

# Introduction to Plasma Physics

CERN School on Plasma Wave Acceleration

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## **Outline**

- Lecture 1: Introduction Definitions and Concepts
- Lecture 2: Wave Propagation in Plasmas

### **Lecture 1: Introduction**

Plasma definition

Plasma types

Debye shielding

Plasma oscillations

Plasma creation: field ionization

Relativistic threshold

Further reading

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## What is a plasma?

Simple definition: a *quasi-neutral* gas of charged particles showing *collective behaviour*.

**Quasi-neutrality:** number densities of electrons,  $n_e$ , and ions,  $n_i$ , with charge state Z are *locally balanced*:

$$n_e \simeq Z n_i$$
. (1)

**Collective behaviour:** long range of Coulomb potential (1/r) leads to nonlocal influence of disturbances in equilibrium.

Macroscopic fields usually dominate over microscopic fluctuations, e.g.:

$$\rho = e(Zn_i - n_e) \Rightarrow \nabla \cdot \mathbf{E} = \rho/\varepsilon_0$$

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## Where are plasmas found?

- 1 cosmos (99% of visible universe):
  - interstellar medium (ISM)
  - stars
  - jets
- 2 ionosphere:
  - ≤ 50 km = 10 Earth-radii
  - long-wave radio
- 3 Earth:
  - fusion devices
  - street lighting
  - plasma torches
  - discharges lightning
  - plasma accelerators!

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## **Plasma properties**

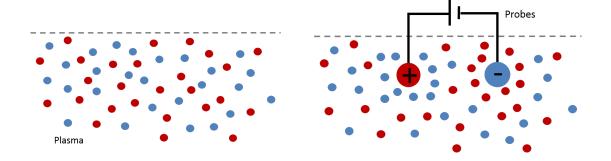
Туре	Electron density $n_e$ ( cm <sup>-3</sup> )	Temperature $T_e$ (eV*)
	4.026	0 403
Stars	10 <sup>26</sup>	$2 \times 10^3$
Laser fusion	10 <sup>25</sup>	$3  imes 10^3$
Magnetic fusion	10 <sup>15</sup>	10 <sup>3</sup>
Laser-produced	$10^{18} - 10^{24}$	$10^2 - 10^3$
Discharges	10 <sup>12</sup>	1-10
Ionosphere	10 <sup>6</sup>	0.1
ISM	1	$10^{-2}$

Table 1: Densities and temperatures of various plasma types

\*  $1eV \equiv 11600K$ 

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## **Debye shielding**



What is the potential  $\phi(r)$  of an ion (or positively charged sphere) immersed in a plasma?

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## Debye shielding (2): ions vs electrons

For equal ion and electron temperatures ( $T_e = T_i$ ), we have:

$$\frac{1}{2}m_{e}v_{e}^{2} = \frac{1}{2}m_{i}v_{i}^{2} = \frac{3}{2}k_{B}T_{e}$$
 (2)

Therefore,

$$\frac{v_i}{v_e} = \left(\frac{m_e}{m_i}\right)^{1/2} = \left(\frac{m_e}{Am_p}\right)^{1/2} = \frac{1}{43}$$
 (hydrogen, Z=A=1)

Ions are almost stationary on electron timescale! To a good approximation, we can often write:

$$n_i \simeq n_0$$
,

where the material (eg gas) number density,  $n_0 = N_A \rho_m / A$ .

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## Debye shielding (3)

In thermal equilibrium, the electron density follows a Boltzmann distribution\*:

$$n_e = n_i \exp(e\phi/k_B T_e) \tag{3}$$

where  $n_i$  is the ion density and  $k_B$  is the Boltzmann constant.

From Gauss' law (Poisson's equation):

$$\nabla^2 \phi = -\frac{\rho}{\varepsilon_0} = -\frac{\mathbf{e}}{\varepsilon_0} (n_i - n_{\mathbf{e}}) \tag{4}$$

\* See, eg: F. F. Chen, p. 9

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## Debye shielding (4)

Combining (4) with (3) in spherical geometry<sup>a</sup> and requiring  $\phi \to 0$  at  $r = \infty$ , get solution:

Exercise

$$\phi_D = \frac{1}{4\pi\varepsilon_0} \frac{e^{-r/\lambda_D}}{r}.$$
 (5)

with

### Debye length

$$\lambda_D = \left(\frac{\varepsilon_0 k_B T_e}{e^2 n_e}\right)^{1/2} = 743 \left(\frac{T_e}{\text{eV}}\right)^{1/2} \left(\frac{n_e}{\text{cm}^{-3}}\right)^{-1/2} \text{cm} \qquad (6)$$

$$a\nabla^2 
ightarrow rac{1}{r^2} rac{d}{dr} (r^2 rac{d\phi}{dr})$$

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## **Debye sphere**

An ideal plasma has many particles per Debye sphere:

$$N_D \equiv n_e \frac{4\pi}{3} \lambda_D^3 \gg 1. \tag{7}$$

⇒ Prerequisite for collective behaviour.

Alternatively, can define plasma parameter:

$$g \equiv \frac{1}{n_e \lambda_D^3}$$

Classical plasma theory based on assumption that  $g \ll 1$ , which also implies dominance of collective effects over collisions between particles.

