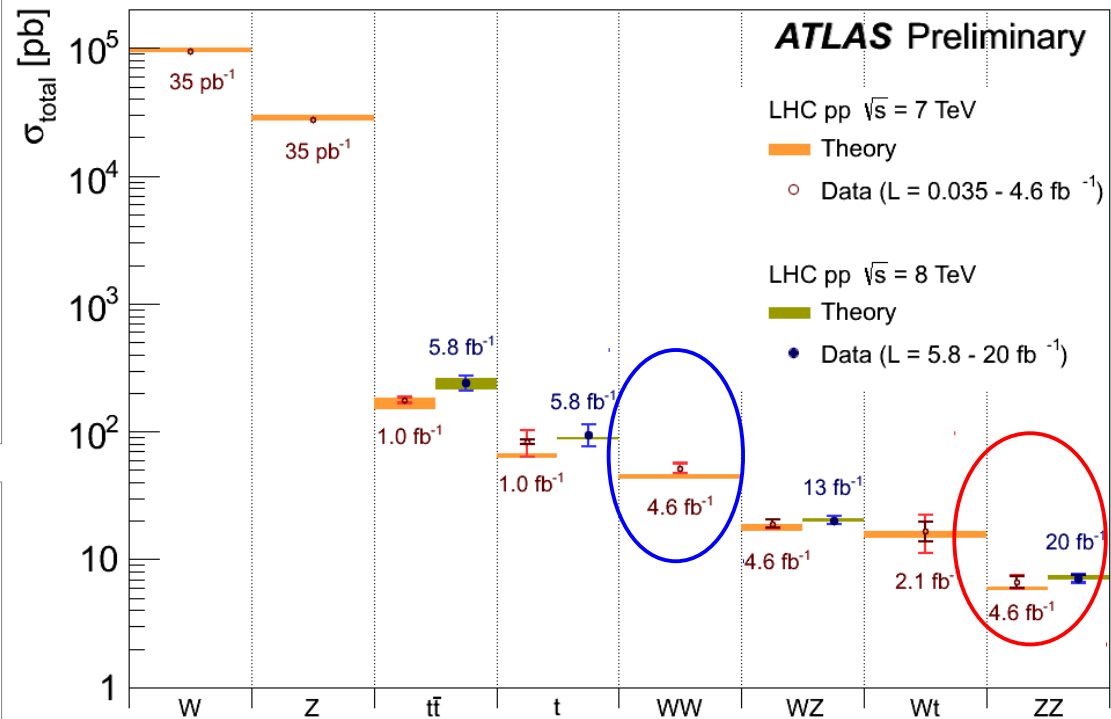
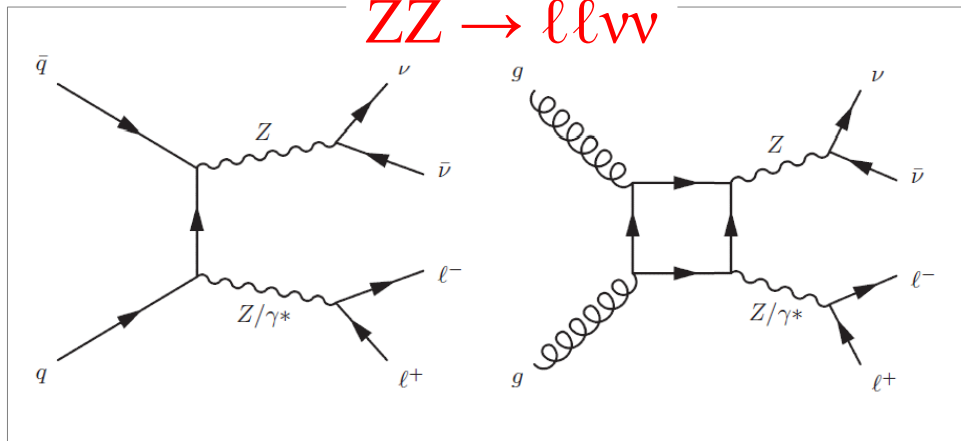
Standard model measurements

- Must understand Standard Model in order to search for new physics
- Calibrate objects on Standard Model processes
- Standard Model is a testbed for experimental techniques

$WW \rightarrow \ell\nu\ell\nu$



$ZZ \rightarrow \ell\nu\nu$



WW cross section measurement

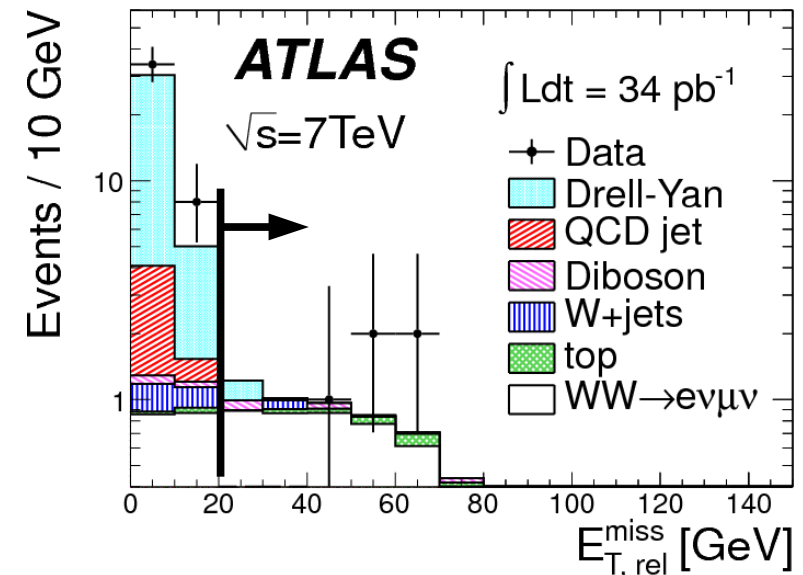
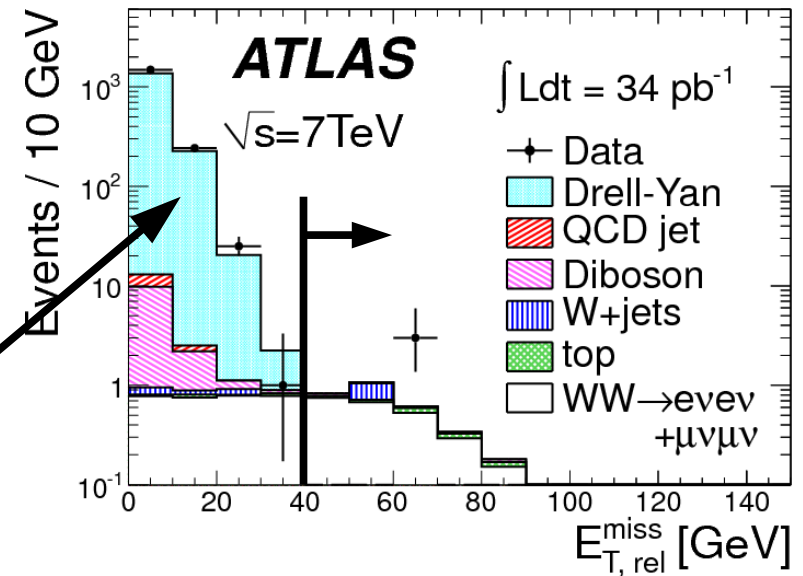
- Three channels – ee, $\mu\mu$, e μ
- Veto Z mass peak – $|M_Z - M_{\ell\ell}| > 15$ GeV
(ee, $\mu\mu$)
- Veto Z/γ^* – $Met > 40$ (ee, $\mu\mu$),
 $Met > 20$ (e μ)
- Veto $t\bar{t}$ – Zero reconstructed jets

I estimated the Drell-Yan background : Use simulation to estimate the number of background events and derive the systematic uncertainty by validating the simulation in the Z mass peak

8 events were observed in 34 pb⁻¹ of data

$$\sigma^{WW} = 40_{-16}^{+20}(\text{stat.}) \pm 7(\text{syst.})\text{pb}$$

Theory : 46 pb



ZZ cross section measurement

- Two channels – ee, μμ
- Require Z bosons --

$$|M_Z - M_{\ell\ell}| < 15 \text{ GeV}$$
- Veto single Z – Axial Met > 80 GeV
- Require momentum balance –

$$|p_T^{\ell\ell} - \text{Met}|/p_T^{\ell\ell} < 0.4$$
- Veto tt̄ – Zero reconstructed jets

