



National Centre for Nuclear Research Warsaw, Poland

### Constraints on $\Delta G$ from COMPASS data Krzysztof Kurek

Spin2014

The 21st International Symposium on Spin Physics

October 20-24, 20<mark>1</mark>4, Beijing, <u>China</u>

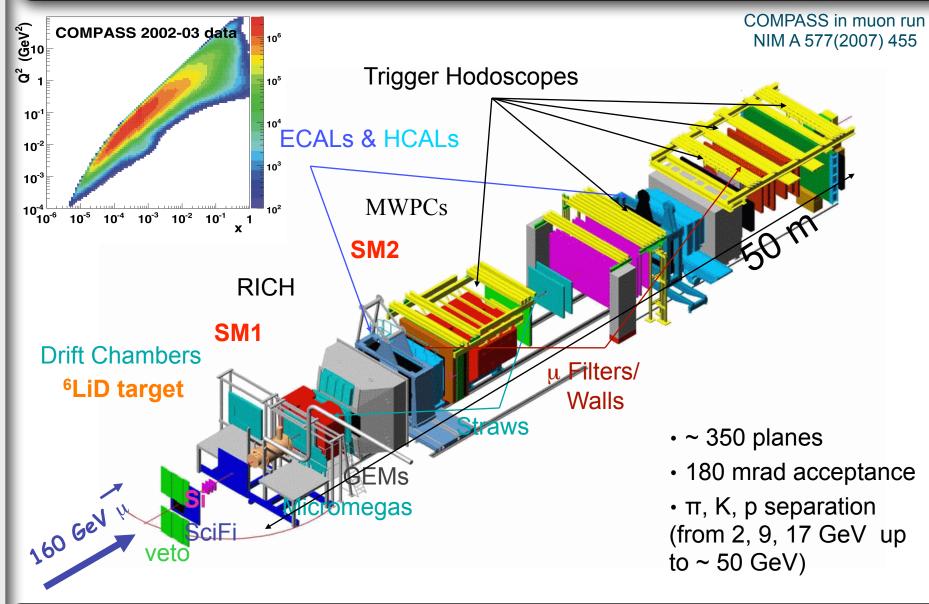
Beam:  $2 \cdot 10^8 \ \mu^+$ spill (4.8s / 16.2s)Luminosity ~5 ·  $10^{32} \ cm^{-2} \ s^{-1}$ Beam polarization: -80%Beam momentum: 160 GeV/cTarget polarization: P<sub>T</sub> = 50%, f ~ 40 %for <sup>6</sup>LiD (2002 - 2006)

LHC

COMPASS

## The COMPASS spectrometer

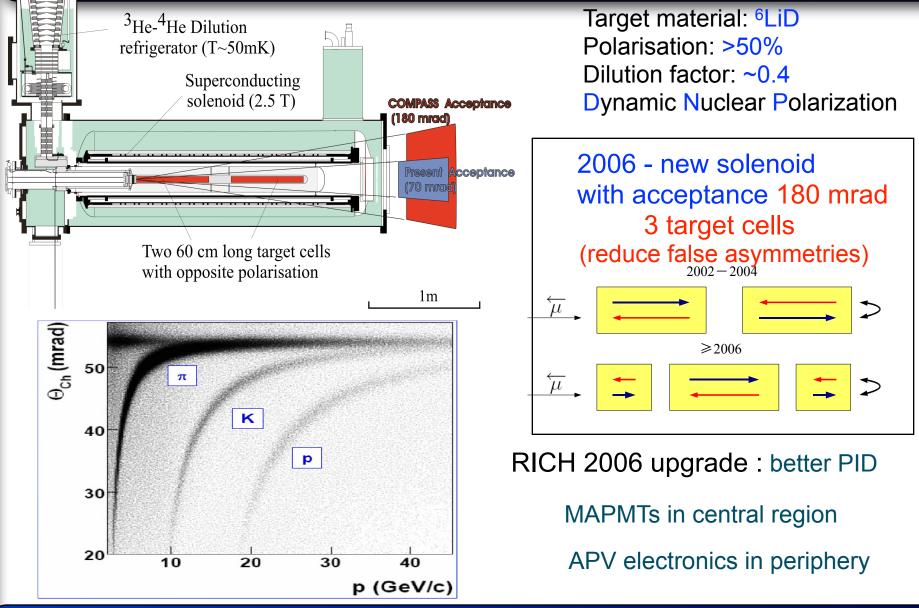




## The COMPASS polarized target and PID



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### Content

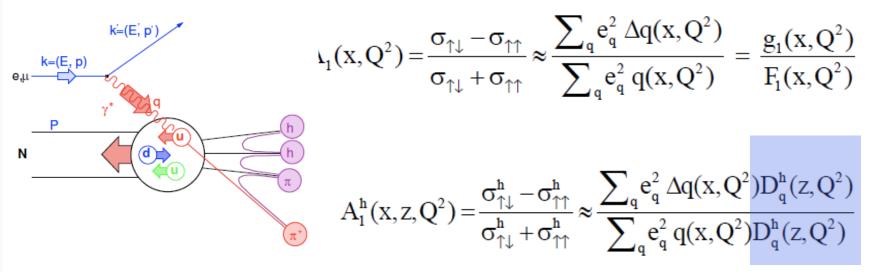


- Introduction
- Gluon polarisation measurement @ COMPASS
- High transverse momentum hadron pairs for large and low Q<sup>2</sup>
- Artificial Neural Network approach
- Data selection and data and Monte Carlo comparison
- Results
- Determination of gluon polarisation using all-p<sub>T</sub> method
- Data selection and data and Monte Carlo comparison
- Results
- Gluon polarisation from COMPASS open-charm data
- D<sup>0</sup> mesons reconstruction and signal to background estimation
- Analyzing power and statistically optimized analysis
- Result for gluon polarisation at NLO QCD approximation
- QCD fits and summary

Basic tool: the measurement of inclusive and semiinclusive asymmetry



Inclusive asymmetry:



Semi-inclusive asymmetry:

Compass g<sub>1</sub> results: deuteron/proton 160 GeV

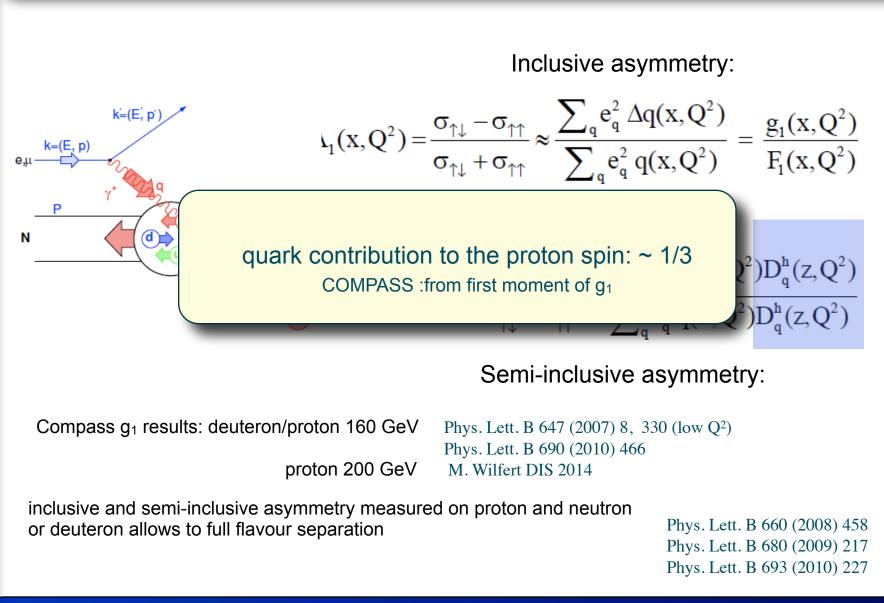
Phys. Lett. B 647 (2007) 8, 330 (low Q<sup>2</sup>)
Phys. Lett. B 690 (2010) 466
M. Wilfert DIS 2014

inclusive and semi-inclusive asymmetry measured on proton and neutron or deuteron allows to full flavour separation

proton 200 GeV

Phys. Lett. B 660 (2008) 458 Phys. Lett. B 680 (2009) 217 Phys. Lett. B 693 (2010) 227