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## **Collisions in plasmas**

At the other extreme, where  $N_D \leq 1$ , screening effects are reduced and collisions will dominate the particle dynamics. A good measure of this is the *electron-ion collision rate*, given by:

$$u_{ei} = rac{\pi^{rac{3}{2}} n_{e} Z e^{4} \ln \Lambda}{2^{rac{1}{2}} (4\pi \varepsilon_{0})^{2} m_{e}^{2} v_{te}^{3}} \mathrm{s}^{-1}$$

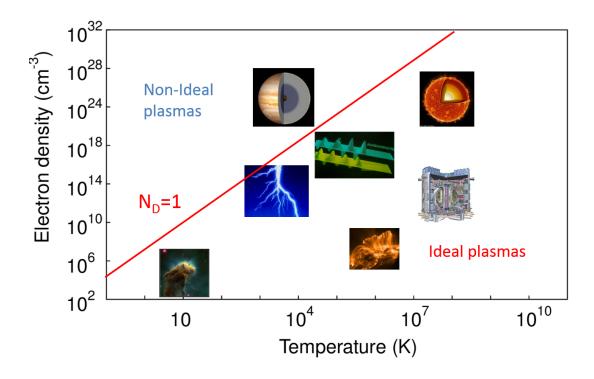
 $v_{te} \equiv \sqrt{k_B T_e/m_e}$  is the electron thermal velocity and  $\ln \Lambda$  is a slowly varying term (Coulomb logarithm) O(10-20).

Can show that

$$rac{
u_{ei}}{\omega_p} \simeq rac{Z \ln \Lambda}{10 N_D}; \; ext{ with } \; \ln \Lambda \simeq 9 N_D/Z$$

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### Plasma classification



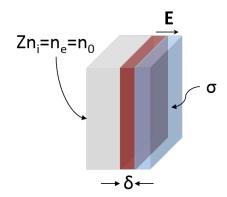
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## **Model hierarchy**

- 1 First principles N-body molecular dynamics
- Phase-space methods Vlasov-Boltzmann
- 3 2-fluid equations
- 4 Magnetohydrodynamics (single, magnetised fluid)
- Time-scales: 10<sup>-15</sup> 10<sup>3</sup> s
- Length-scales: 10<sup>-9</sup> 10 m
- Number of particles needed for first-principles modelling (1): 10<sup>21</sup> (tokamak), 10<sup>20</sup> (laser-heated solid)

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## Plasma oscillations: capacitor model



Consider electron layer displaced from plasma slab by length  $\delta$ . This creates two 'capacitor' plates with surface charge  $\sigma = \pm e n_e \delta$ , resulting in an electric field:

$$m{E} = rac{\sigma}{arepsilon_0} = rac{e n_e \delta}{arepsilon_0}$$

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## Capacitor model (2)

The electron layer is accelerated back towards the slab by this restoring force according to:

$$m_e \frac{dv}{dt} = -m_e \frac{d^2 \delta}{dt^2} = -eE = \frac{e^2 n_e \delta}{\varepsilon_0}$$

Or:

$$\frac{d^2\delta}{dt^2} + \omega_p^2 \delta = 0,$$

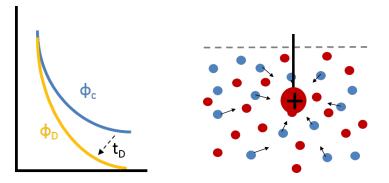
where

#### Electron plasma frequency

$$\omega_p \equiv \left(\frac{e^2 n_e}{\varepsilon_0 m_e}\right)^{1/2} \simeq 5.6 \times 10^4 \left(\frac{n_e}{\text{cm}^{-3}}\right)^{1/2} \text{s}^{-1}. \tag{8}$$

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## Response time to create Debye sheath



For a plasma with temperature  $T_e$  (and thermal velocity  $v_{te} \equiv \sqrt{k_B T_e/m_e}$ ), one can also define a characteristic *reponse* time to recover quasi-neutrality:

$$t_D \simeq rac{\lambda_D}{v_{te}} = \left(rac{arepsilon_0 k_B T_e}{e^2 n_e} \cdot rac{m}{k_B T_e}
ight)^{1/2} = \omega_p^{-1}.$$

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### External fields: underdense vs. overdense

If the plasma response time is shorter than the period of a external electromagnetic field (such as a laser), then this radiation will be *shielded out*.

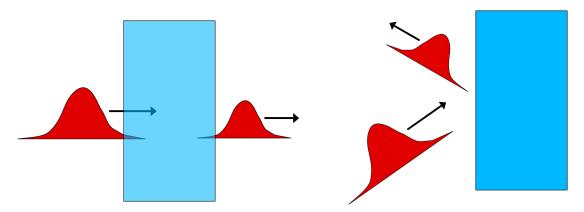


Figure 1: Underdense,  $\omega > \omega_p$ : plasma acts as nonlinear refractive medium

Figure 2: Overdense,  $\omega < \omega_p$ : plasma acts like mirror

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## The critical density

To make this more quantitative, consider ratio:

$$\frac{\omega_p^2}{\omega^2} = \frac{e^2 n_e}{\varepsilon_0 m_e} \cdot \frac{\lambda^2}{4\pi^2 c^2}.$$

Setting this to unity defines the wavelength for which  $n_e = n_c$ , or the

### Critical density

$$n_c \simeq 10^{21} \lambda_{\mu}^{-2} \text{ cm}^{-3}$$
 (9)

above which radiation with wavelengths  $\lambda>\lambda_{\mu}$  will be reflected. cf: radio waves from ionosphere.

