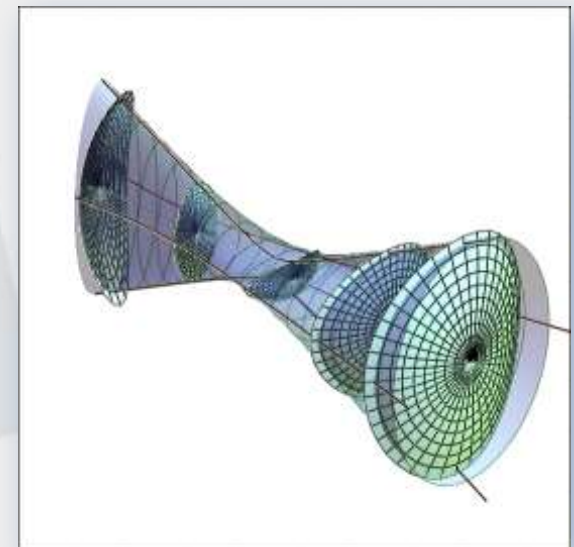


Laser beam characterization

LA³NET – Workshop Aachen 2103



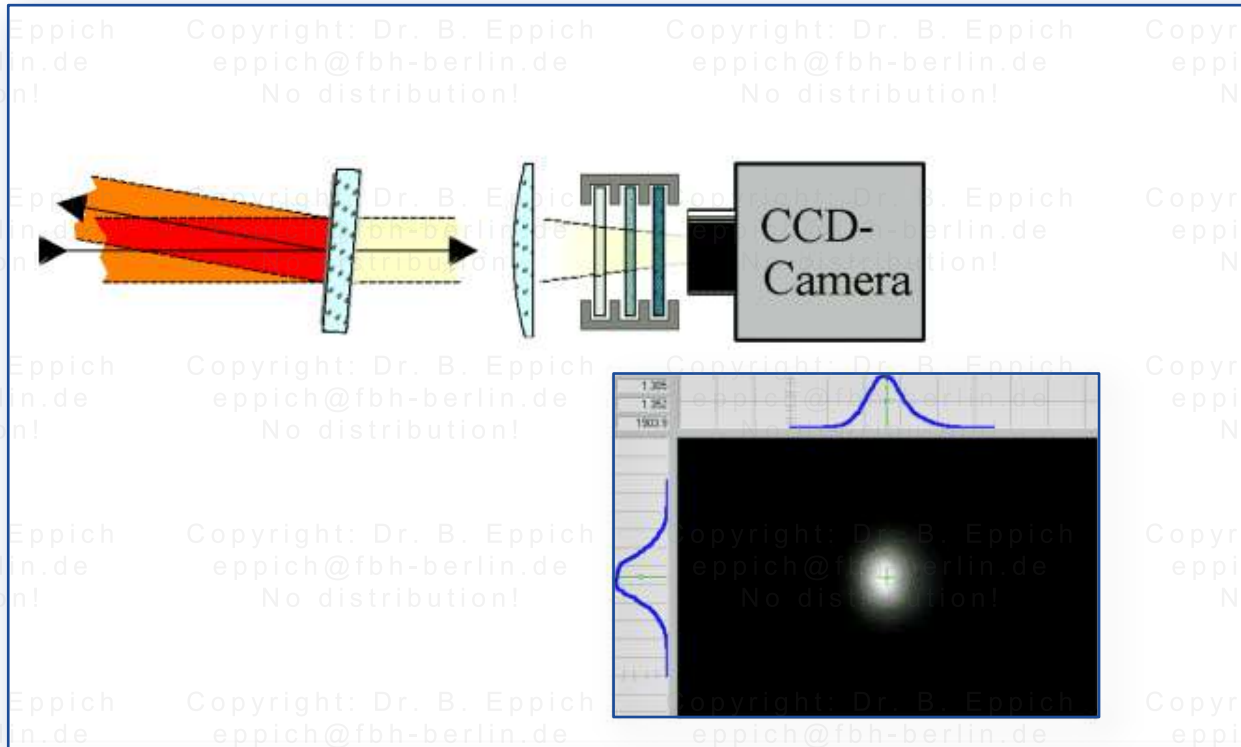
Dr. Bernd Eppich



Content

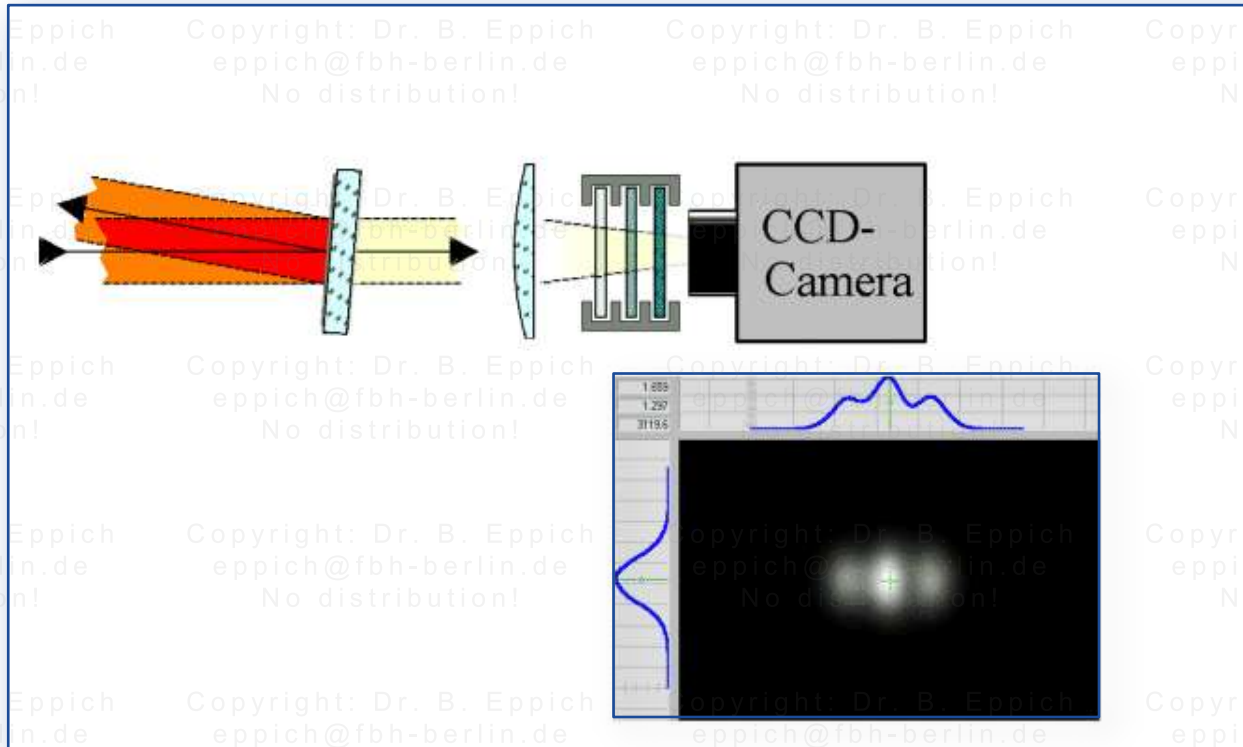
- Motivation
- ISO standard
- Beams and optical systems
- Beam diameter definitions
- Beam classification
- The ten second order moments
- Measurement of the ten second order moments

Evolution of beam profiles



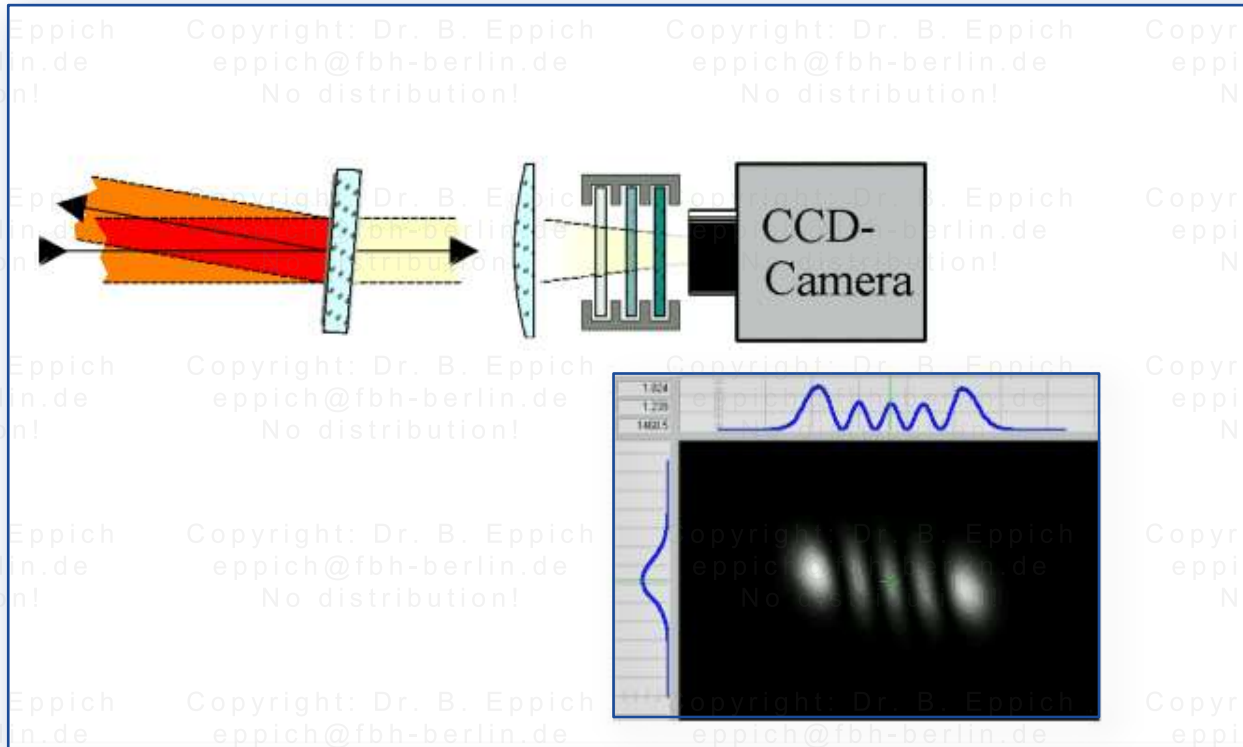
- Nearly no change in structure
- Almost circular profile

Evolution of beam profiles



- Apparent variation in structures
- Elliptical (non-circular) profile
- Same azimuthal orientation

Evolution of beam profiles

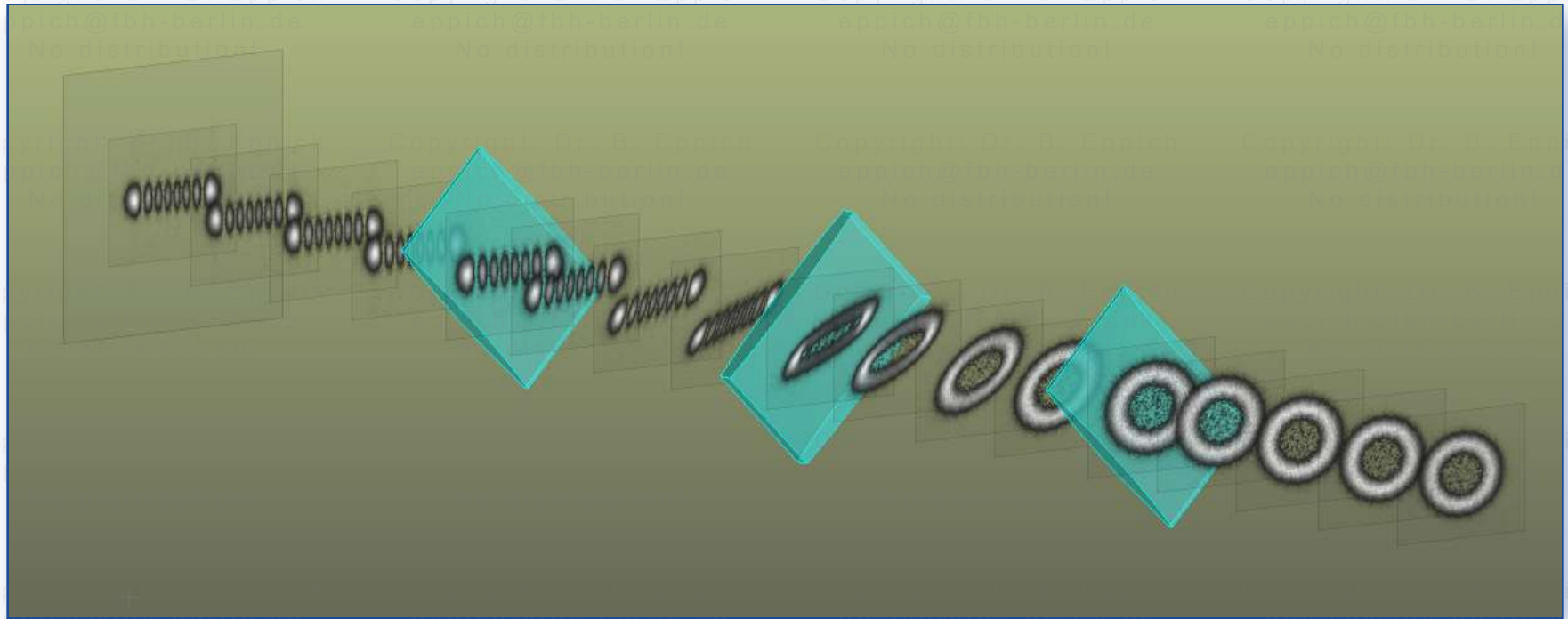


- Apparent variation in structures

Aim: Description and prediction of beam propagation

- Azimuthal orientation changes

Beam forming



Aim: Design of optical systems



Content

- Motivation
- ISO standard
- Beams and optical systems
- Beam diameter definitions
- Beam classification
- The ten second order moments
- Measurement of the ten second order moments

Available ISO documents regarding laser beam characterization:

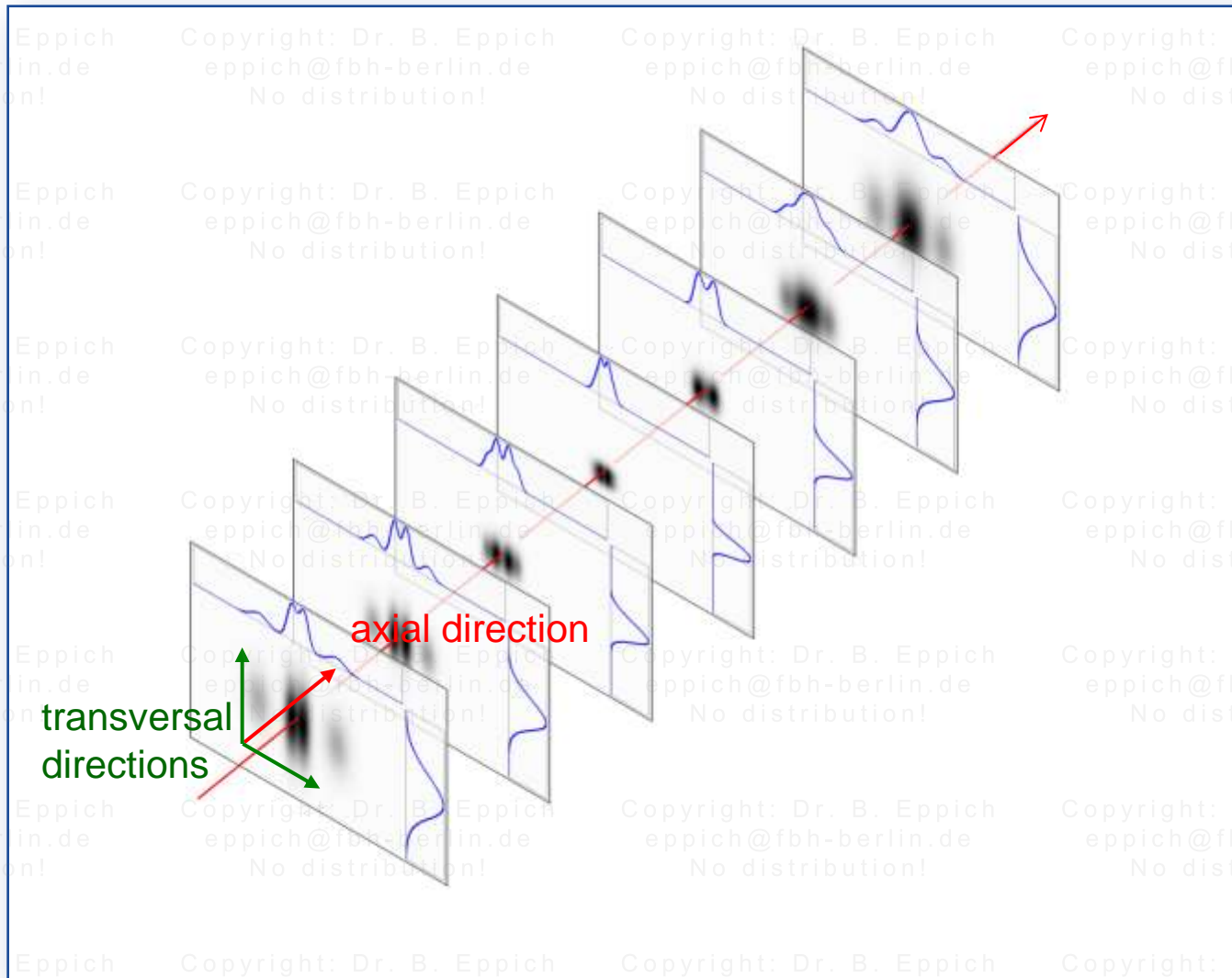
- ISO 11145 - Terms and definitions
- ISO 11146 - Beam widths, divergence, propagation parameter**
- ISO 11554 - Power, Energy, temporal characteristics
- ISO 11670 - Beam positional stability
- ISO 12005 - Polarization
- ISO 13694 - Power density distribution
- ISO 13695 - Spectral characteristics
- ISO 15367 - Wavefront, Shack-Hartmann detectors



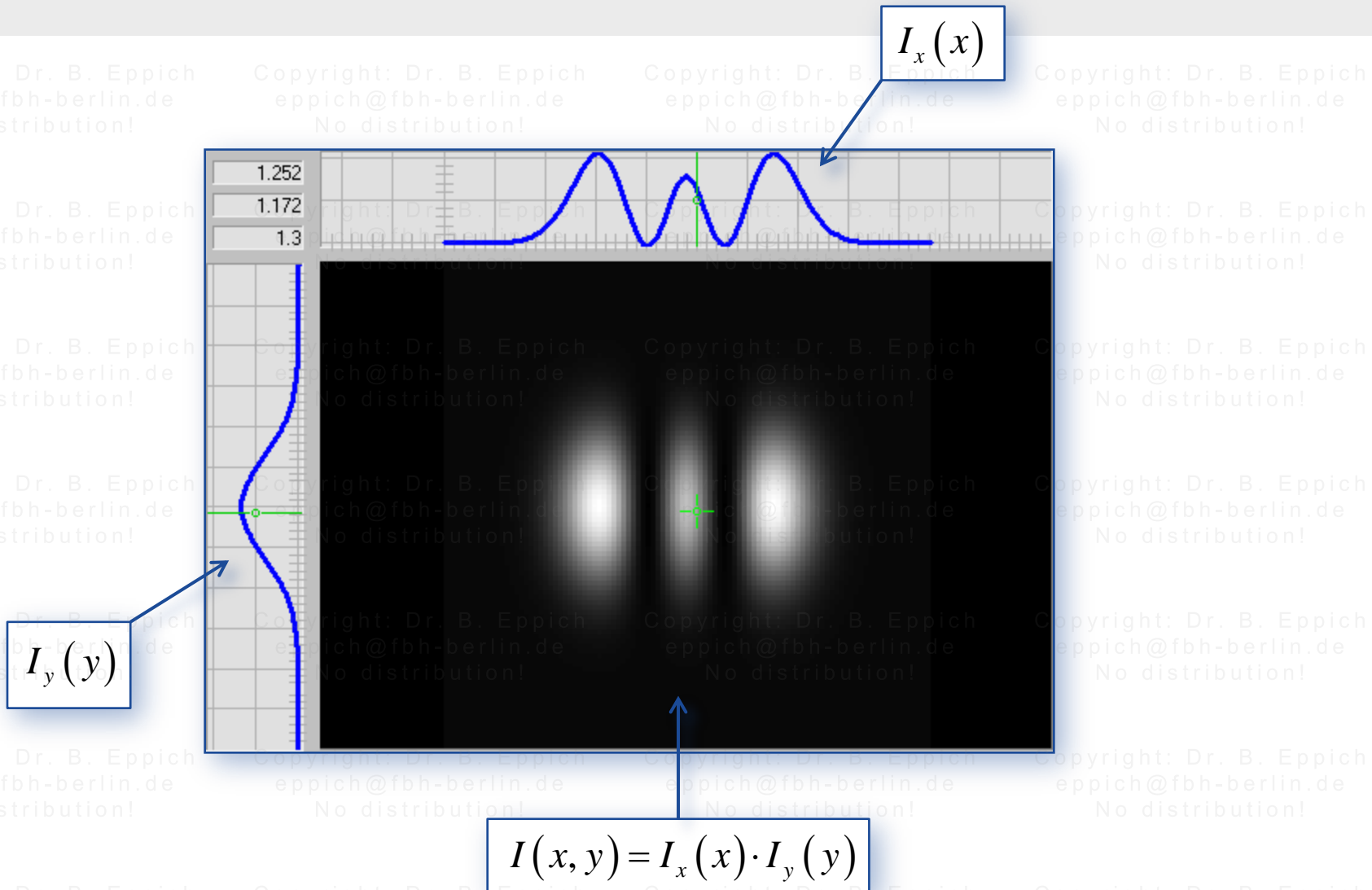
Content

- Motivation
- ISO standard
- Beams and optical systems
- Beam diameter definitions
- Beam classification
- The ten second order moments
- Measurement of the ten second order moments

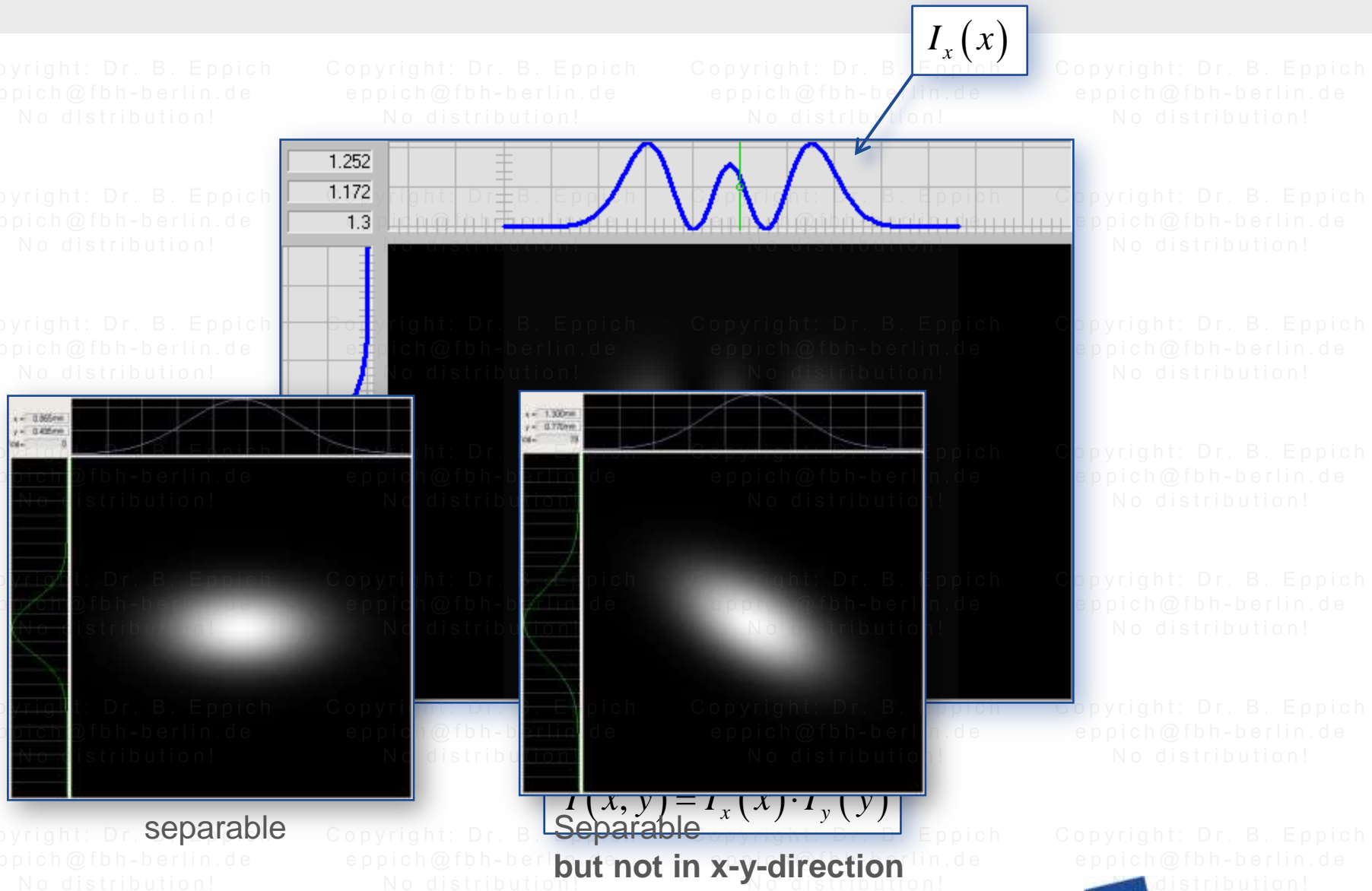
Two-dimensional beam profiles



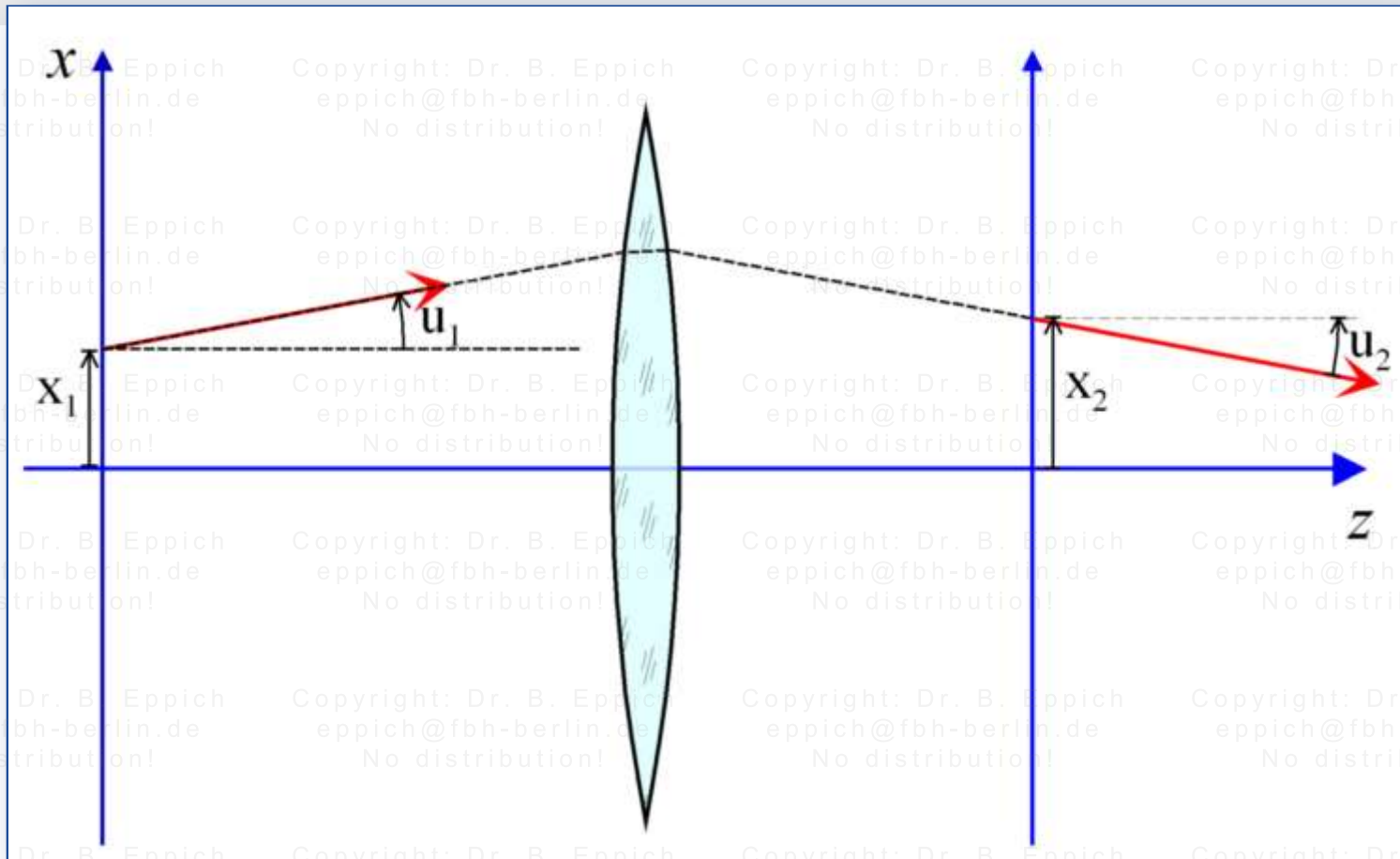
Separable beam profiles



Separable beam profiles



Geometrical matrix optics, one-dimensional systems



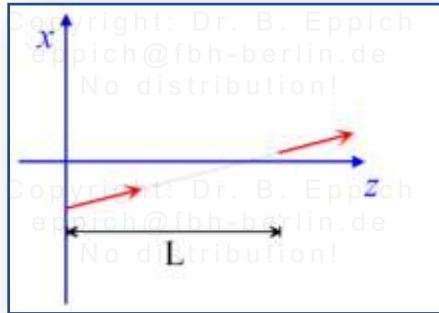
$$x_2 = A \cdot x_1 + B \cdot u_1$$

$$u_2 = C \cdot x_1 + D \cdot u_1$$

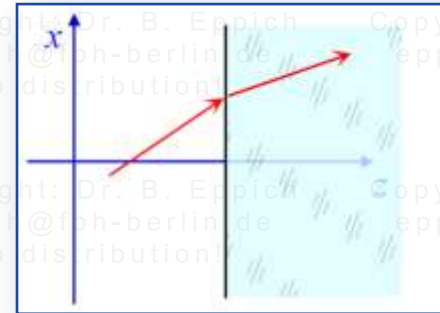
(Paraxial approximation!)

$$\begin{pmatrix} x_2 \\ u_2 \end{pmatrix} = \begin{pmatrix} A & B \\ C & D \end{pmatrix} \cdot \begin{pmatrix} x_1 \\ u_1 \end{pmatrix}$$

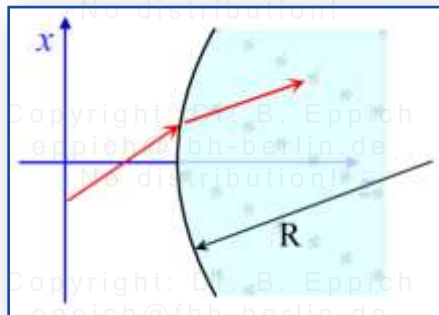
Geometrical matrix optics, one-dimensional systems



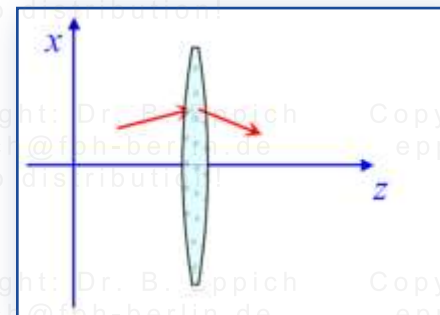
$$\mathbf{S} = \begin{pmatrix} 1 & L \\ 0 & 1 \end{pmatrix}$$



$$\mathbf{S} = \begin{pmatrix} 1 & 0 \\ 0 & \frac{n_1}{n_2} \end{pmatrix}$$



$$\mathbf{S} = \begin{pmatrix} 1 & 0 \\ \frac{n_1 - n_2}{n_2 \cdot R} & \frac{n_1}{n_2} \end{pmatrix}$$



$$\mathbf{S} = \begin{pmatrix} 1 & 0 \\ -\frac{1}{f} & 1 \end{pmatrix}$$

...and others.

Restriction:

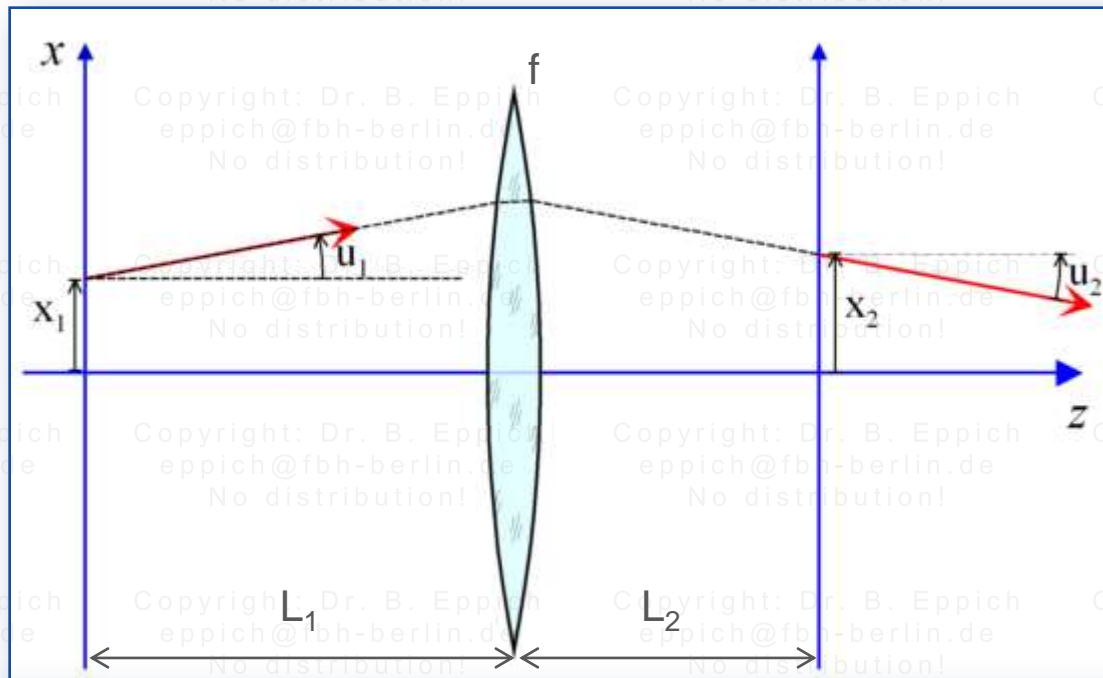
$$\det(\mathbf{S}) = AD - BC = \frac{n_1}{n_2}$$

Geometrical matrix optics, one-dimensional systems

Cascading systems:

$$\mathbf{S}_{tot} = \mathbf{S}_N \cdot \dots \cdot \mathbf{S}_2 \cdot \mathbf{S}_1 \cdot \mathbf{S}_0$$

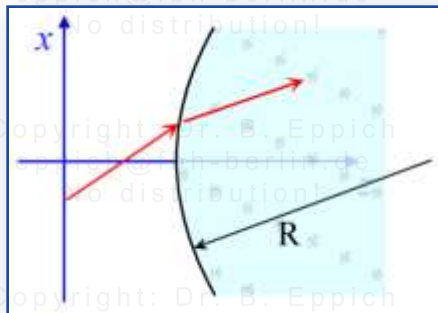
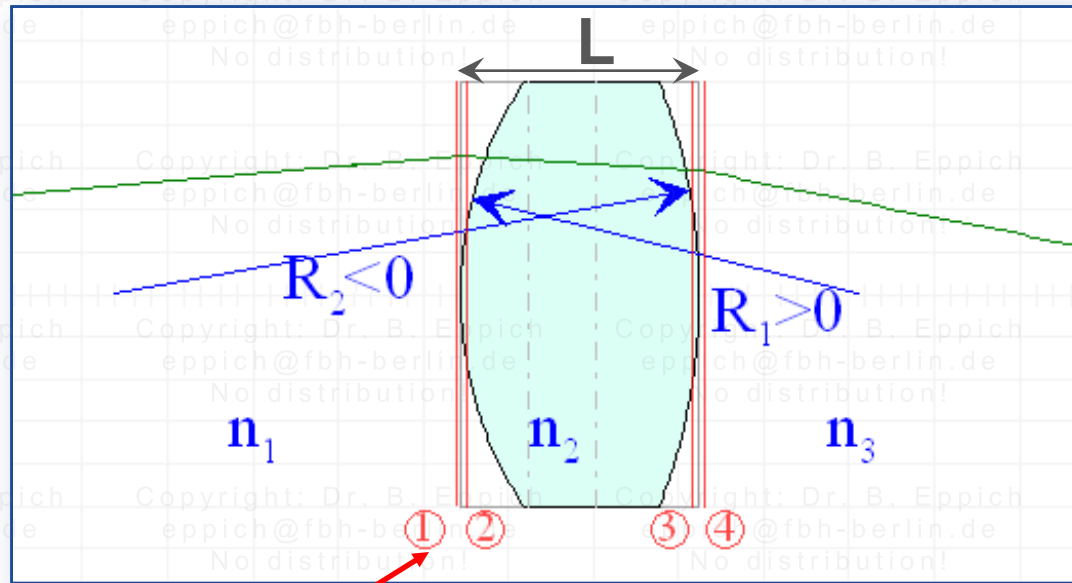
Example:



$$\mathbf{S}_{tot} = \begin{pmatrix} 1 & L_2 \\ 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} 1 & 0 \\ -\frac{1}{f} & 1 \end{pmatrix} \cdot \begin{pmatrix} 1 & L_1 \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 - \frac{L_1}{f} & L_1 + L_2 - \frac{L_1 L_2}{f} \\ -\frac{1}{f} & 1 - \frac{L_2}{f} \end{pmatrix}$$

Geometrical matrix optics, one-dimensional systems

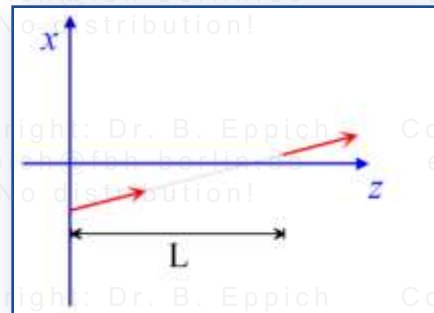
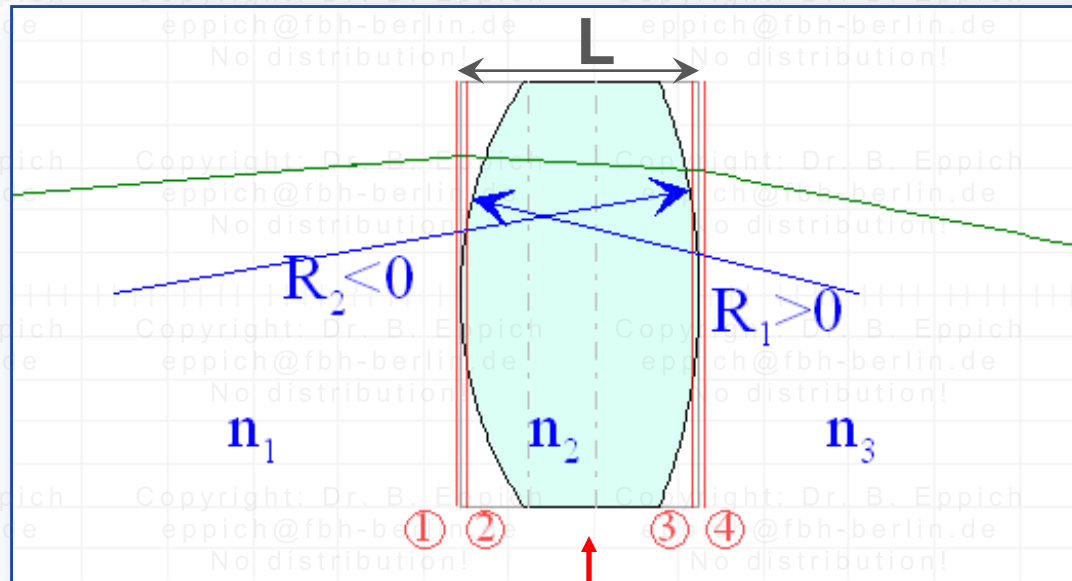
Thick Lens



$$S = \begin{pmatrix} 1 & 0 \\ \frac{n_1 - n_2}{n_2 \cdot R_1} & \frac{n_1}{n_2} \end{pmatrix}$$

Geometrical matrix optics, one-dimensional systems

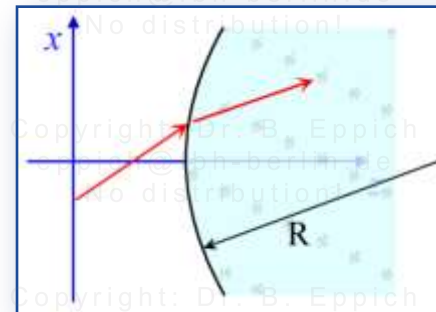
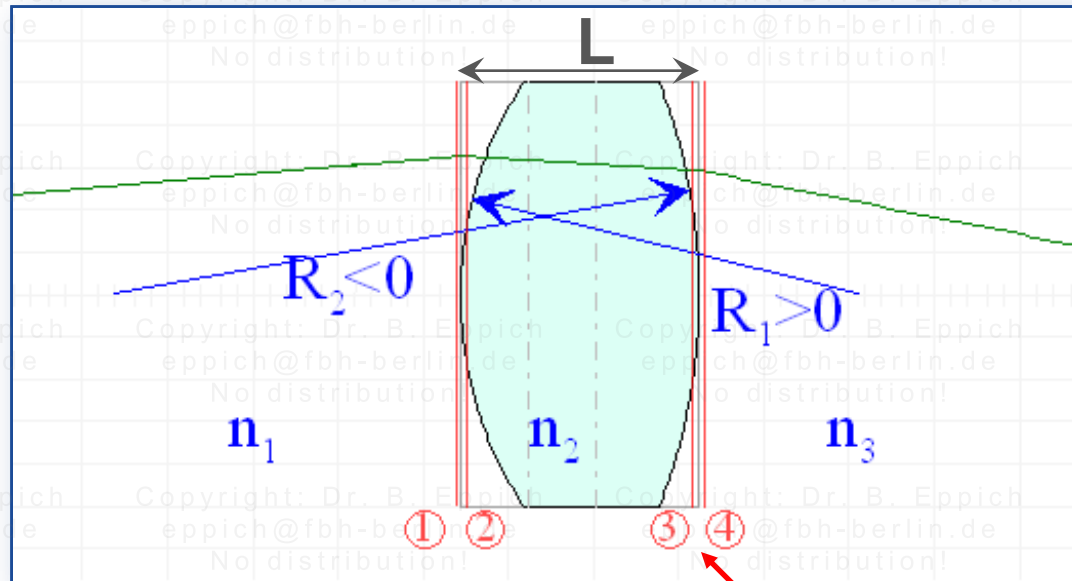
Thick Lens



$$S = \begin{pmatrix} 1 & L \\ 0 & 1 \end{pmatrix}$$

Geometrical matrix optics, one-dimensional systems

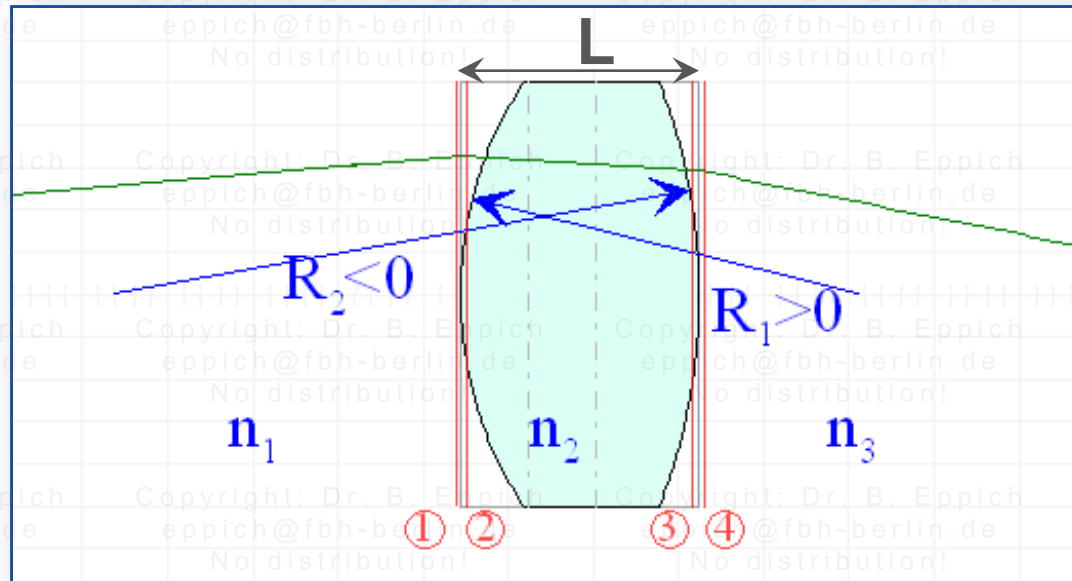
Thick Lens



$$S = \begin{pmatrix} 1 & 0 \\ \frac{n_2 - n_3}{n_3 \cdot R_2} & \frac{n_2}{n_3} \end{pmatrix}$$

Geometrical matrix optics, one-dimensional systems

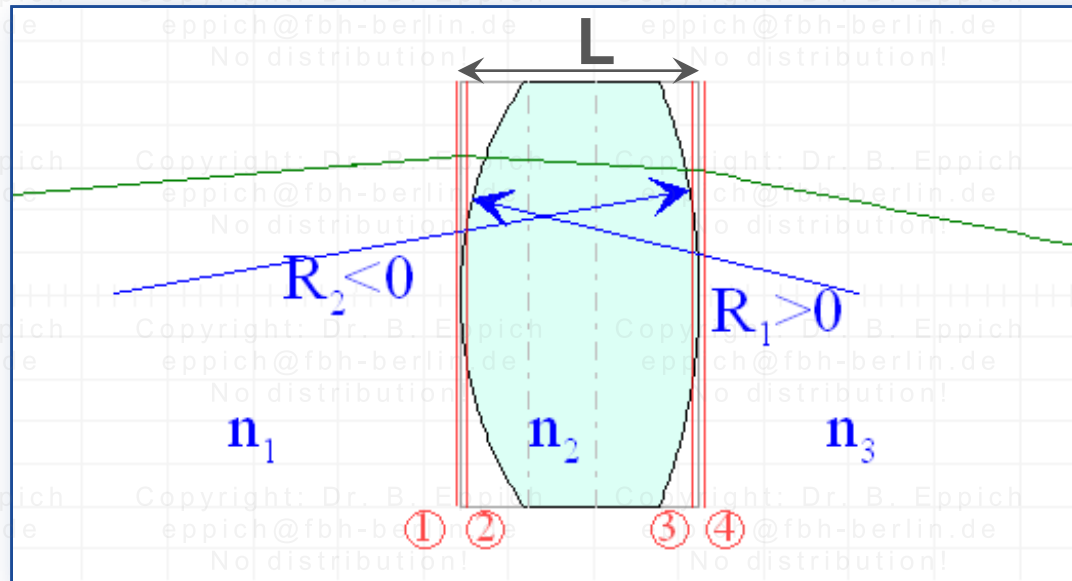
Thick Lens



$$\mathbf{S} = \begin{pmatrix} 1 & 0 \\ \frac{n_2 - n_3}{n_3 \cdot R_2} & \frac{n_2}{n_3} \end{pmatrix} \cdot \begin{pmatrix} 1 & L \\ 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} 1 & 0 \\ \frac{n_1 - n_2}{n_2 \cdot R_1} & \frac{n_1}{n_2} \end{pmatrix}$$

Geometrical matrix optics, one-dimensional systems

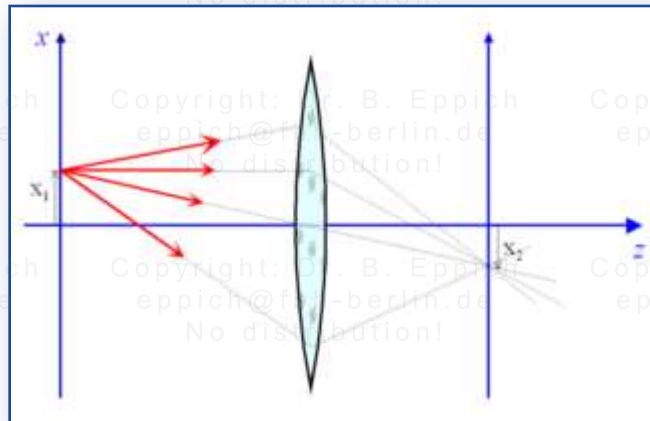
Thick Lens



$$\mathbf{S} = \begin{pmatrix} 1 + \frac{1-n}{n} \frac{L}{R_1} & \frac{L}{n} \\ (n-1) \left(\frac{1}{R_1} - \frac{1}{R_2} \right) - (n-1)^2 \frac{L}{n R_1 R_2} & 1 + \frac{1-n}{n} \frac{L}{R_2} \end{pmatrix}$$

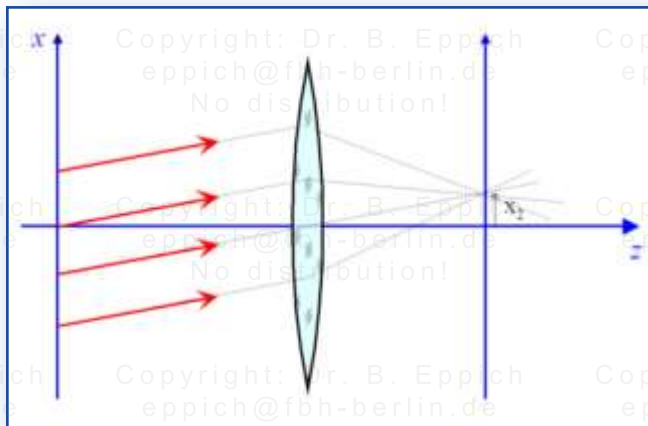
Geometrical matrix optics, one-dimensional systems

Imaging



$$S = \begin{pmatrix} A & 0 \\ C & D \end{pmatrix}$$

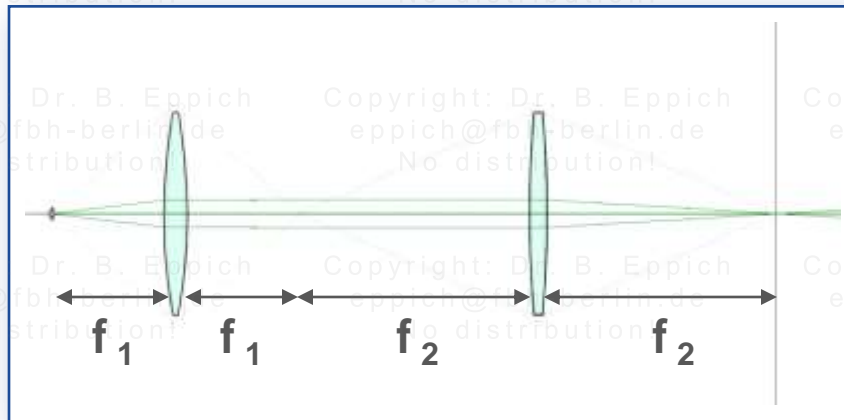
Fourier transformer, far field imaging



$$S = \begin{pmatrix} 0 & B \\ C & D \end{pmatrix}$$

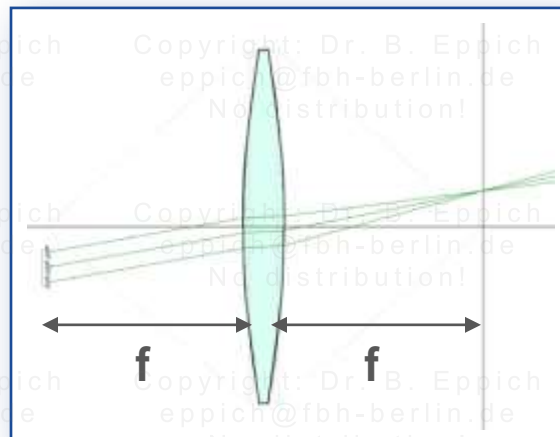
Geometrical matrix optics, one-dimensional systems

Telescope, magnifier



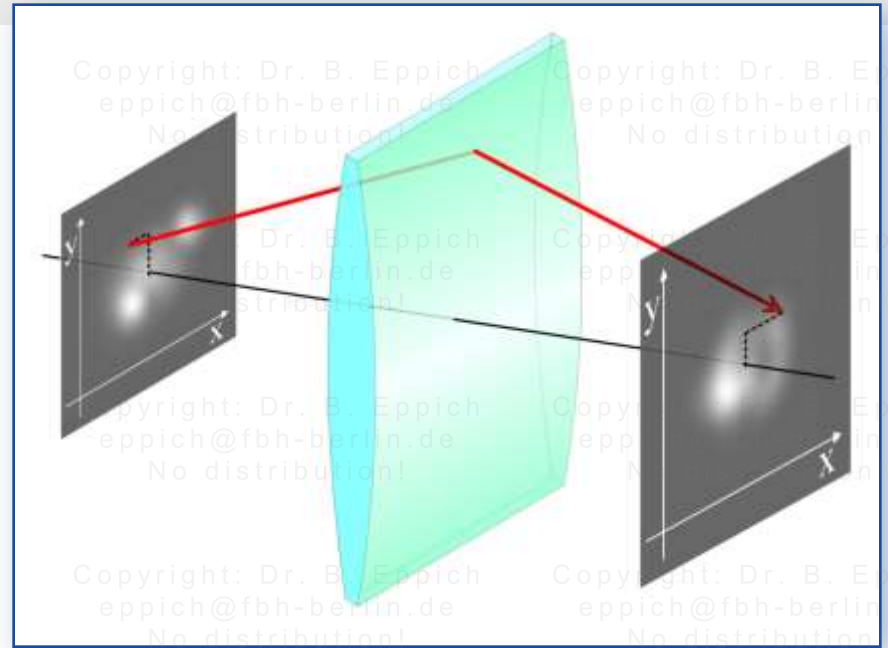
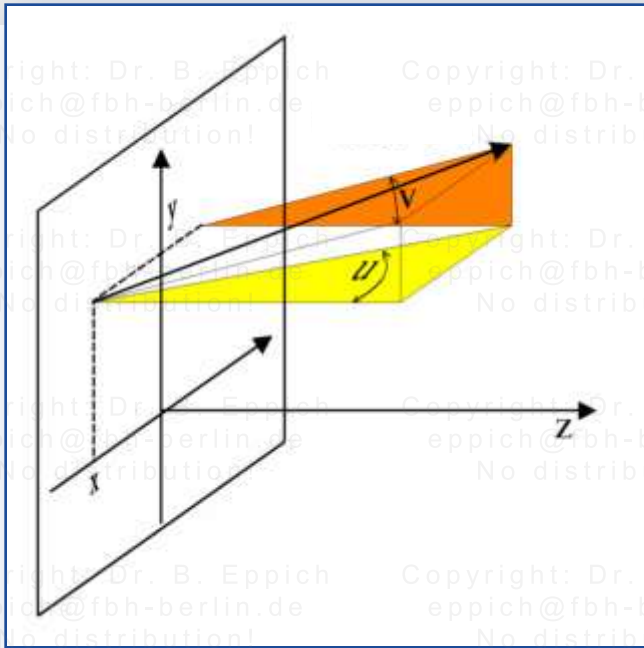
$$S = \begin{pmatrix} A & 0 \\ 0 & D \end{pmatrix}$$

Fourier transformer, far field imaging



$$S = \begin{pmatrix} 0 & B \\ C & 0 \end{pmatrix}$$

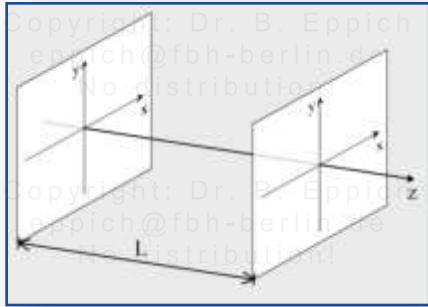
Geometrical matrix optics, two-dimensional systems



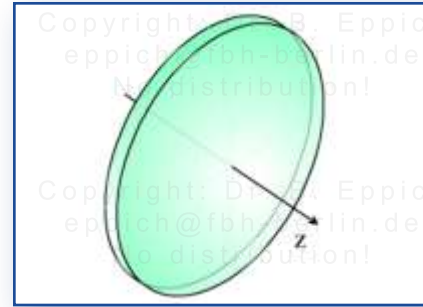
- two spatial coordinates
- two angular coordinates

$$\begin{pmatrix} x_2 \\ y_2 \\ u_2 \\ v_2 \end{pmatrix} = \begin{pmatrix} A_{xx} & A_{xy} & B_{xx} & B_{xy} \\ A_{yx} & A_{yy} & B_{yx} & B_{yy} \\ C_{xx} & C_{xy} & D_{xx} & D_{xy} \\ C_{yx} & C_{yy} & D_{yx} & D_{yy} \end{pmatrix} \cdot \begin{pmatrix} x_1 \\ y_1 \\ u_1 \\ v_1 \end{pmatrix}$$

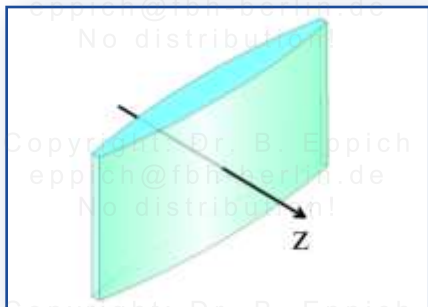
Geometrical matrix optics, two-dimensional systems



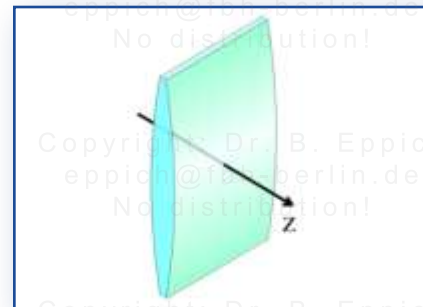
$$\mathbf{S} = \begin{pmatrix} 1 & 0 & L & 0 \\ 0 & 1 & 0 & L \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$



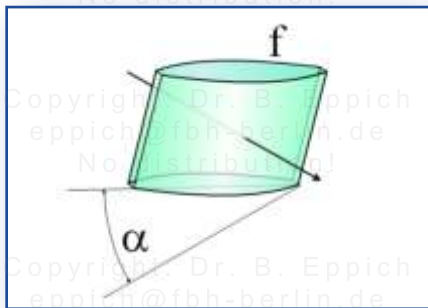
$$\mathbf{S} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ -1/f & 0 & 1 & 0 \\ 0 & -1/f & 0 & 1 \end{pmatrix}$$



$$\mathbf{S} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ -1/f & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$



$$\mathbf{S} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & -1/f & 0 & 1 \end{pmatrix}$$

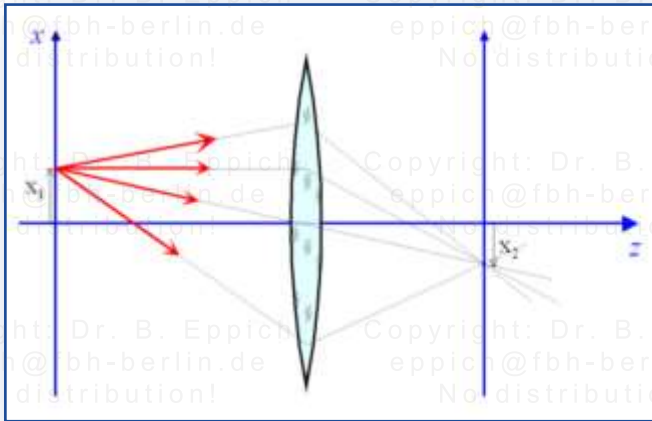


$$\mathbf{S} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ -\cos \alpha^2 / f & \cos \alpha \sin \alpha / f & 1 & 0 \\ \cos \alpha \sin \alpha / f & -\sin \alpha^2 / f & 0 & 1 \end{pmatrix}$$

... and others.

