



# SPIN 2014

The 21st International Spin Physics Symposium



**Transverse Spin Asymmetries  
in the CN1 region of elastic  
proton-proton scattering  
at  $\sqrt{s}=200$  GeV**

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for the STAR Collaboration**



## Matrix elements

- $\phi_1(s, t) = \langle ++ | M | ++ \rangle$  spin non-flip
- $\phi_2(s, t) = \langle ++ | M | -- \rangle$  double spin flip
- $\phi_3(s, t) = \langle +- | M | +- \rangle$  spin non-flip
- $\phi_4(s, t) = \langle +- | M | -+ \rangle$  double spin flip
- $\phi_5(s, t) = \langle ++ | M | +- \rangle$  single spin flip
- $\phi_i(s, t) = \phi_i^{EM}(s, t) + \phi_i^{HAD}(s, t)$  **CNI**

## Single spin asymmetry

$$A_N(s, t) \frac{d\sigma}{dt} = \frac{-4\pi}{s^2} \text{Im} \left\{ \phi_5^* (\phi_1 + \phi_2 + \phi_3 - \phi_4) \right\}$$

$$A_N \frac{d\sigma}{dt} \approx -\frac{4\pi}{s^2} \text{Im}(\phi_5^* \phi_+) \quad \text{Probe for } \phi_5^{had}$$

$$r_5 = \frac{2m_p}{\sqrt{-t}} \frac{\phi_5^{had}}{\text{Im}\phi_+^{had}}$$

## Cross section

$$\sigma_{tot} = \frac{4\pi}{s} \text{Im} \{ \phi_1 + \phi_3 \}_{t=0} = \frac{4\pi}{s} \text{Im} \phi_+ |_{t=0}$$

## Double spin asymmetries

$$A_{NN}(s, t) \frac{d\sigma}{dt} = \frac{4\pi}{s^2} \left\{ 2|\phi_5|^2 + \text{Re}(\phi_1^* \phi_2 - \phi_3^* \phi_4) \right\}$$

$$A_{SS}(s, t) \frac{d\sigma}{dt} = \frac{4\pi}{s^2} \text{Re} \{ \phi_1 \phi_2^* + \phi_3 \phi_4^* \}$$

$$\frac{A_{NN} + A_{SS}}{2} \frac{d\sigma}{dt} \approx \frac{4\pi}{s^2} \text{Re} \phi_1 (\phi_2^*) \quad \text{Probe for } \phi_2^{had}$$

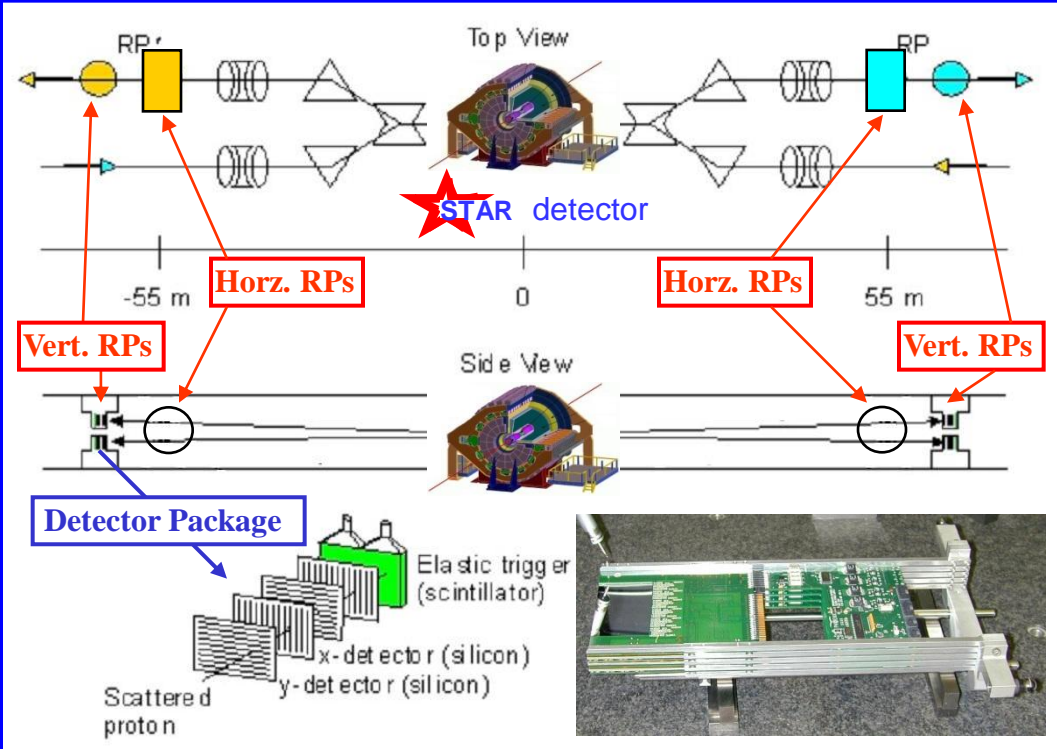
$$r_2 = \frac{\phi_2^{had}}{2 \text{Im}\phi_+^{had}}$$

$$\frac{A_{NN} - A_{SS}}{2} \frac{d\sigma}{dt} \approx -\frac{4\pi}{s^2} \text{Re} \phi_1 (\phi_4^*) \approx 0$$

$$r_4 = \frac{m_p^2}{-t} \frac{\phi_4^{had}}{\text{Im}\phi_+^{had}}$$

$$\phi_4^{had} \sim t \rightarrow 0$$

small in CNI



- ✓ Roman Pots integrated with STAR detector – closest proximity to the beam.
- ✓ CNI region :  $0.003 < -t < 0.03$ .
- ✓ Ideal beam optics:  $\beta^* = 21\text{m}$  and parallel to point focusing – terms other than  $L_{\text{EFF}}$  in the transport matrix very small.

$$\begin{aligned} x_D &\approx L_{\text{eff}}^x \Theta_x^* \\ y_D &\approx L_{\text{eff}}^y \Theta_y^* \end{aligned}$$

