## Advanced simulation tools for next generation particle acceleration: PIC and beyond

Jonathan Smith Tech-X UK Ltd

1.10.14

Speaker Profile



Dr Jonathan Smith is an application specialist working for Tech-X UK Ltd. He has 15 years professional experience in industry, academia and on government/national laboratory sites. His research focus has always been computational electromagnetics, principally the finite difference time domain (FDTD) technique and particle-in-cell (PIC) technique and is currently working mostly on novel acceleration techniques both with plasma and periodic dielectric structures. He has a wide experience using commercial and open-source simulation software in radio frequency vacuum electronics devices, particle accelerators and across plasma simulation of areas as diverse as space propulsion and plasma chambers for lithography and semiconductor processing.

### Talk highlights:



- Fundamentals of plasma acceleration and future experiments (5 mins)
- Learn about the basics of the FDTD technique and PIC simulation (10mins)
- Have an overview of the simulation software landscape (5 mins)
- Understand the source of common numerical instabilities. (10 mins)
- Find out about cutting edge techniques to improve accuracy (15 mins)
  - Quiet loading, enhanced loading
  - Vay push
  - Beam Frame Poisson Solve
  - Smoothing
  - Controlled (sometimes 'Perfect' Dispersion)
- Find out about cutting edge techniques to improve speed (10 mins)
  - Envelope models (with or without phase tracking) & QSA.
  - Boosted frame
- Learn about cutting edge dielectric acceleration algorithms
- If time allows... A few words on controlled injection, computational infrastructure requirements, visualisation and future directions.

Plasma Acceleration: kinds



- PWFA: Plasma WakeField Acceleration
  - Theory late 1950s, Experiment Early 1970s
  - Electron beam driven (driver and tail of driver / driver witness)
- LWFA: Laser WakeField Acceleration
  - Tajima & Dawson ~1980
  - Use a laser to excite the plasma field
  - Self modulated scheme ( $L > \lambda_p$ , power  $P > P_c$ )
- LBWA: Laser Beat Wave Acceleration

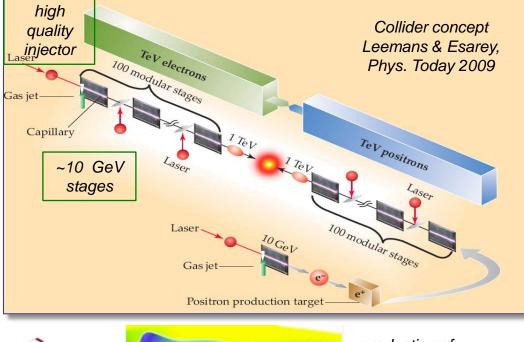
Laser Plasma accelerators for table-top devices



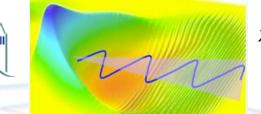
- Ultra high accelerating gradient can be achieved with plasma accelerators:
- $E_0 = cm_e w_p / e \sim 0.96 n_0^{1/2} \text{ [cm}^{-3}\text{]}$ : **100GeV/m** for  $10^{18} \text{cm}^{-3}$  or  $10^{24} \text{m}^{-3}$
- Theoretical result ~3 orders of magnitude better than RF accelerators
- Plasma wake excited with ponderomotive force of laser pulse
- LPA experiments have been producing quasi-mono-energetic beams for many years (but not so good as RF)
- Beam energy and quality steadily improving bring interest in a wide range of applications

### Next generation: new challenges





BERKELEY LAB



production of X-Rays,  $\gamma$ -Rays

- BELLA laser at LBNL will explore 10 GeV electron acceleration in a 1 m laser plasma accelerator
- Requires high quality injector
  - colliding pulse
  - ionization of high Z gases
- Requires preservation of low emittance beams
- Simulations challenging because of scale separation
  - $L_{acc} \sim 1 \text{ m}, \lambda_{laser} \sim 1 \mu \text{m}$
- Reduced models needed
  - envelope, boosted frame
- Improve simulations to reduce numerical noise
  - Beam Frame Poisson Solve

Fundamentals of plasma acceleration

What are we trying to achieve?



- Energy gain of particles, energy spread, proportion of electrons captured and accelerated, transverse momentum
- Emittance of particles (beam size), brightness
- Working out whether and which particles will be injected from the background
- Dispersion, dephasing (of laser and particles)
- Understand physical plasma instabilities: hosing, forward & reverse stimulated Raman scattering, self modulation, exciting ion modes, and other non-linear effects
- Depletion (laser loosing power as transfer of energy happens)
- More accurate results, faster, easier (avoid numerical effects)



- Fundamentals of plasma acceleration and future experiments (5 mins)
- Learn about the basics of the FDTD technique and PiC simulation (10mins)
  - Commonalities to all codes

Talk highlights:

- Some popular codes for laser plasma wakefield acceleration
- Understanding the basics of instabilities
- Have an overview of the simulation software landscape (5 mins)
- Understand the source of common numerical instabilities. (10 mins)
- Find out about cutting edge techniques to improve accuracy (15 mins)
- Find out about cutting edge techniques to improve speed (10 mins)
- Learn about cutting edge dielectric acceleration algorithms
- If time allows... A few words on computational infrastructure requirements, visualisation and future direction.

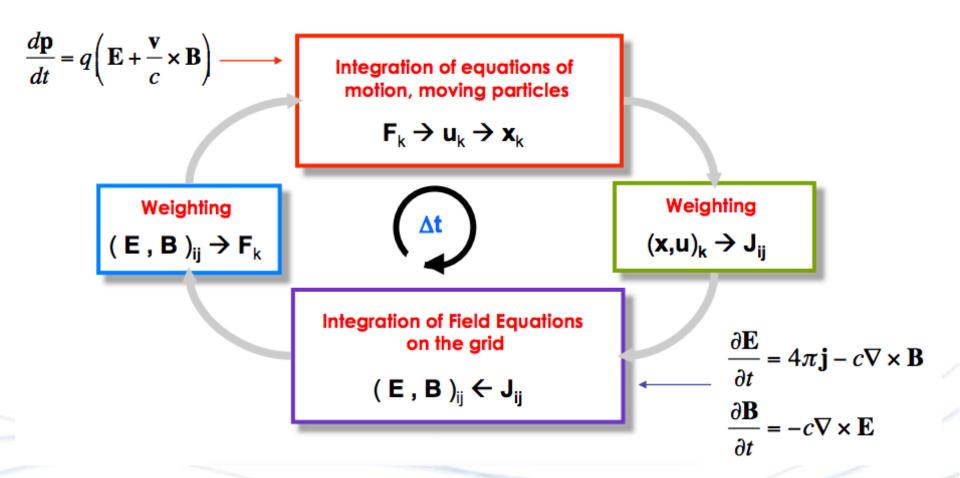
### Commonalities:



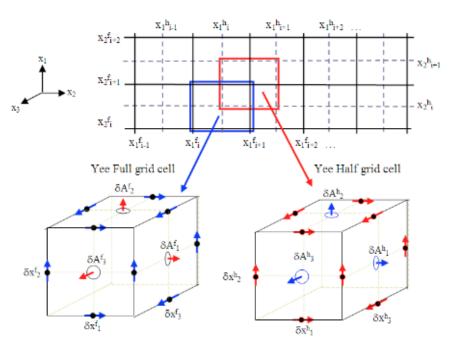
- Grid
- Parallel Decomposition
- Fields (EmField/MultiField includes basic Yee leap-frog scheme in different dimensionalities)
  - Boundary Conditions
    - Current Sources (SumRhoJ) external+particles
    - PML/MAL/Open
    - Magnetic/Electric Conductor
  - Updates with time
  - Order of update
- Particles (Species macroparticles)
  - Properties, relativistic pushers, tagging, variable weighting, tracking
  - Sources/Sinks loaders (from other formats, gridded, pseudorandom) emitters, boundary conditions
- Fluids (reduces computation for many particle-per-cell)
- Interactions (monte carlo Fields/Particles/Fluids Ionizations, Collisions)
  - Cross sections, rate of reaction, external libraries
- Diagnostics (History)
- Depositors (Vector/Scalar), Interpolators built into fields.
- Basic properties, verbosity, timestepping, restarts, moving window (part of grid), control of I/O

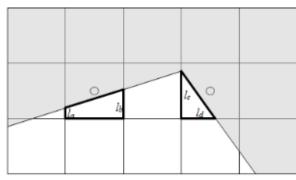
Basic PIC method





### Fields 1: Yee algorithm



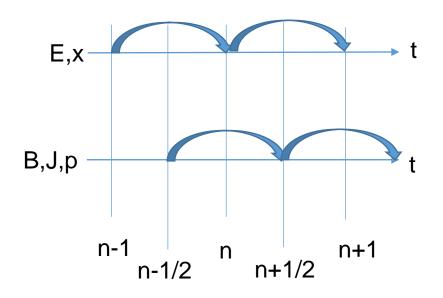


- Offset grids one holds B fields, one holds E fields.
- Sum of currents around loop gives exact flux through surface. (Kelvin-Stokes theorem)
- Have special algorithms for handling cut cells and/or dielectrics

Taflove & Hagness: "The Finite-Difference Time-Domain Method, Third Edition"

## Fields 2: Leapfrog





- Leapfrog used both for particle pushes as well as for fields.
- More numerically stable
- Discussion about (in)stability later.

