# Thermalization process of dark matter axions

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based on [1] KS, M.Yamaguchi, hep-ph/1210.7080. [PRD87, 085010 (2013)] [2] T. Noumi, KS, R. Sato, M.Yamaguchi, hep-ph/1310.0167. (submitted to PRD)

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## Abstract

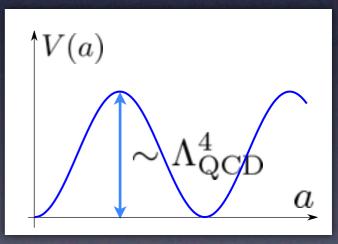
- Discuss the possibility that QCD axions form a Bose-Einstein condensate (BEC)
- Investigate elementary processes in the system of coherently oscillating axions
  - Estimate the interaction rate KS, Yamaguchi, PRD87, 085010 (2013)
     (based on Newtonian approximation)
  - Reanalyze it on the ground of general relativity Noumi, KS, Sato, Yamaguchi, 1310.0167

## Axion

- motivated as a solution of strong CP problem
- Spontaneous breaking of continuous Peccei-Quinn symmetry at  $T \simeq F_a \simeq 10^{9-12} {\rm GeV}$  "axion decay constant"
  - Nambu-Goldstone theorem
     → emergence of the (massless) particle = axion Weinberg(1978), Wilczek(1978)
- Axion has a small mass (QCD effect)
   → pseudo-Nambu-Golstone boson

$$m_a \sim \frac{\Lambda_{\rm QCD}^2}{F_a} \sim 6 \times 10^{-6} {\rm eV} \left(\frac{10^{12} {\rm GeV}}{F_a}\right)$$

 $\Lambda_{\rm QCD} \simeq \mathcal{O}(100) {\rm MeV}$ 

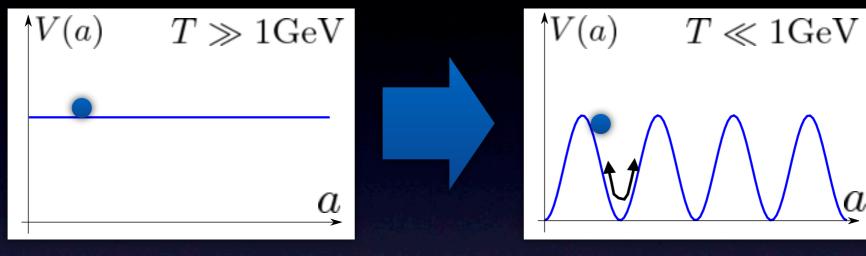


Tiny coupling with matter + non-thermal production
 → good candidate of cold dark matter

## Production mechanism

Preskill, Wise, Wilczek (1983); Abbott, Sikivie (1983); Dine, Fischler (1983)

#### Misalignment mechanism



The axion mass "turns on" at  $m_a(t_1) = H(t_1)$  ( $T_1 \sim 1 \text{GeV}$ )

• EOM for homogeneous axion field

$$\left(\frac{d^2}{dt^2} + \frac{3}{2t}\frac{d}{dt} + m_a^2\right)\langle a\rangle = 0$$

 $m_a A^2 \propto R^{-3}(t)$  ,  $\langle a \rangle = A(t) \cos(m_a t)$ 

R(t): scale factor of the universe

$$\rho_a(t) = \frac{1}{2} m_a^2 \langle a \rangle^2 \propto R^{-3}(t)$$

behave like non-relativistic matter

## Axion BEC dark matter ?

- Peculiarities of axion dark matter
  - Non-thermal production

$$H \lesssim m_a \quad (t = t_1) \qquad t_1 \sim 10^{-7} \mathrm{sec}$$

$$\delta v \sim \frac{\delta p}{m_a} \sim \frac{R(t_1)}{R(t_0)} \frac{1}{m_a t_1} \sim 3 \times 10^{-17} \left(\frac{F_a}{10^{12} \text{GeV}}\right)^{0.81}$$

small velocity dispersion ("cold" dark matter)

Large occupation number

$$\mathcal{N} \sim n_a \frac{(2\pi)^3}{\frac{4\pi}{3} (m_a \delta v)^3} \sim 10^{61} \left(\frac{F_a}{10^{12} \text{GeV}}\right)^{2.75}$$

(  $n_a \sim m_a F_a^2 (R(t_1)/R(t_0))^3$  : number density of axions)

- A possibility that axions exist in the form of Bose-Einstein condensate (BEC) <sub>Sikivie, Yang, PRL103, 111301 (2009)</sub>
- Observable signatures (distinction between axions and WIMPs) ?
  - Effects on phase space structure of galactic halo (?)

