



# Transverse single-spin asymmetries of pion production in semi-inclusive DIS at subleading twist

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# Outline

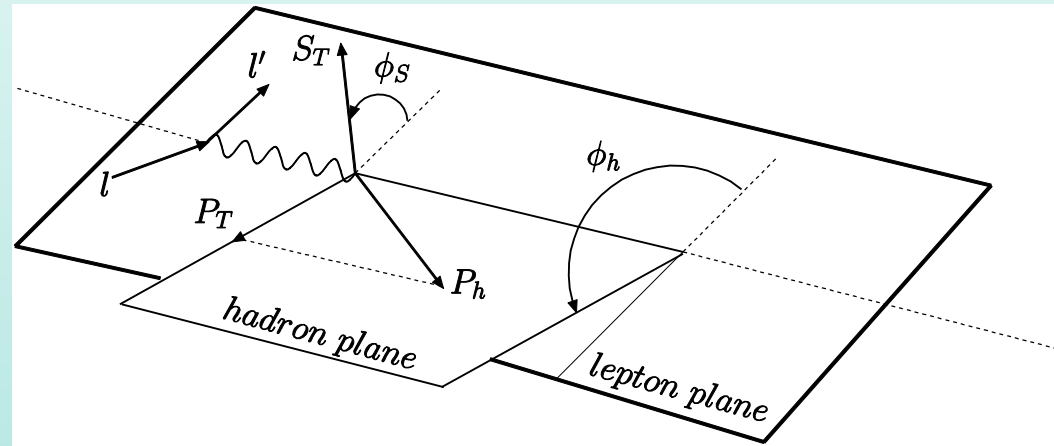
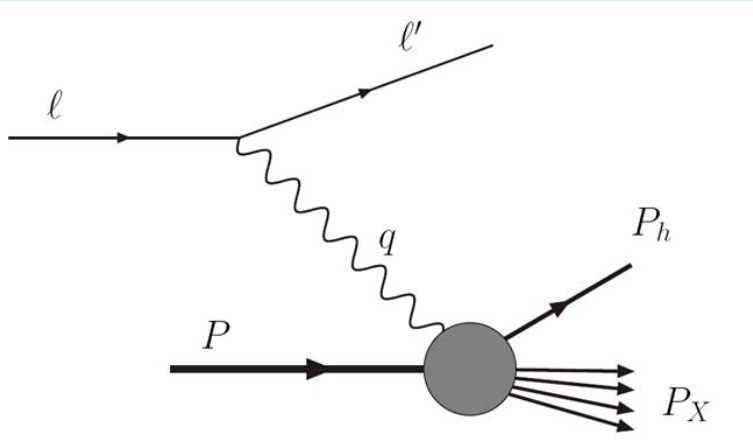


- Formalism
- The calculation of the four involved twist-3 PDFs  $f_T(x, \mathbf{k}_T^2)$ ,  $f_T^\perp(x, \mathbf{k}_T^2)$ ,  $h_T(x, \mathbf{k}_T^2)$ , and  $h_T^\perp(x, \mathbf{k}_T^2)$  in the spectator model
- Prediction on the transverse SSAs for pion production in semi-inclusive DIS
- Conclusion

# Formalism

- ◆ Semi-inclusive DIS by unpolarized lepton beam off the transversely polarized nucleon target:

$$e(l) + p^\uparrow(P) \rightarrow e(l') + h(P_h) + X$$



- ◆ The invariant variables used to express the differential cross section of SIDIS are defined as

$$x = \frac{Q^2}{2P \cdot q}, \quad y = \frac{P \cdot q}{P \cdot l}, \quad z = \frac{P \cdot P_h}{P \cdot q}, \quad \gamma = \frac{2Mx}{Q}$$
$$Q^2 = -q^2, \quad s = (P + l)^2, \quad W^2 = (P + q)^2,$$

- ◆ The general form for the differential cross section with a transversely polarized nucleon target ([Bacchetta \*et al.\*](#), JHEP0702, 093 (2007))

$$\frac{d\sigma}{dx dy dz d\phi_S d\phi_h dP_T^2} = \frac{\alpha^2}{xyQ^2} \frac{y^2}{2(1-\varepsilon)} \left(1 + \frac{\gamma^2}{2x}\right) \{F_{UU} + |\mathbf{S}_T| [\sqrt{2\varepsilon(1+\varepsilon)} (\sin\phi_S F_{UT}^{\sin\phi_S} + \sin(2\phi_h - \phi_S) F_{UT}^{\sin(2\phi_h - \phi_S)})] + \dots\}.$$

- ◆ The  $P_T$  dependent transverse SSAs can be defined as

$$A_{UT}^{\sin\phi_S}(P_T) = \frac{\int dx \int dy \int dz \frac{1}{xyQ^2} \frac{y^2}{2(1-\varepsilon)} \times \left(1 + \frac{\gamma^2}{2x}\right) \sqrt{2\varepsilon(1+\varepsilon)} F_{UT}^{\sin\phi_S}}{\int dx \int dy \int dz \frac{1}{xyQ^2} \frac{y^2}{2(1-\varepsilon)} \times \left(1 + \frac{\gamma^2}{2x}\right) F_{UU}}$$

$$A_{UT}^{\sin(2\phi_h - \phi_S)}(P_T) = \frac{\int dx \int dy \int dz \frac{1}{xyQ^2} \frac{y^2}{2(1-\varepsilon)} \times \left(1 + \frac{\gamma^2}{2x}\right) \sqrt{2\varepsilon(1+\varepsilon)} F_{UT}^{\sin(2\phi_h - \phi_S)}}{\int dx \int dy \int dz \frac{1}{xyQ^2} \frac{y^2}{2(1-\varepsilon)} \times \left(1 + \frac{\gamma^2}{2x}\right) F_{UU}}$$

