



arXiv:1310.8214

Submitted to PRL October 30, 2013

# First Dark Matter Search Results from the LUX Detector

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Karen Gibson  
Case Western Reserve University  
SLAC Experimental Seminar  
October 31, 2013





What do we know about dark matter?

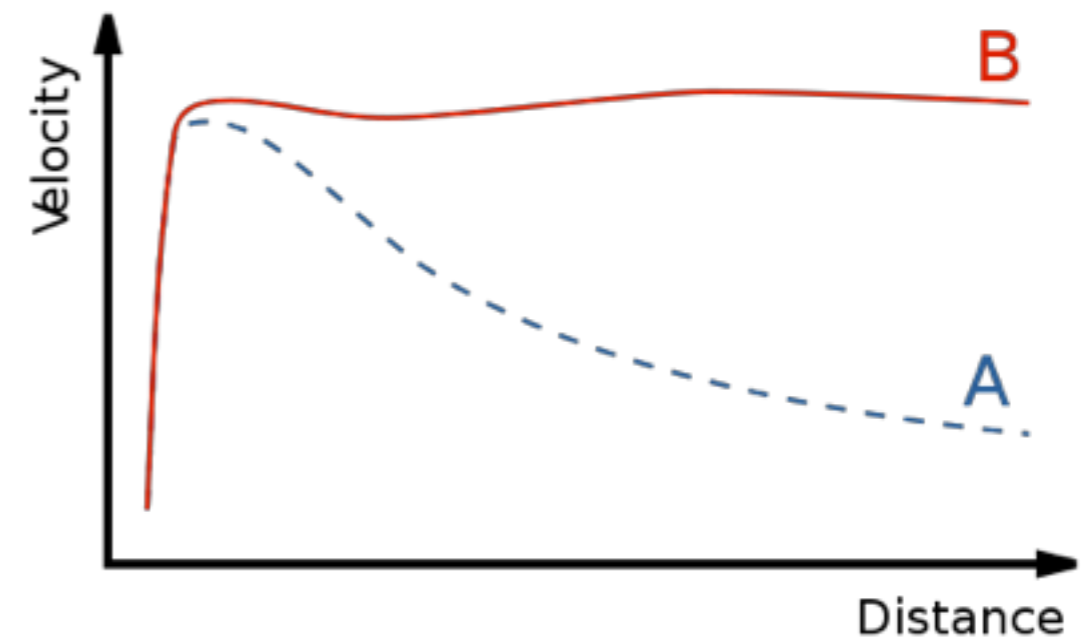
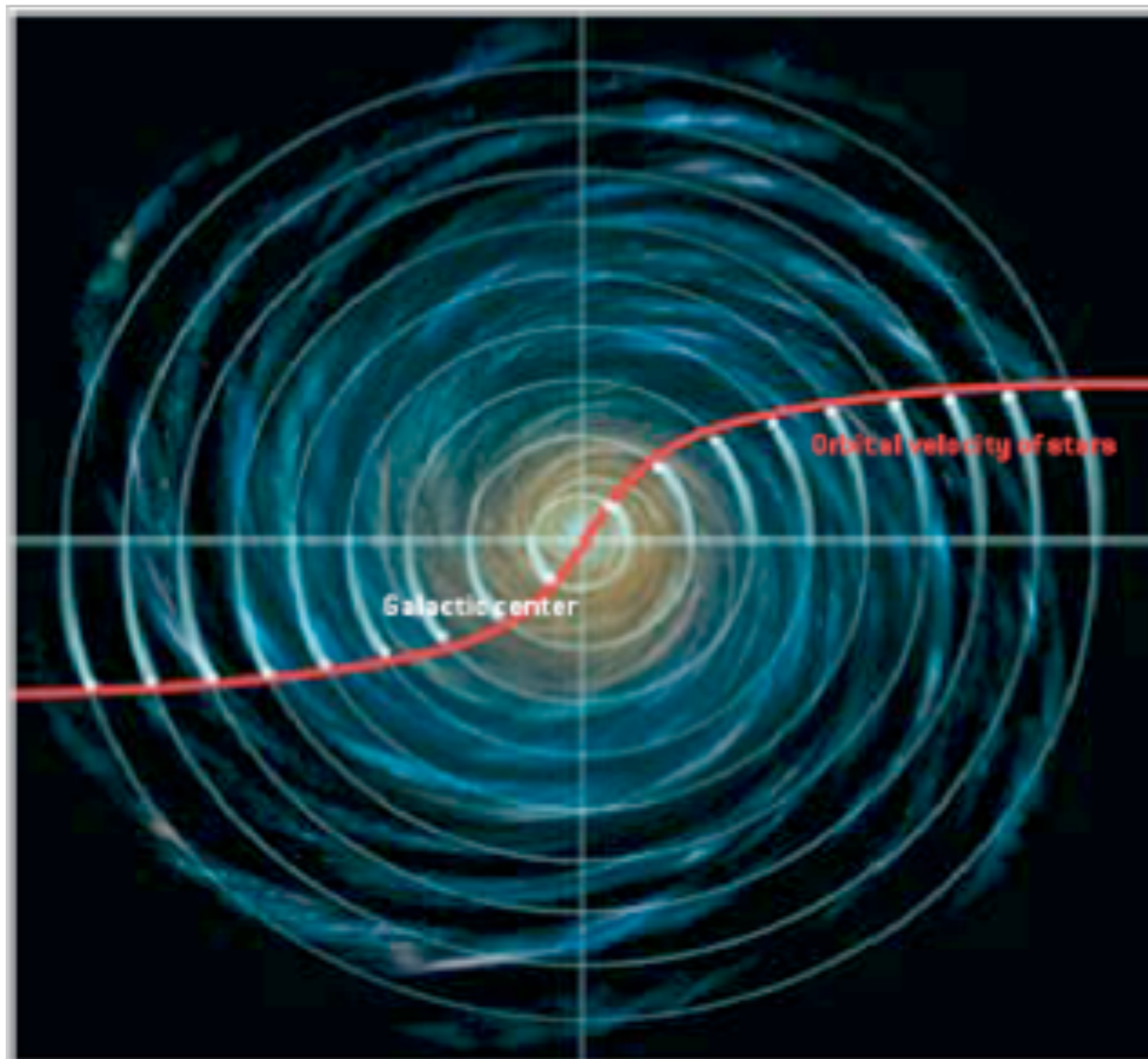
It's mysterious

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We have a lot of indirect evidence...

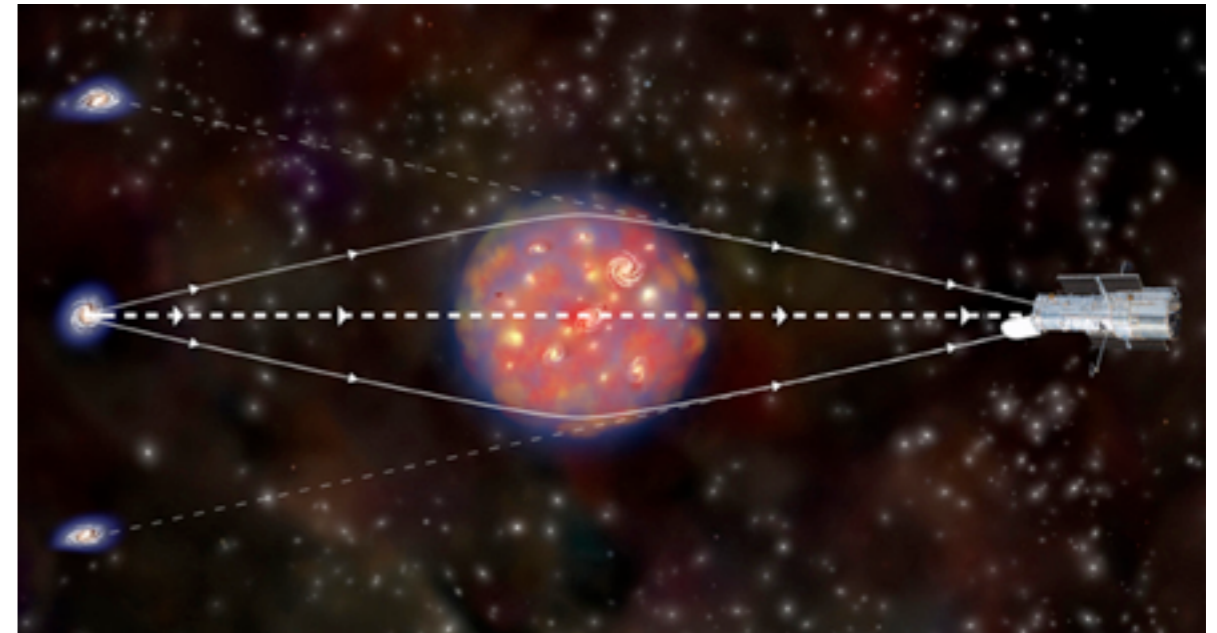
In rotation curves of elliptical galaxies...





# In collisions of galaxies..

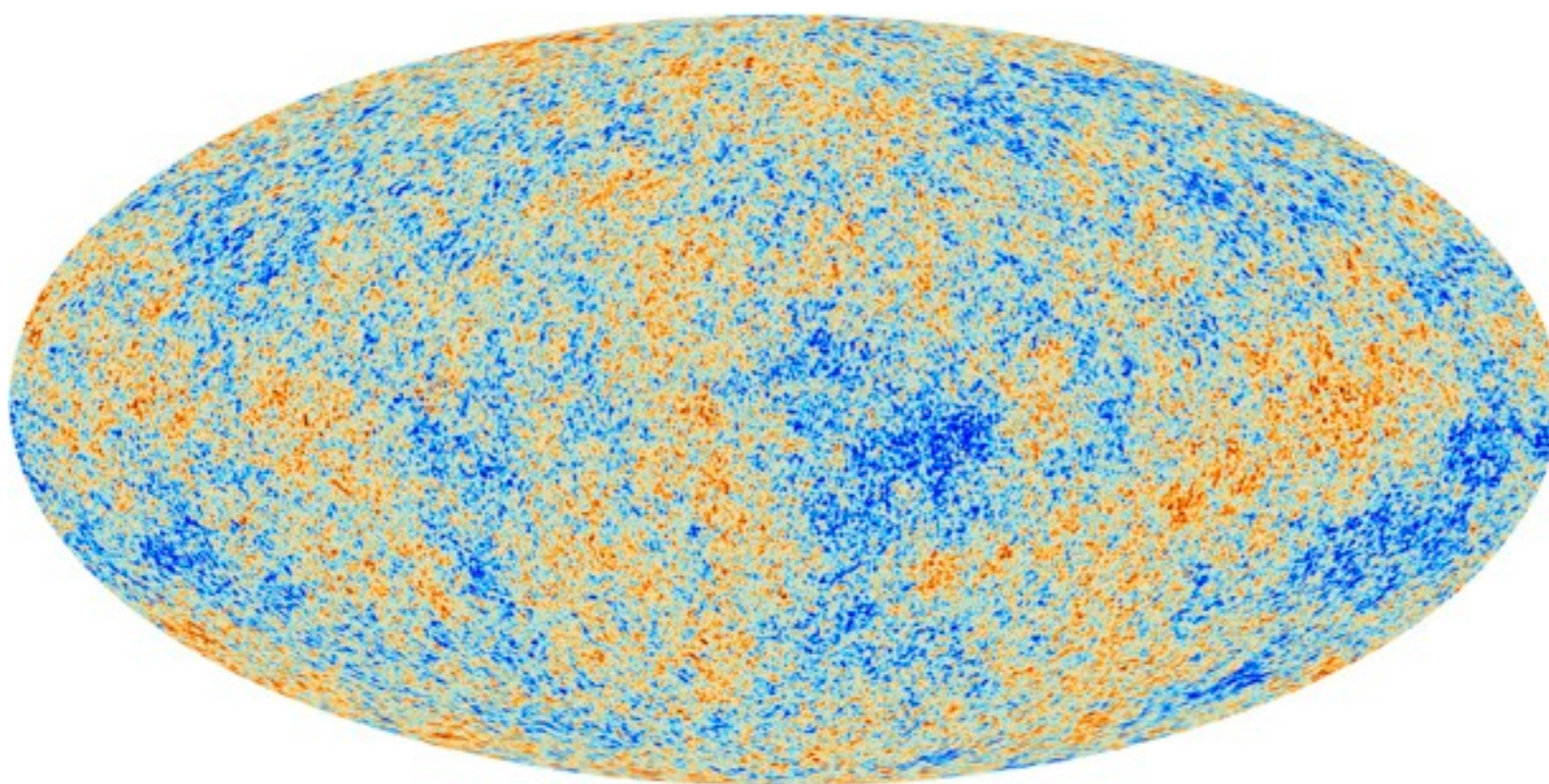
Use gravitational lensing to determine mass of clusters, while x-rays show hot gas and optical images show stars



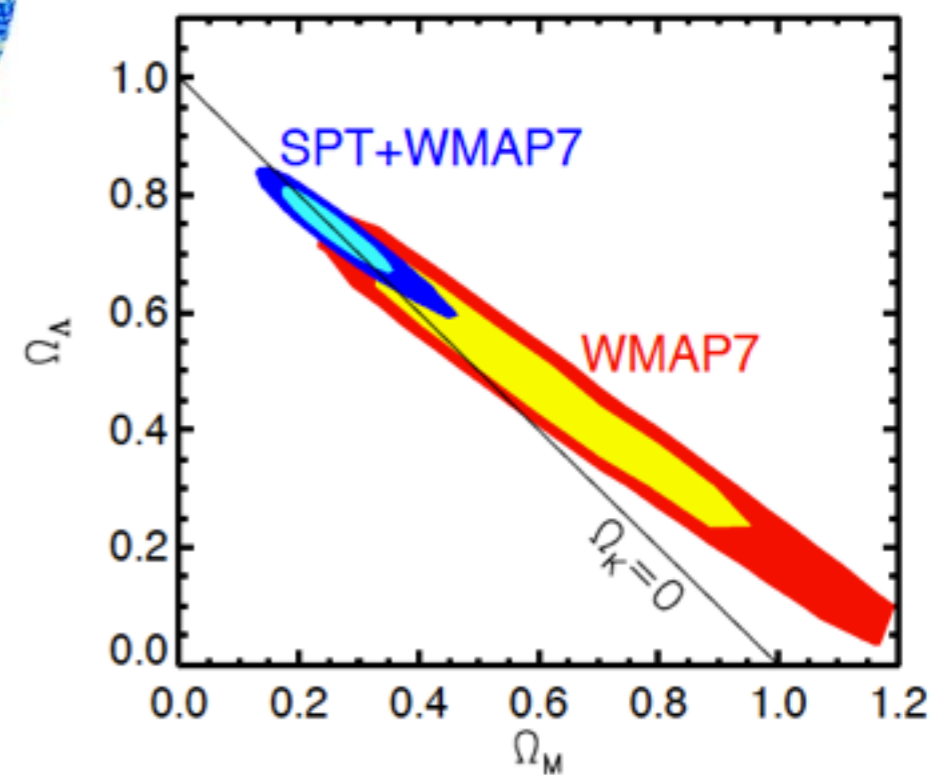
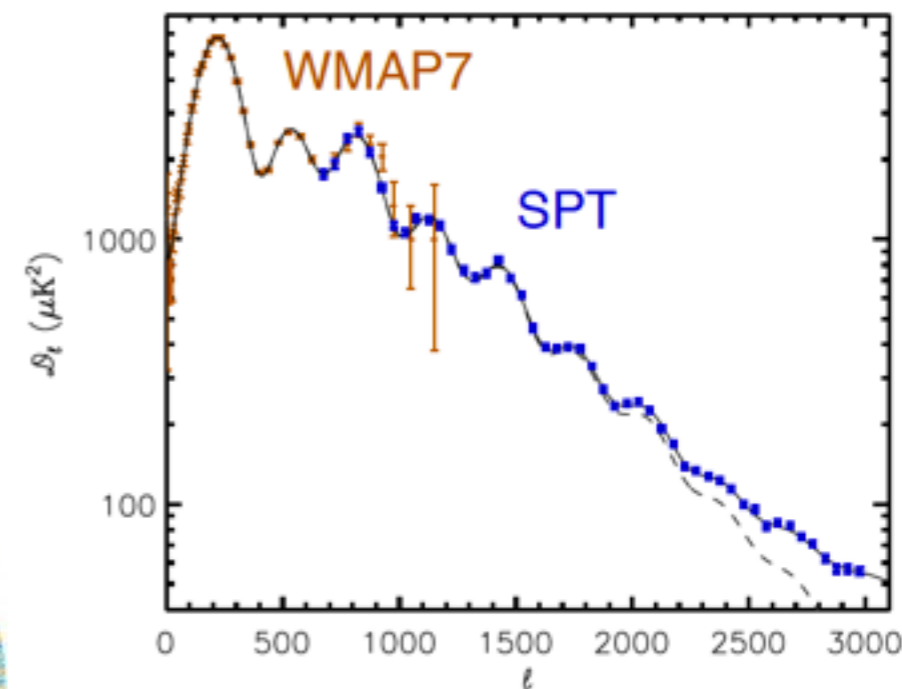
*1E 0657-56, Bullet cluster*

# CMB moments

K.T. Story et al., arXiv:1210.7231



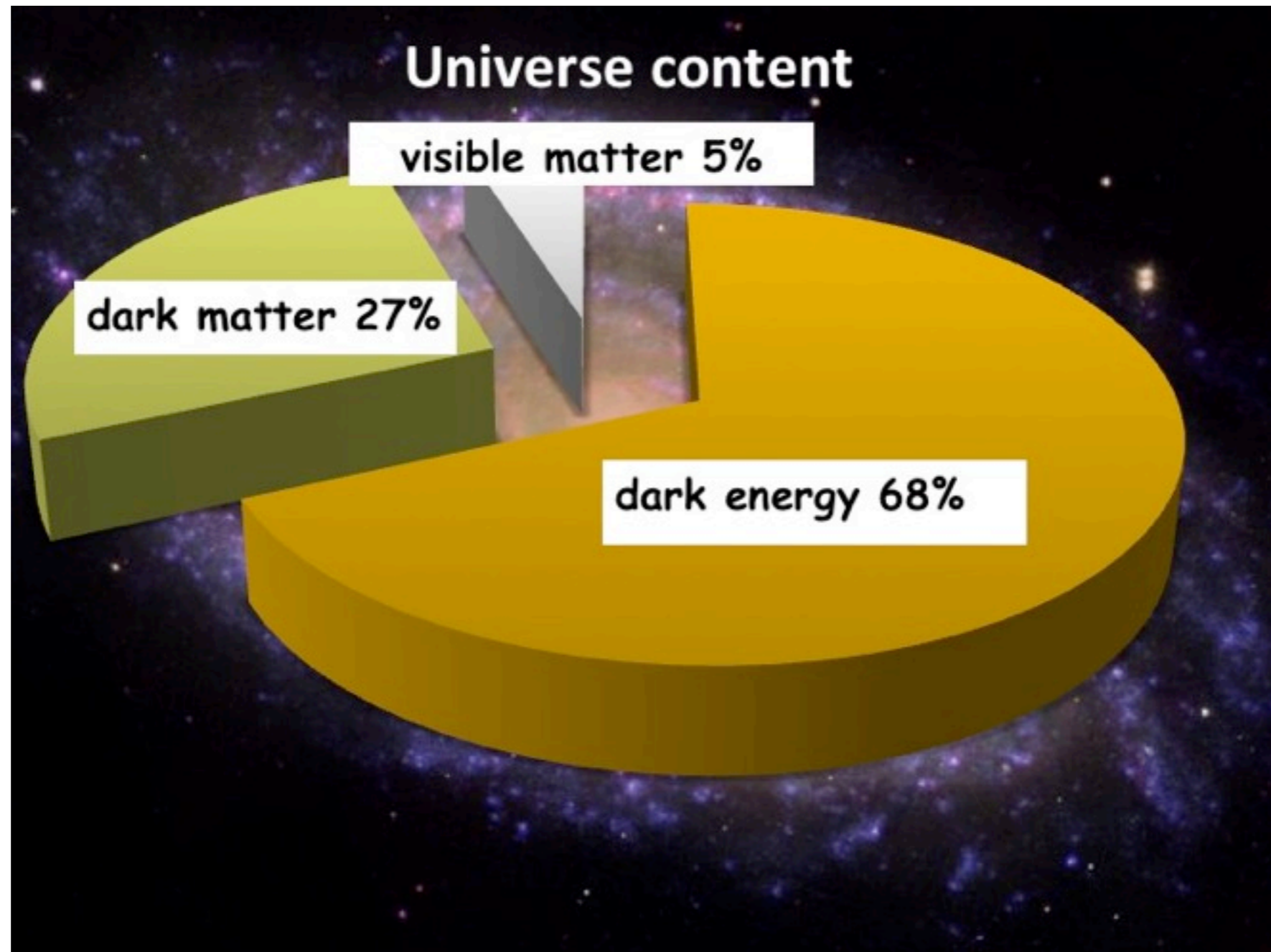
Planck CMB, ESA





We believe it makes up ~25% of our universe

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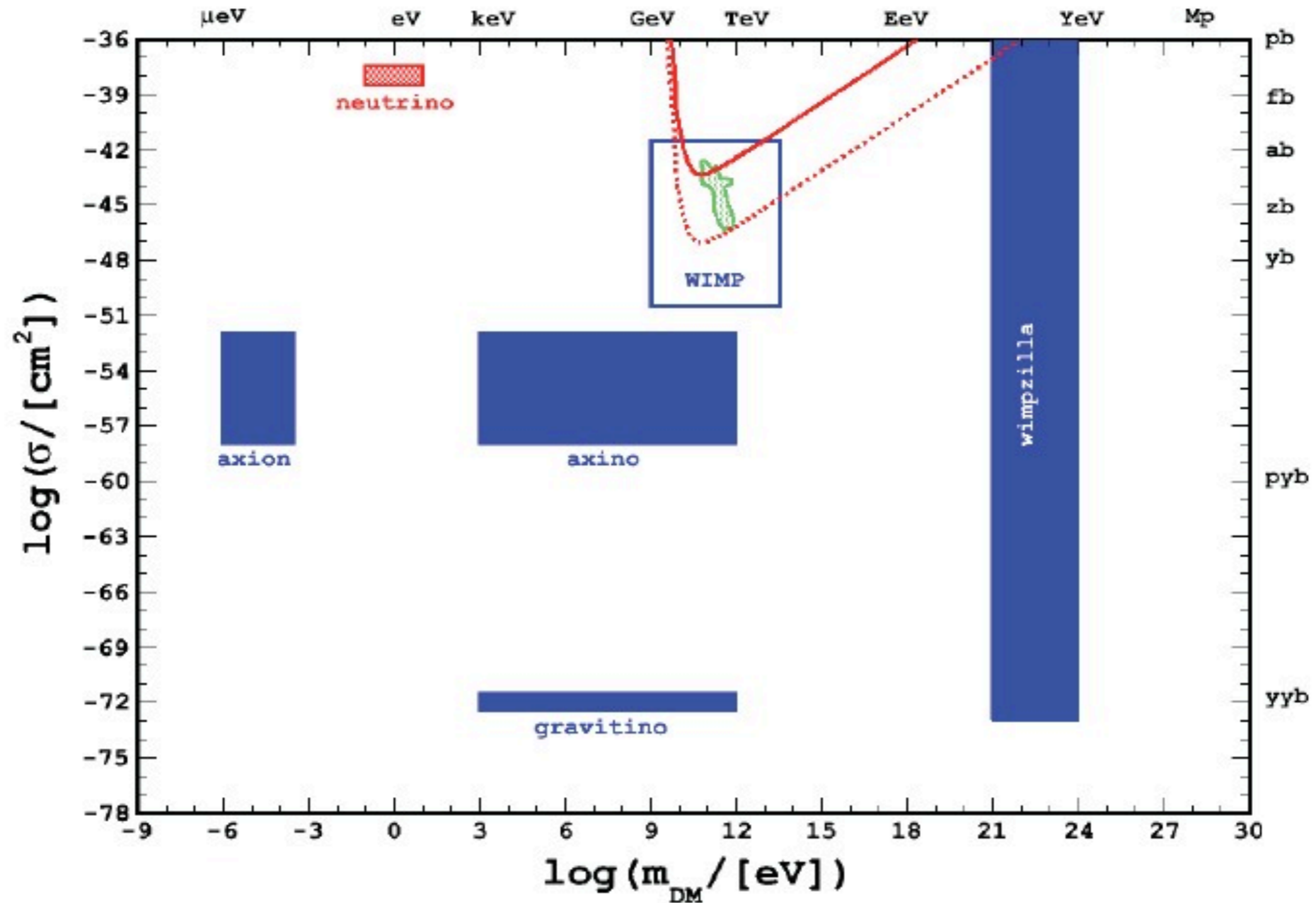




What **don't** we know about dark matter?



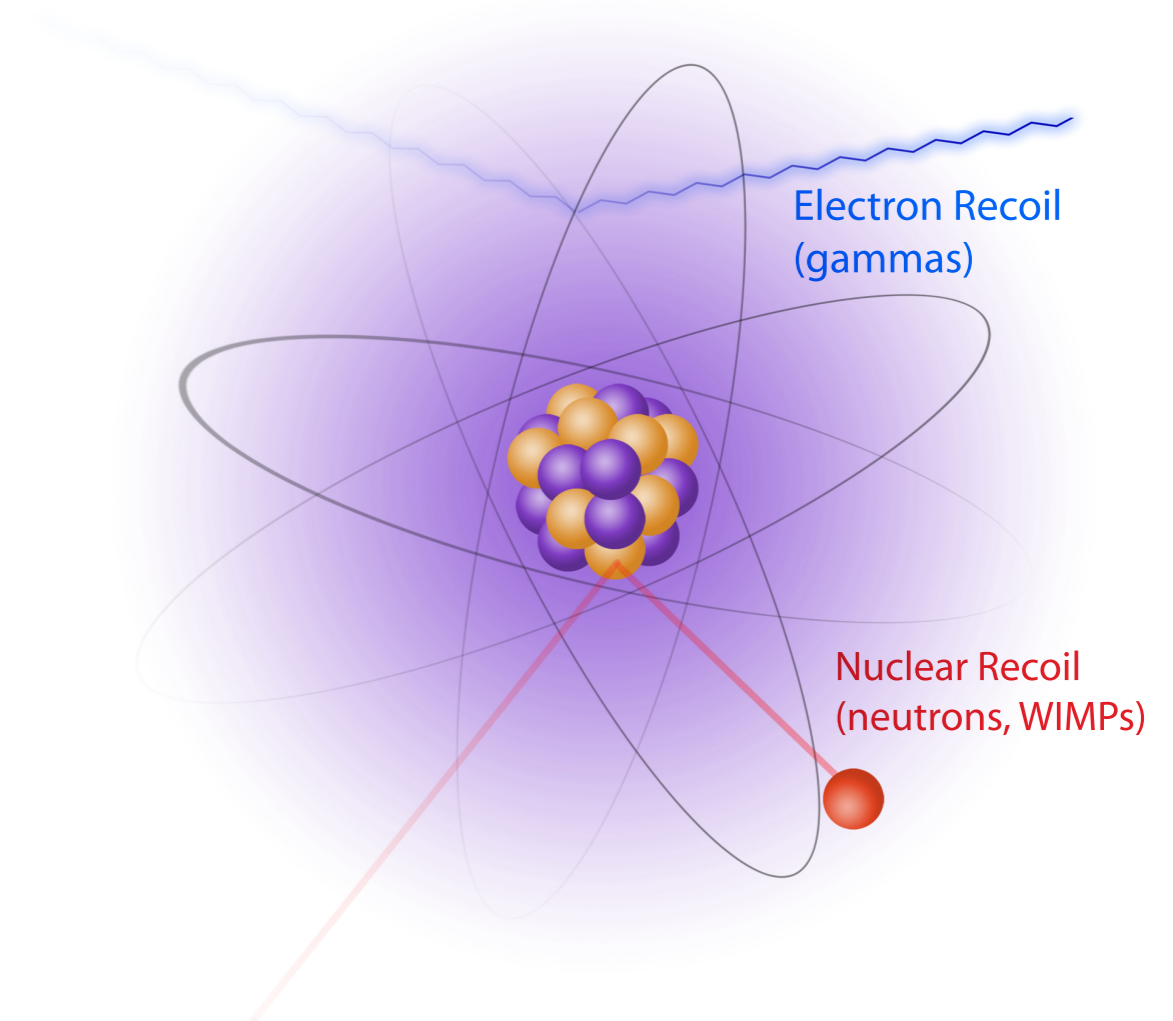
A lot!



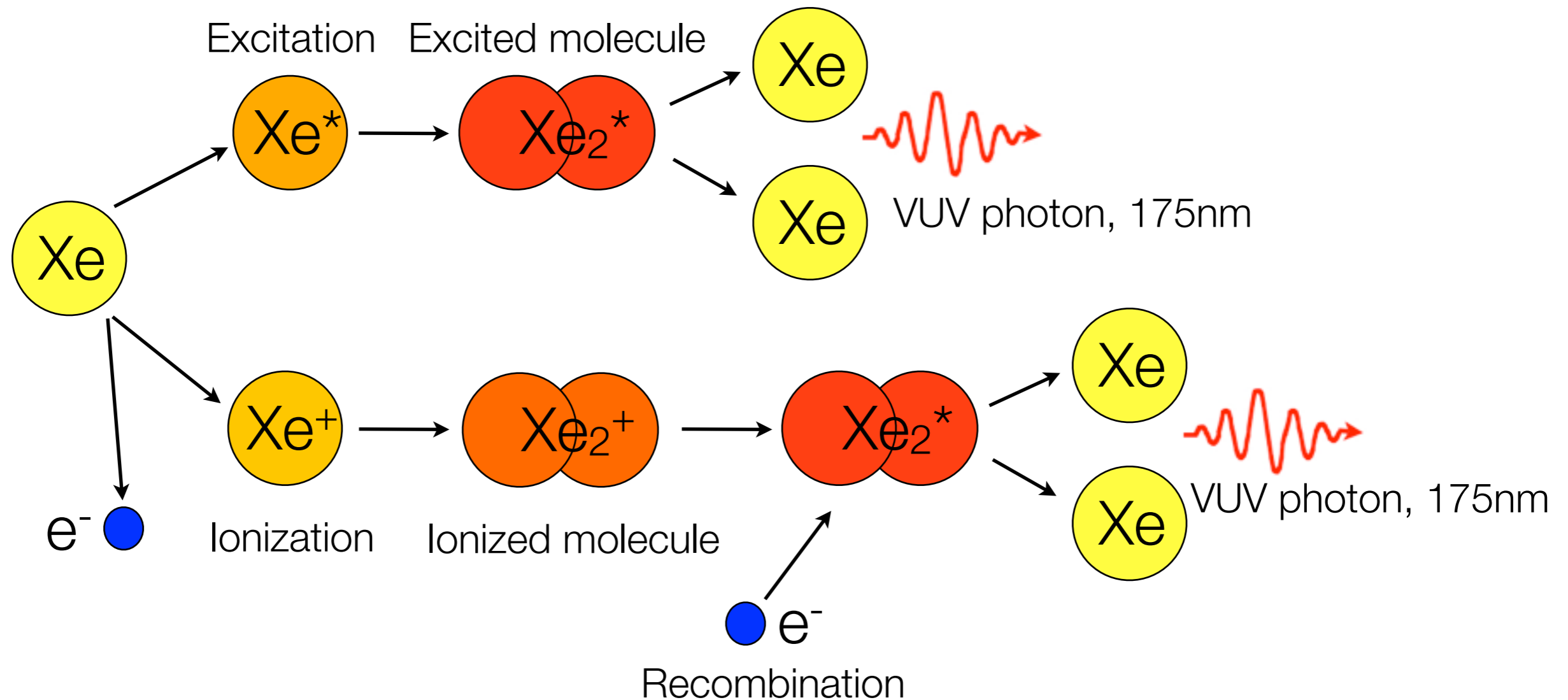
# Basic idea of WIMP direct detection experiments

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- Look for WIMPs **elastically** recoiling off a heavy nucleus
- Reduce all non-DM backgrounds to  $\sim 0$
- Remaining events  $\Rightarrow$  DM signal

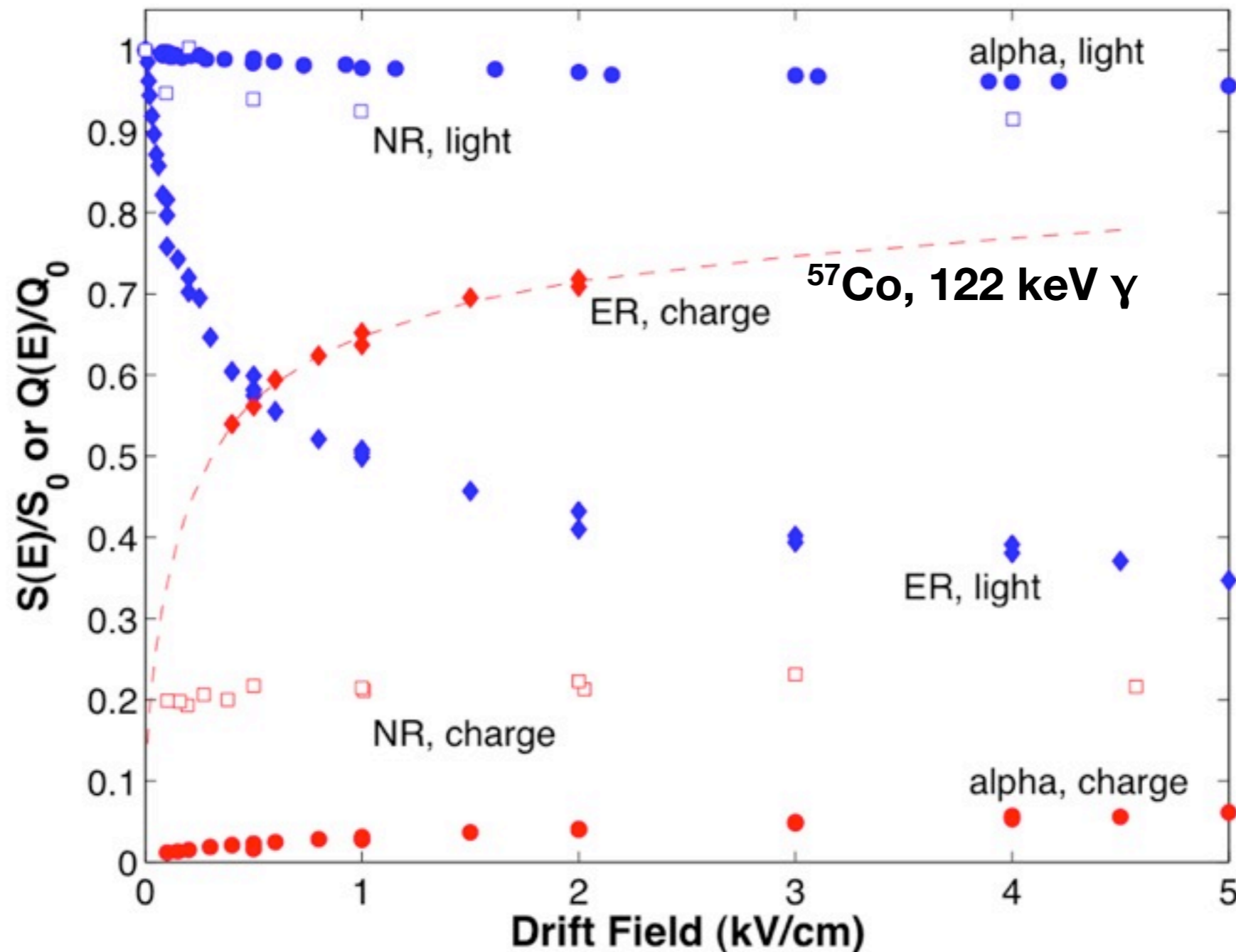


# WIMP direct detection in noble liquids



All recoils produce light and charge

# Use light vs charge to discriminate nuclear and electronic recoils



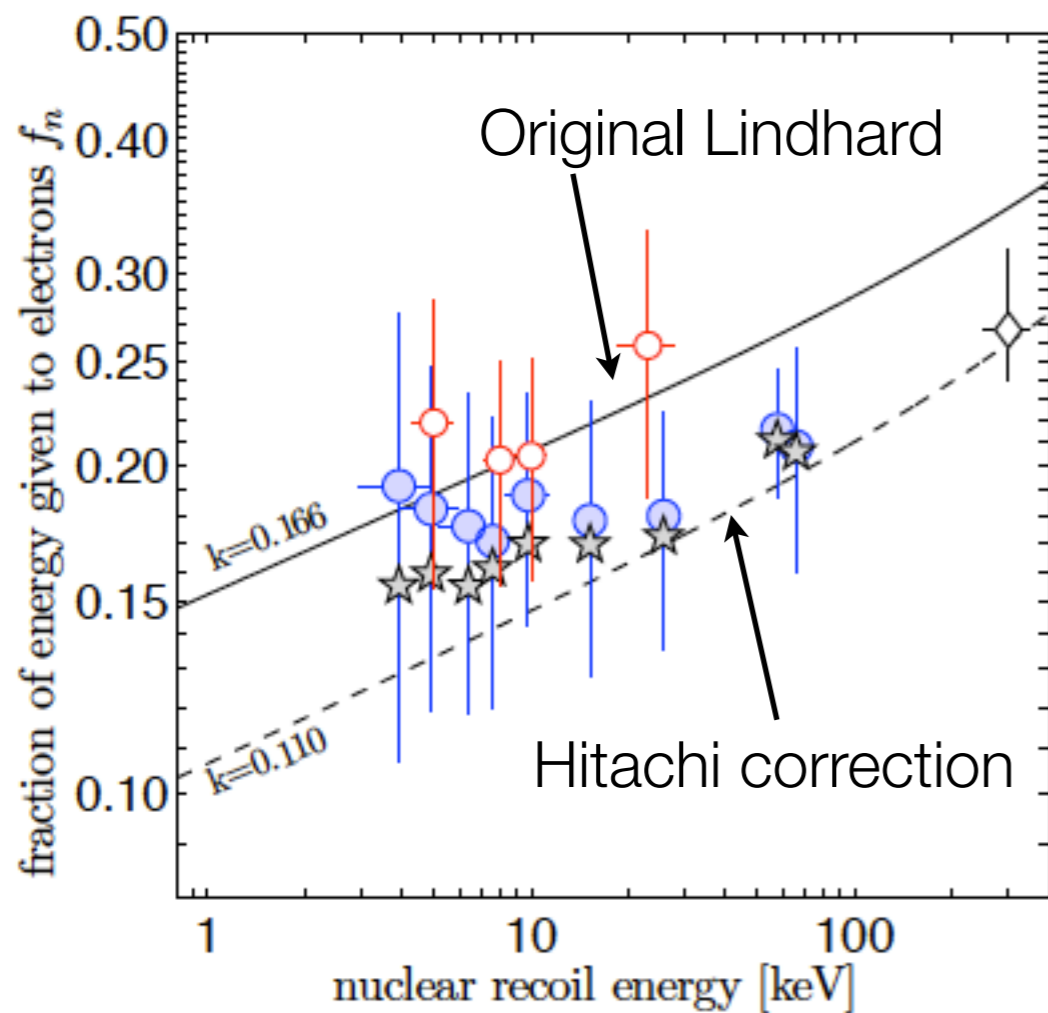
E. Aprile et al., Phys. Rev. Lett. **97**, 081302 (2006)