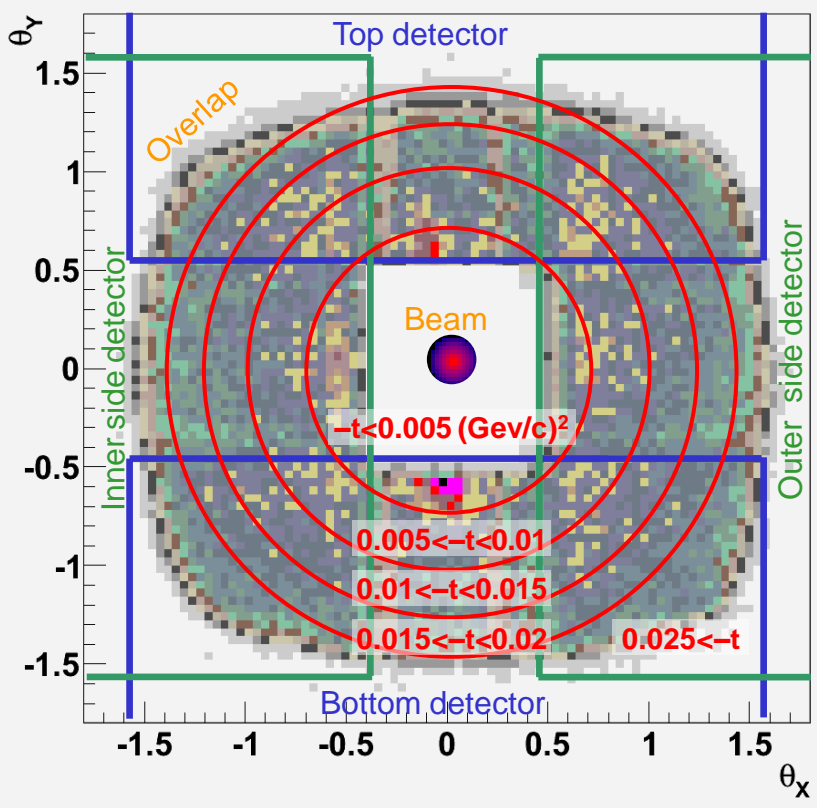
- ✓ High transverse polarization of both beams ~60%.
- ✓ Excellent detector performance – nearly 100% efficiency and only 5 dead/noisy strips per ~14000 active strips.

**$P_B P_Y = 0.372 \pm 0.056$**   
 \*Averaged for 4 fills from the official Run'09 RHIC polarimeter data: <https://wiki.bnl.gov/rhicspin/Results>

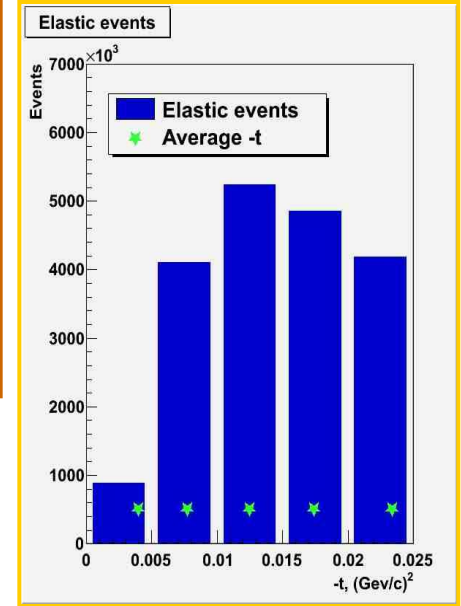
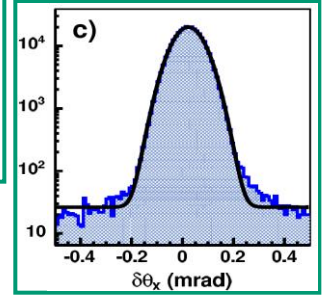
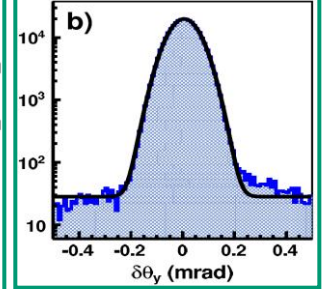
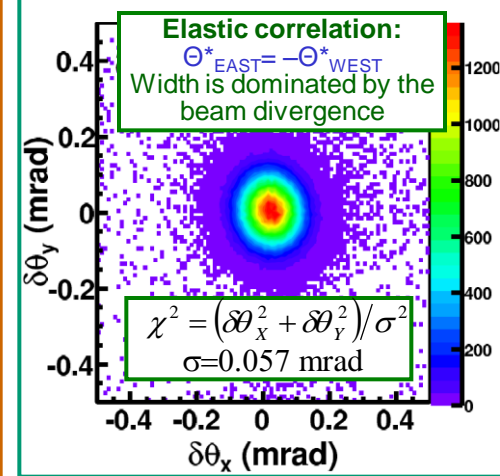
**Unique possibility to measure  $A_N$ ,  $A_{NN}$ ,  $A_{SS}$  and, in general,  $A_{LL}$  at collider energies**



Elastic events angular distribution



- ✓  $2\pi$  acceptance in azimuthal angle.
- ✓ Exactly the same sample of elastic events for  $A_N$  and  $A_{NN}$  &  $A_{SS}$  studies



✓  $2 \cdot 10^7$  elastic events,  $< 1\%$  background.