- ◆ Sizable SSAs cannot be explained by pQCD ([Ahmed & Gehrmann](#), PLB465, 297 (1999)).
- ◆ In the (assumed) TMD factorization ([Bacchetta, Mulders, Pijlman](#) PLB595, 309 (2004); [Bacchetta \*et al.\*](#), JHEP0702, 093 (2007))

- ◆ The structure functions in the numerator are given as

$$F_{UT}^{\sin \phi_S} = \frac{2M}{Q} \mathcal{C} \left\{ \left( x f_T D_1 - \frac{M_h}{M} h_1 \frac{\tilde{H}}{z} \right) + \frac{\mathbf{p}_T \cdot \mathbf{k}_T}{2zMM_h} \left[ x h_T H_1^\perp + \frac{M_h}{M} g_{1T} \frac{\tilde{G}^\perp}{z} \right] - \left( x h_T^\perp H_1^\perp - \frac{M_h}{M} f_{1T}^\perp \frac{\tilde{D}^\perp}{z} \right) \right\}$$

$$F_{UT}^{\sin(2\phi_h - \phi_S)} \approx \frac{2M}{Q} \mathcal{C} \left\{ \frac{2(\hat{\mathbf{P}}_T \cdot \mathbf{k}_T)^2 - \mathbf{k}_T^2}{2M^2} \left( x f_T^\perp D_1 - \frac{M_h}{M} h_{1T}^\perp \frac{\tilde{H}}{z} \right) + \frac{2(\hat{\mathbf{P}}_T \cdot \mathbf{p}_T)(\hat{\mathbf{P}}_T \cdot \mathbf{k}_T) - \mathbf{p}_T \cdot \mathbf{k}_T}{2zMM_h} \times \left[ \left( x h_T H_1^\perp + \frac{M_h}{M} g_{1T} \frac{\tilde{G}^\perp}{z} \right) + \left( x h_T^\perp H_1^\perp - \frac{M_h}{M} f_{1T}^\perp \frac{\tilde{D}^\perp}{z} \right) \right] \right\}$$

- ◆ Using the WW ([Wandzura-Wilczek](#)) approximation to ignore the contributions from the twist-3 TMD fragmentation functions  $\tilde{H}$ ,  $\tilde{G}^\perp$ ,  $\tilde{D}^\perp$

$$F_{UT}^{\sin \phi_S} \approx \frac{2M}{Q} \mathcal{C} \left\{ x f_T D_1 + \frac{\mathbf{p}_T \cdot \mathbf{k}_T}{2zMM_h} (x h_T H_1^\perp - x h_T^\perp H_1^\perp) \right\}$$

$$F_{UT}^{\sin(2\phi_h - \phi_S)} \approx \frac{2M}{Q} \mathcal{C} \left\{ \frac{2(\hat{\mathbf{P}}_T \cdot \mathbf{k}_T)^2 - \mathbf{k}_T^2}{2M^2} (x f_T^\perp D_1) + \frac{2(\hat{\mathbf{P}}_T \cdot \mathbf{p}_T)(\hat{\mathbf{P}}_T \cdot \mathbf{k}_T) - \mathbf{p}_T \cdot \mathbf{k}_T}{2zMM_h} \times [x h_T H_1^\perp + x h_T^\perp H_1^\perp] \right\}$$

- ◆ Nonzero contributions with the collinear twist-3 factorization ([Z.-B. Kang, F. Yuan and J. Zhou](#), Phys. Lett. B 691, 243(2010); [A. Metz and D. Pitonyak](#), Phys. Lett. B 723, 365 (2013); [K. Kanazawa, Y. Koike, A. Metz, and D. Pitonyak](#), PRD 89, 111501(R) (2014)).

- Formalism
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# Calculation of the TMD twist-3 distribution functions

$$F_{\text{UT}}^{\sin \phi_S} \approx \frac{2M}{Q} \mathcal{C} \left\{ x f_T D_1 + \frac{\mathbf{p}_T \cdot \mathbf{k}_T}{2zMM_h} (x h_T H_1^\perp - x h_T^\perp H_1^\perp) \right\}$$

$$F_{\text{UT}}^{\sin(2\phi_h - \phi_S)} \approx \frac{2M}{Q} \mathcal{C} \left\{ \frac{2(\hat{\mathbf{P}}_T \cdot \mathbf{k}_T)^2 - \mathbf{k}_T^2}{2M^2} (x f_T^\perp D_1) + \frac{2(\hat{\mathbf{P}}_T \cdot \mathbf{p}_T)(\hat{\mathbf{P}}_T \cdot \mathbf{k}_T) - \mathbf{p}_T \cdot \mathbf{k}_T}{2zMM_h} \times [x h_T H_1^\perp + x h_T^\perp H_1^\perp] \right\}$$

$$\begin{aligned} \frac{1}{2} \text{Tr}[\Phi \gamma^\alpha] &= \frac{M}{P^+} \left[ -\epsilon_T^{\alpha\rho} S_{T\rho} f_T' + \frac{(k_T \cdot S_T) \epsilon_T^{\alpha\rho} k_{T\rho}}{M^2} f_T^\perp \right] \\ &= \frac{M}{P^+} \left[ -\epsilon_T^{\alpha\rho} S_{T\rho} f_T - \frac{(k_T^\alpha k_T^\rho - \frac{1}{2} k_T^2 g_T^{\alpha\rho})}{M^2} \epsilon_{T\rho\sigma} S_T^\sigma f_T^\perp \right], \end{aligned} \quad (4)$$

