



## Outline:

- **Signal generation → transfer impedance**
- **Capacitive *button* BPM for high frequencies**
- **Capacitive *shoe-box* BPM for low frequencies**
- **Electronics for position evaluation**
- **BPMs for measurement of closed orbit, tune and further lattice functions**
- **Summary**



## A *Beam Position Monitor* is an non-destructive device for bunched beams

It has a low cut-off frequency i.e. dc-beam behavior can not be monitored

The abbreviation BPM and pick-up PU are synonyms

### 1. It delivers information about the transverse center of the beam

- **Trajectory:** Position of an individual bunch within a transfer line or synchrotron
- **Closed orbit:** central orbit averaged over a period much longer than a betatron oscillation
- **Single bunch position** → determination of parameters like tune, chromaticity,  $\beta$ -function
- Bunch position on a large time scale: bunch-by-bunch → turn-by-turn → averaged position
- Time evolution of a single bunch can be compared to ‘macro-particle tracking’ calculations
- Feedback: fast bunch-by-bunch damping *or* precise (and slow) closed orbit correction

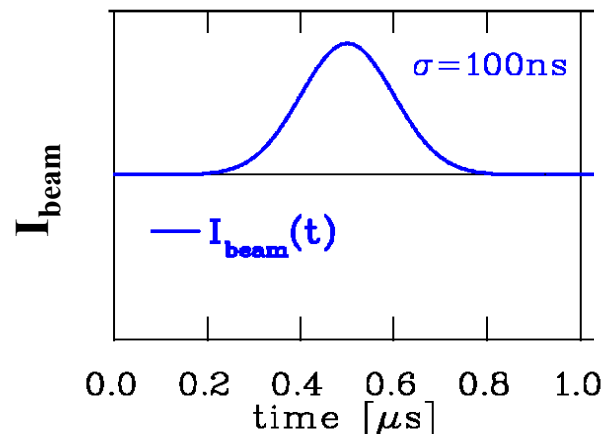
### 2. Information on longitudinal bunch behavior

- **Bunch shape and evolution** during storage and acceleration
- For proton LINACs: the beam **velocity** can be determined by two BPMs
- For electron LINACs: **Phase** measurement by Bunch Arrival Monitor
- **Relative** low current measurement down to 10 nA.

# Excuse: Time Domain ↔ Frequency Domain



**Time domain:** Recording of a voltage as a function of time:



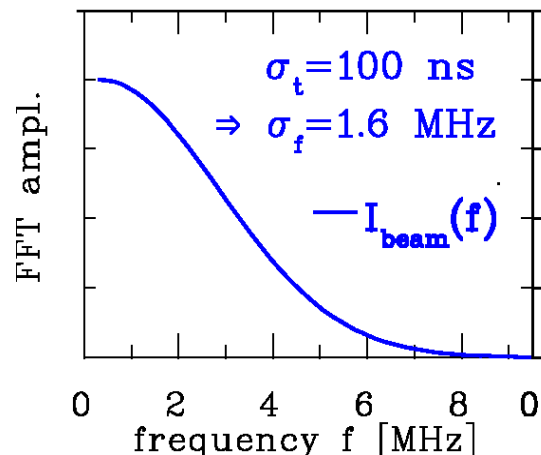
**Instrument:**  
**Oscilloscope**



**Fourier Transformation:**

$$\tilde{f}(\omega) = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{\infty} f(t) e^{-i\omega t} dt$$

**Frequency domain:** Displaying of a voltage as a function of frequency:



**Instrument:**  
**Spectrum Analyzer**



**Fourier Transformation**  
of time domain data  
**Care:** Contains amplitude  
**and** phase

# Excuse: Properties of Fourier Transformation



**Fourier Transform.:**  $\tilde{f}(\omega) \equiv \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{\infty} f(t) e^{-i\omega t} dt$  **Inv. F. T.:**  $f(t) \equiv \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{\infty} \tilde{f}(\omega) e^{i\omega t} d\omega$   
 tech.  $DFT(f)$  or  $FFT(f)$  tech.  $IFFT(f)$

$\Rightarrow$  a process can be described either with  $f(t)$  'time domain' or  $\tilde{f}(\omega)$  'frequency domain'

$\rightarrow$  tech.: DFT is digital FT, FFT is a dedicated algorithm for **fast** calculation with  $2^n$  increments

**No loss of information:** If  $\tilde{f}(\omega) = \frac{1}{\sqrt{2\pi}} \int f(t) e^{-i\omega t} dt$  exists, than  $f(t) = \frac{1}{2\pi} \iint f(\tau) e^{i\omega(t-\tau)} d\omega d\tau$

**FT is complex:**  $\tilde{f}(\omega) \in \mathbb{C} \rightarrow$  tech. amplitude  $A(\omega) = |\tilde{f}(\omega)|$  and phase  $\varphi$

**For**  $f(t) \in \mathbb{R} \Rightarrow A(\omega)$  is even and  $\varphi(\omega)$  is odd function of  $\omega$

**Similarity Law:** For  $a \neq 0$  it is for  $f(at)$ :  $|1/a| \cdot \tilde{f}(\omega/a) = \frac{1}{\sqrt{2\pi}} \int f(at) e^{-i\omega t} dt$

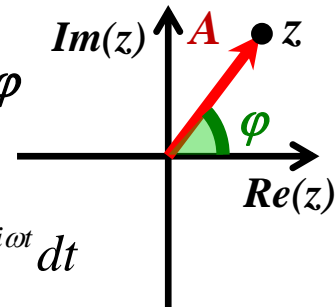
$\rightarrow$  the properties can be scaled to any frequency range; 'shorter time signal have wider FT'

**Differentiation Law:**  $(i\omega)^n \cdot \tilde{f}(\omega) = \frac{1}{\sqrt{2\pi}} \int f^{(n)}(t) e^{-i\omega t} dt$

$\rightarrow$  differentiation in time domain corresponds to multiplication with  $i\omega$  in frequency domain

**Convolution Law:** For  $f(t) = f_1(t) * f_2(t) \equiv \int f_1(\tau) \cdot f_2(t-\tau) d\tau$

$\Rightarrow \tilde{f}(\omega) = \tilde{f}_1(\omega) \cdot \tilde{f}_2(\omega) \rightarrow$  convolution be expressed as multiplication of FT



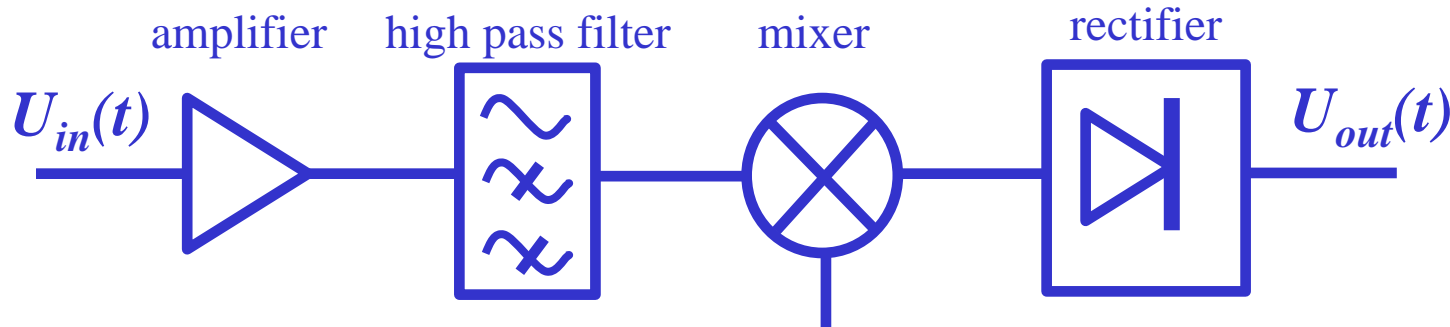
## Excuse: Properties of Fourier Trans. → technical Realization



**Convolution Law:** For  $f(t) = f_1(t) * f_2(t) \equiv \int_{-\infty}^{\infty} f_1(\tau) \cdot f_2(t - \tau) d\tau$   
 $\Rightarrow \tilde{f}(\omega) = \tilde{f}_1(\omega) \cdot \tilde{f}_2(\omega)$

→ convolution in time domain can be expressed as multiplication of FT in frequency domain

**Application:** Chain of electrical elements calculated in frequency domain more easy  
parameters are more easy in frequency domain (bandwidth, f-dependent amplification.....)



**Engineering formulation for finite number of discrete samples:**

Digital Fourier Trans.:  $DFT(f)$ , special numerical algorithm for  $2^n$  samples as Fast FT  $FFT(f)$

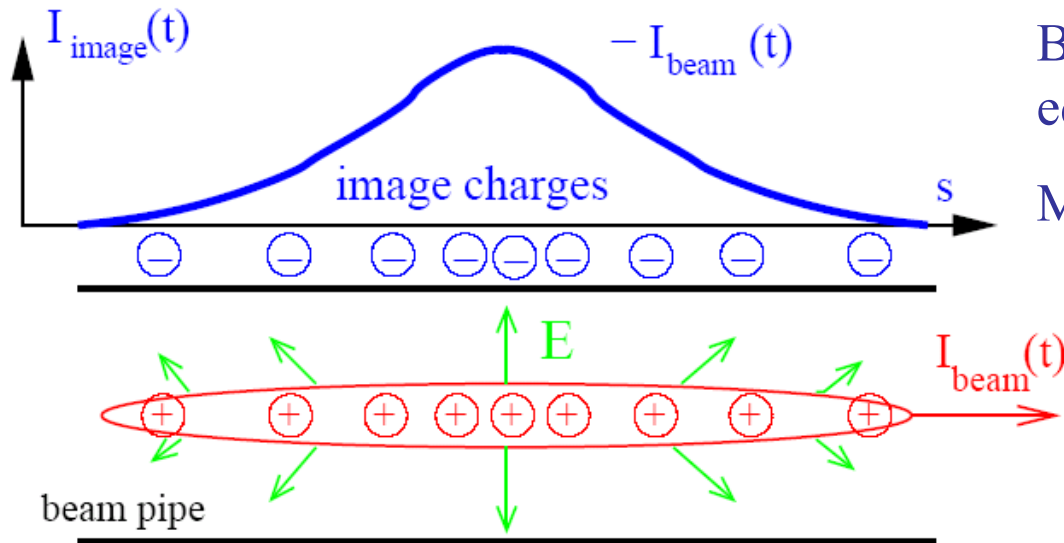
Transfer function  $H(\omega)$  and  $h(t)$  and describe effects of electrical elements

Calculation with  $H(\omega)$  in frequency domain or

$h(t)$  time domain → ‘Finite Impulse Response’ FIR filter or ‘Infinite Impulse Response’ IIR filter

# Pick-Ups for bunched Beams

The image current at the beam pipe is monitored on a high frequency basis  
i.e. the ac-part given by the bunched beam.



Beam Position Monitor **BPM**  
equals Pick-Up **PU**

Most frequent used instrument!

For relativistic velocities,  
the electric field is transversal:  
$$E_{\perp,lab}(t) = \gamma \cdot E_{\perp,rest}(t')$$

## ➤ Signal treatment for capacitive pick-ups:

- Longitudinal bunch shape
- Overview of processing electronics for Beam Position Monitor (BPM)

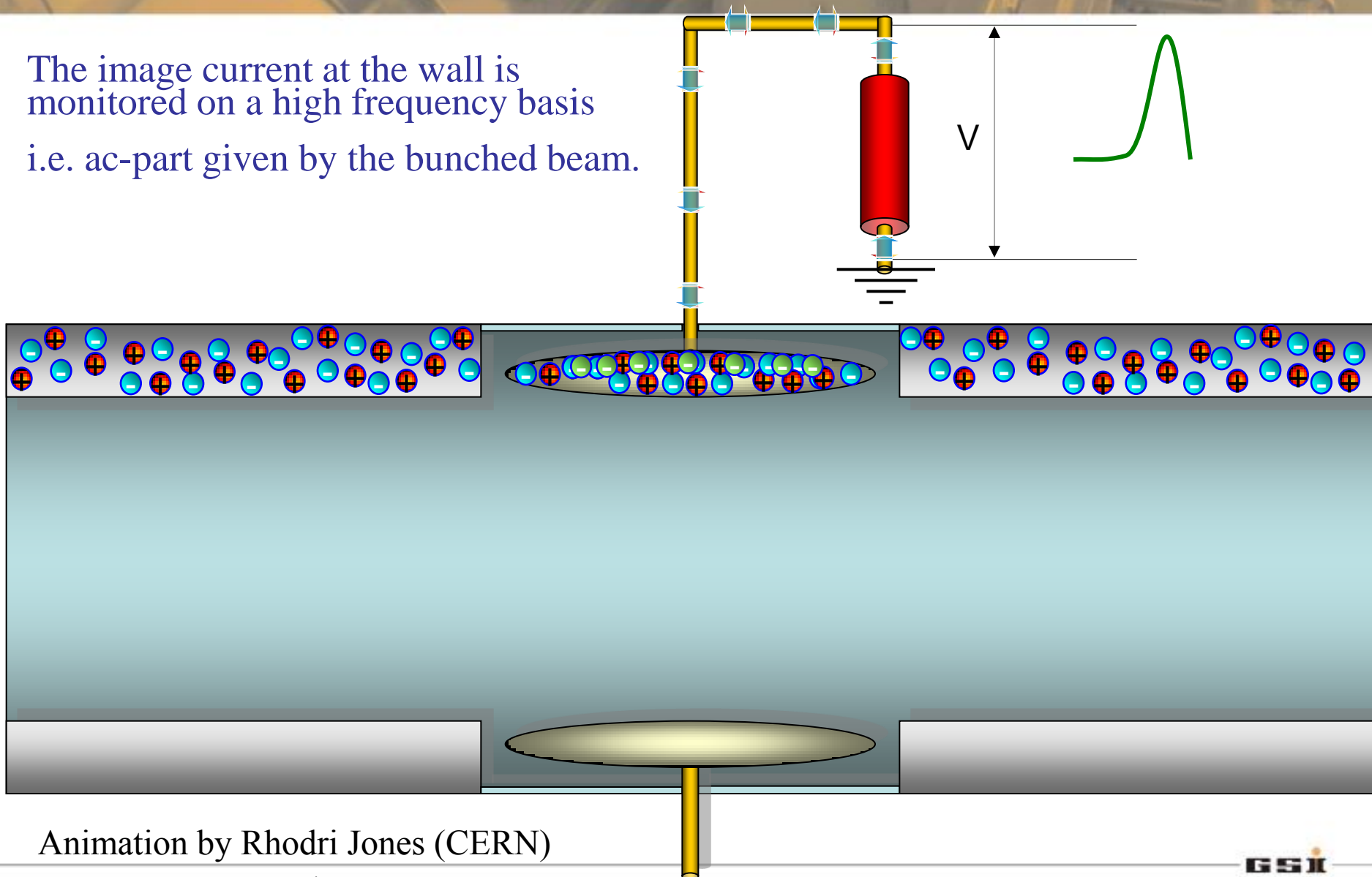
## ➤ Measurements:

- Closed orbit determination
- Tune and lattice function measurements (synchrotron only).



# Principle of Signal Generation of capacitive BPMs

The image current at the wall is monitored on a high frequency basis  
i.e. ac-part given by the bunched beam.



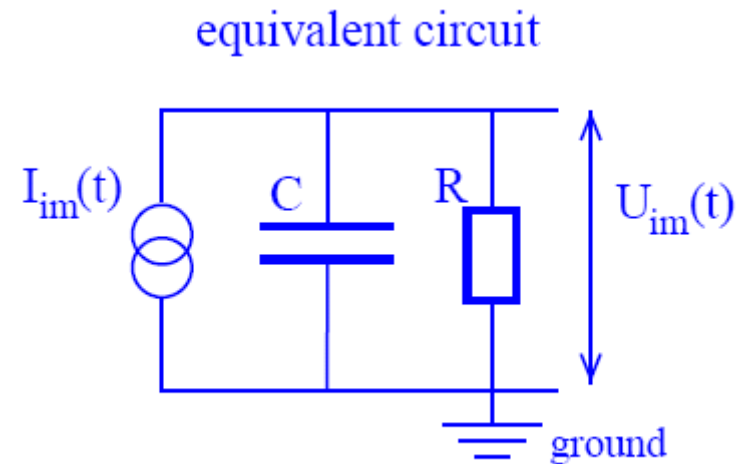
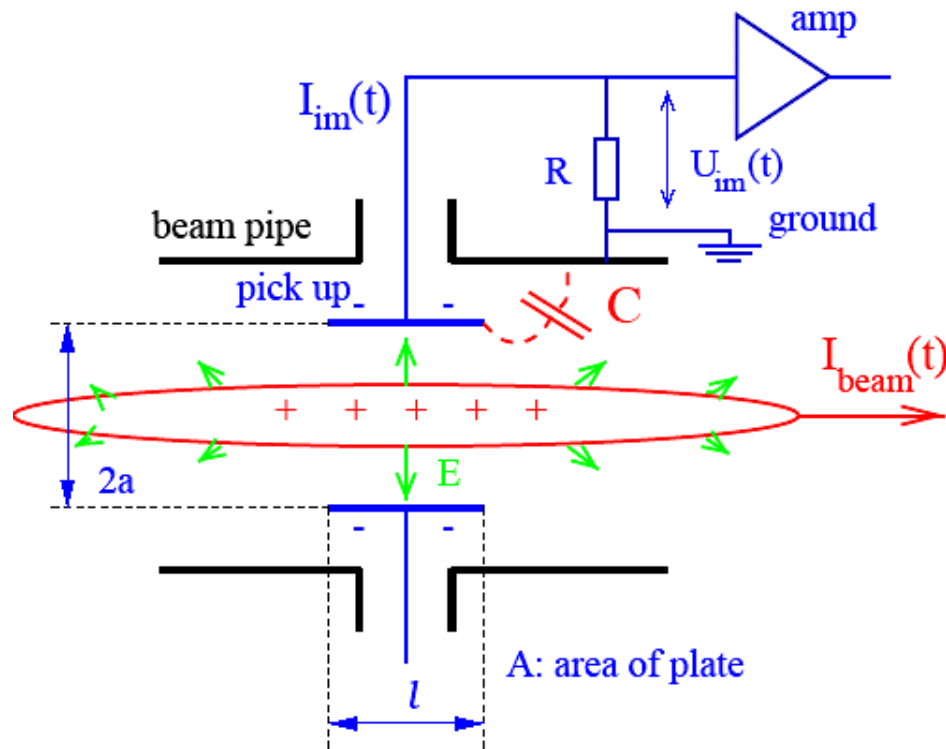
Animation by Rhodri Jones (CERN)

Peter Forck, JUAS Archamps

# Model for Signal Treatment of capacitive BPMs



The wall current is monitored by a plate or ring inserted in the beam pipe:



The image current  $I_{im}$  at the plate is given by the beam current and geometry:

$$I_{im}(t) = -\frac{dQ_{im}(t)}{dt} = \frac{-A}{2\pi al} \cdot \frac{dQ_{beam}(t)}{dt} = \frac{-A}{2\pi a} \cdot \frac{1}{\beta c} \cdot \frac{dI_{beam}(t)}{dt} = \frac{A}{2\pi a} \cdot \frac{1}{\beta c} \cdot i\omega I_{beam}(\omega)$$

Using a relation for Fourier transformation:  $I_{beam} = I_0 e^{-i\omega t} \Rightarrow dI_{beam}/dt = -i\omega I_{beam}$ .