✓ 5  $t$ -ranges  $< 0.03 \text{ (GeV/c)}^2$

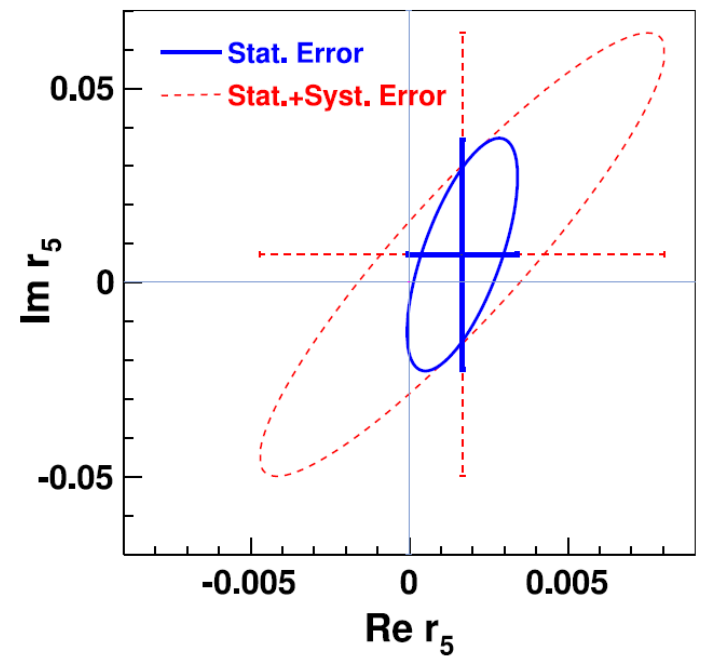
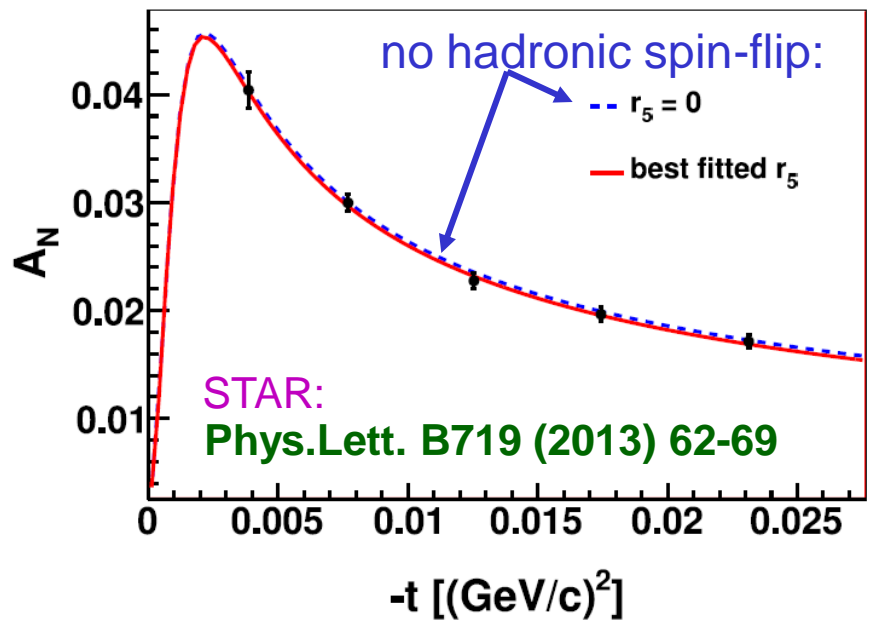


# Single spin asymmetry $A_N$



- “Square root formula” – no need for external luminosity normalization

$$\varepsilon_N(\varphi) = \frac{(P_B + P_Y)A_N \cos \varphi}{1 + \delta(\varphi)} = \frac{\sqrt{N^{++}(\varphi)N^{--}(\pi + \varphi)} - \sqrt{N^{--}(\varphi)N^{++}(\pi + \varphi)}}{\sqrt{N^{++}(\varphi)N^{--}(\pi + \varphi)} + \sqrt{N^{--}(\varphi)N^{++}(\pi + \varphi)}}$$



$$A_N(t) = \frac{\sqrt{-t}}{m} \frac{[\kappa(1 - \rho \delta) + 2(\delta \operatorname{Re} r_5 - \operatorname{Im} r_5)] \frac{t_c}{t} - 2(\operatorname{Re} r_5 - \rho \operatorname{Im} r_5)}{\left(\frac{t_c}{t}\right)^2 - 2(\rho + \delta) \frac{t_c}{t} + (1 + \rho^2)}$$

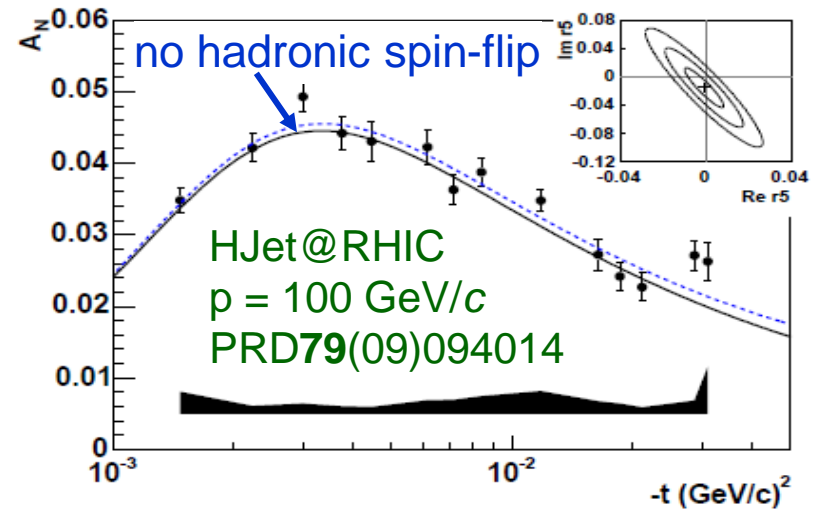
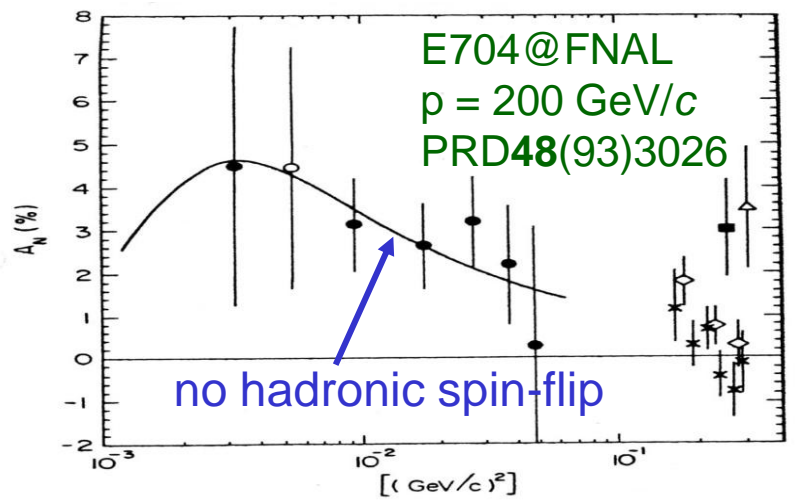
$$\operatorname{Re} r_5 = 0.0017 \pm 0.0063$$

