

The local CS equation – structure and applications

Boaz Keren-Zur

EPFL

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With R. Rattazzi, F. Baume and L. Vitale.

Characterizing RG flows: The Callan-Symanzik equation

The basic idea:

- ▶ Global scale transformation symmetry can be broken explicitly by quantum effects.
- ▶ The non-invariance can be compensated by modifications of the parameters.

Implications:

- ▶ Scale transformation can be translated into a flow in parameter space.

Characterizing RG flows: Finiteness of T (Brown and Collins 1980)

The basic idea:

- ▶ **Local** scale transformations are encoded in $T = T_\mu^\mu$.
- ▶ Compute T in terms of bare composite operators.
- ▶ Consistency condition: T is finite.

Implications:

- ▶ Non-trivial constraints on the structure of counterterms.

Characterizing RG flows: The Local Callan-Symanzik equation (Osborn 91)

The basic idea:

- ▶ **Local** scale transformations can be compensated by local transformations of the parameters.
- ▶ Define a generalization of the Weyl symmetry.
- ▶ Consistency condition: The symmetry is abelian.

Implications:

- ▶ Irreversibility of RG flows (in perturbation theory, 4D, unitary).

The Local Callan-Symanzik symmetry: ingredients

- ▶ Local scale transformations implemented using a background metric.

$$\begin{aligned}\eta^{\mu\nu} &\rightarrow g^{\mu\nu}(x) \\ \delta_\sigma g^{\mu\nu}(x) &= 2\sigma(x)g^{\mu\nu}(x)\end{aligned}$$

- ▶ The local transformation of the parameters implemented by promoting them to background fields

$$\begin{aligned}\lambda^I &\rightarrow \lambda^I(x) \\ \delta_\sigma \lambda^I(x) &= -\sigma(x)\beta^I(\lambda(x))\end{aligned}$$

- ▶ The symmetry generator:

$$\left(\delta_\sigma g^{\mu\nu} \frac{\delta}{\delta g^{\mu\nu}(x)} + \delta_\sigma \lambda^I \frac{\delta}{\delta \lambda^I(x)} + \dots \right) = \sigma \left(2g^{\mu\nu} \frac{\delta}{\delta g^{\mu\nu}(x)} - \beta^I \frac{\delta}{\delta \lambda^I(x)} + \dots \right)$$

Background fields: a useful concept

► **Sources for renormalized operators:**

One can define $\mathcal{W}[g, \lambda, \dots] = -i \log \mathcal{Z}[g, \lambda, \dots]$

a renormalized generating functional for correlation functions of composite operators

$$\frac{1}{\sqrt{-g}} \frac{\delta \mathcal{W}}{\delta \lambda^I(x)} = [\mathcal{O}_I(x)]$$

$$\frac{2}{\sqrt{-g}} \frac{\delta \mathcal{W}}{\delta g^{\mu\nu}(x)} = [T_{\mu\nu}(x)]$$

► **Spurions:**

One can enlarge the symmetry of the theory,
by assigning them with transformation properties.

The background dilaton: a redundant but useful notation

Introduce a background metric $g^{\mu\nu}(x)$,
and use the redundant notation

$$g^{\mu\nu}(x) = e^{2\tau(x)} \bar{g}^{\mu\nu}(x)$$

$\tau(x)$ is a source for T :

$$\left. \frac{\delta}{\delta \tau(x)} \mathcal{W}[g] \right| = 2g^{\mu\nu} \left. \frac{\delta}{\delta g^{\mu\nu}(x)} \mathcal{W}[g] \right| = [T^\mu_\mu(x)]$$

An effective action for the dilaton

\Rightarrow bookkeeping device for n -point functions of $T \equiv T^\mu_\mu$.

The local Callan-Symanzik equation

Define a symmetry generator

$$\Delta_{\sigma}^{CS} = \int d^4x \sigma(x) \left(\frac{\delta}{\delta \tau(x)} - \beta^I \frac{\delta}{\delta \lambda^I(x)} + \dots \right)$$

\mathcal{W} is invariant up to a local anomaly

$$\Delta_{\sigma}^{CS} \mathcal{W}[g, \lambda, \dots] = \int dx \sigma \mathcal{A}[g, \lambda, \dots]$$

- ▶ The anomaly \mathcal{A} is the most general scalar which can be written using the sources and their derivatives.
- ▶ Can be written in an operator form

$$T(x) = \beta^I [\mathcal{O}_I(x)] + \dots$$

Consistency conditions

- Constraints on the coefficients in the symmetry generator

$$\left[\Delta_{\sigma_2}^{CS}, \Delta_{\sigma_1}^{CS} \right] = 0$$

- Constraints on the anomaly coefficients

$$\left[\Delta_{\sigma_2}^{CS}, \Delta_{\sigma_1}^{CS} \right] \mathcal{W} = \Delta_{\sigma_2}^{CS} \left(\int dx_1 \sigma_1 \mathcal{A} \right) - \Delta_{\sigma_1}^{CS} \left(\int dx_2 \sigma_2 \mathcal{A} \right) = 0$$

Consistency conditions - implications

- ▶ Example:

$$\mu \frac{d}{d\mu} \tilde{a}(\lambda) = \frac{1}{8} \chi_{IJ}^g \beta^I \beta^J$$

If χ_{IJ}^g is positive definite – **irreversibility of RG flow!**

- ▶ What about the other constraints on the anomaly (there are ~ 10 equations)?
- ▶ **New result:**
a new formulation of the anomaly.
 \Rightarrow most of the other equations do not constrain the RG flow.
Only one additional non-trivial consistency condition (related to F^2 anomaly).

Dilaton effective action

- ▶ The dilaton effective action is a convenient bookkeeping device for correlators of T .
- ▶ The local CS equation can be used to rewrite correlators of T :

$$\langle T(x) \rangle = \beta^I \langle \mathcal{O}_I(x) \rangle + \mathcal{A}(x)$$

$$\begin{aligned} \langle T(x_n) \dots T(x_1) \rangle &\sim \beta^{I_m} \dots \beta^{I_1} \langle \mathcal{O}_{I_m}(x_m) \dots \mathcal{O}_{I_1}(x_1) \rangle \\ &\quad + \textit{anomaly related contact terms} \end{aligned}$$

- ▶ **New result:**
a systematic approach for this computation.
- ▶ Requires the reformulation of the anomaly.