# Basic tool: the measurement of inclusive and semiinclusive asymmetry



Introduction

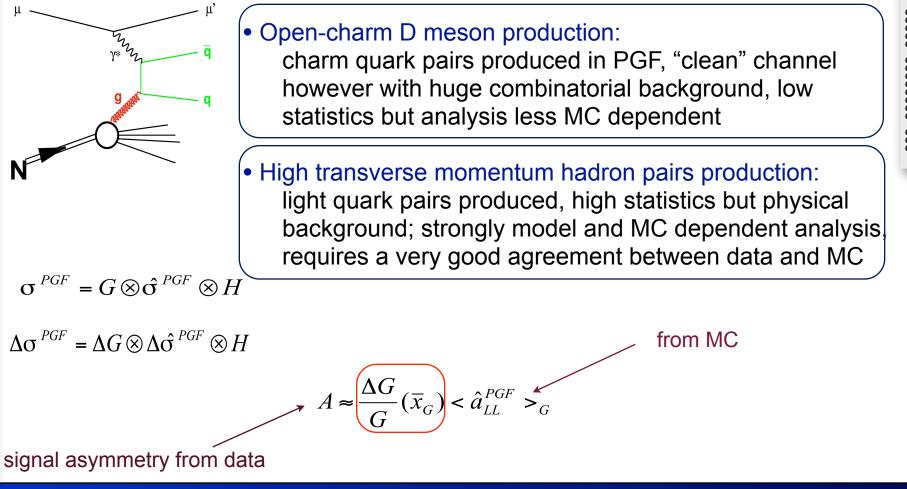
### Direct gluon polarisation measurement via tagging PGF process



8

### Non direct measurement of gluon polarisation - QCD fits

To select PGF process two methods are used @COMPASS:



### Low $Q^2$ & large $Q^2$ : $Q^2 < 1$ & $Q^2 > 1$ (GeV/c)<sup>2</sup>

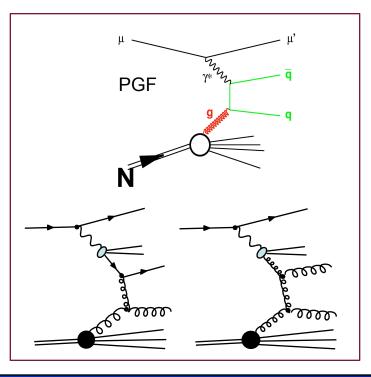


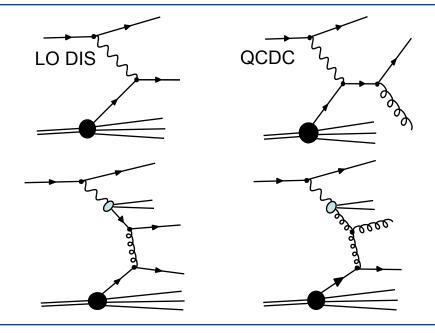
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R.D.Carlitz, J.C.Collins and A.H.Mueller, Phys.Lett.B 214, 229 (1988) Revisited by A.Bravar, D.von Harrach and A.Kotzinian, Phys.Lett.B 421, 349 (1998) Applied by SMC, HERMES and COMPASS

Two kinematical regions: low and large Q<sup>2</sup>

- low Q<sup>2</sup> here p<sub>T</sub> is a perturbative scale, also resolved photon contribution important (~50%)
- •large  $Q^2$  scale  $Q^2$ , method based on Neural Network approach used

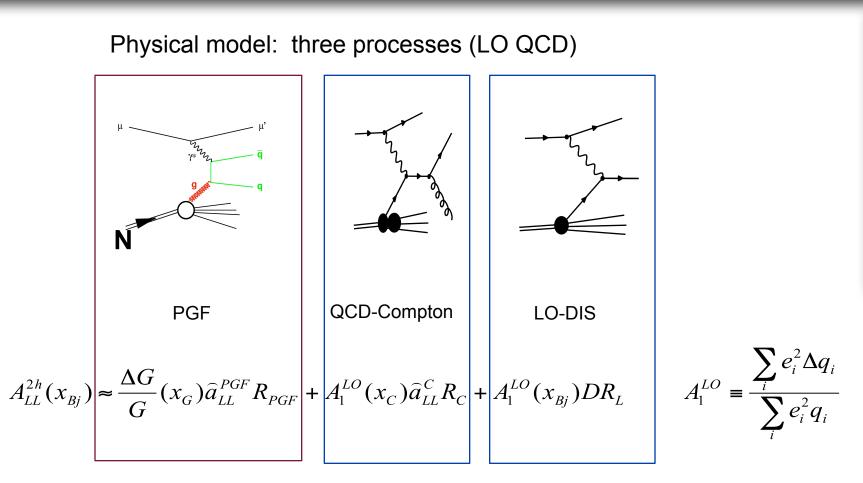




COMPASS@Low Q2- Phys. Lett. B 633 (2006) 25

### Large $Q^2$ : $Q^2 > 1 (GeV/c)^2$





Same decomposition for inclusive sample to determine A1LO

Optimization needed : "clean" (more PGF, "pure") sample with limited statistics or less PGF populated but larger sample

 $\mathbf{Q}_2$ 

High traansverse momentum hadron pairs for large

# Master formula for determination ΔG Data selection



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$$\frac{\Delta G}{G}(x_{G}) = \frac{A_{LL}^{2h}(x_{Bj}) + A^{corr}}{\beta}$$

$$\beta = a_{LL}^{PGF} R_{PGF} - a_{LL}^{PGF,incl} R_{PGF}^{incl} (\frac{R_{L}}{R_{L}^{incl}} + \frac{R_{C}}{R_{L}^{incl}} \frac{a_{LL}^{C}}{D})$$

$$A^{corr} = -A_{I}(x_{Bj}) D \frac{R_{L}}{R_{L}^{incl}} - A_{I}(x_{C})\beta_{1} + A_{I}(x_{C}')\beta_{2}$$

$$\beta_{1} = \frac{1}{R_{L}^{incl}} (a_{LL}^{C} R_{C} - a_{LL}^{C,incl} R_{C}^{incl} \frac{R_{L}}{R_{L}^{incl}}) \qquad \beta_{2} = a_{LL}^{C,incl} \frac{R_{C}R_{C}^{incl}}{(R_{L}^{incl})^{2}} \frac{a_{LL}^{C}}{D}$$

$$R's are fractions of the sub-processes (LO,PGF, QCDC) in high-p_{T} and inclusive samples, respectively; a_{LL} are so-called analyzing powers D is a depolarization factor.$$

### Data selection:

- Interaction vertex with an incoming and an outgoing muons
- $Q^2 > 1$  (GeV/c)<sup>2</sup> and 0.1<y<0.9 (inclusive sample)
- Events with at least 2 hadrons in the final state (2h sample)
- •The hadrons which form the high- $p_T$  pair:

 $p_{T1}$  > 0.7 GeV/c  $p_{T2}$  > 0.4 GeV/c  $z_1 + z_2 < 0.95$ 

these cuts define high-p<sub>T</sub> sample; 2002-2006 data: ~7.3 M events

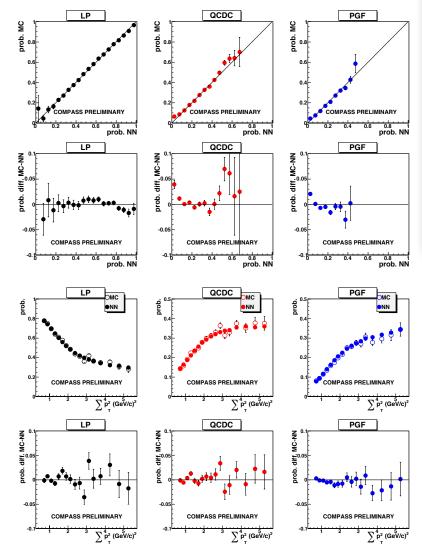
### Event-by-event basis weighted method Artificial Neural Network approach