Sikivie, Phys. Lett. B695, 22 (2011); Banik, Sikivie, astro-ph.GA/1307.3547

• Effects on cosmological parameters (?)

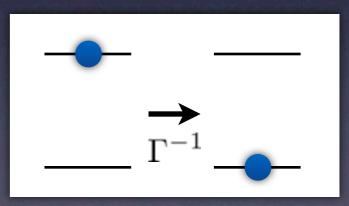
Erken, Sikivie, Tam, Yang, PRL108, 061304 (2012)

## Can axions form a BEC ?

- They must be in thermal equilibrium
- Can axions thermalize in the expanding universe ?
- Naive expectation : They develop toward thermal equilibrium if the transition rate Γ exceeds the expansion rate H

 $\Gamma \sim \dot{\mathcal{N}}_{\mathbf{p}} / \mathcal{N}_{\mathbf{p}} > H$ 

 $\mathcal{N}_{\mathbf{p}}$  : occupation number (state labeled by three momentum **p** )



• Identify elementary processes to estimate  $\Gamma$  !

## Previous study

Erken, Sikivie, Tam, Yang, PRD85, 063520 (2012)

Time evolution of quantum operators in the Heisenberg picture

 $H = \sum_{i} \omega_{i} a_{i}^{\dagger} a_{i} + \sum_{i,j,k,l} \frac{1}{4} \Lambda_{kl}^{ij} a_{k}^{\dagger} a_{l}^{\dagger} a_{i} a_{j}$ *l* : label of the state (momentum)  $\mathcal{N}_l = a_l^{\dagger} a_l$  $\dot{\mathcal{N}}_l = i[H, \mathcal{N}_l]$ Leading contribution  $= i \sum_{i,j} \frac{1}{2} (\Lambda_{ij}^{kl} a_i^{\dagger} a_j^{\dagger} a_k a_l e^{-i\Omega_{ij}^{kl} t} - \text{H.c.}) \qquad \text{Leading contribution}$ in the condensed regime  $\Omega_{ij}^{kl} t \ll 1$  $_{i,j,k}$  $+\sum \frac{1}{2} |\Lambda_{ij}^{kl}|^2 [\mathcal{N}_i \mathcal{N}_j (\mathcal{N}_l + 1)(\mathcal{N}_k + 1)]$  $\dot{\mathcal{N}}_l \sim \mathcal{O}(\Lambda^{kl}_{ij})$ reduce to Boltzmann eq. in the particle  $-\mathcal{N}_l \mathcal{N}_k (\mathcal{N}_i + 1)(\mathcal{N}_j + 1)] \frac{2}{\Omega_{ij}^{kl}} \sin(\Omega_{ij}^{kl} t) + \dots$ kinetic regime  $\Omega_{ij}^{kl} t \gg 1$  $\dot{\mathcal{N}}_l \sim \mathcal{O}(|\Lambda_{ij}^{kl}|^2)$  $\Omega_{ij}^{kl} \equiv \omega_k + \omega_l - \omega_i - \omega_j$ Axions : condensed regime (  $\Omega_{ij}^{kl} \sim m_a \delta v^2 < t^{-1}$  ) ightarrowenhancement of interaction rate  $\Gamma \sim \dot{\mathcal{N}}/\mathcal{N} \sim \mathcal{O}(\Lambda)$ What about the quantum-mechanical averages  $\langle N_l(t) \rangle$ ? 