$$\operatorname{Im} r_5 = 0.007 \pm 0.057$$

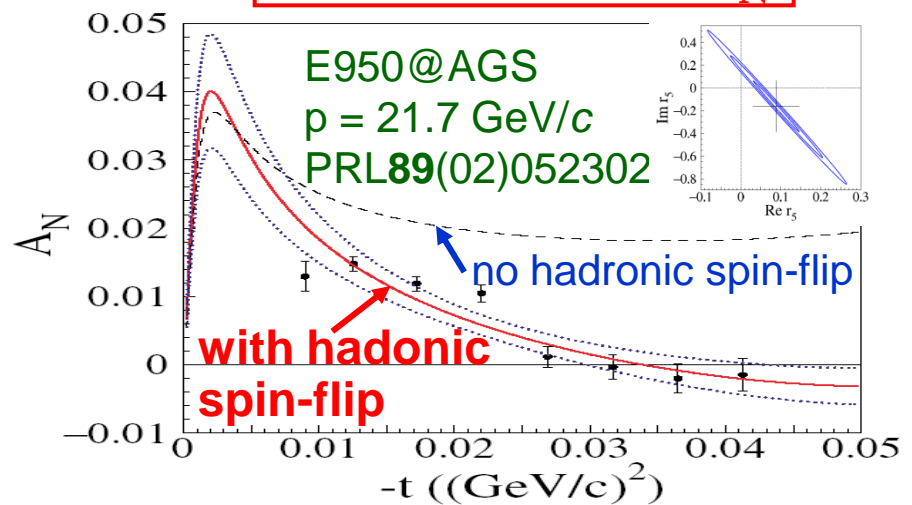
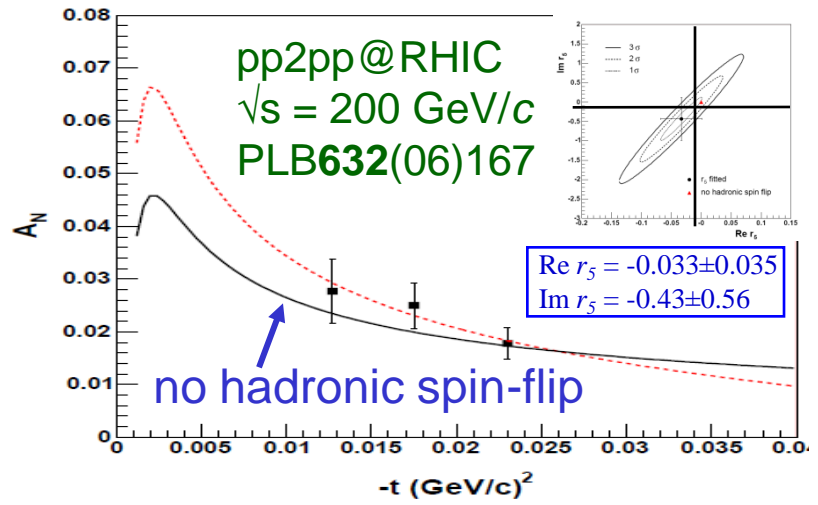
- Statistical error of <3% in each of 5 points
- Many systematics checks
- Highest accuracy in extraction of  $r_5$



## Proton-proton $A_N$



## Proton-carbon $A_N$



Cross section azimuthal angular dependence for transversely polarized beams:

$$\sigma = \sigma_0 \left[ 1 + A_N (\vec{P}_B + \vec{P}_Y) \cdot \vec{n} + A_{NN} (\vec{P}_B \cdot \vec{n})(\vec{P}_Y \cdot \vec{n}) + A_{SS} (\vec{P}_B \cdot \vec{s})(\vec{P}_Y \cdot \vec{s}) \right]$$

$\vec{n}$  - vector normal to the scattering plane  $\vec{P}_B; \vec{P}_Y$  - polarization vectors of the two beams

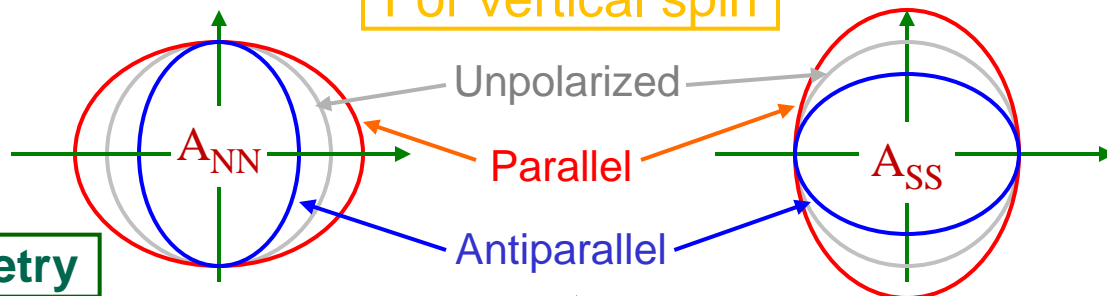
$\vec{s} = \frac{\vec{n} \times \vec{p}}{|\vec{n} \times \vec{p}|}$  - is the vector in the scattering plane, normal to the initial momentum

$$2\pi \frac{d^2\sigma}{dtd\varphi} = \frac{d\sigma}{dt} \cdot \left( 1 + (P_B + P_Y)A_N \cos\varphi + P_B P_Y (A_{NN} \cos^2\varphi + A_{SS} \sin^2\varphi) \right)$$

## Double-spin effects

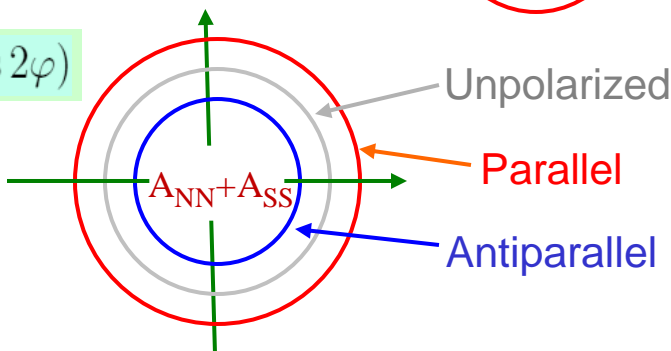
$$A_{NN}; A_{SS} = \frac{\sigma^{\uparrow\uparrow\downarrow\downarrow} - \sigma^{\uparrow\downarrow\downarrow\uparrow}}{\sigma^{\uparrow\uparrow\downarrow\downarrow} + \sigma^{\uparrow\downarrow\downarrow\uparrow}}$$

For vertical spin



## Raw double-spin asymmetry

$$A_2(\varphi) = P_B P_Y \left( (A_{NN} + A_{SS})/2 + (A_{NN} - A_{SS})/2 \cdot \cos 2\varphi \right)$$



