

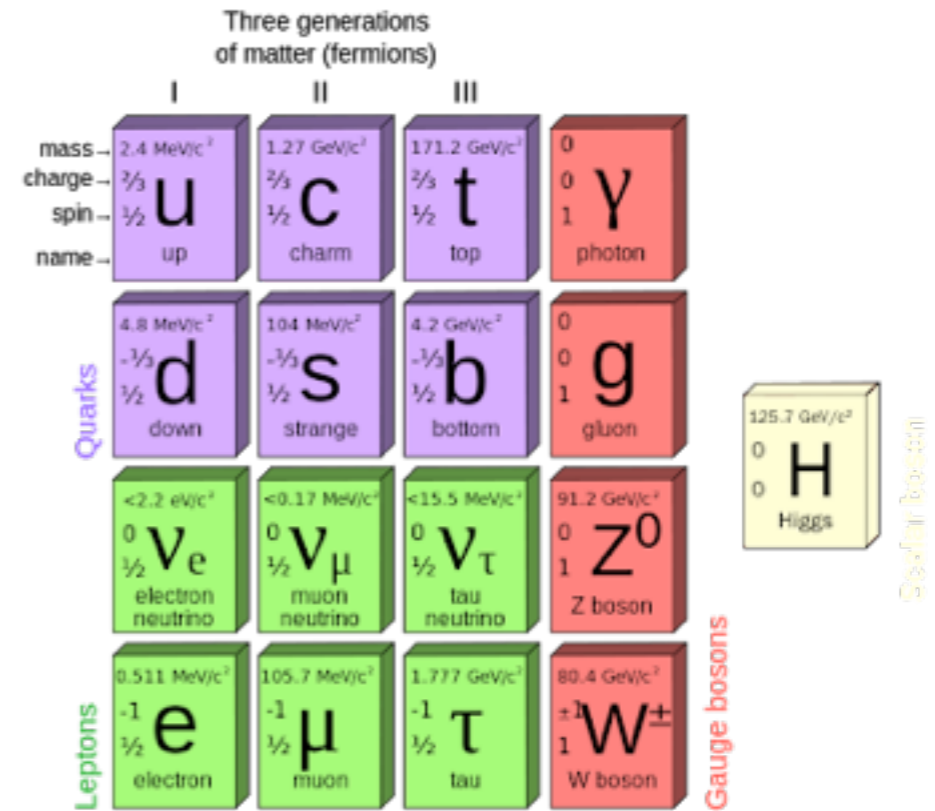
# Truth and **B**eauty Together: Evidence for s-channel Single Top Production



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University of Rochester  
SLAC EPP Seminar  
October 10th, 2013

# The Top (Truth) Quark

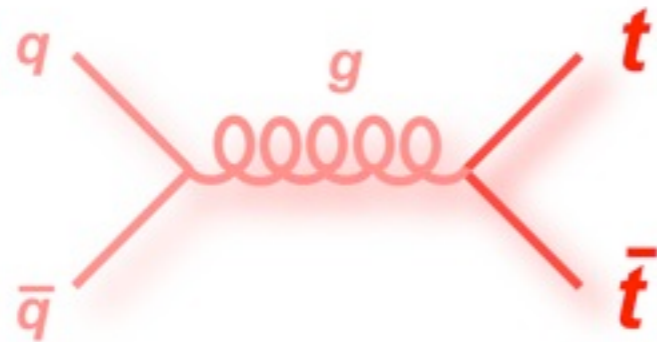
- Discovered by CDF and DØ at the Tevatron in 1995
- Heaviest known particle:  $173.2 \pm 0.9 \text{ GeV}$  ([arXiv:1305.3929](https://arxiv.org/abs/1305.3929))
  - couples very strongly to the Higgs because of its mass
- Sensitive to new physics
- Decays as a free quark: short lifetime
  - width was measured in single top analysis [PRD 85 091194 \(2012\)](https://arxiv.org/abs/1209.194)



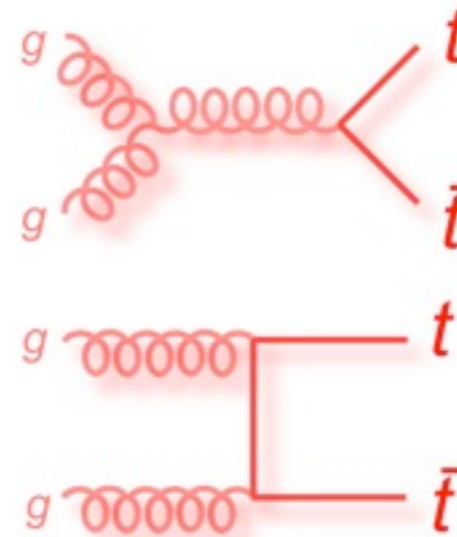
- Measurements:
  - production cross section
  - mass
  - angular properties of the decay products
  - width and lifetime
  - charge,  $m(t) - m(\bar{t})$

# Top Production at Hadron Colliders

- Strong interaction: top pair

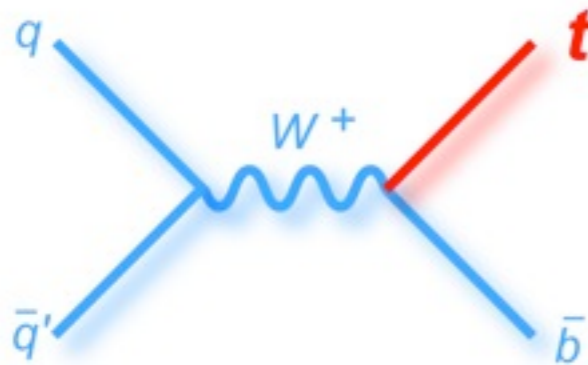


$q\bar{q}$  annihilation

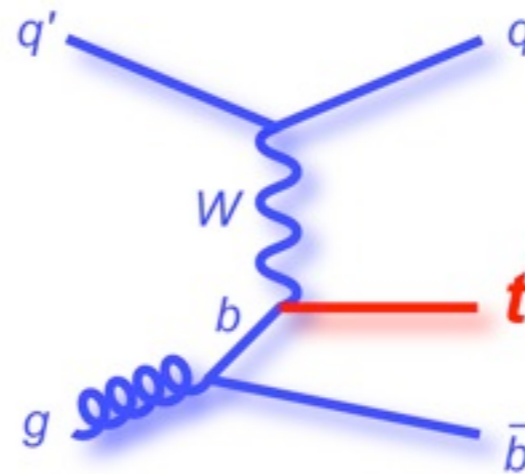


$gg$  fusion

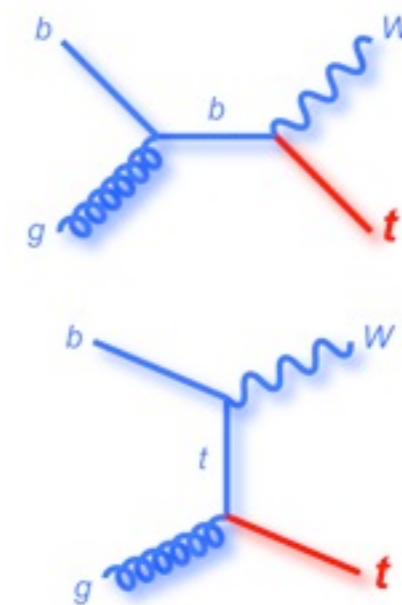
- Electroweak interaction: single top



s-channel ( $tb$ )



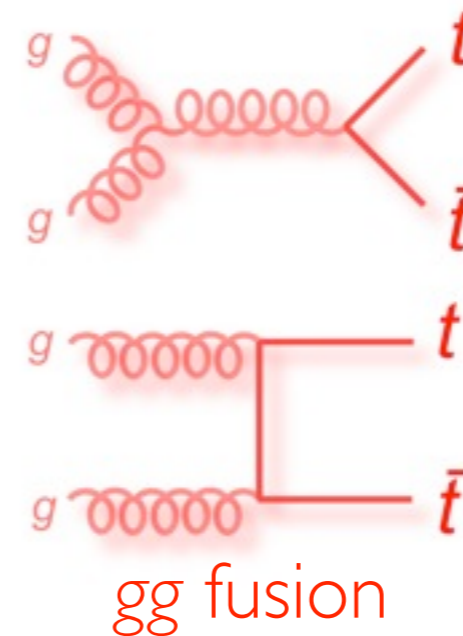
t-channel ( $tqb$ )



Associated ( $tW$ )

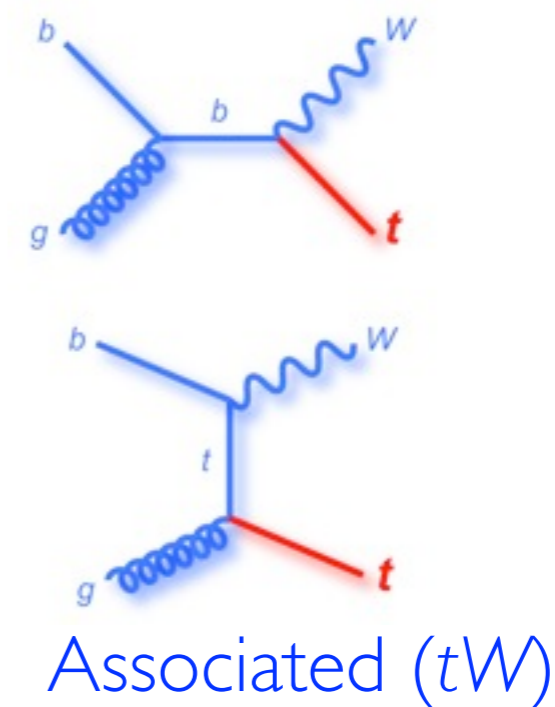
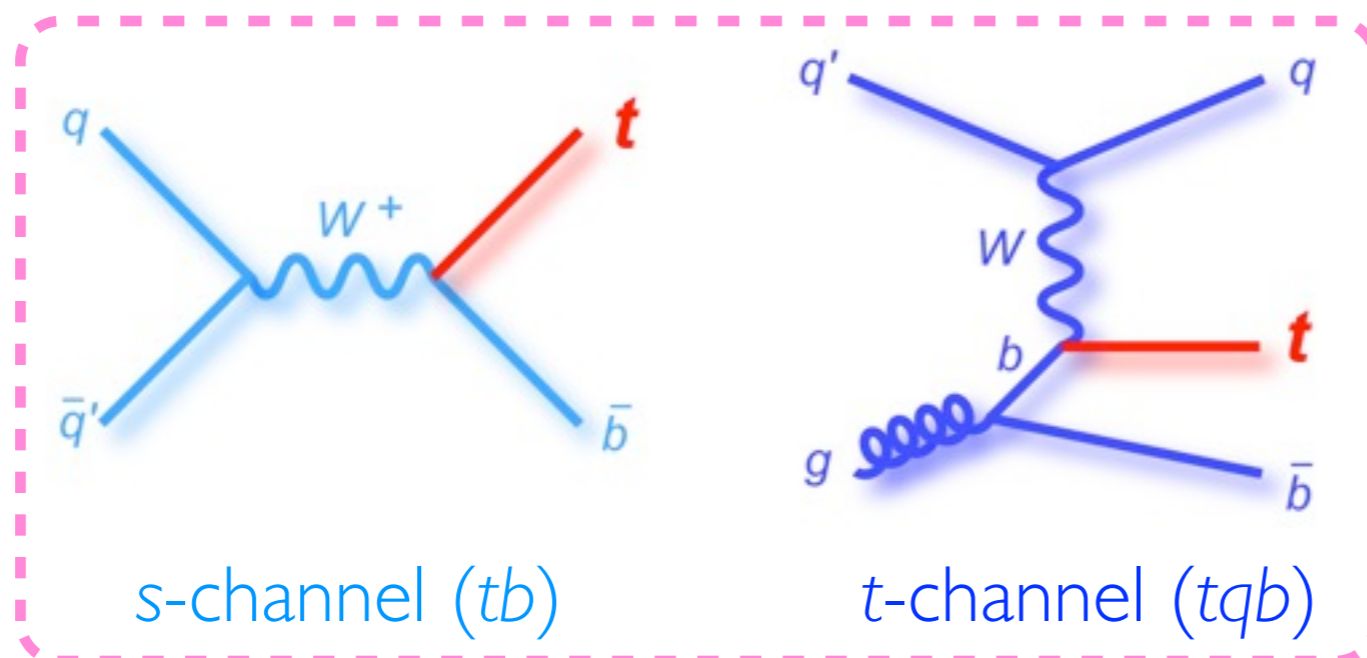
# Top Production at Hadron Colliders

- Strong interaction: top pair

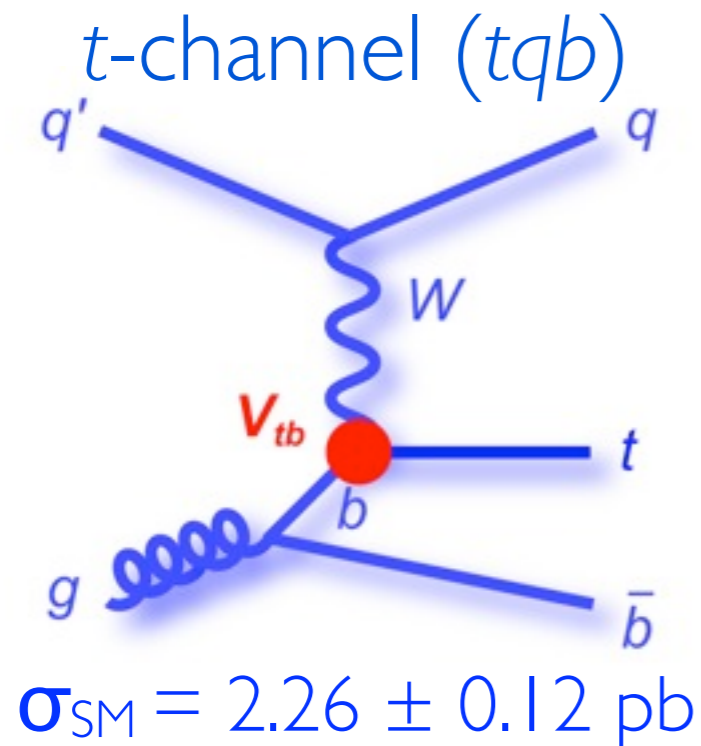
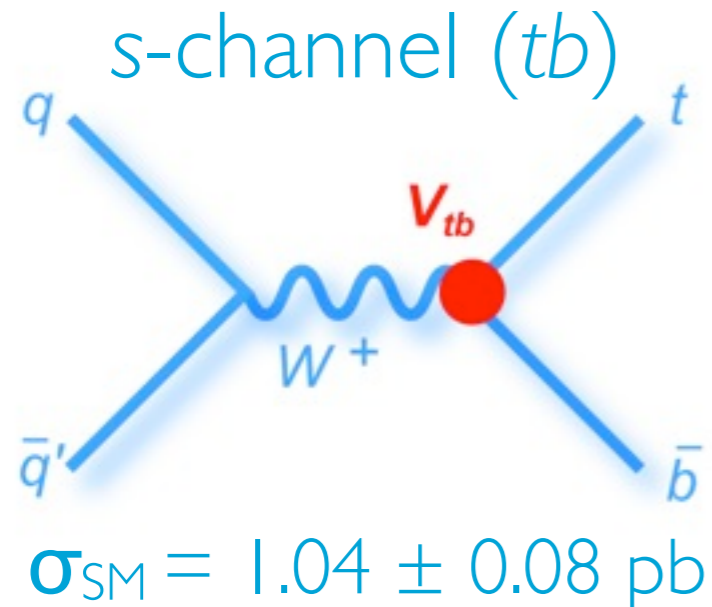


Main modes  
at Tevatron

- Electroweak interaction: single top



# EW Top Quark Production

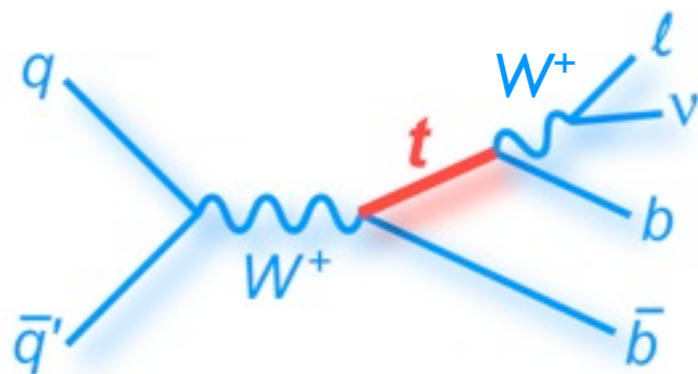
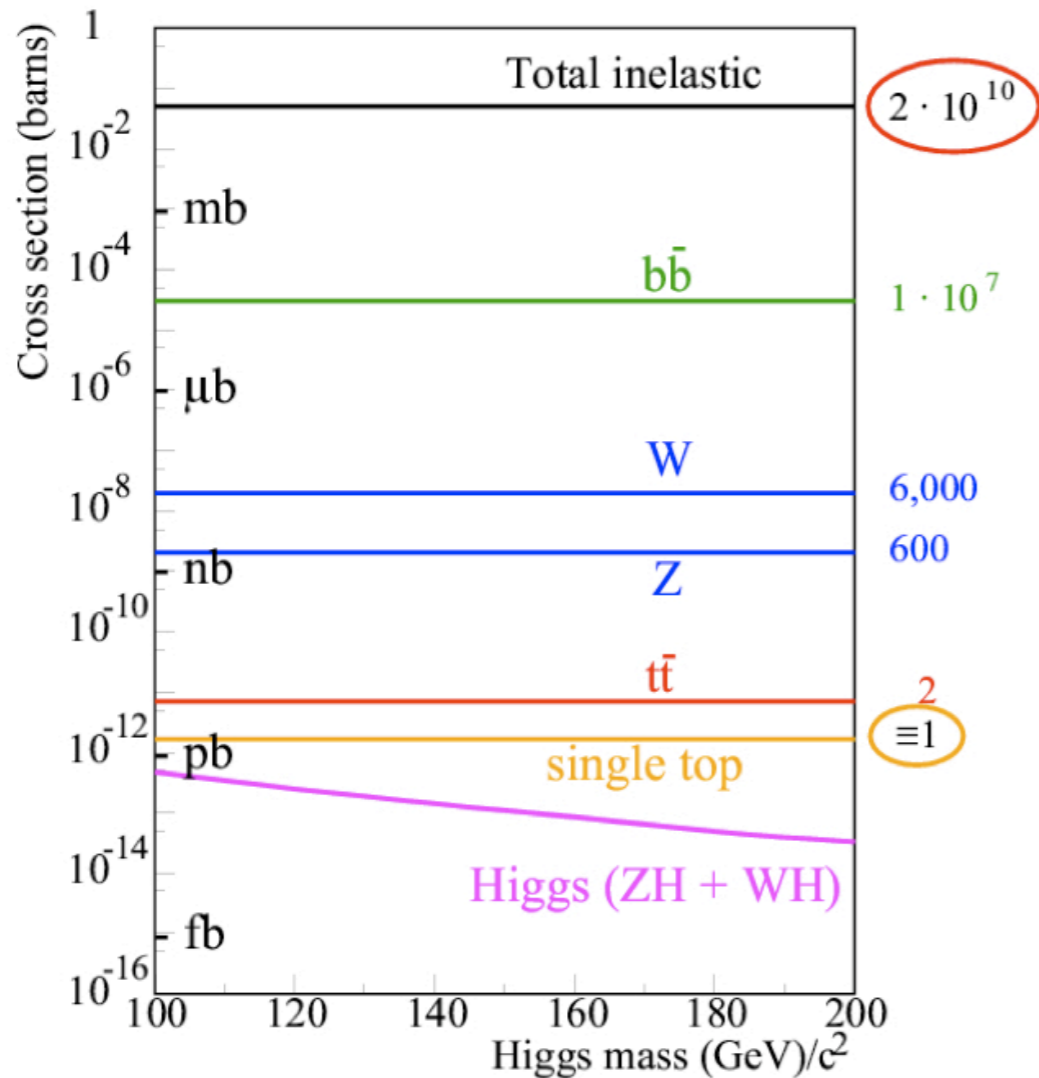


$$M_{\text{top}} = 172.5 \text{ GeV}$$

N. Kidonakis, PRD 74.114012 (2006)

- Measure the two important single top-quark production modes at Tevatron:  $s$  and  $t$  channel
- Directly probe the CKM matrix element  $|V_{tb}|$
- Measure the top decay width
- New physics can change  $\sigma_{tb}$  and  $\sigma_{tqb}$  differently:
  - $\sigma_{tb}$ : New bosons
  - $\sigma_{tqb}$ : FCNC, anomalous couplings
- $\sigma_{tW}$  at the Tevatron is negligible

# A Challenging Analysis



- Small cross section:  $\sim 3$  pb
- Tevatron produced **32k single top** events
- Analyze leptonic final state: **6.8k single top** events
- Background dominated
  - Main background: **W+jets**
- To observe **tb + tqb**, needed 50 times more data than the top pair observation! (CDF, DØ)
- PRL **103** 092001 (2009)

# LHC: Limited *tb* Production

$\sigma$ (pb) $\sim$ NNLO	<i>tb</i>	<i>tqb</i>	<i>tW</i>
Tevatron (1.96 TeV)	1.04	2.26	0.3
	x4	x30	x50
LHC (7 TeV)	4.56	65.9	15.6

# Status up to June 2013

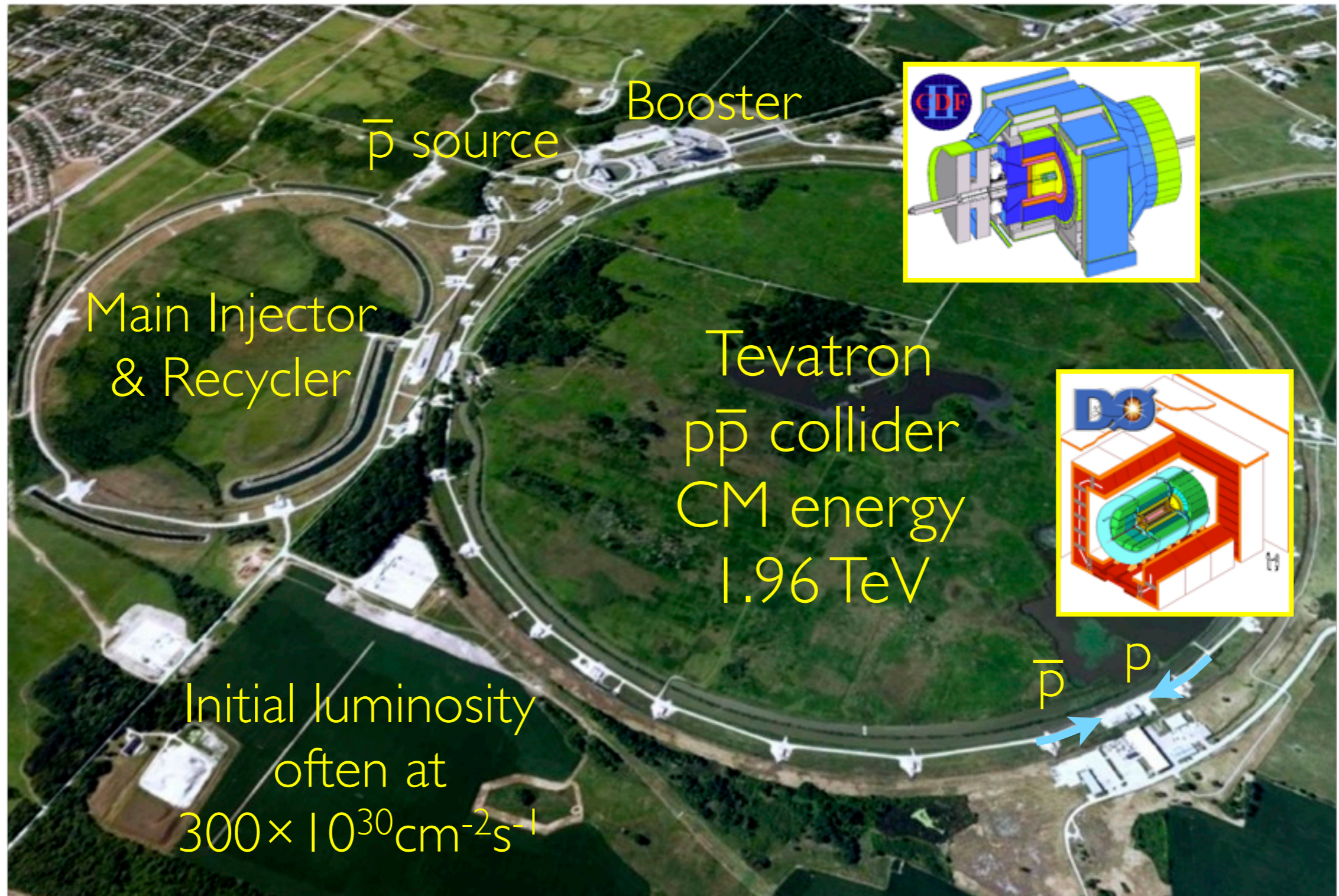
$\sigma$ (pb) $\sim$ NNLO	<i>tb</i>	<i>tqb</i>	<i>tW</i>
Tevatron Prediction (1.96 TeV)	1.04	2.26	0.3
CDF (7.5 fb <sup>-1</sup> )	1.8 $\pm$ 0.6	1.49 $\pm$ 0.45	-
DØ (5.4 fb <sup>-1</sup> )	0.98 $\pm$ 0.63	<input checked="" type="checkbox"/> 2.9 $\pm$ 0.59	-
LHC Prediction (7 TeV)	4.56	65.9	15.6
ATLAS (0.7-2.1 fb <sup>-1</sup> )	< 20.5 (95% C.L.)	<input checked="" type="checkbox"/> 83 $\pm$ 20	<input type="checkbox"/> 17 $\pm$ 6
CMS (1.2-4.9 fb <sup>-1</sup> )	-	<input checked="" type="checkbox"/> 67 $\pm$ 6	<input type="checkbox"/> 16 $\pm$ 5

Evidence (3 SD)

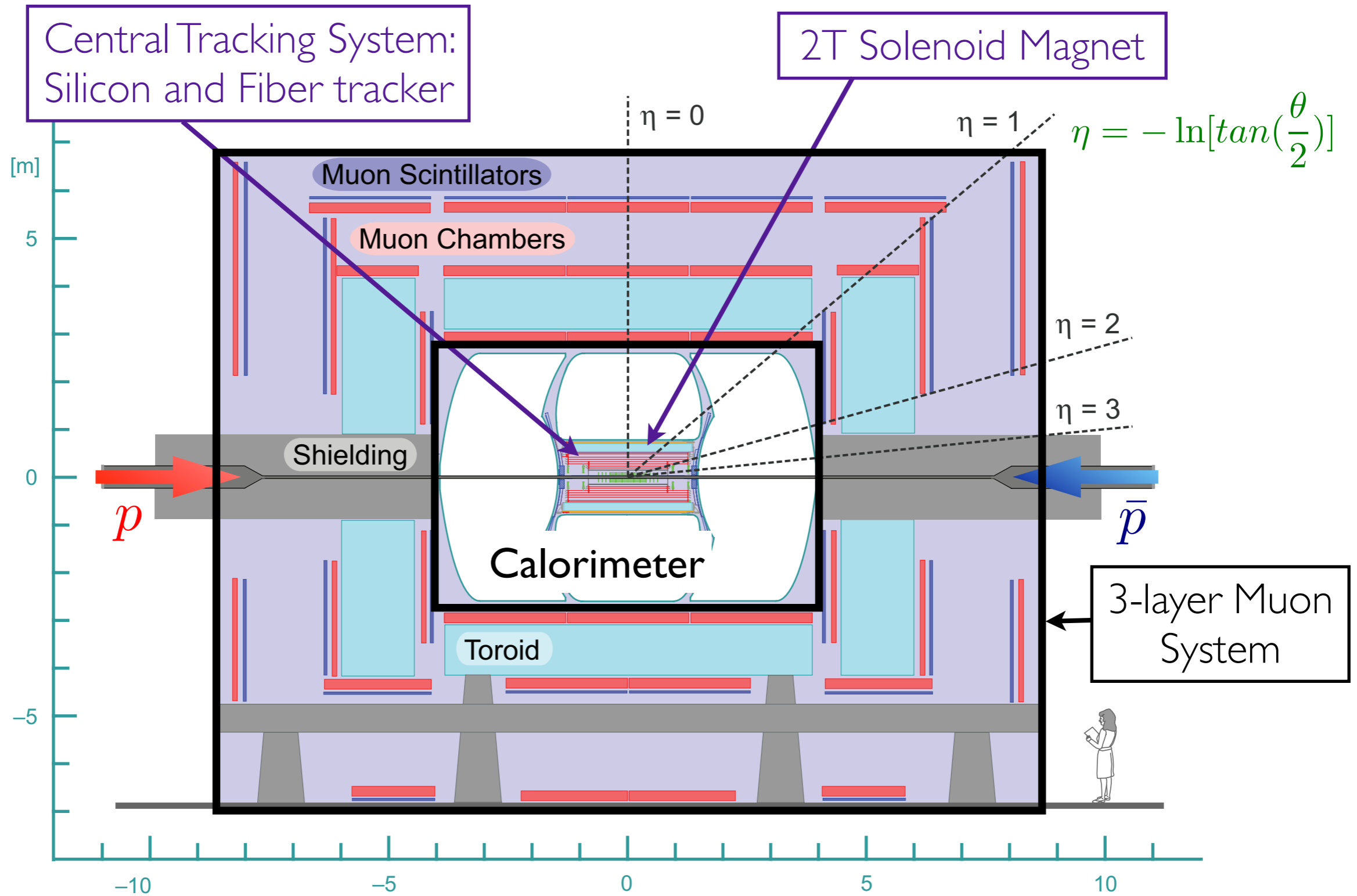
Observation (5 SD)



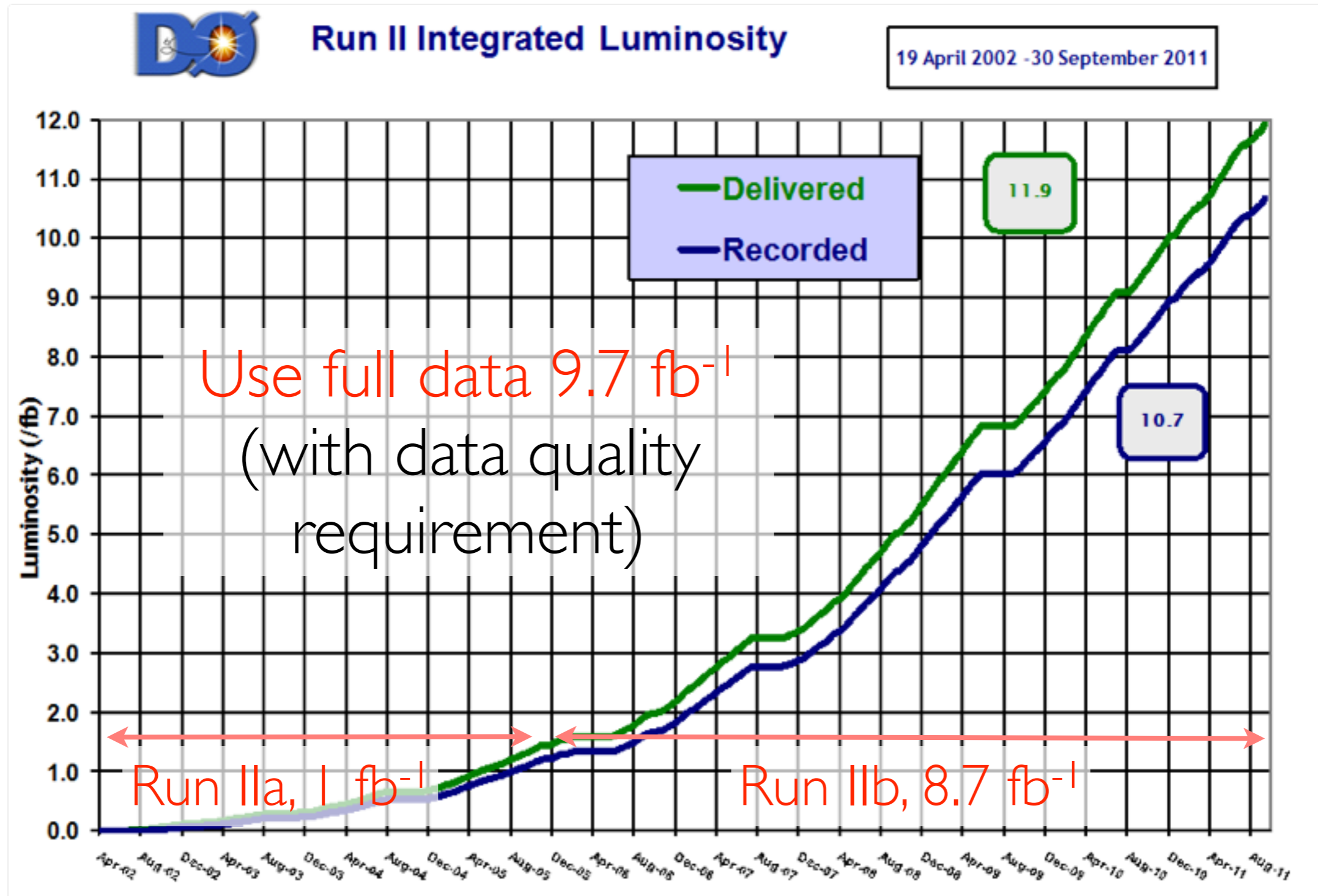
# Fermilab Tevatron



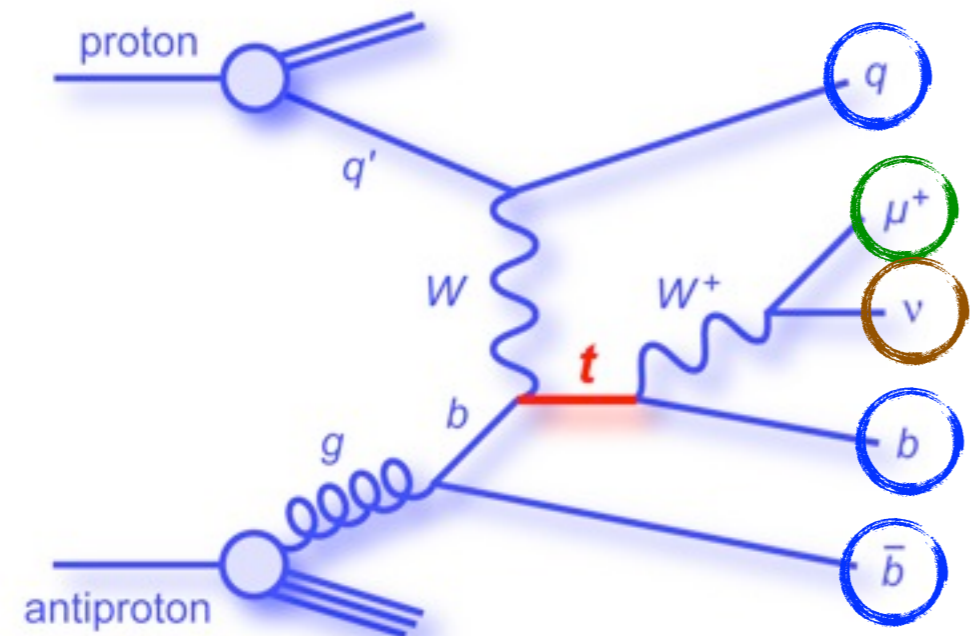
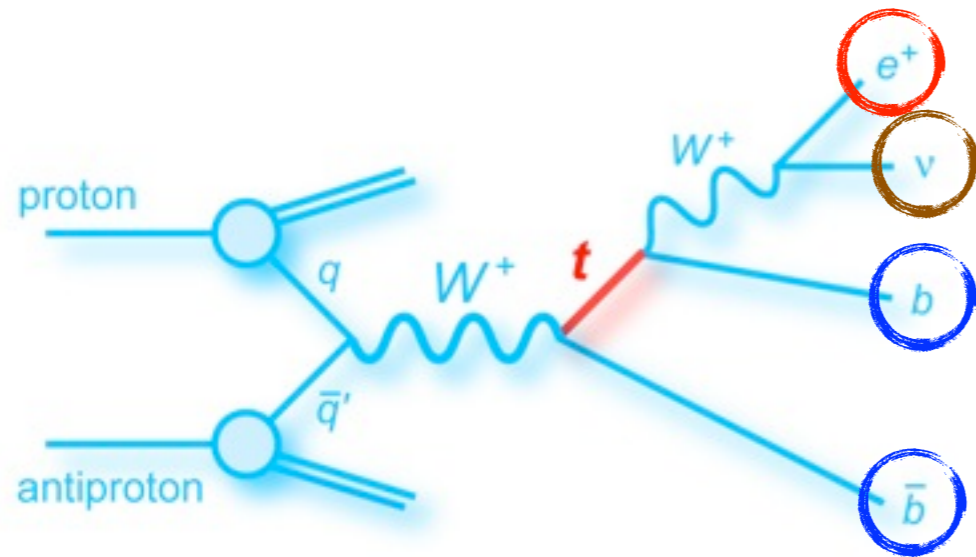
# DØ Detector



# Data Taking

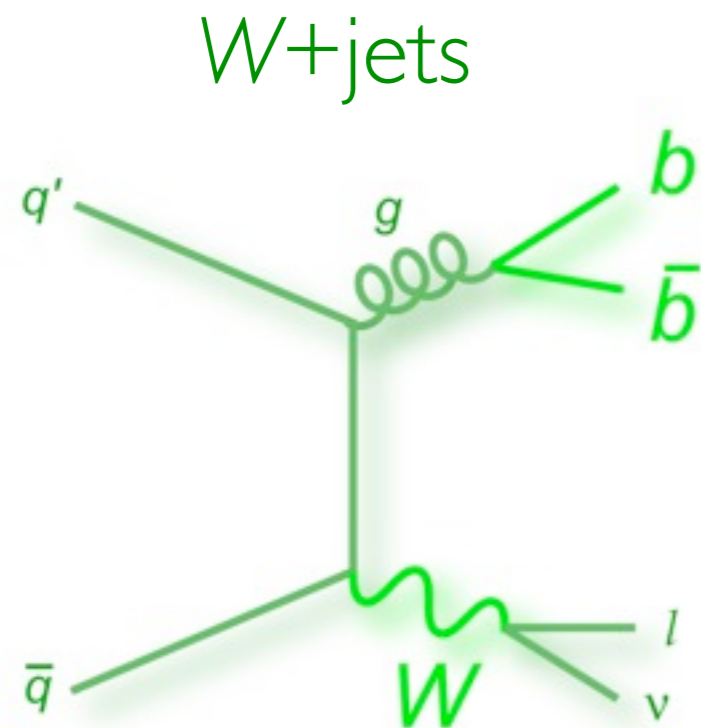
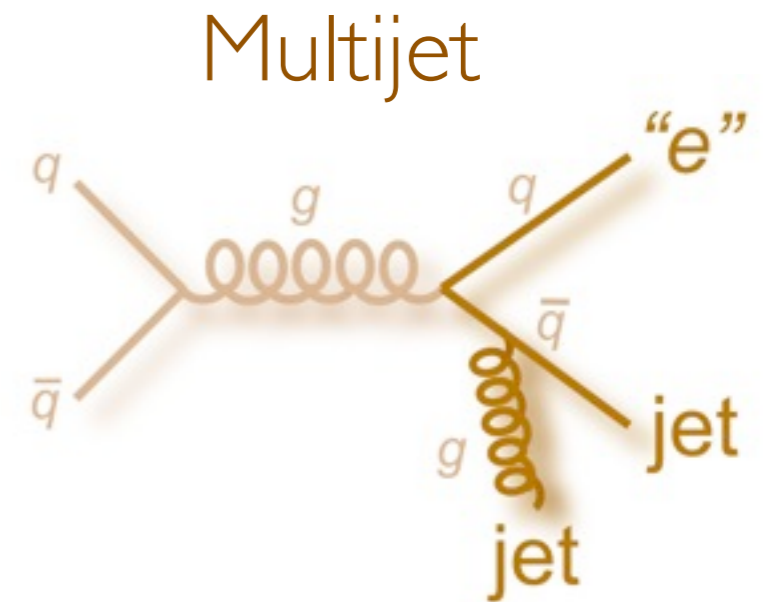
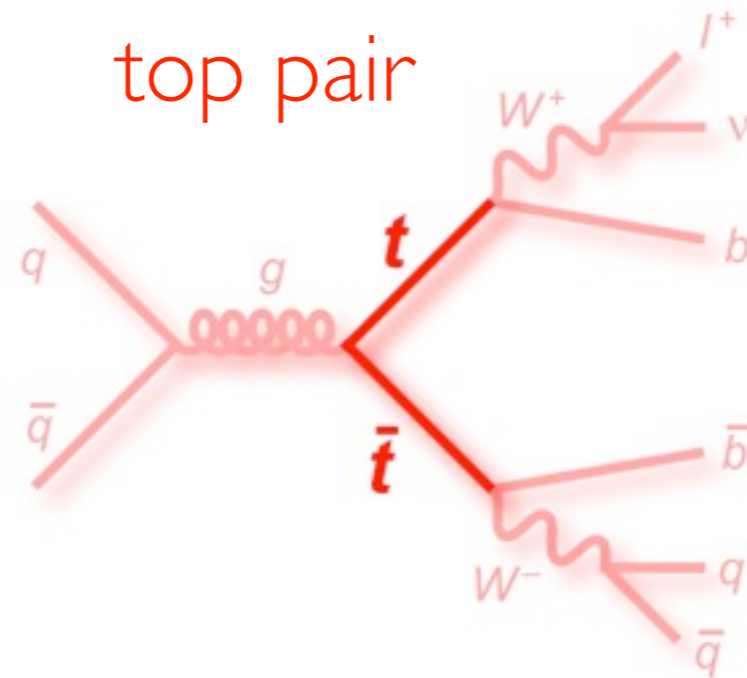
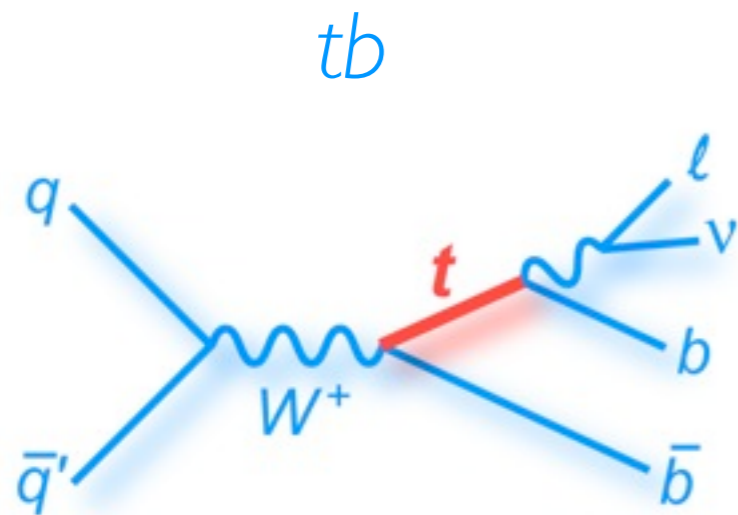


# Event Selection



- Only one high  $p_T$  isolated electron or muon:  $p_T > 20$  GeV
  - electron:  $|\eta| < 1.1$
  - muon:  $|\eta| < 2.0$
- Two or three jets
  - $p_T > 20$  GeV,  $|\eta| < 2.5$
  - The leading jet  $p_T > 25$  GeV
- Missing transverse energy  $> 20$  GeV
- $H_T > 120$  GeV
  - $H_T = \text{all jet } p_T + \text{lepton } p_T + \text{missing transverse energy}$

# Signal & Background Simulation



- **Signals:** CompHEP (NLO)+Pythia
- **W+jets & top pair:** Alpgen+Pythia
  - Correct Alpgen (LL) to NLO
    - e.g. a factor 1.9 for W+bb
- **Multijet:** Data with non-isolated lepton

# W+jets & Multijet Normalization

- Determine the overall scales of multijet and W+jets background simultaneously

$$N_{\text{loose}} = N_{\text{loose}}^{\text{fake-}\ell} + N_{\text{loose}}^{\text{real-}\ell}$$

$$N_{\text{tight}} = \epsilon_{\text{fake-}\ell} N_{\text{loose}}^{\text{fake-}\ell} + \epsilon_{\text{real-}\ell} N_{\text{loose}}^{\text{real-}\ell}$$

# W+jets & Multijet Normalization

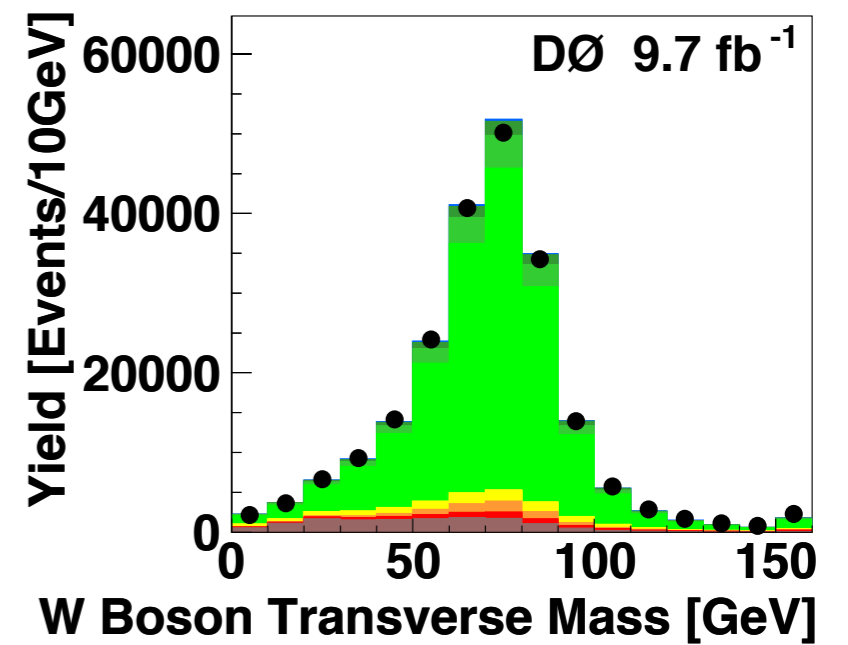
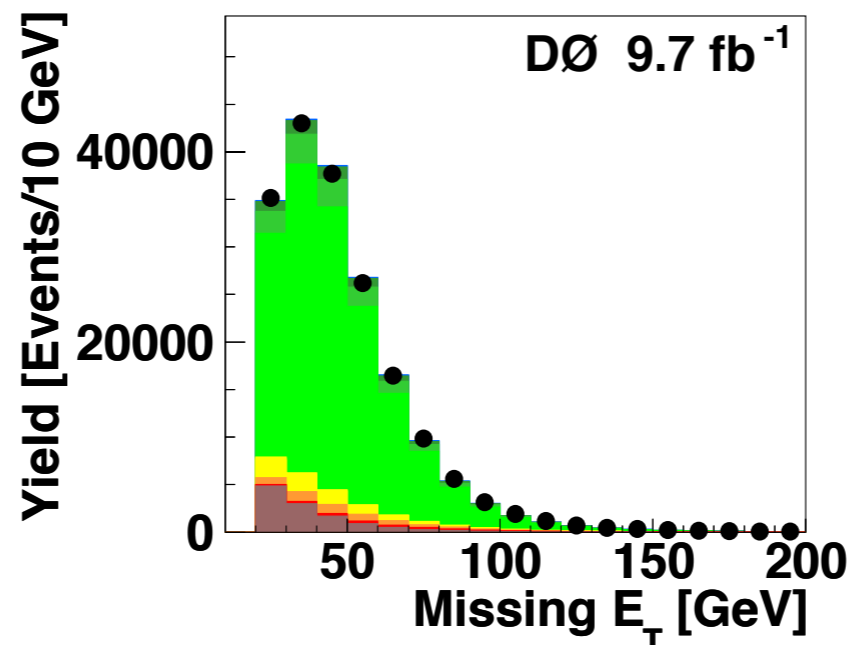
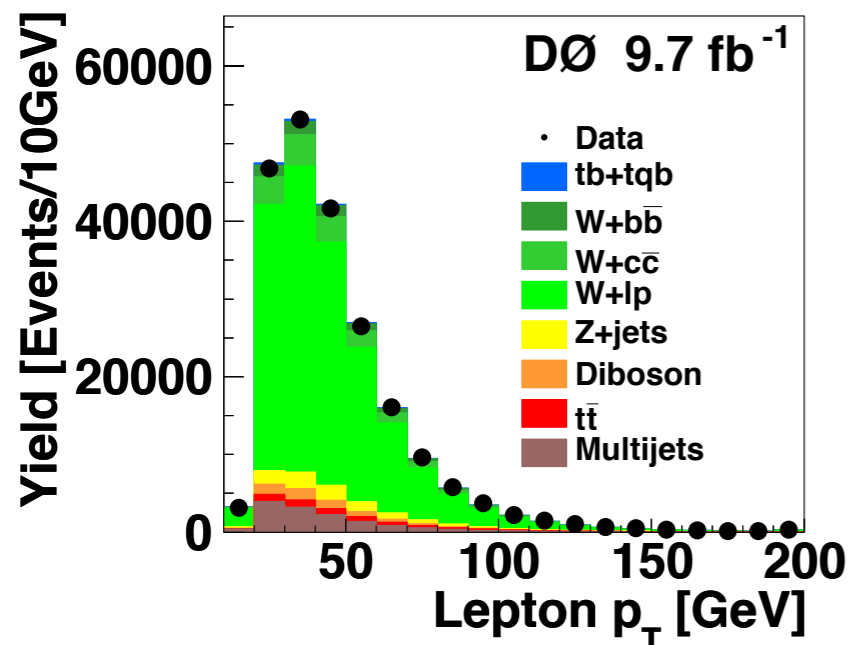
- Determine the overall scales of **multijet** and **W+jets** background simultaneously