## In-in formalism

Weinberg, PRD72, 043514 (2005)

 Analytic methods to calculate the time evolution of the expectation value of a quantum operator

$$\begin{aligned} \langle \mathrm{in} | \mathcal{O}(t) | \mathrm{in} \rangle &= \langle \mathcal{O} \rangle + i \int_{t_0}^t dt_1 \langle [H_I(t_1), \mathcal{O}] \rangle \\ &+ (i)^2 \int_{t_0}^t dt_2 \int_{t_0}^{t_2} dt_1 \langle [H_I(t_1), [H_I(t_2), \mathcal{O}]] \rangle + .. \end{aligned}$$

 $\mathcal{O} = \mathcal{N}_n \equiv \frac{a_n^{\dagger} a_n}{V}$  : number operator V: volume of the 3-dim space  $H_I(t) = \frac{1}{V^4} \sum_{ijkl} \frac{1}{4} \Lambda_{kl}^{ij} e^{-i\Omega_{kl}^{ij}t} a_k^{\dagger} a_l^{\dagger} a_i a_j$  (ignore axion # violating process)

Gravitational quartic interaction (Newtonian approx.)

$$\Lambda_{kl}^{ij} = -4\pi G m_a^2 \left( \frac{1}{|\mathbf{p}_k - \mathbf{p}_i|^2} + \frac{1}{|\mathbf{p}_k - \mathbf{p}_j|^2} \right) V \delta_{i+j,k+l} \quad \longleftarrow \quad H_I = -\frac{G}{2} \int d^3x d^3x' \frac{\rho(\mathbf{x},t)\rho(\mathbf{x}',t)}{|\mathbf{x} - \mathbf{x}'|}$$

• Calculation procedure

Specify  $H_I(t)$  and  $|{
m in}
angle$ 

Compute  $\langle \mathcal{N}_{\mathbf{P}}(t) \rangle$  via perturbative expansion

 $\rho(\mathbf{x}, t)$ : energy density of axions

#### Coherent vs number state

- $|in\rangle$  = a state which represents the coherent oscillation of axions
- For axions "wavy fields"

use a coherent state

$$\begin{split} |\{\alpha\}\rangle &= \prod_{i} e^{-\frac{1}{2}|\alpha_{i}|^{2}} \sum_{n=0}^{\infty} \frac{\alpha_{i}^{n}}{n!\sqrt{V^{n}}} (a_{i}^{\dagger})^{n} |0\rangle \\ a_{i}|\alpha_{i}\rangle &= V^{1/2}\alpha_{i}|\alpha_{i}\rangle \qquad \text{with } a_{i}|0\rangle = 0 \end{split}$$

- For other species "point particles" (photons, baryons, WIMPs,...) use a number state  $|\{\mathcal{N}\}\rangle = \prod_{k} \frac{1}{\sqrt{\mathcal{N}_{k}! V^{\mathcal{N}_{k}}}} (a_{k}^{\dagger})^{\mathcal{N}_{k}} |0\rangle$

### Evolution of occupation number

 $\langle \mathrm{in} | \mathcal{N}_p(t) | \mathrm{in} \rangle = \langle \mathcal{N}_p \rangle + i \int_{t_0}^t \langle [H_I(t_1), \mathcal{N}_p] \rangle + \mathcal{O}(H_I^2) + \dots$ 

$$i \int_{t_0}^t dt_1 \langle [H_I(t_1), \mathcal{N}_p] \rangle \xrightarrow{t-t_0 \to \infty} -\frac{1}{2V^2} \sum_j \sum_k \sum_l \left[ \Lambda_{kl}^{pj} \frac{e^{-i\Omega_{kl}^{pj}t}}{\Omega_{kl}^{pj}} \alpha_k^* \alpha_l^* \alpha_j \alpha_p + \text{c.c.} \right]$$

for 
$$|\mathrm{in}\rangle = \prod_{i} e^{-\frac{1}{2}|\alpha_i|^2} \sum_{n=0}^{\infty} \frac{\alpha_i^n}{n!\sqrt{V^n}} (a_i^{\dagger})^n |0\rangle$$

coherent state

$$\int_{t_0}^{t} dt_1 \langle [H_I(t_1), \mathcal{N}_p] \rangle = 0 \quad \text{ for } \quad |\text{in}
angle = \prod_k \frac{1}{\sqrt{\mathcal{N}_k! V^{\mathcal{N}_k}}} (a_k^{\dagger})^{\mathcal{N}_k} |0
angle \quad \text{ number state}$$

