## Measurement of transverse Emittance

The emittance characterizes the whole beam quality, assuming linear behavior as described by second order differential equation.
It is defined within the phase space as: $\varepsilon_{x}=\frac{1}{\pi} \int_{A} d x d x^{\prime}$
The measurement is based on determination of:
either profile width $\sigma_{x}$ and angular width $\sigma_{x}{ }^{\prime}$ at one location or $\sigma_{x}$ at different locations and linear transformations.

Different devices are used at transfer lines:
$>$ Lower energies $\boldsymbol{E}_{\boldsymbol{k i n}}<100 \mathrm{MeV} / \mathrm{u}$ : slit-grid device, pepper-pot
(suited in case of non-linear forces).
> All beams: Quadrupole variation, 'three grid' method using linear transformations (not well suited in the presence of non-linear forces)
Synchrotron: lattice functions results in stability criterion
$\Rightarrow$ beam width delivers emittance: $\quad \varepsilon_{x}=\frac{1}{\beta_{x}(s)}\left[\sigma_{x}^{2}-\left(D(s) \frac{\Delta p}{p}\right)\right]$ and $\varepsilon_{y}=\frac{\sigma_{y}^{2}}{\beta_{y}(s)}$

## Definition of transverse Emittance

The emittance characterizes the whole beam quality: $\quad \varepsilon_{x}=\frac{1}{\pi} \int_{A} d x d x^{\prime}$
Ansatz:
Beam matrix at one location: $\quad \boldsymbol{\sigma}=\left(\begin{array}{ll}\sigma_{11} & \sigma_{12} \\ \sigma_{12} & \sigma_{22}\end{array}\right)=\varepsilon \cdot\left(\begin{array}{cc}\beta & -\alpha \\ -\alpha & \gamma\end{array}\right)$ with $\overrightarrow{\mathrm{x}}=\binom{x}{x^{\prime}}$ It describes a 2 -dim probability distr.

The value of emittance is:

$$
\varepsilon_{x}=\sqrt{\operatorname{det} \boldsymbol{\sigma}}=\sqrt{\sigma_{11} \sigma_{22}-\sigma_{12}^{2}}
$$

For the profile and angular measurement:

$$
\begin{aligned}
& x_{\sigma}=\sqrt{\sigma_{11}}=\sqrt{\varepsilon \beta} \text { and } \\
& x_{\sigma}^{\prime}=\sqrt{\sigma_{22}}=\sqrt{\varepsilon \gamma} \\
& \text { Geometrical interpretation: }
\end{aligned}
$$

All points $\boldsymbol{x}$ fulfilling $\boldsymbol{x}^{\boldsymbol{t}} \cdot \boldsymbol{\sigma}{ }^{\mathbf{- 1}} \cdot \boldsymbol{x}=\mathbf{1}$ are located on a ellipse
$\sigma_{22} x^{2}-2 \sigma_{12} x x^{6}+\sigma_{11} x^{62}=\operatorname{det} \sigma=\varepsilon_{x}^{2}$


## The Emittance for Gaussian Beams

The density function for a 2-dim Gaussian distribution is:

$$
\begin{aligned}
& \rho\left(x, x^{\prime}\right)=\frac{1}{2 \pi \epsilon} \exp \left[-\frac{1}{2} \vec{x}^{T} \sigma^{-1} \vec{x}\right] \\
& =\frac{1}{2 \pi \epsilon} \exp \left[\frac{-1}{2 \operatorname{det} \sigma}\left(\sigma_{22} x^{2}-2 \sigma_{12} x x^{\prime}+\sigma_{11} x^{\prime 2}\right)\right]
\end{aligned}
$$

