# Model Building for Lepton Mixing and Neutrino Masses 

C. Hagedorn

EC 'Universe', TUM, Germany

Frontiers in Particle Physics: From Dark Matter to the LHC and Beyond, 18.01. - 24.01.2014, Aspen, USA

## Outline

- introduction: lepton mixing
- origin of mixing
- different approaches
- finite, discrete, non-abelian symmetry $G_{f}$
- $G_{f}$ and CP
- $G_{f}$ and $m_{\text {lightest }}=0$
- $G_{f}=S U(3)_{L} \times S U(3)_{E} \times O(3)_{N}$
- comments on models
- conclusions \& outlook


## Parametrization of lepton mixing

Parametrization (PDG)

$$
U_{P M N S}=\tilde{U} \operatorname{diag}\left(1, e^{i \alpha / 2}, e^{i(\beta / 2+\delta)}\right)
$$

with

$$
\tilde{U}=\left(\begin{array}{ccc}
c_{12} c_{13} & s_{12} c_{13} & s_{13} e^{-i \delta} \\
-s_{12} c_{23}-c_{12} s_{23} s_{13} e^{i \delta} & c_{12} c_{23}-s_{12} s_{23} s_{13} e^{i \delta} & s_{23} c_{13} \\
s_{12} s_{23}-c_{12} c_{23} s_{13} e^{i \delta} & -c_{12} s_{23}-s_{12} c_{23} s_{13} e^{i \delta} & c_{23} c_{13}
\end{array}\right)
$$

and $s_{i j}=\sin \theta_{i j}, c_{i j}=\cos \theta_{i j}$
Jarlskog invariant $J_{C P}$

$$
\begin{aligned}
J_{C P} & =\operatorname{Im}\left[U_{P M N S, 11} U_{P M N S, 13}^{*} U_{P M N S, 31}^{*} U_{P M N S, 33}\right] \\
& =\frac{1}{8} \sin 2 \theta_{12} \sin 2 \theta_{23} \sin 2 \theta_{13} \cos \theta_{13} \sin \delta
\end{aligned}
$$

## Experimental results on lepton mixing

Lepton mixing parameters as of end 2013 (Capozzi et al. (1"13))


## Experimental results on lepton mixing

Lepton mixing parameters as of end 2013 (Capozzi et al. ("13))


## Experimental results on lepton mixing

## Latest global fits $\mathrm{NH}[\mathrm{IH}] \quad$ (Capozzietal. ('13))

$$
\left.\left.\begin{array}{c}
\text { best fit and } 1 \sigma \text { error } \\
3 \sigma \text { range } \\
\sin ^{2} \theta_{13}=0.0234[9]_{-0.0018[21]}^{+0.0022[1]} \\
\sin ^{2} \theta_{12}=0.308_{-0.017}^{+0.017}
\end{array}\right) 0.0 .259 \leq \sin ^{2} \theta_{12} \leq 0.359\right][8] \leq \sin ^{2} \theta_{13} \leq 0.0297[300] ~\left\{\begin{array}{cl}
\sin ^{2} \theta_{23}=\left\{\begin{array}{cl}
0.425[37]_{-0.027[9]}^{+0.029[59]} & 0.357[63] \leq \sin ^{2} \theta_{23} \leq 0.641[59] \\
{\left[0.531 \leq \sin ^{2} \theta_{23} \leq 0.610\right]}
\end{array}\right. \\
\delta=1.39[5] \pi_{-0.27[39] \pi}^{+0.33[24]} & 0 \leq \delta \leq 2 \pi \\
\alpha, \beta & \text { unconstrained }
\end{array}\right.
$$

## Experimental results on lepton mixing

Latest global fits $\mathrm{NH}[\mathrm{IH}] \quad$ (Capozzi et al. ('13))

$$
\left\|U_{P M N S}\right\| \approx\left(\begin{array}{ccc}
0.82 & 0.55 & 0.15 \\
0.40[39] & 0.65 & 0.64[5] \\
0.40[2] & 0.52 & 0.75[4]
\end{array}\right)
$$

and no information on Majorana phases
$\Downarrow$
Mismatch in lepton flavor space is large!

## Origin of lepton mixing

- interpret this mismatch in lepton flavor space as mismatch of residual symmetries $G_{\nu}$ and $G_{e}$
- if we want to predict lepton mixing, we have to derive this mismatch
- let us assume that there is a symmetry, broken to $G_{\nu}$ and $G_{e}$
- this symmetry is in the following a
finite, discrete, non-abelian symmetry $G_{f}$
(Blum et al. ('07), Lam ('07,'08), de Adelhart Toorop et al. ('11))
[Masses do not play a role in this approach.]


