

A photograph of water cascading over a dam with many spillways, creating a large amount of white foam and spray. The background is dark, making the white water stand out.

# **CMS Tracker Upgrade: Requirements and Layout**

Stefano Mersi  
On behalf of the CMS Collaboration  
19 March 2014  
ACES 2014

# Total tracker replacement

Pixel & strip replacement: aim at LS3

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layout and requirements

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Survive  $\int L \cdot dt = 3000 \text{ fb}^{-1}$

Higher L1A rate  $\rightarrow > 500 \text{ kHz}$

Resolve  $\langle \mu \rangle = 140 \rightarrow 200$

Latency  $\rightarrow > 10 \mu\text{s}$

Ensure **experiment lifetime**

**Improve tracking** at high pT

**Improve tracking** at low pT  
Reduce **secondary interactions**

**Increase forward acceptance**

**Improve CMS trigger**

**New outer tracker  
& new pixel**

# Total tracker replacement

Pixel & strip replacement: aim at LS3

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Survive  $\int L \cdot dt = 3000 \text{ fb}^{-1}$

**Radiation hardness**  
**Operating cold ( $-20^{\circ}\text{C}$ )**

Higher L1A rate  $\rightarrow > 500 \text{ kHz}$

**Bandwidth!**

Resolve  $\langle \mu \rangle = 140 \rightarrow 200$

**Higher granularity**

Latency  $\rightarrow > 10 \mu\text{s}$

Larger front-end **buffers**

Ensure **experiment lifetime**

**Redundancy** for Outer Tracker  
Possible **extraction** for Pixels

**Improve tracking** at high pT

**Increase granularity**

**Improve tracking** at low pT  
Reduce **secondary interactions**

**Reduce material**

**Increase forward acceptance**

Mostly through **pixel layout**

**Improve CMS trigger**

**Provide tracking to Level-1**  
40 MHz output for L1

# The challenge

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Material amount is limiting current tracker's performance:  
reduce material



LESS power/material

New technologies

- DC-DC converters
- CO<sub>2</sub> cooling
- Low-power GBT
- Front-ends

Less layers



MORE power/material

Higher granularity

**Bandwidth!**

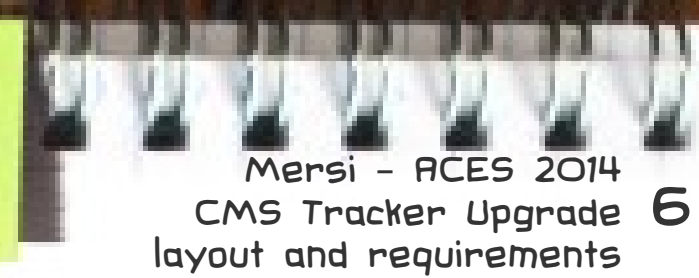
# Outer Tracker

Challenging requirements:

- Trigger readout (40 MHz)
- Power (=material!)
- Track finding @L1
- ...

# pT modules

Providing "stubs" for tracking trigger



Need to ship hits off detector

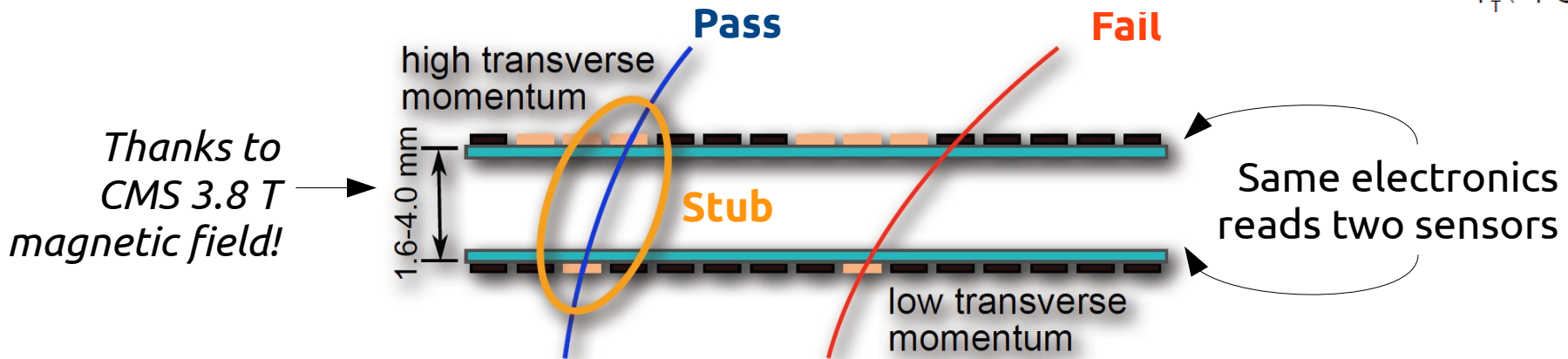
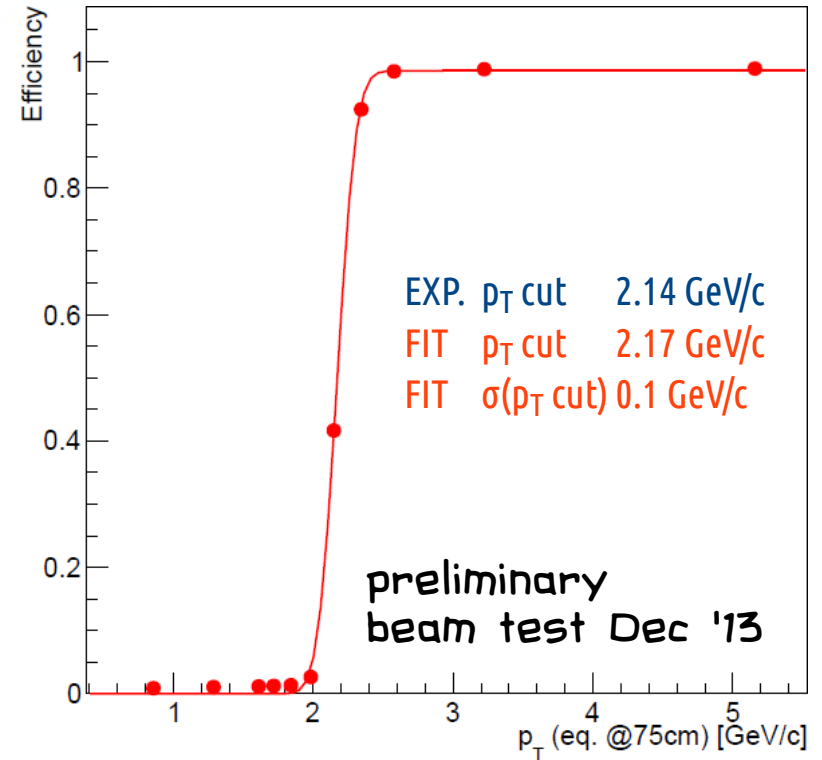
Ship all hits @ 40 MHz? No

- Bandwidth needed: off by 1 order of magnitude (order of 10 Gbps per module)
- Track reconstruction ~ impossible

Solution: ship only high-pT hits (stubs)

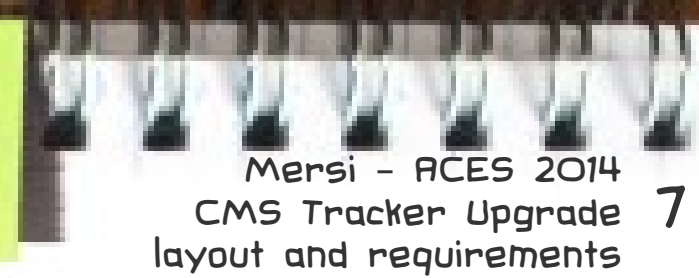
- Threshold of ~ 2 GeV
- Data reduction of one order of magnitude or more

Modules with pT discrimination ("pT modules")



# Module design

Concept: integration at the module level

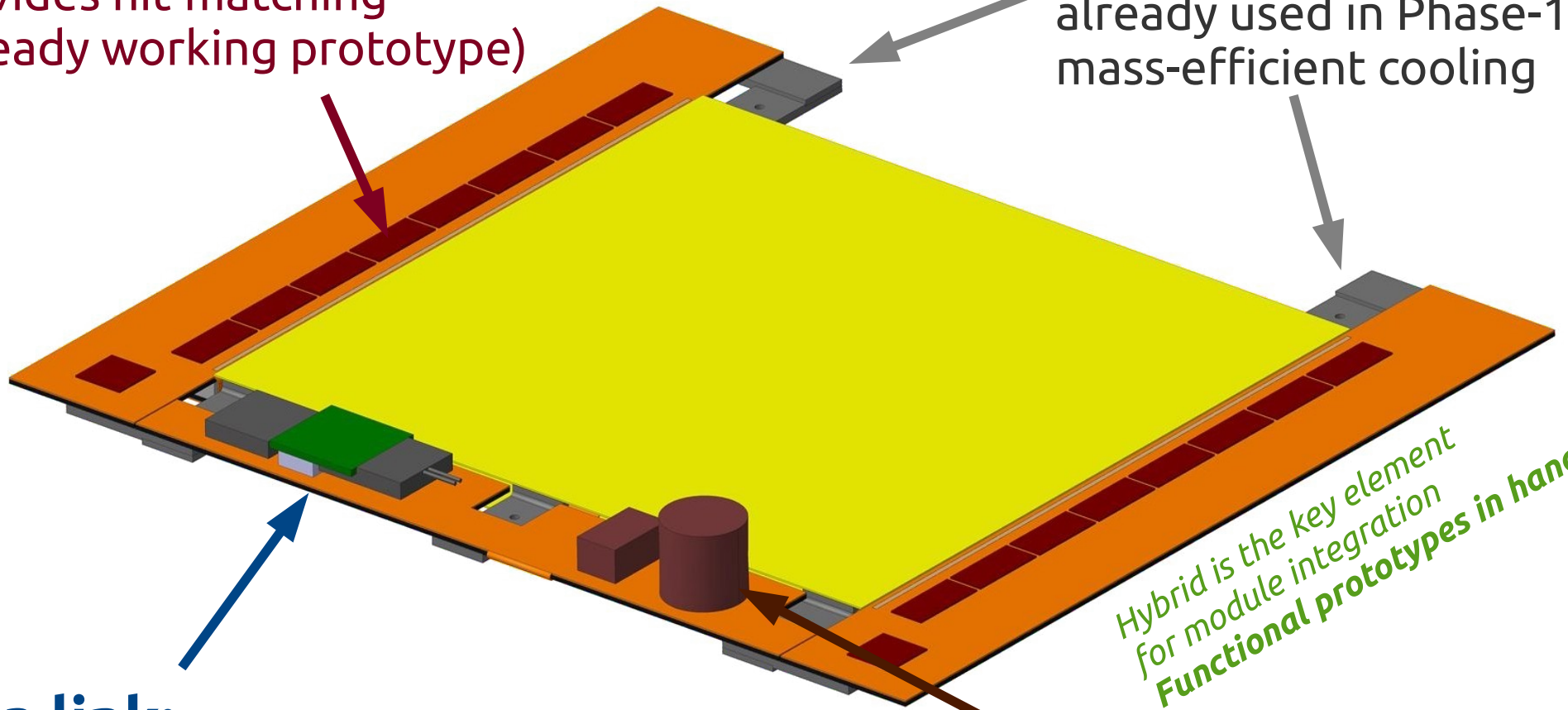


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**Binary readout: CBC**  
provides hit-matching  
(already working prototype)

**CO<sub>2</sub> cooling**  
already used in Phase-1  
mass-efficient cooling



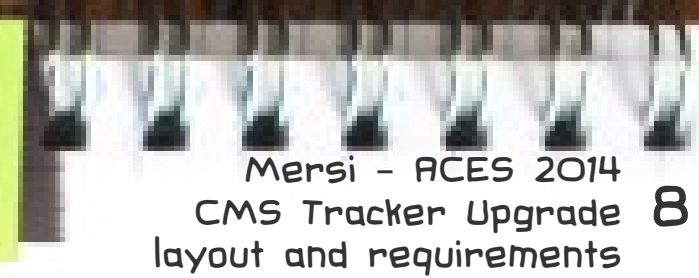
Hybrid is the key element  
for module integration  
**Functional prototypes in hand!**

