

An analytical treatment of neutrino oscillation probabilities

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**Revised version of arxiv:0704.1531v2
(to appear in future)**

1. Introduction

1.1 Scheme of 3 flavor ν oscillation

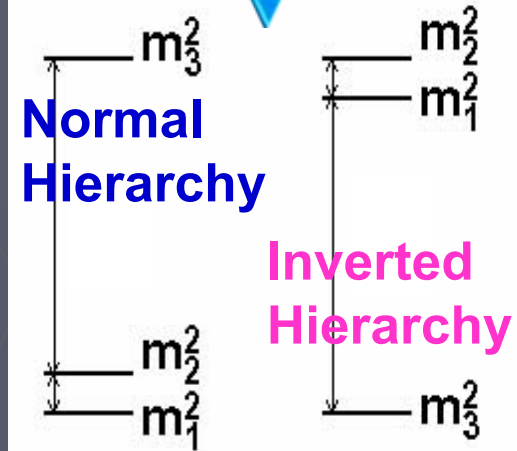
Mixing matrix

Functions of
mixing angles

θ_{12} , θ_{23} , θ_{13} ,
and CP phase δ

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

Both hierarchy
patterns are
allowed



All 3 mixing angles have been measured (2012):

ν_{solar} + KamLAND (reactor)



$$\theta_{12} \cong \frac{\pi}{6}, \Delta m_{21}^2 \cong 8 \times 10^{-5} \text{ eV}^2$$

ν_{atm} + K2K, MINOS (accelerators)



$$\theta_{23} \cong \frac{\pi}{4}, |\Delta m_{32}^2| \cong 2.5 \times 10^{-3} \text{ eV}^2$$

DCHOOZ + Daya Bay + Reno (reactors),
T2K + MINOS, others



$$\theta_{13} \cong \pi / 20$$

Analytical expressions for ν oscillation probability are useful to discuss qualitative behaviors in various cases.



- **ν oscillation probability in matter is complicated beyond the 2-flavor case.**
- **The results which are obtained so far are mainly for the case of matter with constant density**



In this talk treatment of more generalized cases is discussed.

1.2 Exact oscillation probability in matter with constant density

$$i \frac{d}{dt} \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \left(U \mathcal{E} U^{-1} + \mathcal{A} \right) \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix}$$

matter effect

$$U \mathcal{E} U^{-1} + \mathcal{A} = \tilde{U} \tilde{\mathcal{E}} \tilde{U}^{-1}$$

$$\mathbf{E}_j \equiv \sqrt{\mathbf{p}^2 + m_j^2}$$

effective mixing matrix elements in matter

$$P(\nu_\alpha \rightarrow \nu_\beta) = \delta_{\alpha\beta} - 4 \sum_{j < k} \text{Re} \left(\tilde{X}_j^{\alpha\beta} \tilde{X}_k^{\alpha\beta*} \right) \sin^2 \left(\frac{\Delta \tilde{E}_{jk} L}{2} \right) + 2 \sum_{j < k} \text{Im} \left(\tilde{X}_j^{\alpha\beta} \tilde{X}_k^{\alpha\beta*} \right) \sin \left(\Delta \tilde{E}_{jk} L \right)$$

Probability of ν oscillation can be expressed in terms of the energy eigenvalues \tilde{E}_j and bilinear forms $\tilde{X}_j^{\alpha\beta} \equiv \tilde{U}_{\alpha j} \tilde{U}_{\beta j}^*$ of effective mixing matrix elements in matter

$$\mathcal{E} \equiv \text{diag} (E_1, E_2, E_3)$$

$$\mathcal{A} \equiv \text{diag} (A, 0, 0)$$

$$\tilde{\mathcal{E}} \equiv \text{diag} (\tilde{E}_1, \tilde{E}_2, \tilde{E}_3)$$