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### Plasma creation: field ionization

At the Bohr radius

$$a_B = \frac{\hbar^2}{me^2} = 5.3 \times 10^{-9} \text{ cm},$$

the electric field strength is:

$$E_a = \frac{e}{4\pi\varepsilon_0 a_B^2}$$

$$\simeq 5.1 \times 10^9 \text{ Vm}^{-1}. \tag{10}$$

This leads to the atomic intensity:

$$I_a = \frac{\varepsilon_0 c E_a^2}{2}$$

$$\simeq 3.51 \times 10^{16} \text{ Wcm}^{-2}. \tag{11}$$

A laser intensity of  $I_L > I_a$  will *guarantee ionization* for any target material, though in fact this can occur well below this threshold value (eg:  $\sim 10^{14}~{\rm Wcm^{-2}}$  for hydrogen) via *multiphoton* effects .

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## Ionized gases: when is plasma response important?

Simultaneous field ionization of many atoms produces a plasma with electron density  $n_e$ , temperature  $T_e \sim 1-10$  eV. *Collective effects* important if

$$\omega_p \tau_{
m interaction} > 1$$

### Example (Gas jet)

 $au_{
m int}=$  100 fs,  $n_e=$  10<sup>17</sup> cm<sup>-3</sup>  $ightarrow \omega_p au_{
m int}=$  1.8 Typical gas jets:  $P\sim$  1bar;  $n_e=$  10<sup>18</sup> - 10<sup>19</sup> cm<sup>-3</sup> Recall that from Eq.9, critical density for glass laser  $n_c(1\mu)=$  10<sup>21</sup> cm<sup>-3</sup>. Gas-jet plasmas are therefore underdense, since  $\omega^2/\omega_p^2=n_e/n_c\ll$  1.

Exploit plasma effects for: short-wavelength radiation; nonlinear refractive properties; high electric/magnetic fields; *particle acceleration*!

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## Relativistic field strengths

Classical equation of motion for an electron exposed to a linearly polarized laser field  $\mathbf{E} = \hat{\mathbf{y}} E_0 \sin \omega t$ :

$$\frac{dv}{dt} \simeq \frac{-eE_0}{m_e} \sin \omega t$$

$$\rightarrow v = \frac{eE_0}{m_e\omega}\cos\omega t = v_{\rm os}\cos\omega t \tag{12}$$

## Dimensionless oscillation amplitude, or 'quiver' velocity:

$$a_0 \equiv \frac{v_{\rm os}}{c} \equiv \frac{p_{\rm os}}{m_e c} \equiv \frac{eE_0}{m_e \omega c}$$
 (13)

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## **Relativistic intensity**

The laser intensity  $I_L$  and wavelength  $\lambda_L$  are related to  $E_0$  and  $\omega$  by:

$$I_L = \frac{1}{2} \varepsilon_0 c E_0^2; \quad \lambda_L = \frac{2\pi c}{\omega}$$

Substituting these into (13) we find:

$$a_0 \simeq 0.85 (I_{18} \lambda_{\mu}^2)^{1/2},$$
 (14)

where

Exercise

$$I_{18} = \frac{I_L}{10^{18} \text{ Wcm}^{-2}}; \ \ \lambda_{\mu} = \frac{\lambda_L}{\mu m}.$$

Implies that for  $I_L \ge 10^{18} \ {\rm Wcm^{-2}}$ ,  $\lambda_L \simeq 1 \ \mu {\rm m}$ , we will have relativistic electron velocities, or  $a_0 \sim 1$ .

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## **Further reading**

- 1 F. F. Chen, *Plasma Physics and Controlled Fusion*, 2nd Ed. (Springer, 2006)
- 2 R.O. Dendy (ed.), *Plasma Physics, An Introductory Course*, (Cambridge University Press, 1993)
- 3 J. D. Huba, NRL Plasma Formulary, (NRL, Washington DC, 2007) http://www.nrl.navy.mil/ppd/content/nrl-plasma-formulary

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## Lecture 2: Wave propagation in plasmas

Plasma oscillations

Transverse waves

Nonlinear wave propagation

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**Formulary** 

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## **Model hierarchy**

- First principles N-body molecular dynamics
- Phase-space methods Vlasov-Boltzmann
- 3 2-fluid equations
- 4 Magnetohydrodynamics (single, magnetised fluid)

#### The 2-fluid model

Many plasma phenomena can be analysed by assuming that each charged particle component with density  $n_s$  and velocity  $u_s$  behaves in a fluid-like manner, interacting with other species (s) via the electric and magnetic fields. The rigorous way to derive the governing equations in this approximation is via *kinetic theory*, which is beyond the scope of this lecture.

