



ALICE



**LHCP** 2014

The Second Annual Conference  
on Large Hadron Collider Physics



# **Heavy-flavour production and multiplicity dependence in pp and p-Pb collisions with ALICE.**

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for the ALICE Collaboration

LHCP Conference, June 2014, NYC, USA

Heavy quarks are produced in initial high- $Q^2$  processes

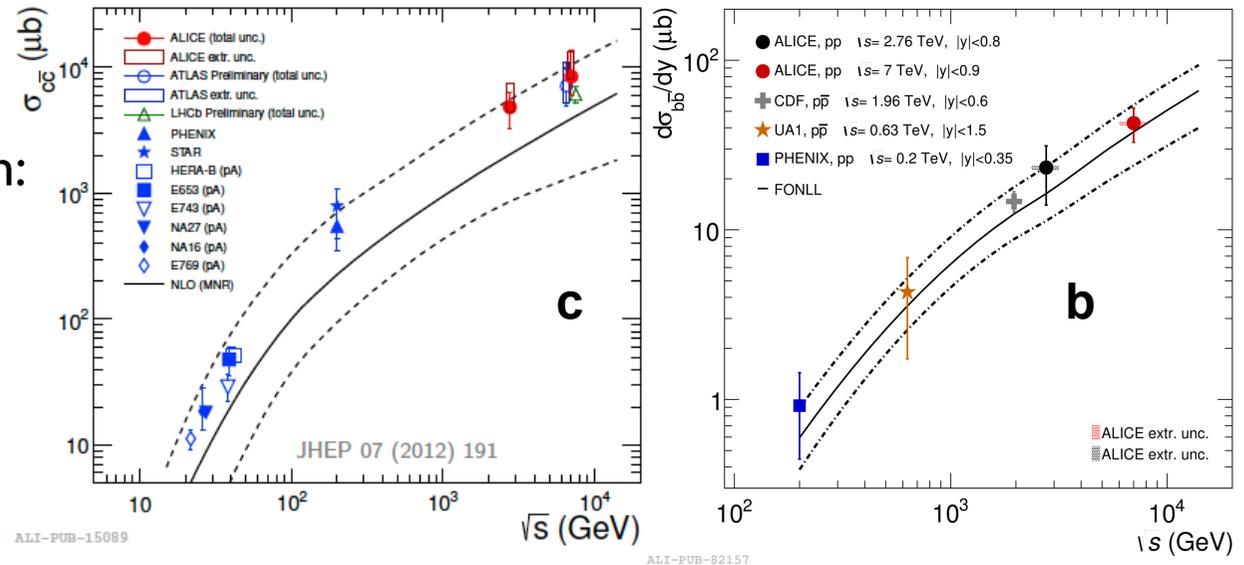
arXiv:1405.4144v1

- Test for pQCD

At LHC, larger cross-section:

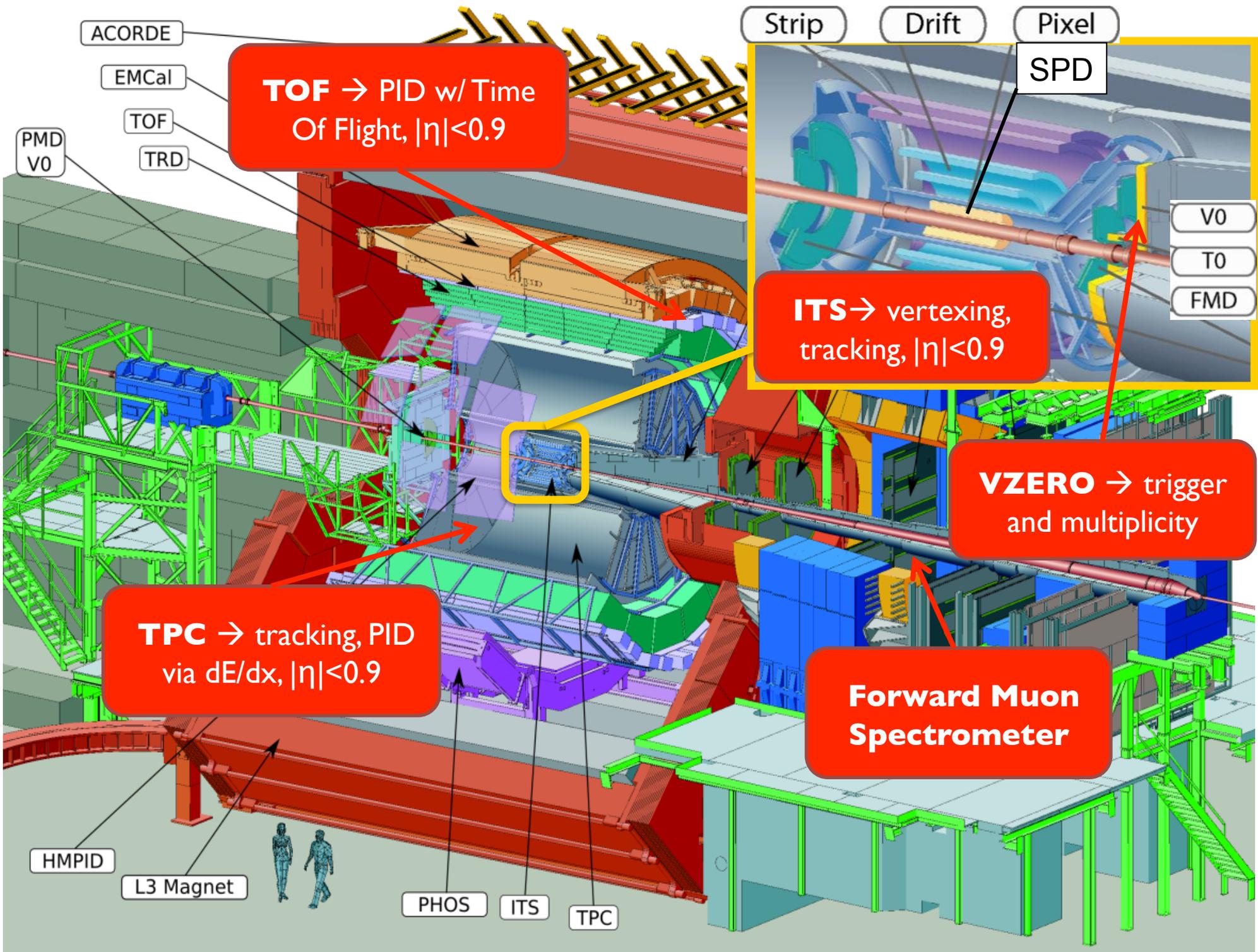
$$\sigma_c(\text{LHC}) \sim 5-10 \sigma_c(\text{RHIC})$$

$$\sigma_b(\text{LHC}) \sim 50 \sigma_b(\text{RHIC})$$



- Effect of multi-parton interactions on the heavy-flavour sector?
- pp is the reference for p-Pb and Pb-Pb collisions
- In p-Pb collisions: study nuclear PDF at small x

Can we get more insight into charm production mechanisms in pp and p-Pb collisions?



**TOF** → PID w/ Time Of Flight,  $|\eta| < 0.9$

**ITS** → vertexing, tracking,  $|\eta| < 0.9$

**VZERO** → trigger and multiplicity

**TPC** → tracking, PID via  $dE/dx$ ,  $|\eta| < 0.9$

**Forward Muon Spectrometer**

ACORDE

EMCal

TOF

TRD

PMD V0

Strip

Drift

Pixel

SPD

V0

T0

FMD

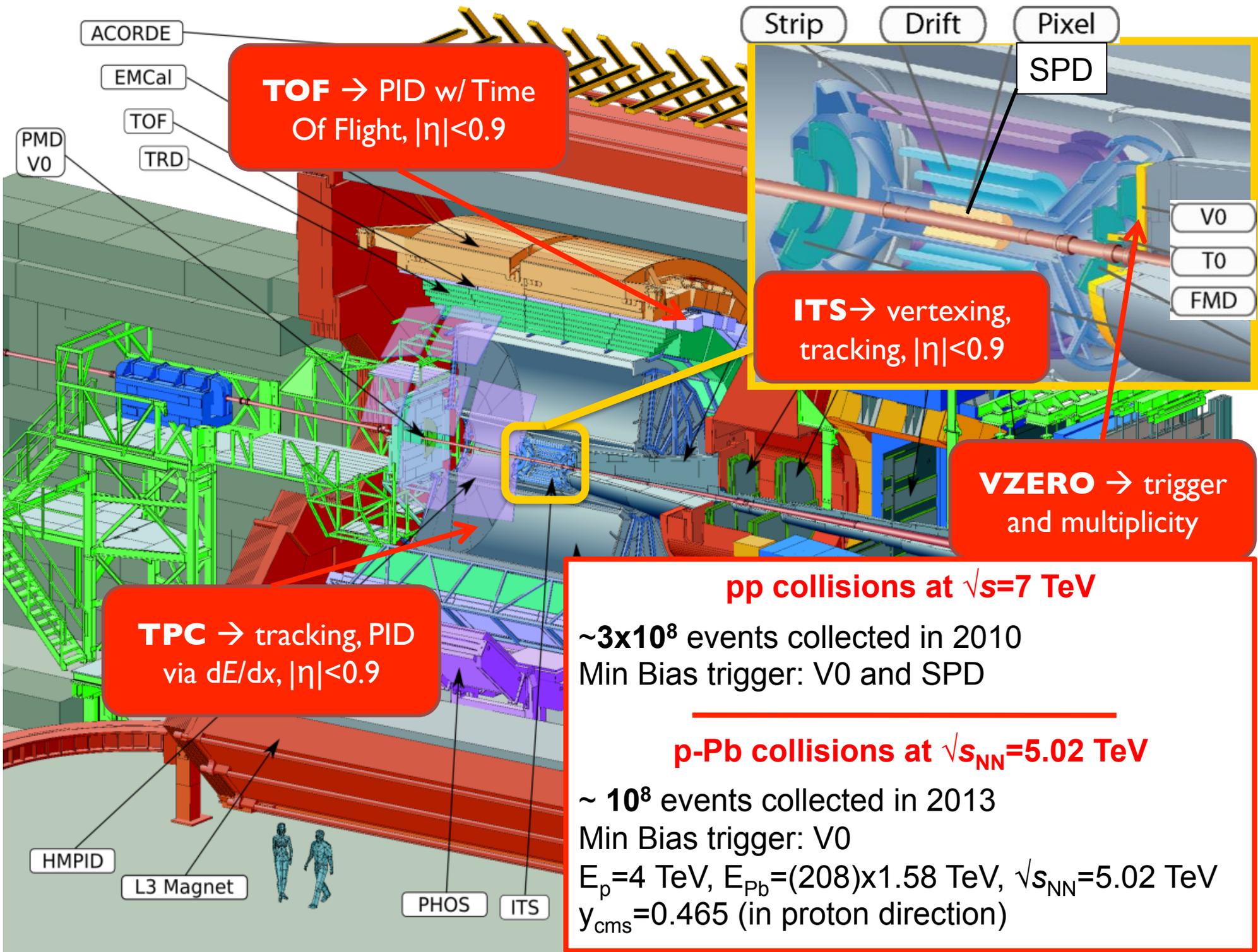
HMPID

L3 Magnet

PHOS

ITS

TPC



**TOF** → PID w/ Time Of Flight,  $|\eta| < 0.9$

Strip    Drift    Pixel  
**SPD**

V0  
T0  
FMD

**ITS** → vertexing, tracking,  $|\eta| < 0.9$

**VZERO** → trigger and multiplicity

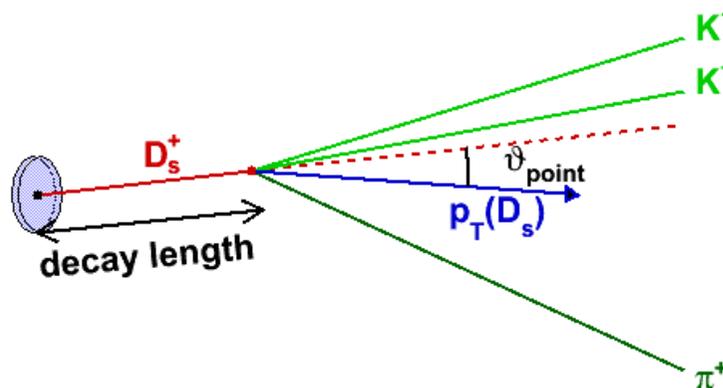
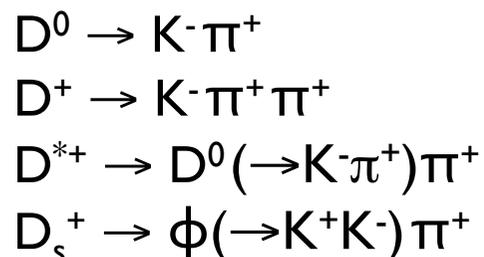
**TPC** → tracking, PID via  $dE/dx$ ,  $|\eta| < 0.9$

**pp collisions at  $\sqrt{s} = 7$  TeV**  
 $\sim 3 \times 10^8$  events collected in 2010  
Min Bias trigger: V0 and SPD

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**p-Pb collisions at  $\sqrt{s_{NN}} = 5.02$  TeV**  
 $\sim 10^8$  events collected in 2013  
Min Bias trigger: V0  
 $E_p = 4$  TeV,  $E_{Pb} = (208) \times 1.58$  TeV,  $\sqrt{s_{NN}} = 5.02$  TeV  
 $y_{cms} = 0.465$  (in proton direction)

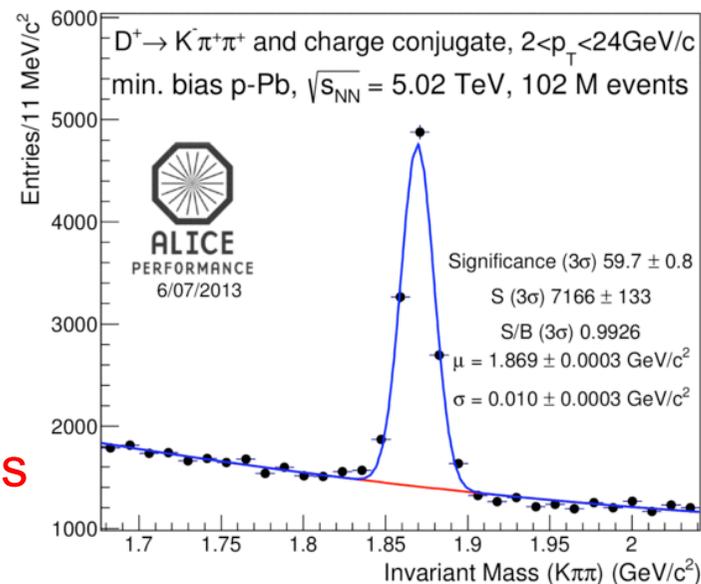
## Full reconstruction of D-meson hadronic decays