## Non-trivial breaking of $\boldsymbol{G}_{f}$

## Idea:

Derivation of the lepton mixing from how $G_{f}$ is broken Interpretation as mismatch of embedding of different subgroups $G_{\nu}$ and $G_{e}$ into $G_{f}$


## Non-trivial breaking of $\boldsymbol{G}_{f}$

## Idea:

Derivation of the lepton mixing from how $G_{f}$ is broken Interpretation as mismatch of embedding of different subgroups $G_{\nu}$ and $G_{e}$ into $G_{f}$

$$
G_{f}
$$

neutrinos

$$
\begin{aligned}
& G_{\nu}=Z_{2} \times Z_{2} \text { (Majorana) } \\
& G_{\nu}=Z_{M} \quad \text { with } \quad M \geq 3 \text { (Dirac) }
\end{aligned}
$$

charged leptons
$G_{e}=Z_{N}$ with $\quad N \geq 3$

## Non-trivial breaking of $\boldsymbol{G}_{\boldsymbol{f}}$

$$
G_{f}
$$

neutrinos

$$
\begin{aligned}
& G_{\nu}=Z_{2} \times Z_{2} \text { (Majorana) } \\
& G_{\nu}=Z_{M} \quad \text { with } \quad M \geq 3 \text { (Dirac) }
\end{aligned}
$$

charged leptons

$$
G_{e}=Z_{N} \quad \text { with } \quad N \geq 3
$$

Further requirements

- two/three non-trivial angles $\Rightarrow$ irred. 3-dim. rep. of $G_{f}$
- fix angles through $G_{\nu}, G_{e} \Rightarrow 3$ families transform diff. under $G_{\nu}, G_{e}$


## Non-trivial breaking of $\boldsymbol{G}_{\boldsymbol{f}}$

- neutrino sector: $Z_{2} \times Z_{2}$ or $Z_{M}, M \geq 3$, preserved and generated by

$$
\begin{array}{ll} 
& \Omega_{\nu}^{\dagger} Z_{i} \Omega_{\nu}=Z_{i}^{\text {diag }}, \quad i=1,2 \\
\text { or } & \Omega_{\nu}^{\dagger} Z \Omega_{\nu}=Z^{\text {diag }} \text { with } \Omega_{\nu} \text { unitary }
\end{array}
$$

- charged lepton sector: $Z_{N}, N \geq 3$, preserved and generated by

$$
\Omega_{e}^{\dagger} Q_{e} \Omega_{e}=Q_{e}^{\text {diag }} \text { with } \Omega_{e} \text { unitary }
$$

## Non-trivial breaking of $\boldsymbol{G}_{\boldsymbol{f}}$

- neutrino sector: $Z_{2} \times Z_{2}$ or $Z_{M}, M \geq 3$, preserved

$$
\begin{array}{ll} 
& Z_{i}^{T} m_{\nu} Z_{i}=m_{\nu}, \quad i=1,2 \\
\text { or } \quad & Z^{\dagger} m_{\nu}^{\dagger} m_{\nu} Z=m_{\nu}^{\dagger} m_{\nu}
\end{array}
$$

- charged lepton sector: $Z_{N}, N \geq 3$, preserved

$$
Q_{e}^{\dagger} m_{e}^{\dagger} m_{e} Q_{e}=m_{e}^{\dagger} m_{e}
$$

## Non-trivial breaking of $\boldsymbol{G}_{f}$

- neutrino sector: $Z_{2} \times Z_{2}$ or $Z_{M}, M \geq 3$, preserved
$\rightarrow$ neutrino mass matrix $m_{\nu}$ fulfills
$\Omega_{\nu}^{T} m_{\nu} \Omega_{\nu}$ is diagonal
or $\quad \Omega_{\nu}^{\dagger} m_{\nu}^{\dagger} m_{\nu} \Omega_{\nu}$ is diagonal
- charged lepton sector: $Z_{N}, N \geq 3$, preserved
$\rightarrow$ charged lepton mass matrix $m_{e}$ fulfills

$$
\Omega_{e}^{\dagger} m_{e}^{\dagger} m_{e} \Omega_{e} \text { is diagonal }
$$

## Non-trivial breaking of $\boldsymbol{G}_{f}$

$$
U_{P M N S}=\Omega_{e}^{\dagger} \Omega_{\nu}
$$

- 3 unphysical phases are removed by $\Omega_{e} \rightarrow \Omega_{e} K_{e}$
- neutrino masses are made real and positive through $\Omega_{\nu} \rightarrow \Omega_{\nu} K_{\nu}$
- permutations of columns of $\Omega_{e}, \Omega_{\nu}$ are possible: $\Omega_{e, \nu} \rightarrow \Omega_{e, \nu} P_{e, \nu}$


## Predictions:

Mixing angles up to exchange of rows/columns
$J_{C P}$ up to sign
Majorana phases undetermined

## Some examples

- tri-bimaximal (TB) mixing from $S_{4} \quad$ (Lam ('07;'08))

$$
\left\|U_{P M N S}\right\|=\left(\begin{array}{ccc}
\frac{2}{\sqrt{6}} & \frac{1}{\sqrt{3}} & 0 \\
\frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} \\
\frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}}
\end{array}\right)
$$