We therefore begin with the 2-fluid equations for a plasma assumed to be:

• thermal:  $T_e > 0$ 

• collisionless:  $u_{\mathsf{ie}} \simeq \mathsf{0}$ 

• and non-relativistic: velocities  $u \ll c$ .

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## The 2-fluid model (2)

$$\frac{\partial n_s}{\partial t} + \nabla \cdot (n_s \boldsymbol{u}_s) = 0 \tag{15}$$

$$n_s m_s \frac{d \boldsymbol{u}_s}{dt} = n_s q_s (\boldsymbol{E} + \boldsymbol{u}_s \times \boldsymbol{B}) - \nabla P_s$$
 (16)

$$\frac{d}{dt}(P_s n_s^{-\gamma_s}) = 0 (17)$$

 $P_s$  is the thermal pressure of species s;  $\gamma_s$  the specific heat ratio, or (2 + N)/N, where N is the number of degrees of freedom.

## **Continuity equation**

The continuity equation (Eq. 15) tells us that (in the absence of ionization or recombination) the number of particles *of each* species is conserved.

Noting that the charge and current densities can be written  $\rho_s = q_s n_s$  and  $\boldsymbol{J}_s = q_s n_s \boldsymbol{u}_s$  respectively, Eq. (15) can also be written:

$$\frac{\partial \rho_{s}}{\partial t} + \nabla \cdot \boldsymbol{J}_{s} = 0, \tag{18}$$

which expresses the conservation of charge.

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## **Momentum equation**

(Eq. 16) governs the motion of a fluid element of species *s* in the presence of electric and magnetic fields *E* and *B*.

Remark: In the absence of fields, and assuming strict quasineutrality ( $n_e = Zn_i = n$ ;  $\mathbf{u}_e = \mathbf{u}_i = \mathbf{u}$ ), we recover the *Navier-Stokes* equations. Exercise

In the plasma accelerator context we will usually deal with un magnetised plasmas, and stationary ions  $\mathbf{u}_i = 0$ , in which case the momentum equation reads:

$$n_e m_e \frac{d \boldsymbol{u}_e}{dt} = -e n_e \boldsymbol{E} - \nabla P_e$$
 (19)

Note that *E* can include both external and internal field components (via charge-separation).

## Longitudinal plasma waves

A characteristic property of plasmas is their ability to transfer momentum and energy via collective motion. One of the most important examples of this is the oscillation of the electrons against a stationary ion background, or *Langmuir wave*. Returning to the 2-fluid model, we can simplify Eqs.(15-17) by setting  $\mathbf{u}_i = 0$ , restricting the electron motion to one dimension (x) and taking  $\frac{\partial}{\partial y} = \frac{\partial}{\partial z} = 0$ :

$$\frac{\partial n_{e}}{\partial t} + \frac{\partial}{\partial x}(n_{e}u_{e}) = 0$$

$$n_{e}\left(\frac{\partial u_{e}}{\partial t} + u_{e}\frac{\partial u_{e}}{\partial x}\right) = -\frac{e}{m}n_{e}E - \frac{1}{m}\frac{\partial P_{e}}{\partial x}$$

$$\frac{d}{dt}\left(\frac{P_{e}}{n_{e}^{\gamma_{e}}}\right) = 0$$
(20)

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## Longitudinal plasma waves (2)

Poisson's equation

The above system (20) has 3 equations and 4 unknowns.

To close it we need an expression for the electric field, which, since  $\mathbf{B} = 0$ , can be found from Gauss' law (Poisson's equation) with  $Zn_i = n_0 = \text{const}$ :

$$\frac{\partial E}{\partial x} = \frac{e}{\varepsilon_0} (n_0 - n_e) \tag{21}$$

## Longitudinal plasma waves (3)

#### 1D electron fluid equations

$$\frac{\partial n_{e}}{\partial t} + \frac{\partial}{\partial x}(n_{e}u_{e}) = 0$$

$$n_{e}\left(\frac{\partial u_{e}}{\partial t} + u_{e}\frac{\partial u_{e}}{\partial x}\right) = -\frac{e}{m}n_{e}E - \frac{1}{m}\frac{\partial P_{e}}{\partial x} \qquad (22)$$

$$\frac{d}{dt}\left(\frac{P_{e}}{n_{e}^{\gamma_{e}}}\right) = 0$$

$$\frac{\partial E}{\partial x} = \frac{e}{\varepsilon_{0}}(n_{0} - n_{e})$$

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## Longitudinal plasma waves (4)

#### Linearization

This system is nonlinear, and apart from a few special cases, cannot be solved exactly. A common technique for analyzing waves in plasmas therefore is to *linearize* the equations, assuming the perturbed amplitudes are small compared to the equilibrium values:

$$n_e = n_0 + n_1,$$
  
 $u_e = u_1,$   
 $P_e = P_0 + P_1,$   
 $E = E_1,$ 

where  $n_1 \ll n_0$ ,  $P_1 \ll P_0$ . These expressions are substituted into (22) and all products  $n_1 \partial_t u_1$ ,  $u_1 \partial_x u_1$  etc. are neglected to get a set of linear equations for the perturbed quantities...