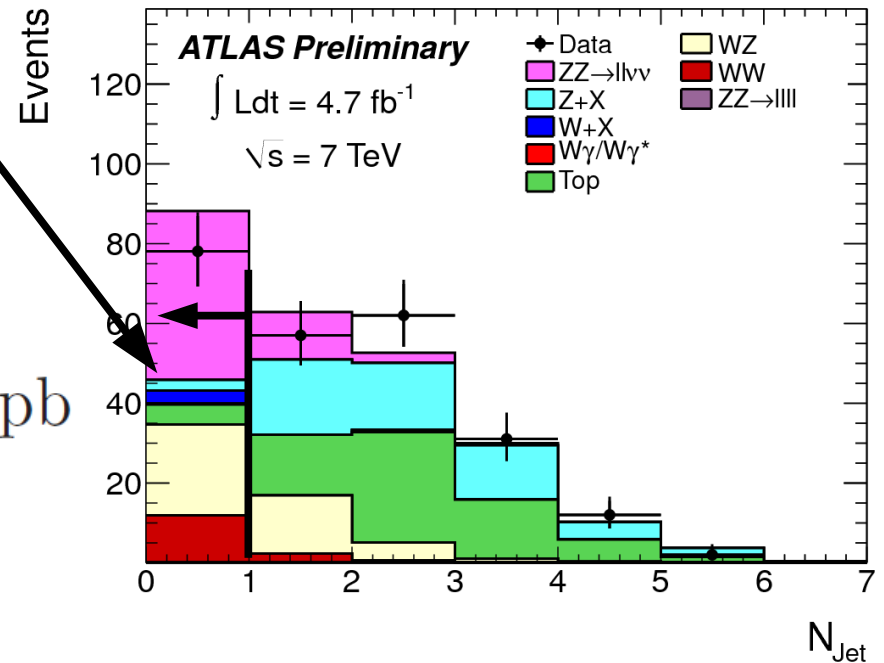
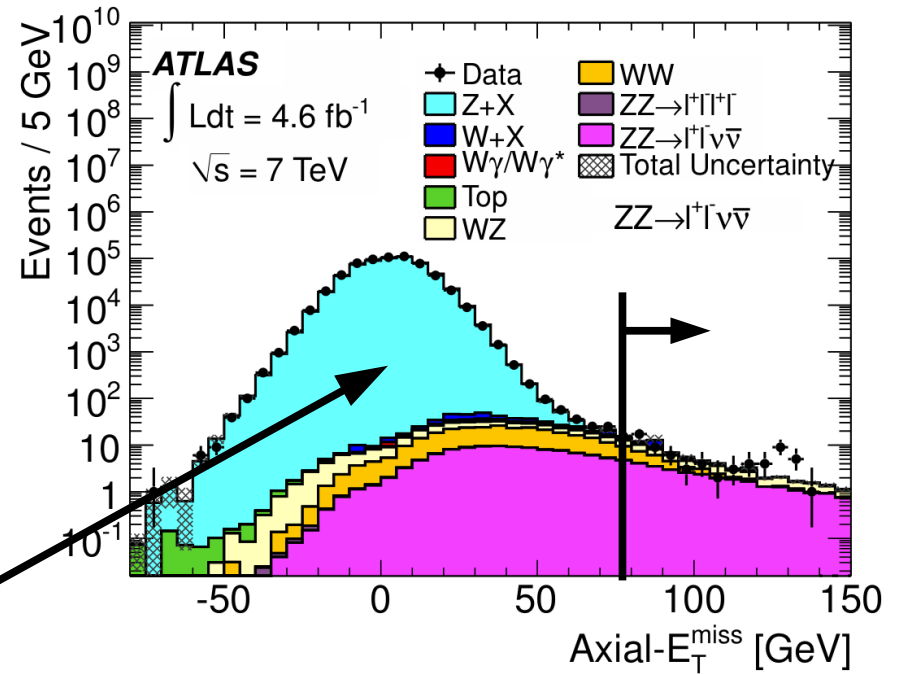
I estimated the Z+X background :

Use single photon events to model Met in Z + X events. More on this later

$$\sigma^{ZZ} =$$

$$5.7_{-1.3}^{+1.4}(\text{stat.})_{-0.6}^{+0.7}(\text{syst.}) \pm 0.1(\text{lumi}) \text{ pb}$$

Theory : 5.9 pb



Search for $H \rightarrow WW$

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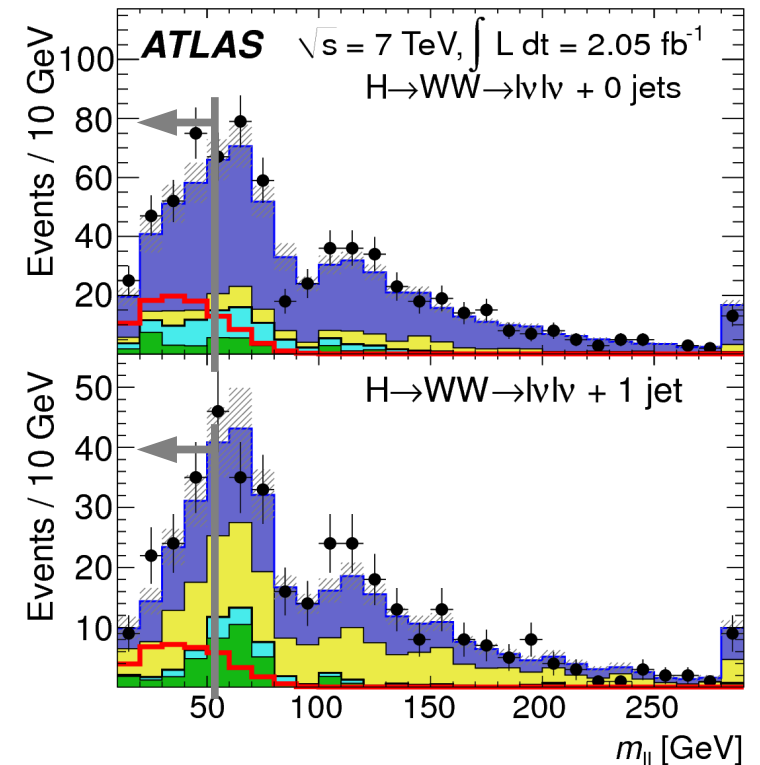
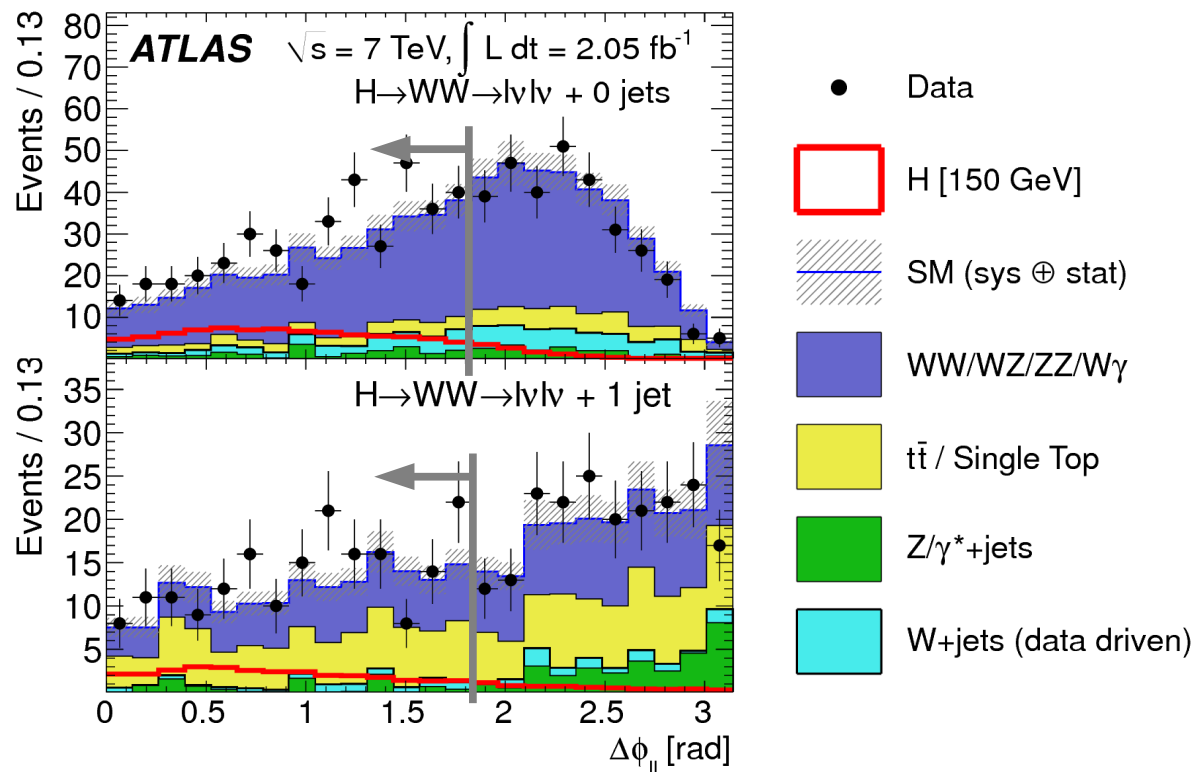
- Opposite-sign lepton pairs – $e\bar{e}$ $\mu\bar{\mu}$ $e\mu$
- Large missing transverse momentum from neutrinos
- Use 0 and 1 jet final states + 2 jet VBF (tag forward jets)

WW Spin correlation :

Low m_H :