$$A \equiv \sqrt{2} G_F N_e$$

$$\Delta \tilde{E}_{jk} \equiv \tilde{E}_j - \tilde{E}_k$$

$$\mathbf{U} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix}$$

1.3 Formulation by Kimura-Takamura-Yokomakura (KTY PLB537:86,2002)



$$\begin{aligned}
 (\tilde{U}\tilde{U}^{-1})_{\alpha\beta} = (1)_{\alpha\beta} &\implies \sum_j \tilde{X}_j^{\alpha\beta} = \delta_{\alpha\beta} \\
 (\tilde{U}\tilde{\mathcal{E}}\tilde{U}^{-1})_{\alpha\beta} = (U\mathcal{E}U^{-1} + \mathcal{A})_{\alpha\beta} &\implies \sum_j \tilde{E}_j \tilde{X}_j^{\alpha\beta} = \sum_j E_j X_j^{\alpha\beta} + A\delta_{\alpha e}\delta_{e\beta} \\
 (\tilde{U}\tilde{\mathcal{E}}^2\tilde{U}^{-1})_{\alpha\beta} = [(U\mathcal{E}U^{-1} + \mathcal{A})^2]_{\alpha\beta} &\implies \sum_j \tilde{E}_j^2 \tilde{X}_j^{\alpha\beta} = \sum_j E_j^2 X_j^{\alpha\beta} + \dots
 \end{aligned}$$

$$\begin{pmatrix} 1 & 1 & 1 \\ \tilde{E}_1 & \tilde{E}_2 & \tilde{E}_3 \\ \tilde{E}_1^2 & \tilde{E}_2^2 & \tilde{E}_3^2 \end{pmatrix} \begin{pmatrix} \tilde{X}_1^{\alpha\beta} \\ \tilde{X}_2^{\alpha\beta} \\ \tilde{X}_3^{\alpha\beta} \end{pmatrix} = \begin{pmatrix} \delta_{\alpha\beta} \\ \sum_j E_j X_j^{\alpha\beta} + A\delta_{\alpha e}\delta_{e\beta} \\ \sum_j E_j^2 X_j^{\alpha\beta} + \dots \end{pmatrix}$$

Simultaneous equation for $\tilde{X}_j^{\alpha\beta} \equiv \tilde{U}_{\alpha j} \tilde{U}_{\beta j}^*$ can be solved

$$\begin{pmatrix} \tilde{X}_1^{\alpha\beta} \\ \tilde{X}_2^{\alpha\beta} \\ \tilde{X}_3^{\alpha\beta} \end{pmatrix} = \begin{pmatrix} \frac{1}{\Delta\tilde{E}_{21}\Delta\tilde{E}_{31}} (\tilde{E}_2\tilde{E}_3, -(\tilde{E}_2 + \tilde{E}_3), 1) \\ \frac{-1}{\Delta\tilde{E}_{21}\Delta\tilde{E}_{32}} (\tilde{E}_3\tilde{E}_1, -(\tilde{E}_3 + \tilde{E}_1), 1) \\ \frac{1}{\Delta\tilde{E}_{31}\Delta\tilde{E}_{32}} (\tilde{E}_1\tilde{E}_2, -(\tilde{E}_1 + \tilde{E}_2), 1) \end{pmatrix} \begin{pmatrix} \delta_{\alpha\beta} \\ [U\mathcal{E}U^{-1} + \mathcal{A}]_{\alpha\beta} \\ [(U\mathcal{E}U^{-1} + \mathcal{A})^2]_{\alpha\beta} \end{pmatrix}$$

Thus the problem of obtaining the exact analytical oscillation probability is reduced to obtaining only the eigenvalues \tilde{E}_j !