### Particle pushes



- Lorentz force is applied to particles
- Together a Vlasov-Maxwell system
- Boris Algorithm
  - Leapfrog schemes work, otherwise particles spiral out of control. Better and quicker than Runge-Kutta integrator, or forward Euler.
  - J. P. Boris, Relativistic plasma simulation-optimization of a hybrid code, Proceedings of the Fourth Conference on Numerical Simulations of Plasmas, 1970.
- More recently J-L Vay, "Simulation of beams or plasmas crossing at relativistic velocity" Phys. Plasmas 15,056701 (2008);

http://dx.doi.org/10.1063/1.2837054

### So what's so complicated?



- Geared toward high-energy colliders: meter-scale stages
- Linear dephasing length scales as  $\lambda_p^{3/\lambda^2} \Rightarrow$  use low plasma density, ~ 10<sup>23</sup> m<sup>-3</sup>
  - Physical parameters:  $\lambda_p = 106 \ \mu m$ ,  $\lambda = 800 \ nm$
- Some reasonable simulation parameters
  - Global domain sizes:  $L_x = 3\lambda_p$ ,  $L_y = L_z = 6\lambda_p$
  - Resolution:  $\Delta x = \lambda/24$ ,  $\hat{\Delta}y = \Delta z = \lambda/3 \Rightarrow c\Delta t = 33$  nm
  - Resulting grid sizes:  $N_x = 9540$ ,  $N_y = N_z = 2385$
  - # of time steps =  $3.0 \times 10^7$ ,  $1.7 \times 10^{18}$  total updates
  - Estimate ~3x10<sup>9</sup> CPU hours required
- There can often be subtle and complex differences between actual physics and the numerical implementation of a model
  - We want quantitative accuracy, not just qualitative understanding

### Talk highlights:



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### Codes:

- VSim (VORPAL)
  - (scaling 100k cores+)
  - http://www.txcorp.com/home/vsim/vsim-pa

#### OSIRIS

- UCLA/IST + Large collaboration (scaling 100k cores+)
- https://plasmasim.physics.ucla.edu/codes/
- QuickPIC
  - UCLA/IST QSBA, PGC (envelope), QSBA link as above
- EPOCH
  - http://ccpforge.cse.rl.ac.uk/gf/project/epoch/
- XOOPIC
  - http://ptsg.eecs.berkeley.edu/pub/codes/xoopic/
- LCODE
  - Fluid RZ, AWAKE deck, 'kinetic' plasma
  - http://www.inp.nsk.su/~lotov/lcode/
- Calder & Calder-Circ
  - V Malka (Ecole Polytechnique, France)
  - Lifschitz A F, Davoine X, Lefebvre E, Faure J, Rechatin C and Malka V 2009 J. Comput. Phys. 228 1803–14

### • WARP

- Renewed development work by J-L Vey
- http://iopscience.iop.org/1749-4699/5/1/014019
- VLPL
  - http://www.tp1.uni-duesseldorf.de/~pukhov/
- PIConGPU
  - HZDR <u>http://picongpu.hzdr.de</u> (github for developers)
  - https://github.com/ComputationalRadiationPhysics/picongp u

### MANDOR

- Lebedev Physics Institute (Bychenkov) once U of Alberta
- http://journals.aps.org/prl/abstract/10.1103/PhysRevLett.93 .215004
- http://core.kmi.open.ac.uk/display/20048281
- HiPACE
  - DESY, Quasi Static PIC.
  - http://iopscience.iop.org/0741-3335/56/8/084012/
- Inf&rno
  - 2DRZ PIC/Fluid (envelope/QSB)
  - <u>https://accelconf.web.cern.ch/accelconf/PAC2011/papers/</u> mop082.pdf
  - Scales to ~4k cores
  - WAKE, Tristan MP, ILLUMINATION others...



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Understand the source of common numerical instabilities:



- Leap-frog vs Euler
- The Courant condition (Courant Friedrichs Lewy)
- Numerical Cerenkov Radation (Smoothing, Perf Dispersion)
- Grid instability (Higher order particle shapes, better loading)
- Plasma wavelength
- Laser/RF wavelengths
- The Debye length
- The plasma period
- Geometric features (though cut cell technologies exist)
- Particle movement
- Careful about losing low frequencies/long wavelengths

### Leap-Frog vs Euler

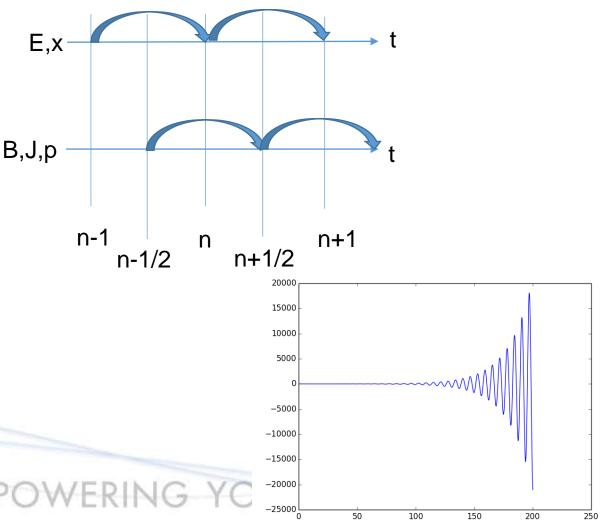


- Leap-frog technique like Yee is more stable.
- Try it yourself!
- Consider simple harmonic oscillator with ( $\omega$ =1)  $x + \ddot{x} = 0$

$$x_{t=0}$$
=0,  $v_{t=0}$ =1, vary  $\Delta t$ 

Euler rapidly reaches 1.5e43, 5.5e194, answer =1!

SIMULATIONS EMPOWERING YO



### Courant condition 1: Wave Equation



$$\left(\frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2} - \frac{\partial^2}{c^2 \partial t^2}\right)\Psi = 0 \qquad \qquad \Psi(x, y, z, t) = \Psi_0 e^{(j[\omega t - k_x x - k_y y - k_z z])}$$

In discrete form:  $\Psi^n(I, J, K) = \Psi_0 e^{(j[\omega n \Delta t - k_x I \Delta x - k_y J \Delta y - k_z K \Delta z])}$ 

$$\begin{split} \frac{\Psi^n(I+1,J,K)-2\Psi^n(I,J,K)+\Psi^n(I-1,J,K)}{\Delta x^2} \\ + \frac{\Psi^n(I,J+1,K)-2\Psi^n(I,J,K)+\Psi^n(I,J-1,K)}{\Delta y^2} \\ + \frac{\Psi^n(I,J,K+1)-2\Psi^n(I,J,K)+\Psi^n(I,J,K-1)}{\Delta z^2} \\ = \frac{\Psi^{n+1}(I,J,K)-2\Psi^n(I,J,K)+\Psi^{n-1}(I,J,K)}{c^2\Delta t^2}. \end{split}$$

Courant condition 2: Solution



$$\frac{1}{\Delta x^2} \sin^2\left(\frac{k_x \Delta x}{2}\right) + \frac{1}{\Delta y^2} \sin^2\left(\frac{k_y \Delta y}{2}\right) + \frac{1}{\Delta z^2} \sin^2\left(\frac{k_z \Delta z}{2}\right) = \left(\frac{1}{c\Delta t} \sin\left[\frac{\omega \Delta t}{2}\right]\right)^2$$

Note: as  $\Delta x, y, z, t \rightarrow 0$ , free space wave equation emerges. Rearrange for  $\omega$ , realise we need real solution, and max of sin=1.

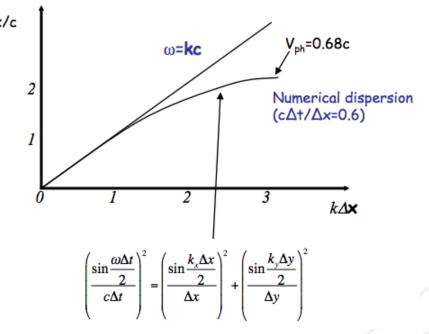
$$\omega = \frac{2}{\Delta t} \sin^{-1} \left( c \Delta t \sqrt{\frac{1}{\Delta x^2}} \sin^2 \left( \frac{k_x \Delta x}{2} \right) + \frac{1}{\Delta y^2} \sin^2 \left( \frac{k_y \Delta y}{2} \right) + \frac{1}{\Delta z^2} \sin^2 \left( \frac{k_z \Delta z}{2} \right) \right)$$

$$c\Delta t \sqrt{\frac{1}{\Delta x^2}} \sin^2\left(\frac{k_x \Delta x}{2}\right) + \frac{1}{\Delta y^2} \sin^2\left(\frac{k_y \Delta y}{2}\right) + \frac{1}{\Delta z^2} \sin^2\left(\frac{k_z \Delta z}{2}\right) \le 1$$
$$\Delta t \le \frac{1}{c\sqrt{\frac{1}{\Delta x^2} + \frac{1}{\Delta y^2} + \frac{1}{\Delta z^2}}}$$



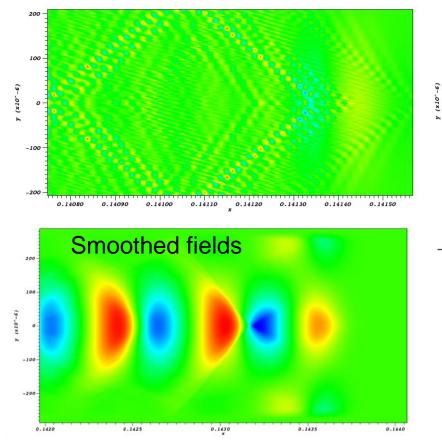
### Numerical 'Cerenkov' radation

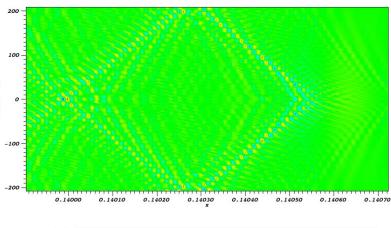
- Dispersion on a structured mesh is neither the same in all ωΔx/c directions, nor is it the same as in free space.
- Particles can travel faster than fields on the grid – leading to a 'Cerenkov' like effect
- Some codes (like VSim) have advanced algorithms for controlling dispersion
- 'Smoothing' is another approach.

