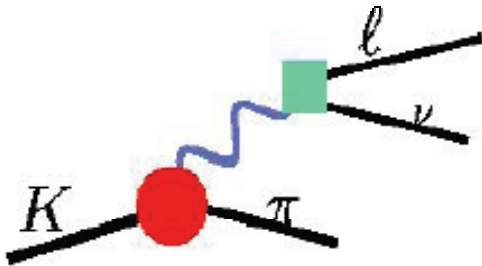

V_{us} determination from kaon decays

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*INFN Naples - Naples University “Federico II”,
Heavy Quarks and Leptons 08,
Melbourne June 8 2008*



The FlaviaNet Kaon working group

- **The FlaviaNet Kaon WG (www.inf.infn.it/wg/vus/)**. Recent kaon physics results come from many experimental (BNL-E869, KLOE, KTeV, ISTRA+, NA48) and theoretical (Lattice, χ_{PT}) improvements. The main purpose of this working group is to perform precision tests of the Standard Model and to determine with high accuracy fundamental couplings (such as V_{us}) using all existing (published and/or preliminary) data on kaon decays, taking correlations into account.
- WG preprinter: *Precision tests of the Standard Model with leptonic and semileptonic kaon decays*, arXiv:0801.1817 [hep-ph] 11 Jan 2008.
- For the talk only results mentioned in this note are used.

Physics results:

- $|V_{us}| \times f_+(0)$

$$\Gamma(K_{\ell 3}(\gamma)) = \frac{G_F^2 m_K^5}{192\pi^3} C_K S_{ew} |V_{us}|^2 f_+(0)^2 I_K^\ell(\lambda_{+,0}) \left(1 + \delta_{SU(2)}^K + \delta_{em}^{K\ell}\right)^2$$

- $|V_{us}|/|V_{ud}| \times f_K/f_\pi$

$$\frac{\Gamma(K_{\ell 2}^\pm(\gamma))}{\Gamma(\pi_{\ell 2}^\pm(\gamma))} = \left| \frac{V_{us}}{V_{ud}} \right|^2 \frac{f_K^2 m_K}{f_\pi^2 m_\pi} \left(\frac{1 - m_\ell^2/m_K^2}{1 - m_\ell^2/m_\pi^2} \right)^2 \times (1 + \delta_{em})$$

Global fits and averages:

- K_L , K_S , and K^\pm , dominant BRs and lifetime.
- Parameterization of the $K \rightarrow \pi$ interaction (form factor)

Physics results:

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- $|V_{us}|/|V_{ud}| \times f_K/f_\pi$

$$\frac{\Gamma(K_{\ell 2}^\pm(\gamma))}{\Gamma(\pi_{\ell 2}^\pm(\gamma))} = \left| \frac{V_{us}}{V_{ud}} \right|^2 \frac{f_K^2 m_K}{f_\pi^2 m_\pi} \left(\frac{1 - m_\ell^2/m_K^2}{1 - m_\ell^2/m_\pi^2} \right)^2 \times (1 + \delta_{\text{em}})$$

Global fits and averages:

- K_L , K_S , and K^\pm , dominant BRs and lifetime.
- Parameterization of the $K \rightarrow \pi$ interaction (form factor)

K_L leading branching ratios and τ_L

18 input measurements:

5 KTeV ratios

NA48 $K_{e3}/2\text{tr}$ and $\Gamma(3\pi^0)$

4 KLOE BRs

KLOE, NA48 $\pi^+\pi^-/K_{l3}$

KLOE, NA48 $\gamma\gamma/3\pi^0$

PDG ETAFIT for $\pi^+\pi^-/\pi^0\pi^0$

KLOE τ_L from $3\pi^0$

Vosburgh '72 τ_L

Parameter	Value	<i>S</i>
BR(K_{e3})	0.4056(7)	1.1
BR($K_{\mu3}$)	0.2705(7)	1.1
BR($3\pi^0$)	0.1951(9)	1.2
BR($\pi^+\pi^-\pi^0$)	0.1254(6)	1.1
BR($\pi^+\pi^-$)	$1.997(7) \times 10^{-3}$	1.1
BR($2\pi^0$)	$8.64(4) \times 10^{-4}$	1.3
BR($\gamma\gamma$)	$5.47(4) \times 10^{-4}$	1.1
τ_L	51.17(20) ns	1.1

8 free parameters, 1 constraint: $\Sigma\text{BR}=1$

Main differences wrt PDG06:

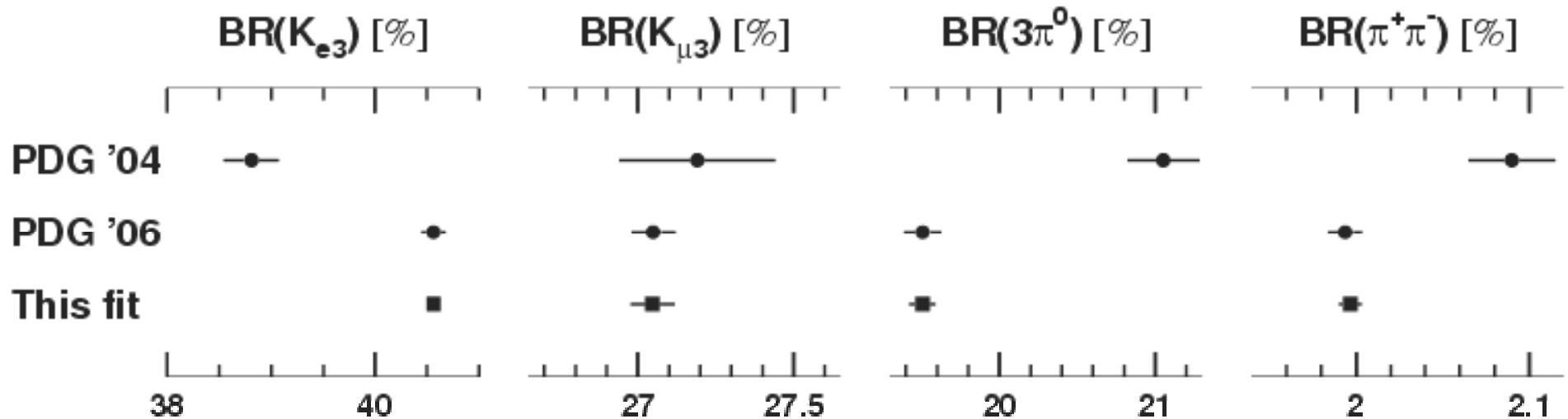
- For KLOE and KTeV, use values obtained before applying constraints.
- Make use of preliminary BR($3\pi^0$) and new BR($\pi^+\pi^-$)/BR(K_{e3}) from NA48
- Fit parameter BR($\pi^+\pi^-$) is understood to be inclusive of the DE component.

Evolution of the average BR values

This fit $\chi^2/\text{ndf} = 20.2/11$ (4.3%); PDG06 fit: $\chi^2/\text{ndf} = 14.9/9$ (14.0%)

Minor differences wrt PDG06:

- contrast between KLOE $\text{BR}(3\pi^0)$ and other inputs involving $\text{BR}(2\pi^0)$ and $\text{BR}(3\pi^0)$
- **treatment of the correlated KLOE and KTeV inputs:** more uniform scale factors in this fit and significantly smaller uncertainty for $\text{BR}(\text{Ke}3)$.



K_S leading branching ratios and τ_S

4 input measurements:

KLOE BR(Ke3)/BR($\pi^+\pi^-$)

KLOE BR($\pi^+\pi^-$)/BR($\pi^0\pi^0$)

Universal lepton coupling

NA48 BR(Ke3)

τ_S : non CPT-constrained fit value, dominated by 2002 NA48 and 2003 KTeV measurements

4 free parameters: $K_S\pi\pi$, $K_S\pi^0\pi^0$, $K_S e3$, $K_S\mu3$, 1 constraint: $\Sigma BR=1$

- **KLOE meas. completely determine the leading BR values.**
- NA48 Ke3 input improve the BR(Ke3) accuracy of about 10%.
- BR($K_S e3$)/BR($K_L e3$) from NA48 not included (need of a K_L and K_S combined fit)
- Combined fit would be useful in properly account for preliminary NA48 $\Gamma(K_L \rightarrow 3\pi^0)$ and PDG ETAFIT, used in the K_L fit.