Known from the selected data samples

$$N_{\text{loose}} = N_{\text{loose}}^{\text{fake-}\ell} + N_{\text{loose}}^{\text{real-}\ell}$$

$$N_{\text{tight}} = \epsilon_{\text{fake-}\ell} N_{\text{loose}}^{\text{fake-}\ell} + \epsilon_{\text{real-}\ell} N_{\text{loose}}^{\text{real-}\ell}$$

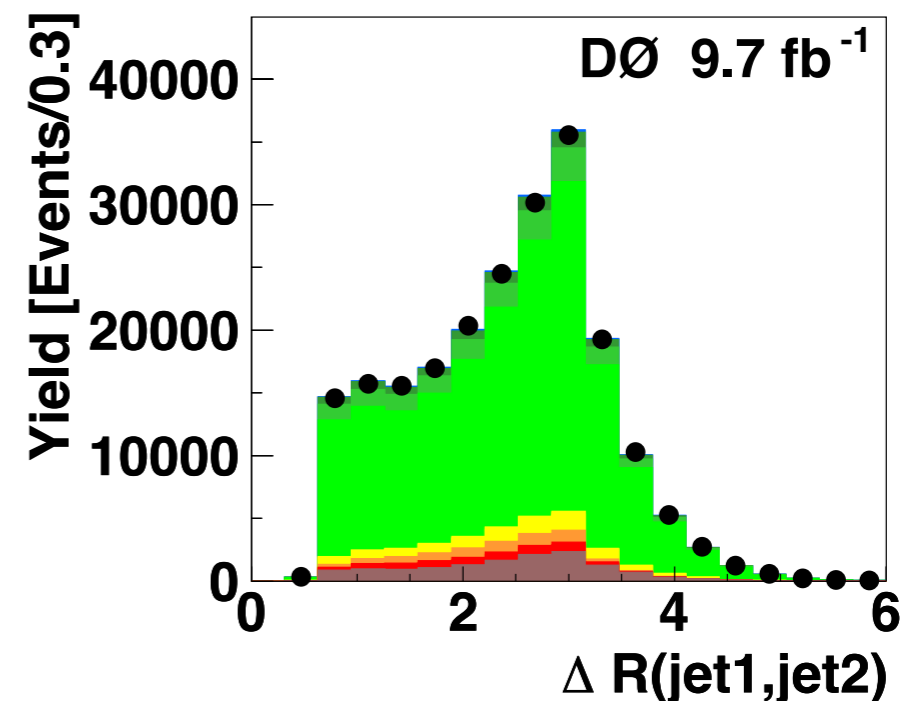
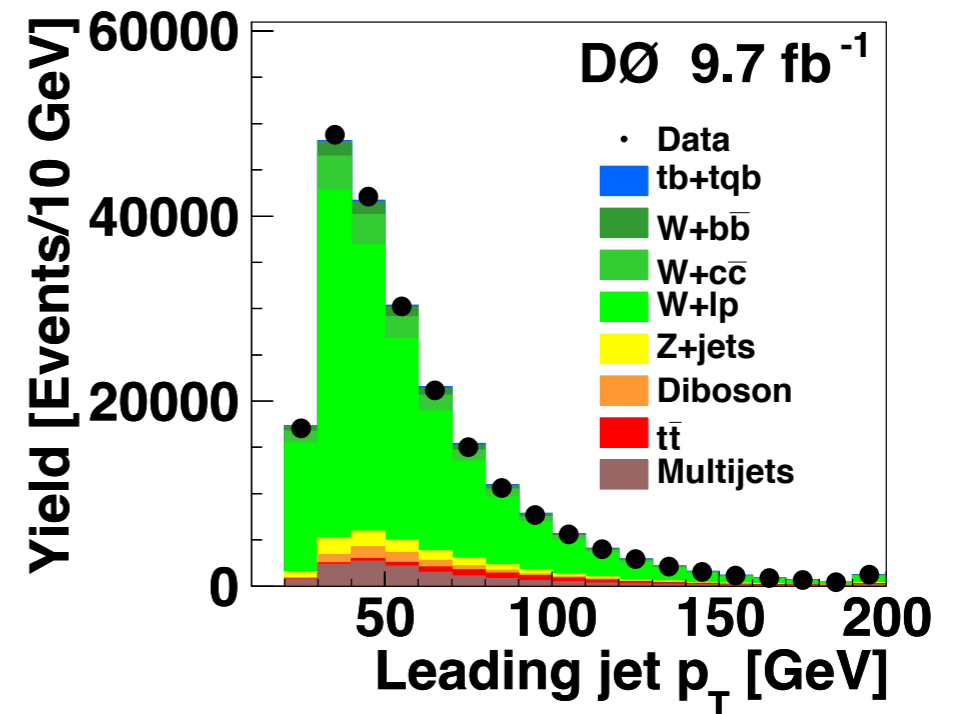
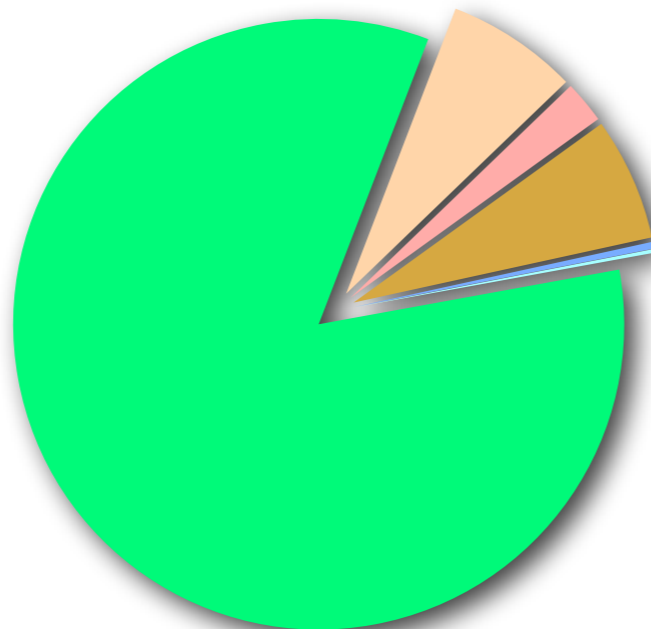
Determined from MC and data samples



# Background Modeling

- Dominated by backgrounds
- Correct the efficiency of the simulated samples to that of data
- Reweight the angular distributions of  $W$ +jets MC to data

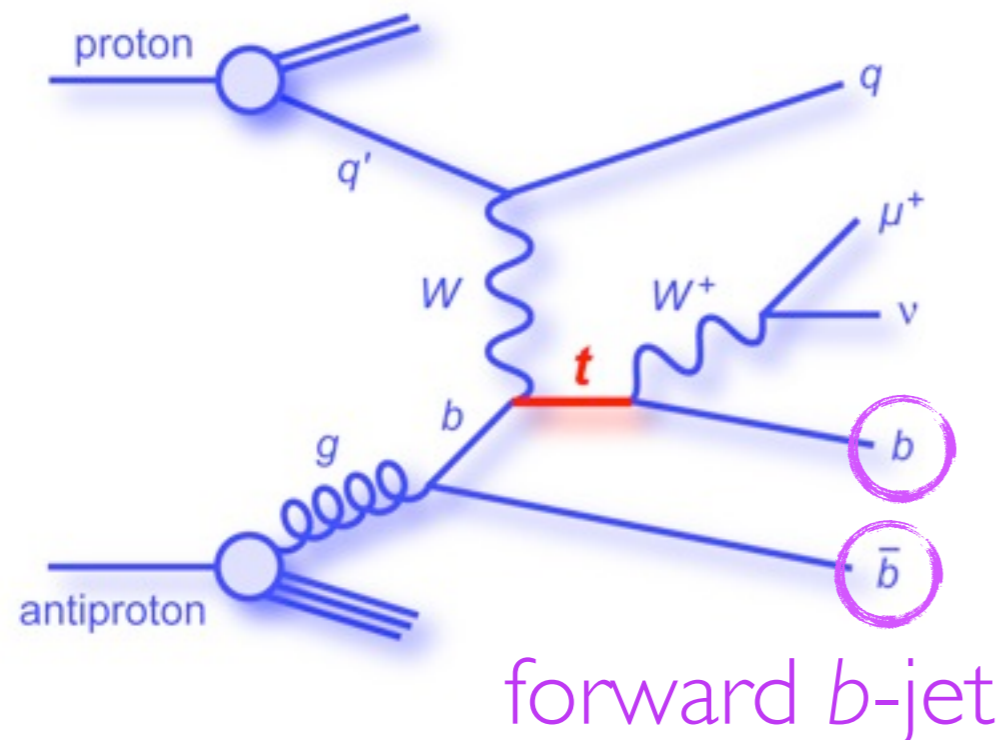
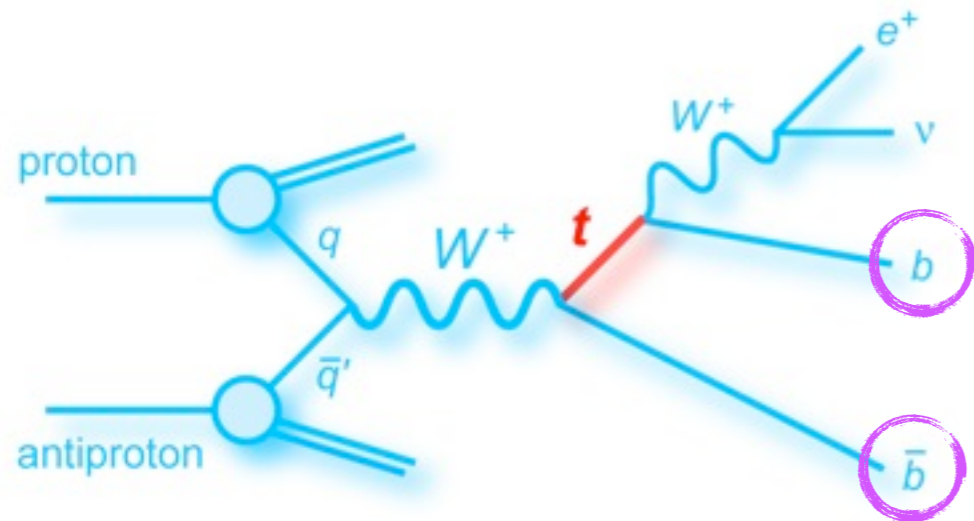
$tb$	423
$tqb$	793
$W$ +jets	181721
$Z$ +jets diboson	15115
top pair	4886
multijet	14164



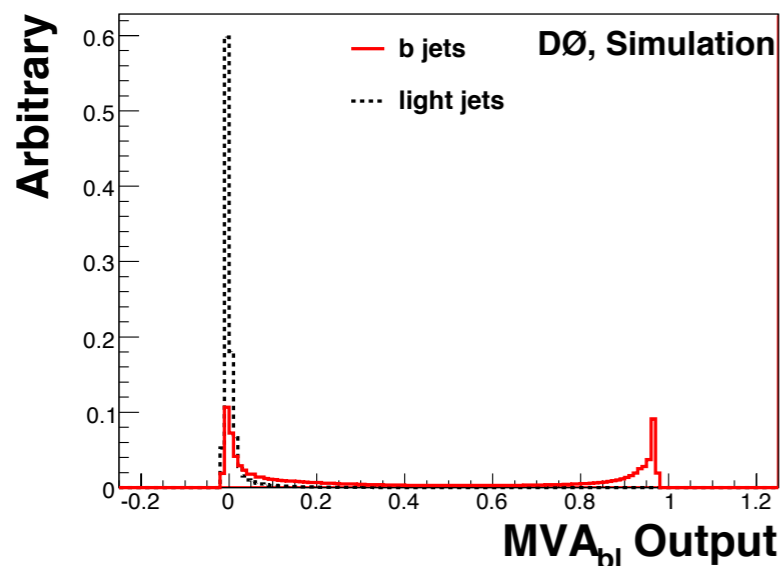
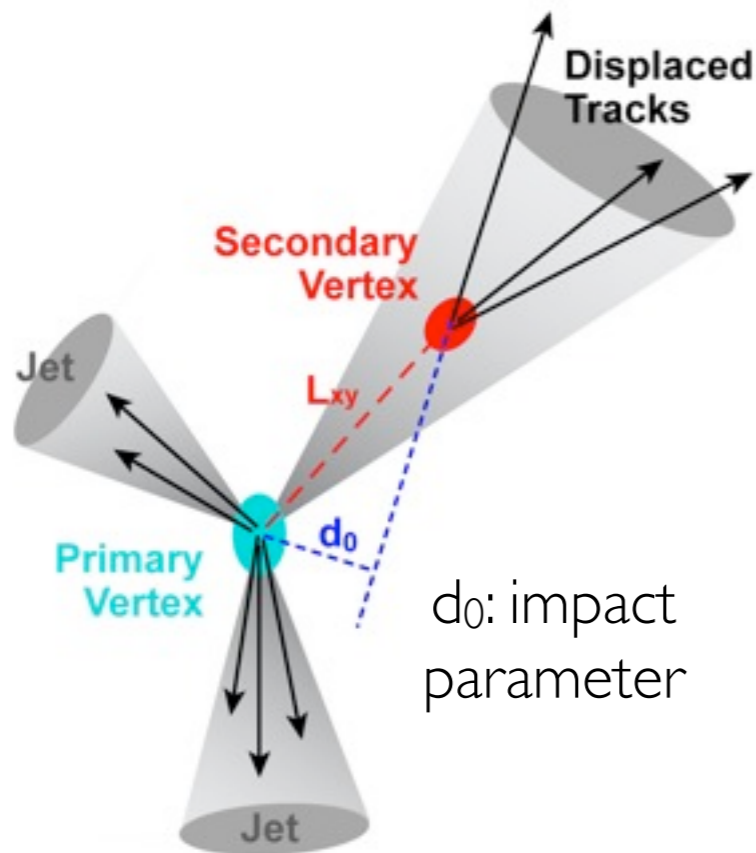


# Event Selection

- One high  $p_T$  isolated electron or muon:  $p_T > 20 \text{ GeV}/c$ 
  - electron:  $|\eta| < 1.1$
  - muon:  $|\eta| < 2.0$
- Two or three jets
  - $p_T > 20 \text{ GeV}/c, |\eta| < 2.5$
  - The leading jet  $p_T > 25 \text{ GeV}/c$
- Missing transverse energy  $> 20 \text{ GeV}/c$
- Total transverse energy ( $H_T$ )
- Require one or two identified  $b$ -jets ( $b$ -tagging)



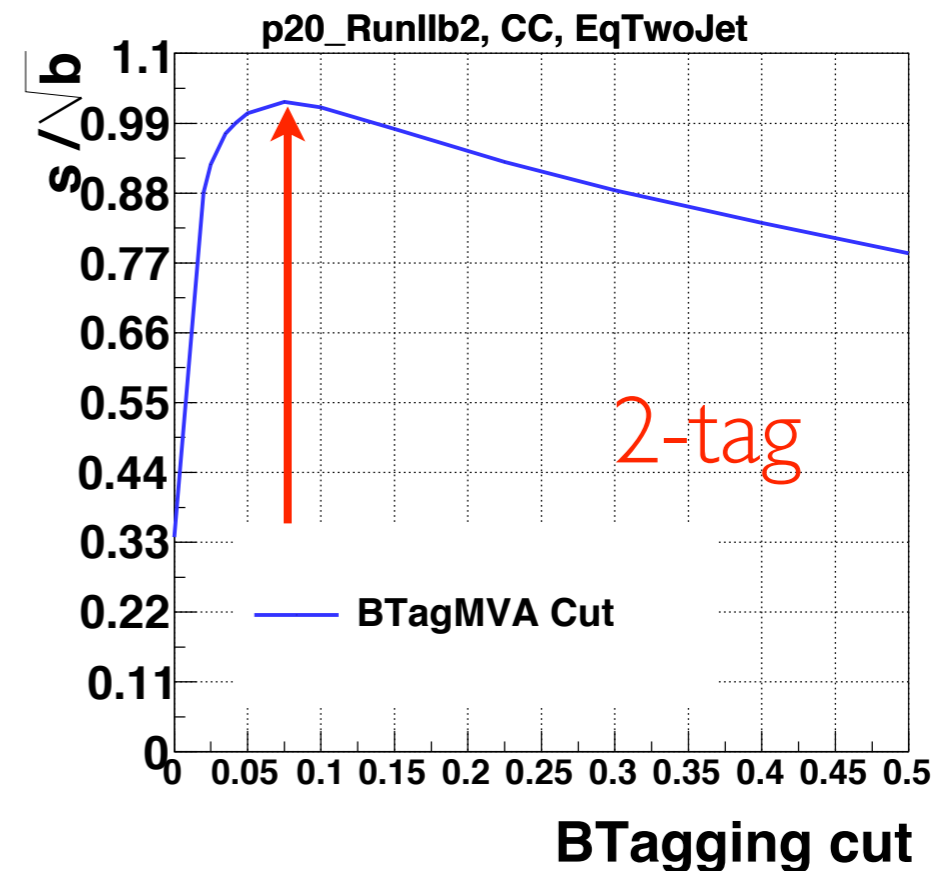
# $b$ -Jet Identification



- Identify  $b$ -jet from  $u$ ,  $d$ ,  $s$ ,  $c$ , and  $g$  jets
  - reduces a lot of backgrounds
- Features of  $b$ -hadron in  $b$ -jet
  - Long lifetime ( $\sim 1$  ps,  $L_{xy} \sim 3$  mm)
  - Large invariant mass
- Reconstruct a secondary vertex
- Make use of the displaced tracks (with large impact parameter)
- Use a multivariate technique to combine this information

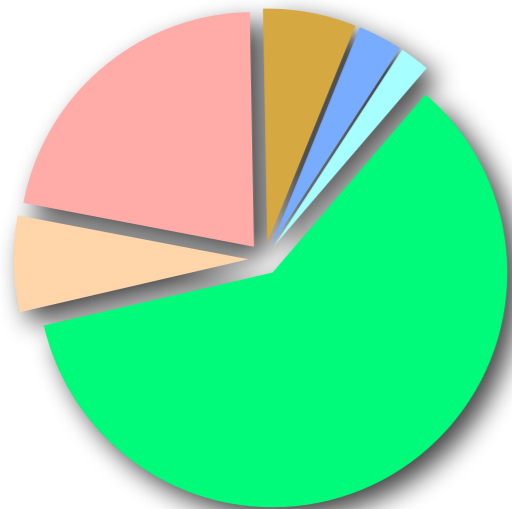
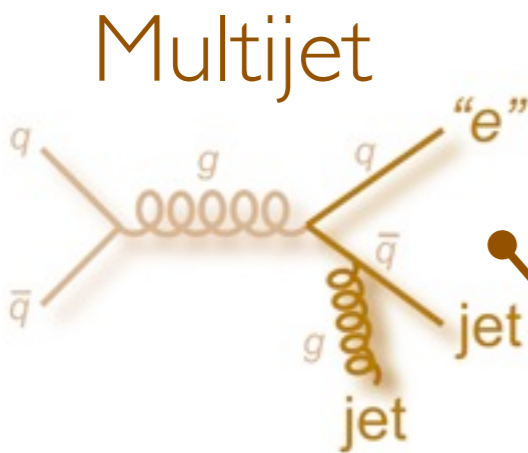
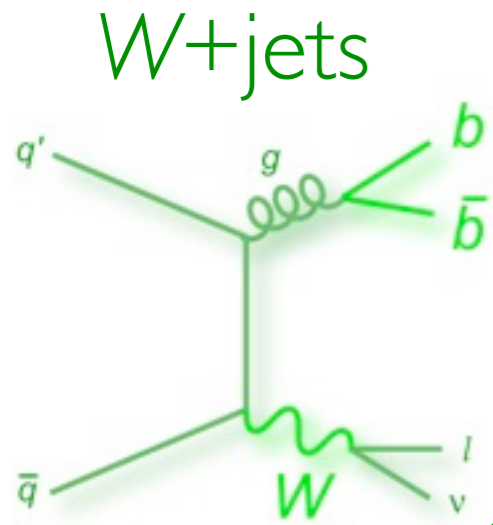
# $b$ -ID Cut Optimization

- Calculate  $s/\sqrt{b}$  from the predicted signal and background events with each  $b$ -ID cut
- Maximize the  $tb$  signal rate
  - The  $tb$  events have two central  $b$ -jets
  - 2-tag channel: 2 jets with Loose  $b$ -ID
  - 1-tag channel: 1 jet with Tight  $b$ -ID, veto the 2nd jet with Loose  $b$ -ID
  - Non-overlapping categories

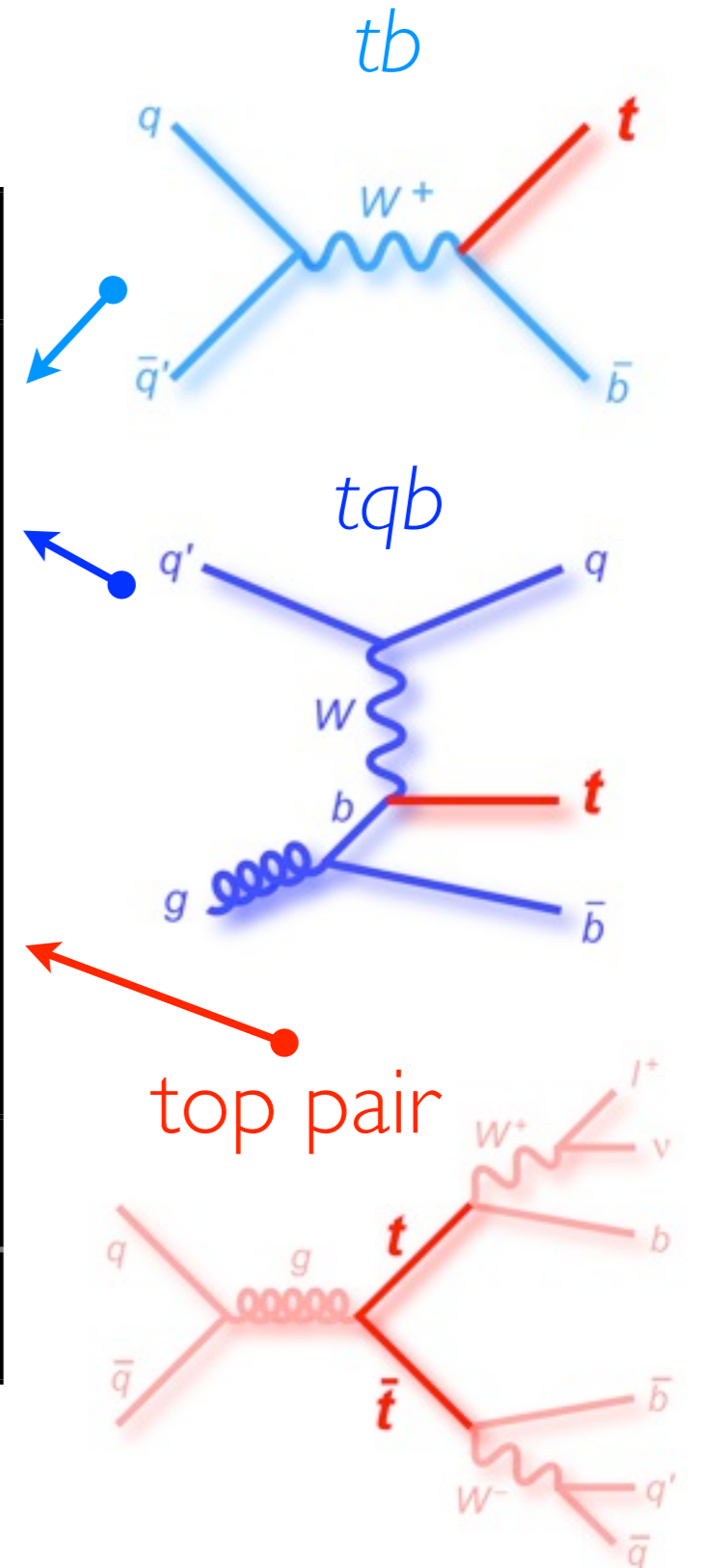


- Typical  $b$ -ID efficiency: 50% - 70%
- Fake rate: 2% - 7%

# Event Yield

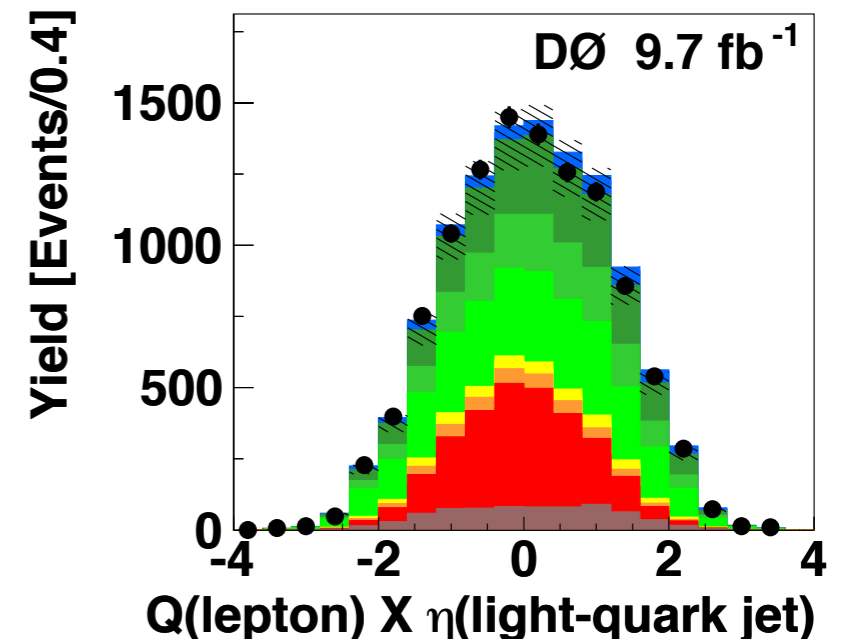
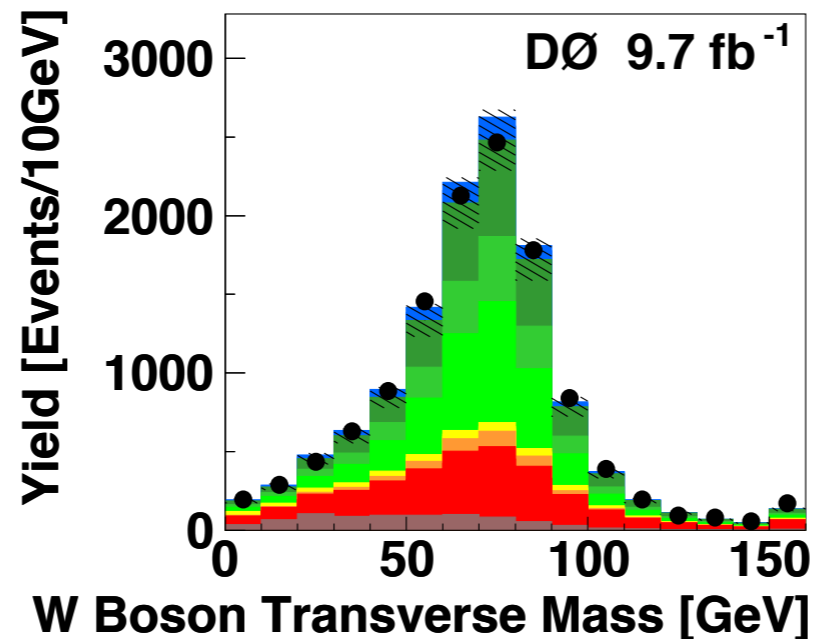
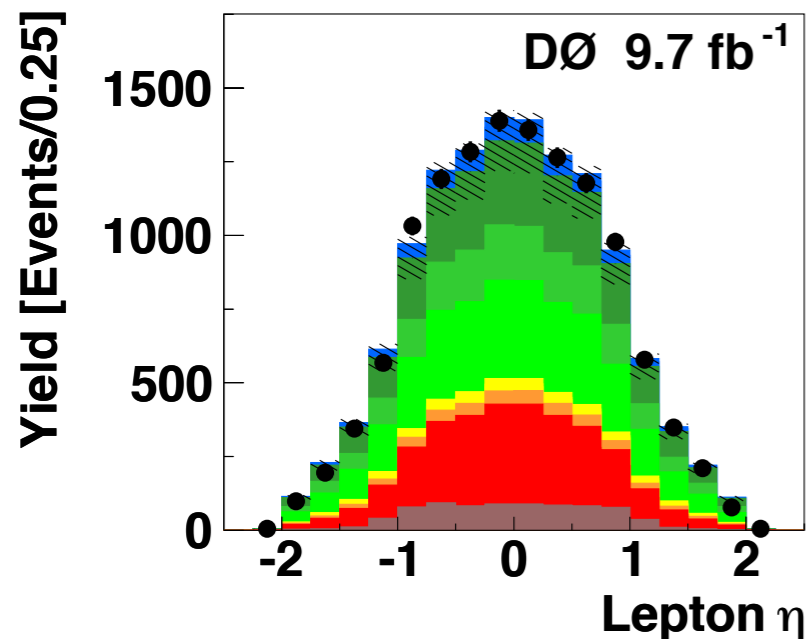
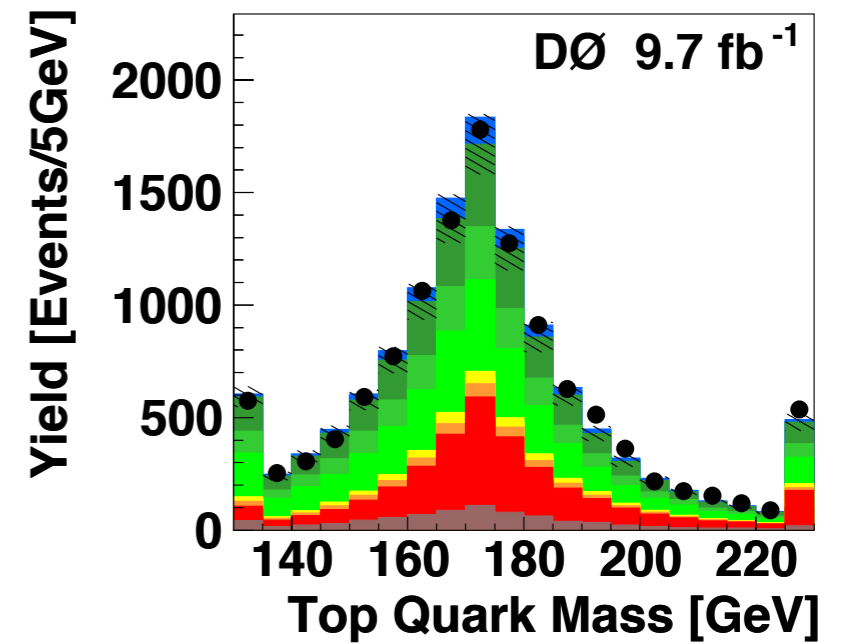
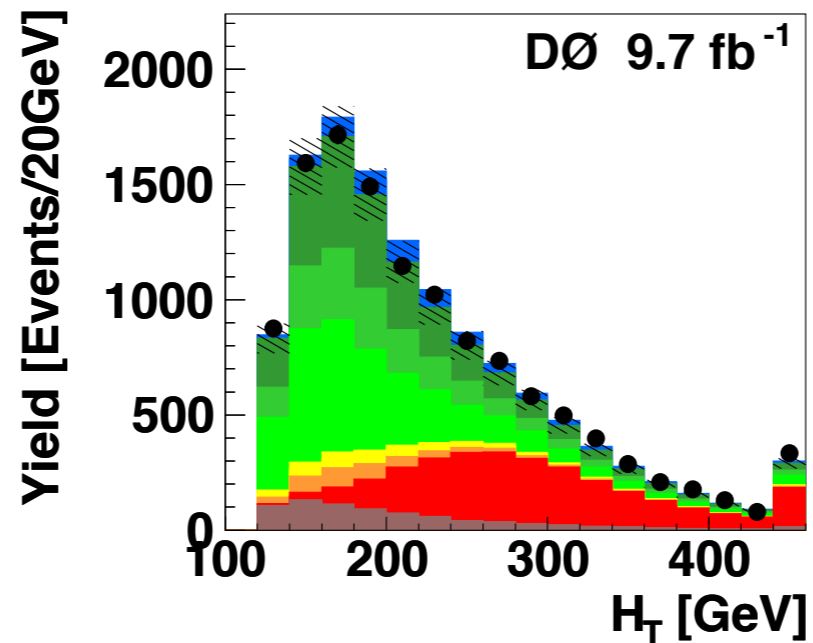
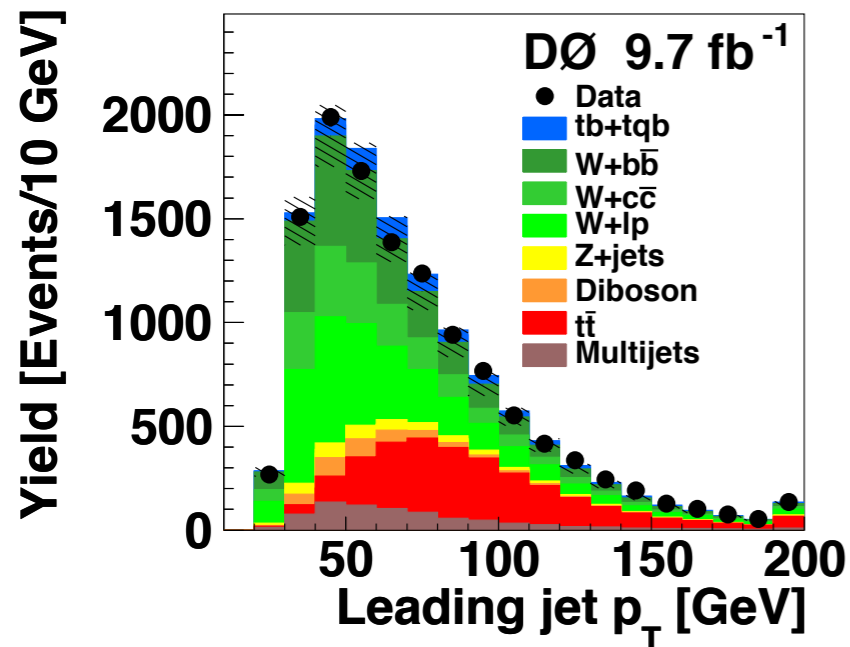


e, $\mu$ 2, 3-jets 1, 2 <i>b</i> -tags combined	
<i>tb</i>	<b>257 ± 31</b>
<i>tqb</i>	<b>378 ± 53</b>
<i>W+jets</i>	<b>7394 ± 401</b>
diboson, Z+jets	815 ± 71
top pair	2672 ± 284
multijet	789 ± 81
Total background	11669 ± 503
Data	12103



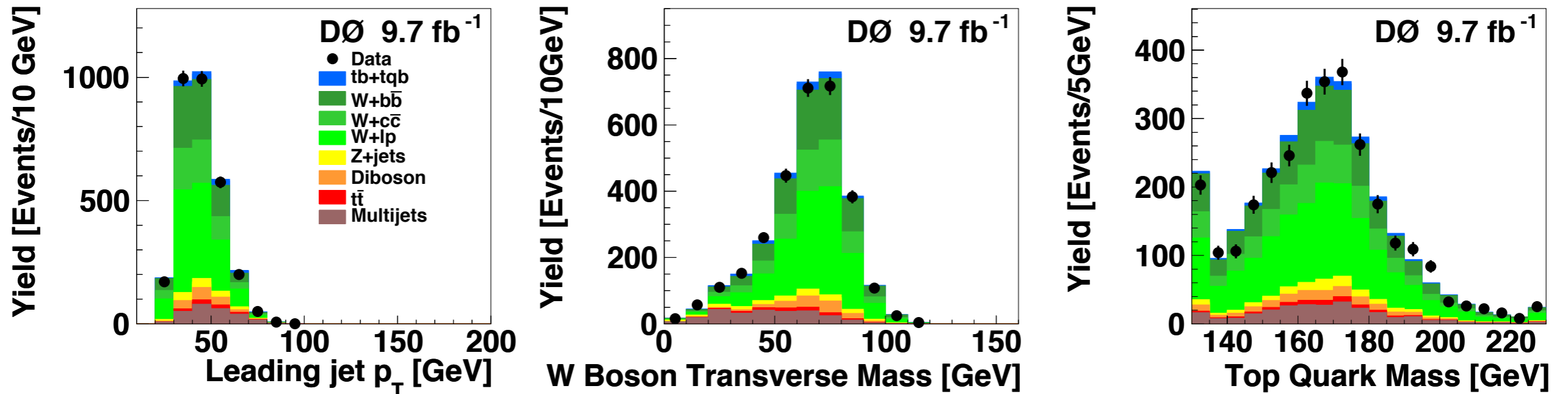
$$tb : tqb : B = 1 : 1.5 : 45$$

# Data-Simulation Comparison

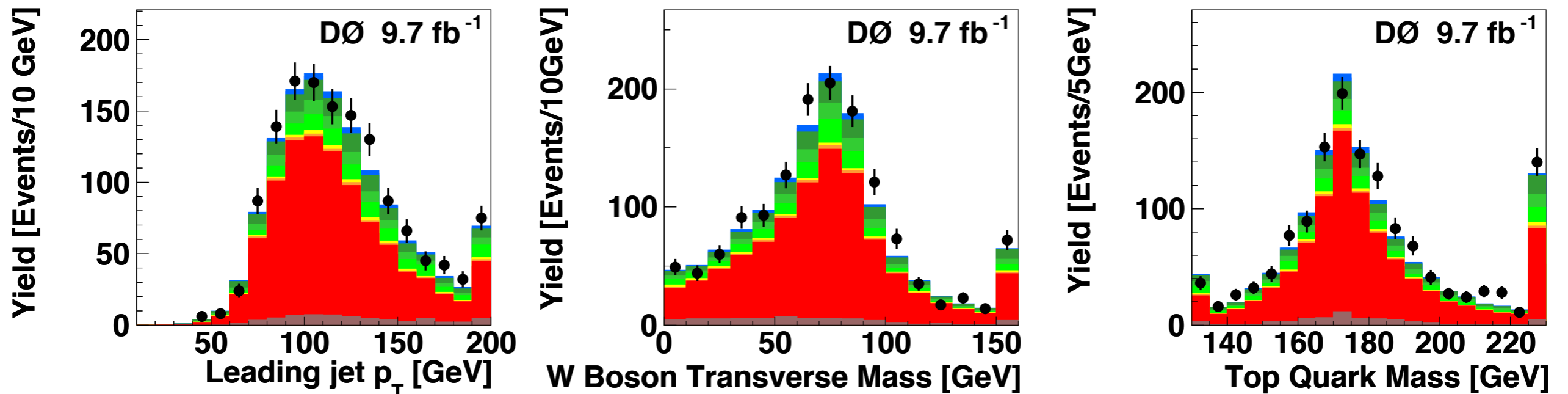


# Cross-Check Samples

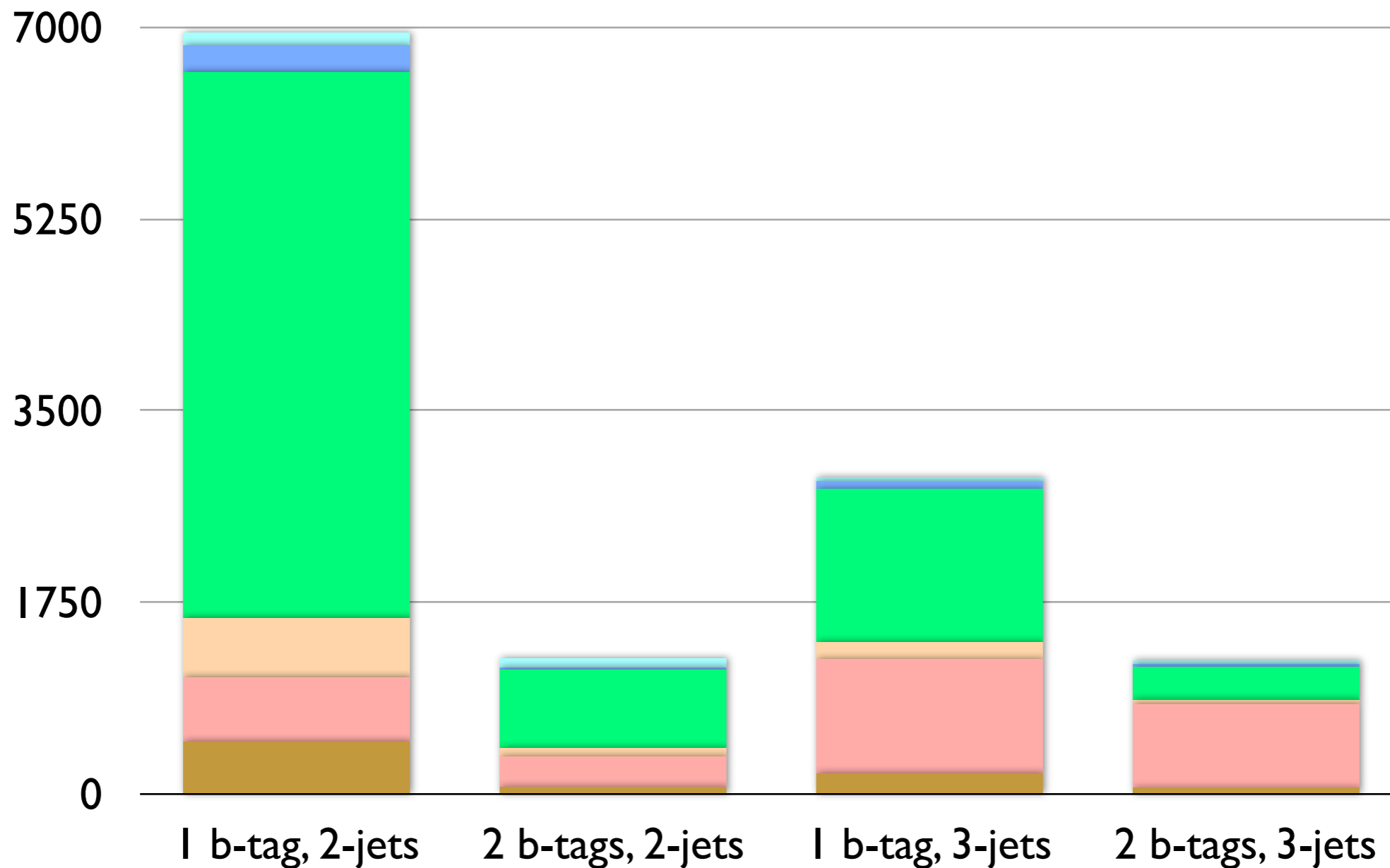
- $W$ +jets enriched sample: 1  $b$ -tag, 2 jets,  $H_T < 175$  GeV



