LPSE

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## CORPUSCULAR OPTICS

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## Scope

- Beam transport in long, ~periodic machines (linacs, storage rings...) $\rightarrow$ general beam dynamics, beta functions etc $\rightarrow$ not here
- Beam transport in a short line
- Beta functions not relevant (they suppose a quasi-harmonic motion) or unuseful
- Geometrical optics is needed (ex: spectrometers)
- Programme
- General matricial optics for accelerators
- Description/matrix for standard focusing elements
- Beam description (emittance) and transport
- Basic properties (achromatic systems, spectrometers)
- Exercises


## Lorentz force

- General case

$$
\frac{d m \vec{v}}{d t}=q(\vec{E}+\vec{v} \wedge \vec{B})
$$

- Non relativistic case only

$$
\vec{F}=q(\vec{E}+\vec{v} \wedge \vec{B}
$$

- Remark: If no acceleration, you can often do as for non-relativistic case with (see later)

$$
\begin{gathered}
m=\gamma \cdot m_{0} \\
\beta=v / c
\end{gathered}
$$

- Electric field: focusing, bending and energy change (" acceleration" )

$$
\gamma=\frac{1}{\sqrt{1-\beta^{2}}}
$$

- Magnetic field: focusing and bending only


## Magnetic rigidity

- $\mathrm{T}=\mathrm{neV}$ is the kinetic energy

$$
m_{0} c^{2}=e V_{0}
$$

- n is the charge number and V the acceleration voltage
- We consider the energy at rest $\mathrm{V}_{0}$ and compute the Lorentz factors

$$
\begin{aligned}
& E=\gamma m_{0} c^{2}=\gamma e V_{0}=T+m_{0} c^{2}=n e V+e V_{0} \\
& \Rightarrow \gamma=\frac{n V+V_{0}}{V_{0}} \\
& \Rightarrow \beta=\frac{\sqrt{n^{2} V^{2}+2 n V V_{0}}}{n V+V_{0}}
\end{aligned}
$$

- We get the radius of curvature in a magnetic field $B$

$$
B \rho=\frac{m v}{q}=\frac{\gamma m_{0} \beta c}{n e}=\frac{\sqrt{n^{2} V^{2}+2 n V V_{0}}}{n c}=\frac{\sqrt{T^{2}+2 T V_{0}}}{n c}
$$

## General frame - Gauss conditions

Coordinates relative to a reference particle

$$
x^{\prime}=\frac{d x}{d s}=\frac{p_{x}}{p_{s}} \quad y^{\prime}=\frac{d y}{d s}=\frac{p_{v}}{p_{s}}
$$

Gauss conditions $\rightarrow \mathrm{x}, \mathrm{x}^{\prime}, \mathrm{y}, \mathrm{y}^{\prime}$ small

- First order calculations
- Linéarities
- Non linearities = high order terms


Horizontal axis (x)

Please:

$$
\begin{gathered}
\frac{\Delta p}{p} \neq \frac{\Delta E}{E} \\
\frac{\Delta p}{p} \neq \frac{1}{2} \cdot \frac{\Delta E}{E}
\end{gathered}
$$

We will work mainly with transverse coordinates

## Equation of motion (illustration: one plane, non relativistic motion)

- Time $\rightarrow$ space transform

$$
\dot{x}=\frac{d x}{d s} \frac{d s}{d t}=v x^{\prime} \Rightarrow x^{\prime}=\frac{\dot{x}}{v}
$$

$$
\begin{aligned}
& \frac{d x^{\prime}}{d t}=\frac{d x^{\prime}}{d s} \frac{d s}{d t}=v x^{\prime \prime}=-\frac{1}{v^{2}} \frac{d v}{d t} \dot{x}+\frac{1}{v} x^{\prime \prime}=-\frac{1}{v} \frac{d v}{d t} x^{\prime}+\frac{1}{v} \ddot{x} \\
& \frac{d v}{d t}=\frac{d v}{d s} \frac{d s}{d t}=v \frac{d v}{d s}
\end{aligned}
$$

- «acceleration»

$$
\begin{aligned}
& v x^{\prime \prime}=-\frac{d v}{d s} x^{\prime}+\frac{1}{v} \ddot{x} \\
& \ddot{x}=v^{2} x^{\prime \prime}+v v^{\prime} x^{\prime}
\end{aligned} \rightarrow x^{\prime \prime}=\frac{\ddot{x}}{v^{2}}-\frac{v^{\prime}}{v} x^{\prime}
$$

We suppose $\mathrm{v}_{\mathrm{s}} \sim \mathrm{v}$

## With a magnetic force (illustration, again)

- More generally:

$$
x^{\prime \prime}=\frac{\ddot{x}}{v^{2}}-\frac{v^{\prime}}{v} x^{\prime} \Rightarrow x^{\prime \prime}=\frac{\ddot{x}}{v^{2}}-\frac{p^{\prime}}{p} x^{\prime}
$$

- The «force term» $\frac{\ddot{x}}{v^{2}}$ is
linearized

$$
x^{\prime \prime}+\frac{p^{\prime}}{p} x^{\prime}=F(x) \Rightarrow x^{\prime \prime}+\frac{p^{\prime}}{p} x^{\prime} \approx a+b x+c x^{\prime}
$$

- The equation of motion is always the same
- Damping term related to acceleration
- The force term
$\rightarrow$ Calculation rather easy
>Relativistic equation


