



# Search for neutrinoless double beta decay with the GERDA experiment

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INFN, Laboratori Nazionali del Gran Sasso ITALY

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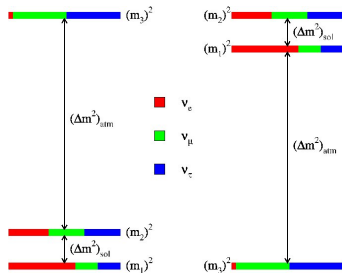
Laboratori Nazionali del Gran Sasso



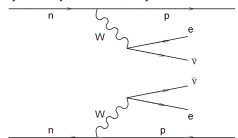
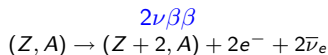
- Probing the nature of neutrino with neutrinoless double-beta decay
- The GERDA experiment
- The GERDA energy spectra
- The GERDA physics results:
  - Measurement of the half-life of  $2\nu\beta\beta$  decay of  $^{76}\text{Ge}$
  - The background models for GERDA Phase I
  - The Pulse Shape Discrimination of GERDA events
  - [Result on  \$0\nu\beta\beta\$  half-life](#)
- On the way to GERDA Phase II

# Investigate existence of $0\nu\beta\beta$

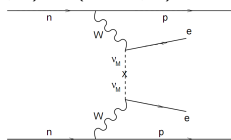
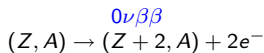
- $0\nu\beta\beta \rightarrow$  Majorana nature of neutrino
- lepton number violation ?
- physics beyond Standard Model ?
- shed lights on absolute neutrino mass
- shed lights on neutrino mass hierarchy
- CP violation phases



# Search for $0\nu\beta\beta$ decay



$\Delta L = 0 \Rightarrow$  Predicted by SM

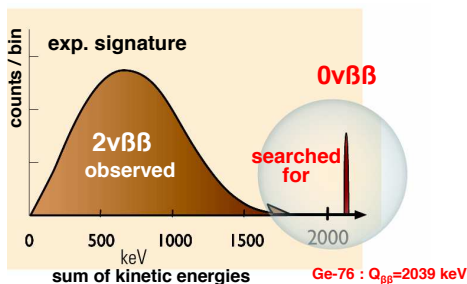


$\Delta L = 2 \Rightarrow$  Prohibited by SM (no  $RH\nu$ )

$$Q = M_i - M_f - 2m_e$$

Light Majorana neutrino exchange?

The GERmanium Detector Array experiment is an ultra-low background experiment designed to search for  $^{76}\text{Ge}$   $0\nu\beta\beta$  decay.



$$Q_{\beta\beta} = 2039 \text{ keV}$$

- Observe the monochromatic line at  $Q_{\beta\beta}$
- Reduce background as much as possible
- Estimate half-life of the decay ( $> 10^{25}$  yr)

# Search for $0\nu\beta\beta$ decay

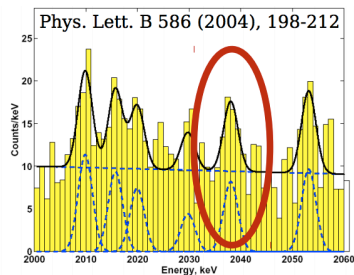
## Most stringent limits on $0\nu\beta\beta$ decay

Isotope	Experiment	$T_{1/2}^{0\nu}$ at 90% CL [yr]	Ref.
$^{76}\text{Ge}$	Heidelberg-Moscow	$1.9 \cdot 10^{25}$ yr	(1)
$^{76}\text{Ge}$	IGEX	$1.6 \cdot 10^{25}$ yr	(2)
$^{136}\text{Xe}$	EXO	$1.1 \cdot 10^{25}$ yr	(3)
$^{136}\text{Xe}$	KamLAND-Zen	$1.9 \cdot 10^{25}$ yr	(4)