First order term is relevant if

 (1) condensed regime Ω<sup>pj</sup><sub>kl</sub>t ≪ 1 Ω<sup>kl</sup><sub>ij</sub> ≡ ω<sub>k</sub> + ω<sub>l</sub> - ω<sub>i</sub> - ω<sub>j</sub>
 (c.f. e<sup>-iΩ<sup>pj</sup><sub>kl</sub>t ≈ 0 for particle kinetic regime Ω<sup>pj</sup><sub>kl</sub>t ≫ 1 )
 (2) coherent state representation |in⟩ = |{α}⟩

</sup>

## Transition rate

• Transition rate of coherently oscillating components

$$\Gamma \equiv \frac{1}{\mathcal{N}_p(t)} \frac{d\mathcal{N}_p(t)}{dt} \simeq \Lambda n_a$$

 $n_a$  : number density of axions

 $\Lambda^{kl}_{pj} = \Lambda V \delta_{k+l,p+j} : \text{coefficient in the} \\ \text{interaction term}$ 

From gravitational self-interaction

$$H_{I} = -\frac{G}{2} \int d^{3}x d^{3}x' \frac{\rho(\mathbf{x}, t)\rho(\mathbf{x}', t)}{|\mathbf{x} - \mathbf{x}'|}$$
$$\Gamma_{g} \simeq \frac{4\pi G m_{a}^{2} n_{a}}{(\delta p)^{2}} \propto 1/R(t)$$
$$\delta p \sim m_{a} \delta v \propto 1/R(t)$$

• Exceed the expansion rate at

 $\Gamma_{g}$ 

$$\gtrsim H$$
  $\square$   $T \simeq 2 \times 10^3 \mathrm{eV} \left(\frac{F_a}{10^{12} \mathrm{GeV}}\right)^{0.56}$ 

Formation of BEC at  $T \sim \mathrm{keV}$  ?

Note: the result is based on the zero temperature QFT (we did not show the establishment of BE distribution)

#### Interaction with other species

• Interaction with other species b

$$H_{I,b}(t) = \frac{1}{V^4} \sum_{ijkl} \frac{1}{4} \Lambda_b^{\ ij}{}_{kl} e^{-i\Omega_{kl}^{ij}t} a_k^{\dagger} b_l^{\dagger} a_i b_j$$

• Assume b particles are represented as a number state

$$\begin{split} |\mathrm{in}\rangle &= \prod_{k} \frac{1}{\sqrt{\mathcal{N}_{k}! V^{\mathcal{N}_{k}}}} (b_{k}^{\dagger})^{\mathcal{N}_{k}} |\{\alpha\}\rangle \\ & \text{while} \quad |\{\alpha\}\rangle = \prod_{i}^{K} e^{-\frac{1}{2}|\alpha_{i}|^{2}} \sum_{n=0}^{\infty} \frac{\alpha_{i}^{n}}{n! \sqrt{V^{n}}} (a_{i}^{\dagger})^{n} |0\rangle \\ & \text{First order term exactly vanishes} \\ & \left\langle \left[H_{I,b}(t), \mathcal{N}_{p}\right]\right\rangle = 0 \end{split}$$

- Interaction with other species is second order effect.
  - Axions do not have thermal contact with other particles → does not conflict with standard cosmology

### General relativistic formulation

Noumi, KS, Sato, Yamaguchi, 1310.0167

- Calculations in previous slides : assumed Newtonian approximation
  - $\rightarrow$  It breaks down for the modes  $\,k/R \lesssim H$
- Reformulate in general relativistic framework
- Schematics: Effective quartic interaction from graviton exchange (contraction of cubic  $\delta g_{\mu\nu}\phi^2$  interactions)

