

Inflation and quantum gravity in a Born-Oppenheimer context

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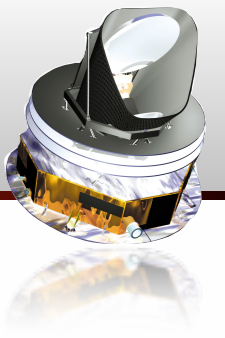
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Introduction



- Planck results are compatible with inflationary paradigm
- Tighter constraints on viable inflationary models
- Power loss in the lowest multipole interval
- Such a deviation from expected results may encode some quantum gravitational (QG) effect
- One would naively expect QG to affect more the dynamics of short wavelength modes of the spectrum of inflationary perturbations
- However long wavelength modes exit the horizon at earlier stages during inflation and re-enter later...
- ...the high curvature/high energy effects may affect their evolution for a longer period of time w.r.t. short wavelength modes!

Wheeler deWitt approach

- General Relativity is invariant upon re-parametrization $(x^i, t) \rightarrow (\tilde{x}^i, \tilde{t})$
- The Hamiltonian of space-time d.o.f. is proportional to a linear combination of first class constraints

$$H_{GR} = \int d^4x (N^i \mathcal{H}_i + N \mathcal{H})$$

- In particular the time reparametrization invariance is associated with the super-Hamiltonian constraint $\mathcal{H} = 0$
- On a homogeneous and isotropic space-time

$$ds^2 = -n(\tau)^2 d\tau^2 + a(\tau)^2 \delta_{ij} dx^i dx^j$$

- the super-Hamiltonian constraint is non trivial and at the quantum level plays the role of the time independent Schroedinger equation.

Wheeler deWitt approach

- The canonical quantization proceeds in a standard way

$$S = \int d^4x \sqrt{-g} \frac{M_{\text{P}}^2}{12} R = V \int dt n \left[-\frac{M_{\text{P}}^2}{2} \frac{a\dot{a}^2}{n^2} \right]$$

- Note:** in order to get rid of the volume of 3-space and to keep the correct dimensionality after quantization we absorb $V^{1/3}a \rightarrow a$ ($[a] = M^{-1}$)

$$\pi_a = -M_{\text{P}}^2 a\dot{a}/n \rightarrow -i \frac{d}{da} \quad \hbar = c = 1$$

- The **WdW equation** is the quantized Hamiltonian constraint

$$H \left(a, -i \frac{d}{da} \right) \Psi(a) = 0$$

$$\text{with } H = \frac{\partial \int d^3x N \mathcal{H}}{\partial N} = \int d^3x \mathcal{H} \quad \text{and} \quad N = n$$

- $\Psi(a)$ is the **wave function of the Universe**

The Matter-Gravity System

- **Inflaton field** is added $\phi(\vec{x}, \eta) = \phi_0(\eta) + \delta\phi(\vec{x}, \eta)$

- The full “effective” action can be written as $z \equiv \frac{\phi'_0}{H}$

$$S = \int d\eta \left\{ -\frac{M_{\text{P}}^2}{2} a'^2 + \frac{a^2}{2} [\phi_0'^2 - V(\phi)a^2] + \sum_{i=1,2} \sum_{k \neq 0}^{\infty} \left[v'_{i,k}(\eta)^2 + \left(-k^2 + \frac{z''}{z} \right) v_{i,k}(\eta)^2 \right] \right\}$$

- The inhomogeneous parts of the field and the metric are described by the Sasaki-Mukhanov variable $v(\vec{x}, \eta) = a(\eta)\delta\phi(\vec{x}, \eta)$ in the uniform curvature gauge

- **Approx:** $\pi_a = -M_{\text{P}}^2 a \dot{a} / n \rightarrow -i \frac{d}{da}$

- The WdW equation for the matter-gravity system is:

$$\left\{ \frac{1}{2M_{\text{P}}^2} \frac{\partial^2}{\partial a^2} - \frac{1}{2a^2} \frac{\partial^2}{\partial \phi_0^2} + V a^4 + \sum_{k \neq 0}^{\infty} \left[-\frac{1}{2} \frac{\partial^2}{\partial v_k^2} + \frac{\omega_k^2}{2} v_k^2 \right] \right\} \Psi(a, \phi_0, \{v_k\}) = 0$$

Born-Oppenheimer Approx.