2.Extension of KTY's formulation

- It can be generalized to the case with **adiabatically varying mass matrix in $L=\infty$ limit:**

$$i\frac{d}{dt}\psi(t) = \tilde{U}(t) \tilde{\mathcal{E}}(t) \tilde{U}^{-1}(t) \quad \psi(t_2) = \tilde{U}(t_2) \exp\left(-i \int_{t_1}^{t_2} \tilde{\mathcal{E}}(t) dt\right) \tilde{U}^{-1}(t_1) \psi(t_1)$$

$$\begin{aligned} A(\nu_\alpha \rightarrow \nu_\beta) &= \left[\tilde{U}(t_2) \exp\left(-i \int_{t_1}^{t_2} \tilde{\mathcal{E}}(t) dt\right) \tilde{U}^{-1}(t_1) \right]_{\beta\alpha} \\ &= \sum_j \tilde{U}(t_2)_{\beta j} \exp\left(-i \int_{t_1}^{t_2} \tilde{E}_j(t) dt\right) \tilde{U}(t_1)_{\alpha j}^* \end{aligned}$$

Average over rapid oscillations

$$\begin{aligned} P(\nu_\alpha \rightarrow \nu_\beta) &= |A(\nu_\alpha \rightarrow \nu_\beta)|^2 \\ &= \sum_{j,k} \tilde{U}(t_2)_{\beta j} \tilde{U}(t_2)_{\beta k}^* \tilde{U}(t_1)_{\alpha j}^* \tilde{U}(t_1)_{\alpha k} \exp\left(-i \int_{t_1}^{t_2} \Delta \tilde{E}_{jk}(t) dt\right) \\ &\rightarrow \sum_j |\tilde{U}(t_1)_{\alpha j}|^2 |\tilde{U}(t_2)_{\beta j}|^2 \quad \left(\exp\left(-i \int_{t_1}^{t_2} \Delta \tilde{E}_{jk}(t) dt\right) \rightarrow \delta_{jk} \right) \end{aligned}$$

$$= \left(|U_{\beta 1}|^2, |U_{\beta 2}|^2, |U_{\beta 3}|^2 \right) \begin{pmatrix} |\tilde{U}_{\alpha 1}|^2 \\ |\tilde{U}_{\alpha 2}|^2 \\ |\tilde{U}_{\alpha 3}|^2 \end{pmatrix}$$

(in vacuum at $t=t_2$)

● To generalize to the nonadiabatical cases, the mixing matrix element $\tilde{U}_{\alpha j}$ itself is required.

In KTY's formulation the bilinear form $\tilde{X}_j^{\alpha\beta} \equiv \tilde{U}_{\alpha j} \tilde{U}_{\beta j}^*$ can be obtained, but $\tilde{U}_{\alpha j}$ itself cannot be.

→ It turns out that $\tilde{U}_{\alpha j}$ can be obtained from the bilinear form $\tilde{X}_j^{\alpha\beta} \equiv \tilde{U}_{\alpha j} \tilde{U}_{\beta j}^*$: the main result of this talk

In the following, the notation

$$\tilde{X}_j^{\alpha\beta} \equiv \tilde{U}_{\alpha j} \tilde{U}_{\beta j}^*$$

is

used; we assume

$$\tilde{X}_j^{\alpha\beta}$$

is known from KTY

From trivial identities,
we have:

$$\left\{ \begin{aligned} \tilde{U}_{ej} &= \sqrt{\tilde{X}_j^{ee}} e^{i \arg \tilde{U}_{ej}}, \\ \tilde{U}_{\mu j} &= \frac{\tilde{X}_j^{\mu e}}{\sqrt{\tilde{X}_j^{ee}}} e^{i \arg \tilde{U}_{ej}}, \\ \tilde{U}_{\tau j} &= \frac{\tilde{X}_j^{\tau e}}{\sqrt{\tilde{X}_j^{ee}}} e^{i \arg \tilde{U}_{ej}} \end{aligned} \right.$$

→Up to phases, we obtain the following $\tilde{U}_{\alpha j}$:

$$\tilde{U}_0 \equiv \left(\left(\begin{array}{c} \sqrt{\tilde{X}_1^{ee}} \\ \tilde{X}_1^{\mu e} / \sqrt{\tilde{X}_1^{ee}} \\ \tilde{X}_1^{\tau e} / \sqrt{\tilde{X}_1^{ee}} \end{array} \right), \left(\begin{array}{c} \sqrt{\tilde{X}_2^{ee}} \\ \tilde{X}_2^{\mu e} / \sqrt{\tilde{X}_2^{ee}} \\ \tilde{X}_2^{\tau e} / \sqrt{\tilde{X}_2^{ee}} \end{array} \right), \left(\begin{array}{c} \sqrt{\tilde{X}_3^{ee}} \\ \tilde{X}_3^{\mu e} / \sqrt{\tilde{X}_3^{ee}} \\ \tilde{X}_3^{\tau e} / \sqrt{\tilde{X}_3^{ee}} \end{array} \right) \right)$$

