



Search for supersymmetry in the single-lepton final state with the CMS detector

Loukas Gouskos

University of California, Santa Barbara
on behalf of the CMS collaboration

Outline:

- **Physics motivation**
- **Single-lepton searches for:**
 - ◆ Stop production through gluino decays
 - ◆ Direct stop production
 - ◆ Associated stop – Higgs production
- **Summary and conclusions**



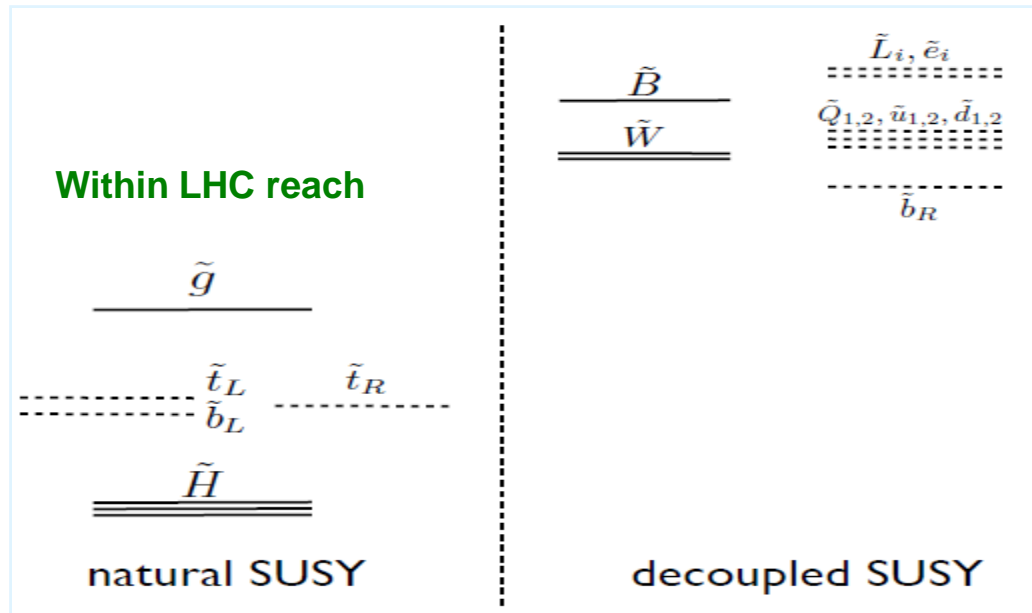
Physics motivation



Physics motivation

- **Experimental results @7 TeV show no evidence of SUSY**
 - ◆ Constrained SUSY models cornered – though not excluded yet
- **Motivation for SUSY at the EWK scale: naturalness**
 - ◆ Top/ bottom squarks [3G] and gluino masses at ~TeV scale

Mass [GeV] ↑
~ 1000



Papucci, Ruderman, Weiler
hep-ph 1110.6926

Barbieri et al.
hep-ph 9512388

Giudice,
Dimopoulos (1995)
Fine tuning ~ 10%
 $M_{stop} \sim 0.6$ TeV
 $M_{gluino} \sim 1.4$ TeV

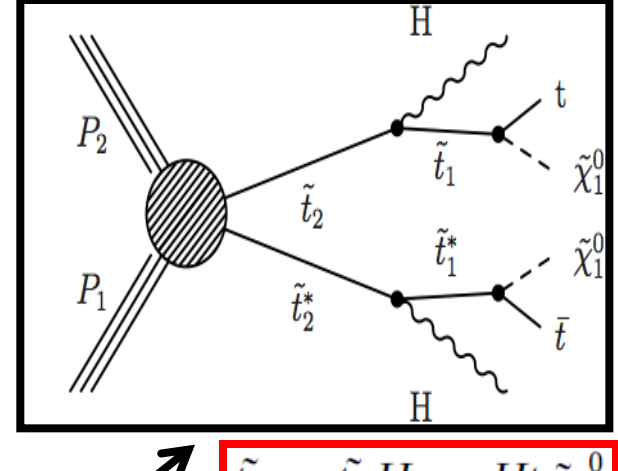
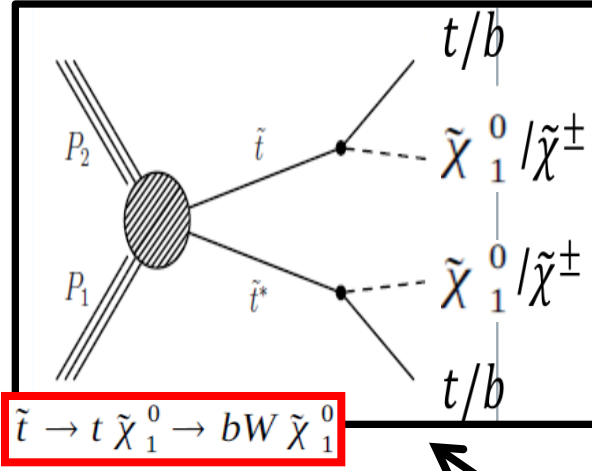
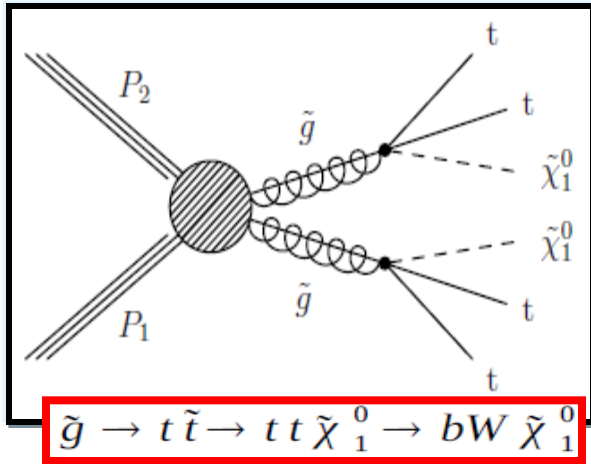
Physics motivation (2)

stop production mechanisms:

Glauino induced

Direct production

Associated prod. with H



If M_g within LHC reach

If M_g not in LHC reach

- Multiple tops in final state: large BR to leptonic channels
 - ◆ 1lep: ~40% for indirect production [~30% 0-lep]
- Speak about 1-lep searches: dedicated for stops



Glino-induced stop search



Glauino-induced stop search

- **1lep: Largest BR; sensitivity competitive to all hadronic**
- **Event selection:**

- ◆ 1 isolated lepton [e/ μ]
- ◆ $N_j \geq 6$, $N_b \geq 2$

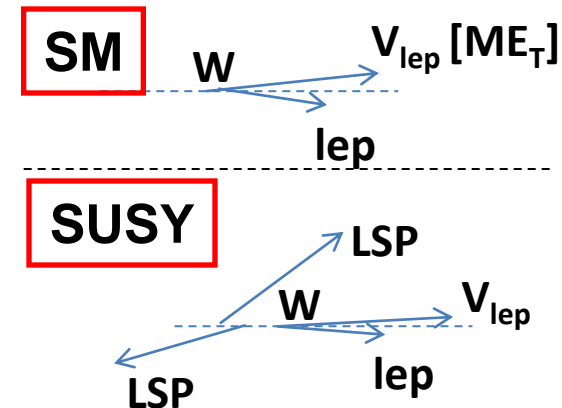
Dominant bkg:
ttbar + jets

- **Handles that characterize the event “energy” scale**

- ◆ Hadronic sector: $H_T = \Sigma p_T[\text{jets}]$
 - In SUSY events generally harder jets compared to SM
- ◆ Leptonic sector: $S_T^{\text{lep}} = p_T[\text{lep}] + ME_T$
 - In SUSY larger values of ME_T : $p_T[l]$ and MET less correlated

- **Additional kinematic variable:**

- ◆ MET and charged lepton less aligned in SUSY events [3 sources of ME_T]
 - Angle between W and charged lepton [$\Delta\phi(W,l)$] quite powerful