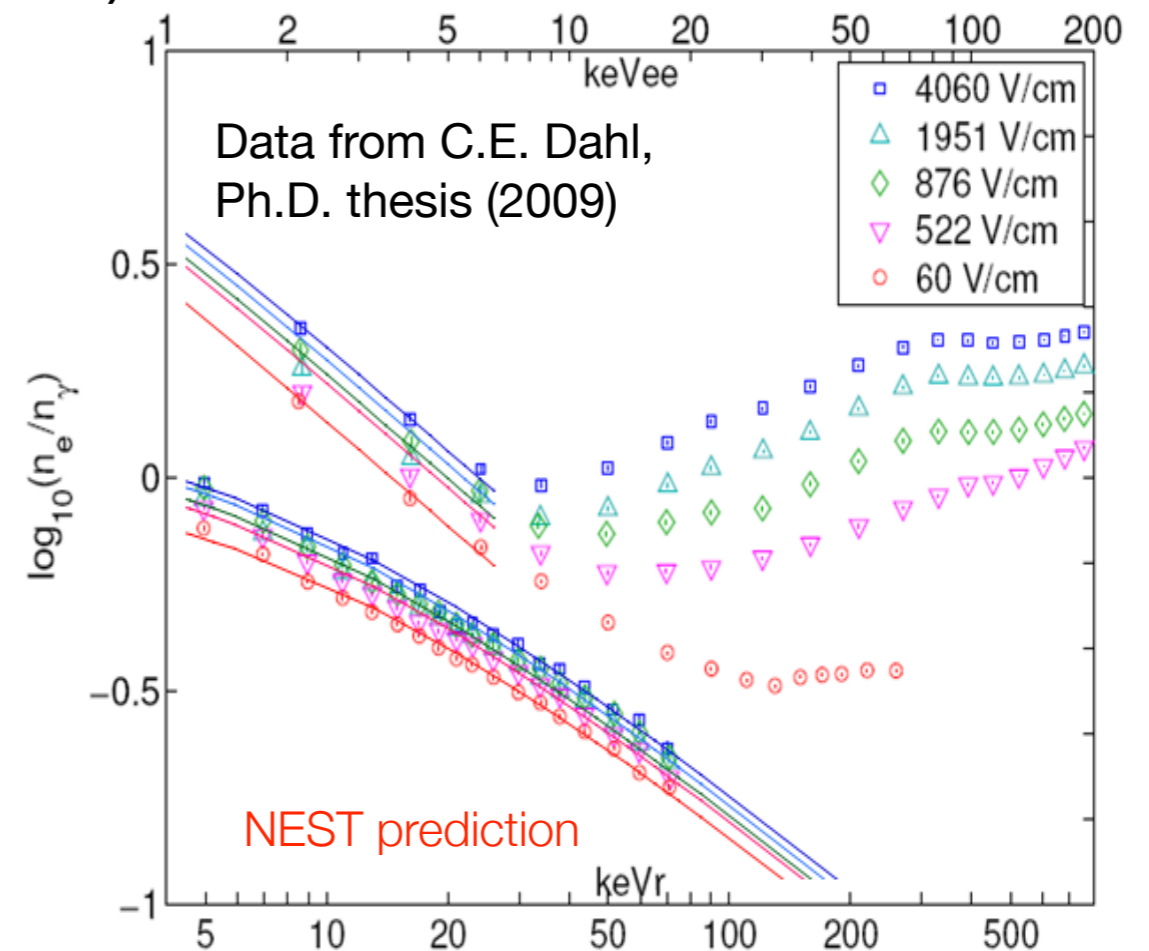
# Noble Element Scintillation Technique (NEST)

$$E_{ee} = (n_\gamma + n_e)W$$

$$E_{nr} = \mathcal{L}^{-1}(n_\gamma + n_e)W$$

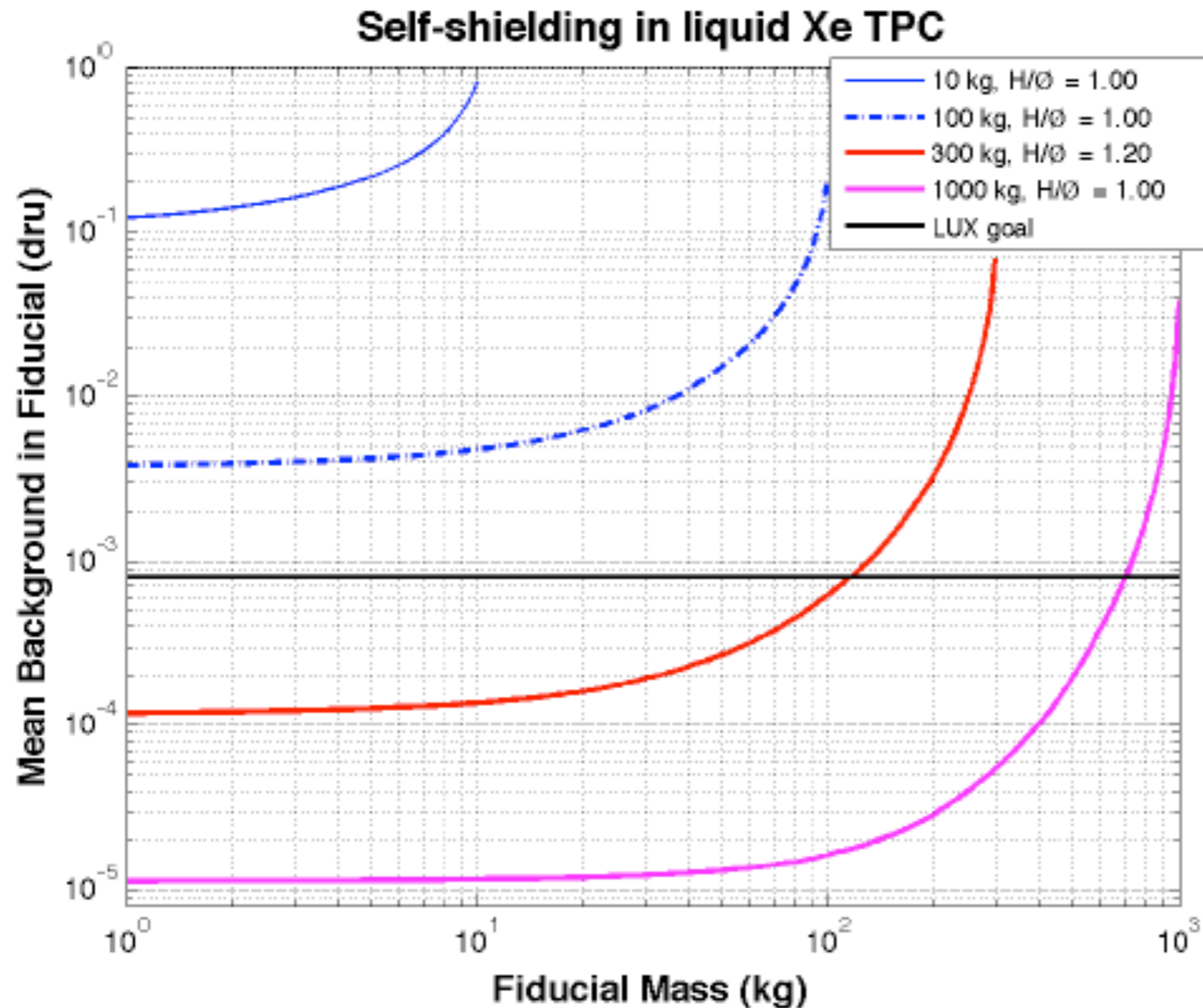


Uses full Lindhard model with Hitachi linear energy transfer (LET)



Reproduces NR discrimination data

# Liquid xenon self-shielding



Make use of self-shielding to reduce external and internal backgrounds



# The LUX Experiment

# LUX collaboration



## Brown

Richard Gaitskell	PI, Professor
Simon Fiorucci	Research Associate
Monica Pangilinan	Postdoc
Jeremy Chapman	Graduate Student
David Malling	Graduate Student
James Verbus	Graduate Student
Samuel Chung Chan	Graduate Student
Dongqing Huang	Graduate Student



## Case Western

Thomas Shutt	PI, Professor
Dan Akerib	PI, Professor
Karen Gibson	Postdoc
Tomasz Biesiadzinski	Postdoc
Wing H To	Postdoc
Adam Bradley	Graduate Student
Patrick Phelps	Graduate Student
Chang Lee	Graduate Student
Kati Pech	Graduate Student



## Imperial College London

Henrique Araujo	PI, Reader
Tim Sumner	Professor
Alastair Currie	Postdoc
Adam Bailey	Graduate Student



## Lawrence Berkeley + UC Berkeley

Bob Jacobsen	PI, Professor
Murdock Gilchriese	Senior Scientist
Kevin Lesko	Senior Scientist
Carlos Hernandez Faham	Postdoc
Victor Gehman	Scientist
Mia Ihm	Graduate Student



## Lawrence Livermore

Adam Bernstein	PI, Leader of Adv. Detectors Group
Dennis Carr	Mechanical Technician
Kareem Kazkaz	Staff Physicist
Peter Sorensen	Staff Physicist
John Bower	Engineer



## LIP Coimbra

Isabel Lopes	PI, Professor
Jose Pinto da Cunha	Assistant Professor
Vladimir Solovov	Senior Researcher
Luiz de Viveiros	Postdoc
Alexander Lindote	Postdoc
Francisco Neves	Postdoc
Claudio Silva	Postdoc



## SD School of Mines

Xinhua Bai	PI, Professor
Tyler Liebsch	Graduate Student
Doug Tiedt	Graduate Student



## SDSTA

David Taylor	Project Engineer
Mark Hanhardt	Support Scientist



## Texas A&M

James White †	PI, Professor
Robert Webb	PI, Professor
Rachel Mannino	Graduate Student
Clement Sofka	Graduate Student



## UC Davis

Mani Tripathi	PI, Professor
Bob Svoboda	Professor
Richard Lander	Professor
Britt Holbrook	Senior Engineer
John Thomson	Senior Machinist
Ray Gerhard	Electronics Engineer
Aaron Manalaysay	Postdoc
Matthew Szydagis	Postdoc
Richard Ott	Postdoc
Jeremy Mock	Graduate Student
James Morad	Graduate Student
Nick Walsh	Graduate Student
Michael Woods	Graduate Student
Sergey Uvarov	Graduate Student
Brian Lenardo	Graduate Student



## UC Santa Barbara

Harry Nelson	PI, Professor
Mike Witherell	Professor
Dean White	Engineer
Susanne Kyre	Engineer
Carmen Carmona	Postdoc
Curt Nehr Korn	Graduate Student
Scott Haselschwardt	Graduate Student



## University College London

Chamkaur Ghag	PI, Lecturer
Lea Reichhart	Postdoc



## University of Edinburgh

Alex Murphy	PI, Reader
Paolo Beltrame	Research Fellow
James Dobson	Postdoc



## University of Maryland

Carter Hall	PI, Professor
Attila Dobi	Graduate Student
Richard Knoche	Graduate Student
Jon Balajthy	Graduate Student



## University of Rochester

Frank Wolfs	PI, Professor
Wojtek Skutski	Senior Scientist
Eryk Druszkiewicz	Graduate Student
Mongkol Moongweluwan	Graduate Student



## University of South Dakota

Dongming Mei	PI, Professor
Chao Zhang	Postdoc
Angela Chiller	Graduate Student
Chris Chiller	Graduate Student
Dana Byram	*Now at SDSTA



## Yale

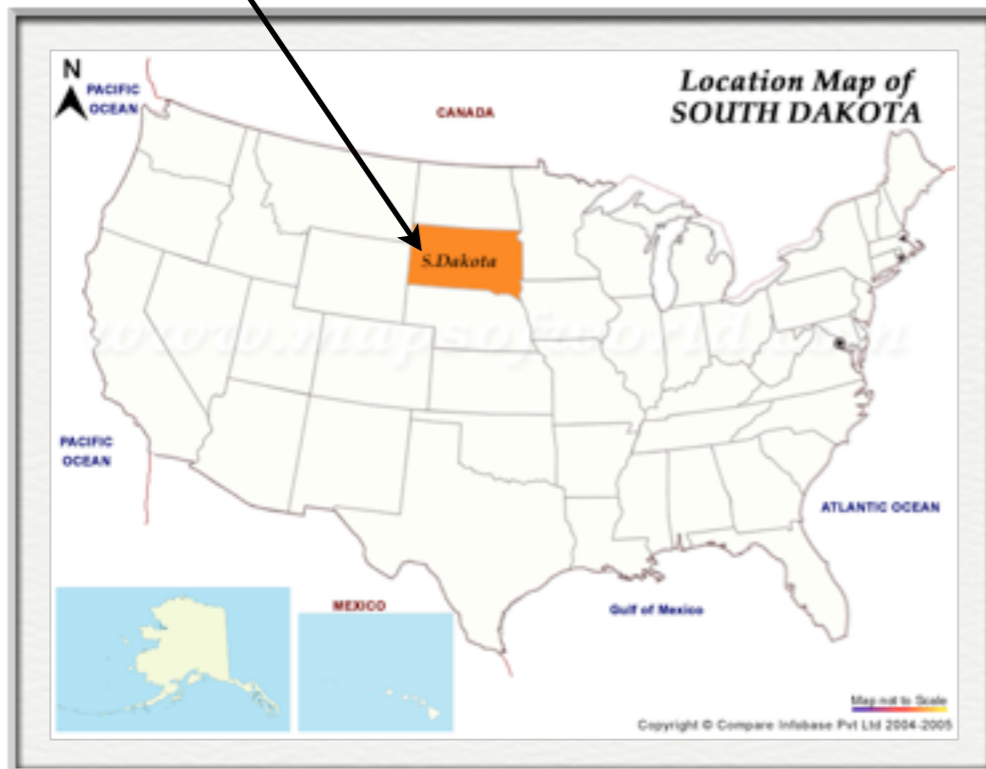
Daniel McKinsey	PI, Professor
Peter Parker	Professor
Sidney Cahn	Lecturer/Research Scientist
Ethan Bernard	Postdoc
Markus Horn	Postdoc
Blair Edwards	Postdoc
Scott Hertel	Postdoc
Kevin O'Sullivan	Postdoc
Nicole Larsen	Graduate Student
Evan Pease	Graduate Student
Brian Tennyson	Graduate Student
Ariana Hackenburg	Graduate Student
Elizabeth Boulton	Graduate Student



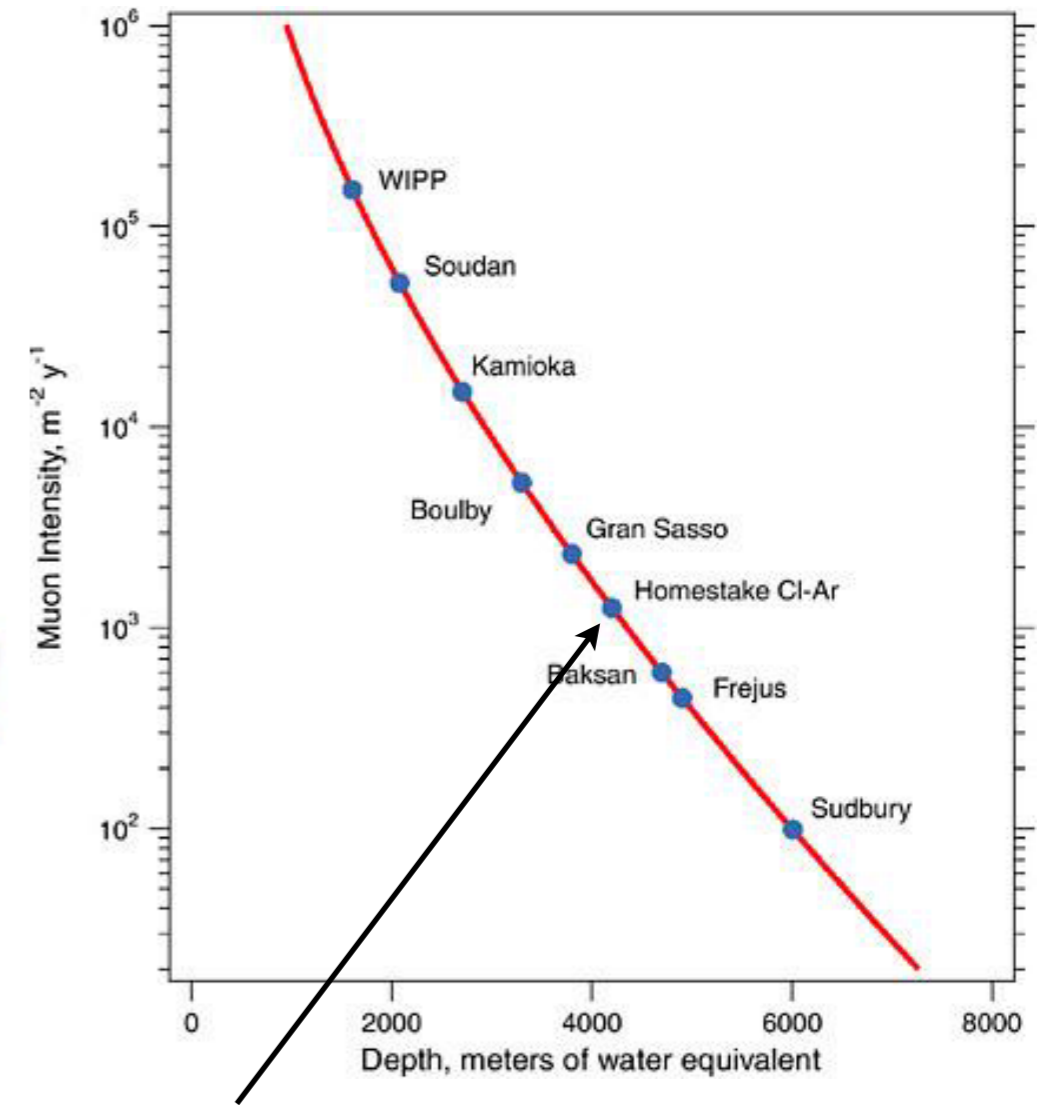
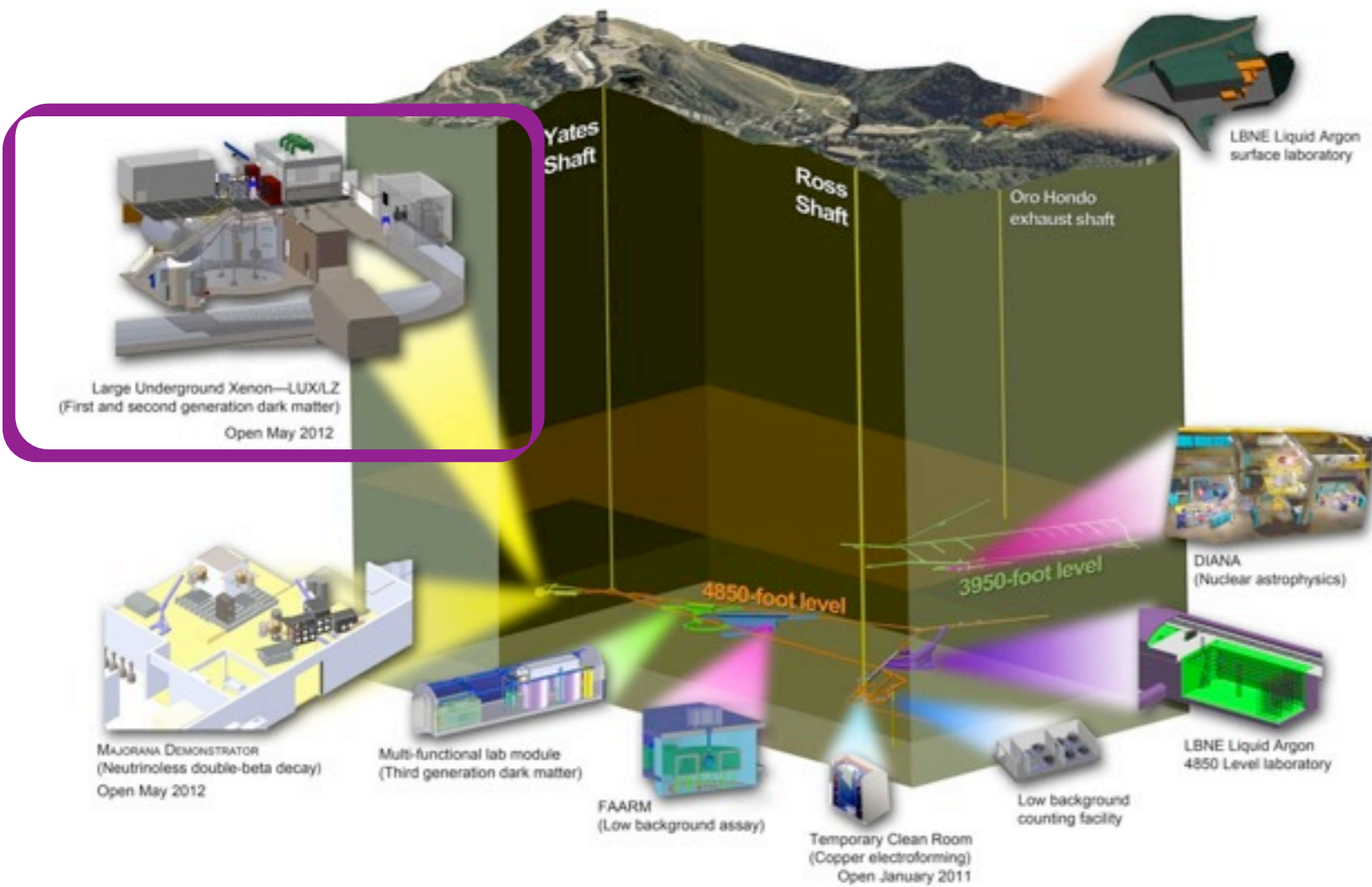
# Sanford Underground Research Facility (SURF)

Lead, SD, located in Black Hills

Former Homestake gold mine - repurposed as a “science mine”



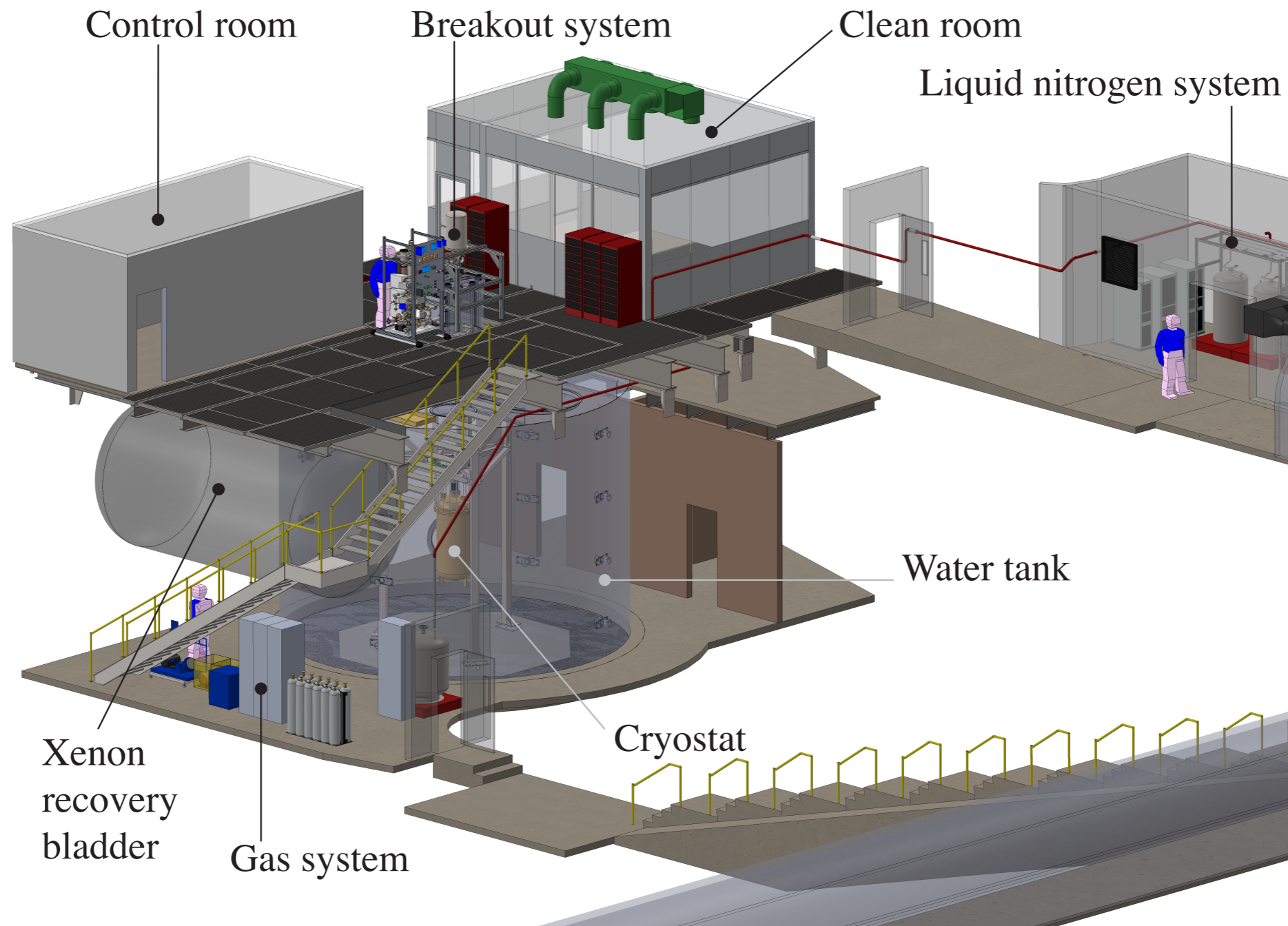
# LUX at SURF



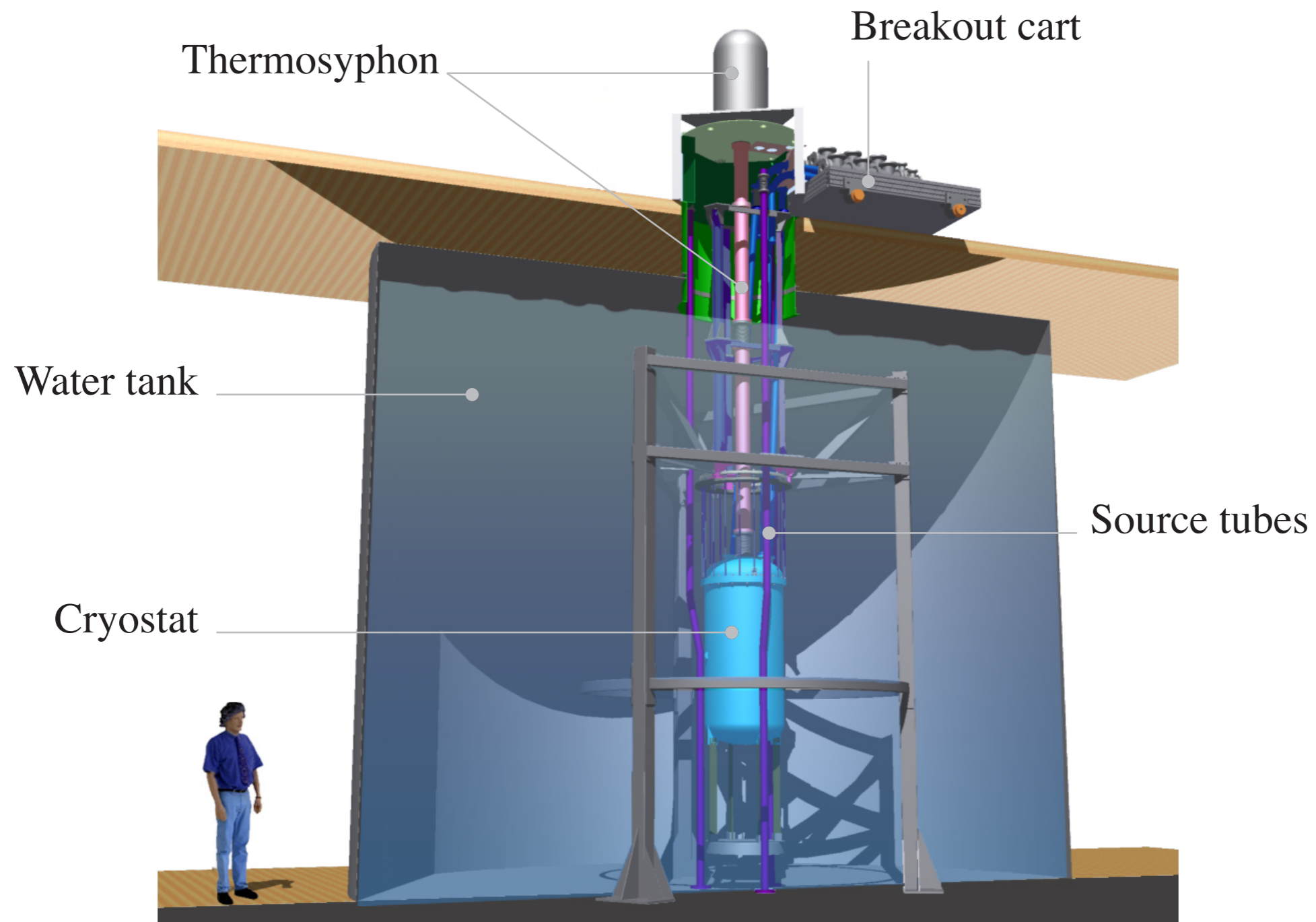
Muon flux at 4850' level reduced by  $10^7$  relative to the surface  
 $55.2 m^{-2} s^{-1} \rightarrow 1 \times 10^{-5} m^{-2} s^{-1}$



# LUX in the Davis Campus

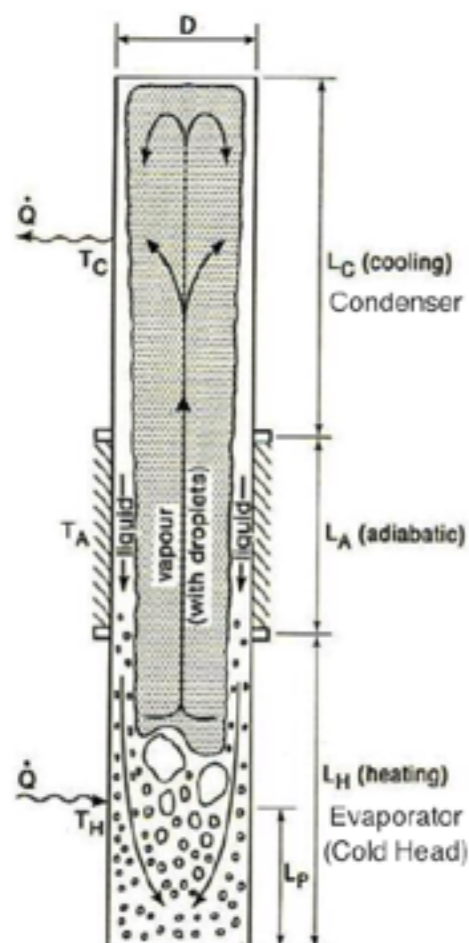


# LUX Detector



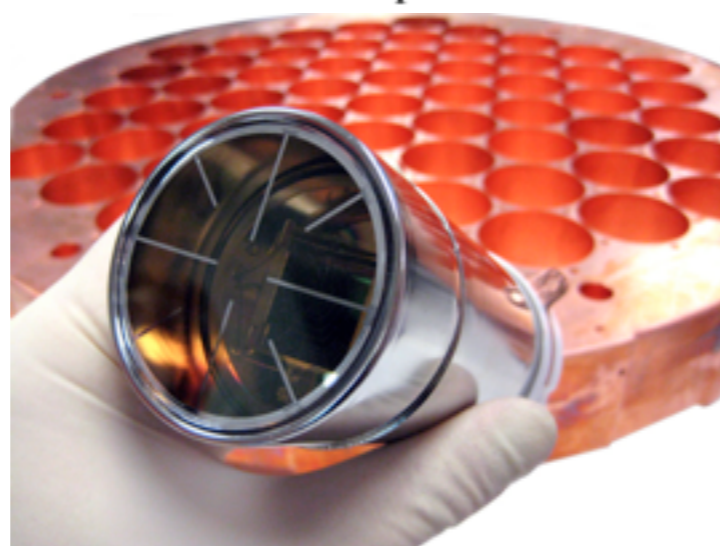
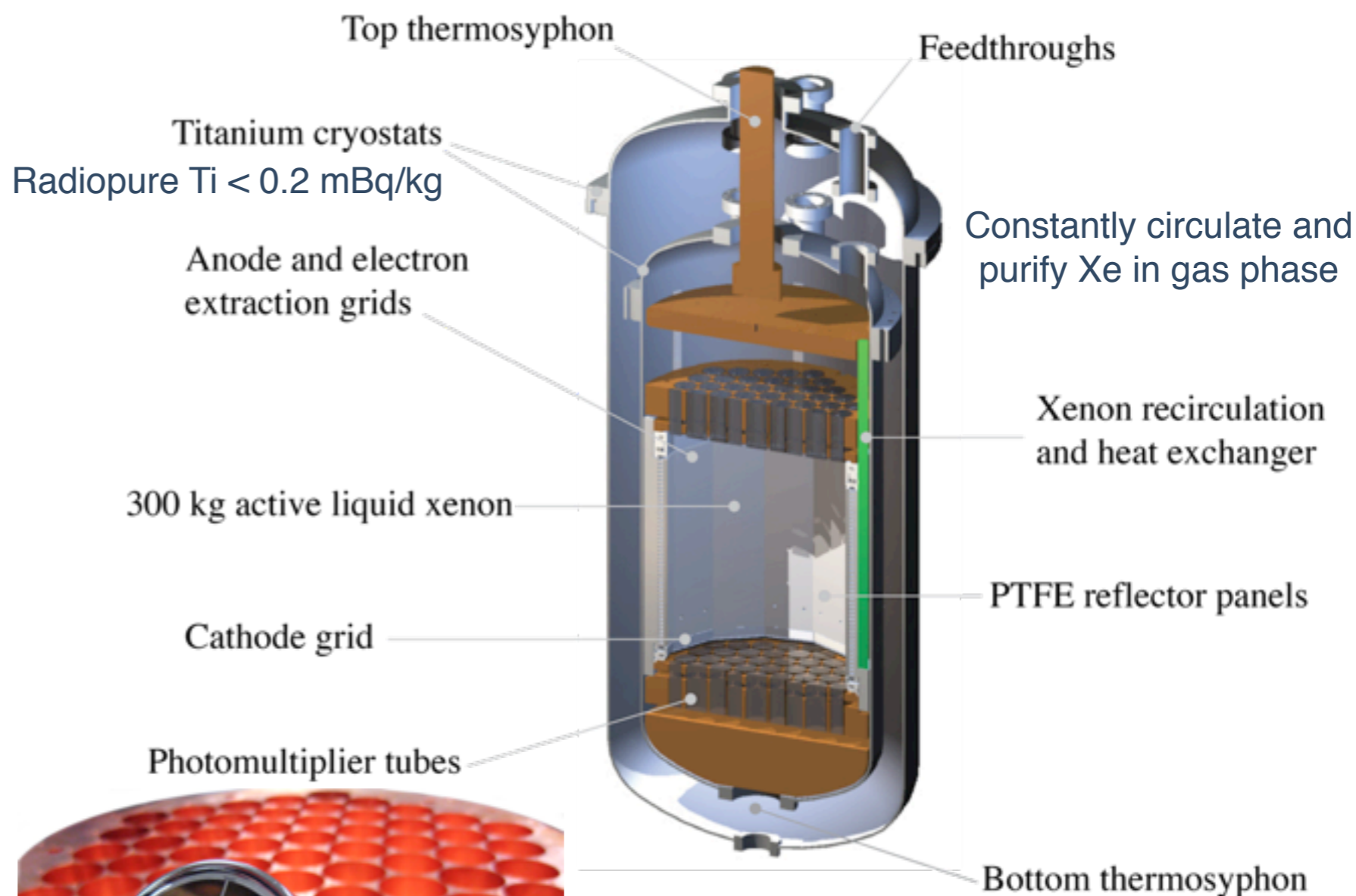