To get the standard parameterization, we multiply a diagonal phase matrix both from left and right as follows:

$$\tilde{U} \equiv e^{i\alpha} e^{i\beta\lambda_3} e^{i\gamma\lambda'_8} \tilde{U}_0 e^{i\gamma'\lambda'_8} e^{i\beta'\lambda_3}$$

$$\begin{aligned} \arg \tilde{U}_{e1} &= 0 \\ \arg \tilde{U}_{e2} &= 0 \\ \arg \tilde{U}_{\mu 3} &= 0 \\ \arg \tilde{U}_{\tau 3} &= 0 \\ \arg \det \tilde{U}_{\alpha j} &= 0 \end{aligned}$$



$$\begin{aligned} \alpha &= -\frac{1}{3} \arg \det \tilde{U}_0, \\ \beta &= \frac{1}{2} \arg \det \tilde{U}_0 - \frac{1}{2} \arg \tilde{X}_3^{\tau e}, \\ \beta' &= 0, \\ \gamma &= \frac{1}{6} \arg \det \tilde{U}_0 - \frac{1}{3} \arg \tilde{X}_3^{\mu e} + \frac{1}{6} \arg \tilde{X}_3^{\tau e}, \\ \gamma' &= -\frac{1}{3} \arg \det \tilde{U}_0 + \frac{1}{3} \arg \tilde{X}_3^{\mu e} + \frac{1}{3} \arg \tilde{X}_3^{\tau e}. \end{aligned}$$

$$\begin{aligned} \cos 2\tilde{\theta}_{12} &= \frac{\tilde{X}_1^{ee} - \tilde{X}_2^{ee}}{\tilde{X}_1^{ee} + \tilde{X}_2^{ee}}, \\ \cos 2\tilde{\theta}_{13} &= 1 - 2\tilde{X}_3^{ee}, \\ \cos 2\tilde{\theta}_{23} &= \frac{|\tilde{X}_3^{\tau e}|^2 - |\tilde{X}_3^{\mu e}|^2}{|\tilde{X}_3^{\tau e}|^2 + |\tilde{X}_3^{\mu e}|^2}, \end{aligned}$$

$$\tilde{\delta} = \alpha + \beta + \gamma - 2\gamma' = \arg \det \tilde{U}_0 - \arg \tilde{X}_3^{\mu e} - \arg \tilde{X}_3^{\tau e}$$

At a locally given density, we can obtain the analytical expression for the effective mixing angles.