$\Delta\phi$: Strategy & EWK bkg estimation

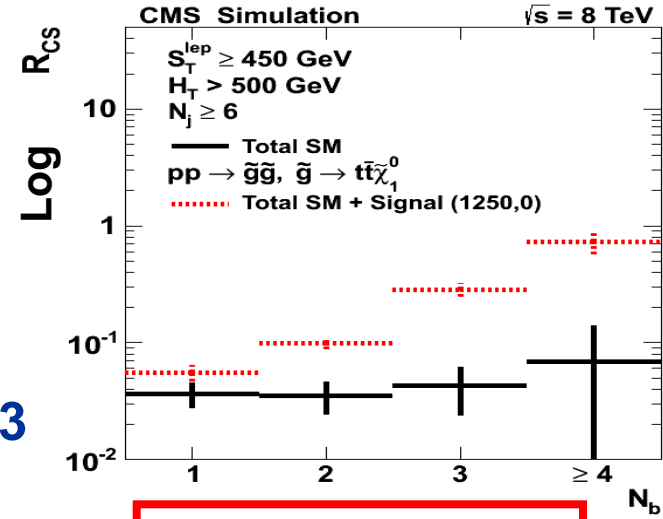
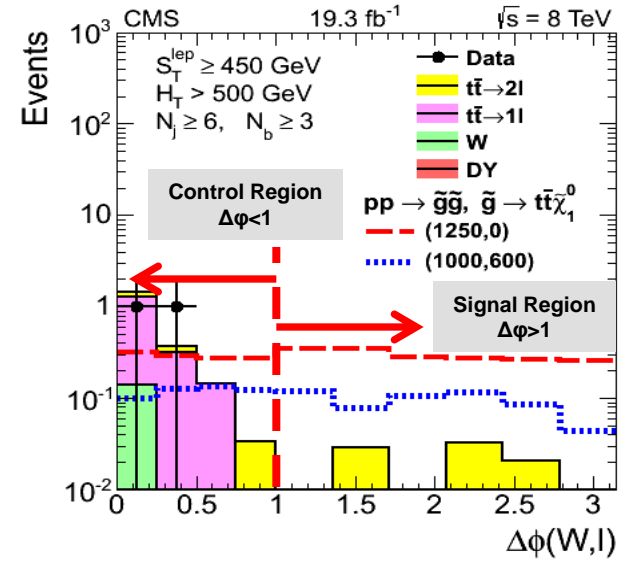
- Require $\Delta\phi > 1$ [$\Delta\phi < 1$ control region]
- Define transfer factor R_{CS} :

$$R_{CS} = \frac{N_{signal}}{N_{control}} = \frac{\text{Number of events with } \Delta\phi(W, l) > 1}{\text{Number of events with } \Delta\phi(W, l) < 1}$$

- Prediction:

$$N_{SM}^{pred}(\Delta\phi > 1) = R_{CS} \cdot N_{data}(\Delta\phi < 1)$$

- Two possibilities to extract R_{CS} :
 - Use MC; assign large systematic unc.
 - Use a data-driven approach
- R_{CS} dependence on N_b very weak
 - measure in data in $N_b=1$, use in $N_b=2, \geq 3$
 - k_{CS} [MC] for residual differences



SUSY drastic effect on $N[\Delta\phi > 1]$

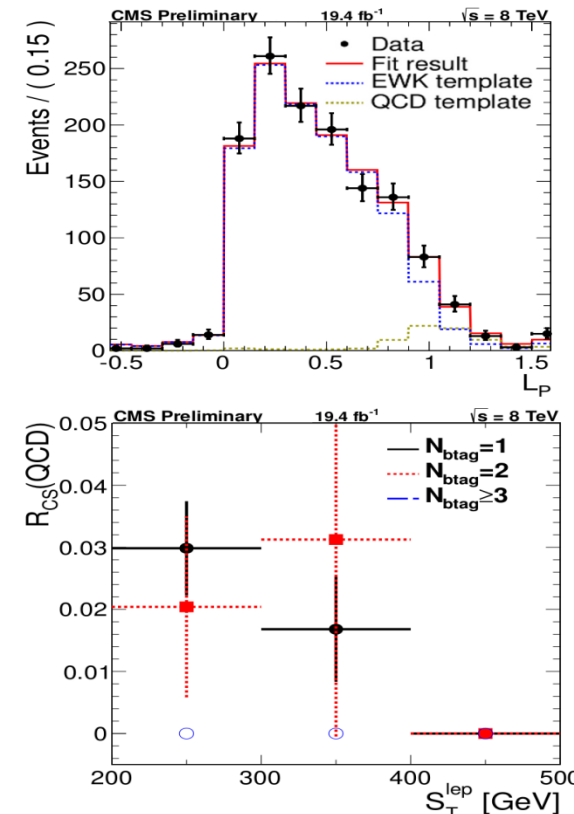


$\Delta\phi$: QCD Bkg estimation

- **QCD background small compared to other bkg**
 - ◆ negligible in the muon channel
- **Estimate QCD contribution using well tested method**
[PRL (2011) 107:02180, CMS-SUS-11-015, CMS-SUS-12-010]
 - ◆ Invert electron id variables and estimate QCD shape from anti-selected data sample
 - ◆ Binned likelihood fit in L_P to estimate total QCD
 - **EWK template from MC**
 - ◆ Calculate R_{CS} [QCD] from anti-selected data
- **$N_{QCD} < 5\%$ of total data, negl. for $\Delta\phi > 1$**
 - ◆ subtract contribution in control region
- **Prediction in electron channel:**

$$L_P = \frac{\vec{P}_T(l) \cdot \vec{P}_T(W)}{|\vec{P}_T(W)|^2}$$

$$N_{SMest.}(\Delta\phi(W, l) > 1) = R_{CS}^{EWK} \cdot (N_{data}(\Delta\phi(W, l) < 1) - N_{QCD}(\Delta\phi(W, l) < 1))$$





$\Delta\phi$: Method validation and results

- All ingredients of the analysis tested in bkg dominated sample [$3 \leq N_j \leq 5$] in data

$L=19.4 \text{ fb}^{-1}$

Control sample [$3 \leq N_j \leq 5$]

Results @ 19.4 fb^{-1}

		S_T^{lep} [GeV]	$3 \leq N_j \leq 5$			$N_j \geq 6$		
			Control	Pred.	Obs.	Control	Pred.	Obs.
$N_b = 2$	e	[250, 350]	548	34.2 ± 5.4	30	112	$3.8 \pm 1.8 \pm 0.6$	9
		[350, 450]	174	5.1 ± 1.9	8	28	$2.7 \pm 1.9 \pm 0.8$	2
		>450	61	5.6 ± 2.1	1	9	$0.0 \pm 0.4 \pm 0.2$	0
	μ	[250, 350]	632	41.9 ± 5.6	59	141	$6.0 \pm 2.2 \pm 0.9$	9
		[350, 450]	188	8.5 ± 2.4	11	24	$1.4 \pm 1.1 \pm 0.4$	2
		>450	71	2.5 ± 1.3	1	9	$0.0 \pm 0.7 \pm 0.2$	0
$N_b \geq 3$	e	[250, 350]	70	3.9 ± 0.9	2	45	$1.9 \pm 0.9 \pm 0.4$	4
		[350, 450]	12	0.3 ± 0.2	2	7	$0.9 \pm 0.7 \pm 0.4$	0
		>450	4	0.3 ± 0.2	0	0	$0.0 \pm 0.1 \pm 0.03$	0
	μ	[250, 350]	59	3.9 ± 0.8	5	28	$1.9 \pm 0.8 \pm 0.4$	0
		[350, 450]	25	1.1 ± 0.4	0	13	$0.6 \pm 0.5 \pm 0.3$	0
		>450	7	0.3 ± 0.2	0	2	$0.0 \pm 0.2 \pm 0.1$	0

Stat. uncertainties only

total uncertainty (stat +/- sys)

Good agreement between prediction and observed data [☺]

Observed data agree with SM expectations [☹]



Interpretation in $\tilde{g} \rightarrow tt \rightarrow tt\tilde{\chi}_1^0$

Limits set for gluino-induced stop production using SMS models:

◆ T1tttt [stop off-shell]

- Free parameters: $M(\text{gluino})$ and $M(\text{tsp})$
- top squark $M(\text{stop}) \gg M(\text{gluino})$

◆ T1t1t [stop on-shell]

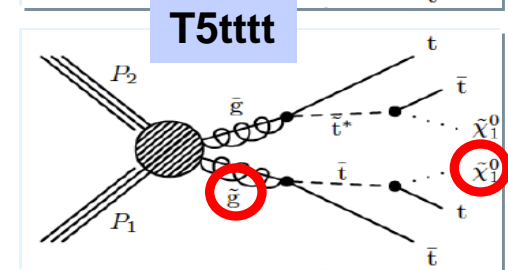
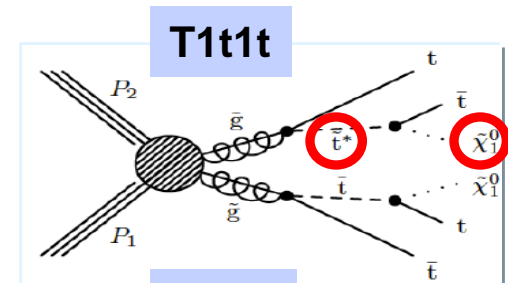
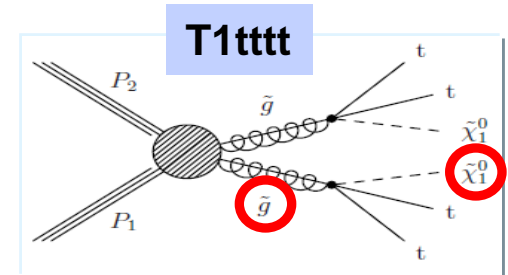
- Free parameters: $M(\text{stop})$ and $M(\text{tsp})$
- $M(\text{gluino}) = 1 \text{ TeV}$ [fixed]

◆ T5tttt [stop on-shell]

- Free parameters: $M(\text{stop})$ and $M(\text{gluino})$
- $M(\text{tsp}) = 50 \text{ GeV}$ [fixed]

Results:

- ◆ Simultaneous fit over all search regions





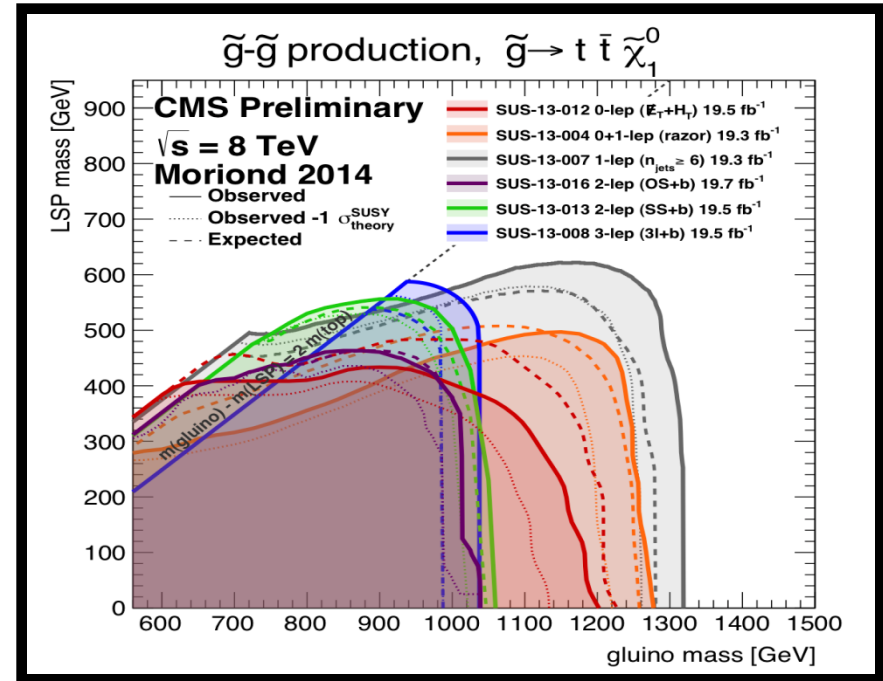
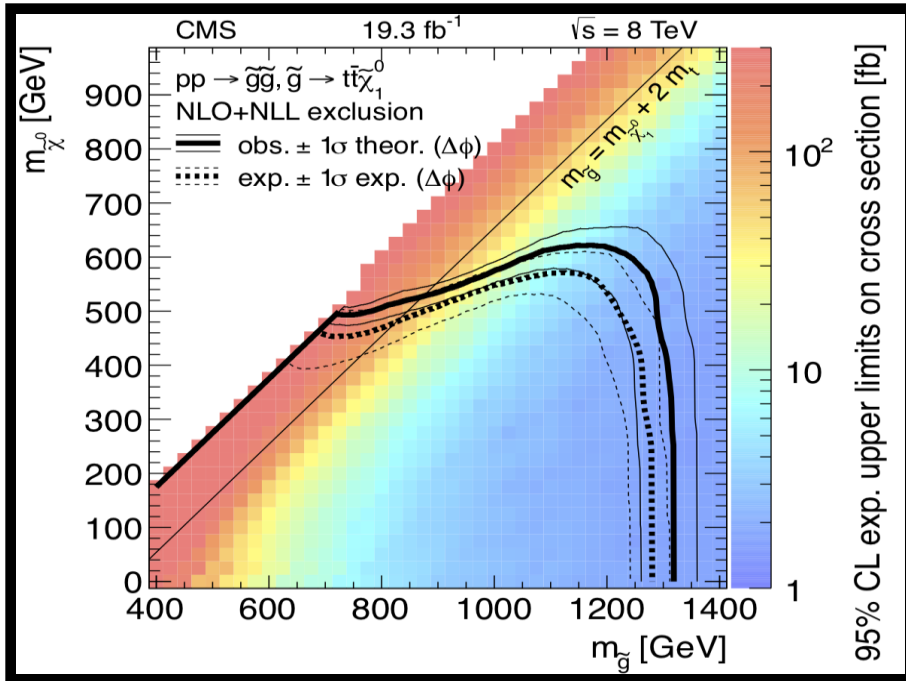
Interpretation in $\tilde{g} \rightarrow tt \rightarrow tt\tilde{\chi}_1^0$

T1tttt

[BR 100%]

1-lep searches

Add 0-lep, ≥ 2 -lep



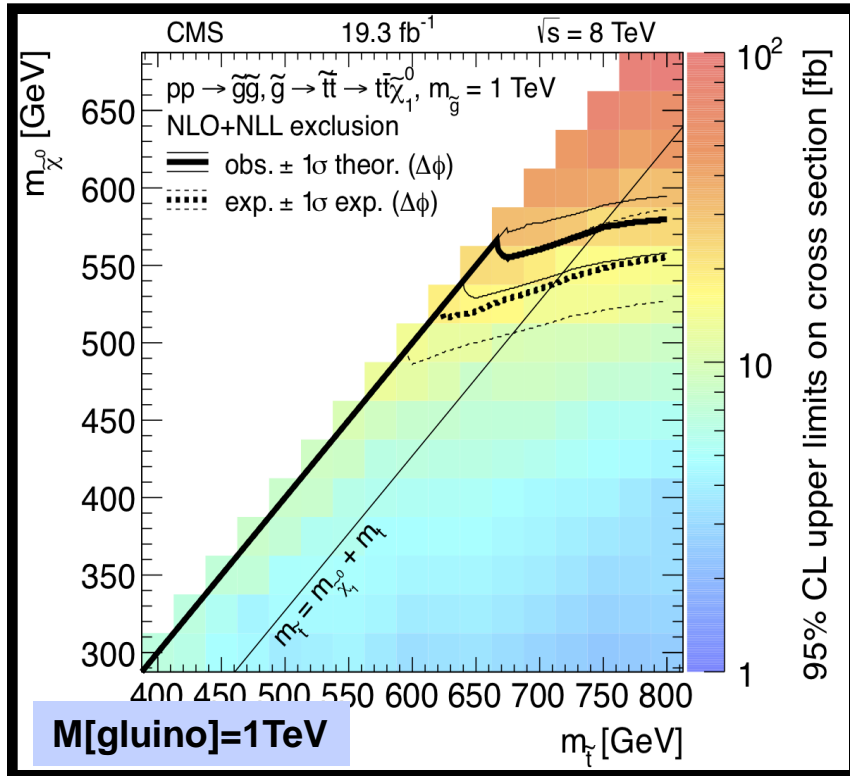
Probes $M_g \sim 1280$ GeV
and $M_{lsp} \sim 570$ GeV

- 1lep: most competitive results
- ≥ 2 lep: quite sensitive in compressed spectra due to low ME_T requirement

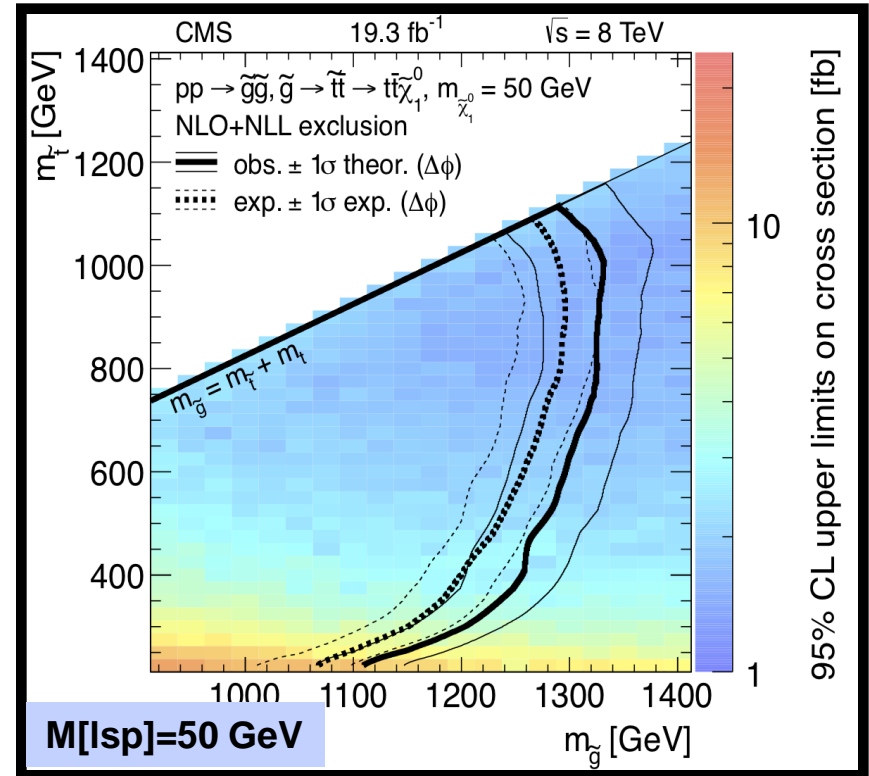
Interpretation in $\tilde{g} \rightarrow tt \rightarrow tt\tilde{\chi}_1^0$

[BR 100%]

T1t1t



T5tttt



Probes light stops



Direct stop search



Direct stop search: selection

Event selection:

- ◆ 1 isolated lepton [e/μ], $N_j \geq 4$, $N_b \geq 1$ →
- ◆ $ME_T > 100$ GeV [suppress QCD]
- ◆ $M_T(l, ME_T) > 120$ GeV [suppress tt(1l) & W+jets]
- ◆ Veto events with additional isolated track [suppress tt(2l)]

