



# **CORPUSCULAR OPTICS**

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## Scope

- Beam transport in long, ~periodic machines (linacs, storage rings...) → general beam dynamics, beta functions etc → not here
- Beam transport in a short line
  - Beta functions not relevant (they suppose a quasi-harmonic motion) or unuseful
  - Geometrical optics is needed (ex: spectrometers)
- Programme
  - General matricial optics for accelerators
  - Description/matrix for standard focusing elements
  - Beam description (emittance) and transport
  - Basic properties (achromatic systems, spectrometers)
  - Exercises

## Lorentz force

General case

Non relativistic case only

- Remark: If no acceleration, you can often do as for non-relativistic case with (see later)
- Electric field: focusing, bending and energy change (" acceleration" )
- Magnetic field: focusing and bending only

$$\frac{dm\vec{v}}{dt} = q\left(\vec{E} + \vec{v} \wedge \vec{B}\right)$$

$$\vec{F} = q \left( \vec{E} + \vec{v} \wedge \vec{B} \right)$$

$$m = \gamma \cdot m_0$$

$$\beta = \frac{\nu}{c}$$
$$\gamma = \frac{1}{\sqrt{1 - \beta^2}}$$

## Magnetic rigidity

- T=neV is the kinetic energy
- n is the charge number and V the acceleration voltage
- We consider the energy at rest V<sub>0</sub> and compute the Lorentz factors
- We get the radius of curvature in a magnetic field B

$$B\rho = \frac{mv}{q} = \frac{\gamma m_0 \beta c}{ne} = \frac{\sqrt{n^2 V^2 + 2nVV_0}}{nc} = \frac{\sqrt{T^2 + 2TV_0}}{nc}$$

$$m_0 c^2 = eV_0$$

$$E = \gamma m_0 c^2 = \gamma e V_0 = T + m_0 c^2 = n e V + e V_0$$
$$\Rightarrow \gamma = \frac{n V + V_0}{V_0}$$
$$\Rightarrow \beta = \frac{\sqrt{n^2 V^2 + 2n V V_0}}{n V + V_0}$$

## General frame – Gauss conditions

 Coordinates relative to a reference particle

$$x' = \frac{dx}{ds} = \frac{p_x}{p_s} \qquad y' = \frac{dy}{ds} = \frac{p_y}{p_s}$$

- Gauss conditions →x,x',y,y' small
  - First order calculations
  - Linéarities
  - Non linearities = high order terms
- Phase space (x,x',y,y', ∆L, ∆p/p0)
- Set of canonical <u>conjugate</u> coordinates





Please:

 $\Delta E$ 

Horizontal axis (x)

#### Equation of motion (illustration: one plane, non relativistic motion)

Time→space transform

$$\dot{x} = \frac{dx}{ds}\frac{ds}{dt} = vx' \Longrightarrow x' = \frac{\dot{x}}{v}$$

$$\frac{dx'}{dt} = \frac{dx'}{ds}\frac{ds}{dt} = vx'' = -\frac{1}{v^2}\frac{dv}{dt}\dot{x} + \frac{1}{v}x'' = -\frac{1}{v}\frac{dv}{dt}x' + \frac{1}{v}\ddot{x}$$
$$\frac{dv}{dt} = \frac{dv}{ds}\frac{ds}{dt} = v\frac{dv}{ds}$$

« acceleration »

$$vx'' = -\frac{dv}{ds}x' + \frac{1}{v}\ddot{x}$$
$$\longrightarrow \qquad x'' = \frac{\ddot{x}}{v^2} - \frac{v'}{v}x'$$
$$\ddot{x} = v^2x'' + vv'x'$$

We suppose  $v_s \sim v$ 

#### With a magnetic force (illustration, again)

More generally:

$$x'' = \frac{\ddot{x}}{v^2} - \frac{v'}{v}x' \Longrightarrow x'' = \frac{\ddot{x}}{v^2} - \frac{p'}{p}x'$$

• The « force term »  $\frac{\dot{x}}{v^2}$  is linearized

$$x'' + \frac{p'}{p}x' = F(x) \Longrightarrow x'' + \frac{p'}{p}x' \approx a + bx + cx'$$

- The equation of motion is always the same
  - Damping term related to acceleration
  - The force term
  - $\rightarrow$  Calculation rather easy
  - Relativistic equation