**Data link:**  
**Low-power GigaBit Transceiver**  
lpGBT currently under development  
integrated at module level

**DC/DC converter**  
already used in Phase-1  
10 V lines: lower current, lower material

# Module design

Only two module types



## 2 Strip sensors

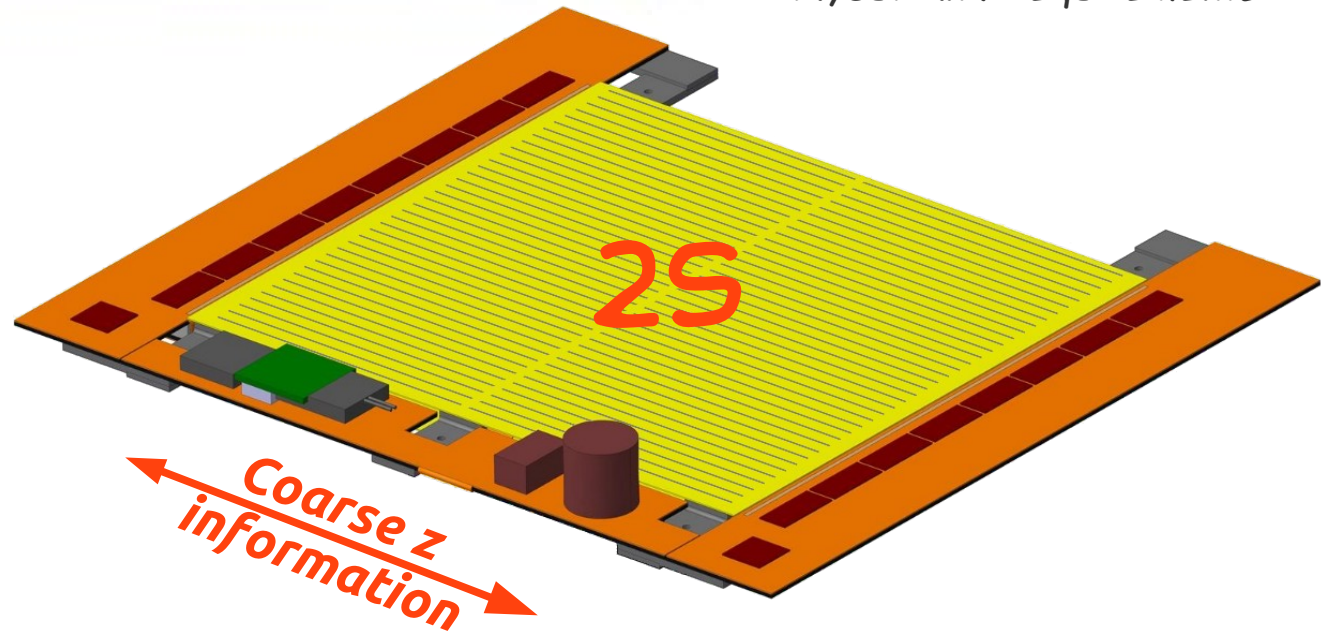
**Strips:** 5 cm × 90 μm

**Strips:** 5 cm × 90 μm

P = 2.7 W

~ 92 cm<sup>2</sup> active area

For r > 40 cm



## Pixel + Strip sensors

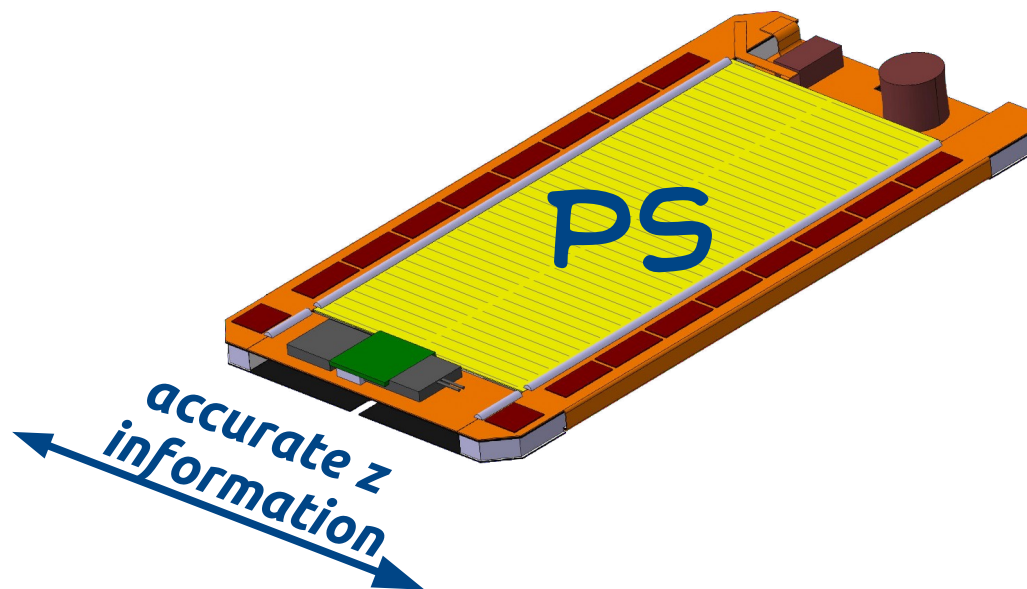
**Strips:** 2.5 cm × 100 μm

**Pixels:** 1.5 mm × 100 μm

P = 5.0 W

~ 44 cm<sup>2</sup> active area

For r > 20 cm





# Module design

Only two module types

## 2 Strip sensors

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## Pixel + Strip sensors

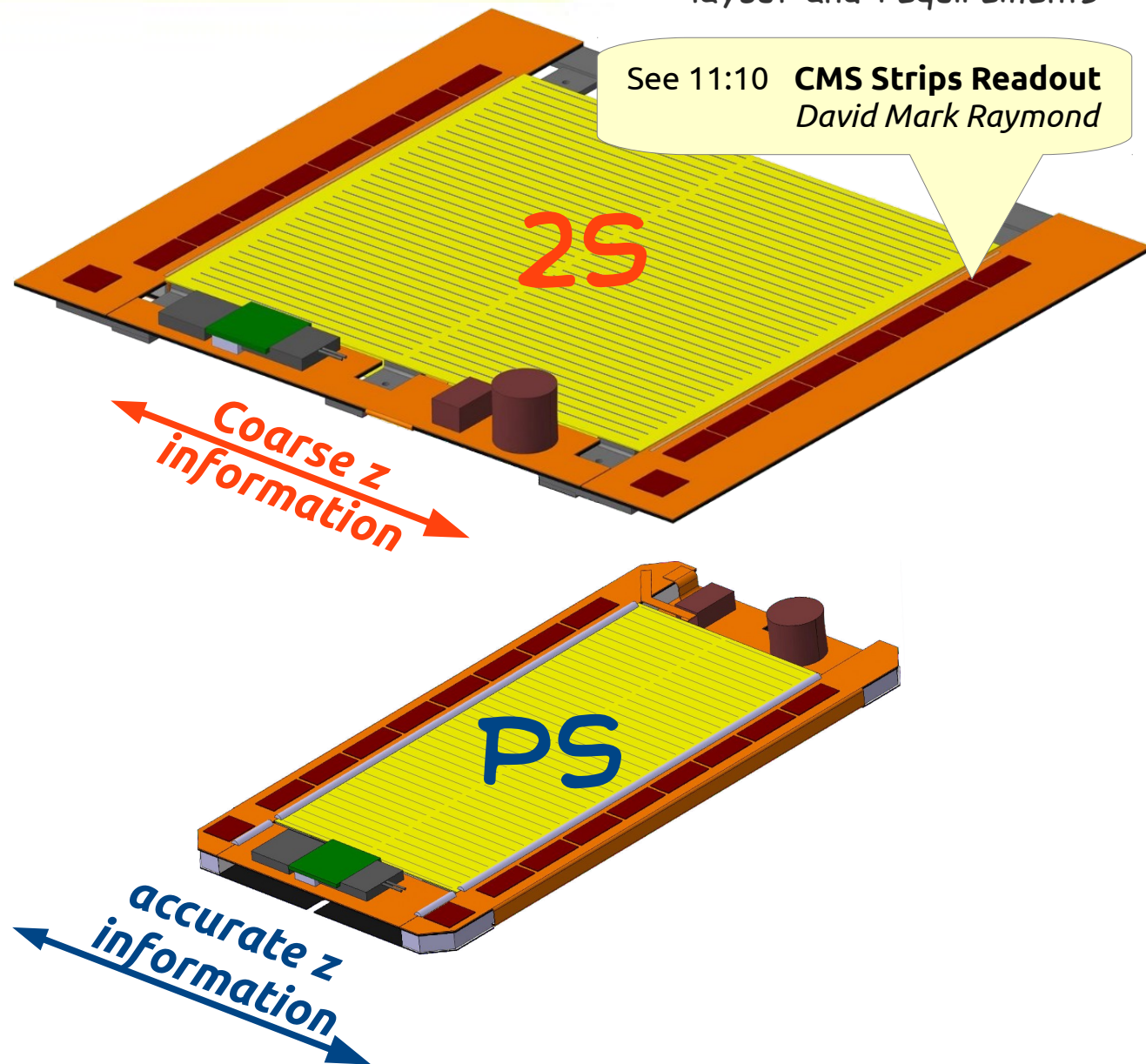
**Strips:** 2.5 cm × 100 μm

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See 11:10 **CMS Strips Readout**  
David Mark Raymond

# Module design

Only two module types

## 2 Strip sensors

**Strips:** 5 cm × 90 μm

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For r > 40 cm

## Pixel + Strip sensors

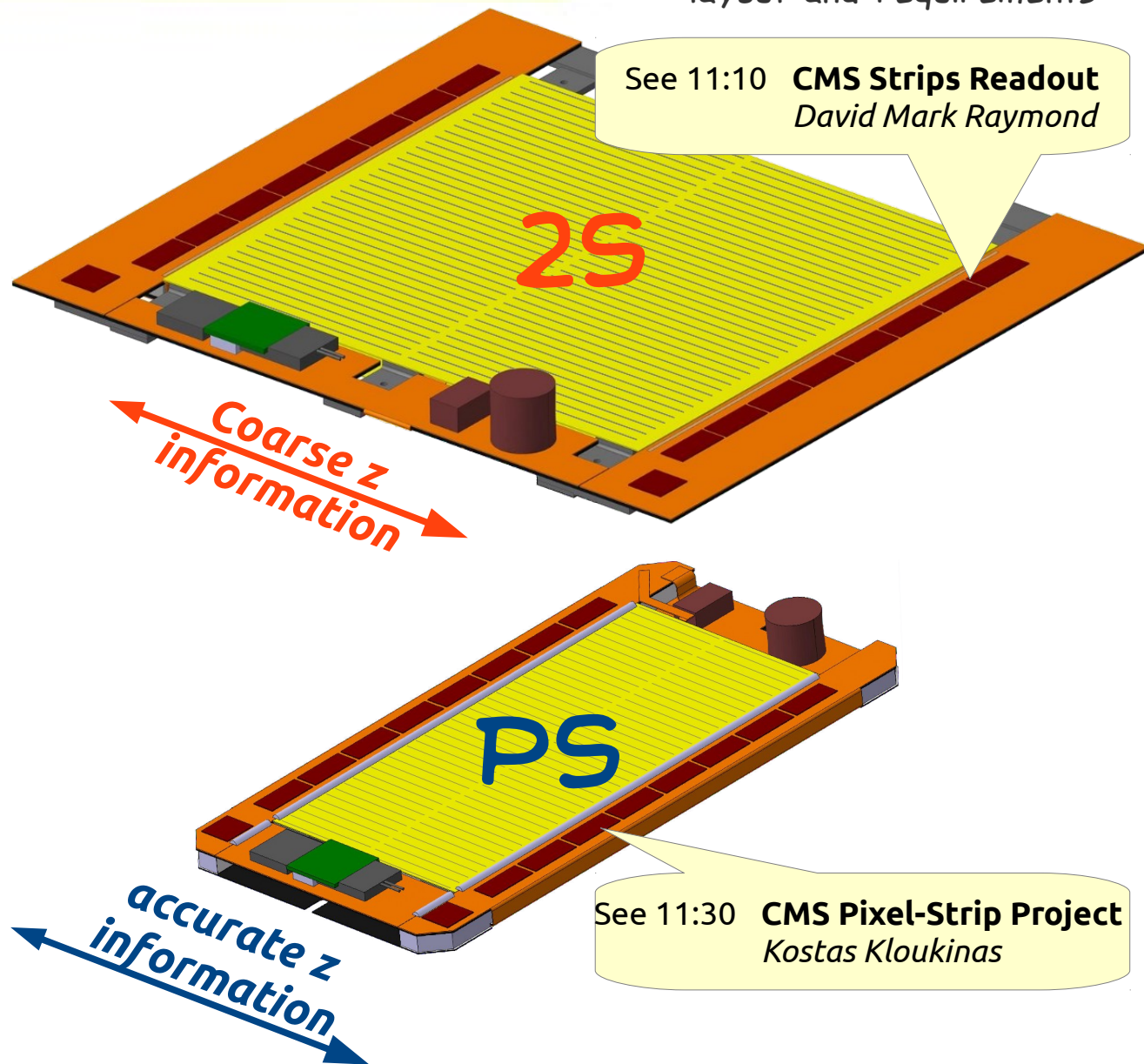
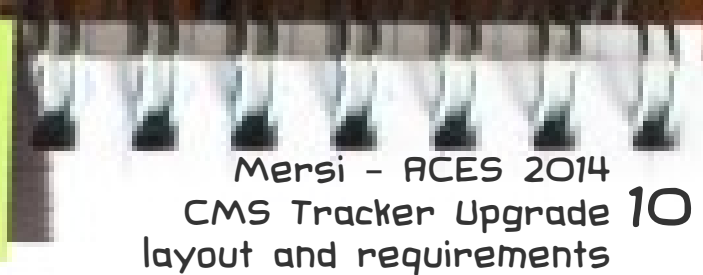
**Strips:** 2.5 cm × 100 μm

