

# Probing New Physics through Lepton Flavour Violation

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## Outline:

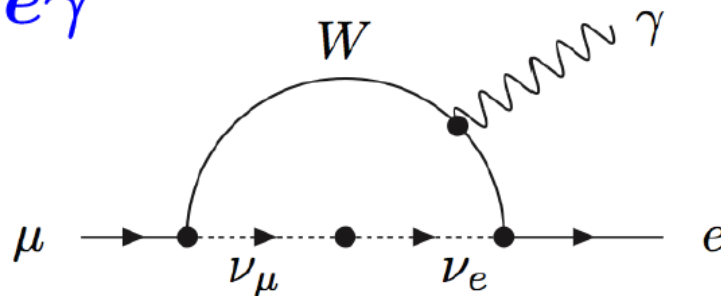
- 1) Introduction
- 2) Searches for the  $\mu$ -e conversion (COMET, Mu2e)
- 3) Searches for LFV in muon decays (MEG, Mu3e)
- 4) Searches for LFV in kaon decays (NA62)
- 5) Summary



# Charged Lepton Flavour Violation

- ❖ Neutrino oscillations: generalization of the Standard Model.
- ❖ Within  $\nu$ SM, CLFV is induced by neutrino oscillation in loops.
- ❖ Unlike FCNC involving quarks, CLFV rates are negligible.

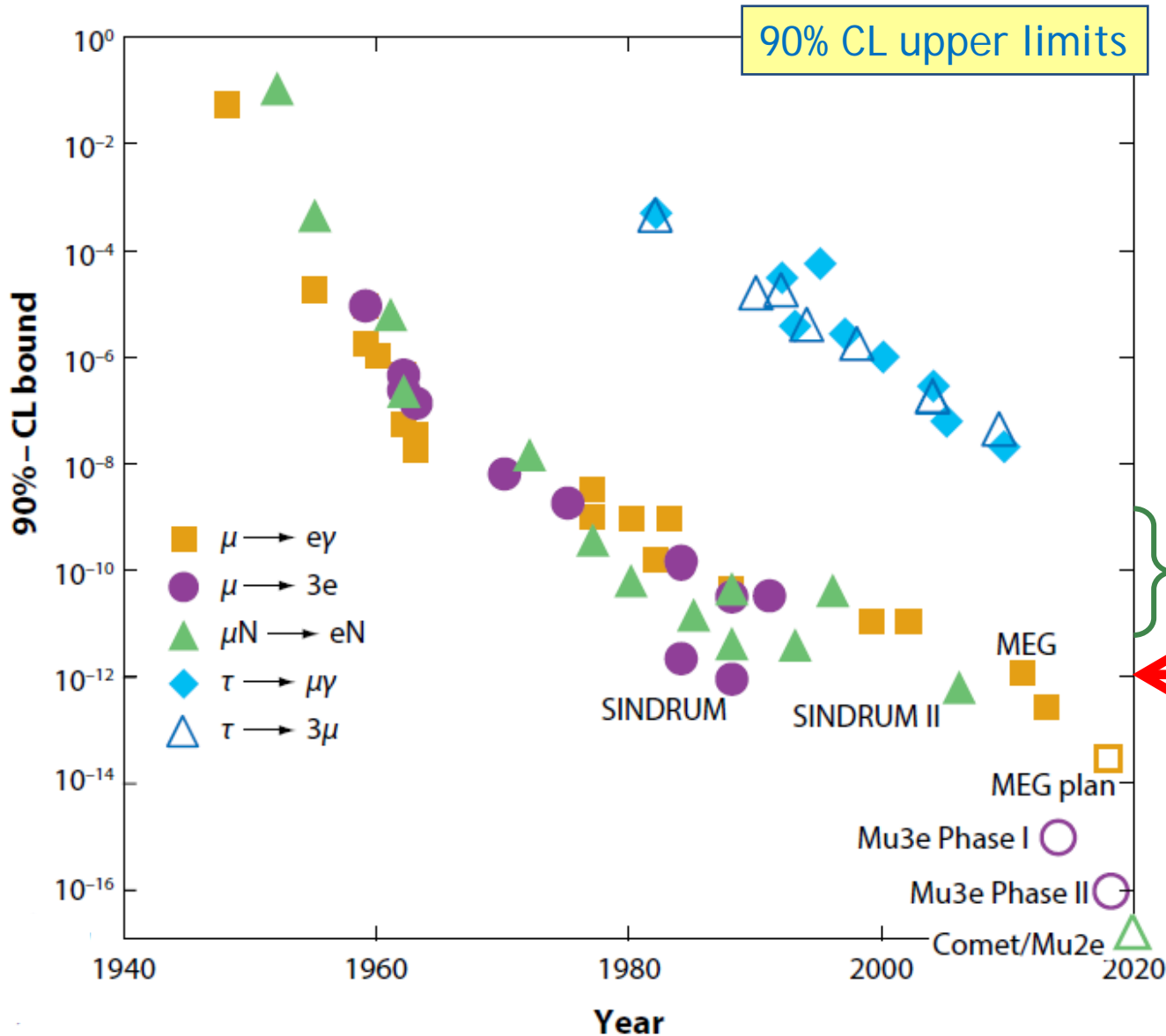
Example:  $\mu \rightarrow e\gamma$



$$\mathcal{B}(\mu \rightarrow e\gamma) = \frac{3\alpha}{32\pi} \left| \sum_{i=2,3} U_{\mu i}^* U_{ei} \frac{\Delta m_{1i}^2}{m_W^2} \right|^2 \sim 10^{-54}$$

- ❖ This suppression is accidental due to smallness of  $\Delta m_{ij}^2$ .
- ❖ Can be enhanced beyond the SM (e.g. massive sleptons).

# Experiments: history & prospects



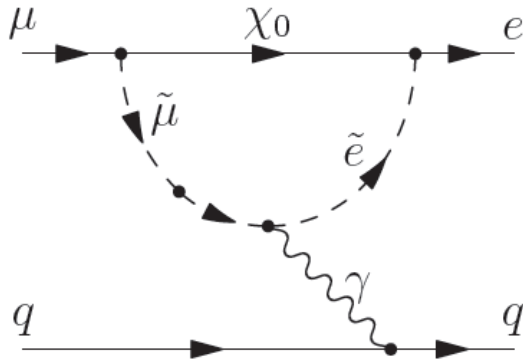
Not discussed here:  
 $B$  and  $\tau$  decays,  
 $\mu^- \rightarrow e^+$  conversions,  
 muonium oscillations.

Existing limits  
 on kaon decays

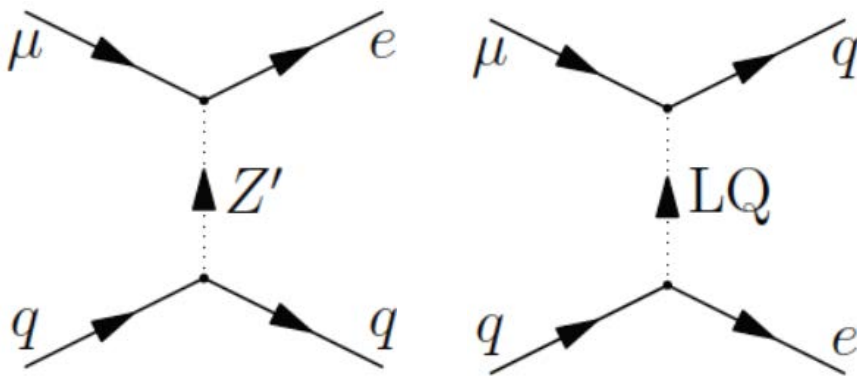
Expected  
 near-future limits  
 on  $K^+$  decays

# New physics contributions: $\mu \rightarrow e$

Loop terms (i.e. SUSY):

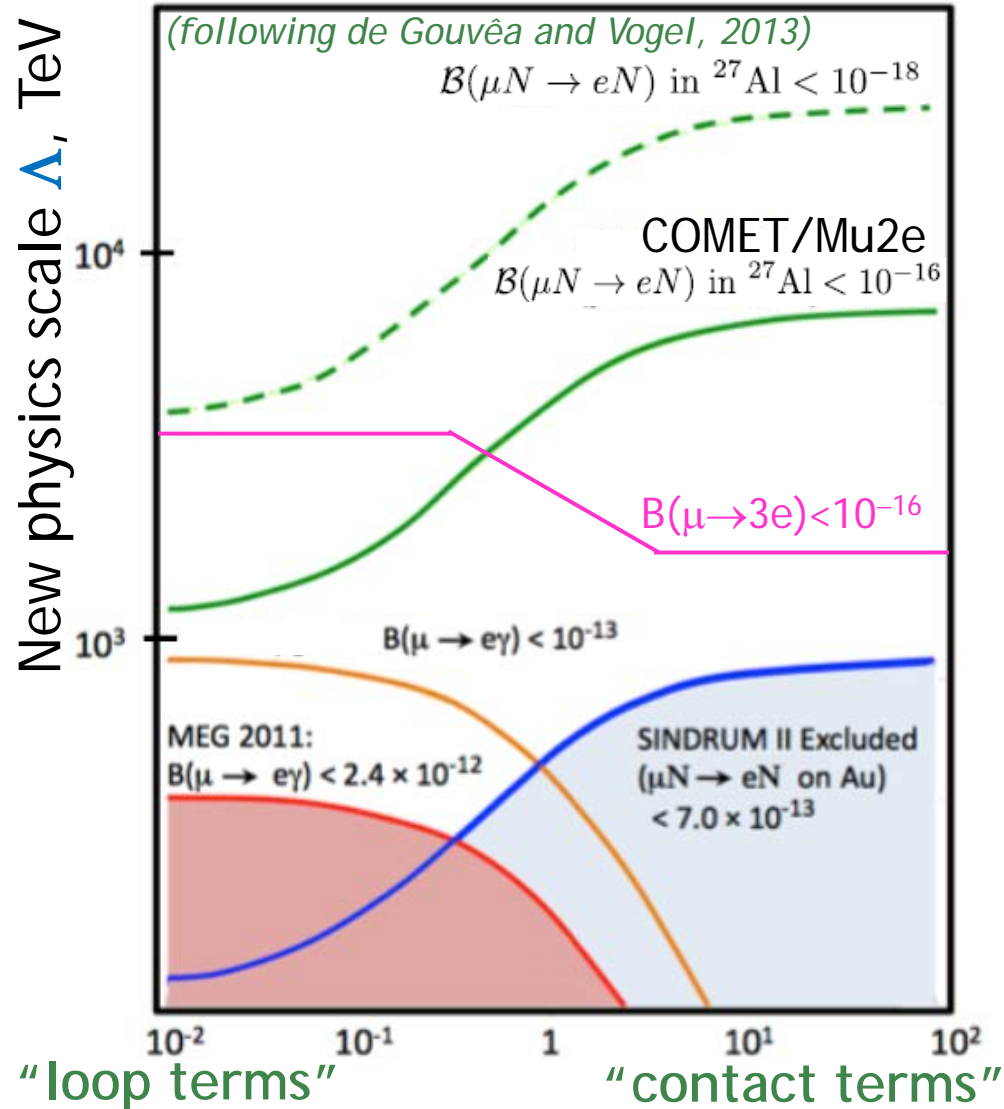


Contact terms (particle exchange):



~100 TeV mass scales already excluded.  
Near-future experiments aim  
at ~10<sup>3</sup> TeV scale.

Sensitivity of  $\mu \rightarrow e$  experiments



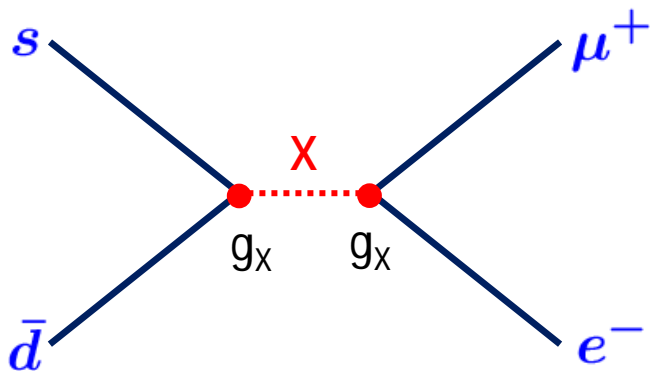
# LFV in K decays

Kaons decays:

- ❖ Copious production: high statistics.
- ❖ Simple decay topologies: clean experimental signatures.
- ❖ Source of tagged  $\pi^0$  via  $K^+ \rightarrow \pi^+ \pi^0$ ,  $K_L \rightarrow 3\pi^0$ , ... :  
best limits for LFV  $\pi^0$  decays.

Sensitivity to the 4-fermion contact terms:

Example:  $K_L \rightarrow \mu^+ e^-$



Dimensional argument:

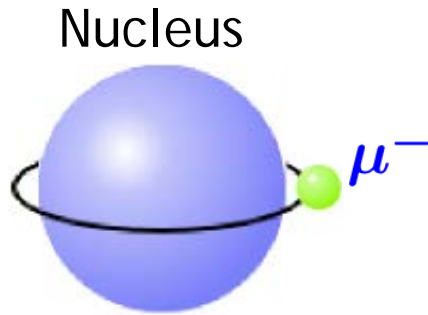
$$\frac{\Gamma_X}{\Gamma_{\text{SM}}} \sim \left( \frac{g_X}{g_W} \cdot \frac{M_W}{M_X} \right)^4$$

For  $g_X \approx g_W$  and  $\mathcal{B} \sim 10^{-12}$ ,

$$M_X \sim 100 \text{ TeV}$$

# Muon conversion

# Muonic atoms



Muonic atom formation:

- ❖ Stopped  $\mu^-$  falls into the **1s** ground state.
- ❖ Emission of characteristic X-rays:  
can count stopped muons.

(a) Muon decay-in-orbit (DIO):



✓ rate weakly decreases with  $Z$

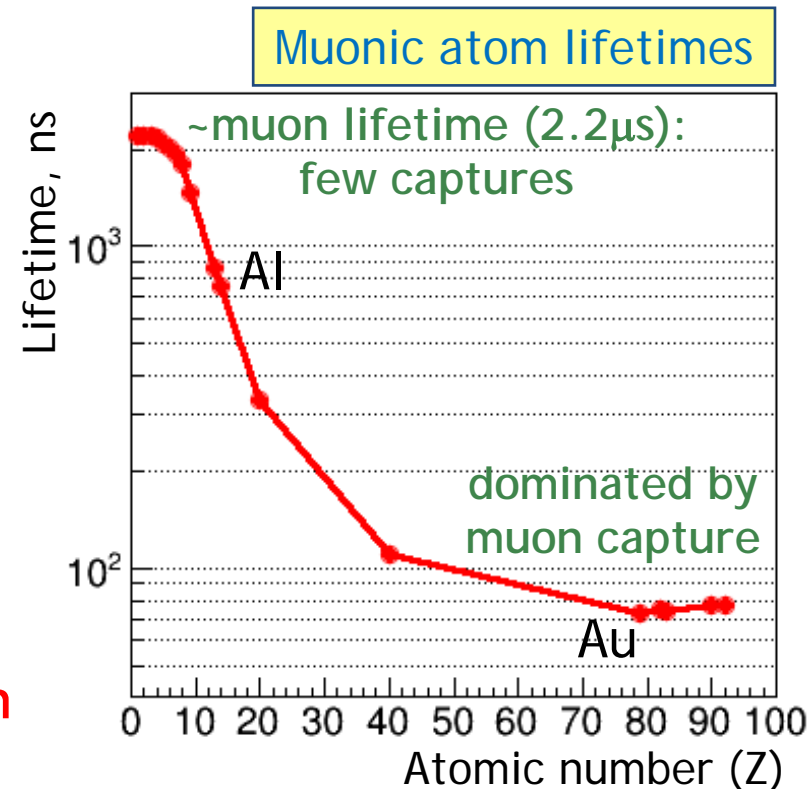
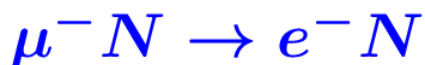
(b) Muon capture by nucleus:



✓ rate  $\sim Z^4$ ;

✓ unstable daughter nucleus  
(n, p,  $\gamma$  emission)

(c) New Physics: coherent **muon conversion**



# Muon conversion: $\mu^- N \rightarrow e^- N$

- ❖ Monochromatic electrons ( $E_e \approx m_\mu = 106 \text{ MeV}$ )  
**well above Michel endpoint** for free muon decay ( $E_e \approx m_\mu/2 = 53 \text{ MeV}$ ).
- ❖ Background is *beam-related* rather than *detector-related*.
- ❖ Very high rate experiments are in principle possible.