## Claim of observation of the decay:

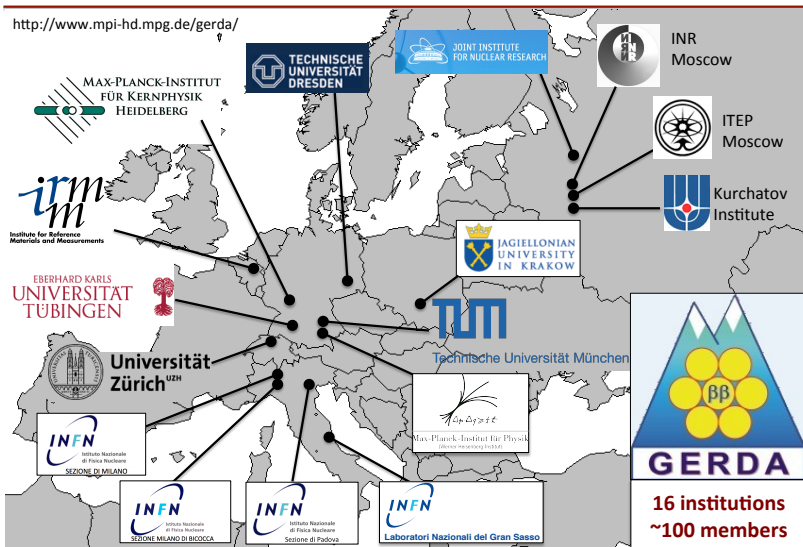
$$T_{1/2}^{0\nu} (^{76}\text{Ge}) = 1.19^{+0.37}_{-0.23} \cdot 10^{25} \text{ yr (5)}$$

- (1): Eur. Phys. J. A12 (2001), 147-154
- (2): Phys. Rev. D 65 (2002), 092007
- (3): Nature 510 (2014), 229-234
- (4): Phys. Rev. Lett. 110 (2013), 062502
- (5): Phys. Lett. B 586 (2004), 198-212



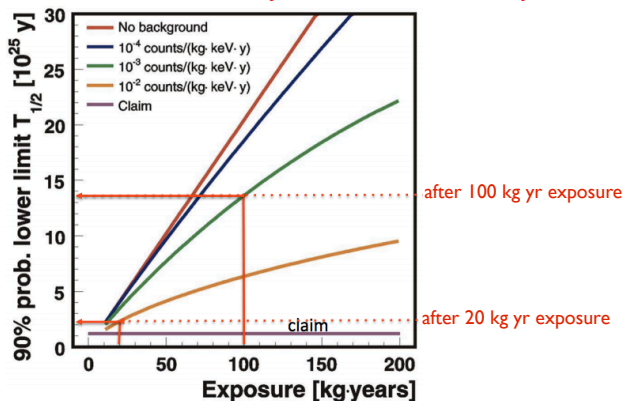
## The GERDA Collaboration

<http://www.mpi-hd.mpg.de/gerda/>



# Search for $0\nu\beta\beta$ decay

## GERDA Sensitivity on half-life of the decay



- **GERDA Phase I result:** BI  $\sim 10^{-2}$  cts/(keV kg yr) and  $\sim 20$  kg yr exposure  
Claim from *Phys. Lett. B 586 (2004) 198* rejected with high probability
- **GERDA Phase II goal:** BI  $\sim 10^{-3}$  cts/(keV kg yr) and 100 kg yr exposure  
sensitivity on  $T_{1/2}^{0\nu} \sim 1.4 \cdot 10^{26}$  yr (factor 7 better than Phase I)

# Search for $0\nu\beta\beta$ decay

If light Majorana neutrino exchange is the dominant mechanism and no further sterile neutrino exists:

$$(T_{1/2}^{0\nu})^{-1} = G^{0\nu} |M^{0\nu}|^2 \frac{\langle m_{\beta\beta} \rangle^2}{m_e^2}$$