Keywords: damping, focussing, dispersion

## General 2D solution $\quad x^{*}+\frac{p^{\prime}}{p^{\prime}} x^{\prime}+k(s) x=\frac{1 \Delta p}{\rho_{0}} \frac{\Delta p}{p_{j}}$

$$
x^{\prime \prime}+\frac{p^{\prime}}{p} x^{\prime}+k(s) x=0
$$

$$
\begin{gathered}
x(s)=x_{0} \cdot C(s)+x_{0}^{\prime} \cdot S(s) \\
x^{\prime}(s)=x_{0} \cdot C^{\prime}(s)+x_{0}^{\prime} \cdot S^{\prime}(s)
\end{gathered}
$$

$$
\text { With } C(0)=1, C^{\prime}(0)=0, S(0)=0 . S^{\prime}(0)=1
$$

$$
x^{\prime \prime}+\frac{p^{\prime}}{p} x^{\prime}+k(s) x=\frac{1}{\rho_{0}} \frac{\Delta p}{p_{0}}
$$

$$
\begin{gathered}
X(s)=\left[\begin{array}{l}
x(s) \\
x^{\prime}(s)
\end{array}\right]=\left[\begin{array}{cc}
C(s) & S(s) \\
C^{\prime}(s) & S^{\prime}(s)
\end{array}\right] \cdot X_{0} \\
X(s)=M_{s \leftarrow 0} \cdot X_{0} \\
x(s)=x_{0} \cdot C(s)+x_{0}^{\prime} \cdot S(s)+\frac{\Delta p}{p_{0}} \cdot D(s)
\end{gathered}
$$

## General conclusion

- We suppose the equation of motion to be linearized with a good enough approximation
- So, the general (first order) solution in 6D phase space is

$$
X(s)=M_{s \leftarrow 0} \cdot X_{0}
$$

M is the transfer (transport matrix) for abscissa 0 to abscissa s

- Transport to higher orders is much more complicated
- Composition: $M_{3 \leftarrow 1}=M_{3 \leftarrow 2} \cdot M_{2 \leftarrow 1}$
- We will often work in lower dimensions (2 or 4)
- Particular case: horizontal motion with magnetic dispersion

$$
x(s)=x_{0} \cdot C(s)+x_{0}^{\prime} \cdot S(s)+\frac{\Delta p}{p_{0}} \cdot D(s)
$$

- $D$ is the dispersion function
- Beam transport is a LEGO play: assembly on transfer matrixes
- Calculation of elementary matrixes (lenses, drift space, bending magnet, edge focusing)
- General properties of systems versus the properties of matrixes (point to point imaging...)
- It can be shown from hamiltonian mechanics that this is equivalent to geometrical optics (non only an analogy)


## Magnetic force versus electric force

- $x^{\prime \prime}{ }_{M}=\frac{q v B}{m v^{2}}$
- $x_{E}^{\prime \prime}=\frac{q E}{m v^{2}}$
$\frac{x_{M}}{x_{E}}=\frac{B}{E} \cdot v$
- For $\mathrm{B}=1 \mathrm{~T}$ and $\mathrm{E}=1 \mathrm{MV} / \mathrm{m} \frac{x_{M}}{x_{E}}=10^{-6} \cdot v$
- Limit for $v=10^{6} \rightarrow \beta=0.0033 \rightarrow \sim 10$ keV protons
- Electrostatic focusing is used for low energy beams ( $\sim 100 \mathrm{keV}$ protons -order of magnitude, please do the appropriate design-)
- $x_{E}^{\prime \prime}=\frac{q E}{m v^{2}}=\frac{q E}{q V}=\frac{E}{V}$ : no charge separation (ex: solenoids at source exit)


## GENERAL OPTICAL PROPERTIES OF MATRIXES

## Goal:

- Express a transport (optical property) in terms of matrix properties (coefficients)
- Choose and tune the optical elements to get these matrix properties (coefficients)
- Provide you the useful formulas


## Basic elements

## Convention

- Distances are positive from left to right
- Focusing lengths are positive (with the appropriate sign for focussing/defocussing

Fundamental property (2D case)

$$
\operatorname{det}\left(M_{s \leftarrow 0}\right)=\frac{p_{0}}{p_{s}}=\Delta
$$

## Thin lenses

## Focusing thin lens

- Superposition (linear) of two elementary beams
- $x_{s}=x_{e}$
- $x_{s}^{\prime}=x_{e}^{\prime}-\frac{x_{e}}{f}$
- $M=\left[\begin{array}{cc}1 & 0 \\ -\frac{1}{f} & 1\end{array}\right]$



## Defocusing thin lens

- $x_{s}=x_{e}$
- $x^{\prime}{ }_{s}=x^{\prime}{ }_{e}-\frac{x_{e}}{f}$
- $M=\left[\begin{array}{ll}1 & 0 \\ \frac{1}{f} & 1\end{array}\right]$



## Point to point imaging

$$
M_{S \leftarrow e}=\left[\begin{array}{cc}
M_{11} & 0 \\
M_{21} & M_{22}
\end{array}\right]
$$