It describes an ellipse with the characteristics profile and angle Gaussian distribution of width

$$
\begin{aligned}
& x_{\sigma} \equiv \sqrt{\left\langle x^{2}\right\rangle}=\sqrt{\sigma_{11}} \text { and } \\
& x_{\sigma}^{\prime} \equiv \sqrt{\left\langle x^{\prime 2}\right\rangle}=\sqrt{\sigma_{22}}
\end{aligned}
$$

and the correlation or covariance

$$
\operatorname{cov} \equiv \sqrt{\left\langle x x^{\prime}\right\rangle}=\sqrt{\sigma_{12}}
$$

For $\mathbf{A}=\left(\begin{array}{ll}a & b \\ c & d\end{array}\right)$ it is $\mathbf{A}^{-1}=\frac{1}{a d-b c}\left(\begin{array}{cc}d & -b \\ -c & a\end{array}\right)$
assuming $\operatorname{det}(\mathbf{A})=a d-b c \neq 0 \Leftrightarrow$ matrix invertible


## The Emittance for Gaussian and non-Gaussian Beams

The beam distribution can be non-Gaussian, e.g. at:
$>$ beams behind ion source
$>$ space charged dominated beams at LINAC \& synchrotron
$>$ cooled beams in storage rings


## General description of emittance

 using terms of 2-dim distribution:It describes the value for 1 stand. derivation

## Covariance

i.e. correlation
$\langle x\rangle \equiv \mu=\frac{\iint x \cdot \rho\left(x, x^{\prime}\right) d x d x^{\prime}}{\iint \rho\left(x, x^{\prime}\right) d x d x^{\prime}}$

$$
\left\langle x^{\prime}\right\rangle \equiv \mu^{\prime}=\frac{\iint x^{\prime} \cdot \rho\left(x, x^{\prime}\right) d x d x^{\prime}}{\iint \rho\left(x, x^{\prime}\right) d x d x^{\prime}}
$$

For discrete distribution:
$\left\langle x^{n}\right\rangle=\frac{\iint(x-\mu)^{n} \cdot \rho\left(x, x^{\prime}\right) d x d x^{\prime}}{\iint \rho\left(x, x^{\prime}\right) d x d x^{\prime}} \quad\left\langle x^{\prime n}\right\rangle=\frac{\iint\left(x^{\prime}-\mu^{\prime}\right)^{n} \cdot \rho\left(x, x^{\prime}\right) d x d x^{\prime}}{\iint \rho\left(x, x^{\prime}\right) d x d x^{\prime}} \quad\langle x\rangle=\frac{i, j}{\sum_{i, j} \rho(i, j)}$
covariance : $\left\langle x x^{\prime}\right\rangle=\frac{\iint(x-\mu)\left(x^{\prime}-\mu^{\prime}\right) \cdot \rho\left(x, x^{\prime}\right) d x d x^{\prime}}{\iint \rho\left(x, x^{\prime}\right) d x d x^{\prime}}$
and correspondingly for all other moments

## The Emittance for Gaussian and non-Gaussian Beams

The beam distribution can be non-Gaussian, e.g. at:
$>$ beams behind ion source
$>$ space charged dominated beams at LINAC \& synchrotron
Covariance
$>$ cooled beams in storage rings


## General description of emittance

using terms of 2-dim distribution:
It describes the value for 1 stand. derivation

For Gaussian beams only:
$\varepsilon_{r m s} \leftrightarrow$ interpreted as area containing a fraction $f$ of ions: $\varepsilon(f)=-2 \pi \varepsilon_{r m s} \cdot \ln (1-f)$

| factor to $\epsilon_{r m s}$ | $1 \cdot \epsilon_{r m s}$ | $\pi \cdot \epsilon_{r m s}$ | $2 \pi \cdot \epsilon_{r m s}$ | $4 \pi \cdot \epsilon_{r m s}$ | $6 \pi \cdot \epsilon_{r m s}$ | $8 \pi \cdot \epsilon_{r m s}$ |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: |
| faction of beam $f[\%]$ | 15 | 39 | 63 | 86 | 95 | 98 |

