

Soft QCD: Theory

Peter Skands (CERN Theoretical Physics Dept)



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Questions

Pileup

How much? In central & fwd acceptance?

Structure: averages + fluctuations, particle composition, lumpiness, ...

Scaling to 13 TeV and beyond

Underlying Event ~ "A handful of pileup" ?

Hadronizes with Main Event → "Color reconnections"

Additional "minijets" from multiple parton interactions

Hadronization

Models from the 80ies, mainly constrained in 90ies

Meanwhile, perturbative models have evolved

Dipole/Antenna showers, ME matching, NLO corrections, ...

Precision → re-examine non-perturbative models and constraints

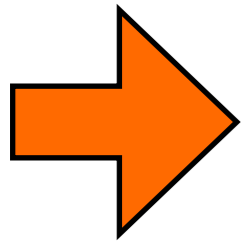
New clean constraints from LHC (& future colliders)?

Hadronization models \rightleftharpoons analytical NP corrections?

Uses and Limits of "Tuning"

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Hadronization models \leftrightarrow analytical NP corrections?

Uses and Limits of "Tuning"

From Hard to Soft

Factorization and IR safety

Main tools for jet calculations

Corrections suppressed by powers of $\Lambda_{\text{QCD}}/Q_{\text{Hard}}$

Soft QCD / Pileup

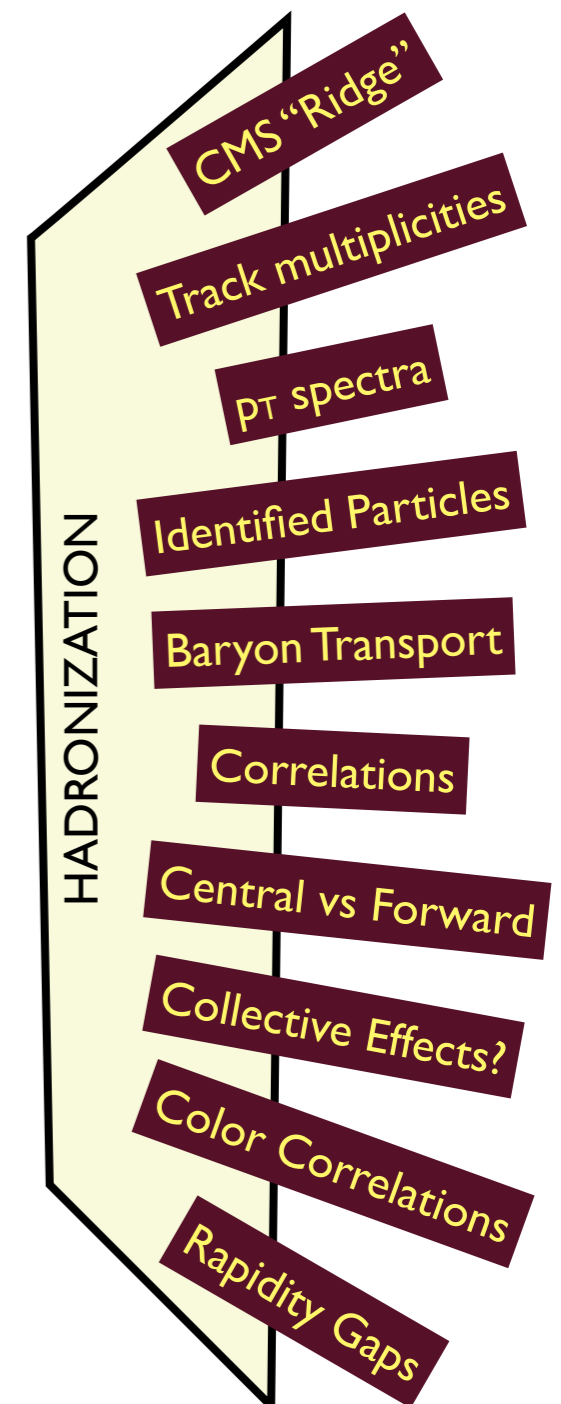
NO HARD SCALE

Typical Q scales $\sim \Lambda_{\text{QCD}}$
Extremely sensitive to IR effects
→ Excellent LAB for studying IR effects

$\sim \infty$ statistics for min-bias

→ Access tails, limits

Universality: Recycling PU ↔ MB ↔ UE

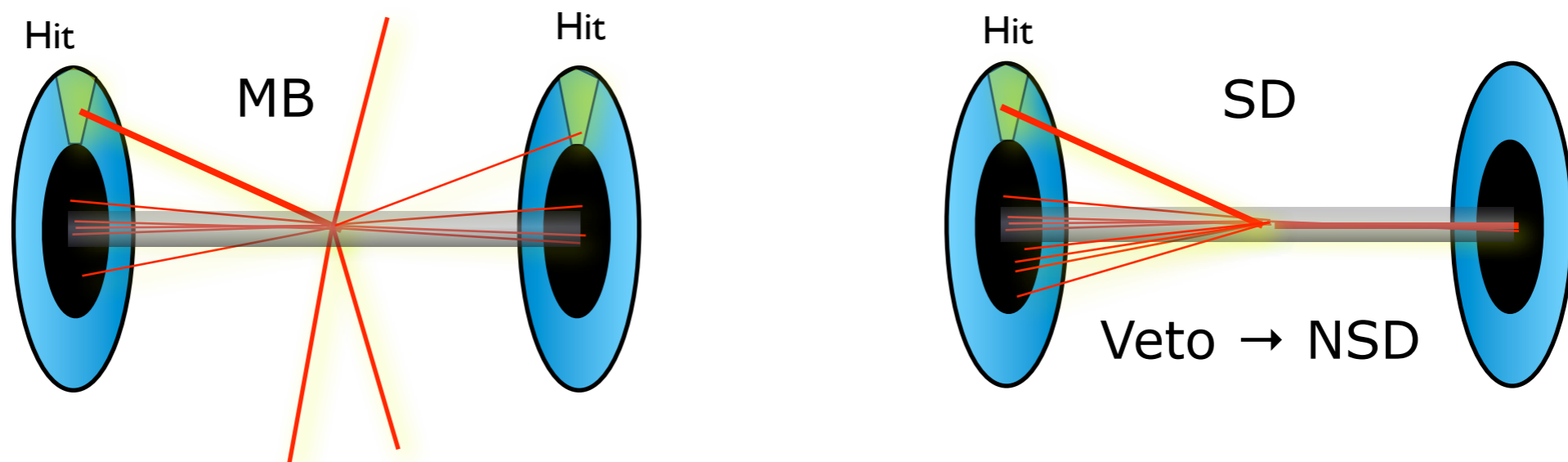


What is Pileup / Min-Bias?

We use Minimum-Bias (MB) data to test soft-QCD models

Pileup = "Zero-bias"

"Minimum-Bias" typically suppresses diffraction by requiring two-armed coincidence, and/or $\geq n$ particle(s) in central region

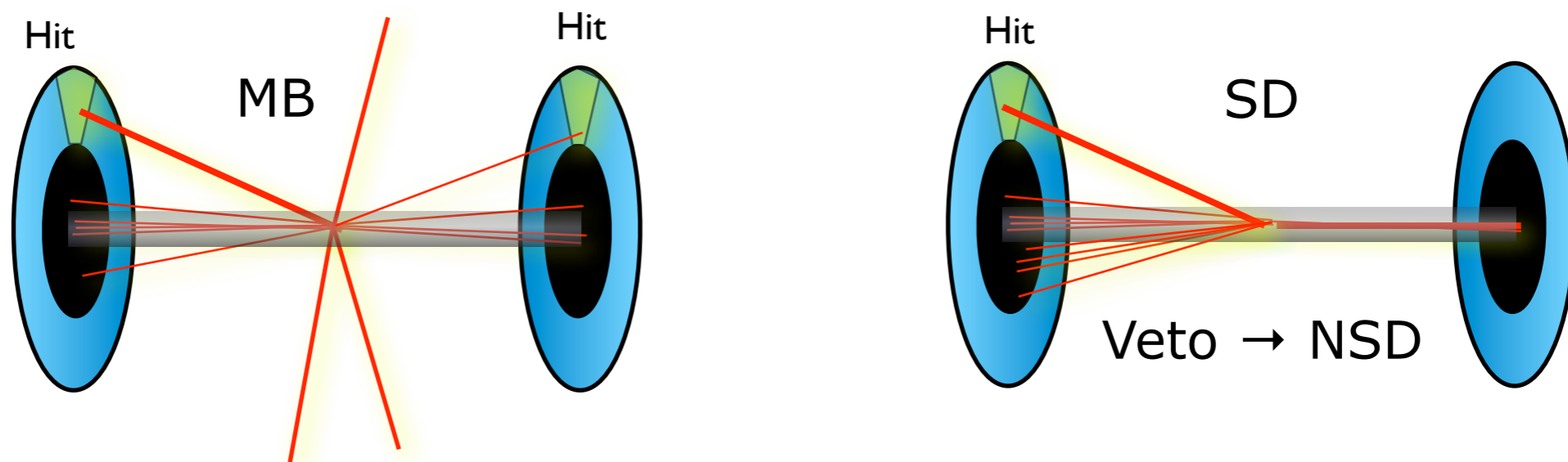


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→ Pileup contains more diffraction than Min-Bias

Total diffractive cross section $\sim 1/3 \sigma_{\text{inel}}$

Most diffraction is low-mass → no contribution in central regions

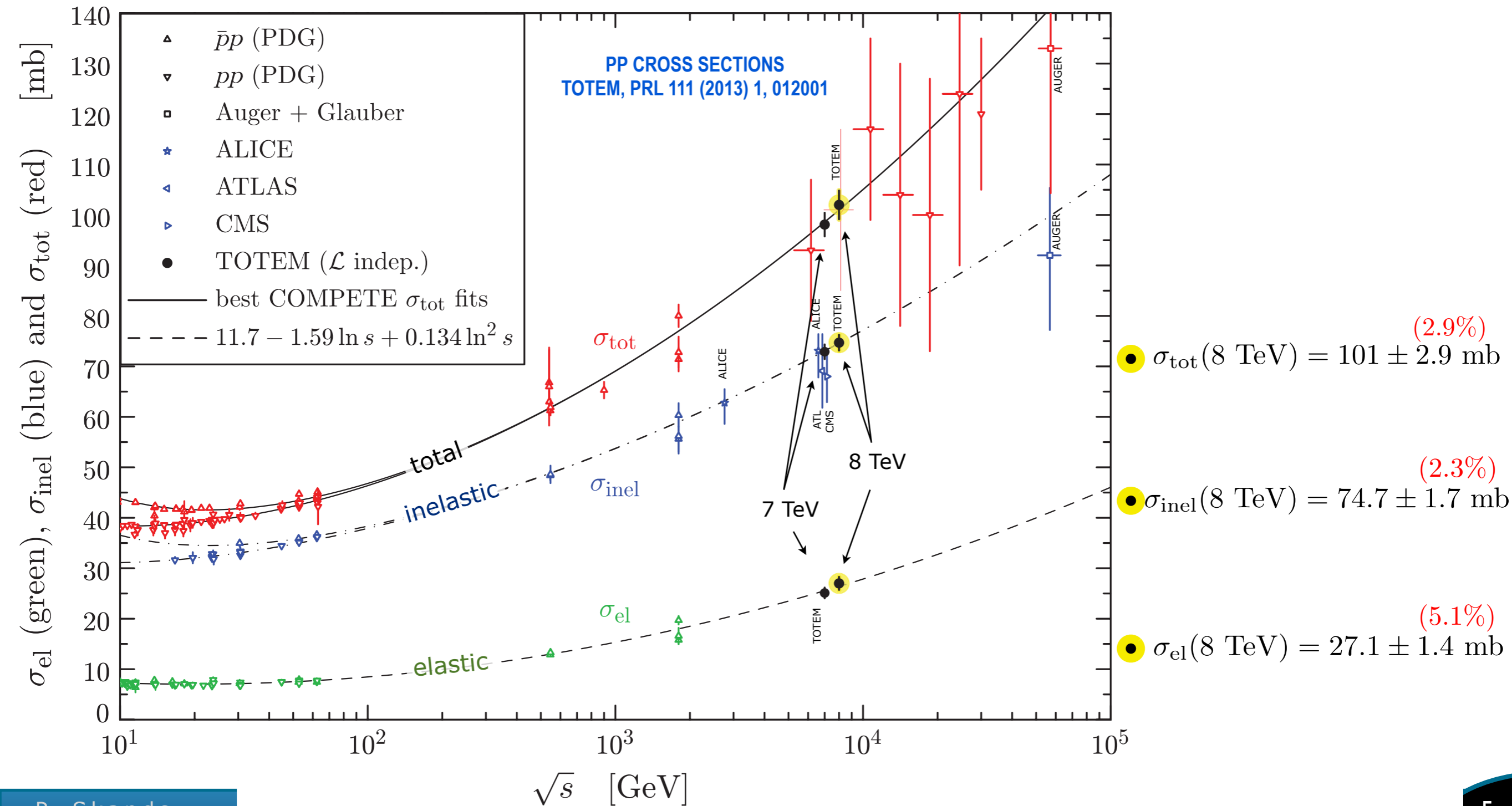
High-mass tails could be relevant in FWD region

→ direct constraints on diffractive components (→ later)

The Total Cross Section

Pileup rate $\propto \sigma_{\text{tot}}(s) = \sigma_{\text{el}}(s) + \sigma_{\text{inel}}(s) \propto s^{0.08}$ or $\ln^2(s)$?

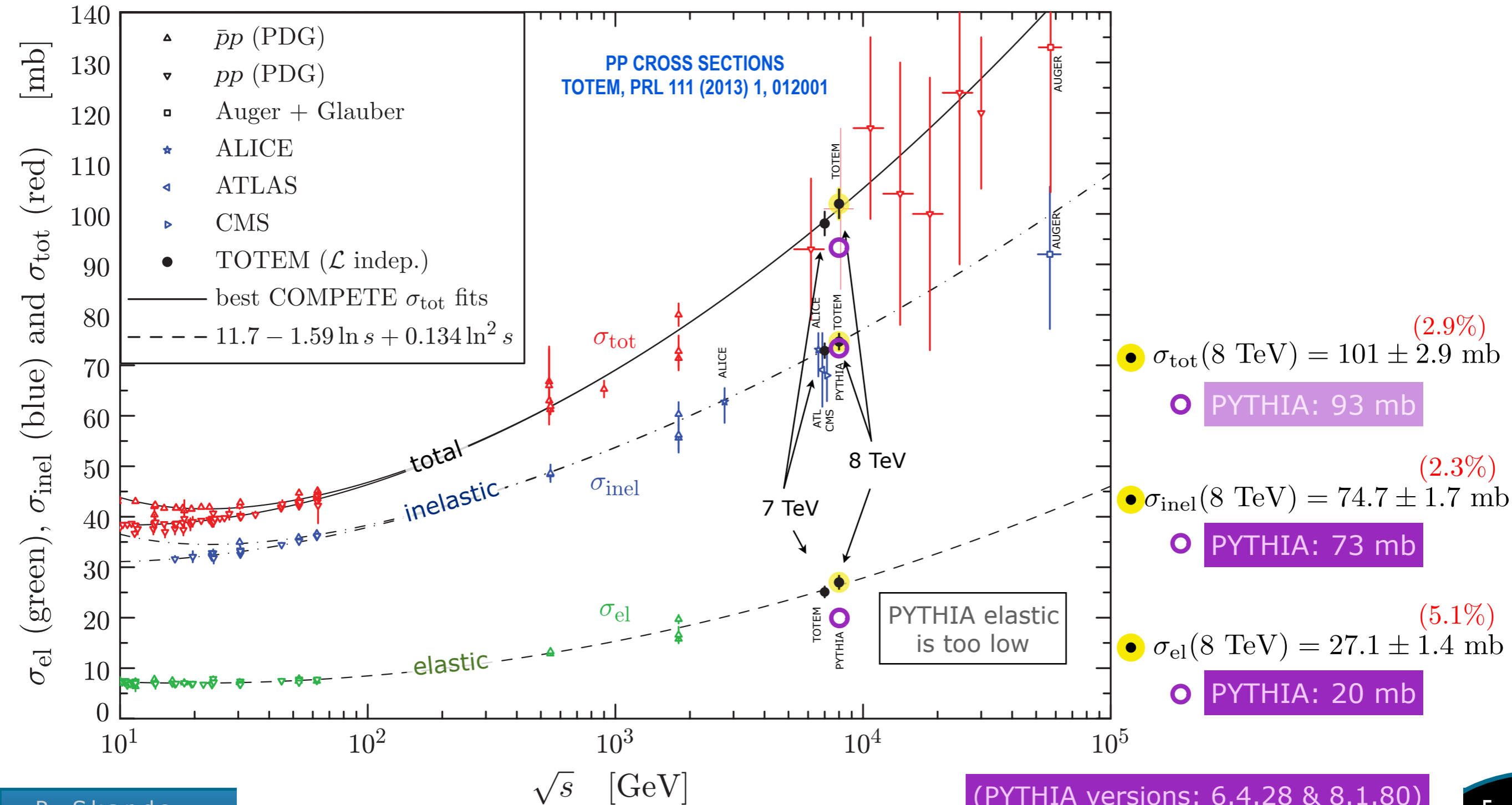
Donnachie-Landshoff Froissart-Martin Bound



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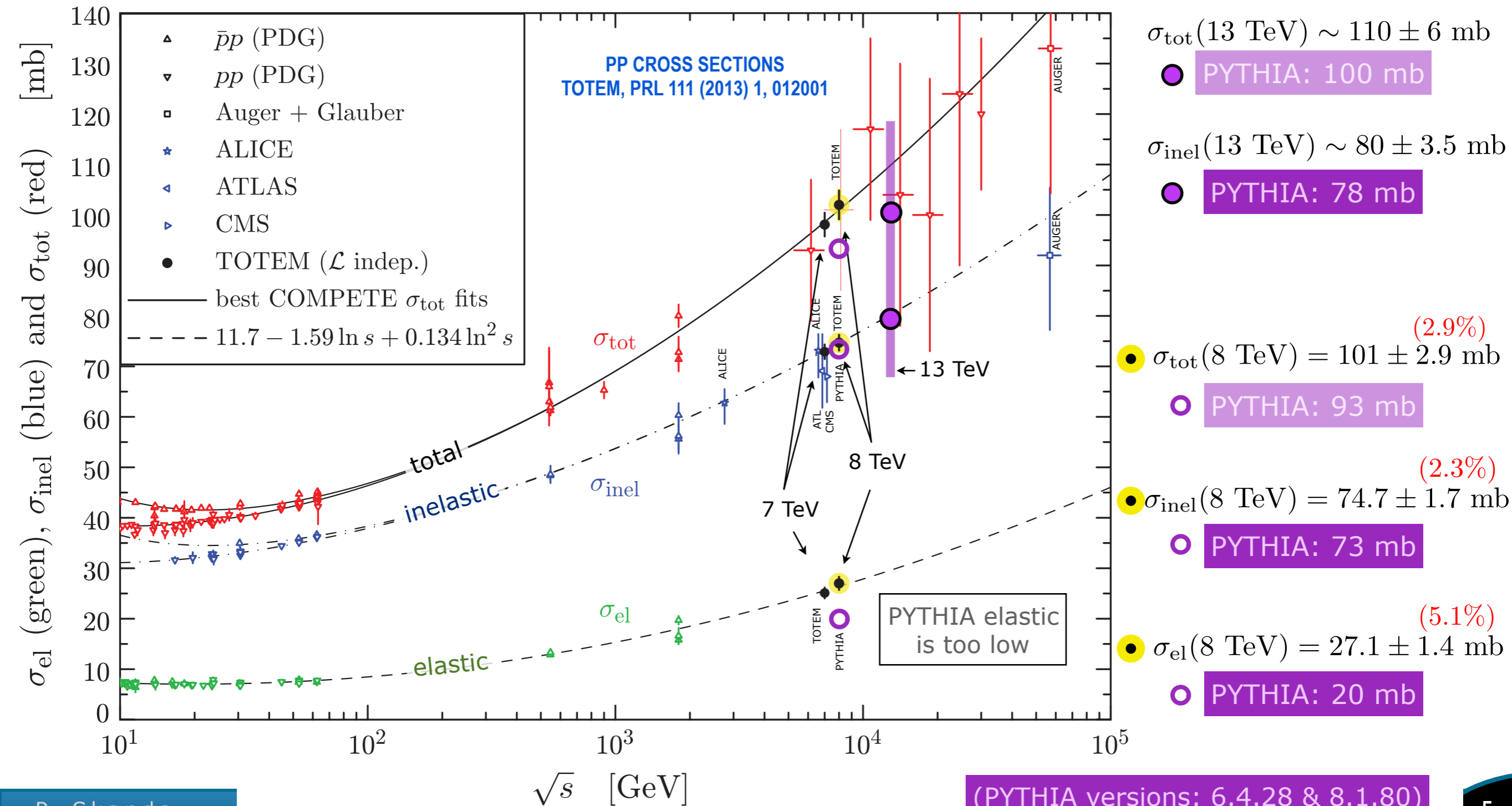
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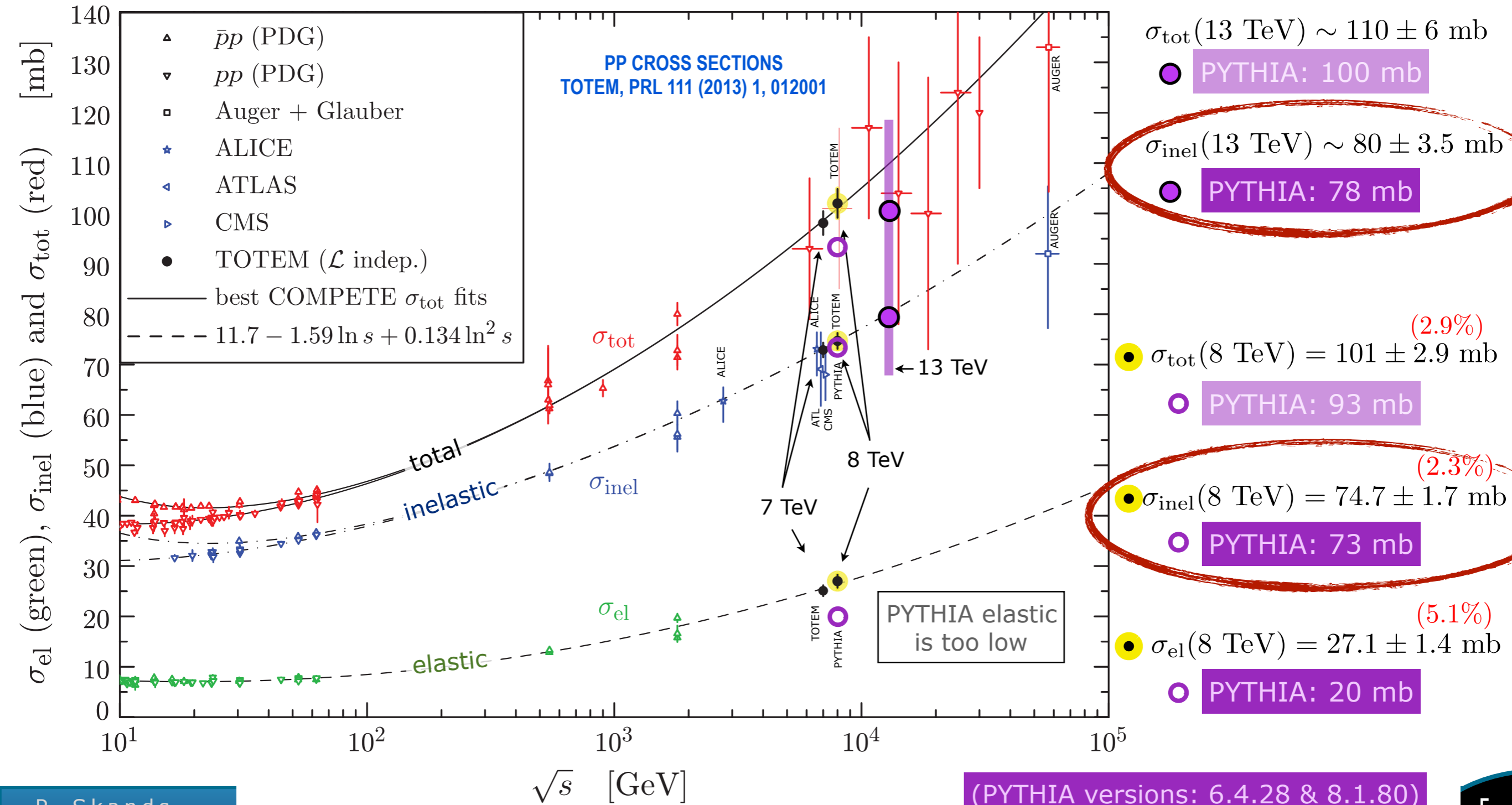
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The Inelastic Cross Section

First try: decompose $\sigma_{\text{inel}} = \sigma_{\text{sd}} + \sigma_{\text{dd}} + \sigma_{\text{cd}} + \sigma_{\text{nd}}$

+ Parametrizations of diffractive components: dM^2/M^2

PYTHIA:

$$\frac{d\sigma_{\text{sd}(AX)}(s)}{dt dM^2} = \frac{g_{3\text{IP}}}{16\pi} \beta_{\text{AIP}}^2 \beta_{\text{BIP}} \frac{1}{M^2} \exp(B_{\text{sd}(AX)}t) F_{\text{sd}} ,$$
$$\frac{d\sigma_{\text{dd}}(s)}{dt dM_1^2 dM_2^2} = \frac{g_{3\text{IP}}^2}{16\pi} \beta_{\text{AIP}} \beta_{\text{BIP}} \frac{1}{M_1^2} \frac{1}{M_2^2} \exp(B_{\text{dd}}t) F_{\text{dd}} .$$

+ Integrate and solve for σ_{nd}

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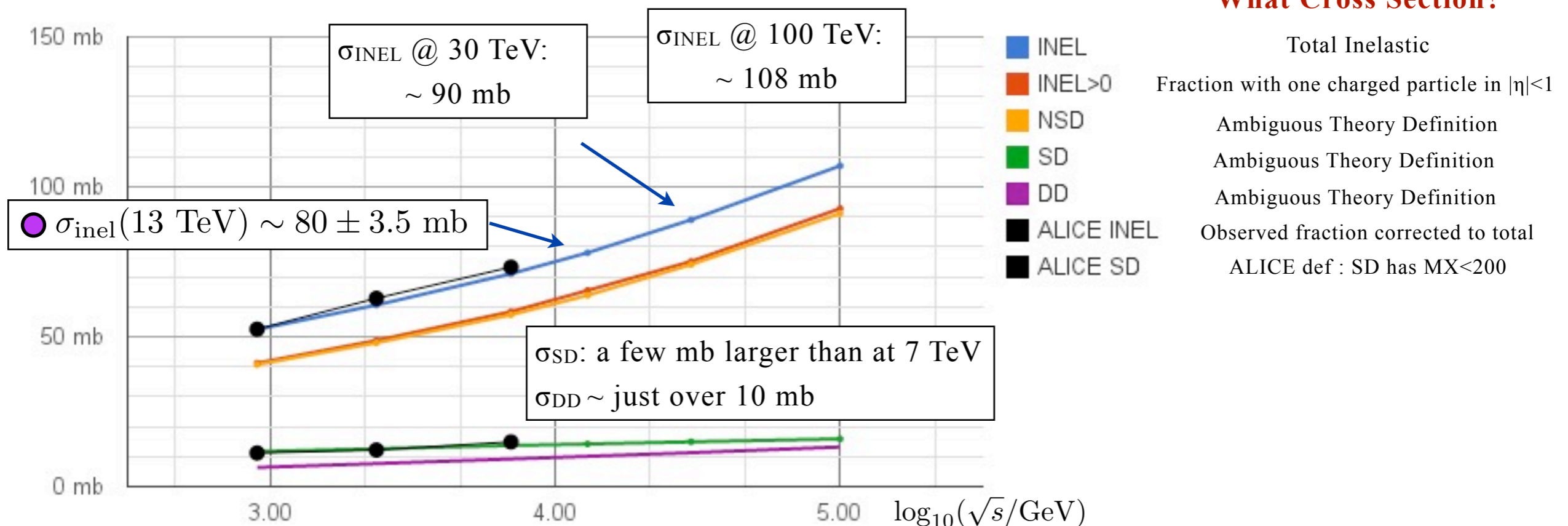
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What Cross Section?