K^\pm leading branching ratios and τ^\pm

26 input measurements:

5 older τ values in PDG

2 KLOE τ

KLOE BR($\mu\nu$)

KLOE $Ke3$, $K\mu3$, and $K\pi2$ BRs

ISTRA+ $K_{e3}/\pi\pi^0$

NA48/2 $K_{e3}/\pi\pi^0$, $K_{\mu3}/\pi\pi^0$

E865 K_{e3}/K_{dal}

3 old $\pi\pi^0/\mu\nu$

2 old $Ke3/2$ body

3 $K\mu3/Ke3$ (2 old)

2 old + 1 KLOE results on 3π

7 free parameters,

1 constraint: $\Sigma\text{BR}=1$

Parameter	Value	S
BR($K_{\mu2}$)	63.57(11)%	1.1
BR($\pi\pi^0$)	20.64(8)%	1.1
BR($\pi\pi\pi$)	5.595(31)%	1.0
BR(K_{e3})	5.078(26)%	1.2
BR($K_{\mu3}$)	3.365(27)%	1.7
BR($\pi\pi^0\pi^0$)	1.750(26)%	1.1
τ_\pm	12.384(19) ns	1.7

Don't use the 6 BR meas. from Chiang;

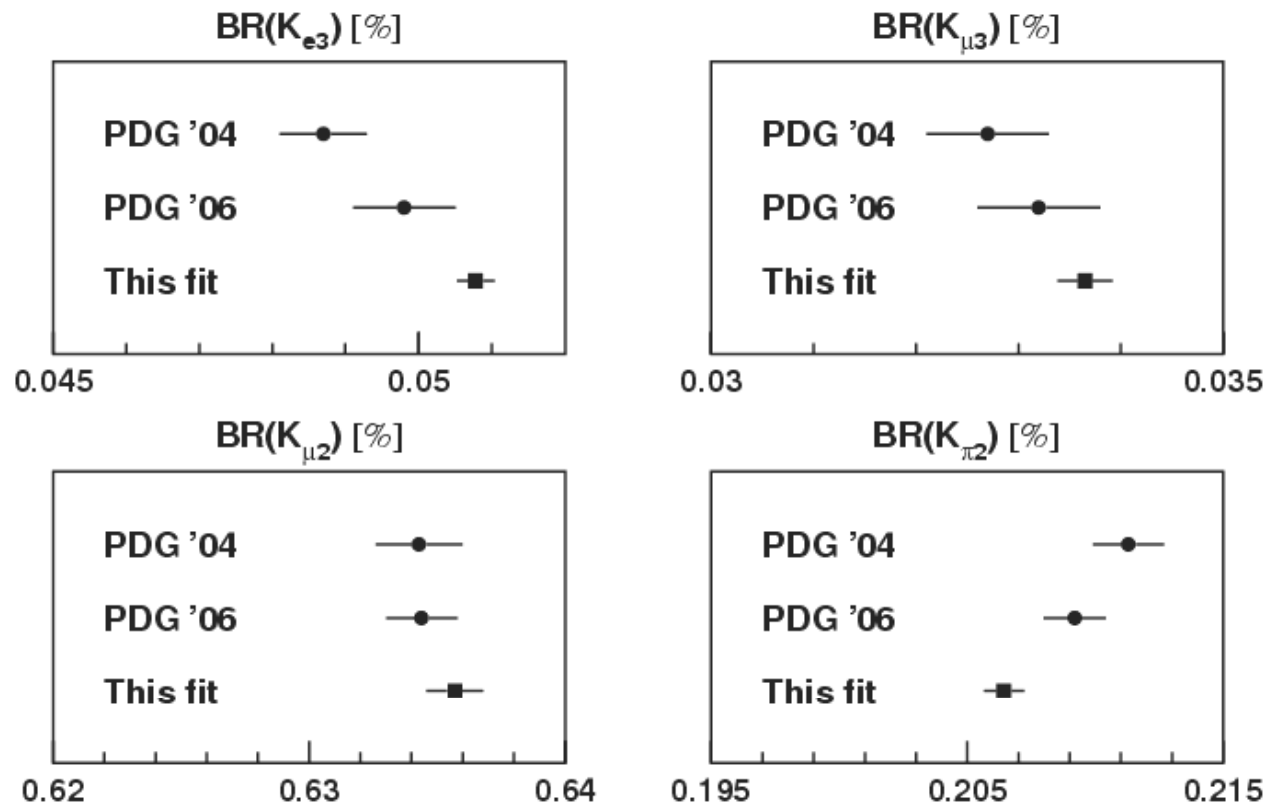
- no implementation of radiative corrections
- 6 BR constrained to sum to unit.
- the correlation matrix not available.

What about discard many other old meas.?

- no recent meas. involving BR($\pi\pi\pi$)
- fit instable if only recent are used.

Evolution of the average BR values

- This fit $\chi^2/\text{ndf} = 42/20$ (**0.31%**); PDG06 fit: $\chi^2/\text{ndf} = 30/19$ (5.2%)
- If 5 older τ^\pm measurements replaced by PDG avg (with $S=2.1$), $\chi^2/\text{ndf} = 24/16$ (**8.4%**) with **no significant changes to central values or errors**.
- include many new results
- **some conflict among newer meas. involving BR(Ke3):** the pulls are +1.04, -0.26, -0.73, and -2.13, for NA48, BNL-E865, ISTRA+, and KLOE respectively.
- Evolution of the BR($K_{\ell 3}$) and of the important normalization channels.



Physics results:

- $|V_{us}| \times f_+(0)$

$$\Gamma(K_{\ell 3}(\gamma)) = \frac{G_F^2 m_K^5}{192\pi^3} C_K S_{\text{ew}} |V_{us}|^2 f_+(0)^2 I_K^\ell(\lambda_{+,0}) \left(1 + \delta_{SU(2)}^K + \delta_{\text{em}}^{K\ell}\right)^2$$

- $|V_{us}|/|V_{ud}| \times f_K/f_\pi$

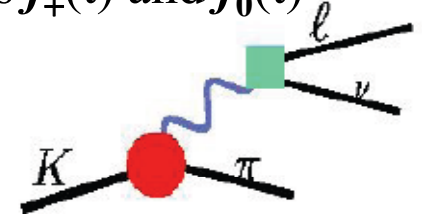
$$\frac{\Gamma(K_{\ell 2}^\pm(\gamma))}{\Gamma(\pi_{\ell 2}^\pm(\gamma))} = \left| \frac{V_{us}}{V_{ud}} \right|^2 \frac{f_K^2 m_K}{f_\pi^2 m_\pi} \left(\frac{1 - m_\ell^2/m_K^2}{1 - m_\ell^2/m_\pi^2} \right)^2 \times (1 + \delta_{\text{em}})$$

- Global fits and averages:
- KL, KS, and K^\pm , dominant BRs and lifetime.
- **Parameterization of the $K \rightarrow \pi$ interaction (form factor)**

Parameterization of $K_{\ell 3}$ form factors

- Hadronic $K \rightarrow \pi$ matrix element is described by two form factors $f_+(t)$ and $f_0(t)$ defined by: $\langle \pi^-(k) | \bar{s} \gamma^\mu u | K^0(p) \rangle = (p+k)^\mu f_+(t) + (p-k)^\mu f_-(t)$

$$f_-(t) = \frac{m_K^2 - m_\pi^2}{t} (f_0(t) - f_+(t))$$



- Experimental or theoretical inputs to define t -dependence of $f_{+,0}(t)$.
- $f_-(t)$ term negligible for K_{e3} .

➤ Taylor expansion:

$$\tilde{f}_{+,0}(t) \equiv \frac{f_{+,0}(t)}{f_{+,0}(0)} = 1 + \lambda'_{+,0} \frac{t}{m_\pi^2} + \frac{1}{2} \lambda''_{+,0} \left(\frac{t}{m_\pi^2} \right)^2 + \dots$$

λ' and λ'' are strongly correlated: **-95% for $f_+(t)$, and -99.96% for $f_0(t)$.**

One parameter parameterizations:

➤ Pole parameterization

$$\tilde{f}_{+,0}(t) = \frac{M_{V,S}^2}{M_{V,S}^2 - t}$$

➤ Dispersive approach plus $K\pi$ scattering data for both $f_+(t)$ and $f_0(t)$

Vector form factor from K_{e3}

Quadratic expansion:

- Measurements from ISTRA+, KLOE, KTeV, NA48 with $K_L e3$ and $K^- e3$ decays.
- **Good fit quality: $\chi^2/\text{ndf}=5.3/6(51\%)$ for all data; $\chi^2/\text{ndf}=4.7/4(32\%)$ for K_L only**
- **The significance of the quadratic term is 4.2σ from all data and 3.5σ from K_L only.**
- **Using all data or K_L only changes the space phase integrals I^0_{e3} and I^\pm_{e3} by 0.07% .**
- Errors on I_{e3} are significantly smaller when K^- data are included.