## Some examples

- tri-bimaximal (TB) mixing from $S_{4} \quad$ (Lam ('07,'08))
- generators $S, T$ and $U$ of $S_{4}$

$$
\begin{aligned}
& S^{2}=1, \quad T^{3}=1, \quad U^{2}=1 \\
& (S T)^{3}=1, \quad(S U)^{2}=1, \quad(T U)^{2}=1, \quad(S T U)^{4}=1
\end{aligned}
$$

## Some examples

- tri-bimaximal (TB) mixing from $S_{4} \quad$ (Lam ('07;'08))
- generators $S, T$ and $U$ of $S_{4}$

$$
\begin{aligned}
& S^{2}=1, \quad T^{3}=1, \quad U^{2}=1 \\
& (S T)^{3}=1, \quad(S U)^{2}=1, \quad(T U)^{2}=1, \quad(S T U)^{4}=1
\end{aligned}
$$

- subgroups $G_{e}=Z_{3}$ and $G_{\nu}=Z_{2} \times Z_{2}$


## Some examples

- tri-bimaximal (TB) mixing from $S_{4} \quad$ (Lam ('07;'08))
- subgroups $G_{e}=Z_{3}$ and $G_{\nu}=Z_{2} \times Z_{2}$
- subgroup $G_{\nu}=Z_{2} \times Z_{2}$ generated by $Z_{1}=S$ and $Z_{2}=U$, diagonalized by $\Omega_{\nu}$

$$
Z_{1}=\left(\begin{array}{ccc}
-1 & 0 & 0 \\
0 & 1 & 0 \\
0 & 0 & -1
\end{array}\right) \quad, \quad Z_{2}=\left(\begin{array}{ccc}
1 & 0 & 0 \\
0 & 1 & 0 \\
0 & 0 & -1
\end{array}\right)
$$

## Some examples

- tri-bimaximal (TB) mixing from $S_{4} \quad$ (Lam ('07;'08))
- subgroups $G_{e}=Z_{3}$ and $G_{\nu}=Z_{2} \times Z_{2}$
- subgroup $G_{\nu}=Z_{2} \times Z_{2}$ generated by $Z_{1}=S$ and $Z_{2}=U$, diagonalized by $\Omega_{\nu}$

$$
\Omega_{\nu}=\left(\begin{array}{lll}
1 & 0 & 0 \\
0 & 1 & 0 \\
0 & 0 & 1
\end{array}\right)
$$

## Some examples

- tri-bimaximal (TB) mixing from $S_{4} \quad$ (Lam ('07;'08))
- subgroups $G_{e}=Z_{3}$ and $G_{\nu}=Z_{2} \times Z_{2}$
- subgroup $G_{\nu}=Z_{2} \times Z_{2}$ generated by $Z_{1}=S$ and $Z_{2}=U$, diagonalized by $\Omega_{\nu}$
- subgroup $G_{e}=Z_{3}$ generated by $Q_{e}=T$, diagonalized by $\Omega_{e}$

$$
Q_{e}=\frac{1}{2}\left(\begin{array}{ccc}
1 & \sqrt{2} & 1 \\
\sqrt{2} & 0 & -\sqrt{2} \\
-1 & \sqrt{2} & -1
\end{array}\right)
$$

## Some examples

- tri-bimaximal (TB) mixing from $S_{4} \quad$ (Lam ('07;'08))
- subgroups $G_{e}=Z_{3}$ and $G_{\nu}=Z_{2} \times Z_{2}$
- subgroup $G_{\nu}=Z_{2} \times Z_{2}$ generated by $Z_{1}=S$ and $Z_{2}=U$, diagonalized by $\Omega_{\nu}$
- subgroup $G_{e}=Z_{3}$ generated by $Q_{e}=T$, diagonalized by $\Omega_{e}$

$$
\Omega_{e}=\left(\begin{array}{ccc}
\sqrt{2 / 3} & -1 / \sqrt{6} & -1 / \sqrt{6} \\
1 / \sqrt{3} & 1 / \sqrt{3} & 1 / \sqrt{3} \\
0 & i / \sqrt{2} & -i / \sqrt{2}
\end{array}\right)
$$

## Some examples

- tri-bimaximal (TB) mixing from $S_{4} \quad$ (Lam ('07;'08))
- subgroups $G_{e}=Z_{3}$ and $G_{\nu}=Z_{2} \times Z_{2}$
- subgroup $G_{\nu}=Z_{2} \times Z_{2}$ generated by $Z_{1}=S$ and $Z_{2}=U$, diagonalized by $\Omega_{\nu}$
- subgroup $G_{e}=Z_{3}$ generated by $Q_{e}=T$, diagonalized by $\Omega_{e}$
- PMNS mixing matrix

$$
U_{P M N S}=\Omega_{e}^{\dagger} \Omega_{\nu}=\left(\begin{array}{ccc}
\sqrt{2 / 3} & 1 / \sqrt{3} & 0 \\
-1 / \sqrt{6} & 1 / \sqrt{3} & -i / \sqrt{2} \\
-1 / \sqrt{6} & 1 / \sqrt{3} & i / \sqrt{2}
\end{array}\right)
$$