The Inelastic Cross Section

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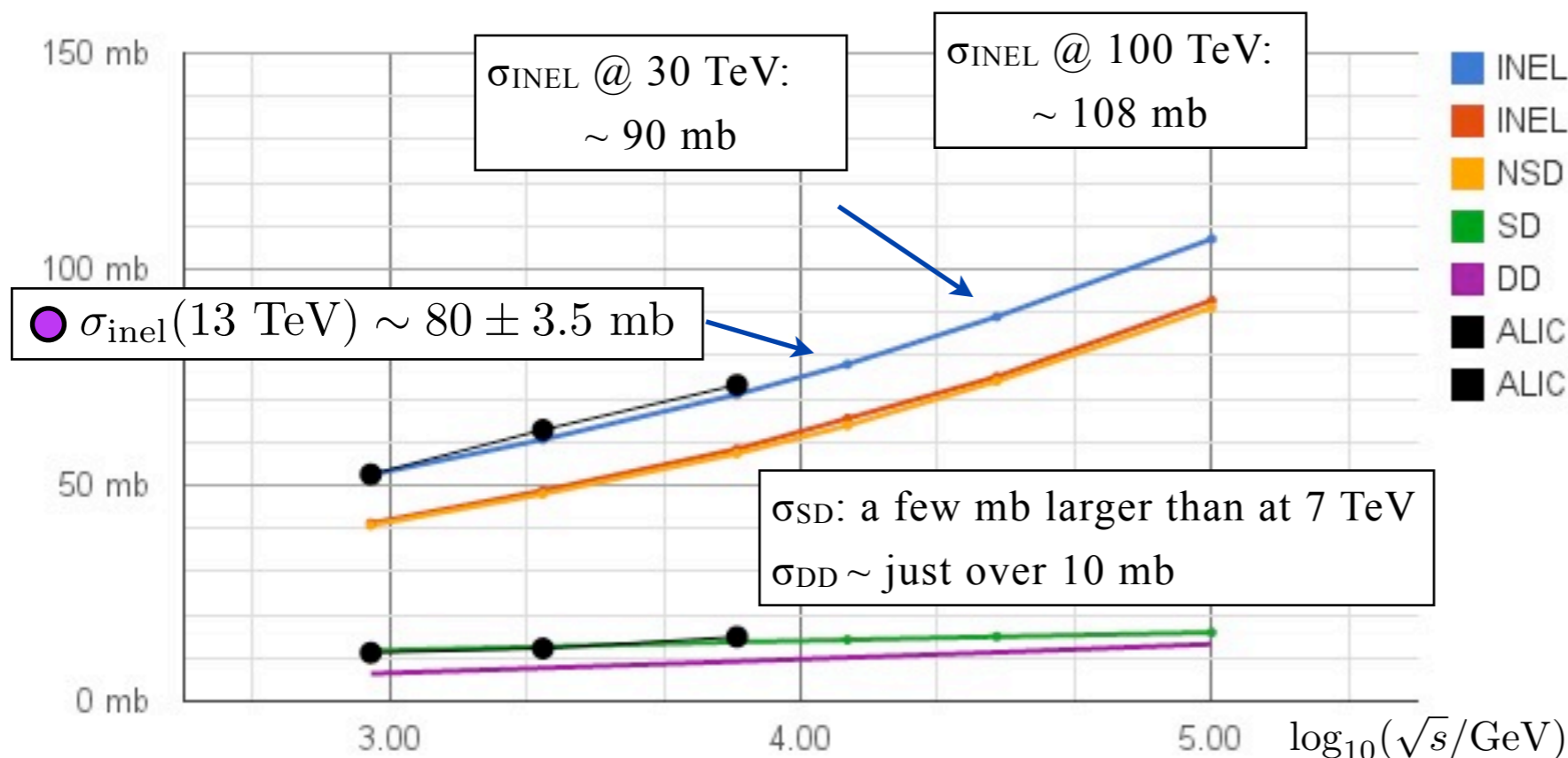
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What Cross Section?



- Total Inelastic
- Fraction with one charged particle in $|\eta| < 1$
- Ambiguous Theory Definition
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- Observed fraction corrected to total
- ALICE def : SD has $MX < 200$

Note problem of principle: Q.M. requires distinguishable final states

Models of Soft QCD - Disclaimer

May not always reflect “best” TH understanding

Not just a matter of cranking perturbative orders
Harder due to requirement of fully differential
dynamical modeling (event generators), not just
cross section formulae

May not always reflect “best” EXP constraints

Not just a matter of “tuning”
(+ *tunnel vision*: exp comparisons for searches or EW
measurements rarely formulated as QCD constraints)

Modeling: identify “new” physics + build and
constrain models (beyond perturbative leading-twist)

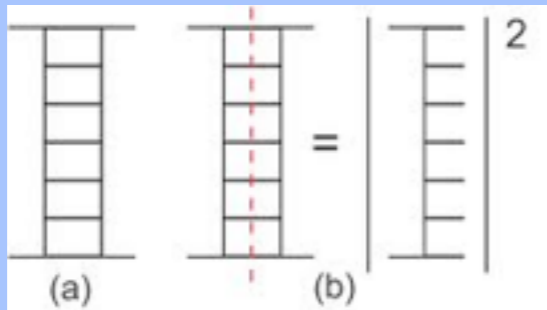
Few people working on soft QCD models → long
cycles

Dynamical Models of Soft QCD

See e.g. Reviews by MCnet [arXiv:1101.2599] and KMR [arXiv:1102.2844]

A

Regge Theory



Optical Theorem

+ Eikonal multi-Pomeron exchanges

$$\sigma_{\text{tot,inel}} \propto \log^2(s)$$

Froissart-Martin Bound

Cut Pomerons \rightarrow Flux Tubes (strings)

Uncut Pomerons \rightarrow Elastic (& eikonalization)

Cuts unify treatment of all soft processes

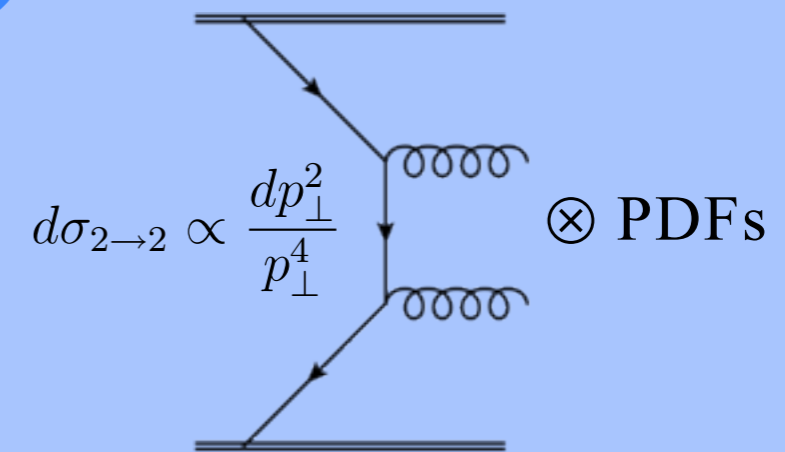
EL, SD, DD, ... , ND

(Perturbative contributions added above Q_0)

E.g., QGSJET, SIBYLL

B

Parton Based



+ **Unitarity & Saturation**

\rightarrow Multi-parton interactions (MPI)

+ Parton Showers & Hadronization

Regulate $d\sigma$ at low $p_{T0} \sim$ few GeV

Screening/Saturation \rightarrow energy-dependent p_{T0}

Total cross sections from Regge Theory
(e.g., Donnachie-Landshoff + Parametrizations)

E.g., PYTHIA,
HERWIG, SHERPA

+ "Mixed"

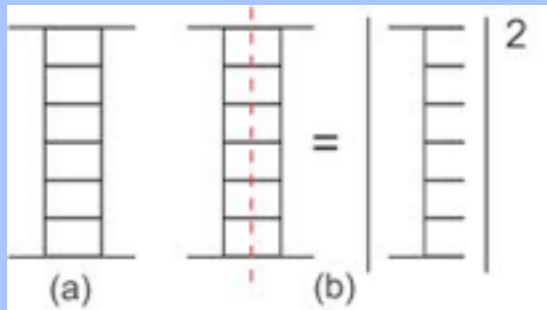
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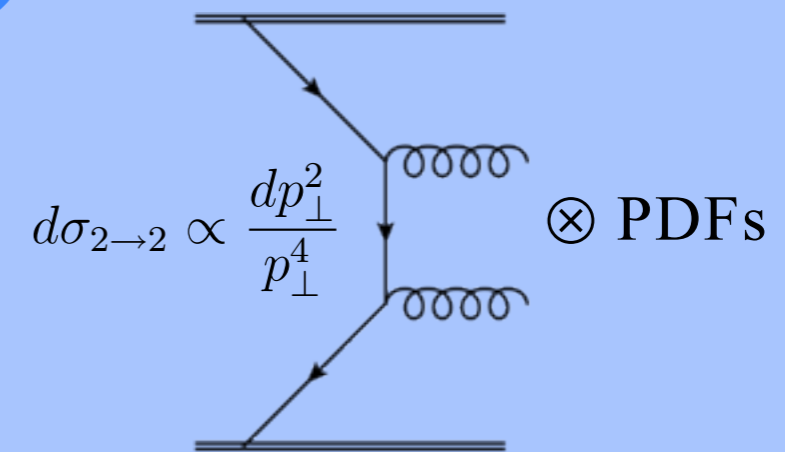
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Parton-Based Models

Main applications:

Central Jets/EWK/top/
Higgs/New Physics

$$d\sigma_{2\rightarrow 2} \propto \frac{dp_{\perp}^2}{p_{\perp}^4} \otimes \text{PDFs}$$

High Q^2
and
finite x

See also Connecting hard to soft: KMR, EPJ C71 (2011) 1617 + PYTHIA “Perugia Tunes”: PS, PRD82 (2010) 074018 + [arXiv:1308.2813](https://arxiv.org/abs/1308.2813)

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Extrapolation to soft scales delicate.

Impressive successes with MPI-based models but still far from a solved problem

Form of PDFs at small x and Q^2  Saturation
Form and E_{cm} dependence of p_{T0} regulator
Modeling of the diffractive component
Proton transverse mass distribution
Colour Reconnections, Collective Effects

See talk on UE
by W. Waalewijn

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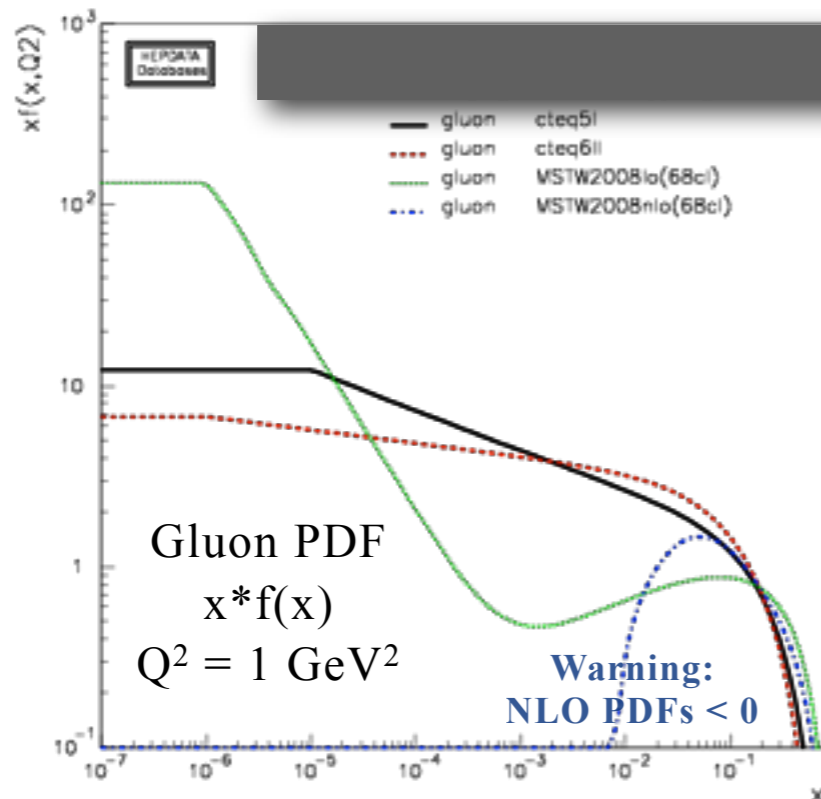
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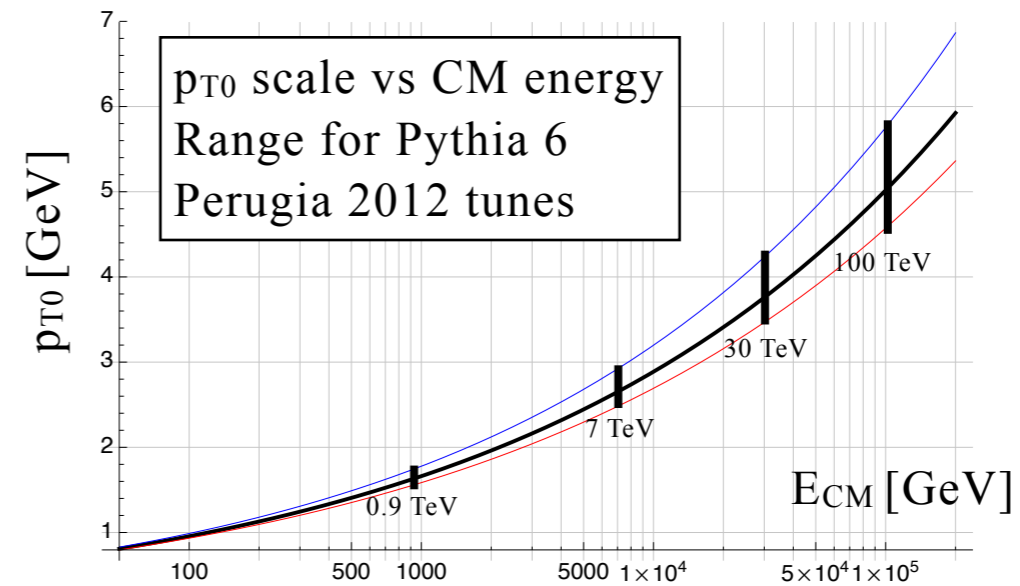
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Saturation

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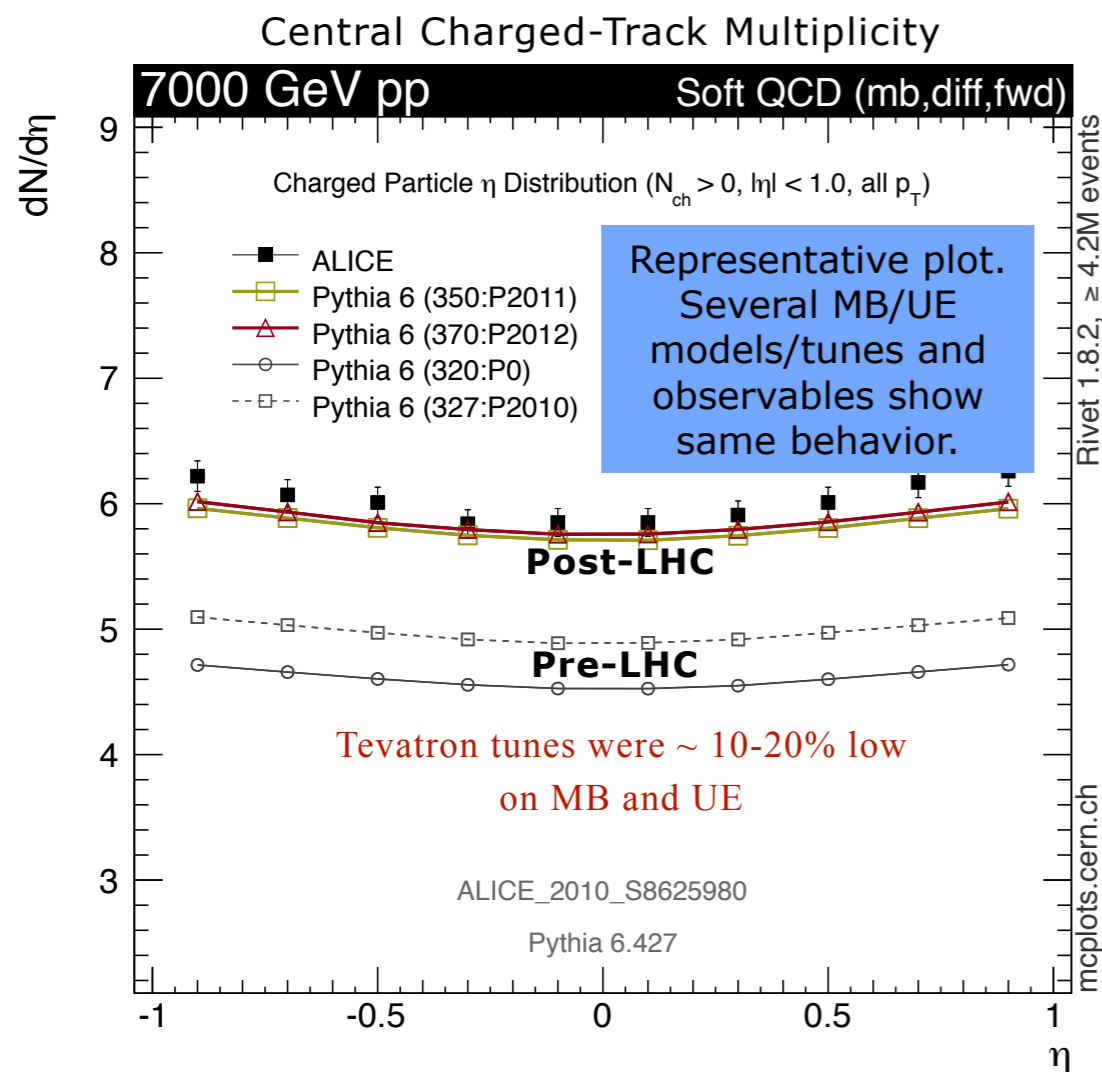
Poor Man's Saturation



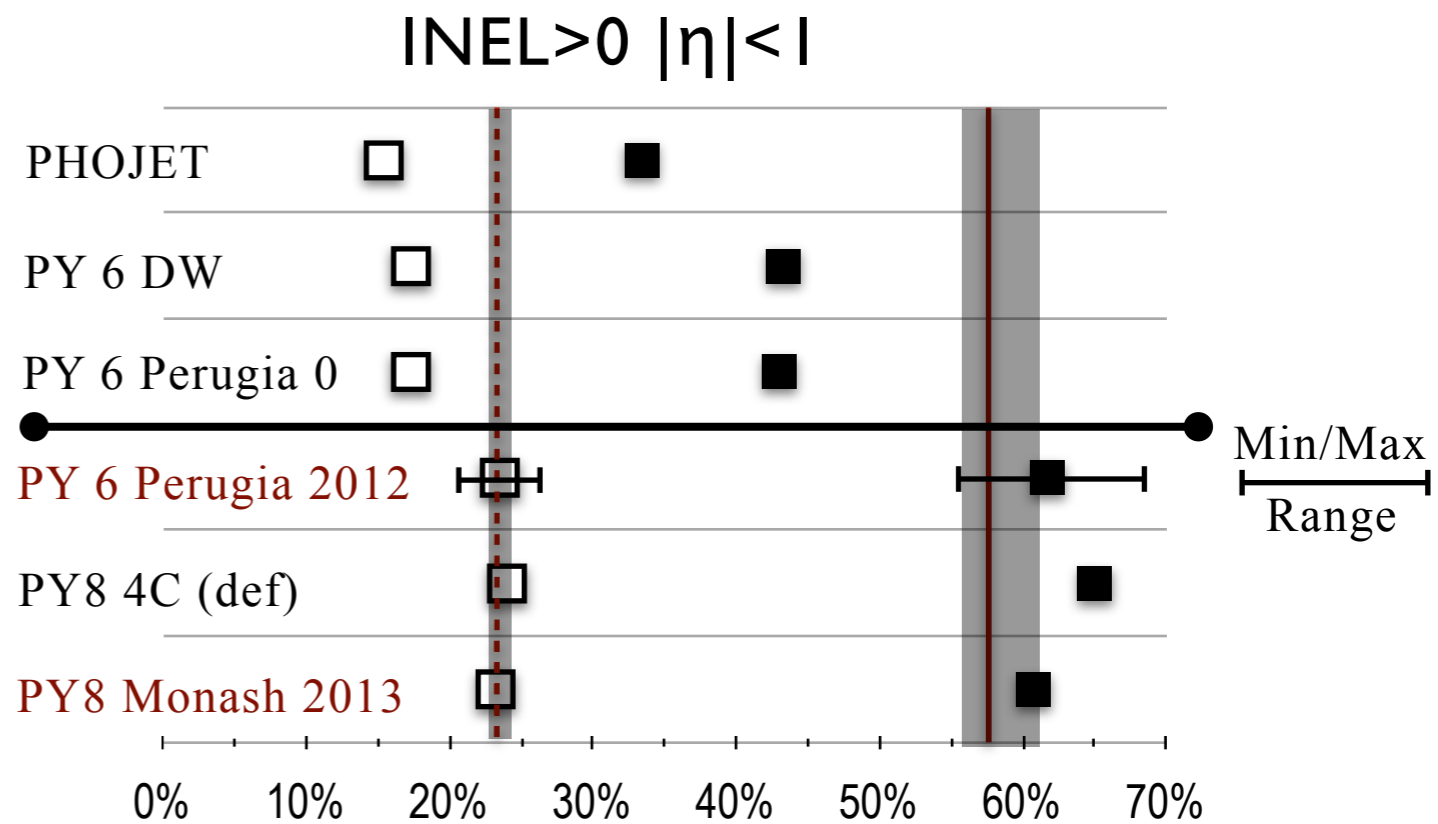
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Minimum-Bias: Averages