A **pole parameterization** is in good agreement with present data:

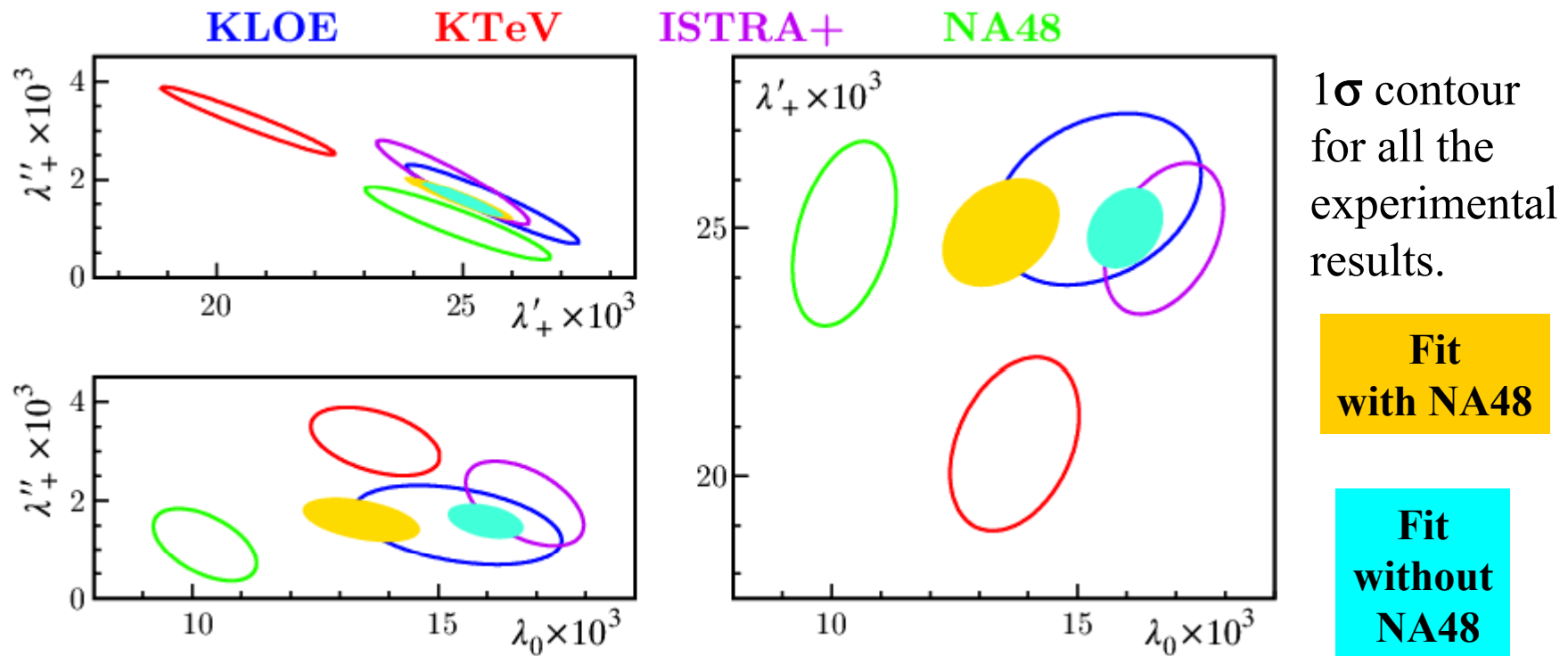
$$\tilde{f}_+(t) = M_V^2 / (M_V^2 - t), \text{ with } M_V \sim 892 \text{ MeV} \quad \lambda' = (m_{\pi^+}/M_V)^2; \lambda'' = 2\lambda'^2$$

- KLOE, KTeV, NA48 quote value for M_V for pole fit to $K_L e3$ data ($\chi^2/\text{ndf}=1.8/2$)
- The values for λ'_+ and λ''_+ from pole expansion are in agreement with quadratic fit results.
- **Using quadratic averages or pole fit results changes I^0_{e3} by 0.03% .**

Improvements: **dispersive parameterization** for $f_+(t)$, with good analytical and unitarity properties and a correct threshold behavior, (e.g. Passemar arXiv:0709.1235[hep-ph])
Dispersive results for λ_+ and λ_0 are in agreement with pole parameterization.

Vector and scalar form factor from $K_{\mu 3}$

- λ_+' , λ_+'' and λ_0 measured for $K_{\mu 3}$ from ISTRA+, KLOE, KTeV, and NA48.
- **new NA48 results are difficult to accomodate in the $[\lambda_+' , \lambda_+'' , \lambda_0]$ space.**
- Fit probability varies from 1×10^{-6} (with NA48) to 22.3% (without NA48).



- Because of correlation, is not possible measure λ_0'' at any plausible level of stat.
- Neglecting a quadratic term in the param. of scalar FF implies: $\lambda_0' \rightarrow \lambda_0' + 3.5\lambda_0''$

Vector and scalar form factor from $K_{\ell 3}$

- Slope parameters λ_+' , λ_+'' and λ_0 from ISTRA+, KLOE, KTeV, and NA48.

	K_L and K^-	K_L only
Measurements	16	11
χ^2/ndf	54/13 (7×10^{-7})	33/8 (8×10^{-5})
$\lambda_+' \times 10^3$	24.9 ± 1.1 ($S = 1.4$)	24.0 ± 1.5 ($S = 1.5$)
$\lambda_+'' \times 10^3$	1.6 ± 0.5 ($S = 1.3$)	2.0 ± 0.6 ($S = 1.6$)
$\lambda_0 \times 10^3$	13.4 ± 1.2 ($S = 1.9$)	11.7 ± 1.2 ($S = 1.7$)
$\rho(\lambda_+' , \lambda_+'')$	-0.94	-0.97
$\rho(\lambda_+' , \lambda_0)$	+0.33	+0.72
$\rho(\lambda_+'' , \lambda_0)$	-0.44	-0.70
$I(K_{e3}^0)$	0.15457(29)	0.1544(4)
$I(K_{e3}^{\pm})$	0.15892(30)	0.1587(4)
$I(K_{\mu 3}^0)$	0.10212(31)	0.1016(4)
$I(K_{\mu 3}^{\pm})$	0.10507(32)	0.1046(4)
$\rho(I_{e3}, I_{\mu 3})$	+0.63	+0.89

Averages of quadratic fit results for Ke3 and $K\mu 3$ slopes.

Space integral
used for the
 $|V_{us}|f_+(0)$
determination

- Adding $K\mu 3$ data to the fit doesn't cause significant changes to I_{e3}^0 and I_{e3}^{\pm} .
- NA48: $\Delta[I(K\mu 3)] = 0.6\%$, but Ke3+ $K\mu 3$ average gives $\Delta[V_{us}f_+(0)] = -0.08\%$.**

Global fits and averages:

- K_L , K_S , and K^\pm , dominant BRs and lifetime.
- Parameterization of the $K \rightarrow \pi$ interaction (form factor)

Physics results:

- $|V_{us}| \times f_+(0)$
- $|V_{us}|/|V_{ud}| \times f_K/f_\pi$.
- Theoretical estimations of $f_+(0)$ and f_K/f_π .
- V_{us} and V_{ud} determinations.
- Bounds on helicity suppressed amplitudes.
- Test of lepton universality with $K\ell 3$

Determination of $|V_{us}| \times f_+(0)$

$$\Gamma(K_{l3}(\gamma)) = \frac{C_K^2 G_F^2 M_K^5}{192\pi^3} S_{EW} |V_{us}|^2 |f_+^{K^0\pi^-}(0)|^2 I_{K\ell}(\lambda_{+,0}) (1 + \delta_{SU(2)}^K + \delta_{em}^{K\ell})^2$$

with $K = K^+, K^0$; $\ell = e, \mu$ and $C_K^2 = 1/2$ for K^+ , 1 for K^0

Inputs from theory:

- S_{EW} Universal short distance EW correction (1.0232)
- $\delta_{SU(2)}^K$ Form factor correction for strong SU(2) breaking
- $\delta_{em}^{K\ell}$ Long distance EM effects
- $f_+^{K^0\pi^-}(0)$ Form factor at zero momentum transfer ($t=0$)

Inputs from experiment:

- $\Gamma(K_{l3}(\gamma))$ **Branching ratios** properly inclusive of radiative effects; **lifetimes**
- $I_{K\ell}(\lambda)$ Phase space integral: λ 's parameterize form factor dependence on t :
 - K_{e3} : only λ_+
 - $K_{\mu 3}$: need λ_+ and λ_0



SU(2) and em corrections

	$\delta_{SU(2)}^K(\%)$	$\delta_{em}^{K\ell}(\%)$	
$K^0 e3$	0	+0.57(15)	$\begin{pmatrix} 1.0 & 0.1 & 0.8 & -0.1 \\ & 1.0 & -0.1 & 0.8 \\ & & 1.0 & 0.1 \\ & & & 1.0 \end{pmatrix}$
$K^0 \mu3$	0	+0.80(15)	
$K^+ e3$	+2.36(22)%	+0.08(15)	
$K^+ \mu3$	+2.36(22)%	+0.05(15)	

(values used to extract $|V_{us}|f_+(0)$)