Care: no common definition of emittance concerning the fraction $f$

## Outline:

$>$ Definition and some properties of transverse emittance
$>$ Slit-Grid device: scanning method scanning slit $\rightarrow$ beam position \& grid $\rightarrow$ angular distribution
$>$ Pepper-pot device: single shot device
$>$ Quadrupole strength variation and position measurement
$>$ Summary

## The Slit-Grid Measurement Device

Slit-Grid: Direct determination of position and angle distribution.
Used for protons/heavy ions with $E_{\text {kin }}<100 \mathrm{MeV} / \mathrm{u} \Rightarrow$ range $R<1 \mathrm{~cm}$.
Hardware


Slit: position $\boldsymbol{P}(\boldsymbol{x})$ with typical width: 0.1 to 0.5 mm
Distance: 10 cm to 1 m (depending on beam velocity)
SEM-Grid: angle distribution $\boldsymbol{P}\left(\boldsymbol{x}^{\prime}\right)$

## Slit \& SEM-Grid

Slit with e.g. 0.1 mm thickness
$\rightarrow$ Transmission only from $\boldsymbol{\Delta x}$.
Example: Slit of width 0.1 mm (defect)
Moved by stepping motor, 0.1 mm resolution


Beam surface interaction: $\mathrm{e}^{-}$emission
$\rightarrow$ measurement of current.
Example: 15 wire spaced by 1.5 mm :


Each wire is equipped with one $\mathrm{I} / \mathrm{U}$ converter different ranges settings by $\boldsymbol{R}_{\boldsymbol{i}}$
$\rightarrow$ very large dynamic range up to $10^{6}$.

## Display of Measurement Results

The distribution of the ions is depicted as a function of
$>$ Position [mm]
$>$ Angle [mrad]
The distribution can be visualized by
$\Rightarrow$ Mountain plot
$>$ Contour plot
Calc. of $2^{\text {nd }}$ moments $\left\langle x^{2}\right\rangle,\left\langle x^{\prime 2}\right\rangle \&\left\langle x x^{\prime}\right\rangle$
Emittance value $\varepsilon_{r m s}$ from

$$
\varepsilon_{r m s}=\sqrt{\left\langle x^{2}\right\rangle\left\langle x^{\prime 2}\right\rangle-\left\langle x x^{\prime}\right\rangle^{2}}
$$

## $\Rightarrow$ Problems:


$>$ Finite binning results in limited resolution
$\rangle$ Background $\rightarrow$ large influence on $\left\langle x^{2}\right\rangle,\left\langle x^{2,}\right\rangle$ and $\left.\langle\boldsymbol{x} \boldsymbol{x}\rangle\right\rangle$

Or fit of distribution i.e. ellipse to data
$\Rightarrow$ Effective emittance only

Beam: $\mathrm{Ar}^{4+}, 60 \mathrm{KeV}, 15 \mu \mathrm{~A}$
at Spiral2 Phoenix ECR source.
Plot from P. Ausset, DIPAC 2009

## The Resolution of a Slit-Grid Device

The width of the slit $\boldsymbol{d}_{\text {slit }}$ gives the resolution in space $\boldsymbol{\Delta x}=\boldsymbol{d}_{\text {slit }}$.
The angle resolution is $\Delta x^{\prime}=\left(d_{\text {slit }}+2 r_{\text {wire }}\right) / d$
$\Rightarrow$ discretization element $\boldsymbol{\Delta x} \cdot \boldsymbol{\Delta} \boldsymbol{x}^{\prime}$.
By scanning the SEM-grid the angle resolution can be improved.
Problems for small beam sizes or parallel beams.

Hardware


For pulsed LINACs: Only one measurement each pulse $\rightarrow$ long measuring time required.