Discovery at LHC
 Min-Bias & UE are 10-20% larger than we thought
 Scale a bit faster with energy
 → Be sure to use up-to-date (LHC) tunes



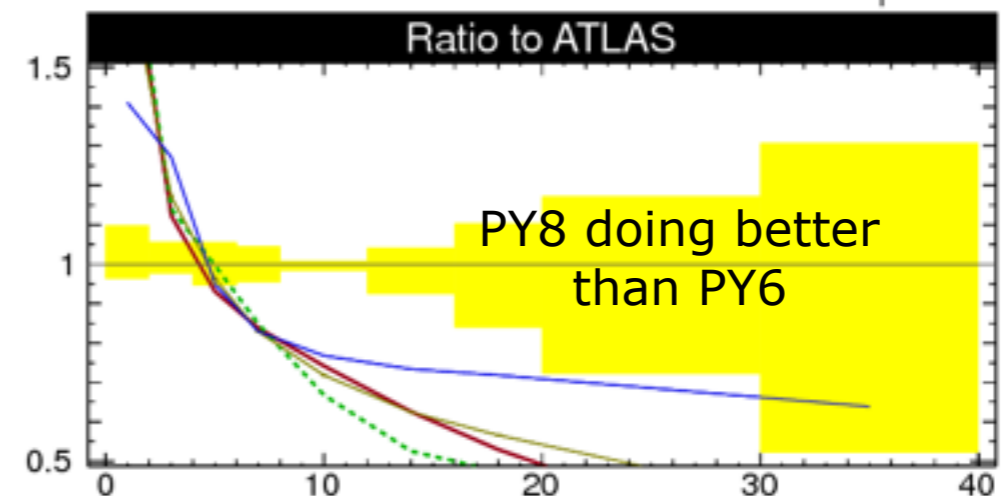
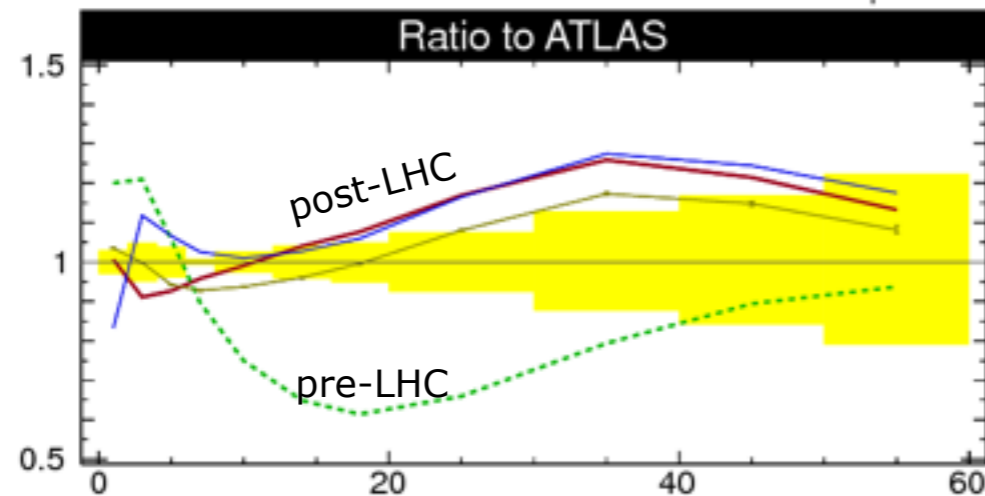
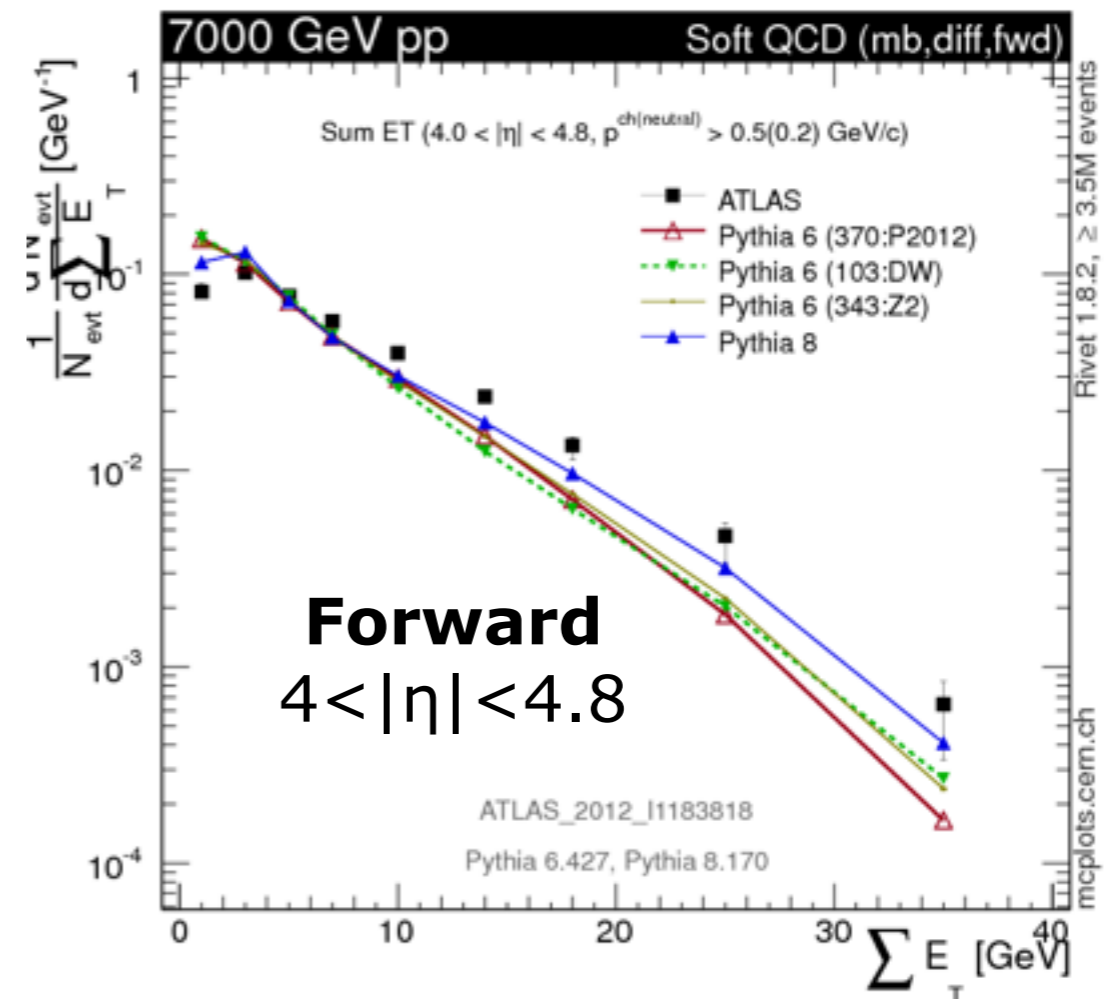
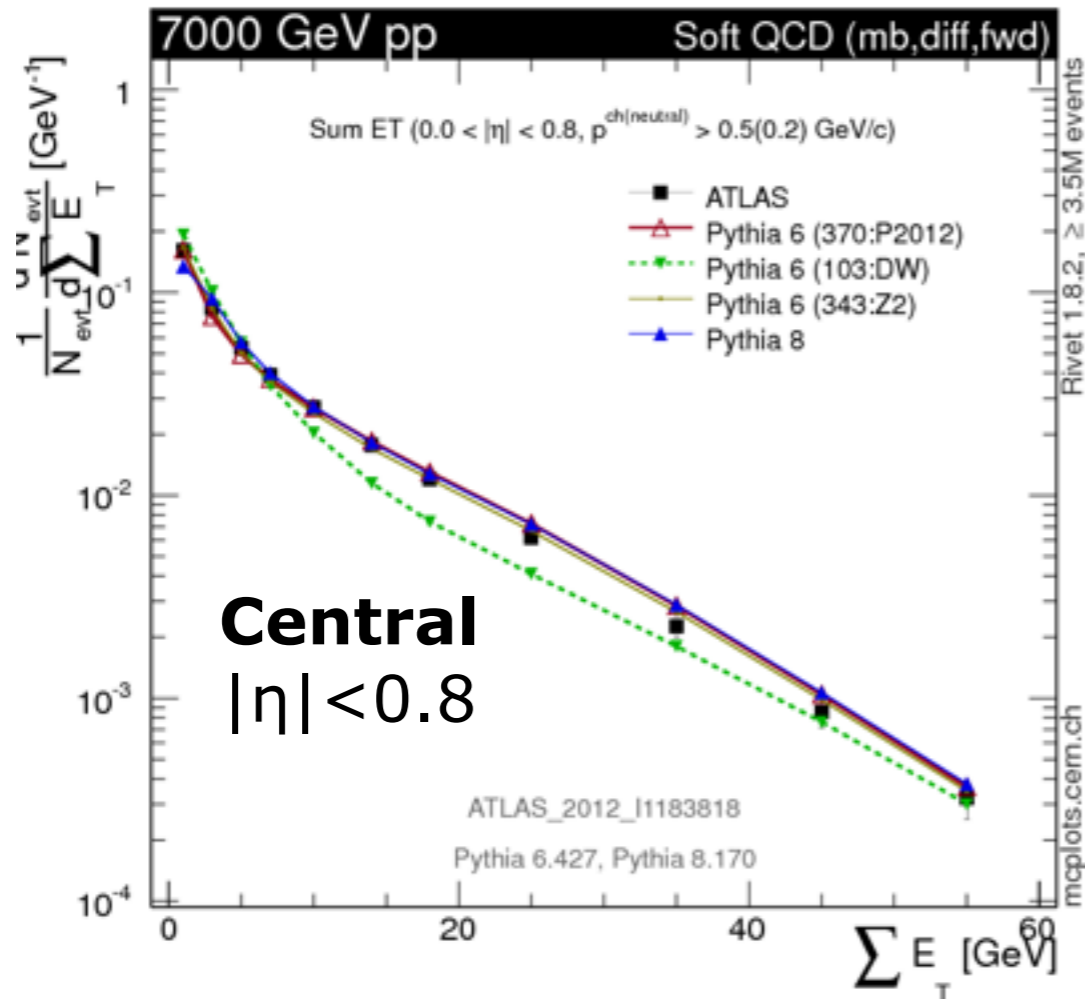
A SENSITIVE E-SCALING PROBE:
 Relative increase in the central charged-track multiplicity from 0.9 to 2.36 and 7 TeV



Data from ALICE EPJ C68 (2010) 345, Plot from [arXiv:1308.2813](https://arxiv.org/abs/1308.2813)

See also energy-scaling tuning study, Schulz & PS, EPJ C71 (2011) 1644

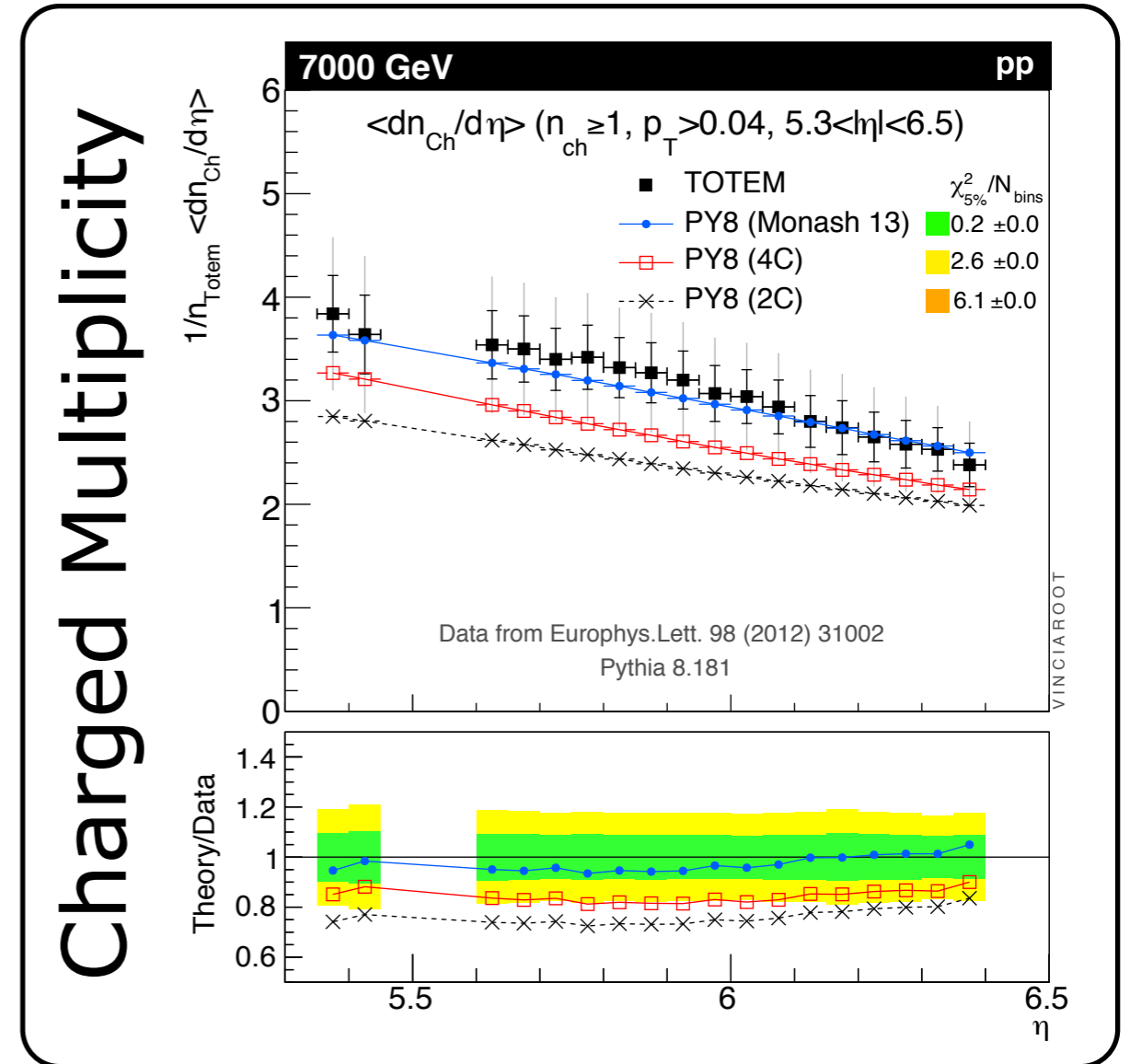
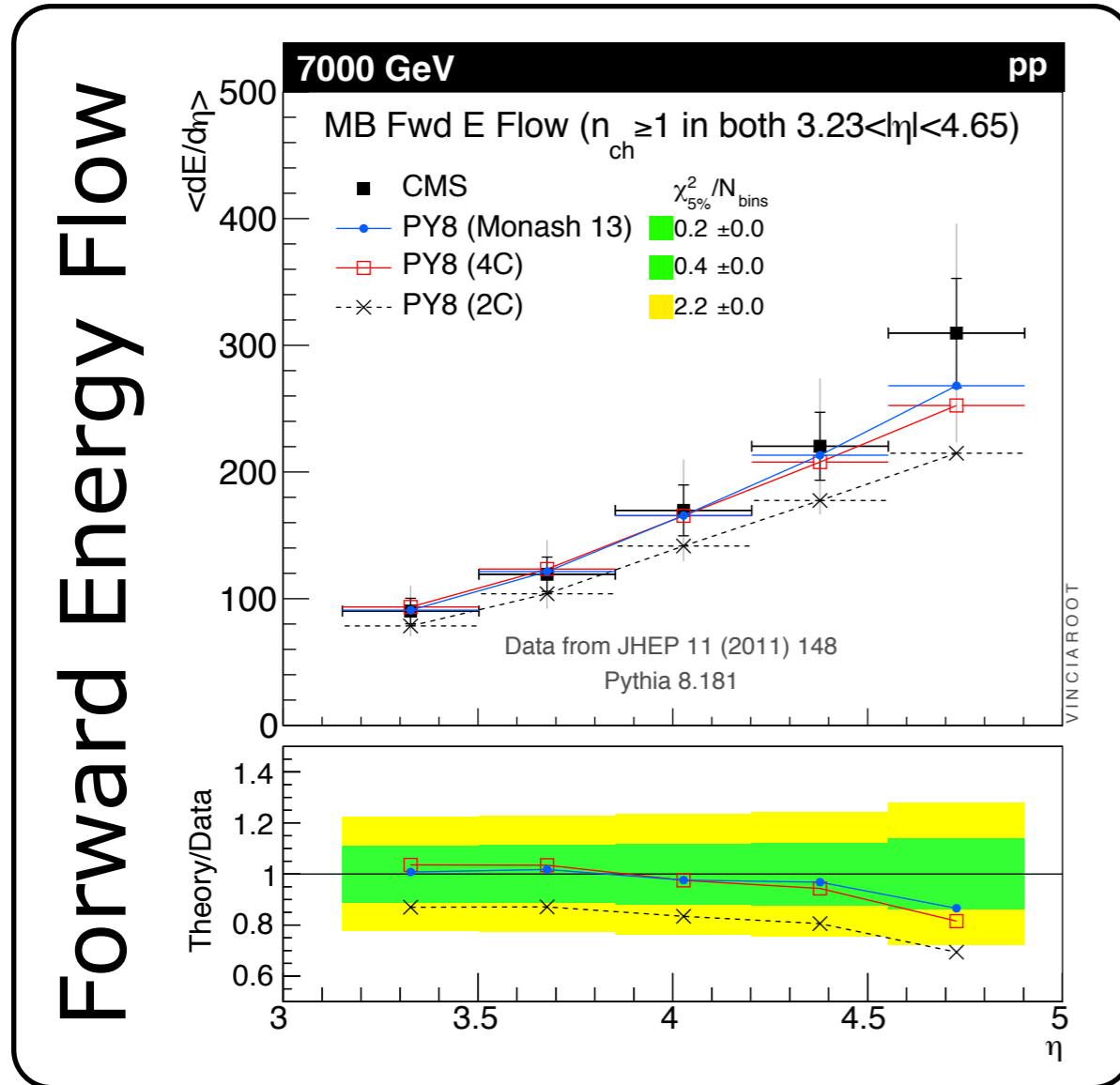
Sum(E_T)



Plots from mcplots.cern.ch

The Forward Region

More sensitive to low x & diffraction



2C: an older Tevatron tune

4C: the current LHC tune (Default in Pythia 8.1)

Monash 2013: a new LEP + LHC tune (Default from Pythia 8.2?)



Hadronization

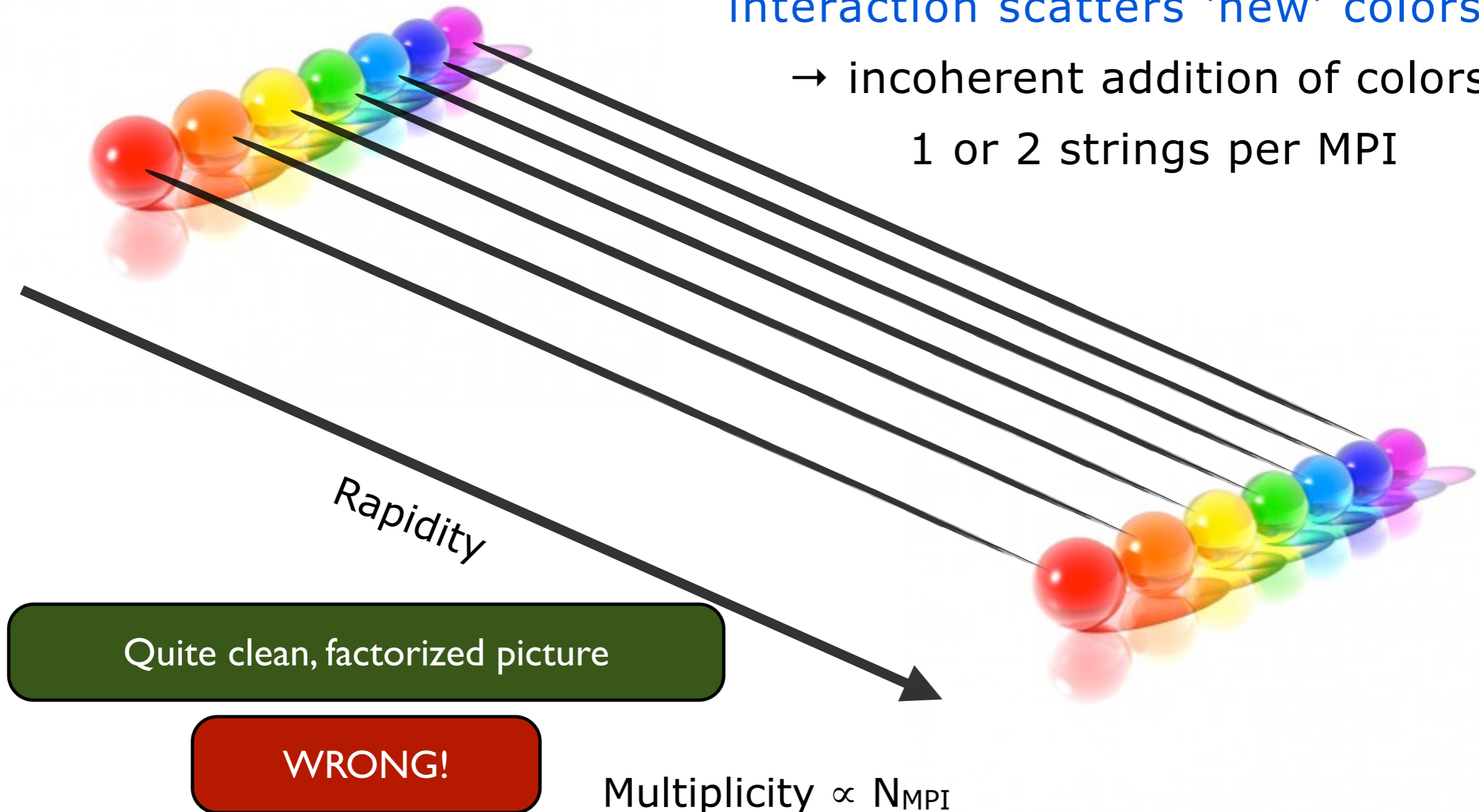
color flow, color reconnections, particle spectra



Color Connections

Leading N_c : each parton-parton interaction scatters 'new' colors

→ incoherent addition of colors
1 or 2 strings per MPI



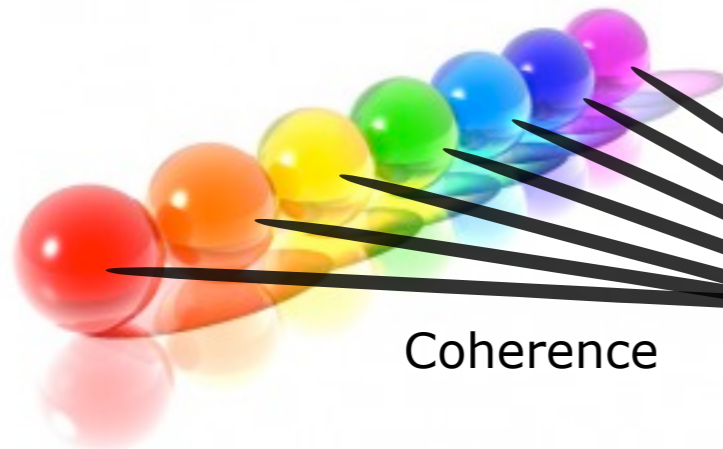
Color Reconnections?

E.g.,
Generalized Area Law (Rathsman: Phys. Lett. B452 (1999) 364)
Color Annealing (P.S., Wicke: Eur. Phys. J. C52 (2007) 133)

...

$N_c=3$: Colors add coherently

+ collective effects?



Better theory models needed

Study: coherence and/or finite- N_c effects

String formation at finite N_c

In context of multi-parton interactions

LEP constraints?