- top pair enriched sample: at least 1  $b$ -tag, 3 jets,  $H_T > 300$  GeV

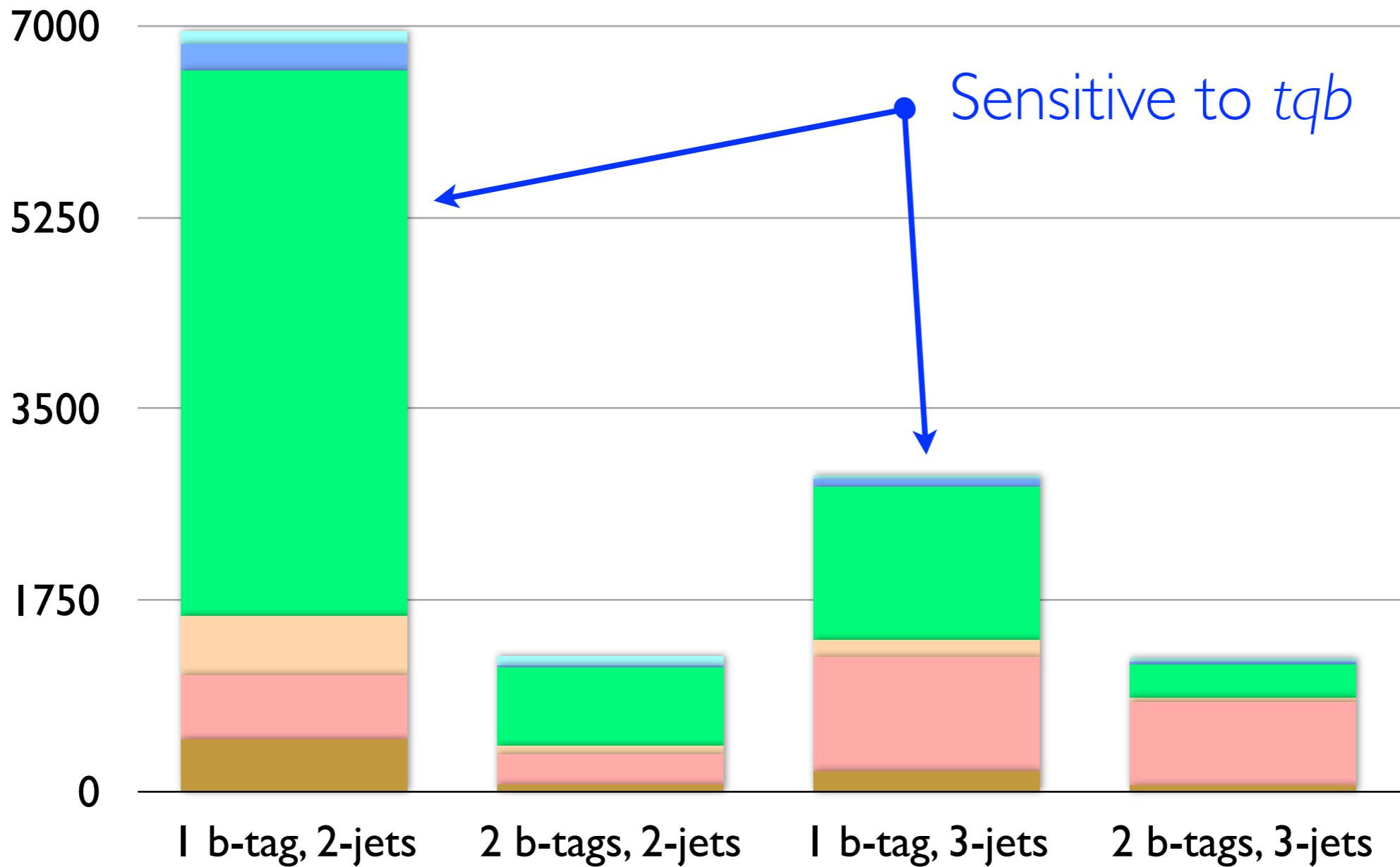


# Background Contributions



*tb*: *tqb*: B   
 1: 2: 59   
 1: 0.3: 14   
 1: 2: 85   
 1: 1: 40

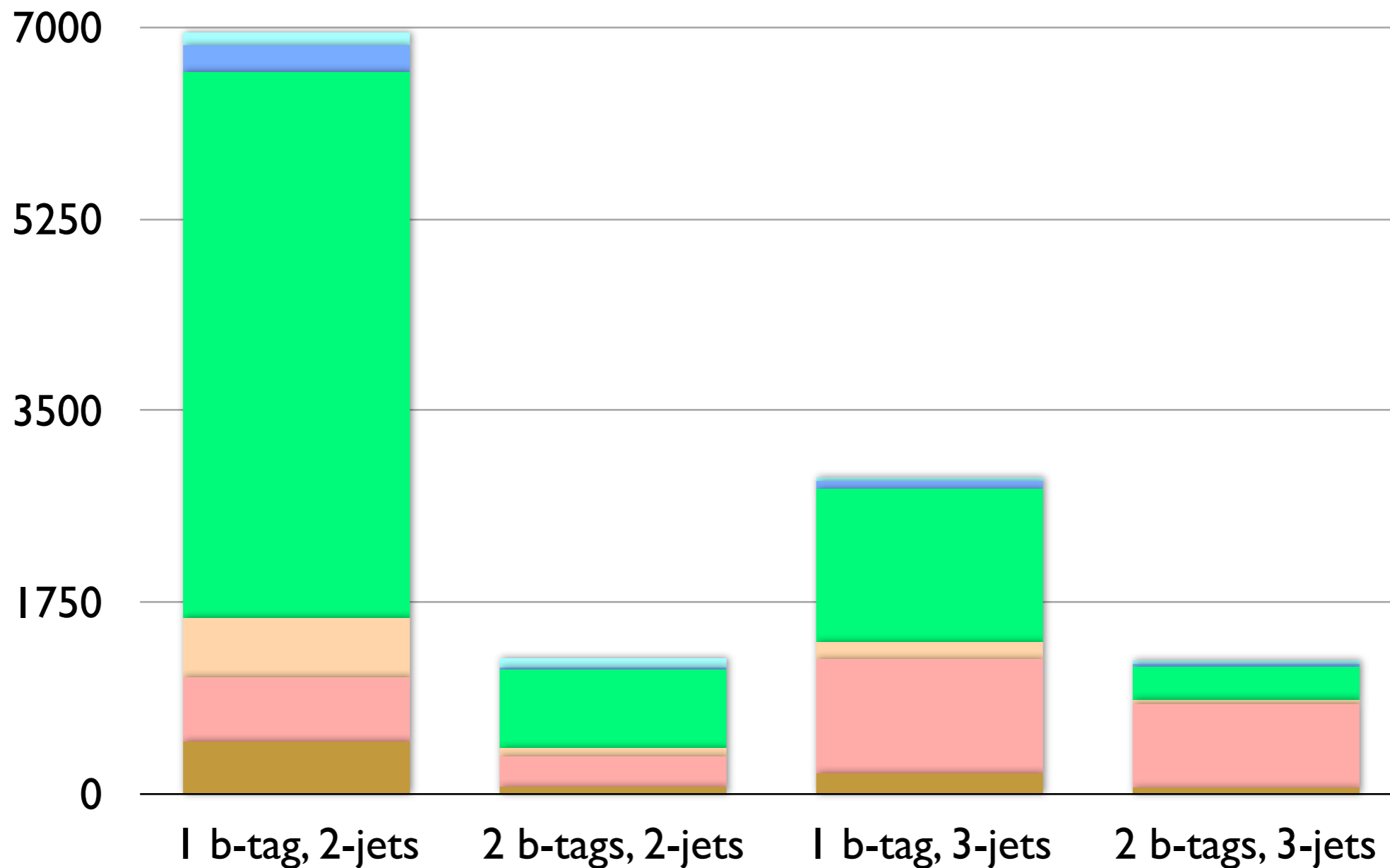
# Background Contributions



*tb*: *tqb*: B   
 1: 2: 59   
 1: 0.3: 14   
 1: 2: 85   
 1: 1: 40

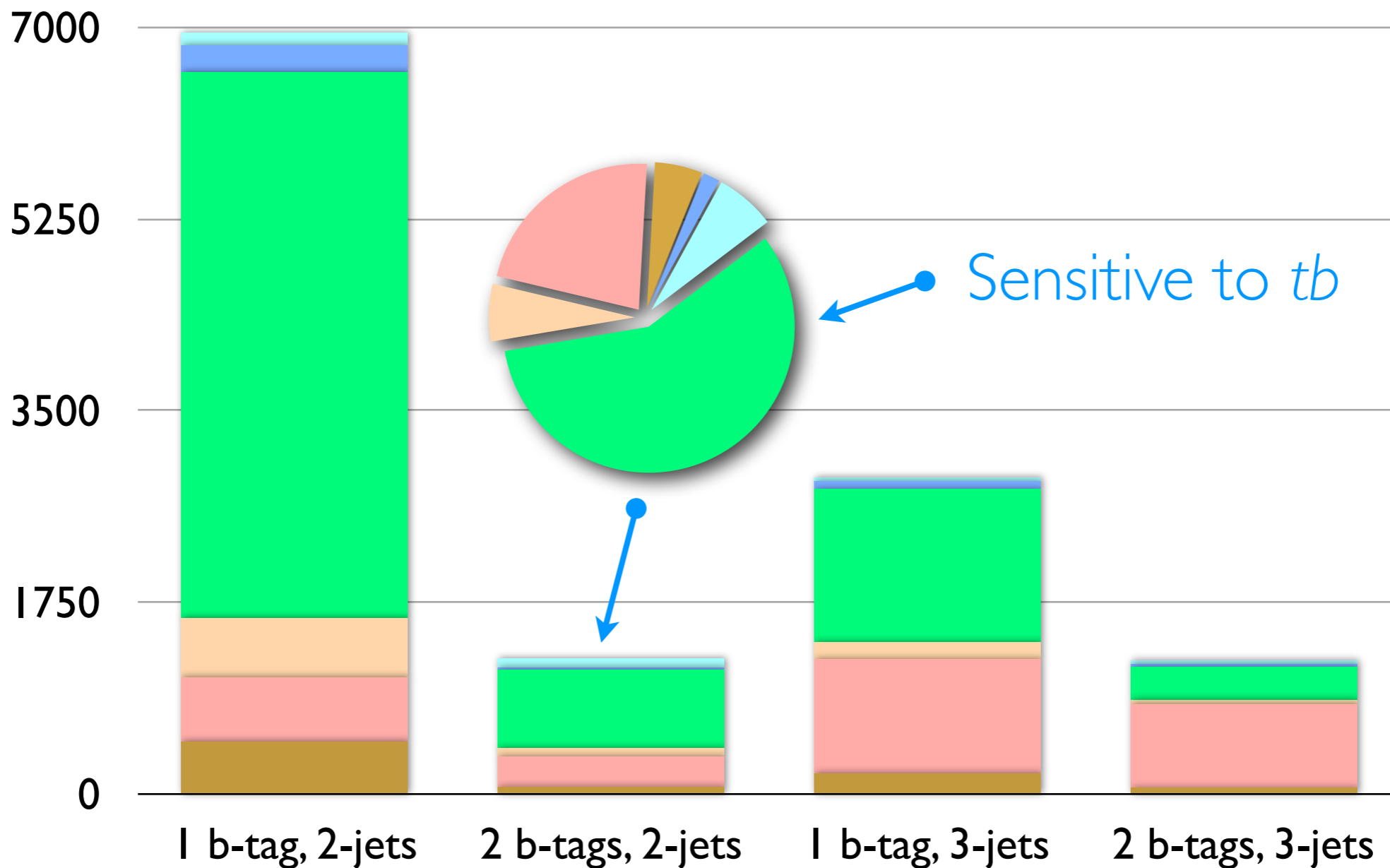


# Background Contributions



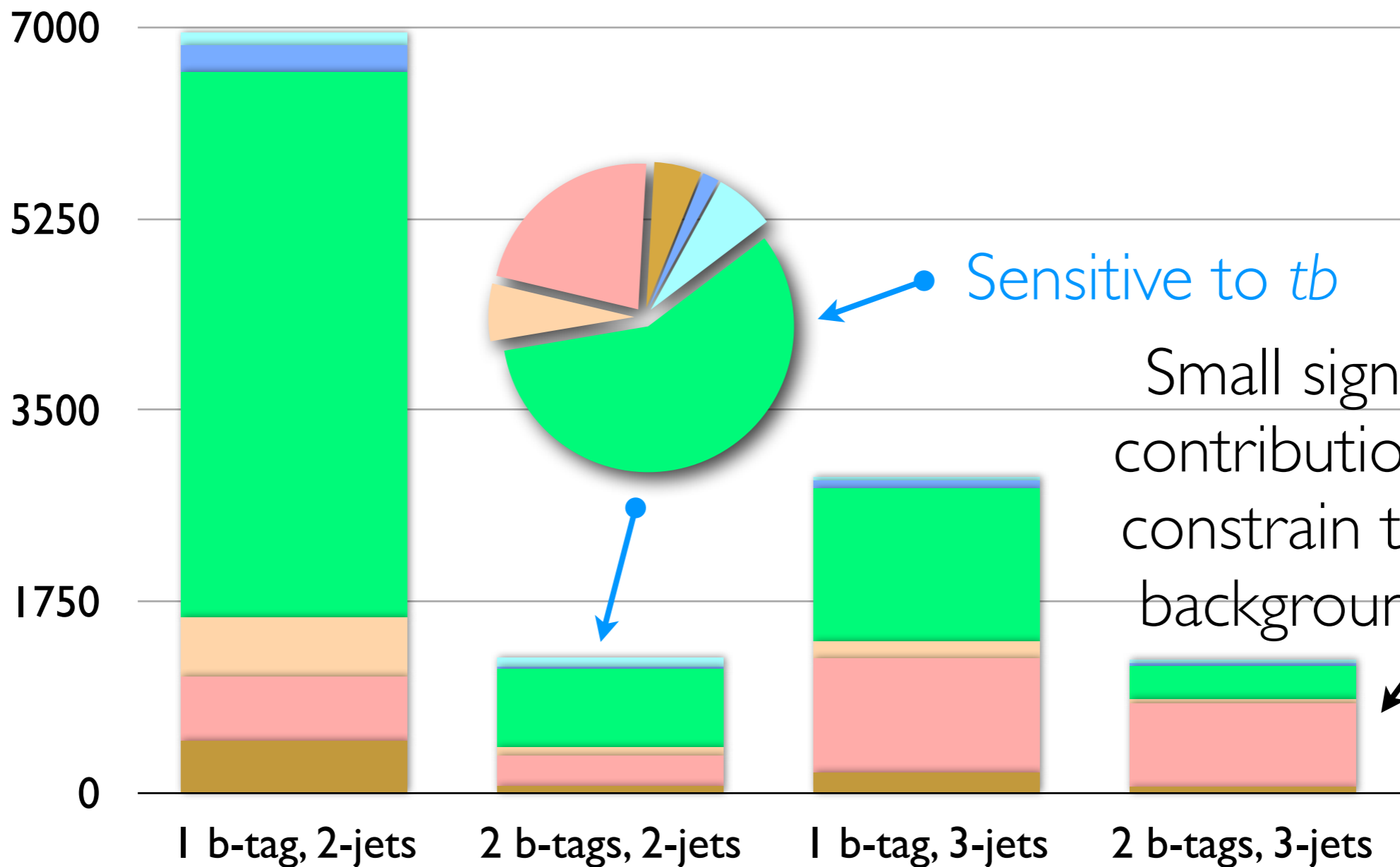
*tb*: *tqb*: B     1: 2: 59     1: 0.3: 14     1: 2: 85     1: 1: 40

# Background Contributions



*tb*: *tqb*: B    1: 2: 59    1: 0.3: 14    1: 2: 85    1: 1: 40

# Background Contributions

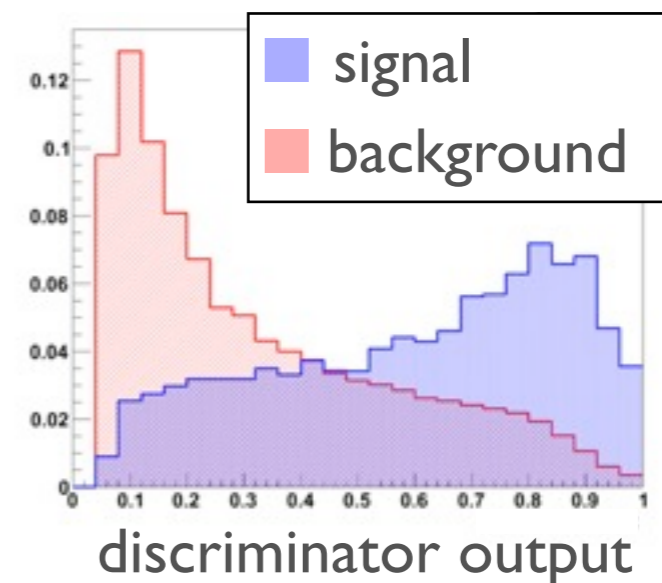
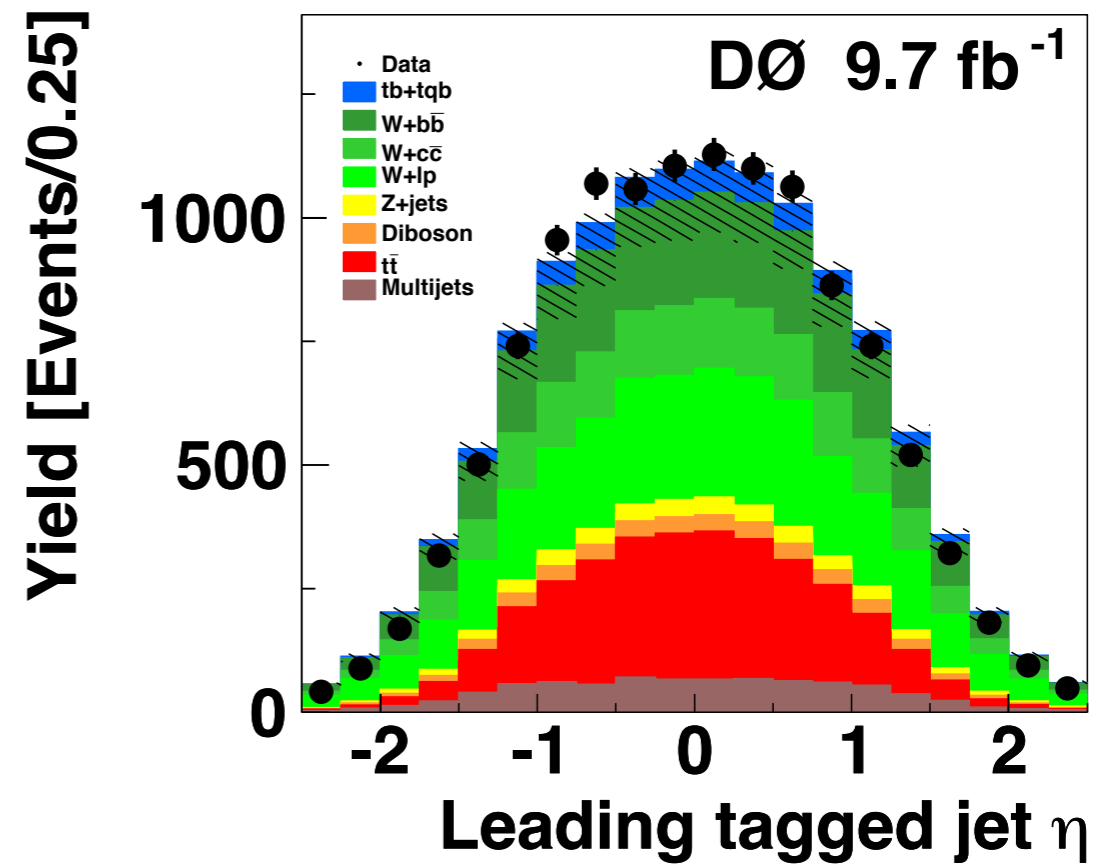


Small signal contributions; constrain the background

$tb: tqb: B$  1: 2: 59      1: 0.3: 14      1: 2: 85      1: 1: 40

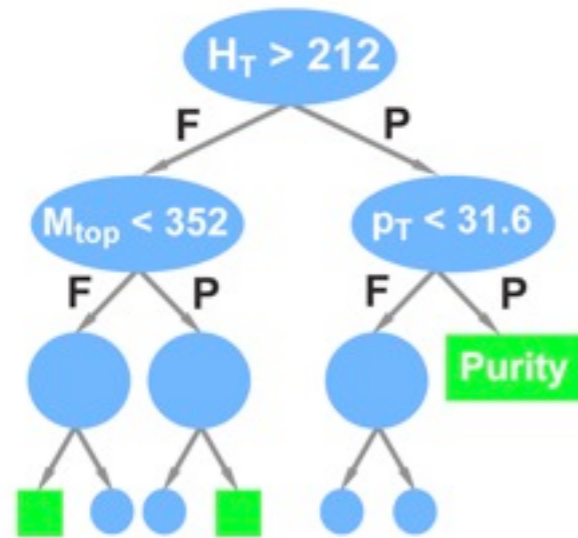
# Not A Counting Experiment

- The amount of signal is less than the uncertainty on the backgrounds
- Not feasible to perform a counting experiment
- Need a variable to separate the signals and backgrounds
- No single kinematic or topological variable is sufficient
- Need a multivariate method

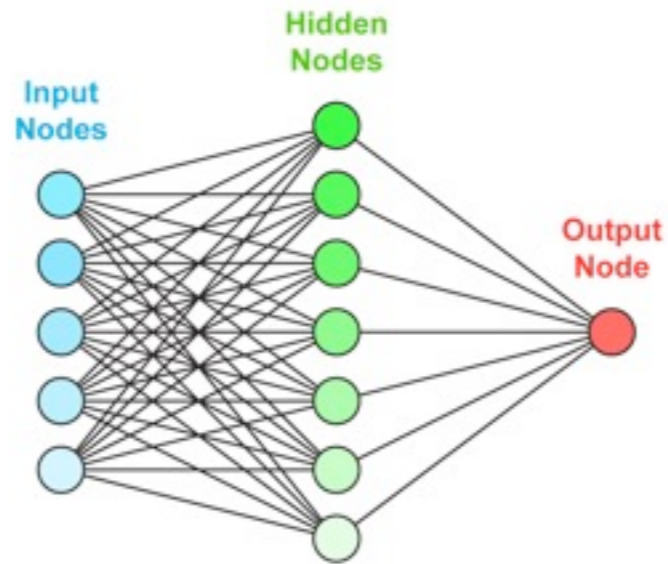


# Multivariate Techniques

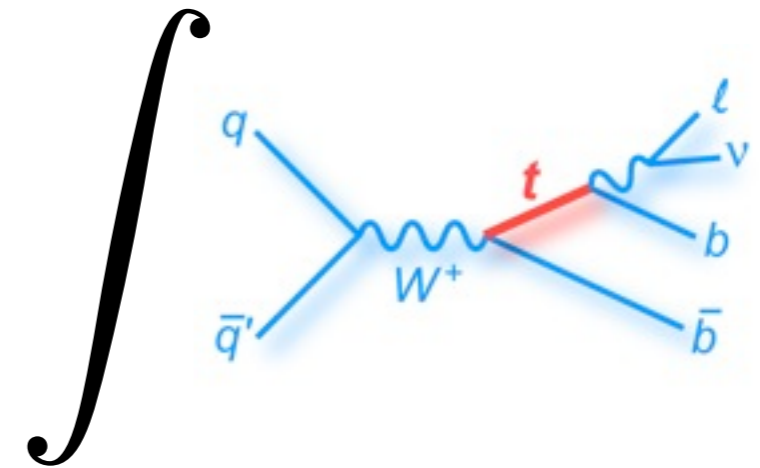
BDT



BNN

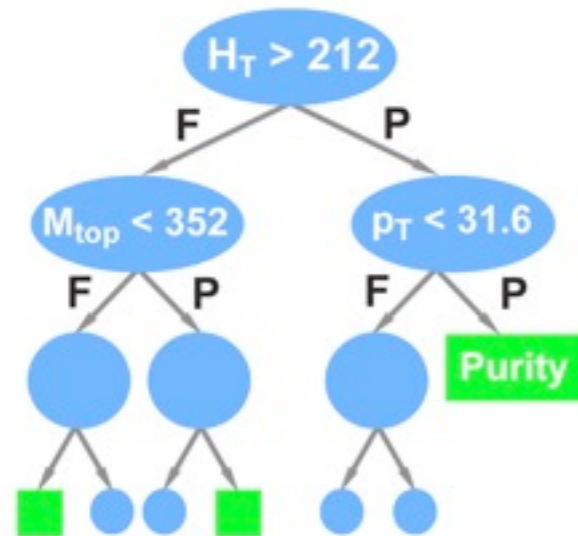


ME

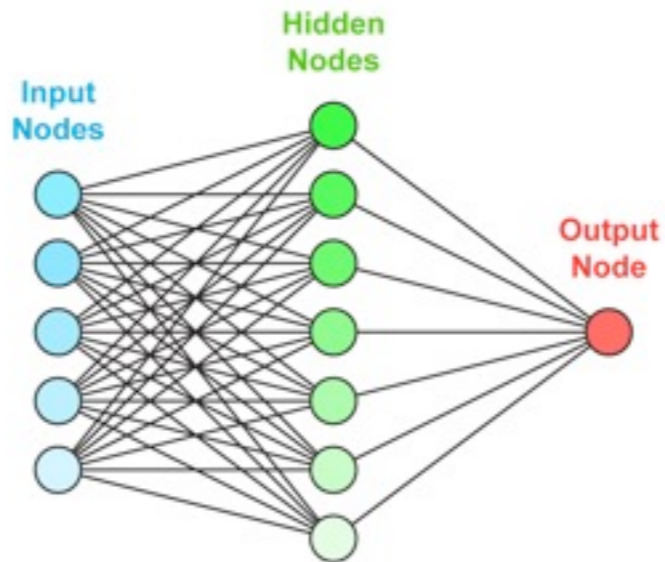


# Multivariate Techniques

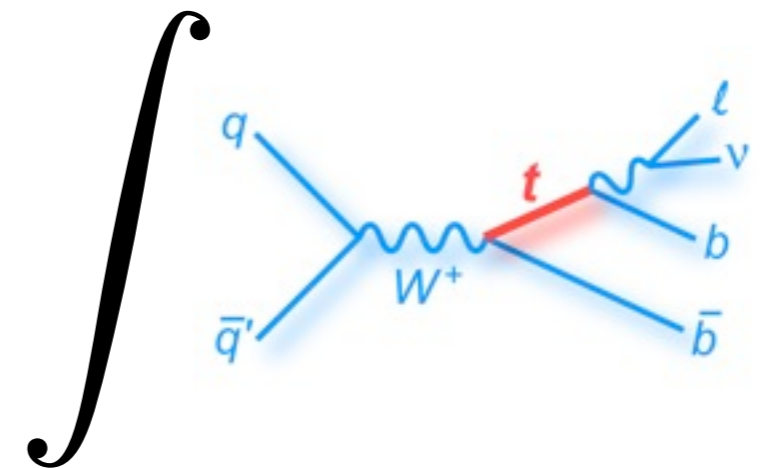
BDT



BNN



ME



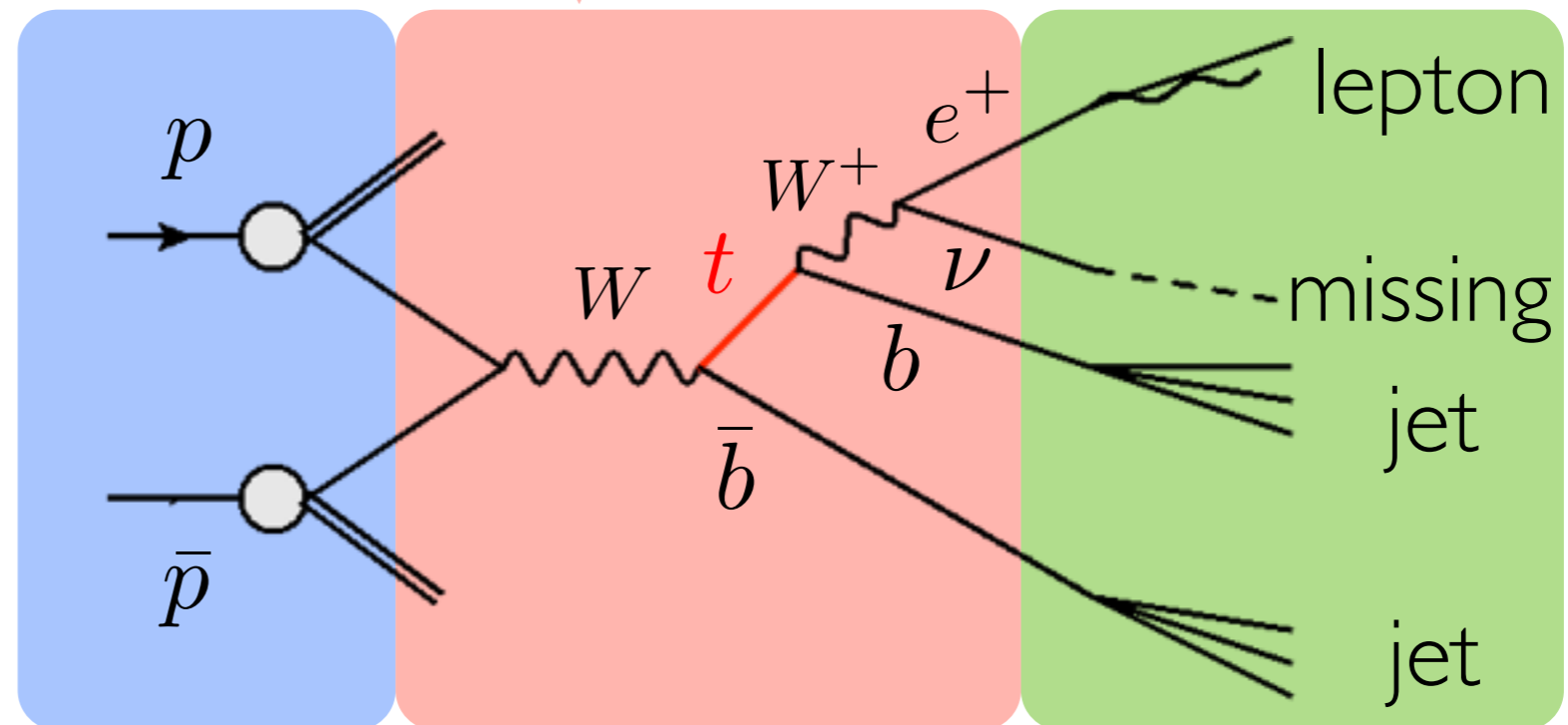
- Machine learning technique
- Use well-described variables (KS>0.25) as the input
- Train  $tb$  and  $tqb$  individually  
1/4 MC for training, 1/2 measurement
- Form  $tb$  and  $tqb$  discriminants

- Calculate probabilities
- Form  $tb$  and  $tqb$  likelihood ratios
- Less correlated with the others

# Matrix Element Method

$$P(\vec{x}) = \frac{1}{\sigma^{obs}} \sum_{x,y} \sum_{i,j} \int f_i(q_1) dq_1 f_j(q_2) dq_2 \frac{\partial \sigma_{hs,ij}(\vec{y})}{\partial \vec{y}} W(\vec{x}, \vec{y}) d\vec{y}$$

LO Matrix  
Element



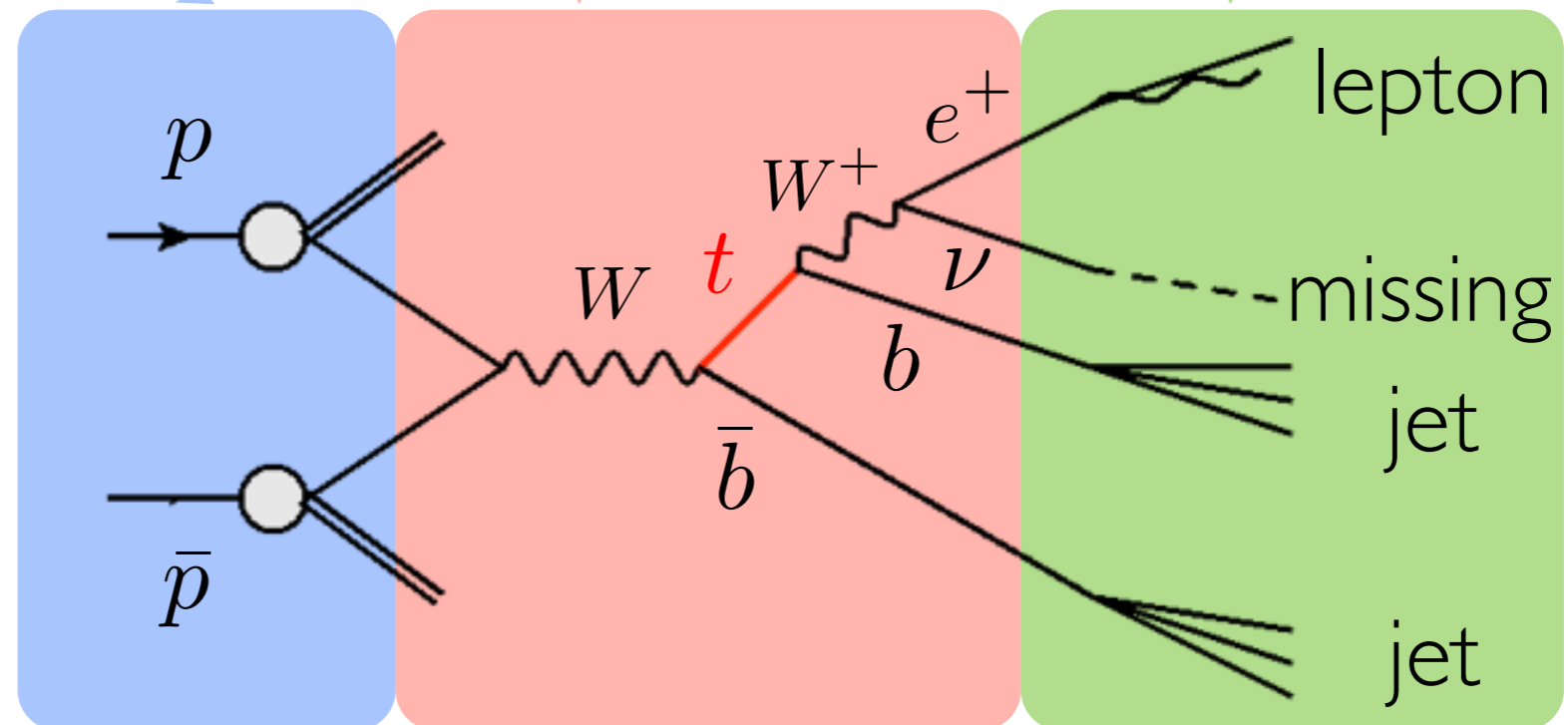
# Matrix Element Method

$$P(\vec{x}) = \frac{1}{\sigma^{obs}} \sum_{x,y} \sum_{i,j} \int f_i(q_1) dq_1 f_j(q_2) dq_2 \frac{\partial \sigma_{hs,ij}(\vec{y})}{\partial \vec{y}} W(\vec{x}, \vec{y}) d\vec{y}$$

CTEQ6L  
PDF

LO Matrix  
Element

Detector Resolution:  
Transfer Functions  
for electrons, muons  
and jets





# Matrix Element Method

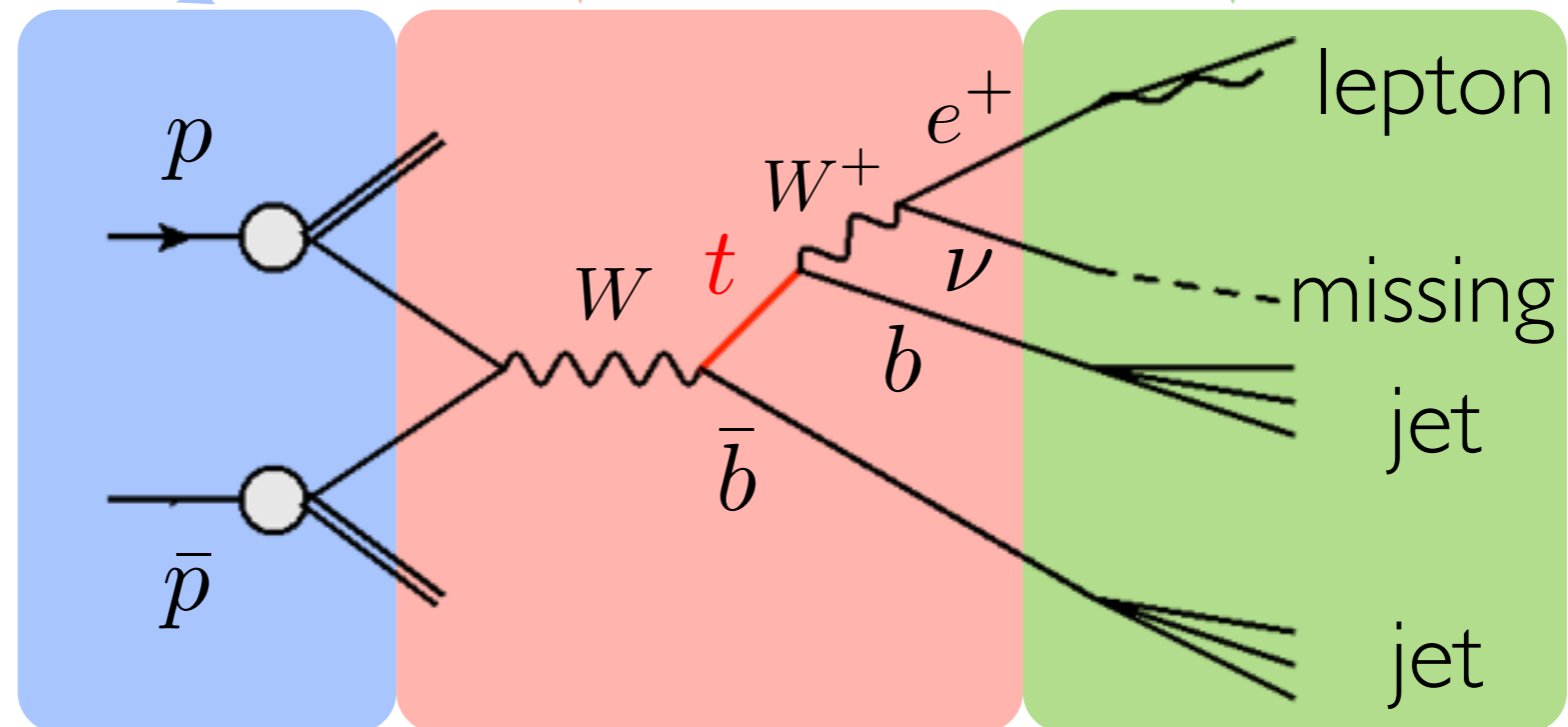
$$P(\vec{x}) = \frac{1}{\sigma^{obs}} \sum_{x,y} \sum_{i,j} \int f_i(q_1) dq_1 f_j(q_2) dq_2 \frac{\partial \sigma_{hs,ij}(\vec{y})}{\partial \vec{y}} W(\vec{x}, \vec{y}) d\vec{y}$$

Jet-Parton  
Assignment;  
Utilize *b*-ID

CTEQ6L  
PDF

LO Matrix  
Element

Detector Resolution:  
Transfer Functions  
for electrons, muons  
and jets



# Matrix Element Method

$$P(\vec{x}) = \frac{1}{\sigma^{obs}} \sum_{x,y} \sum_{i,j} \int f_i(q_1) dq_1 f_j(q_2) dq_2 \frac{\partial \sigma_{hs,ij}(\vec{y})}{\partial \vec{y}} W(\vec{x}, \vec{y}) d\vec{y}$$

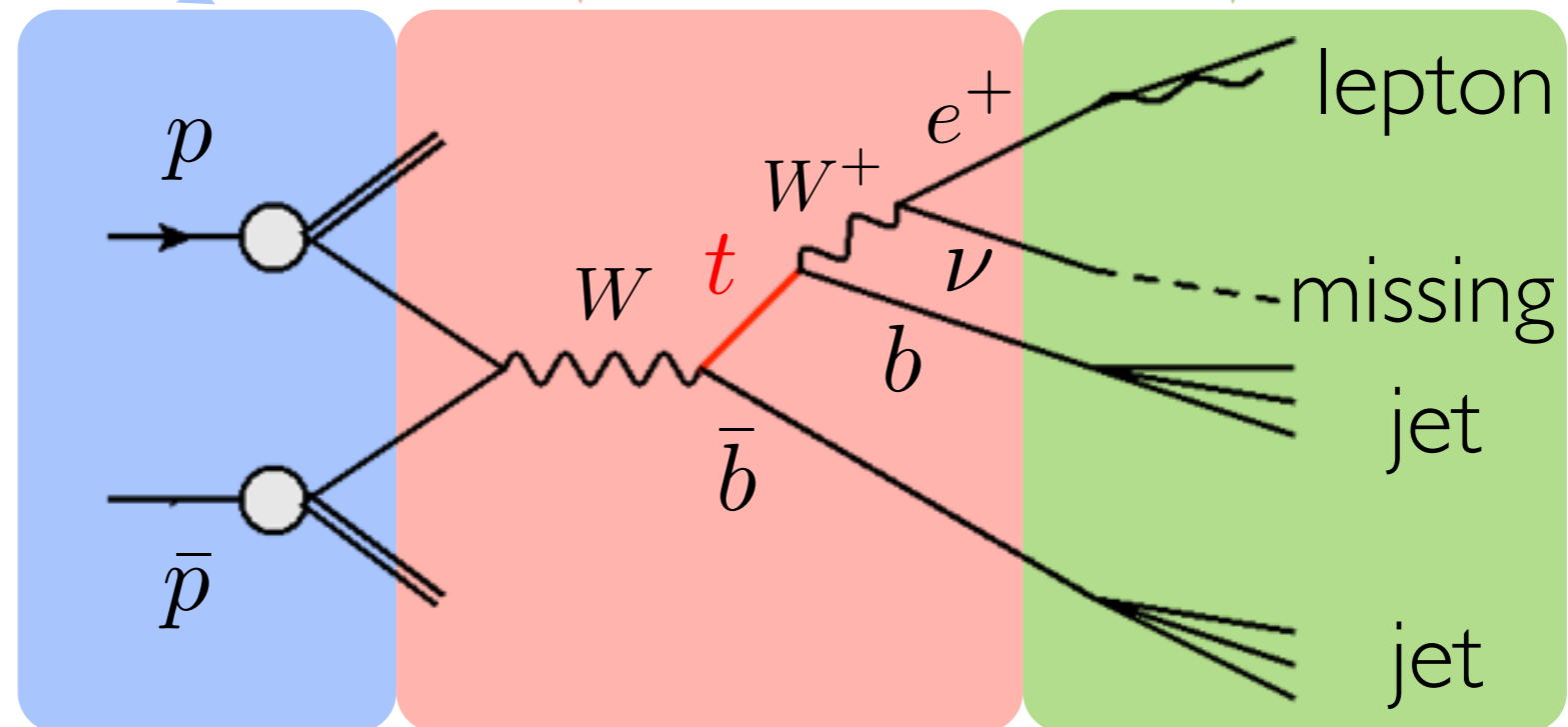
Jet-Parton  
Assignment;  
Utilize *b*-ID

CTEQ6L  
PDF

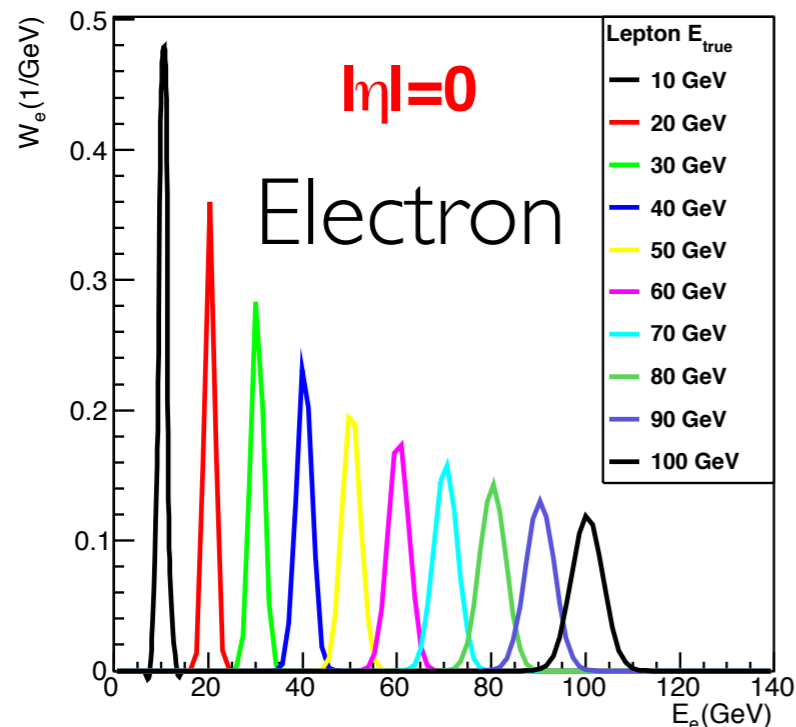
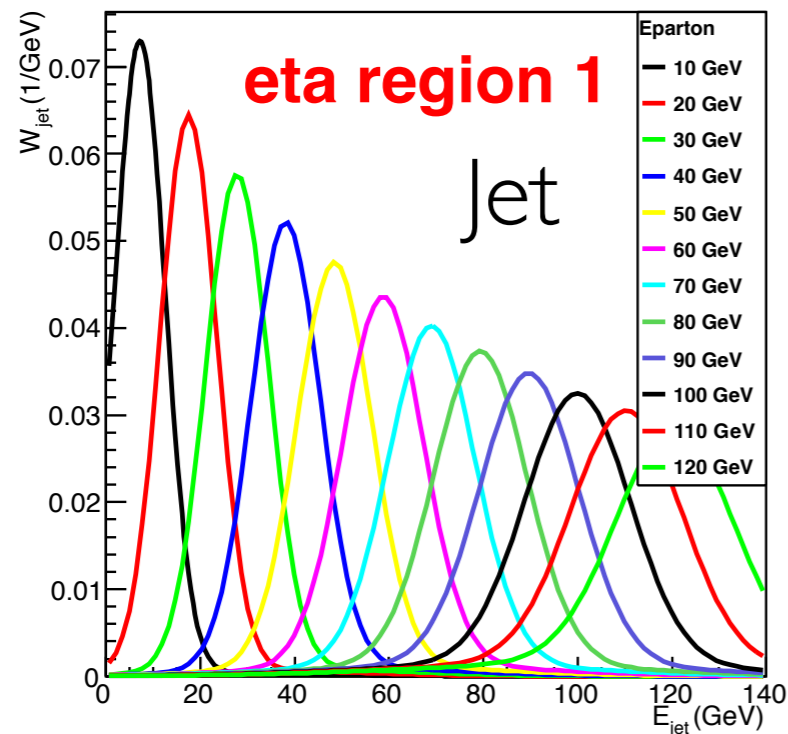
LO Matrix  
Element

Detector Resolution:  
Transfer Functions  
for electrons, muons  
and jets

- Efficiently returns full event information
- Integration performed numerically



# Transfer Functions: $W(\vec{x}, \vec{y})$



- Model the detector resolution effects
- For all final state objects: **electrons**, **muons**, **jets**, and **jets mis-reconstructed as electrons**
- Gaussian functions of difference between:
  - reconstructed and true energy (electrons, jets, fake electrons)
  - reconstructed and true transverse momentum (muons)
- Parameters determined from the simulated samples

# Matrix Element Processes

Two Jets		Three Jets	
Name	Process	Name	Process
$tb$	$u\bar{d} \rightarrow t\bar{b}$	$tbg$	$u\bar{d} \rightarrow t\bar{b}g$
$tq$	$ub \rightarrow td$	$tqb$	$ug \rightarrow t\bar{d}\bar{b}$
	$\bar{d}b \rightarrow t\bar{u}$		$\bar{d}g \rightarrow t\bar{u}\bar{b}$
		$tqg$	$ub \rightarrow tdg$
			$\bar{d}b \rightarrow t\bar{u}g$
$Wbb$	$u\bar{d} \rightarrow Wb\bar{b}$	$Wbbg$	$u\bar{d} \rightarrow Wb\bar{b}g$
$Wcg$	$sg \rightarrow Wcg$	$Wugg$	$\bar{u}g \rightarrow W\bar{u}gg$
$Wgg$	$u\bar{d} \rightarrow Wgg$		
$WW$	$u\bar{u} \rightarrow WW$		
$WZ$	$u\bar{d} \rightarrow WZ$		
$ggg$	$gg \rightarrow ggg$		
$t\bar{t}$	$u\bar{u} \rightarrow t\bar{t}$	$t\bar{t}$	$u\bar{u} \rightarrow t\bar{t}$

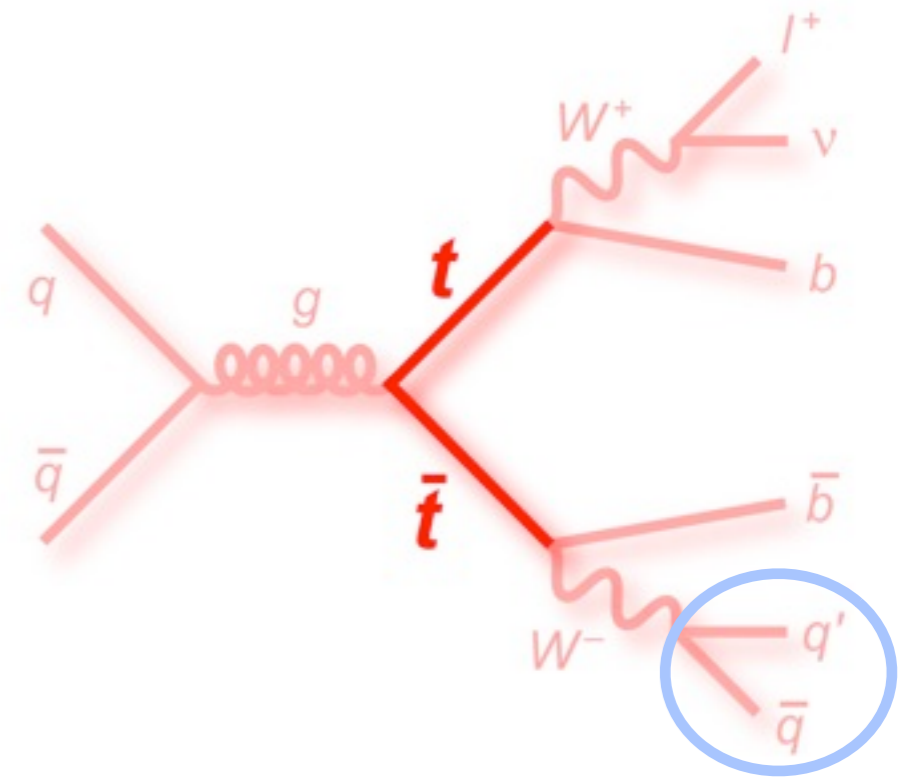
- the more background diagrams, the better discrimination

# Dimensionality of Phase Space

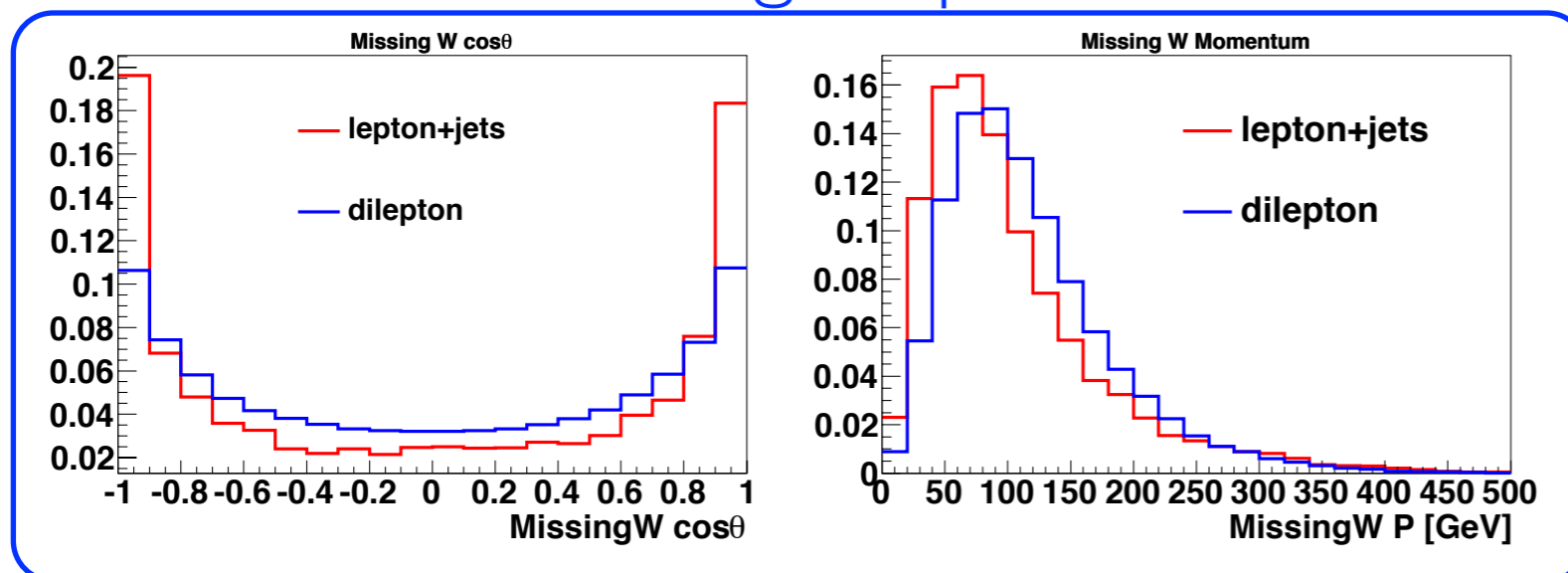
	D.O.F.	$n=4$ (2jets)	$n=5$ (3jets)
2 initial and $n$ final state objects	$(2+n) \times 4$	24	28
Energy and momentum conservation	-4	-4	-4
All masses are known	$-(2+n)$	-6	-7
The initial partons are in z-axis	$-2 \times 2$	-4	-4
The directions of the final objects are well measured, except neutrinos	$-2 \times (n-1)$	-6	-8
Remaining dimensions	$n$	4	5
Final integration for signals		$S_W, S_t, p_q, p_z^{\text{tot}}$	$S_W, S_t, p_q, p_q', p_z^{\text{tot}}$

# Top Pair Modeling

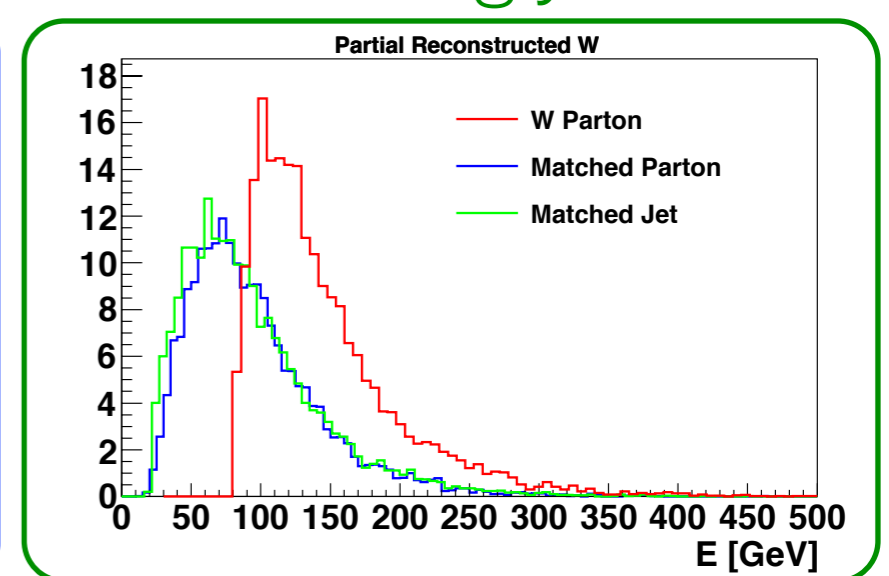
- Top pair  $\rightarrow l\nu bqq'b$  (4 jets)
- Top pair yields in 2jet & 3jet channels are comparable to single top
- Light-jets are 1.6 times more likely to be lost than  $b$ -jets
- Use simulation to obtain a prior of missing jet (3jet) or missing  $W$  (2jet)