Keywords: damping, focussing, dispersion



### **General conclusion**

- We suppose the equation of motion to be linearized with a good enough approximation
- So, the general (first order) solution in 6D phase space is

$$X(s) = M_{s \leftarrow 0} \cdot X_0$$

M is the transfer (transport matrix) for abscissa 0 to abscissa s

- Transport to higher orders is much more complicated
- Composition:  $M_{3\leftarrow 1} = M_{3\leftarrow 2} \cdot M_{2\leftarrow 1}$
- We will often work in lower dimensions (2 or 4)
- Particular case: horizontal motion with magnetic dispersion

$$x(s) = x_0 \cdot C(s) + x'_0 \cdot S(s) + \frac{\Delta p}{p_0} \cdot D(s)$$

- D is the dispersion function
- Beam transport is a LEGO play: assembly on transfer matrixes
  - Calculation of elementary matrixes (lenses, drift space, bending magnet, edge focusing)
  - General properties of systems versus the properties of matrixes (point to point imaging...)
- It can be shown from hamiltonian mechanics that this is equivalent to geometrical optics (non only an analogy)

#### Magnetic force versus electric force

• 
$$x''_M = \frac{qvB}{mv^2}$$
  
•  $x''_E = \frac{qE}{mv^2}$   
•  $\frac{x_M}{x_E} = \frac{B}{E} \cdot v$ 

- For B=1T and E=1MV/m  $\frac{x_M}{x_E} = 10^{-6} \cdot v$
- Limit for  $v = 10^6 \rightarrow \beta = 0.0033 \rightarrow \sim 10 \ keV \ protons$
- Electrostatic focusing is used for low energy beams (~100 keV protons –order of magnitude, please do the appropriate design-)

•  $x''_E = \frac{qE}{mv^2} = \frac{qE}{qV} = \frac{E}{V}$ : no charge separation (ex: solenoids at source exit)

## GENERAL OPTICAL PROPERTIES OF MATRIXES

Goal:

- Express a transport (optical property) in terms of matrix properties (coefficients)
- Choose and tune the optical elements to get these matrix properties (coefficients)
- Provide you the useful formulas

### **Basic elements**

#### Convention

- Distances are positive from left to right
- Focusing lengths are positive (with the appropriate sign for focussing/defocussing

Fundamental property (2D case)  $det(M_{s\leftarrow 0}) = \frac{p_0}{p_s} = \Delta$ 

Drift space  $\cdot x(L) = x_0 + L \cdot x'_0$ •  $x'(L) = x'_0$  $\cdot M = \begin{bmatrix} 1 & L \\ 0 & 1 \end{bmatrix}$  $X_0$ 0

## Thin lenses

#### Focusing thin lens

- Superposition (linear) of two elementary beams
- $x_s = x_e$ •  $x'_s = x'_e - \frac{x_e}{f}$ •  $M = \begin{bmatrix} 1 & 0 \\ -\frac{1}{f} & 1 \end{bmatrix}$ **x**<sub>e1 -</sub> 1/f

#### Defocusing thin lens



### Point to point imaging

$$M_{s\leftarrow e} = \begin{bmatrix} M_{11} & 0\\ M_{21} & M_{22} \end{bmatrix}$$

#### $M_{11}$ is the magnification



## Focal points

Object



$$T = \begin{bmatrix} M_{11} & M_{12} \\ M_{21} & M_{22} \end{bmatrix} \cdot \begin{bmatrix} 1 & F_0 \\ 0 & 1 \end{bmatrix}$$

$$\begin{bmatrix} x_s \\ 0 \end{bmatrix} = \mathbf{T} \cdot \begin{bmatrix} 0 \\ x'_e \end{bmatrix}$$

$$\rightarrow (M_{21} \cdot F_O + M_{22}) = 0$$

$$\rightarrow F_O = -\frac{M_{22}}{M_{21}}$$



$$\rightarrow (M_{21} \cdot F_0 + M_{11}) = 0$$

$$\rightarrow F_0 = -\frac{M_{11}}{M_{21}}$$

### A useful formula: drift/matrix/drift



## **Principal planes**

- Position of the 2 planes H<sub>1</sub> and H<sub>2</sub> with
  - Point to point imaging from  $H_1$  to  $H_2$
  - Magnification equal to 1
  - $\rightarrow$  any incoming beam exits with the same position (x<sub>s</sub>=x<sub>e</sub>)



#### Position

• 
$$\begin{cases} T_{11} = M_{11} + h_2 M_{21} = 1 \\ T_{12} = h_1 \cdot h_2 M_{21} + h_1 \cdot M_{11} + h_2 \cdot M_{22} + M_{12} = 0 \end{cases}$$