The following factors must be known on the event by event basis:

 $R_{PGF}, R_C, R_L, R_{PGF}^{incl}, R_C^{incl}, R_L^{incl},$  $a_{LL}^{PGF}, a_{LL}^{PGF,incl}, a_{LL}^{C}, a_{LL}^{C,incl}, x_{C}, x_{G}, f, D, P_{b}$ 

- f,D,P<sub>b</sub> can be directly obtained from data
- Remaining factors have to be obtained from MC
- ANN trained on MC samples, then used on real data
- Input variables for ANN trainning:
  - inclusive case:  $\boldsymbol{x}_{Bj}$  and  $Q^2$
  - high-p\_T:  $x_{Bj}$ , Q<sup>2</sup>, p<sub>L1,2</sub>, p<sub>T1,2</sub>
- Weight used:  $fDP_b \beta$
- Good data description with MC is a "key point" of the analysis



### MC vs Data (sample: 2004)



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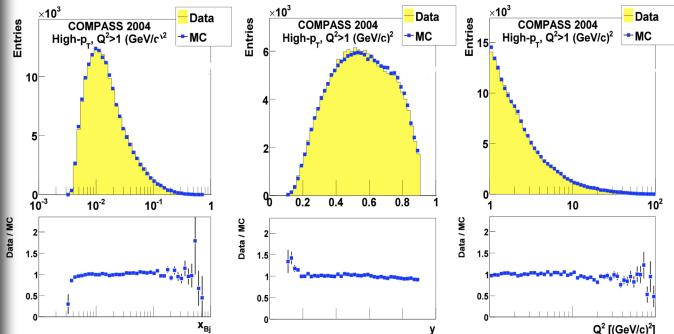
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Two types of MC samples have been used:

- inclusive one
- high-p⊤

Full chain of MC simulation: LEPTO+JETSET + GEANT + Reconstruction

- PDF MSTW2008LO
- high-p<sub>T</sub> sample:
  - MC tuning to improve hadron production description: shapes (momenta) and multiplicity (fragmentation)



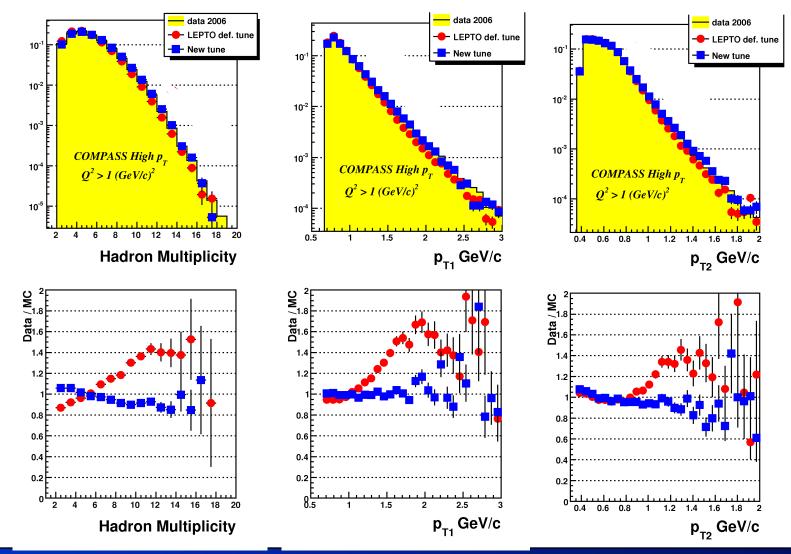
Comparison: MC/data for high  $p_{T}$  sample; x,y and  $Q^{2}$ 

### MC vs Data (sample: 2006)





### effect of tuning clearly visible



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### Systematic studies & results

- Neural Network stability
- MC

Results

- False Asymmetries
- $\delta P_b$ ,  $\delta P_t$ ,  $\delta f$
- A<sub>1</sub> parametrisation
- Simplification of the Formula for  $\Delta G/G$

$\delta(\Delta G/G)_{NN}$	0.010
$\delta(\Delta G/G)_{MC}$	0.045
$\delta(\Delta G/G)_{\text{false}}$	0.019
$\delta(\Delta G/G)_{f,Pb,Pt}$	0.004
$\delta(\Delta G/G)_{A1}$	0.015
$\delta(\Delta G/G)_{\text{formula}}$	0.035
Total	0.063

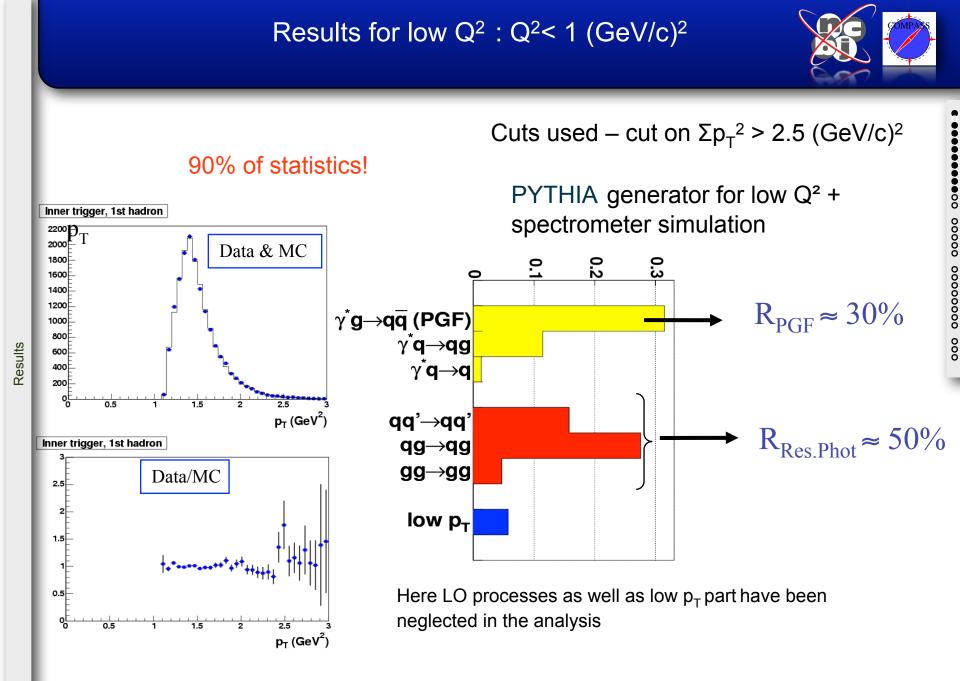
$$\frac{\Delta G}{G} = 0.125 \pm 0.060 \pm 0.063$$
$$x_G = 0.09^{+0.08}_{-0.04} \qquad \left< \mu^2 \right> = 3 \quad (GeV/c)^2$$

	1 <sup>st</sup> point	2 <sup>nd</sup> point	3 <sup>rd</sup> point
ΔG/G	$0.15 \pm 0.09 \pm 0.09$	$0.08 \pm 0.10 \pm 0.08$	$0.19 \pm 0.17 \pm 0.14$
<x_>_g&gt;</x_>	0.07 <sup>+0.05</sup> -0.03	0.10 <sup>+0.07</sup> -0.04	0.17 +0.10 -0.06