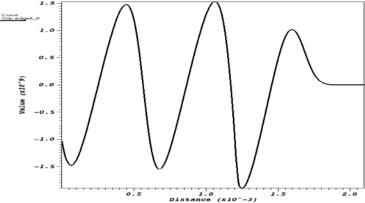


### Cerenkov





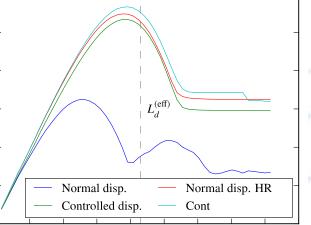


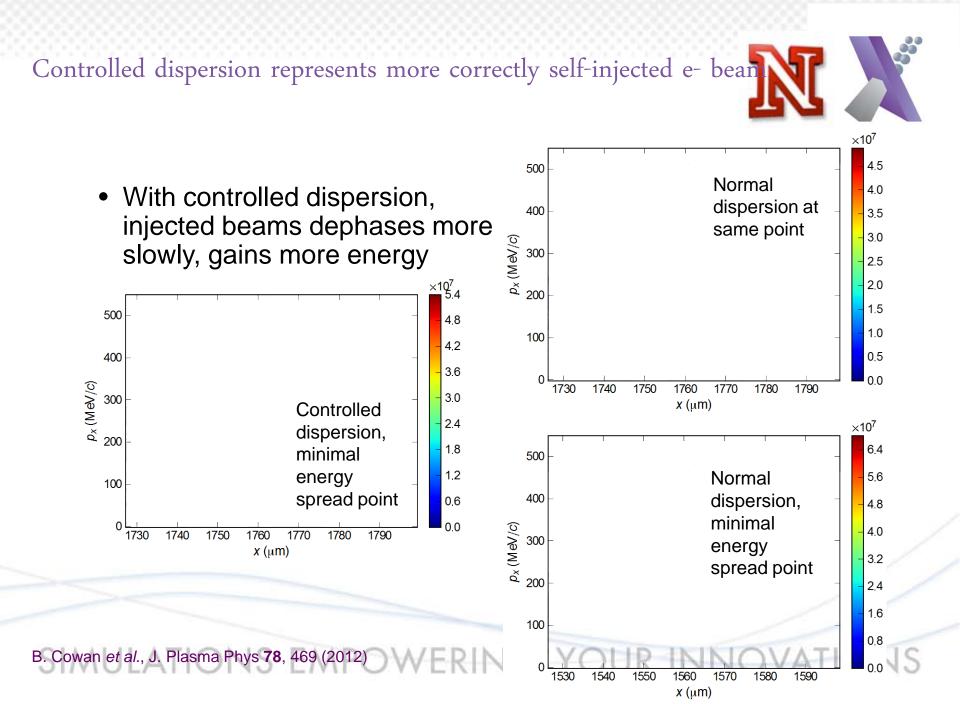


# Controlled dispersion algorithm allows more accurate modeling of beam

- Accuracy in LPA simulations requires correct group velocity of laser pulse
- Standard FDTD update known to exhibit numerical dispersion for waves propagating along one axis
- Use generalized method to achieve much more accurate dispersion for on-axis waves
  - generalized to arbitrary aspect ratios and benchmarked
  - fields are smoothed for computational curl in directions transverse to the derivative
- Nearly eliminates dispersion error in linear channel propagation tests
- Produces better converged results in quasi-linear stage tests
- Gets correct dephasing length, more accurate final energy for accelerated electron beam

Continous theory Normal dispersion 0 • Controlled dispersion A. J. Pukhov, J. [1] 0 Plasma Phys. 61 0 Ο (1999)M. Kärkkäinen et al., [2] Proc. ICAP 2006 B. Cowan et al., [3] **PRST-AB** (2012

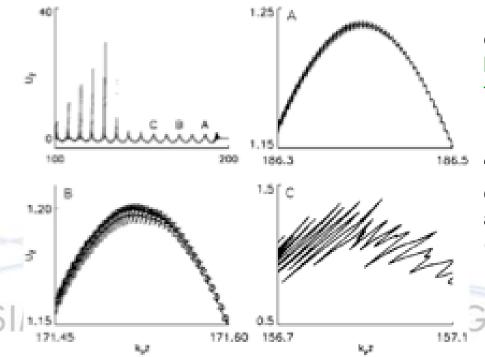




Grid Instability 1: Particle noise



- Discretization of fields on a grid leads to numerical errors
  - Interpolation of gridded fields to particle positions
  - Computation of gridded currents from particle trajectories
- Leads to numerical noise "grid heating"
- Can even cause unphysical trapping in LPA simulations!

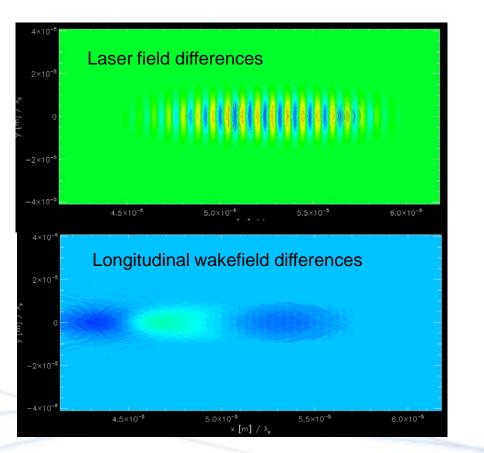


1D simulation of LPA with an initially cold plasma,  $\lambda_p/\lambda = 10$ , and  $a_0 = 2$  — below wave-breaking threshold, but trapping is seen anyway.

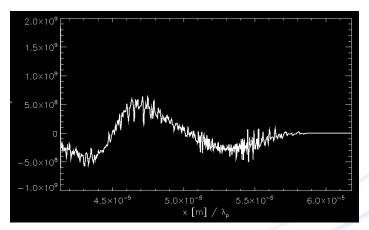
From E. Cormier-Michel et al., "Unphysical kinetic effects in particle-incell modeling of laser wakefield accelerators," Phys. Rev. E **78**, 016404 (2008).

### Grid instability 2: Higher order particles





- Comparison of 1<sup>st</sup>- and 3<sup>rd</sup>-order particles shows reduced noise for 3<sup>rd</sup>order
- Fluid models reduce noise even further
  - But no kinetic effects, such as trapping, can be modeled



Wavelengths: Resolve these



- Undersampling leads to lost physics
- $\Delta x < \lambda_p/4$  (or better better resolution-> better results)
- $\Delta x < \lambda_{laser}/4$  (...much better for good results)
- $\Delta x < \lambda_{rf}/4$  (...or better)
- $\Delta x < \lambda_D/3$  (or better fluid cold plasma approximation excluded)
- $\Delta x < \langle \text{size of smallest features in geometry} \rangle$
- $\Delta x < v \Delta t$
- Insufficient absorbtion at PMLs
- Low frequencies lost by size of simulation box (not necessarily LPA)

We'd better have some good tricks



- Higher order particle shapes (instability prevention)
- Smoothing (instability prevention)
- Controlled (sometimes 'Perfect' Dispersion) (accuracy/instability)
- Quiet loading, enhanced loading (more accurate)
- Vay push (more accurate)
- Beam Frame Poisson Solve (more accurate)
- Improved plasma chemistry (more accurate)
- Envelope models (with or without phase tracking) (faster)
- Boosted frame (faster)
- Quasi-Static beam (no longer self-consistent) (faster)

Quiet/enhanced loading

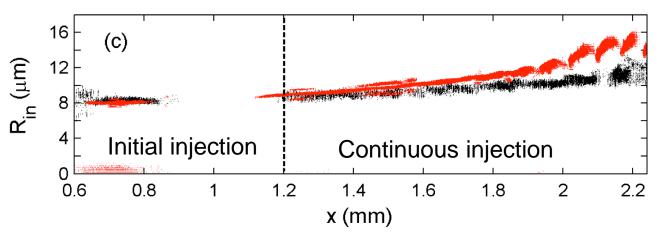


- Quiet load: load in a grid
  - Delays onset of grid instability
- Enhanced load: load more in a grid in particular places
  - You may not need the same resolution (of phase space) everywhere

### Collection volumes



- We can enhance particle statistics with *a priori* knowledge from an initial simulation
- We use the *collection volume* the range of initial positions of injected electrons



From B. Cowan *et al.*, "Computationally efficient methods for modelling laser wakefield acceleration in the blowout regime," J. Plasma Phys. **78**, 469 (2012)

Enhanced statistics in the collection volume



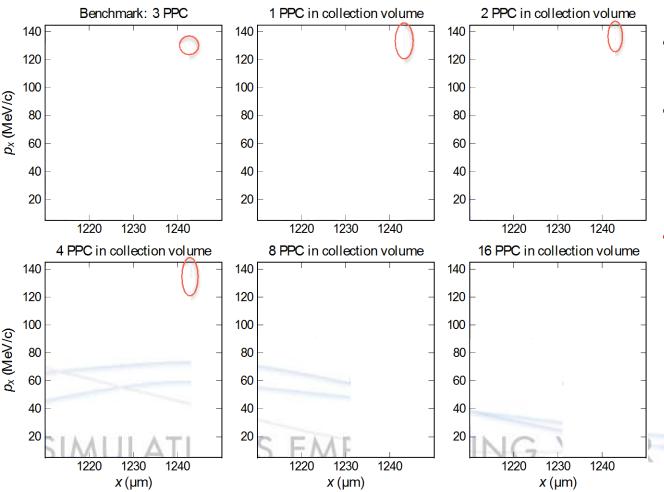
- The collection volume forms an annular region around the axis
- We load a larger number of particles per cell in that region
- With grid loading, we enhance on a cell-by-cell basis
  - Preserves quiet start
  - Loading is enhanced if the cell center is in the collection volume
  - Load on a uniform grid within each cell

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2D results: Longitudinal phase space



 Ran tests with 1, 2, 4, 8, and 16 PPC in collection volume and 1 PPC outside, as well as benchmark with 3 PPC everywhere