- **BO approximation** has been widely applied to Quantum Chemistry for calculating the spectra of complex atoms and molecules
(M. Born and J.R. Oppenheimer, Ann. Physik 84, 457 - 1927)
- It is applied when the Quantum System can be divided in “fast” (light) + “slow” (heavy) degrees of freedom (such as electrons and nuclei in Q.Chem.)
- In the matter-gravity system gravity is the heavy d.o.f. associated to the Planck mass
(T. Banks, 1985; R. Brout, 1987; R. Brout and G. Venturi, 1989)
- One can make the ansatz $\Psi(a, \phi_0, \{v_k\}) = \psi(a)\chi(a, \phi_0, \{v_k\}) = \psi(a) \prod_{k=0}^{\infty} \chi_k(a, v_k)$
 $\phi_0 \equiv v_0$
- The **BO decomposition** of the system is:

$$\left[\frac{1}{2M_{\text{P}}^2} \frac{\partial^2}{\partial a^2} + \langle \hat{H}^{(M)} \rangle \right] \tilde{\psi} = -\frac{1}{2M_{\text{P}}^2} \langle \frac{\partial^2}{\partial a^2} \rangle \tilde{\psi}$$

Equation for homogeneous gravity

where

$$\psi = e^{-i \int^a \mathcal{A} da'} \tilde{\psi}, \quad \chi = e^{i \int^a \mathcal{A} da'} \tilde{\chi}, \quad \mathcal{A} = -i \langle \chi | \frac{\partial}{\partial a} | \chi \rangle$$

$$\langle \hat{O} \rangle = \langle \tilde{\chi} | \hat{O} | \tilde{\chi} \rangle \quad \langle \chi_k | \chi_k \rangle = \int dv_k \chi_k^* \chi_k$$

$$\tilde{\psi}^* \tilde{\psi} \left[\hat{H}^{(M)} - \langle \hat{H}^{(M)} \rangle \right] \tilde{\chi} + \frac{1}{M_{\text{P}}^2} \left(\tilde{\psi}^* \frac{\partial}{\partial a} \tilde{\psi} \right) \frac{\partial}{\partial a} \tilde{\chi} = \frac{1}{2M_{\text{P}}^2} \tilde{\psi}^* \tilde{\psi} \left[\langle \frac{\partial^2}{\partial a^2} \rangle - \frac{\partial^2}{\partial a^2} \right] \tilde{\chi}$$

Equation for matter (homogeneous inflation + cosm. perturbations) **for each k-mode**

Semiclassical limit and time emergence

- The equation for gravity is
$$\left[\frac{1}{2M_{\text{P}}^2} \frac{\partial^2}{\partial a^2} + \langle \hat{H}^{(M)} \rangle \right] \tilde{\psi} = -\frac{1}{2M_{\text{P}}^2} \langle \frac{\partial^2}{\partial a^2} \rangle \tilde{\psi}$$

- On neglecting the r.h.s. and taking the semiclassical limit

$$\tilde{\psi}(a) = (|\pi_a|)^{-1/2} \exp\left(i \int da \pi_a\right) \Rightarrow \pi_a(a) \simeq \pm \sqrt{2M_{\text{P}}^2 \langle \hat{H}^{(M)} \rangle}$$

- One recovers the Friedmann equation
$$-\frac{M_{\text{P}}^2}{2} a'^2 + \sum_k \langle \hat{H}_k^{(M)} \rangle = -\frac{1}{2M_{\text{P}}^2} \sum_k \langle \tilde{\chi}_k | \partial_a^2 | \tilde{\chi}_k \rangle$$

- A time parameter related to the scale factor also appears $\eta \leftrightarrow a(\eta) \Rightarrow \frac{\partial}{\partial a} = \frac{1}{a'} \frac{\partial}{\partial \eta}$

- The equation for matter is Schwinger-Tomonaga namely the time dependent Schroedinger equation (**TDSE**) plus correction

$$i \partial_\eta |\chi_k\rangle_s - \hat{H}_k^{(M)} |\chi_k\rangle_s = \epsilon \left[\hat{\Omega}_k - \langle \hat{\Omega}_k \rangle_s \right] |\chi_k\rangle_s \quad \epsilon \equiv \frac{1}{2M_{\text{P}}^2}$$

where: $|\chi_k\rangle_s \equiv e^{-i \int^\eta \langle \tilde{\chi}_k | \hat{H}_k^{(M)} | \tilde{\chi}_k \rangle d\eta'} |\tilde{\chi}_k\rangle$ $\langle \hat{O} \rangle_s \equiv {}_s \langle \chi_k | \hat{O} | \chi_k \rangle_s$

- Q.G. corrections encoded in the operator
$$\hat{\Omega}_k = \frac{1}{a'^2} \frac{d^2}{d\eta^2} + \left[2i \frac{\langle \hat{H}_k^{(M)} \rangle_s}{a'^2} - 2 \frac{a''}{a'^3} \right] \frac{d}{d\eta}$$

Scalar fields evolution

- Each k-mode evolves independently. In particular we assume that homogeneous parts are classical and determine the overall background evolution!
- Inhomogeneities evolve according to the standard dynamics by neglecting the contributions of order $\mathcal{O}(\text{M}_{\text{P}}^{-2})$

- The solutions of the **TDSE** with Hamiltonian $\hat{H}_k^{(M)} = \frac{\hat{\pi}_k^2}{2} + \frac{\omega_k^2}{2} \hat{v}_k^2$ can be generated by the invariant operators $\hat{I}, \hat{I}^\dagger : \hat{I}|\text{vac}\rangle = 0$