G $\mathcal{O}$  $\delta g_{\mu\nu}$ 

#### Action of the scalar-graviton system

- Assumption : Effect of axions on the background evolution is negligible (i.e. early radiation dominated era)
  - → FRW background geometry is supported by other fluid (radiations) dynamical d.o.f.:  $\phi$ ,  $g_{\mu\nu}$ ,  $\delta\rho_{\rm rad}$
- Use Effective Field Theory (EFT) approach to derive the action Cheung, Fitzpatrick, Kaplan, Senatore, Creminelli, JHEP03(2008)014
  - Take the unitary gauge (  $\delta 
    ho_{
    m rad} = 0$  ,  $ho_{
    m rad} = ar{
    ho}_{
    m rad}(t)$  )
  - Time diffeomorphisms (diffs) is broken by  $ar{
    ho}_{
    m rad}(t)$
  - Use residual spatial diffs to constrain the action for  $g_{\mu
    u}$

$$\begin{split} S &= \int d^4 x \sqrt{-g} \left[ -\frac{1}{2} g^{\mu\nu} \partial_\mu \phi \partial_\nu \phi - \frac{1}{2} m_a^2 \phi^2 \right] \\ &+ \int d^4 x \sqrt{-g} \left[ \frac{1}{2} M_{\rm Pl}^2 \mathcal{R} + M_{\rm Pl}^2 \dot{H} g^{00} - M_{\rm Pl}^2 (3H^2 + \dot{H}) + \frac{M_2^4}{2} (g^{00} + 1)^2 \right] \end{split}$$

 $M_2$  : a theoretical parameter related to the sound speed  $\mathcal{C}_{\mathcal{S}}$ 

 $c_s^2 = \frac{-M_{\rm Pl}^2 H}{-M_{\rm Pl}^2 \dot{H} + 2M_2^4}$ 

#### Effective quartic interactions

• ADM decomposition

$$ds^2 = -N^2 dt^2 + h_{ij}(dx^i + N^i dt)(dx^j + N^j dt)$$
  
 $h_{ij} = R^2(t)e^{2\zeta}(e^{\gamma})_{ij}$   
fluctuations around FRW background

 $\zeta, \ \gamma_{ij}$  : dynamical fields  $N, \ N^i$  : Lagrange multipliers

• Relevant interactions in the regime  $m_a \gg H$ , k/R(Eliminating auxiliary fields  $N, N^i$ )

$$\begin{split} H_{I,\zeta\phi^2} &\simeq \int d^3x R^3 \left[ \frac{1}{2H} \dot{\zeta}(\dot{\phi}^2 + m_a^2 \phi^2) - \frac{3}{2} \zeta(\dot{\phi}^2 - m_a^2 \phi^2) \right] + \mathcal{O}(H^2 \zeta \phi^2) \\ H_{I,\phi^4} &\simeq \int d^3x R^3 \left[ \frac{1}{16M_{\rm Pl}^2 H^2 \tilde{\epsilon}} (\dot{\phi}^2 + m_a^2 \phi^2)^2 \right] + \mathcal{O}(m_a^2 \phi^4 / M_{\rm Pl}^2) \\ H_{I,\gamma\phi^2} &= \int d^3x R^3 \left[ -\frac{1}{2} \gamma_{ij} \frac{\partial_i \phi \partial_j \phi}{R^2} \right] \sim \mathcal{O}(H^2 \gamma \phi^2) \quad \text{(subdominant)} \end{split}$$

 $2c_{*}^{-2}$ 

#### Contributions for $\langle \mathcal{N}_{\mathbf{p}}(t) \rangle$

$$\begin{split} \mathcal{N}_{\mathbf{p}}(t) \rangle &\simeq \langle \mathcal{N}_{\mathbf{p}}(t_0) \rangle + i \int_{t_0}^t dt_1 \langle [H_{I,\phi^4}(t_1), \mathcal{N}_{\mathbf{p}}] \rangle \\ &+ i^2 \int_{t_0}^t dt_2 \int_{t_0}^{t_2} dt_1 \langle \underline{[H_{I,\zeta\phi^2}(t_1), [H_{I,\zeta\phi^2}(t_2), \mathcal{N}_{\mathbf{p}}]]}_{\text{contraction of cubic interactions}} \\ &\simeq \langle \mathcal{N}_{\mathbf{p}}(t_0) \rangle + i \int_{t_0}^t dt_1 \langle [H_{\text{eff}}(t_1), \mathcal{N}_{\mathbf{p}}] \rangle \end{split}$$