3. An example of non-adiabatic cases

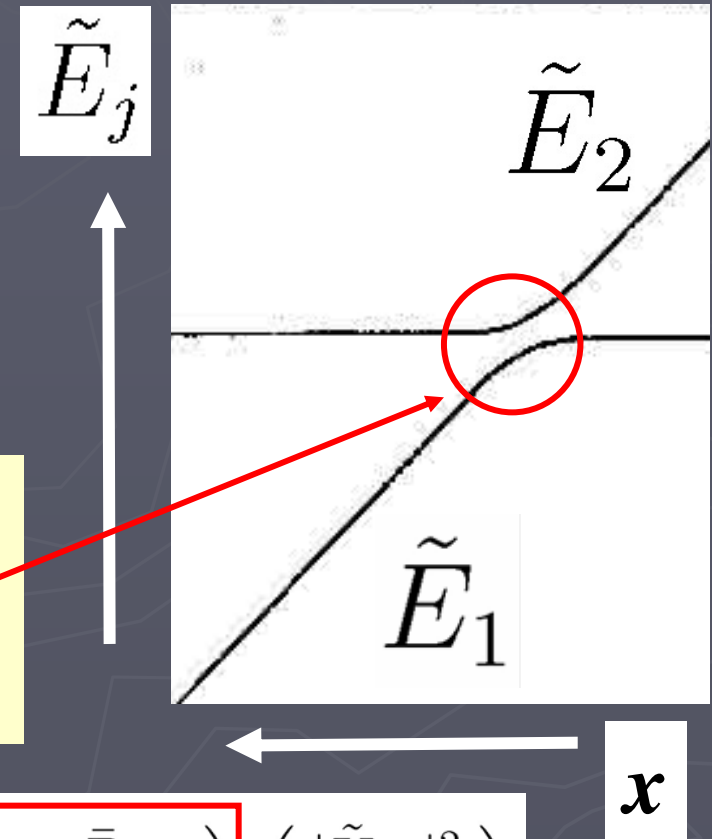
Two flavor case

Adiabatic case

$$P(\nu_\alpha \rightarrow \nu_\beta) = \left(|U_{\beta 1}|^2, |U_{\beta 2}|^2 \right) \begin{pmatrix} |\tilde{U}_{\alpha 1}|^2 \\ |\tilde{U}_{\alpha 2}|^2 \end{pmatrix}$$

Non-adiabatic case: we can describe it by inserting the probability of jumping

$$P(\nu_\alpha \rightarrow \nu_\beta) = \left(|U_{\beta 1}|^2, |U_{\beta 2}|^2 \right) \begin{pmatrix} 1 - \bar{P}_c & \bar{P}_c \\ \bar{P}_c & 1 - \bar{P}_c \end{pmatrix} \begin{pmatrix} |\tilde{U}_{\alpha 1}|^2 \\ |\tilde{U}_{\alpha 2}|^2 \end{pmatrix}$$



$$P_c = \frac{\exp [-(\pi/2)F\gamma] - \exp [-(\pi/2)F\gamma/\sin^2 \theta]}{1 - \exp [-(\pi/2)F\gamma/\sin^2 \theta]}$$

$$\gamma = \frac{\Delta E \sin^2 2\theta}{\cos 2\theta |d \log N_e/dx|_{res}}$$

The probability of jumping depends on details of the density profile

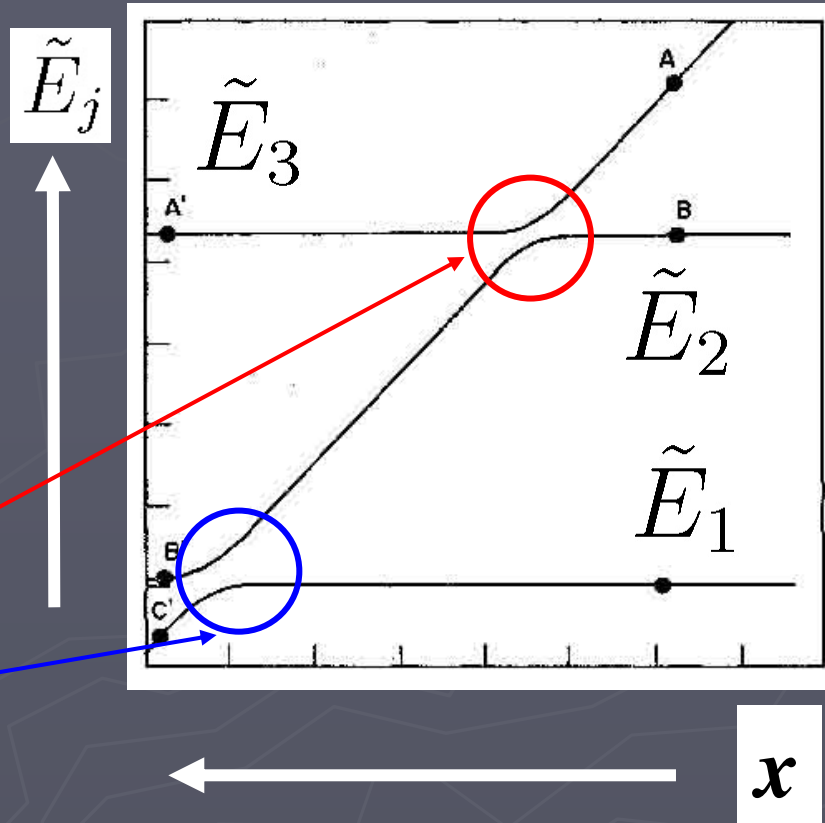
A (density profile)	F
$A \propto \exp(-r)$	$1 - \tan^2 \theta$
$A \propto r$	1
$A \propto 1/r$	$(1 - \tan^2 \theta)^2 / (1 + \tan^2 \theta)$
$A \propto r^n$	$2 \sum_{m=0}^{\infty} \binom{(1/n)-1}{2m} \binom{\frac{1}{2}}{m+1} (\tan 2\theta)^{2m}$