## **Linearized equations**

 $\frac{\partial n_1}{\partial t} + n_0 \frac{\partial u_1}{\partial x} = 0,$   $n_0 \frac{\partial u_1}{\partial t} = -\frac{e}{m} n_0 E_1 - \frac{1}{m} \frac{\partial P_1}{\partial x}, \quad (23)$   $\frac{\partial E_1}{\partial x} = -\frac{e}{\varepsilon_0} n_1,$ 

Exercise

$$P_1 = 3k_BT_en_1$$
.

N.B. Expression for  $P_1$  results from specific heat ratio  $\gamma_e = 3$  and assuming isothermal background electrons,  $P_0 = k_B T_e n_0$  (ideal gas) – see Kruer (1988).

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## **Wave equation**

We can now eliminate  $E_1$ ,  $P_1$  and  $u_1$  from (23) to get:

$$\left(\frac{\partial^2}{\partial t^2} - 3v_{te}^2 \frac{\partial^2}{\partial x^2} + \omega_p^2\right) n_1 = 0, \tag{24}$$

with  $v_{te}^2 = k_B T_e/m_e$  and  $\omega_p$  given by (8) as before.

Finally, we look for plane wave solutions of the form  $A = A_0 e^{i(\omega t - kx)}$ , so that our derivative operators become:  $\frac{\partial}{\partial t} \to i\omega$ ;  $\frac{\partial}{\partial x} \to -ik$ .

Substitution into (24) yields finally:

Bohm-Gross dispersion relation for electron plasma waves

$$\omega^2 = \omega_p^2 + 3k^2v_{te}^2 \tag{25}$$

## **Electromagnetic waves**

To describe *transverse* electromagnetic (EM) waves, we need two more of Maxwells equations: Faraday's law (35) and Ampère's law (36), which we come to in their usual form later.

To simplify things, taking our cue from the previous analysis of small-amplitude, longitudinal waves, we linearize and again apply the harmonic approximation  $\frac{\partial}{\partial t} \to i\omega$ :

$$\nabla \times \boldsymbol{E}_1 = -i\omega \boldsymbol{B}_1, \tag{26}$$

$$\nabla \times \boldsymbol{B}_1 = \mu_0 \boldsymbol{J}_1 + i \varepsilon_0 \mu_0 \omega \boldsymbol{E}_1, \qquad (27)$$

where the transverse current density is given by:

$$\boldsymbol{J}_1 = -n_0 e \boldsymbol{u}_1. \tag{28}$$

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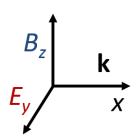
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## **Electromagnetic waves (2)**

Ohm's law

We now look for pure EM plane-wave solutions with  $E_1 \perp k$ . Also note that the group and phase velocities  $v_p$ ,  $v_g \gg v_{te}$ , so that we can assume a *cold* plasma with  $P_e = n_0 k_B T_e = 0$ .



The linearized electron fluid velocity and corresponding current are then:

$$m{u}_1 = -rac{e}{i\omega m_e} m{E}_1,$$
  $m{J}_1 = rac{n_0 e^2}{i\omega m_e} m{E}_1 \equiv \sigma m{E}_1,$  (29)

where  $\sigma$  is the AC electrical conductivity.

## **Electromagnetic waves (3)**

**Dielectric function** 

By analogy with dielectric media (see eg: Jackson), in which Ampere's law is usually written  $\nabla \times \boldsymbol{B}_1 = \mu_0 \partial_t \boldsymbol{D}_1$ , by substituting (29) into (36), can show that

$$D_1 = \varepsilon_0 \varepsilon E_1$$

with

$$\varepsilon = 1 + \frac{\sigma}{i\omega\varepsilon_0} = 1 - \frac{\omega_p^2}{\omega^2}.$$
 (30)

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## **Electromagnetic waves (4)**

**Refractive index** 

From (30) it follows immediately that:

#### Refractive index

$$\eta \equiv \sqrt{\varepsilon} = \frac{ck}{\omega} = \left(1 - \frac{\omega_p^2}{\omega^2}\right)^{1/2}$$
(31)

with

### Dispersion relation

$$\omega^2 = \omega_p^2 + c^2 k^2 \tag{32}$$

Exercise

The above expression can also be found directly by elimination of  $J_1$  and  $B_1$  from Eqs. (26)-(29).

### **Propagation characteristics**

**Underdense plasmas** 

From the dispersion relation (32) a number of important features of EM wave propagation in plasmas can be deduced.