Invariant mass analysis based on displaced **secondary vertices**, selected with **topological cuts and PID**

Correction for beauty feed-down (based on FONLL) to extract results for **prompt D mesons**

FONLL: JHEP, 1210 (2012) 137

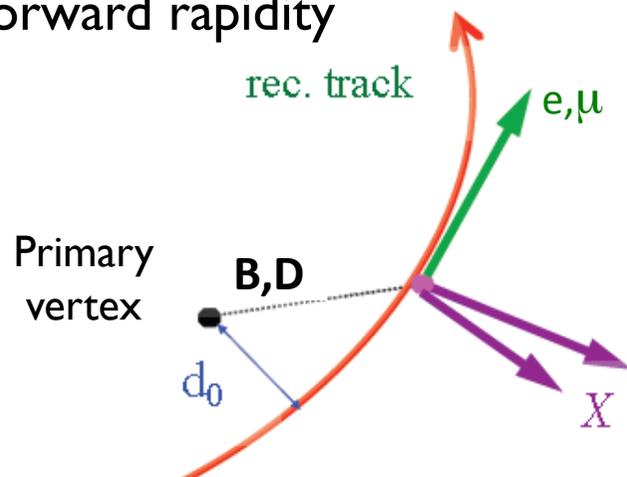


# Measurements of Heavy Flavours in ALICE

## Semi-leptonic decays of charm and beauty

Electrons: mid-rapidity

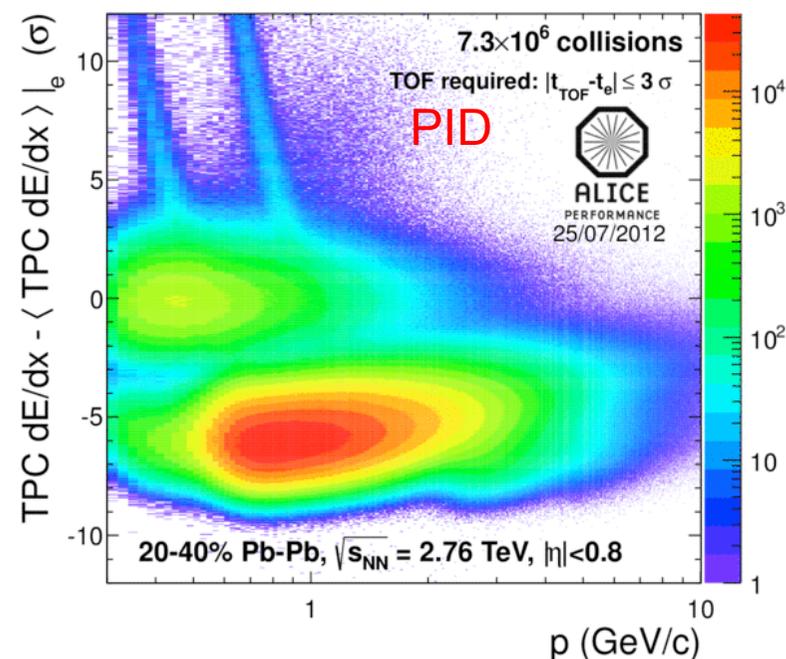
Muons: forward rapidity



Background ( $\pi^0$  and  $\eta$  Dalitz decays, photon conversions) subtracted with:

- Invariant mass method: reconstruction of low-mass  $e^+e^-$  pairs
- Cocktail: based on different background sources using Monte Carlo hadron-decay generator

## Example: electrons



ALI-PERF-31572

Beauty-decay electrons: extra cut on track impact parameter and/or separation based on e-h correlations

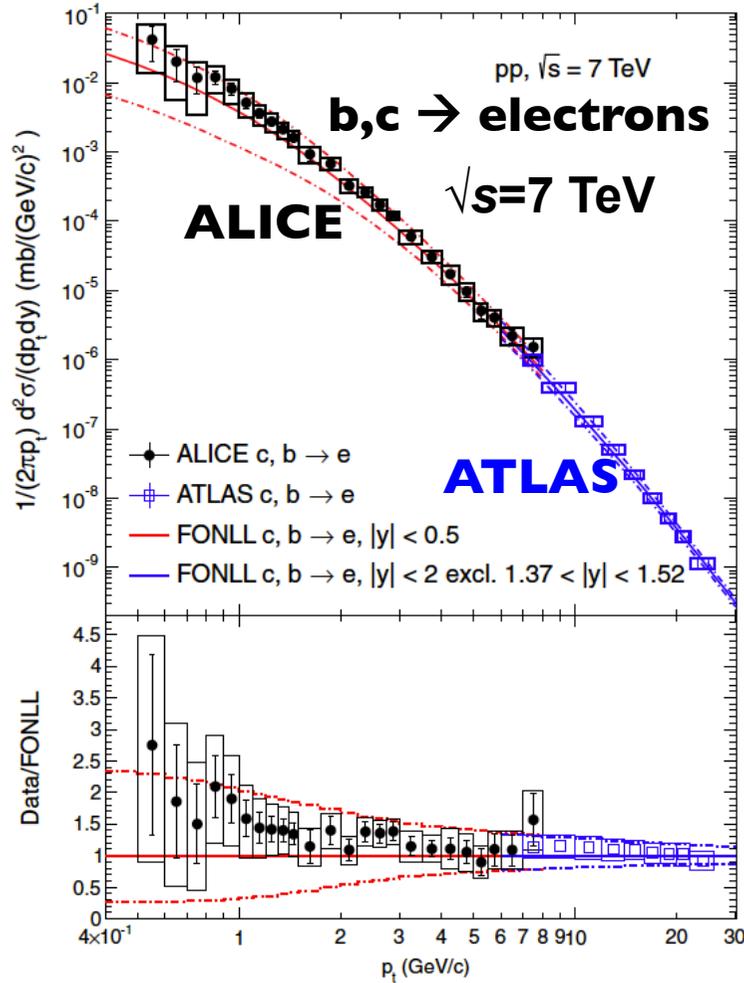
# Heavy-flavour production at the LHC: test of pQCD

pQCD Factorization:

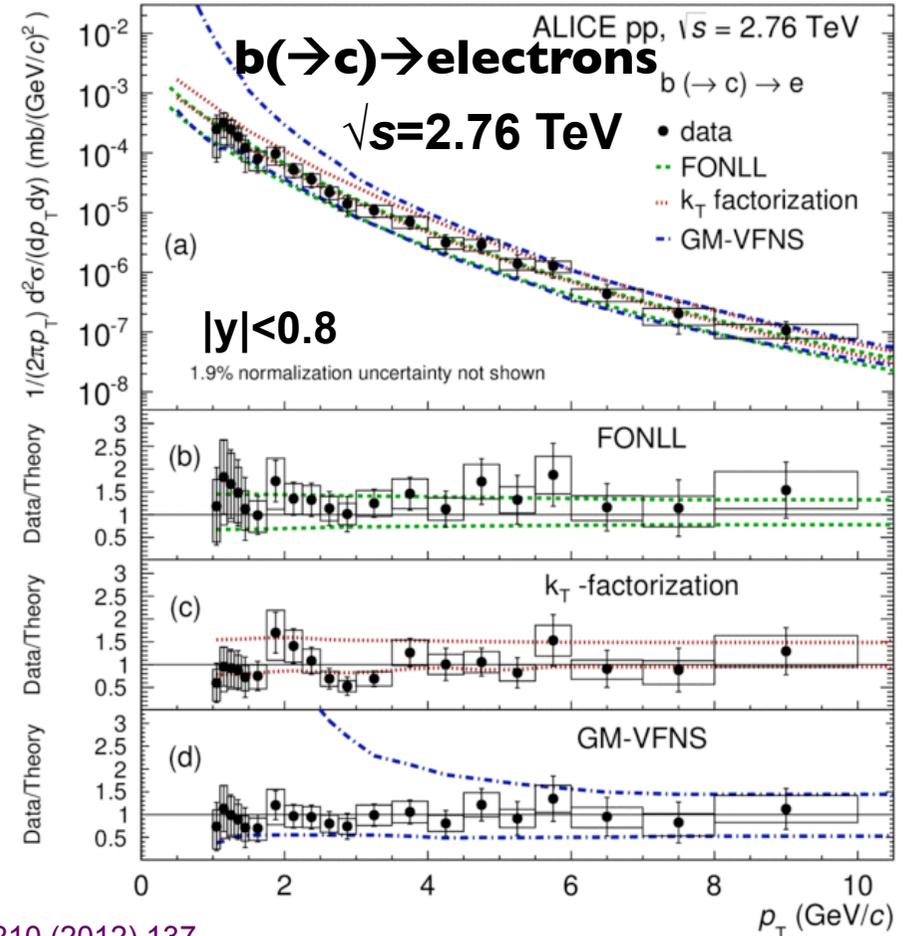
Collins, Soper, Stermann  
Nucl. Phys. B263 (1986) 37

$$E \frac{d^3 \sigma}{dp^3} \propto f_{a/A}(x_a, Q^2) \otimes f_{b/B}(x_b, Q^2) \otimes \frac{d\hat{\sigma}^{ab \rightarrow cd}}{dt} \otimes D_{h/c}(z_c, Q^2) \otimes D_{h/d}(z_d, Q^2)$$

PDF
Partonic x-section
Fragmentation function



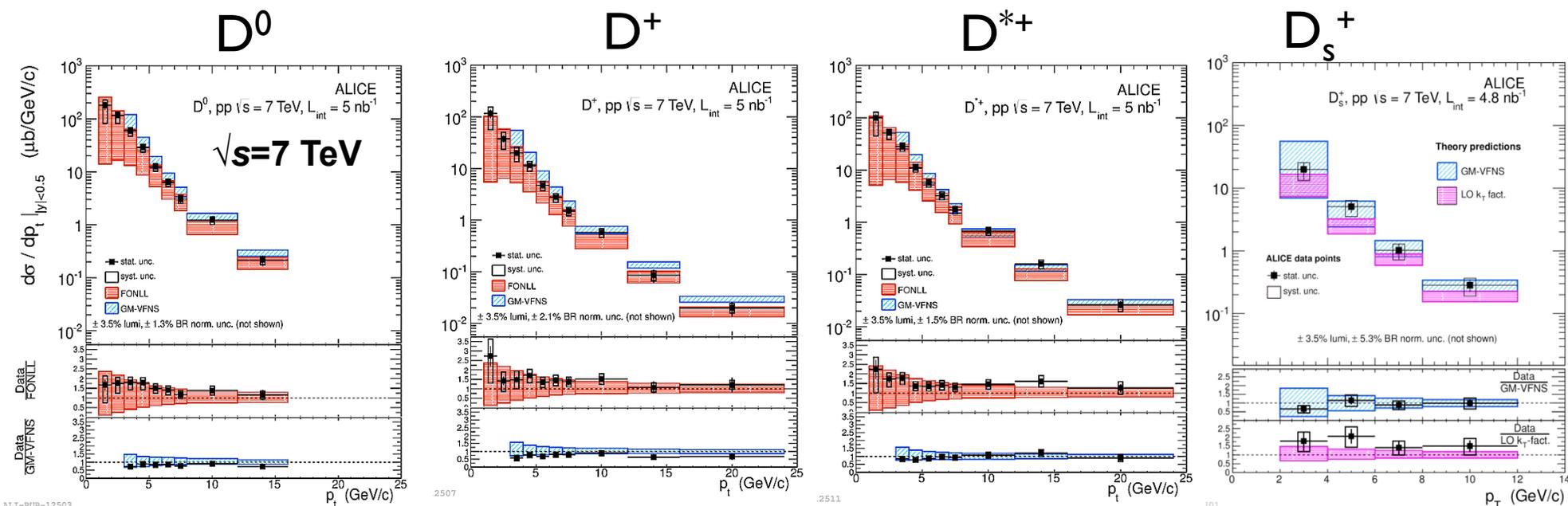
(ALICE) Phys. Rev. D86 (2012) 112007  
(ATLAS) PLB 707 (2012) 438



FONLL: JHEP, 1210 (2012) 137  
GM-VFNS: Eur.Phys.J., C72(2012)2082  
Nucl. Phys. B, 872(2013) 253  
 $k_T$  factorization: Phys.Rev., D87(2013)094022

arXiv:1405.4117v1

Complementarity with ATLAS measurements at high  $p_T$   
Cross sections at both energies (7 and 2.76 TeV) well described by different pQCD predictions



Cross sections for D mesons:

$D^0$   $1 < p_T < 16$  GeV/c

$D^+, D^{*+}$   $1 < p_T < 24$  GeV/c

$D_s^+$   $2 < p_T < 12$  GeV/c

ALICE Coll. JHEP 01 (2012) 128  
 ALICE Coll, Phys. Lett. B 718 (2012) 279  
 ALICE Coll. JHEP 1207 (2012) 191  
 FONLL: JHEP, 1210 (2012) 137  
 GM-VFNS: Eur.Phys.J., C72(2012)2082  
 Nucl. Phys. B, 872(2013) 253  
 $k_T$  factorization: Phys.Rev., D87 (2013) 094022

Within uncertainties described by FONLL/GM-VFNS  
 pQCD calculations and  $k_T$ -factorization approaches

# Heavy-flavour production at the LHC: nuclear PDF at small $x$

pQCD Factorization:

Collins, Soper, Stermann  
Nucl. Phys. B263 (1986) 37

$$E \frac{d^3 \sigma}{dp^3} \propto f_{a/A}(x_a, Q^2) \otimes f_{b/B}(x_b, Q^2) \otimes \frac{d\hat{\sigma}^{ab \rightarrow cd}}{dt} \otimes D_{h/c}(z_c, Q^2) \otimes D_{h/d}(z_d, Q^2)$$