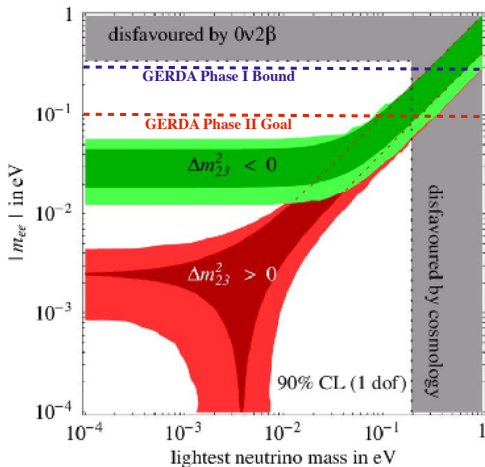
$$\langle m_{\beta\beta} \rangle \equiv \text{effective neutrino mass} \equiv |U_{e1}|^2 m_1 + |U_{e2}|^2 m_2 e^{i\phi_2} + |U_{e3}|^2 m_3 e^{i\phi_3}$$

$m_i$  = masses of the neutrino mass eigenstates

$U_{ei}$  = elements of the neutrino mixing matrix

$e^{i\phi_2}$  and  $e^{i\phi_3}$  = Majorana CP phases

→ information on the absolute mass scale!



- **GERDA Phase I result:** Upper limit on  $m_{\beta\beta}$ : between 0.2 and 0.4 eV
- **GERDA Phase II goal:** reach sensitivity on  $m_{\beta\beta} \sim 10^{-1}$  eV



# Ge detectors

$$\text{Sensitivity} \quad T_{1/2} \propto \epsilon \cdot \frac{f_A}{m_A} \cdot \sqrt{\frac{M \cdot T}{b \cdot \Delta E}}$$

$\epsilon$	detection efficiency	$\gtrsim 85\%$
$f_A$	enrichment fraction	high natural or enrichment
$M$	active target mass	increase mass
$T$	measuring time	
$b$	background rate (cts/(keV kg yr))	minimize & select radio-pure material
$\Delta E$	energy resolution	use high resolution spectroscopy

## Ge semiconductor detectors

### Advantages:

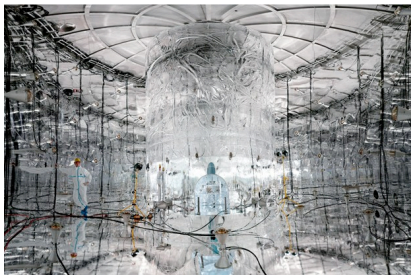
- well established enrichment technique  
 $f_A = f_{76} = 86\%$  for  $^{76}\text{Ge}$
- $M$  and  $T$  expandable
- very good energy resolution  
 $\Delta E \sim 0.1\% - 0.2\%$
- very good detection efficiency  $\epsilon \sim 1$   
(Ge as source and detector)
- high-purity detectors  $\rightarrow$  low background  $b$

### Disadvantages:

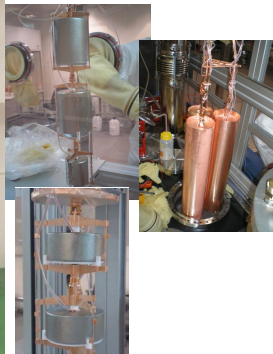
- low  $Q_{\beta\beta}$  value  
(lower than  $^{208}\text{Tl}$  2614 keV)  
 $\rightarrow$  background
- need enrichment from 7% to 86%  
 $\rightarrow$  it is expensive

# GERDA @ LNGS

Construction completed in 2009 - Inauguration 9 Nov. 2010



## GERDA Building



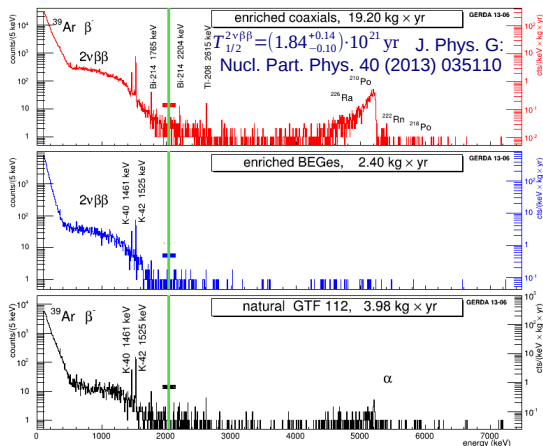
The GERDA collaboration, Eur. Phys. J. C 73 (2013)

