

Search for $\nu_\mu \rightarrow \nu_e$ oscillations with the OPERA experiment

S. Zemskova on behalf of the OPERA collaboration

Dzhelepov Laboratory of Nuclear Problems
Joint Institute for Nuclear Research, Dubna, Russia

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Outline

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The goals of the OPERA experiment

- The main goal of the OPERA experiment is the observation of the $\nu_\mu \rightarrow \nu_\tau$ oscillations in the appearance mode through the detection of τ -lepton

Phys. Lett. B691 (2010) 138

JHEP 11 (2013) 036

Phys. Rev. D 89 (2014) 051102(R)

Gran Sasso seminar (march 2014)

- Furthermore, the tracking capabilities of the emulsion allow to identify electrons produced in CC interactions of ν_e and, hence, to study $\nu_\mu \rightarrow \nu_e$ oscillations in appearance mode as well

JHEP 1307 (2013) 004

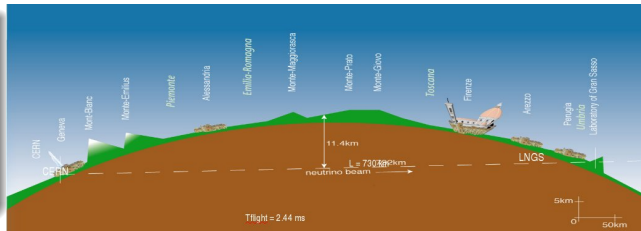


CNGS beam

Beam tuned for τ -appearance at LNGS 730 km away from CERN

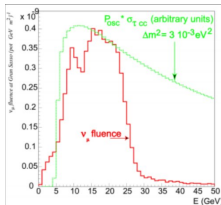
Beam properties:

- high ν energy
- long baseline
- high beam intensity

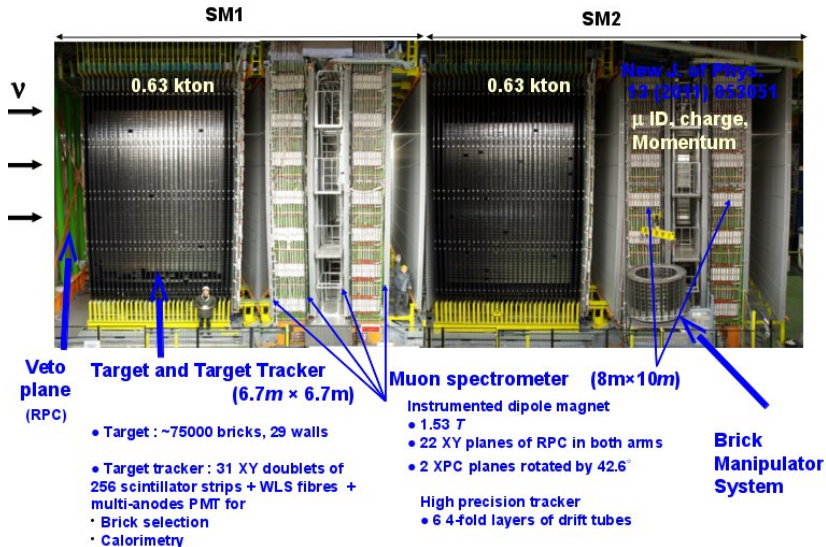


Beam parameters

| | |
|-------------------------------------|------------|
| $\langle E_{\nu_\mu} \rangle$ (GeV) | 17 |
| $(\nu_e + \bar{\nu}_e)/\nu_\mu$ | 0.87% |
| $\bar{\nu}_\mu/\nu_\mu$ | 2.1% |
| ν_τ prompt | Negligible |