Require small $\Delta\phi(l^+, l^-)$

Require low $m(l^+, l^-)$



Background Estimates

Use data-driven estimates for main backgrounds

W + jets

Reject with isolation, PID

10 % of Background

Extrapolate from inverted lepton PID control region

Z/ γ^* + jets

Reject with met cut

5% of Background

Normalize MC using Z control region

Top

Reject with jet cuts

5% of Background

Jet veto efficiency derived in b-tag control region

WW

Reject with $\Delta\phi(l,l)$, $m(l,l)$ cut

65 % of Background

Normalize MC using high $m(l^+,l^-)$ control region

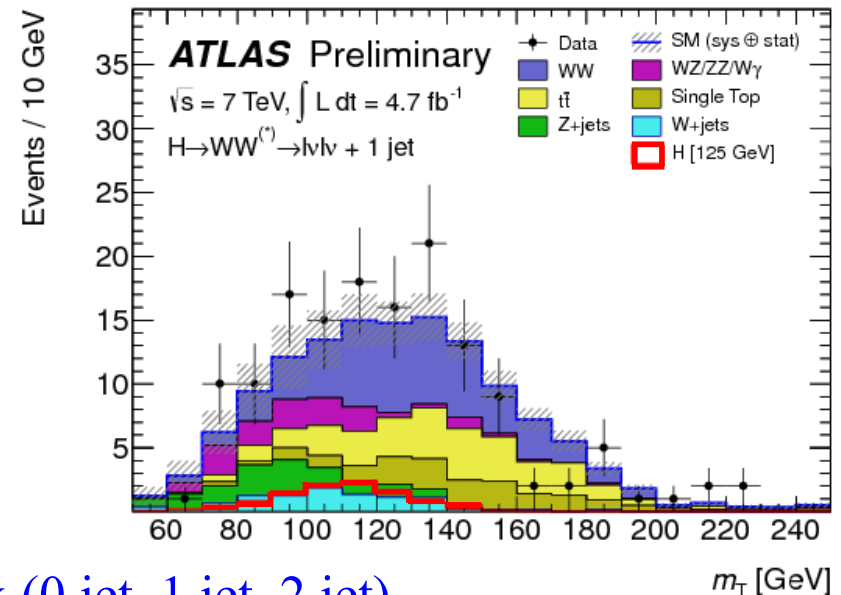
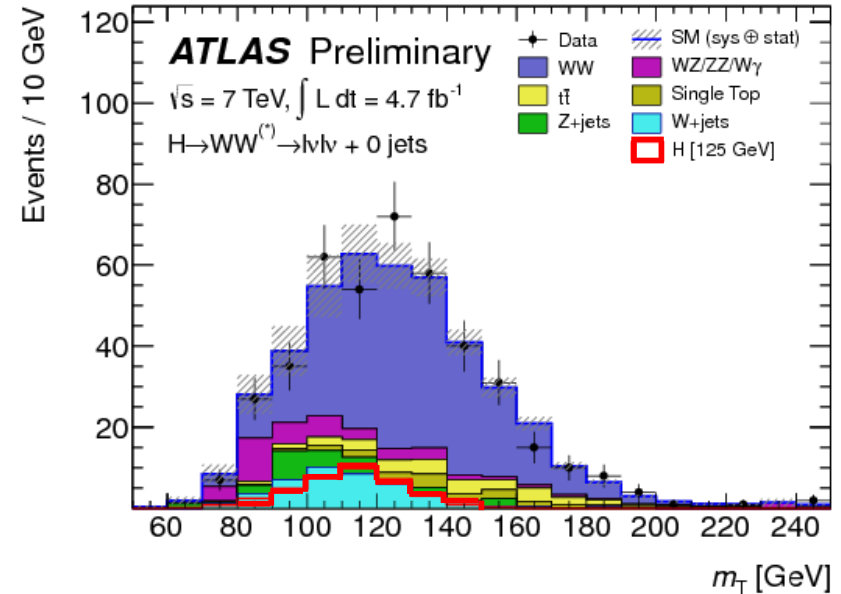
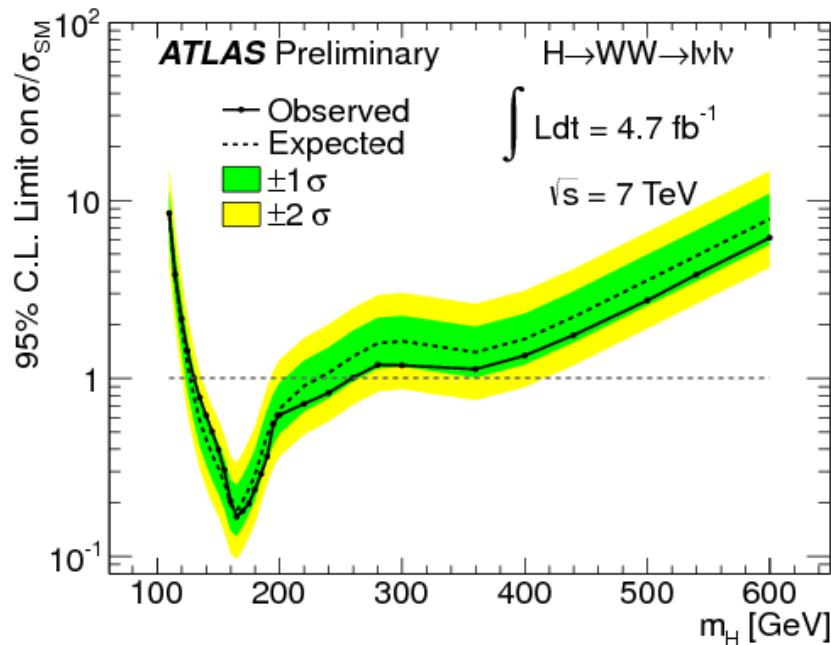
Remaining backgrounds from Di-Bosons are estimated using simulation

Results

Transverse Mass (m_T) is a proxy for Higgs mass for WW channel

No significant excess observed

Fit m_T shape to extract limits

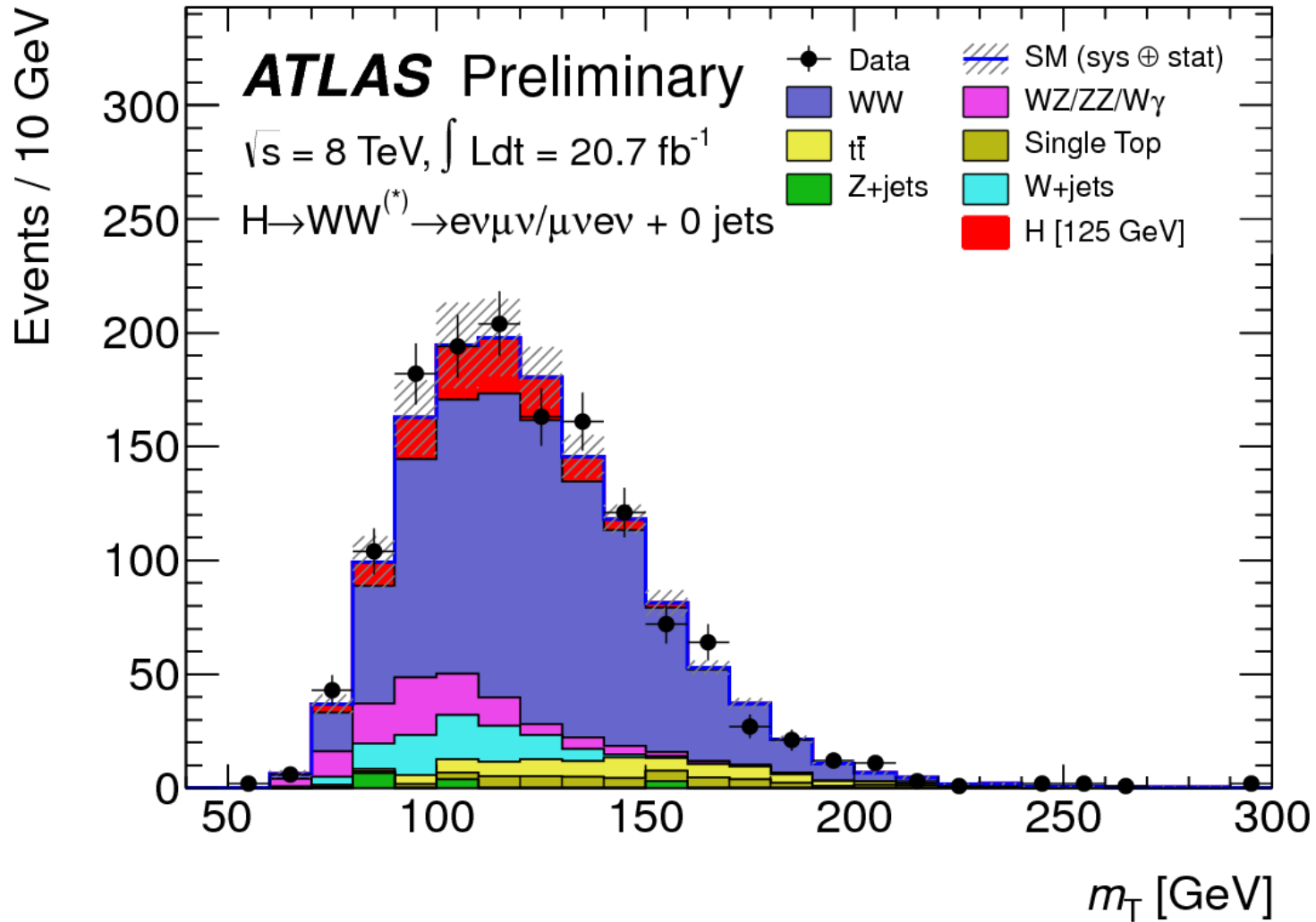


Likelihood for each M_H in 9 channels (ee, mm, em) x (0 jet, 1 jet, 2 jet)

Expected 95% C.L. Exclusion : $127 \text{ GeV} < m_H < 234 \text{ GeV}$

Observed 95% C.L. Exclusion : $130 \text{ GeV} < m_H < 260 \text{ GeV}$

H \rightarrow WW With full data



Search for invisible decays of the Higgs boson

Higgs to invisible branching ratio is very small – search for anomalous enhancements of the invisible rate

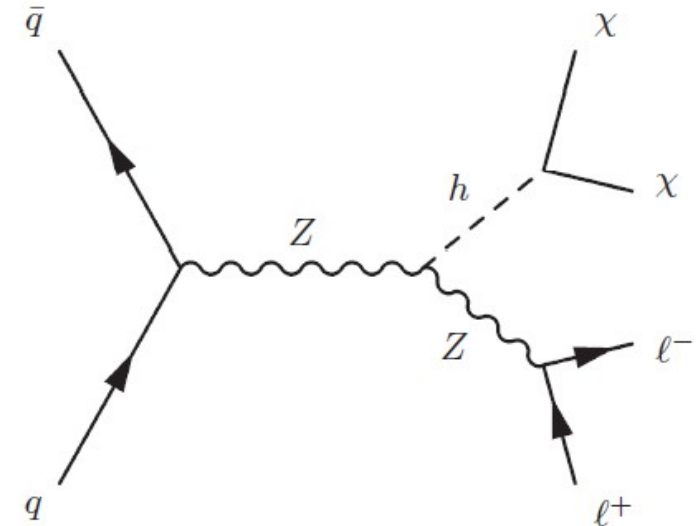
Many BSM models enhance invisible rate. Decays to dark matter are most compelling