Dominant bkg:
ttbar (2lep)

Additional kinematic variables:

- ◆ M_{T2}^W : generalization of M_{T2} [event with 2 undetected particles]

$$M_{T2}^W = \text{minimum} \left\{ m_y \text{ consistent with: } \left[\begin{array}{l} \vec{p}_1^T + \vec{p}_2^T = \vec{E}_T^{\text{miss}}, p_1^z = 0, (p_1 + p_l)^2 = p_2^2 = M_W^2, \\ (p_1 + p_l + p_{b1})^2 = (p_2 + p_{b2})^2 = m_y^2 \end{array} \right] \right\}$$

- suppress tt(2l) when 2nd lep lost; BKG: low M_{T2}^W ; SUSY high

- ◆ Hadronic top $\chi^2 = \frac{(M_{j1j2j3} - M_{\text{top}})^2}{\sigma_{j1j2j3}^2} + \frac{(M_{j1j2} - M_W)^2}{\sigma_{j1j2}^2}$

only used in:

$$\tilde{t} \rightarrow t \tilde{\chi}_1^0 \rightarrow bW \tilde{\chi}_1^0$$

- suppress tt(2lep) by identifying the hadronic top decay

Additional topological variables:

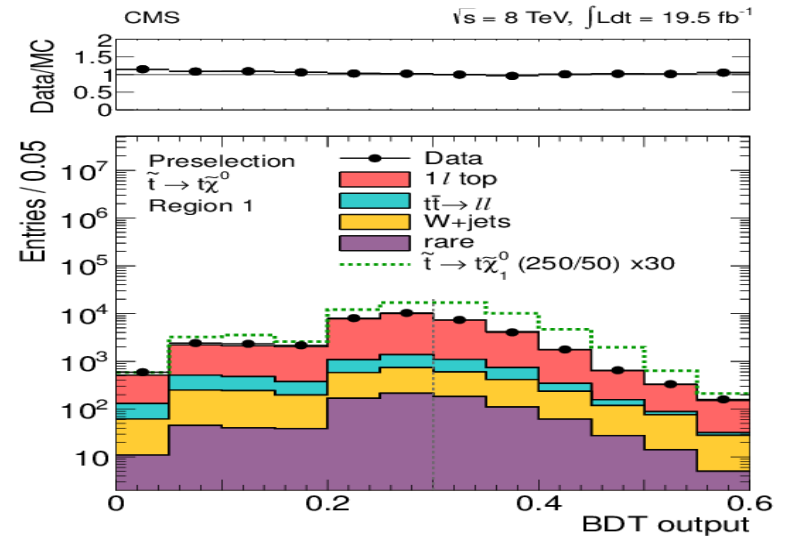
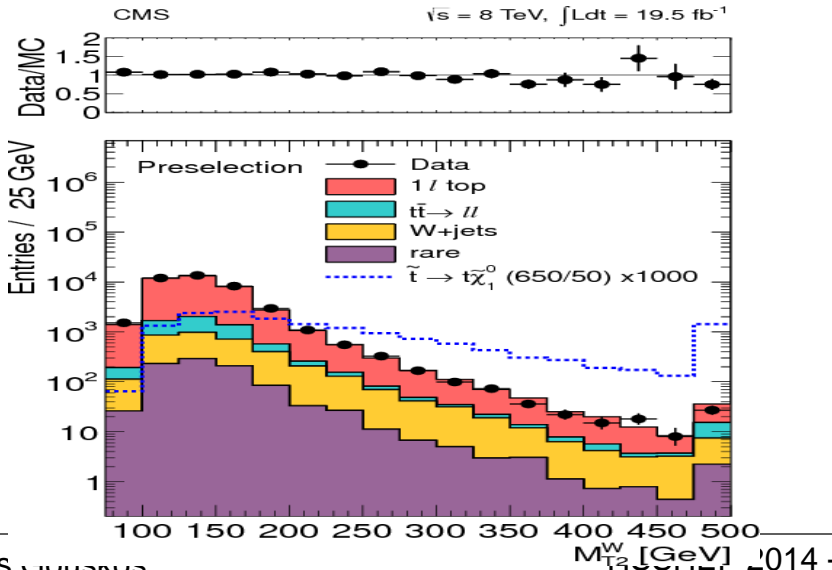
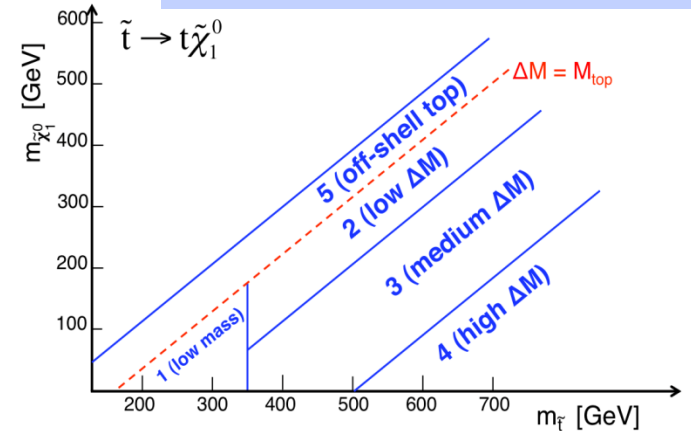
- ◆ $\min \Delta\phi[j_{1,2}, ME_T]$ and H_T^{ratio} [fraction of H_T in ME_T -hemisphere]



Direct stop search: strategy

(*) Boosted Decision Tree type of multivariate technique

- Two search approaches:
- BDT (*) [primary approach]
 - ◆ BDT inputs [in addition to slide 14]:
 - p_T [lead b], $\Delta R(l, \text{lead } b)$, $p_T[\text{lep}]$
 - ◆ Separate BDTs based on Δm
- Cut-based [x-check]
 - ◆ Search in different MET regions; selection for low & high Δm

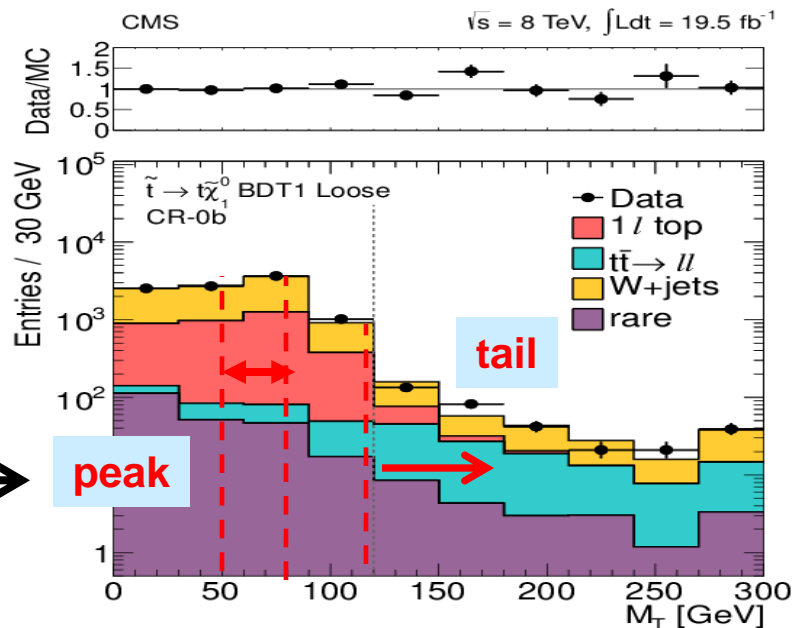




Direct stop search: BKG estimation

- **BKG shapes from simulation**
- **Estimate scale factors from a signal depleted-region using the “peak-to-tail” method:**
 - ◆ Normalize MC to data in M_T -peak region [50-80 GeV]
 - ◆ Extrapolate results in M_T -tail region [> 120 GeV]
- **Partial or full cancelation of uncertainties associated to:**
 - ◆ Luminosity, process x-section
 - ◆ Lepton efficiency
 - ◆ JES, etc..