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For r > 20 cm



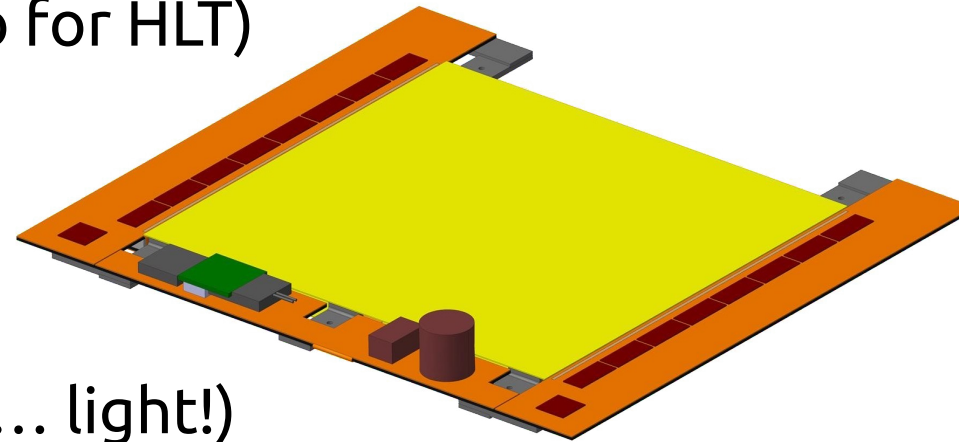
# Module design

Concept: integration at the module level

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layout and requirements

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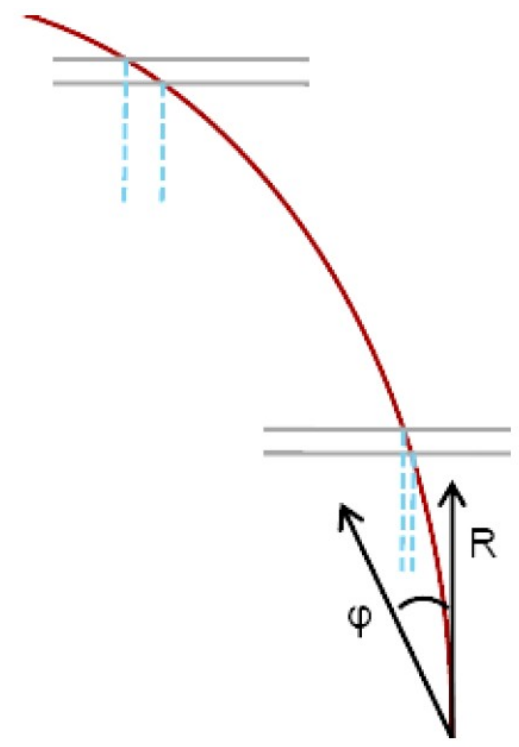
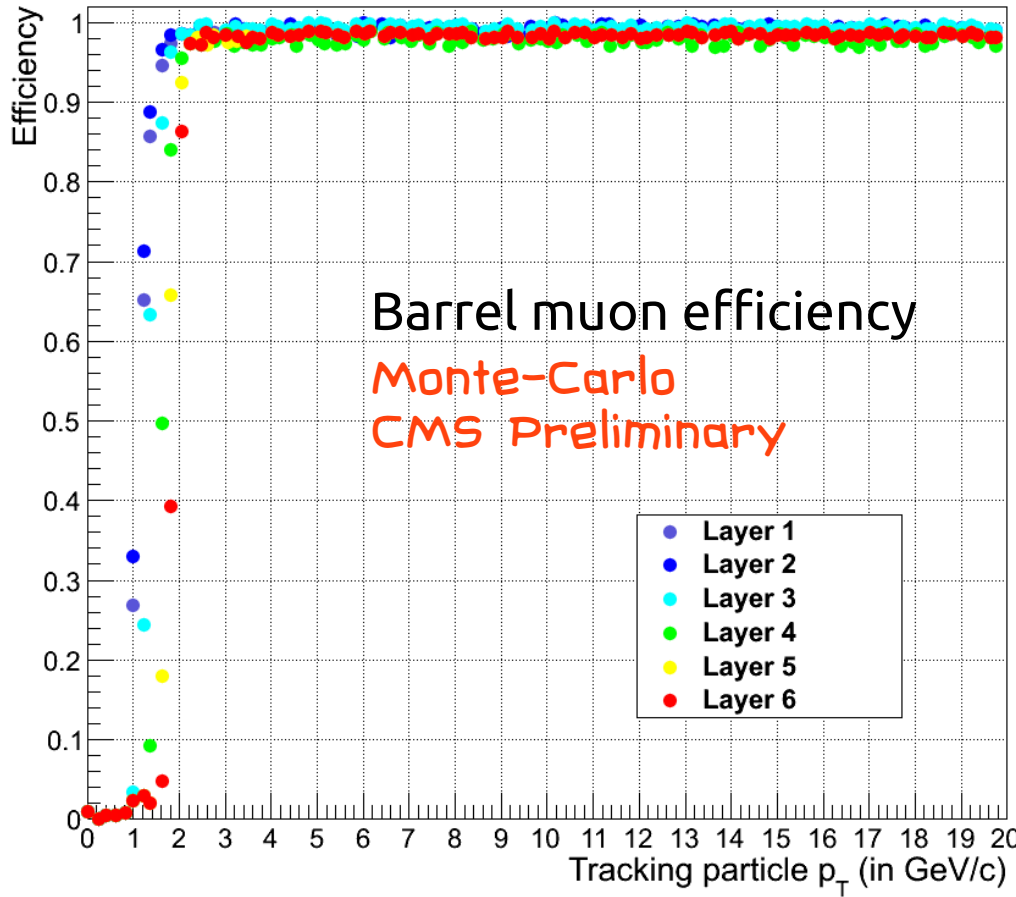
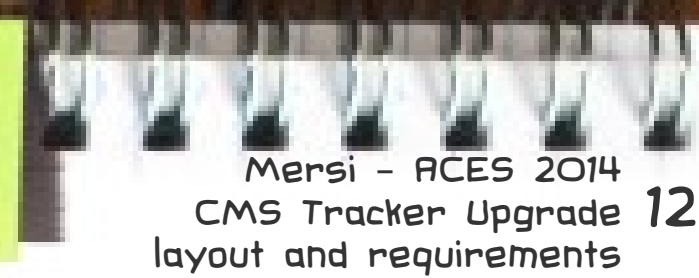
- **Two sensors per module**
  - Mass-effective way of collecting two coordinates
  - Help for pattern recognition (also for HLT)
- Large bandwidth needed => **one link per module**
  - Contribution to power: moderate
  - System very simple and elegant (... light!)
    - Almost no electrical connectivity in the tracking volume
    - The module is a self-contained system



The chosen implementation brings many  
**more advantages than drawbacks**

# Uniform cut

Possible, with tuning

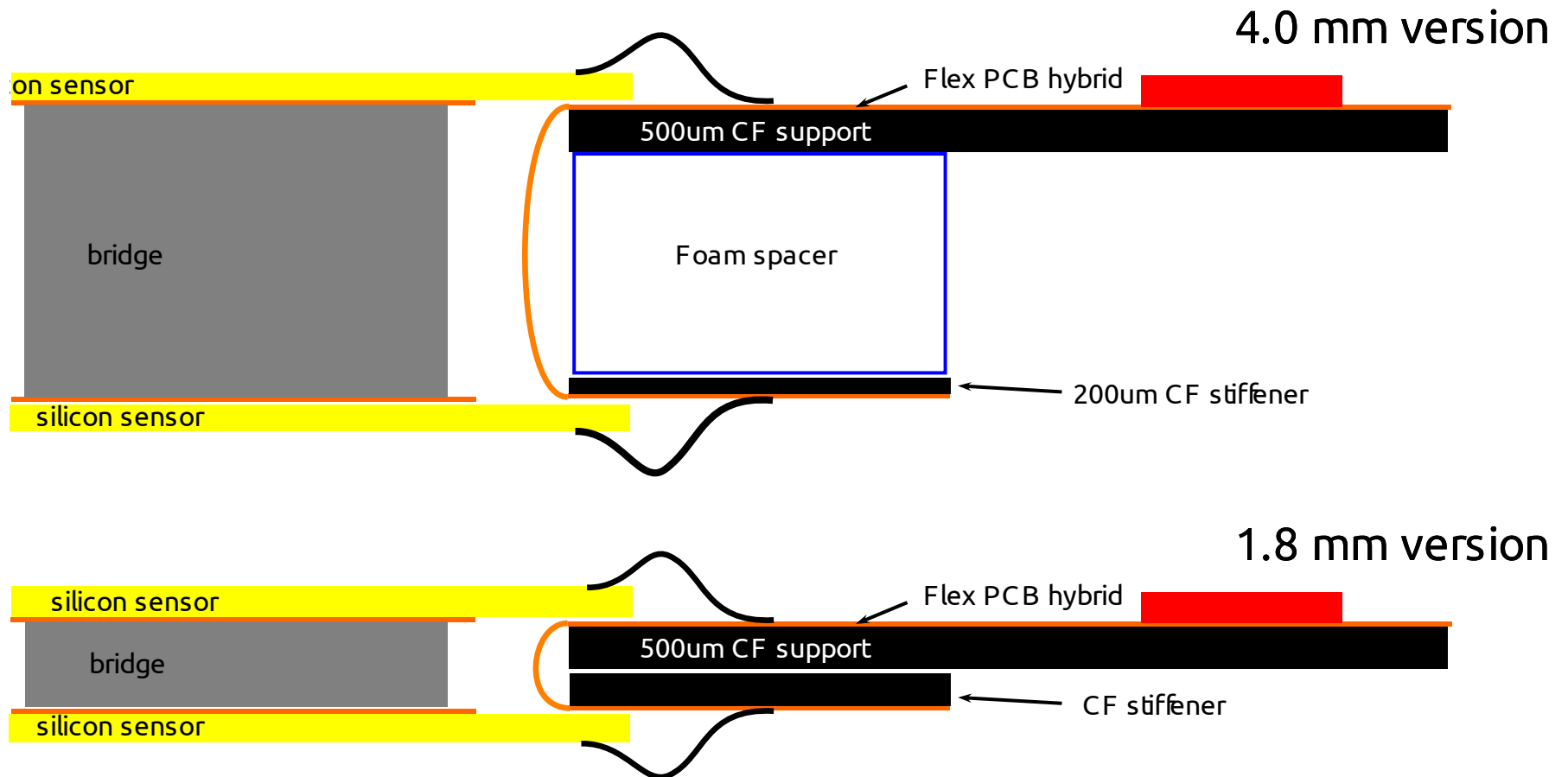


(@construction)

(@front-ends)

Need to tune **sensor spacings** and **hit matching windows** are required to maintain uniform  $p_T$  cut