## Some examples

- series $\Delta\left(6 n^{2}\right)$ of subgroups of $S U(3)$ with faithful irred. 3-dim. reps.
- isomorphic to $\left(Z_{n} \times Z_{n}\right) \rtimes S_{3}$; described with four generators
- generic form of mixing patterns for Majorana neutrinos

$$
U_{P M N S}=U_{T B} R_{13}(\theta)
$$

and $\theta$ depends on $n$ (King et al. ('13)), i.e. mixing angles are of the form

$$
\begin{gathered}
\sin ^{2} \theta_{12}=\frac{1}{2+\cos 2 \theta}, \sin ^{2} \theta_{23}=\frac{1}{2}\left(1-\frac{\sqrt{3} \sin 2 \theta}{2+\cos 2 \theta}\right) \text { and } \sin ^{2} \theta_{13}=\frac{2}{3} \sin ^{2} \theta \\
\delta=0, \pi
\end{gathered}
$$

## Some examples

- series $\Delta\left(6 n^{2}\right)$ of subgroups of $S U(3)$ with faithful irred. 3-dim. reps.
- isomorphic to $\left(Z_{n} \times Z_{n}\right) \rtimes S_{3}$; described with four generators
- generic form of mixing patterns for Majorana neutrinos

$$
U_{P M N S}=U_{T B} R_{13}(\theta)
$$

and $\theta$ depends on $n$ (King et al. ('13))

- we conjectured (de Adelhart Toorop et al. ('11))

$$
\begin{aligned}
& \theta=\frac{\pi}{n} \text { for } G_{e}=Z_{3}, G_{\nu}=Z_{2} \times Z_{2} \\
& \theta=\frac{\pi}{3 n} \text { for } G_{e}=Z_{3}, G_{\nu}=Z_{2} \times Z_{2}
\end{aligned}
$$

## Some examples

- series $\Delta\left(6 n^{2}\right)$ of subgroups of $S U(3)$ with faithful irred. 3-dim. reps.
- isomorphic to $\left(Z_{n} \times Z_{n}\right) \rtimes S_{3}$; described with four generators
- generic form of mixing patterns for Majorana neutrinos

$$
U_{P M N S}=U_{T B} R_{13}(\theta)
$$

and $\theta$ depends on $n$ (King et al. ('13))

- we conjectured (de Adelhart Toorop et al. ('11)) [for Dirac neutrinos]

$$
\begin{aligned}
& \theta=\frac{\pi}{2 n} \text { for } G_{e}=Z_{3}, G_{\nu}=Z_{2 n} \\
& \theta=\frac{\pi}{6 n} \text { for } G_{e}=Z_{3}, G_{\nu}=Z_{2 n}
\end{aligned}
$$

## Variants of non-trivial breaking of $\boldsymbol{G}_{\boldsymbol{f}}$

Reduce residual symmetry in charged lepton or neutrino sector (Ge et al. ('11), Hernandez/Smirnov ('12,'13), Lavoura/Ludl ('14))

$$
G_{f}
$$

neutrinos

$$
G_{\nu}=Z_{2}
$$

$$
G_{e}=Z_{N} \quad \text { with } \quad N \geq 3
$$

$$
\Downarrow
$$

- $G_{\nu}$ cannot distinguish all three generations anymore
- only one column of PMNS mixing matrix is fixed; rest depends on free parameter


## Origin of lepton mixing

- interpret this mismatch in lepton flavor space as mismatch of residual symmetries $G_{\nu}$ and $G_{e}$
- if we want to predict lepton mixing, we have to derive this mismatch
- let us assume that there is a symmetry, broken to $G_{\nu}$ and $G_{e}$
- this symmetry is in the following a combination of a
finite, discrete, non-abelian symmetry $G_{f}$ and CP
(Feruglio et al. ('12,'13), Holthausen et al. ('12), Grimus/Rebelo ('95))
[Masses do not play a role in this approach.]


## Non-trivial breaking of $\boldsymbol{G}_{f}$ and $\boldsymbol{C P}$

Idea:
Relate lepton mixing to how $G_{f}$ and $C P$ are broken Interpretation as mismatch of embedding of different subgroups $G_{\nu}$ and $G_{e}$ into $G_{f}$ and $C P$

neutrinos
$G_{\nu}$
charged leptons
$G_{e}$

## Non-trivial breaking of $\boldsymbol{G}_{f}$ and $\boldsymbol{C P}$

Idea:
Relate lepton mixing to how $G_{f}$ and $C P$ are broken Interpretation as mismatch of embedding of different subgroups $G_{\nu}$ and $G_{e}$ into $G_{f}$ and $C P$

$$
G_{f} \& \mathrm{CP}
$$

neutrinos
assume 3 generations
of Majorana neutrinos
charged leptons
distinguish 3 generations

## Non-trivial breaking of $\boldsymbol{G}_{f}$ and $\boldsymbol{C P}$

Idea:
Relate lepton mixing to how $G_{f}$ and $C P$ are broken Interpretation as mismatch of embedding of different subgroups $G_{\nu}$ and $G_{e}$ into $G_{f}$ and $C P$

$$
G_{f} \& \mathrm{CP}
$$

neutrinos

$$
G_{\nu}=Z_{2} \times \mathrm{CP}
$$

charged leptons

$$
G_{e}=Z_{N} \quad \text { with } \quad N \geq 3
$$

An example: $\mu \tau$ reflection symmetry (Harrison/Scott ('02;'04), Grimus/Lavoura ('O3))