# Cryostat

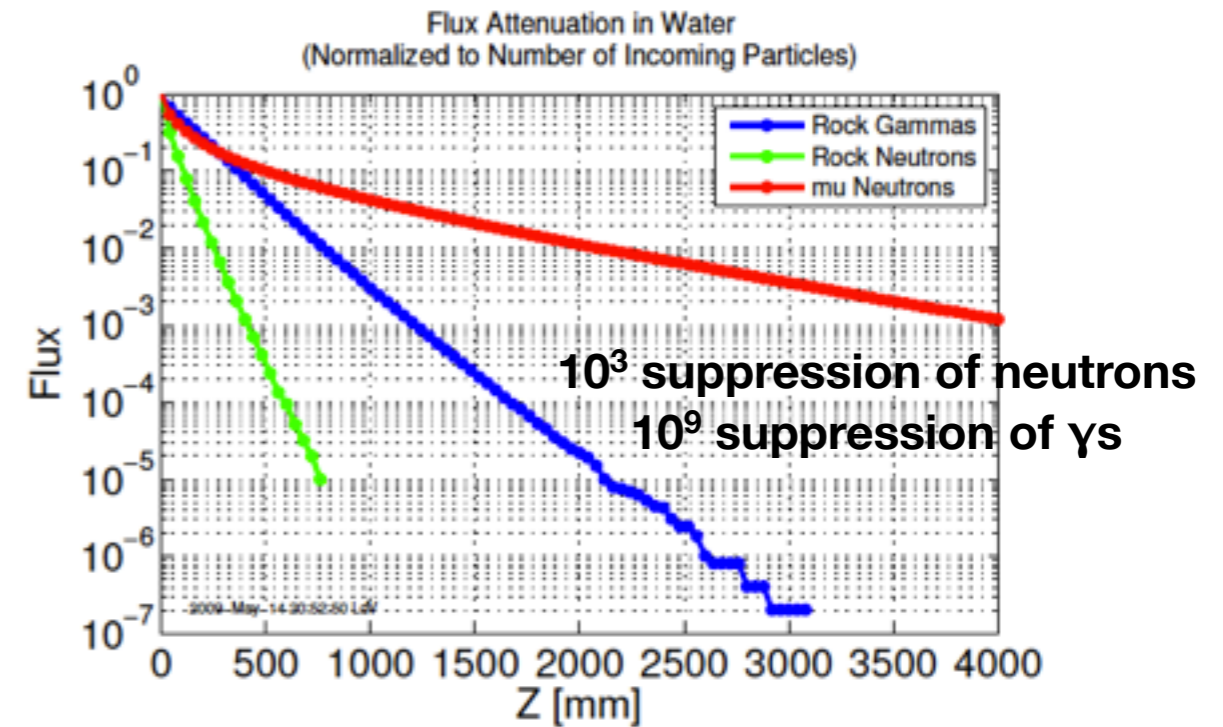


Use thermosyphons for efficient, passive cooling

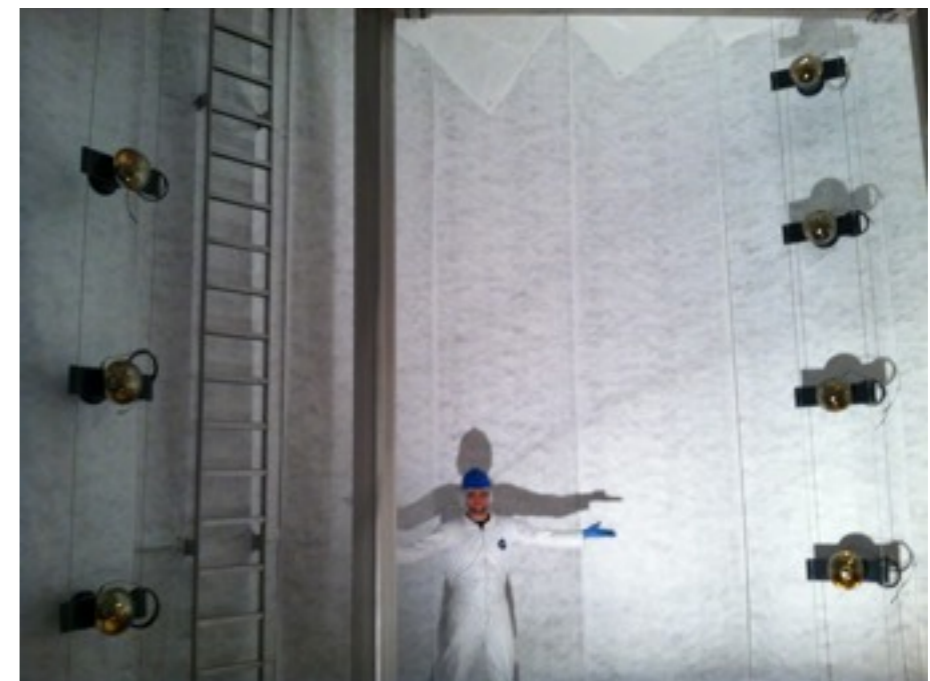
Hamamatsu R8778,  
12 stage 2.2" PMTs  
(61 top/61 bottom)



# Water Shield

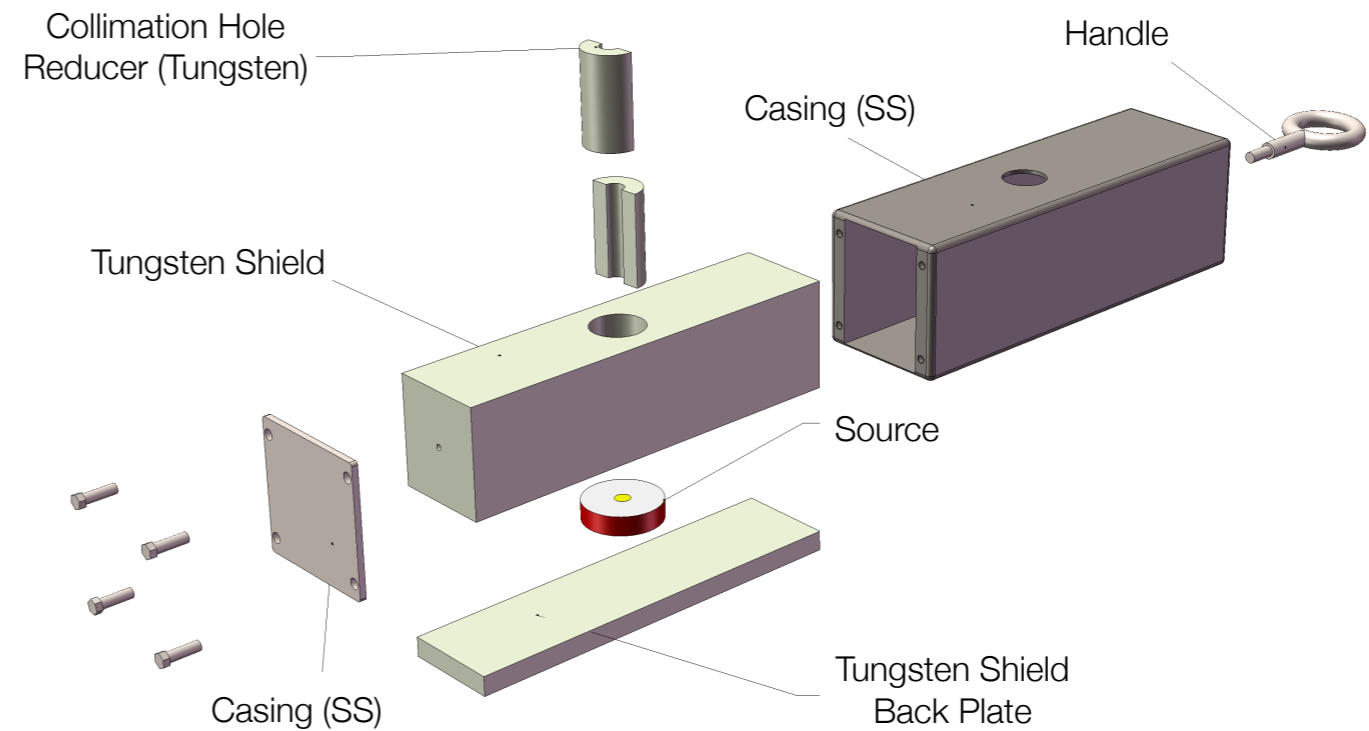
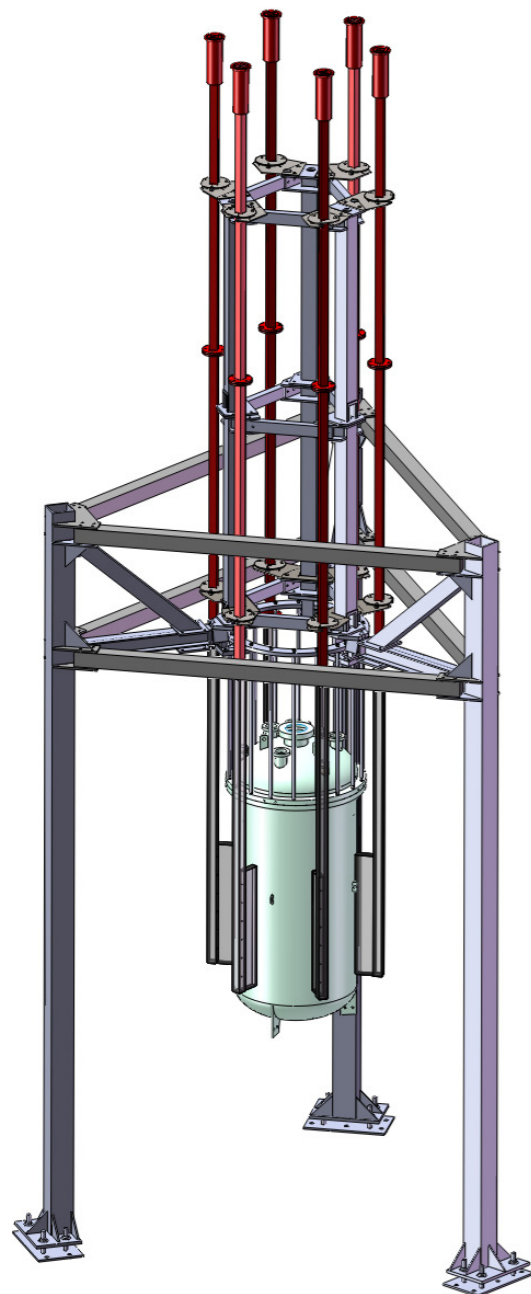


Instrumented with 20 Hamamatsu R7081 10" diameter PMTs for veto of coincident NR candidates





# Detector calibration



External sources:

$^{241}\text{AmBe}$ ,  $^{252}\text{Cf}$

Internal sources:

$^{83\text{m}}\text{Kr}$ ,  $^3\text{H}$

Due to self-shielding of LXe, internal sources preferable!

# LUX - A TPC at heart

Read out light signals, corresponding to both initial scintillation (S1) and electroluminescence (S2)

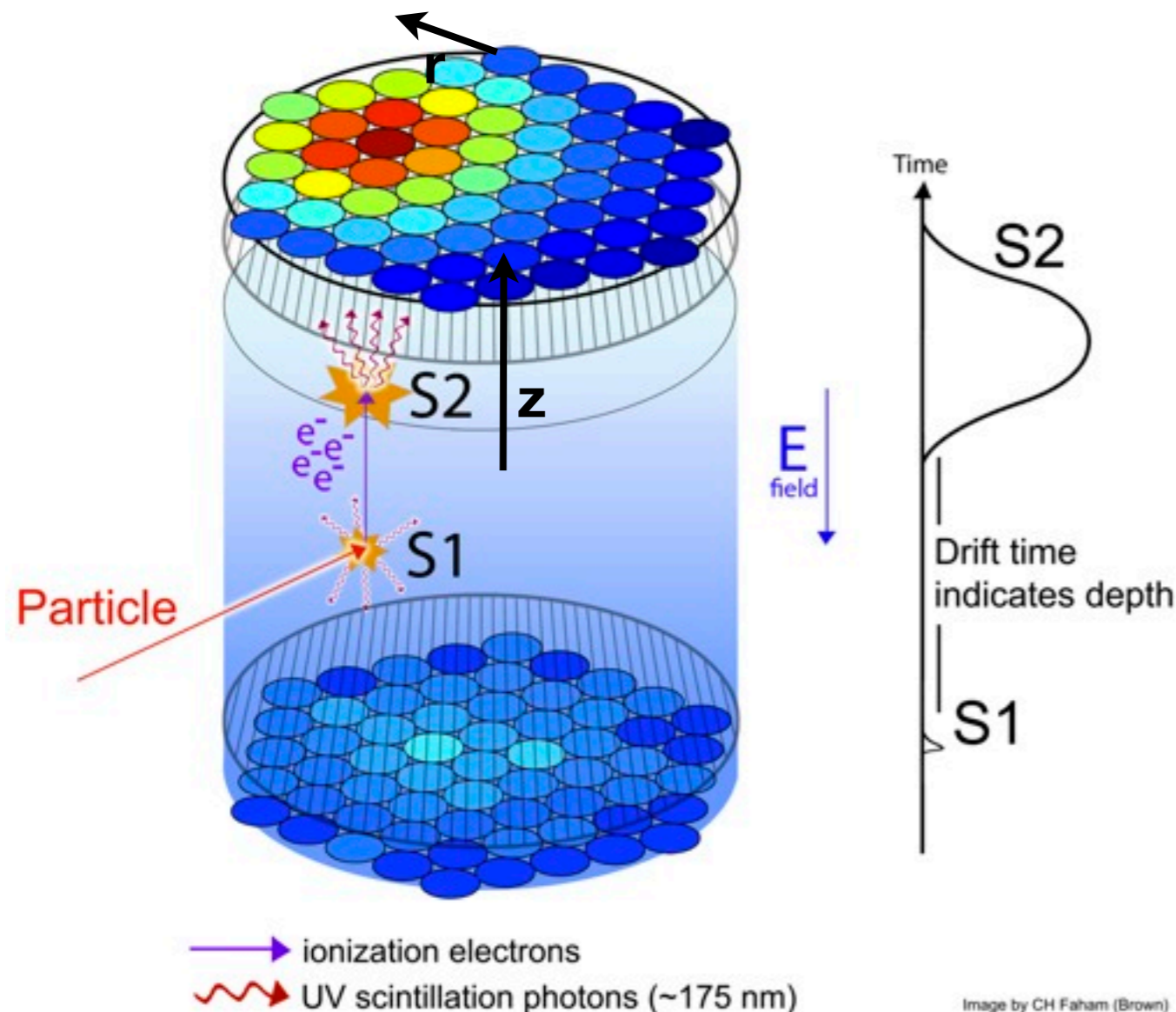
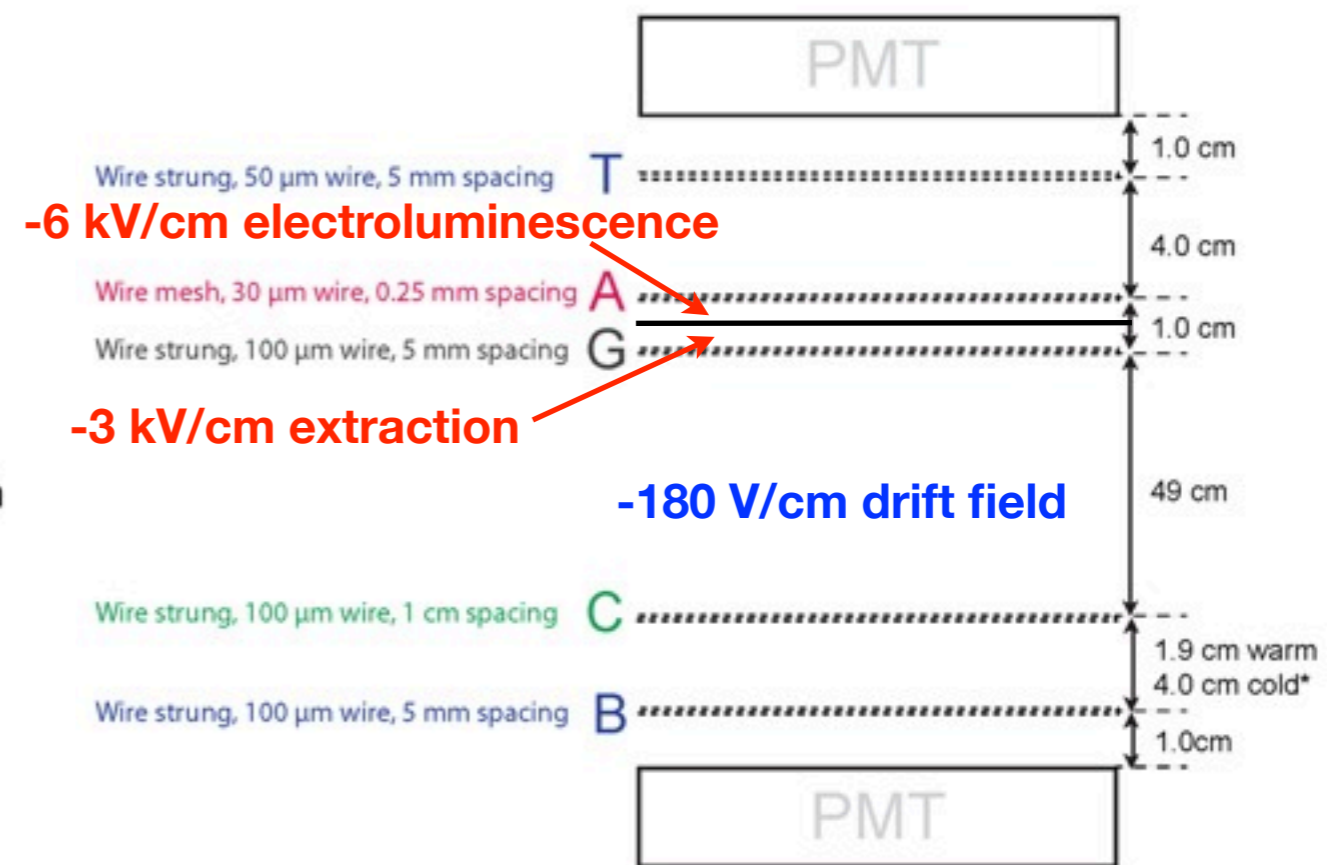


Image by CH Faham (Brown)

The LUX Detector Grid Configuration



There are 47 field-shaping rings between G and C, spaced 1 cm apart.

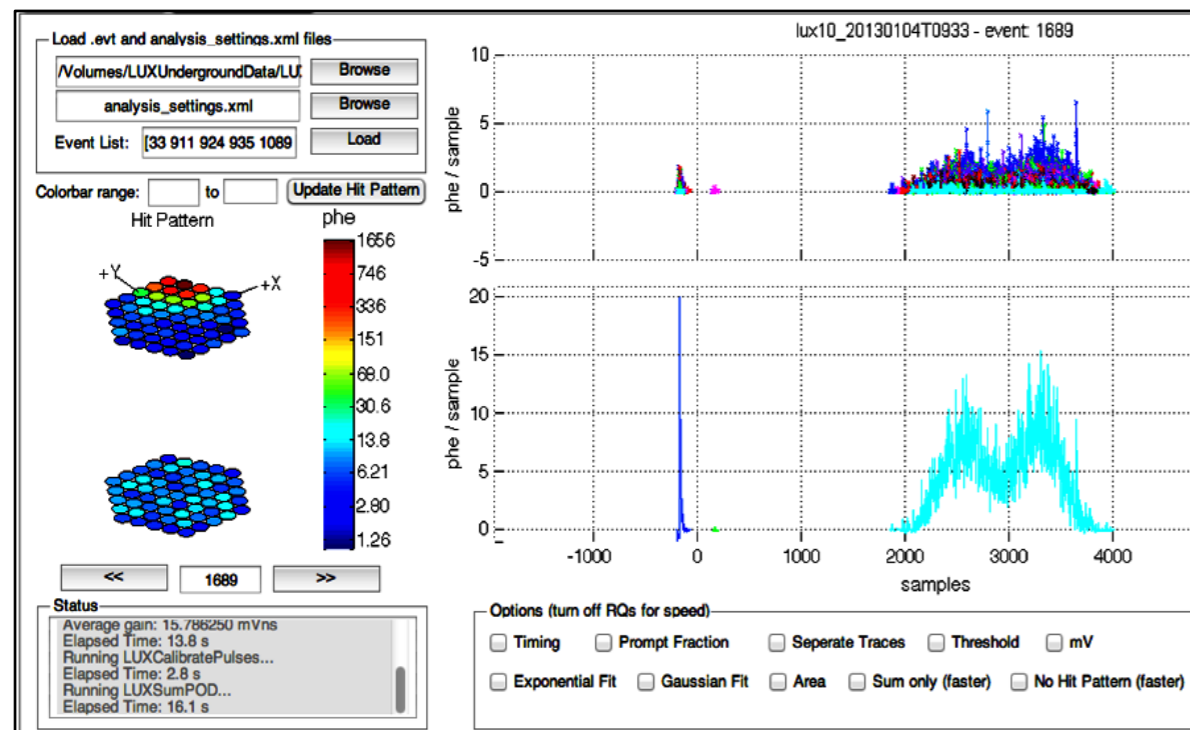




WIMP search data

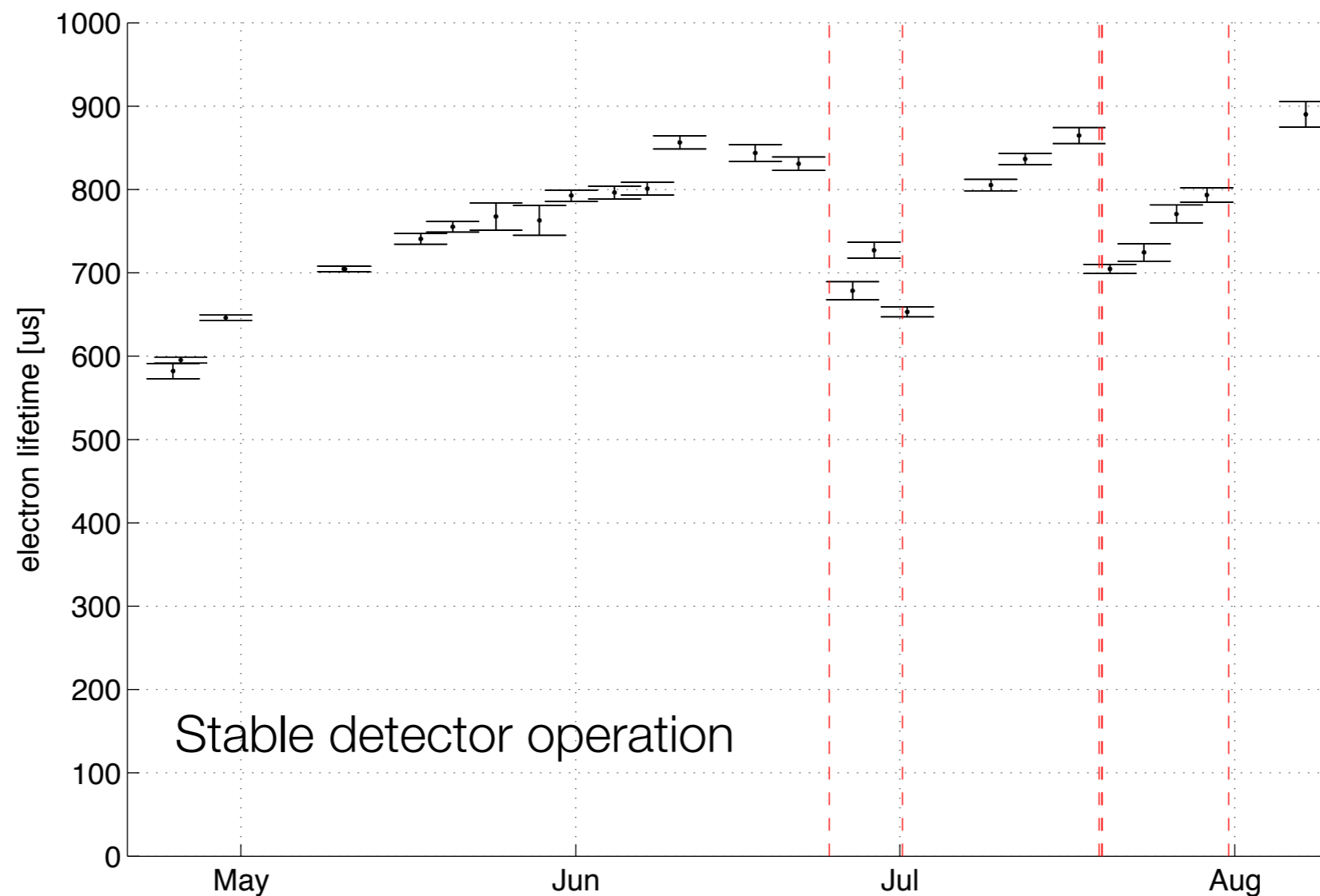
# Underground operation since January, 2013

$^{83m}\text{Kr}$  gas events injected into gas circulation line,  
1.8 hr half life

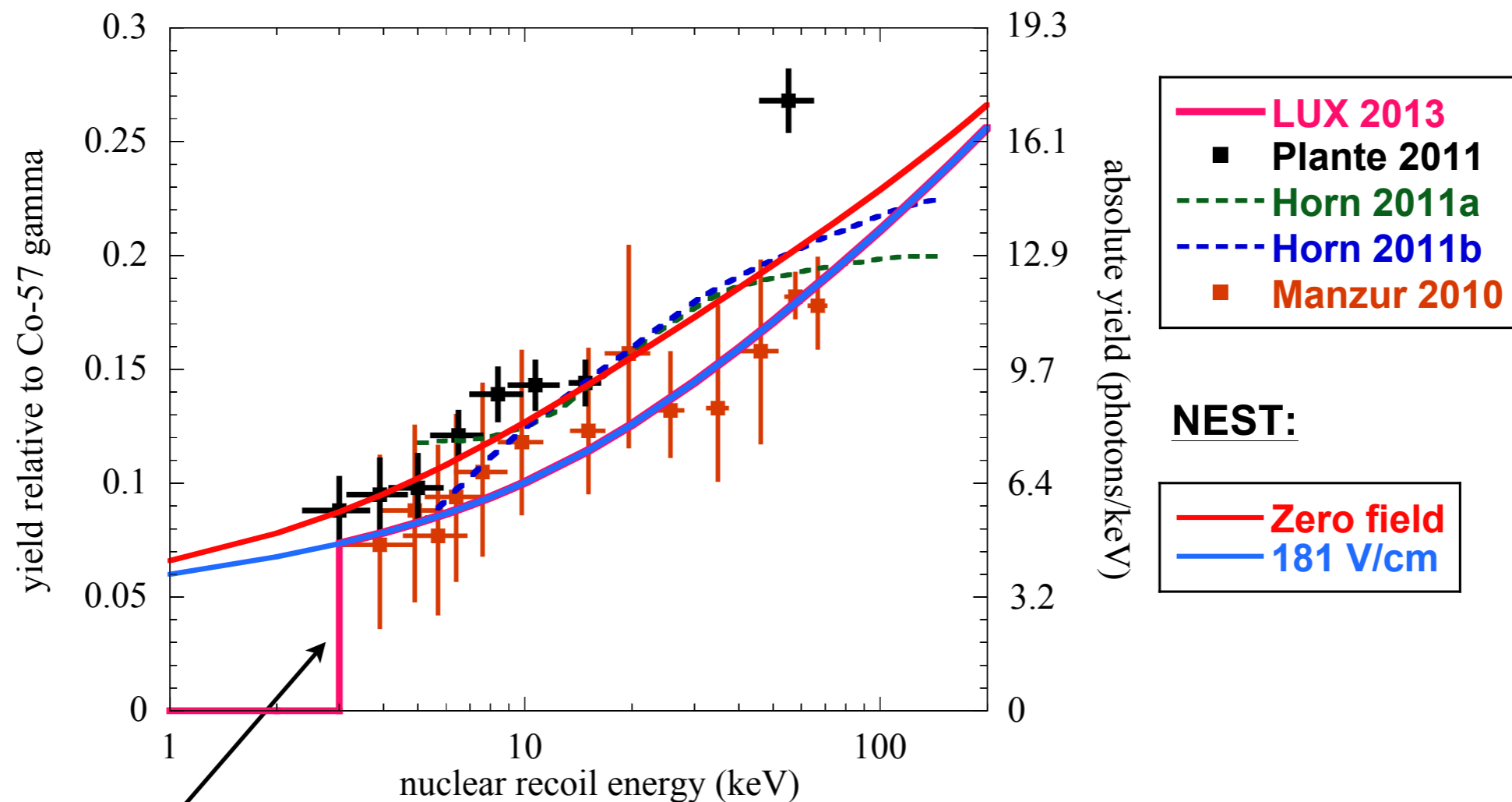


# Data collection

Data-taking April 21 - August 8, 2013, 85 live days  
 Non-blind analysis!



# Light and charge yields

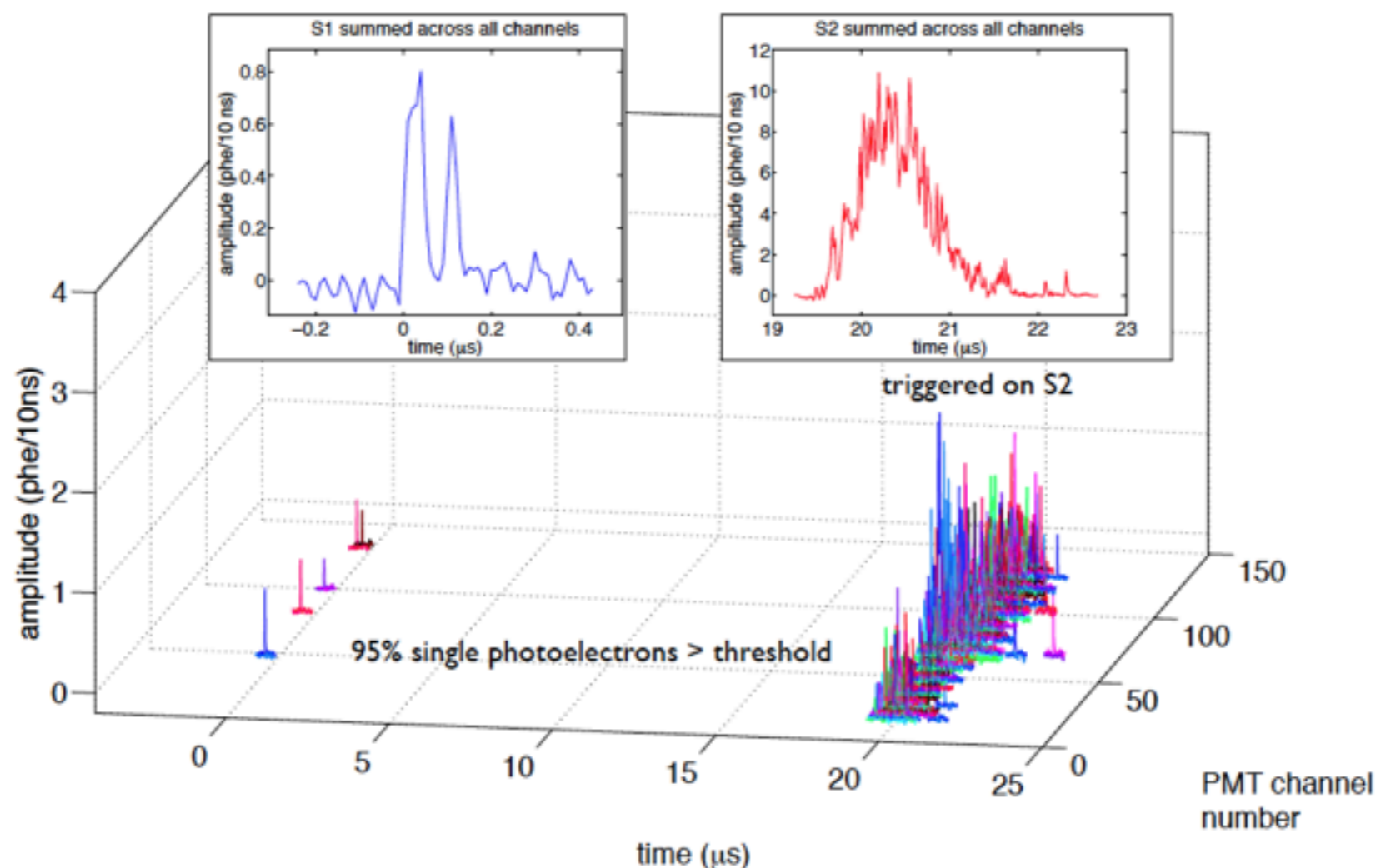


“Chicago” cut ☺  
 ⇒ set hard threshold at 3 keVnr  
 Very conservative!