## The Noise Influence for Emittance Determination

A real measurement of beamlets contains:
$>$ Noise i.e. fluctuation of the output
$>$ Bias i.e. electrical offset from amplifier

$\rightarrow$ Strong influence of noise reduction to
numerical values of $\langle\boldsymbol{x}\rangle,\left\langle\boldsymbol{x}{ }^{\boldsymbol{2}\rangle}\right\rangle$ and $\langle\boldsymbol{x} \boldsymbol{x} \boldsymbol{\prime}\rangle$ and on $\varepsilon_{r m s}$ $\Rightarrow$ Algorithm \& cut-level must be given for evaluation General: Typical error $\boldsymbol{\Delta} \varepsilon / \varepsilon>10 \%$


Example: Dependence of $\varepsilon_{r m s}$ on threshold value


$$
\left\langle x^{\prime 2}\right\rangle=\frac{\int x^{\prime 2} \cdot \rho\left(x, x^{\prime}\right) d x d x^{\prime}}{\int \rho\left(x, x^{\prime}\right) d x d x^{\prime}} \text { for continous values }
$$

$$
\begin{aligned}
& =\frac{\sum_{i, j} x_{i j}^{\prime}{ }^{2} \cdot P\left(x_{i j}, x_{i j}^{\prime}\right)}{\sum_{i, j} P\left(x_{i j}, x_{i j}^{\prime}\right)} \text { for discrete values } \\
\varepsilon_{r m s} & =\sqrt{\left\langle x^{2}\right\rangle\left\langle x^{\prime 2}\right\rangle-\left\langle x x^{\prime}\right\rangle^{2}}
\end{aligned}
$$

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$>$ Summary

## The Pepperpot Emittance Device

$>$ For pulsed LINAC: Measurement within one pulse is an advantage
$>$ If horizontal and vertical direction coupled $\rightarrow 2$-dim evaluation required


Good spatial resolution if many holes are illuminated.
Good angle resolution only if spots do not overlap.

Partly from H.R. Kremers et al., ECRIS 2010


## The Pepperpot Emittance Device at GSI UNILAC

Example GSI-LINAC 0.12 to $11 \mathrm{MeV} / \mathrm{u}$ :
$\rightarrow$ Pepper-pot: $15 \times 15$ holes with $\emptyset 0.1 \mathrm{~mm}$
on a $50 \times 50 \mathrm{~mm}^{2}$ copper plate
$>$ Distance: pepper-pot-screen: 25 cm
$>$ Screen: $\mathrm{Al}_{2} \mathrm{O}_{3}$, Ø 50 mm
with zoom
ion beam


Good spatial resolution if many holes are illuminated. Good angle resolution only if spots do not overlap.

## Result of a Pepperpot Emittance Measurement

Example: $\mathrm{Ar}^{1+}$ ion beam at $1.4 \mathrm{MeV} / \mathrm{u}$, screen image from single shot at GSI:


## Data analysis:

Projection on
horizontal and vertical plane
$\rightarrow$ analog to slit-grid.




The Artist View of a Pepperpot Emittance Device


## Outline:

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$>$ Quadrupole strength variation and position measurement emittance from several profile measurement and beam optical calculation
$>$ Summary

## Particle Trajectory and Characterization of many Particles



## Definition of Offset and Divergence

Horizontal and vertical coordinates at $s=0$ :
$>\boldsymbol{x}:$ Offset from reference orbit in [mm]
$>x^{\prime}$ : Angle of trajectory in unit [mrad]

$$
x^{\prime}=d x / d s
$$

Assumption: par-axial beams:

$>\boldsymbol{x}$ is small compared to $\boldsymbol{\rho}_{\boldsymbol{0}}$
$>$ Small angle with $\boldsymbol{p}_{\boldsymbol{x}} / \boldsymbol{p}_{s} \ll \mathbf{1}$
Longitudinal coordinate:
$>$ Longitudinal orbit difference: $\boldsymbol{l}=\boldsymbol{-} \boldsymbol{v}_{\boldsymbol{0}} \cdot\left(\boldsymbol{t}-\boldsymbol{t}_{\boldsymbol{0}}\right)$ in unit [mm]
$>$ Momentum deviation: $\boldsymbol{\delta}=\left(\boldsymbol{p}-\boldsymbol{p}_{\boldsymbol{0}}\right) / \boldsymbol{p}_{\boldsymbol{0}}$ sometimes in unit [mrad] $=[\%]$
For continuous beam: $l$ has no meaning $\Rightarrow$ set $l \equiv 0 \quad!$
Reference particle: no horizontal and vertical offset $\boldsymbol{x} \equiv \boldsymbol{y} \equiv 0$ and $\boldsymbol{l} \equiv 0$ for all $\boldsymbol{s}$