For muonic Al,

$$E_e = m_\mu - \underbrace{\frac{Z^2 \alpha^2 m_\mu}{2}}_{\substack{\text{Binding energy} \\ = 0.5 \text{ MeV}}} - \underbrace{E_{\text{recoil}}}_{\substack{\text{Nuclear recoil } (\sim 1/A) \\ = 0.2 \text{ MeV}}} = 105.0 \text{ MeV}$$

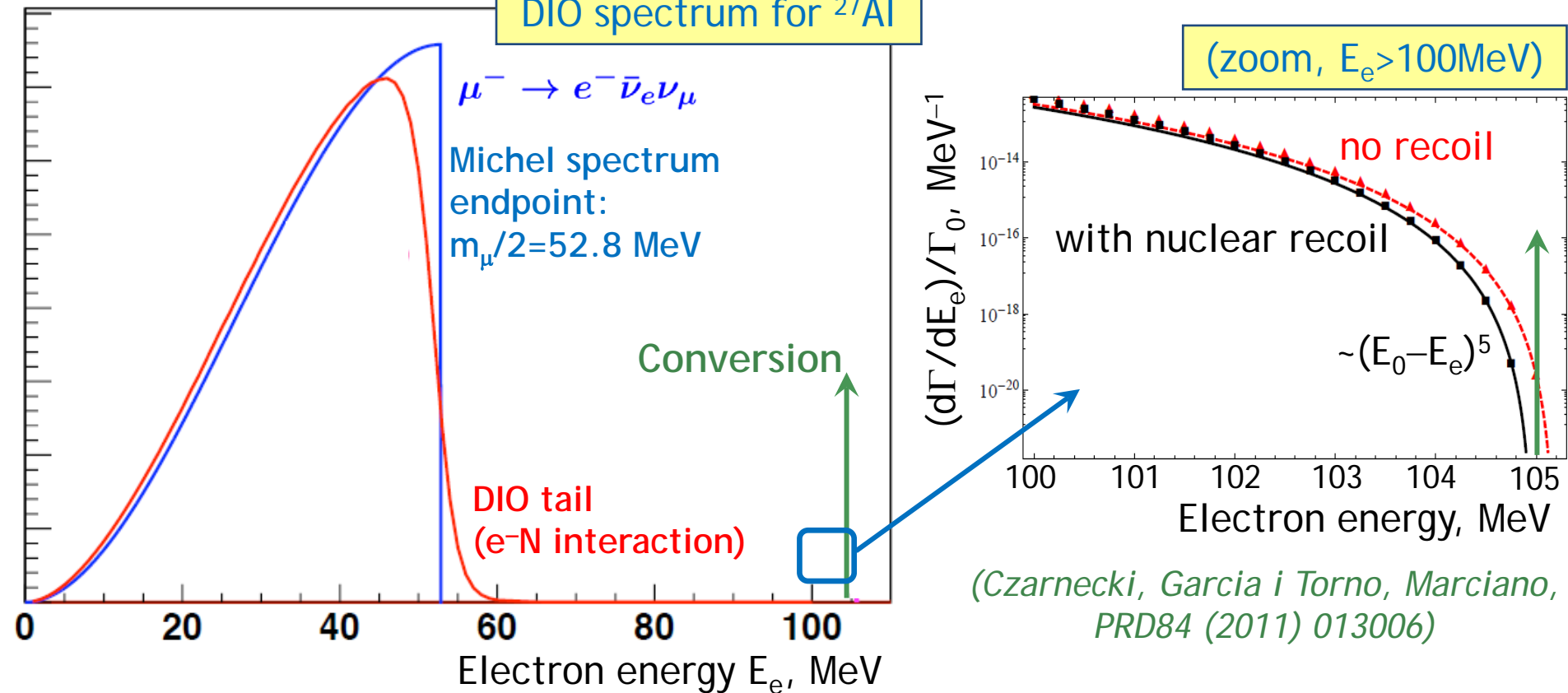
❖ The measured quantity:  $R_{\mu e} = \frac{\Gamma(\mu^- N \rightarrow e^- N)}{\Gamma(\mu^- N \rightarrow \nu_\mu N')}$



# Decay-in-orbit (DIO)

DIO spectrum for  $^{27}\text{Al}$

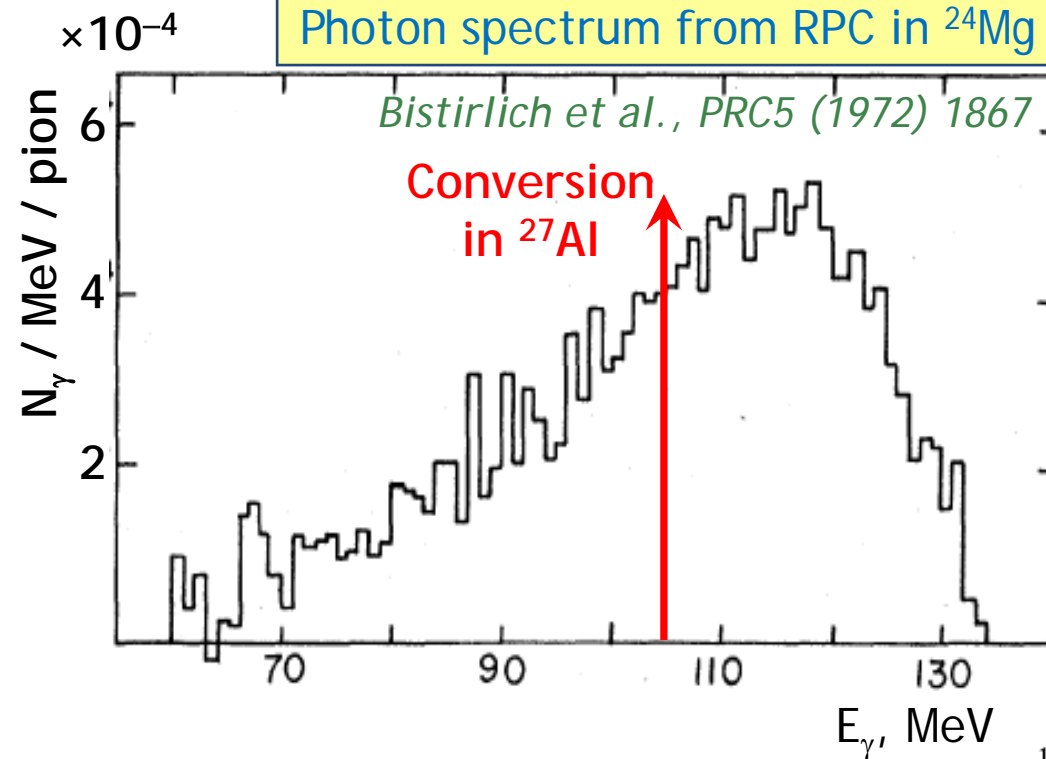
(zoom,  $E_e > 100\text{MeV}$ )



- ❖ Key issues: good **energy resolution** and **minimal energy loss**.
- ❖ Fraction of DIO within **1 MeV** from endpoint:  $\sim 10^{-17}$ .
- ❖ Energy resolution of near-future experiments:  $\delta E_e \sim 100\text{ keV}$ .
- ❖ DIO is not a limiting factor at  $R_{\mu e} \sim 10^{-17}$  precision.

# Radiative pion capture (RPC)

Photon spectrum from RPC in  $^{24}\text{Mg}$



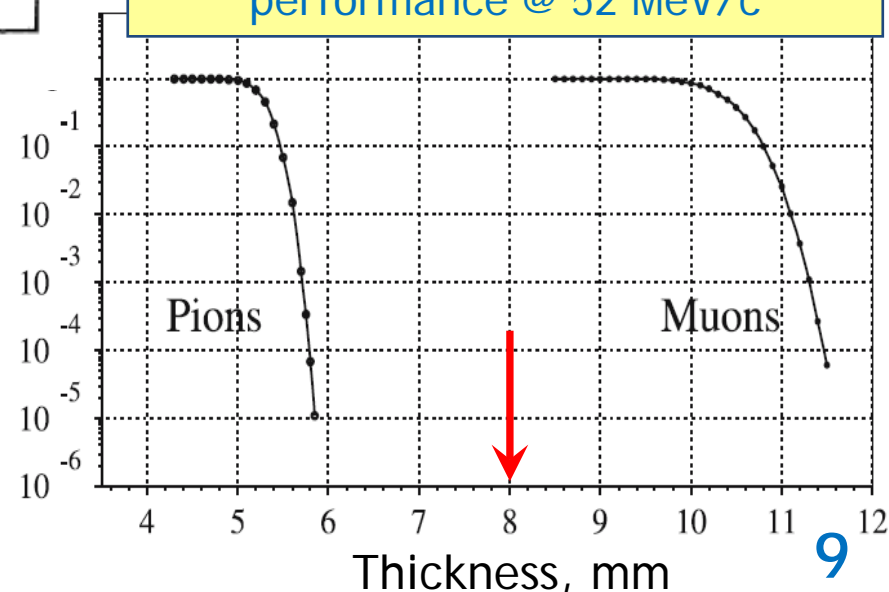
Residual  $\pi^-$  in  $\mu^-$  beam:

$$\pi^- N \rightarrow N' \gamma^{(*)}, \quad \gamma \rightarrow e^+ e^-$$

→ Compatible to  $\mu$ -e conversion.

Anti-proton annihilation is similarly a background (production threshold:  $E=7m_p=6.6$  GeV)

SINDRUM II carbene ( $\text{CH}_2$ ) degrader performance @ 52 MeV/c

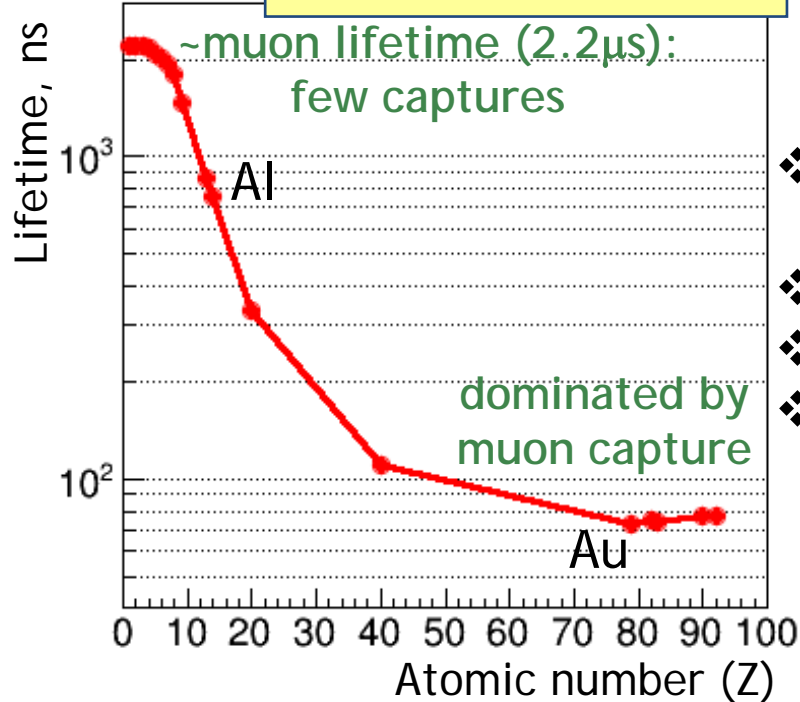


Beamline design for RPC suppression:

- ❖ using a degrader;
- ❖ if muonic atom lifetime  $\gg \pi^-$  lifetime (=26 ns), with timing conditions.

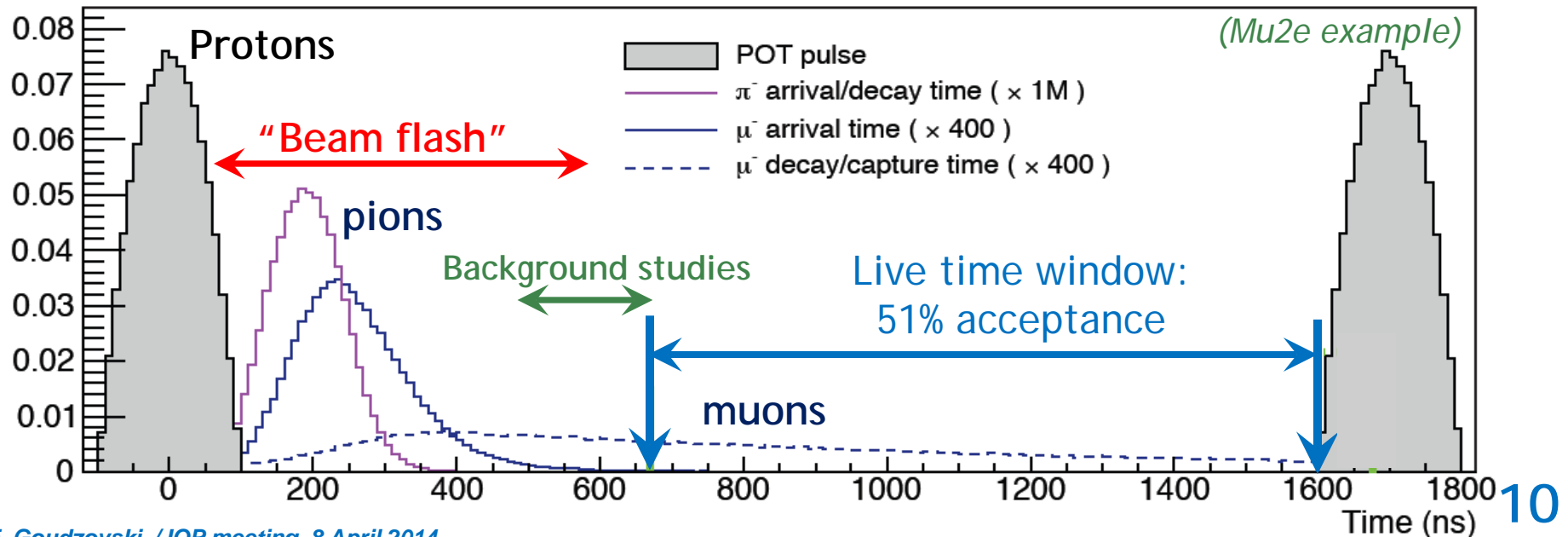
# RPC: timing

## Muonic atom lifetimes

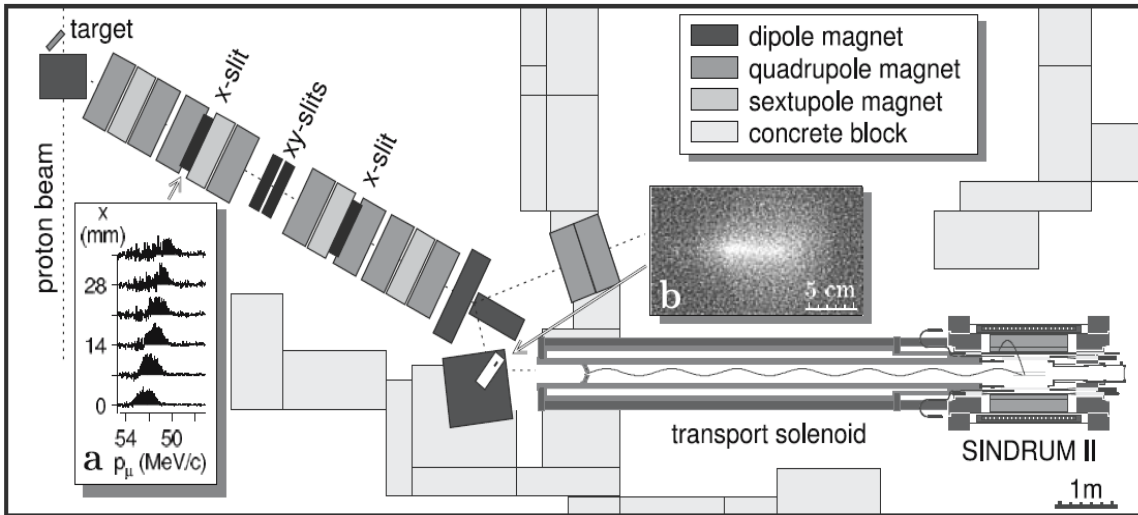


- ❖ Near-future experiments COMET, Mu2e: bunched proton beams ( $\sim 100\text{ ns}$  /  $\sim 1\mu\text{s}$ ).
- ❖ Low-Z (Al) target: RPC is prompt background.
- ❖ Proton extinction factor  $< 10^{-9}$  required.
- ❖ High-Z measurements would elucidate underlying physics of a potential signal.

[Muonic atom lifetime data: D.F.Measday, Phys.Rept.354 (2001) 243]



# SINDRUM-II at PSI

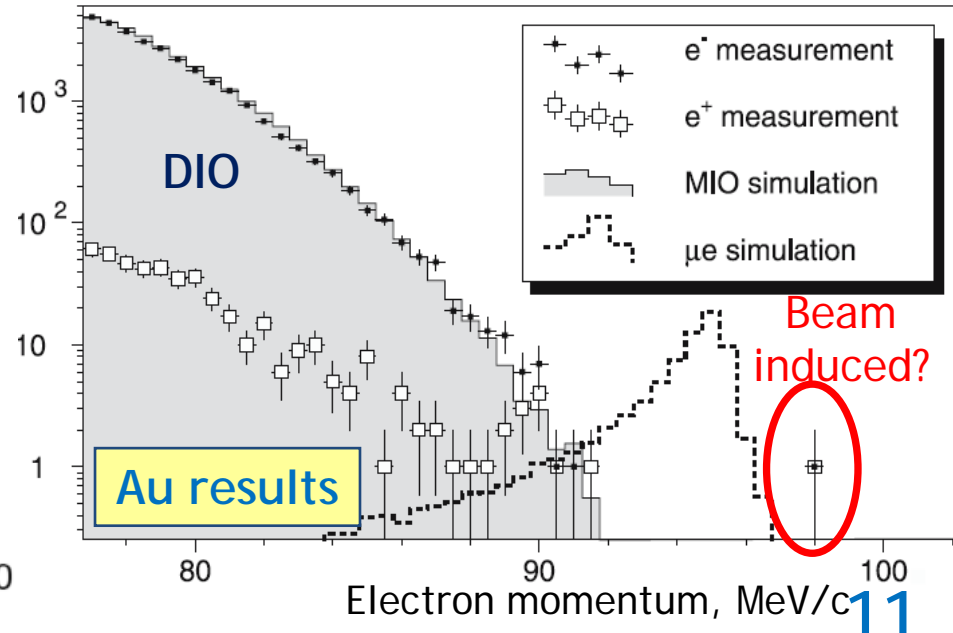
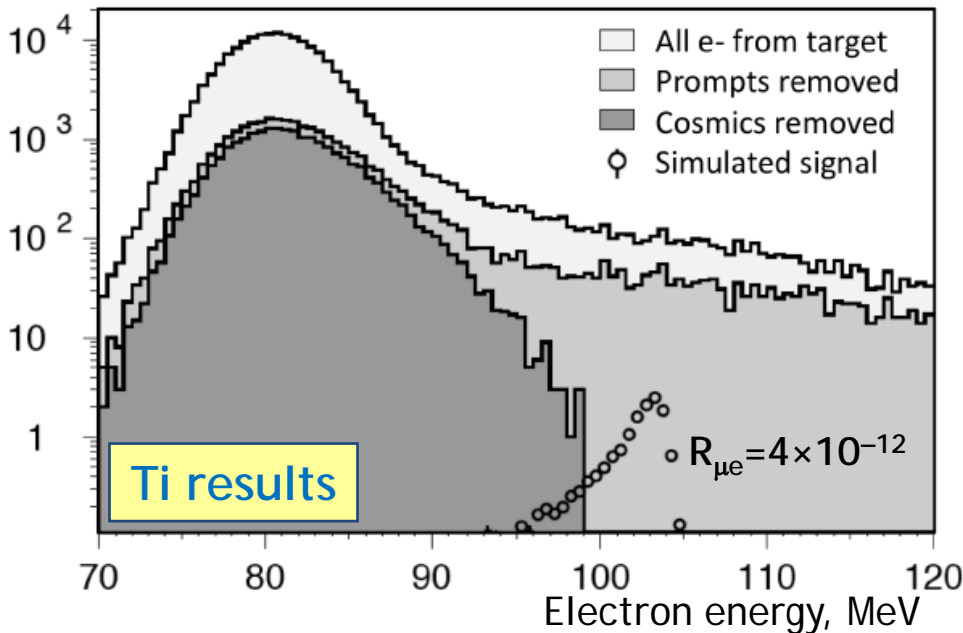


- ❖ Proton beam:  
590 MeV/c, 0.3/19.75 ns.
- ❖ RPC suppression: CH<sub>2</sub> degrader.
- ❖ Ti, Pb, Au targets.