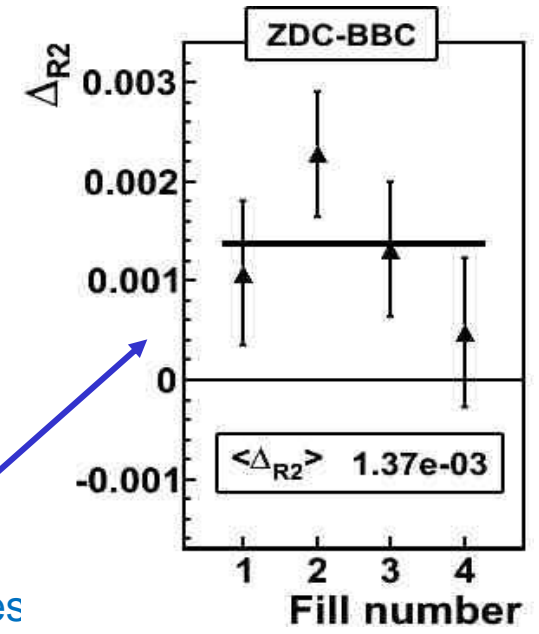
- ✓  $\cos 2\varphi$  dependence for  $A_{NN} - A_{SS}$
- ✓ NO angular dependence for  $A_{NN} + A_{SS}$
- ✓ **Cannot use square root formula**
- ✓ Must use **external normalization**



# Luminosity monitors: BBC and ZDC



- **Luminosity monitors must not have double spin effects**
- For normalization studies use 3 independent luminosity ratios ( $N$  – total monitor count) :
  - $R_2 = (N^{++} + N^{-})/N$  – fraction of parallel spin interactions (double spin)
  - $R_B = (N^{++} + N^{+})/N$  – fraction of interactions with spin UP in Blue beam
  - $R_Y = (N^{++} + N^{+})/N$  – fraction of interactions with spin UP in Yellow beam
- **Uncertainties:**  $\delta[P_B P_Y (A_{NN} + A_{SS})/2] \approx 2 \cdot \delta R_2$      $\delta[P_B P_Y (A_{NN} - A_{SS})/2] \approx 0$
- Manifests as a shift in raw asymmetry, not a scaling factor !
- Main assumption: two *different* processes can have the same spin sensitivity **only** in the case if it is zero in both of them
- Numerically, two (or more) processes can be considered free of spin effects if they give zero difference in corresponding independent normalization ratios  $R_2$ ,  $R_B$ ,  $R_Y$
- Careful choice of STAR subsystems as luminosity monitors – best consistency checks, high statistics, two arms each:
  - **ZDC** – zero degree calorimeters
  - **BBC** – beam-beam counters
- Difference in  $R_2$  normalization ratio for BBC and ZDC is systematically shifted from zero at the level of  $1.4 \cdot 10^{-3}$ , averages out with fake polarization pattern
- **Have to conclude: one of the two monitors feels double spin effects at this level => further investigation**



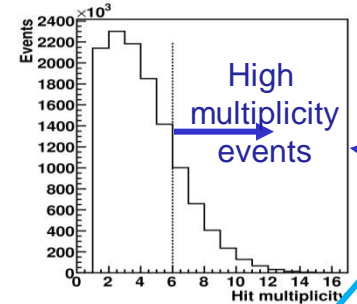
Difference in  $R_2$  double spin normalization ratio for BBC and ZDC



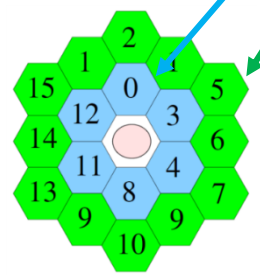




# Luminosity monitors: inside BBC

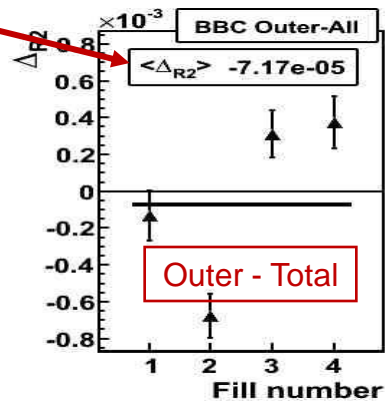
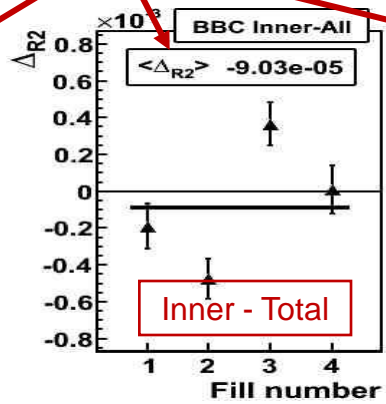
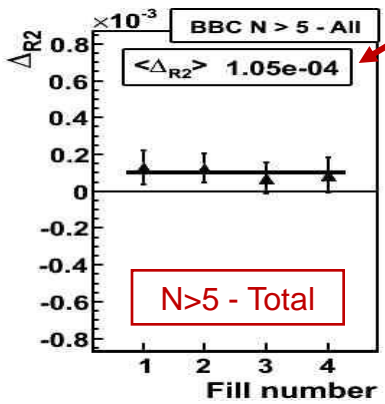
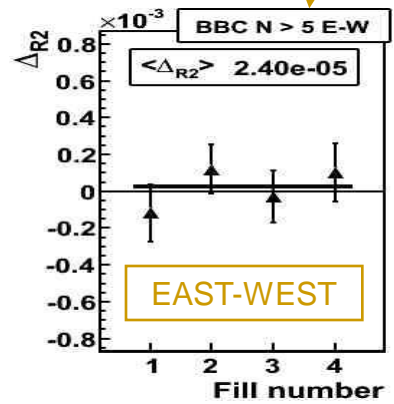