• 
$$h_2 = \frac{1 - M_{11}}{M_{21}}$$
  
•  $h_1 = \frac{\Delta - M_{22}}{M_{21}}$ 

Warning:  $h_1$  is positive upstream,  $h_2$  is positive downstream

#### **Foci vs principal planes**

• We consider the T matrix instead of the M matrix

• 
$$f_o = -\frac{T_{22}}{T_{21}} = -\frac{h_1 \cdot M_{21} + M_{22}}{M_{21}} = -\frac{\Delta}{M_{21}}$$
  
•  $f_i = -\frac{T_{11}}{T_{21}} = -\frac{h_2 \cdot M_{21} + M_{11}}{M_{21}} = -\frac{1}{M_{21}}$ 

$$\frac{f_O}{f_i} = \Delta$$

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#### Use

- This description is useful when using non sharp edge elements like electrostatic lenses and to construct easily trajectories.
- It tells you "where" and "how" the system is. Ex: h<sub>1</sub>=-h<sub>2</sub> ↔ thin lens
- A tracking code provides the transfer matrix M between given planes (far enough in a low field region).
- The values of F<sub>o</sub> and F<sub>i</sub> depend on the choice of the plane: not constant not a real lens characteristic
- The position of H<sub>o</sub> and H<sub>i</sub>, the values of f<sub>o</sub> and f<sub>i</sub> are constant
- The focal lengths given by codes are  $\rm f_o$  and  $\rm f_i$



## Symetric system

 Backward motion is obtained by changing x'→-x'

$$J = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} = J^{-1}$$

$$J \cdot X_m = M_1 \cdot J \cdot X_s = M_1 \cdot J \cdot M_2 \cdot X_m$$

$$M_2 = J \cdot M_1^{-1} \cdot J$$

$$T = J \cdot M_1^{-1} \cdot J \cdot M_1$$



Warning: structure is symetric, trajectory may be

• 
$$T = \frac{1}{\det(M_1)} \begin{bmatrix} M_{11}M_{22} + M_{12}M_{21} & 2M_{22}M_{12} \\ 2M_{11}M_{21} & M_{11}M_{22} + M_{12}M_{21} \end{bmatrix}$$

### Two last properties

General expression of the transfer matrix

$$M = \frac{1}{f_i} \cdot \begin{bmatrix} F_i & f_i \cdot f_O - F_i \cdot F_O \\ -1 & F_O \end{bmatrix}$$

 Point to point imaging for any system: an objet is at a distance p from an optical system. Where is the image?

$$T_{12} = pqM_{21} + pM_{11} + qM_{22} + M_{12} = 0$$
  

$$\rightarrow (p - F_0) \cdot (q - F_i) = f_i \cdot f_0$$
  
Classical thin lens  $\frac{1}{p} + \frac{1}{q} = \frac{1}{f}$ 

# FOCUSING ELEMENTS

Electrostatic lenses Electrostatic quadrupole Magnetic quadrupole Solenoid

## Electrostatic lenses

- Can be flat, round (cylindrical)...
- Can be accelerating or decelerating
- Always focusing





#### Equation of motion (non relativistic)

- Example on a cylindrical lens
  - Poisson
  - $A_0(s) = potential on axis$
  - Paraxial equation of motion
- Same equation for another lens

$$\Delta V = \frac{\partial^2 V}{\partial s^2} + \frac{1}{r} \cdot \frac{\partial}{\partial r} \cdot \left(r \cdot \frac{\partial V}{\partial r}\right) = 0$$
$$V(r, s) = \sum_{n=0}^{+\infty} A_n(s) \cdot r^{2n}$$

$$V(r,s) = A_0(s) - \frac{A''_0}{2^2}r^2 + \sum_{n=2}^{+\infty} (-1)^n \frac{A_0^{(2n)}}{(2n!)^2}r^{2n}$$

- No formula for transfer matrix
- Tables with principal planes and associated focal lengths
- Computer codes. Be careful with the numbers (meaning of the focal lengths, again)

$$r'' + \frac{A'_0}{2A_0}r' + \frac{A''_0}{4A_0}r = 0$$

#### V=0 MUST be for v=0

## Electrostatic quadrupole

• 
$$\vec{F} = \begin{bmatrix} m\ddot{x} \\ m\ddot{y} \end{bmatrix} = -2q \frac{\Delta V}{R_0^2} \cdot \begin{bmatrix} x \\ -y \end{bmatrix}$$
  