Limit for muonic gold:

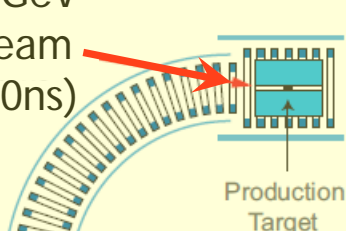
$$R_{\mu e}^{\text{Au}} < 7 \times 10^{-13} \text{ (90\% CL)}$$

[Bertl et al., EPJ C47 (2006) 337]



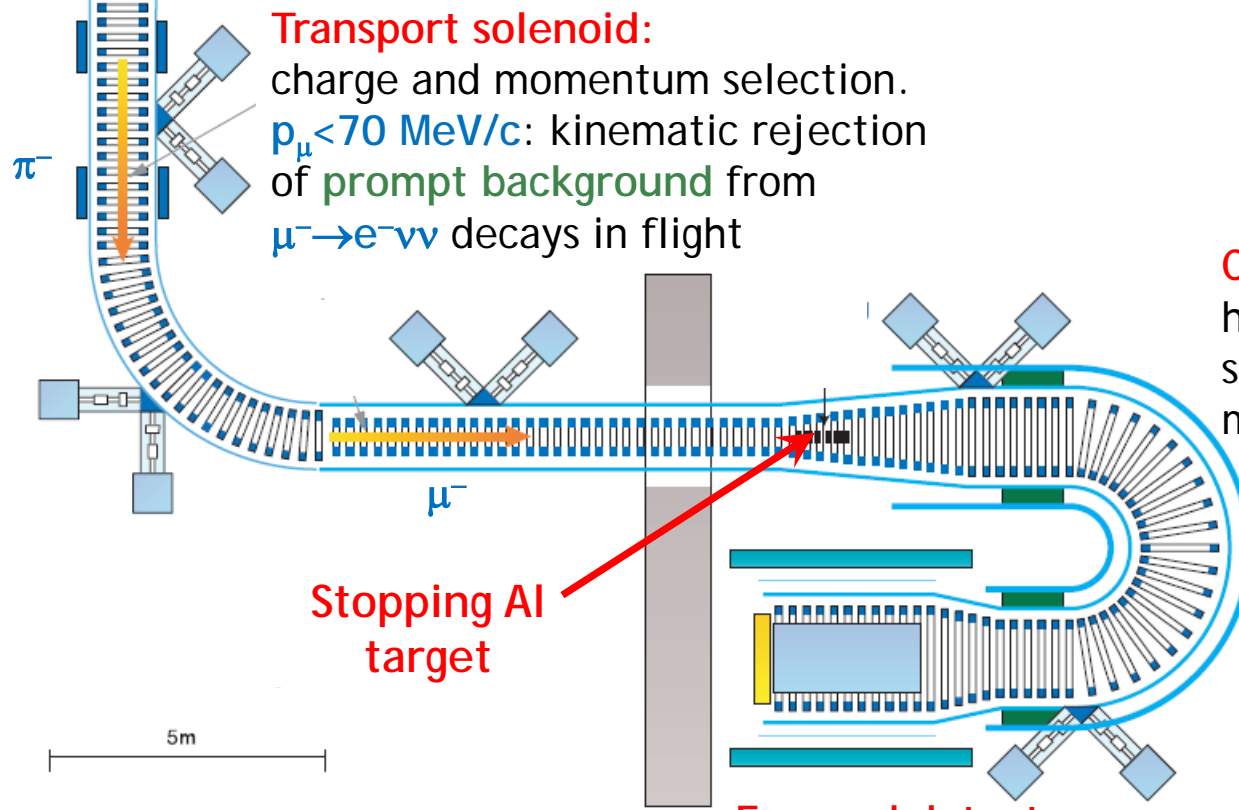
# COMET at J-PARC

Pulsed 8 GeV proton beam (100/1100ns)



**Pion capture section:**  
high magnetic field (5T) to collect low momentum backwards travelling pions

Phase I  
SES =  $3 \times 10^{-15}$   
Data taking: 2016+



**Curved solenoid:**  
high-momentum  $e^{-}$  selection, stops most DIO and muon capture products

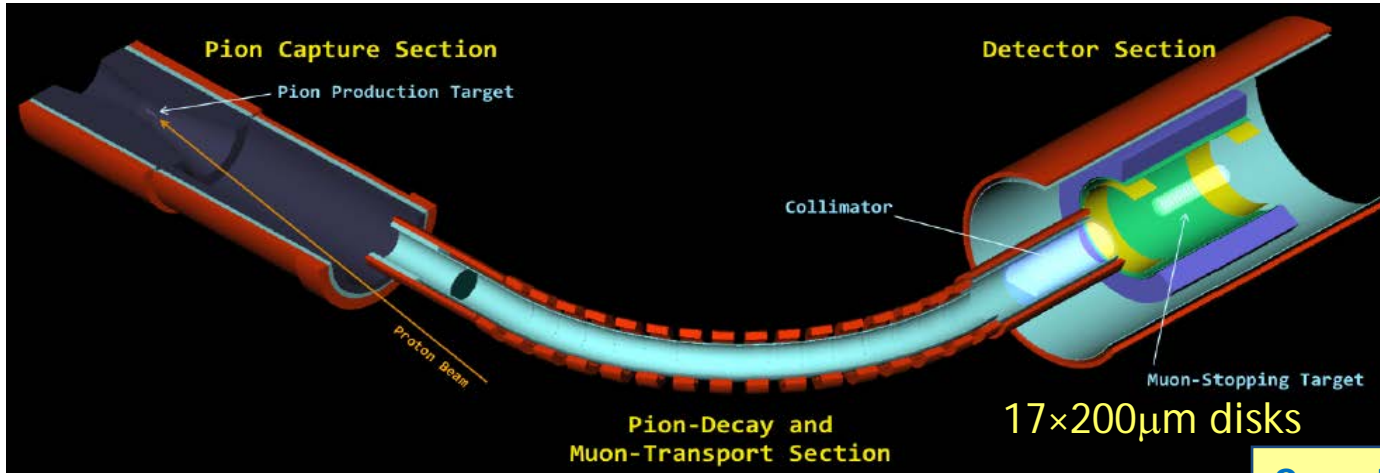
Phase II (full setup)  
SES =  $3 \times 10^{-17}$   
Data taking: 2020+

**Forward detector:**  
straw tracker followed by EM calorimeter.  
Rate < 1MHz.

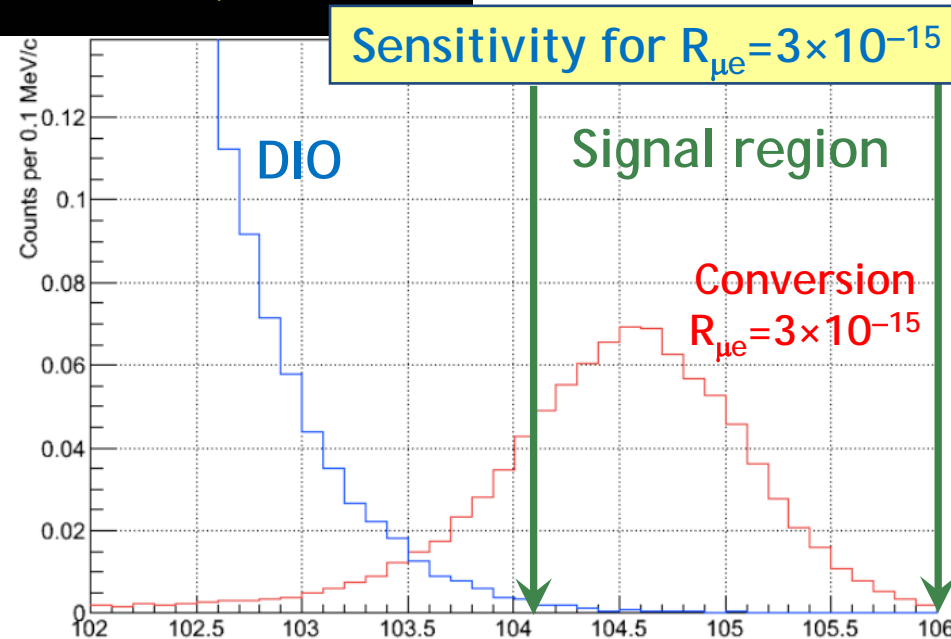
# COMET phase I (2016+)

A dedicated detector: cylindrical drift chamber in  $1T$  solenoidal field.

- ❖ Higher acceptance but worse resolution ( $\delta p_e \approx 0.5 \text{ MeV}/c$ ) wrt Phase II.
- ❖ No charge selection: can search for LNV process  $\mu^- N \rightarrow e^+ N'$ .



- ❖ Protons on target:  $4 \times 10^{18}$ .
- ❖ Signal acceptance:  $\sim 6\%$ .  
(timing: 39%, geometrical: 24%, momentum cut: 74%, trigger: 90%).
- ❖ Expected background: 0.03 events (DIO: 0.01 [determines the  $p_e$  cut], RPC: 0.01, anti-protons: 0.01).
- ❖ Expected SES:  $3 \times 10^{-15}$ .



# Mu2e at FNAL

Production Solenoid

Protons:  $1.7\mu\text{s}$  structure,  
8 kW,  $E_{\text{kin}}=8\text{ GeV}$

Detector Solenoid

Transport Solenoid

Collimator

Production Target

Straw tracker in 1T magnetic field.  
~22k Mylar straws tubes ( $D=5\text{mm}$ ).

$dE/dx$  capability for proton suppression;  
 $\delta p < 0.18\text{ MeV}/c$ .

EM calorimeter  
 $4 \times 11 \times 44$  LYSO crystals  
arranged in 4 vanes.

Resolutions:

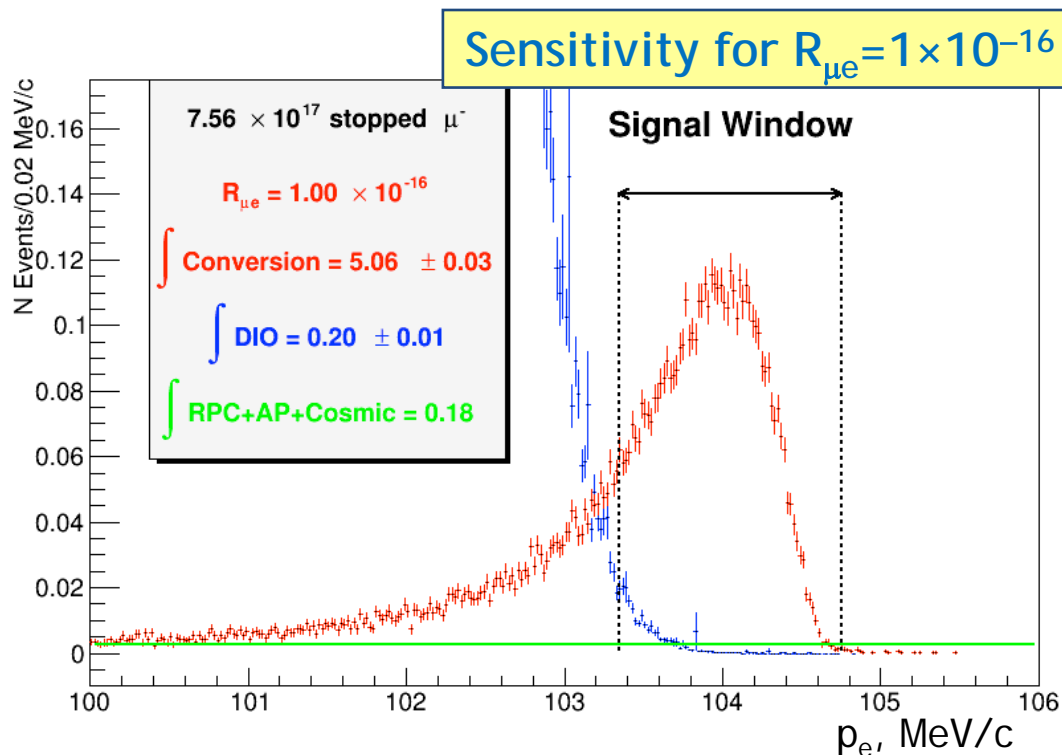
- ❖ energy: 4.5% at 105 MeV;
- ❖ position: 4 mm;
- ❖ timing: ~1ns.

Conversion

DIO

# Mu2e sensitivity

- ❖ Protons on target:  $3.6 \times 10^{20}$ .
- ❖  $\mu^-$  stops per proton on target: **0.16%**.
- ❖ Signal acceptance: **5.25%** (timing: **51%**, selection & trigger: **10%**).
- ❖ Expected total background: **0.41** events  
(DIO: **0.22**, cosmic: **0.05**, RPC: **0.03**, anti-protons: **0.10**).
- ❖ Goal SES:  **$2.4 \times 10^{-17}$** .



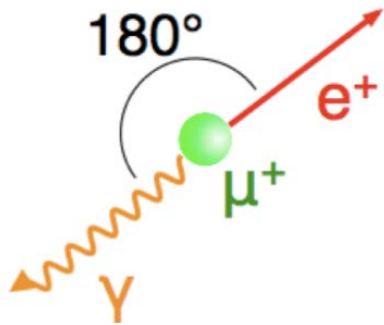


# LFV muon decays

# $\mu^+ \rightarrow e^+ \gamma$ : backgrounds

## Signal:

two-body decay

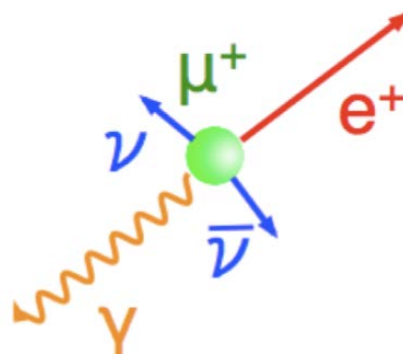


$$E_e = E_\gamma = \frac{m_\mu}{2} = 52.8 \text{ MeV}$$

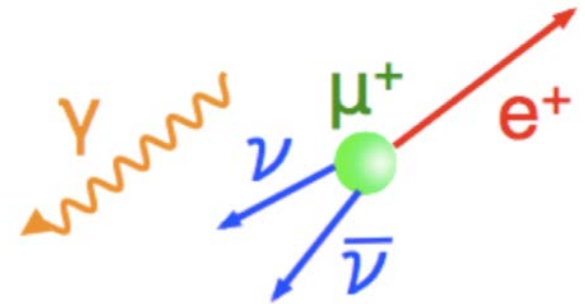
$$\theta_{e\gamma} = 180^\circ, \quad t_{e\gamma} = 0$$

## Backgrounds:

Radiative Michel decay (RMD)



Accidental



Michel decay and photon from RMD,  $e^+e^- \rightarrow \gamma\gamma$ ,  $eN \rightarrow eN\gamma$