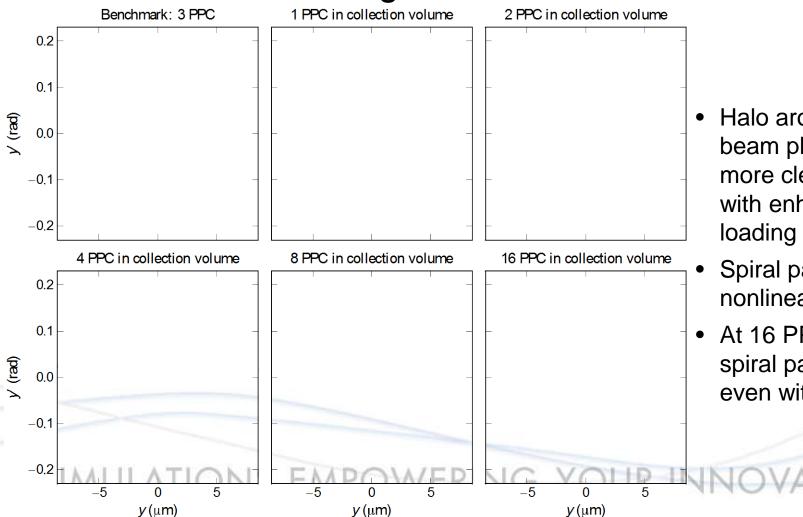


- Observed at point of minimal energy spread
- Up to 4 PPC (including benchmark) shows small injection in first bucket
- Conclusion: Injection in first bucket due to statistical noise, and more than 4 PPC required to eliminate it

2D results: Transverse phase space



### • Enhanced loading reveals, clarifies features

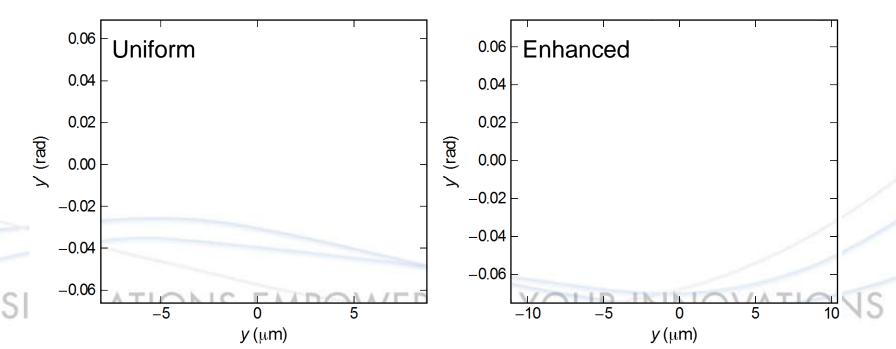


- Halo around core of beam phase space more clearly defined with enhanced loading
- Spiral pattern reveals nonlinear effects
- At 16 PPC, additional spiral pattern visible even within core

### 3D comparison



- For uniform loading, used 4 PPC everywhere
- For enhanced loading, used 16 PPC (1 x 4 x 4) inside collection volume (radius 7–10  $\mu$ m), 1 PPC outside
- Compared transverse phase space
  - Better definition of halo for enhanced loading
  - Cleaner resolution of Gaussian core



### Vay push

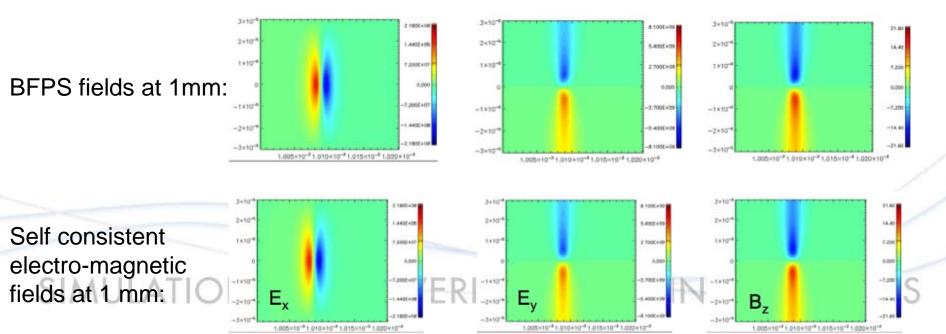


- Vay push improves calculation of forces on a particle. By breaking up how the fields are stored and calculated it may be possible to improve calculation accuracy.
- It is a bad idea (numerically) to subtract a big floating point number from a big number – leads to rounding errors.
- Exists in VSim, WARP, probably some others.
- more recently J-L Vay, "Simulation of beams or plasmas crossing at relativistic velocity" Phys. Plasmas 15,056701 (2008)

# Self-fields of the e- bunch can be found from a Poisson solve in the beam frame

.....

- Very similar to what is done in tracking codes
- The beam self-fields are calculated at each time step using a Poisson solver in the frame of the moving beam
- Works for low emittance, low divergence bunches
  - relative motion must be non-relativistic in the beam frame
  - we refer to this as the "beam frame Poisson solve" BFPS algorithm
- After 1 mm of propagation, fields are consistent with self-consistent PIC fields



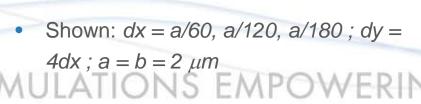
BFPS treatment of the e- beam self-fields enables correct modeling of transverse forces

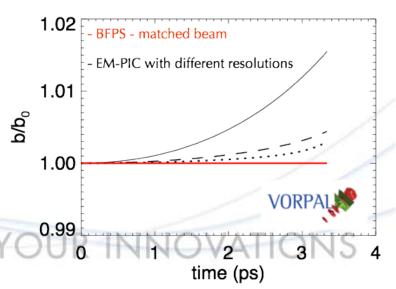
• The uniformly-filled beam envelope equation has been modified in 2D slab geometry: here *a* is the beam half-length, *b* the radius, *y* the transverse coordinate,  $k_v$  the focusing wave number,  $\varepsilon_v$  the transverse geometric emittance

$$y'' + k_y^2 y - \frac{q^2 N/L}{m\beta^2 c^2 \gamma \pi \varepsilon_0 b(\gamma a + b)} y - \frac{\varepsilon_y^2}{y^3} = 0$$



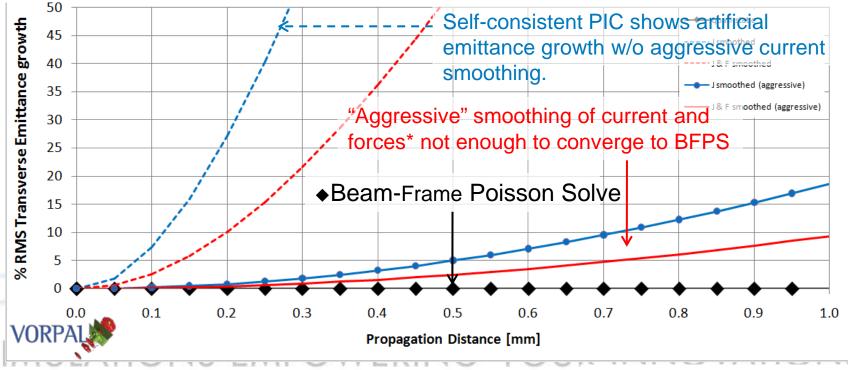
- The equation assumes correct cancellation of the transverse forces  $\gamma >> 1$
- Evolution of theoretically matched beam in a linear focusing field
  - BFPS shows constant radius over 1 mm
  - EM PIC suffers from interpolation errors, higher resolution reduces artificial radius growth (2<sup>nd</sup> order convergence)





BFPS shows no emittance growth for matched e- beam

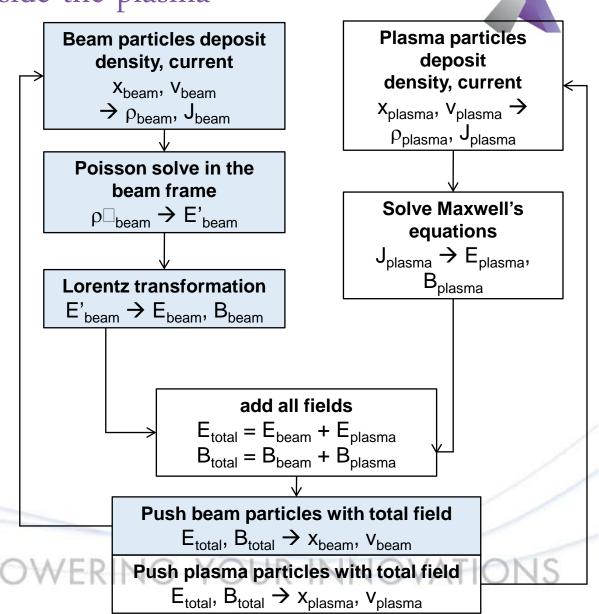
- Theoretically matched beam in linear focusing field
- Beam parameters characteristic of a m-scale LPA stage:
  - 300 pC, 1 GeV Gaussian e- beam,  $\varepsilon_{ny}$  = 0.01 mm mrad,  $\delta\gamma/\gamma$  = 1%
- EM PIC shows artificial emittance growth even with aggressive smoothing and higher resolution



<sup>\*</sup> J.-L. Vay et al., Phys. Plasmas 18 (2011)

#### BFPS is also valid inside the plasma Beam particles deposit density, current Plasma particles deposit

- Linearity of Maxwell's equations allows separate treatment of the beam in the plasma
  - beam and plasma must be separate at time 0
  - all particles respond to the combined fields
- Algorithm made possible by generality of Vorpal's structure, controlled from the input file



#### BFPS can be used inside the plasma wakefield to prevent artificial emittance growth

- Transverse fields when beam has propagated in the plasma
  - (a) self-consistent EM PIC