OPERA detector

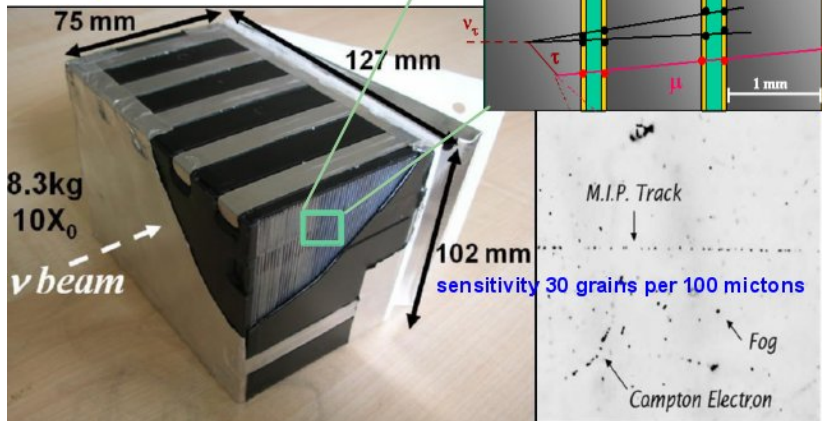


OPERA detector

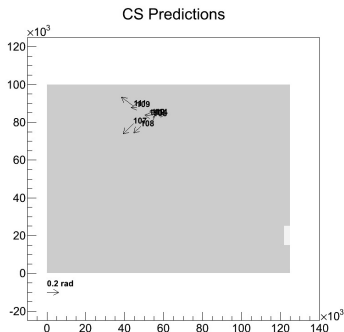
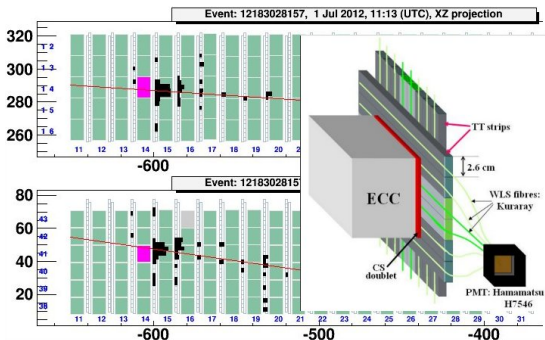
The OPERA target consists of 150 000 ECC bricks.

Total 111'000 m² of film surface (about 9 million films)

Total target mass is about 1.2 kton



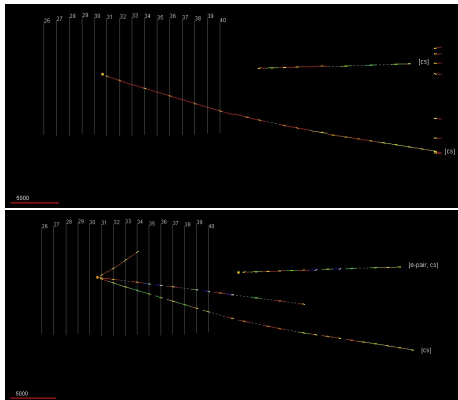
Event location



- TT data is used for a prediction of the bricks which contain the neutrino interactions
- large area of the corresponding changeable film is scanned (so far $2'500'000 \text{ cm}^2$ of CS surface analysed)



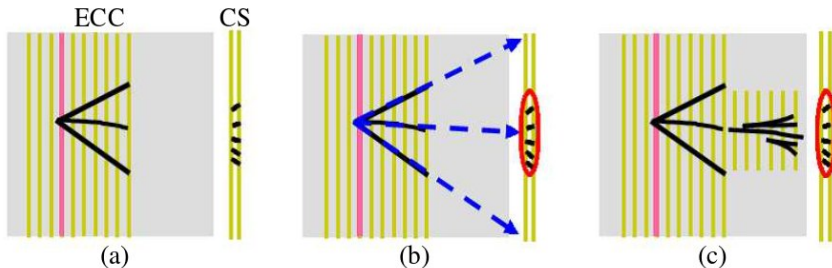
Event location



- brick exposure at the surface laboratory to collect cosmic-rays for alignment
- scan-back: CS-tracs are followed upstream from film to film to find the ν -interaction vertex
- total-scan: scanning of the 1 cm^2 around the vertex in 15 plates is performed
- scan-forth: improvement of a momentum measurement of the tracks [New J. of Phys. 4 \(2012\) 013026](#)
- decay search [arXiv:1404.4357 \[hep-ex\]](#)



