# Geometric scaling from DGLAP evolution

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## Outline

## Geometric scaling from DGLAP evolution: theory

- Geometric scaling, saturation and DGLAP evolution
- Can geometric scaling be produced by DGLAP evolution?
- A simple fixed coupling analysis
- Introducing running coupling
- G.S. can in fact be produced by DGLAP evolution

#### Phenomenology: is the HERA scaling a DGLAP-based scaling?

- The geometric scaling kinematic window
- Theoretical vs. phenomenological scaling

[Based on Stefano Forte & F.C., 0802.1878 (HEP-PH)]

## Geometric scaling



STASTO, GOLEC-BIERNAT, KWIECINSKI, hep-ph/0007192

Geometric scaling

$$\sigma\left(x,Q^{2}
ight)=F_{2}/Q^{2}=\sigma( au),$$
  
with  $au=Q^{2}x^{\lambda}$  or

$$\tau = Q^2 \exp\left[-\lambda \sqrt{\log(1/x)}\right]$$

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## Geometric scaling II



## The original G.S. *x* < 0.01, *Q*<sup>2</sup> < 450 GeV<sup>2</sup>

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Image: Image:

#### Three possible scenarios:

- Geometric scaling is a saturation-based phenomenon. What we are seeing at HERA are saturation effects. If so, big problems with our PDFs!
- Geometric scaling is generated by saturation physics at some low scale and then it is preserved by DGLAP evolution [see e.g. KWIECINSKI, STASTO, PRD 66:014013,2002]
- Geometric scaling is generated by DGLAP evolution. There exists a region where geometric scaling can be explained by pure DGLAP evolution, without need of saturation

# A toy model without saturation: the LO DGLAP evolution at small $\boldsymbol{x}$

- At small x the evolution is dominated by the large eigenvalue of the a.d. matrix in the singlet sector
- Consider only the singlet parton density

$$G(x,t) = x \left[ g(x,Q^2) + k_q \otimes q(x,Q^2) \right]$$

with as usual  $t \equiv \log Q^2/Q_0^2$ 

#### The LO DGLAP equation for G in Mellin space

$$\frac{d}{dt}G(N,t) = \alpha_s \gamma_0(N)G(N,t)$$

## The DGLAP solution

$$G(\xi, t) = \int \frac{dN}{2\pi i} G_0(N) \exp\left[\alpha_s \gamma_0(N) t + N \log(1/x)\right]$$

#### In the saddle point approximation

 $G \approx e^{\alpha_s \gamma_0(N_s) t + N_s \log(1/x)}$ , leading to the double log result

$$\sigma = \exp\left[2\sqrt{\overline{\alpha}_{s}t\log(1/x)} - (1+\overline{\alpha}_{s})t\right],$$

with  $\overline{lpha}_s\equiv N_c/\pi~lpha_s$  and  $t\equiv \log Q^2/Q_0^2$ 

## Apparently no geometric scaling!

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The saddle condition reads  

$$\alpha_{s} \left. \frac{d}{dN} \gamma_{0}(N) \right|_{N=N_{s}} = -\frac{\xi}{t} \longrightarrow N_{s}(t,\xi) = N_{s}(\xi/t),$$
where  $\xi \equiv \log(1/x)$ 

## Hence

$$\sigma \sim \exp\left[\alpha_s \gamma_0(N_s) t + N_s \xi - t\right] = \exp\left[f(t/\xi)\xi\right],$$

#### with

$$f(z) = (\alpha_s \gamma_0(N_s) - 1) \ z + N_s.$$

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Now expand f(z) around  $t/\xi = z_0 = \lambda$  such that  $f(z_0) = 0$ :

$$\sigma \sim \exp\left[f'(\lambda)(z-z_0)\xi + O\left((z-z_0)^2\right)
ight]$$

As long as we can neglect higher terms in this expansion

$$\sigma \sim \exp\left[f'(\lambda)\left(\frac{t}{\xi}-\lambda\right)\xi\right] = \exp\left[f'(\lambda)(t-\lambda\xi)\right]$$