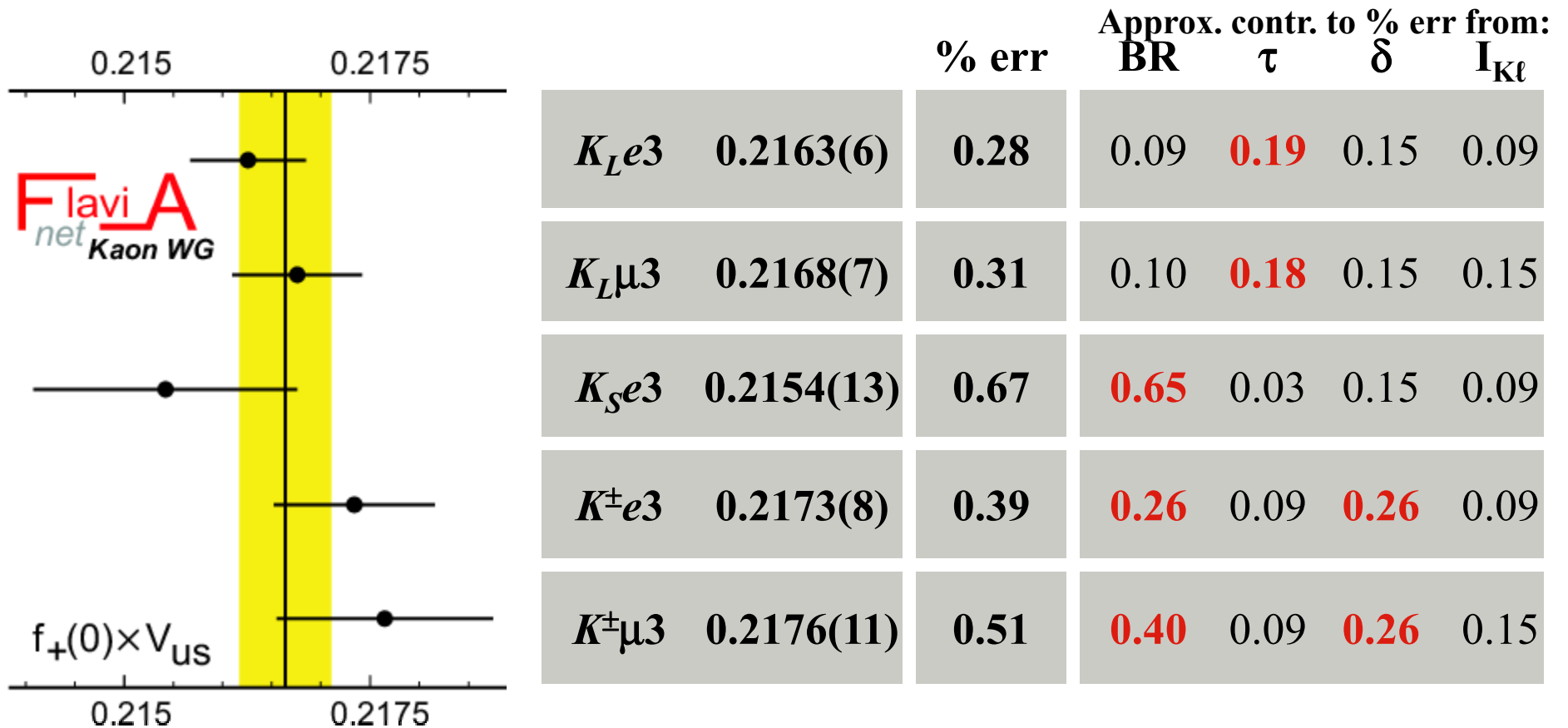
- δ_{em} for full phase space: all measurements assumed fully inclusive.
- **Different estimates of δ_{em} agree within the quoted errors.**
- **Available correlation matrix between different corrections.**

V. Cirigliano *et al.* hep-ph/0406006;

V. Cirigliano, M. Gianotti, and H. Neufeld, work in preparation.

Determination of $|V_{us}| \times f_+(0)$

$$\Gamma(K_{l3}(\gamma)) = \frac{C_K^2 G_F^2 M_K^5}{192\pi^3} S_{EW} |V_{us}|^2 |f_+^{K^0\pi^-}(0)|^2 I_{K\ell}(\lambda_{+,0}) (1 + \delta_{SU(2)}^K + \delta_{em}^{K\ell})^2$$



Average: $|V_{us}| f_+(0) = 0.2166(5)$ $\chi^2/\text{ndf} = 2.74/4$ (60%)

SU(2) and *em* corrections

	$\delta_{SU(2)}^K(\%)$	$\delta_{em}^{K\ell}(\%)$
$K^0 e3$	0	+0.57(15)
$K^0 \mu3$	0	+0.80(15)
$K^+ e3$	+2.36(22)%	+0.08(15)
$K^+ \mu3$	+2.36(22)%	+0.05(15)

$$\begin{pmatrix} 1.0 & 0.1 & 0.8 & -0.1 \\ & 1.0 & -0.1 & 0.8 \\ & & 1.0 & 0.1 \\ & & & 1.0 \end{pmatrix}$$

(values used to extract $|V_{us}|f_+(0)$)

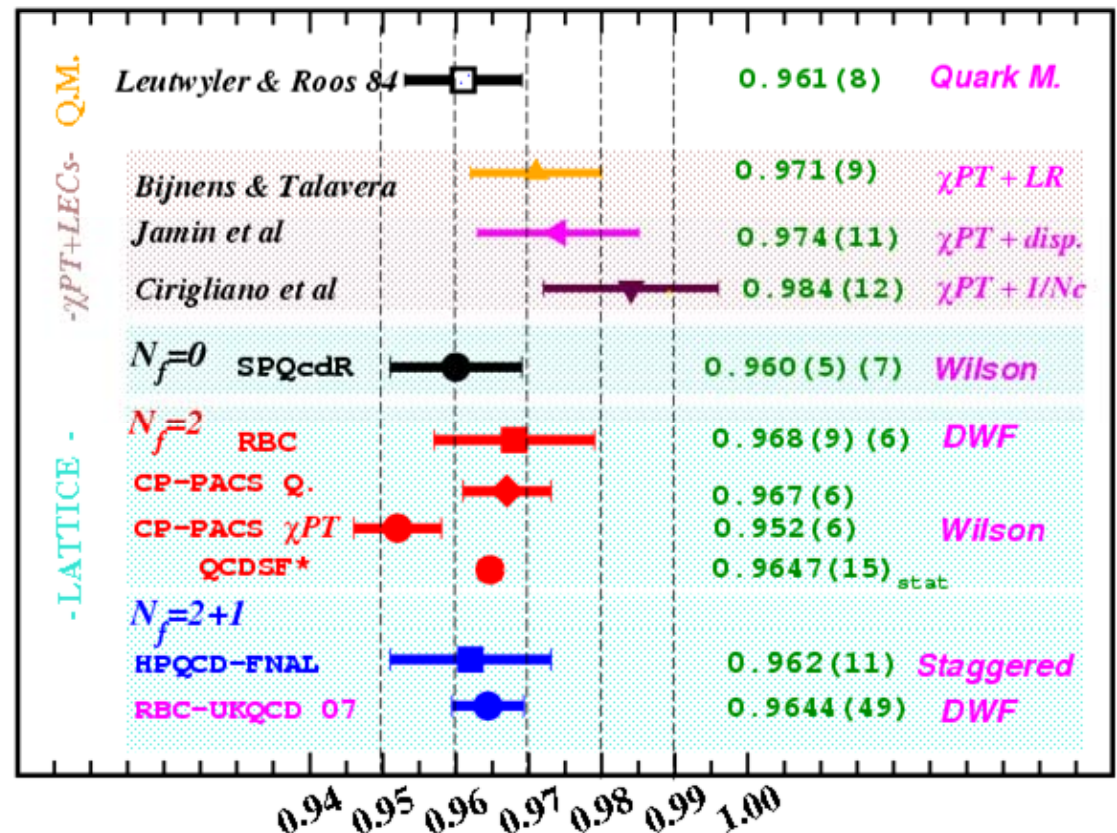
- Comparing values obtained for K_L and K^\pm (**without $\delta_{SU(2)}^K$ correction**) allows the empirical evaluation of SU(2) breaking correction : **2.81(38)%**.
To be compared with χ_{PT} prediction **2.36(22)%**. Recent analyses point to **~3%**.

Theoretical estimate of $f_+(0)$

$$\Gamma(K_{l3}(\gamma)) = \frac{C_K^2 G_F^2 M_K^5}{192\pi^3} S_{EW} |V_{us}|^2 |f_+^{K^0\pi^-}(0)|^2 I_{Kl}(\lambda_{+,0}) (1 + \delta_{SU(2)}^K + \delta_{em}^{Kl})^2$$

Leutwyler & Roos estimate
still widely used:
 $f_+(0) = 0.961(8)$.

Lattice evaluations generally
agree well with this value;
use RBC-UKQCD07 value:
 $f_+(0) = 0.9644(49)$ (0.5%
accuracy, total err.).