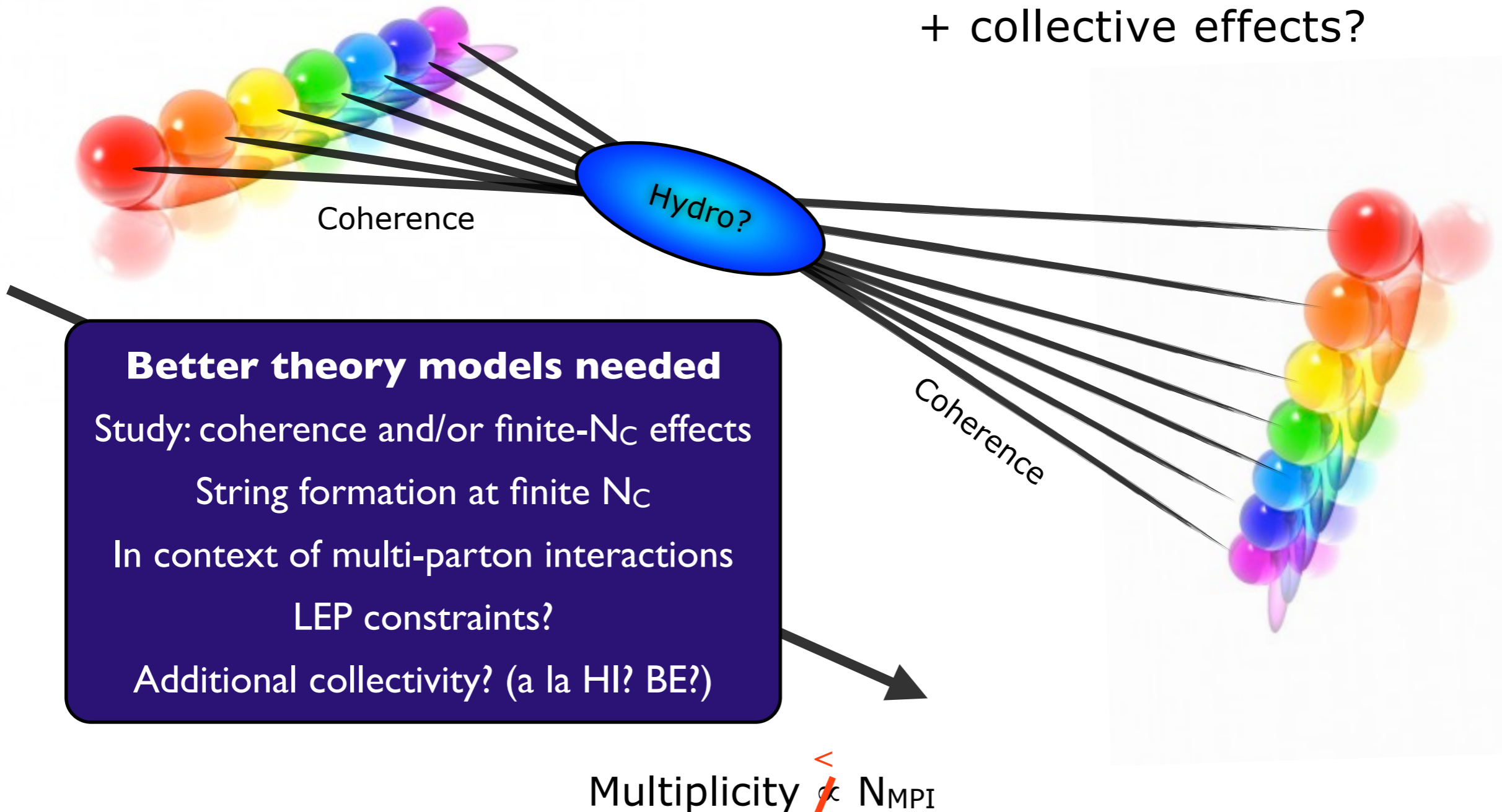
Additional collectivity? (a la HI? BE?)

Multiplicity $\propto N_{MPI}$

Color Reconnections?

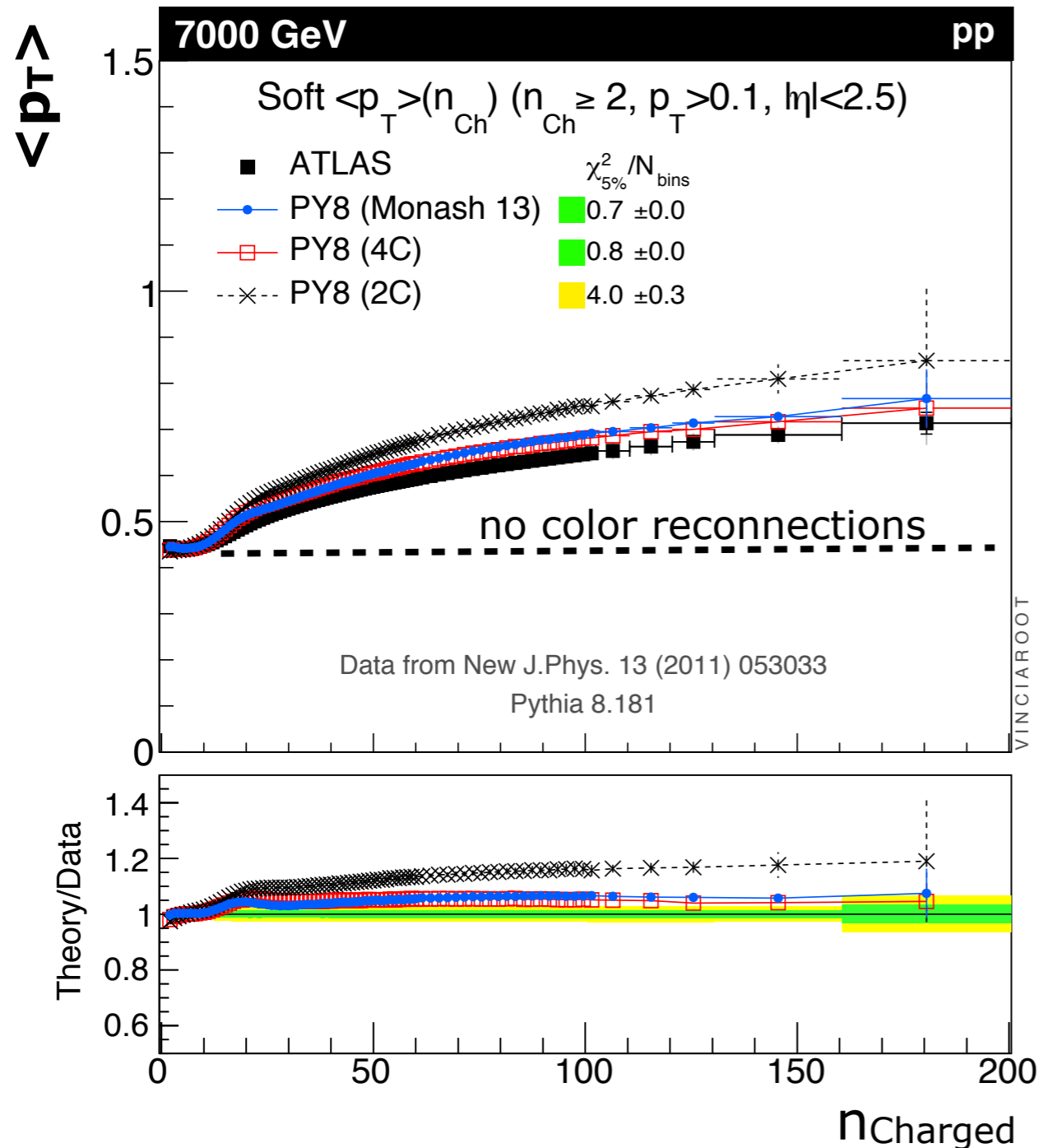
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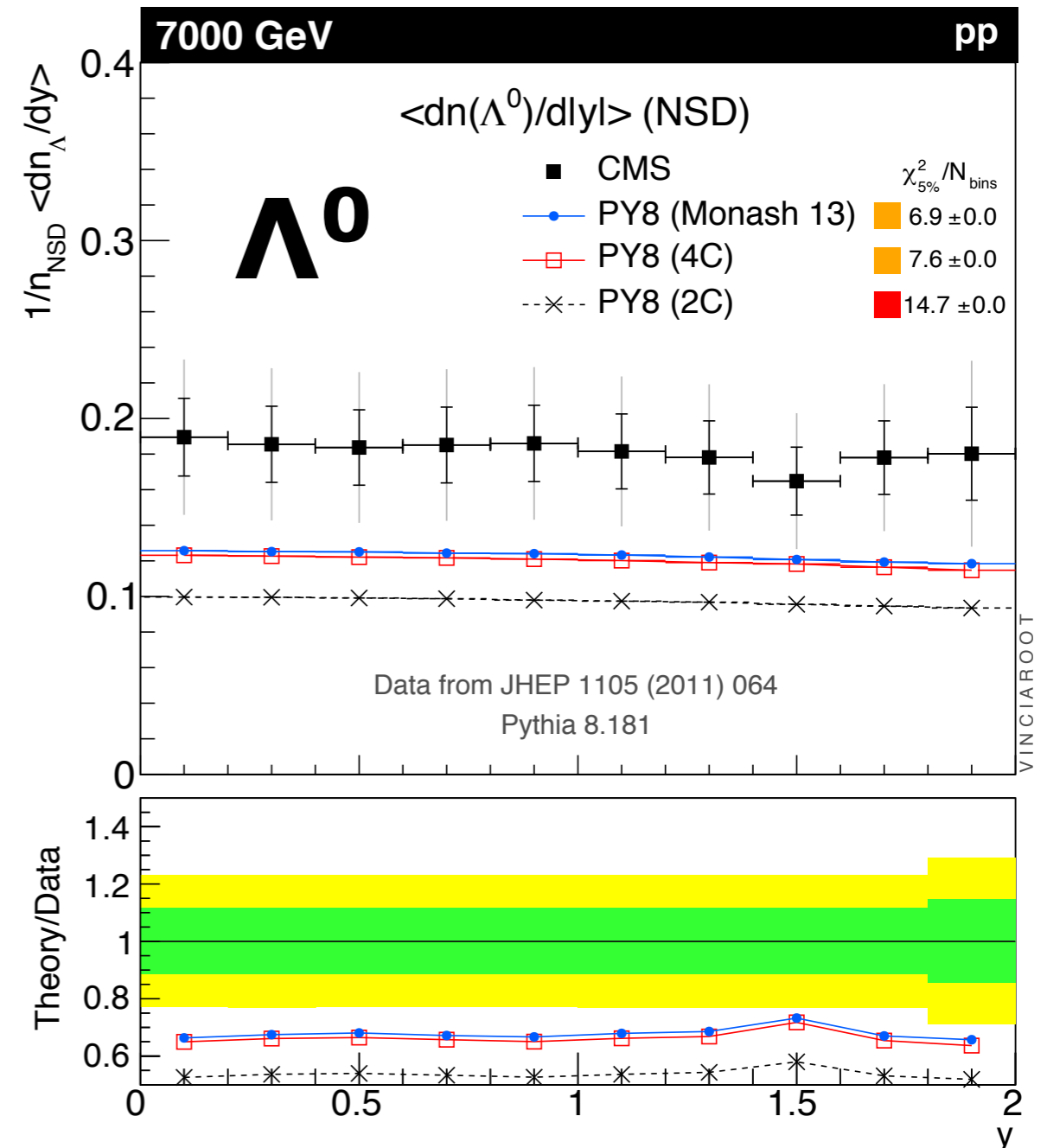


Signs of collectivity?

1) Rise of $\langle p_T \rangle$ with multiplicity



2) Baryons by coalescence?



Gluon Splitting

Less singular than gluon emission: single log

$$P(g \rightarrow q\bar{q}) \propto \frac{1}{m_{q\bar{q}}^2}$$

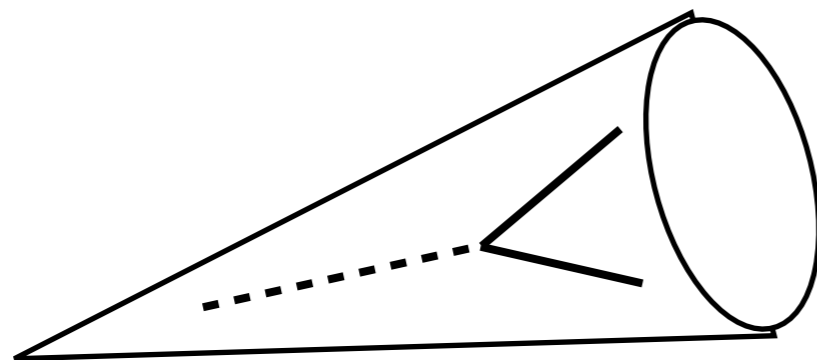
→ Less precise, from parton-shower viewpoint

Massive quarks → not even singular

Predictions for $g \rightarrow cc, bb$ differ greatly between models

Non-singular terms, evolution variable, renormalization scale

Beware: overpredicted if (c,b) treated massless



Strong interest in constraints from double-tagged heavy-flavor jets

At the theory level we will learn more from NLO corrections to gluon-splitting processes



Tuning

means different things to different people

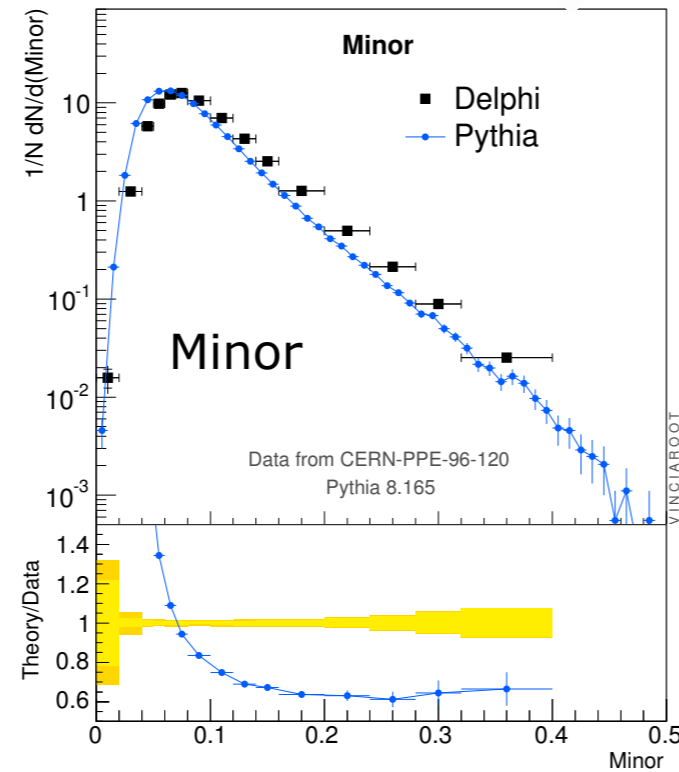
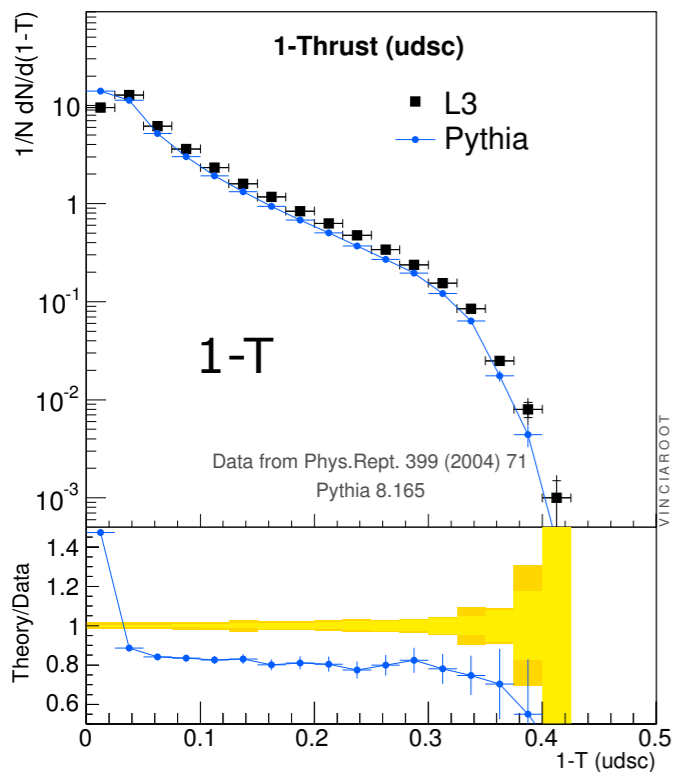
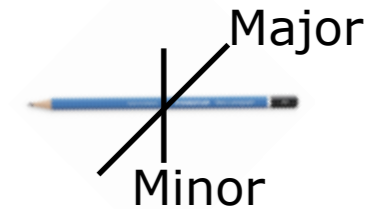


Example: Value of Strong Coupling

PYTHIA 8 (hadronization on) vs LEP: Thrust

$$T = \max_{\vec{n}} \left(\frac{\sum_i |\vec{p}_i \cdot \vec{n}|}{\sum_i |\vec{p}_i|} \right)$$

$1 - T \rightarrow 0$



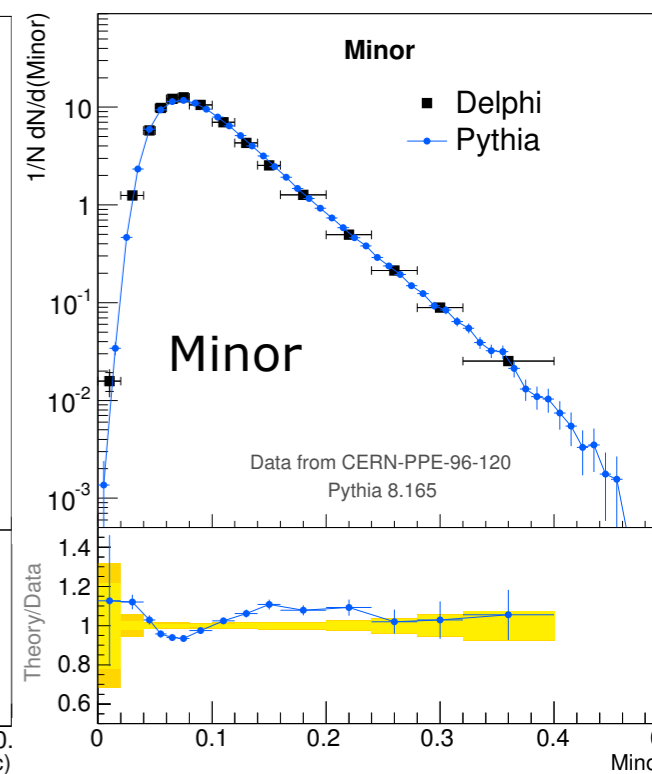
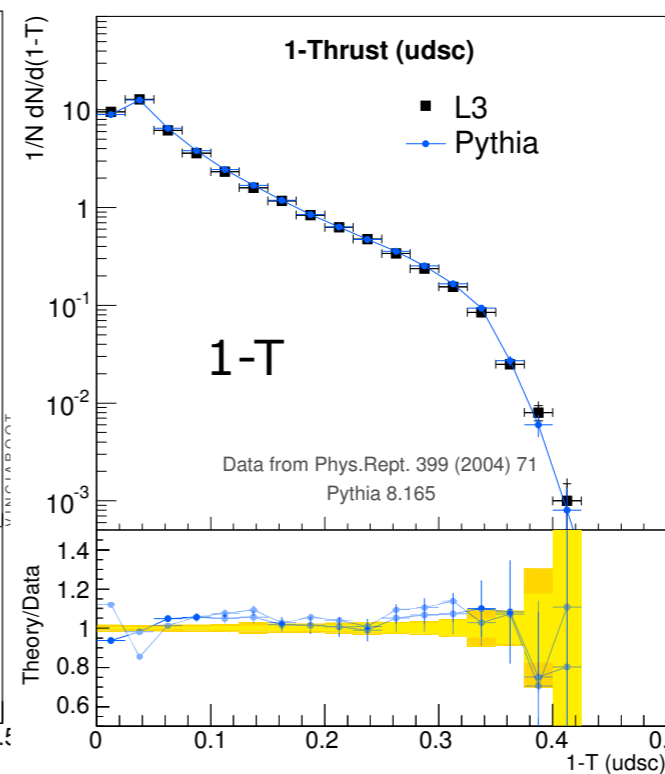
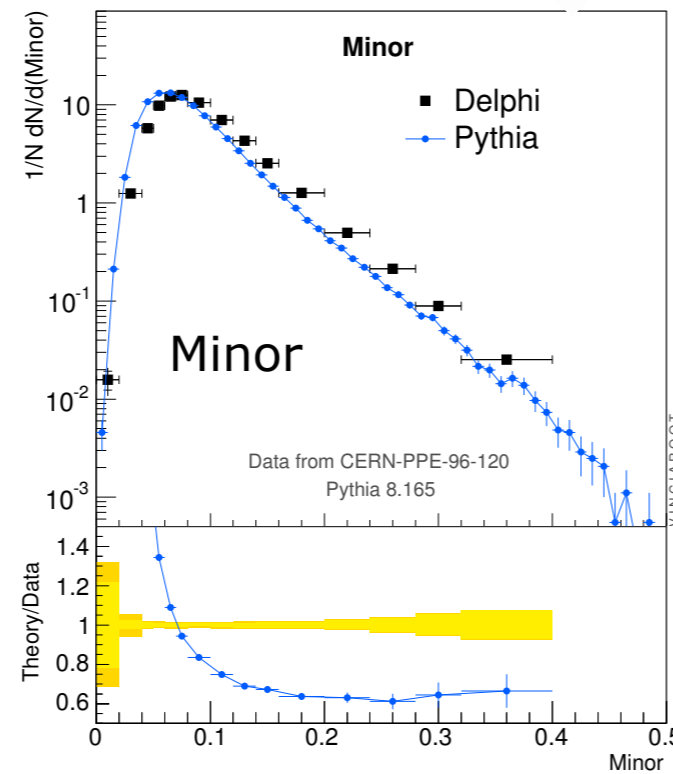
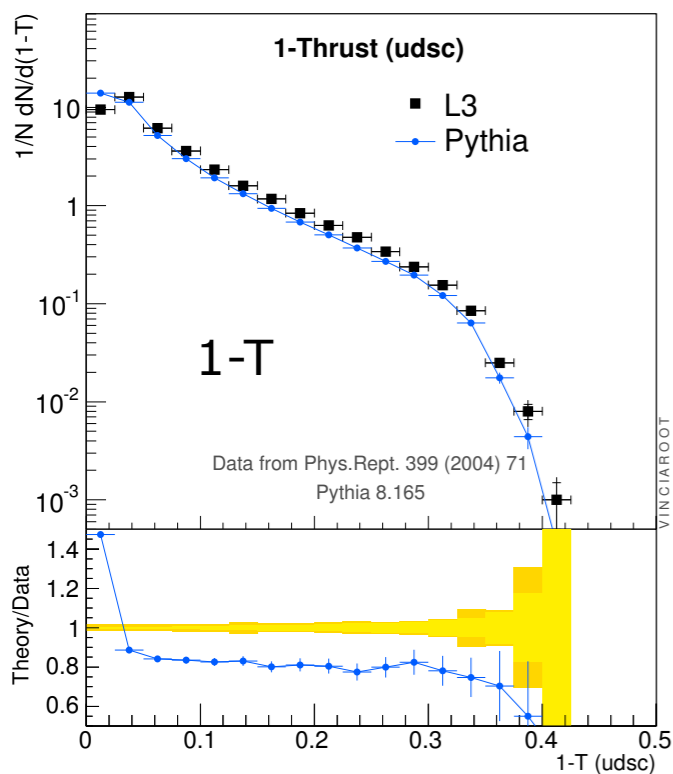
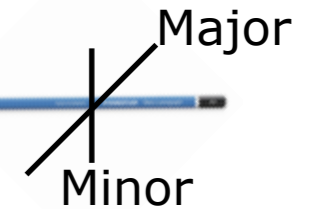
$\alpha_s(M_Z) = 0.12$
1-loop running, MC

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$\alpha_s(M_Z) = 0.12$
1-loop running, MC

$\alpha_s(M_Z) = 0.14$
1-loop running, MC

+ IR regularization → Impact on non-perturbative parameters!