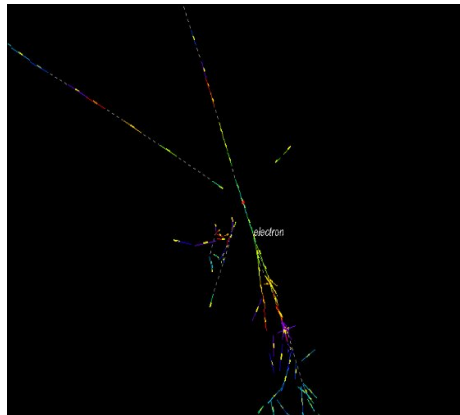
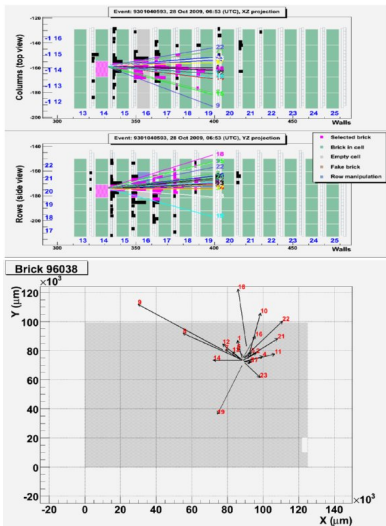
Search for ν_e topology



Systematic ν_e candidates search [JHEP 1307 \(2013\) 004](#)

- electron identification is based on the search of associated electromagnetic shower: search for CS tracks with the coordinate and angular acceptance (2 mm and 150 mrad respectively) to each extrapolation of the primary tracks
- if 3 or more tracks were found, additional volume along the candidate track is scanned

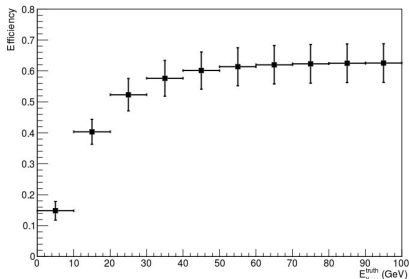
Search for ν_e topology



one of the ν_e candidates



Analysed data sample



2008-2009 data sample:

- 5.25×10^{19} pot
- 5255 events predicted in the bricks
- 2853 vertices were located
- 505 have no muon identified
- 19 ν_e candidate events were found

detection efficiency of ν_e events as a function of the neutrino energy (obtained from MC simulation), the error bars show the estimated systematic uncertainties

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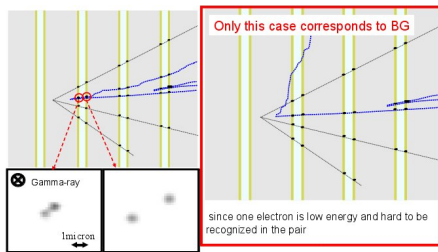


Background to $\nu_\mu \rightarrow \nu_e$ appearance

- π^0 misidentified as electron in neutrino interactions without a reconstructed μ
- ν_τ CC interactions with the decay of the τ into electron
- ν_e background associated to the beam contamination

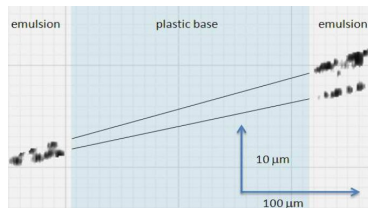


π^0 misidentified as electron in neutrino interactions without a reconstructed μ



- e^+e^- appears to be connected to the vertex and can not be distinguished from a single particle in the first 2 emulsion films after the vertex
- one branch of the pair is very low and undetected

$$\pi^0 \rightarrow \gamma + e^+ + e^-$$



Side view of e^+e^- pair detected in the emulsion film

[JHEP 1307 \(2013\) 004](#)