Invariant definition

$$i \frac{d}{d\eta} \hat{I} + [\hat{I}, \hat{H}] = 0$$

Linear invariant operator

$$\hat{I} = \frac{e^{i\Theta}}{\sqrt{2}} \left[\left(\frac{1}{\rho} - i\rho' \right) \hat{v} + i\rho \hat{\pi} \right] \quad \Theta = \int^\eta \frac{d\eta'}{\rho^2}$$

- ρ satisfies the **Pinney equation** $\rho'' + \omega^2 \rho = \frac{1}{\rho^3}$
- The properly normalized Bunch-Davies vacuum is given by:

$$\langle v | \text{vac} \rangle = \frac{1}{(\pi \rho^2)^{1/4}} \exp \left[\frac{i}{2} \int^\eta \frac{d\eta'}{\rho^2} - \frac{v^2}{2} \left(\frac{1}{\rho^2} - i \frac{\rho'}{\rho} \right) \right]$$

Two Point Function

- The spectrum of scalar fluctuations is related to observable quantities

$$p(\eta) \equiv {}_s\langle 0|\hat{v}^2|0\rangle_s = \langle \hat{v}^2 \rangle_0 \quad |0\rangle_s \leftrightarrow |\text{vac}\rangle$$

- When QG effects are taken into account the vacuum satisfies the non linear eq.

$$0 = i\frac{d}{d\eta}|0\rangle_s - \hat{H}|0\rangle_s - \left[\left(2i\langle \hat{H} \rangle_0 g(\eta) + g'(\eta) \right) \left(\frac{d}{d\eta} - \langle \frac{d}{d\eta} \rangle_0 \right) + g(\eta) \left(\frac{d^2}{d\eta^2} - \langle \frac{d^2}{d\eta^2} \rangle_0 \right) \right] |0\rangle_s$$

- We translate the eq. for the vacuum $|0\rangle_s$ into an eq. for $p(\eta)$ $g(\eta) = \frac{1}{2M_{\text{P}}^2 a'^2}$

$$\frac{d\langle \hat{v}^2 \rangle_0}{d\eta} = \langle \{ \hat{v}, \hat{\pi} \} \rangle_0 - iR\langle \hat{v}^2 \rangle_0$$

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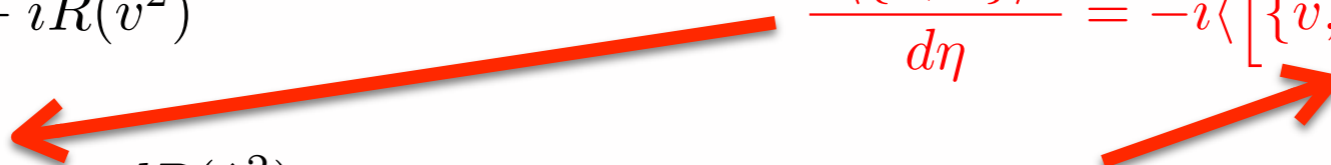
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$$\frac{d\langle \{ \hat{v}, \hat{\pi} \} \rangle_0}{d\eta} = -i\langle [\{ \hat{v}, \hat{\pi} \}, \hat{H}] \rangle_0 - iR(\{ \hat{v}, \hat{\pi} \})$$

$$[\{ \hat{v}, \hat{\pi} \}, \hat{H}] = 2i(\hat{\pi}^2 - \omega^2 \hat{v}^2)$$



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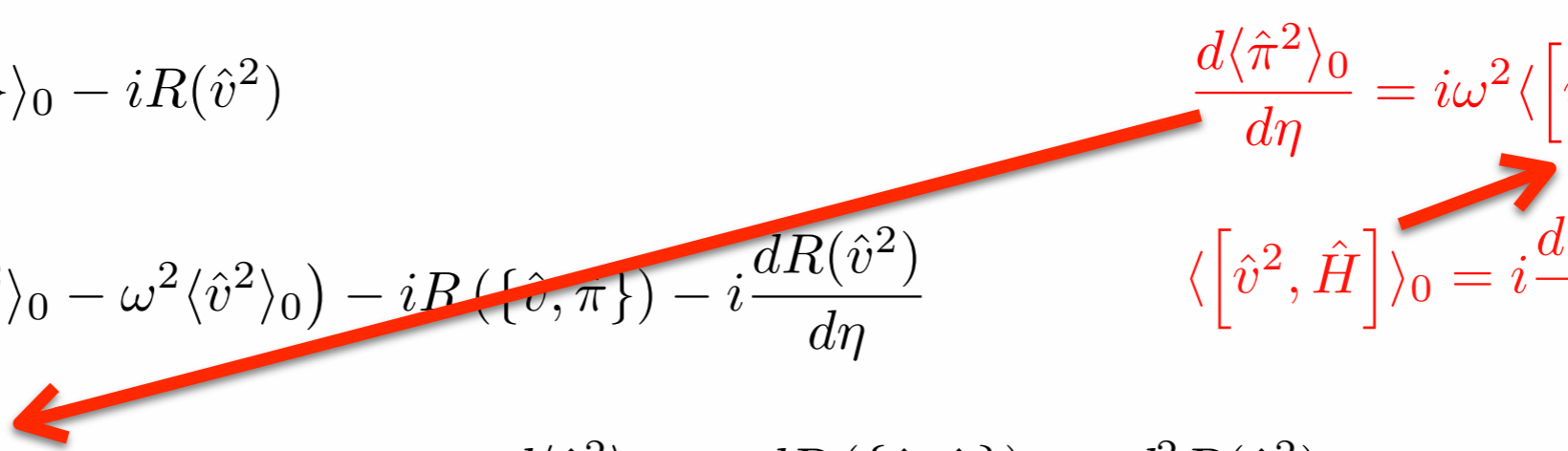
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$$\frac{d\langle \hat{\pi}^2 \rangle_0}{d\eta} = i\omega^2\langle [\hat{v}^2, \hat{H}] \rangle_0 - iR\langle \hat{\pi}^2 \rangle_0$$