For *underdense* plasmas ( $n_e \ll n_c$ ):

Phase velocity 
$$v_p = \frac{\omega}{k} \simeq c \left( 1 + \frac{\omega_p^2}{2\omega^2} \right) > c$$

Group velocity 
$$v_g = \frac{\partial \omega}{\partial k} \simeq c \left( 1 - \frac{\omega_p^2}{2\omega^2} \right) < c$$

Wave propagation in plasmas

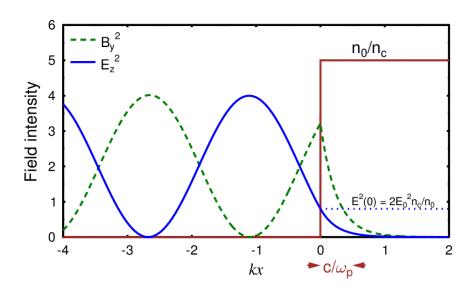
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## **Propagation characteristics (2)**

#### Overdense plasmas

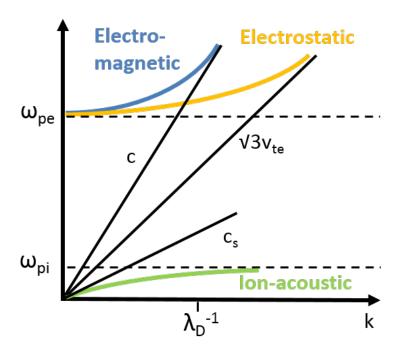
In the opposite case,  $n_e > n_c$ , the refractive index  $\eta$  becomes imaginary, and the wave can no longer propagate, becoming evanescent instead, with a decay length determined by the collisionless skin depth  $c/\omega_p$ .



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## **Summary: dispersion curves**



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## Nonlinear wave propagation

The starting point for most analyses of nonlinear wave propagation phenomena is the Lorentz equation of motion for the electrons in a cold ( $T_e = 0$ ), unmagnetized plasma, together with Maxwell's equations.

We also make two assumptions:

- 11 The ions are initially assumed to be singly charged (Z = 1) and are treated as a immobile ( $v_i = 0$ ), homogeneous background with  $n_0 = Zn_i$ .
- Thermal motion is neglected justified for underdense plasmas because the temperature remains small compared to the typical oscillation energy in the laser field ( $v_{os} \gg v_{te}$ ).

## **Lorentz-Maxwell equations**

#### Starting equations (SI units) are as follows

$$\frac{\partial \boldsymbol{p}}{\partial t} + (\boldsymbol{v} \cdot \nabla) \boldsymbol{p} = -e(\boldsymbol{E} + \boldsymbol{v} \times \boldsymbol{B}), \tag{33}$$

$$\nabla \cdot \mathbf{E} = \frac{e}{\varepsilon_0} (n_0 - n_e), \qquad (34)$$

$$\nabla \times \mathbf{E} = -\frac{\partial \mathbf{B}}{\partial t}, \tag{35}$$

$$\nabla \times \mathbf{E} = -\frac{\partial \mathbf{B}}{\partial t}, \qquad (35)$$

$$c^{2} \nabla \times \mathbf{B} = -\frac{e}{\varepsilon_{0}} n_{e} \mathbf{v} + \frac{\partial \mathbf{E}}{\partial t}, \qquad (36)$$

$$\nabla \cdot \mathbf{B} = 0, \tag{37}$$

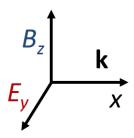
where  $p = \gamma m_e v$  and  $\gamma = (1 + p^2/m_e^2 c^2)^{1/2}$ .

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## **Electromagnetic waves**



To simplify matters we first assume a plane-wave geometry like that above, with the transverse electromagnetic fields given by  $\boldsymbol{E}_{L} = (0, E_{V}, 0); \boldsymbol{B}_{L} = (0, 0, B_{Z}).$ 

From Eq. (33) the transverse electron momentum is then simply given by:

$$p_{y} = eA_{y}, \tag{38}$$

Exercise

where  $E_{v} = \partial A_{v}/\partial t$ .

This relation expresses conservation of canonical momentum.

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## The EM wave equation I

Substitute  $\mathbf{E} = -\nabla \phi - \partial \mathbf{A}/\partial t$ ;  $\mathbf{B} = \nabla \times \mathbf{A}$  into Ampère Eq.(36):

$$c^2
abla imes (
abla imes m{A}) + rac{\partial^2m{A}}{\partial t^2} = rac{m{J}}{arepsilon_0} - 
ablarac{\partial\phi}{\partial t},$$

where the current  $\mathbf{J} = -e n_e \mathbf{v}$ .

Now we use a bit of vectorial magic, splitting the current into rotational (solenoidal) and irrotational (longitudinal) parts:

$$oldsymbol{J} = oldsymbol{J}_{\perp} + oldsymbol{J}_{||} = 
abla imes oldsymbol{\Pi} + 
abla \Psi$$

from which we can deduce (see Jackson!):

$$|m{J}_{||} - rac{1}{c^2} 
abla rac{\partial \phi}{\partial t} = 0.$$

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## The EM wave equation II

Now apply Coulomb gauge  $\nabla \cdot \mathbf{A} = 0$  and  $v_y = eA_y/\gamma$  from (38), to finally get:

#### EM wave

$$\frac{\partial^2 A_y}{\partial t^2} - c^2 \nabla^2 A_y = \mu_0 J_y = -\frac{e^2 n_e}{\varepsilon_0 m_e \gamma} A_y. \tag{39}$$

The nonlinear source term on the RHS contains two important bits of physics:

$$n_e = n_0 + \delta n \ o \ ext{Coupling to plasma waves}$$

$$\gamma = \sqrt{1 + {m p}^2/m_e^2c^2} ~
ightarrow {
m Relativistic}$$
 effects

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## Electrostatic (Langmuir) waves I