• Effective Hamiltonian for tree-level analysis

$$\begin{aligned} H_{\text{eff}}(t) &= \int \left( \prod_{i=1}^{4} \frac{d^3 k_i}{(2\pi)^3} \right) (2\pi)^3 \delta^{(3)}(\mathbf{k_1} + \mathbf{k_2} - \mathbf{k_3} - \mathbf{k_4}) F(t; |\mathbf{k_1} - \mathbf{k_3}|) a_{\mathbf{k_1}}^{\dagger} a_{\mathbf{k_2}}^{\dagger} a_{\mathbf{k_3}} a_{\mathbf{k_4}} \\ F(t; k) &= -\frac{2\pi G m_a^2}{R^3(t)} \frac{R^2(t)}{k^2} f\left(\frac{k}{k_H(t)}\right), \qquad f(x) = 1 - \cos x - x \sin x \\ k_H(t) &= R(t) H(t) / c_s \text{ : sound horizon} \end{aligned}$$

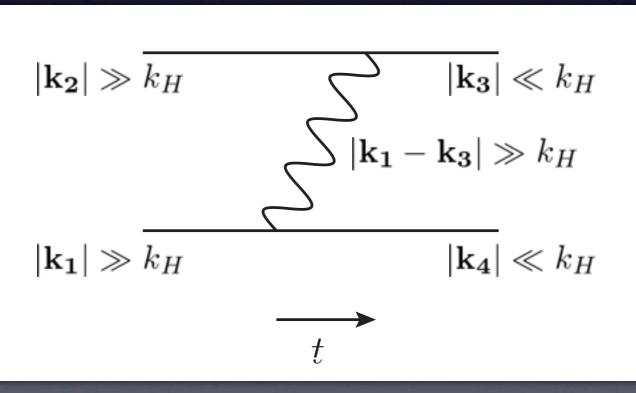
- $f(k/k_H) \rightarrow 1 + (\text{highly oscillating terms})$  for  $k/k_H \gg 1$
- Reproduces the result in Newtonian approx.  $F(t; |\mathbf{k_1} - \mathbf{k_3}|) \rightarrow -\frac{2\pi G m_a^2 / R^3(t)}{|\mathbf{k_1} - \mathbf{k_3}|^2 / R^2(t)}$

$$\Gamma_g \sim \frac{Gm_a^2 n_a}{(\delta p)^2}$$

#### Transition into BEC ?

- Time scale of the process whose momentum transfer satisfies  $|\mathbf{k_1} \mathbf{k_3}| \gg k_H(t) = R(t)H(t)/c_s$  can be estimated as  $\sim \Gamma_g^{-1}$
- Allows transition between sub-horizon & superhorizon modes

example :



• Relevance to the gravitational thermalization ?

## Summary

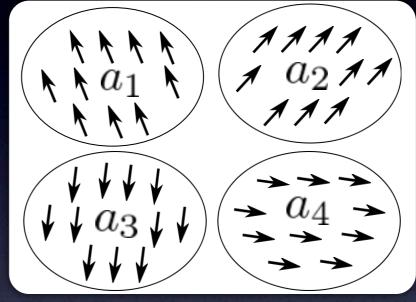
- Estimate interaction rate of axions in coherent state & condensed regime
- Gravitational self-interaction of cold axions becomes relevant at  $T \sim O(1)$ keV
- Interaction between axions and other particle species is highly suppressed
- Reanalyze in general relativistic framework
  - Derive effective quartic interactions of massive scalar fields
  - Transition between modes inside and outside the horizon can occur rapidly

## Backup slides

## "Zero modes"

- Initial time t<sub>1</sub> (QCD phase transition) :
   amplitudes of oscillation might be uncorrelated
   beyond the horizon
  - → axions have non-zero (but small) momenta