Characterizing RG flows: Dispersion relations for correlators of T

The basic idea:

- ▶ Study the response of the system to **local** scale transformations.
= compute **correlators** of T ("dilaton scattering amplitudes")
- ▶ Use analyticity and unitarity to write dispersion relations with positivity constraints.

Implications:

- ▶ Comparing between two limits of the flow, across non-perturbative regimes (weak irreversibility of RG flow - *a* theorem, KS 2011).
- ▶ Constraining the asymptotic limits of perturbative RG flows (All perturbative RG flows end in conformal fixed points, LPR, 2011)

Main points of the talk

- ▶ The local CS equation can be used to characterize RG flows
 1. by studying the consistency conditions.
 2. as a tool for computing correlators of T .

There is a lot of "know-how" involved in the process....

- ▶ We have improved the formulation of the anomaly, in a way which isolates only the interesting constraints.
- ▶ The new formulation allowed us to give analytic expressions for the dilaton effective action off-criticality.
- ▶ We proved that the "scattering amplitudes" involved in the a theorem and LPR are indeed insensitive to lower dimension operators.

Outline

Introduction

The local Callan-Symanzik equation

The local Callan-Symanzik anomaly

n point functions of T (dilaton effective action)

The set-up

- ▶ Consider a 4D fixed point.
- ▶ Put the theory in a curved background metric $g^{\mu\nu}(x)$.
- ▶ Assign a dimensionless source $\lambda^I(x)$ to each of the marginal operators.
- ▶ Define a renormalized generating functional \mathcal{W} .
- ▶ Consider a background

$$\begin{aligned}\bar{g}^{\mu\nu} &= \eta^{\mu\nu} \\ \nabla_\mu \lambda^I &= 0 \\ |\lambda^I - \lambda^{I*}| &\ll 1\end{aligned}$$

The β functions vanish at $\lambda^I = \lambda^{I*}$

The set-up

- ▶ The local CS equation:

$$\int d^4x \sigma \left(\frac{\delta}{\delta \tau(x)} - \beta^I \frac{\delta}{\delta \lambda^I(x)} \right) \mathcal{W}[g, \lambda] = \int dx \sigma \mathcal{A}$$

- ▶ In general there are other operators $\mathcal{O}_\alpha(x)$ of dimension d_α .
We could add sources m^α of dimension $4 - d_\alpha$ to all these operators and write

$$\begin{aligned} \int d^4x \sigma \left(\frac{\delta}{\delta \tau(x)} - \beta^I \frac{\delta}{\delta \lambda^I(x)} + m^\beta (d_\beta^\alpha + \gamma_\beta^\alpha) \frac{\delta}{\delta m^\alpha(x)} \right) \mathcal{W}[g, \lambda^I, m^\alpha] \\ = \int dx \sigma \mathcal{A} \end{aligned}$$

In a background where $m^\alpha = 0$ this will have no effect on our computations.

- ▶ Except...

The set-up

- ▶ When writing the basis of renormalized dimension 4 scalar operators, we must take into account

$$[\mathcal{O}_I(x)], \quad \nabla_\mu [J_A^\mu], \quad \nabla^2 [\mathcal{O}_a]$$

- ▶ We therefore have to add more background fields $A_\mu^A(x)$ and $m^a(x)$:

$$\frac{1}{\sqrt{-g}} \frac{\delta \mathcal{W}}{\delta m^a(x)} = [\mathcal{O}_a(x)] \qquad \frac{1}{\sqrt{-g}} \frac{\delta \mathcal{W}}{\delta A_\mu^A(x)} = [J_\mu^A(x)]$$

- ▶ All derivatives are promoted to be covariant derivatives:

$$\nabla_\mu = \partial_\mu + A_\mu$$

- ▶ evaluate all derivatives in the background

$$\begin{aligned} \bar{g}^{\mu\nu} &= \eta^{\mu\nu} \\ m = A = \nabla_\mu \lambda^I &= 0 \\ |\lambda^I - \lambda^{I*}| &\ll 1 \end{aligned}$$

- ▶ Now we are ready to write the most general symmetry allowed by dimensional analysis:

The local CS symmetry

$$\Delta_{\sigma}^{CS}(x) = \Delta_{\sigma}^W(x) - \Delta_{\sigma}^{\beta}(x)$$

where

$$\begin{aligned} \Delta_{\sigma}^W &= \int d^4x \left[\sigma \frac{\delta}{\delta \tau(x)} \right] \\ \Delta_{\sigma}^{\beta} &= \int d^4x \left[\sigma \left(\beta^I \frac{\delta}{\delta \lambda^I(x)} + \rho_I^A \nabla_{\mu} \lambda^I \frac{\delta}{\delta A_{\mu}^A(x)} \right) - \nabla_{\mu} \sigma \left(S^A \frac{\delta}{\delta A_{\mu}^A(x)} \right) \right. \\ &\quad \left. - \sigma \left(m^b (2\delta_b^a + \gamma_b^a) + \frac{1}{3} \eta^a R + d_I^a \nabla^2 \lambda^I + \frac{1}{2} \epsilon_{IJ}^a \nabla_{\mu} \lambda^I \nabla^{\mu} \lambda^J \right) \frac{\delta}{\delta m^a(x)} \right. \\ &\quad \left. + \nabla_{\mu} \sigma \left(\theta_I^a \nabla^{\mu} \lambda^I \frac{\delta}{\delta m^a(x)} \right) - \nabla^2 \sigma \left(t^a \frac{\delta}{\delta m^a(x)} \right) \right] \end{aligned}$$