- 8 enriched Coaxial detectors: working mass 14.6 kg - avg energy resolution 4.8 keV (2 of them are not working due to high leakage current)
- GTF112 natural Ge: 3.0 kg
- 5 enriched BEGe: working mass 3.0 kg - avg energy resolution 3.2 keV (testing Phase II concept)

# GERDA spectrum in fast motion

# Energy spectra

- *Silver coax*: data from coaxial detectors during BEGe deployment (higher BI)
- *Golden coax*: data from coaxial detectors except Silver coax
- *BEGe*: data from BEGe detectors



- Events in  $Q_{\beta\beta} \pm 20 \text{ keV}$  kept BLINDED to not bias analysis and cuts

- Phase I data divided in **three subsets**:

- *Golden coax*: 17.9 kg yr
- *Silver coax*: 1.3 kg yr
- *BEGe*: 2.4 kg yr

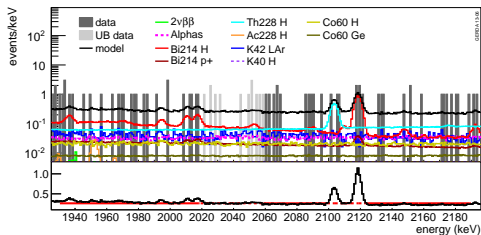
- **Background level before PSD at  $Q_{\beta\beta}$  for Golden coax:**  
 $0.018 \pm 0.002 \text{ cts}/(\text{keV kg yr})$

Background  $\sim 10\times$  lower than previous Ge experiments!!

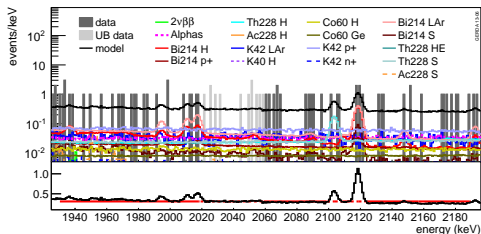
# The Background Model of GERDA Phase I

The GERDA collaboration, Eur. Phys. J. C 74 (2014) 2764

## Minimum model fit



## Maximum model fit



- No line expected in the blinded window
- Background flat between 1930 and 2190 keV
- $2104 \pm 5$  keV and  $2119 \pm 5$  keV excluded
- Partial unblinding after fixing calibration and background model

In 30 keV window:

- expected events: 8.6 (minimum model) or 10.3 (maximum model)
- observed events: 13

Golden coax:

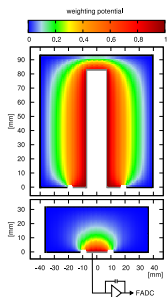
$$BI = 1.75^{+0.26}_{-0.24} \cdot 10^{-2} \text{ cts}/(\text{keV kg yr})$$

BEGe:

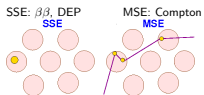
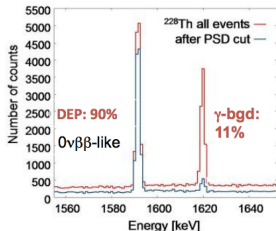
$$BI = 3.6^{+1.3}_{-1.0} \cdot 10^{-2} \text{ cts}/(\text{keV kg yr})$$

# Pulse shape discrimination of GERDA Phase I data

The GERDA collaboration, Eur. Phys. J. C 73, 2583 (2013)



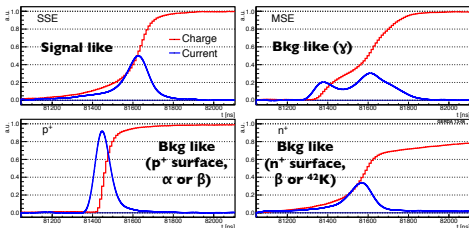
Current signal =  $q v \Delta\Phi$



## Pulse-shape analysis

- e signal: single site energy deposition
- $\gamma$  signal: multiple site energy deposition