BG was evaluated directly from the data: 0.2 ± 0.2 events

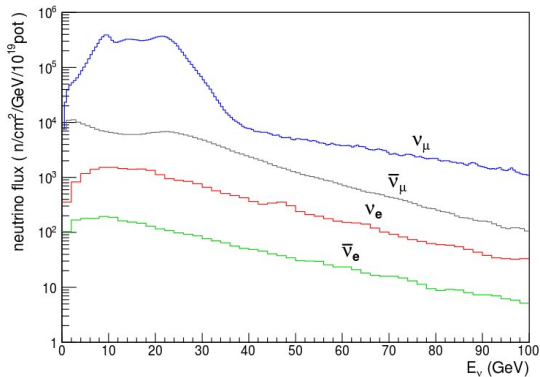
ν_τ CC interactions with the decay of the τ into electron

BG comes mainly from τ decaying in the same lead plate as the primary vertex with the impact parameter of the daughter electron to the primary vertex smaller than $10 \mu m$ and from the undetected kink ($\theta_{kink} < 20$ mrad) from τ decaying in further downstream material

BG was computed by Monte Carlo simulation assuming the three-flavour $\nu_\mu \rightarrow \nu_\tau$ oscillation at maximal mixing and $\Delta m^2 = 2.32 \times 10^{-3} eV^2$ - 0.3 ± 0.1 events



ν_e background associated to the beam contamination



Neutrino fluxes of the different components at Gran Sasso in log scale (simulation base on FLUCA MC code) taking into account the target mass and the p.o.t. corresponding to our data, 19.4 ± 2.8 (syst) events are expected [JHEP 1307 \(2013\) 004](#)



Background to $\nu_\mu \rightarrow \nu_e$ appearance

Expected and observed number of events for the different energy cuts
JHEP 1307 (2013) 004

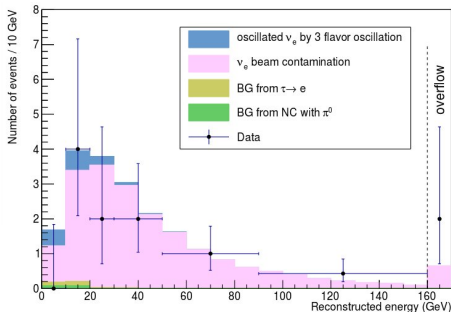
| Energy cut | | 20 GeV | 30 GeV | No cut |
|--|-----------------------------------|--------|--------|--------|
| BG common to both analysis | BG from π^0 | 0.2 | 0.2 | 0.2 |
| | BG from $\tau \rightarrow e$ | 0.2 | 0.3 | 0.3 |
| | ν_e beam contamination | 4.2 | 7.7 | 19.4 |
| Total expected BG in 3-flavour oscillation analysis | | 4.6 | 8.2 | 19.8 |
| BG to non-standard oscillation analysis only | ν_e via 3-flavour oscillation | 1.0 | 1.3 | 1.4 |
| Total expected BG in non-standard oscillation analysis | | 5.6 | 9.4 | 21.3 |
| Data | | 4 | 6 | 19 |

The number of expected oscillated ν_e CC events was calculated with the following oscillation parameters:

- $\sin^2(2\theta_{13}) = 0.098$
- $\sin^2(2\theta_{23}) = 1$
- $\Delta m_{32}^2 = \Delta m_{31}^2 = 2.32 \times 10^{-3} \text{ eV}^2$



Three-flavour mixing scenario



Distribution of the reconstructed energy of the ν_e events, and the expected spectrum from the different sources [JHEP 1307 \(2013\) 004](#)

$\Delta E/E = 0.37 + 0.74/\sqrt{E}$ (E in GeV) [New J. Phys. 13 \(2011\) 053051](#)

$E < 20$ GeV cut was applied on the reconstructed energy of the events to increase signal to BG ratio

The number of observed events is compatible with the non-oscillation hypothesis and an upper limit $\sin^2(2\theta_{13}) < 0.44$ is derived at the 90% C.L.