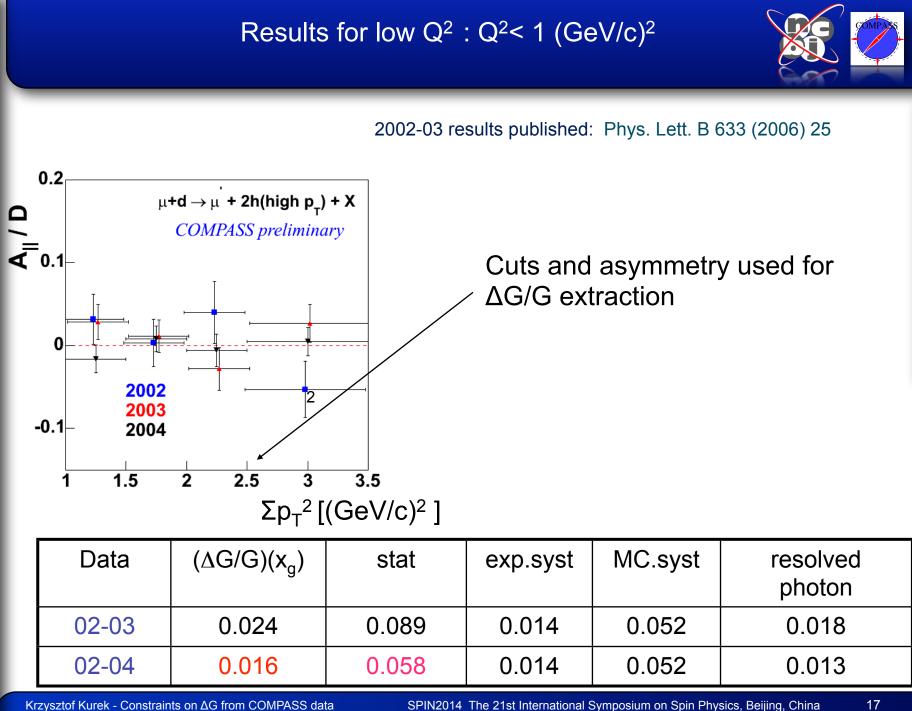
These 3 points show no x<sub>G</sub> dependence (within errors)

Phys. Lett. B 718 (2013) 922





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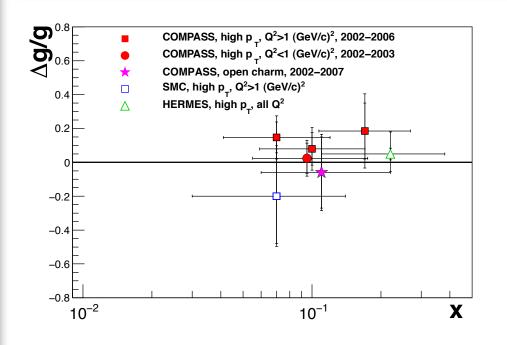
Results

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SPIN2014 The 21st International Symposium on Spin Physics, Beijing, China

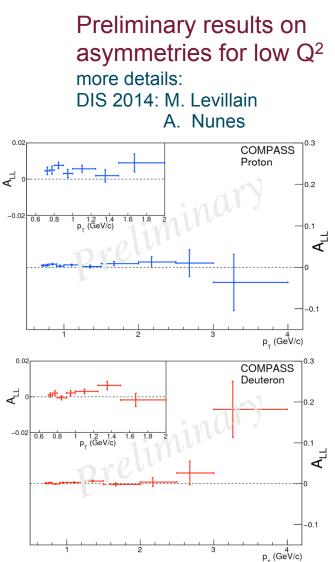
### Results for low & large Q<sup>2</sup>







Unpolarised cross-section - comparison with calculations: COMPASS: Phys. Rev. D88 (2013) 091101, Comparison: Phys. Rev. D88 (2013) 014024



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### New analysis - all-p⊤ methods



 $A_1^{LO} \equiv \frac{\sum_i e_i^2 \Delta q_i}{\sum_i e_i^2 q_i}$ 

19

#### M. Stolarski DIS 2014, paper in preparation

$$A_{LL}^{2h}(x_{Bj}) \approx \frac{\Delta G}{G}(x_G) \hat{a}_{LL}^{PGF} R_{PGF} + A_1^{LO}(x_C) \hat{a}_{LL}^{C} R_C + A_1^{LO}(x_{Bj}) DR_L$$

Problem in  $A_1^{LO}$  - unknown

Can be calculated from polarised PDFs but:

- •@ LO biased results & error
- •@ NLO inconsistent with LO analysis, contains also  $\Delta g$

In the previous analysis - taken from inclusive data:  $A_1^d$ Phys. Lett. B 718 (2013) 922 price: complicated formula + large systematics



J.Pretz, J-M Le Goff NIM A 602 (2009) 594

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COMPASS open-charm: Phys. Rev. D (2013) 052018



To optimize statistical error - a "clean" source of PGF and QCDC processes as well as LO processes needed;

- PGF/QCDC high-p<sub>T</sub> data
- LO low-p<sub>T</sub> data

data without  $p_T$  cut used! increase statistics (~100 M)

Some of the systematics uncertainty reduced:

- no error related to the "master" formula
- no  $A_1^d$  parameterization needed
- reduced experimental false asymmetries

the statistical weight simpler than in previous analysis

•  $w_{new} \sim a_{LL}^{PGF} R_{PGF}$ 

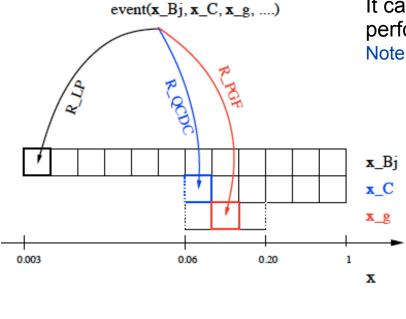
•  $W_{old} \sim a_{LL}^{PGF} R_{PGF} - a_{LL}^{incl,PGF} R_{PGF}^{incl} (\frac{R_{LP} + R_{QCDC} a_{LL}^{QCDC} / D}{R_{LP}^{incl}})$  (~ $\beta$ )

Statistical error on gluon polarisation reduced - higher statistics

New analysis - all-p<sub>T</sub> method A<sub>1</sub> compatibility check



# $A_1^{QCDC}(x_C) = A_1^{LP}(x_{Bj}) = A_1^{LO}(x)$ ; for $x_C = x_{Bj}$



It can be verified equality of the two asymmetries by performing  $\chi^2$  test and select the best MC tuning ; Note that statistical weight is constructed on the MC basis

	name	$\chi^2$
1	HIPT_PSON_MS_FLUKA	8.1
2	HIPT_PSON_MS	8.8
3	HIPT_PSOFF_MS	3.9
4	HIPT_PSON_CQ	10.1
5	HIPT_PSON_MS_NOFL	6.9
6	DEF_PSON_CQ	13.1
7	DEF_PSON_MS	10.7
8	DEF_PSOFF_MS	9.9

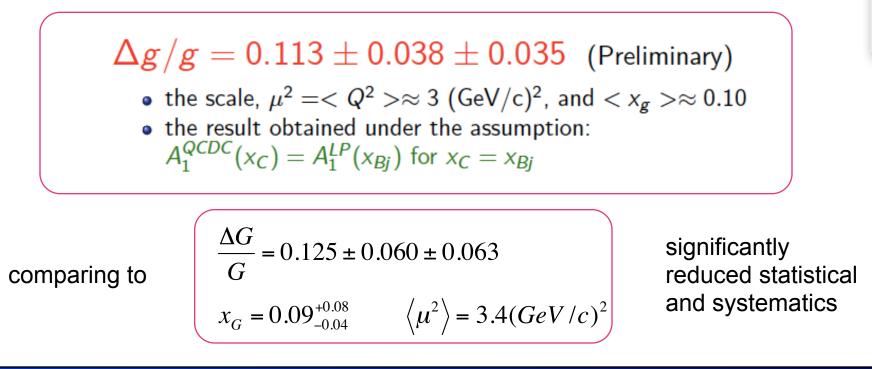
Data selection: standard DIS cut on inclusive variables (large Q<sup>2</sup>) at least one charged hadron detected - no high-p<sub>T</sub> cut ! for ANN information from one, leading hadron only is used