Signature : Boosted leptonically decaying Z + large Met

Very similar to $ZZ \rightarrow \ell\ell\nu\nu$ (Replace invisible Z with invisible Higgs)

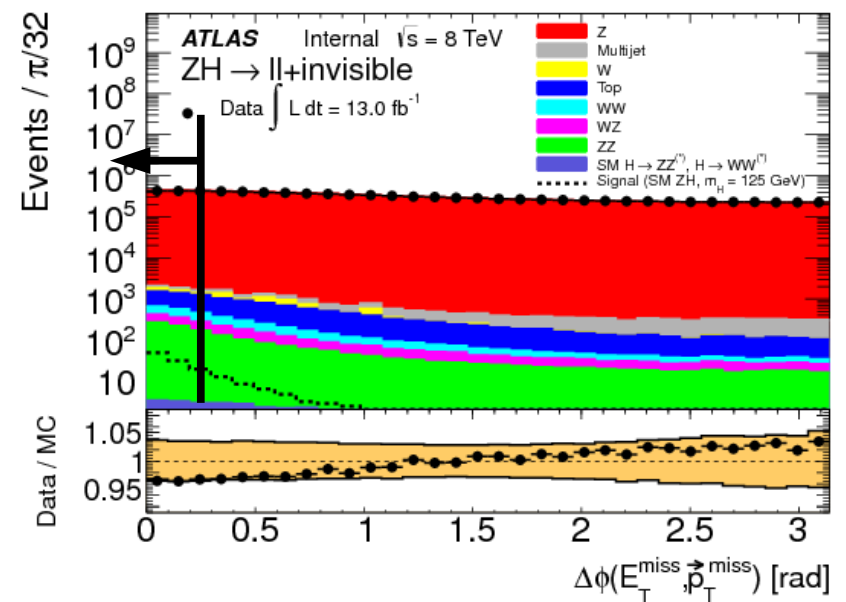
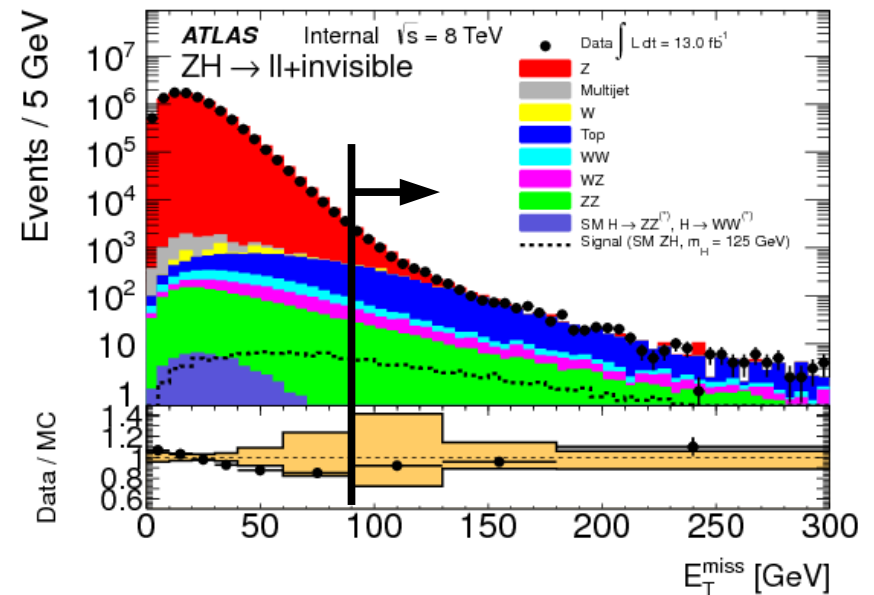
Place limit on

- Invisible BR of 125 GeV Higgs boson
- Cross section of another Higgs boson that decays only to invisible particles



Event Selection

- Two channels – $ee, \mu\mu$
- Require Z bosons --
 $|M_Z - M_{\ell\ell}| < 15 \text{ GeV}$
- Veto single Z – $\text{Met} > 90 \text{ GeV}$
- Use track based Met as confirmation –
 $\Delta\phi(\text{Met}, \text{Track Met}) < 0.2$
- Z back-to-back with Met –
 $\Delta\phi(Z, \text{Met}) > 2.6$
- Boosted Z – $\Delta\phi(\ell, \ell) < 1.7$
- Require momentum balance –
 $|p_T^{\ell\ell} - \text{Met}|/p_T^{\ell\ell} < 0.4$
- Veto $t\bar{t}$ – Zero reconstructed jets



Single photon method

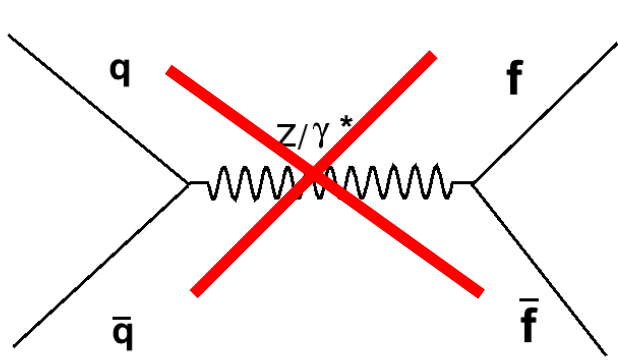
- Use single photon events as a proxy to $Z + \text{jet}$ events
- Model Pileup, Underlying Event, hadronic recoil
- Provides modeling of Missing Et, jet multiplicity, recoil, etc.
- p_T distributions differ – weight photon p_T distribution to match Z p_T distribution

Procedure

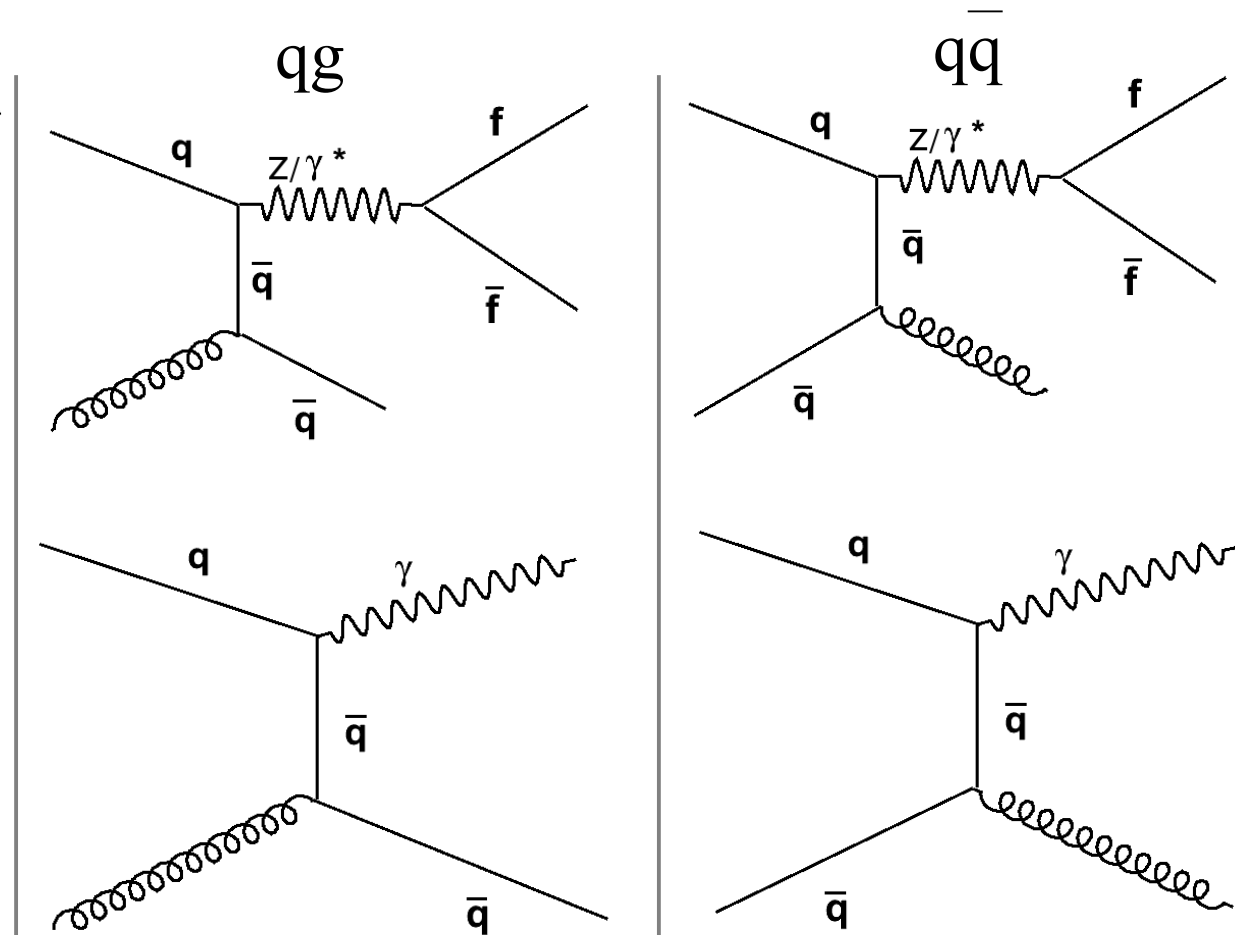
1. Select a sample of single photon events
2. Select a sample of $Z + \text{jet}$ events
3. Normalize single photon sample to Z sample
4. Weight the photon p_T distribution to match Z p_T distribution
5. Subtract real Met backgrounds from single photon sample
6. Results – Apply analysis cuts to single photon sample

Why does this work?