T-odd:

$$\begin{aligned} f_T(x, \mathbf{k}_T^2) \\ f_T^\perp(x, \mathbf{k}_T^2) \end{aligned}$$

$$\frac{1}{2} \text{Tr}[\Phi i \sigma^{+-} \gamma_5] = -\frac{M}{P^+} \left[ \frac{k_T \cdot S_T}{M} h_T \right], \quad (5)$$

T-even:

$$h_T(x, \mathbf{k}_T^2)$$

$$\frac{1}{2} \text{Tr}[\Phi i \sigma^{\alpha\beta} \gamma_5] = \frac{M}{P^+} \left[ \frac{S_T^\alpha k_T^\beta - k_T^\alpha S_T^\beta}{M} h_T^\perp \right]. \quad (6)$$

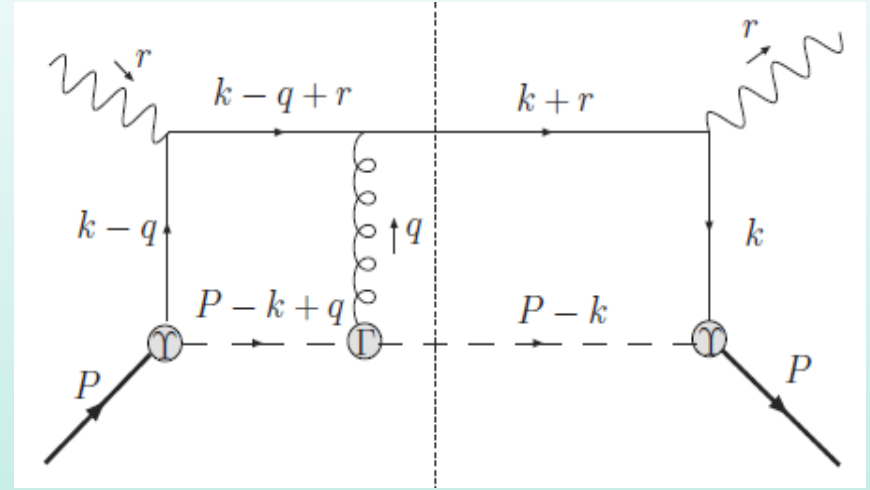
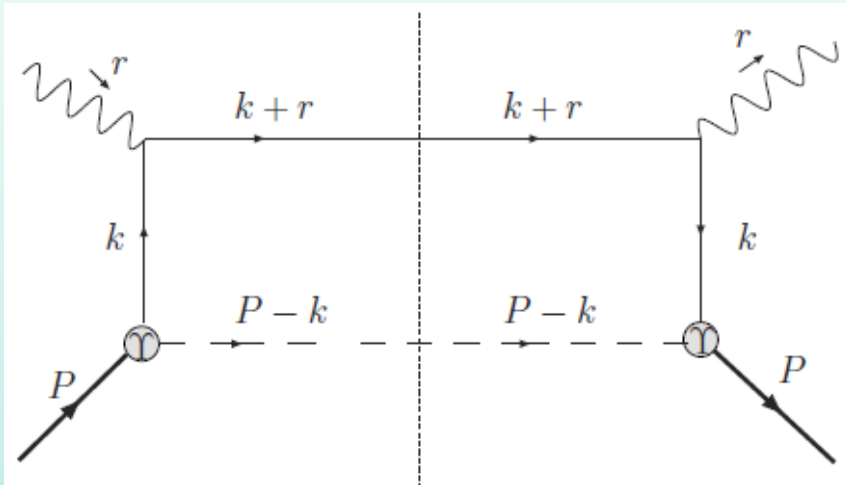
$$h_T^\perp(x, \mathbf{k}_T^2)$$

- The quark-quark corrector:

$$\Phi(x, k_T, S_T) \Big|_{\text{twist-3}} = \frac{M}{2P^+} \left\{ -\epsilon_T^{\rho\sigma} \gamma_\rho S_{T\sigma} f_T' + \frac{(k_T \cdot S_T) \epsilon_T^{\rho\sigma} \gamma_\rho k_{T\sigma}}{M^2} f_T^\perp - \frac{k_T \cdot S_T}{M} \frac{[\not{k}_+, \not{k}_-] \gamma_5}{2} h_T + \frac{[S_T, k_T] \gamma_5}{2M} h_T^\perp + \dots \right\}$$

# Calculation of the TMD twist-3 distribution functions

- Cut diagrams for the spectator model calculation at tree level and one-loop level:



- Phenomenological approach to avoid the light-cone divergence—using dipolar form factor to replace the point-like:

$$\lambda \rightarrow \lambda(p^2) = \frac{N_X(p^2 - m^2)}{(p^2 - \Lambda^2)^2}$$