# 2S modules

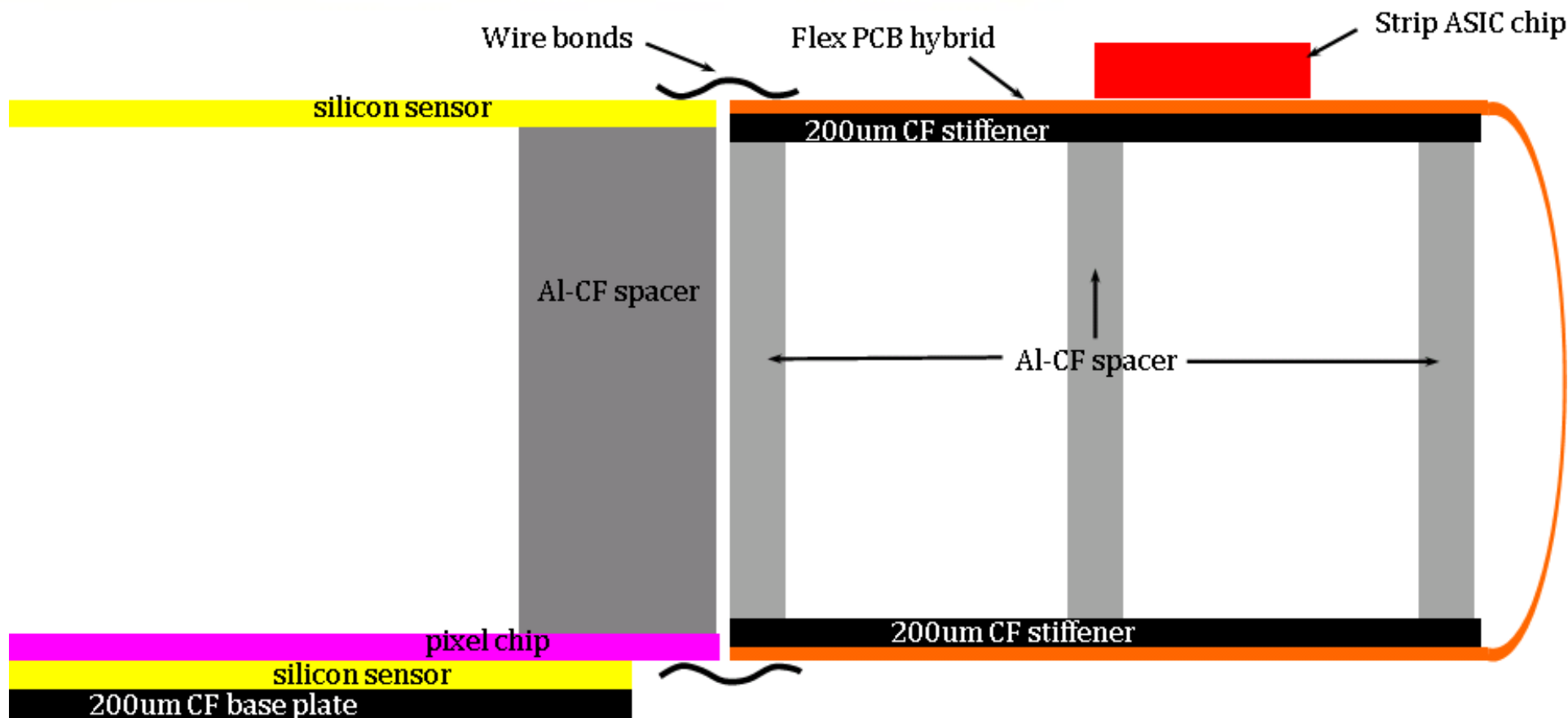


## Flex hybrid:

- Technology leap
- Key element for 2-sensor design

# PS modules

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layout and requirements



## Flex hybrid:

- Technology leap
- Key element for 2-sensor design

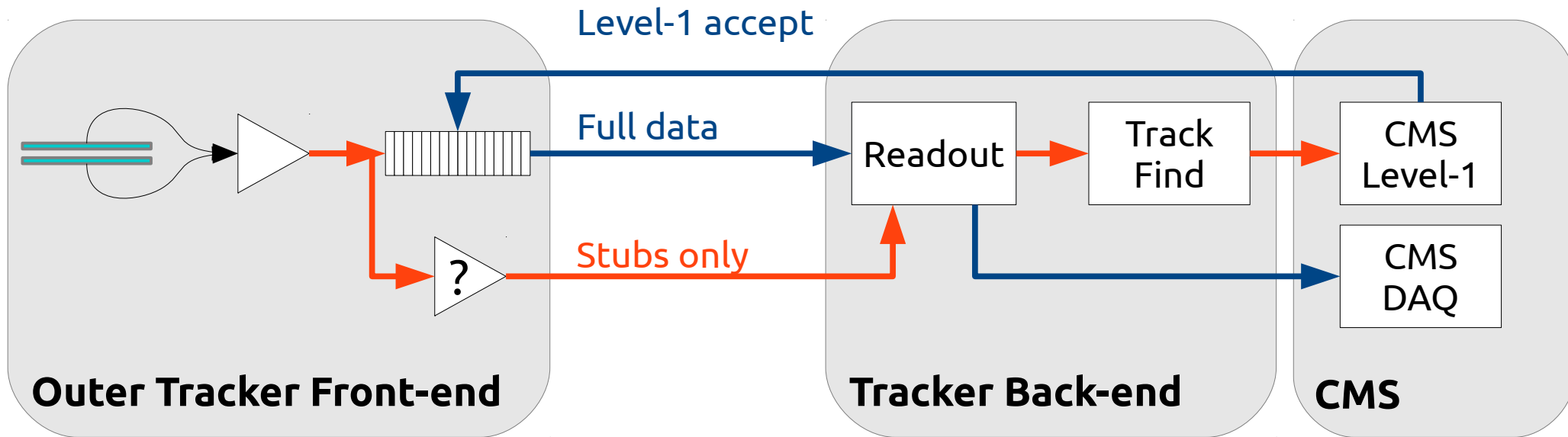
# Providing tracks for trigger

## Readout architecture

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Level-1 “stubs” are processed in the back-end

Form Level-1 tracks,  $p_T$  above  $\sim 2$  GeV,  
contributing to CMS Level-1 trigger



@ 40 MHz – Bunch crossing

@  $\sim 500$  kHz – CMS Level-1 trigger

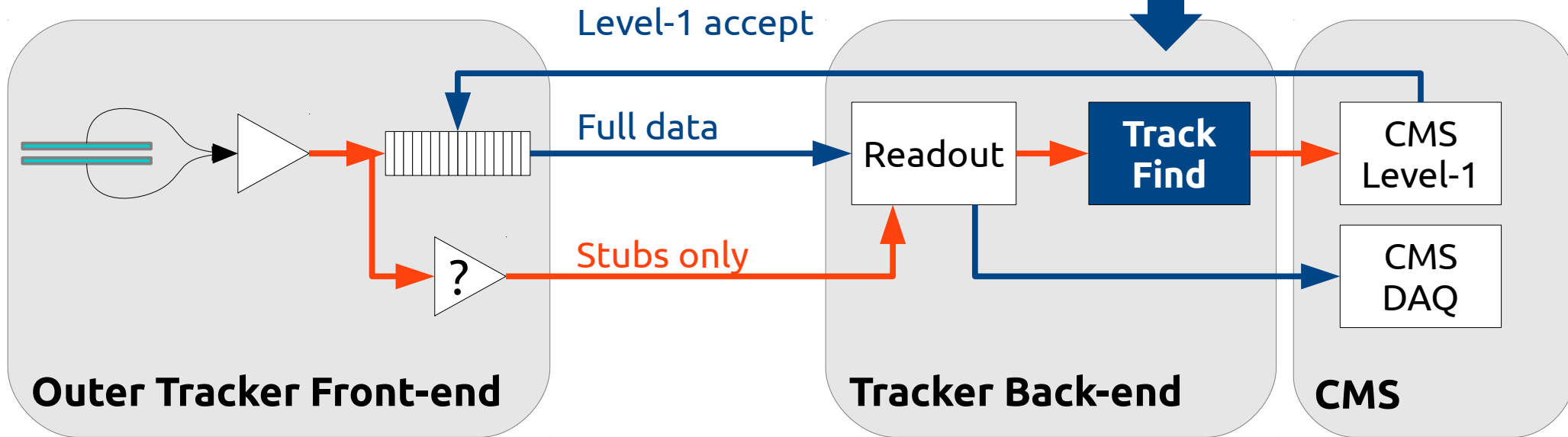
# Providing tracks for trigger

## Readout architecture

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layout and requirements

See 14:20 **CMS Views for the Off-Detector  
Track Trigger Electronics**  
*Ted Liu*

Completely new  
system component  
**new sub-project**



@ 40 MHz – Bunch crossing  
@ ~ 500 kHz – CMS Level-1 trigger





# Pixel detector

Challenging requirements:

- Radiation hardness
- Readout bandwidth
- Power (=material!)
- Contribution to trigger ?

# Pixels: radiation hardness

Sensors and front-ends

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- Sensors:  $\Phi$  up to  $2 \times 10^{16}$  neq  $\text{cm}^{-2}$  @  $r=5$  cm

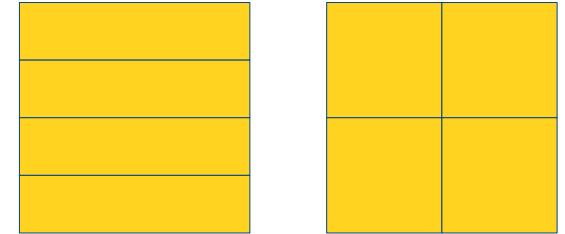
With current CMS pixel @600V  
CCE = 50% at  $10^{16}$  neq  $\text{cm}^{-2}$

# Pixels: radiation hardness

Sensors and front-ends

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CMS Tracker Upgrade 19  
layout and requirements

- Sensors:  $\Phi$  up to  $2 \times 10^{16}$  neq  $\text{cm}^{-2}$  @  $r=5$  cm
  - Thin planar
  - 3D
  - Resolution? Smaller pixels:
    - $100 \times 25 \mu\text{m}^2$
    - $50 \times 50 \mu\text{m}^2$

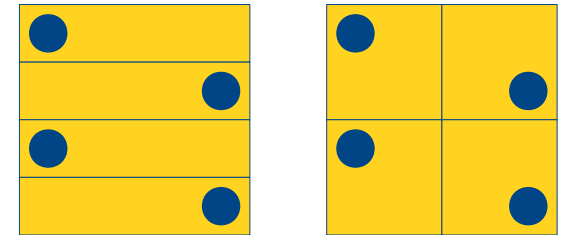


# Pixels: radiation hardness

## Sensors and front-ends

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layout and requirements

- Sensors:  $\Phi$  up to  $2 \times 10^{16}$  neq  $\text{cm}^{-2}$  @  $r=5$  cm
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- Front-end: up to 10 MGy
  - ROC Chip 65 nm CMOS
    - One chip, footprint compatible with both pixel geometries

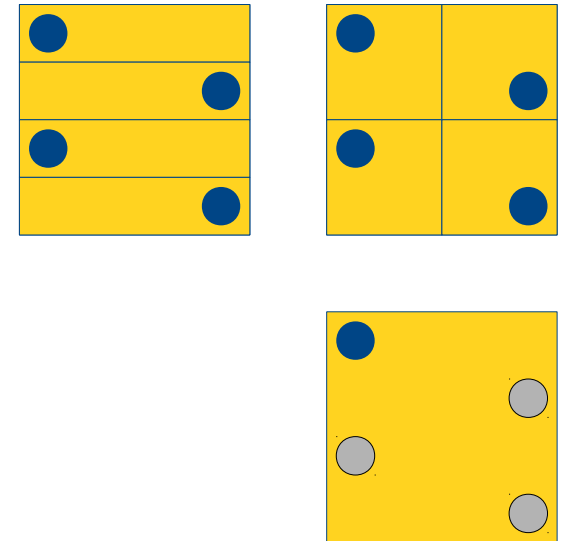


# Pixels: radiation hardness

Sensors and front-ends

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- Sensors:  $\Phi$  up to  $2 \times 10^{16}$  neq  $\text{cm}^{-2}$  @  $r=5$  cm
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- Front-end: up to 10 MGy
  - ROC Chip 65 nm CMOS
    - One chip, footprint compatible with both pixel geometries
    - Same chip compatible also with  $100 \times 100 \mu\text{m}^2$  pixels
  - Radiation hardness? Other electronics?



# Pixels: radiation hardness

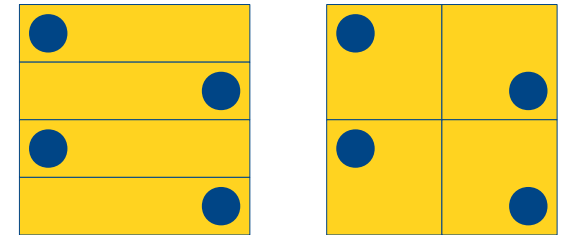
Sensors and front-ends

Mersi - ACES 2014  
CMS Tracker Upgrade 22  
layout and requirements

- Sensors:  $\Phi$  up to  $2 \times 10^{16}$  neq  $\text{cm}^{-2}$  @  $r=5$  cm

- Thin planar
- 3D
- Resolution? Smaller pixels:

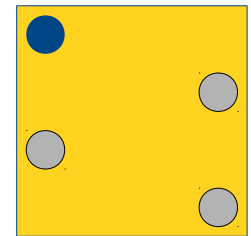
- $100 \times 25 \mu\text{m}^2$
- $50 \times 50 \mu\text{m}^2$



- Front-end: up to 10 MGy

- ROC Chip 65 nm CMOS

See 11:50 **RD53**  
*Jorgen Christiansen*



- One chip, footprint compatible with both pixel geometries
- Same chip compatible also with  $200 \times 200 \mu\text{m}^2$  pixels
- Radiation hardness? Other electronics?

# Pixels: readout bandwidth

Huge increase w.r.t. present system

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- Present system

- Rate → **200 MHz/cm<sup>2</sup>**
- L1 rate **100 kHz**

x 10

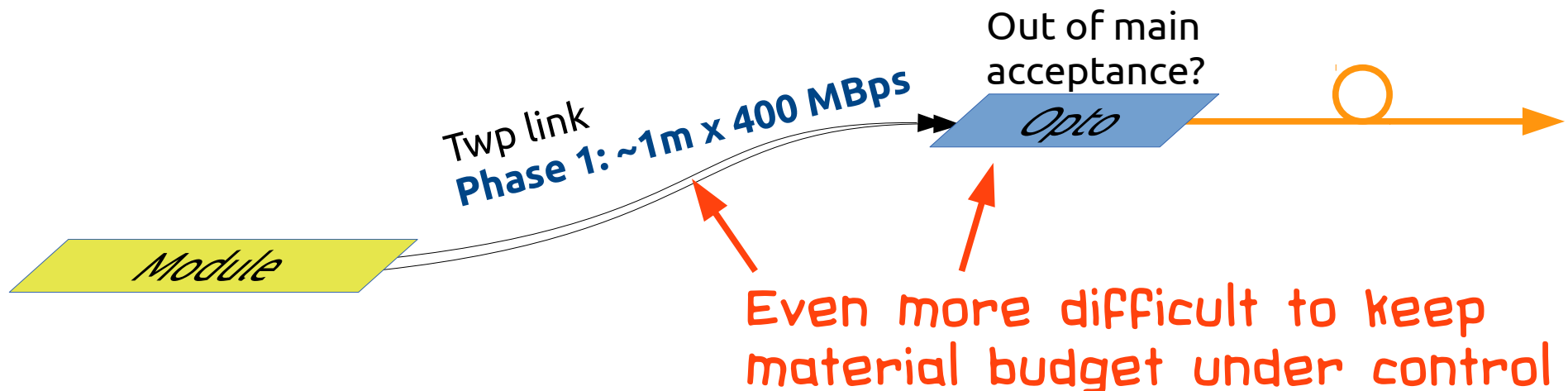
x 5~10

x 50~100

- HL-LHC

- Rate → **2 GHz/cm<sup>2</sup>**
- L1 rate **500 kHz (1 MHz)**

- Optical on-board readout not possible:
  - Rad-hardness
  - Material/space
- **Electrical links to opto links**



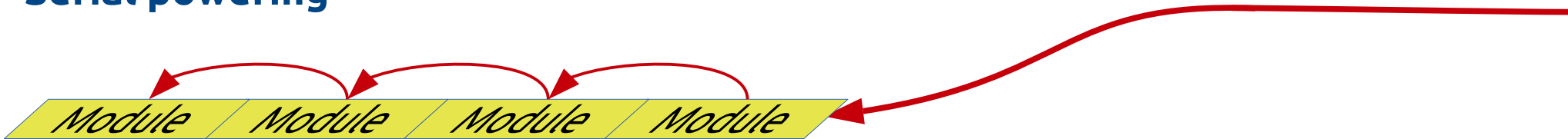
# Pixel: powering

Mantra: power => material

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layout and requirements

- Target:  $O(0.5)$  W/cm<sup>2</sup>
- Traditional inductor-based on-board DC/DC not possible:
  - Rad-hardness
  - Material/space
- Possible options:

## Serial powering



## More complex schemes



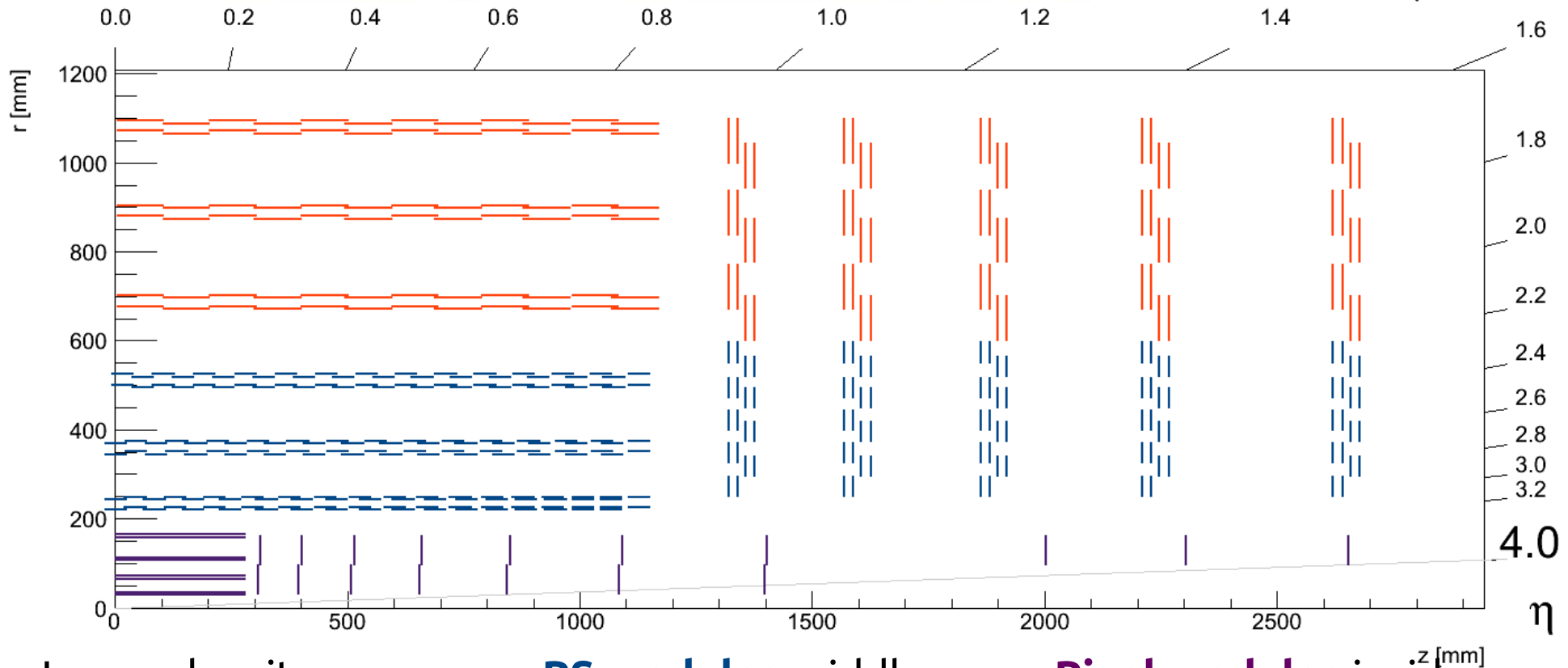


A photograph of water cascading over a dam spillway, creating a large volume of white, turbulent foam. The water is dark grey/black in the churning areas, contrasting with the bright white foam. The spillway structure is visible at the top of the frame.

# **Layout and expected performance**

# Tracker Layout

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layout and requirements



Lower density  
**2S modules** outside  
(~8400 modules)

**PS modules** middle  
z info in trigger  
 $\theta$  info in trigger  
(~7100 modules)

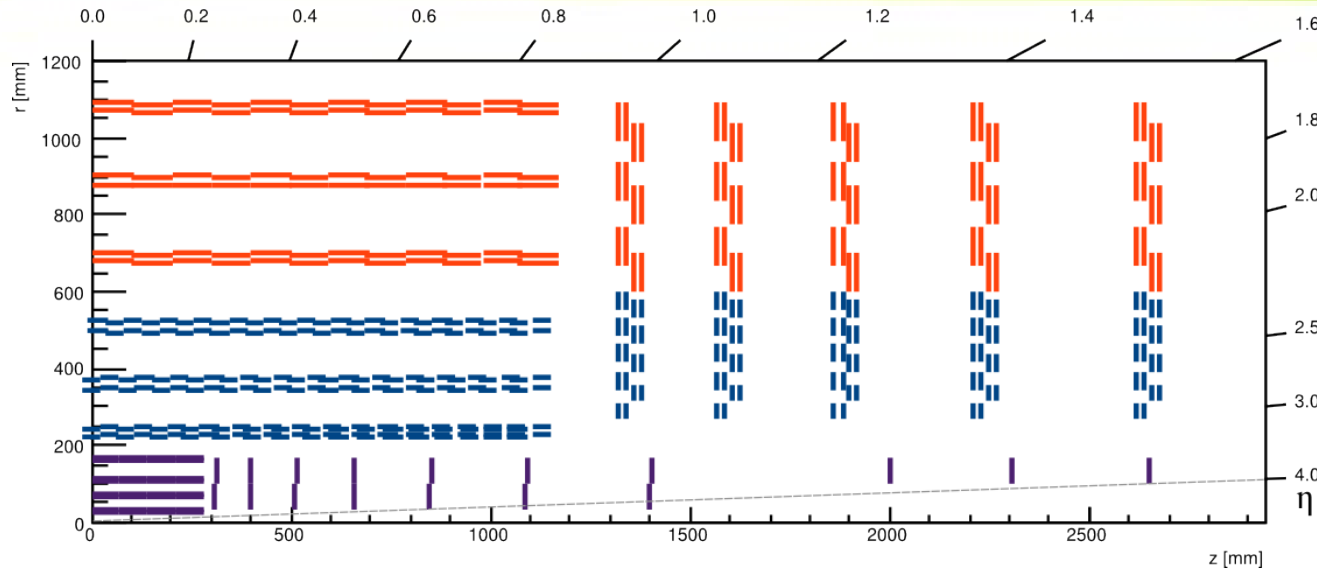
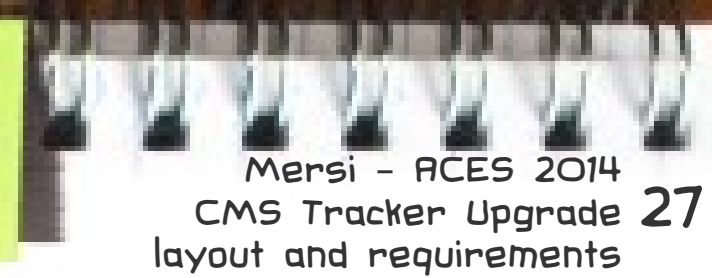
**Pixel modules** inside  
accurate impact parameter  
resolution & forward  
coverage

More detailed model

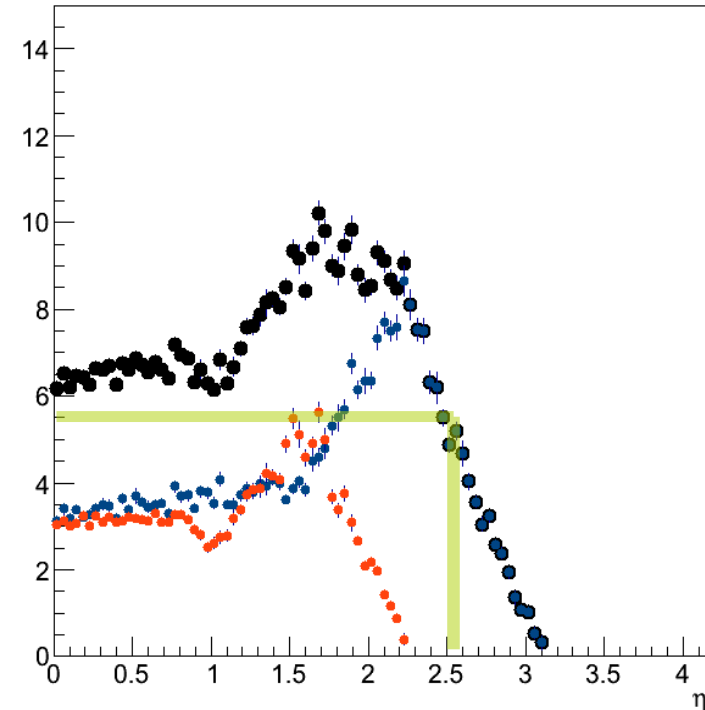
No detailed model: using  
Phase-I detector layout w/  
more disks in the forward

# Layout

## Current baseline



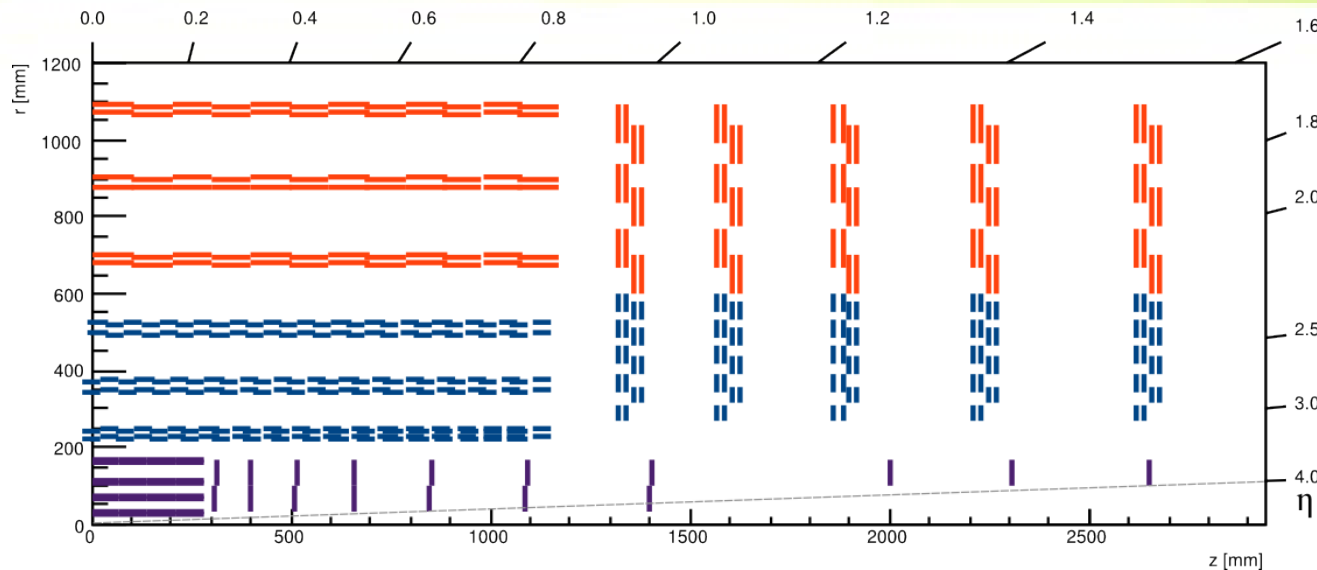
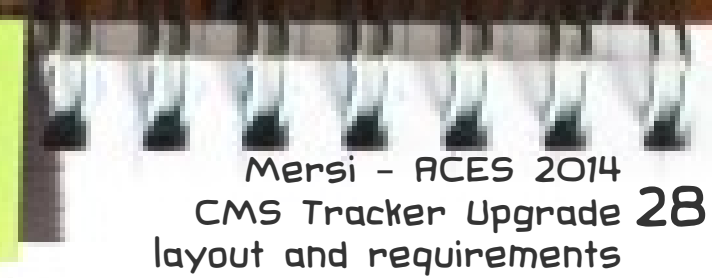
10 trigger hits  $\rightarrow \eta=2.5$



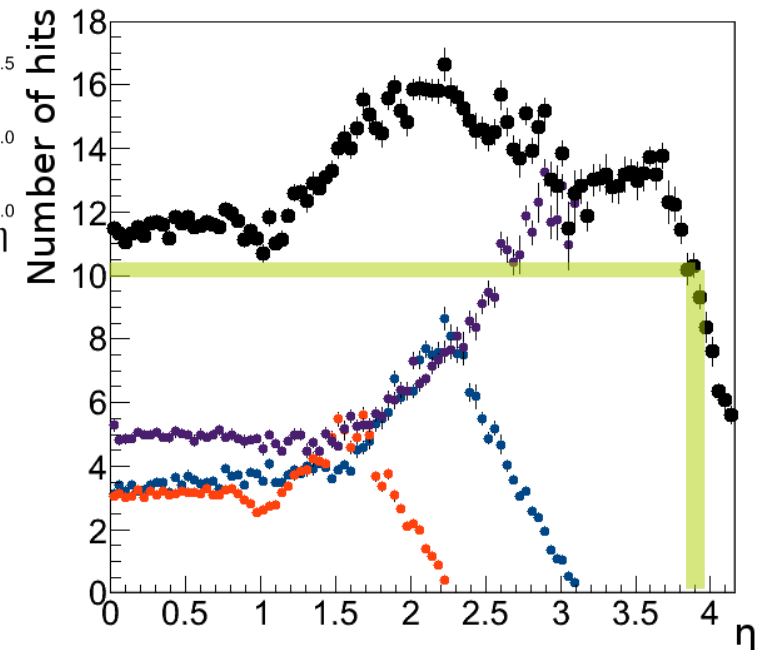
- **$\times 4$  granularity** in strip sensors
- +3 layers of MacroPixel sensors
  - Unambiguous **3D coordinates** helps track finding in high pile-up
- Up to **10 points** available for track-trigger up to  $\eta=2.5$ 
  - Comparable to current tracker's coverage, **but at L1**