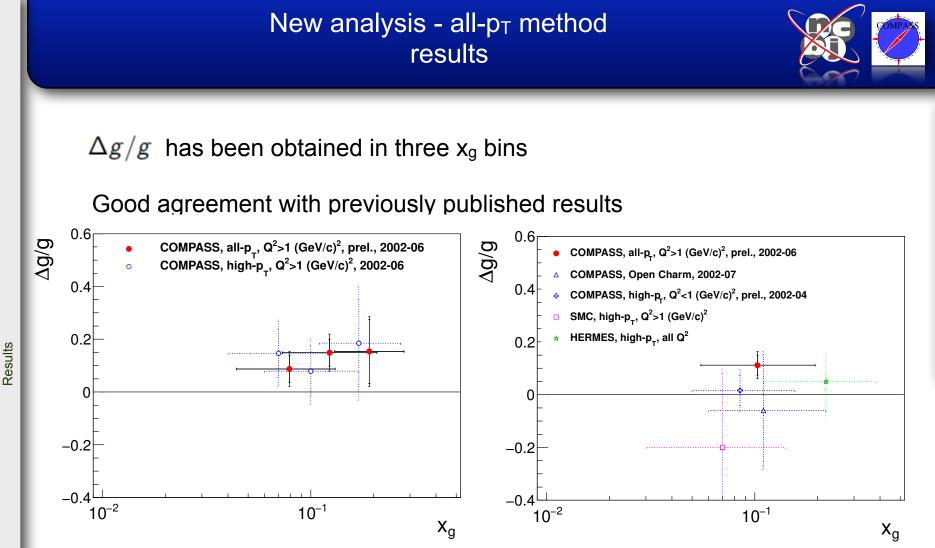
As in the previous analysis ANN is used - now only four variables:  $x_{Bj}$ ,  $Q^2$ ,  $p_T$  and  $p_L$  are used for training

Minimalization procedure and covariant matrix is used for error estimation;

simultaneously  $A_1^{LO}$  and  $\Delta g/g$  is fitted.



Results



Systematic studies mostly same as in previous analysis

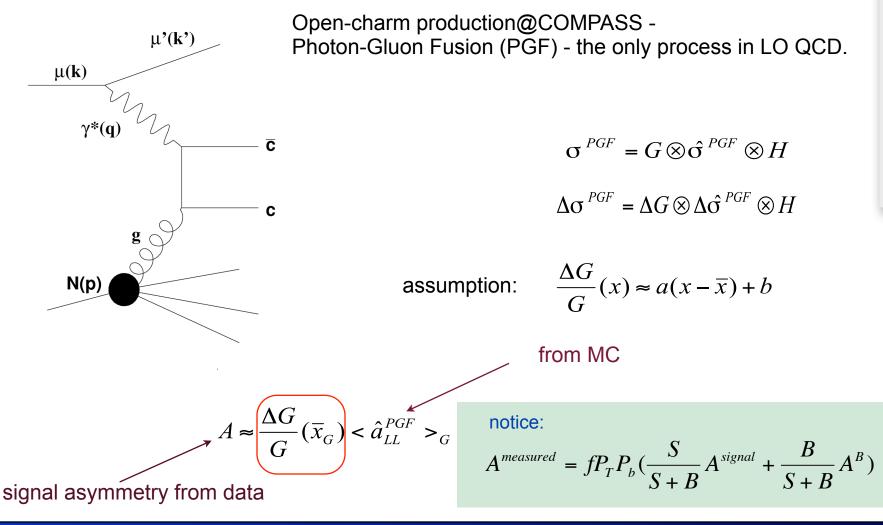
- errors from formula and A1 are eliminated
- Significantly reduced error from MC: 0.017 vs 0.045
- slightly higher false asymmetries observed (0.029 vs 0.019, correctly taken p<sub>T</sub> dependence) Total: 0.035

### D<sup>0</sup> meson production



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Low statistics! Huge combinatorial background to fight with! Phys. Lett. B 676 (2009) 31 Phys.Rev. D 87 (2013) 052018



### The method similar to all-p<sub>T</sub>



two weights:

Gluon polarisation from COMPASS open-charm data

Total number of events:

$$\begin{split} \frac{\mathrm{d}^k N}{\mathrm{d}m \,\mathrm{d}X} &= a\phi n(s+b) \left[ 1 + P_\mathrm{t} P_\mu f\left(\frac{s}{s+b} A^{\mu\mathrm{N}\to\mu'\mathrm{D}^0\mathrm{X}}\right. \\ & \left. \frac{b}{s+b} A_\mathrm{B} \right) \right] \,, \\ w_\mathrm{B} &= P_\mu f D \frac{s}{s+b} \,, \\ w_\mathrm{B} &= P_\mu f D \frac{b}{s+b} \,. \end{split}$$

Every event is weighted by these weights and asymmetries for signal and background in  $(p_T^{D^0}, E_{D^0})$  intervals are simultaneously extracted. Gluon polarisation from signal asymmetry is then estimated.

Another way: extract gluon polarisation directly event-by event basis using weights with analyzing power:

$$w = f P_B \frac{S}{S+B} a_{LL}$$

 $w_{\rm S} =$ 

Statistically optimised determination of gluon polarisation; takes into account anticorrelation between analyzing power and signal strength

### D<sup>0</sup> meson data selection

### Considered events:

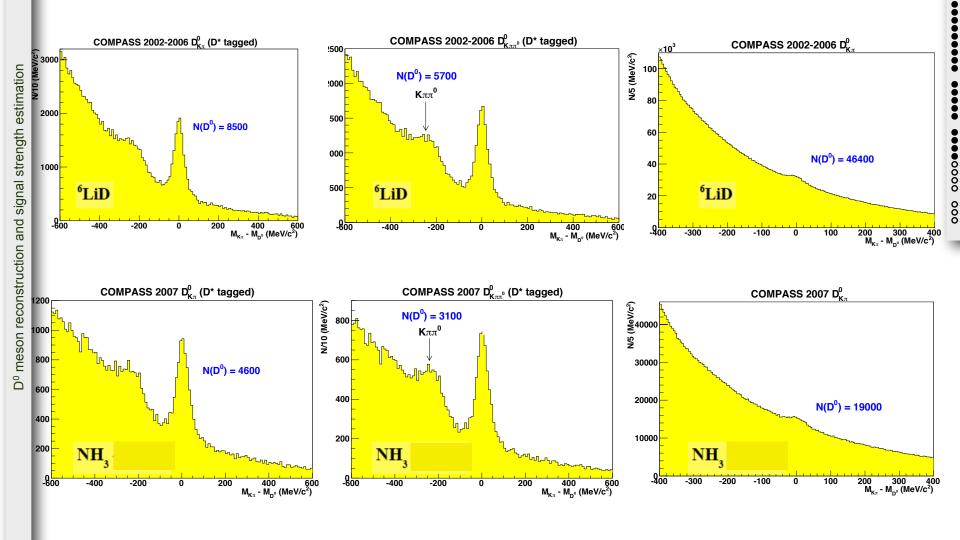
- $D^0 \rightarrow K\pi$  (BR: 4%)
- $D^* \rightarrow D^0 \pi_{c} (30\% D^0 \underline{tagged with} \ a D^*)$ 
  - $D^0 \rightarrow K\pi$
  - $D^0 \rightarrow K\pi\pi^0$  (BR: 13%)  $\rightarrow$  not directly reconstructed  $\pi^0$
  - $D^0 \rightarrow K\pi\pi\pi$  (BR: 7.5%)
  - $D^0 \rightarrow sub(K)\pi$  **no RICH ID for Kaons** ( $p \le 9 \ GeV/c$ )

Selection to reduce the combinatorial background

- Kinematical cuts:  $Z_{D}$  and  $D^{0}$  decay angle (to reject colinear events with  $\gamma^{*}$ coming from the nucleon fragmentation), K and  $\pi$  momentum
- **RICH identification:** <u>K and  $\pi$  ID</u> + electrons rejected from the  $\pi_{e}$  sample
- Mass cut for the D<sup>\*</sup> tagged channels  $(M[K\pi\pi_s] M[K\pi] M[\pi])$
- Neural Network qualification of events