Missing W prior

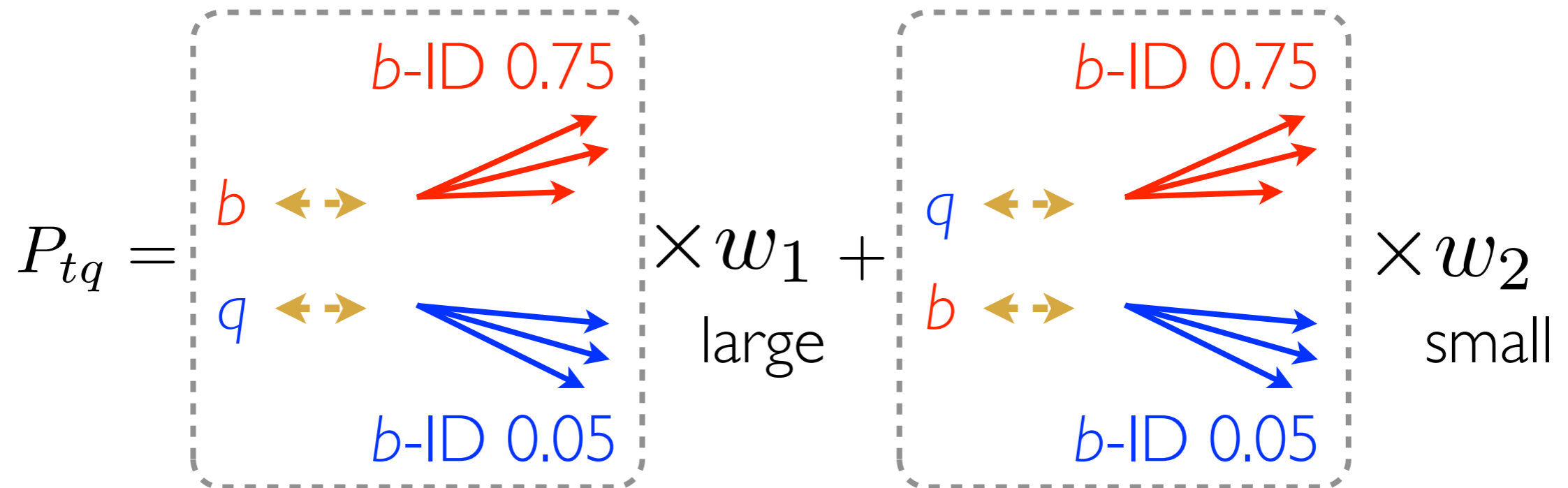


Missing Jet



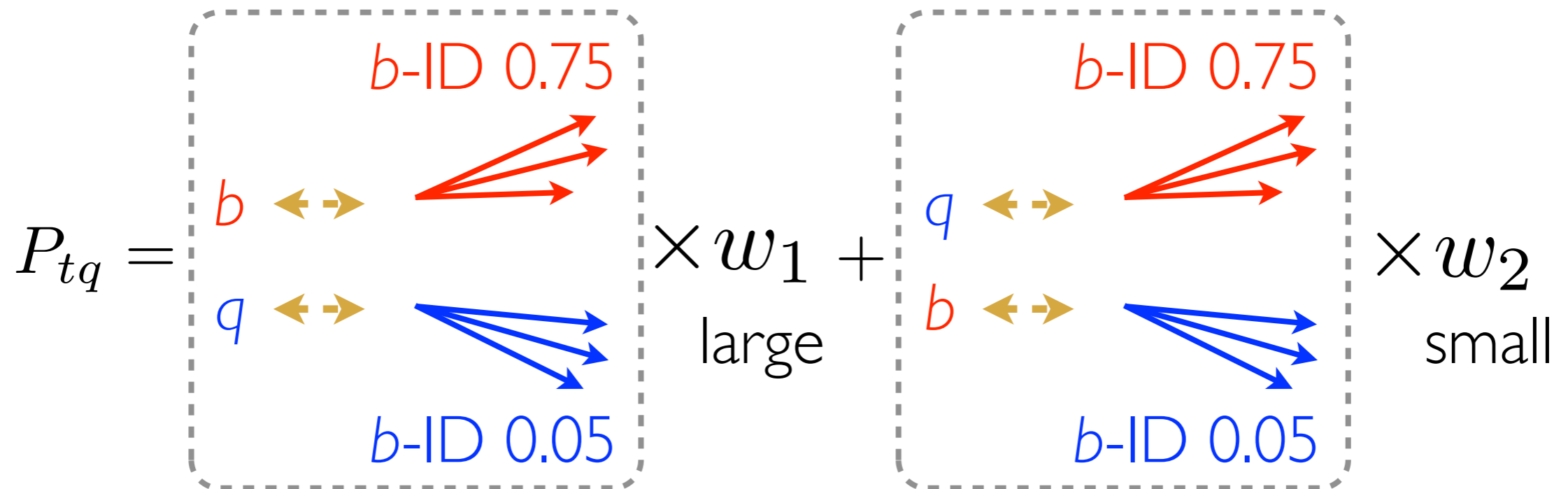
# Discriminant

- $b$ -ID output information included



# Discriminant

- $b$ -ID output information included



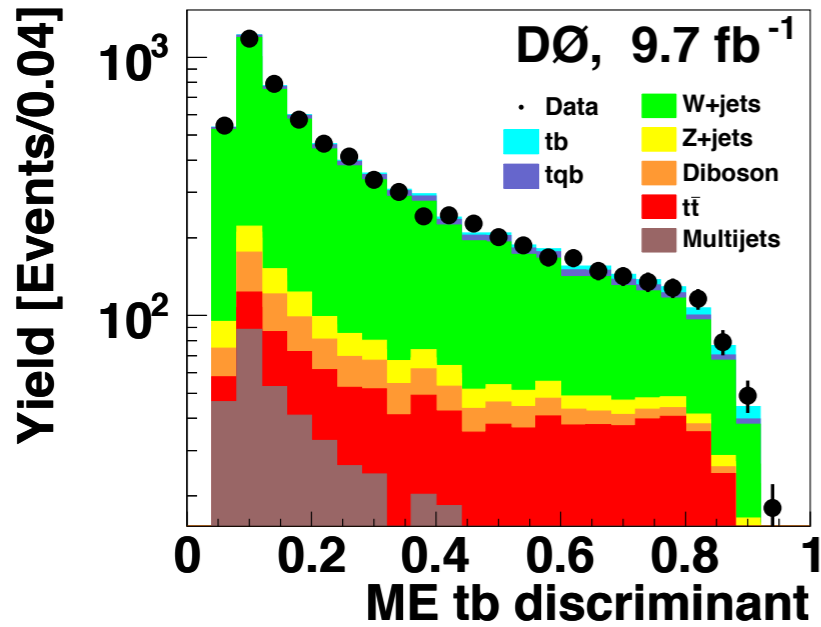
- Discriminant: Likelihood ratio

$$D(x) = \frac{P_{sig}(x)}{P_{sig}(x) + P_{bkgd}(x)}$$

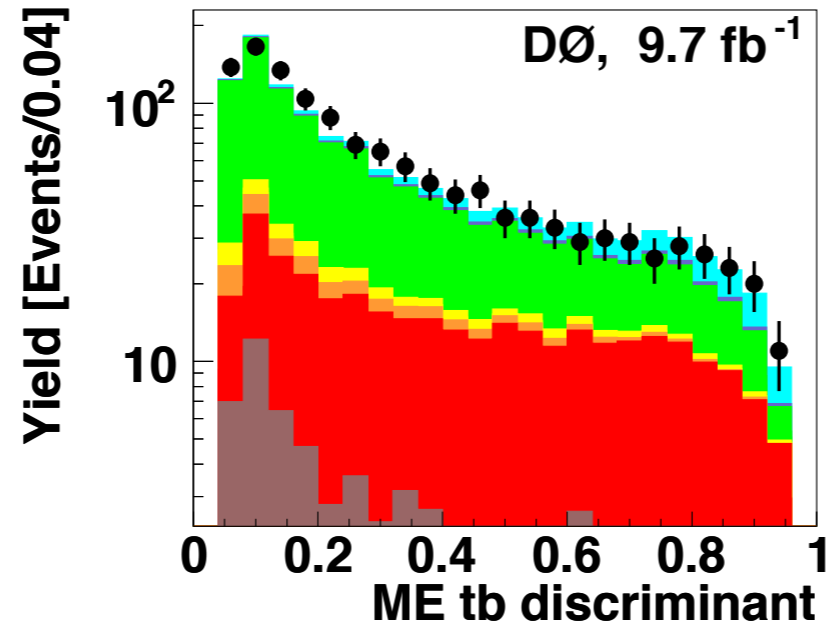


# ME Discriminant

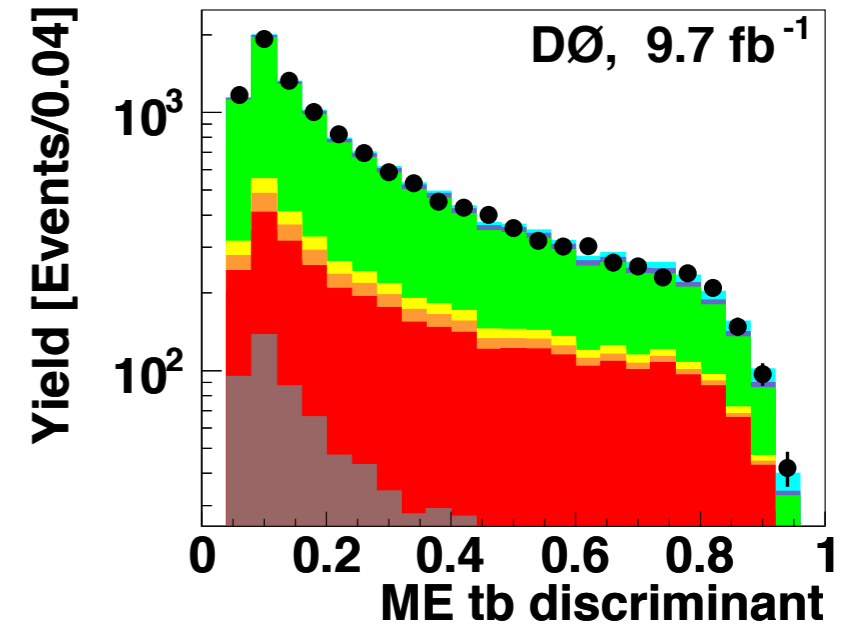
1 *b*-tag, 2 jets



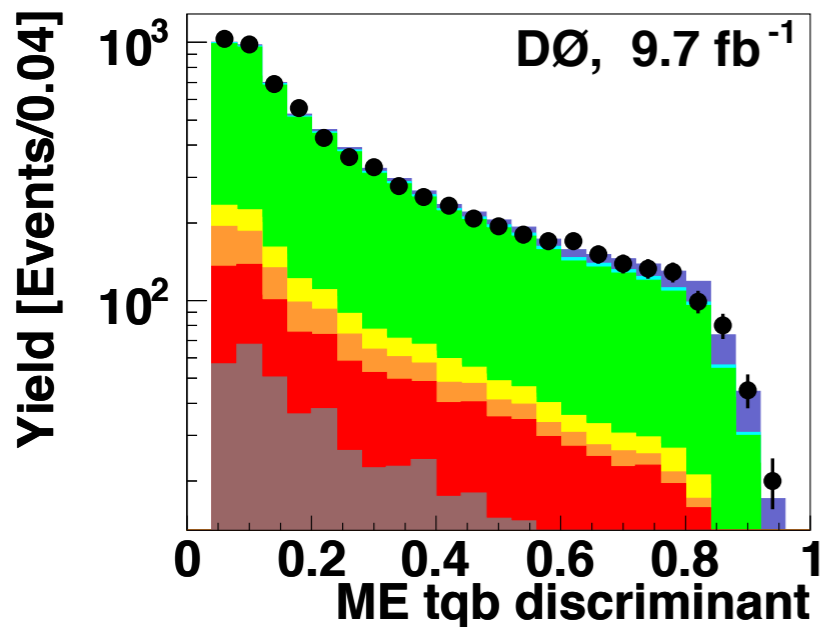
2 *b*-tags, 2 jets



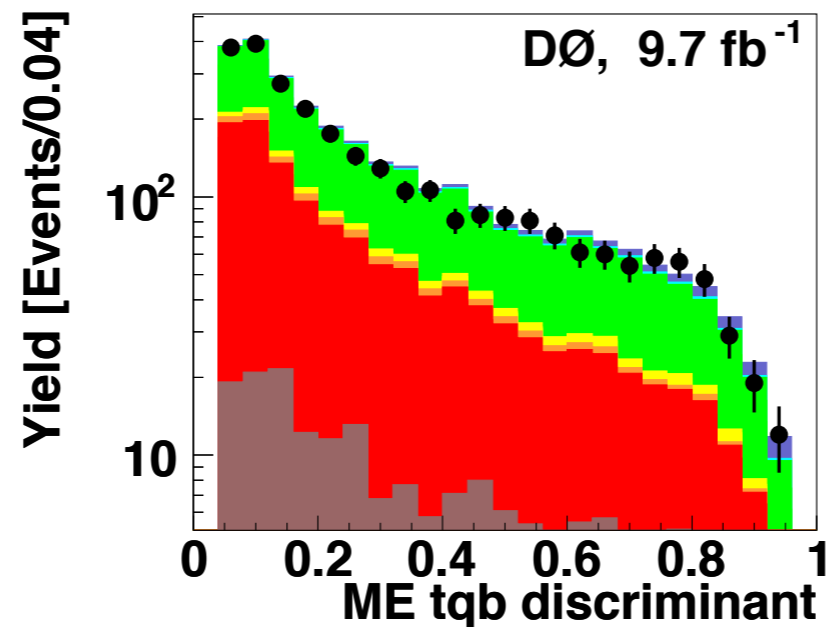
1-2 *b*-tags, 2-3 jets



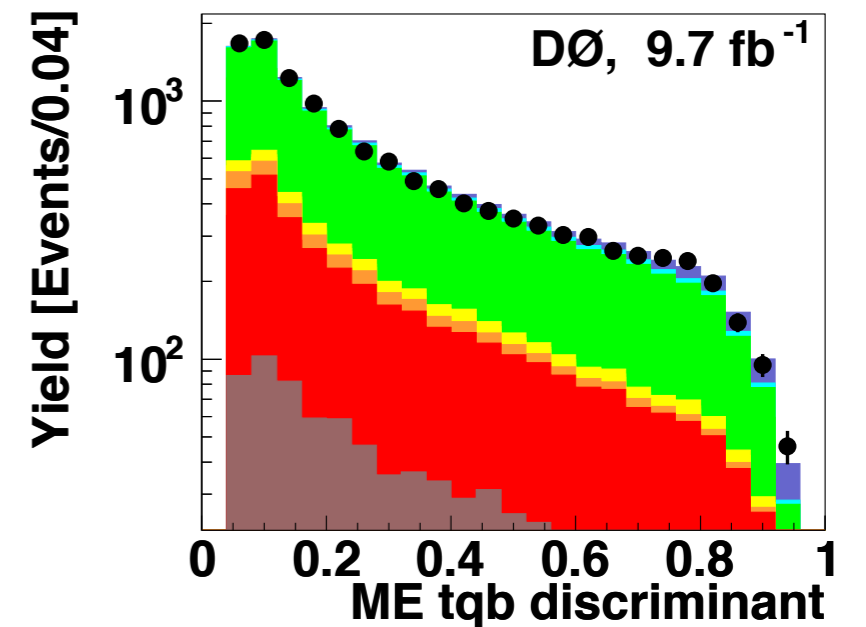
1 *b*-tag, 2 jets



1 *b*-tags, 3 jets

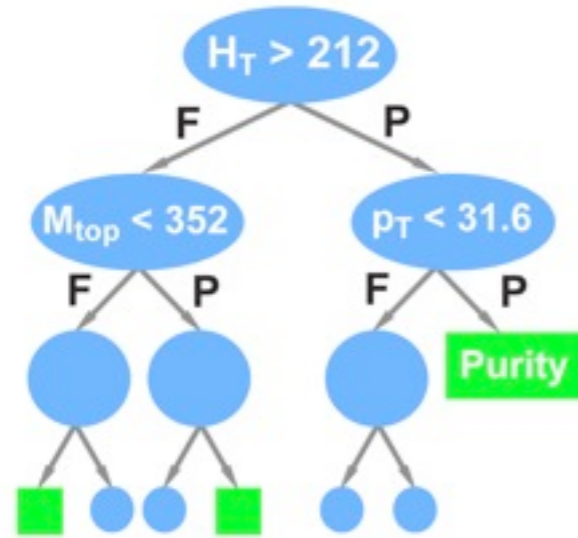


1-2 *b*-tags, 2-3 jets

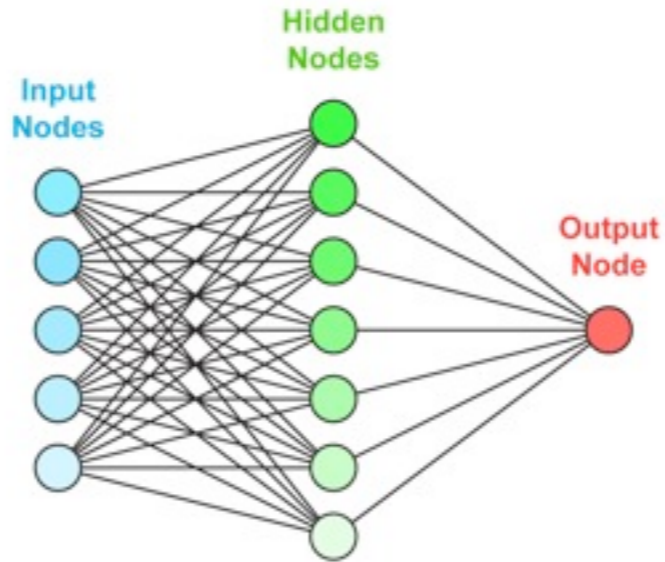


# BNN Combination

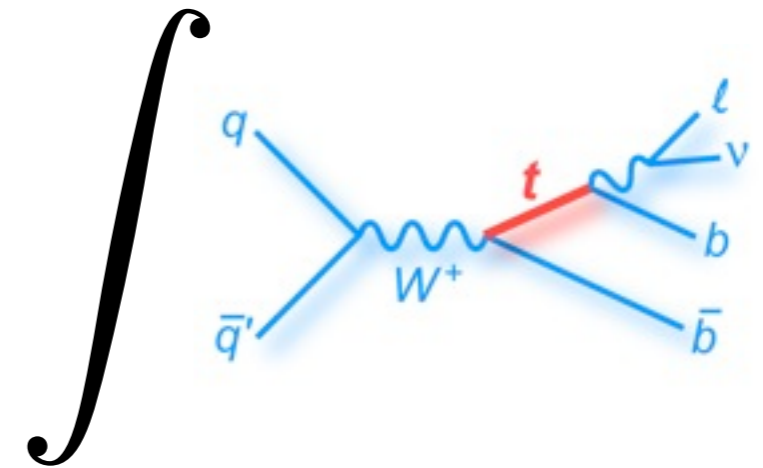
BDT



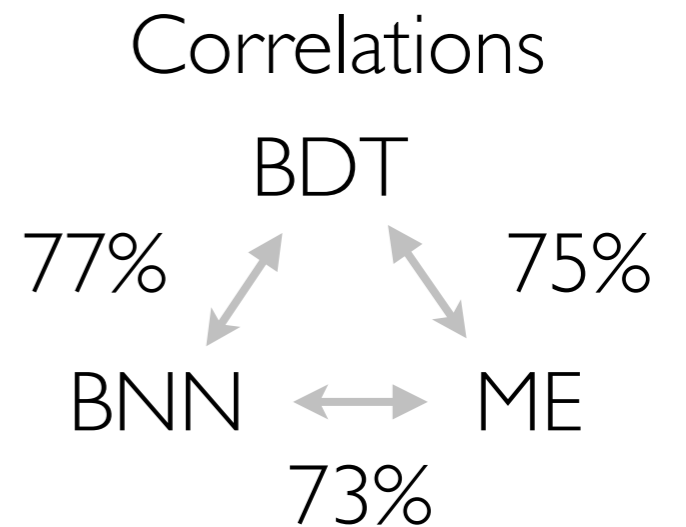
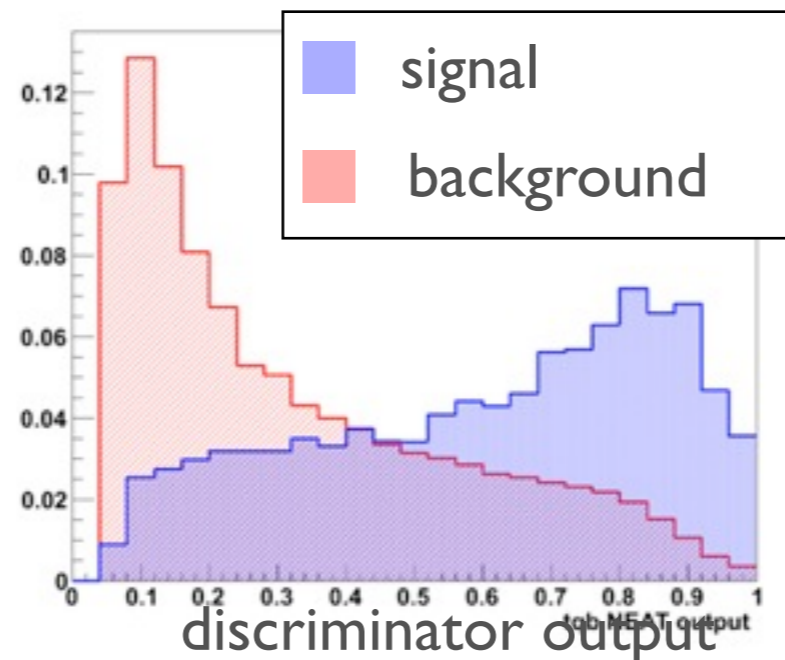
BNN



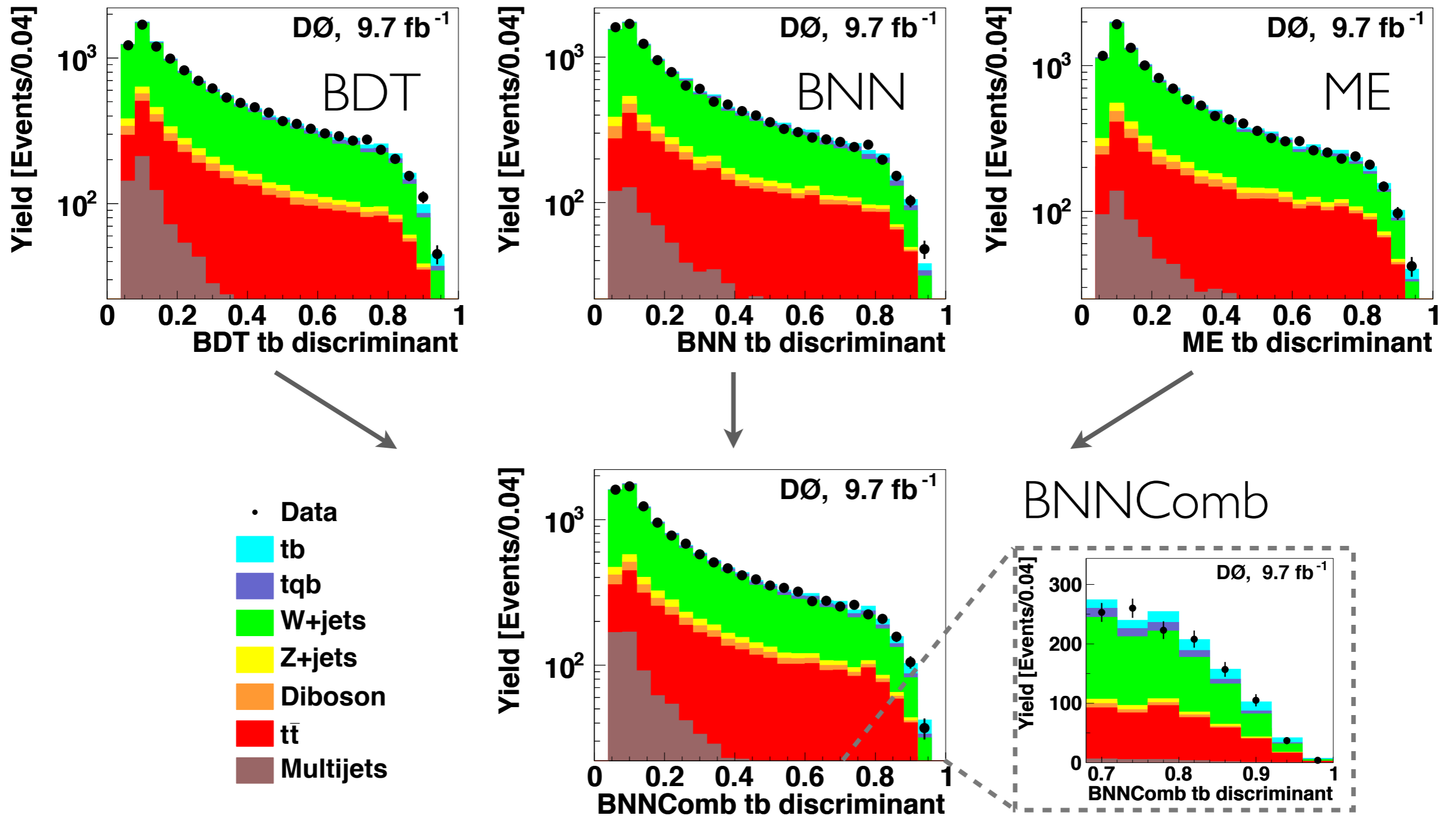
ME



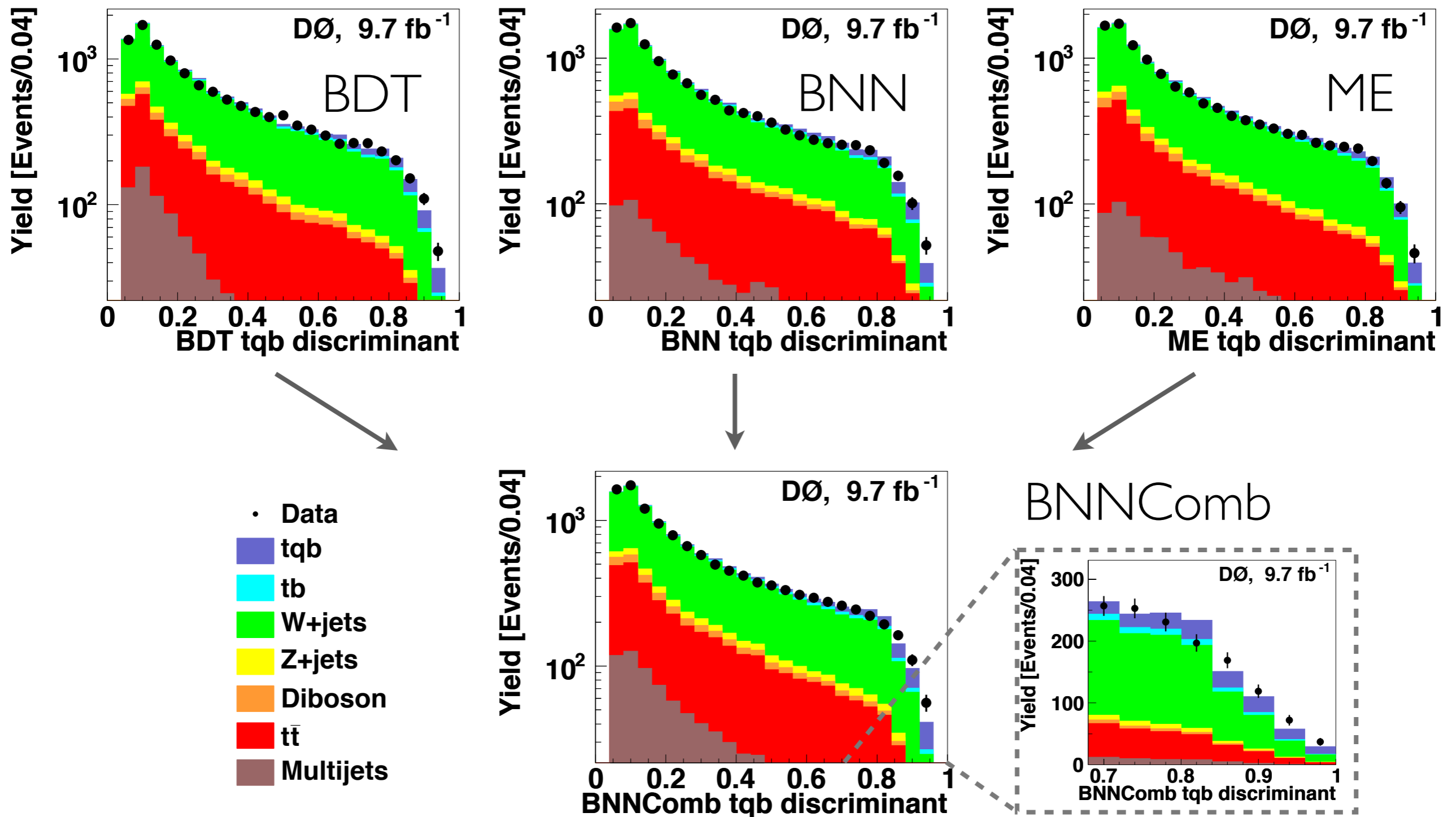
Use BNN to combine the 3 methods



# BNN *tb* Combination

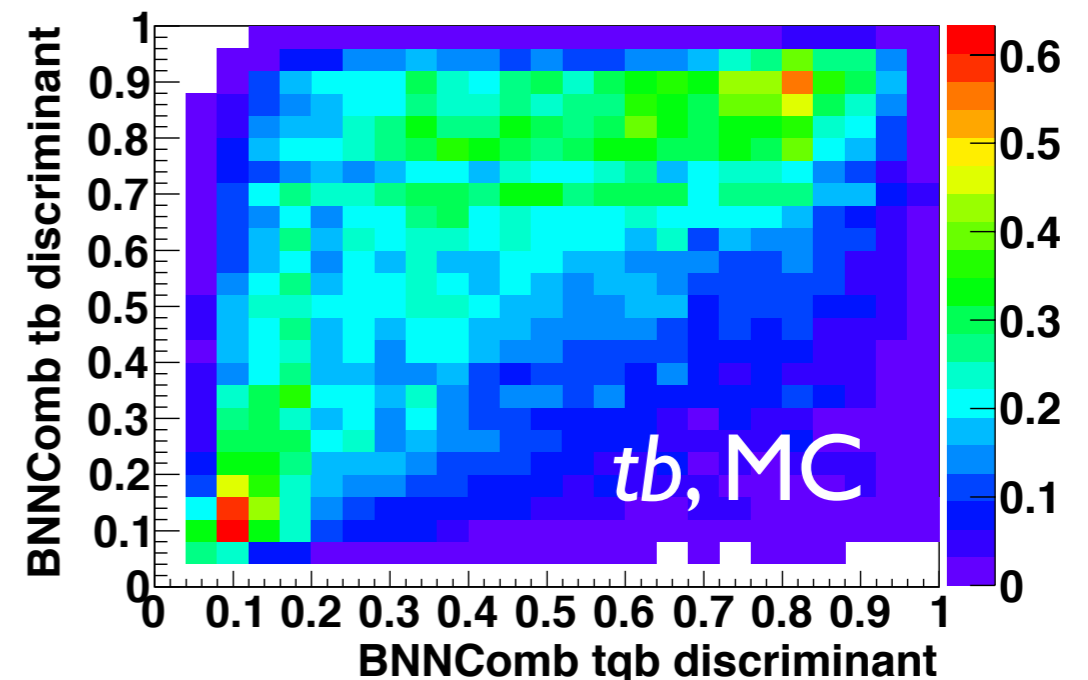
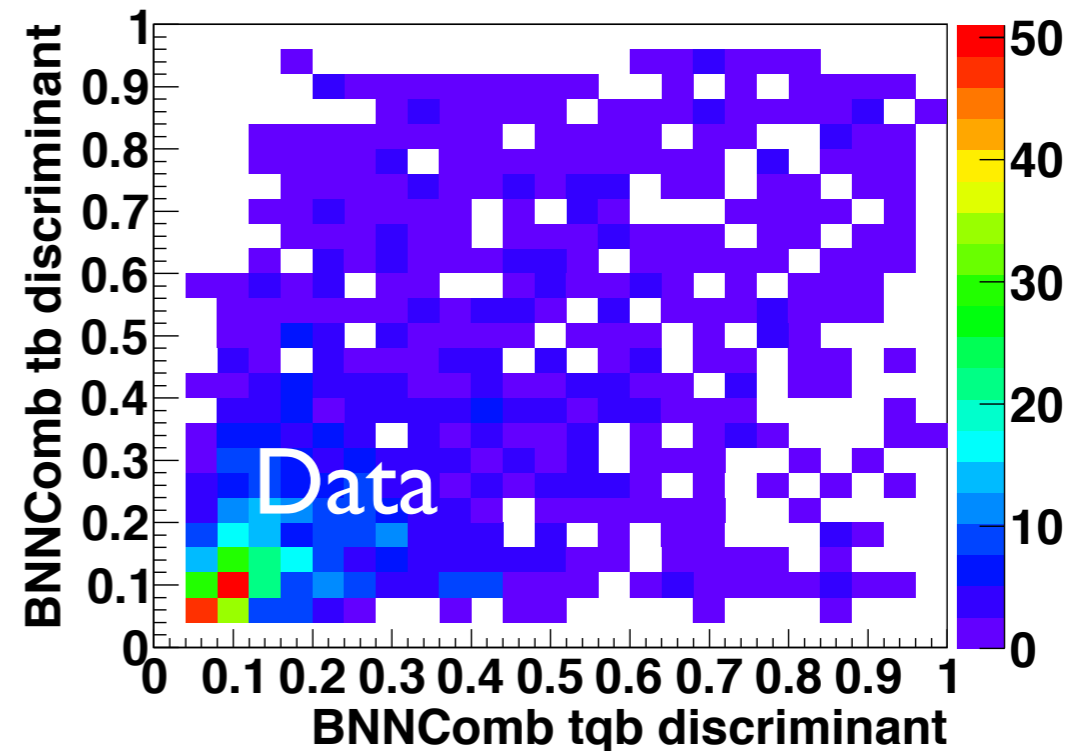


# BNN *tqb* Combination



# New Discriminant

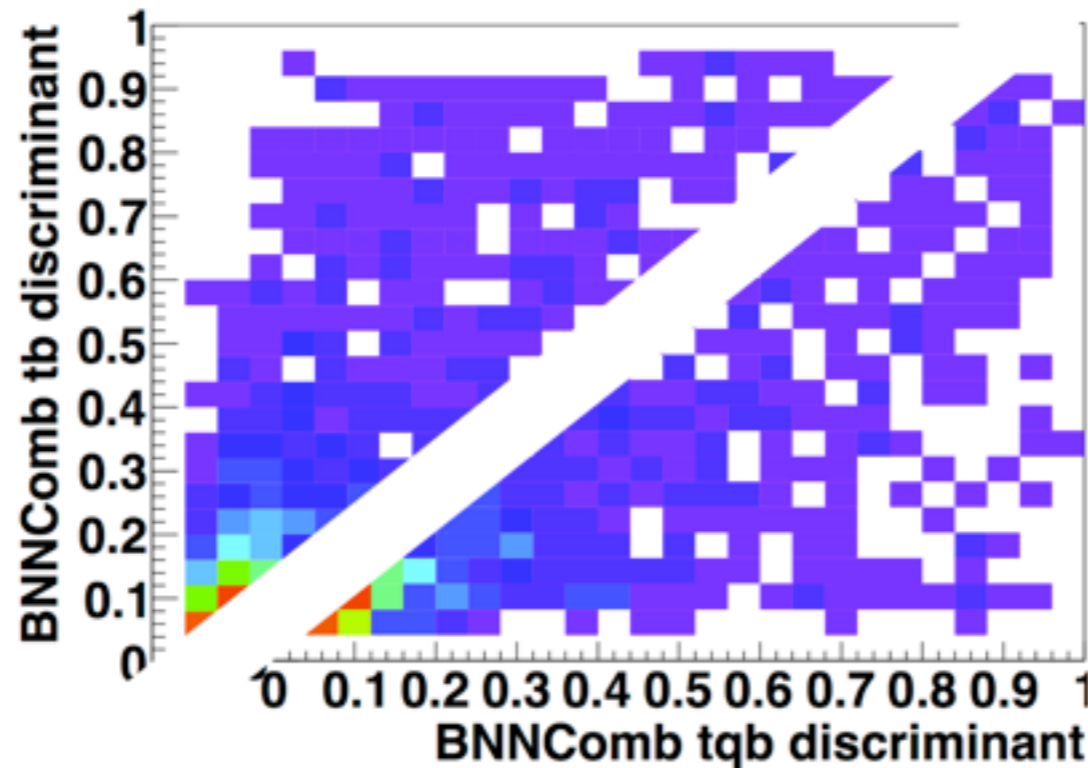
- Aim to simultaneously measure  $tb$  and  $tqb$  signals **without assuming the SM prediction for either**
- At a first step, use a discriminant sensitive to both signals
- Ensure each bin containing enough statistics to have a stable measurement



# New Discriminant

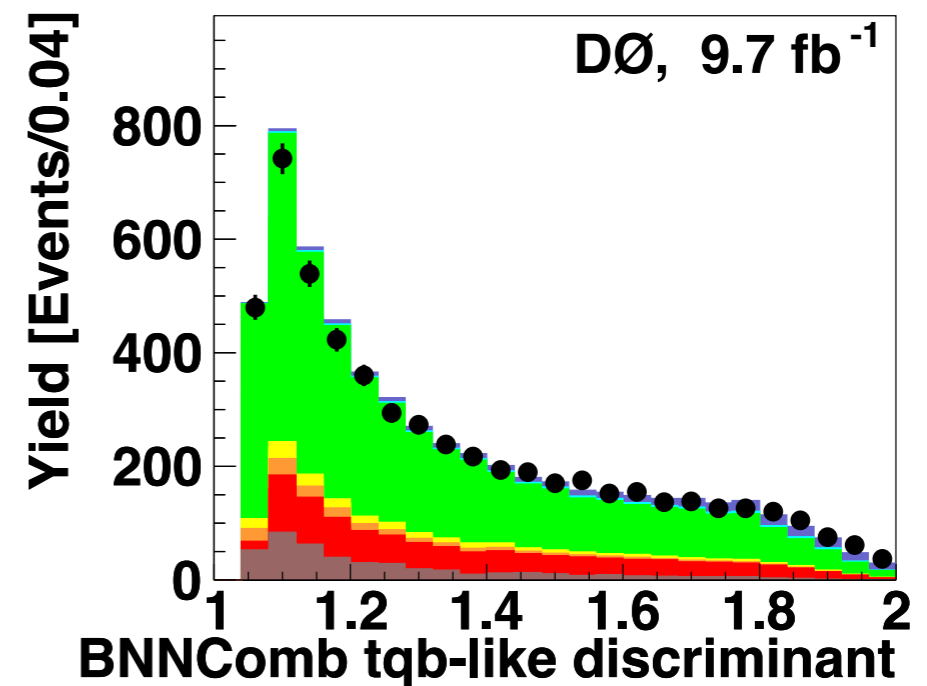
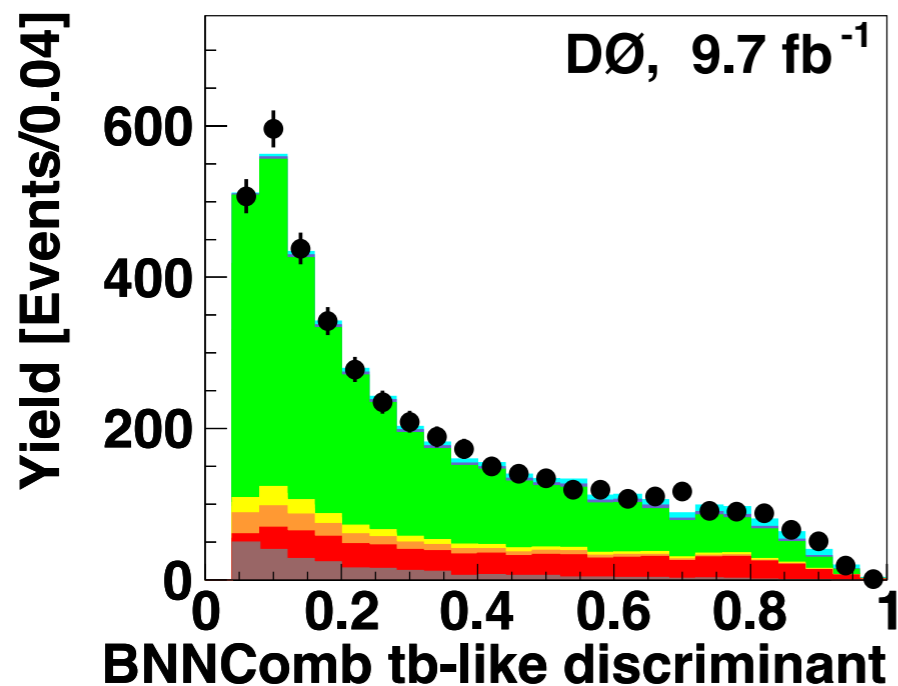
If  $D_{tb} > D_{tqb}$ :

- $tb$  category
- Use  $D_{tb}$
- Plot in the range  $[0, 1]$



If  $D_{tqb} > D_{tb}$ :

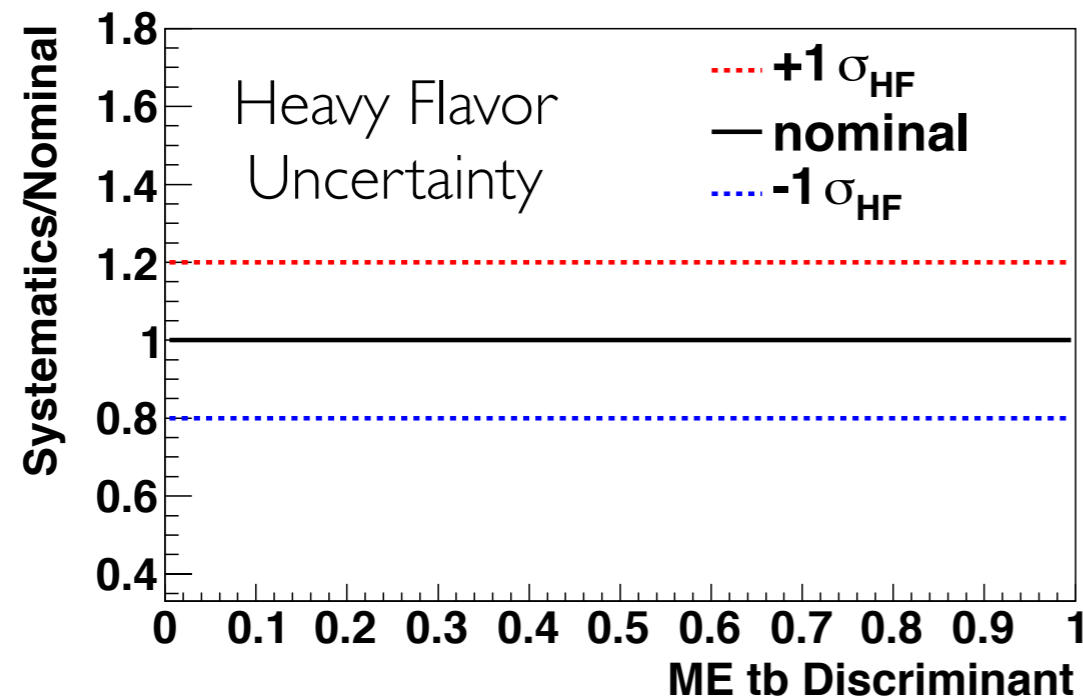
- $tqb$  category
- Use  $D_{tqb}$
- Plot in the range  $[1, 2]$



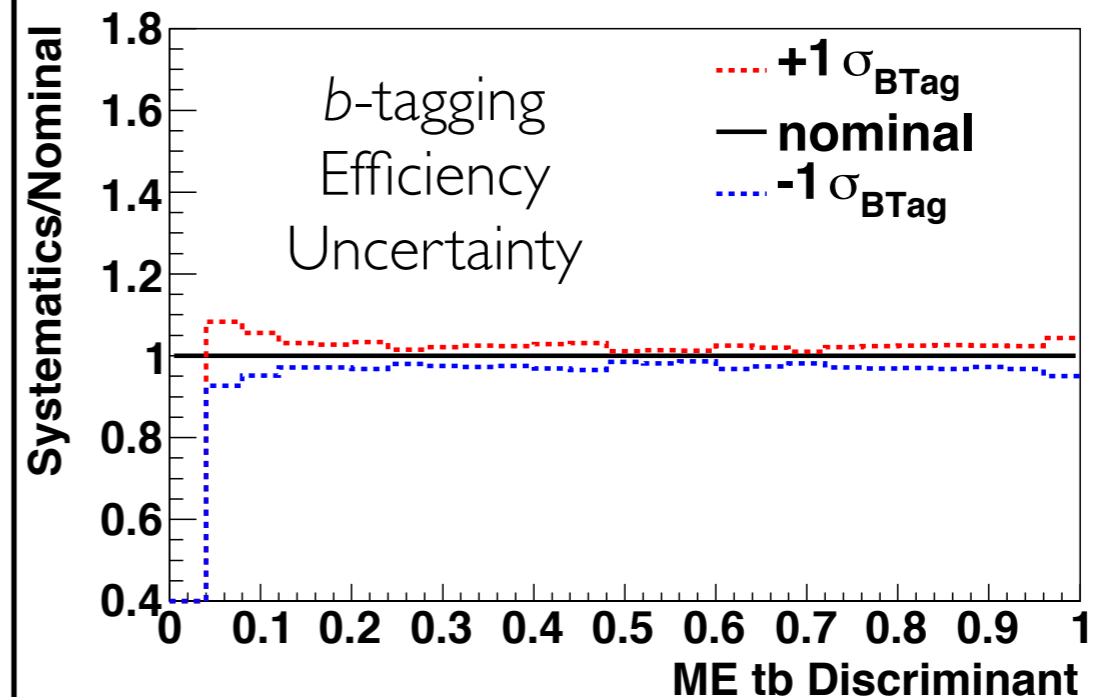
# Systematic Uncertainties

- Assign to each background and each analysis channel
- Some affect only the **overall scale**, and others affect also **the discriminant outputs bin-by-bin** (shape-changing)

Overall Scale



Overall Scale & Shape



# Systematic Uncertainties

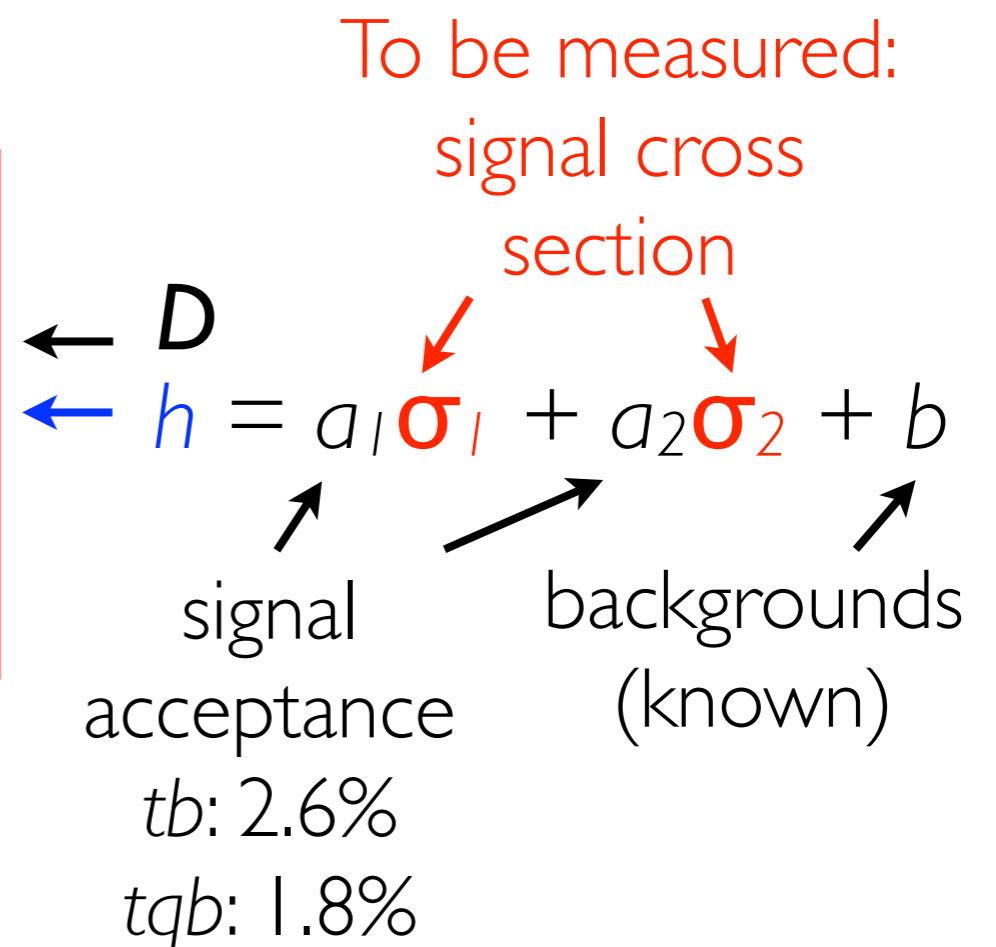
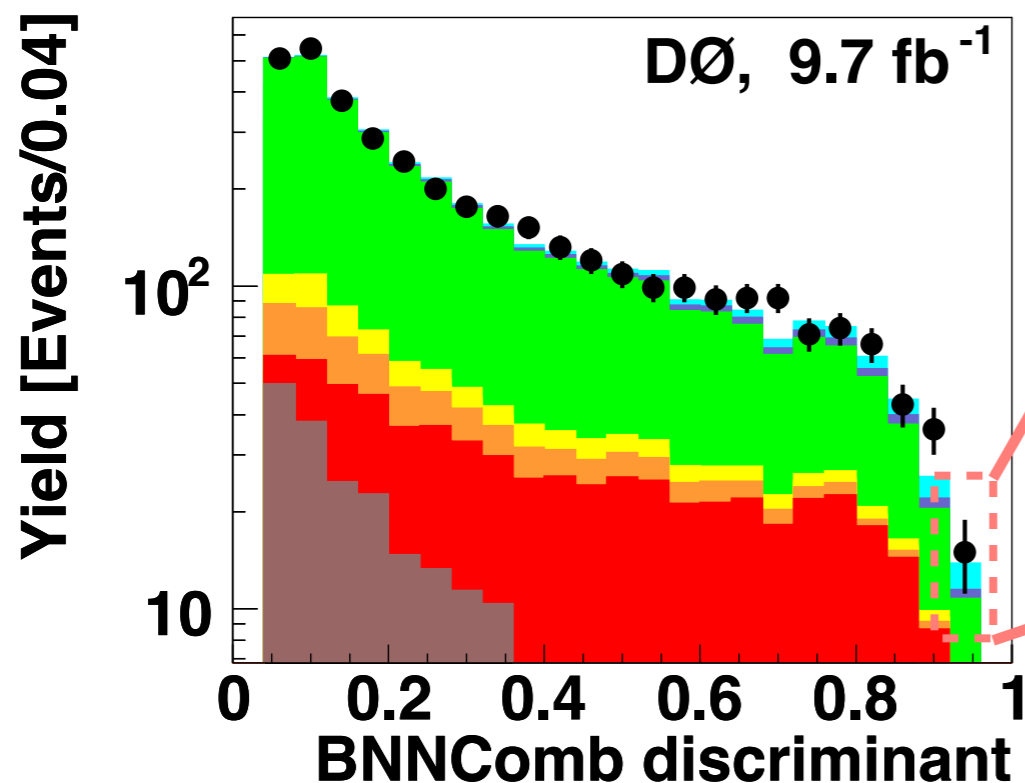
- Assign to each background and each analysis channel
- Some affect only the **overall scale**, and others affect also **the discriminant outputs bin-by-bin (shape-changing)**
- Main uncertainties are listed here