# Layout

## Current baseline



Hit coverage  $\rightarrow \eta \approx 4$



- **$\times 4$  granularity** in strip sensors
- +3 layers of MacroPixel sensors
  - Unambiguous **3D coordinates** helps track finding in high pile-up
- Up to **10 points** available for track-trigger up to  $\eta=2.5$ 
  - Comparable to current tracker's coverage, **but at L1**
- Hit coverage up to  **$\eta \approx 4$**  at L1A

# Upgrade overview

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layout and requirements

## Current

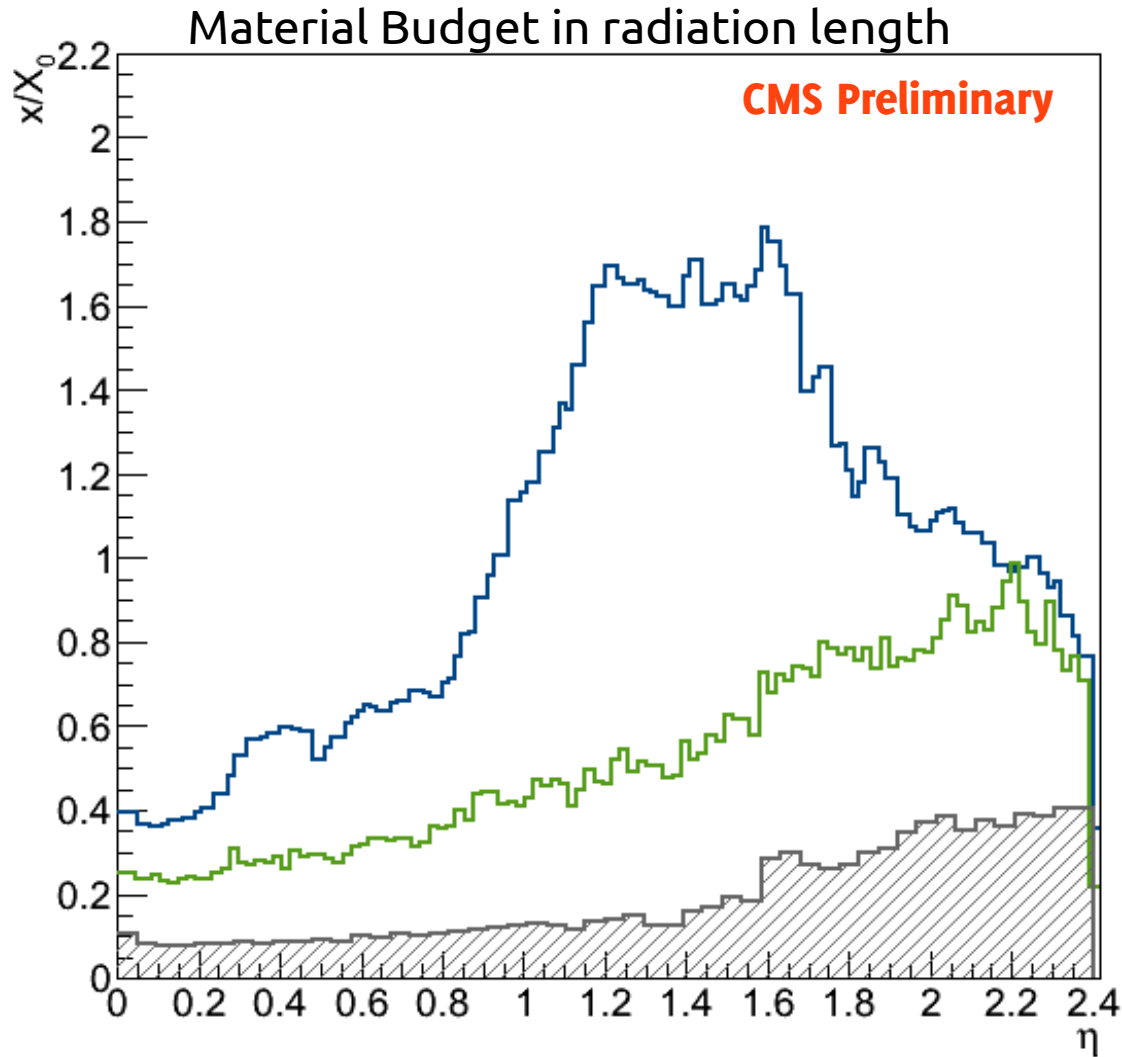
## Upgrade

Outer	~200 m <sup>2</sup>	Silicon	~220 m <sup>2</sup>	Silicon
	9.3 M	Strips	47.8 M	Strips
	0	MacroPixels	217 M	MacroPixels
	15'148	Modules	15'508	Modules
	100 kHz	readout rate	40 MHz	readout rate*
Pixel	~1 m <sup>2</sup>	Silicon	4.6 m <sup>2</sup>	Silicon
	66 M	Pixels	O(1) G?	Pixels
	1440	Modules	??	??
	100 kHz	readout rate	>500 kHz	readout rate

\* only high-pt hits read-out

# Tracker material budget

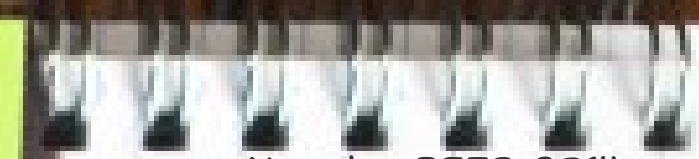
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CMS Tracker Upgrade 30  
layout and requirements



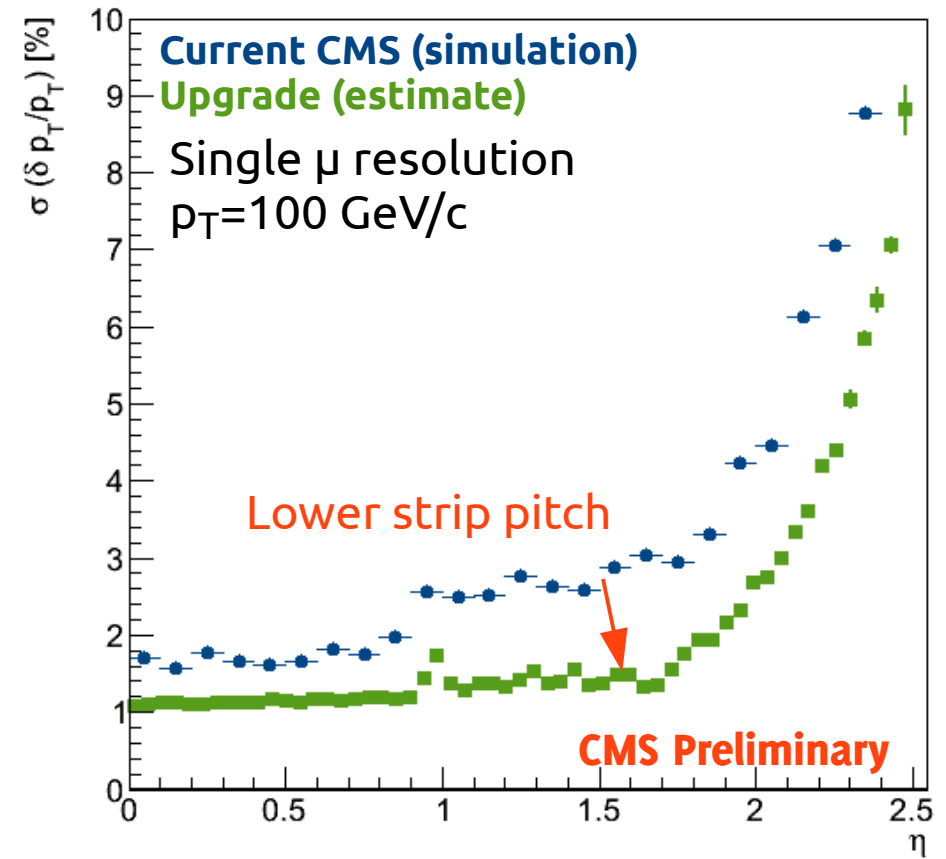
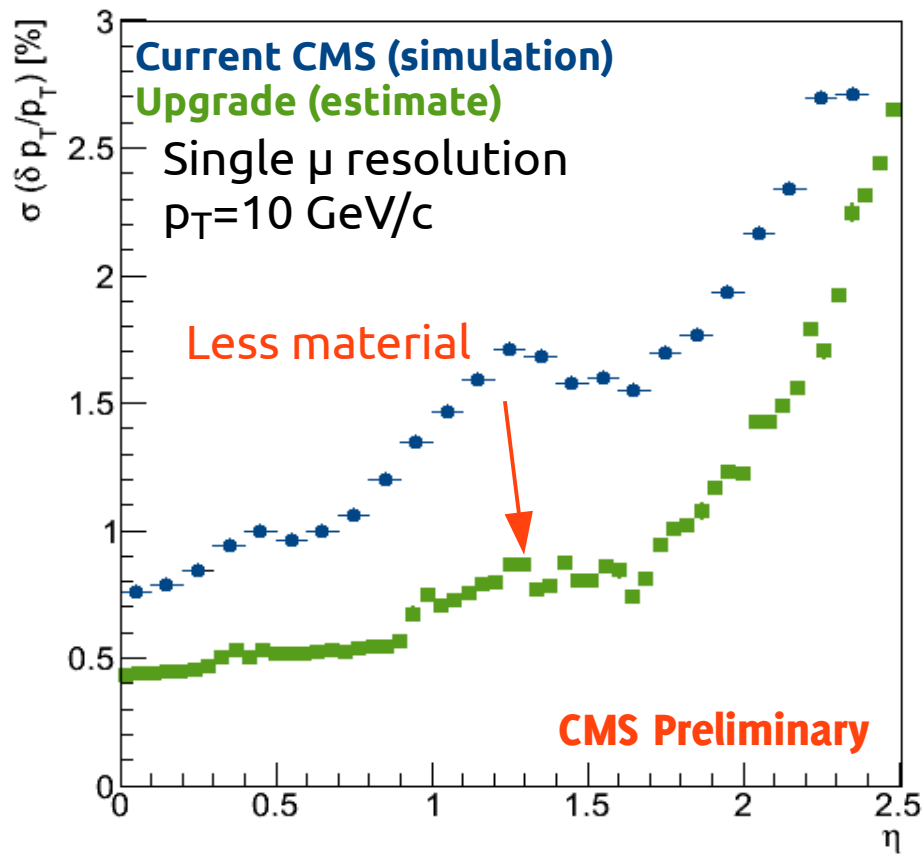
- CMS Phase-1
- CMS Phase-2  
estimate, if keeping  
~ phase-1 pixels material
- ▨ Phase-1 Pixel