# Transfer Impedance for a capacitive BPM



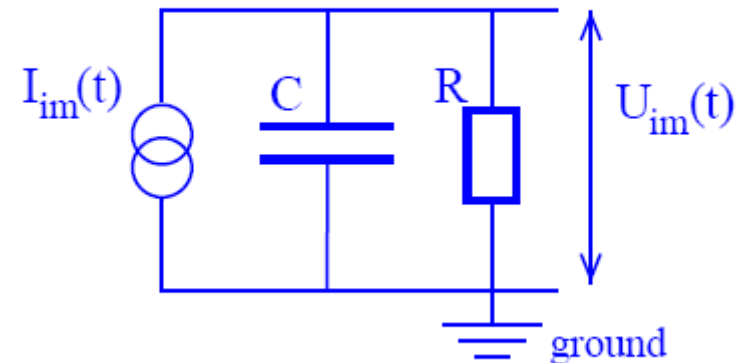
At a resistor  $R$  the voltage  $U_{im}$  from the image current is measured.  
 The transfer impedance  $Z_t$  is the ratio between voltage  $U_{im}$  and beam current  $I_{beam}$   
 in *frequency domain*:  $U_{im}(\omega) = R \cdot I_{im}(\omega) = Z_t(\omega, \beta) \cdot I_{beam}(\omega)$ .

## Capacitive BPM:

- The pick-up capacitance  $C$ :  
 plate ↔ vacuum-pipe and cable.
- The amplifier with input resistor  $R$ .
- The beam is a high-impedance current source:

$$\begin{aligned} U_{im} &= \frac{R}{1 + i\omega RC} \cdot I_{im} \\ &= \frac{A}{2\pi a} \cdot \frac{1}{\beta c} \cdot \frac{1}{C} \cdot \frac{i\omega RC}{1 + i\omega RC} \cdot I_{beam} \\ &\equiv Z_t(\omega, \beta) \cdot I_{beam} \end{aligned}$$

equivalent circuit



$$\frac{1}{Z} = \frac{1}{R} + i\omega C \Leftrightarrow Z = \frac{R}{1 + i\omega RC}$$

This is a high-pass characteristic with  $\omega_{cut} = 1/RC$ :

**Amplitude:**  $|Z_t(\omega)| = \frac{A}{2\pi a} \cdot \frac{1}{\beta c} \cdot \frac{1}{C} \cdot \frac{\omega / \omega_{cut}}{\sqrt{1 + \omega^2 / \omega_{cut}^2}}$  **Phase:**  $\varphi(\omega) = \arctan(\omega_{cut} / \omega)$

# Example of Transfer Impedance for Proton Synchrotron



The high-pass characteristic for typical synchrotron BPM:

$$U_{im}(\omega) = Z_t(\omega) \cdot I_{beam}(\omega)$$

$$|Z_t| = \frac{A}{2\pi a} \cdot \frac{1}{\beta c} \cdot \frac{1}{C} \cdot \frac{\omega / \omega_{cut}}{\sqrt{1 + \omega^2 / \omega_{cut}^2}}$$

$$\varphi = \arctan(\omega_{cut} / \omega)$$

Parameter for shoe-box BPM:

$$C = 100 \text{ pF}, l = 10 \text{ cm}, \beta = 50\%$$

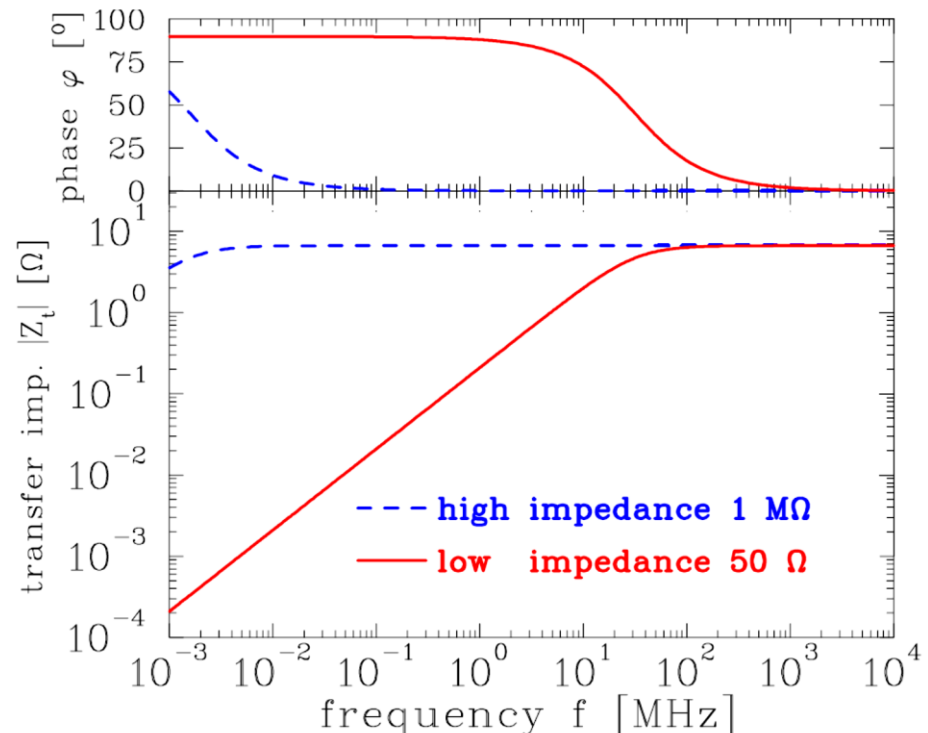
$$f_{cut} = \omega / 2\pi = (2\pi RC)^{-1}$$

$$\text{for } R = 50 \, \Omega \Rightarrow f_{cut} = 32 \text{ MHz}$$

$$\text{for } R = 1 \text{ M}\Omega \Rightarrow f_{cut} = 1.6 \text{ kHz}$$

Large signal strength → **high impedance**

Smooth signal transmission → **50 Ω**



# Signal Shape for capacitive BPMs: differentiated $\leftrightarrow$ proportional



Depending on the frequency range *and* termination the signal looks different:

➤ *High frequency range*  $\omega \gg \omega_{cut}$ :

$$Z_t \propto \frac{i\omega / \omega_{cut}}{1 + i\omega / \omega_{cut}} \rightarrow 1 \Rightarrow U_{im}(t) = \frac{1}{C} \cdot \frac{1}{\beta c} \cdot \frac{A}{2\pi a} \cdot I_{beam}(t)$$

$\Rightarrow$  **direct image** of the bunch. Signal strength  $Z_t \propto A/C$  i.e. nearly independent on length

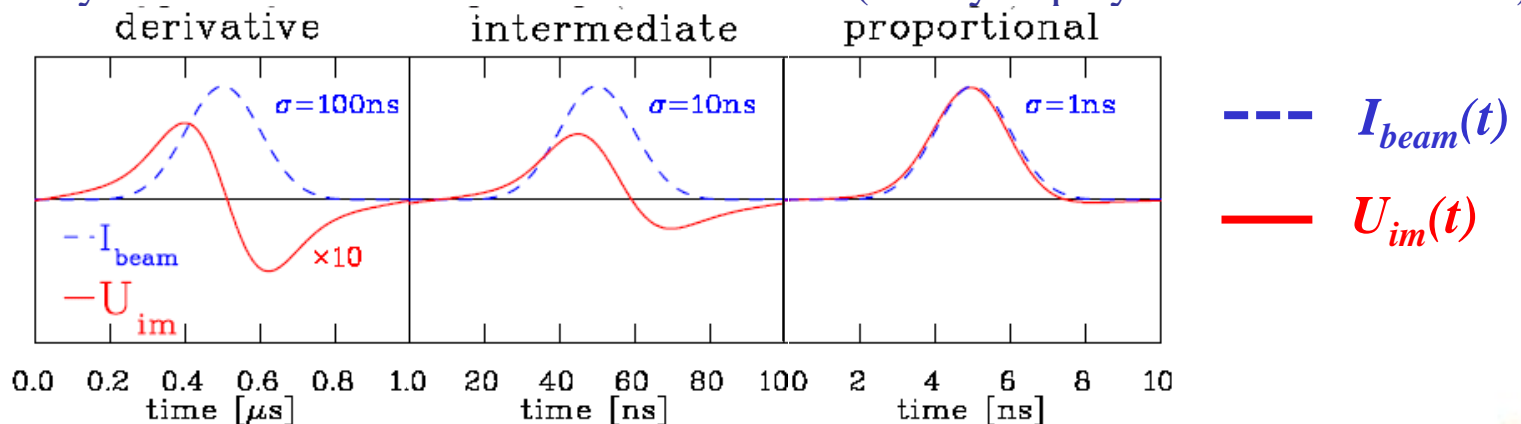
➤ *Low frequency range*  $\omega \ll \omega_{cut}$ :

$$Z_t \propto \frac{i\omega / \omega_{cut}}{1 + i\omega / \omega_{cut}} \rightarrow i \frac{\omega}{\omega_{cut}} \Rightarrow U_{im}(t) = R \cdot \frac{A}{\beta c \cdot 2\pi a} \cdot i\omega I_{beam}(t) = R \cdot \frac{A}{\beta c \cdot 2\pi a} \cdot \frac{dI_{beam}}{dt}$$

$\Rightarrow$  **derivative** of bunch, single strength  $Z_t \propto A$ , i.e. (nearly) independent on  $C$

➤ *Intermediate frequency range*  $\omega \approx \omega_{cut}$ : Calculation using Fourier transformation

Example from synchrotron BPM with  $50 \Omega$  termination (reality at p-synchrotron :  $\sigma \gg 1$  ns):

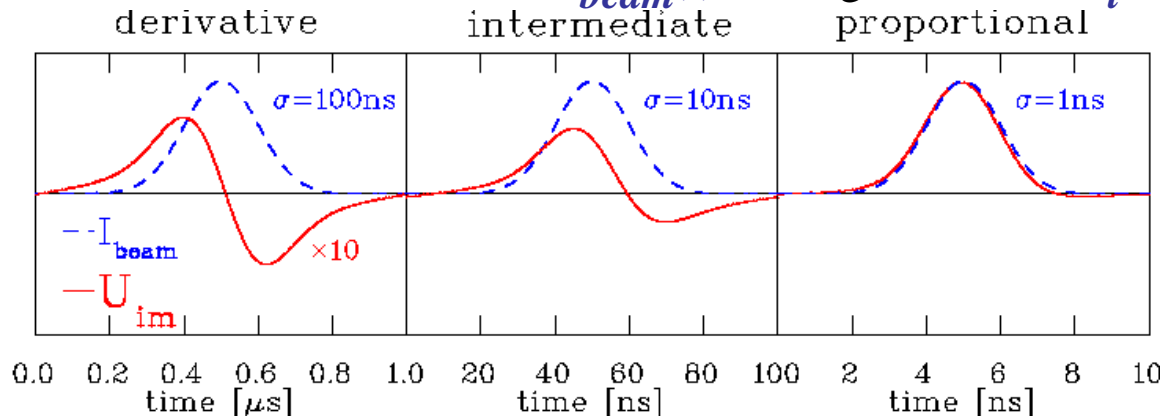


# Calculation of Signal Shape (here single bunch)

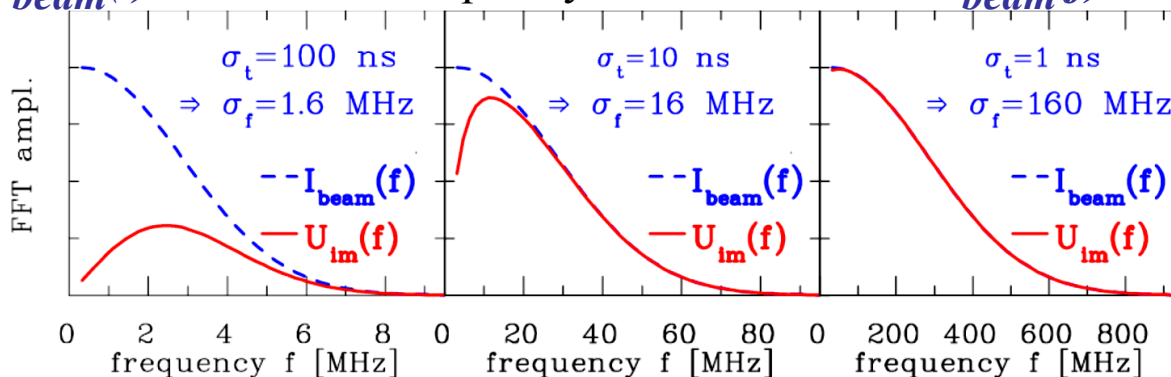


The transfer impedance is used in frequency domain! The following is performed:

- 1. Start:** Time domain Gaussian function  $I_{beam}(t)$  having a width of  $\sigma_t$



- 2. FFT of  $I_{beam}(t)$**  leads to the frequency domain Gaussian  $I_{beam}(f)$  with  $\sigma_f = (2\pi\sigma_t)^{-1}$



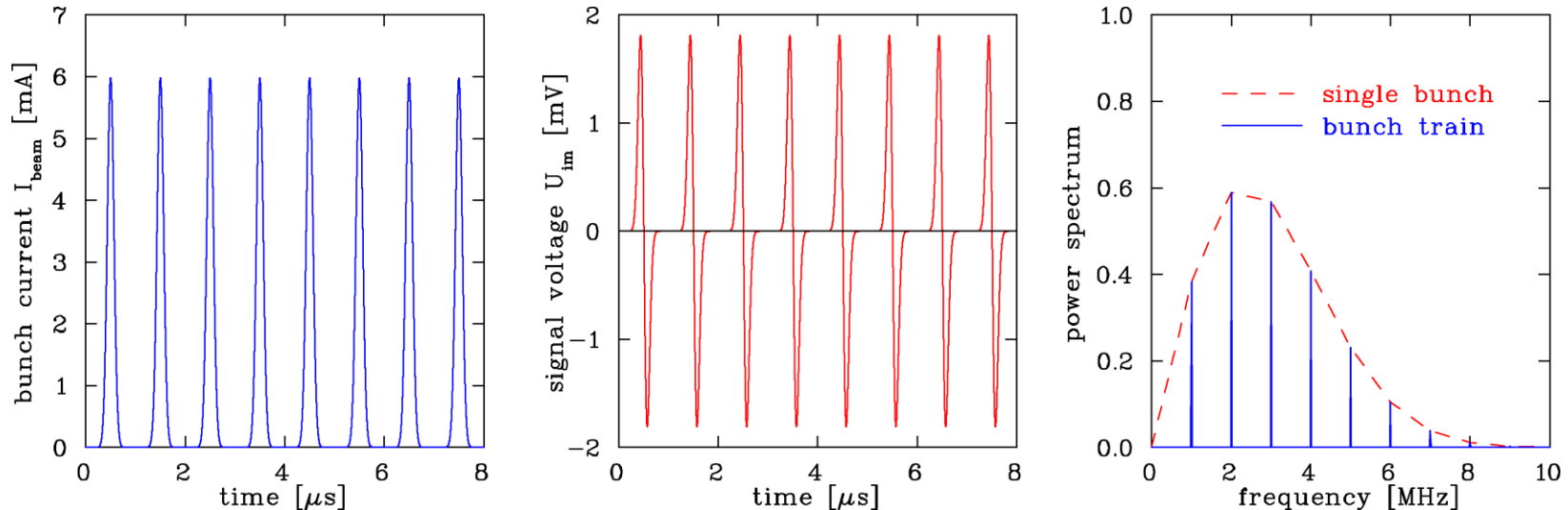
- 3. Multiplication** with  $Z_t(f)$  with  $f_{cut} = 32\text{ MHz}$  leads to  $U_{im}(f) = Z_t(f) \cdot I_{beam}(f)$
- 4. Inverse FFT** leads to  $U_{im}(t)$

# Calculation of Signal Shape: repetitive Bunch in a Synchrotron



Synchrotron filled with 8 bunches accelerated with  $f_{acc}=1$  MHz

BPM terminated with  $R=50\ \Omega \Rightarrow f_{acc} \ll f_{cut}$ :