The local CS equation

$$\Delta_{\sigma}^{CS} \mathcal{W} = \int dx \sigma \mathcal{A}$$

The local CS equation - operator form

$$\begin{aligned} \int d^4x \left[\sigma \left(\frac{\delta}{\delta \tau(x)} - \beta^I \frac{\delta}{\delta \lambda^I(x)} - \rho_I^A \nabla_\mu \lambda^I \frac{\delta}{\delta A_\mu^A(x)} \right) + \nabla_\mu \sigma \left(S^A \frac{\delta}{\delta A_\mu^A(x)} \right) \right. \\ \left. + \sigma \left(m^b \left(2\delta_b^a + \gamma_b^a \right) + \frac{1}{3} \eta^a R + d_I^a \nabla^2 \lambda^I + \frac{1}{2} \epsilon_{IJ}^a \nabla_\mu \lambda^I \nabla^\mu \lambda^J \right) \frac{\delta}{\delta m^a(x)} \right. \\ \left. - \nabla_\mu \sigma \left(\theta_I^a \nabla^\mu \lambda^I \frac{\delta}{\delta m^a(x)} \right) + \nabla^2 \sigma \left(t^a \frac{\delta}{\delta m^a(x)} \right) \right] \mathcal{W} = \int dx \sigma \mathcal{A} \end{aligned}$$

The operator form of the equation:

$(\sigma(x) = \delta(x), \text{ flat background})$

$$T = \beta^I [\mathcal{O}_I] - S^A \nabla_\mu [J_A^\mu] - t^a \nabla^2 [\mathcal{O}_a]$$

The running of the renormalized operators:

$$\begin{aligned} (\mathcal{D} - 4) [\mathcal{O}_I] &= \partial_J \beta^I [\mathcal{O}_J] - \rho_I^A \nabla_\mu [J_A^\mu] - d_I^a \nabla^2 [\mathcal{O}_a] \\ (\mathcal{D} - 2) [\mathcal{O}_a] &= \gamma_a^b [\mathcal{O}_b] \end{aligned}$$

(Comment: the currents are renormalized because the symmetry is explicitly broken by the sources)

The local CS symmetry - details

$$\begin{aligned} \int d^4x \left[\sigma \left(\frac{\delta}{\delta \tau(x)} - \beta^I \frac{\delta}{\delta \lambda^I(x)} - \rho_I^A \nabla_\mu \lambda^I \frac{\delta}{\delta A_\mu^A(x)} \right) + \nabla_\mu \sigma \left(S^A \frac{\delta}{\delta A_\mu^A(x)} \right) \right. \\ \left. + \sigma \left(m^b \left(2\delta_b^a + \gamma_b^a \right) + \frac{1}{3} \eta^a R + d_I^a \nabla^2 \lambda^I + \frac{1}{2} \epsilon_{IJ}^a \nabla_\mu \lambda^I \nabla^\mu \lambda^J \right) \frac{\delta}{\delta m^a(x)} \right. \\ \left. - \nabla_\mu \sigma \left(\theta_I^a \nabla^\mu \lambda^I \frac{\delta}{\delta m^a(x)} \right) + \nabla^2 \sigma \left(t^a \frac{\delta}{\delta m^a(x)} \right) \right] \end{aligned}$$

1. Renormalization and improvement schemes.
2. Ambiguities.
3. Consistency conditions.
4. Transformation properties of functions.

Renormalization scheme

Using a different basis

$$\mathcal{W}[g, \lambda, A, m] = \mathcal{W}'[g, \lambda, A', m']$$

where a new basis of sources can be parameterized by

$$\begin{aligned} A_\mu^{A'} &= A_\mu^A + f_I^A \nabla_\mu \lambda^I \\ m^{a'} &= m^a + \frac{1}{6} f^a R + \dots \end{aligned}$$

Corresponds to a change of basis of renormalized operators (scheme)

$$[\mathcal{O}'_I(x)] = \frac{1}{\sqrt{-g}} \frac{\delta \mathcal{W}'}{\delta \lambda^I(x)} = [\mathcal{O}_I(x)] - f_I^A \nabla_\mu [J_A^\mu(x)] + \dots$$

Also modifies the coefficients of the local CS symmetry.

Two parameters (t^a and θ_I^a) can be set to zero.

Improvement scheme

A theory is defined up to improvement of the energy-momentum tensor

$$\begin{aligned} T_{\mu\nu} &\sim T_{\mu\nu} + \frac{1}{3} (\eta_{\mu\nu} \square - \partial_\mu \partial_\nu) \mathcal{O}_a \\ T &\sim T + \square \mathcal{O}_a \end{aligned}$$

In a curved background, this is determined by

$$\mathcal{W} \supset \int \sqrt{-g} d^4x R \mathcal{O}_a$$

This effect is taken into account by

$$T = \beta^I [\mathcal{O}_I] - S^A \nabla_\mu [J_A^\mu] - t^a \nabla^2 [\mathcal{O}_a]$$

t^a describes the choice of "improvement" scheme in the theory.

Ambiguities in the presence of global symmetries

Add the Ward identity to the local CS equation

$$\Delta_{\alpha}^{Global} \mathcal{W} = \int d^4x \left[\alpha^A (T_A \lambda)^I \frac{\delta}{\delta \lambda^I(x)} - \nabla_{\mu} \alpha^A \frac{\delta}{\delta A_{\mu}^A(x)} \right] \mathcal{W} = 0$$

$$\Rightarrow \left(\Delta_{\sigma}^{CS} - \Delta_{\alpha}^{Global} \right) \mathcal{W} = \int dx \sigma \mathcal{A}$$

choosing $\alpha^A = \sigma w^A(\lambda)$ we can rewrite the symmetry generator as

$$\begin{aligned} \Delta_{\sigma}^{\beta} + \Delta_{\sigma\omega}^{Global} &= \int d^4x \left[\sigma \left(\beta^I + (\omega^A T_A \lambda)^I \right) \frac{\delta}{\delta \lambda^I(x)} \right. \\ &\quad \left. + \sigma \left(\rho_I^A - \partial_I \omega^A \right) \nabla_{\mu} \lambda^I \frac{\delta}{\delta A_{\mu}^A(x)} - \nabla_{\mu} \sigma \left(\left(S^A + \omega^A \right) \frac{\delta}{\delta A_{\mu}^A(x)} \right) + \dots \right] \end{aligned}$$

S^A can be set to zero.