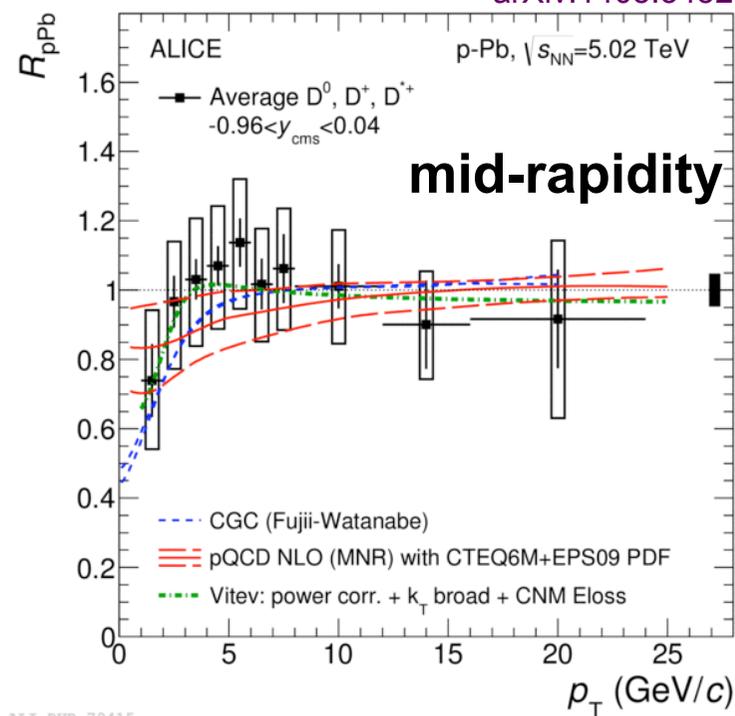
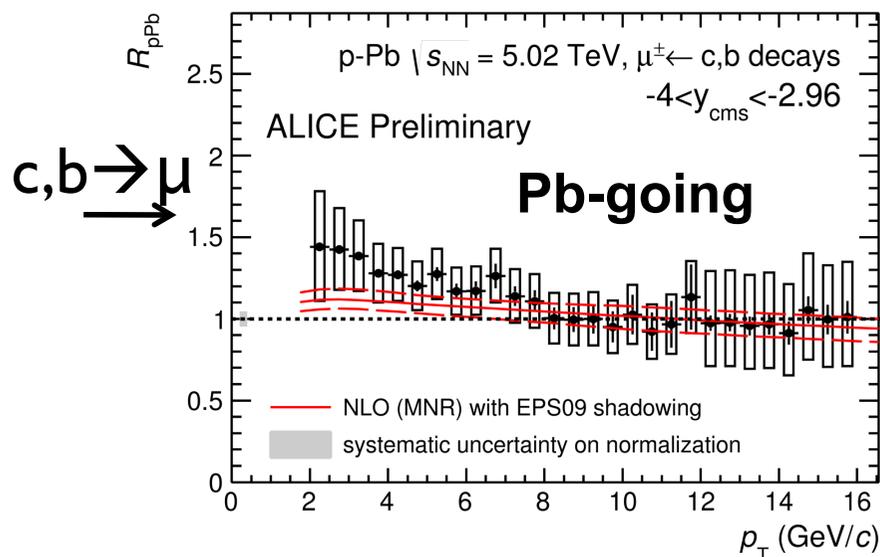
PDF
Partonic x-section
Fragmentation function

# p-Pb: is HF production affected by the nucleus?

$$R_{pPb} = \frac{(d\sigma/dp_T)_{pPb}}{A \times (d\sigma/dp_T)_{pp}}$$

→  $R_{pPb}=1$  in absence of nuclear effects

arXiv:1405.3452



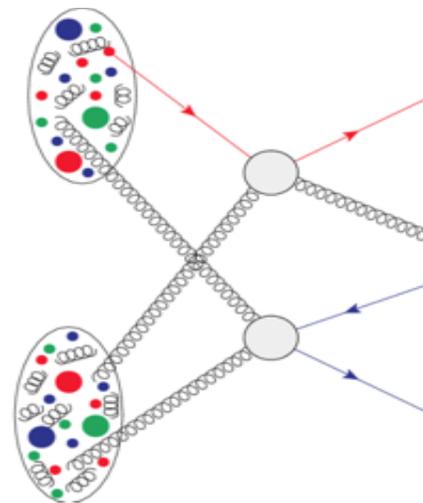
Average  $D^0, D^+, D^{*+}$

ALI-PREL-80434  
M. Mangano, P. Nason and G. Ridolfi, Nucl. Phys. B373 (1992) 295  
K. J. Eskola, H. Paukkunen and C.A. Salgado, JHEP 0904 (2009) 065  
Fujii - Watanabe, private communication

Different  $x$  regimes explored in different rapidity ranges with HF probes  
→ shadowing/saturation relevant at low  $p_T$  at LHC energies

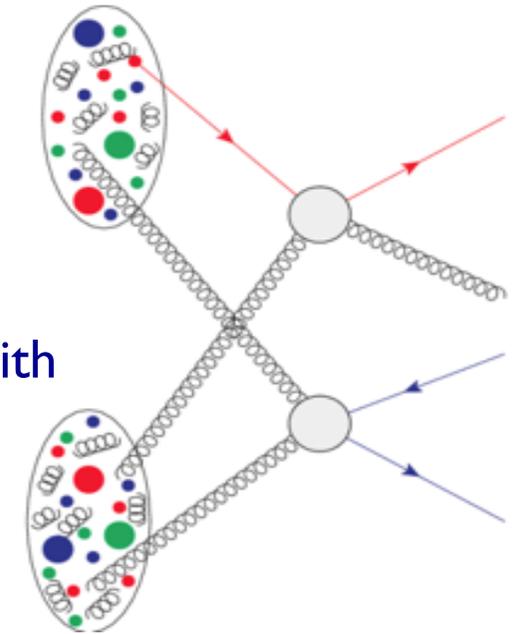
Data described within uncertainties by different models of initial state effects

# Heavy-flavour production at the LHC: interplay between hard and soft processes



# Multi-parton interactions (MPI): effects on the hard scale

- NA27** (pp collisions at  $\sqrt{s} = 28$  GeV):  
 Events with charm have larger charged particle multiplicity  
NA27 Coll. Z.Phys.C41:191
- CMS** (pp collisions at  $\sqrt{s} = 7$  TeV):  
 Studies on jets and underlying event are better agreement with models including MPI  
Eur. Phys. J. C 73 (2013) 2674
- LHCb** (pp collisions at  $\sqrt{s} = 7$  TeV):  
 Double charm production agrees better with models including double parton scattering  
J. High Energy Phys., 06 (2012) 141
- ALICE** (pp collisions at  $\sqrt{s} = 7$  TeV):  
 Approximately linear increase of  $J/\psi$  yield as a function of multiplicity  
Phys.Lett. B712 (2012) 165





# Open-charm production vs multiplicity

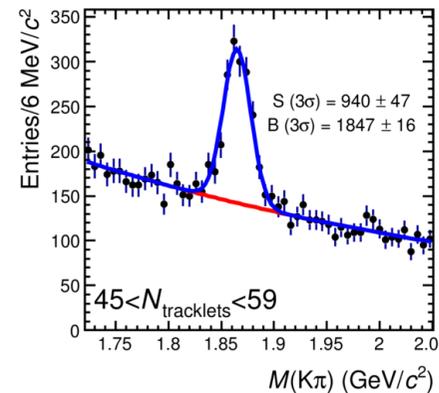
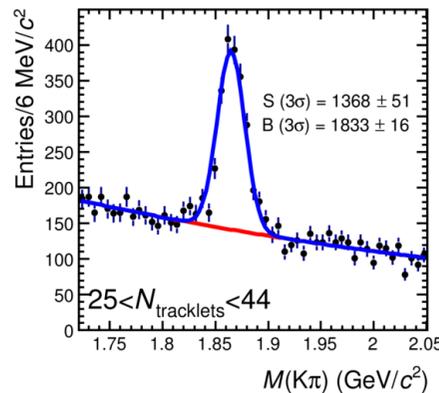
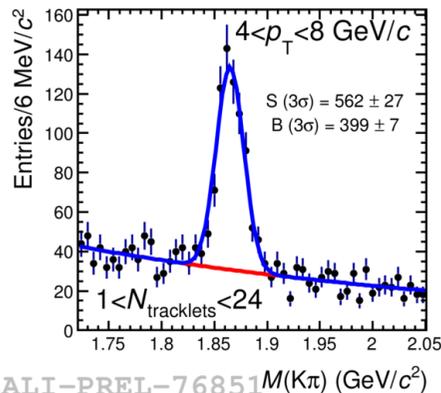
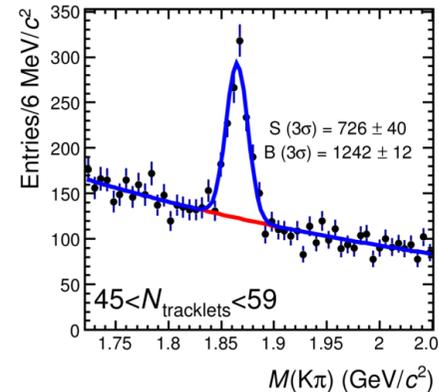
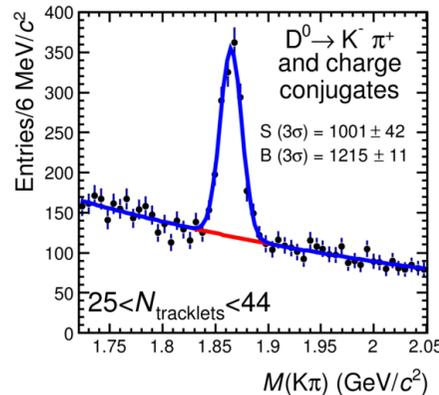
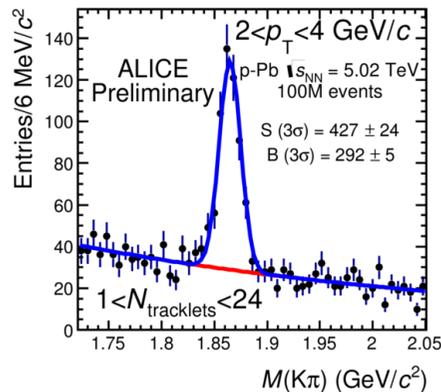


Self-normalized D-meson yields (relative to the multiplicity integrated yield)

**Multiplicity estimator:**  $N$  tracklets =  $n$ . track segments reconstructed in SPD ( $|\eta| < 1$ )

$$\frac{d^2 N^D / dy dp_T}{\langle d^2 N^D / dy dp_T \rangle} = \frac{Y^{mult} / (\epsilon^{mult} \times N_{event}^{mult})}{Y^{tot} / (\epsilon^{tot} \times N_{event}^{tot} / \epsilon^{trigger})}$$

D yield/event in a given multiplicity range, corrected for reconstruction efficiency



Example:  $D^0$  meson  
p-Pb  $\sqrt{s_{NN}} = 5.02$  TeV

multiplicity ranges:

$1 < N_{tracklets} < 24$

$25 < N_{tracklets} < 44$

$45 < N_{tracklets} < 59$

$p_T$  ranges

$2 < p_T < 4$  GeV/c

$4 < p_T < 8$  GeV/c

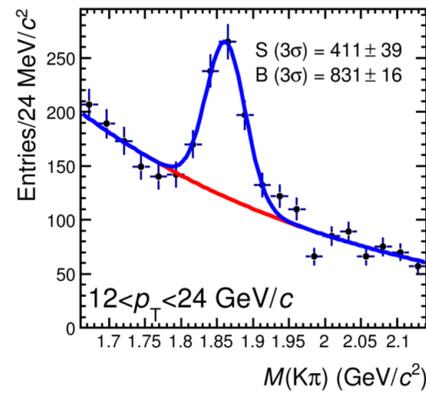
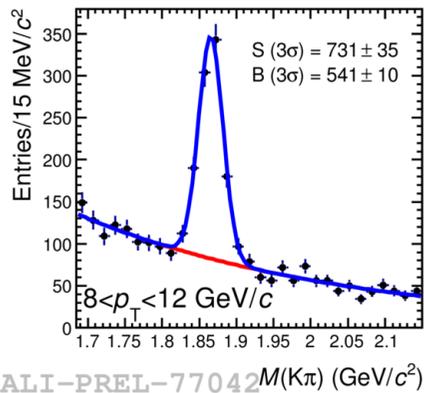
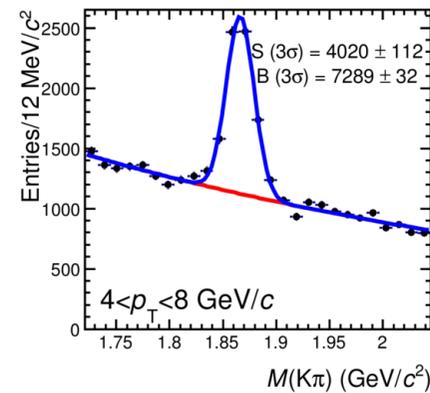
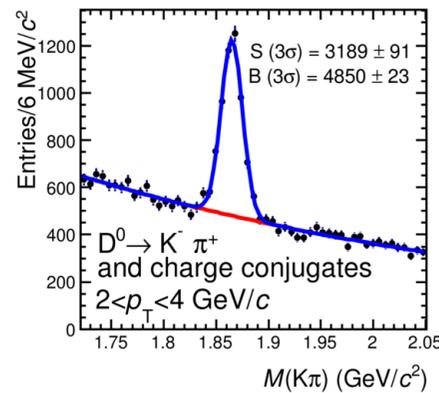
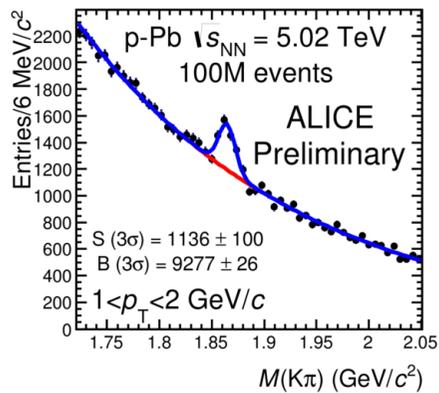
ALI-PREL-76851

D-meson yield in multiplicity intervals (pp and p-Pb)

**Multiplicity estimator:** N tracklets=n. track segments reconstructed in SPD ( $|\eta|<1$ )

$$\frac{d^2 N^D / dy dp_T}{\langle d^2 N^D / dy dp_T \rangle} = \frac{Y^{mult} / (\epsilon^{mult} \times N_{event}^{mult})}{Y^{tot} / (\epsilon^{tot} \times N_{event}^{tot} / \epsilon^{trigger})}$$