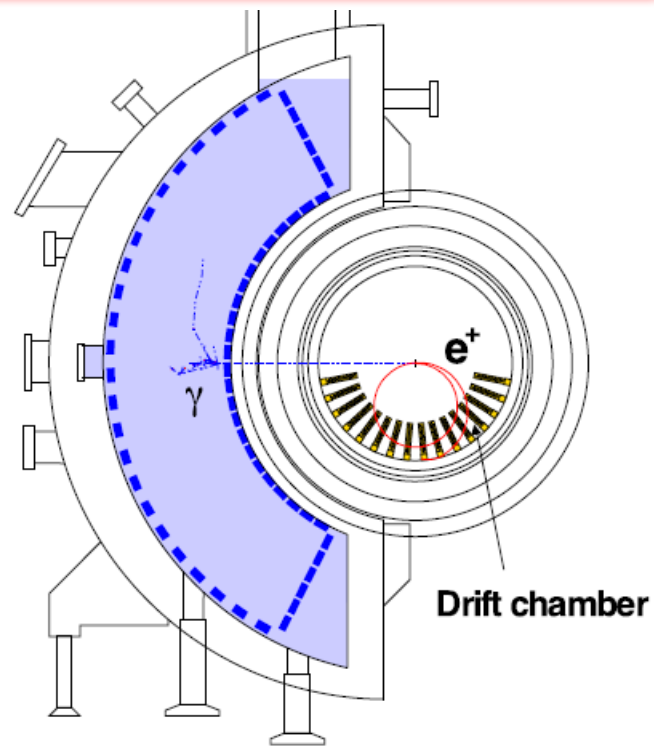
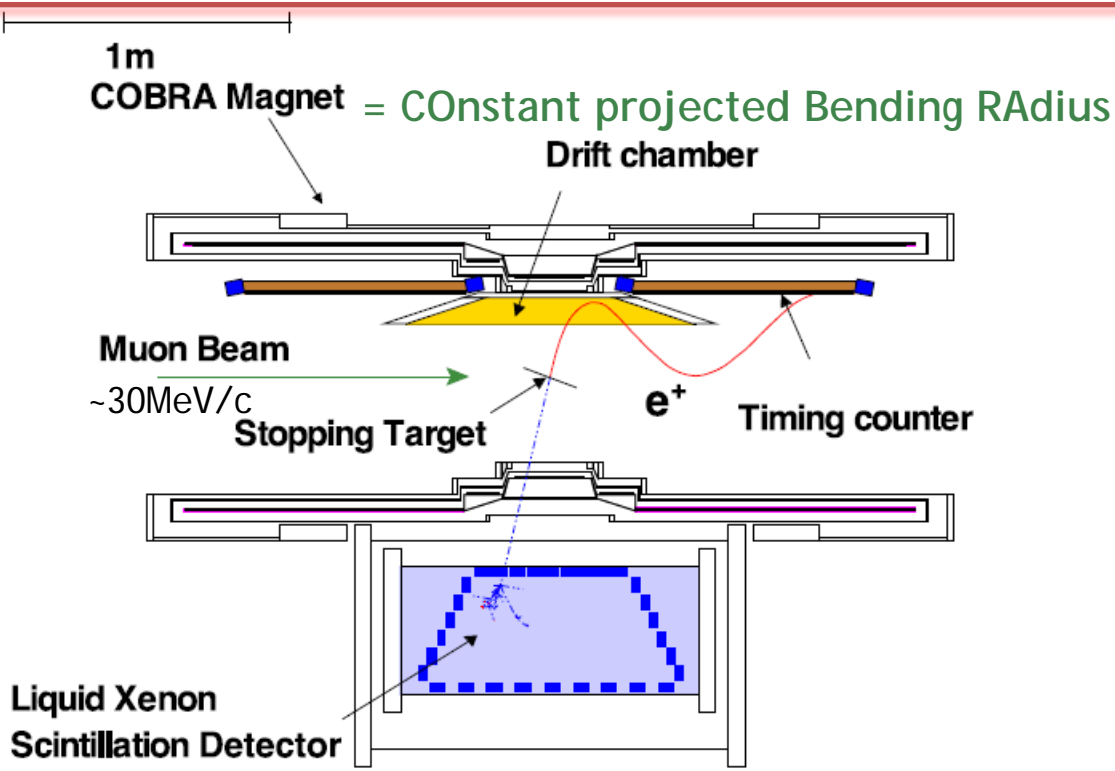
Accidental background dominates (>90%):

- ❖ contamination ( $N_{\text{acc}}/N_{\text{sig}}$ ) scales linearly with **instantaneous muon rate**;
- ❖ therefore **continuous** rather than pulsed beam ( $\sim 3 \times 10^7 \mu^+/\text{s}$ );
- ❖ background level is determined by the **detector resolution**.

$$\frac{N_{\text{acc}}}{N_{\text{sig}}} \sim R_\mu \cdot \underbrace{\delta E_e}_{0.5 \text{ MeV}} \cdot \underbrace{(\delta E_\gamma)^2}_{1.0 \text{ MeV}} \cdot \underbrace{\delta t_{e\gamma}}_{130 \text{ ps}} \cdot \underbrace{(\delta \theta_{e\gamma})^2}_{\sim 0.015}$$

Approximate MEG values: 0.5MeV 1.0MeV 130ps ~0.015

# MEG at PSI (2008–2013)

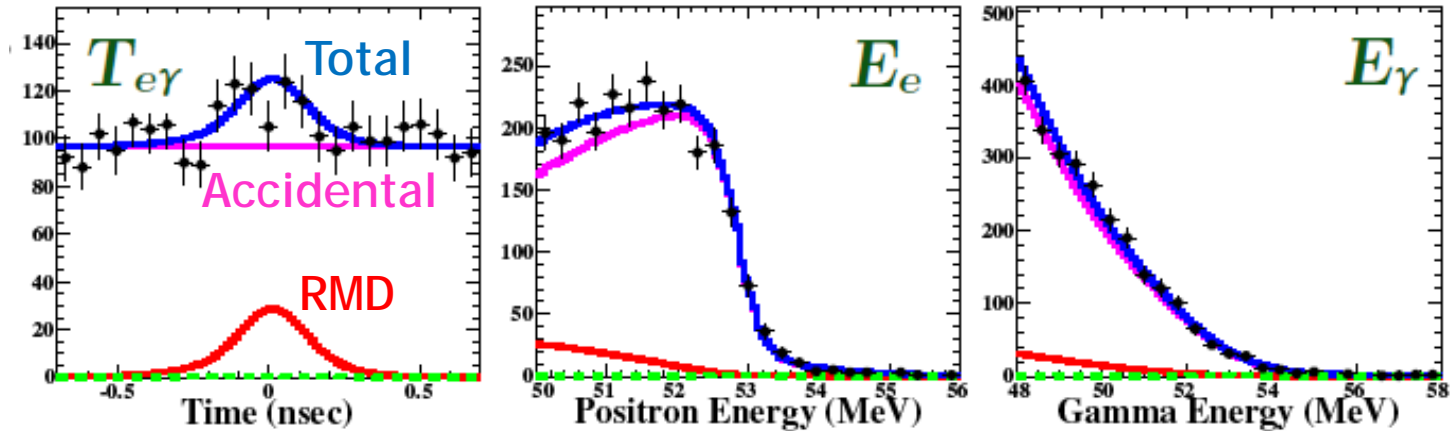
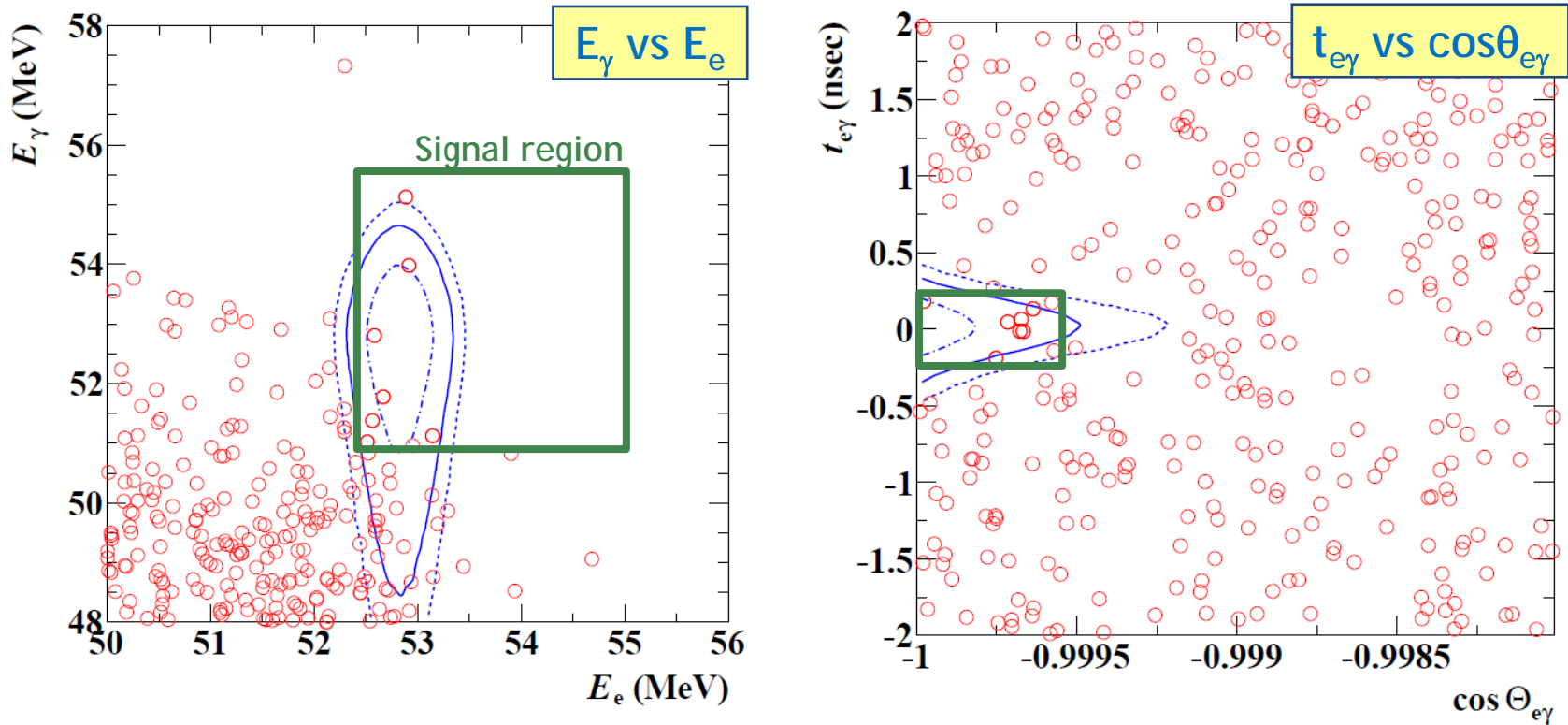


- Gradient magnetic field:** bending radius  $\sim p$ , not  $\sim p_T$ .
- ❖ only  $e^+$  near Michel endpoint reach the tracker;
  - ❖ small number of curls for  $e^+$  emitted at  $\theta \approx 90^\circ$ ;
  - ❖ therefore excellent spectrometer rate capability.

- EM calorimeter:** 846 PMTs immersed in 900 litres of LXe.
- ❖ prompt response: low pile-up.

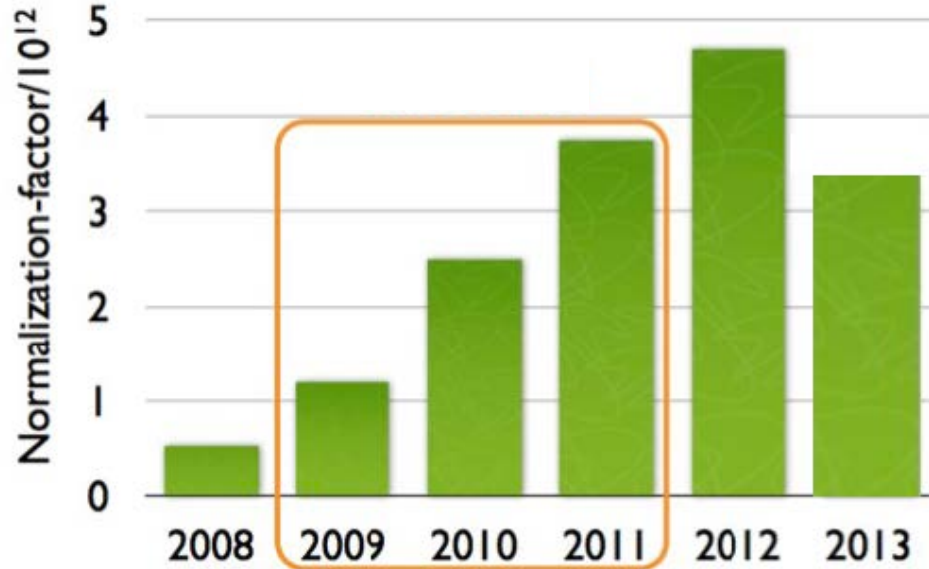


# MEG 2009–2011 data



# MEG result

Data statistics



Final result with 2009–2011 sample  
( $3.6 \times 10^{14}$  stopped  $\mu^+$ ):

$$\mathcal{B}(\mu^+ \rightarrow e^+ \gamma) < 5.7 \times 10^{-13} \text{ (90\%CL)}$$

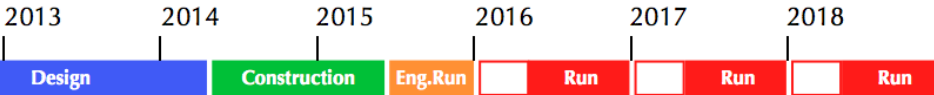
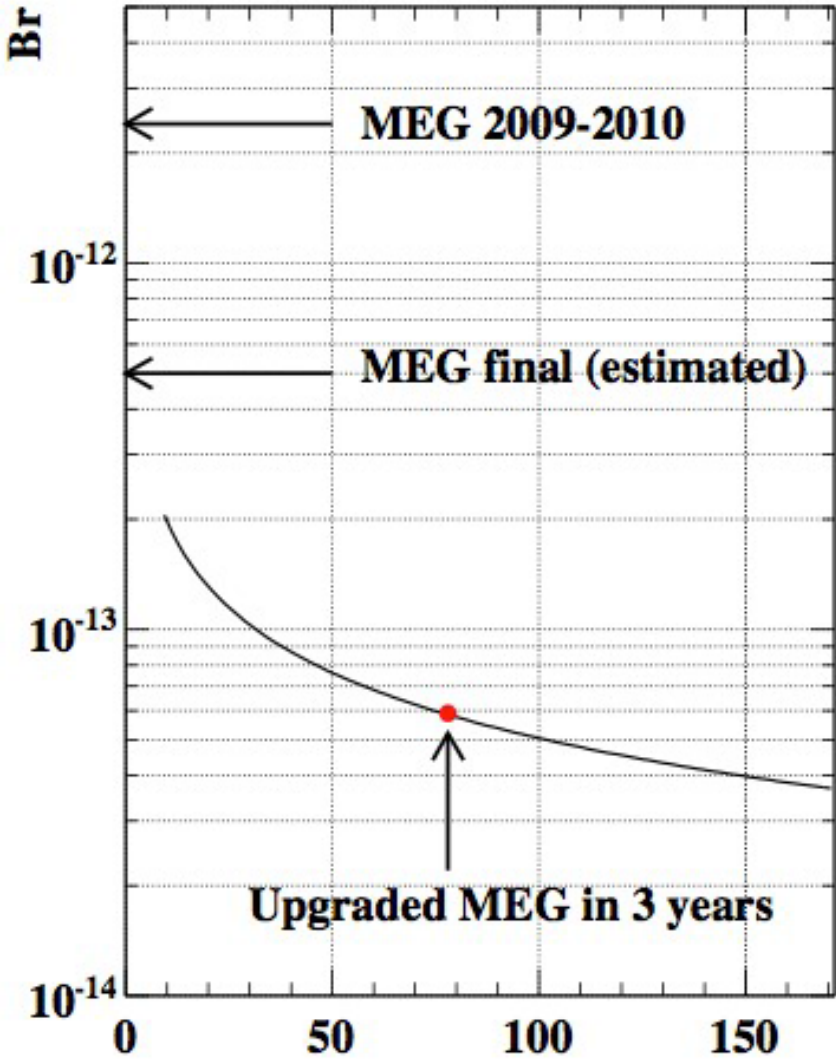
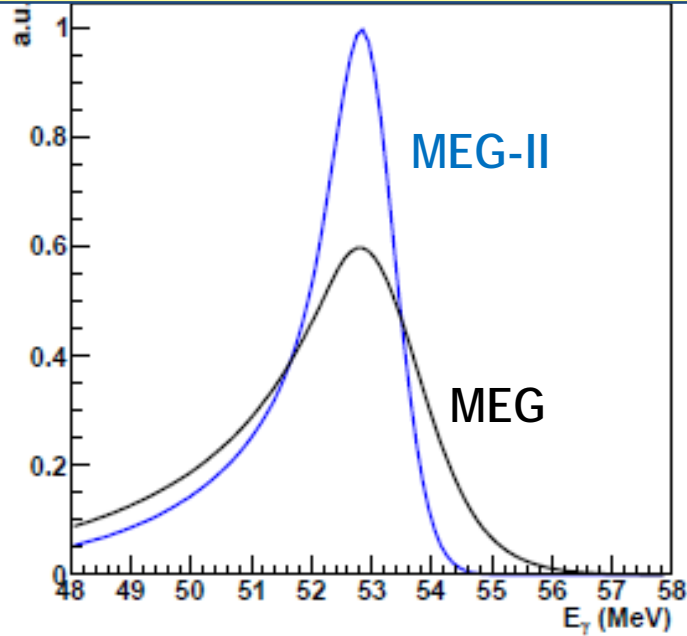
*PRL 110 (2013) 201801*

- ❖ MEG data taking completed in 2013.
- ❖ Sensitivity is limited by the accidental background.
- ❖ Improved detector resolution required to go beyond the  $\sim 10^{-13}$  sensitivity.

# MEG upgrade

- ❖ Higher beam rate ( $\sim 10^8 \mu^+/s$ ).
- ❖ Thinner stopping target ( $e^+$  direction).
- ❖ Higher tracker granularity ( $\delta E_e$ ).
- ❖ New pixelated timing counter ( $\delta t_e$ ).
- ❖ EM calorimeter: more PMTs ( $\delta E_\gamma$ ; pileup).