Overall Scale		Overall Scale & Shape	
Integrated luminosity	6.1%	Jet reconstruction	up to 1.4%
Top pair cross section	9%	Jet energy resolution	up to 1.1%
Diboson cross section	7%	Jet energy scale	up to 1.2%
Trigger efficiencies	(3-5)%	Flavor-dependent JES	up to 1.3%
Jet fragmentation+higher order	(0.7-7.0)%	Jet vertex confirmation	up to 1.1%
Initial- and final-state radiation	(0.8-10.9)%	<i>b</i> -ID, 1 <i>b</i> -tagged channel	up to 6.6%
Heavy-flavor correction	20%	<i>b</i> -ID, 2 <i>b</i> -tagged channel	up to 8.8%
Multijet normalization	(9.2-42.1)%		



# Cross Section Extraction

- Use the BNN combination discriminant in 25 bins
  - Use all bins (we don't cut on the discriminant)
- For each bin, the likelihood  $L$  to observe  **$D$  data events** with a **known mean  $h$**  is modeled by the Poisson distribution



# Bayesian Approach

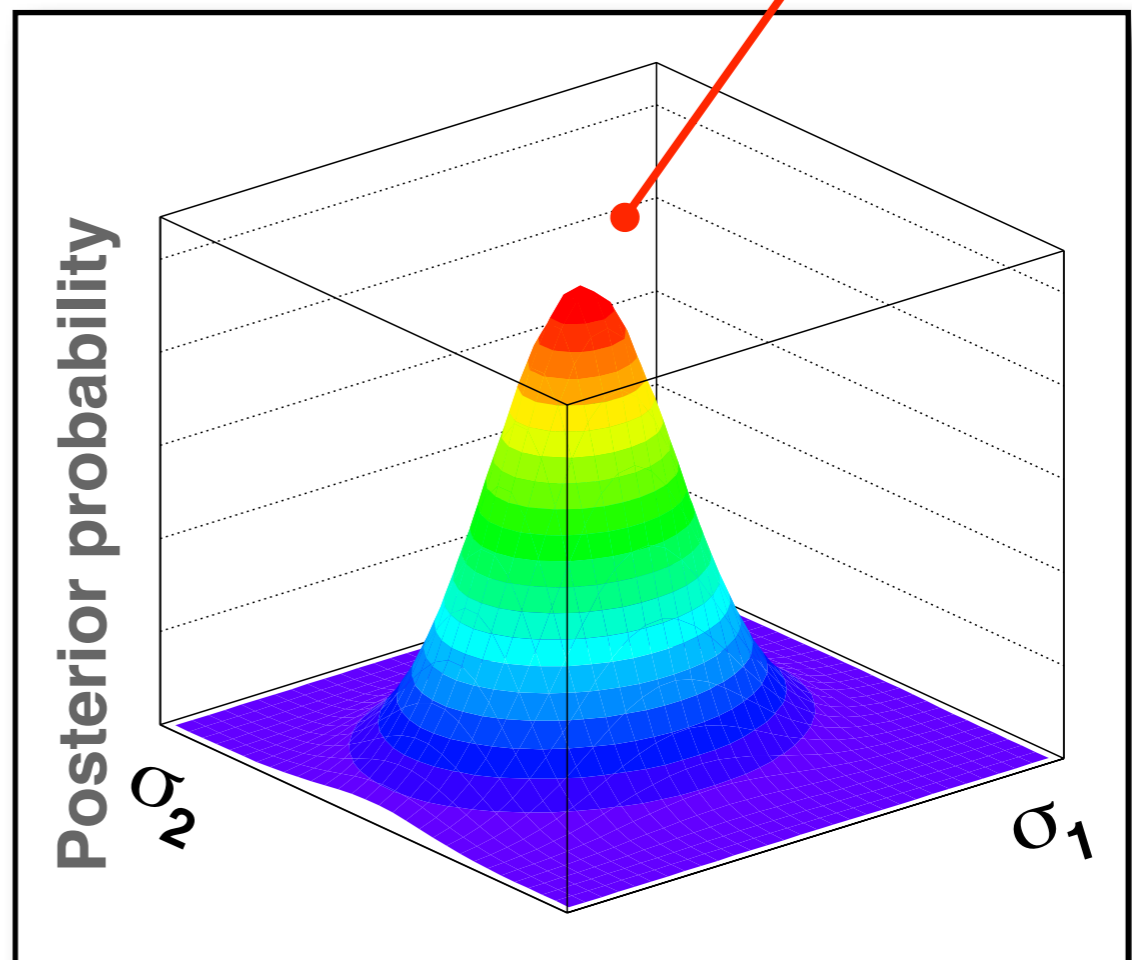
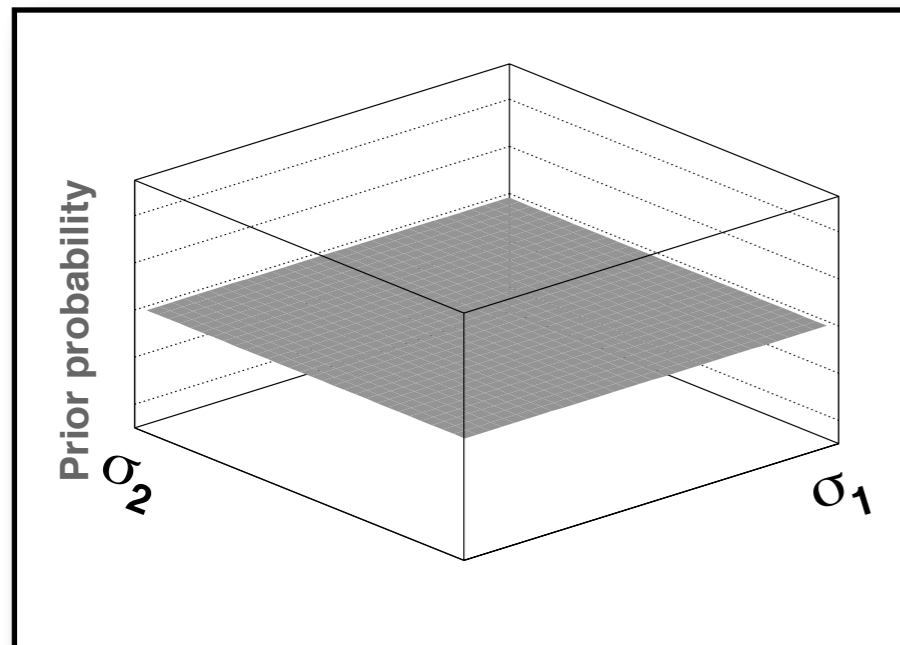
Poisson likelihood

Our state of knowledge,  $a, b$  with systematic uncertainties

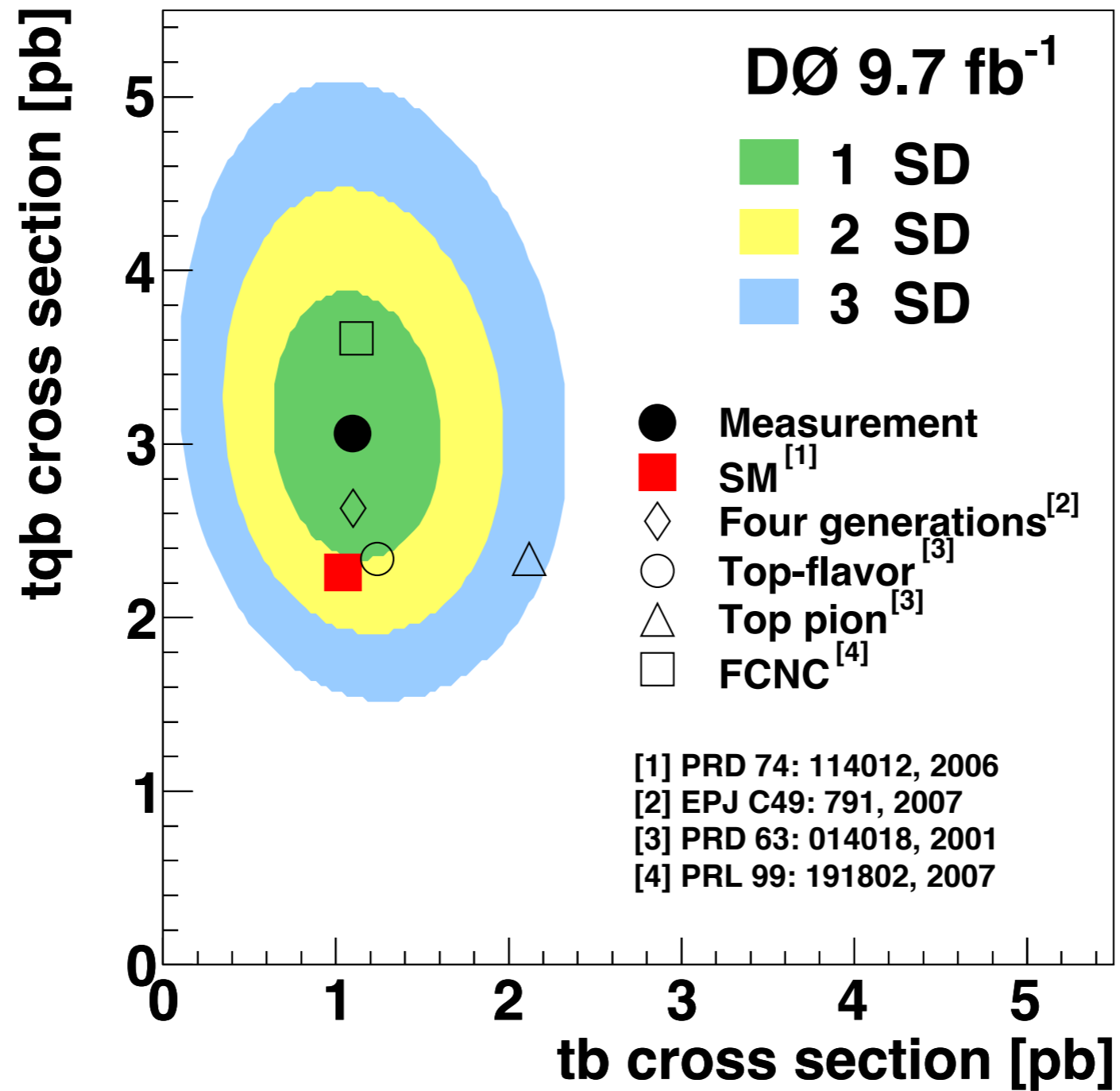
All systematics and their correlations taken into account

$$\int L(\mathbf{D}|\sigma_1, \sigma_2, \mathbf{a}_1, \mathbf{a}_2, \mathbf{b}) \pi(\sigma_1, \sigma_2) \pi(\mathbf{a}_1, \mathbf{a}_2, b) d\mathbf{a}_1 d\mathbf{a}_2 db \propto p(\sigma_1, \sigma_2|D)$$

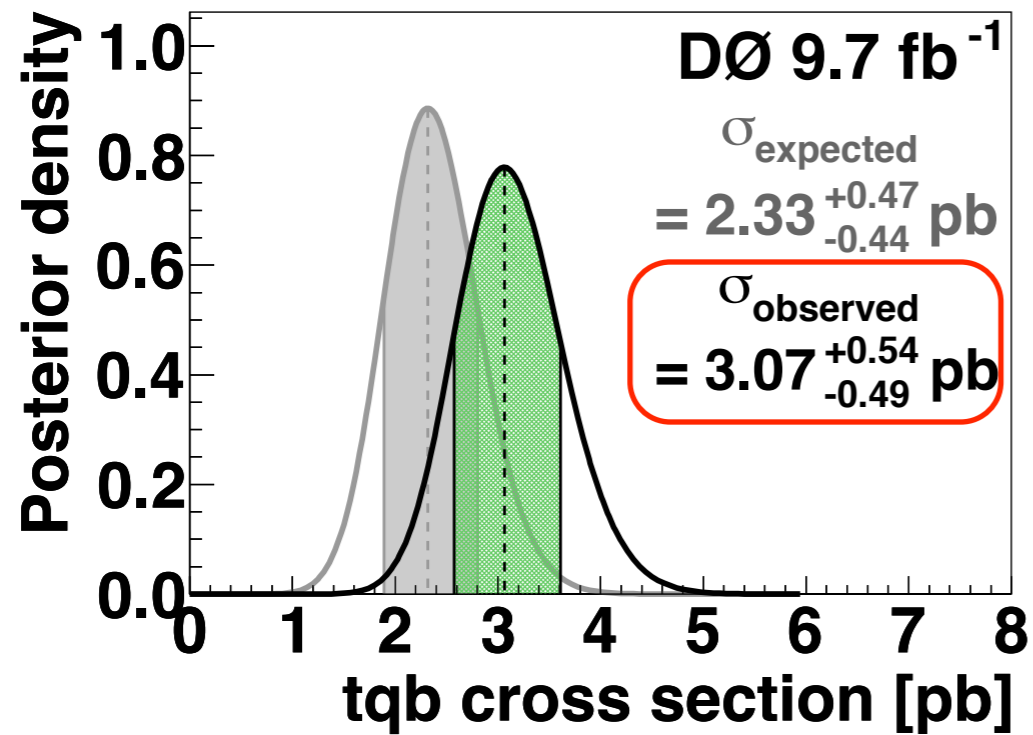
Uniform prior for the signal cross section



# Two-dimensional Posterior

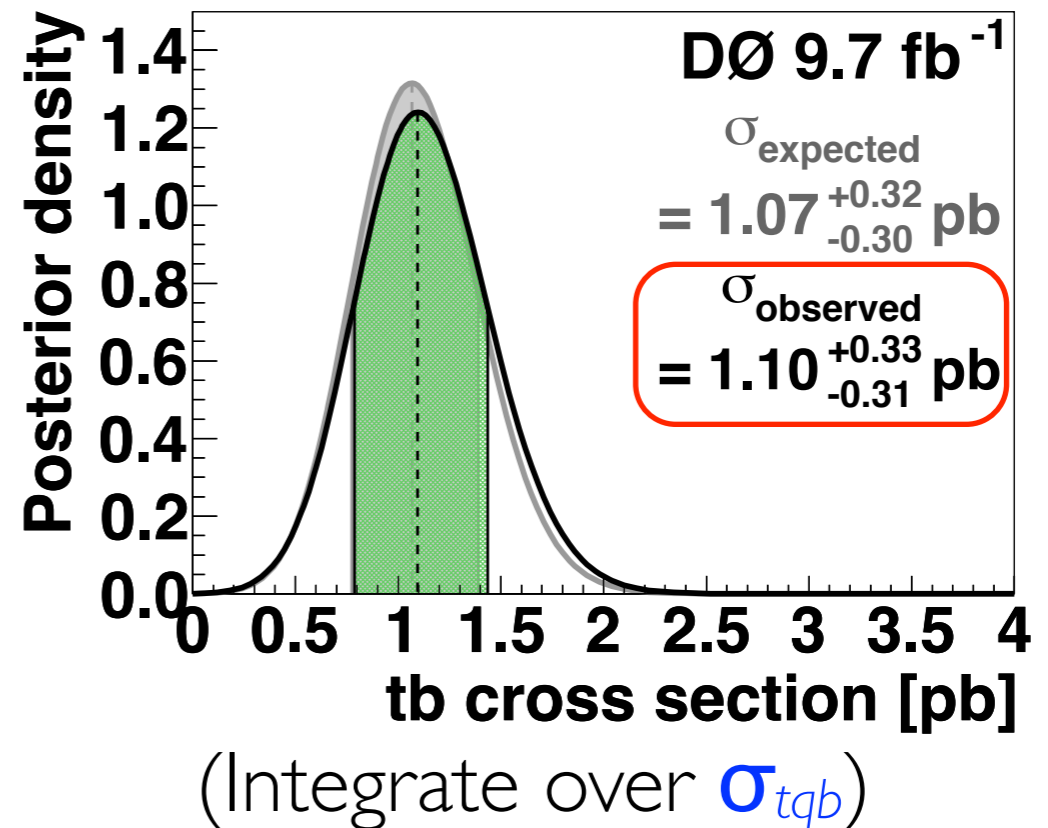
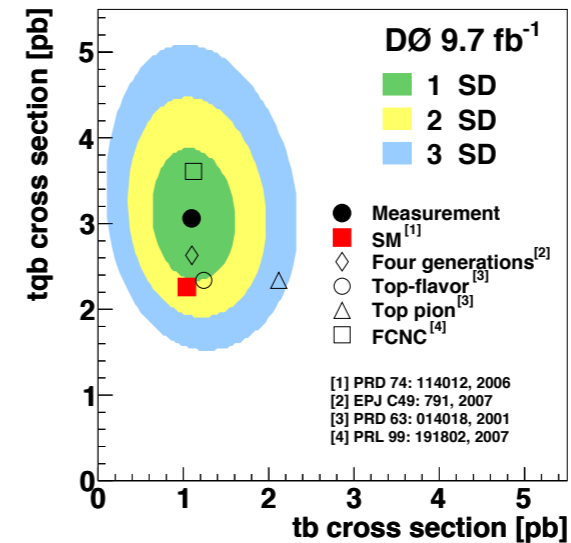


# Measured Cross Section



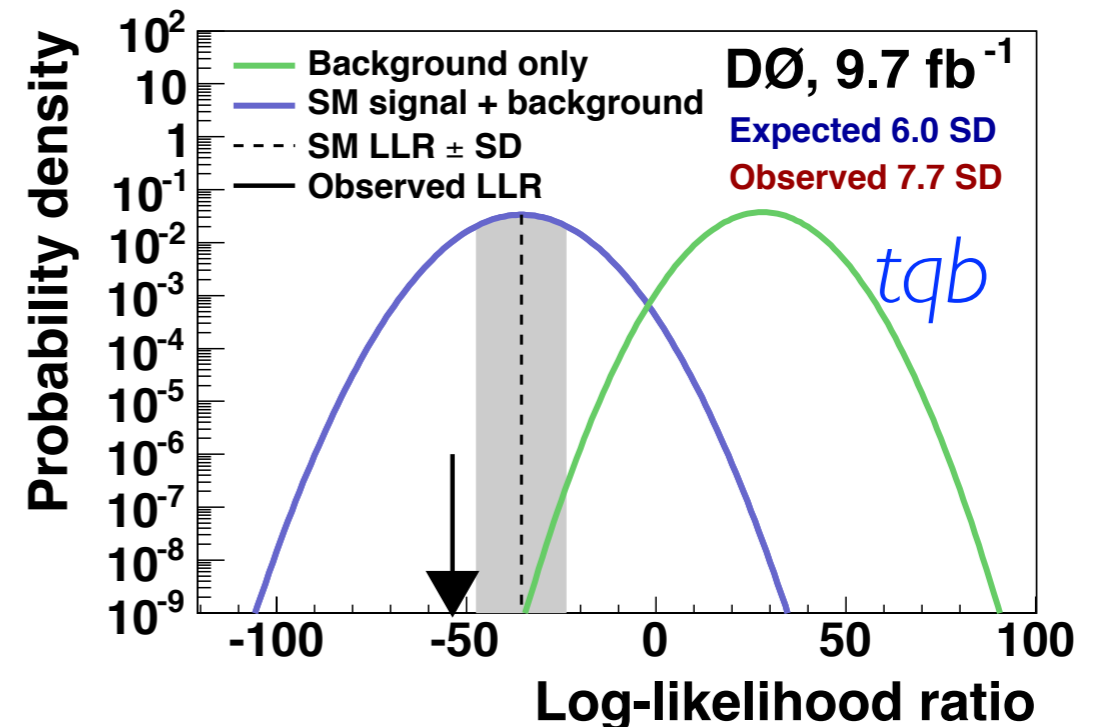
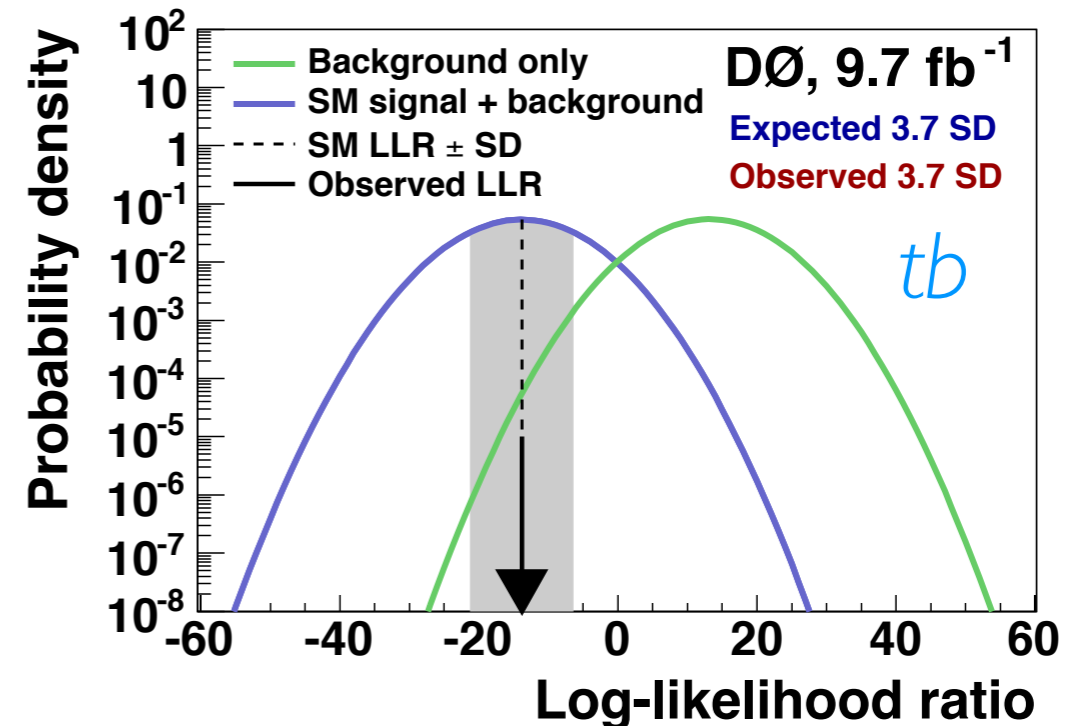
No assumption  
on SM  $\sigma_{tb}/\sigma_{tqb}$ !

(Integrate over  $\sigma_{tb}$ )



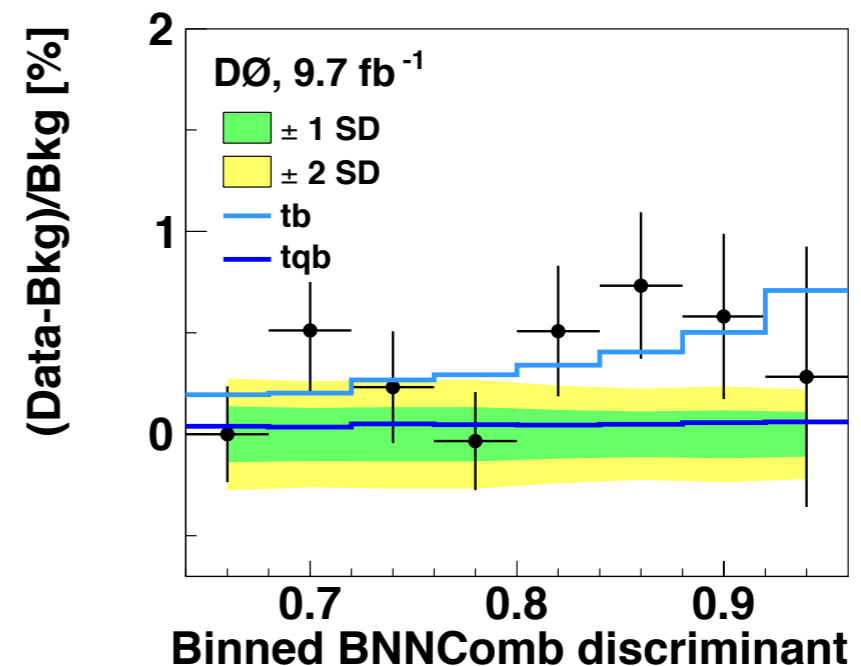
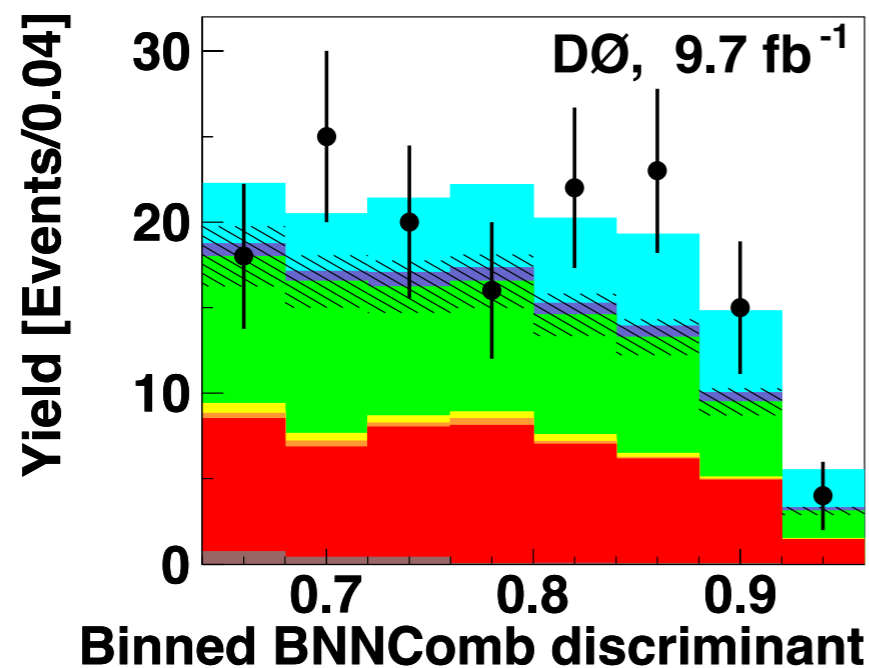
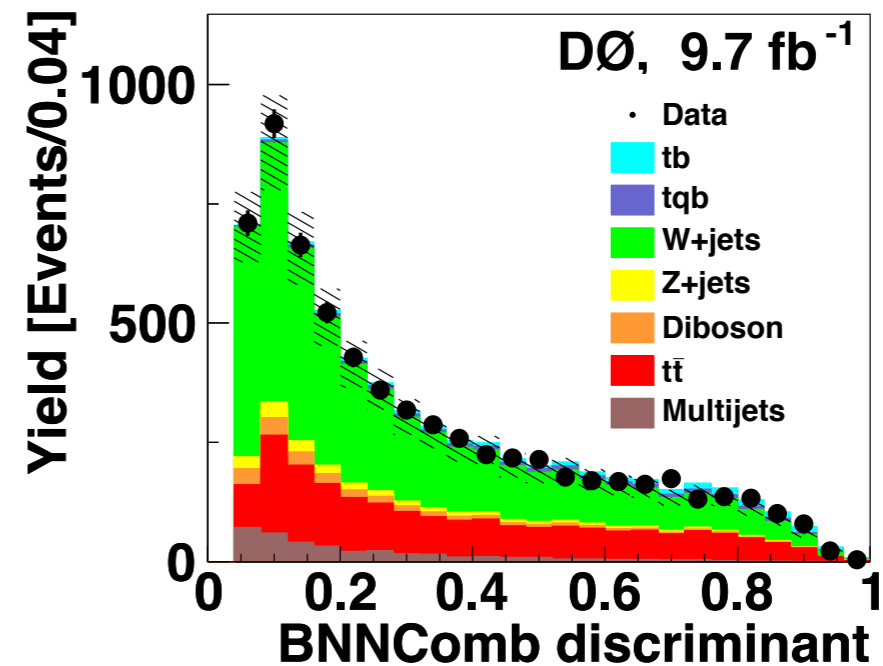
# Significance

- Asymptotic approximation of the log-likelihood ratio
  - tests how likely the data is to fluctuate to the measured  $\sigma$  value, in the absence of the signals
- Expected p-values:
  - tb*:  $1.0 \times 10^{-4}$  (3.7 SD)
  - tqb*:  $1.0 \times 10^{-9}$  (6.0 SD)
- Observed p-values:
  - tb*:  $1.0 \times 10^{-4}$  (3.7 SD)
  - tqb*:  $7.1 \times 10^{-15}$  (7.7 SD)



# *tb* or Not *tb*?

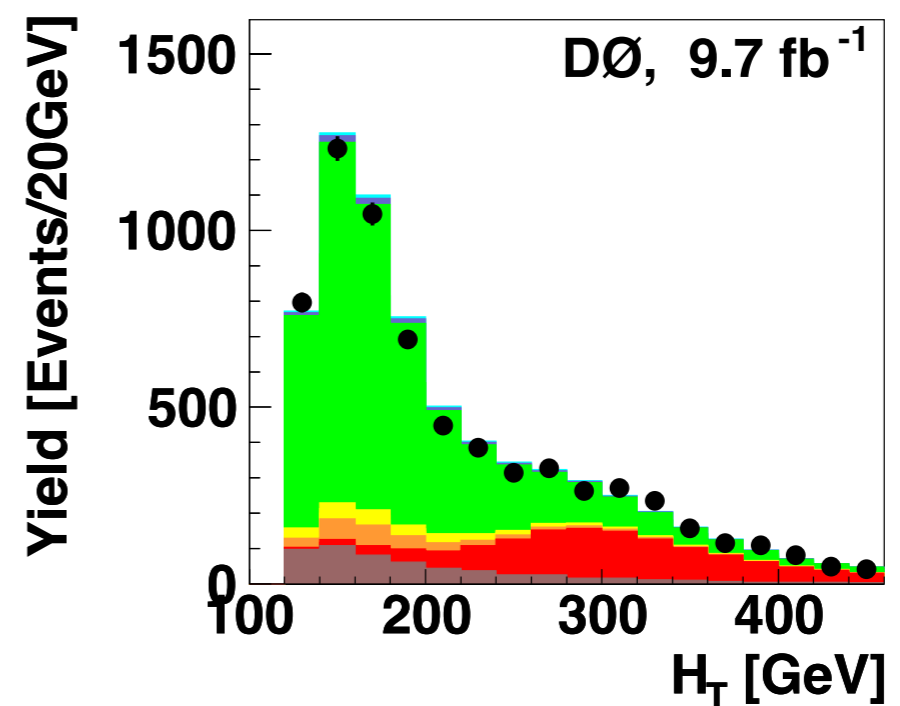
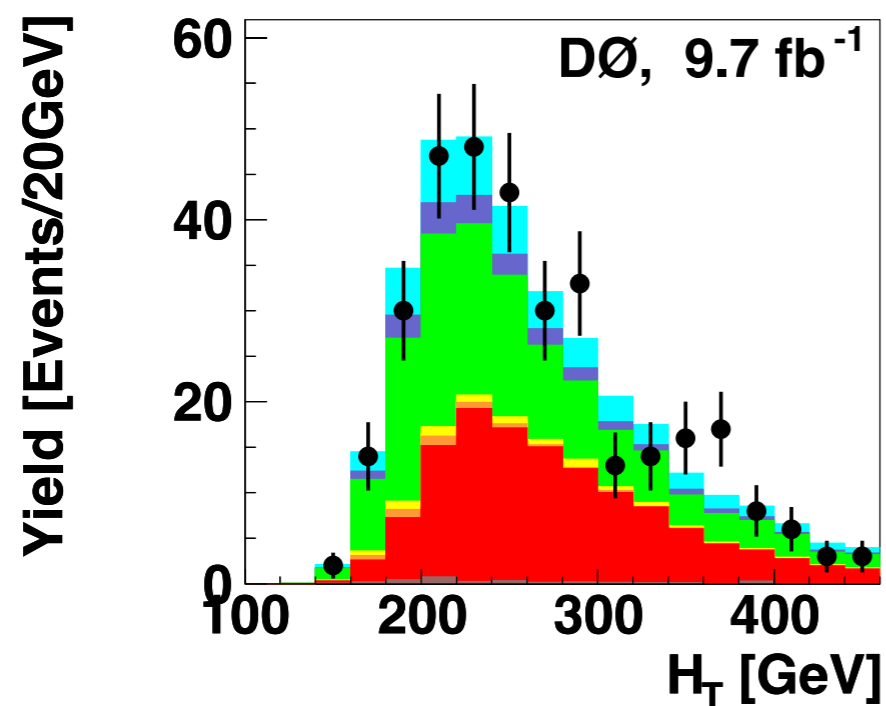
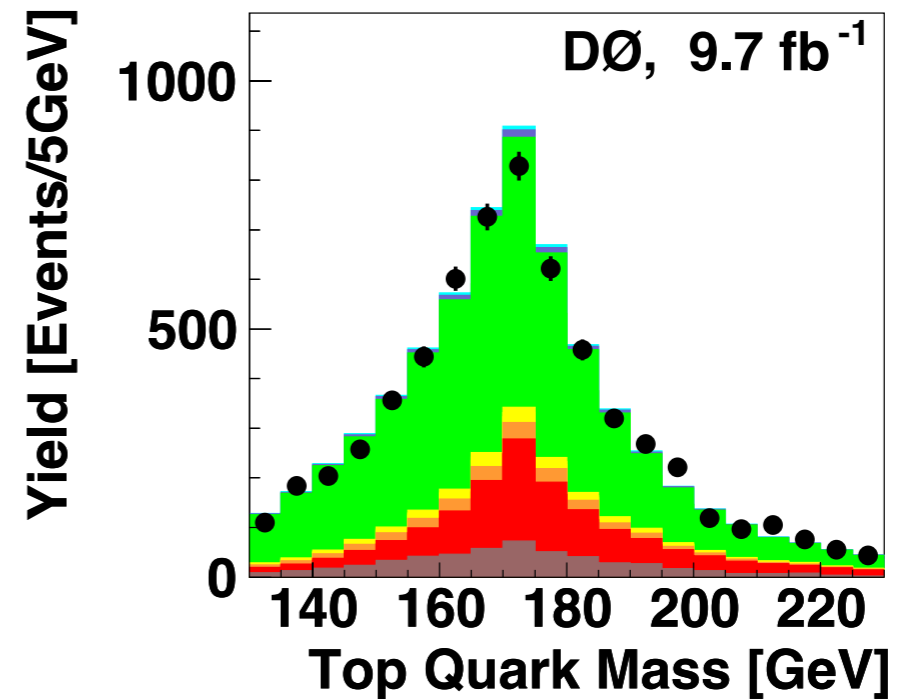
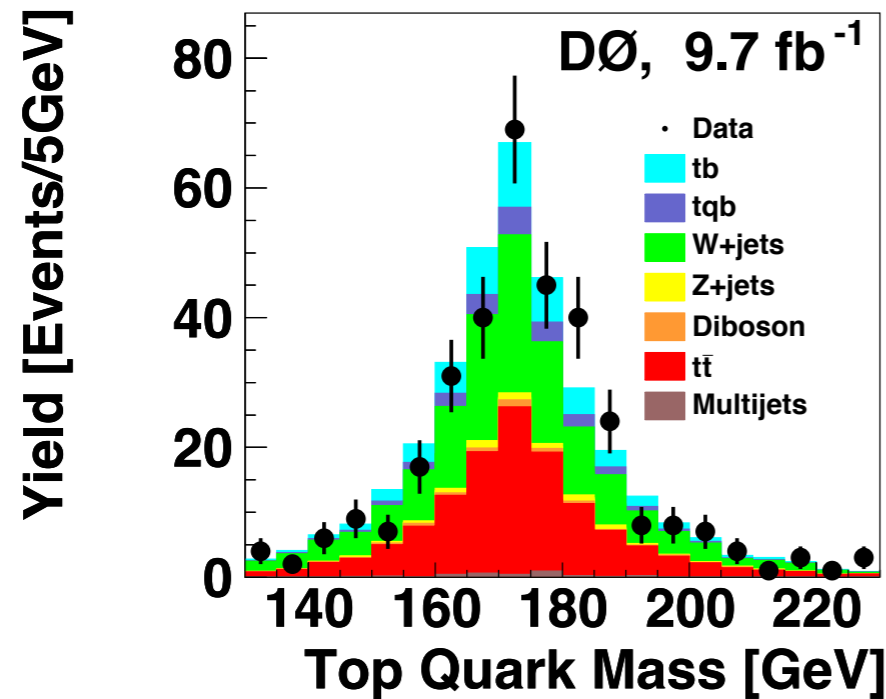
- BNNComb discriminant with the post-fit uncertainty
- Examine the most sensitive bins (largest S/B)
- Data favors the “*t* truth and *b* beauty”!



# Event Characteristics

*tb* Category:  $D_{tb} > 0.8$

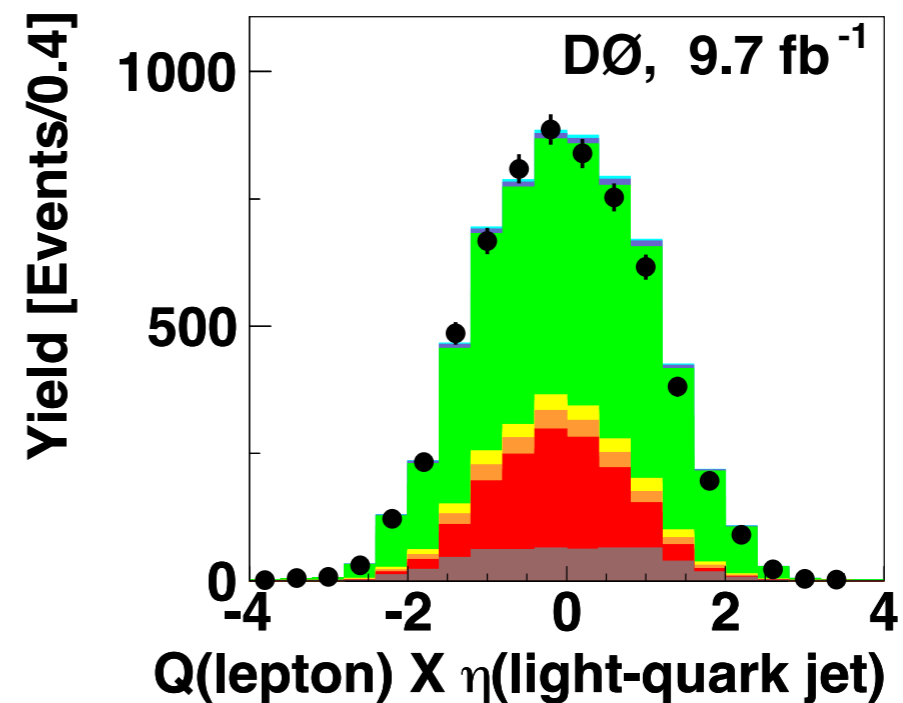
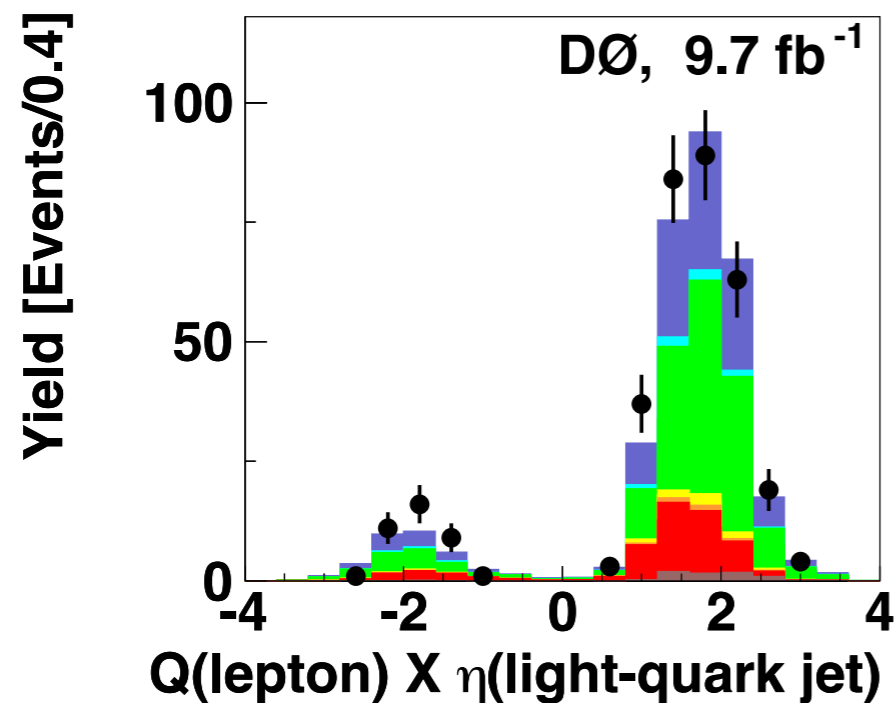
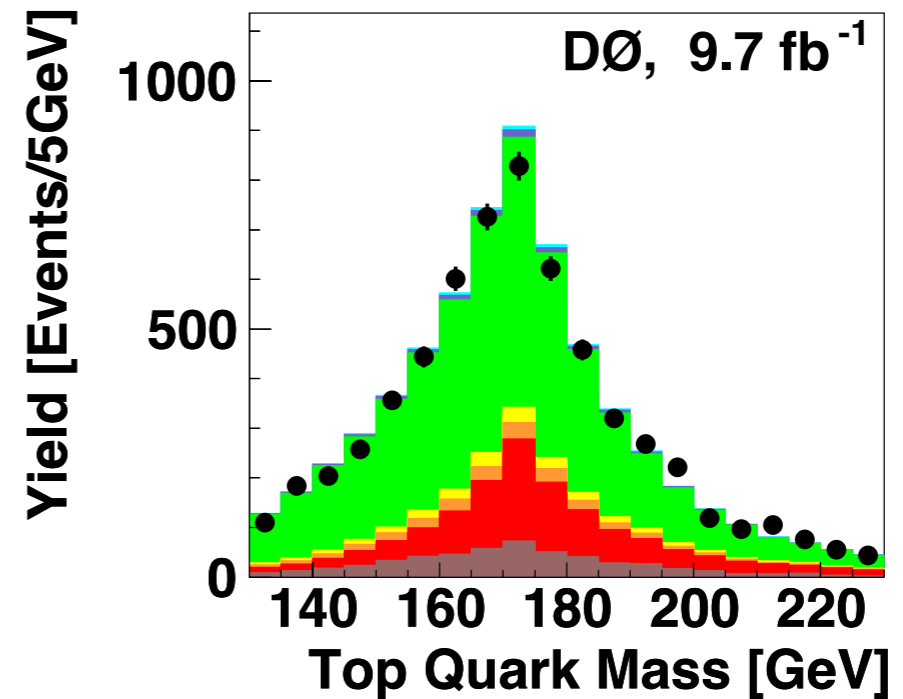
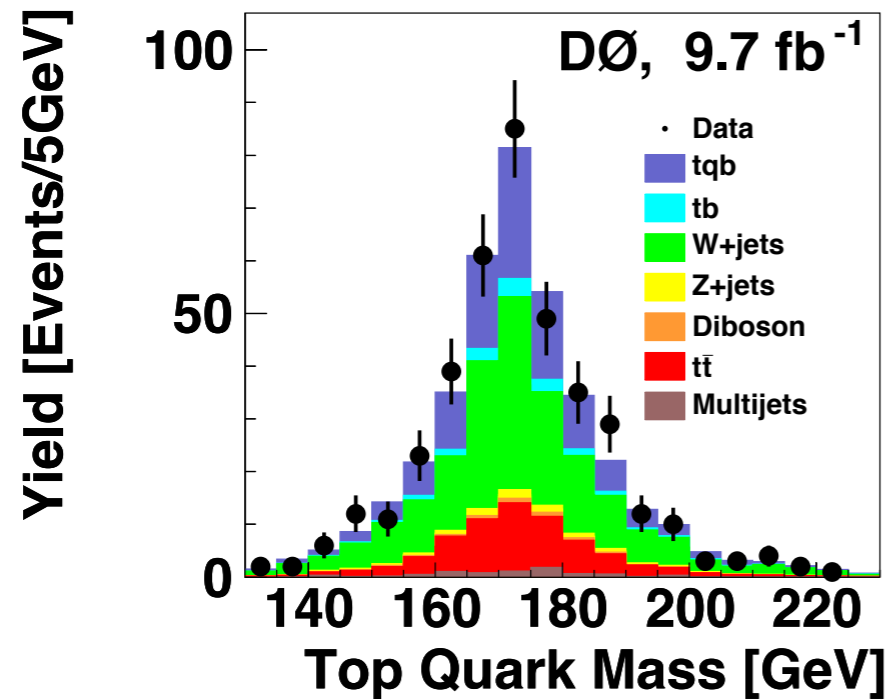
*tb* & *tqb* Depleted Region