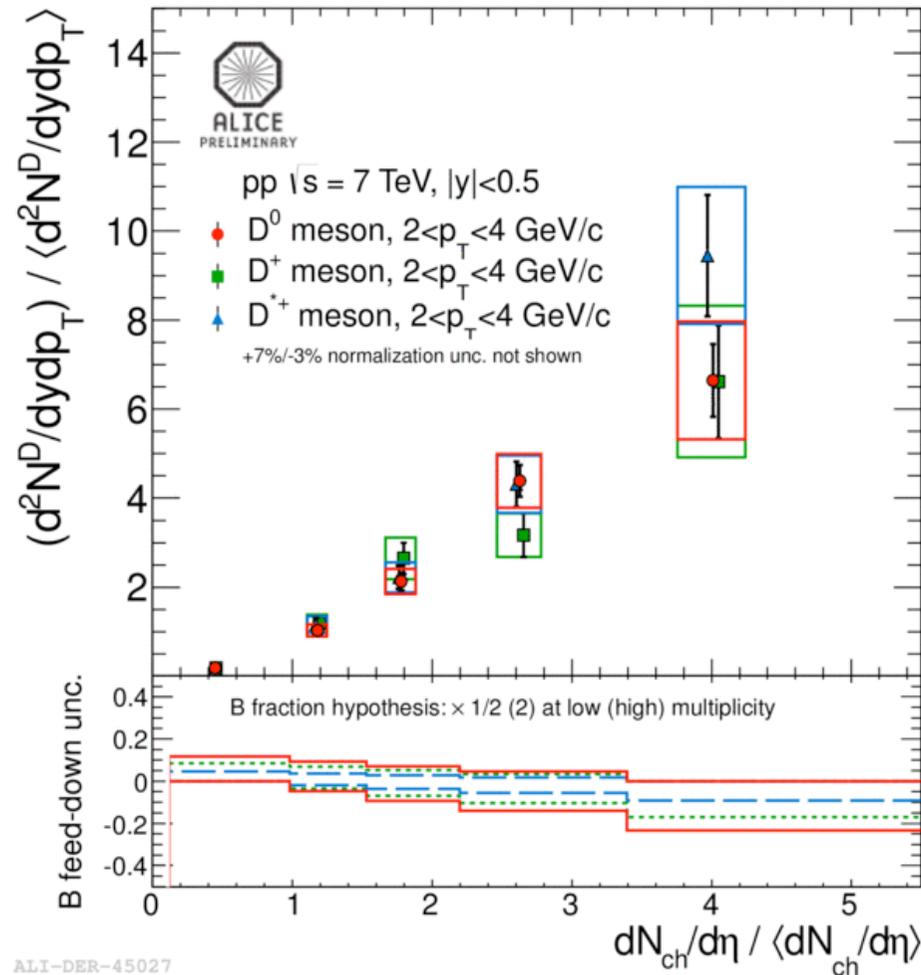
D yield/event **integrated in multiplicity**, corrected for reconstruction and trigger efficiencies



Example: D<sup>0</sup> meson  
p-Pb  $\sqrt{s_{NN}}=5.02$  TeV

multiplicity integrated, 5 bins in:  
 $1 < p_T < 24$  GeV/c

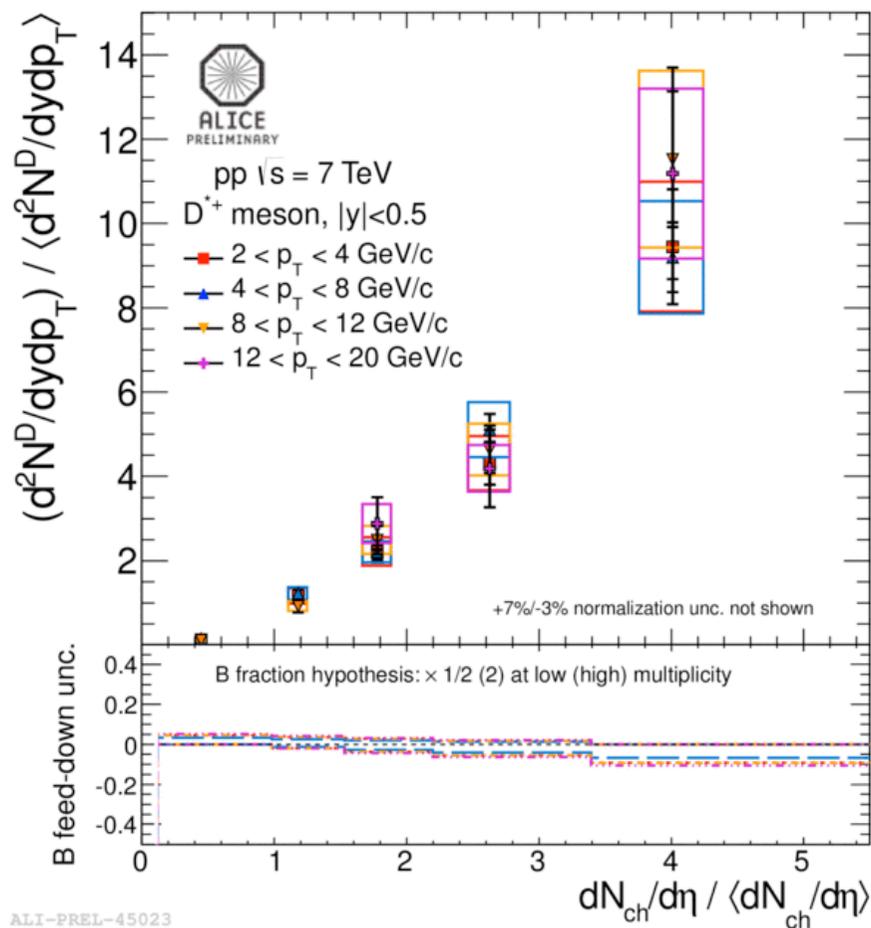
# D-meson yield vs multiplicity: pp



Self-normalized D-meson yields (relative to the multiplicity integrated yield)

Increase of self-normalized yields with increasing charged-particle multiplicity  
 Results for  $D^0$ ,  $D^+$ ,  $D^{*+}$  mesons in agreement within the uncertainties

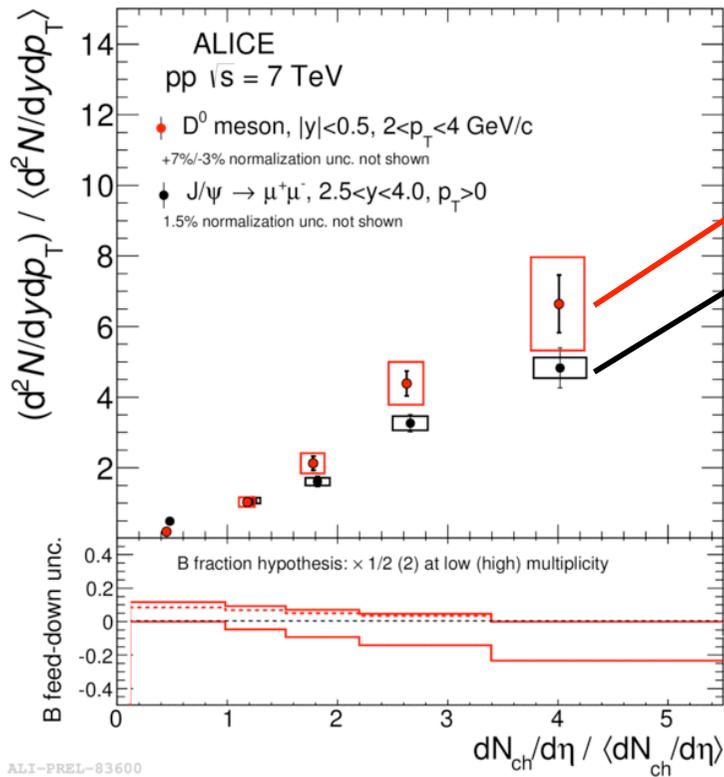
# D-meson yield vs multiplicity: pp



Results from different  $p_T$  ranges in agreement within the uncertainties

Increasing trend of D-meson yield vs charged multiplicity in pp collisions  $\rightarrow$  MPI are dominating the high-multiplicity events and affecting heavy-flavour production

# D-meson vs J/ψ yields: pp



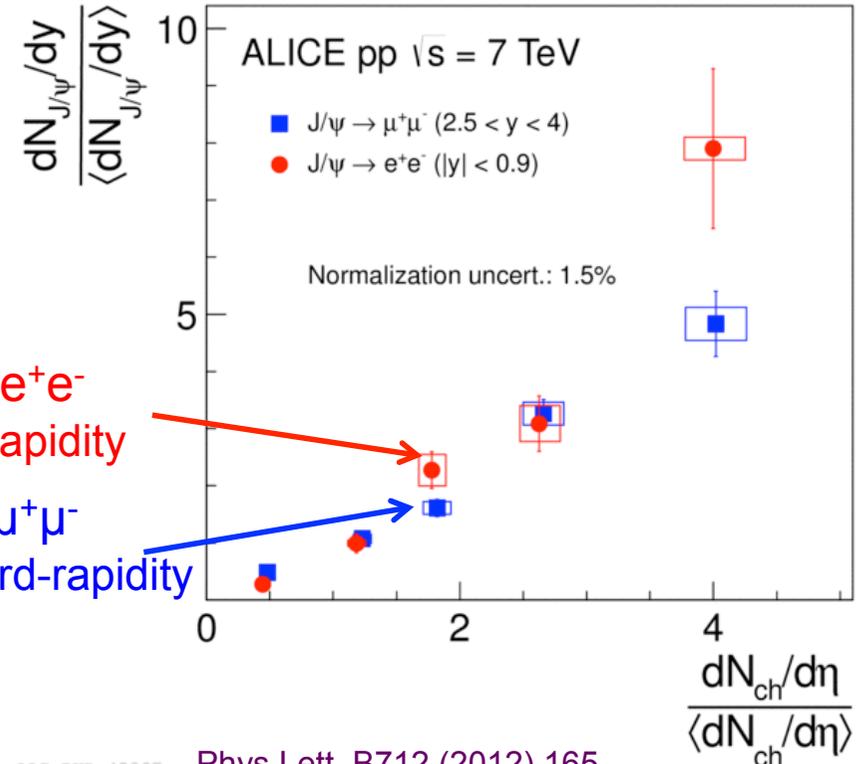
ALI-PREL-83600

$D^0$  meson

$J/\psi \rightarrow \mu^+\mu^-$

$J/\psi \rightarrow e^+e^-$   
at mid-rapidity

$J/\psi \rightarrow \mu^+\mu^-$   
at forward-rapidity



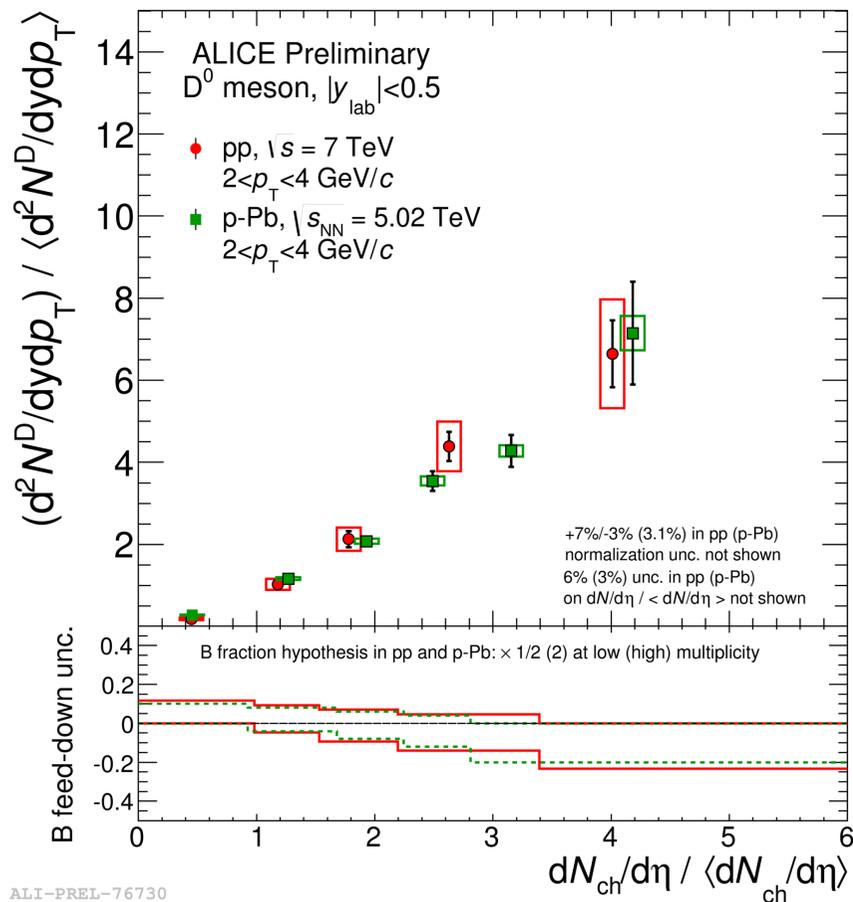
ALI-PUB-42097

Phys.Lett. B712 (2012) 165

*Caveat:* different rapidity and  $p_T$  intervals for D and J/ψ measurements

Increasing trend with multiplicity for both D mesons and J/ψ in pp collisions

# D-meson yield vs multiplicity: pp and p-Pb

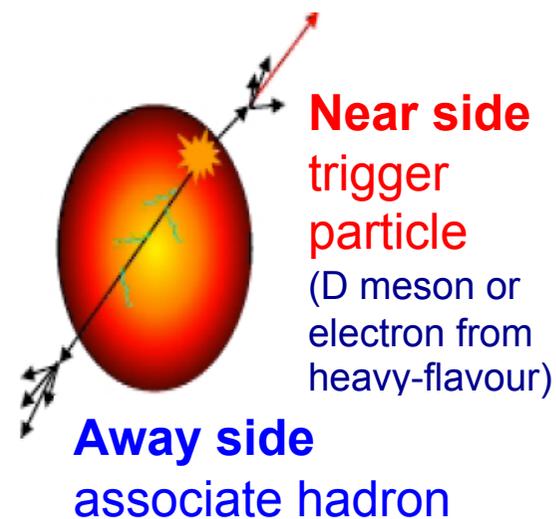


ALI-PREL-76730

Compatible trend in both pp and p-Pb collisions

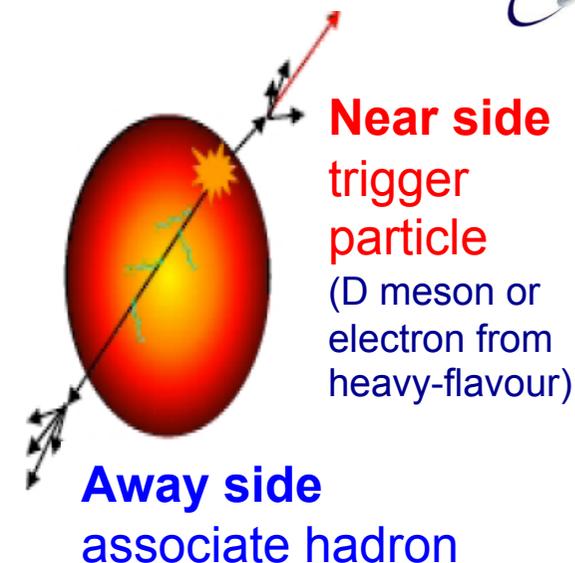
High-multiplicity p-Pb collisions: MPI (like pp) but also higher number of binary nucleon-nucleon collisions