## Definition of Coordinates

The basic vector is $\mathbf{6}$ dimensional:

$$
\vec{x}(s)=\left(\begin{array}{c}
x \\
x^{\prime} \\
y \\
y^{\prime} \\
l \\
\delta
\end{array}\right)=\left(\begin{array}{c}
\text { hori. spatial deviation } \\
\text { horizontal divergence } \\
\text { vert. spatial deviation } \\
\text { vertical divergence } \\
\text { longitudinal deviation } \\
\text { momentum deviation }
\end{array}\right)=\left(\begin{array}{c}
{[\mathrm{mm}]} \\
{[\mathrm{mrad}]} \\
{[\mathrm{mm}]} \\
{[\mathrm{mrad}]} \\
{[\mathrm{mm}]} \\
{[\% \mathrm{o}]}
\end{array}\right)
$$

$\left.\begin{array}{l}\text { The transformation } \\ \text { from a location } s_{0} \text { to } s_{1} \text { is given } \\ \text { by the Transfer Matrix } \mathbf{R}\end{array} \quad \begin{array}{llllll}\vec{x}\left(s_{1}\right)=\mathrm{R}(\mathrm{s}) \cdot \vec{x}\left(s_{0}\right)\end{array}\right)=\left(\begin{array}{lllll}R_{11} & R_{12} & R_{13} & R_{14} & R_{15} \\ R_{21} & R_{22} & R_{23} & R_{24} & R_{25} \\ R_{26} \\ R_{31} & R_{32} & R_{33} & R_{34} & R_{35} \\ R_{41} & R_{42} & R_{43} & R_{44} & R_{45} \\ R_{46} \\ R_{51} & R_{52} & R_{53} & R_{54} & R_{55} \\ R_{56} \\ R_{61} & R_{62} & R_{63} & R_{64} & R_{65} \\ \text { Remark: At ring accelerator a } \\ \text { comparable (i.e. a bit different) } \\ \text { matrix is called } \mathbf{M}\end{array}\right) \cdot\left(\begin{array}{c}x_{0} \\ x_{0}{ }^{\prime} \\ y_{0} \\ y_{0}{ }^{\prime} \\ l_{0} \\ \delta_{0}\end{array}\right)$

## Some Properties of the Transfer Matrix

$>$ The transformation can be done successive: with with $\mathbf{R}_{1}=\mathbf{R}\left(s_{0} \rightarrow s_{1}\right), \ldots, \mathbf{R}_{n}=\mathbf{R}\left(s_{n-1} \rightarrow s_{n}\right)$ It is $\quad \mathbf{R}=\mathbf{R}_{\boldsymbol{n}} \cdot \mathbf{R}_{n-1} \cdot \ldots \cdot \mathbf{R}_{I}$
> The elements describe the coupling between the components
$R_{11}=(x \mid x), R_{12}=\left(x \mid x^{`}\right), R_{13}=(x \mid y), R_{14}=\left(x \mid y^{`}\right), R_{15}=(x \mid l), R_{16}=(x \mid \delta)$ $R_{21}=\left(x^{‘} \mid x\right), R_{22}=\left(x^{‘} \mid x^{\bullet}\right) \ldots .$.
$>$ If all forces are symmetric along the reference orbit than the horizontal and vertical plane are decoupled:
$\Rightarrow$ sub-matrix is sufficient
$\mathbf{R}=\left(\begin{array}{cccccc}(x \mid x) & \left(x \mid x^{\prime}\right) & 0 & 0 & 0 & (x \mid \delta) \\ \left(x^{\prime} \mid x\right) & \left(x^{\prime} \mid x^{\prime}\right) & 0 & 0 & 0 & \left(x^{\prime} \mid \delta\right) \\ 0 & 0 & (y \mid y) & \left(y \mid y^{\prime}\right) & 0 & 0 \\ 0 & 0 & \left(y^{\prime} \mid y\right) & \left(y^{\prime} \mid y^{\prime}\right) & 0 & 0 \\ (l \mid x) & \left(l \mid x^{\prime}\right) & 0 & 0 & 1 & (l \mid \delta) \\ 0 & 0 & 0 & 0 & 0 & 1\end{array}\right)$
$>$ It is $\boldsymbol{\operatorname { d e t }}(\mathbf{R})=\mathbf{1}$ (Liouville's Theorem) $\Rightarrow \mathbf{R}$ is invertible
$>$ For un-bunched beams: delete row 5 and column 5