•  $x'' = -\frac{g}{v \cdot (B\rho)} x \equiv -K^2 \cdot x$  (case of x-focusing)  
•  $y'' = \frac{g}{v \cdot (B\rho)} y = K^2 \cdot y$ 

• 
$$x = x_0 \cdot cos(KL) + x'_0 \cdot \frac{1}{K} \cdot sin(KL)$$

• 
$$y = y_0 \cdot ch(KL) + x'_0 \cdot \frac{1}{K} \cdot sh(KL)$$

$$V(x,y) = \frac{\Delta V}{R_0^2} \cdot (x^2 - y^2)$$
$$g = \frac{2\Delta V}{R_0^2}$$



|                                 |     | cos(KL)   | $\sin(KL)/K$ | 0 0 ]           |
|---------------------------------|-----|-----------|--------------|-----------------|
| $k^2 - \frac{g}{g}$             | Μ   | -Ksin(KL) | $\cos(KL)$   | 0 0             |
| $K = \frac{1}{v \cdot (B\rho)}$ | M = | 0         | 0            | ch(KL) sh(KL)/K |
|                                 |     | 0         | 0            | Ksh(KL) ch(KL)  |

#### Courtesy Bernard Launé

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- Inside the vacuum chamber
- No power losses
- Insulators must be protected (collimators)

### Magnetic quadrupoles







SOLEIL quadrupoles Courtesy Bernard Launé

## Magnetic quadrupole

- Scalar potential:  $\phi = gxy$
- Field:  $\vec{B} = grad\phi = \begin{bmatrix} gx \\ gy \end{bmatrix}$
- $g = {}^{B_0}/{}_{R_0}$

 $K^2$ 

- Velocity: longitudinal
- $\vec{F} = q\vec{v} \wedge \vec{B}$ •  $x'' = -\frac{qvgx}{mv^2} = -\frac{g}{(B\rho)}x$ •  $x'' = -K^2x$ •  $y'' = K^2x$



$$=\frac{g}{(B\rho)} \qquad M = \begin{bmatrix} \cos(KL) & \sin(KL)/K & 0 & 0 \\ -Ksin(KL) & \cos(KL) & 0 & 0 \\ 0 & 0 & ch(KL) & sh(KL)/K \\ 0 & 0 & Ksh(KL) & ch(KL) \end{bmatrix}$$

## **Optical properties of quadrupoles**

• Principal planes (ex foc plane):

• 
$$h_1 = h_2 = \frac{1 - M_{11}}{M_{21}} = \frac{1 - \cos(KL)}{-Ksin(KL)} \sim -\frac{K^2 L^2}{2K^2 L} = -\frac{L}{2}$$

- A quadrupole is equivalent (up to the validity of the approximation before) to a thin lens surrounded by two drift spaces of half-length
- The focal length of the lens is given by:

•  $\frac{1}{f} = K^2 L$  ie  $\frac{2\Delta V \cdot L}{\nu(B\rho)R_0^2} \sim \frac{\Delta V \cdot L}{TR_0^2}$  (electrostatic, then non relativistic) and  $\frac{gL}{(B\rho)} = \frac{B_0 L}{R_0(B\rho)}$  (magnetic)

• A quadrupole is not stigmatic:  $|M_{21}| \neq |M_{34}|$ 

### Doublet and triplet of identical quads

Doublet: FOD (focusing, drift, defocusing)

$$M = \begin{bmatrix} 1 - L/f & L \\ -L/f^2 & 1 + L/f \end{bmatrix}$$
$$h_1 = -f \text{ and } h_2 = f$$

- A doublet is always convergent but never equivalent to a thin lens
- Symmetric triplet: FODOF

$$M = \begin{bmatrix} 1 - 2L^2/f^2 & 2L(1 + \frac{L}{f}) \\ -2L(1 - \frac{L}{f})/f^2 & 1 - 2L^2/f^2 \end{bmatrix}$$
$$h_1 = h_2 = \frac{-L}{1 - L/f} \sim -L \text{ if } f \gg L \text{ (thin lens)}$$

## **FODO** structure

- A quadrupole focusing in one direction is defocusing in the other one
- The only way to have a stable system is to have an alternate gradient structure with identical quadrupoles : the FODO cell
- Exercise: show a FODO cell is always converging