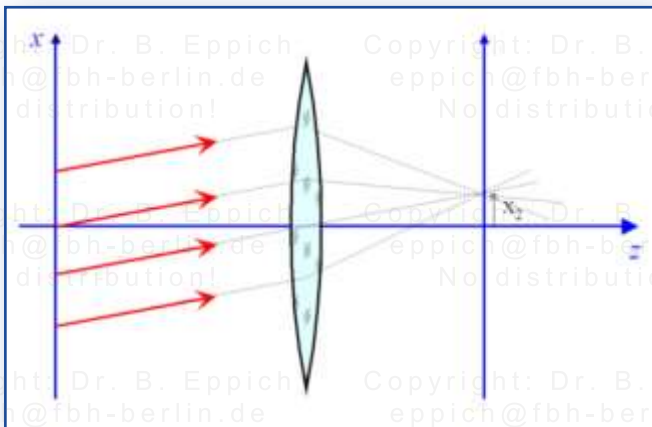
Geometrical matrix optics, two-dimensional systems

Imaging



$$\mathbf{S} = \begin{pmatrix} A_{xx} & 0 & 0 & 0 \\ 0 & A_{yy} & 0 & 0 \\ C_{xx} & C_{xy} & D_{xx} & D_{xy} \\ C_{yx} & C_{yy} & D_{yx} & D_{yy} \end{pmatrix}$$

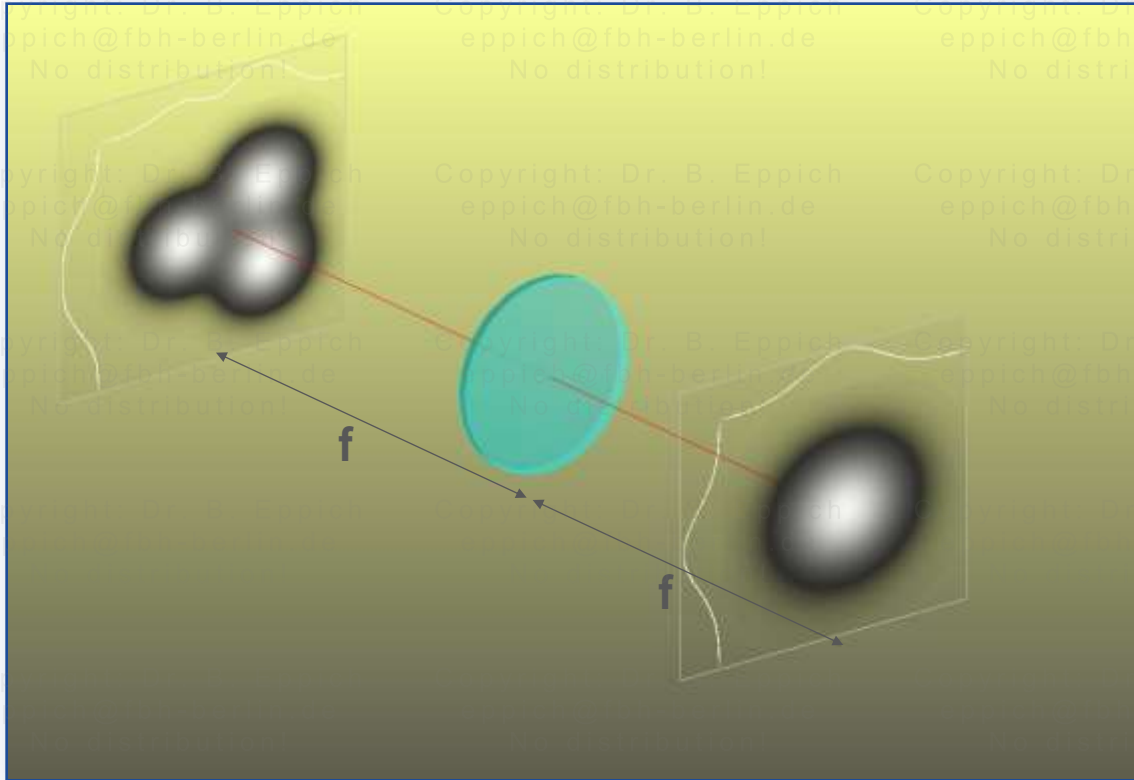
Fourier transformer, far field imaging



$$\mathbf{S} = \begin{pmatrix} 0 & 0 & B_{xx} & 0 \\ 0 & 0 & 0 & B_{yy} \\ C_{xx} & C_{xy} & D_{xx} & D_{xy} \\ C_{yx} & C_{yy} & D_{yx} & D_{yy} \end{pmatrix}$$

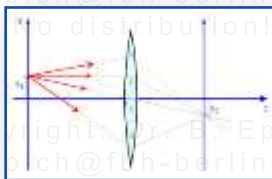
Geometrical matrix optics, two-dimensional systems

Anamorphic systems

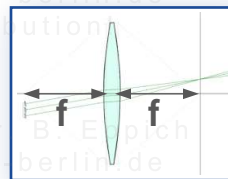


$$\mathbf{S} = \begin{pmatrix} 0 & 0 & f & 0 \\ 0 & 0 & 0 & f \\ -1/f & 0 & 0 & 0 \\ 0 & -1/f & 0 & 0 \end{pmatrix}$$

Fourier transformer, far field imaging



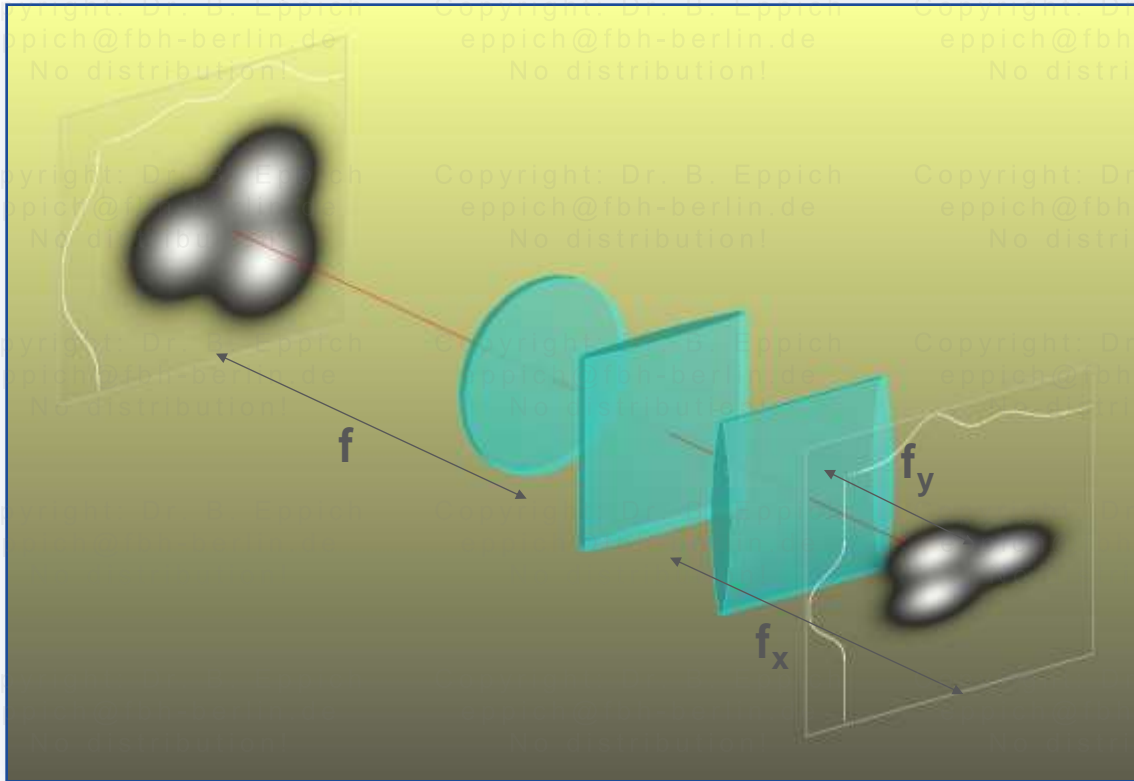
$$\mathbf{S} = \begin{pmatrix} A & 0 \\ C & D \end{pmatrix}$$



$$\mathbf{S} = \begin{pmatrix} 0 & B \\ C & 0 \end{pmatrix}$$

Geometrical matrix optics, two-dimensional systems

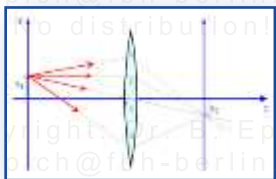
Anamorphic systems



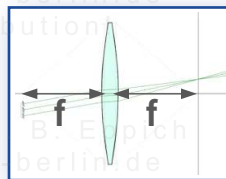
$$S = \begin{pmatrix} A_{xx} & 0 & 0 & 0 \\ 0 & A_{yy} & 0 & 0 \\ C_{xx} & 0 & D_{xx} & 0 \\ 0 & C_{yy} & 0 & D_{yy} \end{pmatrix}$$

$A_{xx} \neq A_{yy}$

Anamorphic relay imaging



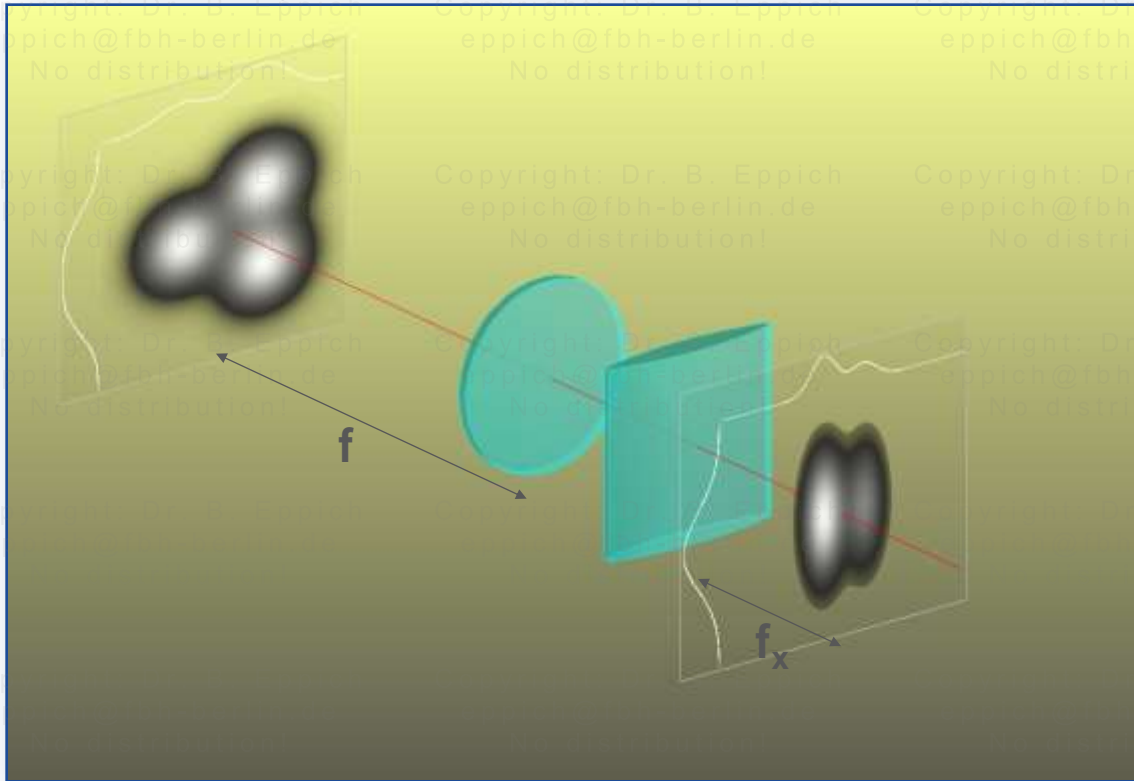
$$S = \begin{pmatrix} A & 0 \\ C & D \end{pmatrix}$$



$$S = \begin{pmatrix} 0 & B \\ C & 0 \end{pmatrix}$$

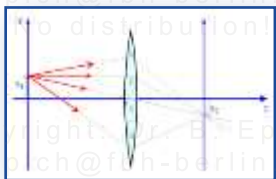
Geometrical matrix optics, two-dimensional systems

Anamorphic systems

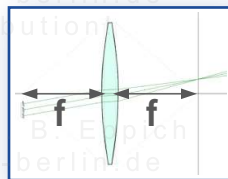


$$\mathbf{S} = \begin{pmatrix} A_{xx} & 0 & 0 & 0 \\ 0 & 0 & 0 & f \\ C_{xx} & 0 & D_{xx} & 0 \\ 0 & -1/f & 0 & 0 \end{pmatrix}$$

Horizontal imaging, vertical Fourier transform



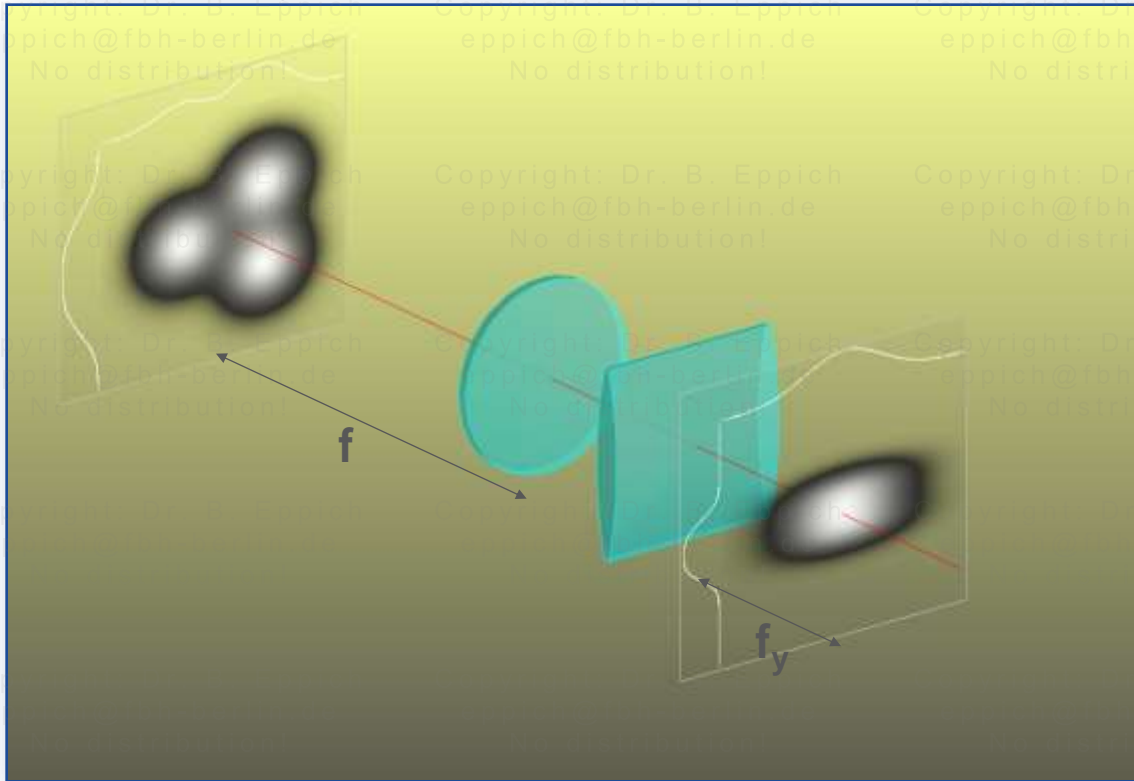
$$\mathbf{S} = \begin{pmatrix} A & 0 \\ C & D \end{pmatrix}$$



$$\mathbf{S} = \begin{pmatrix} 0 & B \\ C & 0 \end{pmatrix}$$

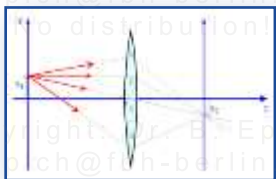
Geometrical matrix optics, two-dimensional systems

Anamorphic systems

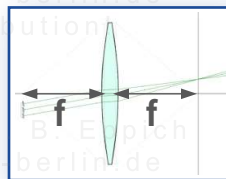


$$S = \begin{pmatrix} 0 & 0 & f & 0 \\ 0 & A_{yy} & 0 & 0 \\ -1/f & 0 & 0 & 0 \\ 0 & C_{yy} & 0 & D_{yy} \end{pmatrix}$$

Horizontal Fourier transform, vertical imaging



$$S = \begin{pmatrix} A & 0 \\ C & D \end{pmatrix}$$



$$S = \begin{pmatrix} 0 & B \\ C & 0 \end{pmatrix}$$

Geometrical matrix optics, two-dimensional systems

$$\mathbf{S} = \begin{pmatrix} A_{xx} & A_{xy} & B_{xx} & B_{xy} \\ A_{yx} & A_{yy} & B_{yx} & B_{yy} \\ C_{xx} & C_{xy} & D_{xx} & D_{xy} \\ C_{yx} & C_{yy} & D_{yx} & D_{yy} \end{pmatrix}$$

Restriction:

$$\mathbf{S} \cdot \mathbf{J} \cdot \mathbf{S}^T = \mathbf{J} \quad \text{with} \quad \mathbf{J} = \begin{pmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ -1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \end{pmatrix}$$

(symplecticity)

→ **only ten independent parameters!**

Separable optical systems

$$\mathbf{S} = \begin{pmatrix} A_{xx} & 0 & B_{xx} & 0 \\ 0 & A_{yy} & 0 & B_{yy} \\ C_{xx} & 0 & D_{xx} & 0 \\ 0 & C_{yy} & 0 & D_{yy} \end{pmatrix}$$

$$\begin{pmatrix} x_2 \\ y_2 \\ u_2 \\ v_2 \end{pmatrix} = \begin{pmatrix} A_{xx} & 0 & B_{xx} & 0 \\ 0 & A_{yy} & 0 & B_{yy} \\ C_{xx} & 0 & D_{xx} & 0 \\ 0 & C_{yy} & 0 & D_{yy} \end{pmatrix} \cdot \begin{pmatrix} x_1 \\ y_1 \\ u_1 \\ v_1 \end{pmatrix} = \begin{pmatrix} A_{xx} x_1 + B_{xx} u_1 \\ A_{yy} y_1 + B_{yy} v_1 \\ C_{xx} x_1 + D_{xx} u_1 \\ C_{yy} y_1 + D_{yy} v_1 \end{pmatrix}$$

Separable optical systems

$$\mathbf{S} = \begin{pmatrix} A_{xx} & 0 & B_{xx} & 0 \\ 0 & A_{yy} & 0 & B_{yy} \\ C_{xx} & 0 & D_{xx} & 0 \\ 0 & C_{yy} & 0 & D_{yy} \end{pmatrix}$$

$$\mathbf{S}_x = \begin{pmatrix} A_{xx} & B_{xx} \\ C_{xx} & D_{xx} \end{pmatrix}$$

$$\mathbf{S}_y = \begin{pmatrix} A_{yy} & B_{yy} \\ C_{yy} & D_{yy} \end{pmatrix}$$

$$\begin{pmatrix} x_2 \\ y_2 \\ u_2 \\ v_2 \end{pmatrix} = \begin{pmatrix} A_{xx} & 0 & B_{xx} & 0 \\ 0 & A_{yy} & 0 & B_{yy} \\ C_{xx} & 0 & D_{xx} & 0 \\ 0 & C_{yy} & 0 & D_{yy} \end{pmatrix} \cdot \begin{pmatrix} x_1 \\ y_1 \\ u_1 \\ v_1 \end{pmatrix} = \begin{pmatrix} A_{xx} x_1 + B_{xx} u_1 \\ A_{yy} y_1 + B_{yy} v_1 \\ C_{xx} x_1 + D_{xx} u_1 \\ C_{yy} y_1 + D_{yy} v_1 \end{pmatrix}$$

Separable optical systems

$$\mathbf{S} = \begin{pmatrix} A_{xx} & 0 & B_{xx} & 0 \\ 0 & A_{yy} & 0 & B_{yy} \\ C_{xx} & 0 & D_{xx} & 0 \\ 0 & C_{yy} & 0 & D_{yy} \end{pmatrix}$$

$$\mathbf{S}_x = \begin{pmatrix} A_{xx} & B_{xx} \\ C_{xx} & D_{xx} \end{pmatrix}$$

$$\mathbf{S}_y = \begin{pmatrix} A_{yy} & B_{yy} \\ C_{yy} & D_{yy} \end{pmatrix}$$

$$\begin{pmatrix} x_2 \\ u_2 \end{pmatrix} = \begin{pmatrix} A_{xx} & B_{xx} \\ C_{xx} & D_{xx} \end{pmatrix} \cdot \begin{pmatrix} x_1 \\ u_1 \end{pmatrix} = \begin{pmatrix} A_{xx} x_1 + B_{xx} u_1 \\ C_{xx} x_1 + D_{xx} u_1 \end{pmatrix}$$

$$\begin{pmatrix} y_2 \\ v_2 \end{pmatrix} = \begin{pmatrix} A_{yy} & B_{yy} \\ C_{yy} & D_{yy} \end{pmatrix} \cdot \begin{pmatrix} y_1 \\ v_1 \end{pmatrix} = \begin{pmatrix} A_{yy} y_1 + B_{yy} v_1 \\ C_{yy} y_1 + D_{yy} v_1 \end{pmatrix}$$

Separable optical systems

$$\mathbf{S} = \begin{pmatrix} A_{xx} & 0 & B_{xx} & 0 \\ 0 & A_{yy} & 0 & B_{yy} \\ C_{xx} & 0 & D_{xx} & 0 \\ 0 & C_{yy} & 0 & D_{yy} \end{pmatrix}$$

$$\mathbf{S}_x = \begin{pmatrix} A_{xx} & B_{xx} \\ C_{xx} & D_{xx} \end{pmatrix}$$

$$\mathbf{S}_y = \begin{pmatrix} A_{yy} & B_{yy} \\ C_{yy} & D_{yy} \end{pmatrix}$$

$$\mathbf{S} \cdot \mathbf{J} \cdot \mathbf{S}^T = \mathbf{J}$$

$$A_{xx} D_{xx} - B_{xx} C_{xx} = 1$$

$$A_{yy} D_{yy} - B_{yy} C_{yy} = 1$$



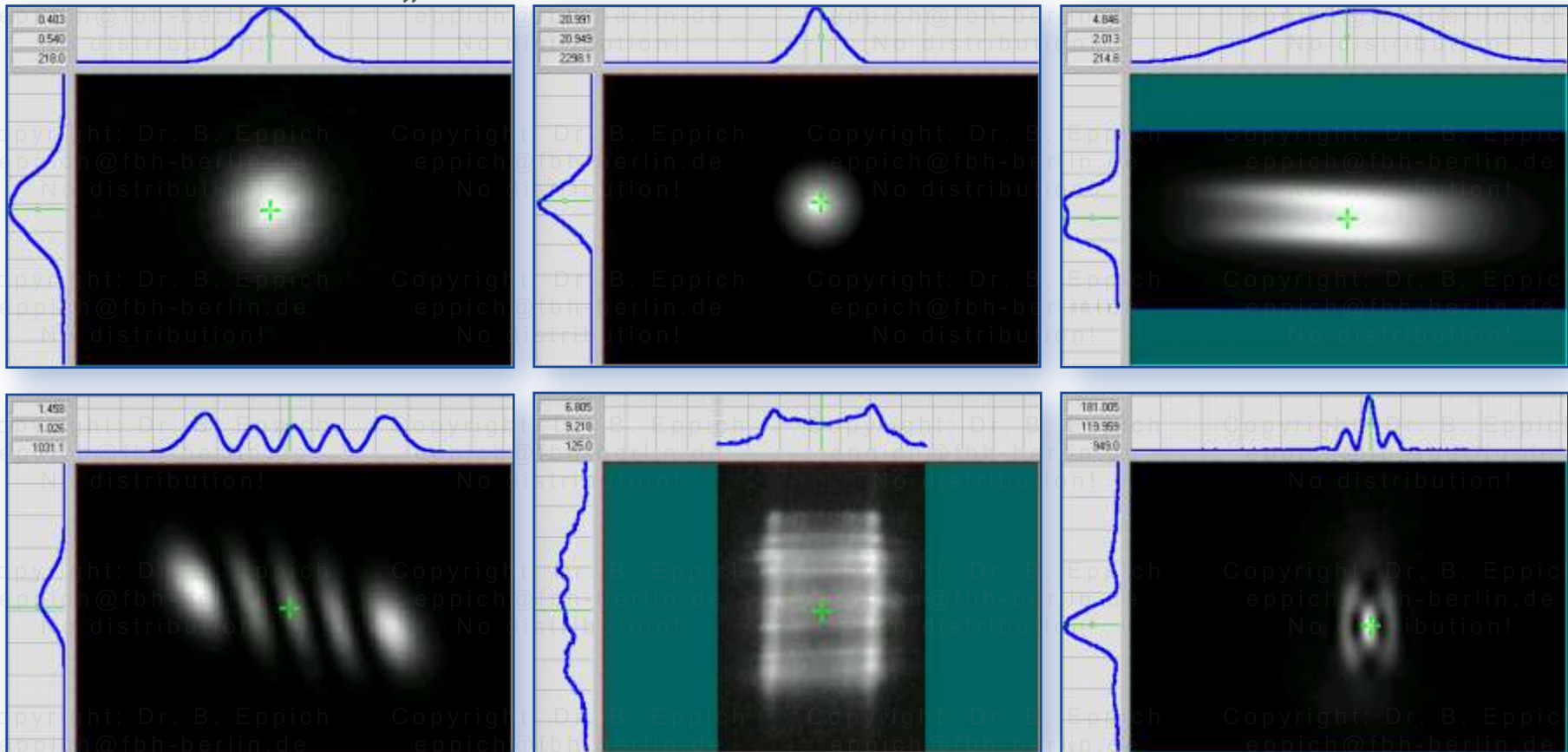
Content

- Motivation
- ISO standard
- Beams and optical systems
- **Beam diameter definitions**
- Beam classification
- The ten second order moments
- Measurement of the ten second order moments

„Conventional“ beam characterization

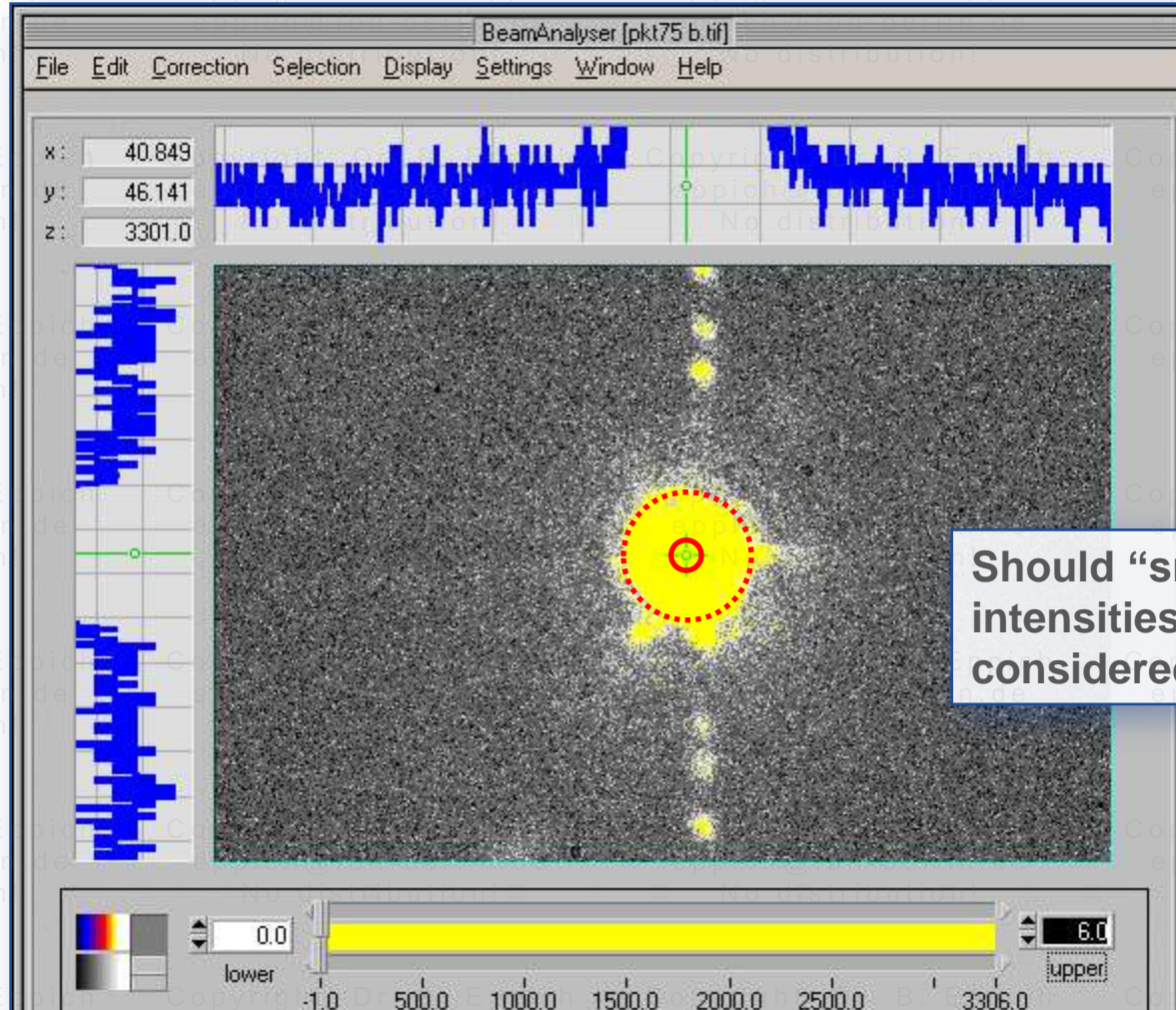
- **Restriction to transversal beam profile extent**
- **Neglecting the beam profile structure**

How to define a beam „diameter“ ?



Beam profile example

Low relative intensities



Should "small" intensities be considered?

Requirements

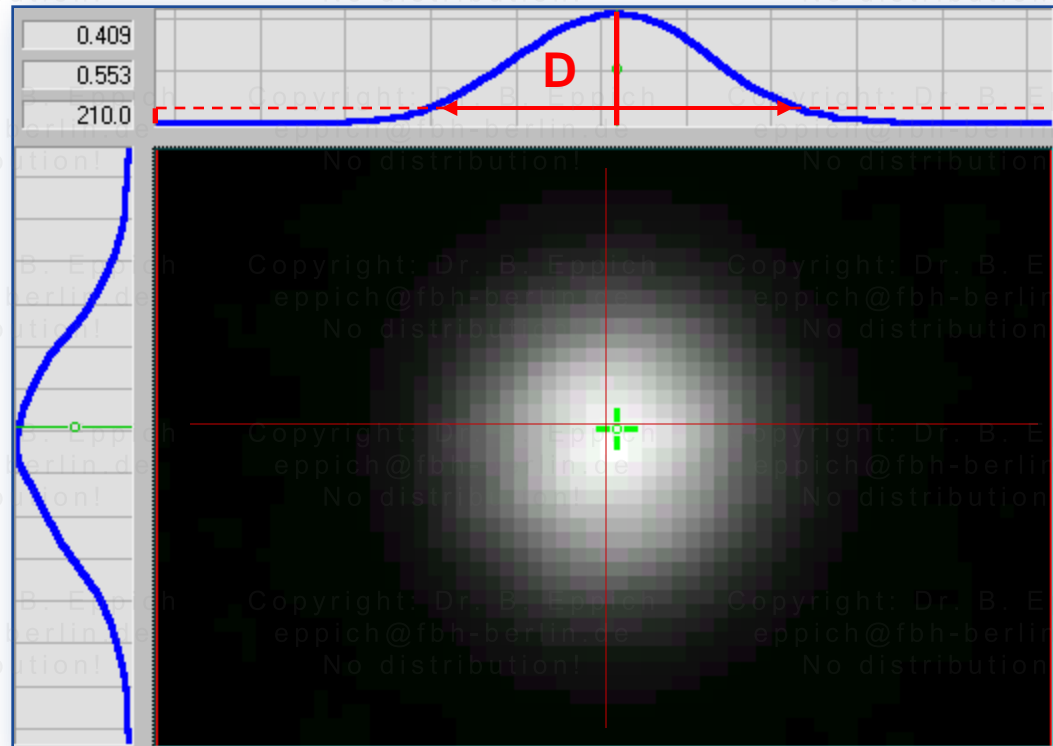
Requirements for a „suitable“ beam diameter definition:

- **Meaningful**
- **Useful for applications**
- **Accurately measurable**
- **Simple propagation law**

„Historical“ beam diameter definitions

1/e²-intensity-threshold, for Gaussian beams: **definition**

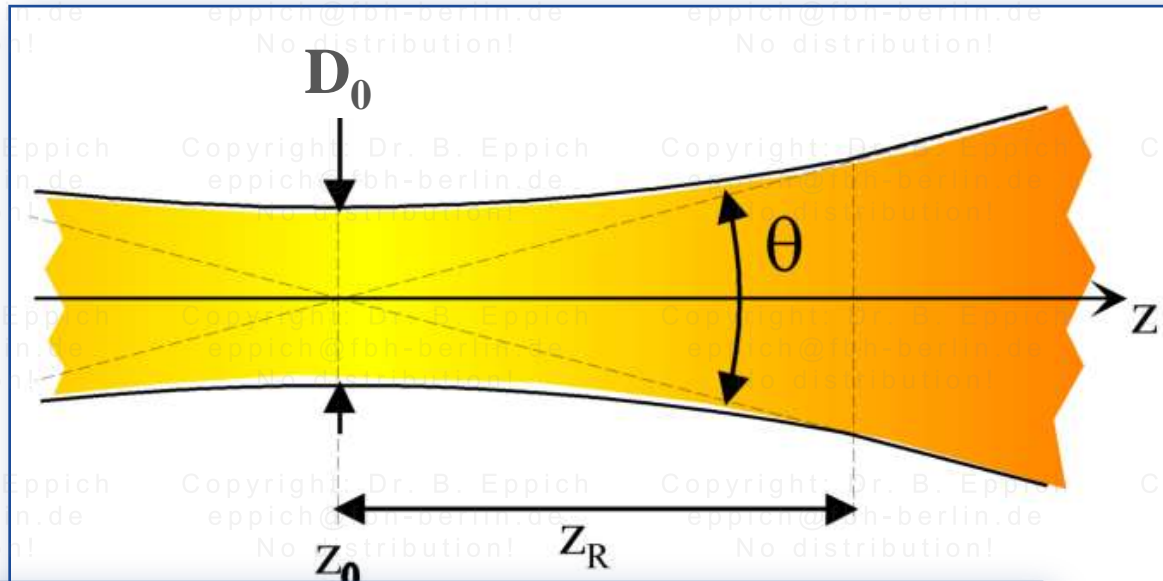
$$I(x, y) = I_0 e^{-2 \frac{x^2 + y^2}{w^2}}$$



$$D = 2w$$

„Historical“ beam diameter definitions

1/e²-intensity-threshold, for Gaussian beams: **free space propagation**



Valid only for
Gaussian
beams!

$$D(z) = D_0 \sqrt{1 + \left(\frac{z - z_0}{z_R} \right)^2} = \sqrt{D_0^2 + \theta^2 (z - z_0)^2}$$

$$\frac{D_0^2}{4 z_R} = \frac{\lambda}{\pi}$$

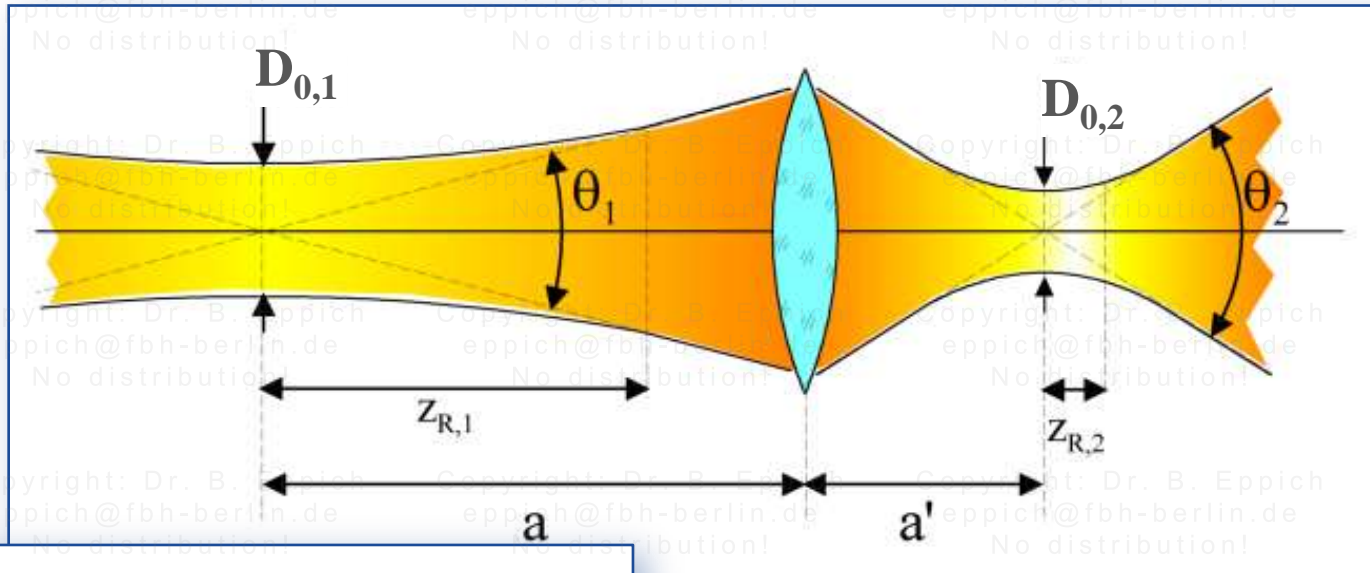
$$z_R = \frac{\pi D_0^2}{4 \lambda}$$

$$\theta = \frac{4 \lambda}{\pi D_0}$$

$$\frac{D_0 \theta}{4} = \frac{\lambda}{\pi}$$

„Historical“ beam diameter definitions

1/e²-intensity-threshold, for Gaussian beams: **re-focussing with a single lens**



Valid only for
Gaussian
beams!

$$V = \frac{f}{\sqrt{z_{R,1}^2 + (a - f)^2}}$$

$$D_{0,2} = V \cdot D_{0,1}$$

$$z_{R,2} = V^2 \cdot z_{R,1}$$

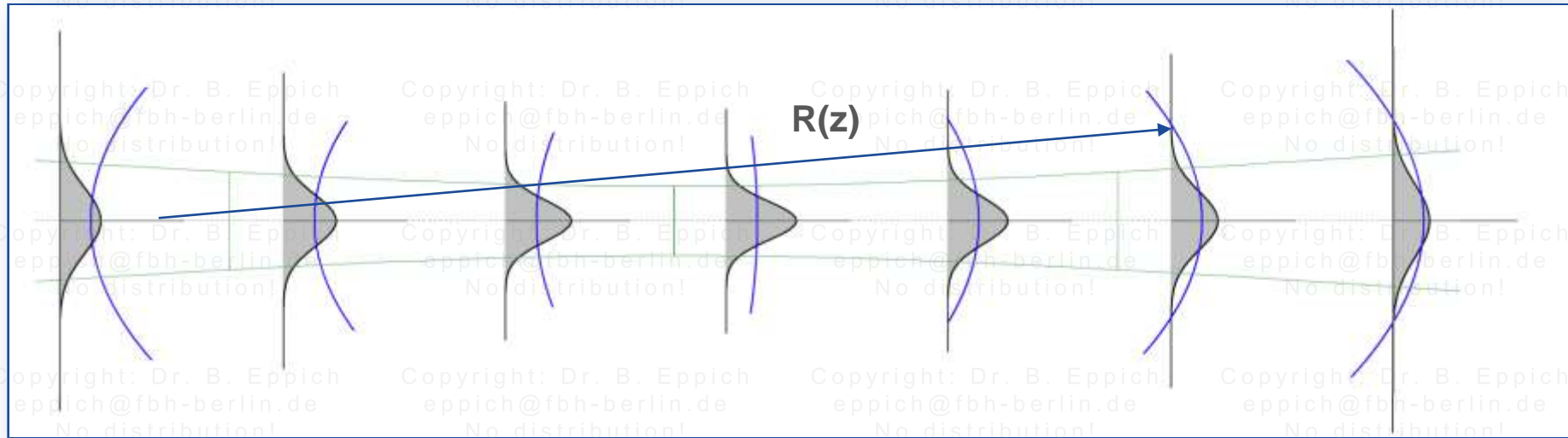
$$a' - f = V^2 \cdot (a - f)$$

$$\theta_2 = \frac{1}{V} \theta_1$$

$$\frac{D_{0,2} \cdot \theta_2}{4} = \frac{D_{0,1} \cdot \theta_1}{4} = \frac{\lambda}{\pi}$$

„Historical“ beam diameter definitions

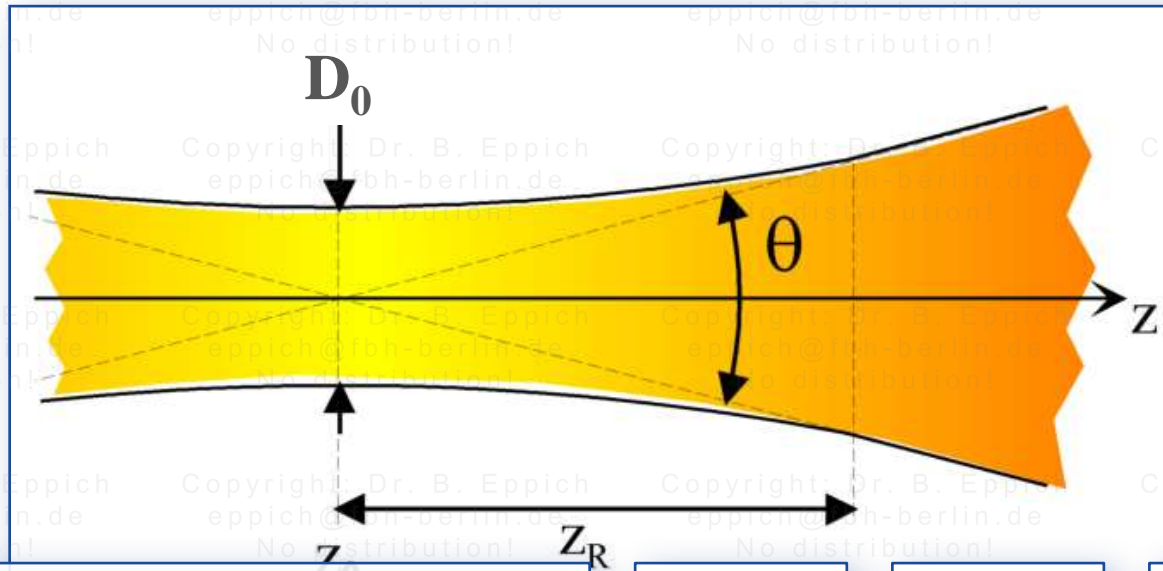
Propagation of phase curvature (Gaussian beams only!)



$$R(z) = z_R \left(\frac{z - z_0}{z_R} + \frac{z_R}{z - z_0} \right)$$

„Historical“ beam diameter definitions

1/e²-intensity-threshold, for Gaussian beams: **definition of the q-parameter**



Valid only for
Gaussian
beams!

$$D(z) = D_0 \sqrt{1 + \left(\frac{z - z_0}{z_R}\right)^2} = \sqrt{D_0^2 + \theta^2 (z - z_0)^2}$$

$$\frac{D_0^2}{4 z_R} = \frac{\lambda}{\pi}$$

$$\frac{D_0 \theta}{4} = \frac{\lambda}{\pi}$$

$$R(z) = z_R \left(\frac{z}{z_R} + \frac{z_R}{z} \right)$$

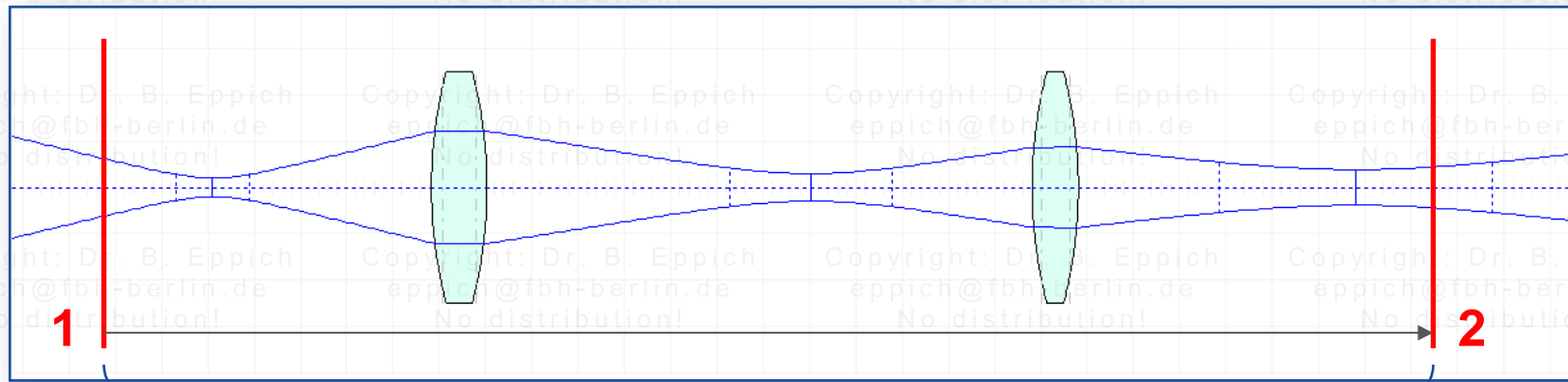
$$q = \Delta z_0 + i z_R$$

$$\frac{1}{q} = \frac{1}{R} - i \frac{4\lambda}{\pi D^2}$$

$$\Delta z_0 = z - z_0$$

„Historical“ beam diameter definitions

1/e²-intensity-threshold, for Gaussian beams: **propagation of the q-parameter**



$$\begin{pmatrix} A & B \\ C & D \end{pmatrix}$$

q_1

q_2

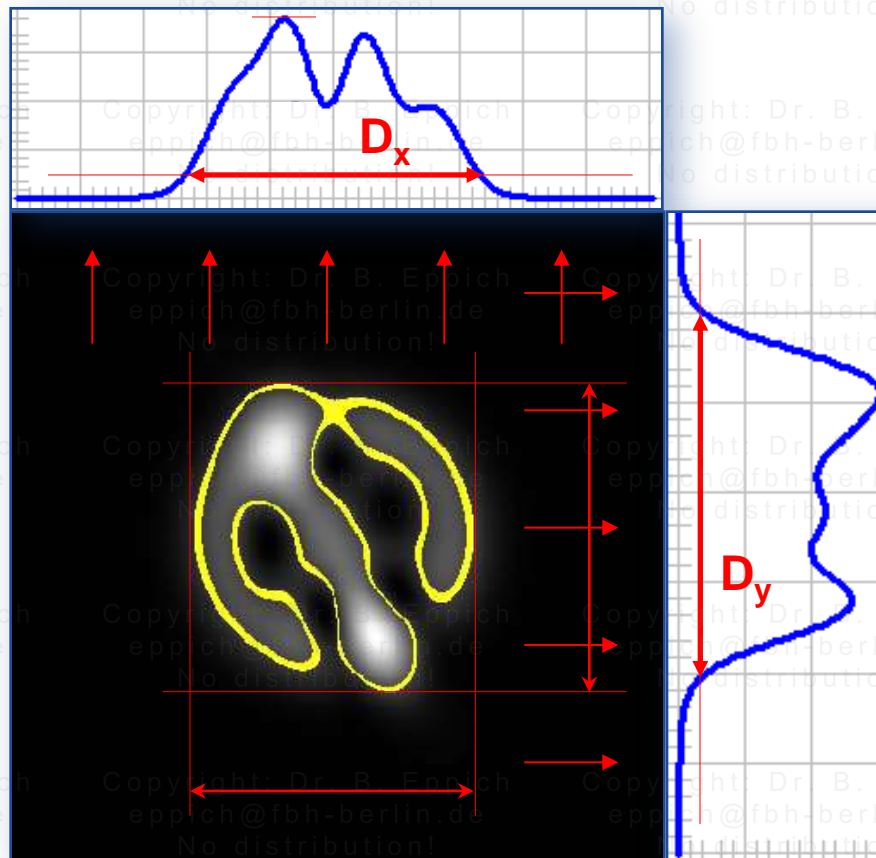
D_1
 Δz_1
 $z_{R,1}$
 R_1

$$q_2 = \frac{A q_1 + B}{C q_1 + D}$$

D_2
 Δz_2
 $z_{R,2}$
 R_2

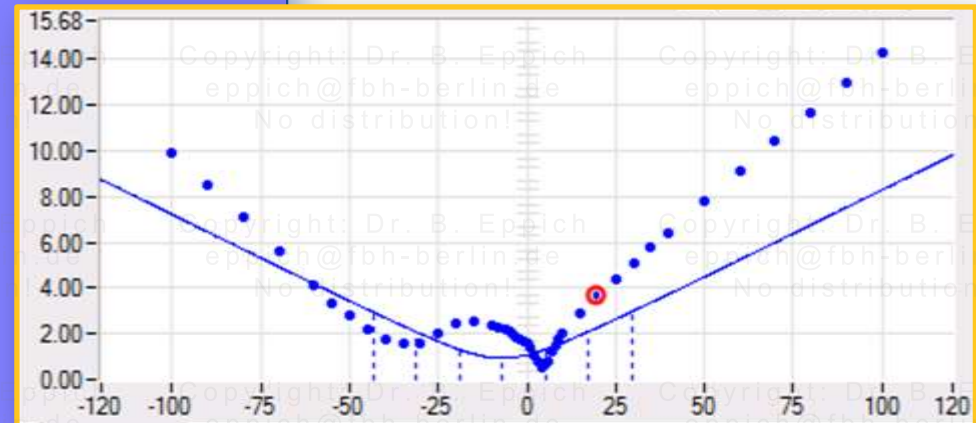
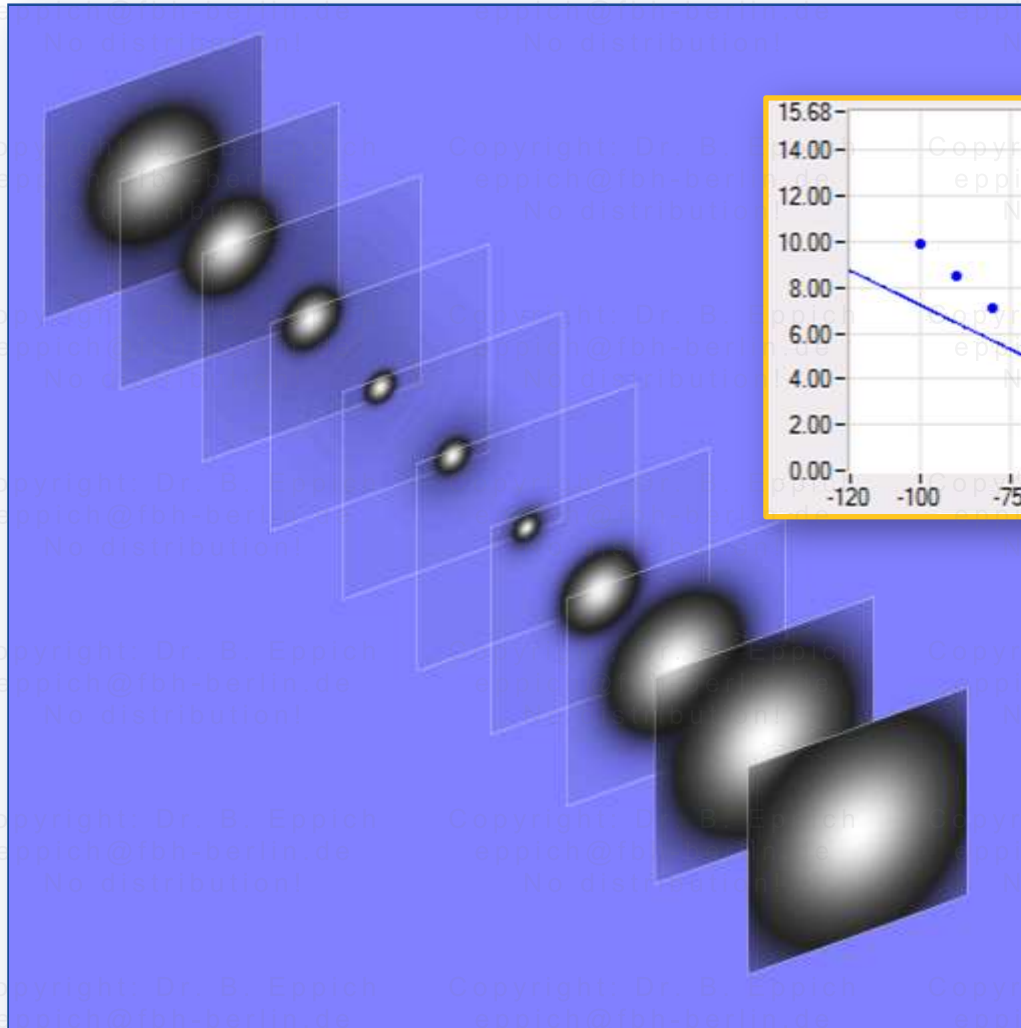
„Historical“ beam diameter definitions