Geometric scaling!

$$\sigma(t,\xi) = \sigma(t-\lambda\xi) = \sigma\left(Q^2 x^{\lambda}\right)$$

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- Analitically, this is the same argument proposed by lancu et al. in a BFKL context, [NPA 708:327-352,2002]
- OK also for DGLAP thanks to perturbative duality
- However: lancu et al. impose the condition  $\sigma(t = \lambda \xi) = const$  as a consequence of parton saturation. At the DGLAP level, this condition is automatically fulfilled with the LO anomalous dimension  $\gamma_0$  (and more in general with any reasonable anomalous dimension)
- Note that G<sub>0</sub> does not enter in our equations. We have implicitly assumed that the boundary condition is washed out by the perturbative evolution

# Running coupling

## What about running coupling?

Write the DGLAP solution in the "dual" form

$$G(\xi, t) \approx \int \frac{dM}{2\pi i} \exp\left(Mt + \sqrt{\xi \frac{-2\int_{M_0}^M \chi(\alpha_s, M') dM'}{\beta_0 \alpha_s}}\right)$$

where  $\chi$  is the kernel dual to  $\gamma$  (see Guido Altarelli's talk).

We can repeat the previous saddle point argument, with the only replacement

$$\xi \to \sqrt{\xi}$$

#### A new scaling variable!

$$\log au = t - \lambda \sqrt{\xi} 
ightarrow au = Q^2 \exp \left[ -\lambda \sqrt{1/x} 
ight]$$

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## G.S. is an approximation to the full DGLAP solution!

- Fixed coupling G.S. variable:  $\log \tau = t \lambda \log(1/x)$
- Running coupling G.S. variable:  $\log \tau = t \lambda \sqrt{\log(1/x)}$

#### The third scenario is possible!

Geometric scaling can be generated by perturbative DGLAP evolution

#### The arguments so involved several approximations:

- $\bullet\,$  Saddle point evaluation of the integral  $\checkmark\,$
- Truncated Taylor expansion
- Fixed coupling analysis

#### To assess their accuracy:

- **1** Introduce the variable  $\zeta = t + \lambda \xi$
- 2 Search for  $\lambda = \lambda(t,\xi)$  such that

$$\frac{d\sigma}{d\zeta} = 0$$

**3** If  $\lambda(t,\xi) = const$ , then we have exact geometric scaling

#### The derivative argument

Determine  $\lambda$  from the condition  $\frac{d}{d\zeta}\sigma = 0$ . The leading term:

$$\lambda = \frac{2\gamma t \log(t/t_0)}{(t+\gamma^2)\sqrt{\log(t/t_0)} - \gamma\sqrt{\xi}}$$

If (t + γ<sup>2</sup>)<sup>2</sup> log(t/t<sub>0</sub>) ≫ γ<sup>2</sup>ξ, then λ does not depend on x
As t increases λ becomes more and more a constant

## This geometric scaling is a large $Q^2$ – "large" x phenomenon!

## A numerical argument, fixed coupling scaling



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## How to extract $\lambda$ : the quality factor method

#### [Gelis et al., PLB 647:376-379,2007]

How can we extract the best value for  $\lambda$ ?

Define 
$$Q(\lambda)^{-1} \equiv \sum_{i} \left[ \left( [\sigma_{tot}^{\gamma^* p}]_{i+1} - [\sigma_{tot}^{\gamma^* p}]_{i} \right)^2 / \left( (\tau_{i+1} - \tau_i)^2 + \epsilon \right) \right]$$



#### Geometric scaling from DGLAP evolution

The LO DGLAP form for  $\sigma$  in the HERA region, x < 0.1,  $Q^2 > 10 \text{ GeV}^2$  and  $\log \tau = t - \lambda \xi$ ,  $\lambda = 0.48$ 



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# Scaling plot – running coupling scaling

Same as before, but with log 
$$\tau = t - \lambda \sqrt{\xi}$$
,  $\lambda = 2.18$ 



#### The DGLAP solution exhibits geometric scaling!

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#### The LO DGLAP solution exhibits geometric scaling

- Spectacular scaling behaviour both in the fixed and in the running coupling variables
- This scaling is generated by the DGLAP evolution
- The scaling behaviour persists in a wide kinematic window
- In particular GS persists at large  $Q^2$  and "large"  $x \longrightarrow$

#### Different from saturation-based scaling!

Can we use our theoretical results to explain the phenomenological geometric scaling observation?