- Using a spectator model with axial-diquark, distinguish the axial-diquark by its isospin,  $a(ud)$ : isoscalar,  $a'(uu)$ : isovector

$$f^u = c_s^2 f^s + c_a^2 f^a, \quad f^d = c_{a'}^2 f^{a'}$$

- Sum for axial-diquark polarizations (Brodsky *et al.*, NPB593, 311(2011))

$$d_{\mu\nu}(P-k) = -g_{\mu\nu} + \frac{(P-k)_\mu n_{-\nu} + (P-k)_\nu n_{-\mu}}{(P-k) \cdot n_-} - \frac{M_v^2}{[(P-k) \cdot n_-]^2} n_{-\mu} n_{-\nu}$$



# Calculation of TMD distribution functions

- The distributions contributed by the scalar diquark:

$$h_T^s(x, \mathbf{k}_T^2) = \frac{N_s^2(1-x)^2}{16\pi^3} \frac{[(1-x)^2 M^2 - \mathbf{k}_T^2 - M_s^2]}{(\mathbf{k}_T^2 + L_s^2)^4},$$

$$h_T^{\perp s}(x, \mathbf{k}_T^2) = \frac{N_s^2(1-x)^2}{16\pi^3} \frac{1}{(\mathbf{k}_T^2 + L_s^2)^4} \times [(1-x)(M^2 + 2mM + xM^2) - \mathbf{k}_T^2 - M_s^2]$$

$$f_T^s(x, \mathbf{k}_T^2) = -\frac{N_s^2(1-x)^2}{32\pi^3} \frac{e_s e_q}{4\pi} \frac{(x + \frac{m}{M})(L_s^2 - \mathbf{k}_T^2)}{L_s^2(L_s^2 + \mathbf{k}_T^2)^3}$$

$$f_T^{\perp s}(x, \mathbf{k}_T^2) = 0$$

- The distributions contributed by the axial-vector diquark:

$$h_T^v(x, \mathbf{k}_T^2) = \frac{N_v^2(1-x)}{16\pi^3} \frac{1}{(\mathbf{k}_T^2 + L_v^2)^4} \times [(1-x)(m^2 + 2xmM + xM^2) + \mathbf{k}_T^2 - xM_v^2]$$

$$h_T^{\perp v}(x, \mathbf{k}_T^2) = \frac{N_v^2(1-x)}{16\pi^3} \frac{1}{(\mathbf{k}_T^2 + L_v^2)^4} \times [(1-x)(m^2 - xM^2) - \mathbf{k}_T^2 + xM_v^2]$$

$$f_T^v(x, \mathbf{k}_T^2) = 0$$

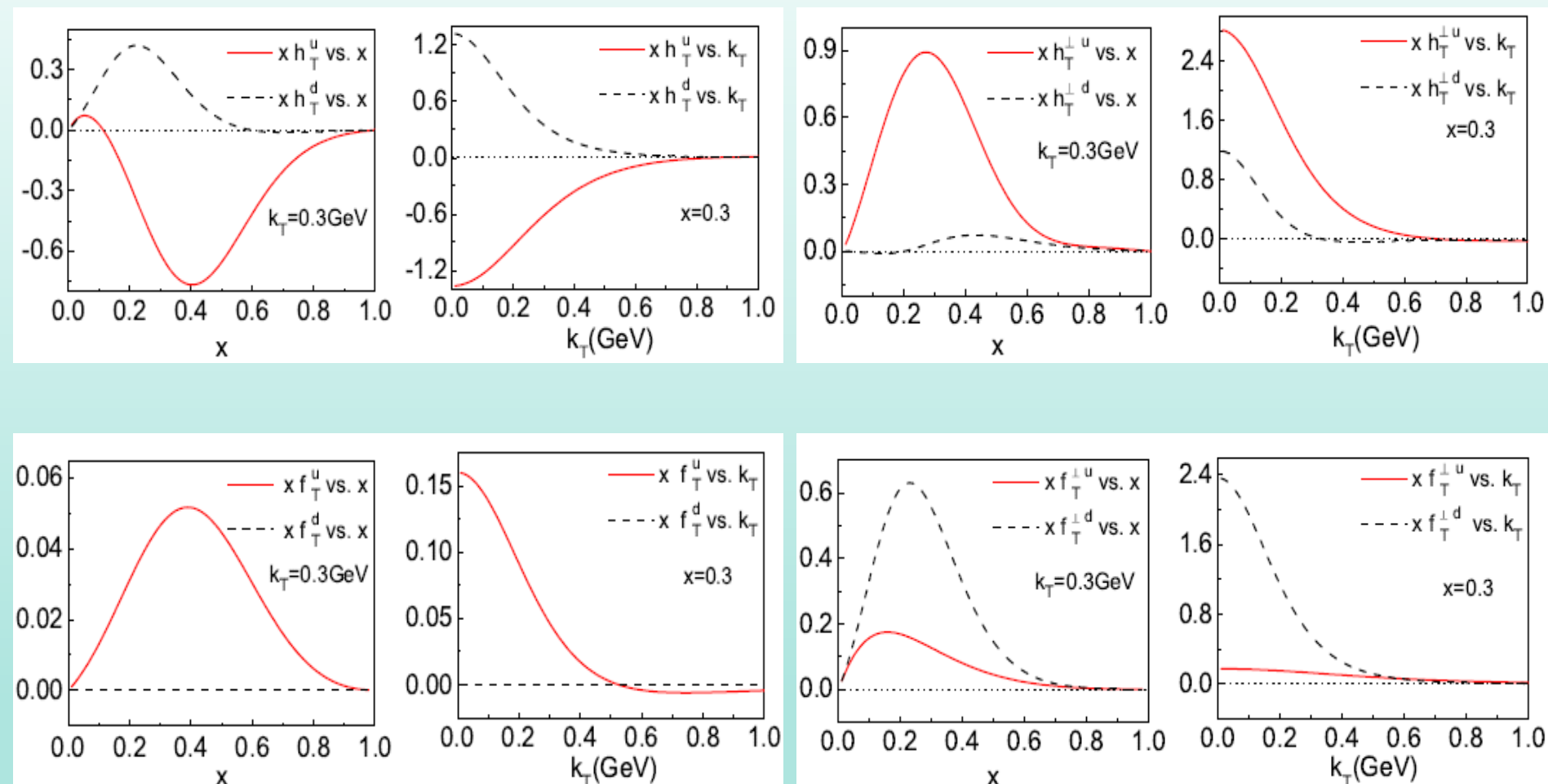
$$f_T^{\perp v}(x, \mathbf{k}_T^2) = -\frac{N_v^2(1-x)^2 M(m + xM)}{16\pi^3(L_v^2 + \mathbf{k}_T^2)^2 \mathbf{k}_T^2} \frac{e_v e_q}{4\pi} \times \left[ \frac{1}{\mathbf{k}_T^2} \ln \frac{\mathbf{k}_T^2 + L_v^2}{L_v^2} + \frac{\mathbf{k}_T^2 - L_v^2}{L_v^2(L_v^2 + \mathbf{k}_T^2)} \right]$$