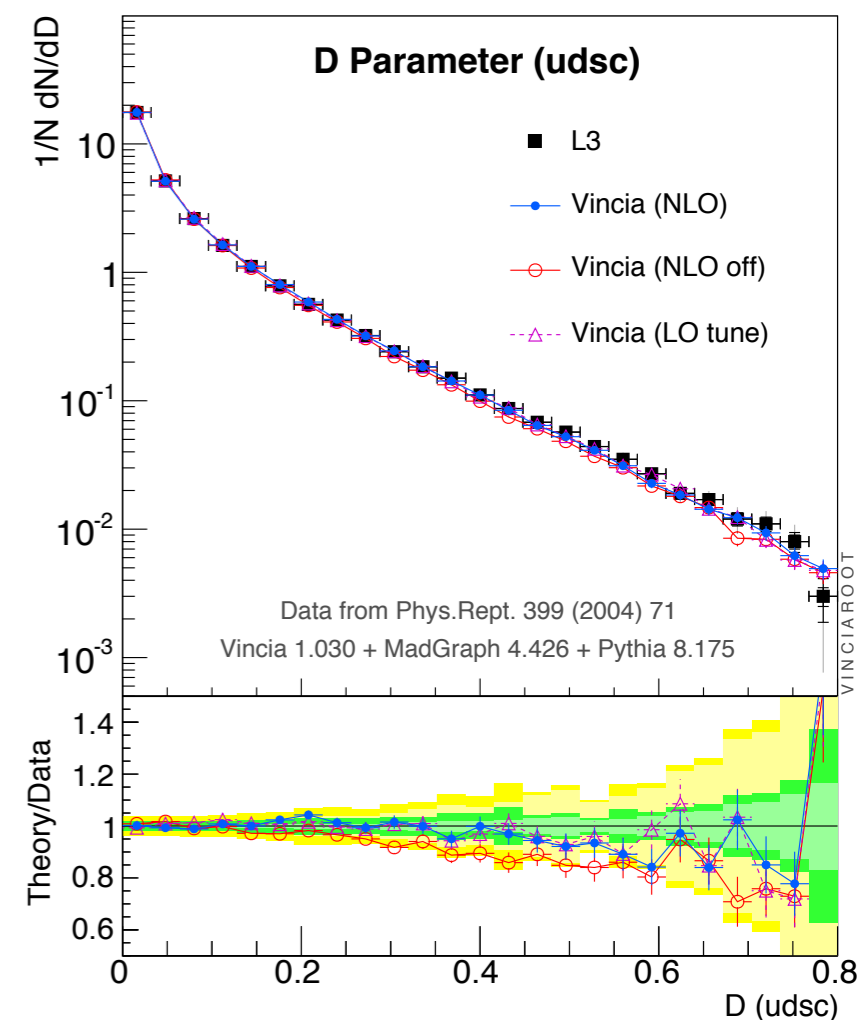
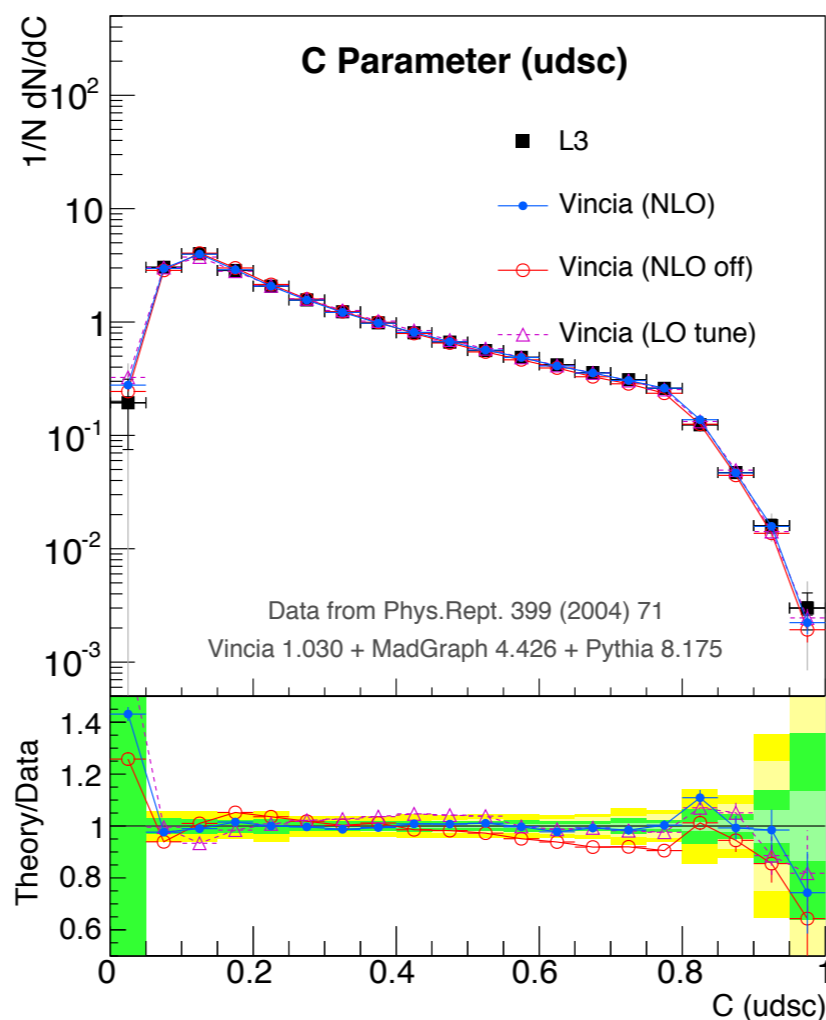
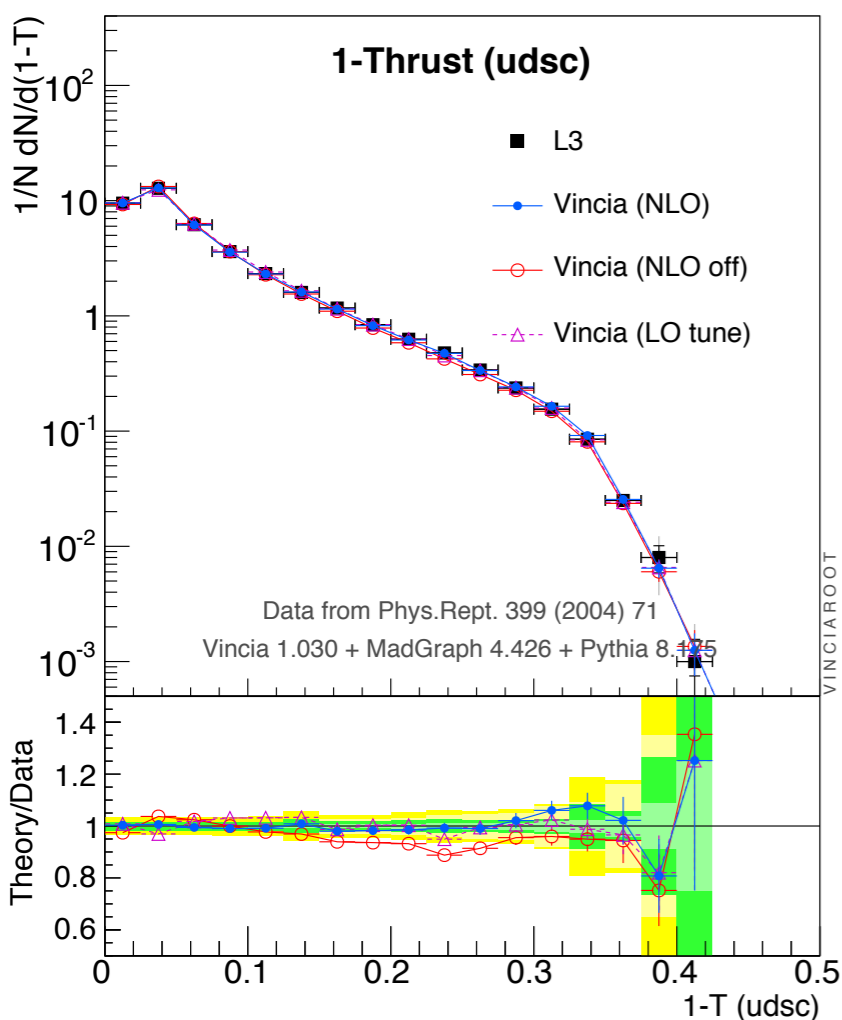
Sneak Preview: VINCIA: Multijet NLO Corrections

Hartgring, Laenen, Skands, [arXiv:1303.4974](https://arxiv.org/abs/1303.4974)

First LEP tune with NLO 3-jet corrections

LO tune: $\alpha_s(M_Z) = 0.139$ (1-loop running, MC)

NLO tune: $\alpha_s(M_Z) = 0.122$ (2-loop running, MSbar \rightarrow MC)



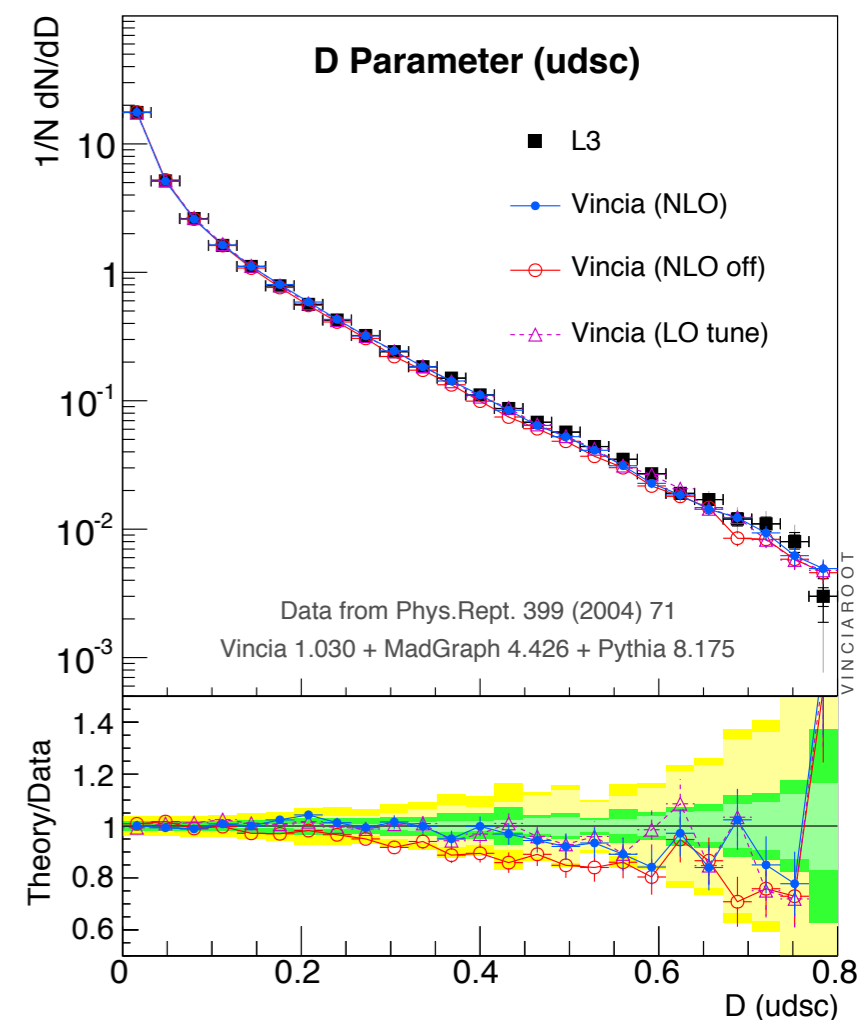
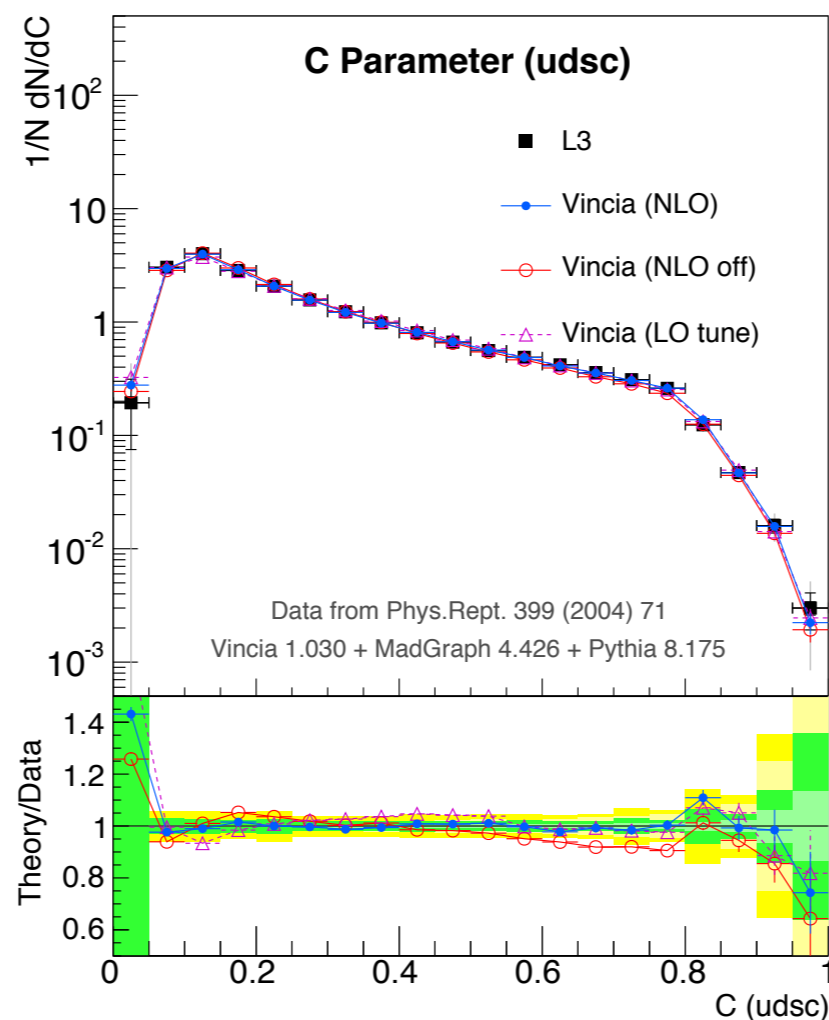
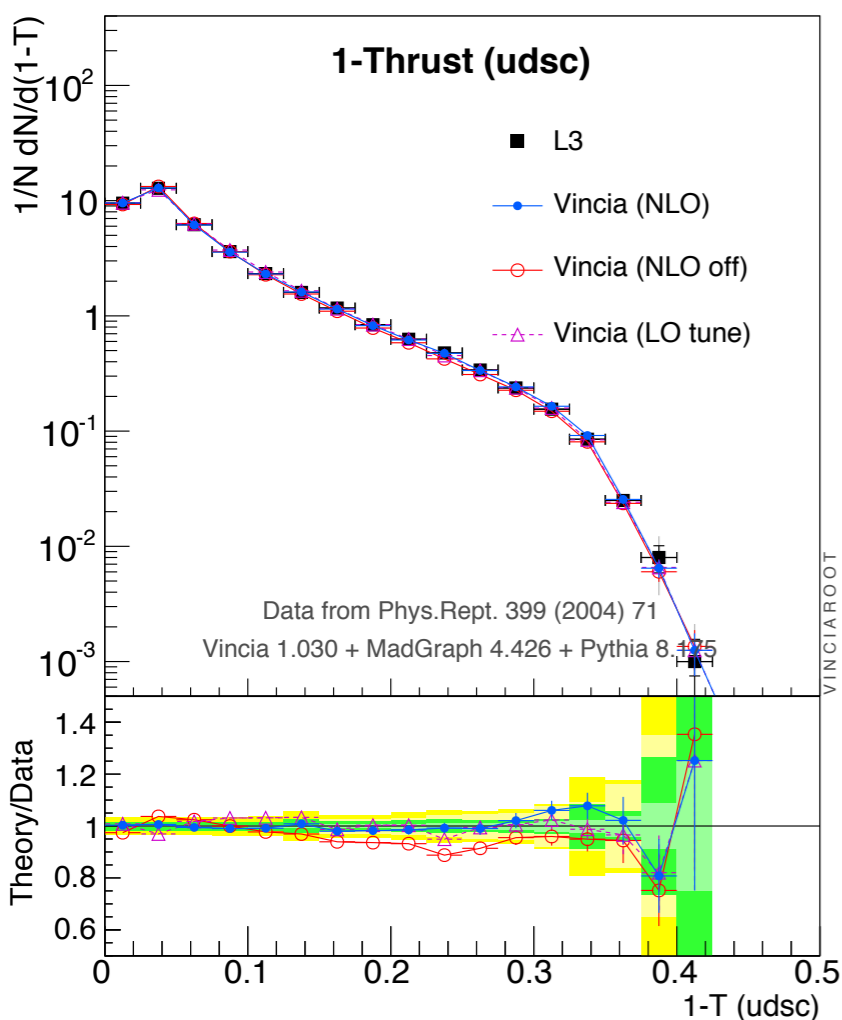
Sneak Preview: VINCIA: Multijet NLO Corrections

Hartgring, Laenen, Skands, [arXiv:1303.4974](https://arxiv.org/abs/1303.4974)

First LEP tune with NLO 3-jet corrections

LO tune: $\alpha_s(M_Z) = 0.139$ (1-loop running, MC)

NLO tune: $\alpha_s(M_Z) = 0.122$ (2-loop running, MSbar \rightarrow MC)



Soft QCD Models: Outlook

HERWIG++ and SHERPA are developing diffractive models + investigating color reconnections

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EPOS uses collective effects (hydro) also in pp

Impressive successes for identified-particle spectra (→?)

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PYTHIA 8 (by now generally superior to PYTHIA 6)

New “Monash 2013” tune (LEP+MB+UE+DY) (from v.8.185)

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Personal (biased?) view: Problems with soft-to-hard transition

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Tuning: LO vs NLO & universality needs better understanding

Menu

- Front Page
- **LHC@home 2.0**
- Generator Versions
- Generator Validation
- Update History
- User Manual and Reference

Analysis filter:

- **ALL pp/ppbar**
- ALL ee
- Specific analysis:
- Latest analyses

Z (Drell-Yan)

- Jet Multiplicities
- $1/\sigma d\sigma(Z)/d\phi_\eta$
- $d\sigma(Z)/dp_{TZ}$
- $1/\sigma d\sigma(Z)/dp_{TZ}$

W

- Charge asymmetry vs η
- Charge asymmetry vs N_{jet}
- $d\sigma(jet)/dp_T$
- Jet Multiplicities

Top (MC only)

- $\Delta\phi$ (ttbar)
- Δy (ttbar)
- $|\Delta y|$ (ttbar)
- M (ttbar)
- p_T (ttbar)
- Cross sections
- y (ttbar)
- Asymmetry
- Individual tops

Bottom

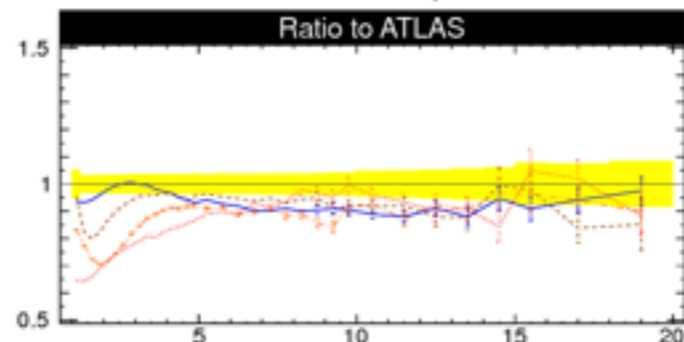
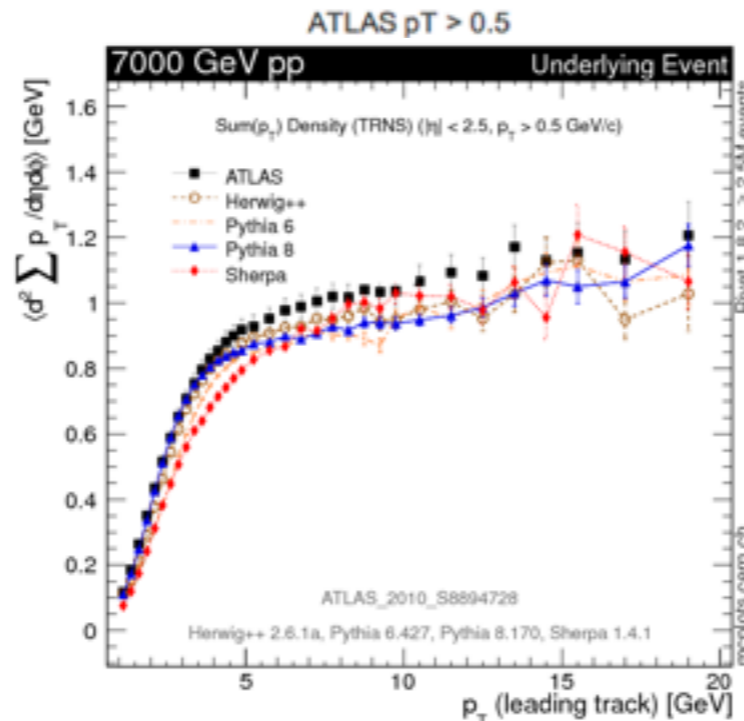
- η Distributions
- p_T Distributions
- Cross sections

Jets

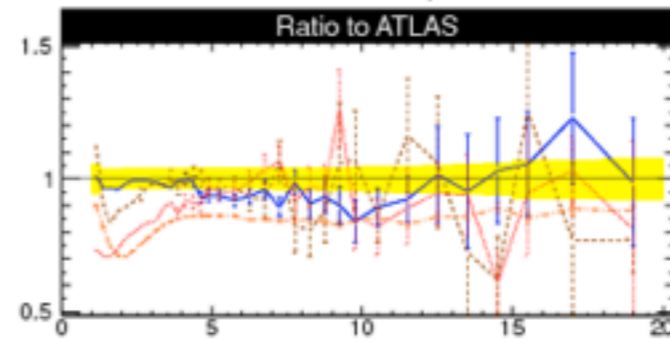
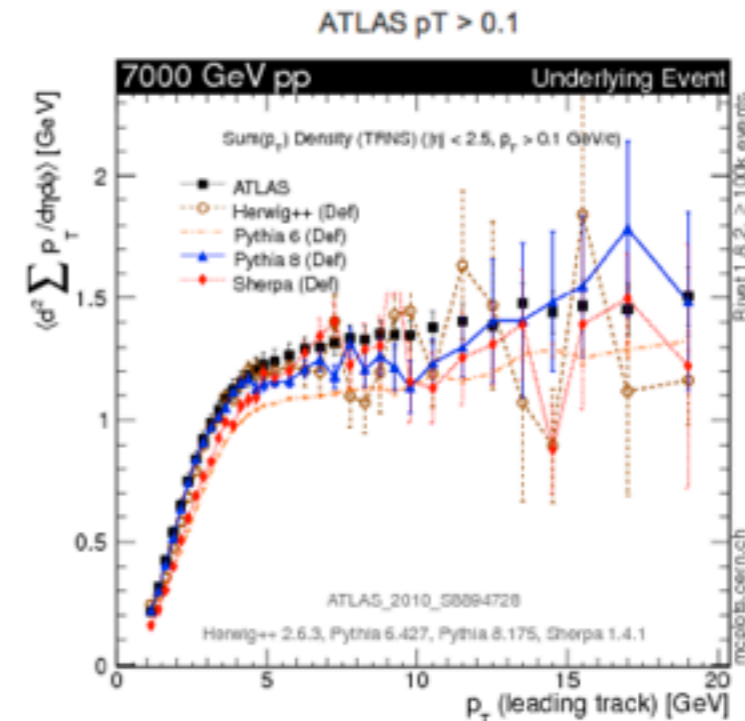
Underlying Event : TRNS : $\Sigma(p_T)$ vs p_{T1}

Generator Group: **General-Purpose MCs** Soft-Inclusive MCs Alpgen Herwig++ Pythia 6 Pythia 8 Sherpa
 Vincia Epos Phojet Custom
 Subgroup: **Defaults** LHC Tunes C++ Generators Tevatron vs LHC tunes

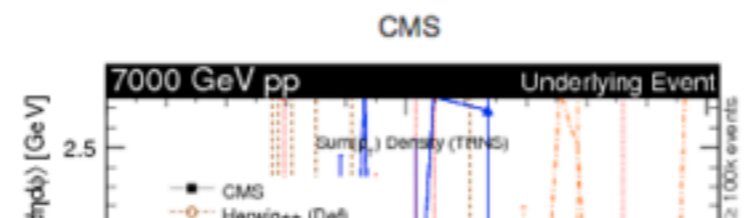
pp @ 7000 GeV



[pdf] [eps] [png] hide details ←
 [ATLAS] reference
 [Herwig++ (Def)] param
 [Pythia 6 (Def)] param
 [Pythia 8 (Def)] param
 [Sherpa (Def)] param
 [steer]



[pdf] [eps] [png] show details →



- Explicit tables of data & MC points
- Run cards for each generator
- Link to experimental reference paper
- Steering file for plotting program
- (Will also add link to RIVET analysis)

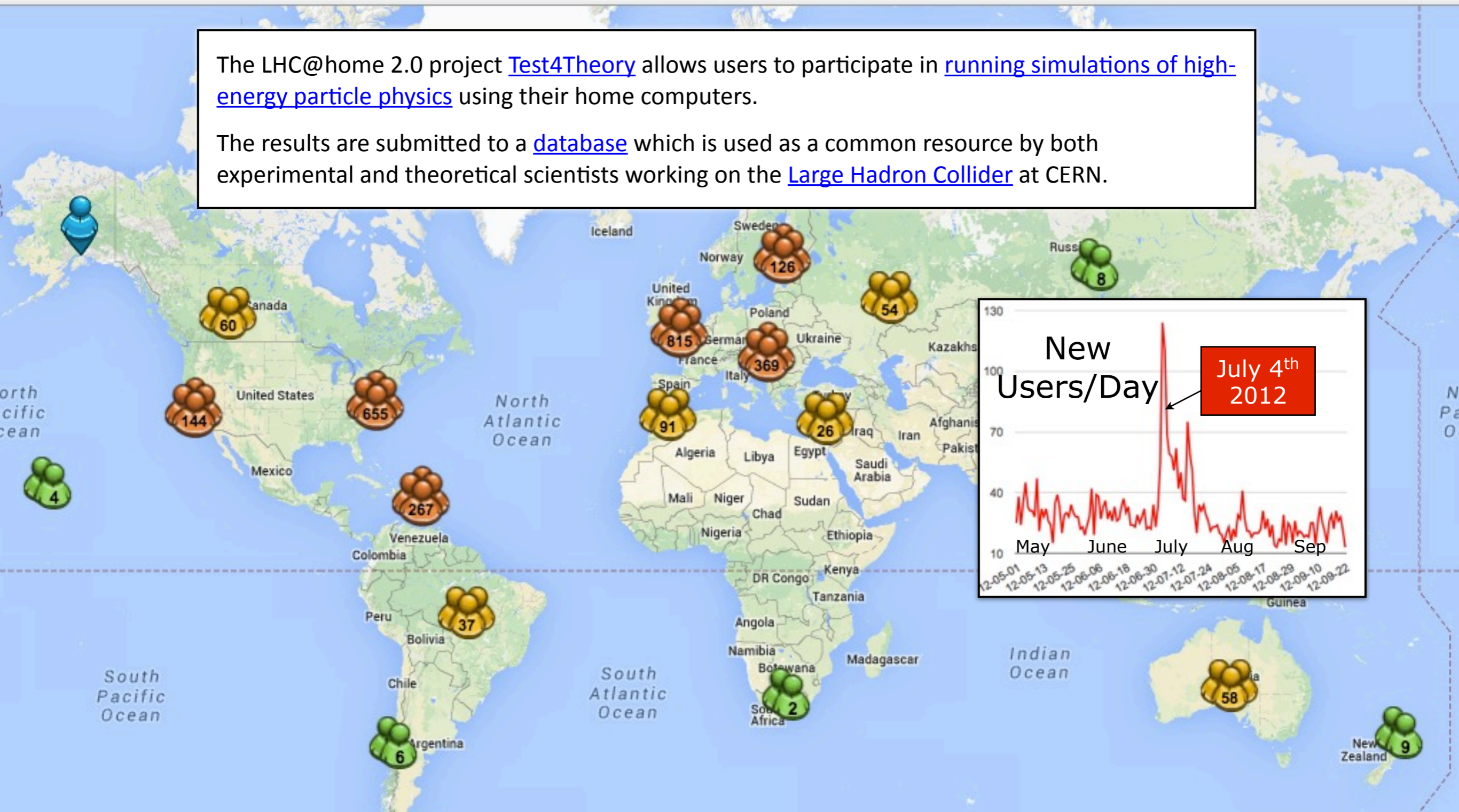
Test4Theory - LHC@home

<http://lhathome.cern.ch/test4theory>

LHC@home 2.0 Test4Theory volunteers' machines seen since Sun Nov 17 2013 14:00:00 GMT+1100 (EST) (2804 machines overall)

The LHC@home 2.0 project [Test4Theory](#) allows users to participate in [running simulations of high-energy particle physics](#) using their home computers.