Ambiguities in the presence of global symmetries

The β function is ambiguous.

$$\beta^I \rightarrow \beta^I + (\omega^A T^A \lambda)^I \quad \rho_I^A \rightarrow \rho_I^A - \partial_I \omega^A \quad S^A \rightarrow S^A + \omega^A$$

Invariant functions

$$\begin{aligned} B^I &= \beta^I - (S^A T^A \lambda)^I \\ P_I^A &= \rho_I^A + \partial_I S^A \end{aligned}$$

choosing the gauge $\omega^A = -S^A$

$$T = \beta^I [\mathcal{O}_I] + S^A \nabla_\mu [J_A^\mu] \quad \rightarrow \quad T = B^I [\mathcal{O}_I]$$

$$\text{CFT} \Leftrightarrow B^I = 0$$

There are CFTs with non-zero β functions (FGS, 2012).

Consistency conditions

The symmetry is abelian

$$\left[\Delta_{\sigma'}^{CS}, \Delta_{\sigma}^{CS} \right] = 0$$

This leads to three consistency equations:

- ▶ Two equations which can be used to eliminate η^a and d_I^a .
- ▶ $B^I P_I = 0$

Implications of consistency conditions

If we work in the basis where T is orthogonal to $\nabla_\mu [J_A^\mu]$

$$T = B^I [\mathcal{O}_I]$$

this orthogonality is preserved along the RG flow:

$$\begin{aligned} \mathcal{D}[\mathcal{O}_I] &\supset P_I^A \nabla_\mu [J_A^\mu] \\ \mathcal{D}[T] \sim \mathcal{D}[B^I \mathcal{O}_I] &\supset \cancel{B^I P_I^A} \nabla_\mu [J_A^\mu] \end{aligned}$$

Conclusion

- ▶ It is not necessary to know anything about correlators of J_A^μ in order to compute correlators of T .
- ▶ A similar argument can be given for the anomalies - correlators of T are not sensitive to the gauge field appearing in the anomaly.

Transformation properties of functions

$$\begin{aligned}\Delta_{\sigma}^{CS} \left(Y_I \nabla^{\mu} \lambda^I \right) &= \sigma \left(-\mathcal{L}[Y_I] \nabla_{\mu} \lambda^I \right) + \nabla_{\mu} \sigma \left(-B^I Y_I \right) \\ \Delta_{\sigma}^{CS} \left(Y_I \nabla^2 \lambda^I \right) &= \sigma \left(-\mathcal{L}[Y_I] \nabla^2 \lambda^I \right) + \nabla^2 \sigma \left(-B^I Y_I \right) + \dots\end{aligned}$$

Y_I is an arbitrary function of the sources.

- ▶ $\mathcal{L}[\dots]$ is a Lie derivative in parameter space, defined along a direction which describes the RG flow

$$\mathcal{L}[Y_{IJ\dots}] = B^K \partial_K Y_{IJ\dots} + \gamma_I^K Y_{KJ\dots} + \gamma_J^K Y_{IK\dots} + \dots$$

where $\gamma_I^J = \partial_I B^J + P_I^A (T_A \lambda)^J$

- ▶ The Lie derivative satisfies

$$B^I \mathcal{L}[Y_I] = \mathcal{L}[B^I Y_I]$$

Dimension 2 covariant functions

$$\begin{aligned}\Pi^{IJ} &= \nabla_\mu \lambda^I \nabla^\mu \lambda^J - B^{(I} (U^{-1})^{J)}_K \left(\nabla^2 \lambda^K + \frac{1}{6} B^K R \right) \\ M^a &= m^a - t^a \frac{R}{6} - \frac{1}{2} \theta^a_J (U^{-1})^J_K \left(\nabla^2 \lambda^K + \frac{1}{6} B^K R \right)\end{aligned}$$

These combinations of $(\nabla\lambda)^2$, $\nabla^2\lambda$, m and R transform covariantly under the local CS symmetry:

$$\begin{aligned}\Delta_\sigma^{CS} \left(Y_{IJ} \Pi^{IJ} \right) &= \sigma \left(2Y_{IJ} \Pi^{IJ} - \mathcal{L}[Y_{IJ}] \Pi^{IJ} + Y_{IJ} \gamma_{KL}^{IJ} \Pi^{KL} \right) \\ \Delta_\sigma^{CS} (M^a) &= \sigma \left((2\delta_b^a + \gamma_b^a) M^b + \gamma_{IJ}^a \Pi^{IJ} \right)\end{aligned}$$

No derivatives of σ !

$$\begin{aligned}U_I^J &= \delta_I^J + \partial_I B^J + \frac{1}{2} P_I^A (T_A \lambda)^J \\ \gamma_{JK}^I &= B^{(I} (U^{-1})^{J)}_L \left(\partial_{(K} \Delta_{L)}^L + P_{(K}^A (T_A)^L_{L)} \right)\end{aligned}$$

The local CS symmetry - summary

- By a choice of basis for the renormalized operators, adding Ward identities, and imposing consistency conditions

$$\Delta_{\sigma}^{\beta} = \int d^4x \left[\sigma \left(B^I \frac{\delta}{\delta \lambda^I(x)} + P_I^A \nabla_{\mu} \lambda^I \frac{\delta}{\delta A_{\mu}^A(x)} \right) - \sigma \left(M^b (2\delta_b^a + \Gamma_b^a) + \frac{1}{2} \epsilon_{IJ}^a \Pi^{IJ} \right) \frac{\delta}{\delta M^a(x)} \right]$$

- The consistency conditions make sure the correlators of T are independent of $[J_A^{\mu}]$.
- We defined dimension 2 covariant functions of the sources, Π and M .

Outline

Introduction

The local Callan-Symanzik equation

The local Callan-Symanzik anomaly

n point functions of T (dilaton effective action)

The Weyl anomaly

$$\frac{1}{\sqrt{-g}}\sigma\mathcal{A} = \sigma(aE_4 - bR^2 - cW^2 - d\nabla^2 R)$$

- ▶ $\nabla^2 R$: can be set to zero by adding local terms to the action (not a genuine anomaly).
- ▶ E_4 : "type A" - vanishes when integrated over space-time.
- ▶ W^2 : "type B" - does not vanish when integrated over space-time.
- ▶ R^2 : not allowed due to the WZ consistency condition.

$$[\Delta_{\sigma_2}^W, \Delta_{\sigma_1}^W] \mathcal{W} = \Delta_{\sigma_2}^W \left(\int dx_1 \sigma_1 \mathcal{A} \right) - \Delta_{\sigma_1}^W \left(\int dx_2 \sigma_2 \mathcal{A} \right) \propto b \int \sigma_{[1} \nabla^2 \sigma_{2]} R \neq 0$$

In dim reg:

- ▶ E_4 : "type A" - related to evanescent terms in the effective action.
- ▶ W^2 : "type B" - related to counterterms with evanescent variations.