Non-standard oscillations

Expected and observed number of events for the different energy cuts
 JHEP 1307 (2013) 004

| Energy cut | | 20 GeV | 30 GeV | No cut |
|--|-----------------------------------|--------|--------|--------|
| BG common to both analysis | BG from π^0 | 0.2 | 0.2 | 0.2 |
| | BG from $\tau \rightarrow e$ | 0.2 | 0.3 | 0.3 |
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| Total expected BG in non-standard oscillation analysis | | 5.6 | 9.4 | 21.3 |
| Data | | 4 | 6 | 19 |

$E < 30$ GeV cut was applied on the reconstructed energy of the events (the optimal cut in term of sensitivity)

OPERA data was used to set an upper limit on non-standard $\nu_\mu \rightarrow \nu_e$ oscillation parameters indicated by the LSND and MiniBooNE experiments

$$P_{\nu_\mu \rightarrow \nu_e} = \sin^2(2\theta_{new}) \sin^2(1.27 \Delta m_{new}^2 L(km) / E(GeV))$$

Non-standard oscillations

| Energy cut | Upper limit | | Sensitivity | |
|------------|----------------------|-----------------------|-----------------------|-----------------------|
| | F&C | Bayes | F&C | Bayes |
| 20 GeV | 8.5×10^{-3} | 10.4×10^{-3} | 14.2×10^{-3} | 14.2×10^{-3} |
| 30 GeV | 5.0×10^{-3} | 7.2×10^{-3} | 9.7×10^{-3} | 10.4×10^{-3} |
| No cut | 8.6×10^{-3} | 9.5×10^{-3} | 10.8×10^{-3} | 11.0×10^{-3} |

90% C.L. upper limits and sensitivities on $\sin^2(2\theta_{new})$, for different energy cuts, according to the Feldman and Cousins and Bayesian methods.

[JHEP 1307 \(2013\) 004](#)



Non-standard oscillations

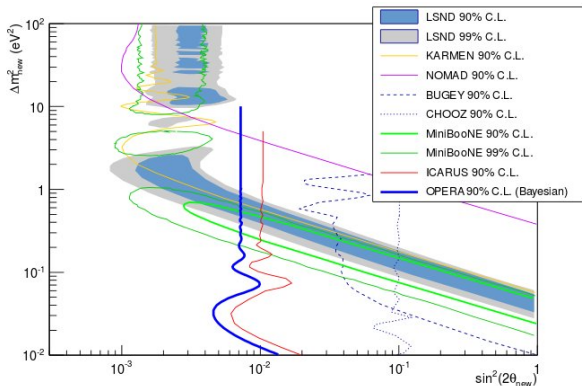
| | C.L. | Upper limit | | Sensitivity | |
|---|------|-----------------------|-----------------------|-----------------------|-----------------------|
| | | F&C | Bayes | F&C | Bayes |
| Number of oscillated ν_e events | 90% | 3.1 | 4.5 | 6.1 | 6.5 |
| | 95% | 4.3 | 5.7 | 7.8 | 6.5 |
| | 99% | 6.7 | 8.2 | 10.7 | 10.9 |
| $\sin^2(2\theta_{new})$ at large Δm^2 | 90% | 5.0×10^{-3} | 7.2×10^{-3} | 9.7×10^{-3} | 10.4×10^{-3} |
| | 95% | 6.9×10^{-3} | 9.1×10^{-3} | 12.4×10^{-3} | 12.7×10^{-3} |
| | 99% | 10.6×10^{-3} | 13.1×10^{-3} | 17.1×10^{-3} | 17.4×10^{-3} |

Upper limits on the number of oscillated ν_e CC events and $\sin^2(2\theta_{new})$ obtained by the Feldman and Cousins and Bayesian methods, for C.L. 90%, 95%, 99%. The sensitivity computed assuming that the number of observed events is 9, which is the closest integer to the 9.4 expected background events.