15

0

-15

15

0

-15

80

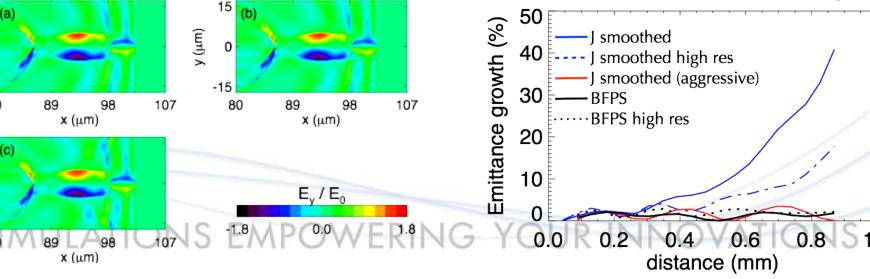
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- (b) self-consistent EM PIC with separate updates for the beam and the plasma
- (c) beam fields calculated with the **BFPS**

- 100 MeV stage,  $n_0 = 10^{19} \text{ cm}^{-3}$
- 10 pC,  $\varepsilon_{nv}$  = 0.5 mm mrad, Gaussian e-beam matched to the wakefield focusing field
- method can also be used in the boosted frame
- will enable m-scale LPA stage simulations with low particle noise
- will enable parameter scans to optimize BELLA-like 10 GeV stages



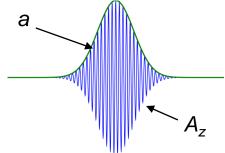
#### Talk highlights:



- Fundamentals of plasma acceleration and future experiments (5 mins)
- Learn about the basics of the FDTD technique and PiC simulation (10mins)
- Have an overview of the simulation software landscape (5 mins)
- Understand the source of common numerical instabilities. (10 mins)
- Find out about cutting edge techniques to improve accuracy (15 mins)
  - Quiet loading, enhanced loading
  - Vay push
  - Beam Frame Poisson Solve
  - Smoothing
  - Controlled (sometimes 'Perfect' Dispersion)
- Find out about cutting edge techniques to improve speed (10 mins)
  - Envelope models (with or without phase tracking) & QSA.
  - Boosted frame
- Learn about cutting edge dielectric acceleration algorithms
- If time allows... A few words on computational infrastructure requirements, visualisation and future direction.

Laser envelope model allows orders of magnitude speedup by averaging over laser fas oscillations

- Model the complex envelope a of the oscillating laser vector potential
- Ponderomotive force included in particle push

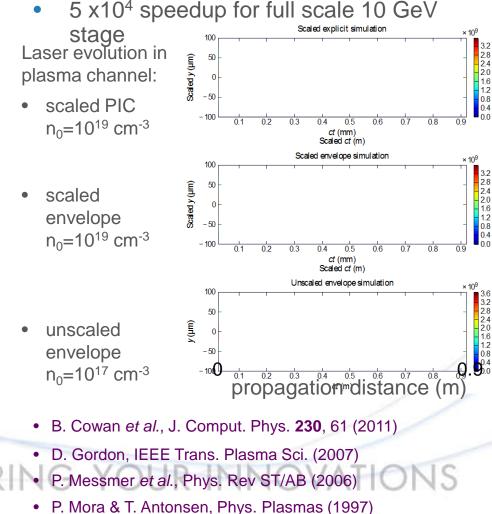


• Envelope model has correct dispersion

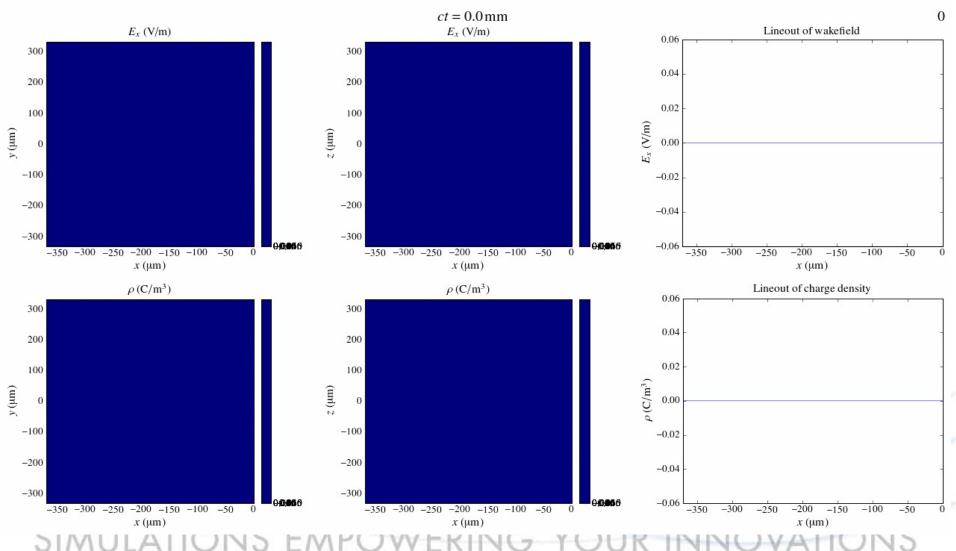
Explicit  $\Delta y = \lambda/3$ 

0

 Good agreement with PIC for laser in channel

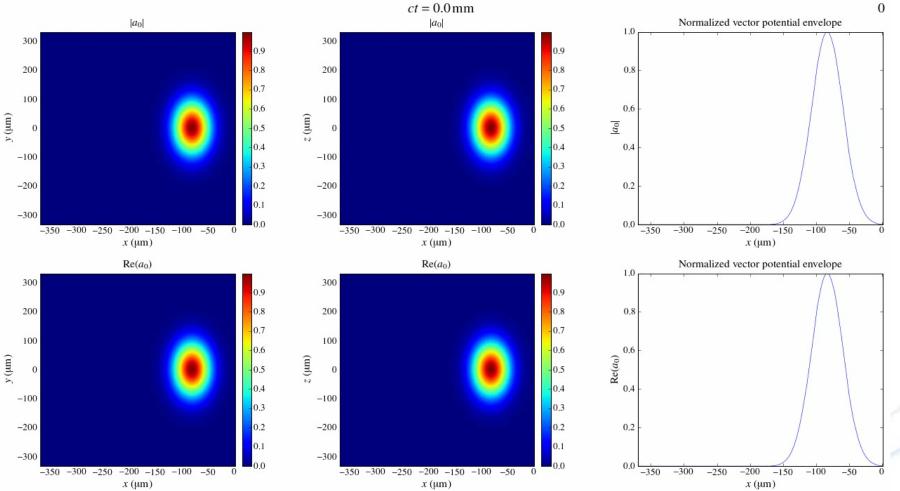


#### 3D run: Wake and charge density



#### 3D run: Envelope fields

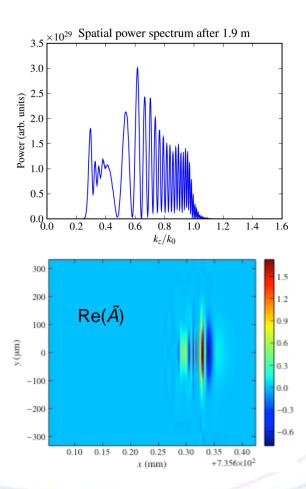






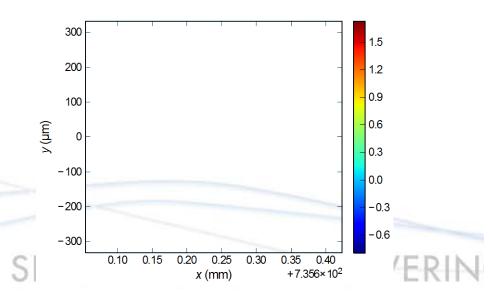
#### Envelope model limitations

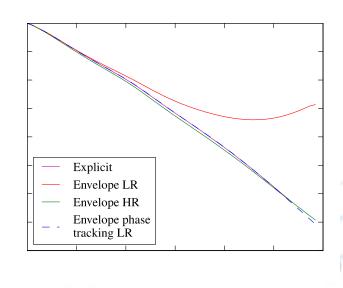
- Spectral limitation
  - Results become suspect close to depletion
  - Spectrum broadens during long propagation distances
  - Envelope becomes unresolved
- Coarse gridding
  - Speedup comes from coarser grid, but then beam becomes unresolved
  - Quasi-static models don't capture trapping



Laser envelope model pushed further in depletion by tracking envelope phase

- Envelope models typically become invalid as laser pulse depletes
  - spectral broadening makes carrier no longer sinusoidal
  - envelope field becomes unresolved
- Tracking evolution of phase oscillations in the envelope field allows laser envelope field to evolve more smoothly
- Envelope field valid further into laser depletion for reasonable resolution





Special features implemented in Vorpal to enable simulation in a Lorentz boosted frame

- Simulation in a Lorentz boosted frame allows significant speedup
  - laser wavelength is increased by a factor  $\sim$  (1+ $\beta_{boost}$ ) $\gamma_{boost}$
  - plasma length contracted by factor  $\gamma_{\text{boost}}$
  - theoretical speedup  $\sim (1 + \beta_{boost})^2 \gamma^2_{boost}$
- Shortening of Rayleigh length requires special laser injector:
  - Implemented in Vorpal laser launcher from a moving plane (enables launching the laser close to focus position) \*
- Requires special diagnostics to compare data between different frames
  - Implemented in Vorpal diagnostics for field and particle data on a moving plane (data can be transformed back to a fixed plane in the lab frame through Lorentz transformation) \*
- Numerical instabilities at the entrance of the plasma at large  $\gamma_{\text{boost}}$  mitigated by using current smoothing with wide stencil \*\*