W+jets validation
 $N_l=1, N_b=0$

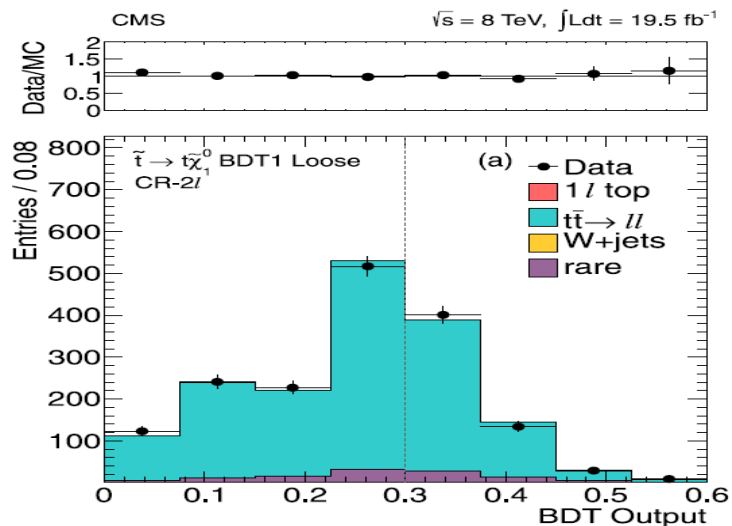




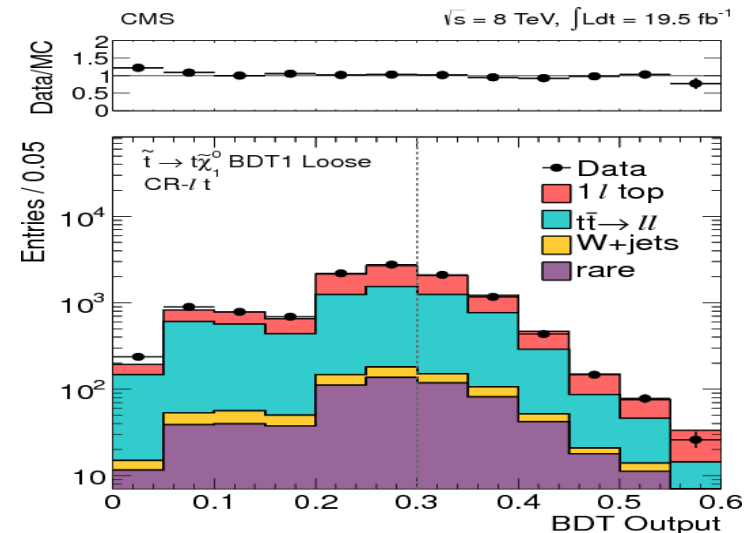
Direct stop search: BKG estimation

- **Background estimation methods validated in control regions in data:**
 - ◆ 3 CRs: $t\bar{t}(2lep)$, $t\bar{t}(1lep) + t\bar{t}(2lep)$, $W+jets$
 - ◆ “rare” bkg [i.e. multi-V, tV , ..] from MC with large uncertainties

$t\bar{t}(2l)$ validation, $N_{lep}=2$



$t\bar{t}(2l)$, $t\bar{t}(1l)$ validation, 1 iso track



- **Systematic uncertainties / process derived from these control regions**

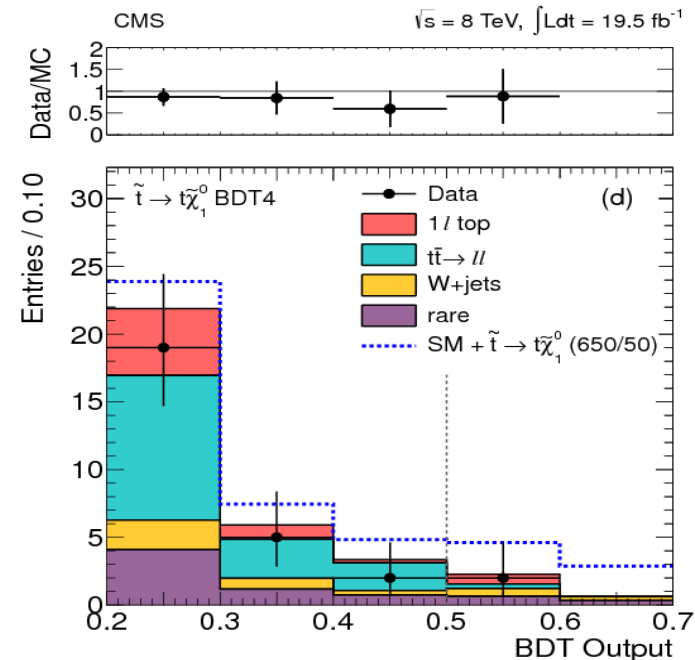


Direct stop search: results

- Search performed in 18 SR [BDT] ; 16 SR [cut-based]
- No statistically significant excess observed

Results @ 19.5 fb⁻¹ [BDT]

$\tilde{t} \rightarrow t\tilde{\chi}_1^0$	BDT1-Loose	BDT1-Tight	BDT2	BDT3	BDT4	BDT5
$\tilde{t} \rightarrow \ell\ell$	438 ± 37	68 ± 11	46 ± 10	5 ± 2	0.3 ± 0.3	48 ± 13
1ℓ top	251 ± 93	37 ± 17	22 ± 12	4 ± 3	0.8 ± 0.9	30 ± 12
W + jets	27 ± 7	7 ± 2	6 ± 2	2 ± 1	0.8 ± 0.3	5 ± 2
Rare	47 ± 23	11 ± 6	10 ± 5	3 ± 1	1.0 ± 0.5	4 ± 2
Total	763 ± 102	124 ± 21	85 ± 16	13 ± 4	2.9 ± 1.1	87 ± 18
Data	728	104	56	8	2	76
$\tilde{t} \rightarrow t\tilde{\chi}_1^0$ (250/50)	285 ± 8.5	50 ± 3.5	28 ± 2.6	4.4 ± 1.0	0.3 ± 0.3	34 ± 2.9
$\tilde{t} \rightarrow t\tilde{\chi}_1^0$ (650/50)	12 ± 0.2	7.2 ± 0.2	9.8 ± 0.2	6.5 ± 0.2	4.3 ± 0.1	2.9 ± 0.1



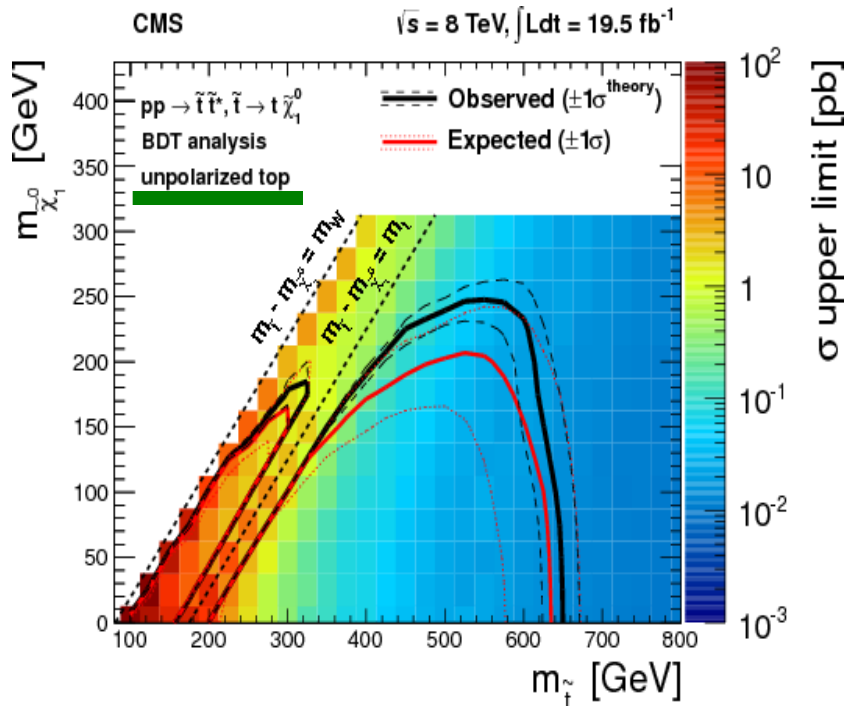
- Results for $\tilde{t} \rightarrow b\tilde{\chi}^+$ in back-ups



Direct stop search: interpretation

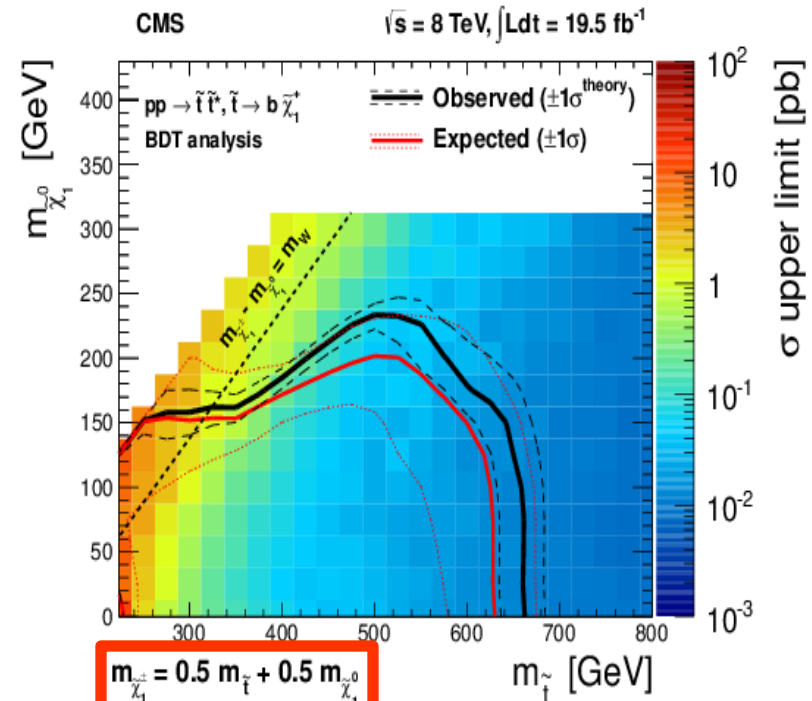
- Direct stop production \rightarrow 2 decay modes
- Use signal region with best expected limit