**Parameter:  $R=50\ \Omega \Rightarrow f_{cut}=32$  MHz, all buckets filled**

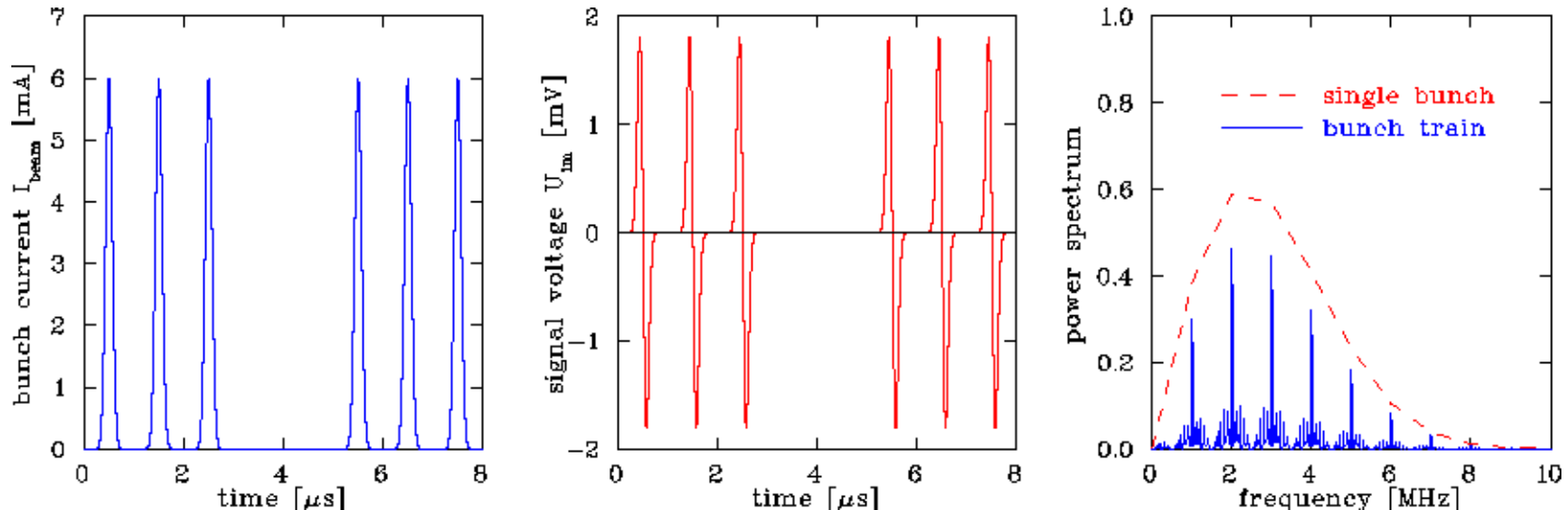
$C=100$  pF,  $l=10$  cm,  $\beta=50\%$ ,  $\sigma_t=100$  ns

- Fourier spectrum is concentrated at acceleration harmonics with single bunch spectrum as an envelope.
- Bandwidth up to typically  $10 \cdot f_{acc}$

# Calculation of Signal Shape: Bunch Train with empty Buckets



Synchrotron during filling: Empty buckets,  $R=50\ \Omega$ :



**Parameter:**  $R=50\ \Omega \Rightarrow f_{\text{cut}}=32\ \text{MHz}$ , 2 empty buckets

$C=100\text{pF}$ ,  $l=10\text{cm}$ ,  $\beta=50\%$ ,  $\sigma=100\ \text{ns}$

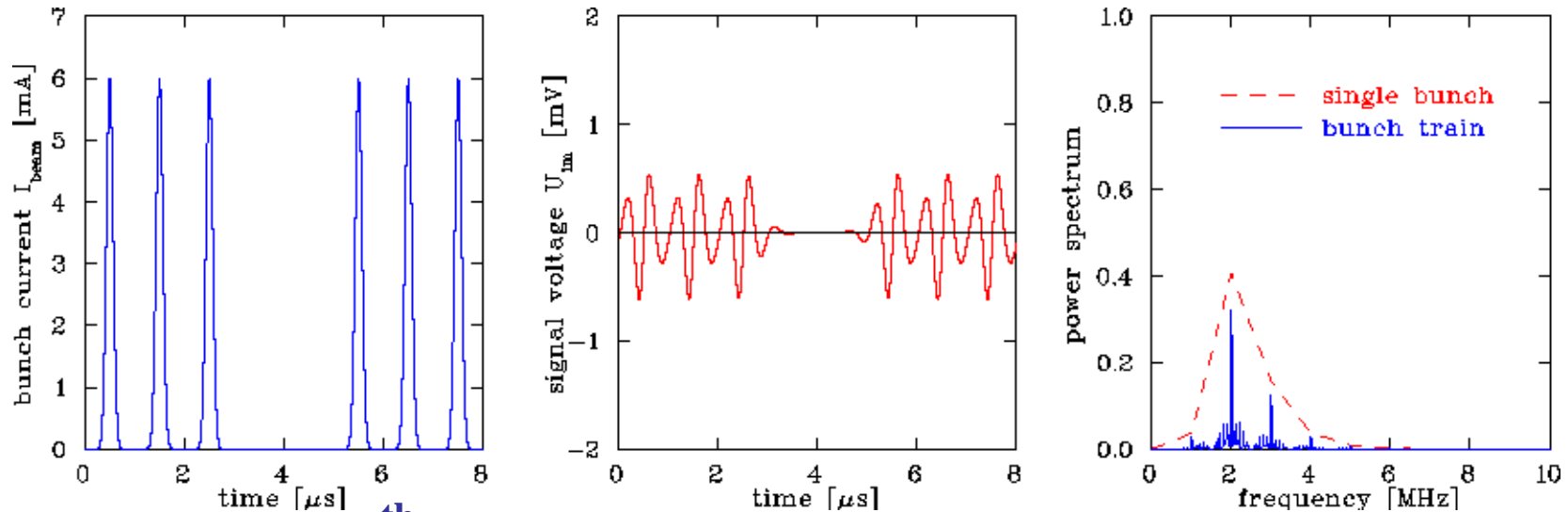
➤ Fourier spectrum is more complex, harmonics are broader due to sidebands



# Calculation of Signal Shape: Filtering of Harmonics



Effect of filters, here bandpass:



Parameter:  $R=50 \Omega$ , 4<sup>th</sup> order Butterworth filter at  $f_{cut}=2$  MHz

$C=100\text{pF}$ ,  $l=10\text{cm}$ ,  $\beta=50\%$ ,  $\sigma=100$  ns

- Ringing due to sharp cutoff
- Other filter types more appropriate

$$\left| \begin{array}{l} n^{\text{th}} \text{ order Butterworth filter, math. simple, but } \textbf{not} \text{ well suited:} \\ |H_{\text{low}}| = \frac{1}{\sqrt{1 + (\omega / \omega_{\text{cut}})^{2n}}} \quad \text{and} \quad |H_{\text{high}}| = \frac{(\omega / \omega_{\text{cut}})^n}{\sqrt{1 + (\omega / \omega_{\text{cut}})^{2n}}} \\ H_{\text{filter}} = H_{\text{high}} \cdot H_{\text{low}} \end{array} \right.$$

Generally:  $Z_{\text{tot}}(\omega) = H_{\text{cable}}(\omega) \cdot H_{\text{filter}}(\omega) \cdot H_{\text{amp}}(\omega) \cdot \dots \cdot Z_t(\omega)$

Remark: For electronics calculation, time domain filters (FIR and IIR) are more appropriate

# Examples for differentiated & proportional Shape



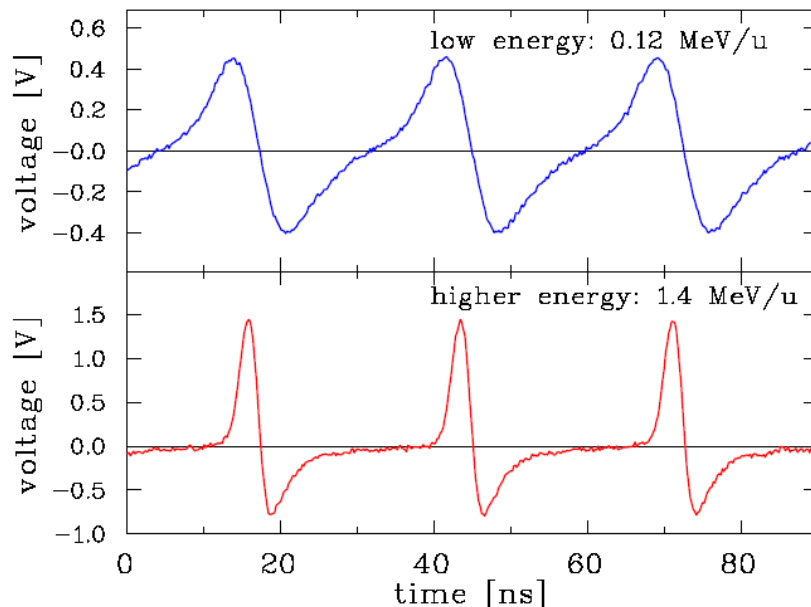
## Proton LINAC, e<sup>-</sup>-LINAC & synchrotron:

$100 \text{ MHz} < f_{rf} < 1 \text{ GHz}$  typically

$R=50 \text{ } \Omega$  processing to reach bandwidth

$C \approx 5 \text{ pF} \Rightarrow f_{cut} = 1/(2\pi RC) \approx 700 \text{ MHz}$

**Example:** 36 MHz GSI ion LINAC



## Proton synchrotron:

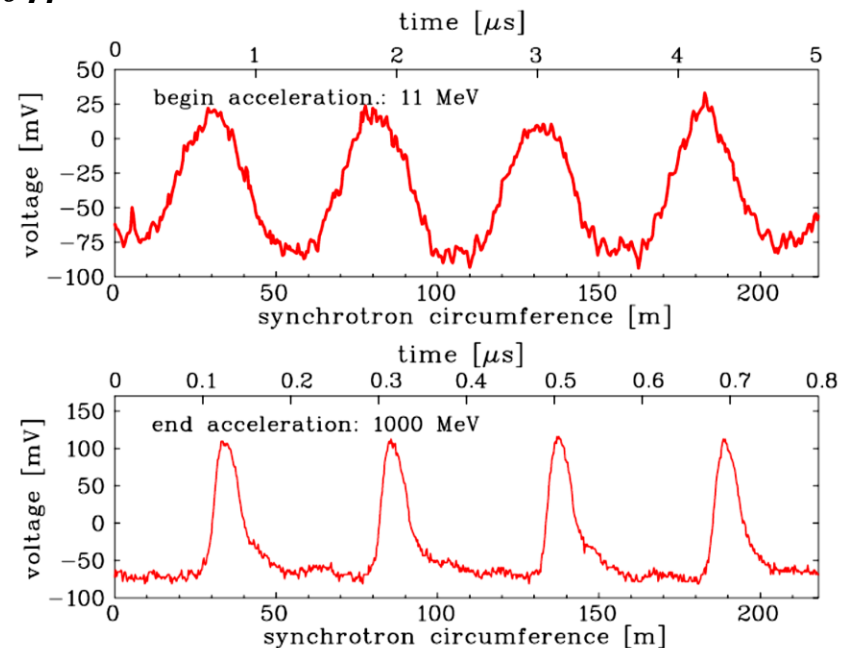
$1 \text{ MHz} < f_{rf} < 30 \text{ MHz}$  typically

$R=1 \text{ M}\Omega$  for large signal i.e. large  $Z_t$

$C \approx 100 \text{ pF} \Rightarrow f_{cut} = 1/(2\pi RC) \approx 10 \text{ kHz}$

**Example:** non-relativistic GSI synchrotron

$f_{rf}: 0.8 \text{ MHz} \rightarrow 5 \text{ MHz}$



**Remark:** During acceleration the bunching-factor is increased: ‘adiabatic damping’.

# Principle of Position Determination by a BPM



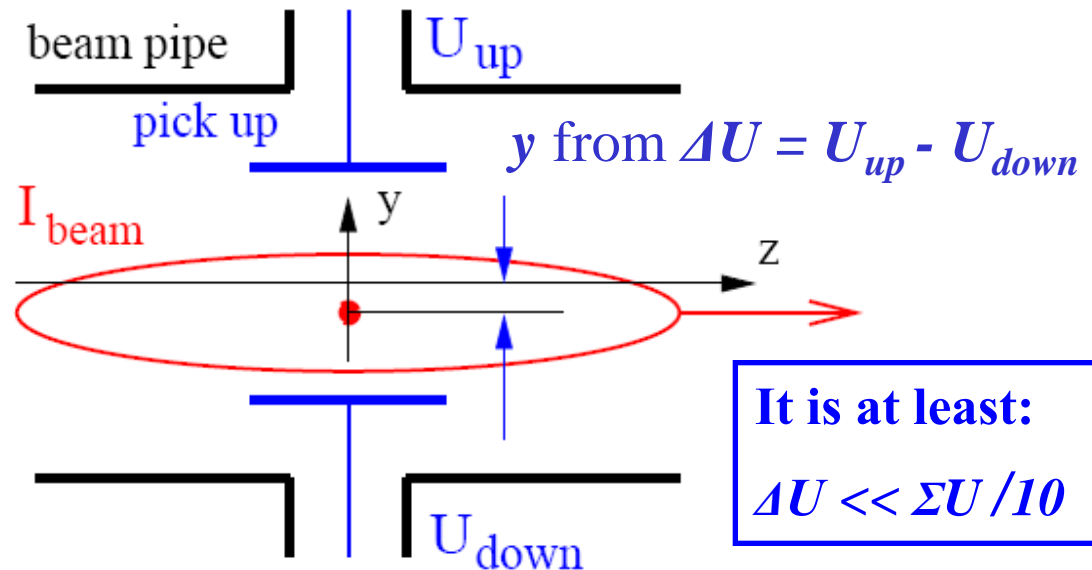
The difference voltage between plates gives the beam's center-of-mass  
→ **most frequent application**

‘Proximity’ effect leads to different voltages at the plates:

$$y = \frac{1}{S_y(\omega)} \cdot \frac{U_{up} - U_{down}}{U_{up} + U_{down}} + \delta_y(\omega)$$

$$\equiv \frac{1}{S_y} \cdot \frac{\Delta U_y}{\Sigma U_y} + \delta_y$$

$$x = \frac{1}{S_x(\omega)} \cdot \frac{U_{right} - U_{left}}{U_{right} + U_{left}} + \delta_x(\omega)$$

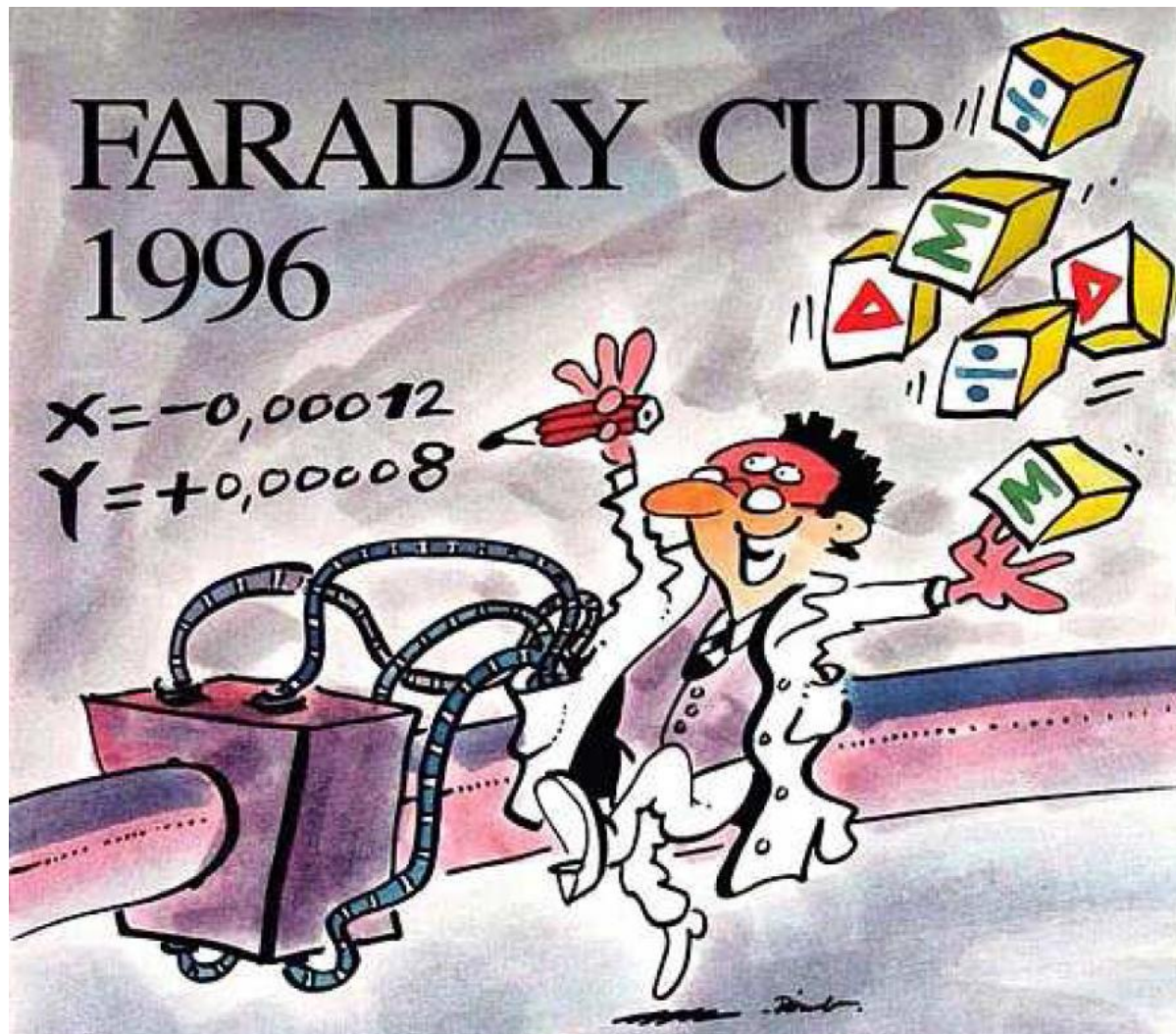


$S(\omega, x)$  is called **position sensitivity**, sometimes the inverse is used  $k(\omega, x) = 1/S(\omega, x)$

$S$  is a geometry dependent, non-linear function, which have to be optimized

Units:  $S = [\%/mm]$  and sometimes  $S = [dB/mm]$  or  $k = [mm]$ .