Application of the threshold definition to non-Gaussian beams:



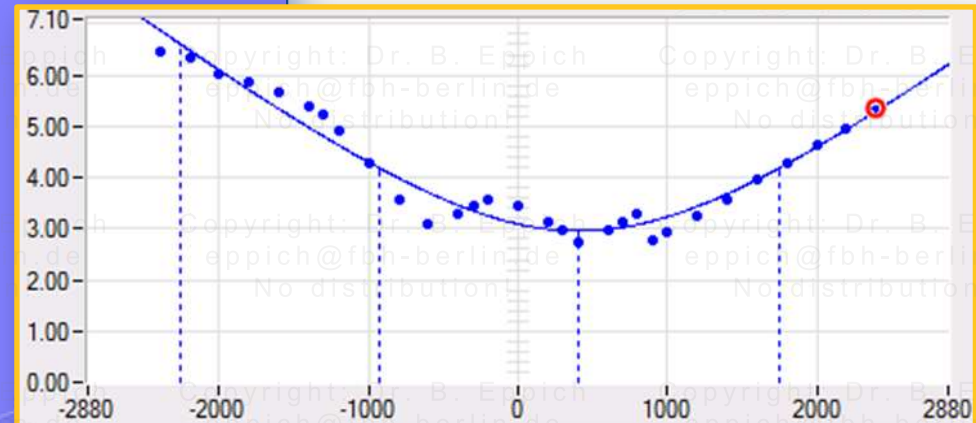
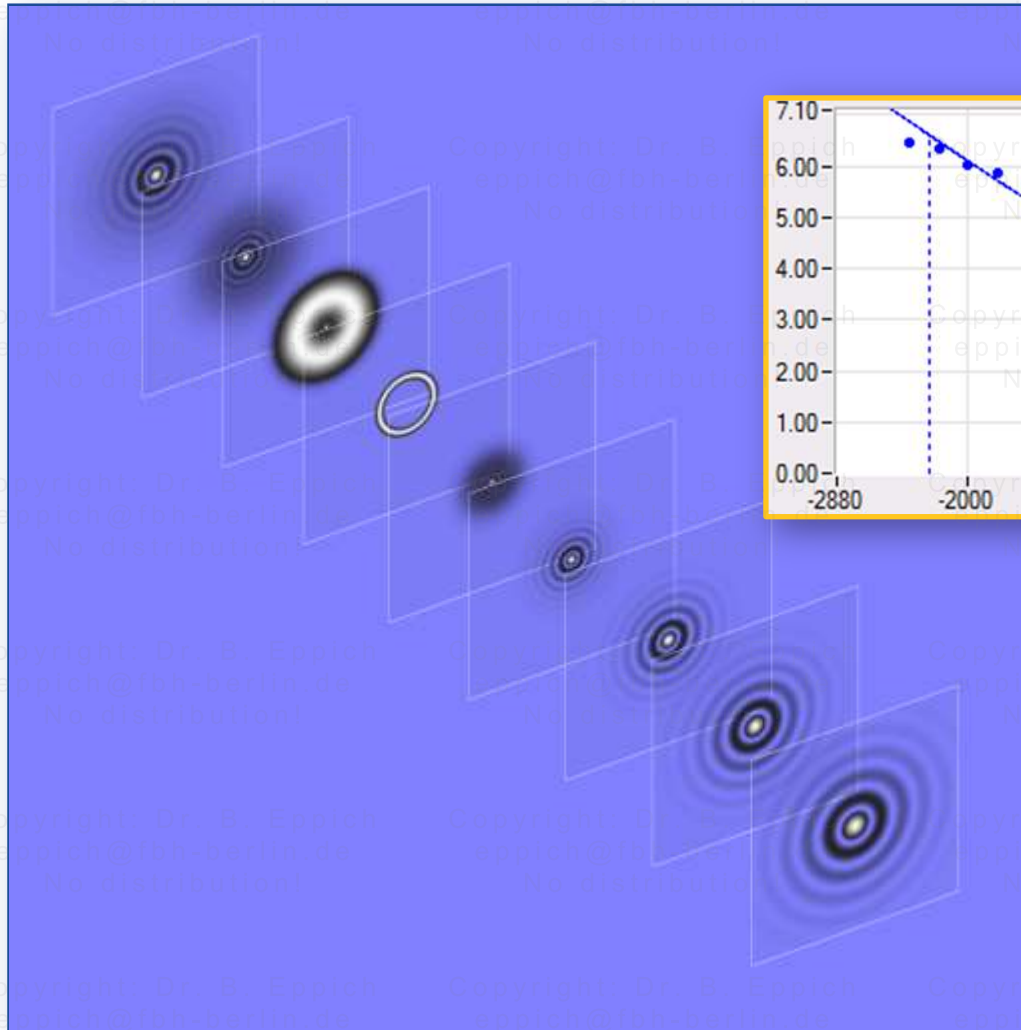
„Historical“ beam diameter definitions

Application of the threshold definition to non-Gaussian beams:



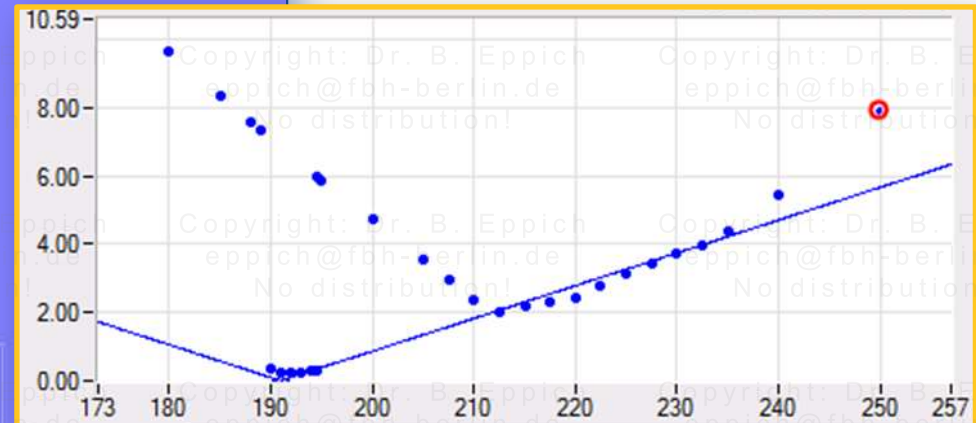
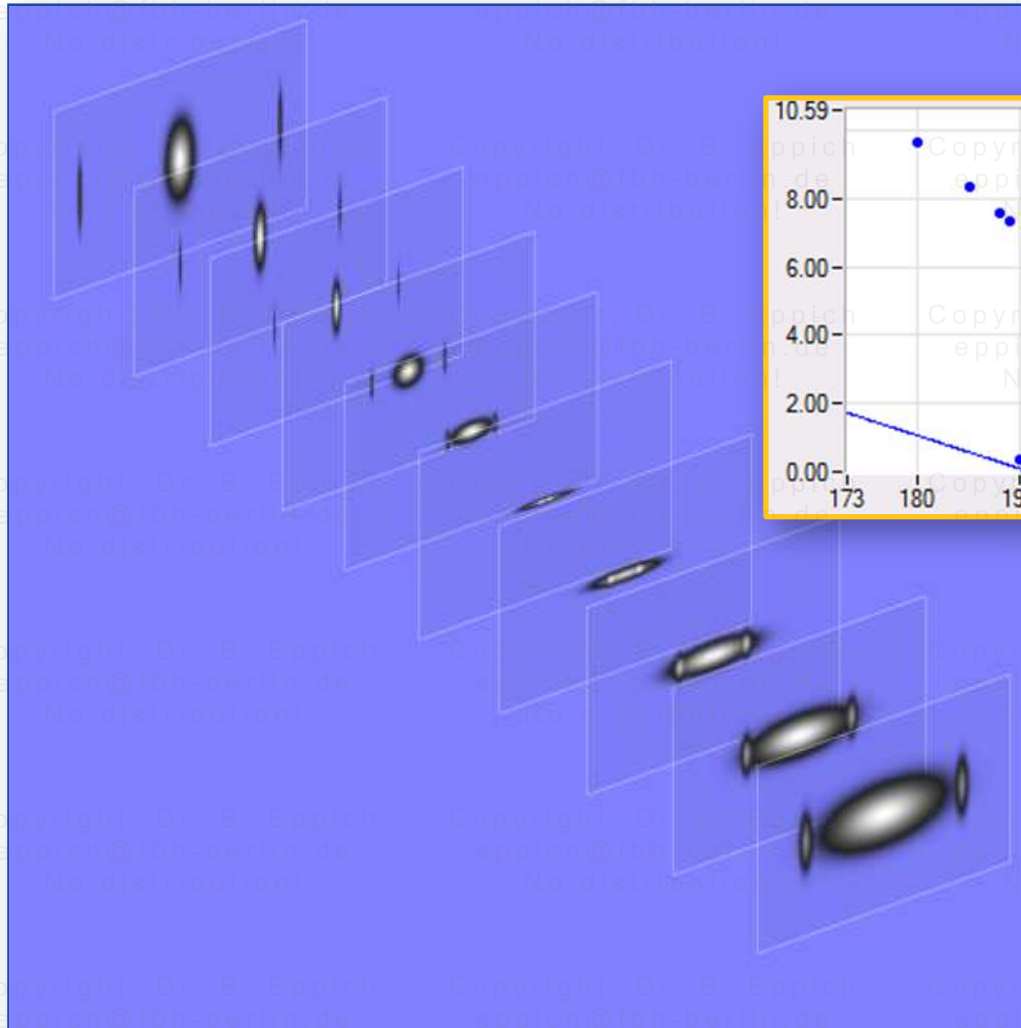
„Historical“ beam diameter definitions

Application of the threshold definition to non-Gaussian beams:



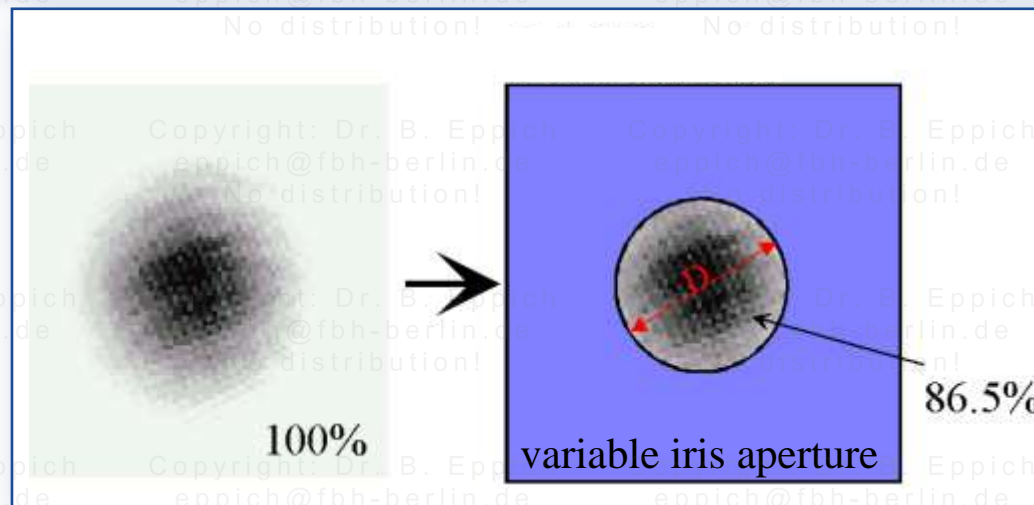
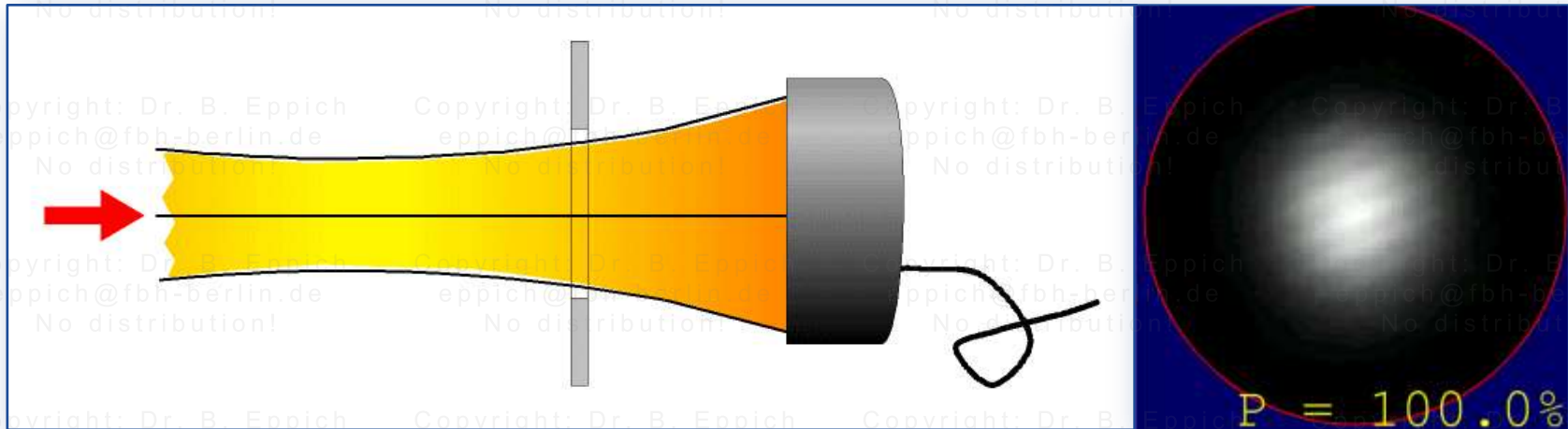
„Historical“ beam diameter definitions

Application of the threshold definition to non-Gaussian beams:



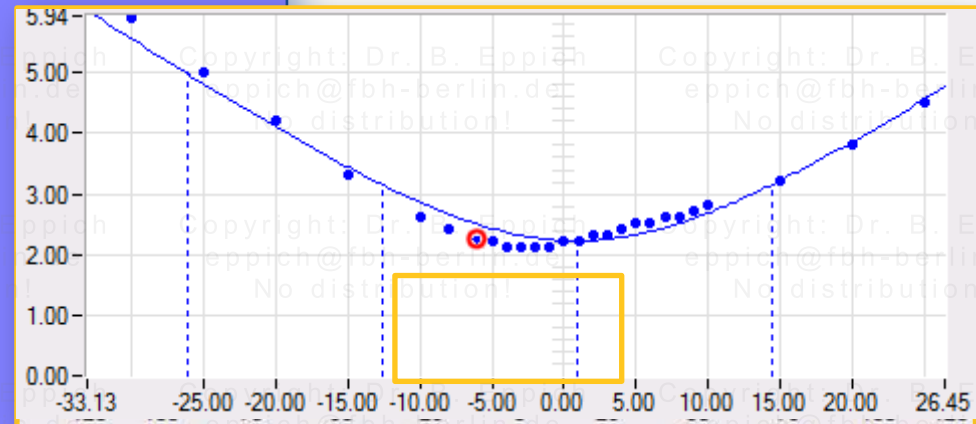
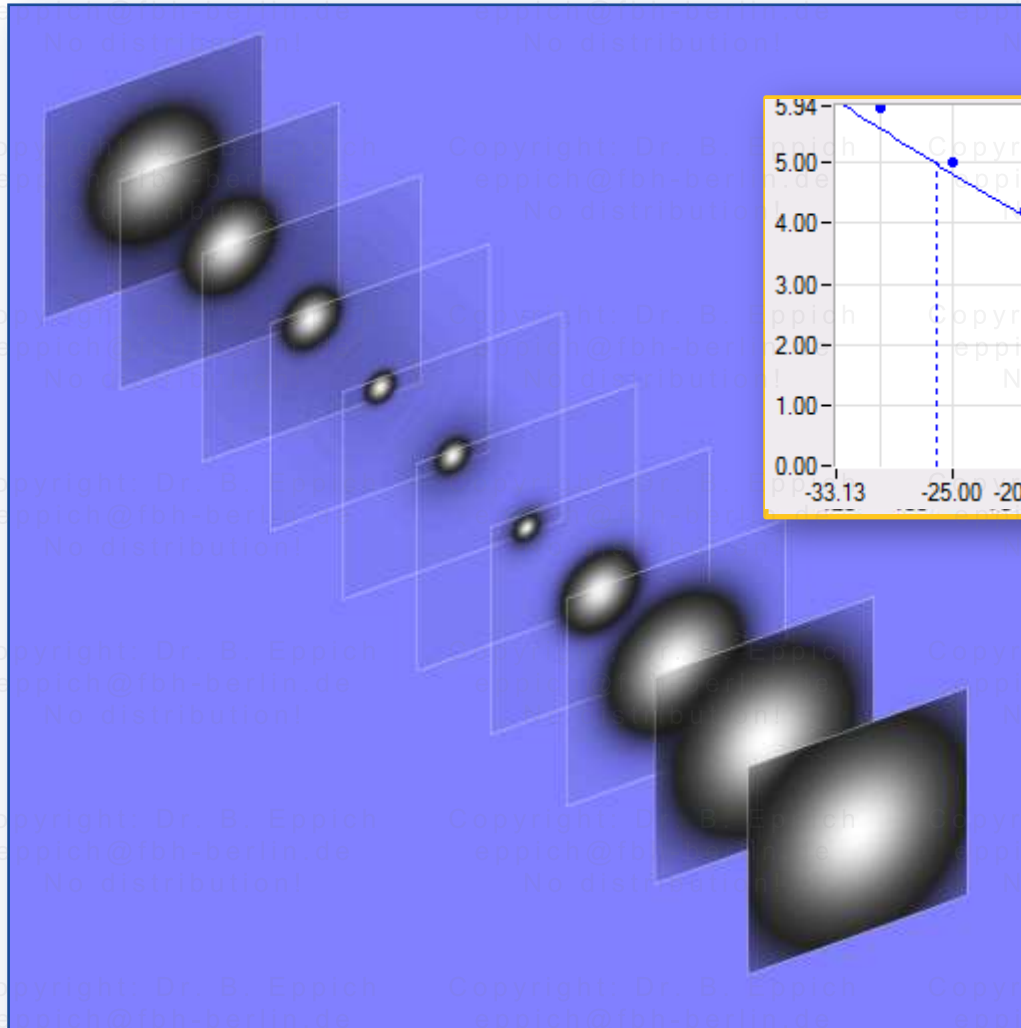
„Historical“ beam diameter definitions

... "power content" definition for "circular" profiles



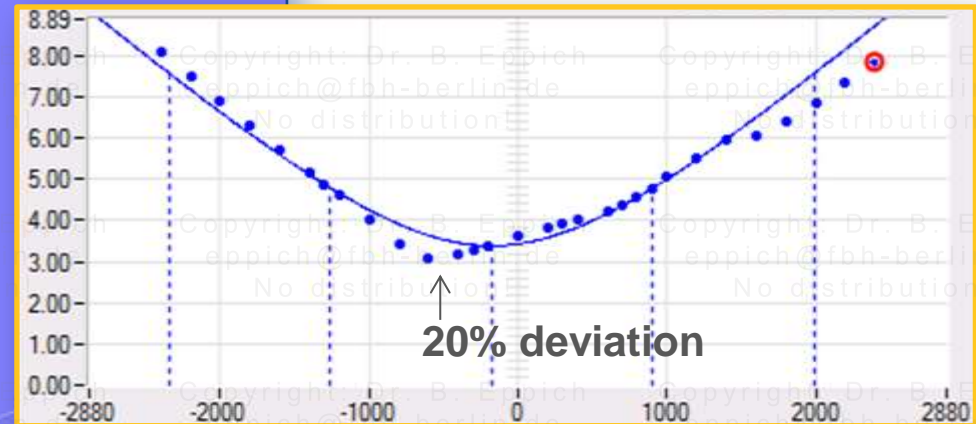
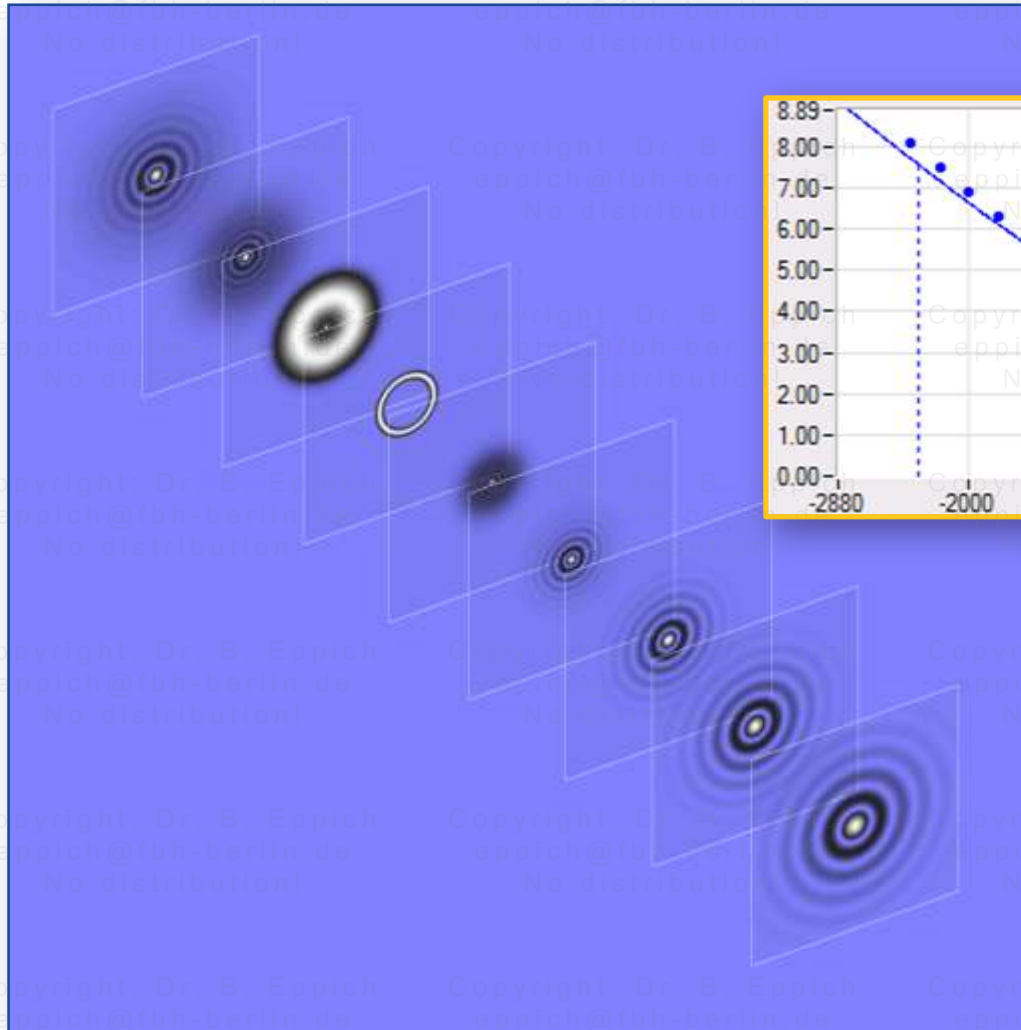
„Historical“ beam diameter definitions

Application of the power content definition to non-Gaussian beams:



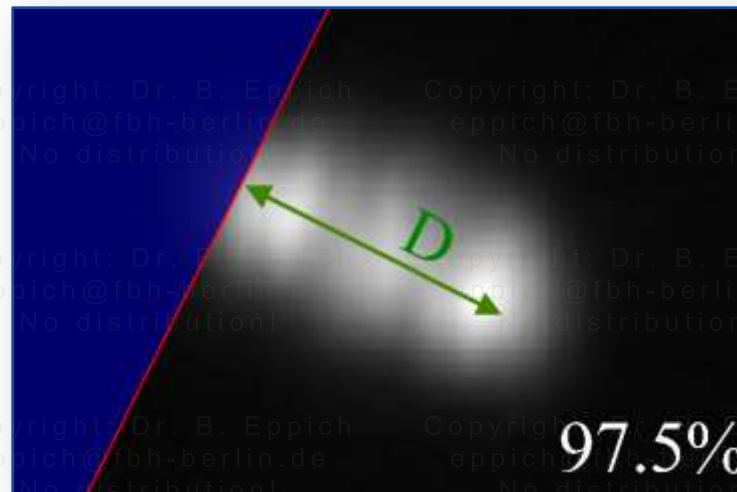
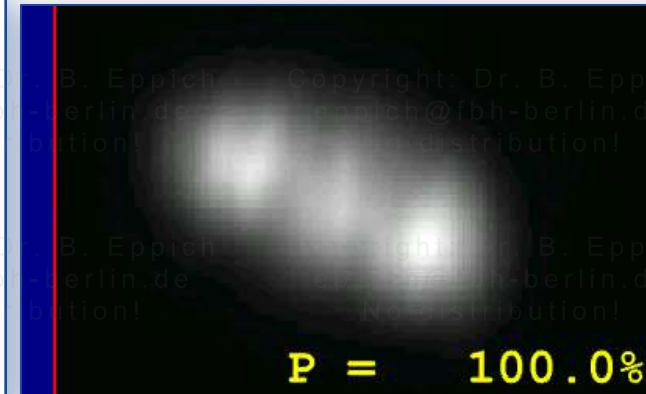
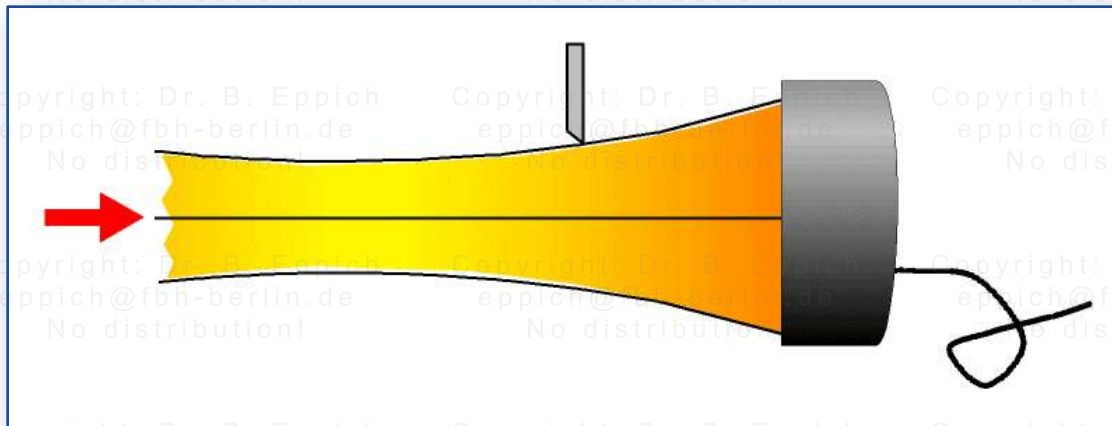
„Historical“ beam diameter definitions

Application of the power content definition to non-Gaussian beams:



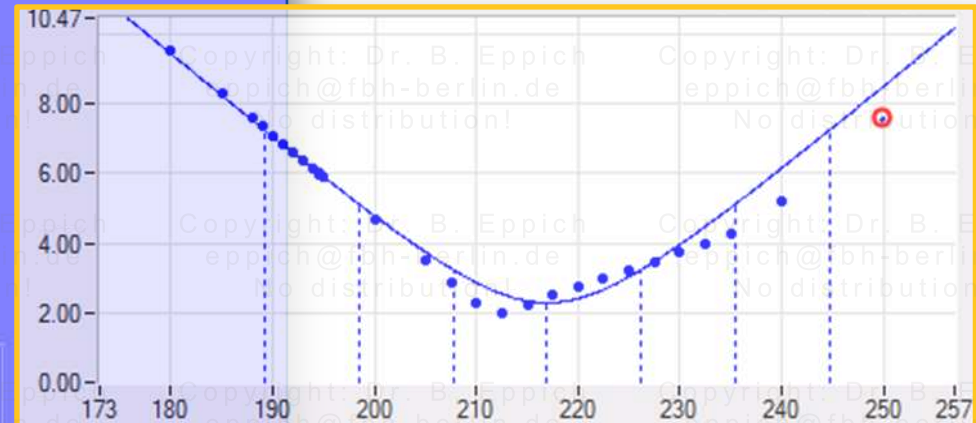
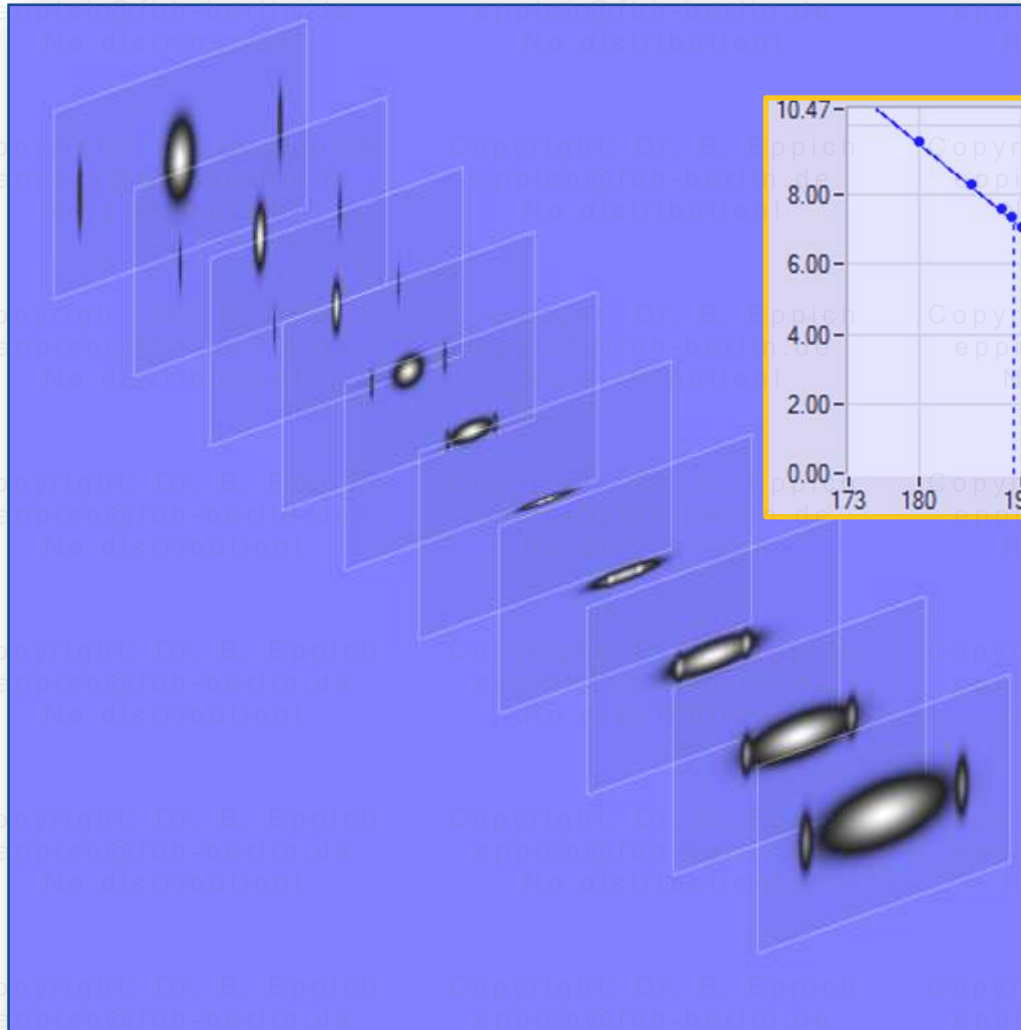
„Historical“ beam diameter definitions

...knife edge definition for „non-circular“ profiles

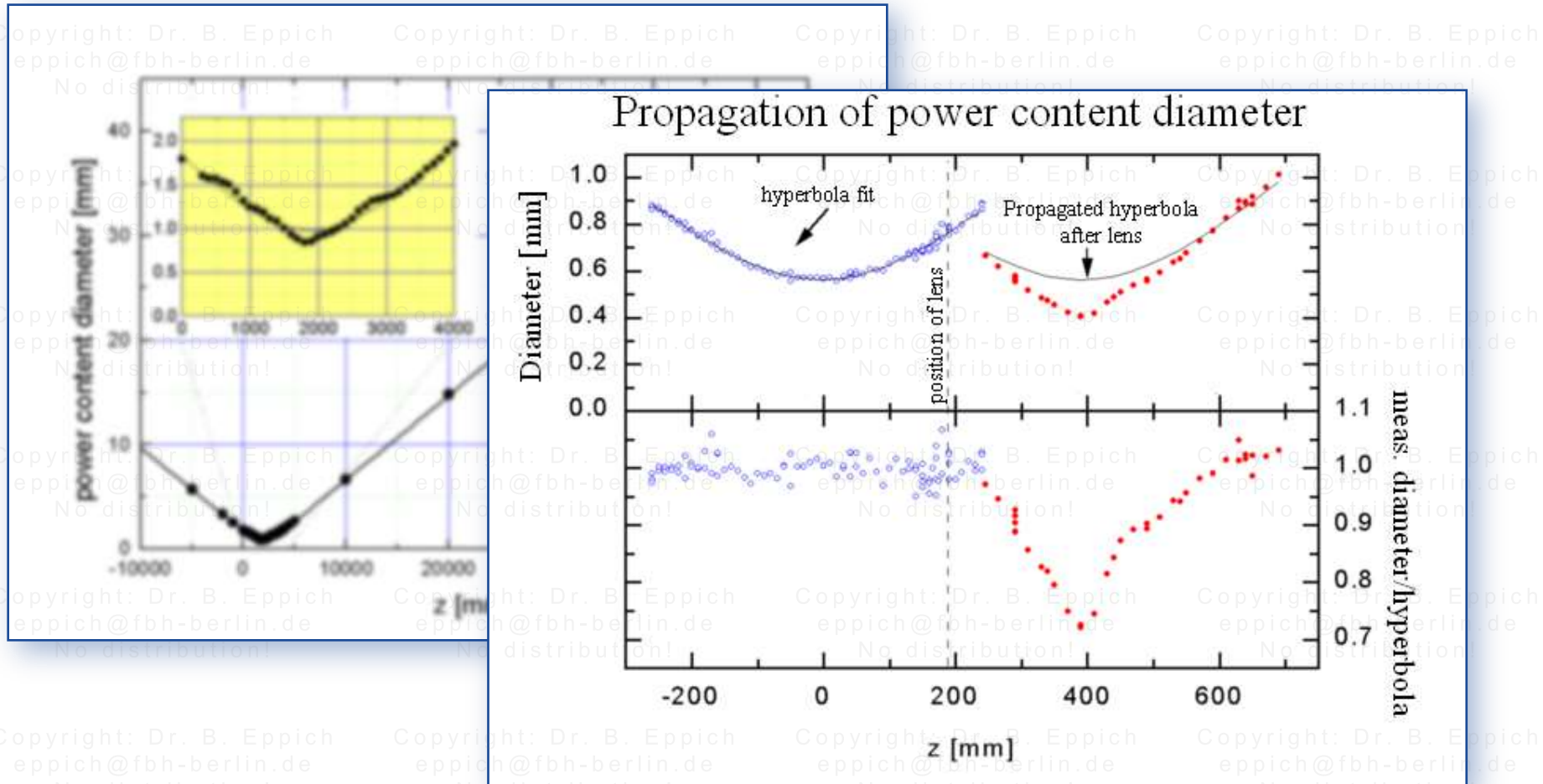


„Historical“ beam diameter definitions

Application of the knife edge definition to non-Gaussian beams:

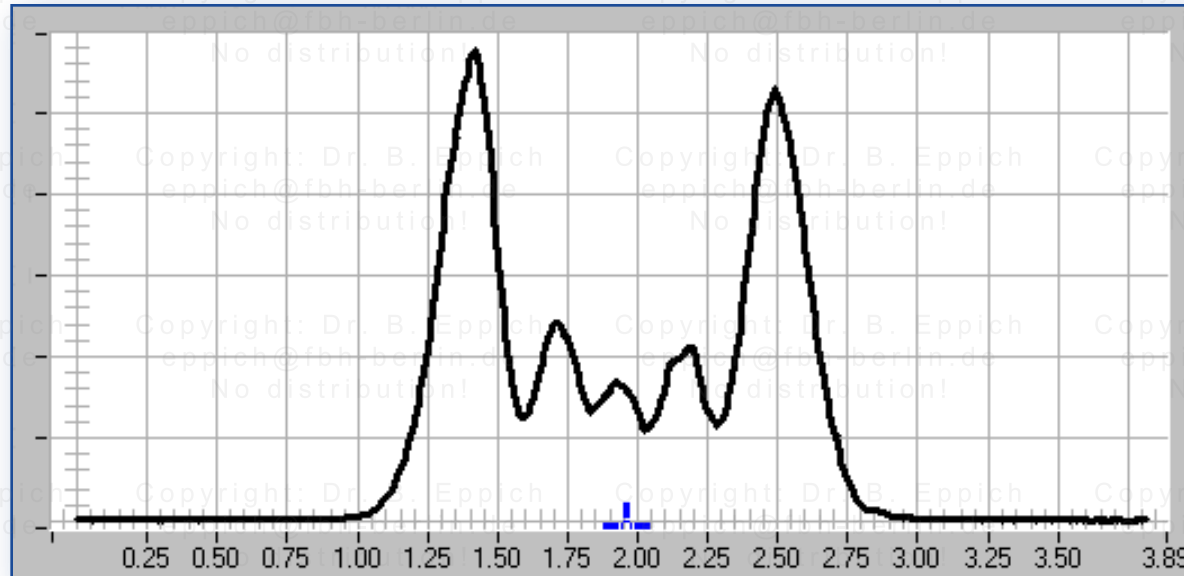


Propagation of power content beam widths



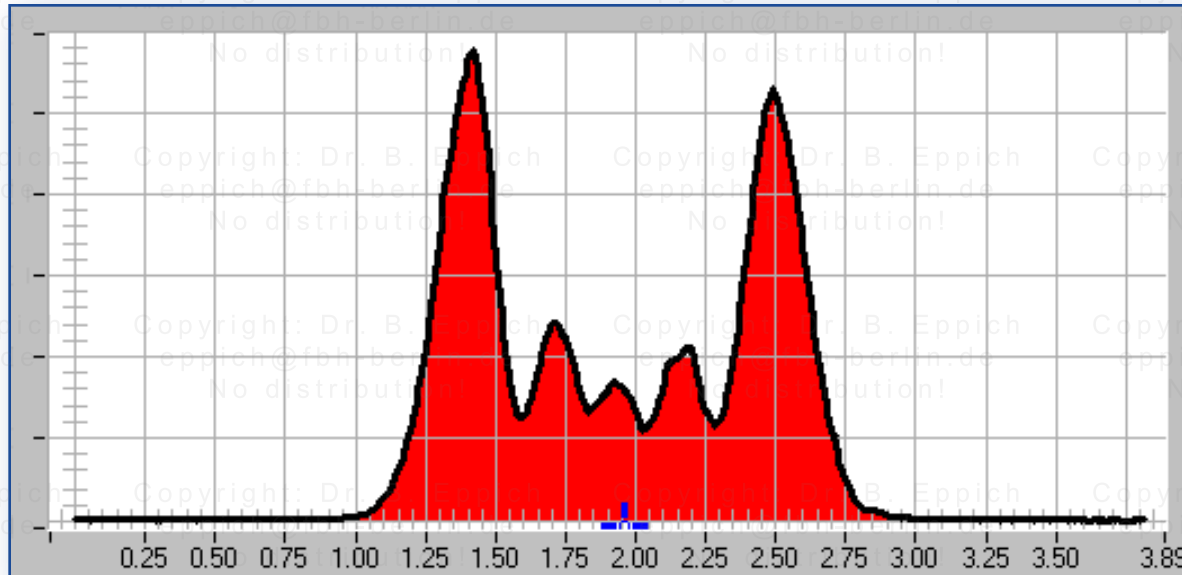
May show significant deviation from a hyperbolic propagation law!
 → Ambiguous definition of D_0 , z_0 , z_R , ...

Concept of intensity moments



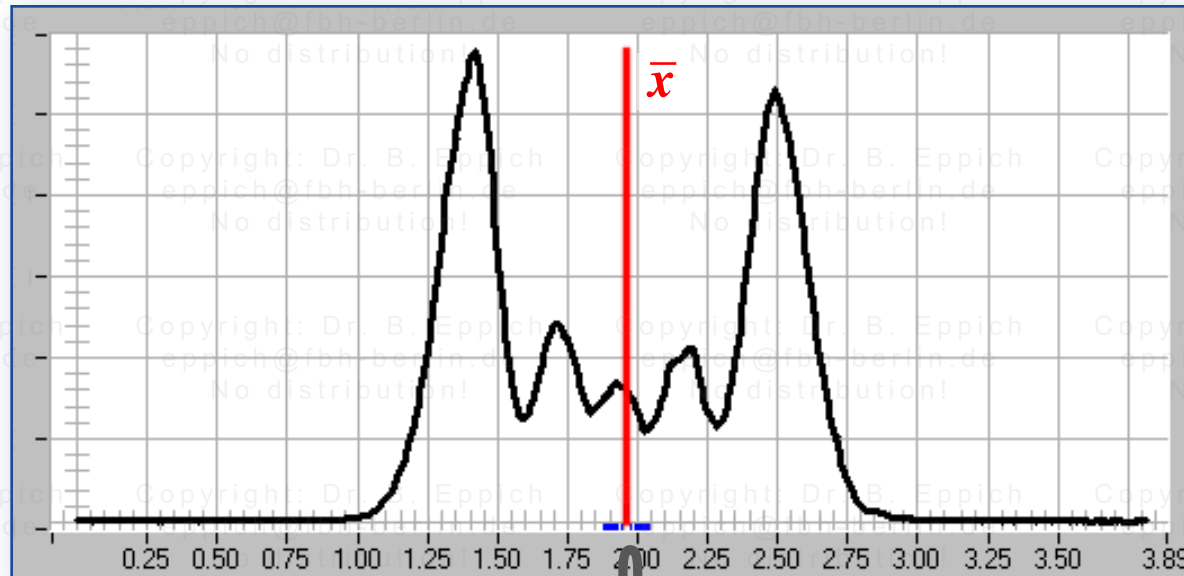
Concept of intensity moments

$$P = \int I(x) dx$$



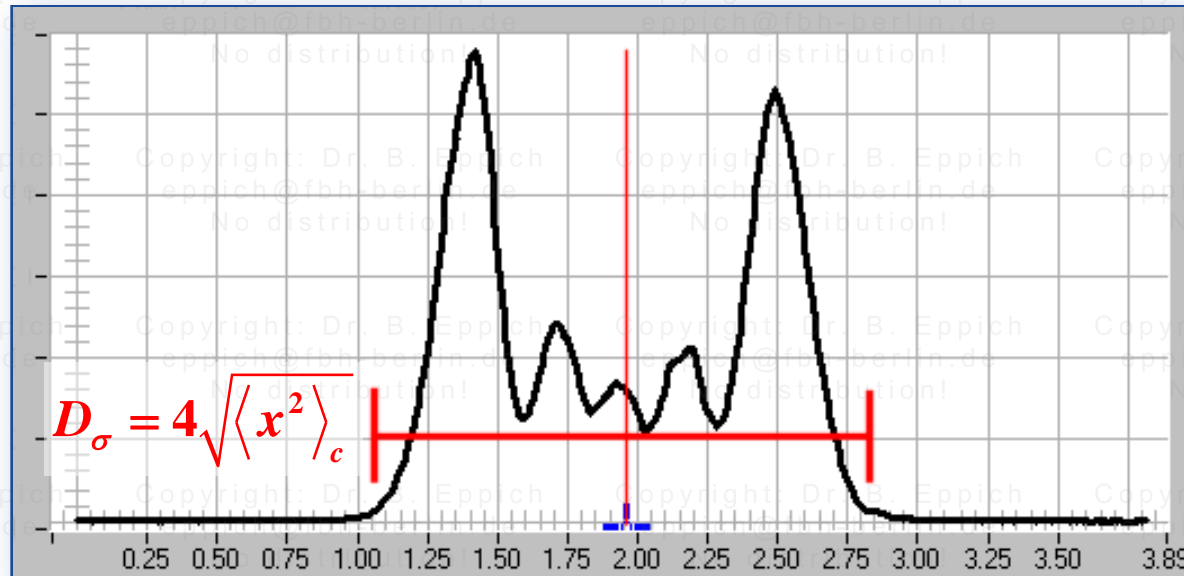
Concept of intensity moments

$$\bar{x} = \langle x \rangle = \frac{1}{P} \int I(x) x dx$$



Concept of intensity moments

$$\langle x^2 \rangle_c = \frac{1}{P} \int I(x) (x - \bar{x})^2 dx$$



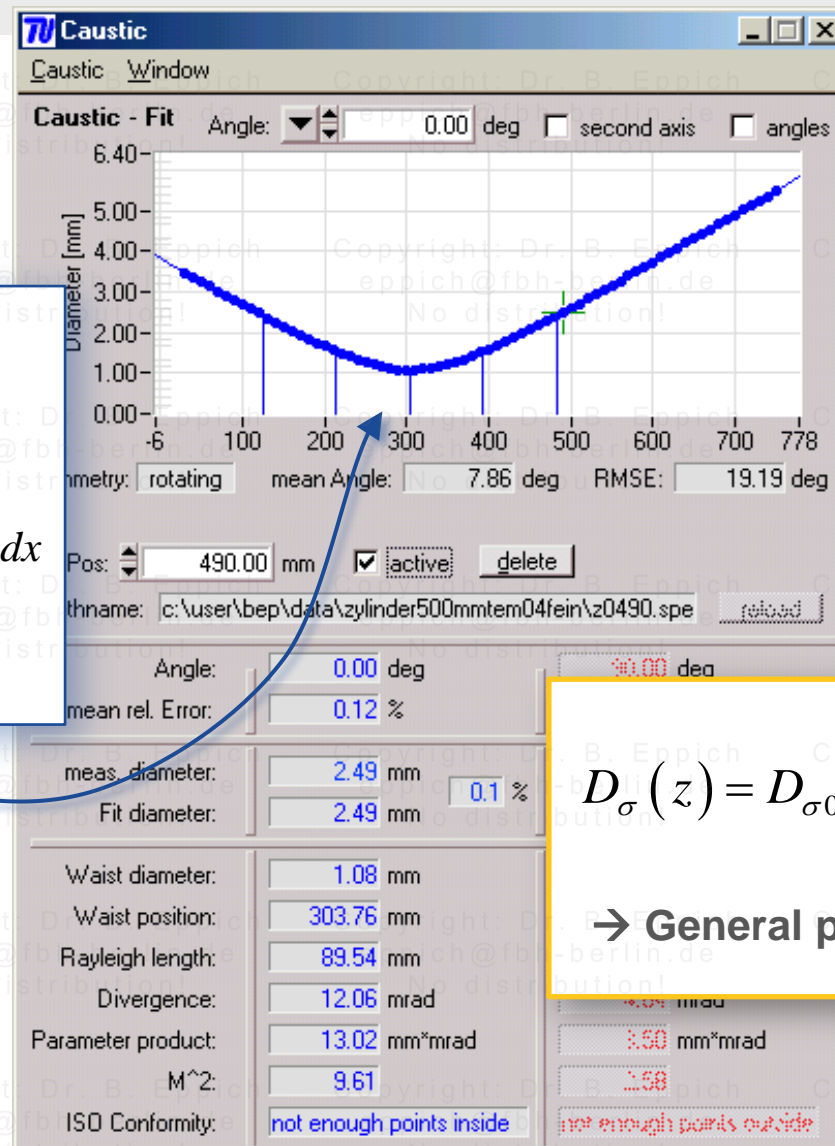
Propagation of second order moment widths

$$P = \int I(x, z) dx$$

$$\bar{x}(z) = \frac{1}{P} \int I(x, z) x dx$$

$$\langle x^2 \rangle_c(z) = \frac{1}{P} \int I(x, z) (x - \bar{x}(z))^2 dx$$

$$D_\sigma(z) = 4\sqrt{\langle x^2 \rangle_c(z)}$$

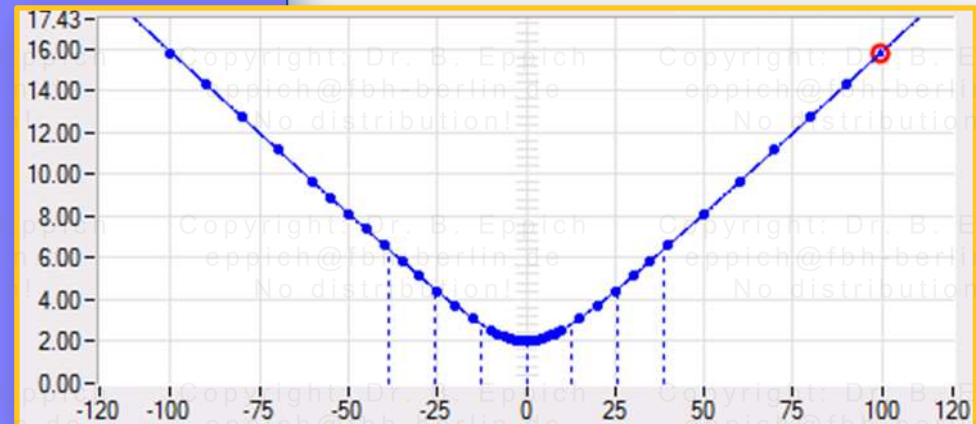
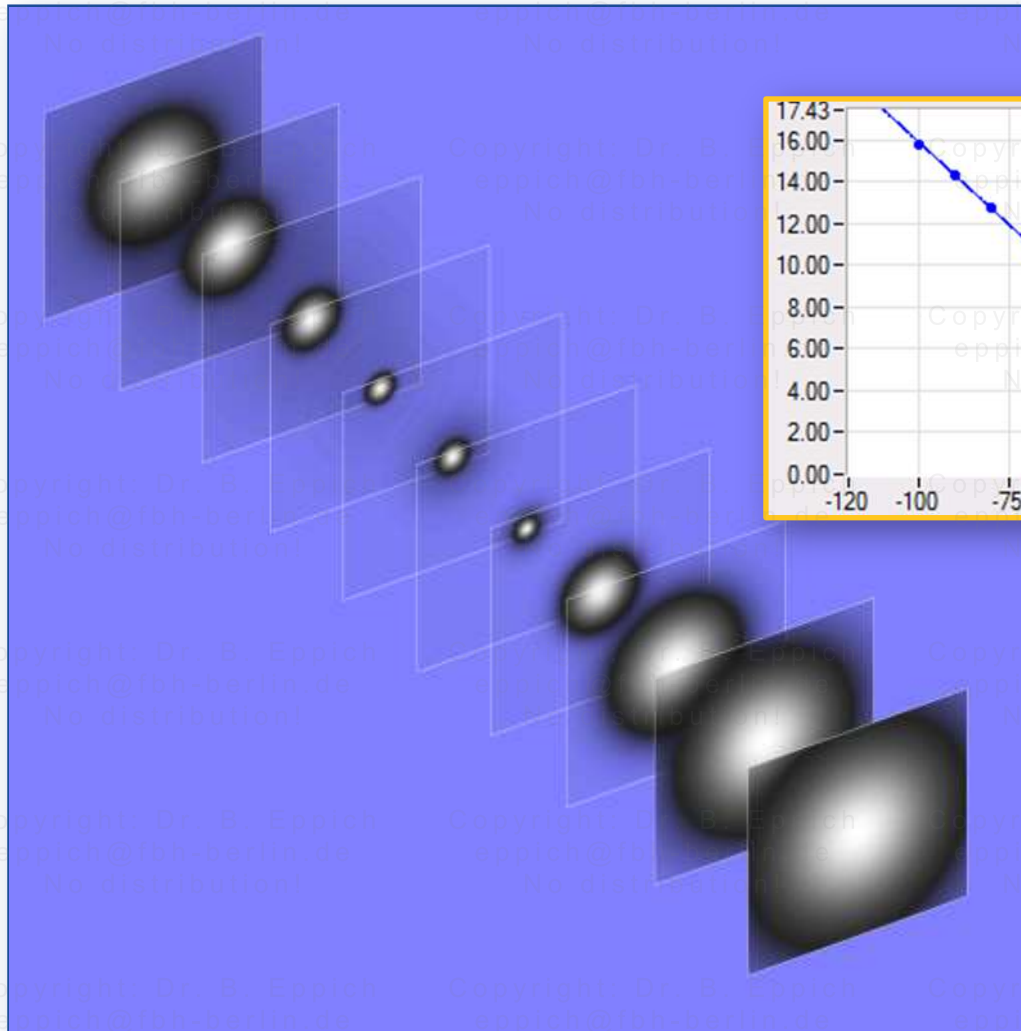


$$D_\sigma(z) = D_{\sigma 0} \sqrt{1 + \left(\frac{z - z_0}{z_R} \right)^2}$$

→ General propagation law

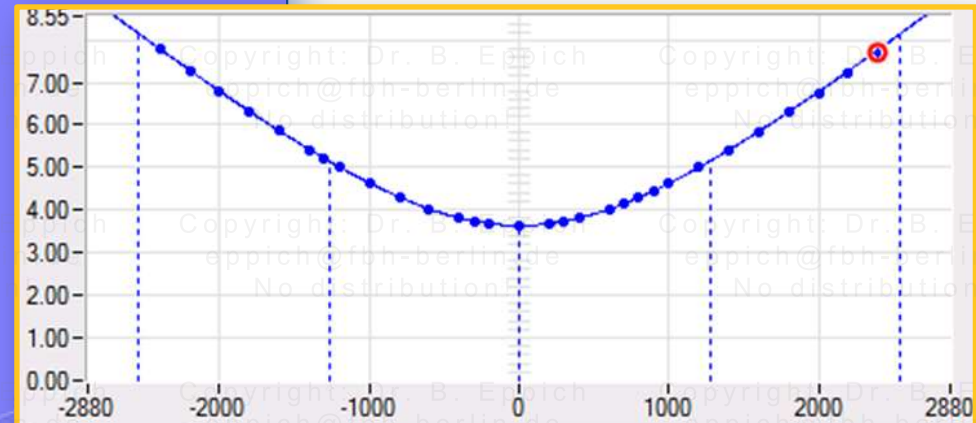
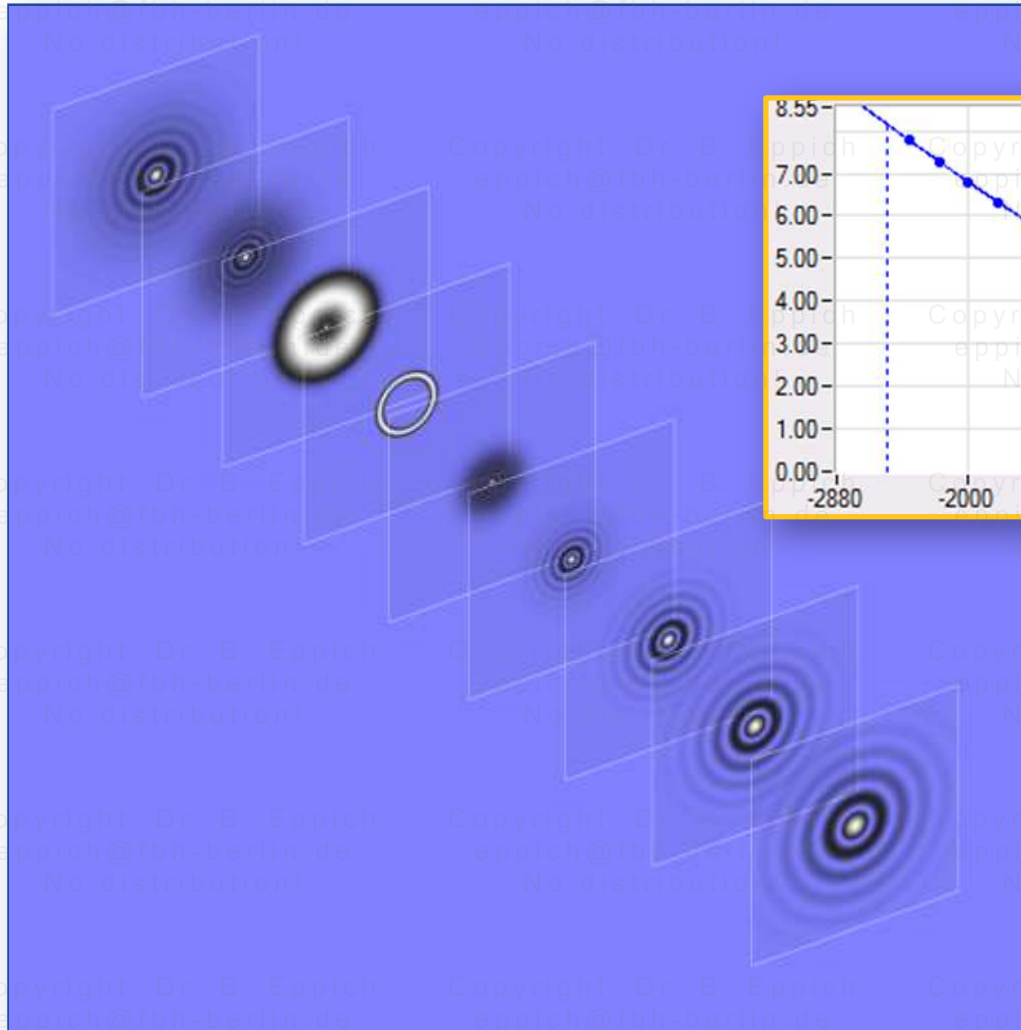
Propagation of second order moment widths