- No true Missing Et – measured Missing Et is “fake”
- In-situ sampling of underlying event and pileup
- Same production diagrams

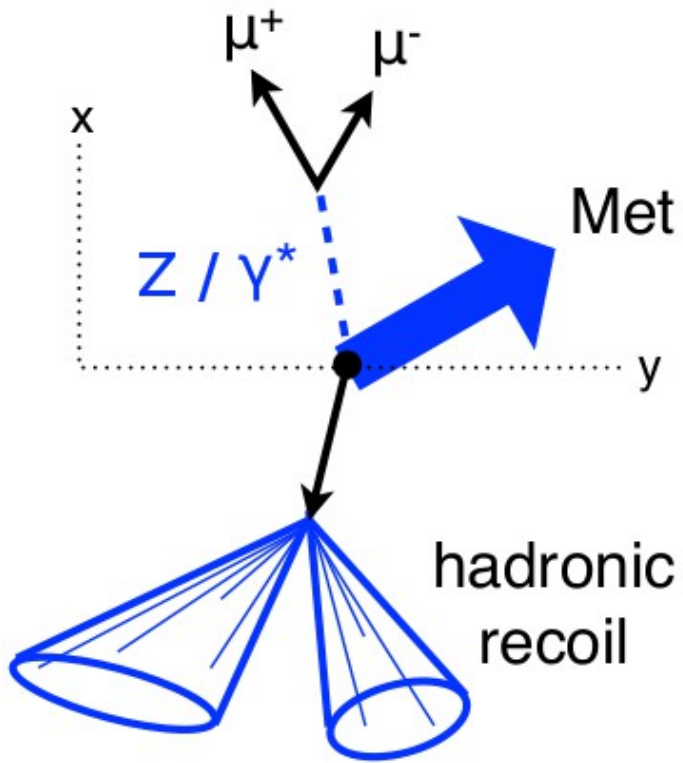


Produces Zs with $0 p_T$
– not accessible to this
method, (photon
trigger threshold)
Analysis must reject
these events



Why does this work?

What we want to estimate

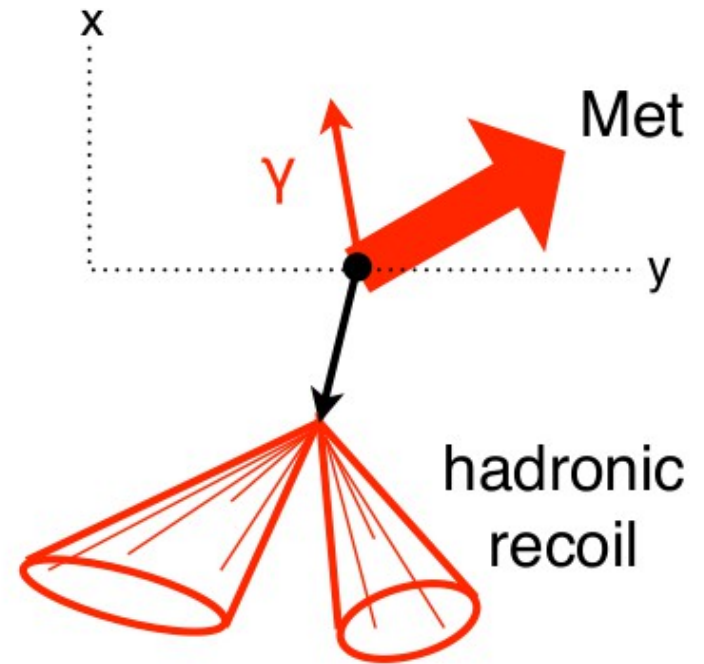


Main difference is in the lepton system

Otherwise similar contributions to Missing Et

- Pileup
- Underlying Event
- Hadronic Recoil

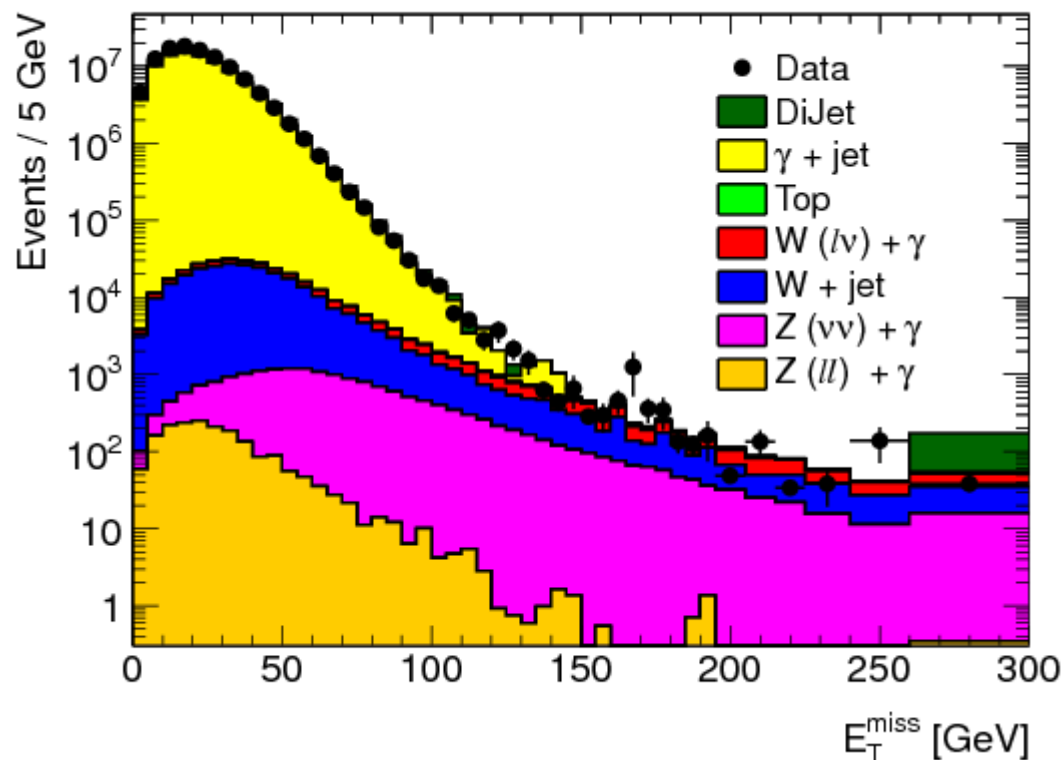
Use this high-stat sample



Single Photon Events

Selection dominated by single photon events, but some backgrounds are present

- **Single Photon** : Dominant contribution at low Missing Et
- **Dijets** : $\sim 15\%$ contribution at low Missing Et
- $W \rightarrow e\nu$: Real Missing Et background when the electron fakes a photon
- $W\gamma \rightarrow l\nu\gamma$: Real Missing Et background when lepton is lost
- $Z\gamma \rightarrow \nu\nu\gamma$: Real Missing Et background, irreducible



Dijet background estimate

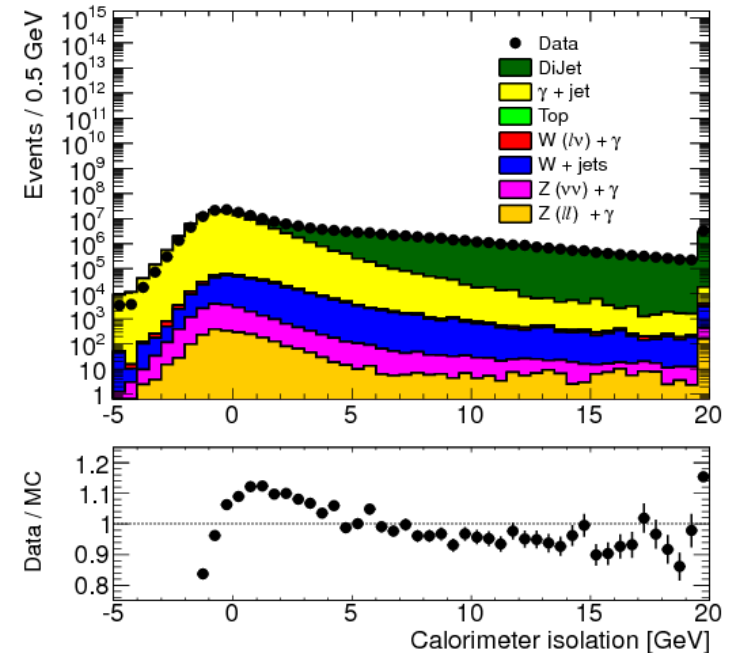
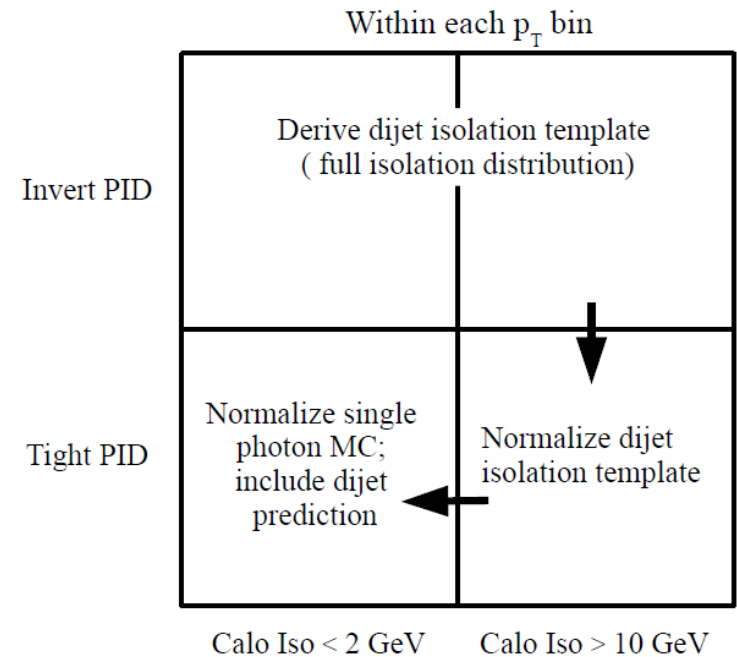
- Too few statistics in dijet simulation
- Single photon simulation does not correctly model the isolation cut