## Non-trivial breaking of $\boldsymbol{G}_{f}$ and $\boldsymbol{C P}$



Further requirements

- two/three non-trivial mixing angles $\Rightarrow$ irred 3-dim rep of $G_{f}$
- "maximize" predictability of approach


## Non-trivial breaking of $\boldsymbol{G}_{f}$ and $\boldsymbol{C P}$

Consistency conditions have to be fulfilled:

- definition of generalized CP transformation (see e.g. Branco et al. ('111)

$$
\phi_{i} \xrightarrow{\mathrm{CP}} X_{i j} \phi_{j}^{\star} \text { with } X X^{\dagger}=X X^{\star}=\mathbb{1}
$$

- "closure" relations

$$
\left(X^{\star} A X\right)^{\star}=A^{\prime} \quad \text { with in general } \quad A \neq A^{\prime} \quad \text { and } \quad A, A^{\prime} \in G_{f}
$$

- realize direct product of $Z_{2} \subset G_{f}$ and $C P ; Z$ generates $Z_{2}$

$$
X Z^{\star}-Z X=0
$$

## Non-trivial breaking of $\boldsymbol{G}_{f}$ and $\boldsymbol{C P}$

- neutrino sector: $Z_{2} \times \mathrm{CP}$ preserved and generated by

$$
\begin{aligned}
& X=\Omega_{\nu} \Omega_{\nu}^{T} \text { and } Z=\Omega_{\nu} Z^{\text {diag }} \Omega_{\nu}^{\dagger} \\
& Z^{\text {diag }}=\operatorname{diag}(-1,1,-1) \text { and } \Omega_{\nu} \text { unitary }
\end{aligned}
$$

- charged lepton sector: $Z_{N}, N \geq 3$, preserved and generated by

$$
\Omega_{e}^{\dagger} Q_{e} \Omega_{e}=Q_{e}^{\text {diag }} \text { with } \Omega_{e} \text { unitary }
$$

## Non-trivial breaking of $\boldsymbol{G}_{f}$ and $\boldsymbol{C P}$

- neutrino sector: $Z_{2} \times \mathrm{CP}$ preserved

$$
Z^{T} m_{\nu} Z=m_{\nu} \quad \text { and } \quad X m_{\nu} X=m_{\nu}^{\star}
$$

- charged lepton sector: $Z_{N}, N \geq 3$, preserved

$$
Q_{e}^{\dagger} m_{e}^{\dagger} m_{e} Q_{e}=m_{e}^{\dagger} m_{e}
$$

## Non-trivial breaking of $\boldsymbol{G}_{f}$ and $\boldsymbol{C P}$

- neutrino sector: $Z_{2} \times \mathrm{CP}$ preserved
$\rightarrow$ neutrino mass matrix $m_{\nu}$ fulfills

$$
Z^{\text {diag }}\left[\Omega_{\nu}^{T} m_{\nu} \Omega_{\nu}\right] Z^{\text {diag }}=\left[\Omega_{\nu}^{T} m_{\nu} \Omega_{\nu}\right] \quad \text { and }\left[\Omega_{\nu}^{T} m_{\nu} \Omega_{\nu}\right]=\left[\Omega_{\nu}^{T} m_{\nu} \Omega_{\nu}\right]^{\star}
$$

- charged lepton sector: $Z_{N}, N \geq 3$, preserved
$\rightarrow$ charged lepton mass matrix $m_{e}$ fulfills

$$
\Omega_{e}^{\dagger} m_{e}^{\dagger} m_{e} \Omega_{e} \text { is diagonal }
$$

## Non-trivial breaking of $\boldsymbol{G}_{f}$ and $\boldsymbol{C P}$

- neutrino sector: $Z_{2} \times \mathrm{CP}$ preserved
$\rightarrow$ neutrino mass matrix $m_{\nu}$ is diagonalized by

$$
\Omega_{\nu}(X, Z) R(\theta) K_{\nu}
$$

- charged lepton sector: $Z_{N}, N \geq 3$, preserved
$\rightarrow$ charged lepton mass matrix $m_{e}$ fulfills

$$
\Omega_{e}^{\dagger} m_{e}^{\dagger} m_{e} \Omega_{e} \text { is diagonal }
$$

## Non-trivial breaking of $\boldsymbol{G}_{f}$ and $\boldsymbol{C P}$

$$
U_{P M N S}=\Omega_{e}^{\dagger} \Omega_{\nu} R(\theta) K_{\nu}
$$

- 3 unphysical phases are removed by $\Omega_{e} \rightarrow \Omega_{e} K_{e}$
- $U_{P M N S}$ contains one parameter $\theta$
- permutations of rows and columns of $U_{P M N S}$ possible