# The Artist View of a BPM







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- Signal generation → transfer **impedance**
- Capacitive button BPM for high frequencies  
used at most proton LINACs and electron accelerators
- Capacitive *shoe-box* BPM for low frequencies
- Electronics for position evaluation
- BPMs for measurement of closed orbit, tune and further lattice functions
- Summary

## 2-dim Model for a Button BPM

**‘Proximity effect’: larger signal for closer plate**

**Ideal 2-dim model:** Cylindrical pipe → image current density via ‘image charge method’ for ‘pensile’ beam:

$$j_{im}(\phi) = \frac{I_{beam}}{2\pi a} \cdot \left( \frac{a^2 - r^2}{a^2 + r^2 - 2ar \cdot \cos(\phi - \theta)} \right)$$

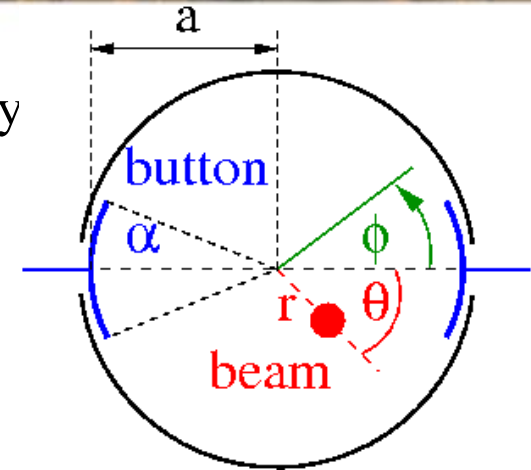
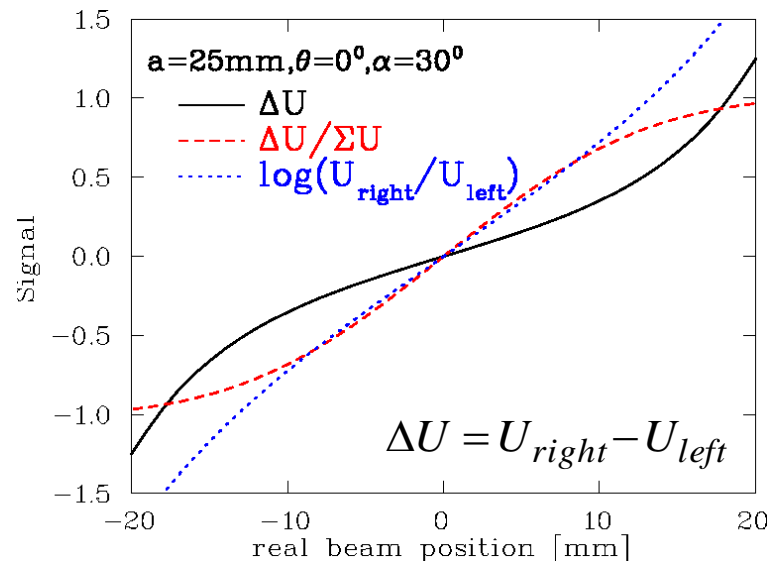
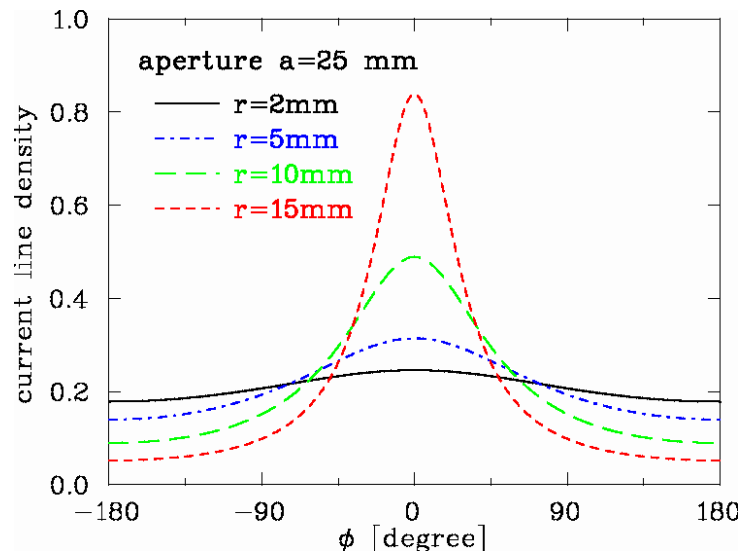


Image current: Integration of finite BPM size:  $I_{im} = a \cdot \int_{-\alpha/2}^{\alpha/2} j_{im}(\phi) d\phi$





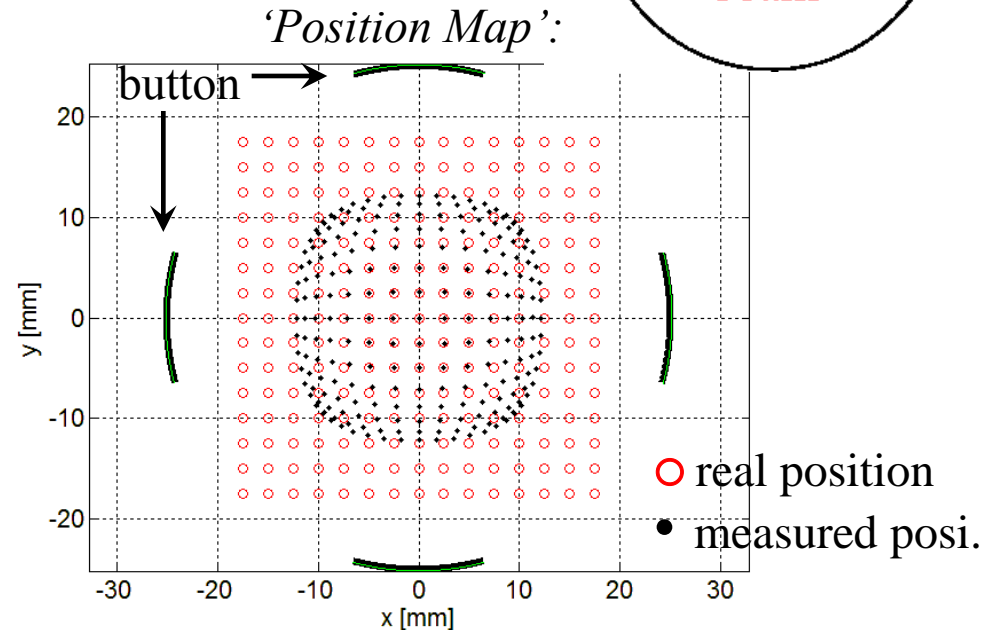
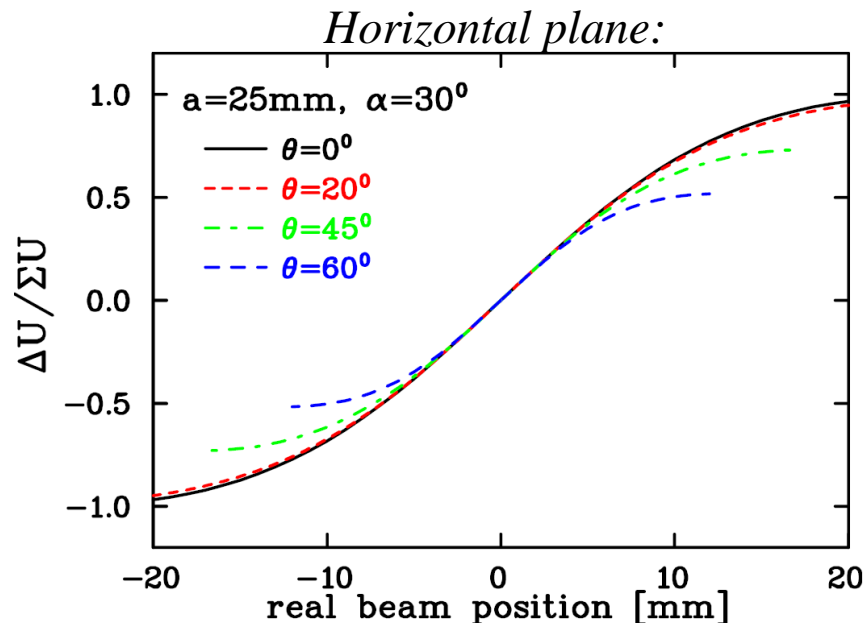
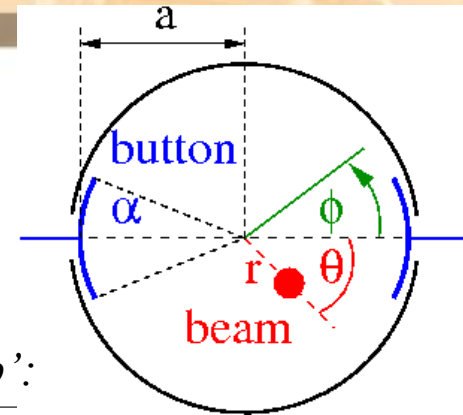
## 2-dim Model for a Button BPM



**Ideal 2-dim model:** Non-linear behavior and hor-vert coupling:

Sensitivity:  $x = 1/S \cdot \Delta U / \Sigma U$  with  $S$  [%/mm] or [dB/mm]

For this example: center part  $S = 7.4\%/mm \Leftrightarrow k = 1/S = 14mm$



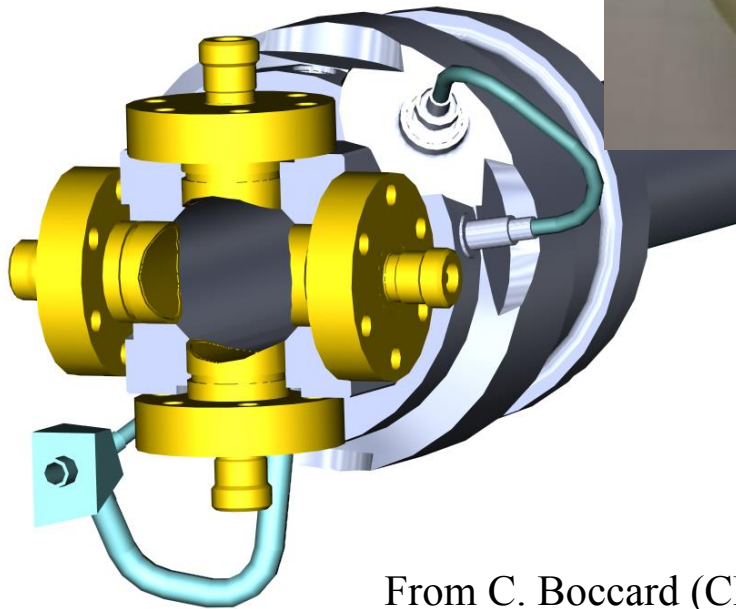
The measurement of  $U$  delivers:  $x = \frac{1}{S_x} \cdot \frac{\Delta U}{\Sigma U} \rightarrow$  here  $S_x = S_x(x, y)$  i.e. non-linear.

# Button BPM Realization

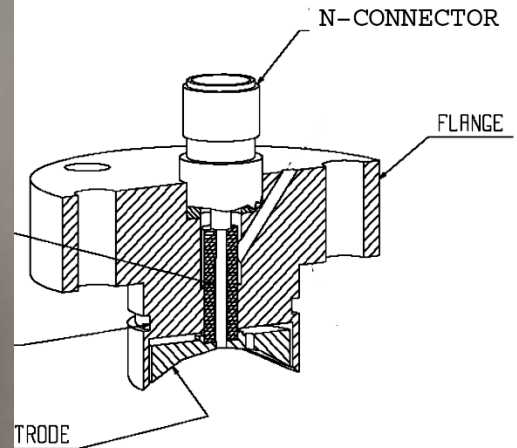
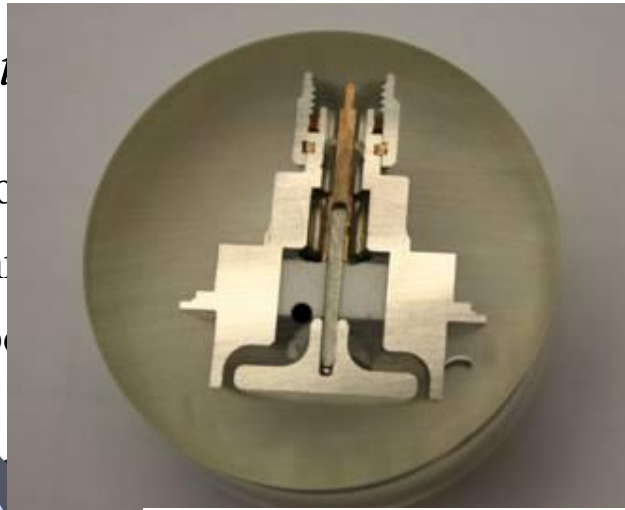
LINACs, e-synchrotrons:  $100 \text{ MHz} < f_{rf} < 3 \text{ GHz} \rightarrow \text{bunch length} \approx \text{BPM length}$   
 $\rightarrow 50 \Omega$  signal path to prevent reflections

Button BPM with  $50 \Omega \Rightarrow U_{im}(t)$

Example: LHC-type inside cryo  
 $\varnothing 24 \text{ mm}$ , half aperture  $a=25 \text{ mm}$   
 $\Rightarrow f_{cut}=400 \text{ MHz}$ ,  $Z_t = 1.3 \Omega$  ab

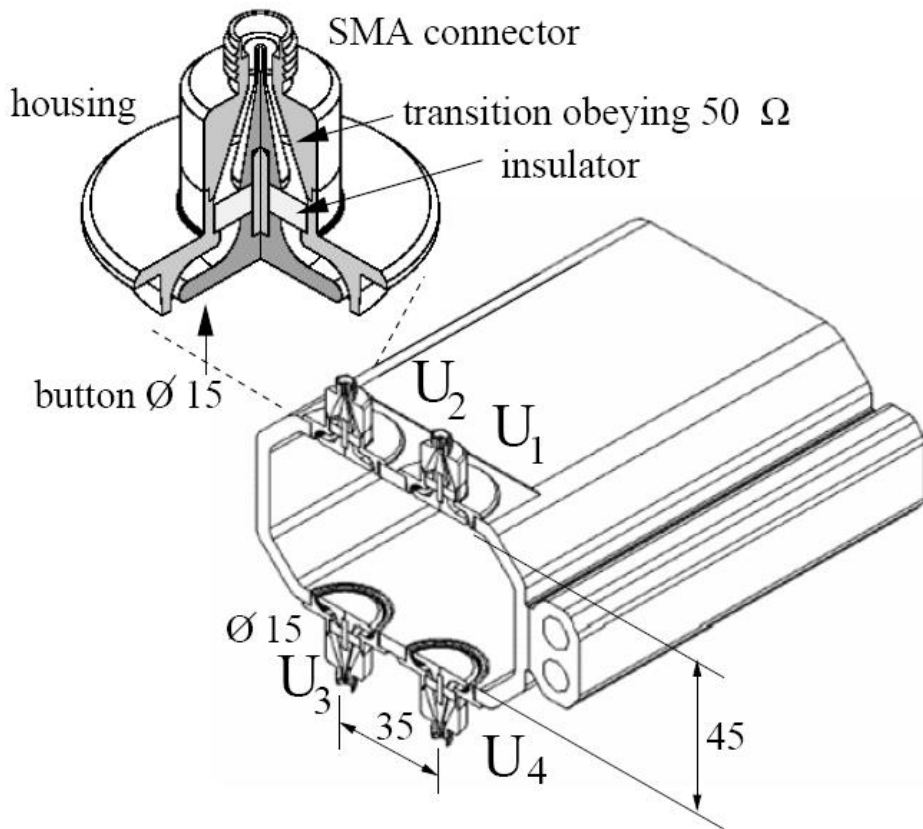


From C. Boccard (CERN)



# Button BPM at Synchrotron Light Sources

Due to synchrotron radiation, the button insulation might be destroyed  
 $\Rightarrow$  buttons only in vertical plane possible  $\Rightarrow$  increased non-linearity



PEP-realization



HERA-e realization

$$\text{horizontal: } x = \frac{1}{S_x} \cdot \frac{(U_2 + U_4) - (U_1 + U_3)}{U_1 + U_2 + U_3 + U_4}$$

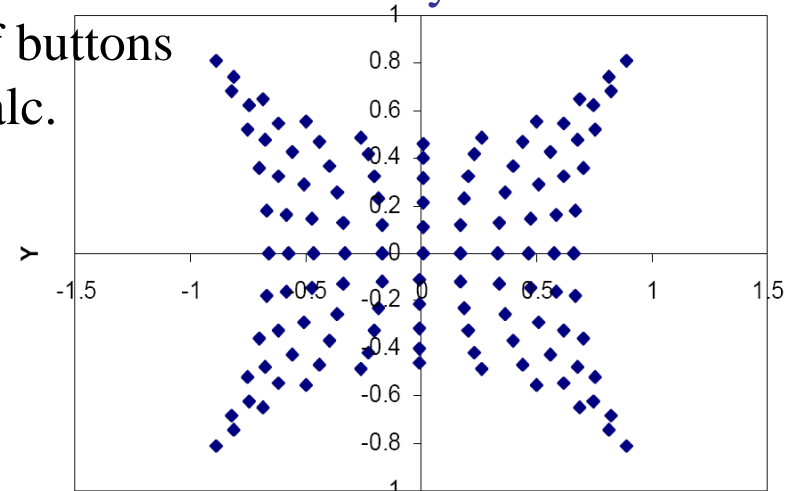
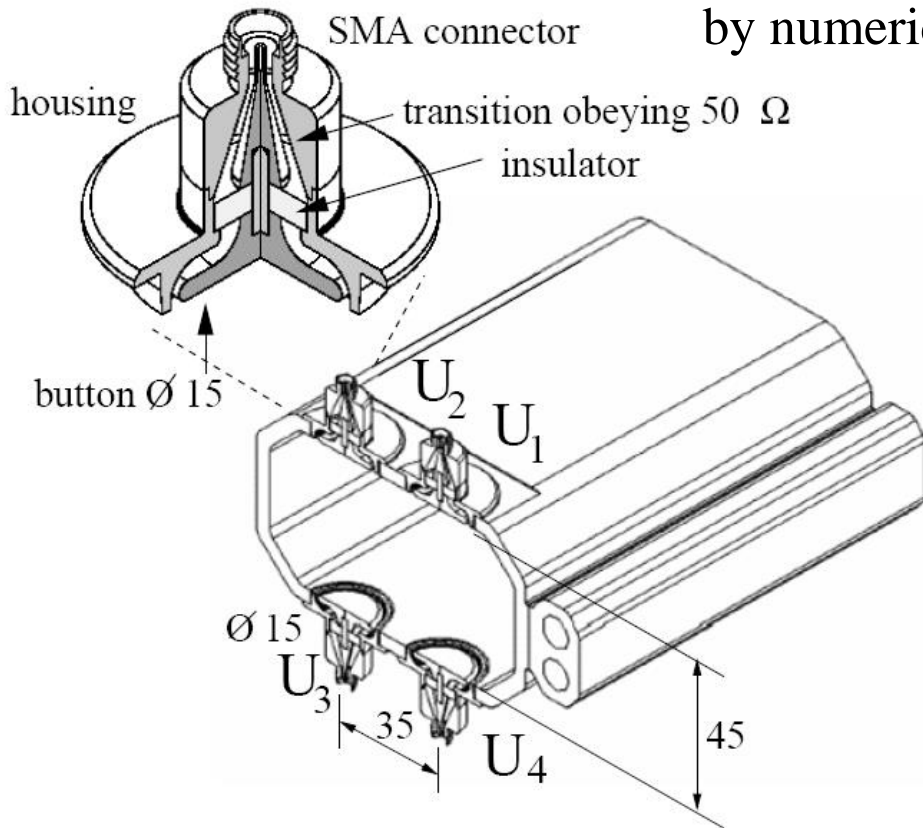
$$\text{vertical: } y = \frac{1}{S_y} \cdot \frac{(U_1 + U_2) - (U_3 + U_4)}{U_1 + U_2 + U_3 + U_4}$$