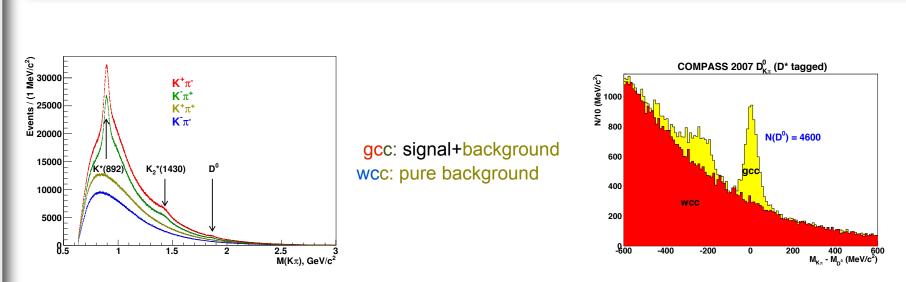
### D<sup>0</sup> meson reconstruction



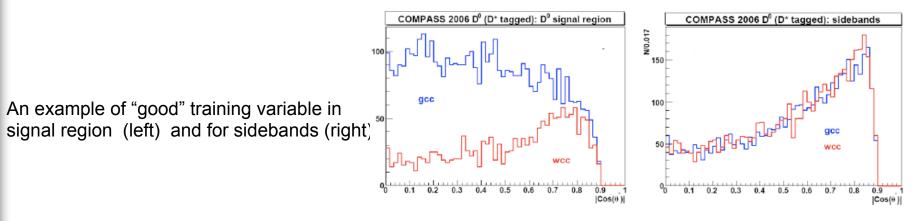


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# Artificial Neutral Network qualification of events



- Assuming background model to be good *Neural Network* is able to find some differences between samples: *S+B* and *B*.
- •This way the signal probability S/(S+B) is constructed event-by-event



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### **Gluon polarization**



Final gluon polarization result from open-charm in LO QCD

$$\left\langle \frac{\Delta g}{g} \right\rangle = -0.10 \pm 0.22 \text{ (stat.)} \pm 0.09 \text{ (syst.)}$$

$$\left\langle \frac{\Delta g}{g} \right\rangle = -0.06 \pm 0.21 \text{ (stat.)} \pm 0.08 \text{ (syst.)}$$

from asymmetries

$$\langle x_G \rangle = 0.11^{+0.11}_{-0.05}$$

$$\mu^2 \approx 13 \frac{GeV^2}{c^2}$$

Statistically optimised

Source	$\delta\left(\langle \Delta g/g \rangle\right)$	Source	$\delta\left(\langle \Delta g/g \rangle\right)$
Beam polarisation $P_{\mu}$	0.005	s/(s+b)	0.007
Target polarisation $P_t$	0.005	False asymmetry	0.080
Dilution factor $f$	0.002	$a_{\rm LL}$	0.015
Assumption, Eq. (9)	0.025	Depolarisation factor $D$	0.002
Total uncertainty 0.086			

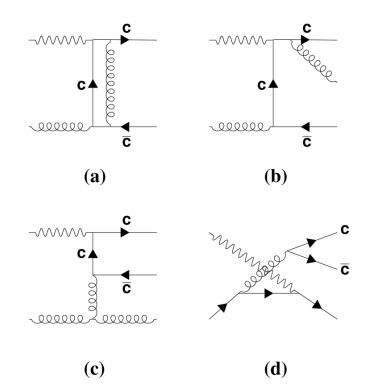
Source	$\delta\left(\langle \Delta g/g \rangle\right)$	Source	$\delta(\langle \Delta g/g \rangle)$
Beam polarisation $P_{\mu}$	0.003	s/(s+b)	0.004
Target polarisation $P_t$	0.003	$a_{\rm LL}$	0.005
Dilution factor $f$	0.001	False asymmetry	0.080
Assumption, Eq. (9)	0.025		
Total uncertainty 0.084			

#### More details and asymmetries in bins - see: Phys.Rev. D 87 (2013) 052018

### Gluon polarisation @ NLO QCD



#### I.Bojak, M.Stratmann, Nucl.Phys.B 540 (1999) 345, I.Bojak, PhD th. J.Smith, W.L.Neerven, Nucl.Phys.B 374 (1992)36), W.Beenakker, H.Kuijf, W.L.Neerven, J.Smith, Phys.Rev.D40(1989)54

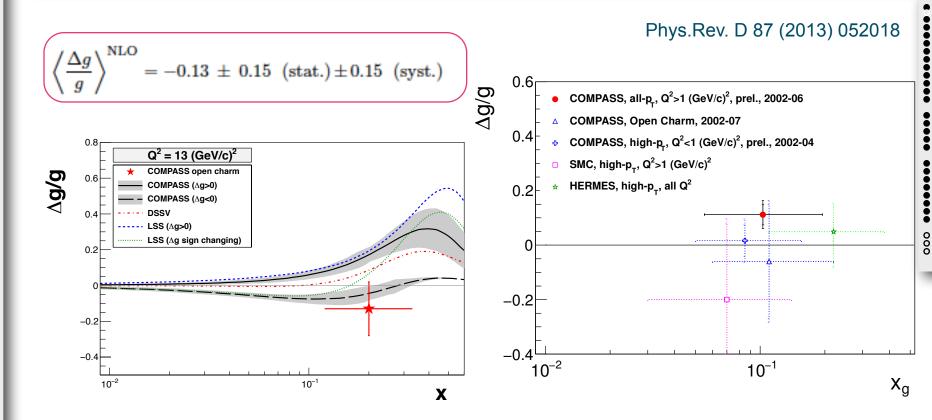


Procedure for NLO calculations:

- 1. Aroma MC generator with Parton Shower-on describes COMPASS data very well
- PS simulates phase space for NLO correction - a<sub>LL</sub> can be calculated event-by-event basis from theoretical formulas (as in LO case)
- light quark correction ~ A<sub>1</sub> which is taken directly from data \*)
- 4. Asymmetries in bins used (rebinned in  $p_{T}^{D^{0}}$  bins only)

### Result on gluon polarisation @LO & NLO QCD



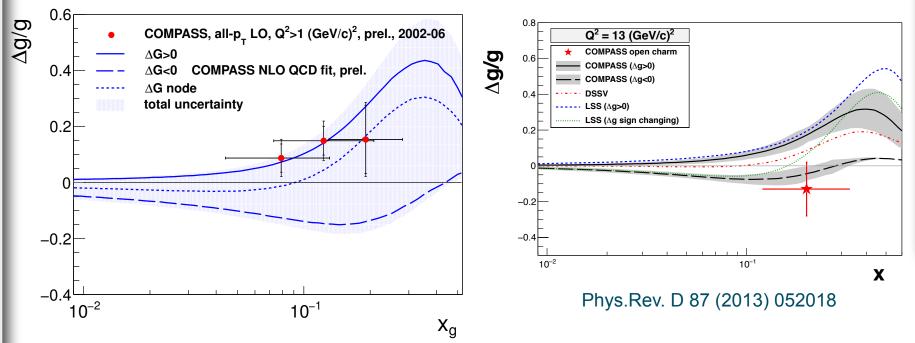


Source	$\delta\left(\langle \Delta g/g\rangle\right)$	Source	$\delta(\langle \Delta g/g \rangle)$
Beam polarisation $P_{\mu}$	0.006	s/(s+b)	0.009
Target polarisation $P_t$	0.006	aLL	0.119
Dilution factor $f$	0.003	False asymmetry	0.080
Assumption, Eq. (9)	0.025	Depolarisation factor $D$	0.002
Total uncertainty 0.146			