#### Solenoid – Glaser lenses



~equivalent to a thin lens

#### **Transfer matrix**

- Equation of radial motion
- Radial focusing+rotation.
- The transfer matrix is the product of a rotation  $R_{KL}$  and a focusing matrix N
- Coupling H/V

$$N = \begin{bmatrix} C & S/K & 0 & 0 \\ -KS & C & 0 & 0 \\ 0 & 0 & C & S/K \\ 0 & 0 & -KS & C \end{bmatrix}$$

$$r'' + \left[\frac{B_s}{2(B\rho)}\right]^2 \cdot r = 0$$

$$K = \frac{B_s}{2(B\rho)}$$

$$C = \cos(KL)$$
 and  $S = \sin(KL)$ 

$$M = \begin{bmatrix} C^2 & SC/K & SC & S^2/K \\ -KSC & C^2 & -KS^2 & SC \\ -SC & -S^2/K & C^2 & SC/K \\ KS^2 & -SC & -KSC & C^2 \end{bmatrix}$$

$$M = N \cdot R_{KL}$$

# MAGNETS

Sector magnet Field index Edge focusing Achromatic systems

#### Dipole magnet: beam bending and focusing



- Here: focusing in the deviation plane •
- Field index : horizontal component out • of the middle plane  $\rightarrow$  vertical focusing
- The choice of the index allows any kind • of focusing
- No index: focusing in the deviation plane, drift space in the other one •

$$B_{y} \sim B_{0} + \frac{\partial B_{y}}{\partial x} x = B_{0} \cdot \left[1 - \frac{n}{R}x\right]$$
$$B_{x} = -B_{0} \cdot \frac{n}{R}y$$





$$n = \frac{B_0}{R} \frac{\partial B_y}{\partial x} = -\frac{B_0}{R} \frac{\partial B_x}{\partial y}$$

$$x'' + \frac{1-n}{R^2} x = \frac{1}{R} \frac{\Delta p}{p_0}$$
$$y'' + \frac{n}{R^2} y = 0$$



$$1 - n > 0 \text{ and } n > 0$$

$$K_x = \sqrt{\frac{1 - n}{R^2}}, K_y = \sqrt{\frac{n}{R^2}}, \theta_x = K_x L, \theta_y = K_y L$$

$$C_x = \cos(\theta_x), S_x = \sin(\theta_x), C_y = \cos(\theta_y), S_y = \sin(\theta_y),$$

$$\begin{bmatrix} C_{\chi} & S_{\chi}/K_{\chi} & 0 & 0 & 0 & \frac{(1-C_{\chi})}{RK_{\chi}^{2}} \\ -K_{\chi}S_{\chi} & C_{\chi} & 0 & 0 & 0 & \frac{S_{\chi}}{RK_{\chi}} \\ 0 & 0 & C_{y} & S_{y}/K_{y} & 0 & 0 \\ 0 & 0 & -K_{y}S_{y} & C_{y} & 0 & 0 \\ S_{\chi}/RK_{\chi} & -(1-C_{\chi})/K_{\chi}^{2} & 0 & 0 & 1 & -\frac{\theta_{\chi}-S_{\chi}}{R^{2}K_{\chi}^{3}} \\ 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

$$\begin{aligned} x'' + \frac{1-n}{R^2} x &= \frac{1}{R} \frac{\Delta p}{p_0} \\ y'' + \frac{n}{R^2} y &= 0 \end{aligned} \qquad \begin{aligned} & 1-n < 0 \text{ and } n > 0 \\ K_x &= \sqrt{\frac{1-n}{R^2}}, K_y &= \sqrt{\frac{n}{R^2}}, \theta_x = K_x L, \ \theta_y = K_y L \\ C_x &= ch(\theta_x), S_x = sh(\theta_x), C_y = \cos(\theta_y), S_y = sin(\theta_y), \end{aligned}$$

$$\begin{bmatrix} C_x & S_x/K_x & 0 & 0 & 0 & -\frac{(1-C_x)}{RK_x^2} \\ K_x S_x & C_x & 0 & 0 & 0 & \frac{S_x}{RK_x} \\ 0 & 0 & C_y & S_y/K_y & 0 & 0 \\ 0 & 0 & -K_y S_y & C_y & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ S_x/RK_x & (1-C_x)/K_x^2 & 0 & 0 & 1 & \frac{\theta_x - S_x}{R^2 K_x^3} \\ 0 & 0 & 0 & 0 & 0 & 1 \end{bmatrix}$$