$M_{11}$ is the magnification

$$
M_{11} \cdot M_{22}=\frac{p_{e}}{p_{s}}=\Delta
$$



## Focal points

Object


$$
\begin{aligned}
T & =\left[\begin{array}{cc}
M_{11} & M_{12} \\
M_{21} & M_{22}
\end{array}\right] \cdot\left[\begin{array}{cc}
1 & F_{0} \\
0 & 1
\end{array}\right] \\
& \rightarrow\left(\begin{array}{c}
x_{s} \\
0
\end{array}\right]=\mathrm{T} \cdot\left[\begin{array}{c}
0 \\
x^{\prime}{ }_{e}
\end{array}\right] \\
& \left(M_{21} \cdot F_{O}+M_{22}\right)=0
\end{aligned}
$$

$$
\rightarrow F_{O}=-\frac{M_{22}}{M_{21}}
$$

Image


$$
\begin{gathered}
T=\left[\begin{array}{cc}
1 & F_{i} \\
0 & 1
\end{array}\right] \cdot\left[\begin{array}{ll}
M_{11} & M_{12} \\
M_{21} & M_{22}
\end{array}\right] \\
\rightarrow\left(\begin{array}{c}
0 \\
x^{\prime}
\end{array}\right]=\mathrm{T} \cdot\left[\begin{array}{c}
x_{e} \\
0
\end{array}\right] \\
\left.\rightarrow M_{21} \cdot F_{O}+M_{11}\right)=0 \\
\rightarrow-\frac{M_{11}}{M_{21}}
\end{gathered}
$$

## A useful formula: drift/matrix/drift



$$
\left\{\begin{array}{c}
T_{11}=M_{11}+q M_{21} \\
T_{12}=p q M_{21}+p M_{11}+q M_{22}+M_{12} \\
T_{21}=M_{21} \\
T_{22}=p M_{21}+M_{22}
\end{array}\right.
$$

## Principal planes

- Position of the 2 planes $\mathrm{H}_{1}$ and $\mathrm{H}_{2}$ with
- Point to point imaging from $\mathrm{H}_{1}$ to $\mathrm{H}_{2}$
- Magnification equal to 1
$\rightarrow$ any incoming beam exits with the same position $\left(x_{s}=x_{e}\right)$



## Position

$\cdot\left\{\begin{array}{c}T_{11}=M_{11}+h_{2} M_{21}=1 \\ T_{12}=h_{1} \cdot h_{2} M_{21}+h_{1} \cdot M_{11}+h_{2} \cdot M_{22}+M_{12}=0\end{array}\right.$

- $h_{2}=\frac{1-M_{11}}{M_{21}}$
- $h_{1}=\frac{\Delta-M_{22}}{M_{21}}$

Warning: $h_{1}$ is positive upstream, $h_{2}$ is positive downstream
Foci vs principal planes

- We consider the T matrix instead of the M matrix
- $f_{o}=-\frac{T_{22}}{T_{21}}=-\frac{h_{1} \cdot M_{21}+M_{22}}{M_{21}}=-\frac{\Delta}{M_{21}}$
- $f_{i}=-\frac{T_{11}}{T_{21}}=-\frac{h_{2} \cdot M_{21}+M_{11}}{M_{21}}=-\frac{1}{M_{21}}$

$$
\frac{f_{O}}{f_{i}}=\Delta
$$

## 19

- This description is useful when using non sharp edge elements like electrostatic lenses and to construct easily trajectories.
- It tells you "where" and "how" the system is. Ex: $h_{1}=-h_{2} \leftrightarrow$ thin lens
- A tracking code provides the transfer matrix $M$ between given planes (far enough in a low field region).
- The values of $F_{0}$ and $F_{i}$ depend on the choice of the plane: not constant not a real lens characteristic
- The position of $\mathrm{H}_{0}$ and $\mathrm{H}_{\mathrm{i}}$, the values of $f_{o}$ and $f_{i}$ are constant
- The focal lengths given by codes
 are $f_{o}$ and $f_{i}$


## Symetric system

## - Backward motion is obtained

 by changing $x^{\prime} \rightarrow-x^{\prime}$$$
\begin{gathered}
J=\left[\begin{array}{cc}
1 & 0 \\
0 & -1
\end{array}\right]=J^{-1} \\
J \cdot X_{m}=M_{1} \cdot J \cdot X_{s}=M_{1} \cdot J \cdot M_{2} \cdot X_{m} \\
M_{2}=J \cdot M_{1}^{-1} \cdot J \\
T=J \cdot M_{1}^{-1} \cdot J \cdot M_{1}
\end{gathered}
$$



Warning: structure is symetric, trajectory may be

$$
\cdot T=\frac{1}{\operatorname{det}\left(M_{1}\right)}\left[\begin{array}{cc}
M_{11} M_{22}+M_{12} M_{21} & 2 M_{22} M_{12} \\
2 M_{11} M_{21} & M_{11} M_{22}+M_{12} M_{21}
\end{array}\right]
$$

## Two last properties

- General expression of the transfer matrix

$$
M=\frac{1}{f_{i}} \cdot\left[\begin{array}{cc}
F_{i} & f_{i} \cdot f_{O}-F_{i} \cdot F_{O} \\
-1 & F_{O}
\end{array}\right]
$$

- Point to point imaging for any system: an objet is at a distance p from an optical system. Where is the image?

$$
\begin{aligned}
T_{12}= & p q M_{21}+p M_{11}+q M_{22}+M_{12}=0 \\
& \rightarrow\left(p-F_{o}\right) \cdot\left(q-F_{i}\right)=f_{i} \cdot f_{0}
\end{aligned}
$$

