# Geometric scaling from DGLAP evolution 

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## Outline

Geometric scaling from DGLAP evolution: theory

- Geometric scaling, saturation and DGLAP evolution
- Can geometric scaling be produced by DGLAP evolution?
- A simple fixed coupling analysis
- Introducing running coupling
- G.S. can in fact be produced by DGLAP evolution

Phenomenology: is the HERA scaling a DGLAP-based scaling?

- The geometric scaling kinematic window
- Theoretical vs. phenomenological scaling
[Based on Stefano Forte \& F.C., 0802.1878 (hep-Ph)]


## Geometric scaling



## Geometric scaling

$$
\begin{gathered}
\sigma\left(x, Q^{2}\right)=F_{2} / Q^{2}=\sigma(\tau) \\
\text { with } \tau=Q^{2} x^{\lambda} \text { or } \\
\tau=Q^{2} \exp [-\lambda \sqrt{\log (1 / x)}]
\end{gathered}
$$

Stasto, Golec-Biernat, Kwiecinski, hep-ph/0007192

## Geometric scaling II



## The original G.S. <br> $x<0.01$, $Q^{2}<450 \mathrm{GeV}^{2}$

## How can we explain geometric scaling?

## Three possible scenarios:

(1) Geometric scaling is a saturation-based phenomenon. What we are seeing at HERA are saturation effects. If so, big problems with our PDFs!
(2) Geometric scaling is generated by saturation physics at some low scale and then it is preserved by DGLAP evolution [see e.g. Kwiecinski, Stasto, PRD 66:014013,2002]
(3) Geometric scaling is generated by DGLAP evolution. There exists a region where geometric scaling can be explained by pure DGLAP evolution, without need of saturation

## A toy model without saturation: the LO DGLAP evolution

 at small $x$- At small $x$ the evolution is dominated by the large eigenvalue of the a.d. matrix in the singlet sector
- Consider only the singlet parton density

$$
G(x, t)=x\left[g\left(x, Q^{2}\right)+k_{q} \otimes q\left(x, Q^{2}\right)\right]
$$

with as usual $t \equiv \log Q^{2} / Q_{0}^{2}$

The LO DGLAP equation for $G$ in Mellin space

$$
\frac{d}{d t} G(N, t)=\alpha_{s} \gamma_{0}(N) G(N, t)
$$

## GS from DGLAP evolution: the fixed coupling case

## The DGLAP solution

$$
G(\xi, t)=\int \frac{d N}{2 \pi i} G_{0}(N) \exp \left[\alpha_{s} \gamma_{0}(N) t+N \log (1 / x)\right]
$$

## In the saddle point approximation

$G \approx e^{\alpha_{s} \gamma_{0}\left(N_{s}\right) t+N_{s} \log (1 / x)}$, leading to the double log result

$$
\sigma=\exp \left[2 \sqrt{\bar{\alpha}_{s} t} \log (1 / x)-\left(1+\bar{\alpha}_{s}\right) t\right],
$$

with $\bar{\alpha}_{s} \equiv N_{c} / \pi \alpha_{s}$ and $t \equiv \log Q^{2} / Q_{0}^{2}$
Apparently no geometric scaling!

## A closer look at the saddle point approximation

## The saddle condition reads

$$
\left.\alpha_{s} \frac{d}{d N} \gamma_{0}(N)\right|_{N=N_{s}}=-\frac{\xi}{t} \longrightarrow N_{s}(t, \xi)=N_{s}(\xi / t),
$$

where $\xi \equiv \log (1 / x)$

Hence

$$
\begin{gathered}
\sigma \sim \exp \left[\alpha_{s} \gamma_{0}\left(N_{s}\right) t+N_{s} \xi-t\right]=\exp [f(t / \xi) \xi] \\
\text { with } \\
f(z)=\left(\alpha_{s} \gamma_{0}\left(N_{s}\right)-1\right) z+N_{s} .
\end{gathered}
$$

## Geometric scaling from the saddle point approximation

Now expand $f(z)$ around $t / \xi=z_{0}=\lambda$ such that $f\left(z_{0}\right)=0$ :

$$
\sigma \sim \exp \left[f^{\prime}(\lambda)\left(z-z_{0}\right) \xi+O\left(\left(z-z_{0}\right)^{2}\right)\right]
$$

As long as we can neglect higher terms in this expansion

$$
\sigma \sim \exp \left[f^{\prime}(\lambda)\left(\frac{t}{\xi}-\lambda\right) \xi\right]=\exp \left[f^{\prime}(\lambda)(t-\lambda \xi)\right]
$$

Geometric scaling!