$$1 - n < 0 \text{ and } n < 0$$

$$K_x = \sqrt{\frac{1 - n}{R^2}}, K_y = \sqrt{\frac{n}{R^2}}, \theta_x = K_x L, \theta_y = K_y L$$

$$C_x = ch(\theta_x), S_x = sh(\theta_x), C_y = ch(\theta_y), S_y = sh(\theta_y),$$

$$\begin{bmatrix} C_{\chi} & S_{\chi}/K_{\chi} & 0 & 0 & 0 & -\frac{(1-C_{\chi})}{RK_{\chi}^{2}} \\ K_{\chi}S_{\chi} & C_{\chi} & 0 & 0 & 0 & \frac{S_{\chi}}{RK_{\chi}} \\ 0 & 0 & C_{y} & S_{y}/K_{y} & 0 & 0 \\ 0 & 0 & K_{y}S_{y} & C_{y} & 0 & 0 \\ S_{\chi}/RK_{\chi} & (1-C_{\chi})/K_{\chi}^{2} & 0 & 0 & 1 & \frac{\theta_{\chi}-S_{\chi}}{R^{2}K_{\chi}^{3}} \\ 0 & 0 & 0 & 0 & 0 & 1 \end{bmatrix}$$

## Edge focusing



Edge focusing provides more focusing in one plane and the opposite (less focusing) in the other plane

$$\left|\frac{1}{f}\right| \approx \frac{1}{\rho} \tan\beta$$

#### remark

1/4 angle sur chaque face: ~même focalisation x/y

- If the edge angle is defocusing in the deviation plane and equal to ¼ rotation angle, the global focusing is ~identical in each plane
- If the edge angle is defocusing in the deviation plane and equal to ½ rotation angle, there no longer focusing in the deviation plane (drift) : use of rectangular magnets



Angle  $\frac{1}{2}$  on chaque face : espace deglissement dans le plan de déviation

$$\begin{bmatrix} 1 & ro \sin(\theta) & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 - \tan\left(\frac{\theta}{2}\right)\theta & ro \theta \\ & & \\ 0 & 0 & \frac{\tan\left(\frac{\theta}{2}\right)\left(-2 + \tan\left(\frac{\theta}{2}\right)\theta\right)}{ro} & 1 - \tan\left(\frac{\theta}{2}\right)\theta \end{bmatrix}$$

### **Dispersion**, achromats

- Let the system to be dispersive
- D = Dispersion function
- Separation versus <u>momentum</u>
- Spot size is increased

$$x'' + \frac{p'}{p}x' + k(s)x = \frac{1}{\rho}\frac{\Delta p}{p_0}$$

$$\begin{cases} x(s) = C(s)x_0 + S(s)x'_0 + D(s)\frac{\Delta p}{p_0} \\ x'(s) = C'(s)x_0 + S'(s)x'_0 + D'(s)\frac{\Delta p}{p_0} \end{cases}$$

$$\sigma_x = \sqrt{\sigma_0^2 + D^2 \sigma_{\Delta p/p_0}^2}$$

• Make D=D'=0  $\rightarrow$  Achromatic system

#### **Achromats**

Dispersive system



• One example

And for counterwise rotation?



### Example

$$\begin{aligned} D_{dip} &= \rho(1 - \cos \theta) \\ D'_{dip} &= \sin \theta \\ \Rightarrow \begin{cases} D_{in} &= \rho(1 - \cos \theta) + L \sin \theta \\ D'_{in} &= \sin \theta \end{cases} \\ \Rightarrow \begin{cases} D_{out} &= D_{in} \\ D'_{out} &= D'_{in} - \frac{D_{in}}{f} \equiv -D'_{in} \\ \Rightarrow f &= \frac{D_{in}}{2D'_{in}} = \frac{\rho(1 - \cos \theta) + L \sin \theta}{2 \sin \theta} \end{aligned}$$



- One lens is needed
- In fact: one triplet
- Achromat+foc

#### The achromatic chicane

?







#### examples



FIG. 13. Achromatic magnet system after H. A. Enge (1961).