Classical thin lens $\frac{1}{p}+\frac{1}{q}=\frac{1}{f}$

## FOCUSING ELEMENTS

Electrostatic lenses
Electrostatic quadrupole
Magnetic quadrupole
Solenoid

## Electrostatic lenses

- Can be flat, round (cylindrical)...
- Can be accelerating or decelerating
- Always focusing



## Equation of motion (non relativistic)

- Example on a cylindrical lens
- Poisson
- $\mathrm{A}_{0}(\mathrm{~s})=$ potential on axis
- Paraxial equation of motion
- Same equation for another

$$
\Delta V=\frac{\partial^{2} V}{\partial s^{2}}+\frac{1}{r} \cdot \frac{\partial}{\partial r} \cdot\left(r \cdot \frac{\partial V}{\partial r}\right)=0
$$

$$
V(r, s)=\sum_{n=0}^{+\infty} A_{n}(s) \cdot r^{2 n}
$$ lens

- In practise:

$$
V(r, s)=A_{0}(s)-\frac{A_{0}}{2^{2}} r^{2}+\sum_{n=2}^{+\infty}(-1)^{n} \frac{A_{0}^{(2 n)}}{(2 n!)^{2}} r^{2 n}
$$

- No formula for transfer matrix
- Tables with principal planes and associated focal lengths
- Computer codes. Be careful with the numbers (meaning of

$$
r^{\prime \prime}+\frac{A_{0}^{\prime}}{2 A_{0}} r^{\prime}+\frac{A_{0} 0_{0}}{4 A_{0}} r=0
$$ the focal lengths, again)

## Electrostatic quadrupole

- $\vec{F}=\left[\begin{array}{c}m \ddot{x} \\ m \ddot{y}\end{array}\right]=-2 q \frac{\Delta V}{R_{0}^{2}} \cdot\left[\begin{array}{c}x \\ -y\end{array}\right]$
- $x^{\prime \prime}=-\frac{g}{v \cdot(B \rho)} x \equiv-K^{2} \cdot x$ (case of $x$ -
$V(x, y)=\frac{\Delta V}{R_{0}^{2}} \cdot\left(x^{2}-y^{2}\right)$
focusing)
- $y^{\prime \prime}=\frac{g}{v \cdot(B \rho)} y=K^{2} \cdot y$
- $x=x_{0} \cdot \cos (K L)+x^{\prime}{ }_{0} \cdot \frac{1}{K}$.
$\sin (K L)$
- $y=y_{0} \cdot \operatorname{ch}(K L)+x^{\prime}{ }_{0} \cdot \frac{1}{K} \cdot \operatorname{sh}(K L)$

$$
g=\frac{2 \Delta V}{R_{0}^{2}}
$$



$$
K^{2}=\frac{g}{v \cdot(B \rho)}
$$

$$
M=\left[\begin{array}{cccc}
\cos (K L) & \sin (K L) / K & 0 & 0 \\
-K \sin (K L) & \cos (K L) & 0 & 0 \\
0 & 0 & \operatorname{ch}(K L) & \operatorname{sh}(K L) / K \\
0 & 0 & K \operatorname{sh}(K L) & \operatorname{ch}(K L)
\end{array}\right]
$$



- Inside the vacuum chamber
- No power losses
- Insulators must be protected (collimators)


## Magnetic quadrupoles




SOLEIL quadrupoles Courtesy Bernard Launé

## Magnetic quadrupole

- Scalar potential: $\phi=g x y$
- Field: $\vec{B}=\operatorname{grad} \phi=\left[\begin{array}{l}g x \\ g y\end{array}\right]$
- $g={ }^{B_{0}} / R_{0}$
- Velocity: longitudinal
- $\vec{F}=q \vec{v} \wedge \vec{B}$
- $x^{\prime \prime}=-\frac{q v g x}{m v^{2}}=-\frac{g}{(B \rho)} x$
- $x^{\prime \prime}=-K^{2} x$

- $y^{\prime \prime}=K^{2} x$

$$
M=\left[\begin{array}{cccl}
\cos (K L) & \sin (K L) / K & 0 & 0 \\
-K \sin (K L) & \cos (K L) & 0 & 0 \\
0 & 0 & \operatorname{ch}(K L) & \operatorname{sh}(K L) / K \\
0 & 0 & K \operatorname{sh}(K L) & \operatorname{ch}(K L)
\end{array}\right]
$$

## Optical properties of quadrupoles

- Principal planes (ex foc plane):
- $h_{1}=h_{2}=\frac{1-M_{11}}{M_{21}}=\frac{1-\cos (K L)}{-K \sin (K L)} \sim-\frac{K^{2} L^{2}}{2 K^{2} L}=-\frac{L}{2}$
- A quadrupole is equivalent (up to the validity of the approximation before) to a thin lens surrounded by two drift spaces of half-length
- The focal length of the lens is given by:
$\cdot \frac{1}{f}=K^{2} L$ ie $\frac{\Delta \Delta V \cdot L}{v(B \rho) R_{0}^{2}} \sim \frac{\Delta V \cdot L}{T R_{0}^{2}}$ (electrostatic, then non relativistic) and $\frac{g L}{(B \rho)}=\frac{B_{0} L}{R_{0}(B \rho)}$ (magnetic)
- A quadrupole is not stigmatic: $\left|M_{21}\right| \neq\left|M_{34}\right|$