# Button BPM at Synchrotron Light Sources

Due to synchrotron radiation, the button insulation might be destroyed  
 $\Rightarrow$  buttons only in vertical plane possible  $\Rightarrow$  increased non-linearity

**Optimization:** horizontal distance and size of buttons  
 by numerical calc.



- Beam position swept with 2 mm steps
- Non-linear sensitivity and hor.-vert. coupling
- At center  $S_x = 8.5\%/mm$  in this example

$$\text{horizontal: } x = \frac{1}{S_x} \cdot \frac{(U_2 + U_4) - (U_1 + U_3)}{U_1 + U_2 + U_3 + U_4}$$

$$\text{vertical: } y = \frac{1}{S_y} \cdot \frac{(U_1 + U_2) - (U_3 + U_4)}{U_1 + U_2 + U_3 + U_4}$$

PEP-realization

From S. Varnasseri, SESAME, DIPAC 2005



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used at most proton LINACs and electron accelerators
- Capacitive shoe-box BPM for low frequencies  
used at most proton synchrotrons due to linear position reading
- Electronics for position evaluation
- BPMs for measurement of closed orbit, tune and further lattice functions
- Summary

# Shoe-box BPM for Proton Synchrotrons

Frequency range:  $1 \text{ MHz} < f_{rf} < 10 \text{ MHz} \Rightarrow \text{bunch-length} \gg \text{BPM length}$ .

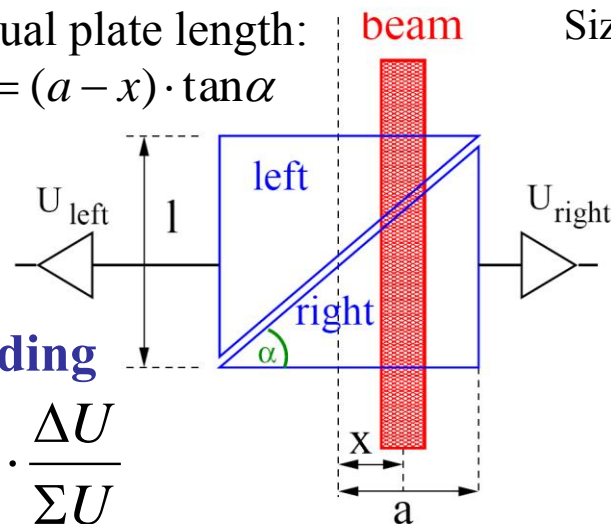
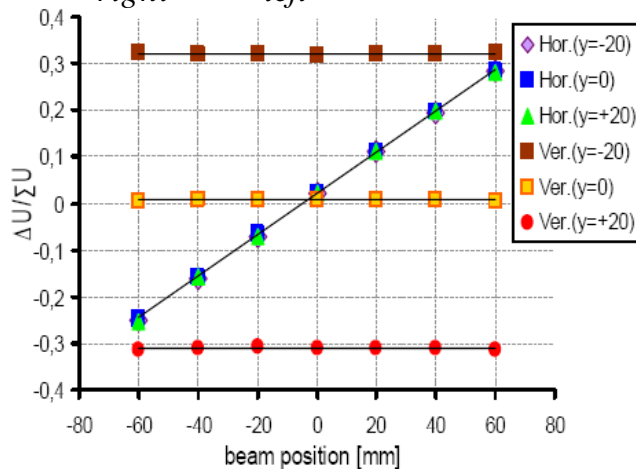
Signal is proportional to actual plate length:

$$l_{\text{right}} = (a + x) \cdot \tan \alpha, \quad l_{\text{left}} = (a - x) \cdot \tan \alpha$$

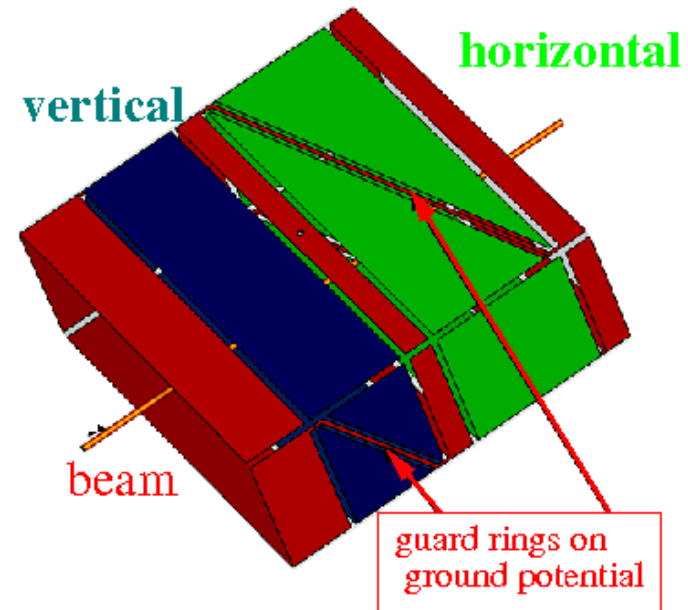
$$\Rightarrow x = a \cdot \frac{l_{\text{right}} - l_{\text{left}}}{l_{\text{right}} + l_{\text{left}}}$$

**In ideal case: linear reading**

$$x = a \cdot \frac{U_{\text{right}} - U_{\text{left}}}{U_{\text{right}} + U_{\text{left}}} \equiv a \cdot \frac{\Delta U}{\Sigma U}$$



Size: 200x70 mm<sup>2</sup>



**Shoe-box BPM:**

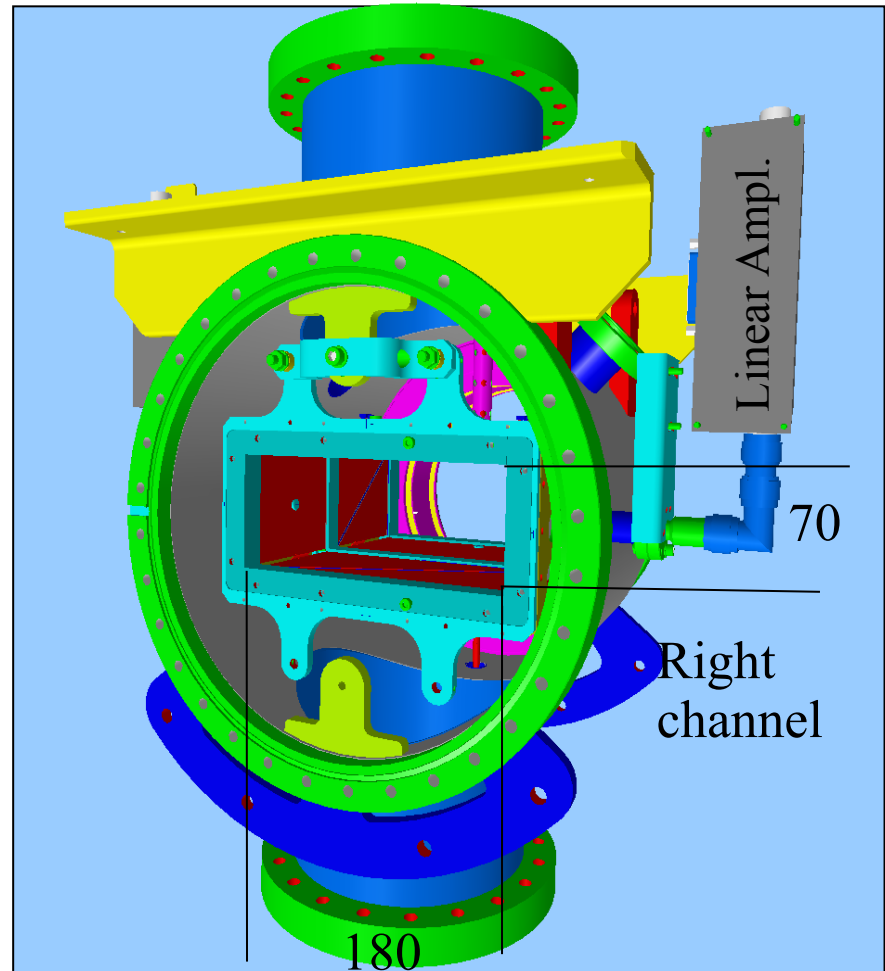
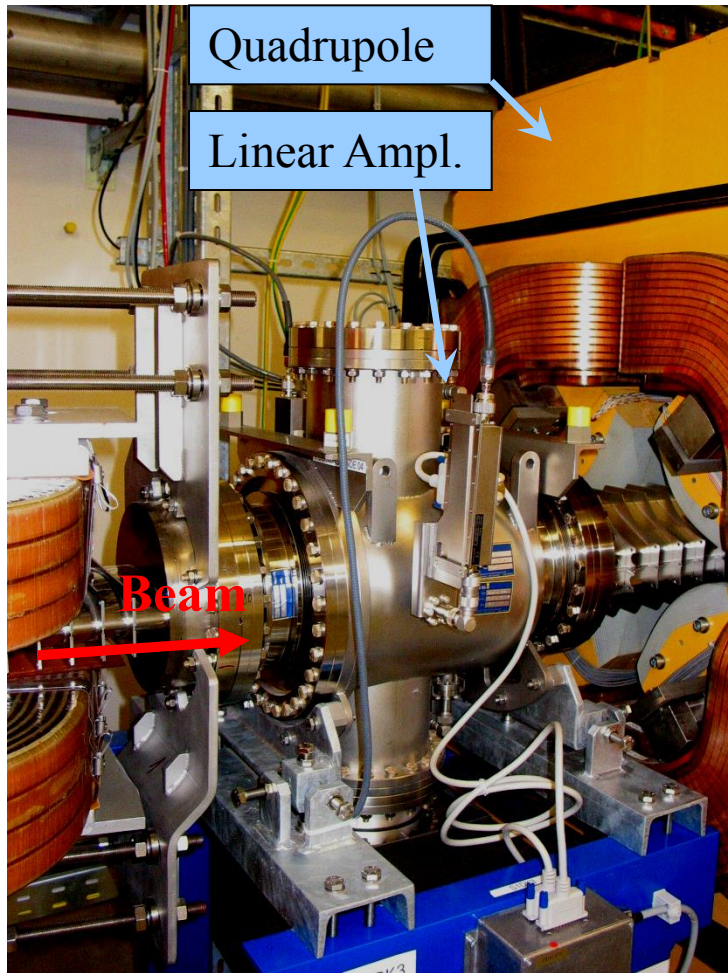
**Advantage:** Very linear, low frequency dependence  
i.e. position sensitivity  $S$  is constant

**Disadvantage:** Large size, complex mechanics  
high capacitance



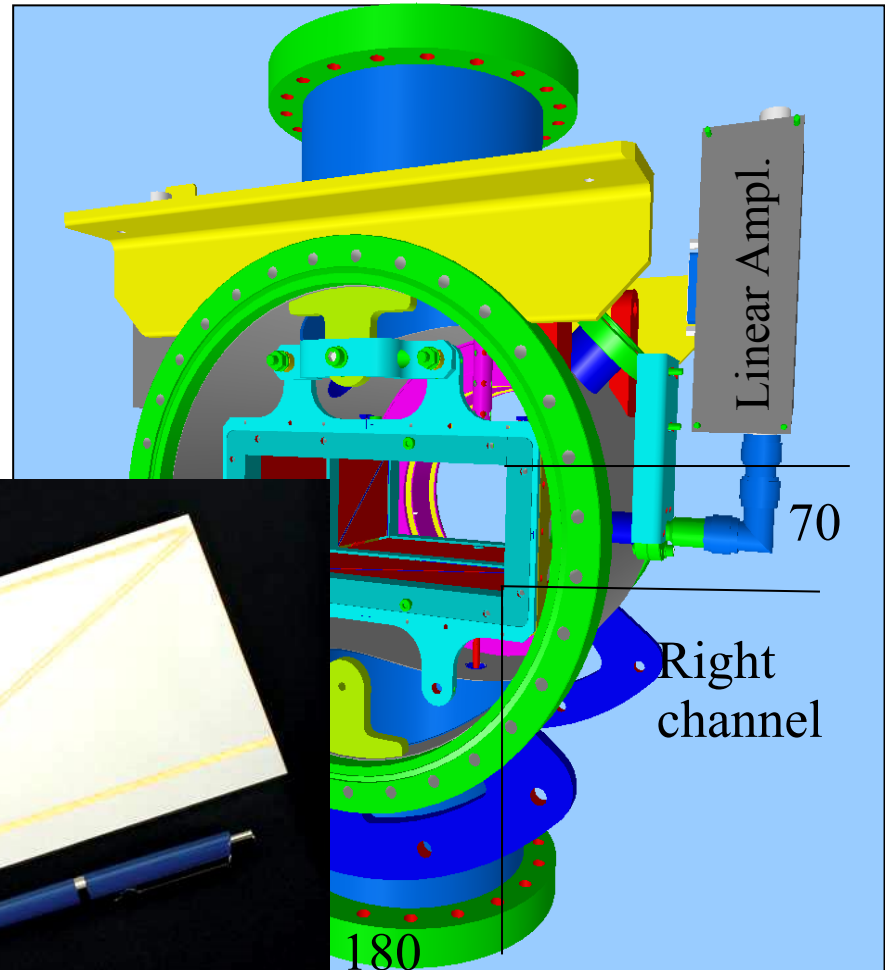
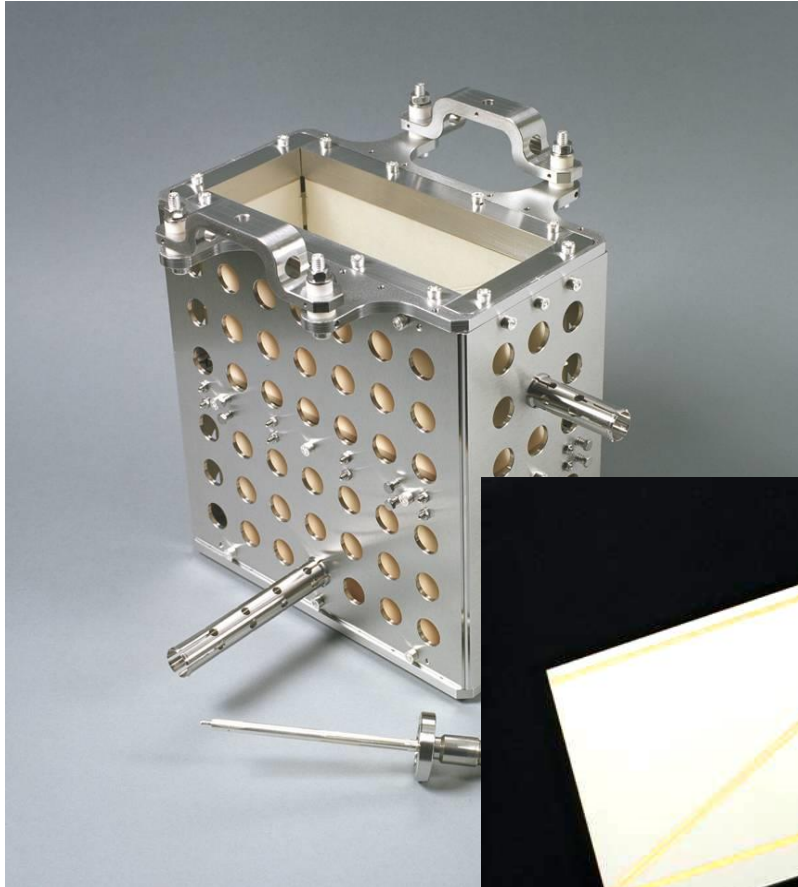
# Technical Realization of a Shoe-Box BPM

Technical realization at HIT synchrotron of 46 m length for 7 MeV/u  $\rightarrow$  440 MeV/u  
BPM clearance: 180x70 mm<sup>2</sup>, standard beam pipe diameter: 200 mm.