## Predictions:

Mixing angles and CP phases are predicted in terms of one parameter $\theta$ only, up to permutations of rows/columns

## Some example: $\boldsymbol{S}_{4}$ and $\boldsymbol{C P}$

Maximal $\theta_{23}$ and $\delta$ from $G_{e}=Z_{3}, Z=S$ and certain $X$
(Harrison/Scott ('02,'04), Grimus/Lavoura ('03), Feruglio et al. ('12,'13))

$$
\begin{gathered}
U_{P M N S}=\frac{1}{\sqrt{6}}\left(\begin{array}{ccc}
2 \cos \theta & \sqrt{2} & 2 \sin \theta \\
-\cos \theta+i \sqrt{3} \sin \theta & \sqrt{2} & -\sin \theta-i \sqrt{3} \cos \theta \\
-\cos \theta-i \sqrt{3} \sin \theta & \sqrt{2} & -\sin \theta+i \sqrt{3} \cos \theta
\end{array}\right) K_{\nu} \\
\sin ^{2} \theta_{13}=\frac{2}{3} \sin ^{2} \theta, \quad \sin ^{2} \theta_{12}=\frac{1}{2+\cos 2 \theta}, \quad \sin ^{2} \theta_{23}=\frac{1}{2} \\
\text { and } \\
|\sin \delta|=1, \quad\left|J_{C P}\right|=\frac{|\sin 2 \theta|}{6 \sqrt{3}}, \quad \sin \alpha=0, \quad \sin \beta=0
\end{gathered}
$$

## Some example: $\boldsymbol{S}_{4}$ and $\boldsymbol{C P}$

Maximal $\theta_{23}$ and $\delta$ from $G_{e}=Z_{3}, Z=S$ and certain $X$


## Some example: $\boldsymbol{S}_{4}$ and $\boldsymbol{C P}$

Maximal $\theta_{23}$ and $\delta$ from $G_{e}=Z_{3}, Z=S$ and certain $X$


## Some example: $\boldsymbol{S}_{4}$ and $\boldsymbol{C P}$

Maximal $\theta_{23}$ and $\delta$ from $G_{e}=Z_{3}, Z=S$ and certain $X$


## Some example: $\boldsymbol{S}_{4}$ and $\boldsymbol{C P}$

Maximal $\theta_{23}$ and $\delta$ from $G_{e}=Z_{3}, Z=S$ and certain $X$

$$
\begin{aligned}
& \theta_{\mathrm{bf}} \approx 0.185, \quad \chi_{\min }^{2} \approx 18.4 \text { for } \theta_{23}<\pi / 4 \\
& \sin ^{2} \theta_{13}\left(\theta_{\mathrm{bf}}\right) \approx 0.023, \quad \sin ^{2} \theta_{12}\left(\theta_{\mathrm{bf}}\right) \approx 0.341, \\
& \left|J_{C P}\left(\theta_{\mathrm{bf}}\right)\right| \approx 0.0348
\end{aligned}
$$

## Origin of lepton mixing

- interpret this mismatch in lepton flavor space as mismatch of residual symmetries $G_{\nu}$ and $G_{e}$
- if we want to predict lepton mixing, we have to derive this mismatch
- let us assume that there is a symmetry, broken to $G_{\nu}$ and $G_{e}$
- this symmetry is in the following a
finite, discrete, non-abelian symmetry $G_{f} \subset U(3)$
leading to $m_{\text {lightest }}=0$
(Joshipura/Patel ('13))


## Non-trivial breaking of $\boldsymbol{G}_{f}$ and $\boldsymbol{m}_{\text {lightest }}=\mathbf{0}$

$$
G_{f}
$$



Majorana neutrinos
$G_{\nu}=Z_{2} \times Z_{M}$ with $M \geq 3$
$G_{\nu}=Z_{M}$ with $M \geq 3$ even
charged leptons

$$
G_{e}=Z_{N} \quad \text { with } \quad N \geq 3
$$

Further requirements

- $G_{\nu}$ and $G_{e}$ distinguish three generations
- $Z_{M} \subset G_{\nu}, M \geq 3$ forbids one of the three neutrino masses crucial: ordering of neutrino masses is not arbitrary anymore!


## Some example

- inverted ordering and bi-maximal mixing from $G_{f}=S_{4}(2)$
- $G_{f}$ is isomorphic to $A_{4} \rtimes Z_{4}$
- group "similar" to $S_{4}$ also described with three generators
- take $G_{e}=Z_{4}$ and $G_{\nu}=Z_{4}$

$$
Q_{e}=\left(\begin{array}{ccc}
-i & 0 & 0 \\
0 & 0 & -i \\
0 & i & 0
\end{array}\right) \quad, \quad \tilde{Z}=\left(\begin{array}{ccc}
0 & i & 0 \\
-i & 0 & 0 \\
0 & 0 & -i
\end{array}\right)
$$