$$\langle [\hat{v}^2, \hat{H}] \rangle_0 = i\frac{d\langle \hat{v}^2 \rangle_0}{d\eta} - R\langle \hat{v}^2 \rangle_0$$



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where

Purely imaginary function of eta

$$R(\hat{O}) = -\langle \hat{O} \rangle_0 \left(\left(2ig\langle \hat{H} \rangle_0 + g' \right) \langle \partial_\eta \rangle_0 + g\langle \partial_\eta^2 \rangle_0 - c.c. \right) + \left(2ig\langle \hat{H} \rangle_0 + g' \right) \langle \hat{O}\partial_\eta \rangle_0 + g\langle \hat{O}\partial_\eta^2 \rangle_0 - c.c.$$

Solutions for p(eta)

- Finding the exact solution for $p(\eta)$ is a hopeless task
- A **perturbative approach** is needed and sufficient given the present precision of cosmological data:
 - Neglect QG effects ($M_{\text{P}} \rightarrow \infty, R(\hat{O}) \rightarrow 0$) and find the zero order vacuum solution
 - Evaluate QG corrections for the zero order vacuum
 - Express results up to the M_{P}^{-2} order

First method

- The approximate solution is $p \simeq p^{(0)} + M_{\text{P}}^{-2} p^{(1)} = \frac{\rho^2}{2} + M_{\text{P}}^{-2} p^{(1)}$
- On using $|\text{vac}\rangle$ the QG corrections can be expressed through

$$R(\hat{O}) = M_{\text{P}}^{-2} F_{R;\hat{O}}(\rho, \rho', \eta) + \mathcal{O}(M_{\text{P}}^{-4}) = M_{\text{P}}^{-2} \tilde{F}_{R;\hat{O}}(p, p', \eta) + \mathcal{O}(M_{\text{P}}^{-4})$$

- One finally has the non linear equation

$$0 = \frac{d^3 p}{d\eta^3} + 4\omega^2 \frac{dp}{d\eta} + 2 \frac{d\omega^2}{d\eta} p - \frac{1}{M_{\text{P}}^2} \frac{d^3}{d\eta^3} \frac{(p'^2 + 4\omega^2 p^2 - 1)}{4a'^2} + \frac{1}{M_{\text{P}}^2} \frac{d^2}{d\eta^2} \frac{p' (p'^2 + 4\omega^2 p^2 + 1)}{4pa'^2}$$

$$+ \frac{1}{M_{\text{P}}^2} \frac{d}{d\eta} \left\{ \frac{1}{8a'^2 p^2} \left[(1 - 4\omega^2 p^2)^2 + 2p'^2 (1 + 4\omega^2 p^2) + p'^4 \right] \right\} - \frac{1}{M_{\text{P}}^2} \frac{\omega\omega' (p'^2 + 4\omega^2 p^2 - 1)}{a'^2}$$

Solutions for $p(\eta)$

Second method

- One can explicitly use the exact (or approx.) solution for $\rho(\eta)$ and write

$$R(\hat{O}) = M_{\text{P}}^{-2} f_{R;\hat{O}}(\eta) + \mathcal{O}(M_{\text{P}}^{-4})$$

- This method is exactly equivalent but is preferred if the analytical expression for $\rho(\eta)$ can be obtained
- The first method can be used for numerical results
- In any case one needs to specify
 - the **background** (homogeneous) evolution
 - the **initial conditions**
- Initial conditions: **BD vacuum** seems appropriate (and generally adopted for cosmological perturbations)
 - BD at the **unperturbed level** means (**type I**)

$$p^{(0)}(-\infty) = \rho(-\infty)^2 / 2 = 1 / (2k) \Rightarrow \rho(-\infty) = 1 / \sqrt{k}$$