## Doublet and triplet of identical quads

- Doublet: FOD (focusing, drift, defocusing)

$$
\begin{gathered}
M=\left[\begin{array}{cc}
1-L / f & L \\
-L / f^{2} & 1+L / f
\end{array}\right] \\
h_{1}=-f \text { and } h_{2}=f
\end{gathered}
$$

- A doublet is always convergent but never equivalent to a thin lens
- Symmetric triplet: FODOF

$$
\begin{gathered}
M=\left[\begin{array}{cc}
1-2 L^{2} / f^{2} & 2 L\left(1+\frac{L}{f}\right) \\
-2 L\left(1-\frac{L}{f}\right) / f^{2} & 1-2 L^{2} / f^{2}
\end{array}\right] \\
h_{1}=h_{2}=\frac{-L}{1-L / f} \sim-L \text { if } f \gg L \text { (thin lens) }
\end{gathered}
$$

## FODO structure

- A quadrupole focusing in one direction is defocusing in the other one
- The only way to have a stable system is to have an alternate gradient structure with identical quadrupoles: the FODO cell
- Exercise: show a FODO cell is always converging



## Solenoid - Glaser lenses



## Transfer matrix

- Equation of radial motion

$$
r^{\prime \prime}+\left[\frac{B_{s}}{2(B \rho)}\right]^{2} \cdot r=0
$$

- Radial focusing+rotation.
- The transfer matrix is the product of a rotation $R_{K L}$ and a focusing matrix N
- Coupling H/V

$$
N=\left[\begin{array}{cccc}
C & S / K & 0 & 0 \\
-K S & C & 0 & 0 \\
0 & 0 & C & S / K \\
0 & 0 & -K S & C
\end{array}\right]
$$

$$
\begin{gathered}
K=\frac{B_{S}}{2(B \rho)} \\
C=\cos (K L) \text { and } S=\sin (K L) \\
M=\left[\begin{array}{cccc}
C^{2} & S C / K & S C & S^{2} / K \\
-K S C & C^{2} & -K S^{2} & S C \\
-S C & -S^{2} / K & C^{2} & S C / K \\
K S^{2} & -S C & -K S C & C^{2}
\end{array}\right] \\
M=N \cdot R_{K L}
\end{gathered}
$$

## MAGNETS

## Sector magnet

Field index
Edge focusing
Achromatic systems

## Dipole magnet: beam bending and focusing



- Here: focusing in the deviation plane
- Field index : horizontal component out of the middle plane $\rightarrow$ vertical focusing
- The choice of the index allows any kind of focusing
- No index: focusing in the deviation plane, drift space in the other one


$$
\begin{gathered}
B_{y} \sim B_{0}+\frac{\partial B_{y}}{\partial x} x=B_{0} \cdot\left[1-\frac{n}{R} x\right] \\
B_{x}=-B_{0} \cdot \frac{n}{R} y
\end{gathered}
$$

$$
\begin{aligned}
& x^{\prime \prime}+\frac{1-n}{R^{2}} x=\frac{1}{R} \frac{\Delta p}{p_{0}} \\
& y^{\prime \prime}+\frac{n}{R^{2}} y=0
\end{aligned}
$$

$$
\begin{aligned}
& x^{\prime \prime}+\frac{1-n}{R^{2}} x=\frac{1}{R} \frac{\Delta p}{p_{0}} \\
& y^{\prime \prime}+\frac{n}{R^{2}} y=0
\end{aligned}
$$

$$
\begin{aligned}
& 1-n>0 \text { and } n>0 \\
& K_{x}=\sqrt{\frac{1-n}{R^{2}}}, K_{y}=\sqrt{\frac{n}{R^{2}}}, \theta_{x}=K_{x} L, \theta_{y}=K_{y} L \\
& C_{x}=\cos \left(\theta_{x}\right), S_{x}=\sin \left(\theta_{x}\right), C_{y}=\cos \left(\theta_{y}\right), S_{y}=\sin \left(\theta_{y}\right)
\end{aligned}
$$

$$
\left[\begin{array}{cccccc}
C_{x} & S_{x} / K_{x} & 0 & 0 & 0 & \frac{\left(1-C_{x}\right)}{R K_{x}^{2}} \\
-K_{x} S_{x} & C_{x} & 0 & 0 & 0 & \frac{S_{x}}{R K_{x}} \\
& & & & C_{y} & S_{y} / K_{y} \\
0 & 0 & -K_{y} S_{y} & C_{y} & 0 & 0 \\
0 & 0 & 0 & 0 & 0 \\
& & 0 & 0 & 0 & -\frac{\theta_{x}-S_{x}}{R^{2} K_{x}^{3}} \\
S_{x} / R K_{x} & -\left(1-C_{x}\right) / K_{x}^{2} & 0 & & & 0
\end{array}\right]
$$

$$
\begin{aligned}
& x^{\prime \prime}+\frac{1-n}{R^{2}} x=\frac{1}{R} \frac{\Delta p}{p_{0}} \\
& y^{\prime \prime}+\frac{n}{R^{2}} y=0
\end{aligned}
$$

$$
\begin{gathered}
1-n<0 \text { and } n>0 \\
K_{x}=\sqrt{\frac{1-n}{R^{2}}}, K_{y}=\sqrt{\frac{n}{R^{2}}}, \theta_{x}=K_{x} L, \theta_{y}=K_{y} L \\
C_{x}=\operatorname{ch}\left(\theta_{x}\right), S_{x}=\operatorname{sh}\left(\theta_{x}\right), C_{y}=\cos \left(\theta_{y}\right), S_{y}=\sin \left(\theta_{y}\right)
\end{gathered}
$$