Example: photon energy measurement



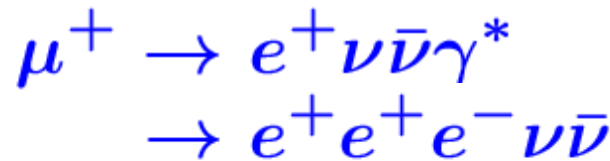
# Mu3e at PSI: $\mu^+ \rightarrow e^+e^+e^-$

For loop NP contributions,  $\mathcal{B}(\mu^+ \rightarrow e^+e^+e^-)/\mathcal{B}(\mu^+ \rightarrow e^+\gamma) \sim \alpha$

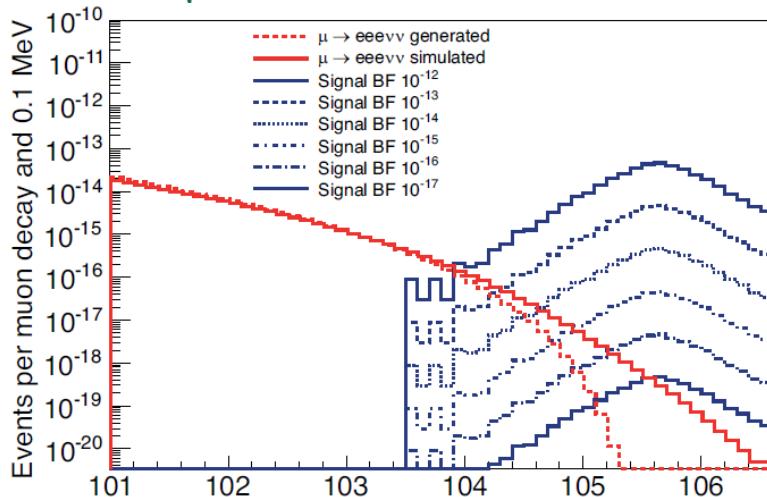
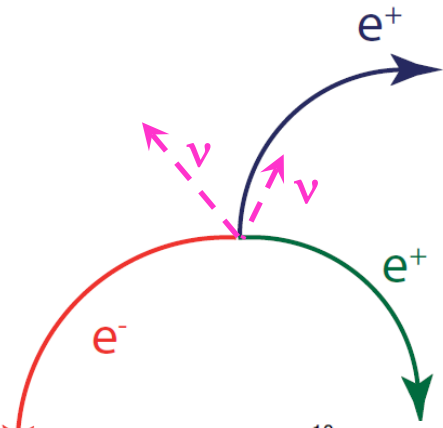
Mu3e goal:  $\mathcal{B}(\mu^+ \rightarrow e^+e^+e^-) \sim 10^{-16}$  (4 orders below SINDRUM, 1988)

Note:  $\mu^+ \rightarrow e^+e^+e^-$  is sensitive to contact interactions.

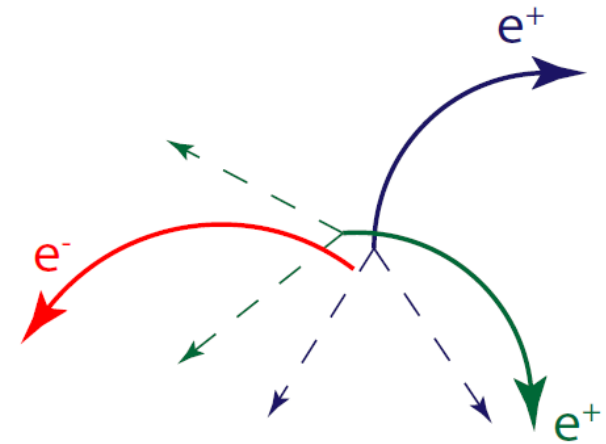
Dominant irreducible background:  
radiative Michel decay + conversion



Excellent momentum  
resolution required ( $<1\text{MeV}/c$ );  
determines the sensitivity



Accidentals



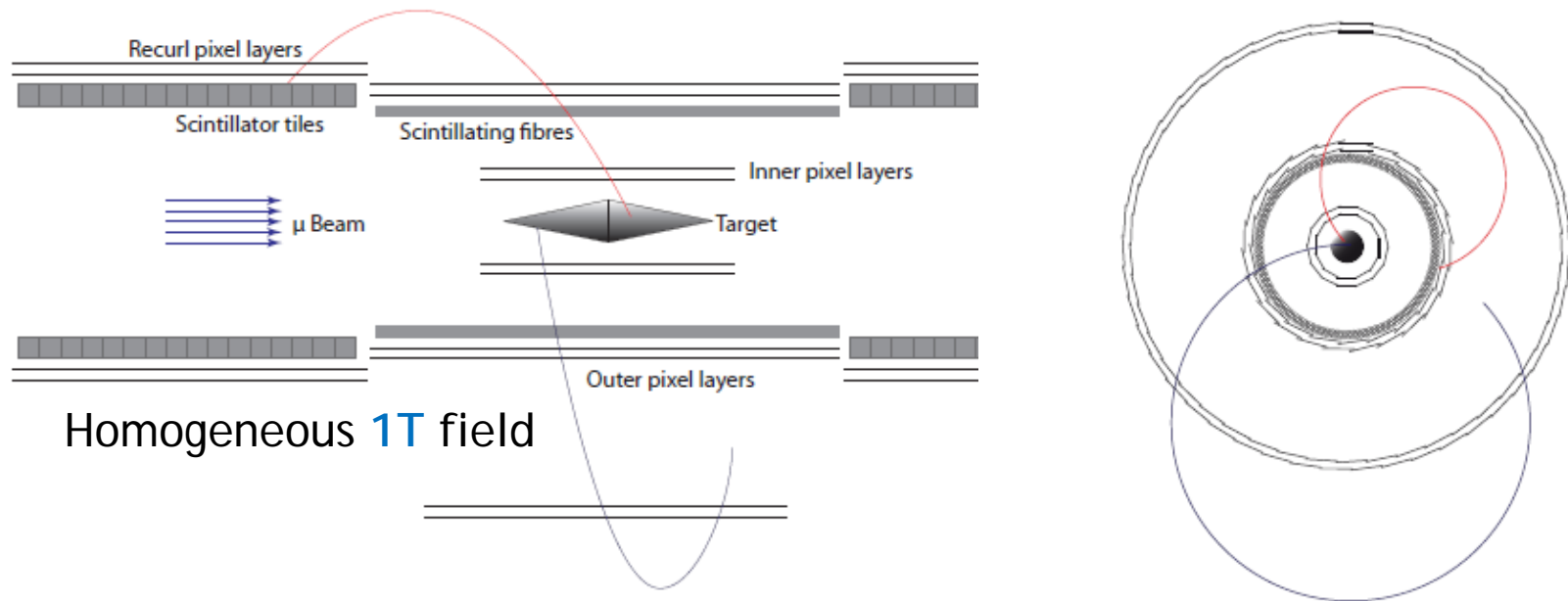
Electrons ( $e^-$ ) from:

- ❖ photon conversions;
- ❖ Bhabha scattering ( $e^+e^-$  vertex, missing energy);
- ❖ mis-reconstruction.

Excellent vertex and  
time resolution required

# Mu3e detector

- ❖ Acceptance over a wide momentum range: MEG tracker cannot be adapted.
- ❖ Beamline upgrade ( $\sim 10^9 \mu^+/\text{s}$ ) required to reach  $\sim 10^{-16}$  sensitivity.
- ❖ SINDRUM sensitivity was limited by radiative background ( $\sim 10^{-14}$ ) though upper limit ( $B < 10^{-12}$ ) is determined by the number of muon stops.



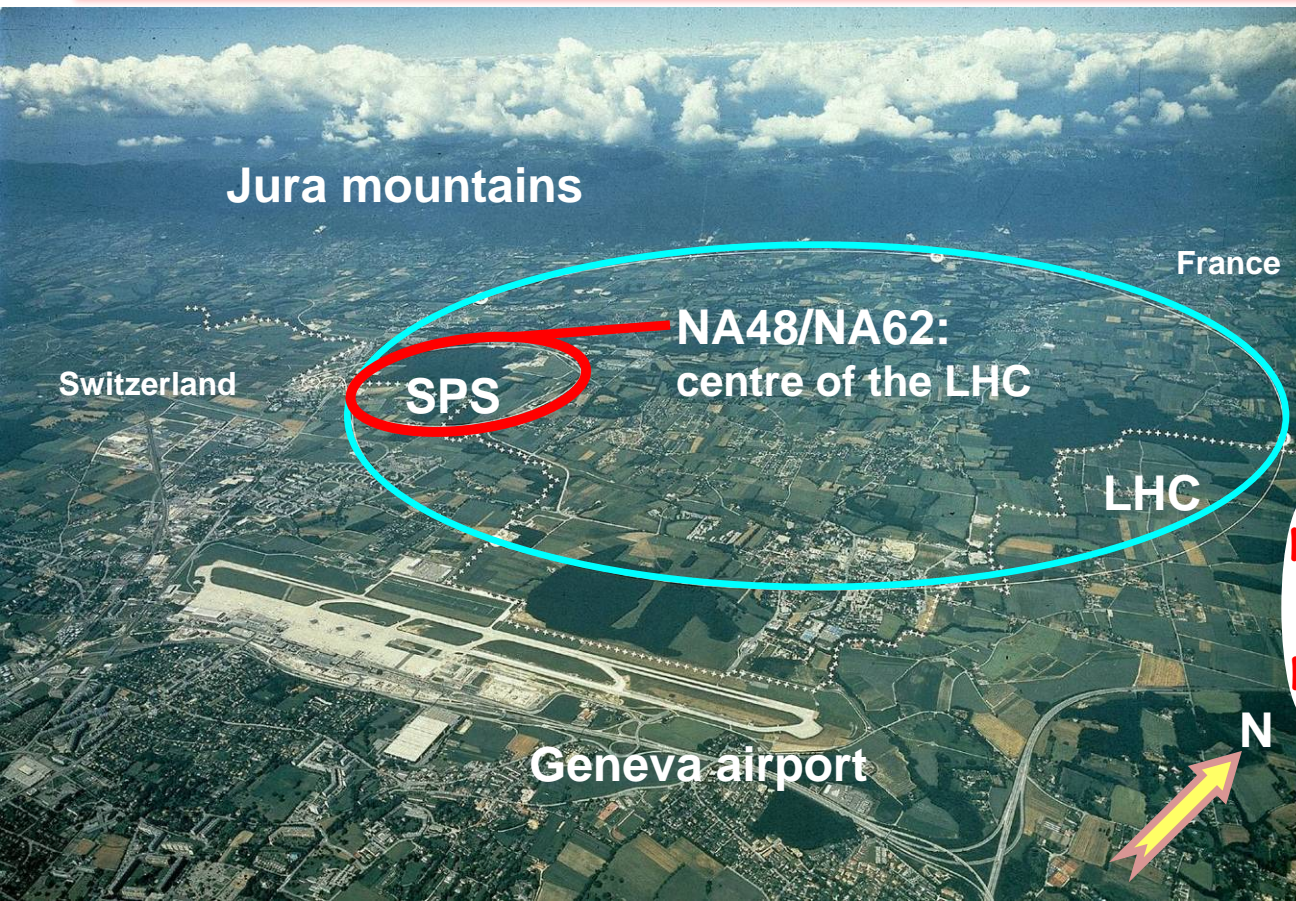
**Precision tracking:**  $\sim 10^8$  Monolithic Active Pixel Sensors (HV-MAPS).  
High granularity, minimal material budget  
(pixel size:  $\sim 80 \mu\text{m}$ , thickness:  $\sim 50 \mu\text{m}$ ).

**Precision timing:** scintillator fibre/tile hodoscope,  $\sim 100\text{ps}$  resolution.



# Charged kaon decays

# NA62 at CERN



Kaon decay in flight experiments.  
 NA62: currently ~180 participants,  
 29 institutions, 12 countries

## Earlier: NA31

1997:  $\epsilon'/\epsilon$ :  $K_L+K_S$

1998:  $K_L+K_S$

**NA48**  
 discovery  
 of direct  
 CPV

1999:  $K_L+K_S$  |  $K_S$  HI

2000:  $K_L$  only |  $K_S$  HI

2001:  $K_L+K_S$  |  $K_S$  HI

**NA48/1**

2002:  $K_S$ /hyperons

**NA48/2**

2003:  $K^+/K^-$

2004:  $K^+/K^-$

**NA62**  
 $R_K$  phase

2007:  $K_{e2}^{\pm}/K_{\mu2}^{\pm}$  | tests

2008:  $K_{e2}^{\pm}/K_{\mu2}^{\pm}$  | tests

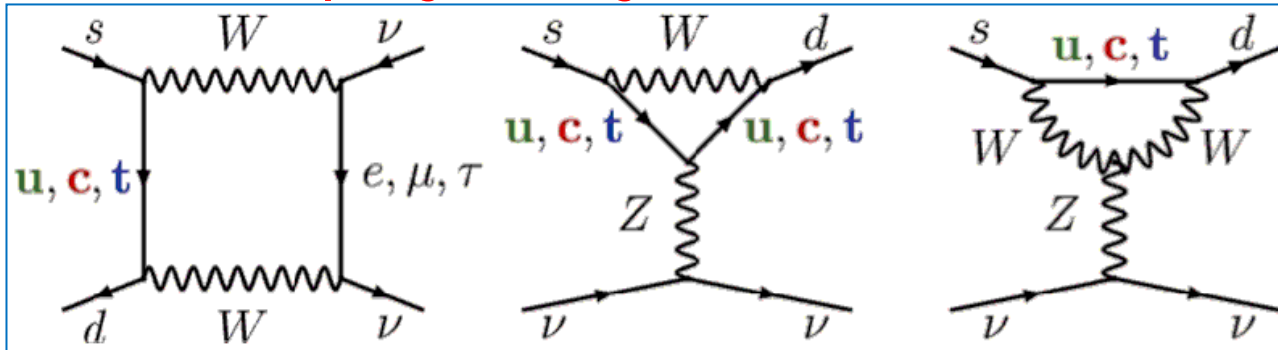
**NA62**

2012: technical run

2014: 1<sup>st</sup>  $K^+ \rightarrow \pi^+ \nu \bar{\nu}$  run

# The challenge: $K \rightarrow \pi \nu \bar{\nu}$

## SM: box and penguin diagrams



Ultra-rare decays with the highest CKM suppression:

$$A \sim (m_t/m_W)^2 |V_{ts}^* V_{td}| \sim \lambda^5$$

- ❖ Hadronic matrix element related to a measured quantity ( $K^+ \rightarrow \pi^0 e^+ \nu$ ).
- ❖ SM precision surpasses any other FCNC process involving quarks.
- ❖ Measurement of  $|V_{td}|$  complementary to those from  $B$ - $\bar{B}$  mixing or  $B^0 \rightarrow \rho \gamma$ .
- ❖ Optimal probe for non-MFV  
(Gino Isidori, ESPP open symposium 2012)

SM branching ratios

*Brod et al., PRD 83 (2011) 034030*

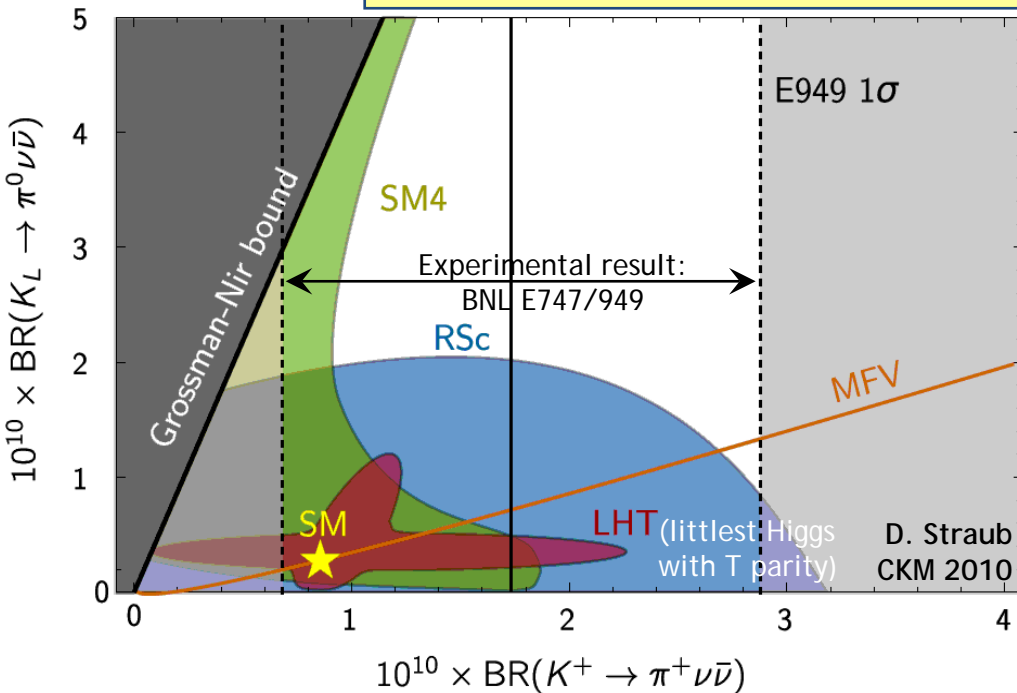
Mode	$BR_{SM} \times 10^{11}$
$K^+ \rightarrow \pi^+ \nu \bar{\nu} (\gamma)$	$7.81 \pm 0.75 \pm 0.29$
$K_L \rightarrow \pi^0 \nu \bar{\nu}$	$2.43 \pm 0.39 \pm 0.06$

Intrinsic  
 CKM  
 parametric

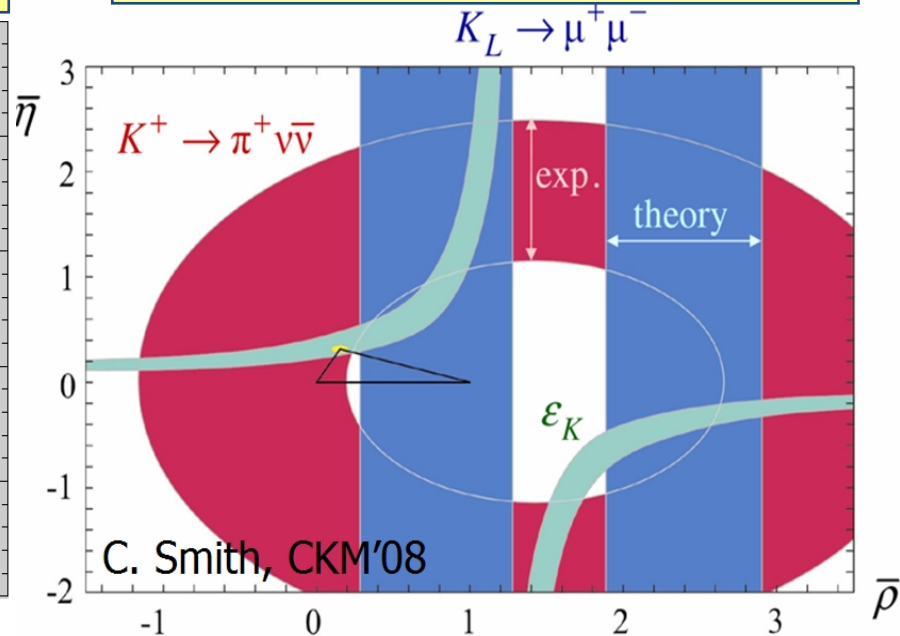
Theoretically clean,  
sensitive to new physics,  
almost unexplored

# $K \rightarrow \pi \nu \bar{\nu}$ : experiment vs theory

BR( $K_L \rightarrow \pi^0 \nu \bar{\nu}$ ) vs BR( $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ )



CKM unitarity triangle with kaons



NA62 aim: collect  $\sim 100$  SM  $K^+ \rightarrow \pi^+ \nu \bar{\nu}$  decays with  $< 20\%$  background in 2 years of data taking using a novel decay-in-flight technique.