# Technical Realization of a Shoe-Box BPM

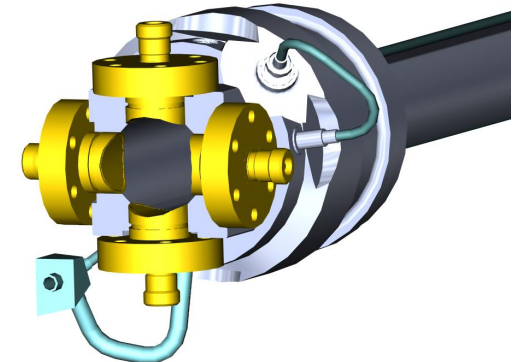
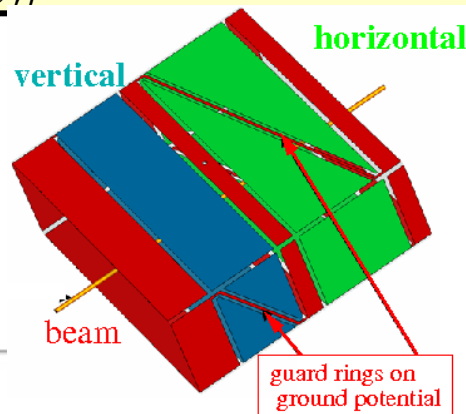
Technical realization at HIT synchrotron of 46 m length for 7 MeV/u  $\rightarrow$  440 MeV/u  
BPM clearance: 180x70 mm<sup>2</sup>, standard beam pipe diameter: 200 mm.



# Comparison Shoe-Box and Button BPM



	Shoe-Box BPM	Button BPM
<b>Precaution</b>	Bunches longer than BPM	Bunch length comparable to BPM
<b>BPM length (typical)</b>	10 to 20 cm length per plane	Ø1 to 5 cm per button
<b>Shape</b>	Rectangular or cut cylinder	Orthogonal or planar orientation
<b>Bandwidth (typical)</b>	0.1 to 100 MHz	100 MHz to 5 GHz
<b>Coupling</b>	1 MΩ or $\approx 1$ kΩ (transformer)	50 Ω
<b>Cutoff frequency (typical)</b>	0.01... 10 MHz ( $C=30\ldots 100$ pF)	0.3... 1 GHz ( $C=2\ldots 10$ pF)
<b>Linearity</b>	Very good, no x-y coupling	Non-linear, x-y coupling
<b>Sensitivity</b>	Good, care: plate cross talk	Good, care: signal matching
<b>Usage</b>	At proton synchrotrons, $f_{rf} < 10$ MHz	All electron acc., proton Linacs, $f_{rf} > 100$ MHz





## Outline:

- Signal generation → transfer impedance
- Capacitive *button* BPM for high frequencies  
used at most proton LINACs and electron accelerators
- Capacitive *shoe-box* BPM for low frequencies  
used at most proton synchrotrons due to linear position reading
- Electronics for position evaluation  
analog signal conditioning to achieve small signal processing
- BPMs for measurement of closed orbit, tune and further lattice functions
- Summary



# General: Noise Consideration

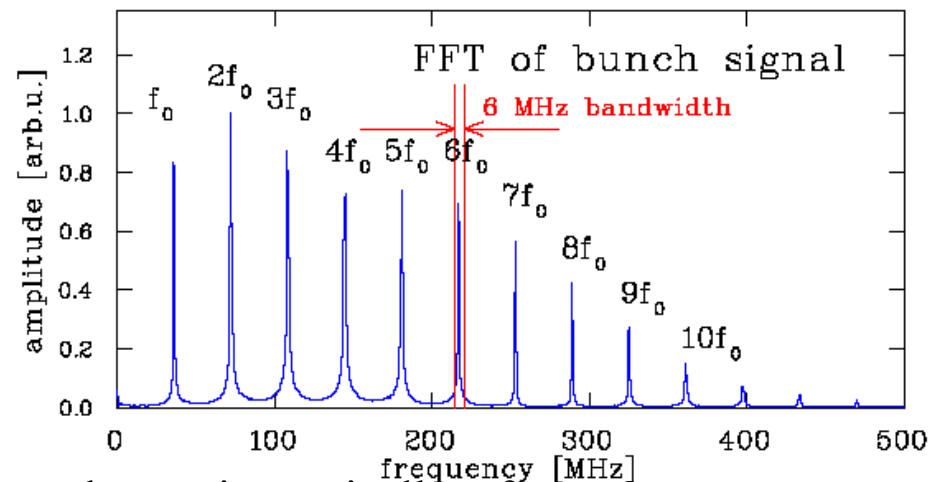
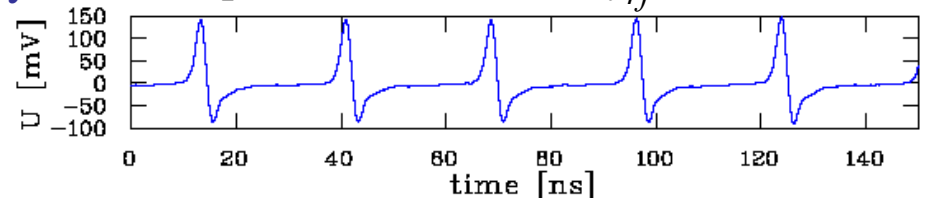


1. Signal voltage given by:  $U_{im}(f) = Z_t(f) \cdot I_{beam}(f)$
2. Position information from voltage difference:  $x = 1/S \cdot \Delta U / \Sigma U$
3. Thermal noise voltage given by:  $U_{eff}(R, \Delta f) = \sqrt{4k_B \cdot T \cdot R \cdot \Delta f}$

⇒ Signal-to-noise  $\Delta U_{im}/U_{eff}$  is influenced by:

- Input signal amplitude  
→ large or matched  $Z_t$
- Thermal noise at  $R=50\Omega$  for  $T=300K$   
(for shoe box  $R=1k\Omega \dots 1M\Omega$ )
- Bandwidth  $\Delta f$   
⇒ Restriction of frequency width  
because the power is concentrated  
on the harmonics of  $f_{rf}$

*Example:* GSI-LINAC with  $f_{rf}=36$  MHz

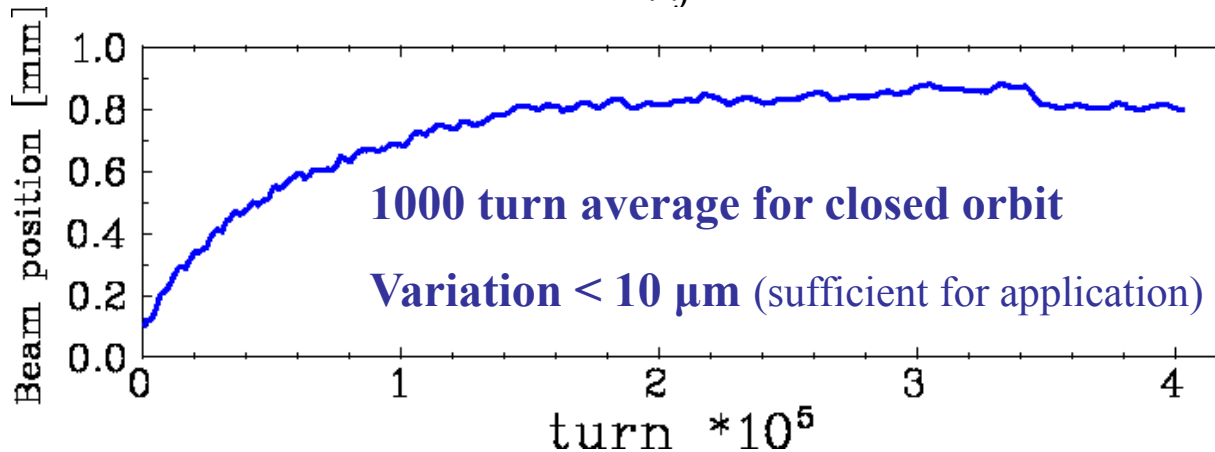


**Remark:** Additional contribution by non-perfect electronics typically a factor 2

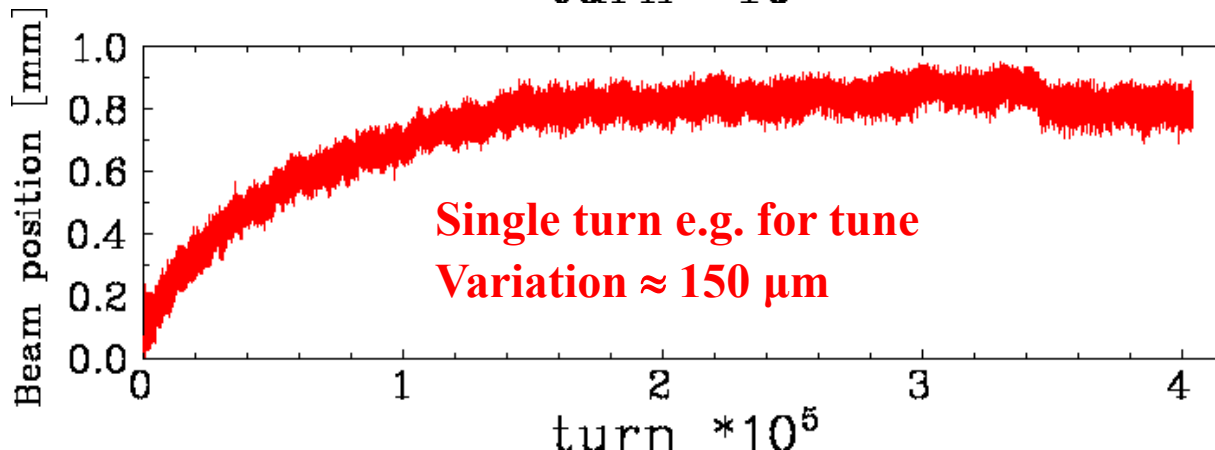
Moreover, pick-up by electro-magnetic interference can contribute ⇒ good shielding required

# Comparison: Filtered Signal $\leftrightarrow$ Single Turn

**Example:** GSI Synchr.:  $U^{73+}$ ,  $E_{inj}=11.5$  MeV/u  $\rightarrow$  250 MeV/u within 0.5 s,  $10^9$  ions



- Position resolution  $< 30 \mu\text{m}$  (BPM half aperture  $a=90$  mm)
- average over 1000 turns corresponding to  $\approx 1$  ms or  $\approx 1$  kHz bandwidth

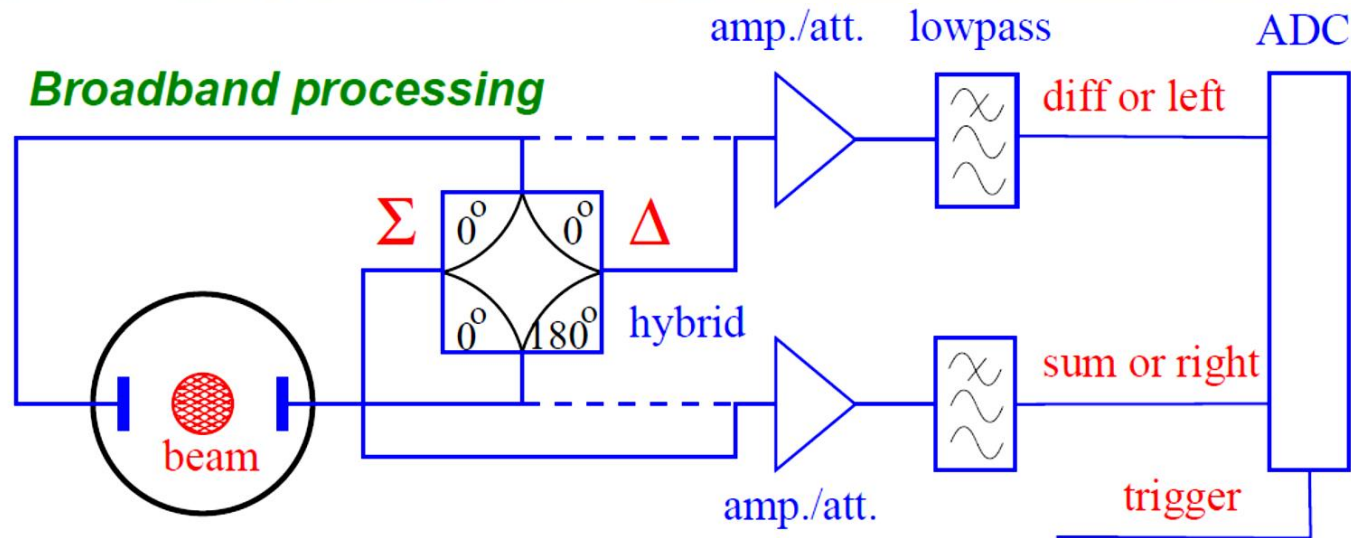


- Turn-by-turn data have much larger variation

**However:** not only noise contributes but additionally **beam movement** by betatron oscillation  $\Rightarrow$  broadband processing i.e. turn-by-turn readout for tune determination.



# Broadband Signal Processing

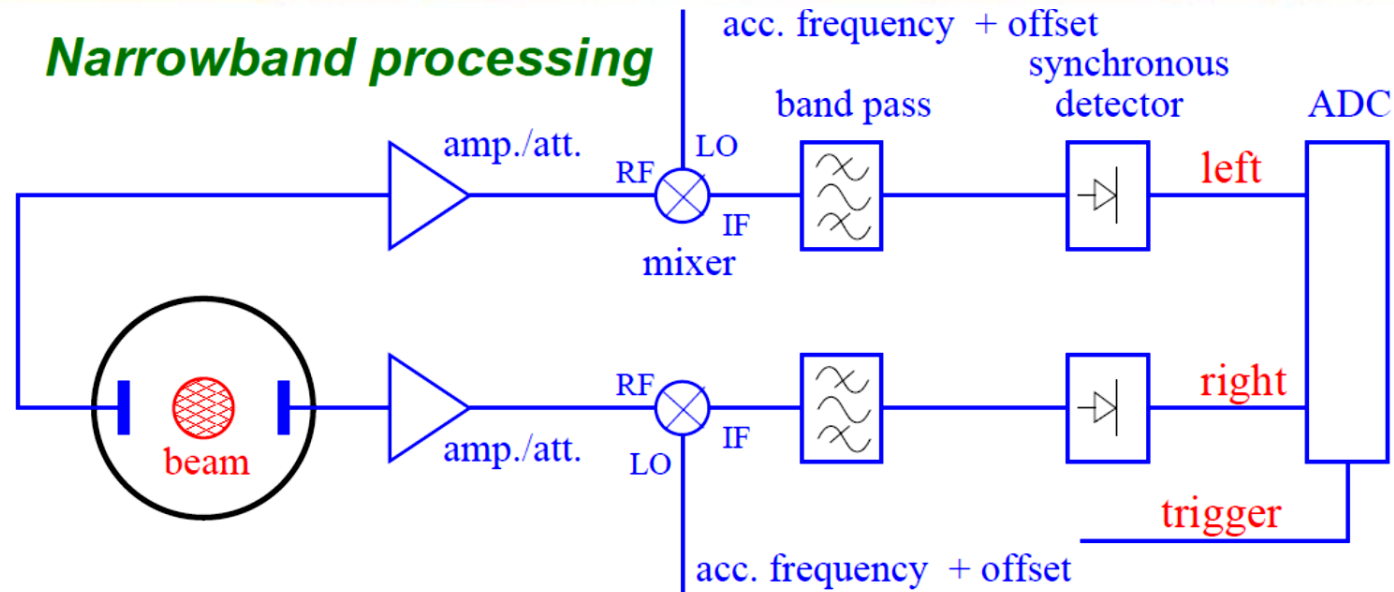


- Hybrid or transformer close to beam pipe for analog  $\Delta U$  &  $\Sigma U$  generation or  $U_{left}$  &  $U_{right}$
- Attenuator/amplifier
- Filter to get the wanted harmonics and to suppress stray signals
- ADC: digitalization → followed by calculation of  $\Delta U / \Sigma U$

**Advantage:** Bunch-by-bunch possible, versatile post-processing possible

**Disadvantage:** Resolution down to  $\approx 100 \mu\text{m}$  for shoe box type, i.e.  $\approx 0.1\%$  of aperture, resolution is worse than narrowband processing

# Narrowband Processing for improved Signal-to-Noise



Narrowband processing equals heterodyne receiver (e.g. AM-radio or spectrum analyzer)

- Attenuator/amplifier
- Mixing with accelerating frequency  $f_{rf} \Rightarrow$  signal with sum and difference frequency
- Bandpass filter of the mixed signal (e.g at 10.7 MHz)
- Rectifier: synchronous detector
- ADC: digitalization  $\rightarrow$  followed calculation of  $\Delta U / \Sigma U$

**Advantage:** spatial resolution about 100 time better than broadband processing

**Disadvantage:** No turn-by-turn diagnosis, due to mixing = 'long averaging time'

For non-relativistic p-synchrotron:  $\rightarrow$  variable  $f_{rf}$  leads via mixing to constant intermediate freq.

# Mixer and Synchronous Detector

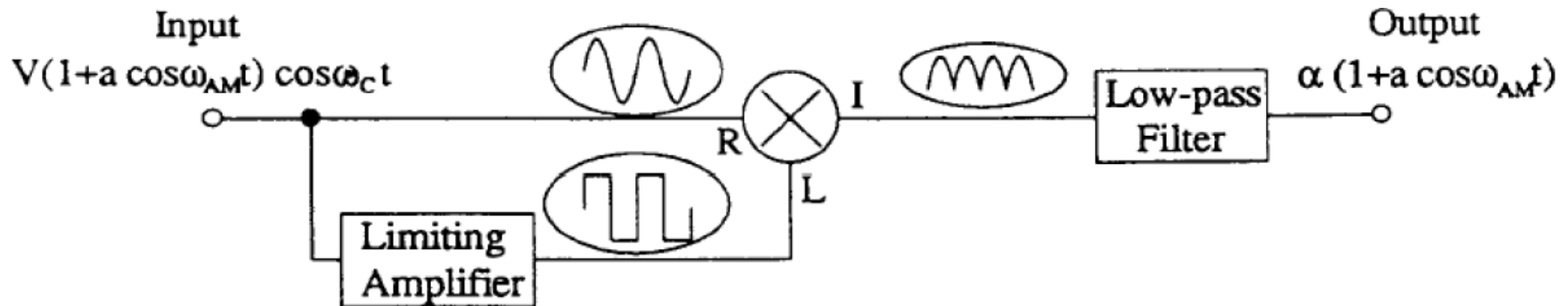
**Mixer:** A passive rf device with

- Input RF (radio frequency): Signal of investigation  $A_{RF}(t) = A_{RF} \cos \omega_{RF} t$
- Input LO (local oscillator): Fixed frequency  $A_{LO}(t) = A_{LO} \cos \omega_{LO} t$
- Output IF (intermediate frequency)

$$A_{IF}(t) = A_{RF} \cdot A_{LO} \cos \omega_{RF} t \cdot \cos \omega_{LO} t$$
$$= A_{RF} \cdot A_{LO} [\cos(\omega_{RF} - \omega_{LO})t + \cos(\omega_{RF} + \omega_{LO})t]$$

⇒ Multiplication of both input signals, containing the sum and difference frequency.