$$
x^{\prime \prime}+\frac{1-n}{R^{2}} x=\frac{1}{R} \frac{\Delta p}{p_{0}}
$$

$$
\begin{aligned}
& K_{x}=\sqrt{\frac{1-n}{R^{2}}}, K_{y}=\sqrt{\frac{n}{R^{2}}}, \theta_{x}=K_{x} L, \theta_{y}=K_{y} L \\
& C_{x}=\operatorname{ch}\left(\theta_{x}\right), S_{x}=\operatorname{sh}\left(\theta_{x}\right), C_{y}=\operatorname{ch}\left(\theta_{y}\right), S_{y}=\operatorname{sh}\left(\theta_{y}\right)
\end{aligned}
$$

## Edge focusing



Less horizontal focussing => vertical focussing

Edge focusing provides more focusing in one plane and the opposite (less focusing) in the other plane

$$
\left|\frac{1}{f}\right| \approx \frac{1}{\rho} \tan \beta
$$

## remark

$1 / 4$ angle sur chaque face: ~même focalisation $\mathrm{x} / \mathrm{y}$

- If the edge angle is defocusing in the deviation plane and equal to $1 / 4$ rotation angle, the global focusing is ~identical in each plane
- If the edge angle is defocusing in the deviation plane and equal to $1 / 2$ rotation angle, there no longer focusing in the deviation plane (drift) : use of rectangular magnets

$$
\left[\begin{array}{cccc}
4 \cos \left(\frac{\theta}{4}\right)^{2}-3 & r o \sin (\theta) & 0 & 0 \\
-\frac{2 \sin \left(\frac{\theta}{4}\right)}{\cos \left(\frac{\theta}{4}\right) r o} & 4 \cos \left(\frac{\theta}{4}\right)^{2}-3 & 0 & 0 \\
0 & 0 & 1-\tan \left(\frac{\theta}{4}\right) \theta & r o \theta \\
0 & 0 & \frac{\tan \left(\frac{\theta}{4}\right)\left(-2+\tan \left(\frac{\theta}{4}\right) \theta\right)}{r o} & 1-\tan \left(\frac{\theta}{4}\right) \theta
\end{array}\right]
$$

Angle $1 / 2$ on chaque face : espace deglissement dans le plan de déviation

$$
\left[\begin{array}{cccc}
1 & r o \sin (\theta) & 0 & 0 \\
0 & 1 & 0 & 0 \\
0 & 0 & 1-\tan \left(\frac{\theta}{2}\right) \theta & r o \theta \\
0 & 0 & \frac{\tan \left(\frac{\theta}{2}\right)\left(-2+\tan \left(\frac{\theta}{2}\right) \theta\right)}{r o} & 1-\tan \left(\frac{\theta}{2}\right) \theta
\end{array}\right]
$$

## Dispersion, achromats

- Let the system to be dispersive
- D = Dispersion function
- Separation versus momentum
- Spot size is increased

$$
\sigma_{x}=\sqrt{\sigma_{0}^{2}+D^{2} \sigma_{\Delta p / p_{0}}^{2}}
$$

$$
\left\{\begin{array}{l}
x^{\prime \prime}+\frac{p^{\prime}}{p} x^{\prime}+k(s) x=\frac{1}{\rho} \frac{\Delta p}{p_{0}} \\
x(s)=C(s) x_{0}+S(s) x_{0}^{\prime}+D(s) \frac{\Delta p}{p_{0}} \\
x^{\prime}(s)=C^{\prime}(s) x_{0}+S^{\prime}(s) x_{0}^{\prime}+D^{\prime}(s) \frac{\Delta p}{p_{0}}
\end{array}\right.
$$

- Make D=D'=0
$\rightarrow$ Achromatic system


## Achromats

- Dispersive system

- One example

And for counterwise rotation?


## Example

$$
\left.\left.\begin{array}{l}
D_{\text {dip }}=\rho(1-\cos \theta) \\
D_{\text {dip }}^{\prime}=\sin \theta
\end{array}, \begin{array}{c}
D_{\text {in }}=\rho(1-\cos \theta)+L \sin \theta \\
D_{\text {in }}^{\prime}=\sin \theta
\end{array}\right\} \begin{array}{c}
D_{\text {out }}=D_{\text {in }} \\
D_{\text {out }}^{\prime}=D_{\text {in }}^{\prime}-\frac{D_{\text {in }}}{f} \equiv-D_{\text {in }}^{\prime}
\end{array}\right\} \begin{aligned}
& \Rightarrow f=\frac{D_{\text {in }}}{2 D_{\text {in }}^{\prime}}=\frac{\rho(1-\cos \theta)+L \sin \theta}{2 \sin \theta}
\end{aligned}
$$



- One lens is needed
- In fact: one triplet
- Achromat+foc


## The achromatic chicane



?


## examples

The lens is converging for median-plane motion and diverging for vertical,


Fig. 12. Achromatic magnet system after K. L. Brown (Penner, 1961).