Photon detection efficiency: 0.14  
 Charge yield: 26 phe/e<sup>-</sup>



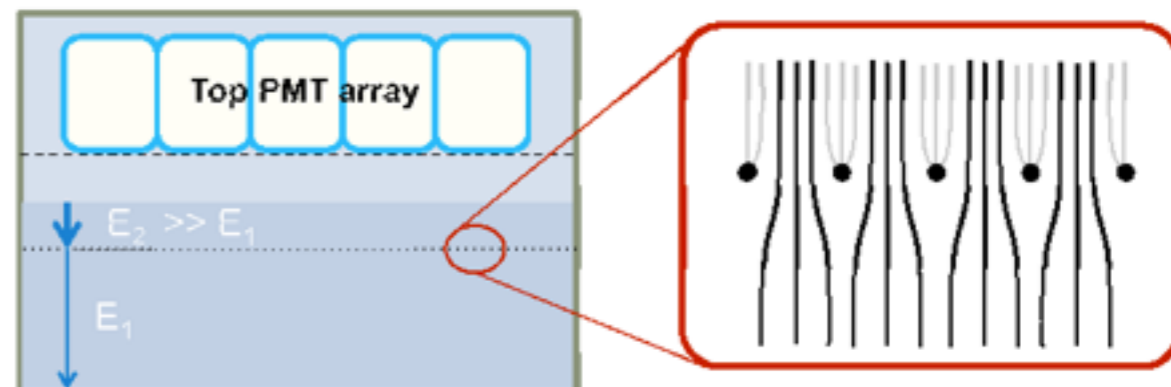
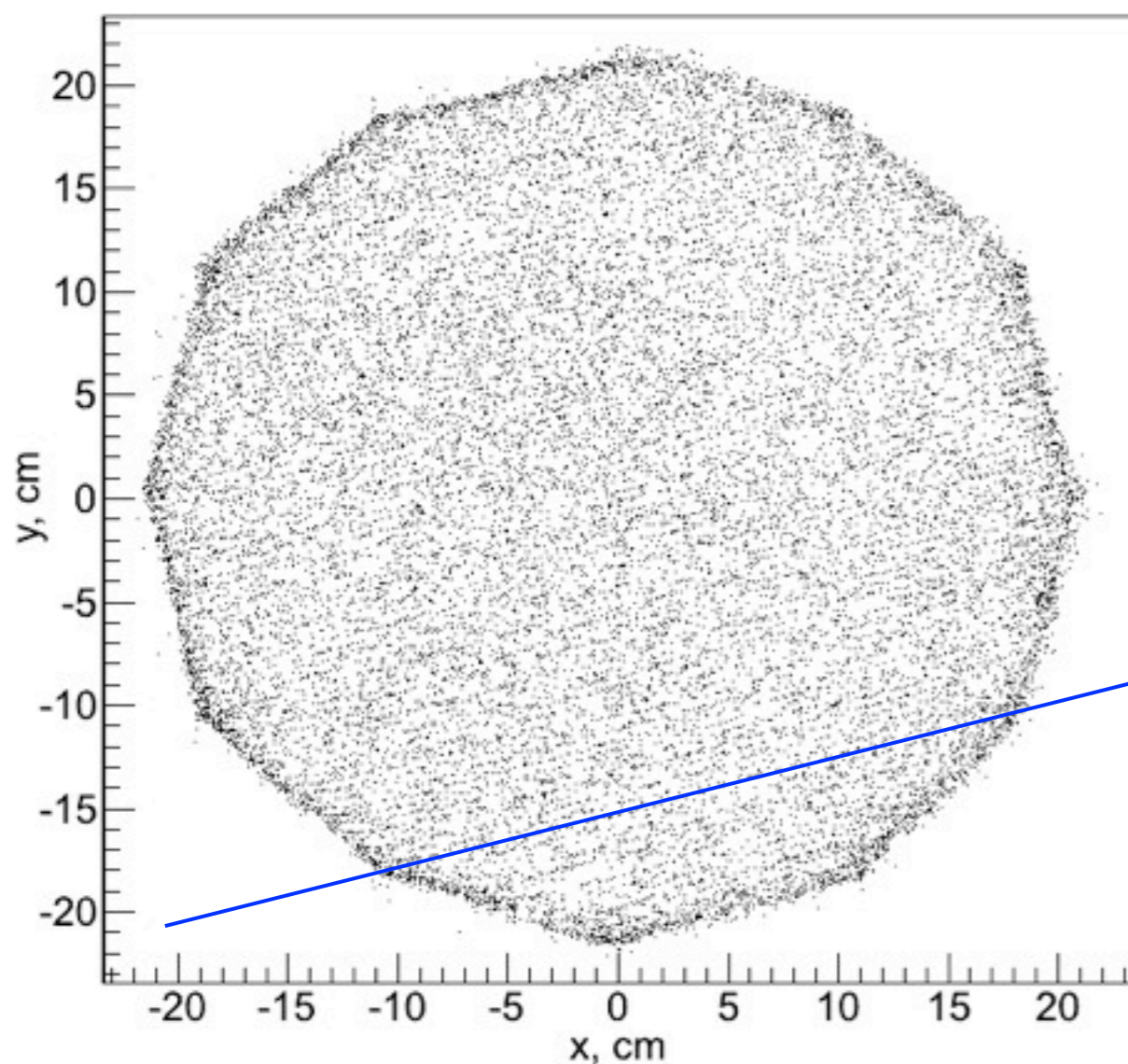
# Event selection



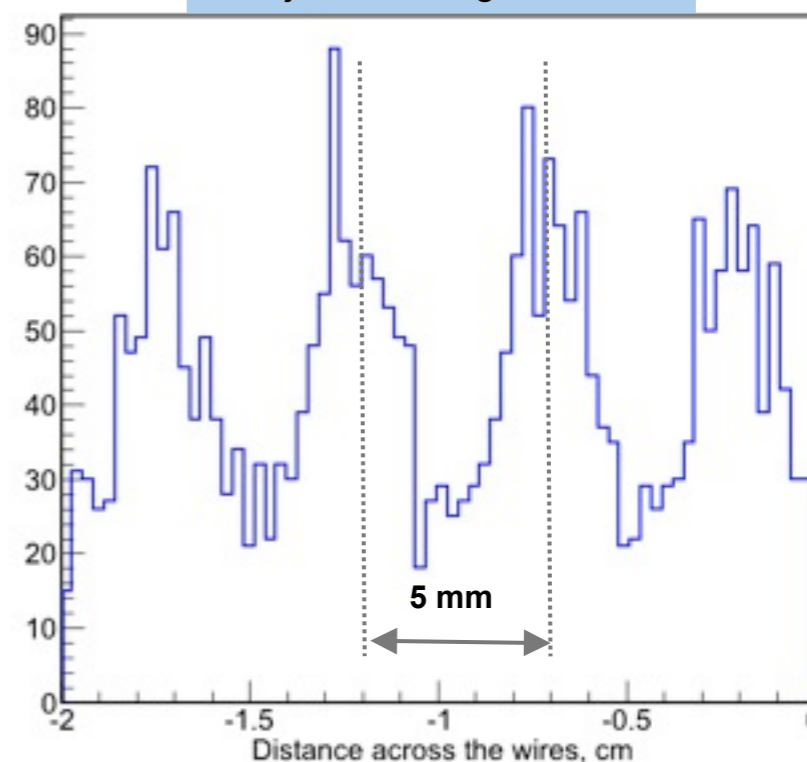
Requirements for WIMP search candidate events  
 S2 trigger (at least 2 trigger ch.  $\geq 8$  phe within 2  $\mu$ s)  
 2 phe (2-fold coincidence)  $\leq$  S1  $\leq$  30 phe  
 200 phe (8 e-)  $\leq$  S2  $\leq$  3300 phe  
 total area of other pulses in the event  $<$  100 phe

# Position reconstruction

Resolve gate wire grid for high energy events

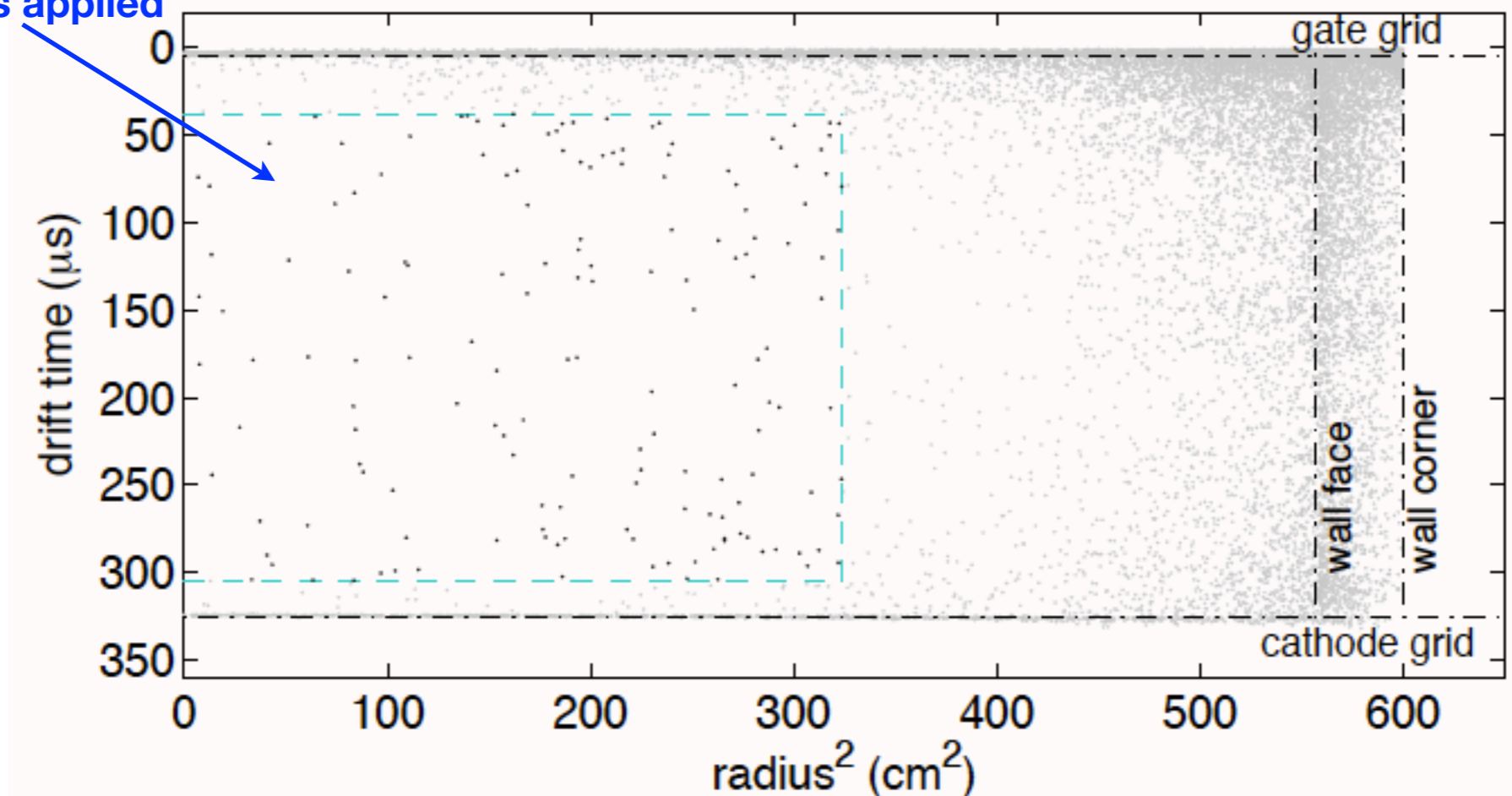


Projection along the wires



# Fiducial volume

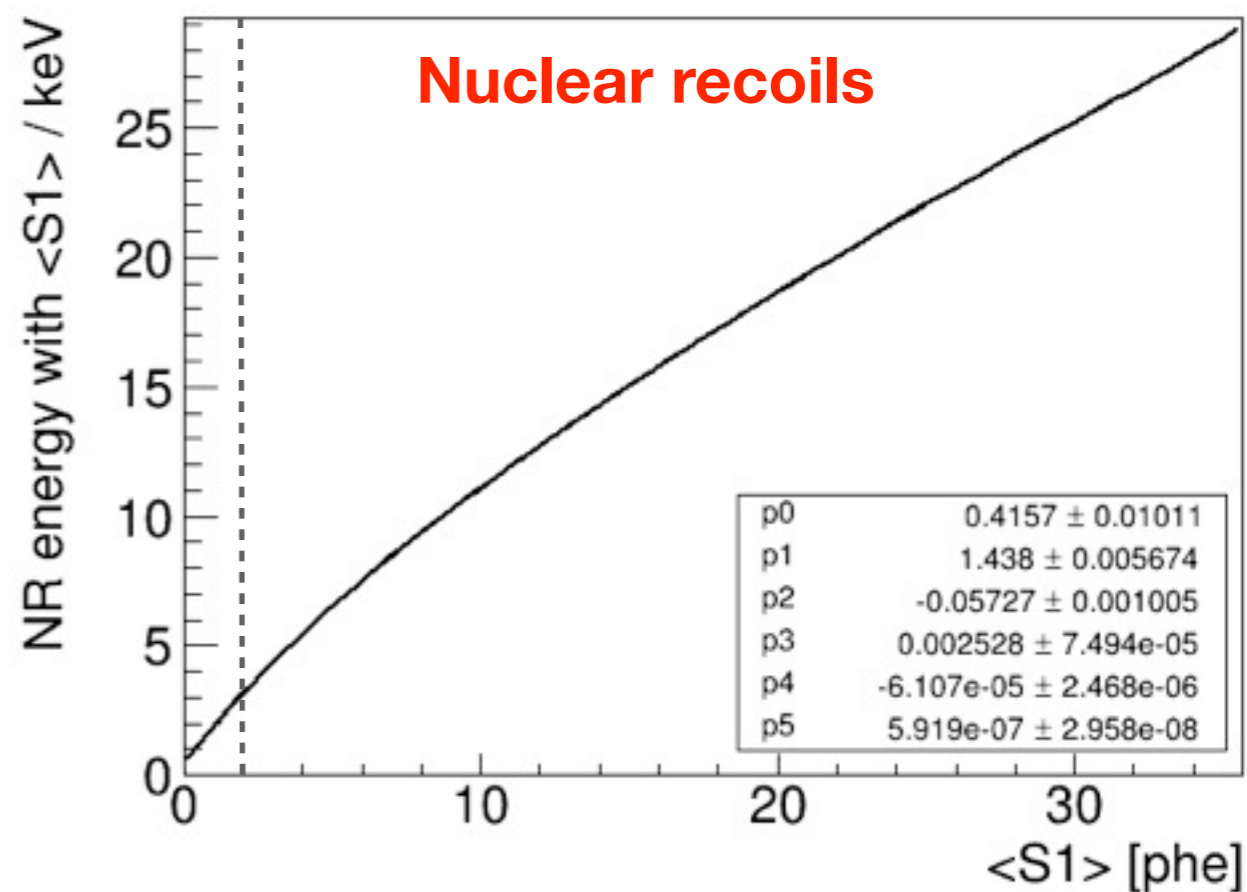
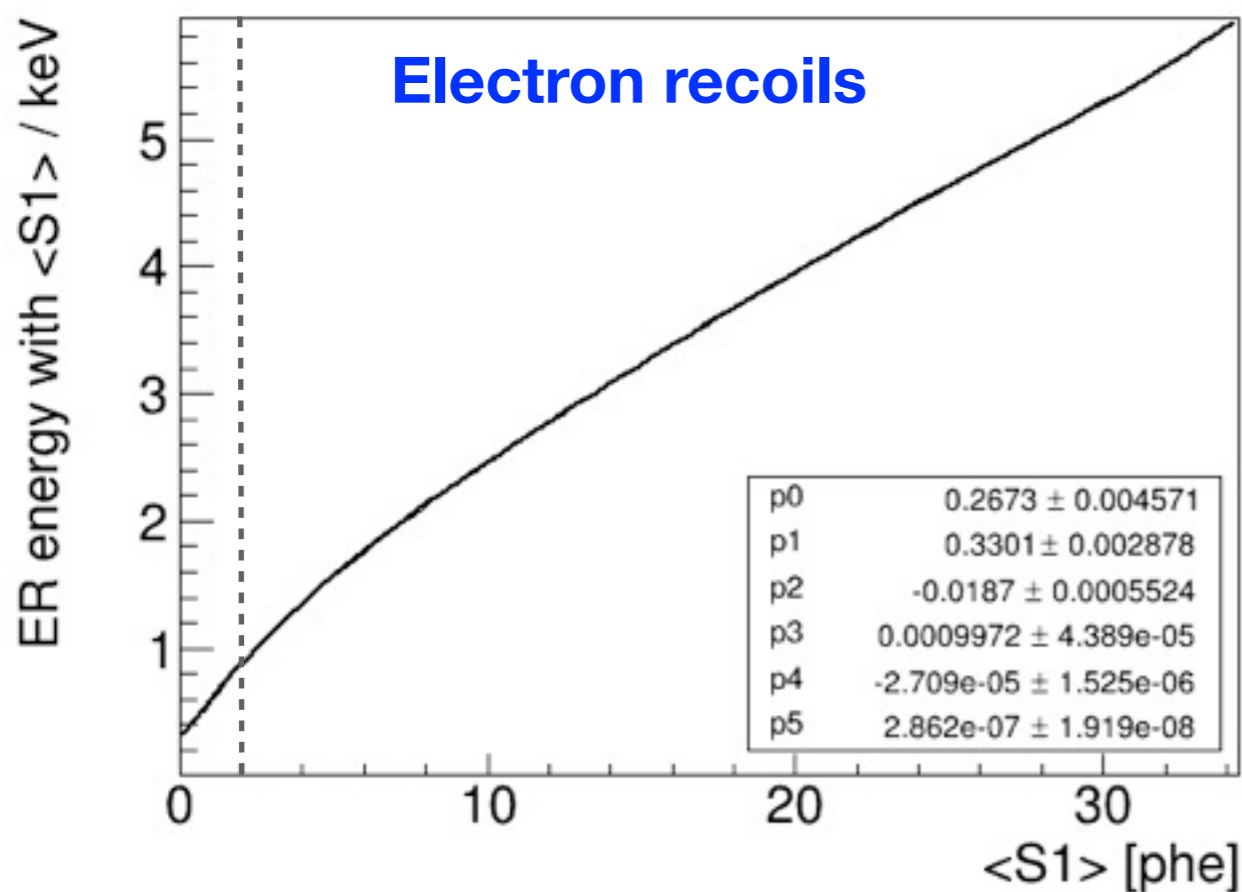
160 candidate events after  
all selection reqs applied



Define fiducial volume  $r < 18$  cm,  $7 < z < 47$  cm,  
corresponding to 118 kg fiducial mass

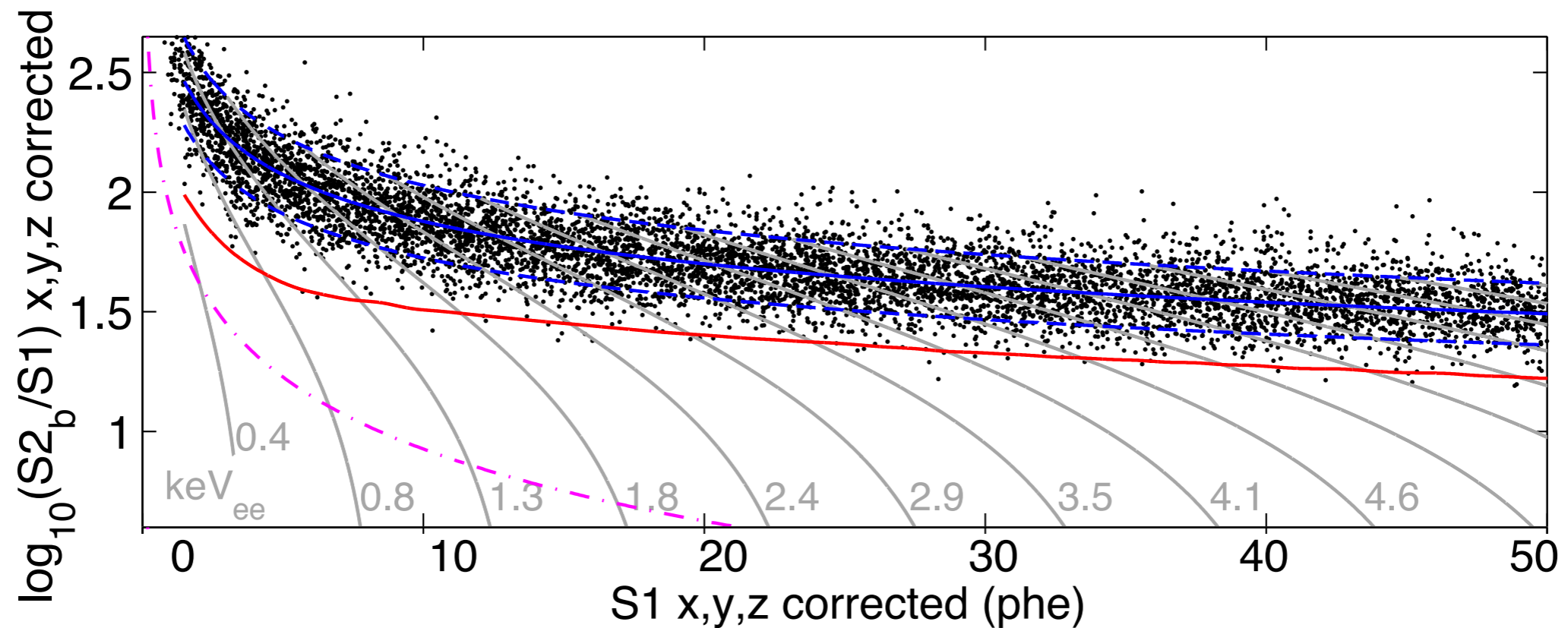
# Use S1 signal as energy scale

Parameterize ER and NR energies as a function of S1 size using NEST





# Tritium calibration

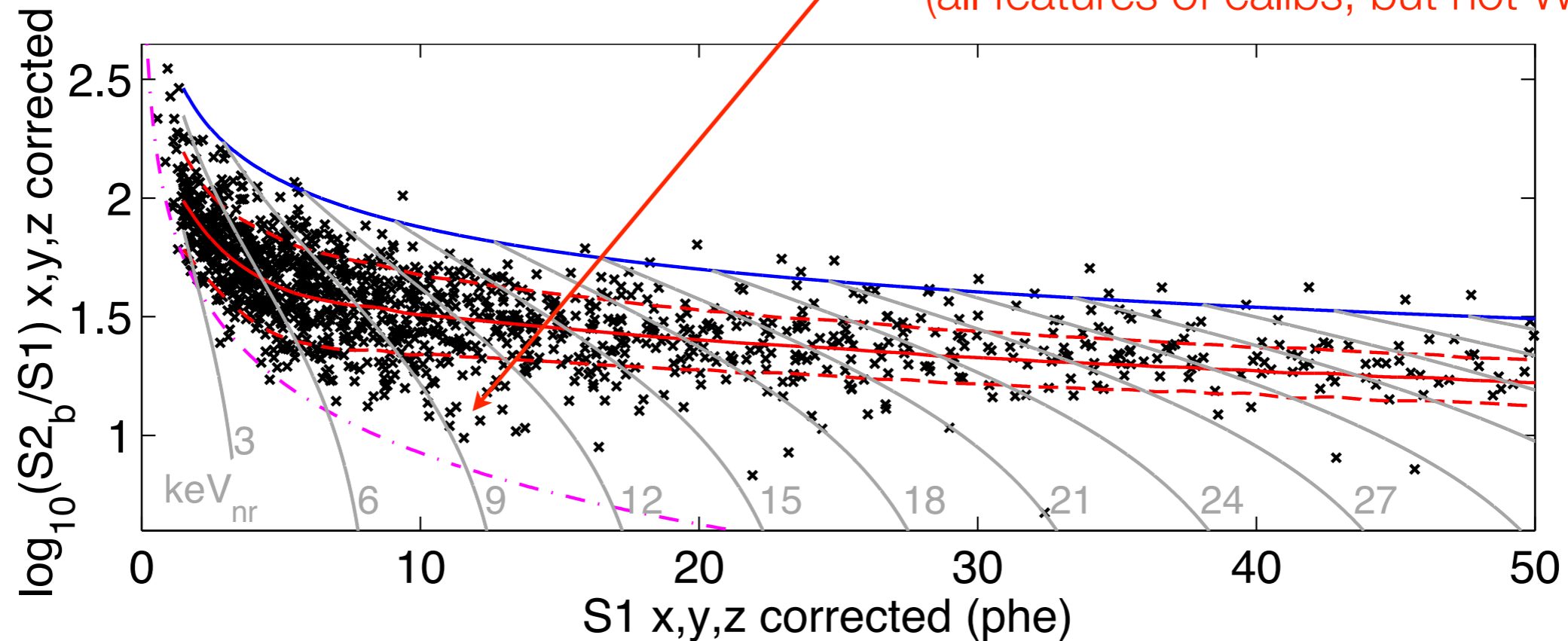


Parametrize as Gaussian, with power laws  
for mean and sigma

$$\begin{aligned}\mu_i(S1) &= A_m S1^{B_m} \\ \sigma_i(S1) &= A_s S1^{B_s} - A_m S1_m^B\end{aligned}$$

# $^{241}\text{AmBe}$ & $^{252}\text{Cf}$ calibration

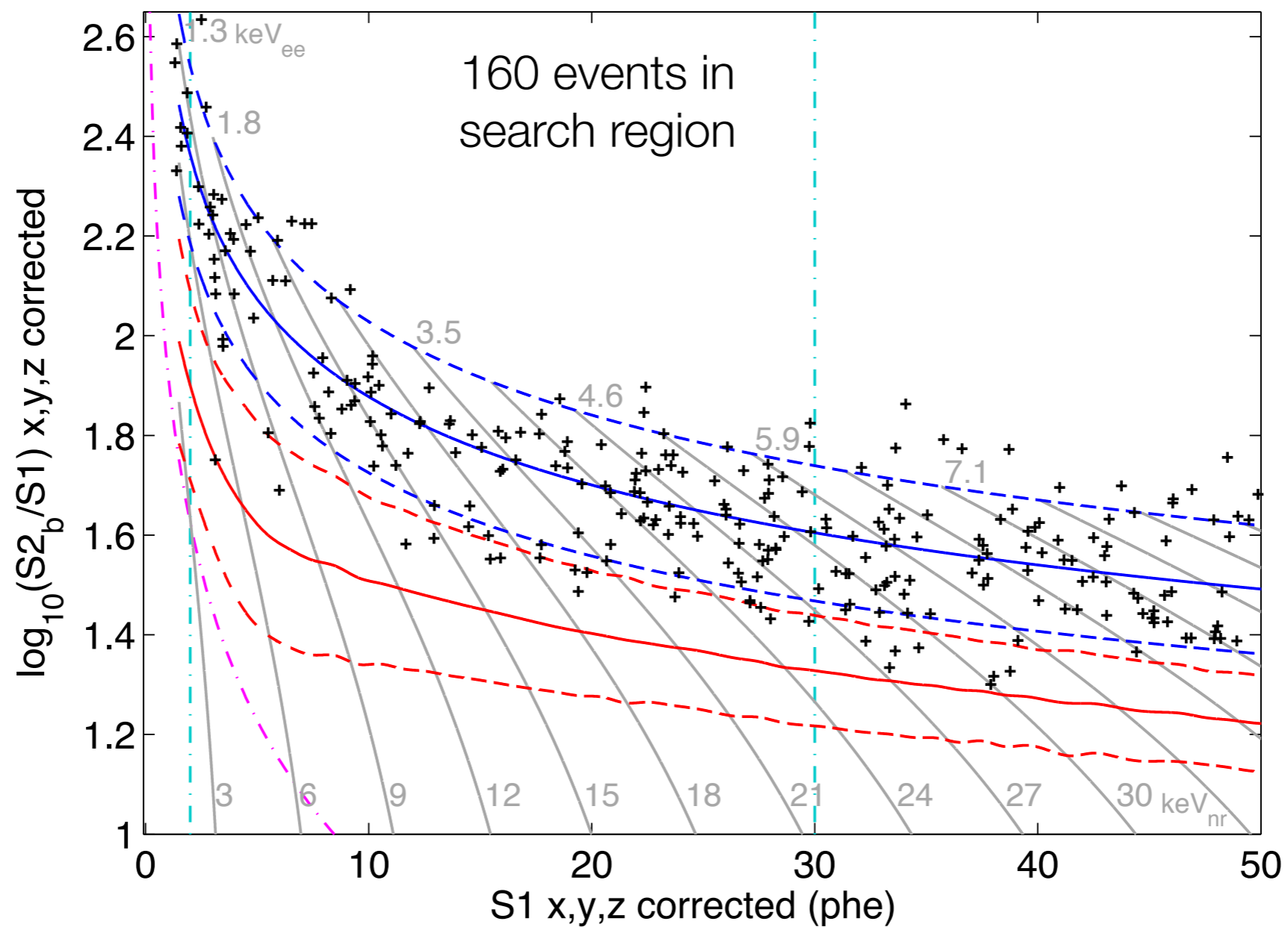
Events at low ( $S2_b/S1$ ) due to neutron+X and multiple scatters where S2 is below reconstruction threshold  
(all features of calibs, but not WIMPs!)



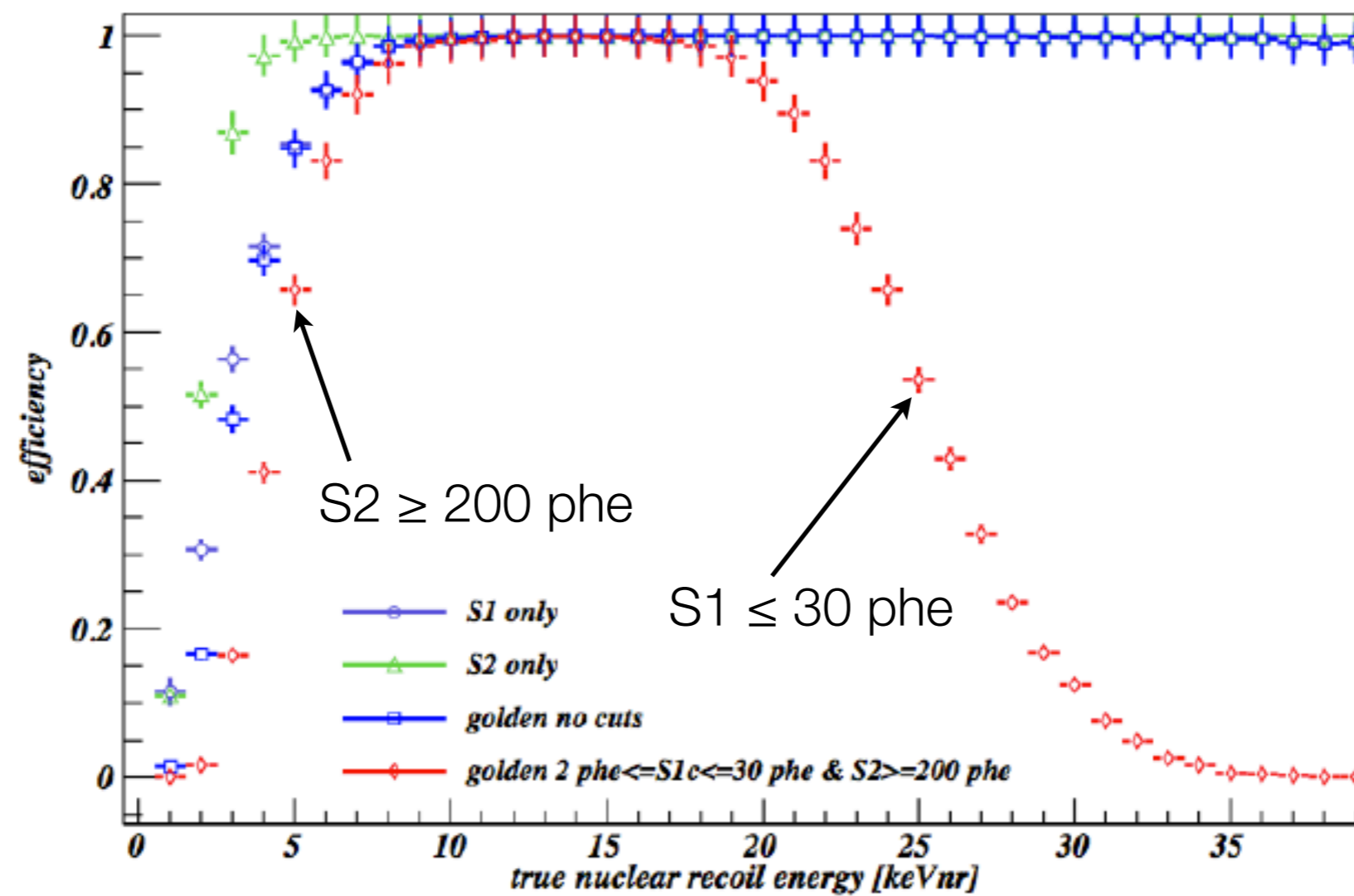
Parametrize as Gaussian, with power laws  
for mean and sigma

$$\begin{aligned}\mu_i(S1) &= A_m S1^{B_m} \\ \sigma_i(S1) &= A_s S1^{B_s} - A_m S1^{B_m}\end{aligned}$$

# WIMP search data

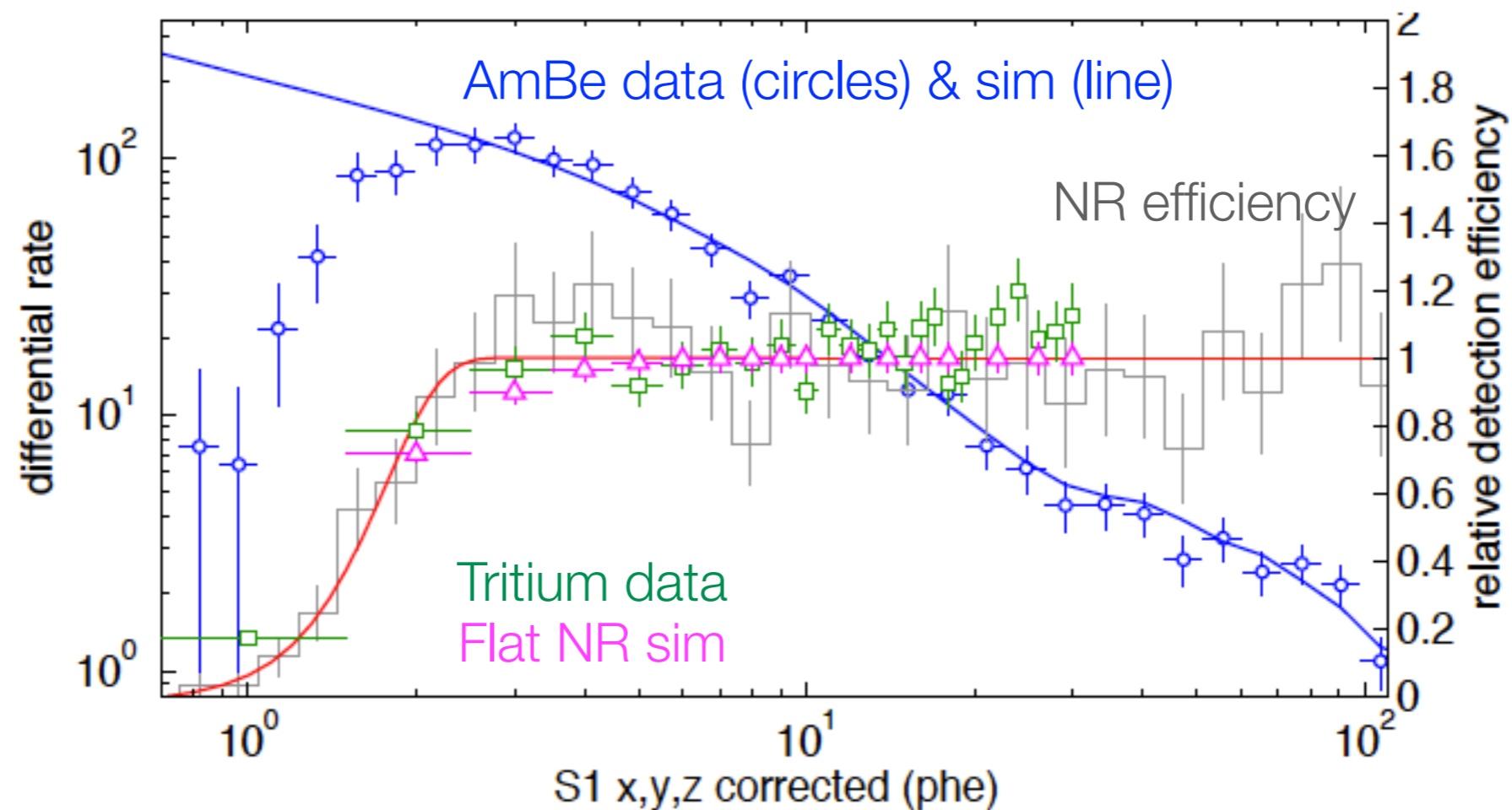


# Efficiency of pulse finding and selection





# Several independent estimates of total efficiency



We find good agreement between the data and simulation-estimated efficiencies.