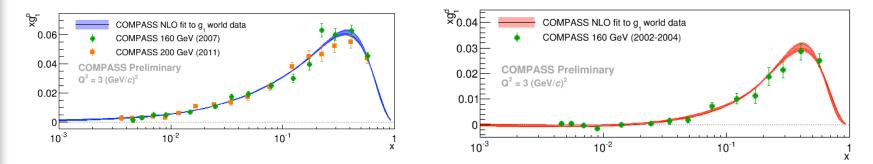
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### **COMPASS QCD analysis**





New COMPASS NLO QCD fit (inclusive, including new COMPASS proton data) M.Wilfert, DIS 2014

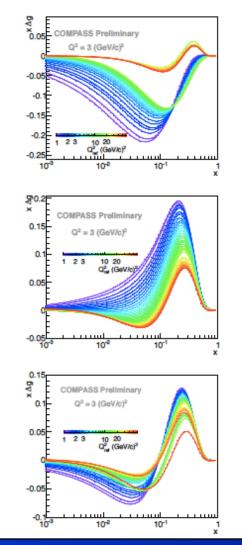


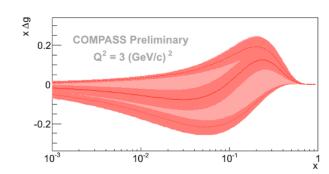
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### COMPASS QCD analysis



New COMPASS NLO QCD fit (inclusive, including new COMPASS proton data) M.Wilfert, DIS 2014





Large uncertainty due to different parameterization and reference scale

Gluon's contribution to nucleon spin budget not well constrained





- Gluon polarisation measurement @ COMPASS has been presented
- New results on gluon polarisation @ LO QCD approximation from high-p<sub>T</sub> hadron pairs measurement has been shown -all-p<sub>T</sub> method
- The determination of gluon polarisation @ LO as well as NLO QCD approximation from COMPASS open-charm data has been presented
- New results on gluon polarisation from new COMPASS QCD fits have been shown

Gluons are weakly constrained by inclusive DIS data Direct measurements constrain gluons in limited range of x

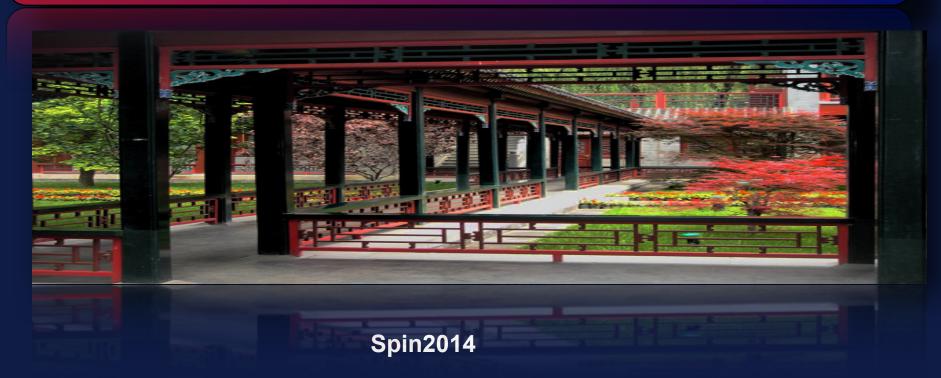
New results from global QCD analysis prefers small positive gluon polarisation but with large uncertainties.

# Thank you for your attention





### Constraints on $\Delta G$ from COMPASS data Krzysztof Kurek



The 21st International Symposium on Spin Physics, October 20-24, 2014, Beijing, China

## Backup slides



## First moment of g1 structure functions



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Compass only Phys. Lett. B 647 (2007) 8

$$\Gamma_1^N(Q^2) = \frac{1}{9} \left( 1 - \frac{\alpha_s(Q^2)}{\pi} + O(\alpha_s^2) \right) \left( a_0(Q^2) + \frac{1}{4}a_8 \right)$$

$$a_{0|Q_0^2=3(GeV/c)^2} = 0.35 \pm 0.03(stat) \pm 0.05(syst)$$

from Y. Goto *et al.*, PRD62 (2000) 034017: (SU(3)<sub>f</sub> assumed for weak decays)  $a_8 = 0.585 \pm 0.025$ 

C<sub>1</sub> calculated behind 3 loops app. S.A.Larin *et al.*,Phys.Lett.B404(1997)153

$$\Gamma_1^N(Q^2) = \frac{1}{9}C_1^S(Q^2)\hat{a}_0 + \frac{1}{36}C_1^{NS}(Q^2)a_8$$
 beyond NLC

$$\hat{a}_{0|Q^2 \to \infty} = 0.33 \pm 0.03(stat) \pm 0.05(syst)$$

Triangle anomaly:

$$a_0 = \Delta \Sigma - \frac{3}{2} \frac{\alpha_s}{\pi} \Delta G$$

quark contribution to the proton spin:  $\sim 1/3$ 

**QCD NLO** 

Master formula for determination of the gluon polarisation (large  $Q^2$ )

$$\begin{aligned} \frac{\Delta G}{G}(x_G) &= \frac{A_{LL}^{2h}(x_{Bj}) + A^{corr}}{\beta} \\ \beta &= a_{LL}^{PGF} R_{PGF} - a_{LL}^{PGF,incl} R_{PGF}^{incl} \left(\frac{R_L}{R_L^{incl}} + \frac{R_C}{R_L^{incl}} \frac{a_{LL}^C}{D}\right) \\ A^{corr} &= -A_1(x_{Bj}) D \frac{R_L}{R_L^{incl}} - A_1(x_C) \beta_1 + A_1(x_C') \beta_2 \\ \beta_1 &= \frac{1}{R_L^{incl}} (a_{LL}^C R_C - a_{LL}^{C,incl} R_C^{incl} \frac{R_L}{R_L^{incl}}) \qquad \beta_2 = a_{LL}^{C,incl} \frac{R_C R_C^{incl}}{(R_L^{incl})^2} \frac{a_{LL}^C}{D} \end{aligned}$$

- The polarized quark contribution (LO+QCDC) are taken directly from inclusive A<sub>1</sub> asymmetry (pure data)
- To determine  $\Delta G/G$  (<x<sub>G</sub>>) from <  $\Delta G/G$ >,  $\Delta G/G$  has been assumed to be a linear function of  $x_G$  in measured bin (very well justified assumption)

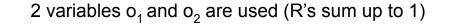
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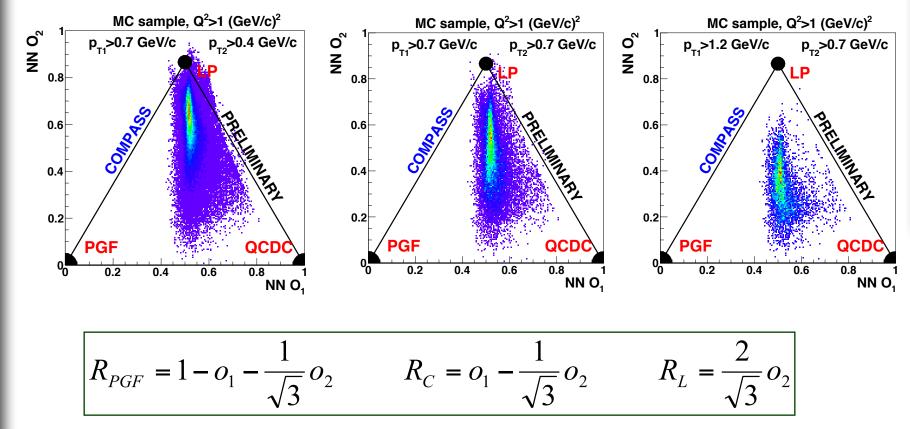
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### **Artificial Neural Network**





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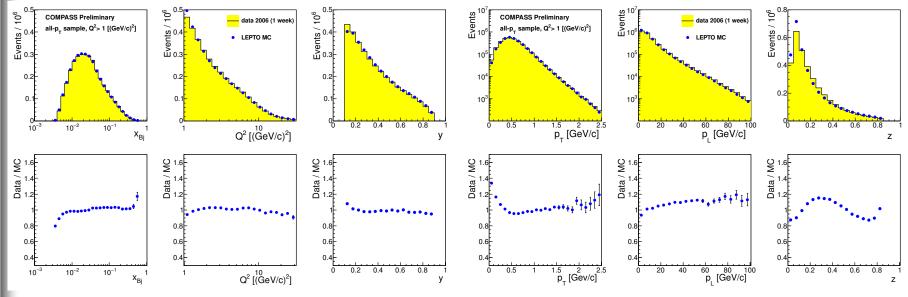
### New analysis - all-p⊤ method MC vs data



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MC: same MC as in the previous analysis (high- $p_T$  tunning) with FLUKA generator for simulating secondary interactions.