$$\tilde{t} \rightarrow t \tilde{\chi}_1^0 \rightarrow bW \tilde{\chi}_1^0$$



Probes M_{stop} up to $\sim 620 \text{ GeV}$

$$\tilde{t} \rightarrow b\chi^{\pm} \rightarrow bW^{\pm} \tilde{\chi}_1^0$$



Probes M_{stop} up to $\sim 650 \text{ GeV}$



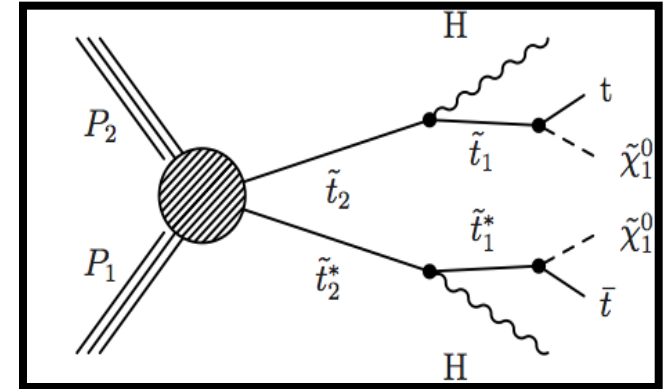
Associated stop – Higgs production

Direct stop search with H: strategy

Search for direct stop production in association with H

- ◆ Leptonic final state
- ◆ $H \rightarrow bb$ [large BR]
- ◆ Focus on scenarios where:

$$M(\tilde{t}_1) - M(\tilde{\chi}_1^0) \sim M(t)$$



- Challenging kinematic region [low ME_T]

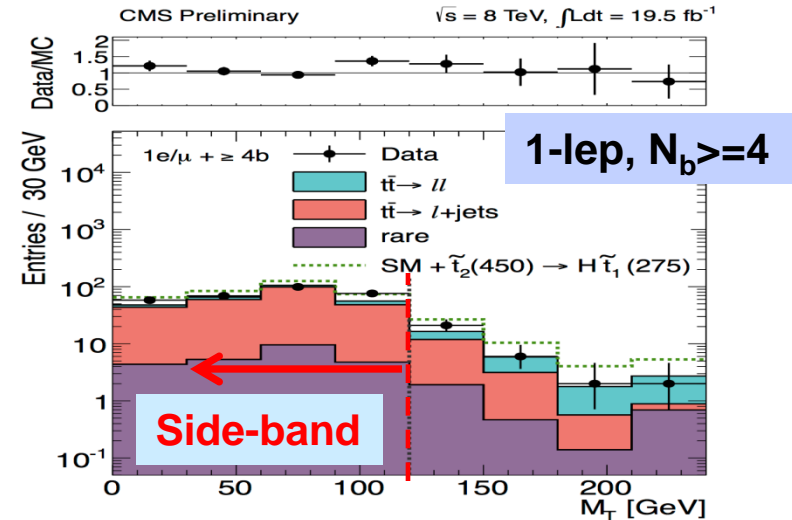
Event selection:

- ◆ 1 isolated lepton [e/μ], $N_j \geq 4$ (5), $N_b \geq 4$ (3)
 - ◆ $M_T(l, ME_T) > 120$ (150) GeV [suppress $tt(1l)$ & W +jets]
 - ◆ $ME_T > 50$ GeV [W +jets]
 - ◆ Veto events with additional isolated track [suppress $tt(2l)$]
- In addition to 1-lep, a 2-lep search is carried out
- ◆ details not discussed here



Direct stop search & H: BKG estim.

- Main BKGs [i.e $t\bar{t}(1\text{lep})$ & $t\bar{t}(2\text{lep})$] from side-bands in data and transfer factors from simulation
 - ◆ Inversion of M_T cut
- Advantage: partial or full cancelation of uncertainties associated to:
 - ◆ Luminosity, process x-section
 - ◆ Lepton efficiency
 - ◆ JES, etc..
- “Rare” BKGs [i.e $t\bar{t}V$, 2-V, 3-V, V+jets] from simulation with large uncertainties
- Control regions in data used to derive scale factors



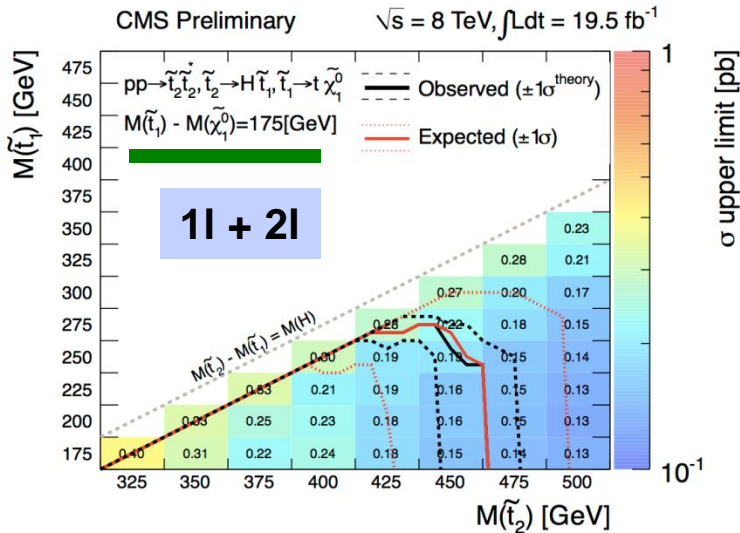


Direct stop search & H: results

- **Results for both 1-lep & 2-lep channels**
 - ◆ **No statistically significant excess**

Results @ 19.5 fb⁻¹

Sample	1l + 3b	1l + ≥4b	2l + 3b	2l + ≥4b
$t\bar{t} \rightarrow \ell + \text{jets}$	6.1 ± 1.1	13.2 ± 3.2	0.0 ± 0.1	0.1 ± 0.1
$t\bar{t} \rightarrow \ell\ell + \text{jets}$	3.2 ± 0.9	10.4 ± 4.3	7.2 ± 2.1	8.8 ± 3.8
Rare	0.8 ± 0.1	3.2 ± 0.8	1.2 ± 0.2	1.7 ± 0.6
Total background prediction	10.0 ± 1.8	26.8 ± 5.6	8.4 ± 2.7	10.6 ± 5.1
Total relative uncertainty [%]	17.5	20.9	31.7	48.2
Data	14	31	15	3



**Probes $M_{\text{stop}2} \sim 450 \text{ GeV}$
for $M_{\text{stop}1} \sim 250 \text{ GeV}$**



Summary

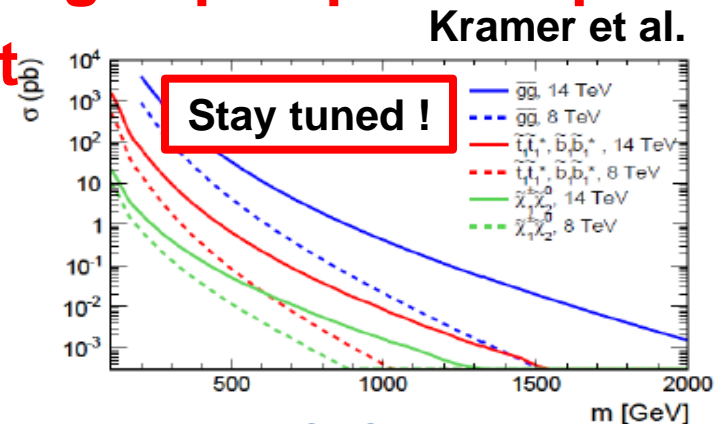


Summary

- **Naturalness requires light gluinos, stops and sbottoms**
 - ◆ [probably] within LHC reach
- **Several searches [based on lepton multiplicity] for direct & indirect stop/sbottom production in CMS**
 - ◆ Single-lepton searches provide very competitive results!
- **No excess found: limits in m_{gluino} , m_{Lsp} , m_{stop} tightened**
 - ◆ $M_{\text{gluino}} \sim < 1280 \text{ GeV}$, $M_{\text{stop}} \sim < 650 \text{ GeV}$ [$\sim 10\%$ fine tuning]
- **Natural SUSY constrained; still large open param.space**
- **Upcoming 13 TeV run significant impact on SUSY prod. x-section**
 - ◆ Largest impact on gluino prod.