- Parameters from [Bacchetta, Conti, Radici, PRD78, 074010\(2008\)](#).

$$f^u = c_s^2 f^s + c_a^2 f^a, \quad f^d = c_{a'}^2 f^{a'}$$

# Calculation of TMD distribution functions

- Model results for the distribution functions:



Wenjuan Mao, Zhun Lu, Bo-Qiang Ma, Phys. Rev. D90, 014048 (2014).

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# Fragmentation functions and Constraints

- The involved fragmentation functions:

$$F_{\text{UT}}^{\sin \phi_S} \approx \frac{2M}{Q} \mathcal{C} \left\{ x f_T D_1 + \frac{\mathbf{p}_T \cdot \mathbf{k}_T}{2zMM_h} (x h_T H_1^\perp - x h_T^\perp H_1^\perp) \right\}$$

$$F_{\text{UT}}^{\sin(2\phi_h - \phi_S)} \approx \frac{2M}{Q} \mathcal{C} \left\{ \frac{2(\hat{\mathbf{P}}_T \cdot \mathbf{k}_T)^2 - \mathbf{k}_T^2}{2M^2} (x f_T^\perp D_1) + \frac{2(\hat{\mathbf{P}}_T \cdot \mathbf{p}_T)(\hat{\mathbf{P}}_T \cdot \mathbf{k}_T) - \mathbf{p}_T \cdot \mathbf{k}_T}{2zMM_h} \times [x h_T H_1^\perp + x h_T^\perp H_1^\perp] \right\}$$

$$D_1^q(z, \mathbf{p}_T^2) = D_1^q(z) \frac{1}{\pi \langle \mathbf{p}_T^2 \rangle} e^{-\mathbf{p}_T^2 / \langle \mathbf{p}_T^2 \rangle}$$

Leading-order set of the DSS parameterization (D. de Florian, R. Sassot, and M. Stratmann, Phys. Rev. D75, 114010 (2007).)

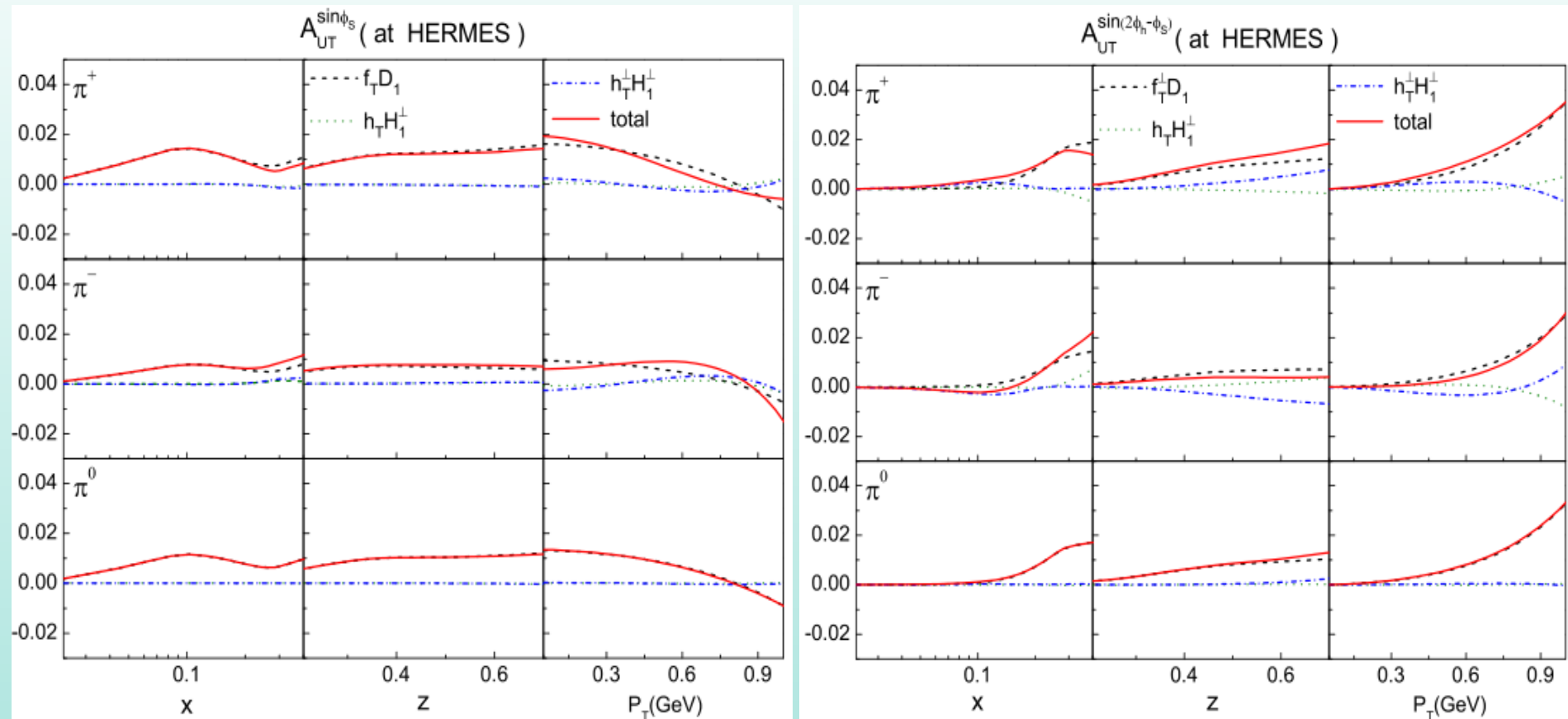
$$\begin{aligned} H_1^{\perp \pi^+ / u} &= H_1^{\perp \pi^- / d} \equiv H_{1fav}^\perp, \\ H_1^{\perp \pi^+ / d} &= H_1^{\perp \pi^- / u} \equiv H_{1unf}^\perp, \\ H_1^{\perp \pi^0 / u} &= H_1^{\perp \pi^0 / d} \equiv \frac{1}{2} (H_{1fav}^\perp + H_{1unf}^\perp) \end{aligned}$$