The results are submitted to a [database](#) which is used as a common resource by both experimental and theoretical scientists working on the [Large Hadron Collider](#) at CERN.



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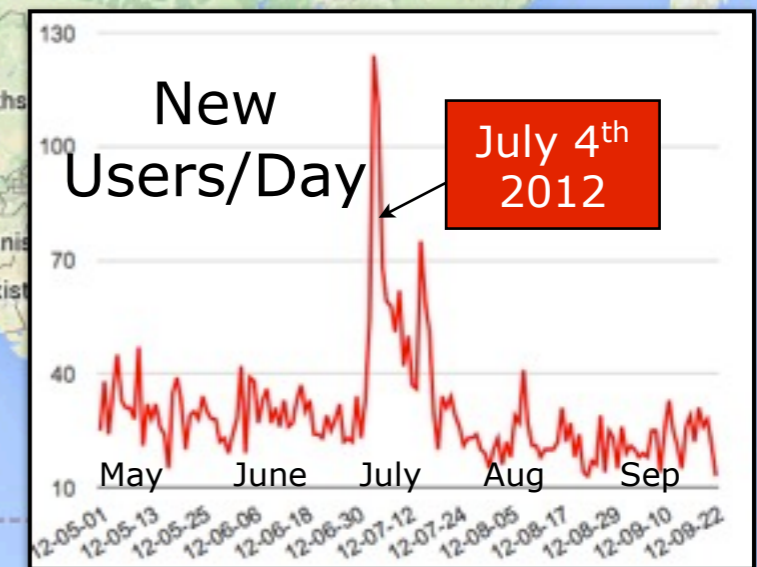
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New: Citizen Cyberlab (funds from EU)

Develop an app that lets citizen scientists **learn about, interact with, and optimize high-energy physics simulations**, by comparing them to real data



Come to Australia



Establishing a new group in **Melbourne**
Working on **PYTHIA & VINCIA**

NLO Event Generators

Precision LHC **phenomenology & soft physics**

Support LHC **experiments, astro-particle**
community, and **future** accelerators

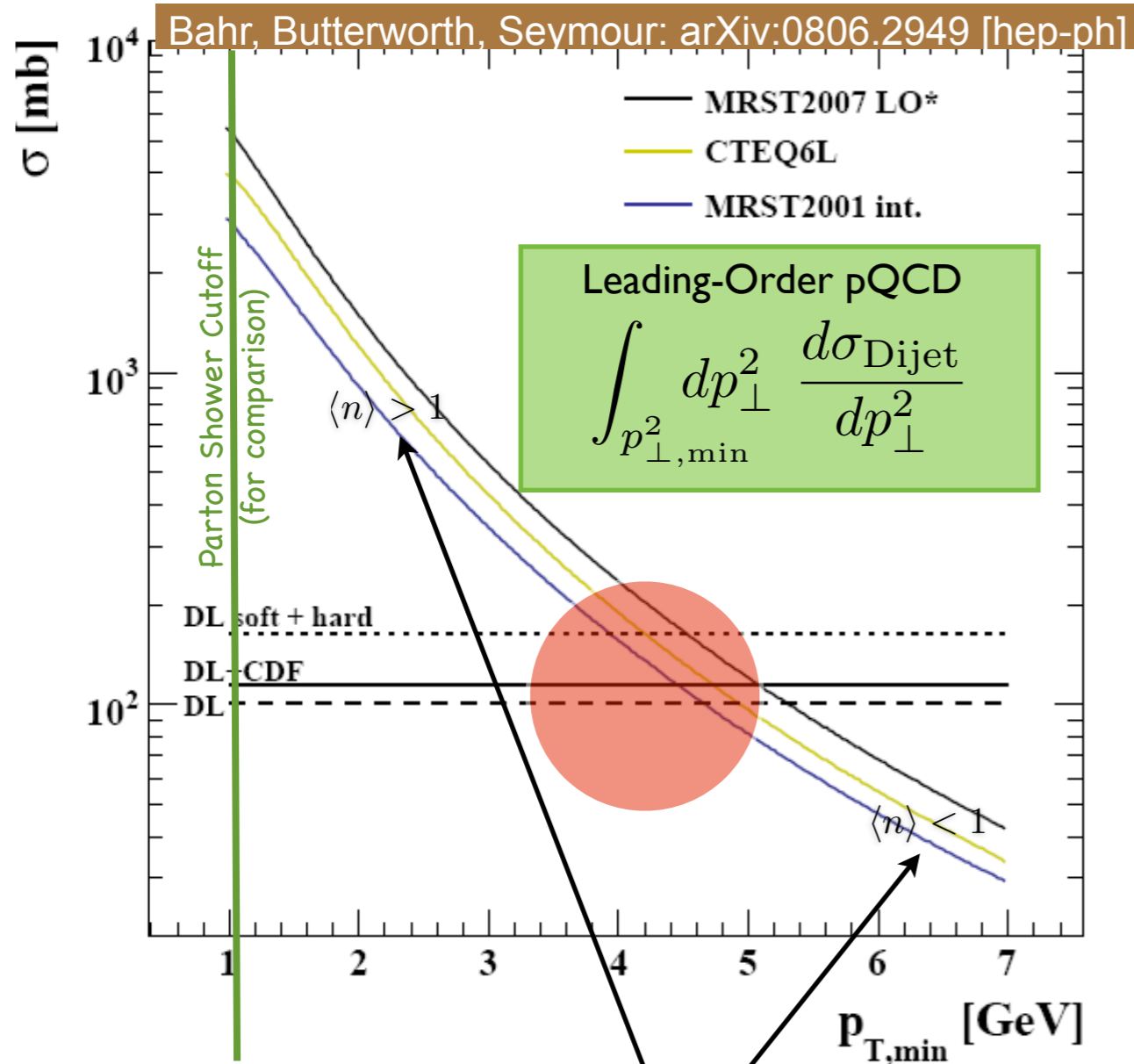
Outreach and Citizen Science



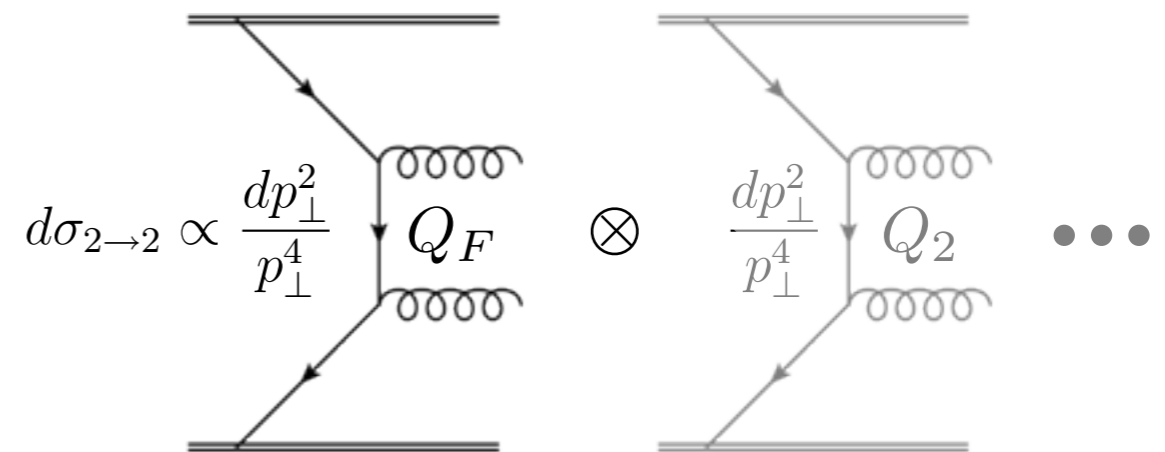
Oct 2014
→ Monash University
Melbourne, Australia

Multiple Interactions

= Allow several parton-parton interactions per hadron-hadron collision. Requires extended factorization ansatz.



Earliest MC model ("old" PYTHIA 6 model)
Sjöstrand, van Zijl PRD36 (1987) 2019



Lesson from bremsstrahlung in pQCD:
divergences \rightarrow fixed-order breaks down
Perturbation theory still ok, with
resummation (unitarity)

\rightarrow Resum dijets?
Yes \rightarrow MPI!

$$\sigma_{2\rightarrow 2}(p_{\perp,\min}) = \langle n \rangle(p_{\perp,\min}) \sigma_{\text{tot}}$$

Parton-Parton Cross Section

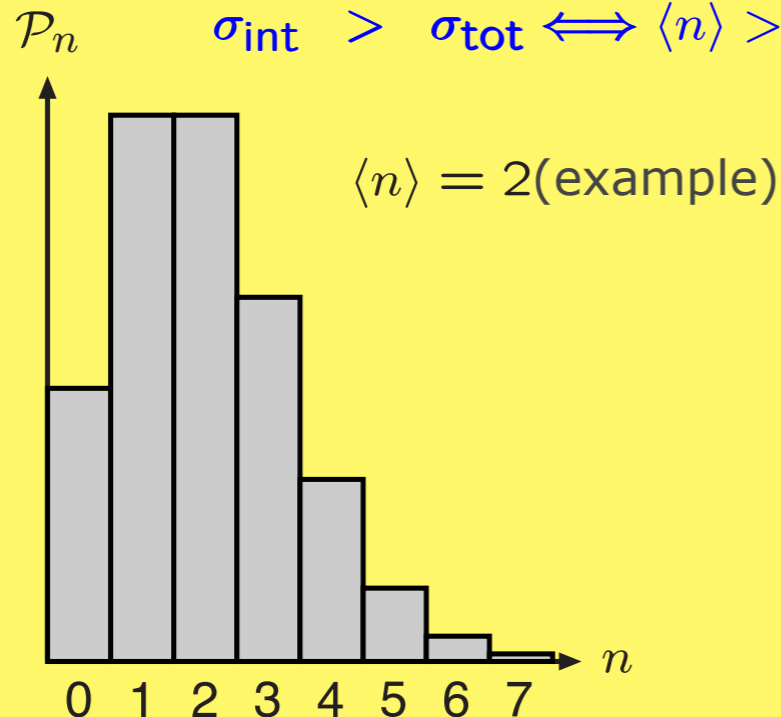
Hadron-Hadron Cross Section

How many?

Naively $\langle n_{2 \rightarrow 2}(p_{\perp \min}) \rangle = \frac{\sigma_{2 \rightarrow 2}(p_{\perp \min})}{\sigma_{\text{tot}}}$

Interactions independent (naive factorization) \rightarrow Poisson

$$\begin{aligned}\sigma_{\text{tot}} &= \sum_{n=0}^{\infty} \sigma_n \\ \sigma_{\text{int}} &= \sum_{n=0}^{\infty} n \sigma_n \\ \sigma_{\text{int}} &> \sigma_{\text{tot}} \iff \langle n \rangle > 1\end{aligned}$$



$$\mathcal{P}_n = \frac{\langle n \rangle^n}{n!} e^{-\langle n \rangle}$$

Real Life

Momentum conservation
suppresses high- n tail
+ physical correlations
 \rightarrow not simple product

1: A Simple Model

The minimal model incorporating single-parton factorization, perturbative unitarity, and energy-and-momentum conservation

$$\sigma_{2 \rightarrow 2}(p_{\perp \min}) = \langle n \rangle(p_{\perp \min}) \sigma_{\text{tot}}$$

Parton-Parton Cross Section Hadron-Hadron Cross Section

1. Choose $p_{T\min}$ cutoff

= main tuning parameter

2. Interpret $\langle n \rangle(p_{T\min})$ as mean of Poisson distribution

Equivalent to assuming all parton-parton interactions equivalent and independent ~ each take an instantaneous “snapshot” of the proton

3. Generate n parton-parton interactions (pQCD $2 \rightarrow 2$)

Veto if total beam momentum exceeded \rightarrow overall (E,p) cons

4. Add impact-parameter dependence $\rightarrow \langle n \rangle = \langle n \rangle(b)$ Ordinary CTEQ, MSTW, NNPDF, ...

Assume factorization of transverse and longitudinal d.o.f., \rightarrow PDFs : $f(x,b) = f(x)g(b)$

b distribution \propto EM form factor \rightarrow **JIMMY model** Butterworth, Forshaw, Seymour Z.Phys. C72 (1996) 637

Constant of proportionality = second main tuning parameter

5. Add separate class of “soft” (zero- p_T) interactions representing

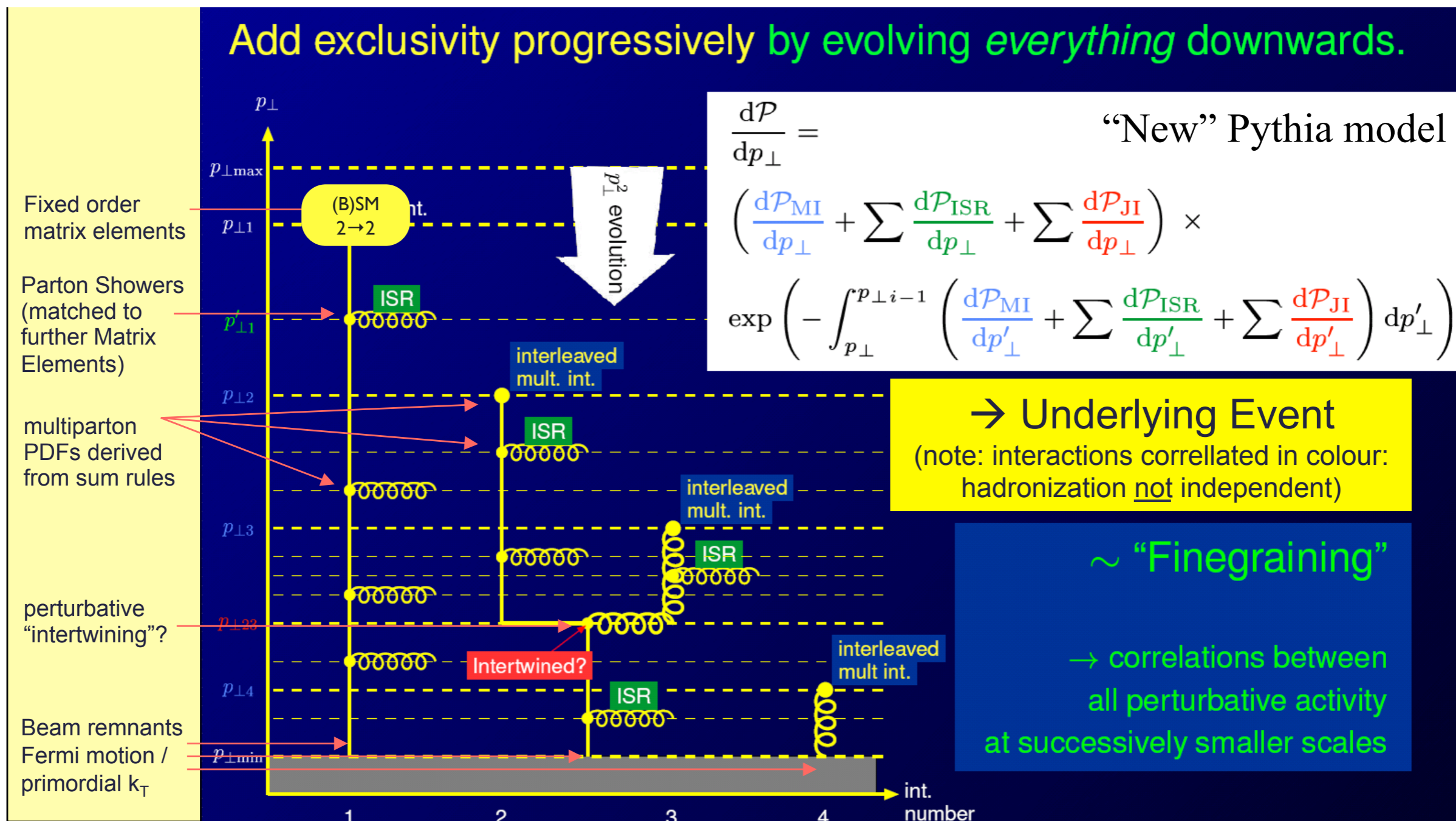
interactions with $p_T < p_{T\min}$ and require $\sigma_{\text{soft}} + \sigma_{\text{hard}} = \sigma_{\text{tot}}$

\rightarrow **Herwig++ model** Bähr et al, arXiv:0905.4671

2: Interleaved Evolution



Sjöstrand & Skands, JHEP 0403 (2004) 053; EPJ C39 (2005) 129

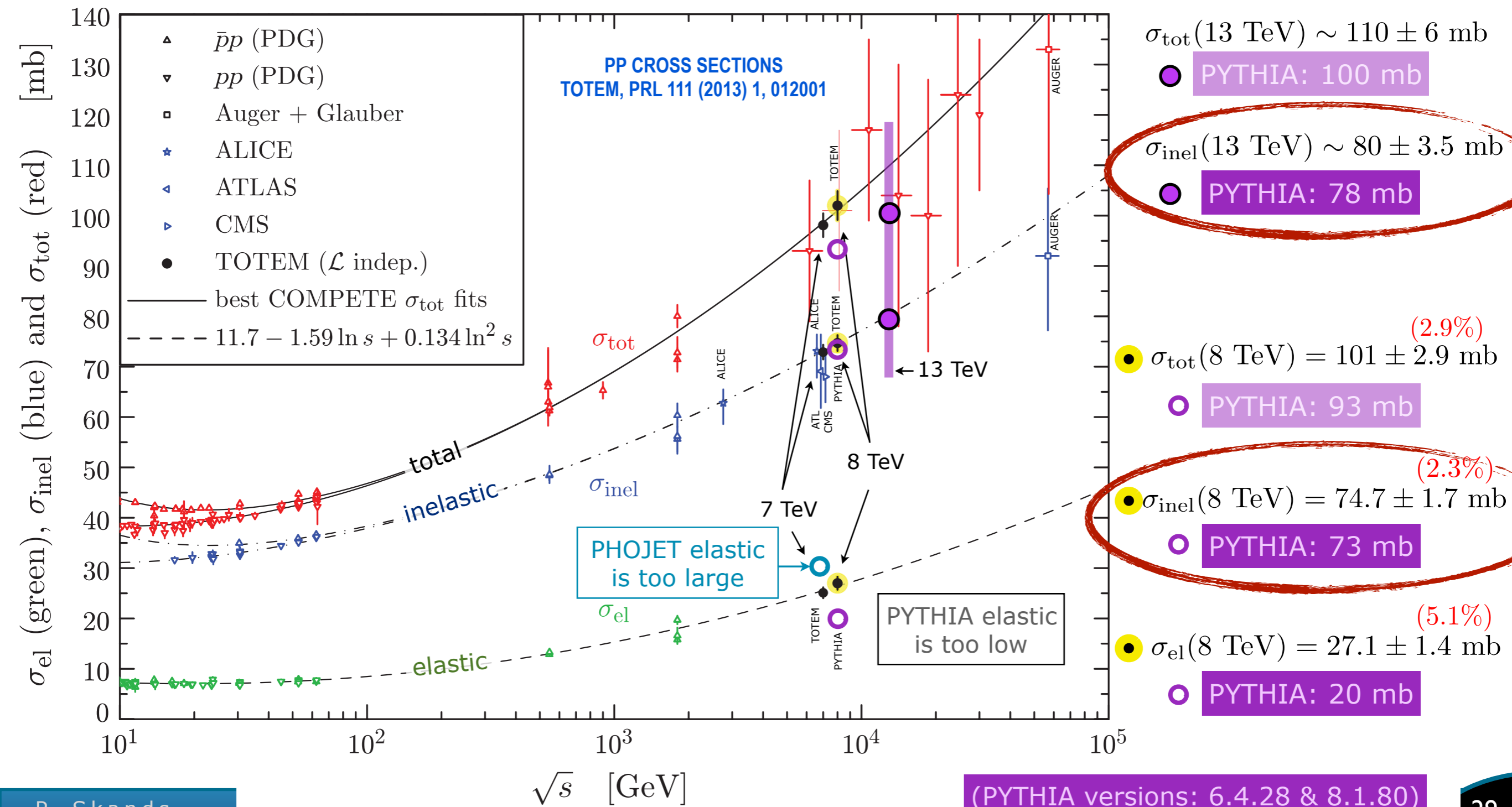


Also available for Pomeron-Proton collisions since Pythia 8.165

PHOJET elastic

Pileup rate $\propto \sigma_{\text{tot}}(s) = \sigma_{\text{el}}(s) + \sigma_{\text{inel}}(s) \propto s^{0.08}$ or $\ln^2(s)$?