# Heavy-flavour production at the LHC: azimuthal correlations: b and c fragmentation initial/final state effects in p-Pb

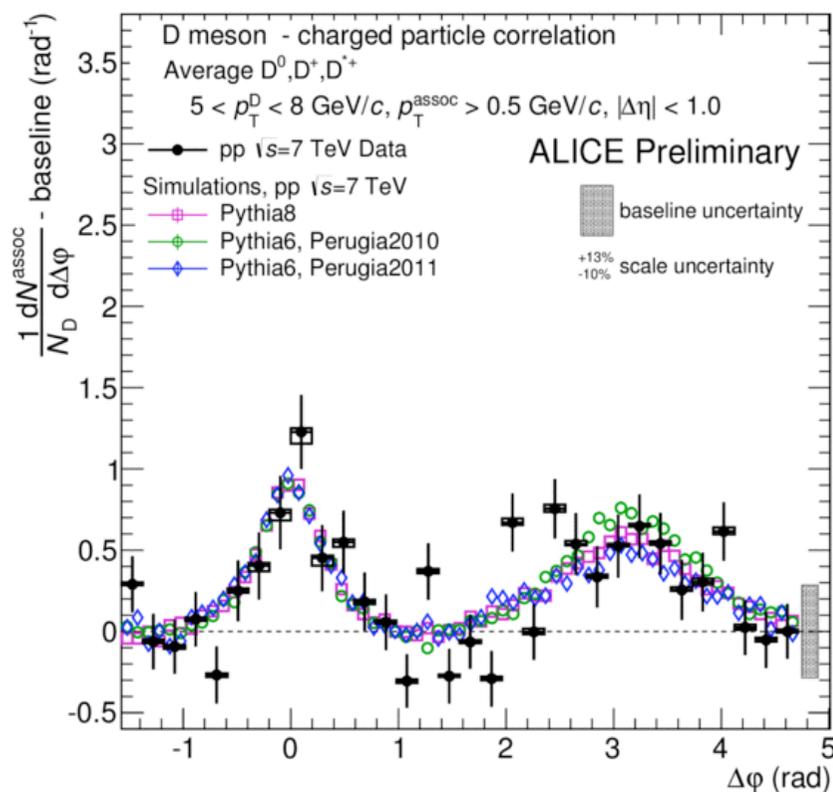


In **pp collisions**:

- Address charm and beauty jet fragmentation
- Reference for comparison with Pb-Pb and p-Pb



## D meson-charged hadron azimuthal correlations



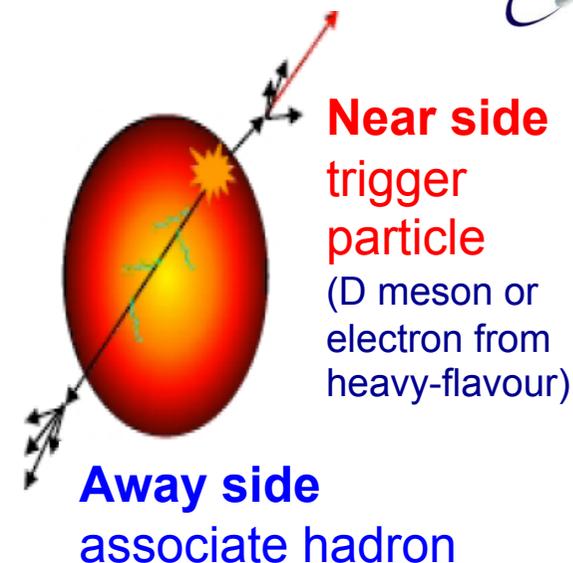
Compatible within uncertainties with expectations from different Pythia tunes

In **pp collisions**:

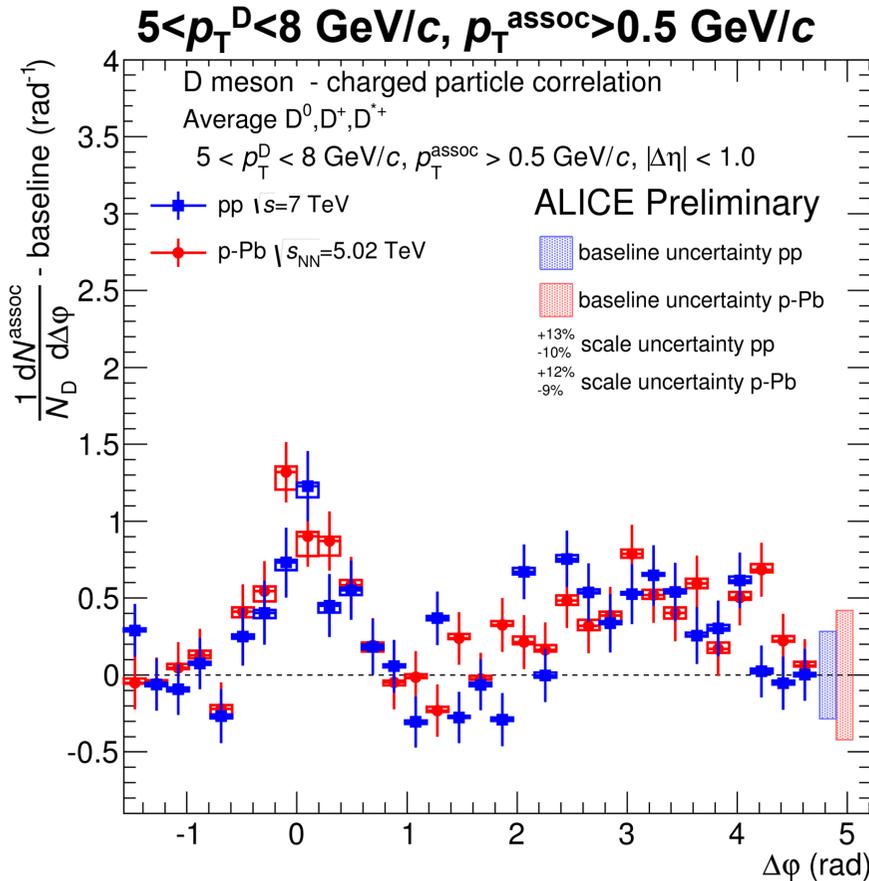
- Address charm and beauty jet fragmentation
- Reference for comparison with Pb-Pb and p-Pb

In **p-Pb collisions**:

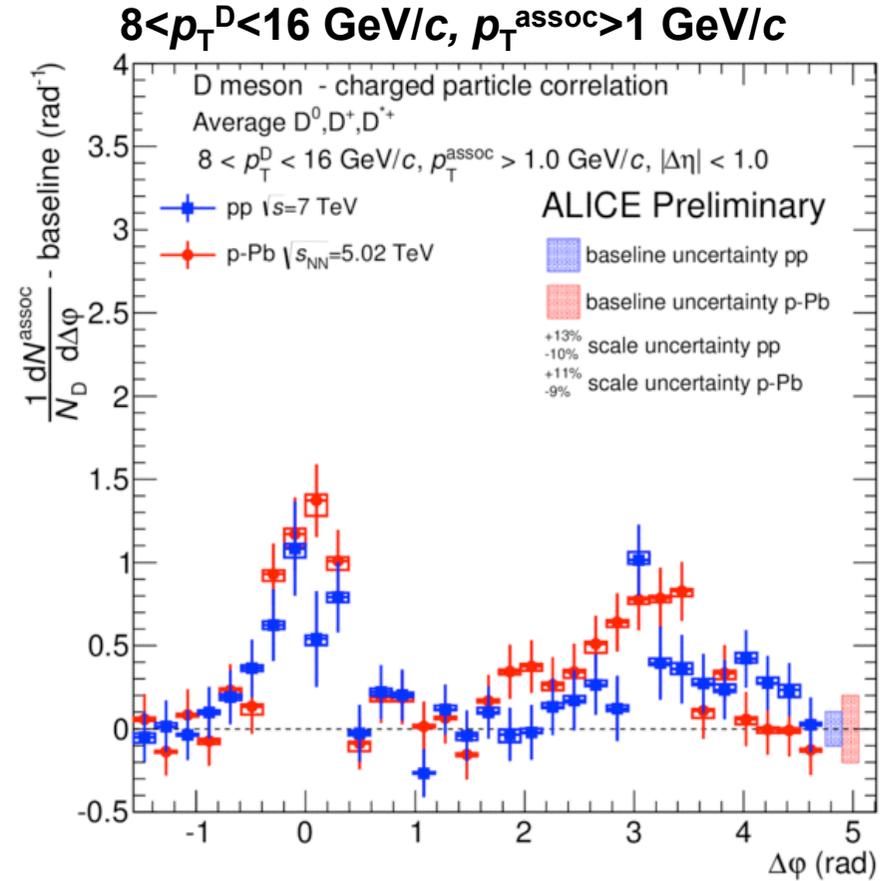
- Are heavy-flavour jet properties affected by nuclear effects due to the Pb nucleus?



# D- hadron azimuthal correlations: pp vs p-Pb



ALI-PREL-79970



ALI-PREL-79884

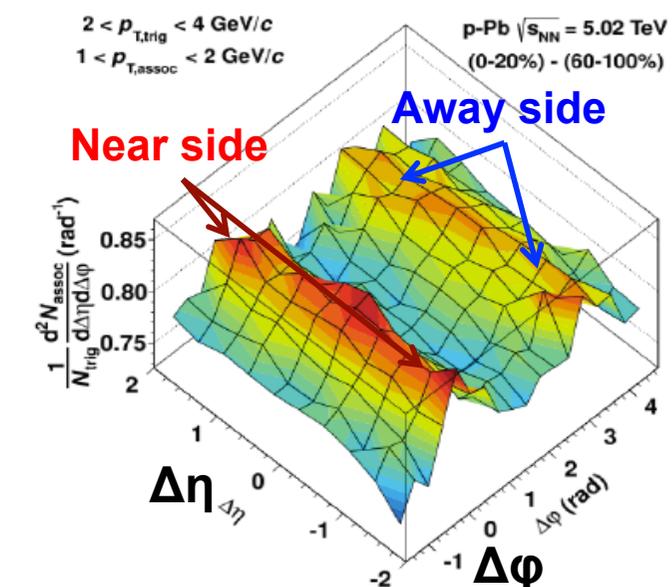
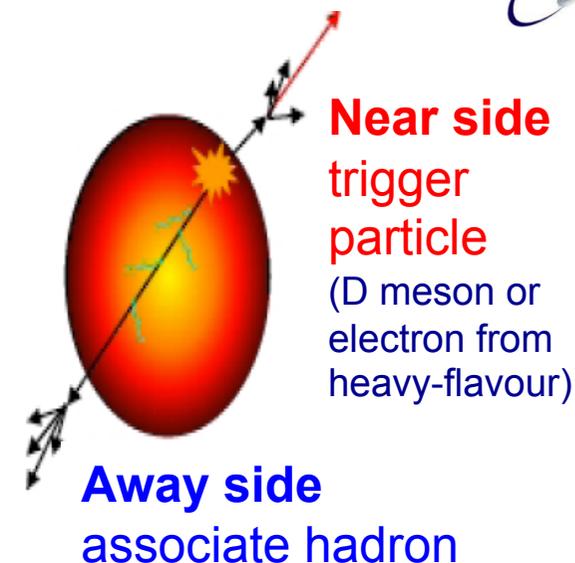
Compatibility within uncertainties between pp collisions at  $\sqrt{s} = 7 \text{ TeV}$  and p-Pb collisions at  $\sqrt{s_{NN}} = 5.02 \text{ TeV}$  after baseline subtraction

## In pp collisions:

- Address charm and beauty jet fragmentation
- Reference for comparison with Pb-Pb and p-Pb

## In p-Pb collisions:

- Are heavy-flavour jet properties affected by nuclear effects due to the Pb nucleus?
- Long range structure observed with light flavours on both near and away side
  - Initial-state effects (i.e. Color Glass Condensate)
    - B.Arbutov et al, Eur.Phys.J. C71 (2011) 1730
    - K. Dusling and R.Venugopalan, arXiv:1302.7018 [hep-ph].
  - Final-state effects (i.e. hydro)
    - S.Alderweireldt and P.Van Mechelen, arXiv:1203.2048 [hep-ph]
    - K.Werner, I.Karpenko, and T.Pierog, P.R.L. 106 (2011) 122004



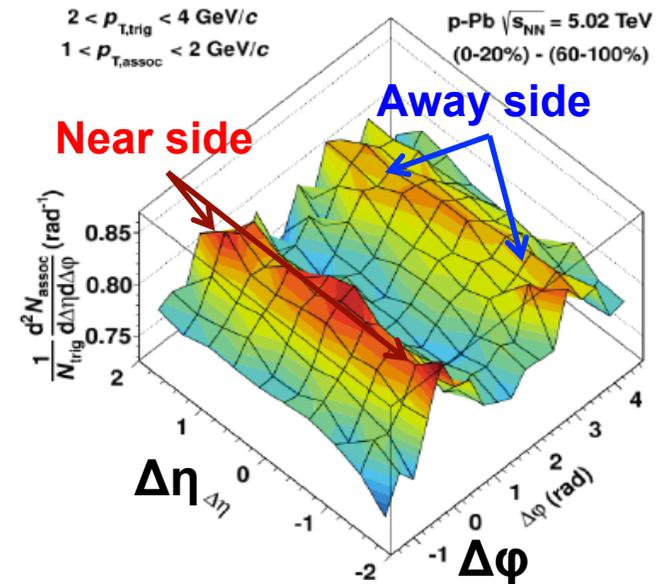
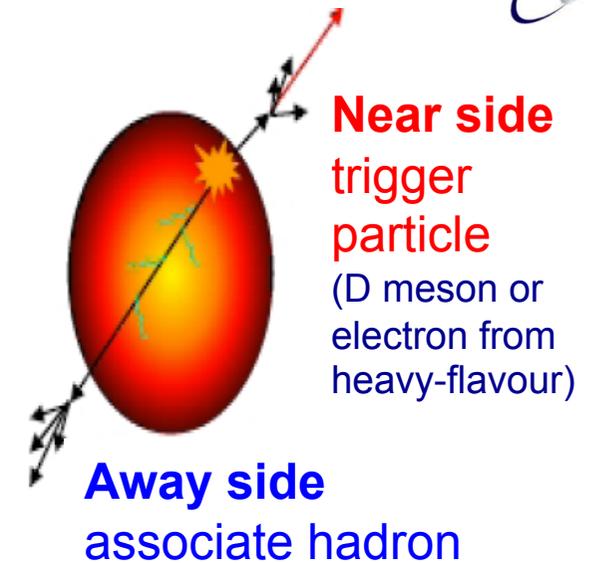
(high multiplicity) - (low multiplicity)  
to subtract jet contribution

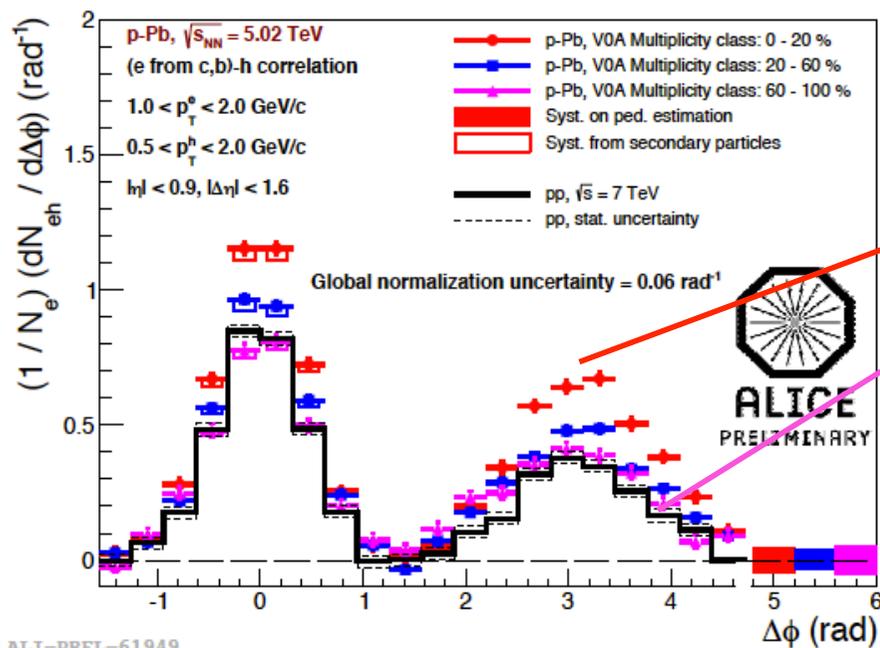
## In pp collisions:

- Address charm and beauty jet fragmentation
- Reference for comparison with Pb-Pb and p-Pb

## In p-Pb collisions:

- Are heavy-flavour jet properties affected by nuclear effects due to the Pb nucleus?
- Long range structure observed with light flavours on both near and away side
  - Is this present in the heavy-flavour sector?