- BD at the **perturbed level** means (**type II**) $p(-\infty) = 1 / (2k)$

Application to De Sitter

- Background evolution: $a(\eta) = -\frac{1}{H\eta}$, $\omega = \sqrt{k^2 - \frac{2}{\eta^2}}$
- The unperturbed BD vacuum solution corresponds to $\rho = \sqrt{\frac{1}{k} + \frac{1}{k^3\eta^2}}$
- The full equation for $p(\eta)$ is very compact and can be exactly solved

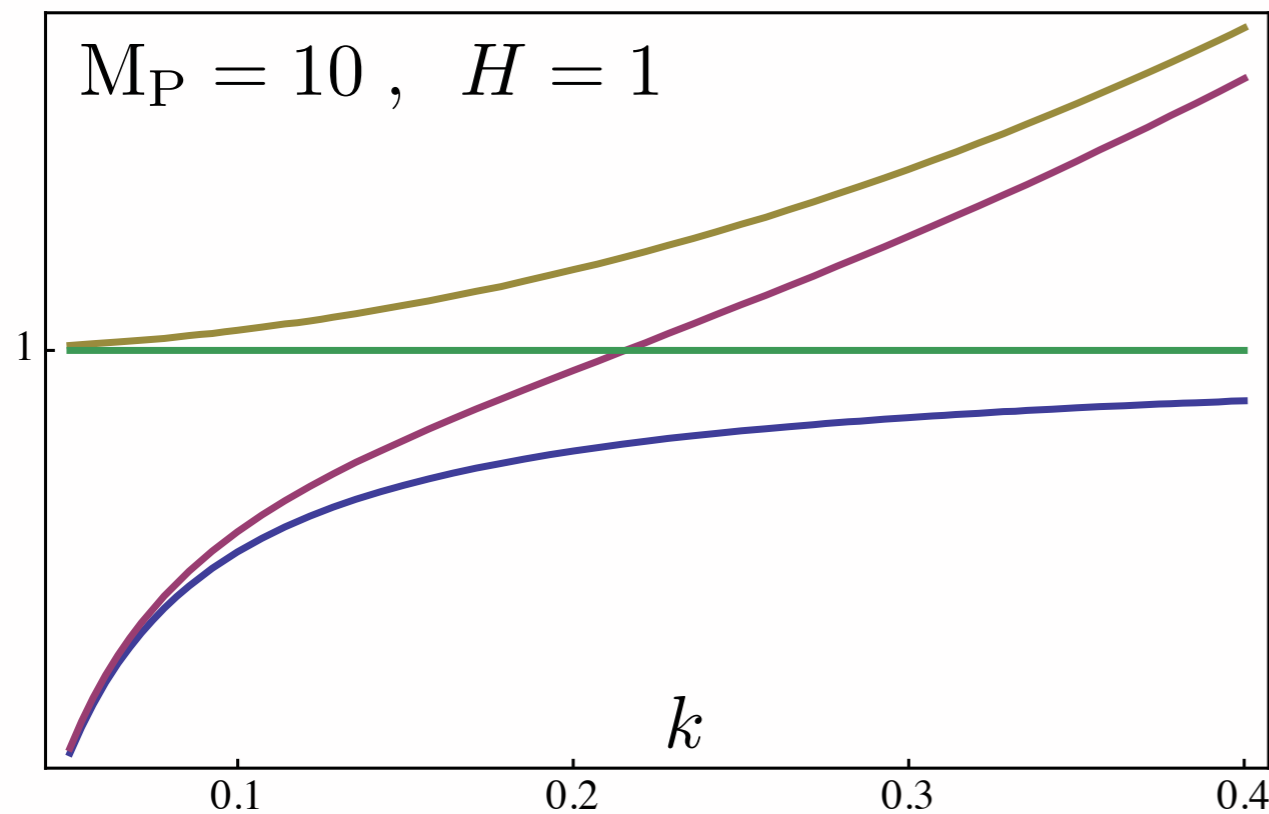
$$\frac{d^3 p}{d\eta^3} + 4 \left(k^2 - \frac{2}{\eta^2} \right) \frac{dp}{d\eta} + \frac{8}{\eta^3} p + \frac{4H^2}{M_{\text{P}}^2 k^4 \eta^3} = 0$$

- It's solution is
$$p = \frac{1}{2k^4\eta^2} \left\{ c_+ (1 + k^2\eta^2) + \cos(2k\eta) [2c_0 k\eta - c_- (k^2\eta^2 - 1)] \right. \\ \left. + \sin(2k\eta) [c_0 (k^2\eta^2 - 1) + 2c_- k\eta] - \frac{H^2}{M_{\text{P}}^2} \eta^2 \right\}$$
- Imposing the **initial conditions of type I**: $c_- = c_0 = 0$, $c_+ = k$

$$\mathcal{P}_v = \frac{k^3}{2\pi^2} p = \frac{a^2 H^2}{4\pi^2} \left(1 + \frac{k^2}{a^2 H^2} - \frac{1}{a^2 k M_{\text{P}}^2} \right) \stackrel{-k\eta \rightarrow 0}{=} \frac{a^2 H^2}{4\pi^2} \left(1 - \frac{1}{a^2 M_{\text{P}}^2 k} \right)$$