Yes, as long as the DGLAP evolution is a good approximation to the full QCD evolution. This is true if

- x should be small, but not so small  $\checkmark$
- $Q^2$  should be large enough to justify a f.o. calculation  $\checkmark$
- ullet Boundary condition effects should be small enough  $\checkmark$
- The "small" eigenvector of the a.d. matrix should be really suppressed X

 $\checkmark$ : OK in the small x HERA region for  $Q^2 > 10~{\rm GeV^2}$ 

Only the largest eigenvector:

$$F_2 = \frac{\gamma}{\rho}G$$

Only a trivial overall constant K must be fitted to the data

#### Both the contributions:

$$F_2 = \frac{\gamma}{\rho}G + \bar{G}$$

with

$$ar{G} = k \exp\left[-16rac{n_f}{27eta_0}\log(t/t_0)
ight]$$

k must be fitted to the data. From a global fit we obtain k = 0.16.

The new term  $\overline{G}$  violates G.S., hence we expect that the scaling behaviour of the full solution deteriorates slightly.

Indeed, this is just the case:



 $\overline{G}$  deteriorates slightly geometric scaling, but we are forced to consider it if we want to explain data!

Considering all data with  $Q^2 > 10 \text{ GeV}^2$ 

- $\lambda_{fix} = 0.32 \pm 0.05$
- $\lambda_{run} = 1.66 \pm 0.34$

#### These are our final predictions for $\lambda$

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## Phenomenology I: The neural network approach

The neural neural network parametrization of  $F_2$  [NNPDF COLLABORATION, JHEP 0503(2005) 080]

- More flexible analysis
- Reliable results as long as we stay in the "populated" region



# Phenomenology II: Our sample



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# Geometric scaling in the original kinematic window

• 
$$x < 0.01$$
,  $Q^2 < 450 \text{ GeV}^2$   
•  $\lambda = \lambda_{fix} = 0.32$   $\lambda_{exp} = 0.32 \pm 0.06$ 



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## Is this scaling a DGLAP like scaling?

If so, it should be valid in a wider kinematic region, say x < 0.1



## Fixed-coupling scaling

 $\lambda = \lambda_{\it fix} =$  0.32, x < 0.1,  $Q^2 > 1~{
m GeV}^2$  for the theoretical curve



## The same with running-coupling scaling



The DGLAP evolution can explain GS in a wide kinematic window!

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## What about the small x region?



#### By far more involved

At HERA: small  $x \rightarrow \text{small } Q^2$ , hence higher order and higher twist effects.

#### Resummation of a quadratic BFKL kernel at running coupling

- First approximation: the a.d. has a simple pole located at  $N_0 \sim 0.1 0.3$  leading to a fixed coupling GS with  $\lambda = N_0$
- If we consider the leading  $Q^2$  dependence of the pole: approximate running coupling GS with  $\lambda \sim 1.2 - 1.7$ (Airy resummation)

#### Still compatible with the phenomenological observation!

This way a DGLAP-based GS could extend down to  $Q^2 pprox 5~{
m GeV^2}$ 

## Conclusions and outlook

## So...

- In a wide kinematic region, say  $Q^2 > 10 \text{ GeV}^2$  the geometric scaling seen at HERA seems indeed a DGLAP-based scaling
- 5 GeV<sup>2</sup> ≤ Q<sup>2</sup> ≤ 10 GeV<sup>2</sup>: perturbative resummations may provide an explanation for GS (Handle with care!)
- For yet lower Q<sup>2</sup> G.S. may provide genuine evidence for parton saturation