K13: $|V_{us}| f_+(0) = 0.2166(5)$ and $f_+(0) = 0.964(5)$, obtain $|V_{us}| = 0.2246(12)$

V_{us}/V_{ud} determination from $BR(K_{\mu 2})$

$$\frac{\Gamma(K_{\mu 2}(\gamma))}{\Gamma(\pi_{\mu 2}(\gamma))} = \frac{|V_{us}|^2}{|V_{ud}|^2} \times \frac{f_K}{f_\pi} \times \frac{M_K(1-m_\mu^2/M_K^2)^2}{m_\pi(1-m_\mu^2/m_\pi^2)^2} \times (1+\alpha(C_K-C_\pi))$$

Inputs from experiment:

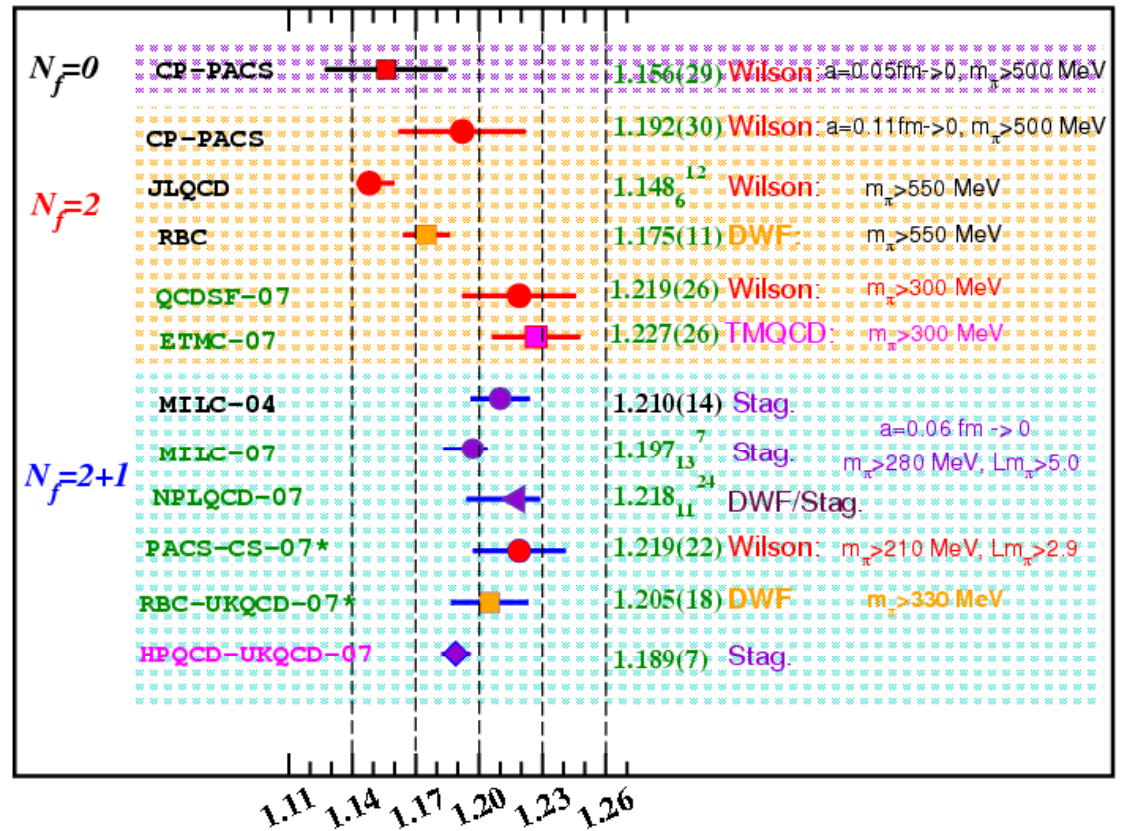
$\Gamma(\pi, K_{l2}(\gamma))$ BR properly inclusive of radiative effects; **lifetimes**

Inputs from theory:

$C_{K,\pi}$ Rad. inclusive EW corr.

f_K/f_π Not protected by the Ademollo-Gatto theorem: only lattice.

- Lattice calculation of f_K/f_π and radiative corrections benefit of cancellations.
- Use HPQCD-UKQCD07 value: $f_K/f_\pi = 1.189(7)$.



K12: $|V_{us}|/|V_{ud}| f_K/f_\pi = 0.2760(6)$ and $f_K/f_\pi = 1.189(7)$, obtain $|V_{us}|/|V_{ud}| = 0.2321(15)$

Dispersive parameterization: a test of lattice calculations

Scalar form factor $f_0(t) = \tilde{f}_0(t) f_+(0)$ extrapolation at **Callan-Treiman** point:

$$\tilde{f}_0(\Delta_{K\pi}) = \frac{f_K}{f_\pi} \frac{1}{f(0)} + \Delta_{CT}, \quad \Delta_{CT} \simeq -3.4 \times 10^{-3}$$

- links $f_+(0)$ and f_K/f_π with λ_0 measured in $K\mu 3$ decays.

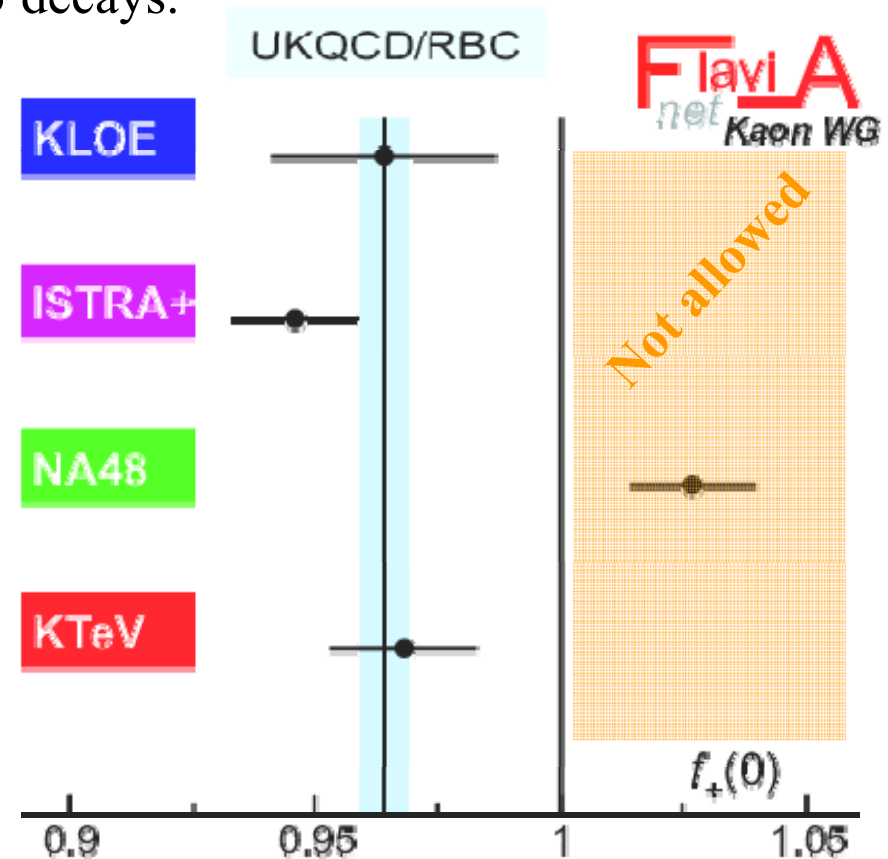
$\tilde{f}_0(\Delta_{K\pi})$ is evaluated fitting $K_L\mu 3$ with a dispersive parameterization

$$\tilde{f}_0(t) = \exp\left(\frac{t}{\Delta_{K\pi}} \log(C - G(t))\right)$$

$G(t)$ from $K\pi$ scattering data.

To fit we use a 3rd order expansion

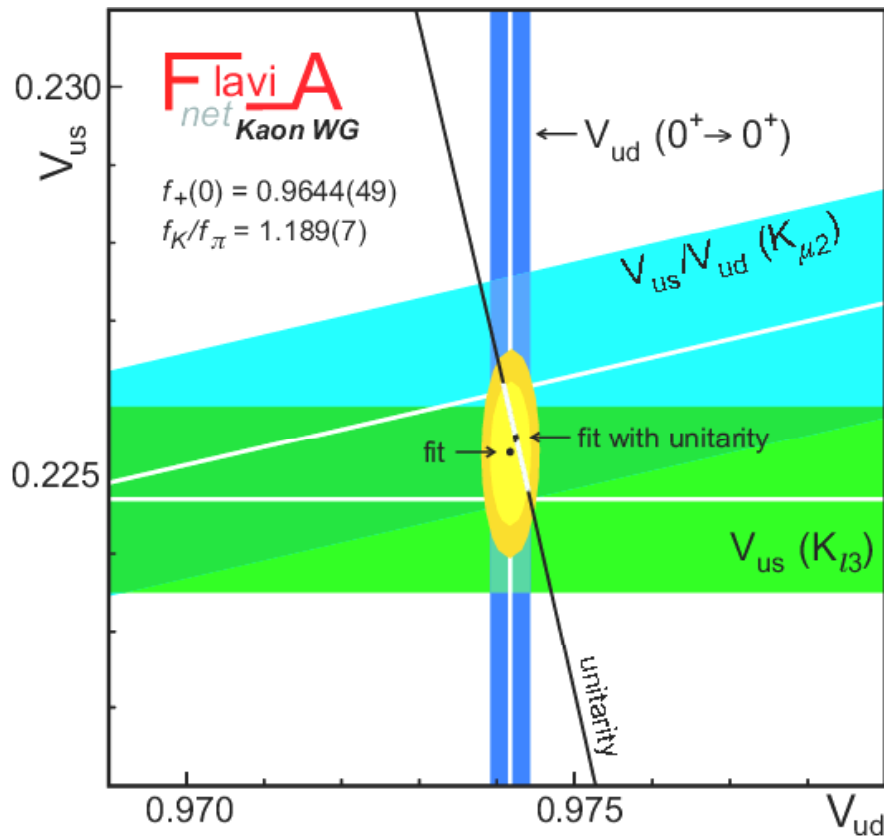
From CT, using $f_K/f_\pi=1.189(7)$ [HPQCD-UKQCD07] obtain: $f_+(0)=0.964(23)$ in agreement with RBC/UKQCD07 value: $f_+(0) = 0.9644(49)$.