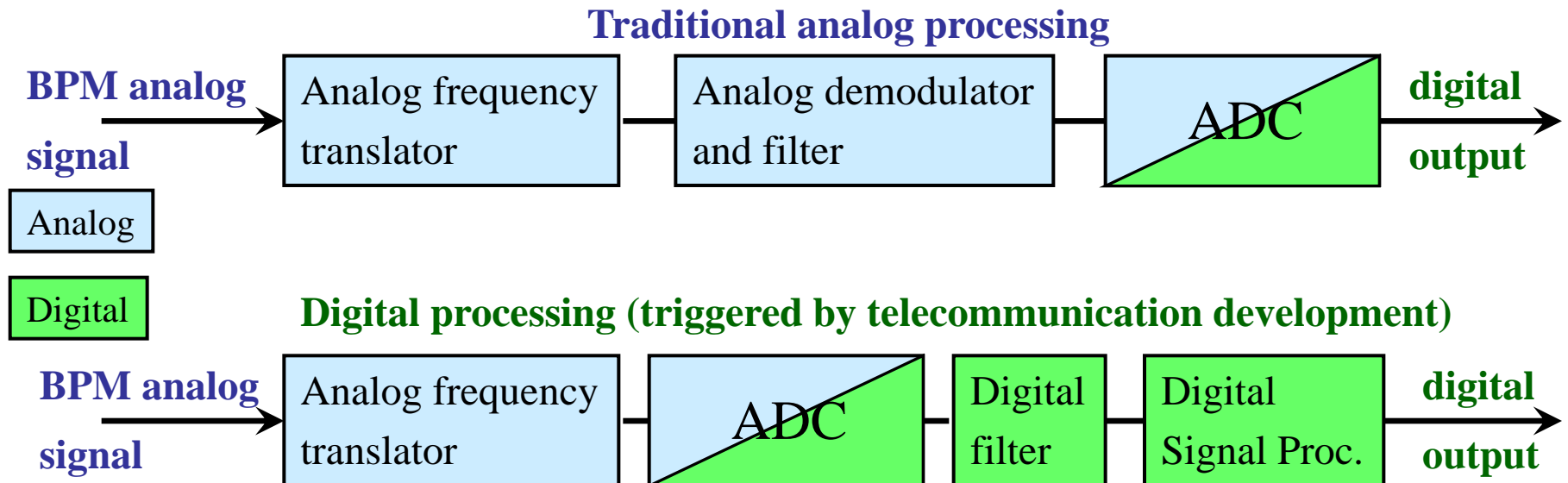
**Synchronous detector:** A phase sensitive rectifier



# Analog versus Digital Signal Processing



Modern instrumentation uses **digital** techniques with extended functionality.



## Digital receiver as modern successor of super heterodyne receiver

- Basic functionality is preserved but implementation is very different
- Digital transition just after the amplifier & filter or mixing unit
- Signal conditioning (filter, decimation, averaging) on FPGA

**Advantage of DSP:** Versatile operation, flexible adoption without hardware modification

**Disadvantage of DSP:** non, good engineering skill requires for development, expensive

# Comparison of BPM Readout Electronics (simplified)



Type	Usage	Precaution	Advantage	Disadvantage
<b>Broadband</b>	p-synchr.	Long bunches	Bunch structure signal Post-processing possible Required for fast feedback	Resolution limited by noise
<b>Narrowband</b>	all synchr.	Stable beams >100 rf-periods	High resolution	No turn-by-turn Complex electronics
<b>Digital Signal Processing</b>	all	Several bunches ADC 125 MS/s	Very flexible High resolution <b>Trendsetting technology for future demands</b>	Limited time resolution by ADC → undersampling complex and expensive





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- Electronics for position evaluation  
analog signal conditioning to achieve small signal processing
- **BPMs for measurement of closed orbit, tune and further lattice functions**  
frequent application of BPMs
- **Summary**

# Close Orbit Measurement with BPMs

Detected position on a analog narrowband basis → closed orbit with ms time steps.  
It differs from ideal orbit by misalignments of the beam or components.

*Example from GSI-Synchrotron:*



**Closed orbit:**

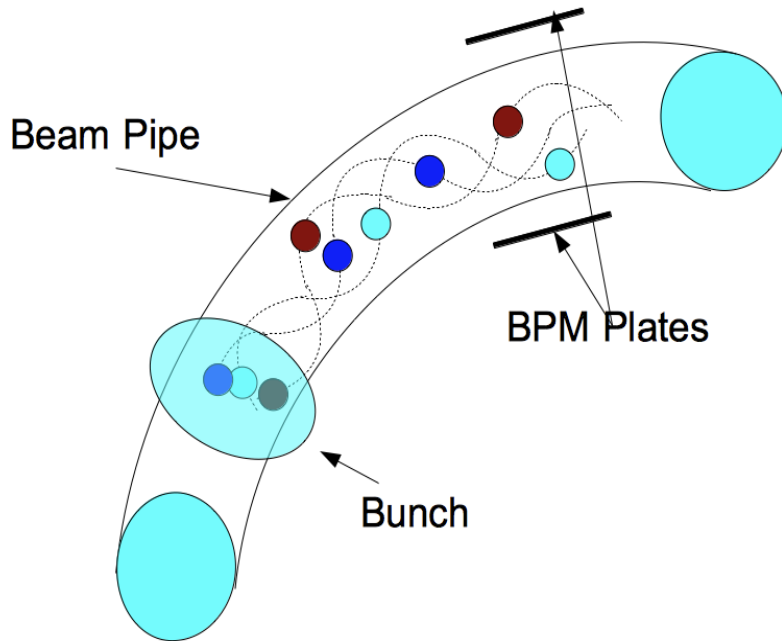
Beam position  
averaged over many  
betatron oscillations.

# Tune Measurement: General Considerations

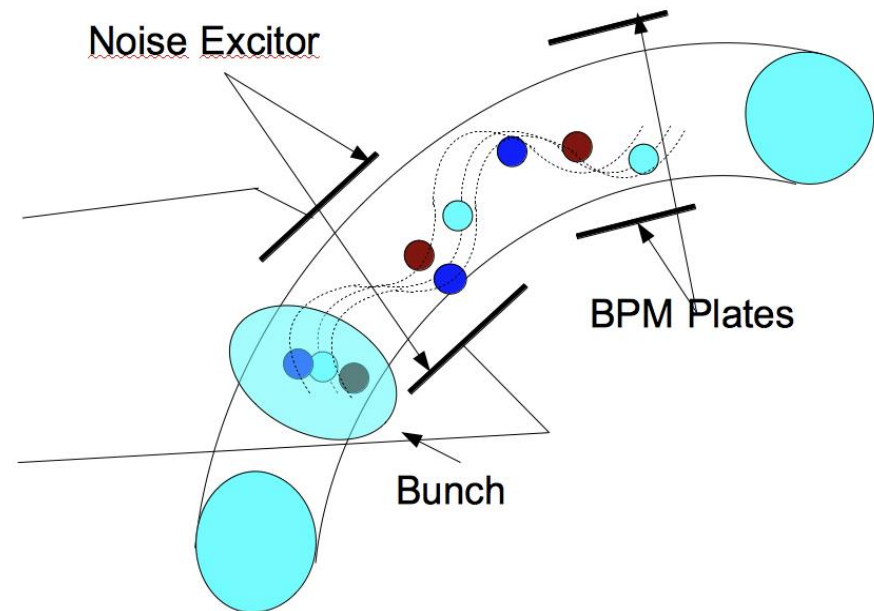


Coherent excitations are required for the detection by a BPM

Beam particle's in-coherent motion  
⇒ center-of-mass stays constant



Excitation of all particles by rf  
⇒ Coherent motion  
⇒ center-of-mass variation turn-by-turn



Graphics by R. Singh, GSI

# Tune Measurement: General Considerations



The tune  $Q$  is the number of betatron oscillations per turn.

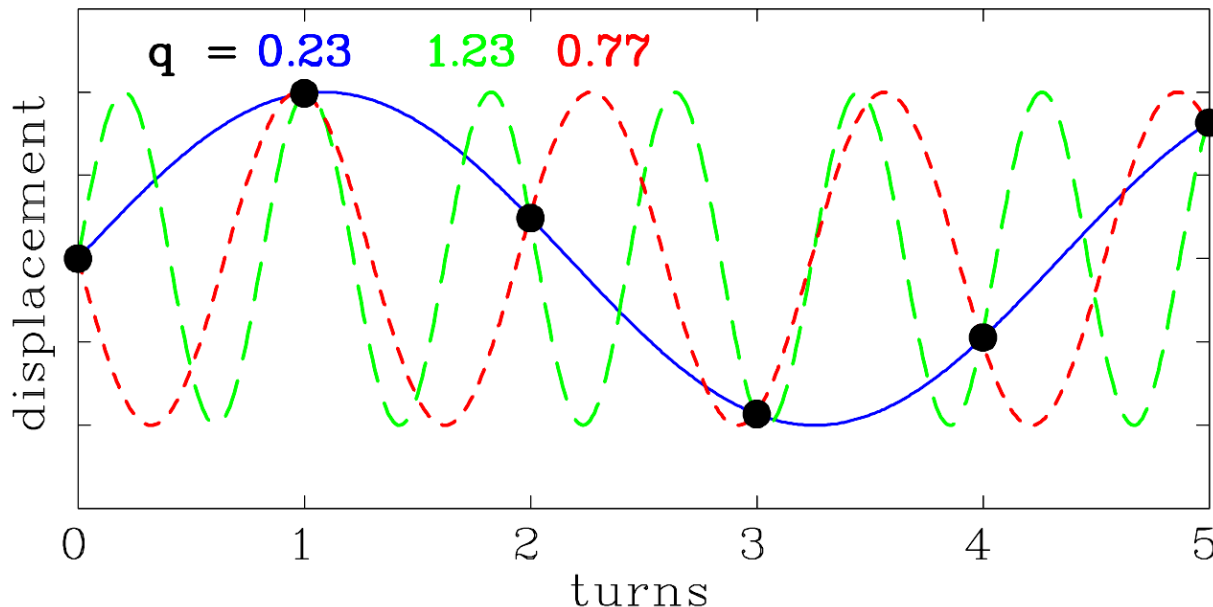
The betatron frequency is  $f_\beta = Qf_0$ .

**Measurement:** excitation of *coherent* betatron oscillations + position from one BPM.

From a measurement one gets only the non-integer part  $q$  of  $Q$  with  $Q = n \pm q$ .

Moreover, only  $0 < q < 0.5$  is the unique result.

**Example:** Tune measurement for six turns with the three lowest frequency fits:

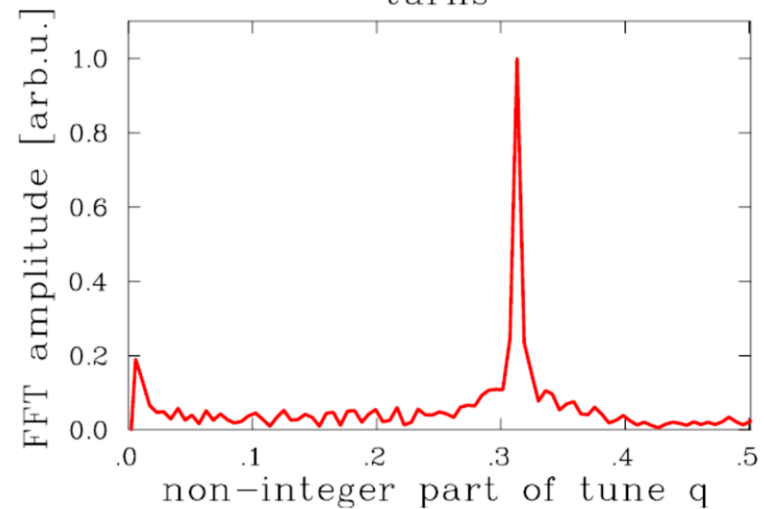
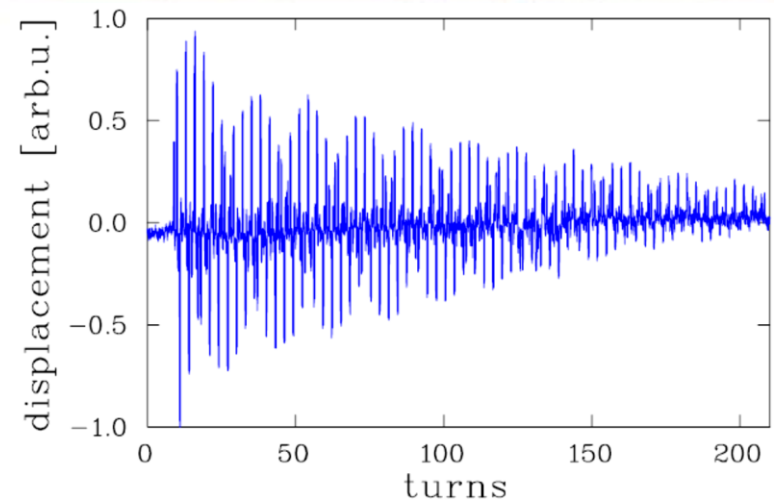
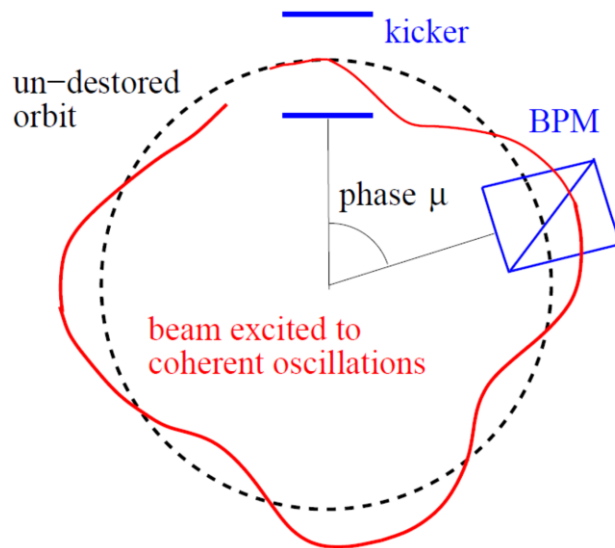


To distinguish  
for  $q < 0.5$  or  $q > 0.5$ :  
Changing the tune slightly,  
the direction of  $q$  shift differs.

# Tune Measurement: The Kick-Method in Time Domain



The beam is excited to coherent betatron oscillation  
→ the beam position measured each revolution ('turn-by-turn')  
→ Fourier Trans. gives the non-integer tune  $q$ .  
Short kick compared to revolution.



The de-coherence time limits the **resolution**:

$N$  non-zero samples

⇒ General limit of discrete FFT:  $\Delta q > \frac{1}{2N}$

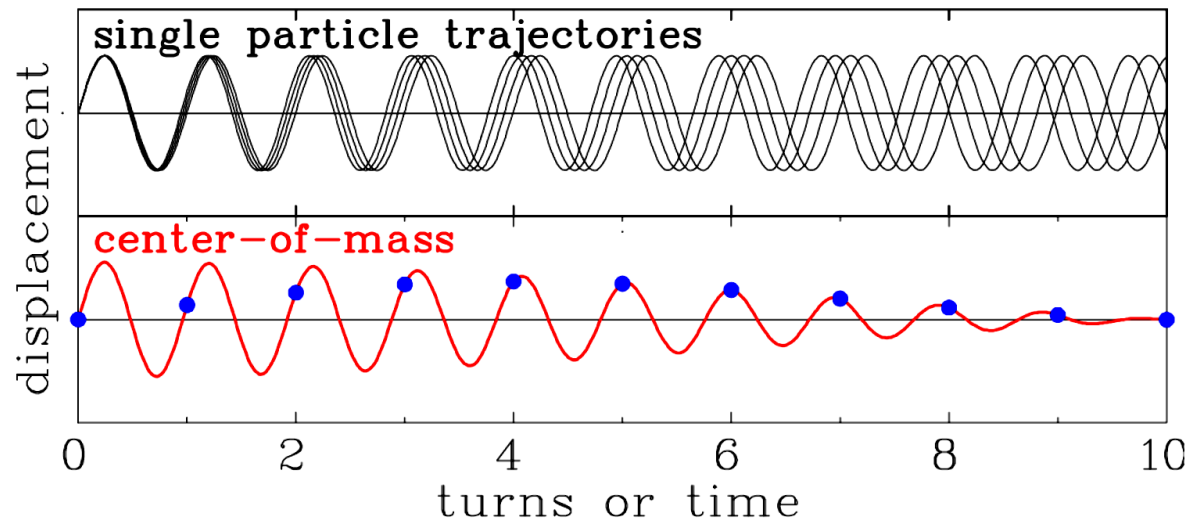
$N = 200$  turn ⇒  $\Delta q > 0.003$  as resolution  
(tune spreads are typically  $\Delta q \approx 0.001$ !)



## Tune Measurement: De-Coherence Time



The particles are excited to betatron oscillations, but due to the spread in the betatron frequency, they get out of phase ('Landau damping'):



Scheme of the individual trajectories of four particles after a kick (top) and the resulting *coherent* signal as measured by a pick-up (bottom).

⇒ Kick excitation leads to limited resolution

Remark: The tune spread is much lower for a real machine.