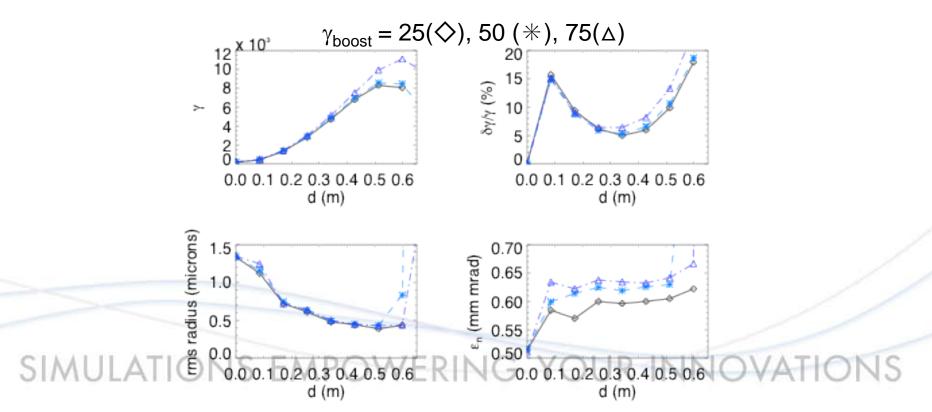
#### \* J.-L. Vay *et al.* PoP **18** (2011) POWERING YOUR INNOVATIONS \*\* J.-L. Vay *et al.*, JCP **230** (2011)





# Boosted frame technique enables full scale 10 GeV LPA stage simulation

- Successful benchmarking of accelerated electron beam properties for different boosted frame velocities at nominal density  $n_0 = 10^{17} \text{ cm}^{-3}$
- Achieved up to 3,500x speedup:
  - normal PIC: 2.5 x 10<sup>6</sup> proc.h
  - boosted frame at  $\gamma_{boost} = 75$ : 706 proc.h



#### Boosted frame references



- J.-L. Vay, *Phys. Rev. Lett.* **98**, 130405 (2007)
- S. F. Martins *et al.*, in *Thirteenth Advanced Accelerator Concepts*, 285 (2009)
- S. F. Martins *et al.*, "Exploring laser-wakefieldaccelerator regimes for near-term lasers using particlein-cell simulation in Lorentz-boosted frames," Nature Physics **6**, 311 (2010).
- S. F. Martins *et al.*, "Numerical simulations of laser wakefield accelerators in optimal Lorentz frames," Comp. Phys. Comm. **181**, 869 (2010).
- S. F. Martins *et al.*, "Modeling laser wakefield accelerator experiments with ultrafast particle- in-cell simulations in boosted frames," Phys. Plasmas **17**, 056705 (2010).

#### Talk highlights:



- Fundamentals of plasma acceleration and future experiments (5 mins)
- Learn about the basics of the FDTD technique and PiC simulation (10mins)
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- Find out about cutting edge techniques to improve speed (10 mins)
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  - Boosted frame
- Learn about cutting edge dielectric acceleration algorithms
- If time allows... A few words on controlled injection, computational infrastructure requirements, visualisation and future direction.

Avenues for higher gradient

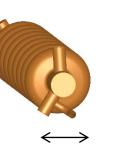


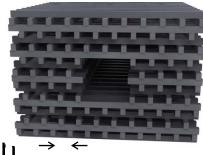
- Gradient fundamentally limited by material breakdown of the accelerator structure
- Dielectric laser acceleration (DLA)
  - Dielectric materials at optical and NIR wavelengths have breakdown threshold 1–2 orders of magnitude higher than metals at microwave frequencies
  - Many concepts from conventional acceleration carry over, but structures themselves are very different
- Laser-plasma acceleration (LPA)
  - Breakdown is not a problem if material is already broken down—a plasma!
  - Dynamics are nonlinear and highly complex

Computational challenges

- Computations of laser-driven acceleration are hard
- DLA: Structures order of magnitude larger, more complex than conventional
- LPA:
  - Separation of length scales poses challenge
  - 10<sup>7</sup>–10<sup>8</sup> grid points, 10<sup>8</sup>–10<sup>9</sup> particles, 10<sup>5</sup>–10<sup>6</sup> time steps in PIC simulation
  - 10<sup>5</sup>–10<sup>6</sup> CPU hours typical
- Advanced simulation tools are critical for modeling laser accelerators

SIMULATIONS EMPOWERIN







Coupling in photonic crystal structures



- A *photonic crystal* can confine fields in a waveguide using a periodic lattice
- Need to couple power into accelerating waveguide without blocking the beam
- Structure is ~order of magnitude larger in each direction than corresponding metallic structure

SIMULATIONS

Accelerating waveguide

Coupling waveguide

VSim features and methods used

- Eigenmode solver
  - First solve for eigenmode in waveguide
  - Launch that mode in coupling structure
- MAL absorbing boundaries
  - Can overlap with dielectric structure while remaining stable
- Power flow history
  - Monitor power across planes to diagnose whether steady-state has been reached

We have made several algorithm advances



- First 2nd-order accurate embedded boundary algorithm for dielectrics
- First scalable frequency domain algorithm for embedded boundary metallics

What is the embedded boundary algorithm for dielectric conformal surfaces?

#### • J. Comput. Phys. 230, 2060-2075 (2011)

A second-order 3D electromagnetic algorithm for curved interfaces between anisotropic dielectrics on a Yee mesh

Carl A. Bauer<sup>a,\*</sup>, Gregory R. Werner<sup>a</sup>, John R. Cary<sup>a,b</sup>

<sup>a</sup>Department of Physics and the Center for Integrated Plasma Studies, University of Colorado, Boulder, Colorado 80309 <sup>b</sup>Tech-X Corporation, Boulder, Colorado 80303

#### Abstract

A new frequency-domain electromagnetic algorithm is developed for simulating curved interfaces between anisotropic dielectrics embedded in a Yee mesh with second-order error in resonant frequencies. The algorithm is systematically derived using the finite integration formulation of Maxwell's equations on the Yee mesh. Second-order convergence of the error in resonant frequencies is achieved by guaranteeing first-order error on dielectric boundaries and second-order error in bulk (possibly anisotropic) regions. Convergence studies, conducted for an analytically solvable problem and for a photonic crystal of ellipsoids with anisotropic dielectric constant, both show second-order convergence in error; the convergence is sufficiently smooth such that Richardson extrapolation yields roughly third-order convergence.



One can have a frequency domain algorithm that scales to very high concurrency

• J. Comput. Phys.: http://arxiv.org/pdf/1301.3794

A fast multigrid-based electromagnetic eigensolver for curved metal boundaries on the Yee mesh  $\stackrel{\bigstar}{\Rightarrow}$ 

Carl A. Bauer<sup>a,\*</sup>, Gregory R. Werner<sup>a</sup>, John R. Cary<sup>a,b</sup>

<sup>a</sup>Department of Physics and the Center for Integrated Plasma Studies, University of Colorado, Boulder, Colorado 80309 <sup>b</sup>Tech-X Corporation, Boulder, Colorado 80303

Parameter scans to optimize coupling

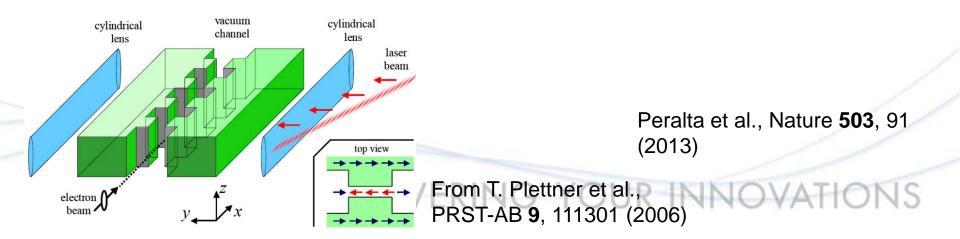


- Manipulated individual rods to improve coupling efficiency
- Found one that increases efficiency from 65% to 94%
- Supercomputers can run multiple jobs simultaneously



#### Grating structures

- Transform free-space mode from laser directly into accelerating field
- Recent results demonstrated high-gradient acceleration
- Dynamics are complex, especially in current experiments
  - Developed realistic model with current experimental parameters



#### Material interactions



- Challenge: Many particles traverse the structure as they propagate
  - Their energy loss is superimposed on measured spectrum
  - Need to model material energy loss with full EM, particle dynamics
- Bremsstrahlung: e-nucleus collisions
- Ionization e<sup>-</sup> collisions with electrons in the medium
  - High energy transfer interactions (relative to ionization energy) can be described by Møller scattering — e<sup>-</sup> collisions with free electrons
  - Mean ionization energy of SiO<sub>2</sub> is *I* = 139.2 eV (according to pdg.lbl.gov)

• Warning: Not all codes/data tables/papers agree

#### Ionization model



- First, apply a deterministic energy loss using the stopping power in the NIST ESTAR database
- Then, apply a random energy loss using a Landau distribution, with parameters from known formulas
- Implemented in VSim, fast performance
- Uses exact Landau distribution for accuracy over many time steps

Bremsstrahlung cross sections



- We get the relevant cross-sections from the data tabulated by Seltzer and Berger (1986)
- Same data used in Geant4
- Data provides values  $\frac{s}{dk}$

for photon energy k

• As  $k \rightarrow 0$ , the differential cross section  $d\sigma/dk$ diverges — the "infrared divergence" — so we treat soft photons separately

Hard photon model

S

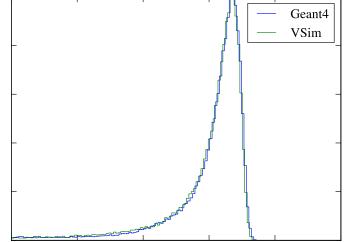


- Above  $y_c$ ,  $\sigma$  is finite, so we can integrate to get the total hard-photon cross section
- From this, compute the mean free path
- For a step of length *L*:
  - Generate a random segment length s based on the mean free path
  - If s > L, no hard photon event occurs
  - If s ≤ L, choose a y based on the differential cross section, then repeat process for a step of length L –

Complete energy loss results



- Propagate 100,000 particles through SiO<sub>2</sub> for 1 mm, in 10 µm steps, in VSim
- Spectrum has excellent agreement with Geant4
- Adjust stopping power function by a constant factor to make peak of spectrum agree with Geant4—this results in an overall shift of the spectrum



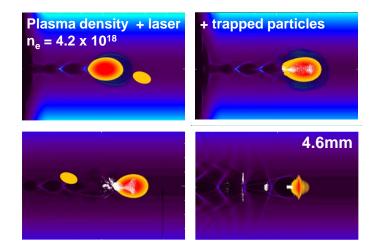
## Design controlled injection for high quality electron beams (if time)

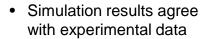
# Vorpal is used to design injection of high quality beams in LPA

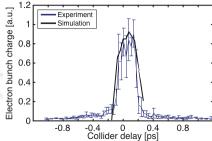
- Simulations allow design and optimization of production of high quality beam through controlled injection
  - 2-pulse colliding pulse injection:
    - simulations results agree with experimental data
    - parameter scans determine best conditions for production of highest quality electron beams – guide experimental parameters
  - Ionization injection:
    - modeling of current and future experiments possible in Vorpal through implementation of general ionization formula
    - successful benchmark with VLPL
- Post processing routine allow calculation of X-Ray spectra produced by the beam betatron oscillations
- Will are looking at further developments to integrate non linear optimization software to improve our simulations.