Three flavor case

Adiabatic case

$$P(\nu_\alpha \rightarrow \nu_\beta) = (|U_{\beta 1}|^2, |U_{\beta 2}|^2, |U_{\beta 3}|^2) \begin{pmatrix} |\tilde{U}_{\alpha 1}|^2 \\ |\tilde{U}_{\alpha 2}|^2 \\ |\tilde{U}_{\alpha 3}|^2 \end{pmatrix}$$

Non-adiabatic case: we can describe it by inserting the probability of jumping at two regions

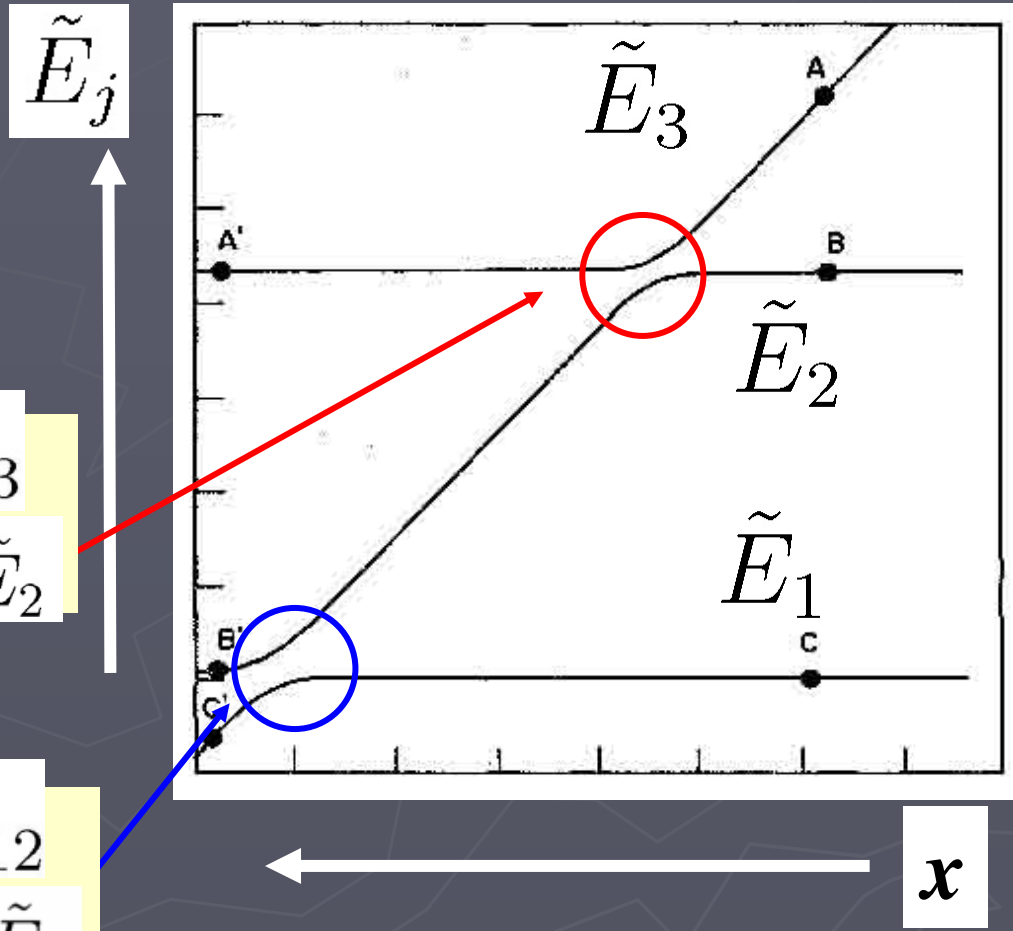


$$P(\nu_\alpha \rightarrow \nu_\beta) = (|U_{\beta 1}|^2, |U_{\beta 2}|^2, |U_{\beta 3}|^2) \begin{pmatrix} 1 - \bar{P}_c^L & \bar{P}_c^L & 0 \\ \bar{P}_c^L & 1 - \bar{P}_c^L & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 - \bar{P}_c^H & \bar{P}_c^H \\ 0 & \bar{P}_c^H & 1 - \bar{P}_c^H \end{pmatrix} \begin{pmatrix} |\tilde{U}_{\alpha 1}|^2 \\ |\tilde{U}_{\alpha 2}|^2 \\ |\tilde{U}_{\alpha 3}|^2 \end{pmatrix}$$

To describe non-adiabatic transitions, the expressions for the effective mixing angles are useful.