# Event Characteristics

$tqb$  Category:  $D_{tqb} > 0.8$

$tb$  &  $tqb$  Depleted Region

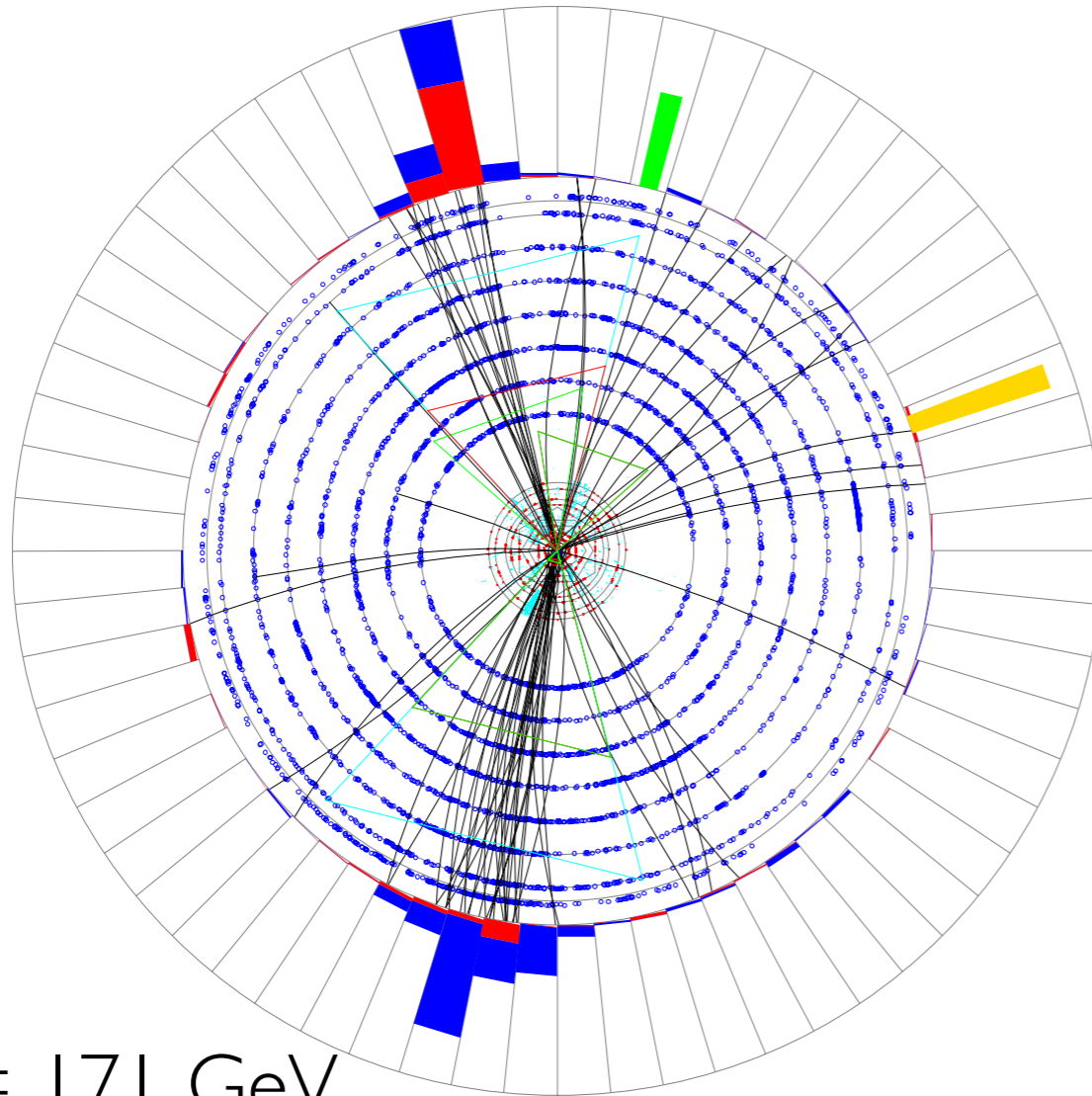




# A *tb* Candidate

Run 252918 Evt 51093921 Sat Jun 13 23:07:10 2009

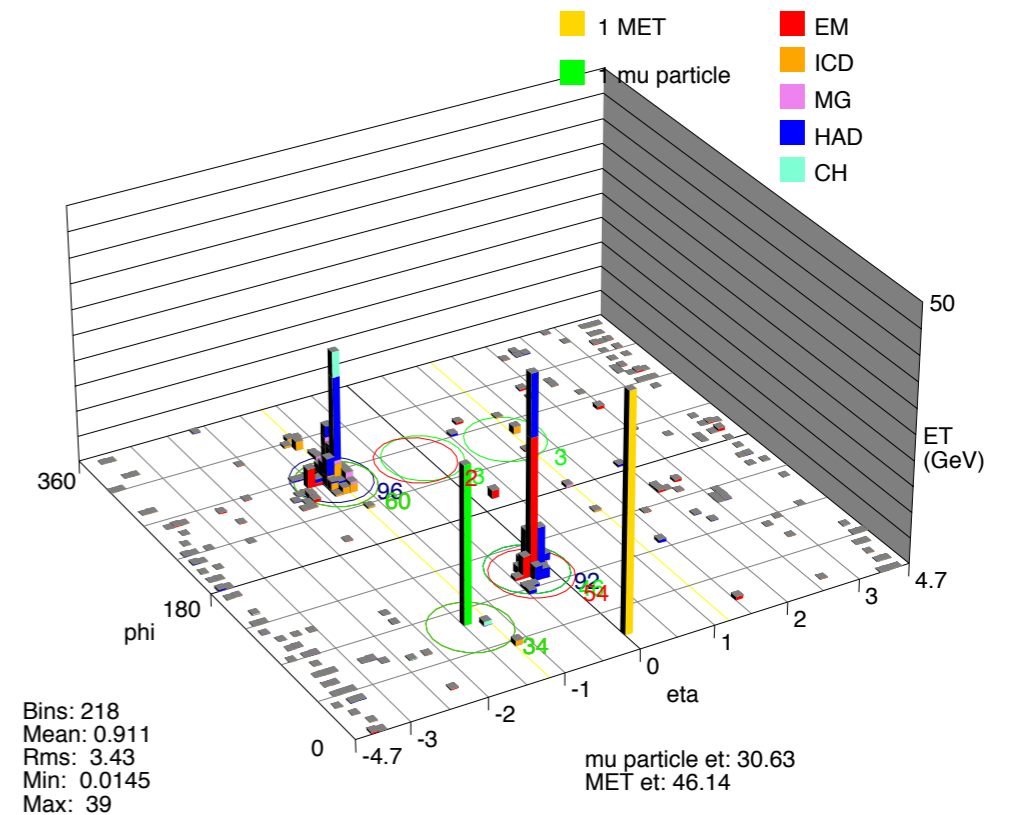
ET scale: 54 GeV



$m_t = 171 \text{ GeV}$   
Jet1 b-tag: 0.95  
Jet2 b-tag: 0.84

Run 252918  
Event 51093921  
Sat. June 13 23:07:10 2009

Run 252918 Evt 51093921 Sat Jun 13 23:07:10 2009



# Single Top in June 2013

$\sigma$ (pb) $\sim$ NNLO	<i>tb</i>	<i>tqb</i>	<i>tW</i>
Tevatron Prediction (1.96 TeV)	1.04	2.26	0.3
CDF (7.5 fb <sup>-1</sup> )	1.81 ± 0.6	1.49 ± 0.45	-
DØ (9.7 fb <sup>-1</sup> )	<input type="checkbox"/> 1.10 ± 0.33	<input checked="" type="checkbox"/> 3.07 ± 0.54	-
LHC Prediction (7 TeV)	4.56	65.9	15.6
ATLAS (0.7-2.1 fb <sup>-1</sup> )	< 20.5 (95% C.L.)	<input checked="" type="checkbox"/> 83 ± 20	<input type="checkbox"/> 17 ± 6
CMS (1.2-4.9 fb <sup>-1</sup> )	-	<input checked="" type="checkbox"/> 67 ± 6	<input type="checkbox"/> 16 ± 5

Evidence (3 SD)

Observation (5 SD)

# Single Top Today

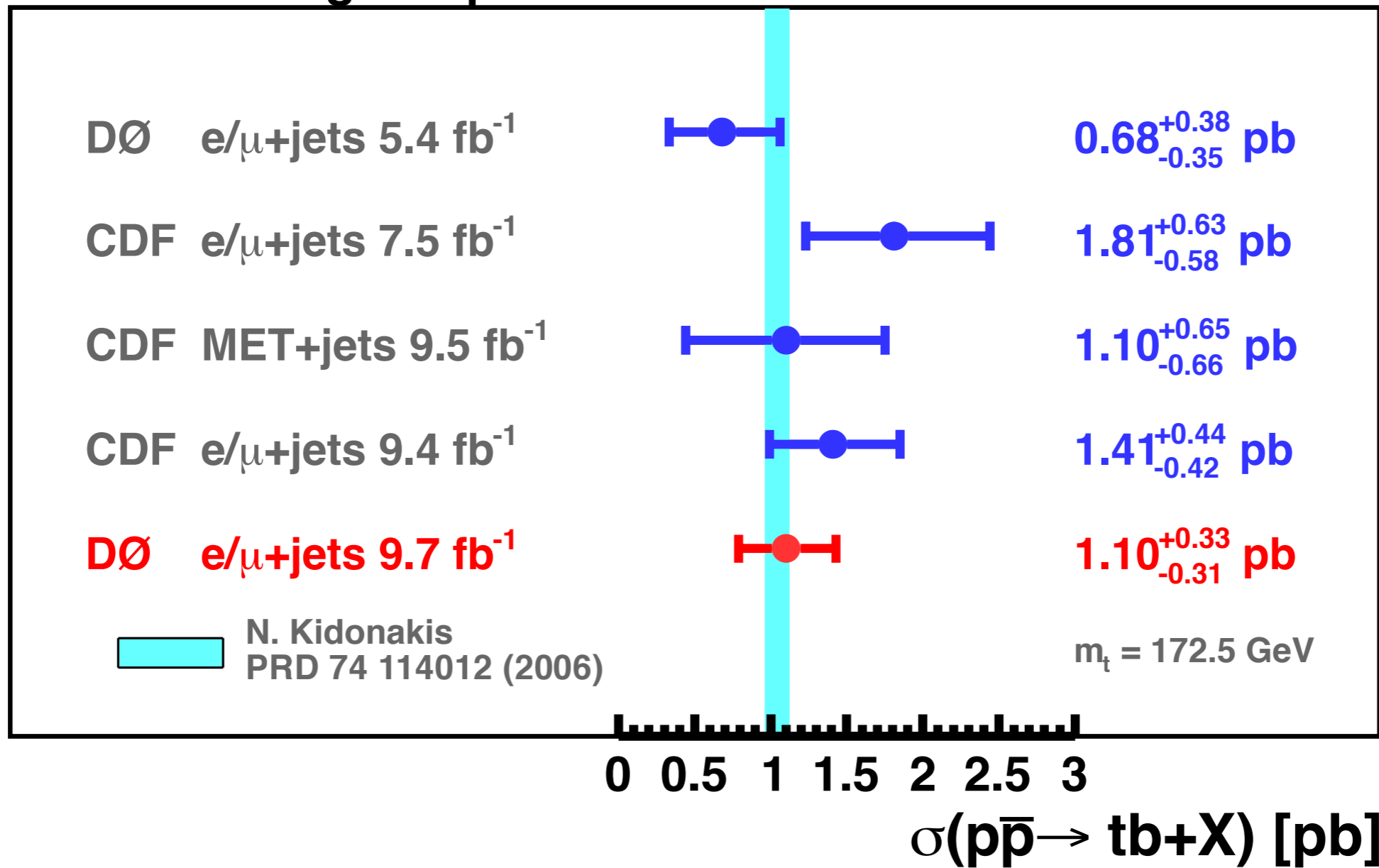
$\sigma$ (pb) $\sim$ NNLO	<i>tb</i>	<i>tqb</i>	<i>tW</i>
Tevatron Prediction (1.96 TeV)	1.04	2.26	0.3
CDF (9.4 fb <sup>-1</sup> )	<input type="checkbox"/> 1.41 $\pm$ 0.44 prelim.	Not ready	-
DØ (9.7 fb <sup>-1</sup> )	<input type="checkbox"/> 1.10 $\pm$ 0.33	<input checked="" type="checkbox"/> 3.07 $\pm$ 0.54	-
LHC Prediction (8 TeV)	5.55	87.2	22.2
ATLAS (0.7-20.3 fb <sup>-1</sup> )	< 26.5 (7 TeV)	<input checked="" type="checkbox"/> 95 $\pm$ 18	<input type="checkbox"/> 27 $\pm$ 5.8
CMS (1.2-12.2 fb <sup>-1</sup> )	-	<input checked="" type="checkbox"/> 80 $\pm$ 13	<input checked="" type="checkbox"/> 23 $\pm$ 5.5

Evidence (3 SD)

Observation (5 SD)

# $\sigma_{tb}$ Measurement History

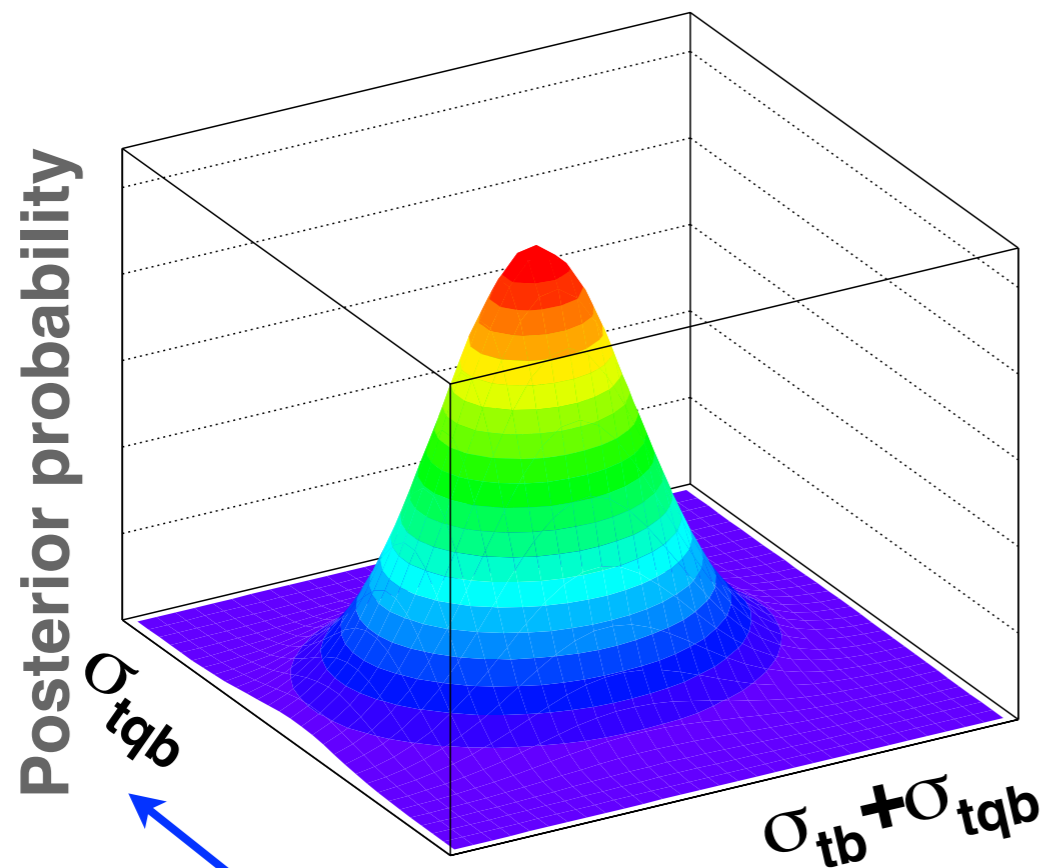
## s-channel Single Top Quark Cross Section



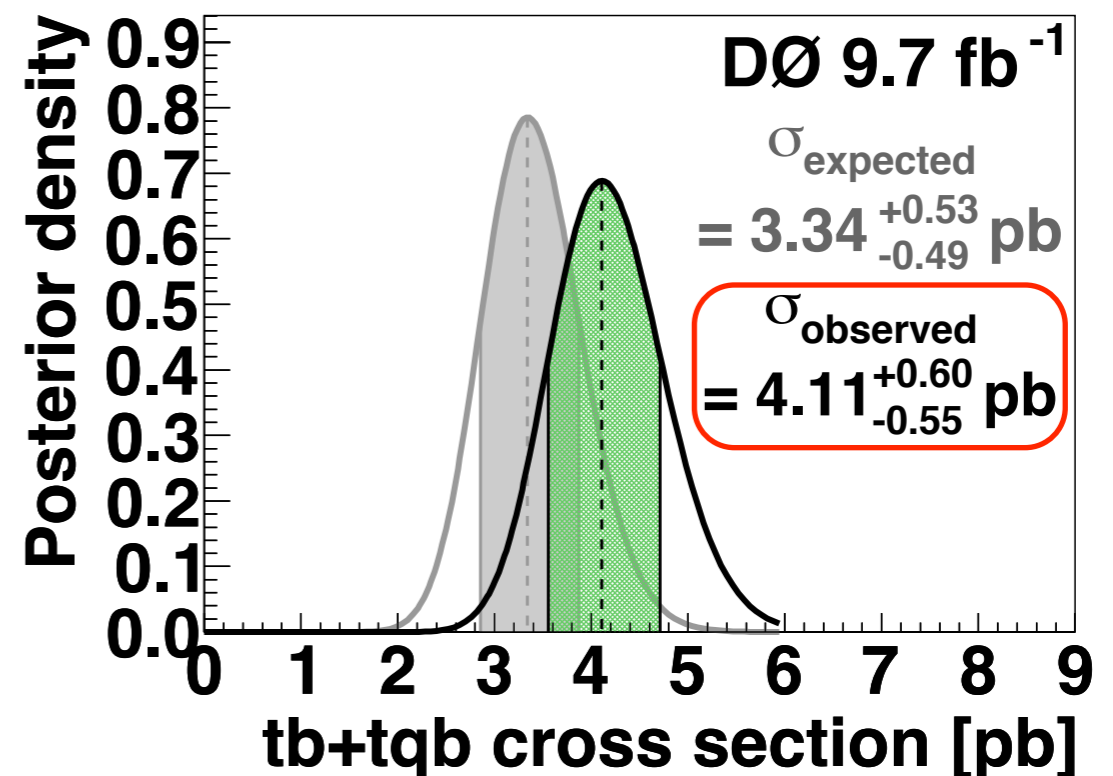
Each single method in the DØ 9.7 fb<sup>-1</sup> analysis measures  $\sigma_{tb}$  with  $> 3$  SD

# Measured $\sigma_{tb+tb}$

- Measure  $\sigma_{tb+tb}$  without assuming the SM  $\sigma_{tb}/\sigma_{tqb}$
- Use 2D posterior p.d.f.

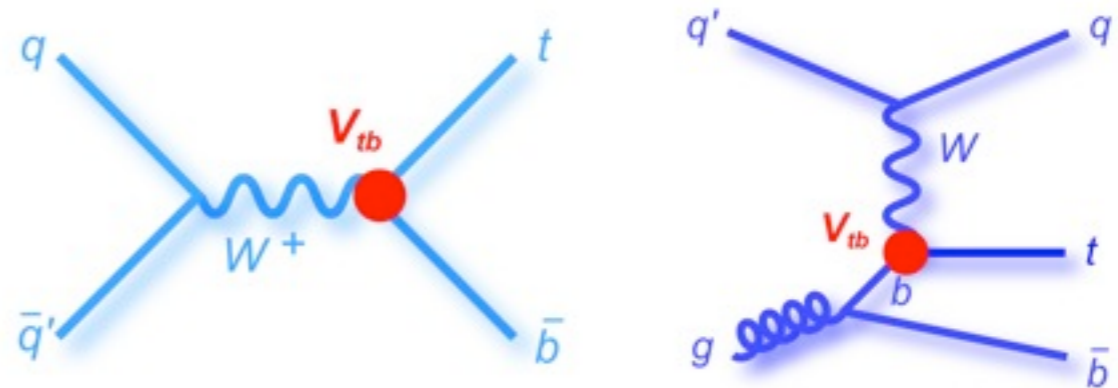


Integrate over  $\sigma_{tqb}$  and obtain a 1D posterior p.d.f. of  $\sigma_{tb+tb}$



# CKM Matrix Element $|V_{tb}|$

$$V_{CKM} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$



- $\sigma_{tb+tqb}$  proportional to  $|V_{tb}|^2$

- Lagrangian:  $\mathcal{L} = -\frac{g}{\sqrt{2}} V_{tb} \bar{b} \gamma^\mu (f_1^L P_L) t W_\mu^-$  SM:  $f_i^L = 1$

- Assume:

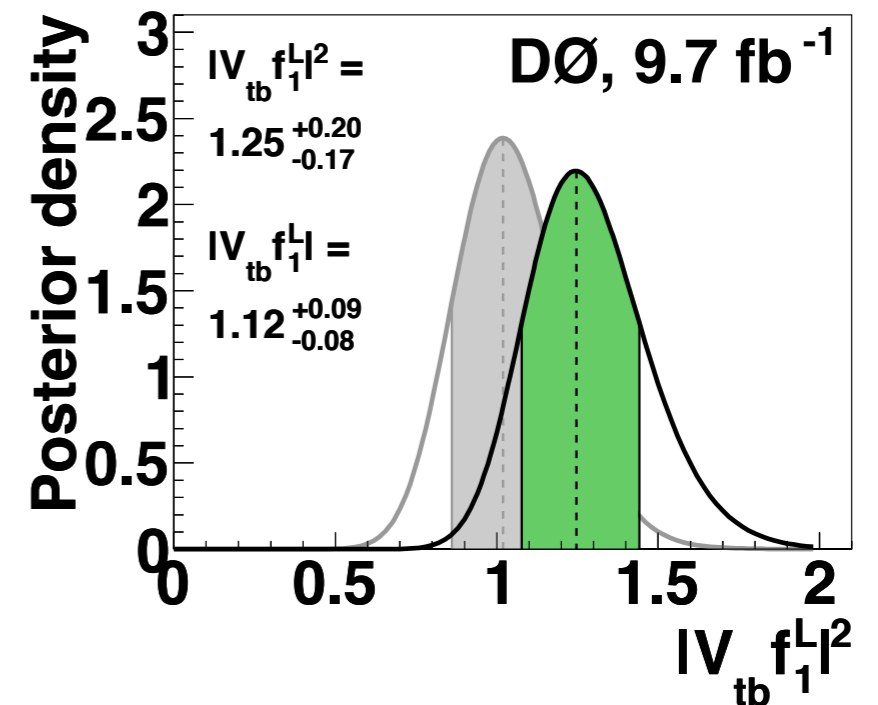
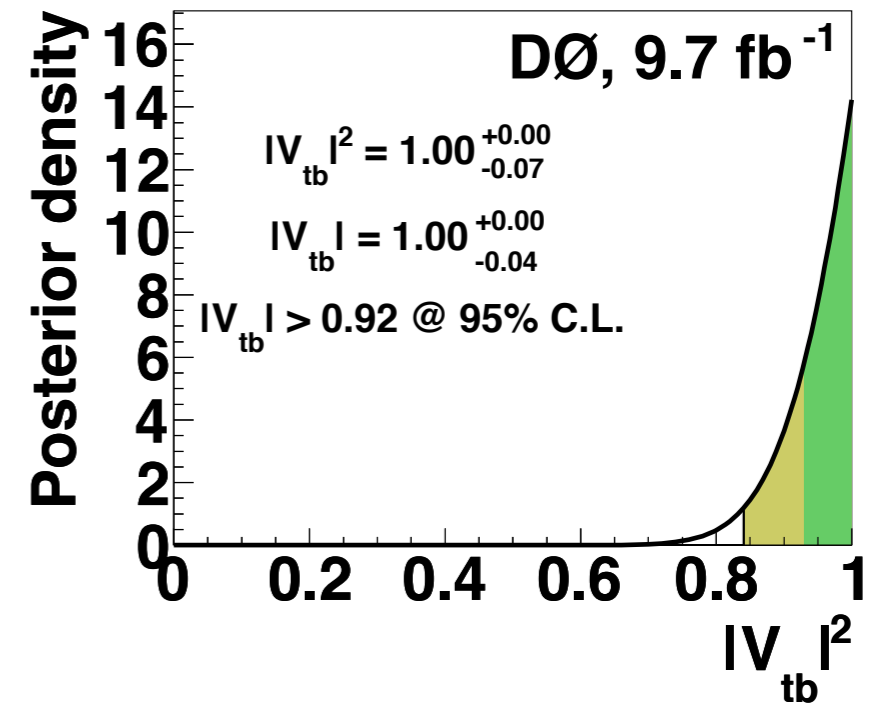
- SM top decay:  
 $|V_{td}|^2 + |V_{ts}|^2 \ll |V_{tb}|^2$
- Pure V-A interaction
- CP conservation

- DO NOT assume

- 3 generations
- unitarity of the CKM matrix; allow  $|V_{tb} f_i^L|^2 > 1$
- $\sigma_{tb}/\sigma_{tqb}$  (NEW)

# CKM Matrix Element $|V_{tb}|$

- Assume  $0 \leq |V_{tb}|^2 \leq 1$ 
  - $|V_{tb}| > 0.92$  @ 95% C.L.
- Allow  $|V_{tb}f_1^L|^2 > 1$ 
  - $|V_{tb}f_1^L| = 1.12^{+0.09}_{-0.08}$
- Additional systematic uncertainties
  - theoretical uncertainty on single top cross sections
- Complementary to  $R_{Wb/Wq}$  measurement in top decays  
PRD 85, 091104 (2012)



# Conclusion

- First evidence of s-channel single top quark production
  - $\sigma_{tb} = 1.10 \pm 0.33$  pb
  - simultaneously measure  $\sigma_{tb}$  and  $\sigma_{tqb}$ , without assuming the SM prediction for either
- Also measure  $\sigma_{tb+tqb}$  and  $|V_{tb}|$  without assuming the SM ratio of  $\sigma_{tb}/\sigma_{tqb}$ 
  - $|V_{tb}| > 0.92$  @ 95% C.L.
- The results are consistent with the SM predictions
- A legacy measurement at the Tevatron
  - looking forward to combining with CDF



# Conclusion

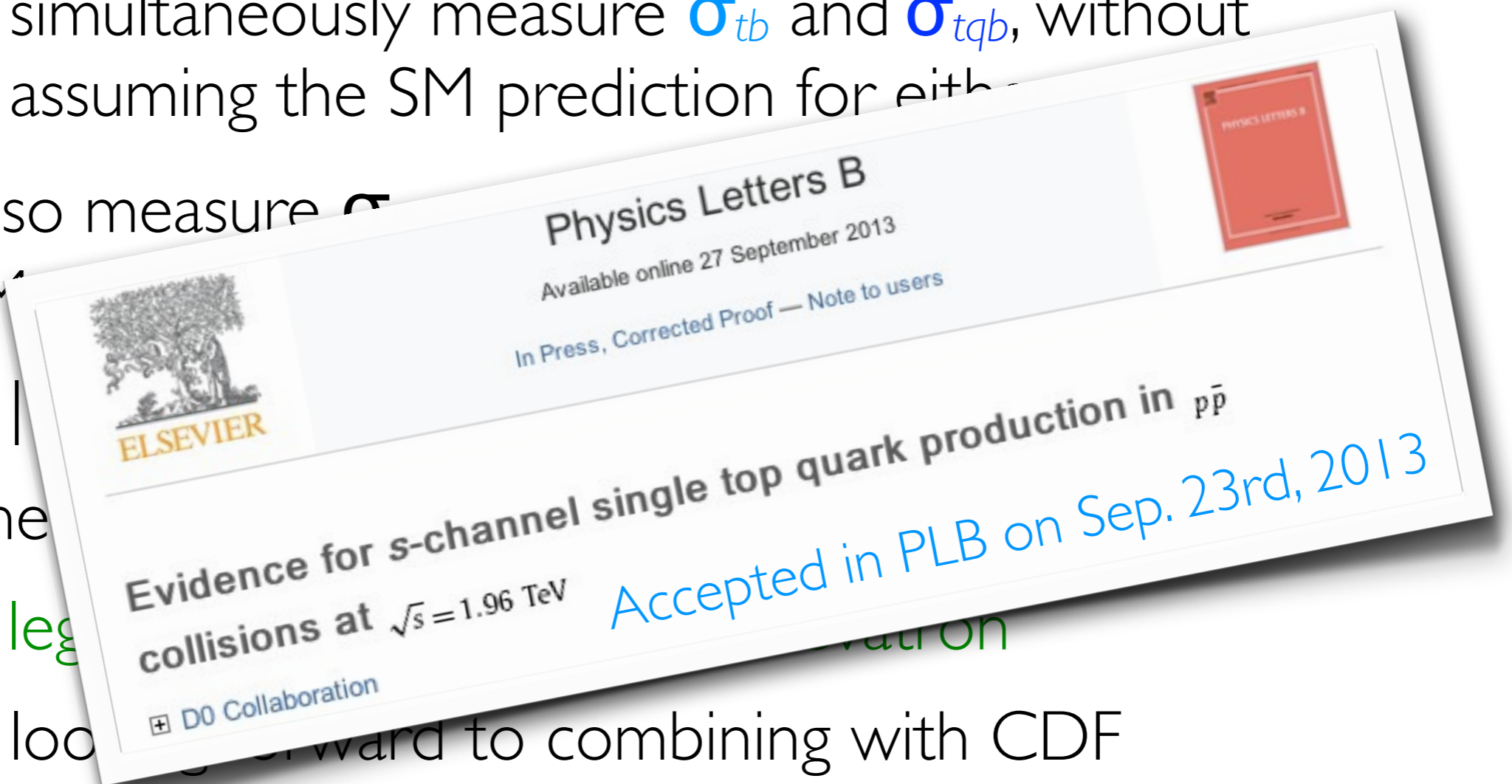
- First evidence of s-channel single top quark production
  - $\sigma_{tb} = 1.10 \pm 0.33 \text{ pb}$
  - simultaneously measure  $\sigma_{tb}$  and  $\sigma_{tqb}$ , without assuming the SM prediction for either

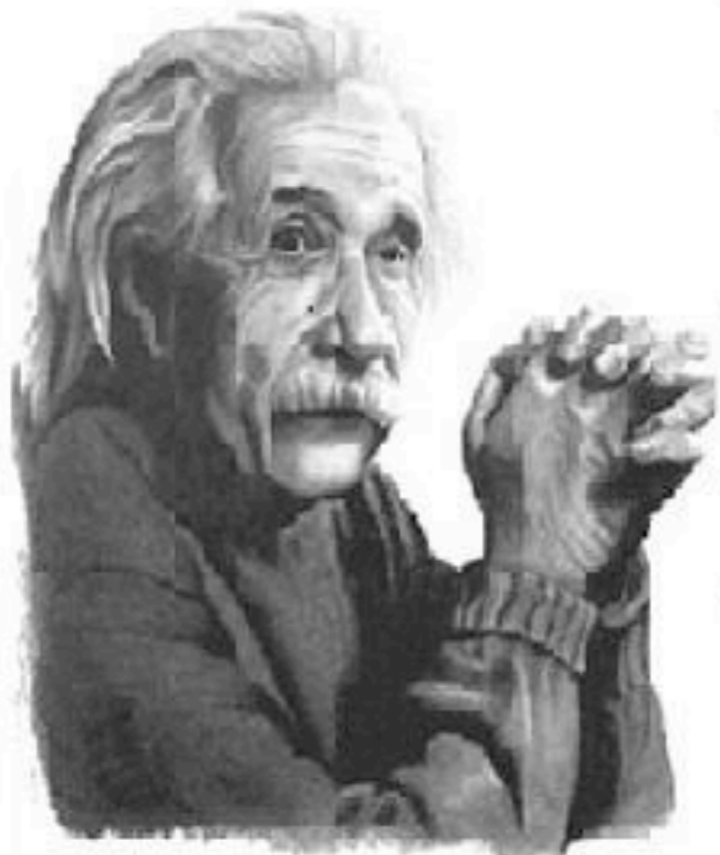
- Also measure  $\sigma_{tq}$  and  $\sigma_{tb}$  without SM prediction

- |
- The

- A leg

- look forward to combining with CDF





quotespedia.info

The pursuit of truth and beauty is a sphere of activity in which we are permitted to remain children all our lives.

Albert Einstein

Thank you for your attention!



# Backup Slides



# Electron Reconstruction

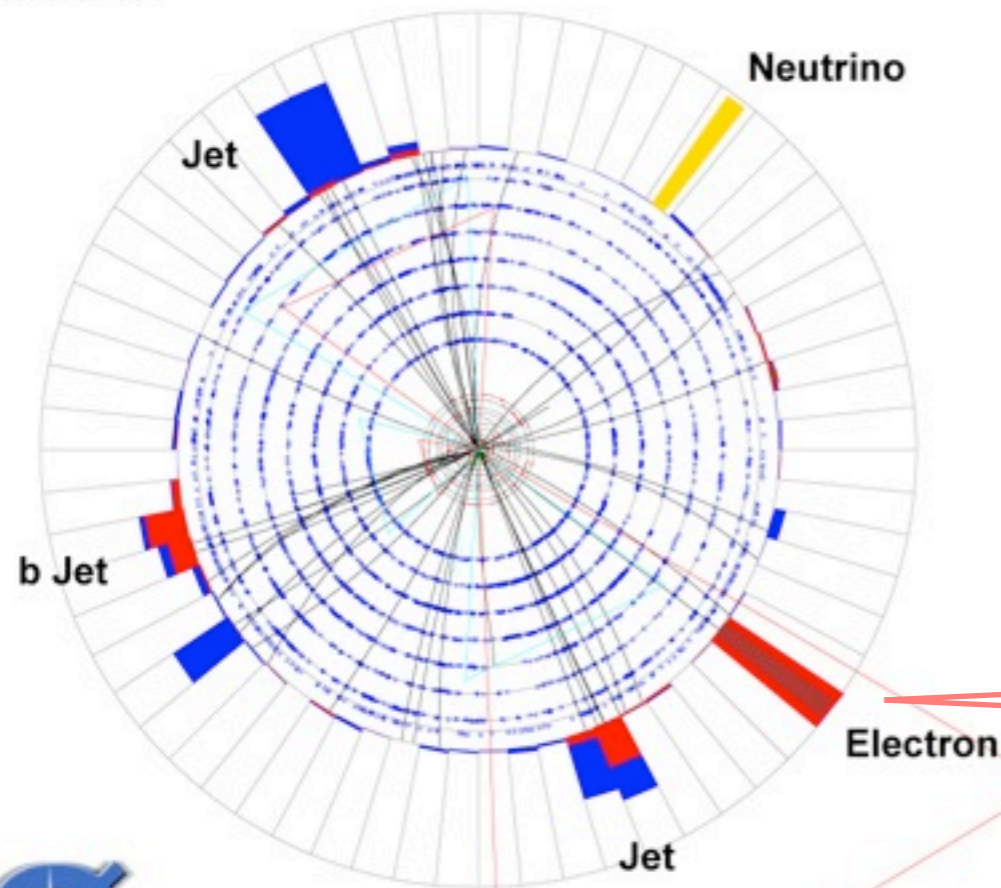
Transverse plane: Perpendicular to the proton beam

## DØ Experiment Event Display

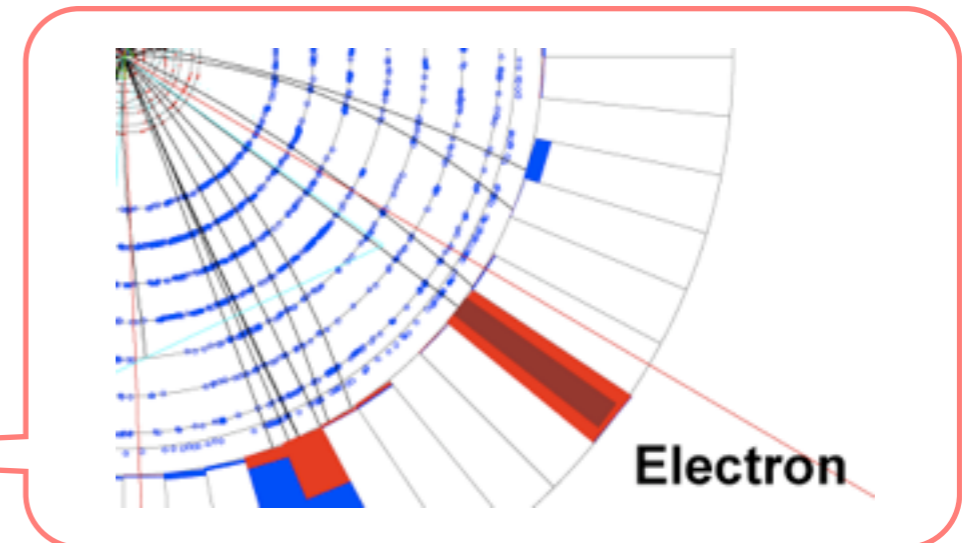
Single Top Quark Candidate Event,  $2.3 \text{ fb}^{-1}$  Analysis

Run 229388 Evt 13339887 Wed Jan 3 21:05:14 2007

ET scale: 39 GeV

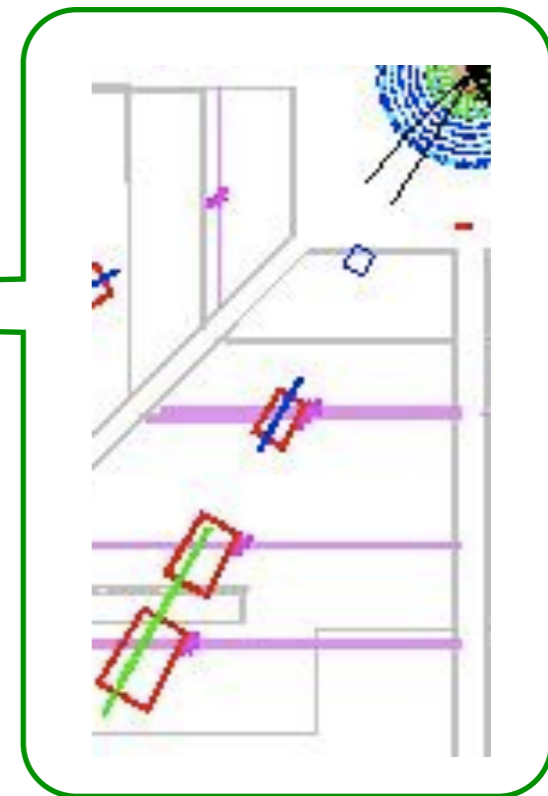
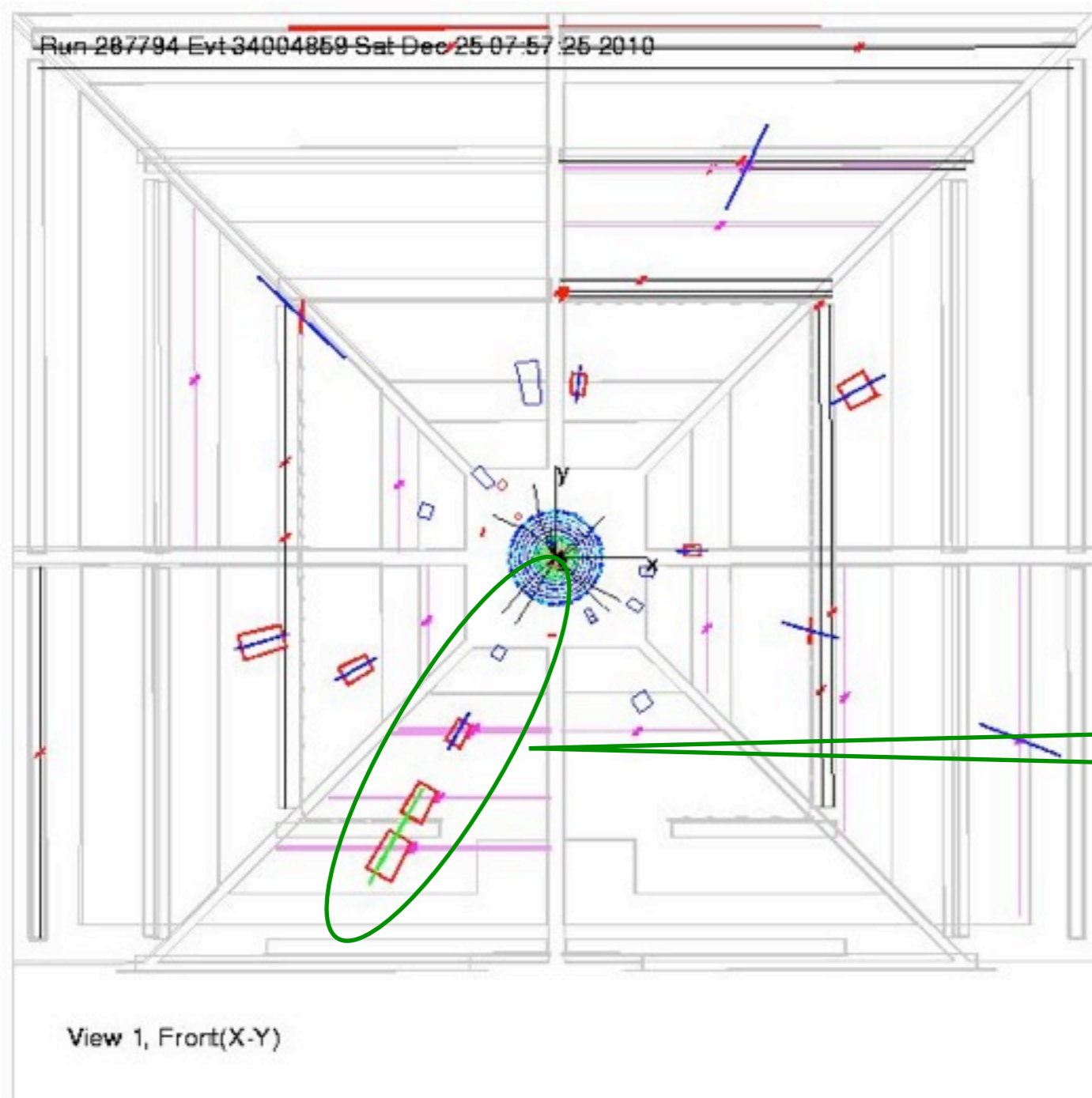


- Electron: Narrow cone of isolated EM calorimeter cluster



# Muon Reconstruction

- Muon: Identified in the muon spectrometer and a matched track in the central tracker



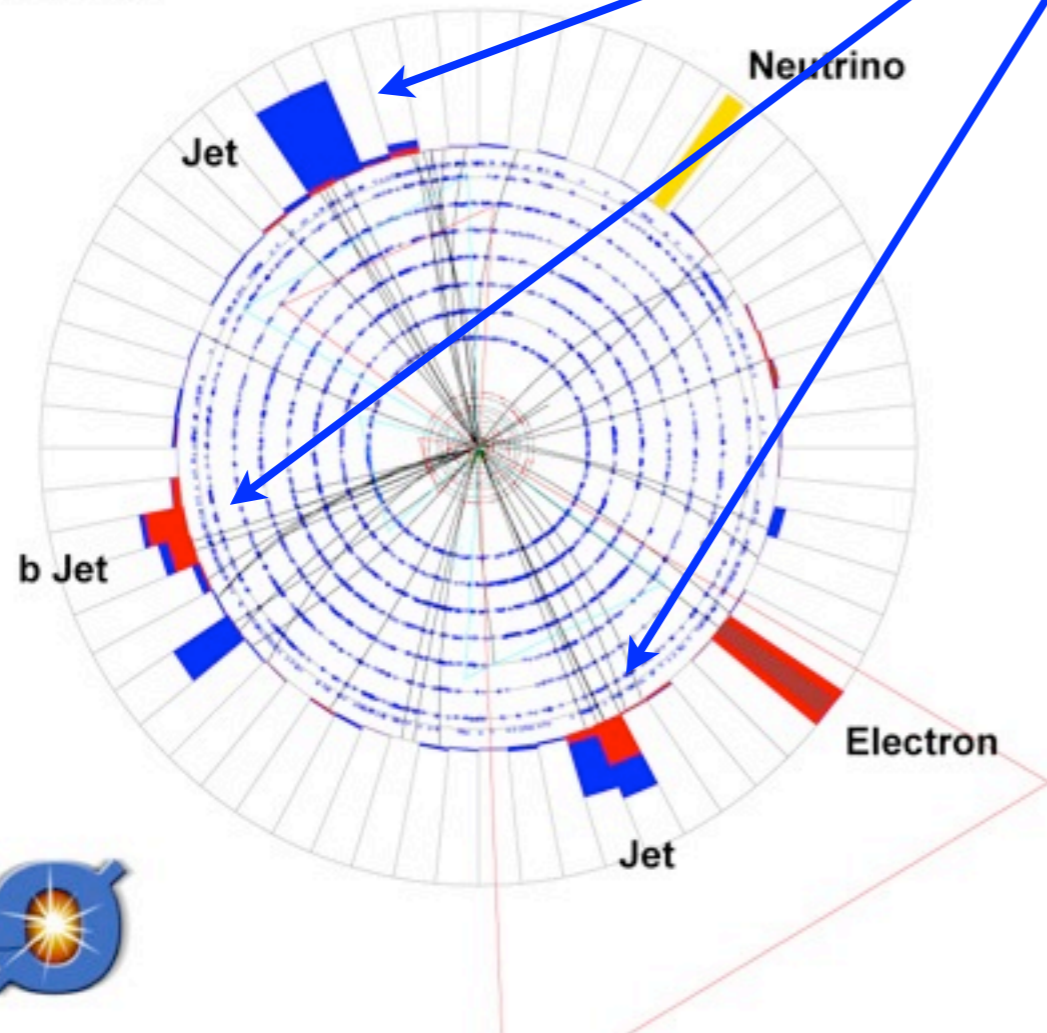
# Jet Reconstruction

Transverse plane: Perpendicular to the proton beam

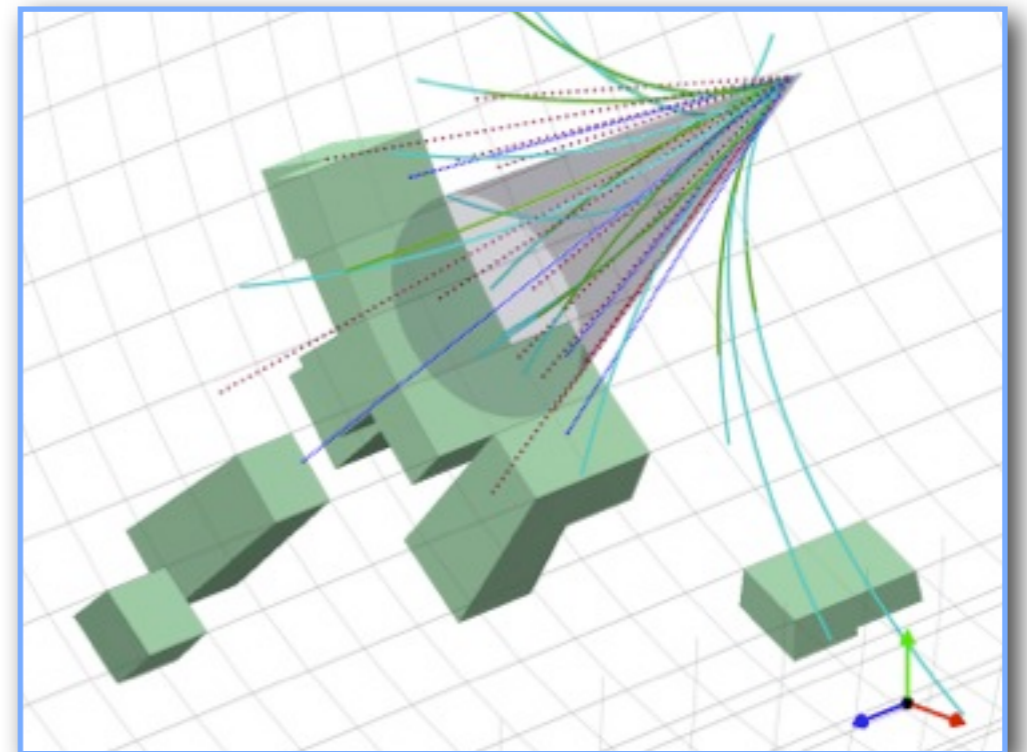
## DØ Experiment Event Display Single Top Quark Candidate Event, 2.3 fb<sup>-1</sup> Analysis

Run 229388 Evt 13339887 Wed Jan 3 21:05:14 2007

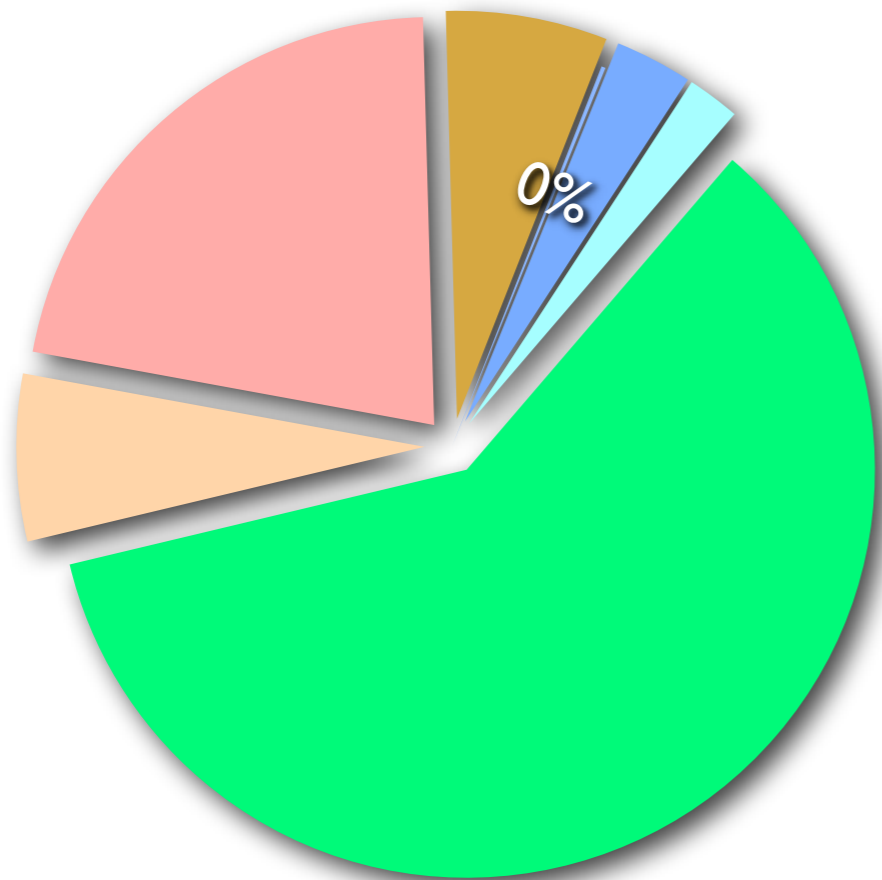
ET scale: 39 GeV



- Quark and gluon fragments → jet: Wide cone of hadron calorimeter energy clusters