Different energy deposition between gamma and electron  
Different recorded pulses

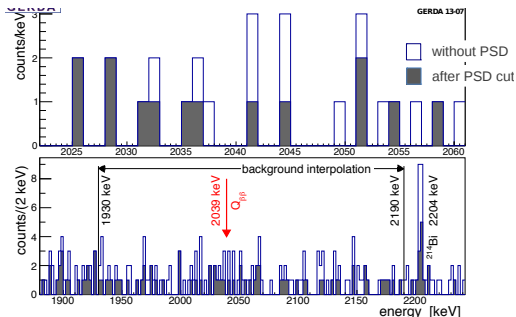


Efficiency on signal events: about 90%  
Efficiency of background rejection: about 50%

Method based on Pulse Shape applied to GERDA Phase I data to reject background

# Results on $0\nu\beta\beta$ decay

- Summed exposure: **21.6 kg yr**
- Unblinding after calibration finished, data selection frozen, analysis method fixed and PSD selection fixed
- Consider the 3 data sets separately in the analysis
- BI = 0.01 cts/(keV kg yr) after PSD
- No events in  $\pm\sigma_E$  after PSD
- 3 events in  $\pm 2\sigma_E$  after PSD



data set	$\mathcal{E}$ [kg·yr]	$\langle\epsilon\rangle$	bkg	BI <sup>†</sup>	cts
without PSD					
<i>golden</i>	17.9	$0.688 \pm 0.031$	76	$18 \pm 2$	5
<i>silver</i>	1.3	$0.688 \pm 0.031$	19	$63^{+16}_{-14}$	1
<i>BEGe</i>	2.4	$0.720 \pm 0.018$	23	$42^{+10}_{-8}$	1
with PSD					
<i>golden</i>	17.9	$0.619^{+0.044}_{-0.070}$	45	$11 \pm 2$	2
<i>silver</i>	1.3	$0.619^{+0.044}_{-0.070}$	9	$30^{+11}_{-9}$	1
<i>BEGe</i>	2.4	$0.663 \pm 0.022$	3	$5^{+4}_{-3}$	0

<sup>†</sup>) in units of  $10^{-3}$  cts/(keV·kg·yr).

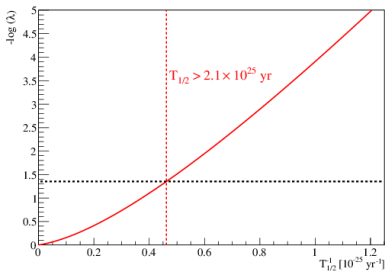
data set	detector	energy [keV]	date	PSD passed
<i>golden</i>	ANG 5	2041.8	18-Nov-2011 22:52	no
<i>silver</i>	ANG 5	2036.9	23-Jun-2012 23:02	yes
<i>golden</i>	RG 2	2041.3	16-Dec-2012 00:09	yes
<i>BEGe</i>	GD32B	2036.6	28-Dec-2012 09:50	no
<i>golden</i>	RG 1	2035.5	29-Jan-2013 03:35	yes
<i>golden</i>	ANG 3	2037.4	02-Mar-2013 08:08	no
<i>golden</i>	RG 1	2041.7	27-Apr-2013 22:21	no

No peak in spectrum observed, number of events consistent with expectation from background  $\rightarrow$  **GERDA sets a limit** on the half-life of the decay!

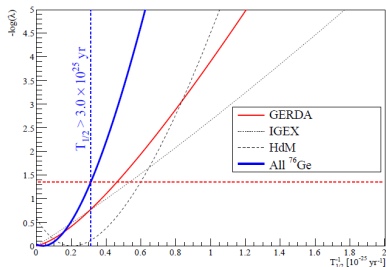


# Results on $0\nu\beta\beta$ decay

The GERDA collaboration, Phys. Rev. Lett. 111 (2013) 122503



- Frequentist analysis  
Median sensitivity:  
 $T_{1/2}^{0\nu} > 2.4 \cdot 10^{25} \text{ yr}$  at 90% C.L.
- Maximum likelihood spectral fit  
(3 subsets,  $1/T_{1/2}$  common)
- Bayesian analysis also available  
Median sensitivity:  
 $T_{1/2}^{0\nu} > 2.0 \cdot 10^{25} \text{ yr}$  at 90% C.L.