Hit multiplicity in STAR BBC



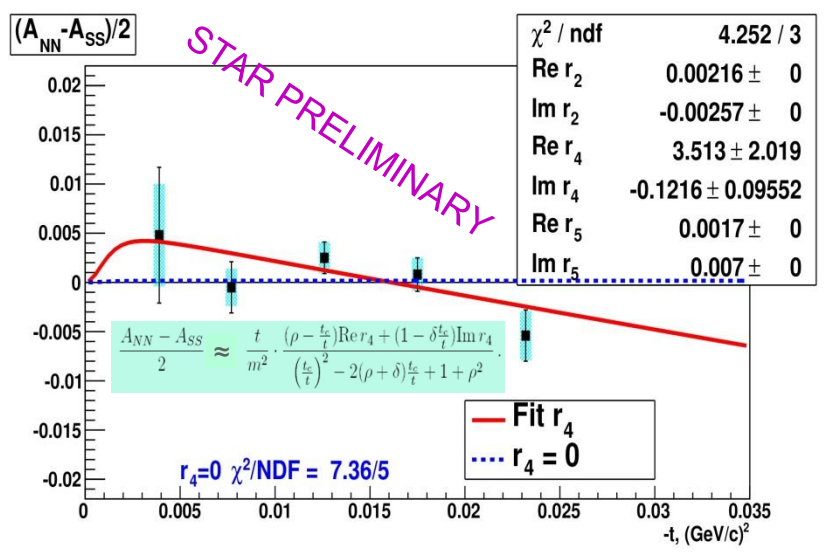
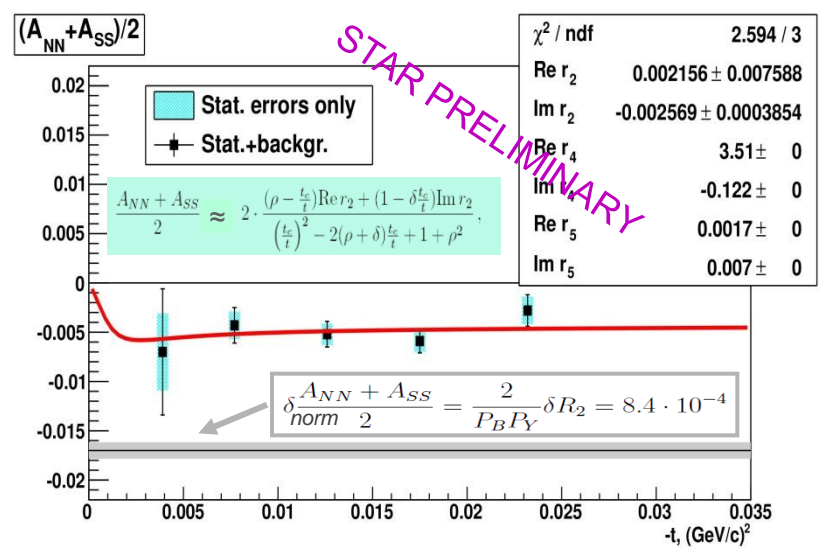
BBC Inner and Outer tiles

- Three different subprocesses were compared to each other and to BBC as a whole in terms of  $R_2$  normalization ratio:
  - High multiplicity:  $N > 5$  tiles in one arm and a hit in the opposite arm
  - Inner: single hit in one of the Inner tiles and a hit in the opposite arm
  - Outer: single hit in one of the Outer tiles and a hit in the opposite arm
- Confirmed that the subprocesses have significantly different physics -- angular dependence of single spin ratios  $R_B$ ,  $R_Y$  is of opposite sign
- East and West arms show extremely good consistency – average them
- Though  $R_2$  spread is relatively large fill by fill, the averages for our 4 fills are very close to zero at  $10^{-4}$  level
- Averaged  $\Delta R_2$  of each subprocess and the whole BBC are added in quadratures to form the total uncertainty  $\delta R_2$ :  $\delta R_2 = 1.56 \cdot 10^{-4}$

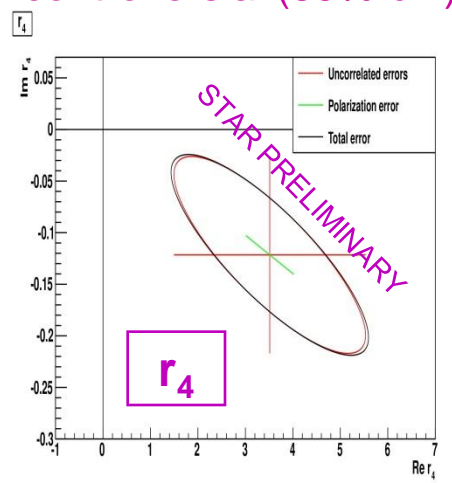
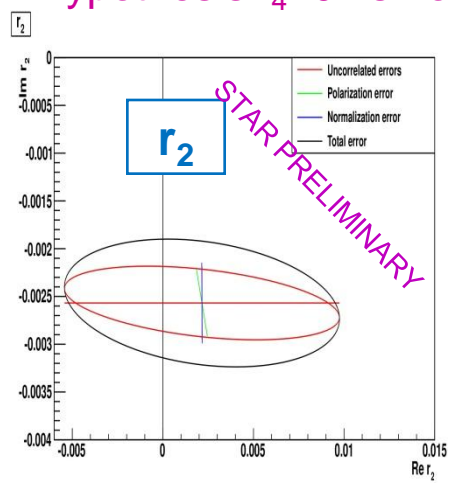