#### Simulations help design colliding pulse injection experiments

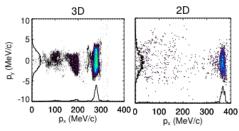


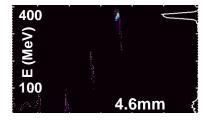




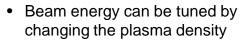


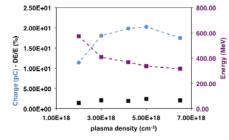
• 3D and 2D simulations show similar results





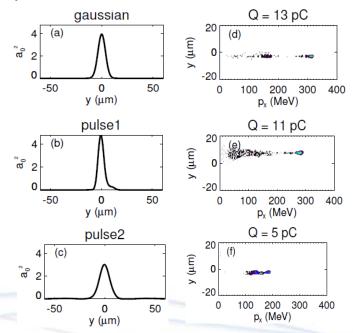
- Colliding pulse injection produce high quality electron beams
- Simulations allow parameter scan to predict best experimental setup



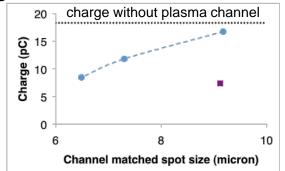


# Simulations help study non-ideal effects in experiments

- Small deviation from Gaussian pulse modifies the beam position, charge and energy significantly.
- Deformable mirror is installed in experiments to control laser modes.



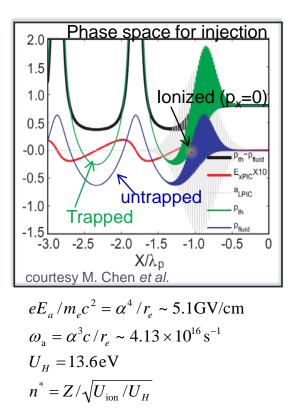
- Control of laser focusing with plasma channel is important to conserve charge in the beam
- When the laser focuses, the bubble length decreases, which truncates the back of the electron beam, resulting in loss of charge
- Optimal channel depth maximize charge in the beam while guiding laser at high intensity for electron beam larger energy gain



## General tunneling ionization formula implemented in Vorpal allows simulation of ionization injection

- Injection by ionization at phase where stationary particle is on trapped orbit \*
- Achieved through high Z gas with state near I<sub>laser,peak</sub>
- General AC and DC ionization rates implemented in Vorpal \*\*
  - DC model for explicit PIC
  - AC model when averaging over laser oscillations (envelope model)

$$W_{\rm AC} = \omega_a \sqrt{3} \left(\frac{e}{\pi}\right)^{3/2} \frac{Z^2}{n^{*9/2}} \left(4e \frac{E_a}{E_L} \frac{Z^3}{n^{*4}}\right)^{2n^* - 3/2} \exp\left[-\frac{2}{3} \frac{E_a}{E_L} \left(\frac{Z}{n^*}\right)^3\right]$$
$$W_{\rm AC} = \left[\frac{3}{\pi} \frac{E_L}{E_a} \left(\frac{U_H}{U_{ion}}\right)^{3/2}\right]^{1/2} W_{\rm DC}$$

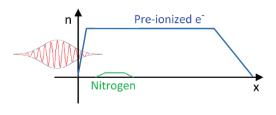


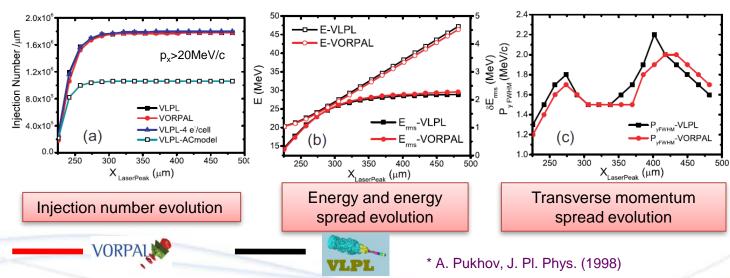
\* M. Chen *et al.*, Phys. Plasmas 19 (2012), M. Chen *et al.*, J. Appl. Phys. 99 (2006) \*\*M. Chen *et al.*, AAC 2012, JCP 236 (2013)



# Benchmarking successful between Vorpal and VLPL on a case of ionization injection in LPA

- Nitrogen mixed to hydrogen gas is ionized by the laser field leading to trapping of electrons and acceleration by the plasma wakefield
- Successful benchmarking between Vorpal and VLPL\*
- Benchmarked both trapping process and subsequent propagation and acceleration
- Optimization of LPA ionization injector now possible with Vorpal

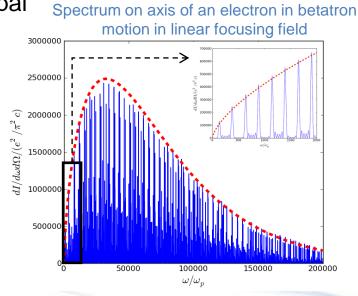




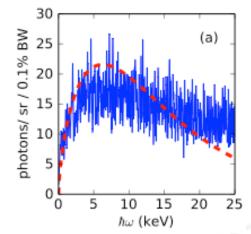
Post-processing allows calculation of X-ray spectrum due to e- betatron oscillation



- Post-processing analysis developed in Vorpal to calculate radiation spectrum from particles in betatron motion
- Benchmarked against theoretical spectrum (test particle in linear focusing field – Esarey *et al.* PRE 2002)
- Calculation of the spectrum from an accelerated electron beam in a focusing field
- Friction force due to emission of synchrotron radiation implemented in Vorpal Spectrum on axis of an electron in betatron



Spectrum from particle beam in linear focusing and accelerating fields



## Talk highlights:



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  - Boosted frame
- Learn about cutting edge dielectric acceleration algorithms
- If time allows... A few words on computational infrastructure requirements, visualisation and future direction. Benchmarking.

## THANK YOU FOR YOUR ATTENTION SIMULATIONS EMPOWERING YOUR INNOVATIONS

Speedup trick: Envelope models Theoretical details



- Major difficulty of explicit PIC simulations is the need to resolve laser wavelength
  - Makes  $\Delta x$  very small  $\Rightarrow$  large grid size
  - Small  $\Delta x$  dominates Courant limit on time step, making  $\Delta t$  very small  $\Rightarrow$  many time steps required
  - Introduced  $1/\lambda^2$  dependence of computation time from longitudinal resolution alone
- Trick: Model the envelope of the laser field instead of the fast oscillations

Relativistic ponderomotive force



- If the laser is modeled by its envelope, how do we advance particles?
  - Reducing envelope to electromagnetic fields requires moving particles through laser oscillations ⇒ oscillations must be resolved
  - So we need to average over fast oscillations

• First define the envelope  $\mathbf{\tilde{A}} = \operatorname{Re} \left[ \mathbf{\tilde{A}} e^{i(\omega t - k_0 x)} \right]$ vector potential:

where  $\omega$  is the laser angular frequency and  $k_0 = \omega/c$ 

Ponderomotive force: Average Lorentz factor justification



- Motion is relativistic, so to average the motion we need average  $\gamma$
- Look at the "quiver energy" of the particles from the fast oscillations:  $m^2c^2$   $= 1 + \frac{q}{2m^2c^2}$

$$\bar{\gamma} = \sqrt{1 + \frac{\left|\mathbf{\bar{p}}\right|^2}{m^2 c^2} + \frac{q^2 \left|\mathbf{\tilde{A}}\right|^2}{2m^2 c^2}}$$

• Add this to the averaged momentum  $\mathbf{p}$ :

Ponderomotive force expression



- The laser field creates an effective "potential" for the plasma particles
- Adds ponderometive force to korrentz force:

(bars denote averaged quantities)

Envelope evolution



• To evolve the laser envelope, start with equation for vector potential in Coulomb or Lorenz gauge  $\frac{1}{c^2} \frac{\partial^2 A}{\partial t^2} - \nabla^2 A = \mu_0 J$ 

$$\begin{aligned} \tau &= t \\ \xi &= x - ct \end{aligned} \implies \begin{cases} \partial_t &= \partial_\tau - c \partial_\xi \\ \partial_x &= \partial_\xi \end{aligned}$$

- Approximate: Envelope varies much slower than fast laser oscillation
- Transform to speed-of-light Galilean frame to apply approximation

Envelope evolution: Light frame



• Transformed equation for vector potential:

$$\left(\frac{1}{c^2}\frac{\partial^2}{\partial\tau^2} - \frac{2}{c}\frac{\partial}{\partial\tau}\frac{\partial}{\partial\xi} - \nabla_{\perp}^2\right)\mathbf{A} = \mu_0\mathbf{J}$$

- Next, apply envelope definition  $\mathbf{A}_{\Re} = \operatorname{Re}(\tilde{\mathbf{A}}e^{-ik_0x})$ to obtain  $\frac{1}{c^2}\frac{\partial^2 \tilde{\mathbf{A}}}{\partial \tau^2} - \frac{2}{c}\frac{\partial}{\partial \tau}\left(\frac{\partial \tilde{\mathbf{A}}}{\partial \xi} - ik_0 \tilde{\mathbf{A}}\right) - \nabla_{\perp}^2 \tilde{\mathbf{A}} = \mu_0 \tilde{\mathbf{J}}$
- Approximate: Envelope evolves slowly in light frame, so drop first term

$$\frac{2}{c}\frac{\partial}{\partial\tau}\left(\frac{\partial\tilde{\mathbf{A}}}{\partial\xi}-ik_{0}\tilde{\mathbf{A}}\right)+\nabla_{\perp}^{2}\tilde{\mathbf{A}}=-\mu_{0}\tilde{\mathbf{J}}$$

Envelope evolution: Oscillating current



- To determine the linear relationship between  $\tilde{A}$  and , use  $\tilde{J}$  the averaged Lorentz factor:  $\tilde{p} = -q\tilde{A} \implies \tilde{v} = -\frac{q\tilde{A}}{\bar{v}m}$
- Sum up currents from ensemble of particles, with  $\rho_i$  the charge density of the *i*th particle:

$$\tilde{\mathbf{J}} = \left(-\sum_{i} \frac{q\rho_i}{\bar{\gamma}_i m}\right) \tilde{\mathbf{A}}$$

• We can then define the plasma susceptibility:  $\chi = \mu_0 \sum_{i} \frac{q\rho_i}{\bar{\gamma}_i m}$ 

Note that this corresponds to the square of the local plasma wavenumber,  $k_p^2$ .