Decay signature: high momentum  $K^+$  ( $75 \text{ GeV}/c$ )  $\rightarrow$  low momentum  $\pi^+$  ( $15\text{--}35 \text{ GeV}/c$ ).

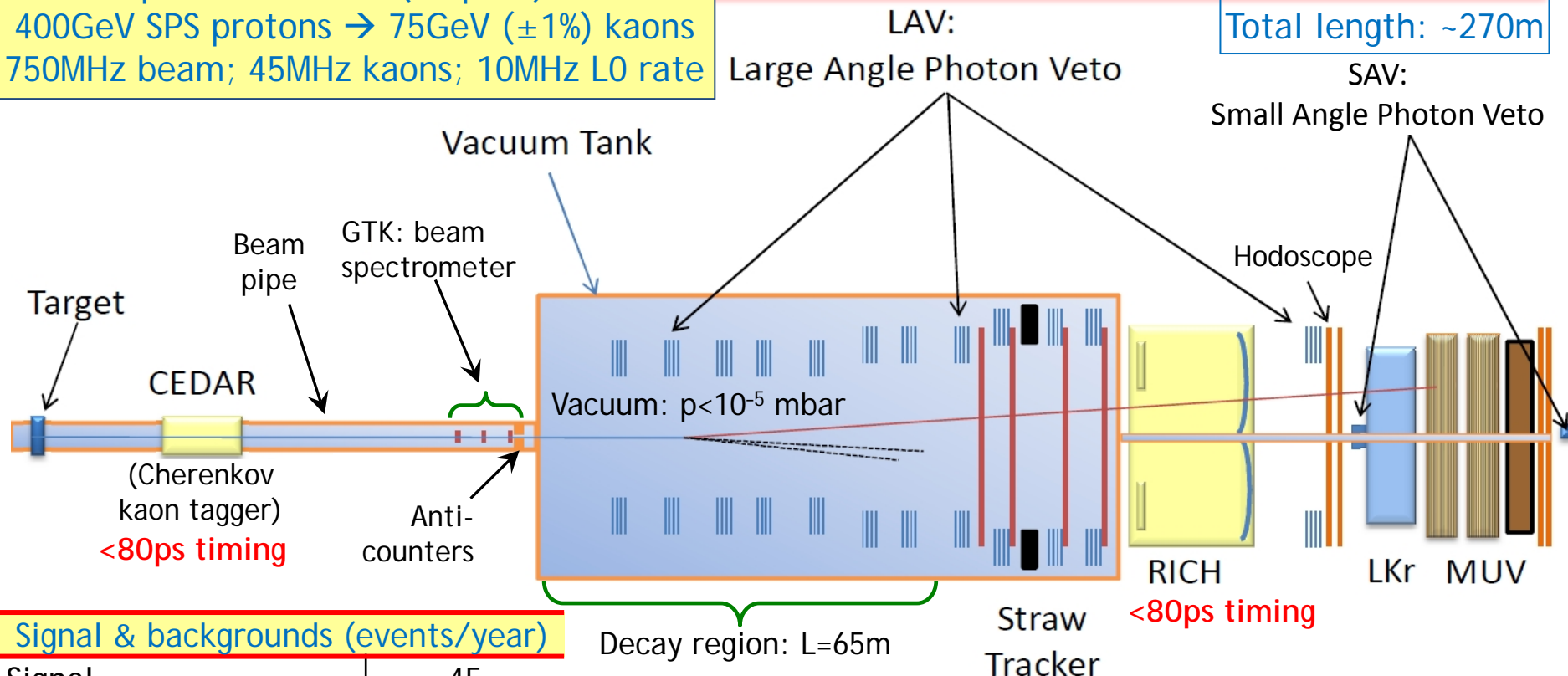
Advantages: max detected  $K^+$  decays/proton ( $p_K/p_0 \approx 0.2$ ); efficient photon veto ( $> 40 \text{ GeV}$  missing energy); good  $\pi^+$  vs  $\mu^+$  identification with RICH.

Un-separated beam (6% kaons)  $\rightarrow$  higher rates, additional backgrounds.

# NA62 detector

Total length: ~270m

Un-separated hadron ( $\pi^+/p/K^+$ ) beam:  
 400GeV SPS protons  $\rightarrow$  75GeV ( $\pm 1\%$ ) kaons  
 750MHz beam; 45MHz kaons; 10MHz LO rate



## Signal & backgrounds (events/year)

Signal	45
$K^+ \rightarrow \pi^+ \pi^0$	5
$K^+ \rightarrow \mu^+ \nu$	1
$K^+ \rightarrow \pi^+ \pi^+ \pi^-$	<1
Other 3-track decays	<1
$K^+ \rightarrow \pi^+ \pi^0 \gamma$ (IB)	1.5
$K^+ \rightarrow \mu^+ \nu \gamma$ (IB)	0.5

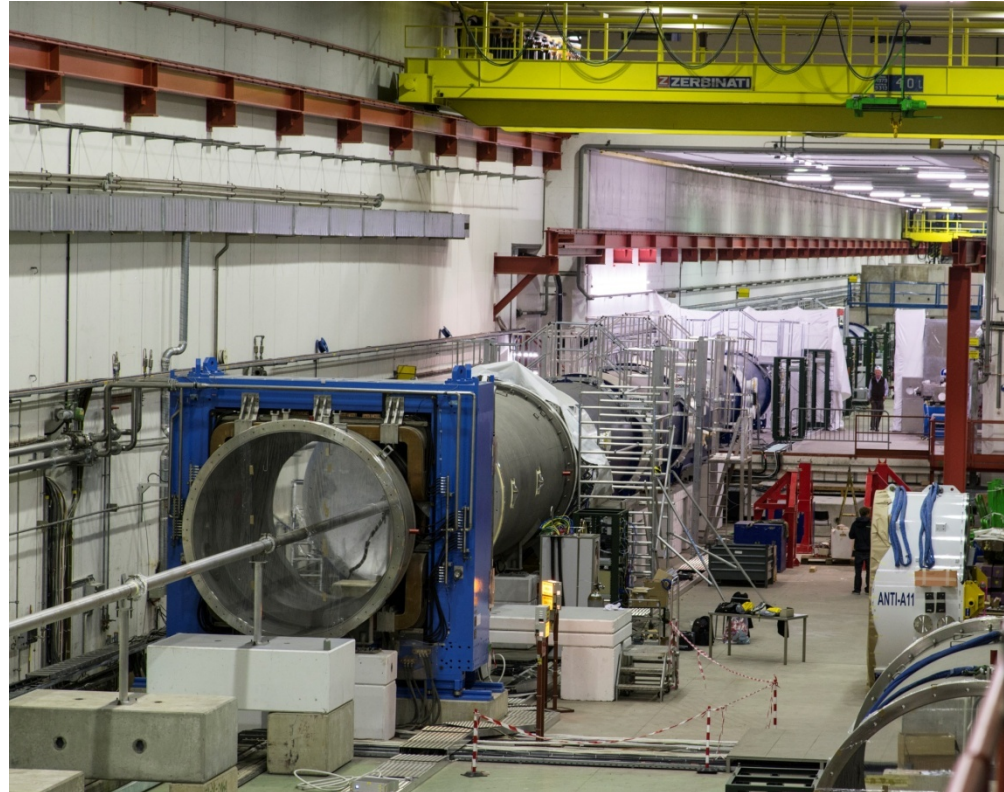
**Total background <10**

- ❖ Kinematic rejection factors (limited by beam pileup and tails of MCS):  $5 \times 10^3$  for  $K^+ \rightarrow \pi^+ \pi^0$ ,  $1.5 \times 10^4$  for  $K \rightarrow \mu^+ \nu$ .
- ❖ Photon veto:  $\sim 10^8$  suppression of  $\pi^0 \rightarrow \gamma \gamma$ .
- ❖ Particle ID:  $\sim 10^7$  muon suppression.

# NA62 installation

*Photon veto (LAV) installation*

*Vacuum tank view in 2012*



*Cherenkov kaon tagger (CEDAR+KTAG)*



# LFV in $K^\pm$ and $\pi^0$ decays

Mode	UL at 90% CL	Experiment	Reference
$K^+ \rightarrow \pi^+ \mu^+ e^-$	$1.3 \times 10^{-11}$	BNL E777/E865	PRD 72 (2005) 012005
$K^+ \rightarrow \pi^+ \mu^- e^+$	$5.2 \times 10^{-10}$	BNL E865*	PRL 85 (2000) 2877
$K^+ \rightarrow \pi^- \mu^+ e^+$	$5.0 \times 10^{-10}$		
$K^+ \rightarrow \pi^- e^+ e^+$	$6.4 \times 10^{-10}$		
$K^\pm \rightarrow \pi^\mp \mu^\pm \mu^\pm$	$1.1 \times 10^{-9}$	CERN NA48/2	PLB 697 (2011) 107
$K^+ \rightarrow \mu^- \nu e^+ e^+$	$2.0 \times 10^{-8}$	Geneva-Saclay	PL 62B (1976) 485
$K^+ \rightarrow e^- \nu \mu^+ \mu^+$	no data		
$\pi^0 \rightarrow \mu^+ e^-$	$3.6 \times 10^{-10}$	FNAL KTeV	PRL 100 (2008) 131803
$\pi^0 \rightarrow \mu^- e^+$	$3.6 \times 10^{-10}$		

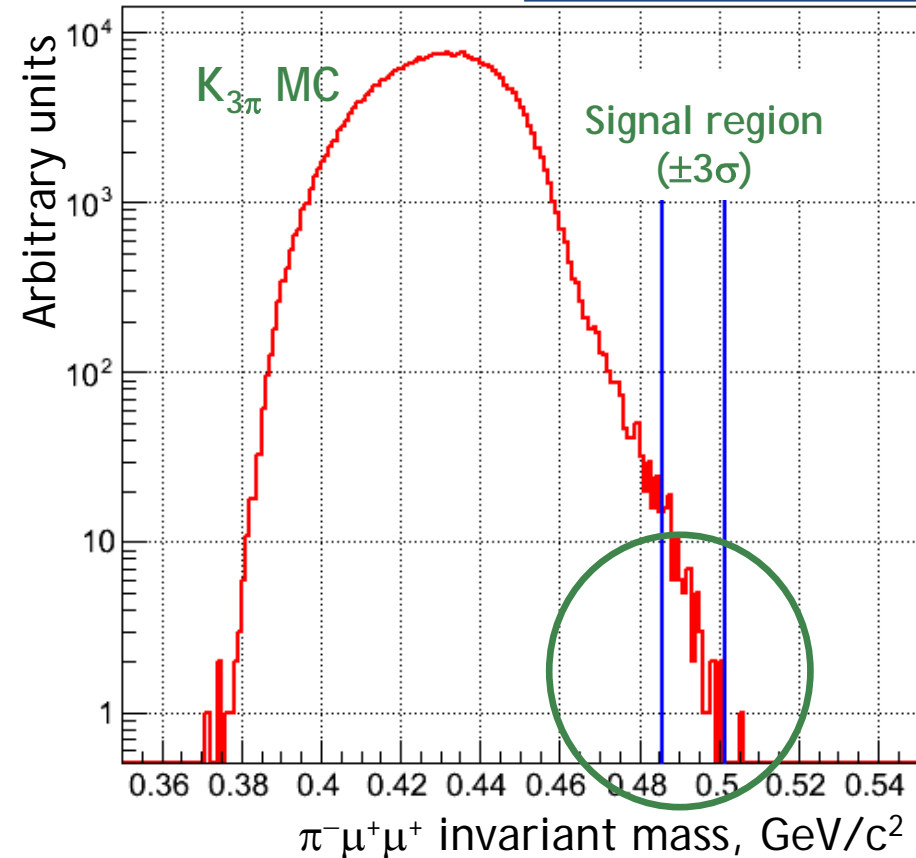
\* CERN NA48/2 sensitivities for these 3 modes are similar to those of BNL E865

NA62: nominally  $1.2 \times 10^{13}$   $K^+$  decays in fiducial volume.  
 Expected SES:  $\sim 10^{-12}$  for  $K^+$  decays,  $< 10^{-11}$  for  $\pi^0$  decays.

*NA62 is expected to improve on all these decay modes*

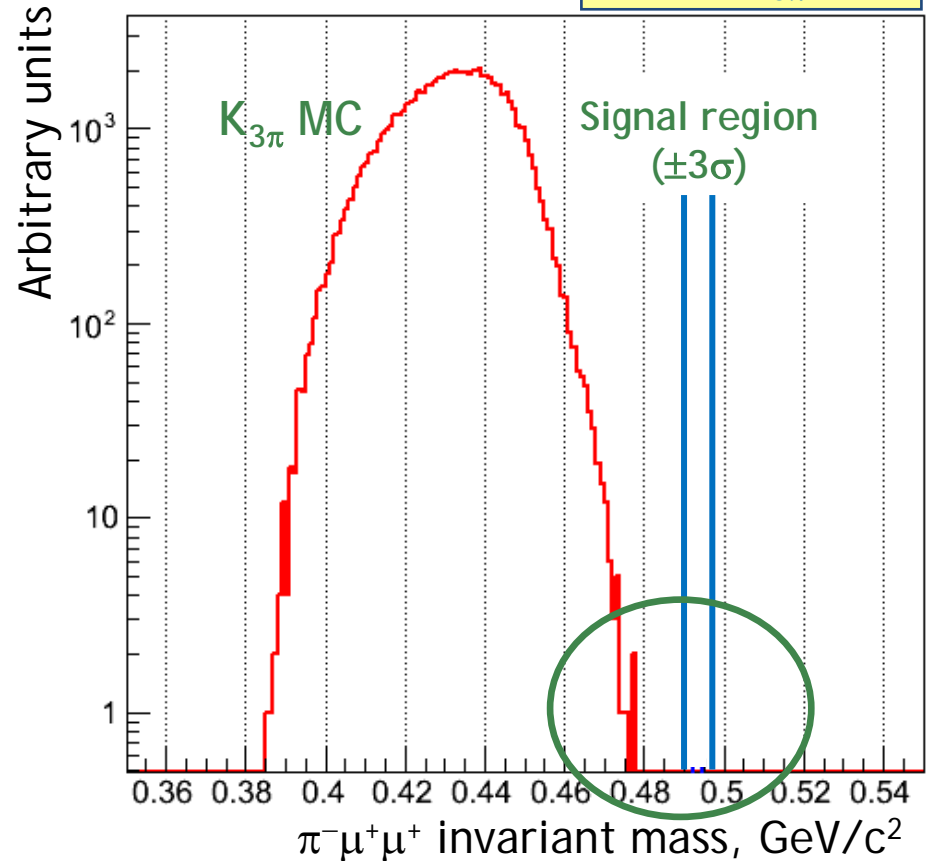
# Example: $K^\pm \rightarrow \pi^\mp \mu^\pm \mu^\pm$ at NA62

NA48/2 ( $K_{3\pi}$  MC)



**NA48/2:**  $K_{3\pi}$  background to  $K_{\pi\mu\mu}$  due to  $\pi^\pm \rightarrow \mu^\pm \nu$  decays in the spectrometer

NA62 ( $K_{3\pi}$  MC)



**NA62:** no  $K_{3\pi}$  background due to higher spectrometer  $P_T$  kick (270 vs 120  $\text{MeV}/c$ ) and improved  $\pi\mu\mu$  mass resolution (1.1 vs 2.6  $\text{MeV}/c^2$ )

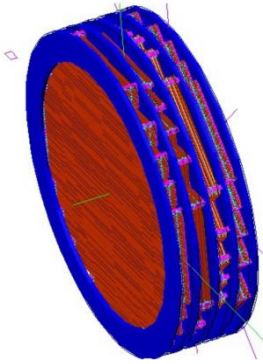


- ❖ Muons will play a central role in LFV searches.  
Upcoming specialized experiments: COMET, Mu2e, MEG-II, Mu3e.
- ❖ Near-future muon conversion and decay experiments aim at sensitivities from  $\sim 10^{-13}$  to  $\sim 10^{-17}$ .
- ❖ In other sectors, significant progress can be achieved at marginal cost by building on existing experiments.
- ❖ NA62 is expected operate as a multi-purpose  $K^+$  decay experiment with  $\sim 10^{-12}$  sensitivity to LFV  $K^+$  and  $\pi^0$  decays.