# Tune Measurement: Beam Transfer Function in Frequency Domain

Instead of one kick, the beam can be excited by a sweep of a sine wave, called ‘chirp’

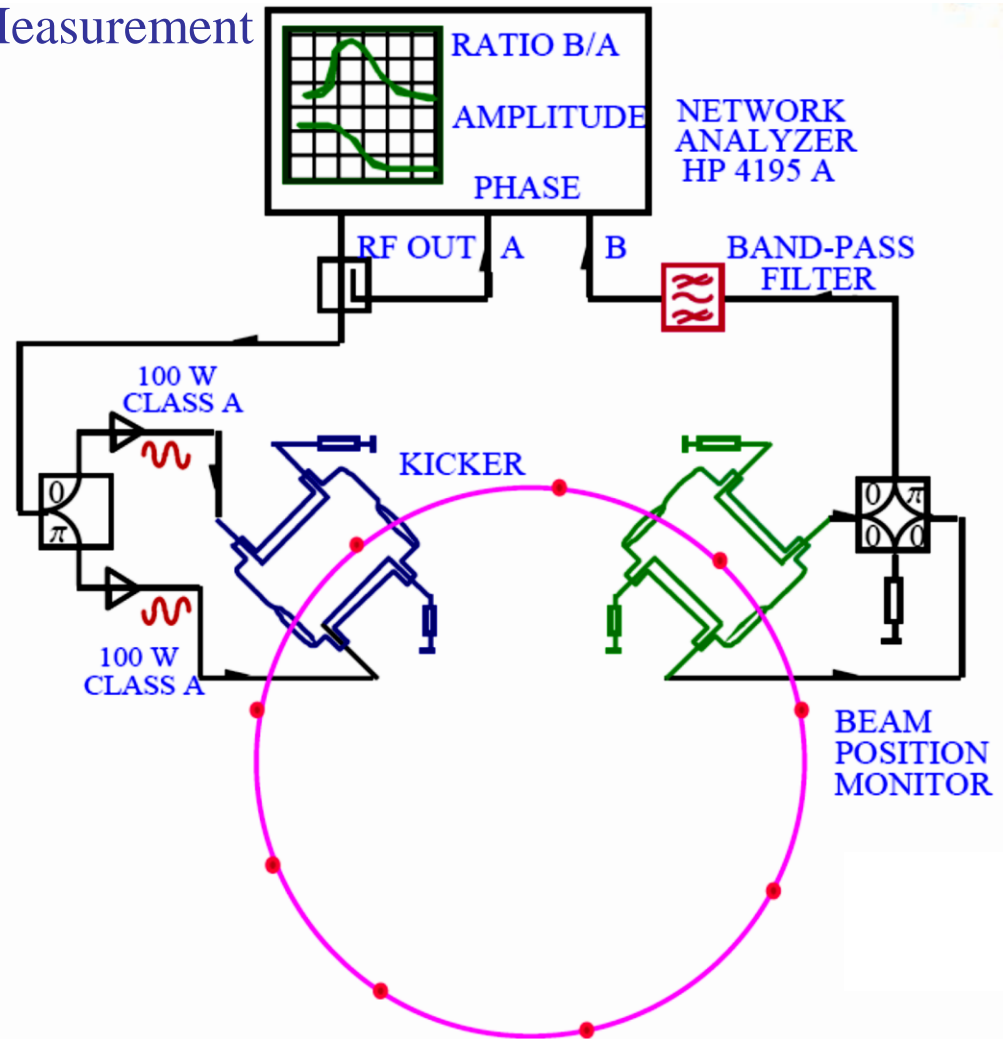
→ **Beam Transfer Function (BTF) Measurement**  
as the velocity response to a kick

## Prinziple:

**Beam acts like a driven oscillator!**

Using a network analyzer:

- RF OUT is feed to the beam by a kicker (reversed powered as a BPM)
- The position is measured at one BPM
- Network analyzer: amplitude and phase of the response
- Sweep time up to seconds due to de-coherence time per band
- resolution in tune: up to  $10^{-4}$

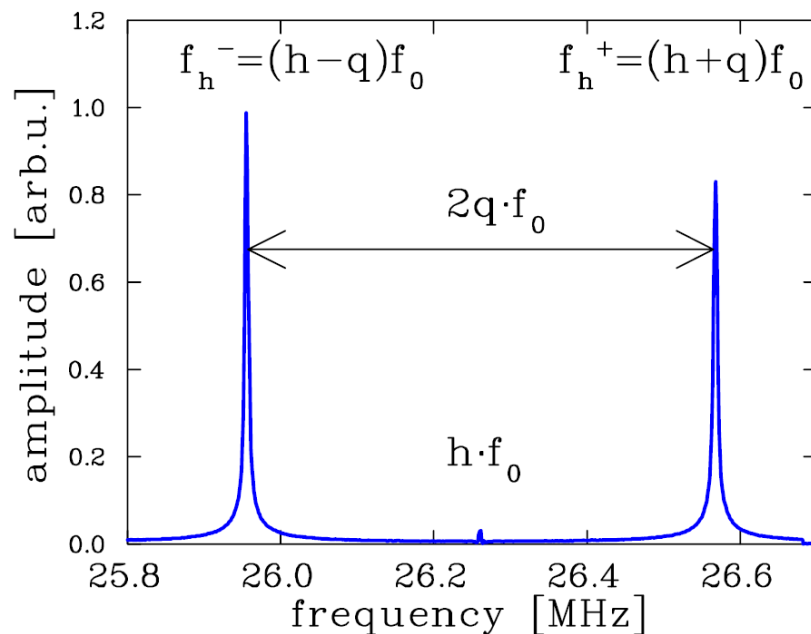


# Tune Measurement: Result for BTF Measurement

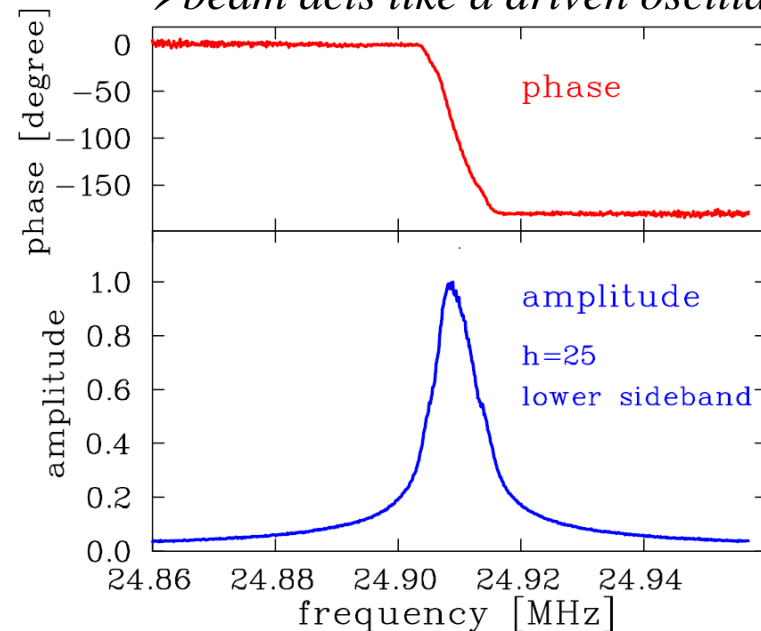


BTF measurement at the GSI synchrotron, recorded at the 25th harmonics.

*A wide scan with both sidebands at  $h=25^{\text{th}}$ -harmonics:*



*A detailed scan for the **lower** sideband  
→ beam acts like a driven oscillator:*



From the position of the sidebands  $q = 0.306$  is determined. From the width  $\Delta f/f \approx 5 \cdot 10^{-4}$  the tune spread can be calculated via  $\Delta f_h^- = \eta \frac{\Delta p}{p} \cdot h f_0 \left( h - q + \frac{\xi}{\eta} Q \right)$

**Advantage:** High resolution for tune and tune spread (also for de-bunched beams)

**Disadvantage:** Long sweep time (up to several seconds).

# Tune Measurement: *Gentle* Excitation with Wideband Noise



Instead of a sine wave, noise with adequate bandwidth can be applied

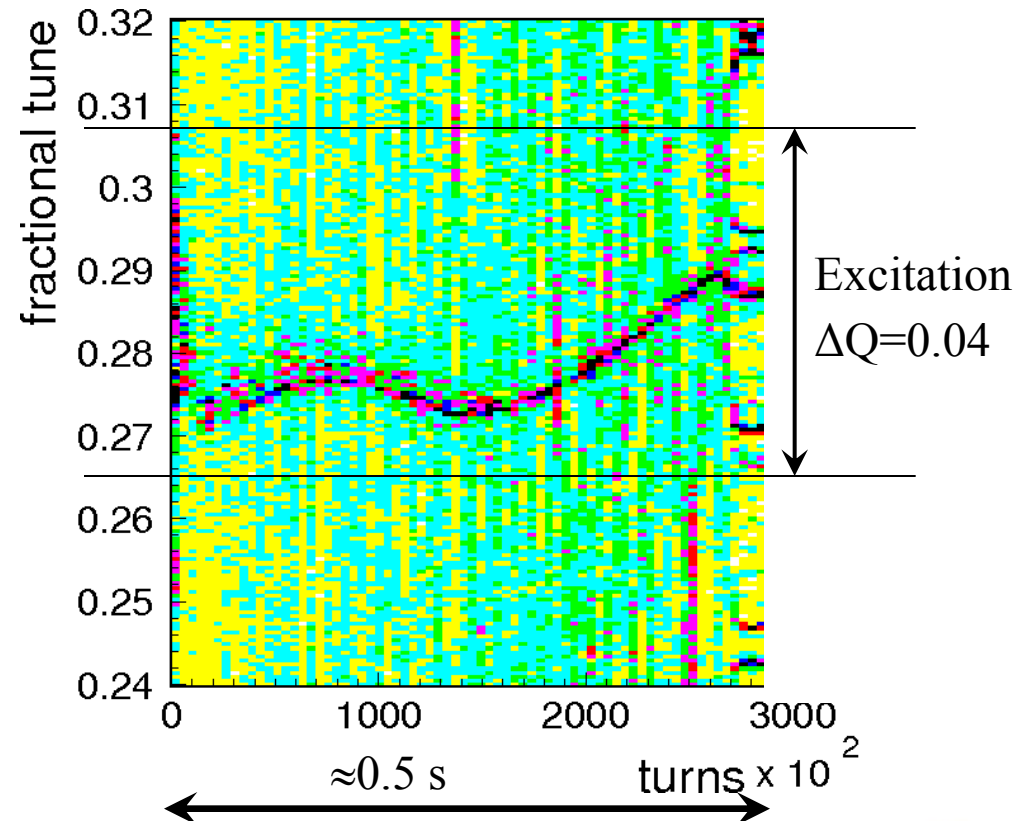
→ beam picks out its resonance frequency: *Example: Vertical tune within 2048 turn at GSI synchrotron 11 → 250 MeV/u  
2048 turn FFT equals  $\approx 5$  ms.*

- broadband excitation with white noise of  $\approx 10$  kHz bandwidth
  - turn-by-turn position measurement by fast ADC
  - Fourier transformation of the recorded data
- ⇒ Continues monitoring with low disturbance

## Advantage:

Fast scan with good time resolution

**Disadvantage:** Lower precision



# $\beta$ -Function Measurement from Bunch-by-Bunch BPM Data



Excitation of coherent betatron oscillations: From the position deviation  $x_{ik}$  at the BPM  $i$  and turn  $k$  the  $\beta$ -function  $\beta(s_i)$  can be evaluated.

The position reading is: ( $\hat{x}_i$  amplitude,  $\mu_i$  phase at  $i$ ,  $Q$  tune,  $s_0$  reference location)

$$x_{ik} = \hat{x}_i \cdot \cos(2\pi Qk + \mu_i) = \hat{x}_0 \cdot \sqrt{\beta(s_i) / \beta(s_0)} \cdot \cos(2\pi Qk + \mu_i)$$

→ a turn-by-turn position reading at many location (4 per unit of tune) is required.

The ratio of  $\beta$ -functions at different location:

$$\frac{\beta(s_i)}{\beta(s_0)} = \left( \frac{\hat{x}_i}{\hat{x}_0} \right)^2$$

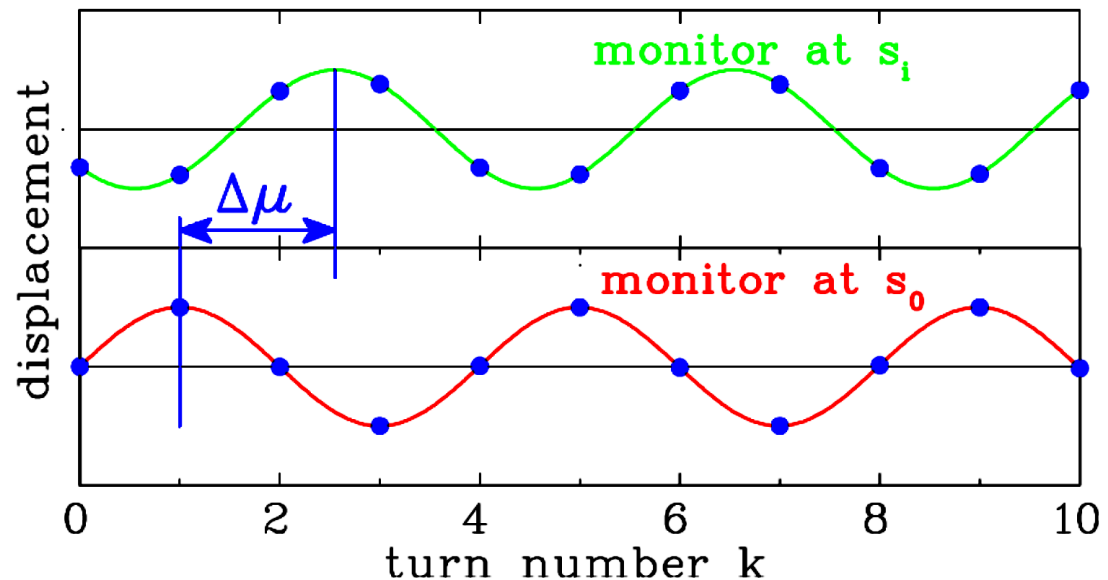
The phase advance is:

$$\Delta\mu = \mu_i - \mu_0$$

Without absolute calibration,

$\beta$ -function is more precise:

$$\Delta\mu = \int_{s_0}^{s_i} \frac{ds}{\beta(s)}$$





# Dispersion and Chromaticity Measurement



**Dispersion  $D(s_i)$ :** Excitation of coherent betatron oscillations and change of momentum  $p$  by detuned rf-cavity:

→ Position reading at one location:  $x_i = D(s_i) \cdot \frac{\Delta p}{p}$

→ Result from plot of  $x_i$  as a function of  $\Delta p/p \Rightarrow$  slope is local dispersion  $D(s_i)$ .

**Chromaticity  $\xi$ :** Excitation of coherent betatron oscillations and change of momentum  $p$  by detuned rf-cavity:

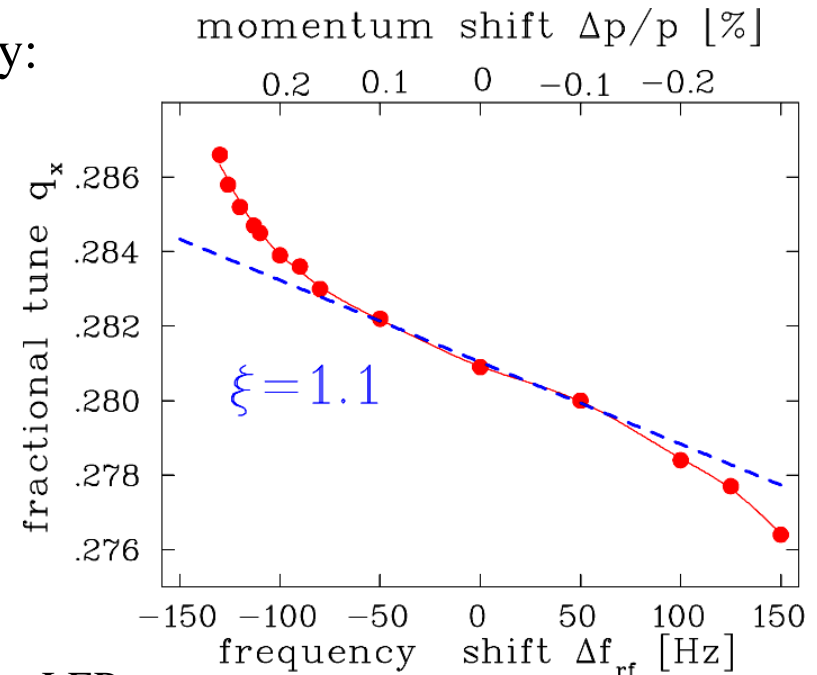
→ Tune measurement

(kick-method, BTF, noise excitation):

$$\frac{\Delta Q}{Q} = \xi \cdot \frac{\Delta p}{p}$$

Plot of  $\Delta Q/Q$  as a function of  $\Delta p/p$

$\Rightarrow$  slope is dispersion  $\xi$ .



Measurement at LEP



# Summary Pick-Ups for bunched Beams



The electric field is monitored for bunched beams using rf-technologies ('frequency domain'). Beside transformers they are the most often used instruments!

**Differentiated or proportional signal:** rf-bandwidth  $\leftrightarrow$  beam parameters

**Proton synchrotron:** 1 to 100 MHz, mostly  $1\text{ M}\Omega \rightarrow$  proportional shape

**LINAC, e<sup>-</sup>-synchrotron:** 0.1 to 3 GHz,  $50\text{ }\Omega \rightarrow$  differentiated shape

**Important quantity:** transfer impedance  $Z_t(\omega, \beta)$ .

## Types of capacitive pick-ups:

Shoe-box (p-synch.), button (p-LINAC, e<sup>-</sup>-LINAC and synch.)

*Remark:* Stripline BPM as traveling wave devices are frequently used

**Position reading:** difference signal of four pick-up plates (BPM):

- **Non-intercepting** reading of center-of-mass  $\rightarrow$  online measurement and control
  - slow reading*  $\rightarrow$  closed orbit, *fast bunch-by-bunch*  $\rightarrow$  trajectory
- Excitation of *coherent betatron oscillations* and response measurement
  - excitation by short kick, white noise or sine-wave (BTF)
  - $\rightarrow$  tune  $q$ , chromaticity  $\xi$ , dispersion  $D$  etc.