# The Likelihood

# Use an extended likelihood

$$\mathcal{L}_{WS} = \frac{e^{-N_s - N_{Compt} - N_{Xe-127} - N_{Rn222}}}{\mathcal{N}!} \prod_{i=1}^{\mathcal{N}} N_s P_s(\mathbf{x}; \boldsymbol{\sigma}, \boldsymbol{\theta}_s) + N_{Compt} P_{ER}(\mathbf{x}; \boldsymbol{\theta}_{Compt}) + N_{Xe-127} P_{ER}(\mathbf{x}; \boldsymbol{\theta}_{Xe-127}) + N_{Rn} P_{ER}(\mathbf{x}; \boldsymbol{\theta}_{Rn})$$

Observables:  $\mathbf{x} = (S1, \log_{10}(S2/S1), r, z)$

Energy  $\rightarrow$   $S1$

Discriminant between ER/NR  $\rightarrow$   $\log_{10}(S2/S1)$

Discriminant against external/internal radiation  $\rightarrow$   $z$

Parameter of interest:  $N_s$

Nuisance parameters:  $N_{Compt}, N_{Xe-127}, N_{Rn/Kr-85}$

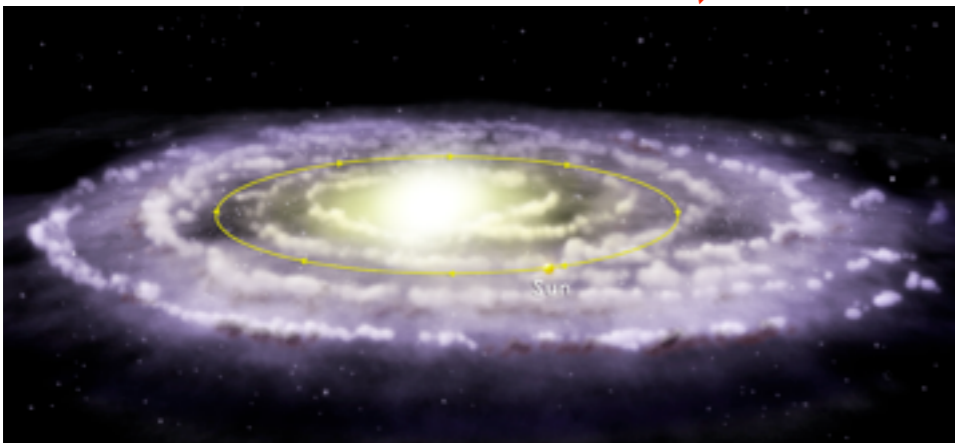
Gaussian constrain to within 30% of the predicted rates

# Predicted WIMP scattering

C. McCabe, Phys. Rev. D, **82**, 023530 (2010)

$$\frac{dR}{dE_R} = N_T \frac{\rho_\chi}{M_\chi} \int_{v_{min}}^{\infty} v f_\oplus(\vec{v}, \vec{v}_e) \frac{d\sigma}{dE_R} d^3v$$

Use standard isothermal halo model with cutoff



$$\frac{d\sigma}{dE_R} = \frac{1}{2v^2} \frac{M_N \sigma_n}{\mu_{ne}^2} \frac{(f_p Z + f_n (A - Z))^2}{f_n^2} F^2(E_R)$$

Use Helm form factor

$$F^2(E_R) = \left( \frac{3j_1(qR)}{qR} \right)^2 e^{-q^2 s^2}$$

$$R = \sqrt{r^2 - 5s^2}$$

Velocity of solar system in the galaxy:  $v_0 = 220$  km/s

Velocity of earth around the sun:  $v_E = 245$  km/s

Galactic escape velocity:  $v_{esc} = 544$  km/s

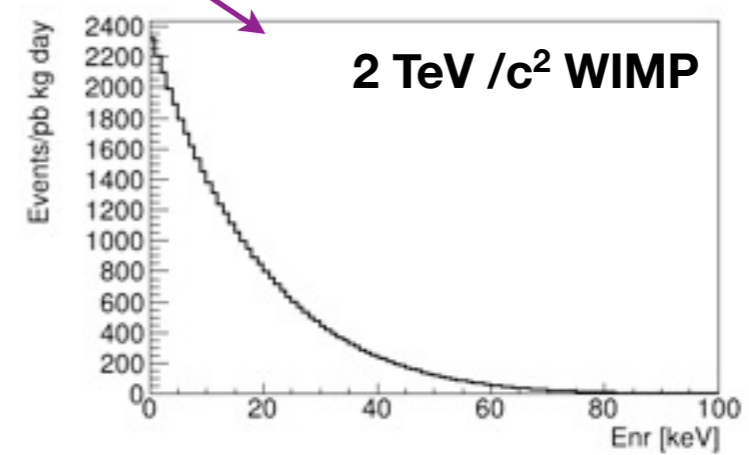
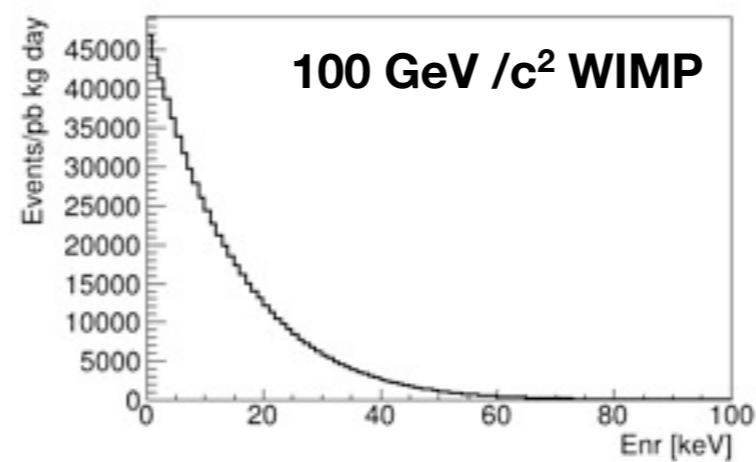
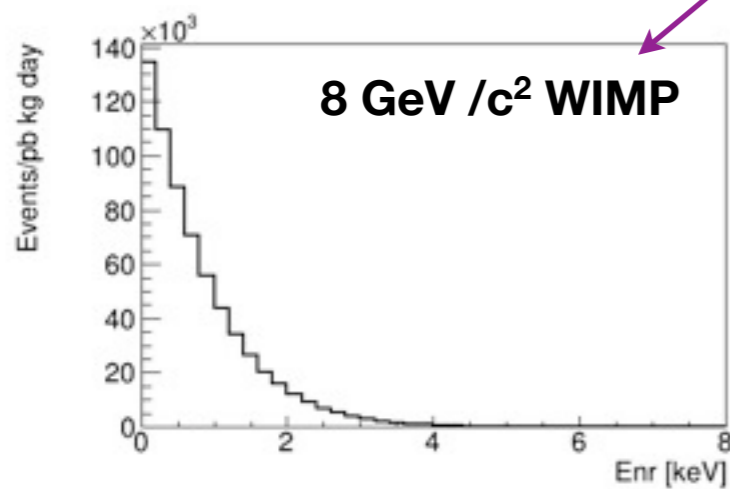
Local dark matter density:  $\rho = 0.3$  GeV/c<sup>2</sup>/cm<sup>3</sup>



# WIMP signal model

$$P_s(\log_{10}(S2/S1)|S1) P_s(E_{NR}(S1)) P_s(r) P_s(z)$$

$$P_s(E_{NR}(S1)) = \varepsilon(S1) \frac{dR}{dE_{NR}}(\sigma_0, m_{wimp}, \theta_{dm}) \frac{dE_{NR}}{dS1}$$

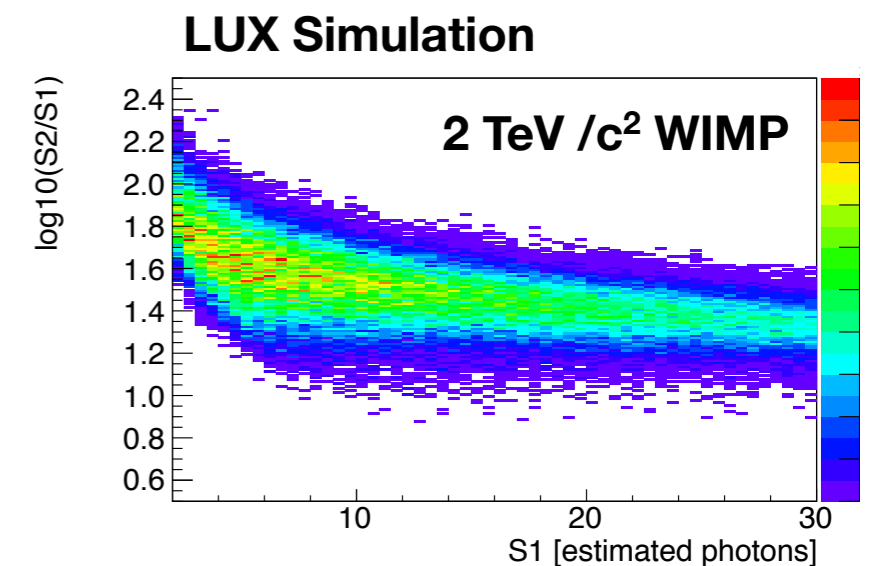
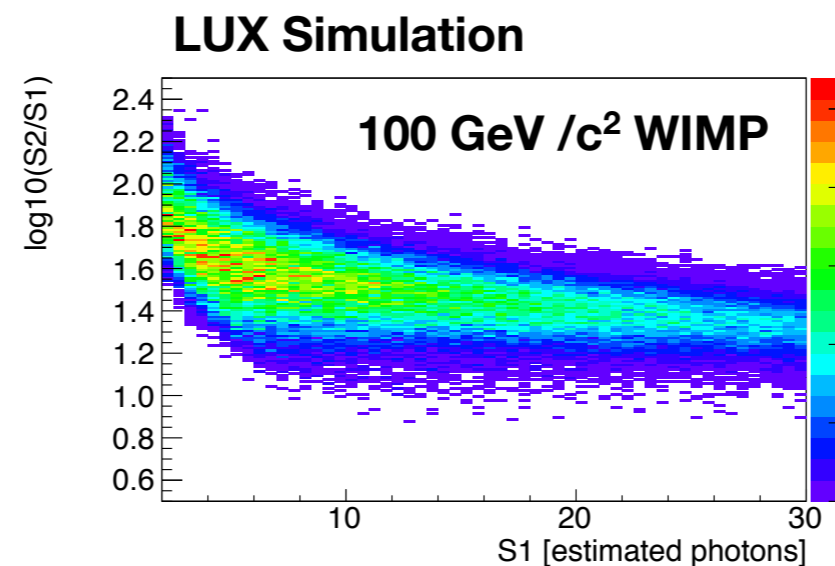
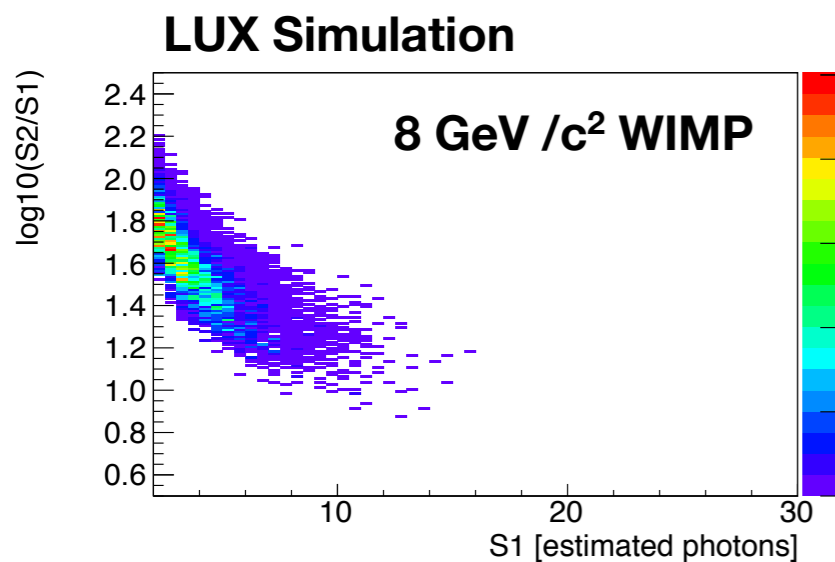


# Use simulation in final model of WIMP signal

$$P_s(\log_{10}(S2/S1)|S1) P_s(E_{NR}(S1)) P_s(r) P_s(z)$$

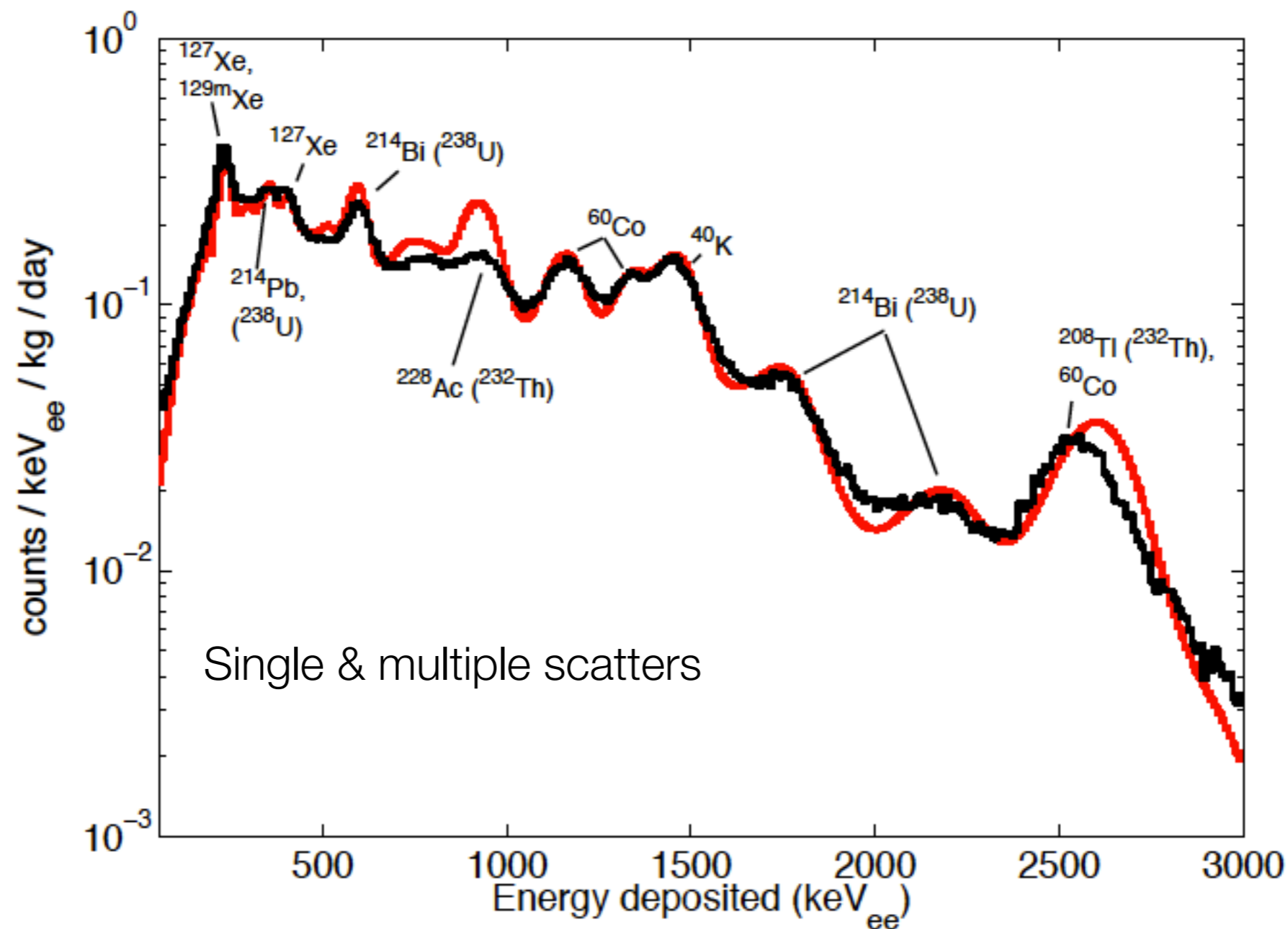
Model as uniform in  $(r^2, z)$

Use realistic simulation to model 2D pdf,  
includes resolution and efficiencies



# Radioactive materials background

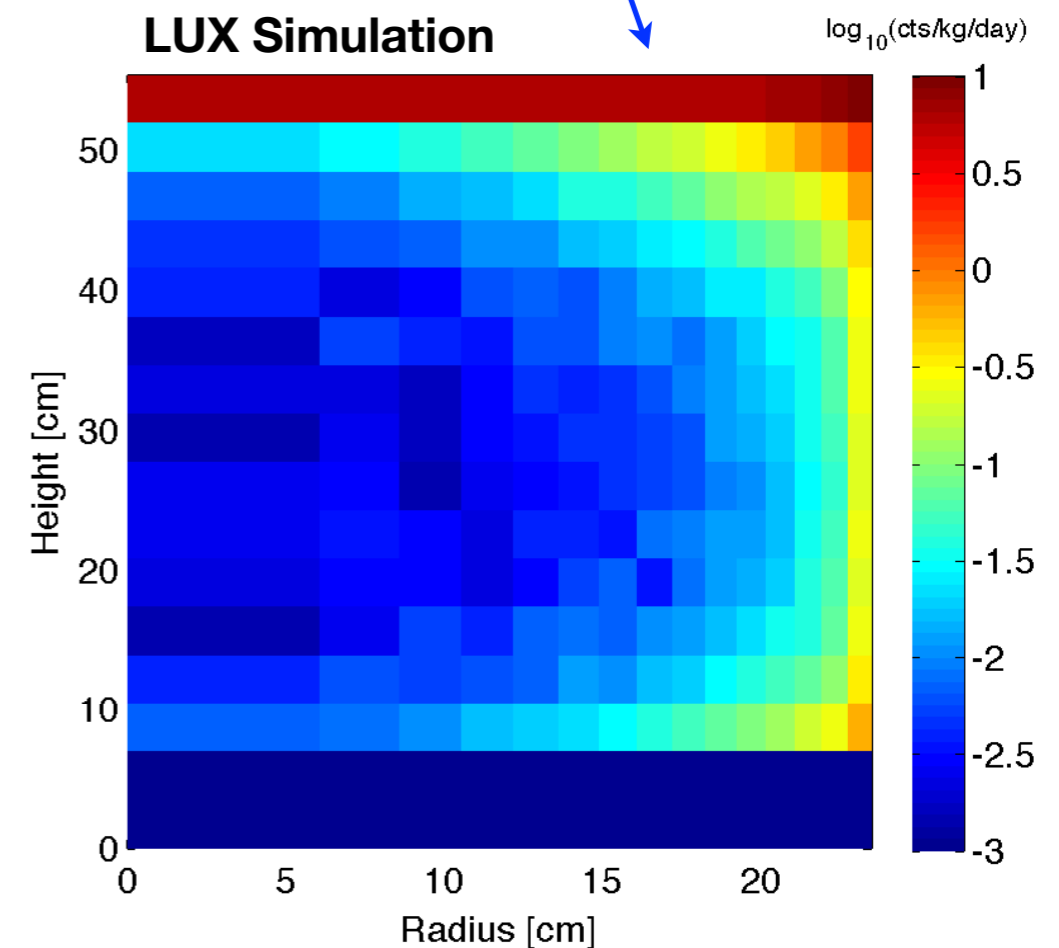
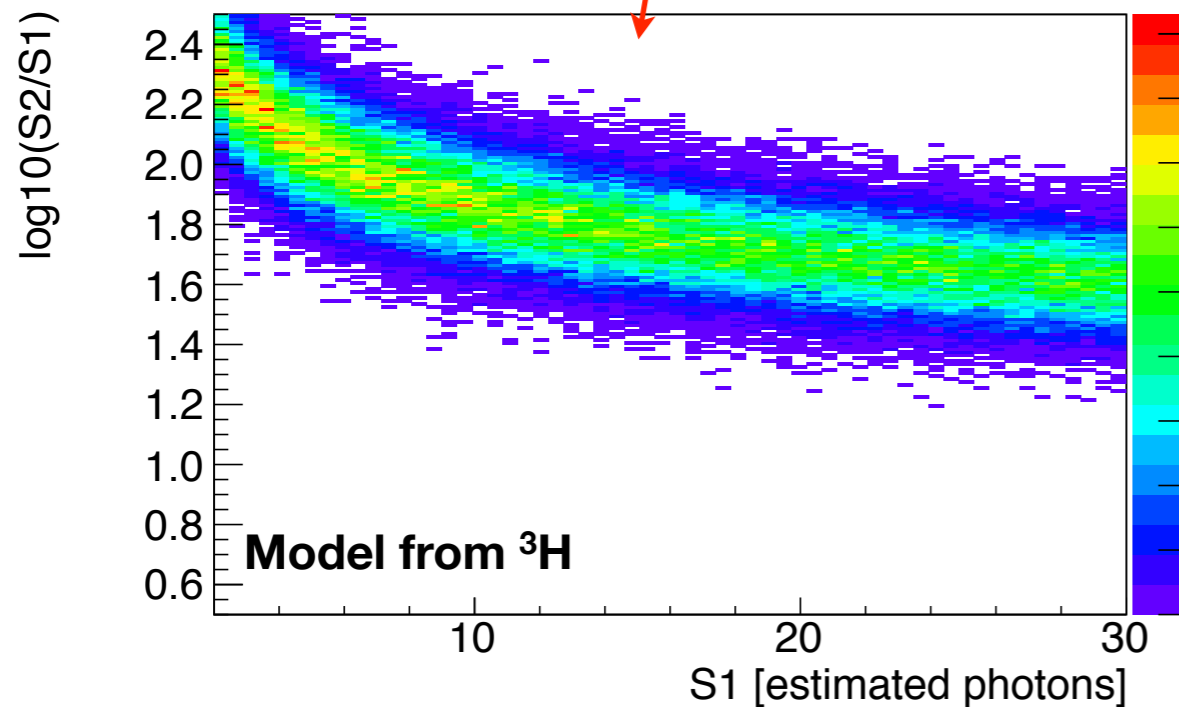
Tune simulation to match observed high-energy backgrounds



# Radioactive materials model

$$P_{ER}(\log_{10}(S2/S1)|S1) P_{Compton}(E_{ee}(S1)) P_{Compton}(r, z)$$

Model as uniform in  $E_{ee} \in [0.9, 5.1]$  keVee



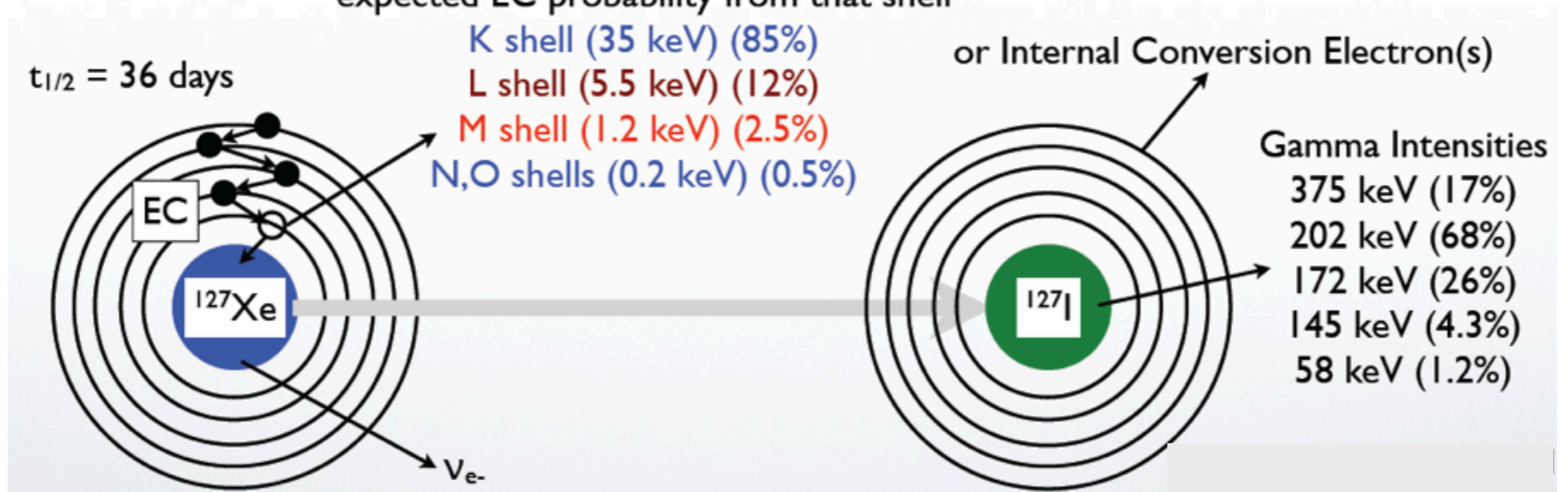
Predict 129 events in WIMP search data



# $^{127}\text{Xe}$ Background

Electron capture from S-wave orbital,  
 $p + e^- \rightarrow n + \nu_e$

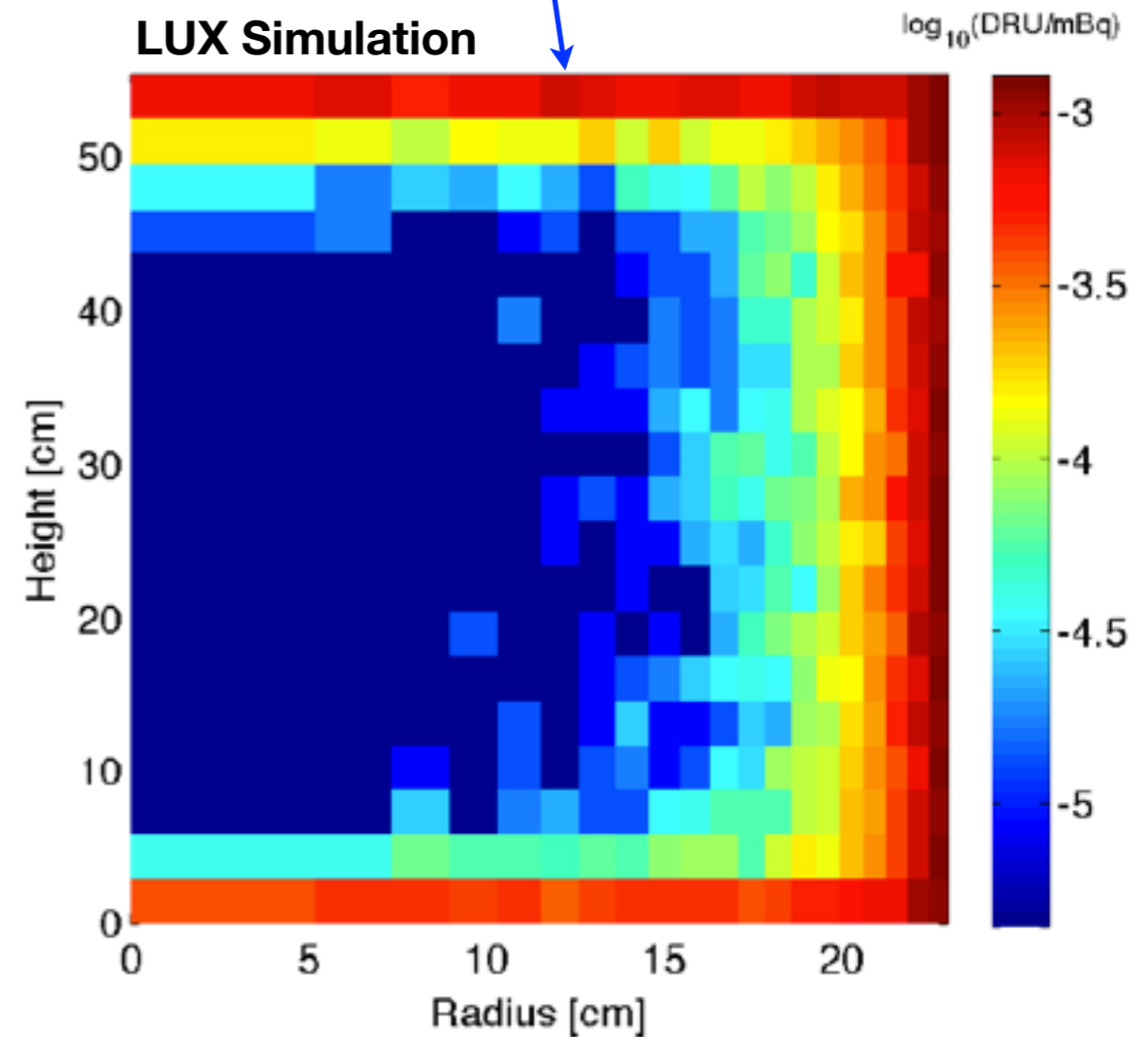
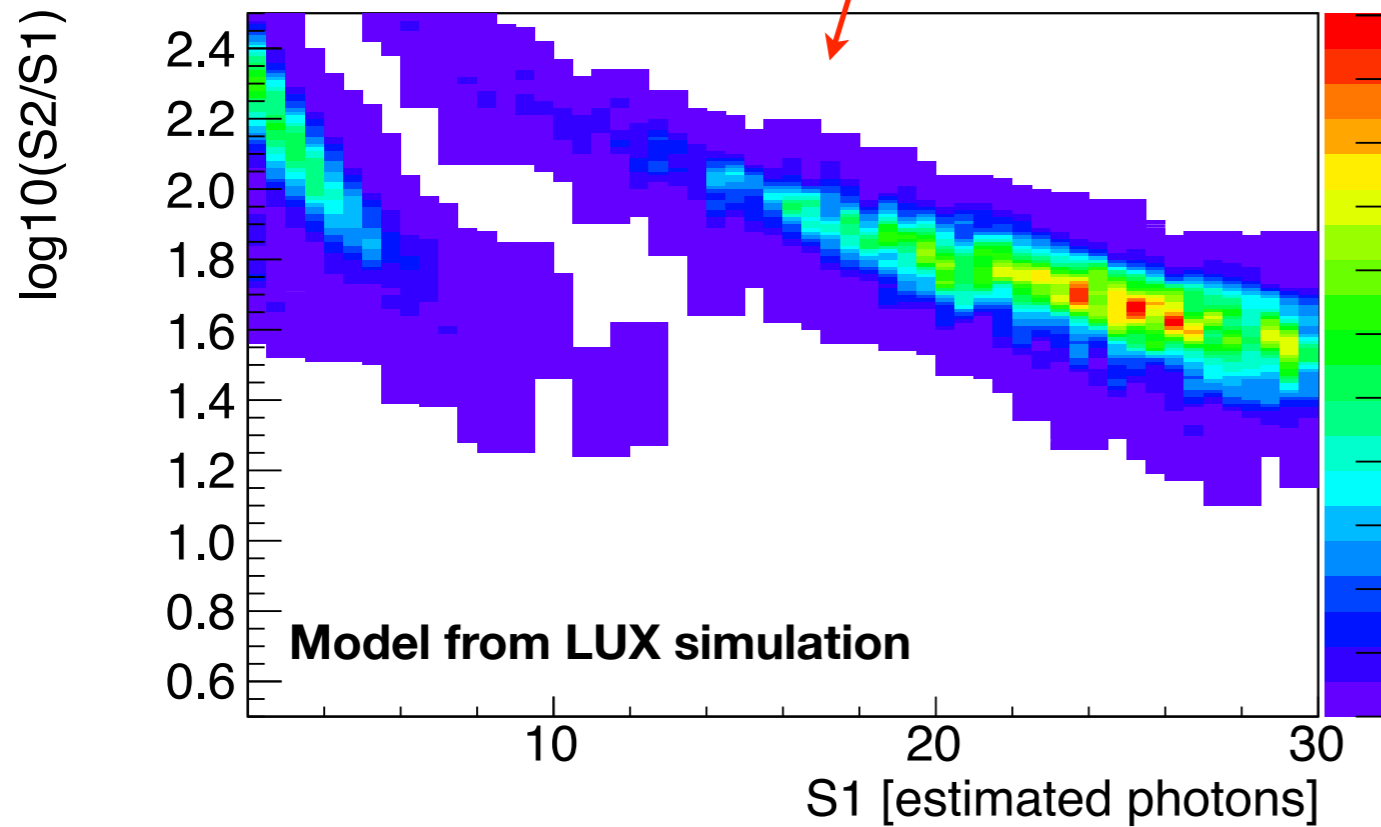
Energy released via cascade x-rays, or Auger electrons. Total binding energy shown, and also expected EC probability from that shell



# $^{127}\text{Xe}$ model

$$P_{ER}(\log_{10}(S2/S1)|S1) P_{127\text{Xe}}(E_{ee}(S1)) P_{127\text{Xe}}(r, z)$$

Again use simulation to model pdfs, includes resolution and efficiencies



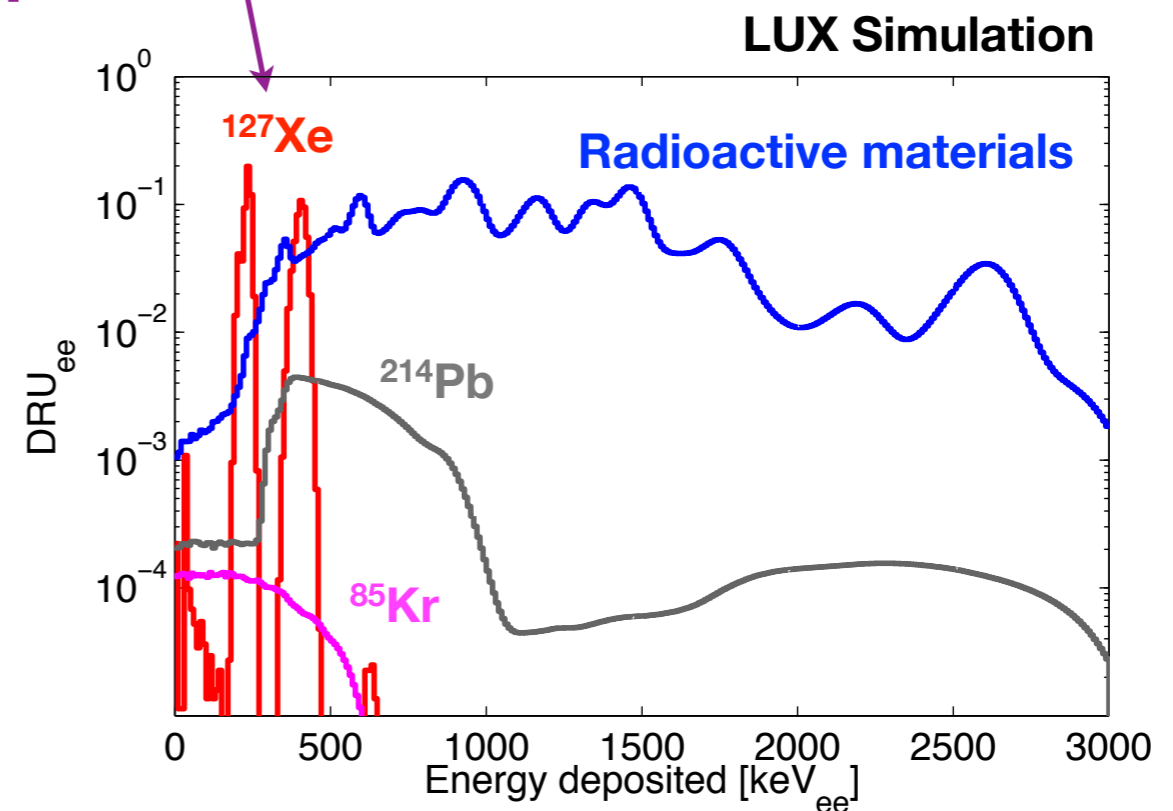
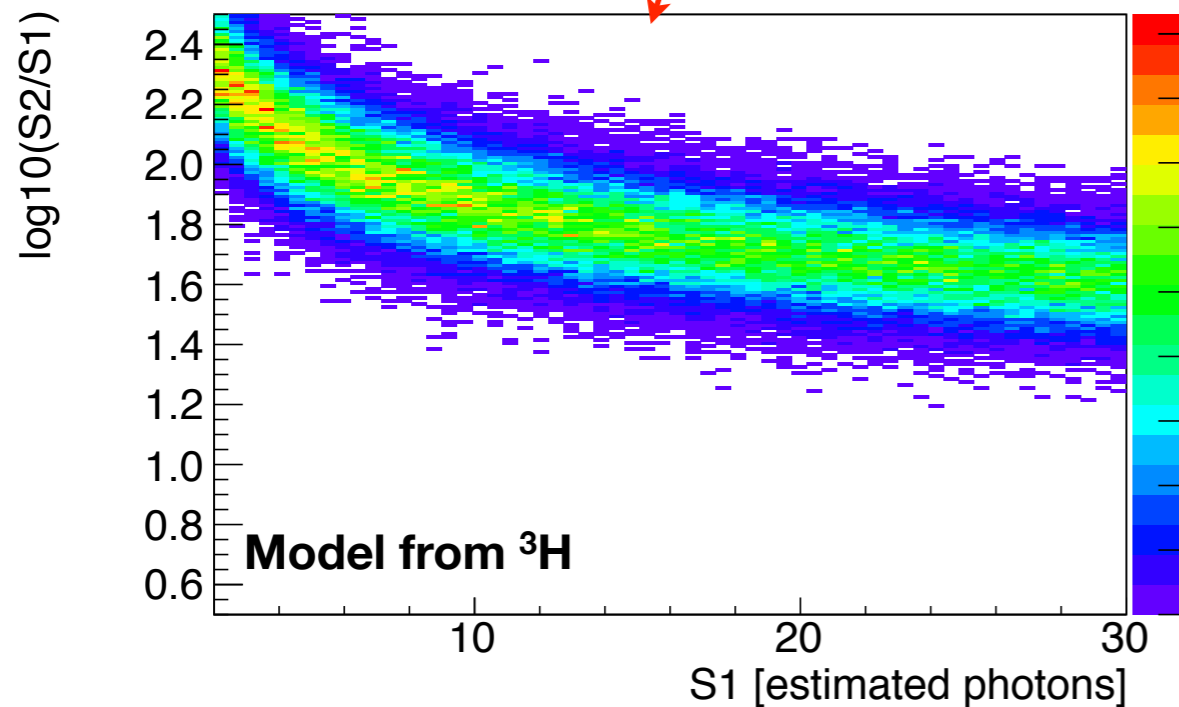
Predict 15 events in WIMP search region

# $^{214}\text{Pb}/^{85}\text{Kr}$ background

$$P_{ER}(\log_{10}(S2/S1)|S1) P_{Rn}(E_{ee}(S1)) P_{Rn}(r) P_{Rn}(z)$$

Model as uniform in  $E_{ee} \in [0.9, 5.1]$  keV<sub>ee</sub>

Model as uniform in  $(r^2, z)$



Predict 10 events in WIMP search region

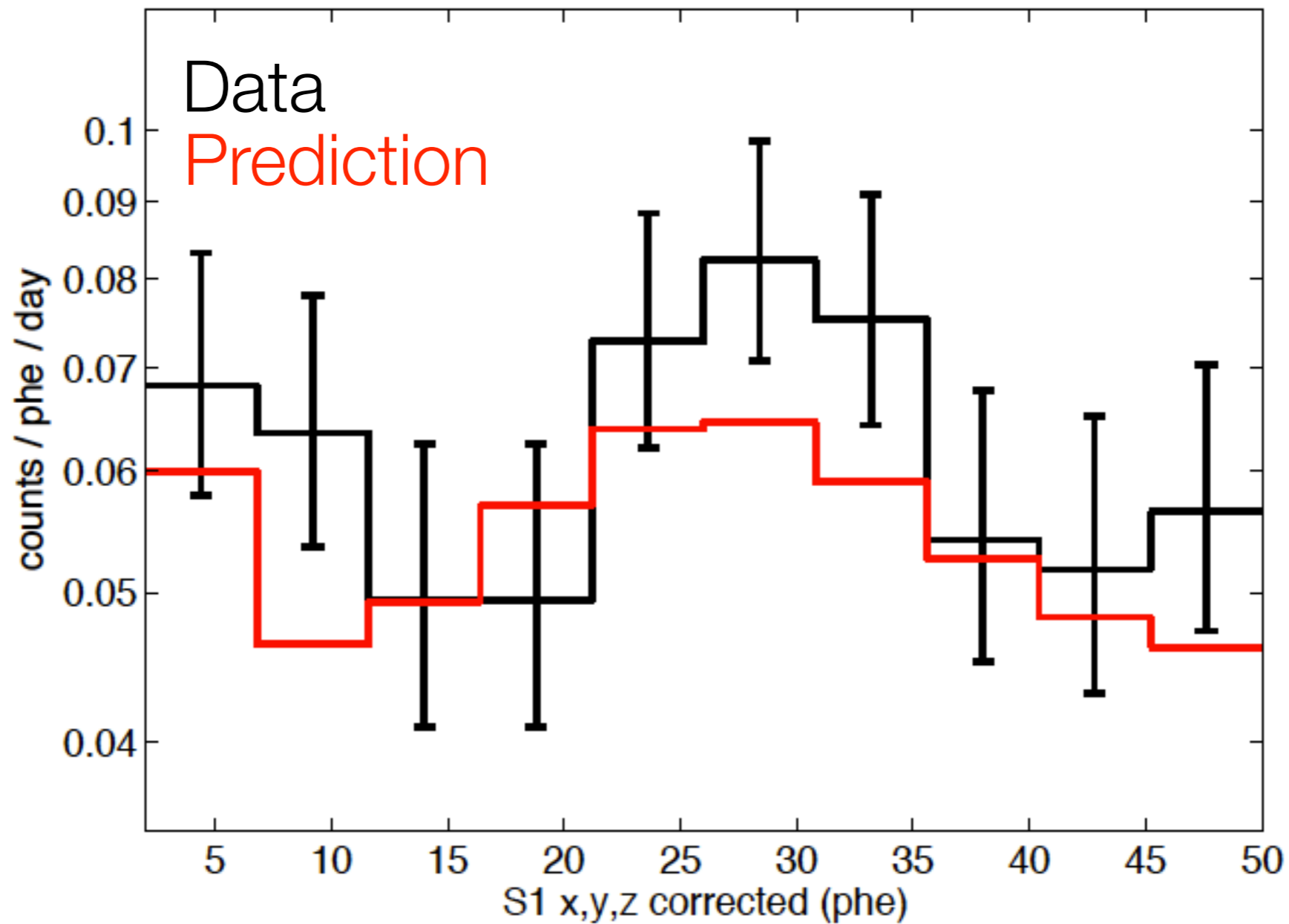
# Estimated background rates

Background Component	Source	$10^{-3}$ [evts/keVee/kg/day]
Gamma-rays	Internal Components including PMTS (80%), Cryostat, Teflon	$1.8 \pm 0.2_{\text{stat}} \pm 0.3_{\text{sys}}$
$^{127}\text{Xe}$ (36.4 day half-life)	Cosmogenic 0.87 $\rightarrow$ 0.28 during run	$0.5 \pm 0.02_{\text{stat}} \pm 0.1_{\text{sys}}$
$^{214}\text{Pb}$	$^{222}\text{Rn}$	0.11-0.22 <sub>(90% CL)</sub>
$^{85}\text{Kr}$	Reduced from 130 ppb to $3.5 \pm 1$ ppt	$0.13 \pm 0.07_{\text{sys}}$
Predicted	Total	$2.6 \pm 0.2_{\text{stat}} \pm 0.4_{\text{sys}}$
Observed	Total	$3.1 \pm 0.2_{\text{stat}}$