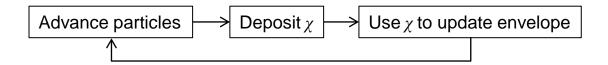




• Final envelope evolution equation:

$$\left[\frac{2}{c}\frac{\partial}{\partial\tau}\left(\frac{\partial}{\partial\xi}-ik_0\right)+\nabla_{\perp}^2\right]\tilde{\mathbf{A}}=\chi\tilde{\mathbf{A}}$$

• The envelope update cycle:

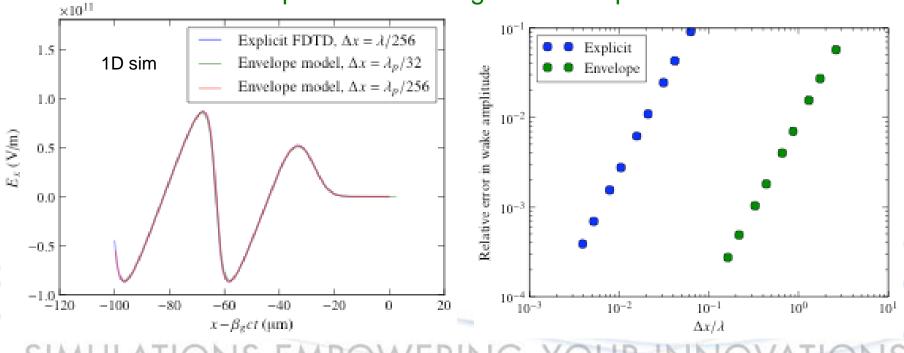


- Implicit solve required to update envelope
- Use of  $\chi$  gives self-consistent behavior

Envelope sanity check: Convergence



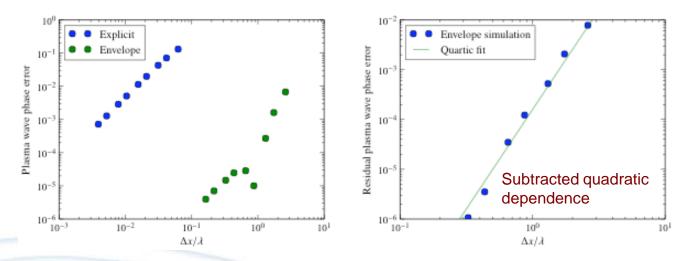
- Does the envelope model exhibit second-order error?
- Look at wake amplitude and phase in 1D
- $n = 10^{24}$ ,  $a_0 = 2.0$ , matched pulse length
- Obtained quadratic convergence in amplitude



Phase convergence



- Used wakefield zero-crossing to determine phase, correcting for dump time
- Phase exhibits quadratic convergence at high resolution
- Quartic dependence dominates at low resolution



Dispersion relation and group velocity



- Transform to speed-of-light frame phase-space coordinates ( $\omega'$ ,  $k_x'$ ):  $\omega' = \omega ck_x$ ,  $k_x' = k_x k_0$ ; let  $k' = \omega'/c$
- Define parameter  $k_1$  for matched spot in channel by

$$k_1^2 = k_p^{(0)^2} + \frac{2}{w_y^2} + \frac{2}{w_z^2}$$

• Can then find dispersion relations and group velocities:

Exact Maxwell: 
$$k' = \sqrt{(k_0 + k'_x)^2 + k_1^2} - (k_0 + k'_x), \quad \beta'_g = \left(1 + \frac{k_1^2}{k_0^2}\right)^{-1/2} - 1$$
  
Envelope:  $k' = \frac{k_1^2}{2(k_0 + k'_x)}, \quad \beta'_g = -\frac{k_1^2}{2(k_0 + k'_x)}$   
These expressions 2 agrée to 2<sup>nd</sup> order in  $k_1^2/k_0$ 

Envelope models can improve group velocity



 Explicit FDTD has numerical dispersion: Look at wave propagating along x axis

$$\frac{1}{c^2 \Delta t^2} \sin^2\left(\frac{\omega \Delta t}{2}\right) = \frac{1}{\Delta x^2} \sin^2\left(\frac{k_x \Delta x}{2}\right)$$

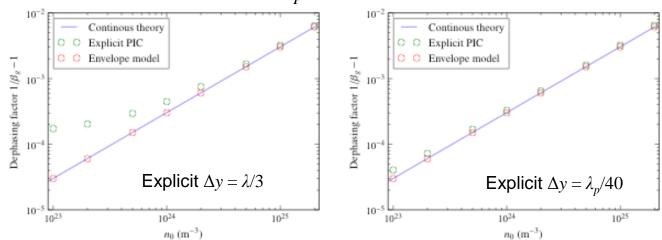
- Group velocity < *c* unless  $c\Delta t = \Delta x$ , which is prohibited in > 1D
- Look at envelope equation in vacuum with no transverse variation:

$$\frac{2}{c}\frac{\partial}{\partial\tau}\left(\frac{\partial}{\partial\xi}-ik_0\right)\tilde{\mathbf{A}}=0$$

•  $\partial/\partial \tau = 0 \Rightarrow$  pulse moves at speed of light

Group velocity test: Linear propagation in plasma channer

- 2D simulation:  $k_p L = 1$ ,  $w_0 = \lambda_p$ ,  $a_0 \ll 1$  for linearity
- Observed numerical dephasing error for both FDTD and envelope, for range of densities
- Envelope:  $\Delta x = \Delta y = \lambda_p/40$ ; explicit:  $\Delta x = \lambda/24$



• Other techniques exist for numerical-dispersion-free propagation along an axis

Envelope model speedup



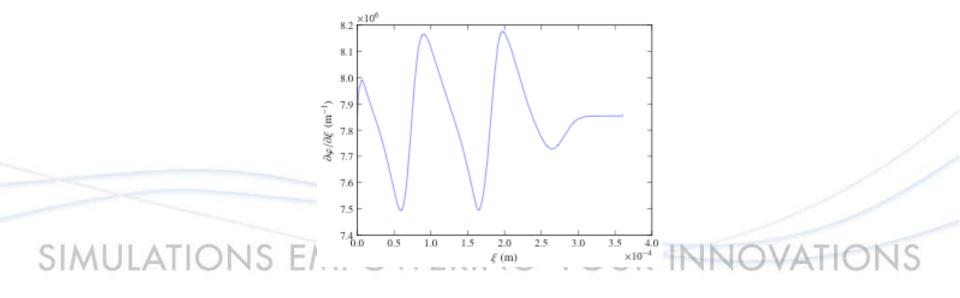
- Meter-scale 3D run required 35,000 CPU hours
- Grid spacing:  $\Delta x = 2.1 \ \mu m$ ,  $\Delta y = \Delta z = 2.6 \ \mu m$
- Grid size: 176 x 252 x 252
- Grid too small to massively parallelize ⇒ used 144 cores
- ~660,000 time steps required; run took ~10 days
- But it's possible!
- 5 orders of magnitude speedup over explicit FDTD

Solution to spectral limitation: Track phase of laser field

• Model envelope with arbitrary longitudinal phase variation

$$A_z = \operatorname{Re}\left[ae^{i\varphi(\tau,\xi)}\right]$$

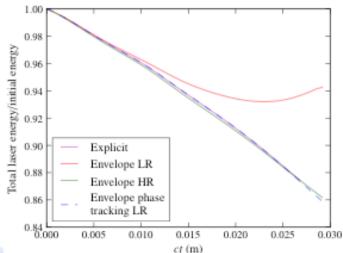
- Here  $\varphi$  is an arbitrary scalar function; does not vary transversely
- Phase remains smooth even when complex components oscillate



Phase tracking solves the problem



- Compared phase-tracked envelope at low resolution to explicit FDTD and original envelope at low and high resolution
  - Used total laser energy benchmark: known to indicate problem
  - Phase-tracked envelope matches known wellresolved cases
    - We can now resolve the laser field over an entire meter-scale stage using the envelope model



Envelope models: References



- Envelope theory
  - T. Antonsen and P. Mora, Phys. Plasmas 4, 217 (1997)
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  - D. Gordon *et al.*, *IEEE Trans. Plasma* Science **28**, 1135 (2000)
  - P. Messmer and D. Bruhwiler, *Phys. Rev. ST Accel. Beams* **9**, 031302 (2006)

## • Light frame envelope model:

- D. Gordon, IEEE Trans. Plasma Science 35, 1486 (2007)
- B. Cowan et al., in Thirteenth Advanced Accelerator Concepts, 309 (2009)
- Quasi-static model:
  - C. Huang et al., J. Comput. Phys. 217, 658 (2006)