V_{ud} , V_{us} and V_{us}/V_{ud}

$|V_{us}| = 0.2246(12)$, $|V_{us}|/|V_{ud}| = 0.2321(15)$

V_{ud} from nuclear β decay: $V_{ud} = 0.97418(26)$ [Hardy-Towner, nucl-th 0710.3181]



Fit (no CKM unitarity constraint):

$V_{ud} = 0.97417(26)$; $V_{us} = 0.2253(9)$
 $\chi^2/\text{ndf} = 0.65/1$ (41%)

- Unitarity: $1 - V_{ud}^2 - V_{us}^2 = 0.0002(6)$
- The test on the unitarity of CKM can be also interpreted as a **test of the universality of lepton and quark gauge coupling:**

$$G_{\text{CKM}} \equiv G_{\mu} [|V_{ud}|^2 + |V_{us}|^2 + |V_{ub}|^2]^{1/2}$$

$$= (1.1662 \pm 0.0004) \times 10^{-5} \text{ GeV}^{-2}$$

$$G_{\mu} = (1.166371 \pm 0.000007) \times 10^{-5} \text{ GeV}^{-2}$$

Fit (with CKM unitarity constraint):

$V_{us} = 0.2255(7)$ $\chi^2/\text{ndf} = 0.8/2$ (67%)

$K_{\mu 2}$: sensitivity to NP

Comparison of V_{us} from $K_{\ell 2}$ (helicity suppressed) and from $K_{\ell 3}$ (helicity allowed)
To reduce theoretical uncertainties study the quantity:

$$R_{l23} = \left| \frac{V_{us}(K_{\ell 2})}{V_{us}(K_{\ell 3})} \times \frac{V_{ud}(0^+ \rightarrow 0^+)}{V_{ud}(\pi_{\ell 2})} \right|$$

Within SM $R_{l23} = 1$; NP effects can show as scalar currents due to a charged Higgs:

$$R_{l23} = \left| 1 - \frac{m_{K^+}^2}{M_{H^+}^2} \left(1 - \frac{m_d}{m_s} \right) \frac{\tan^2 \beta}{1 + \epsilon_0 \tan \beta} \right|$$

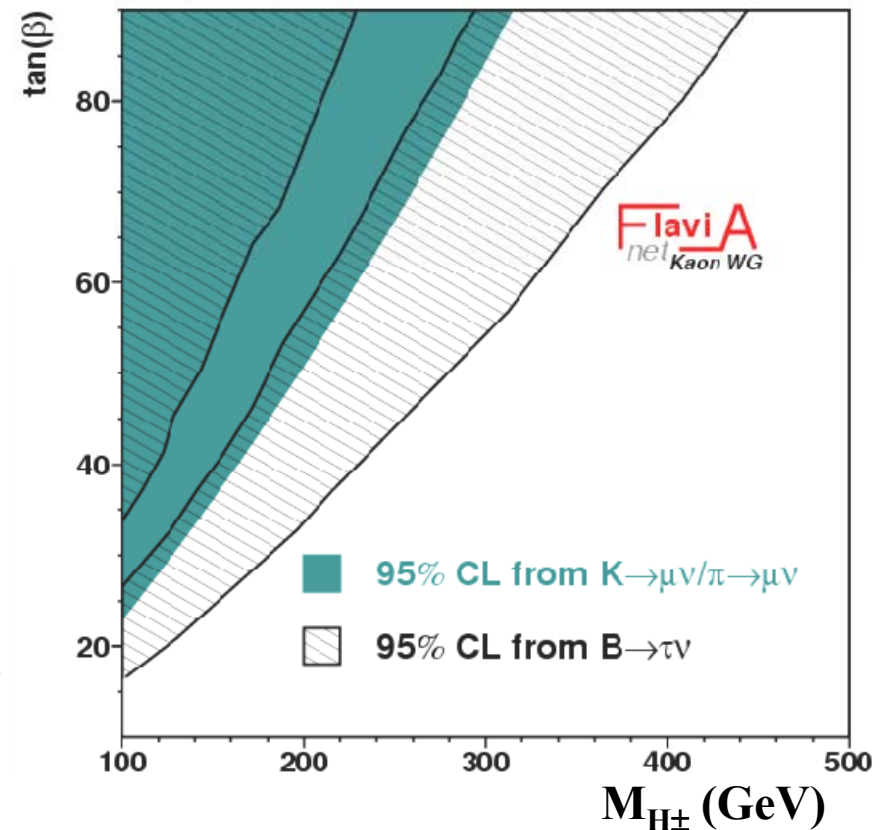
$K_{\mu 2}$: sensitivity to NP!

$R_{\ell 23}$ is accessible via $\text{BR}(K_{\mu 2})/\text{BR}(\pi_{\mu 2})$, $V_{us}f_+(0)$, and V_{ud} , and $f_K/f_\pi/f_+(0)$ determinations.

- Using K^\pm fit results, assuming unitarity for $V_{us}(K_{\ell 3})$ and using $f_K/f_\pi/f_+(0)$ from lattice:

$$R_{\ell 23} = 1.004(7)$$

- Uncertainty dominated by $f_K/f_\pi/f_+(0)$.
- 95% CL excluded region (with $\epsilon_0 \sim 0.01$).
- In $\tan\beta$ - M_{H^\pm} plane, $R_{\ell 23}$ fully cover the region uncovered by $\text{BR}(B \rightarrow \tau\nu)$.



Test of Lepton Universality from $K\ell 3$

- **Test of Lepton Flavor Universality**: comparing $Ke3$ and $K\mu 3$ modes constraints possible anomalous LF dependence in the leading weak vector current. Evaluate $R_{K\mu 3/Ke 3}$:

$$\frac{\Gamma(K_{\mu 3})}{\Gamma(K_{e 3})} = \left(\frac{G_F^\mu}{G_F^e} \right)^2 \frac{I_K^\mu}{I_K^e} \frac{(1 + \delta_K^\mu)^2}{(1 + \delta_K^e)^2}$$

Compare experimental results with SM prevision:

$$r_{\mu e} = \frac{(R_{K\mu 3/Ke 3})_{\text{obs}}}{(R_{K\mu 3/Ke 3})_{\text{SM}}} = \frac{\Gamma(K_{\mu 3})}{\Gamma(K_{e 3})} \frac{I_K^e}{I_K^\mu} \frac{(1 + \delta_K^e)^2}{(1 + \delta_K^\mu)^2} = \left(\frac{G_F^\mu}{G_F^e} \right)^2$$

Using FlaviaNet results get accuracy $\sim 0.5\%$,

$$K_L \quad r_{\mu e} = 1.0049(61)$$

$$K^\pm \quad r_{\mu e} = 1.0029(86)$$

$$\text{Average } r_{\mu e} = 1.0043(52)$$

Comparable with other determinations:

- τ decays: $(r_{\mu e})_\tau = 1.0005(41)$ (PDG06)

- π decays: $(r_{\mu e})_\pi = 1.0042(33)$

Conclusions

- Dominant K_S , K_L , and K^\pm BRs, and lifetime known with very good accuracy.
- Dispersive approach for form factors.
- Constant improvements from lattice calculations of $f_+(0)$ and f_K/f_π :
Callan-Treiman relation allows checks from measurements;
syst errors often not quoted, problem when averaging different evaluations.
- $|V_{us}| f_+(0)$ at 0.2% level.
- $|V_{us}|$ measured with 0.4% accuracy (with $f_+(0) = 0.9644(49)$)
Dominant contribution to uncertainty on $|V_{us}|$ still from $f_+(0)$.
CKM unitarity test satisfied at 0.3σ level
test of lepton-quark universality
- Comparing $|V_{us}|$ values from $K\mu 2$ and $Kl 3$, exclude large region in the $(m_{H^\pm}, \tan\beta)$ plane, complementary to results from $B \rightarrow \tau\nu$ decays.
- Test of Lepton Universality with $Kl 3$ decays with 0.5% accuracy.