# Tracking resolution

$p_T$  resolution of single muons



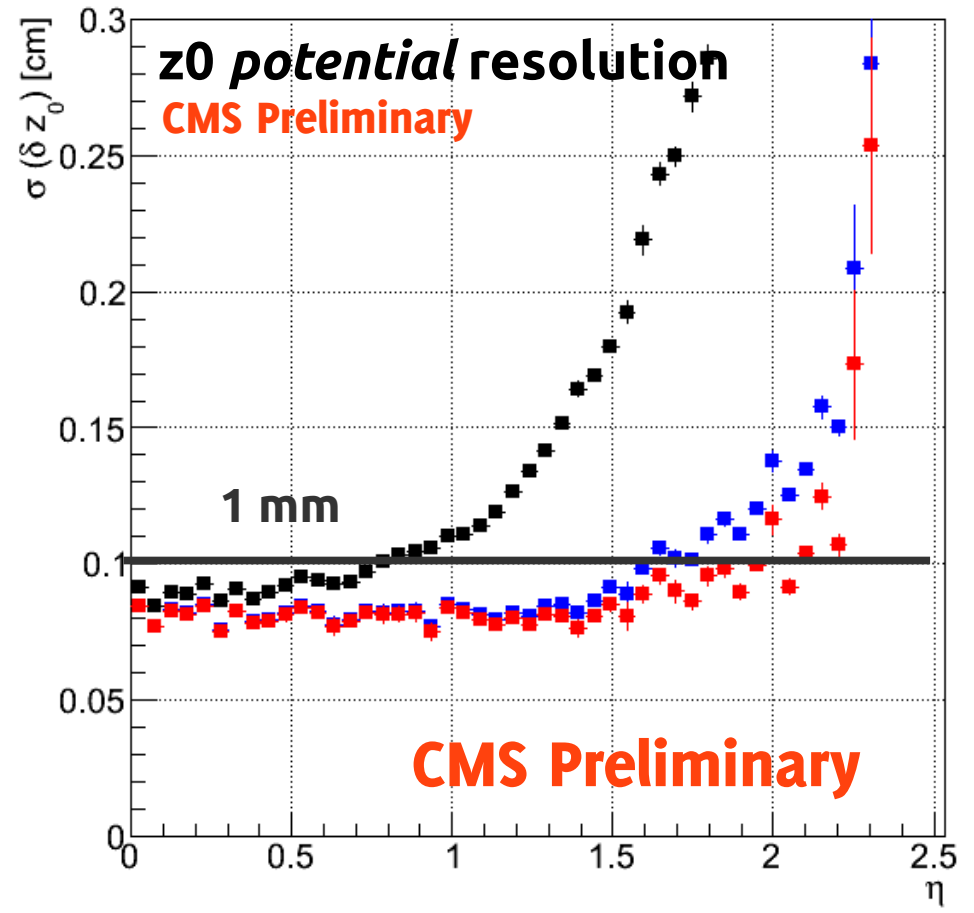
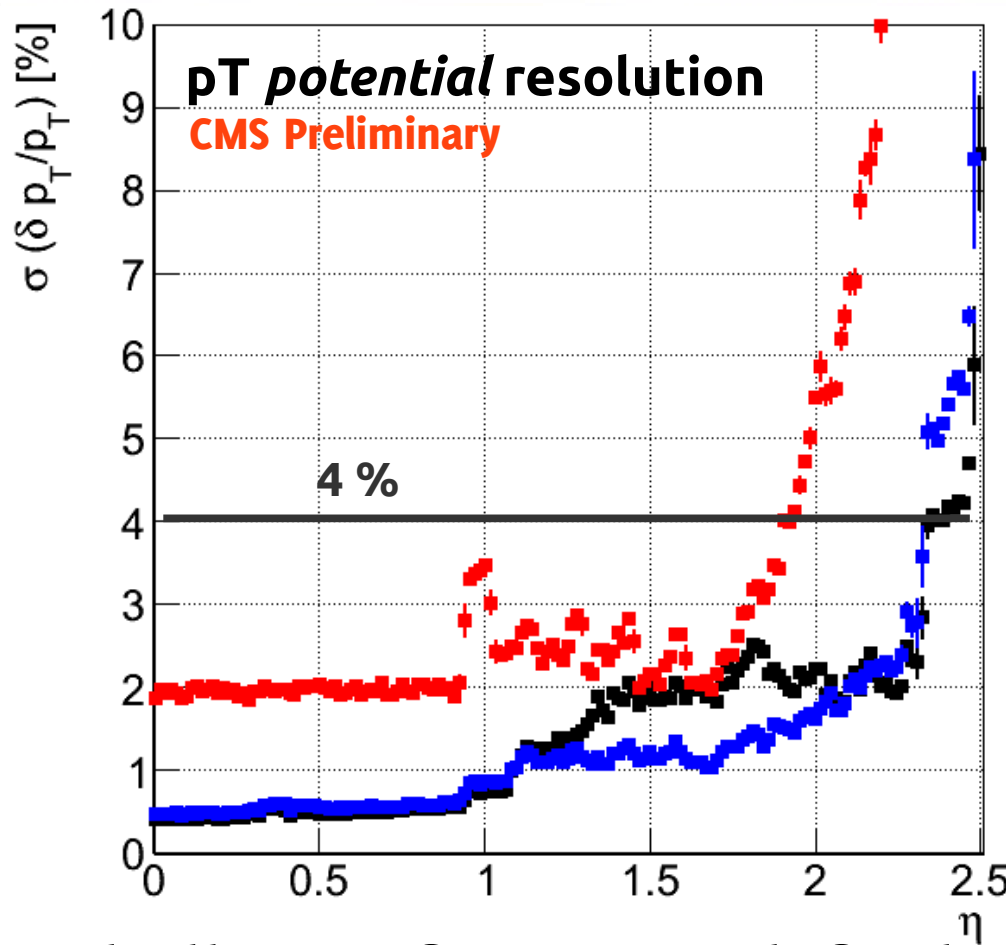
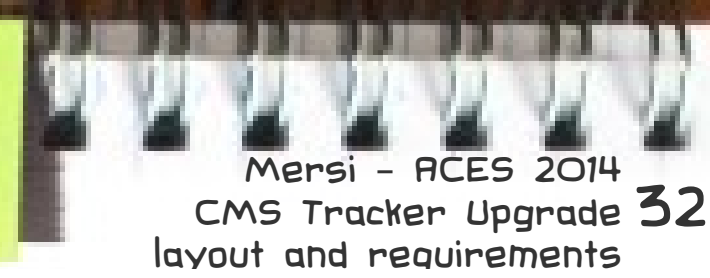
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CMS Tracker Upgrade layout and requirements **31**



Clear improvement expected in the whole  $p_T$  range

# Track-trigger resolution

Potential  $p_T$  resolution using all stub info



Challenge for L1-track finding:  
finding precise tracking information

Single  $\mu$   $p_T=2$  GeV/c  
Single  $\mu$   $p_T=10$  GeV/c  
Single  $\mu$   $p_T=100$  GeV/c

See 14:20 CMS Views for the Off-Detector Track Trigger Electronics  
Ted Liu





**Thank you!**

# Total tracker replacement

Pixel & strip replacement: aim at LS3

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CMS Tracker Upgrade **34**  
layout and requirements

Survive  $\int L \cdot dt = 3000 \text{ fb}^{-1}$

**Radiation hardness**  
**Operating cold (-20°C)**

Higher L1A rate  $\rightarrow > 500 \text{ kHz}$

**Bandwidth!**

Resolve  $\langle \mu \rangle = 140 \rightarrow 200$

**Higher granularity**

Latency  $\rightarrow > 10 \mu\text{s}$

Larger front-end **buffers**

Ensure **experiment lifetime**

**Redundancy** for Outer Tracker  
Possible **extraction** for Pixels

**Improve tracking** at high pT

**Increase granularity**

**Improve tracking** at low pT  
Reduce **secondary interactions**

**Reduce material**

**Increase forward acceptance**

Mostly through **pixel layout**

**Improve CMS trigger**

**Provide tracking to Level-1**  
40 MHz output for L1

# Module design

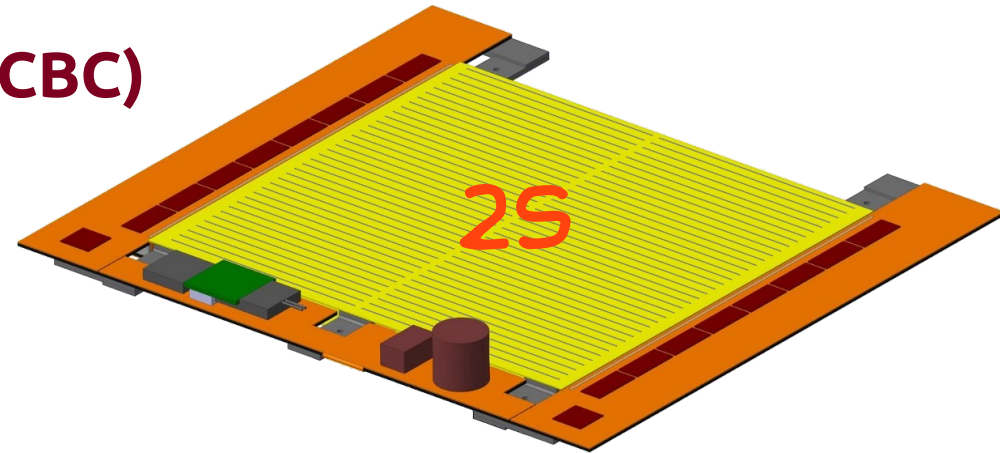
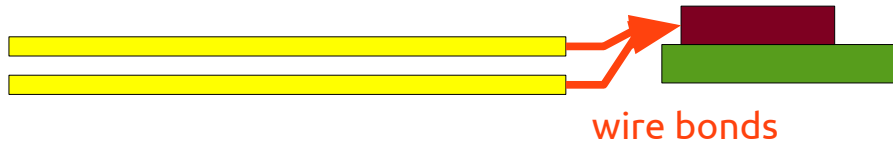
Only two module types

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CMS Tracker Upgrade **35**  
layout and requirements

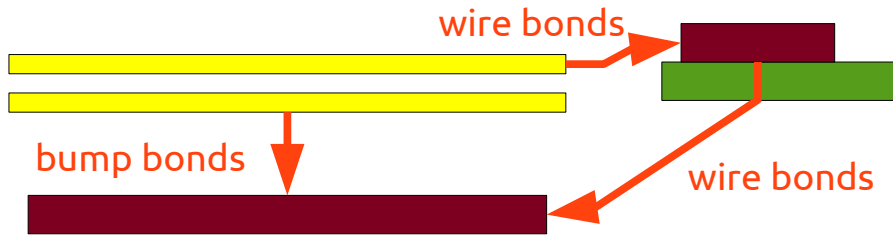
## Hit correlation in different chips

### Cms Binary Chip (CBC)

strip readout  
+ correlation



### Readout only



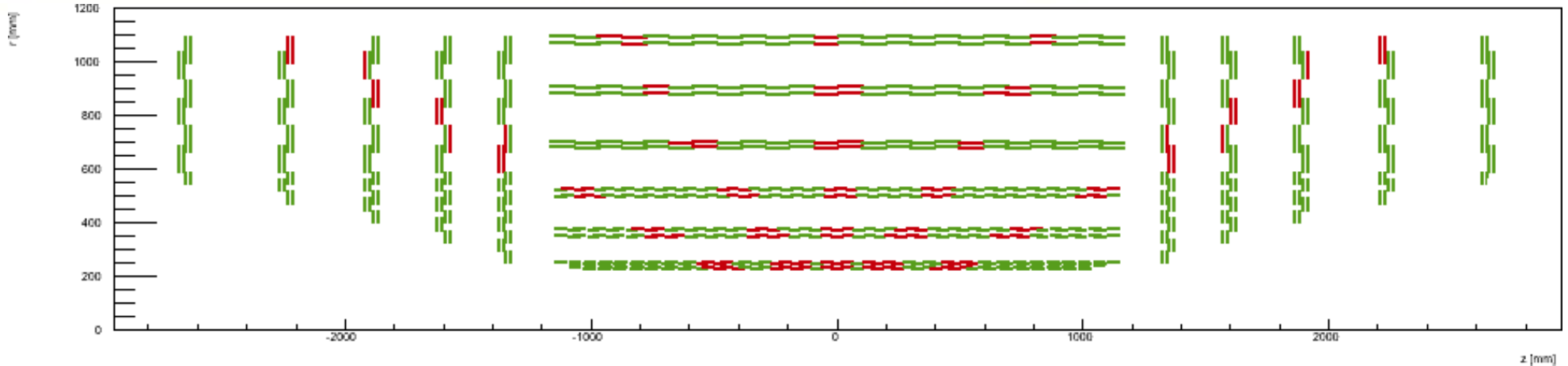
### MacroPixel ASIC (MPA):

pixel readout  
+ correlation

# Track finding at Level-1

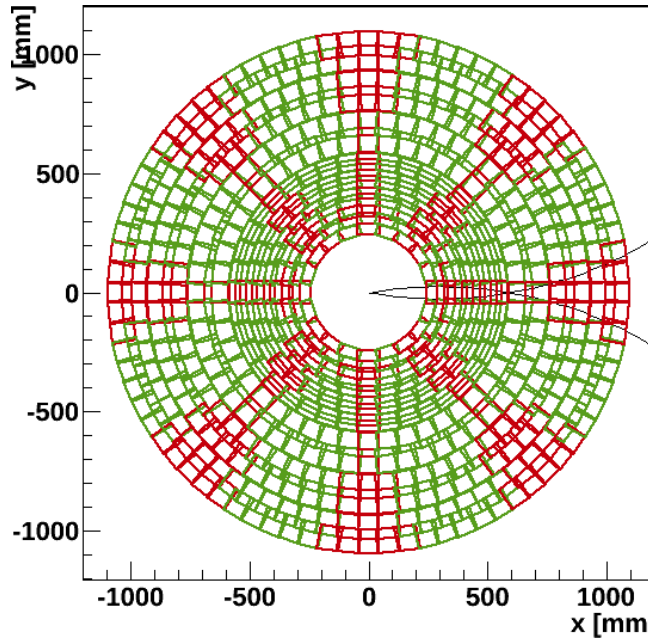
Divide & impera!