M. Anselmino, M. Boglione, U. D'Alesio, A. Kotzinian, F. Murgia, A. Prokudin, and S. Melis, Nucl. Phys. Proc. Suppl. 191, 98 (2009).

- Constraints for quark transverse momentum  $k_T$  (Boglione, Melis, Prokudin, Phys. Rev. D84, 034033(2011)) :

$$\begin{cases} k_T^2 \leq (2-x)(1-x)Q^2, & \text{for } 0 < x < 1; \\ k_T^2 \leq \frac{x(1-x)}{(1-2x)^2} Q^2, & \text{for } x < 0.5. \end{cases}$$

# Transverse SSAs at HERMES



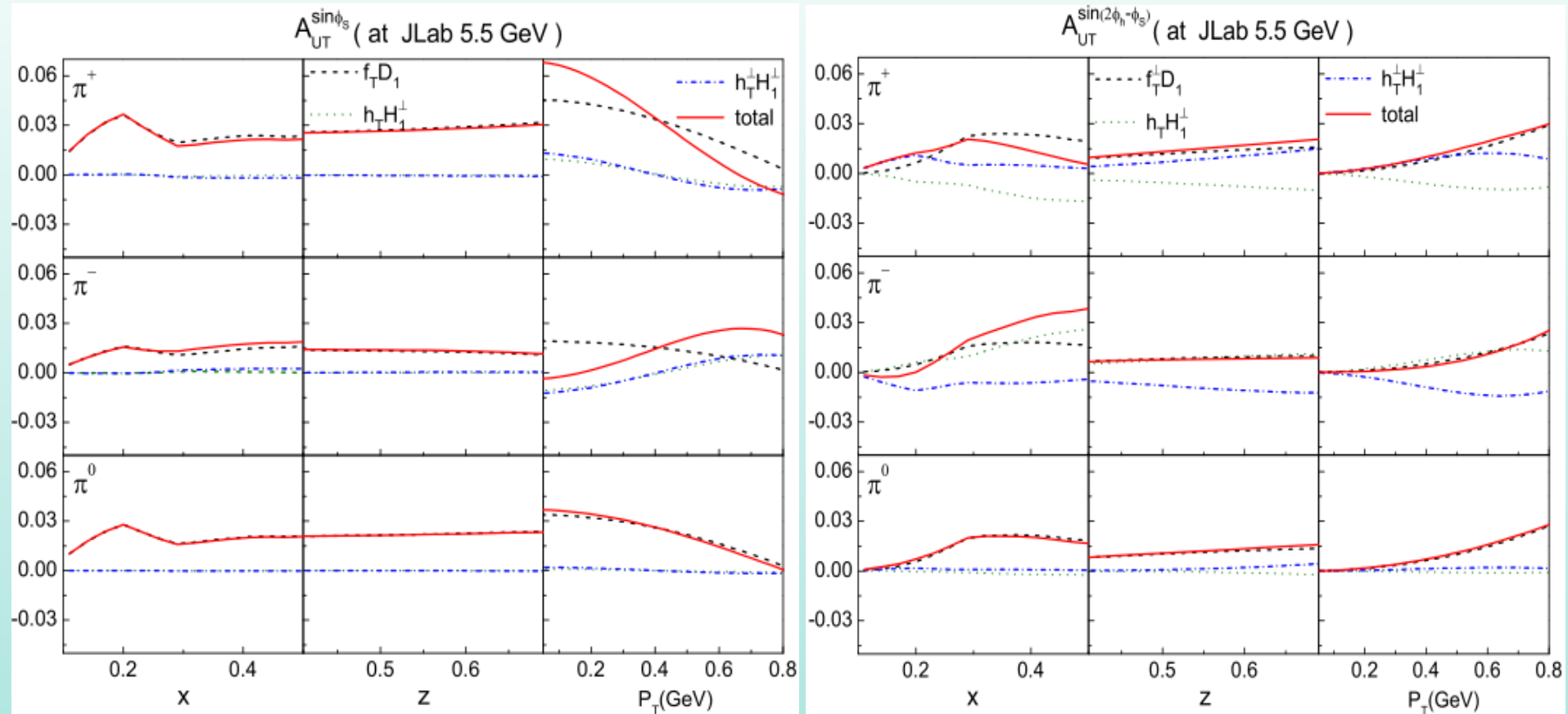
- Kinematics at HERMES ([A. Airapetian \*et al.\*, Phys. Rev. Lett. 103, 152002 \(2009\)](#)):