Data selection: standard DIS cut on inclusive variables (large  $Q^2$ ) at least one charged hadron detected - no high-p<sub>T</sub> cut ! for ANN information from one, leading hadron only is used



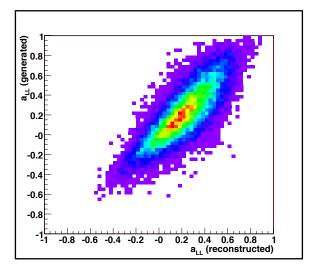
### Analyzing power

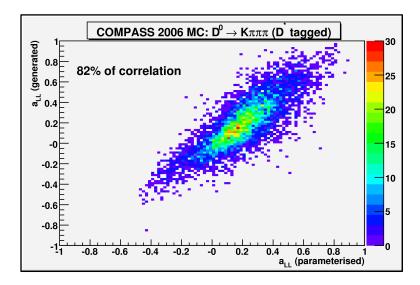


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Partonic muon-gluon asymmetry and NN parameterization

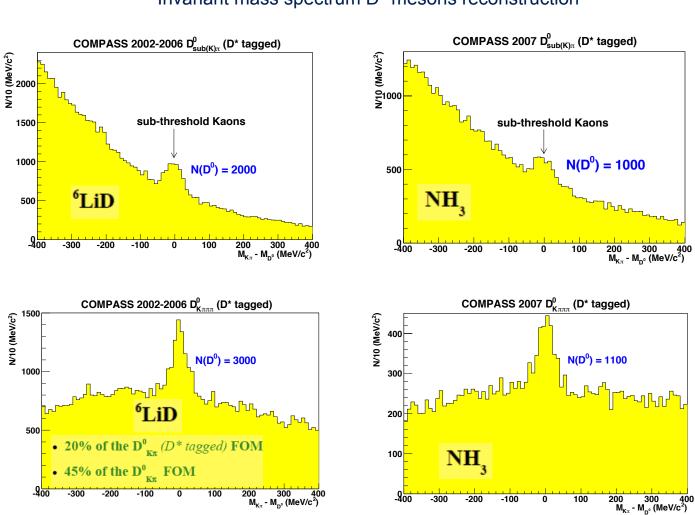
- a<sub>LL</sub> depends on the knowledge of the partonic kinematics and can not be experimentally obtained - only one charmed meson is reconstructed
- a<sub>LL</sub> is calculated with MC (in LO QCD) and parameterized by measured quantities using NN approach
- As a training vector kinematical variables: y, x<sub>Bjk</sub>, Q<sup>2</sup>, z<sub>D</sub><sup>0</sup>, p<sub>T,D</sub><sup>0</sup> are used





### D<sup>0</sup> meson reconstruction





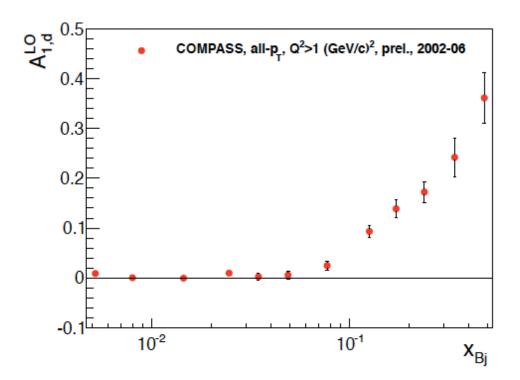
### Invariant mass spectrum D<sup>0</sup> mesons reconstruction

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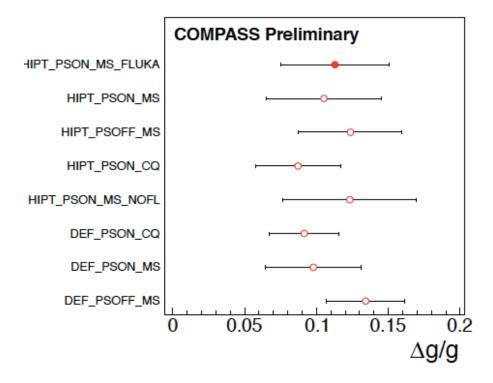
- For completeness  $A_1^{LO}$  results are shown
  - extracted asymmetries are compatible with zero for low  $x_{Bi}$
  - positive value is obtained for higher  $x_{Bj}$ , as expected



### **Results & systematics**

Sombase

- Presented analysis is MC dependent
- ullet 8 MC samples are used to study systematic uncertainty of  $\Delta g/g$
- The extracted values of  $\Delta g/g$  for each of MC are shown in figure
- The RMS of the obtained results was taken as a systematic error

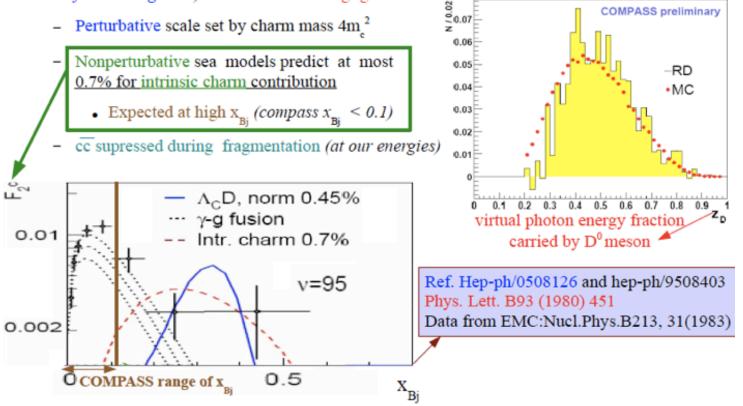


- Obtained  $\Delta g/g$  results are very stable, RMS = 0.017
- Results are stable while the error of  $\Delta g/g$  changes by up to a factor 2



## Why measure gluon spin from Open-Charm?

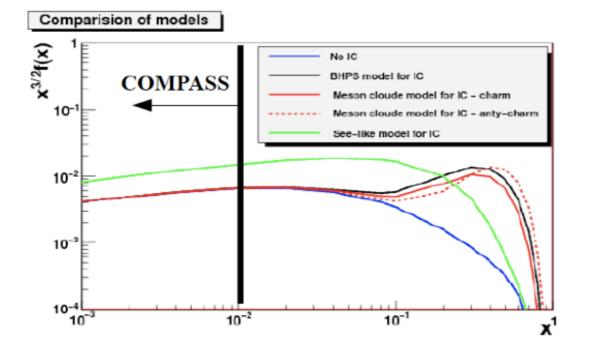
- cc production is dominated by the PGF process, and <u>free from physical</u> <u>background</u> (ideal for probing gluon polarisation)
  - In our center of mass energy, the contribution from intrinsic charm (c quarks not coming from hard gluons) in the nucleon is negligible





## **Intrinsic charm predictions: CTEQ6.5c**

- In the COMPASS kinematic domain:
  - No intrinsic charm contamination is predicted by the theory driven results
  - Only the more phenomenological "See-like" scenario should be taken into account (under study)



### Aroma MC PS versus COMPASS data



#### Differential cross section for D\* meson production (D\* 2004 COMPASS data)