[JHEP 1307 \(2013\) 004](#)



The exclusion plot for the parameters of the non-standard $\nu_\mu \rightarrow \nu_e$ oscillation. JHEP 1307 (2013) 004



OPERA limit at large Δm^2_{new} , $\sin^2(2\theta_{new}) < 7.2 \times 10^{-3}$ (Bayesian)

new ICARUS limit at large Δm^2_{new} , $\sin^2(2\theta_{new}) < 6.8 \times 10^{-3}$ (F&C) (EPJ C73 (2013) 2599)

preliminary results on MINOS+ were presented on neutrino 2014



Conclusions

- The results of a search for $\nu_\mu \rightarrow \nu_e$ oscillations using 2008-2009 data (5.25×10^{19} pot) have been presented
- The observation of 19 ν_e candidate events is compatible with the non-oscillation expectation of 19.8 ± 2.8 events
- The current result on a search for the three-flavour neutrino oscillation yields an upper limit $\sin^2(2\theta_{13}) < 0.44$ (90% C.L.)
- OPERA limits the parameter space available for a non-standard ν_e appearance (suggested by LSND, MiniBooNE). It constrains region around $\Delta m_{new}^2 = 5 \times 10^{-2} \text{ eV}^2$. For larger Δm_{new}^2 values, the 90% C.L. upper limit on $\sin^2(2\theta_{new})$ reaches 7.2×10^{-3} .



Perspectives

- The increasing of statistics by factor of 3.4 by completing of analysis of the collected data is expected
- The reconstructed energy resolution will be improved by complementation of the calorimetric measurement in the TT with the emulsion data
- The increasing of the sample size and the improvements in the analysis, the effect of a possible statistical underfluctuation of the background will be reduced and OPERA should be able to access the parameter region comparable to its sensitivity below $\sin^2(2\theta_{new}) = 5.0 \times 10^{-3}$



Thank you for attention!

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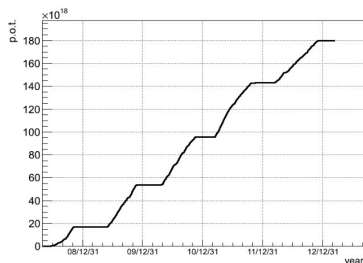
Backup slides



CNGS beam

Final performances of the CNGS beam after five years (2008-2012) of data taking

| Year | P.O.T. (10^{19}) | SPS eff | Beam days | ν interactions |
|-------|----------------------|---------|-----------|--------------------|
| 2008 | 1.74 | 61% | 123 | 1931 |
| 2009 | 3.53 | 73% | 155 | 4005 |
| 2010 | 4.09 | 80% | 187 | 4515 |
| 2011 | 4.75 | 79% | 243 | 5131 |
| 2012 | 3.86 | 82% | 257 | 3923 |
| Total | 17.97 | 77% | 965 | 19505* |



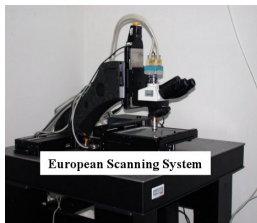
overall $\sim 20\%$ less than the proposal value (22.5×10^{19} pot)

* $\sim 87\%$ of events were predicted in the bricks



Event location

Scanning of Changeable Sheets: two large facilities

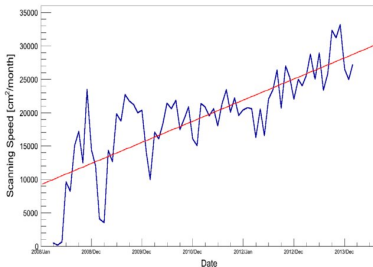


European Scanning System



Super-Ultra Track Selector (Japan)

Scanning speed per facility: improvement during the run



- LNGS: 10 microscopes, $200 \text{ cm}^2/h$
- Nagoya: 5 S-UTS, $220 \text{ cm}^2/h$



Decay search

- Primary vertex definition
 - visual inspection of segments on the vertex plate
 - impact parameter $< 10(5 + 0.01\Delta z)\mu m$, if $\Delta z < 500\mu m$
- Extra-track search
 - selection of tracks reconstructed in the volume but not attached to primary vertex
 - identification of e^+e^- pairs by visual inspection
- In-track search
 - search for small kinks along the tracks attached to the primary vertex
- Parent search
 - search for a track connecting the selected extra-track and the primary vertex

(more details: [arXiv:1404.4357 \[hep-ex\]](https://arxiv.org/abs/1404.4357))