Donnachie-Landshoff Froissart-Martin Bound



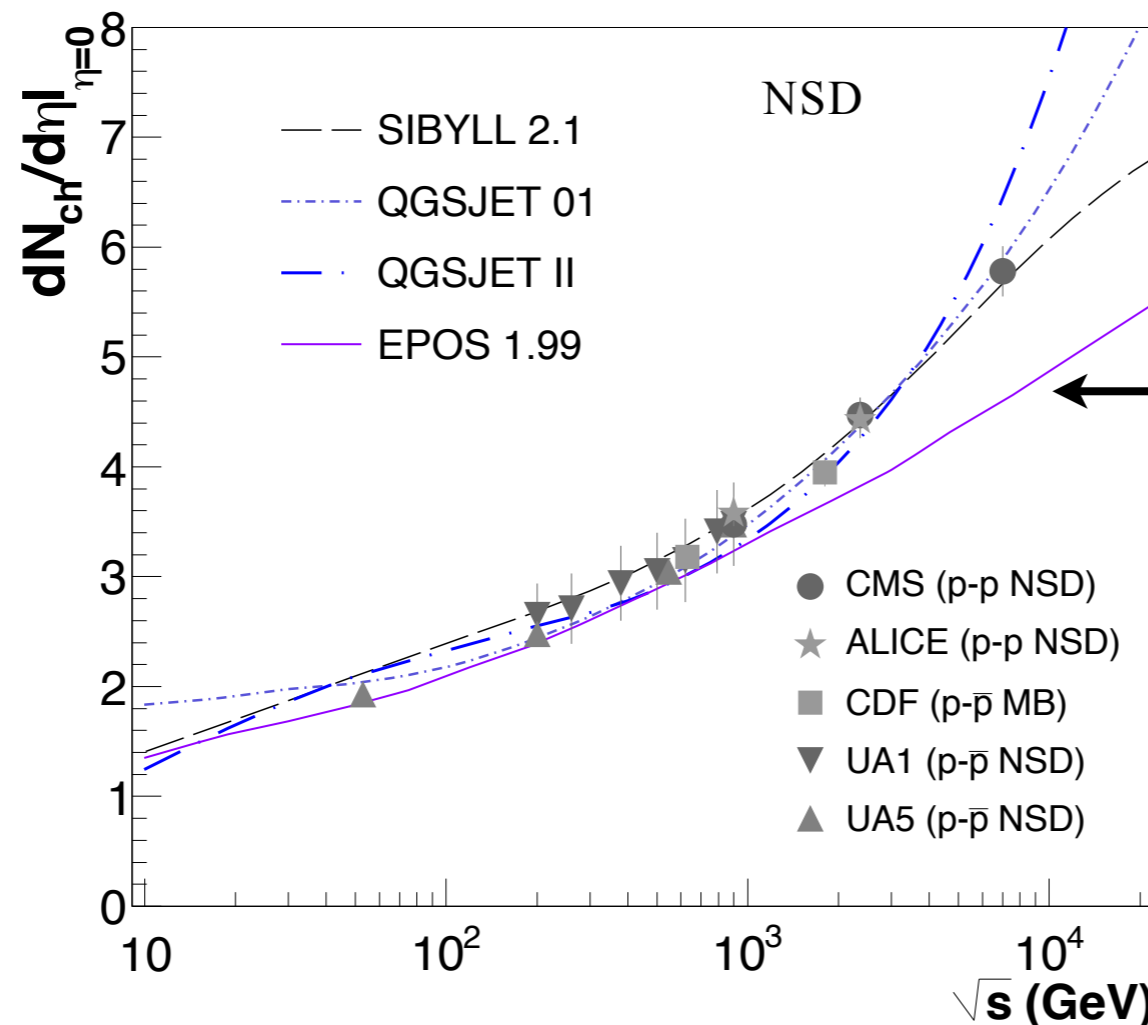
Scaling of Multiplicities

A

From soft models based on Regge Theory, expect:

D. d'Enterria et al. [arXiv:1101.5596],

$$\left. \frac{dN_{\text{ch}}(s, \eta)}{d\eta} \right|_{\eta=0} \propto \frac{\text{Im} f^{\mathbb{P}}(s, 0)}{s \sigma_{pp}^{\text{inel}}(s)} \sim \frac{s^{\Delta_{\mathbb{P}}}}{\log^2 s},$$

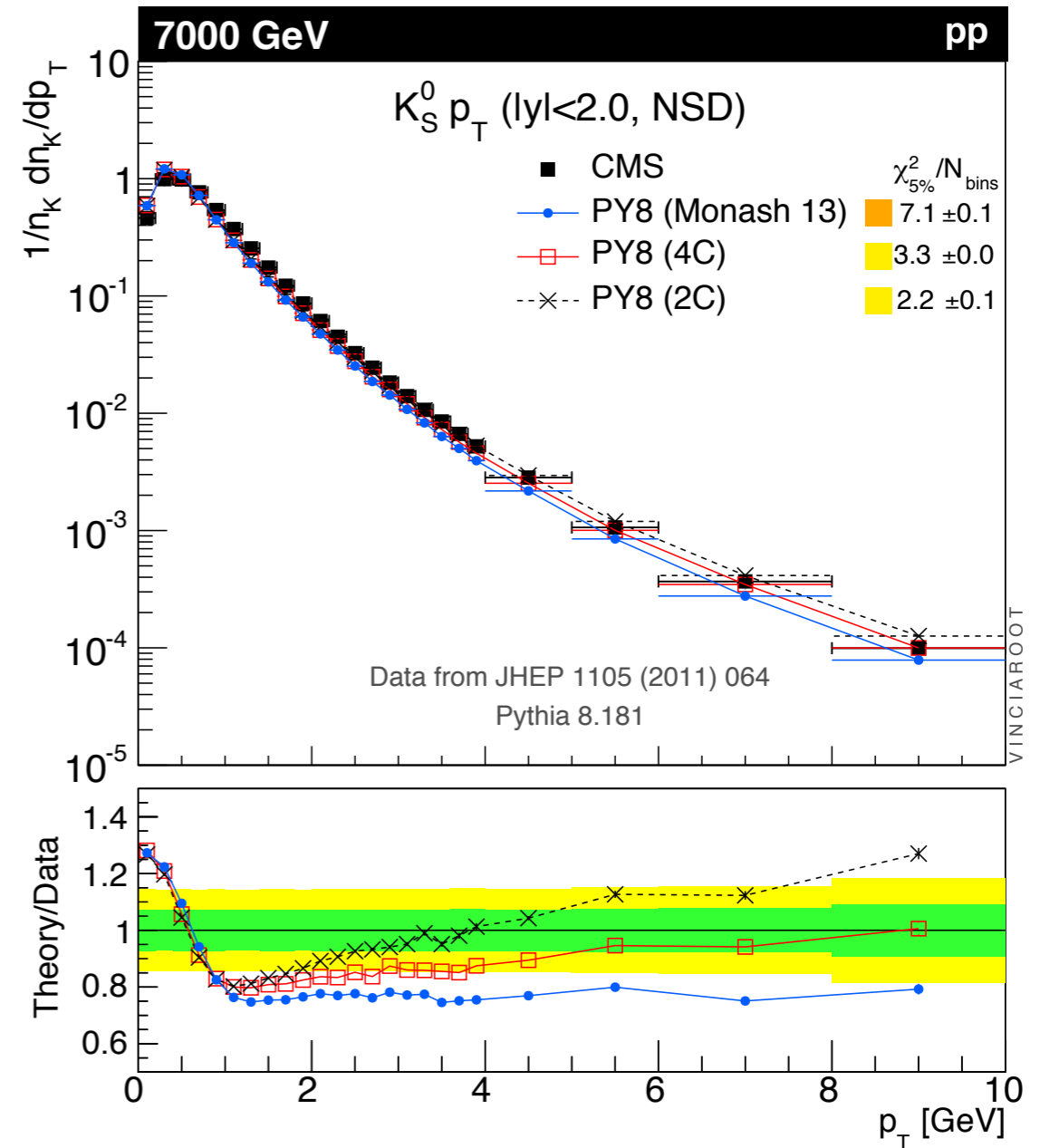
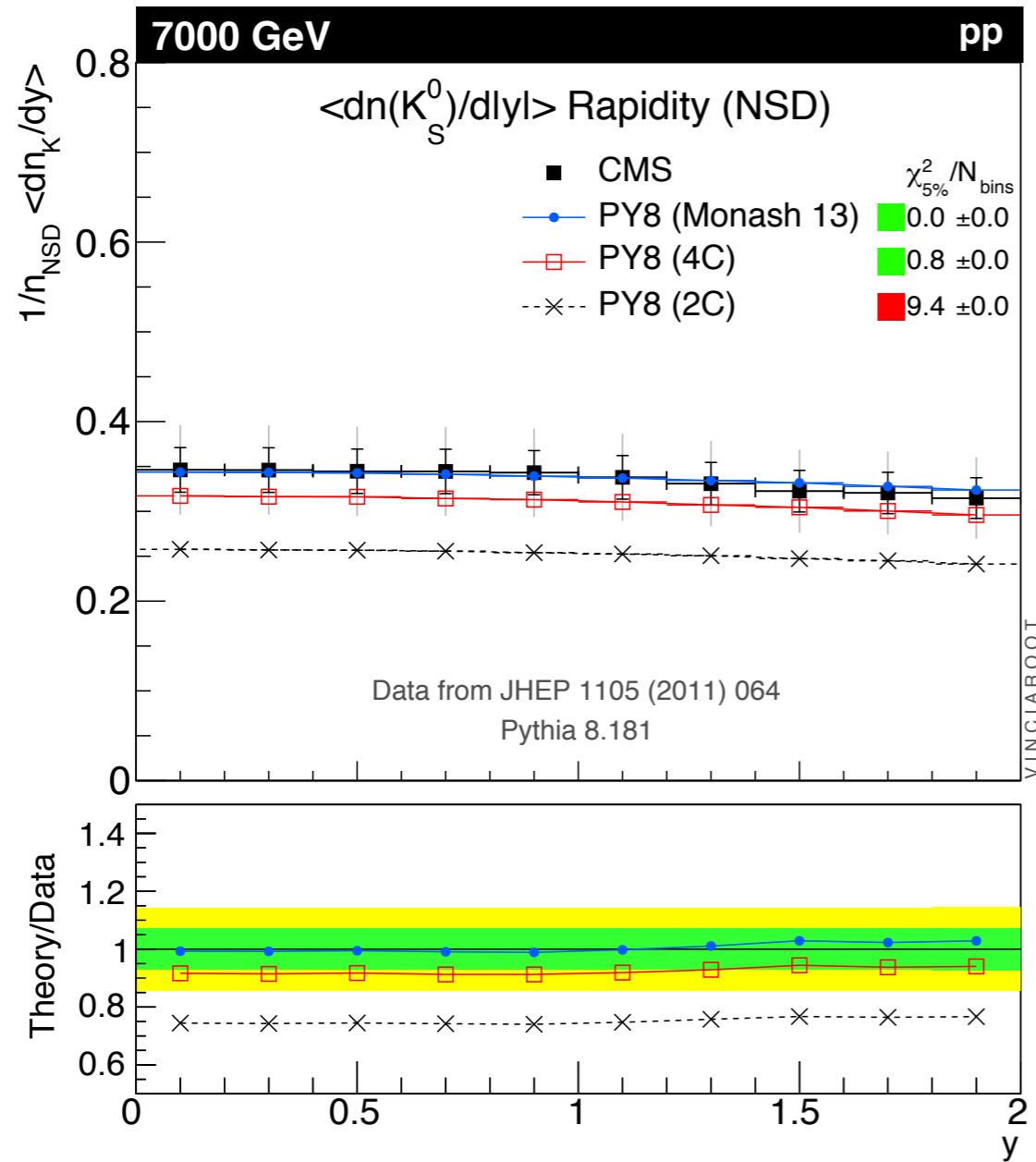


← QGSJET too aggressive? Would predict very high densities

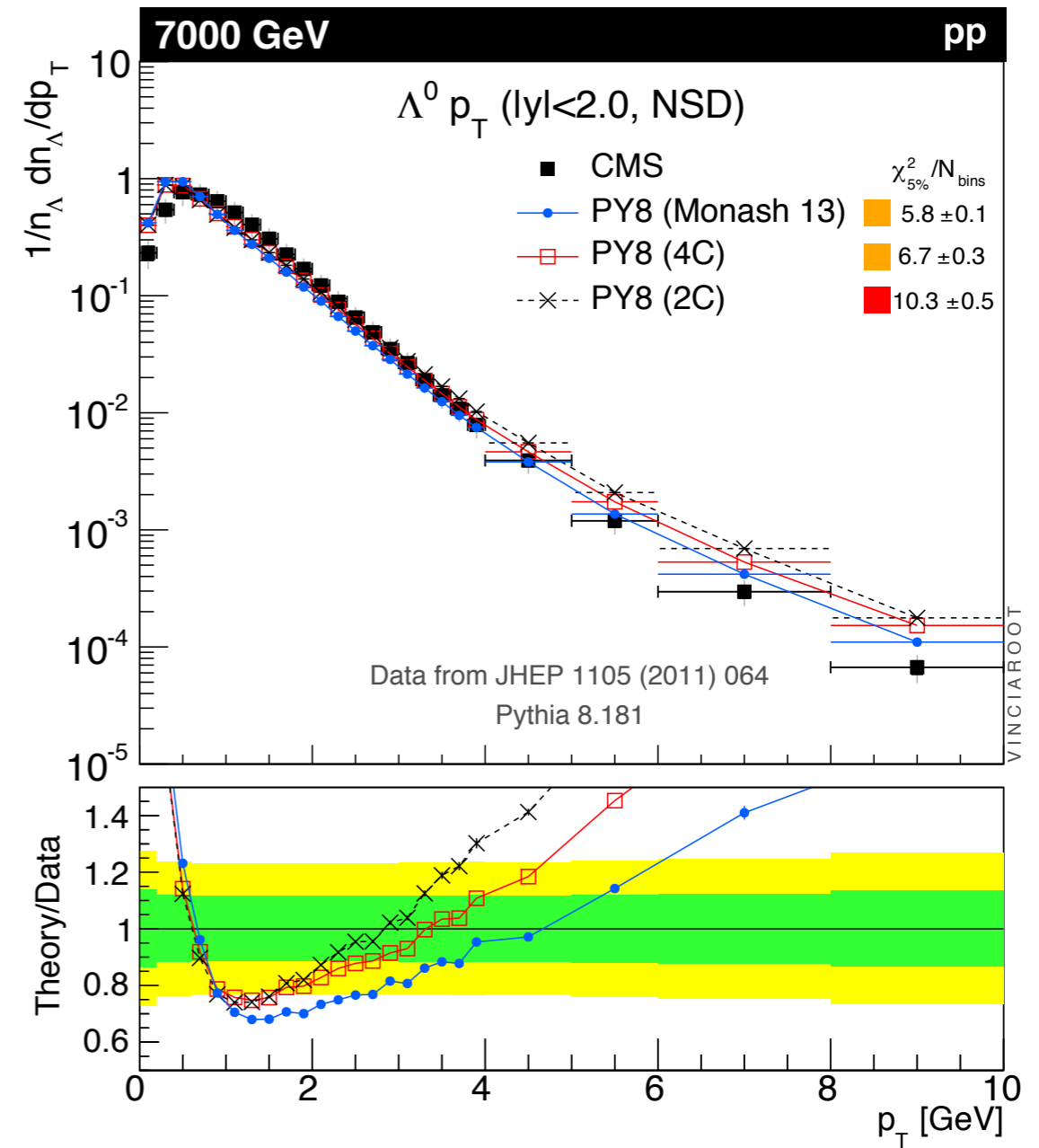
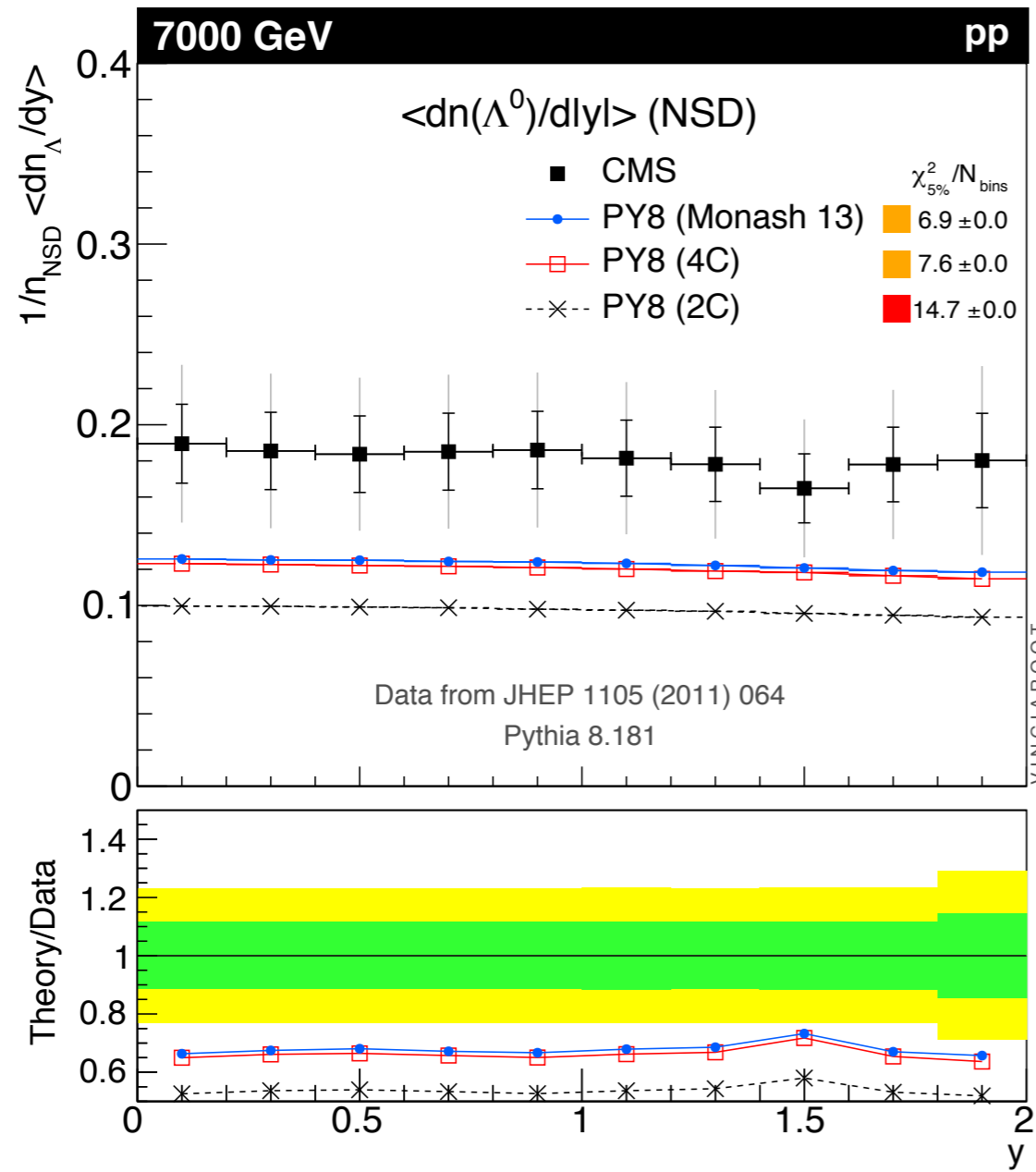
← EPOS too low (but there is coming a new version which fits LHC better, worth trying out)

Will keep these models in mind but will base main extrapolations on PYTHIA Perugia tunes

Strangeness: Kaons



Strangeness: Λ hyperons



Diffraction (in PYTHIA 8)



Navin, arXiv:1005.3894

Diffraction Cross Section Formulae:

$$\frac{d\sigma_{sd}(AX)(s)}{dt dM^2} = \frac{g_{3IP}}{16\pi} \beta_{AIP}^2 \beta_{BIP} \frac{1}{M^2} \exp(B_{sd}(AX)t) F_{sd},$$

$$\frac{d\sigma_{dd}(s)}{dt dM_1^2 dM_2^2} = \frac{g_{3IP}^2}{16\pi} \beta_{AIP} \beta_{BIP} \frac{1}{M_1^2} \frac{1}{M_2^2} \exp(B_{dd}t) F_{dd}.$$

$M_X \leq 10$ GeV (and for all masses in PYTHIA 6)

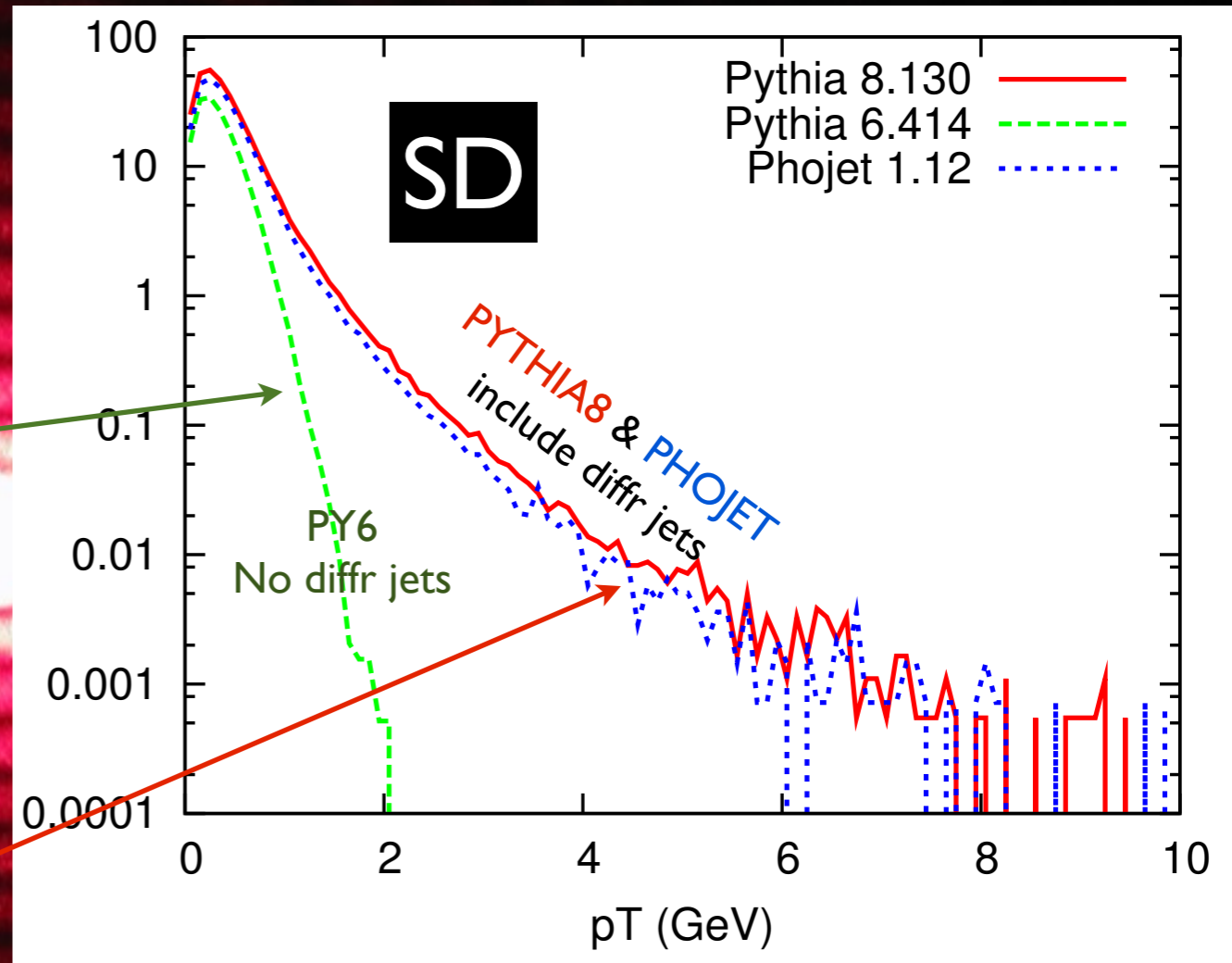
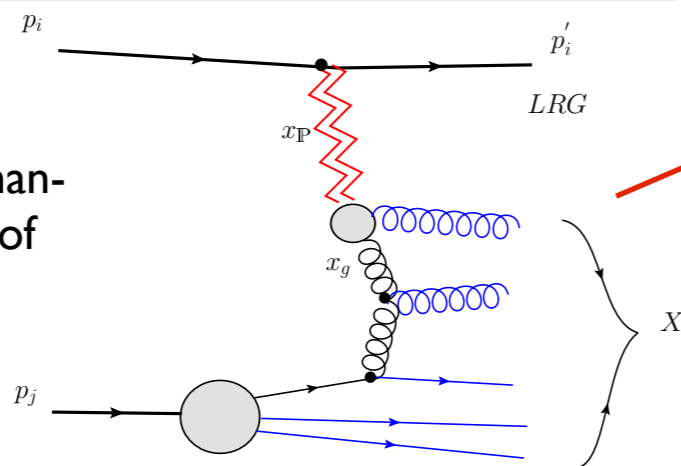
Represent M_X as longitudinal string \rightarrow Fragment
 \rightarrow Typical string-fragmentation spectrum

Partonic Substructure in Pomeron:

$M_X > 10$ GeV

Follows the Ingelman-Schlein approach of Pompyt

PYTHIA 8



- + NEW! full MPI + showers for Pp system (\rightarrow UE in Diffraction)
- + NEW! Central Diffraction (\rightarrow fully contained gap-X-gap events)
- + NEW! Alternative Min-Bias Rockefeller (MBR) Model

Choice between 5 Pomeron PDFs. Free parameter σ_{Pp} needed to fix $\langle n_{interactions} \rangle = \sigma_{jet}/\sigma_{Pp}$.