Fig. 13. Achromatic magnet system after H. A. Enge (1961).
4.2 deflecting magnets

10. Nondispersive stigmatic right-angle magnet.

## Spectrometer (magnetic separation only)

Résolution



## Spectrometer design

- Point to point imaging $\rightarrow$ system size
- Waist to Waist imaging
- Beam size: $R_{S}=\left|M_{11}\right| \cdot R_{E}$
- Analysis if $D \frac{\Delta P}{P}=2 R_{S}$

$$
\frac{p}{\Delta p}=\frac{D}{2\left|M_{11}\right| \cdot R_{E}}
$$

- Resolution is directly depending on the magnetic area covered by the beam, not by optics
- Optics has operational aspects (ex: achievable slit size) and low effect on resolution


Object


## SPEG spectrometer (GANIL)



## BEAM TRANSPORT

[^0]
## Global description of a beam (2D case)

- Ex: trajectories of individual particles in a drift space
- Need of a global description
- Need to describe convergence, divergence, beam enveloppe
- Need to describe extrema beam enveloppe ("waist")
- RMS description of the beam




## Beam matrix

- Beam matrix
- Covariance matrix in phase space
- Here (x, x') only)
- RMS beam extension in phase space (nD variance)
- Linear transport easy
- Transformation is a tensorial transform
$\rightarrow$ Not a matrix but a tensor
$\rightarrow$ Matrix: tranformation
$\rightarrow$ Tensor: property (here: RMS extent)

$$
\begin{aligned}
& X=\left[\begin{array}{l}
x \\
x^{\prime}
\end{array}\right] \rightarrow \tilde{X}=\left[\begin{array}{ll}
x & x^{\prime}
\end{array}\right] \\
& X \tilde{X}=\left[\begin{array}{ll}
x^{2} & x x^{\prime} \\
x x^{\prime} & x^{\prime 2}
\end{array}\right] \rightarrow\left\langle X \tilde{X}>=\left[\begin{array}{ll}
\left\langle x^{2}\right\rangle & \left.<x x^{\prime}\right\rangle \\
\left\langle x x^{\prime}\right\rangle & \left\langle x^{\prime 2}\right\rangle
\end{array}\right] \equiv \Sigma\right.
\end{aligned}
$$

$$
Y=M X \Rightarrow Y \tilde{Y}=M X \tilde{X} \tilde{M}
$$

$$
\Rightarrow\langle Y \tilde{Y}>=M<X \tilde{X}>\tilde{M}
$$

$$
\Rightarrow \Sigma_{1}=M \Sigma_{0} \tilde{M}
$$

## Emittance (Twiss) parameters

- From the beam matrix
- Defines the ellipses including n\% of the beam in an RMS (intuitive) sense.
- The ellipse corresponding to $\varepsilon_{R M S}$ is the concentration ellipse
- Warning; RMS emittance definition changes upon authors, by a factor $1 / 2,2$ or 4...

$$
\begin{aligned}
& \Sigma=\left[\begin{array}{cc}
\left\langle x^{2}\right\rangle & \left.<x x^{\prime}\right\rangle \\
\left\langle x x^{\prime}\right\rangle & \left.<x^{\prime 2}\right\rangle
\end{array}\right] \equiv\left[\begin{array}{cc}
\beta \varepsilon_{\text {Rus }} & -\alpha \varepsilon_{\text {Rus }} \\
-\alpha \varepsilon_{\text {Rus }} & \gamma \varepsilon_{\text {Rus }}
\end{array}\right] \\
& \beta \gamma-\alpha^{2} \equiv 1 \\
& \Rightarrow\left\{\begin{array}{c}
\varepsilon_{\text {Rus }}=\sqrt{\operatorname{det}(\Sigma)}=\sqrt{\left\langle x^{2}\right\rangle<x^{\prime 2}>-\left(<x x^{\prime}>\right)^{2}} \\
\beta=\frac{\left.<x^{2}\right\rangle}{\varepsilon_{\text {Rus }}} \\
\alpha=-\frac{\left\langle x x^{\prime}\right\rangle}{\varepsilon_{\text {Rus }}}
\end{array}\right.
\end{aligned}
$$

Not to be confused with Lorentz factors

## Ellipses



- RMS ellipses
- Include more or less (ex : 95\%) particles.
- 4 paramèters $(\alpha, \beta, \gamma, \varepsilon)$ - in fact 3.
- Ex: if the beam is gaussian in two dimensions, the number of particles in the ellipse is

$$
N_{0} \cdot\left[1-\exp \left(-\varepsilon / 2 \varepsilon_{R M S}\right)\right]
$$

- $\sigma_{\varepsilon}=2 \varepsilon_{\text {RMS }}$ is the emittance standard deviation
- $\sigma_{\varepsilon}$ includes 63\%
- $2 \sigma_{\varepsilon}$ includes $86 \%$

$\alpha>0$ (convergent)

$\alpha<0$ (divergent)


## Emittance transport

- Explicit formula

$$
\left[\begin{array}{c}
\beta \\
\alpha \\
\gamma
\end{array}\right]_{1}=\frac{1}{\Delta}\left[\begin{array}{ccc}
M_{11}^{2} & -2 M_{11} M_{12} & M_{12}^{2} \\
-M_{11} M_{21} & M_{12} M_{21}+M_{11} M_{22} & -M_{22} M_{12} \\
M_{21}^{2} & -2 M_{22} M_{21} & M_{22}^{2}
\end{array}\right]\left[\begin{array}{c}
\beta \\
\alpha \\
\gamma
\end{array}\right]_{0}
$$