## Some example

- inverted ordering and bi-maximal mixing from $G_{f}=S_{4}(2)$
- $G_{f}$ is isomorphic to $A_{4} \rtimes Z_{4}$
- group "similar" to $S_{4}$ also described with three generators
- take $G_{e}=Z_{4}$ and $G_{\nu}=Z_{4}$
- $\Omega_{e}$ is

$$
\Omega_{e}=\frac{1}{\sqrt{2}}\left(\begin{array}{ccc}
\sqrt{2} & 0 & 0 \\
0 & -i & i \\
0 & 1 & 1
\end{array}\right)
$$

## Some example

- inverted ordering and bi-maximal mixing from $G_{f}=S_{4}(2)$
- $G_{f}$ is isomorphic to $A_{4} \rtimes Z_{4}$
- group "similar" to $S_{4}$ also described with three generators
- take $G_{e}=Z_{4}$ and $G_{\nu}=Z_{4}$
- $\tilde{Z}$ has eigenvalues $-1,+1,-i$ and $\Omega_{\nu}$ is

$$
\Omega_{\nu}=\frac{1}{\sqrt{2}}\left(\begin{array}{ccc}
-i & i & 0 \\
1 & 1 & 0 \\
0 & 0 & \sqrt{2}
\end{array}\right)
$$

## Some example

- inverted ordering and bi-maximal mixing from $G_{f}=S_{4}(2)$
- $G_{f}$ is isomorphic to $A_{4} \rtimes Z_{4}$
- group "similar" to $S_{4}$ also described with three generators
- take $G_{e}=Z_{4}$ and $G_{\nu}=Z_{4}$
- PMNS mixing matrix

$$
U_{P M N S}=\Omega_{e}^{\dagger} \Omega_{\nu}=\frac{1}{2}\left(\begin{array}{ccc}
-\sqrt{2} i & \sqrt{2} i & 0 \\
i & i & \sqrt{2} \\
-i & -i & \sqrt{2}
\end{array}\right)
$$

## Origin of lepton mixing

- interpret this mismatch in lepton flavor space as mismatch of residual symmetries $G_{\nu}$ and $G_{e}$
- if we want to predict lepton mixing, we have to derive this mismatch
- let us assume that there is a symmetry, broken to $G_{\nu}$ and $G_{e}$
- this symmetry is in the following the
continuous symmetry $G_{f}=S U(3)_{L} \times S U(3)_{E} \times O(3)_{N}$ (Cirigliano et al. ('05), Alonso et al.('12), Alonso et al. ('13))


## Non-trivial breaking of continuous $\boldsymbol{G}_{f}$

- Lagrangian relevant for lepton masses, assuming type I seesaw mechanism

$$
\bar{l} Y_{E} H E_{R}+\bar{l} Y_{\nu} \tilde{H} N_{R}+\frac{1}{2} N_{R}^{t} M N_{R}
$$

- $Y_{E} \sim(3, \overline{3}, 1), Y_{\nu} \sim(3,1,3)$ under $G_{f}$
- $M \propto \mathbb{1}$ leaves $O(3)_{N}$ invariant


## Non-trivial breaking of continuous $\boldsymbol{G}_{f}$

- Lagrangian relevant for lepton masses, assuming type I seesaw mechanism

$$
\bar{l} Y_{E} H E_{R}+\bar{l} Y_{\nu} \tilde{H} N_{R}+\frac{1}{2} N_{R}^{t} M N_{R}
$$

- study of potential shows ("natural solutions")

$$
\left\langle Y_{E}\right\rangle \propto \operatorname{diag}(1,1,1)
$$

or

$$
\left\langle Y_{E}\right\rangle \propto \operatorname{diag}(0,0,1)
$$

## Non-trivial breaking of continuous $\boldsymbol{G}_{f}$

- Lagrangian relevant for lepton masses, assuming type I seesaw mechanism

$$
\bar{l} Y_{E} H E_{R}+\bar{l} Y_{\nu} \tilde{H} N_{R}+\frac{1}{2} N_{R}^{t} M N_{R}
$$

- study of potential shows ("natural solutions")

$$
S U(3)_{L} \times S U(3)_{E} \quad \xrightarrow{\left\langle Y_{E}\right\rangle} \quad S U(3)_{L+E}
$$

or

$$
\begin{array}{ll}
S U(3)_{L} \times S U(3)_{E} \quad \xrightarrow{\left\langle Y_{E}\right\rangle} \quad & S U(2)_{L} \times S U(2)_{E} \times U(1)_{L+E} \\
\text { "chiral" solution }
\end{array}
$$

## Non-trivial breaking of continuous $\boldsymbol{G}_{f}$

- Lagrangian relevant for lepton masses, assuming type I seesaw mechanism

$$
\bar{l} Y_{E} H E_{R}+\bar{l} Y_{\nu} \tilde{H} N_{R}+\frac{1}{2} N_{R}^{t} M N_{R}
$$

- study of potential shows ("natural solutions")

$$
S U(3)_{L} \times O(3)_{N} \quad \xrightarrow{\left\langle Y_{\nu}\right\rangle} \quad O(3)_{L+N}
$$

and

$$
\left\langle Y_{\nu}\right\rangle \propto \frac{1}{\sqrt{2}}\left(\begin{array}{ccc}
\sqrt{2} & 0 & 0 \\
0 & 1 & -i \\
0 & 1 & i
\end{array}\right)
$$