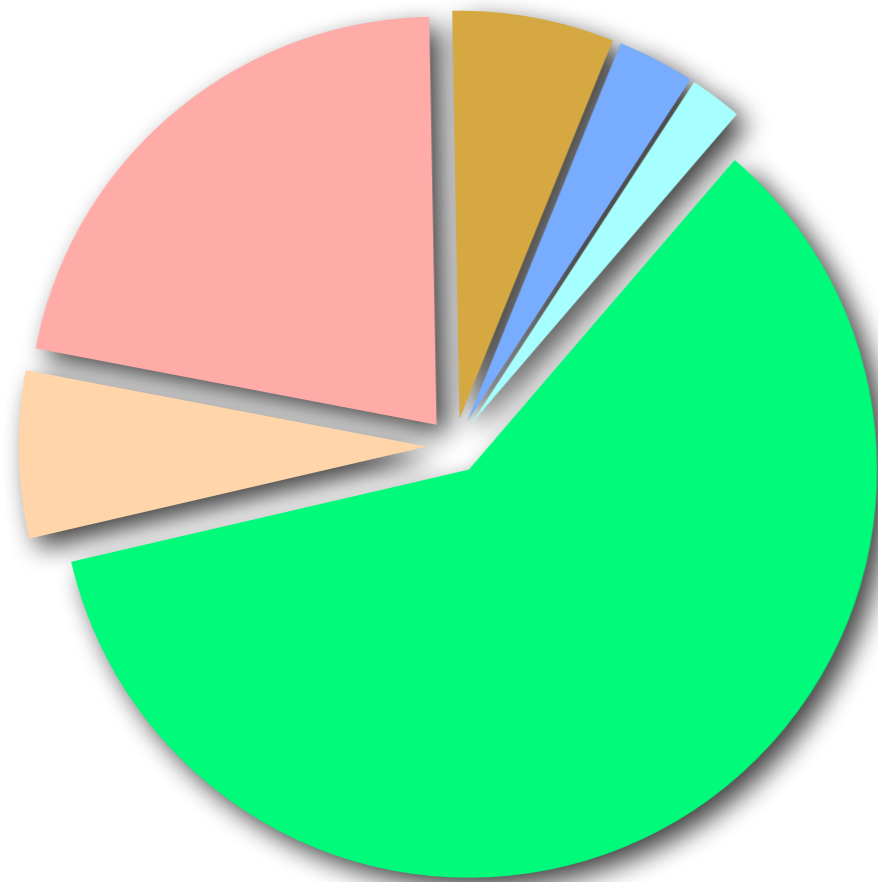
# tW Contribution at DØ



e, $\mu$ 2, 3-jets 1, 2 <i>b</i> -tags combined	
s-channel	257
<i>t</i> -channel	378
<i>tW</i>	20
W+jets	7394
diboson, Z+jets	815
top pair	2672
multijet	789
Total background	11669
Data	12103

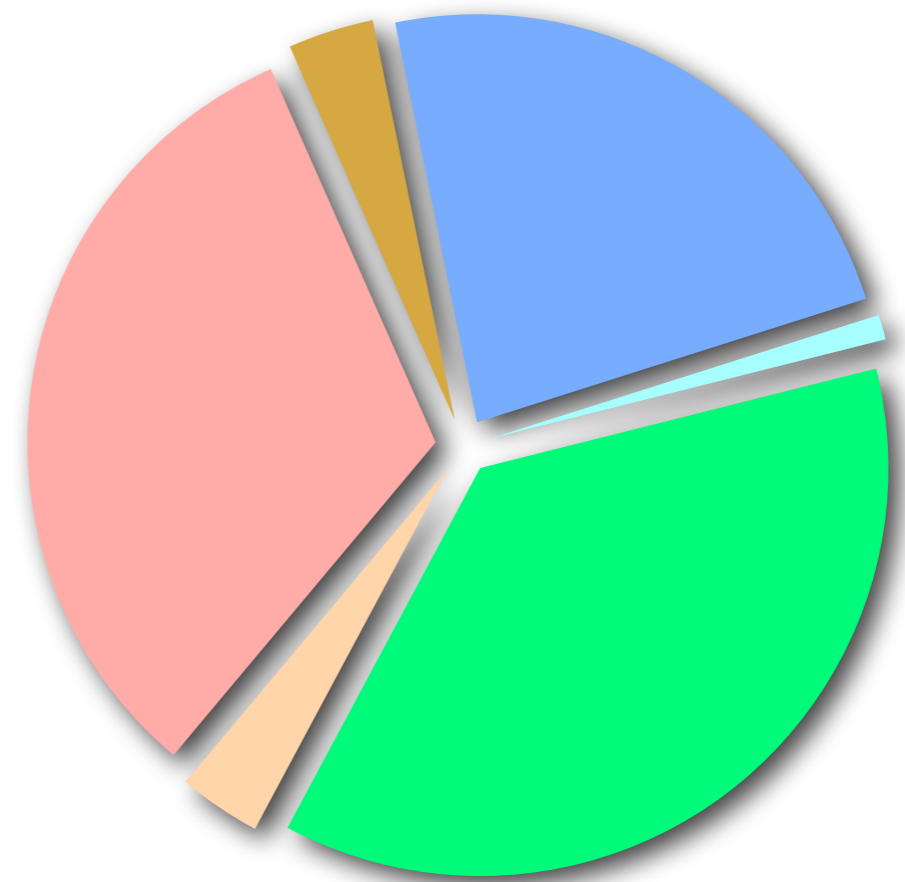
# Background Contribution

DØ



CMS

(arXiv:1209.4533v1)



s-channel

t-channel

W+jets

Z+jet, dibosons

tt+tW

Multijets

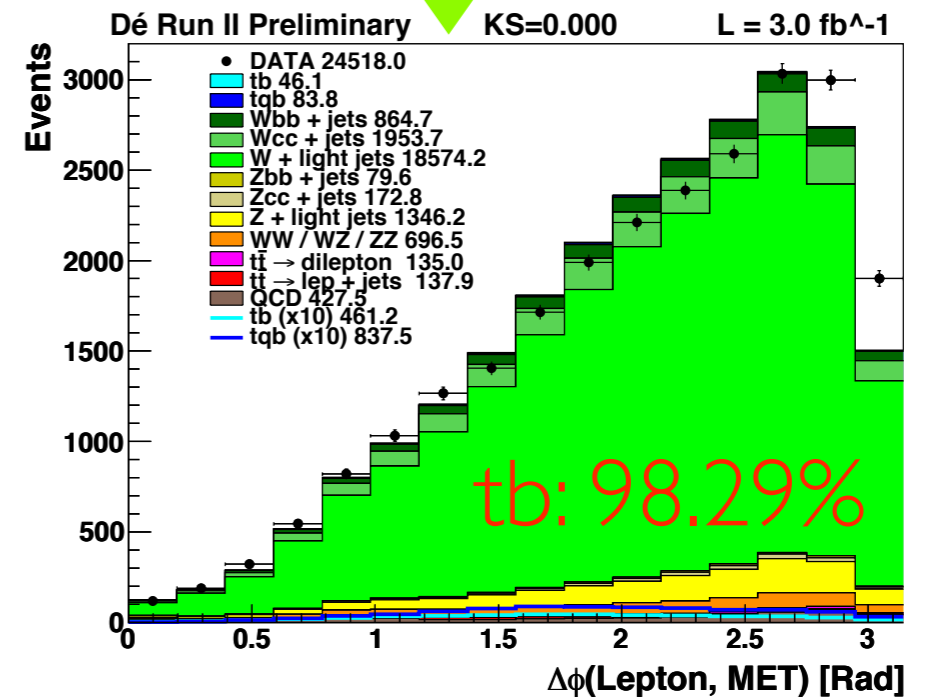
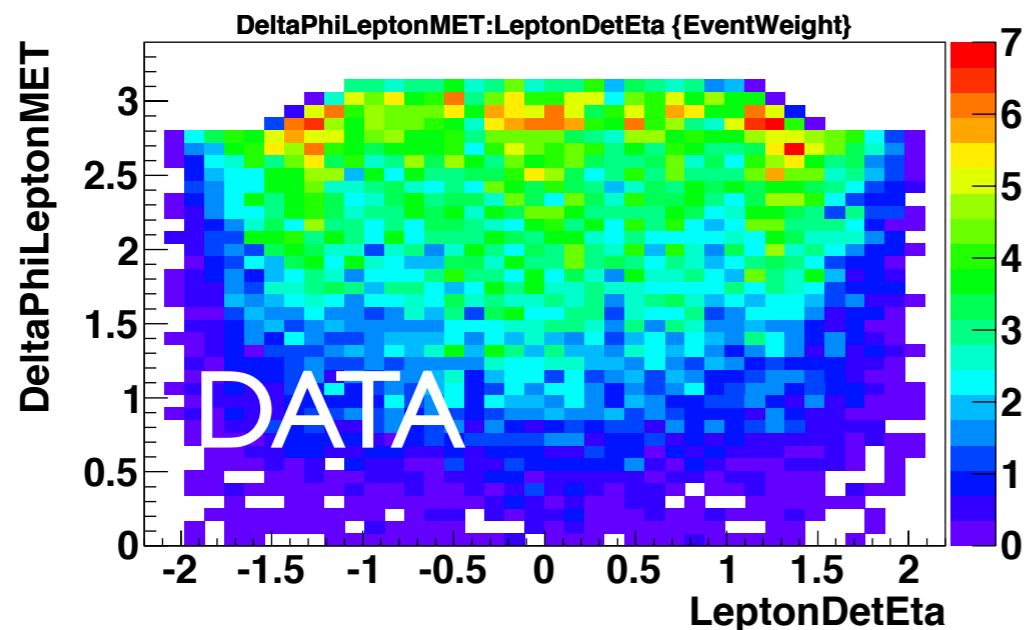
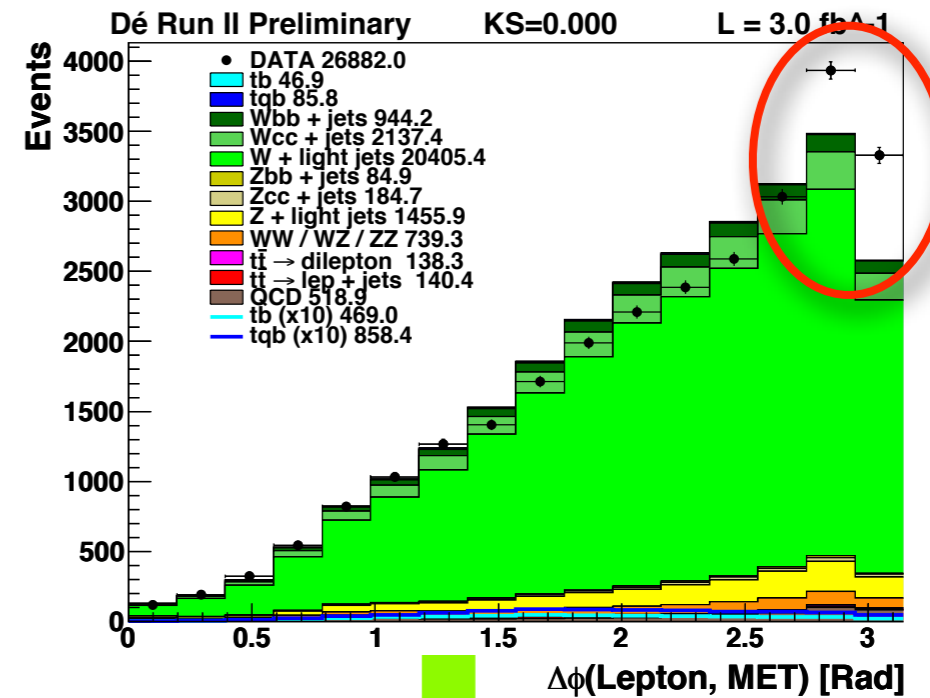


# Additional Cuts

- $H_T > 120/140/160$  GeV (2/3/4 jet)
- $MET > 25$  GeV (3 and 4 jet)
- $\Delta\varphi(MET, Jet1) < 1.5 + 0.0469MET$
- Electron channel
  - $\Delta\varphi(MET, Lep) > 2.0 - 0.05MET$
  - $\Delta\varphi(MET, Lep) > 1.5 - 0.03MET$
  - $\Delta\varphi(MET, Lep) < 2.0 + 0.0476MET$
- Muon channel
  - $\Delta\varphi(MET, Lep) > 1.4 - 0.0141MET$
  - $\Delta\varphi(MET, Lep) < 2.5 + 0.0214MET$
  - $-ab/(\pi-a)+b \Delta\varphi(MET, Lep)/(\pi-a) < |mutrkursig|$   
(a, b) = (2.9, 10) (modified)
  - Trapezoid cut (new)

# $\Delta\phi(\text{Muon, MET})$ Issue

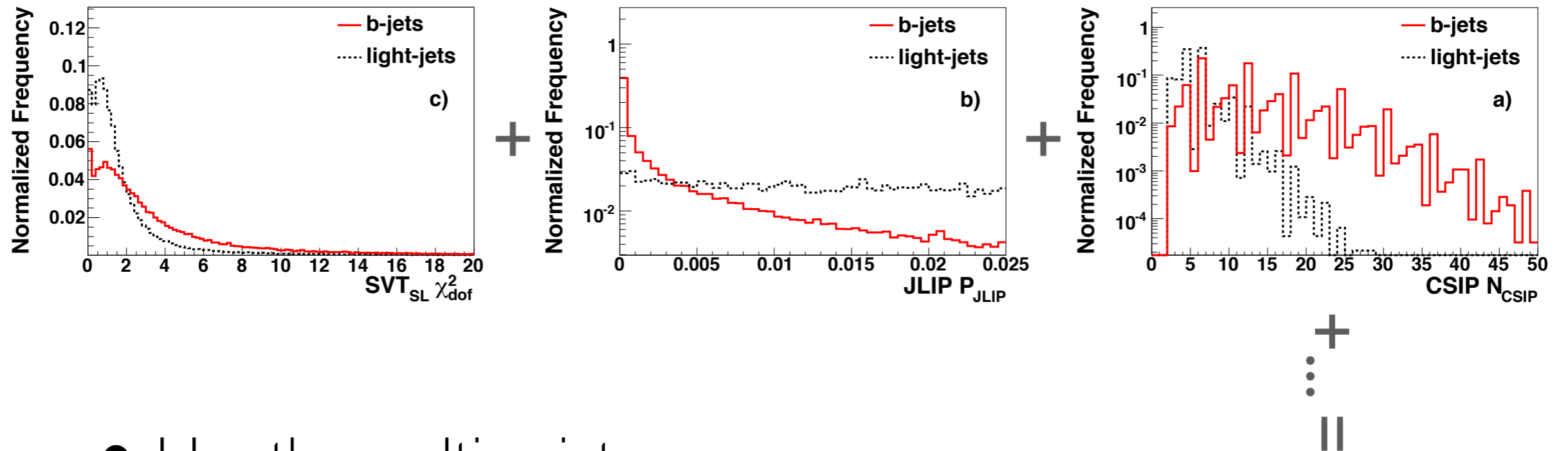
- Data-MC disagreement in the last bin of  $\Delta\phi(\text{Muon, MET})$  for mu+jets channel
- Re-derive muon TrackCurvSig cut
- Derive a “trapezoid” cut, and apply it after LeptonDetEta reweighting



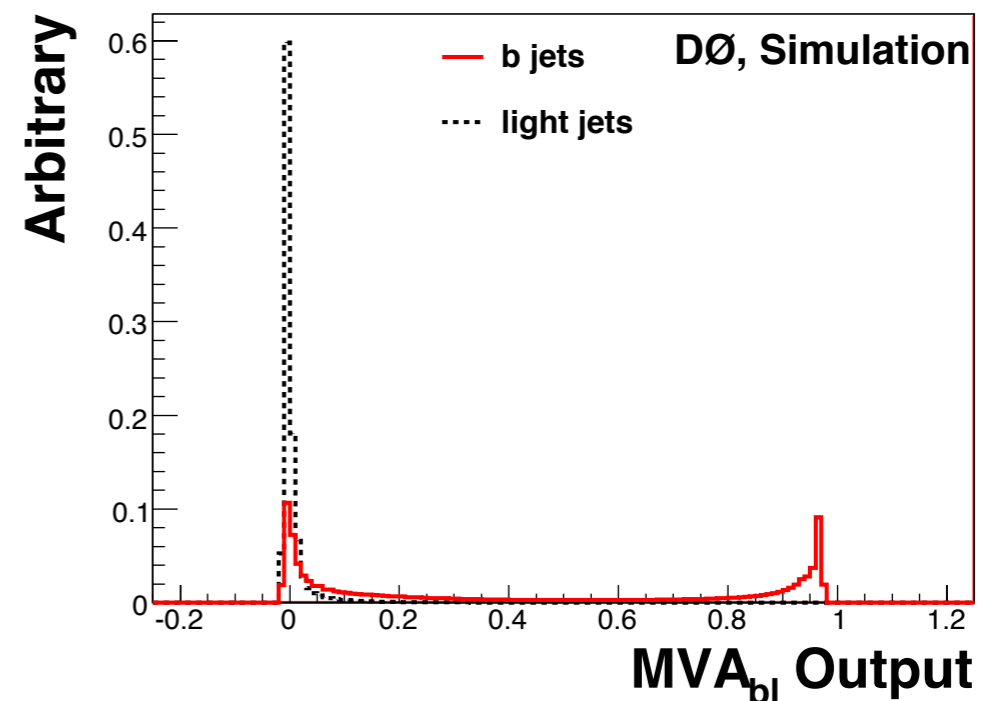
# Reweightings

- The same reweightings as used in previous single top analysis
- e+jets:
  - InstLumi, Angular corrections
- $\mu$ +jets:
  - InstLumi, Lepton Detector  $\eta$ , Angular corrections
- InstLumi and Lepton Detector  $\eta$  reweightings
  - bin by bin, applied on all MCs
- Angular corrections
  - Jet1  $\eta$ , Jet2  $\eta$ ,  $\Delta R(\text{Jet1}, \text{Jet2})$ , Jet3  $\eta$ , Jet4  $\eta$
  - Originally from Higgs group, with our ICD methods

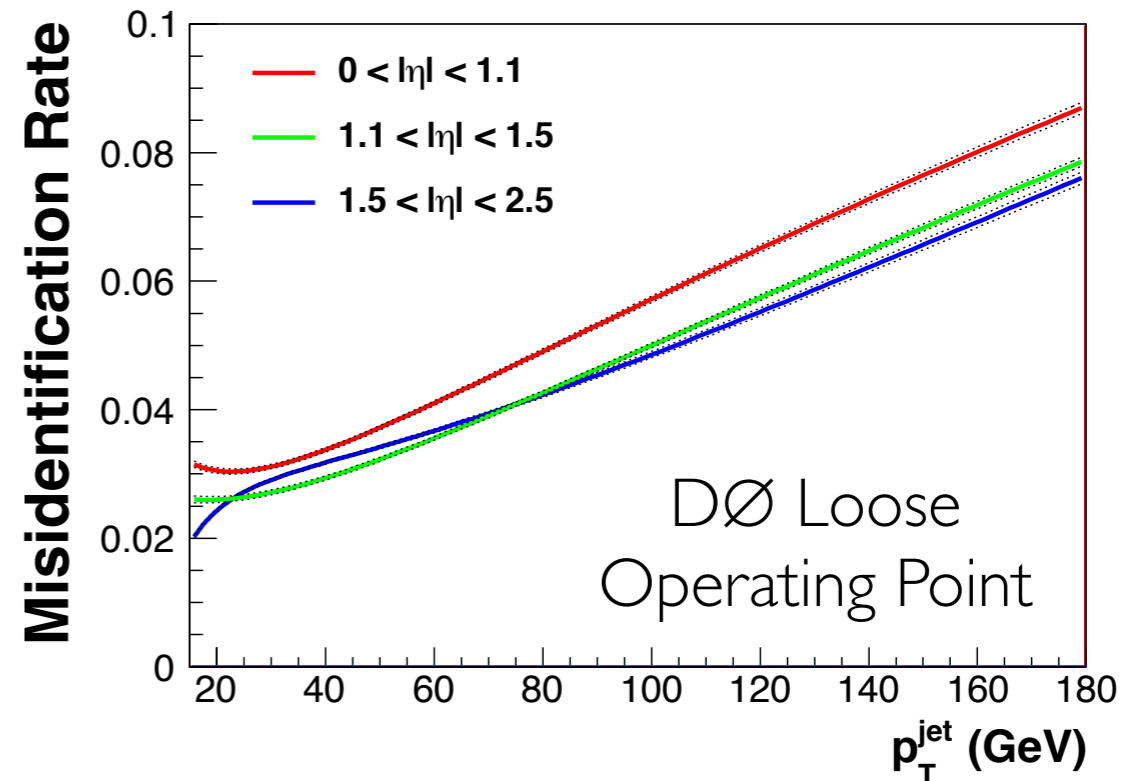
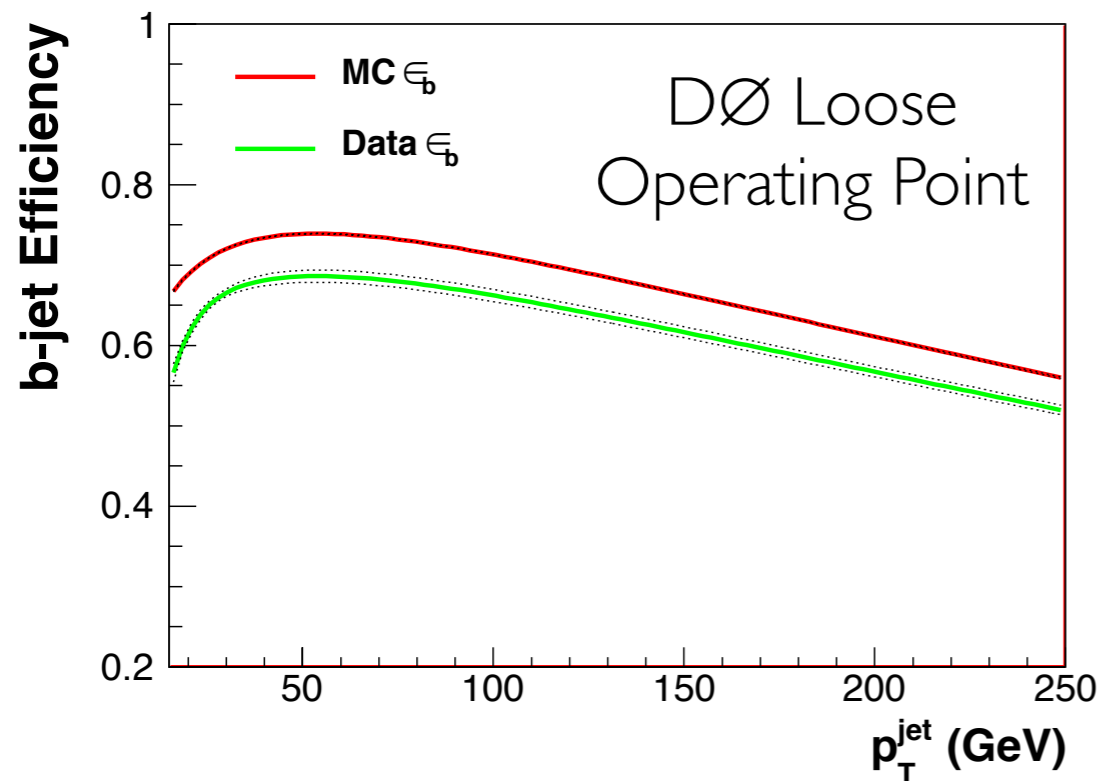
# $b$ -Jet Identification



- Use the multivariate technique (MVA) to combine these variables
- Optimize the cut on (the MVA output) to this analysis



# $b$ -ID Efficiency



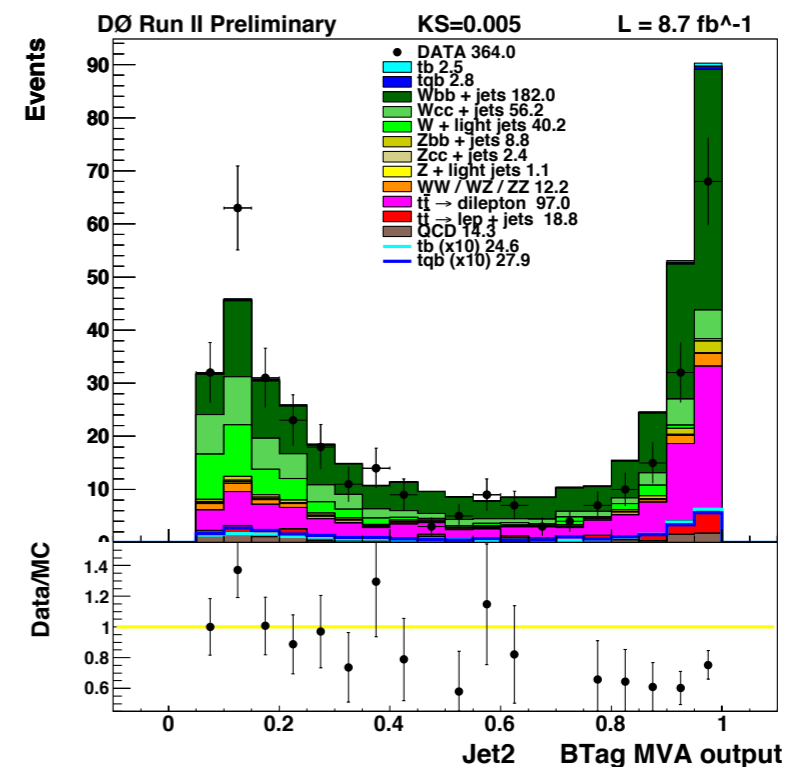
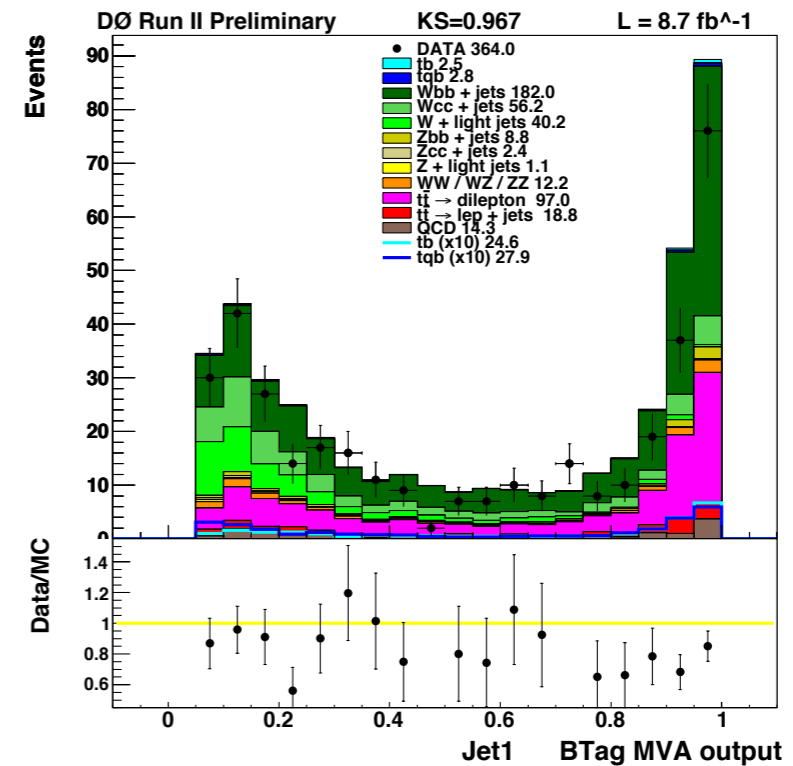
- $b$ -identification efficiency: (50-70)%
- Misidentification rate: (3-8)%
- Obtain scale factors to correct the MC samples

# W Transverse Mass

$$M_T^2(W) = [E_T + p_T(\ell)]^2 - [E_{T_x} + p_x(\ell)]^2 - [E_{T_y} + p_y(\ell)]^2$$

# Heavy-Flavor Modeling

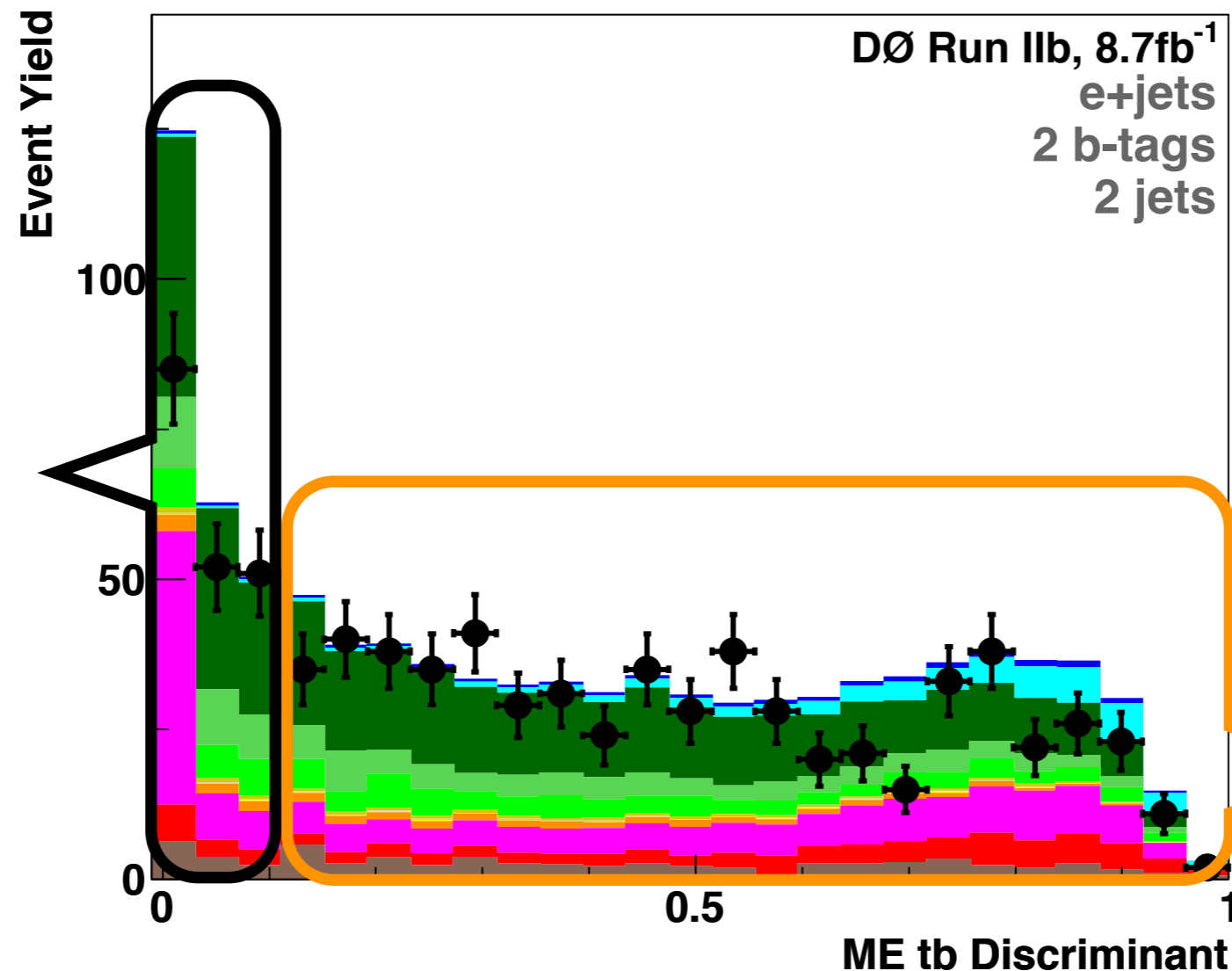
- Observed mis-modeling in high  $b$ -ID output regions
  - particularly in 2-jet 2-tag channel
- Possible sources:
  - Alpgen distributions of the  $W+bb/cc$  samples
  - mis-modeling of the  $b$ -ID efficiency
- Could not identify the source owing to low data statistics in the mis-modeled regions
- Need an ad hoc correction



# Heavy-Flavor Correction

- Use a part of data to derive the correction
- The Matrix Element discriminant is unbiased by the  $D\emptyset$  simulation

Control region:  
Signal < 1%;  
orthogonal;  
used to derive  
additional  
b-ID scale  
factor



Signal region:  
Used for the  
final cross  
section  
measurement

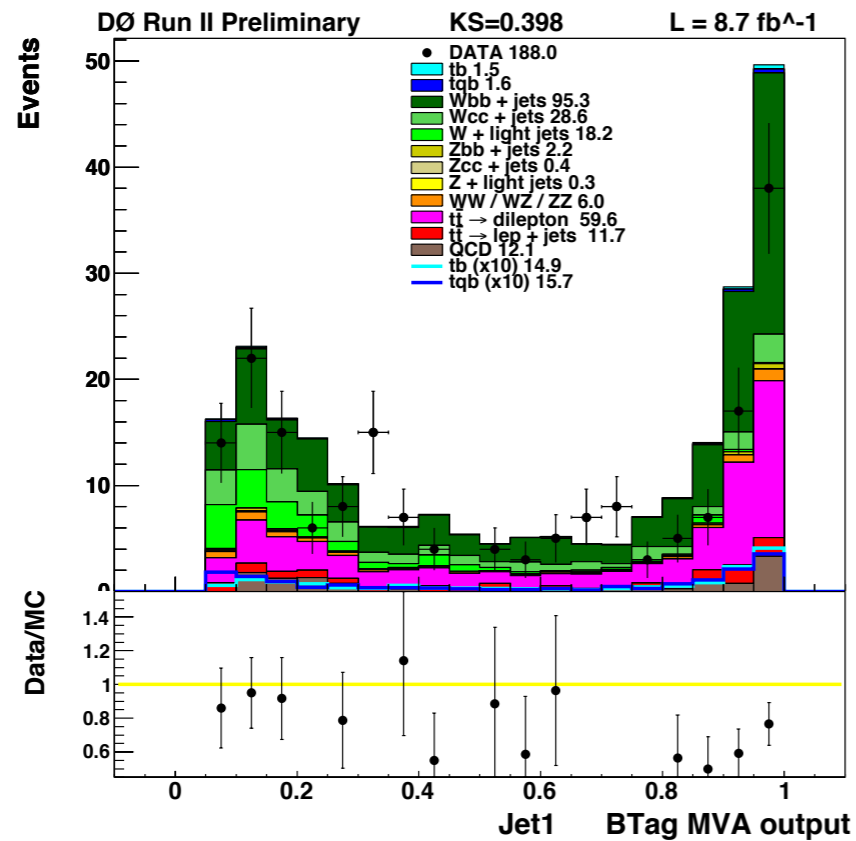


# Heavy-Flavor Correction

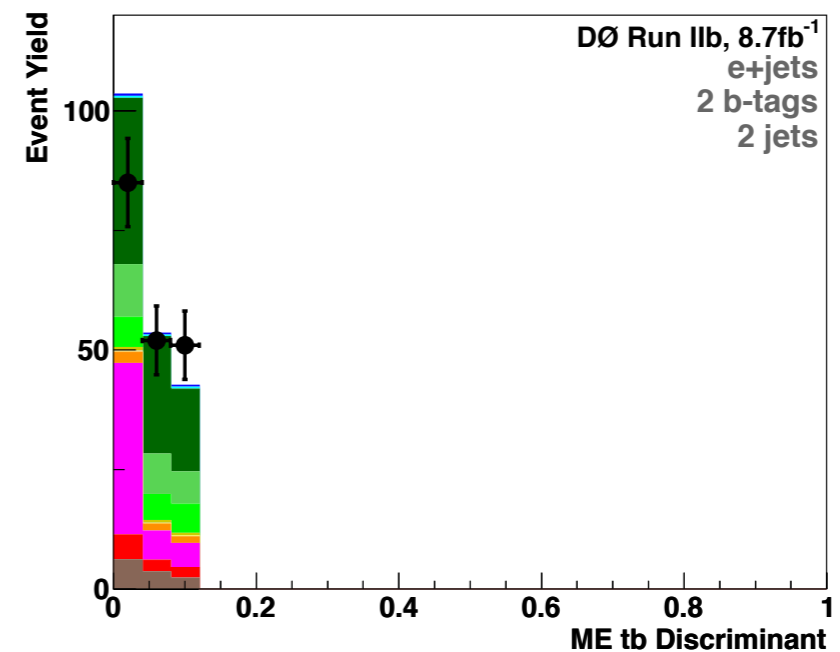
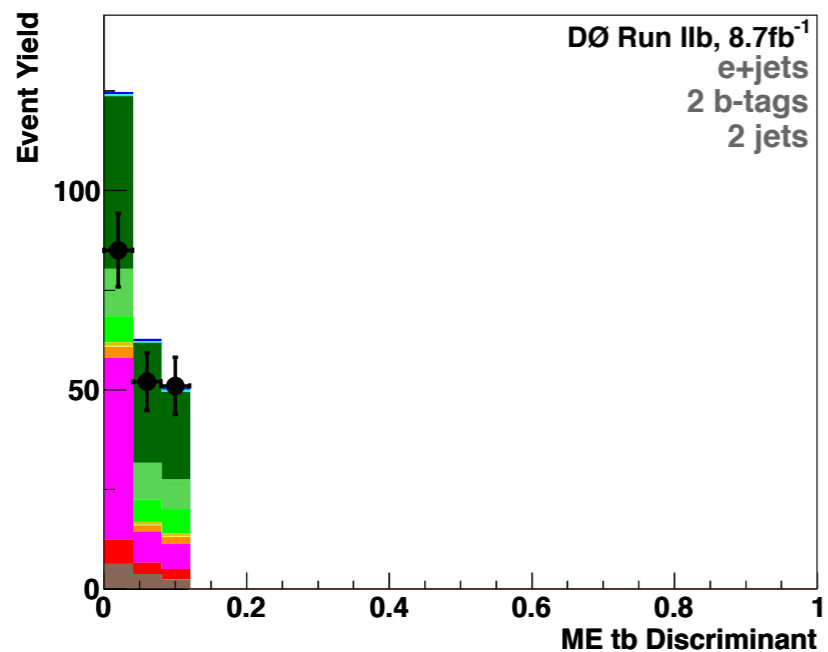
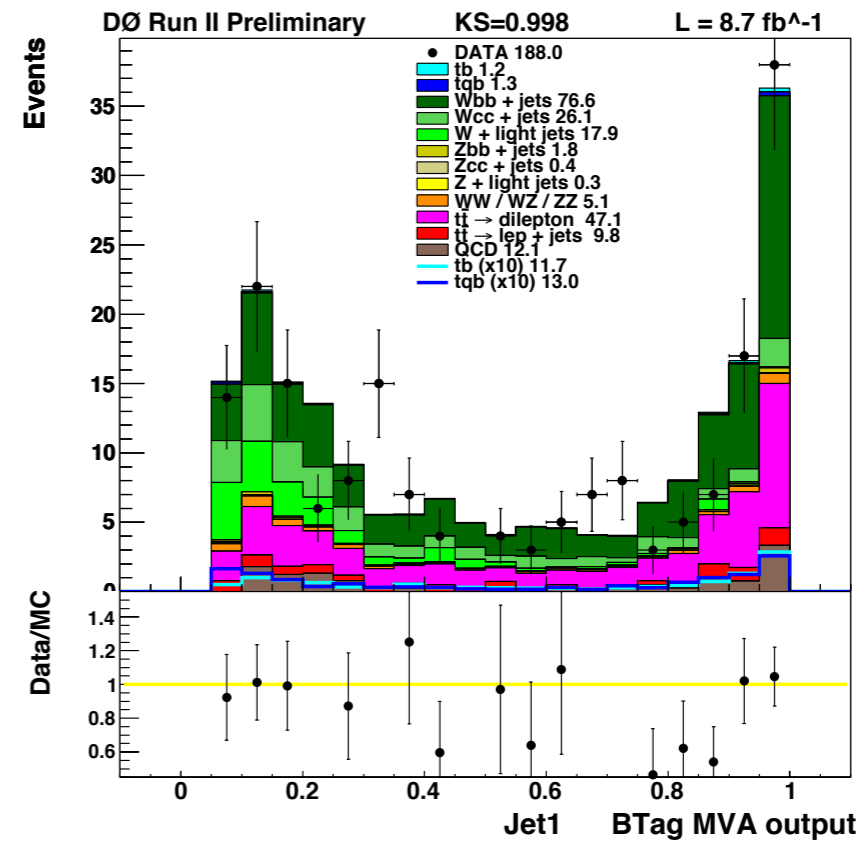
- Without the constant heavy flavor scale factor 0.8
- Use the events with  $MED < 0.12$ , orthogonal to the events used for the cross section measurement
- Use the two JetBTagMVA (BL) bins, (0.9, 0.95), (0.95, 1), to derive a jet-based correction
- The corrections are found to be channel-dependent
  - Derive from 1tag and 2tag, 2jet and 3jet channels individually
  - Combine the electron and muon channels
- Apply on the jet with JetBTagMVA (BL)  $> 0.9$ , for all heavy flavor jets in all the MC samples
  - The Data-MC agreement in JetBTagMVA (BL)  $< 0.9$  is good

# Control Region: $e+2\text{jets } 2\text{tag}$

before corrections



after corrections



# 3 Methods for HF Scales

- **Method 1:** Use zero tagged samples

$$N^{(0)} = N_{wlp}^{(0)} + \lambda_{HF} N_{whp}^{(0)}$$

- **Method 2:** Normalize to Data in the orthogonal(0) and tagged(1) samples

$$N^{(0)} = \lambda(N_{wlp}^{(0)} + \lambda_{HF} N_{whp}^{(0)})$$

$$N^{(1)} = \lambda(N_{wlp}^{(1)} + \lambda_{HF} N_{whp}^{(1)})$$

- **Method 3:** Minimize  $\chi^2$  for b-ID outputs

$$\chi^2(\lambda_{HF}) = \sum_i \frac{[N_i - \lambda(\lambda_{HF})(N_{wlp,i} + \lambda_{HF} N_{whp,i})]^2}{E_i^2 + E_{wlp,i}^2 + E_{whp,i}^2}$$

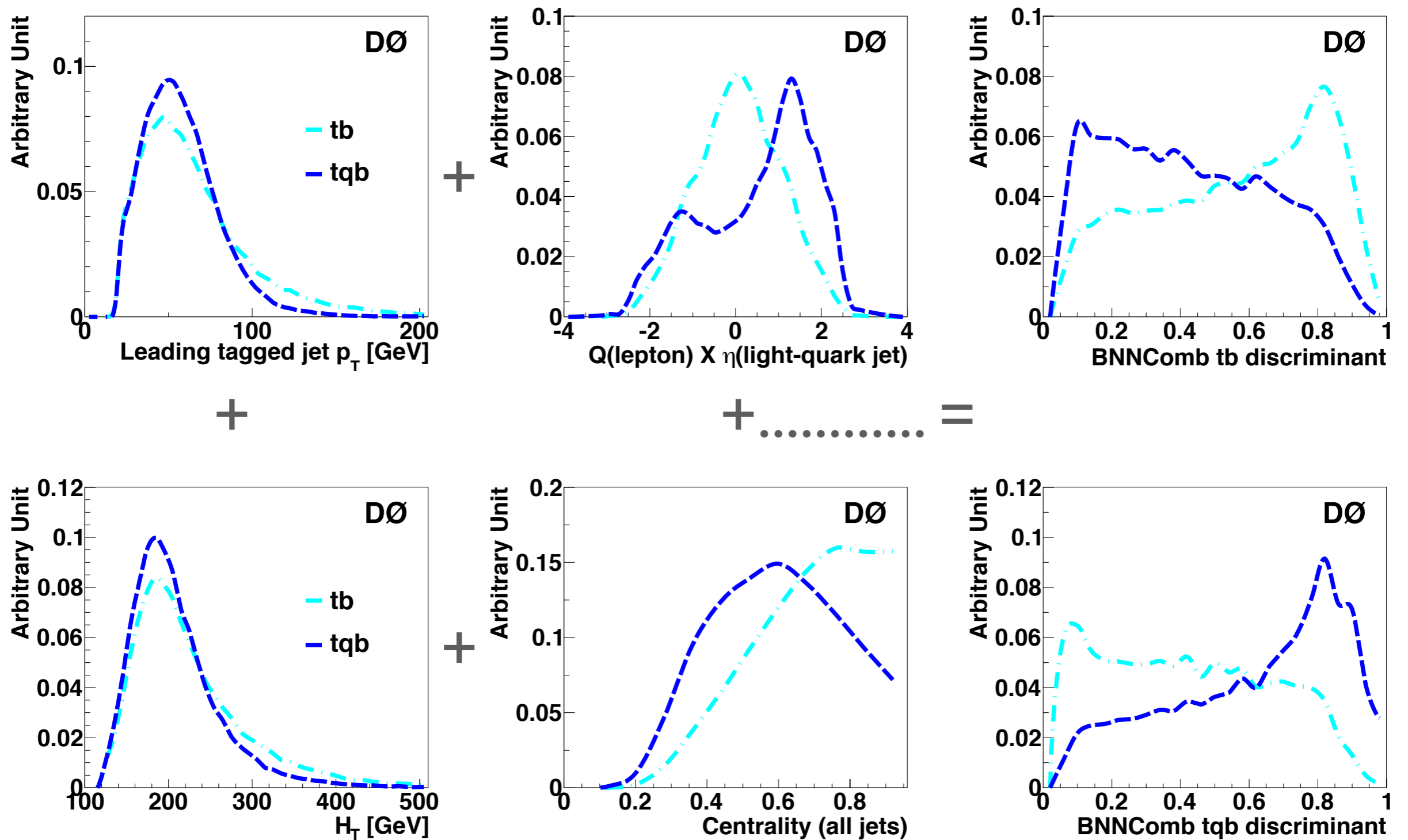
# Heavy Flavor Scale Factor

- Derived the heavy flavor scale factor with the new HF corrected samples

	$\lambda$	$\lambda_{\text{HF}}$
Method 1	1	$1.01 \pm 0.03$
Method 2	1.00	$0.98 \pm 0.03$
Method 3	1.00	$1.04 \pm 0.05$

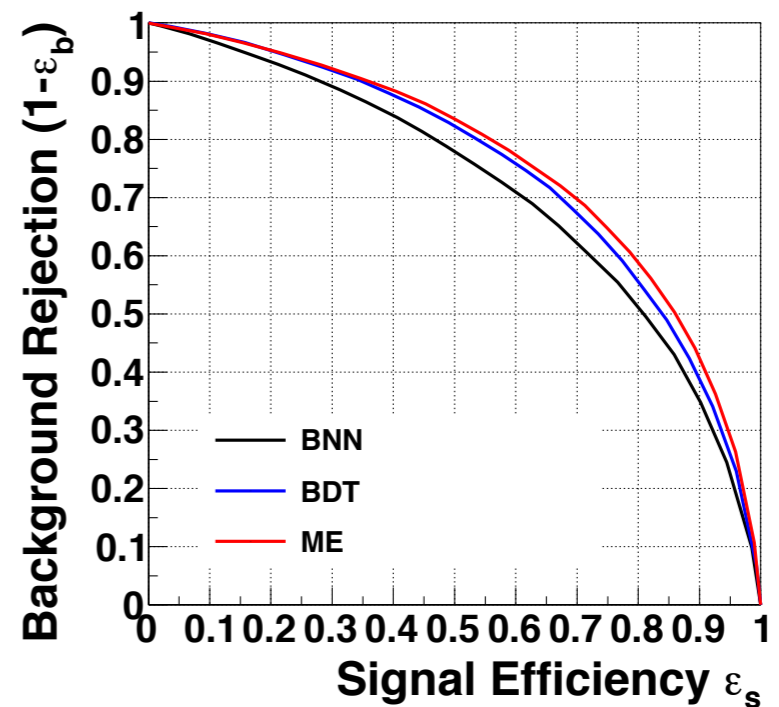
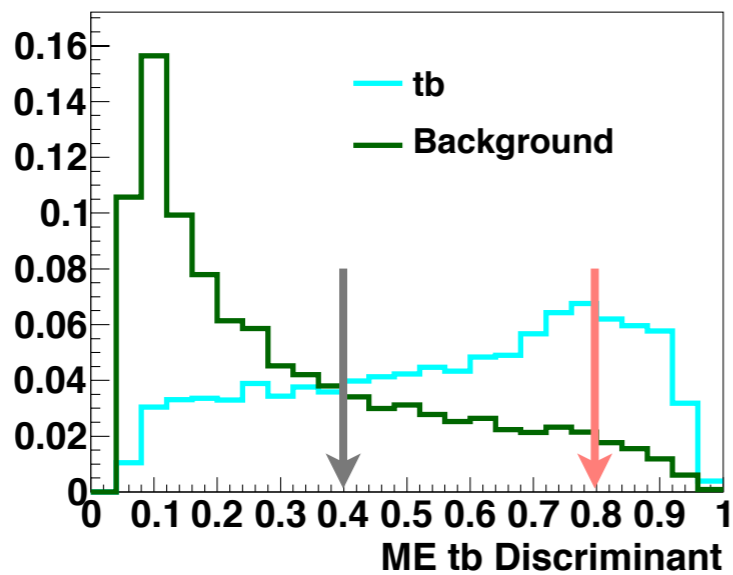
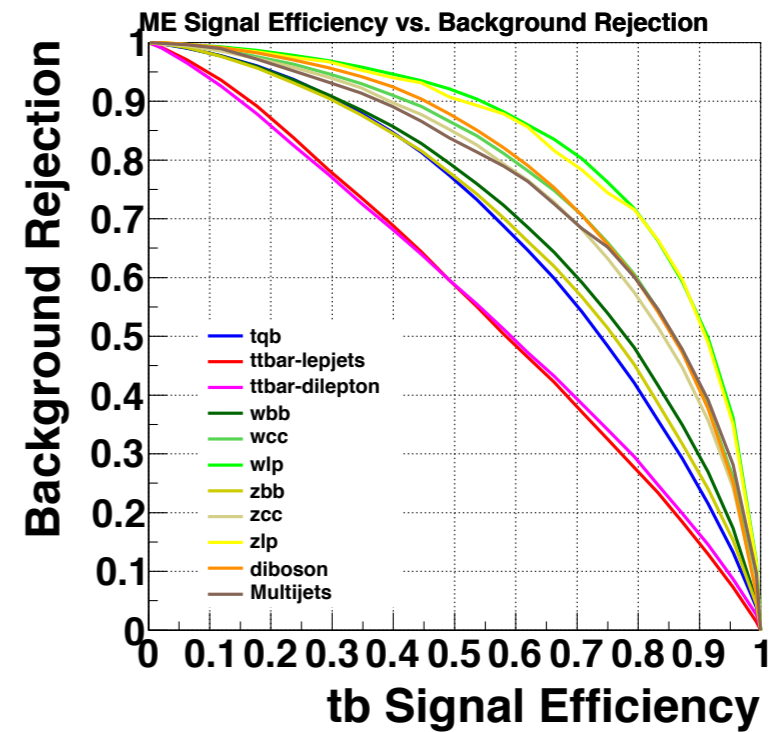
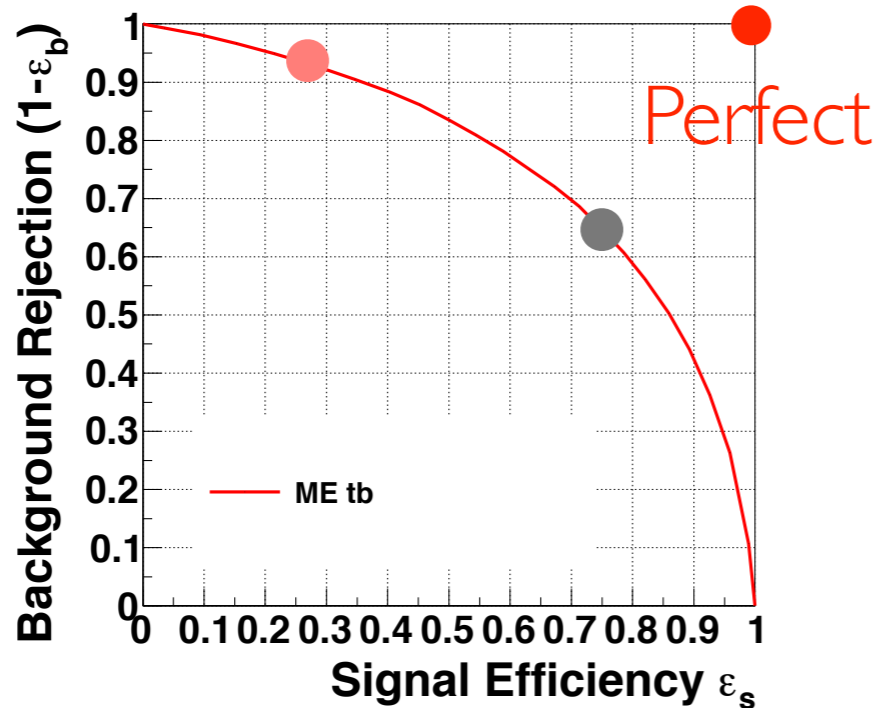
- The heavy flavor scale factors derived from all the three method are **consistent with one**
- $\lambda_{\text{HF}}$  was 1.0 from M1 but 0.8 from M2 and M3