Application to non-Gaussian beams:



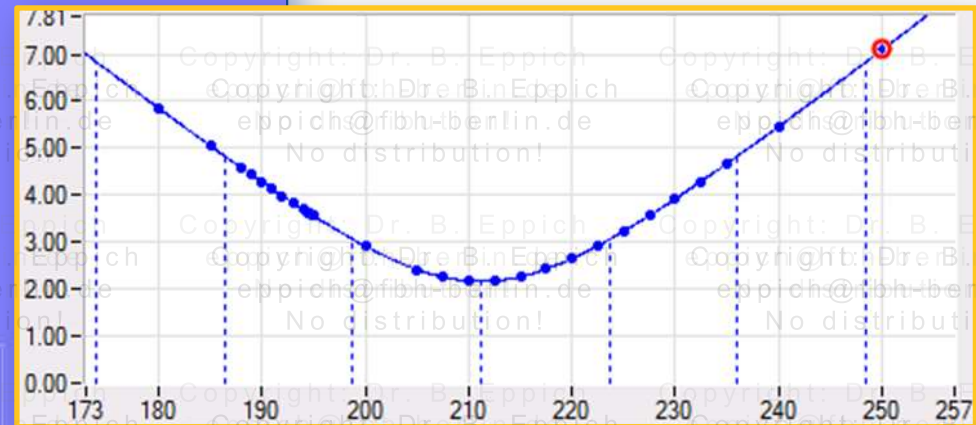
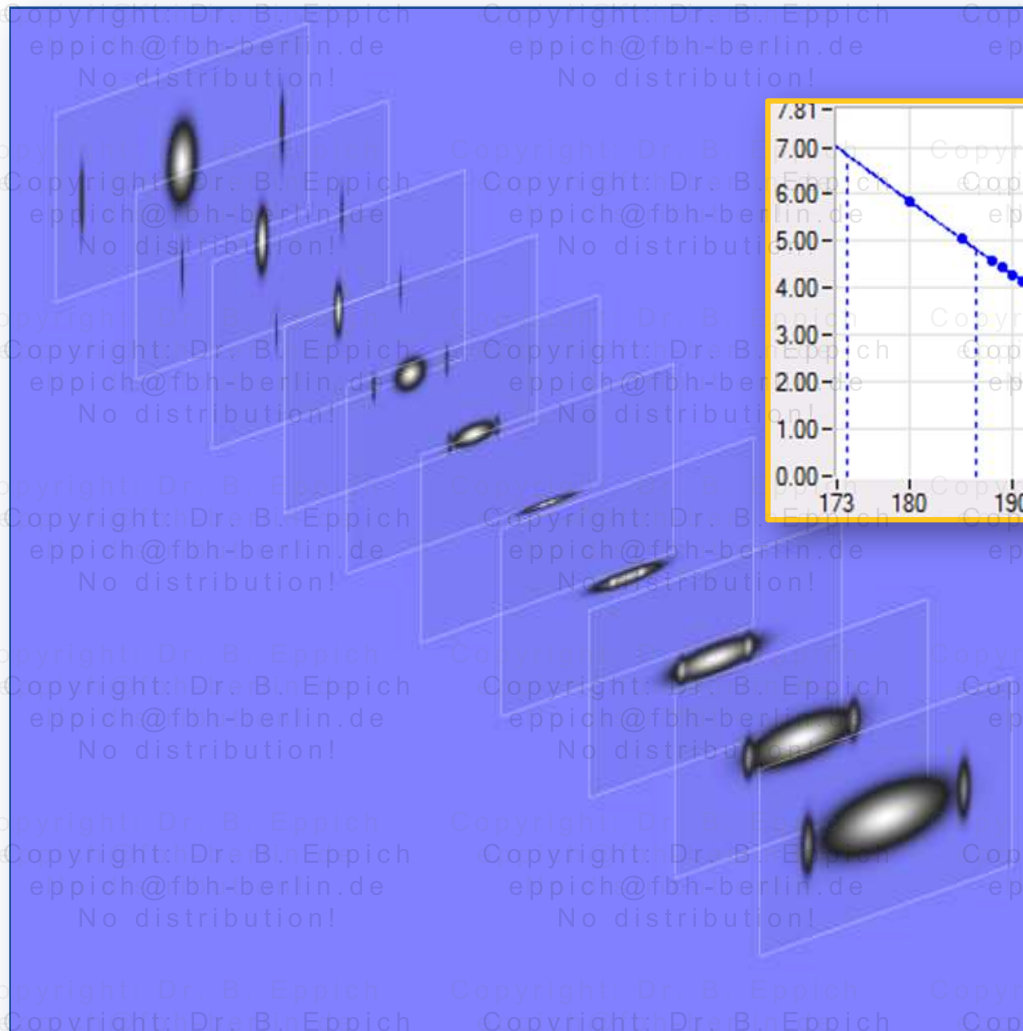
Propagation of second order moment widths

Application to non-Gaussian beams:

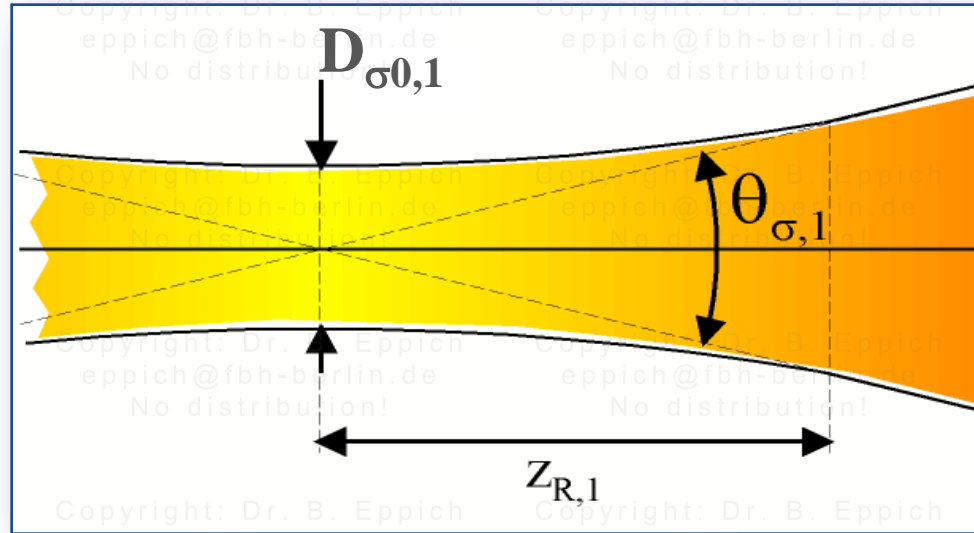


Propagation of second order moment widths

Application to non-Gaussian beams:



Beam propagation parameter



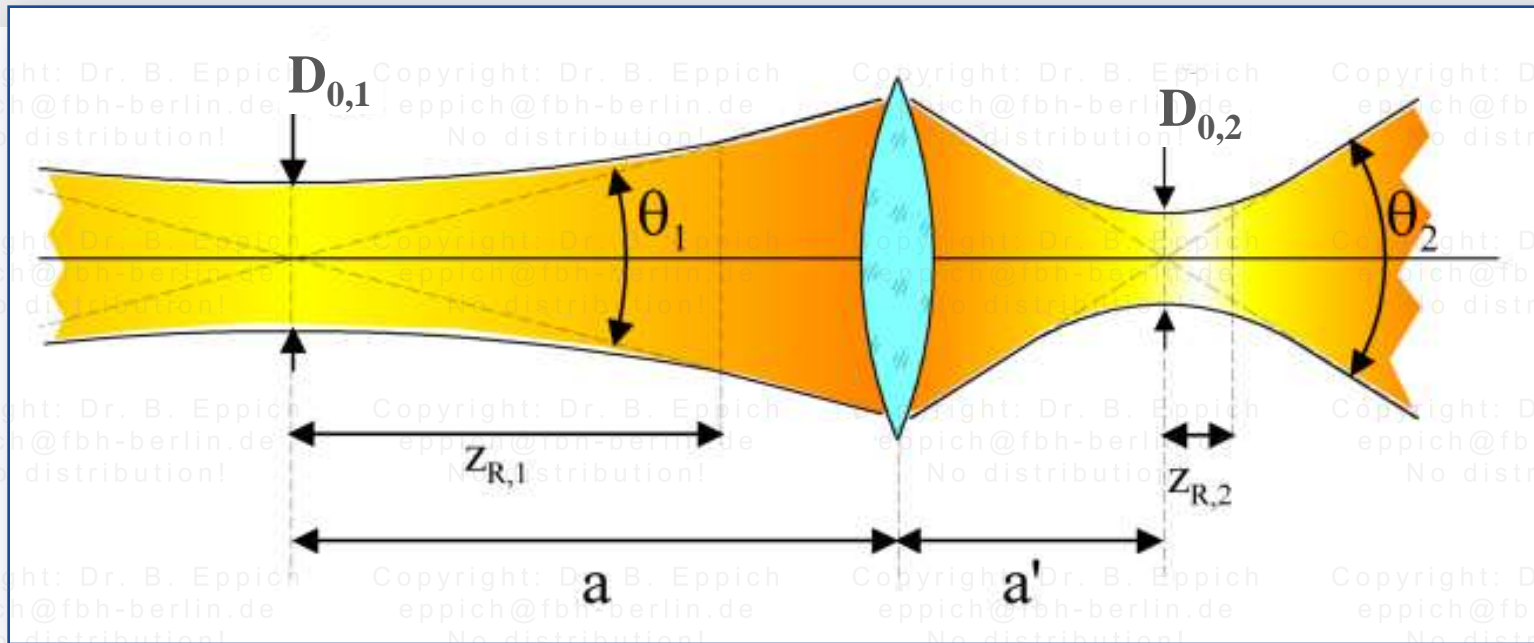
$$D_{\sigma}(z) = D_{\sigma,0} \sqrt{1 + \left(\frac{z - z_0}{z_R}\right)^2} = \sqrt{D_{\sigma,0}^2 + \theta_{\sigma}^2 (z - z_0)^2}$$

$$\frac{D_{\sigma 0} \cdot \theta_{\sigma}}{4} \geq \frac{\lambda}{\pi}$$

$$M^2 = \frac{D_{\sigma 0} \cdot \theta}{4} / \frac{\lambda}{\pi}$$

$$= \frac{D_{\sigma 0}^2}{4 z_R} / \frac{\lambda}{\pi}$$

Beam propagation parameter



$$V = \frac{f}{\sqrt{z_{R,1}^2 + (a-f)^2}}$$

$$D_{0,2} = V \cdot D_{0,1}$$

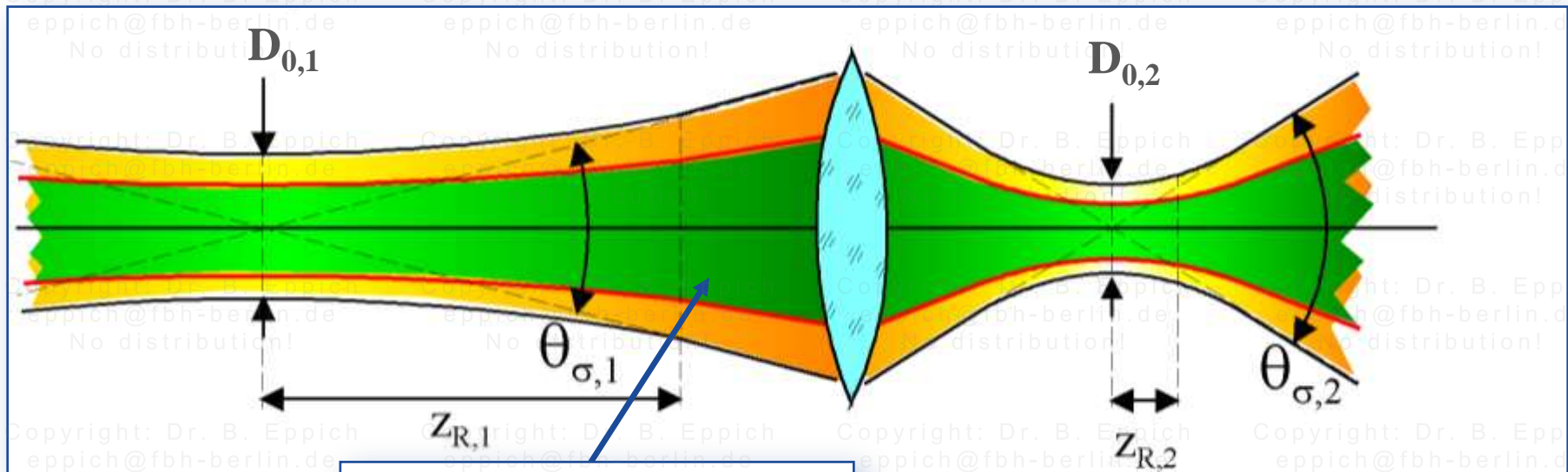
$$z_{R,2} = V^2 \cdot z_{R,1}$$

$$a' - f = V^2 \cdot (a - f)$$

$$\theta_2 = \frac{1}{V} \theta_1$$

$$M_2^2 = M_1^2$$

Embedded Gaussian beam



embedded Gaussian beam

$$D_{\sigma}(z) = \sqrt{M^2} D_g(z)$$

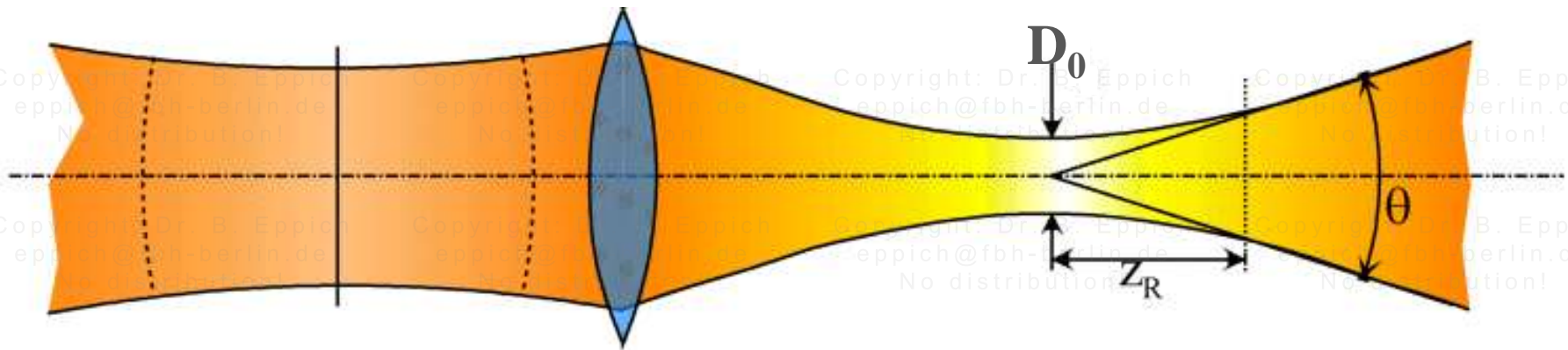
$$z_{\sigma,0} = z_{g,0}$$

$$\theta_{\sigma} = \sqrt{M^2} \theta_g$$

$$z_{\sigma,R} = z_{g,R}$$

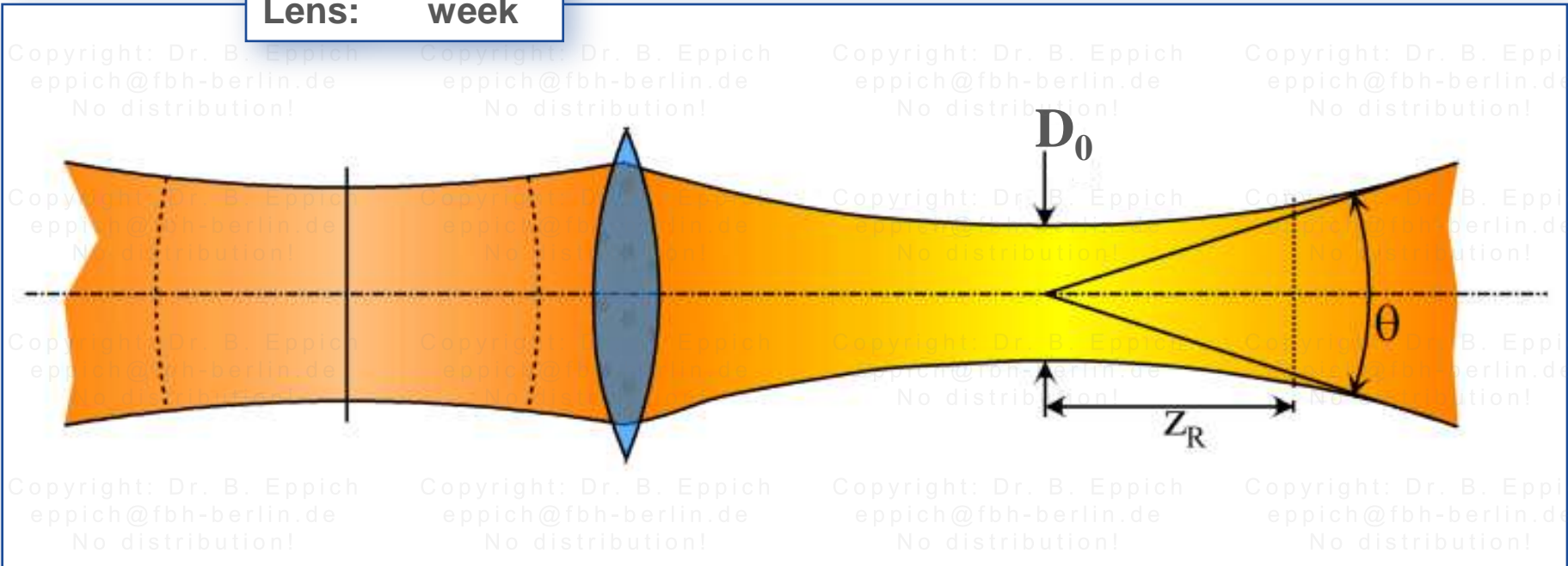
Influence of beam propagation ratio M^2

M^2 : low
Lens: weak



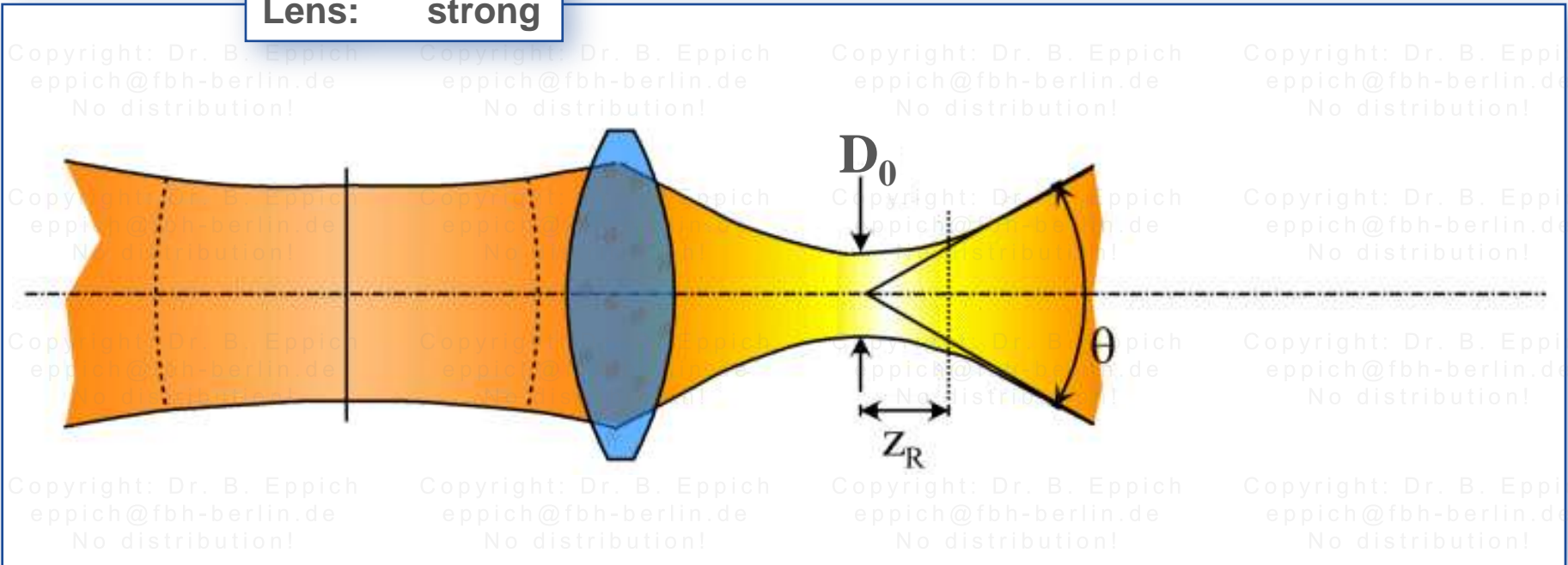
Influence of beam propagation ratio M^2

M^2 : high
Lens: weak



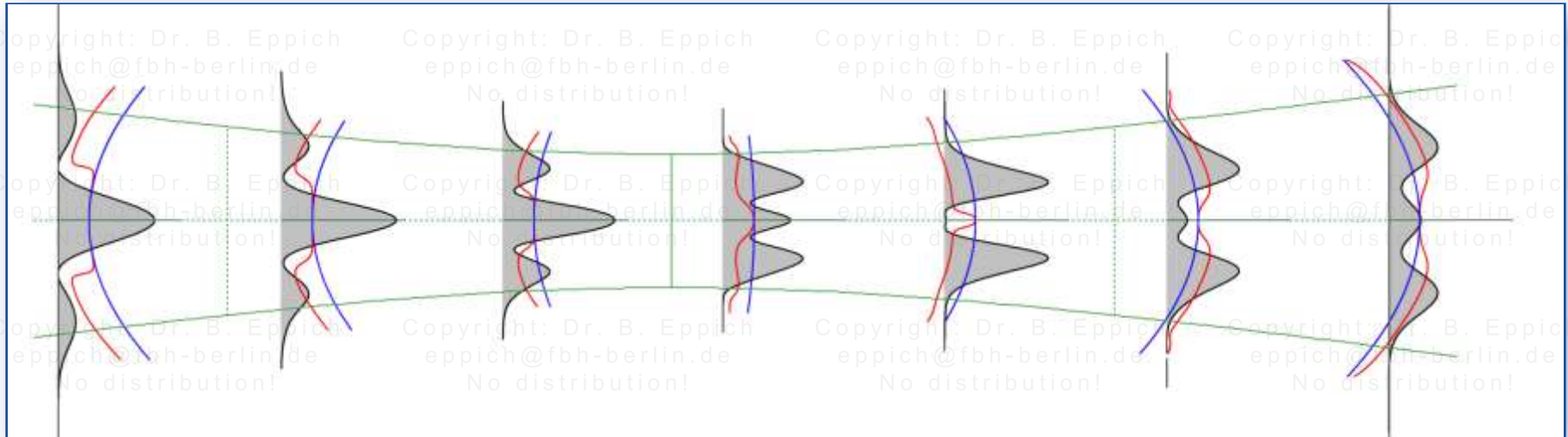
Influence of beam propagation ratio M^2

M^2 : high
Lens: strong



→ M^2 is measure of „focusability“

Embedded Gaussian beam, phase curvature



$$R(z) = z_R \left(\frac{z - z_0}{z_R} + \frac{z_R}{z - z_0} \right)$$

Prediction of beam propagation

$$D(z) = D_0 \sqrt{1 + \left(\frac{z - z_0}{z_R} \right)^2} = \sqrt{D_0^2 + \theta^2 (z - z_0)^2}$$

Propagation through aberration-free systems given by only **three** parameters:

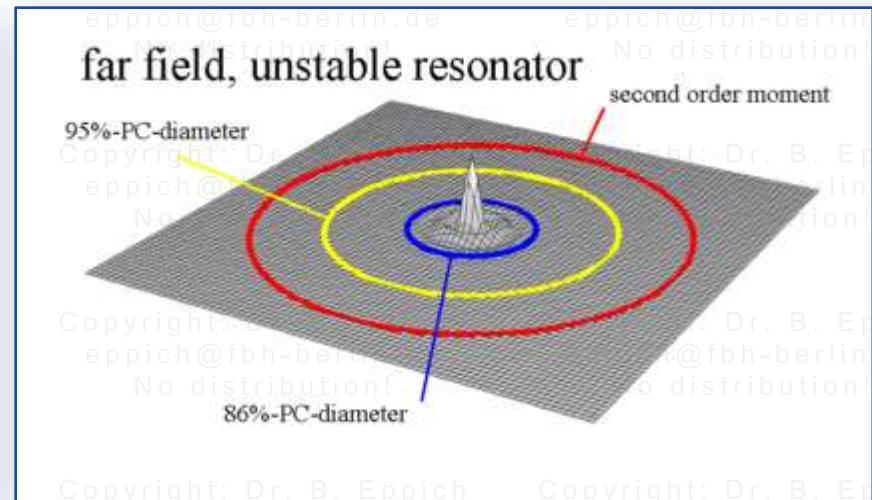
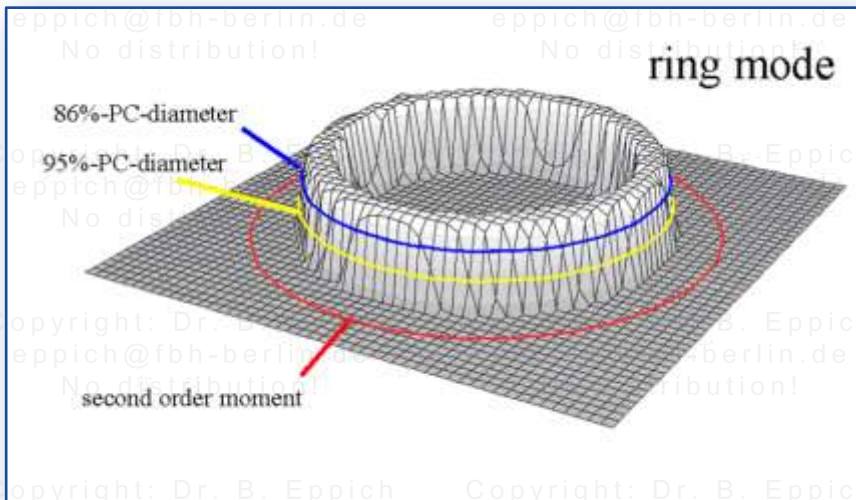
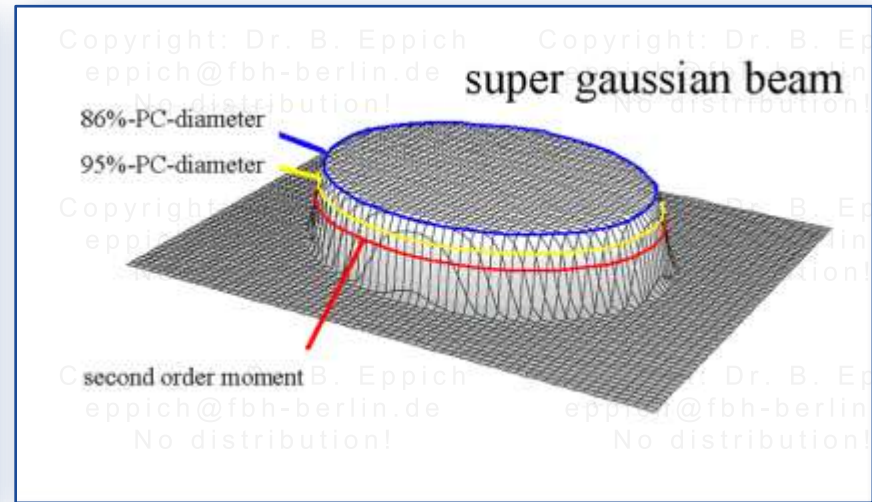
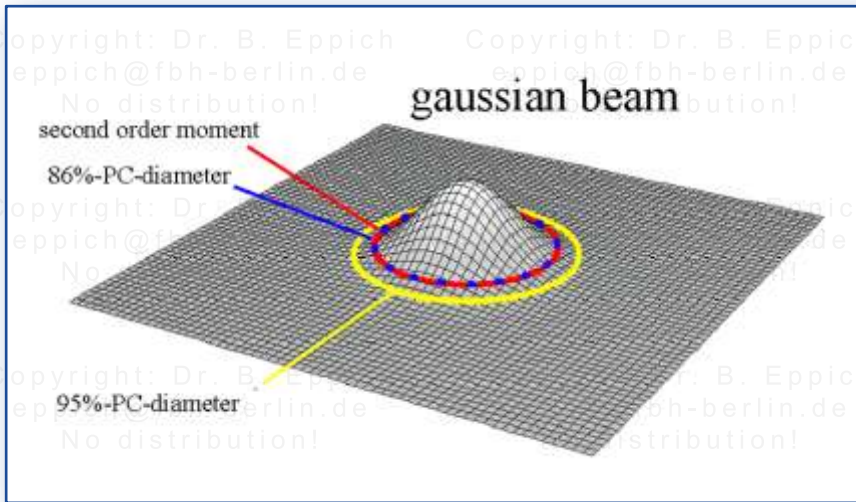
- D_0, z_0, θ
- or: D_0, z_0, z_R
- or: D_0, z_0, M^2
- or:

$$q = \Delta z + i z_R$$

$$\frac{1}{q} = \frac{1}{R} - i \frac{4\lambda}{\pi \left(D_\sigma / \sqrt{M^2} \right)^2}$$

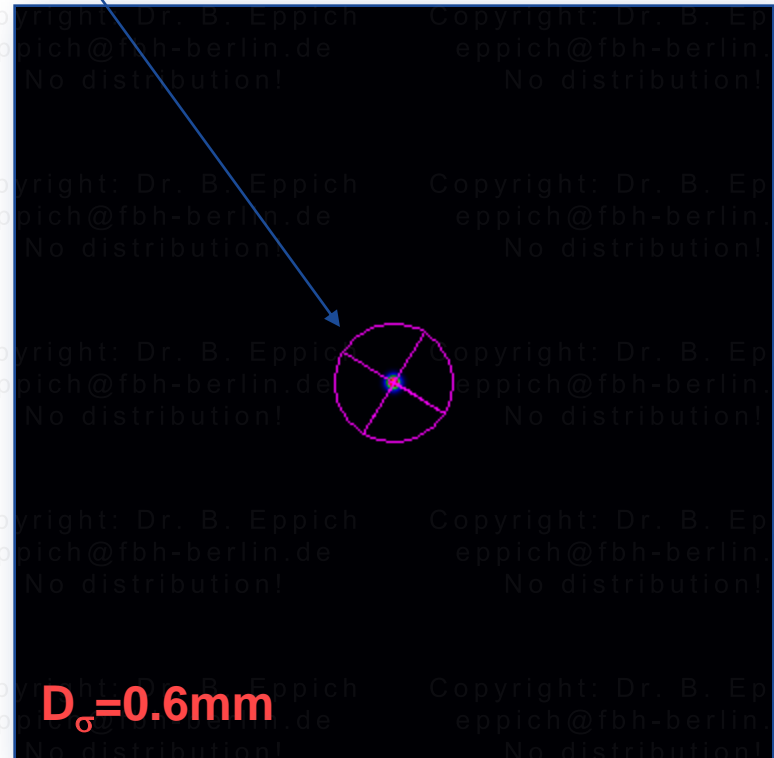
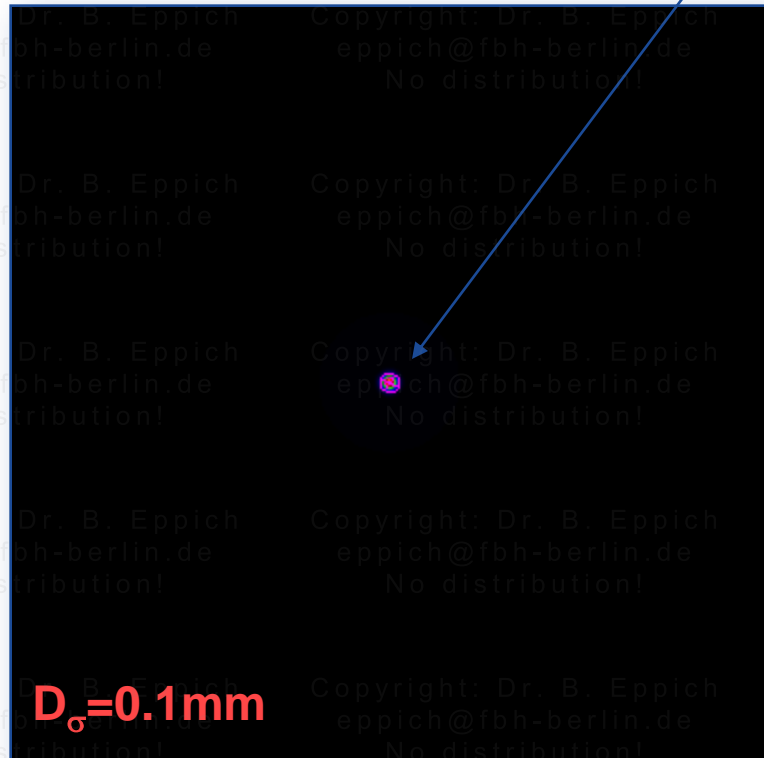
$$q_2 = \frac{Aq_1 + B}{Cq_1 + D}$$

Comparison of beam diameter definitions



Comparison of beam diameter definitions

Variance diameter



Comparison of beam diameter definitions

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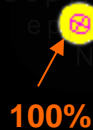
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$D_{\sigma} = 0.1 \text{ mm}$



$D_{\sigma} = 0.6 \text{ mm}$

Contrast: 10000x

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Noise sensitivity

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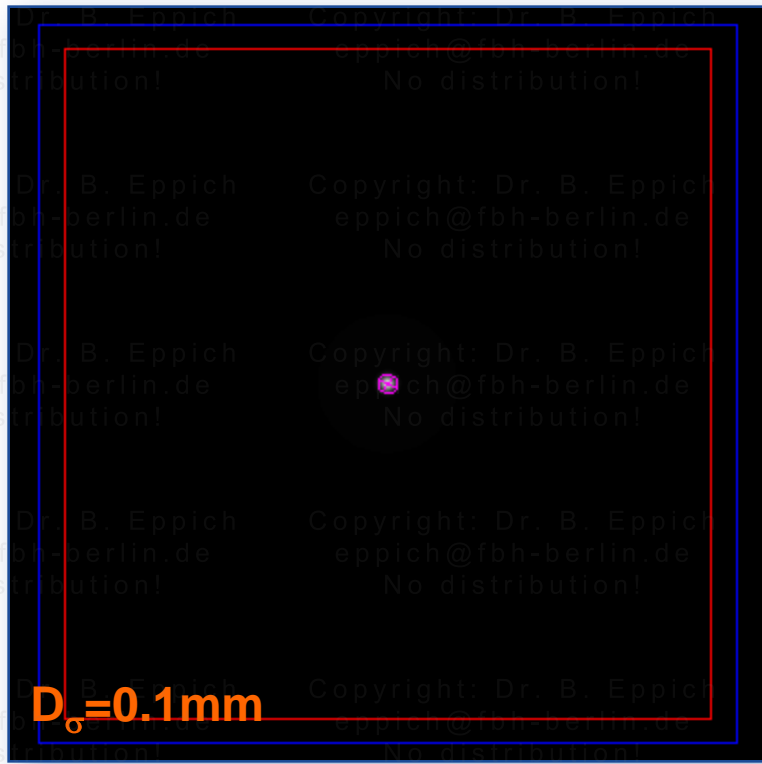
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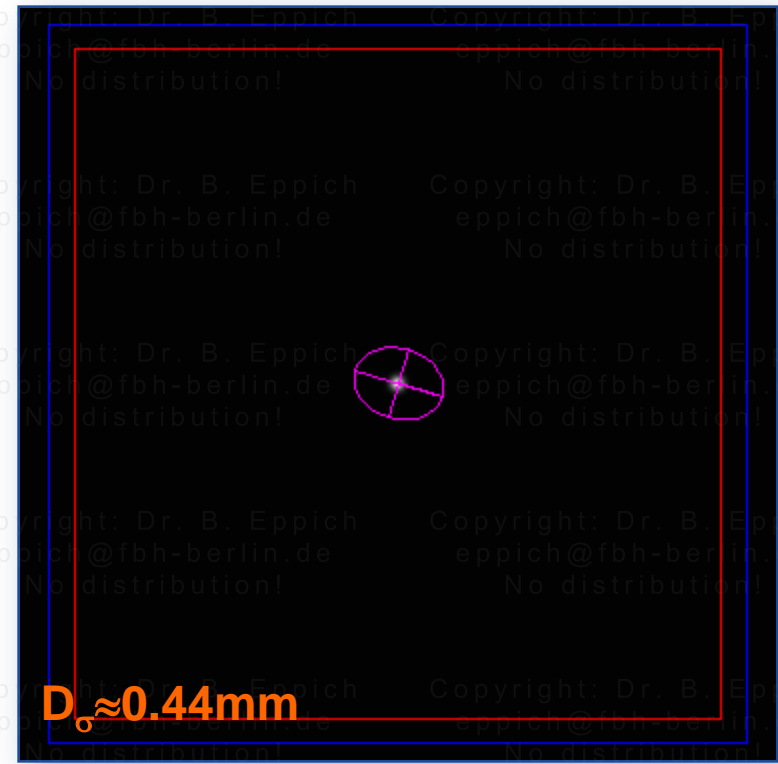
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Noise sensitivity

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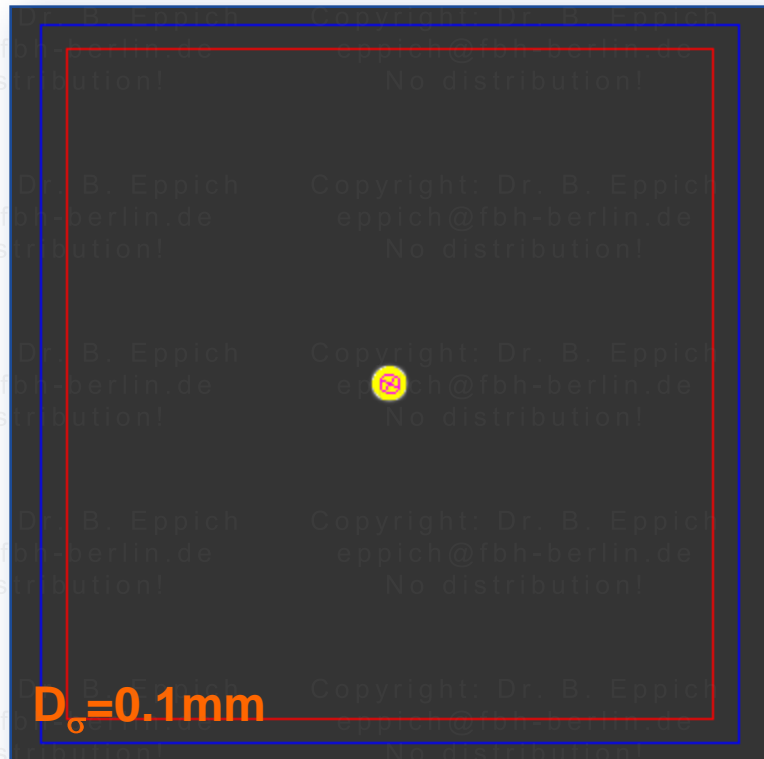
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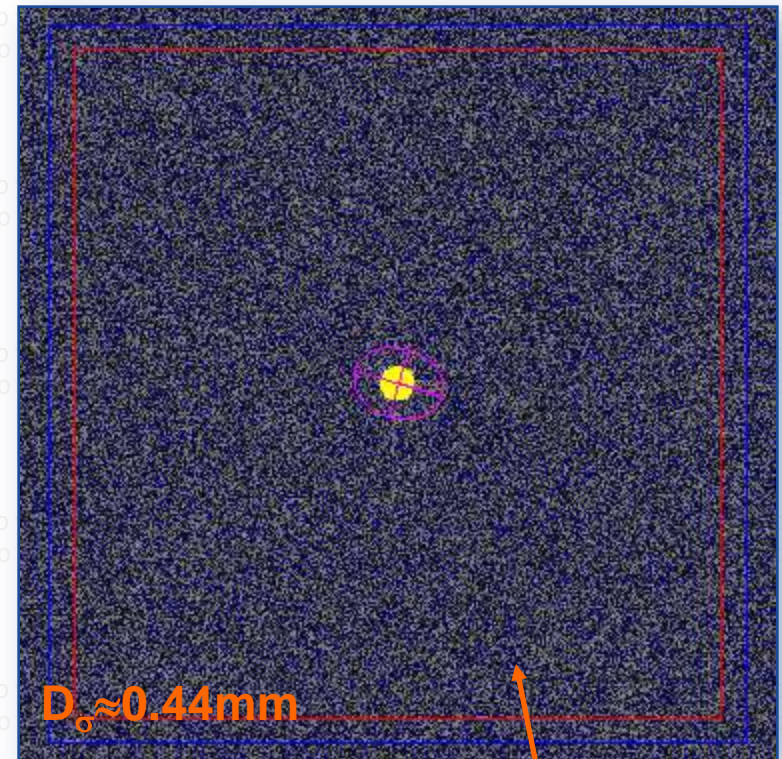
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Noise sensitivity

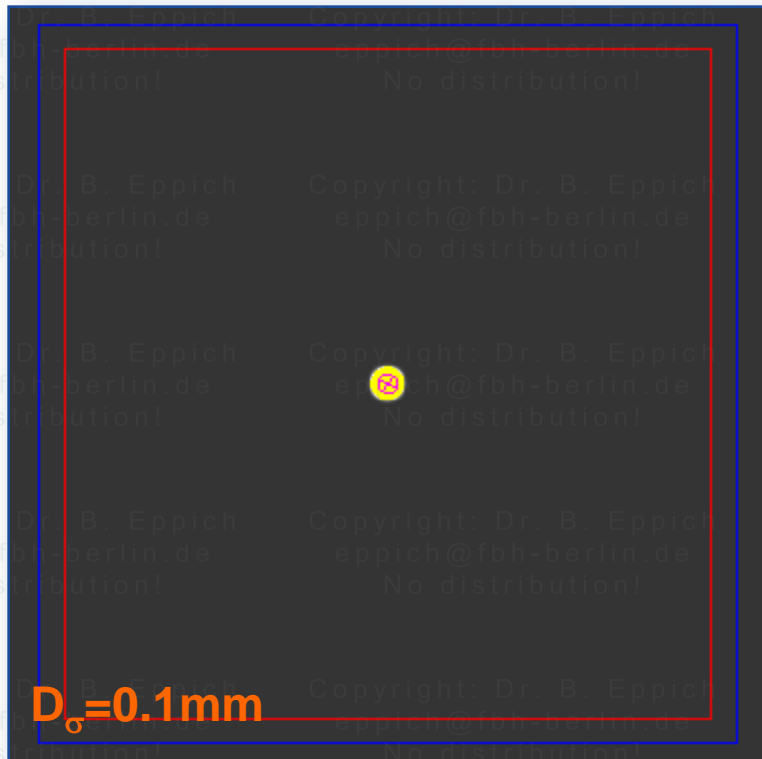
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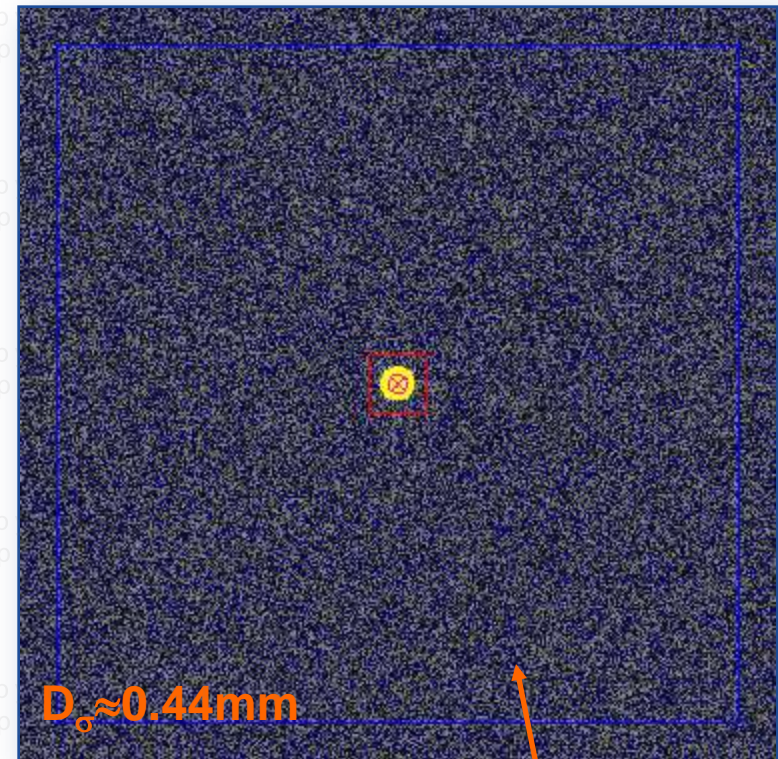
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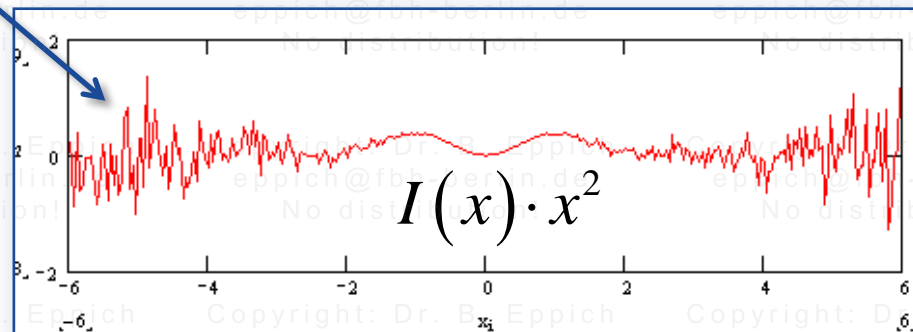
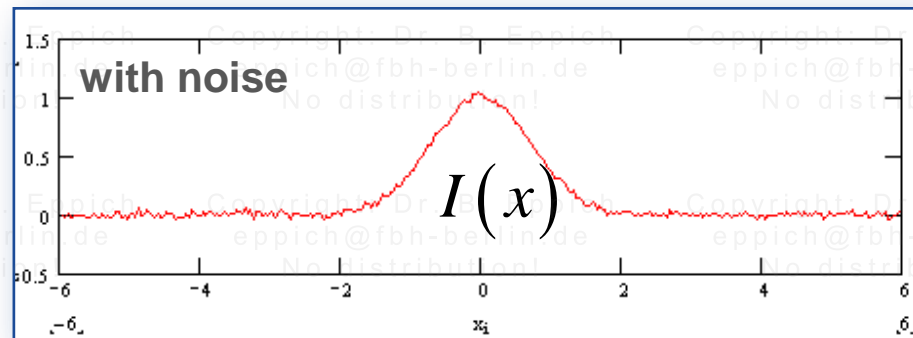
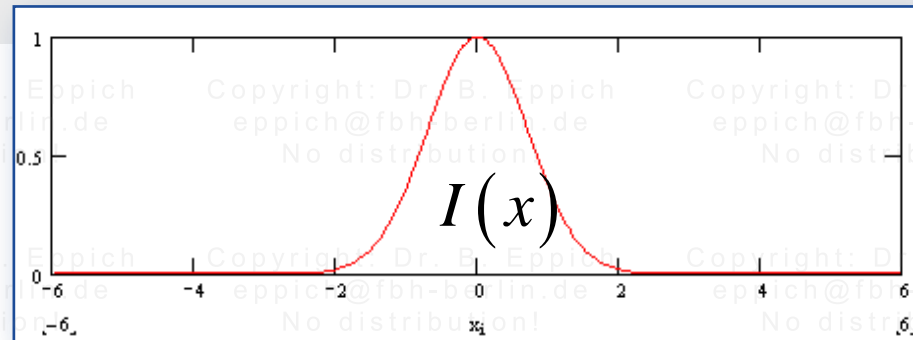
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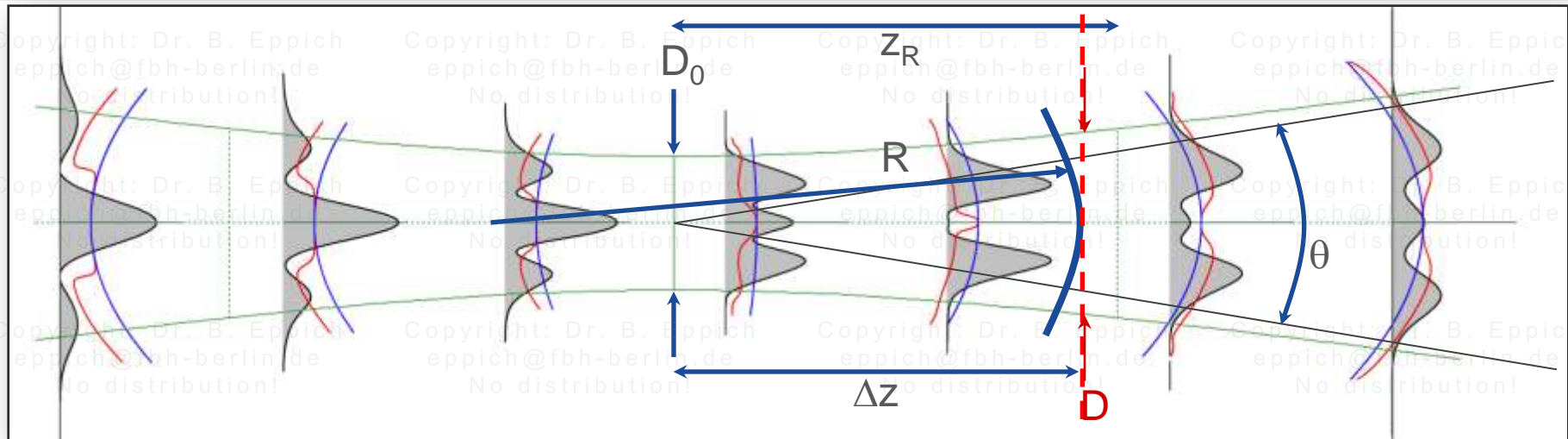
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Noise sensitivity



$$D = 4 \sqrt{\frac{\int I(x) x^2 dx}{\int I(x) dx}}$$

Beam propagation parameters



- Diameter D
- Distance to waist Δz
- Waist diameter D_0
- Radius of phase curvature R
- Divergence θ
- Rayleigh length z_R
- Beam propagation factor M^2

**Only three
independent
parameter!**

Benefits: Layout of optical systems

Example: WinABCD

The screenshot displays the WinABCD 5 software interface. The main window shows a 3D layout of an optical system with a central lens and a source. The lens is labeled 'Thick lens no. 01' and has a diameter of 25.0 mm. The source is labeled 'Source no. 01' and has a diameter of 6.60 mm. The system is set to be symmetric and horizontal.