The expression for $\tilde{\theta}_{23}$ can be used for $\tilde{E}_3 \simeq \tilde{E}_2$

The expression for $\tilde{\theta}_{12}$ can be used for $\tilde{E}_2 \simeq \tilde{E}_1$



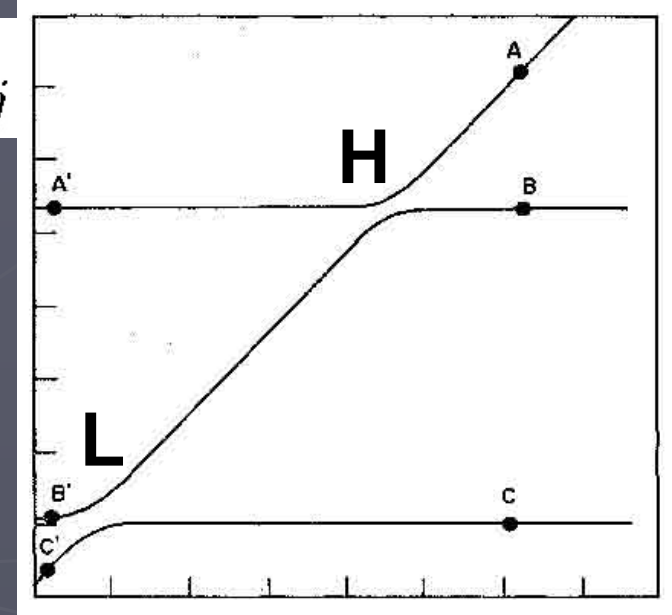
Example: Supernova ν with Non-Standard Interactions in matter

For simplicity self interactions of ν are not considered

$$\mathcal{A}_{NP} = A \begin{pmatrix} 1 + \epsilon_{ee} & 0 & \epsilon_{e\tau} \\ 0 & 0 & 0 \\ \epsilon_{e\tau}^* & 0 & \epsilon_{\tau\tau} \end{pmatrix}$$

From other ν experiments μ components can be ignored.

$$A \propto \rho$$

$$\tilde{E}_j$$


$$X$$

$$\lambda_9 = \text{diag}(1, 0, -1)$$

$$\gamma = \arg(\epsilon_{e\tau})$$

$$\mathcal{A}_{NP} = A \begin{pmatrix} 1 + \epsilon_{ee} & 0 & \epsilon_{e\tau} \\ 0 & 0 & 0 \\ \epsilon_{e\tau}^* & 0 & \epsilon_{\tau\tau} \end{pmatrix} = A e^{i\gamma\lambda_9} e^{-i\beta\lambda_5} \text{diag}(\lambda_{e'}, 0, 0) e^{i\beta\lambda_5} e^{-i\gamma\lambda_9}$$