$$E_{beam} = 27.6 \text{ GeV}, \quad 0.023 < x < 0.4,$$

$$0 < y < 0.85, \quad 1 \text{ GeV}^2 < Q^2 < 15 \text{ GeV}^2,$$

$$W^2 > 4 \text{ GeV}^2, \quad 2 \text{ GeV} < P_h < 15 \text{ GeV}.$$

# Transverse SSAs at JLab 5.5 GeV



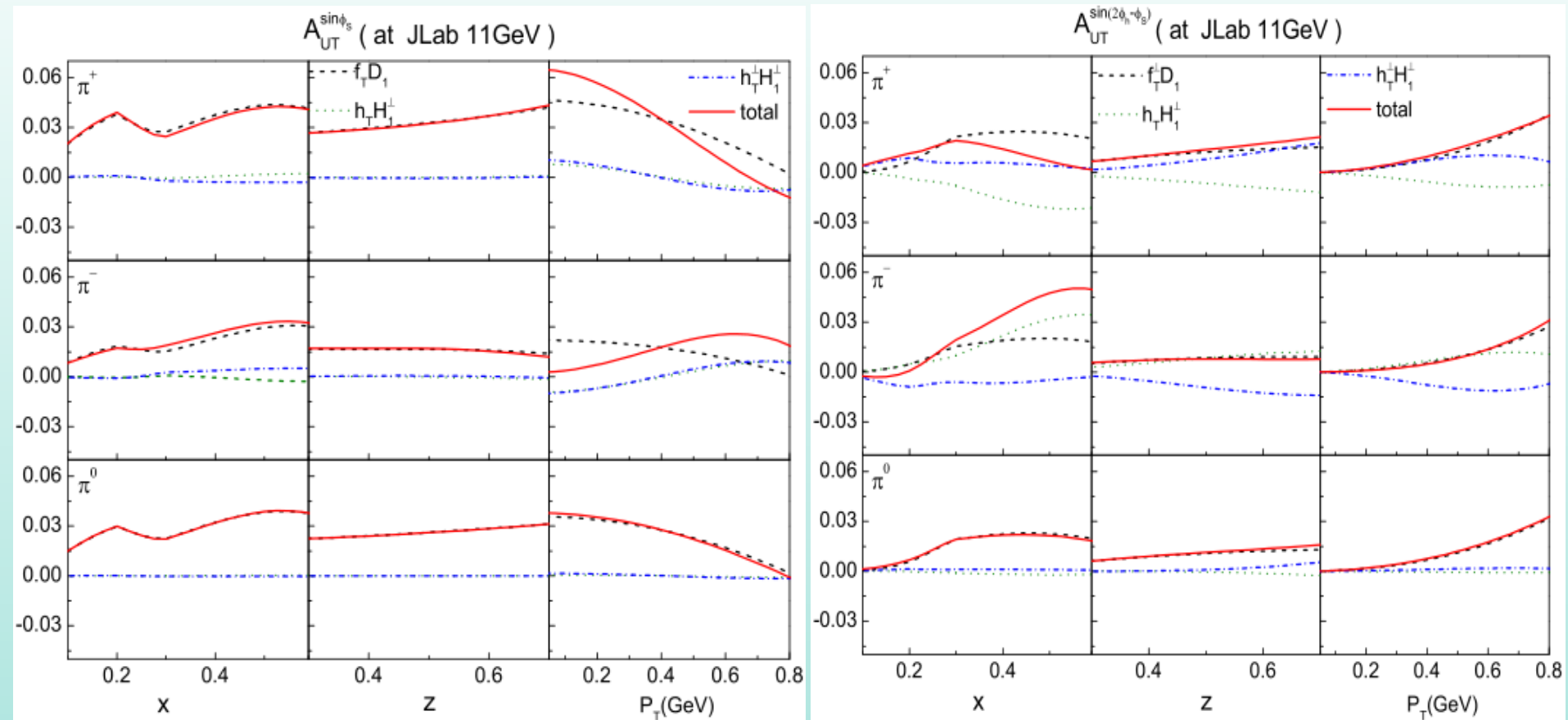
- Kinematics at JLab 5.5 GeV (H. Avakian, Nuovo Cimento 36, 73 (2013)):