 $p(t_1) \lesssim H(t_1) \sim m_a(t_1)$ 



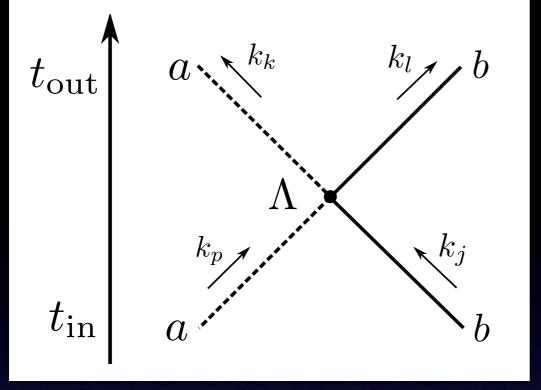
• Assume plural (say K) oscillating modes

$$|\{\alpha\}\rangle = \prod_{i}^{K} e^{-\frac{1}{2}|\alpha_{i}|^{2}} \sum_{n=0}^{\infty} \frac{\alpha_{i}^{n}}{n!\sqrt{V^{n}}} (a_{i}^{\dagger})^{n} |0\rangle$$

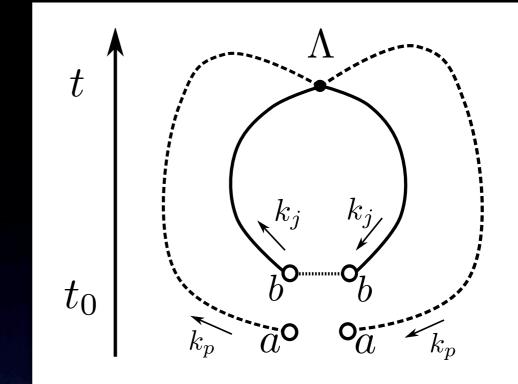
 $|\mathbf{p}_i| \lesssim H(t_1) \sim m_a(t_1)$ for  $i = 1, \dots, K$ 

 $H(t_1)$ 

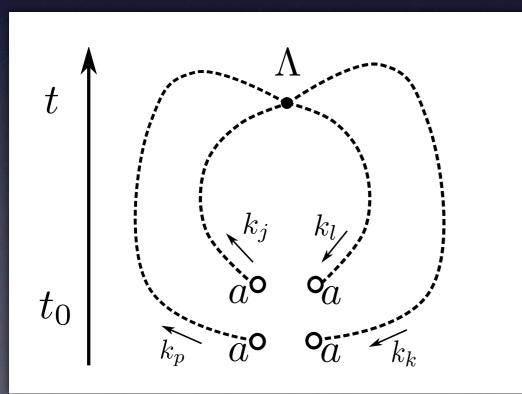
•  $|\alpha_i|^2 \leftrightarrow$  momentum distribution  $n_a = \frac{1}{V} \sum_n \langle \{\alpha\} | \mathcal{N}_n | \{\alpha\} \rangle = \frac{1}{V} \sum_i^K |\alpha_i|^2 \equiv \sum_i^K n_{c,i}$ 



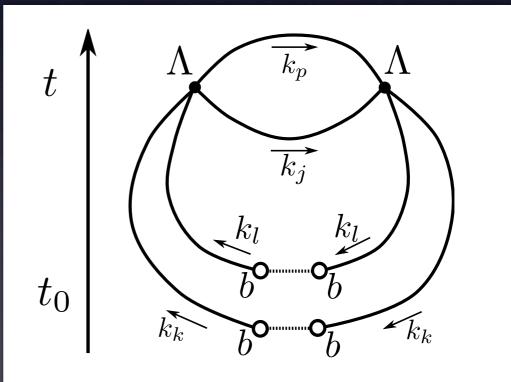
usual  $a+b \rightarrow a+b$  process



Ist order  $a+b \rightarrow a+b$  (vanish)



Ist order  $a+a \rightarrow a+a$  (non-zero)



2nd order b+b→b+b (non-zero)

#### Interaction rate for modes outside the horizon

- Similar result can be obtained when we consider the evolution of the background field  $\phi_{cl} = \langle \alpha_{p=0} | \phi | \alpha_{p=0} \rangle$ From the effective action for  $\phi_{cl}$

 Not the "transition" between superhorizon modes, but the "correction" to the evolution of the background field