Taking the *longitudinal* (x)-component of the momentum equation (33) gives:

$$\frac{d}{dt}(\gamma m_{e}v_{x}) = -eE_{x} - \frac{e^{2}}{2m_{e}\gamma}\frac{\partial A_{y}^{2}}{\partial x}$$

We can eliminate  $v_x$  using Ampère's law (36)<sub>x</sub>:

$$0 = -\frac{e}{\varepsilon_0} n_e v_x + \frac{\partial E_x}{\partial t},$$

while the electron density can be determined via Poisson's equation (34):

$$n_e = n_0 - \frac{\varepsilon_0}{e} \frac{\partial E_x}{\partial x}$$

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## Electrostatic (Langmuir) waves II

The above (closed) set of equations can in principle be solved numerically for arbitrary pump strengths. For the moment, we simplify things by linearizing the *plasma* fluid quantities:

$$n_e \simeq n_0 + n_1 + \dots$$
  
 $v_x \simeq v_1 + v_2 + \dots$ 

and neglect products like  $n_1 v_1$  etc. This finally leads to:

#### Driven plasma wave

$$\left(\frac{\partial^2}{\partial t^2} + \frac{\omega_p^2}{\gamma_0}\right) E_x = -\frac{\omega_p^2 e}{2m_e \gamma_0^2} \frac{\partial}{\partial x} A_y^2 \tag{40}$$

The driving term on the RHS is the *relativistic ponderomotive* force, with  $\gamma_0 = (1 + a_0^2/2)^{1/2}$ .

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## Cold plasma fluid equations: summary

#### Electromagnetic wave

$$\frac{\partial^2 A_y}{\partial t^2} - c^2 \nabla^2 A_y = \mu_0 J_y = -\frac{e^2 n_e}{\varepsilon_0 m_e \gamma} A_y$$

### Electrostatic (Langmuir) wave

$$\left(\frac{\partial^2}{\partial t^2} + \frac{\omega_p^2}{\gamma_0}\right) E_x = -\frac{\omega_p^2 e}{2m_e \gamma_0^2} \frac{\partial}{\partial x} A_y^2$$

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## Cold plasma fluid equations: outlook

These coupled fluid equations and their fully non-linear variations describe a vast range of nonlinear laser-plasma interaction phenomena:

- plasma wake generation: Bingham, Assmann
- blow-out regime: Silva
- laser self-focussing and channelling: Najmudin, Cros
- parametric instabilities
- harmonic generation, ...

Plasma-accelerated particle *beams*, on the other hand, cannot be treated with fluid theory and require a more sophisticated kinetic approach. – see Pukhov

## **Further reading**

- 1 J. Boyd and J. J. Sanderson, The Physics of Plasmas
- 2 W. Kruer, *The Physics of Laser Plasma Interactions*, Addison-Wesley, 1988
- 3 P. Gibbon, Short Pulse Laser Interactions with Matter: An Introduction, Imperial College Press, 2005
- 4 J. D. Jackson, Classical Electrodynamics, Wiley 1975/1998
- J. P. Dougherty in Chapter 3 of R. Dendy *Plasma Physics*, 1993

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### **Constants**

Name	Symbol	Value (SI)	Value (cgs)
Boltzmann constant	k <sub>B</sub>	$1.38 \times 10^{-23}  \mathrm{JK^{-1}}$	$1.38  imes 10^{-16} \ erg \ K^{-1}$
Electron charge	e	$1.6 \times 10^{-19} \text{ C}$	$4.8 \times 10^{-10}$ statcoul
Electron mass	m <sub>e</sub>	$9.1 \times 10^{-31} \text{ kg}$	$9.1 \times 10^{-28}  \mathrm{g}$
Proton mass	$m_p$	$1.67  imes 10^{-27}  ext{ kg}$	$1.67 \times 10^{-24} \text{ g}$
Planck constant	h	$6.63  imes 10^{-34}  \mathrm{Js}$	$6.63  imes 10^{-27}  ext{ erg-s}$
Speed of light	С	$3  imes 10^8 \ \text{ms}^{-1}$	$3 \times 10^{10} \ \text{cms}^{-1}$
Dielectric constant	$arepsilon_0$	$8.85 \times 10^{-12}  \mathrm{Fm}^{-1}$	<del>_</del>
Permeability constant	$\mu_{0}$	$4\pi  imes 10^{-7}$	<del>_</del>
Proton/electron mass ratio	$m_p/m_e$	1836	1836
Temperature = 1eV	e/k <sub>B</sub>	11604 K	11604 K
Avogadro number	$N_A$	$6.02  imes 10^{23} \;  ext{mol}^{-1}$	$6.02  imes 10^{23}  ext{ mol}^{-1}$
Atmospheric pressure	1 atm	$1.013 \times 10^{5} \text{ Pa}$	$1.013  imes 10^6  ext{ dyne cm}^{-2}$