The Weyl anomaly

This classification cannot be used in our formalism, because $a(\lambda)E_4$ is not a total derivative.

Generalizing the classification in the presence of background sources:

- ▶ "type B": Manifestly consistent
(variation contains no derivative of σ , so the commutator always vanishes).
- ▶ "type A": Not consistent in the presence of background sources,
unless imposing non-trivial relations between the different anomalies.

The local CS anomaly

$$\begin{aligned}
\frac{1}{\sqrt{-g}} \Delta_\sigma^{CS} \mathcal{W} = & \sigma \left(a E_4 - c W^2 + \frac{1}{9} b R^2 \right) - \nabla^2 \sigma \left(\frac{1}{3} d R \right) \\
& + \sigma \left(\frac{1}{3} \chi_I^e \nabla_\mu \lambda^I \nabla^\mu R + \frac{1}{6} \chi_{IJ}^f \nabla_\mu \lambda^I \nabla^\mu \lambda^J R + \frac{1}{2} \chi_{IJ}^g G^{\mu\nu} \nabla_\mu \lambda^I \nabla_\nu \lambda^J \right. \\
& + \frac{1}{2} \chi_{IJ}^a \nabla^2 \lambda^I \nabla^2 \lambda^J + \frac{1}{2} \chi_{IJK}^b \nabla_\mu \lambda^I \nabla^\mu \lambda^J \nabla^2 \lambda^K \\
& + \left. \frac{1}{4} \chi_{IJKL}^c \nabla_\mu \lambda^I \nabla^\mu \lambda^J \nabla_\nu \lambda^K \nabla^\nu \lambda^L \right) \\
& + \nabla^\mu \sigma \left(G_{\mu\nu} w_I \nabla^\nu \lambda^I + \frac{1}{3} R Y_I \nabla_\mu \lambda^I + S_{IJ} \nabla_\mu \lambda^I \nabla^2 \lambda^J + \frac{1}{2} T_{IJK} \nabla_\nu \lambda^I \nabla^\nu \lambda^J \nabla_\mu \lambda^K \right) \\
& - \nabla^2 \sigma \left(U_I \nabla^2 \lambda^I + \frac{1}{2} V_{IJ} \nabla_\nu \lambda^I \nabla^\nu \lambda^J \right) \\
& + \sigma \left(\frac{1}{2} p_{ab} \hat{m}^a \hat{m}^b + \hat{m}^a \left(\frac{1}{3} q_a R + r_{aI} \nabla^2 \lambda^I + \frac{1}{2} s_{aIJ} \nabla_\mu \lambda^I \nabla^\mu \lambda^J \right) \right) \\
& + \nabla_\mu \sigma \left(\hat{m}^a j_{aI} \nabla^\mu \lambda^I \right) - \nabla^2 \sigma \left(\hat{m}^a k_a \right)
\end{aligned}$$

where $\hat{m}^a = m^a - \frac{1}{6} t^a R$

The consistency conditions for the local CS anomaly

The 25 anomaly coefficients are functions of λ ,
constrained by ~ 10 differential equations derived from the consistency condition

$$\left[\Delta_{\sigma_2}^{CS}, \Delta_{\sigma_1}^{CS} \right] \mathcal{W} = \Delta_{\sigma_2}^{CS} \left(\int dx_1 \sigma_1 \mathcal{A} \right) - \Delta_{\sigma_1}^{CS} \left(\int dx_2 \sigma_2 \mathcal{A} \right) = 0$$

e.g.,

$$\frac{1}{\sqrt{-g}} \sigma \mathcal{A} = \dots \sigma \left(\hat{m}^a \left(\frac{1}{3} q_a R + r_{aI} \nabla^2 \lambda^I \right) \right) - \nabla^2 \sigma (k_a \hat{m}^a) \dots$$

the vanishing of the $\sigma_{[1} \nabla^2 \sigma_{2]} \hat{m}^a$ term in the commutator leads to

$$q_a - \frac{1}{2} \left(B^I \partial_I k_a - \gamma_a^b k_b + r_{aI} B^I \right) = 0$$

There are ~ 10 such equations.

The consistency conditions for the local CS anomaly

One of these consistency condition has physical significance.

$$\frac{1}{\sqrt{-g}}\sigma\mathcal{A} = \dots\sigma\left(aE_4 + \frac{1}{2}\chi_{IJ}^g G^{\mu\nu}\nabla_\mu\lambda^I\nabla_\nu\lambda^J\right) + \nabla^\mu\sigma\left(G_{\mu\nu}w_I\nabla^\nu\lambda^I\right)\dots$$

The vanishing of the $\sigma_{[1}\nabla_\mu\sigma_{2]}G^{\mu\nu}\nabla_\nu\lambda^I$:

$$\mathcal{L}[w_I] = -8\partial_I a + \chi_{IJ}^g B^J$$

multiplying by B^I :

$$\mu\frac{d}{d\mu}\tilde{a} = B^I\partial_I\tilde{a} = \frac{1}{8}\chi_{IJ}^g B^I B^J$$

where $\tilde{a} = a + \frac{1}{8}B^J w_J$.

If χ_{IJ}^g is positive definite then we have a function which changes monotonously along the RG flow.

What about all the other equations??

Do they have interesting implications?

Solving the consistency conditions

Step 1: Remove scheme dependent anomalies

- ▶ The coefficients $d, U_I, V_{IJ}, S_{(IJ)}, T_{IJK}, k_a, j_{aI}$ can be set to zero.
- ▶ Most differential equations are replaced by algebraic constraints!
 e.g.

$$q_a - \frac{1}{2} \left(\cancel{B^I \partial_I k_a} - \gamma_a^b k_b + r_{aI} B^I \right) = 0$$

Step 2: Impose algebraic constraints

- ▶ The coefficients $\beta_c, Y_I, \chi_I^e, \chi_{IJ}^f, \chi_{IJ}^a, \chi_{IJK}^b, q_a, r_{aI}$ can be eliminated.
- ▶ We find the "generalized Weyl anomaly":

$$\mathcal{A} = \mathcal{A}_{R^2} + \mathcal{A}_{W^2} + \mathcal{A}_{E_4} + \mathcal{A}_{F^2} + \mathcal{A}_{\nabla^2 R}$$

- ▶ Only ~ 2 consistency conditions left.