Procedure

Derive an isolation template in a dijet rich control region by inverting selected photon identification cuts

Normalize the isolation template in the non-isolated region of fully identified photons (p_T dependent)

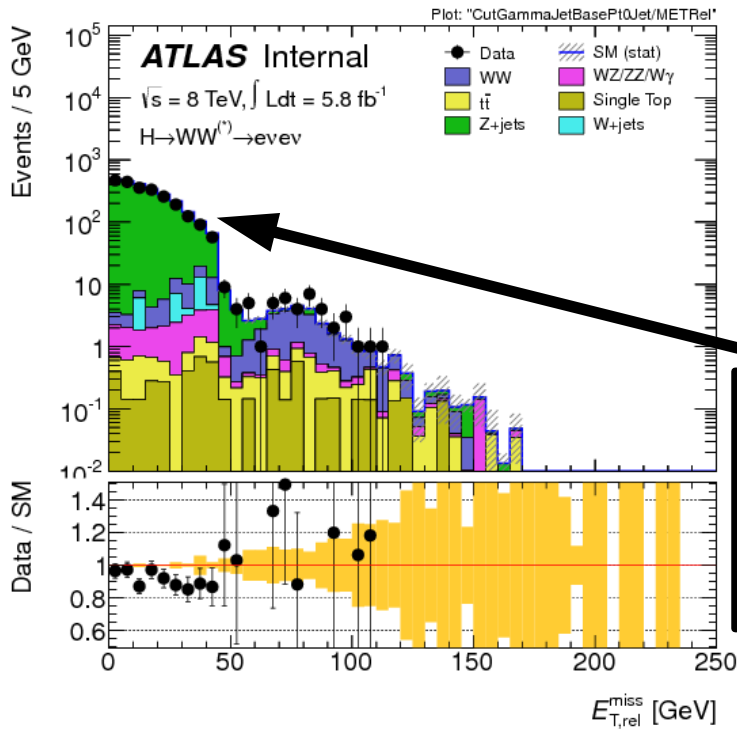
Apply the isolation cut. Normalize the single photon simulation to match the data (p_T dependent)



- **Dijet contamination** – remove PID and isolation cuts to enhance dijet contamination. Use difference in estimate as systematic
- **Monte Carlo subtraction** – Vary the monte carlo by cross section uncertainties and repeat the procedure. Variation from nominal is systematic
- **Level of agreement in low Missing Et control region** (small compared to others)
- Total systematic uncertainty is 30% - 40%

Single photon results

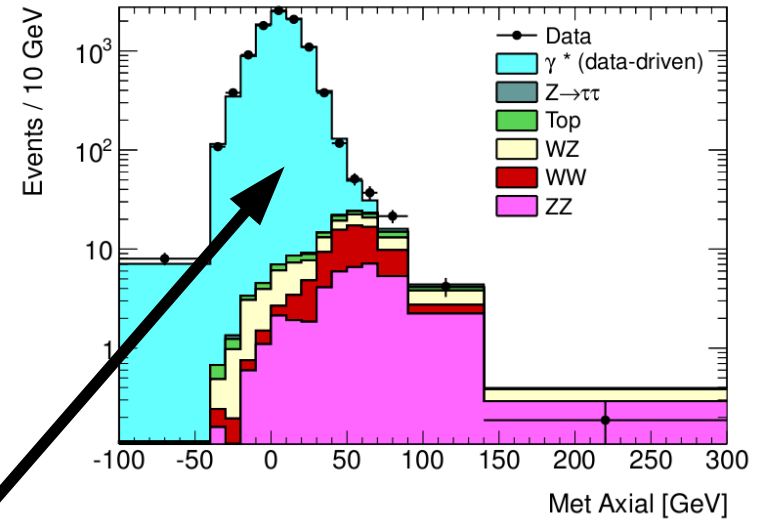
2012 $H \rightarrow WW$



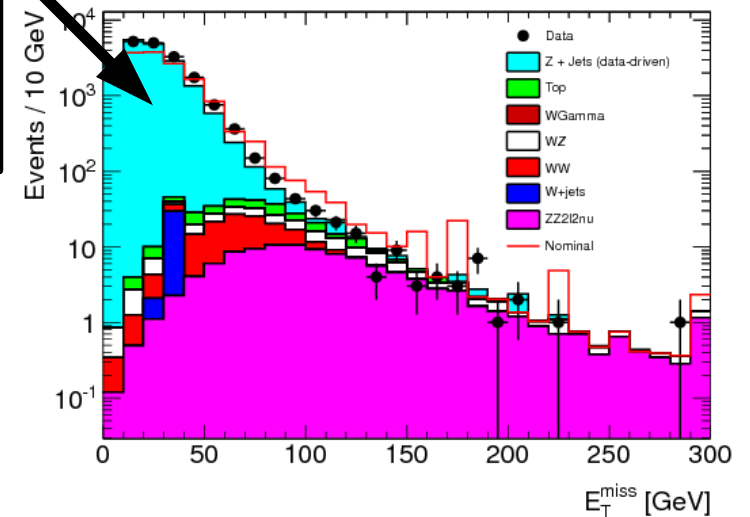
Extended Method!

Predictions from single photon estimate

2011 $Z \rightarrow \ell\ell\nu\nu$



2013 $ZH, H \rightarrow$ invisible



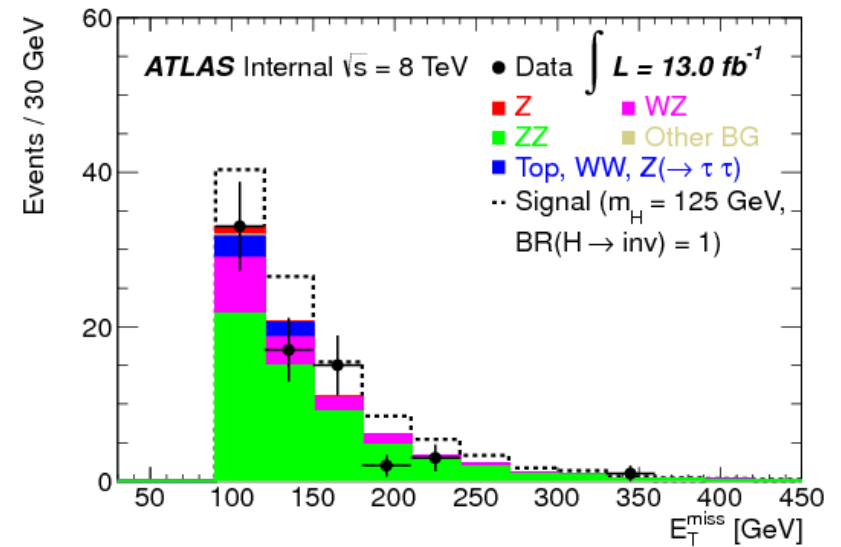
ZH, H \rightarrow invisible Results

We observe no excess of events – set a limit

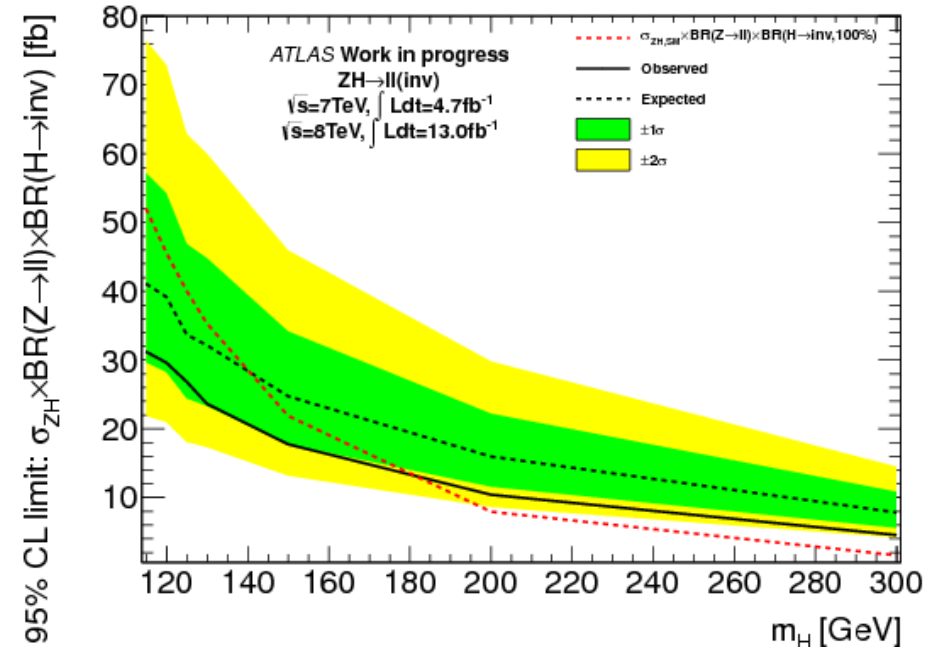
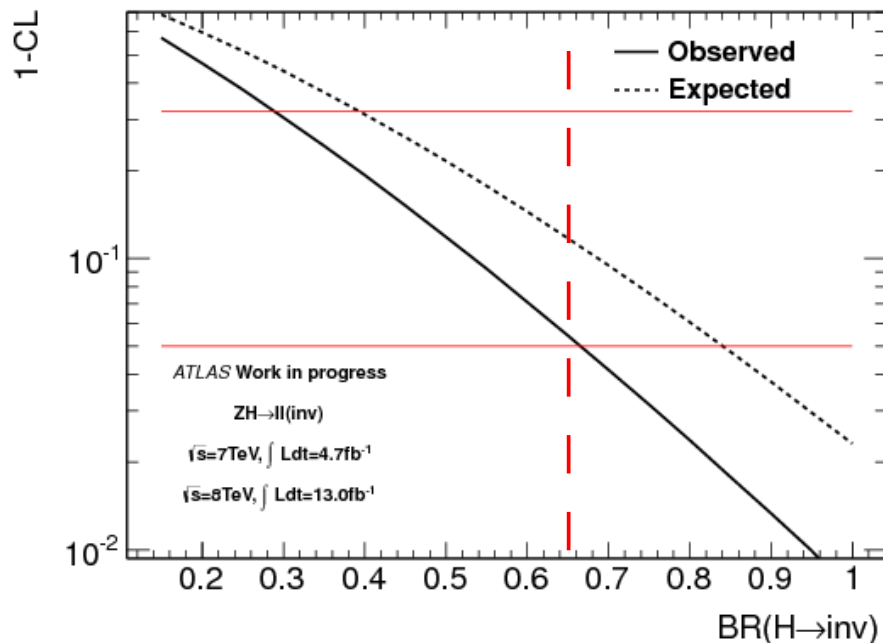
BR (H \rightarrow invisible)