## Conservation of Emittance

## Liouville's Theorem:

The phase space density can not changes with conservative e.g. linear forces.
The beam distribution at one location $s_{0}$ is described by the beam matrix $\sigma\left(s_{0}\right)$
This beam matrix is transported from location $s_{0}$ to $\boldsymbol{s}_{1}$ via the transfer matrix

$$
\sigma\left(s_{1}\right)=\mathbf{R} \cdot \sigma\left(s_{0}\right) \cdot \mathbf{R}^{T}
$$

6-dim beam matrix with decoupled horizontal and vertical plane:

$$
\sigma=\left(\begin{array}{cccccc}
\sigma_{11} & \sigma_{12} & 0 & 0 & \sigma_{15} & \sigma_{16} \\
\sigma_{12} & \sigma_{22} & 0 & 0 & \sigma_{25} & \sigma_{26} \\
0 & 0 & \sigma_{33} & \sigma_{34} & 0 & 0 \\
0 & 0 & \sigma_{34} & \sigma_{44} & 0 & 0 \\
\sigma_{15} & \sigma_{25} & 0 & 0 & \sigma_{55} & \sigma_{56} \\
\sigma_{16} & \sigma_{26} & 0 & 0 & \sigma_{56} & \sigma_{66}
\end{array}\right) \quad \begin{gathered}
\text { The beam width concerning } \\
\text { the three coordinates are: } \\
x_{r m s}=\sqrt{\sigma_{11}} \\
y_{r m s}=\sqrt{\sigma_{33}} \\
l_{r m s}=\sqrt{\sigma_{55}}
\end{gathered}
$$

## Some Examples for linear Transformations

Without dispersion one can use the 2 -dim sub-space $\left(x, x^{\prime}\right)$.

- Drift with length $L: \mathbf{R}_{\text {drift }}=\left(\begin{array}{cc}1 & L \\ 0 & 1\end{array}\right)$
- Horizontal focusing with quadrupole constant $k$ and eff. length $L$ :

$$
\mathbf{R}_{\text {focus }}=\left(\begin{array}{cc}
\cos \sqrt{k} L & \frac{1}{\sqrt{k}} \sin \sqrt{k} L \\
-\frac{1}{\sqrt{k}} \sin \sqrt{k} L & \cos \sqrt{k} L
\end{array}\right)
$$

- Horizontal de-focusing with quadrupole constant $k$ and eff. length $L$ :

$$
\mathbf{R}_{\text {defocus }}=\left(\begin{array}{cc}
\cosh \sqrt{k} L & \frac{1}{\sqrt{k}} \sinh \sqrt{k} L \\
-\frac{1}{\sqrt{k}} \sinh \sqrt{k} L & \cosh \sqrt{k} L
\end{array}\right)
$$

For a (ideal) quadrupole with field gradient $g=B_{\text {pole }} / a, B_{\text {pole }}$ is the field at the pole and $a$ the aperture, the quadrupole constant $k=|g| /(B \rho)_{0}$ for a magnetic rigidity $(B \rho)_{0}$.