The generalized W^2 anomaly

$$\frac{1}{\sqrt{-g}} \mathcal{A}_{W^2} = -c W^2$$

- ▶ The only difference with respect to the Weyl anomaly - c is a function of λ .
- ▶ Manifestly consistent (W^2 is invariant, c transforms without derivatives) - type B anomaly.

The generalized R^2 anomaly

$$\frac{1}{\sqrt{-g}}\sigma\mathcal{A}_{R^2} = \sigma\left(\frac{1}{2}b_{ab}M^aM^b + \frac{1}{2}b_{aIJ}M^a\Pi^{IJ} + \frac{1}{4}b_{IJKL}\Pi^{IJ}\Pi^{KL}\right)$$

- ▶ The "meaning" of the consistency conditions:
The most general bilinear scalar constructed from Π and M .
- ▶ Manifestly consistent - type B anomaly.
- ▶ Unimproved fixed points have an R^2 anomaly

$$\frac{1}{\sqrt{-g}}\mathcal{A}_{R^2}\Big|_{\nabla\lambda=B=m=0} = \frac{1}{72}b_{ab}t^at^bR^2$$

(relevant for a theorem and Buican's conjecture)

The generalized E_4 anomaly

$$\begin{aligned}
 \frac{1}{\sqrt{-g}} \sigma \mathcal{A}_{E_4} &= \sigma \left(a E_4 + \chi_{IJ}^g \left(\frac{1}{2} J_{\mu\nu} \nabla^\mu \lambda^I \nabla^\nu \lambda^J - \frac{1}{4} U_K^I \Lambda^K \Lambda^J \right) \right) \\
 &\quad + \nabla^\mu \sigma \left(w_I G_{\mu\nu} \nabla^\nu \lambda^I \right) + \frac{1}{2} \partial_{[J} w_{I]} \Lambda^I \left(\Delta_\sigma^{CS} \Lambda^J \right) \\
 &\quad + \frac{1}{2} \sigma \bar{\chi}_{IJK}^g \Omega^{IJK}
 \end{aligned}$$

- a , χ_{IJ}^g and w_I are related by a differential equation:

$$\mathcal{L}[w_I] = -8\partial_I a + \chi_{IJ}^g B^J$$

This is a genuine constraint on the QFT. Irreversibility!

$$\begin{aligned}
 \Lambda^I &= (U^{-1})_J^I (\nabla^2 \lambda^J + \frac{1}{6} B^J R) \\
 \Omega^{IJK} &= \left(\Pi^{IJ} + \frac{1}{2} B^{(I} \Lambda^{J)} \right) \Lambda^K
 \end{aligned}$$

$$\begin{aligned}
 J_{\mu\nu} &= G_{\mu\nu} + \frac{R}{6} g_{\mu\nu} \\
 \bar{\chi}_{IJK}^g &= -\partial_{(J} \chi_{KI}^g + \frac{1}{2} \partial_K \chi_{IJ}^g
 \end{aligned}$$

The generalized F^2 anomaly

$$\begin{aligned}
 \frac{1}{\sqrt{-g}} \sigma \mathcal{A}_{F^2} &= \sigma \left(\frac{1}{4} \kappa_{AB} F_{\mu\nu}^A F^{B\mu\nu} + \frac{1}{2} \zeta_{AIJ} F_{\mu\nu}^A \nabla^\mu \lambda^I \nabla^\nu \lambda^J \right) \\
 &\quad + \nabla^\mu \sigma \left(\eta_{AI} F_{\mu\nu}^A \nabla^\nu \lambda^I \right) + \frac{1}{2} \eta_{A[I} P_{J]}^A \Lambda^I \left(\Delta_\sigma^{CS} \Lambda^J \right) \\
 &\quad + \sigma \left(\frac{1}{2} P_I^A \zeta_{AJK} + \eta_{AI} \partial_{[J} P_{K]}^A \right) \Omega^{IJK}
 \end{aligned}$$

- κ_{AB} , ζ_{AIJ} and η_{AI} are related by the equations:

$$\begin{aligned}
 \mathcal{L}[\eta_{AI}] &= \kappa_{AB} P_I^B + \zeta_{AIJ} B^J - \chi_{IJ}^g (T_A \lambda)^J \\
 0 &= \eta_{AI} B^I + w_I (T_A \lambda)^I
 \end{aligned}$$

- Resemblance to the E_4 anomaly,
- The Lie derivative of the second equation is the consequence of the other two consistency conditions.
- Is there interesting information in this equation?

The local CS anomaly – summary

- ▶ Most of the consistency conditions are eliminated when using covariant functions to write the anomaly.
- ▶ One of the remaining equations is related to the irreversibility of the flow, the interpretation of the other is still unclear.
- ▶ The CS anomaly in 3 dimensions (Nakayama 2013) can be simplified by using the analogues of Π and M .
- ▶ The new form of the anomaly is a good starting point for computing the dilaton effective action.