- Expected < 84%
- Observed < 65% (68 % confidence)

Mass dependent limit for Higgs like particle



Submitting a paper soon using the full dataset



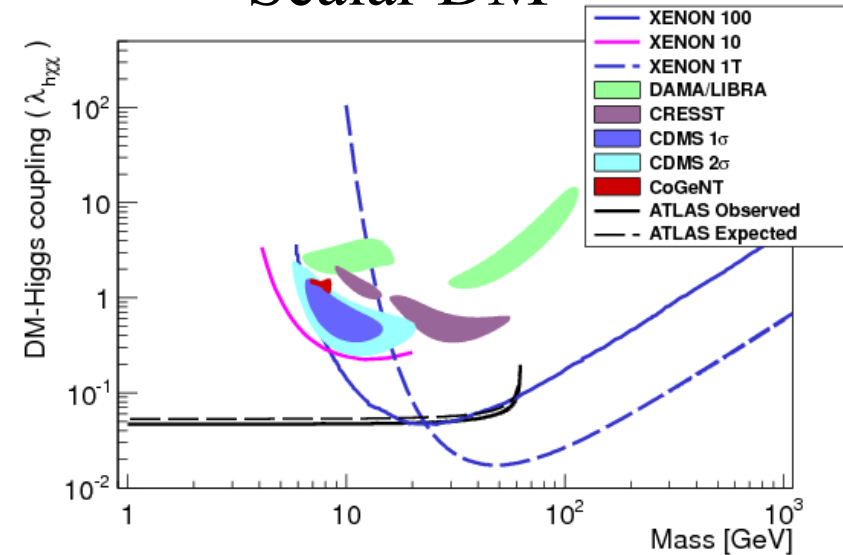
Dark matter limits

Interpret invisible branching ratio limit in terms of
limit in terms of
Compare to current direct detection limits / observations converted to

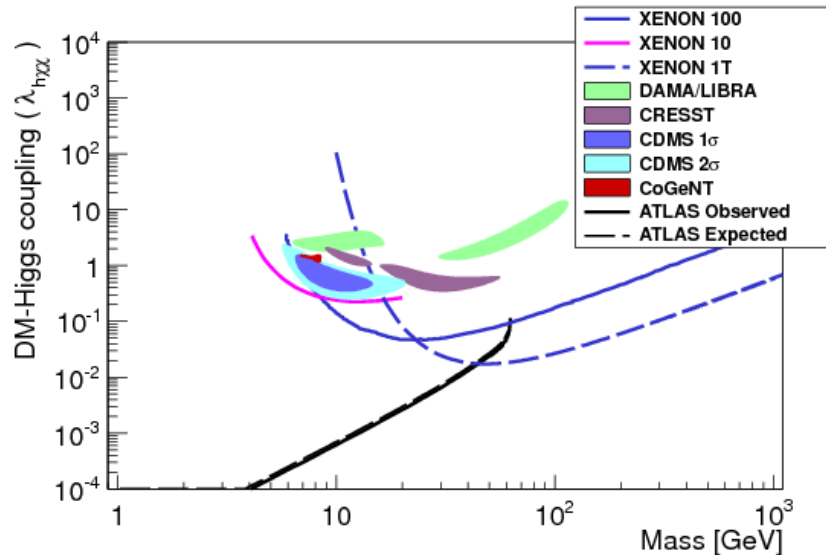
Only sensitive below $M_{\text{DM}} < M_{\text{H}}/2$

Provides significant exclusion at low mass where direct detection does not reach

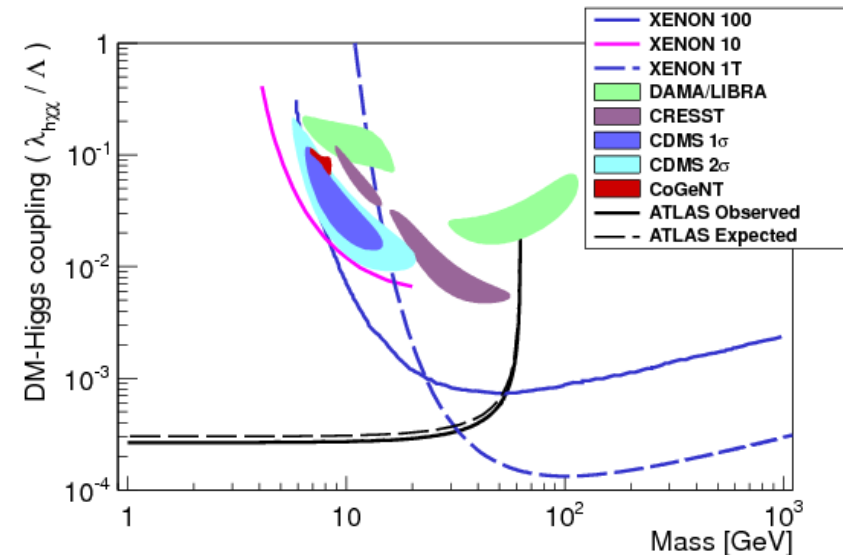
Scalar DM



Vector DM



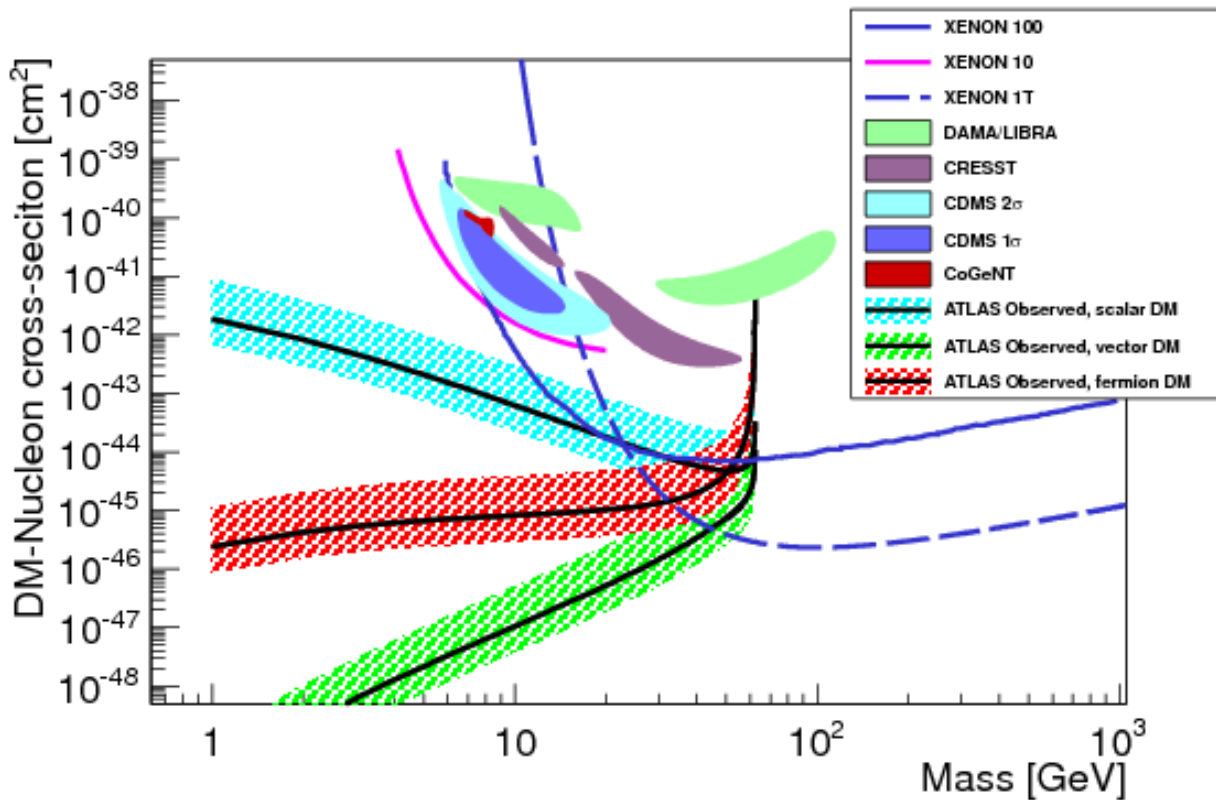
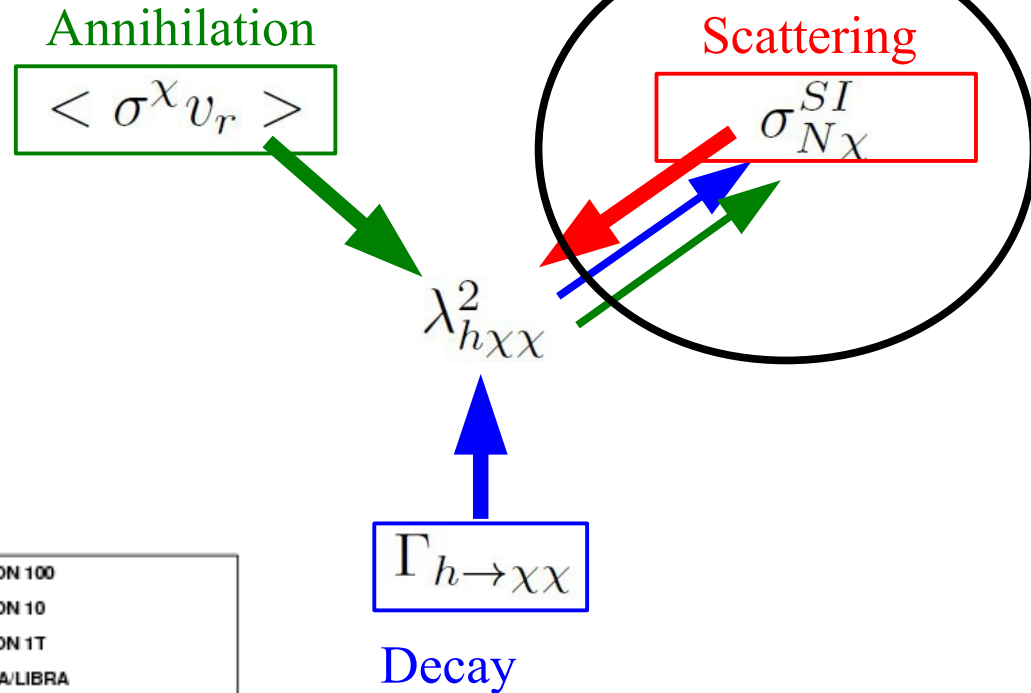
Majorana DM