# Comparison of low energy data with predictions

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# Calculation of the upper limit

# Set frequentist one-sided upper limit

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Use profile likelihood ratio as test statistic

$$\lambda(\sigma_{\text{test}}) \equiv \frac{\mathcal{L}(\sigma_{\text{test}}, \hat{\theta})}{\mathcal{L}(\hat{\sigma}, \hat{\theta})}$$

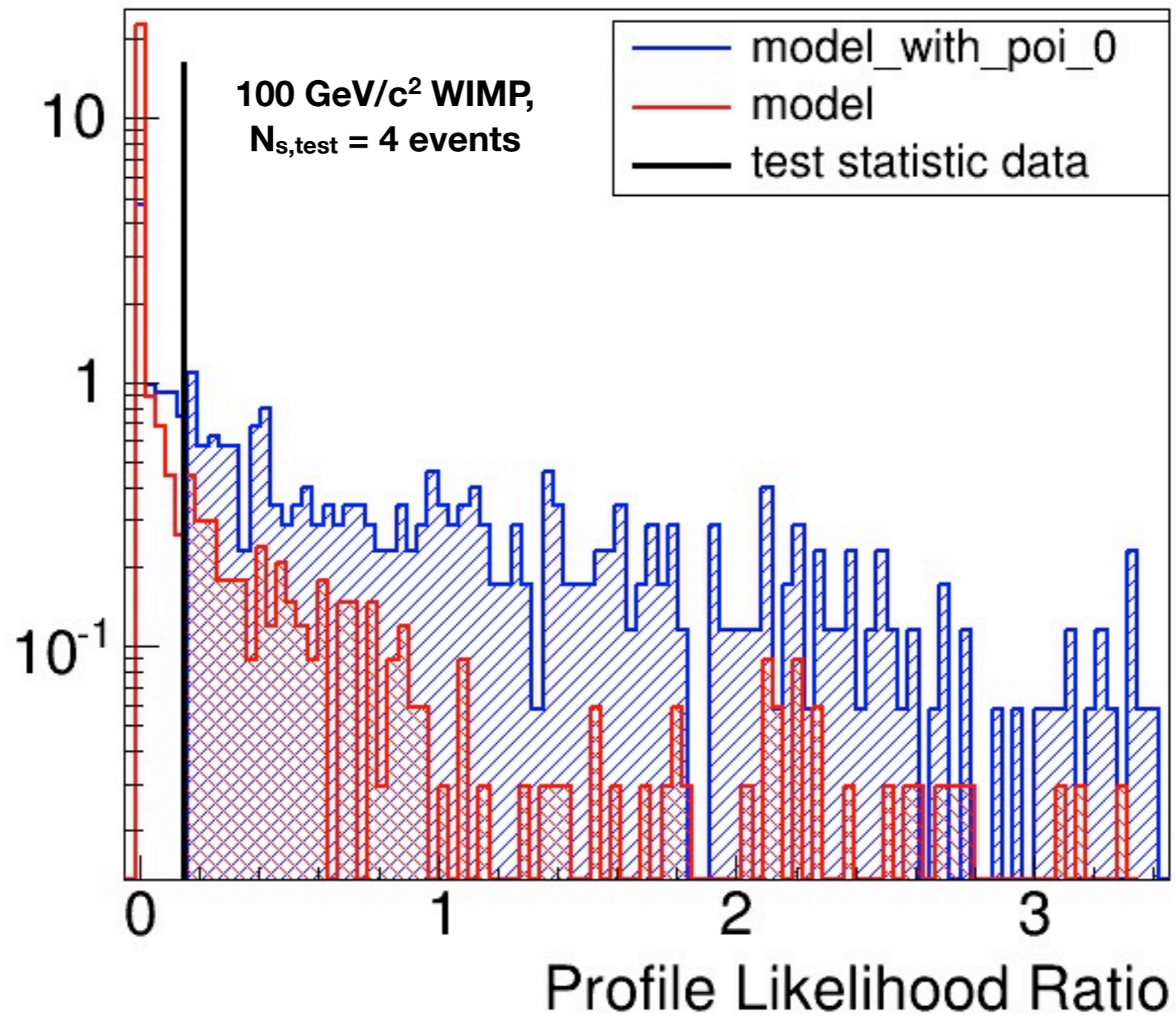
Fixed point to test (points to  $\sigma_{\text{test}}$ )  
Nuisance parameters, not fixed (points to  $\hat{\theta}$ )  
Value of maximum likelihood (points to  $\hat{\sigma}$ )

$$q_{\sigma} = -2 \ln \lambda(\sigma)$$

Generate pseudo-experiments for  $\sigma_{\text{test}}$ , compare the value of test statistic in data with the value of  $q_{\sigma,i}$  from each pseudo-experiment

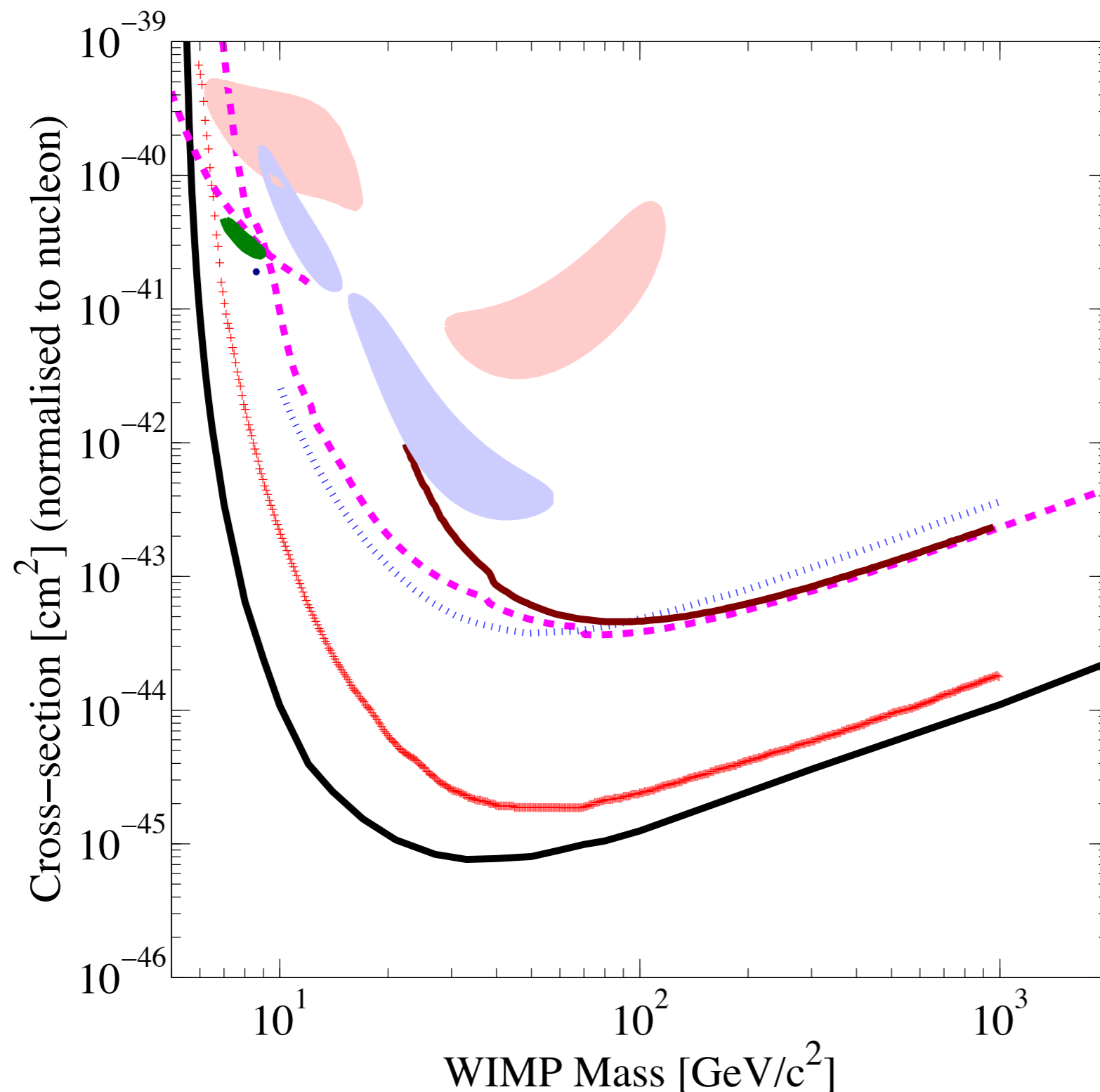
Set one-sided limit, so if  $(\hat{\sigma})_i > \sigma_{\text{test}}$ ,  $q_{\sigma,i} = 0$

# Hypothesis tests



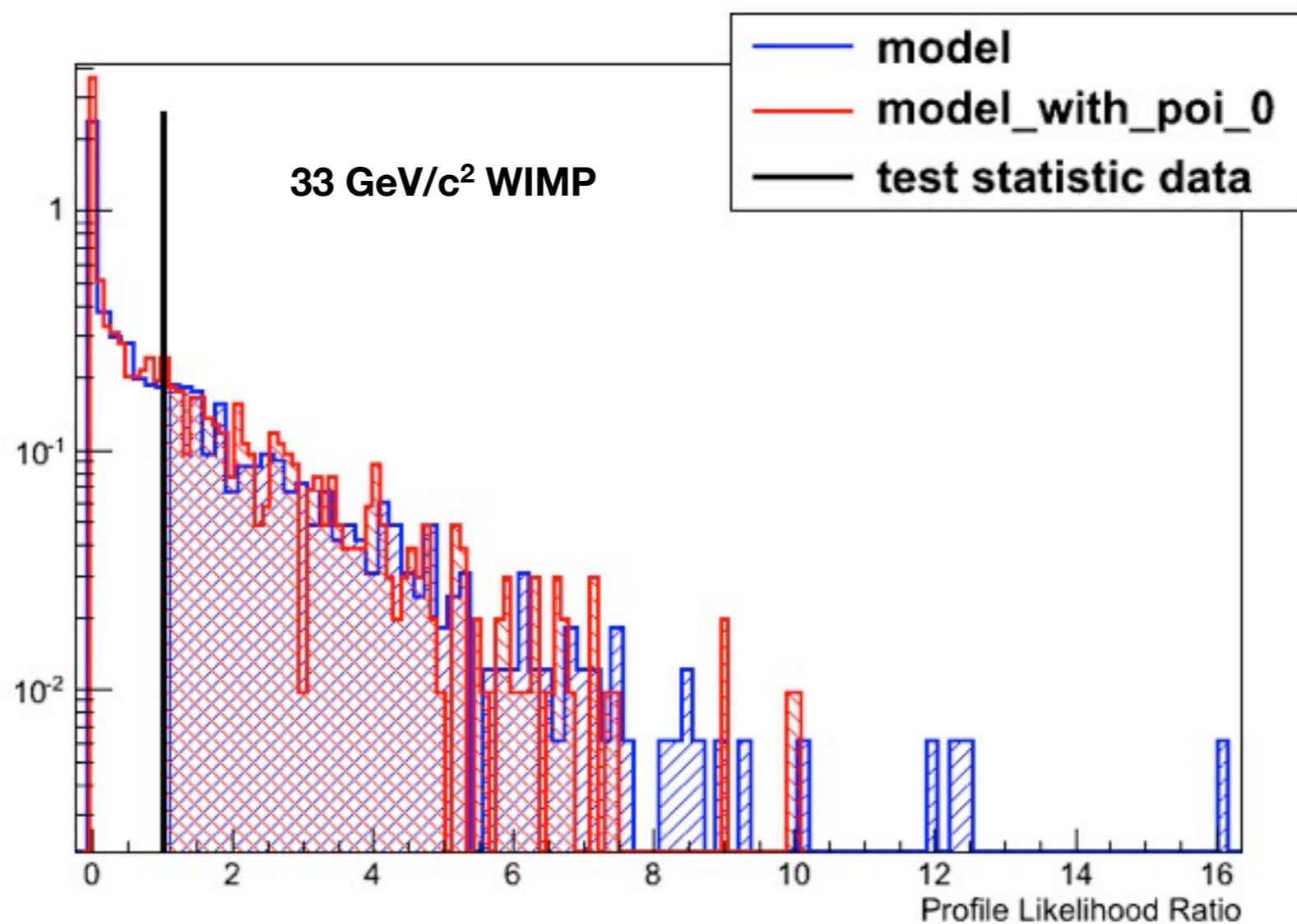


# First WIMP search results!



- CREST II (2011)
- DAMA/LIBRA
- CoGeNT (2013)
- CDMS-II Si ROI (2013)
- Edelweiss II (2011)
- CDMS II (Ge) (2011)
- CDMS II (all) (2009)
- ZEPLIN III (2011)
- XENON100 (2012)
- LUX (2013)

# Null hypothesis test

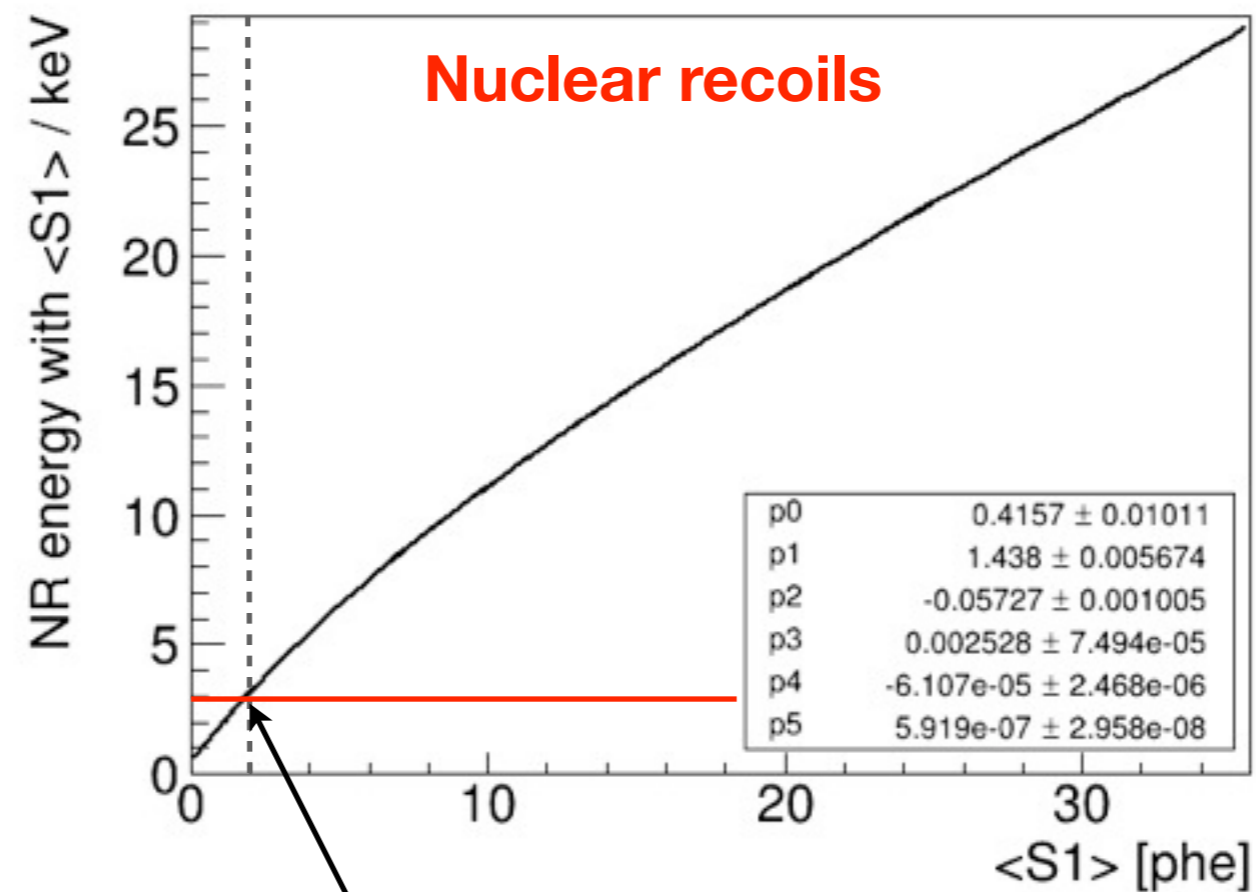


Observe null p-value of 34% at 33 GeV/c<sup>2</sup>,  
corresponding to 0.4 $\sigma$  significance



# Discussion

# “Sub-threshold” fluctuations

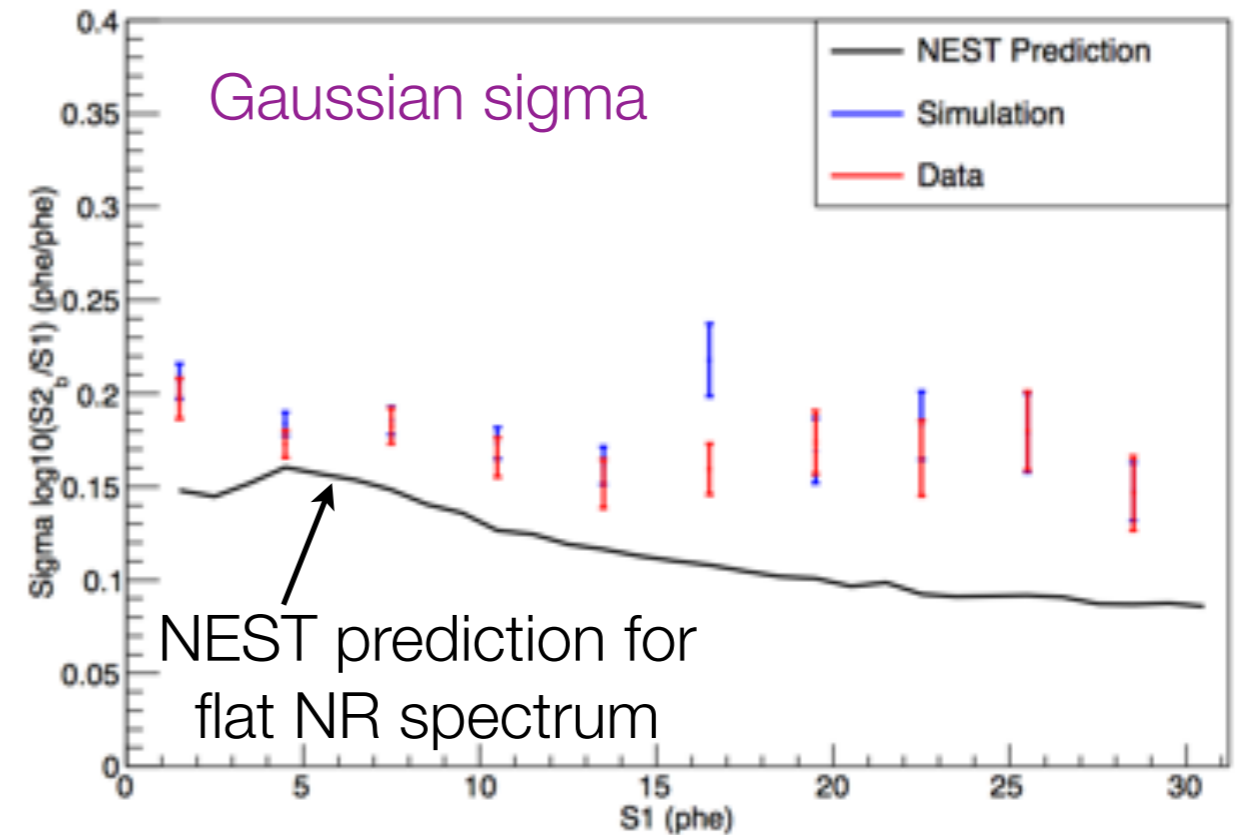
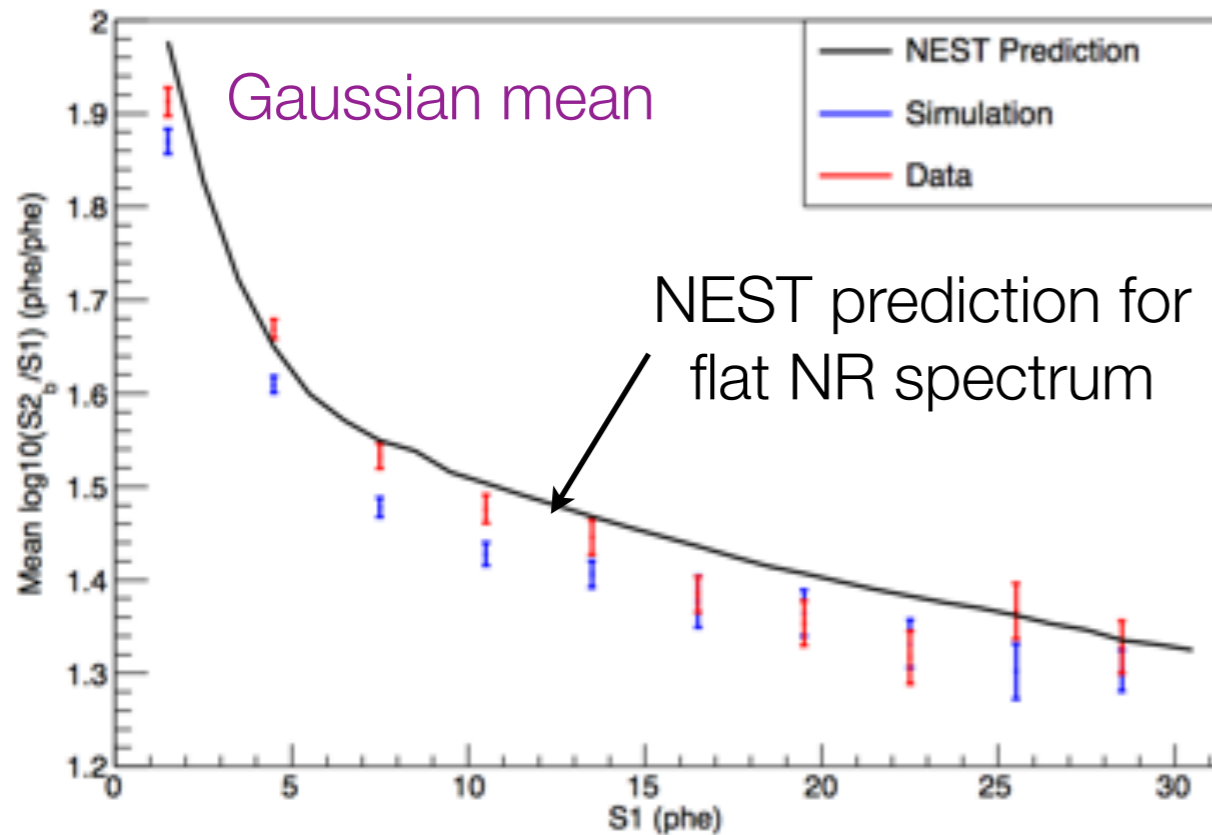


Fluctuations from lower energies into WS in minimal, due to 3 keVnr cut-off



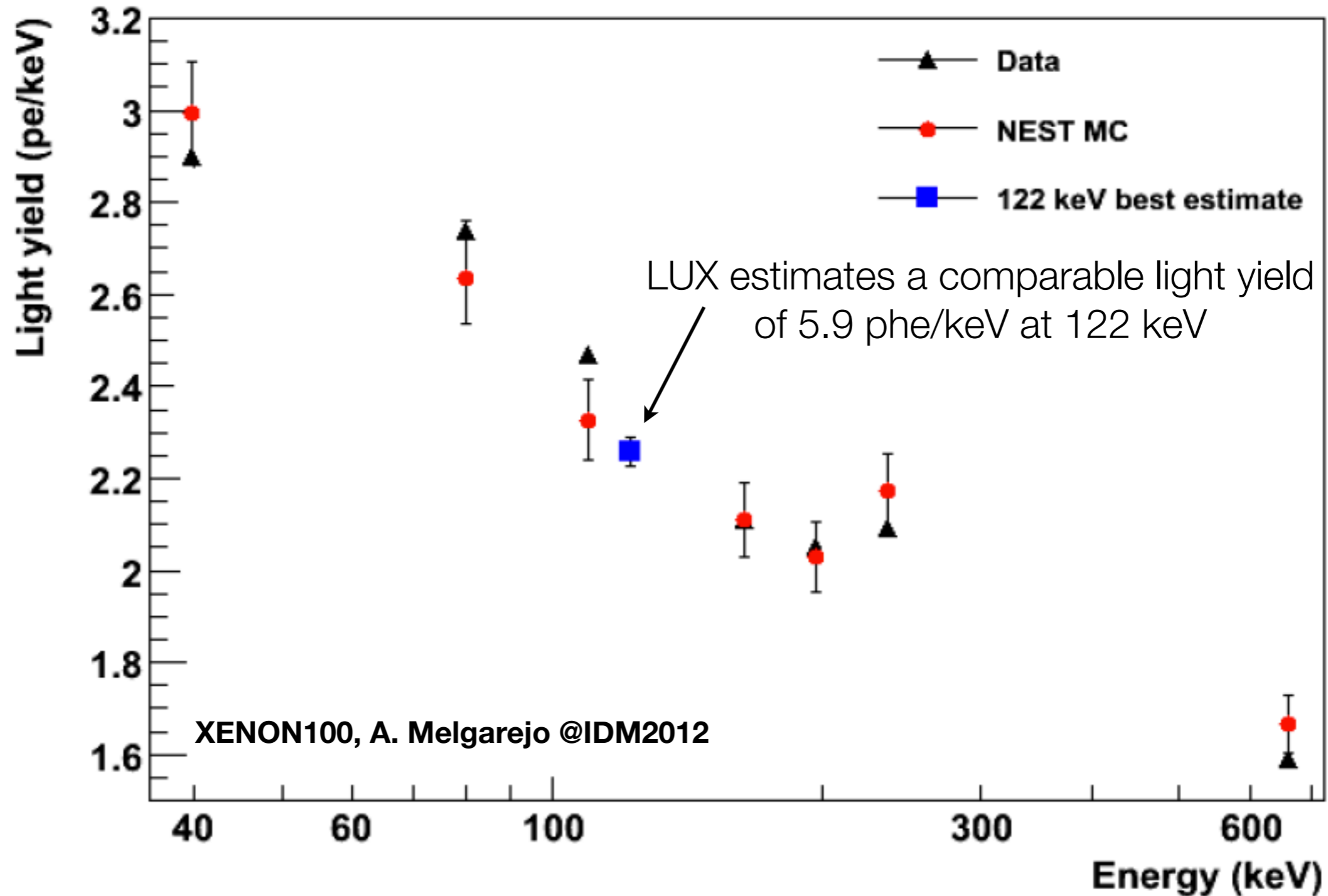
# Systematic uncertainty on NR discrimination

We adjust the mean and width of the data (red) to match simulation (blue) and adjust NEST predictions by the same amount, treat shift in UL as a systematic uncertainty

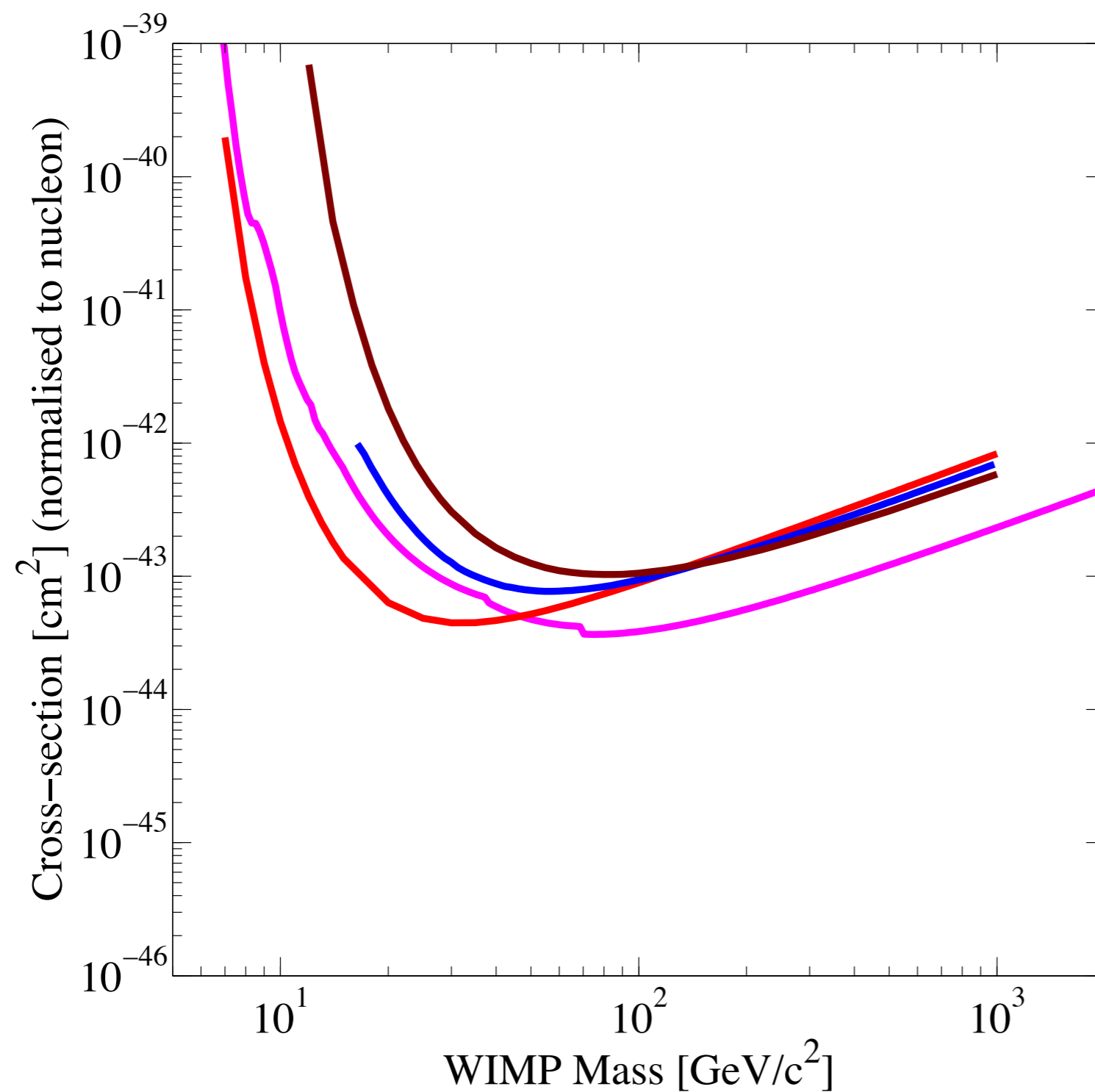


Find systematic shift of ~25% higher in the UL

# Improved light collection with respect to Xenon100

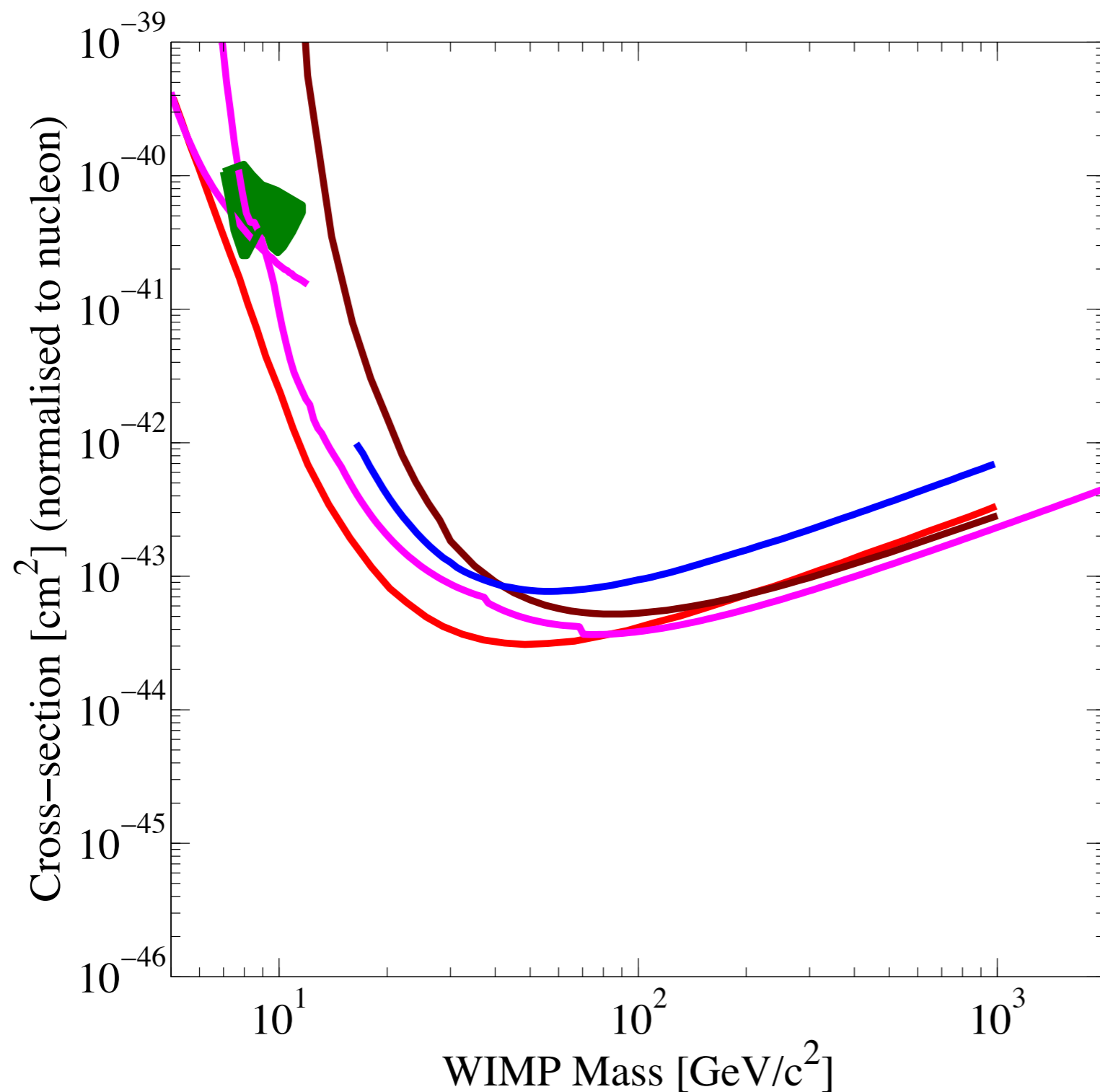


# WIMP limits in 2009



**Edelman I (2009)**  
**CDMS II (all) (2009)**  
**ZEPLIN III (2009)**  
**XENON10 (2008)**

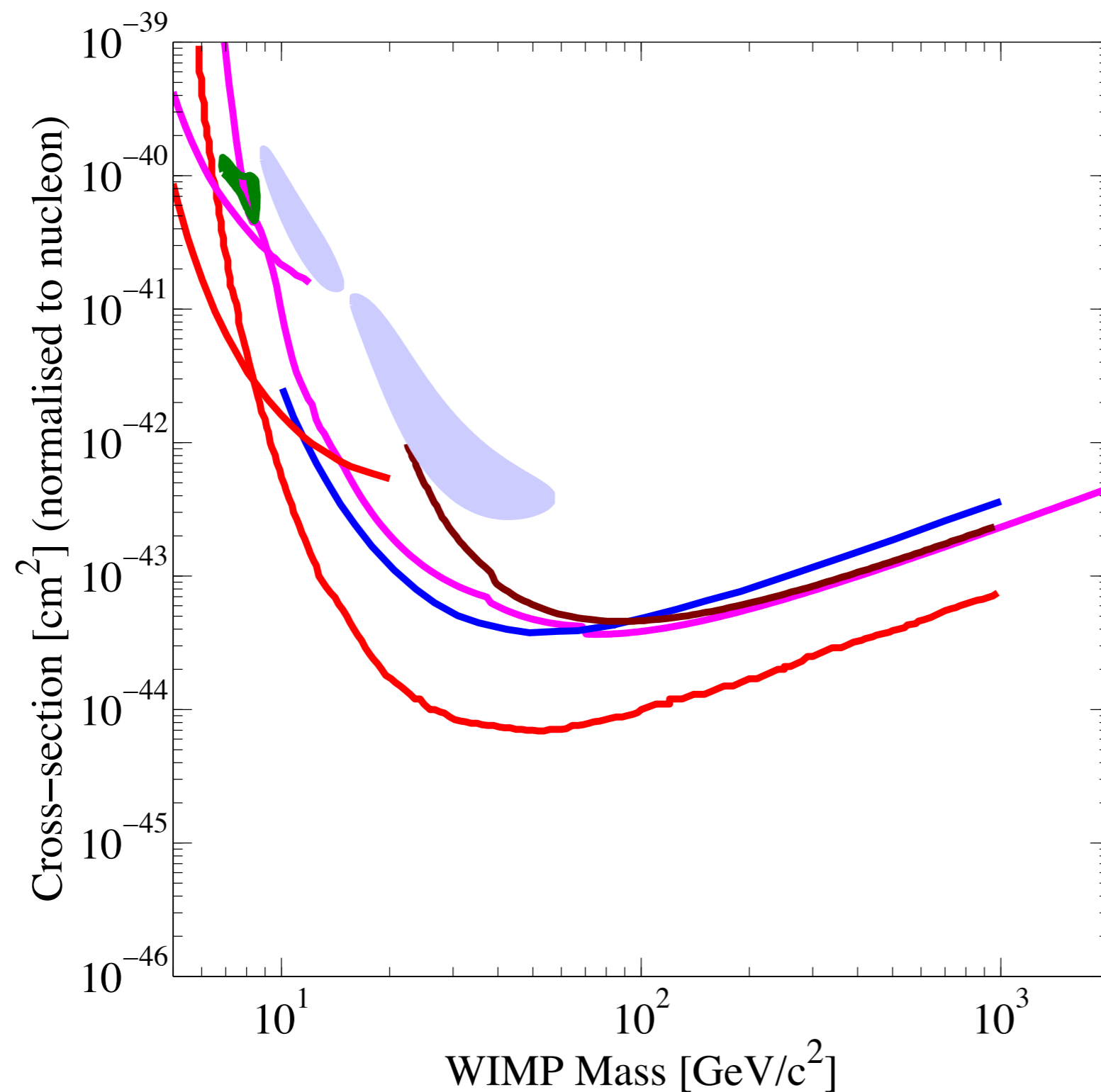
# WIMP limits in 2010



- CoGeNT (2010)**
- CDMS-II Si ROI (2013)**
- Edelweiss II (2010)**
- CDMS II (all) (2009)**
- CDMS II (all) (2010)**
- ZEPLIN III (2009)**
- XENON100 (2010)**