The 'Components' panel on the left lists the elements: Source no. 01, Thick lens no. 01, and Aperture no. 01. The 'Thick lens no. 01' properties are shown in the main panel:

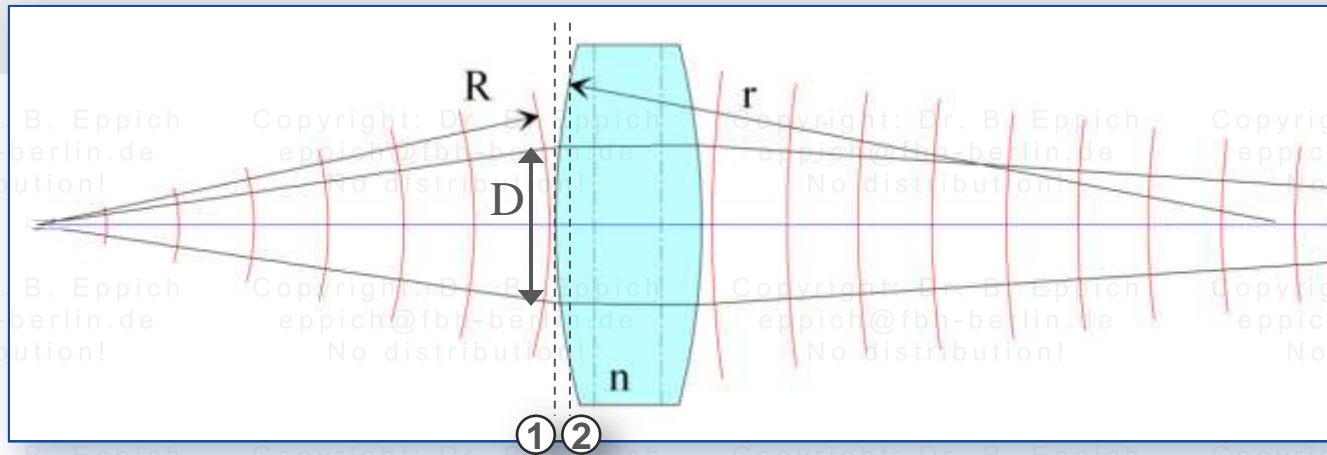
- Name: Thick lens no. 01
- ThickLens: images, foci, focals
- z = 54.8 mm, Dist. = 54.8 mm
- x0 = 0.00 mm, y0 = 0.00 mm
- bx = 0.00 rad, by = 0.00 rad
- Symmetry = stigmatic, Axis = horizontal
- Diam. = 25.0 mm, Shape = square
- Width = 6.60 mm
- Glass: BK7
- Index = 1.51
- Array:

The 'Watch-points' table shows parameters for four elements:

Parameter	Element 1	Element 2	Element 3	Element 4
d [mm]	0.00	0.00	0.00	0.00
d0 [mm]	0.00	0.00	0.00	0.00
z0 [mm]	0.00	0.00	0.00	0.00
zR [mm]	0.00	0.00	0.00	0.00
Div [mrad]	0.00	0.00	0.00	0.00
Rk [mm]	0.00	0.00	0.00	0.00
x0 [mm]	0.00	0.00	0.00	0.00
Phi [mrad]	0.00	0.00	0.00	0.00
Aberration	0.00	0.00	0.00	0.00
M2	0.00	0.00	0.00	0.00

The 'Resonator' panel at the bottom left shows a wavelength of 1000 nm and a mode of 'highest'.

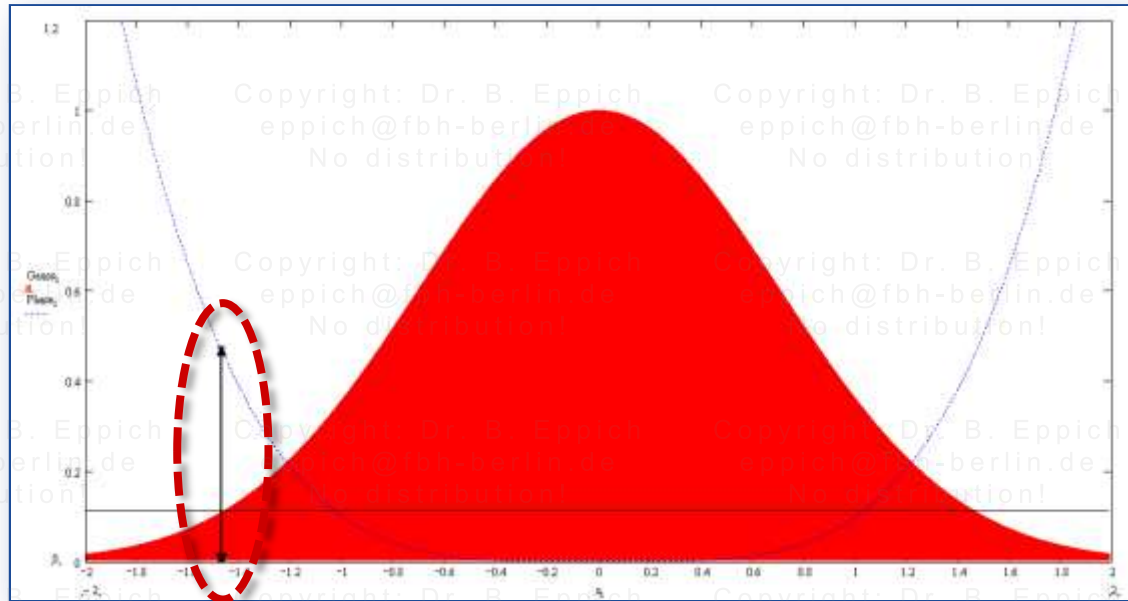
Benefits: Estimation of aberrations



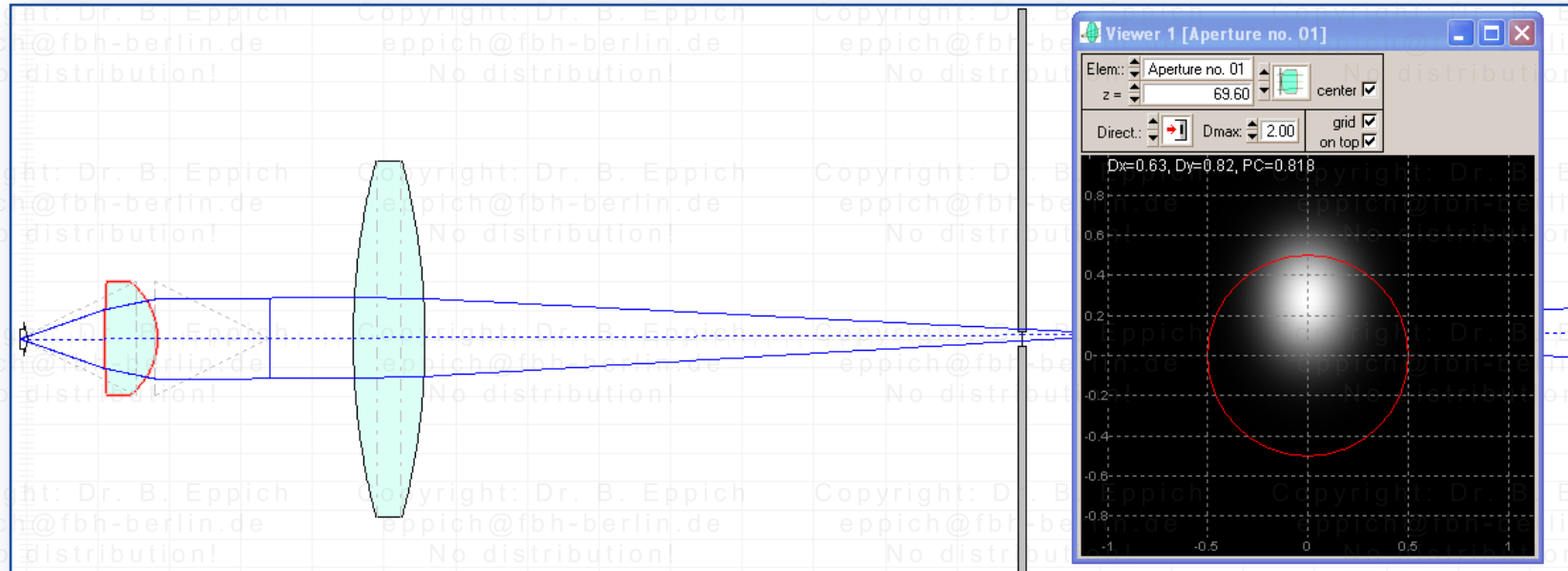
Spherical aberrations:

$$E_2(r) = E_1(r) \cdot e^{ik(ar^2 + br^4)}$$

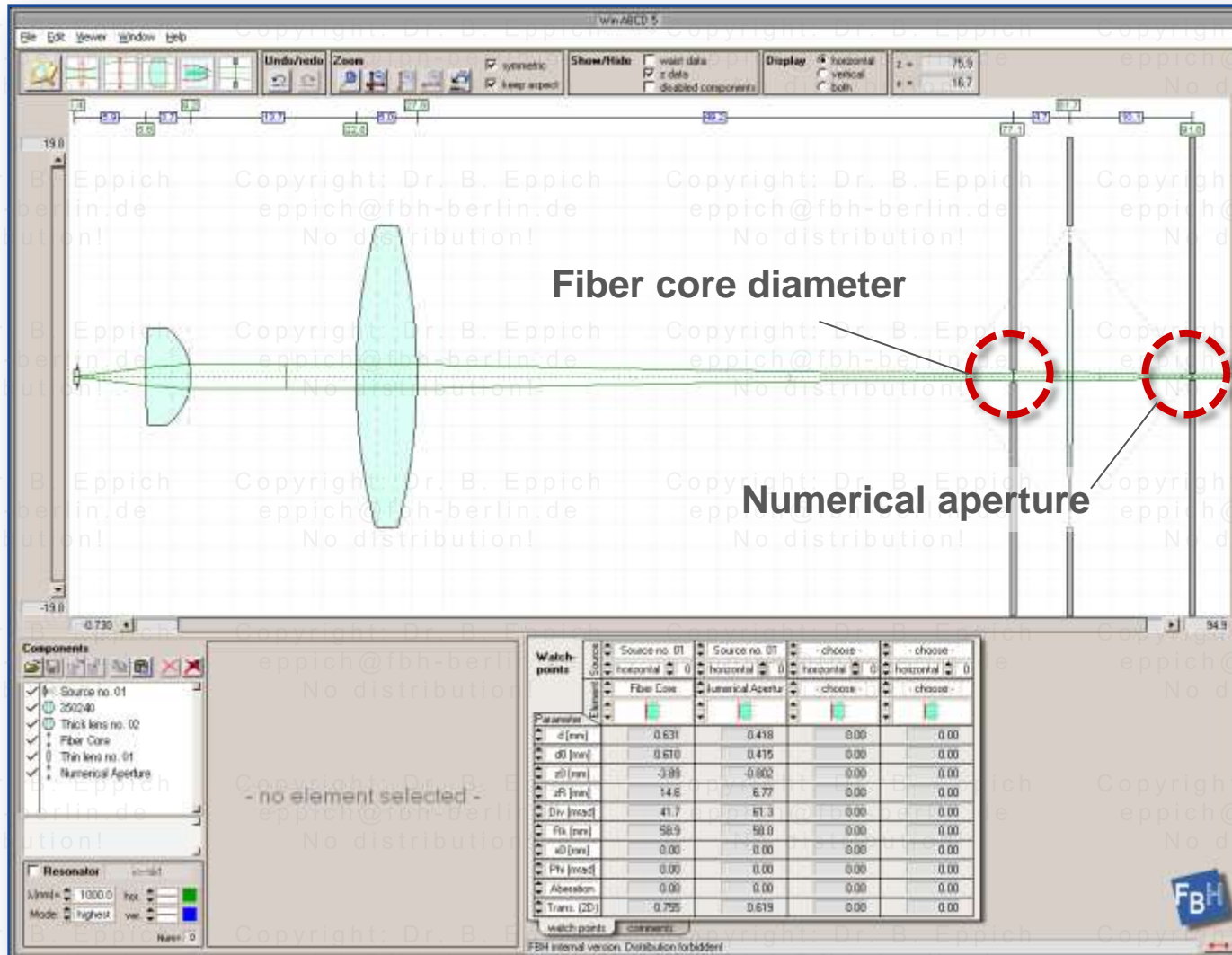
$$b = f(R, r, n)$$



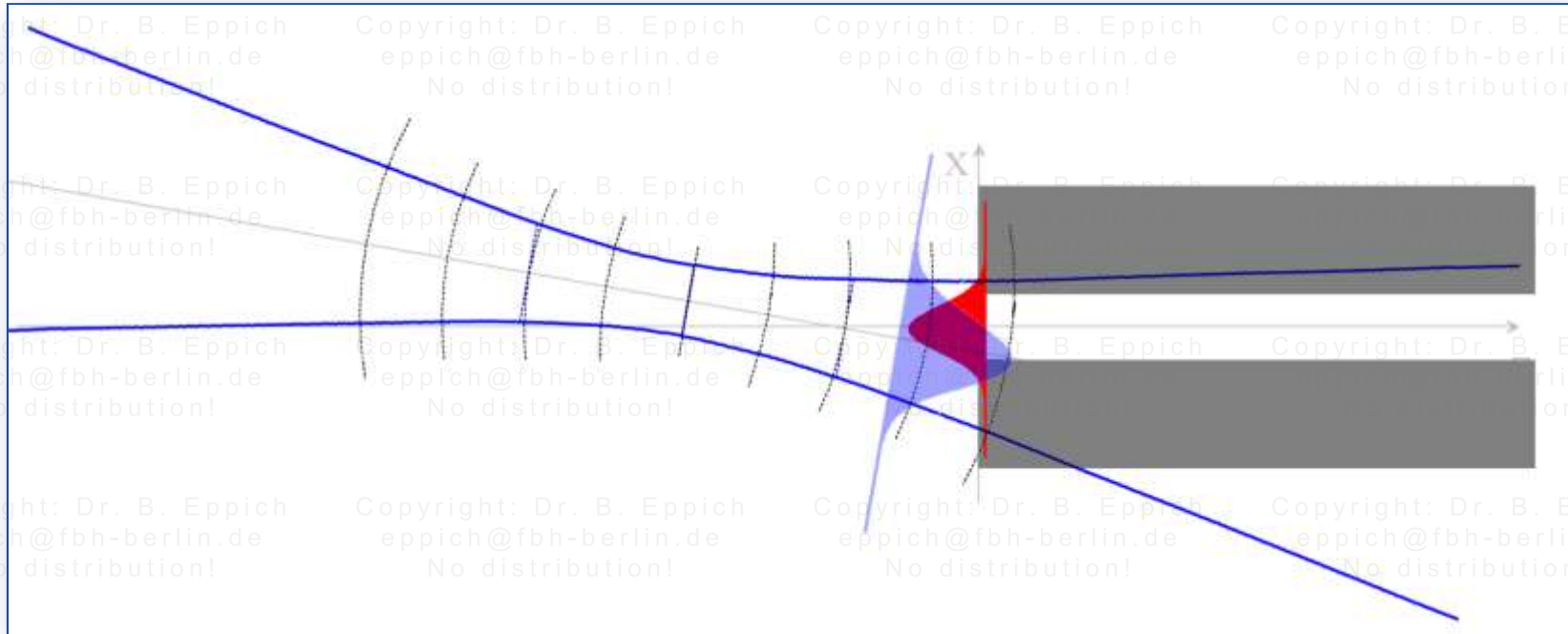
Benefits: Estimation 2D transmission



Benefits: Estimation multi-mode fiber coupling



Benefits: Monomode fiber coupling



- **Valid only for coupling Gaussian beams into monomode fibers**
- **Coupling efficiency depends on**
 - **Transversal position of beam center**
 - **Angle of incidence**
 - **Radius of phase curvature (or: beam waist position)**

Resonators

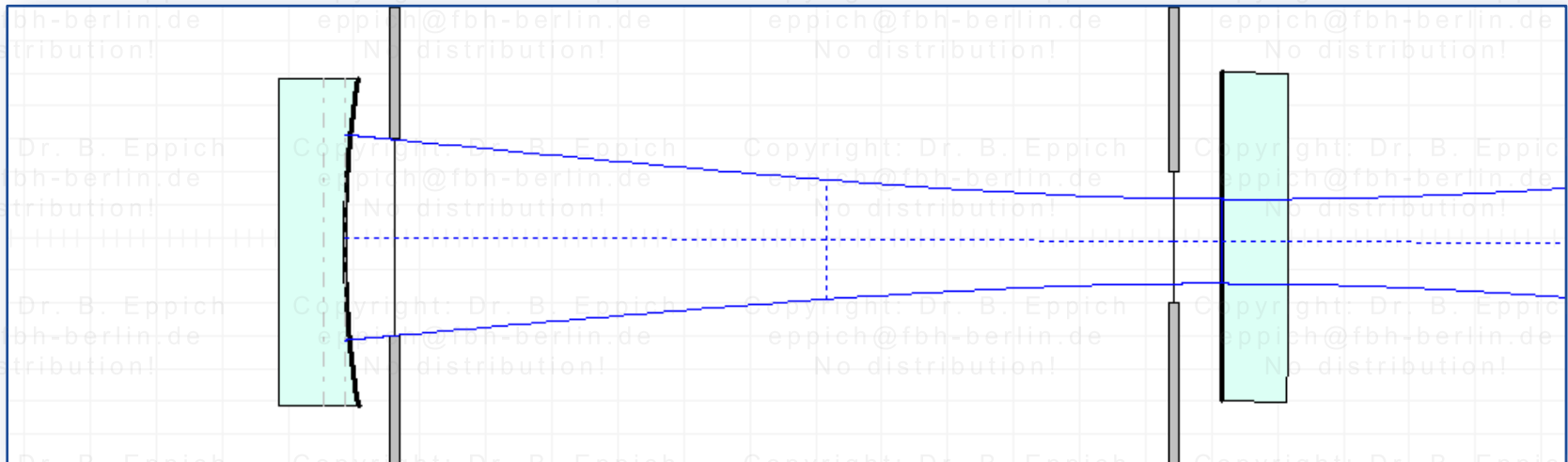
Side note: Theory of stable resonators

- Calculation self-consistent q-parameters

→ fundamental mode

$$q = \frac{Aq + B}{Cq + D}$$

- Calculation of the highest order lossless mode with same q-Parameter → M^2



Second order beam width ellipse

$$I(x, y) \rightarrow \langle x^2 \rangle_c = \frac{1}{P} \int I(x, y) (x - \bar{x})^2 dx dy \rightarrow D_x = 4 \sqrt{\langle x^2 \rangle_c}$$

$$I(x, y) \rightarrow \langle y^2 \rangle_c = \frac{1}{P} \int I(x, y) (y - \bar{y})^2 dx dy \rightarrow D_y = 4 \sqrt{\langle y^2 \rangle_c}$$

$$I(x, y) \rightarrow \langle xy \rangle_c = \frac{1}{P} \int I(x, y) (x - \bar{x})(y - \bar{y}) dx dy$$

$$D_\alpha = 4 \sqrt{\cos^2 \alpha \langle x^2 \rangle_c + \sin^2 \alpha \langle y^2 \rangle_c + 2 \sin \alpha \cos \alpha \langle xy \rangle_c}$$

Second order beam width ellipse

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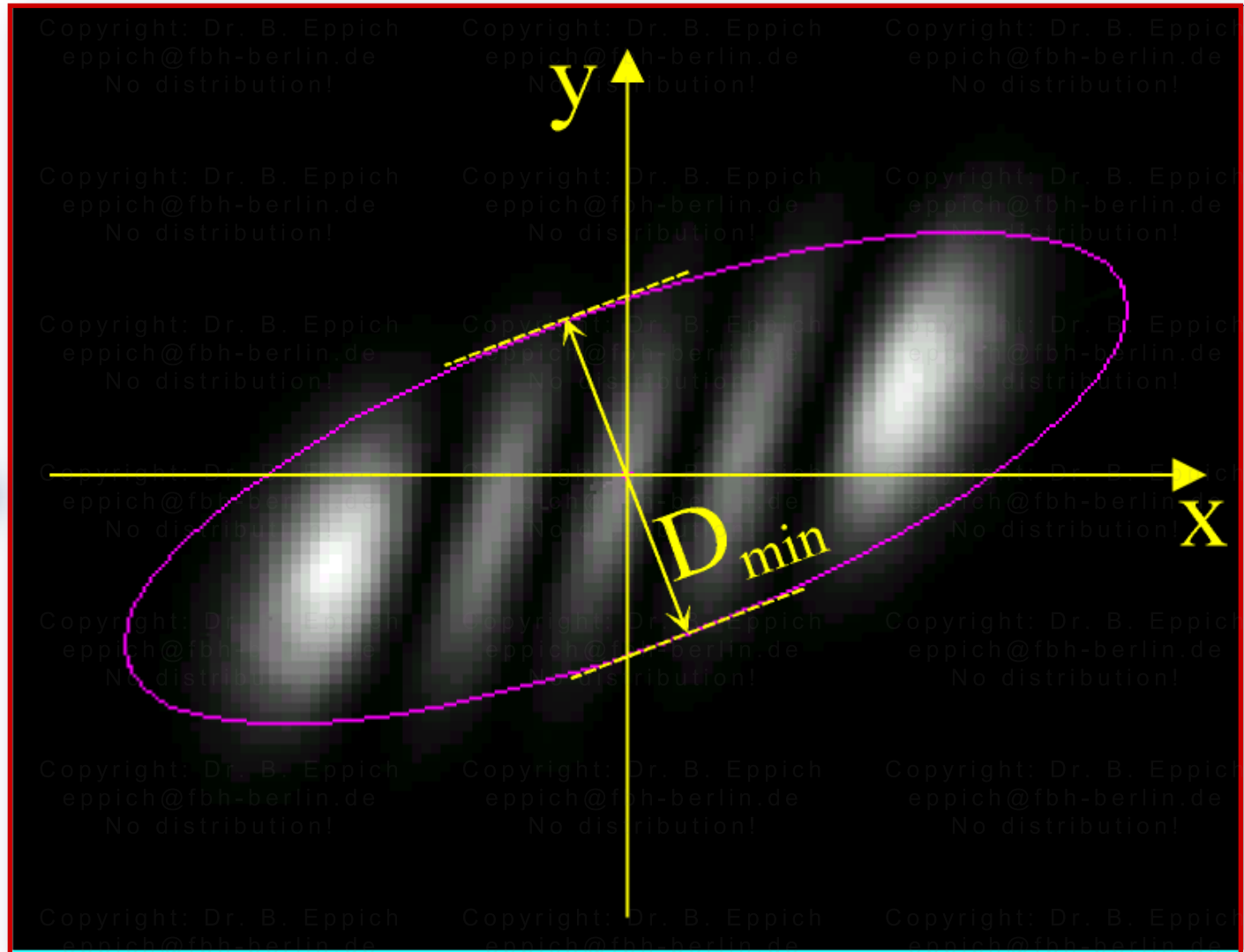
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$\langle x^2 \rangle_c, \langle xy \rangle_c, \langle y^2 \rangle_c$

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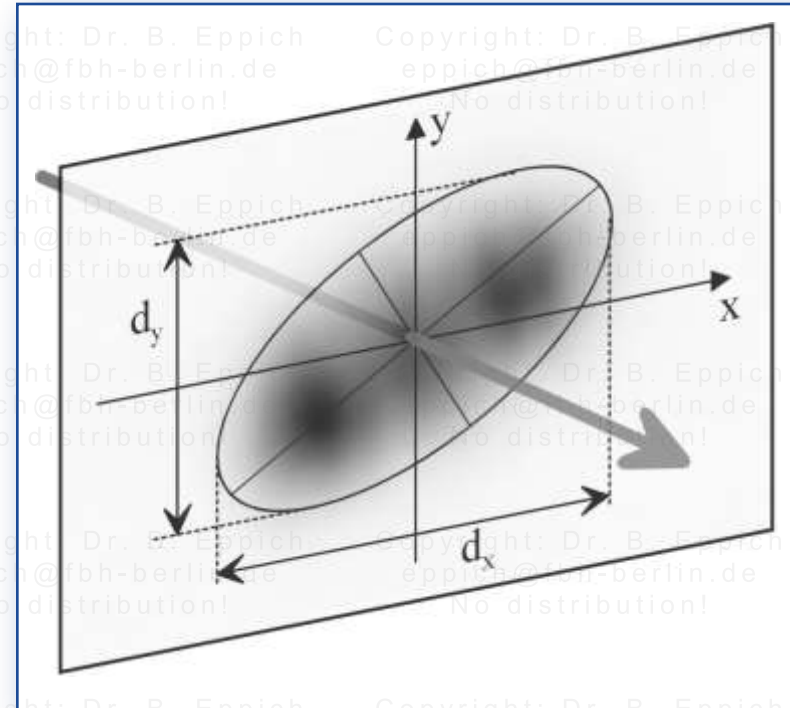
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Second order beam width ellipse

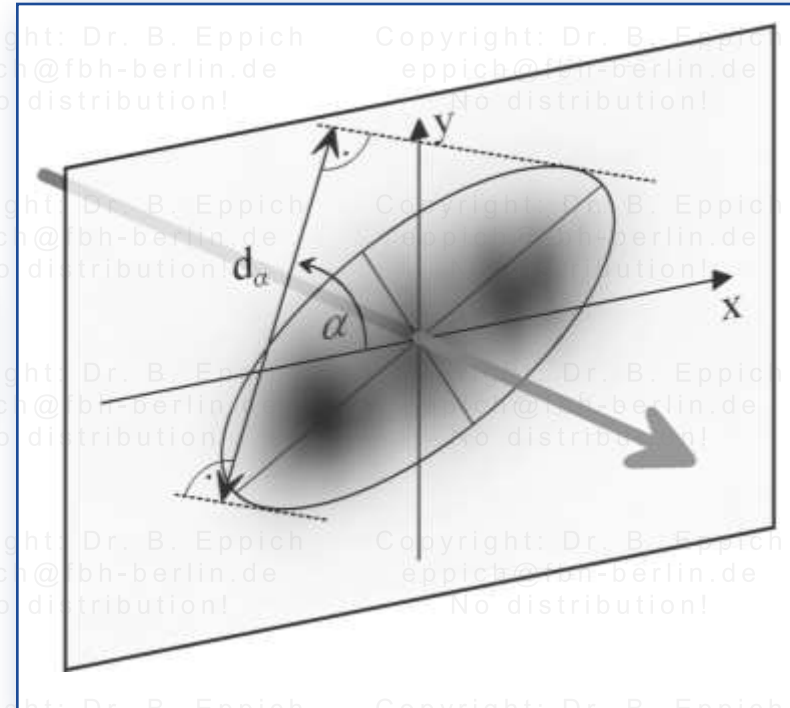
$$D_x = 4\sqrt{\langle x^2 \rangle_c}$$

$$D_y = 4\sqrt{\langle y^2 \rangle_c}$$



Second order beam width ellipse

$$D_{\alpha} = 4\sqrt{\cos^2\alpha \langle x^2 \rangle + \sin^2\alpha \langle y^2 \rangle + 2\sin\alpha \cos\alpha \langle xy \rangle}$$



Second order beam width ellipse

$$D_{\max} = 2\sqrt{2} \left\{ \left(\langle x^2 \rangle_c + \langle y^2 \rangle_c \right) + \left[\left(\langle x^2 \rangle_c - \langle y^2 \rangle_c \right)^2 + 4 \langle xy \rangle_c^2 \right]^{1/2} \right\}^{1/2}$$

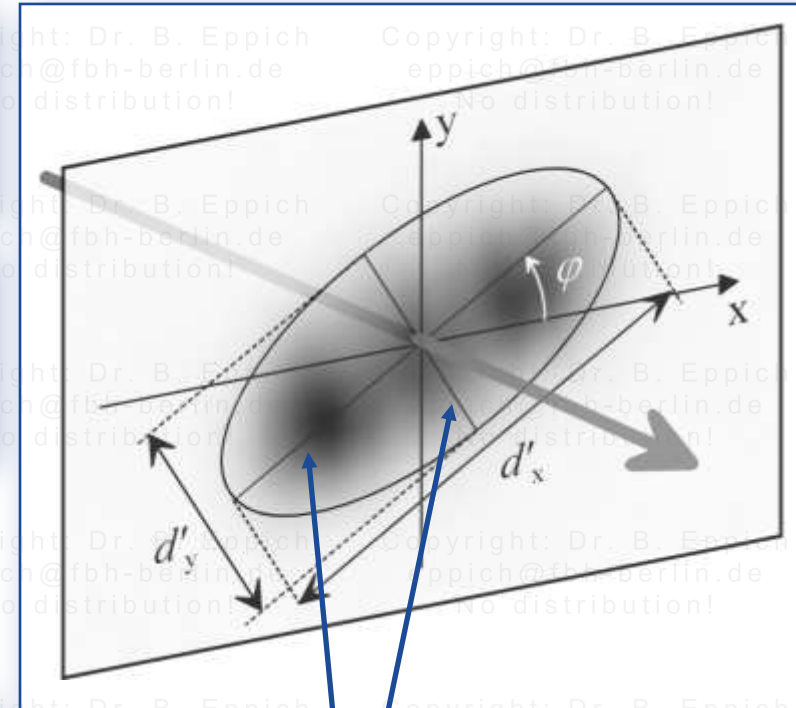
$$D_{\min} = 2\sqrt{2} \left\{ \left(\langle x^2 \rangle_c + \langle y^2 \rangle_c \right) - \left[\left(\langle x^2 \rangle_c - \langle y^2 \rangle_c \right)^2 + 4 \langle xy \rangle_c^2 \right]^{1/2} \right\}^{1/2}$$

$$\varphi = \frac{1}{2} \operatorname{atan} \left(\frac{2 \langle xy \rangle_c}{\langle x^2 \rangle_c - \langle y^2 \rangle_c} \right)$$

$$\varepsilon = \operatorname{sgn} \left(\langle x^2 \rangle_c - \langle y^2 \rangle_c \right)$$

$$D'_x = 2\sqrt{2} \left\{ \left(\langle x^2 \rangle_c + \langle y^2 \rangle_c \right) + \varepsilon \left[\left(\langle x^2 \rangle_c - \langle y^2 \rangle_c \right)^2 + 4 \langle xy \rangle_c^2 \right]^{1/2} \right\}^{1/2}$$

$$D'_y = 2\sqrt{2} \left\{ \left(\langle x^2 \rangle_c + \langle y^2 \rangle_c \right) - \varepsilon \left[\left(\langle x^2 \rangle_c - \langle y^2 \rangle_c \right)^2 + 4 \langle xy \rangle_c^2 \right]^{1/2} \right\}^{1/2}$$



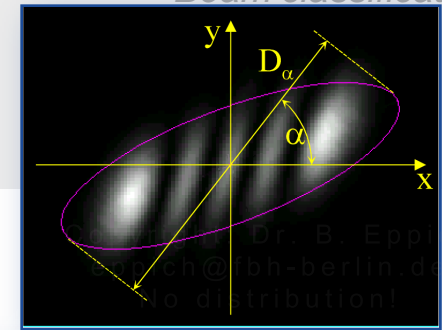
Principal axes



Content

- Motivation
- ISO standard
- Beams and optical systems
- Beam diameter definitions
- **Beam classification**
- The ten second order moments
- Measurement of the ten second order moments

„Roundness“



$$D_\alpha = 4\sqrt{\cos^2 \alpha \langle x^2 \rangle_c + \sin^2 \alpha \langle y^2 \rangle_c + 2 \sin \alpha \cos \alpha \langle xy \rangle_c}$$

A beam profile is „round“ if

- beam width is independent of direction $\rightarrow d(\alpha) = \text{const.}$
- $\langle x^2 \rangle = \langle y^2 \rangle$ and $\langle xy \rangle = 0$

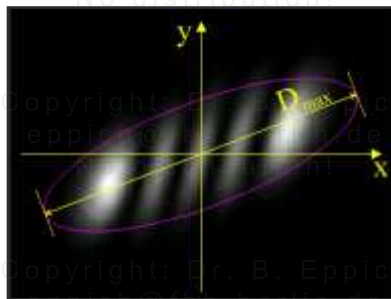
\rightarrow a square top hat profile is „round“:



More practical definition for roundness:

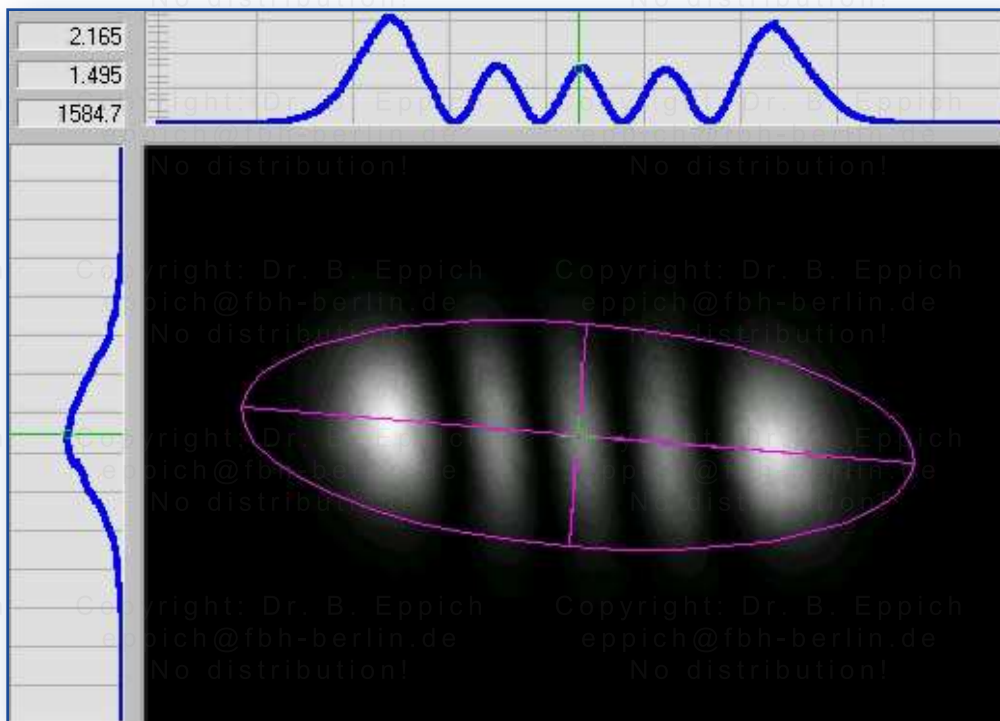
$$D_{\max} < 1.15 \cdot d_{\min}$$

If a beam profile is *elliptical*....



... it has an *orientation*.

Rotating second order beam width ellipse



„Roundness“ and orientation may be changed under propagation!

„Geometrical“ beam classification

Stigmatic:

- „circular“ in any plane under free propagation
- fixed orientation behind cylindrical lens
- **3 Parameter** needed (e.g. D_0, z_0, z_R)

Simple astigmatic:

- non-circular, fixed orientation under free propagation
- same fixed orientation behind aligned cylindrical lens
- **6(7) Parameter** needed (e.g. $D_{0x}, z_{0x}, z_{Rx}, D_{0y}, z_{0y}, z_{Ry}, \alpha$)

Pseudo stigmatic:

- „circular“ in any plane under free propagation
- changing orientation behind any cylindrical lens
- **4 Parameter** needed (e.g. D_0, z_0, z_R, t)

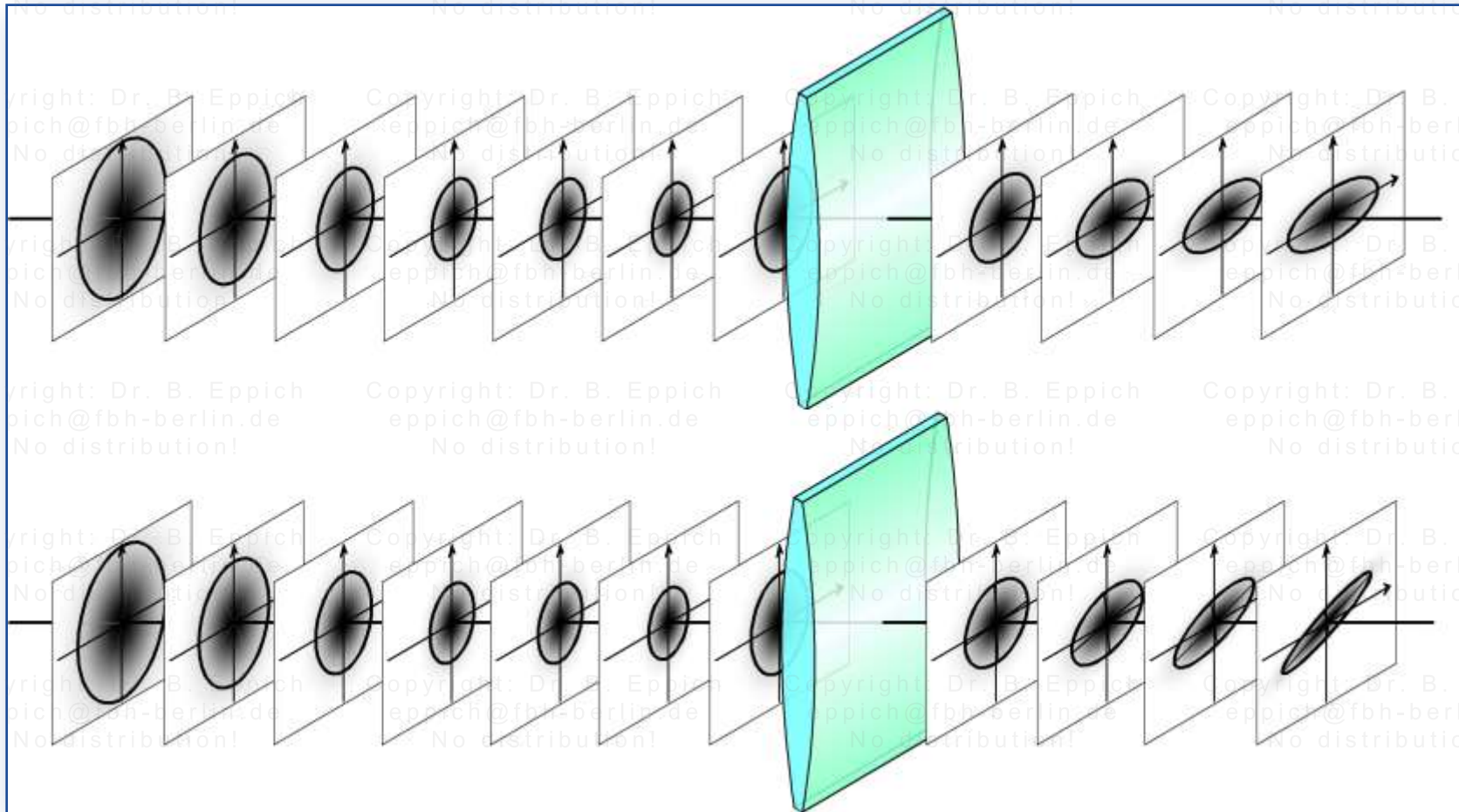
Pseudo simple astigmatic:

- non-circular, fixed orientation under free propagation
- changing orientation behind aligned cylindrical lens
- **7(8) Parameter** needed (e.g. $D_{0x}, z_{0x}, z_{Rx}, D_{0y}, z_{0y}, z_{Ry}, \alpha, t$)

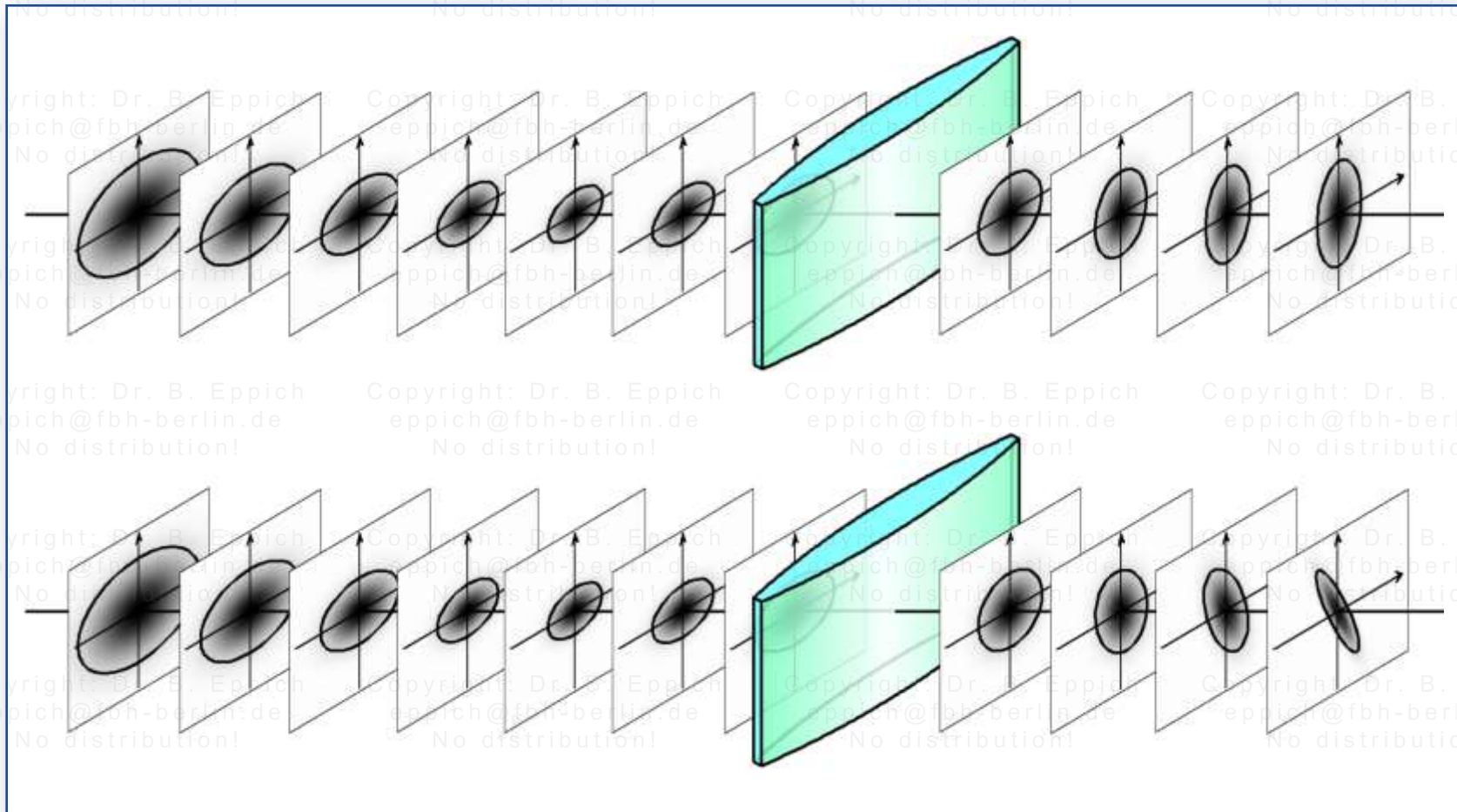
General astigmatic:

- changing orientation under free propagation
- **10 Parameter** needed (see later...)

Stigmatic versus pseudo stigmatic beams



Simple-astigmatic versus pseudo-simple-astigmatic beams

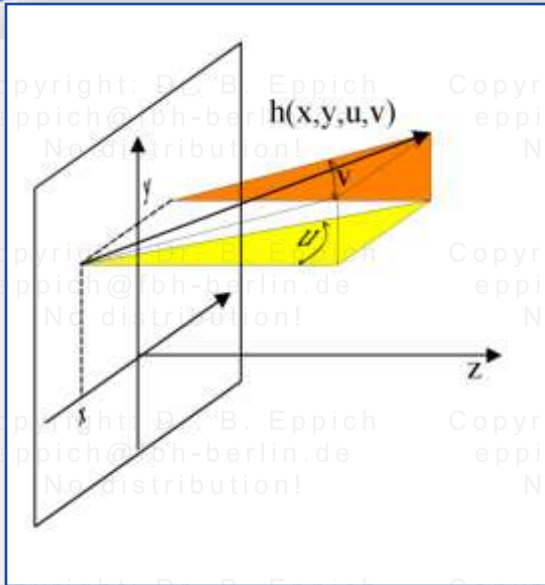




Content

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- Measurement of the ten second order moments

The four-dimensional Wigner distribution



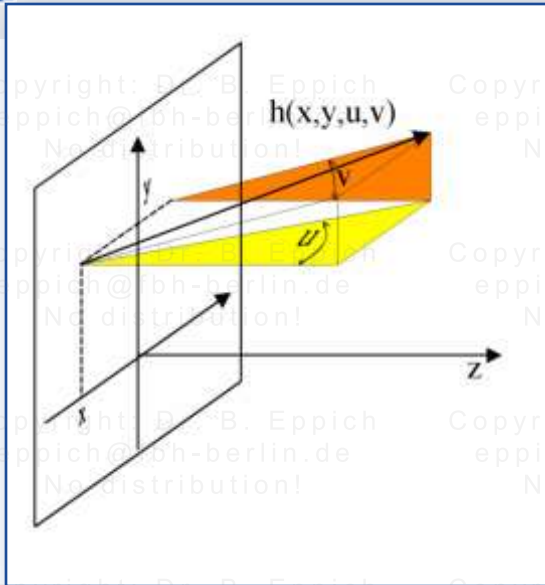
$h(x,y,u,v)$ gives amount of power passing the plane at point (x,y) with direction (u,v) .

$$I(x, y) = \int h(x, y, u, v) du dv \quad \rightarrow \text{total power passing point } (x,y)$$

$$I_F(u, v) = \int h(x, y, u, v) dx dy \quad \rightarrow \text{total power passing in direction } (u,v)$$

$$P = \int I(x, y) dx dy = \int I_F(u, v) du dv = \int h(x, y, u, v) dx dy du dv \quad \rightarrow \text{total power}$$

The four-dimensional Wigner distribution



$h(x, y, u, v)$ gives amount of power passing the plane at point (x, y) with direction (u, v) .

$$I(x, y) = \int h(x, y, u, v) du dv \quad \rightarrow \text{total power passing point } (x, y)$$

$$\langle x^n y^m \rangle_c = \frac{1}{P} \int I(x, y) (x - \bar{x})^n (y - \bar{y})^m dx dy = \frac{1}{P} \int h(x, y, u, v) (x - \bar{x})^n (y - \bar{y})^m dx dy du dv$$

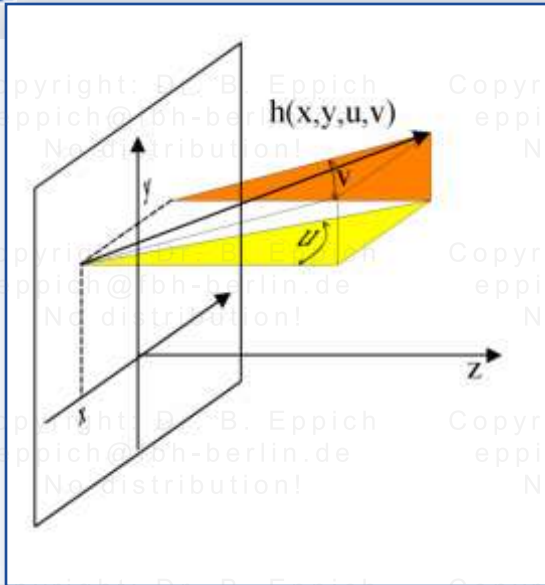
$n+m=2$

$$\langle x^2 \rangle_c$$

$$\langle xy \rangle_c$$

$$\langle y^2 \rangle_c$$

The four-dimensional Wigner distribution



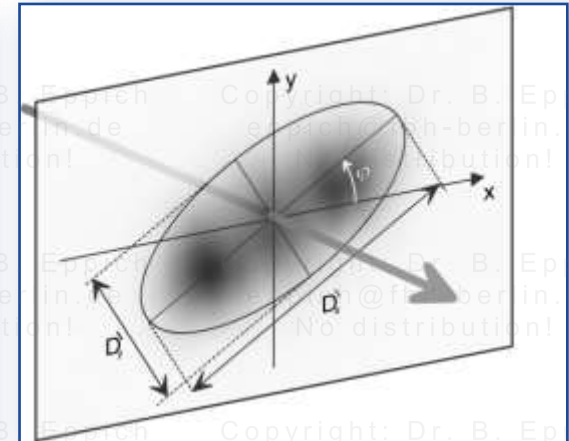
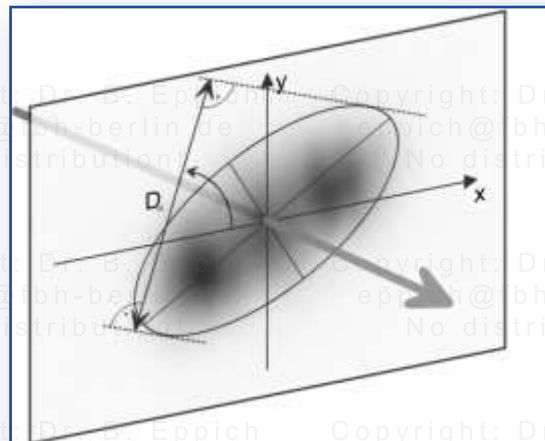
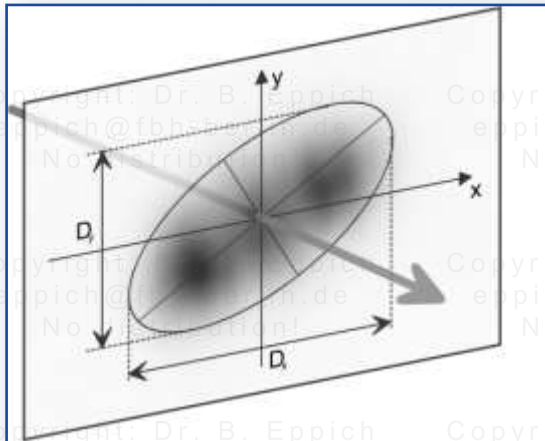
$h(x, y, u, v)$ gives amount of power passing the plane at point (x, y) with direction (u, v) .

$$I(x, y) = \int h(x, y, u, v) du dv$$

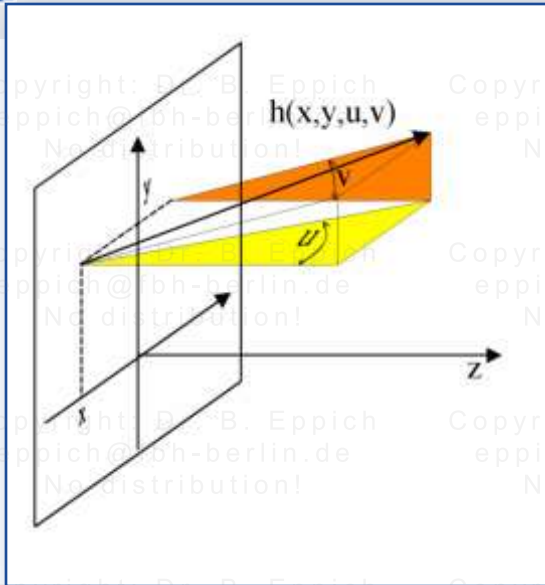
$$\langle x^2 \rangle_c$$

$$\langle xy \rangle_c$$

$$\langle y^2 \rangle_c$$



The four-dimensional Wigner distribution



$h(x, y, u, v)$ gives amount of power passing the plane at point (x, y) with direction (u, v) .

$$I_F(u, v) = \int h(x, y, u, v) dx dy \rightarrow \text{total power passing in direction } (u, v)$$

$$\langle u^k v^l \rangle_c = \frac{1}{P} \int I_F(u, v) (u - \bar{u})^k (v - \bar{v})^l du dv = \frac{1}{P} \int h(x, y, u, v) (u - \bar{u})^k (v - \bar{v})^l dx dy du dv$$