- Beam RMS enveloppe $\sqrt{\left\langle x^{2}\right\rangle}=\sqrt{\beta \cdot \varepsilon_{r m s}}$
- $\alpha$ versus $\beta$

$$
\begin{gathered}
x(s+d s)=x(s)+x^{\prime}(s) d s \rightarrow M_{d s}=\left[\begin{array}{ll}
1 & d s \\
\ldots & \ldots
\end{array}\right] \\
\beta(s+d s)=\beta(s)-2 \alpha \cdot d s \\
\boldsymbol{a}=-\frac{\boldsymbol{\beta}^{\prime}}{\mathbf{2}}
\end{gathered}
$$

- Enveloppe extremum if $\alpha=0$ (waist )


## Courant/Snyder invariant - Emittance matching

- Consider a periodic system made of identical cells (no acceleration). Let M be the matrix of each cell. M has 2 eigenvalues $\lambda$ and $1 / \lambda$ (determinant is 1 )
- Suppose the motion to be stable, then $\lambda^{n}$ and $1 / \lambda^{n}$ must be bounded for any value of $n$ (integer)
- The only way is $|\lambda|=1=|1 / \lambda| \rightarrow \lambda=e^{i \mu}$
$\rightarrow \operatorname{Tr}(M)=\lambda+\frac{1}{\lambda}=2 \cos (\mu)$
- The motion is stable if and only if $0 \leq \frac{1}{2} \operatorname{Tr}(M)<1$


## Courant/Snyder invariant - Emittance matching

- Suppose the motion to be stable
- The following formulas are straighforward, with the transfer matrix TWISS parameters

$$
\begin{aligned}
& M=\left[\begin{array}{cc}
\cos \mu+\alpha^{*} \sin \mu & \beta^{*} \sin \mu \\
\gamma^{*} \sin \mu & \cos \mu-\alpha^{*} \sin \mu
\end{array}\right] \\
& M=\cos \mu \cdot\left[\begin{array}{ll}
1 & 0 \\
0 & 1
\end{array}\right]+\sin \mu \cdot\left[\begin{array}{cc}
\alpha^{*} & \beta^{*} \\
\gamma^{*} & -\alpha^{*}
\end{array}\right] \equiv \cos \mu \cdot I+\sin \mu \cdot J \\
& J^{2}=-1 \\
& M \cdot\left[\begin{array}{cc}
\beta^{*} & -\alpha^{*} \\
-\alpha^{*} & \gamma^{*}
\end{array}\right] \cdot \tilde{M}=\left[\begin{array}{cc}
\beta^{*} & -\alpha^{*} \\
-\alpha^{*} & \gamma^{*}
\end{array}\right]
\end{aligned}
$$

Emittance matching: if the injected emittance Twiss parameters are equal to the system Twiss parameters, the oscillations of the beam enveloppe are minimized, and the
 beam occupies less space in phase space.

## Beam matching



## Liouville Theorem (2D)

- Let $X_{1}$ and $X_{2}$ be to vectors in phase space

$$
\begin{gathered}
Y_{1}=M \cdot X_{1} \text { and } Y_{2}=M \cdot X_{2} \\
\operatorname{det}\left[\begin{array}{ll}
Y_{1} & Y_{2}
\end{array}\right]=\operatorname{det}(M) \cdot \operatorname{det}\left[\begin{array}{ll}
X_{1} & X_{2}
\end{array}\right]=\frac{p_{e}}{p_{s}} \cdot \operatorname{det}\left[\begin{array}{ll}
X_{1} & X_{2}
\end{array}\right]
\end{gathered}
$$

- The area in phase space varies accordingly to momentum
- $\rightarrow$ the area is constant if there is no acceleration
$\rightarrow \beta_{\text {Lorentz }} \cdot \gamma_{\text {Lorentz }} \cdot \varepsilon$ is constant (normalized emittance)
- Warning: if the motion is not linear, the "apparent" RMS emittance varies, even the surface in phase space is constant


## A few words about emittance measurements

- The RMS enveloppe varies with focusing
- It is related to the initial emittance parameters
- A known lens (system) is used with differents tunings
- N profile (RMS) measurements are made
- $N$ equation with 4 unknown are obtained
- Warning: numerically unstable with solenoids (even if a theoretical solution exists)

$$
\begin{aligned}
& <x^{2}>=\sigma_{0}^{2}=\beta_{0} \varepsilon_{R M S} \\
& \sigma^{2}=(A \beta+B \alpha+C \gamma) \varepsilon_{R M S}
\end{aligned}
$$



## Moving slit (real phase picture)



Elliptic shape might be far from reality at low energy

Courtesy Bernard Launé

## The reality (SILHI source, Saclay)



Saclay source SILHI
Courtesy Bernard Launé

## Collimators on some examples

- Collimator: $\left[\begin{array}{l}A \\ \lambda\end{array}\right]$ (A=aperture, $\lambda \in \mathbb{R}$ )
- M: transfer matrix from collimator to target

- Case 1: $M_{22}=0$. A horizontal line is transformed to an horizontal one. No effect on beam size
- Case 2: $M_{12}=0$. A vertical line is transformed to an vertical one. Effect is maximum. In this case $R_{\text {target }}=\left|M_{11}\right| \cdot A$



[^0]:    Beam description: emittance, RMS emittance Emittance transport, Liouville theorem Courant-Snyder invariant - Twiss matrix
    Emittance matching
    Emittance measurements(examples)
    Collimators