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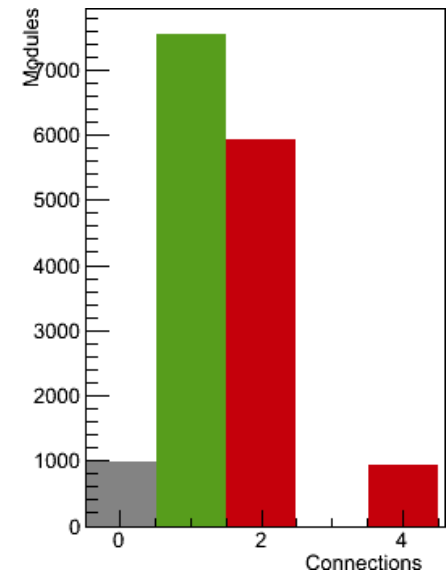


Working hypothesis:

- Each sector independent
- Overlap regions function of
  - Luminous region  $\Delta z$
  - Minimum pT cut

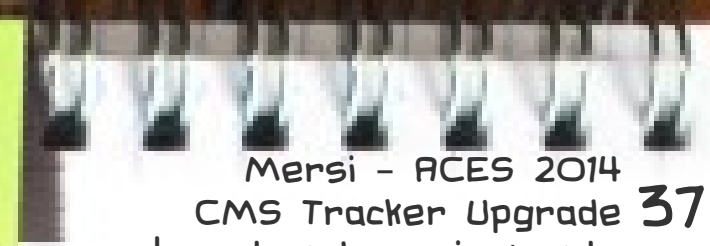


Number of sectors  
connected to a module

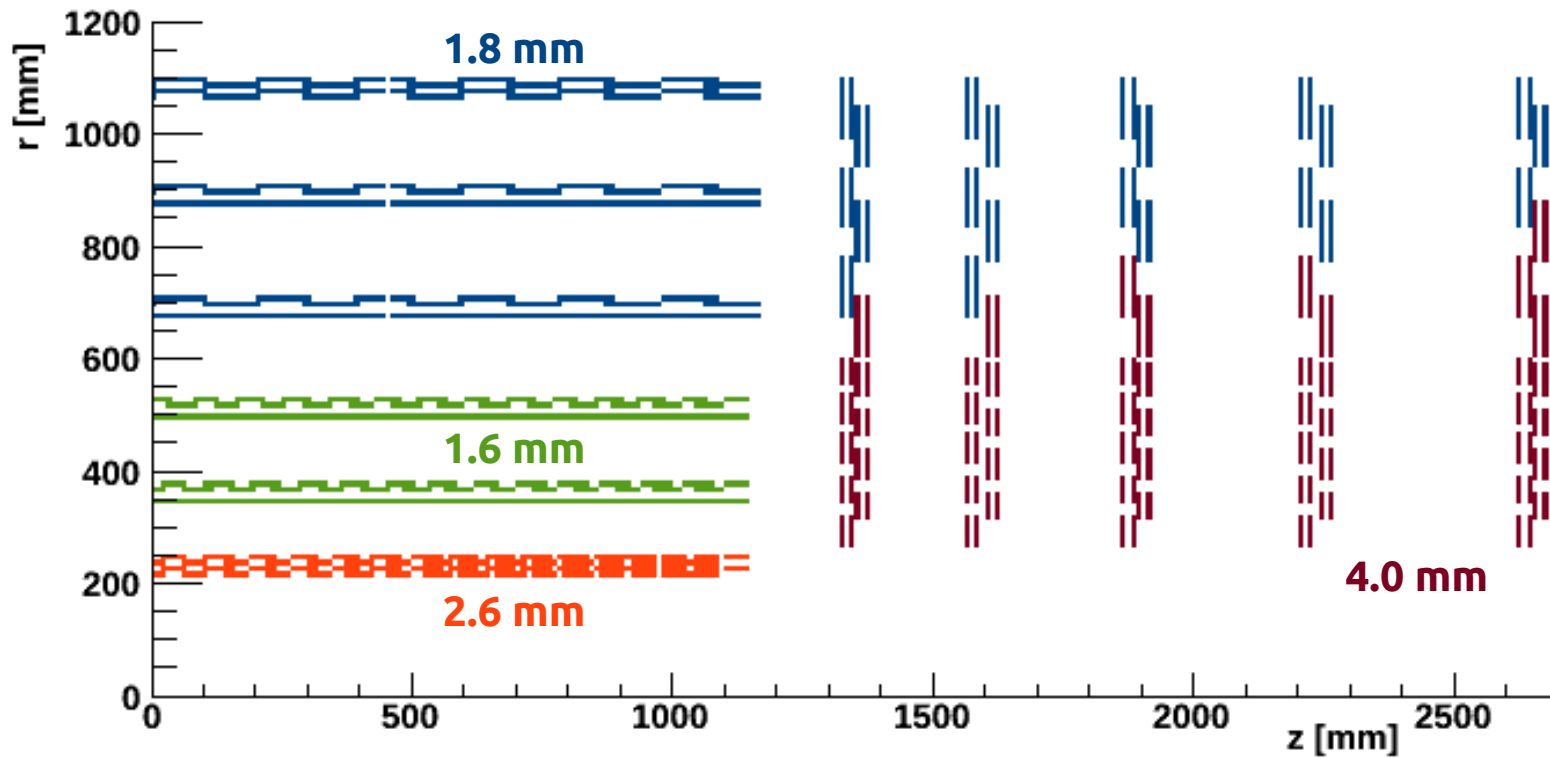


# sensor spacing

must be tuned along with search windows

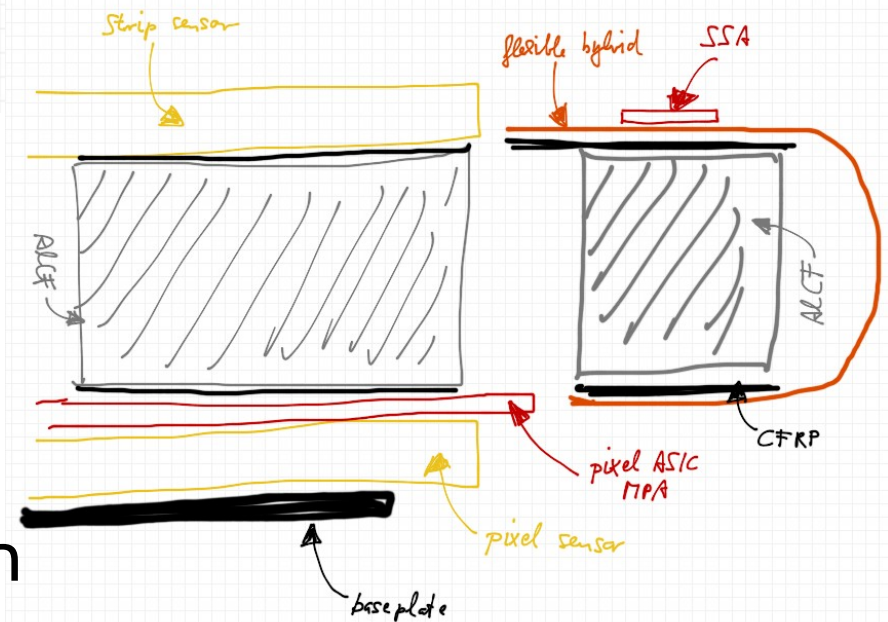
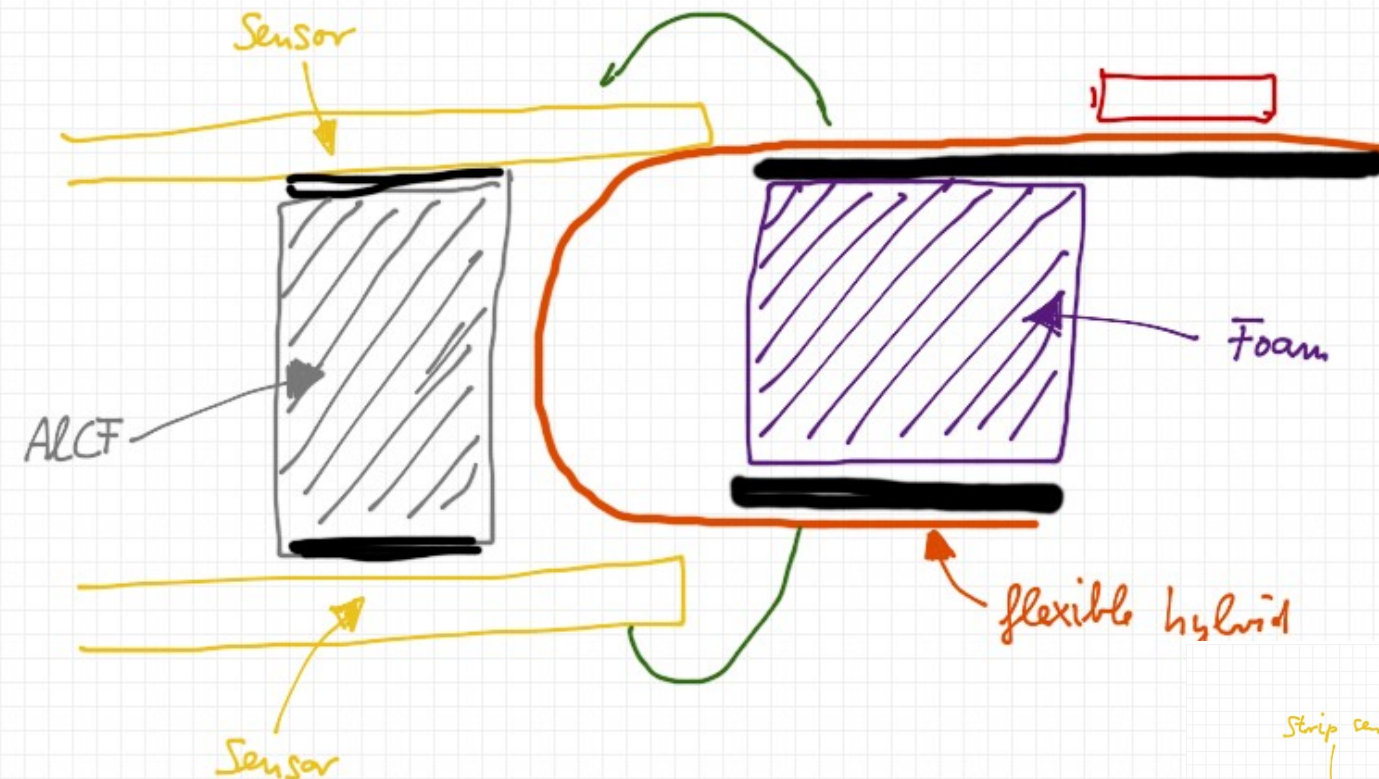


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# 2S modules

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Flex hybrid:

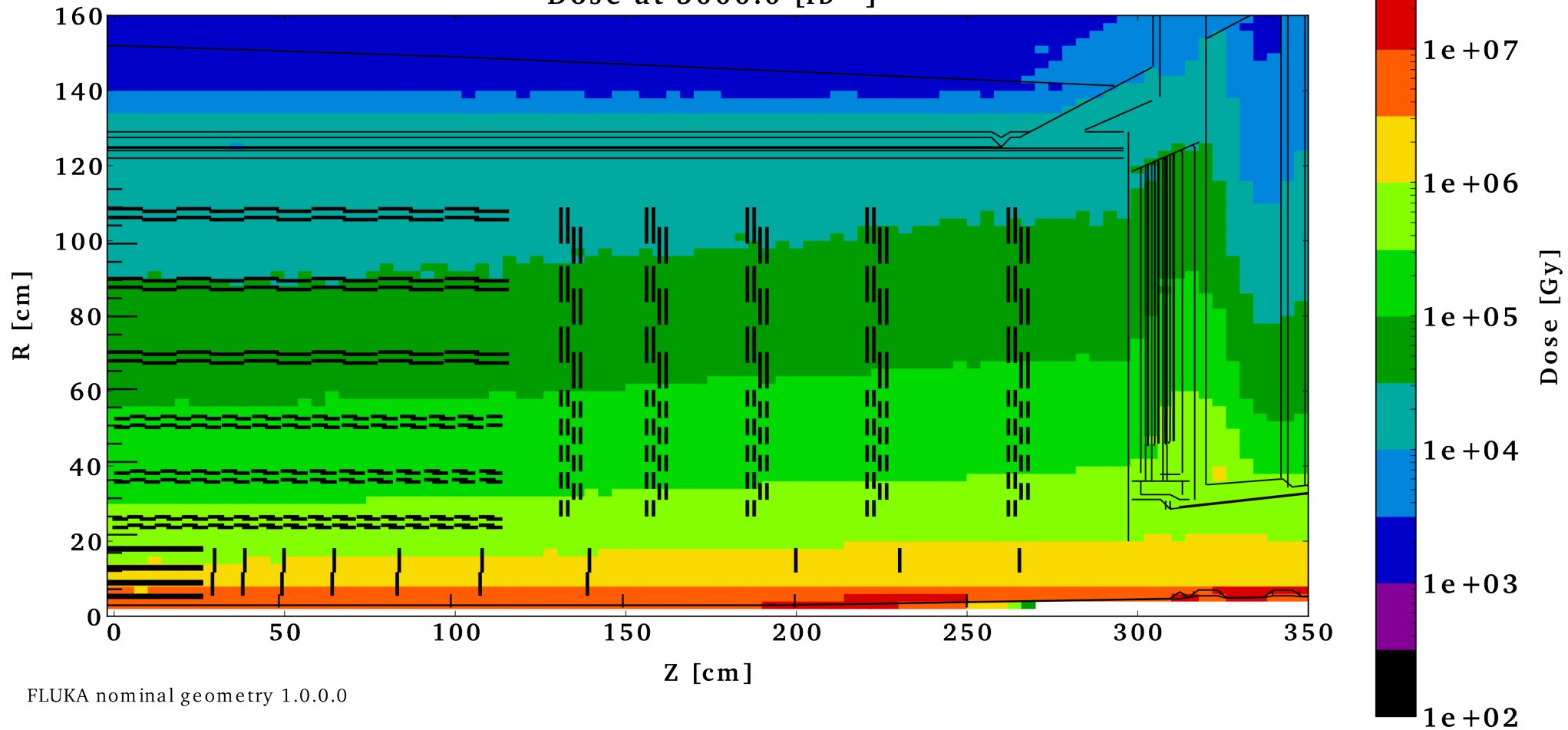
- Technology leap
- Key element for 2-sensor design

# Radiation map

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CMS Preliminary Simulation  
2012 FLUKA geometry

CMS protons 7TeV per beam  
Dose at 3000.0 [ $\text{fb}^{-1}$ ]



FLUKA nominal geometry 1.0.0.0

●	
	●
●	
	●