CMS SUSY results:

<https://twiki.cern.ch/twiki/bin/view/CMSPublic/PhysicsResultsSUS>





Additional material



Direct stop search: results

$$\tilde{t} \rightarrow b \chi^\pm \rightarrow b W^\pm \tilde{\chi}_1^0$$

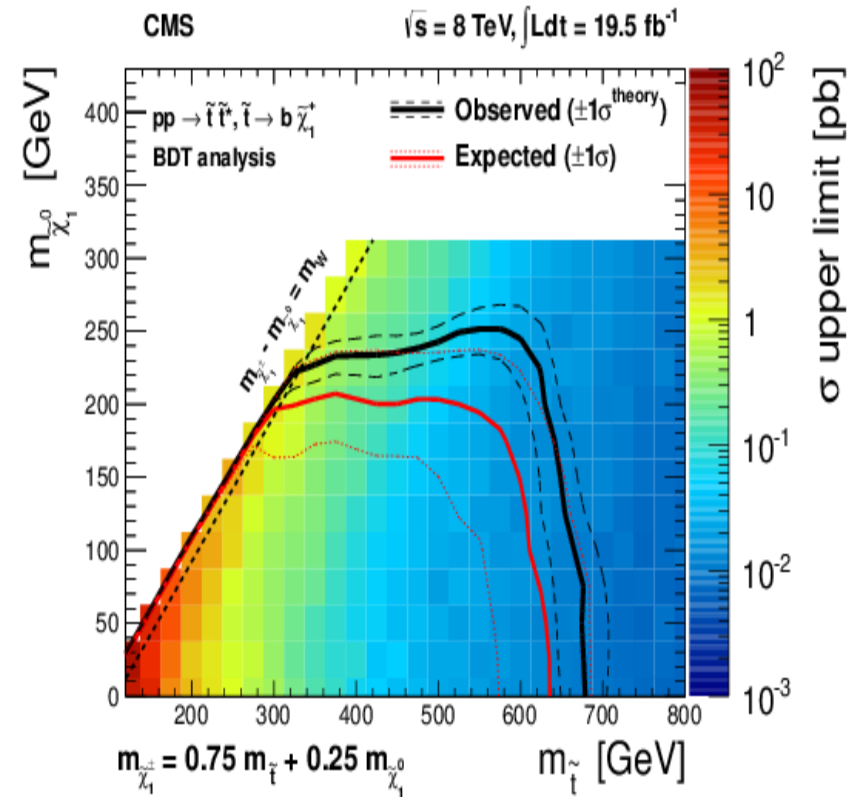
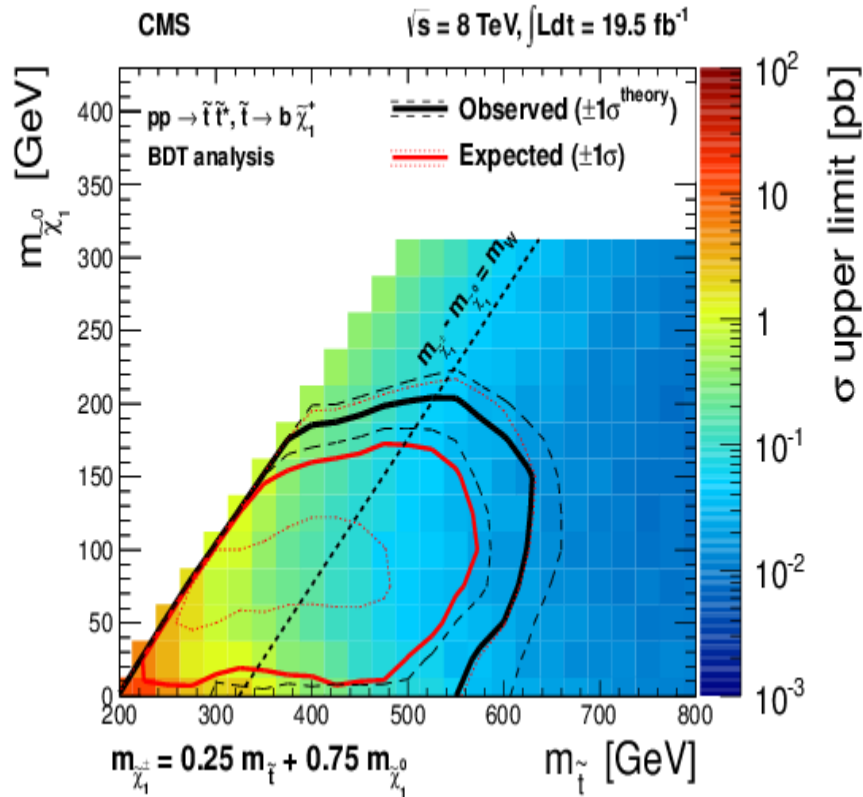
$\tilde{t} \rightarrow b \tilde{\chi}^+ x = 0.25$				
Sample	BDT1	BDT2	BDT3	
$\tilde{t} \rightarrow \ell \ell$	18 ± 4	2.2 ± 1.3	1.2 ± 1.0	
1 ℓ top	10 ± 5	4.0 ± 1.8	1.5 ± 0.8	
W + jets	3 ± 1	2.0 ± 0.7	0.7 ± 0.3	
Rare	4 ± 2	1.6 ± 0.8	1.0 ± 0.5	
Total	35 ± 6	9.8 ± 2.4	4.4 ± 1.4	
Data	29	7	2	
$\tilde{t} \rightarrow b \tilde{\chi}^+ (450/50/0.25)$	19 ± 2.9	11 ± 2.2	5.2 ± 1.5	
$\tilde{t} \rightarrow b \tilde{\chi}^+ (600/100/0.25)$	8.8 ± 0.8	7.5 ± 0.8	5.6 ± 0.7	

$\tilde{t} \rightarrow b \tilde{\chi}^+ x = 0.5$					
Sample	BDT1	BDT2–Loose	BDT2–Tight	BDT3	BDT4
$\tilde{t} \rightarrow \ell \ell$	40 ± 5	21 ± 4	4 ± 2	6 ± 2	100 ± 16
1 ℓ top	24 ± 10	15 ± 7	4 ± 3	4 ± 2	33 ± 12
W + jets	5 ± 1	5 ± 1	2 ± 1	3 ± 1	5 ± 1
Rare	8 ± 4	8 ± 4	3 ± 1	4 ± 2	8 ± 4
Total	77 ± 12	50 ± 9	13 ± 4	17 ± 4	146 ± 21
Data	67	35	12	13	143
$\tilde{t} \rightarrow b \tilde{\chi}^+ (250/50/0.5)$	45 ± 7.6	24 ± 5.2	5.7 ± 2.4	5.2 ± 2.6	55 ± 8.1
$\tilde{t} \rightarrow b \tilde{\chi}^+ (650/50/0.5)$	3.5 ± 0.4	9.5 ± 0.7	5.6 ± 0.5	8.3 ± 0.6	3.2 ± 0.4

$\tilde{t} \rightarrow b \tilde{\chi}^+ x = 0.75$				
Sample	BDT1	BDT2	BDT3	BDT4
$\tilde{t} \rightarrow \ell \ell$	37 ± 5	9 ± 2	3.1 ± 1.3	248 ± 22
1 ℓ top	17 ± 9	6 ± 5	1.6 ± 1.6	188 ± 70
W + jets	4 ± 1	4 ± 1	1.6 ± 0.6	22 ± 6
Rare	4 ± 2	4 ± 2	1.8 ± 0.9	20 ± 10
Total	61 ± 10	22 ± 6	8.1 ± 2.3	478 ± 74
Data	50	13	5	440
$\tilde{t} \rightarrow b \tilde{\chi}^+ (250/50/0.75)$	115 ± 13	21 ± 5.6	8.0 ± 3.7	518 ± 28
$\tilde{t} \rightarrow b \tilde{\chi}^+ (650/50/0.75)$	3.9 ± 0.4	8.4 ± 0.6	6.8 ± 0.6	5.5 ± 0.5

Direct stop search: interpretation

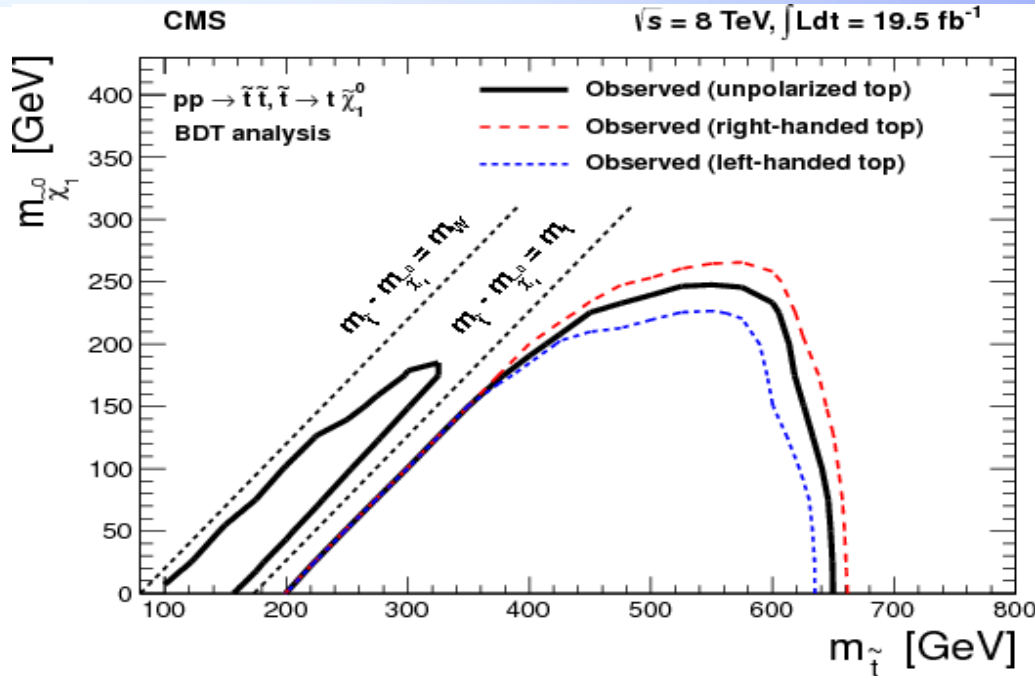
$$\tilde{t} \rightarrow b \chi^{\pm} \rightarrow b W^{\pm} \tilde{\chi}_1^0$$