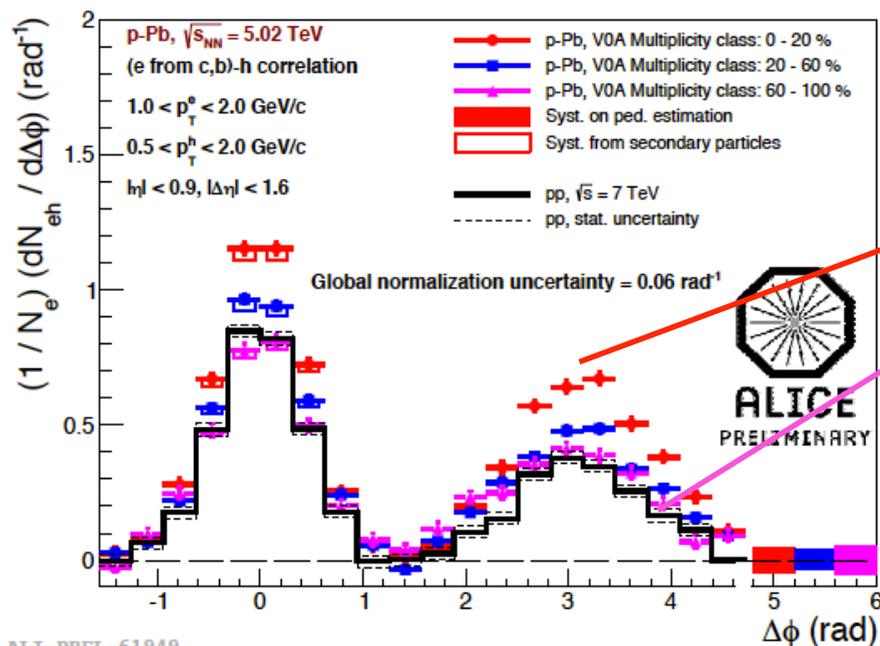


p-Pb collisions in two multiplicity ranges:

0-20% (high multiplicity)

60-100% (low multiplicity)

Jet contribution reduced by subtracting low-multiplicity events



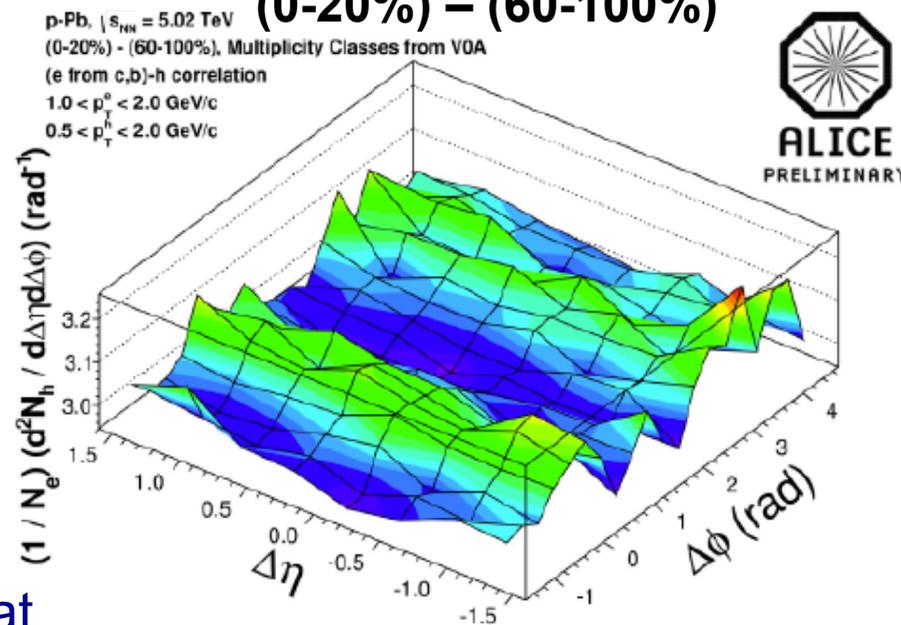
p-Pb collisions in two multiplicity ranges:

0-20% (high multiplicity)

60-100% (low multiplicity)

Jet contribution reduced by subtracting low-multiplicity events

(0-20%) - (60-100%)



$1 < p_T^e < 2 \text{ GeV}/c$

Indications for long-range correlations in  $\Delta\eta$  for two-particle correlations triggered by heavy-flavour decay electrons.

Similar to what was observed for light particles. Same mechanism (CGC/hydro) at work for light and heavy flavours?

## Heavy flavours as test for pQCD at LHC energies:

Cross sections at  $\sqrt{s} = 7$  and 2.76 TeV for D mesons and leptons from heavy-flavour decays described by pQCD

## Nuclear PDFs at small $x$ :

Data described within uncertainties by different models of initial state effects

## Role of MPI in heavy-flavour production at the LHC:

D-meson yields increase with charged-particle multiplicity  $\rightarrow$  pp trend suggests that MPI affect hard momentum scale relevant for heavy-flavour production

## Heavy-flavour jet structure in pp and p-Pb collisions

Good agreement of D-charged hadron correlations with Pythia tunes

## Initial/final state effects on heavy-flavour production in p-Pb collisions

Long range correlations for HF decay electron-hadron correlations at low  $p_T$

Similar to what was observed for light particles.

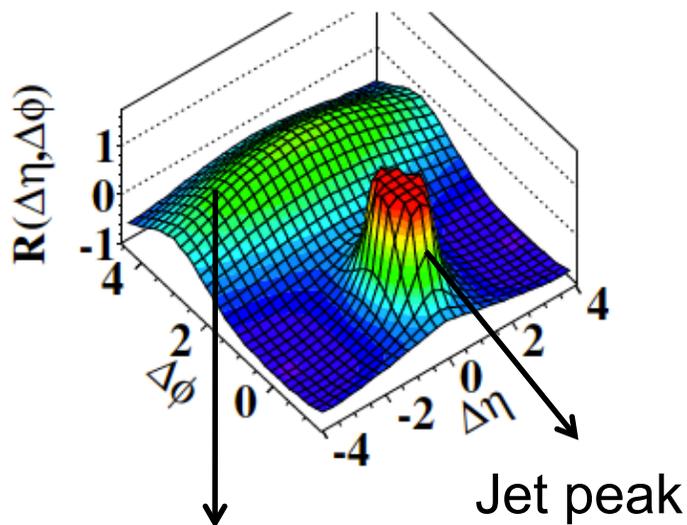
Same mechanism for light and heavy flavours?



# Extra slides

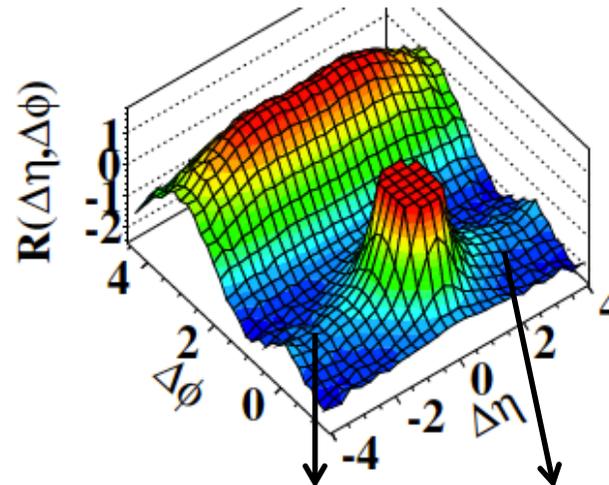
# High-multiplicity pp collisions at the LHC