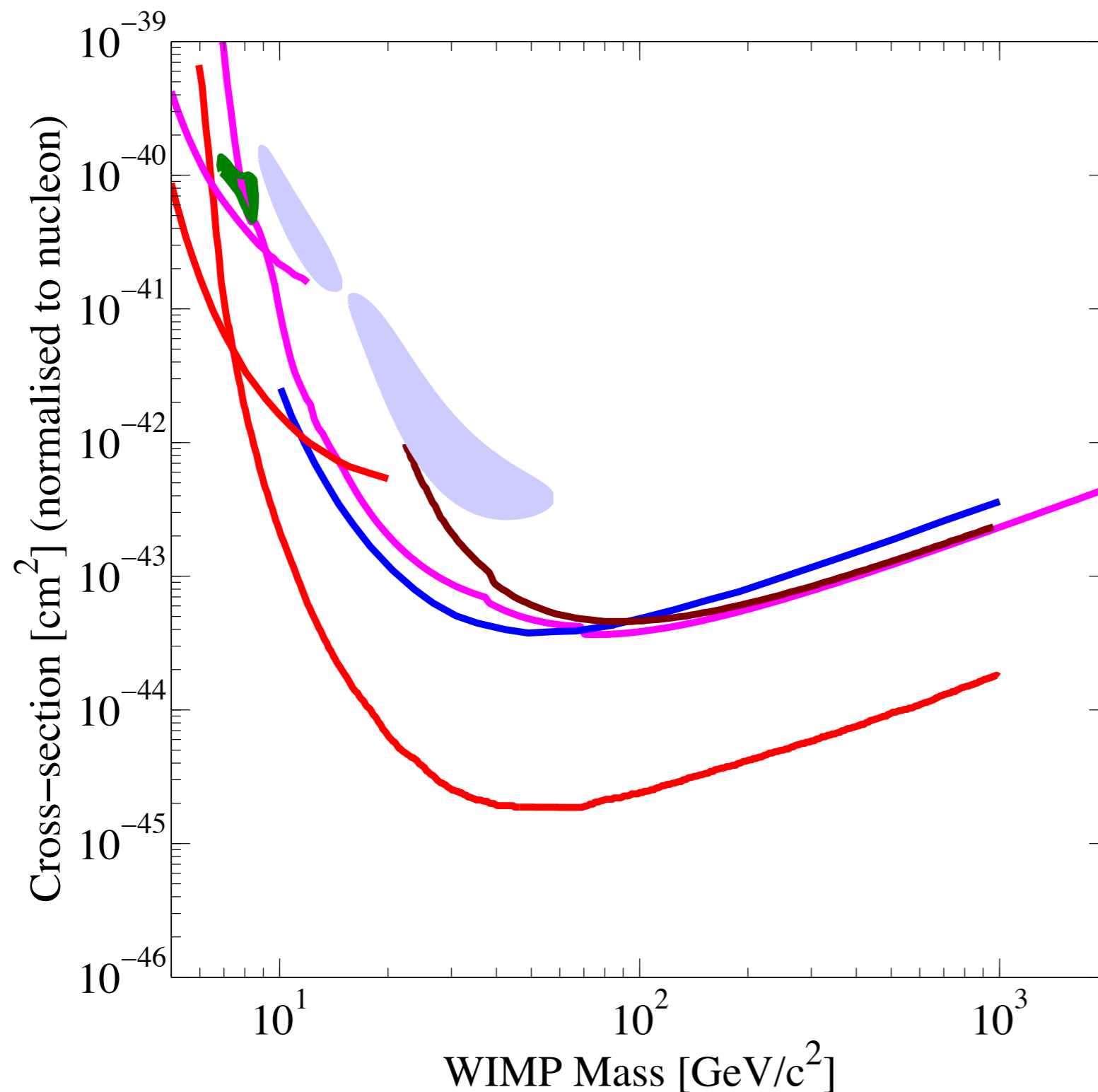


# WIMP limits in 2011



- CREST II (2011)
- CoGeNT (2011)
- Edelweiss II (2011)
- CDMS II (all) (2009)
- CDMS II (all) (2010)
- ZEPLIN III (2011)
- Xenon10 (2011)
- XENON100 (2011)

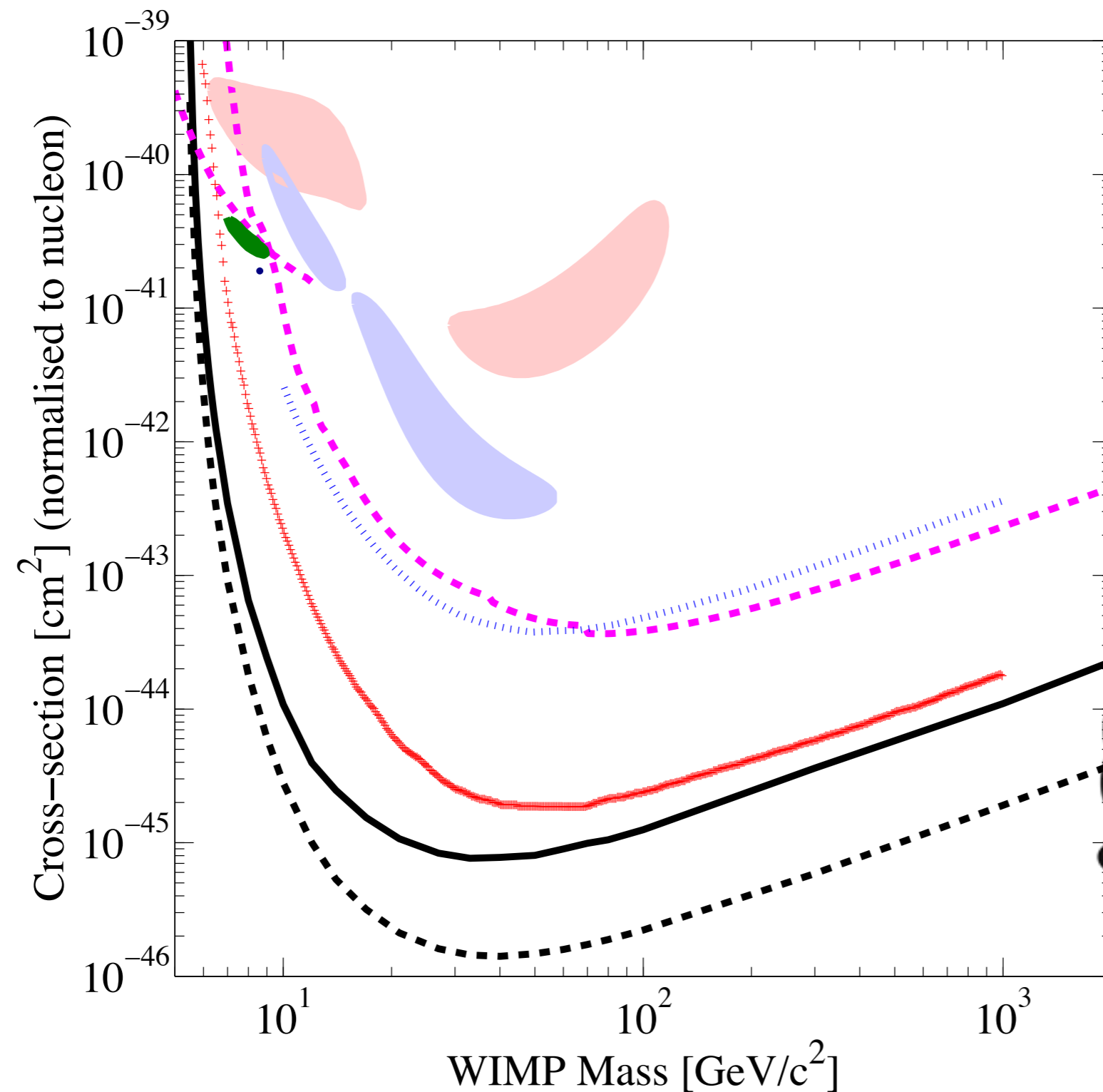
# WIMP limits in 2012



- CREST II (2011)
- CoGeNT (2011)
- Edelweiss II (2011)
- CDMS II (all) (2009)
- CDMS II (all) (2010)
- ZEPLIN III (2011)
- XENON100 (2012)

# Race to the bottom...

... in a good way



- CREST II (2011)
- DAMA/LIBRA
- CoGeNT (2013)
- CDMS-II Si ROI (2013)
- CDMS II (Ge) (2011)
- CDMS II (all) (2009)
- ZEPLIN III (2011)
- XENON100 (2012)
- LUX (2013)
- LUX - 300 d projected



Back-up

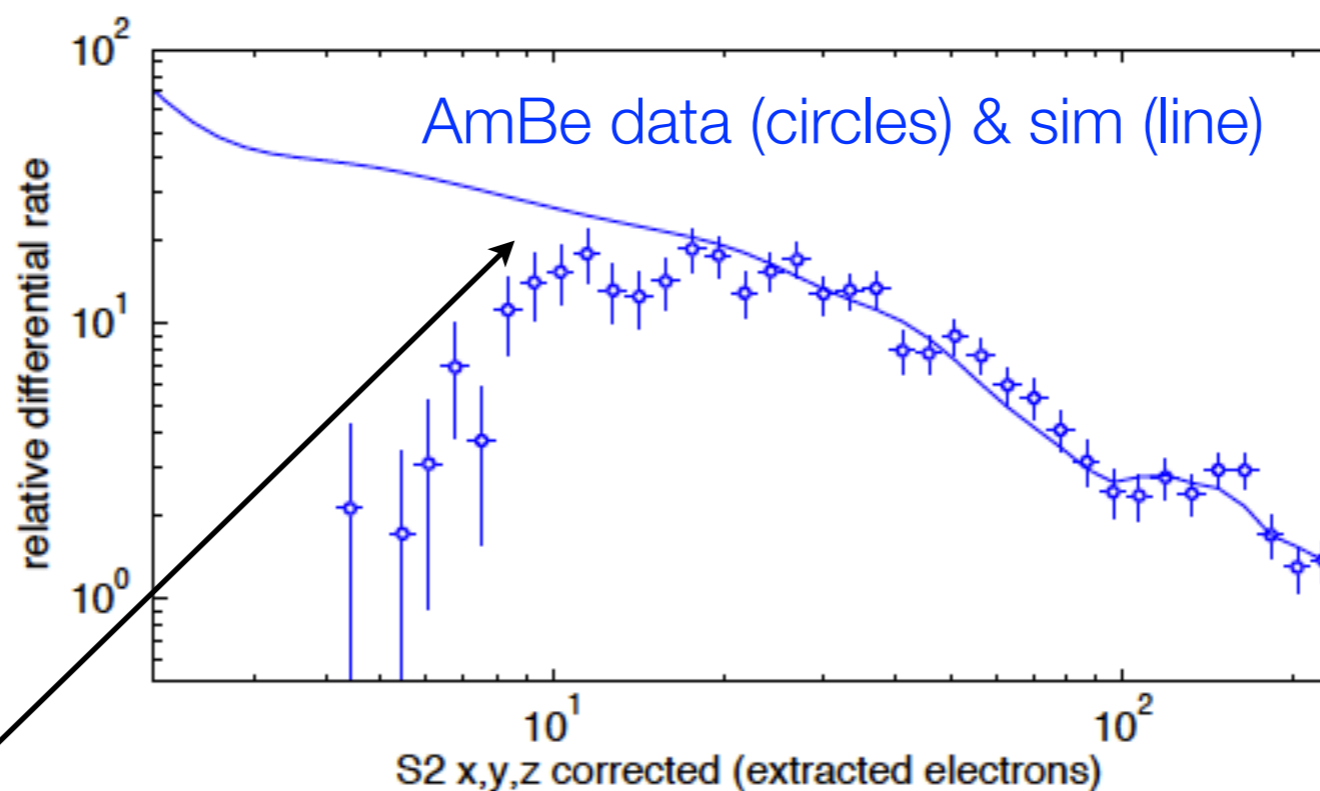
# Table of events after selection requirements

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Cut	Events Remaining
all triggers	83,673,413
detector stability	82,918,902
single scatter	6,585,686
S1 energy (2 – 30 phe)	26,824
S2 energy (200 – 3300 phe)	20,989
single electron background	19,796
fiducial volume	160

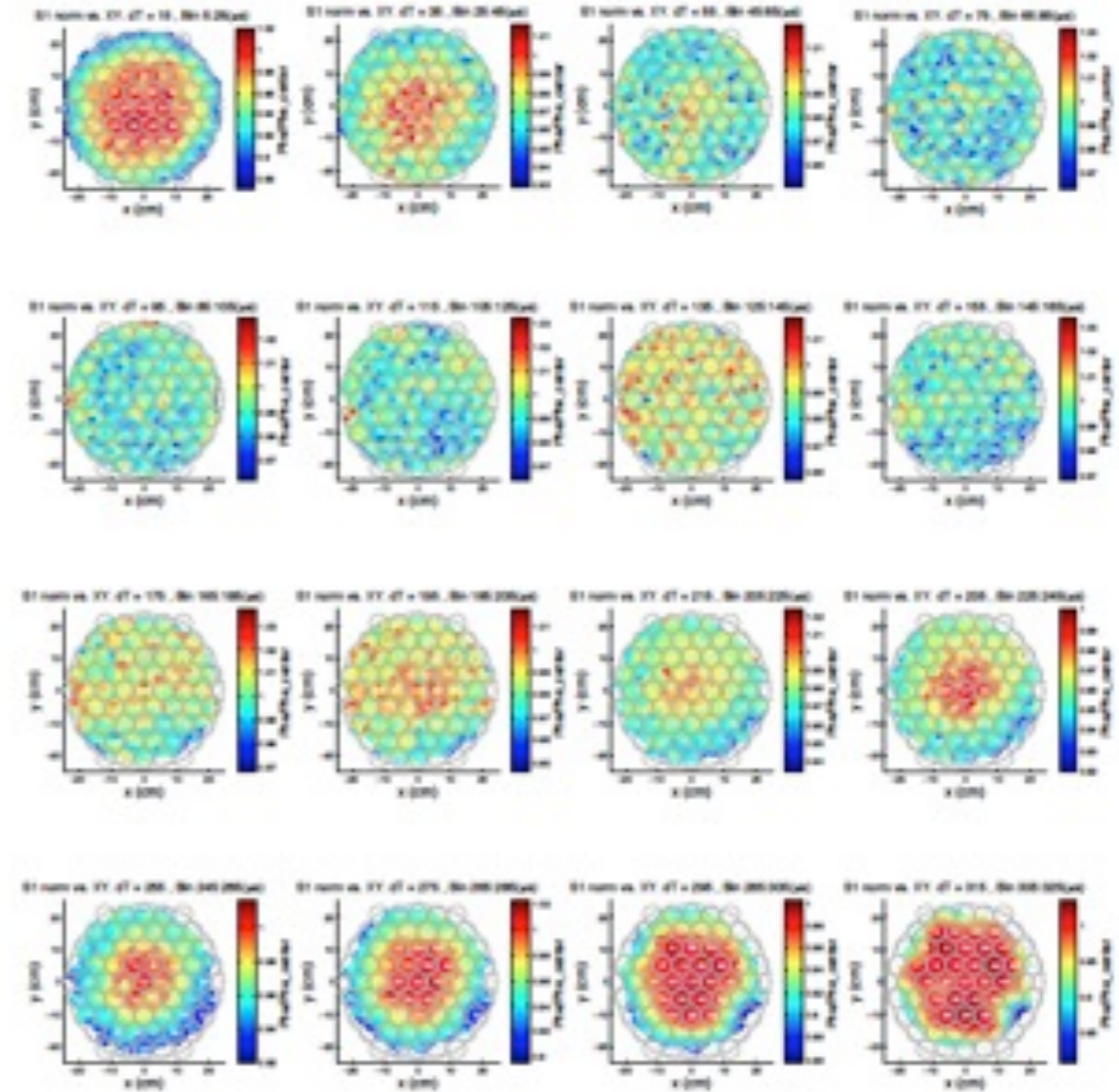
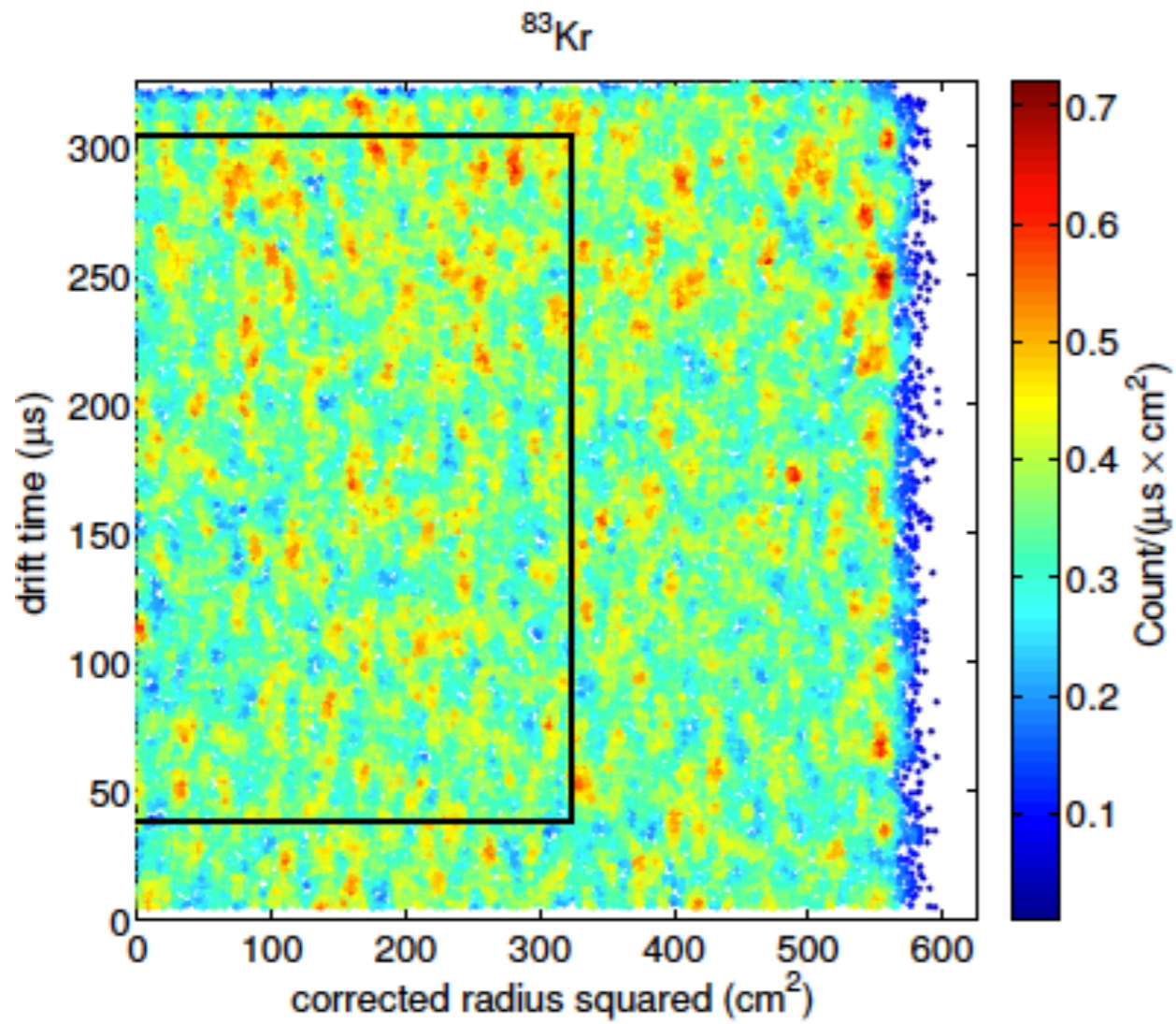


# Validation of charge yield in simulation

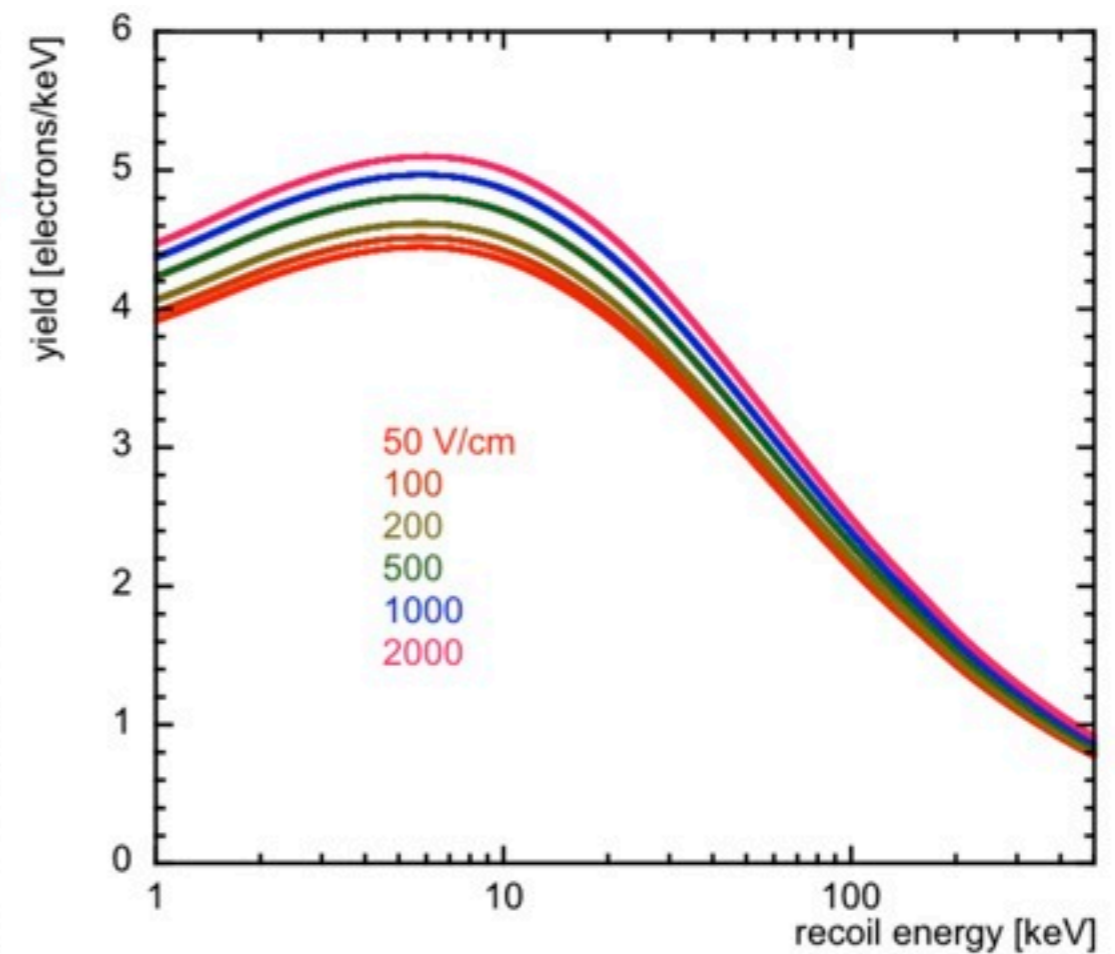
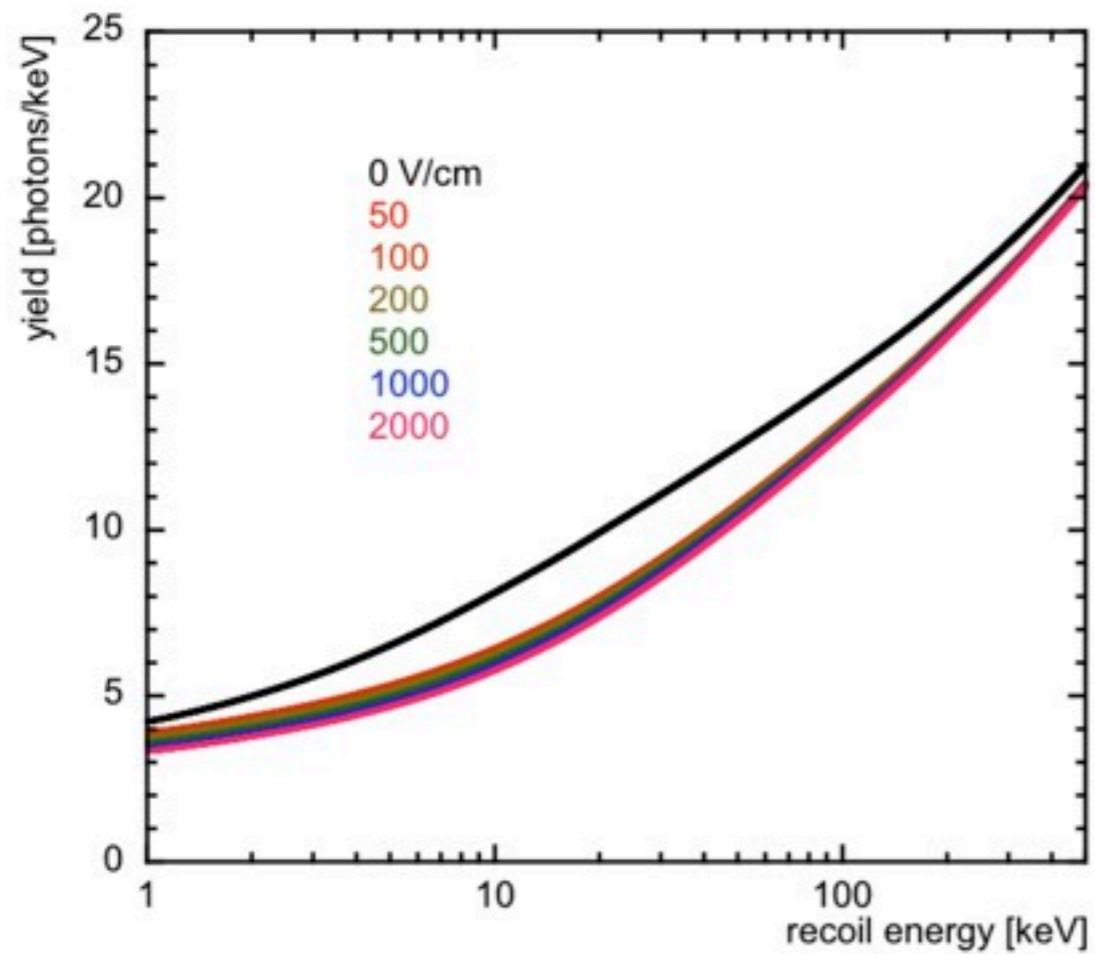


Turnover in data primarily due to S1 efficiency; we require signal scatter to reconstruct NR events

# $^{83}\text{Kr}$ source data

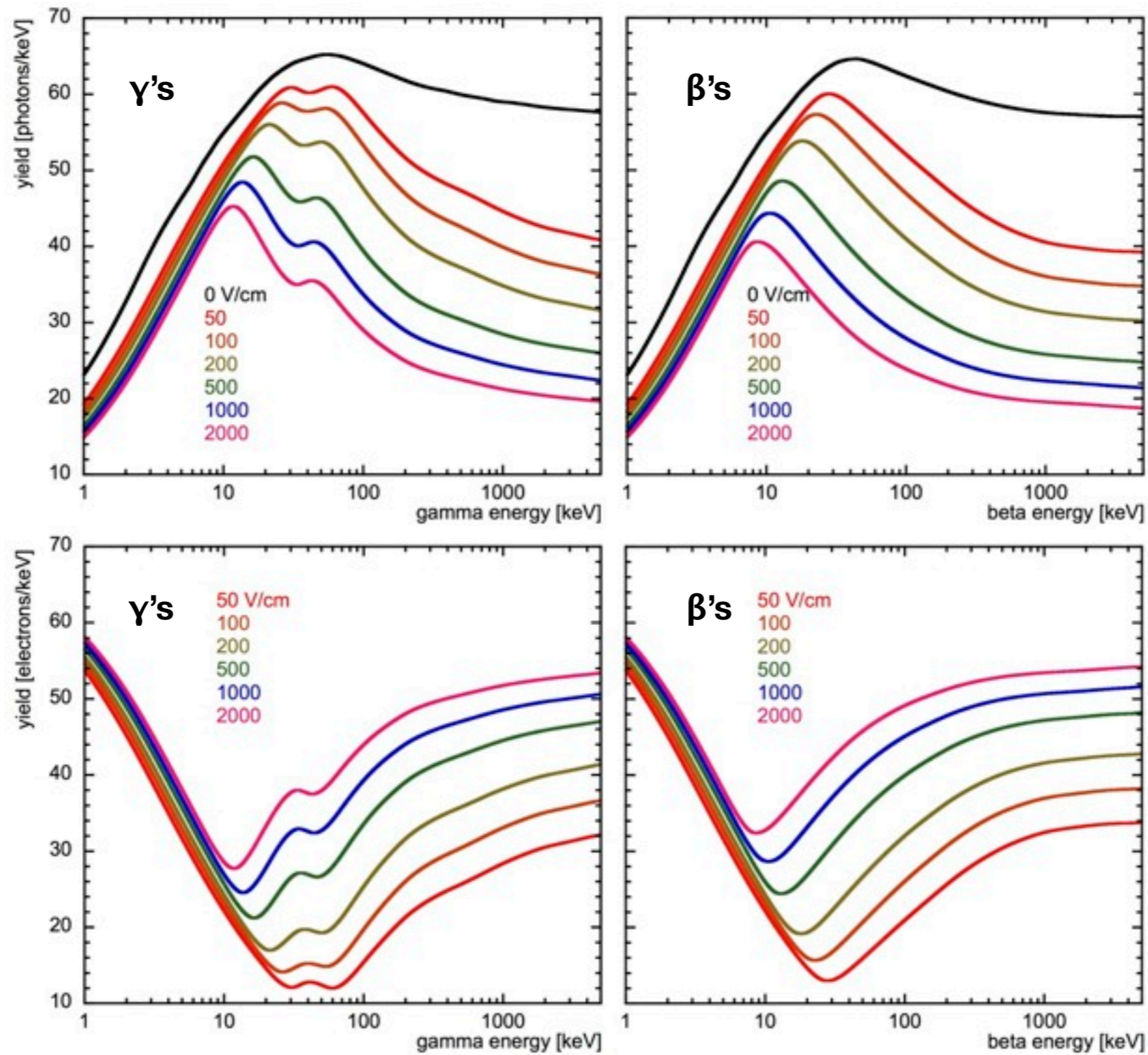


# NEST - NR recoils

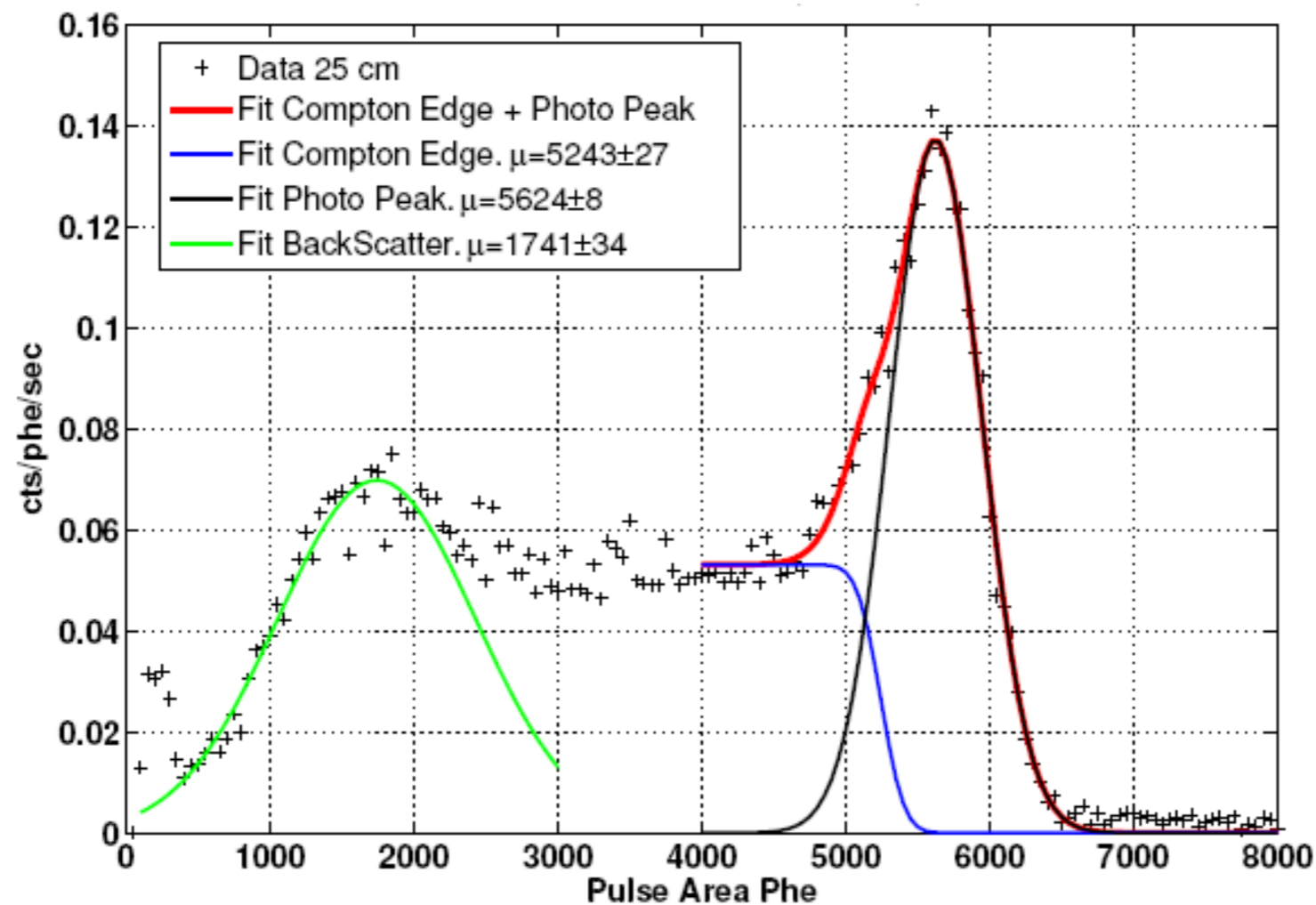




# NEST - ER recoils



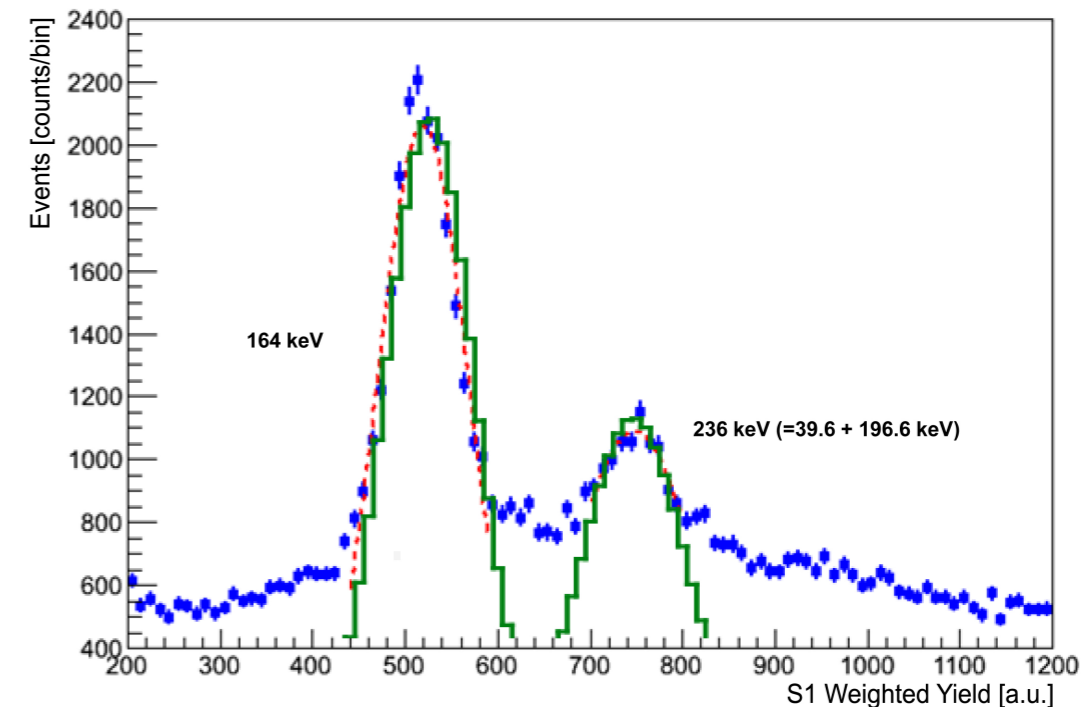
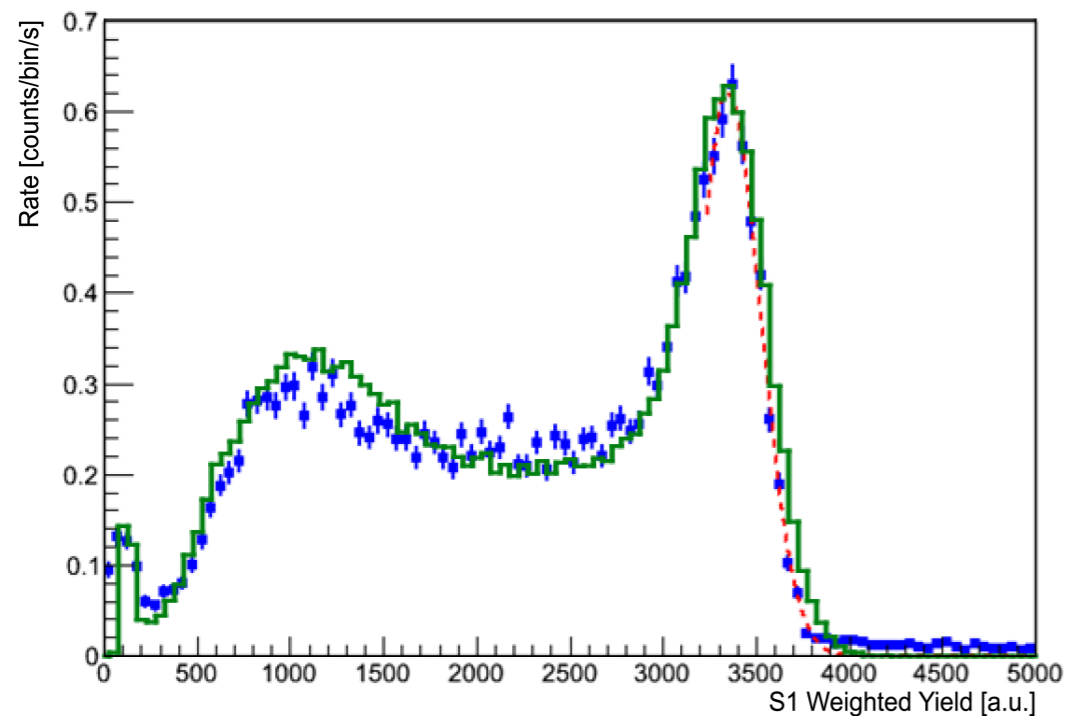
# Surface commissioning - light collection



Obtain 8 phe/keV from a 662 keV  $\gamma$  ( $^{137}\text{Cs}$  source) at zero field  
 $\Rightarrow$  more than 2.5 times the value reported by Xenon100!