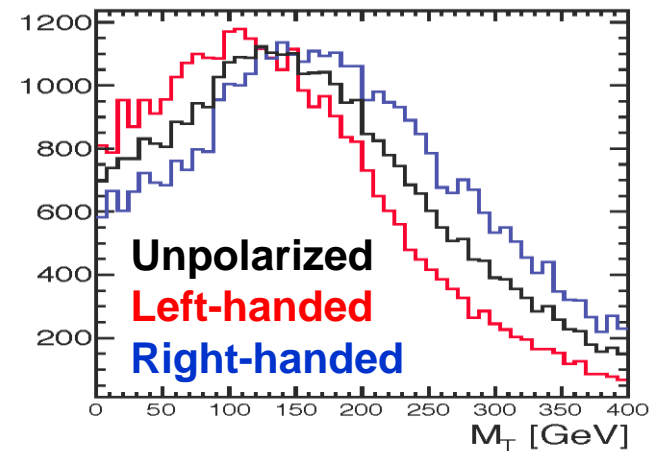
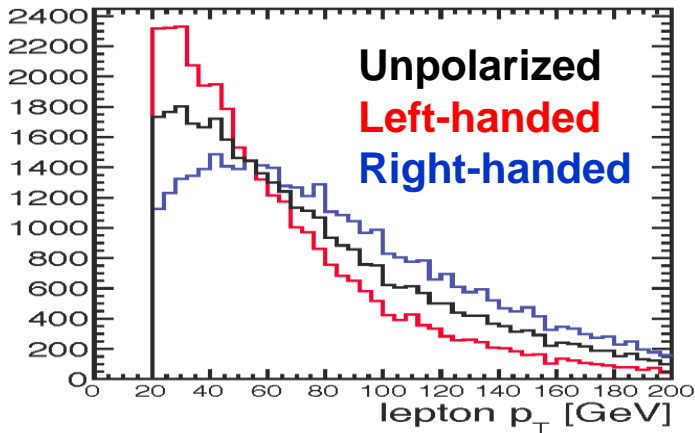


Direct stop search: interpretation

T2tt



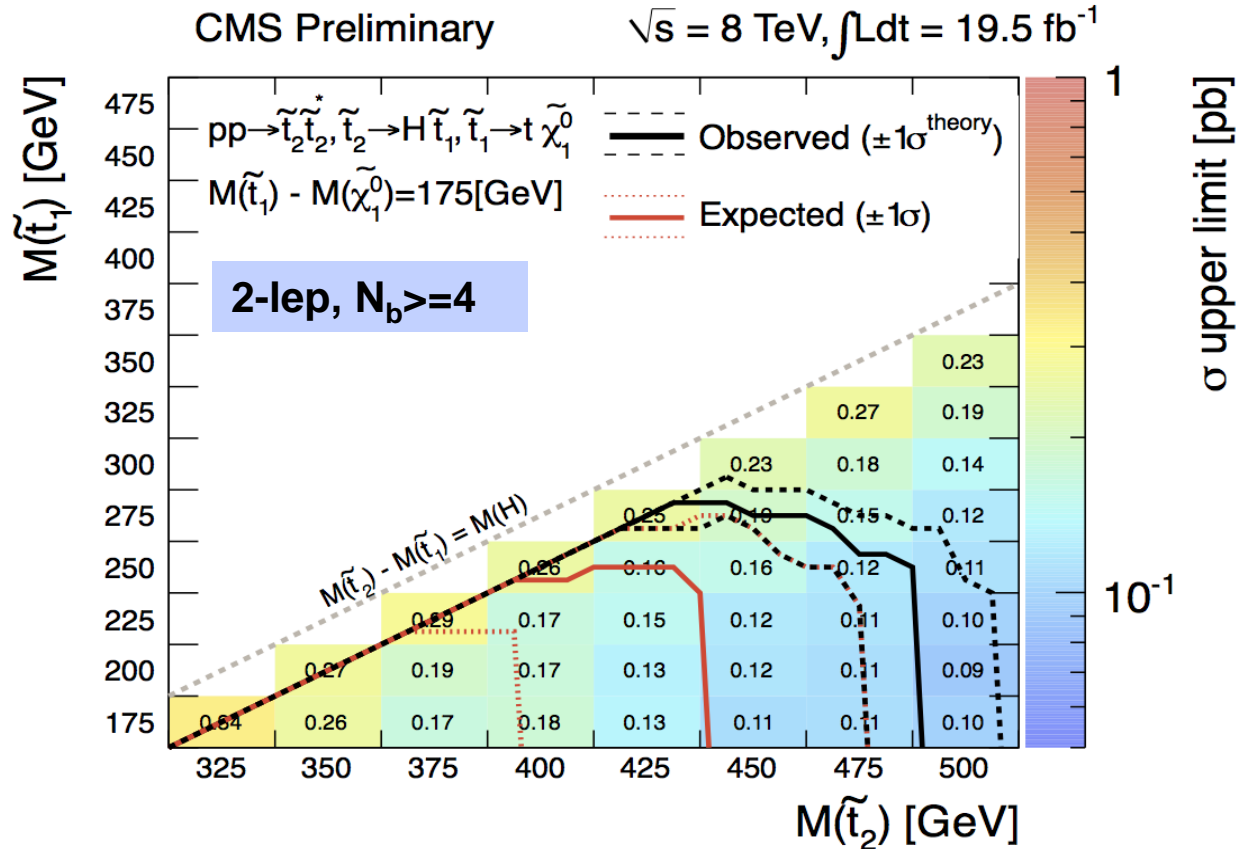
Charged lepton
emitted mostly
parallel to top
boost





Direct stop search & H: results

- Sensitivity driven by 2-lep search

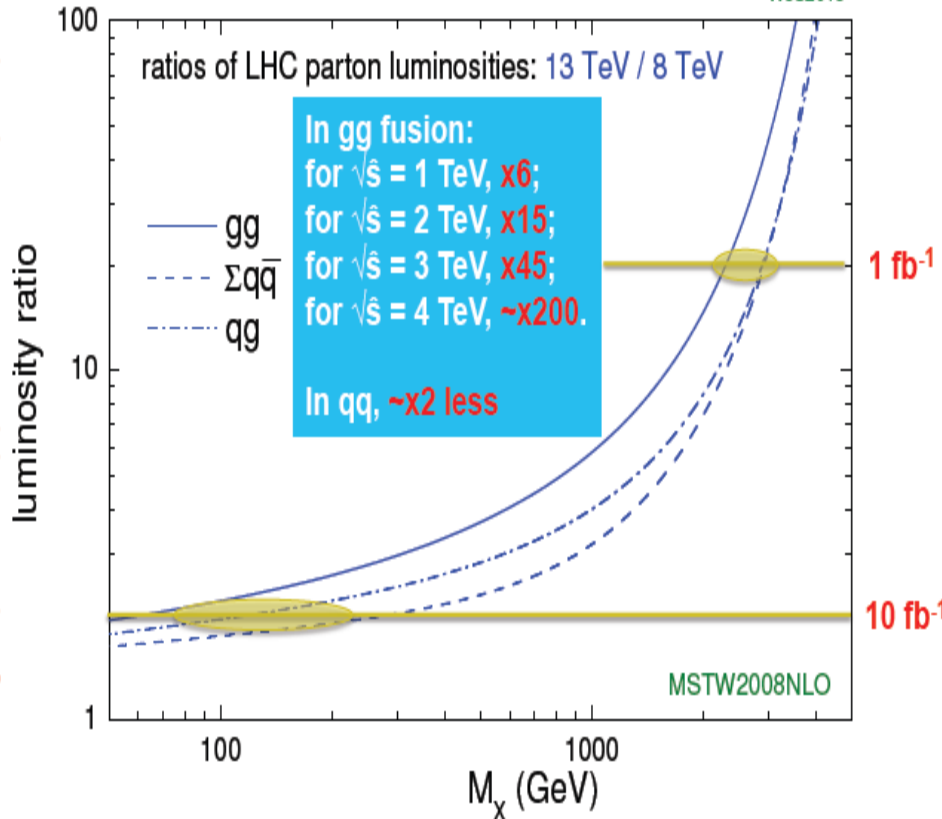




Direct stop search: interpretation

From Greg Landsberg's talk

Let's look at the parton luminosity: 0.1 fb



- Run1: $M_g > 1.4$ TeV @ 95% CL
- Probe $M_g = 1.5$ TeV
 - Corresponds to $\sqrt{s} = 3$ TeV
 - Produced via g-g
 - boost in x-section @ 14 TeV ~ factor of 45
 - Exclusion sensitivity of $M_g \sim 1.5$ TeV with ~ 0.5 fb⁻¹