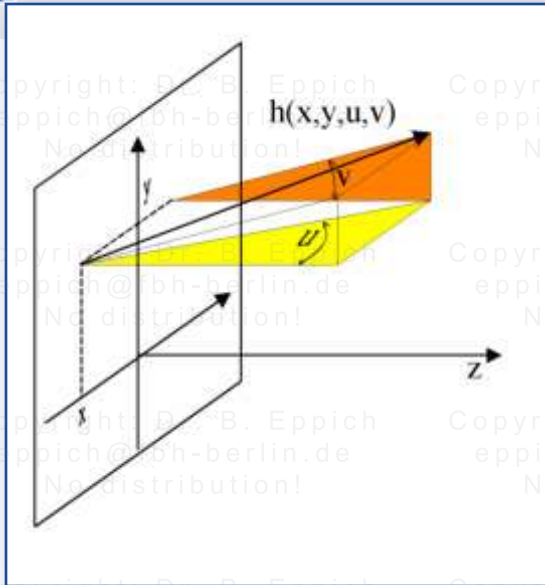
$k+l=2$

$$\langle u^2 \rangle_c$$

$$\langle uv \rangle_c$$

$$\langle v^2 \rangle_c$$

The four-dimensional Wigner distribution



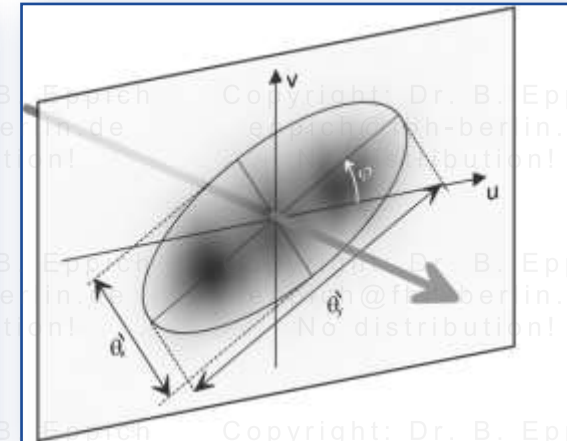
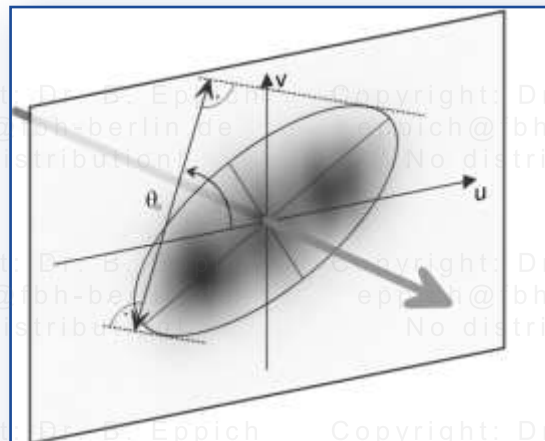
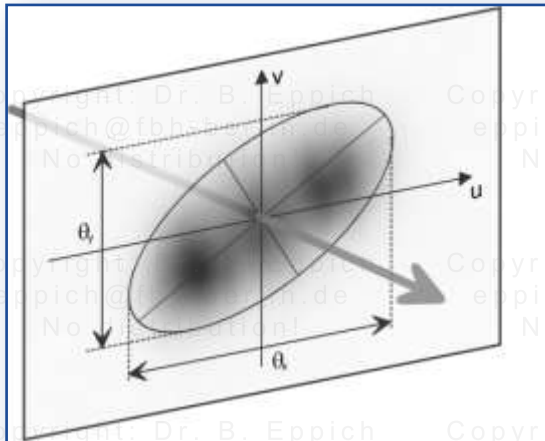
$h(x, y, u, v)$ gives amount of power passing the plane at point (x, y) with direction (u, v) .

$$I_F(u, v) = \int h(x, y, u, v) dx dy$$

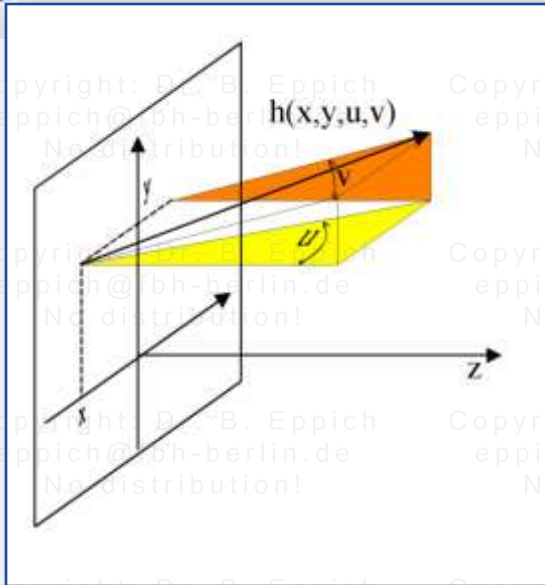
$$\langle u^2 \rangle_c$$

$$\langle uv \rangle_c$$

$$\langle v^2 \rangle_c$$



The four-dimensional Wigner distribution



$h(x, y, u, v)$ gives amount of power passing the plane at point (x, y) with direction (u, v) .

$$\langle x^n y^m \rangle_c = \frac{1}{P} \int h(x, y, u, v) (x - \bar{x})^n (y - \bar{y})^m dx dy du dv \quad n+m=2$$



$$\langle x^2 \rangle_c \quad \langle xy \rangle_c \quad \langle y^2 \rangle_c$$

near field

$$\langle u^k v^l \rangle_c = \frac{1}{P} \int h(x, y, u, v) (u - \bar{u})^k (v - \bar{v})^l dx dy du dv \quad k+l=2$$



$$\langle u^2 \rangle_c \quad \langle uv \rangle_c \quad \langle v^2 \rangle_c$$

far field

$$\langle x^n y^m u^k v^l \rangle_c = \frac{1}{P} \int h(x, y, u, v) (x - \bar{x})^n (y - \bar{y})^m (u - \bar{u})^k (v - \bar{v})^l dx dy du dv \quad n+m+k+l=2$$



$$\langle xu \rangle_c \quad \langle xv \rangle_c$$

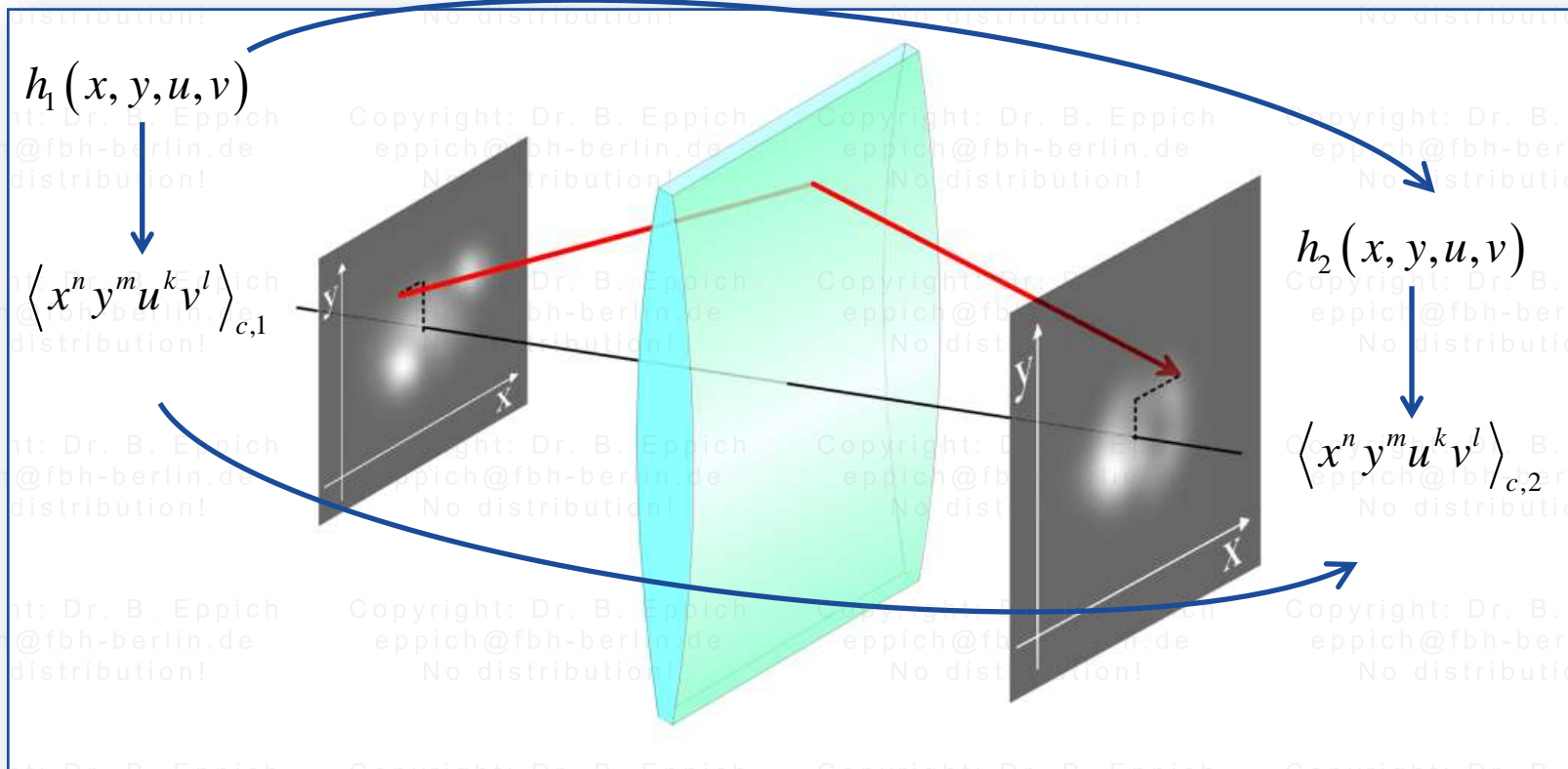
phase paraboloid + twist

$$\langle yu \rangle_c \quad \langle yv \rangle_c$$

Propagation of the second order moments

$$\langle x^n y^m u^k v^l \rangle_c = \frac{1}{P} \int h(x, y, u, v) (x - \bar{x})^n (y - \bar{y})^m (u - \bar{u})^k (v - \bar{v})^l dx dy du dv$$

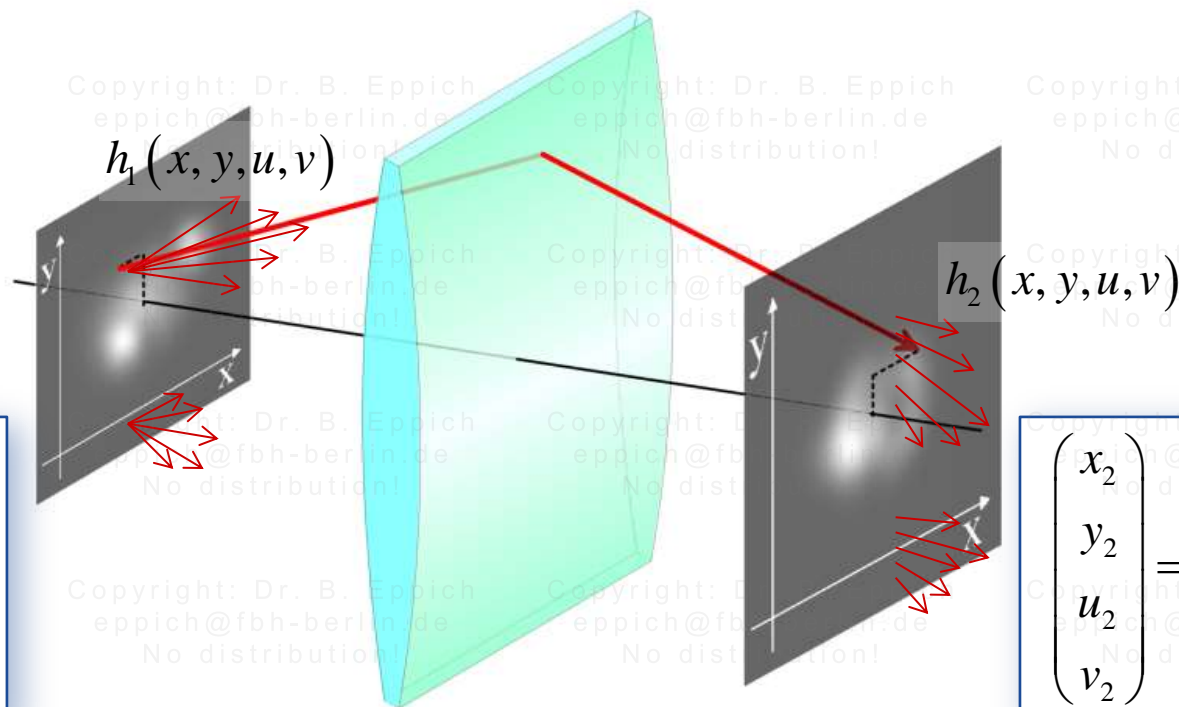
$$n+m+k+l=2$$



Propagation of the second order moments

$$\langle x^n y^m u^k v^l \rangle_c = \frac{1}{P} \int h(x, y, u, v) (x - \bar{x})^n (y - \bar{y})^m (u - \bar{u})^k (v - \bar{v})^l dx dy du dv$$

$$n+m+k+l=2$$



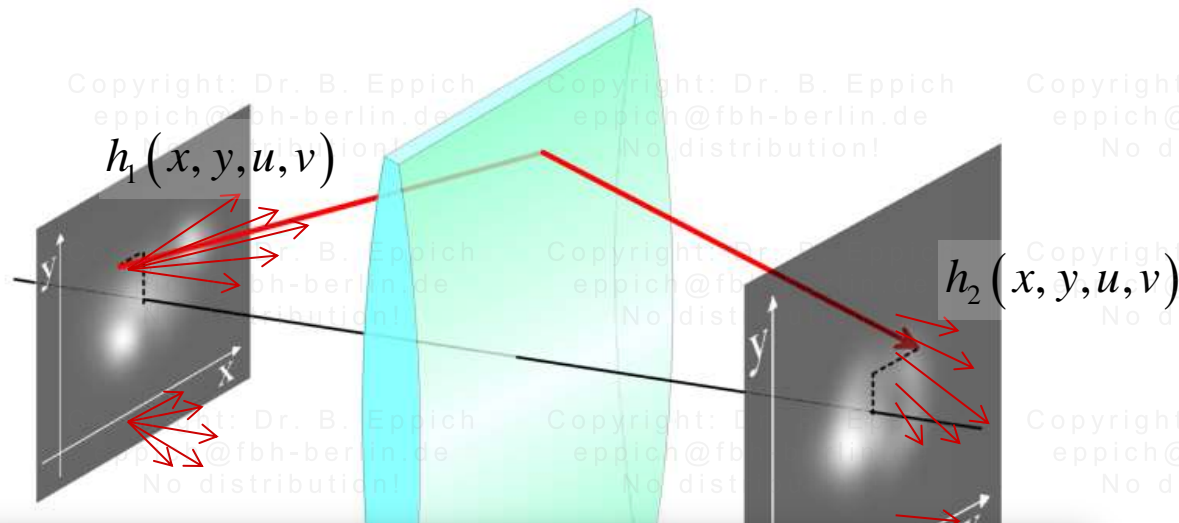
$$\begin{pmatrix} x_1 \\ y_1 \\ u_1 \\ v_1 \end{pmatrix} = \mathbf{S}^{-1} \cdot \begin{pmatrix} x_2 \\ y_2 \\ u_2 \\ v_2 \end{pmatrix}$$

$$\begin{pmatrix} x_2 \\ y_2 \\ u_2 \\ v_2 \end{pmatrix} = \mathbf{S} \cdot \begin{pmatrix} x_1 \\ y_1 \\ u_1 \\ v_1 \end{pmatrix}$$

Propagation of the second order moments

$$\langle x^n y^m u^k v^l \rangle_c = \frac{1}{P} \int h(x, y, u, v) (x - \bar{x})^n (y - \bar{y})^m (u - \bar{u})^k (v - \bar{v})^l dx dy du dv$$

$$n+m+k+l=2$$

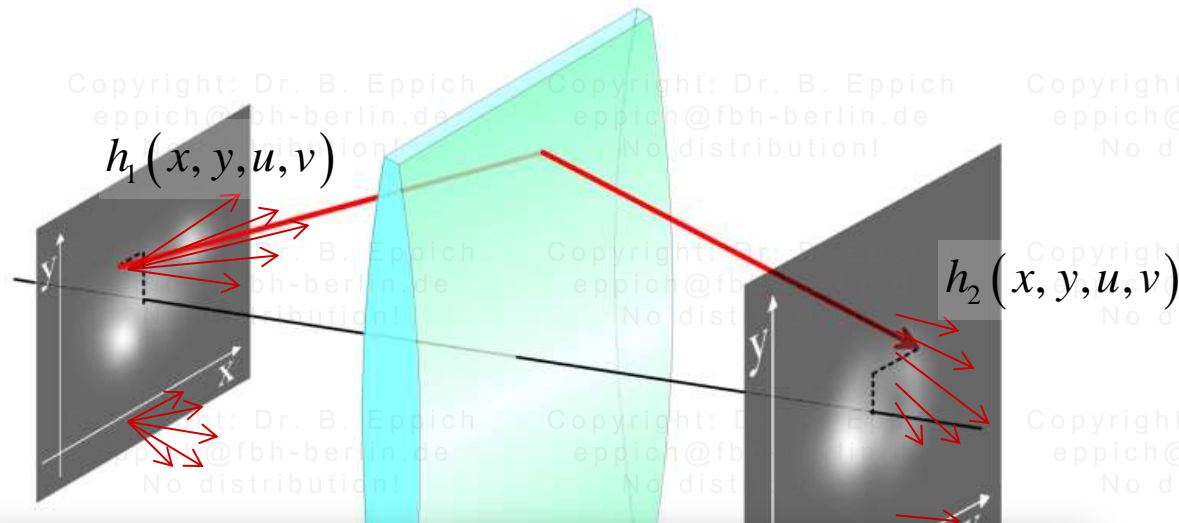


$$h_2(x_2, y_2, u_2, v_2) = h_1(x_1, y_1, u_1, v_1) \quad \text{with} \quad \begin{pmatrix} x_2 \\ y_2 \\ u_2 \\ v_2 \end{pmatrix} = \mathbf{S} \cdot \begin{pmatrix} x_1 \\ y_1 \\ u_1 \\ v_1 \end{pmatrix}$$

Propagation of the second order moments

$$\langle x^n y^m u^k v^l \rangle_c = \frac{1}{P} \int h(x, y, u, v) (x - \bar{x})^n (y - \bar{y})^m (u - \bar{u})^k (v - \bar{v})^l dx dy du dv$$

$$n+m+k+l=2$$



$$h_2(\vec{\xi}) = h_1(\mathbf{S}^{-1} \cdot \vec{\xi}) \quad \text{with} \quad \vec{\xi} = \begin{pmatrix} x \\ y \\ u \\ v \end{pmatrix}$$

Propagation of the second order moments

$$\langle x^n y^m u^k v^l \rangle_c = \frac{1}{P} \int h(x, y, u, v) (x - \bar{x})^n (y - \bar{y})^m (u - \bar{u})^k (v - \bar{v})^l dx dy du dv$$

$$n+m+k+l=2$$

$$h_2(\vec{\xi}) = h_1(\mathbf{S}^{-1} \cdot \vec{\xi})$$

$$\mathbf{P} = \begin{pmatrix} \langle x^2 \rangle_c & \langle xy \rangle_c & \langle xu \rangle_c & \langle xv \rangle_c \\ \langle xy \rangle_c & \langle y^2 \rangle_c & \langle yu \rangle_c & \langle yv \rangle_c \\ \langle xu \rangle_c & \langle yu \rangle_c & \langle u^2 \rangle_c & \langle uv \rangle_c \\ \langle xv \rangle_c & \langle yv \rangle_c & \langle uv \rangle_c & \langle v^2 \rangle_c \end{pmatrix}$$

Beam matrix,
Moments matrix

$$\tilde{\mathbf{P}}_2 = \mathbf{S} \cdot \tilde{\mathbf{P}}_1 \cdot \mathbf{S}^T$$

Free space propagation

Free propagation:

System matrix:

$$S = \begin{pmatrix} 1 & 0 & z & 0 \\ 0 & 1 & 0 & z \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

$$\begin{aligned} \langle x^2 \rangle_{out}(z) &= \langle x^2 \rangle_{in} + 2z \langle xu \rangle_{in} + z^2 \langle u^2 \rangle_{in} \\ \langle y^2 \rangle_{out}(z) &= \langle y^2 \rangle_{in} + 2z \langle yv \rangle_{in} + z^2 \langle v^2 \rangle_{in} \\ \langle xy \rangle_{out}(z) &= \langle xy \rangle_{in} + z (\langle xv \rangle_{in} + \langle yu \rangle_{in}) + z^2 \langle uv \rangle_{in} \end{aligned}$$

Free space propagation

Free propagation:

System matrix:

$$S = \begin{pmatrix} 1 & 0 & z & 0 \\ 0 & 1 & 0 & z \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

$$\langle x^2 \rangle_{out}(z) = \langle x^2 \rangle_{in} + 2z \langle xu \rangle_{in} + z^2 \langle u^2 \rangle_{in}$$

$$\langle y^2 \rangle_{out}(z) = \langle y^2 \rangle_{in} + 2z \langle yv \rangle_{in} + z^2 \langle v^2 \rangle_{in}$$

$$\langle xy \rangle_{out}(z) = \langle xy \rangle_{in} + z (\langle xv \rangle_{in} + \langle yu \rangle_{in}) + z^2 \langle uv \rangle_{in}$$

$$D_x = 4\sqrt{\langle x^2 \rangle_c} \rightarrow D_x(z) = D_{x,0} \sqrt{1 + \left(\frac{z - z_{0,x}}{z_{R,x}} \right)^2}$$

$$D_y = 4\sqrt{\langle y^2 \rangle_c} \rightarrow D_y(z) = D_{y,0} \sqrt{1 + \left(\frac{z - z_{0,y}}{z_{R,y}} \right)^2}$$

$$\langle x^2 \rangle_{c,\alpha} = \cos^2 \alpha \langle x^2 \rangle_c + 2 \cos \alpha \sin \alpha \langle xy \rangle + \sin^2 \alpha \langle y^2 \rangle$$

$$D_\alpha = 4\sqrt{\langle x^2 \rangle_{c,\alpha}} \rightarrow D_\alpha(z) = D_{\alpha,0} \sqrt{1 + \left(\frac{z - z_{0,\alpha}}{z_{R,\alpha}} \right)^2}$$

Free space propagation

Directional beam properties

Parameter		horizontal	vertical	azimuthal
Diameter	D	$4\sqrt{\langle x^2 \rangle_c}$	$4\sqrt{\langle y^2 \rangle_c}$	$4\sqrt{\langle x^2 \rangle_{c,\alpha}}$
Waist diameter	D_0	$4\sqrt{\langle x^2 \rangle_c - \frac{\langle xu \rangle_c^2}{\langle u^2 \rangle_c}}$	$4\sqrt{\langle y^2 \rangle_c - \frac{\langle yv \rangle_c^2}{\langle v^2 \rangle_c}}$	$4\sqrt{\langle x^2 \rangle_{c,\alpha} - \frac{\langle xu \rangle_{c,\alpha}^2}{\langle u^2 \rangle_{c,\alpha}}}$
Waist position	z_0	$-\frac{\langle xu \rangle_c}{\langle u^2 \rangle_c}$	$-\frac{\langle yv \rangle_c}{\langle v^2 \rangle_c}$	$-\frac{\langle xu \rangle_{c,\alpha}}{\langle u^2 \rangle_{c,\alpha}}$
Rayleigh length	z_R	$\sqrt{\frac{\langle x^2 \rangle_c}{\langle u^2 \rangle_c} - \frac{\langle xu \rangle_c^2}{\langle u^2 \rangle_c^2}}$	$\sqrt{\frac{\langle y^2 \rangle_c}{\langle v^2 \rangle_c} - \frac{\langle yv \rangle_c^2}{\langle v^2 \rangle_c^2}}$	$\sqrt{\frac{\langle x^2 \rangle_{c,\alpha}}{\langle u^2 \rangle_{c,\alpha}} - \frac{\langle xu \rangle_{c,\alpha}^2}{\langle u^2 \rangle_{c,\alpha}^2}}$
Divergence	θ	$4\sqrt{\langle u^2 \rangle_c}$	$4\sqrt{\langle v^2 \rangle_c}$	$4\sqrt{\langle u^2 \rangle_{c,\alpha}}$
Radius of phase curvature	R	$\frac{\langle x^2 \rangle_c}{\langle xu \rangle_c}$	$\frac{\langle y^2 \rangle_c}{\langle yv \rangle_c}$	$\frac{\langle x^2 \rangle_{c,\alpha}}{\langle xu \rangle_{c,\alpha}}$
Beam propagation factor	M^2	$\frac{4\pi}{\lambda} \sqrt{\langle x^2 \rangle_c \langle u^2 \rangle_c - \langle xu \rangle_c^2}$	$\frac{4\pi}{\lambda} \sqrt{\langle y^2 \rangle_c \langle v^2 \rangle_c - \langle yv \rangle_c^2}$	$\frac{4\pi}{\lambda} \sqrt{\langle x^2 \rangle_{c,\alpha} \langle u^2 \rangle_{c,\alpha} - \langle xu \rangle_{c,\alpha}^2}$

Propagation through separable ABCD-Systems (2D)

$$\mathbf{P}_{in} = \begin{pmatrix} \langle x^2 \rangle_c & \langle xy \rangle_c & \langle xu \rangle_c & \langle xv \rangle_c \\ \langle xy \rangle_c & \langle y^2 \rangle_c & \langle yu \rangle_c & \langle yv \rangle_c \\ \langle xu \rangle_c & \langle yu \rangle_c & \langle u^2 \rangle_c & \langle uv \rangle_c \\ \langle xv \rangle_c & \langle yv \rangle_c & \langle uv \rangle_c & \langle v^2 \rangle_c \end{pmatrix}_{in}$$

$$\mathbf{S}_{sep} = \begin{pmatrix} A_{xx} & 0 & B_{xx} & 0 \\ 0 & A_{yy} & 0 & B_{yy} \\ C_{xx} & 0 & D_{xx} & 0 \\ 0 & C_{yy} & 0 & D_{yy} \end{pmatrix}$$

(separable system)

$$\mathbf{P}_{out} = \mathbf{S} \cdot \mathbf{P}_{in} \cdot \mathbf{S}^T$$

$$\langle x^2 \rangle_{c,out} = A_{xx}^2 \langle x^2 \rangle_{c,in} + 2A_{xx} B_{xx} \langle xu \rangle_{c,in} + B_{xx}^2 \langle u^2 \rangle_{c,in}$$

$$\langle xu \rangle_{c,out} = A_{xx} C_{xx} \langle x^2 \rangle_{c,in} + (A_{xx} D_{xx} - B_{xx} C_{xx}) \langle xu \rangle_{c,in} + B_{xx} D_{xx} \langle u^2 \rangle_{c,in}$$

$$\langle u^2 \rangle_{c,out} = C_{xx}^2 \langle x^2 \rangle_{c,in} + 2C_{xx} D_{xx} \langle xu \rangle_{c,in} + D_{xx}^2 \langle u^2 \rangle_{c,in}$$

Propagation through separable ABCD-Systems (2D)

$$\mathbf{P}_{in} = \begin{pmatrix} \langle x^2 \rangle_c & \langle xy \rangle_c & \langle xu \rangle_c & \langle xv \rangle_c \\ \langle xy \rangle_c & \langle y^2 \rangle_c & \langle yu \rangle_c & \langle yv \rangle_c \\ \langle xu \rangle_c & \langle yu \rangle_c & \langle u^2 \rangle_c & \langle uv \rangle_c \\ \langle xv \rangle_c & \langle yv \rangle_c & \langle uv \rangle_c & \langle v^2 \rangle_c \end{pmatrix}_{in}$$

$$\mathbf{S}_{sep} = \begin{pmatrix} A_{xx} & 0 & B_{xx} & 0 \\ 0 & A_{yy} & 0 & B_{yy} \\ C_{xx} & 0 & D_{xx} & 0 \\ 0 & C_{yy} & 0 & D_{yy} \end{pmatrix}$$

(separable system)

$$\mathbf{P}_{out} = \mathbf{S} \cdot \mathbf{P}_{in} \cdot \mathbf{S}^T$$

$$\langle y^2 \rangle_{c,out} = A_{yy}^2 \langle y^2 \rangle_{c,in} + 2A_{yy} B_{yy} \langle yv \rangle_{c,in} + B_{yy}^2 \langle v^2 \rangle_{c,in}$$

$$\langle yv \rangle_{c,out} = A_{yy} C_{yy} \langle y^2 \rangle_{c,in} + (A_{yy} D_{yy} - B_{yy} C_{yy}) \langle yv \rangle_{c,in} + B_{yy} D_{yy} \langle v^2 \rangle_{c,in}$$

$$\langle v^2 \rangle_{c,out} = C_{yy}^2 \langle y^2 \rangle_{c,in} + 2C_{yy} D_{yy} \langle yv \rangle_{c,in} + D_{yy}^2 \langle v^2 \rangle_{c,in}$$

Propagation through separable ABCD-Systems (2D)

$$\mathbf{P}_{in} = \begin{pmatrix} \langle x^2 \rangle_c & \langle xy \rangle_c & \langle xu \rangle_c & \langle xv \rangle_c \\ \langle xy \rangle_c & \langle y^2 \rangle_c & \langle yu \rangle_c & \langle yv \rangle_c \\ \langle xu \rangle_c & \langle yu \rangle_c & \langle u^2 \rangle_c & \langle uv \rangle_c \\ \langle xv \rangle_c & \langle yv \rangle_c & \langle uv \rangle_c & \langle v^2 \rangle_c \end{pmatrix}_{in}$$

$$\mathbf{S}_{sep} = \begin{pmatrix} A_{xx} & 0 & B_{xx} & 0 \\ 0 & A_{yy} & 0 & B_{yy} \\ C_{xx} & 0 & D_{xx} & 0 \\ 0 & C_{yy} & 0 & D_{yy} \end{pmatrix}$$

(separable system)

$$\mathbf{P}_{out} = \mathbf{S} \cdot \mathbf{P}_{in} \cdot \mathbf{S}^T$$

$$\langle x^2 \rangle_{c,\alpha,out} = A^2 \langle x^2 \rangle_{c,\alpha,in} + 2AB \langle xu \rangle_{c,\alpha,in} + B^2 \langle u^2 \rangle_{c,\alpha,in}$$

$$\langle xu \rangle_{c,\alpha,out} = AC \langle x^2 \rangle_{c,\alpha,in} + (AD - BC) \langle xu \rangle_{c,\alpha,in} + BD \langle u^2 \rangle_{c,\alpha,in}$$

$$\langle u^2 \rangle_{c,\alpha,out} = C^2 \langle x^2 \rangle_{c,\alpha,in} + 2CD \langle xu \rangle_{c,\alpha,in} + D^2 \langle u^2 \rangle_{c,\alpha,in}$$

Beam classification

$$D_{\max} = 2\sqrt{2} \left\{ \left(\langle x^2 \rangle_c + \langle y^2 \rangle_c \right) + \left[\left(\langle x^2 \rangle_c - \langle y^2 \rangle_c \right)^2 + 4 \langle xy \rangle_c^2 \right]^{1/2} \right\}^{1/2}$$

$$D_{\min} = 2\sqrt{2} \left\{ \left(\langle x^2 \rangle_c + \langle y^2 \rangle_c \right) - \left[\left(\langle x^2 \rangle_c - \langle y^2 \rangle_c \right)^2 + 4 \langle xy \rangle_c^2 \right]^{1/2} \right\}^{1/2}$$

$$\varphi = \frac{1}{2} \operatorname{atan} \left(\frac{2 \langle xy \rangle_c}{\langle x^2 \rangle_c - \langle y^2 \rangle_c} \right)$$

$$\varepsilon = \operatorname{sgn} \left(\langle x^2 \rangle_c - \langle y^2 \rangle_c \right)$$

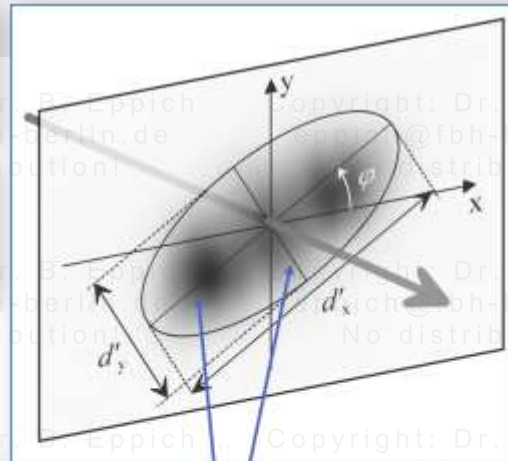
$$D'_x = 2\sqrt{2} \left\{ \left(\langle x^2 \rangle_c + \langle y^2 \rangle_c \right) + \varepsilon \left[\left(\langle x^2 \rangle_c - \langle y^2 \rangle_c \right)^2 + 4 \langle xy \rangle_c^2 \right]^{1/2} \right\}^{1/2}$$

$$D'_y = 2\sqrt{2} \left\{ \left(\langle x^2 \rangle_c + \langle y^2 \rangle_c \right) - \varepsilon \left[\left(\langle x^2 \rangle_c - \langle y^2 \rangle_c \right)^2 + 4 \langle xy \rangle_c^2 \right]^{1/2} \right\}^{1/2}$$

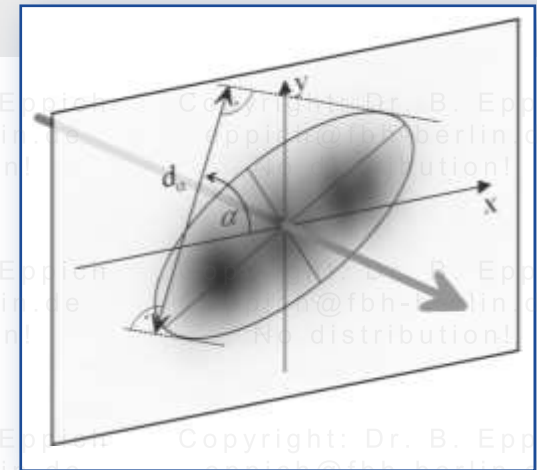
$$D_x = 4\sqrt{\langle x^2 \rangle_c}$$

$$D_y = 4\sqrt{\langle y^2 \rangle_c}$$

$$D_\alpha = 4\sqrt{\cos^2 \alpha \langle x^2 \rangle_c + \sin^2 \alpha \langle y^2 \rangle_c + 2 \sin \alpha \cos \alpha \langle xy \rangle_c}$$



Principal axes



Round beam profile:

$$D_x = D_y = D'_x = D'_y = D_{\min} = D_{\max} \Leftrightarrow \begin{cases} \langle x^2 \rangle_c = \langle y^2 \rangle_c \\ \langle xy \rangle_c = 0 \end{cases}$$

Aligned elliptic beam profile:

$$\varphi = 0 \Leftrightarrow \langle xy \rangle_c = 0$$

Beam classification

Free space propagation:

$$\langle x^2 \rangle_c(z) = \langle x^2 \rangle_c + 2z \langle xu \rangle_c + z^2 \langle u^2 \rangle_c$$

$$\langle xy \rangle_c(z) = \langle xy \rangle_c + z (\langle xv \rangle_c + \langle yu \rangle_c) + z^2 \langle uv \rangle_c$$

$$\langle y^2 \rangle_c(z) = \langle y^2 \rangle_c + 2z \langle yv \rangle_c + z^2 \langle v^2 \rangle_c$$

Necessary condition for $\langle xy \rangle_c(z) = 0$

$$\begin{aligned} \langle xv \rangle_c + \langle yu \rangle_c &= 0 \\ \langle uv \rangle_c &= 0 \end{aligned}$$

Necessary condition for $\langle x^2 \rangle_c(z) = \langle y^2 \rangle_c(z)$

$$\begin{aligned} \langle xu \rangle_c &= \langle yv \rangle_c \\ \langle u^2 \rangle_c &= \langle v^2 \rangle_c \end{aligned}$$

(pseudo) stigmatic beam:

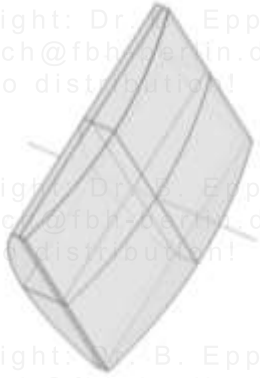
$$\mathbf{P}_{(P)ST} = \begin{pmatrix} \langle x^2 \rangle_c & 0 & \langle xu \rangle_c & \langle xv \rangle_c \\ 0 & \langle x^2 \rangle_c & -\langle xv \rangle_c & \langle xu \rangle_c \\ \langle xu \rangle_c & -\langle xv \rangle_c & \langle u^2 \rangle_c & 0 \\ \langle xv \rangle_c & \langle xu \rangle_c & 0 & \langle u^2 \rangle_c \end{pmatrix}$$

(pseudo) aligned simple astigmatic beam:

$$\mathbf{P}_{(P)ASA} = \begin{pmatrix} \langle x^2 \rangle_c & 0 & \langle xu \rangle_c & \langle xv \rangle_c \\ 0 & \langle y^2 \rangle_c & -\langle xv \rangle_c & \langle yv \rangle_c \\ \langle xu \rangle_c & -\langle xv \rangle_c & \langle u^2 \rangle_c & 0 \\ \langle xv \rangle_c & \langle yv \rangle_c & 0 & \langle v^2 \rangle_c \end{pmatrix}$$

“pseudo” $\Leftrightarrow \langle xv \rangle_c \neq 0$

Phase paraboloid



$$S = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ -\frac{\cos^2 \alpha}{f_x} - \frac{\sin^2 \alpha}{f_y} & \cos \alpha \sin \alpha \left(\frac{1}{f_x} - \frac{1}{f_y} \right) & 1 \\ \cos \alpha \sin \alpha \left(\frac{1}{f_x} - \frac{1}{f_y} \right) & -\frac{\sin^2 \alpha}{f_x} - \frac{\cos^2 \alpha}{f_y} & 0 \\ & & 0 & 1 \end{pmatrix}$$

Find f_x , f_y , and α to.... minimize $tr(\mathbf{U}_{out}) = \langle u^2 \rangle_c + \langle v^2 \rangle_c$

$$a = \frac{\langle y^2 \rangle \langle xu \rangle (\langle x^2 \rangle + \langle y^2 \rangle) - \langle xy \rangle^2 (\langle xu \rangle - \langle yv \rangle) - \langle xy \rangle \langle y^2 \rangle (\langle xv \rangle + \langle yu \rangle)}{(\langle x^2 \rangle + \langle y^2 \rangle) (\langle x^2 \rangle \langle y^2 \rangle - \langle xy \rangle^2)}$$

$$b = \frac{\langle x^2 \rangle \langle y^2 \rangle (\langle xv \rangle + \langle yu \rangle) - \langle xy \rangle (\langle x^2 \rangle \langle yv \rangle + \langle y^2 \rangle \langle xu \rangle)}{(\langle x^2 \rangle + \langle y^2 \rangle) (\langle x^2 \rangle \langle y^2 \rangle - \langle xy \rangle^2)}$$

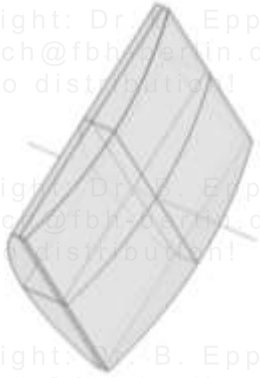
$$c = \frac{\langle x^2 \rangle \langle yv \rangle (\langle x^2 \rangle + \langle y^2 \rangle) + \langle xy \rangle^2 (\langle xu \rangle - \langle yv \rangle) - \langle xy \rangle \langle x^2 \rangle (\langle xv \rangle + \langle yu \rangle)}{(\langle x^2 \rangle + \langle y^2 \rangle) (\langle x^2 \rangle \langle y^2 \rangle - \langle xy \rangle^2)}$$

$$R'_x = \frac{2}{(a+c) + \mu \sqrt{(a-c)^2 + 4b^2}}$$

$$R'_y = \frac{2}{(a+c) - \mu \sqrt{(a-c)^2 + 4b^2}}$$

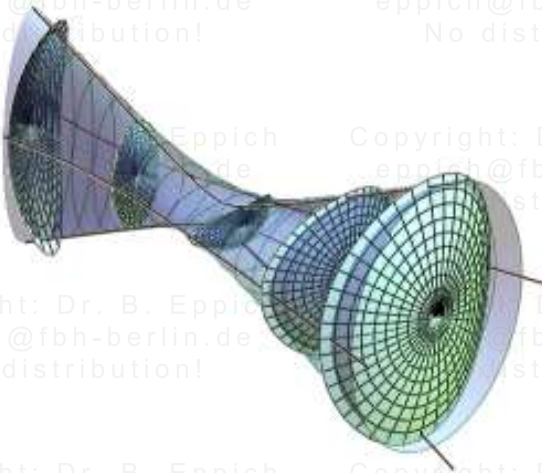
$$\varphi_P = \frac{1}{2} \operatorname{atan} \left(\frac{2b}{a-c} \right) \quad \mu = \operatorname{sgn}(a-c)$$

Phase paraboloid



$$\mathbf{S} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ -\frac{\cos^2 \alpha}{f_x} - \frac{\sin^2 \alpha}{f_y} & \cos \alpha \sin \alpha \left(\frac{1}{f_x} - \frac{1}{f_y} \right) & 1 \\ \cos \alpha \sin \alpha \left(\frac{1}{f_x} - \frac{1}{f_y} \right) & -\frac{\sin^2 \alpha}{f_x} - \frac{\cos^2 \alpha}{f_y} & 0 \\ & & 0 & 1 \end{pmatrix}$$

Find f_x , f_y , and α to.... minimize $tr(\mathbf{U}_{out}) = \langle u^2 \rangle_c + \langle v^2 \rangle_c$

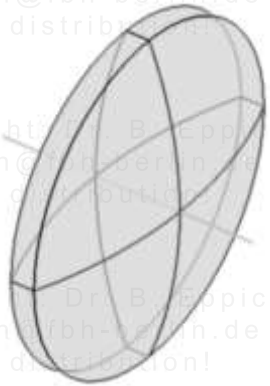


$$R'_x = \frac{2}{(a+c) + \mu \sqrt{(a-c)^2 + 4b^2}}$$

$$R'_y = \frac{2}{(a+c) - \mu \sqrt{(a-c)^2 + 4b^2}}$$

$$\varphi_P = \frac{1}{2} \operatorname{atan} \left(\frac{2b}{a-c} \right)$$

Phase paraboloid



$$\mathbf{S} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ -1/f & 0 & 1 & 0 \\ 0 & -1/f & 0 & 1 \end{pmatrix}$$

Find f to.... minimize $tr(\mathbf{U}_{out}) = \langle u^2 \rangle_c + \langle v^2 \rangle_c$

$$R = f = \frac{\langle x^2 \rangle_c + \langle y^2 \rangle_c}{\langle xu \rangle_c + \langle yv \rangle_c}$$

(best fitting spherical phase front)

The twist parameter

$$\mathbf{P}_{in} = \begin{pmatrix} \langle x^2 \rangle_c & \langle xy \rangle_c & \langle xu \rangle_c & \langle xv \rangle_c \\ \langle xy \rangle_c & \langle y^2 \rangle_c & \langle yu \rangle_c & \langle yv \rangle_c \\ \langle xu \rangle_c & \langle yu \rangle_c & \langle u^2 \rangle_c & \langle uv \rangle_c \\ \langle xv \rangle_c & \langle yv \rangle_c & \langle uv \rangle_c & \langle v^2 \rangle_c \end{pmatrix}_{in}$$

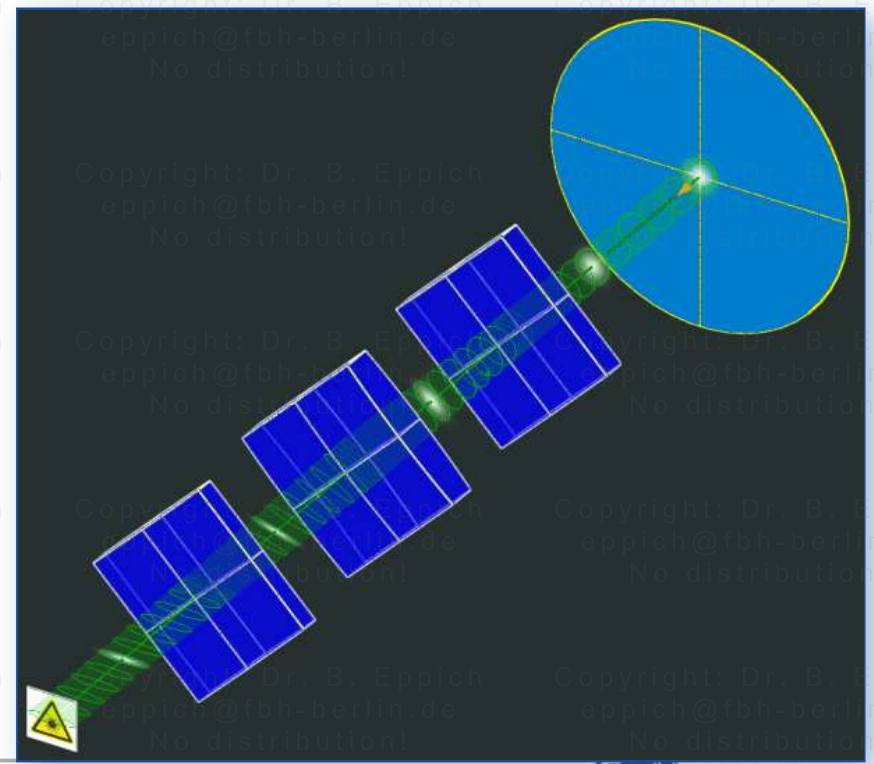
$$\begin{aligned} \langle x^2 \rangle_{out}(z) &= \langle x^2 \rangle_{in} + 2z \langle xu \rangle_{in} + z^2 \langle u^2 \rangle_{in} \\ \langle xy \rangle_{out}(z) &= \langle xy \rangle_{in} + z (\langle xv \rangle_{in} + \langle yu \rangle_{in}) + z^2 \langle uv \rangle_{in} \\ \langle y^2 \rangle_{out}(z) &= \langle y^2 \rangle_{in} + 2z \langle yv \rangle_{in} + z^2 \langle v^2 \rangle_{in} \end{aligned}$$

(free space propagation)

Twist parameter $t = \langle xv \rangle - \langle yu \rangle$



Orbital angular momentum of light



Invariants in general (non-symmetric) systems

From...

$$\mathbf{S} \cdot \mathbf{J} \cdot \mathbf{S}^T = \mathbf{J} \quad , \quad \mathbf{J} = \begin{pmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ -1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \end{pmatrix}$$

(symplecticity)

...the following two invariants can be derived:

The **effective beam propagation ratio**

$$M_{eff}^2 = \frac{4\pi}{\lambda} (\det(\mathbf{P}))^{\frac{1}{4}} \geq 1$$

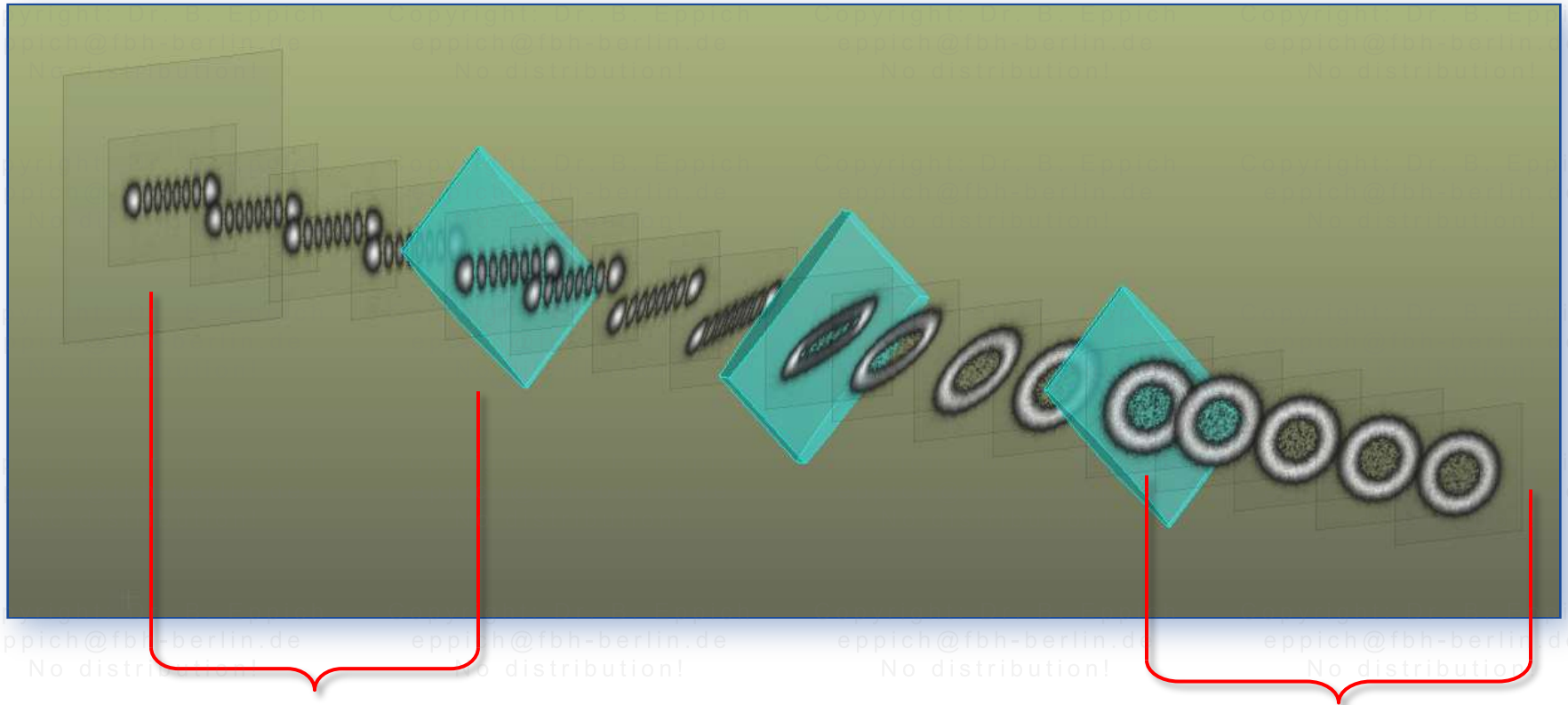
...is a measure of the **focusability** of a beam.

The **intrinsic astigmatism** a

$$a = \langle x^2 \rangle_c \langle u^2 \rangle_c - \langle xu \rangle_c^2 + \langle y^2 \rangle_c \langle v^2 \rangle_c - \langle yv \rangle_c^2 + 2(\langle xy \rangle_c \langle uv \rangle_c - \langle xv \rangle_c \langle yu \rangle_c) - 2 \det(\mathbf{P})$$

...is related to the visible and hidden **astigmatism**

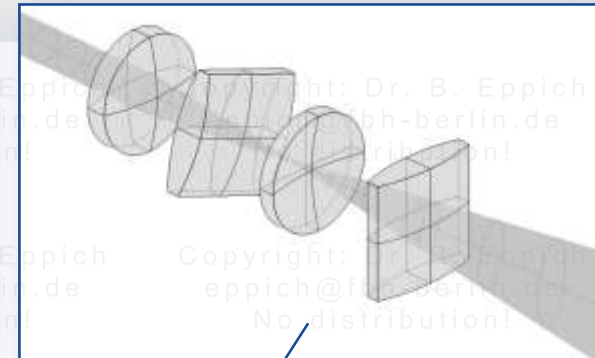
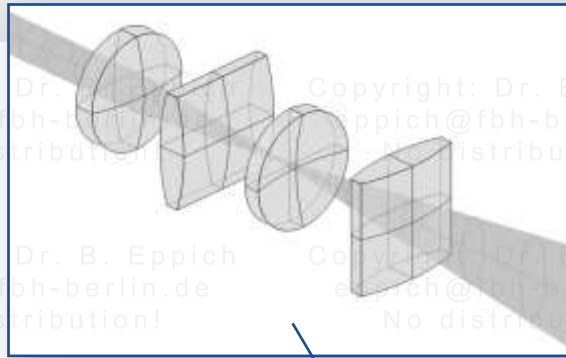
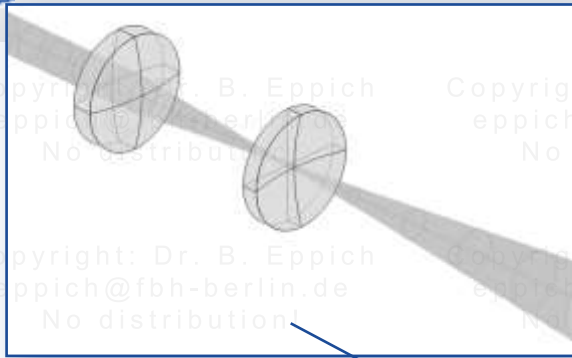
Invariants in general (non-symmetric) systems



$$M_x^2 > M_y^2$$

$$\tilde{M}_x^2 = \tilde{M}_y^2 = \frac{M_x^2 + M_y^2}{2}$$

Invariants in (non-)symmetric systems

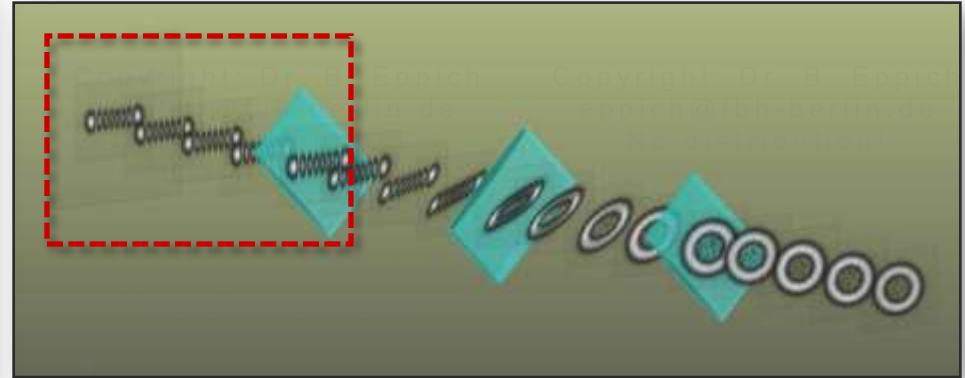


Quantities	Stigmatic systems	Separable systems	General systems
M_x^2, M_y^2	Yes	Yes, if same axes	No
Twist t	Yes	No	No
M_{eff}^2	Yes	Yes	Yes
Intrinsic astigmatism a	Yes	Yes	Yes

Fundamental types (beams of highest symmetry)

Simple astigmatic beam without twist

$$S = \begin{pmatrix} \langle x^2 \rangle & 0 & 0 & 0 \\ 0 & m^2 \langle x^2 \rangle & 0 & 0 \\ 0 & 0 & \langle u^2 \rangle & 0 \\ 0 & 0 & 0 & m^2 \langle u^2 \rangle \end{pmatrix}$$



$$d_y(z) = m \cdot d_x(z) \quad \forall z \quad \theta_y(z) = m \cdot \theta_x(z) \quad \forall z$$

$$t = 0$$

$$M_y^2 = m^2 \cdot M_x^2$$

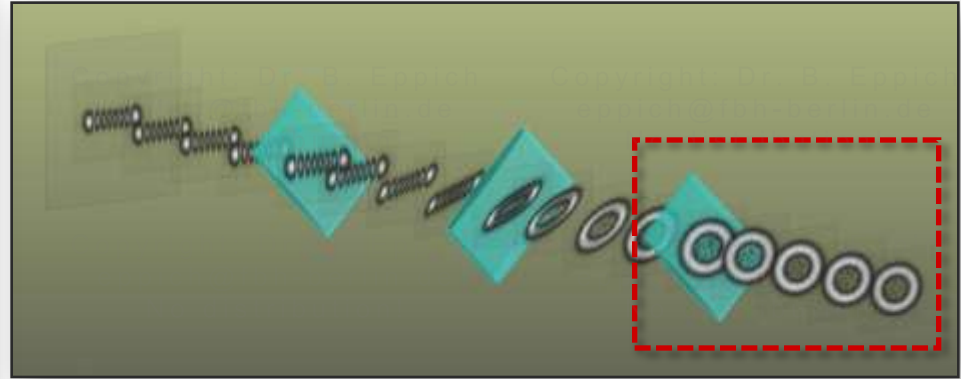
$$M_{eff}^2 = \sqrt{M_x^2 M_y^2} = m M_x^2$$

$$a = \frac{1}{2} (m^2 - 1)^2 (M_x^2)^2$$

Fundamental types (beams of highest symmetry)

Pseudo stigmatic beam with twist

$$S = \begin{pmatrix} \langle x^2 \rangle & 0 & 0 & t/2 \\ 0 & \langle x^2 \rangle & -t/2 & 0 \\ 0 & -t/2 & \langle u^2 \rangle & 0 \\ t/2 & 0 & 0 & \langle u^2 \rangle \end{pmatrix}$$



$$d_y(z) = d_x(z) \quad \forall z$$

$$\theta_y(z) = \theta_x(z) \quad \forall z$$

$$t \neq 0$$

$$M_y^2 = M_x^2$$

$$M_{\text{eff}}^2 = \sqrt{(M_x^2)^2 - \left(\frac{2\pi}{\lambda}\right)^2 t^2}$$

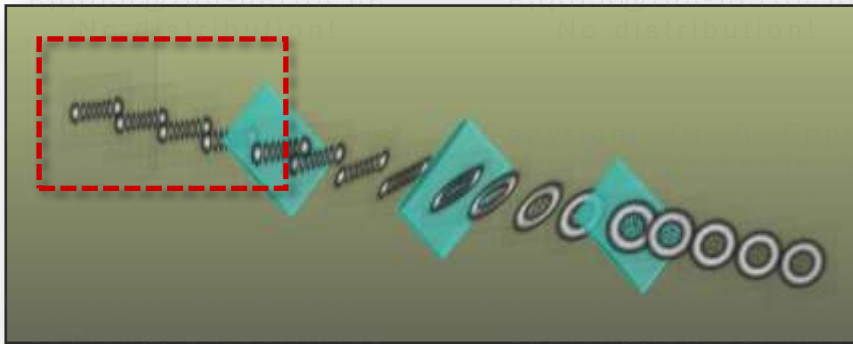
$$a = 2 \left(\frac{2\pi}{\lambda}\right)^2 t^2$$

Fundamental types (beams of highest symmetry)

Measured M_{eff}^2 and a

Beam may be transformed into....