# SPARES

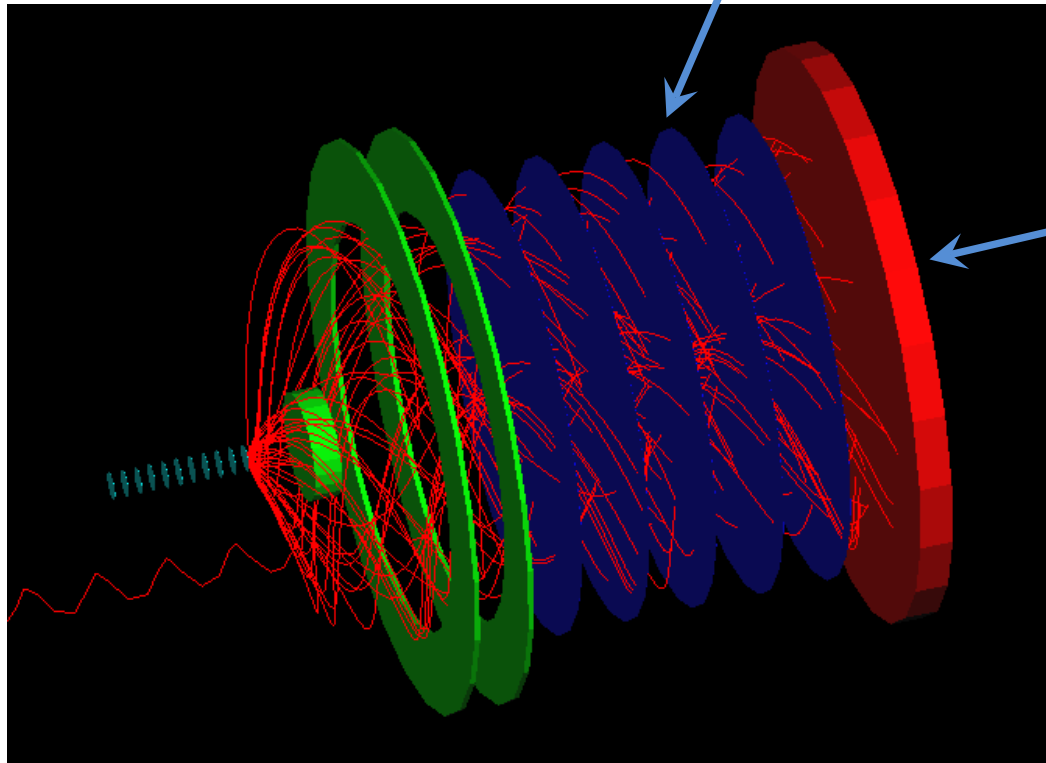
# COMET phase II detector prototype

(to be tested during Phase I)



“Transverse tracker”:

Straw tube tracker (5 multi-layer modules).  
NA62-type straws produced at JINR (Dubna).  
Momentum resolution:  $\delta p_e = \text{few} \times 100 \text{ keV}/c$ .



EM calorimeter:  
GSO or LYSO crystals.

Resolutions:

- ❖ Energy:  $<5\%$  at **105 MeV**.
- ❖ Position:  $<1.5 \text{ cm}$ .

- ✓ Redundant momentum measurement, avoiding tracker pattern recognition errors.
- ✓ Provides the trigger.

# Recent $K^\pm$ experiments at CERN

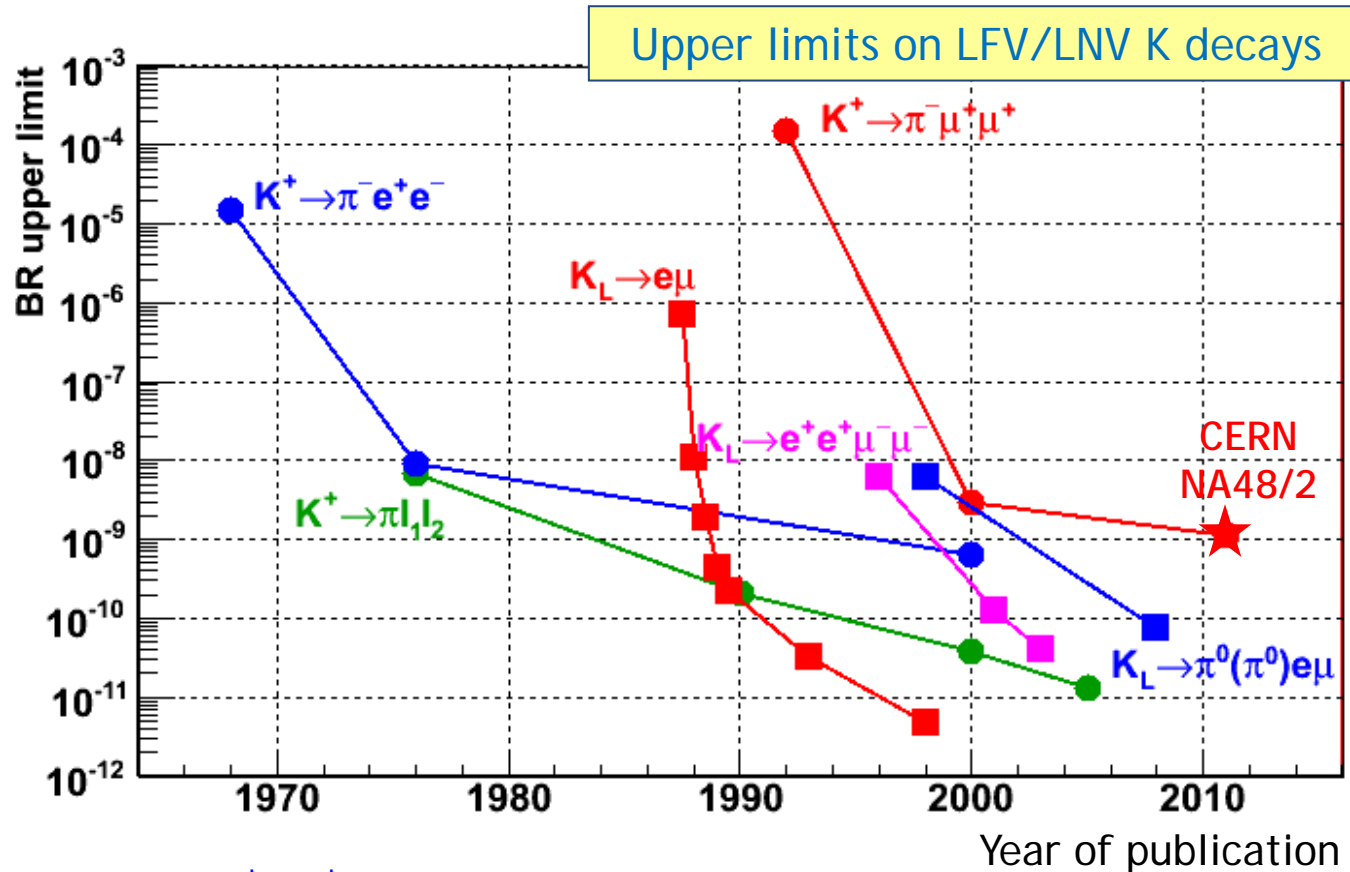
Experiment	NA48/2 ( $K^\pm$ )	NA62-R <sub>K</sub> ( $K^\pm$ )	NA62 ( $K^+$ ; <i>planned</i> )
Data taking period	2003–2004	2007–2008	2014–2017
Beam momentum, GeV/c	60	74	75
RMS momentum bite, GeV/c	2.2	1.4	0.8
Spectrometer thickness, $X_0$	2.8%	2.8%	1.8%
Spectrometer $P_T$ kick, MeV/c	120	265	270
$M(K^\pm \rightarrow \pi^\pm \pi^+ \pi^-)$ resolution, MeV/c <sup>2</sup>	1.7	1.2	0.8
K decays in fiducial volume	$1.9 \times 10^{11}$	$2.5 \times 10^{10}$	$1.2 \times 10^{13}$
Main trigger	Three-track; $K^\pm \rightarrow \pi^\pm \pi^0 \pi^0$	$e^\pm$	$K_{\pi\nu\nu} + \dots$

Same detector (NA48)

## The new NA62 detector:

- ❖ beam spectrometer and kaon tagger;
- ❖ improved mass reconstruction and particle identification;
- ❖ hermetic photon veto.

# NA48/2 $K^\pm \rightarrow \pi^\mp \mu^\pm \mu^\pm$ upper limit



$$\mathcal{B}(K^\pm \rightarrow \pi^\mp \mu^\pm \mu^\pm) < 1.1 \times 10^{-9}$$

- ❖ Previous BNL E865 limit improved by a factor  $\sim 3$ .
- ❖ By-product of the  $K^\pm \rightarrow \pi^\pm \mu^\pm \mu^\mp$  analysis: non-optimized procedure.
- ❖ A dedicated re-analysis has a potential sensitivity of  $\sim 10^{-10}$ .

# $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ at BNL E747/E949

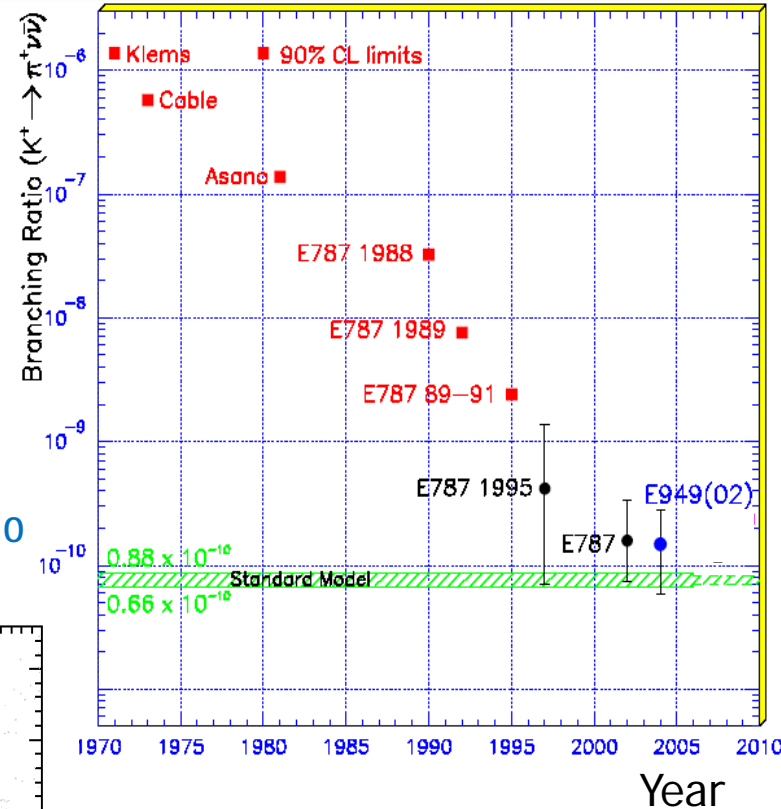
## Technique: $K^+$ decay at rest

Data taking: E787 (1995–98), E949 (2002).  
 Separated  $K^+$  beam (710 MeV/c, 1.6MHz).  
 PID: range (entire  $\pi^+ \rightarrow \mu^+ \rightarrow e^+$  decay chain).  
 Hermetic photon veto system.

Observed candidates: 7

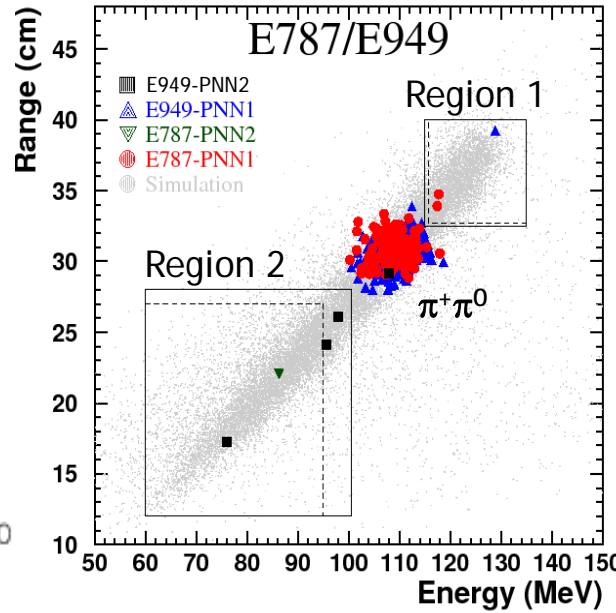
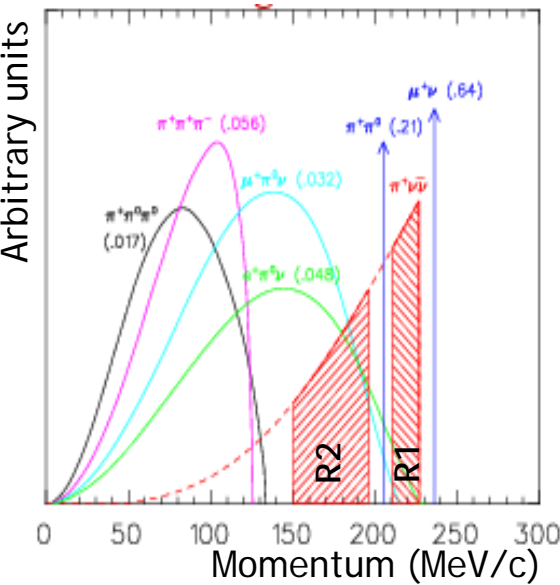
Expected background: 2.6

Final result:  $BR = (1.73_{-1.05}^{+1.15}) \times 10^{-10}$



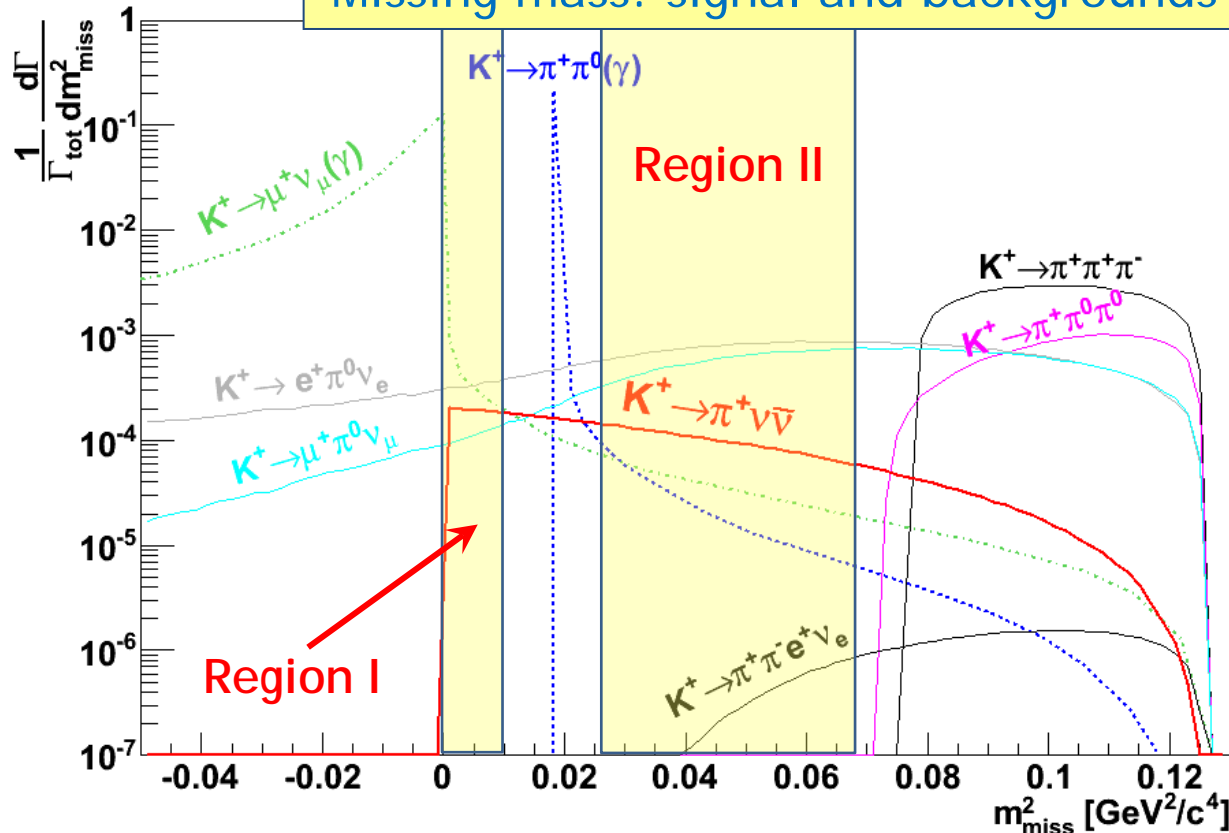
→ Significant background from the  $K_{2\pi}$  decay (~30%) due to  $\pi^+$  scattering in the target.