$$
\sigma(t, \xi)=\sigma(t-\lambda \xi)=\sigma\left(Q^{2} x^{\lambda}\right)
$$

## A few comments

- Analitically, this is the same argument proposed by lancu et al. in a BFKL context, [NPA 708:327-352,2002]
- OK also for DGLAP thanks to perturbative duality
- However: lancu et al. impose the condition $\sigma(t=\lambda \xi)=$ const as a consequence of parton saturation. At the DGLAP level, this condition is automatically fulfilled with the LO anomalous dimension $\gamma_{0}$ (and more in general with any reasonable anomalous dimension)
- Note that $G_{0}$ does not enter in our equations. We have implicitly assumed that the boundary condition is washed out by the perturbative evolution


## Running coupling

## What about running coupling?

Write the DGLAP solution in the "dual" form

$$
G(\xi, t) \approx \int \frac{d M}{2 \pi i} \exp \left(M t+\sqrt{\xi \frac{-2 \int_{M_{0}}^{M} \chi\left(\alpha_{s}, M^{\prime}\right) d M^{\prime}}{\beta_{0} \alpha_{s}}}\right)
$$

where $\chi$ is the kernel dual to $\gamma$ (see Guido Altarelli's talk).

We can repeat the previous saddle point argument, with the only replacement

$$
\xi \rightarrow \sqrt{\xi}
$$

A new scaling variable!

$$
\log \tau=t-\lambda \sqrt{\xi} \rightarrow \tau=Q^{2} \exp [-\lambda \sqrt{1 / x}]
$$

## Summarizing our results so far...

G.S. is an approximation to the full DGLAP solution!

- Fixed coupling G.S. variable: $\log \tau=t-\lambda \log (1 / x)$
- Running coupling G.S. variable: $\log \tau=t-\lambda \sqrt{\log (1 / x)}$


## The third scenario is possible!

Geometric scaling can be generated by perturbative DGLAP evolution

## How good our approximations are?

## The arguments so involved several approximations:

- Saddle point evaluation of the integral $\checkmark$
- Truncated Taylor expansion
- Fixed coupling analysis


## To assess their accuracy:

(1) Introduce the variable $\zeta=t+\lambda \xi$
(2) Search for $\lambda=\lambda(t, \xi)$ such that

$$
\frac{d \sigma}{d \zeta}=0
$$

(3) If $\lambda(t, \xi)=$ const, then we have exact geometric scaling

## An analytical argument: running coupling scaling

## The derivative argument

Determine $\lambda$ from the condition $\frac{d}{d \zeta} \sigma=0$. The leading term:

$$
\lambda=\frac{2 \gamma t \log \left(t / t_{0}\right)}{\left(t+\gamma^{2}\right) \sqrt{\log \left(t / t_{0}\right)}-\gamma \sqrt{\xi}}
$$

- If $\left(t+\gamma^{2}\right)^{2} \log \left(t / t_{0}\right) \gg \gamma^{2} \xi$, then $\lambda$ does not depend on $x$
- As $t$ increases $\lambda$ becomes more and more a constant

This geometric scaling is a large $Q^{2}$ - "large" x phenomenon!

## A numerical argument, fixed coupling scaling



## How to extract $\lambda$ : the quality factor method

[GELIS ET AL., PLB 647:376-379,2007]
How can we extract the best value for $\lambda$ ?
Define $Q(\lambda)^{-1} \equiv \sum_{i}\left[\left(\left[\sigma_{\text {tot }}^{\gamma^{*} p}\right]_{i+1}-\left[\sigma_{\text {tot }}^{\gamma^{*} p}\right]_{i}\right)^{2} /\left(\left(\tau_{i+1}-\tau_{i}\right)^{2}+\epsilon\right)\right]$

$$
\begin{aligned}
& \text { From a gaussian fit: } \\
& \qquad \begin{array}{l}
\lambda_{f i x}=0.48 \pm 0.02 \\
\lambda_{r u n}=2.18 \pm 0.22
\end{array}
\end{aligned}
$$



## Scaling plot - fixed coupling scaling

The LO DGLAP form for $\sigma$ in the HERA region, $x<0.1, Q^{2}>10 \mathrm{GeV}^{2}$ and $\log \tau=t-\lambda \xi, \lambda=0.48$


## Scaling plot - running coupling scaling

Same as before, but with $\log \tau=t-\lambda \sqrt{\xi}, \lambda=2.18$


The DGLAP solution exhibits geometric scaling!

## What we have seen so far

## The LO DGLAP solution exhibits geometric scaling

- Spectacular scaling behaviour both in the fixed and in the running coupling variables
- This scaling is generated by the DGLAP evolution
- The scaling behaviour persists in a wide kinematic window
- In particular GS persists at large $Q^{2}$ and "large" $x \longrightarrow$

> Different from saturation-based scaling!