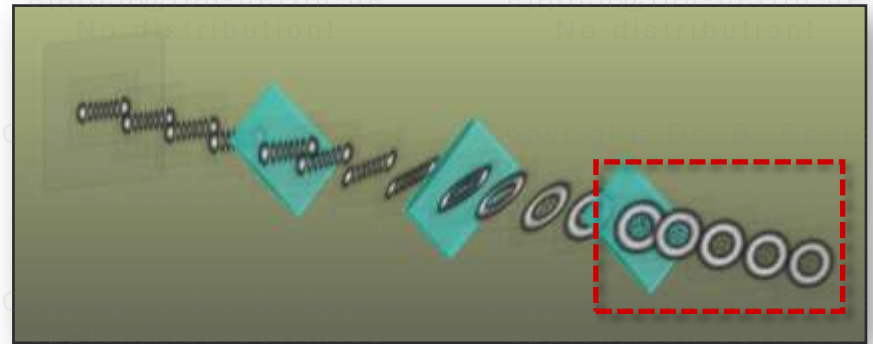
Simple astigmatic beam without twist



$$M_{min}^2 = \sqrt{(M_{eff}^2)^2 + \frac{a}{2}} - \sqrt{\frac{a}{2}}$$

$$M_{max}^2 = \sqrt{(M_{eff}^2)^2 + \frac{a}{2}} + \sqrt{\frac{a}{2}}$$

Pseudo stigmatic beam with twist



$$M_{\alpha}^2 = \sqrt{(M_{eff}^2)^2 + \frac{a}{2}}$$

Intrinsic classification

$$\mathbf{S} = \begin{pmatrix} \langle x^2 \rangle & 0 & 0 & 0 \\ 0 & m^2 \langle x^2 \rangle & 0 & 0 \\ 0 & 0 & \langle u^2 \rangle & 0 \\ 0 & 0 & 0 & m^2 \langle u^2 \rangle \end{pmatrix}$$

$$a = \frac{1}{2} (m^2 - 1)^2 (M_x^2)^2$$

$$M_{eff}^2 = m M_x^2$$

$$d_y(z) = m \cdot d_x(z)$$

For $m \in [0.85...1.15] \rightarrow$ circular profile!

$$a = \frac{1}{2} \frac{(m^2 - 1)^2}{m^2} (M_{eff}^2)^2$$

$$\frac{a}{(M_{eff}^2)^2} = \frac{1}{2} \frac{(m^2 - 1)^2}{m^2}$$

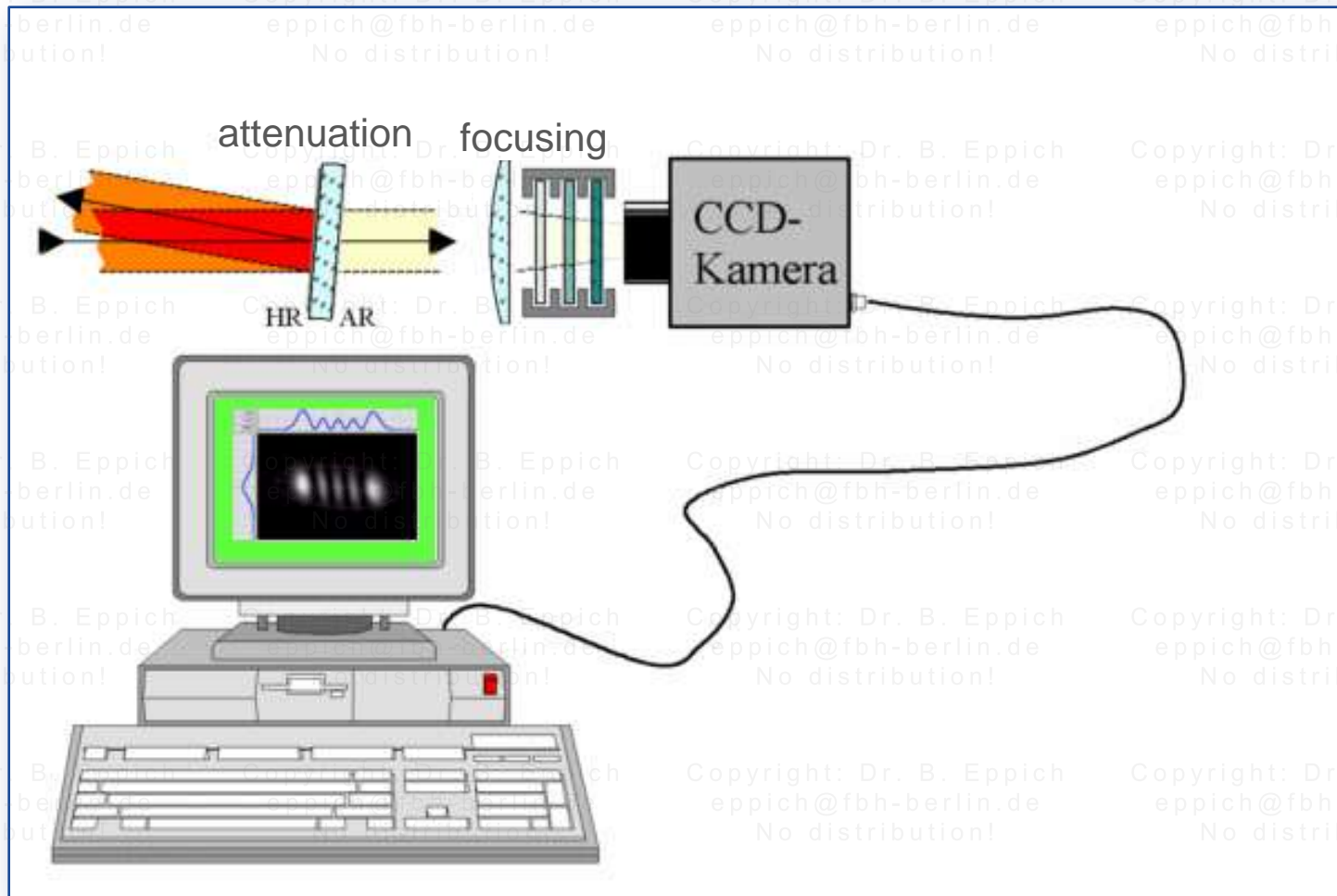
For $\frac{a}{(M_{eff}^2)^2} \leq 0.39 \rightarrow$ intrinsic stigmatic beam!



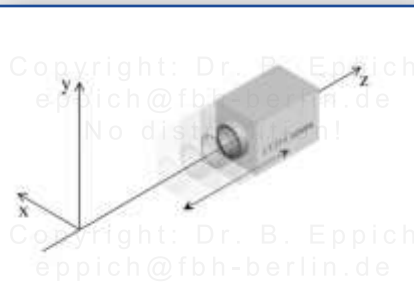
Content

- Motivation
- ISO standard
- Beams and optical systems
- Beam diameter definitions
- Beam classification
- The ten second order moments
- Measurement of the ten second order moments

Beam characterization using matrix detectors



Beam characterization using matrix detectors



$$\begin{aligned}\langle x^2 \rangle_2(z) &= \langle x^2 \rangle_1 + 2z \langle xu \rangle_1 + z^2 \langle u^2 \rangle_1 \\ \langle xy \rangle_2(z) &= \langle xy \rangle_1 + z(\langle xv \rangle_1 + \langle yu \rangle_1) + z^2 \langle uv \rangle_1 \\ \langle y^2 \rangle_2(z) &= \langle y^2 \rangle_1 + 2z \langle yv \rangle_1 + z^2 \langle v^2 \rangle_1\end{aligned}$$

$$I(x, y; z)$$

$$P = \int I(x, y; z) dx dy$$

$$\langle x \rangle(z) = \frac{1}{P} \int I(x, y; z) x dx dy$$

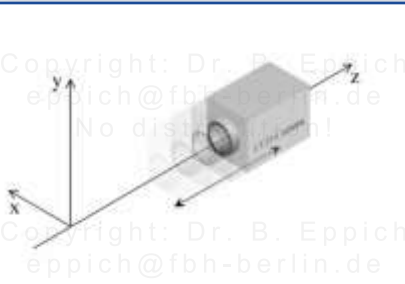
$$\langle y \rangle(z) = \frac{1}{P} \int I(x, y; z) y dx dy$$

$$\langle x^2 \rangle(z) = \frac{1}{P} \int I(x, y; z) (x - \langle x \rangle(z))^2 dx dy$$

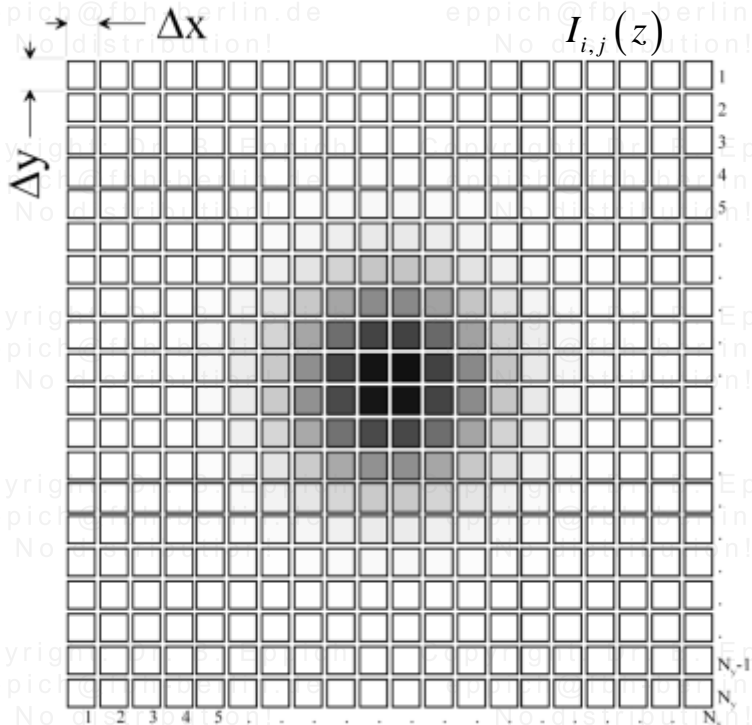
$$\langle xy \rangle(z) = \frac{1}{P} \int I(x, y; z) (x - \langle x \rangle(z))(y - \langle y \rangle(z)) dx dy$$

$$\langle y^2 \rangle(z) = \frac{1}{P} \int I(x, y; z) (y - \langle y \rangle(z))^2 dx dy$$

Beam characterization using matrix detectors

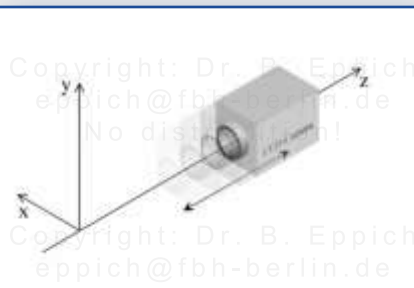


$$\begin{aligned}\langle x^2 \rangle_2(z) &= \langle x^2 \rangle_1 + 2z \langle xu \rangle_1 + z^2 \langle u^2 \rangle_1 \\ \langle xy \rangle_2(z) &= \langle xy \rangle_1 + z(\langle xv \rangle_1 + \langle yu \rangle_1) + z^2 \langle uv \rangle_1 \\ \langle y^2 \rangle_2(z) &= \langle y^2 \rangle_1 + 2z \langle yv \rangle_1 + z^2 \langle v^2 \rangle_1\end{aligned}$$

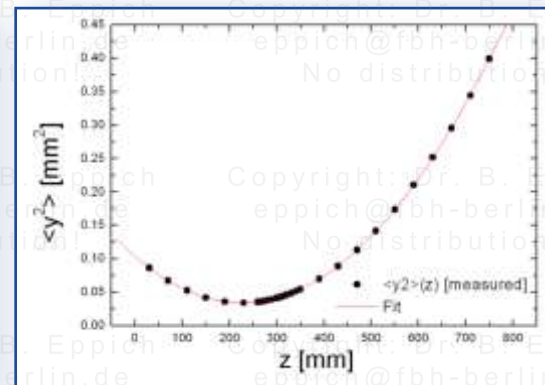
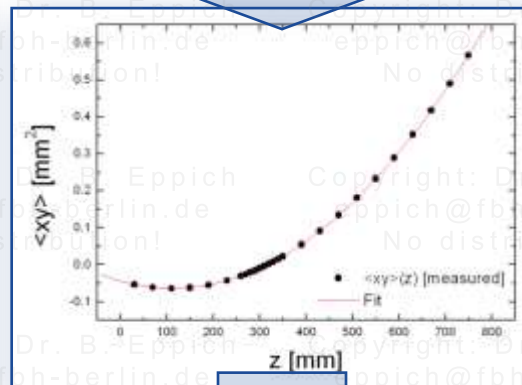
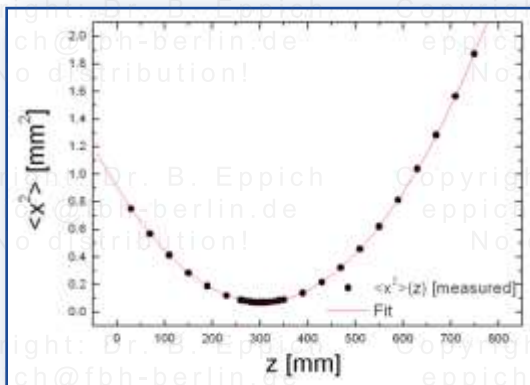


$$\begin{aligned}P &= \Delta x \Delta y \sum_{i,j}^{N_x, N_y} I_{i,j}(z) \\ \langle x \rangle(z) &= \frac{\Delta x^2 \Delta y}{P} \sum_{i,j}^{N_x, N_y} I_{i,j}(z) i \\ \langle y \rangle(z) &= \frac{\Delta x \Delta y^2}{P} \sum_{i,j}^{N_x, N_y} I_{i,j}(z) j \\ \langle x^2 \rangle(z) &= \frac{\Delta x \Delta y}{P} \sum_{i,j}^{N_x, N_y} I_{i,j}(z) (i \Delta x - \langle x \rangle(z))^2 \\ \langle xy \rangle(z) &= \frac{\Delta x \Delta y}{P} \sum_{i,j}^{N_x, N_y} I_{i,j}(z) (i \Delta x - \langle x \rangle(z))(j \Delta y - \langle y \rangle(z)) \\ \langle y^2 \rangle(z) &= \frac{\Delta x \Delta y}{P} \sum_{i,j}^{N_x, N_y} I_{i,j}(z) (j \Delta y - \langle y \rangle(z))^2\end{aligned}$$

Beam characterization using matrix detectors



$$\begin{aligned}\langle x^2 \rangle_2(z) &= \langle x^2 \rangle_1 + 2z \langle xu \rangle_1 + z^2 \langle u^2 \rangle_1 \\ \langle xy \rangle_2(z) &= \langle xy \rangle_1 + z(\langle xv \rangle_1 + \langle yu \rangle_1) + z^2 \langle uv \rangle_1 \\ \langle y^2 \rangle_2(z) &= \langle y^2 \rangle_1 + 2z \langle yv \rangle_1 + z^2 \langle v^2 \rangle_1\end{aligned}$$

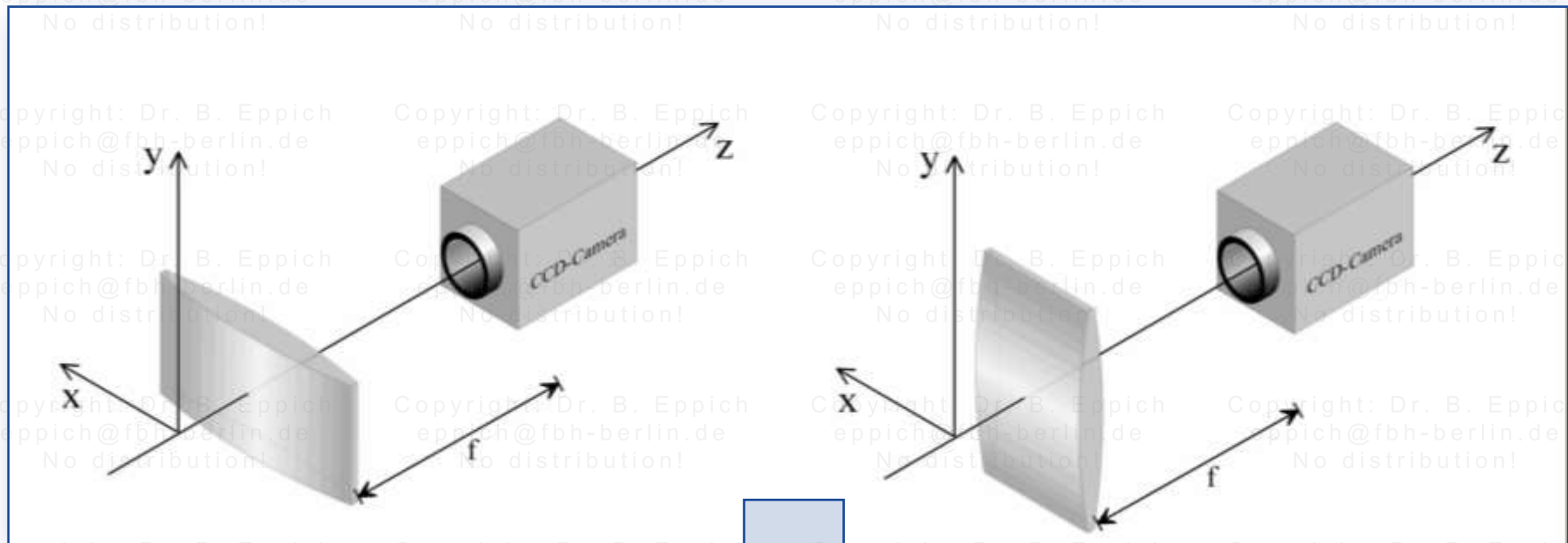


$$\langle x^2 \rangle_1, \langle xu \rangle_1, \langle u^2 \rangle_1, \langle xy \rangle_1, \langle xv \rangle_1 + \langle yu \rangle_1, \langle uv \rangle_1, \langle y^2 \rangle_1, \langle yv \rangle_1, \langle v^2 \rangle_1$$

$\langle xv \rangle_1 - \langle yu \rangle_1$ is missing!

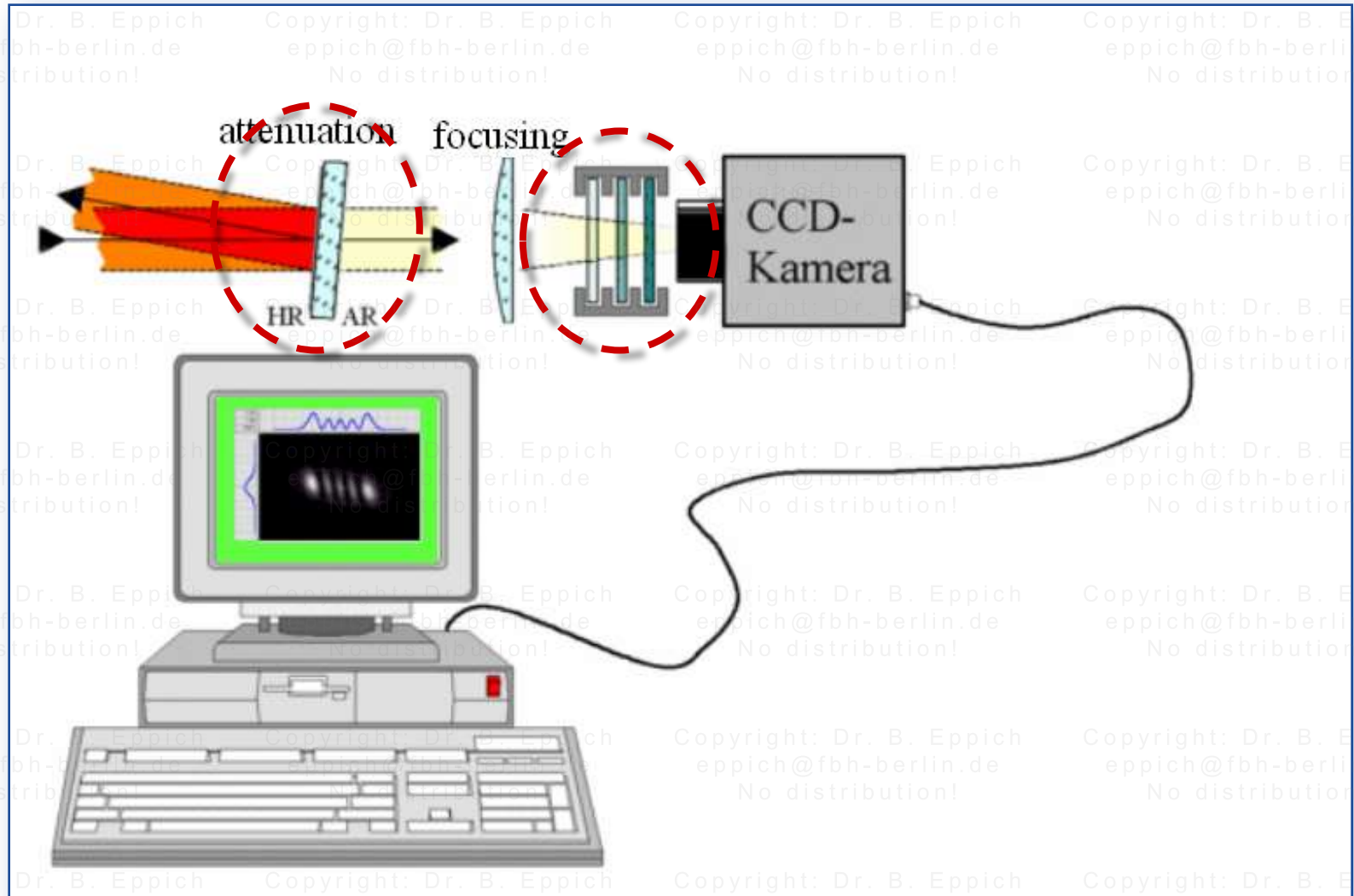
Beam characterization using matrix detectors

Additional measurement necessary:

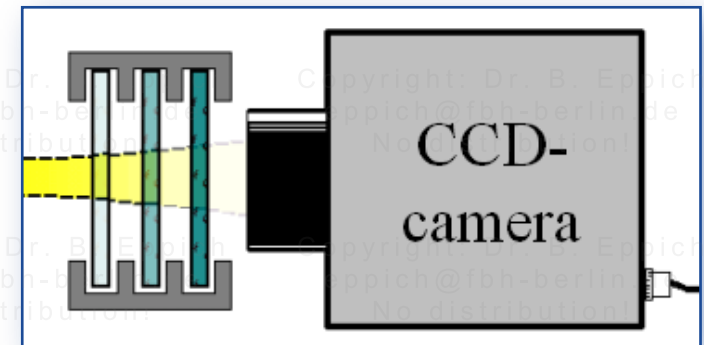
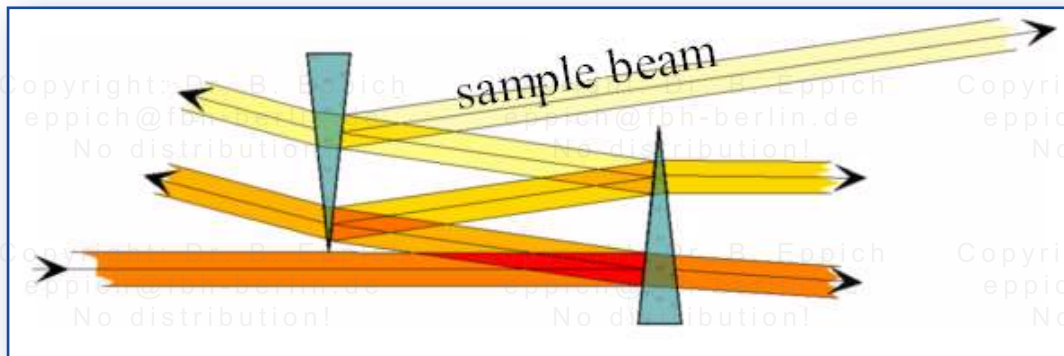
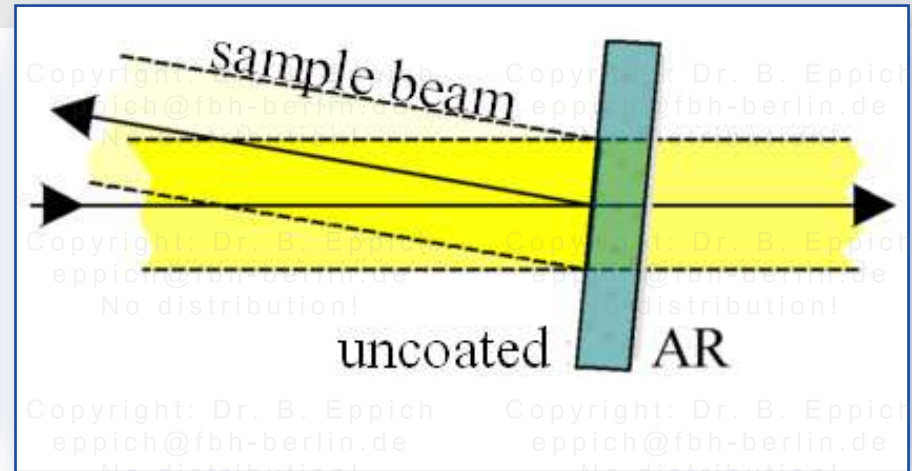
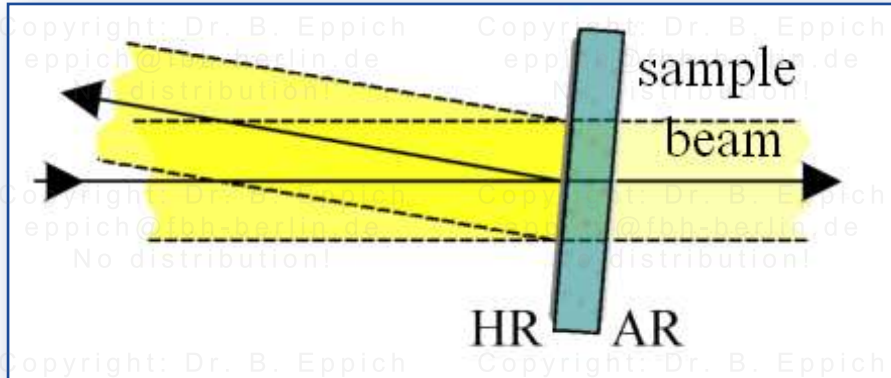


$$\langle xv \rangle_1 - \langle yu \rangle_1 = \frac{\langle xy \rangle_v - \langle xy \rangle_h}{f}$$

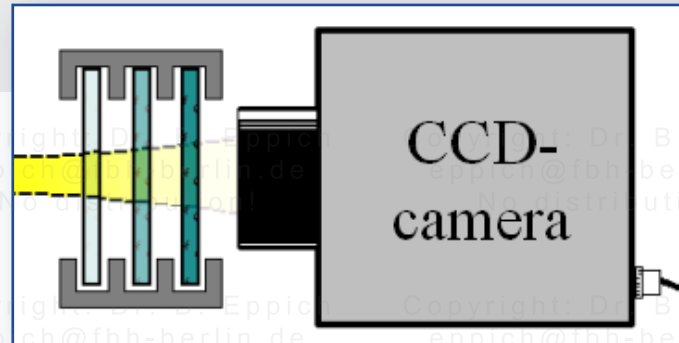
Attenuation



Attenuation



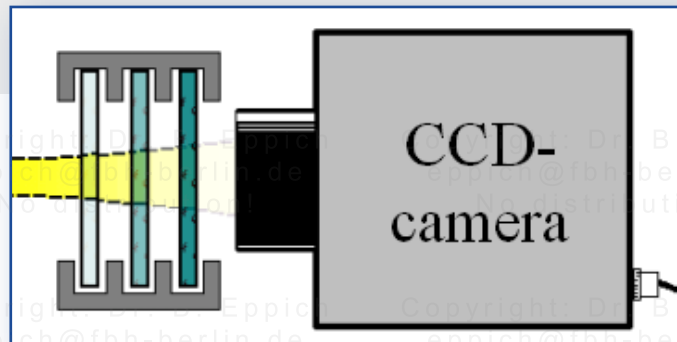
Attenuation



Using absorptive neutral density filters:

- Only for low power (< 100 mW) \rightarrow thermal lens
- Use close to camera
- Order with increasing absorption
- If positioned in non-collimated beam segment \rightarrow keep optical path length constant
- AR coating recommended

Digital cameras

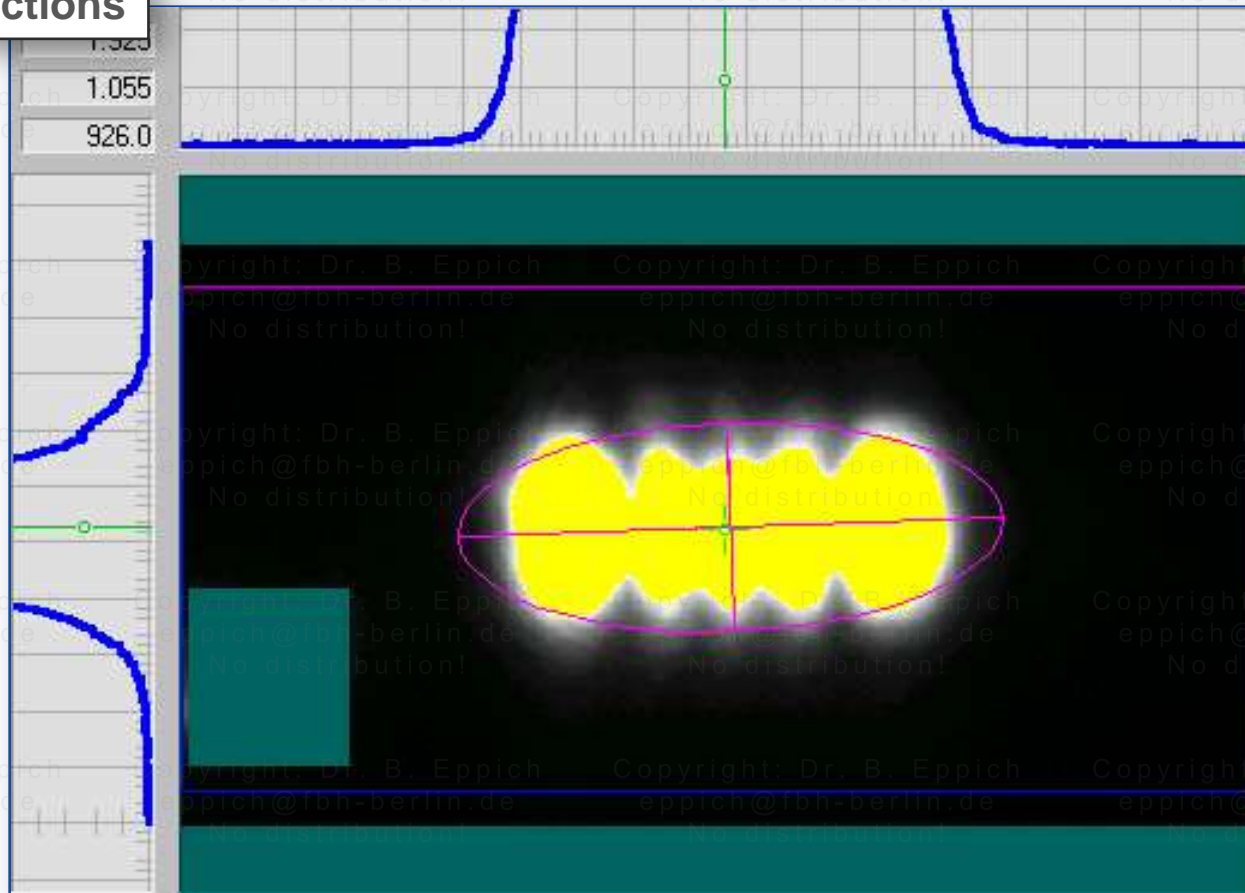


- **CMOS may non-linear! Choose CCD or measure linearity!**
- **Standard have usually two protective glasses:**
 - **On the housing (often a IR and/or UV filter)**
→ get rid of it!
 - **One on the CCD chip → ? Get rid of it (may damage)?**
- **Back reflection of CCD chip may cause artifacts**
- **Intrinsic “auto-corrections”**
- **Pixel defects**
- **Offset homogeneous?**
- **Offset stable in time?**

**Test Your camera!
Know Your camera!**

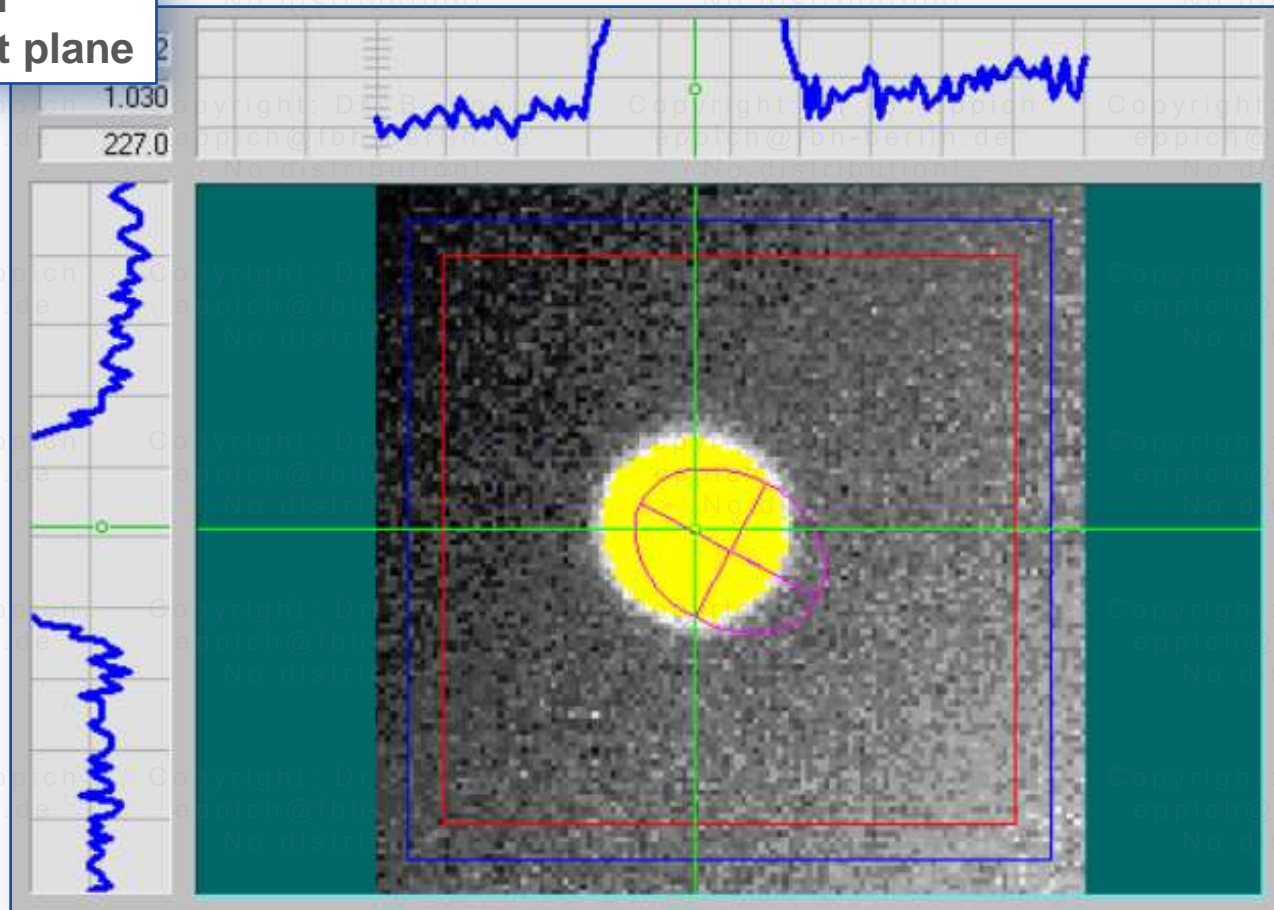
Common CCD camera problems

Reflections



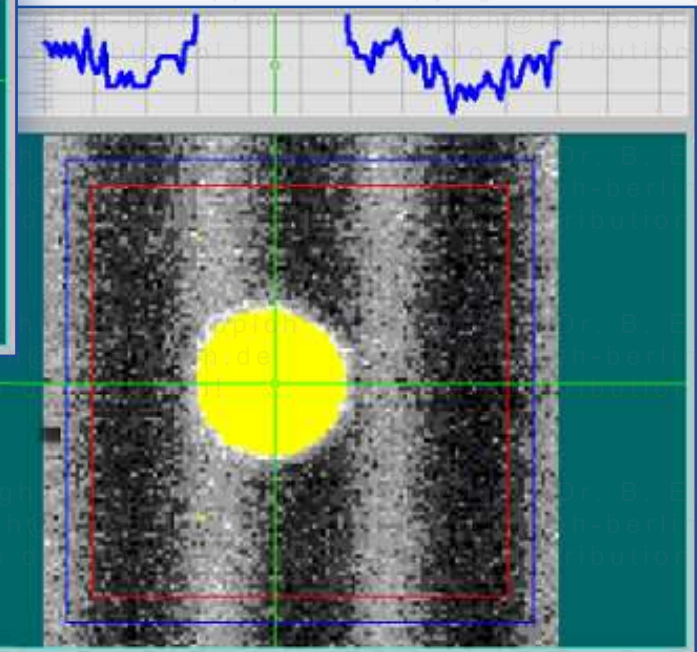
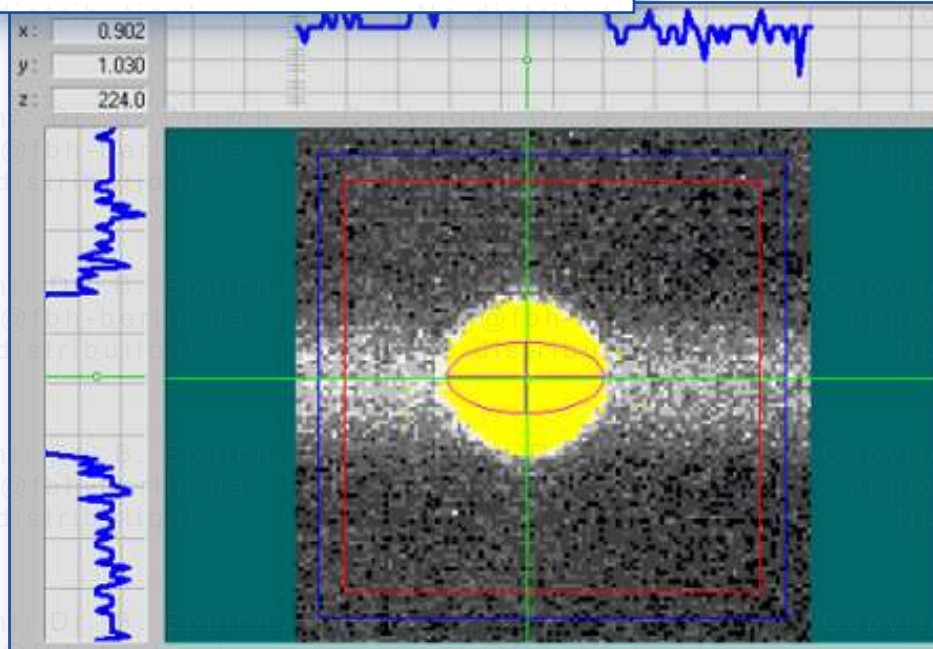
Common CCD camera problems

**Tilt of
offset plane**



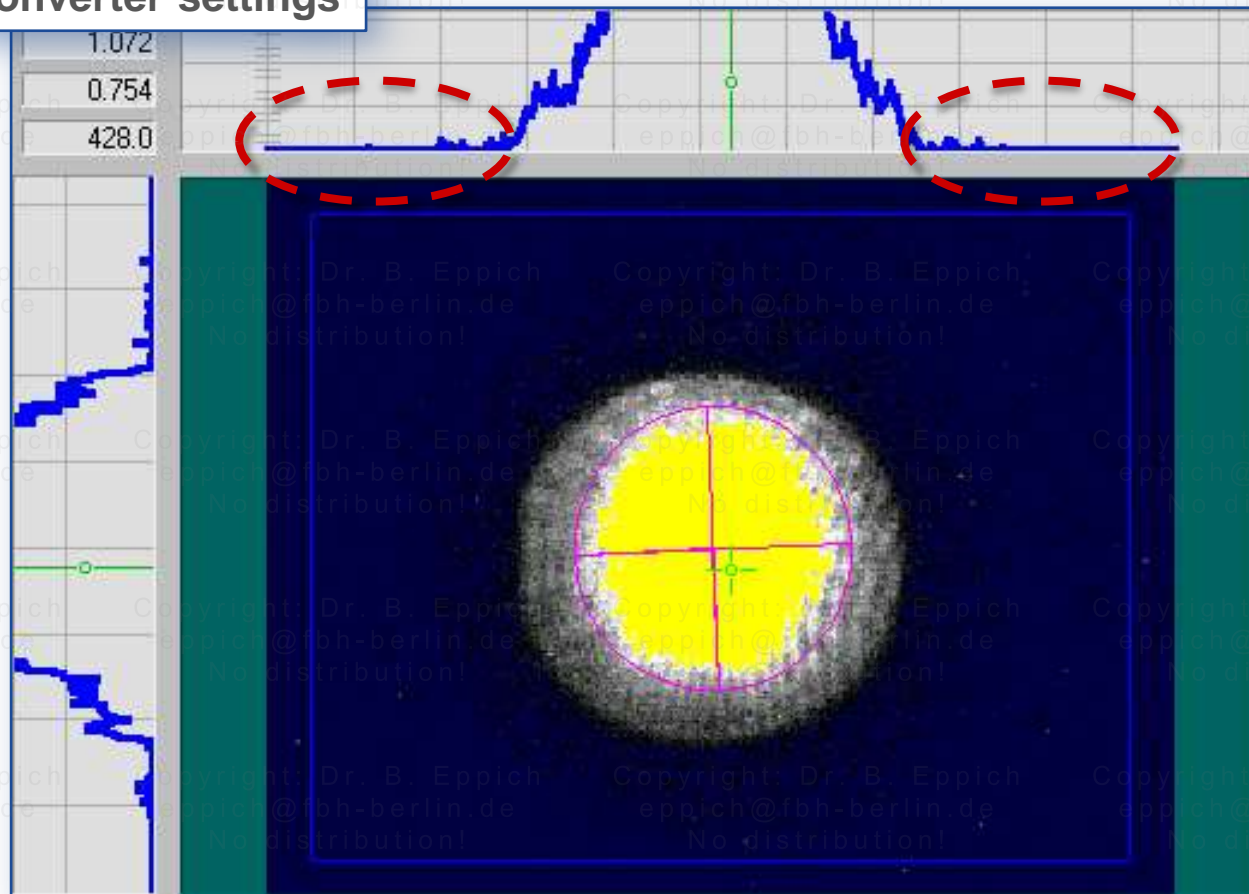
Common CCD camera problems

Horizontal and vertical „waves“

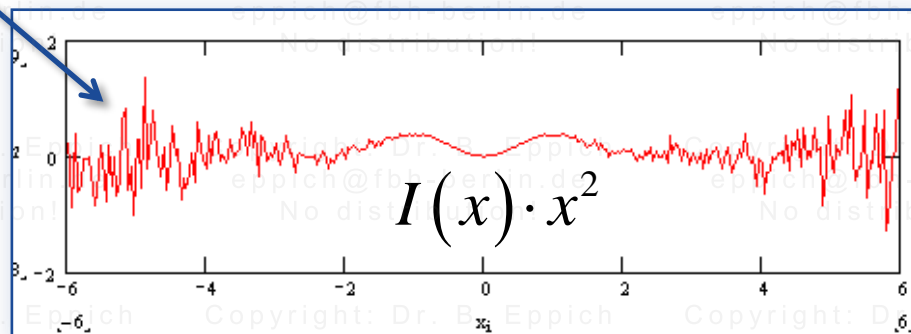
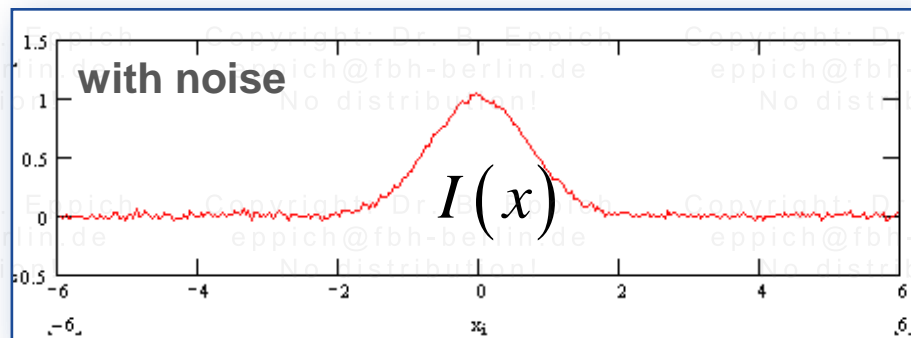
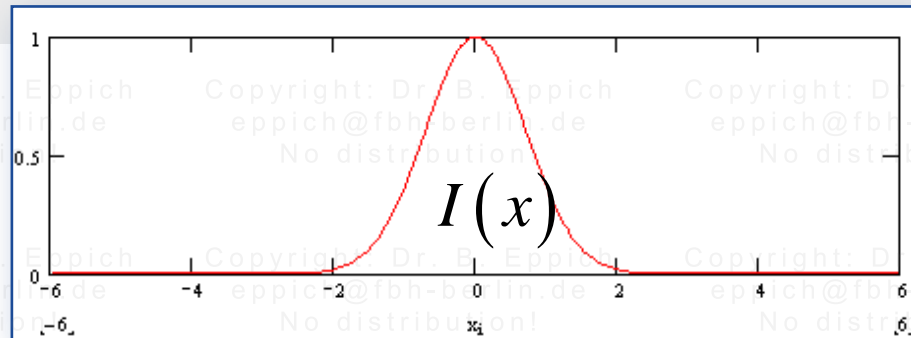


Common CCD camera problems

Bad AD-converter settings

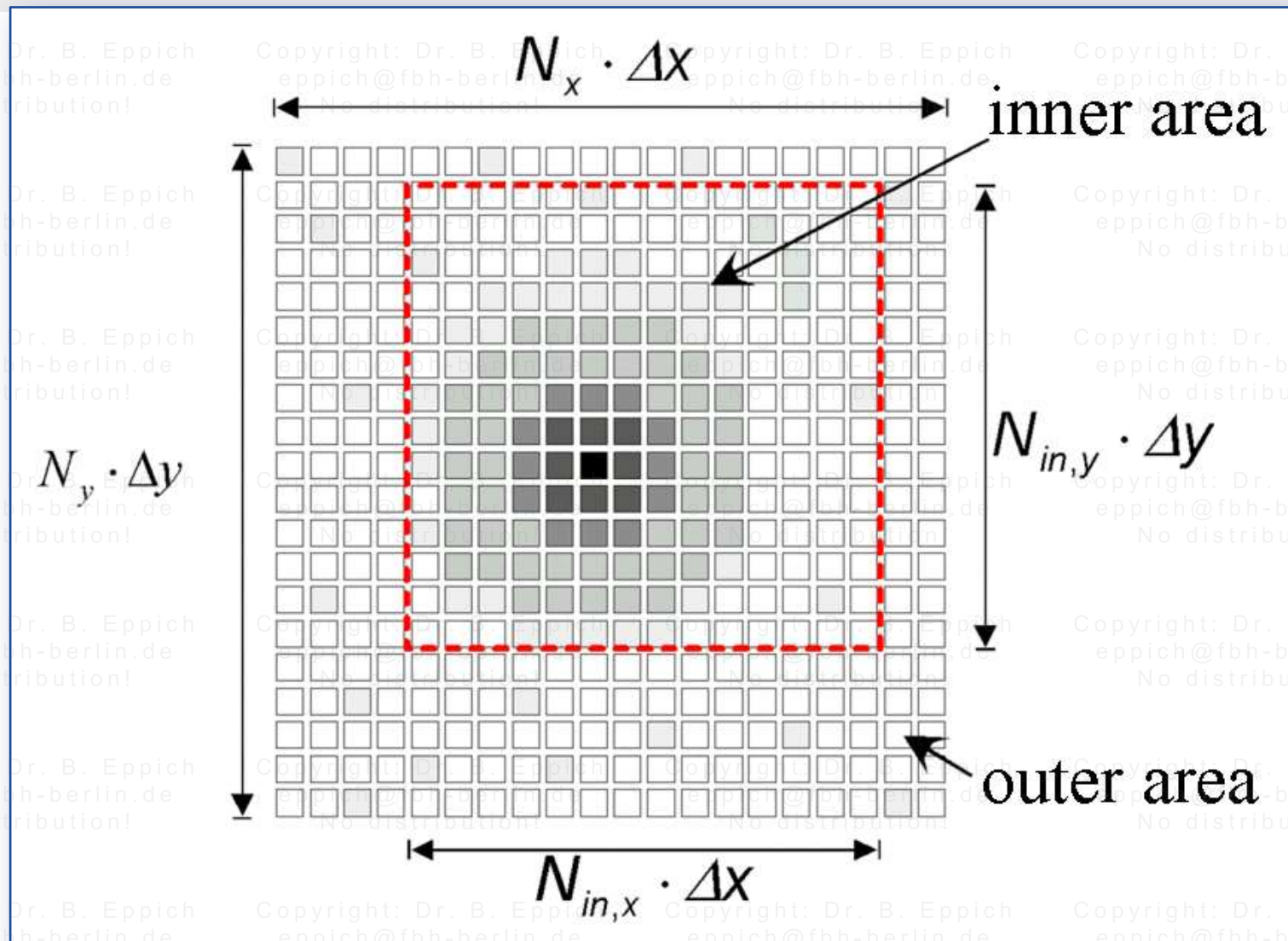


Common CCD camera problems

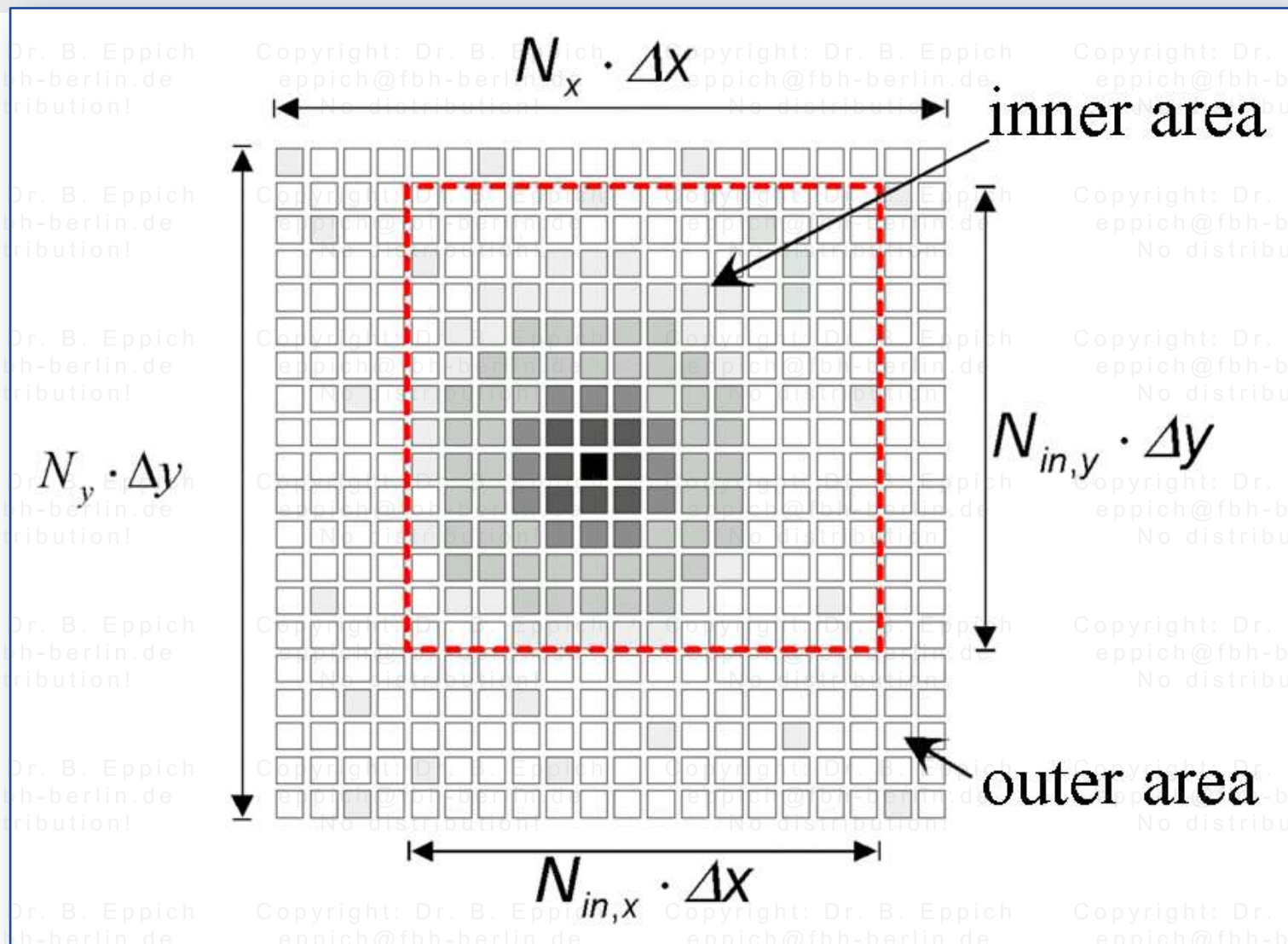


$$d = 4 \sqrt{\frac{\int I(x) x^2 dx}{\int I(x) dx}}$$

Error estimation



Error estimation



Error estimation

Offset:

$$Off = \frac{1}{N_{out}} \sum_{i_x, i_y \in A_{out}} I_{i_x, i_y}$$

Power:

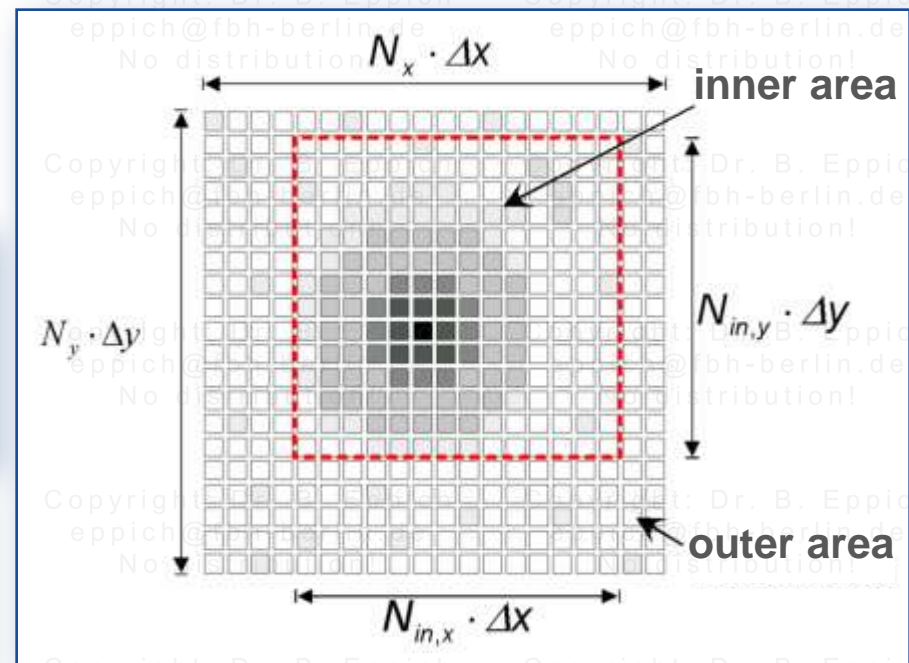
$$P = \int I(x, y) dx dy = \Delta x \Delta y \sum_{i_x, i_y \in A_{in}} (I_{i_x, i_y} - Off)$$

Center of gravity:

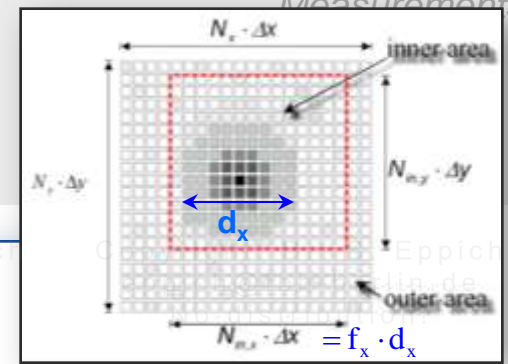
$$\bar{x} = \frac{1}{P} \int I(x, y) x dx dy = \frac{\Delta x \Delta y}{P} \sum_{i_x, i_y \in A_{in}} (I_{i_x, i_y} - Off) x_{ix}$$

Diameter:

$$d_x = 4 \sqrt{\frac{1}{P} \int I(x, y) (x - \bar{x})^2 dx dy} = 4 \sqrt{\frac{\Delta x \Delta y}{P} \sum_{i_x, i_y \in A_{in}} I_{i_x, i_y} (x_{ix} - \bar{x})^2}$$



Error estimation



Error of each pixel value:

$$\sigma_I$$

Signal-noise-ratio:

$$D_{Det} = \frac{I_{sat}}{\sigma_I}$$

„average“ intensity:

$$I_{ave} = \frac{\text{beam power}}{\text{beam area}} = \frac{P}{d_x d_y}$$

Signal „dynamic“:

$$D_{Sig} = \frac{\text{max.intensity}}{\text{ave.intensity}} = \frac{I_{max}}{I_{ave}}$$

Width/height of integration area:

$$f_x = \frac{N_{in,x} \Delta x}{d_x} \quad f_y = \frac{N_{in,y} \Delta y}{d_y}$$

Error estimation

Rel. error of power:

$$\frac{\sigma_P}{P} \propto f_x f_y \frac{D_{Sig}}{D_{Det}}$$

Rel. error of center of gravity:

$$\frac{\sigma_{\bar{x}}}{d_x} \propto f_x^2 f_y \frac{D_{Sig}}{D_{Det}}$$

Rel. error of diameter:

$$\frac{\sigma_{d_x}}{d_x} \propto (f_x^3) f_y \frac{D_{Sig}}{D_{Det}}$$

Error estimation

Results of error discussion:

- **There is no general error boundary**
- **Statistical error depends on**
 - **signal dynamic**
 - **detector dynamic**
 - **size of integration area**
- **It is important, to choose the integration area as small as possible!**

Integration area determination

Self-converging approach

1. Offset determination in outer area
2. „random“ choice of small central inner area
3. Determination of Diameter in inner area
4. New choice of inner area as a multiple of obtained diameter
5. Continuation at clause 3. until convergence.