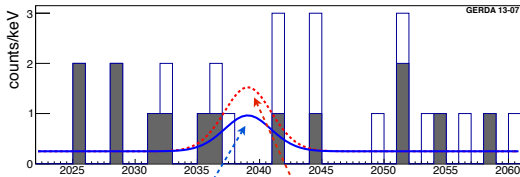
- **Profile likelihood result:**  
 $T_{1/2}^{0\nu} > 2.1 \cdot 10^{25} \text{ yr}$  at 90% C.L.
- **Bayesian analysis result:**  
 $T_{1/2}^{0\nu} > 1.9 \cdot 10^{25} \text{ yr}$  at 90% C.L.
- Best fit:  $N^{0\nu} = 0$

# Results on $0\nu\beta\beta$ decay

The GERDA collaboration, Phys. Rev. Lett. 111 (2013) 122503  
Comparison with claim from Phys. Lett. B 586 (2004) 198

Compare two hypotheses:

- $H_1$ :  $T_{1/2}^{0\nu} = 1.19_{-0.23}^{+0.37} \cdot 10^{25}$  yr
- $H_0$ : background only



GERDA only:

- Profile likelihood  
 $P(N^{0\nu}=0|H_1) = 0.01$
- Bayes factor  
 $P(H_1)/P(H_0) = 0.024$

Compatible with no signal events  
 $T_{1/2}^{0\nu} > 2.1 \cdot 10^{25}$  yr

"Claim", PLB586 (2004)

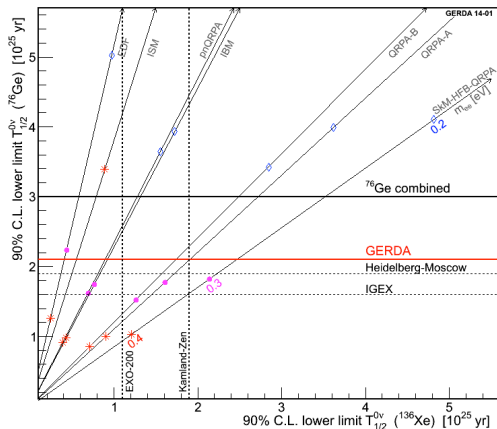
$$T_{1/2}^{0\nu} = 1.19 \times 10^{25} \text{ yr}$$

**Claim strongly disfavoured!**

N.B.:  $T_{1/2}^{0\nu}$  from Mod. Phys. Lett. A 21 (2006) 157 not considered because of inconsistencies (missing efficiency factors) pointed out in Ann. Phys. 525 (2013) 259 by B. Schwingenheuer.

# Combining with Ge and Xe previous results

The GERDA collaboration, *Phys. Rev. Lett.* **111** (2013) 122503  
Comparison with previous half-life limits from Ge and Xe experiments

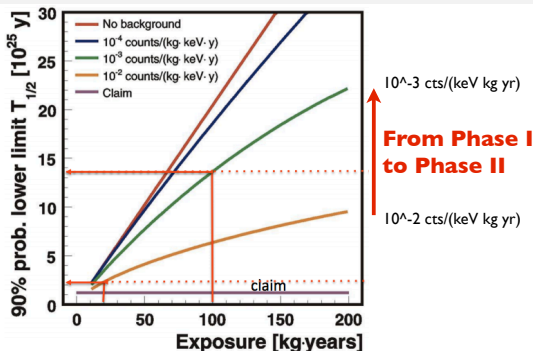


(from B. Schwingenheuer TAUP 2013)