### **Formulae**

Name	Symbol	Formula (SI)	Formula (cgs)
Debye length	$\lambda_{D}$	$\left(\frac{\varepsilon_0 k_B T_e}{e^2 n_e}\right)^{\frac{1}{2}} m$	$\left(\frac{k_{\rm B}T_{\rm e}}{4\pi{\rm e}^2n_{\rm e}}\right)^{\frac{1}{2}}{\rm cm}$
Particles in Debye sphere	$N_D$	$\frac{4\pi}{3}\lambda_D^3$	$\frac{4\pi}{3}\lambda_D^3$
Plasma frequency (electrons)	$\omega_{ extit{pe}}$	$\left(\frac{e^2 n_e}{\varepsilon_0 m_e}\right)^{\frac{1}{2}} s^{-1}$	$\left(\frac{4\pi e^2 n_e}{m_e}\right)^{\frac{1}{2}} s^{-1}$
Plasma frequency (ions)	$\omega_{ extit{pi}}$	$\left(\frac{Z^2 e^2 n_i}{\varepsilon_0 m_i}\right)^{\frac{1}{2}} s^{-1}$	$\left(\frac{4\pi Z^2 e^2 n_i}{m_i}\right)^{\frac{1}{2}} s^{-1}$
Thermal velocity	$v_{te} = \omega_{pe} \lambda_D$	$\left(\frac{k_{\rm B}T_{\rm e}}{m_{\rm e}}\right)^{\frac{1}{2}}{\rm ms}^{-1}$	$\left(\frac{k_B T_e}{m_e}\right)^{\frac{1}{2}} \text{cms}^{-1}$
Electron gyrofrequency	$\omega_c$	$eB/m_e  \mathrm{s}^{-1}$	$eB/m_e  \mathrm{s}^{-1}$
Electron-ion collision frequency	$ u_{ei}$	$\frac{\pi^{\frac{3}{2}} n_e Z e^4 \ln \Lambda}{2^{\frac{1}{2}} (4\pi \varepsilon_0)^2 m_e^2 v_{le}^3} s^{-1}$	$\frac{4(2\pi)^{\frac{1}{2}} n_e Z e^4 \ln \Lambda}{3m_e^2 v_{te}^3} \text{ s}^{-1}$
Coulomb-logarithm	In Λ	In $\frac{9N_D}{Z}$	$\ln \frac{9N_D}{Z}$

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### **Useful formulae**

Plasmafrequency 
$$\omega_{pe} = 5.64 \times 10^4 n_e^{\frac{1}{2}} \text{ s}^{-1}$$

Critical density 
$$n_c = 10^{21} \lambda_{\rm L}^{-2} \ {\rm cm}^{-3}$$

Debye length 
$$\lambda_D = 743 \; T_e^{\frac{1}{2}} \, n_e^{-\frac{1}{2}} \; \mathrm{cm}$$

Skin depth 
$$\delta = c/\omega_{\it p} = 5.31 \times 10^5 n_{\it e}^{-\frac{1}{2}} \ {\rm cm}$$

Elektron-ion collision frequency 
$$u_{ei} = 2.9 \times 10^{-6} n_e T_e^{-\frac{3}{2}} \ln \Lambda \text{ s}^{-1}$$

Ion-ion collision frequency 
$$\nu_{ii} = 4.8 \times 10^{-8} Z^4 \left(\frac{m_p}{m_i}\right)^{\frac{1}{2}} n_i T_i^{-\frac{3}{2}} \ln \Lambda \text{ s}^{-1}$$

Quiver amplitude 
$$a_0 \equiv \frac{p_{osc}}{m_e c} = \left(\frac{I \lambda_L^2}{1.37 \times 10^{18} \text{Wcm}^{-2} \mu \text{m}^2}\right)^{\frac{1}{2}}$$

Relativistic focussing threshold 
$$P_c = 17 \left( \frac{n_c}{n_e} \right) \text{ GW}$$

$$T_e$$
 in eV;  $n_e, n_i$  in cm<sup>-3</sup>, wavelength  $\lambda_L$  in  $\mu$ m

## **Maxwell's Equations**

Name	(SI)	(cgs)
Gauss' law	$oldsymbol{ abla}.oldsymbol{arepsilon}= ho/arepsilon_0$	$oldsymbol{ abla}.oldsymbol{arepsilon}=4\pi ho$
Gauss' magnetism law	$oldsymbol{ abla}.oldsymbol{\mathcal{B}}=0$	$oldsymbol{ abla}.oldsymbol{\mathcal{B}}=0$
Ampère	$oldsymbol{ abla} imesoldsymbol{B}=\mu_0oldsymbol{J}+rac{1}{c^2}rac{\partialoldsymbol{E}}{\partial t}$	$oldsymbol{ abla} imesoldsymbol{B}=rac{4\pi}{c}oldsymbol{J}+rac{1}{c}rac{\partialoldsymbol{E}}{\partial t}$
Faraday	$oldsymbol{ abla} imesoldsymbol{arepsilon}=-rac{\partial oldsymbol{oldsymbol{B}}}{\partial t}$	$ abla  imes m{E} = -rac{1}{c}rac{\partial m{B}}{\partial t}$
Lorentz force per unit charge	$ extbf{\emph{E}} +  extbf{\emph{v}}  imes  extbf{\emph{B}}$	$E + \frac{1}{c}v \times B$

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