#### Courtesy Bernard Launé

#### Spectrometer (magnetic separation only)



## Spectrometer design

- Point to point imaging →system size
- Waist to Waist imaging
- Beam size:  $R_S = |M_{11}| \cdot R_E$
- Analysis if  $D \frac{\Delta P}{P} = 2R_S$

$$\frac{p}{\Delta p} = \frac{D}{2|M_{11}| \cdot R_E}$$

- Resolution is directly depending on the magnetic area covered by the beam, not by optics
- Optics has operational aspects (ex: achievable slit size) and low effect on resolution





#### SPEG spectrometer (GANIL)



# **BEAM TRANSPORT**

Beam description: emittance, RMS emittance Emittance transport, Liouville theorem Courant-Snyder invariant – Twiss matrix Emittance matching Emittance measurements(examples) Collimators

#### Global description of a beam (2D case)

- Ex: trajectories of individual particles in a drift space
- Need of a global description
- Need to describe convergence, divergence, beam enveloppe
- Need to describe extrema beam enveloppe ("waist")
- RMS description of the beam



### **Beam matrix**

- Beam matrix
  - Covariance matrix in phase space
  - Here (x, x') only)
  - RMS beam extension in phase space (nD variance)

$$X = \begin{bmatrix} x \\ x' \end{bmatrix} \rightarrow \widetilde{X} = \begin{bmatrix} x & x' \end{bmatrix}$$
$$X\widetilde{X} = \begin{bmatrix} x^2 & xx' \\ xx' & x'^2 \end{bmatrix} \rightarrow \langle X\widetilde{X} \rangle = \begin{bmatrix} \langle x^2 \rangle & \langle xx' \rangle \\ \langle xx' \rangle & \langle x'^2 \rangle \end{bmatrix} \equiv \Sigma$$

- Linear transport easy
- Transformation is a tensorial transform
- $\rightarrow$ Not a matrix but a tensor
- →Matrix: tranformation
- →Tensor: property (here: RMS extent)

$$\begin{split} Y &= MX \Longrightarrow Y\widetilde{Y} = MX\widetilde{X}\widetilde{M} \\ \Longrightarrow < Y\widetilde{Y} > = M < X\widetilde{X} > \widetilde{M} \\ \implies \Sigma_1 = M\Sigma_0\widetilde{M} \end{split}$$

## **Emittance (Twiss) parameters**

- From the beam matrix
- Defines the ellipses including n% of the beam in an RMS (intuitive) sense.
- The ellipse corresponding to  $\varepsilon_{RMS}$  is the concentration ellipse
- Warning; RMS emittance definition changes upon authors, by a factor ½, 2 or 4…

$$\Sigma = \begin{bmatrix} \langle x^2 \rangle & \langle xx' \rangle \\ \langle xx' \rangle & \langle x'^2 \rangle \end{bmatrix} \equiv \begin{bmatrix} \beta \varepsilon_{RMS} & -\alpha \varepsilon_{RMS} \\ -\alpha \varepsilon_{RMS} & \gamma \varepsilon_{RMS} \end{bmatrix}$$
$$\beta \gamma - \alpha^2 \equiv 1$$
$$\beta \left\{ \varepsilon_{RMS} = \sqrt{\det(\Sigma)} = \sqrt{\langle x^2 \rangle \langle x'^2 \rangle - (\langle xx' \rangle)^2} \\ \beta = \frac{\langle x^2 \rangle}{\varepsilon_{RMS}} \\ \alpha = -\frac{\langle xx' \rangle}{\varepsilon_{RMS}} \right\}$$

Not to be confused with Lorentz factors

### Ellipses



- RMS ellipses
- Include more or less (ex : 95%) particles.
- 4 paramèters  $(\alpha, \beta, \gamma, \epsilon)$  in fact 3.
- Ex: if the beam is gaussian in two dimensions, the number of particles in the ellipse is

$$N_0 \cdot [1 - exp(-\varepsilon/2\varepsilon_{RMS})]$$

- $\sigma_{\epsilon} = 2\epsilon_{\text{RMS}}$  is the emittance standard deviation
  - $\sigma_{\epsilon}$  includes 63%
  - + 2  $\sigma_{\!\epsilon}$  includes 86%
  - $3 \sigma_{\epsilon}$  includes 95%

#### **Emittance transport**

Explicit formula

$$\begin{bmatrix} \beta \\ \alpha \\ \gamma \end{bmatrix}_{1} = \frac{1}{\Delta} \begin{bmatrix} M_{11}^{2} & -2M_{11}M_{12} & M_{12}^{2} \\ -M_{11}M_{21} & M_{12}M_{21} + M_{11}M_{22} & -M_{22}M_{12} \\ M_{21}^{2} & -2M_{22}M_{21} & M_{22}^{2} \end{bmatrix} \begin{bmatrix} \beta \\ \alpha \\ \gamma \end{bmatrix}_{0}$$