## Emittance Measurement by Quadrupole Variation

From a profile determination, the emittance can be calculated via linear transformation, if a well known and constant distribution (e.g. Gaussian) is assumed.


## Measurement of transverse Emittance

- The beam width $x_{\max }$ at $s_{1}$ is measured, and therefore $\sigma_{11}\left(1, k_{i}\right)=x_{\text {max }}^{2}\left(k_{i}\right)$.
- Different focusing of the quadrupole $k_{1}, k_{2} \ldots k_{n}$ is used: $\Rightarrow \mathbf{R}_{\text {focus }}\left(k_{i}\right)$, including the drift, the transfer matrix is changed $\mathbf{R}\left(k_{i}\right)=\mathbf{R}_{\text {drift }} \cdot \mathbf{R}_{\text {focus }}\left(k_{i}\right)$.
- Task: Calculation of beam matrix $\sigma(0)$ at entrance $s_{0}$ (size and orientation of ellipse)
- The transformations of the beam matrix are: $\sigma(1, k)=\mathbf{R}(k) \cdot \sigma(0) \cdot \mathbf{R}^{\mathrm{T}}(k)$.
$\Longrightarrow$ Resulting in a redundant system of linear equations for $\sigma_{i j}(0)$ :
$\sigma_{11}\left(1, k_{1}\right)=R_{11}^{2}\left(k_{1}\right) \cdot \sigma_{11}(0)+2 R_{11}\left(k_{1}\right) R_{12}\left(k_{1}\right) \cdot \sigma_{12}(0)+R_{12}^{2}\left(k_{1}\right) \cdot \sigma_{22}(0) \quad$ focusing $k_{1}$
$\sigma_{11}\left(1, k_{n}\right)=R_{11}^{2}\left(k_{n}\right) \cdot \sigma_{11}(0)+2 R_{11}\left(k_{n}\right) R_{12}\left(k_{n}\right) \cdot \sigma_{12}(0)+R_{12}^{2}\left(k_{n}\right) \cdot \sigma_{22}(0)$ focusing $k_{n}$
- To learn something on possible errors, $n>3$ settings have to be performed.

A setting with a focus close to the SEM-grid should be included to do a good fit.

- Assumptions:
- Only elliptical shaped emittance can be obtained.
- No broadening of the emittance e.g. due to space-charge forces.
- If not valid: A self-consistent algorithm has to be used.


## Measurement of transverse Emittance

Using the 'thin lens approximation' i.e. the quadrupole has a focal length of $f$ :
$\mathrm{R}_{\text {focus }}(K)=\left(\begin{array}{cc}1 & 0 \\ -1 / f & 1\end{array}\right) \equiv\left(\begin{array}{cc}1 & 0 \\ K & 1\end{array}\right) \Rightarrow \mathrm{R}(L, K)=\mathrm{R}_{\text {drift }}(L) \cdot \mathrm{R}_{\text {focus }}(K)=\left(\begin{array}{cc}1+L K & L \\ K & 1\end{array}\right)$
Example: Square of the beam width at Measurement of $\sigma(\mathbf{1 , K})=\mathbf{R}(K) \cdot \sigma(\mathbf{0}) \cdot \mathbf{R}^{\mathbf{T}}(K)$ ELETTRA $100 \mathrm{MeV} \mathrm{e}^{-}$Linac, YAG:Ce: $\quad \sigma_{11}(1, K)=L^{2} \sigma_{11}(0) \cdot K^{2}$


$$
\begin{aligned}
& +2 \cdot\left(L \sigma_{11}(0)+L^{2} \sigma_{12}(0)\right) \cdot K \\
& +L^{2} \sigma_{22}(0)+\sigma_{11}(0) \\
\equiv & a \cdot K^{2}-2 a b \cdot K+a b^{2}+c
\end{aligned}
$$