## What about the real world?

Can we use our theoretical results to explain the phenomenological geometric scaling observation?
Yes, as long as the DGLAP evolution is a good approximation to the full QCD evolution. This is true if

- $x$ should be small, but not so small $\checkmark$
- $Q^{2}$ should be large enough to justify a f.o. calculation $\checkmark$
- Boundary condition effects should be small enough $\checkmark$
- The "small" eigenvector of the a.d. matrix should be really suppressed X
$\checkmark:$ OK in the small $\times$ HERA region for $Q^{2}>10 \mathrm{GeV}^{2}$


## DGLAP evolution at the quark-gluon coupled level

Only the largest eigenvector:

$$
F_{2}=\frac{\gamma}{\rho} G
$$

Only a trivial overall constant $K$ must be fitted to the data

## Both the contributions:

$$
F_{2}=\frac{\gamma}{\rho} G+\bar{G}
$$

with

$$
\bar{G}=k \exp \left[-16 \frac{n_{f}}{27 \beta_{0}} \log \left(t / t_{0}\right)\right]
$$

$k$ must be fitted to the data. From a global fit we obtain $k=0.16$.

## The small eigenvector and geometric scaling

The new term $\bar{G}$ violates G.S., hence we expect that the scaling behaviour of the full solution deteriorates slightly. Indeed, this is just the case:


$$
\begin{gathered}
Q^{2}>25 \mathrm{GeV}^{2} \\
\left(\text { so } n_{f}=5\right)
\end{gathered}
$$

## The effects of the small eigenvector

$\bar{G}$ deteriorates slightly geometric scaling, but we are forced to consider it if we want to explain data!

Considering all data with $Q^{2}>10 \mathrm{GeV}^{2}$

- $\lambda_{\text {fix }}=0.32 \pm 0.05$
- $\lambda_{\text {run }}=1.66 \pm 0.34$


## These are our final predictions for $\lambda$

## Phenomenology I: The neural network approach

## The neural neural network parametrization of $F_{2}$ [NNPDF Collaboration, JHEP 0503(2005) 080]

- More flexible analysis
- Reliable results as long as we stay in the "populated" region



## Phenomenology II: Our sample



## Geometric scaling in the original kinematic window

- $x<0.01, Q^{2}<450 \mathrm{GeV}^{2}$
- $\lambda=\lambda_{\text {fix }}=0.32 \quad \lambda_{\text {exp }}=0.32 \pm 0.06$



## Geometric scaling in an extended window

## Is this scaling a DGLAP like scaling?

If so, it should be valid in a wider kinematic region, say $x<0.1$


## Our final results:

Fixed-coupling scaling
$\lambda=\lambda_{f i x}=0.32, x<0.1, Q^{2}>1 \mathrm{GeV}^{2}$ for the theoretical curve


## The same with running-coupling scaling

$$
\lambda=\lambda_{\text {run }}=1.66 \quad \lambda_{\text {exp }}=1.62 \pm 0.25
$$



# The DGLAP evolution can explain GS in a wide kinematic window! 

## What about the small $x$ region?



## At small $x$

Perturbative resummations!

By far more involved
At HERA: small $x \rightarrow$ small $Q^{2}$, hence higher order and higher twist effects.

## Resummations and geometric scaling

## Resummation of a quadratic BFKL kernel at running coupling

- First approximation: the a.d. has a simple pole located at $N_{0} \sim 0.1-0.3$ leading to a fixed coupling GS with $\lambda=N_{0}$
- If we consider the leading $Q^{2}$ dependence of the pole: approximate running coupling GS with $\lambda \sim 1.2-1.7$ (Airy resummation)

Still compatible with the phenomenological observation!
This way a DGLAP-based GS could extend down to $Q^{2} \approx 5 \mathrm{GeV}^{2}$

## Conclusions and outlook

## So...

- In a wide kinematic region, say $Q^{2}>10 \mathrm{GeV}^{2}$ the geometric scaling seen at HERA seems indeed a DGLAP-based scaling
- $5 \mathrm{GeV}^{2} \lesssim Q^{2} \lesssim 10 \mathrm{GeV}^{2}$ : perturbative resummations may provide an explanation for GS (Handle with care!)
- For yet lower $Q^{2}$ G.S. may provide genuine evidence for parton saturation

How can we improve these results?