Integration area determination

Statistical approach:

- **Choice of outer area**
- **Determination of mean and standard deviation of pixel values in outer area**
- **Determination of inner area as smallest possible rectangle containing all pixel having values larger a multiple of the standard deviation larger than the mean value.**

Averaging and background correction

Advantages of averaging:

- Increase signal-to-noise ratio

To be considered:

- Avoid round-off error → enhance bit depth!
- Signal shall be stable (structure and position of profile)

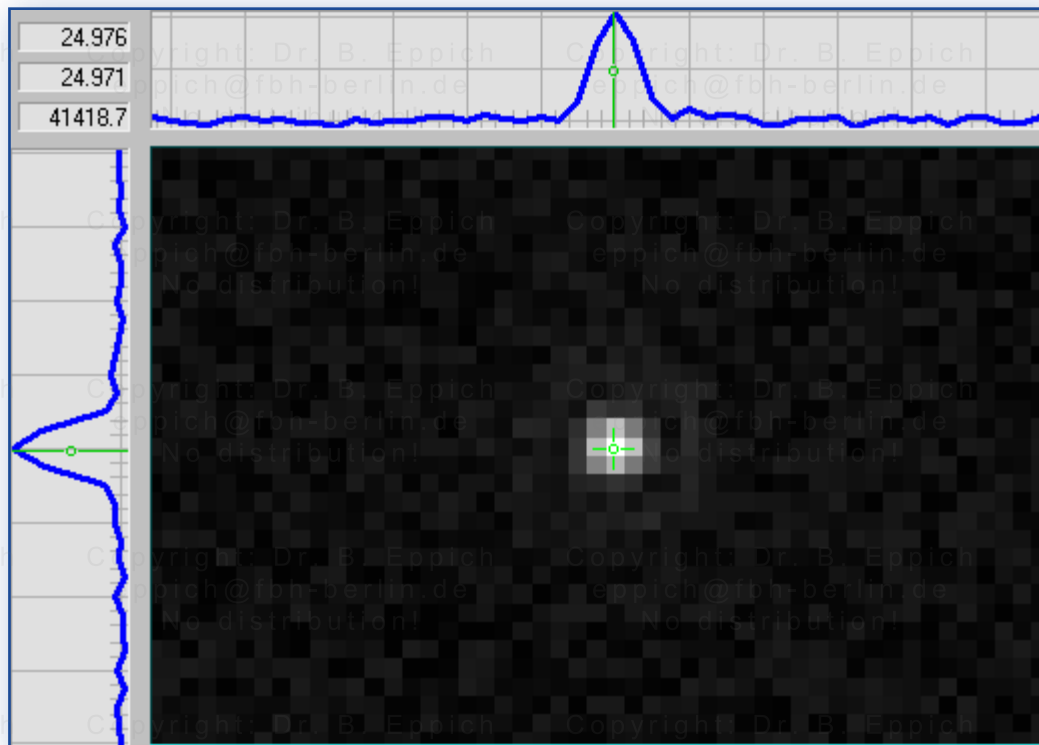
Advantages of background correction:

- Reduce inhomogeneous offset (black level) values
- Eliminate stray light

To be considered:

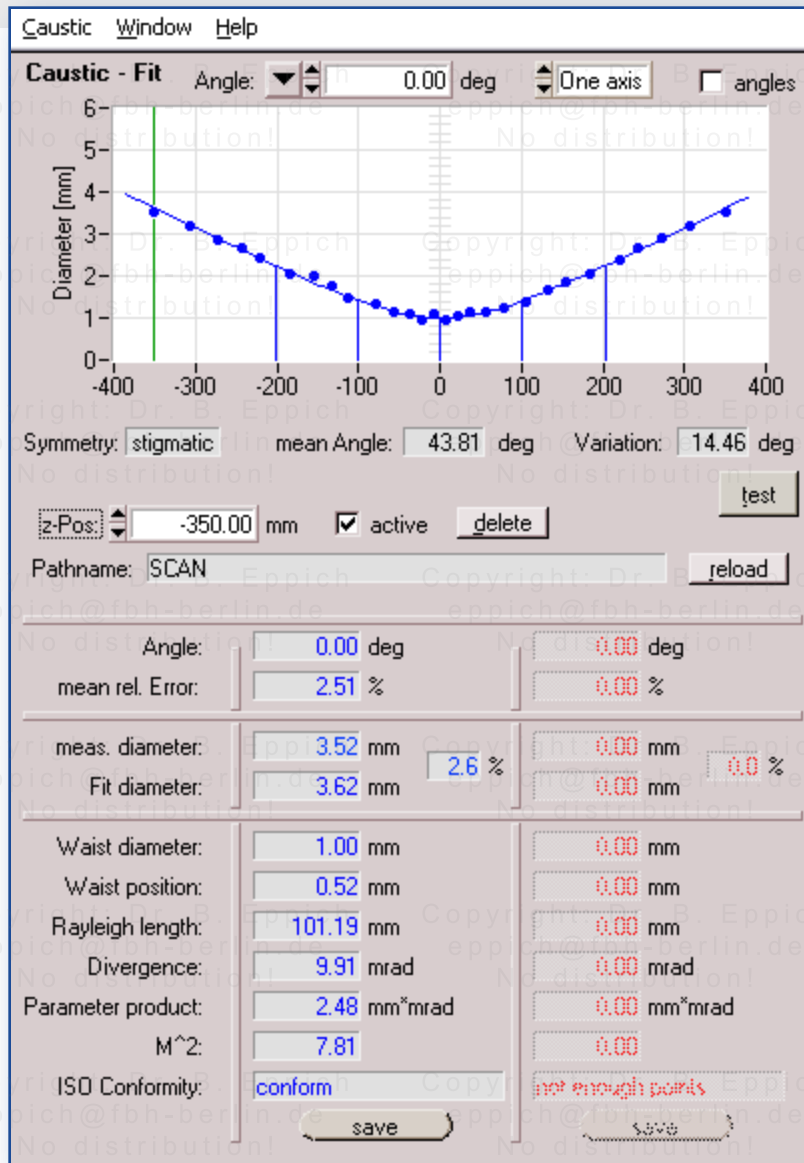
- Signal-to-noise ratio decreases → use averaging!
- Background image may depend on camera settings (integration time, gain, binning,....) → use separate background images!

Other requirements



Number of pixels across beam diameter > 50 !

Other requirements



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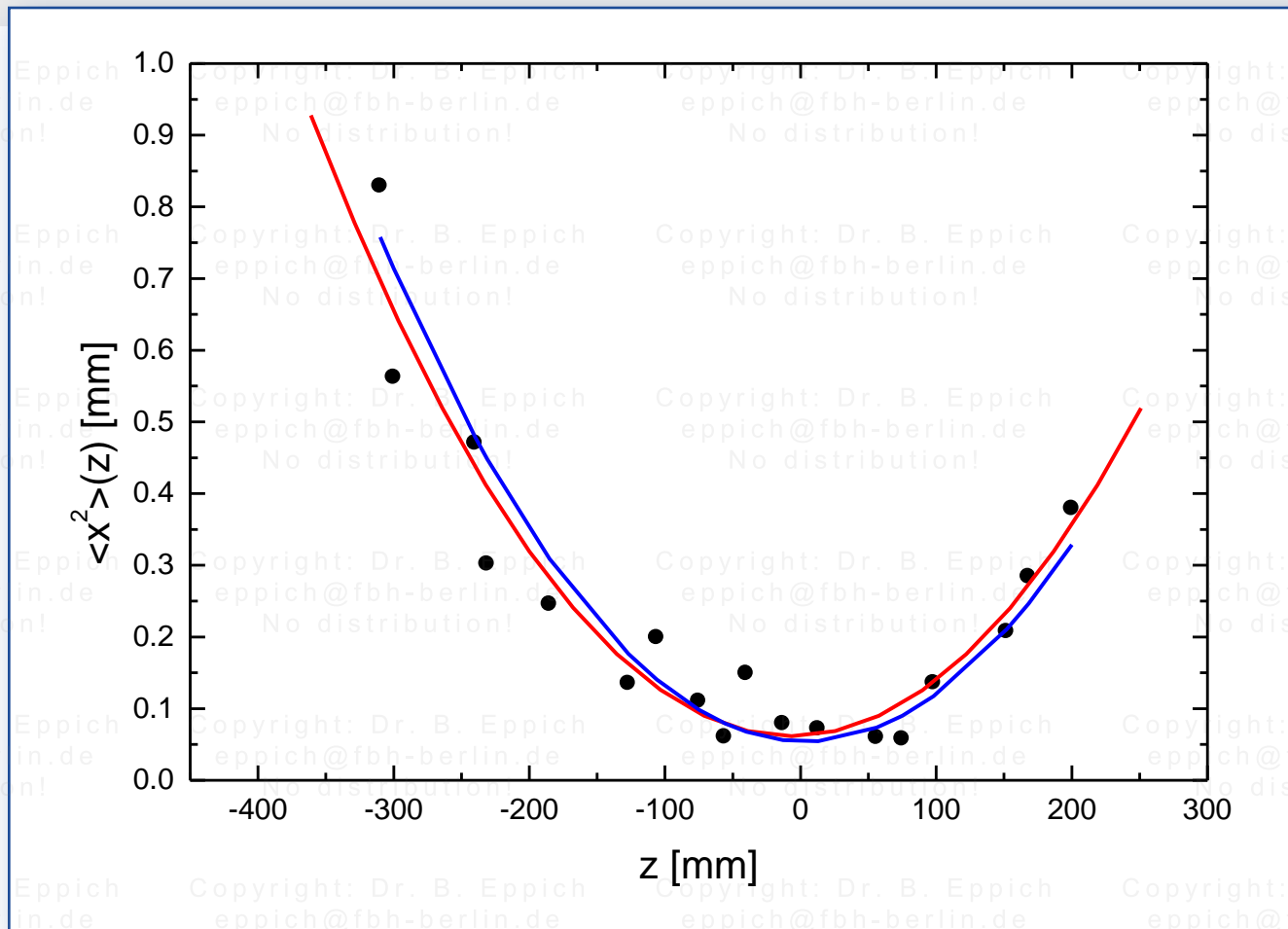
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- **Number of z-positions ≥ 10**
- **approx. half number within one Rayleigh length**
- **approx. half number outside two Rayleigh length**

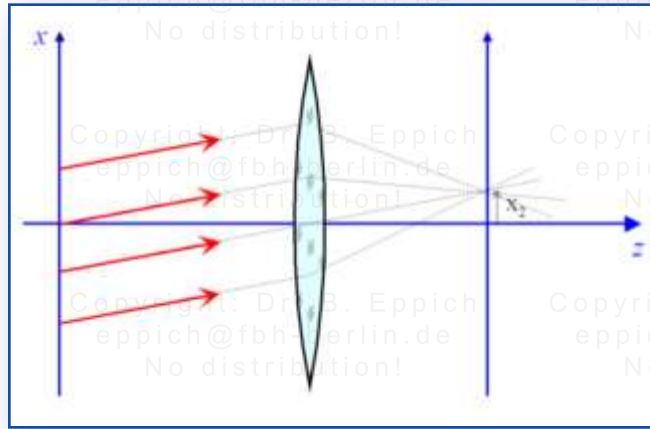
Other requirements



Fitting on poor data may depend on weighing factors!

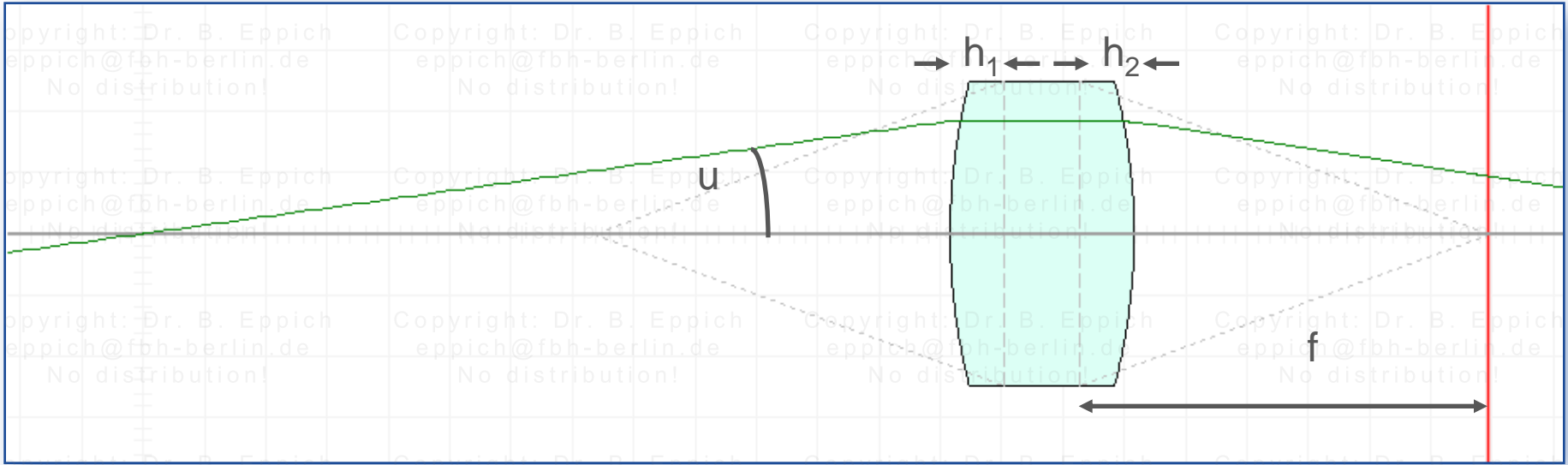
Direct measurement of divergence

Fourier transformer, far field

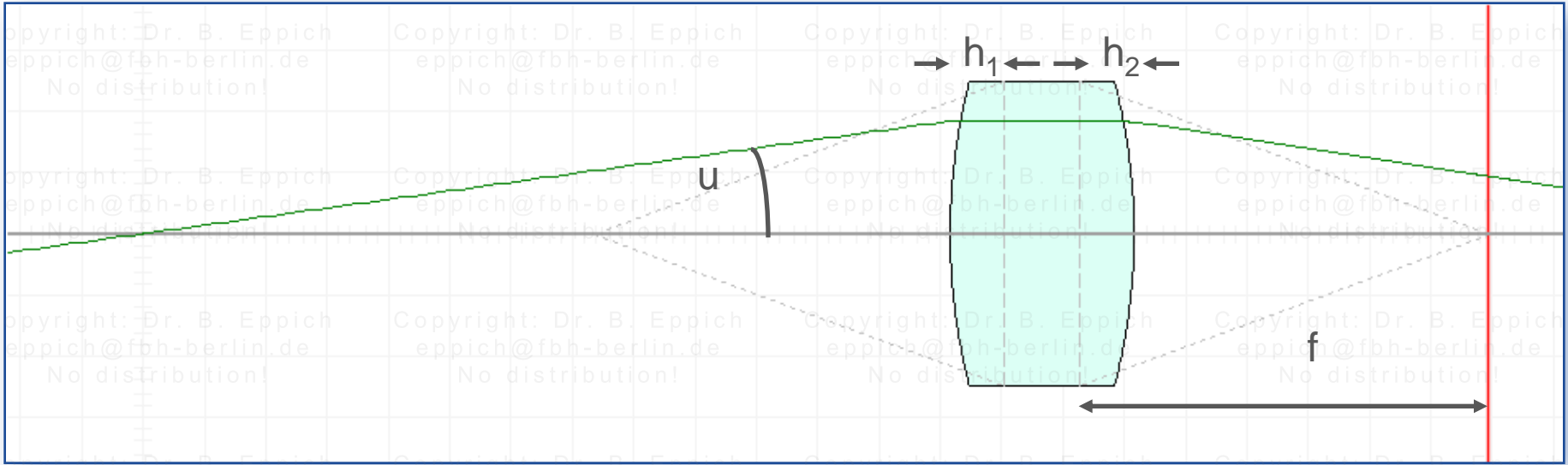


$$S = \begin{pmatrix} 0 & B \\ C & D \end{pmatrix}$$

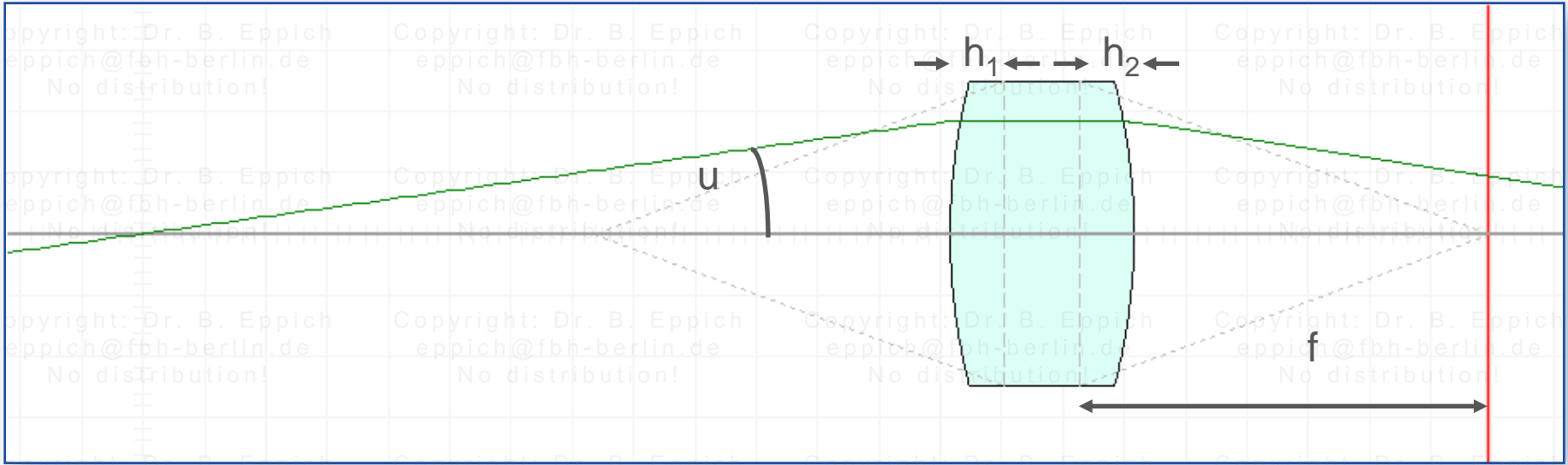
$$\begin{pmatrix} x \\ u \end{pmatrix}_{out} = \begin{pmatrix} 0 & B \\ C & D \end{pmatrix} \cdot \begin{pmatrix} x \\ u \end{pmatrix}_{in} \longrightarrow \begin{pmatrix} x_{out} \\ u_{out} \end{pmatrix} = \begin{pmatrix} B u_{in} \\ C x_{in} + D u_{in} \end{pmatrix}$$



$$S = \begin{pmatrix} 1 & f + h_2 \\ 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} 1 - \frac{h_2}{f} & h_1 + h_2 - \frac{h_1 h_2}{f} \\ -\frac{1}{f} & 1 - \frac{h_1}{f} \end{pmatrix}$$



$$S = \begin{pmatrix} 0 & f \\ -\frac{1}{f} & 1 - \frac{h_1}{f} \end{pmatrix}$$



$$\begin{pmatrix} x_{out} \\ u_{out} \end{pmatrix} = \begin{pmatrix} f u_{in} \\ -\frac{1}{f} x_{in} + \left(1 - \frac{h_1}{f}\right) u_{in} \end{pmatrix}$$

Other types of detectors

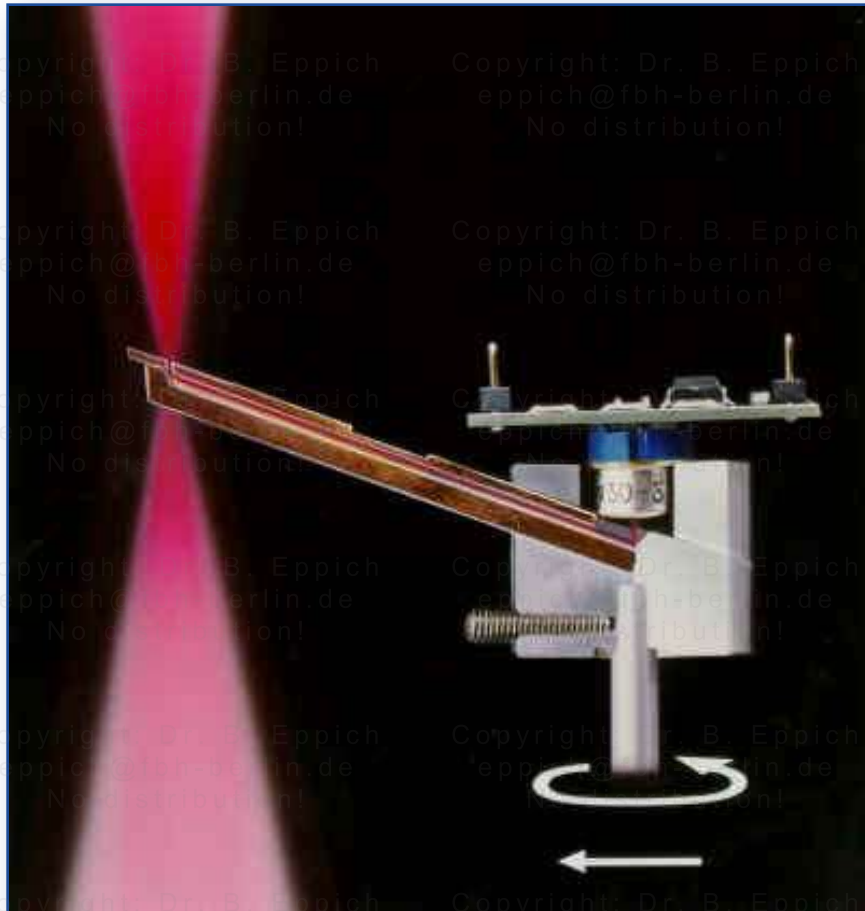
Rotating pin hole

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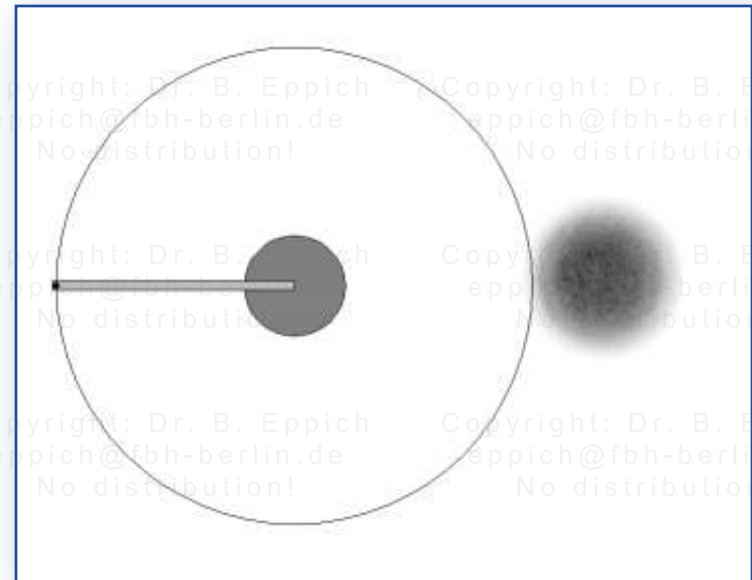
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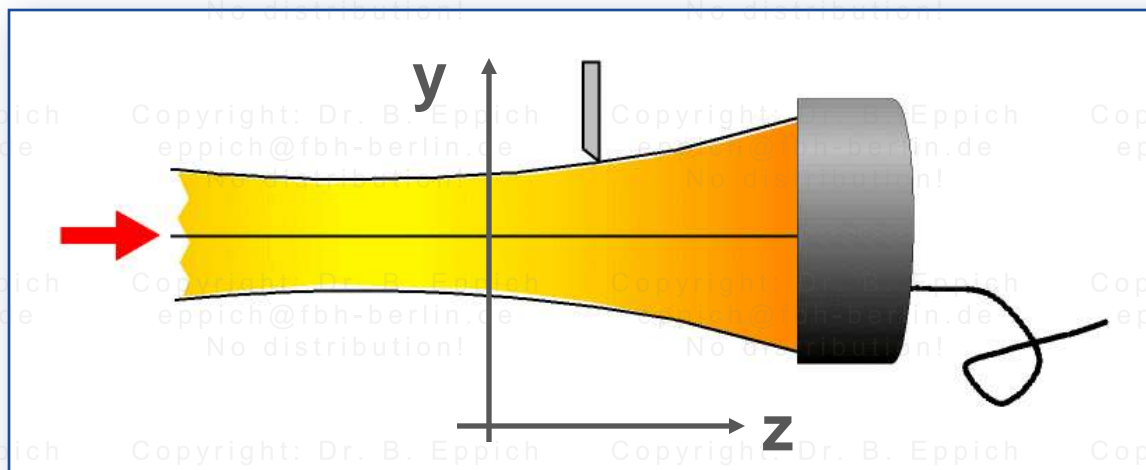
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Other types of detectors

Knife edge methods



$$P(y) = \int_{-\infty}^y \int_{-\infty}^{\infty} I(x', y') dx' dy'$$

$$\langle y^n \rangle = \frac{\int \partial_y P(y) y^n dy}{P(\infty)}$$

Other types of detectors

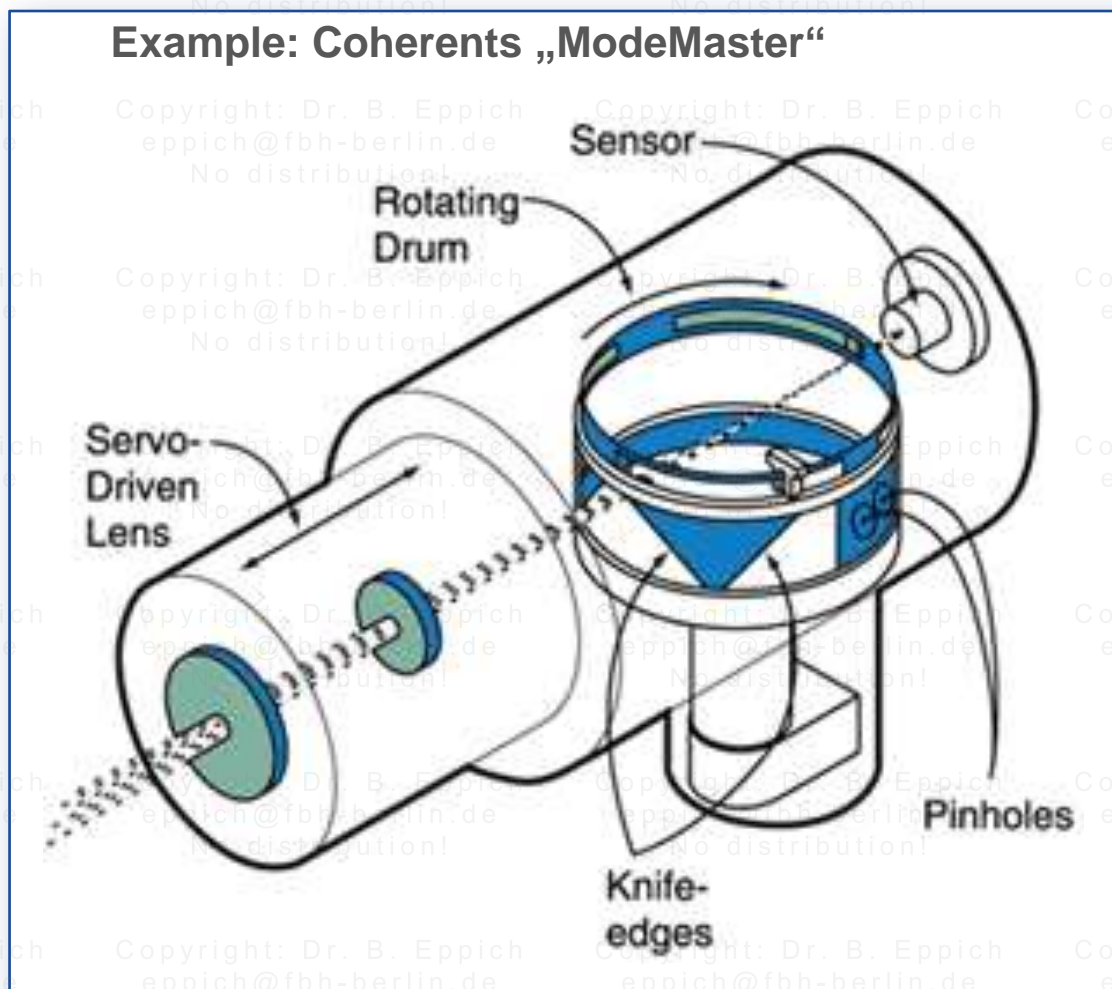
Knife edge methods

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Example: Coherents „ModeMaster“



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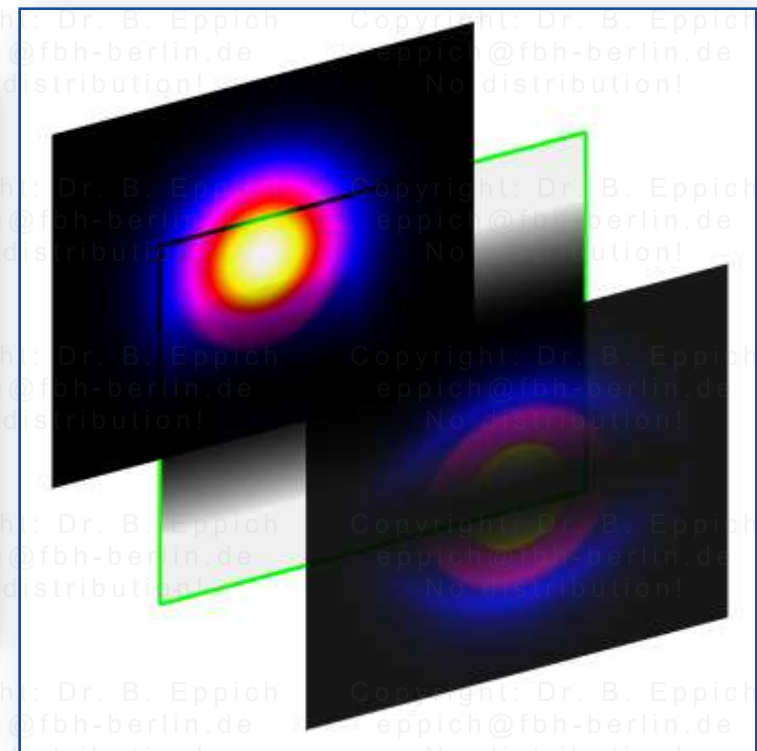
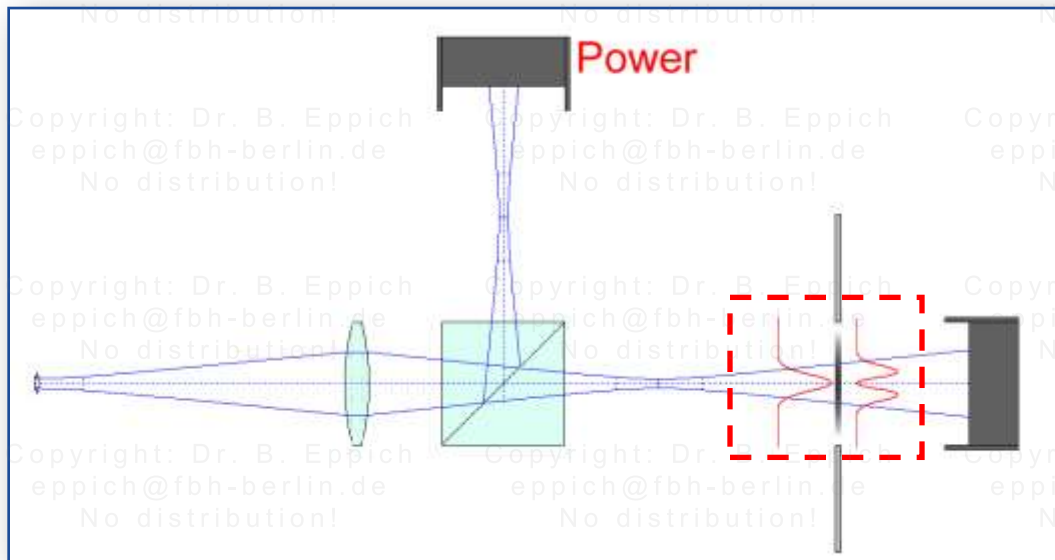
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Other types of detectors

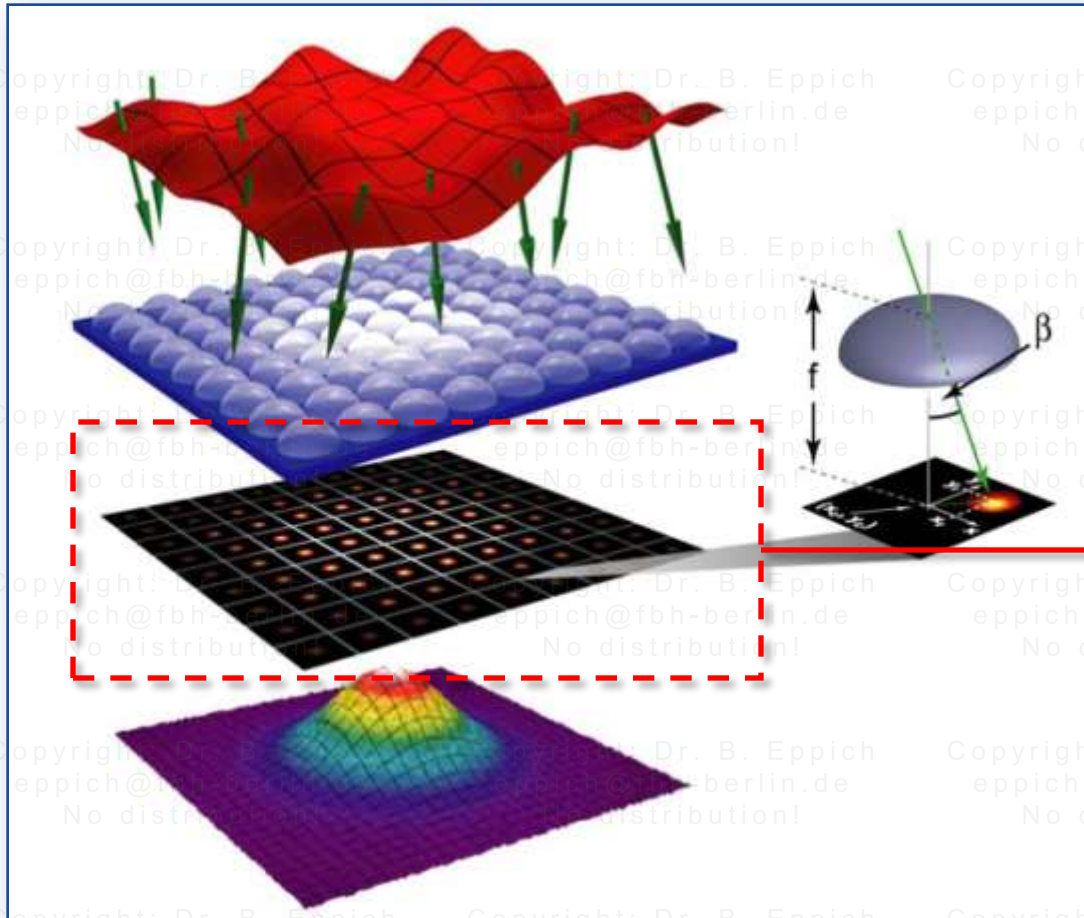
Spatial dependent filters

$$\langle x^2 \rangle = \frac{\int I(x, y) x^2 dx dy}{\int I(x, y) dx dy} \longrightarrow \langle x^2 \rangle = \frac{1}{a} \frac{\int I(x, y) t(x) dx dy}{\int I(x, y) dx dy} \quad \text{with } t(x) = \begin{cases} ax^2 & \text{for } x^2 < 1/a \\ 1 & \text{other} \end{cases}$$



Other types of detectors

Shack-Hartmann sensors



Phase curvature $R_{x,y,\alpha}$

Other types of detectors

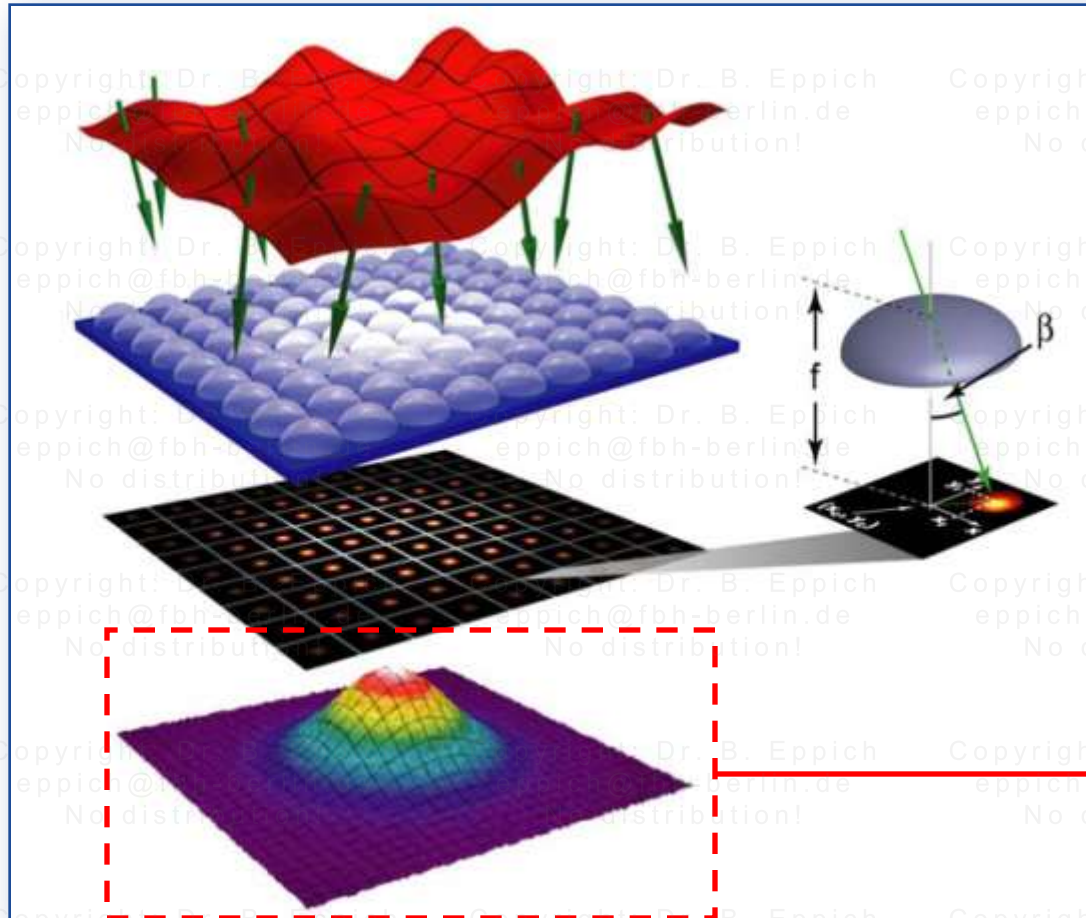
Shack-Hartmann sensors

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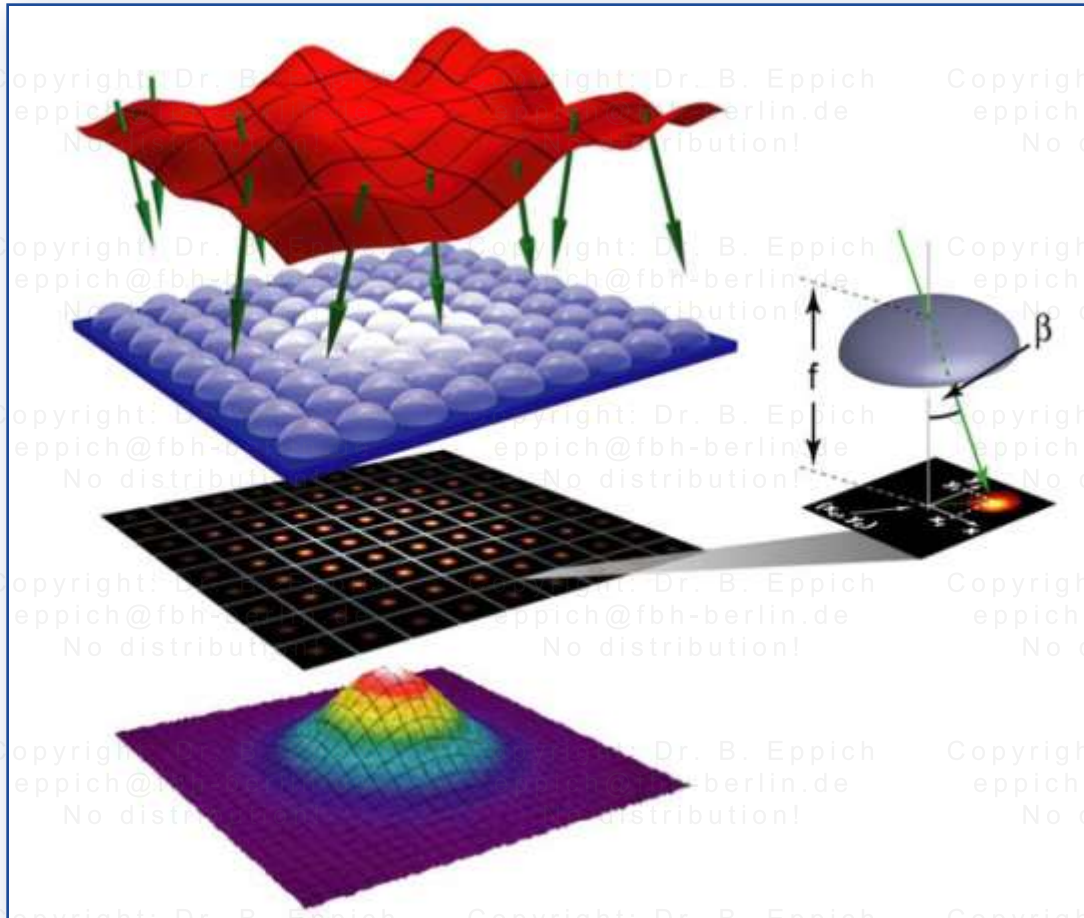


Phase curvature $R_{x,y,\alpha}$

Diameter $D_{x,y,\alpha}$

Other types of detectors

Shack-Hartmann sensors



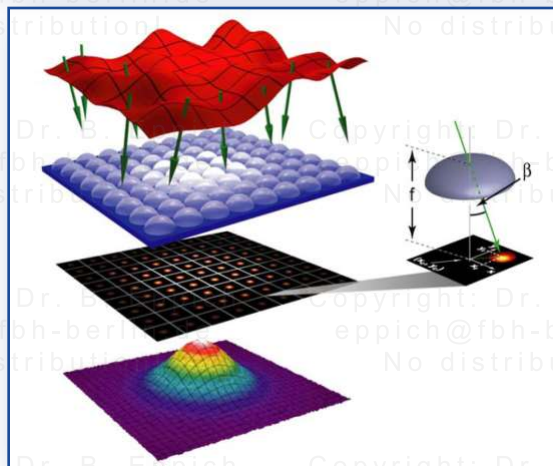
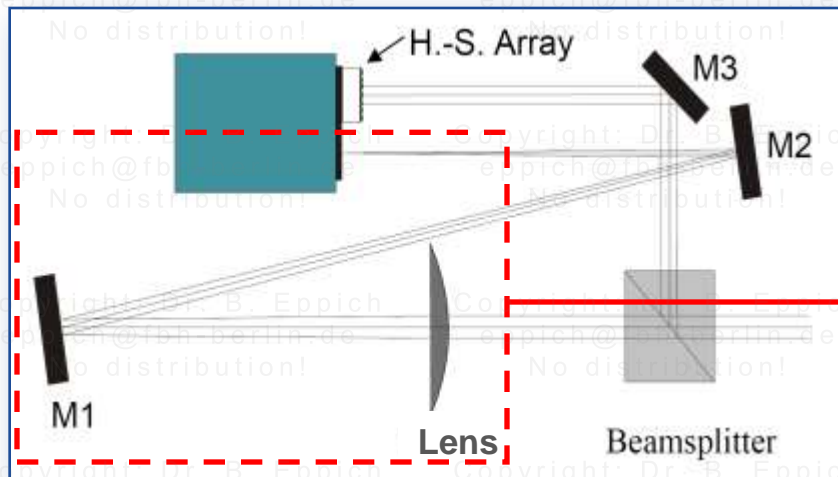
Phase curvature $R_{x,y,\alpha}$

Diameter $D_{x,y,\alpha}$

Third Parameter missing!

Other types of detectors

Shack-Hartmann sensors



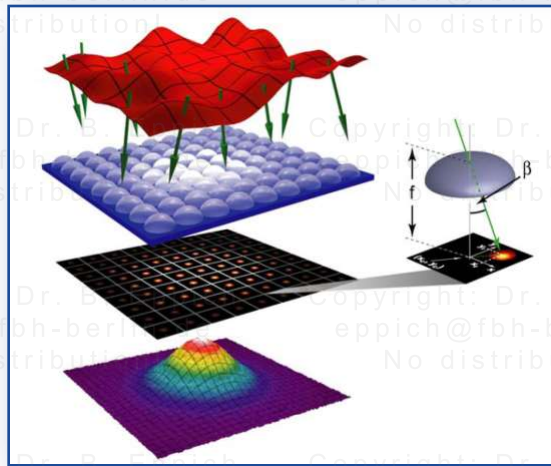
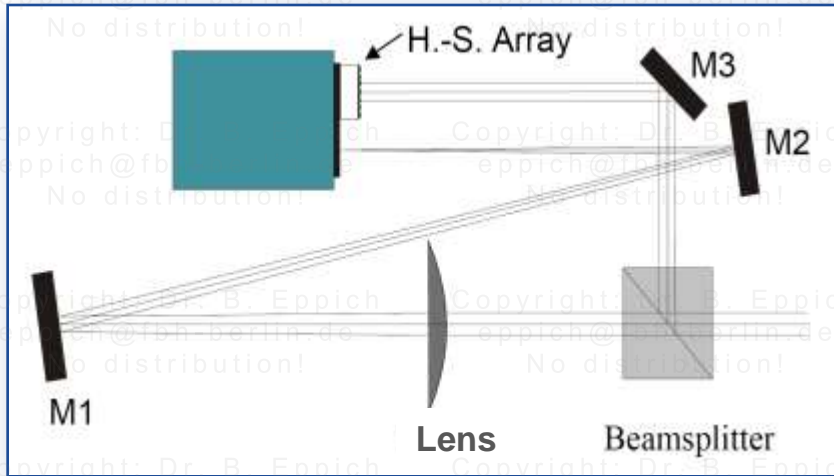
Divergence $\theta_{x,y,\alpha}$

Phase curvature $R_{x,y,\alpha}$

Diameter $D_{x,y,\alpha}$

Other types of detectors

Shack-Hartmann sensors



Divergence $\theta_{x,y,\alpha}$

Phase curvature $R_{x,y,\alpha}$

Diameter $D_{x,y,\alpha}$