Beam RMS enveloppe

$$\sqrt{\langle x^2 \rangle} = \sqrt{\beta \cdot \varepsilon_{rms}}$$

•  $\alpha$  versus  $\beta$ 

$$x(s+ds) = x(s) + x'(s)ds \to M_{ds} = \begin{bmatrix} 1 & ds \\ \dots & \dots \end{bmatrix}$$
$$\beta(s+ds) = \beta(s) - 2\alpha \cdot ds$$
$$a = -\frac{\beta'}{2}$$

 Enveloppe extremum if α=0 (waist )

#### Courant/Snyder invariant – Emittance matching

- Consider a periodic system made of identical cells (no acceleration). Let M be the matrix of each cell. M has 2 eigenvalues  $\lambda$  and  $1/\lambda$  (determinant is 1)
- Suppose the motion to be stable, then λ<sup>n</sup> and 1/ λ<sup>n</sup> must be bounded for any value of n (integer)
- The only way is  $|\lambda| = 1 = |1/\lambda| \rightarrow \lambda = e^{i\mu}$

• 
$$\rightarrow Tr(M) = \lambda + \frac{1}{\lambda} = 2\cos(\mu)$$

• The motion is stable if and only if  $0 \le \frac{1}{2}Tr(M) < 1$ 

#### Courant/Snyder invariant – Emittance matching

- Suppose the motion to be stable
- The following formulas are straighforward, with the transfer matrix TWISS parameters

$$M = \begin{bmatrix} \cos \mu + \alpha^* \sin \mu & \beta^* \sin \mu \\ \gamma^* \sin \mu & \cos \mu - \alpha^* \sin \mu \end{bmatrix}$$
$$M = \cos \mu \cdot \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} + \sin \mu \cdot \begin{bmatrix} \alpha^* & \beta^* \\ \gamma^* & -\alpha^* \end{bmatrix} \equiv \cos \mu \cdot I + \sin \mu \cdot J$$
$$J^2 = -1$$
$$M \cdot \begin{bmatrix} \beta^* & -\alpha^* \\ -\alpha^* & \gamma^* \end{bmatrix} \cdot \tilde{M} = \begin{bmatrix} \beta^* & -\alpha^* \\ -\alpha^* & \gamma^* \end{bmatrix}$$

Emittance matching: if the injected emittance Twiss parameters are equal to the system Twiss parameters, the oscillations of the beam enveloppe are minimized, and the beam occupies less space in phase space.



## **Beam matching**



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#### Liouville Theorem (2D)

Let X<sub>1</sub> and X<sub>2</sub> be to vectors in phase space

$$Y_1 = M \cdot X_1$$
 and  $Y_2 = M \cdot X_2$ 

$$det[Y_1 \quad Y_2] = det(M) \cdot det[X_1 \quad X_2] = \frac{p_e}{p_s} \cdot det[X_1 \quad X_2]$$

- The area in phase space varies accordingly to momentum
- $\rightarrow$  the area is constant if there is no acceleration
- $\rightarrow \beta_{Lorentz} \cdot \gamma_{Lorentz} \cdot \varepsilon$  is constant (normalized emittance)
- Warning: if the motion is not linear, the "apparent" RMS emittance varies, even the surface in phase space is constant

#### A few words about emittance measurements

- The RMS enveloppe varies with focusing
- It is related to the initial emittance parameters
- A known lens (system) is used with differents tunings
- N profile (RMS) measurements are made
- N equation with 4 unknown are obtained
- Warning: numerically unstable with solenoids (even if a theoretical solution exists)

$$< x^{2} >= \sigma_{0}^{2} = \beta_{0} \varepsilon_{RMS}$$
$$\sigma^{2} = (A\beta + B\alpha + C\gamma) \varepsilon_{RMS}$$



#### Moving slit (real phase picture)



Elliptic shape might be far from reality at low energy



Courtesy Bernard Launé

#### The reality (SILHI source, Saclay)



Saclay source SILHI

Courtesy Bernard Launé

## Collimators on some examples

- Collimator:  $\begin{bmatrix} A \\ \lambda \end{bmatrix}$  (A=aperture,  $\lambda \in \mathbb{R}$ )
- M: transfer matrix from collimator to target
- Case  $1:M_{22} = 0$ . A horizontal line is transformed to an horizontal one. No effect on beam size
- Case 2: $M_{12} = 0$ . A vertical line is transformed to an vertical one. Effect is maximum. In this case  $R_{target} = |M_{11}| \cdot A$