Additional information

Introduction

Analysis of leptonic and semileptonic kaon decays data

- provide precise determination of **fundamental SM couplings**;
- set stringent SM tests, almost **free from hadronic uncertainties**;
- discriminate between **different NP scenarios**.

$$\Gamma(K_{\ell 3}(\gamma)) = \frac{G_F^2 m_K^5}{192\pi^3} C_K S_{\text{ew}} |V_{us}|^2 f_+(0)^2 I_K^\ell(\lambda_{+,0}) \left(1 + \delta_{SU(2)}^K + \delta_{\text{em}}^{K\ell}\right)^2$$

$$\frac{\Gamma(K_{\ell 2}^\pm(\gamma))}{\Gamma(\pi_{\ell 2}^\pm(\gamma))} = \left|\frac{V_{us}}{V_{ud}}\right|^2 \frac{f_K^2 m_K}{f_\pi^2 m_\pi} \left(\frac{1 - m_\ell^2/m_K^2}{1 - m_\ell^2/m_\pi^2}\right)^2 \times (1 + \delta_{\text{em}})$$

- Test **unitarity of the quark mixing matrix** (V_{CKM}):

$$|V_{ud}|^2 + |V_{us}|^2 + |V_{ub}|^2 = 1 + \epsilon_{\text{NP}} \quad \epsilon_{\text{NP}} \sim M_W^2/\Lambda_{\text{NP}}^2$$

→ present precision on V_{us} (dominant source of error) and V_{ub} negligible ($|V_{ub}|^2 \sim 10^{-5}$) set bounds on NP well above 1 TeV.

- Comparison of $\text{Ke}3$ and $\text{K}\mu 3$ modes, tests the **lepton universality**.

K[±] leading branching ratios and τ_±

No significant differences in the fit if the final KLOE measurement of K[±] lifetime is used instead of the preliminary one (FlaviaNet note):

FlaviaNet note

$$\tau^\pm(\text{KLOE}) = 12.347(30) \text{ ns}$$

Parameter	Value	<i>S</i>	
BR($K_{\mu 2}$)	63.57(11)%	1.1	
BR($\pi\pi^0$)	20.64(8)%	1.1	
BR($\pi\pi\pi$)	5.595(31)%	1.0	← 5.593(30)%
BR($K_{e 3}$)	5.078(26)%	1.2	
BR($K_{\mu 3}$)	3.365(27)%	1.7	
BR($\pi\pi^0\pi^0$)	1.750(26)%	1.1	← 1.749(26)%
τ _±	12.384(19) ns	1.7	← 12.379(19) ns

Global fits and averages:

- K_L , K_S , and K^\pm , dominant BRs and lifetime.
- **Parameterization of the $K \rightarrow \pi$ interaction (form factor)**

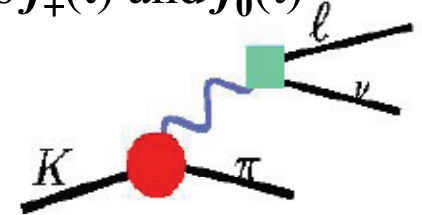
Physics results:

- $|V_{us}| \times f_+(0)$
- Test of lepton universality with $K_{\ell 3}$.
- $|V_{us}|/|V_{ud}| \times f_K/f_\pi$.
- Theoretical estimations of $f_+(0)$ and f_K/f_π .
- V_{us} and V_{ud} determinations.
- Bounds on helicity suppressed amplitudes.
- The special role of $BR(K^\pm e 2)/BR(K^\pm \mu 2)$

Parameterization of $K_{\ell 3}$ form factors

- Hadronic $K \rightarrow \pi$ matrix element is described by two form factors $f_+(t)$ and $f_0(t)$ defined by: $\langle \pi^-(k) | \bar{s} \gamma^\mu u | K^0(p) \rangle = (p+k)^\mu f_+(t) + (p-k)^\mu f_-(t)$

$$f_-(t) = \frac{m_K^2 - m_\pi^2}{t} (f_0(t) - f_+(t))$$



- Experimental or theoretical inputs to define t -dependence of $f_{+,0}(t)$.
- $f_-(t)$ term negligible for K_{e3} .

- Taylor expansion:

$$\tilde{f}_{+,0}(t) \equiv \frac{f_{+,0}(t)}{f_{+,0}(0)} = 1 + \lambda'_{+,0} \frac{t}{m_\pi^2} + \frac{1}{2} \lambda''_{+,0} \left(\frac{t}{m_\pi^2} \right)^2 + \dots$$

- Obtain λ' , λ'' , from fit to data distributions (more accurate than theor. predictions).
- λ' and λ'' are **strongly correlated**: **-95%** for $f_+(t)$, and **-99.96%** for $f_0(t)$.

One parameter parameterizations:

- Pole parameterization

(what vector/scalar state should be used?)

$$\tilde{f}_{+,0}(t) = \frac{M_{V,S}^2}{M_{V,S}^2 - t}$$

- Dispersive approach plus $K\pi$ scattering data for both $f_+(t)$ and $f_0(t)$

Vector form factor

Quadratic expansion:

- Measurements from ISTRA+, KLOE, KTeV, NA48 with $K_L e3$ and $K^- e3$ decays.
- **Good fit quality: $\chi^2/\text{ndf}=5.3/6(51\%)$ for all data; $\chi^2/\text{ndf}=4.7/4(32\%)$ for K_L only**
- **The significance of the quadratic term is 4.2σ from all data and 3.5σ from K_L only.**
- **Using all data or K_L only changes the space phase integrals I^0_{e3} and I^\pm_{e3} by 0.07% .**
- Errors on I_{e3} are significantly smaller when K^- data are included.

A **pole parameterization** is in good agreement with present data:

$$\tilde{f}_+(t) = M_V^2 / (M_V^2 - t), \text{ with } M_V \sim 892 \text{ MeV} \quad \lambda' = (m_{\pi^+}/M_V)^2; \lambda'' = 2\lambda'^2$$

- KLOE, KTeV, NA48 quote value for M_V for pole fit to $K_L e3$ data ($\chi^2/\text{ndf}=1.8/2$)
- The values for λ'_+ and λ''_+ from pole expansion are in agreement with quadratic fit results.
- **Using quadratic averages or pole fit results changes I^0_{e3} by 0.03% .**

Improvements: **dispersive parameterization** for $f_+(t)$, with good analytical and unitarity properties and a correct threshold behavior, (e.g. Passemar arXiv:0709.1235[hep-ph])
Dispersive results for λ_+ and λ_0 are in agreement with pole parameterization.

Dispersive parameterization

$$\tilde{f}_+(t) = \exp \left[\frac{t}{m_\pi^2} (\Lambda_+ + H(t)) \right]$$

$$\tilde{f}_+(t) = 1 + \lambda_+ \frac{t}{m^2} + \frac{\lambda_+^2 + p_2}{2} \left(\frac{t}{m^2} \right)^2 + \frac{\lambda_+^3 + 3p_2\lambda_+ + p_3}{6} \left(\frac{t}{m^2} \right)^3$$

p_n	$\tilde{f}_+(t)$	$\tilde{f}_0(t)$
$p_2 \times 10^4$	5.84 ± 0.93	4.16 ± 0.50
$p_3 \times 10^4$	0.30 ± 0.02	0.27 ± 0.01

Table 1: Constants appearing in the dispersive form of vector and scalar form factors.

$$\tilde{f}_0(t) = \exp \left[\frac{t}{\Delta_{K\pi}} (\ln C - G(t)) \right]$$

$$\tilde{f}_0(t) = 1 + \lambda_0 \frac{t}{m^2} + \frac{\lambda_0^2 + p_2}{2} \left(\frac{t}{m^2} \right)^2 + \frac{\lambda_0^3 + 3p_2\lambda_0 + p_3}{6} \left(\frac{t}{m^2} \right)^3$$