#### How can we improve these results?

- Focus on the small  $Q^2$  region
- Subasymptotic corrections in order to disentangle DGLAP and saturation-based scaling

# Extras

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Consider again the DGLAP solution in the dual form

$$G(\xi,t) \approx \int \frac{dM}{2\pi i} \exp\left(Mt + \sqrt{\xi \frac{-2\int_{M_0}^M \chi(\alpha_s, M') dM'}{\beta_0 \alpha_s}}\right)$$

- The running coupling solution in the dual form is valid only if the kernel  $\chi$  is linear in  $\alpha_{s}$
- OK in the collinear approximation
- OK if  $\chi$  is a generic LO BFKL kernel
- Not OK with a generic LO DGLAP kernel! Less general than the fixed coupling case

Consider a LO DGLAP evolution with anomalous dimension  $\gamma$  given by

$$\gamma(\alpha_s, N) = \alpha_s \frac{N_c}{\pi} \left(\frac{1}{N} - 1\right)$$

- Simple pole at  $N = 0 \rightarrow OK$  for not so small x (see e.g. Guido Altarelli's talk)
- $\gamma(lpha_{s},1)=0
  ightarrow$  OK with momentum conservation
- No saturation at all
- Can be solved analytically

## Not so bad for a toy model!



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# The toy model and resummations



OK down to  $x \sim 10^{-4}$ 

#### Only one eigenvector

QCD prediction:  $F_2 \approx f(t, \log(1/x)) \exp \left[2\gamma \sqrt{\log t \log(1/x)}\right]$ Define  $F_2^{res} \equiv \log(F_2/f)$  and plot the experimental  $F_2^{res}$ 



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This time 
$$F_2^{res} \equiv \log \left[ (F_2 - \overline{G})/f \right]$$
.



$$\gamma_{fit} = 2.42 \pm 0.004$$
  
 $\gamma_{th} = 2.4 \ (n_f = 4)$ 

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#### Good agreement theory/phenomenology

Up to our level of accuracy, the (improved) toy model is in good agreement with data

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# The quality factor: Comparison with data



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Consider a quadratic BFKL kernel

$$\chi(\alpha_s, M) = \alpha_s \left[ c + k/2 \left( M - M_0 \right)^2 \right]$$

then the r.c. resummed anomalous dimension reads

$$\gamma_{\mathcal{A}} = \frac{3\beta_0 N_0^2 \alpha_s(t)}{4\pi\beta_0 + 8\pi c \alpha_s(t)} \frac{1}{N - N_0} + O\left[(N - N_0)^0\right]$$

Leading behaviour of the solution

$$\mathcal{M}^{-1}\left[\exp(A/(N-N_0)]\approx \exp\left[N_0\xi+2\sqrt{A\xi}
ight]$$

Approximate GS (modulo logarithmic deviations)

$$\sigma \approx \exp(-t + N_0\xi)$$

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# Taking into accout the (leading) $Q^2$ dependence of $N_0$

$$N_0: \quad \left(\frac{2\beta_0 N_0}{4\pi k}\right)^{1/3} \frac{4\pi}{\beta_0} \left[\frac{1}{\alpha_s(t)} - \frac{c}{N_0}\right] = z_0$$

with  $z_0 = -2.338$  the first zero of the Airy Function. At large *t*:

$$N_0(t) = c\alpha_s(t) \left[ 1 + z_0 \left( \frac{\beta_0^2}{32\pi^2} \frac{k}{c} \right)^{1/3} \alpha_s(t)^{2/3} + \dots \right]$$

Search for the "geometric line"  $N_0(t_s)\xi - t_s = 0$ :

$$t_s(\xi) = \sqrt{4\pi c/eta_0}\sqrt{\xi} + O\left(\xi^{1/6}\right)$$

R.c. geometric scaling with  $\lambda = \sqrt{4\pi c/\beta_0}$ 

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