Application to De Sitter

- Analytical (qualitative) results for modes outside the Horizon: $k/aH \ll 1$



Exact DS

DS plus QG corrections

DS in long wavelength limit (FLAT)

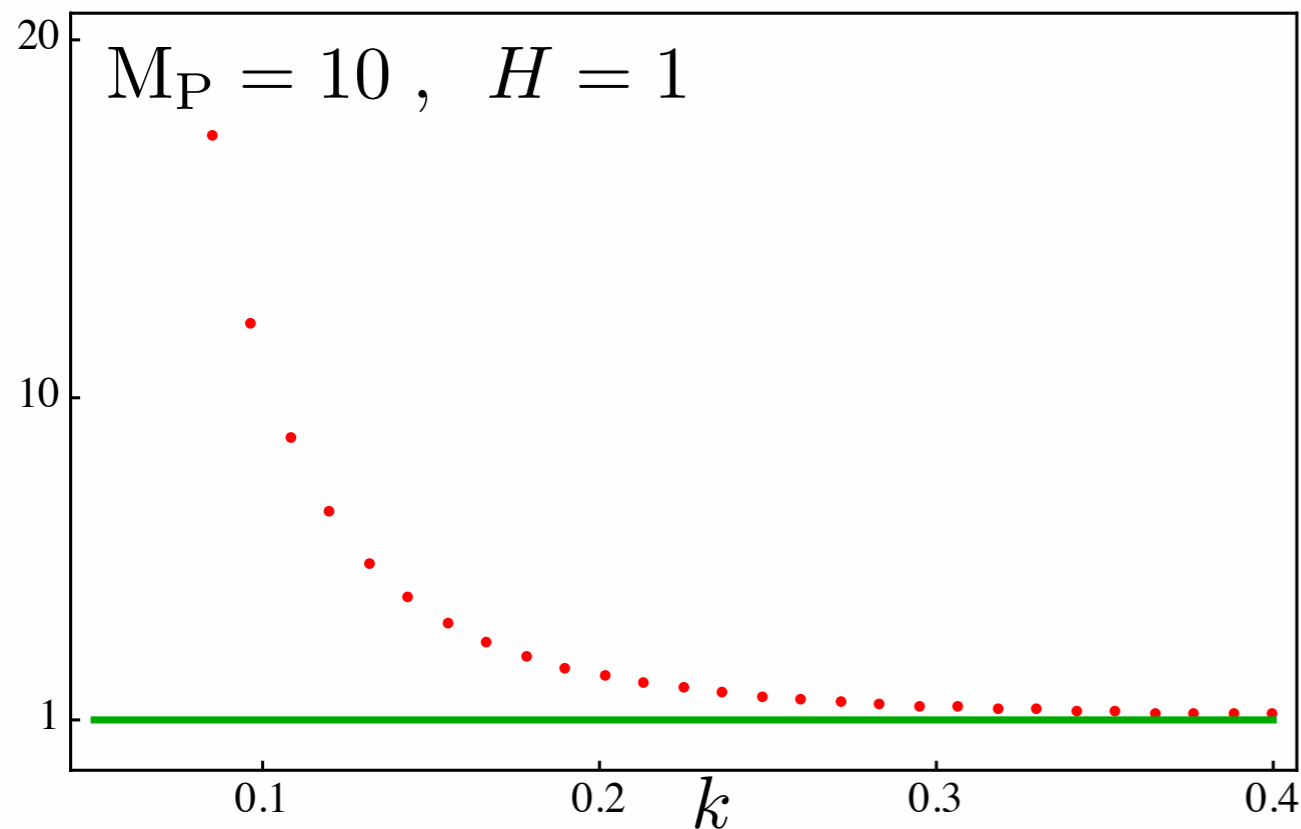
DS plus QG corrections in long wavelength limit

Terms which contribute	1	$+$	$\frac{k^2}{a^2 H^2}$	$-$	$\frac{1}{a^2 k M_P^2}$

- For k large the QG corrections are negligible! (**no trans-Planckian problem!**)
- For k small we observe a **power-loss** w.r.t. standard de Sitter flat spectrum
- The QG correction evolve in time as the sub-leading term

Application to De Sitter

- **Numerical (qualitative) results for modes outside the Horizon: $k/aH \ll 1$**



- Numerical results (red) of the full equation for p
- Initial conditions of **type II**
- Deviation at small k
- Power enhancement w.r.t. the pure de Sitter case