## Non-trivial breaking of continuous $\boldsymbol{G}_{f}$

- Lagrangian relevant for lepton masses, assuming type I seesaw mechanism

$$
\bar{l} Y_{E} H E_{R}+\bar{l} Y_{\nu} \tilde{H} N_{R}+\frac{1}{2} N_{R}^{t} M N_{R}
$$

- study of potential shows ("natural solutions")

$$
S U(3)_{L} \times O(3)_{N} \quad \xrightarrow{\left\langle Y_{\nu}\right\rangle} \quad O(3)_{L+N}
$$

and

$$
m_{\nu} \propto\left(\begin{array}{lll}
1 & 0 & 0 \\
0 & 0 & 1 \\
0 & 1 & 0
\end{array}\right)
$$

## Non-trivial breaking of continuous $\boldsymbol{G}_{f}$

- Lagrangian relevant for lepton masses, assuming type I seesaw mechanism

$$
\bar{l} Y_{E} H E_{R}+\bar{l} Y_{\nu} \tilde{H} N_{R}+\frac{1}{2} N_{R}^{t} M N_{R}
$$

- study of potential shows ("natural solutions")

$$
\begin{array}{lll}
S U(3)_{L} \times S U(3)_{E} & \xrightarrow{\left\langle Y_{E}\right\rangle} \quad S U(2)_{L} \times S U(2)_{E} \times U(1)_{L+E} \\
S U(3)_{L} \times O(3)_{N} & \xrightarrow{\left\langle Y_{\nu}\right\rangle} & O(3)_{L+N}
\end{array}
$$

- residual symmetry is non-trivial

$$
S U(3)_{L} \times S U(3)_{E} \times O(3)_{N} \xrightarrow{\left\langle Y_{E}\right\rangle,\left\langle Y_{\nu}\right\rangle} \quad S U(2)_{E} \times U(1)_{L+N}
$$

## Non-trivial breaking of continuous $\boldsymbol{G}_{f}$

- results
- heavy tau lepton
- three degenerate light neutrinos $\rightarrow$ large $0 \nu \beta \beta$ signal
- maximal mixing $\theta_{23}=\pi / 4, \theta_{13}=0, \theta_{12}$ free
- maximal Majorana phase


## Non-trivial breaking of continuous $\boldsymbol{G}_{f}$

- results
- heavy tau lepton
- three degenerate light neutrinos $\rightarrow$ large $0 \nu \beta \beta$ signal
- maximal mixing $\theta_{23}=\pi / 4, \theta_{13}=0, \theta_{12}$ free
- maximal Majorana phase
- challenge: break the residual symmetry in controlled way in order to generate electron and muon mass, $\Delta m_{\mathrm{atm}}^{2}, \Delta m_{\mathrm{sol}}^{2}, \theta_{13}$ small
- heuristic: perturbations (Alonso et al. ('13))
- probably additional spurions necessary
(see quark sector (Espinosa et al. ('12), Fong/Nardi ('13)))


## Comments on models

In explicit models several challenges have to be tackled

- separation of symmetry breaking sectors and higher-order corrections from violation of this separation


## Comments on models

In explicit models several challenges have to be tackled

- separation of symmetry breaking sectors and higher-order corrections from violation of this separation
- construction of potential ("natural solutions"), stability under higher-order corrections (if $G_{f}$ is spontaneously broken)


## Comments on models

In explicit models several challenges have to be tackled

- separation of symmetry breaking sectors and higher-order corrections from violation of this separation
- construction of potential ("natural solutions"), stability under higher-order corrections (if $G_{f}$ is spontaneously broken)
- non-canonical kinetic terms


## Comments on models

In explicit models several challenges have to be tackled

- separation of symmetry breaking sectors and higher-order corrections from violation of this separation
- construction of potential ("natural solutions"), stability under higher-order corrections (if $G_{f}$ is spontaneously broken)
- non-canonical kinetic terms
- corrections from RG running


## Comments on models

In explicit models several challenges have to be tackled

- separation of symmetry breaking sectors and higher-order corrections from violation of this separation
- construction of potential ("natural solutions"), stability under higher-order corrections (if $G_{f}$ is spontaneously broken)
- non-canonical kinetic terms
- corrections from RG running
- after all, additional predictions can be possible in concrete models


## Conclusions

- until now no "standard" theory for fermion masses and mixing, especially in the lepton sector
- flavor symmetries might be the key
- finite, discrete, non-abelian $G_{f}$
- $G_{f}$ and CP
- $G_{f} \subset U(3)$ and $m_{\text {lightest }}=0$
- $G_{f}=S U(3)_{L} \times S U(3)_{E} \times O(3)_{N}$


## Outlook

- complete study of finite, discrete $S U(3)$ and $U(3)$ subgroups
- more examples and models with $G_{f}$ and CP
- more on Dirac neutrinos?
- quarks and leptons described with same $G_{f}$ ?
- sterile neutrinos?

Thank you for your attention.