$$\tan \beta = \frac{|\epsilon_{e\tau}|}{1 + \epsilon_{ee}}$$

$$\lambda_{e'} = \frac{A(1 + \epsilon_{ee})}{\cos^2 \beta}$$

$$\epsilon_{\tau\tau} = \frac{|\epsilon_{e\tau}|^2}{1 + \epsilon_{ee}}$$

Constraints from high energy ν_{atm}

$$U\mathcal{E}U^{-1} + \mathcal{A}_{NP} = e^{i\gamma\lambda_9} e^{-i\beta\lambda_5} \text{diag}(1, 1, e^{i\arg U'_{\tau 3}}) \left[U''\mathcal{E}U''^{-1} + \text{diag}(\lambda_{e'}, 0, 0) \right] \\ \times \text{diag}(1, 1, e^{-i\arg U'_{\tau 3}}) e^{i\beta\lambda_5} e^{-i\gamma\lambda_9}$$

Due to NSI, the effective mixing angles are modified:

$$\sin \theta''_{13} = |U''_{e3}| = |c_\beta e^{-i\gamma} U_{e3} + s_\beta e^{i\gamma} U_{\tau 3}|$$

$$\tan \theta''_{12} = |U''_{e2}| / |U''_{e1}| = |c_\beta e^{-i\gamma} U_{e2} + s_\beta e^{i\gamma} U_{\tau 2}| / |c_\beta e^{-i\gamma} U_{e1} + s_\beta e^{i\gamma} U_{\tau 1}|$$

$$\tan \theta''_{23} = |U''_{\mu 3}| / |U''_{\tau 3}| = U_{\mu 3} / |c_\beta e^{-i\gamma} U_{\tau 3} - s_\beta e^{i\gamma} U_{e3}|$$

From these, we get the probability which takes into account non-adiabatic transitions

$$P(\nu_e \rightarrow \nu_e) = (|U_{e1}|^2, |U_{e2}|^2, |U_{e3}|^2) \begin{pmatrix} 1 - \bar{P}_c^L & \bar{P}_c^L & 0 \\ \bar{P}_c^L & 1 - \bar{P}_c^L & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 - \bar{P}_c^H & \bar{P}_c^H \\ 0 & \bar{P}_c^H & 1 - \bar{P}_c^H \end{pmatrix} \begin{pmatrix} |\tilde{U}_{e1}|^2 \\ |\tilde{U}_{e2}|^2 \\ |\tilde{U}_{e3}|^2 \end{pmatrix}$$

$$\bar{P}_c^L = \Theta \left(c_{13}^2 \lambda_{e'} - \Delta E_{21} \cos 2\theta''_{12} \right) P_c^L$$

$$P_c^L = \frac{\exp(-\frac{\pi}{2}\gamma_L) - \exp(-\frac{\pi}{2}\gamma_L/s''_{12})}{1 - \exp(-\frac{\pi}{2}\gamma_L/s''_{12})}$$

$$\gamma_L = \frac{\Delta E_{21} \sin^2 2\theta''_{13} \cos 2\theta''_{12}}{c''_{12} |d \log N_e / dx|_{res}}$$

$$\bar{P}_c^H = \Theta \left(\lambda_{e'} - \Delta E_{31} \cos 2\theta''_{13} \right) P_c^H$$

$$P_c^H = \frac{\exp(-\frac{\pi}{2}\gamma_H) - \exp(-\frac{\pi}{2}\gamma_H/s''_{13})}{1 - \exp(-\frac{\pi}{2}\gamma_H/s''_{13})}$$

$$\gamma_H = \frac{\Delta E_{31} \sin^2 2\theta''_{13} \cos 2\theta''_{13}}{c''_{13} |d \log N_e / dx|_{res}}$$

4. Summary

- From trivial identities, we can (in principle) obtain the analytical expression for the mixing angles and the CP phase.
- Using such expressions, probability for ν flavor transitions including non-adiabatic processes can be analytically obtained.
- As a demonstration, one example was discussed: **Supernova ν with Non-Standard Interactions in matter**