DS plus QG corrections
DS in long wavelength limit (FLAT)

- There's a mismatch between the two estimates but different initial conditions!
- Such a mismatch is originated by slightly different way to fix initial conditions:
 - Analytical case: BD vacuum is the unperturbed initial state (type I)
 - Numerical case: BD vacuum is the perturbed initial state (type II)
- The above difference is in the **small k region!**

Application to De Sitter

- Consider the exact analytical spectrum we obtained:

$$\mathcal{P}_v = \frac{k^3}{2\pi^2} p = \frac{a^2 H^2}{4\pi^2} \left(1 + \frac{k^2}{a^2 H^2} - \frac{1}{a^2 k M_{\text{P}}^2} \right)$$

**Long
WL
behav.**

**Short
WL
behav.**

**Quantum
Grav.
Correction**

- The following relation must hold for QG correction to be observable

$$\frac{k^2}{a^2 H^2} \ll \frac{1}{a^2 M_{\text{P}}^2 k} \ll 1 \quad \Rightarrow \quad \frac{1}{a^2 M_{\text{P}}^2} \ll k \ll \left(\frac{H^2}{M_{\text{P}}^2} \right)^{1/3}$$

- NOTE:** if QG effects are observable in Long WL regime they dominate over Short WL term forever! BD vacuum is modified by QG corrections!
- One can make a different choice of integration constants, consistent with our approx.:

$$c_- = c_0 = 0, \quad c_+ = k + \left(H^2 / M_{\text{P}}^2 \right) c(k)$$

- Choose $c(k)$ to eliminate the pathological behavior at $k/aH \gg 1$

Application to De Sitter

- These modified initial conditions and the above requirement may be equivalent to fixing **type II** initial conditions!

$$\tilde{\mathcal{P}}_v = \frac{a^2 H^2}{4\pi^2} \left(1 + \frac{k^2}{a^2 H^2} - \frac{1}{a^2 k M_{\text{P}}^2} + \frac{c(k)}{k} \frac{H^2}{M_{\text{P}}^2} + \frac{c(k)k^2}{a^2 k M_{\text{P}}^2} \right)$$

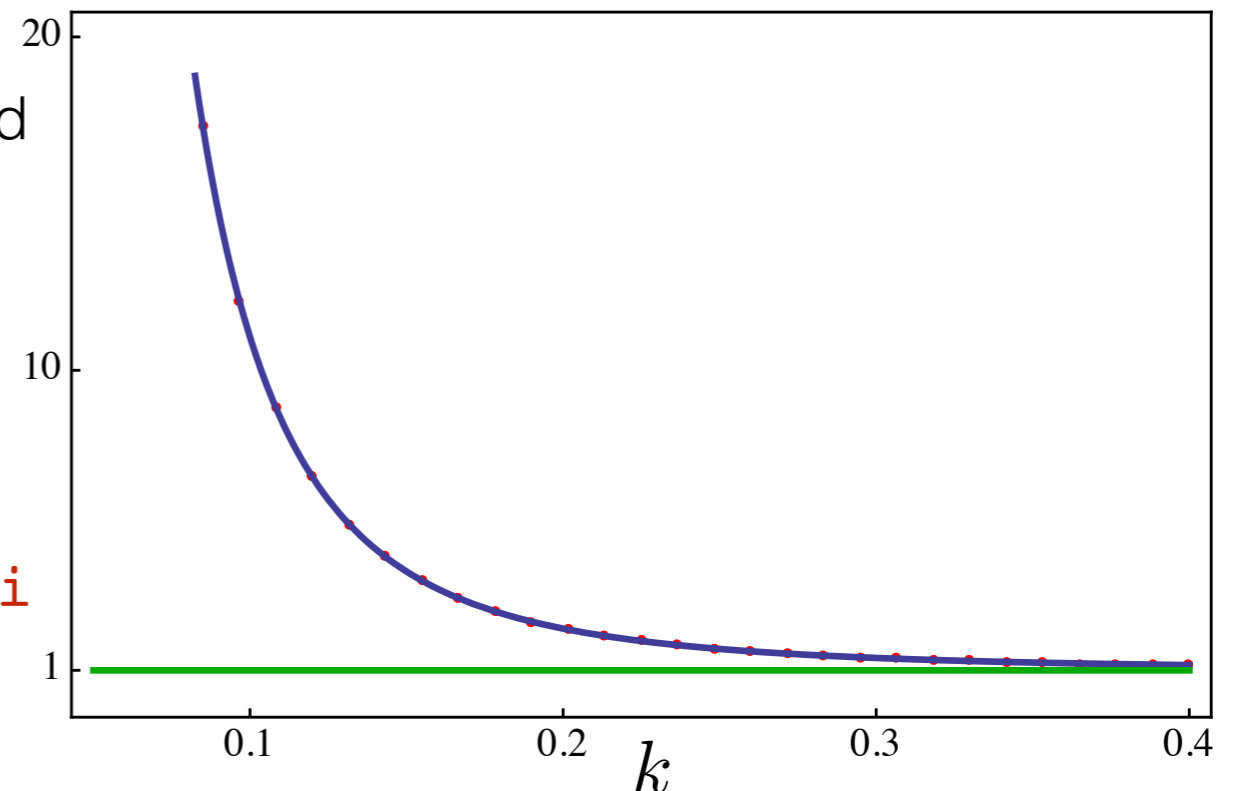
- Take $c(k) = 1/k^2$ and the final result is:

$$\tilde{\mathcal{P}}_v = \frac{a^2 H^2}{4\pi^2} \left(1 + \frac{k^2}{a^2 H^2} + \frac{1}{k^3} \frac{H^2}{M_{\text{P}}^2} \right) \stackrel{-k\eta \rightarrow 0}{=} \frac{a^2 H^2}{4\pi^2} \left(1 + \frac{1}{k^3} \frac{H^2}{M_{\text{P}}^2} \right).$$

- The modified spectrum is different from the previous analytical estimate
- The modified spectrum is exactly that obtained in the numerical solution with type II initial conditions!

Similar results:

- D.Bini, G.Esposito, C.Kiefer, M.Kraemer and F.Pessina (2013)
- G.L.Alberghi, R.Casadio, A.Tronconi (2006)
- G. Calcagni (2012)



Comparison with observations?

- The spectrum is evaluated around some **pivot scale** $k \sim k_* \simeq 0.002 \text{ Mpc}^{-1}$
- First one need to **adjust dimensions** (a length scale is hidden)
- **For the type II case**

$$\frac{1}{k^3} \frac{H^2}{\text{M}_\text{P}^2} \rightarrow \delta_{QG} = \left(\frac{k_0}{k} \right)^3 \frac{H^2}{\text{M}_\text{P}^2} \quad \text{with} \quad (H/\text{M}_\text{P})^2 \lesssim 10^{-6}$$

$$k_0 \simeq 1.4 \cdot 10^{-4} \text{Mpc}^{-1} \quad (\text{smaller observable mode}) \quad \delta_{QG} \simeq 3.4 \cdot 10^{-10}$$

$$k_0 \sim k_* \quad (\text{comparable with the pivot scale}) \quad \delta_{QG} \simeq 10^{-6}$$

- **For the type I case**

$$\frac{1}{\text{M}_\text{P}^2 a^2 k} \rightarrow \delta_{QG} = \frac{k_0^3}{\text{M}_\text{P}^2 a^2 k} = \frac{(k_0/a_0)^2}{\text{M}_\text{P}^2} \left(\frac{a_0}{a} \right)^2 \frac{k_0}{k}$$

$$\left\{ \begin{array}{l} \frac{k_0}{a_0} \simeq H \\ \frac{k_0}{a_{\text{today}}} \simeq 1.4 \cdot 10^{-4} \text{Mpc}^{-1} \end{array} \right. \quad \left\{ \begin{array}{l} \delta_{QG} \simeq \left(\frac{a_0}{a} \right)^2 \cdot 10^{-7} \\ \left(\frac{a_0}{a} \right)^2 \ll 1 \Rightarrow \delta_{QG} \ll 10^{-7} \end{array} \right.$$

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**Tiny corrections
Maybe observable
by future experiments**

- **For the type I case**

$$\frac{1}{\text{M}_\text{P}^2 a^2 k} \rightarrow \delta_{QG} = \frac{k_0}{\text{M}_\text{P}^2 a^2 k} = \frac{(k_0/a_0)^2}{\text{M}_\text{P}^2} \left(\frac{a_0}{a}\right)^2 \frac{k_0}{k}$$

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$$\begin{cases} \delta_{QG} \simeq \left(\frac{a_0}{a}\right)^2 \cdot 10^{-7} \\ \left(\frac{a_0}{a}\right)^2 \ll 1 \Rightarrow \delta_{QG} \ll 10^{-7} \end{cases}$$

Conclusions

- We calculated the quantum gravitational corrections to the spectrum of cosmological perturbations through the canonical quantization of the full matter gravity system
- On adopting a BO decomposition we could decouple the dynamics of the homogeneous d.o.f. and that of scalar perturbations
- We were able to obtain exact equations governing the dynamics of perturbations and that of the two-point function
- These equations can be solved, depending on the background evolution, via an analytical or a numerical approach
- We studied two different prescriptions for initial conditions (type I and type II)
- The machinery was applied to the simplified but still important de Sitter case
- Initial conditions crucially determine the evolution
- **Small k-modes are more affected** by QG effects as expected
- **Power enhancement or power loss** can be obtained depending on initial conditions
- Still QG corrections are **small compared to the precision of present experiments**
- The method **can be easily generalized to other cases** (and that is what we are doing now): gravitational waves, power law inflation, slow-roll inflation

Thank You