(b) CMS MinBias,  $1.0\text{GeV}/c < p_T < 3.0\text{GeV}/c$



Recoil jet on the away side

(d) CMS  $N \geq 110$ ,  $1.0\text{GeV}/c < p_T < 3.0\text{GeV}/c$

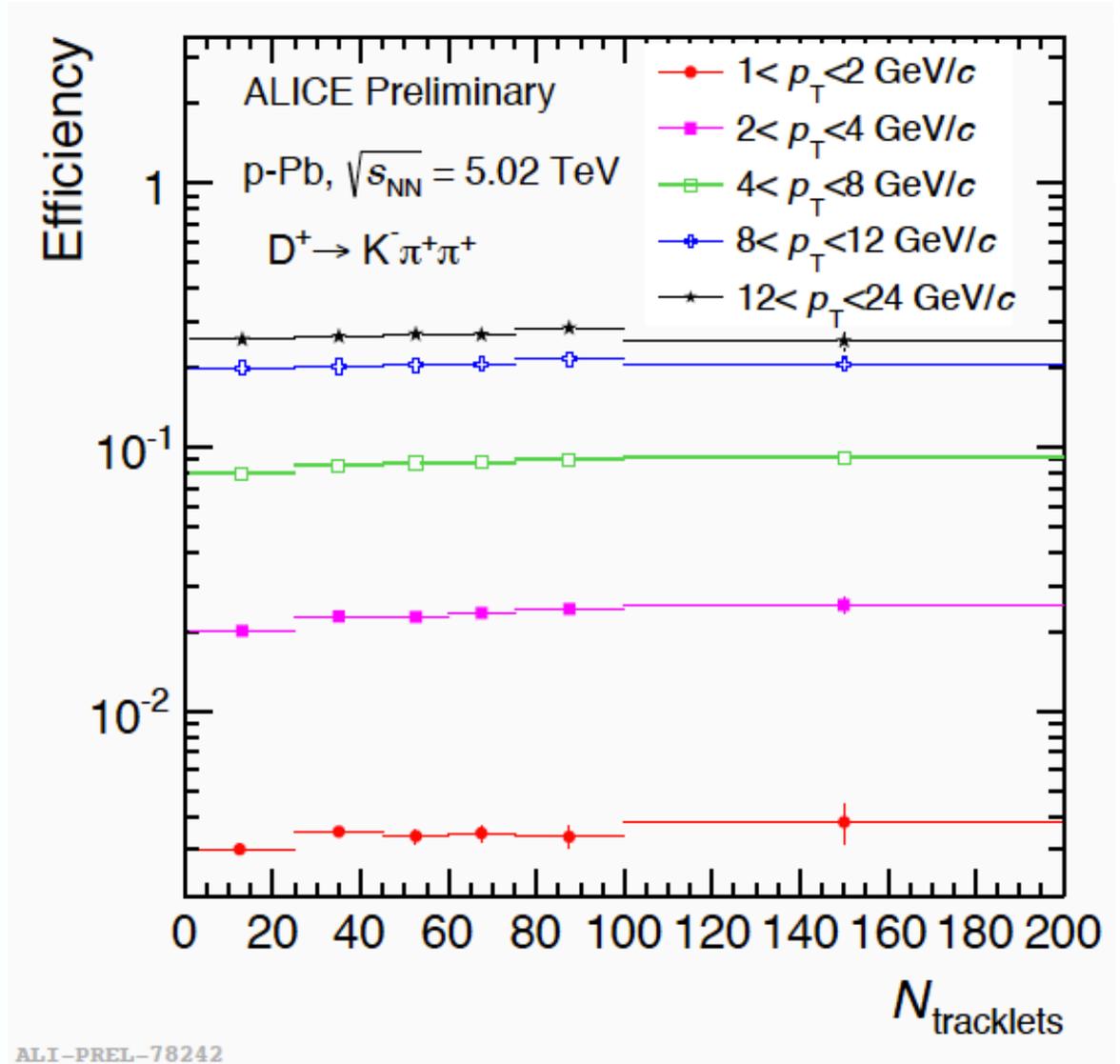


## High-multiplicity pp events

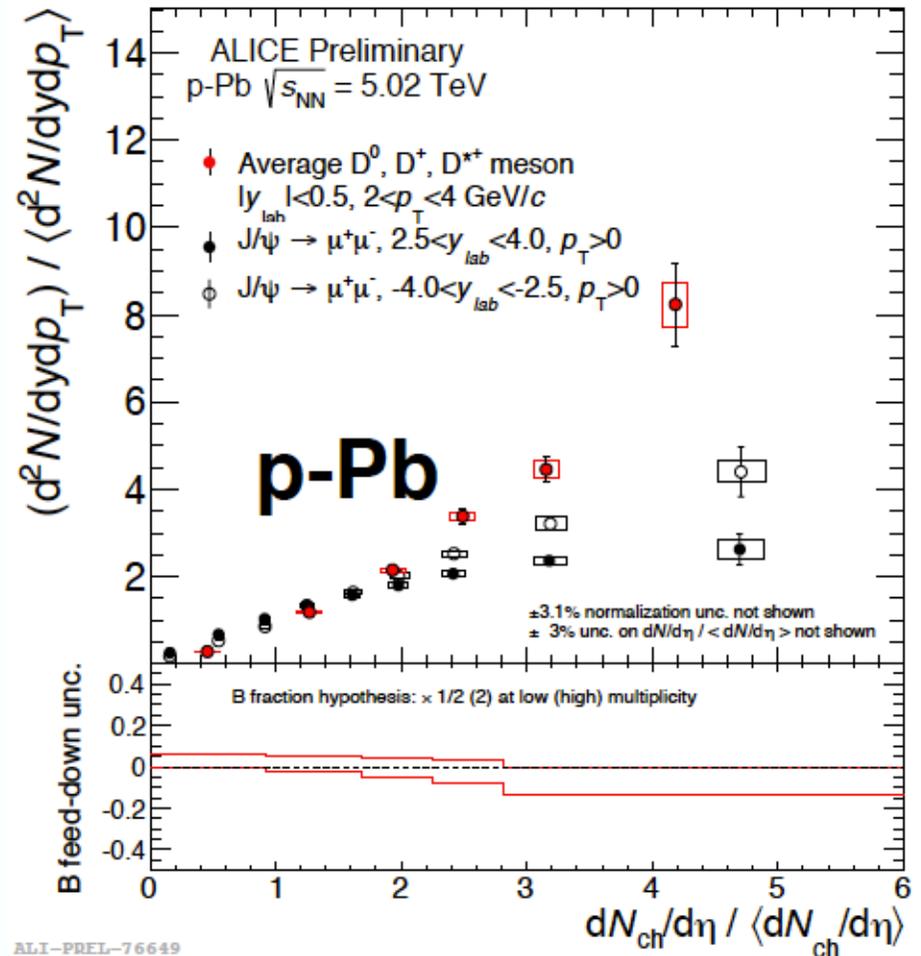
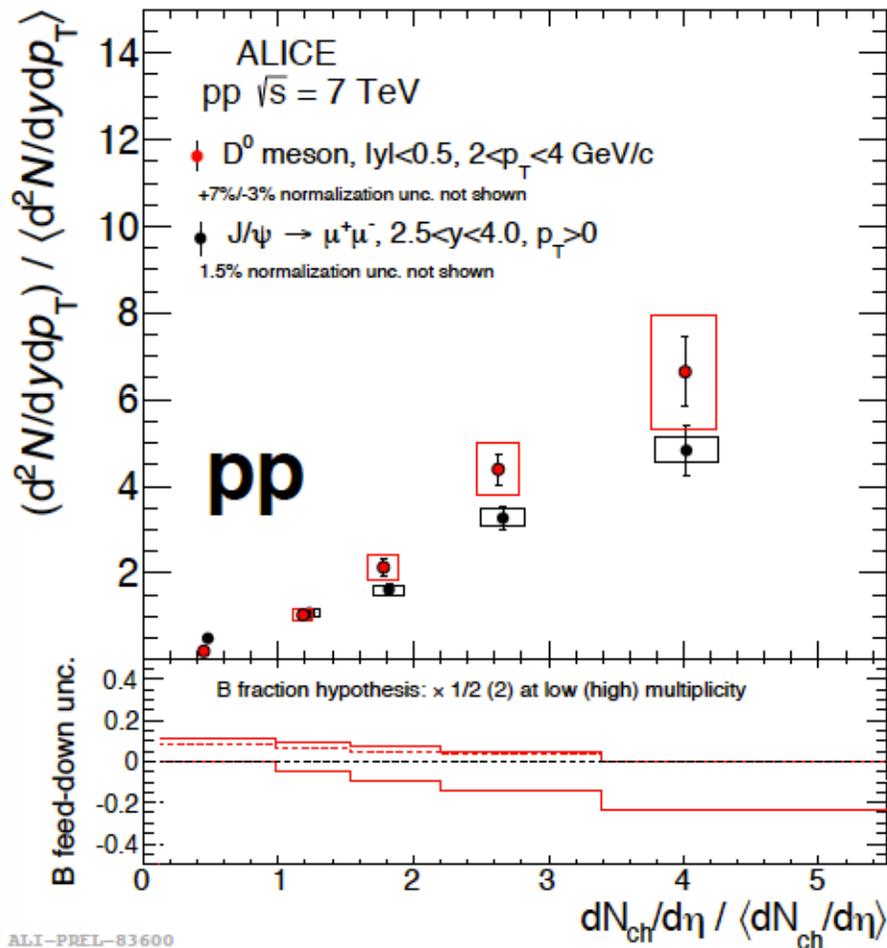
(0.0005% of average pp multiplicity):  
 - near side ridge along  $\Delta\eta$ : origin?

# D-meson reconstruction efficiencies vs multiplicity and $p_T$

efficiency does not show a strong multiplicity dependence  
 efficiency increases with increasing  $p_T$

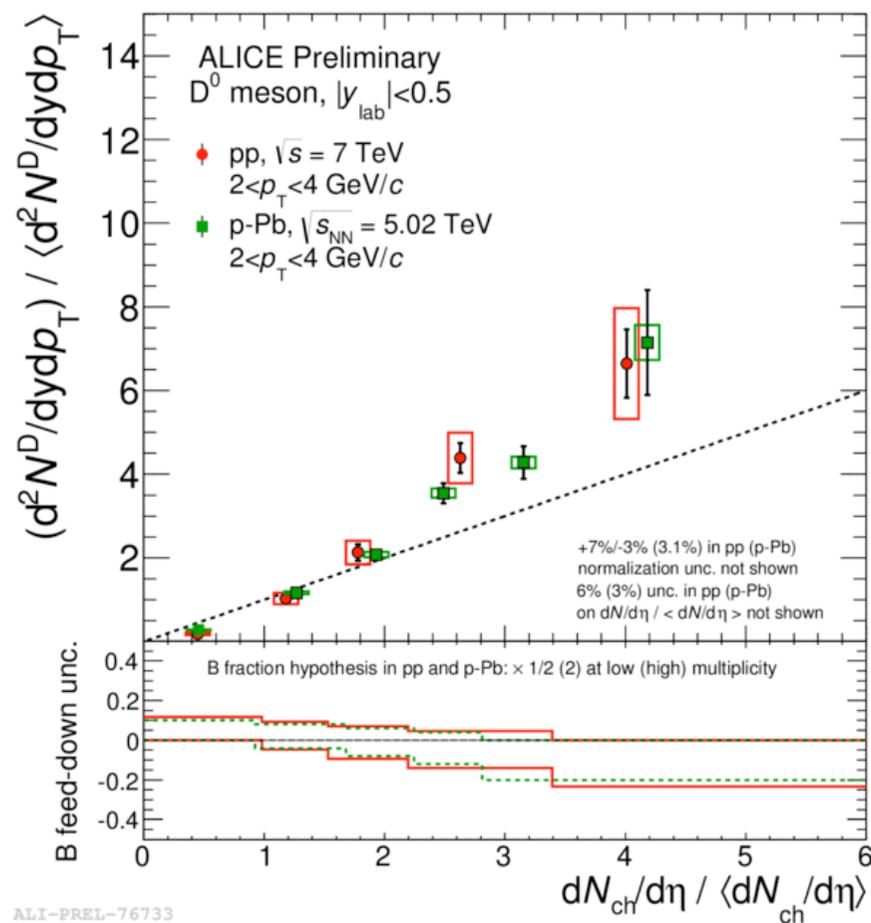


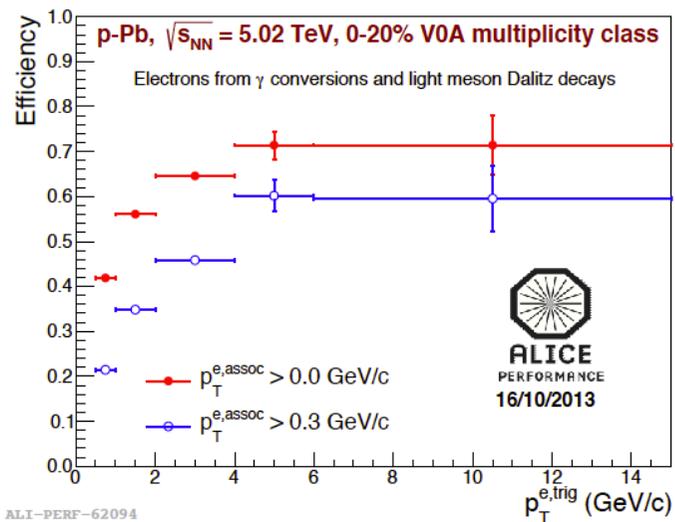
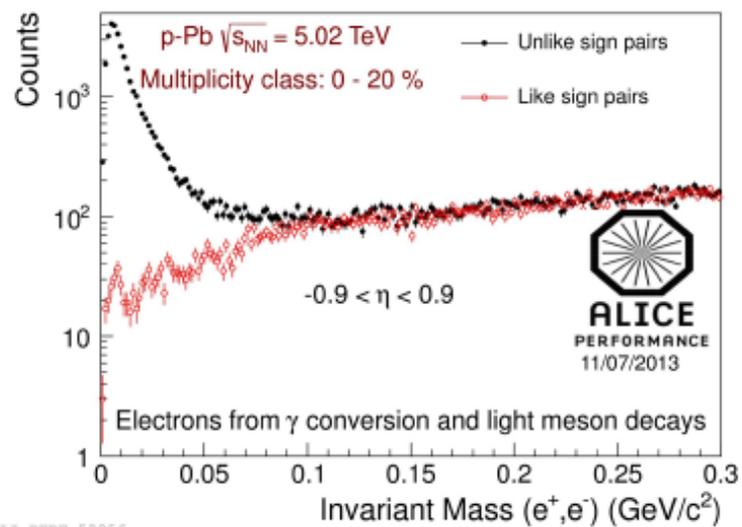
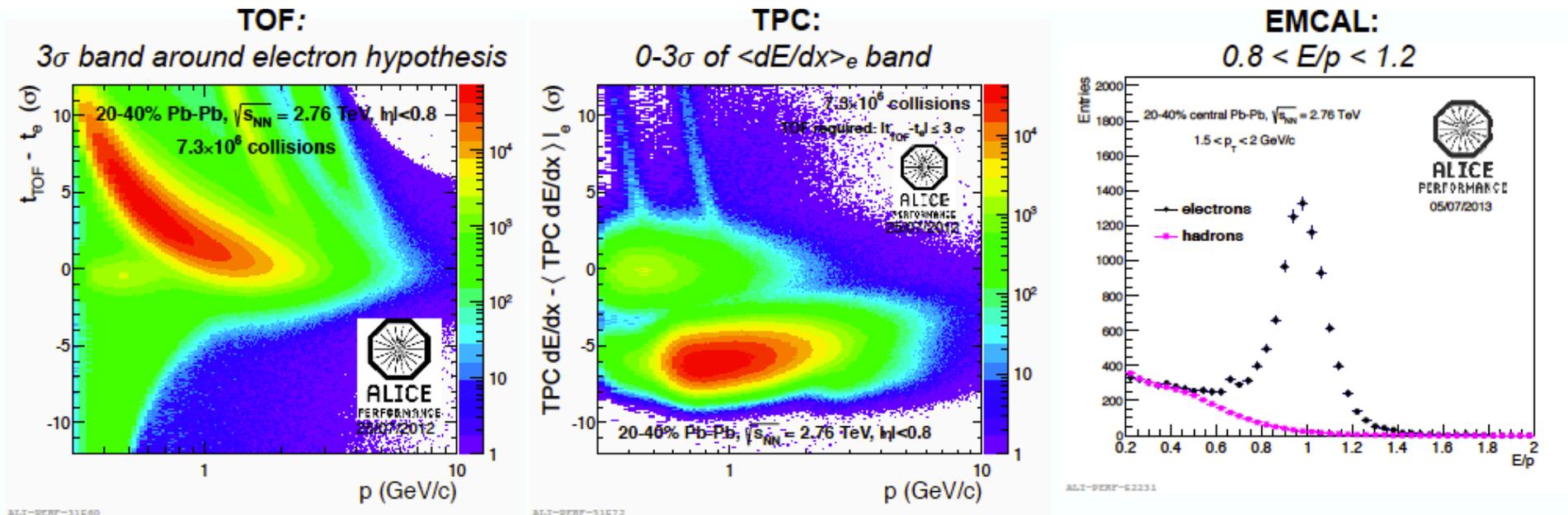
# D-meson and J/ψ vs multiplicity: pp and p-Pb



different Cold Nuclear Matter (CNM) effects expected in p-Pb - different Bjorken-x values probed

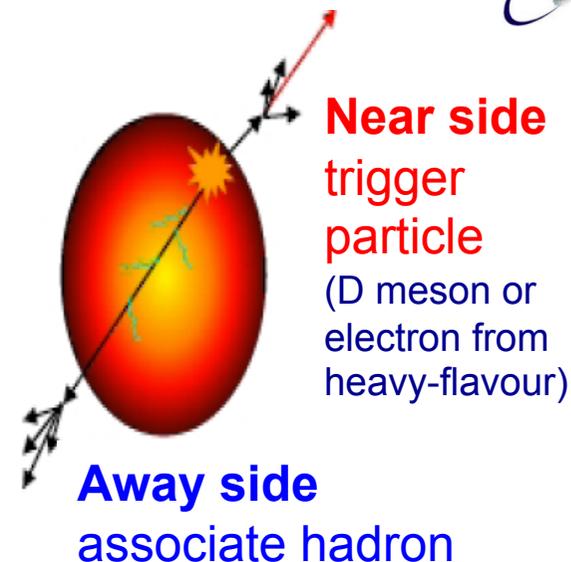
# D-meson vs multiplicity: pp and p-Pb



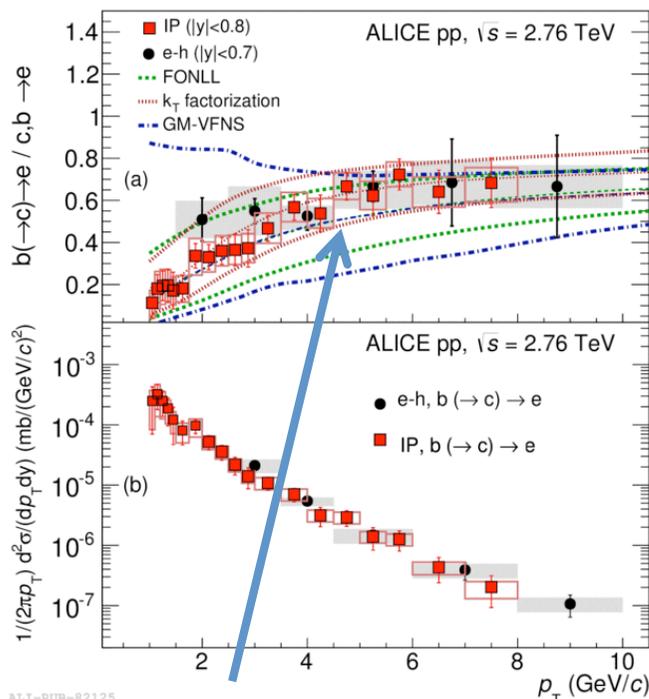
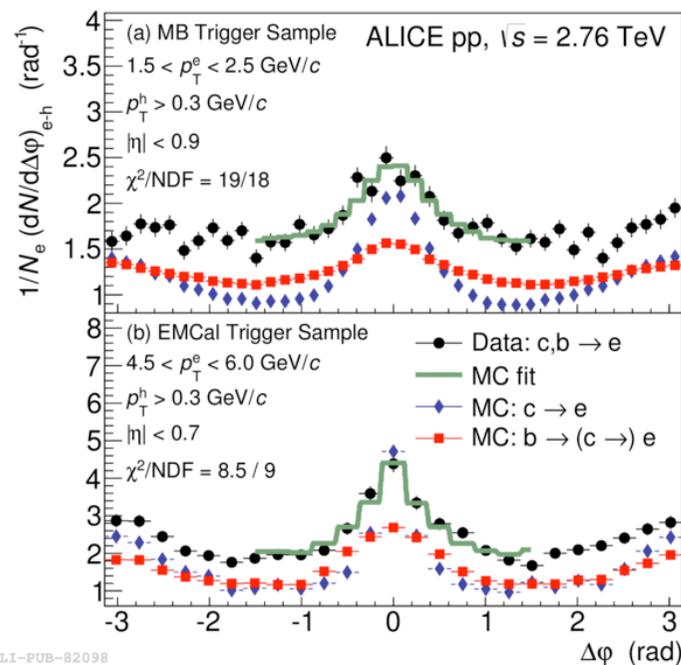