PRL 101 (2008) 191802;  
 PRD 79 (2009) 092004



# NA62: $K_{\pi\nu\nu}$ signal region

Missing mass: signal and backgrounds



92% of total background  
( $K_{\mu 2}$ ,  $K_{2\pi}$ ,  $K_{3\pi}$ )

- ❖ Outside the signal kinematic region.
- ❖ Signal region is split into **Region I** and **Region II** by the  $K^+ \rightarrow \pi^+ \pi^0$  peak.

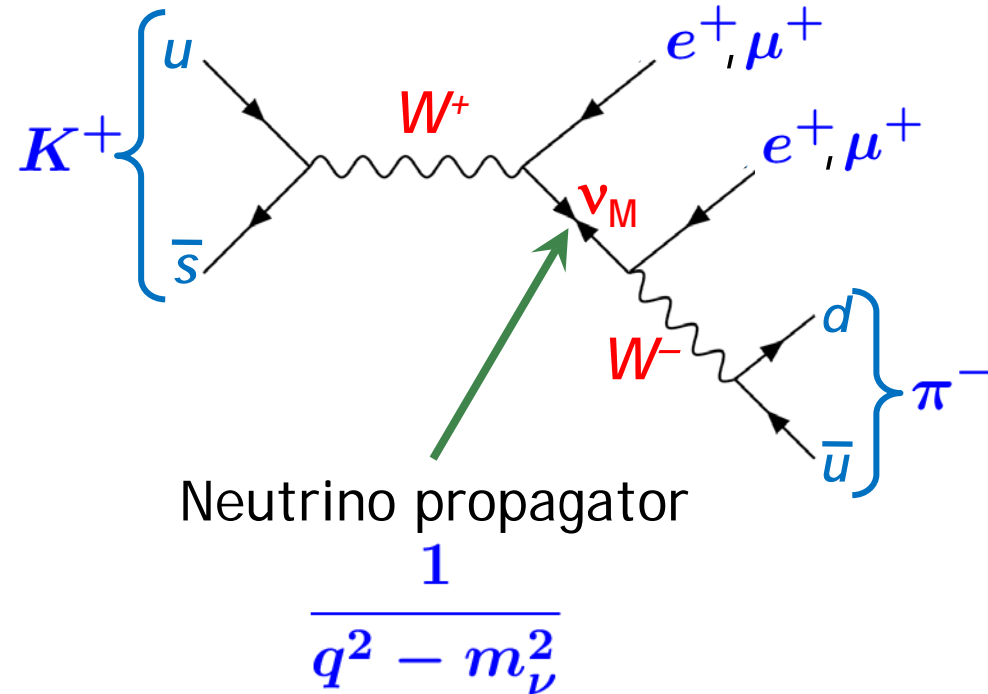
8% of total background

- ▶ Span across the signal region
- ▶ Not rejected by kinematic criteria
- ▶ **Rejection relies on vetoes/PID**

# LVN in K decays

$$K^+ \rightarrow \pi^- l_1^+ l_2^+, \quad l = e, \mu$$

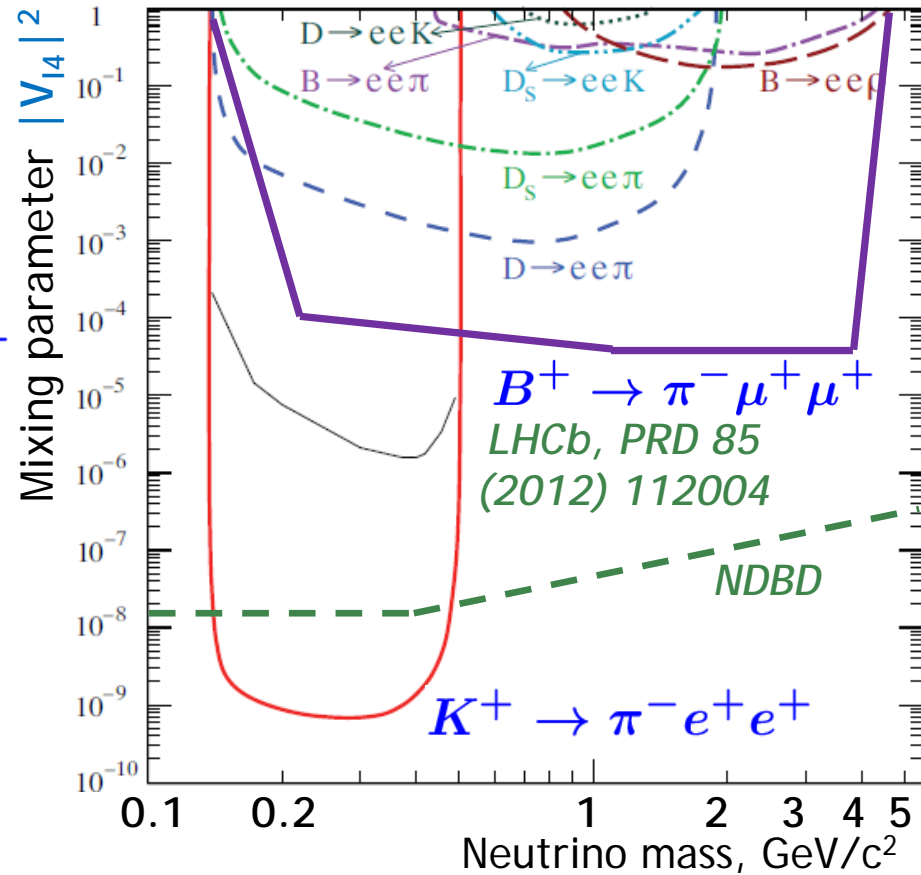
Majorana neutrino exclusion regions



→ resonant enhancement for

$$m_\pi \lesssim m_\nu \lesssim m_K$$

Littenberg and Shrock,  
PLB491 (2000) 285

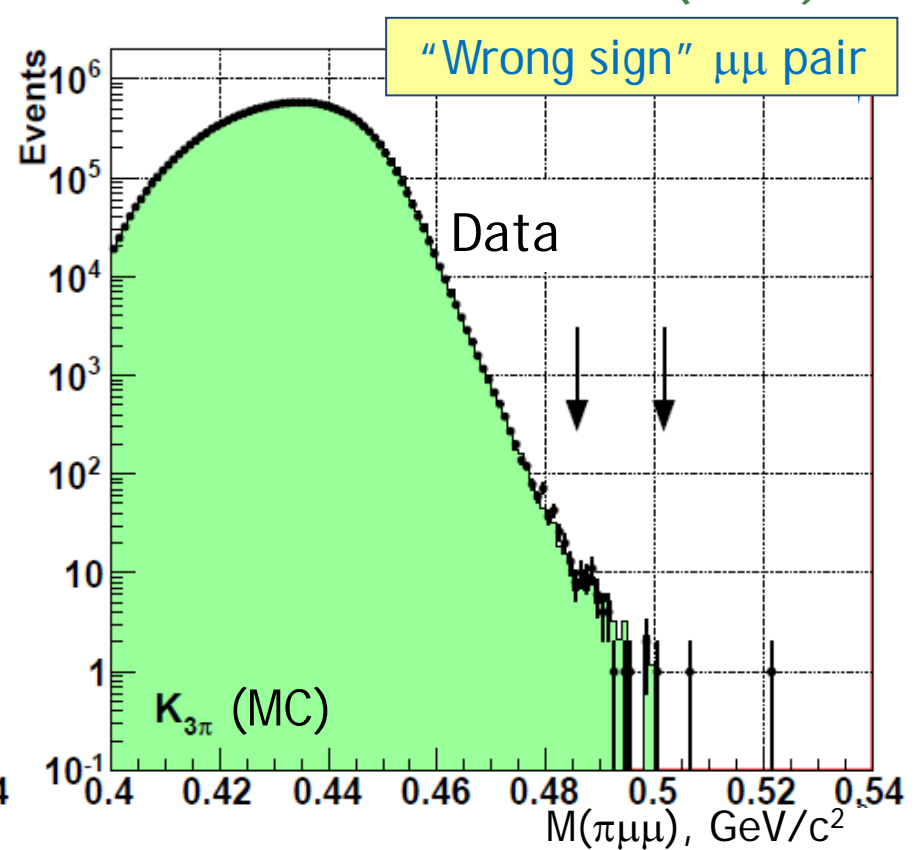
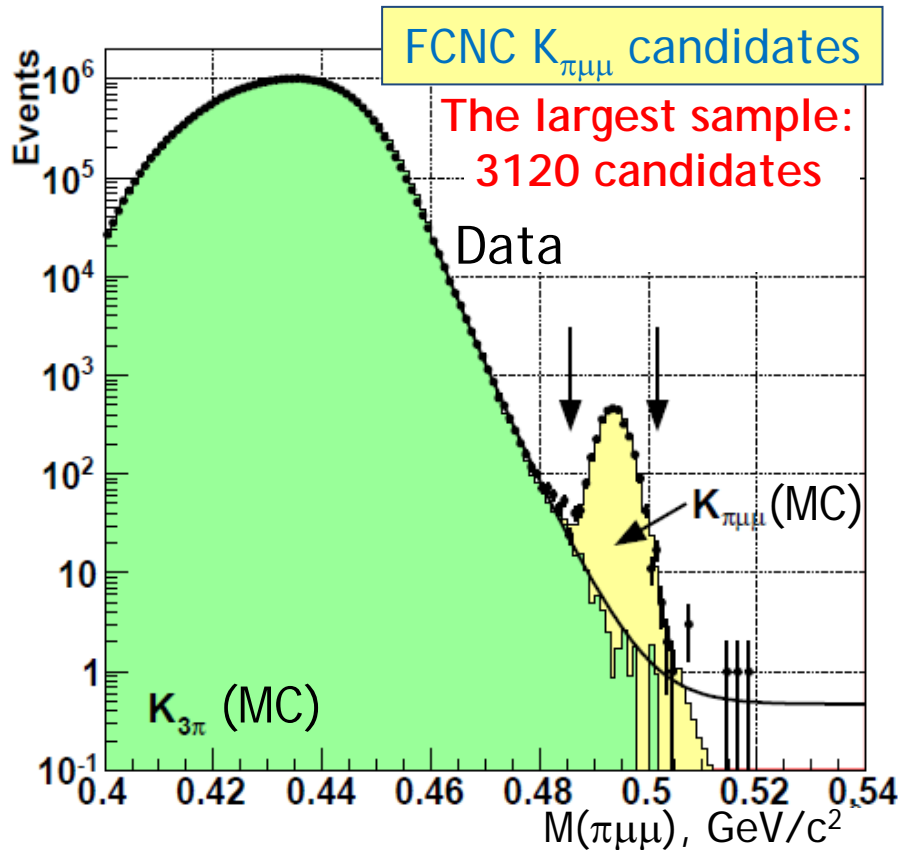


Plot from Atre et al.,  
JHEP 0905 (2009) 030



# Proof of principle: NA48/2

PLB 697 (2011) 107



$$N_{\text{data}} = 52$$

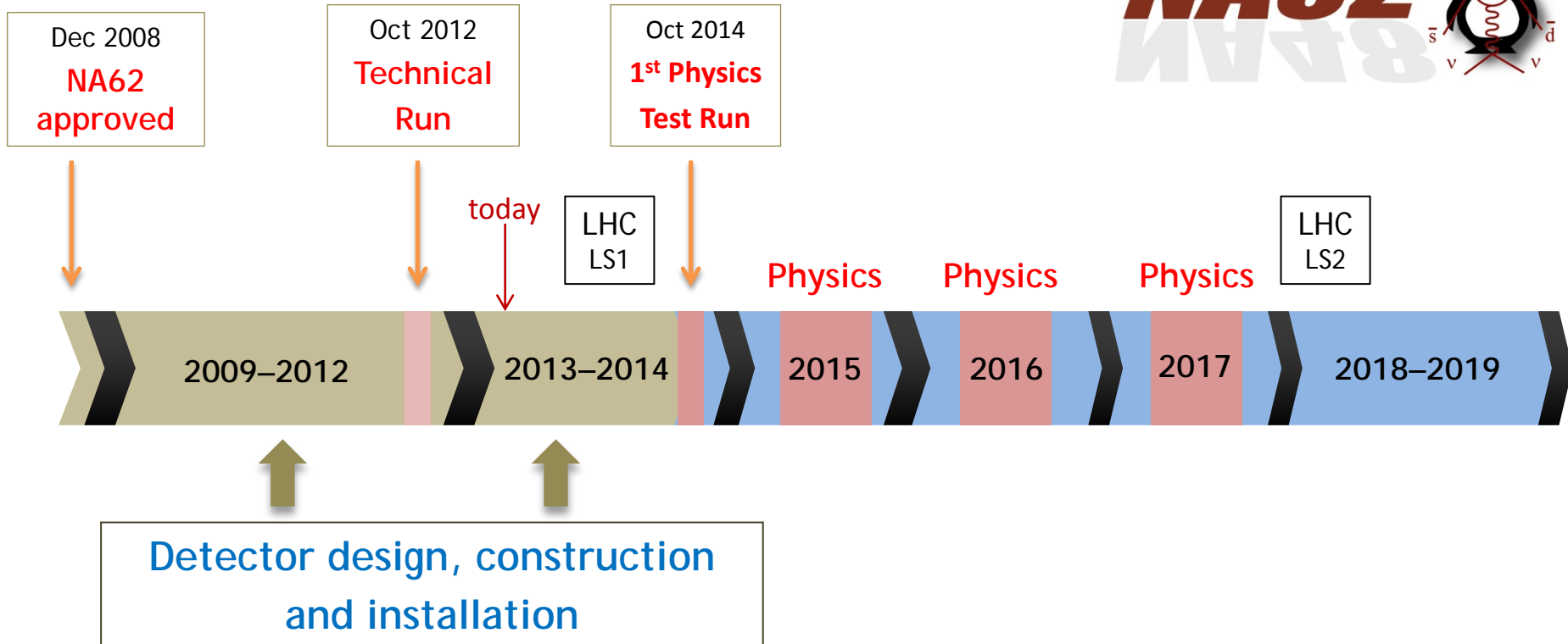
$$N_{\text{bkg}} = 52.6 \pm 19.8_{\text{sys}}$$

$$\Rightarrow \mathcal{B}(K^{\pm} \rightarrow \pi^{\mp} \mu^{\pm} \mu^{\pm}) < 1.1 \times 10^{-9} \text{ @90\% CL}$$

❖ Precision limited by background from  $\pi^{\pm} \rightarrow \mu^{\pm} \nu$ , despite  $\text{SES} \approx 3 \times 10^{-11}$ .

❖ A dedicated re-analysis (in progress) has a sensitivity of  $\sim 10^{-10}$ .

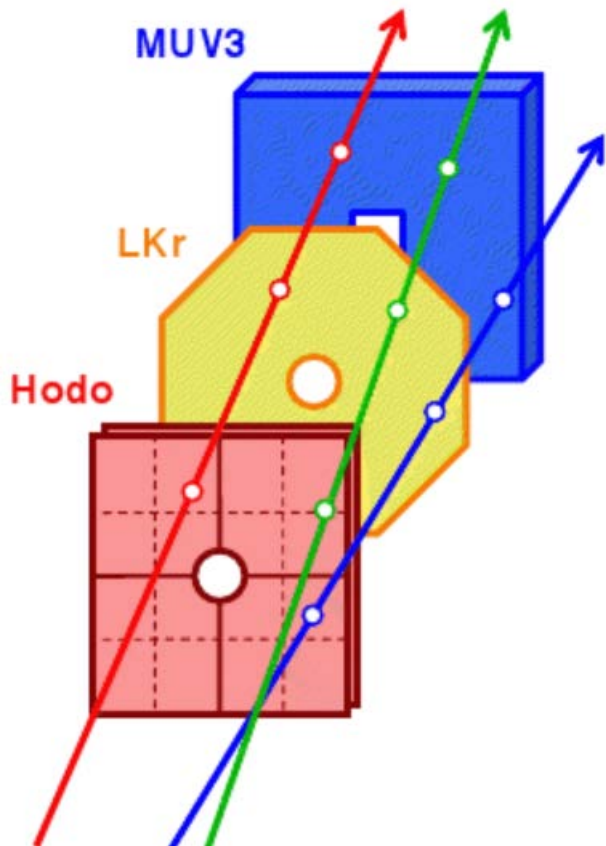
# NA62 timeline



- ❖ 5 years of construction interleaved with a Technical Run in **October 2012**
- ❖ First Run with full detector in **2014**
- ❖ Plan 3 physics data taking runs before LHC Long Shutdown 2 (LS2)

# Triggering on lepton pairs

NA62 three-track decay rate upstream CHOD:  $F_{3\text{track}} = 640 \text{ kHz}$   
→ **Too high** to collect all three-track decays



Available L0 trigger primitives:

- ❖  $Q_N$ : at least N hodoscope quadrants;
- ❖  $LKR_N(x)$ : at least N LKr clusters with energy  $E > x \text{ GeV}$ ;
- ❖  $MUV_N$ : hits in at least N MUV3 pads.

Possible L0 triggers for LFV searches:

$$\begin{aligned} ee \text{ pair:} & \quad Q_2 \times LKR_2(15) \\ \mu e \text{ pair:} & \quad Q_2 \times LKR_1(15) \times MUV_1 \\ \mu\mu \text{ pair:} & \quad Q_2 \times MUV_2 \end{aligned}$$

Total expected lepton pair L0 rate:  $\sim 100 \text{ kHz}$

→ *Charge-blind lepton pair collection is feasible*