# Surface commissioning - simulation tuned to data



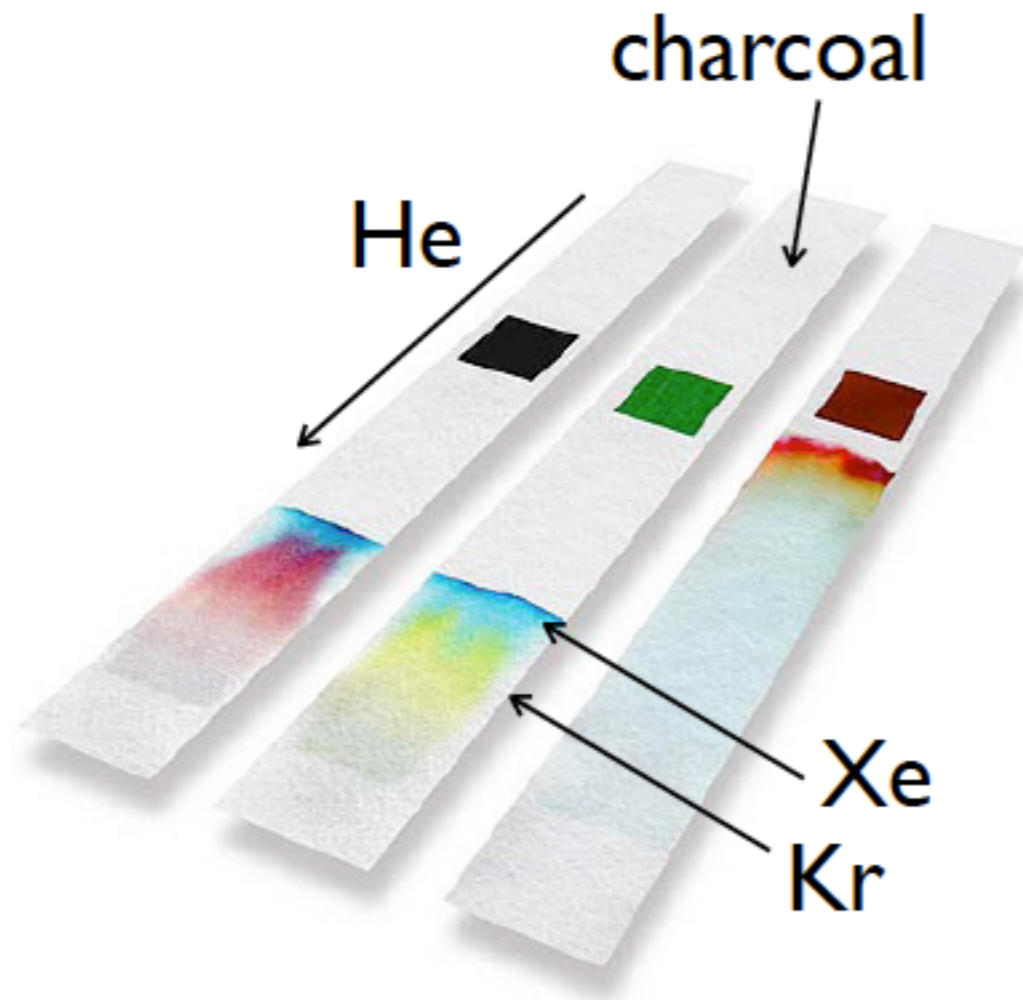
Simulation reproduces the resolution of the S1 energy distribution!

By tuning simulation to multiple sources, we extract:  
 PTFE reflectivity =  $100^{+0}_{-2}\%$   
 photoabsorption length =  $11^{+2}_{-1}$  m

# $^{85}\text{Kr}$ removal

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Use gas chromatography to remove Kr

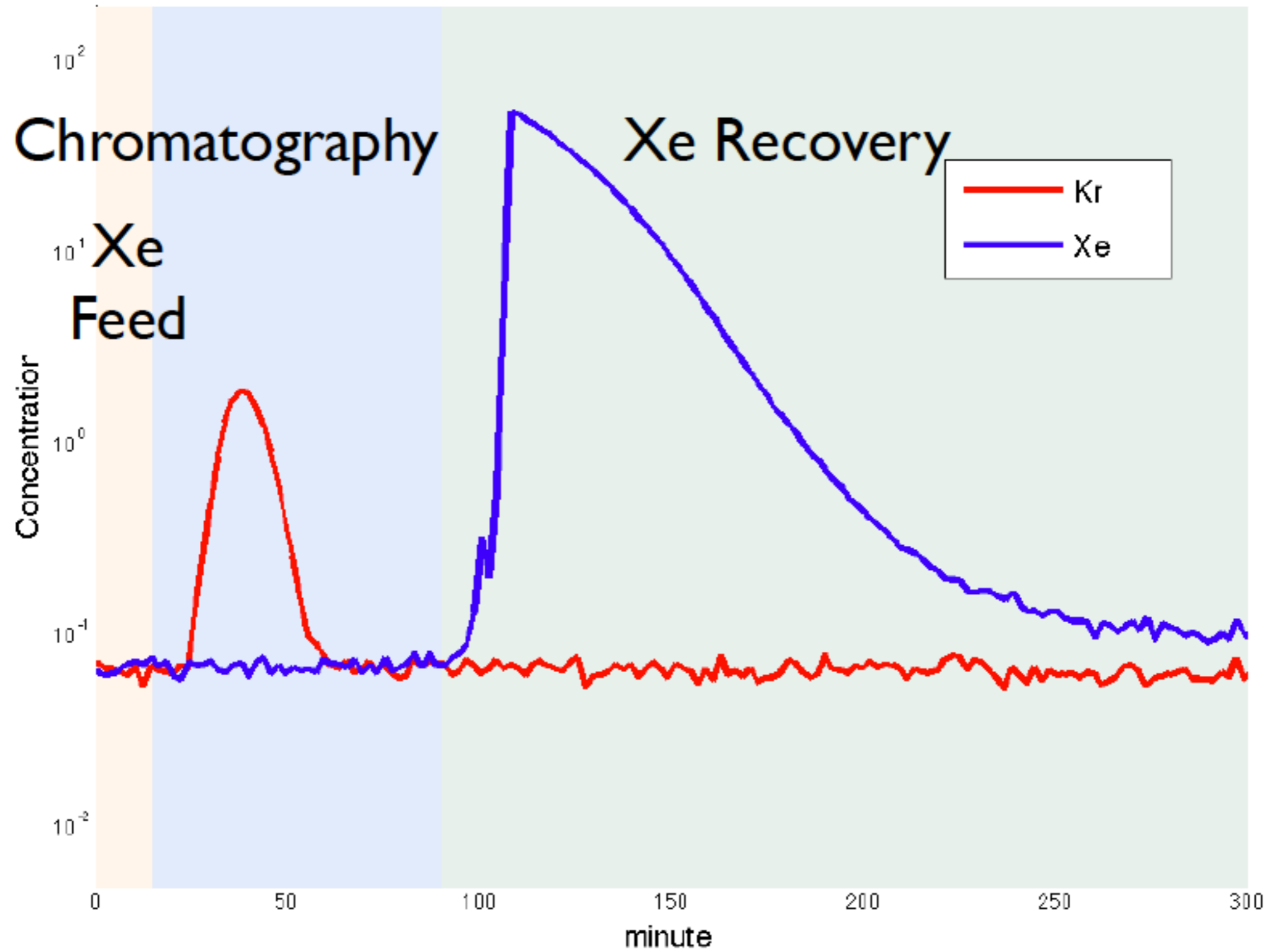


$^{85}\text{Kr}$  is a “naked” beta emitter with half-life of 10.7 yrs

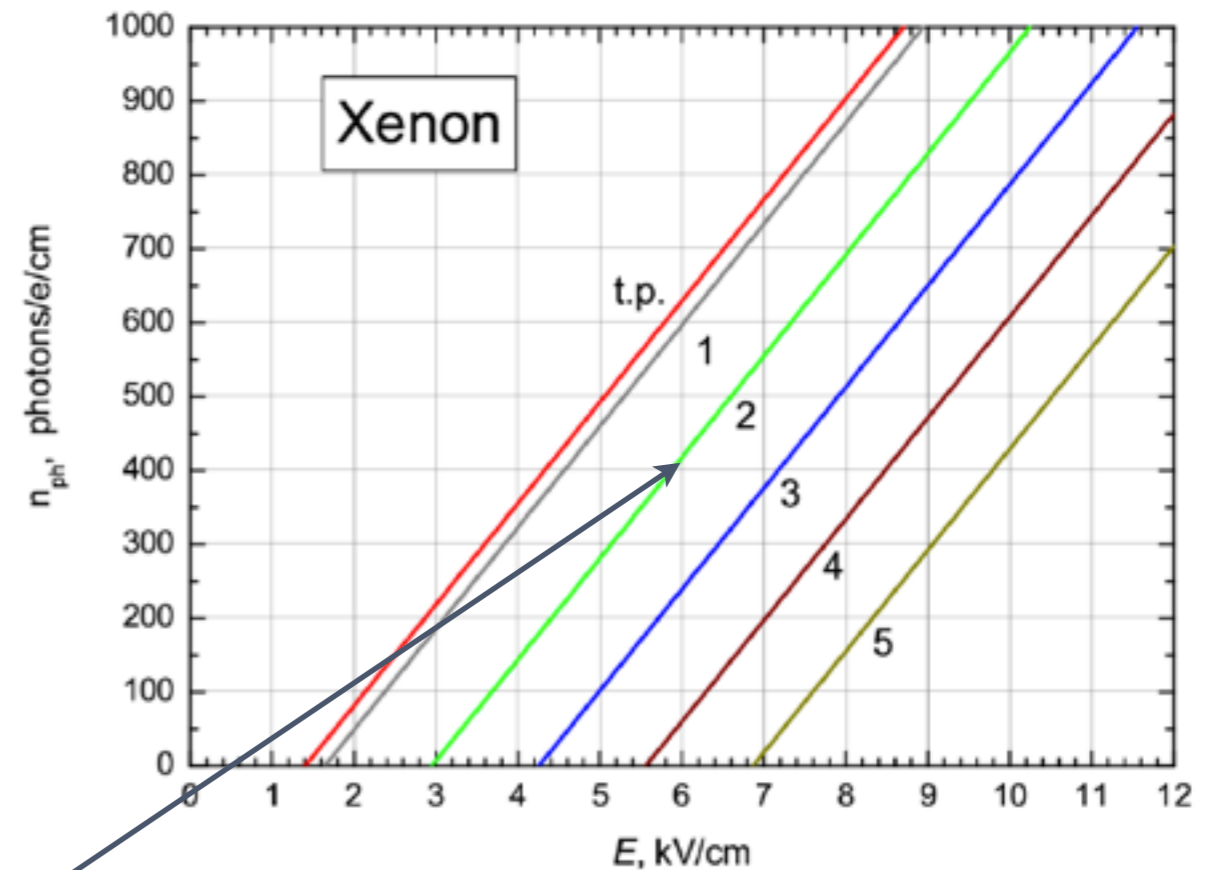
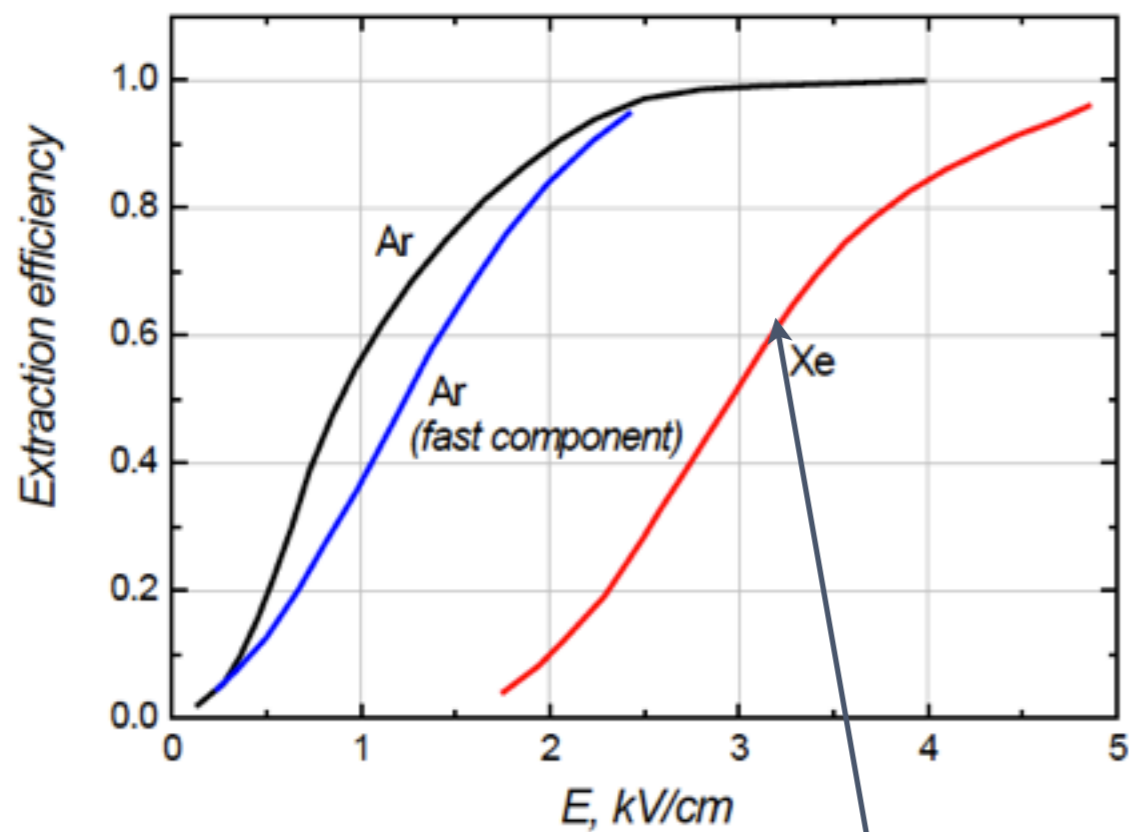
Use He as a carrier gas to pass the “dirty” xenon (100 ppb Kr contamination) through charcoal column - Xe 20 times “stickier” than Kr in charcoal

Goal: < 5 ppt Kr

Run 14



# Improvements in S2 threshold



LUX operating fields

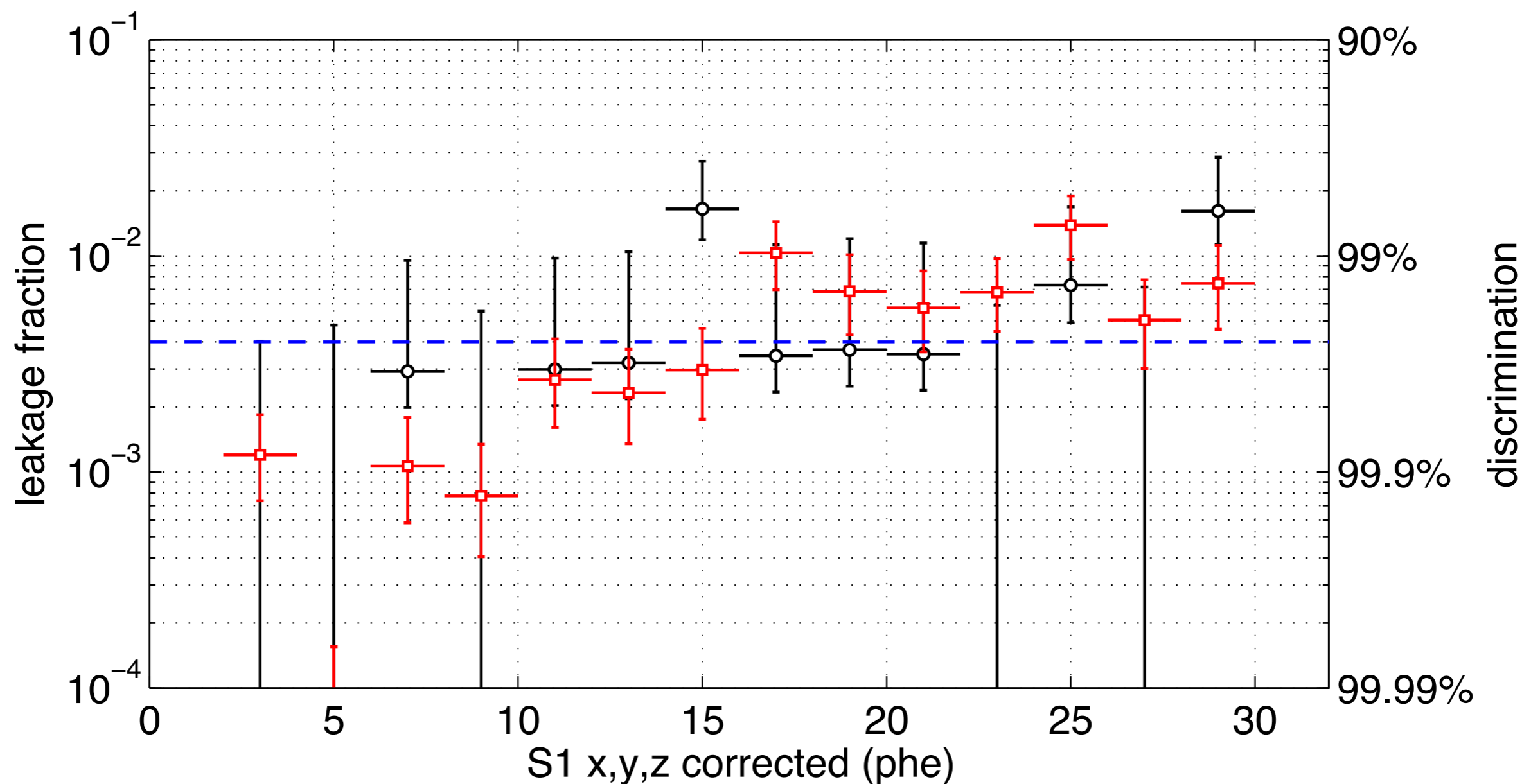
$$\frac{dN_{ph}}{dx} = \alpha E - \beta P - \gamma \quad [\text{photons/e/cm}],$$

Electric field

Pressure

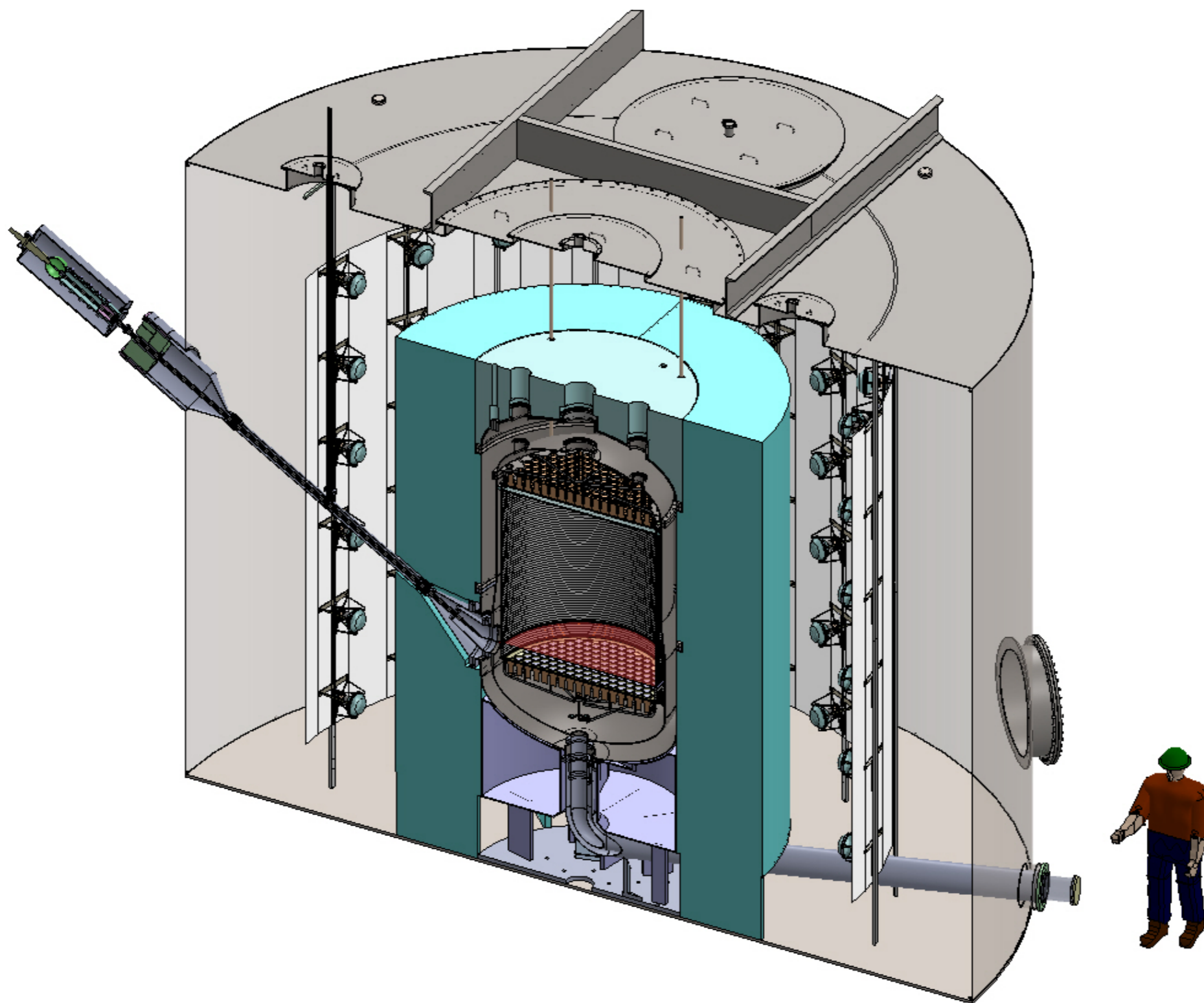
# 50% AmBe source NR discrimination

Mean “discrimination” at 50% NR acceptance (AmBe) of 99.6% between [2,30] phe

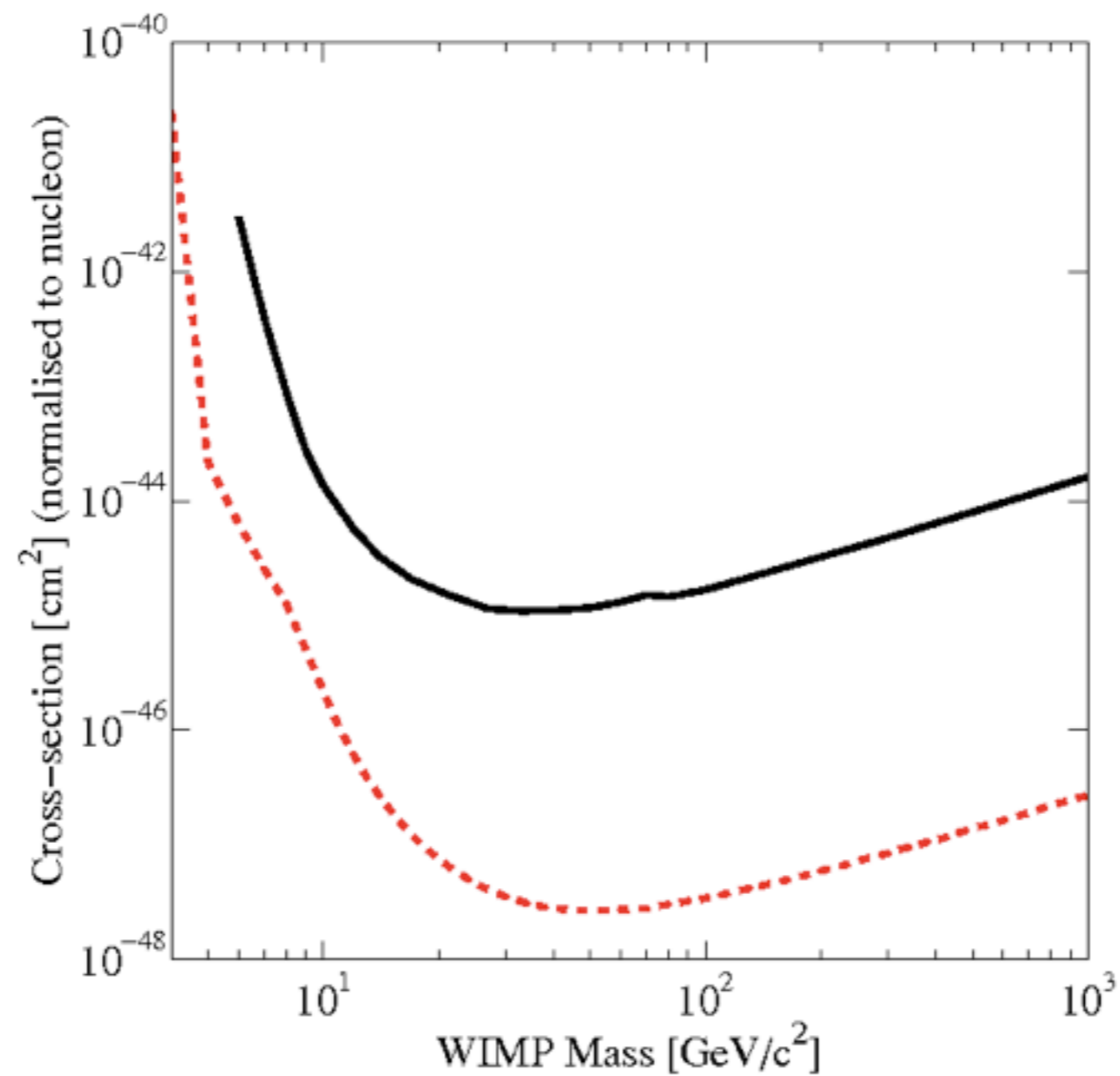




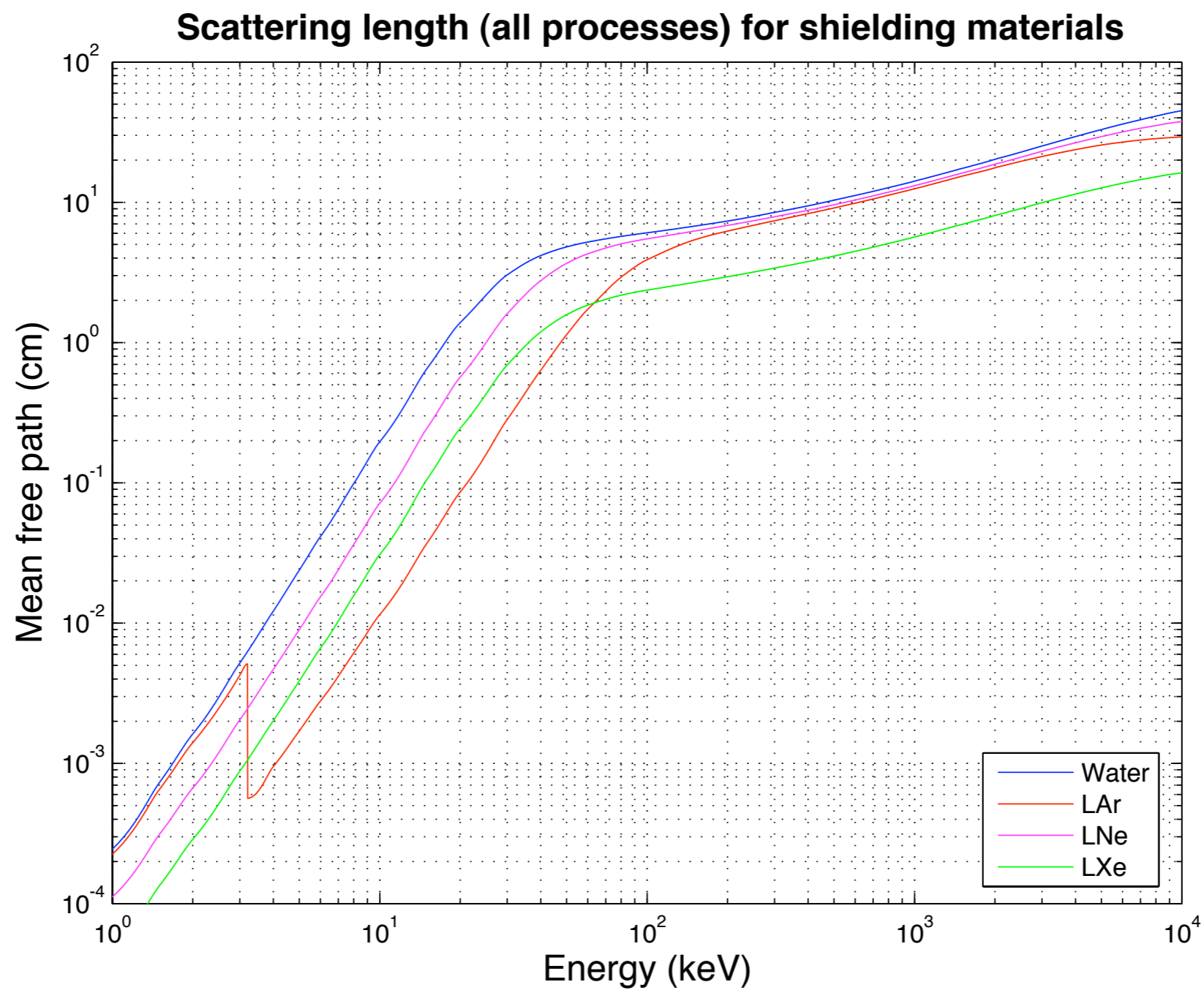
# LZ detector



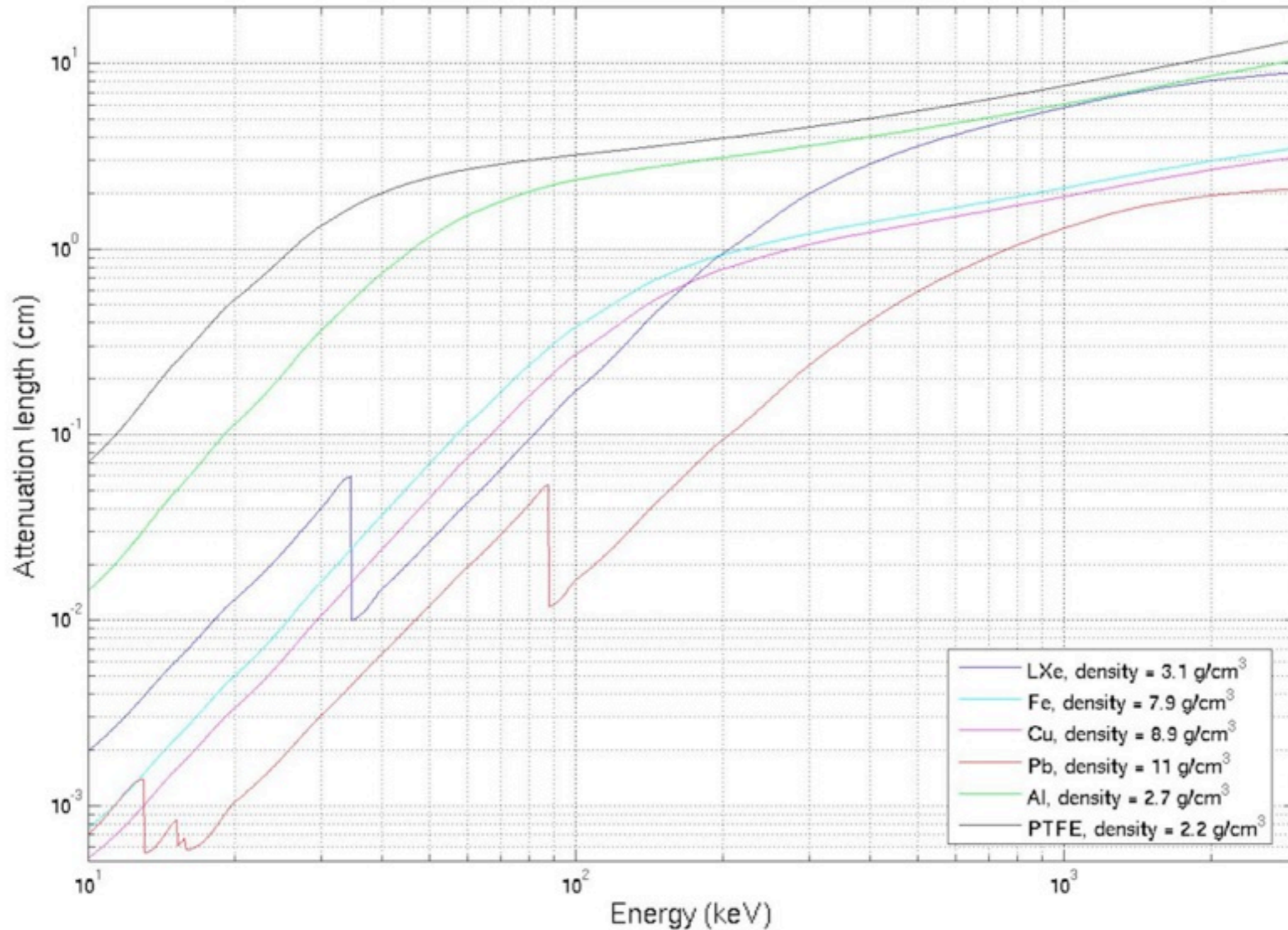
# LZ projections



# Mean free path length in LXe



Photon attenuation in several materials





# PMT light response functions