# Asymmetries and relative amplitudes

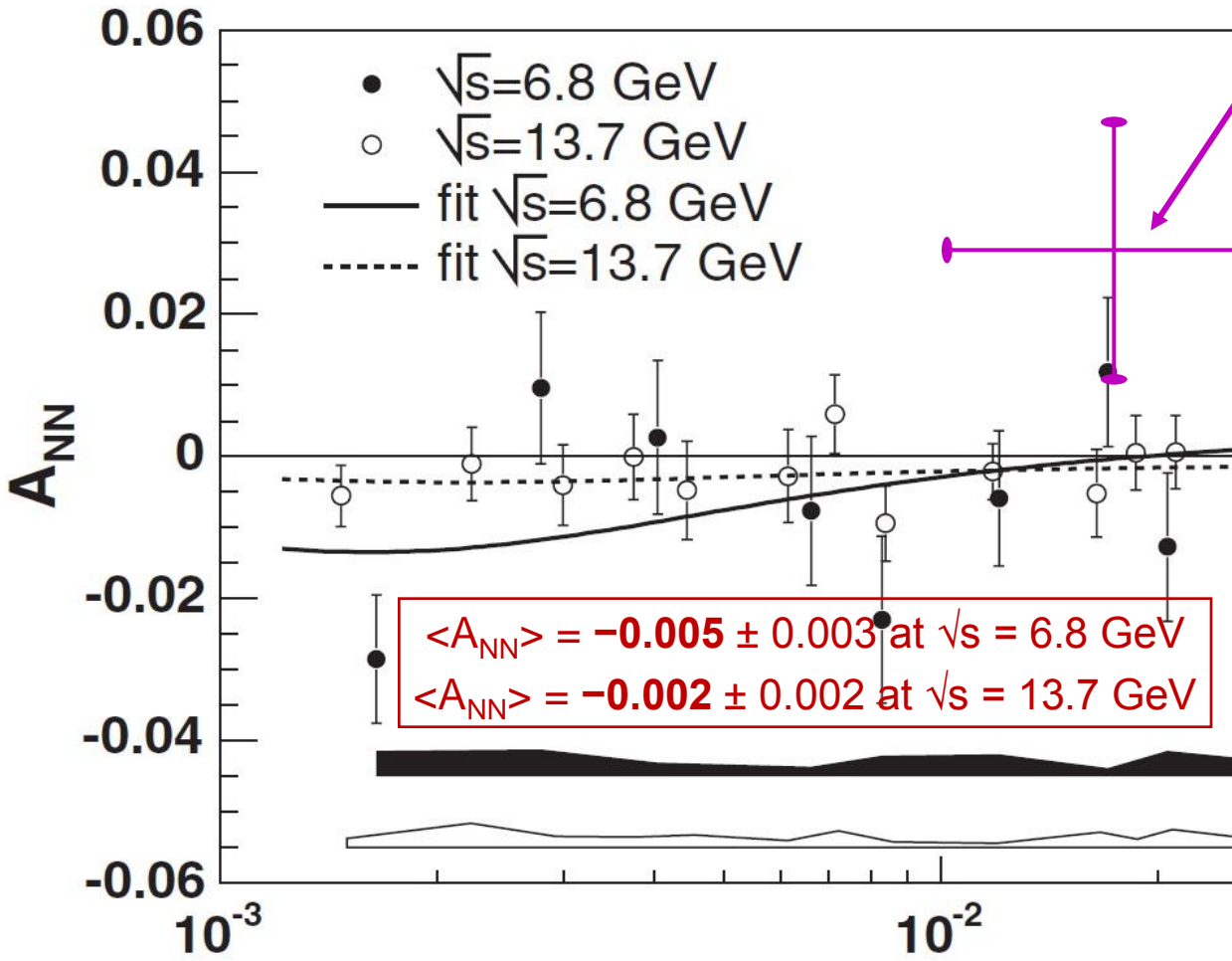


- Accurate formulae for amplitudes from N. H. Buttimore et al, Phys.Rev. D59 114010 (1999)
- Approximate expressions decouple  $r_2$  and  $r_4$  -- successive iterative fits
- $(A_{NN} - A_{SS})/2$  close to zero  $\Rightarrow A_{NN} \approx A_{SS}$
- $(A_{NN} + A_{SS})/2$  significantly below zero,  $>6\sigma$  on average, t-dependence is flat
- Relative amplitudes include common errors
- Im  $r_2$  is well constrained – small negative value
- Re  $r_2$  is compatible with 0
- Hypothesis  $r_4=0$  is noncontroversial (85% c.l.)

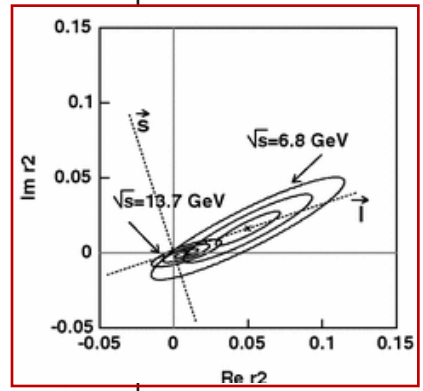


# Previous measurements of $A_{NN}$

HJET @ RHIC Phys. Rev. **D79** (2009) 094014  
 • Small negative values, compatible with our data



pp2pp @ RHIC  
 $\sqrt{s} = 200$  GeV  
 PLB**647**(2007)98  
 • Large error





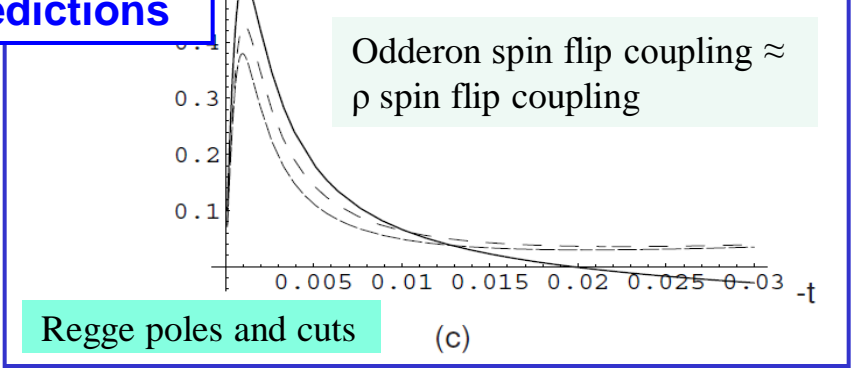
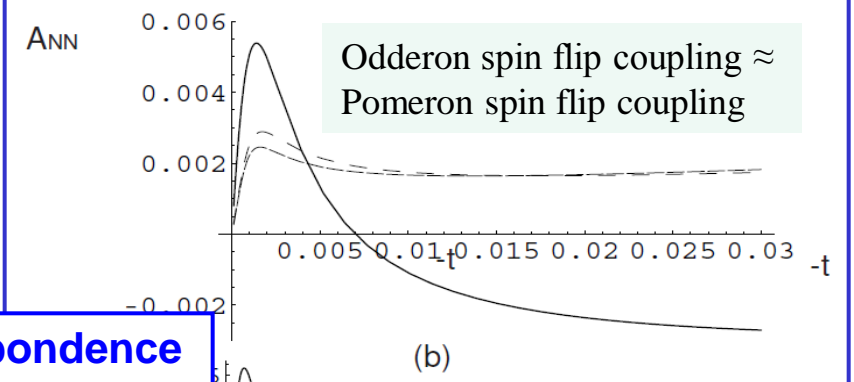
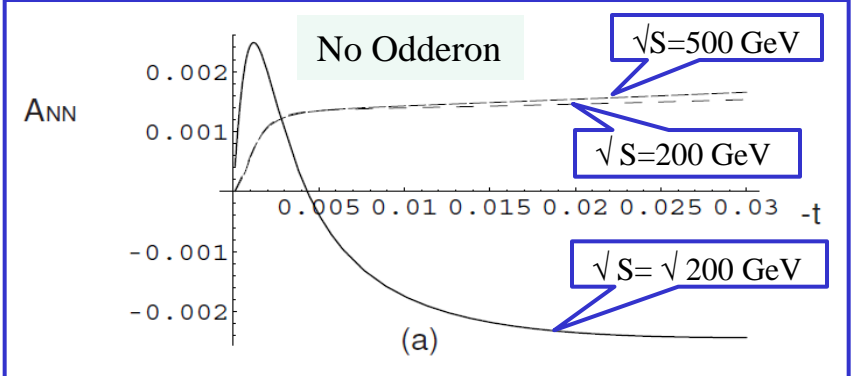
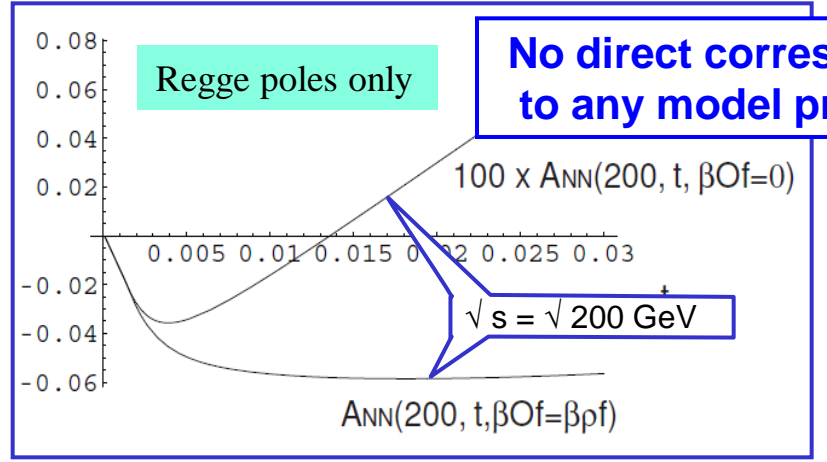
# Theoretical models, Odderon