- Focus on the small $Q^{2}$ region
- Subasymptotic corrections in order to disentangle DGLAP and saturation-based scaling


## Extras

## A note on the running coupling derivation

Consider again the DGLAP solution in the dual form

$$
G(\xi, t) \approx \int \frac{d M}{2 \pi i} \exp \left(M t+\sqrt{\xi \frac{-2 \int_{M_{0}}^{M} \chi\left(\alpha_{s}, M^{\prime}\right) d M^{\prime}}{\beta_{0} \alpha_{s}}}\right)
$$

- The running coupling solution in the dual form is valid only if the kernel $\chi$ is linear in $\alpha_{s}$
- OK in the collinear approximation
- OK if $\chi$ is a generic LO BFKL kernel
- Not OK with a generic LO DGLAP kernel! Less general than the fixed coupling case


## The toy model

Consider a LO DGLAP evolution with anomalous dimension $\gamma$ given by

$$
\gamma\left(\alpha_{s}, N\right)=\alpha_{s} \frac{N_{c}}{\pi}\left(\frac{1}{N}-1\right)
$$

- Simple pole at $N=0 \rightarrow$ OK for not so small $x$ (see e.g. Guido Altarelli's talk)
- $\gamma\left(\alpha_{s}, 1\right)=0 \rightarrow$ OK with momentum conservation
- No saturation at all
- Can be solved analytically


## Not so bad for a toy model!



Quite accurate in a wide kinematic region (say $x \lesssim 0.1, Q^{2} \gtrsim 10 \mathrm{GeV}^{2}$ )

## The toy model and resummations


[Altarelli, Ball, Forte, NPB 742:1-40,2006.]

$$
\text { OK down to } x \sim 10^{-4}
$$

## LO DGLAP evolution: a comparison with data

## Only one eigenvector

QCD prediction: $F_{2} \approx f(t, \log (1 / x)) \exp [2 \gamma \sqrt{\log t \log (1 / x)}]$ Define $F_{2}^{\text {res }} \equiv \log \left(F_{2} / f\right)$ and plot the experimental $F_{2}^{\text {res }}$


$$
\begin{aligned}
& \gamma_{f i t}=2.22 \pm 0.004 \\
& \gamma_{t h}=2.4\left(n_{f}=4\right)
\end{aligned}
$$

## Both eigenvectors

$$
\text { This time } F_{2}^{\text {res }} \equiv \log \left[\left(F_{2}-\bar{G}\right) / f\right]
$$



$$
\begin{aligned}
& \gamma_{f i t}=2.42 \pm 0.004 \\
& \gamma_{t h}=2.4\left(n_{f}=4\right)
\end{aligned}
$$

## Good agreement theory/phenomenology

Up to our level of accuracy, the (improved) toy model is in good agreement with data

## The quality factor: Comparison with data



## GS and resummations: the Airy case

Consider a quadratic BFKL kernel

$$
\chi\left(\alpha_{s}, M\right)=\alpha_{s}\left[c+k / 2\left(M-M_{0}\right)^{2}\right]
$$

then the r.c. resummed anomalous dimension reads

$$
\gamma_{A}=\frac{3 \beta_{0} N_{0}^{2} \alpha_{s}(t)}{4 \pi \beta_{0}+8 \pi c \alpha_{s}(t)} \frac{1}{N-N_{0}}+O\left[\left(N-N_{0}\right)^{0}\right]
$$

Leading behaviour of the solution

$$
\mathcal{M}^{-1}\left[\exp \left(A /\left(N-N_{0}\right)\right] \approx \exp \left[N_{0} \xi+2 \sqrt{A \xi}\right]\right.
$$

Approximate GS (modulo logarithmic deviations)

$$
\sigma \approx \exp \left(-t+N_{0} \xi\right)
$$

## Taking into accout the (leading) $Q^{2}$ dependence of $N_{0}$

$$
N_{0}: \quad\left(\frac{2 \beta_{0} N_{0}}{4 \pi k}\right)^{1 / 3} \frac{4 \pi}{\beta_{0}}\left[\frac{1}{\alpha_{s}(t)}-\frac{c}{N_{0}}\right]=z_{0}
$$

with $z_{0}=-2.338$ the first zero of the Airy Function. At large $t$ :

$$
N_{0}(t)=c \alpha_{s}(t)\left[1+z_{0}\left(\frac{\beta_{0}^{2}}{32 \pi^{2}} \frac{k}{c}\right)^{1 / 3} \alpha_{s}(t)^{2 / 3}+\ldots\right]
$$

Search for the "geometric line" $N_{0}\left(t_{s}\right) \xi-t_{s}=0$ :

$$
t_{s}(\xi)=\sqrt{4 \pi c / \beta_{0}} \sqrt{\xi}+O\left(\xi^{1 / 6}\right)
$$

R.c. geometric scaling with $\lambda=\sqrt{4 \pi c / \beta_{0}}$