In **pp collisions**:

- Extract relative contributions of electrons from charm and beauty decays



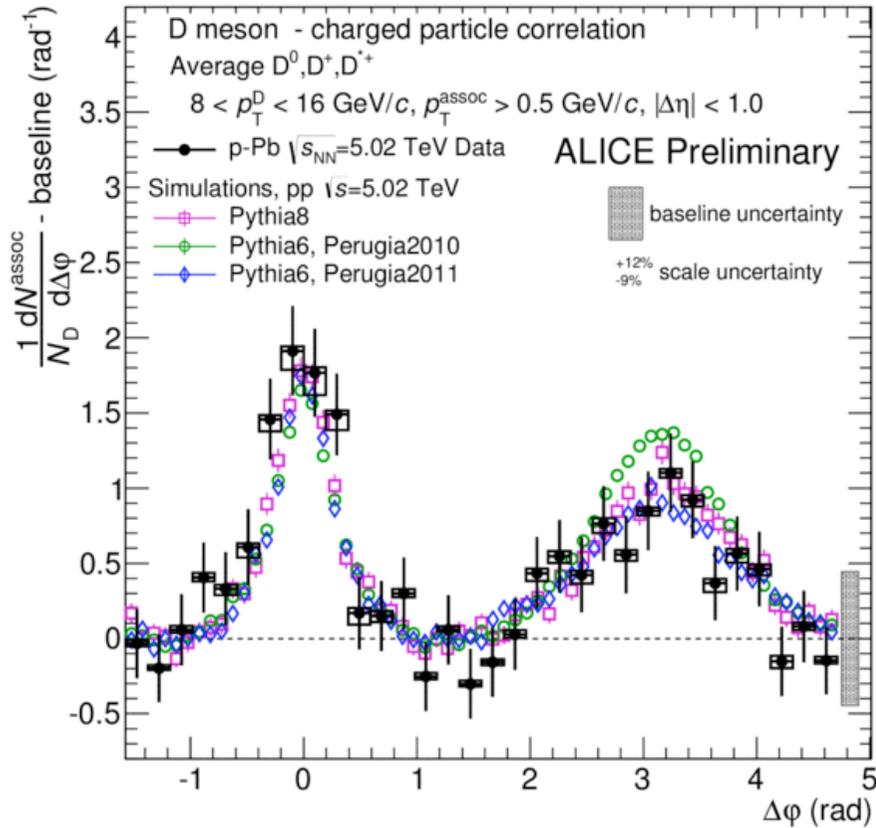
## Heavy-flavour (HF) electron – hadron azimuthal correlations



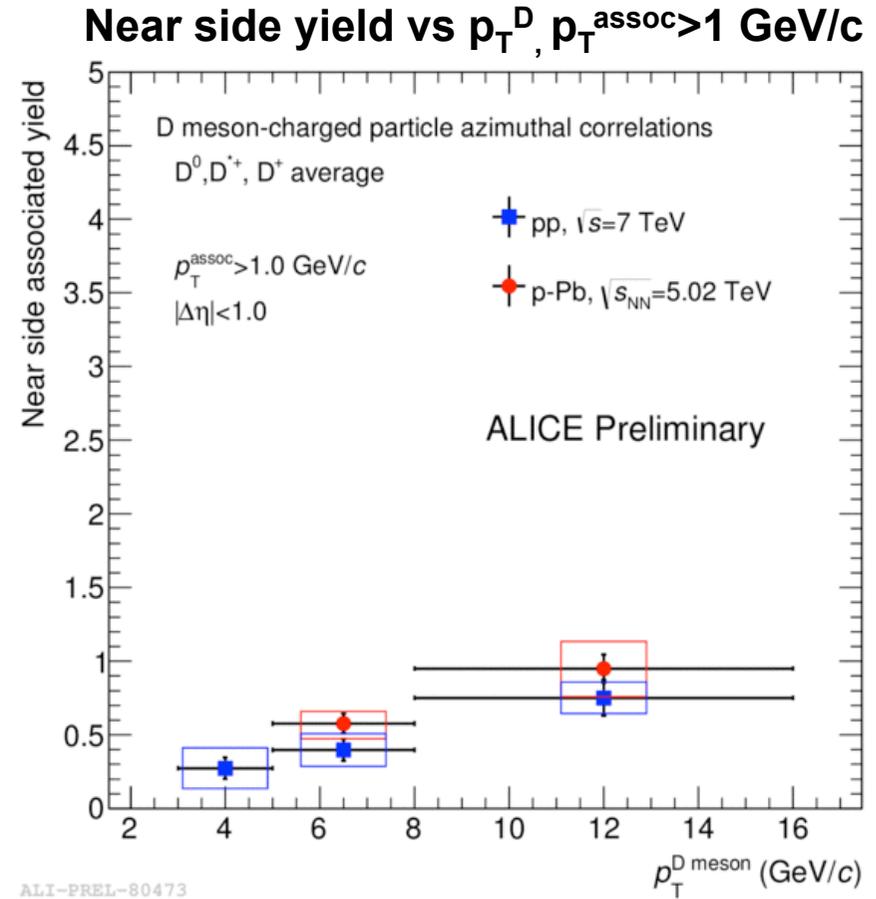
arXiv:1405.4144

**b/c ratio compatible with FONLL prediction**

# D-h azimuthal correlations in p-Pb



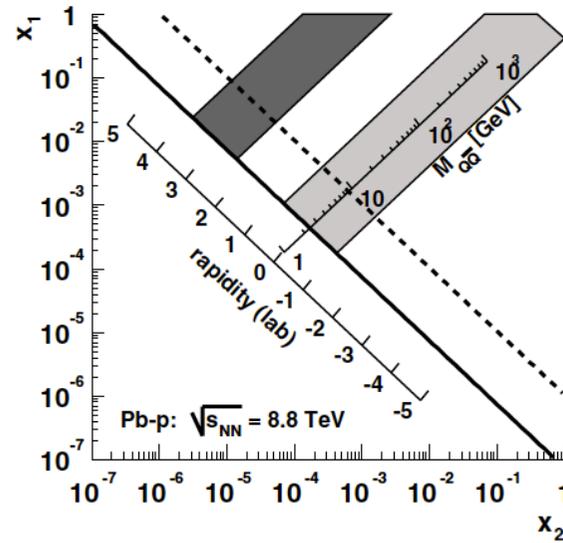
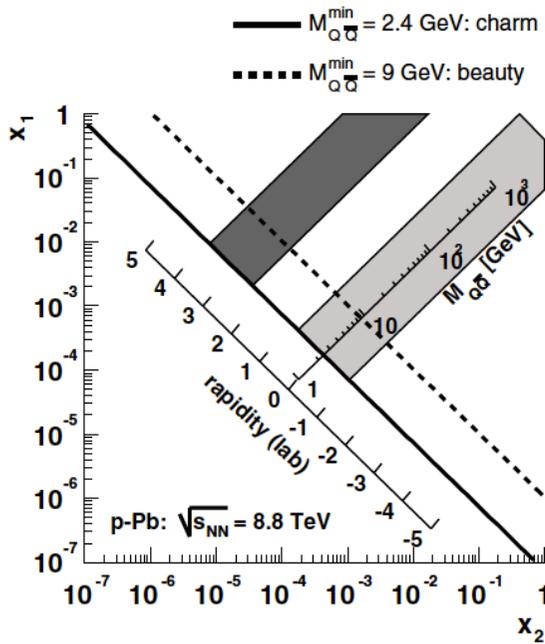
ALI-PREL-79835



ALI-PREL-80473

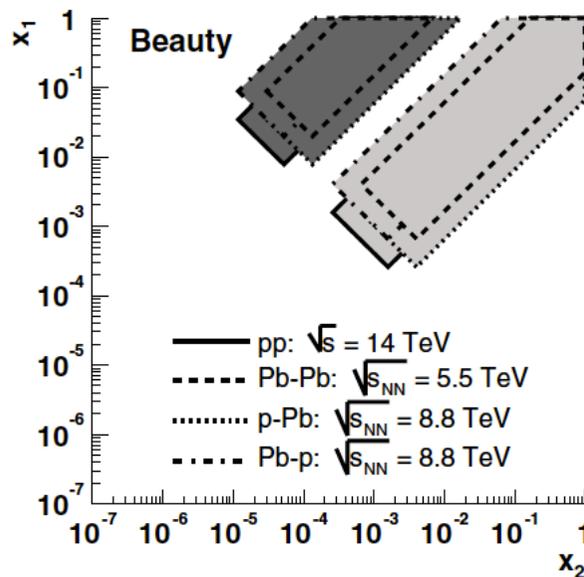
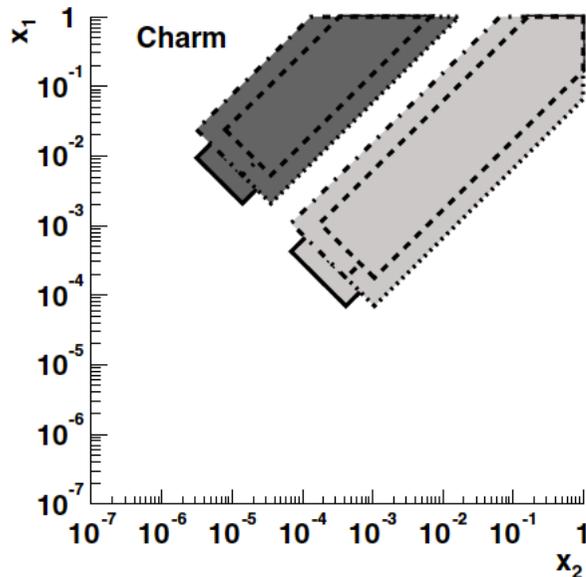
# x regimes at the LHC

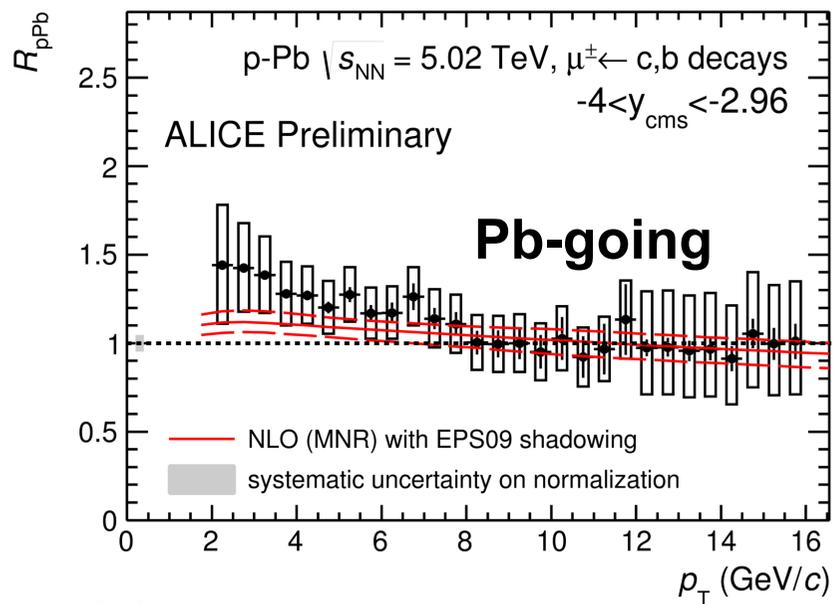
ALICE Coll.,  
J. Phys. G: Nucl. Part. Phys. 32 (2006) 1295–2040



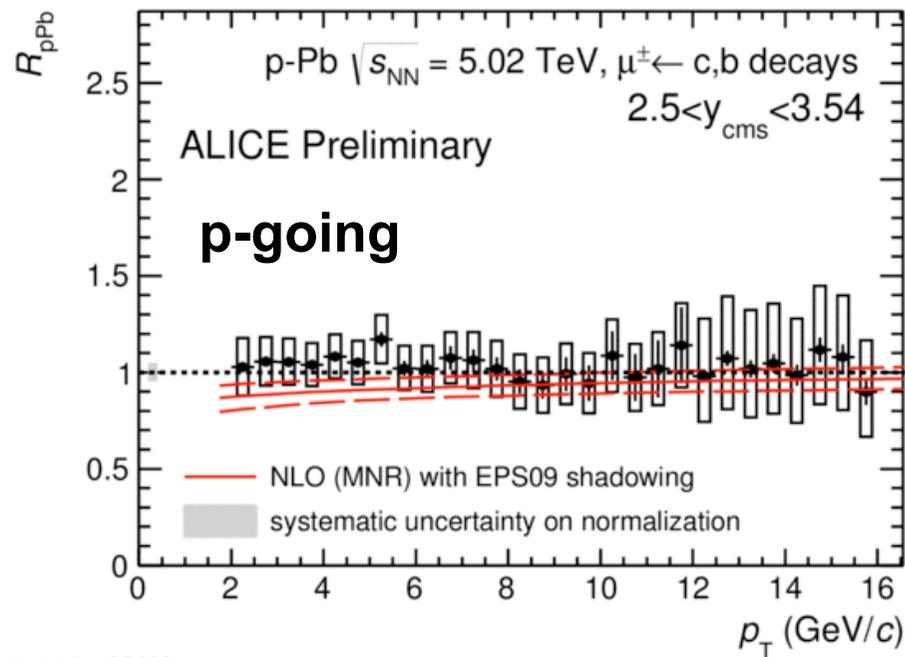
$$x_1 = \frac{A_1}{Z_1} \cdot \frac{M_{Q\bar{Q}}}{\sqrt{s_{PP}}} \exp(+y_{Q\bar{Q}})$$

$$x_2 = \frac{A_2}{Z_2} \cdot \frac{M_{Q\bar{Q}}}{\sqrt{s_{PP}}} \exp(-y_{Q\bar{Q}})$$





ALI-PREL-80434



ALI-PREL-80422