Outline

Introduction

The local Callan-Symanzik equation

The local Callan-Symanzik anomaly

n point functions of T (dilaton effective action)

n -point functions of T (dilaton effective action)

n -point functions of T :

$$\langle T(x_1) \dots T(x_n) \rangle = \frac{\delta}{\delta \tau(x_n)} \dots \frac{\delta}{\delta \tau(x_1)} \mathcal{W} \Big|$$

Bookkeeping device: an effective action for the dilaton

$$\begin{aligned} \Gamma[\tau] &= \sum_{n=0}^{\infty} \frac{1}{n!} \int dx_n \dots \int dx_1 \tau(x_n) \dots \tau(x_1) \frac{\delta}{\delta \tau(x_n)} \dots \frac{\delta}{\delta \tau(x_1)} \mathcal{W} \Big| \\ &\equiv \sum_{n=0}^{\infty} \frac{1}{n!} \Delta_{\tau}^W \dots \Delta_{\tau}^W \mathcal{W} \Big| \end{aligned}$$

where

$$\Delta_{\tau}^W = \int d^4x \left[\tau \frac{\delta}{\delta \tau(x)} \right] = \Delta_{\tau}^{CS} + \Delta_{\tau}^{\beta} \qquad \Delta_{\tau}^{\beta} = \int d^4x \left[\tau \beta^I \frac{\delta}{\delta \lambda^I(x)} + \dots \right]$$

1 and 2-point functions of T (dilaton effective action)

$$\Delta_\tau^W \mathcal{W} = \Delta_\tau^\beta \mathcal{W} + \int d^4x \tau \mathcal{A}$$

$$\begin{aligned} \Delta_\tau^W \Delta_\tau^W \mathcal{W} &= \Delta_\tau^W \Delta_\tau^\beta \mathcal{W} + \Delta_\tau^W \int d^4x \tau \mathcal{A} \\ &= \Delta_\tau^\beta \Delta_\tau^W \mathcal{W} + [\Delta_\tau^W, \Delta_\tau^\beta] \mathcal{W} + \Delta_\tau^W \int d^4x \tau \mathcal{A} \\ &= \underbrace{\Delta_\tau^\beta \Delta_\tau^\beta \mathcal{W} + [\Delta_\tau^W, \Delta_\tau^\beta] \mathcal{W}}_{\mathcal{D}_2 \mathcal{W}} + \underbrace{\Delta_\tau^\beta \int d^4x \tau \mathcal{A} + \Delta_\tau^W \int d^4x \tau \mathcal{A}}_{\mathcal{C}_2} \end{aligned}$$

$\mathcal{D}_2 \mathcal{W}$: contribution from the composite operators of the theory.

\mathcal{C}_2 : anomaly related ultra-local terms.

$$\begin{aligned} \mathcal{D}_2 \mathcal{W} \Big| &= \int d^4x \sqrt{-g} \tau(x) \int d^4y \sqrt{-g} \tau(y) B^I(x) B^J(y) \langle \mathcal{O}_I(x) \mathcal{O}_J(y) \rangle \\ &\quad + \int d^4x \sqrt{-g} \tau^2(x) B^I \partial_I B^J \langle \mathcal{O}_J(x) \rangle . \\ \mathcal{C}_2 &= \int d^4x \sqrt{-g} \nabla^2 \tau \nabla^2 \tau (2d + B^I U_I) \end{aligned}$$

n -point functions of T (dilaton effective action)

$$\underbrace{\Delta_\tau^W \dots \Delta_\tau^W}_n \mathcal{W} = \mathcal{D}_n \mathcal{W} + \mathcal{C}_n$$

where we used the recursive definitions

$$\mathcal{D}_n = \mathcal{D}_{n-1} \Delta_\tau^\beta + [\Delta_\tau^W, \mathcal{D}_{n-1}]$$

$$\mathcal{C}_n = \Delta_\tau^W \mathcal{C}_{n-1} + \mathcal{D}_{n-1} \int dx \tau \mathcal{A}$$

$$\langle T(x_1) \dots T(x_n) \rangle = \text{diagram 1} + \text{diagram 2}$$

The first diagram shows a shaded circle with eight external dashed lines. The second diagram shows a point with eight external dashed lines.

Anomaly related contact terms

Generalized W^2 anomaly

Vanishes in a flat background.

Generalized F^2 anomaly

Vanishes in the $S^A = 0$ gauge, and flat background,
due to the consistency condition

$$\left. \Delta_\tau^{CS} A_\mu^A \right| = \left. -\tau P_I^A \nabla_\mu \lambda^I \right| = 0$$

$$\left. \Delta_\tau^{CS} \Delta_\tau^{CS} A_\mu^A \right| = \left. \tau \nabla_\mu \tau B^I P_I^A \right| = 0$$

$$\Delta_\sigma^\beta = \int d^4x \left[\sigma \left(B^I \frac{\delta}{\delta \lambda^I(x)} + P_I^A \nabla_\mu \lambda^I \frac{\delta}{\delta A_\mu^A(x)} + \dots \right) \right]$$

Anomaly related contact terms

Generalized R^2 anomaly

$$\Gamma[\tau] \supset \sum_{k=1}^{\infty} \frac{1}{k!} \tilde{b}_k \int dx \tau^k (\nabla^2 \tau - (\nabla \tau)^2)^2$$

Generalized $\nabla^2 R$ anomaly

$$\Gamma[\tau] \supset -\tilde{d} \int dx (\nabla^2 \tau - (\nabla \tau)^2)^2$$

Both types of interactions vanish when using the on-shell condition

$$\nabla^2 \phi \propto \nabla^2 \tau - (\nabla \tau)^2 = 0$$

$$(e^{-\tau} = 1 + \phi)$$

Anomaly related contact terms

Generalized E_4 anomaly

$$\begin{aligned}
 \Gamma[\tau] &\supset \sum_{k=0}^{\infty} \frac{1}{k!} \left(B^I \partial_I \right)^k \tilde{a} \int dx \tau^k \left(-4 \nabla^2 \tau \nabla_\mu \tau \nabla^\mu \tau + 2 (\nabla_\mu \tau \nabla^\mu \tau)^2 \right) \\
 &\quad - \frac{3}{8} \sum_{k=0}^{\infty} \frac{1}{k!} \left(B^I \partial_I \right)^{k+1} \left(B^I w_I \right) \int dx \tau^k (\nabla_\mu \tau \nabla^\mu \tau)^2 \\
 &= \tilde{a} \int dx \left(-4 \nabla^2 \tau \nabla_\mu \tau \nabla^\mu \tau + 2 (\nabla_\mu \tau \nabla^\mu \tau)^2 \right) + O(B^2)
 \end{aligned}$$

The corrections to the fixed point WZ action begin at order $(B^I)^2$.
 (need to use the consistency condition $B^I \partial_I \tilde{a} = \frac{1}{8} \chi_{IJ}^g B^I B^J$).