$$E_{beam} = 5.5 \text{ GeV}, \quad 0.1 < x < 0.6,$$

$$0.4 < z < 0.7, \quad Q^2 > 1 \text{ GeV}^2,$$

$$W^2 > 4 \text{ GeV}^2, \quad P_T > 0.05 \text{ GeV}.$$

# Transverse SSAs at JLab 11 GeV



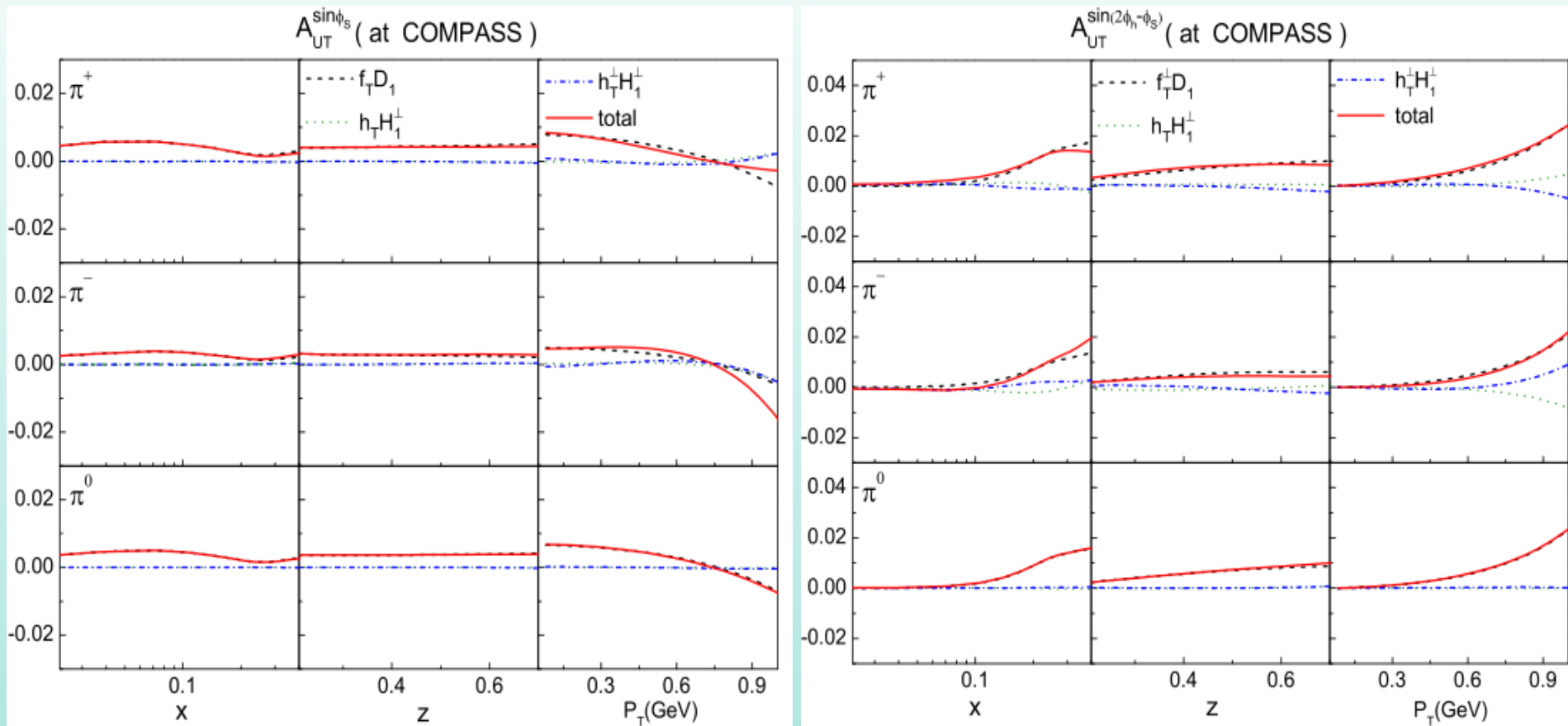
- Kinematics at JLab 11GeV (H. Avakian, AIP Conf. Proc. 1338, 464 (2011)):

$$E_{beam} = 11\text{GeV}, \quad 0.08 < x < 0.6,$$

$$0.3 < y < 0.8, \quad Q^2 > 1\text{ GeV}^2,$$

$$W^2 > 4\text{ GeV}^2, \quad 0.05\text{ GeV} < P_T < 0.8\text{ GeV}.$$

# Transverse SSAs at COMPASS



- Kinematics at COMPASS (M.G. Alekseev *et al.*, Phys. Lett. B 692, 240 (2010)):

$$E_{beam} = 160\text{GeV}, \quad 0.004 < x < 0.7,$$

$$0.1 < y < 0.9, \quad z > 0.2, \quad P_T > 0.1\text{GeV},$$

$$Q^2 > 1\text{GeV}^2, \quad W > 5\text{GeV}, \quad E_h > 1.5\text{GeV}.$$



# Conclusion

- Sizable transverse single spin asymmetries at subleading twist may be accessible at the kinematics of HERMES, JLab, and COMPASS.
- The measurements on the  $P_T$  dependence of the asymmetry  $A_{UT}^{\sin\phi_S}$  may be employed to test the transverse momentum dependence of the twist-3 distribution function  $f_T$  (e.g., there is a node of  $f_T$  in  $k_T$ ).
- Measuring the transverse single spin asymmetries at subleading twist for  $\pi^0$  are viable to provide clean probes on both of the twist-3 T-odd distribution functions  $f_T$  and  $f_T^\perp$ , since the contributions from the twist-3 T-even distribution functions  $h_T$  and  $h_T^\perp$  are negligible.