E.Leader, T.L.Trueman  
 "The Odderon and spin dependence of high-energy proton-proton scattering",  
*PR D61, 077504 (2000)*

- Pomeron and Odderon are 90deg out of phase
- 5% Odderon contribution  $\rightarrow$  5%  $A_{NN}$

T.L.Trueman  
 "Double-spin asymmetry in elastic proton-proton scattering as a probe for the Odderon",  
 arXiv:hep-ph/0604153 (2006)

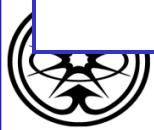




# Conclusions

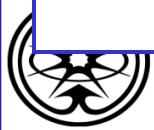


- Very clean data set of  $\sim 20$  million  $pp$ -elastic events is taken with Roman Pots integrated into the STAR detector for low  $t$  studies
- Both single and double spin asymmetries are extracted with unprecedented accuracy in 5  $t$ -ranges at  $\sqrt{s}=200$  GeV.
- $A_N$  result confirms conclusions at lower energies:  $r_5=0$ , no hadronic single spin flip is seen
- $(A_{NN} - A_{SS})/2$  found to be close to 0, i.e.  $A_{NN} \approx A_{SS}$  and  $\phi_4 \approx 0$  as required by angular momentum conservation
- $(A_{NN} + A_{SS})/2$  is significantly different from zero and have small negative values about  $5 \cdot 10^{-3}$
- $r_2$  is well constrained in its imaginary part and compatible with zero in real part
- $r_4$  has large errors as expected and hypothesis  $r_4=0$  is not controversial
- Hadronic double spin-flip amplitudes  $\phi_2$  and  $\phi_4$  behave differently at our kinematic range. This indicates that the exchange mechanism is more complex than an exchange of Regge poles only (factorization of amplitudes does not hold).
- Results are at variance with the latest models and may attract the attention of theorists

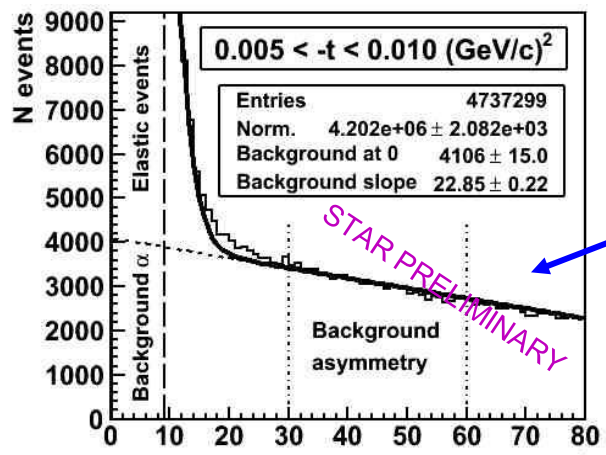




# Backups

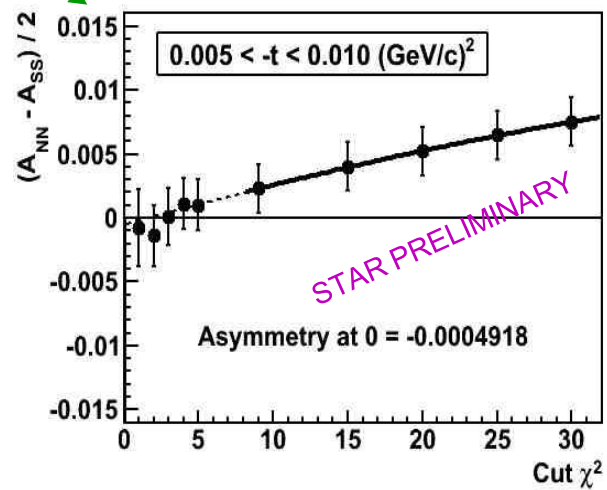
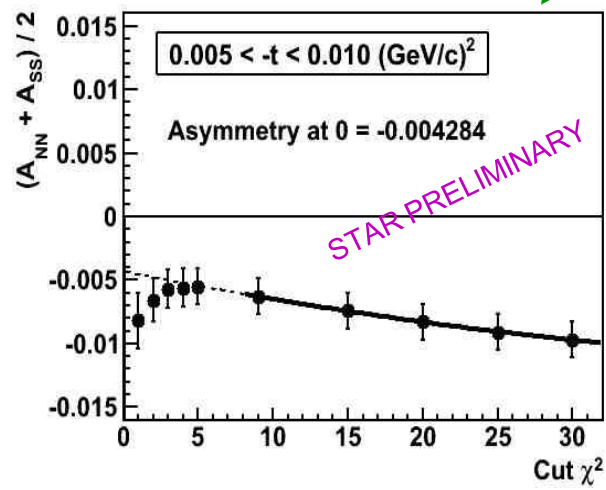


# Background subtraction



Bottom part of the  $\chi^2$  distribution: event selection for the traditional approach

- Small background fraction 0.2—3%
- Yet high **fake** background asymmetry, x10 the effect, due to invalid luminosity normalization
- Traditional approach: extrapolate background, define background fraction and asymmetry for 'pure' background
- Advantage -- accurate statistical error estimates
- Drawback -- asymmetry from high  $\chi^2$  is applied to low  $\chi^2$
- Alternative: study asymmetry evolution when changing the cut on  $\chi^2$ , extrapolate to zero background
- Disadvantages – no error estimates, cuts at low  $\chi^2$  affect



Evolution of the asymmetries with cut  $\chi^2$  value and extrapolation to zero background

spatial elastic event selection and invalidate luminosity normalization

- Systematic error estimate by difference of the two methods
- Total error for each point by adding statistical and systematic errors in quadratures