The couplings of the dilaton to composite operators

$$\begin{aligned}\Gamma[\tau] &\supset \sum_{n=0}^{\infty} \frac{1}{n!} \mathcal{D}_n \mathcal{W} \\ &= \exp \left\{ \int d^4x \sum_{k=1}^{\infty} \tau^{k_j} \left(\frac{v_{k_j}^I}{k_j!} \frac{\delta}{\delta \lambda^I(x)} + \dots \right) \right\} \mathcal{W}\end{aligned}$$

v_k^I : the coefficients of a coupling of k dilatons to $[\mathcal{O}_I]$

$$v_K^I = (B^J \partial_J)^{k-1} B^I$$

Agrees with the standard procedure of absorbing the dilaton into the renormalization scale

$$\lambda^I \mathcal{O}_I \rightarrow \tilde{\lambda}^I(e^\tau \mu) \mathcal{O}_I = \lambda^I \mathcal{O}_I + \tau B^I \mathcal{O}_I + \frac{\tau^2}{2} B^J \partial_J B^I \mathcal{O}_I \dots$$

The diagrammatic equation shows the expansion of the coupling of a dilaton to a composite operator $[\mathcal{O}_I]$. On the left, a grey semi-circle with a black dot at its center is labeled $\tilde{\lambda}^I [\mathcal{O}_I]$. This is equal to a sum of terms:

- A grey semi-circle with a black dot at its center, labeled $\lambda^I [\mathcal{O}_I]$.
- A plus sign followed by a grey semi-circle with a black dot at its center, labeled $B^I [\mathcal{O}_I]$.
- A plus sign followed by a grey semi-circle with a black dot at its center, labeled $B^J \partial_J B^I [\mathcal{O}_I]$.
- A plus sign followed by an ellipsis \dots .

The couplings of the dilaton to composite operators

Coupling to dim 3 vectors

These couplings are eliminated when working in the "gauge" $S^A = 0$, using B^I instead of β^I .

Coupling to dim 2 operators

Derivative couplings. More complicated...

$$\Gamma[\phi] \supset \exp \left\{ \int d^4x \left(-\phi B^I \frac{\delta}{\delta \lambda^I(x)} + \frac{\phi^2}{2} \left(B^J (\delta_J^I + \partial_J B^I) \frac{\delta}{\delta \lambda^I(x)} + \frac{1}{2} B^J \theta_J^a \nabla^2 \frac{\delta}{\delta m^a(x)} \right) \right. \right. \\
+ (1 - \phi) \nabla^2 \phi t^a \frac{\delta}{\delta m^a(x)} \\
\left. \left. - \phi \nabla^2 \phi \left(2\eta^a + B^I \left(\frac{1}{2} \theta_I^a - \partial_I t^a \right) \right) \frac{\delta}{\delta m^a(x)} + \dots \right) \right\} \mathcal{W}$$

$$(e^{-\tau} = 1 + \phi)$$

Notice the importance of the on-shell condition $\nabla^2 \phi = 0$!

Eliminates t^a which could be of order 1.

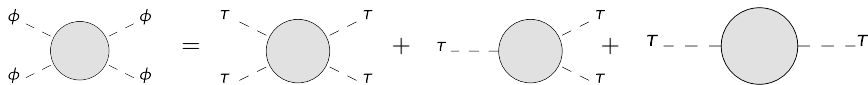
The dilaton effective action – summary

- ▶ We have a systematic approach for writing the dilaton effective action as a sum of ultra local term + correlators of composite operators.
- ▶ The on-shell condition cleans the dilaton effective action from contributions dependent on renormalization and improvement schemes.

Example - Dilaton scattering and irreversibility of RG flow

Consider the 4 point function of ϕ with on-shell kinematics

$$\phi(x) = e^{-\tau(x)} - 1 \quad , \quad A(s) = \frac{\delta}{\delta\phi} \frac{\delta}{\delta\phi} \frac{\delta}{\delta\phi} \frac{\delta}{\delta\phi} \mathcal{W}$$



- Close enough to the fixed point B^I is a good expansion parameter.
- The leading contribution

$$A(s) \propto s^2 \left((\tilde{a} + O(B^2)) + \left(\frac{1}{2} B^I B^J \mathcal{G}_{IJ} + O(B^3) \right) \ln s / \mu^2 \right)$$

where \mathcal{G}_{IJ} is a matrix in parameter space related to the 2 point functions $\langle \mathcal{O}_I \mathcal{O}_J \rangle$ and $\theta_I^a \theta_J^b \langle \mathcal{O}_a \mathcal{O}_b \rangle$.

Example: Dilaton scattering and irreversibility of RG flow

$$A(s) \propto s^2 \left((\tilde{a} + O(B^2)) + \left(\frac{1}{2} B^I B^J \mathcal{G}_{IJ} + O(B^3) \right) \ln s/\mu^2 \right)$$

- ▶ This expression is non-trivial:
 1. The corrections to \tilde{a} begin at order B^2 .
 2. All the non-local contributions begin at order B^3 .
- ▶ In a unitary theory \mathcal{G}_{IJ} is positive definite.
- ▶ The amplitude is independent of μ :

$$0 = \mu \frac{d}{d\mu} A(s) = \mu \frac{d}{d\mu} \tilde{a} - B^I B^J \mathcal{G}_{IJ} + O(B^3)$$

Conclusion:

In a unitary theory, the change in $\tilde{a}(\lambda)$ is monotonous

⇒ Irreversibility of RG flow.

Main points of the talk

- ▶ The local CS equation can be used to characterize RG flows
 1. by studying the consistency conditions.
 2. as a tool for computing correlators of T .

There is a lot of "know-how" involved in the process....

- ▶ We have improved the notations of the formalism, and the formulation of the anomaly, in a way which isolates only the interesting constraints.
- ▶ The new formulation allowed us to give analytic expressions for the dilaton effective action off-criticality, in terms of the coefficients in the equation.
- ▶ We proved that the "scattering amplitudes" involved in the a theorem and LPR are indeed insensitive to lower dimension operators.

Open questions

- ▶ SUSY
- ▶ Consistency conditions in the presence of chiral anomalies.
- ▶ What can we learn from the constraints on the F^2 anomaly?
- ▶ Can we say something unrelated to irreversibility?
e.g., can we constrain accidental symmetries?

Thank you