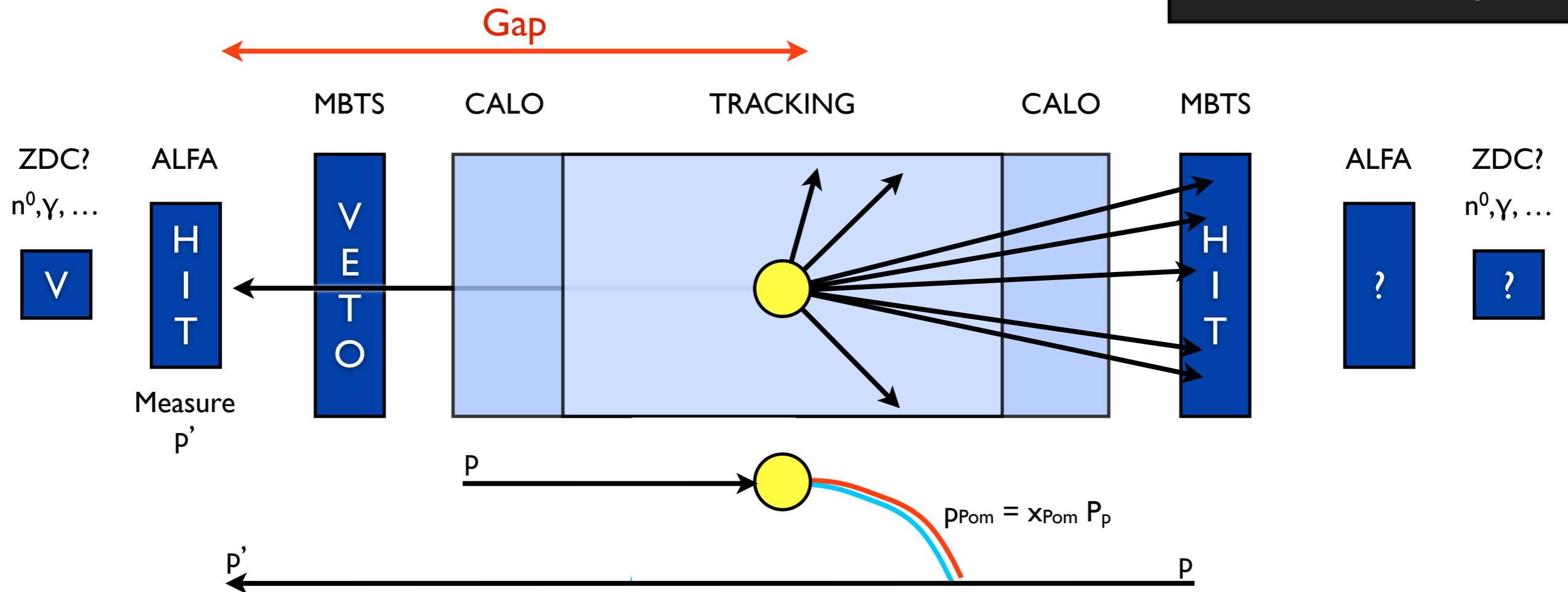
+ Recently Central Diffraction!

Framework needs testing and tuning, e.g. of σ_{Pp} .

(Some) Opportunities with ALFA + ATLAS

Single Diffraction

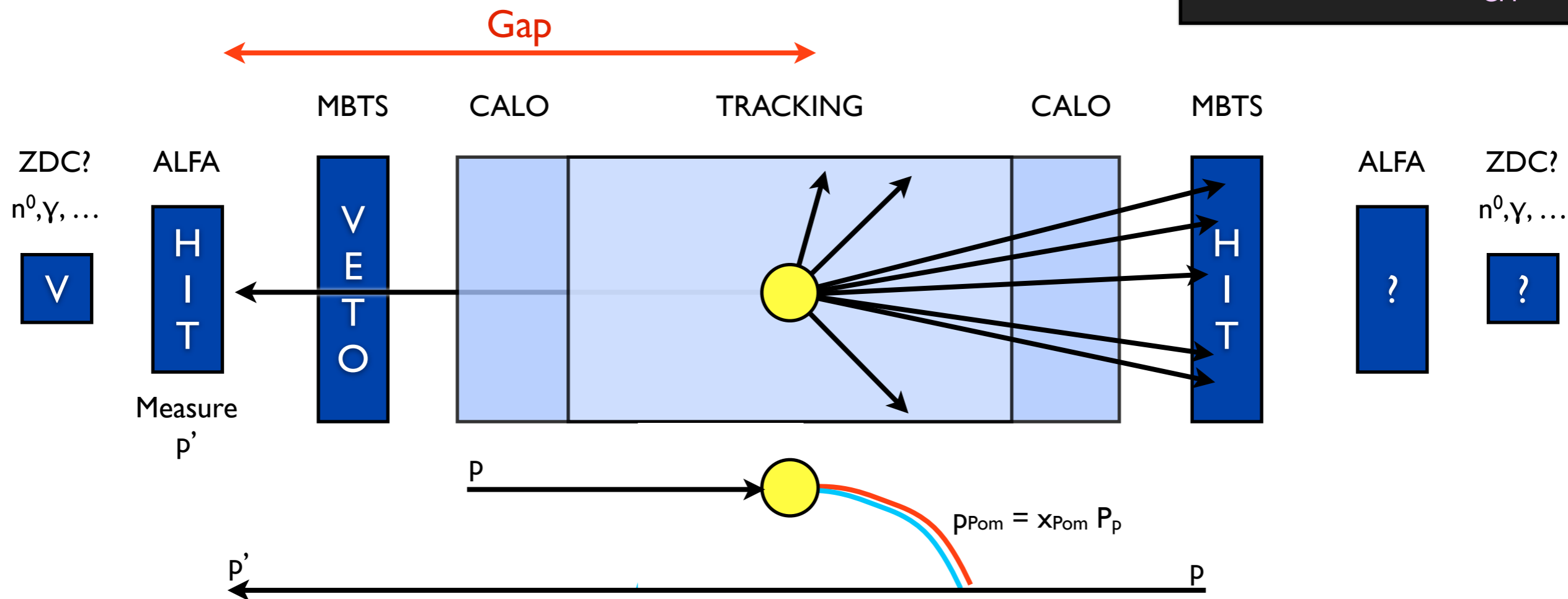
Glueball-Proton Collider
with variable E_{CM}



(Some) Opportunities with ALFA + ATLAS

Single Diffraction

Glueball-Proton Collider
with variable E_{CM}



SD DIJETS

- * Mass Spectrum (how high can you go?)
- * Underlying Event in SD DIJET events
- * Dijet Decorrelation $\Delta\varphi_{jj}$
- * SD FOUR JETS (MPI in diffraction!)

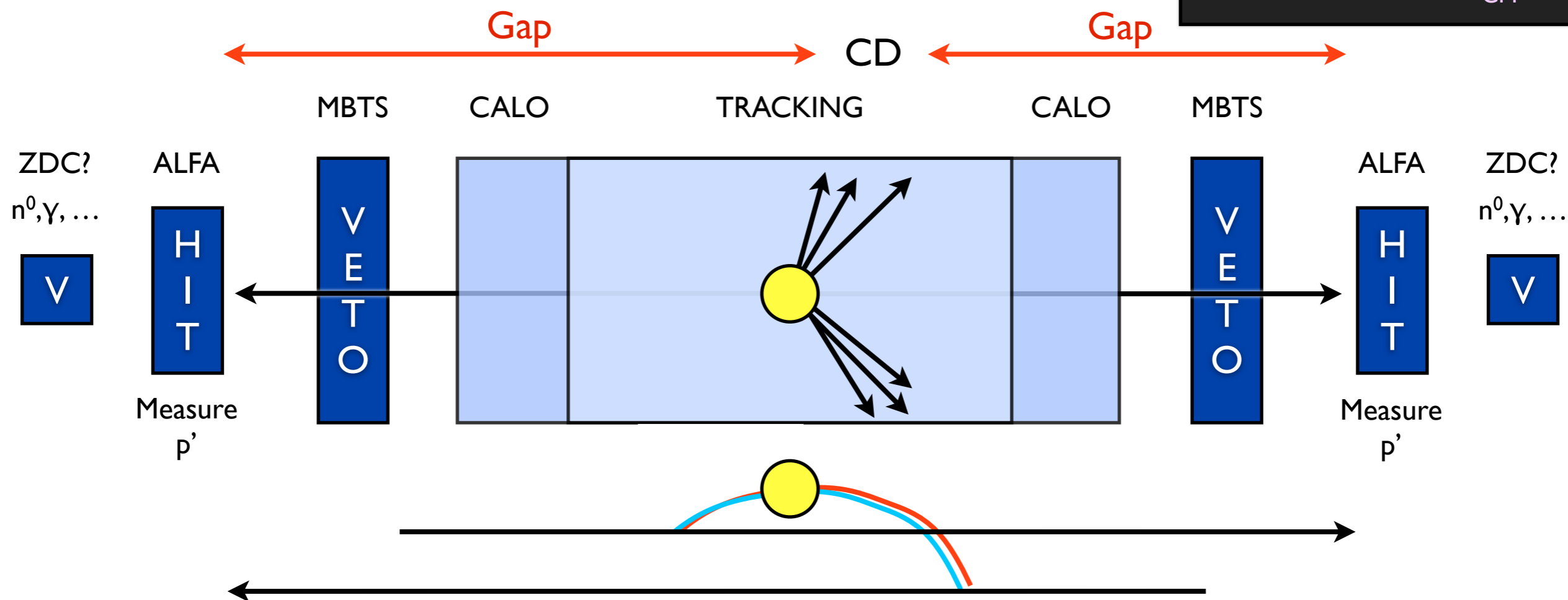
SD: Identified Particles

- * Λ and K_s
- * Other identified particles?
- * Compare to minimum bias

(Some) Opportunities with ALFA + ATLAS

Central Diffraction

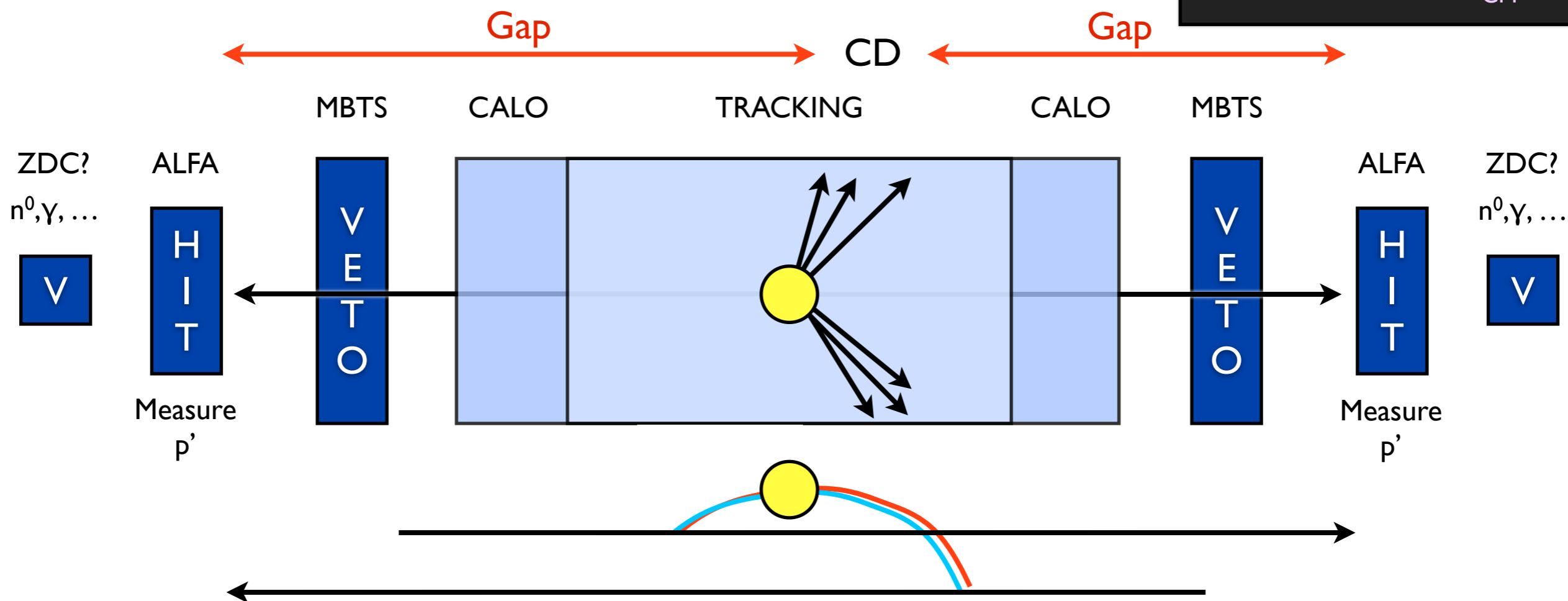
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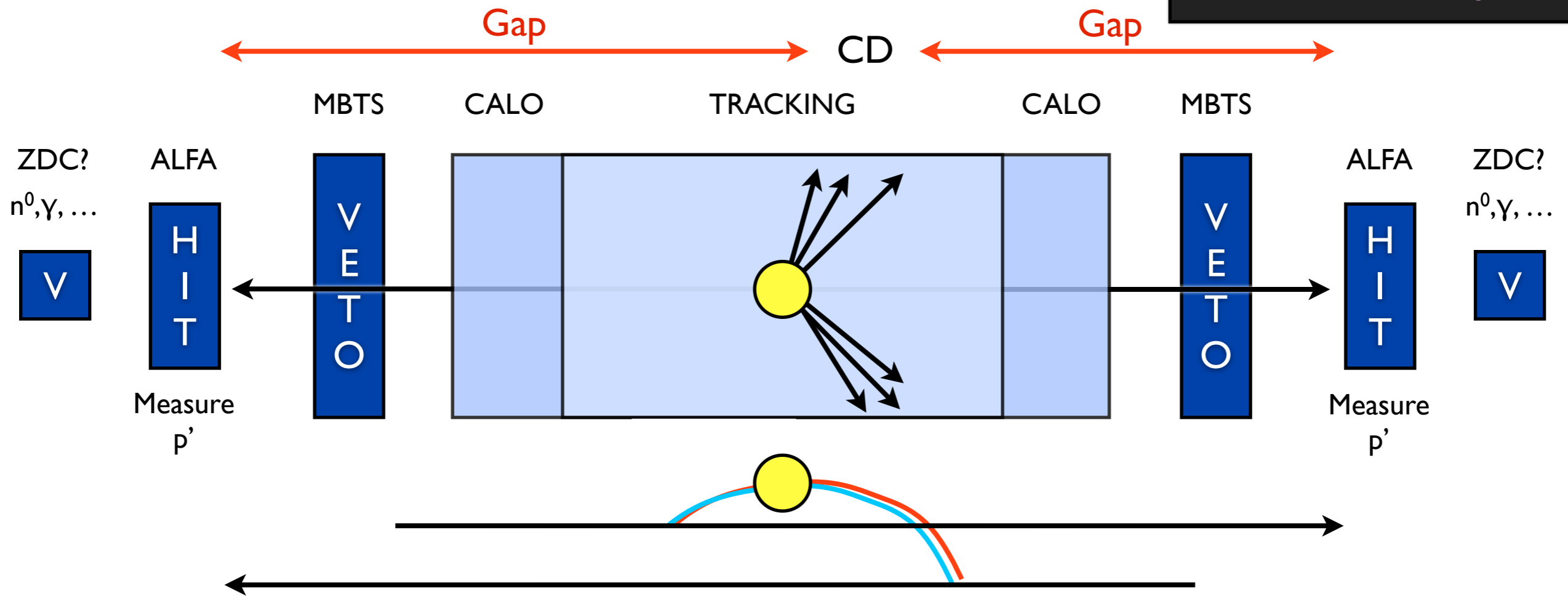
CD

- * Mass Spectrum (how high can you go?)
- * $Mass^2 = x_{Pom1} x_{Pom2} S$
- * Rapidity of system $\rightarrow x_{Pom1} / x_{Pom2}$

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Central Diffraction

Glueball-Glueball Collider
with variable E_{CM}



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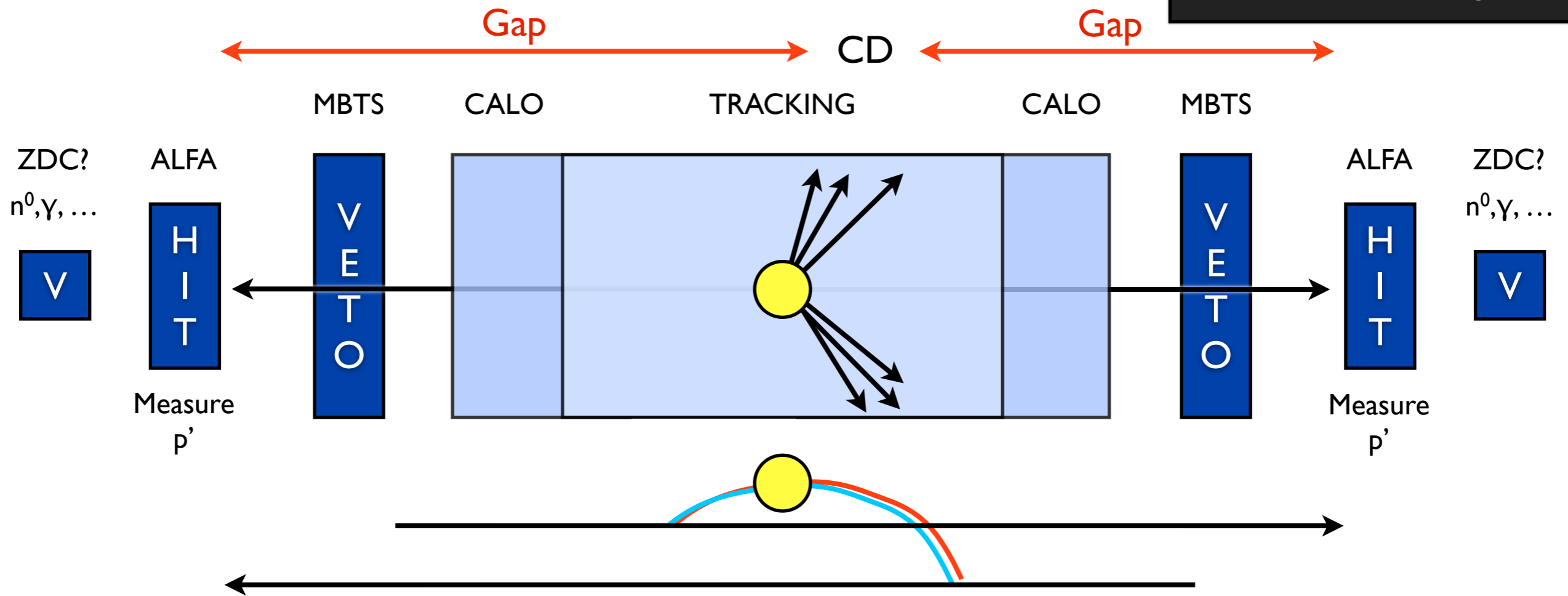
CD JETS

- * Underlying Event
- * Dijet Decorrelation, $\Delta\phi_{jj}$

(Some) Opportunities with ALFA + ATLAS

Central Diffraction

Glueball-Glueball Collider with variable E_{CM}

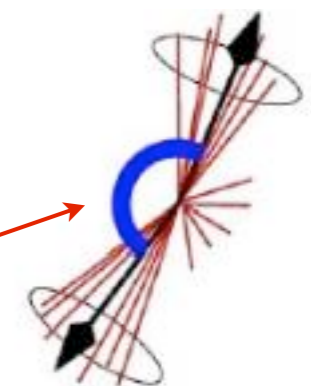


CD

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- * $Mass^2 = x_{Pom1} x_{Pom2} S$
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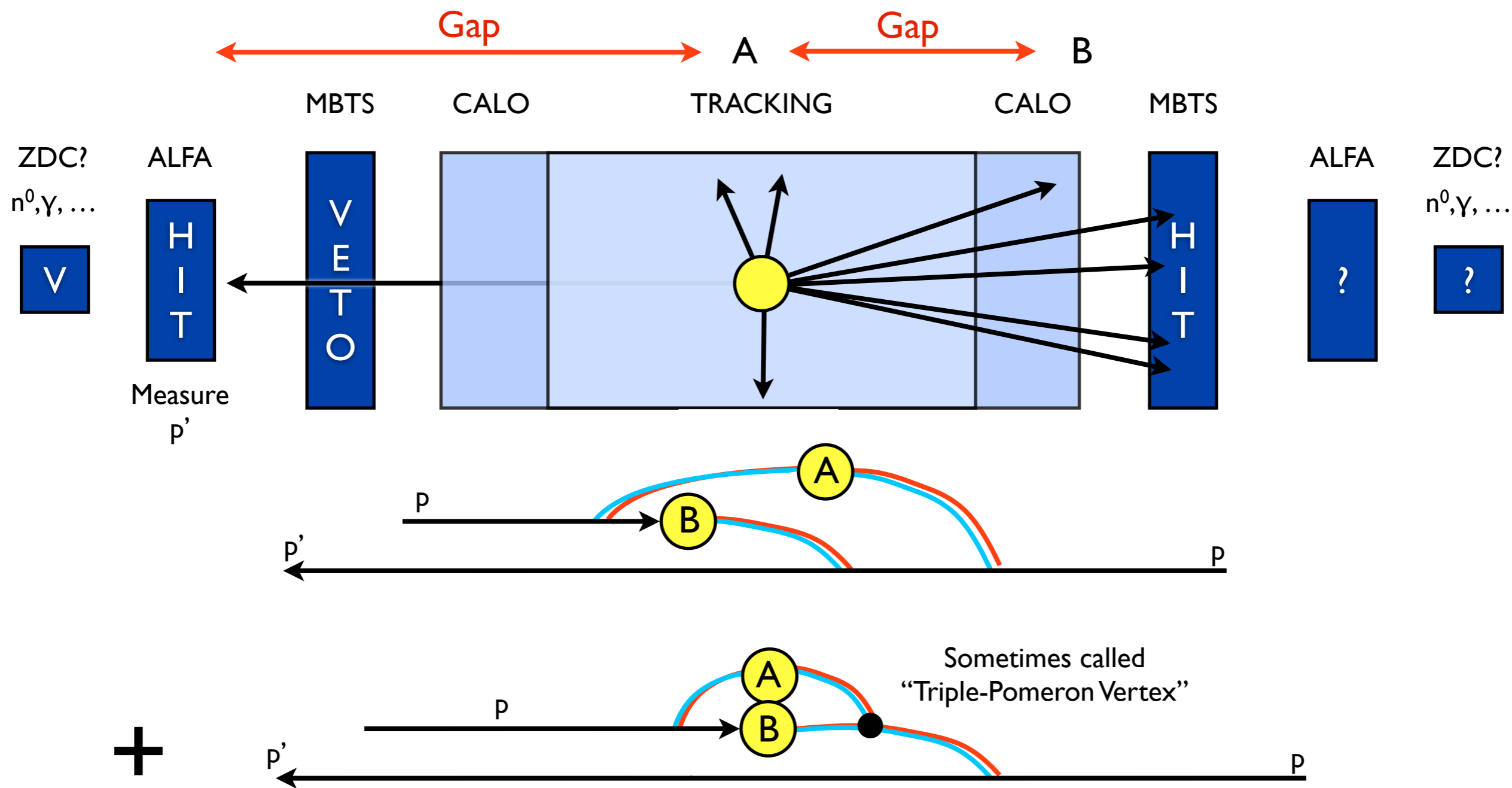
CD JETS

- * Underlying Event
- * Dijet Decorrelation, $\Delta\varphi_{jj}$



(Some) Opportunities with ALFA + ATLAS

Multi-Gap Diffraction (= Subset of Single-Gap)



Wait ... is this Crazy?

Best tuning result (and default in PYTHIA)

Obtained with $\alpha_s(M_Z) \approx 0.14$

\neq World Average = 0.1176 ± 0.0020

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Value of α_s depends on the order and scheme

MC \approx Leading Order + LL resummation

Other LO extractions of $\alpha_s \approx 0.13 - 0.14$

Effective scheme interpreted as "CMW" $\rightarrow 0.13$;

2-loop running $\rightarrow 0.127$; NLO $\rightarrow 0.12$?

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Not so crazy

Tune/measure even pQCD parameters with the actual generator.

Sanity check = consistency with other determinations at a similar formal order, within the uncertainty at that order (including a CMW-like scheme redefinition to go to 'MC scheme')

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Improve \rightarrow Matching at LO and NLO