## GERDA+HdM+IGEX:

- $T_{1/2}^{0\nu} > 3.0 \cdot 10^{25}$  yr (90% C.I.)
- Bayes factor  $P(H_1)/P(H_0) = 0.0002$
- best fit:  $N^{0\nu} = 0$

# On the way to GERDA Phase II



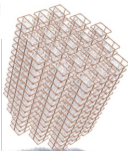
## How to get a higher sensitivity for the Phase II:

- Phase II transition currently ongoing at LNGS
- **increase mass**: additional 30 enriched BEGe detectors (about 20 kg)
- **reduce background** by a factor of 10 w.r.t. GERDA Phase I:
  - 1 by **Pulse Shape Analysis** for high background recognition efficiency
  - 2 by **LAr scintillation light** for background recognition and rejection

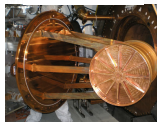
# Present and future perspectives

An explosion of technology and exciting results to come!

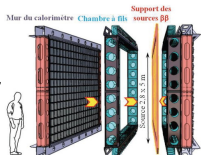
CUORE



EXO



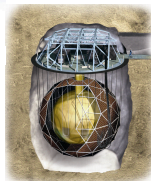
SuperNEMO



## Future perspectives

Isotope	Experiment	Mass [kg]	Sensitivity $T_{1/2}^{0\nu}$ [yr]	Sensitivity ( $m_{\beta\beta}$ ) [meV]	Status
$^{130}\text{Te}$	CUORE	11	$5 \cdot 10^{24}$	230-570	in progress
$^{130}\text{Te}$	CUORE	200	$1 \cdot 10^{26}$	50-130	in progress
$^{76}\text{Ge}$	GERDA	40	$1.4 \cdot 10^{26}$	80-190	in progress
$^{76}\text{Ge}$	GERDA	1000	$6 \cdot 10^{27}$	15-35	R&D
$^{76}\text{Ge}$	MAJORANA	40	$1.5 \cdot 10^{26}$	90-200	in progress
$^{76}\text{Ge}$	MAJORANA	40	$6 \cdot 10^{27}$	15-35	R&D
$^{136}\text{Xe}$	EXO	200	$4 \cdot 10^{25}$	100-240	in progress
$^{136}\text{Xe}$	EXO	5000	$2 \cdot 10^{27}$	14-33	R&D
$^{136}\text{Xe}$	SNO+	800	$1 \cdot 10^{26}$	50-130	in progress
$^{136}\text{Xe}$	SNO+	8000	$1 \cdot 10^{27}$	16-40	R&D
$^{136}\text{Xe}$	KamLAND-Zen	320	$3 \cdot 10^{25}$	140-280	in progress
$^{136}\text{Xe}$	KamLAND-Zen	700	$1 \cdot 10^{26}$	95-190	R&D
$^{136}\text{Xe}$	KamLAND-Zen	1000	$6 \cdot 10^{26}$	25-60	R&D
$^{82}\text{Xe}$	SuperNEMO	7	$6.5 \cdot 10^{24}$	240-560	in progress
$^{82}\text{Xe}$	SuperNEMO	100-200	$1.2 \cdot 10^{26}$	44-140	R&D

SNO+

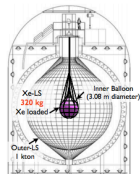


MAJORANA



KamLAND-Zen

KamLAND-Zen  
Phase I



# Conclusions

- Phase I data taking successful!! Phase I ended Sept.,30th 2013
- very low background 0.01 cts/(keV kg yr) after PSD
- **half-life of  $0\nu\beta\beta$ :**  
 **$T_{1/2}^{0\nu} > 2.1 \cdot 10^{25}$  yr (90% C.L.) for  $^{76}\text{Ge}$**
- probability that the signal from the previous claim produces the actual GERDA outcome is 1%
- **Limit on effective neutrino mass  $\langle m_{\beta\beta} \rangle$ :**  
 **$0.2 \div 0.4$  eV**
- starting the Phase II to improve sensitivity on half-life of a factor of 10

# Thanks

## Thank you for your attention!!



GERDA Collaboration Meeting in Heidelberg, Germany  
June 2014