The $\sigma$-matrix at quadrupole is:

$$
\begin{aligned}
\sigma_{11}(0) & =\frac{a}{L^{2}} \\
\sigma_{12}(0) & =-\frac{a}{L^{2}}\left(\frac{1}{L}+b\right) \\
\sigma_{22}(0) & =\frac{1}{L^{2}}\left(a b^{2}+c+\frac{2 a b}{L}+\frac{a}{L^{2}}\right) \\
\epsilon=\sqrt{\operatorname{det} \sigma(0)} & =\sqrt{\sigma_{11}(0) \sigma_{22}(0)-\sigma_{12}^{2}(0)}=\sqrt{a c} / L^{2}
\end{aligned}
$$

G. Penco et al., EPAC'08

## The 'Three Grid Method' for Emittance Measurement

Instead of quadrupole variation, the beam width is measured at different locations:

## The procedure is:

$>$ Beam width $x(i)$ measured at the locations $s_{i}$
$\Rightarrow$ beam matrix element

$$
x^{2}(i)=\sigma_{11}(i)
$$

$>$ The transfer matrix $\mathbf{R}(i)$ is known. (without dipole a $3 \times 3$ matrix.)
$>$ The transformations are:

$$
\sigma(i)=\mathbf{R}(i) \sigma(0) \mathbf{R}^{\mathbf{T}^{(i)}}
$$

$\Rightarrow$ redundant equations:
quadrupole magnet
profile measurement
$\sigma_{11}(1)=R_{11}^{2}(1) \cdot \sigma_{11}(0)+2 R_{11}(1) R_{12}(1) \cdot \sigma_{12}(0)+R_{12}^{2}(1) \cdot \sigma_{22}(0) \quad \mathbf{R}(1): s_{0} \rightarrow s_{1}$
$\sigma_{11}(2)=R_{11}^{2}(2) \cdot \sigma_{11}(0)+2 R_{11}(2) R_{12}(2) \cdot \sigma_{12}(0)+R_{12}^{2}(2) \cdot \sigma_{22}(0) \quad \mathbf{R}(2): s_{0} \rightarrow s_{2}$
$\sigma_{11}(n)=R_{11}^{2}(n) \cdot \sigma_{11}(0)+2 R_{11}(n) R_{12}(n) \cdot \sigma_{12}(0)+R_{12}^{2}(n) \cdot \sigma_{22}(0) \quad \mathbf{R}(n): s_{0} \rightarrow s_{n}$

Peter Forck, JUAS Archamps
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## Results of a 'Three Grid Method' Measurement

Solution: Solving the linear equations like for quadrupole variation or fitting the profiles with linear optics code (e.g. TRANSPORT, WinAgile, MadX).

Example: The hor. and vert. beam envelope and the beam width at a transfer line:


Assumptions: $>$ constant emittance, in particular no space-charge broadening
$>100 \%$ transmission i.e. no loss due to vacuum pipe scraping
$>$ no misalignment, i.e. beam center equals center of the quadrupoles.

## Summary for transverse Emittance Measurement

Emittance measurements are very important for comparison to theory.
It includes size (value of $\varepsilon$ ) and orientation in phase space ( $\sigma_{i j}$ or $\boldsymbol{\alpha}, \boldsymbol{\beta}$ and $\gamma$ ) (three independent values)
Techniques for transfer lines (synchrotron: width measurement sufficient):
Low energy beams $\rightarrow$ direct measurement of $x$ - and $x^{\prime}$-distribution
$>$ Slit-grid: movable slit $\rightarrow \boldsymbol{x}$-profile, grid $\rightarrow x^{\prime}$-profile
>Pepper-pot: holes $\rightarrow \boldsymbol{x}$-profile, scintillation screen $\rightarrow \boldsymbol{x}$ '-profile
All beams $\rightarrow$ profile measurement + linear transformation:
$>$ Quadrupole variation: one location, different setting of a quadrupole
$>$ 'Three grid method': different locations
$>$ Assumptions: $>$ well aligned beam, no steering
$>$ no emittance blow-up due to space charge.