With or without NA48 $K\mu 3$ data

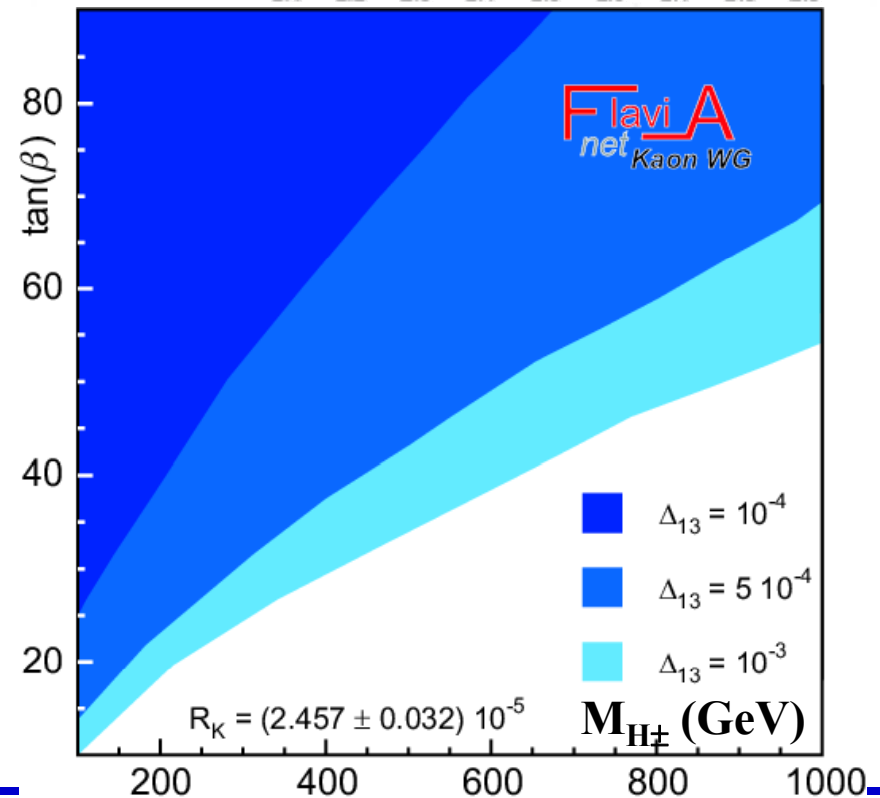
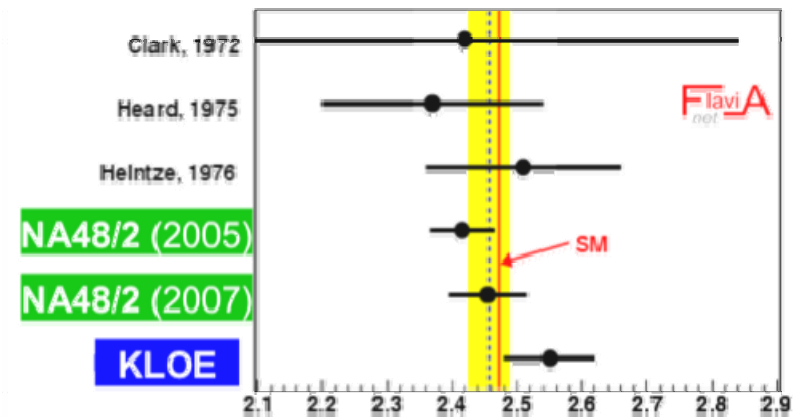
	K_L and K^-	K_L only	K_L and K^-	K_L only
Measurements	16	11	13	8
χ^2/ndf	54/13 (7×10^{-7})	33/8 (8×10^{-5})	13/9 (24.9%)	9/5 (12.3%)
$\lambda'_+ \times 10^3$	24.9 ± 1.1 ($S = 1.4$)	24.0 ± 1.5 ($S = 1.5$)	25.0 ± 0.8	24.5 ± 1.1
$\lambda''_+ \times 10^3$	1.6 ± 0.5 ($S = 1.3$)	2.0 ± 0.6 ($S = 1.6$)	1.6 ± 0.4	1.8 ± 0.4
$\lambda_0 \times 10^3$	13.4 ± 1.2 ($S = 1.9$)	11.7 ± 1.2 ($S = 1.7$)	16.0 ± 0.8	14.8 ± 1.1
$\rho(\lambda'_+, \lambda''_+)$	-0.94	-0.97	-0.94	-0.95
$\rho(\lambda'_+, \lambda_0)$	+0.33	+0.72	+0.26	+0.28
$\rho(\lambda''_+, \lambda_0)$	-0.44	-0.70	-0.37	-0.38
$I(K_{e3}^0)$	0.15457(29)	0.1544(4)	0.15459(20)	0.15446(27)
$I(K_{e3}^\pm)$	0.15892(30)	0.1587(4)	0.15894(21)	0.15881(28)
$I(K_{\mu 3}^0)$	0.10212(31)	0.1016(4)	0.10268(20)	0.10236(28)
$I(K_{\mu 3}^\pm)$	0.10507(32)	0.1046(4)	0.10559(20)	0.10532(29)
$\rho(I_{e3}, I_{\mu 3})$	+0.63	+0.89	+0.59	+0.62

wNA48-w/oNA48:	-0.00002 (0.01%)	-0.00006 (0.04%)	$\Delta I(K^0 e3)$
	-0.00002 (0.01%)	-0.00011 (0.07%)	$\Delta I(K^\pm e3)$
	-0.00056 (0.55%)	-0.00076 (0.75%)	$\Delta I(K^0 \mu 3)$
	-0.00052 (0.49%)	-0.00072 (0.69%)	$\Delta I(K^\pm \mu 3)$

Measurement of $R_K = \Gamma(K_{e2})/\Gamma(K_{\mu2})$

- PDG06: 5% precision from 3 old mnts
- 2 preliminary meas. from NA48 (see M.Raggi talk); waiting for new data result.
- 1 preliminary from KLOE see (A.Passeri talk); waiting for final.

- **New average: $R_K = 2.457(32) \times 10^{-5}$.**
- Perfect agreement with SM expectations: $R_K^{SM} = 2.477(1) \times 10^{-5}$.
- In SUSY(MSSM) LFV appear at 1-loop level (effective $H^+ \ell \nu_\tau$ Yukawa interaction). For moderately large $\tan\beta$ values, enhance R_K up to few %.
- The world average gives strong constrains for $\tan\beta$ and M_{H^\pm} .
- **95%-CL excluded regions in the $\tan\beta$ - M_H plane, for $\Delta_{13} = 10^{-4}$, 0.5×10^{-4} , 10^{-3} .**



Lepton universality from $K_{e2}/K_{\mu2}$

SM: no hadronic uncertainties (no f_K) $\rightarrow 0.4 \times 10^{-3}$

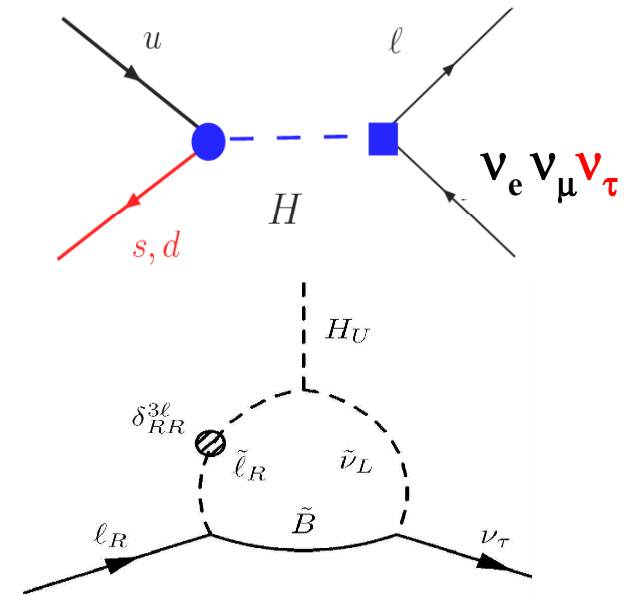
In MSSM, **LFV can give up to % deviations** [Masiero, Paradisi, Petronzio]

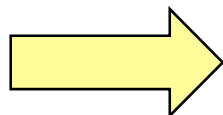
NP dominated by contribution of $e\nu_\tau$

$$R_K \approx \frac{\Gamma(K \rightarrow e\nu_e) + \Gamma(K \rightarrow e\nu_\tau)}{\Gamma(K \rightarrow \mu\nu_\mu)}$$

with effective coupling:

$$eH^\pm \nu_\tau \rightarrow \frac{g_2}{\sqrt{2}} \frac{m_\tau}{M_W} \Delta_R^{31} \tan^2 \beta$$





$$R_K \approx R_K^{\text{SM}} \left[1 + \frac{m_K^4}{m_H^4} \frac{m_\tau^2}{m_e^2} |\Delta_R^{31}|^2 \tan^6 \beta \right]$$

1% effect ($\Delta_R^{31} \sim 5 \times 10^{-4}$, $\tan \beta \sim 40$, $m_H \sim 500 \text{ GeV}$) not unnatural

Present accuracy on R_K @ 6% ; need for precise (<1%) measurements

Vector form factor from K_{e3}

- Quadratic from ISTRA+, KLOE, KTeV, NA48 with K_L and K^- decays.

	K_L and K^- data	K_L data only
	4 measurements	3 measurements
	$\chi^2/\text{ndf} = 5.3/6$ (51%)	$\chi^2/\text{ndf} = 4.7/4$ (32%)
$\lambda'_+ \times 10^3$	25.2 ± 0.9	24.9 ± 1.1
$\lambda''_+ \times 10^3$	1.6 ± 0.4	1.6 ± 0.5
$\rho(\lambda'_+, \lambda''_+)$	-0.94	-0.95
$I(K_{e3}^0)$	0.15465(24)	0.15456(31)
$I(K_{e3}^\pm)$	0.15901(24)	0.15891(32)

- The significance of the quadratic term is 4.2σ from all data and 3.5σ from K_L only.
- Using all data or K_L only changes the space phase integrals I_{e3}^0 and I_{e3}^\pm by 0.07% .
- Errors on I_{e3} are significantly smaller when K^- data are included.

Vector form factor from K_{e3}

- KLOE, KTeV, NA48 quote value for M_V for pole fit to $K_{L}e3$ data.

Experiment	M_V (MeV)	$\langle M_V \rangle = 875 \pm 5$ MeV
KLOE	$870 \pm 6 \pm 7$	$\chi^2/\text{ndf} = 1.8/2$
KTeV	881.03 ± 7.11	$\lambda'_+ \times 10^3 = 25.42(31)$
NA48	859 ± 18	$\lambda''_+ = 2 \times \lambda'^2_+$
		$I(K_{e3}^0) = 0.15470(19)$

- The values for λ'_+ and λ''_+ from pole expansion are in agreement with quadratic fit results.

- Using quadratic averages or pole fit results changes I^0_{e3} by 0.03% .