Dark matter limits

Interpret the limit as a limit on the scattering DM-Nucleon cross section

Compare direct detection with Higgs portal **scalar**, **vector**, and **majorana** DM



Conclusion :
Over full mass range, DM cannot have a strong coupling to the Higgs

If CDMS is correct *and* DM couples only to the Higgs, we would not have discovered it!

Summary

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We did not find dark matter!

It's up to you now, Rami!

But, we learned more about it

If dark matter is lighter than half the Higgs mass then it cannot couple strongly to the Higgs

The scientific community is closing in on dark matter!

The first run of the LHC was amazing. Looking forward to more amazing achievements out of the machine!

Thanks to everybody for making these six years a wonderful and unforgettable experience!

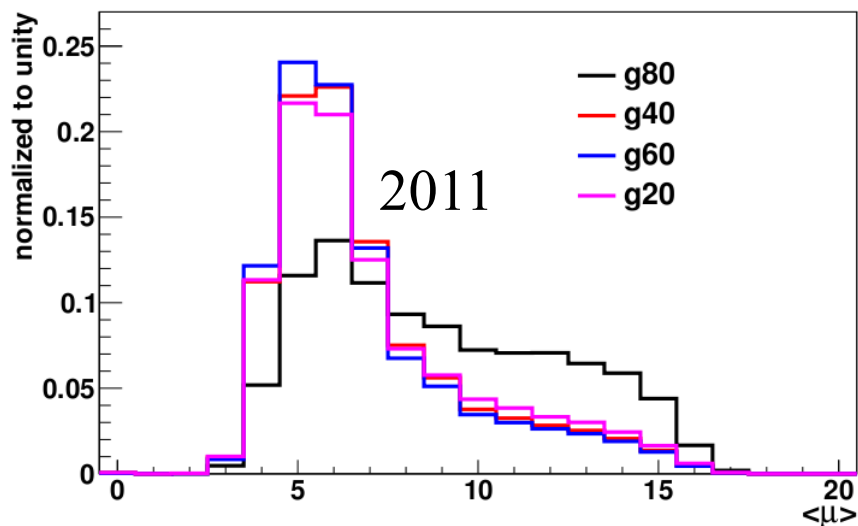


Backup

Photon Selection

- $E_T > 25$ GeV (trigger)
- Tight ID (shower shapes)
- Isolation
- Not converted (more on conversions later)
- No electrons or muons

- Select an OR of loose photon triggers
- Low threshold triggers are highly prescaled
- prescaled triggers are biased to lower pileup
- Reweight pileup distribution to match unprescaled trigger



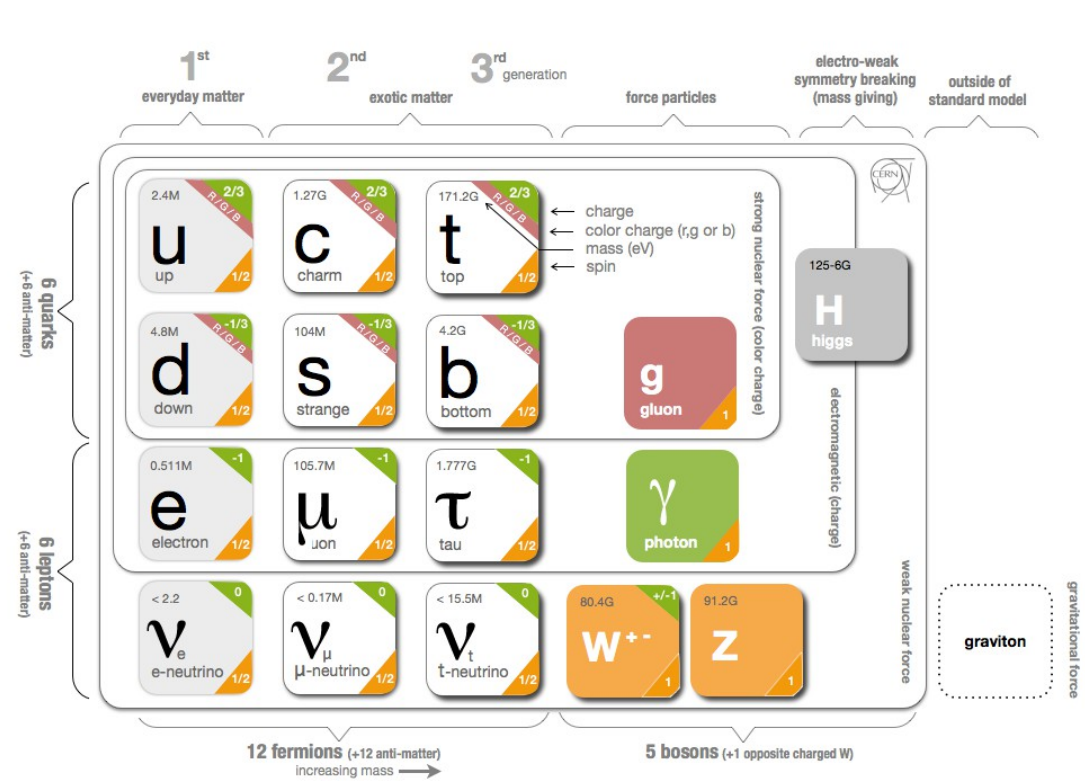
The Standard Model

Unifies three forces of nature (strong, electromagnetic, weak) under a single theory

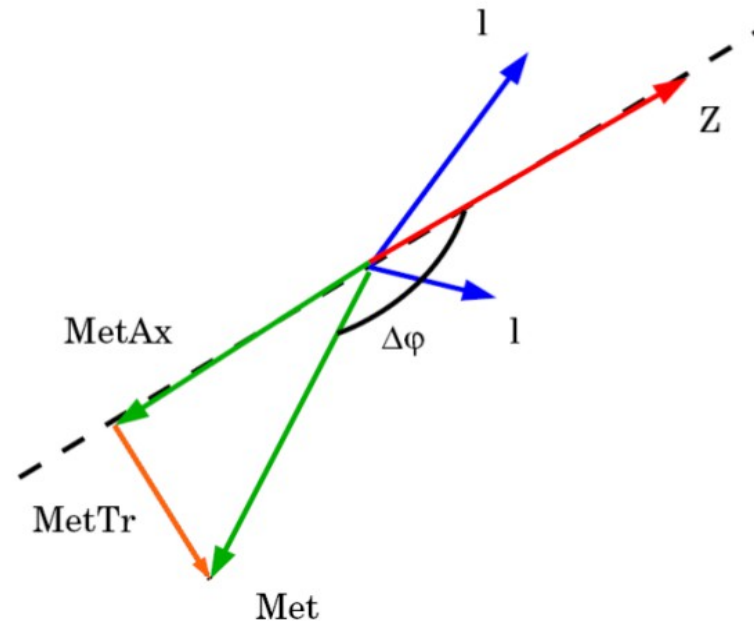
Transformations between particles are written in terms of gauge groups

The Standard Model is broken!
Particle masses range between 0.0005 GeV to 175 GeV (and neutrinos are even lighter)

Introduce the Higgs mechanism to accomplish symmetry breaking



Axial Met



ZH, H \rightarrow inv Background Estimation^{53 / 48}

ZZ, WZ

- The two largest backgrounds
 - Estimate using simulation
 - No good control regions for a data driven estimate
 - Dominant source of systematics
- Improvements needed in the future to improve BR limit

WW, tt, Z \rightarrow tt

- Use the em control region
- All exhibit $\text{BR}(\text{emu}) = 2\text{BR}(\text{ee}) = 2\text{BR}(\text{mm})$
- Derive cut efficiencies in emu events
- Correct for lepton efficiencies using Z mass peak

W + jet

- Jet must fake a lepton
- Use “Matrix method”
- Measure jet fake probability relative to a loose lepton (jet enhanced) definition
- Extract number of real + fake events from identified tight + loose events using jet fake probability and lepton identification efficiency

Z + jet

- Use ABCD method
- Extrapolate number of events in the signal region by extrapolating the a signal cut efficiency from an uncorrelated sample
- Validate with single photon method