# *tb* versus *tqb*



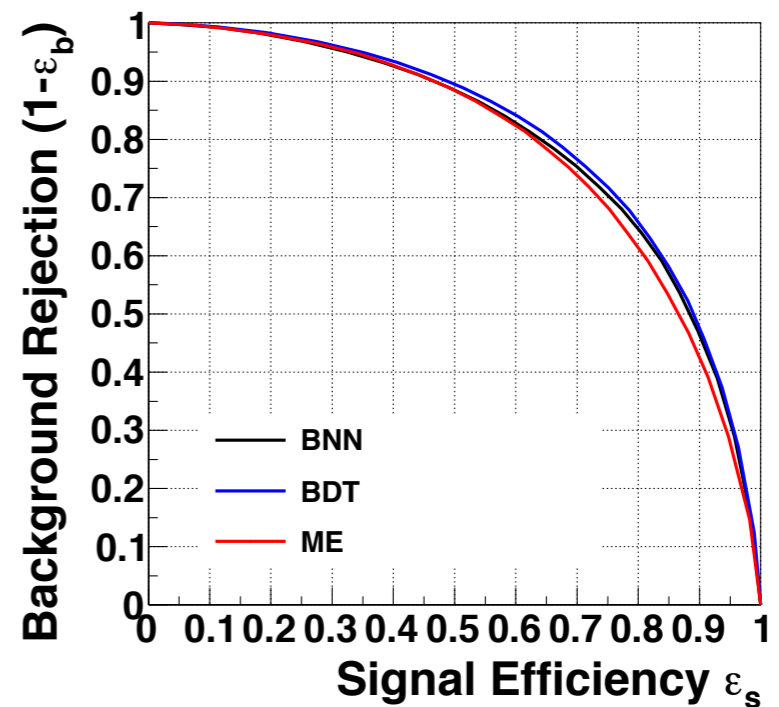
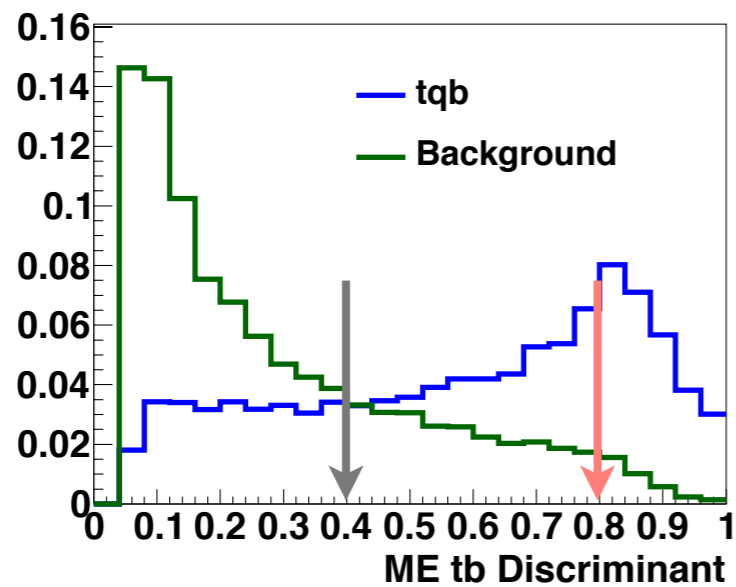
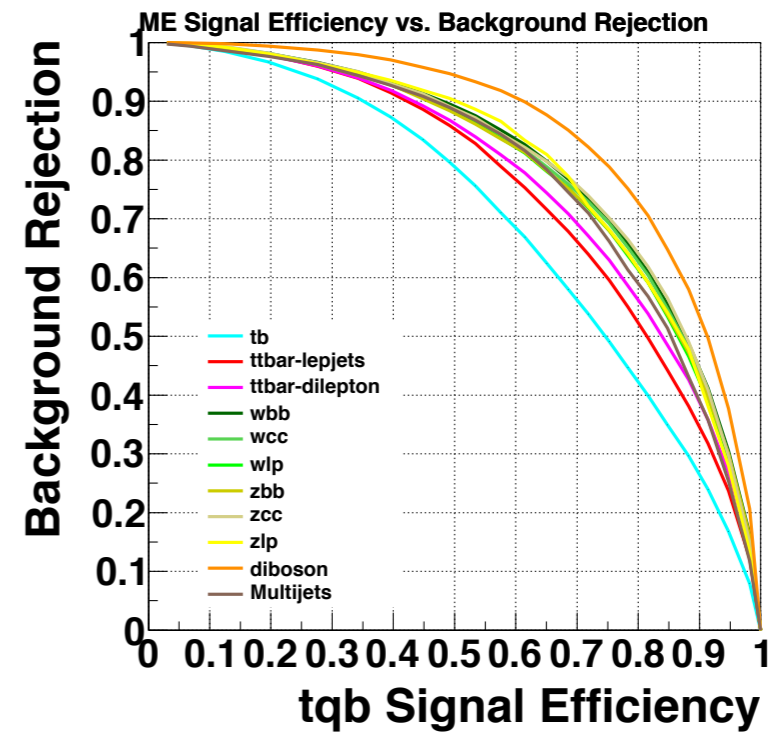
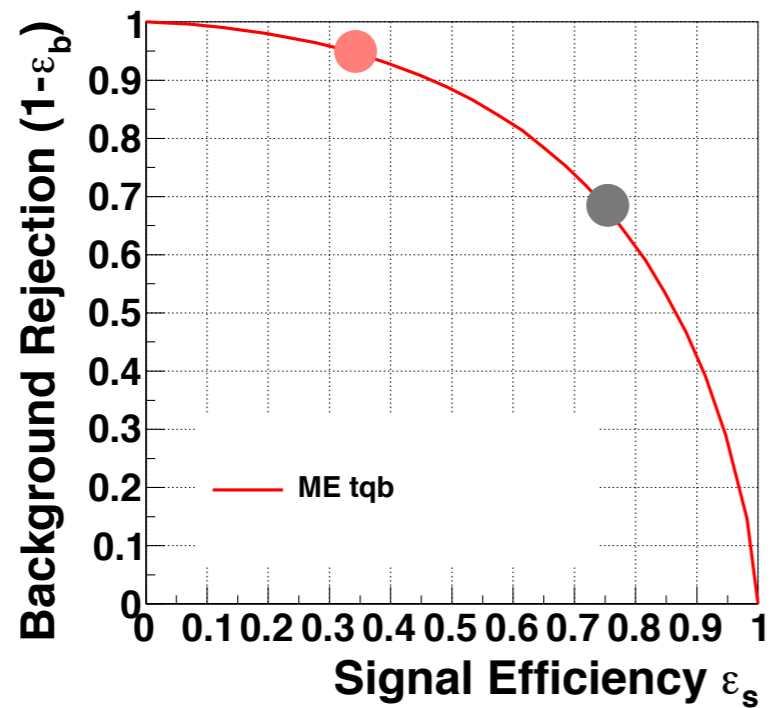
# s-channel Performance

2 *b*-tags, 2 jets



# $t$ -channel Performance

|  $b$ -tag, 2 jets



# Final tqb Discriminant

- ME discriminant: likelihood ratio

$$D(x) = \frac{P_{sig}(x)}{P_{sig}(x) + P_{bkgd}(x)}$$

- Include *b*-ID outputs in the probability:  $P \rightarrow P^{bl}$

	b	l	weight
Assignment 1	jet1	jet2	$btag1 \times (1 - btag2)$
Assignment 2	jet2	jet1	$(1 - btag1) \times btag2$

$$P^{bl} = \frac{w_1 d\sigma_1 + w_2 d\sigma_2}{(w_1 + w_2)\sigma}$$



# Final tb Discriminant

- b-ID outputs washed out by symmetric final states in 2 jet (sensitive to the s-channel)
- Use the probability without the b-ID outputs

$$P = \frac{d\sigma_1 + d\sigma_2}{\sigma}$$

- Include the b-ID outputs according to each process

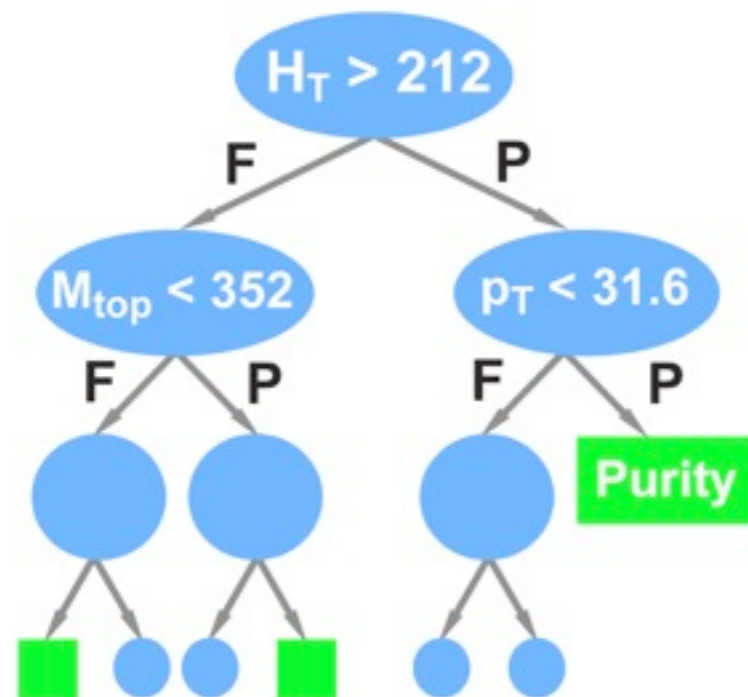
$$D(\vec{x}) = \frac{b \cdot P_S(\vec{x})}{b \cdot P_S(\vec{x}) + b \cdot P_{B:b-fs}(\vec{x}) + (1 - b) \cdot P_{B:light-fs}(\vec{x})}$$

$$b = \text{btag1} \cdot \text{btag2}; \quad 1 - b = (1 - \text{btag1})(1 - \text{btag2})$$

# Boosted Decision Tree

- Apply sequential cuts but keep the failing events
- Train another tree produced by enhancing misclassified events (boosting)
- Average multiple trees and boost the performance

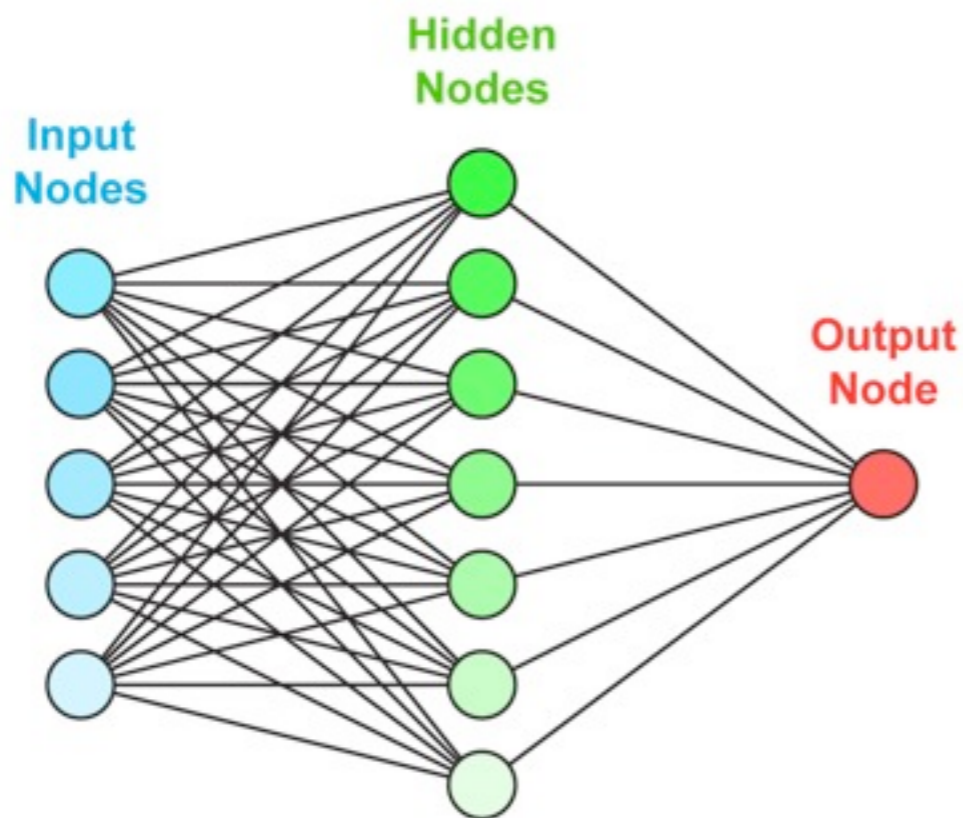
- Up to 30 variables



#	BDT input variables
1	$\cancel{E}_T$
2	$p_T(\ell)$
3	$\eta(\ell)$
4	$M(\text{jet1})$
5	$p_T(\text{untag1})$
6	$E(\text{untag1})$
7	$M(\text{untag1})$
8	$b_{\text{ID}}(\text{untag1})$
9	$p_T(\text{jet2})$
10	$b_{\text{ID}}(\text{tag1})$
11	$\Delta R(\text{jet1}, \text{jet2})$
12	$\Delta R_{\text{min}}(\ell, \text{jet})$
13	$\Delta\Phi(\ell, \cancel{E}_T)$
14	$\Delta\Phi(\text{jet2}, \cancel{E}_T)$
15	$\Delta\Phi(\text{jet1}, \cancel{E}_T)$
16	$Q(\ell) \times \eta(\text{untag1})$
17	$Q(\ell) \times \eta(\text{jet2})$
18	$Q(\ell) \times \eta(\ell)$
19	$Q(\ell) \times \eta(\text{tag1})$
20	$Q(\ell) \times \eta(\text{jet1})$
21	$\cos(\ell, \text{jet2})_{\text{lab}}$
22	$\cos(\ell, \text{jet1})_{\text{lab}}$
23	$H_T(\text{alljets})$
24	$H_T(\ell, \cancel{E}_T, \text{alljets})$
25	$H_T(\ell, \cancel{E}_T)$
26	Centrality(alljets)
27	$M_{\text{jet1}, \text{jet2}}$
28	$p_T(\text{jet1}, \text{jet2})$
29	$M_T(W)$
30	$p_T(W)$

# Bayesian Neural Network

- NN produces a **probability** of an event to be produced by signal processes
- Average over many networks and improve the performance

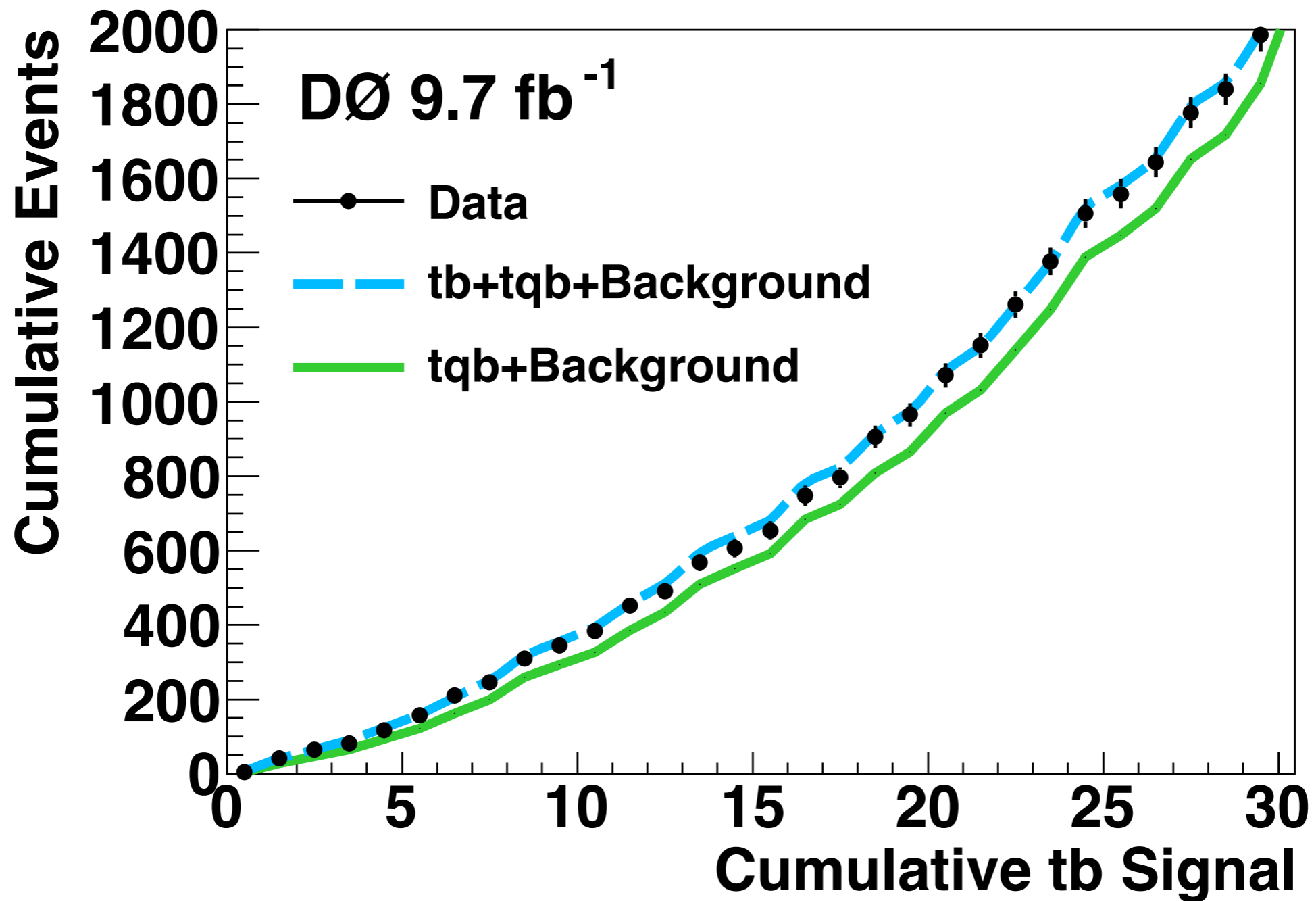


- Up to 21 variables

#	BNN input variables
1	$p_T(\text{tag1})$
2	$\eta(\text{tag1})$
3	$\Delta\Phi(\ell, \text{tag1})$
4	$b_{\text{ID}}(\text{tag1})$
5	$p_T(\text{untag1})$
6	$\eta(\text{untag1})$
7	$\Delta\Phi(\ell, \text{untag1})$
8	$b_{\text{ID}}(\text{untag1})$
9	$p_T(\ell)$
10	$\eta(\ell)$
11	$\cancel{E}_T$
12	$\Delta\Phi(\ell, \cancel{E}_T)$
13	$p_T(\text{tag2})$
14	$\eta(\text{tag2})$
15	$\Delta\Phi(\ell, \text{tag2})$
16	$b_{\text{ID}}(\text{tag2})$
17	$p_T(\text{untag2})$
18	$\eta(\text{untag2})$
19	$b_{\text{ID}}(\text{untag2})$
20	$M_T(W)$
21	$Q(\ell) \times \eta(\text{untag1})$

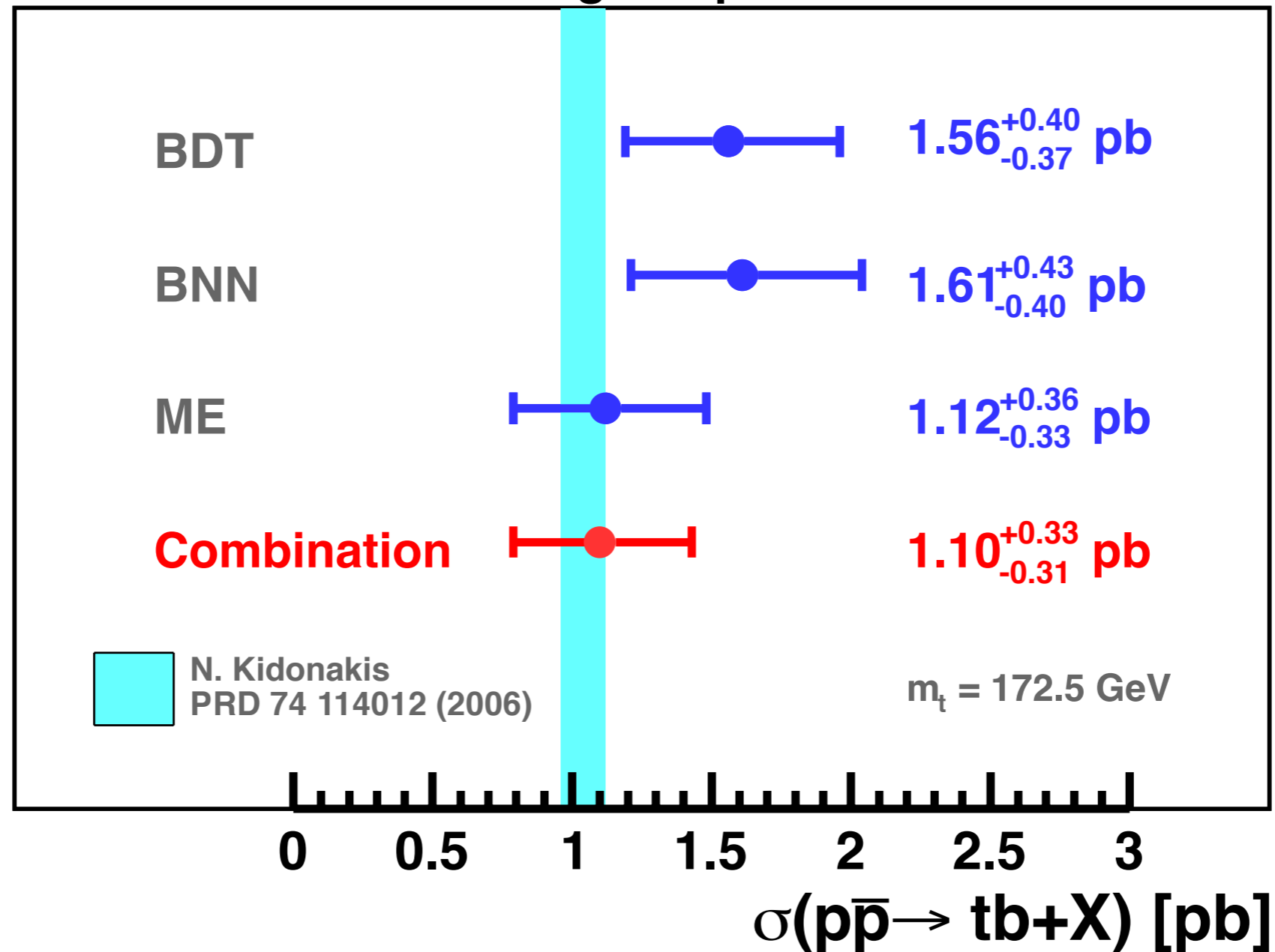
# Cumulative *tb*-Signal Plot

Integrating from the high significance bins backward



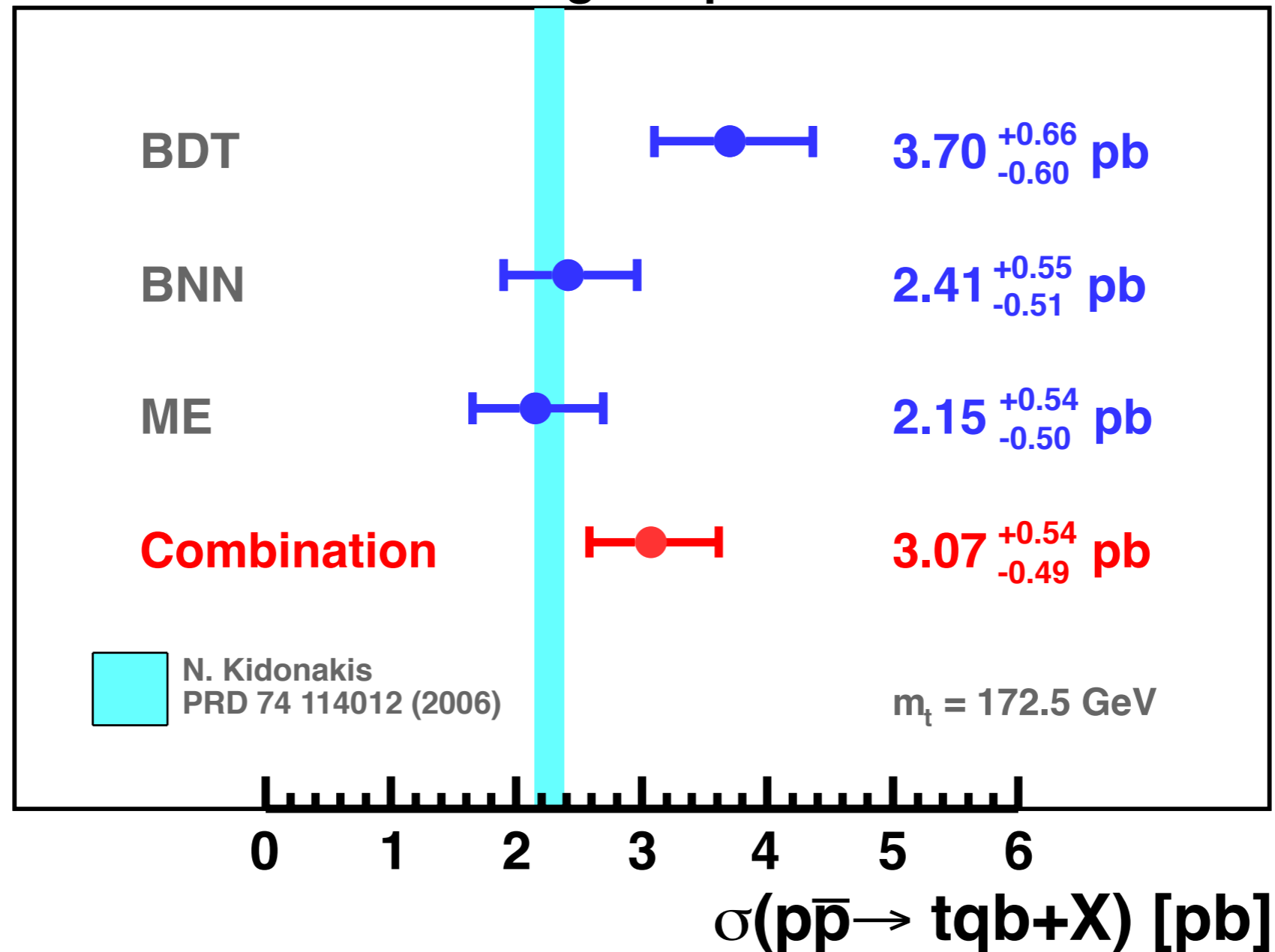
# Summary of *tb* Results

DØ 9.7 fb<sup>-1</sup> s-channel Single Top Quark Cross Section



# Summary of $tqb$ Results

## DØ 9.7 fb<sup>-1</sup> t-channel Single Top Quark Cross Section



# Bayesian Approach

- Bayes' theorem

$$p(\sigma|D) = \frac{p(D|\sigma)p(\sigma)}{p(D)}$$

- Posterior p.d.f.

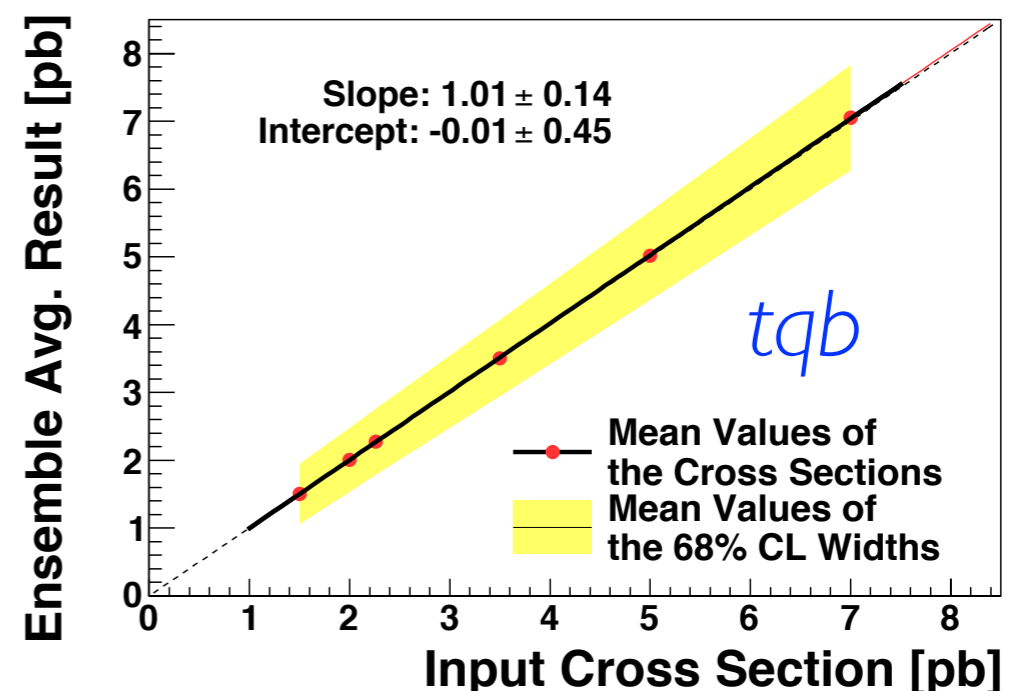
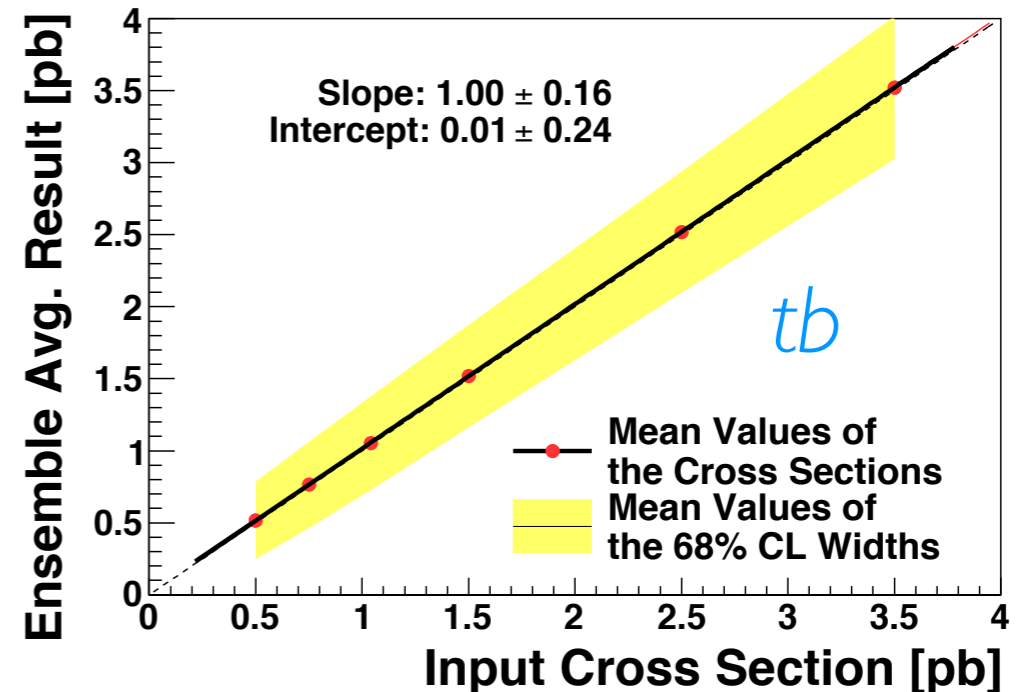
$$p(\sigma|D) = \frac{L(D|\sigma)\pi(\sigma)}{\int L(D|\sigma')\pi(\sigma')d\sigma'}$$

- Poisson distribution

$$L(D|d) = \frac{e^{-d}d^D}{\Gamma(D+1)}$$

# Ensemble Test

- Generate ensembles of pseudo-data samples
- Each ensemble has a different input signal  $\sigma$
- All systematics included
- Extract the signal cross section from each pseudo-data sample
- No calibration needed

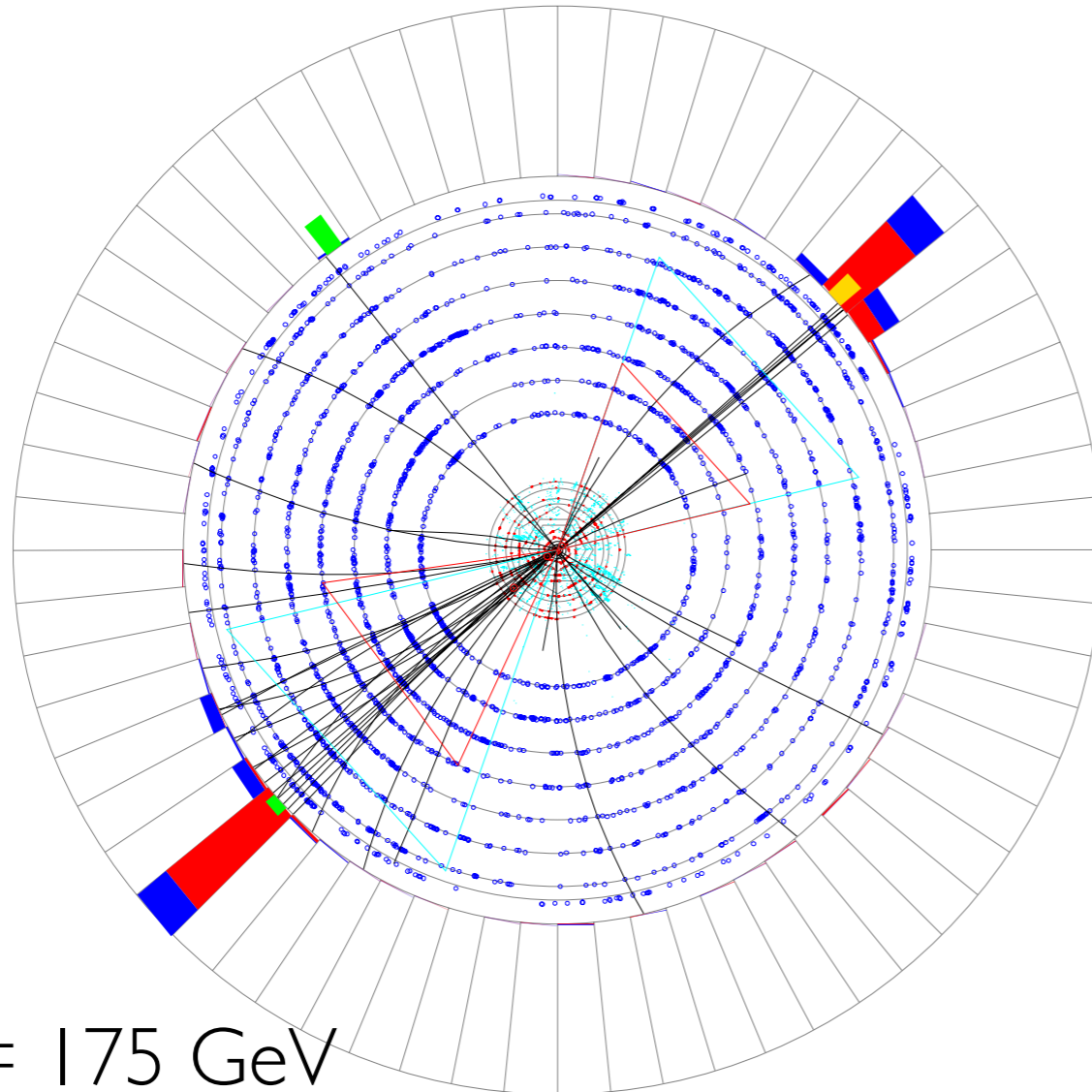




# Another *tb* Candidate

Run 264600 Evt 37760117 Wed Sep 8 07:49:49 2010

ET scale: 143 GeV

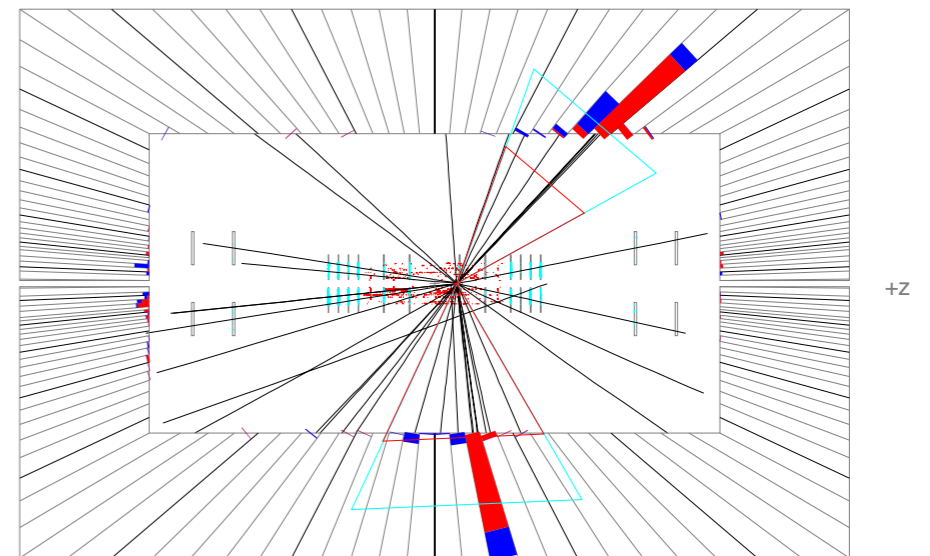


$m_t = 175 \text{ GeV}$   
Jet1 b-tag: 0.32  
Jet2 b-tag: 0.39

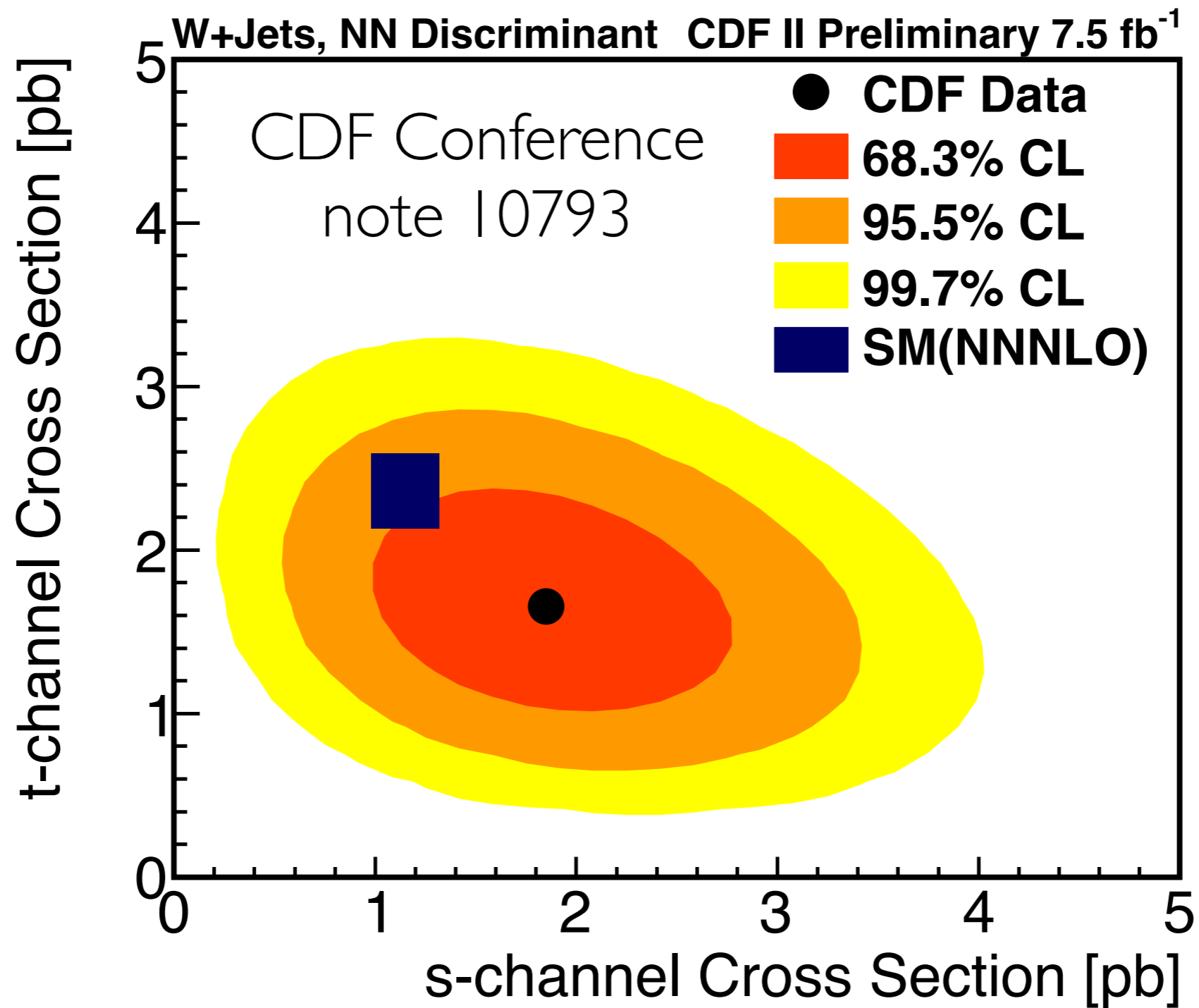
Run 264600  
Event 37760117  
Wed. Sep. 8 07:49:49 2010

Run 264600 Evt 37760117 Wed Sep 8 07:49:49 2010

E scale: 141 GeV



# CDF Result 7.5 fb<sup>-1</sup>

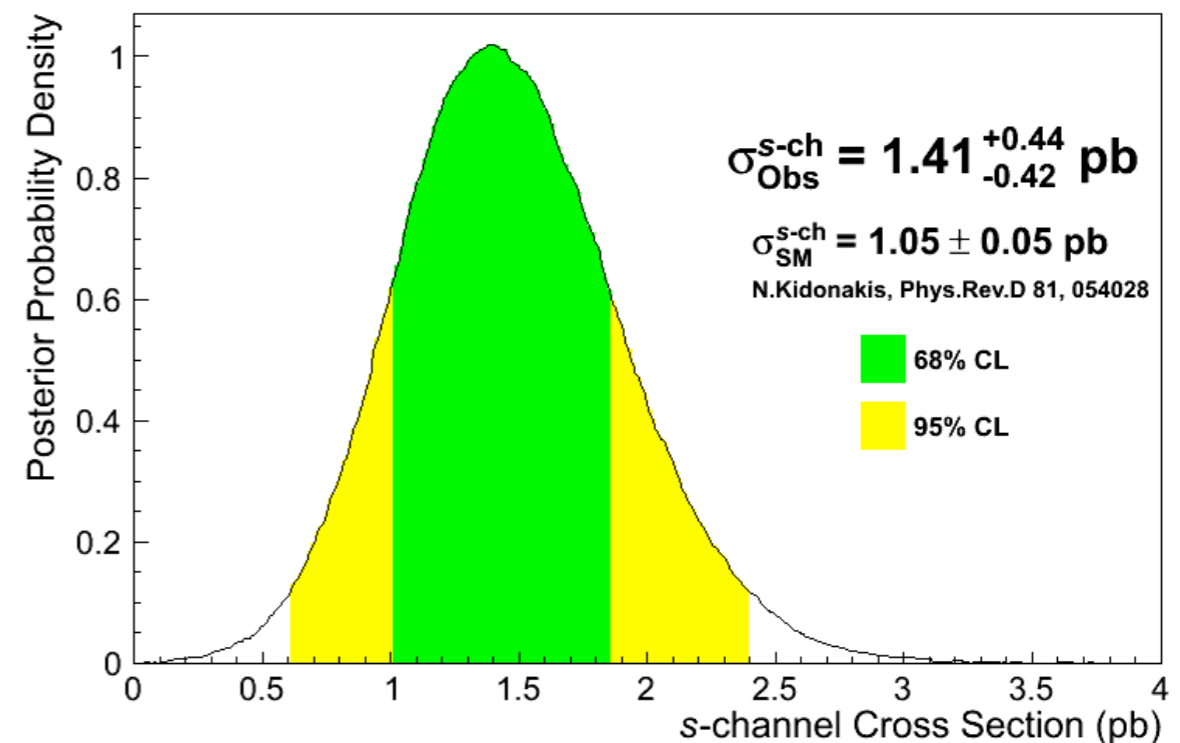


# CDF Confirms Our Result

- In August, CDF also has the  $tb$  single top cross section measurement with  $9.4 \text{ fb}^{-1}$  (preliminary)
  - assumes the SM  $tqb$  production
  - has evidence of the  $tb$  production
    - $\sigma_{tb} = 1.41 \pm 0.44 \text{ pb}$
    - Significance: 3.8 SD (expected 2.9 SD)
  - confirms our result!

## CDF presentation at DPF

Single Top s-channel in Lepton+Jets, CDF Run II Preliminary ( $9.4 \text{ fb}^{-1}$ )



# CDF 9.4 fb<sup>-1</sup>: Event Yield

Category	TT	TL	T	LL
$WW$	1.7±0.4	13.2±2.7	184±23	24.8±3.9
$WZ$	17.8±2.2	21.2±2.0	52.7±5.4	9.9±0.9
$ZZ$	2.4±0.3	2.4±0.2	7.1±0.7	0.96±0.08
$Z + \text{jets}$	10.9±1.2	20.7±2.3	163±18	27.1±3.1
$t\bar{t}$	163±21	194±19	502±50	58.1±6.6
Higgs	6.1±0.6	6.4±0.4	10.3±0.7	1.7±0.2
$Wbb$	246±99	327±130	1166±468	109±44
$Wcc$	19.0±7.8	120±49	1158±467	164±67
$W + \text{Mistag}$	4.3±1.3	62±13	978±141	242±34
Multijet	29±12	47±19	281±112	45±18
$t$ and $Wt$ -channel	18.1±2.5	35.3±4.2	251±28	13.6±1.5
$s$ -channel	54.5±6.7	61.2±5.6	109±10	17.8±2.1
Total Prediction	573±155	911±248	4860±1320	714±181
Observed	466	765	4620	718
Significance	2.52	2.21	1.60	0.66

# CDF 9.4 fb<sup>-1</sup>: Systematics

Source of uncertainty	Rate	Shape	Affected samples
<i>b</i> tagging scale factor uncertainty	4%-18%		<i>t</i> $\bar{t}$ , single top, <i>WZ</i> , <i>ZZ</i> , Higgs
Charm mistag rate	7%-37%		<i>WW</i>
<i>W</i> +jets mistag rate	4%-37%		<i>W</i> + Mistag jets
Luminosity uncertainty	6%		<i>t</i> $\bar{t}$ , single top, diboson, Higgs
Lepton acceptance uncertainty	2%-4%		<i>t</i> $\bar{t}$ , single top, diboson, Higgs
Cross section uncertainty	6%-10%		<i>t</i> $\bar{t}$ , single top, diboson, Higgs
Initial/Final state radiation	0%-10%	✓	<i>t</i> $\bar{t}$ , single top
Multijet normalization	40%		Multijet
<i>Z</i> +jets normalization	45%		<i>Z</i> +jets
<i>Wbb</i> and <i>Wcc</i> normalization	30%		<i>Wbb</i> , <i>Wcc</i>
<i>Wc</i> normalization	30%		<i>Wc</i>
Jet energy scale	0%-10%	✓	All
Normalization and factorization scale		✓	<i>W</i> +jets
Electron multijet background		✓	Electron multijet